



AGENDA

June 1, 2016

**CITY COUNCIL MEETING
FINANCE AUTHORITY MEETING
HOUSING AUTHORITY MEETING
NATURAL GAS FINANCING AUTHORITY MEETING
SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY**

7:00 p.m.

**City Council Chambers
311 Vernon Street
Roseville, California**

1. CALL TO ORDER

2. ROLL CALL

Councilmember: Bonnie Gore

Vice Mayor: Susan Rohan

Councilmember: Tim Herman

Councilmember: Pauline Roccucci

Mayor: Carol Garcia

3. PLEDGE OF ALLEGIANCE

4. MEETING PROCEDURES

NOTICE TO THE PUBLIC

All Items on the agenda will be open for the public comment before final action is taken. Speakers are requested to restrict comments to the item as it appears on the agenda and stay within a five (5) - minute time limit. The Mayor has the discretion of limiting the total discussion time for an item.

5. PRESENTATIONS

5.1. Resolution of Congratulations to Troop 1

Boy Scout Troop 1 be congratulated on their 100th Anniversary and thanked for their contributions to the community.

CONTACT: Heather Blanco 916-774-5265 hblanco@roseville.ca.us

5.2. Resolution of Congratulations to Harriman Family

The Harriman Family be congratulated on their dedication and support of the Roseville public education at Quail Glen since Day 1 and thanked for their contributions to the community.

CONTACT: Heather Blanco 916-774-5265 hblanco@roseville.ca.us

6. PUBLIC COMMENTS

NOTICE TO THE PUBLIC

Persons may address the City Council on items not on this agenda. Please complete a "Speaker Information Card" and present it to the City Clerk prior to the start of the meeting. Speakers shall restrict their comments to issues that are within the subject jurisdiction of the City Council and limit their comments to three (3) minutes per person. The total time allocated for Public Comment is 25 minutes. The Brown Act, with certain exceptions, does not permit the City Council to discuss or take action on issues that are not listed on the agenda.

7. CONSENT CALENDAR

NOTICE TO THE PUBLIC

All matters listed under Consent Calendar are considered to be routine and all will be passed by one motion. There will be no discussion of these items unless members of the City Council or the public request specific items be removed from the Consent Calendar for separate discussion. Any member of the public may address the City Council on items on the Consent Calendar. Public comments on any item or items on the Consent Calendar are limited to five (5) minutes per speaker

BEGINNING OF CONSENT CALENDAR

Bids / Purchases / Services

7.1. Traffic Signal Batteries (RFQ 01-3074) - Reject all Bids

Memo from Buyer Babette Owens and Central Services Director Paul Diefenbach recommending Council adopt RESOLUTION NO. 16-204 REJECTING ALL BIDS FOR TRAFFIC SIGNAL BATTERIES. Recommend that all bids received for RFQ 01-3074 for traffic signal batteries be rejected, and authorize a new bid. The original battery, ES17-12, that has been used for the last five years has been changed to a new Cast On Strap process resulting in a lower weight for each battery.

CC #: 7702

File #: 0203-13-02

CONTACT: Babette Owens 916-774-5704 bowens@roseville.ca.us

7.2. Roller Compacted Concrete Project - Approval of Plans and Specifications and Authorize Staff to Call for Bids

Memo from Assistant Engineer Noah Siviglia and Public Works Director Rhon Herndon recommending Council approve the plans and specifications for the Roller Compacted Concrete Project and authorize staff to call for bids. This project will reconstruct portions of Washington Boulevard, Atkinson Street, Denio Loop, and Hickory Street. The estimated cost of construction is \$5.5 million and is fully funded by Local Transportation Funds. No General Funds will be used for this project.

CC #: 7732

File #: 0900-04-02

CONTACT: Noah Siviglia 916-746-1379 nsiviglia@roseville.ca.us

7.3. Shadowbrook Lift Station and Force Main Phase 1 - Approval of Initial Study/Mitigated Negative Declaration, Mitigation Monitoring and Reporting Program, Project Plans and Specifications, and Call for Bids

Memo from Associate Engineer Ed Winston and Environmental Utilities Director Richard Plecker recommending Council adopt RESOLUTION NO. 16-207 ADOPTING AN INITIAL STUDY/MITIGATED NEGATIVE DECLARATION, APPROVING THE MITIGATION MONITORING AND REPORTING PROGRAM, AND APPROVING THE SHADOWBROOK LIFT STATION AND FORCE MAIN PROJECT, PHASE I; and approve the plans and specifications and authorize staff to call for bids. The project will provide major improvements to address inadequate emergency storage capacity, its location within the City's flood plain, and the potential deterioration of the force main exiting the lift station. The engineer's cost estimate to complete the construction work for Phase 1 of the project is \$690,000.00. The project is funded under the Wastewater Rehabilitation Fund.

CC #: 7710

File #: 0800-02

CONTACT: Edward Winston 916-774-5566 ewinston@roseville.ca.us

7.4. Hamel Park and Crabb Park Restroom Installation Project - Approval of Plans and Specifications and Authorize Staff to Call for Bids

Memo from Park Development Analyst Rjahja Canlas and Parks, Recreation & Libraries Director Dion Louthan recommending Council approve the plans and specifications for the Hamel Park and Crabb Park Restroom Installation Project and authorize staff to call for bids. The scope of work includes construction of a new restroom building at Mel Hamel Park. The restroom at Harry Crabb Park is included as an additive alternate. The engineer's estimate for construction for the Hamel Restroom is \$125,000.00. The engineer's estimate for construction for the Crabb Restroom is \$445,608.00. This cost includes electrical, sewer and drainage infrastructure that is not yet constructed at Crabb Park. These improvements would be utilized and required with the ultimate park construction at build-out. Funding for the project was approved in the FY2014-15 Budget and funds rolled over due to staff workload constraints.

CC #: 7729

File #: 0704-01

CONTACT: Rjahja Canlas 916-774-5505 rcanlas@roseville.ca.us

7.5. Substation Transformers – Service Agreement

Memo from Power Engineer Sonny Punzalan and Electric Utility Director Michelle Bertolino recommending Council adopt RESOLUTION NO. 16-209 APPROVING A SERVICE AGREEMENT BETWEEN CITY OF ROSEVILLE AND SPX TRANSFORMER SOLUTIONS INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (S1603241). This service agreement with SPX Transformer Solutions, Inc. is for the design, manufacture, and procurement of up to four substation transformers at a cost not to exceed \$5,250,000.00 for a term of five years. The cost of the first transformer is \$1,171,560.80, with funding available in the FY2015-16 Electric Capital Improvement Project Budget.

CC #: 7712

File #: 0800-03

CONTACT: Sonny Punzalan 916-774-5698 apunzalan@roseville.ca.us

7.6. Radio Frequency Identification and Automated Material Handling Equipment Maintenance - Service Agreement Renewal

Memo from Library Supervisor Rendi Hodge and Parks, Recreation & Libraries Director Dion Louthan recommending Council adopt RESOLUTION NO. 16-220 APPROVING A SERVICE AGREEMENT RENEWAL BETWEEN CITY OF ROSEVILLE AND BIBLIOTHECA LLC, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (S1604084). This item requests approval to renew a service agreement with Bibliotheca for ongoing maintenance and service of radio frequency identification and automated materials handling equipment. The Library Division has an ongoing requirement for supplies and maintenance on equipment installed FY2014-15 as part of a request for proposal process. The estimated annual cost for services and goods for FY2015-16 is \$32,000.00. Funding is included in FY2015-16 Budget for service through August 31, 2016. The estimated annual cost for services and goods for FY2016-17 is \$65,000.00 and funding will be included in FY2016-17 proposed budget. The service agreement for September 2016-August 2017 will be contingent upon Council approval of budgets for next year.

CC #: 7731

File #: 0716

CONTACT: Rendi Hodge 916-774-5240 rhodge@roseville.ca.us

7.7. 9-1-1 Telephone System Support - Equipment Purchase

Memo from Administrative Analyst Shelly Bracco and Police Chief Daniel Hahn recommending Council adopt RESOLUTION NO. 16-222 APPROVING A SERVICE AGREEMENT BETWEEN CITY OF ROSEVILLE AND CAPTURE TECHNOLOGIES INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (S1604381); and adopt RESOLUTION NO. 16-223 APPROVING A SERVICE AGREEMENT BETWEEN CITY OF ROSEVILLE AND XYBIX SYSTEMS INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (S1604379). The agreement with Capture Technologies is

for an audiolog recording system in the amount of \$19,462.18 of which the State of California's 9-1-1 State Emergency Telephone Number Account will pay \$15,624.28. The agreement with Xybix is for upgrades to ancillary equipment used by the City's dispatchers in the amount of \$89,586.25 of which \$85,011.32 is paid directly by the State's reimbursement fund. The Police Department will pay the remaining \$4,574.93, of which \$2,907.34 will be reimbursed to the City by State Emergency Telephone Number Account funds.

CC #: 7733

File #: 0323

CONTACT: Katie Braverman 916-774-5037 kbraverman@roseville.ca.us

7.8. Vehicle Purchases and Budget Adjustments

Memo from Buyer Peter Brevik and Central Services Director Paul Diefenbach recommending Council adopt ORDINANCE NO. 5688 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE; and authorize a purchase order to Builtware Fabrication Inc. for the purchase of four utility trailers utilizing RFQ 01-3077; and authorize a purchase order to National Auto Fleet Group for two Ford F550 with mechanics body utilizing NJPA contract 102811-NAF. The total cost of four utility trailers is \$70,500.00, a budget adjustment of \$20,200.00 from the Auto Replacement Budget will pay for the remaining purchase price of these trailers. The total cost of two Ford F550 trucks with mechanics body is \$209,611.60. Funding for the two vehicles is included in the FY2015/16 Auto Replacement Budget. A budget adjustment of \$50,000.00 from the Auto Replacement Budget is needed to pay for the purchase price of a Ford F350 vehicle. Funding for the budget adjustment is included in the FY2015-16 Auto Replacement Budget.

CC #: 7714

File #: 0201-01 & 0203-01

CONTACT: Peter Brevik 916-774-5724 pbrevik@roseville.ca.us

7.9. Accela e-PlanCheck Software Licenses and Annual Maintenance - Purchase Order

Memo from Mapping Supervisor Joe Allen and Development Services Director Kevin Payne recommending Council authorize a purchase order to Allied Network Solutions, Inc. (an authorized Accela software reseller) for Accela e-PlanCheck software licenses and maintenance utilizing the California Department of General Services Software Licensing Program contract number SLP-16-70-0027L. The Accela e-PlanCheck software will improve the City's plan check process by accommodating the electronic submittal, routing, review, commenting, and approval of building and architectural plans; thus reducing response time and print costs. The total software and maintenance costs not to exceed \$102,240.00 will be funded through the Accela System CIP and Development Services Operating Budget.

CC #: 7691

File #: 0203-06

CONTACT: Joe Allen 916-774-5165 jallen@roseville.ca.us

7.10. Prewired DFR/Relay Panels (RFQ 01-3081) - Purchase Order

Memo from Buyer Babette Owens and Central Services Director Paul Diefenbach recommending Council authorize a purchase order to Crown Technical Systems as the lowest responsive bidder for RFQ 01-3081 for two Prewired DFR/Relay Panels. The cost of the purchase is \$92,823.00 plus tax of \$6,961.73 for a grand total of \$99,784.73. Funding is included in the Electric Department's Fiddymet DFR Capital Improvement Project Fund for the purchases made in FY2015-16.

CC #: 7701

File #: 0203-07

CONTACT: Babette Owens 916-774-5704 bowens@roseville.ca.us

Resolutions

7.11. Diamond Creek North Roseville Specific Plan Parcel DC-31C - Final Map and Subdivision Agreement

Memo from Assistant Engineer Kerry Andrews and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-198 APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND LENNAR HOMES OF CALIFORNIA, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE, and approve the Diamond Creek NRSP Parcel DC-31C final map. The Engineering Division has completed its review of the final map and found that it is in compliance with the approved tentative map. Staff recommends approval of the Diamond Creek North Roseville Specific Plan Parcel DC-31C final map and the Subdivision Agreement by and between the City and the developer, Lennar Homes, Inc. This map will be creating 81 residential lots and one letter lot. The actions requested have no fiscal impact to the City's General Fund.

CC #: 7693

File #: 0400-04-07-1

CONTACT: Kerry Andrews 916-774-5346 kandrews@roseville.ca.us

7.12. Westpark Phase 4 Village W-18A - Final Map and Subdivision Agreement

Memo from Assistant Engineer Kerry Andrews and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-213 APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND LENNAR HOMES OF CALIFORNIA, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and approve the Westpark Phase 4 Village W-18A final map. The Engineering Division has completed its review of the final map and found that it is in compliance with the approved tentative map. This map will be creating 86 residential lots and one lettered lot. The actions requested have no fiscal impact to the City's General Fund.

CC #: 7719

File #: 0400-04-09-1

CONTACT: Kerry Andrews 916-774-5346 kandrews@roseville.ca.us

7.13. Westpark Village W-24 Phase 1 - Final Map and Subdivision Agreement

Memo from Assistant Engineer Kerry Andrews and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-215 APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND LENNAR HOMES OF CALIFORNIA, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE, and approve the Westpark Village W24 Phase 1 final map. The Engineering Division has completed its review of the final map and found that it is in compliance with the approved tentative map. This map will be creating 68 residential lots and 18 lettered lots. The actions requested have no fiscal impact to the City's General Fund.

CC #: 7721

File #: 0400-04-09-1

CONTACT: Kerry Andrews 916-774-5346 kandrews@roseville.ca.us

7.14. Westpark Phase 4 Village W-18C - Final Map and Subdivision Agreement

Memo from Assistant Engineer Kerry Andrews and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-214 APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND PULTE HOME CORPORATION, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE, and approve the Westpark Phase 4 Village W-18C final map. The Engineering Division has completed its review of the final map and found that it is in compliance with the approved tentative map. This map will be creating 102 residential lots and one lettered lot. The actions requested have no fiscal impact to the City's General Fund.

CC #: 7720

File #: 0400-04-09-1

CONTACT: Kerry Andrews 916-774-5346 kandrews@roseville.ca.us

7.15. Westbrook Phase 1 Villages 5A & 5B Phase 2 - Final Map and Subdivision Agreement

Memo from Assistant Engineer Kerry Andrews and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-211 APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND WOODSIDE 05N, LP, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and approve the Westbrook Phase 1 Villages 5A Phase 2 & 5B Phase 2 final map.

Engineering has completed its review of the final map and found that it is in compliance with the approved tentative map. This map will be creating 58 residential lots. The actions requested have no fiscal impact to the City's General Fund.

CC #: 7717

File #: 0400-04-12-1

CONTACT: Kerry Andrews 916-774-5346 kandrews@roseville.ca.us

7.16. Westpark Phase 4 Village W-17D - Certificate of Completion

Memo from Construction Inspection Supervisor John Hernandez and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-212 OF THE COUNCIL OF THE CITY OF ROSEVILLE ACCEPTING THE PUBLIC WORK KNOWN AS WESTPARK PHASE 4 VILLAGE W-17D PROJECT, APPROVING THE "CERTIFICATE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING ALL DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION. The Engineering Division has made a final inspection of the project and has found the roadway infrastructure work complete in accordance with the improvement plans and City specifications. The actions requested have no impact to the City's General Fund. Construction costs were paid by the developer.

CC #: 7718

File #: 0400-04-09-1

CONTACT: John Hernandez 916-774-5486 jhernandez@roseville.ca.us

7.17. Westbrook Phase I Villages 5A Phase 1 & 5B Phase 1 - Certificate of Completion

Memo from Senior Construction Inspector John Hernandez and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-210 OF THE COUNCIL OF THE CITY OF ROSEVILLE ACCEPTING THE PUBLIC WORK KNOWN AS WESTBROOK PHASE I VILLAGES 5A PHASE 1 & 5B PHASE 1 PROJECT, APPROVING THE "CERTIFICATE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING ALL DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION. The request is for a Certificate of Completion for a portion of Westbrook Phase I. The Engineering Division has made final inspection of the Westbrook Phase I, Villages 5A Phase 1 and Phase 5B Phase 1 and has found the roadway infrastructure work complete in accordance with the improvement plans and City specifications. This action has no impact to the City's General Fund. Construction costs were paid by the developer.

CC #: 7716

File #: 0400-04-12-1

CONTACT: John Hernandez 916-774-5486 jhernandez@roseville.ca.us

7.18. Fire Station No. 1 Site Grading and Class I Bike Trail Project - Notice of Completion

Memo from Associate Engineer Hossein Naghibzadeh and Public Works Director Rhon Herndon recommending Council adopt RESOLUTION NO. 16-221 ACCEPTING THE PUBLIC WORK KNOWN AS FIRE STATION NO. 1 SITE GRADING AND CLASS I BIKE TRAIL PROJECT, APPROVING THE "NOTICE OF COMPLETION", AND AUTHORIZING THE PUBLIC WORKS DIRECTOR TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, and approve increase to the construction change order authority from \$168,600.00 to \$283,600.00. Change order work included the removal of unsuitable materials,

removal of rebar, and crushing of unsuitable materials placed back as fill material. Funding to cover the change order is available in the previously approved project budget. This project is funded by the Fire Facilities Fund and the Local Transportation Fund.

CC #: 7726

File #: 0900-05

CONTACT: Hossein Naghibzadeh 916-746-1300 hnaghibzadeh@roseville.ca.us

7.19. Fire Station Landscape Design - Professional Design Services Agreement

Memo from Assistant Fire Chief Brian Diemer and Fire Chief Rick Bartee recommending Council adopt RESOLUTION NO. 16-202 APPROVING A PROFESSIONAL DESIGN SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND CALLANDER ASSOCIATES LANDSCAPE ARCHITECTURE, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This is a request for the approval of the professional design services agreement with Callander Associates Landscape Architecture in the amount of \$49,965.00 for landscape design of Fire Stations 2, 3, 4, 7 and 9. The scope of work included in this agreement focuses on site assessment and construction documents for the selected fire stations. Allocations for site assessments and preparation of construction documents were approved in the FY2015-16 Budget through the City Facilities Rehabilitation Fund.

CC #: 7698

File #: 0324

CONTACT: Brian Diemer 916-746-1392 bdiemer@roseville.ca.us

7.20. Antelope Creek Flood Control Project - Grants of Easement

Memo from Senior Engineer Carl Walker and Public Works Director Rhon Herndon recommending Council adopt RESOLUTION NO. 16-217 APPROVING AN AGREEMENT FOR GRANTS OF EASEMENT BY AND BETWEEN THE PLACER COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT AND THE CITY OF ROSEVILLE, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. Staff requests approval of these easements which will allow the flood control project to detain peak storm related creek flows along Antelope Creek which may result in inundation of a portion of City owned open space parcels. The City will receive \$3,714.00 in compensation for the easements.

CC #: 7725

File #: 0309-01 & 002-06

CONTACT: Carl Walker 916-746-1300 cwalker@roseville.ca.us

7.21. American Disabilities Act Consulting - Professional Services Agreement

Memo from Facility Manager Dan Allen and Central Services Director Paul Diefenbach recommending Council adopt RESOLUTION NO. 16-200 APPROVING A PROFESSIONAL SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND ACCESSIBILITY CONSULTANTS INCORPORATED, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT

ON BEHALF OF THE CITY OF ROSEVILLE. Staff is requesting approval of a resolution authorizing the City Manager to sign the professional services agreement with Accessibility Consultants Incorporated to perform a full accessibility assessment at City facilities and City parks to perform a self-evaluation. Total value of the agreement is \$116,500.00. Funding for this agreement is included in the ADA Compliance annual Capital Improvement Project, \$43,500.00 for Phase I in FY2015-16 Budget and \$73,000.00 for Phase II in proposed FY2016-17 Budget.

CC #: 7696

File #: 0800-01

CONTACT: Dan Allen 916-774-5741 dallen@roseville.ca.us

7.22. General Municipal Election - November 8, 2016

Memo from City Clerk Technician Judy Moore and City Clerk Sonia Orozco recommending Council adopt RESOLUTION NO. 16-203 OF THE CITY COUNCIL OF THE CITY OF ROSEVILLE DECLARING AN ELECTION BE HELD IN ITS JURISDICTION ON TUESDAY, NOVEMBER 8, 2016; REQUESTING THE PLACER COUNTY BOARD OF SUPERVISORS TO CONSOLIDATE THE ELECTION WITH ANY OTHER ELECTION CONDUCTED ON SAID DATE, AND REQUESTING ELECTION SERVICES BY THE PLACER COUNTY CLERK. The Roseville Charter Section 6.01 requires municipal elections for the selection of Councilmembers and states such elections shall be held in the City in each even-numbered year. Pursuant to the Charter, the City will hold an election on Tuesday, November 8, 2016. The election will fill vacancies for three city councilmembers whose terms are expiring in 2016. The City Clerk also requests the City Council approve consolidation of the election with the Placer County Clerk, who will provide election services on behalf of the City of Roseville. The estimated cost of the election is \$94,750.00 and will be paid from the City's General Fund.

CC #: 7700

File #: 0502-01

CONTACT: Judy Moore 916-774-5267 jmoore@roseville.ca.us

Sonia Orozco 916-774-5269 sorozco@roseville.ca.us

7.23. Resolution of Intention to Form HP Campus Oaks Community Facilities District No. 2 (Public Services)

Memo from Administrative Analyst Jeannine Thrash and Finance Director Monty Hanks recommending Council adopt RESOLUTION NO. 16-205 OF INTENTION OF THE CITY COUNCIL OF THE CITY OF ROSEVILLE TO FORM A COMMUNITY FACILITIES DISTRICT AND LEVY A SPECIAL TAX IN HP CAMPUS OAKS COMMUNITY FACILITIES DISTRICT NO. 2 (PUBLIC SERVICES) TO FINANCE CERTAIN PUBLIC SERVICES IN AND FOR SUCH COMMUNITY FACILITIES DISTRICT. The landowner, BBC Roseville Oaks, LLC, as owner of certain real property described in the boundary map, is obligated under the Fourth Amendment to the Development Agreement by and between the City of Roseville and BBC Roseville Oaks, LLC Relative to the Campus Oaks Property of the Roseville Master Plan, to participate in the formation of a Community Facilities District (CFD) to provide a funding mechanism to provide maintenance of the

neighborhood parks, streetscapes, paseos, and open space parcels within the Campus Oaks property. The property within the boundaries of the CFD will be assessed special taxes as described in the Rate and Method of Apportionment included as an attachment to the Resolution of Intention. The CFD will generate special taxes that will be paid by the property owners sufficient to pay the costs for the maintenance as allowed per the List of Authorized Services. The special taxes will allow for an annual escalation factor, not to exceed 4%. The formation and administration of the CFD will not impact the City's General Fund.

CC #: 7704

File #: 0206-03-01

CONTACT: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

7.24. Street Closure Request - Courage Run 2016 - June 11, 2016

Memo from Community Relations Analyst Jamie Hazen and Parks, Recreation & Libraries Director Dion Louthan recommending Council adopt RESOLUTION NO. 16-201 APPROVING AN AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND COURAGE WORLDWIDE, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This item requests Council approve the closure of the following streets on Saturday, June 11, 2016 from 8:00 a.m. – 10:30 a.m.: Westbound East Roseville Parkway between Sierra College Boulevard and the City limits up to the point in which Placer County jurisdiction commences. All costs associated with this event for City services will be reimbursed by Courage Worldwide, Inc.

CC #: 7697

File #: 0109-02

CONTACT: Jamie Hazen 916-774-5978 jhazen@roseville.ca.us

7.25. Harding to Royer Bike Trail Segment 3 Project - Agreement for Sale of Real Property

Memo from Assistant Engineer Cathy Gosalvez and Public Works Director Rhon Herndon recommending Council adopt RESOLUTION NO. 16-218 APPROVING AN AGREEMENT FOR SALE OF REAL PROPERTY, BY AND BETWEEN THE CITY OF ROSEVILLE AND KEVIN KEMPER AND COLLEEN KEMPER, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and adopt RESOLUTION NO. 16-219 APPROVING AN AGREEMENT FOR SALE OF REAL PROPERTY, BY AND BETWEEN THE CITY OF ROSEVILLE AND STEVE CROUCH AND JUDY CROUCH, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This is a request of Council to approve the Agreements for Sale of Real Property for the purchase of property for the Harding to Royer Bike Trail Segment 3 Project with Kevin and Colleen Kemper in the amount of \$22,800.00, and with Steve and Judy Crouch in the amount of \$39,385.00. This project will extend the Harding to Royer Bike Trail from Folsom Road to the new Fire Station #1 at Lincoln Street. Funding for this acquisition is from a combination of Transportation Development Act and Congestion Management and Air Quality improvement grants. No General Funds are being used.

CC #: 7730

File #: 0721-05 & 1002

CONTACT: Cathy Gosalvez 916-746-1300 cgosalvez@roseville.ca.us

7.26. Finance Authority - FY2016-17 Budget

Memo from Administrative Analyst Jeannine Thrash and Finance Director Monty Hanks recommending Council adopt ROSEVILLE FINANCE AUTHORITY RESOLUTION NO. 1-16 ADOPTING THE ANNUAL BUDGET FOR THE FISCAL YEAR 2016-17. The debt service payment of \$11,432,670 reflects the Certificates of Participation (COPs) for Corporation Yard and 316 Vernon Street along with the Mark Roos refunding of Community Facilities Districts (CFDs). The Authority controls other COPs, but that debt service is reflected within each respective Enterprise Fund. For CFD issues, the Authority acts as a conduit to collect payments for the refunding of two or more special districts. The budget document provides a financial summary of the Roseville Finance Authority Fund. No fiscal impact to report.

CC #: 7705

File #: 0103-29-02

CONTACT: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

7.27. Roseville Natural Gas Financing Authority - FY2016-17 Budget

Memo from Financial Analyst Jeannine Thrash and Finance Director Monty Hanks recommending Council adopt ROSEVILLE NATURAL GAS FINANCING AUTHORITY RESOLUTION NO. 1-16 ADOPTING THE ANNUAL BUDGET FOR THE FISCAL YEAR 2016/2017. This item requests approval of the Roseville Natural Gas Financing Authority Budget for Fiscal Year 2016/2017. The debt service and administrative expenses of \$16,828,350.00 reflect the Gas Revenue Bonds for the delivery of natural gas used for Roseville Energy Park. The budget document provides a financial summary of the Roseville Natural Gas Financing Authority Fund. No fiscal impact to report.

CC #: 7707

File #: 0103-17

CONTACT: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

Ordinances (for introduction and adoption - appropriation/urgency measures)

7.28. Community Development Block Grant and BEGIN Re-Use - Budget Adjustment

Memo from Housing Analyst Suzanne Acrell and Economic Development Director Chris Robles recommending Council adopt ORDINANCE NO. 5691 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. The Community Development Block Grant (CDBG) Program received a loan payoff in the amount of \$207,000.00 in March 2016. This item requests approval of a budget adjustment of \$207,000.00 to fund additional Owner

Occupied Rehabilitation Program loans. Additionally, the BEGIN Re-Use Fund continues to receive loan payoffs currently totaling over \$150,000.00. This item requests approval of a budget adjustment of \$150,000.00 to fund additional Owner Occupied Rehabilitation Program loans. The source of funds for the rehabilitation loans is CDBG program income and BEGIN Re-Use, administered through HUD. This action has no impact to the General Fund.

CC #: 7727

File #: 0201-01 & 0709

CONTACT: Suzanne Acrell 916-774-5469 sacrell@roseville.ca.us

7.29. Recycled Water Systems Evaluation - Professional Services Agreement Amendment and Budget Adjustment

Memo from Senior Engineer George Hanson and Environmental Utilities Director Richard Plecker recommending Council adopt RESOLUTION NO. 16-206 APPROVING A SECOND AMENDMENT TO PROFESSIONAL SERVICES AGREEMENT BETWEEN THE CITY OF ROSEVILLE AND RMC WATER AND ENVIRONMENT, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and adopt ORDINANCE NO. 5687 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This item requests approval of the Second Amendment to the Professional Services Agreement with RMC Water and Environment for conducting a Recycled Water Systems Evaluation. The Amendment increases the previous contract by \$18,210.00 for a revised total contract cost of \$189,116.00. The budget adjustment will transfer \$18,210.00 from the available resources of the Wastewater Operations Fund to the Recycled Water Operations Fund to fund this second amendment. The project is funded from the Recycled Water Operations Fund.

CC #: 7709

File #: 0201-01 & 0800-02

CONTACT: George Hanson 916-746-1764 ghanson@roseville.ca.us

7.30. Amoruso Ranch Specific Plan Professional Services - Budget Adjustment

Memo from Administrative Analyst Brandy LeBeau and Development Services Director Kevin Payne recommending Council adopt ORDINANCE NO. 5686 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. The Development Services Department, Planning Division, requests that the City Council adopt an ordinance for a budget adjustment of \$150,000.00 for professional services and City staff costs required for the processing of the Amoruso Ranch Specific Plan. Funding for this budget adjustment will be provided through reimbursement by the project proponent. This item will not have an impact on the General Fund.

CC #: 7692

File #: 0201-01 & 0800-06

CONTACT: Brandy LeBeau 916-774-5278 blebeau@roseville.ca.us

7.31. Westpark Community Facilities District No. 2 - FY2016 Budget Adjustment

Memo from Financial Analyst Jennifer Kwok and Finance Director Monty Hanks recommending Council adopt ORDINANCE NO. 5689 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. The City's Park & Recreation Department has determined a budget adjustment is needed for Westpark CFD #2 due to the new development on the west side of the City. The budget adjustment is an increase to the contract maintenance for landscaping of \$30,000.00 (from \$277,400.00 to \$307,400.00) which is mainly attributed to five new streetscapes that were added for maintenance this fiscal year. There is no impact on the General Fund since the maintenance costs are covered directly by the Communities Facilities District.

CC #: 7715

File #: 0201-01 & 0704

CONTACT: Jennifer Kwok 916-774-5307 jkwok@roseville.ca.us

7.32. 2015 Regional Surface Transportation Program Arterial Resurfacing Project - Award of Contract and Budget Adjustment

Memo from Associate Engineer Luz "Nina" Buelna and Public Works Director Rhon Herndon recommending Council adopt RESOLUTION NO. 16-197 APPROVING AN AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND CENTRAL VALLEY ENGINEER AND ASPHALT INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and adopt ORDINANCE NO. 5685 ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This item recommends Council award the project to the lowest responsive bidder, Central Valley Engineering and Asphalt, Inc., in the amount of \$6,390,294.00 with authorization for staff to exceed the amount by 10% as a construction contingency for change orders, authorize the City Manager to execute the agreement, and authorize a budget adjustment to transfer \$750,000.00 from the Local Transportation Fund and \$700,000.00 from the Gas Tax Fund to the project account. The project is funded with Local Transportation Funds and federal Regional Surface Transportation Program grant funds. No General Funds will be used for this project. The project will resurface various arterial roadways throughout the City.

CC #: 7690

File #: 0201-01 & 0900-04-01

CONTACT: Luz "Nina" Buelna 917-746-1375 nbuelna@roseville.ca.us

7.33. Westbrook Monitoring Well - Reimbursement Agreement Amendment and Budget Adjustment

Memo from Senior Engineer Jose Lopez and Environmental Utilities Director Richard Plecker recommending Council adopt RESOLUTION NO. 16-

216 APPROVING A FIRST AMENDMENT TO CITY/DEVELOPER REIMBURSEMENT AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND WP DEVELOPMENT COMPANY, LLC, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and adopt ORDINANCE NO. 5690 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. The development agreement for the Westbrook area within the Sierra Vista Specific Plan states the City shall be responsible for the construction of a monitoring well for determining water production, water quality, and above ground well improvements. To facilitate the well drilling process the City agreed to enter into a Reimbursement Agreement with WP Development Company, LLC (Developer) for the construction of the monitoring well for a cost of \$125,935.00. Actual construction costs were higher than estimated due to construction complications and additional design services. This increased project costs by \$67,237.00 for a final design and construction cost of \$193,172.00. An amendment of the reimbursement agreement and approval of a budget adjustment are requested. Funding for the monitoring well is provided by Water Connection Fees.
CC #: 7724

File #: 0201-01 & 0800-02

CONTACT: Jose Lopez 916-774-5688 jlopez@roseville.ca.us

Ordinances (for second reading and adoption)

7.34. Second Reading of Ordinance - Municipal Code Amendment - Personnel Rules and Regulations - Paid Sick Leave

ORDINANCE NO. 5692 OF THE COUNCIL OF THE CITY OF ROSEVILLE ADDING SECTION 3.00.415 OF CHAPTER 3.00 AND AMENDING SECTIONS 3.00.420 OF CHAPTER 3.00 AND 3.12.070 OF CHAPTER 3.12 OF TITLE 3 OF THE ROSEVILLE MUNICIPAL CODE REGARDING PAID SICK LEAVE, for second reading and adoption.

CC #: 7734

File #: 0600-01

CONTACT: Gayle Satchwell 916-774-5374 gsatchwell@roseville.ca.us

Reports / Requests

7.35. Transportation Development Act Audit Report for the Fiscal Year Ended June 30, 2015

Memo from Financial Analyst Jennifer Kwok and Finance Director Monty Hanks with an informational report. The Placer County Transportation Planning Agency, as the administrator of Placer County Local Transportation Fund, is required to perform annual fiscal audits of jurisdictions within Placer County claiming Transportation Development Act funds. There is no impact to the City's General Fund.

CC #: 7713

File #: 0201-02 & 0721

CONTACT: Jennifer Kwok 916-774-5307 jkwok@roseville.ca.us

7.36. Housing Authority Status Report - Housing Choice Voucher Program Activity

Memo from Housing Supervisor Nancy Cox and Housing Authority Assistant Director Chris Robles recommending the Housing Authority accept the quarterly report of the Roseville Housing Authority Housing Choice Voucher Program activity. The Council, acting as the Roseville Housing Authority will receive the report with no action required. This report is for informational purposes only and has no impact on the City's General Fund.

CC #: 7722

File #: 0709-02-01

CONTACT: Nancy Cox 916-774-5451 ncox@roseville.ca.us

7.37. City of Roseville FY2016-17 Proposed Annual Budget

Memo from Budget Manager Kathy Cullen and Finance Director Monty Hanks recommending Council review the proposed City of Roseville FY2016-17 Annual Budget and hold a public hearing on June 8, 2016 at 6:00 p.m. The budget adoption is scheduled for the June 15, 2016 Council meeting.

CC #: 7728

File #: 0201

CONTACT: Kathy Cullen 916-746-1306 kcullen@roseville.ca.us

7.38. Out of State Travel Request - Fire Department

Memo from Fire Division Chief Jason Rizzi and Fire Chief Rick Bartee recommending Council approval for Fire Division Chief Jason Rizzi and Police Lieutenant Troy Bergstrom, who both chair the City's Emergency Management Team and lead the City's emergency planning and preparedness efforts, to attend the Enhanced All-Hazards Incident Management/Unified Command course being held at the Emergency Operations Training Center in College Station, Texas, June 27, 2016 - July 1, 2016. All travel, lodging and registration fees are covered by the Federal Emergency Management Agency. The only costs to the City is the per diem costs for both Division Chief Rizzi and Lieutenant Bergstrom in the total amount of \$590.00.

CC #: 7695

File #: 0600-02

CONTACT: Jason Rizzi 916-774-5802 jrizzi@roseville.ca.us

Ceremonial Documents

7.39. Resolution of Commendation and Appreciation to Kim Ambuehl

Kim Ambuehl be commended for her outstanding service and dedication to the City of Roseville, congratulated for her many accomplishments, and wished a long, healthy, and enjoyable retirement.

CC #: 7708

File #: 0102-10

CONTACT: Heather Blanco 916-774-5265 hblanco@roseville.ca.us

END OF CONSENT CALENDAR

8. RESOLUTIONS

8.1. Draft Transportation Sales Tax Expenditure Plan

Memo from Public Works Director Rhon Herndon recommending Council adopt RESOLUTION NO. 16-199 APPROVING A TRANSPORTATION EXPENDITURE PLAN. This item is requesting the City Council to adopt the Draft Transportation Sales Tax Expenditure Plan (DTSTEP) prepared by the Placer County Transportation Planning Agency. The DTSTEP contains a list of improvements that would be funded with transportation sales tax revenues. The DTSTEP would only become effective in the event the transportation sales tax initiative is placed on the November 2016 General Election ballot and the voting public approves the initiative.

CC #: 7694

File #: 0721

CONTACT: Rhon Herndon 916-774-5331 rherndon@roseville.ca.us

8.2. Finance Authority - Special Tax Refunding Bonds, Series 2016

Memo from Financial Analyst Vanessa Lieberman and Finance Director Monty Hanks recommending Council adopt ROSEVILLE FINANCE AUTHORITY RESOLUTION NO. 2-16 OF THE BOARD OF DIRECTORS OF THE ROSEVILLE FINANCE AUTHORITY AUTHORIZING THE ISSUANCE AND SALE OF REFUNDING REVENUE BONDS FOR THE PURPOSE OF REFUNDING OUTSTANDING REVENUE BONDS, 2006 SERIES A (SENIOR LIEN BONDS) AND 2006 SERIES B (JUNIOR LIEN BONDS), AND APPROVING RELATED DOCUMENTS AND ACTIONS. The City has identified a multitude of savings by refunding the Roseville Finance Authority Revenue Bonds Series 2006 A and Series 2006 B. Not only will this create a \$5.3 million reduction in debt service, it will reduce the special tax assessments to property owners within the districts of Highland Reserve North CFD No. 1 and Woodcreek East Communities Facilities District No. 1 by approximately three percent. There is no negative impact to the City's General Fund.

CC #: 7706

File #: 0206-03-01

CONTACT: Vanessa Lieberman 916-774-5189 vlieberman@roseville.ca.us

8.3. Successor Agency to the Former Redevelopment Agency - Approval of Refunded 2016 Tax Allocation Bonds

Memo from Financial Analyst Melissa Hagan and Economic Development Director Chris Robles recommending Council adopt RESOLUTION NO. 16-224 OF THE SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY OF THE CITY OF ROSEVILLE CONFIRMING THE ISSUANCE OF 2016 TAX ALLOCATION REFUNDING BONDS PURSUANT TO A THIRD SUPPLEMENTAL INDENTURE OF TRUST AND APPROVING PRELIMINARY AND FINAL OFFICIAL STATEMENTS AND PROVIDING OTHER MATTERS RELATING THERETO. The former Redevelopment Agency originally issued \$22,945,000.00

in tax allocation bonds in 2006 to fund public improvement projects, acquire property, and fund commercial loans in the downtown and historic districts located in the redevelopment plan area. The Agency is requesting the Successor Agency approve a resolution to refund the remaining balance of the bonds (\$21,080,000.00) to achieve debt service savings. There will be no fiscal impact to the City or the Successor Agency as all of the costs associated with the refunding are included as part of the issuance of the bonds.

CC #: 7735

File #: 0103-10-04

CONTACT: Melissa Hagan 916-774-5476 mhagan@roseville.ca.us

9. SPECIAL REQUESTS/REPORTS/PRESENTATION

9.1. Oak Street Parking Facility Update

Informational update on the progress of the Oak Street Parking Facility Project. Presentation will highlight progress, schedule, budget, and next steps.

CC #: 7737

File #: 0102-11

CONTACT: Bill Aiken 916-774-5271 baiken@roseville.ca.us

9.2. Roseville Grants Advisory Commission - FY2016/17 Funding Recommendations

Memo from Economic Development Manager Laura Matteoli and Economic Development Director Chris Robles recommending Council approve the Roseville Grants Advisory Commission's recommendation to fund 49 grants in the amount of \$404,353.00 (\$393,123.00 from the Citizens Benefit Fund and \$11,230.00 from the REACH Fund) and include the \$404,353.00 in the FY2016-17 City of Roseville Budget. The administration of the grants program has a nominal cost associated with staff resources. Estimated annual cost of administration is approximately \$30,000.00 annually.

CC #: 7699

File #: 0103-34-02

CONTACT: Laura Matteoli 916-774-5284 lmatteoli@roseville.ca.us

10. PUBLIC HEARING

NOTICE TO THE PUBLIC

City Council, when considering the matter scheduled for hearing, will take the following actions:

1. Open the Public Hearing
2. Presentation by Staff
3. Presentation by applicant or Appellant
4. Accept Public Testimony
5. Appellant or Applicant Rebuttal Period
6. Close the Public Hearing
7. City Council Comments and Questions
8. City Council Action

In the future, if you wish to challenge in court any of the matters on this agenda for which a public hearing is to be conducted, you may be limited to raising only those issues, which you, or someone else raised orally at the Public Hearing or in written correspondence received by the City or before the hearing

Public Hearings listed for continuance will be continued as noted and posting of this agenda serves as notice of continuation.

10.1. Tax Equity Fiscal Responsibility Act Hearing - Campus Oaks Apartments Phases 1 and 2

Memo from Administrative Analyst Jeannine Thrash and Finance Director Monty Hanks recommending Council adopt RESOLUTION NO. 16-208 APPROVING THE ISSUANCE BY THE CALIFORNIA STATEWIDE COMMUNITIES DEVELOPMENT AUTHORITY OF MULTIFAMILY HOUSING REVENUE BONDS FOR THE CAMPUS OAKS APARTMENTS PHASE I AND II. Campus Oaks Apartments 1, LLC, an Illinois limited liability company and Campus Oaks Apartments 2, LLC, an Illinois limited liability company requested that California Statewide Communities Development Authority (CSCDA) serve as a municipal issuer of the bonds in aggregate principal not to exceed \$81,000,000.00. The proceeds of the bonds will be used for financing the costs of acquisition and construction of a 185-unit multifamily rental housing project, plus ancillary and subordinate financing located at the Southwest corner of Crimson Ridge Drive and HP Way, Roseville, California, to be known as Campus Oaks Apartment Phase I and a 210-unit multifamily rental housing project, plus ancillary and subordinate facilities located at the Southwest corner of Painted Desert Drive and HP Way, Roseville, California, to be known as Campus Oaks Apartments Phase II. The City must conduct a public hearing to provide members of the community an opportunity to speak in favor of or against the use of tax-exempt bonds for the financing of the project, and following the close of the TEFRA Hearing, must provide its approval of the issuance of the bonds for the financing of the project. Participation by the City in the CSCDA will not impact the City's appropriations limits and will not constitute any type of indebtedness by the City. Outside of holding the TEFRA hearing, adopting the required resolution, no other participation or activity of the City or the City Council with respect to the issuance of the bonds will be required. The approval of this resolution does not have any impact on the City's General Fund.

CC #: 7711

File #: 0709

CONTACT: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

11. COUNCIL / STAFF / REPORTS/ COMMENTS

11.1. Environmental Utilities Update - Drought Measures

CC #:

File #:

CONTACT:

12. ADJOURNMENT



COUNCIL COMMUNICATION

CC #: 7703
File #: 0102-10

Title: Resolution of Congratulations to Troop 1
Contact: Heather Blanco 916-774-5265 hblanco@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 5.1.

RECOMMENDATION TO COUNCIL

Recommend Boy Scout Troop 1 be congratulated on their 100th Anniversary and thanked for their contributions to the community.

BACKGROUND

Not applicable.

FISCAL IMPACT

Not Applicable.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

ENVIRONMENTAL REVIEW

Not Applicable.

Respectfully Submitted,

Heather Blanco, Deputy Clerk

Sonia Orozco, City Clerk



Rob Jensen, City Manager

ATTACHMENTS:

Description



COUNCIL COMMUNICATION

CC #: 7736
File #: 0102-10

Title: Resolution of Congratulations to Harriman Family
Contact: Heather Blanco 916-774-5265 hblanco@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 5.2.

RECOMMENDATION TO COUNCIL

Recommend the Harriman Family be congratulated on their dedication and support of the Roseville public education at Quail Glen since Day 1 and thanked for their contributions to the community.

BACKGROUND

Not Applicable.

FISCAL IMPACT

Not Applicable.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

ENVIRONMENTAL REVIEW

Not Applicable.

Respectfully Submitted,

Heather Blanco, Deputy City Clerk

Sonia Orozco, City Clerk



Rob Jensen, City Manager

ATTACHMENTS:

Description



COUNCIL COMMUNICATION

CC #: 7702

File #: 0203-13-02

Title: Traffic Signal Batteries (RFQ 01-3074) - Reject all Bids
Contact: Babette Owens 916-774-5704 bowens@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.1.

RECOMMENDATION TO COUNCIL

Recommend that all bids received for RFQ 01-3074 for traffic signal batteries be rejected, and authorize a new bid. The original battery, ES17-12, that has been used for the last five years has been changed to a new Cast On Strap process resulting in a lower weight for each battery.

BACKGROUND

The Public Works Traffic Signal Division has an ongoing requirement to purchase replacement batteries for their traffic signal battery back-up systems (BBS). The batteries are a back-up energy source for the City's traffic signals in the event that there is a power outage. The specification was written based on the batteries the City has been receiving over the last five years with a minimum weight of 27.64 lbs. The manufacturers have recently changed to a new Cast On Strap (COS) process and this new casting process has reduced the weight of the batteries. All of the bids are being rejected based on the lower weight in the new batteries, and none of these bidders met the minimum weight requirement. The City will re-bid based on these new specifications.

A formal bid was issued with the following seven responses:

<u>Bidder</u>	<u>Unit Price</u>
United Power & Battery Aravada, CO	\$95.00
Tesco Controls, Inc. Sacramento, CA	\$108.00
MK Battery Anaheim, CA	\$110.00
Batteries Plus Bulbs Roseville, CA	\$87.00
OSI Batteries	\$92.30

Sparks, NV

Big Hog Battery Service	\$108.90
Sacramento, CA	

SacPower	\$118.00
Sacramento, CA	

All bids will be rejected and a new bid will be issued to update specifications

FISCAL IMPACT

There is no fiscal impact at this time, since there will be no contract award.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The purchase of traffic signal batteries does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Babette Owens, Buyer

Paul Diefenbach, Central Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-204

RESOLUTION NO. 16-204

REJECTING ALL BIDS FOR TRAFFIC SIGNAL BATTERIES

WHEREAS, the City of Roseville requested bids for replacement batteries for traffic signal battery back-up system; and

WHEREAS, the technical specifications for the batteries have changed due to updates in the manufacturing process; and

WHEREAS, staff desires to reject all bids for traffic signal batteries;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that all bids for traffic signal batteries are hereby rejected.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



COUNCIL COMMUNICATION

CC #: 7732

File #: 0900-04-02

Title: Roller Compacted Concrete Project - Approval of Plans and Specifications and Authorize Staff to Call for Bids

Contact: Noah Siviglia 916-746-1379 nsiviglia@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.2.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council approve the plans and specifications for the Roller Compacted Concrete Pilot Project and authorize staff to call for bids.

BACKGROUND

Staff is continuously looking for ways to maintain our streets in a cost effective manner. Traditional asphalt pavement requires regular maintenance at 7 to 10 year intervals. Gas tax revenues are not keeping up with the cost to maintain our roadways. This has caused staff to look for an alternative to traditional asphalt pavement that requires less maintenance. One pavement option proven to have reduced maintenance costs is Roller Compacted Concrete (RCC). RCC is installed with a paving machine similar to conventional asphalt. This results in similar or lower initial construction costs. In addition, RCC has a substantially reduced maintenance cost as compared to traditional asphalt pavement.

The arterial and collector street segments identified for this RCC pilot project include: Washington Blvd. from Pleasant Grove Blvd. to just south of Blue Oaks Blvd.; Atkinson Street from Church Street to the Foothills Blvd. overcrossing; and Denio Loop. Heavy usage of these roads by truck traffic has led to degradation of the structural section requiring complete reconstruction. This need provides an ideal opportunity to install RCC as a pilot project for Roseville. Additionally, Hickory Street from Church Street to Oakland Avenue has been included as a test of RCC's usefulness for residential streets. Staff conducted a survey of the adjacent residents and property owners and received support for the street's inclusion in the project.

Curb ramp upgrades within the project limits are also included to ensure that all curb ramps meet current ADA standards.

We anticipate construction to begin in mid August 2016, with completion by December 2016.

Construction plans and specifications for the project have been completed and are available at the City Clerk's Office for Council's review.

PUBLIC OUTREACH

Previous public outreach has included two separate group meetings with utility companies, a presentation and discussion at the North State Building Industry Association, a presentation to the

City's Transportation Commission, and a presentation before the City Council. The RCC pilot project has received support at all of those meetings.

As the project moves forward to construction, staff will be working with our communication team to develop a public outreach campaign to keep the community informed of the project's progress and traffic impacts during the construction phase of the project. This includes disseminating the latest project information on the City's web page and through news stories, traffic alerts, and social media. About two weeks before the planned work, Changeable Message Signs (CMS) will be placed at various locations throughout the project area to notify the traveling public about the project, its start and end times, road closures and detours for Atkinson and Hickory Street (Washington Blvd. will remain open during construction), and any potential traffic impacts expected with the work. The majority of the work will take place during regular business hours with occasional night work. Staff will also send letters to residents and businesses adjacent to the project area explaining the planned work, the type of pavement treatment that will be used, and what the recipients can expect before, during, and after the project.

During construction, staff will also be reaching out to the development, utility, and construction entities inviting them to come observe the project as it is being built. This will provide an opportunity for interested parties to learn more about RCC. Staff will subsequently solicit input from these entities as we evaluate the success of the pilot project and determine its future potential for use within the City of Roseville.

FISCAL IMPACT

The engineer's estimate for this project is \$5.5 million and is fully funded by Local Transportation Funds. No General Funds are allocated for this project.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on Federal guidelines, there is one job created for every \$92,000 in direct government spending. Based on that figure, this project will create 60 jobs.

ENVIRONMENTAL REVIEW

The proposed project involves the minor alteration of an existing facility. This activity is categorically exempt from CEQA as a Class 1 Exemption (State CEQA Guidelines Section 15301). The Exemption has been prepared and no further CEQA action is required.

Respectfully Submitted,

Noah Siviglia, Assistant Engineer

Rhon Herndon, Public Works Director



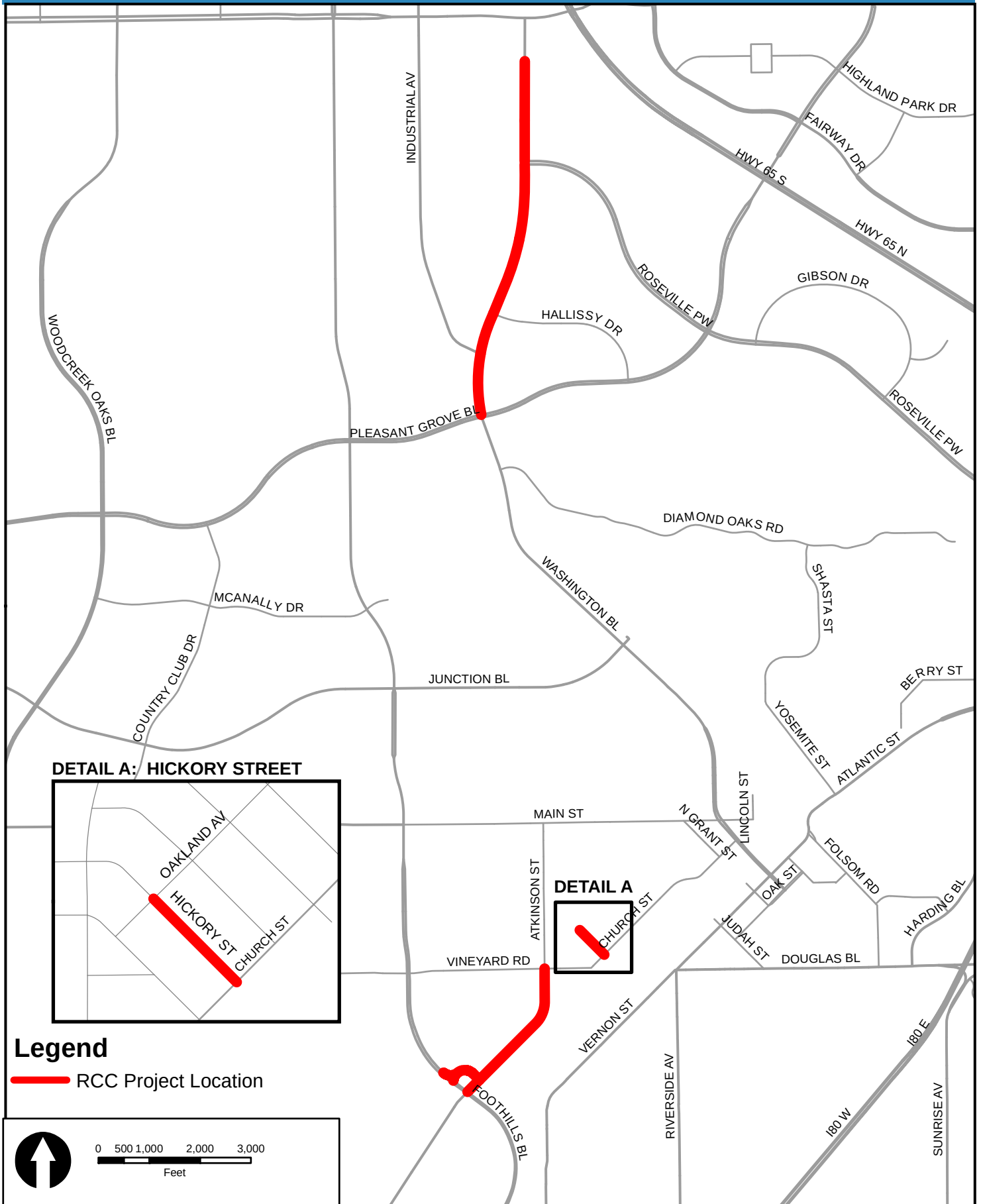
ATTACHMENTS:

Description
Rob Jensen, City Manager

RCC Location Map

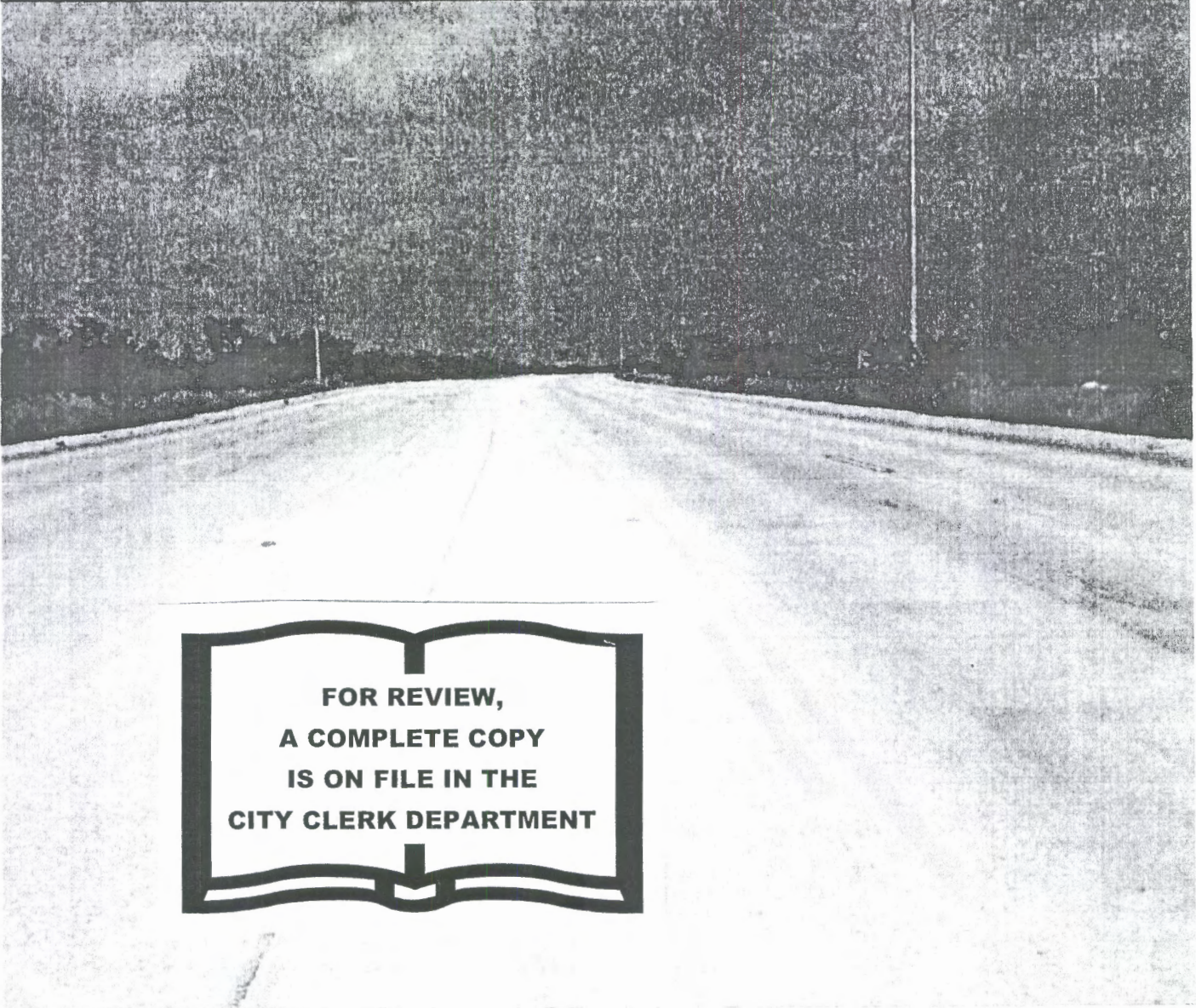
RCC Project Manual

CEQA Document



Roller Compacted Concrete Pilot Project

Public Works - Engineering



**FOR REVIEW,
A COMPLETE COPY
IS ON FILE IN THE
CITY CLERK DEPARTMENT**



Notice of Exemption

To: Placer County Clerk
Recorder's Office
2954 Richardson Drive
Auburn, CA 95603

From: City of Roseville
Community Development Dept.
311 Vernon Street
Roseville, CA 95678

Project Title: 2016 Roller Compacted Concrete Project

Project Location(s): Washington Blvd. from Pleasant Grove Blvd. to just south of Blue Oaks Blvd.; Atkinson Street from Church Street to the Foothills Blvd. overcrossing; and Denio Loop. Additionally, Hickory Street from Church Street to Oakland Avenue has been included as a test of RCC's usefulness for residential streets. All locations are within existing City right-of-way, City of Roseville, CA.

Project Description: The 2016 Roller Compacted Concrete (RCC) Project is a pilot program proposal by the Public Works Department to resurface various City streets using a new roller compacted concrete construction technique. RCC is installed with a paving machine similar to conventional asphalt however it has lower initial construction costs and substantially reduced maintenance cost as compared to traditional asphalt pavement. Curb ramp upgrades within the project limits are also included to ensure that all curb ramps meet current ADA standards. Construction is scheduled to begin in mid-August 2016, with completion by December 2016.

Public Agency Approving Project: Roseville City Council

Agency/Individual Carrying Out Project: City of Roseville Public Works Department

Reason why this Project is Exempt: This project qualifies for a Class 1 Exemption as described in Section 15301 of the California Environmental Quality Act (CEQA) guidelines which states:

"Class 1 consists ofminor alteration of existing public facilities,involving negligible or no expansion of use beyond that previously existing.... examples include highways, streets, sidewalks, gutters, bicycle and pedestrian trails...."

The project is confined to existing streets within City right-of-way and would not result in expansion of use. No environmentally sensitive resources are located within the project site; therefore the Class 1 exemption is applicable to the proposed project.

Lead Agency Contact Person: Noah Siviglia, Public Works Department, 311 Vernon Street, Roseville, CA 95678 (916) 746-1379.

Signature: _____


Mark J. Morse, Environmental Coordinator
City of Roseville

Date: 5-20-16



COUNCIL COMMUNICATION

CC #: 7710
File #: 0800-02

Title: Shadowbrook Lift Station and Force Main Phase 1 - Approval of Initial Study/Mitigated Negative Declaration, Mitigation Monitoring and Reporting Program, Project Plans and Specifications, and Call for Bids

Contact: Edward Winston 916-774-5566 ewinston@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.3.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council:

1. Adopt a resolution adopting the Shadowbrook Lift Station and Force Main Project Initial Study/Mitigated Negative Declaration (Exhibit A) and approving the attached Mitigation Monitoring and Reporting Program(Exhibit B);
2. Approve the attached Project Plans and Specifications (Exhibit C) and authorize the Environmental Utilities Department to advertise and accept bids.

BACKGROUND

In July, 2005, the Environmental Utilities Department began a comprehensive assessment of the wastewater collection system. The goal of the program was to inspect all wastewater collection system pipelines and lift stations to observe physical conditions, note apparent defects, and develop recommendations for improvements.

During the multi-year assessment process, each of the 17 lift stations were tested and evaluated. All lift stations with the exception of Shadowbrook Lift Station have received some form of improvements. The Shadowbrook Lift Station requires major improvements to address inadequate emergency storage capacity, its location within the City's flood plain, and the potential deterioration of the force main pipe exiting the lift station.

On February 4, 2015, the City Council awarded a professional services agreement to Hatch Mott MacDonald (HMM) to provide engineering design services for the Shadowbrook Lift Station and Force Main Project. As the project progressed, new information garnered through the work has resulted in the need for design changes and construction phasing. The initial geotechnical investigation revealed shallow underground rock conditions which made the originally conceived trenchless pipeline crossing of Dry Creek costly and risky. Supplemental borings were determined to be necessary to further define the soil/rock depth adjacent to the waterway. These borings confirmed the feasibility of the open trench construction method across the creek. Due to the

nature of the soil/rock composition in this location, the open cut method is less costly than the trenchless installation method initially proposed. However, open trench construction triggers the need for additional state and federal permitting to address temporary construction and dewatering impacts (due to open trench crossing of Dry Creek), and related potential impacts to sensitive fish species.

The additional environmental permitting efforts showed the project schedule being extended into 2017 due to extra time needed to procure the required permits for the creek crossing work. City staff concluded it would be premature to obtain accurate construction bidding for the creek crossing work related state and federal permit conditions are known. To reduce the impacts of the schedule delay and construction bidding process, City staff are recommending the project construction be phased such that the lift station and storage improvements be bid and constructed in 2016 and the force main crossing be bid and constructed separately in 2017 after all permits are obtained. On April 6, 2016, Council approved the First Amendment to the Professional Services Agreement with HMM to carry out the additional engineering, environmental, construction management, and inspection services needed to complete the Project under the phased construction approach.

Public Outreach

Outreach for the project included a placing a public notice in the Roseville Press tribune and sending direct mail notices to all properties within 300 feet of the project site. Both notices provided a brief project description, announced availability of the project's environmental document and related 30-day public review and comment period, and provided notice of a public workshop held at the Civic Center on March 31, 2016. No members of the public attended the workshop, however one resident of the Shadowbrook apartment complex did inquire about the project. This resident lives near the existing lift station, had general questions about the project and schedule, but no significant concerns and stated support for the proposed project.

FISCAL IMPACT

The engineers cost estimate to complete the construction work for Phase 1 of the Shadowbrook Lift Station and Force Main Project is \$690,000. The total project budget of \$1,525,000 was approved in fiscal year 13-14 and funded from the Wastewater Rehabilitation Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

The project will create up to 15 temporary construction jobs.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) requires that all state and local government agencies consider the environmental consequences of projects over which they have discretionary authority before acting on those projects. An Initial Study (IS) is a public document used by the decision-making lead agency to describe the proposed project, the existing setting, and to determine whether the project may have a significant impact on the environment. If it is determined in an IS that the proposed project will not have a significant impact on the environment, a Negative Declaration (ND) or Mitigated Negative Declaration (MND) shall be prepared.

In accordance with CEQA, an IS was prepared for the proposed project (Exhibit A). The Initial Study conclusion is that all potential impacts can be mitigated to a less-than-significant level. As such, an MND is proposed to satisfy CEQA requirements.

Environmental Impact Summary

The project is located within the City's "Infill" Planning Area and involves rehabilitation of the existing Shadowbrook Sewer Lift Station and force main. Impacts are primarily short-term construction related. All temporarily disturbed areas would be restored as part of the project. Potentially significant impacts were identified for the following environmental issue areas:

- Biological Resources
- Cultural Resources
- Geology and Soils
- Noise
- Tribal Cultural Resources

Mitigation includes the application of 10 proposed construction Best Management Practices (BMPs) as well as 6 recommended CEQA mitigation measures. All potential impacts can be mitigated to less than significant with application the BMPs and recommended mitigation measures. The IS conclusion is that with implementation of all mitigation measures, all potential impacts would be reduced to less than significant and a MND is proposed to satisfy CEQA requirements.

Consistent with CEQA Guidelines Section 15097, a Mitigation Monitoring and Reporting Program (MMRP) has been prepared to assist with the tracking and implementation of required mitigation measures. The MMRP is included herewith as Exhibit B.

The IS conclusion is that with implementation of all mitigation measures identified in the IS/MND and MMRP, all potential impacts would be reduced to less than significant and an MND is proposed to satisfy CEQA requirements. As such staff recommends adoption of the IS/MND and approval of the Mitigation Monitoring and Reporting Program to satisfy the project's CEQA requirements.

CEQA Document Public Comment

The IS/MND was made available for a 32 day public review and comment period from March 25 through April 25, 2016. The environmental document availability was announced: 1) via a post card mailing to surrounding properties; 2) via email to those that signed up for email notices; 3) on the City's web site; and, 4) via a legal notice published in the March 25, 2016 edition of the Roseville Press Tribune. These notices advised of the IS/MND availability and public comment period. Hard copies of the environmental document were also made available at the Permit Center front counter and electronic copies were available via the City's web site.

A single comment letter dated April 19, 2016 from the Central Valley Regional Water Quality Control Board (CVRWQCB) was received during the public review period (Exhibit D). The CVRWQCB letter is a standard comment letter listing state permits and regulations that potentially apply to the project. The City will ensure all applicable permits and regulations are adhered to as part of project implementation. No further response is required and staff recommends adoption of the IS/MND to satisfy the project's CEQA requirements.

Respectfully Submitted,

Edward Winston, Associate Engineer

Richard Plecker, Environmental Utilities Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-207

Exhibit A - Shadowbrook Lift Station and Force Main Project Initial Study and Mitigated Negative Declaration

Exhibit B - Mitigation Monitoring and Reporting Program

Exhibit C - Project Plans and Specifications

Exhibit D - Initial Study/Mitigated Negative Declaration Public Comment

RESOLUTION NO. 16-207

ADOPTING AN INITIAL STUDY/MITIGATED NEGATIVE DECLARATION, APPROVING THE MITIGATION MONITORING AND REPORTING PROGRAM, AND APPROVING THE SHADOWBROOK LIFT STATION AND FORCE MAIN PROJECT, PHASE I

WHEREAS, the City of Roseville, pursuant to the City of Roseville Procedures for the Preparation, Processing and Review of Environmental Documents (“the Procedures”), has submitted to the City Council, acting as Approving Authority pursuant to said Procedures, an Initial Study/Mitigated Negative Declaration for the Shadowbrook Lift Station and Force Main Project, Phase I located in Roseville, California (“the Project”); and

WHEREAS, the Council has considered the recommendation of staff and the Proposed Initial Study/Mitigated Negative Declaration, together with all public comments received during the public review process; and

WHEREAS, the City Clerk’s Department is the custodian of record of the record of proceedings and such documents are on file with the City Clerk’s Department; and

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Roseville that it finds on the basis of the Initial Study/Mitigated Negative Declaration, and the whole record, including public comments and other documents, that if the Shadowbrook Lift Station and Force Main Project, Phase I is conditioned with mitigation measures as proposed, there is no substantial evidence that the Project will have a significant effect on the environment; the Initial Study/Mitigated Negative Declaration reflects the independent judgment and analysis of the City of Roseville, and the Initial Study/Mitigated Negative Declaration for the Project is hereby adopted.

BE IT FURTHER RESOLVED, the Mitigation Monitoring Plan for the Shadowbrook Lift Station and Force Main Project, Phase I is hereby approved.

BE IT FURTHER RESOLVED that the Shadowbrook Lift Station and Force Main Project, Phase I as described in the Initial Study is hereby approved and staff is directed to move forward with the proposed project.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20____, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

EXHIBIT A

**SHADOWBROOK LIFT STATION AND FORCE MAIN PROJECT
INITIAL STUDY/MITIGATED NEGATIVE DECLARATION**

**COMPLETE COPY MAY BE VIEWED AT THE CITY CLERK'S OFFICE
OR**

AT THIS LINK:

<http://www.roseville.ca.us/civicax/filebank/blobdload.aspx?BlobID=35272>

Mitigation Monitoring and Reporting Program

Introduction

An Initial Study/Mitigated Negative Declaration (IS/MND) was prepared to comply with the California Environmental Quality Act (CEQA) for the City of Roseville's Shadowbrook Lift Station and Force Main Project (Proposed Project). The IS/MND identified potentially significant environmental impacts in the following areas, as well as mitigation measures to reduce these potentially significant impacts:

- Biological Resources
- Cultural Resources
- Geology and Soils
- Noise
- Paleontological Resources

This mitigation monitoring and reporting program (MMRP) identifies the mitigation measures proposed to be implemented by the City of Roseville for the proposed project, identifies the parties responsible for implementation and monitoring, and identifies the timing of mitigation.

Regulatory Background

This MMRP has been prepared to comply with Section 21081.6(a)(1) of the Public Resources Code, which requires the following:

The public agency shall adopt a reporting or monitoring program for the changes made to the project or conditions of project approval, adopted in order to mitigate or avoid significant effects on the environment. The reporting or monitoring program shall be designed to ensure compliance during project implementation.

The MMRP is intended to ensure the effective implementation of mitigation measures that are within the authority of the City of Roseville to implement (including monitoring where identified) throughout all phases of construction and operation of the proposed project.

Program Implementation

Table 1 lists all mitigation measures identified in Chapter 3, *Environmental Checklist*, of the IS/MND for the Proposed Project. In general, monitoring becomes effective at the time the action is taken on the project. Timing of monitoring is organized as follows:

1. *Prior to Construction:* The monitoring activity consists of ensuring that a particular mitigation action has taken place prior to the beginning of any construction or grading activities, sometimes at the plan check stage.
2. *During Construction:* The monitoring activity consists of active monitoring while grading or construction is occurring on the project site.
3. *Prior to Operation:* The mitigation activity consists of active monitoring after initial site grading and construction has occurred but prior to the initiation of project operations.
4. *Ongoing:* The mitigation activity consists of monitoring after the grading and construction phase of the project has been completed and relates to ongoing operation of the project.

City of Roseville staff will be responsible for implementing or ensuring that the mitigation actions listed in the MMRP are undertaken for this project. Implementation includes ensuring that any required actions are included in bid documents and contracts as part of the design/build process for the project and ensuring that the design/build contractors include specified mitigation activities in plans and specifications for construction. City of Roseville staff responsibility includes designation of certain mitigation responsibility to, and continued oversight of, the design/build contractors and consultants.

Table 1. Mitigation Monitoring and Reporting Program Checklist

Mitigation Measure/Compliance Standard	Implementing Responsibility	Monitoring Responsibility for Implementing Measure	Timing ¹	Verification of Compliance (Initials/Date)
Mitigation Measure BIO-1. Endangered Species Act Section 7 Consultation and Preparation of a Biological Assessment To prevent take of any special-status fish species protected under ESA, the Applicant will consult with National Marine Fisheries Service (NMFS) pursuant to Section 7 of ESA. A formal Biological Assessment (BA) shall be prepared to address any potential adverse effects to federally listed fish species arising from implementation of the Proposed Project. This document will also address any effects on Critical Habitat and shall be submitted as part of the permitting process. The BA shall be the primary support document for ESA consultation and, once issued, the Proposed Project shall comply with all conditions of the Biological Opinion (BO) from NMFS. These conditions will include mitigation measures to minimize potential impacts to special status fish species such as the threatened Central Valley steelhead and to minimize activities that would adversely affect or modify Critical Habitat. These measures may include but are not necessarily limited to construction timing windows, dewatering structure installation procedures, implementation of erosion control and turbidity measures, training of construction crews, and on-site monitoring and reporting.	City	City	Prior to and during Construction	
Mitigation Measure CR-1: Unanticipated Discovery of Cultural Resources If subsurface deposits believed to be cultural or human in origin are discovered during construction, then all work must halt within a 100-foot radius of the discovery. A qualified professional archaeologist, meeting the Secretary of the Interior's Professional Qualification Standards for prehistoric and historic archaeologist,	City and Contractor	City and Project Archaeologist	During Construction	

Table 1. Mitigation Monitoring and Reporting Program Checklist

Mitigation Measure/Compliance Standard	Implementing Responsibility	Monitoring Responsibility for Implementing Measure	Timing ¹	Verification of Compliance (Initials/Date)
shall be retained to evaluate the significance of the find, and shall have the authority to modify the no-work radius as appropriate, using professional judgment. The following notifications shall apply, depending on the nature of the find: A. If the professional archaeologist determines that the find does not represent a cultural resource, then work may resume immediately and no agency notifications are required. B. If the professional archaeologist determines that the find does represent a cultural resource from any time period or cultural affiliation, then he or she shall immediately notify the City of Roseville as the CEQA lead agency, and applicable landowner. The agencies shall consult on a finding of eligibility and implement appropriate treatment measures, if the find is determined to be eligible for inclusion in the NRHP or CRHR. Work cannot resume within the no-work radius until the lead agencies, through consultation as appropriate, determine that the site either: 1) is not eligible for the NRHP or CRHR; or 2) that the treatment measures have been completed to their satisfaction. C. If the find includes human remains, or remains that are potentially human, then he or she shall ensure reasonable protection measures are taken to protect the discovery from disturbance (AB 2641). The archaeologist				

Table 1. Mitigation Monitoring and Reporting Program Checklist

Mitigation Measure/Compliance Standard	Implementing Responsibility	Monitoring Responsibility for Implementing Measure	Timing ¹	Verification of Compliance (Initials/Date)
shall notify the Placer County Coroner (per §7050.5 of the Health and Safety Code). The provisions of §7050.5 of the California Health and Safety Code, §5097.98 of the California Public Resources Code, and Assembly Bill 2641 will be implemented. If the Coroner determines the remains are Native American and not the result of a crime scene, then the Coroner will notify the NAHC, which then will designate a Native American Most Likely Descendant (MLD) for the project (Section 5097.98 of the Public Resources Code). The designated MLD will have 48 hours from the time access to the property is granted to make recommendations concerning treatment of the remains. If the landowner does not agree with the recommendations of the MLD, then the NAHC can mediate (Section 5097.94 of the Public Resources Code). If no agreement is reached, the landowner must rebury the remains where they will not be further disturbed (Section 5097.98 of the Public Resources Code). This will also include either recording the site with the NAHC or the appropriate Information Center; using an open space or conserving zoning designation or easement; or recording a reinternment document with the county in which the property is located (AB 2641). Work cannot resume within the no-work radius until the lead agencies, through consultation as appropriate, determine that the treatment measures have been completed to their satisfaction.				

Table 1. Mitigation Monitoring and Reporting Program Checklist

Mitigation Measure/Compliance Standard	Implementing Responsibility	Monitoring Responsibility for Implementing Measure	Timing ¹	Verification of Compliance (Initials/Date)
Mitigation Measure GEO-1: Site Specific Geotechnical Design Recommendations The site-specific recommendations from the Geotechnical Report, Shadowbrook Lift Station and Force Main, Roseville, California prepared for the Shadowbrook Lift Station and Force Main project shall be followed during site design and construction.	City and Contractor	City	Prior to and during Construction	
Mitigation Measure N-1: Construction Noise Limits A. Construction occurs between the hours of 7:00 a.m. and 7:00 p.m. Monday through Friday and between the hours of 8:00 a.m. and 8:00 p.m. Saturday and Sunday; B. All construction equipment shall be fitted with factory-installed muffling devices and that all construction equipment shall be maintained in good working order.	City and Contractor	City	During Construction	
Mitigation Measure N-2: Emergency Diesel Generator Operation Noise Limits A. The emergency generator may be exercised between the hours of 8:00 a.m. and 5:00 p.m. B. The emergency generator shall be equipped with a sound enclosure which will reduce noise levels consistent with the Kohler Power System which indicates a sound level of 64 dBA at a distance of 7 meters (23-feet).	City and Contractor	City	Prior to and during Construction	

Table 1. Mitigation Monitoring and Reporting Program Checklist

Mitigation Measure/Compliance Standard	Implementing Responsibility	Monitoring Responsibility for Implementing Measure	Timing ¹	Verification of Compliance (Initials/Date)
Mitigation Measure P-1: Unanticipated Discovery of Paleontological Resources In the event that any fossil materials are encountered during ground-disturbing project-related activities, all activities must be suspended in the vicinity of the find and the City of Roseville shall be notified immediately. A Qualified Professional Paleontologist shall be obtained and empowered to halt or divert ground-disturbing activities. A plan for monitoring and fossil recovery must be completed and implemented before ground-disturbing activities can recommence in the area of the fossil find to allow for the recovery of the find. Recovered fossils shall be analyzed to a point of identification and curated at an established accredited museum repository with permanent retrievable paleontological storage. A technical report of findings shall be prepared with an appended itemized inventory of identified specimens and submitted with the recovered specimens to the curation facility.	City and Contractor	City and Project Paleontologist	During Construction	



Environmental Utilities Department
2005 Hilltop Circle
Roseville, CA

**NOTICE TO CONTRACTORS
PROPOSAL & CONTRACT PROVISIONS
(Volume I of II)
FOR THE**

**Shadowbrook Lift Station & Force Main Project
Phase 1**

NON-REFUNDABLE FEE: \$25

For Pre-Bid Information, contact:

Ed Winston

916-774-5566

ewinston@roseville.ca.us

Bid Opening: Tuesday, July 05, 2016 @ 3:05pm

**FOR REVIEW,
A COMPLETE COPY
IS ON FILE IN THE
CITY CLERK DEPARTMENT**



RECEIVED BY

APR 22 2016



EDMUND G. BROWN JR.
GOVERNOR



MATTHEW RODRIGUEZ
SECRETARY FOR
ENVIRONMENTAL PROTECTION

CITY MANAGER'S OFFICE

Central Valley Regional Water Quality Control Board

19 April 2016

Mark Morse
City of Roseville
311 Vernon Street
Roseville, CA 95678

CERTIFIED MAIL
91 7199 9991 7035 8420 1169

**COMMENTS TO REQUEST FOR REVIEW FOR THE MITIGATED NEGATIVE
DECLARATION, SHADOWBROOK LIFT STATION AND FORCE MAIN PROJECT,
SCH# 2016032076, PLACER COUNTY**

Pursuant to the State Clearinghouse's 25 March 2016 request, the Central Valley Regional Water Quality Control Board (Central Valley Water Board) has reviewed the *Request for Review for the Mitigated Negative Declaration* for the Shadowbrook Lift Station and Force Main Project, located in Placer County.

Our agency is delegated with the responsibility of protecting the quality of surface and groundwaters of the state; therefore our comments will address concerns surrounding those issues.

I. Regulatory Setting

Basin Plan

The Central Valley Water Board is required to formulate and adopt Basin Plans for all areas within the Central Valley region under Section 13240 of the Porter-Cologne Water Quality Control Act. Each Basin Plan must contain water quality objectives to ensure the reasonable protection of beneficial uses, as well as a program of implementation for achieving water quality objectives with the Basin Plans. Federal regulations require each state to adopt water quality standards to protect the public health or welfare, enhance the quality of water and serve the purposes of the Clean Water Act. In California, the beneficial uses, water quality objectives, and the Antidegradation Policy are the State's water quality standards. Water quality standards are also contained in the National Toxics Rule, 40 CFR Section 131.36, and the California Toxics Rule, 40 CFR Section 131.38.

The Basin Plan is subject to modification as necessary, considering applicable laws, policies, technologies, water quality conditions and priorities. The original Basin Plans were adopted in 1975, and have been updated and revised periodically as required, using Basin Plan amendments. Once the Central Valley Water Board has adopted a Basin Plan amendment in noticed public hearings, it must be approved by the State Water Resources Control Board (State Water Board), Office of Administrative Law (OAL) and in some cases,

the United States Environmental Protection Agency (USEPA). Basin Plan amendments only become effective after they have been approved by the OAL and in some cases, the USEPA. Every three (3) years, a review of the Basin Plan is completed that assesses the appropriateness of existing standards and evaluates and prioritizes Basin Planning issues.

For more information on the *Water Quality Control Plan for the Sacramento and San Joaquin River Basins*, please visit our website:

http://www.waterboards.ca.gov/centralvalley/water_issues/basin_plans/.

Antidegradation Considerations

All wastewater discharges must comply with the Antidegradation Policy (State Water Board Resolution 68-16) and the Antidegradation Implementation Policy contained in the Basin Plan. The Antidegradation Policy is available on page IV-15.01 at:

http://www.waterboards.ca.gov/centralvalleywater_issues/basin_plans/sacsjr.pdf

In part it states:

Any discharge of waste to high quality waters must apply best practicable treatment or control not only to prevent a condition of pollution or nuisance from occurring, but also to maintain the highest water quality possible consistent with the maximum benefit to the people of the State.

This information must be presented as an analysis of the impacts and potential impacts of the discharge on water quality, as measured by background concentrations and applicable water quality objectives.

The antidegradation analysis is a mandatory element in the National Pollutant Discharge Elimination System and land discharge Waste Discharge Requirements (WDRs) permitting processes. The environmental review document should evaluate potential impacts to both surface and groundwater quality.

II. Permitting Requirements

Construction Storm Water General Permit

Dischargers whose project disturb one or more acres of soil or where projects disturb less than one acre but are part of a larger common plan of development that in total disturbs one or more acres, are required to obtain coverage under the General Permit for Storm Water Discharges Associated with Construction Activities (Construction General Permit), Construction General Permit Order No. 2009-009-DWQ. Construction activity subject to this permit includes clearing, grading, grubbing, disturbances to the ground, such as stockpiling, or excavation, but does not include regular maintenance activities performed to restore the original line, grade, or capacity of the facility. The Construction General Permit requires the development and implementation of a Storm Water Pollution Prevention Plan

(SWPPP).

For more information on the Construction General Permit, visit the State Water Resources Control Board website at:

http://www.waterboards.ca.gov/water_issues/programs/stormwater/constpermits.shtml.

Phase I and II Municipal Separate Storm Sewer System (MS4) Permits¹

The Phase I and II MS4 permits require the Permittees reduce pollutants and runoff flows from new development and redevelopment using Best Management Practices (BMPs) to the maximum extent practicable (MEP). MS4 Permittees have their own development standards, also known as Low Impact Development (LID)/post-construction standards that include a hydromodification component. The MS4 permits also require specific design concepts for LID/post-construction BMPs in the early stages of a project during the entitlement and CEQA process and the development plan review process.

For more information on which Phase I MS4 Permit this project applies to, visit the Central Valley Water Board website at:

http://www.waterboards.ca.gov/centralvalley/water_issues/storm_water/municipal_permits/.

For more information on the Caltrans Phase I MS4 Permit, visit the State Water Resources Control Board at:

http://www.waterboards.ca.gov/water_issues/programs/stormwater/caltrans.shtml.

For more information on the Phase II MS4 permit and who it applies to, visit the State Water Resources Control Board at:

http://www.waterboards.ca.gov/water_issues/programs/stormwater/phase_ii_municipal.shtml.

Industrial Storm Water General Permit

Storm water discharges associated with industrial sites must comply with the regulations contained in the Industrial Storm Water General Permit Order No. 2014-0057-DWQ.

For more information on the Industrial Storm Water General Permit, visit the Central Valley Water Board website at:

http://www.waterboards.ca.gov/centralvalley/water_issues/storm_water/industrial_general_permits/index.shtml.

Clean Water Act Section 404 Permit

¹ Municipal Permits = The Phase I Municipal Separate Storm Water System (MS4) Permit covers medium sized Municipalities (serving between 100,000 and 250,000 people) and large sized municipalities (serving over 250,000 people). The Phase II MS4 provides coverage for small municipalities, including non-traditional Small MS4s, which include military bases, public campuses, prisons and hospitals.

If the project will involve the discharge of dredged or fill material in navigable waters or wetlands, a permit pursuant to Section 404 of the Clean Water Act may be needed from the United States Army Corps of Engineers (USACOE). If a Section 404 permit is required by the USACOE, the Central Valley Water Board will review the permit application to ensure that discharge will not violate water quality standards. If the project requires surface water drainage realignment, the applicant is advised to contact the Department of Fish and Game for information on Streambed Alteration Permit requirements.

If you have any questions regarding the Clean Water Act Section 404 permits, please contact the Regulatory Division of the Sacramento District of USACOE at (916) 557-5250.

Clean Water Act Section 401 Permit – Water Quality Certification

If an USACOE permit (e.g., Non-Reporting Nationwide Permit, Nationwide Permit, Letter of Permission, Individual Permit, Regional General Permit, Programmatic General Permit), or any other federal permit (e.g., Section 10 of the Rivers and Harbors Act or Section 9 from the United States Coast Guard), is required for this project due to the disturbance of waters of the United States (such as streams and wetlands), then a Water Quality Certification must be obtained from the Central Valley Water Board prior to initiation of project activities. There are no waivers for 401 Water Quality Certifications.

Waste Discharge Requirements – Discharges to Waters of the State

If USACOE determines that only non-jurisdictional waters of the State (i.e., “non-federal” waters of the State) are present in the proposed project area, the proposed project may require a Waste Discharge Requirement (WDR) permit to be issued by Central Valley Water Board. Under the California Porter-Cologne Water Quality Control Act, discharges to all waters of the State, including all wetlands and other waters of the State including, but not limited to, isolated wetlands, are subject to State regulation.

For more information on the Water Quality Certification and WDR processes, visit the Central Valley Water Board website at:

http://www.waterboards.ca.gov/centralvalley/help/business_help/permit2.shtml.

Dewatering Permit

If the proposed project includes construction or groundwater dewatering to be discharged to land, the proponent may apply for coverage under State Water Board General Water Quality Order (Low Risk General Order) 2003-0003 or the Central Valley Water Board’s Waiver of Report of Waste Discharge and Waste Discharge Requirements (Low Risk Waiver) R5-2013-0145. Small temporary construction dewatering projects are projects that discharge groundwater to land from excavation activities or dewatering of underground utility vaults. Dischargers seeking coverage under the General Order or Waiver must file a Notice of Intent with the Central Valley Water Board prior to beginning discharge.

For more information regarding the Low Risk General Order and the application process, visit the Central Valley Water Board website at:

http://www.waterboards.ca.gov/board_decisions/adopted_orders/water_quality/2003/wqo/wqo2003-0003.pdf

For more information regarding the Low Risk Waiver and the application process, visit the Central Valley Water Board website at:

http://www.waterboards.ca.gov/centralvalley/board_decisions/adopted_orders/waivers/r5-2013-0145_res.pdf

Regulatory Compliance for Commercially Irrigated Agriculture

If the property will be used for commercial irrigated agricultural, the discharger will be required to obtain regulatory coverage under the Irrigated Lands Regulatory Program. There are two options to comply:

1. **Obtain Coverage Under a Coalition Group.** Join the local Coalition Group that supports land owners with the implementation of the Irrigated Lands Regulatory Program. The Coalition Group conducts water quality monitoring and reporting to the Central Valley Water Board on behalf of its growers. The Coalition Groups charge an annual membership fee, which varies by Coalition Group. To find the Coalition Group in your area, visit the Central Valley Water Board's website at: http://www.waterboards.ca.gov/centralvalley/water_issues/irrigated_lands/for_growers/apply_coalition_group/index.shtml or contact water board staff at (916) 464-4611 or via email at IrrLands@waterboards.ca.gov.
2. **Obtain Coverage Under the General Waste Discharge Requirements for Individual Growers, General Order R5-2013-0100.** Dischargers not participating in a third-party group (Coalition) are regulated individually. Depending on the specific site conditions, growers may be required to monitor runoff from their property, install monitoring wells, and submit a notice of intent, farm plan, and other action plans regarding their actions to comply with their General Order. Yearly costs would include State administrative fees (for example, annual fees for farm sizes from 10-100 acres are currently \$1,084 + \$6.70/Acre); the cost to prepare annual monitoring reports; and water quality monitoring costs. To enroll as an Individual Discharger under the Irrigated Lands Regulatory Program, call the Central Valley Water Board phone line at (916) 464-4611 or e-mail board staff at IrrLands@waterboards.ca.gov.

Low or Limited Threat General NPDES Permit

If the proposed project includes construction dewatering and it is necessary to discharge the groundwater to waters of the United States, the proposed project will require coverage under a National Pollutant Discharge Elimination System (NPDES) permit. Dewatering discharges are typically considered a low or limited threat to water quality and may be covered under the General Order for *Dewatering and Other Low Threat Discharges to*

Surface Waters (Low Threat General Order) or the General Order for Limited Threat Discharges of Treated/Untreated Groundwater from Cleanup Sites, Wastewater from Superchlorination Projects, and Other Limited Threat Wastewaters to Surface Water (Limited Threat General Order). A complete application must be submitted to the Central Valley Water Board to obtain coverage under these General NPDES permits.

For more information regarding the Low Threat General Order and the application process, visit the Central Valley Water Board website at:

http://www.waterboards.ca.gov/centralvalley/board_decisions/adopted_orders/general_orders/r5-2013-0074.pdf

For more information regarding the Limited Threat General Order and the application process, visit the Central Valley Water Board website at:

http://www.waterboards.ca.gov/centralvalley/board_decisions/adopted_orders/general_orders/r5-2013-0073.pdf

If you have questions regarding these comments, please contact me at (916) 464-4644 or Stephanie.Tadlock@waterboards.ca.gov.



Stephanie Tadlock
Environmental Scientist

cc: State Clearinghouse unit, Governor's Office of Planning and Research, Sacramento



COUNCIL COMMUNICATION

CC #: 7729
File #: 0704-01

Title: Hamel Park and Crabb Park Restroom Installation Project - Approval of Plans and Specifications and Authorize Staff to Call for Bids
Contact: Rjahja Canlas 916-774-5505 rcanlas@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.4.

RECOMMENDATION TO COUNCIL

Approve the plans and specifications for the Hamel Park and Crabb Park Restroom Installation Projects and authorize staff to call for bids.

BACKGROUND

Mel Hamel Park is a ten acre site located on Opal Drive and Crocker Ranch Road in the North Roseville Specific Plan. Hamel Park is very popular due to its numerous amenities and ability to host long field sports. Soccer practice and games are frequently scheduled at the facility. The stay and play type of use reemphasizes the need for restroom facilities at this park.

Harry Crabb Park is a 21 acre site located on Scarborough Drive and Secret Ravine Parkway in the Stoneridge Specific Plan. One of the most popular features in Crabb Park is its sand volleyball courts. The sand volleyball courts draw in teams from around the Sacramento region. During the weekends, teams often stay upwards of six hours while participating in tournaments which can be lengthy.

The current use and demand at both locations prompted the need for restroom facilities.

The restrooms at Crabb Park have been included in this project as an additive alternate. If the drought stage level moves to a Stage 1 or is lifted completely, the Crabb Park restroom will be removed from this project and constructed as part of the Harry Crabb Park Phase 2 Project bid.

FISCAL IMPACT

The engineer's estimate for construction for the Hamel Restroom is \$125,000.00. The engineer's estimate for construction for the Crabb Restroom is \$445,608.00. This cost includes electrical, sewer and drainage infrastructure not yet constructed at Crabb Park. These improvements would be needed for the ultimate park construction at build out. Funding for the project was approved in the FY2014/15 budget using funds from the North Roseville Park Development Fund (for Hamel)

and from the City Wide Development Park Fund (for Crabb). The funds have been allocated in a capital improvement project account. The funds have been rolled over annually to ensure the allocation for this project is secure.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on Federal guidelines, there is one job created for every \$92,000 in direct government spending. Based on that guideline, this project is forecast to create up to six new jobs.

ENVIRONMENTAL REVIEW

The proposed project involves the construction of small restroom facilities which are appurtenant to the existing park uses. This activity is categorically exempt from CEQA as a Class 3 Exemption (State CEQA Guidelines Section 15303). The Exemption has been prepared and no further CEQA action is required.

Respectfully Submitted,

Rjahja Canlas, Park Development Analyst

Dion Louthan, Parks, Recreation & Libraries Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

CEQA Exemption

Project Manual



Notice of Exemption

To: Placer County Clerk
Recorder's Office
2954 Richardson Drive
Auburn, CA 95603

From: City of Roseville
Community Development Dept.
311 Vernon Street
Roseville, CA 95678

Project Title: 2016 Hamel Park and Crabb Park Restroom Installation Project

Project Location(s): Within the Mel Hamel and Harry Crab Neighborhood Parks, City of Roseville, CA.

Project Description: Mel Hamel Park is a popular park because its soccer fields can accommodate multiple concurrent games with teams that have younger players. Harry Crabb Park is a popular park because of its sand volley ball courts. The sand volley ball courts draw in volleyball teams from around the Sacramento region. The on-going use has prompted the Department to add restrooms to each site to accommodate the current use and address the need for facilities for users who "stay and play" for more than a few hours.

Public Agency Approving Project: Roseville City Council

Agency/Individual Carrying Out Project: City of Roseville Parks, Recreation and Libraries Department

Reason why this Project is Exempt: This project qualifies for a Class 3 Categorical Exemption as described in Section 15303 of the California Environmental Quality Act (CEQA) guidelines which states:

"Class 3 consists of construction and location of limited numbers of new, small facilities or structures... examples of this exemption include but are not limited to: (e) Accessory (appurtenant) structures...."

The project is confined to existing developed park sites and is limited to installation of new restrooms to better accommodate existing park use. No environmentally sensitive resources are located within the project sites; therefore the Class 3 exemption is applicable to the proposed project.

Lead Agency Contact Person: Rjahja, Canlas, Parks, Recreation and Libraries Department, 311 Vernon Street, Roseville, CA 95678. (916) 774-5342.

Signature: _____


Mark J. Morse, Environmental Coordinator
City of Roseville

Date: 4-4-16



Project Manual for the

Hamel Park and Crabb Park Restroom Installation Project

**FOR REVIEW,
A COMPLETE COPY
IS ON FILE IN THE
CITY CLERK DEPARTMENT**

16

st edition of the City of Roseville Parks Construction Standards are
ated by reference as a part of this Project Manual. The Parks
ction Standards can be purchased at the address listed below.

Parks, Recreation & Libraries
311 Vernon Street
Roseville, California 95678
www.roseville.ca.us

For Council review
Do not use for bidding purposes

**CITY OF
ROSEVILLE**
CALIFORNIA



COUNCIL COMMUNICATION

CC #: 7712
File #: 0800-03

Title: Substation Transformers – Service Agreement
Contact: Sonny Punzalan 916-774-5698 apunzalan@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.5.

RECOMMENDATION TO COUNCIL

Staff recommends City Council adopt a resolution authorizing the City Manager to execute the attached service agreement with SPX Transformer Solutions, Inc. for the manufacture of up to four substation transformers at a cost not to exceed \$5,250,000.00 for a term of five years. The cost of the first transformer is \$1,171,560.80, with funding available in the FY15/16 budget. Funds for subsequent transformer purchases are subject to City Council budget approval.

BACKGROUND

In December 2015, Roseville Electric Utility issued a Request for Proposals (RFP) for the design, manufacture, and procurement of 60/12kV substation transformers. These transformers convert power from the transmission system down to the distribution level for customer use. These transformers are the most critical and expensive asset in Roseville Electric Utility's distribution system. Roseville Electric Utility currently has 21 substation transformers, and we expect to purchase up to four new transformers within the next five years to maintain high system reliability, and support new development. Roseville Electric Utility contracted with a power transformer expert to assist staff with the review of the proposals.

Electric utility staff, with the assistance of the power transformer expert, performed a detailed technical evaluation of the proposals received from five different manufacturers. The technical evaluation considered experience designing and manufacturing similar transformers, overall quality of proposed designs, conformance with the technical specifications, completeness of proposal and price. SPX Transformer Solutions, Inc. was selected as the manufacturer that provided the best overall value based on the technical evaluation.

FISCAL IMPACT

The cost of the first transformer is \$1,171,560.80, with funding available in the Douglas Substation Rehabilitation Capital Improvement Project in FY2015/16. Funding for subsequent purchases for a total cost not to exceed \$5,250,000.00 will be included in Roseville Electric Utility's Capital Improvement Project future budgets, pending Council approval.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines 15061 (b)(3)). The purchase of the power transformers does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Sonny Punzalan, Power Engineer

Michelle Bertolino, Electric Utility Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-209

Substation Transformers – Service Agreement

RESOLUTION NO. 16-209

APPROVING A SERVICE AGREEMENT
BETWEEN CITY OF ROSEVILLE AND SPX TRANSFORMER SOLUTIONS INC., AND
AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF
THE CITY OF ROSEVILLE

WHEREAS, a service agreement (Service Agreement No. S1603241) for substation transformers, between City of Roseville and SPX Transformer Solutions Inc., has been prepared and reviewed by the Council;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said service agreement is approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



PURCHASING
CITY OF ROSEVILLE

SERVICE AGREEMENT

2005 HILLTOP CIRCLE, ROSEVILLE, CA 95747
(916) 774-5720 • TDD (916) 774-5220 • FAX (916) 774-5736

SERVICE AGREEMENT

No: **S1603241**

SERVICE LOCATION: AS DIRECTED

REQ. NO.: R1613933 DATE: 5/4/16

SUBMIT ALL INVOICES TO:

CITY OF ROSEVILLE
Finance Department
311 Vernon Street
Roseville, CA 95678

Contractor No.: V39484

Telephone No.: (480) 861-9351

Fax:

Department: ELECTRIC / ENGINEERING

Email address: stewart.cox@spx.com

Contractor: SPX TRANSFORMER SOLUTIONS INC.

Acct. Code: 40002-6130 / 144001-20

ATTN: STEWART COX
400 SOUTH PRARIE AVE.
WAUKESHA, WI 53186

Buyer: BABETTE OWENS
Phone: (916) 774-5704

Start Date	Terms	Completion Date	Insurance Limits	Contact
6/6/16	NET 30	6/7/21	Approved by Risk Mgt.	SONNY PUNZALAN

The contractor shall furnish all labor, equipment and materials necessary to accomplish the following:

Provide and install power transformer(s) and related equipment in accordance with all specifications, terms, conditions, attachments and drawings of RFP 07-22, and Exhibits "A", "B" and "C". The City's standard Terms and Conditions have been modified by the language detailed in Exhibit "A" and agreed upon between the City and the Contractor.

Please contact Sonny Punzalan at (916) 774 5698 for questions regarding this agreement.

*The total cost of service is an approximate value only. The City does not guarantee whatsoever the actual amount to be spent.

Total Cost of Service: \$ **\$5,250,000.00**

ATTENTION: Total cost of service not to exceed the agreement amount without prior approval of the Purchasing Office.

The Contractor named hereon by the acceptance of this order agrees to the provisions of this document titled "Service Agreement" and Attachment "A".

Business License No.: _____ Contractor License No.: _____ DIR Registration No.: _____

☐ SOLE PROPRIETOR

☐ PARTNERSHIP

☒ CORPORATION

CONTRACTOR:

SIGNATURE

PRINT NAME

TITLE

CONTRACTOR:

SIGNATURE

PRINT NAME

TITLE

By: _____

Rob Jensen, City Manager
CITY OF ROSEVILLE, A MUNICIPAL CORPORATION

1. To the fullest extent allowed by law, Consultant shall defend, indemnify, and save and hold harmless City, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of Consultant's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from City's sole negligence or willful misconduct. The parties intend that this provision shall be broadly construed. Consultant's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.
2. Consultant is an independent contractor, and shall not be considered an officer, agent or employee of the City.
3. Without the written consent of the City, this Agreement is not assignable by Consultant either in whole or in part.
4. Time is of the essence of this Agreement.
5. At any time during the term of this Agreement, the City has the right to terminate this Agreement provided Consultant is given a thirty (30) day notice.
6. This Agreement may only be amended or modified in writing. It is integrated and contains the complete understanding of the parties.
7. All equipment, supplies and services sold to the City of Roseville shall conform to the general safety orders of the State of California.
8. Unless notified to the contrary, in writing, the City assumes that the Consultant has accepted the work in accordance with the plans and specifications (if any) and agrees to do the work in compliance with this Agreement.
9. All fair employment practices must be adhered to.
- 10a. Unless otherwise specified, the Consultant shall maintain the policies of insurance outlined in Attachment A, incorporated herein by this reference, in full force and effect during the term of this Agreement. The City of Roseville retains sole discretion in determining the types and proper levels of insurance coverage.
- 10b. Form. Consultant shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.
- 10c. Additional Insureds. Consultant shall also provide a separate endorsement or section of the policy showing City, its officers, agents, employees, and volunteers as additional insureds for each type of coverage, except for Workers' Compensation and Professional Liability. Such insurance shall specifically cover the contractual liability of Consultant. The additional insured coverage under the Consultant's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from City's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.
- 10d. Cancellation/Modification. Consultant shall provide ten (10) days written notice to City prior to cancellation or modification of any insurance required by this Agreement.
- 10e. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of City (if agreed to in a written contract) before City's own insurance shall be called upon to protect it as a named insured.
- 10f. Subconsultants. Consultant agrees to include in its contracts with all subconsultants the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subconsultant's work. Furthermore, Consultant shall require its subconsultants to agree to be bound to Consultant and City in the same manner and to the same extent as Consultant is bound to City under this Agreement. Additionally, Consultant shall obligate its subconsultants to comply with these same provisions with respect to any tertiary subconsultant, regardless of tier. A copy of City's indemnity and insurance provisions will be furnished to the subconsultant or tertiary subconsultant upon request.
- 10g. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or City. City reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.
- 10h. Waiver of Subrogation. Consultant hereby agrees to waive subrogation which any insurer of Consultant may acquire from Consultant by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of City, its officers, agents, employees and volunteers for all work performed by Consultant, its employees, agents and subconsultants.
- 10i. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve Consultant of liability in excess of such coverage, nor shall it preclude City from taking such other actions as are available to it under any other provisions of this Agreement or law.
11. Consultant shall comply with all federal, state and local laws and ordinances as may be applicable to the performance of services under this Agreement. Failure to comply with local ordinances may result in monetary fines and cancellation of this Agreement.
12. In the event that the terms of any attachment or exhibit conflict with any terms of this Service Agreement, the terms of this Service Agreement shall control.
13. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together

shall constitute one and the same instrument. In the event that any signature is delivered by facsimile transmission or by e-mail delivery of a ".pdf" format data file, such signature shall create a valid and binding obligation of the party executing (or on whose behalf such signature is executed) with the same force and effect as if such facsimile or ".pdf" signature page were an original thereof.

14. If either party commences any legal action against the other party arising out of this Agreement or the performance thereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorneys' fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.
15. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.
16. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.
17. For purposes of this Agreement, the terms "Contractor" and "Consultant" are used interchangeably.
18. If the project referenced on this service agreement is a Public Works project, then the following shall apply. No contractor or subcontractor may work on a public works project unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5. During the performance of this agreement, Contractor and its subcontractors shall have a continuing legal obligation to maintain current registration with the Department of Industrial Relations. Contractor is hereby notified that this project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

City reserves the right to withhold any payments to Consultant in the event of noncompliance with insurance requirements or if required by law.

**HUMAN RESOURCES/RISK MANAGEMENT DIVISION
INSURANCE REQUIREMENTS – SERVICE AGREEMENT
GENERAL**

• General Liability:	\$1,000,000 per occurrence \$2,000,000 aggregate Personal Injury: \$1,000,000 per occurrence \$2,000,000 aggregate
• Automobile Liability:	\$1,000,000 combined single limit
• Workers' Compensation:	Statutory

- Required Documentation:**

- The City of Roseville
Insurance Compliance
P.O. Box 12010-R1, Hemet, CA 92546-8010

- *NOTE: statements on the certificate of insurance in lieu of the documentation required above will not be accepted.**

Service Agreement

EXHIBIT "A"



WAUKESHA®



CITY OF ROSEVILLE

SPX Waukesha Quotation # 70005746 Rev. 1
3/18/2016



SPX Waukesha Quotation # 70005746 Rev. 1
3/18/2016

SPX Waukesha Quotation

3/18/2016

CITY OF ROSEVILLE
2090 HILLTOP CIRCLE
ROSEVILLE, CA 95678

Quote Number
Specification Document(s)

70005746 Rev. 1
DESIGN, MANUFACTURE, TESTING,



CONTACT INFORMATION

Channel Partner

Karie Spowart
Isberg-Nott Company
TEL 925-426-4718
FAX 925-426-0323
kariespowart@isberg-nott.com

Application Engineer

Alex Hughes
SPX Transformer Solutions, Inc.
TEL 262-446-8466
FAX 262-521-0198
alex.hughes@spx.com

Territory General Manager

Stewart Cox
SPX Transformer Solutions, Inc.
TEL 480-861-9351
FAX 480-907-2242
stewart.cox@spx.com



QUOTE SUMMARY

Item Number	10
Price Per Unit	\$1,084,034.00 (For delivery in 2017)
Quantity	1
Warranty	SPX – Waukesha's 5 Year Power Transformer Warranty
Rating Information	28/37.333/46.667 MVA, ONAN/ONAF/ONAF 3 Phase, 60 Hz., 60 Degree C rise 60 kV DELTA To 12.47 kV GRDY with RMV Reduced Capacity LTC and MDIII motor drive
Shipment Lead Time	38-42 weeks after receipt of an accepted written purchase order in our office, subject to plant loading at the time of receipt of order. If required, alternate shipment may be available. Please consult the Channel Partner, Application Engineer or Territory General Manager identified in the CONTACT INFORMATION shown in this quotation.
Payment Terms	Quoted Prices do <u>not</u> include sales, use, excise or any other taxes. Any taxes imposed shall be the responsibility of customer and will be invoiced accordingly. Option 1: Firm Pricing – Progress Payments Option 2: Firm Pricing – Progress Payments See Payment Terms Section of quotation below for details

EXHIBIT "A"



ADDERS

Overload Heat Run

\$5,790.00 per unit



DRAWINGS

Approval drawings will be provided within **14-16 weeks** after issue date of SPX Waukesha Order Acknowledgment. Quoted Shipment Lead Time includes **1 week** for customer to review and return approval drawings.

For orders requiring drawing approval, a release to immediately proceed with production must be returned to SPX Waukesha within **1 week** after drawing submittal to maintain scheduled date(s).



OIL

Oil is included in the quoted transformer price. If oil is shipped separately, pricing includes shipment/delivery of the oil within sixty (60) days of shipment of the transformer. After that date, customer will be invoiced for any cost exceeding **\$5.75/gallon**.



PRICE POLICY

The above quoted prices are for shipment shown, subject to the following conditions:

- Factory acceptance of a written purchase order or contract, within the validity period of this quotation.
- There will be a 1-1/2% charge per month of the unpaid balance beginning 15 days after the due date of invoice. The amount charged shall not be in excess of the applicable usurious rate.



PAYMENT TERMS

Quoted Prices do not include sales, use, excise or any other taxes. Any taxes imposed shall be the responsibility of customer and will be invoiced accordingly.

Option 1: Firm Pricing

Payment terms, subject to credit approval, are:

- 30% to be invoiced with Order Acknowledgment (Due Net 30 days from date of invoice)
- 30% to be invoiced when released to Manufacturing (Due Net 30 days from date of invoice)
- 30% to be invoiced at time of shipment (Due Net 30 days from date of invoice)
- 10% to be invoiced after delivery of the transformer (Due Net 60 days from date of delivery)

Option 2: Firm Pricing

Payment terms, subject to credit approval, are:

- 30% to be invoiced with Order Acknowledgment (Due Net 30 days from date of invoice)
- 30% to be invoiced when released to Manufacturing (Due Net 30 days from date of invoice)
- 30% to be invoiced at time of shipment (Due Net 30 days from date of invoice)
- 10% to be invoiced after commissioning and acceptance of the transformer by the City **excluding the \$37,175 for Technical and Commissioning Services, which will be invoiced upon completion** (Due Net 30 days from date of delivery)



SHIPMENT

The above quoted transformer will be **shipped dry by truck (subject to the provisions of Section 20.3 of the Specifications), F.O.B. to your specified pad**, providing no unusual circumstances unknown to SPX Waukesha are present, such as no existing roads, impassable conditions, extreme grade or anything else which would prevent SPX Waukesha from delivering to the transformer site with a single crane lift and providing that free access is available to allow SPX Waukesha to unload the equipment on the pad, without obstructions such as existing fencing, overhead lines, insufficiently compacted soil (necessitating matting), oil containment pits or dikes around pad, debris, etc. Any additional costs resulting from the above stated possible conditions will be charged to the customer.



FIELD SERVICE

Field Service Assembly & Test as identified in this quotation is included in the quoted transformer price. If service has not been performed within ninety (90) days after shipment of the transformer, customer may be responsible for any increase in SPX Waukesha Service Field Service rates. Any service offered in this quote, either as part of the quoted price or which can be elected as an adder, shall be subject to the terms of purchase of the transformer. In no event shall SPX Waukesha have any obligation to identify, correct, abate, clean up, control, or remove from customer's premises any toxic or hazardous material.

If Field Service is included, or offered as an adder to the Net Price, details of the services to be provided are included as an attachment to this quotation



TERMS & CONDITIONS

The enclosed SPX Transformer Solution's **Transformer Terms and Conditions of Sale** are an integral part of this offer, and shall apply except as otherwise agreed to in writing by an authorized employee of SPX Waukesha. **Alternate Terms and Conditions are subject to negotiation.**

Seller shall in no event be liable for any indirect, special or consequential damages whatsoever, whether grounded in tort (including negligence), strict liability, or contract. Under no circumstances shall Seller's liability to Buyer exceed the contract price for the specific goods and services upon which the claim is based. Any action for breach of contract or otherwise must be commenced within one year after the cause of action has accrued.

SPX Transformer Solutions reserves the right to correct clerical and administrative errors in this quotation, and other related documents.



WARRANTY

The above transformer is quoted with the **SPX Transformer Solutions' Five Year Power Transformer Warranty** in lieu of all others specified, expressed or implied. To qualify for the SPX Waukesha Five Year Warranty, a SPX Waukesha Service representative **must be** present at the time the transformer is dressed out, and both the primary and secondary must be protected from surges with arresters mounted on the transformer tank.

In addition to the above, full compliance to the SPX Waukesha Instruction Manual is required to validate the warranty. A complete Instruction Manual is provided in the control box of every SPX Waukesha Power Transformer.



COMMERCIAL NOTES & COMMENTS

1. The SPX Transformer Solutions Warranty requires that suitable Lightning Arresters be mounted on the transformer tank and connected to all windings (phase connections) whenever the transformer is energized. Failure to provide these Lightning Arresters (arresters are included in the above quoted price) will nullify the Five Year Warranty.
2. Customer requested Adders shall be added to the transformer price and they shall be payable in accordance with agreed upon payment terms unless otherwise stated by SPX Transformer Solutions in writing.



COMMERCIAL EXCEPTIONS

1. **SPX Transformer Solutions Standard Terms and Conditions** (addressed later in this quotation) shall apply to the sale of the transformer quoted herein. Any changes to these Terms and Conditions must be specifically requested by the Buyer and approved by SPX Transformer Solutions prior to the acceptance of an award.
2. **EXCEPTIONS TO REQUEST FOR PROPOSAL**

Section 8.3 – Insert the following at the end of the section:

EXHIBIT "A"

"Notwithstanding the foregoing, any delay, suspension or termination of an order will be subject to Contractor's Cancellation and Delay Policy, as attached to Consultant's quote."

Attachment D (attached as referenced E)
SERVICE AGREEMENT PROFESSIONAL

1.

- Delete "sole" from the 5th line.

5.

- Insert "subject to Seller's Cancellation and Delay Policy." at the end of the section.

6.

- Insert "when mutually agreed upon by the parties" in between "modified" and "writing"

10.a.

- Delete "sole" and insert "reasonable" in the 2nd line.

10.c.

- Delete "its officers, agents, employees, and volunteers" and insert "included" in the 1st and 2nd lines.
- Delete "insureds" and replace with "insured" in the 2nd line.
 - Delete "and Professional Liability" from the 2nd and 3rd lines.
- Insert "to the extent such claims are insurable" after "of Consultant" in the 3rd line.
- Delete from "In addition" in the 5th through "insureds" in the 7th line.
- Delete "minimum" from the 8th line.
- Delete from "or (2)" in the 8th line to the end of this section.

10.d. Cancellation/Modification

- Delete "ten (10)" and replace with "thirty (30)" in the 1st line.

10.e. Umbrella/Excess Insurance

- Delete this section in its entirety.

10.f. Subconsultants

- Delete the 2nd sentence in its entirety.
- Delete from "comply" in the 5th line through the end of the 3rd sentence and replace with "maintain adequate insurance applicable to their scope of work."

10.g. Self-Insured Retention

- Delete this section in its entirety.

10.i. Liability/Remedies

- Delete "minimum" from the 1st line.
- Delete "be construed to relieve Consultant of liability in excess of such coverage, nor shall" from the 1st and 2nd line.

Insert the following new sections:

19. Excusable Delays. Consultant shall not be liable for any loss or damage as a result of any delay in shipment, delivery or installation due to any cause beyond the Consultant's reasonable control, including without limitation, flood, hurricane, or other act of God, act of City, embargo or other governmental act or authority regulation or request, fire, theft, accident, strike, slowdown, labor dispute, war, riot, whether at Consultant's operations or at the operations of a supplier or subcontractor to Consultant. In the event of any such delay, the date of performance shall be extended for a period equal to the time lost by reason of delay, plus a reasonable time for resuming performance.

20. Title & Risk of Loss Title and risk of loss shall transfer to City when equipment is delivered to the shipping destination and set on the City-furnished foundation or temporary foundation. City shall advise Consultant and carrier of any observable damage or irregularity pursuant to Consultant's Receiving Instructions. Defects and non-conformances reported after acceptance of delivery shall be corrected in accordance with Consultant's warranty.

21. Limitation Of Liability – Consultant shall in no event be liable for any indirect, special or consequential damages whatsoever, under any theory of relief, including without limitation, breach of warranty, breach of contract, tort (including negligence), strict liability, or otherwise, arising out of or related to Consultant's acts or omissions. Under no circumstances shall Consultant's liability to the City exceed the contract price for the specific goods and services upon which the claim is based. Any action for breach of contract or otherwise must be commenced within one year after the cause of action has accrued.

Boxed Statement - Delete to "in the event of noncompliance with insurance requirements or" in its entirety.

Attachment A

Human Resources/Risk Management Insurance Requirements

Required Coverage

- Delete the 4th bullet point related to "Professional Liability" in its entirety
- Delete the reference to "10" and replace with "30" in the 3rd asterisk
- Delete the 4th asterisk statement

Required Documentation

- Delete the reference to "CG 20 10 04 13 or an equivalent" in the 2nd bullet point
- Delete the reference to "its officers, agents, employees, and volunteers" in the 2nd bullet point.
- Delete the reference to "CG 20 10 04 13 or an equivalent" in the 4th bullet point

Note – Delete this statement in its entirety.

Attachment F

(Power Transformer Specifications)

20.12 – Insert the following at the end of this subsection:

"Notwithstanding the foregoing, any delay, suspension or termination of an order will be subject to Consultant's Cancellation and Delay Policy, and any storage shall be subject to Consultant's Storage Policy, as attached to Consultant's quote."

20.13

- Delete "deliver" and replace with "ship" in the 1st and 2nd lines.
- Delete "the date specified in the bid" and replace with "mutually agreed upon ship date" in the 1st and 2nd line.
- Delete "one thousand dollars (\$1,000.00)" and replace with "five hundred dollars (\$500.00)"
- Insert "commencing 30 days after the mutually agreed upon ship date" after "per day" in the 3rd line.
- Delete "20%" and replace with "10%" in the 5th line
- Insert "of the unit upon which such claim is based" after "pretax bid amount" in the 5th line.



TECHNICAL NOTES & COMMENTS

1. **Specification Section 1.7** – Design review is included in the quoted price.

2. **Specification Section 4.3, 4.4, & 4.9** – Please reference the Experience Waukesha brochure for Firm's Qualifications and Competency of SPX Transformer Solutions, Inc.
3. **Specification Section 4.4** – A list of similar units with ship date, ratings, and customer names is included as an attachment.
4. **Specification Section 4.5** – SPX does not provide a proposed work schedule at the bid stage. This is done at the order stage. Reference Quote Summary and Drawing sections for lead times for the unit and approval drawings.
5. **Specification Section 7.4** – The lightning arrester discharge counters will be Hubbell # 24-5121.
6. **Specification Section 8.4** – SPX does not have any Conflict of Interest in the production of this bid.
7. **Specification Section 8.21** – Nothing contained in this submitted proposal will be proprietary.
8. **Specification Section 15.3.1** – The transformer is not suitable for ungrounded operation. All impulse tests will be done with non-impulsed terminals grounded.
9. **Specification Section 15.10** – SPX accepts DGA Limits per customer's specification. In case these limits are exceeded, but meets SPX and IEEE standards, it will not be considered as rejection but will be investigated and discussed with the customer's representative.
10. **Specification Section 18.1** – No special tools or equipment are required for the assembly of this transformer.
11. Transformer quoted uses 4 cooling stages - ONAN/ONAF/ONAF/Overload. The overload cooling stage uses additional low sound fans to meet the overload temperature requirements. Guaranteed sound levels for ONAN/ONAF/ONAF cooling stages are 57/59/60 dB at 105%V and maximum sound tap per the spec. Sound level is NOT guaranteed at the overload cooling stage. Sound level for the Overload cooling stage is expected to be 63 dB.
12. For system voltages ≤ 69 kv, guaranteed bushing C2 P.F. levels, if required by customer, are to be stated as less than or equal to 1.26%.



LOSS GUARANTEE

In accordance with ANSI Standard C57.12.00-2006 Section 5.9, No-load (core) loss guarantee on the enclosed performance specification(s) is based on the standard reference temperature of 20°C. Load (winding) loss guarantee is at the standard reference temperature of 85°C on 65°C rise rated transformers (or 75°C on 55/65°C rise rated transformers).

SPX Waukesha tests no-load and load losses with less than 1.0% measurement error. These measurement errors are determined by a calibration system that is traceable to the National Institute of Standards and Technology (formerly the National Bureau of Standards) using methods described in NIST's Technical Note 1204.



AWARD OF CONTRACT

In the event that the transformer contract is awarded to SPX Transformer Solutions, please provide the following text on the purchase order to help expedite order processing: **Unit(s) will be Designed, Manufactured, Tested, Shipped, Sold and Invoiced in accordance with SPX Transformer Solutions' Quotation 70005746 Rev. 1, dated 3/18/2016.**



VALIDITY

Due to the rapid change in the cost of commodities required to manufacture power transformers, this proposal will remain in effect for 90 days, unless changed in the interim by written notice. Extensions to the 30-day validity will be considered, as required, to facilitate the order process.

Sincerely,
SPX Transformer Solutions, Inc.



Alex Hughes
Application Engineer



SPX Waukesha Quotation # 70005746 Rev. 1
3/18/2016

SPX Quotation # 70005746 Rev. 1 – Roseville

SPX Transformer Solutions, Inc.
400 South Prairie Avenue
Waukesha, Wisconsin 53186

Application Engineer

Alex Hughes
SPX Transformer Solutions, Inc.
TEL 262-446-8466
FAX 262-521-0198
alex.hughes@spx.com

SPX Transformer Solutions, Inc will perform the services and adhere to the requirements described in the RFP: Design, Manufacture, Testing, Delivery and Installation of Power Transformer and RFP 07-22 Addendum 1 011116. SPX Quotation # 70005746 Rev. 1 – Roseville contains all the details, comments, and exceptions in response to this RFP.



SPX Waukesha Quotation # 70005746 Rev. 1
3/18/2016

Enclosures

(latest version(s) in effect at the time of the request)

Performance Specification(s)

Transformers Term & Conditions of Sale

SPX Waukesha Five Year Power Transformer Warranty

Assembly Vacuum Filling & Testing: Units Shipped With Oil

Cancellation/Delay Policy

Transformer Storage Policy

Request for Storage Form

Transformer Oil Specification

Transformer Paint Systems

Paint Systems Test Results

Short Circuit Test Results

SPX Service Solutions

Proposal Form / Data Sheets

EXHIBIT "A"

EXHIBIT "B"

Performance Specification

FOR: 404 CITY OF ROSEVILLE

Quotation No: 70005746

Item No: 000010

Project Name: Douglas Sub

TRANSFORMER RATINGS							
Phase	3	Cooling	HV Volts		XV Volts		YV Volts
Frequency	60	Class	60,000	--	12,470	--	--
Temp Rise °C	60		Delta	--	GrdY	--	--
Insulating	Oil	ONAN	28.00	--	28.00	--	--
		ONAF	37.33	--	37.33	--	--
		ONAF	46.67	--	46.67	--	--

ADDITIONAL TAP VOLTAGES			
Terminal	Style	Taps or KV	Capacity
HV	DETC	+ 2 / - 2 @ 2.500 %	FULL
XV	RMV	+ 16 / - 16 @ 0.625 %	REDUCED

PERCENT IMPEDANCE VOLTS		
%	Windings	At MVA
14.00	H-X	28.0
--	H-Y	--
--	X-Y	--

AUXILIARY LOSSES AND SOUND LEVEL			
MVA	Class	Cooling	Sound Level dB
28.00	ONAN	--	57
37.33	ONAF	1,000	59
46.67	ONAF	3,300	60
The above values for cooling loss do not include ancillary equipment (heaters, control devices, etc.) losses of 3,000 watts			

INSULATION LEVELS (KV)			
Terminal	Winding		Bushing
HV Line	350	--	350
HV Neutral	--	--	--
XV Line	110	--	150
XV Neutral	110	--	150
YV Line	--	--	--
YV Neutral	--	--	--
ZV (TV) Line	--	--	--
ZV (TV) Neutral	--	--	--

PERFORMANCE BASED ON A LOADING OF				
HV Winding	60,000	Volts @	28.00	MVA
XV Winding	12,470	Volts @	28.00	MVA
YV Winding	--	Volts @	--	MVA
ZV (TV) Winding	--	Volts @	--	MVA

EFFICIENCIES Base MVA (ONAN) = 100%				
Load	100%	75%	50%	25%
Percent	99.51	99.61	99.70	99.73

REGULATION	
Power Factor	Percent Regulation
1.0	1.42
0.9	7.22
0.8	9.30

PERFORMANCE DATA No Load Temp: 20 °C/ Load Loss Temp: 80 °C				
Exciting Current (Percent) and Loss (Watts)				
Excitation	Exciting Current(%)	No Load Loss	Load Loss	Total Loss
100%	.500	10,700	126,500	137,200

MECHANICAL DATA - Not for Construction				Dimensions are in inches & weights are in pounds (approx.values)	
Outline Drawing Number:				Shipping: Dry by Truck	
	Base	Assembled	Shipping	Weight (lbs)	
Height (A)	--	192	153	Core and Coils	96,215
Width (B)	184	221	221	Tank and Fittings	54,618
Depth (C)	78	256	100	Liquid (6,418 gallons)	48,186
Height Over Cover (D)	--	153	153	Total Weight	199,019
				Shipping Weight, Heaviest Piece	127,703

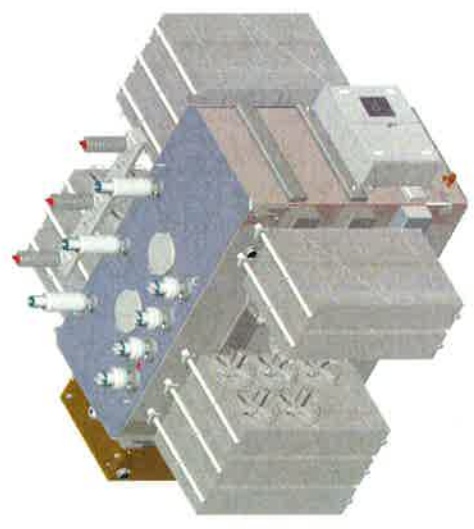
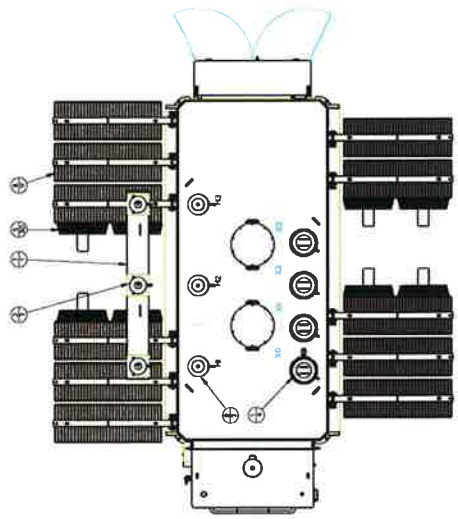
ITEM	QTY	DESCRIPTION
1	4	AMB OUTDOOR-INDOOR
2	1	ARRESTER - 60KV ENTRY / 45KV BY MOV/PIN TYPE
3	1	CONTROL BOX W/ INSULATING PROVISIONS & REMOVABLE BOTTOM PLATE
4	1	ENCLOSED INERT GAS SYSTEM FOR OIL PRESERVATION WITH 100V PTC HEATER
5	1	MAIN - 100 V 1PH 1500W 800 BVA 3000 CMA
6	1	LTC VACUUM SWITCH TYPE BVA-0-0500
7	1	Removable lightning arrester mounting stand for primary system
8	1	REMOVABLE GALVANIZED 34 PAVEL TYPE BACKWARDS W/STATION VALVES
9	1	INSET OVERHEAD - 60KV

PRELIMINARY OUTLINE
THE INTENT OF THIS DRAWING IS TO SHOW
THE GENERAL LOCATION OF MAJOR COMPONENTS,
AND OVERALL SIZE AND WEIGHT OF THE COMPLETE
TRANSFORMER. ALL DIMENSIONS, COMPONENTS,
TANK STIFFENING DESIGN AND WEIGHTS ARE SUBJECT
TO CHANGE IN FINAL DESIGN.

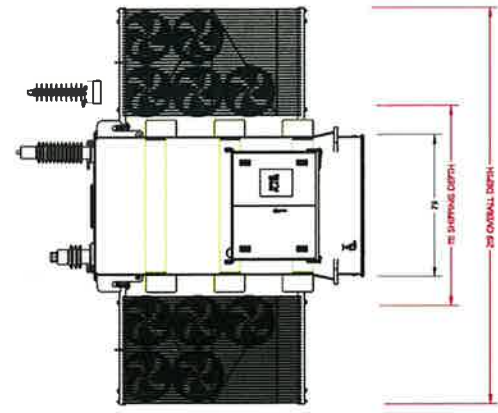
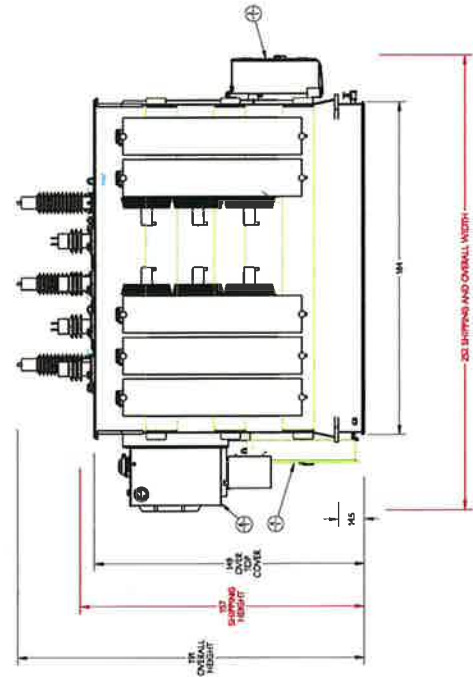
TOLERANCE:
ALL DIMENSIONS $\pm 12"$
ALL WEIGHTS $\pm 5\%$
ALL OIL $\pm 5\%$

NOTES:
1) ALL DIMENSIONS ARE TO FACE UNLESS NOTED
2) OIL IN TANKS SHALL BE MINIMUM
3) TRANSFORMER IS DESIGNED TO BE SHIPPED BY TRUCK OR RAIL
4) TRANSFORMER SHALL BE SHIPPED IN ONE PIECE
5) POSITIVE PRESURE TO BE APPLIED DURING SHIPMENT
6) ALL DIMENSIONS SHALL BE IN THE SAME DIRECTION & ROLLERS
7) ROLLERS PRESUMED FOR SHIPMENT

NOTE - THE FOLLOWING ITEMS ARE REMOVED FOR SHIPMENT:
HIGH VOLTAGE SURGE ARRESTERS & AIRS BRACKETS
NEUTRAL VOLTAGE BUSHINGS
ROUNDER INCLUDING PANS



SCALE: 1/8" = 1'-0"



SEGMENT 1

SEGMENT 4

APPROXIMATE WEIGHTS		UNIT
TRANSFORMER	20000	LB
TANK FITTINGS & ACCESSORIES	2000	LB
OIL - MAIN TANK	5000	GALL
OIL - BUSWORK	800	GALL
OIL - BUSWORK	200	GALL
OIL - L.T.C.	8000	GALL
OIL - TOTAL	8000	GALL
TOTAL WEIGHT	11700	LB
SHIPPING WEIGHT	11700	LB

LTC TRANSFORMER		UNIT
TRANSFORMER	20000	LB
TANK FITTINGS & ACCESSORIES	2000	LB
OIL - MAIN TANK	5000	GALL
OIL - BUSWORK	800	GALL
OIL - BUSWORK	200	GALL
OIL - L.T.C.	8000	GALL
OIL - TOTAL	8000	GALL
TOTAL WEIGHT	11700	LB
SHIPPING WEIGHT	11700	LB

100% PERFORMANCE SOLUTIONS

PRELIMINARY OUTLINE FOR
CITY OF ROSEVILLE

ALL DIMENSIONS ARE IN INCHES

DATE: 01/25/16

SCALE: 1/8" = 1'-0"

100005746.10.D

THIS DOCUMENT IS THE PROPERTY OF SPX TRANSFORMER SOLUTIONS, INC. (SPX TRANSFORMER) AND SHALL NOT BE REPRODUCED, COPIED, OR TRANSMITTED IN ANY FORM OR BY ANY MEANS, ELECTRONIC OR MECHANICAL, INCLUDING PHOTOCOPYING, RECORDING, OR BY ANY INFORMATION STORAGE AND RETRIEVAL SYSTEM, WITHOUT THE WRITTEN PERMISSION OF SPX TRANSFORMER SOLUTIONS, INC. (SPX TRANSFORMER). ANY UNAUTHORIZED REPRODUCTION OR TRANSMISSION OF THIS DOCUMENT IS STRICTLY PROHIBITED. SPX TRANSFORMER SOLUTIONS, INC. 100005746.10.D

Transformer Terms & Conditions of Sale

1. **ACCEPTANCE, GOVERNING PROVISIONS, AND CANCELLATIONS.** No orders shall be binding upon Seller until accepted in writing by Seller at its headquarters office or at its plant handling and processing such orders. Seller's acceptance of Buyer's order is conditioned upon Buyer's assent that the terms and conditions set forth herein shall be deemed as part of such order. No modified or other conditions will be recognized by Seller unless specifically agreed to in writing, and failure of Seller to object to provisions contained in any purchase order or other communication from Buyer shall not be construed as a waiver of these conditions nor an acceptance of any such provisions. No order accepted by Seller may be altered or modified by Buyer unless agreed to in writing by Seller, and no such order may be canceled or terminated by Buyer except with the written consent of Seller and upon payment of Seller's loss, damage and expense arising from such cancellation or termination as defined in Seller's Cancellation and/or Delay Policy. Any agreement of purchase or sale shall be construed in accordance with the laws of Wisconsin, without reference to principles of conflicts of law.
2. **PRICE POLICY.** Prices shall be as stated in Seller's quotation, prices shall be valid for the duration of the quotation and only for shipment within the quoted leadtime.
3. **TERMS OF PAYMENT.** Terms of payment are specified in Seller's quotation, and payments are due net thirty (30) days from date of invoice. Seller shall charge 1-1/2% per month of the unpaid invoice amount beginning thirty-one (31) days after date of invoice, but the amount shall not exceed any rate prohibited by applicable law. In the event there are any negotiated changes made to the order that result in a negotiated change in price that is (a) greater than or equal to ten percent (10%) of the price of the affected unit, excluding applicable taxes, freight, rigging or other ancillary charges, or (b) greater than or equal to one-hundred thousand dollars (\$100,000.00), then Customer shall be invoiced for the lesser of such amount at the time of the agreed-upon change, and payment shall be due upon receipt of said invoice. Any other negotiated changes made to the order that result in a negotiated change in price shall be debited or credited on the final invoice. Buyer shall provide Seller with any Buyer-specific invoice requests at the time the order is placed.
4. **TAXES.** Price does not include sales, use, excise or other taxes, for which Buyer assumes liability wherever applicable to the order, unless otherwise agreed in writing. Buyer shall provide any tax exemption certificate(s) or direct pay permit(s) at the time the order is placed.
5. **FREIGHT.** Except as otherwise stated in Seller's quotation, prices for freight shall be F.O.B. jobsite or nearest railyard, freight prepaid and allowed, to the jobsite or nearest railyard. Buyer shall be responsible for providing a readily accessible shipping destination without obstructions at or to the jobsite. Title and risk of loss shall transfer to Buyer when equipment is delivered to the shipping destination. Buyer shall advise Seller and carrier of any observable damage or irregularity pursuant to Seller's Receiving Instructions. Defects and non-conformances reported after delivery shall be corrected in accordance with Seller's warranty. Any detention charges by the carrier are Buyer's responsibility.
6. **DELIVERY.** Seller shall not be liable for any loss or damage as a result of any delay in shipment, delivery or installation due to any cause, whether at Seller's operations or at the operations of a supplier or subcontractor to Seller, beyond Seller's reasonable control, including, without limitation: flood, hurricane, or other act of God; act of Buyer; embargo or other governmental act, regulation, order or request; fire; theft; accident; strike, slowdown, or labor dispute; war; riot; delay in transportation; inability to obtain necessary labor, materials or manufacturing facilities. In the event of any such delay, the date of performance shall be extended for a period equal to the time lost by reason of delay, plus a reasonable time for resuming performance. If shipping or progress of work is delayed or interrupted for any cause for which Buyer is directly, or indirectly responsible and additional costs (including storage costs) are incurred by Seller due to such delays, Buyer shall reimburse Seller for such added costs.
7. **INSTALLATION.** All equipment shall be installed by and at the expense of Buyer unless otherwise agreed in writing. Assistance in initial operation of certain equipment and instruction of operators may be given as normally required. Additional assistance requested by Buyer shall be chargeable at standard rates plus living and travel expenses, unless otherwise agreed in writing.
8. **WARRANTIES.** Seller warrants the equipment to be free from defects in material and workmanship for a period of one (1) year after delivery by Seller. If within such period any such equipment or parts shall be proved to Seller's satisfaction to be defective, such equipment or parts shall be repaired or replaced at Seller's option, with all removal and installation to be at Buyer's expense. Seller's obligation hereunder shall be limited to such repair or replacement, F.O.B. its factory, and shall be conditioned upon Seller's receiving written notice of any alleged defect within ten (10) days after its discovery and at Seller's option, return of such equipment or parts prepaid to its factory.

This warranty shall not apply to equipment or parts that have been subjected to negligence, accident, damage by circumstances beyond Seller's reasonable control, or improper operation, maintenance or storage, or modification by Buyer.

For this warranty to be valid, Seller requires that all windings be protected from surges with arresters mounted on the transformer tank. Any other location must be approved by Seller.

Under no circumstances will Seller be responsible for damage in excess of the sale price to Buyer for the goods and/or services for which damages are claimed.

THE FOREGOING WARRANTIES ARE IN LIEU OF ALL OTHER EXPRESS OR IMPLIED WARRANTIES (EXCEPT TITLE) INCLUDING, WITHOUT LIMITATION, WARRANTIES OF MERCHANTABILITY AND FITNESS FOR PURPOSE, AND STATE SELLER'S ENTIRE AND EXCLUSIVE LIABILITY AND BUYER'S EXCLUSIVE REMEDY.

SELLER SHALL NOT BE LIABLE FOR ANY INDIRECT, INCIDENTAL, SPECIAL OR CONSEQUENTIAL DAMAGES WHATSOEVER.

9. **LIMITATION OF LIABILITY.** Except as specifically provided in Article 10, Seller shall in no event be liable to Buyer or Buyer's customer for any indirect, incidental, special or consequential damages whatsoever, under any theory of relief, including, without limitation, breach of warranty, breach of contract, tort (including negligence), and strict liability, arising out of or related to Seller's acts or omissions. Under no circumstances shall Seller's liability to Buyer exceed the contract price for the specific goods and services upon which such liability is based. Any action for breach of contract or otherwise must be commenced within one (1) year after the cause of action has accrued.
10. **PATENTS AND COPYRIGHTS.** If Buyer receives a claim that any equipment or part thereof (herein called "Product") manufactured by Seller infringes a U.S. Patent or Copyright, Buyer shall promptly notify Seller in writing and give Seller information, assistance and exclusive authority to evaluate, defend, and settle such claim. Seller shall then at its own expense and option (a) settle such claim; (b) procure for Buyer the right to use such Product; (c) replace or modify it to avoid infringement; (d) remove it and refund the purchase price less accrued depreciation; or (e) defend against such claim. Provided such timely notice, information, assistance and authority have been given by Buyer to Seller, should any court of competent jurisdiction hold such Product to constitute infringement, Seller shall pay any costs and damages finally awarded on account of such infringement and, if the use of such Product is enjoined, Seller shall take at its option one or more of the actions under (b), (c) or (d) above. With respect to any product not manufactured by Seller, the patent indemnity, if any, given by the manufacturer thereof shall apply in place of the foregoing indemnity.

The foregoing indemnity shall not apply to (i) any claim that arises out of Seller's compliance with the specification, design or instructions of Buyer or (ii) any claim of infringement resulting from Buyer's use of Product in combination with other equipment and materials not furnished by Seller. Buyer shall hold Seller harmless and indemnified against all such claims. The rights and obligations of the parties with respect to Patents and Copyrights are solely and exclusively as stated herein.

11. **SUBSTITUTES, CHANGES AND IMPROVEMENTS.** Factors beyond Seller's control and the need for continuing improvement of its goods may require changes in its goods from time to time. Seller reserves the right to make reasonable changes of goods of any kind without notice, and to deliver revised designs or models of goods against any order, unless the right is specifically waived in writing. Seller shall have no responsibility whatever with respect to changes made by the manufacturer of goods sold but not manufactured by Seller. Buyer's requested order changes are subject to Seller's prior written approval and to adjustments in price, scheduling and other affected terms and conditions, which shall be documented in a writing signed by both parties before such change order shall be implemented by Seller.
12. **RETURNS.** Goods may not be returned for credit until and unless Seller has given consent in writing to accept them. Materials not normally stocked by Seller will not be accepted for credit allowance except at Seller's option. Materials returned without Seller's approval will be credited at Seller's evaluation. A minimum 15% restocking charge, plus freight charge, will be imposed on the return of stock items when such returns are authorized by Seller.
13. **CANCELLATION AND DELAY.** Any delay, suspension or cancellation of an order by Buyer shall be subject to Seller's Cancellation and Delay Policy.

Five Year Power Transformer Warranty

SPX Transformer Solutions, Inc., hereafter referred to as SPX Waukesha, warrants to the original purchaser that the complete transformer, together with all parts included in the original purchase (the "Transformer"), has been designed in accordance with the specifications of the original purchaser and that the Transformer will be free from defects in material and workmanship under normal use and service for a period of five (5) years from the date of arrival of the Transformer at its destination from the factory. SPX Waukesha's liability under this warranty does not extend to defects caused by vandalism, improper installation, improper maintenance, alterations by purchaser, purchaser-furnished materials, or improper operation. For this warranty to be valid, SPX Waukesha requires that all transformer windings shall be protected from surges with arresters mounted on the transformer tank or an insulation coordination study may be required.

A Customer Service Representative must be present during field assembly, vacuum filling (if required) and inspection of the installation prior to energization. In the event that the Transformer is relocated, a Customer Service Representative must be present during field re-assembly, vacuum-filling (if required) and inspection of the re-installation prior to re-energization.

Purchaser forfeits the provisions of the Five Year Warranty if either of these service requirements is not followed.

If any part is found to contain defects in material and/or workmanship during the five year warranty period, SPX Waukesha's liability and Purchaser's remedies under this warranty shall be limited solely to repair or replacement, at SPX Waukesha's option, of the defective part. Decision on the method and extent of repairs rests solely with SPX Waukesha. Purchaser shall give SPX Waukesha prompt written notice of any claim hereunder. SPX Waukesha shall be given a reasonable opportunity to investigate all claims, and no parts may be returned to SPX Waukesha without authorization and instructions from the Customer Service Department.

During the first year, this warranty covers any freight within the 48 contiguous states by common carrier in full. This warranty also covers the cost of removal from the site and reinstallation after repair, subject to a limit of 10% of the original selling price. Costs of moving structures or associated equipment are excluded. During the last four years, transportation, moving and reinstallation costs are excluded from this warranty.

Under no circumstances will SPX Waukesha be responsible for damage in excess of the sale price to Purchaser for the goods and/or services for which damages are claimed.

THIS WARRANTY IS EXCLUSIVE AND IS IN LIEU OF ALL OTHER WARRANTIES, EXPRESS OR IMPLIED, INCLUDING, BUT NOT LIMITED TO, ANY IMPLIED WARRANTY OF MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE. SPX WAUKESHA SHALL NOT BE LIABLE FOR ANY INCIDENTAL OR CONSEQUENTIAL DAMAGES OR EXPENSES OF ANY KIND, INCLUDING LOSS OF PROFITS.

In the event a performance bond is provided as part of the contract to which this warranty applies, the Surety's liability shall be limited to one (1) year from the date of delivery of the Transformer. The remaining four (4) year warranty period is solely the obligation of SPX Waukesha.

Assembly, Vacuum Filling & Testing: Units Shipped Without Oil

applicable for transformers < 60 MVA based rating and < 230kV

If included in the quoted price or selected as an “adder” as outlined in the proposal, Waukesha® Service crews and equipment will perform the transformer installation once the transformer has been placed on the pad. Assembly and testing work will include the following:

ASSEMBLY

- Install, connect and tape, as necessary, all bushings
- Hang and brace radiators and/or fans
- Mount oil preservation system, if required
- Mount lightning arresters, if required
- Mount control cabinet, if required
- Mount any miscellaneous items removed for shipment
- Check all internal clearances including DETC and LTC (if equipped) for proper alignment and timing
- Hang any conduit removed for shipment; pull and terminate associated wiring



OIL FILLING

- Elevate core/coil temperature, if necessary
- Conduct vacuum leak test
- Vacuum fill in accordance with SPX Transformer Solutions' Instruction Leaflet Document No. 2011
- Pull vacuum at a level of 1 Torr (1,000 micron, 1mm of Hg) or less and hold for a minimum of 8 hours
- Vacuum fill transformer oil while maintaining a vacuum level of 5 Torr or less
- Pull tail vacuum for 1 hour after completing filling
- Fill conservator tank or activate nitrogen system, as appropriate for transformer design

TESTING

As specified in SPX Transformer Solutions' Pre-operational Testing Instruction Booklet No. 2012, the minimum acceptance tests include the following:

- Bushing power factor and capacitance
- Core megger
- Transformer turns ratio
- Insulation power factor
- Insulation resistance
- Functional check of unit control cabinet
- Oil test after filling

1. Moisture content	3. Dielectric strength
2. Power factor	4. Flash and fire point (FR3™ units only)



See next page for Scope Clarifications.

SCOPE CLARIFICATIONS

For all transformer installations, the following apply:

- Purchaser shall be responsible for switching, lock out and grounding of any equipment necessary to establish safe work area.
- Purchaser shall provide suitable, free, clear, unlimited and compacted access route, roads and area around work location for access of service equipment.
- Purchaser shall connect all external protection, control and relay wiring, as required.
- Purchaser shall connect all external bushing terminations or bus work, as required.
- Purchaser shall assemble any deluge systems, as required.
- SPX Waukesha will compile all crating and waste material in designated area; however, purchaser shall be responsible for disposal of solid wastes.
- Purchaser shall provide drum and dispose of all waste, flush and scrap oil generated in execution of work.
- Purchaser shall provide communication and sanitation facilities.
- No provisions have been included for secondary oil containment as may be required for compliance to local site SPCC programs.
- No provisions have been included for Union Labor requirements.
- Any site specific or customer required access and/or safety training is not included in pricing and would be billed at applicable field service rates.
- If Envirotemp™ FR3™ is included in this quotation, acceptance test results of FR3™ fluid will differ from the typical values of transformers filled with mineral oil. Insulation power factor values are expected to increase and insulation resistance values are expected to decrease when compared to test values with mineral oil insulation system.
- If Envirotemp™ FR3™ is included in this quotation, Purchaser shall be responsible for disposal of all totes/drums utilized for make-up and flush oil that is generated during course of project. SPX Waukesha can arrange for disposal upon request at additional cost.



Should additional requirements, tests and/or processing procedures apply, please contact SPX Transformer Solutions' Service group for pricing at 800.758.4384.

Cancellation / Delay Policy

1. Cancellation of an order will be accepted after the purchaser has given written notice. If the cancellation occurs during the period from the date of order entry to twelve (12) weeks after order acknowledgement, the cancellation charges will be the actual work hours expended on the job performed at a rate of \$250 per hour or a minimum of five percent (5%) of the purchase price, whichever is greater.
2. If the cancellation occurs after approval drawings have been issued by SPX Waukesha, the termination charge will be thirty percent (30%) of the purchase price.
3. Notwithstanding items 1 and 2 above, if the order is cancelled twenty six (26) weeks or less prior to shipment, the cancellation charge shall be one hundred percent (100%) of the purchase price.
4. If the order is suspended or shipment is delayed twenty six (26) weeks or less prior to the scheduled ship date, the equipment will be completed, invoiced, and stored at the customer's expense in accordance with the terms of SPX Waukesha's Storage Policy and Customer shall issue the required storage documentation.
5. If a request to delay shipment changes the scheduled ship date, a mutually agreed upon adjustment to the base price may be necessary.

Transformer Storage Policy

Purchaser - Company Name

SPX Waukesha Order / Transformer Unit No.

SPX Transformer Solutions, Inc. has limited facilities for storing new transformers and any related equipment (collectively the "Transformer") in the event that the customer cannot accept delivery upon completion of manufacture. The facilities are intended only for short-term outdoor storage. Therefore, increased rates apply for storage exceeding three months.

- 1) In order to comply with SPX Corporation's company-wide accounting policy for bill-and-hold transactions, **SPX Transformer Solutions must receive from the customer a signed Request for Storage, per the attached form, submitted on the customer's letterhead, prior to placing any equipment into storage.** Notification to SPX Transformer Solutions is defined as receipt of the signed Request for Storage at our offices.
- 2) The Request for Storage must state that title and risk of ownership of the Transformer transfer to the customer at the time the Transformer is placed into storage. The warranty period for any Transformer placed in storage starts upon arrival at the destination or six months after completion of manufacture, whichever occurs first.
- 3) Storage by SPX Transformer Solutions is contingent upon the availability of storage space at the facility. SPX Transformer Solutions may require the customer to accept shipment from storage after 3 months in storage, upon 30 days prior notice.
- 4) The charge to move the Transformer into and out of storage is **\$4,000 in / \$4,000 out.**
- 5) Storage periods greater than 30 days will result in monthly storage fees. One-half percent of the Transformer price shall be charged for each month, or portion of a month, of storage. The minimum charge for storage shall be **\$1,500 per month.** After three months in storage, the monthly rate increases to one percent of the Transformer price (**\$3,000 per month minimum**). The Request for Storage also grants SPX Transformer Solutions a security interest in the Transformer to secure payment of the purchase price and storage fees.
- 6) The above noted storage fees include open cargo and storage insurance coverage, purchased in the customer's name for the customer-owned Transformer. A certificate of insurance can be provided upon request.
- 7) The Transformer will be invoiced to the customer when the Transformer is placed into storage. Storage fees will also be invoiced to the customer on a monthly basis.
- 8) Terms of payment are Net 30 Days from date of invoice.
- 9) For storage periods greater than 90 days, the delivery charges are subject to review at the time of shipment from storage. If there are any increases in freight or rigging rates or oil-filling costs from the time the equipment is invoiced to the time it is actually shipped out of storage, customer will be invoiced and required to pay the additional costs.
- 10) SPX Transformer Solutions requests four weeks advance notification for shipping out of storage to ensure that shipping preparations are completed in time to meet the designated ship date. If the anticipated date for removing a Transformer from storage changes, the customer shall request in writing that SPX Transformer Solutions grant an extension to the new anticipated removal date and provide the reasons for requesting an extension.

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TC03-215C



Request for Storage

(this request must be submitted on purchaser's letterhead)

Purchaser - Company Name _____

Purchaser Address _____

Purchaser Address _____

Date: _____

CHECK APPLICABLE MANUFACTURING/STORAGE LOCATION:

☐ **SPX Transformer Solutions, Inc.**
400 S. Prairie Ave.
Waukesha, WI 53186

☐ **SPX Transformer Solutions, Inc.**
2701 US Highway 117 South
Goldsboro, NC 27530

ATTN: _____
Sales Operations

REF: **Purchase Order No.** _____

SPX Waukesha Order / Transformer Unit No. _____

[Insert Customer name], located in **[insert customer location]** is not ready to use power transformer purchased on the above referenced purchase order and, therefore, requests that SPX Transformer Solutions, Inc. store the transformer at its location.

Please bill and store the transformer for us as of **[insert date, 20__]**. We accept title and risks of ownership of the listed transformer as of the related invoice date and normal payment terms begin on that date. We expect shipment will be required by **[insert anticipated shipment date]**.

We have received the SPX Transformer Solutions Storage Policy and agree to the terms of the policy. Please invoice us for the applicable storage fees on a monthly basis.

Sincerely yours,

Signature _____

Type or Print Name _____

Title _____

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TC03-215C

Transformer Oil Specification

(as received from refiner)

SPX Waukesha's standard is **inhibited mineral oil with 0.3% DBPC (oxidation inhibitor)**. Oil meeting other specific customer requirements may be available upon request.

Oil is purchased only from approved domestic sources, and for each approved source, oil has been tested and proven to meet the following specification values:

KEY PROPERTIES	ASTM TEST METHOD	ANSI / ASTM D-3487 LIMITS
Physical Properties		
Color	D1500	0.5 max
Flash point, °C	D92	145 min
Interfacial tension @ 25°C (dynes/centimeter)	D971	40 min
Pour point, °C	D97	-40 max
Specific gravity @ 15°C/15°C	D1298	0.91 max
Viscosity, SSU/cSt @	D88 / D445	
100°C		36 / 3.0 max
40°C		66 / 12.0 max
0°C		350 / 76.0 max
Polychlorinated Biphenyls (PCBs) ppm	D-4059	Not Detectable
Visual appearance	D1524	Clear and Bright
Chemical Properties		
Aniline point, °C	D611	63–84
Approved antioxidant content, wt %	D2668, D1473	0.30 max
Corrosive sulfur ¹ Test to be run for 48 hours @ 150°C	D1275B ¹	Non-Corrosive
Moisture, ppm	D1315, D1533	35 max*
Neutralization number, mg KOH/g of oil	D974	0.03 max
Oxidation stability Method A (acid / sludge test)	D2440	
72 hours		
sludge, wt %		0.10 max
Neutralization value, mg KOH/g		0.30 max
164 hours		
sludge, wt %		0.20 max
Neutralization value, mg KOH/g		0.40 max

*35 ppm max as received from refiner; SPX Waukesha dehumidifies to lower value for installation in transformer.

Continued on next page...

KEY PROPERTIES	ASTM TEST METHOD	ANSI/ASTM D-3487 LIMITS
Electrical Properties		
Dielectric breakdown voltage at 60 hertz		
Disc electrodes, kV	D877	30 min
VDE electrodes, kV		
@ 0.040-in. gap or	D1816*	28 min
@ 0.080-in. gap	D1816*	56 min
Dielectric breakdown voltage 25°C impulse conditions, kV		
Needle (negative)-to-sphere (grounded)	D3300	
@ 1-in gap		145 min
Power factor at 60 hertz, % at:		
25°C	D924	0.05 max
100°C	D924	0.30 max
Gassing Tendency @ 80°C (µL/min)	D-2300 B	+ 30 max

*D1816 only applies to new oil that has been filtered, dehumidified and degassified.

Oil shall be PCB-free to existing current law. Properties as listed are only attainable on new oil as received from the refinery. It is expected that oil contained in equipment as received from the manufacturer when properly sampled from such equipment usually exhibits characteristics slightly different from those obtained from new oil, which has not been in contact with apparatus constructional materials. In such cases, the oil, as drawn from the transformer, should be evaluated per IEEE C57.106:2006, Tables 2 and 3, for acceptance and maintenance of insulating oil in equipment.

IN-PLANT QUALITY CONTROL

In addition to extensive testing by an independent testing laboratory to determine approved sources of supply, SPX Waukesha performs acceptance tests on each shipment of oil received.

SPX Waukesha receives oil at its plants in dedicated tank cars and trucks. Upon arrival, acceptance testing is performed before the oil is unloaded into a SPX Waukesha storage tank. Prior to filling a transformer, oil is degassified and dehumidified, passed through Fullers Earth and re-filtered at various points in the process.

Transformer Paint Systems

SPX Transformer Solutions, Inc. (SPX Waukesha) provides as standard a coating system that exceeds the requirements of ANSI C57.12.28, "Enclosure Integrity—Above-Ground Pad-Mounted Enclosures" Specification (the specification for pad mounted equipment). The coating system consists of an epoxy primer with a polyurethane topcoat. This process has been both lab tested and field evaluated.

The coating system processes used for the transformer are as follows:

SUBSTRATE

Hot rolled low alloy steel.

SURFACE PREPARATION

The performance of a coating system is highly dependent upon the condition of the surface to which the coating is applied. All sharp edges, scale, weld spatter and surface irregularities shall be removed by shot blasting, hand grinding, sanding or other appropriate manufacturing procedures.

Shot blasted per SSPC-SP6 (Commercial Blast Cleaning) then detergent washed with an iron phosphate conversion coating and a non-chrome seal.

-or-

Blast to SSPC-SP10 (near white) condition and apply paint within 24 hours.

COATING PROCEDURE

Interior of tank and tank cover are coated using a white, two-part, oil-resistant epoxy enamel. The exterior surfaces are first painted using a two-part epoxy primer then top coated using a two-part urethane enamel. All coating materials are applied using plural component equipment that automatically measures and mixes the paint systems to eliminate operator error. The coatings are then force cured to produce a uniform cured coating.

COATING RESULT

Interior coating compatible with transformer oil per ASTM 3455; 3 mil exterior coating capable of meeting ANSI C57.12.28

The two-component coatings used for painted surfaces have a high crosslink density and an exceptional barrier property characteristic. Two-component systems develop full corrosion resistance at a 2 mil total film thickness (primer and topcoat); in fact, the mechanical properties of any organic coating will deteriorate as the thickness approaches 5 mils. For these reasons, the SPX Waukesha paint system exhibits optimal performance at a nominal 3 mil thickness (range 3–5 mils).

NOTE: Radiators are purchased from an outside supplier and are hot dip galvanized or painted to meet the customer's specification.

See next page for paint system test results.

EXTERIOR PAINT SYSTEM PERFORMANCE

TEST	ASTM TEST METHOD	RESULTS
Adhesion	D-3359-B	No Removal
Salt Spray	B-117	1500 Hrs, 1/32" Loss of Adhesion
Humidity	D2247	1000 Hrs @ 40°C; No Blisters
Impact	D-2794	160 in/lbs., No Chipping
U-V Resistance	G-53	500 Hrs, Less than 15% Gloss Change
Taber Abrasion	D-4060	More than 3,000 Cycles @ 3 Mils
Oil Resistance	72 Hrs @ 100°C	No Effect
Thermal Aging	1,000 Hrs @ 120°C	No Effect
Pencil Hardness	D-3363	2-H After 2 Weeks
VOCs	D-2369	3.5 #/gal.

Transformer Tank Design and Construction

Tank integrity is essential for reliability and long transformer life. To help ensure a perfect fit to the application, SPX Transformer Solutions designs all transformer tanks to high internal standards while taking customer specifications into account.

All Waukesha® transformer tanks feature the following:

- Hot-rolled, low carbon steel plates plasma cut to critical tolerances then joined via submerged arc welding to ensure against cracked seams and irregularities
- Formed tank corners which eliminates high stress corner welds
- Jack pads and lifting hooks for lifting, jacking or pulling, meeting the criteria of C57.12.10
- Guides used to solidly "fit" and brace the completed core and coil assembly inside the tank to prevent shifting during shipment
- Slightly domed covers to help prevent water accumulation
- Raised flanges with machined gasket grooves in cover openings
- Conveniently located hand holes and/or manholes for easy access to the lower end of bushings, terminal boards and upper portion of core and coil assembly

Additionally, SPX Transformer Solutions' standard coating system exceeds the requirements of ANSI C57.12.28, the specification for pad-mounted equipment.



Finite Element Analysis

TANK DESIGN STYLES

SPX Waukesha uses several tank design styles to optimize the use of tank steel, transformer oil and lead time. All are designed to withstand full vacuum and pressures 25% greater than achieved during normal operation. The tank design shown on the preliminary bid outline is an initial estimate and *subject to change based on the optimum design criteria*. The best tank design is chosen based on unit specifications, including overall dimensions, equipment location, cooling requirements and shipping profile.

Octagonal Tank Design

Octagonal end walls are used when possible to optimize total oil volume and installed transformer weight, resulting in lower overall footprint.



Rectangular Tank Design

Horizontal stiffener designs can be used where full length walls are necessary for mounting various accessories. This configuration can also be used for air expansion on N2 and sealed tank designs to reduce tank height for improved shipping clearances.



Vertical Stiffener Design

Vertical stiffeners are used on larger units when necessary to reduce shipping profile width by using multiple vertical stiffeners. This design is also used on some longer units to produce an optimum tank design with minimal tank wall deflection.



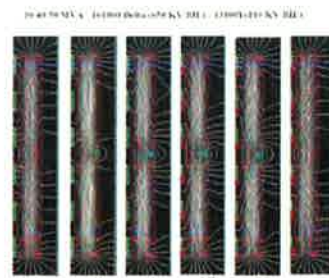
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TC15-1014A

Short Circuit Testing

DESIGN

All SPX Waukesha transformers are designed with state-of-the-art tools and manufactured in our factories per strict quality assurance plans to ensure survival through even the worst-case faults. To start, worst-case fault currents are determined assuming infinite bus supply (zero system impedance) for single line to ground as well as three phase faults at the transformer terminals. Using this fault current, all designs are analyzed with detailed stress calculations for worst case combinations of tap positions (LTC and DETC) for all known failure modes. These stresses are then compared to known strengths for each of the failure modes and must have acceptable design margins as set by SPX Waukesha.



MANUFACTURING

All windings are manufactured with rectangular, electrolytic-grade copper conductor or epoxy-bonded, continuously transposed cable (CTC). Radial spacers are locked to strips fastened to the winding cylinders. These radial spacers and other support blocks in the pressure column are manufactured from high density, pre-compressed pressboard or laminated wood. Other specialized components—angle/cap rings, coil collars and static ring insulation—are manufactured from molded grade, soft pressboard as required.



Fully Distributed Regulating Voltage Winding

After the windings are completed, they are thoroughly dried using hot air. After removal from the dryout chamber, coils are hydraulically pressed successively using a predetermined force then pressed again using the clamping force they will experience when fully assembled. When required, radial spacers are adjusted to achieve the required design height, maintaining the design's "electrical center" of the windings for ampere-turn balance, stray flux and axial force mitigation.

The coils are clamped in place by first applying a precise, hydraulic force which compresses the windings to the design height as verified in the coil sizing operation (as described in the paragraph above). In this condition, the coils are fastened in place to maintain a compressive force as specified by SPX Waukesha engineering. Verifying exact clamping pressure and winding height in this manner helps guarantee a finished product that conforms to what was designed in engineering.

The entire clamping technique and underlying scientific principles on which it is based are major contributors to the outstanding SPX Waukesha service record.

See next page for a list of transformers tested for short-circuit at high power laboratories.

SPX WAUKESHA SHORT CIRCUIT TEST LIST:

MVA RATING	HV RATING	LV RATING	MANUFACTURING PLANT LOCATION
0.833	67 kV Delta	12.47 kV Wye	Wisconsin
5 / 6.25	24.4 kV Delta	4.16 kV Wye	Wisconsin
5 / 6.25	26.4 kV Delta	4.16 kV Wye	Wisconsin
5 / 6.25	59.58 kV Wye	10.66 kV Wye	Wisconsin
5 / 6.25	67 kV Delta	12.47 kV Wye	Wisconsin
7.5 / 9.375	69 kV Delta	12.47 kV Wye	Wisconsin
7.5 / 9.375	138 x 69 kV Delta	26.4 X 13.2 kV Wye	Wisconsin
12 / 16 / 20	66 kV Delta	14.4 kV Wye	Wisconsin
15 / 20	67 kV Delta	12.47 kV Wye	Wisconsin
15 / 20 / 25	69 kV Delta	12.47 kV Wye	Wisconsin
1.0	12.47 kV Delta	4.8 kV Wye	North Carolina
3.75	23 kV Delta	4.8 kV Wye	North Carolina
5.0	34.4 kV Delta	12.47 kV Delta	North Carolina
5.0	69 kV Delta	12.47 kV Delta	North Carolina
7.5	23 kV Delta	12.47 kV Wye	North Carolina
7.5	115 kV Delta	13.2 kV Wye	North Carolina
10.0	43.8 kV Delta	24.9 X 12.47 kV Wye	North Carolina
5 / 6.2	34.5 kV Delta	12.47 kV Wye	California
15 / 20	67 kV Delta	12.5 kV Wye	California
15 / 20	67 kV Delta	12.5 kV Wye	California
15 / 20 / 25	120 kV Delta	13.2 kV Wye	California
15 / 20 / 25	120 kV Delta	13.2 kV Wye	California
18	39.5 kV Delta	4.8 kV Delta	California
30	230 kV Delta	55.2 kV Delta	California



Helical Winding with CTC

Continuous
Disk
Winding
with
Copper
Magnet
Wire





WAUKESHA® SERVICE

Transformer Service Solutions to 765kV

AVAILABLE FOR ALL MANUFACTURERS' UNITS

The Waukesha® Service team focuses on maintaining performance quality, reliability and life of transformers and load tap changers throughout your electric power system — whether manufactured by SPX Waukesha or other suppliers. Our mission is simple: to keep your transformers up and running with responsive and cost-effective service and maintenance support 24 / 7 / 365.



TRANSFORMER INSTALLATION & LOGISTICS

- Heavy Hauling
- Rigging
- Assembly
- Oil Filling
- Acceptance Testing
- Relocation



TRANSFORMER TESTING SERVICES

- Insulation Resistance
- Power Factor
- Transformer Turns Ratio
- Winding Resistance
- CT Testing
- Leakage Reactance
- Winding Excitation & Alarm Checks
- Gauge Calibration
- Sweep Frequency Response Analysis
- Dissolved Gas Analysis & General Chemistry Test
- Materials Analysis

TRANSFORMER MAINTENANCE

- Inspections
- Component Addition & Replacement
- Regasketing
- Leak Repairs
- Life Extension
- Oil Preservation System Upgrades
- Control Upgrades
- Retrofits

TRANSFORMER OIL PROCESSING

- Field Dry Out
- Vacuum Filling
- Hot Oil Processing
- Cryogenic Drying (Cold Traps)
- Fullers Earth Reclamation
- On-Line Dehumidification
- Natural Ester Retrofills

SPARE PARTS

- Bushings / Arresters
- Cooling Equipment
- Gauges / Controls
- Gaskets
- Transformer Health Products®
- LTC Parts

TRAINING SERVICES

- LTC Training
- Maintenance Training
- Testing Training
- Print Reading
- Oil Processing Training

LTC MAINTENANCE

- All Manufacturers
- Inspections
- Overhauls
- Upgrade Kits
- Filtration Installation
- Core Replacement
- Vacuum Retrofits

ENGINEERING SERVICES

- Condition Assessment Studies
- Life Assessment Studies
- Thermal Uprate Studies
- Component Retrofits
- Failure Analysis
- Feasibility Studies
- Technical Supervision



Contact us for additional information or quotation on our extensive range of services:

CALL 800-758-4384 | VISIT www.waukeshaservice.com

Emergency Service Available 24/7/365: 888-365-24X7



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experience

WAUKESHA TRANSFORMERS

SMALL POWER TRANSFORMERS

SPX Waukesha designs, manufactures and tests a complete line of medium power transformers self-cooled rated from 2 to 100 MVA with primary voltages through 245 kV including:

- Station Transformers
- Single and Three-Phase
- Two and Three Winding
- Onload Load Tap Changer
- GSU (Generator Step-Up) Transformers
- Unit Auxiliary Transformers
- Sapon Service/Start-Up Transformers
- Auto Transformers (Three-Phase or Single-Phase)
- Zig-Zag Grounding Transformers
- Special Duty Transformers (Motor Starting, Low Impedance Pulse Loading, etc.)

LARGE POWER TRANSFORMERS

Our large power product line includes transformers that are tap rated to 1200 MVA 500kV (with future potential up to 765kV).

experience

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experience

Waukesha® TRANSFORMERS – U.S. in ownership, production and service support!

DESIGN

The Unimaster Waukesha® power transformer design and engineering technology is based upon design criteria which is focused on reliability and has been implemented in more than forty years of production. SPX Transformer Solutions (SPX Waukesha) has decades of renowned transformer design and manufacturing experience. Every Waukesha® transformer builds core turn construction. Our medium and large power transformers are built with copper windings in a circular configuration and are continuous die and/or vacuum in design. When a load tap changer is provided separate fully distributed regulating windings are utilized to reduce no-load current imbalance which results in lower total no-load losses and the resulting localized hot-spot temperatures.

We utilize many sophisticated computer programs for designing transformers including:

- Cost and performance optimizing programs.
- Electromagnetic flux plotting software
- Electrical transient calculation software
- Short Circuit Analysis software
- Stray and Eddy Loss calculation software
- Reaction calculation software
- Thermal modeling software
- Cable and Lead Temperature Rise calculation software
- Tank Wall, Clamp, Flaw Shield Loss and Temperature Rise calculations

Thorough precise calculation of electrical, mechanical and thermal stresses. SPX Waukesha ensures adequate design margins, guaranteed performance values and high reliability standards.

A fully parametric 3-D modeling computer-assisted design program is used to combine customer specifications and proprietary electrical design variables into a finished mechanical design. SPX Waukesha's proprietary mechanical design rules – developed using finite element analysis tools for the highest accuracy of stress calculations – are preprogrammed into the design software. A software program uses the same information to generate the oil rail tank design. Before production the design is reviewed, verified and finalized by a mechanical designer to assure proper electrical clearances and optimal use of materials. If a modification is needed, the dynamic model makes 3-D changes in one-millisecond increments! Approval and final drawings, created to scale from the actual parametric model, allow for precise overall dimensions and major equipment/accessory location.

SPX Waukesha expands its "standard" transformer design expertise with global leadership in the use of Cooper Power Systems' Envirotemp® FR3 fluid for power transformer design and production. FR3 fluid is a patented natural ester dielectric coolant made from a formulation which includes food-grade vegetable oil. It is a biodegradable, non-toxic and environmentally friendly material with less flammable characteristics. Cooper Power Systems' laboratory data shows that – at 33 significantly above the aging rate of cellulose insulation when compared to transformers using mineral oil.

EXPERIENCE

SPX Waukesha operates manufacturing facilities in both Waukesha, Wisconsin and Goldboro, North Carolina. With 60+ years of combined manufacturing experience, our strength in design, engineering, manufacturing, testing and customer service is unlimited.

Servicing conducted by independent industry organizations indicate our transformers win among those of top tier utility manufacturers. Our substantial design margins for electrical, mechanical and thermal stresses – developed and tested over years

of manufacturing transformers – can blend with our manufacturing expertise help make these margins pass by.

Our commitment to product advancement is demonstrated by a dedicated Research and Development team, complete with engineering staff. SPX Waukesha supports and participates in many industry groups and organizations including IEEE, CIGRE, AEC, EPRI, Dodge, Westinghouse, IEEE, NEMA, NEMA, NREL, and IEC. Plus, we are a fully approved supplier by AISC – with and without Load Tap Changer!



RELIABILITY

Every Waukesha® power transformer design is analyzed for proper management of through-fault initiated mechanical forces and to assure that maximum hot-spot temperature ratings are within allowable limits by statistical margins. State-of-the-art analytical tools test the ability of our products to withstand all known stresses. Stress analysis includes the following:

- Through-fault events caused by line-to-line and/or line-to-ground faults
- Transient overvoltages due to mutual (lightning) and non-mode (switching) surges
- Overheating from improper design of windings and structure parts
- Overloading beyond nameplate ratings
- Mechanical stresses caused by transportation and seismic activities

Many manufacturing processes and procedures are used to increase reliability including:

- Vapor Phase drying and vacuum filling to ensure maximum of Ubbelohde content
- Minimum bolted electrical joints – we use bolting or clamping wherever practical
- SPX Waukesha quality assurance and product testing exceed industry standards

Our load tap changer, the Waukesha® LZTD, boasts an excellent field performance record. It is designed for high reliability and low life-updating costs, with more than 5200 units currently in operation. Design improvements introduced in 1997 lowered the failure rate on the LZTD from 0.18% to less than 0.06%. Our LZTD meets all requirements of the IEEE standard for load tap changers (IEEE C57.131-1996).



SERVICE

Waukesha® Service provides total transformer service solutions up to 765 kV. Our service team focuses on maximizing the performance, reliability and working life of all the transformers and load tap changers throughout a customer's electric power system, whether manufactured by SPX Waukesha or other suppliers. Our team's mission is to keep transformers up and running 24/7/365 by providing responsive and cost-effective service and maintenance support.

The dedicated technicians in the Waukesha® Service organization receive extensive factory-reported technical and safety training. Each technician is backed up by the engineering and technical support resources of SPX Waukesha – an industry leader in transformer design, manufacturing and product support.

Our services include:

- Transformer Hauling and Rigging
- Transformer Installation
- Transformer Acceptance Testing
- Transformer Maintenance
- Transformer Oil Processing and Reclamation
- LTC Maintenance
- Thermal Upgrades
- Reliability
- Condition Assessments
- Diagnostic Testing and Oil Analysis
- Technical Support
- Natural Ester Fluid Refill
- Operation and Maintenance Training
- Renewal Parts and Components

COMMITMENT

Delivering on our COMMITMENT every day with the highest standards of quality to:

- Our Customers
- Our Employees
- Our Suppliers and Owner Partners
- Our Shareholders
- Our Communities

We pledge our commitment to provide the products, services and support that meet or exceed our customers' expectations – every day, every order, every unit.

Thank you, Waukesha®

ShipDate	BaseHV_MVA	FirstStageHV_MVA	SecondStageHV_MVA	ThirdStageHV_MVA	HV_Voltage	HV_Connection	HV_Bil	XV_Voltage	XV_Bil	LTC
In Production	30 000	40.000	50.000	56.000	69.000	DELTA	350 13.090		110 Y	
In Production	30 000	40.000	50.000	56.000	69.000	DELTA	350 13.090		110 Y	
In Production	30 000	40.000	50.000	56.000	69.000	DELTA	350 20.780		150 Y	
In Production	30 000	40.000	50.000	56.000	69.000	DELTA	350 13.090		110 Y	
09/16/2009	30 000	40.000	50.000	56.000	67.000	DELTA	350 13.200		110 Y	
10/30/2009	30 000	40.000	50.000	56.000	68.800	DELTA	350 13.090		110 Y	
11/12/2009	30 000	40.000	50.000	50.000	69.000	DELTA	350 26.180		200 Y	
12/16/2009	30 000	40.000	50.000	56.000	67.000	DELTA	350 13.200		110 Y	
07/01/2011	25 000	33.333	41.667	41.667	69.000	DELTA	350 13.090		110 Y	
03/23/2012	25 000	33.333	41.667	46.667	69.000	DELTA	350 13.800		110 Y	
08/03/2012	25 000	33.333	41.667	41.667	69.000	DELTA	350 22.860		150 Y	
03/29/2013	30 000	40.000	50.000	50.000	69.000	DELTA	350 27.000		200 Y	
04/09/2013	30 000	40.000	50.000	50.000	69.000	DELTA	350 27.000		200 Y	
04/23/2013	30 000	40.000	50.000	56.000	69.000	DELTA	350 26.180		150 N	
05/13/2013	30 000	40.000	50.000	56.000	69.000	DELTA	350 26.180		150 N	
12/19/2013	25 000	33.333	41.667	41.667	69.000	DELTA	350 13.090		110 Y	
08/29/2014	30 000	40.000	50.000	56.000	68.800	DELTA	350 13.800		150 Y	
08/29/2014	30 000	40.000	50.000	56.000	68.800	DELTA	350 13.800		150 Y	
09/23/2014	30 000	40.000	50.000	56.000	69.000	DELTA	350 13.800		110 N	
01/27/2015	30 000	40.000	50.000	56.000	69.000	DELTA	350 13.090		110 Y	
09/22/2015	30 000	40.000	50.000	56.000	69.000	DELTA	350 13.090		110 Y	
12/14/2015	30 000	40.000	50.000	56.000	69.000	DELTA	350 13.090		110 Y	

NOTE: The Contractor shall adhere to the requirements of Section 1.4 of the Specifications and the location of the facility where the transformer will be manufactured shall be identified. The identified facility in your Bid shall be the facility where the transformers will be manufactured and shall not be changed to another facility.

4.4 TAB B: Experience and References – Provide a summary of your firm’s experience in designing, manufacturing, testing, delivering and installing similarly-sized and designed power transformers. Provide a list of projects similar in nature and scope that your firm has completed in the last ten (10) years. Include brief descriptions of the projects, dates, client names and contact persons’ names, addresses, telephone numbers and email addresses.
Test and field failure rates for the past ten (10) years, as per Section 1.5 of the Specifications, shall be provided, as well as a list of similar low-noise units to that being specified, which were short-circuit tested at the manufacturing facility where this unit would be manufactured. Test results shall indicate those units which have passed/failed and a statement indicating the nature of failure (refer to the requirements of Section 19.5).

4.5 TAB C: Project Plan –Include a proposed work schedule to accomplish all of the required tasks within the required timeline, showing the number of days for each task to complete and the date the task will start.

Equipment and arrangement drawings, showing enough details and information for Customer to be able to use to design foundation, electrical connections, control and protection, etc. as per Section 19.2, shall be provided. Weight in pounds, for both empty and oil-filled, and total volume of oil in gallons shall also be provided.

A list of components indicating the exact type and Manufacturer shall accompany the Project Plan.

4.6 TAB D: Cost Proposal – Provide a total cost proposal as per the list below.

ITEMIZED COST FOR TWO-WINDING POWER TRANSFORMER BID

US\$		
1	Transformer, as described in the Specifications (cost shall include all accessories, spare and recommended spare parts, special and non-special tools, and all other devices/equipment not specifically listed in the Specifications but is nonetheless required for the proper functioning of the transformer)	\$987,477.00
2	Delivery (FOB - Roseville, CA) and Installation	\$59,382.00
3	Technical Services and Commissioning (Section 21)	\$37,175.00
4	Short Circuit Test (Section 15.23)	\$851,613.00
5	Storage (Section 21.12)	See Transformer Storage Policy
6	Total Lump Sum Bid Price (1 + 2 + 3)	\$1,084,034.00
7	All Applicable Taxes	Not Included
8	Total Lump Sum Bid Price + all Applicable Taxes	\$1,084,034.00
9	Escalation Cost as a percentage (%) of item 1 above	Not Included

Attachment A

PROPOSER'S CERTIFICATION

I hereby propose to furnish the goods or services specified in the Request for Proposals ("RFP"). I agree that my proposal will remain firm for a period of up to ninety (90) days in order to allow the City of Roseville ("City") adequate time to evaluate the qualifications submitted.

I have carefully examined the Request for Proposals and any other documents accompanying or made a part of this RFP. The information contained in this proposal is true and correct to the best of my knowledge and is signed under penalty of perjury under the laws of the State of California. I further certify that I am duly authorized to submit this proposal on behalf of the firm as its authorized agent and that the firm is ready, willing and able to perform if awarded the contract.

I further certify that this proposal is made without prior understanding, agreement, connection, discussion, or conspiracy with any other person, firm or corporation submitting a proposal for the same product or service; that this proposal is fair and made without outside control, collusion, fraud or illegal action; that no officer, employee or agent of the City or any other proposer is financially interested in said proposal; that no undue influence or pressure was used against or in concert with any officer, employee or agent of the City in connection with the award or terms of the contract that will be executed as a result of this RFP; and that the undersigned executed this Proposer's Certification with full knowledge and understanding of the matters therein contained and was duly authorized to do so.

SPX Transformer Solutions, Inc.

NAME OF BUSINESS



SIGNATURE

Alex Hughes, Application Engineer

NAME & TITLE, TYPED OR PRINTED

400 South Prairie Avenue, Waukesha, WI 53186

MAILING ADDRESS

262-446-8466

TELEPHONE NUMBER

alex.hughes@spx.com

EMAIL

Type of Organization:

☐ Sole Proprietorship

☒ Corporation

☐ State of Incorporation

☐ Partnership

☐ Limited Liability Company

Attachment B

CONTRACTOR LICENSES

List the licenses held by your company and/or employees. The following representations are made under penalty of perjury:

***No Contractors Used**

CA State Lic No.	Name on License	Class/Type	Expiration Date
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

Do you have a City of Roseville Business License? Yes____ No____

License # _____

***For Public Works Projects (SB854):** Contractors must register with the Department of Industrial Relations (DIR) pursuant to Labor Code section 1725.5. The Contractor shall provide proof of current registration with the Department of Industrial Relations for both itself and all listed subcontractors with their proposal. Contractors may register at: <http://www.dir.ca.gov/public-works/publicworks.html>

DIR contractor registration number and expiration date:

* _____

Attachment C
SUBCONTRACTOR LIST

If subcontractors will not be used, proposer must write "NONE". For construction contracts, in accordance with the Public Contract Code, Part 1, Chapter 4, Subletting and Subcontracting, Contractors must list the name and business address of each subcontractor who will perform work or labor or render service to the prime contractor in or about the construction of the work or improvement, or who will specially fabricate and install a portion of the work or improvement according to detailed drawings contained in the plans and specifications, in an amount in excess of one-half of one percent (0.5%) of the prime contractor's total bid, or in the case of bids or offers for the construction of streets and highways, including bridges, in excess of one-half of one percent (0.5%) of the prime contractor's total bid or ten thousand dollars (\$10,000) whichever is greater.

***No Contractors Used**

Company Name _____
Principal _____
Address _____
City _____
Phone _____
Job capacity _____
Percentage of total work _____
Licenses and numbers _____
DIR Contractor Registration Number & Expiration Date _____

Company Name _____
Principal _____
Address _____
City _____
Phone _____
Job capacity _____
Percentage of total work _____
Licenses and numbers _____
DIR Contractor Registration Number & Expiration Date _____

Company Name _____
Principal _____
Address _____
City _____
Phone _____
Job capacity _____
Percentage of total work _____
Licenses and numbers _____
DIR Contractor Registration Number & Expiration Date _____

Company Name _____
Principal _____
Address _____
City _____
Phone _____
Job capacity _____
Percentage of total work _____
Licenses and numbers _____
DIR Contractor Registration Number & Expiration Date _____

Company Name _____
Principal _____
Address _____
City _____
Phone _____
Job capacity _____
Percentage of total work _____
Licenses and numbers _____
DIR Contractor Registration Number & Expiration Date _____

Attachment D

**ADDITIONAL TECHNICAL PARTICULARS TO BE SUBMITTED WITH THE BID/PROPOSAL
DOCUMENTS**

- See Quote Document SPX Quotation # 70005746

ADDITIONAL TECHNICAL PARTICULARS TO BE SUBMITTED WITH THE BID/PROPOSAL DOCUMENTS		
Technical Particulars	Information/Data	Comments
Name of Manufacturer	SPX Transformer Solutions, Inc.	
Factory location/address	400 S. Prairie Avenue, Waukesha, WI 53186	
MVA Rating at ONAN/ONAF1/ONAF2	28/37.33/46.67	
Voltage Rating HV/LV	60kV-12.47kV	
Core Design	3 limb core	
Type of core construction	Steplap/Mitered	
Grade of Core Steel	H1 Grade	
Manufacturer of Core Steel	AK Steel	
Design Flux Density at Rated Voltage in Tesla	1.15	
Winding Design		
Type of Windings	Helical/Disk/Multi-start Helical	
Low Voltage	Helical	
LTC Tap	TBD	
High Voltage	Disc	
Current Density at Maximum Rated MVA	Amps/Sq. inch	
Low Voltage	TBD	
LTC Tap	TBD	
High Voltage	TBD	
Type of Winding Conductor	Epoxy Bonded CTC / Rectangular Copper	
Low Voltage	CTC	
LTC Tap	Magwire	
High Voltage	CTC	
Manufacturer of Winding Conductor	Sam Dong	
Type of Conductor Paper Insulation	Dennison paper	
Manufacturer of Conductor Paper	Weidmann	
Is paper Thermally Upgraded?	Yes/No	TBD
Winding Insulation Design Margin (%)	TBD	
Is Design suitable for Switching Surge Application?	Yes/No	TBD
Short Circuit Design Margin for Winding (%)	TBD	
Hoop Stress	TBD	
Radial & Axial Bending Stress	TBD	
Buckling Stress	TBD	
Tilting Stress	TBD	
Short Circuit Design Margin for Core Clamping plates (%)	TBD	
Maximum permissible stress on winding Keyspacers (psi)	TBD	
Details of Radiators		
Manufacturer	Menk	
Hot dip Galvanized	Yes/No	Yes
Quantity	11	
Details of Cooling Fans		
Manufacturer	Krenz	
Air Flow (CFM)	TBD	
Sound Level of Each Fan	TBD	
Quantity	20	
LTC Manufacturer	Reinhaussen	
Type of LTC	RMVII-1500	
LTC Voltage Class	TBD	
LTC nameplate current rating	TBD	
LTC winding maximum current	TBD	
Series Transformer Ratio (if used)	TBD	
Maximum Flux Density of Series Transformer	TBD	
Maximum Flux Density of Preventive Auto used for LTC	TBD	
Transport Acceleration Design Limits (g)		
Longitudinal	5	
Transverse	5	
Vertical	3	
HV Bushings		
Manufacturer	ABB	
Type	RIP	
Rating	350 BIL	
LV Bushings		
Manufacturer	ECI	
Type	RIP	
Rating	150 BIL	
Surge Arresters		
Manufacturer	Ohio Brass	
Type	Station/Polymer	
List any accessories and control equipment which are not manufactured in USA	TBD	

I M P O R T A N T

January 11, 2016

TO: Bidders

FROM: Sonny Punzalan, City of Roseville Electric Department

**RE: RFP 07-22 - DESIGN, MANUFACTURE, TESTING, DELIVERY and
INSTALLATION of 60/12.47 kV, 28/37.3/46.7 MVA, OIL-FILLED,
THREE-PHASE, LOW-NOISE, POWER TRANSFORMER with AUTOMATIC LOAD
TAP CHANGER**

*******ADDENDUM NUMBER ONE (1)*******

Please note the following revisions, which represent one
attachment:

Our responses to questions 1 and 2 that were posted on the public
purchase website for the RFP above, constitute Addendum number one
(1):

Question 1 (Q1): Please clarify your proposal validity
requirement. The RFP calls for 60 days on page 10, section 4.6 and
90 days on page 17, attachment A.

Response to Q1 (Addendum 1): The correct proposal validity
requirements is 90 days as per Attachment A, page 17 of the RFP
Documents.

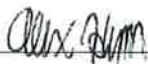
Question 2 (Q2): Regarding the liquidated damages (LDs) specified
on page 49, section 20.13 - will you consider revising LDs from
\$1000/day capped at 20% to \$500/day capped at 10%?

Response to Q2 (Addendum 1): The LDs shown on page 49, Section
20.13 of the Specifications shall be \$1,000.00 per day, capped at
10% as shown on page 8, Section 2.0 of the RFP documents.

**YOU MUST ACKNOWLEDGE RECEIPT OF THIS ADDENDUM BY SIGNING BELOW
AND FAXING TO (916) 784-3797 PRIOR TO FEBRUARY 5, 2016, 3:00 P.M. PLEASE ALSO
INCLUDE A SIGNED COPY OF ADDENDUM ONE (1) WITH YOUR BID RESPONSE.**

I acknowledge receipt of Addendum Number One (1)

Name Alex Hughes

Signature 

Company SPX Transformer Solutions, Inc.

Date 2/5/16

EXHIBIT "C"

Porter, Christopher

From: Karie Spowart <kariespowart@Isberg-Nott.com>
Sent: Monday, April 18, 2016 2:25 PM
To: Punzalan, Arsenio
Cc: Stewart Cox (Stewart.Cox@spx.com); 'rajahuja2@gmail.com'; Porter, Christopher; Kownick, Matthew; Steve Kanty
Subject: FW: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Sonny,

I just received corrected indices information from SPX. Please refer to the email below from Stewart. His email should clear up any confusion about how your escalation is calculated based on these indices. Please let me know if you have questions.

Best Regards,

Karie

Karie Spowart
Sales Engineer
Isberg & Associates
PH: 925-426-4718
MO: 925-997-3125
www.isberg-nott.com

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From: Cox, Stewart [mailto:Stewart.Cox@spx.com]
Sent: Monday, April 18, 2016 12:30 PM
To: Karie Spowart <kariespowart@Isberg-Nott.com>
Cc: Steve Kanty <stevekanty@Isberg-Nott.com>; Kownick, Matthew <Matthew.Kownick@spx.com>
Subject: RE: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Karie:

We need to correct the email below. The base indices that will be used are the average of the month prior to the quote, which would be January 2016, as shown below. The future unit pricing will be based on the latest month average indices, prior to order entry. This will allow City of Roseville to lock in their pricing at the time of order entry for future orders. The calculation matrix is shown below, with the example illustrating what would happen if an order placed today were subject to escalation. In any case, we need to notify City of Roseville of the correct indices.

Customer Name:	City of Roseville	OPTION 1	Initial Selling Price:	\$1,084,034
Customer P.O. #	Enter Customer P.O. #		Final Selling Price:	\$1,101,602
WES Unit #:	Enter WES Unit #		% Price Adjustment	1.62%

		Quoted Baseline	Date of Order/Shipment		
Material	Index Used	16-Jan-16	16-Mar-16	Adjustment Multiplier	% of Sales Price
Copper	HG-Comex	200.94	223.16	1.1106	8.8%
Core Steel	WES	88.00	88.00	1.0000	7.2%
Plate Steel Products	AMM No. 1 Heavy Melt: Chicago	147.78	180.43	1.2209	1.0%
Oil (If Furnished)	West Texas Crude Index	31.78	37.96	1.1945	2.0%
Paper Insulation	PIX U.S. NBSK Index	940.00	951.79	1.0125	3.0%

Thanks,



Stewart Cox
Territory General Manager – West Region

WAUKESHA

stewart_cox@spx.com
T 480-861-9351

SPX Transformer Solutions, Inc.
5237 E. Thunder Hawk Rd.

Cave Creek, AZ 85331
www.spxwaukesha.com

[Transformers](#) | [Service](#) | [Training](#) | [Components](#)



The information contained in this electronic mail transmission is intended by SPX Corporation for the use of the named individual or entity to which it is directed and may contain information that is confidential or privileged. If you have received this electronic mail transmission in error, please delete it from your system without copying or forwarding it, and notify the sender of the error by reply email so that the sender's address records can be corrected.

From: Karie Spowart [<mailto:kariespowart@Isberg-Nott.com>]

Sent: Monday, April 18, 2016 12:12 PM

To: Punzalan, Arsenio

Cc: Christopher Porter (cporter@roseville.ca.us); Steve Kanty; Cox, Stewart; Kownick, Matthew; 'rajahuja2@gmail.com'

Subject: RE: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

[External Mail]

Hi Sonny,

Here are the indices that will be used for escalation:

Material	Index Used	4/12/2016
Copper	HG-Comex	214.50
Core Steel	WES	88.00
Plate Steel Products	AMM No. 1 Heavy Melt: Chicago	230.00
Oil (If Furnished)	West Texas Crude Index	42.17
Paper Insulation	PIX U.S. NBSK Index	957.39

Best Regards,

Karie

Karie Spowart
Sales Engineer
Isberg & Associates
PH: 925-426-4718
MO: 925-997-3125
www.isberg-nott.com

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From: Karie Spowart
Sent: Thursday, April 14, 2016 10:01 AM
To: Punzalan, Arsenio <APunzalan@roseville.ca.us>
Cc: Christopher Porter (cporter@roseville.ca.us) <cporter@roseville.ca.us>; Steve Kanty (stevekanty@isberg-nott.com) <stevekanty@isberg-nott.com>; Stewart Cox (Stewart.Cox@spx.com) <Stewart.Cox@spx.com>; Kownick, Matthew <Matthew.Kownick@spx.com>; 'rajahuja2@gmail.com' <rajahuja2@gmail.com>
Subject: RE: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Sonny,

The LV lightning arrestors will be included in the BOM at no additional cost.

Escalation will be combination of a 1% yearly increase, based off the original transformer price, along with a commodities adjustment price. The 1% yearly increase will accommodate for labor cost and can be extended up to a maximum of four years. Commodity pricing would be adjusted based on weightings specific to this transformer design and indices at the time of order entry. I have asked SPX Waukesha to provide the indices that will be used for commodity price adjustments which I expect shortly.

Finally, there is an offloading subcontractor that was not identified at the time our bid was submitted. We apologize for this oversight. Please note the following CA Public Works registration for Sheedy – the freight & rigging subcontractor that we will be using.

- a. Sheedy Drayage
 - i. 1215 Michigan St, San Francisco, CA 94107
 - ii. Lee Seum - 415-559-8042
 - iii. C-61/D21 and C-61/D36 license# 180029 expires 5/31/16
1. <https://www2.cslb.ca.gov/OnlineServices/CheckLicense/LicenseDetail.aspx?LicNum=180029>
 - iv. Public Works DIR# 1000005388 expires 6/30/16

Best Regards,

Karie

Karie Spowart

Sales Engineer
Isberg & Associates
PH: 925-426-4718
MO: 925-997-3125
www.isberg-nott.com

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From: Punzalan, Arsenio [<mailto:APunzalan@roseville.ca.us>]
Sent: Tuesday, April 12, 2016 2:44 PM
To: Karie Spowart <kariespowart@Isberg-Nott.com>
Subject: RE: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

OK. Thanks Karie.

Sonny Punzalan
City of Roseville

From: Karie Spowart [<mailto:kariespowart@Isberg-Nott.com>]
Sent: Tuesday, April 12, 2016 2:01 PM
To: Punzalan, Arsenio <APunzalan@roseville.ca.us>
Cc: Porter, Christopher <CPorter@roseville.ca.us>; 'rajahuja2@gmail.com' <rajahuja2@gmail.com>; Kownick, Matthew <Matthew.Kownick@spx.com>; Stewart Cox (<Stewart.Cox@spx.com>) <Stewart.Cox@spx.com>; Steve Kanty <stevekanty@Isberg-Nott.com>
Subject: RE: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Sonny,

They were in the middle of revising their escalation formula when this question came up. I didn't realize that a lot of analysis went into doing that so that is why this is taking longer than expected. I spoke to Matt earlier today and he is finalizing the escalation details now. You should see a response tomorrow. We are checking on the installation information, as well.

Best Regards,

Karie

Karie Spowart
Sales Engineer
Isberg & Associates
PH: 925-426-4718
MO: 925-997-3125
www.isberg-nott.com

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From: Punzalan, Arsenio [<mailto:APunzalan@roseville.ca.us>]
Sent: Tuesday, April 12, 2016 10:11 AM
To: Karie Spowart <kariespowart@Isberg-Nott.com>
Cc: Porter, Christopher <CPorter@roseville.ca.us>; 'rajahuja2@gmail.com' <rajahuja2@gmail.com>
Subject: FW: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Karie,

Any news on this? We did not receive any response yet.

Please check with SPX and ask them to respond as soon as possible.

Please also ask them another question. SPX indicated that they do not have any Contractor(s) or Sub-Contractor(s). Who will install the transformer on the City-furnished foundation at the site?

Thanks,
Sonny Punzalan
Power Engineer
City of Roseville
(916) 774-5698

From: Punzalan, Arsenio
Sent: Thursday, March 31, 2016 3:56 PM
To: 'Karie Spowart' <kariespowart@lsberg-nott.com>
Cc: Stewart Cox <stewart.cox@spx.com>; Raj Ahuja <rajahuja2@gmail.com>; Wilhelm, Mark <MWilhelm@roseville.ca.us>; Porter, Christopher <CPorter@roseville.ca.us>; Steve Kanty <stevekanty@lsberg-nott.com>; Kownick, Matthew <Matthew.Kownick@spx.com>
Subject: RE: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Karie,

Our response is as shown in green below.

In addition, as you already are aware of, another transformer will be purchased in about a year after this one and possibly two more within five years. In order for the City to draft a Contract covering the purchase of up to three more transformers after the first one, we need to have an idea of how much the cost of each additional unit will be. If you have a formula to indicate cost escalations on the additional units, please let us know so it can be incorporated into the Contract (Service Agreement). It could just be a simple formula, such as, cost of the 1st unit + price increase(s) in materials and labor + probably a percentage (%) to cover inflation. Whatever the formula you use to cover price escalations, please send it to us for inclusion in the Contract.

Please let us know if you have clarifications or questions regarding our response.

Thanks,
Sonny Punzalan
Power Engineer
City of Roseville
(916) 774-5698

From: Karie Spowart [<mailto:kariespowart@lsberg-nott.com>]
Sent: Thursday, March 31, 2016 10:21 AM
To: Punzalan, Arsenio <APunzalan@roseville.ca.us>
Cc: Stewart Cox <stewart.cox@spx.com>; Raj Ahuja <rajahuja2@gmail.com>; Wilhelm, Mark <MWilhelm@roseville.ca.us>; Porter, Christopher <CPorter@roseville.ca.us>; Steve Kanty <stevekanty@lsberg-nott.com>; Kownick, Matthew <Matthew.Kownick@spx.com>
Subject: RE: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Sonny,

Hope you are well. The factory responded to your questions in red, below.

- 1) Please replace the Low-Voltage bushings (X0, X1, X2, & X3) with ABB O+C dry type bushings.
 - a. We will replace with the ABB O+C Dry type bushings.
OK
- 2) Since the transformer will be shipped dry, please confirm you will comply with the requirements for shipping without oil, Specifications Section 20.3 including Sections 8.5.1 through 8.5.3, as well as, any other requirements regarding dry shipping of the transformer in the Specifications.
 - a. Yes - we will comply. Since this unit has an N2 system, the pressure can be set above 3 psi (as stated in the spec) and regulated during transit so that it does not fall below that mark.
OK
- 3) We have attached drawings showing the installation of the 15 kV class arresters on top of the existing metal-clad switchgear. We estimate the distance between the secondary transformer bushings and the surge arresters will be no more than 25 feet. The connections between the bushings and the arresters will be cylindrical busses. Please let us know if you have any comments regarding the arresters.
 - a. If this existing substation has some type of overhead grounding cable then using the arresters as shown will be no issue. If this is not the case, we suggest our standard procedure which is mounting brackets to the transformer itself.
If you are referring to an overhead ground wire, then no. The incidence of lightning storms in the area is quite low, so all our 60 kV lines do not have overhead ground wires. In order for the transformer(s) to get the five (5) year warranty, please include 15 kV class surge arresters mounted on removable brackets similar to the installation of the high voltage surge arresters.

Please let us know if there are any other questions.

Best Regards,

Karie

Karie Spowart
Sales Engineer
Isberg & Associates
PH: 925-426-4718
MO: 925-997-3125
www.isberg-nott.com

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From: Punzalan, Arsenio [<mailto:APunzalan@roseville.ca.us>]
Sent: Thursday, March 24, 2016 2:26 PM
To: Karie Spowart <kariespowart@lsberg-nott.com>
Cc: Stewart Cox <stewart.cox@spx.com>; Raj Ahuja <rajahuja2@gmail.com>; Wilhelm, Mark <MWilhelm@roseville.ca.us>; Porter, Christopher <CPorter@roseville.ca.us>; Steve Kanty <stevekanty@lsberg-nott.com>; Alex Hughes (alex.hughes@spx.com) <alex.hughes@spx.com>
Subject: RE: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Karie,

After reviewing the attached revised SPX Quotation # 70005746 and the responses to the City's comments on the original quotation, we have the following comments:

- 1) Please replace the Low-Voltage bushings (X0, X1, X2, & X3) with ABB O+C dry type bushings.
- 2) Since the transformer will be shipped dry, please confirm you will comply with the requirements for shipping without oil, Specifications Section 20.3 including Sections 8.5.1 through 8.5.3, as well as, any other requirements regarding dry shipping of the transformer in the Specifications.
- 3) We have attached drawings showing the installation of the 15 kV class arresters on top of the existing metal-clad switchgear. We estimate the distance between the secondary transformer bushings and the surge arresters will be no more than 25 feet. The connections between the bushings and the arresters will be cylindrical busses. Please let us know if you have any comments regarding the arresters.

The payment terms will be selected and included in the Service Agreement. It probably would be Option 2.

As for legal and contractual comments, the City Attorney's Office will be reviewing your Quotation. Comments will be forwarded to you as soon as we receive the City Attorney's comments.

Please do not hesitate to contact the City for any clarifications/questions that you may have.

Thanks,
Sonny Punzalan
City of Roseville
(916) 774-5698

From: Karie Spowart [<mailto:kariespowart@lsberg-nott.com>]
Sent: Monday, March 21, 2016 10:51 AM
To: Punzalan, Arsenio <APunzalan@roseville.ca.us>
Cc: Stewart Cox <stewart.cox@spx.com>; Raj Ahuja <rajahuja2@gmail.com>; Wilhelm, Mark <MWilhelm@roseville.ca.us>; Porter, Christopher

<CPorter@roseville.ca.us>; Steve Kanty <stevekanty@isberg-nott.com>; Alex Hughes (alex.hughes@spx.com) <alex.hughes@spx.com>
Subject: RE: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Sonny,

Here is the completed "questions" document. Look forward to hearing back from you.

Best Regards,

Karie

Karie Spowart
Sales Engineer
Isberg & Associates
PH: 925-426-4718
MO: 925-997-3125
www.isberg-nott.com

DISCLAIMER: Privileged and/or Confidential information may be contained in this message. If you are not the addressee of this message, you may not copy, use or deliver this message to anyone. In such event, you should destroy the message and kindly notify the sender by reply e-mail. It is understood that opinions or conclusions that do not relate to the official business of the company are neither given nor endorsed by the company. Thank You.

From: Karie Spowart
Sent: Friday, March 18, 2016 4:34 PM
To: 'Punzalan, Arsenio' <APunzalan@roseville.ca.us>
Cc: Stewart Cox <stewart.cox@spx.com>; Raj Ahuja <rajahuja2@gmail.com>; Wilhelm, Mark <MWilhelm@roseville.ca.us>; Porter, Christopher <CPorter@roseville.ca.us>; Steve Kanty (stevekanty@isberg-nott.com) <stevekanty@isberg-nott.com>; Alex Hughes (alex.hughes@spx.com) <alex.hughes@spx.com>
Subject: RE: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Sonny,

I am pleased to offer you SPX Waukesha's revised quote and clarifications for RFP 07-22. The answer to question 1.C. will follow early next week. Hope you are well.

Best Regards,

Karie

Karie Spowart
Sales Engineer
Isberg & Associates
PH: 925-426-4718
MO: 925-997-3125
www.isberg-nott.com

DISCLAIMER: Privileged and/or Confidential information may be contained in this message. If you are not the addressee of this message, you may not copy, use or deliver this message to anyone. In such event, you should destroy the message and kindly notify the sender by reply e-mail. It is understood that opinions or conclusions that do not relate to the official business of the company are neither given nor endorsed by the company. Thank You.

From: Punzalan, Arsenio [<mailto:APunzalan@roseville.ca.us>]
Sent: Friday, March 11, 2016 2:10 PM
To: Alex Hughes <alex.hughes@spx.com>
Cc: Stewart Cox <stewart.cox@spx.com>; Karie Spowart <kariespowart@Isberg-Nott.com>; Raj Ahuja <rajahuja2@gmail.com>; Wilhelm, Mark <MWilhelm@roseville.ca.us>; Porter, Christopher <CPorter@roseville.ca.us>
Subject: FW: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Alex,

Just a reminder. Please send us your response by Friday, March 18, 2016.

Thanks,
Sonny Punzalan
City of Roseville

From: Punzalan, Arsenio
Sent: Wednesday, March 09, 2016 3:43 PM

To: 'Alex Hughes' <alex.hughes@spx.com>

Cc: 'Stewart Cox' <stewart.cox@spx.com>; 'Karie Spowart' <kariespowart@isberg-nott.com>; 'rajahuja2@gmail.com' <rajahuja2@gmail.com>; Wilhelm, Mark <MWilhelm@roseville.ca.us>; Porter, Christopher <CPorter@roseville.ca.us>

Subject: SPX Waukesha Quotation No. 70005746 for City of Roseville RFP 07-22 (Design, Manufacture, Testing,...)

Hi Alex,

We have reviewed your Quotation # 70005746 for the design, manufacture, testing, delivery and installation of power transformer per the City of Roseville's RFP 07-22.

Attached are our clarifications/confirmations regarding your bid proposal.

Please let us know as soon as you can if you have any questions regarding the attached list.

Thanks,
Sonny Punzalan
City of Roseville
(916) 774-5698



COUNCIL COMMUNICATION

CC #: 7731

File #: 0716

Title: Radio Frequency Identification and Automated Material Handling Equipment
Maintenance - Service Agreement Renewal

Contact: Rendi Hodge 916-774-5240 rhodge@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.6.

RECOMMENDATION TO COUNCIL

Recommend Council approve the renewal of a service agreement to Bibliotheca to maintain and support their RFID (Radio Frequency Identification) and AMH (Automated Material Handling) systems.

BACKGROUND

The Library Division has an ongoing requirement for supplies and maintenance for equipment installed in FY2014/15. The Library utilizes the RFID/AMH equipment to help perform critical tasks and it allows our customers quick and easy access to our materials and their library accounts. Installing and implementing this equipment has provided new opportunities for staff to concentrate on customer-focused tasks and outreach to different community groups.

A Request for Proposal was issued to install and maintain RFID/AMH equipment in July 2014 and the contract was ultimately awarded to 3M Library Systems. The RFP allowed for five (5) optional renewal years for the purchase of supplies to support the equipment and maintenance. This will be the first and second of five (5) optional renewal years.

The RFID/AMH equipment was installed in phases starting in November 2014 and ending in April 2015. This resulted in all ten (10) of the ongoing annual maintenance agreements starting one year later based on installation dates, November 2015 through April 2016. In October 2015, staff contacted 3M Library Systems to request consolidated service agreements in order to streamline the renewal process and limit the workload on City staff to manage the agreements. Staff worked with 3M for over five (5) months to consolidate the service agreements from ten (10) separate agreements down to three (3). At the same time, the Library was notified that 3M Library Systems merged with Bibliotheca, LLC. The merger created a significant delay in receiving the updated agreements due to system billing changes at 3M/Bibliotheca. As a result of the merger, the former 3M Library Systems business has combined with Bibliotheca and is transitioning to a single brand. The new Bibliotheca Group will continue to maintain, invest and expand the 3M Library Systems products and support. A "consent to assignment" was approved and signed in October 2015 by Dominick Casey. Going forward the 3M Library name will be changed to Bibliotheca.

Although no payments have been made to Bibliotheca this fiscal year for the three service agreements, they have been maintaining and servicing the equipment as expected.

FISCAL IMPACT

The estimated annual cost for services and goods for FY2015/16 is \$32,000.00. Funding is included in FY2015/16 budget for service through August 31, 2016. The estimated annual cost for services and goods for FY2016/17 is \$65,000.00 and funding will be included in FY2016/17 proposed budget for service through August 31, 2017. The service agreement for September 1, 2016-August 31, 2017 will be contingent upon Council approval of budgets in FY2016/17.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The purchase of supplies for and maintenance of RFID/AMH equipment does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Rendi Hodge, Library Supervisor

Dion Louthan, Parks, Recreation & Libraries Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-220

Bibliotheca Service Agreement

RESOLUTION NO. 16-220

APPROVING A SERVICE AGREEMENT RENEWAL
BETWEEN CITY OF ROSEVILLE AND BIBLIOTHECA LLC, AND AUTHORIZING THE
CITY MANAGER TO EXECUTE IT ON BEHALF OF
THE CITY OF ROSEVILLE

WHEREAS, a service agreement renewal (Service Agreement No. S1604084) for Radio Frequency Identification and Automated Material Handling equipment maintenance, between City of Roseville and Bibliotheca LLC, has been prepared and reviewed by the Council;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said service agreement renewal is approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



PURCHASING
CITY OF ROSEVILLE

2005 HILLTOP CIRCLE, ROSEVILLE, CA 95747
(916) 774-5720 • TDD (916) 774-5220 • FAX (916) 774-5736

SERVICE AGREEMENT

SERVICE AGREEMENT

No: **S1604084**

SERVICE LOCATION: CITY OF ROSEVILLE
AS DIRECTED

REQ. NO.: R1613787 DATE: 4/13/16

SUBMIT ALL INVOICES TO:
CITY OF ROSEVILLE
Finance Department
311 Vernon Street
Roseville, CA 95678

Contractor No.: V39852
Telephone No.: 678-336-7980x310 Fax:
Email address: M.Zilles@bibliotheca.com
Contractor: BIBLIOTHECA LLC
ATTN: MARY ZILLES
3169 HOLCOMB BRIDGE ROAD, SUITE 200
NORCROSS, GA 30071

Department: LIBRARY

Acct. Code: 06500-5330
06500-5200

Buyer: PETER BREVIK
Phone: 916-774-5724

Start Date	Terms	Completion Date	Insurance Limits	Contact
*ASAP	NET 30	8/31/16	Approved by Risk Mgt.	RENDI HODGE

The contractor shall furnish all labor, equipment and materials necessary to accomplish the following:
PROVIDE SUPPORT AND MAINTENANCE TO RFID/AMH EQUIPMENT INSTALLED IN ALL THREE LIBRARIES PER THE ATTACHED RENEWAL US53460 (\$23,468.35). PROVIDE SUPPORT AND MAINTENANCE TO THE COMMAND CENTER AS DESCRIBED IN THE ATTACHED RENEWAL US53494 (\$919.97). PROVIDE SUPPORT AND MAINTENANCE TO THE RFID DIGITAL LIBRARY ASSISTANT (DLA) PER THE ATTACHED RENEWAL US53313 (\$400.77).

PURCHASE OF SUPPLIES AND GOODS ON AN AS NEEDED BASIS, NOT TO EXCEED \$6,000.00 FOR USE AND SUPPORT OF RFID/AMH EQUIPMENT PURCHASED THROUGH RFP IN SEPTEMBER 2014, PRICING FOR GOODS IS REFLECTED IN RFP.

PLEASE CONTACT RENDI HODGE AT (916) 774-5397 FOR QUESTIONS REGARDING THIS AGREEMENT.

*PROPER INSURANCE MUST BE IN PLACE BEFORE SERVICE CAN BEGIN

Total Cost of Service: \$ **31,239.09**

ATTENTION: Total cost of service not to exceed the agreement amount without prior approval of the Purchasing Office.

The Contractor named hereon by the acceptance of this order agrees to the provisions of this document titled "Service Agreement" and Attachment "A".

Business License No.: 201604710239 Contractor License No.: IVA DIR Registration No.: IVA

☐ SOLE PROPRIETOR

☐ PARTNERSHIP

☒ CORPORATION

CONTRACTOR:

[Signature]
SIGNATURE

Math Tempelis
PRINT NAME

President
TITLE

CONTRACTOR:

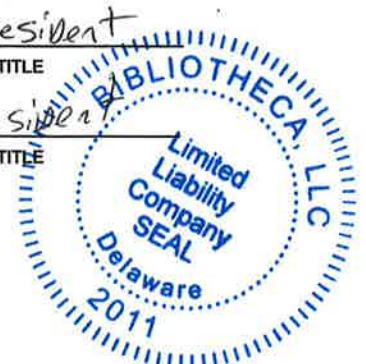
[Signature]
SIGNATURE

Math Tempelis
PRINT NAME

President
TITLE

By: _____

Rob Jensen, City Manager
CITY OF ROSEVILLE, A MUNICIPAL CORPORATION



1. To the fullest extent allowed by law, Contractor shall defend, indemnify, and save and hold harmless City, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of Contractor's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from City's sole negligence or willful misconduct. The parties intend that this provision shall be broadly construed. Contractor's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.
2. Contractor is an independent contractor, and shall not be considered an officer, agent or employee of the City.
3. Without the written consent of the City, this Agreement is not assignable by Contractor either in whole or in part.
4. Time is of the essence of this Agreement.
5. At any time during the term of this Agreement, the City has the right to terminate this Agreement provided Contractor is given a thirty (30) day notice.
6. This Agreement may only be amended or modified in writing. It is integrated and contains the complete understanding of the parties.
7. All equipment, supplies and services sold to the City of Roseville shall conform to the general safety orders of the State of California.
8. Unless notified to the contrary, in writing, the City assumes that the Contractor has accepted the work in accordance with the plans and specifications (if any) and agrees to do the work in compliance with this Agreement.
9. All fair employment practices must be adhered to. In addition, if the project referenced on this service agreement is a Public Works project, all prevailing wage laws must be complied with. For prevailing wage contracts over \$25,000, copies of certified payroll must be submitted with invoices. Prevailing wage rates may be obtained from the State Department of Industrial Relations and/or the following website address: <http://www.dir.ca.gov/dlsr/DPreWageDetermination.htm>.
- 10a. Unless otherwise specified, the Contractor shall maintain the policies of insurance outlined in Attachment A, incorporated herein by this reference, in full force and effect during the term of this Agreement. The City of Roseville retains sole discretion in determining the types and proper levels of insurance coverage.
- 10b. Form. Contractor shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.
- 10c. Additional Insureds. Contractor shall also provide a separate endorsement or section of the policy showing City, its officers, agents, employees, and volunteers as additional insureds for each type of coverage, except for Workers' Compensation. Such insurance shall specifically cover the contractual liability of Contractor. The additional insured coverage under the Contractor's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from City's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.
- 10d. Cancellation/Modification. Contractor shall provide ten (10) days written notice to City prior to cancellation or modification of any insurance required by this Agreement.
- 10e. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of City (if agreed to in a written contract) before City's own insurance shall be called upon to protect it as a named insured.
- 10f. Subcontractors. Contractor agrees to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, Contractor shall require its subcontractors to agree to be bound to Contractor and City in the same manner and to the same extent as Contractor is bound to City under this Agreement. Additionally, Contractor shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of City's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.
- 10g. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or City. City reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.
- 10h. Waiver of Subrogation. Contractor hereby agrees to waive subrogation which any insurer of Contractor may acquire from Contractor by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of City, its officers, agents, employees and volunteers for all work performed by Contractor, its employees, agents and subcontractors.
- 10i. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve Contractor of liability in excess of such coverage, nor shall it preclude City from taking such other actions as are available to it under any other provisions of this Agreement or law.
11. Contractor shall comply with all federal, state and local laws and ordinances, including but not limited to the City's storm water regulations, as may be applicable to the performance of services under this Agreement. Failure to comply with local ordinances

may result in monetary fines and cancellation of this Agreement. Refer to www.roseville.ca.us/stormwater for links to more information on the City's storm water regulations.

12. In the event that the terms of any attachment or exhibit conflict with any terms of this Service Agreement, the terms of this Service Agreement shall control.
13. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. In the event that any signature is delivered by facsimile transmission or by e-mail delivery of a ".pdf" format data file, such signature shall create a valid and binding obligation of the party executing (or on whose behalf such signature is executed) with the same force and effect as if such facsimile or ".pdf" signature page were an original thereof.
14. If either party commences any legal action against the other party arising out of this Agreement or the performance thereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorneys' fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.
15. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.
16. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.
17. If the project referenced on this service agreement is a Public Works project, then the following shall apply. No contractor or subcontractor may work on a public works project unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5. During the performance of this agreement, Contractor and its subcontractors shall have a continuing legal obligation to maintain current registration with the Department of Industrial Relations. Contractor is hereby notified that this project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

<i>City reserves the right to withhold any payments to Contractor in the event of noncompliance with insurance requirements or if required by law.</i>
--

ATTACHMENT A

HUMAN RESOURCES/RISK MANAGEMENT DIVISION INSURANCE REQUIREMENTS – SERVICE AGREEMENT GENERAL

Required Coverage:

- General Liability: \$1,000,000 per occurrence
\$2,000,000 aggregate
Personal Injury:
\$1,000,000 per occurrence
\$2,000,000 aggregate
- Automobile Liability: \$1,000,000 combined single limit
- Workers' Compensation: Statutory

- *Policies must be primary and non-contributory
- *Policies must contain a waiver of subrogation
- *A 10 day notice of cancellation must be provided
- *The policies may not contain language which prohibits additional insured or other insurers from satisfying the self-insured retention or deductible
- *All Self Insured Retentions must be listed on the certificate

Required Documentation:

- A certificate(s) of insurance listing the required coverage and naming the City of Roseville as Certificate Holder. The Certificate should be addressed to:

The City of Roseville
Insurance Compliance
P.O. Box 12010-R1, Hemet, CA 92546-8010

- Additional Insured Endorsement- General Liability policy: CG 20 10 04 13 or an equivalent, blanket endorsement or section of the policy. Endorsement shall cover the City of Roseville, its officers, agents, employees and volunteers as additional insured
- Waiver of Subrogation Endorsements
- Primary and Non-Contributory Coverage Endorsement -- CG 20 01 04 13 or an equivalent

***NOTE: statements on the certificate of insurance in lieu of the documentation required above will not be accepted.**

Questions concerning any of the above should be directed to Ebix Customer Service
Phone: 951-652-4239 /fax 770-325-5727 or email: Roseville@ebix.com

3M Library Systems
3M Center
PO Box 33900
St. Paul, MN 55133-3900
800-328-0067
www.3M.com/library



SERVICE AGREEMENT EXPIRATION NOTICE

March 28, 2016

Service Agreement: US53460

Service Agreement Expiration Date: March 18, 2016

3M Account # : SVN6181

ROSEVILLE PUBLIC LIBRARY

Attn: **Rendi Hodge**

225 TAYLOR ST

ROSEVILLE, CA 95678

Dear Rendi,

I'm writing to you today to remind you that in 90 days your 3M Service Agreement will expire. You will need to renew your Service Agreement to continue coverage on your 3M™ Library Systems equipment.

In today's world there is no smarter investment than a 3M Service Agreement. It provides peace of mind knowing that your 3M Library Systems equipment will be functioning when you need it most to provide the services your customers have come to rely upon.

Complete equipment coverage

3M Library Systems advanced solutions help enhance the productivity of your library staff through industry leading technology. Even though great care goes into every product we build, it's impossible to manufacture a system that is 100% reliable for as long as you own it. That's why there is no smarter investment than a 3M Service Agreement.

Our Service Agreement covers labor, parts and equipment modifications necessary to keep your equipment operating at peak performance.

In short, we take care of practically everything.

Rapid response to your service needs

You can request service via our 800 number 24 hours a day, 7 days a week. While many issues can be quickly resolved over-the-phone, should you require on-site service, we offer a nationwide network of trained professionals ready to return your 3M system to full operation.

3M Library Systems
3M Center
PO Box 33900
St. Paul, MN 55133-3900
800-328-0067
www.3M.com/library

Help eliminate expensive surprises

Your 3M Service Agreement helps you continue to receive the value provided by your 3M Library Systems purchase, and no one knows how to maintain your 3M equipment better than 3M service professionals. Should you choose not to renew your 3M Service Agreement, will you be ready for the unexpected?

Time and materials charge labor rates are \$250 per hour plus a callout charge of \$325 to \$425 depending on your service zone. You will also be responsible for the cost of any necessary replacement parts. You can see that just a single call could more than cover the cost of a whole year's Service Agreement coverage. Is it really worth it to take a chance?

Renewing is easy

Give yourself the peace of mind that renewing your 3M Service Agreement provides today. Just fax or mail a renewal purchase order to the number or address indicated below. You can also use your Visa or MasterCard (just call the number below for information on doing this). Your renewal price is guaranteed for a limited time, so please take a moment and renew today!

**Did you know you can now place a service call or renew your service contract on line?
Visit us at www.3m.com/uslibraryservice for details.**

Sincerely,

Service Sales Representative
Telephone: 800-328-0067, Opt 1, Opt 2
Fax: 888-263-1916

Return to: 3M Library Systems Contracts
Attn: Contract Administrator
PO Box 33900
St. Paul, MN 55133-3900

P.S. If someone else is responsible for responding to this notice, please forward this letter to them! Thank You!

3M Library Systems
3M Center
PO Box 33900
St. Paul, MN 55133-3900
800-328-0067
www.3M.com/library

Date: 3/28/2016

Service Agreement: US53460 Renewal Period: 03/19/16 to 08/31/16
Charge To Account #: SVN6181
Contact: Rendi Hodge
Telephone: 916-774-5240

3M Products(s) Located at: ROSEVILLE PUBLIC LIBRARY
Maidu Branch Library
1530 Maidu Drive
ROSEVILLE CA 95661
3M Acct #: RAA6740

Model	Model Type	Serial ID	Start Date	End Date	Amount
AMH Induction	2820	28200270	4/8/16	8/31/16	\$1,561.60
AMH Sortation	2840 SL	28510009	4/8/16	8/31/16	\$532.00
Selfcheck	8420	84200816	4/8/16	8/31/16	\$756.00
Software	3225	84200816	4/8/16	8/31/16	\$0.00
Software	3225	84221248	4/8/16	8/31/16	\$0.00
Selfcheck	8422	84221248	4/8/16	8/31/16	\$756.00
Selfcheck	8422	84221250	4/8/16	8/31/16	\$756.00
Software	3225	84221250	4/8/16	8/31/16	\$0.00
SmartChute	877	87700496	3/19/16	8/31/16	\$1,090.14
RFID Detection Sys	9102DM	91202337	4/8/16	8/31/16	\$669.20

3M Products(s) Located at: ROSEVILLE PUBLIC LIBRARY
MARTHA RILEY BRANCH
1501 PLSNT GRV BLV
ROSEVILLE CA 95747
3M Acct #: RDB4664

Model	Model Type	Serial ID	Start Date	End Date	Amount
AMH Induction	2820	28200269	7/3/16	8/31/16	\$641.75
AMH Induction	2822	28220221	7/17/16	8/31/16	\$525.79
AMH Induction	2822	28220222	7/17/16	8/31/16	\$525.79
AMH Induction	2830	28300233	7/3/16	8/31/16	\$641.75
AMH Sortation	2850 FX	28500512	7/20/16	8/31/16	\$121.34
AMH Sortation	2850 FX	28500513	7/20/16	8/31/16	\$121.34
AMH Sortation	2850 FX	28500516	7/3/16	8/31/16	\$169.32
AMH Sortation	2850 FX	28500517	7/20/16	8/31/16	\$121.34
AMH Sortation	2850 FX	28500523	7/3/16	8/31/16	\$169.32
AMH Sortation	2850 FX	28500525	7/3/16	8/31/16	\$169.32
AMH Sortation	2850 FX	28550179	4/8/16	8/31/16	\$412.00
AMH Controller	2855 FX	28550182	7/3/16	8/31/16	\$387.95

3M Library Systems
3M Center
PO Box 33900
St. Paul, MN 55133-3900
800-328-0067
www.3M.com/library

3M Products(s) Located at: ROSEVILLE PUBLIC LIBRARY
MARTHA RILEY BRANCH
1501 PLSNT GRV BLV
ROSEVILLE CA 95747
3M Acct #: RDB4664

Model	Model Type	Serial ID	Start Date	End Date	Amount
AMH Controller	2855 FX	28550183	7/20/16	8/31/16	\$278.03
AMH Conveyance	2860 FX	28600299L	7/20/16	8/31/16	\$91.07
AMH Conveyance	2860 FX	28600302L	7/3/16	8/31/16	\$127.07
AMH Conveyance	2860 FX	28600303L	7/20/16	8/31/16	\$91.07
AMH Conveyance	2860 FX	28600306R	7/20/16	8/31/16	\$91.07
AMH Conveyance	2860 FX	28600308R	7/3/16	8/31/16	\$127.07
AMH Conveyance	2860 FX	28600319R	7/20/16	8/31/16	\$91.07
AMH Conveyance	2863 FX	28630122	7/20/16	8/31/16	\$91.07
AMH Conveyance	2863 FX	28630123	7/3/16	8/31/16	\$127.07
Selfcheck	8420	84200814	4/15/16	8/31/16	\$719.75
Software	3225	84200814	4/15/16	8/31/16	\$0.00
Software	3225	84221242	4/15/16	8/31/16	\$0.00
Selfcheck	8422	84221242	4/15/16	8/31/16	\$719.75
Selfcheck	8422	84221243	4/15/16	8/31/16	\$719.75
Software	3225	84221243	4/15/16	8/31/16	\$0.00
Software	3225	84221244	4/15/16	8/31/16	\$0.00
Selfcheck	8422	84221244	4/15/16	8/31/16	\$719.75
Selfcheck	8422	84221245	4/15/16	8/31/16	\$719.75
Software	3225	84221245	4/15/16	8/31/16	\$0.00
RFID Detection Sys	9102DM	91202336	4/15/16	8/31/16	\$637.12

3M Products(s) Located at: ROSEVILLE PUBLIC LIBRARY
225 TAYLOR ST
ROSEVILLE CA 95678
3M Acct #: SVN6181

Model	Model Type	Serial ID	Start Date	End Date	Amount
AMH Induction	2820	28200267	3/19/16	8/31/16	\$1,775.52
AMH Induction	2822	28220219	3/19/16	8/31/16	\$1,897.40
AMH Sortation	2850 FX	28500503	4/8/16	8/31/16	\$412.00
AMH Sortation	2850 FX	28500508	4/8/16	8/31/16	\$412.00
AMH Conveyance	2860 FX	28600295L	4/8/16	8/31/16	\$309.20
AMH Conveyance	2863 FX	28630120	4/8/16	8/31/16	\$309.20
Selfcheck	8420	84200815	3/30/16	8/31/16	\$802.60
Software	3225	84200815	3/30/16	8/31/16	\$0.00

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PO Box 33900
St. Paul, MN 55133-3900
800-328-0067
www.3M.com/library

3M Products(s) Located at:

ROSEVILLE PUBLIC LIBRARY

225 TAYLOR ST

ROSEVILLE CA 95678

3M Acct #:

SVN6181

Model	Model Type	Serial ID	Start Date	End Date	Amount
Software	3225	84221239	4/15/16	8/31/16	\$0.00
Selfcheck	8422	84221239	4/15/16	8/31/16	\$719.75
Selfcheck	8422	84221240	4/15/16	8/31/16	\$719.75
Software	3225	84221240	4/15/16	8/31/16	\$0.00
RFID Detection Sys	9102DM	91202338	4/16/16	8/31/16	\$632.53

TOTAL \$23,468.35

Please Add Applicable Tax: \$

Payment Terms are "Net 30"

Notes:

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PO Box 33900
St. Paul, MN 55133-3900
800-328-0067
www.3M.com/library

CONSOLIDATED US53496 AS OF 3/19/2016

SERIAL
87700496

CONSOLIDATED US53562 AS OF 3/30/2016

SERIAL
84200815

CONSOLIDATED US53563 AS OF 4/8/2016

SERIAL
28600295L
28630120
28500503
28500508
28550179

CONSOLIDATED US53574 AS OF 4/8/2016

SERIAL
28200270
28510009
91202337
84200816
84221248
84221250

CONSOLIDATED US53564 AS OF 4/15/2016

SERIAL
84221239
84221240

CONSOLIDATED US53715 AS OF 4/16/2016

SERIAL
91202338

CONSOLIDATED US54255 AS OF 7/3/2016

SERIAL
28200269
28300233

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800-328-0067
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CONSOLIDATED US54304 AS OF 7/3/2016

SERIAL
28550182
28600302L
28600308R
28630123
28500516
28500523
28500525

CONSOLIDATED US54204 AS OF 7/17/2016

SERIAL
28220221
28220222

CONSOLIDATED US54305 AS OF 7/20/2016

SERIAL
28550183
28600299L
28600303L
28600306R
28600319R
28630122
28500512
28500513
28500517

CONSOLIDATED US48955 AS OF 10/26/2016

SERIAL
91100820
91200819

3M Library Systems
3M Center
PO Box 33900
St. Paul, MN 55133-3900
800-328-0067
www.3M.com/library

Please indicate your intentions below:

_____ **Yes, I wish to renew the Service Agreement: US\$3460** (Please attach your Purchase Order and return it to the address listed below.)

Please Indicate billing frequency preference:

_____ Annual _____ Semi-annual _____ Quarterly _____ Monthly
(\$100.00 Fee) (\$200.00 Fee) (\$600.00 Fee)

Purchase Order Number: _____ (Please provide if you require a purchase order on your invoice.)

_____ **Indicate here if you wish to pay by check.** (Please DO NOT enclose a check. You will be invoiced at a later date.)

_____ **No, I do not wish to renew the Service Agreement.**

Reason for Cancellation: _____

_____ **I am interested in purchasing additional library equipment. Please have my Sales Representative contact me.**

Please enter below the name of the person authorizing the renewal or cancellation of the Service Agreement.

Name (Please Print) **Telephone Number** **Fax Number** **Date**

Email Address

Service Sales Representative
Telephone: 800-328-0067, Opt 1, Opt 2
Fax: 888-263-1916

Return to: 3M Library Systems Contracts
Attn: Contract Administrator
PO Box 33900
St. Paul, MN 55133-3900

THIS IS NOT AN INVOICE

Terms and Conditions

WHAT WE WILL DO:

Hardware: In consideration of payment of the agreement price, 3M will furnish labor and replacement parts necessary to maintain the Equipment specified in this agreement in proper operating condition during the term of this agreement, provided that the Equipment is installed by an authorized 3M Service Provider and used as directed. This Service Agreement covers Equipment failure during normal usage. 3M agrees to provide:

- On-site remedial maintenance during On-Site Coverage Hours. When 3M is notified that the Equipment is not in good working order, 3M will provide a toll-free telephone number for Customer to place, and 3M will receive, Equipment maintenance service calls twenty-four (24) hours per day, seven (7) days per Week.
- All labor, service parts and Equipment modifications 3M deems necessary to maintain the Equipment in good working order. All service parts will be furnished on an exchange basis and will be new parts or parts of equal quality. For certain Equipment, 3M reserves the right to replace the entire unit with new equipment or equipment of equal quality when 3M determines that replacement is more economical than on-site repair. All Equipment and service parts removed for replacement become the property of 3M.

Software: In consideration of payment of the agreement price, 3M will furnish over-the-phone software support and remote troubleshooting of the 3M Software specified in this agreement as well as updates necessary to maintain the 3M Software specified in this agreement in proper operating condition during the term of this agreement, provided that the 3M Software is installed and used as directed. 3M agrees to provide:

- All software configuration modifications 3M deems necessary to maintain the 3M Software in good working order.
- 3M Software updates.
- Internet Filter list updates (as applicable).
- A toll-free telephone number for Customer to place and 3M to receive software support calls. Over-the-phone software support calls may be placed twenty-four (24) hours per day, seven (7) days per week. Calls will be addressed during 3M Software Support Coverage Hours in the order they were received.

WHAT IS NOT COVERED: The basic maintenance fee does not include and 3M is not obligated to provide or perform repair of damage or increase in service time caused by (i) failure of Customer to provide continually a proper operating environment and supply of power as prescribed by the Equipment manufacturer; (ii) accident; (iii) Acts of God, including but not limited to fire, flood, water, wind and lightning; (iv) neglect, abuse or misuse; (v) failure of Customer to follow 3M's published operating instructions; (vi) modification, service or repair of the Equipment by other than 3M authorized personnel; (vii) use of Equipment for purposes other than for which designed; (viii) painting or refinishing the equipment; (ix) relocation of the equipment; (x) replacement of broken or damaged cabinetry; to include items such as lattices, base covers, book check covers, etc.; (xi) electrical work external to the Equipment; (xii) cosmetic restoration (e.g., filling of holes in floor or walls, plugging or wire run openings, removal of tape residue, etc.) after removal or relocation of equipment for any reason; (xiii) restoration of Equipment performance when it has been degraded by placement of unauthorized interference sources within the affected range of said equipment; (xiv) service requests related to use of markers (strips) other than those manufactured by 3M or its authorized distributor(s); (xv) modification, or repair of the 3M Software by other than 3M authorized personnel; (xvi) use of the 3M Software for purposes other than for which designed; (xvii) virus / hacker activity; (xviii) Non-3M Software related updates and upgrades including, but not limited to, Operation System, Anti-Virus, Intrusion Detection; (xix) labor or materials associated with consumables such as receipt printer paper, separator jaws, patron counter batteries, and similar items.

RENEWAL: This agreement is NOT automatically renewable. If a renewal agreement is offered by 3M, the agreement price quoted will reflect the age of the product and the service costs at the time of renewal.

ENTIRE AGREEMENT: This instrument sets forth the entire agreement between the parties, and no representation, promise or condition not contained herein shall modify these terms whether made prior to or subsequent to the execution of this agreement.

Library Systems
3M Center, Building 225-4N-14
St. Paul, MN 55144-1000
1-800-328-0067
www.3M.com/library

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78-8123-7221-3 Rev B

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800-328-0067
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LICENSE AGREEMENT RENEWAL NOTICE

February 16, 2016

License Agreement: US53494

License Agreement Renewal Date: February 2, 2016

3M Account # : SVN6181

ROSEVILLE PUBLIC LIBRARY

Attn: Rendi Hodge

225 TAYLOR ST

ROSEVILLE, CA 95678

The 3M Software License option shown below is presently covered by your 3M License Agreement. This agreement will renew on the date listed above. To continue the use of the license(s) for an additional year, 3M will generate an invoice 30 days prior to the expiration of this agreement.

If you have any questions regarding this agreement, please feel free to contact our office.

Service Sales Representative

Telephone: 800-328-0067, option 1, option 2

Fax: 888-263-1916

License renewal period: 2/3/16 to 8/31/16

Charge To Account #: SVN6181

3M License(s) Located at: ROSEVILLE PUBLIC LIBRARY
225 TAYLOR ST
ROSEVILLE CA 95678

3M Acct #: SVN6181

Model	Model Type	Serial ID	Start Date	End Date	Amount
Command Center	Enterprise	90100540	2/3/16	8/31/16	\$919.97
TOTAL					\$919.97

Payment Terms are "Net 30"

3M Library Systems
3M Center
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800-328-0067
www.3M.com/library



SERVICE AGREEMENT EXPIRATION NOTICE

February 16, 2016

Service Agreement: US53313

Service Agreement Expiration Date: March 25, 2016

3M Account # : SVN6181

ROSEVILLE PUBLIC LIBRARY

Attn: **Rendi Hodge**

225 TAYLOR ST

ROSEVILLE, CA 95678

Dear Rendi,

Does your library have funds budgeted for emergencies? Most libraries don't.

That's why I'm writing you about your 3M Depot Service Agreement. Your coverage will expire on the date listed above and your 3M Library Systems equipment will no longer be covered. So if your systems ever need repair, your library will somehow have to find the funds to cover it.

Complete equipment coverage

3M's high technology equipment is extremely complex. And even though great care goes into every product we build, it's impossible to manufacture a system that is 100% reliable for as long as you own it. That's why there is not a smarter investment than a 3M Service Agreement.

Our Depot Repair Service Agreement covers virtually all labor, parts and any other equipment modifications necessary to get - and keep - your systems working.

In short, we take care of practically everything. No matter what the problem, or where you are in the United States.

Same day telephone response

We typically give a telephone response on the same day you call.

3M Library Systems
3M Center
PO Box 33900
St. Paul, MN 55133-3900
800-328-0067
www.3M.com/library

Renewing is easy

Give yourself some peace of mind and renew your 3M Depot Repair Service Agreement today. Just fax or mail a renewal purchase order to the number or address indicated below. Or use your Visa or MasterCard (just call the number below for information on doing this). We can guarantee your renewal price for only 30 days beyond the date on this notice, make sure you renew soon!

**Did you know you can now place a service call or renew your service contract on line?
Visit us at www.3m.com/uslibraryservice for details.**

Sincerely,

Service Sales Representative
Telephone: 800-328-0067, Opt 1, Opt 2
Fax: 888-263-1916

Return to: 3M Library Systems Contracts
Attn: Contract Administrator
PO Box 33900
St Paul, MN 55133-3900

P.S. If someone else is responsible for responding to this notice, please forward this letter to them! Thank You!

Terms and Conditions

WHAT WE WILL DO:

Hardware: In consideration of payment of the agreement price, 3M will furnish labor and replacement parts necessary to maintain the Equipment specified in this agreement in proper operating condition during the term of this agreement, provided that the Equipment is installed by an authorized 3M Service Provider and used as directed. This Service Agreement covers Equipment failure during normal usage. 3M agrees to provide:

- All labor, service parts and Equipment modifications 3M deems necessary to maintain the Equipment in good working order. All service parts will be furnished on an exchange basis and will be new parts or parts of equal quality. For certain Equipment, 3M reserves the right to replace the entire unit with new equipment or equipment of equal quality when 3M determines that replacement is more economical. All Equipment and service parts removed for replacement become the property of 3M.

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- All software configuration modifications 3M deems necessary to maintain the 3M Software in good working order
- 3M Software updates
- A toll-free telephone number for Customer to place, and 3M to receive, software support calls. Over-the-phone software support calls may be placed twenty-four (24) hours per day, seven (7) days per week. Calls will be addressed during 3M Software Support Coverage Hours in the order they were received.

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RENEWAL: This agreement is NOT automatically renewable. If a renewal agreement is offered by 3M, the agreement price quoted will reflect the age of the product and the service costs at the time of renewal.

ENTIRE AGREEMENT: This instrument sets forth the entire agreement between the parties, and no representation, promise or condition not contained herein shall modify these terms whether made prior to or subsequent to the execution of this agreement.

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St. Paul, MN 55144-1000
1-800-328-0067
www.3M.com/library



COUNCIL COMMUNICATION

CC #: 7733

File #: 0323

Title: 9-1-1 Telephone System Support - Equipment Purchase

Contact: Katie Braverman 916-774-5037 kbraverman@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.7.

RECOMMENDATION TO COUNCIL

Adopt a resolution approving the expenditure of the remaining balance of \$103,542.94 of State 9-1-1 State Emergency Telephone Number Account (SETNA) funds, and authorize the City Manager to sign the attached service agreements with Xybix and Capture Technologies, for the purchase of upgraded 9-1-1 console furniture and equipment, as well as server upgrades, as part of the State of California's SETNA funding. Acceptance and approval will allow the police department to make necessary upgrades to existing 9-1-1 positions in our Communications Center, which should carry us through to our next state allotment.

BACKGROUND

The State of California provides funding to equip 9-1-1 Public Safety Answering Points (PSAPs), like Roseville's police and fire communications center, as well as the communications centers operated by the Placer County Sheriff's Office and the police departments of Auburn, Lincoln and Rocklin.

Every five years, Public Safety Answering Points (PSAPs) in California are eligible to receive a fixed award for new or upgraded hardware, software, networking and ancillary equipment needed to support the 9-1-1 function. These Customer Premise Equipment (CPE) awards are based on each PSAP's 9-1-1 call volume and the resulting number of 9-1-1 dispatcher positions, as determined by a state formula; the funds come from surcharges on telephone bills. All the PSAPs in Placer County have received their respective CPE awards and are able to purchase an interoperable 9-1-1 system without impacting their individual agency budgets. Roseville's State allotment for this cycle is \$480,000.00, and it must be expended by June 30, 2016 or monies are forfeited.

On January 6, 2016, City Council approved an agreement with ATT in the amount of \$333,467.42; \$293,037.87 to be paid by State Emergency Telephone Number Account funds (SETNA), and \$40,429 (\$13,476.52 annually for three years) to be paid from the Police Department's budget starting in FY17.

As of May 4, 2016, the state-negotiated contracts, which benefit Roseville, for Power911 CPE

and network installs (AT&T, Consolidated Communications, etc.), will cost a total of \$376,457.06. In addition, the City is no longer required to pay the \$40,429 in maintenance costs, as previously approved by Council, which were to be paid during the second through fifth years of the contract. This now leaves a balance in Roseville's SETNA fund of \$103,542.94 to be spent on ancillary equipment as outlined in the State's 9-1-1 Operations Guideline Manual.

Both Xybix and Capture Technologies are vendors on the State CMAS contract; both allow the City of Roseville to purchase updates to current equipment and will either bill the State SETNA fund directly, or will request reimbursement by the SETNA fund. Upgrades to existing furniture will include replacing desk legs and controls which will allow the consoles to ergonomically adjust to every dispatcher who sits at each 9-1-1 position. The server and hardware upgrades will get us to Windows12.

The ancillary equipment, with Xybix, will cost \$89,586.25 for Roseville's nine-position 9-1-1 call handling stations. The State of California's 9-1-1 fund will pay \$85,011.32 to Xybix for all equipment and installation costs covered by the State reimbursement fund. The police department will pay the remaining \$4,574.93 of which \$2,907.34 will be reimbursed to the City by the State with SETNA funds.

Server upgrades to the 9-1-1 Audiolog recording system, by Capture Technologies, prepares us for next generation 9-1-1, and will cost \$19,462.18. The remaining PSAP CPE allotment of \$15,624.28 will be direct billed to the SETNA fund. The police department will pay the remaining balance of \$3,837.90.

FISCAL IMPACT

There is no fiscal impact on the current year's budget for the \$100,635.60 (\$85,011.32 + \$15,624.28) being direct billed to the State SETNA fund. The remaining \$8,412.83 from both the Xybix and Capture Technology Service Agreements are available in the Police department's FY16 approved budget. A reimbursement request will be sent to the State for the remaining PSAP CPE award balance of \$2,907.34, and will be deposited back into the general fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

This agreement for the purchase of 9-1-1 telephone equipment and software is not a "project" as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines §15378). Consequently no CEQA action is required.

Respectfully Submitted,

Shelly Bracco, Administrative Analyst

Daniel Hahn, Police Chief



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-222

capture technologies service agreement

Resolution No. 16-223

Xybix Service Agreement

RESOLUTION NO. 16-222

APPROVING A SERVICE AGREEMENT
BETWEEN CITY OF ROSEVILLE AND CAPTURE TECHNOLOGIES INC., AND
AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF
THE CITY OF ROSEVILLE

WHEREAS, a service agreement (Service Agreement No. S1604381) for 9-1-1 ancillary equipment, between City of Roseville and Capture Technologies Inc., has been prepared and reviewed by the Council;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said service agreement is approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

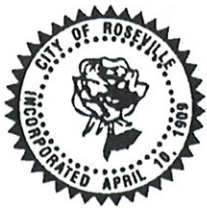
NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



PURCHASING
CITY OF ROSEVILLE

2005 HILLTOP CIRCLE, ROSEVILLE, CA 95747
(916) 774-5720 • TDD (916) 774-5220 • FAX (916) 774-5736

SERVICE AGREEMENT

SERVICE AGREEMENT

No: **S1604381**

SERVICE LOCATION: ROSEVILLE POLICE DEPT
1051 JUNCTION BLVD
ROSEVILLE, CA 95678

REQ. NO.: **R1613985** DATE: **5/12/16**

SUBMIT ALL INVOICES TO:
CITY OF ROSEVILLE
Finance Department
311 Vernon Street
Roseville, CA 95678

Contractor No.: V30908
Telephone No.: 510-500-1469 Fax: 510-485-5169
Email address: cmccay@capturet.com
Contractor: **CAPTURE TECHNOLOGIES INC.**
ATTN: CHRIS MCCAY
8207 SOUTHPARK CIRCLE
LITTLETON, CA 80120

Department: POLICE

Acct. Code: **05513-5216**

Buyer: PETER BREVIK
Phone: (916) 774-5724

Start Date	Terms	Completion Date	Insurance Limits	Contact
*ASAP	NET 30	UPON FINAL INVOICE	Approved by Risk Mgt.	SHELLY BRACCO

The contractor shall furnish all labor, equipment and materials necessary to accomplish the following:

PROVIDE THE PURCHASE AND INSTALLATION OF A NEW HIGH END SERVER WITH 48 CHANNELS. ITEMS IDENTIFIED IN THIS SERVICE AGREEMENT SHALL COMPLY EXCLUSIVELY WITH THE TERMS AND CONDITIONS OF MASTER PURCHASE AGREEMENT (MPA)# 5-12-58-01 AND PRICING IS BASED ON CALIFORNIA MULTIPLE AWARD SCHEDULES (CMAS) IN ACCORDANCE WITH ATTACHED QUOTES CAPQ255-1 DATED 3/23/16.

PLEASE CONTACT SHELLY BRACCO AT (916) 774-5018 FOR QUESTIONS REGARDING THIS CONTRACT

THE STATE OF CALIFORNIA WILL REIMBURSE CAPTURE TECHNOLOGIES INC FOR THE PURCHASE OF NEW SERVER EQUIPMENT IN THE AMOUNT UP TO \$15,624.28. THE CITY OF ROSEVILLE TO PAY UP TO \$3,837.90 IF PURCHASE EXCEEDS \$15,624.28.

TOTAL AMOUNT NOT TO EXCEED \$19,462.18

Total Cost of Service: \$ **3,837.90**

ATTENTION: Total cost of service not to exceed the agreement amount without prior approval of the Purchasing Office.

Business License No.: _____ Contractor License No.: **876993** DIR Registration No.: _____

☐ SOLE PROPRIETOR

☐ PARTNERSHIP

☒ CORPORATION

CONTRACTOR: John H. Babin John H Babin President
SIGNATURE PRINT NAME TITLE

CONTRACTOR: Emily Sitchon EMILY SITCHON TREASURER
SIGNATURE PRINT NAME TITLE

By: _____
Rob Jensen, Acting City Manager
CITY OF ROSEVILLE, A MUNICIPAL CORPORATION



Attached is a copy of a service agreement for your review and acceptance. Please review the company name, address, and contact information and notify me via email if any corrections need to be made. I will update the agreement prior to you signing the document.

Sign the agreement using the below signature guidelines. Alterations or modifications to the agreement are not allowed. Mail **two signed original** copies of the agreement to the address noted below. Faxes, photocopies, and electronic transmittals will not be accepted. Upon receipt of all necessary documents and City Council approval, the City will sign the agreements and return a fully executed original copy to you. Receipt of the signed copy will be your notice to proceed with the work in accordance with the terms and conditions of the service agreement. Work must not begin until the contract has been fully executed.

The following guidelines must be followed for the signature block on the Service Agreement:

Sole proprietorship - By owner

Partnership - Any general partner

Corporation - Two options:

(1) A signature from the President and the corporate seal; or

(2) One signature from the Chairman of the Board, President, or any Vice President AND one signature from the Secretary, any Assistant Secretary, Chief Financial Officer, Treasurer, or any Assistant Treasurer of the corporation

*General Manager, Office Manager and/or Sales Manager are **not** corporate officer titles. The service agreement will be rejected if not signed in accordance with these guidelines.

Insurance requirements:

The City's insurance requirements are referenced on Attachment A in this service agreement packet. The service agreement will not be considered active or valid until the necessary insurance documents have been received by Roseville's insurance compliance contractor, EBIX. Please contact EBIX customer service at (951) 652-4239 or roseville@ebix.com for any insurance related questions.

**Service Agreement Return Address:
Purchasing, Peter Brevik
2005 Hilltop Circle
Roseville, CA 95747-9704**



An Employee-Owned Company

Capture Technologies, Inc.

3575 Alameda Ave, Oakland, CA 94601

t. 800.544.5050 f. 510.534.0202

QUOTE

Number CAPQ2555-1

Date Mar 23, 2016

Sold To	Ship To	Account Executive
Roseville Police Department Katie Braverman ENP 1051 Junction Blvd Roseville, Ca 95678	Roseville Police Department Katie Braverman ENP 1051 Junction Blvd Roseville, Ca 95678	Chris McCay 2617 "K" St, Suite #125 Sacramento, CA 95816 cmccay@capturet.com

Phone (916) 774-5037

Fax

Phone (916) 774-5037

Fax

Phone 510.500.1469

Fax 510.485.5169

CMAS CONTRACT #: 3-08-70-1799C

Included in this proposal:

1 New High End Server with 48 CHANNELS

Installation of all new new equipment

Terms	Down Payment	Valid Till
Net 10	\$0.00	

Part #	Description	Qty	Unit Price	Ext. Price
	NEW MAIN SERVER: to replace server Dongle # 15418 and transfer current licences			
300100xxx	6000 SERIES platform with dual CPU - P25 ready High End (SVR6k-H2) Turnkey Recording Server -(2) 1GB Ethernet ports. CPU Intel Xeon Processor E5-2603 v3 (15M Cache, 1.6 GHz) Memory 8GB--Hard Drive(s) 5x300GB 15K RPM SAS HDDs (RAID5,HDD6K-SS3R5)- 600GB total storage- -Redundant Hot-Swap PS 750W (1+1)- -NIC-2 x Intel Ethernet Server Adapter- - Microsoft® Windows® Server 2012 R2 (64Bit EMB ESD OEI DVD 1-4CPU Telecom Sys)	1	\$8,000.00	\$8,000.00
300200xxx	SQL server 2012 R2 with 5 clients	1	\$1,050.00	\$1,050.00
300200xxx	48 Channels License transfer	1	\$450.00	\$450.00
300100xxx	3x1TB 7200 RPM SATA HDDs (RAID5, HDD6K-ST3R)	1	\$562.50	\$562.50
300100xxx	AudioCodes LD Card (PCI-e) 24 port Analog	2	\$2,925.00	\$5,850.00
SubTotal				\$15,912.50

**CITY OF ROSEVILLE**

PURCHASING DEPARTMENT
2005 HILLTOP CIRCLE
ROSEVILLE, CALIFORNIA 95747
PHONE# (916) 774-5720
FAX# (916) 774-5736

PURCHASE ORDER NO.**S1604381**

TO RECEIVE PROPER PAYMENT THE ABOVE PO NUMBER MUST APPEAR ON ALL
INVOICES, BILLS OF LADING, PACKAGES, CORRESPONDENCE, ETC.

DATE **05/12/16**

VENDOR: V30908

CAPTURE TECHNOLOGIES

2617 K STREET

#100

SACRAMENTO, CA 95816

DELIVER TO: CITY OF ROSEVILLE
CENTRAL RECEIVING M-TH 7:00-2:30PM
2075 HILLTOP CIRCLE
ROSEVILLE, CA 95747

SEND INVOICE TO: CITY OF ROSEVILLE

PHONE: (916) 443-5070

FAX#: (916) 443-5070

EMAIL: ganderson@capturet.com

ACCOUNTS PAYABLE
311 VERNON STREET
ROSEVILLE, CA 95678
(916) 774-5376

Req. #

R1613985

FOB

DESTINATION, PPA

Ship Via

BEST WAY

Department

POLICE

Requestor

Katie Braverman

Confirmed to

CHRIS MCCAY

Terms

NET 30

Date Required

06/30/16

ITEM #	QUANTITY	UNIT	DESCRIPTION	UNIT PRICE	EXTENDED PRICE
0001	1	EACH	CAPTURE TECHNOLOGIES TO PROVIDE LOGGING VOICE RECORDER COMPONENTS AND SOFTWARE PER CMAS CONTRACT: 3-08-70-1799C; AND QUOTE CAPQ2555-1. 6000 SERIES PLATFORM WITH DUAL CPU - P25 READY HIGH END SVR6K-H2 TURNKEY RECORDING SERVER 2 1GB ETHERNET PORTS. CPU INTEL XEON PROCESSOR E5-2603 V3 (15M CACHE 1.5GHZ) MEMORY 8GB HARD DRIVES 5X300GB 15K RPM SAS HDDS (RAID5,HDD6K-SS3R5) 600GB TOTAL STORAGE. REDUNDANT HOT SWAP PS 750W (1+1) NIC-2 X INTEL WETHERNET SERVER ADAPTER \$8,000. MICROSOFT WINDOWS SERVER 2012 R2 (64BIT EMB ESD OEI DVD 1-4CPU TELECOM SYS)	8,000.00	8,000.00
0002	1	EACH	SQL SERVER 2012 R2 WITH 5 CLIENTS \$1,050.	1,050.00	1,050.00
0003	1	EACH	48 CHANNELS LICENSE TRANSFER \$450.	450.00	450.00
CONTINUED				SUBTOTAL	
				TAX	
				TOTAL	

ACCOUNT NO.	AMOUNT

IF REQUIRED, M.S.D.S. MUST BE ENCLOSED WITH PACKING SLIP.

THE SELLER, NAMED HEREON BY THE ACCEPTANCE OF THIS ORDER AGREES
TO THE PROVISIONS @ http://www.roseville.ca.us/ed/doing_business.asp.

BY: 

BUYER

**CITY OF ROSEVILLE**

PURCHASING DEPARTMENT
2005 HILLTOP CIRCLE
ROSEVILLE, CALIFORNIA 95747
PHONE# (916) 774-5720
FAX# (916) 774-5736

PURCHASE ORDER NO.**S1604381**

TO RECEIVE PROPER PAYMENT THE ABOVE PO NUMBER MUST APPEAR ON ALL
INVOICES, BILLS OF LADING, PACKAGES, CORRESPONDENCE, ETC.

DATE 05/12/16

VENDOR: V30908

CAPTURE TECHNOLOGIES
2617 K STREET
#100
SACRAMENTO, CA 95816

DELIVER TO: CITY OF ROSEVILLE
CENTRAL RECEIVING M-TH 7:00-2:30PM
2075 HILLTOP CIRCLE
ROSEVILLE, CA 95747

SEND INVOICE TO: CITY OF ROSEVILLE

PHONE: (916) 443-5070

FAX#: (916) 443-5070

EMAIL: ganderson@capturet.com

ACCOUNTS PAYABLE
311 VERNON STREET
ROSEVILLE, CA 95678
(916) 774-5376

Req. # R1613985	FOB DESTINATION, PPA	Ship Via BEST WAY	Department POLICE	Requestor Katie Braverman	
	Confirmed to CHRIS MCCAY		Terms NET 30	Date Required 06/30/16	
ITEM #	QUANTITY	UNIT	DESCRIPTION	UNIT PRICE	EXTENDED PRICE
0004	1	EACH	3X1TB 7200 RPM SATA HDDS (RAID5, HDD6K-ST34) \$562.50.	562.50	562.50
0005	2	EACH	AUDIO CODES LD CARD (PCI-E) 24 PORT ANALOG \$2,925 x 2 = \$5,850.	2,925.00	5,850.00
0006	1	EACH	CAPTURE TECH CERTIFIED LOCAL INSTALLATION (ONE LOCATION INSTALLED) INCLUDES: PEOJECT MANAGEMENT, SERVER BURN IN, SERVER INSTALL, WORKSTATION INSTALL, TRAINING \$2,036.25	2,036.25	2,036.25
0007	0	DOLL	DELETE LINE	0.00	Unknown
0008	1	EACH	SHIPPING & HANDLING	319.99	319.99
0009	1	EACH	BILL TO:CA9-1-1 BRANCH (PAYMENT TERMS:CG927; INVOICES MUST REFERENCE TRACKING NUMBER :19641): CA 9-1-1 BRANCH, #3105 601 SEQUOIA PACIFIC BLVD, MS911 SACRAMENTO, CA 95811-0231	-15,624.28	-15,624.28
				SUBTOTAL	2,644.46
				TAX	1,193.44
				TOTAL	3,837.90

ACCOUNT NO.	AMOUNT
05513-5216/ -	3,837.90

IF REQUIRED, M.S.D.S. MUST BE ENCLOSED WITH PACKING SLIP.

THE SELLER, NAMED HEREON BY THE ACCEPTANCE OF THIS ORDER AGREES
TO THE PROVISIONS @ http://www.roseville.ca.us/ed/doing_business.asp.

BY:

PETER BREVIK

BUYER

Bracco, Shelly

From: wfadmin@roseville.ca.us
Sent: Wednesday, May 11, 2016 5:28 PM
To: Bracco, Shelly
Subject: Purchase Request Approval Needed - R1613985

WORKFLOW MODEL INFORMATION:

 User=SBRACCO
 Model=WF_POENTRY
 Activity=A5_0090
 Key=F7E46D03-6D08-4B66-9D06-BABECFF98628
 Version=46
 UniqueKey=5E2B729B-236D-4963-A988-5D47D82735F0

WORKFLOW INSTANCE INFORMATION:

 Purchase Request# = R1613985
 PR Total\$ =
 Requested By = Katie Braverman
 Request Date = 5/11/2016
 Entry Date = 5/11/2016
 Vendor = V30908-

ACCOUNT INFORMATION

=====

Item#	Quantity	Price	Item Total	Lg	Key	Object	Amt/Pct
=====							

WORKFLOW HISTORY INFORMATION:

 User ID : KBRAVERMAN
 Status : Accepted
 Date/Time : 5/11/2016 5:03:45 PM
 Comments: THANKS FOR THE HELP
 User ID : KBRAVERMAN
 Status : Accepted
 Date/Time : 5/11/2016 5:27:49 PM
 Comments: ID KBRAVERMAN already approved transaction in activity A3

SCREEN LINK INFORMATION:

<https://ifas-app-prd-01.rosenet.loc/ifas7/Screens/Purchasing/POCSRV.asp>

R11613985

QUOTE



An Employee-Owned Company

Capture Technologies, Inc.

3575 Alameda Ave, Oakland, CA 94601

t. 800.544.5050 f. 510.534.0202

Number CAPQ2555-1

Date Mar 23, 2016

Sold To	Ship To	Account Executive
Roseville Police Department Katie Braverman ENP 1051 Junction Blvd Roseville, Ca 95678	Roseville Police Department Katie Braverman ENP 1051 Junction Blvd Roseville, Ca 95678	Chris McCay 2617 "K" St, Suite #125 Sacramento, CA 95816 cmccay@capturet.com

Phone (916) 774-5037
Fax
Phone (916) 774-5037
Fax
Phone 510.500.1469
Fax 510.485.5169

CMAS CONTRACT #: 3-08-70-1799C

Included in this proposal:

1 New High End Server with 48 CHANNELS

Installation of all new new equipment

Terms	Down Payment	Valid Till
Net 10	\$0.00	

Part #	Description	Qty	Unit Price	Ext. Price
	NEW MAIN SERVER: to replace server Dongle # 15418 and transfer current licences			
300100xxx	6000 SERIES platform with dual CPU - P25 ready High End (SVR6k-H2) Turnkey Recording Server -(2) 1GB Ethernet ports. CPU Intel Xeon Processor E5-2603 v3 (15M Cache, 1.6 GHz) Memory 8GB--Hard Drive(s) 5x300GB 15K RPM SAS HDDs (RAID5,HDD6K-SS3R5)- 600GB total storage- -Redundant Hot-Swap PS 750W (1+1)- -NIC-2 x Intel Ethernet Server Adapter- - Microsoft® Windows® Server 2012 R2 (64Bit EMB ESD OEI DVD 1-4CPU Telecom Sys)	1	\$8,000.00	\$8,000.00
300200xxx	SQL server 2012 R2 with 5 clients	1	\$1,050.00	\$1,050.00
300200xxx	48 Channels License transfer	1	\$450.00	\$450.00
300100xxx	3x1TB 7200 RPM SATA HDDs (RAID5, HDD6K-ST3R)	1	\$562.50	\$562.50
300100xxx	AudioCodes LD Card (PCI-e) 24 port Analog	2	\$2,925.00	\$5,850.00
SubTotal				\$15,912.50

Part #	Description	Qty	Unit Price	Ext. Price
INSTSB	Capture Technologies Certified Local Installation Onsite. (One location Installed) Includes: Project Management Server Burn In Server Install Workstation Install Training	1	\$2,036.25	\$2,036.25
SubTotal				\$2,036.25

SubTotal	\$17,948.75
Tax	\$1,193.44
S&H	\$319.99
Total	\$19,462.18

To accept this quotation and purchasing T&C's, sign here and return

Signature

Date

Thank You For Your Business!

Did you know that Secure deposits & payments can now be made online at
www.capturet.com



Purchasing Terms and Conditions

Capture Technologies, Inc. (CTI) shall use all reasonable efforts to perform professional services and deliver all materials associated with the attached quote. If the end user has made any Material modifications without CTI permission and prior written consent, CTI will be under no obligation to provide any service until such time as the issue is resolved within reasonable satisfaction of CTI. Any delay in the performance of any obligations created by the end user or the reseller shall not delay or extend any terms set forth by CTI. If it is determined that any changes to the Quoted hardware and/or software are required, the Customer shall submit a Change Request Form. The customer is still liable in full for any services/hardware/software originally ordered and the terms set forth below.

It is agreed that the seller will retain title to any equipment or material that may be furnished until final payment is made, and if settlement is not made as agreed, the seller shall have the right to remove same, and the seller will be held harmless for any damages resulting from the removal thereof. We promise to pay any attorney fees or court costs as may be judged reasonable in the event that any action is taken by an attorney to enforce collection of this account.

Customer promises to pay any attorney fees and court costs reasonably incurred by Capture Technologies in any action taken to enforce collection on this account. Customer further agrees to pay finance charges in an amount equal to 1.5% per month (18% per annum) on any unpaid balance of this invoice after 30 days. . . . Cancellations & or Returns may be subject to a Restocking Fee of up to 25% of Product Purchase Price, plus any labor, installation, engineering and consulting hours expended, charged at Capture Technologies' current rates. If the Equipment was ordered specifically for the Customer and cannot be returned to Capture Technologies' supplier, no cancellation or refund for such Equipment will be allowed.

Quotes Valid for 30 Days, Annual Service Agreements include a \$29.99 annual fuel surcharge

PAYMENT TERMS: Net 10

DEPOSIT: The customer will be invoiced for 50% of the overall quoted amount by CTI on the date the purchase order is generated.

HARDWARE: The Customer will be invoiced 25% of the overall quoted amount by CTI when the equipment is delivered to the work site. If the hardware is complete and the customer delays the delivery of the hardware, Capture reserves the right to bill the customer.

INSTALLATION AND TRAINING: The Customer will be invoiced by CTI for the balance of the quoted amount (25%) on completion of the project and signature of completion by the customer

CHANGE ORDERS: The Customer will be invoiced by CTI for any Change Order when the product / services are delivered.

PLEASE NOTE: All Capture Technologies invoices are due upon receipt.

SERVICE CONTRACT: CTI will provide services per the attached agreement...

R1613985

Sample Only

Sample Only

PURCHASE ORDER				
P.O. NUMBER:			DATE:	
PSAP SHIP TO ADDRESS:			VENDOR ADDRESS:	
QUANTITY	UNIT	DESCRIPTION	UNIT PRICE	EXTENDED TOTAL
1	EACH	Capture Technologies to provide Logging Voice Recorder components and software per CMAS Contract: 3-08-70-1799C; and Quote: CAPQ2555-1.	\$17,948.75	\$17,948.75
1	EACH	Shipping & Handling	\$319.99	\$319.99
1	EACH	Sales Tax	\$1,193.44	\$1,193.44
1	EACH	Bill TO: CA 9-1-1 BRANCH (Payment Terms: GC927; Invoice must reference Tracking Number: 19641): CA 9-1-1 BRANCH, #3105 601 SEQUOIA PACIFIC BLVD, MS-911 SACRAMENTO, CA 95811-0231	-\$15,624.28	-\$15,624.28
*** PURCHASE ORDER TOTAL ***				\$3,837.90
AUTHORIZING SIGNATURE:			PRINTED NAME & TITLE OF AUTHORIZING SIGNATURE:	

Sample Only

Sample Only

RESOLUTION NO. 16-223

APPROVING A SERVICE AGREEMENT
BETWEEN CITY OF ROSEVILLE AND XYBIX SYSTEMS INC., AND AUTHORIZING
THE CITY MANAGER TO EXECUTE IT ON BEHALF OF
THE CITY OF ROSEVILLE

WHEREAS, a service agreement (Service Agreement No. S1604379) for 9-1-1 ancillary equipment, between City of Roseville and XYBIX Systems Inc., has been prepared and reviewed by the Council;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said service agreement is approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



Attached is a copy of a service agreement for your review and acceptance. Please review the company name, address, and contact information and notify me via email if any corrections need to be made. I will update the agreement prior to you signing the document.

Sign the agreement using the below signature guidelines. Alterations or modifications to the agreement are not allowed. Mail **two signed original** copies of the agreement to the address noted below. Faxes, photocopies, and electronic transmittals will not be accepted. The City requires a *wet* signature on file. Upon receipt of all necessary documents, the City will sign the agreements and return a fully executed original copy to you. Receipt of the signed copy will be your notice to proceed with the work in accordance with the terms and conditions of the service agreement. Work must not begin until the contract has been fully executed.

The following guidelines must be followed for the signature block on the Service Agreement:

Sole proprietorship - By owner

Partnership - Any general partner

Corporation - Two options:

(1) A signature from the President and the corporate seal; or

(2) One signature from the Chairman of the Board, President, or any Vice President AND one signature from the Secretary, any Assistant Secretary, Chief Financial Officer, Treasurer, or any Assistant Treasurer of the corporation

The service agreement will be rejected if not signed in accordance with these guidelines.

Insurance requirements:

The City's insurance requirements are referenced on Attachment A in this service agreement packet. The service agreement will not be considered active or valid until the necessary insurance documents have been received by Roseville's insurance compliance contractor, EBIX. Please contact EBIX customer service at (951) 652-4239 or roseville@ebix.com for any insurance related questions.

Service Agreement Return Address:

**Purchasing, Peter Brevik
2005 Hilltop Circle
Roseville, CA 95747-9704**



PURCHASING
CITY OF ROSEVILLE

2005 HILLTOP CIRCLE, ROSEVILLE, CA 95747
(916) 774-5720 • TDD (916) 774-5220 • FAX (916) 774-5736

SERVICE AGREEMENT

SERVICE AGREEMENT

No: **S1604379**

SERVICE LOCATION: ROSEVILLE POLICE DEPT
1051 JUNCTION BLVD
ROSEVILLE, CA 95678

REQ. NO.: R1613934 DATE: 5/0216

SUBMIT ALL INVOICES TO:
CITY OF ROSEVILLE
Finance Department
311 Vernon Street
Roseville, CA 95678

Department: POLICE

Acct. Code: 05513-5215

Buyer: PETER BREVIK
Phone: (916) 774-5724

Contractor No.: V33003
Telephone No.: 303-683-5656 Fax: 303-683-5454
Email address: catherinem@xybix.com
Contractor: XYBIX SYSTEMS INC
ATTN: CATHERINE MILLER
8207 SOUTHPARK CIRCLE
LITTLETON, CA 80120

Start Date	Terms	Completion Date	Insurance Limits	Contact
*ASAP	NET 30	UPON FINAL INVOICE	Approved by Risk Mgt.	SHELLY BRACCO

The contractor shall furnish all labor, equipment and materials necessary to accomplish the following:

THE PURCHASE AND INSTALLATION OF NEW 911 DISPATCH WORKSTATIONS, REMOVAL OF OLD EQUIPMENT IDENTIFIED IN THIS SERVICE AGREEMENT SHALL COMPLY EXCLUSIVELY WITH THE TERMS AND CONDITIONS OF MASTER PURCHASE AGREEMENT (MPA)# 5-12-58-01 AND PRICING IS BASED ON CALIFORNIA MULTIPLE AWARD SCHEDULES (CMAS) IN ACCORDANCE WITH ATTACHED QUOTES 17905, 18724 AND 18821

PLEASE CONTACT SHELLY BRACCO AT (916) 774-5018 FOR QUESTIONS REGARDING THIS CONTRACT

THE STATE OF CALIFORNIA WILL REIMBURSE XYBIX SYSTEMS INC FOR THE PURCHASE OF 911 DISPATCH WORKSTATIONS IN THE AMOUNT UP TO \$85,011.32. THE CITY OF ROSEVILLE TO PAY UP TO \$4,574.93 IF PURCHASE EXCEEDS \$85,011.32.

TOTAL AMOUNT NOT TO EXCEED \$89,586.25

Total Cost of Service: \$ **4,574.93**

ATTENTION: Total cost of service not to exceed the agreement amount without prior approval of the Purchasing Office.

Business License No.: _____ Contractor License No.: _____ DIR Registration No.: _____

☐ SOLE PROPRIETOR

☐ PARTNERSHIP

☒ CORPORATION

CONTRACTOR: David R Carson DAVID R CARSON CEO

SIGNATURE

PRINT NAME

TITLE

CONTRACTOR: Kenneth R Carson Kenneth R Carson V.P.

SIGNATURE

PRINT NAME

TITLE

By: _____
Rob Jensen, Acting City Manager
CITY OF ROSEVILLE, A MUNICIPAL CORPORATION

1. To the fullest extent allowed by law, Contractor shall defend, indemnify, and save and hold harmless City, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of Contractor's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from City's sole negligence or willful misconduct. The parties intend that this provision shall be broadly construed. Contractor's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.
2. Contractor is an independent contractor, and shall not be considered an officer, agent or employee of the City.
3. Without the written consent of the City, this Agreement is not assignable by Contractor either in whole or in part.
4. Time is of the essence of this Agreement.
5. At any time during the term of this Agreement, the City has the right to terminate this Agreement provided Contractor is given a thirty (30) day notice.
6. This Agreement may only be amended or modified in writing. It is integrated and contains the complete understanding of the parties.
7. All equipment, supplies and services sold to the City of Roseville shall conform to the general safety orders of the State of California.
8. Unless notified to the contrary, in writing, the City assumes that the Contractor has accepted the work in accordance with the plans and specifications (if any) and agrees to do the work in compliance with this Agreement.
9. All fair employment practices must be adhered to. In addition, if the project referenced on this service agreement is a Public Works project, all prevailing wage laws must be complied with. For prevailing wage contracts over \$25,000, copies of certified payroll must be submitted with invoices. Prevailing wage rates may be obtained from the State Department of Industrial Relations and/or the following website address: <http://www.dir.ca.gov/dlsr/DPreWageDetermination.htm>.
- 10a. Unless otherwise specified, the Contractor shall maintain the policies of insurance outlined in Attachment A, incorporated herein by this reference, in full force and effect during the term of this Agreement. The City of Roseville retains sole discretion in determining the types and proper levels of insurance coverage.
- 10b. Form. Contractor shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.
- 10c. Additional Insureds. Contractor shall also provide a separate endorsement or section of the policy showing City, its officers, agents, employees, and volunteers as additional insureds for each type of coverage, except for Workers' Compensation. Such insurance shall specifically cover the contractual liability of Contractor. The additional insured coverage under the Contractor's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from City's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.
- 10d. Cancellation/Modification. Contractor shall provide ten (10) days written notice to City prior to cancellation or modification of any insurance required by this Agreement.
- 10e. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of City (if agreed to in a written contract) before City's own insurance shall be called upon to protect it as a named insured.
- 10f. Subcontractors. Contractor agrees to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, Contractor shall require its subcontractors to agree to be bound to Contractor and City in the same manner and to the same extent as Contractor is bound to City under this Agreement. Additionally, Contractor shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of City's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.
- 10g. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or City. City reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.
- 10h. Waiver of Subrogation. Contractor hereby agrees to waive subrogation which any insurer of Contractor may acquire from Contractor by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of City, its officers, agents, employees and volunteers for all work performed by Contractor, its employees, agents and subcontractors.
- 10i. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve Contractor of liability in excess of such coverage, nor shall it preclude City from taking such other actions as are available to it under any other provisions of this Agreement or law.
11. Contractor shall comply with all federal, state and local laws and ordinances, including but not limited to the City's storm water regulations, as may be applicable to the performance of services under this Agreement. Failure to comply with local ordinances

may result in monetary fines and cancellation of this Agreement. Refer to www.roseville.ca.us/stormwater for links to more information on the City's storm water regulations.

12. In the event that the terms of any attachment or exhibit conflict with any terms of this Service Agreement, the terms of this Service Agreement shall control.
13. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. In the event that any signature is delivered by facsimile transmission or by e-mail delivery of a ".pdf" format data file, such signature shall create a valid and binding obligation of the party executing (or on whose behalf such signature is executed) with the same force and effect as if such facsimile or ".pdf" signature page were an original thereof.
14. If either party commences any legal action against the other party arising out of this Agreement or the performance thereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorneys' fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.
15. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.
16. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.
17. If the project referenced on this service agreement is a Public Works project, then the following shall apply. No contractor or subcontractor may work on a public works project unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5. During the performance of this agreement, Contractor and its subcontractors shall have a continuing legal obligation to maintain current registration with the Department of Industrial Relations. Contractor is hereby notified that this project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

City reserves the right to withhold any payments to Contractor in the event of noncompliance with insurance requirements or if required by law.

ATTACHMENT A

HUMAN RESOURCES/RISK MANAGEMENT DIVISION INSURANCE REQUIREMENTS – SERVICE AGREEMENT GENERAL

Required Coverage:

- General Liability: \$1,000,000 per occurrence
\$2,000,000 aggregate
Personal Injury:
\$1,000,000 per occurrence
\$2,000,000 aggregate
- Automobile Liability: \$1,000,000 combined single limit
- Workers' Compensation: Statutory

- *Policies must be primary and non-contributory
- *Policies must contain a waiver of subrogation
- *A 10 day notice of cancellation must be provided
- *The policies may not contain language which prohibits additional insured or other insurers from satisfying the self-insured retention or deductible
- *All Self Insured Retentions must be listed on the certificate

Required Documentation:

- A certificate(s) of insurance listing the required coverage and naming the City of Roseville as Certificate Holder. The Certificate should be addressed to:

The City of Roseville
Insurance Compliance
P.O. Box 12010-R1, Hemet, CA 92546-8010

- Additional Insured Endorsement- General Liability policy: CG 20 10 04 13 or an equivalent, blanket endorsement or section of the policy. Endorsement shall cover the City of Roseville, its officers, agents, employees and volunteers as additional insured
- Waiver of Subrogation Endorsements
- Primary and Non-Contributory Coverage Endorsement – CG 20 01 04 13 or an equivalent

***NOTE: statements on the certificate of insurance in lieu of the documentation required above will not be accepted.**

Questions concerning any of the above should be directed to Ebix Customer Service
Phone: 951-652-4239 /fax 770-325-5727 or email: Roseville@ebix.com

Xybix Systems, Inc.
 8207 SouthPark Circle
 Littleton, CO 80120
 Phone:303-683-5656
 Fax:303-683-5454
 CatherineM



Quote

Quote Number: 17905

Quote Date: 4/25/2016

Revision: F

Orig Create Date: 11/19/2015

Expires: 7/24/2016

Opp #: 0011706

Page: 1 of 2

Terms: 1% 20 Days, NET 30 Days

Quote To:

Acct: ROSEVILLE
 Katie Braverman
 Roseville Police Department
 1051 Junction Blvd.
 Roseville CA 95678
 USA

Phone: (916) 774-5037

Ship To: Roseville Police Department
 1051 Junction Blvd.

Roseville
 CA 95678

Sales Person: HOUSE ACCOUNT

Rep Phone:

Email:

CMAS Contract 4-11-71-0121A

-04.25.16 - R4 Remove Status Indicator Lights. ccm
 -04.22.16 - R3 Only CMAS contract items. ccm
 -04.07.16 - R3 Remove treadmill, remove mobile peds, remove overhead bins, remove items for station 10.
 Update task lights. ccm
 -11.19.15 - R2 Updated to CMAS contract. Addition of Rollervision platform replacement. ccm
 -02.04.15 - R1 Update of replacement/new items. ccm
 -11.26.14 - R0 Created. ccm

Line	Part Number	Description	Qty	U/M	List Ea	Disc%	DiscPrice	Net Price
1.01	12343-44.	Panel System Priced by the Linear Foot: Grade 2Fabric 12343-1-SS - 42-48in - 0 LF @ 142 12343-1-DS - 42-48in - 177 LF @ 193 12344-1-SS - 65in - 14 LF @ 192 12344-1-DS - 65in - 5.5 LF @ 267 Upper Tiles Fabric Color: TBD Grade 2 G2 Lower Tiles Fabric Color: TBD Grade 2 G2 Panel Trim Color: Black	58	1.0 EA	\$32,227.50	50	\$16,113.75	\$16,113.75
3.00	12727	ErgoPower X5+ Table Base Corner *** (1) less as (2) were purchased in the last 18 months ***	6	8.0 EA	\$6,075.00	50	\$3,037.50	\$24,300.00
4.00	C-12757	Rollervision Platform Replacement 78X78 Table	31	9.0 EA	\$646.00	50	\$323.00	\$2,907.00
9.00	14462	MyClimate Personal Climate Control With Forced Air Heat 1000W	24	9.0 EA	\$2,730.00	50	\$1,365.00	\$12,285.00
11.00	13623.	CPU Cabinet - Under Work Surface 36Wx33D with Sliding Shelf	25	9.0 EA	\$1,263.00	50	\$631.50	\$5,683.50
19.00	10176	Cup Holder - Blk	27	9.0 EA	\$90.00	50	\$45.00	\$405.00
90.00	12356	Installers Kit	29	9.0 EA	\$-		\$0.00	\$0.00
99.00	OTHER	Other Charges & Services	30	1.0 EA	\$-		\$0.00	\$0.00

Xybix Systems, Inc.
8207 SouthPark Circle
Littleton, CO 80120
Phone:303-683-5656
Fax:303-683-5454
CatherineM



Quote

Quote Number: 17905

Quote Date: 4/25/2016

Revision: F

Orig Create Date: 11/19/2015

Expires: 7/24/2016

Opp #: 0011706

Page: 2 of 2

Terms: 1% 20 Days, NET 30 Days

Line(30) - Miscellaneous Charge -

Freight - FullTruck NonTaxable	\$2,200.00
Installation & Disposal	\$16,490.00
Estimated Sales Tax @7.5%	\$4,627.07

List Price Total \$123,388.50

Lines Total: \$61,694.25

Line Miscellaneous Charges Total: \$23,317.07

Quote Total: \$85,011.32

Note 1:

All quoted taxes are estimated. Any applicable taxes, fees, permits, etc. must be added to this quote.

Note 2:

Where installation is listed on quote it is based in non-union labor and on one trip for installation only. Client is responsible for coordination of Technicians and other Vendors/Contractors. Waiting time will be charged at the rate of \$75 per man hour straight time and \$115 per man hour for OT plus subsistence expenses. Additionally, this quote is based upon a remodel in an existing space and/or new building - completely finished with a Certificate of Occupancy. Any project where the General Contractor is still on the job is subject to additional charges.

We appreciate this opportunity to provide this quote. Our goal is to substantially improve working conditions for your valuable staff. We look forward to meeting with you to review this proposal in detail. In the meantime please don't hesitate to call us with any questions.

Xybix Systems, Inc.
8207 SouthPark Circle
Littleton, CO 80120
Phone:303-683-5656
Fax:303-683-5454
CatherineM



Quote

Quote Number: 18724

Quote Date: 4/7/2016

Revision: A

Orig Create Date: 4/7/2016

Expires: 7/6/2016

Opp #: 0011706

Page: 1 of 2

Terms: 1% 20 Days, NET 30 Days

Quote To:

Acct: ROSEVILLE
Katie Braverman
Roseville Police Department
1051 Junction Blvd.
Roseville CA 95678
USA

Phone: (916) 774-5037

Ship To: Roseville Police Department
1051 Junction Blvd.

Roseville
CA 95678

Sales Person: HOUSE ACCOUNT

Rep Phone:

Email:

CMAS Contract 4-11-71-0121A

Station 10 Panels ONLY

*** Priced to be purchased and shipped with Quote #17905 ***

-04.07.16 - R3 Created. ccm

Line	Part Number	Description	Qty	U/M	List Ea	Disc%	DiscPrice	Net Price
1.00	12343-44.	Panel System Priced by the Linear Foot: Grade 2Fabric 12343-1-SS - 42-48in - 16 LF @ 142 12343-1-DS - 42-48in - 1 LF @ 193 12344-1-SS - 65in - 7 LF @ 192 12344-1-DS - 65in - .5 LF @ 267 Upper Tiles Fabric Color: TBD Grade 2 G2 Lower Tiles Fabric Color: TBD Grade 2 G2 Panel Trim Color: Black	²⁶ 1.0	EA	\$3,102.50	50	\$1,551.25	\$1,551.25
99.00	OTHER	Other Charges & Services	²⁵ 1.0	EA	\$-		\$0.00	\$0.00

Line(25) - Miscellaneous Charge -

Estimated Sales Tax @ 7.5%

\$116.34

List Price Total \$3,102.50

Lines Total: \$1,551.25

Line Miscellaneous Charges Total: \$116.34

Quote Total: \$1,667.59

Xybix Systems, Inc.
8207 SouthPark Circle
Littleton, CO 80120
Phone:303-683-5656
Fax:303-683-5454
CatherineM



Quote Number: 18724

Quote Date: 4/7/2016
Revision: A
Orig Create Date: 4/7/2016
Expires: 7/6/2016
Opp #: 0011706
Page: 2 of 2

Terms: 1% 20 Days, NET 30 Days

Note 1:

All quoted taxes are estimated. Any applicable taxes, fees, permits, etc. must be added to this quote.

Note 2:

Where installation is listed on quote it is based in non-union labor and on one trip for installation only. Client is responsible for coordination of Technicians and other Vendors/Contractors. Waiting time will be charged at the rate of \$75 per man hour straight time and \$115 per man hour for OT plus subsistence expenses. Additionally, this quote is based upon a remodel in an existing space and/or new building - completely finished with a Certificate of Occupancy. Any project where the General Contractor is still on the job is subject to additional charges.

We appreciate this opportunity to provide this quote. Our goal is to substantially improve working conditions for your valuable staff. We look forward to meeting with you to review this proposal in detail. In the meantime please don't hesitate to call us with any questions.

Xybix Systems, Inc.
 8207 SouthPark Circle
 Littleton, CO 80120
 Phone:303-683-5656
 Fax:303-683-5454
 CatherineM



Quote

Quote Number: 18821

Quote Date: 4/22/2016

Revision: A

Orig Create Date: 4/22/2016

Expires: 7/21/2016

Opp #: 0011706

Page: 1 of 1

Terms: 1% 20 Days, NET 30 Days

Quote To:

Acct: ROSEVILLE
 Katie Braverman
 Roseville Police Department
 1051 Junction Blvd.
 Roseville CA 95678
 USA

Phone: (916) 774-5037

Ship To: Roseville Police Department
 1051 Junction Blvd.

Roseville
 CA 95678

Sales Person: HOUSE ACCOUNT

Rep Phone:

Email:

Standard Quote

*** Priced to be purchased and shipped with Quote #17905 ***

-04.22.16 - R0 Created. ccm

Line	Part Number	Description	Qty	U/M	List Ea	Disc%	DiscPrice	Net Price
6.00	13612	Power Bar - 6 Outlet Black RV Add on Kit	¹	9.0 EA	\$99.00	50	\$49.50	\$445.50
7.00	15618MCC	Task Light Pair LUX LED My Climate	⁷	9.0 EA	\$467.00	50	\$233.50	\$2,101.50
7.02	13617	Rollervision Task or Status Light Mount Kit	⁸	9.0 EA	\$35.00	50	\$17.50	\$157.50
99.00	OTHER	Other Charges & Services	¹⁵	1.0 EA	\$-		\$0.00	\$0.00

Line(15) - Miscellaneous Charge -

Estimated Sales Tax @ 7.5% \$202.84

List Price Total \$5,409.00

Lines Total: \$2,704.50

Line Miscellaneous Charges Total: \$202.84

Quote Total: \$2,907.34

Note 1:

All quoted taxes are estimated. Any applicable taxes, fees, permits, etc. must be added to this quote.

Note 2:

Where installation is listed on quote it is based in non-union labor and on one trip for installation only. Client is responsible for coordination of Technicians and other Vendors/Contractors. Waiting time will be charged at the rate of \$75 per man hour straight time and \$115 per man hour for OT plus subsistence expenses. Additionally, this quote is based upon a remodel in an existing space and/or new building - completely finished with a Certificate of Occupancy. Any project where the General Contractor is still on the job is subject to additional charges.

We appreciate this opportunity to provide this quote. Our goal is to substantially improve working conditions for your valuable staff. We look forward to meeting with you to review this proposal in detail. In the meantime please don't hesitate to call us with any questions.



COUNCIL COMMUNICATION

CC #: 7714

File #: 0201-01 & 0203-01

Title: Vehicle Purchases and Budget Adjustments
Contact: Peter Brevik 916-774-5724 pbrevik@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.8.

RECOMMENDATION TO COUNCIL

RFQ 01-3077

Authorize a purchase order to Builtware Fabrication Inc. for the purchase of four utility trailers to replace the Electric Department's trailer numbers 90-606, 90-607, 90-617 and 90-618 approved on FY2015/16 Auto Replacement Budget. The total cost of trailers including tax and options is \$70,500.00. Additionally, adopt an ordinance amending the budget by \$20,200.00 to pay for the cost of the trailers.

NJPA Contract

Authorize a purchase order to National Auto Fleet Group for two Ford F550 with mechanics body utilizing National Joint Powers Alliance (NJPA) contract# 102811-NAF to replace vehicle 08-393 and a new fleet addition vehicle for Environmental Utilities Wastewater Collections. These two vehicles were approved on FY2015/16 Auto Replacement Budget. The total cost of two vehicles including tax and options is \$209,611.60

Budget Adjustment

Adopt an ordinance to approve a budget adjustment in the amount of \$50,000.00 to replace vehicle 01-206 in the Electric Department. Funding for the budget adjustment is available in the FY2015/16 Auto Replacement Budget. Vehicle 01-206 was included in the FY2104/15 Auto Replacement Budget and funds were not carried forward into the current FY2015/16.

BACKGROUND

RFQ 01-3077

The Electric Department is replacing their utility trailers numbers 90-606, 90-607, 90-617 and 90-618. The current trailers are single axle trailers purchased in 1990 and have been retrofitted to keep them in service for the period of 26 years. The new utility trailers will have an increased payload and dual axle with the capability of hauling multiple wood poles instead of a single pole. The Electric crew has a need for these trailers to haul tools, materials and wood poles to their jobsites.

A formal bid was issued with three vendors responding as follows:

<u>Vendor</u>	<u>Unit Price</u>
Builtware Fabrication Inc.	\$70,500.00
Olivehurst, CA	

American Truck & Trailer Body Co	\$155,199.90
Tracy, CA	

Construction ERBCO Equipment	\$99,000.00
Sacramento, CA	

NJPA Contract

The Environmental Utilities Wastewater Collection Division is purchasing one Ford F550 mechanics body and crane to be used by a new senior maintenance worker and their crew. The second Ford F550 vehicle will replace 08-393 due to cost and frequency of repairs. Both vehicles will be used to perform sewer lateral repairs and replacements, main repairs and all other preventative and reactive maintenance jobs

The City is piggybacking a competitively bid contract from NJPA for this purchase. NJPA creates national cooperative contract purchasing opportunities and solutions on behalf of its members which include all government, education, and non-profit agencies nationwide. The City of Roseville is a member of NJPA.

Budget Adjustment

Roseville Electric's vehicle 01-206 was included in the FY2014/15 Auto Replacement Budget and funds were not carried forward. Fleet Services purchased three Ford F-350 utility body trucks in FY2015/16 for Public Works Street Maintenance Division, but one should have been a mechanic's body. The utility body Ford F-350 fits Electric's need for replacement of vehicle 01-206. This request is to re-budget and approve Electric's funding of one Ford F-350 utility body in FY2015/16 to replace vehicle 01-206 for \$50,000.00, which includes additional vehicle equipment. A new Ford F-350 with mechanic's body for Public Works Street Maintenance will be rolled over for purchase in FY2016/17.

Vehicle replacements are based on the Fleet Scoring System which considers factors such as age, miles, reliability, prior maintenance and repair costs, and current condition. Below are the vehicle replacement scores.

Vehicle ID	Replacement Score	Vehicle Condition
90-606	40	Meets City replacement criteria
90-607	40	Meets City replacement criteria
90-617	39	Meets City replacement criteria
90-318	39	Meets City replacement criteria
08-393	26	Meets City replacement criteria

FISCAL IMPACT

RFQ 01-3077

The total cost of four custom utility trailers including tax and options is \$70,500.00. Funding is included in the FY2015/16 Auto Replacement Budget for \$51,600.00 and a budget adjustment in the amount of \$20,200.00 is included for the remaining purchase price of these trailers.

NJPA Contract

The total cost of two Ford F550 with mechanic's body including tax and options is \$209,611.60. Funding is included in the FY2015/16 Auto Replacement Budget.

Budget Adjustment

A budget adjustment in the amount of \$50,000.00 authorizes spending from the Auto Replacement Budget for FY2015/16.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The purchase of vehicles does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Peter Brevik, Buyer

Paul Diefenbach, Central Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Ordinance No. 5688

Budget Adjustments

ORDINANCE NO. 5688

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for vehicle replacement purchases per request of the Electric Department, as listed on the two (2) attached Requests for Budget Adjustment totaling \$70,200.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:

A.R. 6.01 Budget Adjustment Policy & Procedure

For more detailed budget adjustment training information, including examples, please click on the following link:

[Miscellaneous Budget Training Information.](#)

REQUESTER*: Matt Nelson (2 of 3)

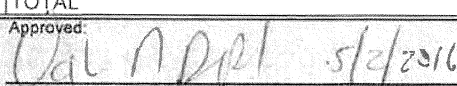
DEPARTMENT/DIVISION*: Electric / Construction & Maintenance

FISCAL YEAR/EFFECTIVE DATE*: FY2015/16

PROPOSED COUNCIL DATE (if applicable): 06/01/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
5,050	03322	6000	502			Auto Replacement Fund / Vehicle 90-606 (line #78)
5,050	03322	6000	502			Auto Replacement Fund / Vehicle 90-607 (line #79)
5,050	03322	6000	502			Auto Replacement Fund / Vehicle 90-617 (line #80)
5,050	03322	6000	502			Auto Replacement Fund / Vehicle 90-618 (line #81)
\$ 20,200	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
20,200			502			Available Resources (FL-490)
\$ 20,200	TOTAL					

FINANCE USE	Approved: 	Comments:
	BUDGET MANAGER/DESIGNEE	DATE

Justification for Budget Adjustment*:

Trailer #90-606 is located in division 08616 Electric Power Supply, and Trailers #90-607, #617, and #618 are located in division 08614 Construction & Maintenance of the Electric Department. These four utility trailers were originally budgeted in FY2015-16 for \$12,900 each. The bid for each came in \$5,050 higher at \$17,950 per trailer. The additional funding will come from FL-490 within the Auto Replacement fund.

Approved: 	Approved:
REQUESTING DEPARTMENT HEAD/DESIGNEE	CITY MANAGER
DATE	DATE



REQUEST FOR BUDGET ADJUSTMENT
FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:
A.R. 6.01 Budget Adjustment Policy & Procedure.

For more detailed budget adjustment training information, including examples, please click on the following link:
[Miscellaneous Budget Training Information.](#)

REQUESTER*: Matt Nelson (1 of 3)

DEPARTMENT/DIVISION*: Electric / Construction & Maintenance

FISCAL YEAR/EFFECTIVE DATE*: FY2015/16

PROPOSED COUNCIL DATE (if applicable): 06/01/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
50,000	03322	6000	502			Auto Replacement Fund / Vehicle 01-206
\$ 50,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
6,000			502			Available Resources (FL-490)
44,000			502			Auto Replacement fund / Vehicle 01-206
\$ 50,000	TOTAL					

FINANCE USE	Approved: <u>Dale N. Dell</u>	Comments:
	BUDGET MANAGER/DESIGNEE	DATE

Justification for Budget Adjustment*:

Vehicle is located in division 08614 Construction & Maintenance of the Electric Department, was originally budgeted for replacement in FY2014-15 but was not purchased or encumbered into FY2015-16. The replacement funds have since built up to \$44,000. This request is to re-budget and approve the purchase the replacement of this vehicle in FY2015-16. A vehicle request form is attached.

Approved: <u>[Signature]</u>	Approved:
REQUESTING DEPARTMENT HEAD / DESIGNEE	CITY MANAGER
DATE	DATE



COUNCIL COMMUNICATION

CC #: 7691
File #: 0203-06

Title: Accela e-PlanCheck Software Licenses and Annual Maintenance - Purchase Order
Contact: Joe Allen 916-774-5165 jallen@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.9.

RECOMMENDATION TO COUNCIL

Recommend Council authorize a purchase order with Allied Network Solutions Inc. (an authorized Accela software reseller) for Accela e-PlanCheck software utilizing the California Department of General Services (DGS) Software Licensing Program (SLP). Accela e-PlanCheck is an Electronic Plan Review software application designed to integrate with the City's existing enterprise permitting system, Accela Automation. The one time cost of the software licenses is \$85,200.00, and first year maintenance cost is \$17,040.00. The total first year cost not to exceed \$102,240.00 will be funded through the Accela System CIP and Development Services Operating Budget. The on-going annual maintenance cost will be funded through the Technology Replacement Fund.

BACKGROUND

The City currently has approximately 80 employees across multiple departments that participate in a paper-intensive manual process to review and approve applicant submitted building and architectural plans. The Accela e-PlanCheck software will improve the City's plan check process by accommodating the electronic submittal, routing, review, commenting, and approval of building and architectural plans; therefore, reducing response time and removing the need to print costly and environmentally unfriendly paper documents. The system will allow applicants to submit and pay for permits, upload plans, and receive plan review notifications and status updates through the City's online Citizen Access Portal. In addition, the system uses mobile technology that will allow inspectors to view plans and receive real-time updates while in the field. The City's objective is to provide end-to-end management of and access to electronic documents across department teams, thus streamlining the review process for development staff and customers, and improving overall transparency and accountability of the plan review process.

The City is piggy-backing the California DGS SLP contract number SLP-16-70-0027L. Development Services staff requests authorization to continue using the contract for ongoing software maintenance without further City Council approvals until the California DGS SLP contract expires, is not renewed or extended, or until City staff decide they no longer wish to use this vendor pending City Council approval of budgets each fiscal year. Staff will continue to evaluate whether this software system is the best option for the City's electronic (paperless) plan check

management.

The procurement, installation, and configuration of the e-PlanCheck software will occur over the next 6 months with \$19,170.00 for software license and maintenance costs being incurred in FY2015/16 during the initial configuration period, and the remaining \$83,070.00 for additional licenses and added annual maintenance costs being incurred in FY2016/17, once the system is configured and ready to implement.

FISCAL IMPACT

The total cost of the software and maintenance is \$19,170.00 in FY2015/16 and \$83,070.00 in FY2016/17. Funding is included in the Accela System CIP and Development Services Operating Budget for both fiscal years, with spending in FY2016/17 contingent upon City Council approval of budgets for next year. The total on-going annual maintenance cost is \$17,040.00 and will be funded through the Technology Replacement Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Approval of the proposed software purchase is not expected to result in measurable job creation or economic development impact.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The purchase of software and software maintenance does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Joe Allen, Mapping Supervisor

Kevin Payne, Development Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Vendor quote for software license and annual maintenance



Allied Network Solutions, Inc.
 5718 Lonetree Blvd.
 Rocklin, CA 95765
 Phone: **916-774-2670**
 Fax: **916-774-2654**
 FEIN# 68-0480187

QUOTE #:	ANSQ78896-03
Date:	05/06/2016
DVBE Certification# 24852	
CA Seller Permit# SR KH 97-902196	

Prepared For:

Holly Heffernan Krelle
 City of Roseville
 401 Oak Street #404
 Roseville, CA 95678
 Phone (916)774-5171

Ship To:

Holly Heffernan Krelle
 City of Roseville
 401 Oak Street #404
 Roseville, CA 95678
 Phone (916)774-5171

Prepared By:

Jim Jennings
 Account Manager
 jjennings@ans-it.com
 (916) 774-2670 x111

Contract Number	Payment Terms	FOB	Valid Through
SLP-16-70-0027L	NET 30	Destination, Freight PPD	06/05/2016

Part Number	Manufacturer	Qty	Unit Price	Extended Price	Taxable?
Product Description					

No additional terms and conditions apply above SLP guidelines

LCEPCEPCUSR3P51	Accela	80	\$1,065.00	\$85,200.00	N
Accela ePlanCheck Named User License					
MNTNCE	Accela	80	\$213.00	\$17,040.00	N
Accela ePlanCheck Maintenance					

SubTotal	\$102,240.00
Sales Tax 0.08500	\$0.00
Shipping	\$0.00
TOTAL	\$102,240.00

Thank you for the opportunity to provide this quote. Please reference quote number in the upper right hand corner on any purchase order resulting from this quote. Only the manufacturer's return policy of items included in this quote applies. Return of items may be subject to a restocking fee.



COUNCIL COMMUNICATION

CC #: 7701
File #: 0203-07

Title: Prewired DFR/Relay Panels (RFQ 01-3081) - Purchase Order
Contact: Babette Owens 916-774-5704 bowens@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.10.

RECOMMENDATION TO COUNCIL

Recommend Council authorize a purchase order to Crown Technical Systems as the lowest responsive bidder for RFQ 01-3081 for two Prewired DFR/Relay Panels. The cost of the purchase is \$92,823.00 plus tax of \$6,961.73 for a grand total of \$99,784.73.

BACKGROUND

Digital fault recorders (DFR) are used to monitor disturbances on the electric power system. This information is used to aid in the safe and reliable operation of the electric system. These prewired DFR panels are needed to replace the existing DFR panels at the Fiddymont Substation. After twenty years, the current DFR's have reached the end of their useful life. A formal bid was issued where vendors were asked to provide pricing for two Prewired DFR/Relay Panels. The award is based on a lump sum total of the panels.

The following bids were received:

<u>BIDDER</u>	<u>TOTAL UNIT PRICING</u>
Keystone Electrical Des Moines, IA	\$91,853.37
Crown Technical Systems Fontana, CA	\$92,823.00
Powercon Corporation Severn, MD	\$132,015.00
Electrical Power Products Des Moines, IA	\$98,890.00

Keystone Electrical was deemed non-responsive because they did not provide a bid bond at the time of the bid opening; therefore Crown Technical Systems will be awarded the purchase order as the lowest responsive bidder.

FISCAL IMPACT

The cost for two Prewired DFR/Relay Panels is \$92,823.00 plus tax of \$6,961.73 for a grand total of 99,784.73. Funding is included in the Electric Department's Fiddymont DFR Capitol Improvement Project Fund for the purchases made in FY15/16.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The purchase of Prewired DFR/Relay Panels does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Babette Owens, Buyer

Paul Diefenbach, Central Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description



COUNCIL COMMUNICATION

CC #: 7693

File #: 0400-04-07-1

Title: Diamond Creek North Roseville Specific Plan Parcel DC-31C - Final Map and Subdivision Agreement

Contact: Kerry Andrews 916-774-5346 kandrews@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.11.

RECOMMENDATION TO COUNCIL

It is recommended that the City Council take the following actions:

1. Approve the final map on file with the City Clerk and accept on behalf of the public, subject to improvement, Lot A and the easements offered thereon, and approve the abandonment of the easements listed thereon.
2. Adopt the attached resolution approving the Subdivision Agreement and authorize the City Manager to execute the agreement on behalf of the City.

BACKGROUND

The Development Services - Engineering section has reviewed the subject final map and found that it is in compliance with the Subdivision Map Act and the City's Subdivision Ordinance and all conditions of the tentative map have been met.

This map will be creating 81 residential lots and one lettered lot.

FISCAL IMPACT

No fiscal impact to the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

This project is consistent with the North Roseville Specific Plan Final EIR (SCH#96112014) certified by the City of Roseville on August 6, 1997, and the EIR Addendum approved on January 23, 2014.

Respectfully Submitted,

Kerry Andrews, Assistant Engineer

Kevin Payne, Development Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Final Map

Resolution No. 16-198

Subdivision Agreement

FINAL MAP OF
DIAMOND CREEK NRSP PARCEL DC-31C
SUBDIVISION NO. 000173
BEING A SUBDIVISION OF PARCEL 3 ~ DIAMOND CREEK PARCEL 31
34 MAPS 19, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 17, T.11N., R.6E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
APRIL 2016
MORTON & PITALO, INC.

OWNER'S STATEMENT

THE UNDERSIGNED HEREBY CERTIFY THAT THEY ARE THE ONLY PERSONS HAVING ANY RECORD TITLE INTEREST IN THE REAL PROPERTY INCLUDED WITHIN THE SUBDIVISION SHOWN UPON THIS FINAL MAP OF "DIAMOND CREEK NRSP PARCEL DC-31C" AND DO HEREBY CONSENT TO THE PREPARATION AND RECORDATION OF THIS FINAL MAP; HEREBY OFFER FOR DEDICATION AND DO HEREBY DEDICATE TO PARTICULAR PURPOSES PARTICULAR PURPOSES THE FOLLOWING:

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED AS EASEMENT FOR PUBLIC PURPOSES:

(A) PUBLIC EASEMENTS FOR THE INSTALLATION AND MAINTENANCE OF, BUT NOT LIMITED TO, ELECTROLIERS, WATER AND GAS PIPES, AND SEWER PIPES, AND FOR UNDERGROUND WIRES AND CONDUITS FOR ELECTRIC, TELEPHONE, AND TELEVISION, SERVICES AND TRAFFIC CONTROL APPURTENANCES TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS LOT A AND THOSE STRIPS OF LAND LYING CONTIGUOUS TO THE PUBLIC RIGHT OF WAY AS SHOWN HEREON AND DESIGNATED "P.U.E." (PUBLIC UTILITY EASEMENT).

(B) PUBLIC EASEMENTS FOR THE INSTALLATION AND MAINTENANCE OF, BUT NOT LIMITED TO, WATER AND GAS PIPES, AND SEWER PIPES, AND FOR UNDERGROUND WIRES AND CONDUITS FOR ELECTRIC, TELEPHONE, AND TELEVISION, AND SERVICES TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS THOSE STRIPS OF LAND LYING BETWEEN THE LINES OF THE LOTS SHOWN HEREON AND DESIGNATED "P.U.E." (PUBLIC UTILITY EASEMENT).

(C) PUBLIC EASEMENTS FOR THE INSTALLATION AND MAINTENANCE OF DRAINAGE PIPES, TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS THOSE STRIPS OF LAND LYING BETWEEN THE LINES OF THE LOTS SHOWN HEREON AND DESIGNATED "D.E." (DRAINAGE EASEMENT).

(D) PUBLIC EASEMENTS FOR PEDESTRIAN INGRESS AND EGRESS PURPOSES ON, OVER, AND ACROSS THOSE STRIPS OF LAND SHOWN HEREON AND DESIGNATED "P.P.E." (PUBLIC PEDESTRIAN EASEMENT).

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED IN FEE TO THE PUBLIC:

(A) LOT A, AS SHOWN HEREON AS RIGHT-OF-WAY.

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED AS AN EASEMENT FOR PRIVATE PURPOSES:

(A) ACCESS EASEMENTS FOR INGRESS AND EGRESS PURPOSES ACROSS THOSE STRIPS OF LAND SHOWN HEREON AS "ACCESS EASEMENT" FOR THE MUTUAL BENEFIT OF ALL LOTS SHOWN HEREON.

(B) PEDESTRIAN EASEMENTS FOR PEDESTRIAN INGRESS AND EGRESS PURPOSES ACROSS THOSE STRIPS OF LAND SHOWN HEREON AS "P.E." (PEDESTRIAN EASEMENT) FOR THE MUTUAL BENEFIT OF ALL LOTS SHOWN HEREON.

THE REAL PROPERTY DESCRIBED BELOW IS RESERVED, BY THE OWNER, AS AN EASEMENT, FOR FUTURE DEDICATION TO THE HOME OWNERS ASSOCIATION.

(A) ACCESS EASEMENTS FOR INGRESS AND EGRESS PURPOSES ACROSS THOSE STRIPS OF LAND SHOWN HEREON AS "ACCESS EASEMENT" FOR THE MUTUAL BENEFIT OF ALL LOTS SHOWN HEREON.

(B) PEDESTRIAN EASEMENTS FOR PEDESTRIAN INGRESS AND EGRESS PURPOSES ACROSS THOSE STRIPS OF LAND SHOWN HEREON AS "P.E." (PEDESTRIAN EASEMENT) FOR THE MUTUAL BENEFIT OF ALL LOTS SHOWN HEREON.

(C) PRIVATE EASEMENTS FOR THE INSTALLATION AND MAINTENANCE OF DRAINAGE PIPES, TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS THOSE STRIPS OF LAND LYING BETWEEN THE LINES OF THE LOTS SHOWN HEREON AND DESIGNATED "P.D.E." (PRIVATE DRAINAGE EASEMENT), AND ALL AREAS SHOWN HEREON AND DESIGNATED "P.E." (PEDESTRIAN EASEMENT) AND ALL AREAS SHOWN HEREON AND DESIGNATED "ACCESS EASEMENT".

LENNAR HOMES OF CALIFORNIA, INC., A CALIFORNIA CORPORATION

PRINT NAME _____ PRINT NAME _____
TITLE _____ TITLE _____

NOTARY'S STATEMENT

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF _____
COUNTY OF _____

ON _____, BEFORE ME, _____, A NOTARY PUBLIC PERSONALLY APPEARED _____

WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING PARAGRAPH IS TRUE AND CORRECT.

WITNESS MY HAND

NOTARY PUBLIC, STATE OF _____
PRINT NAME _____

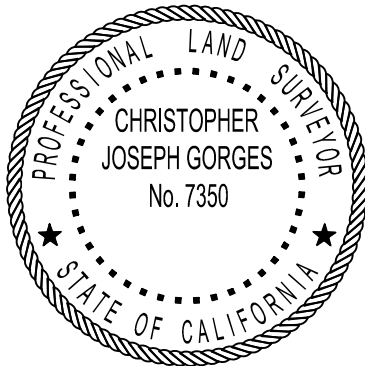
MY PRINCIPAL PLACE OF BUSINESS IS _____ COUNTY
MY COMMISSION NO. _____
MY COMMISSION EXPIRES _____

SURVEYOR'S STATEMENT

THIS FINAL MAP OF "DIAMOND CREEK NRSP PARCEL DC-31C" WAS PREPARED BY ME OR UNDER MY DIRECTION AND IS BASED UPON A FIELD SURVEY IN CONFORMANCE WITH THE REQUIREMENTS OF THE SUBDIVISION MAP ACT AND LOCAL ORDINANCES AT THE REQUEST OF BBC DIAMOND CREEK, LLC A CALIFORNIA LIMITED LIABILITY COMPANY, IN JULY, 2013. I HEREBY STATE THAT THIS FINAL MAP OF "DIAMOND CREEK NRSP PARCEL DC-31C" SUBSTANTIALLY CONFORMS TO THE APPROVED OR CONDITIONALLY APPROVED TENTATIVE MAP, AND THAT ALL THE MONUMENTS ARE OF THE CHARACTER AND OCCUPY THE POSITIONS INDICATED, OR THAT THEY WILL BE SET IN THOSE POSITIONS ON OR BEFORE NOVEMBER, 2016 AND THAT THE MONUMENTS ARE, OR WILL BE, SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED.

CHRISTOPHER J. GORGES, P.L.S. 7350

DATE



CITY ENGINEER'S STATEMENT

I HEREBY STATE THAT I HAVE EXAMINED THIS FINAL MAP OF "DIAMOND CREEK NRSP PARCEL DC-31C" AND FIND IT TO BE SUBSTANTIALLY THE SAME AS THE TENTATIVE MAP ON FILE AND ANY APPROVED ALTERATIONS THEREOF; THAT ALL PROVISIONS OF THE SUBDIVISION MAP ACT AND ALL APPLICABLE CITY ORDINANCES HAVE BEEN COMPLIED WITH.

DATED _____
MARC STOUT, R.C.E. 69879
CITY ENGINEER, CITY OF ROSEVILLE
LICENSE EXPIRATION DATE: 9/30/2016

I HEREBY STATE THAT I AM SATISFIED THIS FINAL MAP OF "DIAMOND CREEK NRSP PARCEL DC-31C" IS TECHNICALLY CORRECT.

FOR MARC STOUT, CITY ENGINEER, CITY OF ROSEVILLE

DATED _____
TIMOTHY G. BLAIR, P.L.S. 8278
EXPIRATION DATE: 12/31/2017



CITY CLERK'S STATEMENT

I HEREBY STATE THAT THE CITY COUNCIL, CITY OF ROSEVILLE, HAS APPROVED THIS FINAL MAP OF "DIAMOND CREEK NRSP PARCEL DC-31C" AND HAS ACCEPTED, ON BEHALF OF THE PUBLIC, SUBJECT TO IMPROVEMENT, LOT A AND ALL PUBLIC EASEMENTS HEREON OFFERED FOR DEDICATION, IN ACCORDANCE WITH THE TERMS OF THAT OFFER, AND HAS APPROVED THE ABANDONMENT OF THE EASEMENTS LISTED HEREON.

CITY CLERK, CITY OF ROSEVILLE

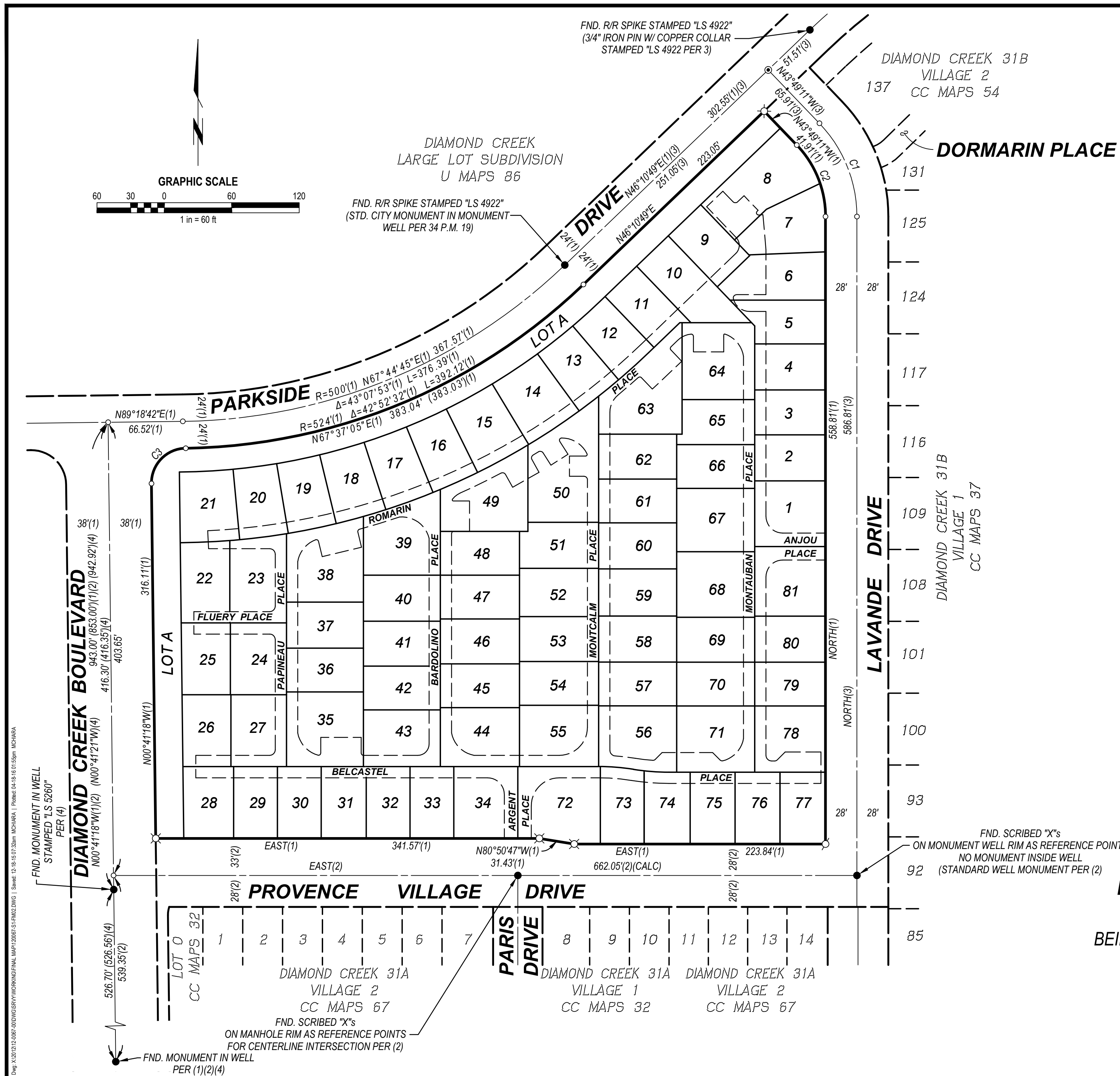
DATED _____
ASSISTANT CITY CLERK

RECORDER'S STATEMENT

ACCEPTED FOR RECORD AND FILED IN THE OFFICE OF THE RECORDER OF PLACER COUNTY, STATE OF CALIFORNIA, AT _____ MINUTES AFTER _____ O'CLOCK ON THE DAY OF _____, 2016, AND RECORDED IN BOOK _____ OF MAPS, AT PAGE _____, AT THE REQUEST OF FIRST AMERICAN TITLE COMPANY.

FILE NO. _____
COUNTY RECORDER OF PLACER COUNTY

FEE: _____ BY: _____
DEPUTY



TABULATION

C1.... $R=120(3)$ $\Delta=43^{\circ}49'11''$ ($43^{\circ}49'13''$)(3) $L=91.78(3)$
 $N21^{\circ}54'36''W$ ($N21^{\circ}54'37''W$)(3) $89.56(3)$

C2.... $R=92(1)$ $\Delta=43^{\circ}49'11''$ ($L=70.36(1)$
 $N21^{\circ}54'36''W$)(1) $68.66(1)$

C3.... $R=31(1)$ $\Delta=89^{\circ}44'39(1)$ $L=48.56(1)$
 $N44^{\circ}11'02''E$ (1) $43.74(1)$

LEGEND

○DIMENSION POINT - NOTHING FOUND OR SET

○SET 1" GALVANIZED PIPE TAGGED "L.S. 7350"

○SET NAIL AND 3/4" BRASS DISC TAGGED "L.S. 7350"

○SET 3/4" BRASS DISC TAGGED "L.S. 7350"

●FOUND CENTER PUNCHED COPPERWELD SURVEY MONUMENT
IN MONUMENT WELL PER (3) - NO STAMP

●FOUND MONUMENT AS NOTED

D.E.DRAINAGE EASEMENT

N.T.S.NOT TO SCALE

P.E.PEDESTRIAN EASEMENT

P.D.E.PRIVATE DRAINAGE EASEMENT

P.P.E.PUBLIC PEDESTRIAN EASEMENT

P.U.E.PUBLIC UTILITY EASEMENT

S.F.SQUARE FEET

(1).....RECORD PER 34 P.M. 19

(2)..... RECORD PER CC MAPS 32

(3)..... RECORD PER CC MAPS 37

(4)..... RECORD PER BB MAPS 28

NOTES

1. TOTAL AREA OF THIS SUBDIVISION IS 6.337 ACRES, CREATING 81 RESIDENTIAL LOTS AND ONE LETTERED LOT.
2. A DECLARATION OF CONDITIONS, COVENANTS AND RESTRICTIONS FOR THIS SUBDIVISION HAS BEEN RECORDED AS DOCUMENT NO. _____.
3. THE PLACES (ACCESS EASEMENTS) SHOWN HEREON ARE PRIVATE AND WILL BE MAINTAINED BY THE HOMEOWNERS ASSOCIATION.
4. PURSUANT TO SECTION 66445(J) OF THE GOVERNMENT CODE, THE FOLLOWING EASEMENT IS HEREBY ABANDONED:
 - (A) 35' PUBLIC UTILITY AND LANDSCAPE EASEMENTS CONTIGUOUS WITH THE EASTERLY RIGHT-OF-WAY OF DIAMOND CREEK BOULEVARD AND THE SOUTHERLY RIGHT OF WAY OF PARKSIDE DRIVE AS SAID EASEMENTS ARE SHOWN ON THAT CERTAIN MAP ENTITLED, "DIAMOND CREEK LARGE LOT SUBDIVISION" FILED IN BOOK U OF MAPS, PAGE 86, PLACER COUNTY RECORDS.

BASIS OF BEARINGS

THE MERIDIAN OF THIS SURVEY IS THE CENTERLINE OF PARKSIDE DRIVE, SHOWN AND SO DESIGNATED ON THAT CERTAIN PARCEL MAP ENTITLED "DIAMOND CREEK PARCEL 31", FILED IN BOOK 34 OF PARCEL MAPS, PAGE 19, PLACER COUNTY RECORDS, THE BEARING OF WHICH IS N46°10'49"E AND WAS BASED ON MONUMENTS SHOWN HEREON AS FOUND.

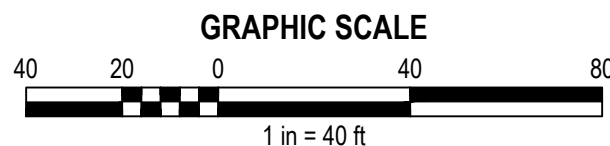
(2) **FINAL MAP OF**
DIAMOND CREEK NRSP PARCEL DC-31C
SUBDIVISION NO. 000173
BEING A SUBDIVISION OF PARCEL 3 ~ DIAMOND CREEK PARCEL 31
34 MAPS 19, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 17, T.11N., R.6E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
APRIL 2016 SCALE: 1"=60'
MORTON & PITALO, INC.

Dwg: X:\2012\12-0067-00\DWGSRV\WORKING\FINAL MAP\12067-S\FM02.DWG | Saved: 12-18-15 07:32am MCHUARA | Plotted: 04-18-16 01:55pm MCHUARA

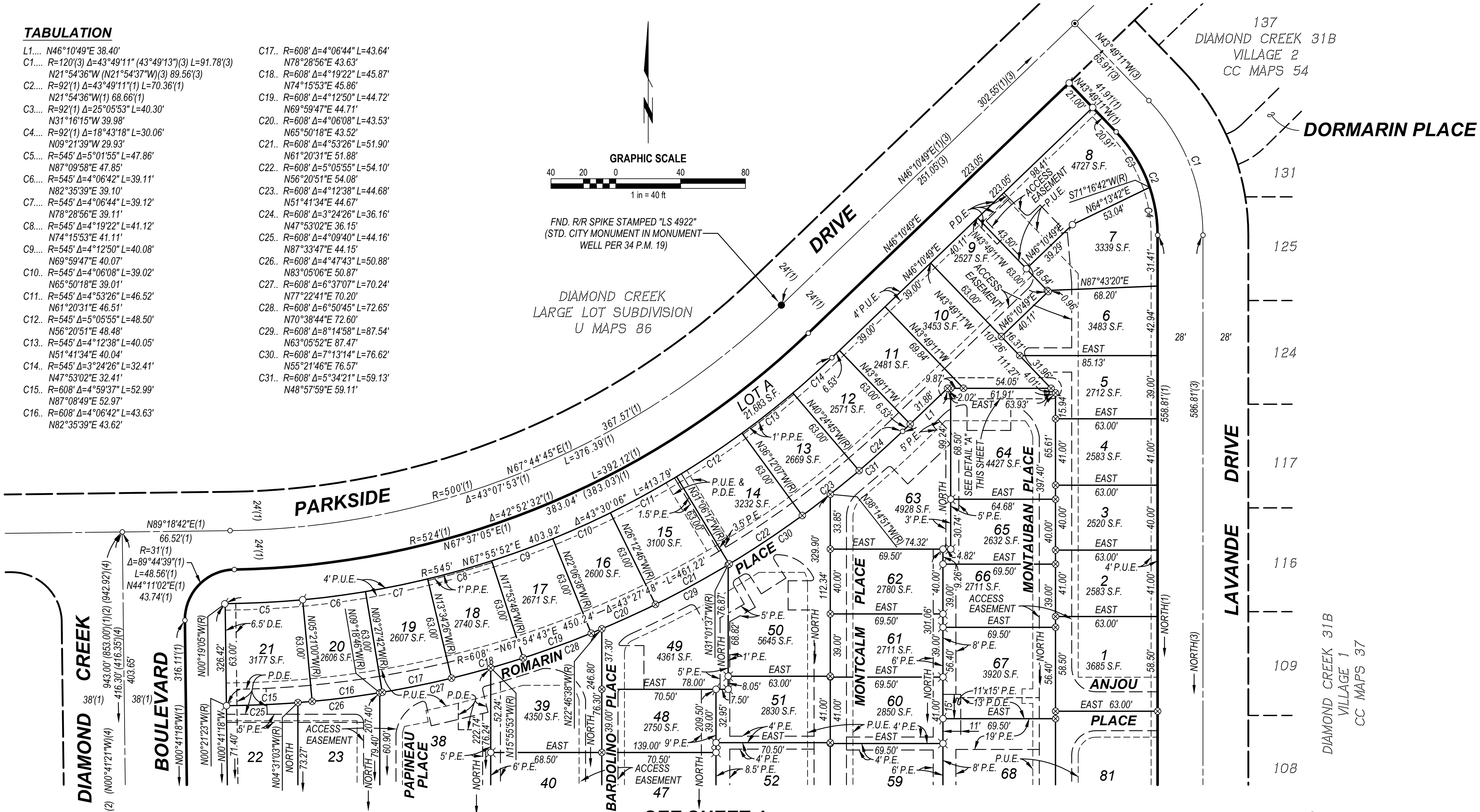
TABULATION

- L1.... N46°10'49"E 38.40'
C1.... R=120'(3) Δ=43°49'11" (43°49'13") (3) L=91.78'(3)
N21°54'36"W (N21°54'37"W) (3) 89.56'(3)
C2.... R=92'(1) Δ=43°49'11"(1) L=70.36'(1)
N21°54'36"W(1) 68.66'(1)
C3.... R=92'(1) Δ=25°05'53" L=40.30'
N31°16'15"W 39.98'
C4.... R=92'(1) Δ=18°43'18" L=30.06'
N09°21'39"W 29.93'
C5.... R=545' Δ=5°01'55" L=47.86'
N87°09'58"E 47.85'
C6.... R=545' Δ=4°06'42" L=39.11'
N82°35'39"E 39.10'
C7.... R=545' Δ=4°06'44" L=39.12'
N78°28'56"E 39.11'
C8.... R=545' Δ=4°19'22" L=41.12'
N74°15'53"E 41.11'
C9.... R=545' Δ=4°12'50" L=40.08'
N69°59'47"E 40.07'
C10.. R=545' Δ=4°06'08" L=39.02'
N65°50'18"E 39.01'
C11.. R=545' Δ=4°53'26" L=46.52'
N61°20'31"E 46.51'
C12.. R=545' Δ=5°05'55" L=48.50'
N56°20'51"E 48.48'
C13.. R=545' Δ=4°12'38" L=40.05'
N51°41'34"E 40.04'
C14.. R=545' Δ=3°24'26" L=32.41'
N47°53'02"E 32.41'
C15.. R=608' Δ=4°59'37" L=52.99'
N87°08'49"E 52.97'
C16.. R=608' Δ=4°06'42" L=43.63'
N82°35'39"E 43.62'
- C17.. R=608' Δ=4°06'44" L=43.64'
N78°28'56"E 43.63'
C18.. R=608' Δ=4°19'22" L=45.87'
N74°15'53"E 45.86'
C19.. R=608' Δ=4°12'50" L=44.72'
N69°59'47"E 44.71'
C20.. R=608' Δ=4°06'08" L=43.53'
N65°50'18"E 43.52'
C21.. R=608' Δ=4°53'26" L=51.90'
N61°20'31"E 51.88'
C22.. R=608' Δ=5°05'55" L=54.10'
N56°20'51"E 54.08'
C23.. R=608' Δ=4°12'38" L=44.68'
N51°41'34"E 44.67'
C24.. R=608' Δ=3°24'26" L=36.16'
N47°53'02"E 36.15'
C25.. R=608' Δ=4°09'40" L=44.16'
N87°33'47"E 44.15'
C26.. R=608' Δ=4°47'43" L=50.88'
N83°05'06"E 50.87'
C27.. R=608' Δ=6°37'07" L=70.24'
N77°22'41"E 70.20'
C28.. R=608' Δ=6°50'45" L=72.65'
N70°38'44"E 72.60'
C29.. R=608' Δ=8°14'58" L=87.54'
N63°05'52"E 87.47'
C30.. R=608' Δ=7°13'14" L=76.62'
N55°21'46"E 76.57'
C31.. R=608' Δ=5°34'21" L=59.13'
N48°57'59"E 59.11'

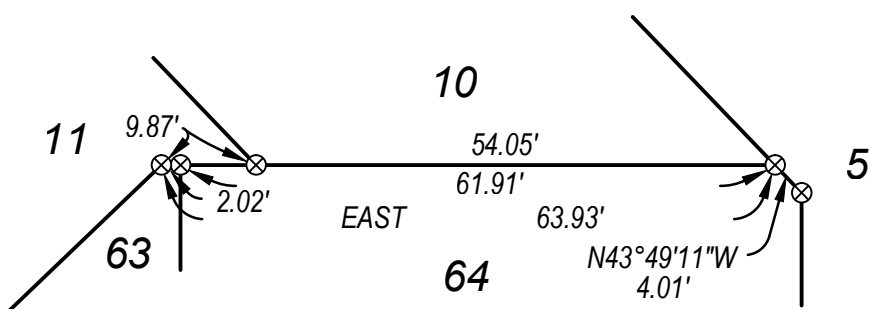


FND. R/R SPIKE STAMPED "LS 4922"
(STD. CITY MONUMENT IN MONUMENT
WELL PER 34 P.M. 19)

DIAMOND CREEK
LARGE LOT SUBDIVISION
U MAPS 86



SEE SHEET 4



DETAIL "A"
N.T.S.

NOTES

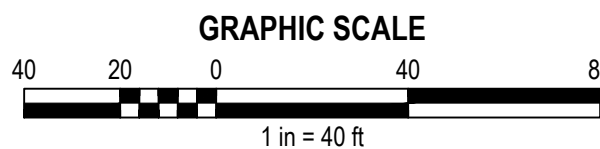
1. SEE SHEET 2 FOR NOTES, LEGEND AND BASIS OF BEARINGS.
2. SEE SHEET 5 FOR EASEMENT ANNOTATION.

FINAL MAP OF
DIAMOND CREEK NRSP PARCEL DC-31C

SUBDIVISION NO. 000173
BEING A SUBDIVISION OF PARCEL 3 ~ DIAMOND CREEK PARCEL 31
34 MAPS 19, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 17, T.11N., R.6E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
APRIL 2016 SCALE: 1"=40'
MORTON & PITALO, INC.

SEE SHEET 3



DETAIL "B"
N.T.S.

FND. SCRIBED "X"s
ON MONUMENT WELL RIM AS REFERENCE POINTS
NO MONUMENT INSIDE WELL
85 (STANDARD WELL MONUMENT PER (2))

FINAL MAP OF
DIAMOND CREEK NRSP PARCEL DC-31C

SUBDIVISION NO. 000173
BEING A SUBDIVISION OF PARCEL 3 ~ DIAMOND CREEK PARCEL 31
34 MAPS 19, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 17, T.11N., R.6E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
APRIL 2016 SCALE: 1"=40'
MORTON & PITALO, INC.

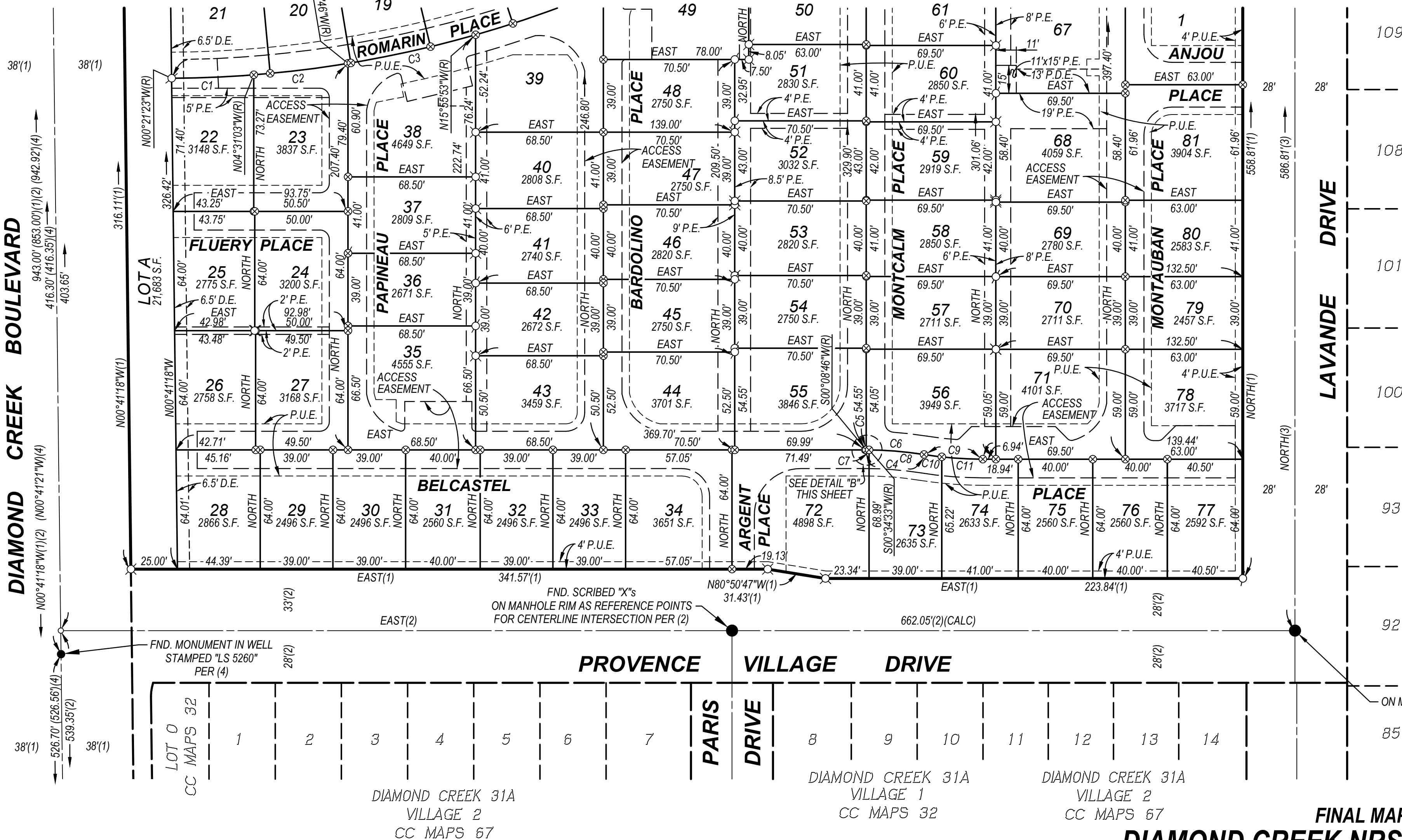
SHEET 4 OF 9 SHEETS JOB NO. 120067

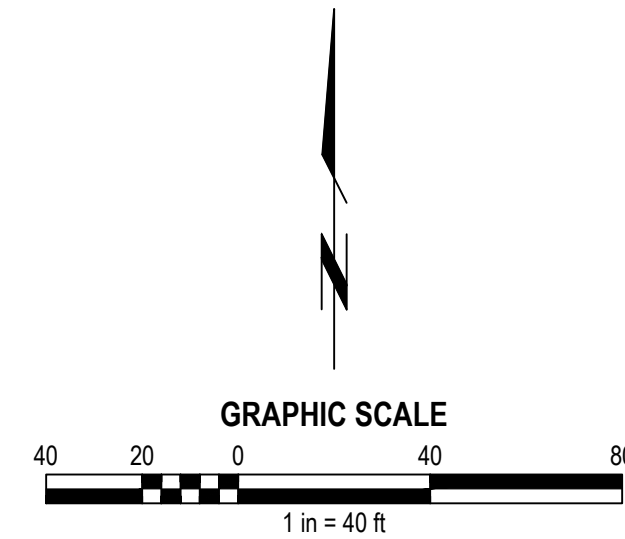
TABULATION

C1.... R=608' Δ=4°09'40" L=44.16' N87°33'47"E 44.15'	C7.... R=200' Δ=0°34'33" L=2.01' N89°42'43"W 2.01'
C2.... R=608' Δ=4°47'43" L=50.88' N83°05'06"E 50.87'	C8.... R=200' Δ=8°29'45" L=29.66' N85°10'34"W 29.63'
C3.... R=608' Δ=6°37'07" L=70.23' N77°22'41"E 70.20'	C9.... R=200' Δ=9°04'18" L=31.67' N85°27'51"W 31.63'
C4.... R=200' Δ=9°04'18" L=31.67' N85°27'51"W 31.63'	C10.. R=200' Δ=2°44'23" L=9.56' N82°17'53"W 9.56'
C5.... R=200' Δ=0°08'46" L=0.51' N89°55'37"W 0.51'	C11.. R=200' Δ=6°19'55" L=22.10' N86°50'02"W 22.09'
C6.... R=200' Δ=8°55'32" L=31.16' N85°23'28"W 31.12'	

NOTES

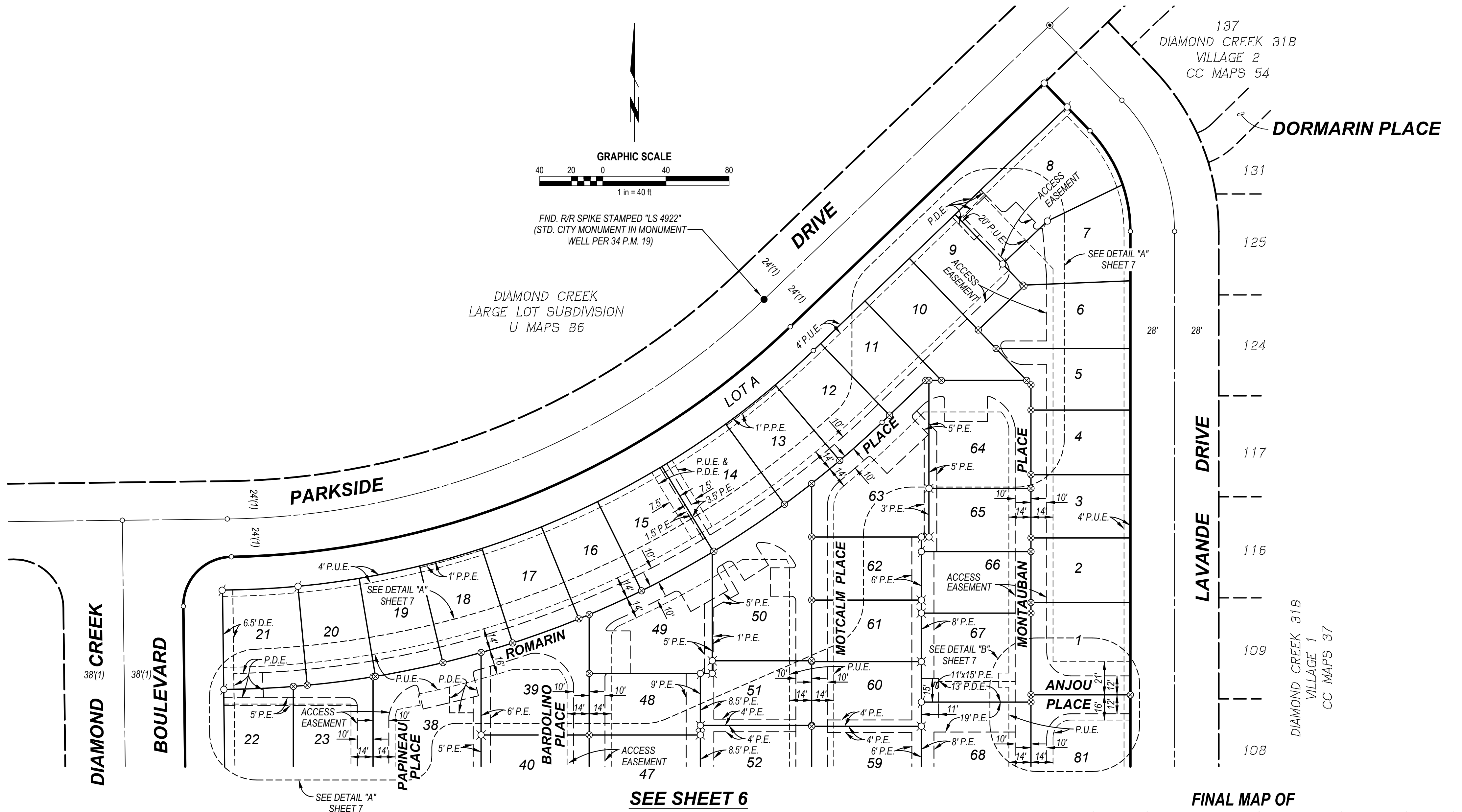
- SEE SHEET 2 FOR NOTES, LEGEND AND BASIS OF BEARINGS.
- SEE SHEET 6 FOR EASEMENT ANNOTATION.





FND. R/R SPIKE STAMPED "LS 4922"
(STD. CITY MONUMENT IN MONUMENT
WELL PER 34 P.M. 19)

DIAMOND CREEK
LARGE LOT SUBDIVISION
U MAPS 86



NOTES

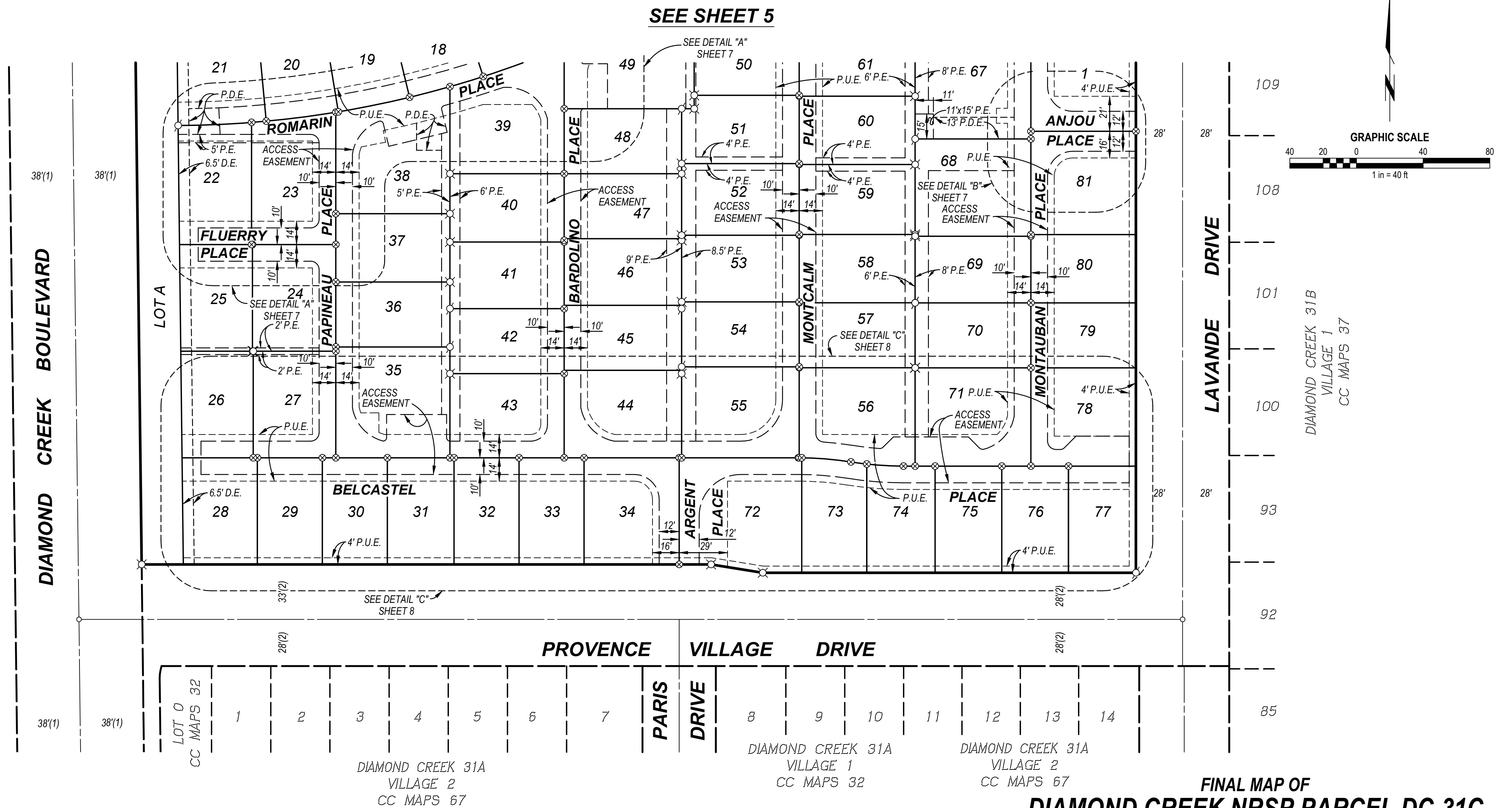
1. SEE SHEET 2 FOR NOTES, LEGEND AND BASIS OF BEARINGS.
2. SEE SHEET 3 FOR BOUNDARY ANNOTATION.

FINAL MAP OF DIAMOND CREEK NRSP PARCEL DC-31C

SUBDIVISION NO. 000173
BEING A SUBDIVISION OF PARCEL 3 ~ DIAMOND CREEK PARCEL 31
34 MAPS 19, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 17, T.11N., R.6E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
APRIL 2016 SCALE: 1"=40'
MORTON & PITALO, INC.

SHEET 5 OF 9 SHEETS JOB NO. 120067



MAPS 67 **FINAL MAP OF**
DIAMOND CREEK NRSP PARCEL DC-31C

SUBDIVISION NO. 000173

BEING A SUBDIVISION OF PARCEL 3 ~ DIAMOND CREEK PARCEL 31
34 MAPS 19, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 17, T.11N., R.6E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA

APRIL 2016 SCALE: 1"=40'

MORTON & PITALO, INC.

SHEET 6 OF 9 SHEETS JOB NO. 120067

NOTES

1. SEE SHEET 2 FOR NOTES, LEGEND AND BASIS OF BEARINGS.
2. SEE SHEET 4 FOR BOUNDARY ANNOTATION.

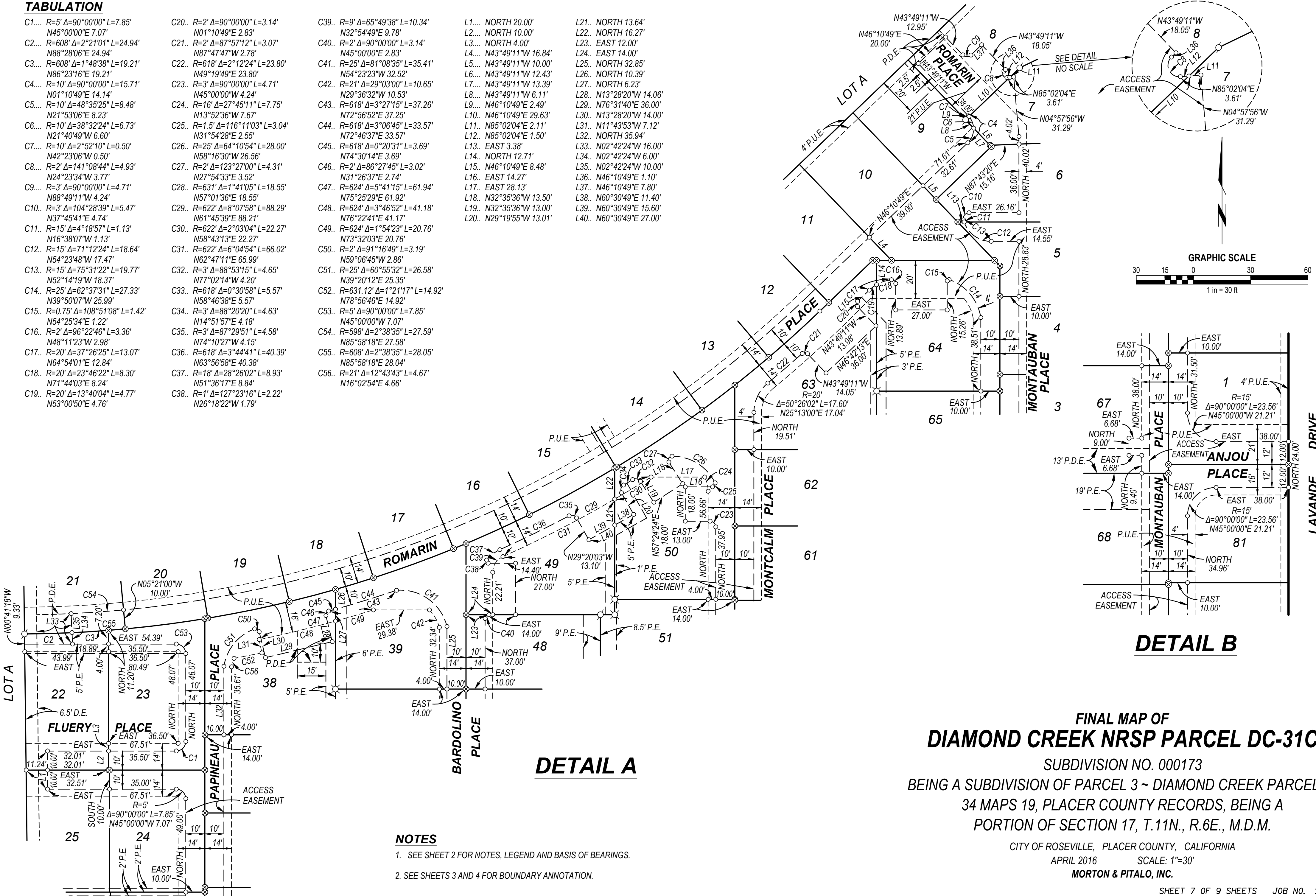
TABULATION

C1.... R=5' Δ=90°00'00" L=7.85' N45°00'00"E 7.07'	C20.. R=2' Δ=90°00'00" L=3.14' N01°10'49"E 2.83'	C39.. R=9' Δ=65°49'38" L=10.34' N32°54'49"E 9.78'	L1.... NORTH 20.00'	L21.. NORTH 13.64'
C2.... R=608' Δ=2°21'01" L=24.94' N88°28'06"E 24.94'	C21.. R=2' Δ=87°57'12" L=3.07' N87°47'47"W 2.78'	C40.. R=2' Δ=90°00'00" L=3.14' N45°00'00"E 2.83'	L2.... NORTH 10.00'	L22.. NORTH 16.27'
C3.... R=608' Δ=1°48'38" L=19.21' N86°23'16"E 19.21'	C22.. R=618' Δ=2°12'24" L=23.80' N49°19'49"E 23.80'	C41.. R=25' Δ=81°08'35" L=35.41' N54°23'23"W 32.52'	L3.... NORTH 4.00'	L23.. EAST 12.00'
C4.... R=10' Δ=90°00'00" L=15.71' N01°10'49"E 14.14'	C23.. R=3' Δ=90°00'00" L=4.71' N45°00'00"W 4.24'	C42.. R=21' Δ=29°03'00" L=10.65' N29°36'32"W 10.53'	L4.... N43°49'11"W 16.84'	L24.. EAST 14.00'
C5.... R=10' Δ=48°35'25" L=8.48' N21°53'06"E 8.23'	C24.. R=16' Δ=27°45'11" L=7.75' N13°52'36"W 7.67'	C43.. R=618' Δ=3°27'15" L=37.26' N72°56'52"E 37.25'	L5.... N43°49'11"W 10.00'	L25.. NORTH 32.85'
C6.... R=10' Δ=38°32'24" L=6.73' N21°40'49"W 6.60'	C25.. R=1.5' Δ=116°11'03" L=3.04' N31°54'28"E 2.55'	C44.. R=618' Δ=3°06'45" L=33.57' N72°46'37"E 33.57'	L6.... N43°49'11"W 12.43'	L26.. NORTH 10.39'
C7.... R=10' Δ=2°52'10" L=0.50' N42°23'06"W 0.50'	C26.. R=25' Δ=64°10'54" L=28.00' N58°16'30"W 26.56'	C45.. R=618' Δ=0°20'31" L=3.69' N74°30'14"E 3.69'	L7.... N43°49'11"W 13.39'	L27.. NORTH 6.23'
C8.... R=2' Δ=141°08'44" L=4.93' N24°23'34"W 3.77'	C27.. R=2' Δ=123°27'00" L=4.31' N27°54'33"E 3.52'	C46.. R=2' Δ=86°27'45" L=3.02' N31°26'37"E 2.74'	L8.... N43°49'11"W 6.11'	L28.. N13°28'20"W 14.06'
C9.... R=3' Δ=90°00'00" L=4.71' N88°49'11"W 4.24'	C28.. R=631' Δ=1°41'05" L=18.55' N57°01'36"E 18.55'	C47.. R=624' Δ=5°41'15" L=61.94' N75°25'29"E 61.92'	L9.... N46°10'49"E 2.49'	L29.. N76°31'40"E 36.00'
C10.. R=3' Δ=104°28'39" L=5.47' N37°45'41"E 4.74'	C29.. R=622' Δ=8°07'58" L=88.29' N61°45'39"E 88.21'	C48.. R=624' Δ=3°46'52" L=41.18' N76°22'41"E 41.17'	L10.. N46°10'49"E 29.63'	L30.. N13°28'20"W 14.00'
C11.. R=15' Δ=4°18'57" L=1.13' N16°38'07"W 1.13'	C30.. R=622' Δ=2°03'04" L=22.27' N58°43'13"E 22.27'	C49.. R=624' Δ=1°54'23" L=20.76' N73°32'03"E 20.76'	L11.. N85°02'04"E 2.11'	L31.. N11°43'53"W 7.12'
C12.. R=15' Δ=71°12'24" L=18.64' N54°23'48"W 17.47'	C31.. R=622' Δ=6°04'54" L=66.02' N62°47'11"E 65.99'	C50.. R=2' Δ=91°16'49" L=3.19' N59°06'45"W 2.86'	L12.. N85°02'04"E 1.50'	L32.. NORTH 35.94'
C13.. R=15' Δ=75°31'22" L=19.77' N52°14'19"W 18.37'	C32.. R=3' Δ=88°53'15" L=4.65' N77°02'14"W 4.20'	C51.. R=25' Δ=60°55'32" L=26.58' N39°20'12"E 25.35'	L13.. EAST 3.38'	L33.. N02°42'24"W 16.00'
C14.. R=25' Δ=62°37'31" L=27.33' N39°50'07"W 25.99'	C33.. R=618' Δ=0°30'58" L=5.57' N58°46'38"E 5.57'	C52.. R=631.12' Δ=1°21'17" L=14.92' N78°56'46"E 14.92'	L14.. NORTH 12.71'	L34.. N02°42'24"W 6.00'
C15.. R=0.75' Δ=108°51'08" L=1.42' N54°25'34"E 1.22'	C34.. R=3' Δ=88°20'20" L=4.63' N14°51'57"E 4.18'	C53.. R=5' Δ=90°00'00" L=7.85' N45°00'00"W 7.07'	L15.. N46°10'49"E 8.48'	L35.. N02°42'24"W 10.00'
C16.. R=2' Δ=96°22'46" L=3.36' N48°11'23"W 2.98'	C35.. R=3' Δ=87°29'51" L=4.58' N74°10'27"W 4.15'	C54.. R=598' Δ=2°38'35" L=27.59' N85°58'18"E 27.58'	L16.. EAST 14.27'	L36.. N46°10'49"E 1.10'
C17.. R=20' Δ=37°26'25" L=13.07' N64°54'01"E 12.84'	C36.. R=618' Δ=3°44'41" L=40.39' N63°56'58"E 40.38'	C55.. R=608' Δ=2°38'35" L=28.05' N85°58'18"E 28.04'	L17.. EAST 28.13'	L37.. N46°10'49"E 7.80'
C18.. R=20' Δ=23°46'22" L=8.30' N71°44'03"E 8.24'	C37.. R=18' Δ=28°26'02" L=8.93' N51°36'17"E 8.84'	C56.. R=21' Δ=12°43'43" L=4.67' N16°02'54"E 4.66'	L18.. N32°35'36"W 13.00'	L38.. N60°30'49"E 11.40'
C19.. R=20' Δ=13°40'04" L=4.77' N53°00'50"E 4.76'	C38.. R=1' Δ=127°23'16" L=2.22' N26°18'22"W 1.79'		L19.. N32°35'36"W 13.00'	L39.. N60°30'49"E 15.60'
			L20.. N29°19'55"W 13.01'	L40.. N60°30'49"E 27.00'

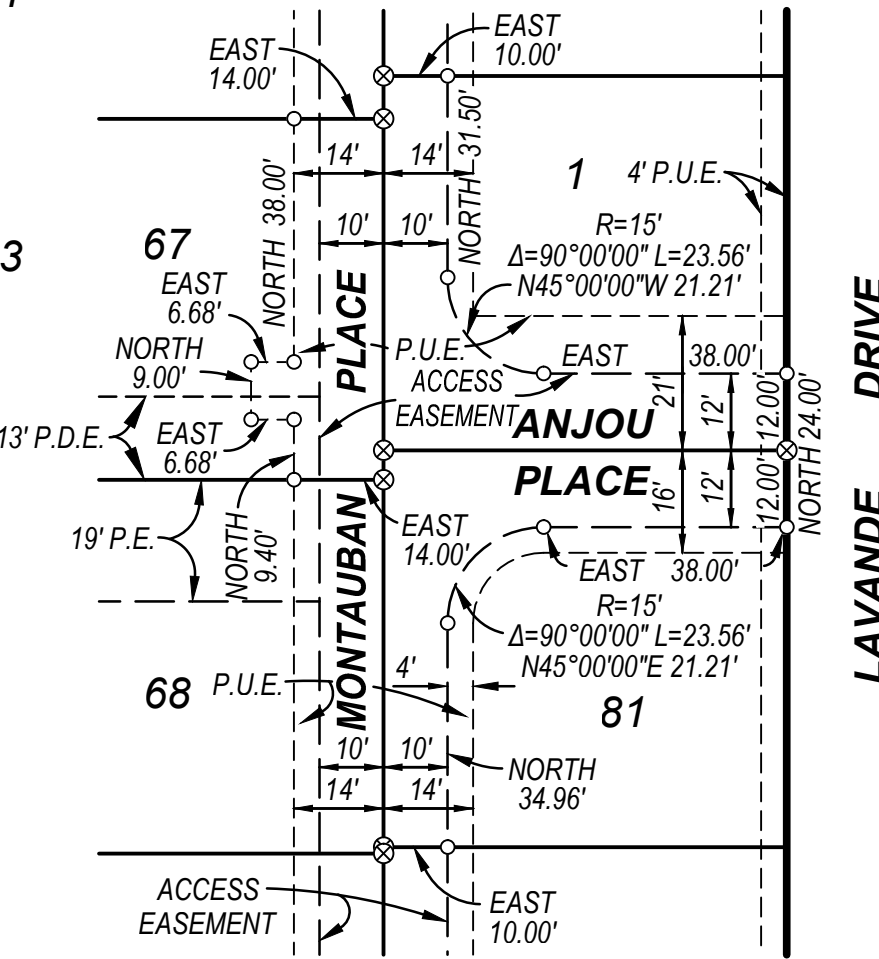
DETAIL A

NOTES

- SEE SHEET 2 FOR NOTES, LEGEND AND BASIS OF BEARINGS.
- SEE SHEETS 3 AND 4 FOR BOUNDARY ANNOTATION.



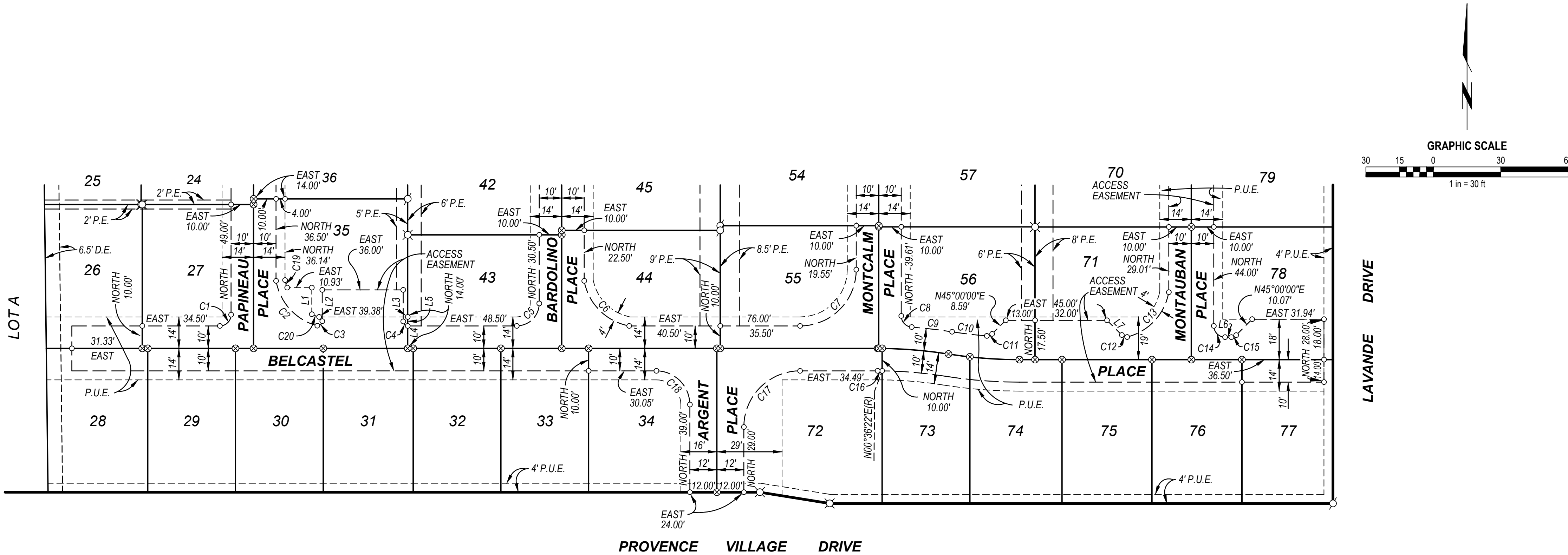
DETAIL B



FINAL MAP OF
DIAMOND CREEK NRSP PARCEL DC-31C

SUBDIVISION NO. 000173
BEING A SUBDIVISION OF PARCEL 3 ~ DIAMOND CREEK PARCEL 31
34 MAPS 19, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 17, T.11N., R.6E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
APRIL 2016 SCALE: 1"=30'
MORTON & PITALO, INC.



DETAIL C

TABULATION

C1.... R=5' Δ=90°00'00" L=7.85' N45°00'00"E 7.07'	C10.. R=190' Δ=4°41'58" L=15.58' N83°16'41"W 15.58'	L1.... NORTH 11.82'
C2.... R=25' Δ=65°35'08" L=28.62' N42°36'36"E 27.08'	C11.. R=3' Δ=49°22'20" L=2.59' N69°41'10"E 2.51'	L2.... NORTH 13.94'
C3.... R=2' Δ=94°46'49" L=3.31' N47°23'24"E 2.94'	C12.. R=3' Δ=49°17'39" L=2.58' N69°38'50"W 2.50'	L3.... NORTH 14.00'
C4.... R=2' Δ=90°00'00" L=3.14' N45°00'00"W 2.83'	C13.. R=25' Δ=66°03'39" L=28.82' N42°57'54"E 27.25'	L4.... NORTH 10.00'
C5.... R=10' Δ=90°00'00" L=15.71' N45°00'00"E 14.14'	C14.. R=5' Δ=90°00'00" L=7.85' N45°00'00"W 7.07'	L5.... NORTH 4.00'
C6.... R=25' Δ=68°53'59" L=30.06' N45°00'00"W 28.28'	C15.. R=3' Δ=45°00'00" L=2.36' N67°30'00"E 2.30'	L6.... EAST 2.82'
C7.... R=25' Δ=90°00'00" L=39.27' N45°00'00"E 35.36'	C16.. R=190' Δ=0°36'22" L=2.01' N89°41'49"W 2.01'	L7.... N45°00'00"W 9.30'
C8.... R=5' Δ=85°51'47" L=7.49' N42°55'54"W 6.81'	C17.. R=25' Δ=90°00'00" L=39.27' N45°00'00"E 35.36'	
C9.... R=210' Δ=4°56'05" L=18.09' N83°23'45"W 18.08'	C18.. R=15' Δ=90°00'00" L=23.56' N45°00'00"W 21.21'	
	C19.. R=21' Δ=9°31'09" L=3.49' N15°28'25"W 3.48'	
	C20.. R=21' Δ=9°27'07" L=3.46' N70°02'44"W 3.46'	

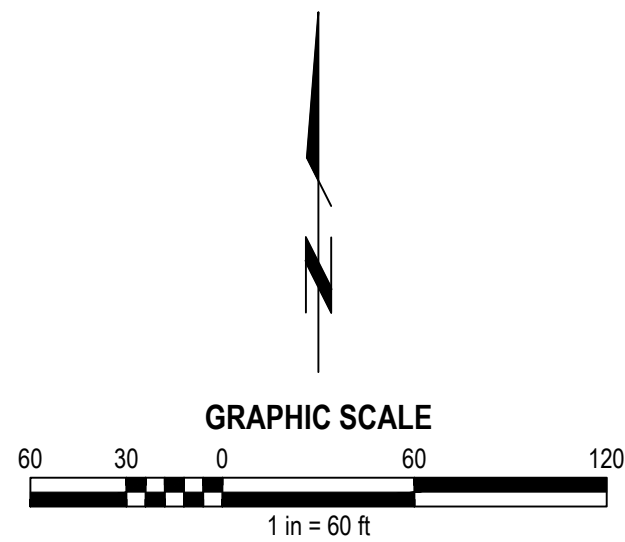
NOTES

- SEE SHEET 2 FOR NOTES, LEGEND AND BASIS OF BEARINGS.
- SEE SHEET 4 FOR BOUNDARY ANNOTATION.

FINAL MAP OF
DIAMOND CREEK NRSP PARCEL DC-31C

SUBDIVISION NO. 000173
BEING A SUBDIVISION OF PARCEL 3 ~ DIAMOND CREEK PARCEL 31
34 MAPS 19, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 17, T.11N., R.6E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
APRIL 2016 SCALE: 1"=30'
MORTON & PITALO, INC.



DIAMOND CREEK
LARGE LOT SUBDIVISION
U MAPS 86

DIAMOND CREEK 31B
VILLAGE 2
137 CC MAPS 54

DORMARIN PLACE

LOCAL AGENCY ADDITIONAL INFORMATION SHEET

THE ADDITIONAL INFORMATION SHOWN ON THIS SHEET IS FOR INFORMATIONAL PURPOSES AS REQUIRED BY SECTION 66434.2 OF THE SUBDIVISION MAP ACT, AS AMENDED, AND LOCAL ORDINANCES.

THE INFORMATION SHOWN HEREON DESCRIBES CONDITIONS AS OF THE DATE OF FILING. IT IS NOT INTENDED TO AFFECT RECORD TITLE INTEREST. THE INFORMATION IS DERIVED FROM PUBLIC RECORDS OR REPORTS AND DOES NOT IMPLY THE CORRECTNESS OR SUFFICIENCY OF THOSE RECORDS OR REPORTS BY THE PREPARER OF THIS ADDITIONAL INFORMATION SHEET.

(A) EACH RESIDENTIAL DWELLING CONSTRUCTED ON ANY OF THE LOTS INDICATED SHALL BE FITTED WITH A SEWER BACKFLOW VALVE AS APPROVED BY THE CITY OF ROSEVILLE. IT SHALL BE THE RESPONSIBILITY OF THE OWNER OF SAID DWELLING TO MAINTAIN THE VALVE AND PREVENT DAMAGE FROM OCCURRING THERETO. THE CITY OF ROSEVILLE SHALL NOT BE RESPONSIBLE FOR THE MAINTENANCE OR PERFORMANCE OF THE VALVE, AND THE CITY OF ROSEVILLE SHALL NOT BE LIABLE FOR ANY DAMAGE OCCURRING TO ANY SUCH DWELLING, OR ITS CONTENTS, DUE TO FAILURE OF THE VALVE FOR ANY REASON WHATSOEVER.

LEGEND

* DENOTES SEWER BACKFLOW PREVENTER VALVE REQUIRED ON SANITARY SEWER CONNECTION BY THE CITY OF ROSEVILLE WITH THE BUILDING PERMIT AND MAINTAINED THEREAFTER BY THE OWNER.

NOTES

1. SEE SHEET 2 FOR LEGEND, NOTES, BASIS OF BEARINGS AND ADDITIONAL BOUNDARY ANNOTATION.

**FINAL MAP OF
DIAMOND CREEK NRSP PARCEL DC-31C**

SUBDIVISION NO. 000173
BEING A SUBDIVISION OF PARCEL 3 ~ DIAMOND CREEK PARCEL 31
34 MAPS 19, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 17, T.11N., R.6E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
APRIL 2016 SCALE: 1"=60'
MORTON & PITALO, INC.

D:\proj\2016\000173\DC31C\DC31C_NRSP_FINAL.MXD [Saved: 03-25-16 11:01am] MCHARRA | Placed: 03-14-16 09:18am MCHARRA

RESOLUTION NO. 16-198

APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND LENNAR HOMES OF CALIFORNIA, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a Subdivision Agreement for Diamond Creek NRSP DC-31C Subdivision, by and between the City of Roseville and Lennar Homes of California, Inc., has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

**RECORDING REQUESTED BY AND WHEN
RECORDED, PLEASE RETURN TO:**

**City Clerk
CITY OF ROSEVILLE
311 Vernon Street
Roseville, CA 95678**

SUBDIVISION AGREEMENT

Diamond Creek NRSP DC-31-C SUBDIVISION

THIS AGREEMENT, made and entered into this ____ day of _____,
20__, by and between the CITY OF ROSEVILLE, a municipal corporation ("CITY"), and
Lennar Homes of California, Inc
a California Corporation, ("SUBDIVIDER"),

W I T N E S S E T H:

WHEREAS, SUBDIVIDER is possessed of a tract of land lying in the City of
Roseville, County of Placer, known as "Diamond Creek NRSP Parcel DC-31C Subdivision"
(Subdivision no. 000173; "the Subdivision"); and

WHEREAS, a tentative map of the Subdivision was approved by the City Planning
Commission on the 16 day of November, 2016, and the final map of the Subdivision
has been approved subject to the execution of this Agreement; and

WHEREAS, the final map has been filed on the ____ day of _____, 20__ in
Book _____ of Parcel/Subdivision Maps at Page _____ in the Official Records, Placer
County Recorder, file no. _____; and

WHEREAS, Section 18.20.110 of the Roseville Municipal Code, regulating the
subdivision of land in the City, requires that SUBDIVIDER shall execute and file an
agreement between itself and CITY relating to the improvements to be made in a subdivision,
prior to the approval by the City Council of the final map.

NOW, THEREFORE, the parties agree as follows:

1. Rights-of-Way. Except as otherwise provided by this Agreement, CITY rejects all lands, right-of-ways, and easements offered for dedication on the final map of the Subdivision. All such offers shall, however, remain open, and shall constitute irrevocable offers of dedication in accordance with Government Code Section 66477.2. All such offers may be accepted by CITY in its sole discretion at any later date without further notice to SUBDIVIDER as provided by law.

By way of explanation only, it is the current intention of CITY to accept all or part of such irrevocable offers to dedicate upon acceptance of the improvements called for in this Agreement.

2. Improvements - Time Limit. SUBDIVIDER agrees to cause all improvements to be made and constructed in the Subdivision to fully comply with the requirements of the Roseville Municipal Code and the Subdivision Map Act of the State of California according to the improvement plans for the Subdivision prepared by Morton & Pitalo, dated November 2015 and approved by the City Engineer and including any changes or alterations to such improvements required by the City Engineer, and to install, on or before November 2016, such survey monuments as may be required by the City Engineer ("the Work"). SUBDIVIDER agrees to pay for any materials, provisions or other supplies used in, upon, for or about the performance of the Work, or for any work or labor of any kind done in connection with the Work, or for any amounts due under the Unemployment Insurance Act with respect to such work or labor.

SUBDIVIDER agrees to complete all of the Work on or before twenty-four (24) months from the date of this Agreement. If in the opinion of the City Engineer of CITY, an extension of this Agreement is not detrimental to the public welfare, he or she is, in his or her sole discretion, hereby authorized to extend the time within which the Work shall be completed for a period not to exceed twelve (12) months. Upon a written application made by SUBDIVIDER, further extensions, not to exceed an additional six (6) months, shall be

reviewed and approved at the discretion of the City Engineer of CITY. Any such application extension request by SUBDIVIDER shall fully state the grounds and facts relied upon for the granting of additional extensions.

In the event that SUBDIVIDER shall fail to complete the Work within the time provided by this Agreement, CITY may, in its sole discretion and in addition to any other remedy provided in this Agreement or by law, enter upon the Subdivision and complete the Work and recover the full cost and expense of such construction from SUBDIVIDER, SUBDIVIDER's successors and assigns, or from the then owner of the Subdivision, and/or place a lien upon the Subdivision for such cost and expense.

If at anytime prior to the expiration of the time provided by this Agreement the City Engineer of CITY determines that a safety hazard exists within an existing public right-of-way, the City Engineer shall give written notice to SUBDIVIDER to eliminate the safety hazard. If SUBDIVIDER fails to eliminate the safety hazard within five (5) calendar days of the written notice, CITY may, in its sole discretion and in addition to any other remedy provided in this Agreement or by law, eliminate the safety hazard and recover the full cost and expense of such work from SUBDIVIDER, SUBDIVIDER's successors and assigns, or from the then owner of the Subdivision, and/or place a lien upon the Subdivision for such cost and expense.

3. Acquisition and Dedication of Easements or Rights-of-Ways. If any of the Work is to be constructed or installed on land not within the Subdivision or already existing public right-of-way, no construction or installation shall be commenced before:

a. The irrevocable offer of dedication or conveyance to CITY of appropriate right-of-ways, easements or other interest in real property, and appropriate authorization from the property owner to allow construction or installation of the improvements or work; or

b. The issuance of an order of possession by a court of competent jurisdiction pursuant to the State Eminent Domain Law. SUBDIVIDER shall comply in all respects with any such order of possession.

Nothing in this Section 3 shall be construed as authorizing or granting an extension of time to SUBDIVIDER for completion of the Work.

4. Inspection Fees and Costs. The City Engineer shall inspect all of the Work improvements made in the Subdivision to see that they comply with CITY's subdivision regulations and specifications. SUBDIVIDER shall deposit the estimated inspection fee and reimburse CITY for the cost of such inspections in accordance with Roseville Municipal Code section 18.20.070.

5. Securities.

a. SUBDIVIDER shall file with this Agreement two (2) improvement securities as set forth in Roseville Municipal Code Sections 18.20.150 and 18.20.160. Each security shall be in the amount of one hundred percent (100%) of the total estimated cost of the Work as determined by the City Engineer which amount is the sum of \$ \$1,416,703.81. One improvement security shall secure faithful performance of this Agreement as required by Section 66499.3(a) of the Government Code of the State of California (the "faithful performance" security). The second improvement security shall, as required by Section 66499.3(b) of the Government Code of the State of California, secure the obligations set forth in Title 15 (commencing with Section 3082) of Part 4 of Division 3 of the Civil Code of the State of California for payment to the contractor, his subcontractors and to persons renting equipment or furnishing labor or materials to them for the Work (the "payment security"). The improvement securities required under this paragraph shall also secure placement of monumentation and guarantee payment therefor as provided by California Government Code section 66496.

b. SUBDIVIDER shall also file with this Agreement a "guarantee and warranty security" in the amount of ten percent (10%) of the City Engineer's estimated cost of the Work as set forth in Section 18.20.170 of the Roseville Municipal Code to guarantee and warrant the Work for a period of one year following its completion and acceptance against any defective work or labor done, or defective materials furnished, as required by Section 66499(a)(5) of the Government Code of the State of California. The guarantee and warranty

security shall be released upon SUBDIVIDER's request following expiration of the warranty period and subject to the reasonable determination of the City Engineer that SUBDIVIDER's warranty obligations have been satisfied.

c. Any bonds submitted as security pursuant to this section shall be executed by a surety company authorized to transact a surety business in the State of California. All required securities shall be in a form approved by the City Attorney of CITY.

6. Successors and Assigns – Covenant Running with the Land. This Agreement shall inure to the benefit of, and be binding upon, the successors and assigns of the respective parties. It shall be recorded in the Office of the Recorder of Placer County concurrently with the final map of the Subdivision, and shall constitute a covenant running with the land and an equitable servitude upon the real property within the Subdivision.

7. Acceptance of Improvements Upon Completion. Upon satisfactory completion of the Work, in accordance with this Agreement, CITY agrees to accept the Work and its improvements and the offers of dedication described in paragraph 1, subject to the provisions of paragraphs 1 and 10 of this Agreement.

8. Notice Regarding Construction. SUBDIVIDER shall notify the City Engineer of CITY in writing three (3) days prior to the commencement of construction of the Work.

9. Subdivider's Obligation to Warn Public During Construction. Until final acceptance of the Work, SUBDIVIDER shall give good and adequate warning to the public of each and every dangerous condition which may exist in the Work, and will take all reasonable actions to protect the public from any such dangerous condition.

10. Warranty.

a. SUBDIVIDER guarantees and warrants the public improvements which constitute the Work required by this Agreement, and agrees to remedy any defects in the improvements or the Work arising from faulty or defective materials or construction of said improvements or the Work occurring within twelve (12) months after their acceptance by CITY. SUBDIVIDER agrees to permit inspection of all improvements in the Subdivision by

the City Engineer of CITY for such period, and to reimburse CITY for the cost of such inspections.

b. If, within the warranty period, the Work or improvement or any part of the Work done, furnished, installed, or constructed fails to fulfill any of the requirements of this Agreement or the improvement plans and specifications, SUBDIVIDER shall, without delay or cost to CITY, repair, replace or reconstruct any defective or otherwise unsatisfactory part or parts of the Work. CITY may, at its sole option, perform such repair or replacement itself if SUBDIVIDER has failed to commence such repair within twenty (20) days after CITY has mailed written notice of default to SUBDIVIDER and to SUBDIVIDER'S Surety. In such event, SUBDIVIDER agrees to pay the cost of such repair and replacement by CITY and CITY may, at its option, recover such cost as a lien against the Subdivision should the cost exceed the inspection fee deposited pursuant to paragraph 4 of this Agreement.

Should CITY determine that the public safety requires repairs or replacements to be made before SUBDIVIDER can be notified, CITY may, in its sole discretion, make the necessary repairs or replacement or perform the necessary work. SUBDIVIDER shall pay to CITY the cost of such repairs and CITY may at its option recover such cost as a lien against the Subdivision.

11. Indemnification. To the fullest extent allowed by law, SUBDIVIDER shall defend, indemnify, and save and hold harmless CITY, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of SUBDIVIDER 's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from CITY's sole or active negligence or willful misconduct. The parties intend that this provision shall be broadly construed.

SUBDIVIDER's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

12. Insurance. SUBDIVIDER agrees to continuously maintain, in full force and effect, at a minimum the following policies of insurance during the term of this Agreement.

COVERAGE

LIMITS OF LIABILITY

General Liability

\$2,000,000 per occurrence
\$4,000,000 aggregate
Personal Injury:

Automobile Liability

\$2,000,000 per occurrence
\$4,000,000 aggregate
\$1,000,000 combined single limit

Workers' Compensation

Statutory

a. Form. SUBDIVIDER shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

b. Additional Insureds. SUBDIVIDER shall also provide a separate endorsement form or section of the policy showing CITY, its officers, agents, employees and volunteers as additional insureds for each type of coverage, except for Workers' Compensation, for ongoing and completed operations. Such insurance shall specifically cover the contractual liability of SUBDIVIDER. The additional insured coverage under the SUBDIVIDER's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from CITY's insurance or self-insurance. In addition, the

additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.

c. Cancellation/Modification. SUBDIVIDER shall provide ten (10) days written notice to CITY prior to cancellation or modification of any insurance required by this Agreement.

d. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of CITY (if agreed to in a written contract) before CITY's own insurance shall be called upon to protect it as a named insured.

e. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or CITY. CITY reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.

f. Waiver of Subrogation. SUBDIVIDER hereby agrees to waive subrogation which any insurer of SUBDIVIDER may acquire from SUBDIVIDER by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of CITY,

its officers, agents, employees and volunteers for all work performed by SUBDIVIDER, its employees, agents and subcontractors.

g. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve SUBDIVIDER of liability in excess of such coverage, nor shall it preclude CITY from taking such other actions as are available to it under any other provisions of this Agreement or law.

13. Irrevocability of Security. The improvement securities furnished pursuant to paragraph 5 shall be irrevocable, shall not be limited as to time (except as to the one-year guarantee and warranty period specified in paragraph 10) and shall provide that they may be released, in whole or part, only upon the written approval of the City Engineer of CITY.

14. Attorney's Fees; Venue; Governing Law. Any action by any party to this Agreement, or any action concerning a security furnished pursuant to paragraph 5, shall be brought in the appropriate court of competent jurisdiction within the County of Placer, State of California, notwithstanding any other provision of law which may provide that such action may be brought in some other location. In the event it becomes necessary for either party to bring an action with respect to enforcement of the provisions of this Agreement, or the security therefor, the prevailing party in such action shall be awarded a reasonable attorney's fee, as may be determined by the Court. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

15. Release of Faithful Performance Security. Release of faithful performance security shall be in compliance with and pursuant to the procedures set forth in Government Code Section 66499.7. Upon the completion of all secured Work by SUBDIVIDER and written acceptance of that completed Work by CITY, and the furnishing of the warranty security as required by paragraph 5(b), the City Engineer shall authorize the release of the remaining faithful performance security.

16. Release of Payment Security. Thirty-five (35) days after a notice of completion is recorded with respect to the Work, the City Engineer of CITY may authorize the release of

the payment security, in the event that no claims have been filed against said security. In the event that claims or actions are filed against the security, the City Engineer may release so much of such security as is in excess of the total of the claims made against it, plus a reasonable amount of costs and attorney's fees.

17. Automatic Termination Upon Completion and Sale of Residential Unit. This Agreement and any lien pursuant hereto shall automatically be terminated, without any further action by either party or need to record any additional document, with respect to any single-family residential lot within a parcel designated by the Specific Plan for residential use, upon completion of construction and issuance by the City of a final inspection for a dwelling unit upon such residential lot and conveyance of such improved residential lot to a bona-fide good faith purchaser thereof. In connection with its issuance of a final inspection for such improved lot, City shall confirm that: (i) all improvements which are required to serve the lot, as determined by City, have been accepted by City; (ii) the lot is included within the Maintenance CFD required by Section 3.20 or other financing mechanism acceptable to the City, to the extent required hereby; and (iii) if and to the extent applicable to such lot, an affordable purchase or rental housing agreement has been recorded on the lot. Termination of this Agreement for any such residential lot as provided for in this section shall not in any way be construed to terminate or modify any assessment district or Mello-Roos Community Facilities District lien affecting such lot at the time of termination.

18. Failure of Performance. In addition to any other remedies provided in this Agreement or by law, in the event SUBDIVIDER fails to perform one or more of the covenants or conditions of this Agreement, CITY shall have recourse to the security given to guarantee the performance of such acts. CITY may do, or cause to be done, those acts required of SUBDIVIDER, and shall have recourse against so much of the security as is necessary to discharge the responsibility of SUBDIVIDER. In the event CITY seeks recourse against a security, CITY shall also have recourse against SUBDIVIDER for any and all

amounts necessary to complete the obligations of SUBDIVIDER in the event the security is insufficient to pay such amounts. All administrative costs, including reasonable attorney's fees pursuant to Section 66499.4 of the Government Code, incurred by CITY, in addition to the costs of the improvements, shall be a proper charge against the security and SUBDIVIDER.

19. Integration. This Agreement is an integrated agreement. It supersedes all prior negotiations, representations, or agreements, either written or oral.

20. Modification. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

21. Notices. Any notices sent to the parties relating to this Agreement shall be sent by first class mail, postage prepaid or delivered to the following addresses:

Marc Stout, City Engineer
City of Roseville
311 Vernon Street
Roseville, CA 95678

Lennar Homes of California
1420 Rocky Ridge, #320
Roseville, CA 95661
Larry Gualco

Either party may amend its address by giving written notice to the other.

22. Severability. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attestation by its City Clerk under authority of Resolution No. ____, adopted by the Council of the City of Roseville on the ____ day of _____, 20__, and SUBDIVIDER has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation,

BY: _____
ROB JENSEN
City Manager

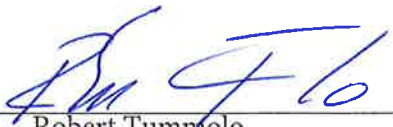
LENNAR HOMES OF CALIFORNIA,
a California Corporation

BY: 
Larry Gualco
its: VP

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

and

BY:  _____
Robert Tummolo
its: _____
VP

APPROVED AS TO FORM:

BY:  _____
ROBERT R. SCHMITT
City Attorney

(NOTARIZATION REQUIRED)

APPROVED AS TO SUFFICIENCY:

BY:  _____
MARC STOUT
City Engineer

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Placer } ss.

On February 18, 2015 before me, Monique Reynolds, Notary Public,
personally appeared Larry Gualco and Robert Tummolo

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~/are subscribed to the within instrument and acknowledged to me that ~~he~~/she/they executed the same in ~~his~~/her/their authorized capacity(ies), and that by his/~~her~~/their signatures(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Monique Reynolds
Signature

My Commission Expires Nov. 24, 2016



OPTIONAL INFORMATION

Date of Document

Type or Title of Document

Number of Pages in Document

Subdivision Agreement Diamond Creek 31C



COUNCIL COMMUNICATION

CC #: 7719

File #: 0400-04-09-1

Title: Westpark Phase 4 Village W-18A - Final Map and Subdivision Agreement

Contact: Kerry Andrews 916-774-5346 kandrews@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.12.

RECOMMENDATION TO COUNCIL

It is recommended that the City Council take the following actions:

1. Approve the final map on file with the City Clerk and accept on behalf of the public, subject to improvement, the rights of way and the easements offered thereon, and approve the abandonment of the right of way and easements listed thereon.
2. Adopt the attached resolution approving the Subdivision Agreement and authorize the City Manager to execute the agreement on behalf of the City.

BACKGROUND

The Development Services - Engineering section has reviewed the subject final map and found that it is in compliance with the Subdivision Map Act and the City's Subdivision Ordinance and all conditions of the tentative map have been met.

This map will be creating 86 residential lots and one lettered lot.

FISCAL IMPACT

No fiscal impact to the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

This project is consistent with the West Roseville Specific Plan EIR (SCH# 2002082057) certified

by the City of Roseville on February 4, 2004, and the EIR Addendum approved on November 18, 2010.

Respectfully Submitted,

Kerry Andrews, Assistant Engineer

Kevin Payne, Development Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Final Map

Resolution No. 16-213

Subdivision Agreement

OWNER'S STATEMENT

THE UNDERSIGNED HEREBY CERTIFY THAT THEY ARE THE ONLY PERSONS HAVING ANY RECORD TITLE INTEREST IN THE REAL PROPERTY INCLUDED WITHIN THE BOUNDARIES OF THIS SUBDIVISION MAP, "FINAL MAP OF WESTPARK-PHASE 4, VILLAGE W-18A" AS SHOWN UPON THIS MAP, AND DO HEREBY CONSENT TO THE PREPARATION AND RECORDING OF SAID MAP AND THAT THE CONSENT OF NO OTHER PERSON IS NECESSARY. WE HEREBY OFFER FOR DEDICATION AND DO HEREBY DEDICATE FOR SPECIFIC PURPOSES THE FOLLOWING:

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED AS EASEMENT FOR PUBLIC PURPOSES:

- A. PUBLIC EASEMENTS FOR INSTALLATION AND MAINTENANCE OF, BUT NOT LIMITED TO, ELECTROLIERS, TRAFFIC CONTROL APPURTENANCES, WATER, SEWER, DRAINAGE AND GAS PIPES, AND FOR UNDERGROUND WIRES AND CONDUITS FOR ELECTRICAL, TELEPHONE AND TELEVISION SERVICES, TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS THOSE STRIPS OF LAND TWELVE AND ONE-HALF (12.5) FEET IN WIDTH AND CONTIGUOUS TO THE WAYS SHOWN HEREON AND DESIGNATED "12.5' PUE" AND ALL OF LOT A (PUBLIC UTILITY EASEMENT).

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED IN FEE FOR PUBLIC PURPOSES:

- B. AS PUBLIC RIGHT-OF-WAY, LOT A AND THE STREETS SHOWN HEREON AS MCCOVEY WAY, MADDUX WAY, GARLAND COURT, GARLAND WAY AND ECKERSLEY WAY.

LENNAR HOMES OF CALIFORNIA, INC.
A CALIFORNIA CORPORATION

BY: _____
LARRY GUALCO, VICE PRESIDENT

BY: _____
ROBERT TUMMOLO, VICE PRESIDENT

NOTARY ACKNOWLEDGMENT

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF _____)
COUNTY OF _____)SS

ON _____, BEFORE ME,
_____, A NOTARY PUBLIC, PERSONALLY APPEARED

_____, WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT.

WITNESS MY HAND:

SIGNATURE: _____

NOTARY PRINTED NAME: _____

MY PRINCIPAL PLACE OF BUSINESS IS _____ COUNTY.

MY NOTARY COMMISSION NO. _____

MY COMMISSION EXPIRES ON: _____

FINAL MAP OF
WESTPARK - PHASE 4, VILLAGE W-18A

SUBDIVISION NO. 2013PL-008
BEING ALL OF LOT 18 OF WESTPARK-PHASE 4 LARGE LOT SUBDIVISION, SUBDIVISION NO. 000176, BOOK CC OF MAPS, PAGE 53, O.R.P.C. LYING WITHIN A PORTION OF SECTION 23 TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN CITY OF ROSEVILLE, COUNTY OF PLACER, CALIFORNIA MAY, 2016
MURRAY SMITH & ASSOCIATES
SHEET 1 OF 6

TRUSTEE'S STATEMENT

PLACER TITLE COMPANY, A CALIFORNIA CORPORATION, AS TRUSTEE UNDER DEED OF TRUST RECORDED SEPTEMBER 4, 2013, AS INSTRUMENT NO. 2013-0087415, OFFICIAL RECORDS OF PLACER COUNTY, AGAINST THE LAND SHOWN HEREON CONSENTS TO THE MAKING AND FILING OF THIS MAP.

BY: _____ TITLE: _____

BY: _____ TITLE: _____

NOTARY ACKNOWLEDGMENT

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF _____)
COUNTY OF _____)SS

ON _____, BEFORE ME,
_____, A NOTARY PUBLIC, PERSONALLY APPEARED

_____, WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT.

WITNESS MY HAND:

SIGNATURE: _____

NOTARY PRINTED NAME: _____

MY PRINCIPAL PLACE OF BUSINESS IS _____ COUNTY.

MY NOTARY COMMISSION NO. _____

MY COMMISSION EXPIRES ON: _____

SURVEYOR'S STATEMENT

I, MICHAEL S. COSTA, STATE THAT THIS FINAL MAP OF WESTPARK-PHASE 4, VILLAGE W-18A WAS PREPARED BY ME OR UNDER MY DIRECTION AND IS BASED UPON A FIELD SURVEY IN CONFORMANCE WITH THE REQUIREMENTS OF THE SUBDIVISION MAP ACT AND LOCAL ORDINANCE AT THE REQUEST OF LENNAR HOMES OF CALIFORNIA, A CALIFORNIA CORPORATION IN APRIL 2015. I HEREBY STATE THAT THIS FINAL MAP SUBSTANTIALLY CONFORMS TO THE CONDITIONALLY APPROVED TENTATIVE MAP; THAT ALL THE MONUMENTS SHOWN HEREON ARE OF THE CHARACTER AND WILL OCCUPY THE POSITIONS AS INDICATED, OR THAT THEY WILL BE SET BY DECEMBER 31, 2016 AND THAT THE MONUMENTS ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED.

MICHAEL S. COSTA L.S. 6600
EXPIRES 12-31-17

DATED _____



CITY ENGINEER'S STATEMENT

I HEREBY STATE THAT I HAVE EXAMINED THIS FINAL MAP OF WESTPARK-PHASE 4, VILLAGE W-18A AND FIND IT TO BE SUBSTANTIALLY THE SAME AS THE TENTATIVE MAP ON FILE AND ANY APPROVED ALTERATIONS THEREOF; THAT ALL PROVISIONS OF THE SUBDIVISION MAP ACT AND ALL APPLICABLE CITY ORDINANCES HAVE BEEN COMPLIED WITH.

MARC STOUT
CITY ENGINEER, CITY OF ROSEVILLE
R.C.E. #69879 EXPIRATION DATE 09/30/2016

DATED _____

I HEREBY STATE THAT I AM SATISFIED THAT THIS FINAL MAP OF WESTPARK-PHASE 4, VILLAGE W-18A IS TECHNICALLY CORRECT.

FOR MARC STOUT, CITY ENGINEER, CITY OF ROSEVILLE

TIMOTHY G. BLAIR, P.L.S. 8278
EXPIRATION DATE: 12/31/2017

DATED _____



CITY CLERK'S STATEMENT

I HEREBY STATE THAT THE CITY COUNCIL, CITY OF ROSEVILLE HAS APPROVED THIS FINAL MAP OF WESTPARK-PHASE 4, VILLAGE W-18A AND HAS ACCEPTED ON BEHALF OF THE PUBLIC, SUBJECT TO IMPROVEMENT, THE RIGHTS-OF-WAY AND EASEMENTS OFFERED HEREON FOR DEDICATION, AND HAS APPROVED THE ABANDONMENT OF THE IRREVOCABLE OFFER OF DEDICATION/RIGHT-OF-WAY AND PUBLIC UTILITY EASEMENT AS DESCRIBED WITHIN NOTE 6 ON SHEET 2.

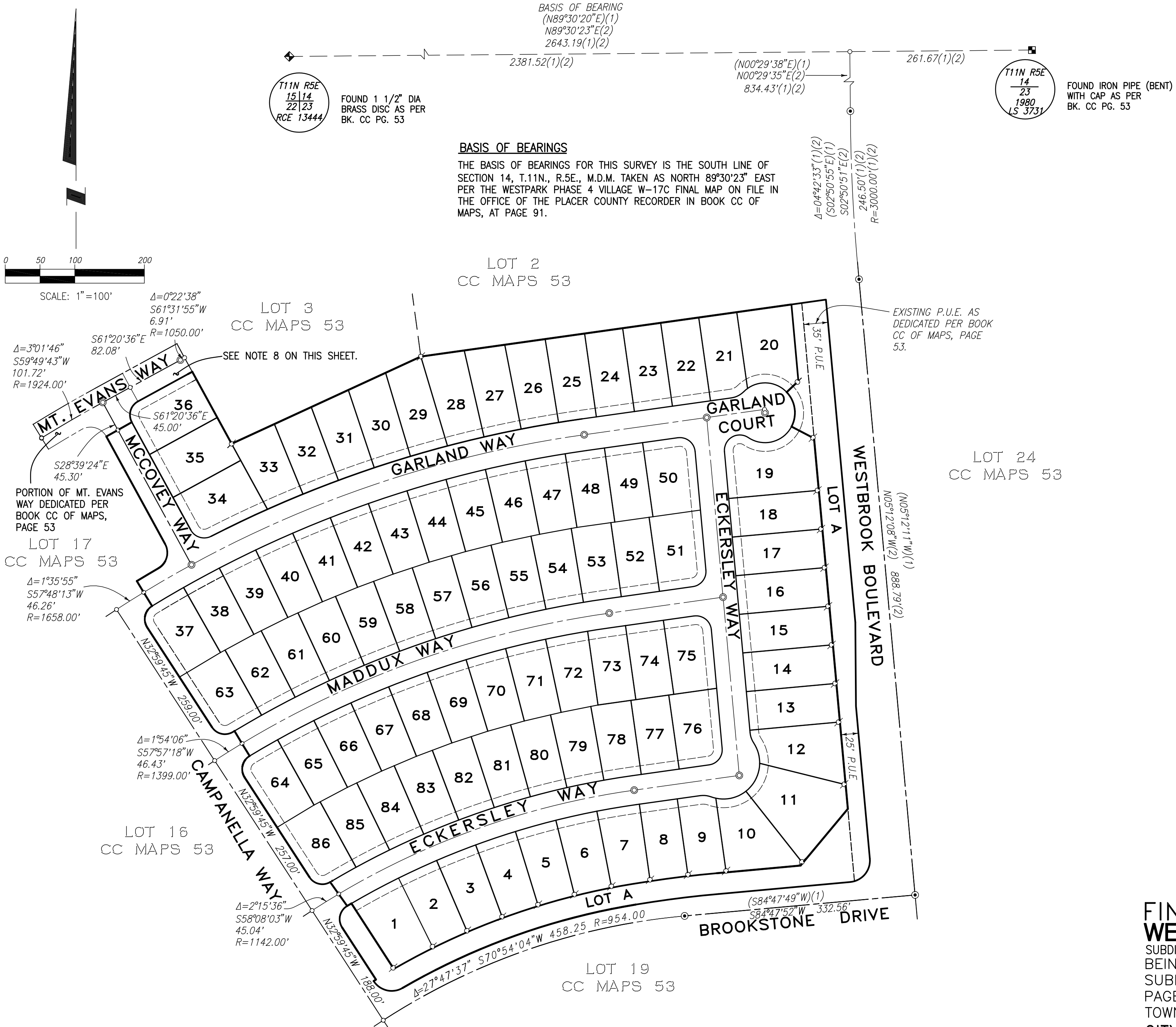
CITY CLERK, CITY OF ROSEVILLE BY: _____
DATED _____ ASSISTANT CITY CLERK
DATED _____

RECORDER'S STATEMENT

FILED THIS _____ DAY OF _____, 20____, AT _____ P.____. IN BOOK _____ OF MAPS, AT PAGE _____, AT THE REQUEST OF MSA ENGINEERING, INC.

FEE: _____ DOCUMENT NO.: _____

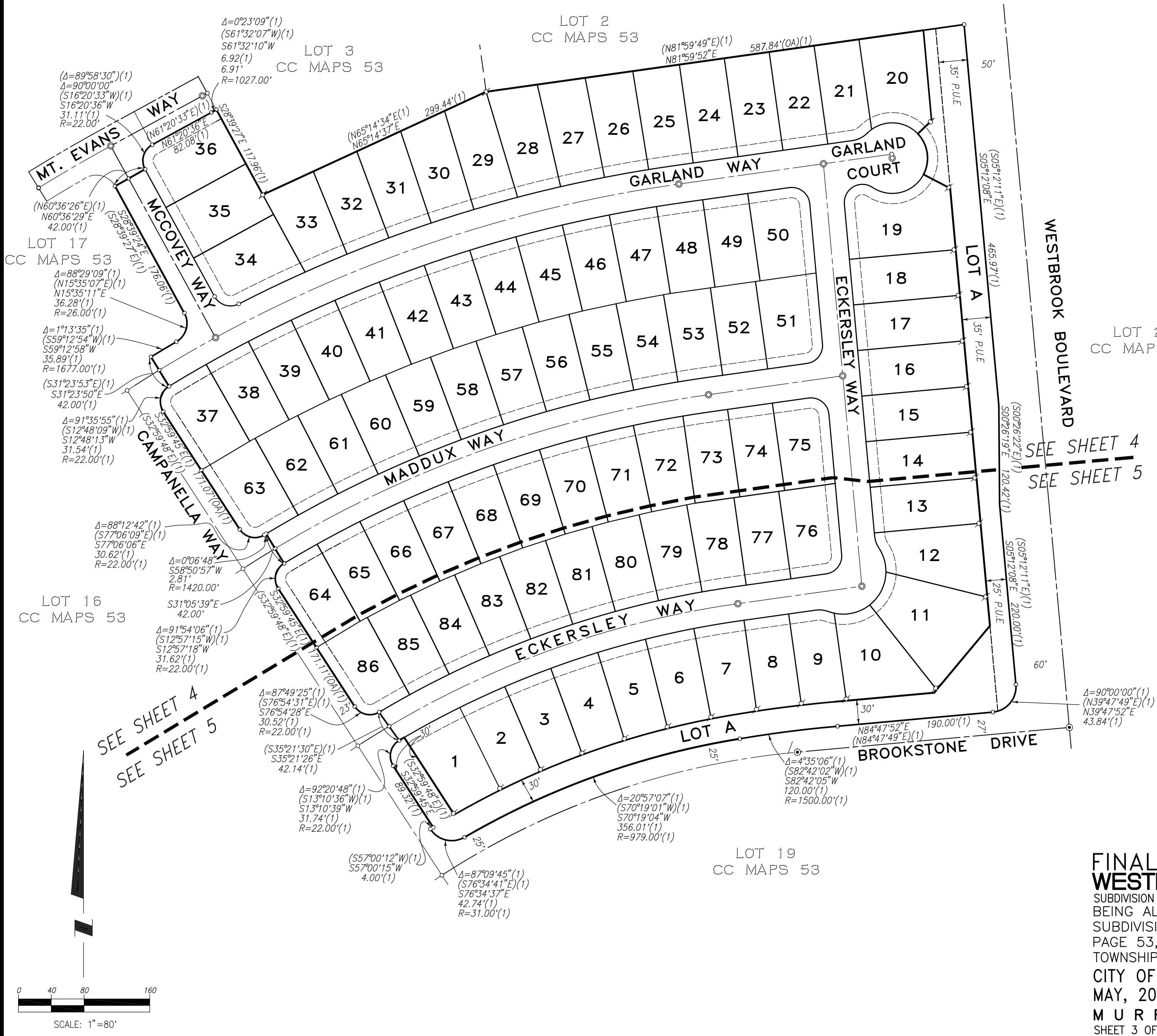
BY: _____ BY: _____
JIM McCAULEY
COUNTY RECORDER
COUNTY OF PLACER



- LEGEND**
- ... DIMENSION POINT
 - ... SET 5/8" REBAR WITH CAP STAMPED "LS 6600"
 - ... SET MONUMENT WELL PER CITY STANDARD
 - ... COPPERWELD SURVEY MONUMENT WITH CENTER PUNCH IN MONUMNET WELL STAMPED "LS 7944" (TO BE SET PER CC MAPS 53)
 - ... FOUND MONUMENTS AS NOTED
 - P.U.E. ... PUBLIC UTILITY EASEMENT
 - P.E. ... PEDESTRIAN EASEMENT
 - L.E. ... LANDSCAPE EASEMENT
 - (R) ... RADIAL BEARING
 - ... SET 5/8" REBAR WITH CAP STAMPED "LS 6600" AT REAR CORNERS AND ANGLE POINT ON SIDE LOT LINES OR AT 2.00 FOOT PROJECTION ALONG LOT LINES ADJACENT TO SOUNDWALLS AS NOTED
 - ... SET 1/4" DEEP BY 4" LONG SCORE MARK ON THE LOT LINE PROJECTION IN THE BACK OF WALK
 - (1) ... RECORD PER BOOK CC OF MAPS, PAGE 53
 - (2) ... RECORD PER BOOK CC OF MAPS, PAGE 91

- NOTES**
- ALL CURVES DIMENSIONED WITH RADIUS, DELTA, CHORD BEARING AND CHORD LENGTH. CHORD LENGTHS MAY CONTAIN SOME ROUNDING ERRORS.
 - ALL DISTANCES SHOWN HEREON ARE EXPRESSED IN FEET AND DECIMALS THEREOF.
 - DUE TO ROUNDING, THE SUM OF THE INDIVIDUAL DIMENSION MAY NOT EQUAL THE OVERALL DIMENSION.
 - TOTAL AREA FOR THIS "WESTPARK-PHASE 4, VILLAGE W-18A" SUBDIVISION IS 17.60± AC. GROSS, CONSISTING OF 86 RESIDENTIAL DEVELOPMENT LOTS, AND 1 LETTERED LOTS.
 - A GEOTECHNICAL ENGINEERING STUDY UPDATE ENTITLED "WESTPARK PHASE 4 RESIDENTIAL DEVELOPMENT" WAS PREPARED BY ENGeo, PROJECT NO. 10232.000.000, DATED MAY 22 2013. A COPY OF THIS REPORT IS AVAILABLE FOR PUBLIC INSPECTION AT THE CITY OF ROSEVILLE ENGINEERING DEPARTMENT, FILE NO. 308-798 AND MPE REPORT NO. 14-0225 DATED SEPTEMBER 30, 2014 BY MID PACIFIC ENGINEERING, INC., CITY OF ROSEVILLE ENGINEERING DEPARTMENT FILE NO. 308-822.
 - PURSUANT TO SECTION 66434(g) OF THE GOVERNMENT CODE, THE FOLLOWING PUBLIC IRREVOCABLE OFFERS OF DEDICATION/RIGHTS-OF-WAY (IOD/ROW) ARE HEREBY ABANDONED:
 - (A) THOSE PORTIONS OF THE IOD/ROW DEPICTED ON THE MAP ENTITLED "WESTPARK-PHASE 4, LARGE LOT SUBDIVISION", FILED IN BOOK "CC" OF MAPS, AT PAGE 53, O.R.P.C. WHICH DO NOT LIE WITHIN THE RIGHT-OF-WAY AS DELINEATED ON THIS FINAL MAP.
 - PER INSTRUMENT NO. 2013-0087430 THERE IS A NON-EXCLUSIVE EASEMENT FOR INGRESS AND EGRESS AND INCIDENTAL PURPOSES ON, OVER AND ACROSS ALL THAT PORTION SHOWN AND DESIGNATED WESTBROOK BLVD. AND BROOKSTONE DRIVE WHICH WILL TERMINATE AT SUCH TIME THE CITY OF ROSEVILLE ACCEPTS TITLE TO THE IOD/ROW SET FORTH IN BOOK CC MAPS AT PAGE 53.
 - THIS PORTION OF MT. EVANS WAY IS TO BE DEDICATED LATER BY SEPARATE INSTRUMENT.

**FINAL MAP OF
WESTPARK - PHASE 4, VILLAGE W-18A**
SUBDIVISION NO. 2013PL-008
BEING ALL OF LOT 18 OF WESTPARK-PHASE 4 LARGE LOT
SUBDIVISION, SUBDIVISION NO. 000176, BOOK CC OF MAPS,
PAGE 53, O.R.P.C. LYING WITHIN A PORTION OF SECTION 23
TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN
CITY OF ROSEVILLE, COUNTY OF PLACER, CALIFORNIA
MAY, 2016
MURRAY SMITH & ASSOCIATES
SHEET 2 OF 6



SEE SHEET 2 FOR LEGEND AND NOTES

SEE SHEET 4
SEE SHEET 5

**FINAL MAP OF
WESTPARK - PHASE 4, VILLAGE W-18A**

SUBDIVISION NO. 2013PL-008
BEING ALL OF LOT 18 OF WESTPARK-PHASE 4 LARGE LOT
SUBDIVISION, SUBDIVISION NO. 000176, BOOK CC OF MAPS,
PAGE 53, O.R.P.C. LYING WITHIN A PORTION OF SECTION 23
TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN
CITY OF ROSEVILLE, COUNTY OF PLACER, CALIFORNIA
MAY, 2016

MURRAY SMITH & ASSOCIATES
SHEET 3 OF 6

SEE SHEET 2 FOR LEGEND AND NOTES

PORTION OF MT. EVANS
WAY DEDICATED PER
BOOK CC OF MAPS,
PAGE 53

SEE NOTE 8 ON SHEET 2.

LOT 3
CC MAPS 53

LOT 2
CC MAPS 53

LOT 17
CC MAPS 53

LOT 16
CC MAPS 53

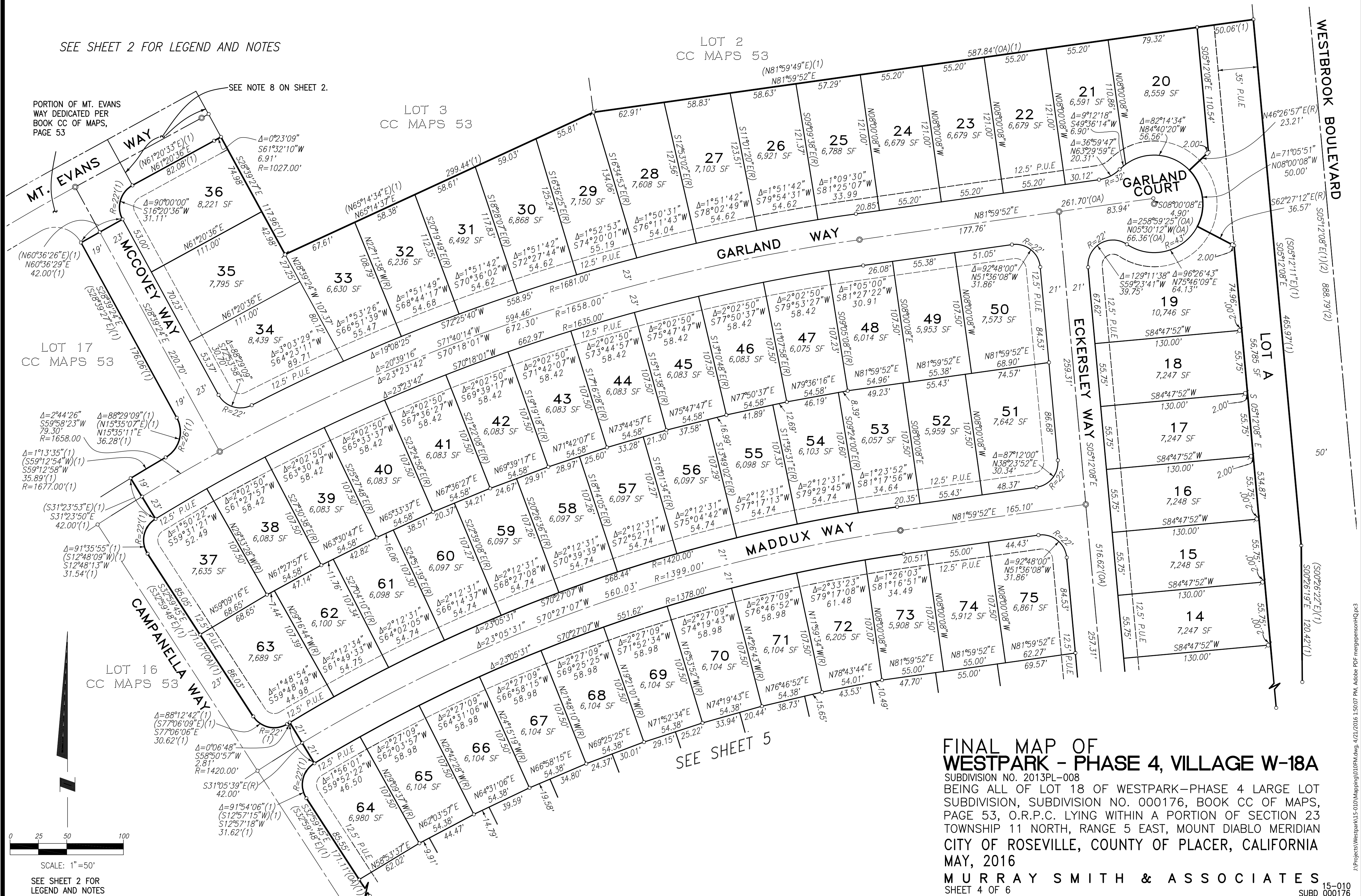
SEE SHEET 5

FINAL MAP OF WESTPARK - PHASE 4, VILLAGE W-18A

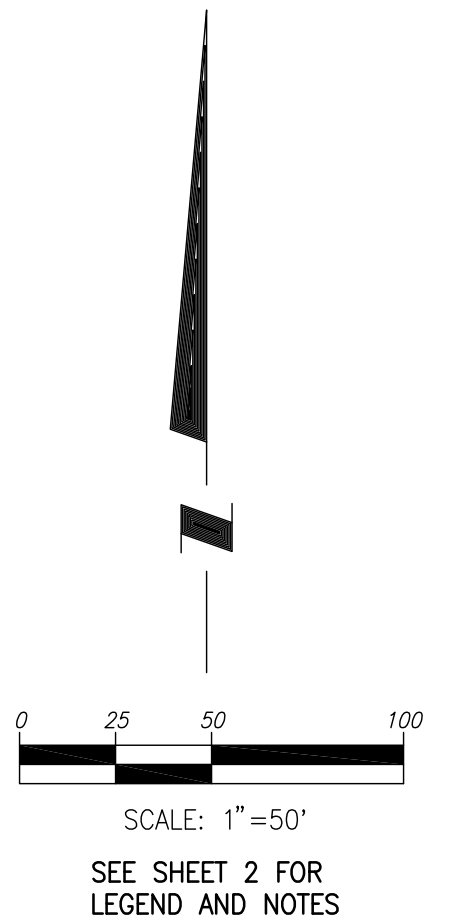
SUBDIVISION NO. 2013PL-008
BEING ALL OF LOT 18 OF WESTPARK-PHASE 4 LARGE LOT
SUBDIVISION, SUBDIVISION NO. 000176, BOOK CC OF MAPS,
PAGE 53, O.R.P.C. LYING WITHIN A PORTION OF SECTION 23
TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN
CITY OF ROSEVILLE, COUNTY OF PLACER, CALIFORNIA
MAY, 2016

MURRAY SMITH & ASSOCIATES
SHEET 4 OF 6

15-010
SUBD 000176



SEE SHEET 2 FOR LEGEND AND NOTES

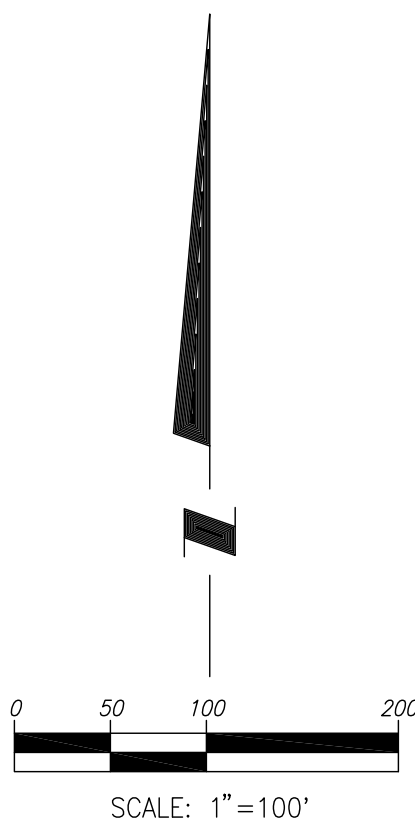


LOT 22
CC MAPS 53

FINAL MAP OF WESTPARK - PHASE 4, VILLAGE W-18A

SUBDIVISION NO. 2013PL-008
BEING ALL OF LOT 18 OF WESTPARK-PHASE 4 LARGE LOT
SUBDIVISION, SUBDIVISION NO. 000176, BOOK CC OF MAPS,
PAGE 53, O.R.P.C. LYING WITHIN A PORTION OF SECTION 23
TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN
CITY OF ROSEVILLE, COUNTY OF PLACER, CALIFORNIA
MAY, 2016

MURRAY SMITH & ASSOCIATES
SHEET 5 OF 6



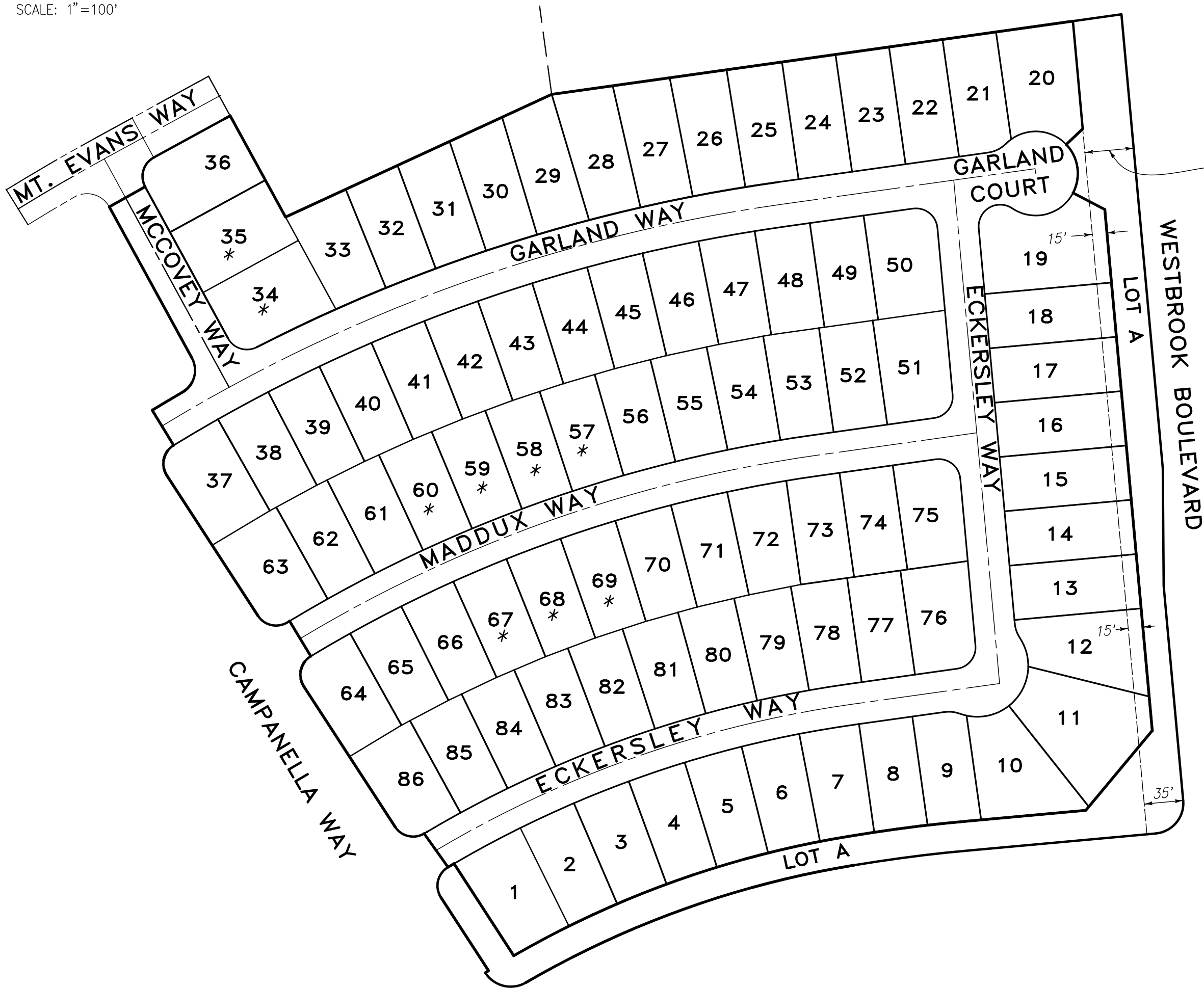
ADDITIONAL INFORMATION FOR INFORMATIONAL PURPOSE ONLY
PURSUANT TO SECTION 66434.2 OF THE GOVERNMENT CODE

EACH RESIDENTIAL DWELLING CONSTRUCTED ON ANY OF THE LOTS INDICATED SHALL BE FITTED WITH A SEWER BACKFLOW PREVENTER VALVE AS APPROVED BY THE CITY OF ROSEVILLE. IT SHALL BE THE RESPONSIBILITY OF THE OWNER OF SAID DWELLING TO MAINTAIN THE VALVE AND PREVENT DAMAGE FROM OCCURRING THERETO. THE CITY OF ROSEVILLE SHALL NOT BE RESPONSIBLE FOR THE MAINTENANCE OR PERFORMANCE OF THE VALVE, AND THE CITY OF ROSEVILLE SHALL NOT BE LIABLE FOR ANY DAMAGE OCCURRING TO ANY SUCH DWELLING OR ITS CONTENTS DUE TO FAILURE OF THE VALVE FOR ANY REASON WHATSOEVER.

LEGEND

* DENOTES SEWER BACKFLOW PREVENTER VALVE REQUIRED ON SANITARY SEWER CONNECTION BY THE CITY OF ROSEVILLE WITH THE BUILDING PERMIT AND MAINTAINED THEREAFTER BY THE OWNER.

50' SEPERATION/BUFFER
FROM BACK OF CURB ON
WESTBROOK BOULEVARD
PER INSTRUMENT NO.
2004-0069488.



FINAL MAP OF
WESTPARK - PHASE 4, VILLAGE W-18A
SUBDIVISION NO. 2013PL-008
BEING ALL OF LOT 18 OF WESTPARK-PHASE 4 LARGE LOT
SUBDIVISION, SUBDIVISION NO. 000176, BOOK CC OF MAPS,
PAGE 53, O.R.P.C. LYING WITHIN A PORTION OF SECTION 23
TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN
CITY OF ROSEVILLE, COUNTY OF PLACER, CALIFORNIA
MAY, 2016

MURRAY SMITH & ASSOCIATES
SHEET 6 OF 6

RESOLUTION NO. 16-213

APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND LENNAR HOMES OF CALIFORNIA, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a Subdivision Agreement for Westpark Phase 4 Village W-18A Subdivision, by and between the City of Roseville and Lennar Homes of California, Inc., has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

**RECORDING REQUESTED BY AND WHEN
RECORDED, PLEASE RETURN TO:**

**City Clerk
CITY OF ROSEVILLE
311 Vernon Street
Roseville, CA 95678**

SUBDIVISION AGREEMENT

Westpark Ph 4 Village W-18A SUBDIVISION

THIS AGREEMENT, made and entered into this ____ day of _____,
20__, by and between the CITY OF ROSEVILLE, a municipal corporation ("CITY"), and
Lennar Homes of California, Inc,
a California Corporation, ("SUBDIVIDER"),

W I T N E S S E T H:

WHEREAS, SUBDIVIDER is possessed of a tract of land lying in the City of
Roseville, County of Placer, known as "Westpark Phase 4 Village W-18A Subdivision"
(Subdivision no. 000176; "the Subdivision"); and

WHEREAS, a tentative map of the Subdivision was approved by the City Planning
Commission on the 27 day of July, 2013, and the final map of the Subdivision
has been approved subject to the execution of this Agreement; and

WHEREAS, the final map has been filed on the ____ day of _____, 20__ in
Book _____ of Parcel/Subdivision Maps at Page _____ in the Official Records, Placer
County Recorder, file no. _____; and

WHEREAS, Section 18.20.110 of the Roseville Municipal Code, regulating the
subdivision of land in the City, requires that SUBDIVIDER shall execute and file an
agreement between itself and CITY relating to the improvements to be made in a subdivision,
prior to the approval by the City Council of the final map.

NOW, THEREFORE, the parties agree as follows:

1. Rights-of-Way. Except as otherwise provided by this Agreement, CITY rejects all lands, right-of-ways, and easements offered for dedication on the final map of the Subdivision. All such offers shall, however, remain open, and shall constitute irrevocable offers of dedication in accordance with Government Code Section 66477.2. All such offers may be accepted by CITY in its sole discretion at any later date without further notice to SUBDIVIDER as provided by law.

By way of explanation only, it is the current intention of CITY to accept all or part of such irrevocable offers to dedicate upon acceptance of the improvements called for in this Agreement.

2. Improvements - Time Limit. SUBDIVIDER agrees to cause all improvements to be made and constructed in the Subdivision to fully comply with the requirements of the Roseville Municipal Code and the Subdivision Map Act of the State of California according to the improvement plans for the Subdivision prepared by Murray Smith & Assoc, dated October 2015 and approved by the City Engineer and including any changes or alterations to such improvements required by the City Engineer, and to install, on or before December 31, 2016, such survey monuments as may be required by the City Engineer ("the Work"). SUBDIVIDER agrees to pay for any materials, provisions or other supplies used in, upon, for or about the performance of the Work, or for any work or labor of any kind done in connection with the Work, or for any amounts due under the Unemployment Insurance Act with respect to such work or labor.

SUBDIVIDER agrees to complete all of the Work on or before twenty-four (24) months from the date of this Agreement. If in the opinion of the City Engineer of CITY, an extension of this Agreement is not detrimental to the public welfare, he or she is, in his or her sole discretion, hereby authorized to extend the time within which the Work shall be completed for a period not to exceed twelve (12) months. Upon a written application made by SUBDIVIDER, further extensions, not to exceed an additional six (6) months, shall be

reviewed and approved at the discretion of the City Engineer of CITY. Any such application extension request by SUBDIVIDER shall fully state the grounds and facts relied upon for the granting of additional extensions.

In the event that SUBDIVIDER shall fail to complete the Work within the time provided by this Agreement, CITY may, in its sole discretion and in addition to any other remedy provided in this Agreement or by law, enter upon the Subdivision and complete the Work and recover the full cost and expense of such construction from SUBDIVIDER, SUBDIVIDER's successors and assigns, or from the then owner of the Subdivision, and/or place a lien upon the Subdivision for such cost and expense.

If at anytime prior to the expiration of the time provided by this Agreement the City Engineer of CITY determines that a safety hazard exists within an existing public right-of-way, the City Engineer shall give written notice to SUBDIVIDER to eliminate the safety hazard. If SUBDIVIDER fails to eliminate the safety hazard within five (5) calendar days of the written notice, CITY may, in its sole discretion and in addition to any other remedy provided in this Agreement or by law, eliminate the safety hazard and recover the full cost and expense of such work from SUBDIVIDER, SUBDIVIDER's successors and assigns, or from the then owner of the Subdivision, and/or place a lien upon the Subdivision for such cost and expense.

3. Acquisition and Dedication of Easements or Rights-of-Ways. If any of the Work is to be constructed or installed on land not within the Subdivision or already existing public right-of-way, no construction or installation shall be commenced before:

a. The irrevocable offer of dedication or conveyance to CITY of appropriate right-of-ways, easements or other interest in real property, and appropriate authorization from the property owner to allow construction or installation of the improvements or work; or

b. The issuance of an order of possession by a court of competent jurisdiction pursuant to the State Eminent Domain Law. SUBDIVIDER shall comply in all respects with any such order of possession.

Nothing in this Section 3 shall be construed as authorizing or granting an extension of time to SUBDIVIDER for completion of the Work.

4. Inspection Fees and Costs. The City Engineer shall inspect all of the Work improvements made in the Subdivision to see that they comply with CITY's subdivision regulations and specifications. SUBDIVIDER shall deposit the estimated inspection fee and reimburse CITY for the cost of such inspections in accordance with Roseville Municipal Code section 18.20.070.

5. Securities.

a. SUBDIVIDER shall file with this Agreement two (2) improvement securities as set forth in Roseville Municipal Code Sections 18.20.150 and 18.20.160. Each security shall be in the amount of one hundred percent (100%) of the total estimated cost of the Work as determined by the City Engineer which amount is the sum of \$ \$1,710,947.25 . One improvement security shall secure faithful performance of this Agreement as required by Section 66499.3(a) of the Government Code of the State of California (the "faithful performance" security). The second improvement security shall, as required by Section 66499.3(b) of the Government Code of the State of California, secure the obligations set forth in Title 15 (commencing with Section 3082) of Part 4 of Division 3 of the Civil Code of the State of California for payment to the contractor, his subcontractors and to persons renting equipment or furnishing labor or materials to them for the Work (the "payment security"). The improvement securities required under this paragraph shall also secure placement of monumentation and guarantee payment therefor as provided by California Government Code section 66496.

b. SUBDIVIDER shall also file with this Agreement a "guarantee and warranty security" in the amount of ten percent (10%) of the City Engineer's estimated cost of the Work as set forth in Section 18.20.170 of the Roseville Municipal Code to guarantee and warrant the Work for a period of one year following its completion and acceptance against any defective work or labor done, or defective materials furnished, as required by Section 66499(a)(5) of the Government Code of the State of California. The guarantee and warranty

security shall be released upon SUBDIVIDER's request following expiration of the warranty period and subject to the reasonable determination of the City Engineer that SUBDIVIDER's warranty obligations have been satisfied.

c. Any bonds submitted as security pursuant to this section shall be executed by a surety company authorized to transact a surety business in the State of California. All required securities shall be in a form approved by the City Attorney of CITY.

6. Successors and Assigns – Covenant Running with the Land. This Agreement shall inure to the benefit of, and be binding upon, the successors and assigns of the respective parties. It shall be recorded in the Office of the Recorder of Placer County concurrently with the final map of the Subdivision, and shall constitute a covenant running with the land and an equitable servitude upon the real property within the Subdivision.

7. Acceptance of Improvements Upon Completion. Upon satisfactory completion of the Work, in accordance with this Agreement, CITY agrees to accept the Work and its improvements and the offers of dedication described in paragraph 1, subject to the provisions of paragraphs 1 and 10 of this Agreement.

8. Notice Regarding Construction. SUBDIVIDER shall notify the City Engineer of CITY in writing three (3) days prior to the commencement of construction of the Work.

9. Subdivider's Obligation to Warn Public During Construction. Until final acceptance of the Work, SUBDIVIDER shall give good and adequate warning to the public of each and every dangerous condition which may exist in the Work, and will take all reasonable actions to protect the public from any such dangerous condition.

10. Warranty.

a. SUBDIVIDER guarantees and warrants the public improvements which constitute the Work required by this Agreement, and agrees to remedy any defects in the improvements or the Work arising from faulty or defective materials or construction of said improvements or the Work occurring within twelve (12) months after their acceptance by CITY. SUBDIVIDER agrees to permit inspection of all improvements in the Subdivision by

the City Engineer of CITY for such period, and to reimburse CITY for the cost of such inspections.

b. If, within the warranty period, the Work or improvement or any part of the Work done, furnished, installed, or constructed fails to fulfill any of the requirements of this Agreement or the improvement plans and specifications, SUBDIVIDER shall, without delay or cost to CITY, repair, replace or reconstruct any defective or otherwise unsatisfactory part or parts of the Work. CITY may, at its sole option, perform such repair or replacement itself if SUBDIVIDER has failed to commence such repair within twenty (20) days after CITY has mailed written notice of default to SUBDIVIDER and to SUBDIVIDER'S Surety. In such event, SUBDIVIDER agrees to pay the cost of such repair and replacement by CITY and CITY may, at its option, recover such cost as a lien against the Subdivision should the cost exceed the inspection fee deposited pursuant to paragraph 4 of this Agreement.

Should CITY determine that the public safety requires repairs or replacements to be made before SUBDIVIDER can be notified, CITY may, in its sole discretion, make the necessary repairs or replacement or perform the necessary work. SUBDIVIDER shall pay to CITY the cost of such repairs and CITY may at its option recover such cost as a lien against the Subdivision.

11. Indemnification. To the fullest extent allowed by law, SUBDIVIDER shall defend, indemnify, and save and hold harmless CITY, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of SUBDIVIDER 's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from CITY's sole or active negligence or willful misconduct. The parties intend that this provision shall be broadly construed.

SUBDIVIDER's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

12. Insurance. SUBDIVIDER agrees to continuously maintain, in full force and effect, at a minimum the following policies of insurance during the term of this Agreement.

COVERAGE

LIMITS OF LIABILITY

General Liability

\$2,000,000 per occurrence
\$4,000,000 aggregate

Personal Injury:

\$2,000,000 per occurrence
\$4,000,000 aggregate

Automobile Liability

\$1,000,000 combined single limit

Workers' Compensation

Statutory

a. Form. SUBDIVIDER shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

b. Additional Insureds. SUBDIVIDER shall also provide a separate endorsement form or section of the policy showing CITY, its officers, agents, employees and volunteers as additional insureds for each type of coverage, except for Workers' Compensation, for ongoing and completed operations. Such insurance shall specifically cover the contractual liability of SUBDIVIDER. The additional insured coverage under the SUBDIVIDER's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from CITY's insurance or self-insurance. In addition, the

additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.

c. Cancellation/Modification. SUBDIVIDER shall provide ten (10) days written notice to CITY prior to cancellation or modification of any insurance required by this Agreement.

d. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of CITY (if agreed to in a written contract) before CITY's own insurance shall be called upon to protect it as a named insured.

e. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or CITY. CITY reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.

f. Waiver of Subrogation. SUBDIVIDER hereby agrees to waive subrogation which any insurer of SUBDIVIDER may acquire from SUBDIVIDER by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of CITY,

its officers, agents, employees and volunteers for all work performed by SUBDIVIDER, its employees, agents and subcontractors.

g. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve SUBDIVIDER of liability in excess of such coverage, nor shall it preclude CITY from taking such other actions as are available to it under any other provisions of this Agreement or law.

13. Irrevocability of Security. The improvement securities furnished pursuant to paragraph 5 shall be irrevocable, shall not be limited as to time (except as to the one-year guarantee and warranty period specified in paragraph 10) and shall provide that they may be released, in whole or part, only upon the written approval of the City Engineer of CITY.

14. Attorney's Fees; Venue; Governing Law. Any action by any party to this Agreement, or any action concerning a security furnished pursuant to paragraph 5, shall be brought in the appropriate court of competent jurisdiction within the County of Placer, State of California, notwithstanding any other provision of law which may provide that such action may be brought in some other location. In the event it becomes necessary for either party to bring an action with respect to enforcement of the provisions of this Agreement, or the security therefor, the prevailing party in such action shall be awarded a reasonable attorney's fee, as may be determined by the Court. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

15. Release of Faithful Performance Security. Release of faithful performance security shall be in compliance with and pursuant to the procedures set forth in Government Code Section 66499.7. Upon the completion of all secured Work by SUBDIVIDER and written acceptance of that completed Work by CITY, and the furnishing of the warranty security as required by paragraph 5(b), the City Engineer shall authorize the release of the remaining faithful performance security.

16. Release of Payment Security. Thirty-five (35) days after a notice of completion is recorded with respect to the Work, the City Engineer of CITY may authorize the release of

the payment security, in the event that no claims have been filed against said security. In the event that claims or actions are filed against the security, the City Engineer may release so much of such security as is in excess of the total of the claims made against it, plus a reasonable amount of costs and attorney's fees.

17. Automatic Termination Upon Completion and Sale of Residential Unit. This Agreement and any lien pursuant hereto shall automatically be terminated, without any further action by either party or need to record any additional document, with respect to any single-family residential lot within a parcel designated by the Specific Plan for residential use, upon completion of construction and issuance by the City of a final inspection for a dwelling unit upon such residential lot and conveyance of such improved residential lot to a bona-fide good faith purchaser thereof. In connection with its issuance of a final inspection for such improved lot, City shall confirm that: (i) all improvements which are required to serve the lot, as determined by City, have been accepted by City; (ii) the lot is included within the Maintenance CFD required by Section 3.20 or other financing mechanism acceptable to the City, to the extent required hereby; and (iii) if and to the extent applicable to such lot, an affordable purchase or rental housing agreement has been recorded on the lot. Termination of this Agreement for any such residential lot as provided for in this section shall not in any way be construed to terminate or modify any assessment district or Mello-Roos Community Facilities District lien affecting such lot at the time of termination.

18. Failure of Performance. In addition to any other remedies provided in this Agreement or by law, in the event SUBDIVIDER fails to perform one or more of the covenants or conditions of this Agreement, CITY shall have recourse to the security given to guarantee the performance of such acts. CITY may do, or cause to be done, those acts required of SUBDIVIDER, and shall have recourse against so much of the security as is necessary to discharge the responsibility of SUBDIVIDER. In the event CITY seeks recourse against a security, CITY shall also have recourse against SUBDIVIDER for any and all

amounts necessary to complete the obligations of SUBDIVIDER in the event the security is insufficient to pay such amounts. All administrative costs, including reasonable attorney's fees pursuant to Section 66499.4 of the Government Code, incurred by CITY, in addition to the costs of the improvements, shall be a proper charge against the security and SUBDIVIDER.

19. Integration. This Agreement is an integrated agreement. It supersedes all prior negotiations, representations, or agreements, either written or oral.

20. Modification. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

21. Notices. Any notices sent to the parties relating to this Agreement shall be sent by first class mail, postage prepaid or delivered to the following addresses:

Marc Stout, City Engineer
City of Roseville
311 Vernon Street
Roseville, CA 95678

Lennar Homes of California, Inc
1420 Rocky Ridge, #320
Roseville, CA 95661
Larry Gualco

Either party may amend its address by giving written notice to the other.

22. Severability. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attestation by its City Clerk under authority of Resolution No. ____, adopted by the Council of the City of Roseville on the ____ day of ____, 20__, and SUBDIVIDER has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation,

BY: _____
ROB JENSEN
City Manager

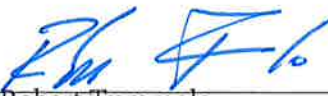
Lennar Homes of California, Inc
a California Corporation

BY: 
its: Larry Gualco
VP

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

and

BY:  _____
Robert Tummo
its: VP

APPROVED AS TO FORM:

BY:  _____
ROBERT R. SCHMITT
City Attorney

(NOTARIZATION REQUIRED)

APPROVED AS TO SUFFICIENCY:

BY:  _____
MARC STOUT
City Engineer

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Placer } ss.

On April 18, 2016 before me, Monique Reynolds, Notary Public,
personally appeared Larry Gualco and Robert Tummolo

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he~~ ~~she~~ they executed the same in ~~his~~ ~~her~~ their authorized capacity(ies), and that by his/~~her~~/their signatures(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Monique Reynolds
Signature

My Commission Expires Nov. 24, 2016



OPTIONAL INFORMATION

Date of Document

Type or Title of Document

Number of Pages in Document

SIA Westpark W-18A



COUNCIL COMMUNICATION

CC #: 7721

File #: 0400-04-09-1

Title: Westpark Village W-24 Phase 1 - Final Map and Subdivision Agreement

Contact: Kerry Andrews 916-774-5346 kandrews@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.13.

RECOMMENDATION TO COUNCIL

It is recommended that the City Council take the following actions:

1. Approve the final map on file with the City Clerk and accept on behalf of the public, subject to improvement, the rights of way and the easements offered thereon.
2. Adopt the attached resolution approving the Subdivision Agreement and authorize the City Manager to execute the agreement on behalf of the City.

BACKGROUND

The Development Services - Engineering section has reviewed the subject final map and found that it is in compliance with the Subdivision Map Act and the City's Subdivision Ordinance and all conditions of the tentative map have been met.

This map will be creating 68 residential lots and 18 lettered lots. Seven of the larger lettered lots will be subdivided later into 54 residential lots with final map for Phase 2.

FISCAL IMPACT

No fiscal impact to the General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

This project is consistent with the West Roseville Specific Plan EIR (SCH# 2002082057) certified

by the City of Roseville on February 4, 2004, and a Final Subsequent EIR certified on April 16, 2014.

Respectfully Submitted,

Kerry Andrews, Assistant Engineer

Kevin Payne, Development Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Final Map

Resolution No. 16-215

Subdivision Agreement

FINAL MAP OF
WESTPARK VILLAGE W-24 - PHASE 1
SUBDIVISION NO. PL15-0142
BEING A SUBDIVISION OF LOT 17, WESTPARK - PHASE 1
AA MAPS 4, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 25, T.11N., R.5E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
MAY, 2016
MORTON & PITALO, INC.

OWNER'S STATEMENT

THE UNDERSIGNED HEREBY CERTIFY THAT THEY ARE THE ONLY PERSONS HAVING ANY RECORD TITLE INTEREST IN THE REAL PROPERTY INCLUDED WITHIN THE SUBDIVISION SHOWN UPON THIS FINAL MAP OF "WESTPARK VILLAGE W-24 - PHASE 1"; AND DO HEREBY CONSENT TO THE PREPARATION AND RECORDATION OF THIS FINAL MAP; AND OFFER FOR DEDICATION AND DO HEREBY DEDICATE FOR PARTICULAR PURPOSES THE FOLLOWING:

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED AS EASEMENT FOR PUBLIC PURPOSES:

(A) PUBLIC EASEMENTS FOR THE INSTALLATION AND MAINTENANCE OF, BUT NOT LIMITED TO, ELECTROLIERS, WATER AND GAS PIPES, SEWER PIPES, AND FOR UNDERGROUND WIRES AND CONDUITS FOR ELECTRIC, TELEPHONE, AND TELEVISION SERVICES AND TRAFFIC CONTROL APPURTENANCES TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS THOSE STRIPS OF LAND LYING CONTIGUOUS TO THE RIGHT OF WAY AS SHOWN HEREON AND DESIGNATED "P.U.E." (PUBLIC UTILITY EASEMENT).

(B) PUBLIC EASEMENTS FOR THE INSTALLATION AND MAINTENANCE OF, BUT NOT LIMITED TO, WATER AND GAS PIPES, AND SEWER, AND FOR UNDERGROUND WIRES AND CONDUITS FOR ELECTRIC, TELEPHONE, AND TELEVISION SERVICES TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS LOT A, LOT B, LOT C, LOT D, LOT E, LOT F, LOT G, LOT H, LOT I AND LOT J, AND THOSE FOUR (4) FOOT WIDE STRIPS OF LAND ADJACENT THERETO, SHOWN HEREON.

(C) EMERGENCY ACCESS EASEMENTS FOR THE INGRESS AND EGRESS OF EMERGENCY SERVICE VEHICLES, ON, OVER AND ACROSS LOT A, LOT B, LOT C, LOT D, LOT E, LOT F, LOT G, LOT H, LOT I AND LOT J, SHOWN HEREON.

(D) PUBLIC DRAINAGE EASEMENTS OVER, UNDER AND ACROSS LOTS E AND F AND THE AREA DESIGNATED AND SHOWN HEREON AS "P.D.E." (PUBLIC DRAINAGE EASEMENT) FOR THE INSTALLATION AND MAINTENANCE OF DRAINAGE PIPES TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO.

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED IN FEE TO THE PUBLIC:

(A) BILLINGTON LANE, CHAPELHILL LANE, DENHOLME LANE, ESSINGTON LANE, GUNNAR DRIVE, KIRKHILL DRIVE AND PLEASANT GROVE BOULEVARD, INCLUDING ALL THE IMPROVEMENTS THEREON, AS PUBLIC RIGHT-OF-WAY.

THE REAL PROPERTY DESCRIBED BELOW IS RESERVED AS AN EASEMENT FOR PRIVATE PURPOSES:

(A) ACCESS EASEMENTS FOR INGRESS AND EGRESS PURPOSES ACROSS LOT A, LOT B, LOT C, LOT D, LOT E, LOT F, LOT G, LOT H, LOT I AND LOT J, SHOWN HEREON, FOR THE MUTUAL BENEFIT OF ALL LOTS SHOWN HEREON.

(B) PEDESTRIAN EASEMENTS FOR PEDESTRIAN INGRESS AND EGRESS PURPOSES ACROSS LOT A, LOT B, LOT C, LOT D, LOT E, LOT F, LOT G, LOT H, LOT I AND LOT J, SHOWN HEREON, FOR THE MUTUAL BENEFIT OF ALL LOTS SHOWN HEREON.

LENNAR HOMES OF CALIFORNIA, INC., A CALIFORNIA CORPORATION

PRINT NAME _____
TITLE _____

PRINT NAME _____
TITLE _____

NOTARY'S STATEMENT

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT

STATE OF CALIFORNIA
COUNTY OF _____

ON _____, BEFORE ME, _____,
PERSONALLY APPEARED _____,

WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSONS(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING PARAGRAPH IS TRUE AND CORRECT.

WITNESS MY HAND AND OFFICIAL SEAL.

SIGNATURE _____

MY PRINCIPAL PLACE OF BUSINESS IS _____ COUNTY

MY COMMISSION NO. _____

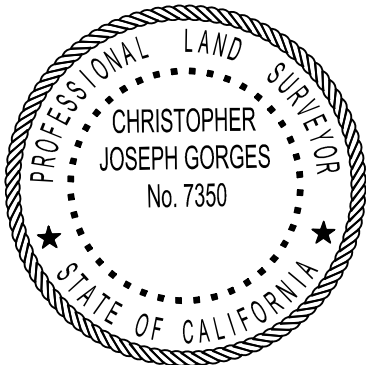
MY COMMISSION EXPIRES _____

SURVEYOR'S STATEMENT

THIS FINAL MAP OF "WESTPARK VILLAGE W-24 - PHASE 1" WAS PREPARED BY ME OR UNDER MY DIRECTION AND IS BASED UPON A FIELD SURVEY IN CONFORMANCE WITH THE REQUIREMENTS OF THE SUBDIVISION MAP ACT AND LOCAL ORDNANCES AT THE REQUEST OF LENNAR HOMES OF CALIFORNIA, INC., IN MARCH, 2013. I HEREBY STATE THAT THIS FINAL MAP OF "WESTPARK VILLAGE W-24 - PHASE 1" SUBSTANTIALLY CONFORMS TO THE APPROVED OR CONDITIONALLY APPROVED TENTATIVE MAP, AND THAT ALL THE MONUMENTS ARE OF THE CHARACTER AND OCCUPY THE POSITIONS INDICATED, OR THAT THEY WILL BE SET IN THOSE POSITIONS ON OR BEFORE DECEMBER 31, 2016 AND THAT THE MONUMENTS ARE, OR WILL BE, SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED.

CHRISTOPHER J. GORGES, P.L.S. 7350

DATE _____



CITY ENGINEER'S STATEMENT

I HEREBY STATE THAT I HAVE EXAMINED THIS FINAL MAP OF "WESTPARK VILLAGE W-24 - PHASE 1" AND FIND IT TO BE SUBSTANTIALLY THE SAME AS THE TENTATIVE MAP ON FILE AND ANY APPROVED ALTERATIONS THEREOF; THAT ALL PROVISIONS OF THE SUBDIVISION MAP ACT AND ALL APPLICABLE CITY ORDINANCES HAVE BEEN COMPLIED WITH.

DATED _____
MARC STOUT
CITY ENGINEER, CITY OF ROSEVILLE
R.C.E. NO. 69879, EXPIRATION DATE: 9/30/2016

I HEREBY STATE THAT I AM SATISFIED THIS FINAL MAP OF "WESTPARK VILLAGE W-24 - PHASE 1" IS TECHNICALLY CORRECT.

FOR MARC STOUT, CITY ENGINEER, CITY OF ROSEVILLE

DATED _____
TIMOTHY G. BLAIR, P.L.S. 8278
EXPIRATION DATE: 12/31/2017



CITY CLERK'S STATEMENT

I HEREBY STATE THAT THE CITY COUNCIL, CITY OF ROSEVILLE, HAS APPROVED THIS FINAL MAP OF "WESTPARK VILLAGE W-24 - PHASE 1" AND HAS ACCEPTED, ON BEHALF OF THE PUBLIC, SUBJECT TO IMPROVEMENT, THE PUBLIC RIGHTS OF WAY AND ALL PUBLIC EASEMENTS HEREON OFFERED FOR DEDICATION.

CITY CLERK, CITY OF ROSEVILLE

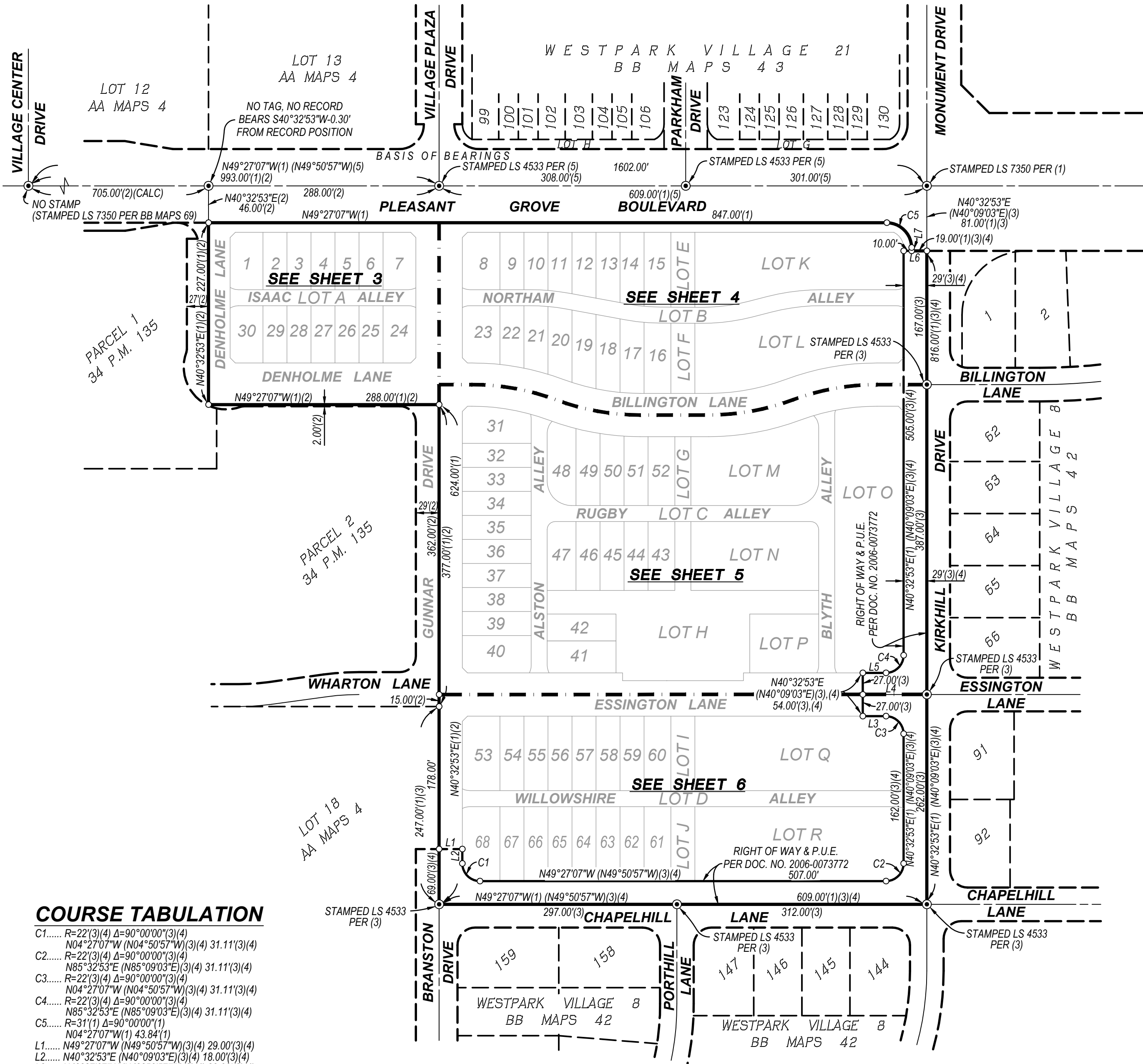
DATED _____
ASSISTANT CITY CLERK

RECORDER'S STATEMENT

FILED THIS _____ DAY OF _____, 2016, AT _____ M.
IN BOOK _____ OF MAPS, AT PAGE _____ AT THE REQUEST OF MORTON & PITALO, INC.

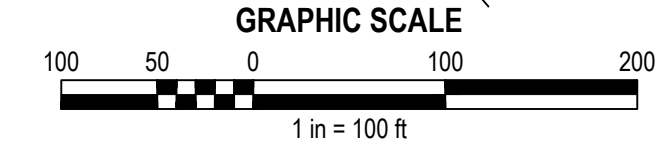
DOCUMENT NO. 2015- _____
JIM McCAULEY
PLACER COUNTY RECORDER

FEE: _____ BY: _____
DEPUTY



COURSE TABULATION

C1..... R=22'(3)(4) Δ=90°00'00"(3)(4)
N04°27'07"W (N04°50'57"W)(3)(4) 31.11'(3)(4)
C2..... R=22'(3)(4) Δ=90°00'00"(3)(4)
N85°32'53"E (N85°09'03"E)(3)(4) 31.11'(3)(4)
C3..... R=22'(3)(4) Δ=90°00'00"(3)(4)
N04°27'07"W (N04°50'57"W)(3)(4) 31.11'(3)(4)
C4..... R=22'(3)(4) Δ=90°00'00"(3)(4)
N85°32'53"E (N85°09'03"E)(3)(4) 31.11'(3)(4)
C5..... R=31'(1) Δ=90°00'00"(1)
N04°27'07"W (1) 43.84'(1)
L1..... N49°27'07"W (N49°50'57"W)(3)(4) 29.00'(3)(4)
L2..... N40°32'53"E (N40°09'03"E)(3)(4) 18.00'(3)(4)
L3..... N49°27'07"W (N49°50'57"W)(3)(4) 29.00'(3)(4)
L4..... N48°27'07"W (N49°50'57"W)(3) 80.00'(3)
L5..... N48°27'07"W (N49°50'57"W)(3)(4) 29.00'(3)(4)
L6..... N48°27'07"W (N49°50'57"W)(3)(4) 29.00'(3)(4)
L7..... N40°32'53"E(1) 4.00'(1)



NOTES

1. TOTAL AREA OF THIS SUBDIVISION IS 13.378 ACRES, CREATING 68 RESIDENTIAL LOTS AND 18 LETTERED LOTS.
2. A DECLARATION OF CONDITIONS, COVENANTS AND RESTRICTIONS FOR THIS SUBDIVISION HAS BEEN RECORDED AS DOCUMENT NO. _____. SAID DOCUMENT PROVIDES FOR PRIVATE YARD EASEMENT AREAS AND PROVISIONS FOR LOT DRAINAGE.
3. A PRELIMINARY SOILS REPORT WAS PREPARED BY WALLACE - KUHL & ASSOCIATES, INC., FILE NO. 9805.04 DATED AUGUST 5, 2015. A COPY OF THIS REPORT IS AVAILABLE FOR PUBLIC INSPECTION AT THE CITY OF ROSEVILLE DEVELOPMENT SERVICES DEPARTMENT - ENGINEERING.
4. FRONT LOT CORNERS FOR LOTS ABUTTING BILLINGTON LANE, BRANSTON DRIVE, CHAPELHILL LANE, ESSINGTON LANE, KIRK HILL DRIVE, AND PLEASANT GROVE BOULEVARD AND REAR LOT CORNERS FOR LOTS ABUTTING LOTS A, B, C AND D WILL BE MARKED BY A 3/4-INCH BRASS DISK AT 1.00' PROJECTION OF THE LOT LINE INTO THE SIDEWALK UNLESS NOTED OTHERWISE. ALL OTHER LOT CORNERS SHALL BE MARKED AS SHOWN HEREON.
5. LOT A, LOT B, LOT C, LOT D, LOT E, LOT F, LOT G, LOT H, LOT I AND LOT J SHOWN HEREON ARE PRIVATE AND WILL BE MAINTAINED BY THE HOMEOWNERS ASSOCIATION.
6. LOT K, LOT L, LOT M, LOT N, LOT O, LOT P, LOT Q, AND LOT R SHOWN HEREON SHALL BE RETAINED BY THE SUBDIVIDER.
7. PURSUANT TO SECTION 66434(G) OF THE GOVERNMENT CODE, THE FOLLOWING RIGHT OF WAY AND EASEMENTS ARE HEREBY ABANDONED:

A. THAT PORTION OF THAT CERTAIN RIGHT OF WAY AND PUBLIC UTILITY EASEMENT DEEDED TO THE CITY OF ROSEVILLE PER "GRANT OF EASEMENT" RECORDED AS DOCUMENT NO. 2006-0073772, PLACER COUNTY OFFICIAL RECORDS, LYING WITHIN LOTS 61, 62, J AND R OF THIS FINAL MAP.

LEGEND

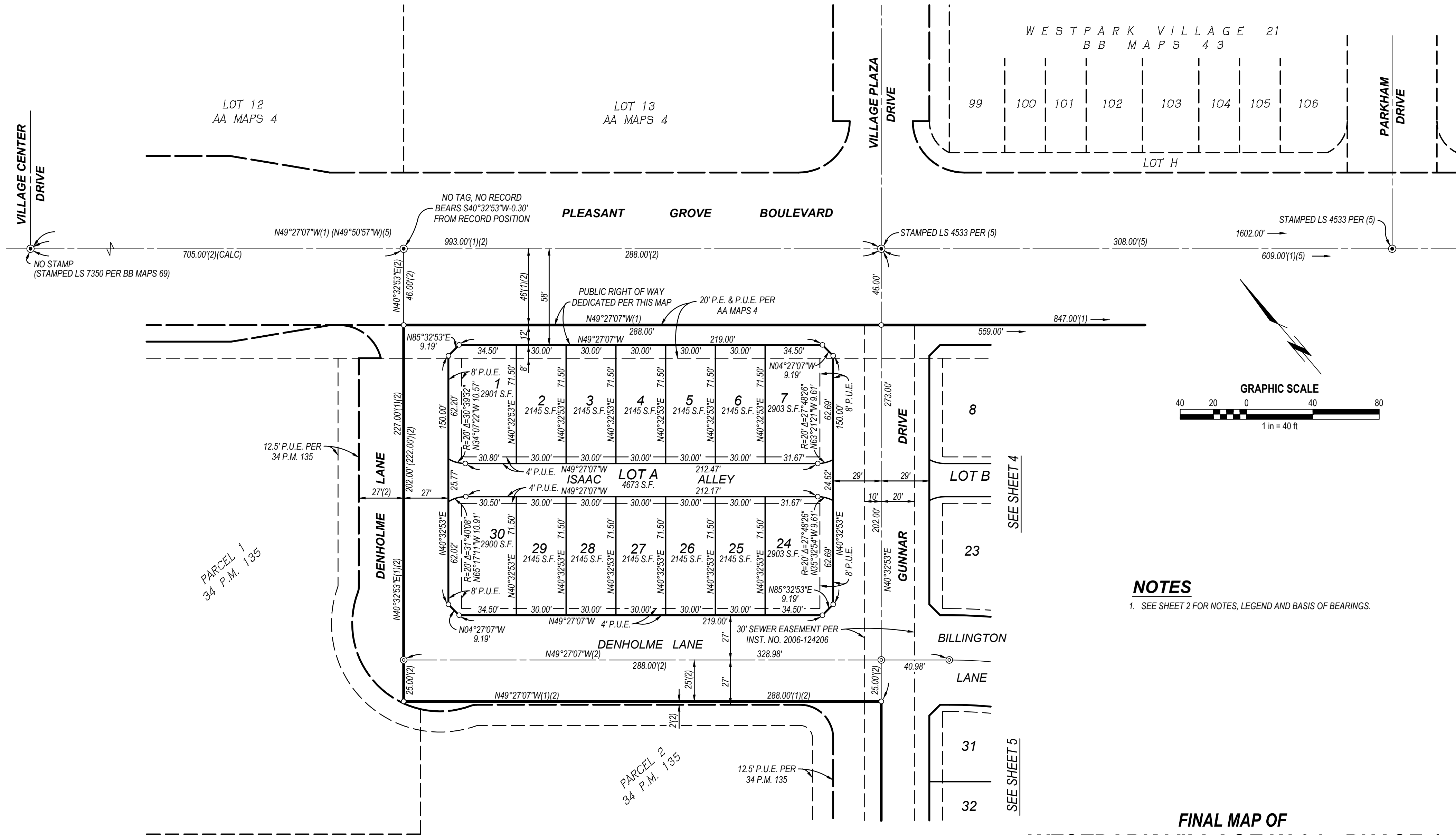
-DIMENSION POINT, NOTHING FOUND OR SET
- ⊙FOUND COPPERWELD SURVEY MONUMENT IN MONUMENT WELL AS NOTED
- ⊙SET COPPERWELD SURVEY MONUMENT IN MONUMENT WELL STAMPED "L.S. 7350"
- ⊗SET 3/4" IRON PIPE WITH PLASTIC CAP STAMPED "L.S. 7350"
- P.D.E.....PUBLIC DRAINAGE EASEMENT
- P.E.....PEDESTRIAN EASEMENT
- P.U.E.....PUBLIC UTILITY EASEMENT
- R.O.W.....RIGHT OF WAY
- (1).....RECORD PER AA MAPS 4
- (2).....RECORD PER 34 PM 135
- (3).....RECORD PER BB MAPS 42
- (4).....RECORD PER DOCUMENT NO. 2006-0073772
- (5).....RECORD PER BB MAPS 43

BASIS OF BEARINGS

THE MERIDIAN OF THIS SURVEY IS THE CENTERLINE OF PLEASANT GROVE BOULEVARD, SHOWN AND SO DESIGNATED ON THAT CERTAIN FINAL MAP ENTITLED "WESTPARK PHASE 1", FILED IN BOOK AA OF MAPS, PAGE 4, PLACER COUNTY RECORDS, THE BEARING OF WHICH IS N49°27'07"W AND WAS BASED ON MONUMENTS SHOWN HEREON AS FOUND.

FINAL MAP OF
WESTPARK VILLAGE W-24 - PHASE 1
SUBDIVISION NO. PL15-0142
BEING A SUBDIVISION OF LOT 17, WESTPARK - PHASE 1
AA MAPS 4, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 25, T.11N., R.5E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
MAY, 2016
MORTON & PITALO, INC.



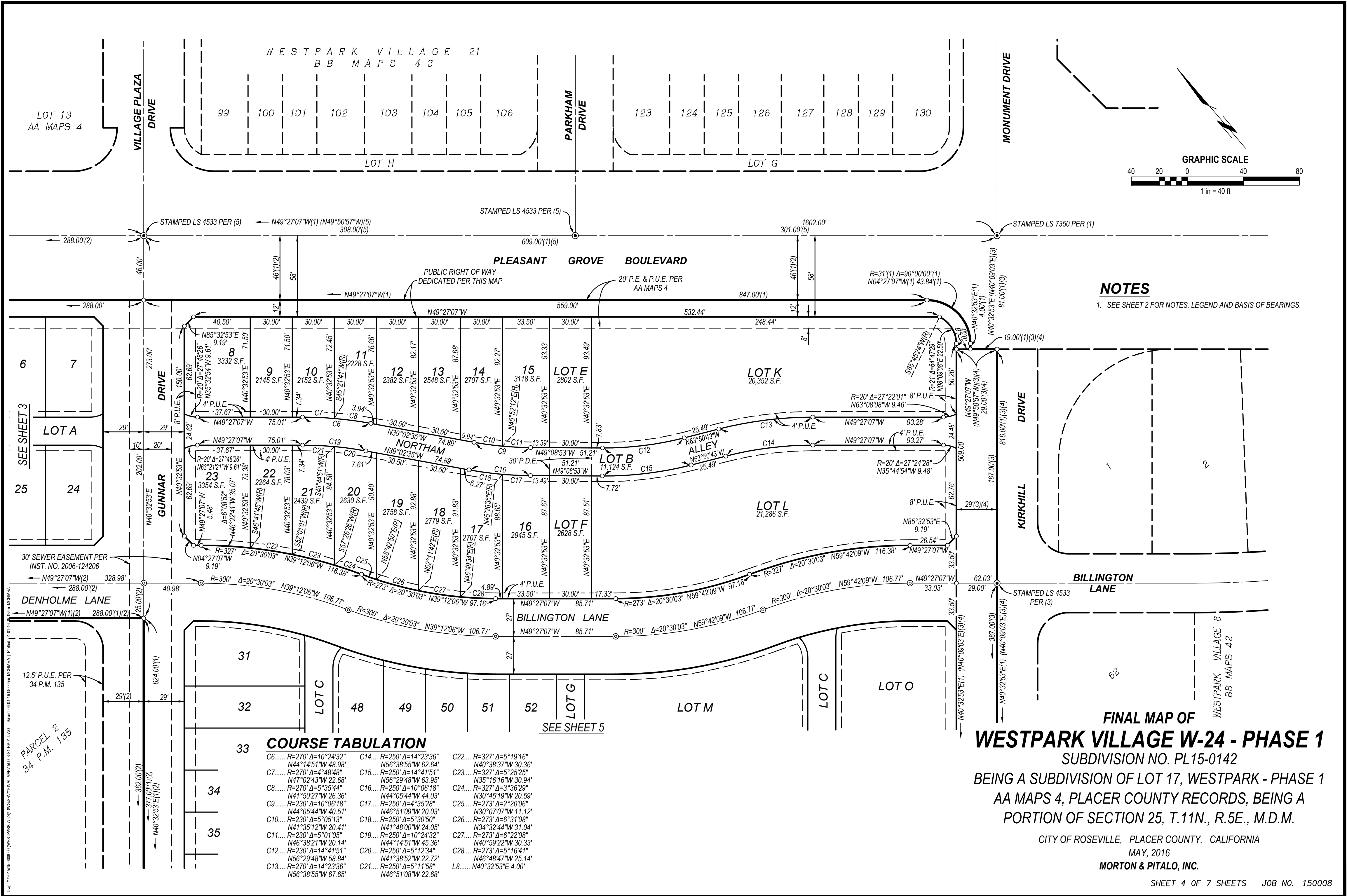
NOTES

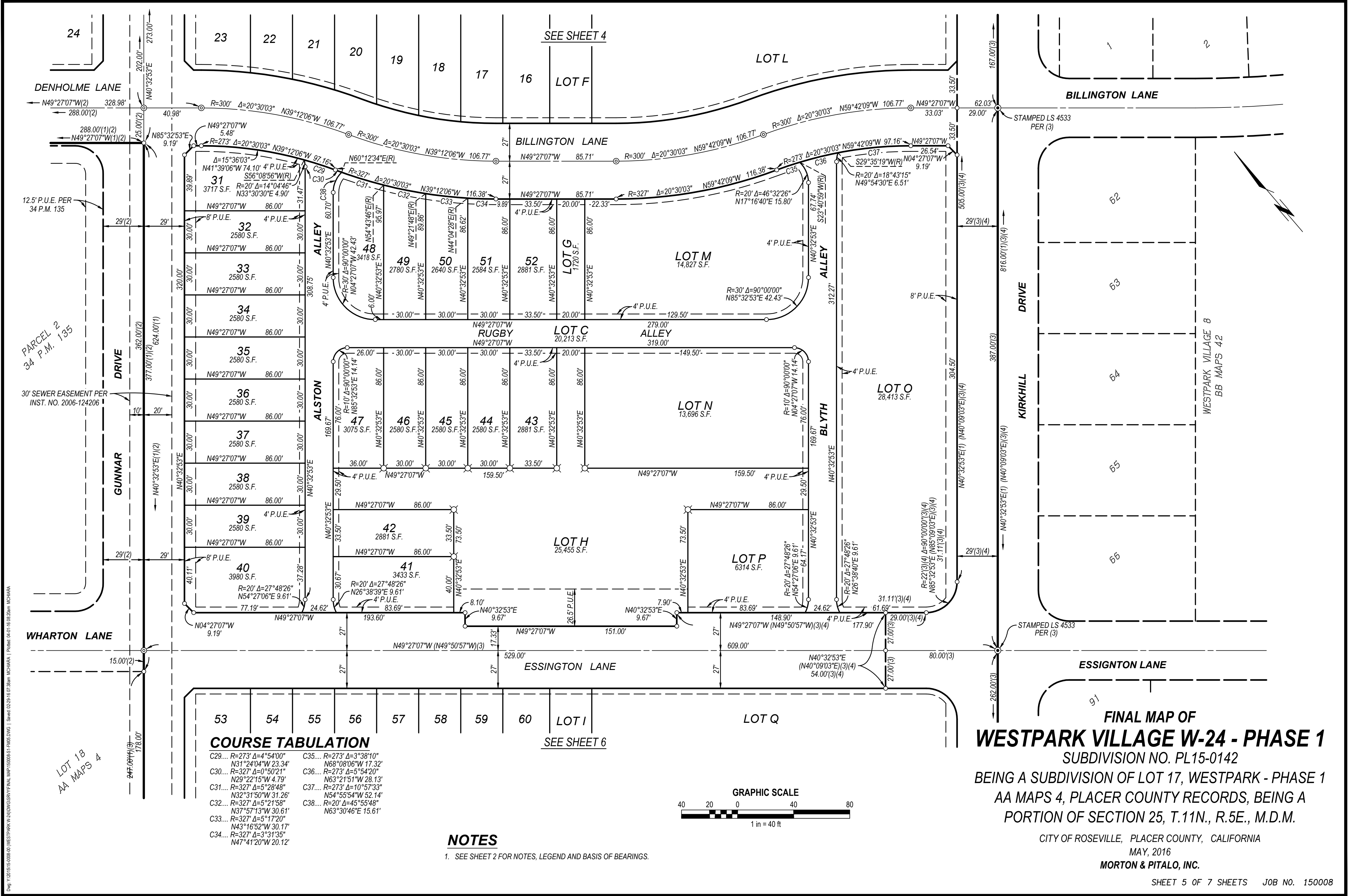
1. SEE SHEET 2 FOR NOTES, LEGEND AND BASIS OF BEARINGS.

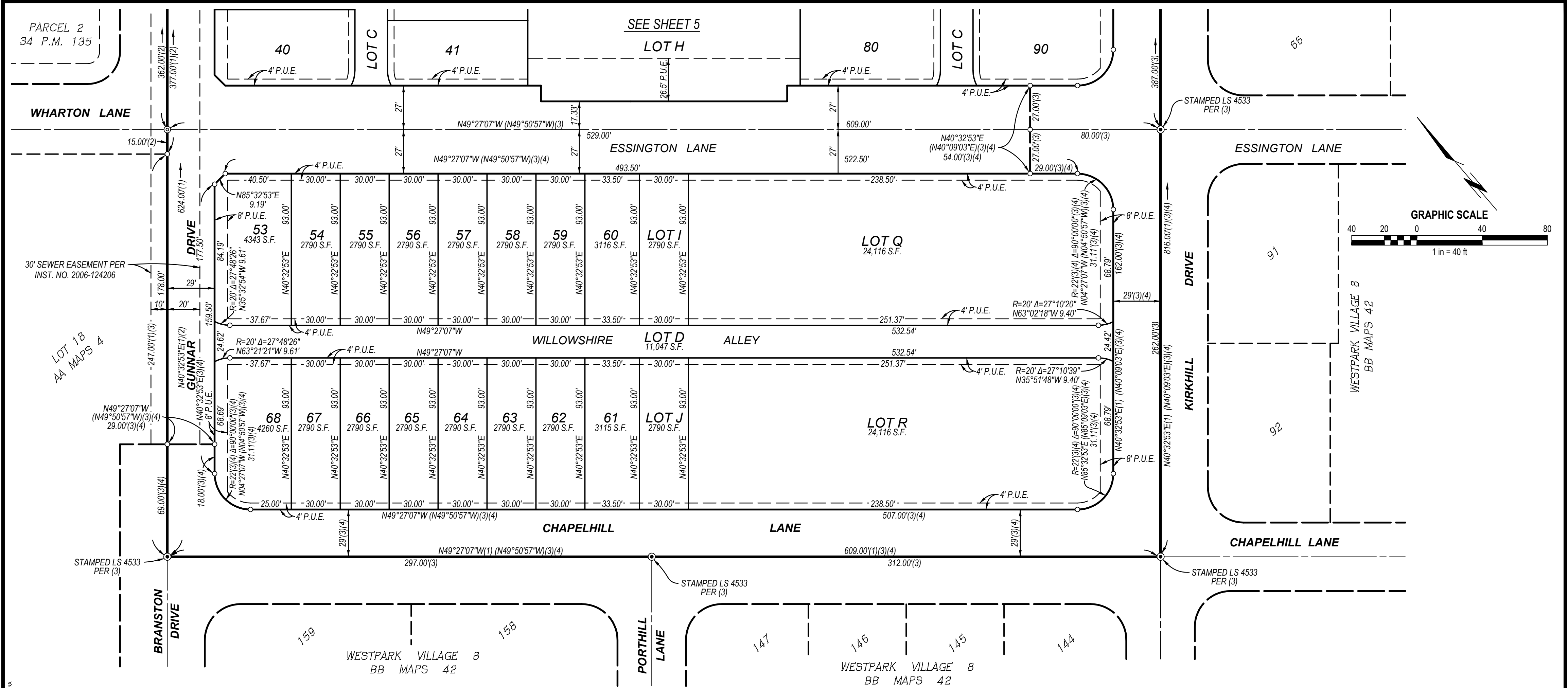
**FINAL MAP OF
WESTPARK VILLAGE W-24 - PHASE 1**
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CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
MAY, 2016

MORTON & PITALO, INC.







NOTES

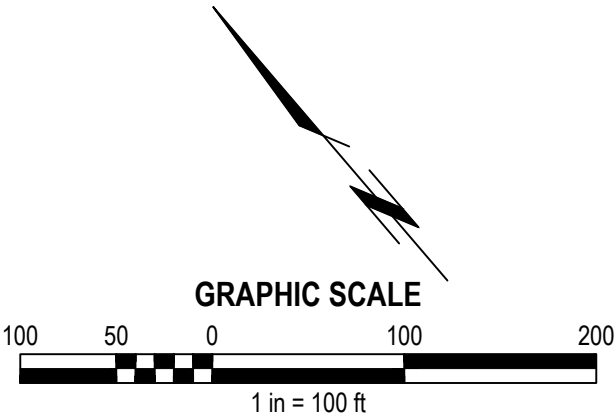
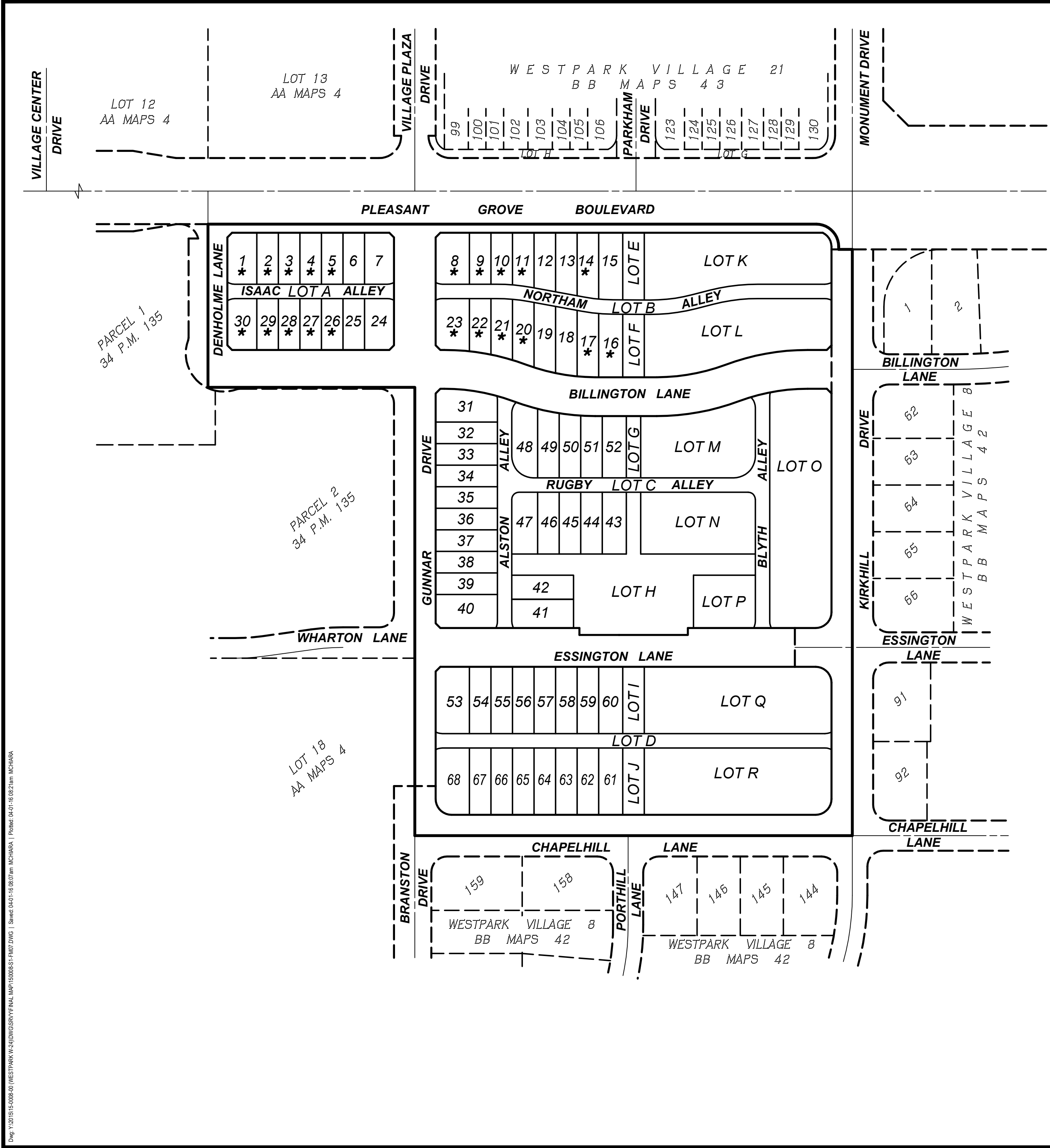
1. SEE SHEET 2 FOR NOTES, LEGEND AND BASIS OF BEARINGS.

**FINAL MAP OF
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CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
MAY, 2016

MORTON & PITALO, INC.

Dwg: 150008-000 WESTPARK W-24 DIVISION FINAL MAP 150008-01 F100 DWG | Sheet: 04-01-16 08:06am MCHARRA | Plotter: 04-01-16 08:21am MCHARRA



LOCAL AGENCY ADDITIONAL INFORMATION SHEET

THE ADDITIONAL INFORMATION SHOWN ON THIS SHEET IS FOR INFORMATIONAL PURPOSES AS REQUIRED BY SECTION 66434.2 OF THE SUBDIVISION MAP ACT, AS AMENDED, AND LOCAL

THE INFORMATION SHOWN HEREON DESCRIBES CONDITIONS AS OF THE DATE OF FILING. IT IS NOT INTENDED TO AFFECT RECORD TITLE INTEREST. THE INFORMATION IS DERIVED FROM PUBLIC RECORDS OR REPORTS AND DOES NOT IMPLY THE CORRECTNESS OR SUFFICIENCY OF THOSE RECORDS OR REPORTS BY THE PREPARER OF THIS ADDITIONAL INFORMATION

(A) EACH RESIDENTIAL DWELLING CONSTRUCTED ON ANY OF THE LOTS INDICATED SHALL BE FITTED WITH A SEWER BACKFLOW VALVE AS APPROVED BY THE CITY OF ROSEVILLE. IT SHALL BE THE RESPONSIBILITY OF THE OWNER OF SAID DWELLING TO MAINTAIN THE VALVE AND PREVENT DAMAGE FROM OCCURRING THERETO. THE CITY OF ROSEVILLE SHALL NOT BE RESPONSIBLE FOR THE MAINTENANCE OR PERFORMANCE OF THE VALVE, AND THE CITY OF ROSEVILLE SHALL NOT BE LIABLE FOR ANY DAMAGE OCCURRING TO ANY SUCH DWELLING, OR ITS CONTENTS, DUE TO FAILURE OF THE VALVE FOR ANY REASON WHATSOEVER.

LEGEND

* DENOTES SEWER BACKFLOW PREVENTER VALVE REQUIRED ON SANITARY SEWER CONNECTION BY THE CITY OF ROSEVILLE WITH THE BUILDING PERMIT AND MAINTAINED THEREAFTER BY THE OWNER.

NOTES

- 1. SEE SHEET 2 FOR LEGEND, NOTES, BASIS OF BEARINGS AND ADDITIONAL BOUNDARY ANNOTATION.

FINAL MAP OF
WESTPARK VILLAGE W-24 - PHASE 1
SUBDIVISION NO. PL15-0142
BEING A SUBDIVISION OF LOT 17, WESTPARK - PHASE 1
AA MAPS 4, PLACER COUNTY RECORDS, BEING A
PORTION OF SECTION 25, T.11N., R.5E., M.D.M.

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
MAY, 2016

MORTON & PITALO, INC.

RESOLUTION NO. 16-215

APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND LENNAR HOMES OF CALIFORNIA, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a Subdivision Agreement for Westpark Village W-24 Phase 1, by and between the City of Roseville and Lennar Homes of California, Inc., has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

**RECORDING REQUESTED BY AND WHEN
RECORDED, PLEASE RETURN TO:**

**City Clerk
CITY OF ROSEVILLE
311 Vernon Street
Roseville, CA 95678**

SUBDIVISION AGREEMENT

Westpark Village W-24 Phase 1 SUBDIVISION

THIS AGREEMENT, made and entered into this ____ day of _____,
20__, by and between the CITY OF ROSEVILLE, a municipal corporation ("CITY"), and
Lennar Homes of California, Inc.,
a California Corporation, ("SUBDIVIDER"),

W I T N E S S E T H:

WHEREAS, SUBDIVIDER is possessed of a tract of land lying in the City of
Roseville, County of Placer, known as "Westpark Village W-24 Phase 1 Subdivision"
(Subdivision no. PL15-0142; "the Subdivision"); and

WHEREAS, a tentative map of the Subdivision was approved by the City Planning
Commission on the 10 day of September, 2015, and the final map of the Subdivision
has been approved subject to the execution of this Agreement; and

WHEREAS, the final map has been filed on the ____ day of _____, 20__ in
Book _____ of Parcel/Subdivision Maps at Page _____ in the Official Records, Placer
County Recorder, file no. _____; and

WHEREAS, Section 18.20.110 of the Roseville Municipal Code, regulating the
subdivision of land in the City, requires that SUBDIVIDER shall execute and file an
agreement between itself and CITY relating to the improvements to be made in a subdivision,
prior to the approval by the City Council of the final map.

NOW, THEREFORE, the parties agree as follows:

1. Rights-of-Way. Except as otherwise provided by this Agreement, CITY rejects all lands, right-of-ways, and easements offered for dedication on the final map of the Subdivision. All such offers shall, however, remain open, and shall constitute irrevocable offers of dedication in accordance with Government Code Section 66477.2. All such offers may be accepted by CITY in its sole discretion at any later date without further notice to SUBDIVIDER as provided by law.

By way of explanation only, it is the current intention of CITY to accept all or part of such irrevocable offers to dedicate upon acceptance of the improvements called for in this Agreement.

2. Improvements - Time Limit. SUBDIVIDER agrees to cause all improvements to be made and constructed in the Subdivision to fully comply with the requirements of the Roseville Municipal Code and the Subdivision Map Act of the State of California according to the improvement plans for the Subdivision prepared by Morton & Pitalo, Inc, dated March 28, 2016 and approved by the City Engineer and including any changes or alterations to such improvements required by the City Engineer, and to install, on or before December 31, 2016, such survey monuments as may be required by the City Engineer ("the Work"). SUBDIVIDER agrees to pay for any materials, provisions or other supplies used in, upon, for or about the performance of the Work, or for any work or labor of any kind done in connection with the Work, or for any amounts due under the Unemployment Insurance Act with respect to such work or labor.

SUBDIVIDER agrees to complete all of the Work on or before twenty-four (24) months from the date of this Agreement. If in the opinion of the City Engineer of CITY, an extension of this Agreement is not detrimental to the public welfare, he or she is, in his or her sole discretion, hereby authorized to extend the time within which the Work shall be completed for a period not to exceed twelve (12) months. Upon a written application made by SUBDIVIDER, further extensions, not to exceed an additional six (6) months, shall be

reviewed and approved at the discretion of the City Engineer of CITY. Any such application extension request by SUBDIVIDER shall fully state the grounds and facts relied upon for the granting of additional extensions.

In the event that SUBDIVIDER shall fail to complete the Work within the time provided by this Agreement, CITY may, in its sole discretion and in addition to any other remedy provided in this Agreement or by law, enter upon the Subdivision and complete the Work and recover the full cost and expense of such construction from SUBDIVIDER, SUBDIVIDER's successors and assigns, or from the then owner of the Subdivision, and/or place a lien upon the Subdivision for such cost and expense.

If at anytime prior to the expiration of the time provided by this Agreement the City Engineer of CITY determines that a safety hazard exists within an existing public right-of-way, the City Engineer shall give written notice to SUBDIVIDER to eliminate the safety hazard. If SUBDIVIDER fails to eliminate the safety hazard within five (5) calendar days of the written notice, CITY may, in its sole discretion and in addition to any other remedy provided in this Agreement or by law, eliminate the safety hazard and recover the full cost and expense of such work from SUBDIVIDER, SUBDIVIDER's successors and assigns, or from the then owner of the Subdivision, and/or place a lien upon the Subdivision for such cost and expense.

3. Acquisition and Dedication of Easements or Rights-of-Ways. If any of the Work is to be constructed or installed on land not within the Subdivision or already existing public right-of-way, no construction or installation shall be commenced before:

a. The irrevocable offer of dedication or conveyance to CITY of appropriate right-of-ways, easements or other interest in real property, and appropriate authorization from the property owner to allow construction or installation of the improvements or work; or

b. The issuance of an order of possession by a court of competent jurisdiction pursuant to the State Eminent Domain Law. SUBDIVIDER shall comply in all respects with any such order of possession.

Nothing in this Section 3 shall be construed as authorizing or granting an extension of time to SUBDIVIDER for completion of the Work.

4. Inspection Fees and Costs. The City Engineer shall inspect all of the Work improvements made in the Subdivision to see that they comply with CITY's subdivision regulations and specifications. SUBDIVIDER shall deposit the estimated inspection fee and reimburse CITY for the cost of such inspections in accordance with Roseville Municipal Code section 18.20.070.

5. Securities.

a. SUBDIVIDER shall file with this Agreement two (2) improvement securities as set forth in Roseville Municipal Code Sections 18.20.150 and 18.20.160. Each security shall be in the amount of one hundred percent (100%) of the total estimated cost of the Work as determined by the City Engineer which amount is the sum of \$ 2,365,262.58 . One improvement security shall secure faithful performance of this Agreement as required by Section 66499.3(a) of the Government Code of the State of California (the "faithful performance" security). The second improvement security shall, as required by Section 66499.3(b) of the Government Code of the State of California, secure the obligations set forth in Title 15 (commencing with Section 3082) of Part 4 of Division 3 of the Civil Code of the State of California for payment to the contractor, his subcontractors and to persons renting equipment or furnishing labor or materials to them for the Work (the "payment security"). The improvement securities required under this paragraph shall also secure placement of monumentation and guarantee payment therefor as provided by California Government Code section 66496.

b. SUBDIVIDER shall also file with this Agreement a "guarantee and warranty security" in the amount of ten percent (10%) of the City Engineer's estimated cost of the Work as set forth in Section 18.20.170 of the Roseville Municipal Code to guarantee and warrant the Work for a period of one year following its completion and acceptance against any defective work or labor done, or defective materials furnished, as required by Section 66499(a)(5) of the Government Code of the State of California. The guarantee and warranty

security shall be released upon SUBDIVIDER's request following expiration of the warranty period and subject to the reasonable determination of the City Engineer that SUBDIVIDER's warranty obligations have been satisfied.

c. Any bonds submitted as security pursuant to this section shall be executed by a surety company authorized to transact a surety business in the State of California. All required securities shall be in a form approved by the City Attorney of CITY.

6. Successors and Assigns – Covenant Running with the Land. This Agreement shall inure to the benefit of, and be binding upon, the successors and assigns of the respective parties. It shall be recorded in the Office of the Recorder of Placer County concurrently with the final map of the Subdivision, and shall constitute a covenant running with the land and an equitable servitude upon the real property within the Subdivision.

7. Acceptance of Improvements Upon Completion. Upon satisfactory completion of the Work, in accordance with this Agreement, CITY agrees to accept the Work and its improvements and the offers of dedication described in paragraph 1, subject to the provisions of paragraphs 1 and 10 of this Agreement.

8. Notice Regarding Construction. SUBDIVIDER shall notify the City Engineer of CITY in writing three (3) days prior to the commencement of construction of the Work.

9. Subdivider's Obligation to Warn Public During Construction. Until final acceptance of the Work, SUBDIVIDER shall give good and adequate warning to the public of each and every dangerous condition which may exist in the Work, and will take all reasonable actions to protect the public from any such dangerous condition.

10. Warranty.

a. SUBDIVIDER guarantees and warrants the public improvements which constitute the Work required by this Agreement, and agrees to remedy any defects in the improvements or the Work arising from faulty or defective materials or construction of said improvements or the Work occurring within twelve (12) months after their acceptance by CITY. SUBDIVIDER agrees to permit inspection of all improvements in the Subdivision by

the City Engineer of CITY for such period, and to reimburse CITY for the cost of such inspections.

b. If, within the warranty period, the Work or improvement or any part of the Work done, furnished, installed, or constructed fails to fulfill any of the requirements of this Agreement or the improvement plans and specifications, SUBDIVIDER shall, without delay or cost to CITY, repair, replace or reconstruct any defective or otherwise unsatisfactory part or parts of the Work. CITY may, at its sole option, perform such repair or replacement itself if SUBDIVIDER has failed to commence such repair within twenty (20) days after CITY has mailed written notice of default to SUBDIVIDER and to SUBDIVIDER'S Surety. In such event, SUBDIVIDER agrees to pay the cost of such repair and replacement by CITY and CITY may, at its option, recover such cost as a lien against the Subdivision should the cost exceed the inspection fee deposited pursuant to paragraph 4 of this Agreement.

Should CITY determine that the public safety requires repairs or replacements to be made before SUBDIVIDER can be notified, CITY may, in its sole discretion, make the necessary repairs or replacement or perform the necessary work. SUBDIVIDER shall pay to CITY the cost of such repairs and CITY may at its option recover such cost as a lien against the Subdivision.

11. Indemnification. To the fullest extent allowed by law, SUBDIVIDER shall defend, indemnify, and save and hold harmless CITY, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of SUBDIVIDER 's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from CITY's sole or active negligence or willful misconduct. The parties intend that this provision shall be broadly construed.

SUBDIVIDER's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

12. Insurance. SUBDIVIDER agrees to continuously maintain, in full force and effect, at a minimum the following policies of insurance during the term of this Agreement.

COVERAGE

LIMITS OF LIABILITY

General Liability

\$2,000,000 per occurrence
\$4,000,000 aggregate
Personal Injury:

Automobile Liability

\$2,000,000 per occurrence
\$4,000,000 aggregate
\$1,000,000 combined single limit

Workers' Compensation

Statutory

a. Form. SUBDIVIDER shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

b. Additional Insureds. SUBDIVIDER shall also provide a separate endorsement form or section of the policy showing CITY, its officers, agents, employees and volunteers as additional insureds for each type of coverage, except for Workers' Compensation, for ongoing and completed operations. Such insurance shall specifically cover the contractual liability of SUBDIVIDER. The additional insured coverage under the SUBDIVIDER's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from CITY's insurance or self-insurance. In addition, the

additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds.

Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.

c. Cancellation/Modification. SUBDIVIDER shall provide ten (10) days written notice to CITY prior to cancellation or modification of any insurance required by this Agreement.

d. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of CITY (if agreed to in a written contract) before CITY's own insurance shall be called upon to protect it as a named insured.

e. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or CITY. CITY reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.

f. Waiver of Subrogation. SUBDIVIDER hereby agrees to waive subrogation which any insurer of SUBDIVIDER may acquire from SUBDIVIDER by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of CITY,

its officers, agents, employees and volunteers for all work performed by SUBDIVIDER, its employees, agents and subcontractors.

g. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve SUBDIVIDER of liability in excess of such coverage, nor shall it preclude CITY from taking such other actions as are available to it under any other provisions of this Agreement or law.

13. Irrevocability of Security. The improvement securities furnished pursuant to paragraph 5 shall be irrevocable, shall not be limited as to time (except as to the one-year guarantee and warranty period specified in paragraph 10) and shall provide that they may be released, in whole or part, only upon the written approval of the City Engineer of CITY.

14. Attorney's Fees; Venue; Governing Law. Any action by any party to this Agreement, or any action concerning a security furnished pursuant to paragraph 5, shall be brought in the appropriate court of competent jurisdiction within the County of Placer, State of California, notwithstanding any other provision of law which may provide that such action may be brought in some other location. In the event it becomes necessary for either party to bring an action with respect to enforcement of the provisions of this Agreement, or the security therefor, the prevailing party in such action shall be awarded a reasonable attorney's fee, as may be determined by the Court. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

15. Release of Faithful Performance Security. Release of faithful performance security shall be in compliance with and pursuant to the procedures set forth in Government Code Section 66499.7. Upon the completion of all secured Work by SUBDIVIDER and written acceptance of that completed Work by CITY, and the furnishing of the warranty security as required by paragraph 5(b), the City Engineer shall authorize the release of the remaining faithful performance security.

16. Release of Payment Security. Thirty-five (35) days after a notice of completion is recorded with respect to the Work, the City Engineer of CITY may authorize the release of

the payment security, in the event that no claims have been filed against said security. In the event that claims or actions are filed against the security, the City Engineer may release so much of such security as is in excess of the total of the claims made against it, plus a reasonable amount of costs and attorney's fees.

17. Automatic Termination Upon Completion and Sale of Residential Unit. This Agreement and any lien pursuant hereto shall automatically be terminated, without any further action by either party or need to record any additional document, with respect to any single-family residential lot within a parcel designated by the Specific Plan for residential use, upon completion of construction and issuance by the City of a final inspection for a dwelling unit upon such residential lot and conveyance of such improved residential lot to a bona-fide good faith purchaser thereof. In connection with its issuance of a final inspection for such improved lot, City shall confirm that: (i) all improvements which are required to serve the lot, as determined by City, have been accepted by City; (ii) the lot is included within the Maintenance CFD required by Section 3.20 or other financing mechanism acceptable to the City, to the extent required hereby; and (iii) if and to the extent applicable to such lot, an affordable purchase or rental housing agreement has been recorded on the lot. Termination of this Agreement for any such residential lot as provided for in this section shall not in any way be construed to terminate or modify any assessment district or Mello-Roos Community Facilities District lien affecting such lot at the time of termination.

18. Failure of Performance. In addition to any other remedies provided in this Agreement or by law, in the event SUBDIVIDER fails to perform one or more of the covenants or conditions of this Agreement, CITY shall have recourse to the security given to guarantee the performance of such acts. CITY may do, or cause to be done, those acts required of SUBDIVIDER, and shall have recourse against so much of the security as is necessary to discharge the responsibility of SUBDIVIDER. In the event CITY seeks recourse against a security, CITY shall also have recourse against SUBDIVIDER for any and all

amounts necessary to complete the obligations of SUBDIVIDER in the event the security is insufficient to pay such amounts. All administrative costs, including reasonable attorney's fees pursuant to Section 66499.4 of the Government Code, incurred by CITY, in addition to the costs of the improvements, shall be a proper charge against the security and SUBDIVIDER.

19. Integration. This Agreement is an integrated agreement. It supersedes all prior negotiations, representations, or agreements, either written or oral.

20. Modification. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

21. Notices. Any notices sent to the parties relating to this Agreement shall be sent by first class mail, postage prepaid or delivered to the following addresses:

Marc Stout, City Engineer
City of Roseville
311 Vernon Street
Roseville, CA 95678

Lennar Homes of California
1420 Rocky Ridge, #320
Roseville, CA 95661
Larry Gualco

Either party may amend its address by giving written notice to the other.

22. Severability. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attestation by its City Clerk under authority of Resolution No. ____, adopted by the Council of the City of Roseville on the ____ day of _____, 20__, and SUBDIVIDER has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation,

LENNAR HOMES OF CALIFORNIA,
a California Corporation

BY: _____
ROB JENSEN
City Manager

BY: 
Larry Gualco
its: VP

ATTEST:

BY: SONIA OROZCO
City Clerk

and

BY: Robert Tummolo
its: VP

APPROVED AS TO FORM:

BY: Robert R. Schmitt
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUFFICIENCY:

BY: MARC STOUT
MARC STOUT
City Engineer

(NOTARIZATION REQUIRED)

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California

County of Placer } ss.

On February 18, 2015 before me, Monique Reynolds, Notary Public,
personally appeared Larry Gualco and Robert Tummolo

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) ~~is~~ are subscribed to the within instrument and acknowledged to me that ~~he~~ ~~she~~ they executed the same in ~~his~~ ~~her~~ their authorized capacity(ies), and that by his/~~her~~/their signatures(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Monique Reynolds
Signature

My Commission Expires Nov. 24, 2016



OPTIONAL INFORMATION

Date of Document

Type or Title of Document

Number of Pages in Document

Subdivision Agreement Westpark 24



COUNCIL COMMUNICATION

CC #: 7720

File #: 0400-04-09-1

Title: Westpark Phase 4 Village W-18C - Final Map and Subdivision Agreement

Contact: Kerry Andrews 916-774-5346 kandrews@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.14.

RECOMMENDATION TO COUNCIL

It is recommended that the City Council take the following actions:

1. Approve the final map on file with the City Clerk and accept on behalf of the public, subject to improvement, the rights of way and the easements offered thereon, and approve the abandonment of the rights of way listed thereon.
2. Adopt the attached resolution approving the Subdivision Agreement and authorize the City Manager to execute the agreement on behalf of the City.

BACKGROUND

The Development Services - Engineering section has reviewed the subject final map and found that it is in compliance with the Subdivision Map Act and the City's Subdivision Ordinance and all conditions of the tentative map have been met.

This map will be creating 102 residential lots and one lettered lot.

FISCAL IMPACT

No fiscal impact to the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

This project is consistent with the West Roseville Specific Plan EIR (SCH# 2002082057) certified

by the City of Roseville on February 4, 2004, and the EIR Addendum approved on November 18, 2010.

Respectfully Submitted,

Kerry Andrews, Assistant Engineer

Kevin Payne, Development Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Final Map

Resolution No. 16-214

Subdivision Agreement

OWNER'S STATEMENT

THE UNDERSIGNED HEREBY CERTIFY THAT THEY ARE THE ONLY PERSONS HAVING ANY RECORD TITLE INTEREST IN THE REAL PROPERTY INCLUDED WITHIN THE BOUNDARIES OF THIS SUBDIVISION MAP, "FINAL MAP OF WESTPARK-PHASE 4, VILLAGE W-18C" AS SHOWN UPON THIS MAP, AND DO HEREBY CONSENT TO THE PREPARATION AND RECORDING OF SAID MAP AND THAT THE CONSENT OF NO OTHER PERSON IS NECESSARY. WE HEREBY OFFER FOR DEDICATION AND DO HEREBY DEDICATE FOR SPECIFIC PURPOSES THE FOLLOWING:

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED AS EASEMENT FOR PUBLIC PURPOSES:

- A. PUBLIC EASEMENTS FOR INSTALLATION AND MAINTENANCE OF, BUT NOT LIMITED TO, ELECTROLIERS, TRAFFIC CONTROL APPURTENANCES, WATER, SEWER, DRAINAGE AND GAS PIPES, AND FOR UNDERGROUND WIRES AND CONDUITS FOR ELECTRICAL, TELEPHONE, AND TELEVISION SERVICES, TOGETHER WITH WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS LOT A AND THOSE STRIPS OF LAND TWELVE AND ONE-HALF (12.5) FEET IN WIDTH AND CONTIGUOUS TO THE WAYS SHOWN HEREON AND DESIGNATED "12.5' PUE" (PUBLIC UTILITY EASEMENT).
- B. THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED IN FEE FOR PUBLIC PURPOSES:

- A. AS PUBLIC RIGHTS-OF-WAY, THE STREETS SHOWN HEREON AS GARLAND WAY, PIKES PEAK WAY, CAMPANELLA WAY, MORRISON WAY, FOXFIELD WAY, WEEKER WAY, MT EVANS WAY, ROCKY MOUNTAIN WAY, ROYAL GORGE WAY, AND LOT 'A'.

PULTE HOME CORPORATION, A MICHIGAN CORPORATION

BY: _____ BY: _____
GREGORY S. VAN DAM CHRISTOPHER WINTER
DIVISION VICE PRESIDENT OF DIVISION VICE PRESIDENT FINANCE
LAND PLANNING AND DEVELOPMENT

MERITAGE HOMES OF CALIFORNIA INC., A CALIFORNIA CORPORATION

BY: _____ BY: _____
BARRY GRANT JOHN A. BARNHART
DIVISION PRESIDENT VICE PRESIDENT OF OPERATIONS

NOTARY'S ACKNOWLEDGMENT

A NOTARY PUBLIC OR OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA)
COUNTY OF _____) SS

ON _____ DAY OF _____, 2016 BEFORE ME, _____
PERSONALLY APPEARED _____ WHO PROVED TO ME ON THE

BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT.

WITNESS MY HAND: _____

PRINTED NAME: _____

MY PRINCIPLE PLACE OF BUSINESS IS IN THE _____
COUNTY OF: _____

MY COMMISSION EXPIRES: _____

MY COMMISSION No.: _____

SEE SHEET 2 FOR ADDITIONAL NOTARY'S ACKNOWLEDGMENTS

FINAL MAP OF

WESTPARK - PHASE 4
VILLAGE W-18C

WEST ROSEVILLE SPECIFIC PLAN PARCEL W-18C
SUBDIVISION NO. 000176

BEING ALL OF LOT 16 OF WESTPARK - PHASE 4 LARGE LOT SUBDIVISION, SUBDIVISION NO. 000176, BOOK CC OF MAPS, PAGE 53, O.R.P.C. AND ALL OF LOT B OF WESTPARK - PHASE 4, VILLAGE V-18E, BOOK DD OF MAPS, PAGE 48, O.R.P.C. LYING WITHIN SECTION 23

TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN
CITY OF ROSEVILLE, COUNTY OF PLACER, STATE OF CALIFORNIA



MAY 2016

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Sheet 1 of 8
1828.026

TRUSTEE'S STATEMENT

PLACER TITLE COMPANY, A CALIFORNIA CORPORATION, AS TRUSTEE UNDER DEED OF TRUST RECORDED SEPTEMBER 4, 2013, AS INSTRUMENT NO. 2013-0087415, OFFICIAL RECORDS OF PLACER COUNTY, AGAINST THE LAND SHOWN HEREON CONSENTS TO THE MAKING AND FILING OF THIS MAP.

BY: _____

NOTARY'S ACKNOWLEDGMENT

A NOTARY PUBLIC OR OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA)
COUNTY OF _____) SS

ON _____ DAY OF _____, 2016 BEFORE ME, _____
PERSONALLY APPEARED _____ WHO PROVED TO ME ON THE

BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT.

WITNESS MY HAND: _____

PRINTED NAME: _____

MY PRINCIPLE PLACE OF BUSINESS IS IN THE _____
COUNTY OF: _____

MY COMMISSION EXPIRES: _____

MY COMMISSION No.: _____

CITY ENGINEER'S STATEMENT

I HEREBY STATE THAT I HAVE EXAMINED THIS FINAL MAP OF WESTPARK-PHASE 4, VILLAGE W-18C AND FIND IT TO BE SUBSTANTIALLY THE SAME AS THE TENTATIVE MAP ON FILE AND ANY APPROVED ALTERATIONS THEREOF; THAT ALL PROVISIONS OF THE SUBDIVISION MAP ACT AND ALL APPLICABLE CITY ORDINANCES HAVE BEEN COMPLIED WITH.

MARC STOUT DATE
CITY ENGINEER, CITY OF ROSEVILLE
R.C.E. #69879 EXPIRATION DATE 09/30/2016

I HEREBY STATE THAT I AM SATISFIED THAT THIS FINAL MAP OF WESTPARK-PHASE 4, VILLAGE W-18C IS TECHNICALLY CORRECT.

FOR MARC STOUT, CITY ENGINEER, CITY OF ROSEVILLE

TIMOTHY G. BLAIR, P.L.S. 8278
EXPIRATION DATE: 12/31/2017

DATE _____



CITY CLERK'S STATEMENT

I HEREBY STATE THAT THE CITY COUNCIL, CITY OF ROSEVILLE HAS APPROVED THIS FINAL MAP OF WESTPARK-PHASE 4, VILLAGE W-18C AND HAS ACCEPTED ON BEHALF OF THE PUBLIC, SUBJECT TO IMPROVEMENT, THE RIGHTS-OF-WAY AND EASEMENTS OFFERED HEREON FOR DEDICATION AND HAS APPROVED THE ABANDONMENT OF THE IRREVOCABLE OFFERS OF DEDICATION/RIGHTS OF WAY IN NOTE 7 ON SHEET 2.

DATE _____ CITY CLERK, CITY OF ROSEVILLE

BY: _____
ASSISTANT CITY CLERK

RECORDER'S STATEMENT

FILED THIS _____ DAY OF _____, 2016, AT _____ M. IN
BOOK _____ OF MAPS, AT PAGE _____, AT THE REQUEST
OF FIRST AMERICAN TITLE.

FEE: \$ _____ DOCUMENT NO.: _____

BY: _____ BY: _____
JIM MCCAULEY DEPUTY RECORDER
COUNTY RECORDER
COUNTY OF PLACER

SURVEYORS STATEMENT

THIS FINAL MAP OF WESTPARK-PHASE 4, VILLAGE W-18C WAS PREPARED BY ME OR UNDER MY DIRECTION AND IS BASED UPON A FIELD SURVEY IN CONFORMANCE WITH THE REQUIREMENTS OF THE SUBDIVISION MAP ACT AND LOCAL ORDINANCE AT THE REQUEST OF PULTE HOME CORPORATION, A MICHIGAN CORPORATION IN JANUARY 2015. I HEREBY STATE THAT THIS FINAL MAP SUBSTANTIALLY CONFORMS TO THE CONDITIONALLY APPROVED TENTATIVE MAP; THAT ALL THE MONUMENTS SHOWN HEREON ARE OF THE CHARACTER AND WILL OCCUPY THE POSITIONS AS INDICATED, OR THAT THEY WILL BE SET BY DECEMBER 31, 2017 AND THAT THE MONUMENTS ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED.

WOOD RODGERS, INC.



DENNIS L. BARBER
P.L.S. 8067 EXP. 12/31/2017
DATE _____

NOTES

1. ALL CURVES DIMENSIONED WITH RADIUS, DELTA, ARC LENGTH, CHORD BEARING AND CHORD LENGTH. CHORD LENGTHS MAY CONTAIN SOME ROUNDING ERROR.
2. ALL DISTANCES SHOWN HEREON ARE EXPRESSED IN FEET AND DECIMALS THEREOF.
3. DUE TO ROUNDING THE SUM OF THE INDIVIDUAL DIMENSIONS MAY NOT EQUAL THE OVERALL DIMENSION.
4. TOTAL AREA FOR THIS "WESTPARK-PHASE 4 VILLAGE W-18C" SUBDIVISION IS 23.08± AC. GROSS, CONSISTING OF 102 RESIDENTIAL DEVELOPMENT LOTS, AND 1 LETTERED LOT.
5. A GEOTECHNICAL ENGINEERING REPORT ENTITLED "WESTPARK PHASE 4 RESIDENTIAL DEVELOPMENT" WAS PREPARED BY ENGO INC., DATED MAY 22, 2013. A COPY OF THIS REPORT IS AVAILABLE FOR PUBLIC INSPECTION AT THE CITY OF ROSEVILLE DEVELOPMENT SERVICES – ENGINEERING DEPARTMENT, FILE NO. 308-798.
6. 5/8" REBAR CAPPED "LS 8067" WILL BE SET AT ALL REAR LOT CORNERS, ALONG WITH ALL LOT LINE ANGLE POINTS OR AT 2.00 FOOT PROJECTION ALONG LOT LINES ADJACENT TO WALLS (2.00 FOOT BY 2.00 FOOT OFFSET FOR ANGLE POINTS ADJACENT TO WALLS). FRONT CORNERS WILL BE SET WITH A 1" BRASS DISK STAMPED "LS 8067" AT A 1.00 FOOT PROJECTION OF THE PROPERTY LINE ONTO THE SIDEWALK.
7. PURSUANT TO SECTION 66434(g) OF THE GOVERNMENT CODE, THE FOLLOWING PUBLIC IRREVOCABLE OFFERS OF DEDICATION/RIGHTS OF WAY (IOD/ROW) ARE HEREBY ABANDONED:

(A) THOSE PORTIONS OF THE IOD/ROW DEPICTED ON THE MAP ENTITLED "WESTPARK-PHASE 4, LARGE LOT SUBDIVISION", FILED IN BOOK "CC" OF MAPS, AT PAGE 53, O.R.P.C. WHICH DO NOT LIE WITHIN THE RIGHT-OF-WAY AS DELINEATED ON THIS FINAL MAP.

NOTARY'S ACKNOWLEDGMENT

A NOTARY PUBLIC OR OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA)
COUNTY OF _____) SS

ON _____ DAY OF _____, 2016 BEFORE ME, _____
PERSONALLY APPEARED _____

WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTRY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT.

WITNESS MY HAND: _____

PRINTED NAME: _____

MY PRINCIPLE PLACE OF BUSINESS IS IN THE _____

COUNTY OF: _____

MY COMMISSION EXPIRES: _____

MY COMMISSION No.: _____

REFERENCES:

- (1) CC MAPS 53 (WESTPARK-PHASE 4, LARGE LOT SUBDIVISION)
- (2) BB MAPS 24 (FIDDYMENT RANCH PHASE 2, LARGE LOT SUBDIVISION)
- (3) CC MAPS 91 (WESTPARK-PHASE 4, VILLAGE W-17C)
- (4) DD MAPS 6 (WESTPARK-PHASE 4, VILLAGE W-17A)
- (5) DD MAPS 42 (WESTPARK-PHASE 4, VILLAGE W-19B)
- (6) DD MAPS 48 (WESTPARK-PHASE 4, VILLAGE W-18E)

BASIS OF BEARINGS

THE BASIS OF BEARINGS FOR THIS SURVEY IS THE SOUTH LINE OF SECTION 24, T.11N., R.5E., M.D.M. TAKEN AS NORTH 89°28'27" EAST PER THE FIDDYMENT RANCH PHASE 2 LARGE LOT SUBDIVISION MAP ON FILE IN THE OFFICE OF THE PLACER COUNTY RECORDER IN BOOK BB OF MAPS, AT PAGE 24.

NOTARY'S ACKNOWLEDGMENT

A NOTARY PUBLIC OR OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF CALIFORNIA)
COUNTY OF _____) SS

ON _____ DAY OF _____, 2016 BEFORE ME, _____
PERSONALLY APPEARED _____

WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTRY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING IS TRUE AND CORRECT.

WITNESS MY HAND: _____

PRINTED NAME: _____

MY PRINCIPLE PLACE OF BUSINESS IS IN THE _____

COUNTY OF: _____

MY COMMISSION EXPIRES: _____

MY COMMISSION No.: _____

LEGEND

- DIMENSION POINT
- ◎ SET COPPERWELD SURVEY MONUMENT WITH CENTER PUNCH IN MONUMENT WELL STAMPED L.S. 8067
- FOUND COPPERWELD SURVEY MONUMENT WITH CENTER PUNCH IN MONUMENT WELL STAMPED L.S. 8067
- ⊗ COPPERWELD SURVEY MONUMENT WITH CENTER PUNCH IN MONUMENT WELL STAMPED "LS 7944" (TO BE SET PER CC MAPS 53)
- FOUND COPPERWELD SURVEY MONUMENT WITH CENTER PUNCH IN MONUMENT WELL STAMPED "LS 7944"
- FOUND 3/4" GALVANIZED PIPE WITH PLASTIC CAP STAMPED "LS 7944"
- FOUND 5/8" REBAR WITH PLASTIC CAP STAMPED "LS 4533"
- ◆ FOUND SECTION CORNER AS NOTED
- ⊞ FOUND QUARTER CORNER AS NOTED
- AC ACRES
- IOD/ROW IRREVOCABLE OFFER FOR DEDICATION/RIGHT-OF-WAY
- I.P. IRON PIPE
- NTS NOT TO SCALE
- (OA) OVERALL DISTANCE
- O.R.P.C. OFFICIAL RECORDS OF PLACER COUNTY
- PUE PUBLIC UTILITY EASEMENT
- (R) RADIAL BEARING
- SF SQUARE FEET

FINAL MAP OF

WESTPARK - PHASE 4
VILLAGE W-18C

WEST ROSEVILLE SPECIFIC PLAN PARCEL W-18C
SUBDIVISION NO. 000176

BEING ALL OF LOT 16 OF WESTPARK - PHASE 4 LARGE LOT SUBDIVISION, SUBDIVISION NO. 000176, BOOK CC OF MAPS, PAGE 53, O.R.P.C. AND ALL OF LOT B OF WESTPARK - PHASE 4, VILLAGE V-18E, BOOK DD OF MAPS, PAGE 48,

O.R.P.C. LYING WITHIN SECTION 23
TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN
CITY OF ROSEVILLE, COUNTY OF PLACER, STATE OF CALIFORNIA

MAY 2016



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Sheet 2 of 8

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WESTPARK - PHASE 2
VILLAGE W-18C

WEST ROSEVILLE SPECIFIC PLAN PARCEL W-18C
SUBDIVISION NO. 000176

BEING ALL OF LOT 16 OF WESTPARK - PHASE 4 LARGE LOT SUBDIVISION, SUBDIVISION NO. 0000176, BOOK CC OF MAPS, PAGE 53, O.R.P.C. AND ALL OF LOT B OF WESTPARK - PHASE 4, VILLAGE V-18E, BOOK DD OF MAPS, PAGE 48, O.R.P.C. LYING WITHIN SECTION 23

O.R.P.C. LYING WITHIN SECTION 2

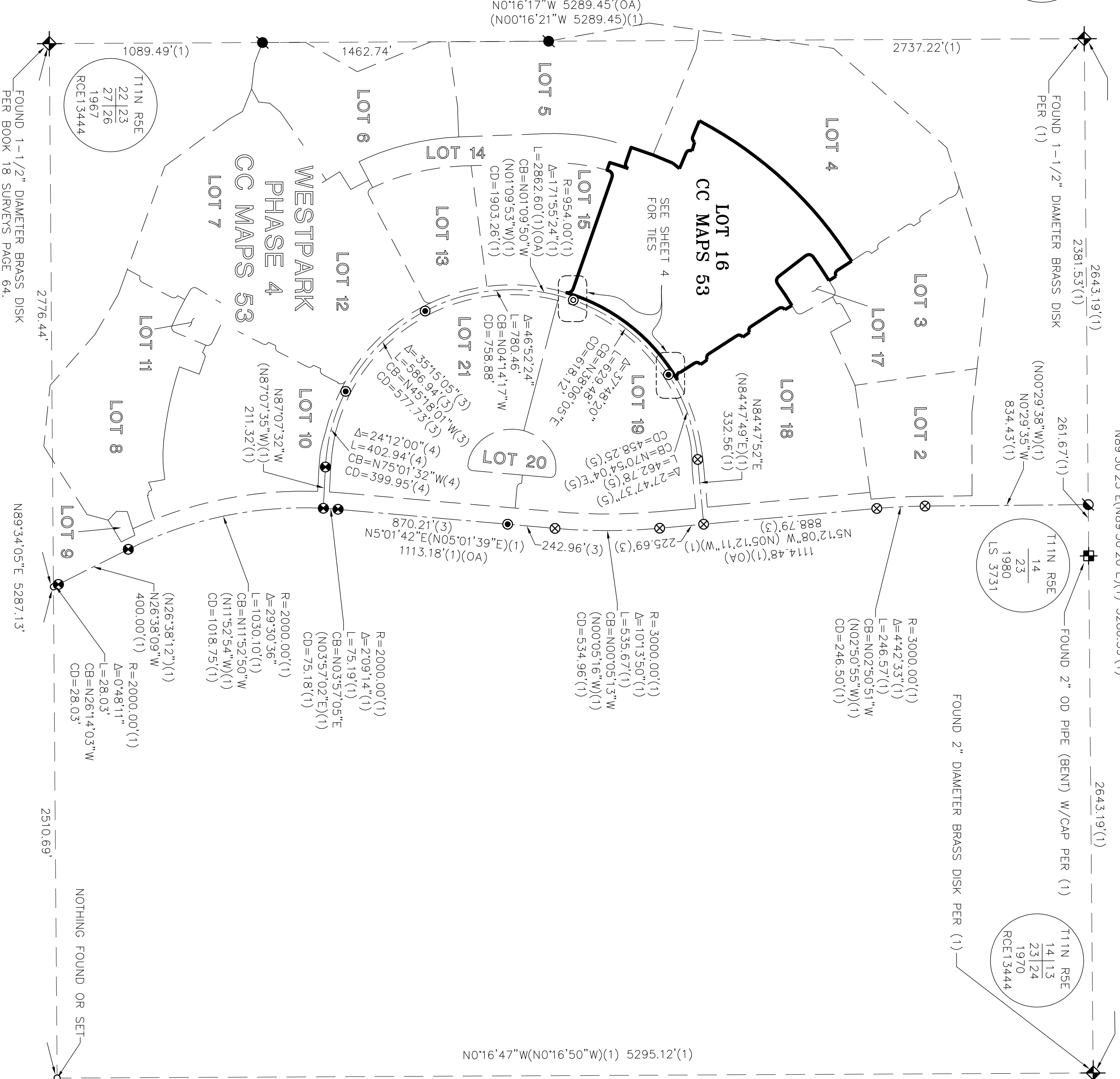
TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN
CITY OF ROSEVILLE, COUNTY OF PLACER, STATE OF CALIFORNIA

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Sheet 3 of 8
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FOUND BRASS DISK IN WELL STAMPED PER (1)
 N89.28.2.7"E 5282.21(2)
 N89.28.2.4"E 5282.18(1)
 BASIS OF BEARINGS

BASIS OF BEARING:

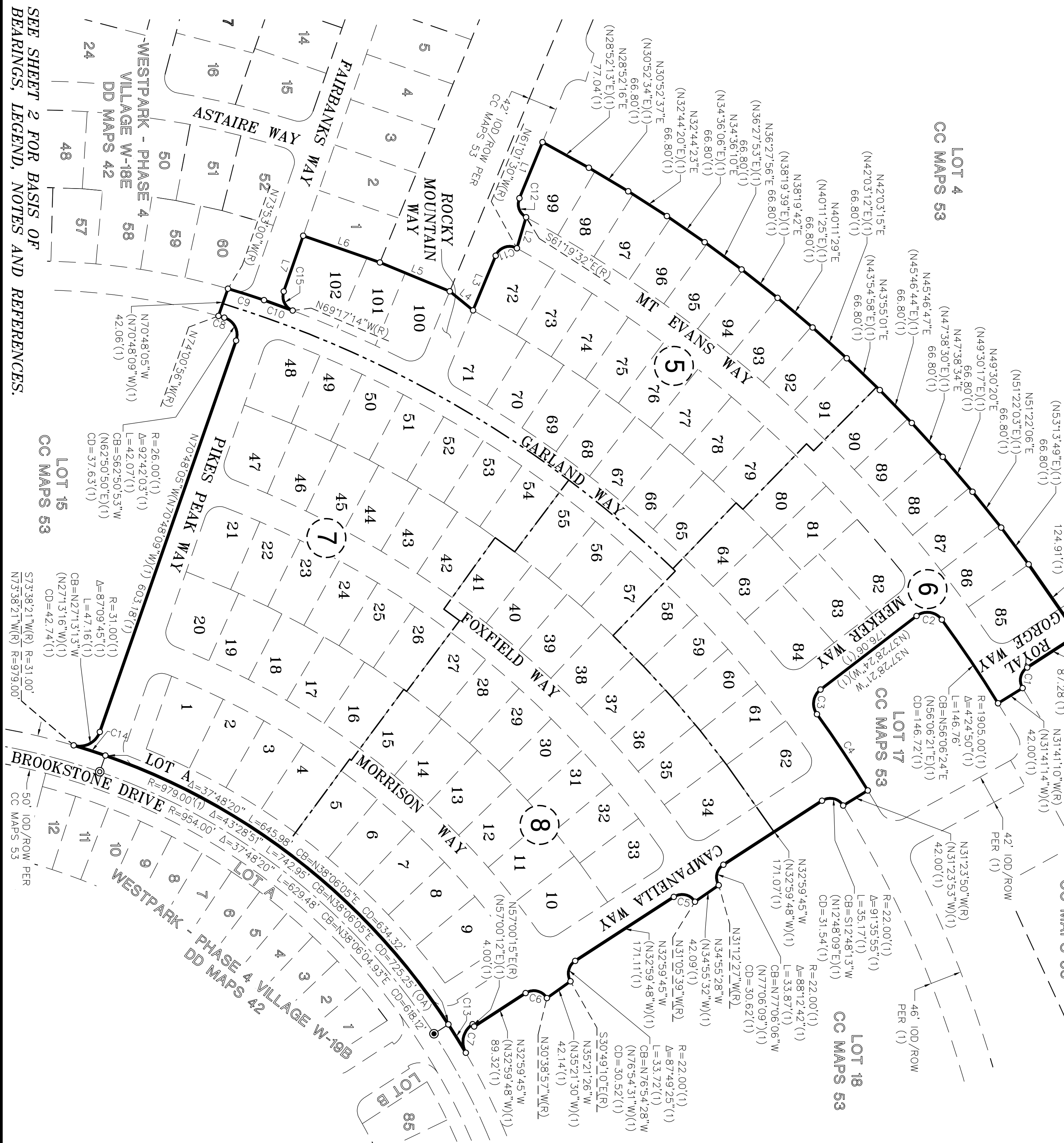
T11N	
R5E	R6E
S24	S19
S25	S30
1994	
LS 5854	



SEE SHEET 2 FOR BASIS OF
BEARINGS, LEGEND, NOTES AND REFERENCES

OVERALL BOUNDARY SITE PLAN

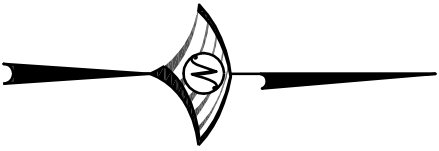
VILLAGE W-18C BOUNDARY SHEET



Curve Table - This Sheet Only			
No.	Radius	Delta	Chord Bearing
C1	22.00'(1)	88.41'26"(1)	34.05'(1)
C2	26.00'(1)	91.22'20"(1)	41.46'(1)
C3	26.00'(1)	88.29'09"(1)	40.15'(1)
C4	1677.00'(1)	4.33'40"(1)	35.29'(1)
C5	22.00'(1)	91.54'06"(1)	33.50'(1)
C6	22.00'(1)	92.20'48"(1)	35.46'(1)
C7	31.00'(1)	87.09'45"(1)	47.16'(1)
C8	981.00'(1)	0.30'47"(1)	8.79'(1)
C9	1023.00'(1)	3.04'55"(1)	55.03'(1)
C10	1681.00'(6)	1'30.51"(6)	44.42'
C11	22.00'(1)	96.32'54"(1)	37.07'(1)
C12	22.00'(1)	83.45'08"(1)	32.16'(1)
C13	979.00'	2'50.15"	48.49'
C14	979.00'	2'50.15"	48.49'
C15	22.00'(6)	88.29'09"(6)	33.98'

Chord Bearing			
No.	Radius	Delta	Chord Dist
C1	22.00'(1)	88.41'26"(1)	30.76'(1)
C2	26.00'(1)	91.22'20"(1)	37.21'(1)
C3	26.00'(1)	88.29'09"(1)	36.28'(1)
C4	1677.00'(1)	4.33'40"(1)	13.54'7(1)
C5	22.00'(1)	91.54'06"(1)	31.62'(1)
C6	22.00'(1)	92.20'48"(1)	31.74'(1)
C7	31.00'(1)	87.09'45"(1)	42.74'(1)
C8	981.00'(1)	0.30'47"(1)	8.79'(1)
C9	1023.00'(1)	3.04'55"(1)	55.02'(1)
C10	1681.00'(6)	1'30.51"(6)	44.42'(6)
C11	22.00'(1)	96.32'54"(1)	32.84'(1)
C12	22.00'(1)	83.45'08"(1)	29.37'(1)
C13	979.00'	2'50.15"	48.48'
C14	979.00'	2'50.15"	48.48'
C15	22.00'(6)	88.29'09"(6)	30.70'(6)

Line Table - This Sheet Only		
No.	Bearing	Length
L1	N67°34'24"W(N67°34'28"W)(1)	88.18'(1)
L2	N73°32'59"W(N73°33'02"W)(1)	47.09'(1)
L3	N67°34'24"W(N67°34'28"W)(1)	86.09'(1)
L4	N39°17'37"E(N39°17'33"E)(1)	43.89'(1)
L5	N22°25'36"E(N22°25'32"E)(1)	109.96'(1)
L6	N19°11'55"E(6)(N19°11'51"E)(1)	118.25'(6)
L7	N70°48'05"W(N70°48'09"W)(6)	85.86'(6)



FINAL MAP OF
WESTPARK - PHASE 4
VILLAGE W-18C
SUBDIVISION SPECIFIC PLAN PARCEL W-18C
SUBDIVISION NO. 000176

BEING ALL OF LOT 16 OF WESTPARK - PHASE 4 LARGE LOT SUBDIVISION,
SUBDIVISION NO. 000176, BOOK CC OF MAPS, PAGE 53, O.R.P.C. AND ALL OF
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CITY OF ROSEVILLE, COUNTY OF PLACER, STATE OF CALIFORNIA



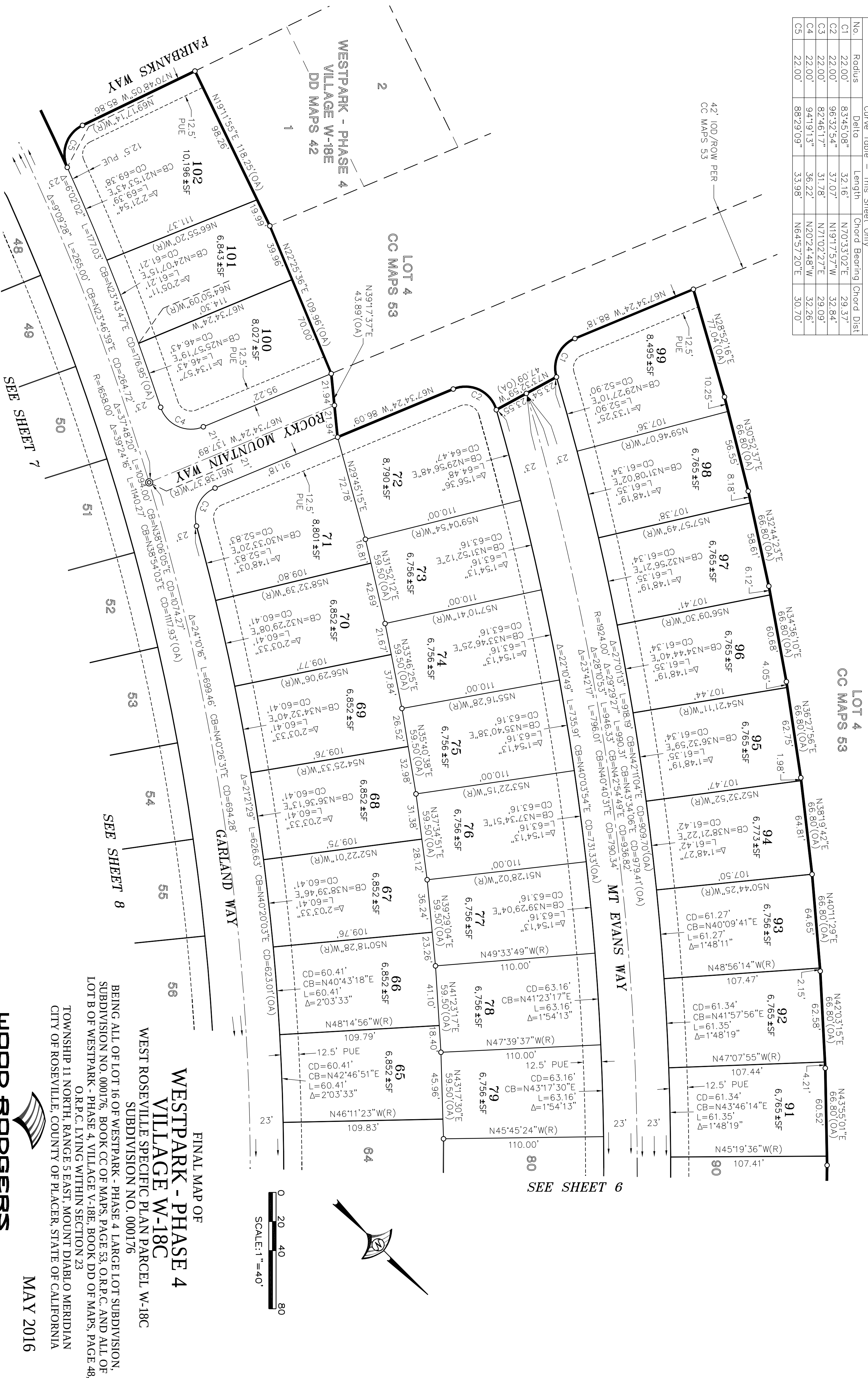
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Sheet 4 of 8

SEE SHEET 2 FOR BASIS OF
BEARINGS, LEGEND, NOTES AND REFERENCES.

Curve Table – This Sheet Only					
No.	Radius	Delta	Length	Chord Bearing	Chord Dist
C1	22.00'	83.545.08"	32.16'	N70°33.02'E	29.37'
C2	22.00'	96.325.44"	37.07'	N19°17.57'W	32.84'
C3	22.00'	82.464.17"	31.78'	N71.02.27"E	29.09'
C4	22.00'	94.919.13"	36.22'	N20°24.48'W	32.26'
C5	22.00'	88.299.09"	33.98'	N64°57.20'E	30.70'



SEE SHEET 2 FOR BASIS OF BEARINGS, LEGEND, NOTES AND REFERENCES.

MAY 2016

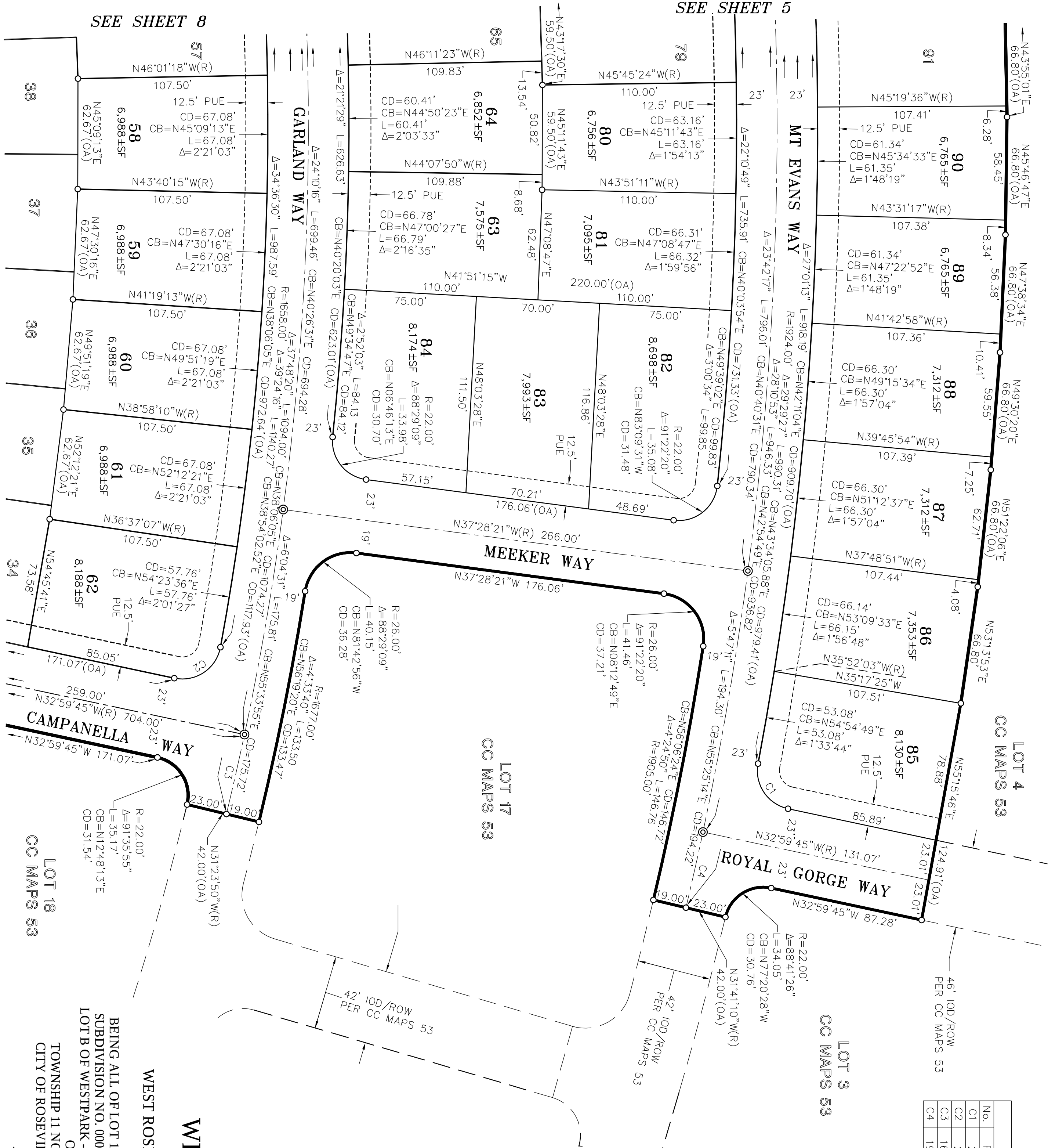
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Sacramento, CA 95816 Fax 916.341.7767

1828.026

Curve Table - This Sheet Only				
No.	Radius	Delta	Length	Chord Bearing Chord Dist
C1	22.00'	88°41'26"	34.05'	N11°20'58"E 30.76'
C2	22.00'	91°35'55"	35.17'	N°8°47'42"W 31.54'
C3	1658.00'	1°35'55"	46.26'	N57°48'13"E 46.26'
C4	1924.00'	1°18'34"	43.98'	N57°39'32"E 43.97'



FINAL MAP OF
WESTPARK - PHASE 4
VILLAGE W-18C
WEST ROSEVILLE SPECIFIC PLAN PARCEL W-18C
SUBDIVISION NO. 000176

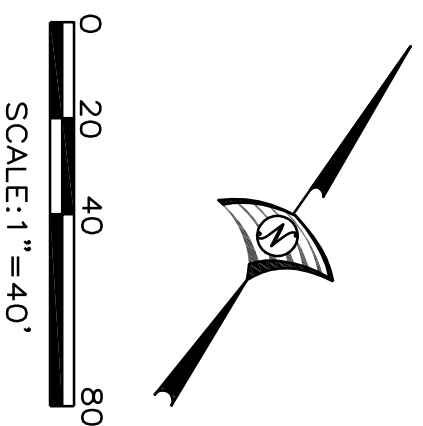
BEING ALL OF LOT 16 OF WESTPARK - PHASE 4 LARGE LOT SUBDIVISION,
SUBDIVISION NO. 000176, BOOK CC OF MAPS, PAGE 53, O.R.P.C. AND ALL OF
LOT B OF WESTPARK - PHASE 4, VILLAGE V-18E, BOOK DD OF MAPS, PAGE 48,
O.R.P.C. LYING WITHIN SECTION 23
TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN
CITY OF ROSEVILLE, COUNTY OF PLACER, STATE OF CALIFORNIA

WOOD RODGERS
DEVELOPING • INNOVATIVE • DESIGN • SOLUTIONS
3301 C St., Bldg. 100-B Tel 916.341.7760
Sacramento, CA 95816 Fax 916.341.7767

MAY 2016

SEE SHEET 2 FOR BASIS OF
BEARINGS, LEGEND, NOTES AND REFERENCES.

Curve Table – This Sheet Only					
No.	Radius	Delta	Length	Chord Bearing	Chord Dist.
C1	22.00'	88.12.42"	33.87'	N77°06.10'E	30.62'
C2	22.00'	91°54.06"	35.29'	N12°57.18'E	31.62'
C3	22.00'	87°49.25"	33.72'	N76°54.28'W	30.52'
C4	22.00'	92°20.48"	35.46'	N13°10.39'E	31.74'
C5	31.00'	87°09.45"	47.16'	N76°34.37'W	42.74'
C6	31.00'	87°09.45"	47.16'	N10°35.07'E	42.74'
C7	22.00'	92°20.48"	35.46'	N79°10.09'W	31.74'
C8	1121.00'	0°21.48"	7.11'	N54°28.33'W	7.11'
C9	22.00'	87°49.25"	33.72'	N10°54.58'E	30.52'
C10	22.00'	91°54.06"	35.29'	N78°56.48'W	31.62'
C11	22.00'	88.12.42"	33.87'	N11°06.36'E	30.62'
C12	1009.00'	3°54.27"	68.81'	N82°02.22'E	68.80'
C13	1009.00'	3°25.27"	60.30'	N48°22.22'E	60.29'
C14	1009.00'	3°25.27"	60.30'	N44°56.58'E	60.29'
C15	1009.00'	3°25.27"	60.30'	N41°31.32'E	60.29'
C16	1009.00'	3°25.27"	60.30'	N38°06.05'E	60.29'
C17	1399.00'	1°50.39"	45.03'	N57°55.35'E	45.03'
C18	1142.00'	2°15.36"	45.04'	N58°08.03'E	45.04'
C19	979.00'	5°40.31"	96.97'	N57°00.15'E	96.93'
C20	979.00'	2°50.15"	48.49'	N58°25.23'E	48.48'
C21	979.00'	2°50.15"	48.49'	N55°35.07'E	48.48'
C22	954.00'	27°47.37"	462.78'	N70°54.04'E	458.25'



SEE SHEET 2 FOR BASIS OF BEARINGS, LEGEND, NOTES AND REFERENCES.



MAY 2016

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Sheet 8 of 8

3301 C St., Bldg. 100-B Tel 916.341.7760
Sacramento, CA 95816 Fax 916.341.7767

1828.026

RESOLUTION NO. 16-214

APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND PULTE HOME CORPORATION, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a Subdivision Agreement for Westpark Phase 4 Village W-18C Subdivision, by and between the City of Roseville and Pulte Home Corporation, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

**RECORDING REQUESTED BY AND WHEN
RECORDED, PLEASE RETURN TO:**

**City Clerk
CITY OF ROSEVILLE
311 Vernon Street
Roseville, CA 95678**

SUBDIVISION AGREEMENT

Westpark-Phase 4 Village W-18C SUBDIVISION

THIS AGREEMENT, made and entered into this ____ day of _____,
20__, by and between the CITY OF ROSEVILLE, a municipal corporation ("CITY"), and
Pulte Home Corporation _____,
a Michigan corporation _____, ("SUBDIVIDER"),

W I T N E S S E T H:

WHEREAS, SUBDIVIDER is possessed of a tract of land lying in the City of
Roseville, County of Placer, known as "Westpark-Phase 4 Village W-18C Subdivision"
(Subdivision no. 000176; "the Subdivision"); and

WHEREAS, a tentative map of the Subdivision was approved by the City Planning
Commission on the 27 day of June, 2013, and the final map of the Subdivision
has been approved subject to the execution of this Agreement; and

WHEREAS, the final map has been filed on the ____ day of _____, 20__ in
Book _____ of Parcel/Subdivision Maps at Page _____ in the Official Records, Placer
County Recorder, file no. _____; and

WHEREAS, Section 18.20.110 of the Roseville Municipal Code, regulating the
subdivision of land in the City, requires that SUBDIVIDER shall execute and file an
agreement between itself and CITY relating to the improvements to be made in a subdivision,
prior to the approval by the City Council of the final map.

NOW, THEREFORE, the parties agree as follows:

1. Rights-of-Way. Except as otherwise provided by this Agreement, CITY rejects all lands, right-of-ways, and easements offered for dedication on the final map of the Subdivision. All such offers shall, however, remain open, and shall constitute irrevocable offers of dedication in accordance with Government Code Section 66477.2. All such offers may be accepted by CITY in its sole discretion at any later date without further notice to SUBDIVIDER as provided by law.

By way of explanation only, it is the current intention of CITY to accept all or part of such irrevocable offers to dedicate upon acceptance of the improvements called for in this Agreement.

2. Improvements - Time Limit. SUBDIVIDER agrees to cause all improvements to be made and constructed in the Subdivision to fully comply with the requirements of the Roseville Municipal Code and the Subdivision Map Act of the State of California according to the improvement plans for the Subdivision prepared by Wood Rodgers, dated July 9, 2015 and approved by the City Engineer and including any changes or alterations to such improvements required by the City Engineer, and to install, on or before December 31, 2017, such survey monuments as may be required by the City Engineer ("the Work"). SUBDIVIDER agrees to pay for any materials, provisions or other supplies used in, upon, for or about the performance of the Work, or for any work or labor of any kind done in connection with the Work, or for any amounts due under the Unemployment Insurance Act with respect to such work or labor.

SUBDIVIDER agrees to complete all of the Work on or before twenty-four (24) months from the date of this Agreement. If in the opinion of the City Engineer of CITY, an extension of this Agreement is not detrimental to the public welfare, he or she is, in his or her sole discretion, hereby authorized to extend the time within which the Work shall be completed for a period not to exceed twelve (12) months. Upon a written application made by SUBDIVIDER, further extensions, not to exceed an additional six (6) months, shall be

reviewed and approved at the discretion of the City Engineer of CITY. Any such application extension request by SUBDIVIDER shall fully state the grounds and facts relied upon for the granting of additional extensions.

In the event that SUBDIVIDER shall fail to complete the Work within the time provided by this Agreement, CITY may, in its sole discretion and in addition to any other remedy provided in this Agreement or by law, enter upon the Subdivision and complete the Work and recover the full cost and expense of such construction from SUBDIVIDER, SUBDIVIDER's successors and assigns, or from the then owner of the Subdivision, and/or place a lien upon the Subdivision for such cost and expense.

If at anytime prior to the expiration of the time provided by this Agreement the City Engineer of CITY determines that a safety hazard exists within an existing public right-of-way, the City Engineer shall give written notice to SUBDIVIDER to eliminate the safety hazard. If SUBDIVIDER fails to eliminate the safety hazard within five (5) calendar days of the written notice, CITY may, in its sole discretion and in addition to any other remedy provided in this Agreement or by law, eliminate the safety hazard and recover the full cost and expense of such work from SUBDIVIDER, SUBDIVIDER's successors and assigns, or from the then owner of the Subdivision, and/or place a lien upon the Subdivision for such cost and expense.

3. Acquisition and Dedication of Easements or Rights-of-Ways. If any of the Work is to be constructed or installed on land not within the Subdivision or already existing public right-of-way, no construction or installation shall be commenced before:

- a. The irrevocable offer of dedication or conveyance to CITY of appropriate right-of-ways, easements or other interest in real property, and appropriate authorization from the property owner to allow construction or installation of the improvements or work; or
- b. The issuance of an order of possession by a court of competent jurisdiction pursuant to the State Eminent Domain Law. SUBDIVIDER shall comply in all respects with any such order of possession.

Nothing in this Section 3 shall be construed as authorizing or granting an extension of time to SUBDIVIDER for completion of the Work.

4. Inspection Fees and Costs. The City Engineer shall inspect all of the Work improvements made in the Subdivision to see that they comply with CITY's subdivision regulations and specifications. SUBDIVIDER shall deposit the estimated inspection fee and reimburse CITY for the cost of such inspections in accordance with Roseville Municipal Code section 18.20.070.

5. Securities.

a. SUBDIVIDER shall file with this Agreement two (2) improvement securities as set forth in Roseville Municipal Code Sections 18.20.150 and 18.20.160. Each security shall be in the amount of one hundred percent (100%) of the total estimated cost of the Work as determined by the City Engineer which amount is the sum of \$ 3,144,453.00 . One improvement security shall secure faithful performance of this Agreement as required by Section 66499.3(a) of the Government Code of the State of California (the "faithful performance" security). The second improvement security shall, as required by Section 66499.3(b) of the Government Code of the State of California, secure the obligations set forth in Title 15 (commencing with Section 3082) of Part 4 of Division 3 of the Civil Code of the State of California for payment to the contractor, his subcontractors and to persons renting equipment or furnishing labor or materials to them for the Work (the "payment security"). The improvement securities required under this paragraph shall also secure placement of monumentation and guarantee payment therefor as provided by California Government Code section 66496.

b. SUBDIVIDER shall also file with this Agreement a "guarantee and warranty security" in the amount of ten percent (10%) of the City Engineer's estimated cost of the Work as set forth in Section 18.20.170 of the Roseville Municipal Code to guarantee and warrant the Work for a period of one year following its completion and acceptance against any defective work or labor done, or defective materials furnished, as required by Section 66499(a)(5) of the Government Code of the State of California. The guarantee and warranty

security shall be released upon SUBDIVIDER's request following expiration of the warranty period and subject to the reasonable determination of the City Engineer that SUBDIVIDER's warranty obligations have been satisfied.

c. Any bonds submitted as security pursuant to this section shall be executed by a surety company authorized to transact a surety business in the State of California. All required securities shall be in a form approved by the City Attorney of CITY.

6. Successors and Assigns – Covenant Running with the Land. This Agreement shall inure to the benefit of, and be binding upon, the successors and assigns of the respective parties. It shall be recorded in the Office of the Recorder of Placer County concurrently with the final map of the Subdivision, and shall constitute a covenant running with the land and an equitable servitude upon the real property within the Subdivision.

7. Acceptance of Improvements Upon Completion. Upon satisfactory completion of the Work, in accordance with this Agreement, CITY agrees to accept the Work and its improvements and the offers of dedication described in paragraph 1, subject to the provisions of paragraphs 1 and 10 of this Agreement.

8. Notice Regarding Construction. SUBDIVIDER shall notify the City Engineer of CITY in writing three (3) days prior to the commencement of construction of the Work.

9. Subdivider's Obligation to Warn Public During Construction. Until final acceptance of the Work, SUBDIVIDER shall give good and adequate warning to the public of each and every dangerous condition which may exist in the Work, and will take all reasonable actions to protect the public from any such dangerous condition.

10. Warranty.

a. SUBDIVIDER guarantees and warrants the public improvements which constitute the Work required by this Agreement, and agrees to remedy any defects in the improvements or the Work arising from faulty or defective materials or construction of said improvements or the Work occurring within twelve (12) months after their acceptance by CITY. SUBDIVIDER agrees to permit inspection of all improvements in the Subdivision by

the City Engineer of CITY for such period, and to reimburse CITY for the cost of such inspections.

b. If, within the warranty period, the Work or improvement or any part of the Work done, furnished, installed, or constructed fails to fulfill any of the requirements of this Agreement or the improvement plans and specifications, SUBDIVIDER shall, without delay or cost to CITY, repair, replace or reconstruct any defective or otherwise unsatisfactory part or parts of the Work. CITY may, at its sole option, perform such repair or replacement itself if SUBDIVIDER has failed to commence such repair within twenty (20) days after CITY has mailed written notice of default to SUBDIVIDER and to SUBDIVIDER'S Surety. In such event, SUBDIVIDER agrees to pay the cost of such repair and replacement by CITY and CITY may, at its option, recover such cost as a lien against the Subdivision should the cost exceed the inspection fee deposited pursuant to paragraph 4 of this Agreement.

Should CITY determine that the public safety requires repairs or replacements to be made before SUBDIVIDER can be notified, CITY may, in its sole discretion, make the necessary repairs or replacement or perform the necessary work. SUBDIVIDER shall pay to CITY the cost of such repairs and CITY may at its option recover such cost as a lien against the Subdivision.

11. Indemnification. To the fullest extent allowed by law, SUBDIVIDER shall defend, indemnify, and save and hold harmless CITY, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of SUBDIVIDER 's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from CITY's sole or active negligence or willful misconduct. The parties intend that this provision shall be broadly construed.

SUBDIVIDER's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

12. Insurance. SUBDIVIDER agrees to continuously maintain, in full force and effect, at a minimum the following policies of insurance during the term of this Agreement.

COVERAGE

LIMITS OF LIABILITY

General Liability

\$2,000,000 per occurrence

\$4,000,000 aggregate

Personal Injury:

\$2,000,000 per occurrence

\$4,000,000 aggregate

Automobile Liability

\$1,000,000 combined single limit

Workers' Compensation

Statutory

a. Form. SUBDIVIDER shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

b. Additional Insureds. SUBDIVIDER shall also provide a separate endorsement form or section of the policy showing CITY, its officers, agents, employees and volunteers as additional insureds for each type of coverage, except for Workers' Compensation, for ongoing and completed operations. Such insurance shall specifically cover the contractual liability of SUBDIVIDER. The additional insured coverage under the SUBDIVIDER's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from CITY's insurance or self-insurance. In addition, the

additional insured coverage shall be at least as broad as the Insurance Services Office (“ISO”) CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.

c. Cancellation/Modification. SUBDIVIDER shall provide ten (10) days written notice to CITY prior to cancellation or modification of any insurance required by this Agreement.

d. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of CITY (if agreed to in a written contract) before CITY’s own insurance shall be called upon to protect it as a named insured.

e. Self-Insured Retentions. All self-insured retentions (“SIR”) must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or CITY. CITY reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.

f. Waiver of Subrogation. SUBDIVIDER hereby agrees to waive subrogation which any insurer of SUBDIVIDER may acquire from SUBDIVIDER by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of CITY,

its officers, agents, employees and volunteers for all work performed by SUBDIVIDER, its employees, agents and subcontractors.

g. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve SUBDIVIDER of liability in excess of such coverage, nor shall it preclude CITY from taking such other actions as are available to it under any other provisions of this Agreement or law.

13. Irrevocability of Security. The improvement securities furnished pursuant to paragraph 5 shall be irrevocable, shall not be limited as to time (except as to the one-year guarantee and warranty period specified in paragraph 10) and shall provide that they may be released, in whole or part, only upon the written approval of the City Engineer of CITY.

14. Attorney's Fees; Venue; Governing Law. Any action by any party to this Agreement, or any action concerning a security furnished pursuant to paragraph 5, shall be brought in the appropriate court of competent jurisdiction within the County of Placer, State of California, notwithstanding any other provision of law which may provide that such action may be brought in some other location. In the event it becomes necessary for either party to bring an action with respect to enforcement of the provisions of this Agreement, or the security therefor, the prevailing party in such action shall be awarded a reasonable attorney's fee, as may be determined by the Court. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

15. Release of Faithful Performance Security. Release of faithful performance security shall be in compliance with and pursuant to the procedures set forth in Government Code Section 66499.7. Upon the completion of all secured Work by SUBDIVIDER and written acceptance of that completed Work by CITY, and the furnishing of the warranty security as required by paragraph 5(b), the City Engineer shall authorize the release of the remaining faithful performance security.

16. Release of Payment Security. Thirty-five (35) days after a notice of completion is recorded with respect to the Work, the City Engineer of CITY may authorize the release of

the payment security, in the event that no claims have been filed against said security. In the event that claims or actions are filed against the security, the City Engineer may release so much of such security as is in excess of the total of the claims made against it, plus a reasonable amount of costs and attorney's fees.

17. Automatic Termination Upon Completion and Sale of Residential Unit. This Agreement and any lien pursuant hereto shall automatically be terminated, without any further action by either party or need to record any additional document, with respect to any single-family residential lot within a parcel designated by the Specific Plan for residential use, upon completion of construction and issuance by the City of a final inspection for a dwelling unit upon such residential lot and conveyance of such improved residential lot to a bona-fide good faith purchaser thereof. In connection with its issuance of a final inspection for such improved lot, City shall confirm that: (i) all improvements which are required to serve the lot, as determined by City, have been accepted by City; (ii) the lot is included within the Maintenance CFD required by Section 3.20 or other financing mechanism acceptable to the City, to the extent required hereby; and (iii) if and to the extent applicable to such lot, an affordable purchase or rental housing agreement has been recorded on the lot. Termination of this Agreement for any such residential lot as provided for in this section shall not in any way be construed to terminate or modify any assessment district or Mello-Roos Community Facilities District lien affecting such lot at the time of termination.

18. Failure of Performance. In addition to any other remedies provided in this Agreement or by law, in the event SUBDIVIDER fails to perform one or more of the covenants or conditions of this Agreement, CITY shall have recourse to the security given to guarantee the performance of such acts. CITY may do, or cause to be done, those acts required of SUBDIVIDER, and shall have recourse against so much of the security as is necessary to discharge the responsibility of SUBDIVIDER. In the event CITY seeks recourse against a security, CITY shall also have recourse against SUBDIVIDER for any and all

amounts necessary to complete the obligations of SUBDIVIDER in the event the security is insufficient to pay such amounts. All administrative costs, including reasonable attorney's fees pursuant to Section 66499.4 of the Government Code, incurred by CITY, in addition to the costs of the improvements, shall be a proper charge against the security and SUBDIVIDER.

19. Integration. This Agreement is an integrated agreement. It supersedes all prior negotiations, representations, or agreements, either written or oral.

20. Modification. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

21. Notices. Any notices sent to the parties relating to this Agreement shall be sent by first class mail, postage prepaid or delivered to the following addresses:

Marc Stout, City Engineer
City of Roseville
311 Vernon Street
Roseville, CA 95678

Joe Chretien L.D.Mgr.
Pulte Group
1544 Eureka Road Suite 150
Roseville CA, 95661

Either party may amend its address by giving written notice to the other.

22. Severability. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attestation by its City Clerk under authority of Resolution No. ____, adopted by the Council of the City of Roseville on the ____ day of ____, 20__, and SUBDIVIDER has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation,

BY: _____
ROB JENSEN
City Manager

Pulte Home Corporation,
a Michigan Corporation

BY: [Signature]
its: Vice President & Land

ATTEST:

and

BY:



BY:

SONIA OROZCO
City Clerk

its:

Division VP Finance

APPROVED AS TO FORM:

(NOTARIZATION REQUIRED)

BY:

ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUFFICIENCY:

BY:



MARC STOUT
City Engineer

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA

COUNTY OF ALAMEDA

On May 4, 2016, before me, Jeanne Miller, Notary Public

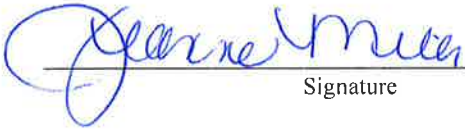
(here insert name and title of the officer)

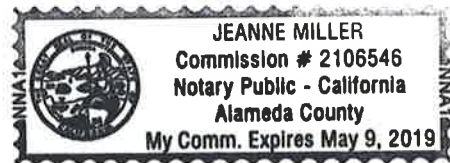
Personally appeared Gregory Van Dam,

who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.


Signature



(Seal)

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA

COUNTY OF ALAMEDA

On May 4, 2016, before me, Jeanne Miller, Notary Public

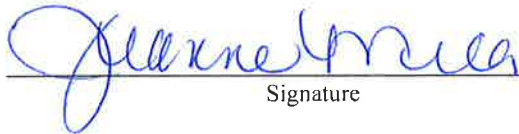
(here insert name and title of the officer)

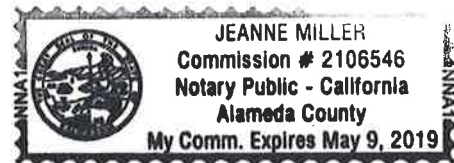
Personally appeared Chris Winter,

who proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he/~~she~~ executed the same in his/~~her~~ authorized capacity, and that by his/~~her~~ signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.


Signature



(Seal)

Meritage Homes of California, Inc. a California corporation ("Meritage") hereby consents to have the Westpark-Phase 4 Village W-18C Subdivision Agreement recorded.

Meritage has an undivided twenty-four and 50/100 percent (24.5%) interest in Village 18C.

BY: Barry Grant

Its: Division President

and

BY: John A. Bambant

Its: Vice President

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California
County of SOLANO

On MAY 10, 2016 before me, VICTORIA ADAMS
(insert name and title of the officer)

personally appeared BARRY GRANT AND JOHN BARNHART,
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are
subscribed to the within instrument and acknowledged to me that he/she/they executed the same in
his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the
person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

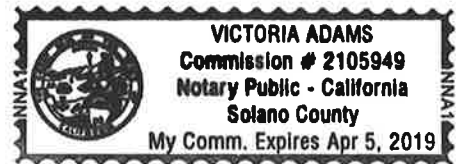
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing
paragraph is true and correct.

WITNESS my hand and official seal.

Signature

Victoria Adams

(Seal)





COUNCIL COMMUNICATION

CC #: 7717

File #: 0400-04-12-1

Title: Westbrook Phase 1 Villages 5A & 5B Phase 2 - Final Map and Subdivision Agreement

Contact: Kerry Andrews 916-774-5346 kandrews@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.15.

RECOMMENDATION TO COUNCIL

It is recommended that the City Council take the following actions:

1. Approve the final map on file with the City Clerk and accept on behalf of the public, subject to improvement, the rights of way and the easements offered thereon.
2. Adopt the attached resolution approving the Subdivision Agreement and authorize the City Manager to execute the agreement on behalf of the City.

BACKGROUND

The Development Services - Engineering section has reviewed the subject final map and found that it is in compliance with the Subdivision Map Act and the City's Subdivision Ordinance and all conditions of the tentative map have been met.

This map will be creating 58 residential lots.

FISCAL IMPACT

No fiscal impact to the General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

Pursuant to the City of Roseville CEQA Implementing Procedures and the California

Environmental Quality Act (CEQA) this project is consistent with the Sierra Vista Specific Plan FEIR (SCH# 2008032017) and the Mitigated Negative Declaration (MND) and associated Mitigation Monitoring Program approved by the City of Roseville on June 6, 2012.

Respectfully Submitted,

Kerry Andrews, Assistant Engineer

Kevin Payne, Development Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Final Map

Resolution No. 16-211

Subdivision Agreement

OWNER'S STATEMENT

THE UNDERSIGNED HEREBY CERTIFY THAT THEY ARE THE ONLY PERSONS HAVING ANY RECORD TITLE INTEREST IN THE LANDS SUBDIVIDED HEREON AND THEY HEREBY CONSENT TO THE PREPARATION AND RECORDATION OF THIS FINAL MAP OF "WESTBROOK - PHASE 1 - VILLAGES 5A PHASE 2 & 5B PHASE 2" AND HEREBY OFFER FOR DEDICATION AND DO HEREBY DEDICATE TO PARTICULAR PURPOSES THE FOLLOWING:

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED AS EASEMENT FOR PUBLIC PURPOSES:

- (A) PUBLIC EASEMENTS FOR THE INSTALLATION AND MAINTENANCE OF, BUT NOT LIMITED TO ELECTROLIERS, WATER AND GAS PIPES, SEWER AND DRAINAGE PIPES, AND FOR UNDERGROUND WIRE AND CONDUITS ABOVE GROUND APPURTENANCES FOR ELECTRIC, TELEPHONE AND TELEVISION SERVICES, ABOVE APPURTENANCES AND TRAFFIC CONTROL APPURTENANCES TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS THOSE STRIPS OF LAND LYING CONTIGUOUS TO THE RIGHT OF WAY AS SHOWN HEREON AND DESIGNATED "P.U.E." (PUBLIC UTILITY EASEMENT).

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED IN FEE FOR PUBLIC PURPOSES:

- (A) AS PUBLIC RIGHT OF WAY (INCLUDING IMPROVEMENTS THEREON) THE STREETS SHOWN HEREON AS NORTHBURY PARK DRIVE, SOUTHBURY DRIVE AND GREENFORD WAY.

WOODSIDE 05N, LP, A CALIFORNIA LIMITED PARTNERSHIP

BY: _____
SCOTT HOISINGTON,
VICE PRESIDENT

NOTARY'S ACKNOWLEDGMENT

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY OR VALIDITY OF THAT DOCUMENT.

STATE OF _____ }
COUNTY OF _____ } SS

ON THE _____ DAY OF _____, 2016, BEFORE ME, JUDY SMOLICH A NOTARY PUBLIC IN AND FOR SAID COUNTY AND STATE, PERSONALLY APPEARED, SCOTT HOISINGTON , WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING PARAGRAPH IS TRUE AND CORRECT.

WITNESS MY HAND: _____

PRINTED NAME: JUDY SMOLICH

MY PRINCIPAL PLACE OF BUSINESS IS THE
COUNTY OF SACRAMENTO

MY COMMISSION EXPIRES: OCTOBER 30, 2016

MY COMMISSION NO.: 1996579

SURVEYOR'S STATEMENT

THIS FINAL MAP OF "WESTBROOK - PHASE 1 - VILLAGES 5A PHASE 2 & 5B PHASE 2" WAS PREPARED BY ME OR UNDER MY DIRECTION AND IS BASED UPON A FIELD SURVEY IN CONFORMANCE WITH THE REQUIREMENTS OF THE SUBDIVISION MAP ACT AND LOCAL ORDINANCES AT THE REQUEST OF WOODSIDE 05N LP, A CALIFORNIA LIMITED PARTNERSHIP, IN OCTOBER 2014. I HEREBY STATE THAT THIS FINAL MAP SUBSTANTIALLY CONFORMS TO THE APPROVED OR CONDITIONALLY APPROVED TENTATIVE MAP. ALL MONUMENTS SHOWN HEREON ARE OF THE CHARACTER AND WILL OCCUPY THE POSITIONS INDICATED, AND WILL BE SET BY DECEMBER 31, 2017 AND THAT THE MONUMENTS ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED.

MACKAY & SOMPS CIVIL ENGINEERS, INC.

CRAIG E. SPIESS, PLS 7944
EXPIRATION DATE: DECEMBER 31, 2017

DATE: _____



CITY ENGINEER'S STATEMENT

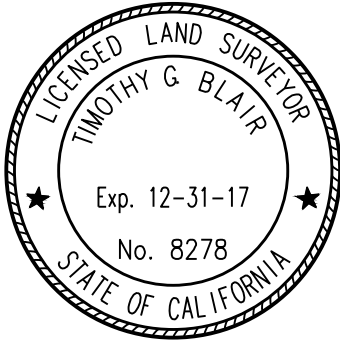
I HEREBY STATE THAT I HAVE EXAMINED THIS FINAL MAP OF "WESTBROOK - PHASE 1 - VILLAGES 5A PHASE 2 & 5B PHASE 2" AND FIND IT TO BE SUBSTANTIALLY THE SAME AS THE TENTATIVE MAP ON FILE AND ANY APPROVED ALTERATIONS THEREOF; THAT ALL PROVISIONS OF THE SUBDIVISION MAP ACT AND ALL APPLICABLE CITY ORDINANCES HAVE BEEN COMPLIED WITH.

DATE: _____
MARC STOUT, R.C.E. 69879
CITY ENGINEER, CITY OF ROSEVILLE
LICENSE EXPIRES: SEPTEMBER 30, 2016

I HEREBY STATE THAT I AM SATISFIED WITH THIS FINAL MAP OF "WESTBROOK - PHASE 1 - VILLAGES 5A PHASE 2 & 5B PHASE 2" IS TECHNICALLY CORRECT.

FOR MARC STOUT, CITY ENGINEER, CITY OF ROSEVILLE

DATE: _____
TIMOTHY G. BLAIR, PLS 8278
EXPIRES DECEMBER 31, 2017



CITY CLERK'S STATEMENT

I HEREBY CERTIFY THAT THE CITY COUNCIL, CITY OF ROSEVILLE, HAS APPROVED THIS FINAL MAP OF "WESTBROOK - PHASE 1 - VILLAGES 5A PHASE 2 & 5B PHASE 2", AND HAS ACCEPTED ON BEHALF OF THE PUBLIC, SUBJECT TO IMPROVEMENT, THE RIGHTS-OF-WAY AND EASEMENTS OFFERED HEREON FOR DEDICATION IN ACCORDANCE WITH THE TERMS OF THAT OFFER.

CITY CLERK, CITY OF ROSEVILLE
DATE: _____ BY: _____
ASSISTANT CITY CLERK

RECORDER'S STATEMENT

FILED THIS _____ DAY OF _____, 2016, AT _____ M. IN BOOK _____ OF MAPS, AT PAGE _____, IN THE OFFICE OF THE PLACER COUNTY RECORDER, AT THE REQUEST OF MACKAY & SOMPS CIVIL ENGINEERS, INC.

RECORDER OF PLACER COUNTY
STATE OF CALIFORNIA
DOCUMENT NO.: _____
FEE: \$ _____
BY: _____
DEPUTY

FINAL MAP OF
WESTBROOK - PHASE 1
VILLAGES 5A PHASE 2 & 5B PHASE 2
PLANNING NO. PL 13-0108

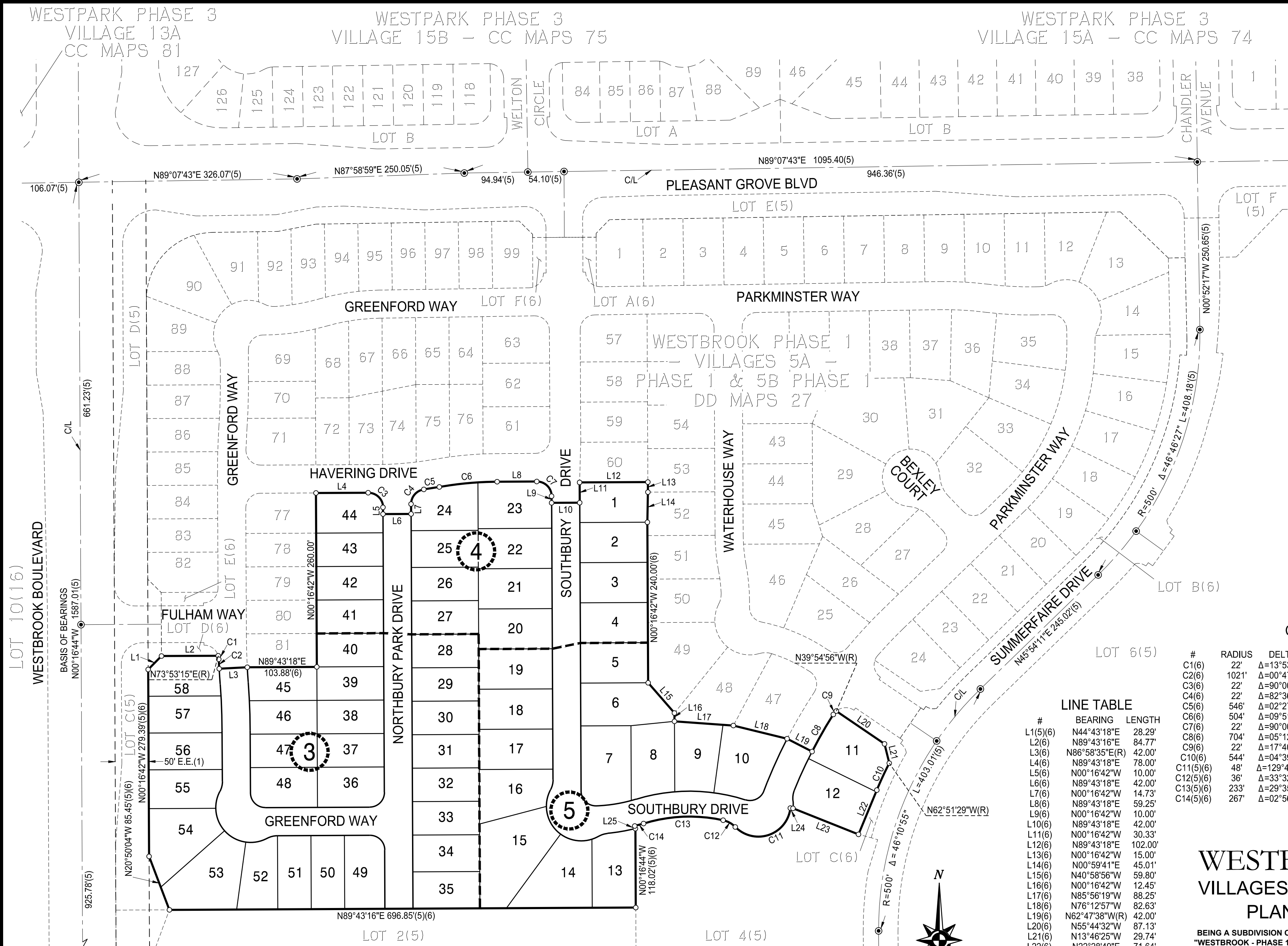
BEING A SUBDIVISION OF LOT 100 AS SHOWN ON THAT CERTAIN FINAL MAP ENTITLED "WESTBROOK - PHASE 1 - VILLAGES 5A PHASE 1 & 5B PHASE 1" FILED FOR RECORD ON AUGUST 12, 2015 IN BOOK DD OF MAPS AT PAGE 27, PLACER COUNTY RECORDS, LOCATED IN SECTION 26, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN.

CITY OF ROSEVILLE • PLACER COUNTY • CALIFORNIA

Mackay & Somps
ENGINEERS PLANNERS SURVEYORS
1552 Eureka Road, Suite 100, Roseville, CA 95661 (916) 773-1189

MAY 2016

SHEET 1 OF 6 18475.05B



- LEGEND**
- FOUND COPPERWELD SURVEY MONUMENT WITH CENTER PUNCH IN MONUMENT WELL STAMPED "LS 7944"
 - ⊙ SET COPPERWELD SURVEY MONUMENT WITH CENTER PUNCH IN MONUMENT WELL STAMPED "LS 7944"
 - DIMENSION POINT (NOTHING FOUND OR SET)
 - A.C. ACRES
 - BNDY BOUNDARY
 - C/L ROAD CENTERLINE
 - E.E. ELECTRICAL EASEMENT
 - I.O.D. IRREVOCABLE OFFER OF DEDICATION
 - PCR PLACER COUNTY RECORDS
 - P.U.E. PUBLIC UTILITY EASEMENT
 - (R) RADIAL BEARING
 - R.O.W. RIGHT OF WAY
 - S.F. SQUARE FEET
 - () DENOTES RECORD INFORMATION
 - ① SHEET NUMBER
- NOTES:**
- TOTAL AREA OF THIS SUBDIVISION IS 10.30± ACRES GROSS, CONSISTING OF 58 RESIDENTIAL LOTS.
 - ALL CURVES ARE DIMENSIONED WITH RADIUS, DELTA, ARC LENGTH, CHORD BEARING AND CHORD LENGTH. CHORD LENGTHS MAY CONTAIN SOME ROUNDING ERROR. DISTANCES SHOWN HEREON ARE IN FEET AND DECIMALS THEREOF AND ARE "GROUND" DISTANCES.
 - A PRELIMINARY SOILS REPORT WAS PREPARED BY WALLACE KUHLL & ASSOCIATES DATED AUGUST 27, 2014; PROJECT NUMBER WKA NO. 10149.04 AND ON FILE WITH THE CITY OF ROSEVILLE DEVELOPMENT SERVICES - ENGINEERING DEPARTMENT, FILE NO. 308-819.
 - ALL FRONT CORNERS ARE MARKED BY A 1" BRASS MARKER STAMPED "LS 7944" IN THE SIDEWALK ON THE EXTENSION OF SIDE LOT LINES 1.00' FROM THE PROPERTY LINE UNLESS OTHERWISE NOTED.
 - ALL REAR CORNERS AND ANGLE POINTS WILL BE SET WITH A 5/8" REBAR AND PLASTIC CAP STAMPED "LS 7944".

CURVE TABLE

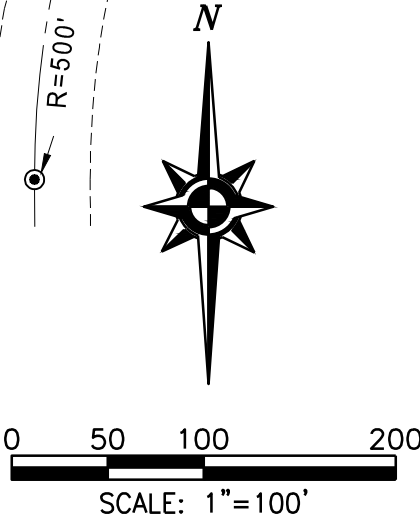
#	RADIUS	DELTA	LENGTH	CHORD BEARING	CHORD LENGTH
C1(6)	22'	Δ=13°53'02"	5.33'	N09°10'14"W	5.32'
C2(6)	1021'	Δ=00°47'41"	14.16'	N02°37'34"W	14.16'
C3(6)	22'	Δ=90°00'00"	34.56'	N45°16'42"W	31.11'
C4(6)	22'	Δ=82°36'03"	31.72'	N41°01'20"E	29.04'
C5(6)	546'	Δ=02°27'57"	23.50'	N81°05'23"E	23.50'
C6(6)	504'	Δ=09°51'54"	86.78'	N84°47'21"E	86.67'
C7(6)	22'	Δ=90°00'00"	34.56'	N45°16'42"W	31.11'
C8(6)	704'	Δ=05°12'03"	63.90'	N29°48'23"E	63.88'
C9(6)	22'	Δ=17°40'39"	6.79'	N41°14'44"E	6.76'
C10(6)	544'	Δ=04°39'42"	44.26'	N24°48'40"E	44.25'
C11(5)(6)	48'	Δ=129°48'23"	108.75'	N71°02'16"E	86.94'
C12(5)(6)	36'	Δ=33°32'41"	21.08'	N60°49'53"W	20.78'
C13(5)(6)	233'	Δ=29°35'36"	120.34'	N87°35'59"E	119.01'
C14(5)(6)	267'	Δ=02°56'30"	13.71'	N74°16'26"E	13.71'

LINE TABLE

#	BEARING	LENGTH
L1(5)(6)	N44°43'18"E	28.29'
L2(6)	N89°43'16"E	84.77'
L3(6)	N86°58'35"E(R)	42.00'
L4(6)	N89°43'18"E	78.00'
L5(6)	N00°16'42"W	10.00'
L6(6)	N89°43'18"E	42.00'
L7(6)	N00°16'42"W	14.73'
L8(6)	N89°43'18"E	59.25'
L9(6)	N00°16'42"W	10.00'
L10(6)	N89°43'18"E	42.00'
L11(6)	N00°16'42"W	30.33'
L12(6)	N89°43'18"E	102.00'
L13(6)	N00°16'42"W	15.00'
L14(6)	N00°59'41"E	45.01'
L15(6)	N40°58'56"W	59.80'
L16(6)	N00°16'42"W	12.45'
L17(6)	N85°56'19"W	88.25'
L18(6)	N76°12'57"W	82.63'
L19(6)	N62°47'38"W(R)	42.00'
L20(6)	N55°44'32"W	87.13'
L21(6)	N13°46'25"W	29.74'
L22(6)	N22°28'49"E	71.64'
L23(5)(6)	N68°20'31"W	105.33'
L24(5)(6)	N83°51'56"W(R)	4.00'
L25(5)(6)	N14°15'19"W(R)	4.00'

BASIS OF BEARINGS:
THE BEARING N 00°16'44" W, AS SHOWN BETWEEN FOUND MONUMENTS ALONG THE CENTERLINE OF WESTBROOK BOULEVARD AS SHOWN ON THE "WESTBROOK - PHASE 1 - LARGE LOT FINAL MAP", SECTION 26, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN. DISTANCES SHOWN HEREON ARE GROUND DISTANCES.

- REFERENCES:**
- DOC NO. 2005-0162323
 - CC MAPS 74
 - CC MAPS 75
 - CC MAPS 81
 - CC MAPS 85
 - DD MAPS 27



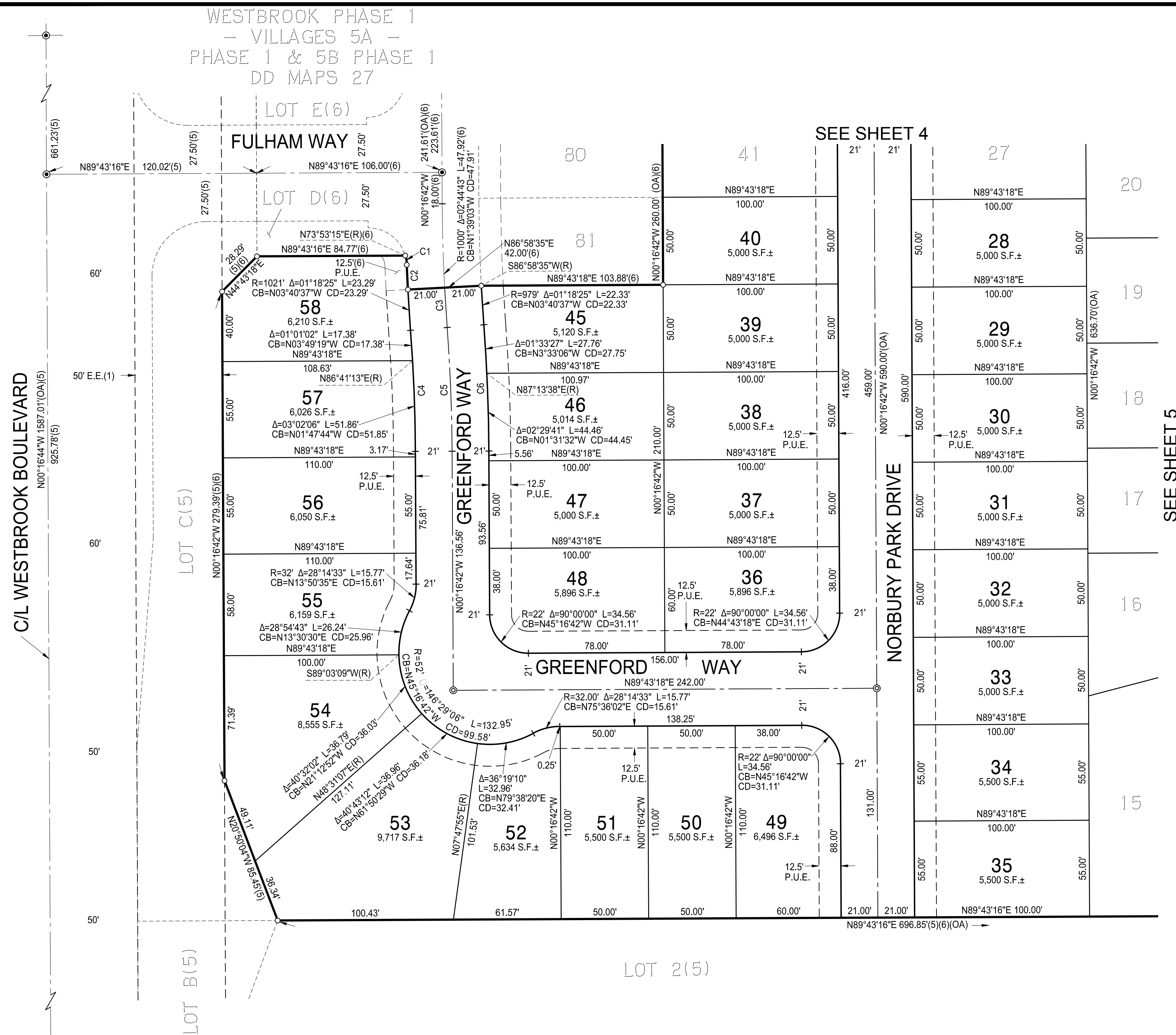
**FINAL MAP OF
WESTBROOK - PHASE 1
VILLAGES 5A PHASE 2 & 5B PHASE 2
PLANNING NO. PL 13-0108**

BEING A SUBDIVISION OF LOT 100 AS SHOWN ON THAT CERTAIN FINAL MAP ENTITLED "WESTBROOK - PHASE 1 - VILLAGES 5A PHASE 1 & 5B PHASE 1" FILED FOR RECORD ON AUGUST 12, 2015 IN BOOK DD OF MAPS AT PAGE 27, PLACER COUNTY RECORDS, LOCATED IN SECTION 26, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN.

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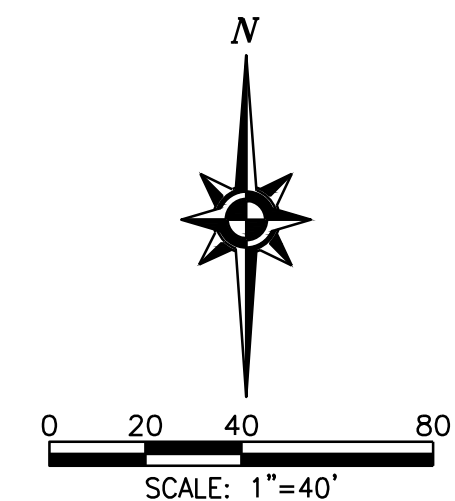
Mackay & Somp
ENGINEERS PLANNERS SURVEYORS
1552 Eureka Road, Suite 100, Roseville, CA 95661 (916) 773-1189

MAY 2016
SHEET 2 OF 6 18475.05B



CURVE TABLE					
#	RADIUS	DELTA	LENGTH	CHORD BEARING	CHORD LENGTH
C1	22'	13°53'02"	5.33'	N09°10'14"W	5.32'
C2	1021'	00°47'41"	14.16'	N02°37'34"W	14.16'
C3	1000'	01°18'25"	22.81'	N03°40'37"W	22.81'
C4	979'	04°03'08"	69.24'	N02°18'16"W	69.23'
C5	1000'	04°03'08"	70.73'	N02°18'16"W	70.71'
C6	1021'	04°03'08"	72.21'	N02°18'16"W	72.20'

NOTE: SEE SHEET 2 FOR BASIS OF BEARING,
LEGEND, NOTES & REFERENCES.



FINAL MAP OF
WESTBROOK - PHASE 1
VILLAGES 5A PHASE 2 & 5B PHASE 2
PLANNING NO. PL 13-0108

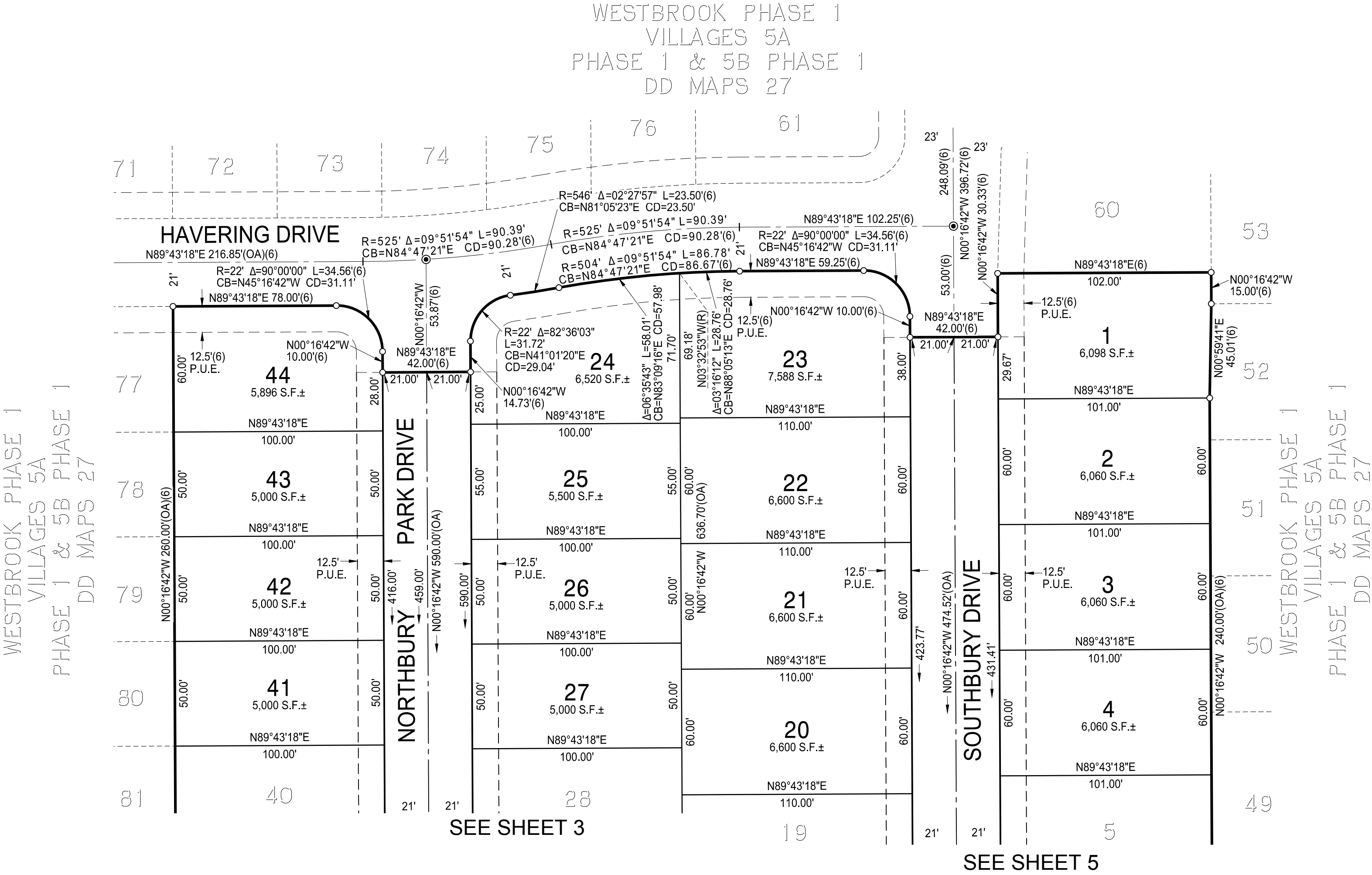
BEING A SUBDIVISION OF LOT 100 AS SHOWN ON THAT CERTAIN FINAL MAP ENTITLED
"WESTBROOK - PHASE 1 - VILLAGES 5A PHASE 1 & 5B PHASE 1" FILED FOR RECORD ON
AUGUST 12, 2015 IN BOOK DD OF MAPS AT PAGE 27, PLACER COUNTY RECORDS,
LOCATED IN SECTION 26, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO
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MAY 2016

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SHEET 3 OF 6 18475.05B



FINAL MAP OF
WESTBROOK - PHASE 1
VILLAGES 5A PHASE 2 & 5B PHASE 2
PLANNING NO. PL 13-0108

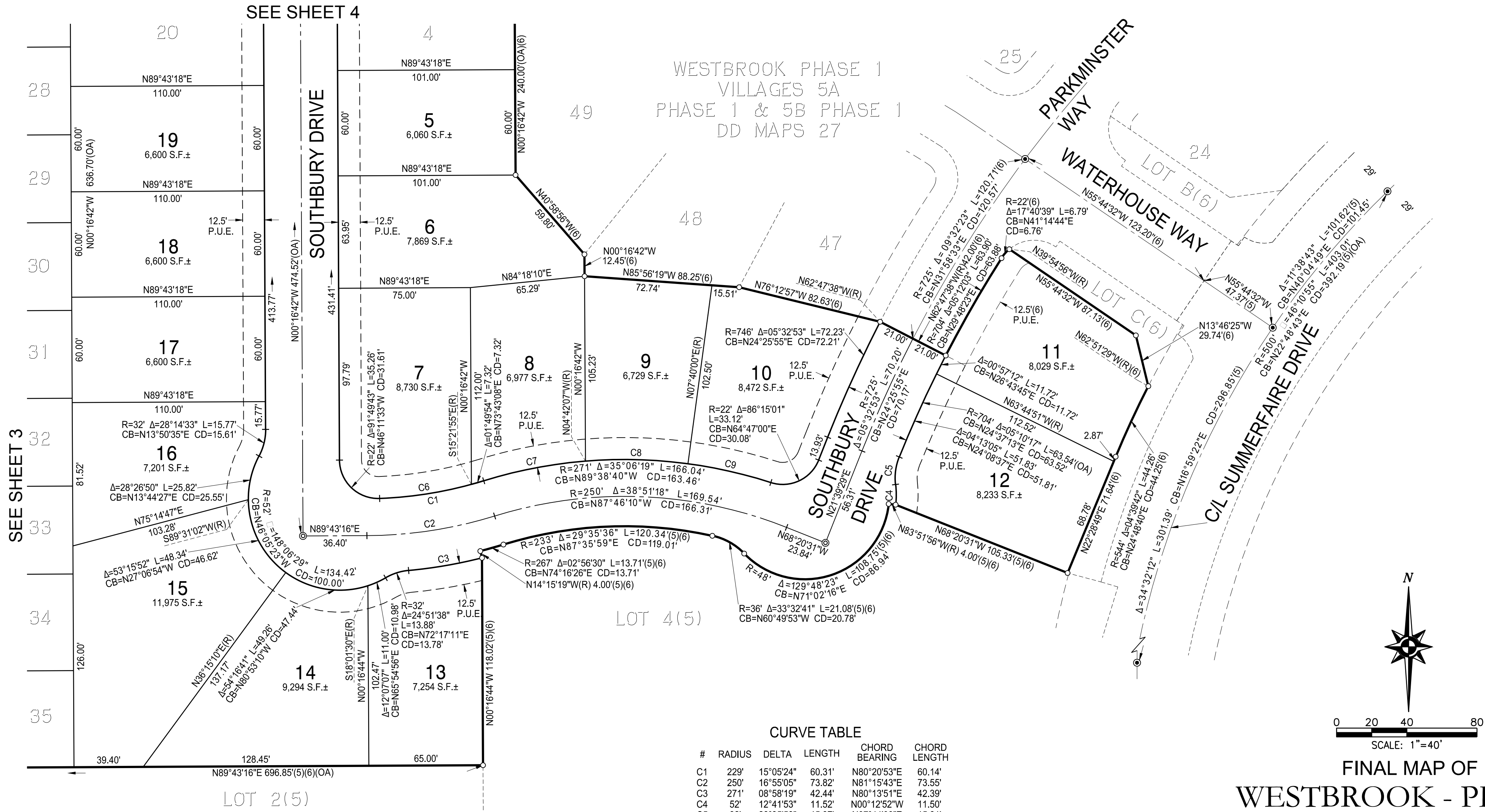
BEING A SUBDIVISION OF LOT 100 AS SHOWN ON THAT CERTAIN FINAL MAP ENTITLED
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MERIDIAN.

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MAY 2016

SHEET 4 OF 6 18475.05B



NOTE: SEE SHEET 2 FOR BASIS OF BEARING,
LEGEND, NOTES & REFERENCES.

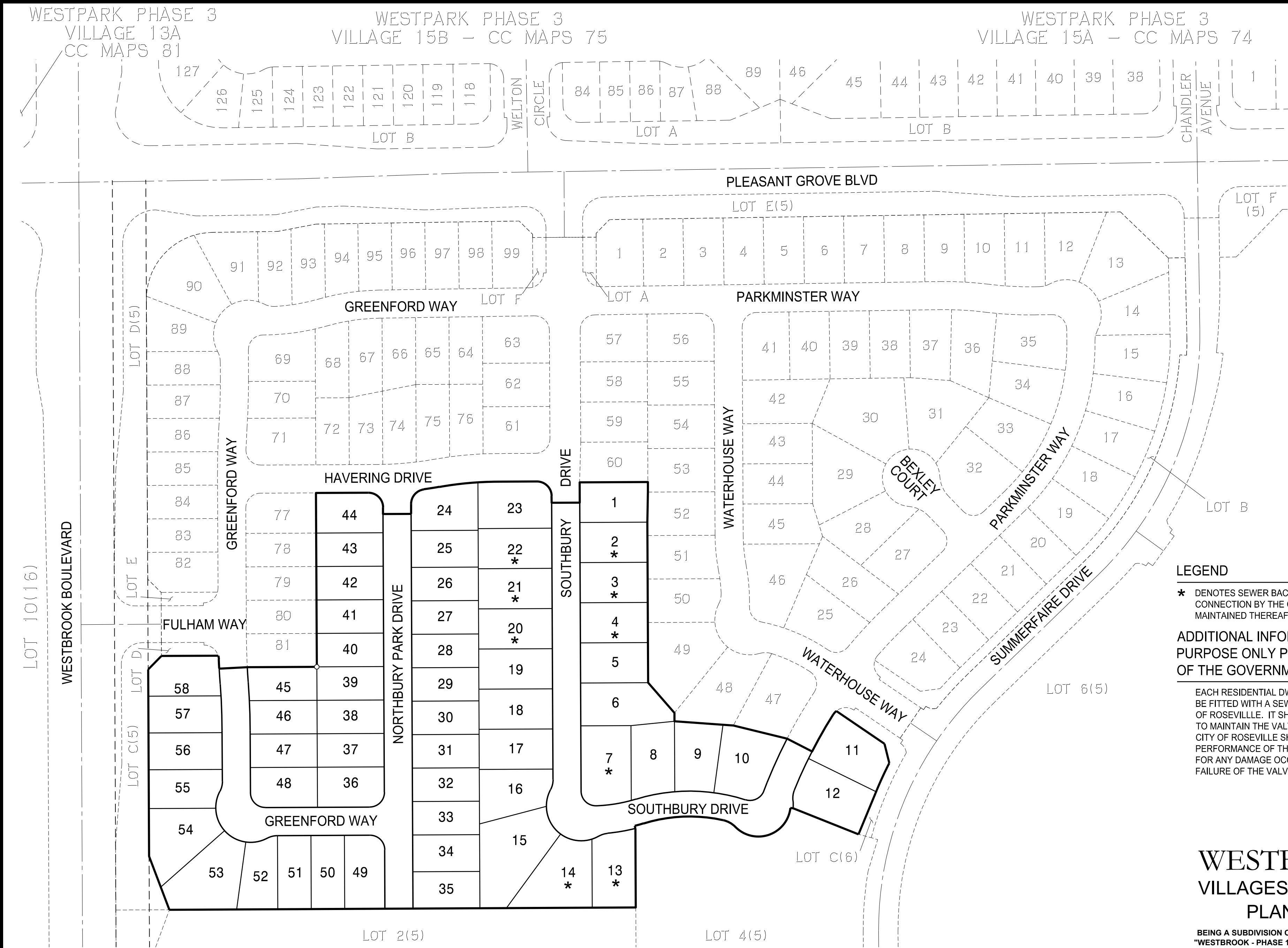
FINAL MAP OF
WESTBROOK - PHASE 1
VILLAGES 5A PHASE 2 & 5B PHASE 2
PLANNING NO. PL 13-0108

BEING A SUBDIVISION OF LOT 100 AS SHOWN ON THAT CERTAIN FINAL MAP ENTITLED
"WESTBROOK - PHASE 1 - VILLAGES 5A PHASE 1 & 5B PHASE 1" FILED FOR RECORD ON
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MERIDIAN.

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MAY 2016



LEGEND

* DENOTES SEWER BACKFLOW PREVENTER VALVE REQUIRED ON SANITARY SEWER CONNECTION BY THE CITY OF ROSEVILLE WITH THE BUILDING PERMIT AND MAINTAINED THEREAFTER BY THE OWNER.

ADDITIONAL INFORMATION FOR INFORMATIONAL PURPOSE ONLY PURSUANT TO SECTION 66434.2 OF THE GOVERNMENT CODE

EACH RESIDENTIAL DWELLING CONSTRUCTED ON ANY OF THE LOTS INDICATED SHALL BE FITTED WITH A SEWER BACKFLOW PREVENTER VALVE AS APPROVED BY THE CITY OF ROSEVILLE. IT SHALL BE THE RESPONSIBILITY OF THE OWNER OF SAID DWELLING TO MAINTAIN THE VALVE AND PREVENT DAMAGE FROM OCCURRING THERETO. THE CITY OF ROSEVILLE SHALL NOT BE RESPONSIBLE FOR THE MAINTENANCE OR PERFORMANCE OF THE VALVE, AND THE CITY OF ROSEVILLE SHALL NOT BE LIABLE FOR ANY DAMAGE OCCURRING TO ANY SUCH DWELLING OR ITS CONTENTS DUE TO FAILURE OF THE VALVE FOR ANY REASON WHATSOEVER.

**FINAL MAP OF
WESTBROOK - PHASE 1
VILLAGES 5A PHASE 2 & 5B PHASE 2
PLANNING NO. PL 13-0108**

BEING A SUBDIVISION OF LOT 100 AS SHOWN ON THAT CERTAIN FINAL MAP ENTITLED "WESTBROOK - PHASE 1 - VILLAGES 5A PHASE 1 & 5B PHASE 1" FILED FOR RECORD ON AUGUST 12, 2015 IN BOOK DD OF MAPS AT PAGE 27, PLACER COUNTY RECORDS, LOCATED IN SECTION 26, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN.

CITY OF ROSEVILLE • PLACER COUNTY • CALIFORNIA

RESOLUTION NO. 16-211

APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND WOODSIDE 05N, LP, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a Subdivision Agreement for Westbrook Phase 1 Villages 5A/5B Subdivision, by and between the City of Roseville and Woodside 05N, LP, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

**RECORDING REQUESTED BY AND WHEN
RECORDED, PLEASE RETURN TO:**

**City Clerk
CITY OF ROSEVILLE
311 Vernon Street
Roseville, CA 95678**

SUBDIVISION AGREEMENT

Westbrook Phase 1 Villages 5A/5B Phase 2 SUBDIVISION

THIS AGREEMENT, made and entered into this ____ day of _____,
2016, by and between the CITY OF ROSEVILLE, a municipal corporation ("CITY"), and
Woodside 05N, LP, a California limited partnership, ("SUBDIVIDER"),

W I T N E S S E T H:

WHEREAS, SUBDIVIDER is possessed of a tract of land lying in the City of
Roseville, County of Placer, known as "Westbrook Phase 1 Villages 5A/5B Phase 2
Subdivision" (Subdivision no. PL 13 - 0108; "the Subdivision"); and

WHEREAS, a tentative map of the Subdivision was approved by the City Planning
Commission on the 13 day of February, 2014 and the final map of the Subdivision
has been approved subject to the execution of this Agreement; and

WHEREAS, the final map has been filed on the ____ day of _____, 20__ in
Book _____ of Parcel/Subdivision Maps at Page _____ in the Official Records, Placer
County Recorder, file no. _____; and

WHEREAS, Section 18.20.110 of the Roseville Municipal Code, regulating the
subdivision of land in the City, requires that SUBDIVIDER shall execute and file an
agreement between itself and CITY relating to the improvements to be made in a subdivision,
prior to the approval by the City Council of the final map.

NOW, THEREFORE, the parties agree as follows:

1. Rights-of-Way. Except as otherwise provided by this Agreement, CITY rejects all lands, right-of-ways, and easements offered for dedication on the final map of the Subdivision. All such offers shall, however, remain open, and shall constitute irrevocable offers of dedication in accordance with Government Code Section 66477.2. All such offers may be accepted by CITY in its sole discretion at any later date without further notice to SUBDIVIDER as provided by law.

By way of explanation only, it is the current intention of CITY to accept all or part of such irrevocable offers to dedicate upon acceptance of the improvements called for in this Agreement.

2. Improvements - Time Limit. SUBDIVIDER agrees to cause all improvements to be made and constructed in the Subdivision to fully comply with the requirements of the Roseville Municipal Code and the Subdivision Map Act of the State of California according to the improvement plans for the Subdivision prepared by MacKay & Soms, dated April 2015 and approved by the City Engineer and including any changes or alterations to such improvements required by the City Engineer, and to install, on or before July 24, 2015, such survey monuments as may be required by the City Engineer ("the Work"). SUBDIVIDER agrees to pay for any materials, provisions or other supplies used in, upon, for or about the performance of the Work, or for any work or labor of any kind done in connection with the Work, or for any amounts due under the Unemployment Insurance Act with respect to such work or labor.

SUBDIVIDER agrees to complete all of the Work on or before twenty-four (24) months from the date of this Agreement. If in the opinion of the City Engineer of CITY, an extension of this Agreement is not detrimental to the public welfare, he or she is, in his or her sole discretion, hereby authorized to extend the time within which the Work shall be completed for a period not to exceed twelve (12) months. Upon a written application made by SUBDIVIDER, further extensions, not to exceed an additional six (6) months, shall be

reviewed and approved at the discretion of the City Engineer of CITY. Any such application extension request by SUBDIVIDER shall fully state the grounds and facts relied upon for the granting of additional extensions.

In the event that SUBDIVIDER shall fail to complete the Work within the time provided by this Agreement, CITY may, in its sole discretion and in addition to any other remedy provided in this Agreement or by law, enter upon the Subdivision and complete the Work and recover the full cost and expense of such construction from SUBDIVIDER, SUBDIVIDER's successors and assigns, or from the then owner of the Subdivision, and/or place a lien upon the Subdivision for such cost and expense.

If at anytime prior to the expiration of the time provided by this Agreement the City Engineer of CITY determines that a safety hazard exists within an existing public right-of-way, the City Engineer shall give written notice to SUBDIVIDER to eliminate the safety hazard. If SUBDIVIDER fails to eliminate the safety hazard within five (5) calendar days of the written notice, CITY may, in its sole discretion and in addition to any other remedy provided in this Agreement or by law, eliminate the safety hazard and recover the full cost and expense of such work from SUBDIVIDER, SUBDIVIDER's successors and assigns, or from the then owner of the Subdivision, and/or place a lien upon the Subdivision for such cost and expense.

3. Acquisition and Dedication of Easements or Rights-of-Ways. If any of the Work is to be constructed or installed on land not within the Subdivision or already existing public right-of-way, no construction or installation shall be commenced before:

a. The irrevocable offer of dedication or conveyance to CITY of appropriate right-of-ways, easements or other interest in real property, and appropriate authorization from the property owner to allow construction or installation of the improvements or work; or

b. The issuance of an order of possession by a court of competent jurisdiction pursuant to the State Eminent Domain Law. SUBDIVIDER shall comply in all respects with any such order of possession.

Nothing in this Section 3 shall be construed as authorizing or granting an extension of time to SUBDIVIDER for completion of the Work.

4. Inspection Fees and Costs. The City Engineer shall inspect all of the Work improvements made in the Subdivision to see that they comply with CITY's subdivision regulations and specifications. SUBDIVIDER shall deposit the estimated inspection fee and reimburse CITY for the cost of such inspections in accordance with Roseville Municipal Code section 18.20.070.

5. Securities.

a. SUBDIVIDER shall file with this Agreement two (2) improvement securities as set forth in Roseville Municipal Code Sections 18.20.150 and 18.20.160. Each security shall be in the amount of one hundred percent (100%) of the total estimated cost of the Work as determined by the City Engineer which amount is the sum of \$852,542.00. One improvement security shall secure faithful performance of this Agreement as required by Section 66499.3(a) of the Government Code of the State of California (the "faithful performance" security). The second improvement security shall, as required by Section 66499.3(b) of the Government Code of the State of California, secure the obligations set forth in Title 15 (commencing with Section 3082) of Part 4 of Division 3 of the Civil Code of the State of California for payment to the contractor, his subcontractors and to persons renting equipment or furnishing labor or materials to them for the Work (the "payment security"). The improvement securities required under this paragraph shall also secure placement of monumentation and guarantee payment therefor as provided by California Government Code section 66496.

b. SUBDIVIDER shall also file with this Agreement a "guarantee and warranty security" in the amount of ten percent (10%) of the City Engineer's estimated cost of the Work as set forth in Section 18.20.170 of the Roseville Municipal Code to guarantee and warrant the Work for a period of one year following its completion and acceptance against any defective work or labor done, or defective materials furnished, as required by Section 66499(a)(5) of the Government Code of the State of California. The guarantee and warranty

security shall be released upon SUBDIVIDER's request following expiration of the warranty period and subject to the reasonable determination of the City Engineer that SUBDIVIDER's warranty obligations have been satisfied.

c. Any bonds submitted as security pursuant to this section shall be executed by a surety company authorized to transact a surety business in the State of California. All required securities shall be in a form approved by the City Attorney of CITY.

6. Successors and Assigns – Covenant Running with the Land. This Agreement shall inure to the benefit of, and be binding upon, the successors and assigns of the respective parties. It shall be recorded in the Office of the Recorder of Placer County concurrently with the final map of the Subdivision, and shall constitute a covenant running with the land and an equitable servitude upon the real property within the Subdivision.

7. Acceptance of Improvements Upon Completion. Upon satisfactory completion of the Work, in accordance with this Agreement, CITY agrees to accept the Work and its improvements and the offers of dedication described in paragraph 1, subject to the provisions of paragraphs 1 and 10 of this Agreement.

8. Notice Regarding Construction. SUBDIVIDER shall notify the City Engineer of CITY in writing three (3) days prior to the commencement of construction of the Work.

9. Subdivider's Obligation to Warn Public During Construction. Until final acceptance of the Work, SUBDIVIDER shall give good and adequate warning to the public of each and every dangerous condition which may exist in the Work, and will take all reasonable actions to protect the public from any such dangerous condition.

10. Warranty.

a. SUBDIVIDER guarantees and warrants the public improvements which constitute the Work required by this Agreement, and agrees to remedy any defects in the improvements or the Work arising from faulty or defective materials or construction of said improvements or the Work occurring within twelve (12) months after their acceptance by CITY. SUBDIVIDER agrees to permit inspection of all improvements in the Subdivision by

the City Engineer of CITY for such period, and to reimburse CITY for the cost of such inspections.

b. If, within the warranty period, the Work or improvement or any part of the Work done, furnished, installed, or constructed fails to fulfill any of the requirements of this Agreement or the improvement plans and specifications, SUBDIVIDER shall, without delay or cost to CITY, repair, replace or reconstruct any defective or otherwise unsatisfactory part or parts of the Work. CITY may, at its sole option, perform such repair or replacement itself if SUBDIVIDER has failed to commence such repair within twenty (20) days after CITY has mailed written notice of default to SUBDIVIDER and to SUBDIVIDER'S Surety. In such event, SUBDIVIDER agrees to pay the cost of such repair and replacement by CITY and CITY may, at its option, recover such cost as a lien against the Subdivision should the cost exceed the inspection fee deposited pursuant to paragraph 4 of this Agreement.

Should CITY determine that the public safety requires repairs or replacements to be made before SUBDIVIDER can be notified, CITY may, in its sole discretion, make the necessary repairs or replacement or perform the necessary work. SUBDIVIDER shall pay to CITY the cost of such repairs and CITY may at its option recover such cost as a lien against the Subdivision.

11. Indemnification. To the fullest extent allowed by law, SUBDIVIDER shall defend, indemnify, and save and hold harmless CITY, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of SUBDIVIDER 's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from CITY's sole or active negligence or willful misconduct. The parties intend that this provision shall be broadly construed.

SUBDIVIDER's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

12. Insurance. SUBDIVIDER agrees to continuously maintain, in full force and effect, at a minimum the following policies of insurance during the term of this Agreement.

COVERAGE

LIMITS OF LIABILITY

General Liability

\$2,000,000 per occurrence

\$4,000,000 aggregate

Personal Injury:

\$2,000,000 per occurrence

\$4,000,000 aggregate

Automobile Liability

\$1,000,000 combined single limit

Workers' Compensation

Statutory

a. Form. SUBDIVIDER shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

b. Additional Insureds. SUBDIVIDER shall also provide a separate endorsement form or section of the policy showing CITY, its officers, agents, employees and volunteers as additional insureds for each type of coverage, except for Workers' Compensation, for ongoing and completed operations. Such insurance shall specifically cover the contractual liability of SUBDIVIDER. The additional insured coverage under the SUBDIVIDER's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from CITY's insurance or self-insurance. In addition, the

additional insured coverage shall be at least as broad as the Insurance Services Office (“ISO”) CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds.

Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.

c. Cancellation/Modification. SUBDIVIDER shall provide ten (10) days written notice to CITY prior to cancellation or modification of any insurance required by this Agreement.

d. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of CITY (if agreed to in a written contract) before CITY’s own insurance shall be called upon to protect it as a named insured.

e. Self-Insured Retentions. All self-insured retentions (“SIR”) must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or CITY. CITY reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.

f. Waiver of Subrogation. SUBDIVIDER hereby agrees to waive subrogation which any insurer of SUBDIVIDER may acquire from SUBDIVIDER by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of CITY,

its officers, agents, employees and volunteers for all work performed by SUBDIVIDER, its employees, agents and subcontractors.

g. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve SUBDIVIDER of liability in excess of such coverage, nor shall it preclude CITY from taking such other actions as are available to it under any other provisions of this Agreement or law.

13. Irrevocability of Security. The improvement securities furnished pursuant to paragraph 5 shall be irrevocable, shall not be limited as to time (except as to the one-year guarantee and warranty period specified in paragraph 10) and shall provide that they may be released, in whole or part, only upon the written approval of the City Engineer of CITY.

14. Attorney's Fees; Venue; Governing Law. Any action by any party to this Agreement, or any action concerning a security furnished pursuant to paragraph 5, shall be brought in the appropriate court of competent jurisdiction within the County of Placer, State of California, notwithstanding any other provision of law which may provide that such action may be brought in some other location. In the event it becomes necessary for either party to bring an action with respect to enforcement of the provisions of this Agreement, or the security therefor, the prevailing party in such action shall be awarded a reasonable attorney's fee, as may be determined by the Court. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

15. Release of Faithful Performance Security. Release of faithful performance security shall be in compliance with and pursuant to the procedures set forth in Government Code Section 66499.7. Upon the completion of all secured Work by SUBDIVIDER and written acceptance of that completed Work by CITY, and the furnishing of the warranty security as required by paragraph 5(b), the City Engineer shall authorize the release of the remaining faithful performance security.

16. Release of Payment Security. Thirty-five (35) days after a notice of completion is recorded with respect to the Work, the City Engineer of CITY may authorize the release of

the payment security, in the event that no claims have been filed against said security. In the event that claims or actions are filed against the security, the City Engineer may release so much of such security as is in excess of the total of the claims made against it, plus a reasonable amount of costs and attorney's fees.

17. Automatic Termination Upon Completion and Sale of Residential Unit. This Agreement and any lien pursuant hereto shall automatically be terminated, without any further action by either party or need to record any additional document, with respect to any single-family residential lot within a parcel designated by the Specific Plan for residential use, upon completion of construction and issuance by the City of a final inspection for a dwelling unit upon such residential lot and conveyance of such improved residential lot to a bona-fide good faith purchaser thereof. In connection with its issuance of a final inspection for such improved lot, City shall confirm that: (i) all improvements which are required to serve the lot, as determined by City, have been accepted by City; (ii) the lot is included within the Maintenance CFD required by Section 3.20 or other financing mechanism acceptable to the City, to the extent required hereby; and (iii) if and to the extent applicable to such lot, an affordable purchase or rental housing agreement has been recorded on the lot. Termination of this Agreement for any such residential lot as provided for in this section shall not in any way be construed to terminate or modify any assessment district or Mello-Roos Community Facilities District lien affecting such lot at the time of termination.

18. Failure of Performance. In addition to any other remedies provided in this Agreement or by law, in the event SUBDIVIDER fails to perform one or more of the covenants or conditions of this Agreement, CITY shall have recourse to the security given to guarantee the performance of such acts. CITY may do, or cause to be done, those acts required of SUBDIVIDER, and shall have recourse against so much of the security as is necessary to discharge the responsibility of SUBDIVIDER. In the event CITY seeks recourse against a security, CITY shall also have recourse against SUBDIVIDER for any and all

amounts necessary to complete the obligations of SUBDIVIDER in the event the security is insufficient to pay such amounts. All administrative costs, including reasonable attorney's fees pursuant to Section 66499.4 of the Government Code, incurred by CITY, in addition to the costs of the improvements, shall be a proper charge against the security and SUBDIVIDER.

19. Integration. This Agreement is an integrated agreement. It supersedes all prior negotiations, representations, or agreements, either written or oral.

20. Modification. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

21. Notices. Any notices sent to the parties relating to this Agreement shall be sent by first class mail, postage prepaid or delivered to the following addresses:

Marc Stout, City Engineer
City of Roseville
311 Vernon Street
Roseville, CA 95678

Mike Kitchell
Woodside 05N, LP
111 Woodmere Road, Ste 190
Folsom, CA 95630

Either party may amend its address by giving written notice to the other.

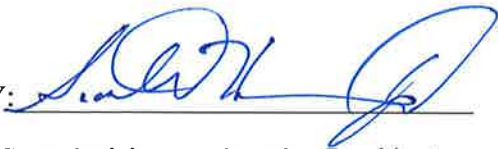
22. Severability. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attestation by its City Clerk under authority of Resolution No. ____, adopted by the Council of the City of Roseville on the ____ day of _____, 2016, and SUBDIVIDER has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation,

WOODSIDE 05N, LP, a
California limited partnership

BY: _____
ROB JENSEN
City Manager

BY: 

Scott Hoisington, its Vice President

ATTEST:

(NOTARIZATION REQUIRED)

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUFFICIENCY:

BY:  _____
MARC STOUT
City Engineer

CALIFORNIA ALL PURPOSE ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

STATE OF CALIFORNIA }
COUNTY OF SACRAMENTO }

On April 26, 2016 before me , Judy Smolich, Notary Public, personally appeared Scott Hoisington who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal.

Signature: Judy Smolich (Seal)





COUNCIL COMMUNICATION

CC #: 7718

File #: 0400-04-09-1

Title: Westpark Phase 4 Village W-17D - Certificate of Completion

Contact: John Hernandez 916-774-5486 jhernandez@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.16.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council take the following action:

1. Adopt the attached resolution accepting Westpark Phase 4 Village W-17D, CWJ# 130219 , as complete.
2. Authorize the City Engineer to execute the Certificate of Completion.

BACKGROUND

The Engineering Division has made a final inspection of the above project and has found the roadway infrastructure work complete in accordance with the improvement plans and City Specifications.

FISCAL IMPACT

The actions requested as part of this action have no impact to the City's General Fund. Construction costs were paid by the developer.

ECONOMIC DEVELOPMENT / JOBS CREATED

Accepting the project as complete will not directly create jobs but rather maintain current employee levels of the developer until such a time the project is fully built out.

ENVIRONMENTAL REVIEW

The Council action of accepting Westpark Phase 4 Village W-17D as complete will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guideline 15060(c)(2)). Adoption of this project does not include the potential for a significant environmental effect and therefore is not subject to CEQA.

Respectfully Submitted,

John Hernandez, Senior Construction Inspector

Kevin Payne, Development Services Director

A handwritten signature in blue ink, appearing to be 'Rob Jensen', with a long horizontal stroke extending to the right.

Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-212

COC Westpark Phase 4 Village W-17D

Exhibit A Westpark Phase 4 Village W-17D

RESOLUTION NO. 16-212

RESOLUTION OF THE COUNCIL OF THE CITY OF ROSEVILLE ACCEPTING THE PUBLIC WORK KNOWN AS WESTPARK PHASE 4 VILLAGE W-17D PROJECT, APPROVING THE "CERTIFICATE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING ALL DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION.

BE IT RESOLVED by the Council of the City of Roseville as follows:

1. The "Certificate of Completion" on file in the City Clerk's Department relative to that public work known as Westpark Phase 4 Village W-17D is hereby approved and the public work accepted.
2. All rights of way and easements as offered on the final map of recorded with Placer County Recorder's Office Book CC of Maps, Page 92 is hereby accepted.
3. The City Engineer is hereby authorized and directed to execute said Notice on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville, this ____ day of _____, 20____, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

CITY CLERK

AFTER RECORDING RETURN TO:
CITY CLERK
311 VERNON STREET
ROSEVILLE, CA 95678

CERTIFICATE OF COMPLETION

NOTICE IS HEREBY GIVEN that the public work known as Westpark Phase 4 Village W-17D Project was completed and accepted by the City of Roseville on _____. The _____ location is indicated on the attached map, marked as Exhibit "A" and incorporated herein by this reference.

The general contractor on said project was Teichert Construction.

The surety on said project was the Hartford Fire Insurance Company. Subdivision Maintenance Bond Guarantee and Warranty Security Number 59BSBGL2794.

MARC STOUT
City Engineer
City of Roseville

ATTEST:

SONIA OROZCO, CITY CLERK

STATE OF CALIFORNIA)
COUNTY OF PLACER)

I, MARC STOUT, being first duly sworn, depose and say: I am the City Engineer of the City of Roseville, a municipal corporation in the State of California, owner of the property described in the above Notice. I am duly authorized to make this verification for and on behalf of the City of Roseville. I have read the Certificate of Completion and know its contents and the facts stated therein are true.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document, to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

MARC STOUT
City Engineer
City of Roseville

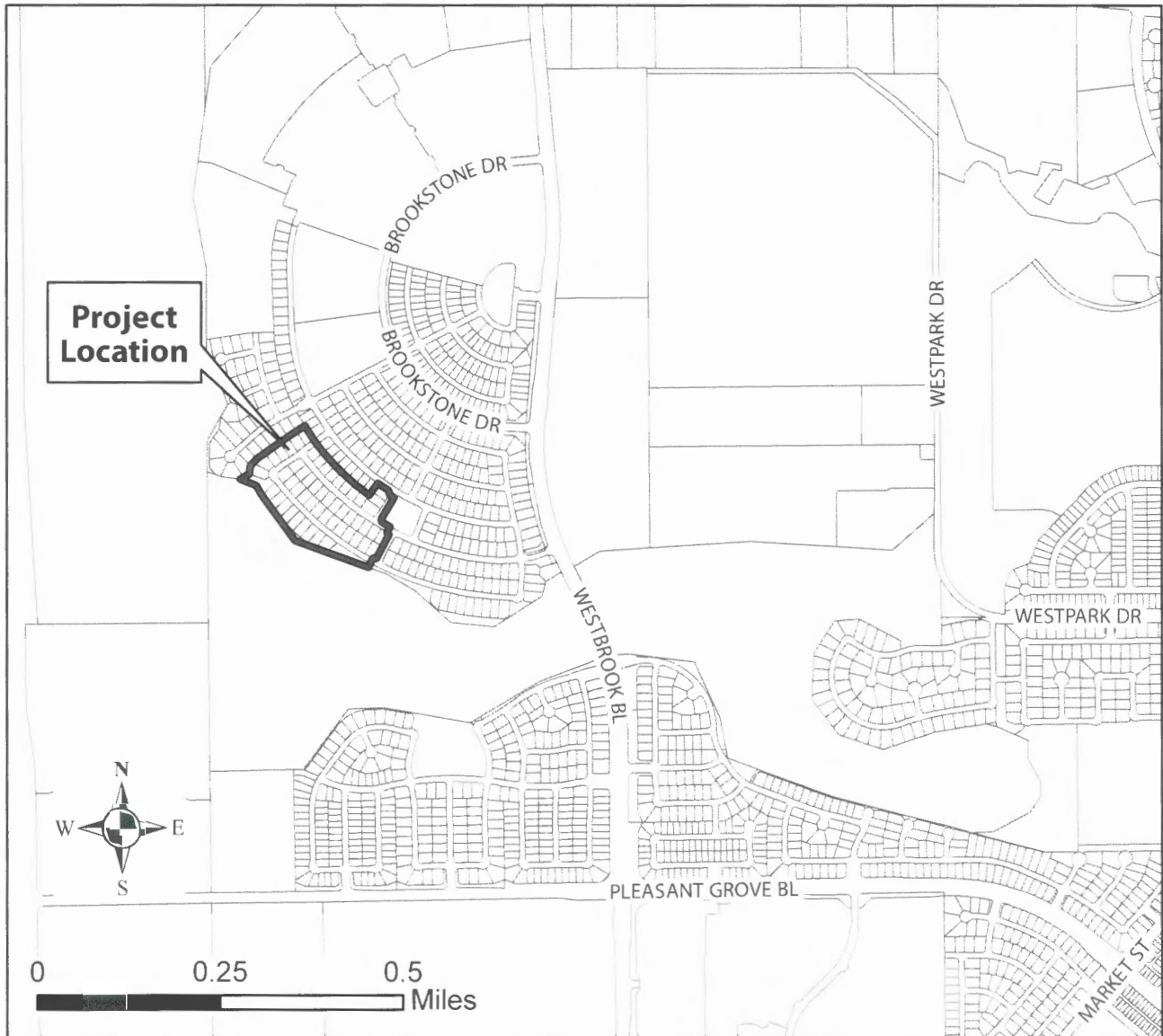
STATE OF CALIFORNIA)
COUNTY OF PLACER)

Subscribed and sworn to (or affirmed) before me on this ____ day of _____, 2016, by _____ proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

Notary Public in and for said County and State

EXHIBIT A

City of Roseville Westpark Phase 4 Village W-17D





COUNCIL COMMUNICATION

CC #: 7716

File #: 0400-04-12-1

Title: Westbrook Phase I Villages 5A Phase 1 & 5B Phase 1 - Certificate of Completion

Contact: John Hernandez 916-774-5486 jhernandez@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.17.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council take the following action:

1. Adopt the attached resolution accepting Westbrook Phase I Villages 5A Phase 1 & 5B Phase 1, CWJ# 150056, as complete.
2. Authorize the City Engineer to execute the Certificate of Completion.

BACKGROUND

The Engineering Division has made a final inspection of the above project and has found the roadway infrastructure work complete in accordance with the improvement plans and City Specifications.

FISCAL IMPACT

The actions requested as part of this action have no impact to the City's General Fund. Construction costs were paid by the developer.

ECONOMIC DEVELOPMENT / JOBS CREATED

Accepting the project as complete will not directly create jobs but rather maintain current employee levels of the developer until such a time the project is fully built out.

ENVIRONMENTAL REVIEW

The Council action of accepting Westbrook Phase I Villages 5A Phase 1 and 5B as complete will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guideline 15060(c)(2)). Adoption of this project does not include the potential for a significant environmental effect and therefore is not subject to CEQA.

Respectfully Submitted,

John Hernandez, Senior Construction Inspector

Kevin Payne, Development Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-210

Westbrook Phase I - Villages 5a Phase 1 & 5B Phase 1 Certificate of Completion

Westbrook Phase I - Villages 5a Phase 1 & 5B Phase 1 Exhibit A

RESOLUTION NO. 16-210

RESOLUTION OF THE COUNCIL OF THE CITY OF ROSEVILLE ACCEPTING THE PUBLIC WORK KNOWN AS WESBROOK PHASE I VILLAGES 5A PHASE 1 & 5B PHASE 1 PROJECT, APPROVING THE "CERTIFICATE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING ALL DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION.

BE IT RESOLVED by the Council of the City of Roseville as follows:

1. The "Certificate of Completion" on file in the City Clerk's Department relative to that public work known as WESTBROOK PHASE I VILLAGES 5A PHASE 1 & 5B PHASE 1 is hereby approved and the public work accepted.
2. All rights of way and easements as offered on the final map of recorded with Placer County Recorder's Office Book DD of Maps, Page 27 is hereby accepted.
3. The City Engineer is hereby authorized and directed to execute said Notice on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville, this _____ day of _____, 20____, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

CITY CLERK

AFTER RECORDING RETURN TO:
CITY CLERK
311 VERNON STREET
ROSEVILLE, CA 95678

CERTIFICATE OF COMPLETION

NOTICE IS HEREBY GIVEN that the public work known as Westbrook Phase I Village 5A/5B Project was completed and accepted by the City of Roseville on June 1, 2016. The location is indicated on the attached map, marked as Exhibit "A" and incorporated herein by this reference.

The general contractor on said project was TEICHERT CONSTRUCTION.

The surety on said project was The Lexon Insurance Company, A Texas Corporation.
Subdivision maintenance bond # 1117712

MARC STOUT
City Engineer
City of Roseville

ATTEST:

SONIA OROZCO, CITY CLERK

STATE OF CALIFORNIA)
COUNTY OF PLACER)

I, MARC STOUT, being first duly sworn, depose and say: I am the City Engineer of the City of Roseville, a municipal corporation in the State of California, owner of the property described in the above Notice. I am duly authorized to make this verification for and on behalf of the City of Roseville. I have read the Certificate of Completion and know its contents and the facts stated therein are true.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document, to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

MARC STOUT
City Engineer
City of Roseville

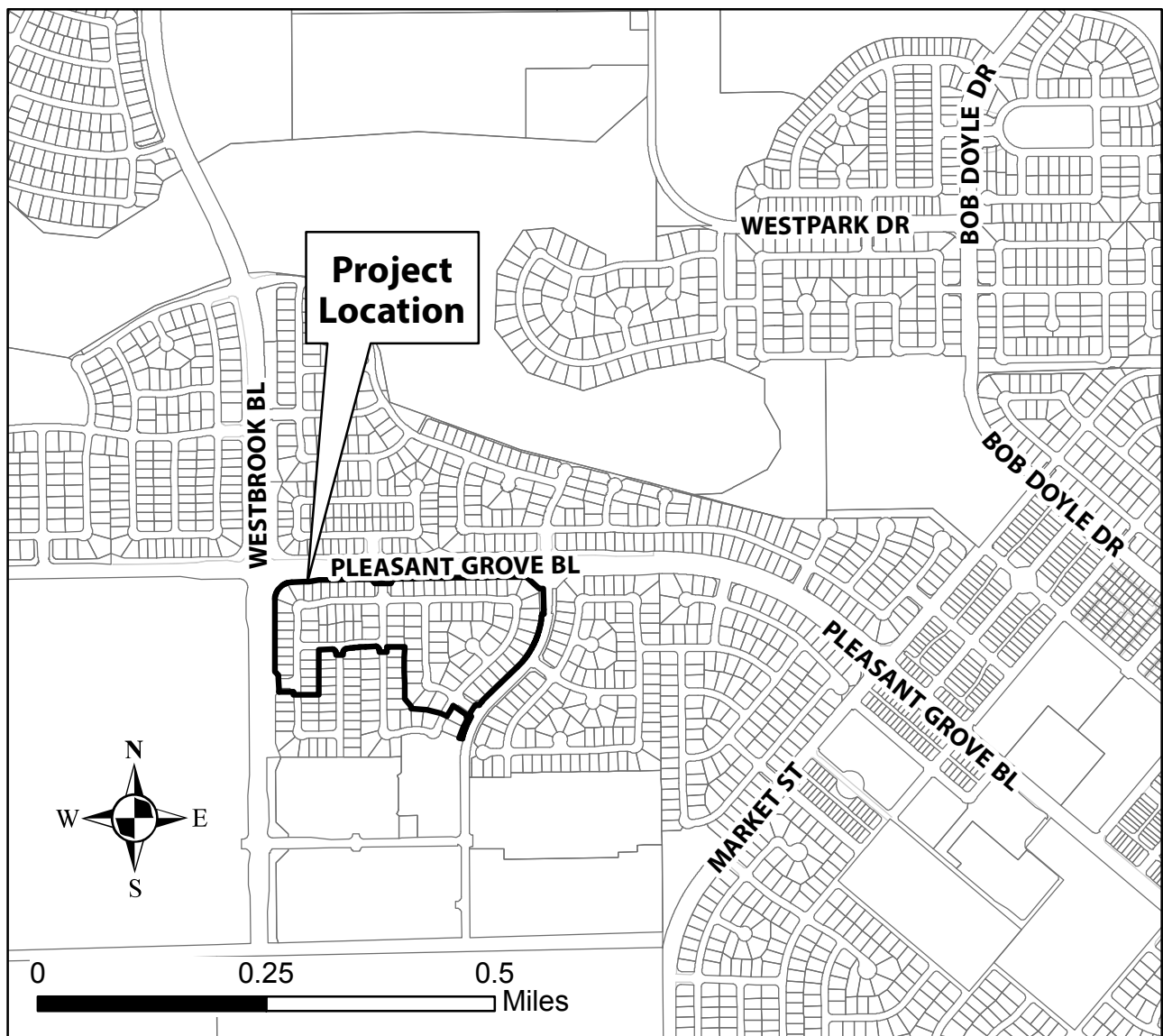
STATE OF CALIFORNIA)
COUNTY OF PLACER)

Subscribed and sworn to (or affirmed) before me on this ____ day of _____, 2015,
by _____ proved to me on the basis of satisfactory evidence to be the person(s) who
appeared before me.

Notary Public in and for said County and State

EXHIBIT A

City of Roseville Westbrook Phase 1 Villages 5A Phase 1 & 5B Phase 1





COUNCIL COMMUNICATION

CC #: 7726
File #: 0900-05

Title: Fire Station No. 1 Site Grading and Class I Bike Trail Project - Notice of Completion
Contact: Hossein Naghibzadeh 916-746-1300 hnaghibzadeh@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.18.

RECOMMENDATION TO COUNCIL

Staff recommends the City Council take the following actions:

- Increase the construction change order authority (construction contingency) from \$168,600.00 to \$283,600.00 to compensate the contractor for additional work and material quantities; and
- Accept the Fire Station No. 1 Site Grading and Class I Bike Trail Project as complete and adopt the resolution authorizing the Public Works Director to execute the Notice of Completion.

BACKGROUND

On May 6, 2015 Council awarded a contract to Joe Vicini Inc. for the Fire Station No. 1 Site Grading and Class I Bike Trail Project. The project included grading and construction of a new building pad for the relocation of Fire Station No. 1, and construction of a segment of the Class I Trail along Dry Creek.

Work was completed in late December of last year and per the contract requirements, staff prepared a Proposed Final Estimate (PFE) and sent it to the contractor in January for his acceptance or review and comment. The contractor took exception to the proposed final compensation on a few of the contract work items and submitted a claim. In mid-March, the contractor submitted proper documentation to validate their requested compensation for the removal of unsuitable materials, removal of rebar, and crushing of unsuitable materials to be placed back as fill material. Staff and the contractor have reached an agreement on the final contract amount of \$1,353,768.33. A change order in the amount of \$115,000.00 (the contractor's initial claim was \$256,738.71) will be processed to resolve the claim. There are sufficient funds in the project account to cover this change order.

The Engineering Division has made the final inspection of the project and has found the work to be completed in accordance with the improvement plans and specifications and it is now ready for acceptance. In accordance with the specifications and the Faithful Performance Bond, the contractor shall guarantee the installations of the improvements for a period of twelve months from the date of notice of completion.

FISCAL IMPACT

This project is funded by the Fire Facilities Fund, and the Local Transportation Fund. There are sufficient funds in the project's account to cover the \$115,000 increase to the construction change order authority. General fund monies were not used for any portion of this project.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on Federal guidelines, there is one job created for every \$92,000.00 in direct government spending. Based on that figure, this project created about 15 jobs.

ENVIRONMENTAL REVIEW

The Council action of accepting the Fire Station No. 1 Site Grading and Class I Bike Trail Project as complete will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guideline§15061(b)(3)). Adoption of the Fire Station No. 1 Site Grading and Class I Bike Trail Project Notice of Completion does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Hossein Naghibzadeh, Associate Engineer

Rhon Herndon, Public Works Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

change order

Resolution No. 16-221

NOC form, location map



Department of Public Works
311 Vernon Street
Roseville, CA 95678

FINAL CONTRACT CHANGE ORDER

Change Order No. 19

Date Prepared: 4-May-16

Contractor: Joe Vicini, Inc.

Project: Fire Station Site Grading and Class I Trail

Address: P.O. Box 206
Placerville, CA 95667

Acct.No: _____

You are directed to make the following changes from the plans and specifications or do the following described work not included in the plans and specifications for this contract.

Description of work to be done, estimate of quantities, and prices to be paid:

Segregate between additional work at contract price, agreed price, and force account.

Unless otherwise stated, rates for rental of equipment cover only such time as equipment is actually used and no allowance will be made for idle time.

EXTRA WORK AT AGREED LUMP SUM PRICE

Contractor and City acknowledge that additional work was required to complete the Project. A dispute arose regarding the sums owed for this additional work. This Final Contract Change Order represents the agreed upon lump sum payment of \$115,000.00 for this additional work, which includes, but is not limited to, the amounts claimed in Notice of Potential Claims Nos. 2,3,4, and 7. This Final Contract Change Order represents the total full and final payment amount owed and due to Contractor for any and all labor, material, tools, equipment, and/or incidentals furnished, supplied, and/or arising for the Project, excluding retention. Payment by City of this Final Contract Change Order amount, including the release of the retention amount of \$62,054.92 to Contractor upon Project acceptance, shall represent waiver and release of any and all claims by City for liquidated damages against Contractor arising from the work at the Project. Contractor and City have agreed that this Final Contract Change Order, as well as the retention amount, represents all remaining sums owed and due to Contractor by City and Contractor hereby waives and releases City from any and all claims or demands for additional payment. City agrees that Contractor's warranty and bond obligations shall have commenced on December 23, 2015.

Estimated Cost: ☐ Decrease ☒ Increase \$ 115,000.00

By reason of this order, the time of completion will be adjusted as follows: None

Original Contract Amount:	\$1,123,999.75
Total Change by Previous Change Orders and/or Quantity Adjustment:	\$114,768.58
Contract Amount Prior to this Change Order:	\$1,238,768.33
Contract Amount to be INCREASED by this Change Order:	\$115,000.00
Adjusted Contract Amount Including this Change Order:	\$1,353,768.33



Department of Public Works
311 Vernon Street
Roseville, CA 95678

FINAL CONTRACT CHANGE ORDER

Change Order No. 19

Date Prepared: 4-May-16

Contractor: Joe Vicini, Inc.

Project: Fire Station Site Grading and Class I Trail

APPROVAL RECOMMENDED BY:

SIGNATURE	(PRINT NAME & TITLE)	DATE
	GORDON J VICINI	5-4-16

CITY APPROVAL BY:

SIGNATURE	(PRINT NAME & TITLE)	DATE
	Raul R. Cervantes, Sr. Engineer	5-10-16

We, the undersigned contractor, have given careful consideration to the change proposed and agree, if this proposal is approved,

CONTRACTOR ACCEPTANCE BY:

SIGNATURE	(PRINT NAME & TITLE)	DATE
	GORDON J VICINI	5-4-16

Form CC 5 REV: 5/07

RESOLUTION NO. 16-221

ACCEPTING THE PUBLIC WORK KNOWN AS FIRE STATION NO. 1 SITE GRADING
AND CLASS I BIKE TRAIL PROJECT, APPROVING THE “NOTICE OF COMPLETION”,
AND AUTHORIZING THE PUBLIC WORKS DIRECTOR TO EXECUTE SAID NOTICE ON
BEHALF OF THE CITY OF ROSEVILLE

BE IT RESOLVED by the City Council of the City of Roseville as follows:

1. The “Notice of Completion” on file in the City Clerk’s Department relative to that public work known as the Fire Station No. 1 Site Grading and Class I Bike Trail Project has been approved and the public work accepted.
2. The Public Works Director is hereby authorized and directed to execute said Notice on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20____, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

AFTER RECORDING RETURN TO:
CITY CLERK
311 VERNON STREET
ROSEVILLE, CA 95678

NOTICE OF COMPLETION

NOTICE IS HEREBY GIVEN that the public work known as **Fire Station Site No. 1 Grading and Class I Bike Trail Project**, was completed and accepted by the City of Roseville on **June 01, 2016**. The location is indicated on the attached map, marked as Exhibit "A" and incorporated herein by this reference.

The general contractor on said project was Joe Vicini Inc.

The surety on said project was Travelers Casualty and Surety Company of America.

ATTEST:

Rhon Herndon
Public Works Director
City of Roseville

SONIA OROZCO, CITY CLERK

STATE OF CALIFORNIA)
COUNTY OF PLACER)

I, Rhon Herndon, being first duly sworn, depose and say: I am the Public Works Director of the City of Roseville, a municipal corporation in the State of California, owner of the property described in the above Notice. I am duly authorized to make this verification for and on behalf of the City of Roseville. I have read the Notice of Completion and know its contents and the facts stated therein are true.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

Rhon Herndon
Public Works Director
City of Roseville

State of California)
County of Placer)

Subscribed and sworn to (or affirmed) before me on this ____ day of _____, 2016,
by _____ proved to me on the basis of satisfactory evidence to be the person(s)
who appeared before me.

Notary Public in and for said County and State

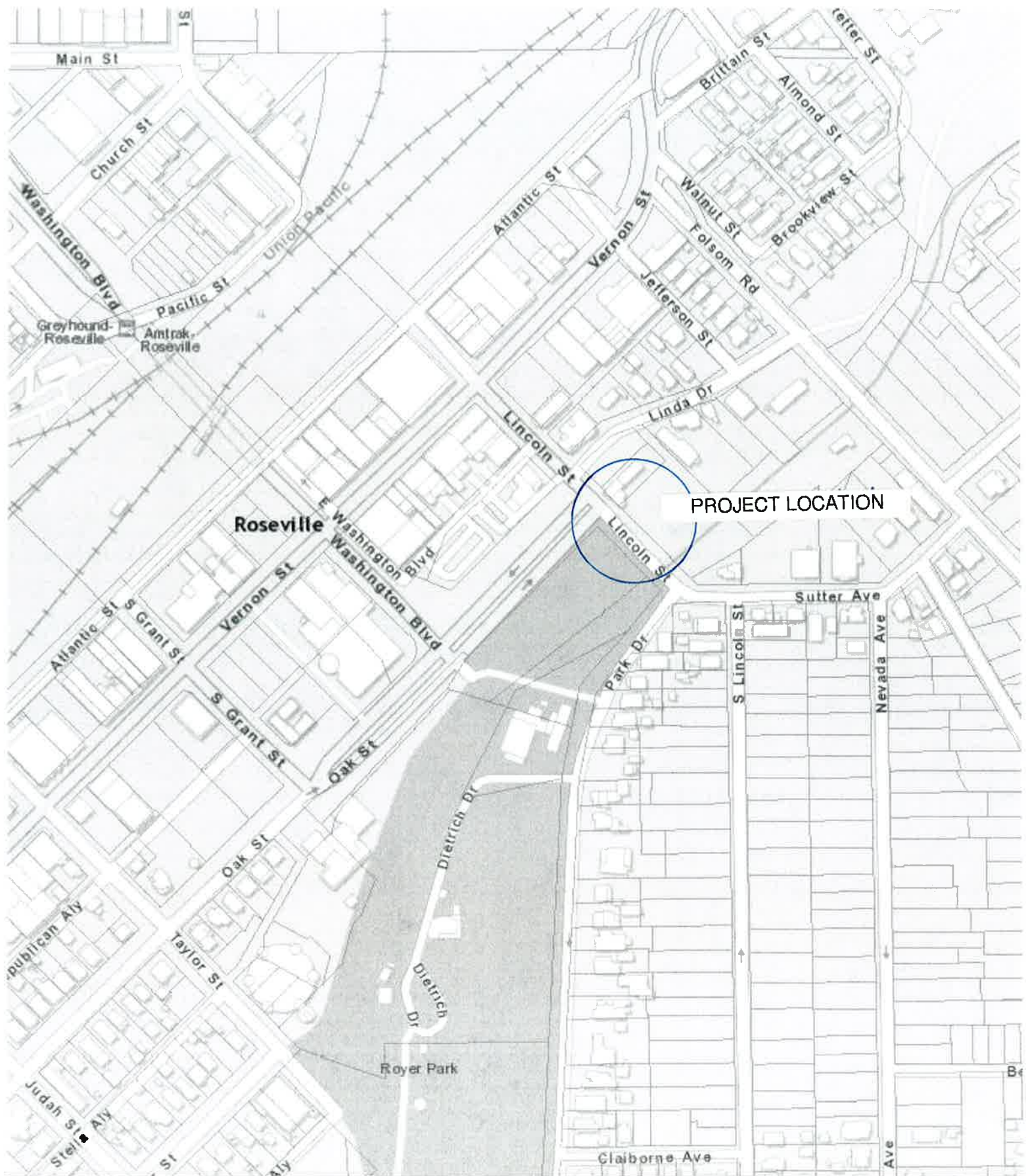


EXHIBIT A



COUNCIL COMMUNICATION

CC #: 7698

File #: 0324

Title: Fire Station Landscape Design - Professional Design Services Agreement

Contact: Brian Diemer 916-746-1392 bdiemer@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.19.

RECOMMENDATION TO COUNCIL

Staff recommends Council approval of the professional design services agreement with Callander Associates Landscape Architecture in the amount of \$49,965.00 for landscape design of Fire Stations 2,3,4,7 and 9.

Council approval for City Manager to sign the Professional Design Services Agreement with Callander Associates Landscape Architecture.

BACKGROUND

In the spring of 2016, the Fire Department issued an RFP/RFQ to provide Landscape Architectural Design Services. Five firms submitted a Letter of Interest/Response to the RFP/RFQ. Callander Associates Landscape Architecture was selected as the most qualified to complete the construction document preparation. The scope of work included in this Agreement focuses on site assessment and construction documents for the selected fire stations. An emphasis has been placed on lowering water usage and maintenance costs.

The tentative schedule is to perform site assessments by the middle of July and project completion by the end of August, 2016.

FISCAL IMPACT

Allocations for site assessments and preparation of construction documents were approved in the FY2015-16 budget through the City Facilities Rehabilitation Fund.

Total costs to the City for landscape design is \$49,965.00.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). Approval of the Professional Design Services Agreement for the Fire Station Landscape does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Brian Diemer, Assistant Fire Chief

Rick Barteo, Fire Chief



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-202

Professional Design Services Agreement

RESOLUTION NO. 16-202

APPROVING A PROFESSIONAL DESIGN SERVICES AGREEMENT BY AND BETWEEN
THE CITY OF ROSEVILLE AND CALLANDER ASSOCIATES LANDSCAPE
ARCHITECTURE, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT
ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a professional design services agreement regarding the Roseville Fire
Stations Landscape Assessment and Upgrade Services Project, by and between the City of
Roseville and Callander Associates Landscape Architecture, Inc., has been reviewed by the City
Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that
said agreement is hereby approved and that the City Manager is authorized to execute it on
behalf of the City of Roseville; and

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of
_____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

PROFESSIONAL DESIGN SERVICES AGREEMENT

Project: Roseville Fire Stations Landscape Assessment and Upgrade Services

THIS AGREEMENT is made and entered into this ____ day of _____, 20____,
by and between the City of Roseville, a municipal corporation ("CITY"), and Callander
Associates Landscape Architecture, Inc., a California corporation ("CONSULTANT "); and

W I T N E S S E T H:

WHEREAS, CITY desires professional design services consisting of landscape
assessment and upgrade services for City of Roseville Fire Stations; and

WHEREAS, CONSULTANT has prepared a proposal dated May 2, 2016, which
describes the scope of work to be performed by CONSULTANT, the budget for the work, and
the schedule for performance of the work; and

WHEREAS, CONSULTANT is qualified and experienced to provide such professional
design services.

NOW, THEREFORE, the parties agree as follows:

1. Services. CONSULTANT shall perform, at the direction of CITY, the scope of
services as described in EXHIBIT "A," attached hereto and incorporated herein by this
reference.
2. Compensation. For its services provided hereunder, CONSULTANT shall be
compensated on a time and expense basis in accordance with the budget estimate as described in

EXHIBIT "B," attached hereto and incorporated herein by this reference. Total compensation shall not exceed forty-nine thousand, nine hundred sixty-five dollars (\$49,965.00).

CONSULTANT shall submit monthly invoices for its services. Such invoices shall be delineated by task, the person performing the services, and the hourly rate, which shall be stated in time increments of not greater than one-tenth (1/10) hours. CITY shall pay invoices within thirty (30) days after receipt, if the services specified in the invoice have been satisfactorily completed.

3. Indemnification. To the fullest extent allowed by law, CONSULTANT agrees to indemnify, including the cost to defend CITY, and its officers, agents, employees and volunteers from any and all claims, demands, costs or liability that arise out of, or pertain to, or relate to the negligence, recklessness, or willful misconduct of CONSULTANT and its agents in the performance of services under this contract, but this indemnity does not apply to liability for damages for death or bodily injury to persons, injury to property, or other loss, arising from the sole negligence, willful misconduct or defects in design by CITY or the agents, servants, or independent contractors who are directly responsible to CITY, or arising from the active negligence of CITY.

CONSULTANT's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

4. Insurance. CONSULTANT agrees to continuously maintain, in full force and effect, the following minimum policies of insurance during the term of this Agreement.

<u>COVERAGE</u>	<u>LIMITS OF LIABILITY</u>
Workers' Compensation	Statutory
Commercial General Liability	\$2,000,000 each occurrence \$4,000,000 aggregate Personal Injury: \$2,000,000 each occurrence \$4,000,000 aggregate
Automobile Liability	\$1,000,000 combined single limit
Professional Liability (errors and omissions)	\$2,000,000 per claim \$2,000,000 aggregate

a. Form. CONSULTANT shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

b. Additional Insureds. CONSULTANT shall also provide a separate endorsement form or section of the policy showing CITY, its officers, agents, employees and volunteers as additional insureds for each type of coverage, except for Workers' Compensation and Professional Liability. Such insurance shall specifically cover the contractual liability of CONSULTANT. The additional insured coverage under the CONSULTANT's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from CITY's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and

limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.

c. Cancellation/Modification. CONSULTANT shall provide ten (10) days written notice to CITY prior to cancellation or modification of any insurance required by this Agreement.

d. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of CITY (if agreed to in a written contract) before CITY's own insurance shall be called upon to protect it as a named insured.

e. Subcontractors. CONSULTANT agrees to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, CONSULTANT shall require its subcontractors to agree to be bound to CONSULTANT and CITY in the same manner and to the same extent as CONSULTANT is bound to CITY under this Agreement. Additionally, CONSULTANT shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of CITY's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.

f. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or CITY. CITY reserves the right to obtain a full

certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.

g. Waiver of Subrogation. CONSULTANT hereby agrees to waive subrogation which any insurer of CONSULTANT may acquire from CONSULTANT by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of CITY, its officers, agents, employees and volunteers for all work performed by CONSULTANT, its employees, agents and subcontractors.

h. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve CONSULTANT of liability in excess of such coverage, nor shall it preclude CITY from taking such other actions as are available to it under any other provisions of this Agreement or law.

5. Access to Records. Duly authorized representatives of CITY shall have right of access during normal business hours to CONSULTANT's files and records relating to the services performed hereunder, and may review the files and records at appropriate stages during performance of the services.

6. Time is of the Essence. Time is of the essence of this Agreement.

7. Compliance with Laws. CONSULTANT shall comply with all federal, state and local laws, ordinances and policies as may be applicable to the performance of services under this Agreement.

8. Ability to Perform. CONSULTANT agrees and represents that it has the time, ability and professional expertise to perform the services required under this Agreement.

9. Governing Agreement. In the event of any conflict between this Agreement and its EXHIBITS, the provisions of this Agreement shall govern. In the event of any conflict between any of the EXHIBITS, the provisions of the first in order of attachment shall govern.

10. Assignment. CONSULTANT is employed to perform unique personal services. CONSULTANT shall not assign this Agreement without the prior written consent of City. CONSULTANT shall not employ or otherwise incur any obligation to pay other specialists or experts for services in connection with this Agreement, without prior written consent of CITY.

11. Independent Contractor. CONSULTANT shall act as an independent contractor, and covenants and agrees that it will conduct itself consistent with such status, that it will neither hold itself out as, nor claim to be, an officer or employee of CITY by reason of this Agreement.

12. Representation and Warranties. CONSULTANT warrants that it has not employed or retained any company or person, other than a bona fide employee working for CONSULTANT, to solicit or secure this Agreement, and that it has not paid or agreed to pay any company or person, other than a bona fide employee, any fee, commission, percentage, brokerage fee, gift or any other consideration, contingent upon or resulting from the award or making of this Agreement. For breach or violation of this warranty, CITY shall have the right to terminate as void this Agreement, without liability, or, in its discretion, to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift or contingent fee.

13. Successors in Interest. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.

14. Copyright, Ownership and Use of Materials. All tangible materials ("Material") created or delivered pursuant to this Agreement is considered a work made for hire under the

Copyright Act. To the extent such Material does not qualify as a work made for hire, CONSULTANT hereby assigns to CITY all right, title, and interest, including but not limited to all copyrights, in all Material created by CONSULTANT in its performance under this Agreement. Material constitutes the scope of work outlined in Exhibit A and attached hereto, and all written and other tangible expressions, including but not limited to, drawings, papers, documents, reports, surveys, renderings, exhibits, sketches, maps, models, prints, paintings or photographs, in any and all media or formats in which such materials have been created or are maintained. All Material furnished by CONSULTANT is, and shall remain, the property of CITY.

CONSULTANT shall execute any documents necessary to effectuate such assignment. In the event that CONSULTANT uses, employs, designates, or retains any person or entity who is not an employee of CONSULTANT, to perform any work required of it pursuant to this Agreement, CONSULTANT shall require said person or entity to execute an agreement containing the preceding paragraph.

15. Termination of Agreement. The City may terminate this Agreement without cause by giving CONSULTANT ten (10) days advance written notice from the City Manager. CONSULTANT may terminate this Agreement without cause by giving CITY thirty (30) days advance written notice. In the event of termination through no fault of CONSULTANT, CITY shall compensate CONSULTANT for services performed as of the date of termination, upon the release to CITY of all Material hereunder, in any and all media or formats in which such materials have been created or are maintained. CITY retains the right to receive and use any Material, notwithstanding any termination or any dispute regarding the amount to be paid.

16. Attorney's Fees; Venue; Governing Law. If either party commences any legal action against the other party arising out of this Agreement or the performance thereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorneys' fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

17. Modification. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

18. Severability. If any of the provisions contained in this Agreement is for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

19. Notices. Any notices to parties required by this Agreement shall be delivered personally or mailed, U.S. first class postage prepaid, addressed as follows:

CITY OF ROSEVILLE

Brian Diemer, Assistant Chief
City of Roseville
311 Vernon Street
Roseville, CA 95678

CONSULTANT

Melissa Ruth, Callander Associates
Landscape Architecture, Inc.
12150 Tributary Point Drive, Ste. 140
Gold River, CA 95670

Either party may amend its address for notice by giving notice to the other party in writing.

20. Integrated Agreement. This is an integrated agreement and contains all of the terms, considerations, understanding and promises of the parties. It shall be read as a whole.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20__, and CONSULTANT has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation

BY: _____
ROB JENSEN
City Manager

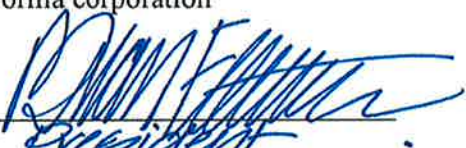
ATTEST:

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

CALLANDER ASSOCIATES
LANDSCAPE ARCHITECTURE, INC., a
California corporation

BY: 
its: President
Brian Fletcher, President #4671
and

BY: 
its: Principal/Corporate Secretary
Benjamin W. Woodside #4590

APPROVED AS TO SUBSTANCE;

BY: 

RICK BARTEE
Fire Chief

EXHIBIT “A”



ROSEVILLE FIRE STATIONS

landscape assessment and upgrade services

Statement of
Qualifications/Proposal

submitted to

City of Roseville
Fire Department

May 2, 2016

LOCATION:

VALLEY OFFICE

12150 Tributary Point Drive, Suite 140

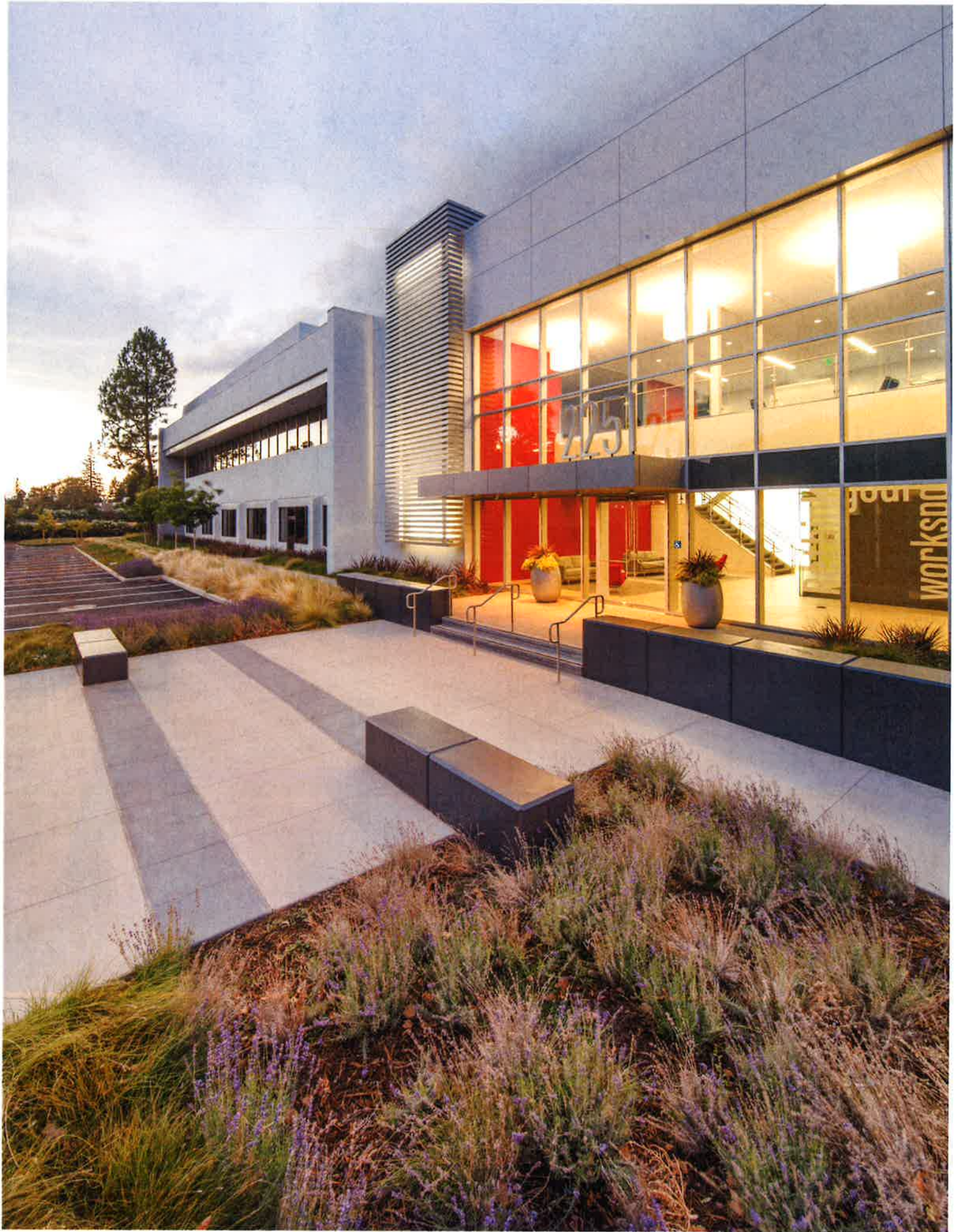
Gold River, CA 95670

916.985.4366

Callander Associates is a registered **Small Business Enterprise (SBE)** with the State of California.

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H.	EXCEPTIONS	page 27
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COVER LETTER

Mr. Brian Diemer
City of Roseville
Fire Department
401 Oak Street
Roseville, CA 95678

RE: ROSEVILLE FIRE STATIONS LANDSCAPE ASSESSMENT AND UPGRADES/qualifications

Dear Mr. Diemer,

Typical landscape rehabilitation projects speaks to beautification, maintenance and water conservation. However, there is more to the landscape than that. The City has five very unique landscapes that will not only benefit from the typical approach to water conservation, but we also understand that these five landscapes represent the part time home for Firefighters, a safe haven for community, an exciting place to visit for school age children and a symbol for the community it serves. The improvements to these stations will need to address water conservation, irrigation efficiency, respond to decreased maintenance budgets, safety and security, and a healthy environment for the firefighters. We understand these goals and commend the City for seeing value in the renovation of these landscapes as a role model in sustainability and water conservation.

Callander Associates has enjoyed working with the City as we continue to do so with the Bridges projects in downtown Roseville. We have a good understanding of the City's irrigation and planting standards, project delivery and review processes as well as the values of your residents. We will apply this knowledge and lessons learned to respond to project specific challenges.

With careful consideration and thought we submit this proposal and welcome the chance to discuss with you in further detail. The entire Callander Associates' team is excited about the opportunity to continue working with the City of Roseville.

By submitting this qualifications packet Callander Associates acknowledges that we will perform the services and adhere to the requirements described in the RFP/Q, and Addendum #1.

Sincerely,



Melissa Ruth, PLA, QSD/P
mruth@cavalleyoffice.com
Callander Associates
12150 Tributary Point Drive, Suite 140
Gold River, CA 95670
p. 916.985.4366
f. 916.985.4391

PROJECT ADVOCATES
CREATIVE THINKERS
PROBLEM SOLVERS

landscape architects
project managers
construction managers
arborists
irrigation designers/auditors
LEED accredited professionals
SWPPP QSD/QSP

A : FIRM'S QUALIFICATIONS



Since 1973, Callander Associates has helped public agencies create vital outdoor spaces for the betterment of their communities.

Those 43 years of specialization in public projects includes the full range of services, from pre-project feasibility and funding through construction management and refurbishment for public building projects such as firestations, police stations, libraries, community buildings, commercial buildings and other landscape architectural projects. Our focus for many of these facilities is drought tolerant designs including water-efficient irrigation systems, low water use planing design, and incorporating stormwater retention/quality into the landscape.

Callander Associates strives to create spaces that not only are environmentally sustainable, but also respond to economically and socially sustainable design solutions driven by minimizing maintenance costs for the life of the project and responding to public needs. While rehabilitation projects can sometimes be difficult to determine costs due to unknowns discovered throughout the project, our office is able to quickly respond with cost saving solutions based on our rehabilitation experience. Our ability to deliver a comprehensive product while staying within our clients' budget contributes to the success of our projects.

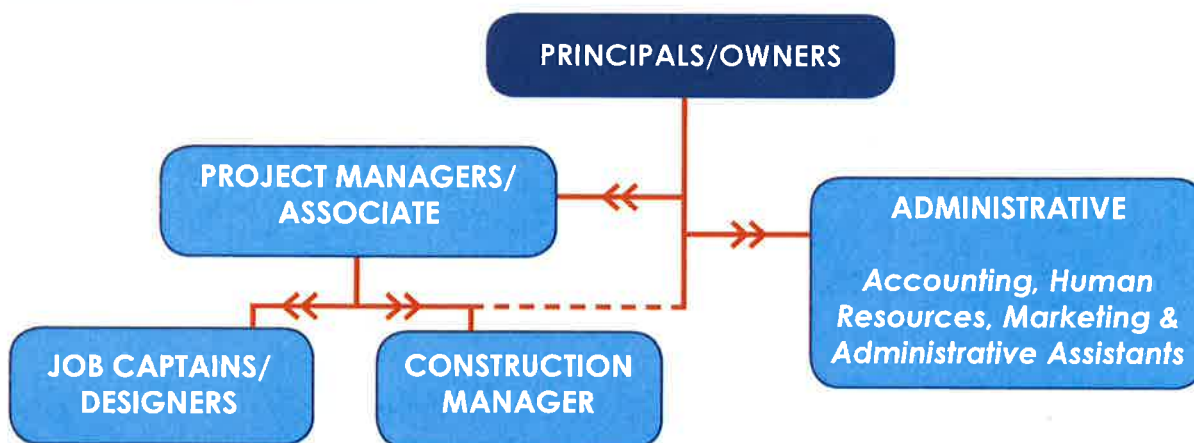
Often dictated by funding deadlines or construction windows, the ability to meet aggressive schedules is critical to successful project implementation. Callander Associates employs multiple tools to ensure 'delivery'. Chief among them are staffing flexibility. With over 18 design professionals, we can typically accelerate schedules or take on more work in response to unforeseeable conditions without adverse schedule impacts.

FIRM ORGANIZATION

A staff of 23 design and administrative professionals provides the firm with a wide range of backgrounds and resources. In addition to having a large number of licensed landscape architects, Callander Associates offers specialized expertise from our Construction Manager, Certified Landscape Irrigation Auditor (to meet State-mandated AB1881 requirements), Certified Playground Inspectors, LEED Accredited Professionals, STMA members, Qualified SWPPP Developers (QSD), and certified arborists.

The entire company is overseen by our Owners who are also Principals in Charge (PIC) for project purposes. Our Owners/Principals oversee every project for quality assurance and quality control (QA/QC). Our projects are ran by our Project Managers with Designers/Job Captains assigned on a per project basis. Our Administrative Assistants do more than answer phones and handle day to day administrative duties, they also assist the project team with coordination, construction specifications and other project related items. Every project is approached from a team mindset which makes Callander Associates truly unique. With 3 offices, we are able to pull from many resources to turn around tight schedules, respond quickly to challenges and explore solutions based on the wide range of experience and expertise.

FIRM ORGANIZATION CHART



<u>SAN JOSE OFFICE</u>	<u>VALLEY OFFICE</u>	<u>SAN MATEO OFFICE</u>
Average Staff Levels last 5 years = 4.5	Average Staff Levels last 5 years = 7	Average Staff Levels last 5 years = 10
CURRENT: Principals (1) Associates (1) Project Managers (1) Designers (1) Administrative (1)	CURRENT: Principals (1) Associates (1) Project Managers (1) Designers (5) Administrative (1)	CURRENT: Principals (1) Project Managers (2) Construction Manager (1) Designers (3) Administrative (2)

SIMILAR REHABILITATION PROJECTS COMPLETED BY CALLANDER ASSOCIATES IN LAST 10 YEARS

Callander Associates was the lead firm in all of the projects listed below.

Project	Completion Date	Public Agency	Contact
Turlock Irrigation District Landscape	2016	X	Turlock
Riverlake Landscape Renovation	2015		Sacramento
AT&T Landscape Improvements	2015		Sonora
Crestview Park	2015	X	San Carlos
Historic McClatchy Park	2014	X	Sacramento
Lawson Lane	2014		Santa Clara
Freeman Park Renovation	2014	X	Woodland
Zanker Campus Revitalization	2014		San Jose
Castle Rock State Park	2013	X	Los Gatos
Clark Field	2013	X	Woodland
1001 Ridder	2013		San Jose
Orange Park Playground	2012	X	South San Francisco
Educational Park Branch Library	2011	X	San Jose
Tabor Community Park	2011	X	Fairfield
Sutter's Landing Park Phase 1	2011	X	Sacramento
Kelly Park	2011	X	Menlo Park
Woodland Parks Irrigation Phase 2	2011	X	Woodland
Railhead Park Soccer Field	2009	X	Auburn
Victory Park	2009	X	Stockton
Dover Park Rehabilitation	2008	X	Fairfield
PG&E Greenbelt	2008	X	Stockton
Fire Station #13	2007	X	Stockton
Carolyn Weston Rehabilitation	2007	X	Stockton
Stephen Harris Park	2006	X	El Dorado Hills
Stockton Teen Center	2006	X	Stockton

For project references, see Tab B: Experience and References.



ZANKER CAMPUS



EDUCATIONAL PARK BRANCH LIBRARY

SERVICES PROVIDED

In House Capabilities

All proposed services listed in the proposal will be handled by the depth of our professional inhouse staff. Callander Associates staff has the knowledge and expertise required to effectively respond to the critical relationship between the planted landscape and the irrigation system. Callander Associates has maintained the in-house resources required to provide clients with custom-tailored, state of the art solutions to the irrigation demands of Northern California. Our staff consists of a certified landscape irrigation auditor and over 18 landscape architects and designers on staff, ready to provide specialized services as:

- Irrigation Audits and Reports
- Water Use Calculations
- Certificates of Completion
- Low Water Use Plant Palettes
- Capture & Re-use Systems
- Landscape Maintenance Schedules
- Irrigation Schedules
- Low Water Use Designs
- Construction Review Services

Subconsultant Services

As we all know, especially on rehabilitation projects, needs come up and discoveries are made. These needs cannot be anticipated before the initial project design phases. If we find that a survey is needed or the electrical systems need upgrading, we have a team of subconsultants that we have worked with over the years that can be utilized to help where needed. Below is a list of subconsultants that we can draw from if the situation arises.

- Survey and Civil Engineering Services: Warren Consulting Engineers
- Electrical Engineering Services: M. Neils Engineers, Inc.
- Geotechnical Engineering Services: Youngdahl Consulting Group
- Structural Engineering Services: Barrish Pelham & Associates

Fire Station #13, Stockton (2007)

Fire Station #13 is a new facility located in the north/eastern part of Stockton. Callander Associates was contracted as a sub to a local architect to provide preliminary design through construction documents for the project. Specific opportunities included integration of low water use planting design for a large portion of the site. Native perennial grasses and flowering plants accent the larger plant material themes that run through the neighboring new housing developments. The front entry is highlighted by an intimate planting area, seat wall and flagpole which accent the buildings architecture.

Contact: Victor Machado, City of Stockton 209.937.8899



B : EXPERIENCE AND REFERENCES

EXPERIENCE IN PROVIDING SIMILAR SERVICES

A Prime Consultant with Unrivalled Experience in Rehabilitation Projects

The Callander Associates Gold River office primarily services the needs of the local community. Cities have come to rely on us for our experience with rehabilitation projects. In many ways, rehabilitation projects are like complex puzzles. Many pieces are lost or hidden from view. Extensive investigation is needed early in the project to uncover the hidden pieces but the ability to also make educated assumptions about the lost pieces is necessary. Many unknowns include site utilities, irrigation system, and old standards. Callander Associates has developed literally dozens of plans for rehabilitation projects throughout Northern California. As no two projects are alike, our experience has been broadened by each successive effort. We are proud to count among our clientele many repeat clients including the Cities of Sacramento, Woodland, West Sacramento, Modesto, Fairfield and Stockton.

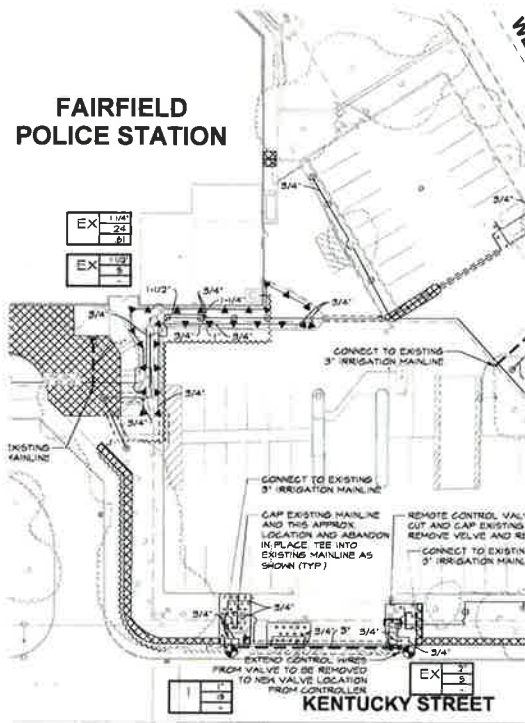
Provide a Modern Integrated Irrigation System

A project's success is not only measured by its design quality and functional site features, but also by its built-in capacity for longevity through efficient irrigation design. Callander Associates' staff has the knowledge and expertise required to effectively respond to the critical relationship between the planted landscape, and an irrigation system. With an ever-changing palette of irrigation products and water-conserving strategies, Callander Associates has maintained the in-house resources required to provide clients with custom-tailored, state-of-the art solutions to the irrigation demands of northern California. By eliminating reliance upon outside irrigation consultants, clients have been especially pleased with the responsiveness, quality control, and timely turn-around we provide. Callander Associates approach to a rehabilitation project is not just to rip out the entire irrigation system but analyze each system, determine whether salvage is possible in order to save costs, and whether it would meet current City and State standards (including MWEL/AB 1881) while exceeding expectations for water conservation and maintainability.

Provide a Beautiful yet Defensible Landscape

Building a beautiful plant palette starts with context sensitive design influenced by the building architecture, surrounding environment, and design principles of the City of Roseville. Entrances, focal areas, and high use spaces are all accented with plant palettes that include texture, color, and form. Planting designs will build upon existing plant palettes to ensure cohesion between the old and new. Drought tolerant and low maintenance plantings can sometimes be perceived as unattractive. We have successfully developed water-wise plant palettes that are beautiful and full of color. Plants are carefully researched to insure plant growth habits and placement will minimize need for pruning and maximize visibility and safety. As evident from the site walk with the Fire Department, many areas of the landscape are overgrown, other areas are bare and some areas are just in need of pruning. In addition, some of the older mature landscape has created areas of poor visibility and pose a safety issue.

FAIRFIELD POLICE STATION



Fairfield Police Station | Fairfield

Renovation to the Police Station building and parking lot were necessary due to increased security needs for the station. A new perimeter fence and mechanical gates were proposed which caused potential conflicts with existing vegetation and trees. Callander Associates assisted the project Architect and Civil Engineer in the layout of the fence and gates to minimize removal of trees and landscaping. CPTED principles were utilized to eliminate hiding spots, pruning of trees that could aid in climbing fences, and creating an inviting and visible building egress.

KEY TEAM MEMBERS

Melissa Ruth (PM)
Ben Woodside (PIC)

CLIENT

City of Fairfield
Fred Beiner

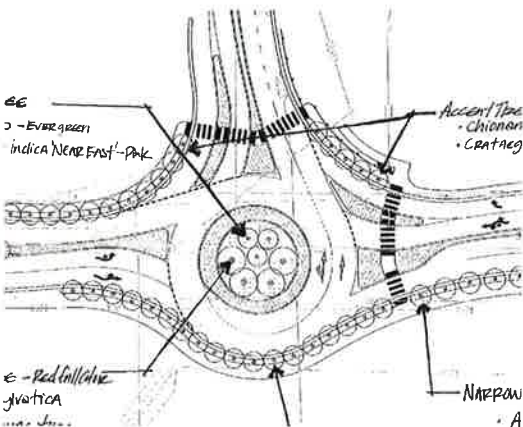
707.428.7431

fbeiner@fairfield.ca.gov



Roseville Streetscape Improvements | Roseville

Callander Associates assisted the prime Civil Engineering firm in the preparation of construction documents for planting and irrigation improvements along several streets within the Downtown Roseville that were to be realigned or enhanced with traffic calming measures. The improvements included medians, planter strips and a roundabout. The landscape improvements in these areas were designed to meet the City's Water Efficient Landscape Ordinance. The project also included road alignment changes that affected many private properties. Callander Associates prepared an Irrigation System and Planting Assessment to help identify how each individual property owner's yard should be renovated after road construction was complete.



KEY TEAM MEMBERS

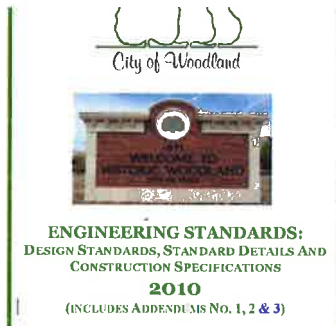
Melissa Ruth (PM)

CLIENT

City of Roseville
Tara Gee

916.774.5253

tgee@roseville.ca.us



Woodland Parks Irrigation Rehabilitation | Woodland

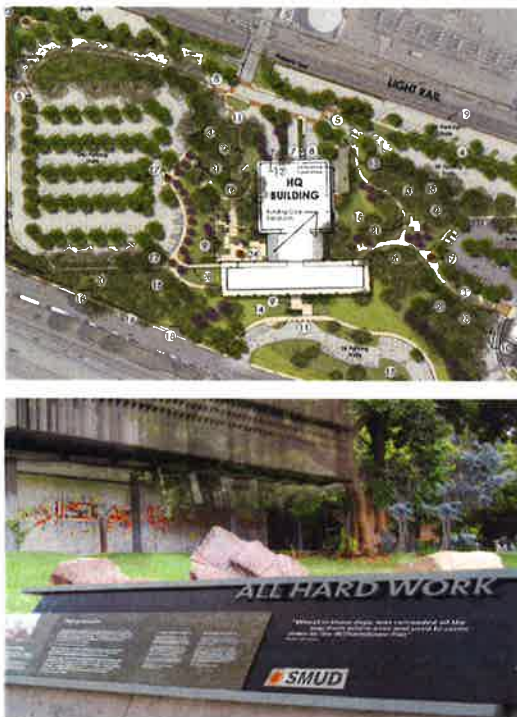
City of Woodland received a grant from Proposition 50 to upgrade the City's park irrigation systems. Many of the parks were using manual operated valves and had poor water efficiency. Callander Associates was hired by the City to conduct irrigation system analysis and prepare construction documents for four phases of the irrigation rehabilitation implementation. While each park site had a unique design solution, the overall goal was to upgrade the parks to be run on the City's central control system and to provide high efficiency irrigation systems for the Parks that meet AB 1881 standards. A total of twenty-one parks received full irrigation rehab design, booster pump replacements and central controller upgrades.

CLIENT

City of Woodland
Brent Meyer
530.661.5947
brent.meyer@cityofwoodland.org

KEY TEAM MEMBERS

Melissa Ruth (PM)
Ben Woodside (PIC)



Historic SMUD HQ Site Renovation | Sacramento

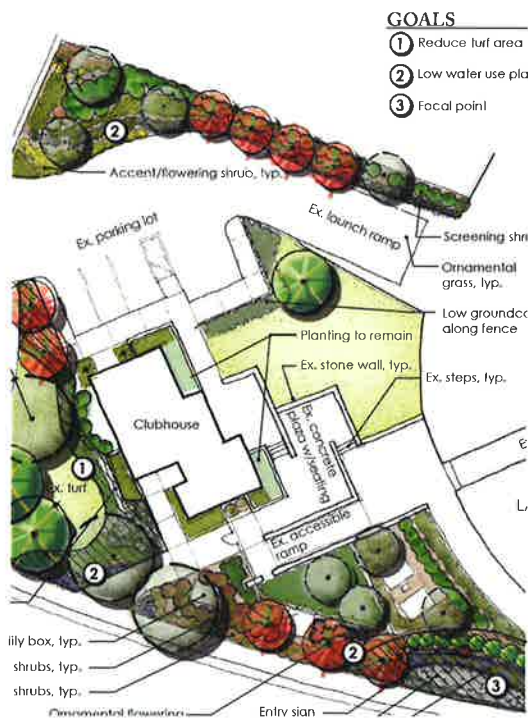
Sacramento Municipal Utility District's (SMUD's) headquarters building and the surrounding site have served SMUD since its construction in 1960. Callander Associates worked as the lead design consultant for renovation of this 13 acre site. The main goal of the renovations was to balance the improvements that will support SMUD's business and functional needs for the next 50 years while maintaining the historic character. Improvements include alterations to existing parking facilities to improve campus pedestrian connectivity and meet current accessibility and life safety code requirements. Employee safety and security is also at the forefront of project goals. To meet the needs of all the users Callander facilitated multiple programming meetings for various user groups within the SMUD campus.

CLIENT

SMUD
Doug Norwood
916.732.6623
doug.norwood@smud.org

KEY TEAM MEMBERS

Ben Woodside (PIC)
Brenna Castro (D)



Riverlake Landscape Improvements | Sacramento

In response to the current drought conditions, the members of the Riverlake Community Association saw the value in saving water even if it meant reducing the amount of lawn in their common areas of this upscale community located in the Pocket/Greenhaven area of Sacramento. Callander Associates was tasked to master plan several acres of common spaces including boat docks, village entries and streetscape. The goal was to reduce turf and other high water use plants while maintaining a lush, green visual aesthetic of the community. The common area master plans were hand drawn based off of original blue prints and aerial imagery.

KEY TEAM MEMBERS

Melissa Ruth (PM)
Ben Woodside (PIC)
Brenna Castro (D)

CLIENT

Riverlake Community Association
Calvin Gladden
916.947.9081
calvingladden@yahoo.com



TID Palm Street Renovations | Turlock

Turlock Irrigation District (TID), headquartered in Turlock, CA, approached Callander Associates to assist with the conversion of their main office landscape from turf and overhead irrigation to drought tolerant plantings and drip irrigation, providing an example of low water use landscape practices. The project was expanded to include a demonstration garden showcasing a variety of plant palettes appropriate for use in our climate, including chaparral, oak woodland, desert/succulent, and Mediterranean styles, along with accessible walkways, seating, and plant identification signage throughout the garden. Callander Associates provided conceptual design and construction documents for installation by TID staff and volunteers. Callander Associates also assisted with the transition to weather-based central irrigation control.

KEY TEAM MEMBERS

Ben Woodside (PIC)
Brenna Castro (D)

CLIENT

Turlock Irrigation District
Jason Hicks
209.883.8359
jshicks@tid.org

C : QUALIFICATIONS OF TEAM



13+

MELISSA RUTH | Project Manager (PM) | Sacramento

Landscape Architect, California #5425
Bachelor in Landscape Architecture, Cal Poly,
San Luis Obispo
Qualified SWPPP Developer (QSD)
CPRS Member
California MWELO Expert - Rainbird Academy

Melissa's experience at Callander Associates has included a variety of projects that include commercial and municipal buildings, streetscapes, parks, trails, playground design, planting design, irrigation design, bridge aesthetic design, master planning and landfill studies. She seamlessly takes projects from concept to construction with her exceptional design coordination and construction management skills. She is our resident expert on Stormwater Pollution Prevention and is a Qualified SWPPP Developer (QSD/P). Her strong graphic design background has been an asset to the company for presentations at community workshops, written reports, and design of interview boards. In her 13 years at the firm she has developed great relationships with her clients and contractors; but it is her ability to reach consensus and coordinate with multiple stakeholders that clients most appreciate.

similar landscape/site rehabilitation projects:

Fairfield Police Dept Site Work, Fairfield
Woodland Parks Rehab, Woodland
Roseville Downtown Streetscapes, Roseville
Roseville Downtown Bridges, Roseville
Kennedy Park Master Plan, Napa
PG&E Training Facility, Winters
Walnut Lane Roundabout, Woodland
Dover Park Rehabilitation, Fairfield
Tabor Park Renovation, Fairfield
Heritage Park MLRC, Sacramento
Railhead Park Renovation, Auburn
Woodland Main Street, Woodland
AT&T Site Renovation, Sonoma
3rd and Broadway Urban Plaza, Sacramento
Orangevale Library, Sacramento
Fairfield Transportation Center, Fairfield
Riverlake Landscape Improvements, Sacramento



"It gives me great joy to design a space that people can create memories from."



3+

BRENNA CASTRO | Designer | Sacramento

Landscape Architect, California #6120
BS Landscape Architecture, UC Davis
ASLA Sierra Chapter Treasurer
Intern & Volunteer at UC Davis Arboretum

Since graduating UC Davis in 2012, Brenna has successfully designed and contributed her expertise on a wide range of projects including streetscapes, plazas, community parks, trails and commercial buildings. As our resident plant expert, she has provided input on almost every project since joining Callander in 2013.

[similar landscape/site rehabilitation projects:](#)

Riverlake Landscape Improvements, Sacramento
TID Landscape Improvements, Turlock
Historic SMUD HQ Site Renovation, Sacramento
Kennedy Park Master Plan, Napa
PG&E Training Facility, Winters
Sacramento Community College Mohr Hall Renovation
East Covell Corridor Plan, Davis
Blackstone Planter Strips, El Dorado Hills
Orangevale Library, Orangevale



19+

BEN WOODSIDE | Principal in Charge (PIC) | Sacramento

Landscape Architect, California #4590
BS Landscape Architecture, Cal Poly, San Luis
Obispo
CPRS Member

Ben's expertise in the preliminary design, construction document preparation and management phases of projects is indispensable to our clients. In the past five years he has been responsible for projects with construction value of over \$100 million. These same design and construction management skills are applied to smaller projects including; Fire Station #13 in Stockton, Teen Center in Stockton and Memorial Auditorium Site Improvements in Sacramento. His diverse background in project types has made his design and construction cost estimating skills invaluable to our public works clients.

[similar landscape/site rehabilitation projects:](#)

Stockton Teen Center, Stockton
Dover Park Rehabilitation, Fairfield
Bertelsen Park Renovation, El Dorado Hills
Airport Corridor Multi-Purpose Building, Stockton
North Laguna Library, Sacramento
Lathrop Youth & Teen Center, Lathrop
East Lathrop Community Complex, Lathrop
Fairfield Police Dept Site Work, Fairfield



SHAWN SANFILIPPO | Irrigation Specialist | San Mateo

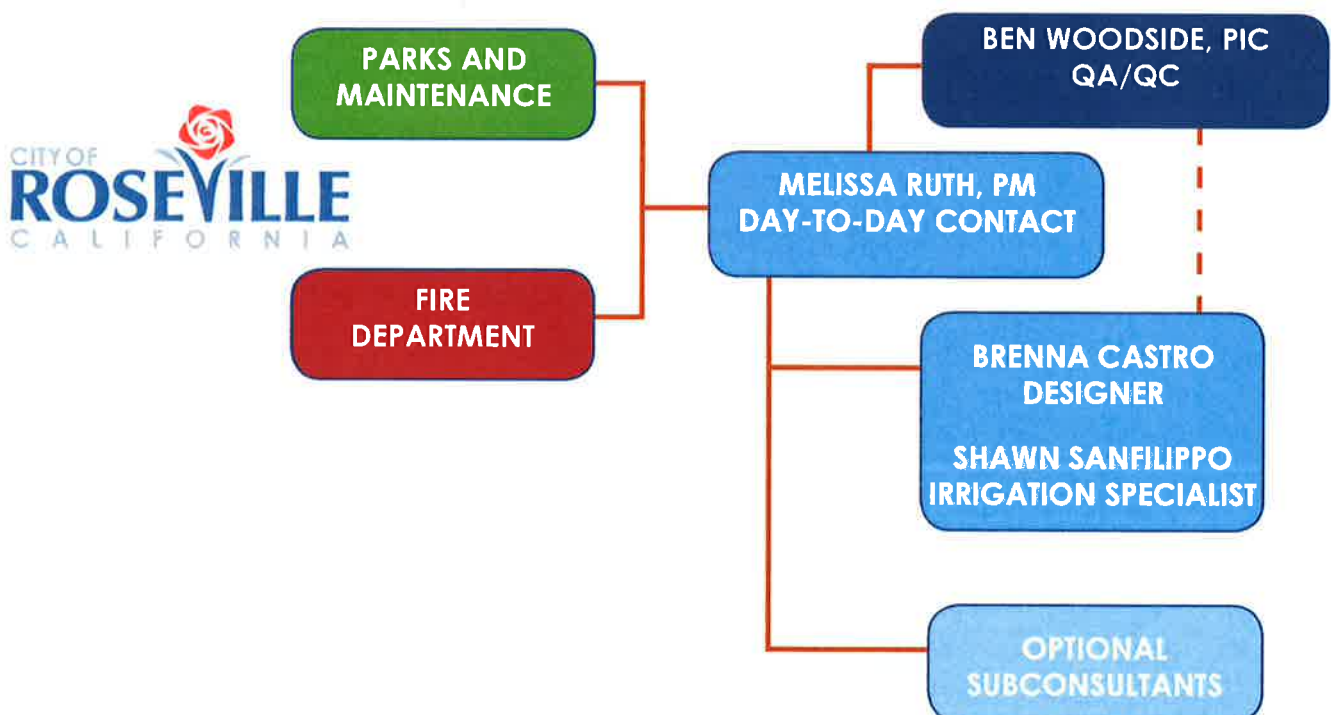
BS Ornamental Horticulture, Cal Poly, San Luis Obispo
 Certified Arborist, ISA #WE-8874A
 Certified Landscape Irrigation Auditor #81271

Shawn brings years of practical landscape construction experience. His responsibilities as the construction manager are to maintain budgets and schedules, work closely with both client and contractor, and oversee construction for conformance with the construction documents. He has successfully managed a range of park, facility, and sports field projects through the construction process, from smaller landscape renovations to multi-million dollar new construction efforts.

[similar landscape/site rehabilitation projects:](#)

Plata Arroyo Park, San Jose
 City Park; Beamer Park; Freeman Park; Harris Park; & Treadway Park, Woodland
 Santa Cruz Avenue Irrigation Improvements, Menlo Park
 Lone Hill Park Renovation, San Jose

PROJECT ORGANIZATIONAL CHART



D : PROJECT UNDERSTANDING

PROJECT UNDERSTANDING

The basic scope for the five firestations is that they all need to be upgraded with low water use plantings as well as efficient irrigation systems. The firestations need the same basic design for ease of construction and maintenance, but will be designed to create a landscape that is also a reflection of each station. The City is looking for a firm that can successfully deliver this efficiently and on a specific timeline. After touring the site, it is apparent that we need to address some major site challenges and issues.

Challenges and Issues:

- WATER CONSERVATION
- LOW MAINTENANCE DESIGNS
- SAFETY AND SECURITY
- CONTEXT SENSITIVE DESIGN
- AESTHETIC ENHANCEMENTS
- BUDGET

Strategies for Solutions:

For all the firestations, the highest priorities is public safety and security. Each firestation design should address issues with CPTED principles including eliminating tall screening shrubs, hidden corners and low branching trees. Second, existing planting and irrigation will be assessed for quality, remaining lifespan, water conservation and maintenance impacts. Lastly, creating a healthy environment for the firefighters is also important. Many opportunities exist to enhance the outdoor space used by firefighters. Some things to explore will be expanded garden plots, memorial gardens, outdoor fitness areas, and leisure recreation amenities.

Firestation #2: Landscape improvements include replacing lawn with low water use plants and enhancing landscape around the patio/barbecue space. Existing plants around the perimeter of the station should be pruned to eliminate hiding spaces. Coordinate with the firestation on the locations of new fence and gates proposed as part of a separate project.



Firestation #3: Planters around the front of the building are overgrown and block views of the station entry. Provide an efficient use of the backyard space. A meeting with the Firefighters will help to explore the potential use of that space. Replacement of old irrigation system including providing backflow protection at the building. No new metered service will be required. Improvements will take into consideration the powerline easement for tree layout and structures. Consider removal of selective trees near building.



Firestation #4: Overgrown shrubs block signage and sight lines. Large perimeter planter areas are either bare or overgrown. Selectively remove high water use plants and redesign areas utilizing low water use plants. The site lacks cohesion and would benefit from landscape enhancements at key locations including the entry drive, station sign area, patio/BBQ area, and the public entry. Vegetable garden plots should be reconfigured and a third added at the recommendation of Mr. Diemer. Irrigation system is in need of upgrades and should be evaluated to determine retrofit versus replacement.



Firestation #7: Evaluate the landscape to determine what plants are thriving and what plants have failed. New planting should complement the existing thriving plant material. Evaluate grass swale area and propose plant material that will thrive in that space. Irrigation system should be assessed to determine what can be retrofitted. Coordinate meeting with the Firefighters to discuss options to enhance the functionality of the patio space.



Firestation #9: The landscape has many thriving plants but others are performing poorly. Perform soil nutrient testing to evaluate the health of the soil. Not all the landscape require replacement since many of the plants appear to be in good health. Some lawn should be eliminated for water use reduction. The irrigation system will be assessed to determine where inefficiencies are occurring. Improvements will be focused on infilling with proper plant material and fixing the irrigation system.



E : PROJECT PLAN

To assist you in the rehabilitation efforts for the Firestations, Callander Associates proposes to complete the following tasks in chronological order. The items shown in **bold-face italics** are documents that we would prepare as part of our tasks.

SITE ASSESSMENT

Prior to beginning the preliminary plan and detailed construction documents it will be imperative to have a clear understanding of what the implementation program will be and a comfort level that the project moving forward is feasible within the available budget.

1.01 Kick-off Meeting / City Meeting #1: Review scope, schedule, coordination and communication protocols, and budget with City staff in a single meeting for all stations. Prepare meeting **agenda** and written **meeting summary**.

1.02 Document Review: Obtain and review information that may be pertinent including previously prepared plans, surveys, and City Standard documents.

Utilization of Existing City Base Files: We have visited the fire stations and reviewed the existing plans made available by the City and determined that preparation of a topographic survey is not needed and that the existing base files paired with aerial photography are sufficient to allow us to proceed with preliminary landscape plans.

1.03 Base Plans: Utilize available existing construction document plans, available AutoCAD files, and aerial photography, prepare **AutoCAD base sheets** for use in site reconnaissance, preliminary layout plans, and construction document plans for all five (5) fire stations. Base sheets will be appropriately scaled for use in preparation of the Construction Documents.

1.04 Site Reconnaissance: Conduct site reconnaissance with base plans and record drawings in hand. Field evaluate existing features, utilities connections, adjacent uses, buffers and vegetation. Take inventory of plant health including form, age, root system and disease. Assess irrigation system condition and determine need for system audit. Photo document existing conditions for in-house study and reference.

1.05 Horticultural Soils Analysis: Collect soil samples from each fire station for horticultural soil analysis by a qualified soils laboratory. Analysis will be used to determine nutrient deficiencies and establish site specific soil preparation and amendment specifications. Analysis will also determine soil texture for water infiltration rates as required by AB 1881.

Station Personality: As unique as the fire stations are and the neighborhoods they are in, just as unique are the teams stationed there. Each station should have consistency in design for ease of maintenance but needs to preserve the personality of each station and the fire fighters that spend their shifts here. Preliminary programming meetings will take place where the fire fighters can provide input on the proposed improvements that help solidify and/or preserve that personality.

1.06 Preliminary Programming Meetings: Working with the base plans, we will conduct brief meetings with representatives from each station to discuss program needs including patio space, gardens, and other amenities that can be incorporated into the plan for this project or the future depending on budgets. Meetings to be scheduled all on the same day when possible. Prepare written **meeting summary**.

1.07 Preliminary Landscape Plans: Prepare **color rendered preliminary landscape plan** for each fire station including some site features and amenities where appropriate to illustrate overall landscape concept. Submit plans to City and the fire stations for review and comment.

1.08 City Meeting #2: Conduct phone meetings with City and fire station representatives to review comments prior to starting construction documents. Discuss budgets and priorities for each station individually and as a whole project. Reach consensus for proceeding with construction documents. Prepare written **meeting summary**.

Irrigation Assessment: Each site will have a different approach to the irrigation assessment. It will be clear on some sites that the entire system should be removed and replaced. Other sites may just need to be retrofitted with water smart controllers and flow sensors or convert spray systems to drip. A formal audit of the systems irrigation efficiency will not be performed until after system is installed and as required by AB1881.

1.09 Irrigation Assessment: After confirmed direction on the landscape plans, proceed with conducting an irrigation assessment of each site's system. Provide **summary of findings** and incorporation into construction document plans.

CONSTRUCTION DOCUMENTS:

Proceed to prepare construction documents to 90% and 100%/Bid levels of completion. 90% Plans will be presented and discussed in an 'all hands on deck' working session. At 100%/Bid stage plan sets will be submitted for formal review and City approval. In all cases, comments will be incorporated into the subsequent submittal. Two copies of plans, specifications and estimates will be provided to the City and one to each fire station at each submittal. Plans to be submitted as separate construction document plan sets for four (4) fire stations. It is anticipated that Firestation No. 9 will not require construction document plans and has been excluded from the project scope. If during the Site Assessment phase, it is determined that construction documents are necessary, this can be provided as an additional service. Not included in the scope of services are site structure design and structural engineering, electrical engineering, civil engineering, grading, and drainage. These can be added as an additional service.

2.01 90% Kick-Off Meeting: Review comments from Preliminary Landscape Plans with City staff in a single meeting for all stations. Prepare meeting **agenda** and written **meeting summary**.

2.02 90% Construction Drawings and Special Provisions: Based on direction received at the City Meeting #2 proceed to develop **construction documents to a 90% level of completion**. All drawings shall be prepared in AutoCAD. Submittal to include: cover sheet, demolition plan, limited site construction plan and enlargements as required (limited to site construction related to planter beds and patio space), construction details, irrigation plan and details (meeting City's Park Construction Standards and AB1881 requirements), irrigation calculations, planting plan and details.

2.03 90% Estimate of Probable Construction Costs: Prepare **estimate of probable construction costs** for each fire station. Costs will be based on recent bid results of other similar publicly bid landscape and irrigation projects.

2.04 100%/Bid CD Kick-off Meeting (City Meeting #3): Review comments from City staff regarding the 90% CD submittal items in a single work session. Review and discuss strategy for proceeding. Prepare and provide **agenda** and **meeting summary**.

2.05 100%/Bid Construction Drawings, Special Provisions & Estimate (P,S&E's): Based on input received in during the 100% CD Kickoff Meeting, proceed to develop and submit **plans, specifications** and **estimates to a 100% level of completion**. Plans shall reflect a project that meets City budget. In addition to plans indicated, package to include: Technical specifications/bid form/measurement and payment sections; and updated cost estimate. City to furnish general conditions, contract requirements, form of agreement, supplemental conditions, and all other "front end" bid documents. Submit final original signed and stamped PS&Es, responses to comments and supporting documentation to the City for use in obtaining bids.

Model Water Efficient Landscape Ordinance (MWELo/AB 1881): As of December 1, 2015, the State now mandates a significantly higher level of irrigation features to maximize water efficiency for all renovation projects over 2,500 square feet.

2.06 Landscape Documentation Package: Callander Associates shall prepare a **landscape documentation package** to comply with AB1881 requirements for the 100% submittal. Package to include:

- Water conservation concept statement
- Calculation of maximum applied water allowance
- Calculation of estimated applied and total water use
- Landscape design plan (prepared under task 2.05)
- Irrigation design plan (prepared under task 2.05)
- Irrigation and maintenance schedule
- Irrigation Audit of renovated sites after construction is completed

2.07 Project Archive: Submit one **archive DVD** of all electronic data including construction documents (AutoCAD and PDF format) and specifications.

ADDITIONAL SERVICES

All tasks not specifically noted above could be performed as additional services. These tasks would include, but not be limited to, all revisions or additional submittals required by any agency's review, or any other tasks or project phases not specifically noted in the foregoing. Services could include bidding and construction assistance, design of patio spaces, fencing and gates. These services would be billed hourly or on a lump sum fee basis to be documented in a written amendment to this agreement.

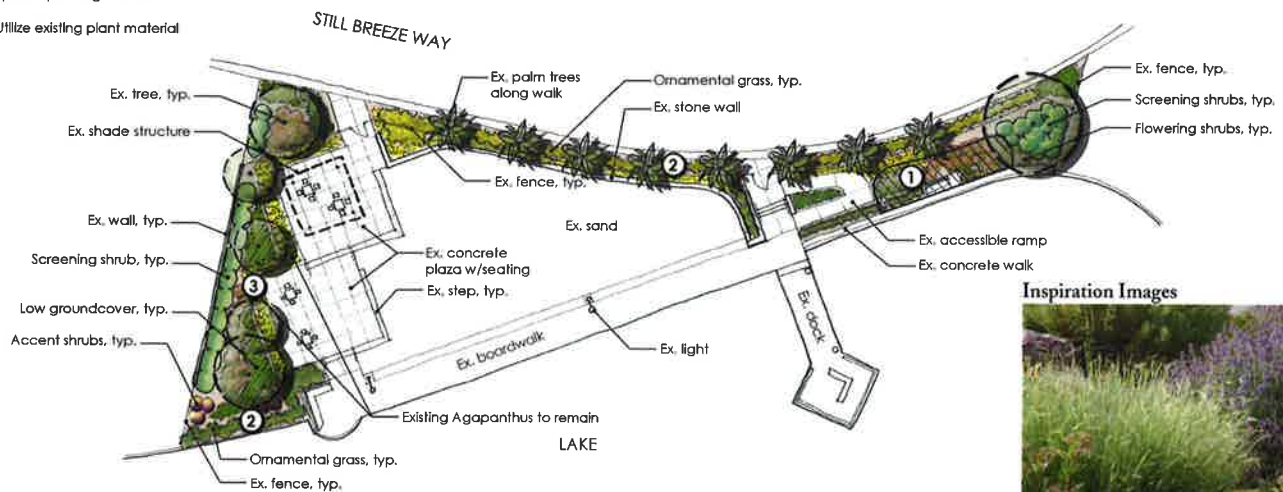
CONSTRUCTION ADMINISTRATION (OPTIONAL SERVICES):

- A. **Bidding and Construction:** During the bidding construction period we could, at your request provide the following services: response to bidder inquiries, preparation of addenda, and change orders (as warranted), bid evaluation, submittal reviews, site visits, and meetings during the course of construction. The scope for this phase can be better defined and quantified as needed.

PROJECT EXAMPLE: Utilizing aerial photography and old blueprint plans to create preliminary plans.

GOALS

- ① Reduce turf area
- ② Special planting theme
- ③ Utilize existing plant material



Inspiration Images



Existing Conditions



F : COST PROPOSAL

Cost Proposal is provided in a separate sealed envelope.

Standard Schedule of Compensation 2016 Rancho Cordova

General

The following list of fees and reimbursable expense items shall be used in providing service in the agreement. These amounts shall be adjusted in January, upon issuance of an updated Standard Schedule of Compensation:

Hourly Rates

Principal	\$167/hour
Senior Associate	\$159/hour
Associate	\$149/hour
Senior Project Manager	\$137/hour
Project Manager 1	\$131/hour
Project Manager 2	\$124/hour
Construction Manager	\$134/hour
Job Captain	\$114/hour
Designer 1	\$105/hour
Designer 2	\$97/hour
Assistant Designer	\$86/hour
Project Administrator	\$92/hour
Accounting	\$108/hour

Reimbursable Expenses

All costs for photography, printing and plotting, special delivery, insurance certificate charges, charges for waivers of subrogation, local business licenses, sales taxes, assessments, fees, mileage, all CADD and visual simulation ancillary costs, such as data transfers, tapes and outside services, and all other costs directly related to the project will be billed as a reimbursable expense at our cost plus a fifteen percent (15%) administration charge. The cost of professional liability insurance and all costs associated with cell phones, electronic mail, faxes, long distance phone charges and related telecommunications shall be charged as a combined surcharge of 2.5% on the total fees.

Payments

Payments are due within ten days after monthly billing with amounts more than thirty days past due subject to a 1.5% per month interest charge. Retainer amounts, if indicated, are due upon signing the agreement and shall be applied to the final invoice for the project.

Stockton Teen Center, Stockton (2006)

Site rehabilitation included non-traditional plantings selected to make the landscape as colorful as the building, parking lot shading, low water use plants and efficient irrigation system.

Contact: Victor Machado, City of Stockton 209.937.8899



G : REQUIRED STATEMENTS

ASSURANCE OF DESIGNATED PROJECT TEAM

Callander Associates assures that the employees designated within this qualifications packet will be the project team used for this project and will obtain prior written approval from the City in the event of departure, reassignment or substitution of any project team member.

CONFLICT OF INTEREST

Callander Associates warrants that no conflicts of interest exist for this project effort.

INDEMNIFICATION AND INSURANCE REQUIREMENTS

Contract Indemnification Clause

We are willing to execute the consultant services agreement as attached to the RFP. We propose no changes to the Indemnification contract language.

Insurance Requirements

We meet all of the insurance requirements with the exception of the Professional Liability Aggregate limit requirement. Below are the current liability insurance limits that Callander carries:

Insurance Broker:

Dealey Renton & Associates
Mandy Guo, Account Manager
(510) 465-3090

Type	Policy# & Carrier	Term	Coverage Amount	Other
General	AZC80877228 <i>American Automobile Insurance Company</i>	07/07/15 - 07-07/16	\$2,000,000 per occurrence	additional insured endorsements available
Auto	AZC80877228 <i>American Automobile Insurance Company</i>	07/07/15 - 07/07/16	\$1,000,000 combined single limit	
Excess	AZC80877228 <i>American Automobile Insurance Company</i>	07/07/15 - 07/07/16	\$1,000,000 per occurrence \$2,000,000 aggregate	
Workers Comp	57WECDS3861 <i>Hartford Accident & Indemnity</i>	07/01/15 - 07/07/16	\$1,000,000 each accident	waiver of subrogation available
Professional	IAE1240702 <i>Argonaut Insurance Company</i>	12/31/15 - 12/31/16	\$2,000,000 per claim \$2,000,000 annual aggregate	\$30,000 deductible

PROPRIETARY INFORMATION

Nothing contained in this submitted proposal will be considered proprietary.

EXECUTED COPY OF PROPOSER'S CERTIFICATION

See page 25.

Attachment A

PROPOSER'S CERTIFICATION

I hereby propose to furnish the goods or services specified in the Request for Proposals/Qualifications ("RFP/Q"). I agree that my proposal will remain firm for a period of up to ninety (90) days in order to allow the City of Roseville ("City") adequate time to evaluate the qualifications submitted.

I have carefully examined the Request for Proposals and any other documents accompanying or made a part of this RFP/Q. The information contained in this proposal is true and correct to the best of my knowledge and is signed under penalty of perjury under the laws of the State of California. I further certify that I am duly authorized to submit this proposal on behalf of the firm as its authorized agent and that the firm is ready, willing and able to perform if awarded the contract.

I further certify that this proposal is made without prior understanding, agreement, connection, discussion, or conspiracy with any other person, firm or corporation submitting a proposal for the same product or service; that this proposal is fair and made without outside control, collusion, fraud or illegal action; that no officer, employee or agent of the City or any other proposer is financially interested in said proposal; that no undue influence or pressure was used against or in concert with any officer, employee or agent of the City in connection with the award or terms of the contract that will be executed as a result of this RFP/Q; and that the undersigned executed this Proposer's Certification with full knowledge and understanding of the matters therein contained and was duly authorized to do so.

Callander Associates Landscape Architecture, Inc.

NAME OF BUSINESS

SIGNATURE

Benjamin W. Woodside, Principal/Corporate Secretary

NAME & TITLE, TYPED OR PRINTED

12150 Tributary Point Drive, Suite 140, Gold River, CA 95670

MAILING ADDRESS

916.985.4366

TELEPHONE NUMBER

bwoodside@cavalleyoffice.com

EMAIL

Type of Organization:

____ Sole Proprietorship

☒ Corporation

____ State of Incorporation

____ Partnership

____ Limited Liability Company

H : EXCEPTIONS

There are no exceptions, alterations or amendments to the Scope of Services or any other portion of the Contract language other than the Insurance Requirements for Aggregate limit under Professional Liability.

Insurance Requirements Amendment

We can meet all the insurance requirements. Please note that the fees quoted assume \$2M in professional liability insurance (aggregate). We have found that \$2M in professional liability insurance is sufficient in the vast majority of instances and would propose this amount of coverage to avoid unduly burdening the project with excess costs. However we are prepared to provide the \$4M in professional liability insurance if so requested and estimate that the additional costs to the project will be no more than \$3,000.

I : COMPETENCY OF PROPOSERS

Callander Associates has never been terminated for breach of contract since it's inception in 1973.

Callander Associates has not been involved in any bankruptcies or pending bankruptcies, liens, stop payment notices, judgments, lawsuits, arbitrations, foreclosures or any other similar actions within the past 7 years. Callander Associates has, however, mediated two settlements. In 2010 and 2012 we mediated settlements among all parties involved for two projects, Phillip Brooks Elementary (2010) and Shasta Park Shade Sails, City of Sacramento (2012). The Phillip Brooks Elementary settlement was reached between eight of the consultants and contractors without any admission of wrongdoing. Callander Associates agreed to and paid the allocation of \$130,000. Shasta Park Shade Sails settlement was reached between four of the consultants. Though Callander Associates was not liable, to facilitate resolution, we negotiated with the City of Sacramento on a settlement of \$20,000. Both cases were never filed.

Recently, documents have been submitted and Callander Associates has been notified due to the "indemnification and hold harmless" contract language for two completed projects, Stonjanovich Family Park in Campbell and Dan Foley Park in Vallejo. While our firm has been working to resolve the issues, it is important to note that no fault has been attributed to the performance of our design services for either project.

EXHIBIT "B"

TAB F: COST PROPOSAL



Fire Station #2

Task 1: Site Assessment	\$1,925.00
Task 2: Construction Documents	\$5,905.00
Task 2.06: Landscape Documentation Package	\$1,100.00
Subtotal for Fire Station #2	\$8,930

Fire Station #3

Task 1: Site Assessment	\$1,370.00
Task 2: Construction Documents	\$5,344.00
Task 2.06: Landscape Documentation Package	\$1,036.00
Subtotal for Fire Station #2	\$7,750

Fire Station #4

Task 1: Site Assessment	\$2,183.00
Task 2: Construction Documents	\$8,422.00
Task 2.06: Landscape Documentation Package	\$1,757.00
Subtotal for Fire Station #2	\$12,362

Fire Station #7

Task 1: Site Assessment	\$2,961.00
Task 2: Construction Documents	\$10,476.00
Task 2.06: Landscape Documentation Package	\$2,025.00
Subtotal for Fire Station #2	\$15,462

Fire Station #9

Task 1: Site Assessment	\$2,961.00
Task 2: Construction Documents	not included
Task 2.06: Landscape Documentation Package	not included
Subtotal for Fire Station #2	\$2,961.00

Reimbursable Expenses (budgeted allowance) \$2,500

Total Compensation for Tasks 1 thru 2 (not to exceed) \$49,965

Attachments: Standard Schedule of Compensation (2016)
Task Fee Revised May 2, 2016
Project Schedule



Callander Associates Landscape Architecture

Standard Schedule of Compensation 2016 Rancho Cordova

General

The following list of fees and reimbursable expense items shall be used in providing service in the agreement. These amounts shall be adjusted in January, upon issuance of an updated Standard Schedule of Compensation:

Hourly Rates

Principal	\$167/hour
Senior Associate	\$159/hour
Associate	\$149/hour
Senior Project Manager	\$137/hour
Project Manager 1	\$131/hour
Project Manager 2	\$124/hour
Construction Manager	\$134/hour
Job Captain	\$114/hour
Designer 1	\$105/hour
Designer 2	\$97/hour
Assistant Designer	\$86/hour
Project Administrator	\$92/hour
Accounting	\$108/hour

Reimbursable Expenses

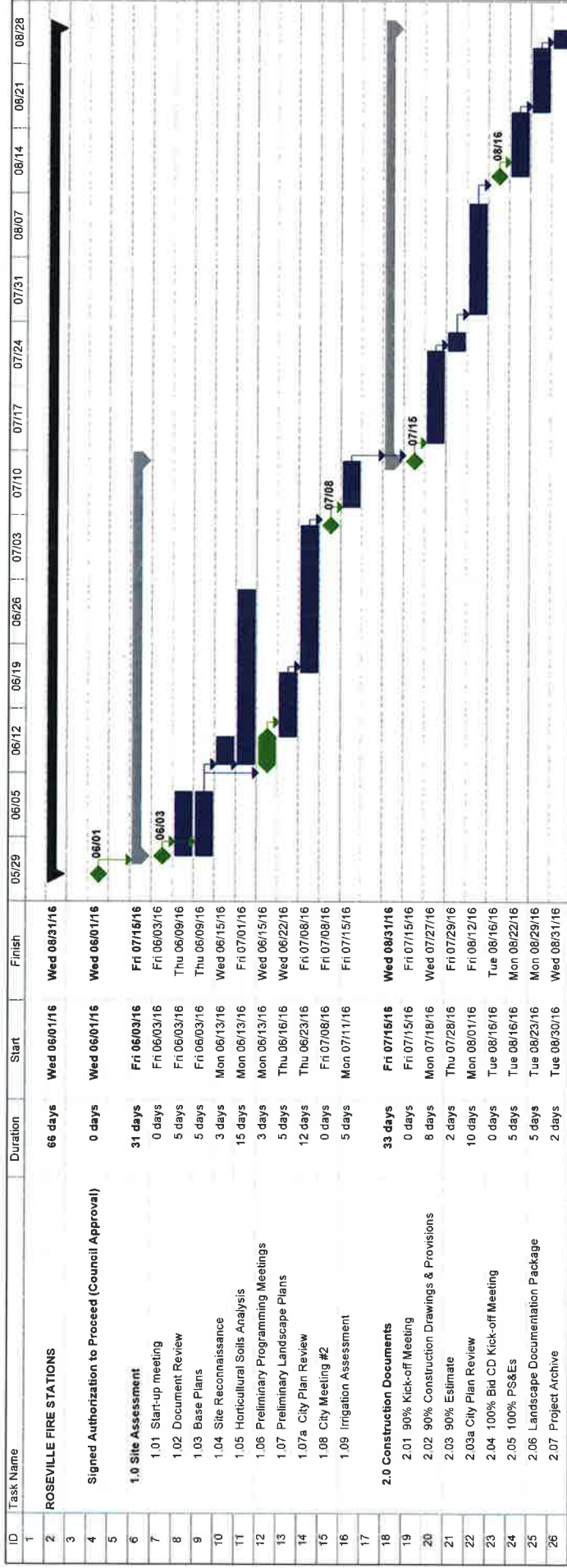
All costs for photography, printing and plotting, special delivery, insurance certificate charges, charges for waivers of subrogation, local business licenses, sales taxes, assessments, fees, mileage, all CADD and visual simulation ancillary costs, such as data transfers, tapes and outside services, and all other costs directly related to the project will be billed as a reimbursable expense at our cost plus a fifteen percent (15%) administration charge. The cost of professional liability insurance and all costs associated with cell phones, electronic mail, faxes, long distance phone charges and related telecommunications shall be charged as a combined surcharge of 2.5% on the total fees.

Payments

Payments are due within ten days after monthly billing with amounts more than thirty days past due subject to a 1.5% per month interest charge. Retainer amounts, if indicated, are due upon signing the agreement and shall be applied to the final invoice for the project.

Task Fee- Revised
Roseville Fire Stations
May 2, 2016

Tasks	Station 2	Station 3	Station 4	Station 7	Station 9	Total per Task
Task 1: Site Assessment	\$ 1,925	\$ 1,370	\$ 2,183	\$ 2,961	\$ 2,961	\$ 11,399
Task 2: Construction Documents	\$ 5,905	\$ 5,344	\$ 8,422	\$ 10,476	\$ -	\$ 30,146
Task 2.05: Landscape Documentation Package	\$ 1,100	\$ 1,036	\$ 1,757	\$ 2,025	\$ -	\$ 5,918
Subtotal	\$ 8,930	\$ 7,750	\$ 12,362	\$ 15,462	\$ 2,961	\$ 47,465
Reimb.	\$ 400	\$ 400	\$ 600	\$ 800	\$ 300	\$ 2,500
Total						\$ 49,965



Note: "Duration days are considered working days

PROJECT SCHEDULE

Roseville Fire Stations
City of Roseville



COUNCIL COMMUNICATION

CC #: 7725

File #: 0309-01 & 002-06

Title: Antelope Creek Flood Control Project - Grants of Easement

Contact: Carl Walker 916-746-1300 cwalker@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.20.

RECOMMENDATION TO COUNCIL

Approve the attached Agreement for Grants of Easement with the Placer County Flood Control and Water Conservation District for the Antelope Creek Flood Control Project and adopt the attached resolution authorizing the City Manager to execute the Agreement on behalf of the City.

BACKGROUND

At their February 11, 2013 meeting, the Placer County Flood Control and Water Conservation District (Flood Control District) Board of Directors adopted the November 2011 Dry Creek Watershed Flood Control Plan Update.

The Plan Update identifies capital improvement projects for flood control and to mitigate increases in the one-percent annual chance storm, or "100-year storm event" peak flow, attributed to new development within the Dry Creek Watershed.

The Plan Update included the Antelope Creek Flood Control Project, which is located entirely within the City of Roseville, adjacent to westbound Interstate 80, and north of Atlantic Street. The Project consists of the construction of two weirs spanning the main channel to allow passage of low flows while detaining higher flows. Due to funding constraints within the Dry Creek Watershed development fee program, the project has been divided into two phases:

- Phase 1 includes the downstream weir, located approximately 200 feet upstream from the UP Railroad Bridge adjacent to Atlantic Street. Estimated cost: \$1,682,000.
- Phase 2 includes the upstream weir, which would replace the City's existing bicycle path and culvert crossing. Estimated cost: \$3,562,000

Please refer to Exhibit 1 for a map of the proposed Project.

Once constructed, the entire Project has the potential to reduce 100-year storm peak flows as measured at the Vernon Street Bridge by approximately 825 cubic feet per second which will completely mitigate for development in the Dry Creek watershed. The estimated cost for both

phases of the Project is \$5,244,000.

During the Project's design, the operation of the two proposed weirs during flood flows within Antelope Creek was analyzed, including an analysis of impacts to property owners within the Project area. Most of the increases in water surface elevation caused by the proposed weirs affect park and open space parcels owned by the City.

The Flood Control District is seeking to obtain Flowage Easements from the City for the portions City property that will be periodically inundated by floodwaters due to the Antelope Creek Flood Control Project. The Flood Control District obtained the services of Bender Rosenthal, Inc. to prepare an appraisal report and determine the "Fair Market Value" for the easement acquisition. The total value of the Flowage Easements purchased by the Flood Control District is \$3,714.00. Staff has reviewed the appraisal and is in agreement with the proposed valuation.

FISCAL IMPACT

The Flood Control District's Flowage Easement acquisition is valued at \$3,714.00 which would be received as revenue to the City of Roseville.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on Federal guidelines, there is one job created for every \$92,000 in direct government spending. Based on that, the Antelope Creek Flood Control Project will create 57 jobs.

ENVIRONMENTAL REVIEW

On November 22, 2013 the Placer County Flood Control and Water Conservation District (District), acting as Lead Agency, published a Draft Initial Study/Mitigated Negative Declaration (IS/MND) for the Antelope Creek Flood Control Project (SCH# 2013112064). The Flood Control District considered and incorporated comments on the Draft IS/MND and published a Final IS/MND in January 2014. Subsequently, the scope of the project was altered to include additional areas of impact, and so an Addendum to the IS/MND was prepared (dated September 2015).

Respectfully Submitted,

Carl Walker, Senior Engineer

Rhon Herndon, Public Works Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

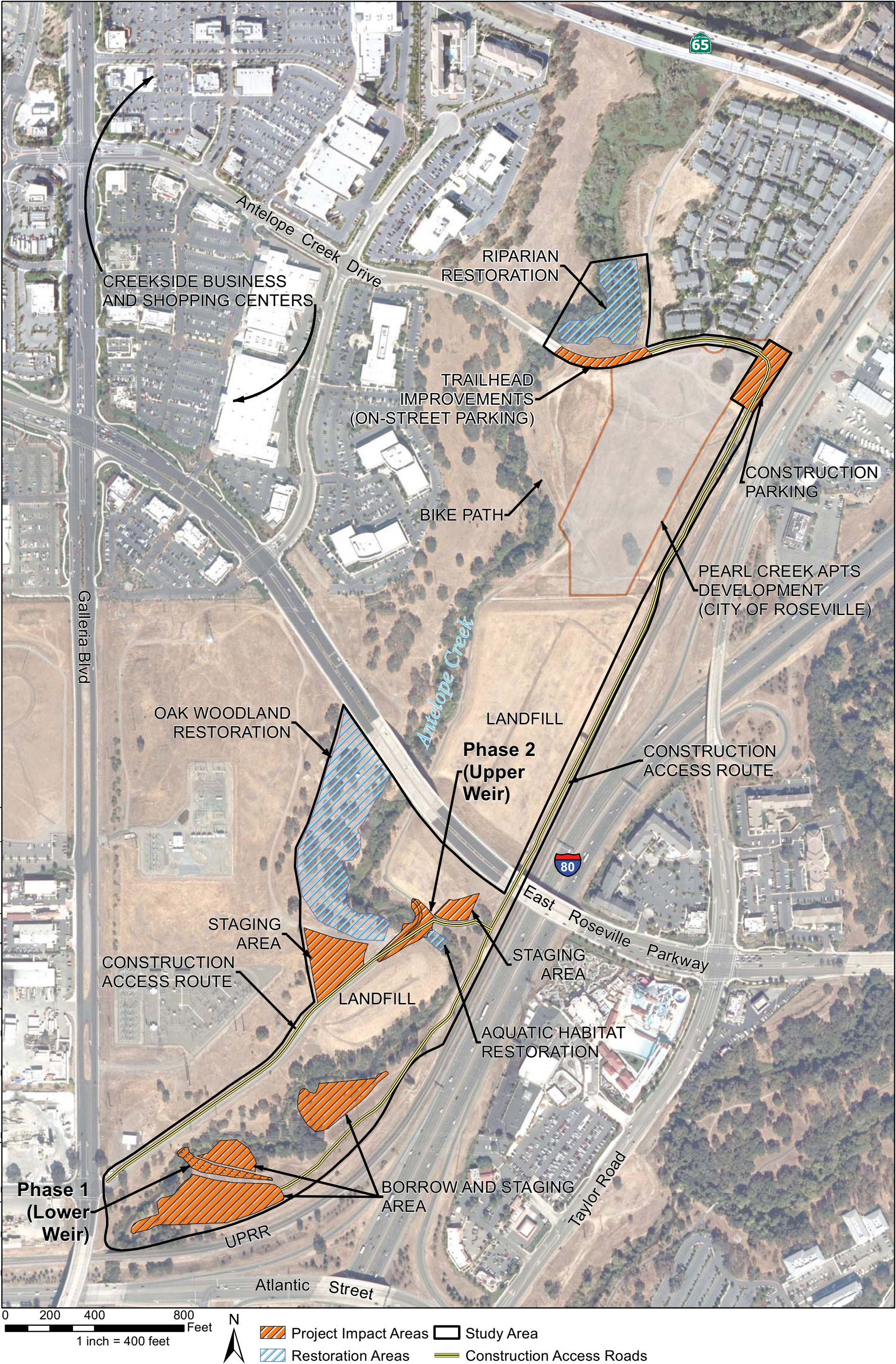
Exhibit 1

Resolution No. 16-217

Easement Agreement

Exhibit 1 - Antelope Creek Flood Control Project

G:\028 184374 Antelope Creek\map docs\map\F1 ACFCP Study Area and Project Impacts Area.mxd | Last Updated: 9/12/2013 aarnold



RESOLUTION NO. 16-217

APPROVING AN AGREEMENT FOR GRANTS OF EASEMENT BY AND BETWEEN THE PLACER COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT AND THE CITY OF ROSEVILLE, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, an Agreement for Grants of Easement regarding five flowage easements owned by the City of Roseville, for the Antelope Creek Flood Control project, by and between the Placer County Flood Control and Water Conservation District and the City of Roseville, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville; and

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

AGREEMENT FOR GRANTS OF EASEMENT

THIS AGREEMENT, made and entered into this _____ day of _____, 2016 by and between the Placer County Flood Control and Water Conservation District, a flood control district, as grantee ("DISTRICT") and the City of Roseville, a municipal corporation, as grantor ("CITY").

WITNESSETH

WHEREAS, DISTRICT desires to acquire five flowage easements ("Easements") for the Antelope Creek Flood Control Project ("the Project") and purposes appurtenant thereto, on, over, under and across the real properties ("the Subject Properties") owned by CITY in the City of Roseville, County of Placer, State of California set forth in Exhibits "A-1" through "A-5" (legal descriptions) and Exhibits "B-1" through "B-5" (maps), which are attached hereto and incorporated herein by this reference; and

WHEREAS, CITY is willing to sell the Easements to DISTRICT upon the terms and conditions specified in this Agreement.

NOW, THEREFORE, the parties agree as follows:

1. Purchase Price. CITY agrees to sell, and DISTRICT agrees to buy, the Easements for the sum of Three Thousand Seven Hundred and Fourteen Dollars and No Cents [\$3,714.00] ("Purchase Price").
2. Conveyance. CITY has executed or will execute five Grants of Easement in forms satisfactory to the Roseville City Attorney, conveying the easements across, in, on, over and under

the Subject Properties to DISTRICT.

3. No Escrow. No opening of an escrow with a title company is required. Upon payment of the Purchase Price to CITY, CITY shall thereafter and as soon as is reasonably practicable cause the Grants of Easement to be recorded with the Placer County Recorder's Office. DISTRICT may elect to open a formal escrow through a title company for, e.g., the purchase of title insurance; provided, however that DISTRICT shall be responsible for any and all escrow costs and fees (including, e.g., cost of purchase of title insurance) and that DISTRICT has given written notice of such election to CITY within two (2) calendar day following full execution of this Agreement.

4. Date of Possession. The right of possession and use of the Subject Properties by the DISTRICT, including the right to remove and dispose of improvements, shall commence upon payment of the Purchase Price to CITY and the amount shown in Paragraph 1 of this Agreement includes, but is not limited to, full payment for such possession and use from said date.

5. Title. Title to the Subject Properties shall pass immediately upon recordation of the Grants of Easement.

6. Time. Time is of the essence of this Agreement.

7. No Existing Tenancy. CITY warrants that there are no oral or unrecorded written leases or interests on all or any portion of the Subject Properties, and that there are no persons in possession who have or claim to have any right, title or interest in the Subject Properties.

8. Project. CITY understands that the acquisition of the Easements is in connection with the construction, operation and maintenance by DISTRICT of the Project, and that the payment of the money provided by this Agreement constitutes the entire consideration for the sale. DISTRICT shall be responsible for paying or reimbursing CITY for all Project related costs that

result directly from the construction of the project, including but not limited to, construction costs, permit fees, mitigation measures, fines or penalties levied as a result of construction activities, necessary improvements to the closed landfill site required by the Project, increased monitoring during construction, and amendments required to CITY's post-closure maintenance plan for the closed landfill site due to the Project.

9. DISTRICT Accepts Subject Properties As Is. DISTRICT hereby accepts the Subject Properties AS IS and without any warranty or warranties from CITY, with the exception of hazardous materials, contamination, or environmental restrictions.

10. Indemnification. DISTRICT shall defend, indemnify, and save and hold harmless CITY and its officers, agents, employees and volunteers from all fines, claims, suits, actions or regulatory sanctions of every name, kind and description, brought forth, or on account of injuries to or death of any person (including but not limited to workers and the public), damage to property, or damage to natural resources or the closed landfill site made during the actual construction of the Project, with the exception of any claim of injury or damage to property resulting in any way from hazardous materials, contamination of any form (including water contamination), or environmental restrictions which were in existence prior to the construction of the Project or arises after construction of the Project. The duty of the DISTRICT to indemnify and save harmless includes the duties to defend as set forth in Civil Code Section 2778. The duty of the DISTRICT to indemnify, defend and save harmless CITY does not included indemnification and defense of CITY for any acts of active, passive, primary or secondary negligence on the part of CITY. The duty of the DISTRICT to indemnify, defend and save harmless CITY is only for all fines, claims, suits, actions or regulatory sanctions of every name, kind and description, brought forth, or on account of injuries to or death of any person (including but not limited to workers and the public),

damage to property, or damage to natural resources or the closed landfill site which occurred during the actual construction of the Project resulting from the negligence of the DISTRICT and ends two (2) years after the recording of a Notice of Completion of the Project. The parties intend to limit the DISTRICT's duty to defend, indemnify and save harmless CITY to only injuries or damages which occurred during the construction of the Project and not ongoing claims, suits, actions, or regulatory sanctions of every name, kind and description brought forth on account of injuries to or death of any person or damage to property or natural resources after the completion of the Project. Further, the DISTRICT's duty to defend, indemnify and save harmless CITY expressly excludes any claim of injury or damage or damage to property resulting in any way from hazardous materials, contamination of any form (including water contamination), or environmental restrictions which were in existence prior to the construction of the Project or arises after construction of the Project. The parties intend that this Paragraph 10 shall be broadly construed.

11. Integrated Agreement. This is an integrated Agreement, and it contains all of the terms, considerations, understandings and promises of the parties. It shall be read as a whole. This Agreement may be modified, changed or rescinded only by an instrument in writing, executed by the parties.

12. Venue; Governing Law. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

13. Joint Effort. This Agreement is the result of the joint efforts and negotiations of parties hereto, and no single party is the author or drafter of it. The parties agree that this Agreement shall be interpreted as though each of the parties participated equally in the composition of this Agreement and each and every part of it.

14. Notice. Any notices to parties required by this Agreement shall be delivered or mailed, U.S. first class, postage prepaid, addressed as follows:

CITY OF ROSEVILLE

Rhon Herndon
Public Works Director
City of Roseville
311 Vernon Street
Roseville, CA 95678

DISTRICT

Ken Grehm
Executive Director
Placer County Flood Control and Water
Conservation District
3091 County Center Drive, Suite 220
Auburn, CA 95603

Either party may amend its address for notice by notifying the other party in writing.

15. Successors in Interest. This Agreement shall be binding upon the heirs, successors, executors, administrators, and assigns of the respective parties hereto.

16. Severability. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has caused this Agreement to be executed in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the _____ day of _____, 20____, and DISTRICT has caused this Agreement to be duly executed.

[Signatures Next Page]

CITY OF ROSEVILLE, a
municipal corporation:

BY: _____
ROB JENSEN
City Manager

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

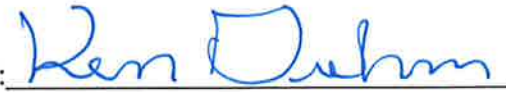
APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY:  _____
RHON HERNDON
Public Works Director

PLACER COUNTY FLOOD CONTROL
AND WATER CONSERVATION
DISTRICT, a flood control district:

BY:  _____
KEN GREHM
Executive Director

APPROVED AS TO FORM:

BY:  _____
BRETT HOLT
District Counsel

Exhibits:

A-1 through A-5: Legal Descriptions of Flowage Easements
B-1 through B-5: Maps of Flowage Easements

EXHIBITS A-1 THROUGH A-5
LEGAL DESCRIPTIONS OF FLOWAGE EASEMENTS

Project Name
**Antelope Creek Flood
Control**

APN 015-166-006

Recording Requested by
&
When recorded return to
Placer County Flood
Control District

**PLACER COUNTY
FLOOD CONTROL and
WATER CONSERVATION
DISTRICT**

Space above for Recorder

**FLOWAGE EASEMENT
DEED**

For the receipt of five hundred thirty-seven dollars and forty-four cents (\$537.44) or other good and valuable consideration,

City of Roseville,

the undersigned GRANTOR, HEREBY GRANTS TO THE PLACER COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT (PCFCWCD), an easement for flood control purposes. Said easement is to be used for periodic inundation by floodwaters, and for access to remove floodwater debris. Said flowage easement is a meandering easement to allow floodwaters on those areas of the property described as Parcel 28, as shown on the Parcel Map recorded December 24, 1998 in Book 5 of Parcel Maps, at Page 17, Placer County Records, adjacent to Antelope Creek, and below an elevation of 181.1 feet, NAVD 88.

Said easement is to be kept open, clear, and free from buildings and structures of any kind. Grantor will make a reasonable and good faith effort to coordinate with PCFCWCD as may be required by PCFCWCD to access, construct and operate the proposed Antelope Creek Flood Control Project. Access shall be limited to use of gates installed by Grantor, and parking of District vehicles shall be limited to paved parking areas. In the event that the District uses Grantor's property for access for flood control purposes, the District shall promptly repair any wear and tear caused by the District and shall remove any and all flood debris on Grantor's property, including debris located outside of District's flood easement area.

(Any and all interest in the property conveyed by Grantor to the Placer County Flood Control and Water Conservation District pursuant to this instrument runs with the land and is binding on the heirs, assigns and successors of the Grantor).

GRANTOR

Dated this _____ Day of _____, 20____.

Trustee / Beneficiary _____

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, or validity of that document.

State of California }

County of Placer }

On _____ before me, _____
Date Here Insert Name and Title of the Officer

personally appeared _____

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature _____

Signature of Notary Public

ACCEPTANCE (1): BY AUTHORIZED AGENT:

This is to certify that the interest in real property conveyed by the deed or grant deed dated _____, 20_____, from

_____ to the County of Placer, a governmental agency, is hereby accepted by the undersigned agent on behalf of the Board of Supervisors of the County of Placer pursuant to authority conferred by Ordinance 5152-B, adopted on January 15, 2002, and the Grantee consents to the recordation thereof by its duly authorized agent.

SIGNATURE _____

Completed By (Type or Print)

Dated: _____

TITLE: _____

ACCEPTANCE (2): BY BOARD OF SUPERVISORS:

This is to certify that the interest in real property conveyed by the deed or grant deed dated _____, 20_____, from

_____ to the County of Placer, a governmental agency, is hereby accepted by the Board of Supervisors of the County of Placer pursuant to authority conferred by Resolution No. _____ of said Board adopted on _____, and the Grantee consents to the recordation thereof by its duly authorized agent.

Dated: _____

Chairman, Board of Supervisors of the County of Placer

Project Name
**Antelope Creek Flood
Control**

APN 015-166-007

Recording Requested by
&
When recorded return to
Placer County Flood
Control District

**PLACER COUNTY
FLOOD CONTROL and
WATER CONSERVATION
DISTRICT**

Space above for Recorder

**FLOWAGE EASEMENT
DEED**

For the receipt of twenty dollars and fifty-eight cents (\$20.58) or other good and valuable consideration,

City of Roseville,

the undersigned GRANTOR, HEREBY GRANTS TO THE PLACER COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT (PCFCWCD), an easement for flood control purposes. Said easement is to be used for periodic inundation by floodwaters, and for access to remove floodwater debris. Said flowage easement is a meandering easement to allow floodwaters on those areas of the property described as Parcel 29B, as shown on the Parcel Map recorded December 24, 1998 in Book 5 of Parcel Maps, at Page 17, Placer County Records, adjacent to Antelope Creek, and below an elevation of 180.5 feet, NAVD 88.

Said easement is to be kept open, clear, and free from buildings and structures of any kind. Grantor will make a reasonable and good faith effort to coordinate with PCFCWCD as may be required by PCFCWCD to access, construct and operate the proposed Antelope Creek Flood Control Project. Access shall be limited to use of gates installed by Grantor, and parking of District vehicles shall be limited to paved parking areas. In the event that the District uses Grantor's property for access for flood control purposes, the District shall promptly repair any wear and tear caused by the District and shall remove any and all flood debris on Grantor's property, including debris located outside of District's flood easement area.

(Any and all interest in the property conveyed by Grantor to the Placer County Flood Control and Water Conservation District pursuant to this instrument runs with the land and is binding on the heirs, assigns and successors of the Grantor).

GRANTOR

Dated this _____ Day of _____, 20____.

Trustee / Beneficiary _____

See reverse side for acknowledgement

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, or validity of that document.

State of California }

County of Placer }

On _____ before me, _____
Date Here Insert Name and Title of the Officer

personally appeared _____
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature _____
Signature of Notary Public

ACCEPTANCE (1): BY AUTHORIZED AGENT:

This is to certify that the interest in real property conveyed by the deed or grant deed dated _____, 20_____, from

_____ to the County of Placer, a governmental agency, is hereby accepted by the undersigned agent on behalf of the Board of Supervisors of the County of Placer pursuant to authority conferred by Ordinance 5152-B, adopted on January 15, 2002, and the Grantee consents to the recordation thereof by its duly authorized agent.

SIGNATURE _____ Completed By (Type or Print)

Dated: _____ TITLE: _____

ACCEPTANCE (2): BY BOARD OF SUPERVISORS:

This is to certify that the interest in real property conveyed by the deed or grant deed dated _____, 20_____, from

_____ to the County of Placer, a governmental agency, is hereby accepted by the Board of Supervisors of the County of Placer pursuant to authority conferred by Resolution No. _____ of said Board adopted on _____, and the Grantee consents to the recordation thereof by its duly authorized agent.

Dated: _____
Chairman, Board of Supervisors of the County of Placer

Project Name
**Antelope Creek Flood
Control**

APN 015-166-008

Recording Requested by
&
When recorded return to
Placer County Flood
Control District

**PLACER COUNTY
FLOOD CONTROL and
WATER CONSERVATION
DISTRICT**

**FLOWAGE EASEMENT
DEED**

Space above for Recorder

For the receipt of two hundred ninety-seven dollars and thirty-one cents (\$297.31) or other good and valuable consideration,

City of Roseville,

the undersigned GRANTOR, HEREBY GRANTS TO THE PLACER COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT (PCFCWCD), an easement for flood control purposes. Said easement is to be used for periodic inundation by floodwaters, and for access to remove floodwater debris. Said flowage easement is a meandering easement to allow floodwaters on those areas of the property described as Parcel 57, as shown on the Parcel Map recorded February 28, 1995 in Book 28 of Parcel Maps, at Page 50, Placer County Records, adjacent to Antelope Creek, and below an elevation of 181.2 feet, NAVD 88.

Said easement is to be kept open, clear, and free from buildings and structures of any kind. Grantor will make a reasonable and good faith effort to coordinate with PCFCWCD as may be required by PCFCWCD to access, construct and operate the proposed Antelope Creek Flood Control Project. Access shall be limited to use of gates installed by Grantor, and parking of District vehicles shall be limited to paved parking areas. In the event that the District uses Grantor's property for access for flood control purposes, the District shall promptly repair any wear and tear caused by the District and shall remove any and all flood debris on Grantor's property, including debris located outside of District's flood easement area.

(Any and all interest in the property conveyed by Grantor to the Placer County Flood Control and Water Conservation District pursuant to this instrument runs with the land and is binding on the heirs, assigns and successors of the Grantor).

GRANTOR

Dated this _____ Day of _____, 20____.

Trustee / Beneficiary _____

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, or validity of that document.

State of California }

County of Placer }

On _____ before me, _____
Date Here Insert Name and Title of the Officer

personally appeared _____
Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature _____
Signature of Notary Public

ACCEPTANCE (1): BY AUTHORIZED AGENT:

This is to certify that the interest in real property conveyed by the deed or grant deed dated _____, 20_____, from

_____ to the County of Placer, a governmental agency, is hereby accepted by the undersigned agent on behalf of the Board of Supervisors of the County of Placer pursuant to authority conferred by Ordinance 5152-B, adopted on January 15, 2002, and the Grantee consents to the recordation thereof by its duly authorized agent.

SIGNATURE _____
Completed By (Type or Print)

Dated: _____
TITLE: _____

ACCEPTANCE (2): BY BOARD OF SUPERVISORS:

This is to certify that the interest in real property conveyed by the deed or grant deed dated _____, 20_____, from

_____ to the County of Placer, a governmental agency, is hereby accepted by the Board of Supervisors of the County of Placer pursuant to authority conferred by Resolution No. _____ of said Board adopted on _____, and the Grantee consents to the recordation thereof by its duly authorized agent.

Dated: _____
Chairman, Board of Supervisors of the County of Placer

Space above for Recorder

Project Name

**Antelope Creek Flood
Control**

APN 015-011-016

Recording Requested by
&

When recorded return to
Placer County Flood
Control District

**PLACER COUNTY
FLOOD CONTROL and
WATER CONSERVATION
DISTRICT**

**FLOWAGE EASEMENT
DEED**

For the receipt of forty one dollars and sixteen cents (\$41.16) or other good and valuable consideration,

City of Roseville,

the undersigned GRANTOR, HEREBY GRANTS TO THE PLACER COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT (PCFCWCD), an easement for flood control purposes. Said easement is to be used for periodic inundation by floodwaters, and for access to remove floodwater debris. Said flowage easement is a meandering easement to allow floodwaters on those areas of the property described as Parcel 16, Section 36, Township 11, Range 6, adjacent to Antelope Creek, and below an elevation of 172.6 feet, NAVD 88.

Said easement is to be kept open, clear, and free from buildings and structures of any kind. Grantor will make a reasonable and good faith effort to coordinate with PCFCWCD as may be required by PCFCWCD to access, construct and operate the proposed Antelope Creek Flood Control Project. Access shall be limited to use of gates installed by Grantor, and parking of District vehicles shall be limited to paved parking areas. In the event that the District uses Grantor's property for access for flood control purposes, the District shall promptly repair any wear and tear caused by the District and shall remove any and all flood debris on Grantor's property, including debris located outside of District's flood easement area.

(Any and all interest in the property conveyed by Grantor to the Placer County Flood Control and Water Conservation District pursuant to this instrument runs with the land and is binding on the heirs, assigns and successors of the Grantor).

GRANTOR

Dated this _____ Day of _____, 20____.

Trustee / Beneficiary _____

See reverse side for acknowledgement

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, or validity of that document.

State of California }
County of Placer

On _____ before me, _____
Date Here Insert Name and Title of the Officer
personally appeared _____

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature _____
Signature of Notary Public

ACCEPTANCE (1): BY AUTHORIZED AGENT:

This is to certify that the interest in real property conveyed by the deed or grant deed dated _____, 20_____, from

_____ to the County of Placer, a governmental agency, is hereby accepted by the undersigned agent on behalf of the Board of Supervisors of the County of Placer pursuant to authority conferred by Ordinance 5152-B, adopted on January 15, 2002, and the Grantee consents to the recordation thereof by its duly authorized agent.

SIGNATURE _____ Completed By (Type or Print)

Dated: _____ TITLE: _____

ACCEPTANCE (2): BY BOARD OF SUPERVISORS:

This is to certify that the interest in real property conveyed by the deed or grant deed dated _____, 20_____, from

_____ to the County of Placer, a governmental agency, is hereby accepted by the Board of Supervisors of the County of Placer pursuant to authority conferred by Resolution No. _____ of said Board adopted on _____, and the Grantee consents to the recordation thereof by its duly authorized agent.

Dated: _____
Chairman, Board of Supervisors of the County of Placer

Project Name
**Antelope Creek Flood
Control**

APN 015-011-022

Recording Requested by
&
When recorded return to
Placer County Flood
Control District

**PLACER COUNTY
FLOOD CONTROL and
WATER CONSERVATION
DISTRICT**

**FLOWAGE EASEMENT
DEED**

Space above for Recorder

For the receipt of two-thousand eight hundred seventeen dollars and fifty cents (\$2,817.50) or other good and valuable consideration,

City of Roseville,

the undersigned GRANTOR, HEREBY GRANTS TO THE PLACER COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT (PCFCWCD), an easement for flood control purposes. Said easement is to be used for periodic inundation by floodwaters, and for access to remove floodwater debris. Said flowage easement is a meandering easement to allow floodwaters on those areas of the property described as Parcel 22, Section 36, Township 11, Range 6, adjacent to Antelope Creek, and below an elevation of 181.1 feet, NAVD 88.

Said easement is to be kept open, clear, and free from buildings and structures of any kind. Grantor will make a reasonable and good faith effort to coordinate with PCFCWCD as may be required by PCFCWCD to access, construct and operate the proposed Antelope Creek Flood Control Project. Access shall be limited to use of gates installed by Grantor, and parking of District vehicles shall be limited to paved parking areas. In the event that the District uses Grantor's property for access for flood control purposes, the District shall promptly repair any wear and tear caused by the District and shall remove any and all flood debris on Grantor's property, but located outside of District's flood easement area.

(Any and all interest in the property conveyed by Grantor to the Placer County Flood Control and Water Conservation District pursuant to this instrument runs with the land and is binding on the heirs, assigns and successors of the Grantor).

GRANTOR

Dated this _____ Day of _____, 20____.

Trustee / Beneficiary _____

See reverse side for acknowledgement

ACKNOWLEDGMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, or validity of that document.

State of California }
County of Placer

On _____ before me, _____
Date Here Insert Name and Title of the Officer
personally appeared _____

Name(s) of Signer(s)

who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Place Notary Seal Above

Signature _____
Signature of Notary Public

ACCEPTANCE (1): BY AUTHORIZED AGENT:

This is to certify that the interest in real property conveyed by the deed or grant deed dated _____, 20_____, from

_____ to the County of Placer, a governmental agency, is hereby accepted by the undersigned agent on behalf of the Board of Supervisors of the County of Placer pursuant to authority conferred by Ordinance 5152-B, adopted on January 15, 2002, and the Grantee consents to the recordation thereof by its duly authorized agent.

SIGNATURE _____ Completed By (Type or Print)

Dated: _____ TITLE: _____

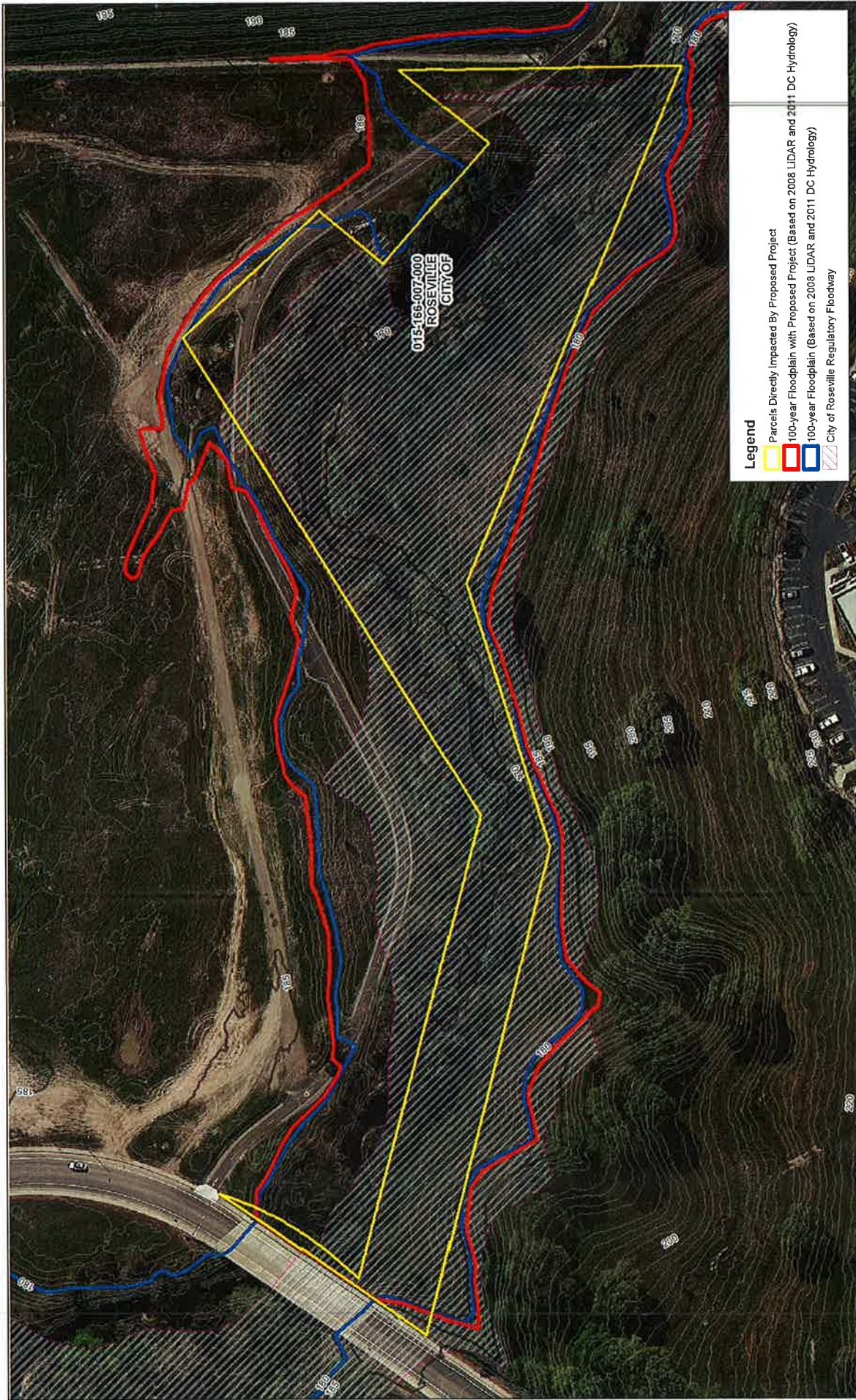
ACCEPTANCE (2): BY BOARD OF SUPERVISORS:

This is to certify that the interest in real property conveyed by the deed or grant deed dated _____, 20_____, from

_____ to the County of Placer, a governmental agency, is hereby accepted by the Board of Supervisors of the County of Placer pursuant to authority conferred by Resolution No. _____ of said Board adopted on _____, and the Grantee consents to the recordation thereof by its duly authorized agent.

Dated: _____
Chairman, Board of Supervisors of the County of Placer

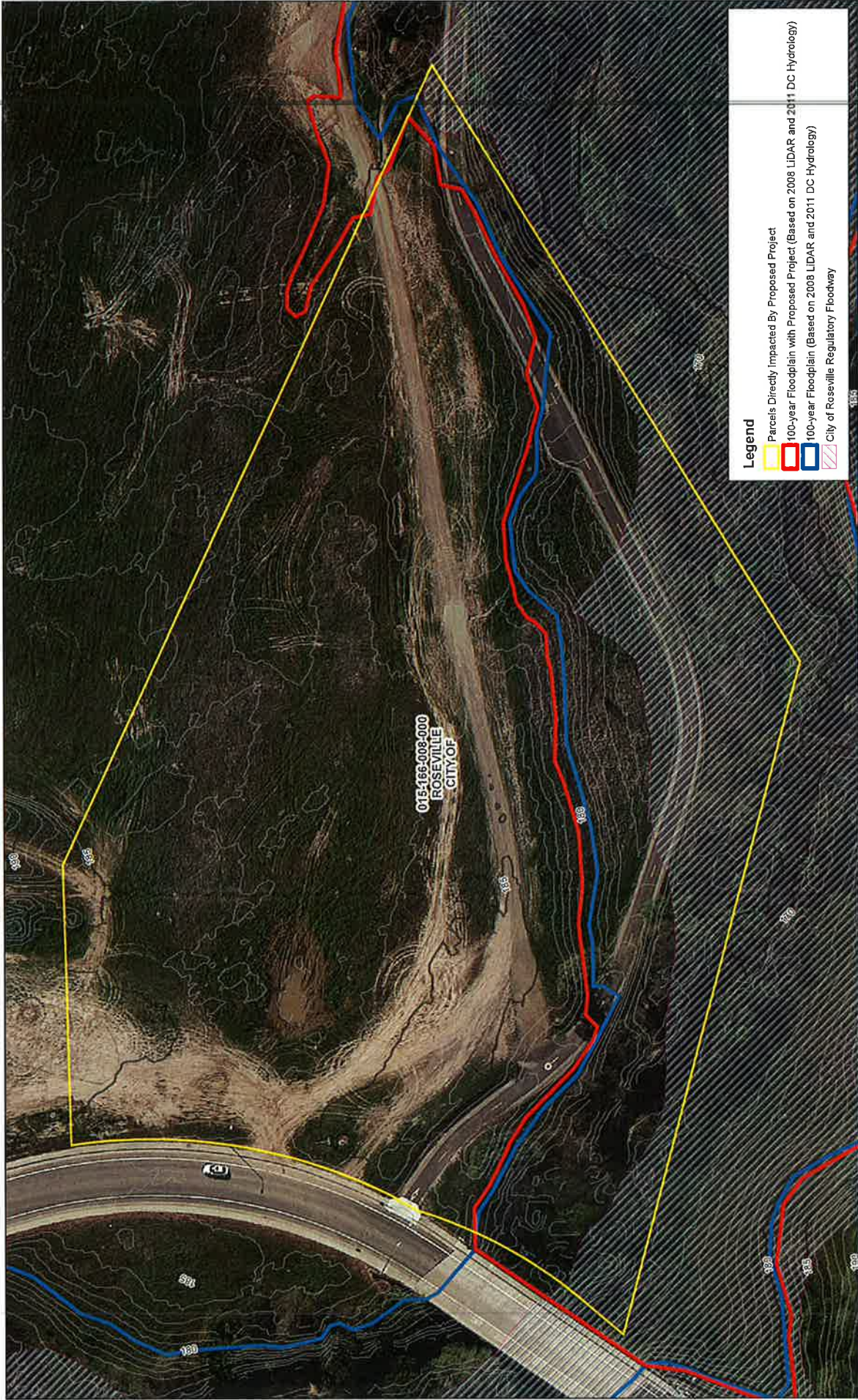
EXHIBITS B-1 THROUGH B-5
MAPS OF FLOWAGE EASEMENTS



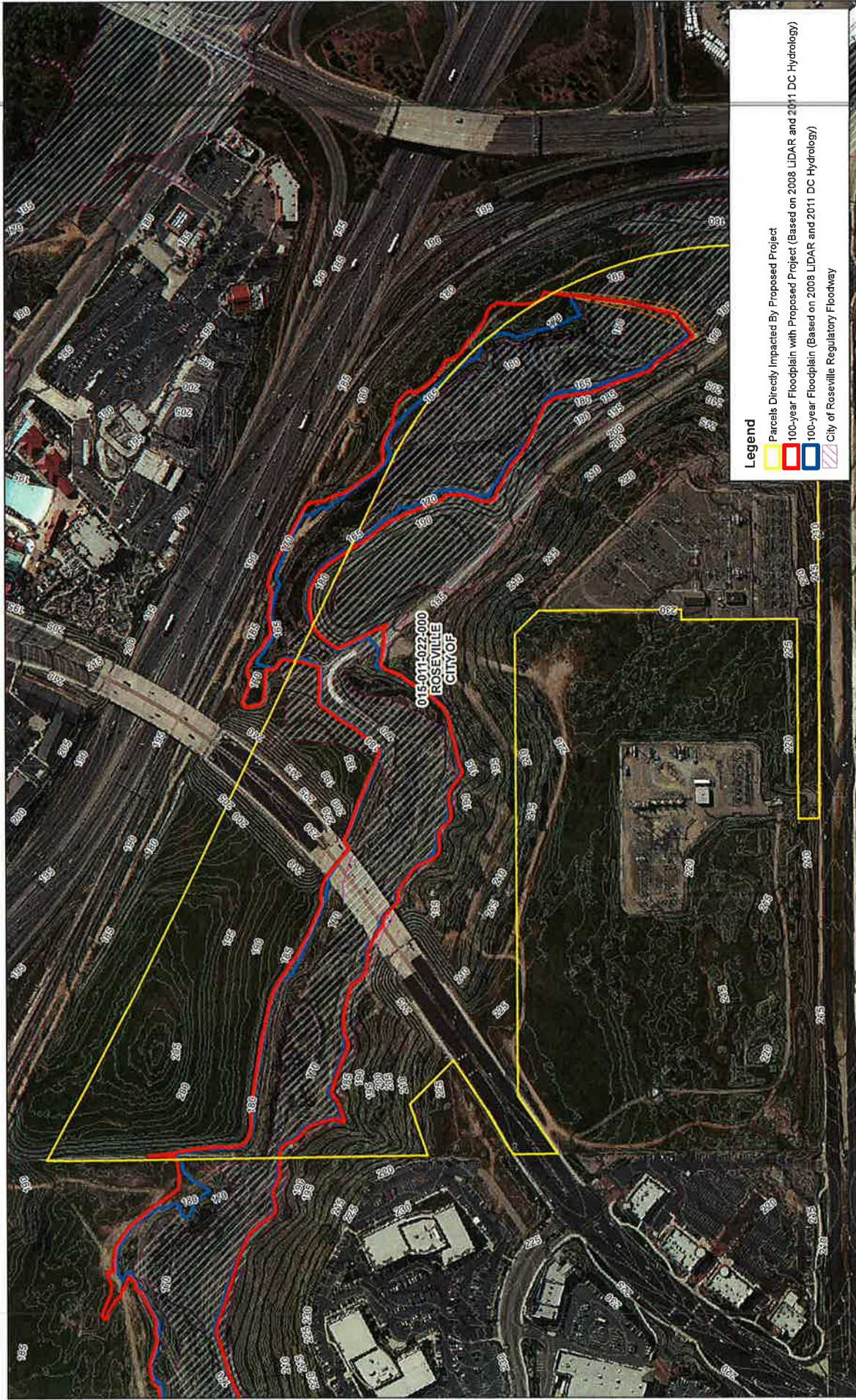
ANTELOPE CREEK FLOOD CONTROL PROJECT HYDROLOGY AND HYDRAULICS REPORT

Existing and Proposed 100-year floodplain and Parcel 015-166-007

Exhibit B1



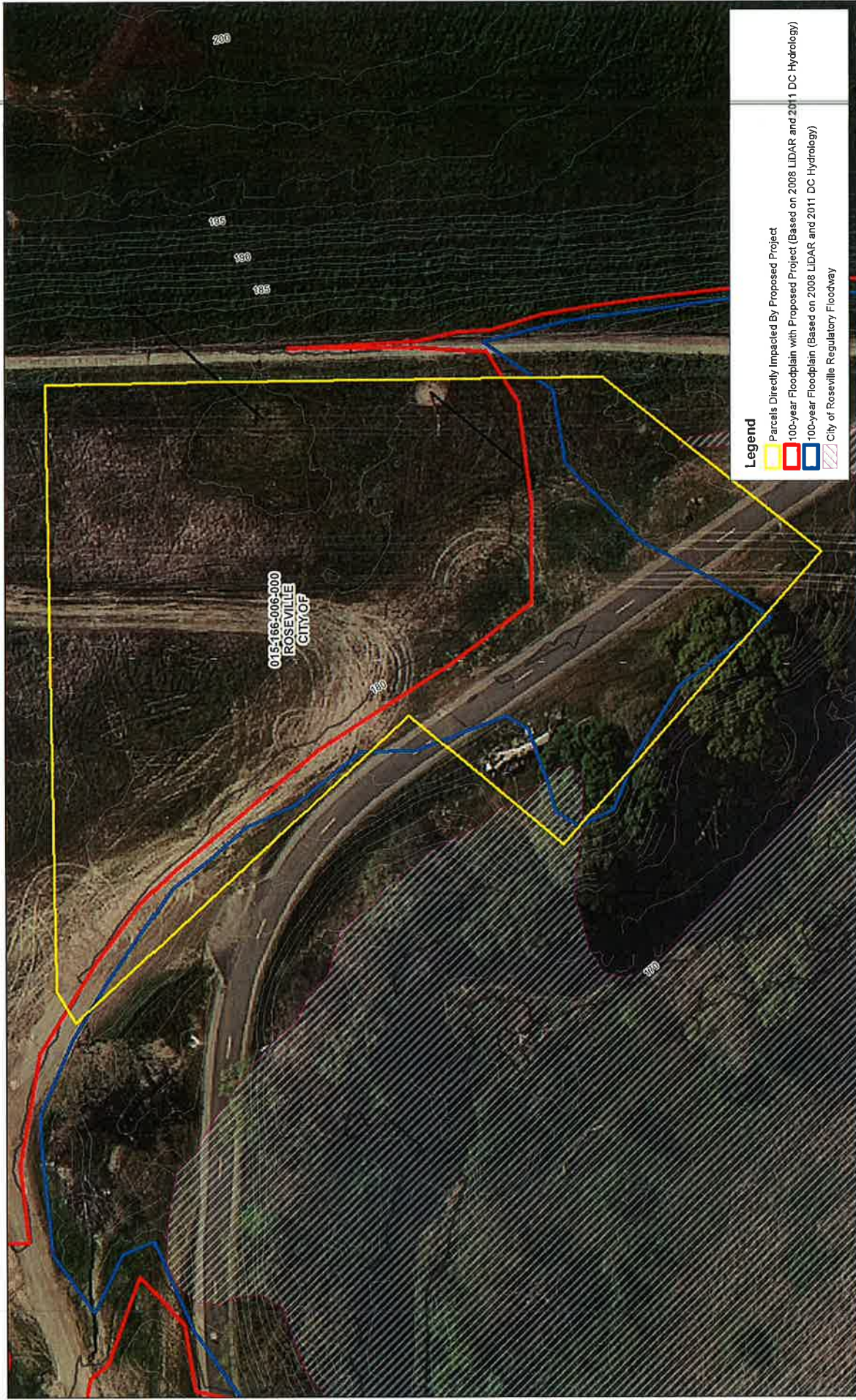
Existing and Proposed 100-year floodplain and Parcel 015-166-008



ANTELOPE CREEK FLOOD CONTROL PROJECT HYDROLOGY AND HYDRAULICS REPORT

Existing and Proposed 100-year floodplain and Parcel 015-011-022

Exhibit B3

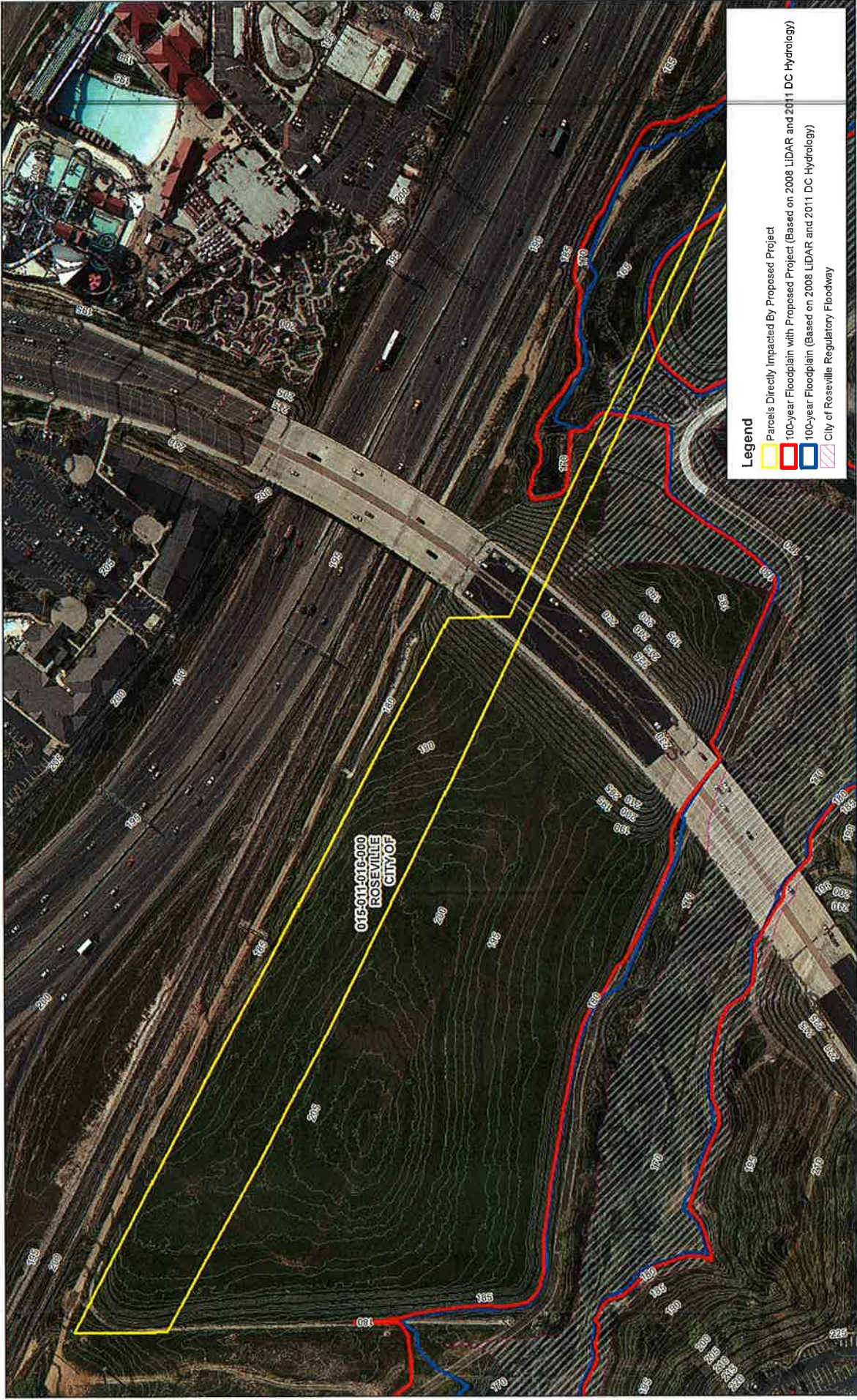


015-166-006-000
ROSEVILLE
CITY OF

Legend

- Parcels Directly Impacted By Proposed Project
- 100-year Floodplain with Proposed Project (Based on 2008 LIDAR and 2011 DC Hydrology)
- 100-year Floodplain (Based on 2008 LIDAR and 2011 DC Hydrology)
- City of Roseville Regulatory Floodway





ANTELOPE CREEK FLOOD CONTROL PROJECT HYDROLOGY AND HYDRAULICS REPORT

Existing and Proposed 100-year floodplain and Parcel 015-011-016

Exhibit B5





COUNCIL COMMUNICATION

CC #: 7696
File #: 0800-01

Title: American Disabilities Act Consulting - Professional Services Agreement
Contact: Dan Allen 916-774-5741 dallen@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.21.

RECOMMENDATION TO COUNCIL

Recommend Council adopt a resolution authorizing the City Manager to sign the professional services agreement with Accessibility Consultants Incorporated (ACI) to perform a full accessibility assessment at City facilities and City parks as defined by Exhibit A1 and to perform a self-evaluation. Total value of the agreement is \$116,500. Funding for this agreement is included in the ADA Compliance annual CIP, \$43,500 for phase I in fiscal year 2015/16 budget and \$73,000 for phase II in proposed fiscal year 2016/17 budget.

BACKGROUND

The City of Roseville regularly performs operational performance assessments to ensure that we are operating as effectively and efficiently as possible, and that the City is adhering to all known existing and new laws and regulations. The purpose of this exercise is twofold: 1) to evaluate the City's compliance and practices as it pertains to Title II of the American Disabilities Act (ADA) and provide recommendations for areas of potential improvement; and, 2) establish the groundwork and baseline data for creating a facility and program transition plan through careful review and validation of existing operations and industry best practices.

This agreement with ACI includes a full accessibility assessment of City facilities as defined by Exhibit A1, in order to develop the facilities ADA transition plan. The transition plan will provide guidance as to the priority and the cost of identified barriers that either need to be modified or removed to meet today's current ADA standards. The transition plan will also assist in the yearly programming of the Facilities annual ADA Compliance CIP. In addition ACI will perform a full assessment of identified Parks as defined by Exhibit A2 and a self-evaluation for departments as identified in Exhibit D.

As stated in Title II of the Americans with Disabilities Act requires that public entities take several steps designed to achieve compliance. These include the preparation of a self-evaluation. In addition, public entities with 50 or more employees are required to:

- Develop a grievance procedure;
- Designate an individual to oversee Title II compliance;
- Develop a transition plan if structural changes are necessary for achieving program accessibility;
- and
- Retain the self-evaluation for three years

FISCAL IMPACT

Total cost for this professional services agreement is \$116,500. Funding for this agreement is included in the ADA Compliance annual CIP, \$43,500 for phase I in fiscal year 2015/16 budget and \$73,000 for phase II in proposed fiscal year 2016/17 budget.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). Implementation of the ADA Consulting Professional Services Agreement to perform an accessibility assessment does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Dan Allen, Facility Manager

Paul Diefinbach, Central Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-200

ADA PSA

RESOLUTION NO. 16-200

APPROVING A PROFESSIONAL SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND ACCESSIBILITY CONSULTANTS INCORPORATED, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a professional services agreement regarding the ADA Self-Evaluation project, by and between the City of Roseville and Accessibility Consultants Incorporated, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

PROFESSIONAL SERVICES AGREEMENT

Project: ADA Self-Evaluation

THIS AGREEMENT is made and entered into this ____ day of _____, 20____, by and between the City of Roseville, a municipal corporation ("CITY"), and Accessibility Consultants Incorporated, a California corporation ("CONSULTANT"); and

W I T N E S S E T H:

WHEREAS, CITY desires professional services consisting of ADA consulting services; and

WHEREAS, CONSULTANT has prepared a proposal dated March 21, 2016, which describes the scope of work to be performed by CONSULTANT, the budget for the work, and the schedule for performance of the work; and

WHEREAS, CONSULTANT is qualified and experienced to provide such professional services.

NOW, THEREFORE, the parties agree as follows:

1. Services. CONSULTANT shall perform, at the direction of CITY, the scope of services as described in EXHIBIT "A," attached hereto and incorporated herein by this reference.
2. Compensation. For its services provided hereunder, CONSULTANT shall be compensated on a time and expense basis in accordance with the budget estimate as described in

EXHIBIT "A." Total compensation shall not exceed one hundred sixteen thousand, five hundred dollars (\$116,500.00).

CONSULTANT shall submit one monthly invoice for its services. Such invoices shall be delineated by task, the person performing the services, and the hourly rate, which shall be stated in time increments of not greater than one tenth (1/10) hours. CITY shall pay invoices within thirty (30) days after receipt, if the services specified in the invoice have been satisfactorily completed. Phase Two services, as described in EXHIBIT "A," shall commence no sooner than July 1, 2016.

3. Indemnification. To the fullest extent allowed by law, CONSULTANT shall defend, indemnify, and save and hold harmless CITY, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of CONSULTANT's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from CITY's sole negligence or willful misconduct. The parties intend that this provision shall be broadly construed.

CONSULTANT's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

4. Insurance. CONSULTANT agrees to continuously maintain, in full force and effect, the following minimum policies of insurance during the term of this Agreement.

COVERAGE

LIMITS OF LIABILITY

Workers' Compensation

Statutory

Commercial General Liability

\$1,000,000 each occurrence
\$2,000,000 aggregate
Personal Injury:
\$1,000,000 each occurrence
\$2,000,000 aggregate

Automobile Liability

\$1,000,000 combined single limit

Professional Liability (errors and omissions)

\$1,000,000 per claim
\$2,000,000 aggregate

a. Form. CONSULTANT shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

b. Additional Insureds. CONSULTANT shall also provide a separate endorsement form or section of the policy showing CITY, its officers, agents, employees and volunteers as additional insureds for each type of coverage, except for Workers' Compensation and Professional Liability. Such insurance shall specifically cover the contractual liability of CONSULTANT. The additional insured coverage under the CONSULTANT's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from CITY's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance

proceeds available to the named insureds, whichever is greater.

c. Cancellation/Modification. CONSULTANT shall provide ten (10) days written notice to CITY prior to cancellation or modification of any insurance required by this Agreement.

d. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of CITY (if agreed to in a written contract) before CITY's own insurance shall be called upon to protect it as a named insured.

e. Subcontractors. CONSULTANT agrees to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, CONSULTANT shall require its subcontractors to agree to be bound to CONSULTANT and CITY in the same manner and to the same extent as CONSULTANT is bound to CITY under this Agreement. Additionally, CONSULTANT shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of CITY's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.

f. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or CITY. CITY reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall

not constitute a waiver of such right.

g. Waiver of Subrogation. CONSULTANT hereby agrees to waive subrogation which any insurer of CONSULTANT may acquire from CONSULTANT by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of CITY, its officers, agents, employees and volunteers for all work performed by CONSULTANT, its employees, agents and subcontractors.

h. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve CONSULTANT of liability in excess of such coverage, nor shall it preclude CITY from taking such other actions as are available to it under any other provisions of this Agreement or law.

5. Access to Records. Duly authorized representatives of CITY shall have right of access during normal business hours to CONSULTANT's files and records relating to the services performed hereunder, and may review the files and records at appropriate stages during performance of the services.

6. Time is of the Essence. Time is of the essence of this Agreement.

7. Compliance with Laws. CONSULTANT shall comply with all federal, state and local laws, ordinances and policies as may be applicable to the performance of services under this Agreement.

8. Ability to Perform. CONSULTANT agrees and represents that it has the time, ability and professional expertise to perform the services required under this Agreement.

9. Governing Agreement. In the event of any conflict between this Agreement and

its EXHIBITS, the provisions of this Agreement shall govern. In the event of any conflict between any of the EXHIBITS, the provisions of the first in order of attachment shall govern.

10. Assignment. CONSULTANT is employed to perform unique personal services. CONSULTANT shall not assign this Agreement without the prior written consent of City. CONSULTANT shall not employ or otherwise incur any obligation to pay other specialists or experts for services in connection with this Agreement, without prior written consent of CITY.

11. Independent Contractor. CONSULTANT shall act as an independent contractor, and covenants and agrees that it will conduct itself consistent with such status, that it will neither hold itself out as, nor claim to be, an officer or employee of CITY by reason of this Agreement.

12. Representations and Warranties. CONSULTANT warrants that it has not employed or retained any company or person, other than a bona fide employee working for CONSULTANT, to solicit or secure this Agreement, and that it has not paid or agreed to pay any company or person, other than a bona fide employee, any fee, commission, percentage, brokerage fee, gift or any other consideration, contingent upon or resulting from the award or making of this Agreement. For breach or violation of this warranty, CITY shall have the right to terminate as void this Agreement, without liability, or, in its discretion, to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift or contingent fee.

13. Successors in Interest. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.

14. Copyright, Ownership and Use of Materials. All tangible material ("Material") created or delivered pursuant to this Agreement is considered a work made for hire under the Copyright Act. To the extent such Material does not qualify as a work made for hire,

CONSULTANT hereby assigns to CITY all right, title, and interest, including but not limited to all copyrights, in all Material created by CONSULTANT in its performance under this Agreement. Material constitutes the scope of work outlined in Exhibit A and attached hereto, and all written and other tangible expressions, including but not limited to, drawings (including computer aided drawings), papers, documents, reports, surveys, renderings, exhibits, sketches, maps, models, prints, paintings or photographs, in any and all media or formats in which such materials have been created or are maintained. All Material furnished by CONSULTANT is, and shall remain, the property of CITY.

CONSULTANT shall execute any documents necessary to effectuate such assignment. In the event that CONSULTANT uses, employs, designates, or retains any person or entity who is not an employee of CONSULTANT, to perform any work required of it pursuant to this Agreement, CONSULTANT shall require said person or entity to execute an agreement containing the preceding paragraph.

15. Termination of Agreement. The City may terminate this Agreement without cause by giving CONSULTANT ten (10) days advance written notice from the City Manager. CONSULTANT may terminate this Agreement without cause by giving CITY thirty (30) days advance written notice. In the event of termination through no fault of CONSULTANT, CITY shall compensate CONSULTANT for services performed as of the date of termination, upon the release to CITY of all Material hereunder, in any and all media or formats in which such materials have been created or are maintained. CITY retains the right to receive and use any MATERIAL, notwithstanding any termination or any dispute regarding the amount to be paid.

16. Attorney's Fees; Venue; Governing Law. If either party commences any legal action against the other party arising out of this Agreement or the performance hereof, the

prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorney's fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

17. Modification. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

18. Severability. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

19. Notices. Any notices to parties required by this Agreement shall be delivered personally or mailed, U.S. first class postage prepaid, addressed as follows:

CITY OF ROSEVILLE

Paul Diefenbach, Central Services Director
Central Services
2005 Hilltop Circle
Roseville, CA 95747

CONSULTANT

Lisa Denham, President
Accessibility Consultants Incorporated
1201 Waverton Lane
Lincoln, CA 95648

Either party may amend its address for notice by giving notice to the other party in writing.

20. Integrated Agreement. This is an integrated agreement and contains all of the terms, considerations, understanding and promises of the parties. It shall be read as a whole.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on

the ____ day of _____, 20____, and CONSULTANT has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation

ACCESSIBILITY CONSULTANTS
INCORPORATED, a California corporation

BY: _____
ROB JENSEN
City Manager

BY: Lisa J. Denham
its: PRESIDENT

ATTEST:

and
BY: Victor Felix
its: Vice President

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

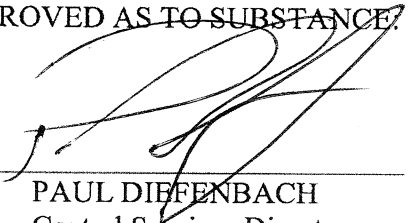
BY: 
PAUL DIFFENBACH
Central Services Director

EXHIBIT "A"

Accessibility Consultants Inc.

PO Box 1713
Lincoln, CA 95648
(916) 705-7674



**Accessibility Consultants
Incorporated**

Quote# 100 – (As Amended)

Regarding Request to Perform Consulting Services to City of Roseville, California

Date

March 21, 2016

Services Performed By:

Accessibility Consultants Inc.
PO Box 1713
Lincoln, CA 95648
(916) 705-7674

Services Performed For:

City of Roseville, California
2005 Hilltop Circle
Roseville, CA 95747

This Formal Quote for Work is issued for the benefit of the City of Roseville, California ("City") and Accessibility Consultants Inc. ("ACI"), effective March 21, 2016 (the "Agreement"). Prices and products outlined below are based on costs and conditions existing on the date of quotation and are subject to change by the Seller before final acceptance. Any term not otherwise defined herein shall have the meaning specified in the signed Agreement. In the event of any conflict or inconsistency between the terms of this quote and the terms of a signed Agreement, the terms of the Agreement shall govern and prevail.

This quote # 100, effective as of March 21, 2016, is valid for sixty (60) days from the date of receipt by City, and is subject to the terms and conditions specified below. The Exhibit(s) to this quote, shall be deemed to be a part hereof.

Period of Performance

Shall this quote for services be accepted, facility surveys will commence approximately April 15, 2016 as stated in Phase one of this quote, and as accepted, a review of the City's policies, procedures and practices (identified in phase two (2) as well as the further review of the City's 13 parks identified in Exhibit A-2) shall commence no sooner than July 1, 2016, and shall continue for approximately 120 calendar days.

Upon Completion of the identified surveys specified per each phase, ACI will present a final report for the Transition Plan (Phase 1) and Self-Evaluation (Phase 2) Report to the designated City staff. It is anticipated that the City will require thirty (30) days to review and provide necessary feedback to be incorporated into the report. Once the City's feedback is incorporated, ACI will provide the reports for public inspection. This will be available for public comment for a time of thirty (30) to sixty (60) days. As the time for public comment is finalized, we will incorporate all information into both the Final Self-Evaluation and Transition Plan. At this time the final link shall be posted on the City's website for the duration of the time designated by Federal Statutes.

Engagement Resources

ACI Staff will utilize some of the following resources to facilitate community involvement:

- City's Facebook page
- Email distribution by and through City staff
- Council meeting(s)
- Questionnaires distributed in a manner to be determined between ACI and the City

All work conducted to facilitate community involvement shall be conducted through the organization and services as provided by the Public Affairs division of the City of Roseville. As Public Affairs may have additional resources and means for distribution, ACI will work closely with the Public Affairs division to ensure the most effective and efficient means is being utilized to reach the most people within the community. As ACI is unfamiliar with the full means at which Public Affairs has at their disposal, ACI shall work closely with the City to determine the most valuable means of outreach.

Scope of Work

Contractor shall provide the Services and Deliverable(s) as follows:

TRANSITION PLAN REPORT (PHASE ONE)

Defined by 28 CFR 35.150 (d) the report contents shall include the following deliverables:

Full Assessment of the identified facilities (**as defined by Exhibit A1**) which shall include a complete list of physical barriers for each (**as demonstrated in Exhibit B**) which includes:

- Description of methods utilized to remediate the barriers
- Barrier Priority
- Proposed schedule for remediation
- Individual reports for each facility that includes:
 - Location
 - Photographs of identified barriers
 - Supporting citation(s)
- Identification of the official responsible for the plan
- An excel document compiling the physical barriers can be provided at any time during the inspection process documenting progress and status
- Integration of the City's Public Right of Way (PROW) into the final report, to facilitate the posting requirements on the City's website (for an additional fee)
- Online link of the City's website for a duration of **TIME TBD between City and ACI** (Sample attached hereto as **Exhibit C**)

TRANSITION PLAN REPORT (PHASE TWO)

Defined by 28 CFR 35.150 (d) the report contents shall include the following deliverables:

Full Assessment of the identified parks (**as defined by Exhibit A2**) which shall include a complete list of physical barriers for each (**as demonstrated in Exhibit B**) which includes:

- Description of methods utilized to remediate the barriers
- Barrier Priority
- Proposed schedule for remediation
- Individual reports for each facility that includes:
 - Location
 - Photographs of identified barriers
 - Supporting citation(s)
- Identification of the official responsible for the plan
- An excel document compiling the physical barriers can be provided at any time during the inspection process documenting progress and status
- Integration of the City's Public Right of Way (PROW) into the final report, to facilitate the posting requirements on the City's website (for an additional fee)
- Online link of the City's website for a duration of **TIME TBD between City and ACI** . (Sample attached hereto as Exhibit C)

ENCOMPASSING SELF-EVALUATION REPORT (PHASE TWO)

Defined by 28 CFR 35.105 the report contents shall include a comprehensive overview of the following departments (**as identified in Exhibit D**):

- Police
- Fire
- Public Affairs/Communications
- City Clerk's Office
- Economic Development
- Assistant City Manager (an underlying departments)

Information reviewed shall include

- City Manuals regarding the general City's policies and procedures
- City Personnel (as agreed upon between ACI and the City)
- City Notices
- Recognized ADA Compliance Efforts
- Web Accessibility

INDIVIDUAL SELF-EVALUATION REPORTS (PHASE TWO)

Defined by 28 CFR 35.105 each report shall include the following deliverables:

- A complete identification of all policies which can be identified through the review of the City's laws, ordinances, regulations, administrative manuals or guides, policy directives, and city distributed memoranda. The review of each will: (**sample is attached hereto as Exhibit E**)
 - Analyze whether identified policies and procedures adversely affect the full participation of individuals with disabilities in its programs, activities, and services.

- After the review has been completed, ACI will meet with designated staff sought to oversee the implementation of the policies and procedures to determine if the practices both reflect the policies and procedures and do not limit the participation of individuals with disabilities.
- A complete list of any policies, procedures and practices, utilized by each selected department, shall be analyzed to determine whether these policies and practices adversely affect the full participation of individuals with disabilities in its programs, activities, and services. In this regard, a public entity should be mindful that although its policies and practices may appear harmless, they may result in denying individuals with disabilities the full participation of its programs, activities, or services. Examples of such areas are as follows:
 - Effective Communication with others. If a public entity provides telephone emergency services, it should review its policies to ensure direct access to individuals who use TDD's and computer modems.
 - Readers for individuals with visual impairments. A method for securing services should be developed, including guidance on when and where these services will be provided. Where equipment is used as part of a public entity's program, activity, or service, an assessment should be made to ensure that the equipment is usable by individuals with disabilities, particularly individuals with hearing, visual, and manual impairments. In addition, a public entity should have policies that ensure that its equipment is maintained in operable working order.
 - Evacuation of individuals with disabilities during an emergency. This may require the installation of visual and audible warning signals and special procedures for assisting individuals with disabilities from a facility during an emergency.
 - Written and audio-visual materials must ensure that individuals with disabilities are not portrayed in an offensive or demeaning manner.
 - Historic preservation programs. This should review its policies to ensure that it gives priority to methods that provide physical access to individuals with disabilities.
 - Policies regarding fundamental alteration(s) of a program, activity, or service, or a decision that an undue financial and administrative burden will be imposed by title II, are made properly and expeditiously.
 - Construction policies. Review should ensure that the construction of each new facility or part of a facility, or the alteration of existing facilities after January 26, 1992, conforms to the standards designated under the title II regulation.
 - Employee Review. A review should ensure that employees are familiar with the policies and practices for the full participation of individuals with disabilities. If appropriate, training should be provided to employees.

It is recommended that the individual Self Evaluations be initiated for the following departments:

- Police
- Fire
- Public Affairs/Communications
- City Clerk's Office
- Economic Development
- Assistant City Manager (an underlying departments)

NOTICE (PHASE ONE)

Defined by 28 CFR 35.106

- ACI shall provide Notice to applicants, participants, beneficiaries, and other interested persons information regarding the City's application of the provisions of the Americans with Disabilities Act

(ADA) and its applicability to the services, programs, or activities. This shall be made available to public in such manner as the head of the City finds necessary to apprise such persons of the protections against discrimination assured them by the Act and this part.

PUBLIC INVOLVEMENT (PHASE ONE & TWO)

- ACI will attend at minimum, one (1) Council meeting to engage the public with a questionnaire(s) which will provide the public the opportunity to address items of concern within the City's facility infrastructure, parks, policies, procedures and practices, etc. This will allow the community the availability to provide input necessary to ensure the City is able to tailor any future remediations to match the Community's responses. Questionnaires will be provided by way of various methods including online, paper and any other method which is facilitated by the Public Works division of the City

Fee Schedule

The total value for the Services pursuant to this formal quote shall not exceed \$116,500 unless otherwise agreed to by both parties in writing.

Included Item Description	Total Price
Transition Plan – (Phase One) (includes Public Involvement, Notice, Final Report posted online on City's website)	\$43,500.00
Self-Evaluation (Phase Two) - includes Public Involvement and Final Report with recommendations	\$30,000
Self-Evaluation – (Phase Two) (includes Public Involvement and Final Report with recommendations) – 1 department only	\$5,000
Self-Evaluation (Phase Two) (includes Public Involvement and Final Report with recommendations) – 6 departments	\$25,000
Park Assessments (Phase Two) (includes Public Involvement and Final Report posted online on the City's website)	\$18,000

Bill To Address	Client Project Manager
2005 Hilltop Circle Roseville, CA 95747	Dan Allen

Out-of-Pocket Expenses / Invoice Procedures

The prices above include all ACI overhead costs which is included in the total cost breakdown above. Client will be invoiced monthly for the consulting services. Invoices shall be submitted at the conclusion of each month with Net 30 terms. Contractor shall provide a 5% reduction of the overall invoice submitted if payment is received 15 days after the invoice is submitted (the date will be specified on the invoice).

Payment received after the invoice due date will assess a \$50.00 late fee for untimely remittance and will begin accruing interest at the legal rate of 10 % per annum.

Additionally, should the City dispute any charges as identified on the invoice, they shall bring their concerns to the Contractor immediately upon identification. For any dispute identified, the City shall pay the disputed amount based on the terms identified above, and the Contractor shall place the funds into a separate operating account pending a final determination of the dispute. All discussions regarding a dispute shall be in writing, email is acceptable.

Completion Criteria

Contractor shall have fulfilled its obligations when any one of the following first occurs:

- Contractor accomplishes the Contractor activities described within this quote, including delivery to the City of the materials listed in the Section entitled "Deliverable Materials," and City accepts such activities and materials without unreasonable objections. No response from Client within 10-business days of deliverables being delivered by Contractor is deemed acceptance.
- Contractor and/or Client has the right to cancel services or deliverables not yet provided with 30 business days' advance written notice to the other party.

UPON ACCEPTANCE OF SUCH TERMS OUTLINED ABOVE AND IN WITNESS WHEREOF, the parties hereto have caused this quote to be effective as of the date the last party has signed the accepted quote.

City of Roseville, California

Accessibility Consultants Inc.

By: _____
Name: Dan Allen
Title: Facilities Manager

By: _____
Name: Lisa Denham
Title: President

Date: _____

Date: _____

W/O abbreviation	W/O nameing	Facilities Usage	Built	SQFT
CVC	Civic Center - 311 Vernon Street	Civic Center - 311 Vernon St. 95678	2001	81994
MLB	Main Library - 225 Taylor Street	Downtown Library - 225 Taylor St. 95678	1974	31657
MIL	Maidu Library - 1530 Maidu Drive	Maidu Library - 1530 Maidu Dr. 95661	1989	10203
MCC	Maidu Community Center - 1550 Maidu Drive	Maidu Community Center - 1550 Maidu Dr. 95661	1989	23921
MAC	Maidu Activity Center - 1960 Johnson Ranch Drive	Maidu Interpretive Center - 1960 Johnson Ranch Dr. 95661	1995	4000
MIM	Maidu Interpretive Museum - 1970 Johnson Ranch Drive	Maidu Interpretive Center	2009	11289
RSC	Roseville Sports Center - 1545 Pleasant Grove Blvd.	Mahaney Sports Center - 1545 Pleasant Grove Blvd. 95747	2000	30075
MRL	Martha Riley Library - 1501 Pleasant Grove Blvd.	Mahaney Library - 1501 Pleasant Grove BLVD	2008	34090
	Martha Riley Library - 1501 Pleasant Grove Blvd.	Cable Studio		1512
	Martha Riley Library - 1501 Pleasant Grove Blvd.	EOC/ Training RM		1332
	Martha Riley Library - 1501 Pleasant Grove Blvd.	IT Computer RM		816
	Martha Riley Library - 1501 Pleasant Grove Blvd.	Meeting Rooms 1 & 2		2400
	Martha Riley Library - 1501 Pleasant Grove Blvd.	Riley Library		13,782
	Martha Riley Library - 1501 Pleasant Grove Blvd.	Common Area		14248
RAC	Roseville Aquatics Center - 3051 Woodcreek Oaks Blvd.	Aquatics Complex - 3051 Woodcreek Oaks Blvd. 95747	1996	12500
CPIP	Central Park Indoor Pool - 10210 Fairway Drive	Indoor Pool - 10210 Fairway Drive	2009	25149
CM	Carnegie Museum - 557 Lincoln Street	Carnegie Museum - 557 Lincoln St. 95678	1912	4484
FS-1 or 401 Oak	Fire Station 1 - 401 Oak	401 Oak St. 95678	1974	42030
	Fire Station 1 - 401 Oak	Fire Adm. & FS-1		21735
	401 Oak Street	Information Technology		11360
	401 Oak Street	Common Area		8935
TT	Tower Theatre - 424 Vernon Street	Tower Theatre - 415 Vernon St. 95678	1940	10317
BS	Barber Shop - 415 Vernon Street	Barber Shop		200
TS	Train Station - 201 Pacific Street	Amtrak Station - 201 Pacific St. 95678	1995	2650
ESC	Electric Service Center - 2090 Hilltop Circle	Electric Service Center - 2090 Hilltop Circle 95747	1988	25220
EO	Electric Operations - 2070 Hilltop Circle	Electric Service Center Operations - 2070 Hilltop Circle	2007	8488
FS-2	Fire Station 2 - 1398 Junction Blvd.	FIRE STATION #2 - 1398 Junction Blvd. 95747	1987	4920
FS-3	Fire Station 3 - 1300 Kirby Way	FIRE STATION #3 - 1300 Kirby Way 95661	1963	5390
FS-4	Fire Station 4 - 1900 Eureka Road	FIRE STATION #4 - 1900 Eureka Rd 95661	1989	5623
FS-5	Fire Station 5 - 1565 Pleasant Grove Blvd.	FIRE STATION #5 - 1565 Pleasant Grove Blvd. 95747	1996	4941
FS-6	Fire Station 6 - 1430 East Roseville Parkway	FIRE STATION #6 - 1430 E. Roseville Pkwy. 95746	2002	9148
FS-7	Fire Station 7 - 911 Highland Point Drive	FIRE STATION #7 - 911 Highland Pointe Dr. 95678	2009	13500
FS-9	Fire Station 9 - 2451 Hayden Parkway	Fire Station 9 - 2451 Hayden Parkway	2013	8831
CY-A	Corp Yard Administration - 2005 Hilltop Circle	Corp Yard / Administration - 2005 Hilltop Cir	1993	38206
	Corp Yard Administration - 2005 Hilltop Circle	Central Services		1316
	Corp Yard Administration - 2005 Hilltop Circle	Parks Maintenance		2880
	Corp Yard Administration - 2005 Hilltop Circle	PW Streets/Storm/Flood		2172
	Corp Yard Administration - 2005 Hilltop Circle	EU -Solid Waste		1120
	Corp Yard Administration - 2005 Hilltop Circle	EU - Waste Water		190
	Corp Yard Administration - 2005 Hilltop Circle	EU - Water		2346
	Corp Yard Administration - 2005 Hilltop Circle	EU- Engineering		1986
	Corp Yard Administration - 2005 Hilltop Circle	EU - EAM		414
	Corp Yard Administration - 2005 Hilltop Circle	EU - Other (Admin)		2920

	Corp Yard Administration - 2005 Hilltop Circle	Common Area		22862
CY-B	Corp Yard Central Stores - 2075 Hilltop Circle	Central Stores	1993	32561
		Corp Yard Bldg. B - Transportation 2075 Hilltop Circle 95747		2967
	Corp Yard Central Stores - 2075 Hilltop Circle	Central Stores -		29594
	Corp Yard Central Stores - 2075 Hilltop Circle	Central Stores -		29594
CY-C	Corp Yard Vehicle Maintenance 2055 Hilltop Circle	Vehicle Maintenance Corp Yard Bldg. C - 2055 Hilltop Circle 95747	1993	30455
	Corp Yard Vehicle Maintenance 2055 Hilltop Circle	Vehicle Maintenance Fleet - offices		29255
		Corp Yard Vehicle Maintenance 2055 Hilltop Circle-Vehicle Maintenance office and Restrooms - Janatorial		1200
	Corp Yard Vehicle Maintenance 2055 Hilltop Circle	Corp Yard Bldg D. / Shops - 2025 Hilltop Circle 95747	1993	45739
CY-D	Corp Yard Shops Building - 2025 Hilltop Circle	Signal Techs		1337
	Corp Yard Shops Building - 2025 Hilltop Circle	Building Maintenance		8120
	Corp Yard Shops Building - 2025 Hilltop Circle	Parks Maintenance		13215
	Corp Yard Shops Building - 2025 Hilltop Circle	Solid Waste		2464
	Corp Yard Shops Building - 2025 Hilltop Circle	Streets		7620
	Corp Yard Shops Building - 2025 Hilltop Circle	Water Distribution		12983
RPD	Roseville Police Department - 1051 Junction Blvd.	Roseville Police Dept. - 1051 Junction Blvd.	1997	78442

Roseville Parks

Pre 1990 parks

Cresthaven
401 Community Dr, Roseville 95678

Eastwood
950 Madden Ln, Roseville 95661

Ferretti
601 Circuit Dr, Roseville 95678

Garbolino
1015 Camelia Ave, Roseville 95678

Royer
1015 Camelia Ave, Roseville 95678

Saugstad
100 Buljan Dr, Roseville 95678

Silverado Oaks
1430 Badovinac Dr, Roseville 95747

Wanish
1351 Junction Blvd, Roseville 95747

Weber
320 Circuit Dr., Roseville 95747

Post 1990 parks

Blue Oaks
8001 Woodcreek Oaks Blvd, Roseville 95747

Hall
1401 Raeburn Wy, Roseville, CA 95747

Uribe
3051 Empingham Wy, Roseville 95661

Lockridge
2601 Eureka Rd, Roseville 95661

Site Accessibility Evaluation

Americans with Disabilities Act
And
International Building Code (ANSI A117.1)



Accessibility Consultants
Incorporated

**123 Main Street
Anytown, USA 12345**

Post Inspection

Inspection Date: 1/9/2015

Inspector: Victor Felix

Prepared By



**Accessibility Consultants
Incorporated**

www.aci-inc.org
(916) 705-7674

Section A - Path of Travel From the Public Way

Finding: 1

There are no detectable warnings (truncated domes, curbs, planters, bollards etc.) installed between the walk and the vehicular way to warn the visually impaired of hazards.

If a walk crosses or adjoins a vehicular way, and the walking surfaces are not separated by curbs, railings or other elements between the pedestrian areas and vehicular areas, the boundary between the areas shall be defined by a continuous detectable warning.

Citation:

2013 CBC 11B Section: 11B-247.1.2.5

As Built:

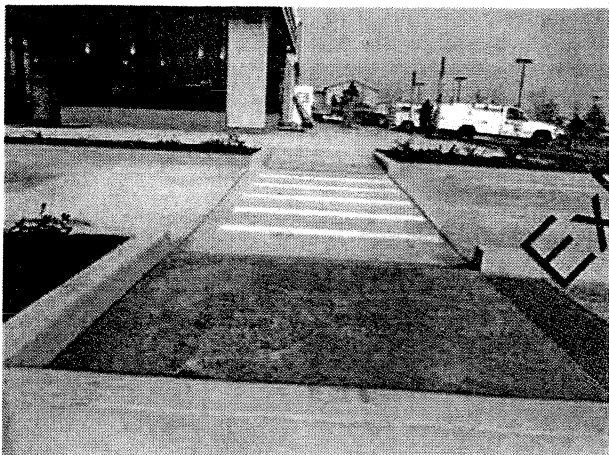
Missing on 1 side

Budget Range:

\$500.00 - \$2500.00

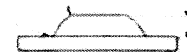
Barrier Rating:

Low : Should be completed as soon as possible due to being a technical violation, but may not result in providing greater access to persons with disabilities. (Includes; Findings that are technically violations but provide a moderate to low increase in accessibility compared to the financial impact on the entity).



Top Diameter
0.45 - 0.47

Base Diameter
0.9 - 0.92

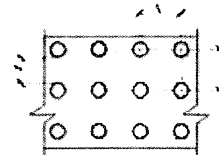


0.18 - 0.22

ELEVATION

2.3 - 2.4

0.65 MIN



2.3 - 2.4

PLAN

Section B - Parking Lot

Finding: 2

The access aisle is not outlined with a blue border around the perimeter on all sides.

Access aisles shall be marked with a blue painted borderline around their perimeter. The area within the blue borderlines shall be marked with hatched lines a maximum of 36 inches on center in a color contrasting with that of the aisle surface, preferably blue or white. The words "NO PARKING" shall be painted on the surface within each access aisle in white letters a minimum of 12 inches in height and located to be visible from the adjacent vehicular way. Access aisle markings may extend beyond the minimum required length.

Citation:

2013 CBC 11B Section: 11B-502.3.3

Barrier Rating:

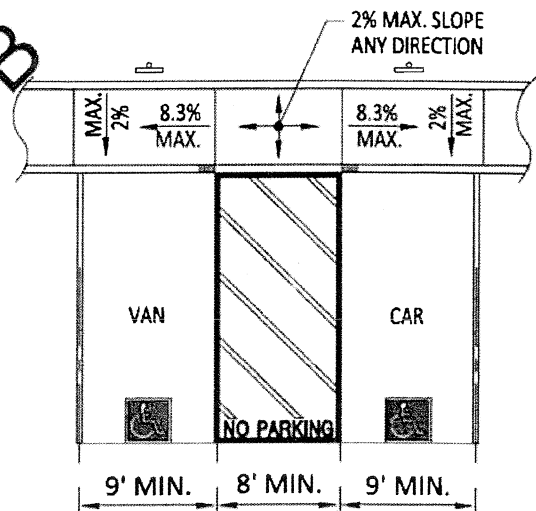
Low : Should be completed as soon as possible due to being a technical violation, but may not result in providing greater access to persons with disabilities. (Includes; Findings that are technically violations but provide a moderate to low increase in accessibility compared to the financial impact on the entity).

As Built:

Missing at the top

Budget Range:

\$50.00 - \$250.00



Section B - Parking Lot

Finding: 3

The running slope of the left accessible parking stall (long dimension) exceeds 2%.

The running slope in an accessible parking stall and the access aisle must not exceed 2%.

Citation:

2010 ADAS Section: 502.4
2013 CBC 11B Section: 11B-502.4

As Built:

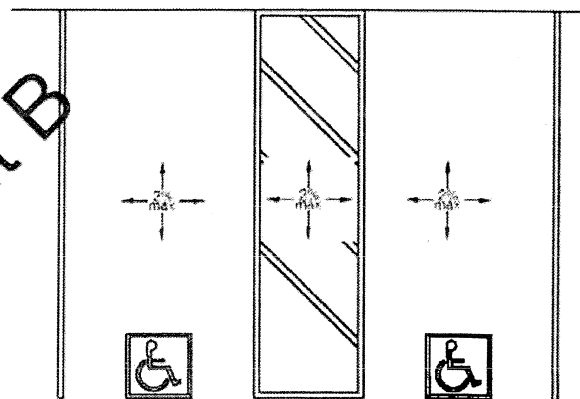
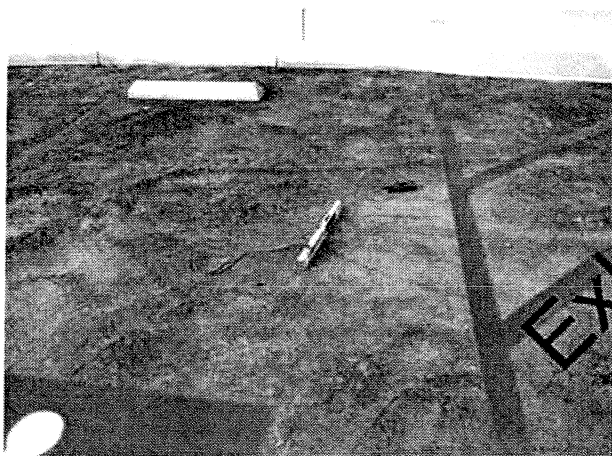
2.3% (aprox. 3'x3' area)

Budget Range:

\$1500.00 - \$4500.00

Barrier Rating:

High : Should be completed immediately. (Includes; Findings that have little or no cost, were in violation of the codes at the time of construction, or pose an imminent safety threat).



Section B - Parking Lot

Finding: 4

The running slope of the access aisle (long dimension) exceeds 2%.

The running slope in an accessible parking stall and the access aisle must not exceed 2%.

Citation:

2010 ADAS Section: 502.4
2013 CBC 11B Section: 11B-502.4

As Built:

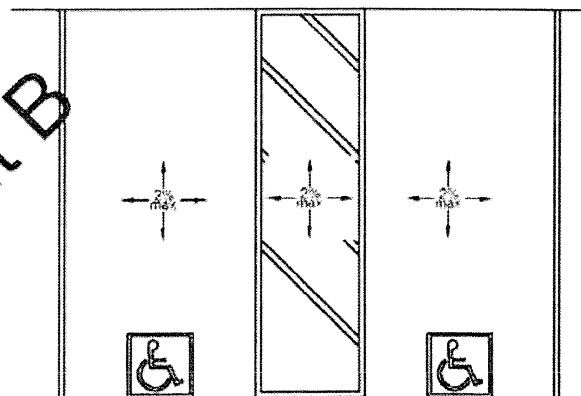
3.0%

Budget Range:

\$1500.00 - \$4500.00

Barrier Rating:

Important : Should be completed as soon as possible. (Includes; Findings that would remove barriers to the greatest number of people to your goods and services).



Section B - Parking Lot

Finding: 5

The running slope of the right accessible parking stall (long dimension) exceeds 2%.

The running slope in an accessible parking stall and the access aisle must not exceed 2%.

Citation:

2010 ADAS Section: 502.4
2013 CBC 11B Section: 11B-502.4

As Built:

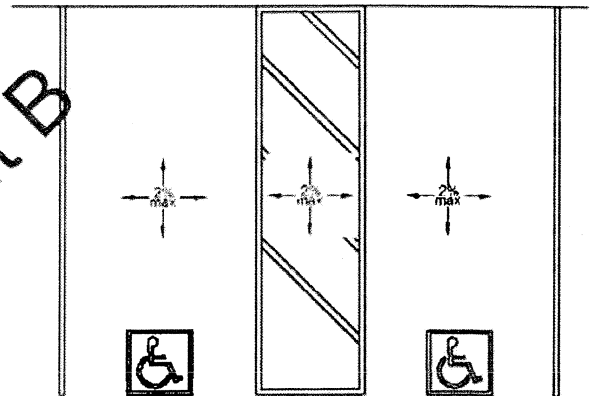
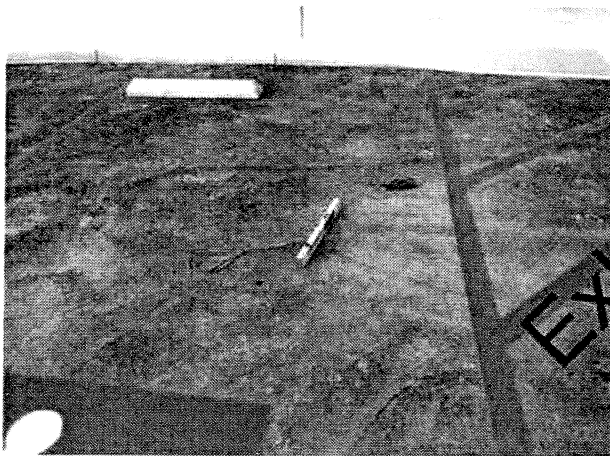
2.3% - 2.7%

Budget Range:

\$1500.00 - \$4500.00

Barrier Rating:

Important : Should be completed as soon as possible. (Includes; Findings that would remove barriers to the greatest number of people to your goods and services).



Section B - Parking Lot

Finding: 6

The tow-away sign has not had the blank spaces filled in with appropriate information as a permanent part of the sign.

Citation:

2013 CBC 11B Section: 11B-502.8

Barrier Rating:

As Built:

Blank

Budget Range:

\$50.00 - \$150.00

Moderate : Should be completed as soon as possible, but there may be other items that will provide greater access to persons with disabilities. (Includes; Findings that have a high financial impact on the entity in relationship to the degree of access provided).



Section C - Front Entrance Door (East)

Finding: 7

The door exceeds the maximum pressure to open the door.

Doors shall have a maximum opening force of 5 pounds.

These forces do not apply to the force required to retract latch bolts or disengage other devices that hold the door or gate in a closed position. Door closers and gate closers shall be adjusted so that from an open position of 90 degrees, the time required to move the door to a position of 12 degrees from the latch is 5 seconds minimum.

Door and gate spring hinges shall be adjusted so that from the open position of 70 degrees, the door or gate shall move to the closed position in 1.5 seconds minimum.

Citation:

2013 CBC 11B Section: 11B-404.2.9

Barrier Rating:

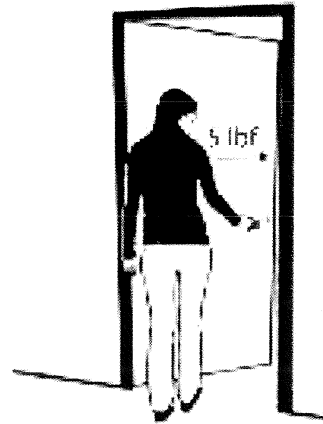
As Built:

10 Pounds/ 3 seconds

Budget Range:

\$25.00 - \$150.00

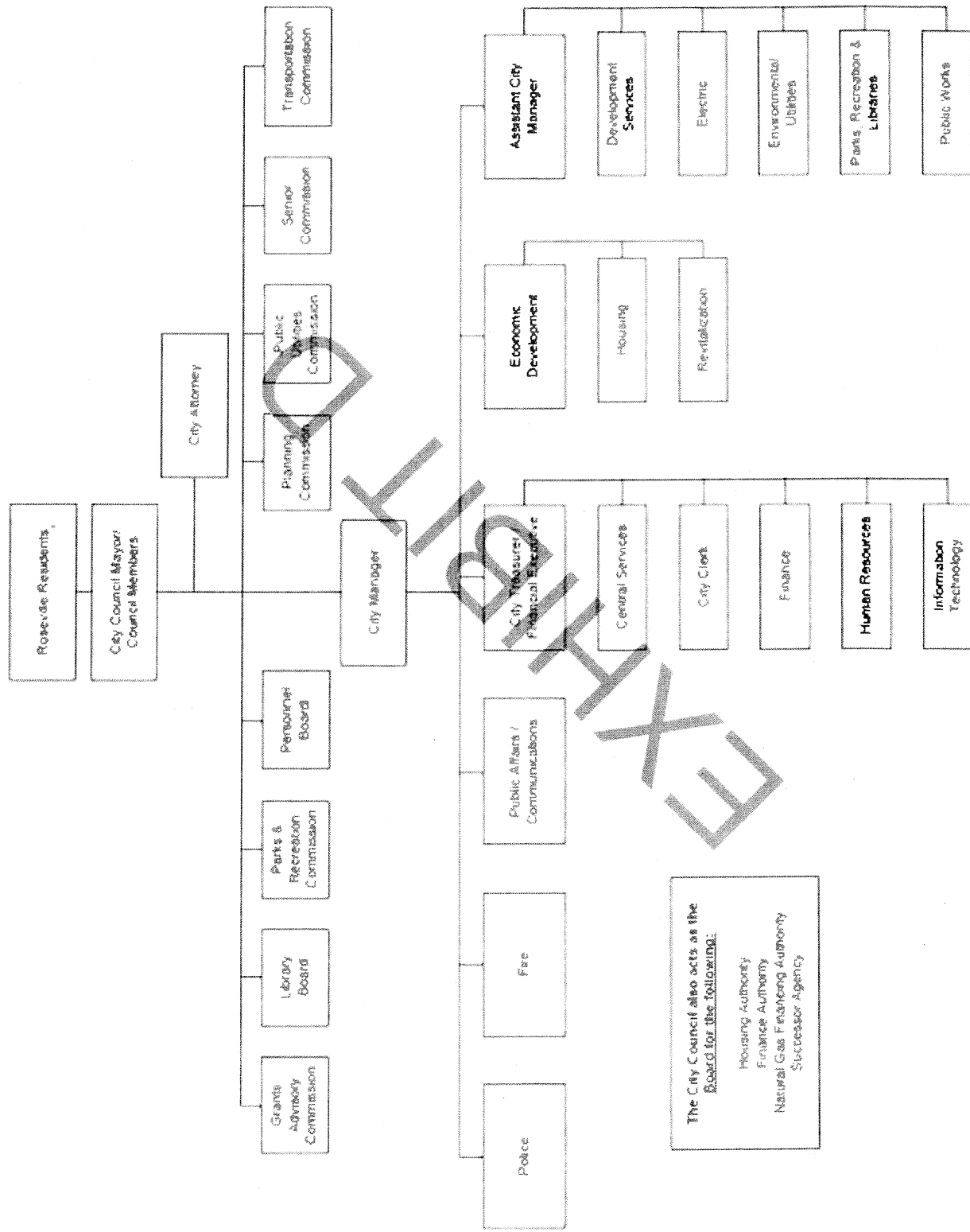
High : Should be completed immediately. (Includes; Findings that have little or no cost, were in violation of the codes at the time of construction, or pose an imminent safety threat).



View from webpage link



Exhibit C



Organizational Chart: City Wide

The City Council also acts as the Board for the following:
Housing Authority
Finance Authority
Natural Gas Financing Authority
Successor Agency

Document	Information that may lead to discrimination	Recommendation	Citation/Reference	City's Feedback to Modification	Public Feedback Received	City's Approval for Change	Modification Date	Description of Modification
			§ 35.133 Maintenance of accessible features (a) A public entity shall maintain in operable working condition those features of facilities and equipment that are required to be readily accessible to and usable by persons with disabilities by the Act or this part. (b) This section does not prohibit isolated or temporary interruptions in service or access due to maintenance or repairs. § 35.150 (d) Transition Plan (2) If a public entity has responsibility or authority over streets, roads, or walkways, its transition plan shall include a schedule for providing curb ramps or other sloped areas where pedestrian walks cross curbs giving priority to walkways serving entities covered by the Act, including State and local government offices and facilities, transportation places of public accommodation, and employers, followed by walkways serving other areas.					
Public Works Frequently Asked Questions (on website)	What happens after a public sidewalk is reported as damaged? When the City of Roseville is notified that a sidewalk is damaged, the street maintenance division temporarily repairs it by placing asphalt on the separation to reduce the chance of tripping. How do I get residential permit parking on my residential street? The city has a residential permit parking program designed for residential streets where overflow parking occurs in front of the homes. Residential permit parking is not approved for individual homes. The city will consider permit parking when the majority of the residents along a block support the request for permit parking. Residential permit parking requires approval of City Council. Once the permit parking is approved, each household is issued two permit placards by the Police Department.	It is important for the City to note that a damaged sidewalk may create an ADA barrier for an individual with mobility impairments. The City may consider adding a sentence stating, "If an individual is unable to utilize the damaged sidewalk due to an ADA inconsistency please contact the ADA Coordinator through email, phone or completing the on-line ADA form."	§ 35.130 General prohibitions against discrimination (b)(7) A public entity shall make reasonable modifications in policies, practices, or procedures where the modifications are necessary to avoid discrimination on the basis of disability, unless the modification would fundamentally alter the nature of the service, program, or activity.					
Public Works Frequently Asked Questions (on website)	Please note that even when crossing an intersection with the "WALK" or flashing DONT WALK signal, pedestrians should ALWAYS watch out for potential conflicts with vehicles. Drivers may be making right or left turns across the crosswalk and may not see the pedestrian in the crosswalk. Pedestrians should	All individuals do not have the ability to "watch out" for potential conflicts with vehicles. It is recommended to change the crossing an intersection with the "WALK" or flashing DONT WALK signal, pedestrians be aware of their surroundings to avoid potential conflicts with vehicles.	United States Department of Labor City of Roseville Positive language empowers. When writing or speaking about people with disabilities, it is important to put the person first. Group designations such as "the blind," "the retarded" or "the disabled" are inappropriate because they do not reflect the individual's equality or dignity of people with disabilities. Further, words like "normal person" imply that the person with a disability is not normal, whereas "person without a disability" is descriptive but not negative. The accompanying chart shows examples of positive and negative phrases.					
Public Works Frequently Asked Questions (on website)	I am disabled and need a ride. Personal disabilities vary greatly. Roseville Transit accommodates disabled passengers for all of its services. All buses are equipped with wheelchair lifts. If you are unable to use fixed route Roseville Transit services, Roseville Transit Door-A-Ride services are available.	It is proper etiquette to state disability after the person as the disability does not define the person. For example, "Roseville Transit accommodates passengers with disabilities for all of its services."						



COUNCIL COMMUNICATION

CC #: 7700
File #: 0502-01

Title: General Municipal Election - November 8, 2016
Contact: Judy Moore 916-774-5267 jmoore@roseville.ca.us
Sonia Orozco 916-774-5269 sorozco@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.22.

RECOMMENDATION TO COUNCIL

Council is requested to adopt a resolution calling for a General Municipal Election to be held on Tuesday, November 8, 2016, for the purpose of election of three councilmembers. The resolution also requests the consolidation of said election with the General Presidential Election on the same date and requests the Placer County Board of Supervisors to direct the Placer County Clerk and Elections Division to provide election services.

The following three seats will expire in 2016:

Bonnie Gore Council Member - Eligible to Run for Additional Four Year Term
Carol Garcia Council Member - Termed Out - Not Eligible to Run in 2016
Pauline Roccucci Council Member - Termed Out - Not Eligible to Run in 2016

BACKGROUND

The City Clerk's Department will have election packets for Roseville residents interested in running for Council beginning at 8:00 a.m. on Monday, July 18, 2016. A candidate for City Council must be at least 18 years old, a full-time resident of Roseville, and a registered voter at the time the nomination papers are issued. The election packets will include nomination forms, campaign finance reporting requirements, instructions, and other documents, including an explanatory election guide for candidates. The deadline to file the elections materials in order to be considered a candidate is Friday, August 12, 2016.

Additionally, before accepting any campaign contributions, a candidate must file a form with the City Clerk either accepting or rejecting the campaign expenditure limit. The expenditure limit for the November 2016 election is \$98,113.50. The amount is based on the number of registered voters in Roseville as certified by the California Secretary of State (65,409) multiplied by \$1.50. A candidate who accepts the expenditure limit may accept contributions of up to \$500.00 from any single source. A candidate who rejects the expenditure limit may accept contributions of up to \$250.00 from any single source.

FISCAL IMPACT

The cost estimate for the 2016 election is \$94,750.00 and will be paid from the General fund. The amount is only an estimate as the city will pay a cost equal to the amount Placer County charges depending on the amount of items on the ballot for all state, district, and jurisdictions within Placer County. The amount is also dependent on the number of Roseville candidates appearing on the ballot.

ECONOMIC DEVELOPMENT / JOBS CREATED

Adoption of the resolution calling for an election will not have an impact on economic development or job creation. However, during election day, many poll workers are hired including students from area high schools.

ENVIRONMENTAL REVIEW

The election is not considered a 'project' as defined by the California Environmental Quality Act (CEQA) based on Section 15061(b)(3) of the CEQA Guidelines. No other CEQA action is required.

Respectfully Submitted,

Judy Moore, City Clerk Technician

Sonia Orozco, City Clerk



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-203

RESOLUTION NO. 16-203

RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROSEVILLE DECLARING AN ELECTION BE HELD IN ITS JURISDICTION ON TUESDAY, NOVEMBER 8, 2016; REQUESTING THE PLACER COUNTY BOARD OF SUPERVISORS TO CONSOLIDATE THE ELECTION WITH ANY OTHER ELECTION CONDUCTED ON SAID DATE, AND REQUESTING ELECTION SERVICES BY THE PLACER COUNTY CLERK

WHEREAS, under laws of the State of California relating to municipal elections and the charter of the City of Roseville, there is hereby called and ordered an election to be held in the City of Roseville, County of Placer, State of California, on Tuesday, the 8th day of November, 2016. The general municipal election of the qualified electors of the City of Roseville, at which election issues to be presented to the voters, shall be:

NOMINATION OF CANDIDATES

1. The election shall be to fill a vacancy for the following officers whose terms are set to expire:

Incumbent's Name	Position	Term of Office
Bonnie Gore	Councilmember	Incumbent Four Year/Regular
Pauline Roccucci	Councilmember	Term Expired/Not Eligible for Re-election in 2016 Election
Carol Garcia	Councilmember	Term Expired/Not Eligible for Re-election in 2016 Election

2. Roseville City councilmembers are elected in the following manner:
 - At Large – There are no divisions in the city; all voters within the city vote for all candidates.
3. If by the close of candidate filing, the number of qualified candidates does not exceed the number of seats to be filled in a particular office, the City Council shall in accordance with Elections Code Section 10229(a):
 - Appoint to the office the person(s) who has been nominated and/or appoint to the office any eligible elector if no one has been nominated.
4. Notwithstanding the section above, item 3 shall not apply if (a) the number of nominees for a city office exceeds the number of seats to be filled, or (b) a city measure has qualified and is to be submitted to the voters at the municipal election.
5. The City of Roseville has determined the following election particulars:
 - The length of the Candidate Statement shall not exceed 200 words.
 - The cost of the Candidate Statement shall be paid by the Candidate.
6. The City of Roseville has also determined the following election particulars:
 - In the case of a tie vote, the election shall be determined by lot.

7. There have been no City boundary changes since our last election, but the City understands that the Placer County Public Works Mapping Division will verify our City boundary lines prior to the election.

WHEREAS, the Council of the City of Roseville requests the Placer County Clerk to provide election services for the General Municipal Election to be held on Tuesday, November 8, 2016.

PLACER COUNTY TO RENDER SPECIFIED SERVICES

1. Provide copies of all precinct maps and additional maps the city requires;
2. Provide one set of voter lists (precinct/street order) at the close of registration;
3. Print and mail sample ballots and official ballots;
4. Provide and deliver all precinct supplies;
5. Provide information for publication of polls and central counting;
6. Issue absentee ballots;
7. Publish all required election notices;
8. Count ballots;
9. Provide statement of vote;
10. Verify nomination signatures.

ROSEVILLE TO RENDER SPECIFIED SERVICES

The Roseville City Clerk, as the Elections Official for the City of Roseville per charter Section 2.14, will conduct all aspects of election not specified above, including, but not limited to:

1. Issue and file candidate nomination papers;
2. Proof sample/official ballots;
3. Prepare resolution canvassing votes to be adopted by Council.

WHEREAS, pursuant to services provided by Placer County, the City of Roseville shall reimburse the County of Placer for services performed when the work is completed and upon presentation to the City of a properly approved bill.

This resolution shall be considered a Notice of Election and Specification of Election Order if applicable.

BE IT RESOLVED that the Board of Supervisors of the County of Placer is hereby requested to consolidate the election with any other applicable election conducted on the same day.

PASSED AND ADOPTED by the Council of the City of Roseville, on the 1st day of June, 2016, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

ATTEST:

CAROL GARCIA, MAYOR

SONIA OROZCO, CITY CLERK

NOTICE OF VACANCIES

To: Placer County Clerk – Registrar, Elections Division

From: City of Roseville, Sonia Orozco, City Clerk

Pursuant to Elections Code §10509, please be advised of the following:

1. The City has three (3) City Council seats facing election. The names of the incumbents are listed below:

Bonnie Gore	Councilmember	Four Year/Regular
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The name of the City Council seats which will expire and are not eligible for re-election are listed below:

Carol Garcia	Councilmember	Termed Out
Pauline Roccucci	Councilmember	Termed Out

2. The four (4) year regular terms will expire in December of 2018.
3. The length of the Candidate Statement shall not exceed 200 words. The cost of the Candidate Statement shall be paid by the candidate. In the case of a tie vote, the election shall be determined by lot.
4. The City of Roseville certifies that there have no boundary changes since our last election, but Roseville understands that the Placer County Mapping Division will verify our boundary lines prior to the election.
5. The Placer County Clerk is requested to provide election services.

SONIA OROZCO, CMC
CITY CLERK

(Seal)



COUNCIL COMMUNICATION

CC #: 7704

File #: 0206-03-01

Title:	Resolution of Intention to Form HP Campus Oaks Community Facilities District No. 2 (Public Services)
Contact:	Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us Monty Hanks 916-774-5313 mhanks@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.23.

RECOMMENDATION TO COUNCIL

It is recommended that Council adopt the following resolution (attached) and set Wednesday, July 6, 2017 at 7:00 pm in the Roseville City Council Chambers at 311 Vernon Street, Roseville, California as the time and place to conduct a public hearing:

- A Resolution of Intention of the City Council of the City of Roseville to Form a Community Facilities District and Levy a Special Tax in the Campus Oaks Community Facilities District No. 2 (Public Services) to Finance Certain Public Services in and for such Community Facilities District.

BACKGROUND

The landowner, BBC Roseville Oaks, LLC, as owner of certain real property described in the attached boundary map, is obligated under the Fourth Amendment to the Development Agreement by and between the City of Roseville and BBC Roseville Oaks, LLC Relative to the Campus Oaks Property of the Roseville Master Plan, to participate in the formation of a Community Facilities District (CFD) to provide a funding mechanism to provide maintenance of the neighborhood parks, streetscapes, paseos and open space parcels within the Campus Oaks property (see the List of Authorized Services for a more detailed list). The property within the boundaries of the CFD will be assessed special taxes as described in the Rate and Method of Apportionment included as an attachment to the Resolution of Intention.

FISCAL IMPACT

The CFD will generate special taxes that will be paid by the property owners sufficient to pay the costs for the maintenance as allowed per the List of Authorized Services (attached). The special taxes will allow for an annual escalation factor, not to exceed 4%. The formation and administration of the CFD will not impact the City's general fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

ENVIRONMENTAL REVIEW

This is not considered a "project" as defined by the California Environmental Quality Act (CEQA), Section 15273 of the CEQA guidelines, and therefore no CEQA action is required.

Respectfully Submitted,

Jeannine Thrash, Administrative Analyst

Monty Hanks, Finance Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-205

HP Campus Oaks CFD 2 Proposed Boundary Map

RESOLUTION NO. 16-205

A RESOLUTION OF INTENTION OF THE CITY COUNCIL OF THE CITY OF ROSEVILLE TO FORM A COMMUNITY FACILITIES DISTRICT AND LEVY A SPECIAL TAX IN HP CAMPUS OAKS COMMUNITY FACILITIES DISTRICT NO. 2 (PUBLIC SERVICES) TO FINANCE CERTAIN PUBLIC SERVICES IN AND FOR SUCH COMMUNITY FACILITIES DISTRICT

WHEREAS, under the Mello-Roos Community Facilities Act of 1982, as amended, Chapter 2.5 of Part 1 of Division 2 of Title 5, commencing at Section 53311, of the California Government Code (the "Act"), this City Council (the "Council") of the City of Roseville (the "City") is authorized to establish a community facilities district and to act as the legislative body for such community facilities district; and

WHEREAS, the Council, having received indications of interest on behalf of the owners of the areas of land proposed to be included in a proposed community facilities district, desires to proceed with the establishment of a community facilities district in order to finance costs of public services necessary or incidental to new development in the City;

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF ROSEVILLE RESOLVES AS FOLLOWS:

1. It is proposed to establish a community facilities district within the City of Roseville under the terms of the Act to finance costs of public services necessary or incidental to new development in the City.

2. The name proposed for the community facilities district is "City of Roseville HP Campus Oaks Community Facilities District No. 2 (Public Services)" (the "CFD").

3. The proposed boundaries of the CFD are shown on the Map of Proposed Boundary of a Proposed Community Facilities District to be Known as HP Campus Oaks Community Facilities District No. 2 (Public Services), City of Roseville, County of Placer, California, on file with the City Clerk, which the Council hereby approves as the map describing the extent of the territory included in the proposed CFD. The Council finds that the map is in the form and contains the matters prescribed by Section 3110 of the California Streets and Highways Code. The Council hereby directs the City Clerk to certify the adoption of this resolution on the face of the map, and to file a copy of the map in the office of the City Clerk in accordance with Section 3111 of the California Streets and Highways Code and within 15 days of the date of adoption of this resolution but in no event later than 15 days prior to the public hearing provided for herein, transmit the map to the County Recorder for recording in the Book of Maps of Assessment and Community Facilities Districts in the office of the County Recorder of the County of Placer.

4. The type of services proposed to be financed by the CFD pursuant to the Act shall consist of those listed in Exhibit A hereto and hereby incorporated herein (the "Services"). The Council hereby determines that the Services are necessary to meet increased demands for such services placed upon local agencies as the result of development occurring within the area of the CFD. The Services are in addition to those provided in the territory of the CFD as of

the date hereof and will not supplant services already available within the territory of the CFD as of the date hereof.

5. Except to the extent that funds are otherwise available to the CFD to pay for the Services, a special tax (the "Special Tax") sufficient to pay the costs thereof, secured by recordation of a continuing lien against all non-exempt real property in the CFD, will be levied annually within the CFD, and collected in the same manner as ordinary ad valorem property taxes, or in such other manner as this Council or its designee shall determine, including direct billing of the affected property owners. The proposed rate and method of apportionment of the Special Tax (the "Rate and Method") among the parcels of real property within the CFD in sufficient detail to allow each landowner within the proposed CFD to estimate the maximum amount such owner will have to pay, are described in Exhibit B attached hereto and hereby incorporated herein. This Council hereby finds that the provisions of Section 53313.6, 53313.7 and 53313.9 of the Act (relating to adjustments to ad valorem property taxes and schools financed by a community facilities district) are inapplicable to the proposed CFD.

6. Except as may otherwise be provided by law or by the Rate and Method, all lands owned by any public entity, including the United States, the State of California, the County and/or the City, or any departments or political subdivisions thereof, shall be omitted from the levy of the Special Tax to be made to cover the costs and expenses of the Services and the CFD. In the event that a portion of the property within the CFD shall become for any reason exempt, wholly or in part, from the levy of the Special Tax, this Council will, on behalf of the CFD, increase the levy to the extent necessary upon the remaining property within the CFD which is not exempt in order to yield the annual expenses of the CFD, if any, subject to the provisions of the rate and method of apportionment of the Special Tax.

7. The levy of the Special Tax in the CFD shall be subject to the approval of the qualified electors of the CFD at a special election. The proposed voting procedure shall be by mailed or hand-delivered ballot among the landowners in the proposed CFD, with each owner having one vote for each acre or portion of an acre such owner owns in the CFD not exempt from the Special Tax.

8. The City Finance Director (or deputy or designee thereof) is hereby directed to study the proposed Services and to make, or cause to be made, and file with the City Clerk a report in writing (the "CFD Report"), which shall be a part of the record of the public hearing hereinafter specified and which report shall present the following:

(a) A description of the Services that will be required to adequately meet the needs of the CFD.

(b) An estimate of the fair and reasonable cost of the Services and incidental expenses in connection therewith, and all other related costs.

9. The Council hereby sets Wednesday, July 6, 2016, at 7:00 p.m. or as soon as possible thereafter, at the City of Roseville Civic Center Council Chambers located at 311 Vernon Street, Roseville, California 95678, as the time and place when and where the Council, as legislative body for the CFD, will conduct a public hearing on the establishment of the CFD and consider and finally determine whether the public interest, convenience and necessity require the formation of the CFD and the levy of the Special Tax. At the hearing, testimony concerning the CFD, the extent of the CFD or the furnishing of the particular types of Public Services will be heard and protests will be considered from registered voters residing within the CFD and persons owning real property within the CFD. Written protests by the owners of a

majority of the land which would be subject to special taxation within the proposed CFD will require the suspension of proceedings for at least one year. Written protests must be filed with the City Clerk at or before the time fixed for the hearing. If such protests are directed only against certain elements of the proposed improvements or proposed special tax, and if such protests constitute a majority protest, only those elements shall be deleted from the proceedings.

10. The City Clerk is hereby directed to cause notice of the public hearing, attached hereto as Exhibit C, to be given by one-time publication in a newspaper of general circulation in the area of the CFD. The publication shall be completed at least 7 days before the date of the public hearing referenced above. The notice shall be substantially in the form specified in Section 53322 of the Act and attached hereto, with the form summarizing the provisions hereof hereby specifically approved.

The City Clerk may also cause notice of the hearing to be given to each property owner within the CFD by first class mail, postage prepaid, to each such owner's address as it appears on the most recent tax records of the County or as otherwise known to the City Clerk to be correct. Such mailing shall be completed not less than 15 days before the date of the public hearing.

The notice of the public hearing shall be substantially in the form specified in Section 53322 of the Act, with the form summarizing the provisions hereof hereby specifically approved.

11. This resolution shall take effect upon its adoption.

I hereby certify that the foregoing Resolution was duly adopted by the City Council of the City of Roseville, California, at a regularly scheduled meeting thereof, held on the ____ day of _____, 2016, by the following vote of the City Council:

AYES:	COUNCILMEMBERS
NOES:	COUNCILMEMBERS
ABSENT:	COUNCILMEMBERS
ABSTAIN:	COUNCILMEMBERS

Mayor

ATTEST:

City Clerk

EXHIBIT A

**HP Campus Oaks
Community Facilities District No. 2 (Public Services)
City of Roseville
Placer County, California**

The authorized services to be funded from the levy and collection of annual special taxes include those set forth below in addition to the costs associated with collecting and administering the special taxes and annual administering the District for the HP Campus Oaks Project (Project). The authorized services to be funded for the HP Campus Oaks Community Facilities District (CFD) No. 2 (Public Services) include these:

1. Open Space improvement, operations and management, monitoring, maintenance (including general maintenance, signage, City owned fence maintenance, trash and debris collection, and bike trails and their appurtenances [drainage culverts or pipes from adjacent subdivisions] within open space), creation and maintenance of fire breaks, Permits, Vandalism/Graffiti, Flood Conveyance (Vegetation/tree removals), Beaver dam removals, Outfall/drainage swale maintenance, Erosion control/bank stabilization, Native planting/replanting, Pre and post emergent, maintenance activities as required by the 404 permit and Open Space Overarching Maintenance & Management Plan and repair and replacement of facilities within open space areas in the Project Area.
2. The maintenance of on-site landscape corridors and paseos designed to serve the Project Area, including general maintenance, masonry walls, accent lighting, water and utility costs.
3. The maintenance of medians, entries, and entry monumentation.
4. The performance of leaf pick-up and street sweeping along the following roads:
 - Woodcreek Oaks Boulevard (east side only)
 - Blue Oaks Boulevard (south side only)
 - HP Way
 - Painted Desert Road
 - Crimson Ridge Road
5. Neighborhood Park maintenance, and repair and replacement.
6. Storm water management, water quality structural controls, including drainage swales constructed between storm drain facilities and receiving waters.
7. Bus Transfer Station, bus shelters, bus stops and any park and ride lots as well as bus signs.
8. Miscellaneous costs related to any of the items described above including planning, engineering, GIS, legal, and city and county administration costs.

9. The levy of special taxes to accumulate sinking funds for anticipated future repairs or replacement costs of landscape corridors, irrigation facilities, medians, entries and entry monumentation, lighting, neighborhood parks, storm water management and other facilities maintained by the CFD as determined by the Administrator.

EXHIBIT B

City of Roseville
HP Campus Oaks Community Facilities District No. 2
(Public Services)
Placer County, California

RATE, METHOD OF APPORTIONMENT, AND
MANNER OF COLLECTION OF SPECIAL TAX
(MAY 10, 2016)

1. Basis of Special Tax Levy

A Special Tax authorized under the Mello-Roos Community Facilities Act of 1982 (Act) applicable to the land in the HP Campus Oaks Community Facilities District No. 2 (Public Services) (CFD) of the City of Roseville (City) shall be levied and collected according to the tax liability determined by the City through the application of the appropriate amount or rate, as described below.

2. Definitions

"Acre" or **"Acreage"** means the land area of a County Assessor's Parcel as shown on an Assessor's Parcel Map, or if the land area is not shown on an Assessor's Parcel Map, the land area shown on the applicable final map or other Development Plan.

"Act" means the Mello-Roos Community Facilities Act of 1982, as amended, Sections 53311 and following of the California Government Code.

"Administrative Expenses" means the actual or estimated costs incurred by the City to form the CFD and to determine, levy, and collect the Special Taxes, including compensation of City employees for administrative work performed in relation to the CFD, the fees of consultants and legal counsel, the costs of collecting installments of the Special Taxes on the general tax rolls, preparation of required reports, the cost of GIS mapping services, and any other costs required to administer the CFD as determined by the City.

"Administrator" means a City official in the Finance Department, or his or her designee.

"Affordable Housing Director" means, at any point in time, the person in the City who serves as head of the department that is in charge of the City's affordable housing program.

"Affordable Unit" means a Unit built on a Residential Use Parcel for which an Affordable Unit agreement has been entered into for the property designating the Unit as affordable. A Multifamily Parcel may have only a portion of the Units assigned as Affordable Units. The City Manager, or his or her designee, shall determine which Units are designated as Affordable Units and maintain an Affordable Unit listing, which shall contain all designated buildable parcels by tract and lot number, and in the case of Large Lot Parcels remaining before May 1 of the

preceding Fiscal Year, the number of designated Affordable Units for each such Large Lot Parcel; all entries shall indicate the effective date of designation. The Affordable Unit listing also shall be updated to reflect those Units no longer qualifying as Affordable Units, also known as Market-Rate Units. The Affordable Unit listing, which shall contain all qualifying Affordable Units as of April 30, shall be made available to the Administrator by July 1 of each year for purposes of determining the Maximum Annual Special Tax for Parcels pursuant to **Section 4**.

"Annual Costs" means for each Fiscal Year, the total of (1) Authorized Services, (2) Administrative Expenses, and (3) any amounts needed to cure actual or estimated delinquencies in Special Taxes for the current or previous Fiscal Year.

"Assessor's Parcel Map" means an official map of the County Assessor designating Parcels by Assessor's Parcel Number.

"Assessor's Parcel Number" means the Parcel and Parcel Number as assigned by the County Assessor on the equalized tax roll.

"Assigned Maximum Annual Special Tax" means the Maximum Annual Special Tax assigned to each Large Lot Parcel that is an Original Parcel based on the Expected Land Uses at CFD formation, as shown in **Attachment 1**.

"Authorized Services" mean those services, as listed in the resolution forming the CFD.

"Base Year" means the Fiscal Year beginning July 1, 2016, and ending June 30, 2017.

"Building Permit" means a permit issued by the City for the construction of a Residential Use or Nonresidential Use structure.

"CFD" means the HP Campus Oaks Community Facilities District No. 2 (Public Services) of the City of Roseville, Placer County, California.

"City" means the City of Roseville in Placer County, California.

"Council" means the City Council of the City acting for the CFD under the Act.

"County" means the County of Placer, California.

"County Assessor's Parcel" means a lot or Parcel with an assigned Assessor's Parcel Number in the maps used by the County Assessor in preparing the tax roll.

"Developed Parcel" means, in any Fiscal Year, any Taxable Parcel for which a Building Permit was issued before May 1 of the preceding Fiscal Year for Residential Uses on Single-Family Parcels or Multifamily Parcels or for Nonresidential Uses on Nonresidential Use Parcels.

"Development Plan" means a condominium plan, apartment plan, site plan, or other plan that identifies such information as the type of structure, Acreage, square footage, or number of Units that are approved to be developed on a Single-Family Parcel, Multifamily Parcel, and Nonresidential Parcel.

"Expected Land Use(s)" means the total number of Units or Acres of each land use type by Tax Category expected within each Large Lot Parcel and Original Parcel at CFD formation as identified in **Attachment 1** of this RMA.

"Final Use Small Lot Parcel" means a Parcel designated for development as a single-family residence, which is part of a Final Small Lot Subdivision Map.

"Final Small Lot Subdivision Map" means a recorded map designating the final Parcel subdivision for individual single-family residential Parcels.

"Finance Director" means the Finance Director for the City, or his or her designee.

"Fiscal Year" means the period starting July 1 and ending the following June 30.

"Large Lot Parcel" means a Parcel created by a Large Lot Subdivision Map.

"Large Lot Subdivision Map" means a recorded subdivision map creating Parcels by land use. However, the Large Lot Subdivision Map does not delineate Single-Family Parcels. A Final Small Lot Subdivision Map will create individual Single-Family Parcels.

"Light Industrial Uses" means a Parcel zoned by the City as Light Industrial (Tech./Business Park).

"Market-Rate Unit" means a Unit that is not an Affordable Unit.

"Maximum Annual Special Tax" means the greatest amount of Special Tax that can be levied against a Parcel in a given Fiscal Year, as shown in **Attachments 1 and 2**.

"Maximum Annual Special Tax Rate" means the rate of Maximum Annual Special Tax charged per Unit or per Acre, as shown in **Attachments 1 and 2**.

"Maximum Annual Special Tax Revenue" means the greatest amount of revenue that can be collected in total from a group of Parcels (such as Developed Parcels) by levying the Maximum Annual Special Tax.

"Maximum Annual CFD Special Tax Revenue" means the sum of the Maximum Annual Special Tax levied on all Taxable Parcels in the CFD in a Fiscal Year.

"Multifamily" or **"Multifamily Parcel"** means any Parcel or Development Plan designated or developed for more than one residential dwelling Unit per Parcel. Such uses may consist of apartments or multifamily structures such as duplexes, triplexes, or time-share units.

"Nonresidential Use" means a Taxable Parcel with land uses other than Residential Uses.

"Original Parcel" means a Taxable Parcel identified in **Attachment 1** (and shown in **Map 1**) at formation of the CFD based on the Large Lot Subdivision Map recorded on December 23, 2015.

"Parcel" means any County Assessor's Parcel in the CFD based on the equalized tax rolls of the County as of January 1 of each Fiscal Year.

"Proportionately" means that the ratio of the actual Special Tax levy to the Maximum Annual Special Tax is equal for all Developed Parcels. For Small Lot Tentative Map Parcels, Proportionately means that the ratio of the actual Special Tax levy to the Maximum Annual Special Tax is equal for all Small Lot Tentative Map Parcels. For Undeveloped Parcels, Proportionately means that the ratio of the actual Special Tax levy to the Maximum Annual Special Tax is equal for all Undeveloped Parcels.

"Public Parcel" means any Parcel that is or is intended to be publicly owned, as designated in any final map that is normally exempt from the levy of general ad valorem property taxes under California law, including public streets; schools; parks; and public drainageways, landscaping, wetlands, greenbelts, and open space.

"Remainder Parcel" means a Parcel that is created as a result of the recording of a Large Lot Parcel Map or Final Small Lot Subdivision Map, which results in a Parcel within the boundaries of a Large Lot Parcel (identified in **Attachment 1**) that has not been mapped for final development approval. Such a Remainder Parcel may contain taxable and tax-exempt uses, such as Residential Uses, and Public Parcels, such as park sites. Once designated as a Remainder Parcel, such Parcel will be considered a Large Lot Parcel for the purposes of future Subdivisions and for the provisions of **Sections 4** through **6**.

"Residential Use" means a Parcel designated for residential use, such as single-family residential Units, residential condominiums, townhouses, or apartments.

"RMA" means the Rate and Method of Apportionment of Special Tax.

"Single-Family Parcel" means, in any Fiscal Year, all Parcels in the CFD for which a building permit was issued or may be issued for construction of a Unit that is a single-family residential, residential condominium, or townhouse Unit.

"Small Lot Tentative Map" means a map that is approved by the City for the purpose of showing the design of a proposed Subdivision, including the individual buildable lots expected in the Subdivision, as well as the conditions pertaining thereto. A Small Lot Tentative Map is not based on a detailed survey of the property in the map and is not recorded at the County Recorder's Office to create legal lots.

"Small Lot Tentative Map Parcel" means, in any Fiscal Year, all Parcels included in a Small Lot Tentative Map that was approved before May 1 of the prior Fiscal Year and which have not yet become a Final Use Small Lot Parcel or a Developed Parcel.

"Special Tax(es)" mean(s) any tax levy under the Act in the CFD.

"Subdivision" or **"Subdivided"** means a division of a Parcel into two or more Parcels through the Subdivision Map Act process. A Subdivision also may include the merging of two or more Parcels to create new Parcels.

"Successor Parcel" means a Parcel created by the Subdivision of an Original Parcel or a Successor Parcel.

"Tax Category" means the categories of taxable land uses shown in **Attachments 1** and **2**.

"Tax Collection Schedule" means the document prepared by the Administrator for the County Auditor-Controller to use in levying and collecting the Special Taxes each Fiscal Year.

"Tax Escalation Factor" means a factor not to exceed 4 percent, determined by the Administrator in any Fiscal Year following the Base Year by which the Maximum Annual Special Tax for the previous Fiscal Year will be increased to provide sufficient services for the current Fiscal Year.

"Taxable Acreage" means that area of a Parcel determined by the Administrator to become a Taxable Parcel or Parcels upon further Subdivision. An example might be that a Large Lot Parcel Map creates a Remainder Parcel that, according to **Attachment 1**, contains both taxable and tax-exempt uses, such as a park site.

"Taxable Parcel" means any Parcel that is not a Tax-Exempt Parcel.

"Tax-Exempt Parcel" means a Parcel not subject to the Special Tax. Tax-Exempt Parcels include (a) Public Parcels, (b) Parcels owned by the City, school districts, special districts, or the state or federal government, and (c) Very Low Affordable Housing. If a Taxable Parcel is acquired by a public agency, the Parcel shall remain a Taxable Parcel based on the provisions of **Section 4.g**.

Certain privately owned Parcels also may be exempt from the levy of Annual Special Taxes, including common areas owned by homeowners' associations or property owner associations, wetlands, detention basins, water quality ponds, and open space, as determined by the Administrator.

"Undeveloped Parcel" means a Taxable Parcel that is not a Developed Parcel, Final Use Small Lot Parcel, Small Lot Tentative Map Parcel, or a Large Lot Parcel.

"Unit" means, for a Single-Family Parcel, the individual residential Unit on such Parcel, or for a Multifamily Parcel, an individual residential Unit in an apartment building.

"Very Low Income Affordable Housing" means homes developed to house residents whose incomes do not exceed 50-percent of the median income for the area, as defined by the United States Department of Housing and Urban Development (HUD). Parcels with such homes are tax-exempt under this CFD.

3. Duration of the Special Tax

Parcels in the CFD will remain subject to the Special Tax in perpetuity.

If the Special Tax ceases to be levied, the City will direct the County Recorder to record a Notice of Cessation of Special Tax. Such notice will state that the obligation to pay the Special Tax has ceased and that the lien imposed by the Notice of Special Tax Lien is extinguished. The Notice of Cessation of Special Tax, in addition, will identify the book and page of the Book of Maps of Assessment and Community Facilities Districts where the map of the boundaries of the CFD is recorded.

4. Administrative Tasks

Tasks required of the Administrator are discussed below:

- a. Annual Special Tax Escalation. The Administrator shall increase the Maximum Annual Special Tax by the Tax Escalation Factor in each Fiscal Year following the Base Year.
- b. Assignment of the Maximum Annual Special Tax to Original Parcels. **Attachment 1** identifies the Assigned Maximum Annual Special Tax for each Original Parcel at CFD Formation, which is determined for each Large Lot Parcel based on the Expected Land Uses within each Large Lot Parcel at CFD Formation. The Assigned Maximum Annual Special Tax shall continue to

apply to the geographic area to which it was assigned. If, prior to further Subdivision, the Administrator determines there are multiple Assessor's Parcels within a Large Lot Parcel, the Administrator shall assign the Maximum Annual Special Tax to each Assessor's Parcel on a pro rata basis to all Assessor's Parcels within that Large Lot Parcel based on the percentage share of Taxable Acreage identified for each Assessor's Parcel. Similarly, if the Administrator determines there are multiple Large Lot Parcels within an Assessor's Parcel, the Maximum Annual Special Tax shall equal the sum of the Assigned Maximum Annual Special Tax for all Large Lot Parcels within that Assessor's Parcel.

- c. Assignment of the Maximum Annual Special Tax to Successor Parcels. As Original Parcels and Successor Parcels are Subdivided through creation of Final Small Lot Subdivision Maps, lot line adjustments or other Parcel amendments through the Subdivision Map Act process, use the following steps to assign the Maximum Annual Special Tax to new Successor Parcels. As a result of each assignment of the Maximum Annual Special Tax upon Subdivision of an Original Parcel or Successor Parcel, the sum of the Maximum Annual Special Taxes assigned to the newly created Taxable Parcels shall never be less, but may be greater, than the Assigned Maximum Annual Special Tax for that Original Parcel or Successor Parcel.

1. If an Original Parcel or Successor Parcel is Fully Subdivided into Single-Family Parcels with No Remainder Parcel(s). There shall be no net loss of Maximum CFD Special Tax Revenue as a result of the assignment of the Maximum Annual Special Tax to Single-Family Parcels. Use the following procedures to assign the Maximum Annual Special Tax to Single-Family Parcels:

- A. If the number of Single-Family Parcels is equal to or greater than the Expected Land Uses shown for the Large Lot Parcel in **Attachment 1**, assign the Maximum Annual Special Tax per Unit by Tax Category shown in **Attachment 1** to each Single-Family Parcel created by the Subdivision.
- B. If fewer Single-Family Parcels are created by the Subdivision and if **Attachment 1** shows that the Large Lot Parcel is not assigned any Affordable Units, divide the total amount of Maximum Annual Special Tax assigned to the Large Lot Parcel in **Attachment 1** by the total number of actual Single-Family Parcels created by the Final Small Lot Subdivision Map for such Large Lot Parcel. Assign this amount calculated per Unit to each Single-Family Parcel created by the Subdivision.

If fewer Single-Family Parcels are created by the Subdivision and if **Attachment 1** shows that the Large Lot Parcel is assigned Affordable Units, for each newly created Taxable Parcel within the Subdivision designated as an Affordable Unit, assign the Maximum Annual Special Tax Rate shown for the Affordable Units in **Attachment 1** to the newly created Taxable Parcels designated as Affordable Units. At formation of the CFD, only Large Lots Parcel Number 7 (CO-5), Number 3 (CO-21), Number 9 (CO-22), and Number 18 (CO-23) are assigned such Affordable Units. As a special note, Affordable Units on Large Lot Parcel Number 3 (CO-21) are not assigned any Maximum Annual Special Tax. For the remaining newly created Single-Family Parcels within the Subdivision that are not Affordable Units, subtract the Maximum Annual Special Tax Revenue from all the newly created Affordable Units from the Maximum Special Tax Revenue for the entire Large Lot Parcel being Subdivided and divide that resulting amount by the number of Single-Family Parcels that are not Affordable

Units; assign the amount so calculated per Unit to each Single-Family Parcel created by the Subdivision that is not an Affordable Unit.

2. If Original or Successor Parcel Is Subdivided into Single-Family Parcels and One or More Remainder Parcels. When an Original or Successor Parcel is Subdivided into Single-Family Parcels and one or more Large Lot Parcels (or Remainder Parcels), the Maximum Annual Special Tax is assigned to the Single-Family Parcels and Large Lot Parcels created by the Subdivision in the following manner:
 - A. Apportion the Maximum Annual Special Tax to the area(s) that is/are being Subdivided into Single-Family Parcels and to the area(s) that will be Remainder Parcel(s) on a pro rata basis, based on the percentage share of Taxable Acreage represented by each such area as compared to the total area of the Original or Successor Parcel.
 - B. For each area Subdivided into Single-Family Parcels, if the Subdivision produces the same number of Units or a greater number of Units as compared to the Expected Land Uses anticipated in **Attachment 1** for that portion of the Original or Successor Parcel, assign the Maximum Annual Special Tax Rate per Unit by Tax Category in **Attachment 1** for that portion of the Original or Successor Parcel being Subdivided into Single-Family Parcels.
 - C. For each area Subdivided into Single-Family Parcels, if fewer Single-Family Parcels are created by the Subdivision and if **Attachment 1** shows that the Original or Successor Parcel is not assigned any Affordable Units, divide the total amount of Maximum Annual Special Tax assigned to that portion of the Original or Successor Parcel in **Attachment 1** being Subdivided into Single-Family Parcels by the total number of actual Single-Family Parcels created by the Final Small Lot Subdivision Map within that portion of the Large Lot Parcel. Assign this amount calculated per Unit to each new Single-Family Parcel created by the Subdivision.
 - D. For each area Subdivided into Single-Family Parcels, if fewer Single-Family Parcels are created by the Subdivision and if **Attachment 1** shows that the Original or Successor Parcel is assigned Affordable Units, for each newly created Taxable Parcel within the Subdivision designated as an Affordable Unit, assign the Maximum Annual Special Tax Rate shown for the Affordable Units in **Attachment 1** to the newly created Taxable Parcels designated as Affordable Units. At formation of the CFD, only Large Lots Parcel Number 7 (CO-5), Number 3 (CO-21), Number 9 (CO-22), and Number 18 (CO-23) are assigned such Affordable Units. As a special note, Affordable Units on Large Lot Parcel Number 3 (CO-21) are not assigned any Maximum Annual Special Tax. For the remaining newly created Single-Family Parcels within the Subdivision that are not Affordable Units, subtract the Maximum Annual Special Tax Revenue from all the newly created Affordable Units from the Maximum Special Tax Revenue for that portion of the Original or Successor Parcel being Subdivided into Single-Family Parcels and divide that resulting amount by the number of Single-Family Parcels that are not Affordable Units; assign the amount so calculated per Unit to each Single-Family Parcel created by the Subdivision that is not an Affordable Unit.

- E. For the Remainder Parcel or Parcels, identify the Maximum Annual Special Tax for the entire Original or Successor Parcel that has been Subdivided. Sum the Maximum Annual Special Tax for all Single-Family Parcels created by the Subdivision, including Single-Family Parcels with Affordable Units. Subtract the sum of the Maximum Annual Special Tax for all Single-Family Parcels from the Maximum Annual Special Tax for the Original or Successor Parcel being subdivided and compare it to the Maximum Annual Special Tax assigned to the Remainder Parcel or Parcels in Step 4.c.2.A. Assign to each Remainder Parcel, the lesser of the calculations in this step or in Step 4.c.2.A, but in no event less than the difference between the previously Assigned Maximum Annual Special Tax for the Original Parcel or Successor Parcel being Subdivided and the total of the Maximum Annual Special Tax for all Single Family Parcels created by such Subdivision.

Once designated as a Remainder Parcel, such Parcel will be considered a Large Lot Parcel for the purposes of future Subdivisions and for the provisions of **Sections 4** through **6**.

3. If Original or Successor Parcel are Subdivided creating multiple land use and Tax Categories:

- A. Identify the Maximum Annual Special Tax for the Original Parcel or Successor Parcel that is being Subdivided.
- B. For each Taxable Successor Parcel created by Subdivision multiply the Maximum Annual Special Tax per Unit or per Acre by the number of Units (Single Family Parcels and Multifamily Parcels excluding Large Lot Number 3 [CO-21]) or by the number of Acres (Large Lot Parcel Number 3 [Multifamily Parcel CO-21] or Nonresidential Parcels). For each Taxable Parcel created by Subdivision that includes Affordable Units, multiply the number of Affordable Units by the Tax per Unit for such Affordable Units, except for Large Lot Parcel Number 3 (CO-21), for which the Affordable Units are not assigned a Maximum Annual Special Tax.
- C. Sum the Maximum Annual Special Tax for each Taxable Successor Parcel and compare it to the Maximum Annual Special Tax of the Original or Successor Parcel that is being Subdivided. If the sum of Maximum Annual Special Tax for each Taxable Successor Parcel is greater than the Maximum Annual Special Tax for the Original Parcel, the Administrator shall apply the Maximum Annual Special Tax calculated above for each Taxable Successor Parcel.
- D. If the Maximum Annual Special Tax for the Original Parcel or Successor Parcel that is being subdivided is greater than the sum of Maximum Annual Special Tax for each Taxable Successor Parcel being created, increase proportionately the Maximum Annual Special Tax per Unit or Per Acre (excluding the Maximum Annual Special Tax for Affordable Units consistent with **Section 4.c.1.B**) on each Taxable Successor Parcel such that the sum of Maximum Annual Special Tax for all Taxable Successor Parcels equals the Maximum Annual Special Tax for the Original Parcel.

4. If an Original or Successor Parcel is Zoned, Rezoned and/or Subdivided into Light Industrial Uses and One or more Remainder Parcels. In the event that a Large Lot Map is recorded and/or any Taxable Parcels are zoned to light industrial use creating one or

more Taxable Parcels that are Light Industrial Uses and Remainder Parcels, there shall be no net loss of Maximum CFD Special Tax Revenue as a result of the assignment of the Maximum Annual Special Tax to Light Industrial Parcels and Remainder Parcels from such Subdivision. The Maximum Annual Special Tax shall be assigned to Light Industrial Parcels or Remainder Parcels using the greater of the following items:

- A. Multiply the Taxable Acreage for each Light Industrial Parcel and for each Remainder Parcel by the Maximum Special Tax per Acre for Light Industrial Uses from **Attachment 2**. Sum the Maximum Annual Special Tax from each new Light Industrial Parcel and each new Remainder Parcel and compare the sum to the Maximum Annual Special Tax from the Original Parcel(s) or Successor Parcel(s) being Subdivided. If the sum of Maximum Annual Special Tax for each new Light Industrial Parcel and new Remainder Parcel is greater than the Maximum Annual Special Tax for the Original Parcel(s) or Successor Parcel(s), the Administrator shall apply the Maximum Annual Special Tax as so calculated for each new Light Industrial Uses and Remainder Parcel. If the sum of Maximum Annual Special Taxes from all new Light Industrial Uses and Remainder Parcels is less than the Maximum Annual Special Tax from the Original Parcel(s) or Successor Parcel(s) being subdivided, assign the Maximum Annual Special Tax to each new Light Industrial Uses and Remainder Parcel as described below.
 - B. Sum the Maximum Annual Special Tax from all Original or Successor Parcels being Subdivided. Allocate this total Maximum Annual Special Tax to each new Light Industrial and Remainder Parcel created by the Subdivision on a pro rata basis to all such Light Industrial Parcels and Remainder Parcels based on the percentage share of Taxable Acreage identified for each Light Industrial Parcel and Remainder Parcel.
- d. Affordable Units that Become Market-Rate Units. If, in any Fiscal Year, the City Manager, or his or her designee, determines that a Unit that previously had been designated as an Affordable Unit no longer qualifies as such, the Affordable Housing Director shall update the Affordable Unit listing by denoting the change in status of the Unit, together with the effective date thereof. For all Affordable Units that are converted to Market-Rate Units, such Units shall be assigned the Maximum Annual Special Tax per Unit based on their Tax Category as shown in **Attachment 1**, as adjusted by the Tax Escalation Factor.
- e. Transfer of the Assigned Maximum Annual Special Tax from One Large Lot to Another. The Maximum Annual Special Taxes shown in **Attachment 1** were determined based on the Expected Land Uses for each Large Lot Parcel shown in **Attachment 1**. If the number of planned residential Units or nonresidential Acreage is transferred from one Large Lot Parcel to another before recording of a Final Small Lot Subdivision Map in any portion of the Large Lot Parcel, the City may, in its sole discretion, allow for a transfer of the Maximum Annual Special Tax from one Large Lot Parcel to another. Such a transfer shall be allowed only if (1) all adjustments are agreed to in writing by the affected property owners and the Finance Director, and (2) there is no reduction in the Maximum Annual CFD Special Tax Revenues as a result of the transfer. Should a transfer result in an amendment to **Attachment 1** of the Notice of Special Tax Lien, the requesting property owner shall bear the costs to effect the transfer in the CFD records and prepare the required amendments to the Notice of Special Tax Lien and **Attachment 1**. Before the transfer, the City may require a deposit from the

requesting property owner for such costs. If such a transfer is requested and approved by the Finance Director, the Administrator may apply the following steps to redistribute the Maximum Special Tax among the Parcels:

- Step 4.e.1. Determine the Maximum Annual Special Tax associated with the land uses that will be transferred by multiplying the number of residential Units or nonresidential Acreage by the Maximum Annual Special Tax Rate per Unit identified for the Units or Acreage in **Attachment 1** (escalated by the Tax Escalation Factor to the then-current Fiscal Year).
- Step 4.e.2. Subtract the amount determined in *Step 4.e.1.* from the Maximum Annual Special Tax for the Large Lot Parcel from which the Units or Acreage will be transferred to determine the new Maximum Annual Special Tax for the Large Lot Parcel.
- Step 4.e.3. Add the amount determined in *Step 4.e.1.* to the Maximum Annual Special Tax for the Large Lot Parcel to which the Units or Acreage is being transferred to determine the new Maximum Annual Special Tax for the Large Lot Parcel.
- f. Conversion of a Tax-Exempt Parcel to a Taxable Parcel. If a Tax-Exempt Parcel is not needed for public use and is transferred to a private owner, it shall become subject to the Special Tax. The Maximum Annual Special Tax for the newly assigned Tax Category for such a Parcel is determined using the provisions of **Sections 4 and 5**.
- g. Taxable Parcels Acquired by a Public Agency A Taxable Parcel acquired by a public agency shall be reclassified as a Tax-Exempt Parcel and is no longer subject to the Special Tax levy.

5. Assignment of the Maximum Annual Special Tax

- a. Classification of Parcels. For purposes of the next Fiscal Year tax levy, by June 30 of each Fiscal Year, using the Definitions in **Section 2**, the Parcel records of the Assessor's secured tax roll as of January 1, and other City development approval records, the Administrator shall cause:
 - 1. Each Parcel to be classified as a Taxable Parcel or Tax-Exempt Parcel.
 - 2. Each Parcel to be classified as a Developed Parcel, a Small Lot Tentative Map Parcel, a Large Lot Parcel (including Remainder Parcels), or an Undeveloped Parcel.
- b. Assignment of the Maximum Annual Special Tax to Taxable Parcels. The Maximum Annual Special Tax will be assigned to each Taxable Parcel each Fiscal Year using the procedures (not all steps may be applicable for each such Parcel) in **Section 4**.

6. Calculating Annual Special Taxes

The Administrator will compute the Annual Costs and determine the annual Special Tax levy for each Taxable Parcel based on the assignment of the Special Tax in **Sections 4 and 5**. The Administrator then will determine the tax levy for each Taxable Parcel using the following process:

- a. Compute the Annual Costs using the definition of Annual Costs in **Section 2**.

- b. For all Taxable Parcels, calculate the Special Tax levy for each using the following steps:
- Step 1: Compute 100 percent of the Maximum Annual Special Tax Revenue for all Developed Parcels.
 - Step 2: Compare the Annual Costs with the amount calculated in the previous step.
 - Step 3: If the Annual Costs are lower than the amount calculated in Step 1, decrease proportionately the Maximum Annual Special Tax levy for each Developed Parcel until the revenue from the Special Tax levy equals the Annual Costs.
 - Step 4: If the Annual Costs are greater than the amount calculated in Step 1, increase proportionately the Maximum Annual Special Tax levy for each Final Use Small Lot Parcel until the revenue from the Special Tax levy equals the Annual Costs, or 100 percent of the Maximum Annual Special Tax for all Final Use Small Lot Parcels, if needed to fund Annual Costs.
 - Step 5: If the Annual Costs are greater than the amount calculated in Step 1 and Step 4, increase proportionately the Maximum Annual Special Tax levy for each Small Lot Tentative Map Parcel until the revenue from the Special Tax levy equals the Annual Costs, or 100 percent of the Maximum Annual Special Tax for all Small Lot Tentative Map Parcels, if needed to fund Annual Costs.
 - Step 6: If the Annual Costs are greater than the amount calculated in Step 1, Step 4, and Step 5, increase proportionately the Maximum Annual Special Tax levy for each Large Lot Parcel until the revenue from the Special Tax levy equals the Annual Costs, or 100 percent of the Maximum Annual Special Tax for all Large Lot Parcels, if needed to fund Annual Costs.
 - Step 7: If the Annual Costs are greater than the amount calculated in Step 1, Step 4, Step 5, and Step 6, increase proportionately the Maximum Annual Special Tax levy for each Undeveloped Parcel until the revenue from the Special Tax levy equals the Annual Costs, or 100 percent of the Maximum Annual Special Tax for all Undeveloped Parcels, if needed to fund Annual Costs.
- c. Levy on each Taxable Parcel the amount calculated above.
- d. Prepare the Tax Collection Schedule and, unless an alternative method of collection has been selected pursuant to **Section 8**, send it to the County Auditor requesting that it be placed on the general, secured property tax roll for the Fiscal Year. The Tax Collection Schedule will not be sent later than the date required by the County Auditor for such inclusion.

The Administrator will make every effort to calculate the Special Tax correctly for each Parcel. It will be the burden of the taxpayer to correct any errors in determining which Parcels are subject to the tax and their Special Tax assignments.

7. Interpretation, Application, and Appeal of Special Tax Formula and Procedures

Any taxpayer who feels the amount of the Special Tax assigned to a Parcel is in error may file a notice with the Finance Director appealing the levy of the Special Tax. The Finance Director then

will promptly review the appeal and, if necessary, will meet with the applicant. If the Finance Director verifies that the tax should be modified or changed, the Special Tax levy will be corrected and, if applicable in any case, a credit or refund will be granted.

Interpretations may be made by the City, without Resolution or Ordinance of the Council, for purposes of clarifying any vagueness or ambiguity as it relates to the Special Tax rate, the method of apportionment, the classification of properties, or any definition applicable to the CFD.

Without Council approval, the Finance Director may make minor, non-substantive administrative and technical changes to the provisions of this RMA that do not materially affect the rate, method of apportionment, or manner of collection of the Special Tax for purposes of administrative efficiency or convenience or to comply with new applicable federal, state, or local law.

The City, upon request of an owner of land in the CFD that is not a Developed Parcel, also may amend this RMA in any manner acceptable to the City, without Resolution or Ordinance of the Council, upon the affirmative vote of such owner and without the vote of owners of any other land in the CFD, provided such amendment only affects such owner's land and does not reduce the total Maximum Annual Special Tax Revenue for the CFD.

8. Manner of Collection

The Special Tax will be collected in the same manner and at the same time as ad valorem property taxes, provided, however, the Administrator or its designee may directly bill the Special Tax and may collect the Special Tax at a different time, such as on a monthly or other periodic basis, or in a different manner, if necessary, to meet the City's financial obligations.

Attachment 1
 HP Campus Oaks CFD No. 2 (Public Services)
 Maximum Annual Special Tax in the Base Year [1]

Large Lot Number	Specific Plan Lot Designation	Assessor's Parcel Number	Tax Category	Acres	No. of Units	Maximum Annual Special Tax Rate per Unit [2]	Unit of Measure	Maximum Annual Special Tax per Large Lot [2]
1	CO-31	017-231-016	BP	5.45	n/a	\$2,590	per Acre	\$14,116
2	CO-41	017-231-017	CC	13.16	n/a	\$1,560	per Acre	\$20,530
3	CO-21	017-231-018	HDR	4.94	87	\$177	per Unit	\$15,399
3	CO-21	017-231-018	HDR/Aff Very Low		38	\$0	per Unit	\$0
Lot 3 Subtotals				4.94	125			\$15,399
4	CO-42	017-231-019	CC	6.20	n/a	\$1,560	per Acre	\$9,672
5	CO-51	017-231-020	T/BP-LI	15.20	n/a	\$547	per Acre	\$8,314
6	CO-75	017-231-021	P/QP	2.20	0	Tax-Exempt		\$0
7	CO-5	017-231-022	MDR	4.66	27	\$352	per Unit	\$9,504
7	CO-5	017-231-022	MDR/Affordable		19	\$177	per Unit	\$3,363
Lot 7 Subtotals				4.66	46			\$12,867
8	CO-4	017-231-023	MDR	8.37	84	\$352	per Unit	\$29,568
9	CO-22	017-231-024	HDR	7.19	95	\$177	per Unit	\$16,815
9	CO-22	017-231-024	HDR/Aff Low		24	\$0	per Unit	\$0
Lot 9 Subtotals				7.19	119			\$16,815
10	CO-15	017-231-025	MDR	2.51	19	\$352	per Unit	\$6,688
11	CO-52	017-231-026	T/BP-LI	17.64	n/a	\$547	per Acre	\$9,649
12	CO-13	017-231-027	MDR	3.40	24	\$352	per Unit	\$8,448
13	CO-14	017-231-028	MDR	4.42	50	\$352	per Unit	\$17,600
14	CO-7	017-231-029	LDR	9.78	58	\$352	per Unit	\$20,416
15	CO-11	017-231-030	MDR	4.72	34	\$352	per Unit	\$11,968
16	CO-12	017-231-031	MDR	4.89	34	\$352	per Unit	\$11,968
17	CO-16	017-231-032	MDR	2.65	19	\$352	per Unit	\$6,688
18	CO-23	017-231-033	HDR	4.93	58	\$177	per Unit	\$10,266
18	CO-23	017-231-033	HDR/Aff Low		14	\$0	per Unit	\$0
Lot 18 Subtotals				4.93	72			\$10,266
19	CO-6	017-231-034	LDR	8.14	48	\$352	per Unit	\$16,896
20	CO-1	017-231-035	LDR	6.10	36	\$352	per Unit	\$12,672
21	CO-24a	017-231-036	HDR	2.35	40	\$177	per Unit	\$7,080
22	CO-24b	017-231-037	HDR	2.35	40	\$177	per Unit	\$7,080
23	CO-3	017-231-038	LDR	16.58	64	\$352	per Unit	\$22,528
24	CO-76	017-231-039	P/QP	0.32	n/a	Tax-Exempt		\$0
25	CO-2	017-231-040	LDR	6.21	36	\$352	per Unit	\$12,672
C	CO-62	017-231-041	Tax-Exempt	5.70	n/a	Tax-Exempt		Tax-Exempt
B	CO-63	017-231-042	Tax-Exempt	0.90	n/a	Tax-Exempt		Tax-Exempt
A	CO-61	017-231-043	Tax-Exempt	2.20	n/a	Tax-Exempt		Tax-Exempt
D	CO-81	017-231-044	Tax-Exempt	0.84	n/a	Tax-Exempt		Tax-Exempt
E	CO-82	017-231-045	Tax-Exempt	0.86	n/a	Tax-Exempt		Tax-Exempt
F	CO-65	017-231-046	Tax-Exempt	1.30	n/a	Tax-Exempt		Tax-Exempt
G	CO-64	017-231-047	Tax-Exempt	2.40	n/a	Tax-Exempt		Tax-Exempt
Totals				197.88	948			\$309,900

"att1"

[1] FY 2016-17 is the Base Year

[2] Increases in each Fiscal Year following the Base Year by the Tax Escalation Factor.

Attachment 2
HP Campus Oaks CFD No. 2 (Public Services)
Maximum Annual Special Tax per Tax Category - Base Year [1]

Tax Category	Maximum Annual Special Tax per Unit or Per Acre [2]	Unit of Measure	Original Parcel/ Large Lot Parcel Numbers	Original Assessor's Parcel Numbers
LDR	\$352.00	Per Unit	(20, 25, 23, 19, 14)	017-231-035, 017-231-040, 017-231-038, 017-231-034, 017-231-029
MDR	\$352.00	Per Unit	(15, 16, 12, 10, 17)	017-231-030, 017-231-031, 017-231-027, 017-231-025, 017-231-032
MDR (Affordable)	\$176.00	Per Unit	(8, 7 - portion, 13)	017-231-023, 017-231-022 (portion), 017-231-028
HDR	\$177.00	Per Unit	(7 - portion)	017-231-022 (portion)
HDR Affordable Low	\$0.00	Per Unit	(9 - portion, 18 - portion, 21, 22)	017-231-024 (portion), 017-231-033 (portion), 017-231-036, 017-231-037
HDR Affordable Very Low	\$0.00	Per Unit	(3 - portion)	017-231-018 (portion)
BP	\$2,590.00	Per Acre	(1)	017-231-016
CC	\$1,560.00	Per Acre	(2, 4)	017-231-017, 017-231-019
T/BP-LI	\$547.00	Per Acre	(5, 11)	017-231-020, 017-231-026

"att2"

[1] FY 2016-17 is the Base Year

[2] Increases in each Fiscal Year following the Base Year by the Tax Escalation Factor.

Attachment 2
HP Campus Oaks CFD No. 2 (Public Services)
Maximum Annual Special Tax per Tax Category - Base Year [1]

Tax Category	Maximum Annual Special Tax per Unit or Per Acre [2]	Unit of Measure	Original Parcel/ Large Lot Parcel Numbers	Original Assessor's Parcel Numbers
LDR	\$352.00	Per Unit	(20, 25, 23, 19, 14)	017-231-035, 017-231-040, 017-231-038, 017-231-034, 017-231-029
MDR	\$352.00	Per Unit	(15, 16, 12, 10, 17)	017-231-030, 017-231-031, 017-231-027, 017-231-025, 017-231-032
MDR (Affordable)	\$177.00	Per Unit	(8, 7 - portion, 13)	017-231-023, 017-231-022 (portion), 017-231-028
HDR	\$177.00	Per Unit	(7 - portion)	017-231-022 (portion)
HDR Affordable Low	\$0.00	Per Unit	(9 - portion, 18 - portion, 21, 22)	017-231-024 (portion), 017-231-033 (portion), 017-231-036, 017-231-037
HDR Affordable Very Low	\$0.00	Per Unit	(3 - portion)	017-231-018 (portion)
BP	\$2,590.00	Per Acre	(1)	017-231-016
CC	\$1,560.00	Per Acre	(2, 4)	017-231-017, 017-231-019
T/BP-LI	\$547.00	Per Acre	(5, 11)	017-231-020, 017-231-026

"att2"

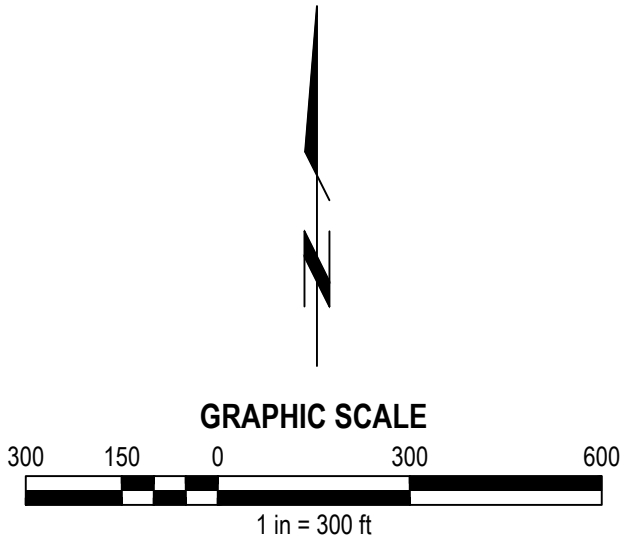
[1] FY 2016-17 is the Base Year

[2] Increases in each Fiscal Year following the Base Year by the Tax Escalation Factor.

MAP 1
HP CAMPUS OAKS
COMMUNITY FACILITIES
DISTRICT NO. 2
(PUBLIC SERVICES)

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA

DATE: MAY 10, 2016
SCALE: 1"=300'



WOODCREEK OAKS BOULEVARD

BLUE OAKS BOULEVARD

LOT 1

LOT 2

LOT 4

LOT 5

LOT 3

LOT 6

LOT 24

LOT 8

LOT 11

LOT 7

LOT 9

LOT 10

LOT 12

LOT 18

LOT 13

LOT 17

LOT 19

LOT 'C'

LOT 14

LOT 15

LOT 16

LOT 20

LOT 'F'

LOT 'G'

LOT 21

LOT 'B'

LOT 22

LOT 'A'

LOT 25

LOT 23

LOT 'E'

NOT PART OF THIS
SUBDIVISION

NOT PART OF THIS
SUBDIVISION

LOT 'D'

20 21
29 28

EXHIBIT C

FORM OF

NOTICE OF PUBLIC HEARING ON PROPOSED HP CAMPUS OAKS COMMUNITY FACILITIES DISTRICT NO. 2 (PUBLIC SERVICES) CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA

The City of Roseville is considering the formation of a community facilities district (the "CFD") under the authority of the Mello-Roos Community Facilities Act of 1982, as amended, to fund certain Public Services related to new development within the City of Roseville, and to incur bonded indebtedness of the CFD.

This Notice contains a brief summary of the proposal, but you are referred to the City Council's Resolution of Intention to Form a Community Facilities District and Levy a Special Tax (No. 16-_____, adopted _____, 2016) for details of the proposal.

The proposal is to subject the property within the CFD to a special tax which will be used to pay for services of benefit to land within the CFD. Parcels in the CFD will remain subject to the Special Tax in perpetuity. The proceeds of the special tax will be used to pay for the services and other expenses set forth in the resolution referred to in the preceding paragraph. A map showing the land proposed to be included in the CFD is on file with the City Clerk.

In order to confer the authority upon the City Council of the City of Roseville to levy the special tax, a public hearing must be held on the proposal, then the City Council will decide whether to form the CFD, and finally the qualified electors within the CFD must approve the proposal by a two-thirds vote. Where the CFD is uninhabited (as is the case here) the qualified electors are, pursuant to law, the owners of property within the CFD.

This is the notice of the public hearing. The public hearing will be held during the City Council meeting on Wednesday, _____, 2016 at 7:00 p.m., in the Roseville Civic Center Council Chambers located at 311 Vernon Street, Roseville, California 95678.

At the hearing, the testimony of all interested persons or potential special taxpayers for or against the formation of the CFD and the authorization to levy the special tax will be heard. If written protests against the proposed CFD are delivered to the City Clerk at or before the time set for the hearing by either registered voters residing within, or the owners of property within, the proposed CFD, they will be counted toward a possible majority protest. Such protests by a majority of the registered voters residing within the CFD or by the owners of a majority of the land area within CFD which is or will be subject to the special tax, if not withdrawn prior to the close of the hearing so as to reduce the value of the protests to less than a majority, will require the proposed CFD to be eliminated from immediate consideration, and prevent its being included in a subsequent proceedings for at least one year.

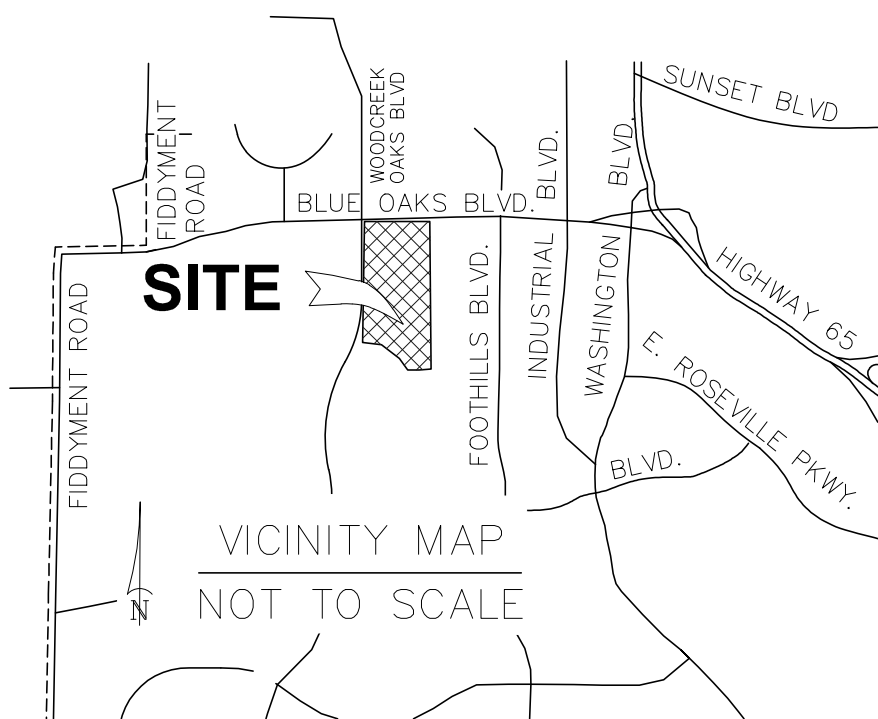
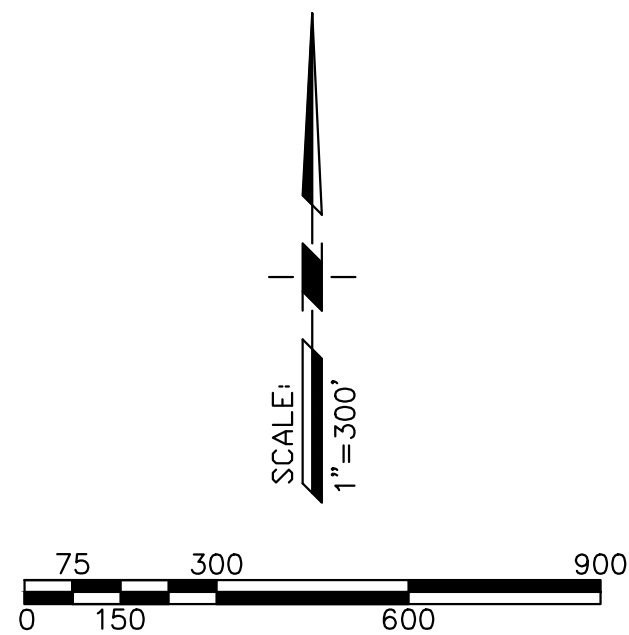
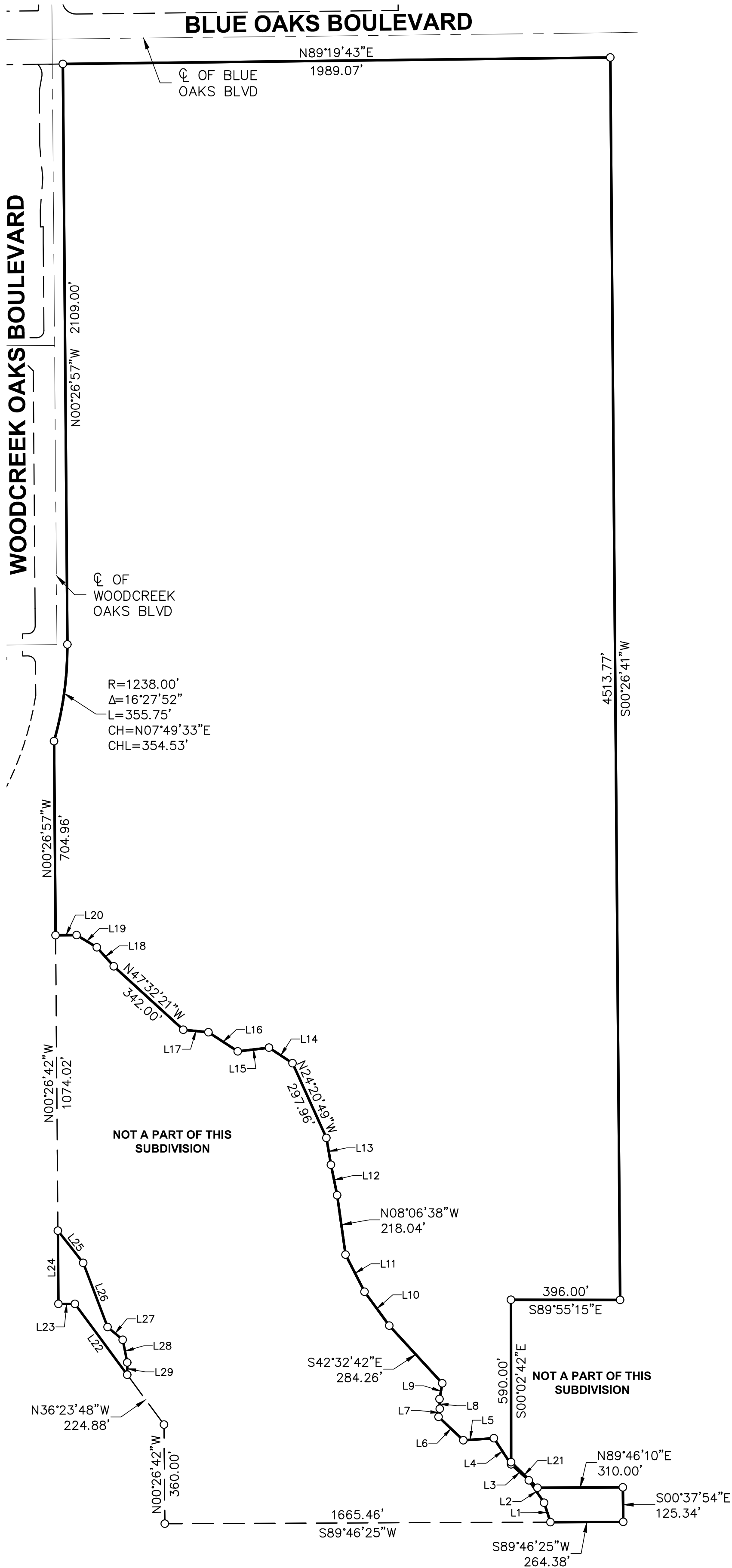
If the Council, after the public hearing, determines that a majority protest under Section 53324 of the California Government Code was not made at the hearing, the Council may conduct an election by mailed or hand-delivered ballot to levy a special tax.

Questions should be directed to the undersigned, telephone (916) 774-5263.

Dated: _____, 2016

/s/ Sonia Orozco
Sonia Orozco, City Clerk
City of Roseville

PROPOSED BOUNDARIES OF
HP CAMPUS OAKS
COMMUNITY FACILITIES
DISTRICT NO. 2
(PUBLIC SERVICES)
CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
SCALE: 1"=300' DATE: MAY 9, 2016



LEGEND

- BOUNDARY OF ANNEXATON
 - DIMENSION POINT
- TOTAL AREA OF ANNEXATION = 189.911 ACRES

Filed in the office of the City Clerk of the City of Roseville this _____ day of _____, 2016.

City Clerk

I hereby certify that the within map showing proposed boundaries of Community Facilities District No. 2 (Public Facilities) City of Roseville, County of Placer, State of California, was approved by the City Council of the City of Roseville, at a meeting thereof, held on the _____ day of _____, 2016, by its Resolution No. _____

City Clerk

Filed this _____ day of _____, 2016, at the hour of _____ o'clock p.m., in Book _____ of Maps of Assessment and Community Facilities Districts, at Page _____ in the office of the County Recorder in the County of Placer, State of California.

County Recorder,
County of Placer



COUNCIL COMMUNICATION

CC #: 7697
File #: 0109-02

Title: Street Closure Request - Courage Run 2016 - June 11, 2016
Contact: Jamie Hazen 916-774-5978 jhazen@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.24.

RECOMMENDATION TO COUNCIL

Recommend City Council adopt the resolution authorizing the City Manager to sign the agreement with Courage Worldwide, Inc., a non-profit corporation, for this special event and temporary street closures and approve the following closures from 8:00 a.m. – 10:30 a.m. on Saturday, June 11, 2016 for the Granite Bay Courage Fun Run:

Westbound East Roseville Parkway between Sierra College Boulevard and the City limits up to the point in which Placer County jurisdiction commences.

See route map as Attachment A: The majority of the fun run takes place in Granite Bay. However; there is a portion of the route in the City of Roseville.

BACKGROUND

Courage Worldwide, Inc. is requesting a street closure in Roseville that is part of the Courage Fun Run route starting and ending at Granite Bay High School on Saturday, June 11, 2016. This is the third consecutive year for this event, managed by Rebecca Gordon. The event has been very successful in the past.

The majority of the fun run will take place on Placer County streets and bike-trails. The organizer and city staff have been working with traffic engineering staff to obtain an encroachment permit, red flash request and provide a traffic control plan.

No Roseville businesses will be impacted by the road closure and residents in the surrounding community have been notified by the event organizer.

The fun run organizer has contracted with the CHP to provide traffic control throughout the run route that includes the intersection of Sierra College Boulevard and East Roseville Parkway.

FISCAL IMPACT

The applicant has agreed to reimburse the City for all costs associated with this event.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

To safely accommodate the event requires temporary street closure (East Roseville Parkway between Sierra College Boulevard and the eastern City limits). The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3). Because the request for approval of the Temporary Street Closure Agreement does not include the potential for a significant environmental effect, it is not subject to CEQA and no further action is required.

Respectfully Submitted,

Jamie Hazen, Community Relations Analyst

Dion Louthan, Parks, Recreation & Libraries Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

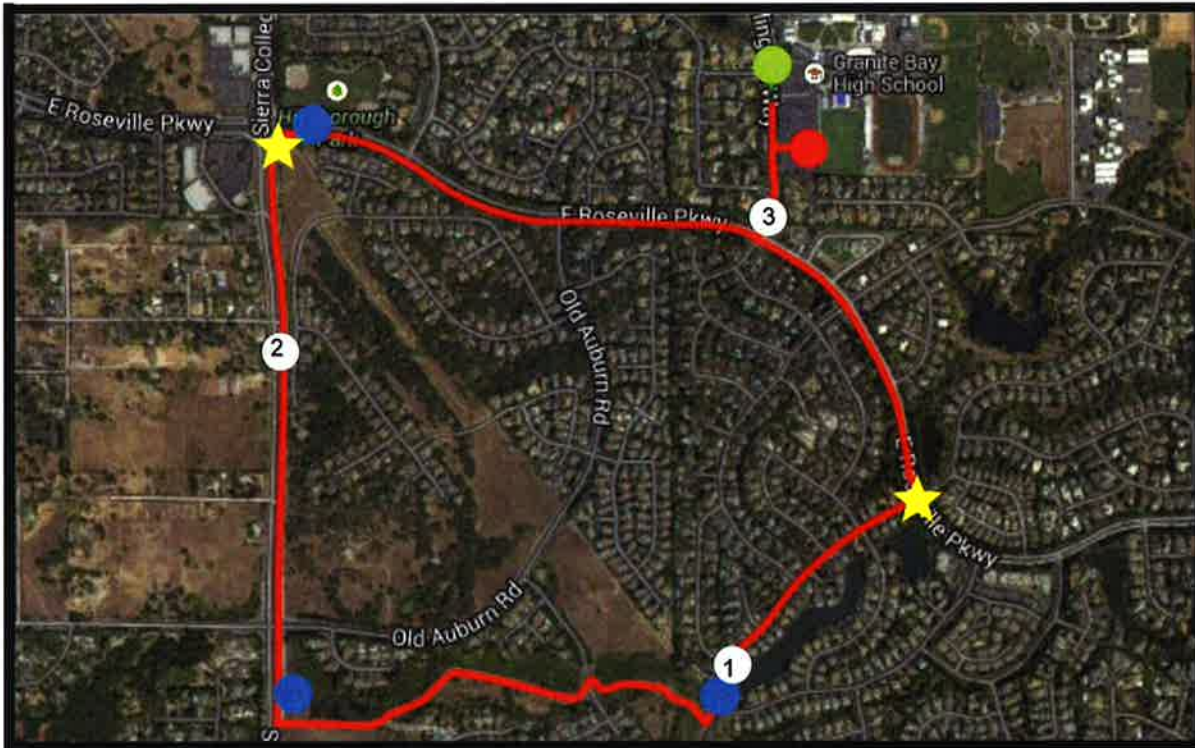
Attachment A Courage Run Map

Resolution No. 16-201

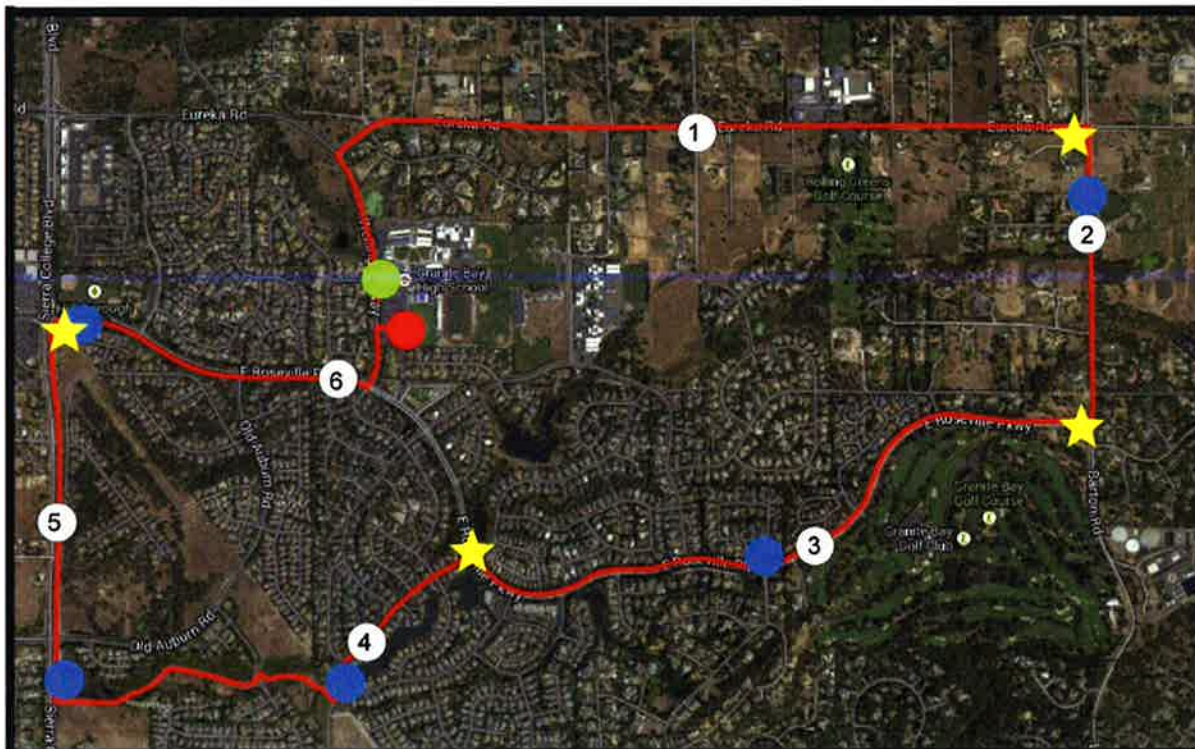
Courage Run Street Closure Agreement

GRANITE BAY COURAGE RUN 2016

- ★ CHP
- Aid Station
- Start
- Finish



5K



10K

RESOLUTION NO. 16-201

APPROVING AN AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND
COURAGE WORLDWIDE, INC., AND AUTHORIZING THE CITY MANAGER TO
EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, an Agreement for Special Event and Temporary Street Closure for the
Courage Run Event, by and between the City of Roseville and Courage Worldwide, Inc., has
been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that
said agreement is hereby approved and that the City Manager is authorized to execute it on
behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of
_____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

AGREEMENT FOR SPECIAL EVENT AND
TEMPORARY STREET CLOSURE

Event: Courage Run

THIS AGREEMENT is made and entered into this ____ day of _____, 20____ by and between the City of Roseville, a municipal corporation ("CITY"); and Courage Worldwide, Inc., a California non-profit corporation ("PROMOTER"), and

W I T N E S S E T H:

WHEREAS, PROMOTER has requested the closure of certain public streets for the Courage Run ("Event") to be held on June 11, 2016; and

WHEREAS, CITY approves said request subject to the following terms and conditions.

NOW, THEREFORE, the parties agree as follows:

1. PROMOTER shall comply with all applicable provisions of the Roseville Municipal Code.
2. The following streets may be closed to traffic between 8:00 a.m.; and 10:30 a.m. on Saturday, June 11, 2016:
 - a. Westbound East Roseville Parkway, between Sierra College Blvd. and the City limits up to the point in which Placer County jurisdiction commences; and
3. Adequate barriers and warning signs, as requested by the Public Works Director/City Engineer, shall be placed by City personnel at all street entrances and alleys leading into the closed area. Applicant may place barriers and warning signs if a

Traffic Control Plan is submitted and accepted by Public Works staff. No markings of any kind will be permitted on the street, street lights, or electrical poles.

4. All debris, booths, exhibits, or other materials resulting from the Event shall be completely removed and all streets and alleyways shall be reopened to traffic by 1:00 p.m. on the date of the Event.

5. PROMOTER shall notify in writing all businesses, located on the streets to be closed, of the Event and planned street closure not later than five (5) days in advance of the Event.

6. To the fullest extent allowed by law, PROMOTER shall defend, indemnify, and save and hold harmless CITY, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from, arising out of or in connection with the Event or the attendant street and/or garage closures, except those matters arising from CITY's sole negligence or willful misconduct. The parties intend that this provision shall be broadly construed.

PROMOTER's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

7. The PROMOTER shall obtain insurance covering the Event in accordance with the attached insurance requirements, which are incorporated herein by this reference.

8. CITY reserves the right to cancel this Agreement if PROMOTER has knowingly made a false statement of material fact or has knowingly omitted to state a material fact to CITY.

9. No alcoholic beverages shall be sold on City streets or property.

10. PROMOTER shall reimburse CITY for all staff costs incurred relating to this event including, but not limited to, street closure costs.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20__, and PROMOTER has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation

COURAGE WORLDWIDE, INC.,
a California non-profit corporation

BY: _____
ROB JENSEN
City Manager

BY: Johnny W. Miamson
its: CEO

ATTEST:

AND

BY: _____
SONIA OROZCO
City Clerk

BY: J. M. C.
its: Operation Director

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY: Dion Louthan
DION LOUTHAN
Parks, Recreation & Libraries Director



COUNCIL COMMUNICATION

CC #: 7730

File #: 0721-05 & 1002

Title: Harding to Royer Bike Trail Segment 3 Project - Agreement for Sale of Real Property
Contact: Cathy Gosalvez 916-746-1300 cgosalvez@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.25.

RECOMMENDATION TO COUNCIL

Staff recommends that City Council:

1. Approve the Purchase and Sales Agreement for the purchase of real property from Kevin Kemper and Colleen Kemper in the amount of \$22,800 for 3,769 s.f. of fee acquisition and 1,487 s.f. of temporary construction easement;
2. Approve the Purchase and Sales Agreement for the purchase of real property from Steve Crouch and Judy Crouch in the amount of \$39,385 for 1,749 s.f. of fee acquisition and 1,632 s.f. of temporary construction easement;
3. Adopt the attached resolutions authorizing the City Manager to sign the agreements on behalf of the City.

BACKGROUND

The Harding Boulevard to Royer Park Bikeway is identified in the Bicycle Master Plan as a priority project. This Class I bikeway is located along the north side of Dry Creek and will connect the Miner's Ravine Bike Trail to Royer Park. Project construction has been phased into three segments due to funding and right-of-way acquisitions.

Segment 1 provided a Class 1 Bikeway connection from Miner's Ravine Trail at Harding Boulevard to East Street. This segment also included the construction of the Dry Creek Bridge, located immediately to the west of the Harding Boulevard Bridge. Construction of this segment was completed in November 2008.

Segment 2 continued the Class 1 Bikeway from East Street to Folsom Road, providing an important connection between the Miners Ravine Bike Trail and the downtown area. Construction of Segment 2 was completed in November 2010.

Segment 3 will provide a Class 1 Bikeway connection from Folsom Road to the bike trail that was constructed at the rear of relocated Fire Station No. 1 on Lincoln Street. Construction of this segment requires the purchase of additional right-of-way from three property owners. Two of

those property owners are Mr. and Mrs. Kemper and Mr. and Mrs. Crouch.

The City of Roseville obtained the services of Sierra West Valuation to prepare the appraisal reports that determined the "Fair Market Value" for the acquisitions. The City also obtained the services of Interwest Consulting Group to negotiate the acquisition agreements on the City's behalf with the Kempers and Crouches. The required acquisition from the Kempers consists of 3,769 square feet in fee and 1,487 square feet of temporary construction easement. The acquisition amount for the in fee property and temporary construction easement from the Kempers is \$22,800. The required acquisition from the Crouches consists of 1,749 square feet in fee and 1,632 square feet of temporary construction easement. The acquisition amount for the in fee property and temporary construction easement from the Crouches is \$39,385.

Project construction is planned to occur in summer 2017.

FISCAL IMPACT

The project is being funded with Transportation Development Act (TDA) funds and Congestion Mitigation and Air Quality (CMAQ) funds. Sufficient funds exist in the approved budget to acquire the needed right-of-way and easements.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on the Federal guideline of one job created for every \$92,000 in government spending, the execution of the Agreement will create approximately two thirds of a job.

ENVIRONMENTAL REVIEW

CEQA compliance for this trail project was achieved in 2004 with certification of the Harding Boulevard to Royer Park Bikeway Project Final EIR (State Clearinghouse No. 2000122078). No further CEQA action is required to implement the project.

Respectfully Submitted,

Cathy Gosalvez, Assistant Engineer

Rhon Herndon, Public Works Director



Rob Jensen, City Manager

ATTACHMENTS:**Description**

Resolution No. 16-218

Harding to Royer Seg 3 Purch Agmt Kemper

Resolution No. 16-219

Harding to Royer Seg 3 Purchase Agmt Crouch

RESOLUTION NO. 16-218

APPROVING AN AGREEMENT FOR SALE OF REAL PROPERTY, BY AND BETWEEN
THE CITY OF ROSEVILLE AND KEVIN KEMPER AND COLLEEN KEMPER, AND
AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF
ROSEVILLE

WHEREAS, an agreement for sale of real property regarding the Harding to Royer Bike Trail Segment 3 Project, by and between the City of Roseville Kevin Kemper and Colleen Kemper, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville; and

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

AGREEMENT FOR SALE OF REAL PROPERTY

THIS AGREEMENT, made and entered into this _____ day of _____, 20____, by and between the **CITY OF ROSEVILLE, a municipal corporation**, as grantee ("CITY") and **KEVIN KEIL KEMPER AND COLLEEN NORMA KEMPER, Trustees of the Kemper Family 2014 Revocable Trust**, as grantor ("GRANTOR"),

W I T N E S S E T H:

WHEREAS, GRANTOR is the owner of certain real property identified as Assessor Parcel Number 013-101-004 located in the City of Roseville, County of Placer (the "Property"); and

WHEREAS, the CITY desires to acquire a portion of such property in Fee Simple interest as described in the attached legal description marked Exhibit "A-1", and depicted on the attached plat map marked Exhibit "B-1", which is attached hereto and incorporated herein by reference for the purpose of constructing the Harding Boulevard to Royer Park Bikeway Project ("the Project"); and

WHEREAS, to facilitate construction of the Project, CITY desires to acquire a temporary construction easement as described in the attached legal description marked Exhibit "A-2" and depicted on the attached plat map marked Exhibit "B-2", which is attached hereto and incorporated herein by reference; and

WHEREAS, GRANTOR agrees to sell and CITY agrees to buy the fee simple interest and the temporary construction easement (collectively "the Subject Property"), pursuant to the terms and conditions set forth in this Agreement; and

WHEREAS, in connection with the Project, CITY intends to relocate access to the rear of GRANTOR's property. CITY will attempt to purchase on GRANTOR's behalf an appurtenant, non-exclusive ingress and egress easement (the "Easement"), on an adjacent property, identified by Assessor Parcel Number 013-101-013 (the "Crouch Property"), as described in the attached legal description marked Exhibit "A-3" and depicted on the attached plat map marked Exhibit "B-3", which is attached hereto and incorporated herein by reference. The Easement is for the benefit and use of GRANTOR, in addition to the owners, successors and assigns of the Crouch Property. The Easement shall be appurtenant to GRANTOR's property as described in the attached legal description marked Exhibit "A-4"; and

WHEREAS, CITY owns property west of the GRANTOR, identified as Assessor Parcel Number(s) 013-101-014 and 013-101-016 (the "City Property") and is currently in the design phase, for use as a fire station and adjoining public parking lot ("City Improvements"). Construction of the City Improvements is expected to commence in 2016, with completion estimated at early to mid-2017. Upon completion of the City Improvements, or within two years of the Effective Date of this Agreement, whichever occurs first, CITY shall convey to GRANTOR an appurtenant, non-exclusive Ingress and Egress Easement (the "City Easement"), in the approximate location as

shown on Exhibit "B-5" for the benefit and use of GRANTOR for secondary access purposes, in addition to the owners, successors and assigns of the Crouch Property (APN 013-101-013). The City Easement shall be appurtenant to GRANTOR's property as described in the attached legal description marked Exhibit "A-4"; and

WHEREAS, prior to completion of the City Improvements, GRANTOR shall continue to enjoy secondary access to the rear of its property via the adjacent easterly property, identified as Assessor Parcel Number 013-101-021 (the "McCurry Property"). Such access rights were established by recordation of the Grant Deed marked Exhibit "A-6". Upon conveyance of both the Easement, and City Easement, GRANTOR shall quitclaim such access rights in the McCurry Property to CITY; and

WHEREAS, GRANTOR agrees to sell and CITY agrees to buy the Subject Property pursuant to the terms and conditions set forth in this Agreement.

NOW, THEREFORE, the parties agree as follows:

1. Purchase Price. GRANTOR agrees to sell, and CITY agrees to buy, the Subject Property for the sum of Twenty Two Thousand Eight Hundred Dollars (\$22,800.00) ("Purchase Price"), which the parties agree includes compensation for any and all improvements located within the Subject Property and any severance damages, loss of goodwill damages, relocation assistance payments, costs, or attorney fees, except as provided herein.
2. Conveyance. GRANTOR has executed, or will execute prior to the Close of Escrow, as defined herein, the Grant Deed and Temporary Construction Easement in the format satisfactory to the City Attorney of CITY (collectively "Deeds"), conveying the Subject Property to CITY.
3. Escrow. CITY has opened an escrow account for this transaction with Fidelity National Title Company, 1375 Exposition Blvd, Suite 240, Sacramento, CA 95815, and Attention: Paul Avila ("the Title Company") under Escrow #11-5010866-PA as described in the Escrow Instructions attached hereto as Exhibit "C-1". Prior to the Close of Escrow, GRANTOR shall deliver the Deeds to the Title Company. Prior to the Close of Escrow, CITY shall deposit with the Title Company the Purchase Price for the account of GRANTOR, conditioned upon the Subject Property vesting in CITY free and clear of all liens, leases, encumbrances, assessments, easements, bonds, judgments and debts secured by Deeds of Trust or mortgages except as indicated in the Escrow Instructions, as well as the Easement on the Crouch Property. If for any reason escrow fails to close as set forth herein, including termination of this Agreement, the Title Company shall return all funds and documents to the party that submitted such funds or documents.
4. Title Approval. CITY's disapproval of any exception shown in the title reports are set forth in Exhibit "C-1", the Escrow Instructions. GRANTOR shall notify City within fifteen (15) working days of the Effective Date of this Agreement if it elects not to remove or is unable to remove any disapproved exception. CITY shall have five (5) working days after notification of such action either (i) to terminate this Agreement or (ii) to waive its disapproval of such exception and agree to purchase the Subject Property subject to the disapproved exception. If CITY fails to notify GRANTOR, in writing, within five (5) working days of its election pursuant to this paragraph, CITY shall be deemed to have elected to terminate this Agreement. In the event of termination of this Agreement, the parties shall have no obligations hereunder. Any exception which CITY has

approved hereunder, either by CITY's approval, CITY's failure to disapprove such exception, or by CITY's waiver of disapproval, shall be deemed a "Permitted Exception".

5. Discharge of Obligations. The Title Company may expend any and all money payable to GRANTOR under this Agreement to discharge any obligations (except those identified in the Escrow Instructions as being taken subject to) which are liens upon the Subject Property, including but not limited to, those arising from judgments, assessments, delinquent taxes (other than for the fiscal year referred to in the Escrow Instructions), or debts secured by deeds of trust or mortgages or to defray any other incidental costs other than those specified in the escrow instructions to be borne by CITY. Any money made payable pursuant to a deed of trust shall be made payable to the beneficiary entitled to the money pursuant to the deed of trust; provided, however, that the beneficiary shall first furnish GRANTOR and the Title Company with a good and sufficient receipt showing the money to be credited against the indebtedness secured by the deed of trust.

6. Allocation of Taxes and Assessments. Taxes for the fiscal year in which the escrow closes shall be cleared and paid for in the manner required by Section 5086 of the Revenue and Taxation Code. As a deduction from the amount shown in Section 1, above, the City shall be authorized to pay any delinquent taxes due in any fiscal year, except the fiscal year in which this escrow closes, together with penalties and interest thereon. If GRANTOR has prepaid any taxes which are cancelled pursuant to Section 5086 as to the Subject Property, GRANTOR may seek any refunds to which it is entitled from such taxing agencies. If the Subject Property is subject to any assessments which are not subject to cancellation under Revenue and Taxation Code section 5086, such assessments shall be prorated as of the date of apportionment. GRANTOR shall pay all taxes and assessments outstanding up to the date of apportionment, if any, as to the Subject Property.

7. Escrow Costs. CITY shall pay all escrow fees, recording fees and title insurance charges incurred in this transaction.

8. Date of Possession. The right of possession and use of the Subject Property by the CITY, including the right to remove and dispose of improvements, shall commence upon the Effective Date of this Agreement, and the amount shown in Paragraph 1 of this Agreement includes, but is not limited to, full payment for such possession and use, including damages, if any, from said date.

9. Title and Escrow Closing. Title to the Subject Property shall pass immediately upon Close of Escrow. Escrow shall close no later than sixty (60) days after the Effective Date of this Agreement (the "Close of Escrow").

10. Escrow Instructions. A copy of this Agreement shall be delivered to the Title Company by CITY and shall have Escrow Instructions attached. The issuance of any additional escrow instructions shall be the sole responsibility of CITY, but must be signed by GRANTOR to be valid and enforceable and approved by the City Attorney.

11. Time. Time is of the essence of this Agreement.

12. No Existing Tenancy.

GRANTOR warrants there are no oral or written leases on all or any portion of the Subject Property, with the exception of Acorn Arboricultural Services, Inc. ("Lessee"). GRANTOR agrees to defend, indemnify and hold CITY harmless for any damages, losses and/or expenses occasioned by reason of any lease of all or a portion of the Subject Property, with the exception of current Lessee. GRANTOR agrees not to assign, transfer or sell to any third party any right, title or interest GRANTOR has in the Subject Property. The parties acknowledge that, upon acquisition by CITY, any lease, tenancy or occupancy shall terminate as to that part of the lease, tenancy or occupancy being acquired by CITY pursuant to this Agreement. Further, if there exists either recorded or unrecorded leases, the parties agree that at CITY's sole discretion, escrow shall not close and this Agreement shall become all or in part null and void if the Title Company fails to receive adequate documentation (such as a quitclaim deed and/or Tenant Consent) establishing that lessee has agreed to claim no interest in the Subject Property or in any compensation for the Subject Property, and further enables CITY to obtain sufficient title insurance.

13. Project. GRANTOR understands that the acquisition of the Subject Property is in connection with the construction, operation and maintenance by CITY of the Project, and that the payment of the money provided by this Agreement constitutes the entire consideration for the sale. GRANTOR hereby waives and releases CITY from any obligation, claim or damage on account of the grant of the Subject Property to CITY or on account of the location or construction of the Project.

14. Hazardous Substances. Neither GRANTOR, nor to the best of GRANTOR's knowledge, any third party, has engaged in the generation, use, manufacture, storage, treatment, transportation, or disposal of any "hazardous substance" on the Subject Property. In addition, neither GRANTOR, nor to the best of GRANTOR's knowledge, any third party has received any form of notice of violation of any "applicable environmental law" with respect to the Subject Property, including any groundwater.

For purposes of this Agreement, the term "hazardous substance" means either any petroleum product or any substance, chemical, or waste that is listed as hazardous, toxic or dangerous under any applicable environmental law.

For purposes of this Agreement, the term "applicable environmental law" means the Comprehensive Environmental Response Compensation and Liability Act ("CERCLA"), 42 USC §9601 et seq.; the Resource Conservation and Recovery Act ("RCRA"), 42 USC 6901 et seq.; the federal Water Pollution Control Act, 33 USC §1251 et seq.; the Clean Air Act, 42 USC §7401 et seq.; the Hazardous Materials Transportation Act, 49 USC §1471 et seq.; the Toxic Substances Control Act, 15 USC §§2601-2629; and the Safe Drinking Water Act, 42 USC §300f-300j; as each has been amended from time to time; or any similar state and local laws or ordinances or regulations implementing such statutes.

15. Flood Control Act. GRANTOR discloses that the Subject Property is located in a "flood zone," as set forth on F.E.M.A. or H.U.D. "Special Flood Zone Area Maps."

16. Special Studies Zone Act. GRANTOR discloses that the Subject Property is not situated in a Special Study Zone as designated under the Alquist-Priolo Special Studies Zone Act, Sections 2621-2630, inclusive, of the California Public Resources Code, or otherwise in an area of the high geologic hazard ("Geologic Zone").

17. Testing. If applicable, CITY may at all reasonable times enter upon the Subject Property and conduct tests, take soil or water samples or soil borings to determine if hazardous substances exist. If, such testing detects the existence of a hazardous substance, CITY may, at its sole option, rescind and terminate this Agreement and cancel the escrow. Provided, however, that CITY shall exercise said option not later than sixty (60) days after the Effective Date of this Agreement or the Close of Escrow whichever occurs first.

18. Construction Work by CITY. CITY shall perform, at no expense to GRANTOR, the construction work identified on the plans titled "Harding Boulevard to Royer Park Bikeway Project". A copy of the plans can be viewed at the CITY'S Permit Center located at 311 Vernon Street, Roseville, CA 95678. CITY and its authorized agents or contractors are hereby granted permission to enter onto GRANTOR'S remainder property to perform such construction work.

19. GRANTOR's Warranties.

GRANTOR hereby represents and warrants to CITY:

A. Contracts:

That there are no service contracts or other contractual obligations (except which GRANTOR has disclosed to CITY) upon which GRANTOR is obligated pertaining to the Subject Property, except those which can be terminated upon not more than thirty (30) days' notice.

B. Documents Delivered:

That all documents delivered to GRANTOR by CITY pursuant to the terms of this Agreement are complete.

C. Special Assessments or Condemnation:

That there are not presently pending any special assessments or condemnation actions against the Subject Property, or any part thereof, nor has GRANTOR received any notice of any special assessments or condemnation actions being contemplated except as otherwise listed on the Escrow Instructions.

D. Leasing Commissions:

That there is no outstanding leasing commission's payable for any lease as to the Subject Property and there are no obligations to pay any leasing commission for any renewal of the tenancy of any of the tenants or for any new lease negotiated prior to Close of Escrow.

E. Title:

1) Encumbrances:

Except for those specifically listed in the Preliminary Title Report dated July 22nd, 2015 and stated as Permitted Exceptions in the Escrow Instructions, to GRANTOR's best knowledge, the Subject Property is free and clear of all liens, encumbrances, claims, rights, demands, easements, leases, agreements, covenants, conditions, and restrictions of any kind.

2) Encroachments:

Except for those specifically detailed on the attached, Exhibits "B-1", "B-2" and "B-3" plats, to GRANTOR's best knowledge, there are no encroachments on the Subject Property from adjoining property, and the Subject Property does not encroach on any adjoining property, easements, or streets.

F. Litigation:

That GRANTOR is not involved in or aware of any pending or threatened litigation which does or will affect the Subject Property. There are no actions or proceedings pending or threatened against GRANTOR before any court or administrative agency in any way connected with or relating to the Subject Property, or affecting GRANTOR's ability to fulfill all of its obligations under this Agreement.

G. Indemnification:

That GRANTOR shall indemnify and hold CITY harmless from any liability, loss or claim for damages, including reasonable costs and attorneys' fees, arising from any lease as to the Subject Property accruing prior to the Close of Escrow; arising out of any theft, damage, or injury to any tenants on the Subject Property or their personal property, and occurring prior to Close of Escrow; or arising out of the breach by GRANTOR of the covenants or any warranties or the falsity of any of the representations set forth in this Agreement.

H. Survival:

That GRANTOR's representations, warranties and covenants contained in this paragraph shall survive Close of Escrow and the recordation of the Grant Deed.

I. Authority:

That GRANTOR has full power and authority to execute and deliver this Agreement and to consummate the transactions contemplated by this Agreement.

J. Lease Back Rights:

That GRANTOR waives any notice or right of first refusal pursuant to Code of Civil Procedure section 1245.245 and any lease-back rights under Code of Civil Procedure section 1263.615.

K. Brokers:

That no person or entity can properly claim a right to a commission, finder's fee, or other compensation with respect to the transaction contemplated by this Agreement. If any broker or finder makes any claim for a commission or finder's fee, GRANTOR shall indemnify, defend and hold CITY harmless from all liabilities, expenses, losses, damages or claims (including reasonable attorneys' fees) arising out of such broker's or finder's claims.

L. Advice of Professionals:

GRANTOR has had the opportunity to be advised by their own legal counsel and professionals in connection with this Agreement, and has obtained, and relies upon, such advice as GRANTOR deems appropriate.

20. Integrated Agreement. This is an integrated Agreement, and it contains all of the terms, considerations, understandings and promises of the parties. It shall be read as a whole. This Agreement may be amended, modified, changed or rescinded only by an instrument in writing, executed by the parties, except as provided herein.

21. Severability. If any provision in this Agreement is held by a court of competent jurisdiction to be invalid, void or unenforceable, the remaining provisions shall continue in full force without being impaired so long as the economic or legal substance of the transactions contemplated hereby is not affected in any manner adverse to either party. Upon such determination that any term or provision is illegal or incapable of being enforced, the parties hereto shall negotiate in good faith to modify this Agreement so as to effect the original intent of the parties as closely as possible in an acceptable manner to the end that transactions contemplated hereby are fulfilled to the greatest extent possible.

22. Attorney's Fees. In any action brought by either party to enforce the terms of this Agreement, the prevailing party shall be entitled to recover its reasonable attorney's fees.

23. Venue/Governing Law. Any action arising out of this Agreement shall be brought in Placer County, California regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

24. Binding Agreement. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the parties.

25. Joint Effort. This Agreement is the result of the joint efforts and negotiations of parties hereto, and no single party is the author or drafter of it. The parties agree that this Agreement shall be interpreted as though each of the parties participated equally in the composition of this Agreement and each and every part of it.

26. Notice. Any notices to parties required by this Agreement shall be delivered or mailed, U.S. first class, postage prepaid, addressed as follows:

CITY OF ROSEVILLE
Rhon Herndon, P.E.
Public Works Director
311 Vernon Street
Roseville, CA 95678

GRANTOR
Kevin and Colleen Kemper
129 Linda Drive
Roseville, CA 95678

Either party may amend its address for notice by notifying the other party in writing.

27. Special Provisions. The Special Provisions attached hereto as Exhibit "D-1," if any, are hereby incorporated, and made a part of this Agreement by this reference. In the event of any conflict or inconsistency between this Agreement and the Special Provisions, the terms of the Special Provisions shall prevail.

28. Further Assurances. Each party to this Agreement agrees to execute, acknowledge and deliver such further instruments as may be necessary or desirable to accomplish the intent and purpose of this Agreement.

29. Effective Date. This Agreement shall be effective upon full execution by all parties (the "Effective Date").

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has caused this Agreement to be executed in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the _____ day of _____, 20____, and GRANTOR has caused this Agreement to be duly executed.

GRANTEE:
CITY OF ROSEVILLE,
a municipal corporation

GRANTOR:
KEVIN KEIL KEMPER AND COLLEEN
NORMA KEMPER, Trustees of the Kemper
Family 2014 Revocable Trust

BY: _____
ROB JENSEN
City Manager

BY: Kevin Keil Kemper
its: Trustee

ATTEST:

BY: Colleen Norma Kemper
its: Trustee

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

RECOMMENDED FOR APPROVAL:

BY: _____
ROBERT R. SCHMITT
City Attorney

Julie Cline
JULIE CLINE
Right-of-Way Agent

APPROVED AS TO SUBSTANCE:

BY: Rhon Herndon
RHON HERNDON, P.E.
Public Works Director

Exhibits

- | | |
|------|--|
| A-1: | Legal Description of Fee Simple Property |
| A-2: | Legal Description of Temporary Construction Easement |
| A-3: | Legal Description of Easement |
| A-4: | Legal Description of Kemper Property |
| A-6: | McCurry Grant Deed |
| B-1: | Plat of Subject Property |
| B-2: | Plat of Temporary Construction Easement |
| B-3: | Plat of Easement |
| B-5: | City Property Easement Map |
| C-1: | Escrow Instructions |
| D-1: | Special Provisions |

Exhibit "A-1"
Fee Simple Legal Description

January 27, 2016

Exhibit "A"
Legal Description

All that real property situate in Section 1, Township 10 North, Range 6 East, City of Roseville, County of Placer, State of California, described as follows:

A portion of that parcel of land described in Grant Deed to Kevin Kemper from Genevieve J. McCleary recorded in Document 99-0020259 Official Records, Placer County, California.

BEGINNING AT A POINT on the southwesterly line of said parcel described in said Grant Deed to Kevin Kemper, from which the most westerly corner of said parcel bears North 44°53'33" West for a distance of 145.43 feet; thence North 49°44'35" East for a distance of 11.91 feet; thence along an arc to the left having a radius of 147.00 feet, an arc length of 16.07 feet through a central angle of 6°15'50"; thence North 43°28'47" East a distance of 23.54 to the northeasterly line of said parcel; thence said northeasterly line South 44°42'33" East a distance of 75.77 feet to the most easterly corner of said parcel; thence along the southeasterly line of said parcel South 49°43'31" West a distance of 51.38 feet to the most southerly corner of said parcel; thence along the southwesterly line of said parcel North 44°53'33" West a distance of 72.35 feet to the **POINT OF BEGINNING**.

Containing 3,769 sq. ft. (0.087 acres) more or less.

The basis of bearings for this description is the California State Plane Coordinate System of 1983 (CCS83), Zone 2.

Attached hereto is a plat labeled "Exhibit B" and by this reference made a part hereof.

This real property description has been prepared by me, or under my direction, in conformance with the Professional Land Surveyors Act.

END OF DESCRIPTION.



Dana J. Remington
Dana J. Remington, LS 8448

1-27-2016
Date

For and on behalf of ANDREGG GEOMATICS.

APN 013-101-004

Exhibit "B-1"
Fee Simple Plat Map

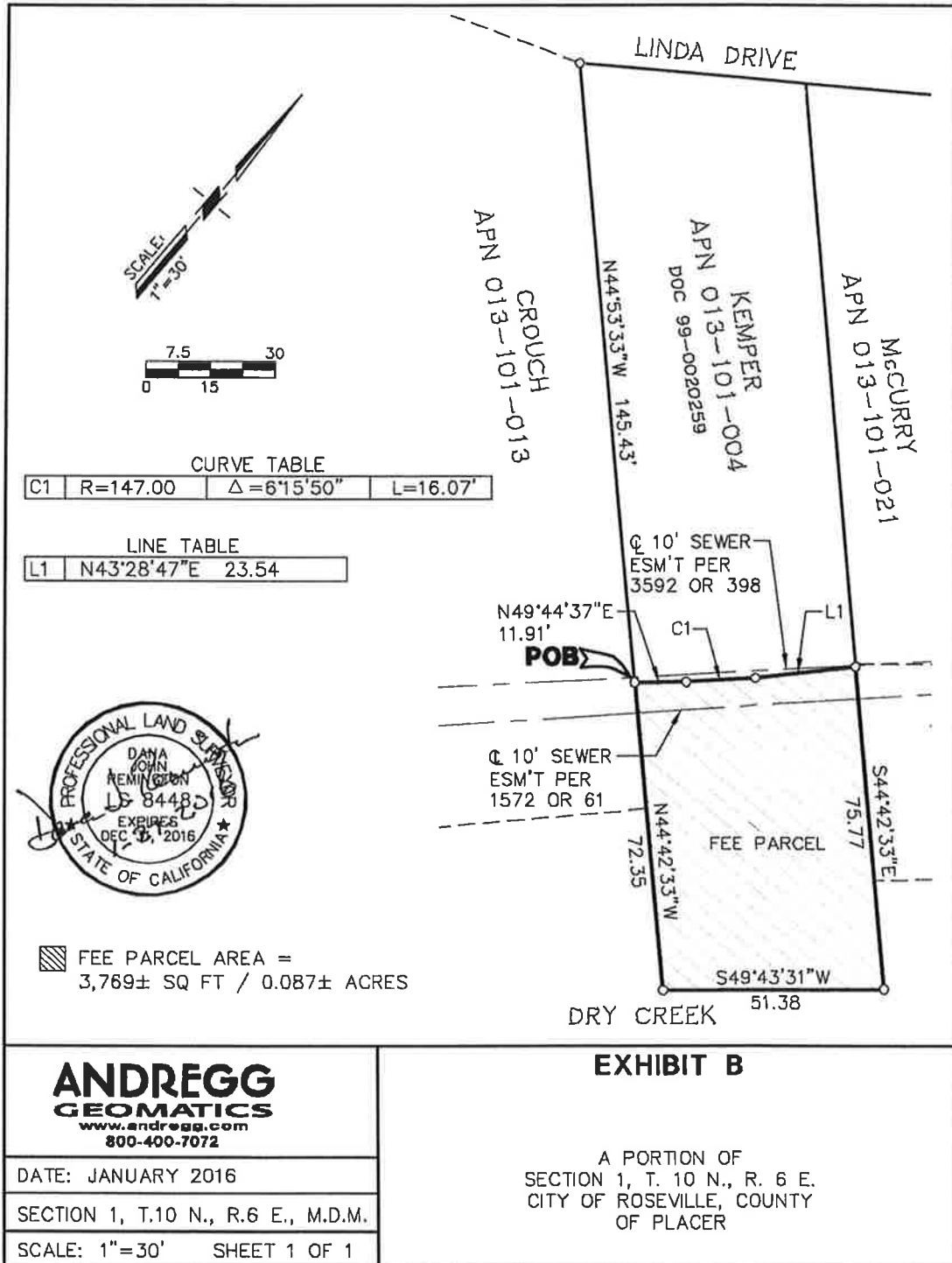


Exhibit "A-2"
Temporary Construction Easement Legal Description

January 27, 2016

Exhibit "A"
Temporary Construction Easement

All that real property situate in Section 1, Township 10 North, Range 6 East, City of Roseville, County of Placer, State of California, described as follows:

A portion of that parcel of land described in Grant Deed to Kevin Kemper from Genevieve J. McCleary recorded in Document 99-0020259 Official Records, Placer County, California.

BEGINNING AT A POINT on the southwesterly line of said parcel described in said Grant Deed to Kevin Kemper, from which the most westerly corner of said parcel bears North 44°53'33" West for a distance of 117.55 feet; thence North 45°06'27" East for a distance of 51.55 feet to a point on the northeasterly line of said parcel; thence along said northeasterly line South 44°42'33" East for a distance of 28.59 feet; thence South 43°28'47" West for a distance of 23.54 feet to the beginning of a tangent curve to the right concave northerly having a radius of 147.00 feet, an arc length of 16.07 feet through a central angle of 6°15'50" and a chord bearing South 46°36'42" West for a distance of 16.06 feet; thence South 49°44'37" West for a distance of 11.91 feet to a point on the southwesterly line of said parcel; thence along the southwesterly line of said parcel North 44°53'33" West for a distance of 27.88 feet to the **POINT OF BEGINNING**.

Containing 1,487 sq. ft. (0.034 acres) more or less.

The basis of bearings for this description is the California State Plane Coordinate System of 1983 (CCS83), Zone 2.

Attached hereto is a plat labeled "Exhibit B" and by this reference made a part hereof.

This real property description has been prepared by me, or under my direction, in conformance with the Professional Land Surveyors Act.

END OF DESCRIPTION.

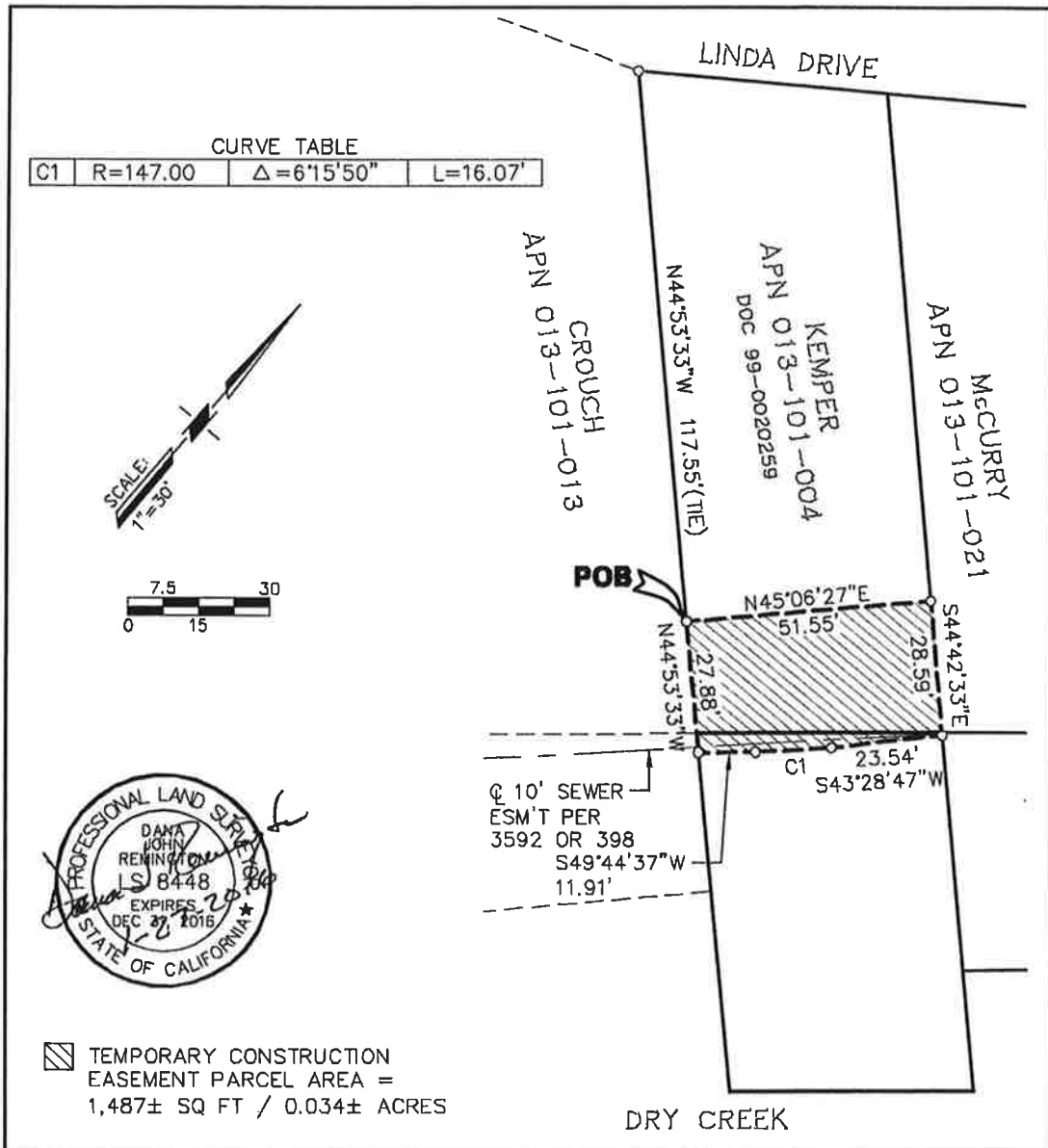
 1-27-2016
Dana J. Remington, LS 8448 Date



For and on behalf of ANDREGG GEOMATICS.

APN 013-101-004

Exhibit "B-2"
Temporary Construction Easement Plat Map



ANDREGG
GEOMATICS
 www.andregg.com
 800-400-7072

DATE: JANUARY 2016

SECTION 1, T.10 N., R.6 E., M.D.M.

SCALE: 1"=30' SHEET 1 OF 1

EXHIBIT B
TEMPORARY CONSTRUCTION EASEMENT

A PORTION OF
 SECTION 1, T. 10 N., R. 6 E.
 CITY OF ROSEVILLE, COUNTY
 OF PLACER

Exhibit "A-3"
Easement Legal Description

January 27, 2016

Exhibit "A"
Access Easement
Legal Description

All that real property situate in the City of Roseville, County of Placer, State of California, described as follows:

A portion of that parcel of land described in Grant Deed to Steve Crouch and Judy Crouch from Rex G. Langley recorded in Document 98-0013402 Official Records, Placer County, California.

BEGINNING AT A POINT on the southwesterly line of said parcel described in Grant Deed to Steve Crouch and Judy Crouch, from which the most westerly corner of said parcel bears North 44°53'33" West for a distance of 139.48 feet; thence along a non-tangent curve to the left concave northerly having a radius of 50.00 feet, an arc length of 33.02 feet through a central angle of 37°50'27" and a chord bearing North 68°39'50 East a distance of 32.43 feet; thence North 49°44'37" East for a distance of 14.77 feet; thence North 01°45'24" East for a distance of 14.52 feet to a point on the northeasterly line of said parcel; thence along the northeasterly line of said parcel South 44°53'33" East for a distance of 27.88 feet; thence South 49°44'37" West for a distance of 55.18 feet to a point on the southwesterly line of said parcel; thence along the southwesterly line of said parcel North 44°53'33" West for a distance of 27.60 feet to the **POINT OF BEGINNING**.

Containing 1093 sq. ft. (0.025 acres) more or less.

The basis of bearings for this description is the California State Plane Coordinate System of 1983 (CCS83), Zone 2.

Attached hereto is a plat labeled "Exhibit B" and by this reference made a part hereof.

This real property description has been prepared by me, or under my direction, in conformance with the Professional Land Surveyors Act.

END OF DESCRIPTION.

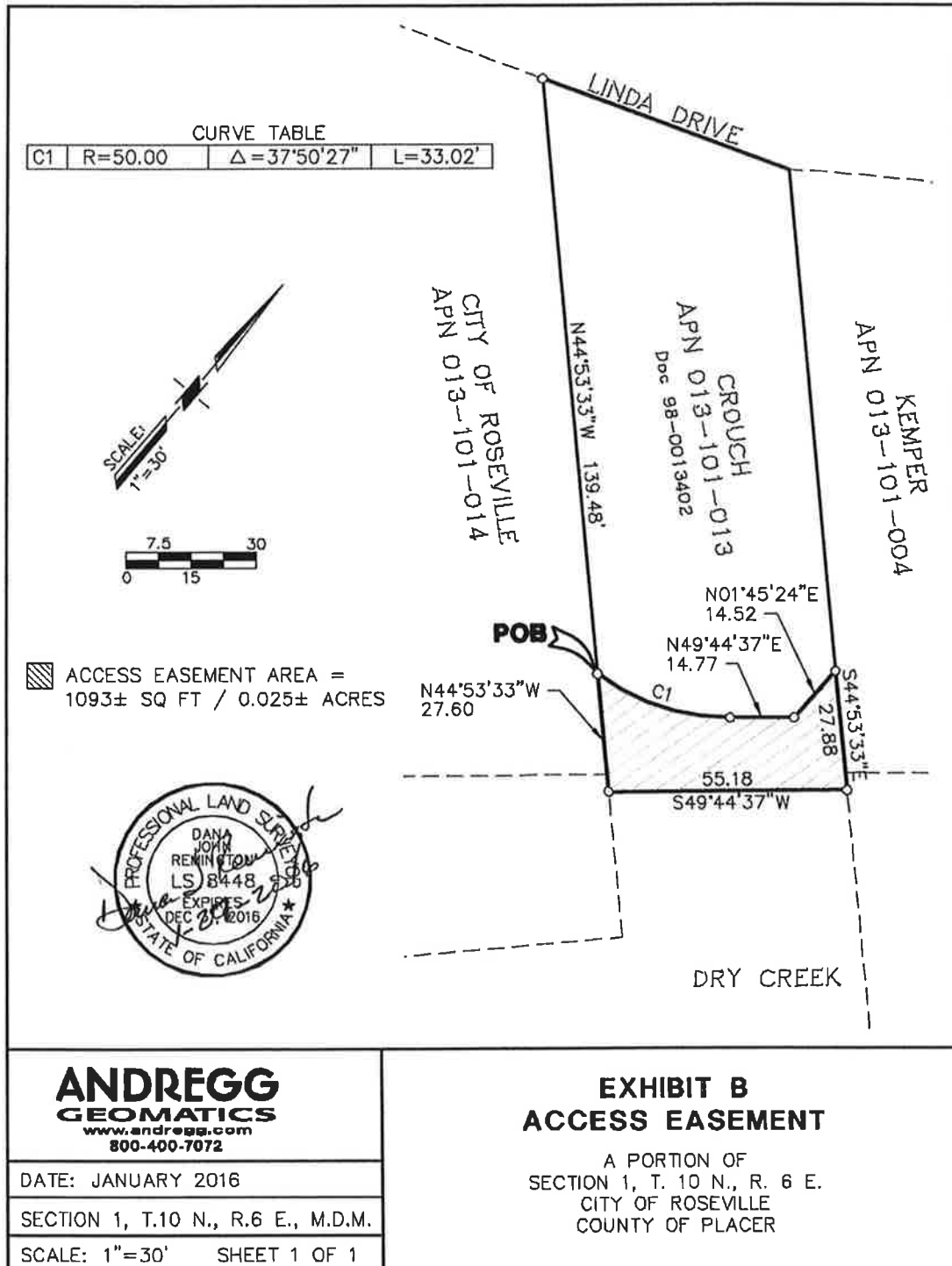
 1-27-2016
Dana J. Remington, LS 8448 Date



For and on behalf of ANDREGG GEOMATICS.

APN 013-101-013

Exhibit "B-3" **Easement Plat Map**



ANDREGG
GEOMATICS
www.andregg.com
800-400-7072

DATE: JANUARY 2016

SECTION 1, T.10 N., R.6 E., M.D.M.

SCALE: 1"=30' SHEET 1 OF 1

EXHIBIT B **ACCESS EASEMENT**

A PORTION OF
SECTION 1, T. 10 N., R. 6 E.
CITY OF ROSEVILLE
COUNTY OF PLACER

Exhibit "A-4"
Kemper Property Legal Description

THE LAND REFERRED TO HEREIN BELOW IS SITUATED IN THE CITY OF ROSEVILLE, COUNTY OF PLACER, STATE OF CALIFORNIA AND IS DESCRIBED AS FOLLOWS:

A portion of Section 1, Township 10 North, Range 6 East, Mount Diablo Meridian, and adjoining the Southeasterly line of that certain Subdivision known as "Butler Subdivision", and more particularly bounded and described as follows, to-wit:

Beginning at a point marking the most Westerly corner of the herein described parcel and which point of beginning is further described as being located in the Southeasterly line of a city street known as Linda Drive, said point being also common to the most Southerly corner of the aforesaid Butler Subdivision, as shown on the official map on file in the office of the County Recorder of Placer County and from which point of beginning the intersection of the Southeasterly line of said Linda Drive with the Southeasterly line of a city street known as Lincoln Street bears South 70° 43' West, 155.41 feet, South 68° 20' West, 108.26 feet and South 45° 19' West, 100.00 feet, running thence from said point of beginning, South 44° 41' East, 217.78 feet; thence North 49° 30' East, 51.35 feet; thence North 44° 30' West, 212.70 feet to a point in the Southeasterly line of the aforesaid Butler Subdivision and further described as being a point in the Southeasterly line of the aforementioned Linda Drive; thence South 54° 55' 30" West along the Southeasterly line of said Linda Drive, a distance of 52.64 feet to the point of beginning.

APN: 013-101-004-000

Exhibit "B-5"
City Property Easement Map

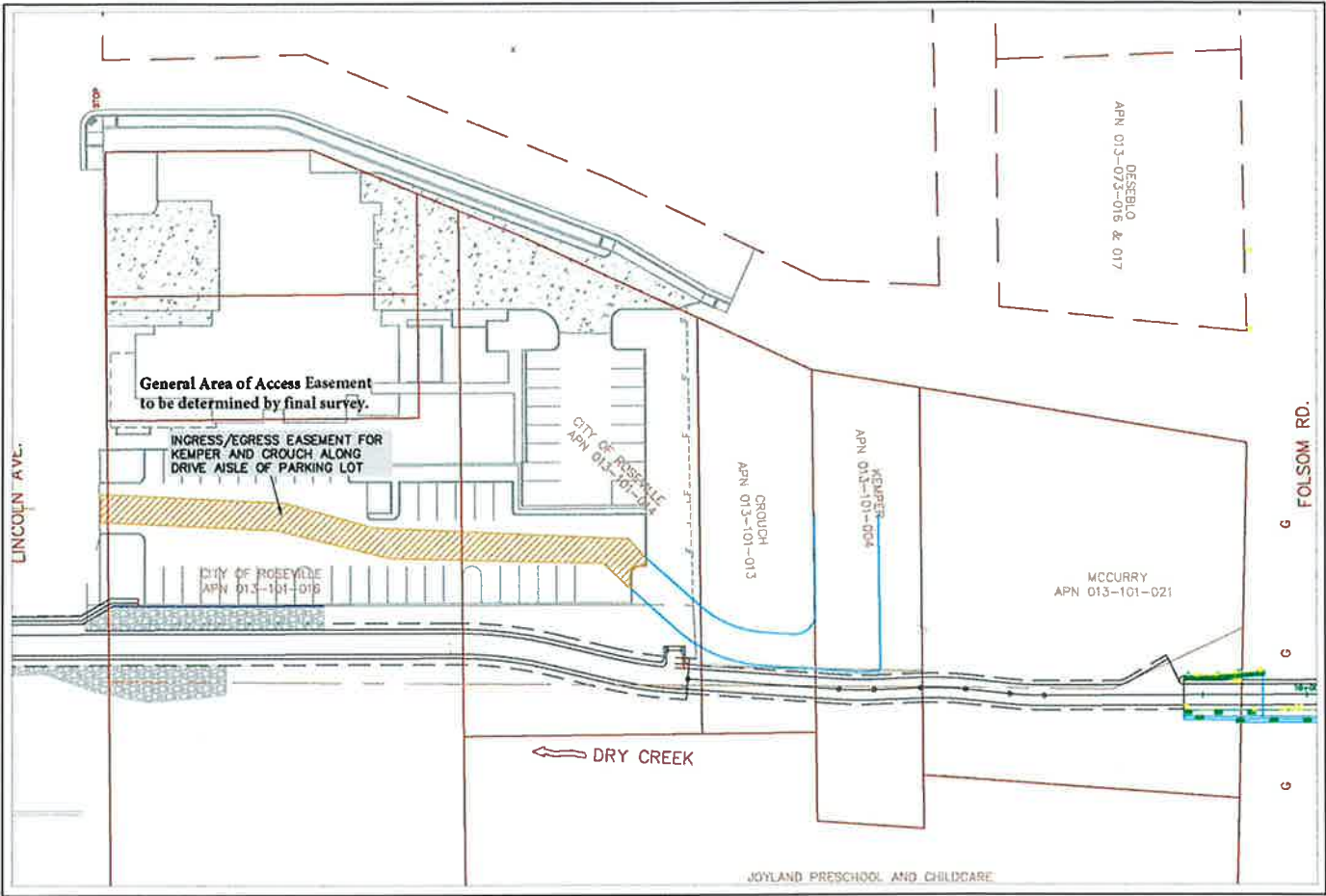


Exhibit "A-6"
McCurry Grant Deed

74932 GRANT DEED

Vol. 682 pp. 575

FOR VALUE RECEIVED, We, HARRY M. OTT and OLLIE OTT, his wife,
hereby grant to JAMES A. DENIO all that real property situate in the
City of Roseville, County of Placer, State of California, described
as follows:

All that real property situate, lying and being in Section
1, Township 10 North, Range 6 East, S.D.S. & M., and
adjoining the Southeastery line of that certain sub-
division known as Butler Subdivision and more particularly
bounded and described as follows, to wit:

Beginning at a point marking the most Westerly corner of
the herein described parcel and which point of beginning
is further described as being located in the Southeastery
line of a city street known as Linda Drive, said
point being also common to the most Southerly corner of
the aforesaid Butler Subdivision as shown on the official
map on file in the office of the County Recorder for
Placer County and from which point of beginning the
intersection of the Southeastery line of said Linda Drive
with the Southeastery line of a city street known as
Lincoln Street bears South 70° 45' West, 155.41 feet,
South 85° 20' West, 106.25 feet and South 46° 19' West
100.00 feet running thence from said point of beginning,
South 44° 41' East, 217.79 feet thence North 45° 30' East,
51.35 feet, thence North 44° 30' West 212.70 feet to a point
in the Southeastery line of the aforesaid Butler Sub-
division and further described as being a point in the
Southeastery line of the aforementioned Linda Drive,
thence South 85° 55' 30" West, along the Southeastery line
of said Linda Drive a distance of 68.64 feet to the point
of beginning and containing 0.26 acres, more or less

TOGETHER with a right of way, but not the exclusive right
or privilege, over and across a strip of land located
between the Northwesternly bank of Dry Creek, formerly
known as Secret Ravine Creek, and a line described as
follows, to wit:

Beginning at a point located in the Southwesterly line of
the above described lot and from which point of beginning
the most westerly corner of said above described lot
bears North 45° 41' West, 175.00 feet; thence running from
said point of beginning North 44° 47' East, 207.30 feet
to the Southwesterly line of the aforesaid Polson Road
and from which point the most Easterly corner of the
aforesaid Butler Subdivision bears North 44° 30'
West 135.93 feet.

Dated: August 12, 1955

Harry M. Ott
Ollie Ott

PLACER COUNTY TITLE CO
RECORDED
74932

Exhibit "C-1"
Escrow Instructions

EXHIBIT "C"
ESCROW INSTRUCTIONS

Insert Date:

Fidelity National Title Company

Project: City of Roseville –
Harding Boulevard to Royer Park Bikeway Project
Parcel No.: 013-101-004
Owner: Kevin and Colleen Kemper
Escrow No.: 11-5010866-PA

This escrow will be closed in connection with the City of Roseville, Harding Boulevard to Royer Park Bikeway Project. The following items have been checked to indicate the method in which this escrow identified by the above parcel number and escrow number is to be closed.

The sale price of this transaction is \$22,800.00.

_____ Enclosed is a warrant in the sum of \$ _____.

_____ Enclosed is the Deed (granting a Fee Simple Interest) with attached certificate of acceptance, and a copy of the Agreement for Purchase.

_____ Enclosed is a Temporary Construction Easement. This document will not be recorded.

_____ This escrow shall not close until the City of Roseville provides the Appurtenant, Non-Exclusive Ingress and Egress Easement from Crouch (Escrow No. 11-5010868) to Kemper (Escrow No. 11-5010866).

_____ Taxes will be prorated and canceled as of close of escrow and any taxes due shall be paid to the County Tax collector from this escrow.

_____ No tax cancellation or proration will be necessary.

_____ Policy of title insurance shall be issued in the amount of \$10,768.00 (land value only).

Proceeds from this transaction are to be sent to Grantor as follows:

Kevin and Colleen Kemper
129 Linda Drive
Roseville, CA 95678

You are authorized to record any documents, disburse any funds, and close this escrow when you are able to issue a title policy naming the City of Roseville as Grantee, free and clear of all liens, and encumbrances except the following as shown in your preliminary title report number 11-5010866-PA, dated July 22, 2015.

Exhibit "C-1"
Page 2 of 3

Project: Harding Boulevard to Royer Park Bikeway Project
Parcel No.: 013-101-004
Owner: Kevin Kemper
Escrow No.: 11-5010866-PA

encumbrances except the following as shown in your preliminary title report number 11-5010866-PA, dated July 22, 2015.

As to Fee Simple Interest

<i>Exceptions Taken Subject To:</i> 1-10	<i>Exceptions To Be Cleared:</i> 11-13
---	---

As to Easement Deed*:

<i>Exceptions Taken Subject To:</i> 1-13	<i>Exceptions To Be Cleared:</i> None
---	--

*Temporary Construction Easement will not be recorded.

Purchaser will pay for all costs of escrow and title insurance policy. The original policy together with two copies of the title insurance, all recorded documents, and your billing, should be sent to the following person at the close of escrow:

Cathy Gosalves
Public Works – Engineering
City of Roseville
311 Vernon Street
Roseville, CA 95678

INSTRUCTIONS FROM SELLERS: In addition to the foregoing, you are hereby authorized to record and/or deliver the attached document to the City of Roseville upon receipt of a warrant in the amount of \$_____, less any demands for liens, judgments, delinquent taxes and/or utilities, delinquent child support and/or alimony, or encumbrances. You are also authorized to obtain any required reconveyance (if fee being acquired) or subordination (if easement be in acquired) from the following Mortgagee:

Lender: _____

Loan No.: _____

Address: _____

Telephone: _____

Exhibit "C-1"
Page 3 of 3

Project: Harding Boulevard to Royer Park Bikeway Project
Parcel No.: 013-101-004
Owner: Kevin Kemper
Escrow No.: 11-5010866-PA

GRANTOR: KEVIN AND COLLEEN KEMPER

CITY OF ROSEVILLE:

By: Kevin Kemper

Date: _____

By: Colleen Kemper

Date: _____

By (*Sign*)

Print Name

Date: _____

Exhibit "D-1"
Special Provisions

Construction Contract Work

CITY and its authorized agents and contractor are hereby granted permission to enter onto GRANTOR's remainder property to construct a private driveway entrance, and necessary appurtenant structures, which will provide the GRANTOR access to the rear of its property. Further, CITY will construct a private driveway across the southerly portion of the Crouch Property, composed of crushed rock, or similar material, spanning the width of the Easement, which will serve as secondary access for the GRANTOR and the Crouch Property. The cost of such construction shall be borne by CITY, at no expense to GRANTOR. Such construction shall be done at a time and in a manner which the CITY, in its sole discretion, deems most appropriate for project purposes. Upon completion of such construction, CITY will have no ownership rights and no duties or responsibilities with respect to maintaining the private driveway, driveway entrance, and necessary appurtenant structures. Any reconstruction, repair or maintenance of said driveway shall hereinafter be the responsibility of GRANTOR and the Crouch Property.

RESOLUTION NO. 16-219

APPROVING AN AGREEMENT FOR SALE OF REAL PROPERTY, BY AND BETWEEN
THE CITY OF ROSEVILLE AND STEVE CROUCH AND JUDY CROUCH, AND
AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF
ROSEVILLE

WHEREAS, an agreement for sale of real property regarding the Harding to Royer Bike Trail Segment 3 Project, by and between the City of Roseville Steve Crouch and Judy Crouch, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville; and

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

AGREEMENT FOR SALE OF REAL PROPERTY

THIS AGREEMENT, made and entered into this _____ day of _____, 20____, by and between the **CITY OF ROSEVILLE, a municipal corporation**, as grantee ("CITY") and **STEVE CROUCH AND JUDY CROUCH, husband and wife as joint tenants**, as grantor ("GRANTOR"),

W I T N E S S E T H:

WHEREAS, GRANTOR is the owner of certain real property identified as Assessor Parcel Number 013-101-013 located in the City of Roseville, County of Placer (the "Property"); and

WHEREAS, CITY desires to acquire a portion of such property in Fee Simple interest, as described in the attached legal description marked Exhibit "A-1" and depicted on the attached plat map marked Exhibit "B-1" (the "Fee Interest"), which is attached hereto and incorporated herein by reference, for the purpose of constructing the Harding Boulevard to Royer Park Bikeway Project ("the Project"); and

WHEREAS, to facilitate construction of the Project, CITY desires to acquire a temporary construction easement as described in the attached legal description marked Exhibit "A-2" and depicted on the attached plat map marked Exhibit "B-2", which is attached hereto and incorporated herein by reference; and

WHEREAS, in connection with the Project, CITY intends to relocate access to the rear of a parcel adjacent to the Property, identified by Assessor Parcel Number 013-101-004 (the "Kemper Property"). Pursuant to California Code of Civil Procedure Section 1240.350, GRANTOR intends to convey and CITY seeks to purchase an appurtenant, non-exclusive Ingress and Egress Easement ("Easement") within a portion of the Property legally described in Exhibit "A-3" and depicted in Exhibit "B-3" for the benefit and use of GRANTOR, in addition to the owners, successors, and assigns of the Kemper Property (hereinafter "Dominant Tenement"); and

WHEREAS, GRANTOR agrees to the creation of the above-referenced Easement for the above-stated purpose; and

WHEREAS, CITY owns property south of the GRANTOR, identified as Assessor Parcel Number(s) 013-101-014 and 013-101-016 (the "City Property") and is currently in the design phase, for use as a fire station and adjoining public parking lot ("City Improvements"). Construction of the City Improvements is expected to commence in 2016, with completion estimated at early to mid-2017. Upon completion of the City Improvements, or within two years of the Effective Date of this Agreement, whichever occurs first, CITY shall convey to GRANTOR an appurtenant, non-exclusive Ingress and Egress Easement (the "City Easement"), in the approximate location as shown on Exhibit "B-4" for the benefit and use of GRANTOR for secondary access purposes, in addition to the owners, successors and assigns of the Kemper Property (APN 013-101-004). The City Easement shall be appurtenant to GRANTOR's property as described in the attached legal description marked Exhibit "A-5"; and

WHEREAS, GRANTOR agrees to sell and CITY agrees to purchase the Fee Interest, Temporary Construction Easement and Ingress and Egress Easement (collectively "Subject Property") pursuant to the terms and conditions set forth in this Agreement.

NOW, THEREFORE, the parties agree as follows:

1. Purchase Price. GRANTOR agrees to sell, and CITY agrees to buy, the Subject Property for the sum of Thirty Nine Thousand Three Hundred Eighty Five Dollars (\$39,385.00) ("Purchase Price"), which the parties agree includes compensation for any and all improvements located within the Subject Property and any severance damages, loss of goodwill damages, relocation assistance payments, costs, or attorney fees, except as provided herein.

A. Grantor Curative Construction Work:

GRANTOR shall perform necessary curative construction work, as specified in Exhibit "D-1," for a total lump sum amount of Eight Thousand Four Hundred Dollars (\$8,400.00). This amount is included in the Purchase Price and represents CITY's total and complete obligation for any and all curative construction work.

2. Conveyance. GRANTOR has executed, or will execute prior to the Close of Escrow, as defined herein, the Grant Deed, Temporary Construction Easement and Appurtenant, Non-Exclusive Ingress and Egress Easement in the format satisfactory to the City Attorney of CITY (collectively "Deeds"), conveying the Subject Property to CITY.

3. Escrow. CITY has opened an escrow account for this transaction with Fidelity National Title Company, 1375 Exposition Blvd, Suite 240, Sacramento, CA 95815, and Attention: Paul Avila ("the Title Company") under Escrow #11-5010868-PA as described in the Escrow Instructions attached hereto as Exhibit "C-1". Prior to the Close of Escrow, GRANTOR shall deliver the Deeds to the Title Company. Prior to the Close of Escrow, CITY shall deposit with the Title Company the Purchase Price for the account of GRANTOR, conditioned upon the Subject Property vesting in CITY free and clear of all liens, leases, encumbrances, assessments, easements, bonds, judgments and debts secured by Deeds of Trust or mortgages except as indicated in the Escrow Instructions. If for any reason escrow fails to close as set forth herein, including termination of this Agreement, the Title Company shall return all funds and documents to the party that submitted such funds or documents.

4. Title Approval. CITY's disapproval of any exception shown in the title reports are set forth in Exhibit "C-1", the Escrow Instructions. GRANTOR shall notify City within fifteen (15) working days of the Effective Date of this Agreement if it elects not to remove or is unable to remove any disapproved exception. CITY shall have five (5) working days after notification of such action either (i) to terminate this Agreement or (ii) to waive its disapproval of such exception and agree to purchase the Subject Property subject to the disapproved exception. If CITY fails to notify GRANTOR, in writing, within five (5) working days of its election pursuant to this paragraph, CITY shall be deemed to have elected to terminate this Agreement. In the event of termination of this Agreement, the parties shall have no obligations hereunder. Any exception which CITY has approved hereunder, either by CITY's approval, CITY's failure to disapprove such exception, or by CITY's waiver of disapproval, shall be deemed a "Permitted Exception".

5. Discharge of Obligations. The Title Company may expend any and all money payable to GRANTOR under this Agreement to discharge any obligations (except those identified in

the Escrow Instructions as being taken subject to) which are liens upon the Subject Property, including but not limited to, those arising from judgments, assessments, delinquent taxes (other than for the fiscal year referred to in the Escrow Instructions), or debts secured by deeds of trust or mortgages or to defray any other incidental costs other than those specified in the escrow instructions to be borne by CITY. Any money made payable pursuant to a deed of trust shall be made payable to the beneficiary entitled to the money pursuant to the deed of trust; provided, however, that the beneficiary shall first furnish GRANTOR and the Title Company with a good and sufficient receipt showing the money to be credited against the indebtedness secured by the deed of trust.

6. Allocation of Taxes and Assessments. Taxes for the fiscal year in which the escrow closes shall be cleared and paid for in the manner required by Section 5086 of the Revenue and Taxation Code. As a deduction from the amount shown in Section 1, above, the City shall be authorized to pay any delinquent taxes due in any fiscal year, except the fiscal year in which this escrow closes, together with penalties and interest thereon. If Grantor has prepaid any taxes which are cancelled pursuant to Section 5086 as to the Subject Property, Grantor may seek any refunds to which it is entitled from such taxing agencies. If the Subject Property is subject to any assessments which are not subject to cancellation under Revenue and Taxation Code section 5086, such assessments shall be prorated as of the date of apportionment. Grantor shall pay all taxes and assessments outstanding up to the date of apportionment, if any, as to the Subject Property.

7. Escrow Costs. CITY shall pay all escrow fees, recording fees and title insurance charges incurred in this transaction.

8. Date of Possession. The right of possession and use of the Subject Property by the CITY, including the right to remove and dispose of improvements, shall commence upon the Effective Date of this Agreement, and the amount shown in Paragraph 1 of this Agreement includes, but is not limited to, full payment for such possession and use, including damages, if any, from said date.

9. Title and Escrow Closing. Title to the Subject Property shall pass immediately upon Close of Escrow. Escrow shall close no later than sixty (60) days after the Effective Date of this Agreement (the "Close of Escrow").

10. Escrow Instructions. A copy of this Agreement shall be delivered to the Title Company by CITY and shall have Escrow Instructions attached. The issuance of any additional escrow instructions shall be the sole responsibility of CITY, but must be signed by Grantor to be valid and enforceable and approved by the City Attorney.

11. Time. Time is of the essence of this Agreement.

12. No Existing Tenancy. GRANTOR shall deliver possession of the Subject Property to CITY on the Closing Date free of all tenants and shall indemnify and hold CITY harmless from the claims of any tenants claiming a right to possession arising before the Closing Date. If a tenant remains in possession on the Closing Date, CITY may terminate this Agreement without liability on CITY's part.

13. Project. GRANTOR understands that the acquisition of the Subject Property is in connection with the construction, operation and maintenance by CITY of the Project, and that the

payment of the money provided by this Agreement constitutes the entire consideration for the sale. GRANTOR hereby waives and releases CITY from any obligation, claim or damage on account of the grant of the Subject Property to CITY or on account of the location or construction of the Project.

14. Hazardous Substances. Neither GRANTOR, nor to the best of GRANTOR's knowledge, any third party, has engaged in the generation, use, manufacture, storage, treatment, transportation, or disposal of any "hazardous substance" on the Subject Property. In addition, neither GRANTOR, nor to the best of GRANTOR's knowledge, any third party has received any form of notice of violation of any "applicable environmental law" with respect to the Subject Property, including any groundwater.

For purposes of this Agreement, the term "hazardous substance" means either any petroleum product or any substance, chemical, or waste that is listed as hazardous, toxic or dangerous under any applicable environmental law.

For purposes of this Agreement, the term "applicable environmental law" means the Comprehensive Environmental Response Compensation and Liability Act ("CERCLA"), 42 USC §9601 et seq.; the Resource Conservation and Recovery Act ("RCRA"), 42 USC 6901 et seq.; the federal Water Pollution Control Act, 33 USC §1251 et seq.; the Clean Air Act, 42 USC §7401 et seq.; the Hazardous Materials Transportation Act, 49 USC §1471 et seq.; the Toxic Substances Control Act, 15 USC §§2601-2629; and the Safe Drinking Water Act, 42 USC §300f-300j; as each has been amended from time to time; or any similar state and local laws or ordinances or regulations implementing such statutes.

15. Flood Control Act. GRANTOR discloses that the Subject Property is located in a "flood zone," as set forth on F.E.M.A. or H.U.D. "Special Flood Zone Area Maps."

16. Special Studies Zone Act. GRANTOR discloses that the Subject Property is not situated in a Special Study Zone as designated under the Alquist-Priolo Special Studies Zone Act, Sections 2621-2630, inclusive, of the California Public Resources Code, or otherwise in an area of the high geologic hazard ("Geologic Zone").

17. Testing. If applicable, CITY may at all reasonable times enter upon the Subject Property and conduct tests, take soil or water samples or soil borings to determine if hazardous substances exist. If, such testing detects the existence of a hazardous substance, CITY may, at its sole option, rescind and terminate this Agreement and cancel the escrow. Provided, however, that CITY shall exercise said option not later than sixty (60) days after the Effective Date of this Agreement or the Close of Escrow whichever occurs first.

18. Construction Work by CITY. CITY shall perform, at no expense to GRANTOR, the construction work identified on the plans titled "Harding Boulevard to Royer Park Bikeway Project". A copy of the plans can be viewed at the CITY'S Permit Center located at 311 Vernon Street, Roseville, CA 95678. CITY and its authorized agents or contractors are hereby granted permission to enter onto GRANTOR'S remainder property to perform such construction work.

19. GRANTOR's Warranties.

GRANTOR hereby represents and warrants to CITY:

A. Contracts:

That there are no service contracts or other contractual obligations (except which GRANTOR has disclosed to CITY) upon which GRANTOR is obligated pertaining to the Subject Property, except those which can be terminated upon not more than thirty (30) days' notice.

B. Documents Delivered:

That all documents delivered to GRANTOR by CITY pursuant to the terms of this Agreement are complete.

C. Special Assessments or Condemnation:

That there are not presently pending any special assessments or condemnation actions against the Subject Property, or any part thereof, nor has GRANTOR received any notice of any special assessments or condemnation actions being contemplated except as otherwise listed on the Escrow Instructions.

D. Leasing Commissions:

That there is no outstanding leasing commission's payable for any lease as to the Subject Property and there are no obligations to pay any leasing commission for any renewal of the tenancy of any of the tenants or for any new lease negotiated prior to Close of Escrow.

E. Title:

1) Encumbrances:

Except for those specifically listed in the Preliminary Title Report dated July 22, 2015 and stated as Permitted Exceptions in the Escrow Instructions, to GRANTOR's best knowledge, the Subject Property is free and clear of all liens, encumbrances, claims, rights, demands, easements, leases, agreements, covenants, conditions, and restrictions of any kind.

2) Encroachments:

Except for those specifically detailed on the attached, Exhibits "B-1 through "B-4" plats, to GRANTOR's best knowledge, there are no encroachments on the Subject Property from adjoining property, and the Subject Property does not encroach on any adjoining property, easements, or streets.

F. Litigation:

That GRANTOR is not involved in or aware of any pending or threatened litigation which does or will affect the Subject Property. There are no actions or proceedings pending or threatened against GRANTOR before any court or administrative agency in any way connected with or relating to the Subject Property, or affecting GRANTOR's ability to fulfill all of its obligations under this Agreement.

G. Indemnification:

That GRANTOR shall indemnify and hold CITY harmless from any liability, loss or claim for damages, including reasonable costs and attorneys' fees, arising

from any lease as to the Subject Property accruing prior to the Close of Escrow; arising out of any theft, damage, or injury to any tenants on the Subject Property or their personal property, and occurring prior to Close of Escrow; or arising out of the breach by GRANTOR of the covenants or any warranties or the falsity of any of the representations set forth in this Agreement.

H. Survival:

That GRANTOR's representations, warranties and covenants contained in this paragraph shall survive Close of Escrow and the recordation of the Deeds.

I. Authority:

That GRANTOR has full power and authority to execute and deliver this Agreement and to consummate the transactions contemplated by this Agreement.

J. Lease Back Rights:

That GRANTOR waives any notice or right of first refusal pursuant to Code of Civil Procedure section 1245.245 and any lease-back rights under Code of Civil Procedure section 1263.615.

K. Brokers:

That no person or entity can properly claim a right to a commission, finder's fee, or other compensation with respect to the transaction contemplated by this Agreement. If any broker or finder makes any claim for a commission or finder's fee, GRANTOR shall indemnify, defend and hold CITY harmless from all liabilities, expenses, losses, damages or claims (including reasonable attorneys' fees) arising out of such broker's or finder's claims.

L. Advice of Professionals:

GRANTOR has had the opportunity to be advised by their own legal counsel and professionals in connection with this Agreement, and has obtained, and relies upon, such advice as GRANTOR deems appropriate.

20. Integrated Agreement. This is an integrated Agreement, and it contains all of the terms, considerations, understandings and promises of the parties. It shall be read as a whole. This Agreement may be amended, modified, changed or rescinded only by an instrument in writing, executed by the parties, except as provided herein.

21. Severability. If any provision in this Agreement is held by a court of competent jurisdiction to be invalid, void or unenforceable, the remaining provisions shall continue in full force without being impaired so long as the economic or legal substance of the transactions contemplated hereby is not affected in any manner adverse to either party. Upon such determination that any term or provision is illegal or incapable of being enforced, the parties hereto shall negotiate in good faith to modify this Agreement so as to effect the original intent of the parties as closely as possible in an acceptable manner to the end that transactions contemplated hereby are fulfilled to the greatest extent possible.

22. Attorney's Fees. In any action brought by either party to enforce the terms of this Agreement, the prevailing party shall be entitled to recover its reasonable attorney's fees.

23. Venue/Governing Law. Any action arising out of this Agreement shall be brought in Placer County, California regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

24. Binding Agreement. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the parties.

25. Joint Effort. This Agreement is the result of the joint efforts and negotiations of parties hereto, and no single party is the author or drafter of it. The parties agree that this Agreement shall be interpreted as though each of the parties participated equally in the composition of this Agreement and each and every part of it.

26. Notice. Any notices to parties required by this Agreement shall be delivered or mailed, U.S. first class, postage prepaid, addressed as follows:

CITY OF ROSEVILLE
Rhon Herndon, P.E.
Public Works Director
311 Vernon Street
Roseville, CA 95678

GRANTOR
Steve and Judy Crouch
127 Linda Drive
Roseville, CA 95678

Either party may amend its address for notice by notifying the other party in writing.

27. Special Provisions. The Special Provisions attached hereto as Exhibit "D-1," if any, are hereby incorporated, and made a part of this Agreement by this reference. In the event of any conflict or inconsistency between this Agreement and the Special Provisions, the terms of the Special Provisions shall prevail.

28. Further Assurances. Each party to this Agreement agrees to execute, acknowledge and deliver such further instruments as may be necessary or desirable to accomplish the intent and purpose of this Agreement.

29. Effective Date. This Agreement shall be effective upon full execution by all parties (the "Effective Date").

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has caused this Agreement to be executed in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the _____ day of _____, 20____, and GRANTOR has caused this Agreement to be duly executed.

GRANTEE:
CITY OF ROSEVILLE,
a municipal corporation

BY: _____
ROB JENSEN
City Manager

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY: _____
RHON HERNDON, P.E.
Public Works Director

GRANTOR:
STEVE CROUCH AND JUDY CROUCH,
husband and wife as joint tenants

BY: _____
its: _____
Steve Crouch

BY: _____
its: _____
Judy Crouch

RECOMMENDED FOR APPROVAL:

JULIE CLINE
Right-of-Way Agent

Exhibits

- | | |
|------|--|
| A-1: | Legal Description of Fee Interest |
| A-2: | Legal Description of Temporary Construction Easement |
| A-3: | Legal Description of Easement |
| A-5: | Legal Description of Crouch Property |
| B-1: | Plat of Fee Interest |
| B-2: | Plat of Temporary Construction Easement |
| B-3: | Plat of Easement |
| B-4: | City Property Easement Map |
| C-1: | Escrow Instructions |
| D-1: | Special Provisions |
| E-1: | Fence Diagram |

Exhibit "A-1"
Fee Interest Legal Description

January 27, 2016

Exhibit "A"
Legal Description

All that real property situate in the City of Roseville, County of Placer, State of California, described as follows:

A portion of that parcel of land described in Grant Deed to Steve Crouch and Judy Crouch from Rex G. Langley recorded in Document 98-0013402 Official Records, Placer County, California.

BEGINNING AT A POINT on the southwesterly line of said parcel described in Grant Deed to Steve Crouch and Judy Crouch, from which the most westerly corner of said parcel bears North 44°53'33" West for a distance of 167.08 feet; thence North 49°44'37" East for a distance of 55.18 feet to the northeasterly line of said parcel; thence along the northeasterly line of said parcel South 44°53'33" East for a distance of 29.57 feet to the most easterly corner of said parcel; thence along the southeasterly line of said parcel South 45°06'27" West for a distance of 55.00 feet to the most southerly corner of said parcel; thence along the southwesterly line of said parcel North 44°53'33" West for a distance of 34.03 feet to the **POINT OF BEGINNING**.

Containing 1,749 sq. ft. (0.040 acres) more or less.

The basis of bearings for this description is the California State Plane Coordinate System of 1983 (CCS83), Zone 2.

Attached hereto is a plat labeled "Exhibit B" and by this reference made a part hereof.

This real property description has been prepared by me, or under my direction, in conformance with the Professional Land Surveyors Act.

END OF DESCRIPTION.

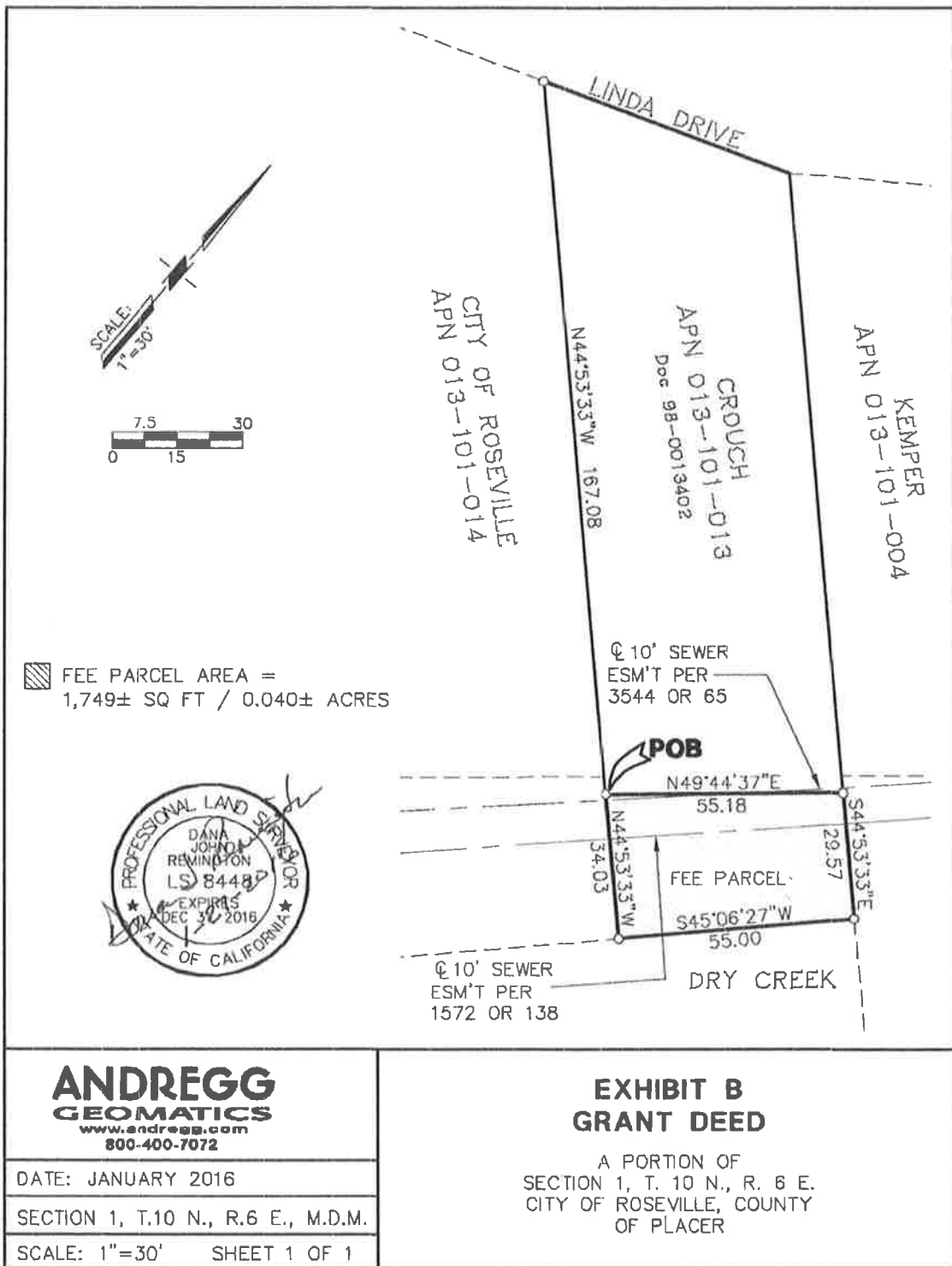

Dana J. Remington, LS 8448 1-27-2016
Date



For and on behalf of ANDREGG GEOMATICS.

APN 013-101-013

Exhibit "B-1"
Fee Interest Plat Map



ANDREGG GEOMATICS www.andregg.com 800-400-7072	EXHIBIT B GRANT DEED A PORTION OF SECTION 1, T. 10 N., R. 6 E. CITY OF ROSEVILLE, COUNTY OF PLACER
DATE: JANUARY 2016	
SECTION 1, T.10 N., R.6 E., M.D.M.	
SCALE: 1"=30' SHEET 1 OF 1	

Exhibit "A-2"
Temporary Construction Easement Legal Description

January 27, 2016

Exhibit "A"
Temporary Construction Easement

All that real property situate in Section 1, Township 10 North, Range 6 East, City of Roseville, County of Placer, State of California, described as follows:

A portion of that parcel of land described in Grant Deed to Steve Crouch and Judy Crouch from Rex G. Langley recorded in Document 98-0013402 Official Records, Placer County, California.

BEGINNING AT A POINT on the southwesterly line of said parcel described in said Grant Deed to Steve Crouch and Judy Crouch, from which the most westerly corner of said parcel bears North 44°53'33" West for a distance of 125.92 feet; thence North 87°33'45" East for a distance of 9.17 feet to the beginning of a tangent curve to the left concave northerly having a radius of 40.00 feet, an arc length of 26.40 feet through a central angle of 37°49'08" and a chord bearing North 68°39'11" East for a distance of 25.93 feet; thence North 49°44'37" East for a distance of 14.77 feet; thence North 45°06'27" East for a distance of 9.75 feet to a point on the northeasterly line of said parcel; thence along the northeasterly line of said parcel South 44°53'33" East for a distance of 27.88 feet; thence South 49°44'37" West for a distance of 55.18 feet to a point on the southwesterly line of said parcel; thence along the southwesterly line of said parcel North 44°53'33" West for a distance of 41.16 feet to the **POINT OF BEGINNING**.

Containing 1,632 sq. ft. (0.037 acres) more or less.

The basis of bearings for this description is the California State Plane Coordinate System of 1983 (CCS83), Zone 2.

Attached hereto is a plat labeled "Exhibit B" and by this reference made a part hereof.

This real property description has been prepared by me, or under my direction, in conformance with the Professional Land Surveyors Act.

END OF DESCRIPTION.

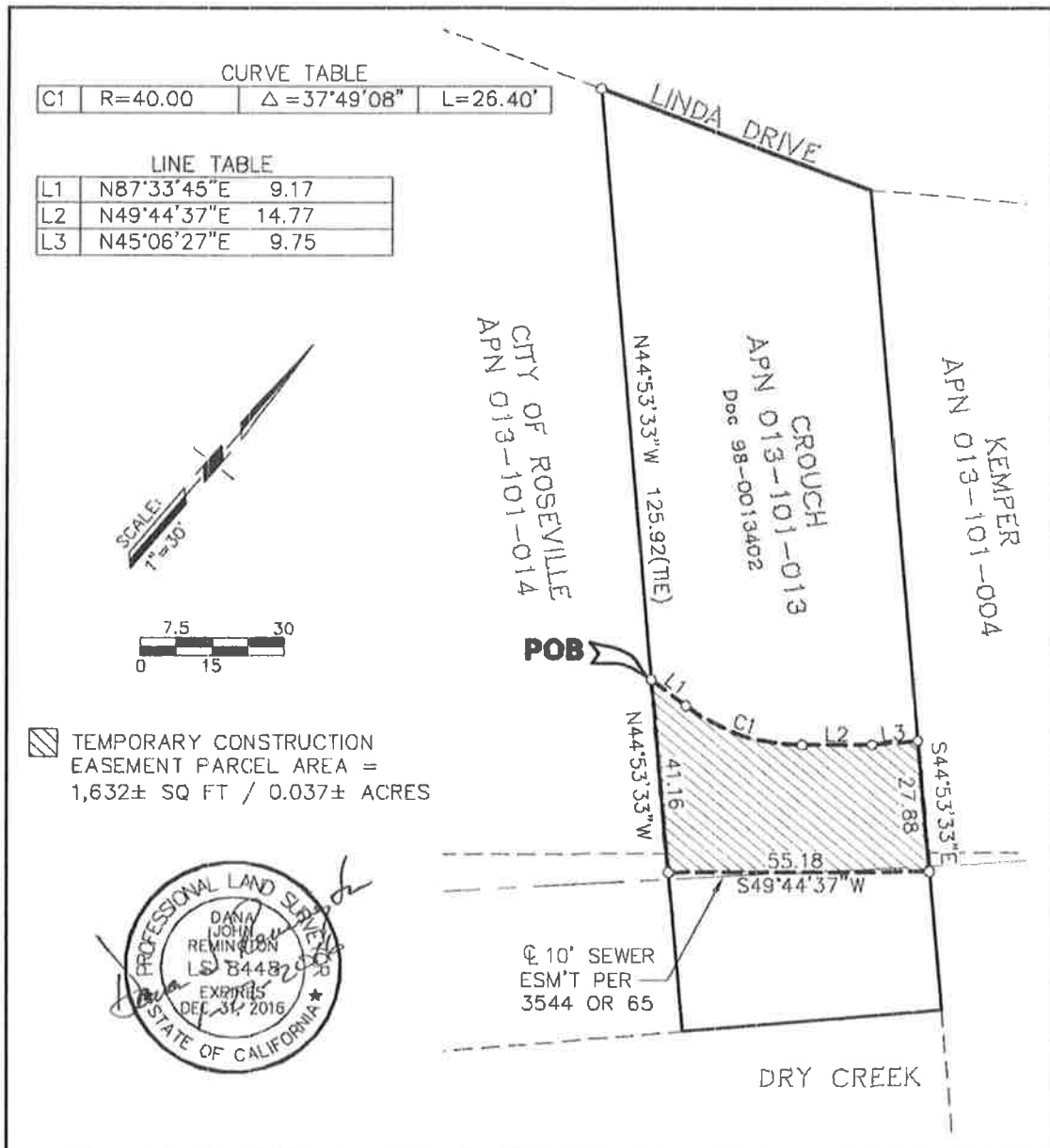
 1-27-2016
Dana J. Remington, LS 8448 Date



For and on behalf of ANDREGG GEOMATICS.

APN 013-101-013

Exhibit "B-2" **Temporary Construction Easement Plat Map**



ANDREGG
GEOMATICS
 www.andregg.com
 800-400-7072

DATE: JANUARY 2016

SECTION 1, T.10 N., R.6 E., M.D.M.

SCALE: 1"=30' SHEET 1 OF 1

EXHIBIT B **TEMPORARY CONSTRUCTION** **EASEMENT**

A PORTION OF
 SECTION 1, T. 10 N., R. 6 E.
 CITY OF ROSEVILLE, COUNTY
 OF PLACER

Exhibit "A-3"
Easement Legal Description

January 27, 2016

Exhibit "A"
Access Easement
Legal Description

All that real property situate in the City of Roseville, County of Placer, State of California, described as follows:

A portion of that parcel of land described in Grant Deed to Steve Crouch and Judy Crouch from Rex G. Langley recorded in Document 98-0013402 Official Records, Placer County, California.

BEGINNING AT A POINT on the southwesterly line of said parcel described in Grant Deed to Steve Crouch and Judy Crouch, from which the most westerly corner of said parcel bears North 44°53'33" West for a distance of 139.48 feet; thence along a non-tangent curve to the left concave northerly having a radius of 50.00 feet, an arc length of 33.02 feet through a central angle of 37°50'27" and a chord bearing North 68°39'50 East a distance of 32.43 feet; thence North 49°44'37" East for a distance of 14.77 feet; thence North 01°45'24" East for a distance of 14.52 feet to a point on the northeasterly line of said parcel; thence along the northeasterly line of said parcel South 44°53'33" East for a distance of 27.88 feet; thence South 49°44'37" West for a distance of 55.18 feet to a point on the southwesterly line of said parcel; thence along the southwesterly line of said parcel North 44°53'33" West for a distance of 27.60 feet to the **POINT OF BEGINNING**.

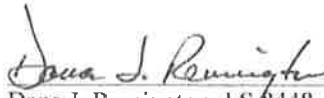
Containing 1093 sq. ft. (0.025 acres) more or less.

The basis of bearings for this description is the California State Plane Coordinate System of 1983 (CCS83), Zone 2.

Attached hereto is a plat labeled "Exhibit B" and by this reference made a part hereof.

This real property description has been prepared by me, or under my direction, in conformance with the Professional Land Surveyors Act.

END OF DESCRIPTION.

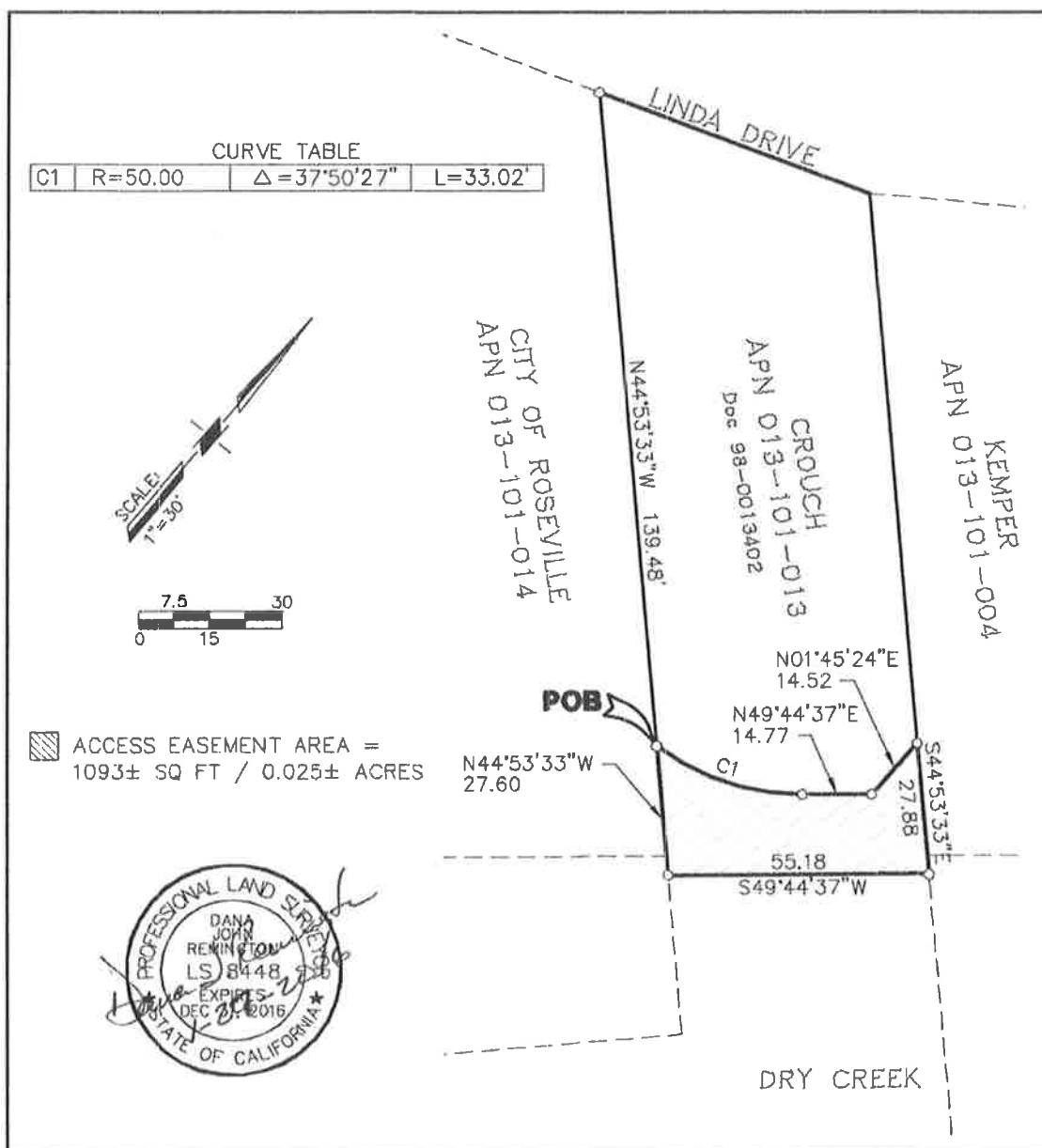
 1-27-2016
Dana J. Remington, LS 8448 Date



For and on behalf of ANDREGG GEOMATICS.

APN 013-101-013

Exhibit "B-3" **Easement Plat Map**



ANDREGG
GEOMATICS
www.andregg.com
800-400-7072

DATE: JANUARY 2016

SECTION 1, T.10 N., R.6 E., M.D.M.

SCALE: 1"=30' SHEET 1 OF 1

EXHIBIT B **ACCESS EASEMENT**

A PORTION OF
SECTION 1, T. 10 N., R. 6 E.
CITY OF ROSEVILLE
COUNTY OF PLACER

Exhibit "B-4"
City Property Easement Map

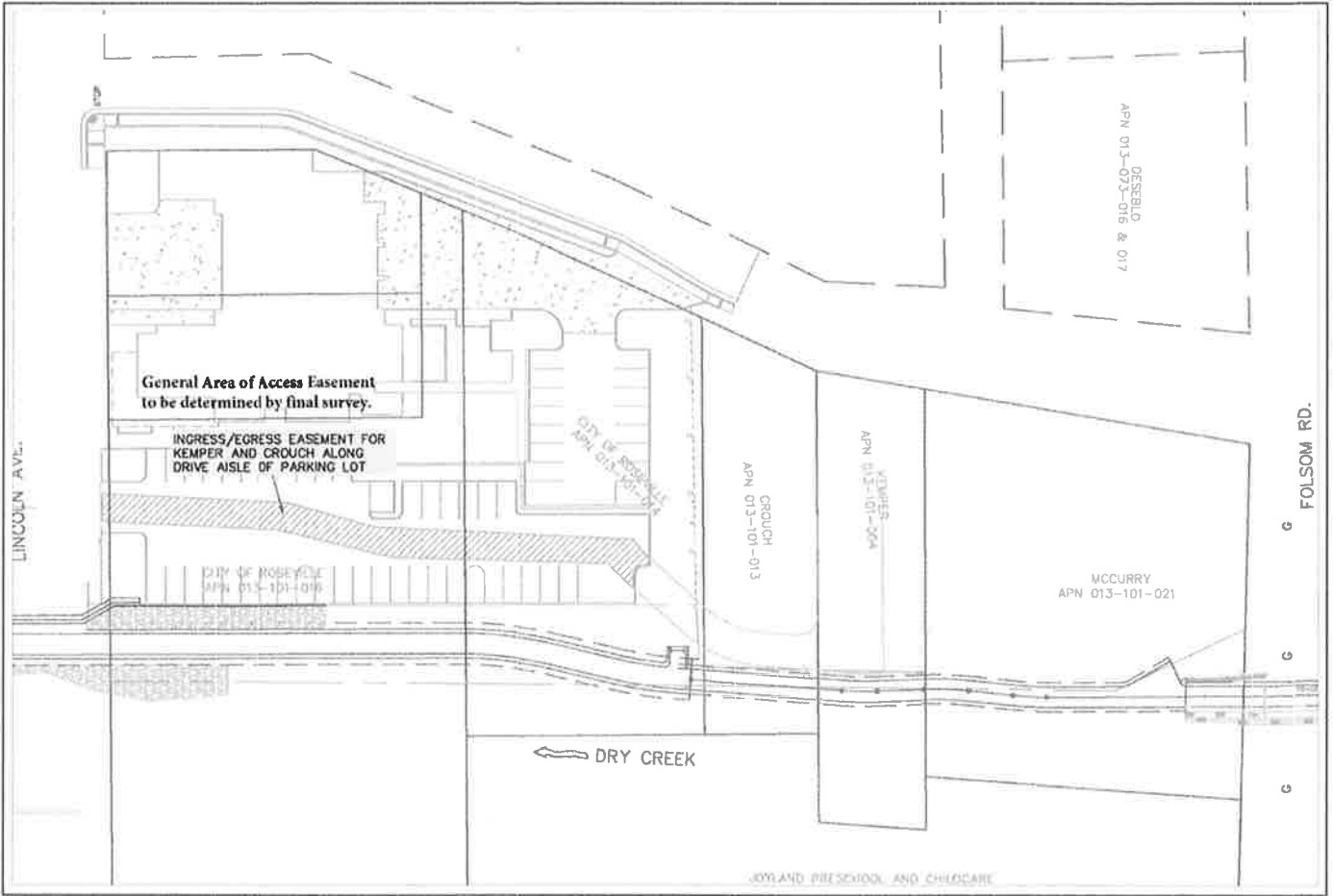


Exhibit "A-5"
Crouch Property Legal Description

THE LAND REFERRED TO HEREIN BELOW IS SITUATED IN THE CITY OF ROSEVILLE, COUNTY OF PLACER, STATE OF CALIFORNIA AND IS DESCRIBED AS FOLLOWS:

COMMENCING at the intersection of the Northeasterly line of Lincoln Street with the Southeasterly line of Linda Drive, thence the intersection of the Southeasterly line, of Vernon Street with the Northeasterly line of Lincoln Street bears North 44° 41' West a distance of 281.1 feet and running thence along the Southeasterly line of Linda Drive the following three courses and distances; North 45° 19' East 100 feet; North 68° 20' East 108.26 feet; thence North 70° 43' East, 155.41 feet to the most Northerly corner of the parcel to be described, the point of beginning; thence South 44° 41' East 175.00 feet; thence 45° 19' West 55.00 feet; thence North 44° 41' West 201.11 feet to the Southeast line of Linda Drive; thence North 70° 43' East along the Southeast line of Linda Drive, 50.88 feet to the point of beginning.

APN: 013-101-013-000

Exhibit "C-1"
Escrow Instructions

EXHIBIT "C"
ESCROW INSTRUCTIONS

Insert Date:

Fidelity National Title Company

Project: City of Roseville -
Harding Boulevard to Royer Park Bikeway Project
Parcel No.: 013-101-013
Owner: Steve and Judy Crouch
Escrow No.: 11-5010868-PA

This escrow will be closed in connection with the City of Roseville, Harding Boulevard to Royer Park Bikeway Project. The following items have been checked to indicate the method in which this escrow identified by the above parcel number and escrow number is to be closed.

The sale price of this transaction is \$39,385.00.

- ☐ Enclosed is a warrant in the sum of \$ _____.
- ☐ Enclosed is the Deed (granting a Fee Simple Interest) with attached certificate of acceptance, and a copy of the Agreement for Purchase.
- ☐ Enclosed is a Temporary Construction Easement. This document will not be recorded.
- ☐ Enclosed is the Non-Exclusive, Appurtenant Ingress and Egress Easement, from Grantor to Kemper (Escrow No. 11-5010866).
- ☐ Taxes will be prorated and canceled as of close of escrow and any taxes due shall be paid to the County Tax collector from this escrow.
- ☐ No tax cancellation or proration will be necessary.
- ☐ Policy of title insurance shall be issued in the amount of \$21,900.00 (land value only).

Proceeds from this transaction are to be sent to Grantor as follows:

Steve and Judy Crouch
127 Linda Drive
Roseville, CA 95678

You are authorized to record any documents, disburse any funds, and close this escrow when you are able to issue a title policy naming the City of Roseville as Grantee, free and clear of all liens, and encumbrances except the following as shown in your preliminary title report number 11-5010868-PA, dated July 22, 2015.

As to Fee Simple Interest:

<i>Exceptions Taken Subject To:</i> 1-10	<i>Exceptions To Be Cleared:</i> 11-12
---	---

Exhibit "C-1"
Page 2 of 3

Project: Harding Boulevard to Royer Park Bikeway Project
Parcel No.: 013-101-013
Owner: Steve and Judy Crouch
Escrow No.: 11-5010863-PA

As to Easement Deed*:

<i>Exceptions Taken Subject To:</i> 1-12	<i>Exceptions To Be Cleared:</i> None
---	--

*Temporary Construction Easement will not be recorded.

Purchaser will pay for all costs of escrow and title insurance policy. The original policy together with two copies of the title insurance, all recorded documents, and your billing, should be sent to the following person at the close of escrow:

Cathy Gosalves
Public Works – Engineering
City of Roseville
311 Vernon Street
Roseville, CA 95678

INSTRUCTIONS FROM SELLERS: In addition to the foregoing, you are hereby authorized to record and/or deliver the attached document to the City of Roseville upon receipt of a warrant in the amount of \$_____, less any demands for liens, judgments, delinquent taxes and/or utilities, delinquent child support and/or alimony, or encumbrances. You are also authorized to obtain any required reconveyance (if fee being acquired) or subordination (if easement be in acquired) from the following Mortgagee:

Lender: _____

Loan No.: _____

Address: _____

Telephone: _____

Exhibit "C-1"
Page 3 of 3

Project: Harding Boulevard to Royer Park Bikeway Project
Parcel No.: 013-101-013
Owner: Steve and Judy Crouch
Escrow No.: 11-5010868-PA

**GRANTOR: STEVE CROUCH
AND JUDY CROUCH**

CITY OF ROSEVILLE:

By: Steve Crouch

By (*Sign*)

Date: _____

Print Name

By: Judy Crouch

Date: _____

Date: _____

Exhibit "D-1"
Special Provisions

Grantor Curative Construction Work

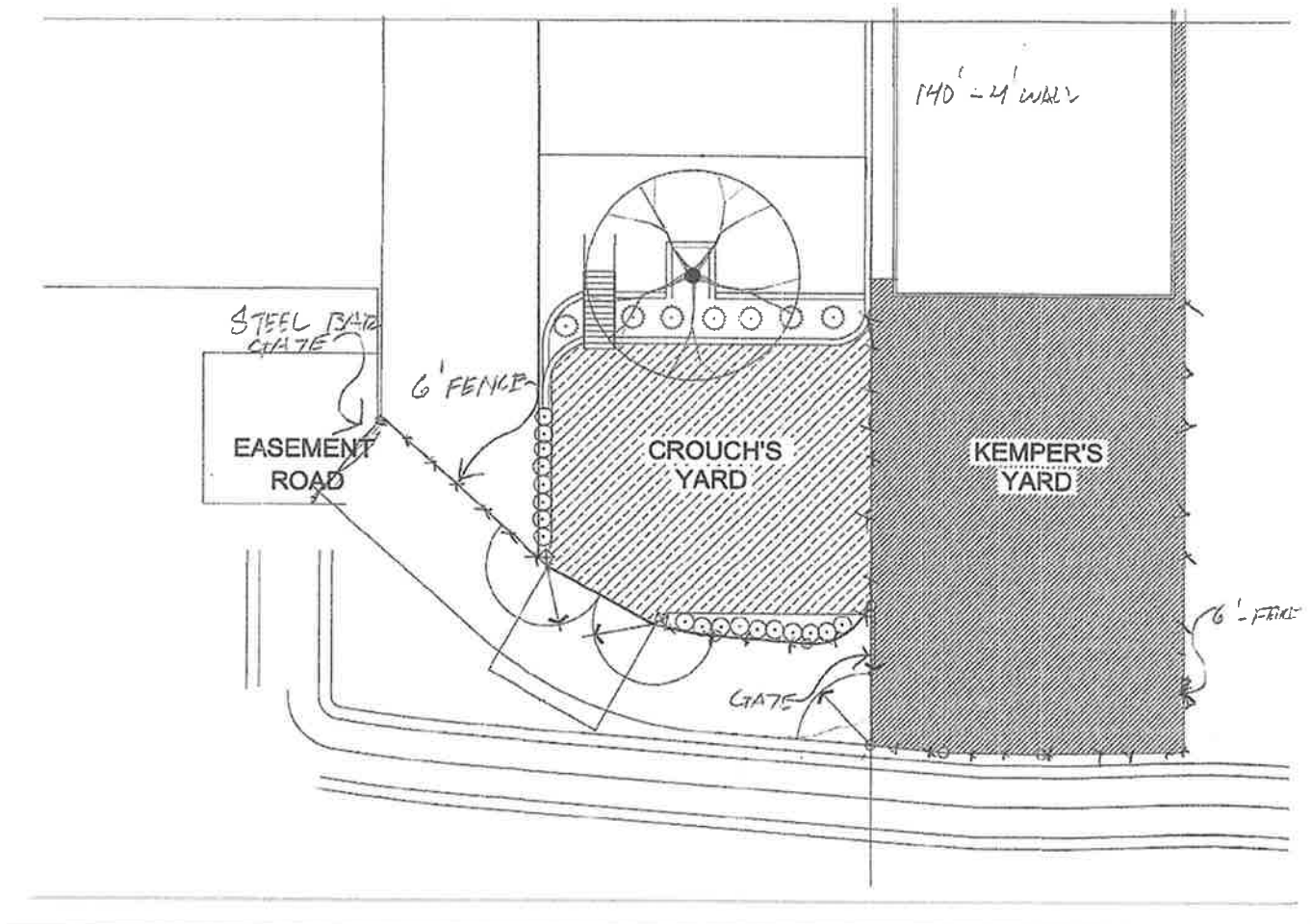
It is mutually agreed and understood that GRANTOR will be solely responsible for the curative construction work described below:

GRANTOR will construct an approximately 560 square foot retaining wall. Location of said retaining wall must be located outside of the floodplain. Further, if GRANTOR must move more than 50 cubic yards of material, GRANTOR must obtain an encroachment permit from the CITY for such work.

Construction Contract Work

CITY and its authorized agents and contractor are hereby granted permission to enter onto GRANTOR's remainder property to construct a private driveway and fence in the location depicted on Exhibit E-1, along with necessary appurtenant structures that will provide the GRANTOR, and Dominant Tenement, access to the rear of each of its respective properties. The cost of such construction shall be borne by CITY, at no expense to GRANTOR. Such construction shall be done at a time and in a manner which the CITY, in its sole discretion, deems most appropriate for project purposes. Upon completion of such construction, CITY will have no ownership rights and no duties or responsibilities with respect to maintaining the private driveway and fence. Any reconstruction, repair or maintenance of said driveway or fence shall hereinafter be the responsibility of GRANTOR and Dominant Tenement.

Exhibit "E-1"
Fence Diagram





COUNCIL COMMUNICATION

CC #: 7705

File #: 0103-29-02

Title: Finance Authority - FY2016-17 Budget
Contact: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.26.

RECOMMENDATION TO COUNCIL

Staff is recommending the Roseville Finance Authority members approve the attached resolution adopting the Roseville Finance Authority's FY2016-17 budget.

BACKGROUND

The debt service payment of \$11,432,670 reflects the Certificates of Participation (COPs) for Corporation Yard and 316 Vernon Street along with the Mark Roos refunding of Community Facilities Districts (CFDs). The Authority controls other COPs, but that debt service is reflected within each respective Enterprise Fund. For CFD issues, the Authority acts as a conduit to collect payments for the refunding of two or more special districts.

FISCAL IMPACT

The budget document provides a financial summary of the Roseville Finance Authority Fund. No fiscal impact to report.

ECONOMIC DEVELOPMENT / JOBS CREATED

Adoption of this budget will not have an impact on economic development or job creation.

ENVIRONMENTAL REVIEW

The budget is not considered a 'project' as defined by the California Environmental Quality Act (CEQA) based on Section 15273 of the CEQA Guidelines. No other CEQA action is required.

Respectfully Submitted,

Jeannine Thrash, Administrative Analyst

Monty Hanks, Finance Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Roseville Finance Authority Resolution No. 1-16

Roseville Finance Authority FY2016-17 Budget

ROSEVILLE FINANCE AUTHORITY

RESOLUTION NO. 1-16

ADOPTING THE ANNUAL BUDGET FOR THE FISCAL YEAR 2016-17

WHEREAS, the Roseville Finance Authority desires to approve the Roseville Finance Authority Budget FY2016-17,

NOW, THEREFORE, the Roseville Finance Authority does hereby resolve that the attached Roseville Finance Authority Budget FY2016-17 is hereby approved.

PASSED AND ADOPTED by the Roseville Finance Authority this ____ day of _____, 20____, by the following vote on roll call:

AYES AGENCY MEMBERS:

NOES AGENCY MEMBERS:

ABSENT AGENCY MEMBERS:

CHAIR

ATTEST:

Secretary

ROSEVILLE FINANCE AUTHORITY

	Actual FY2015	Estimate FY2016	Budget FY2017
ESTIMATED AVAILABLE RESOURCES CARRY-OVER	\$ 71,208	\$ 101,150	\$ 101,229
ESTIMATED REVENUE AND TRANSFERS IN			
Lease Payment from City - 2003 Refunding	\$ 1,027,900	\$ 1,025,354	\$ 1,024,557
Lease Payment from City - 2006 HRN / WCE CFDs	\$ 2,975,596	\$ 2,982,185	\$ 2,965,772
Lease Payment from City - 2007 CR / SE / SW / SP1 CFDs Series A	\$ 2,928,020	\$ 2,932,313	\$ 2,933,813
Lease Payment from City - 2007 CR / SE / SW / SP1 CFDs Series B	\$ 699,869	\$ 701,858	\$ 698,115
Lease Payment from City - 2012 NE / NW CFDs	\$ 2,973,250	\$ 2,945,500	\$ 2,947,375
Lease Payment from City - 316 Vernon Street	\$ 0	\$ 287,135	\$ 863,038
Total Estimated Revenues and Transfers In	\$ 10,604,634	\$ 10,874,345	\$ 11,432,670
Total Estimated Available for Appropriation	\$ 10,675,841	\$ 10,975,494	\$ 11,533,899
ESTIMATED EXPENDITURES AND TRANSFERS OUT			
Debt Service - 2003 Refunding	\$ 1,020,696	\$ 1,025,354	\$ 1,024,557
Debt Service - 2006 HRN / WCE CFDs	\$ 2,969,640	\$ 2,982,185	\$ 2,965,772
Debt Service - 2007 CR / SE / SW / SP1 CFDs Series A	\$ 2,927,313	\$ 2,932,313	\$ 2,933,813
Debt Service - 2007 CR / SE / SW / SP1 CFDs Series B	\$ 699,869	\$ 701,858	\$ 698,115
Debt Service - 2012 NE / NW CFDs	\$ 2,957,175	\$ 2,945,500	\$ 2,947,375
Debt Service - 316 Vernon Street	\$ 0	\$ 287,055	\$ 863,038
Total Estimated Expenditures and Transfers Out	\$ 10,574,692	\$ 10,874,265	\$ 11,432,670
ESTIMATED AVAILABLE RESOURCES	\$ 101,150	\$ 101,229	\$ 101,229



COUNCIL COMMUNICATION

CC #: 7707
File #: 0103-17

Title: Roseville Natural Gas Financing Authority - FY2016-17 Budget
Contact: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.27.

RECOMMENDATION TO COUNCIL

Staff is recommending the Roseville Natural Gas Financing Authority approve the resolution adopting the Roseville Natural Gas Financing Authority's FY2016/2017 budget.

BACKGROUND

Attached is the Roseville Natural Gas Financing Authority budget for Fiscal Year 2016/2017. The debt service and administrative expenses of \$16,828,350 reflect the Gas Revenue Bonds for the delivery of natural gas used for Roseville Energy Park.

FISCAL IMPACT

The budget document provides a financial summary of the Roseville Natural Gas Financing Authority Fund. No fiscal impact to report.

ECONOMIC DEVELOPMENT / JOBS CREATED

Adoption of this budget will not have an impact on economic development or job creation.

ENVIRONMENTAL REVIEW

The budget is not considered a 'project' as defined by the California Environmental Act (CEQA) based on section 15273 of the CEQA Guidelines. No other CEQA action is required.

Respectfully Submitted,

Jeannine Thrash, Administrative Analyst

Monty Hanks, Finance Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Natural Gas Authority Resolution No. 1-16

Roseville Natural Gas Finance Authority FY 2016-17 Budget

ROSEVILLE NATURAL GAS FINANCING AUTHORITY
RESOLUTION NO. 1-16

ADOPTING THE ANNUAL BUDGET FOR THE FISCAL YEAR 2016/2017

WHEREAS, the Roseville Natural Gas Financing Authority has reviewed the proposed Roseville Natural Gas Financing Authority Budget Fiscal Year 2016/2017;

NOW, THEREFORE, the Roseville Natural Gas Financing Authority does hereby resolve that the attached Roseville Natural Gas Financing Authority Budget Fiscal Year 2016/2017 is hereby approved.

PASSED AND ADOPTED by the Roseville Natural Gas Financing Authority this __ day of _____, 20__, by the following vote on roll call:

AYES AGENCY MEMBERS:

NOES AGENCY MEMBERS:

ABSENT AGENCY MEMBERS:

CHAIR

ATTEST:

Secretary

ROSEVILLE NATURAL GAS FINANCE AUTHORITY

	Actual FY2015	Estimate FY2016	Budget FY2017
ESTIMATED AVAILABLE RESOURCES CARRY-OVER	\$ 930,993	\$ 1,109,905	\$ 1,147,808
ESTIMATED REVENUE AND TRANSFERS IN			
Current Services - Sale of Natural Gas to Roseville Electric	16,475,497	16,513,493	16,457,174
Administrative Fees	53,016	50,233	56,042
Interest Income	262,244	260,527	273,948
Total Estimated Revenues and Transfers In	16,790,757	16,824,253	16,787,164
Total Estimated Available for Appropriation	17,721,750	17,934,158	17,934,972
ESTIMATED EXPENDITURES AND TRANSFERS OUT			
Administrative Expenses (Audit, Fiscal Agent & Rating Agency)	26,502	39,600	39,600
Indirect Cost	0	0	0
Debt Service - Series 2007 Gas Revenue Bonds	16,585,343	16,746,750	16,788,750
Total Estimated Expenditures and Transfers Out	16,611,845	16,786,350	16,828,350
LESS ESTIMATED RESERVE FOR DEBT SERVICE	1,071,739	1,075,000	1,075,000
ESTIMATED AVAILABLE RESOURCES	\$ 38,166	\$ 72,807	\$ 31,621



COUNCIL COMMUNICATION

CC #: 7727

File #: 0201-01 & 0709

Title: Community Development Block Grant and BEGIN Re-Use - Budget Adjustment

Contact: Suzanne Acrell 916-774-5469 sacrell@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.28.

RECOMMENDATION TO COUNCIL

Staff is requesting that City Council adopt an ordinance approving a budget adjustment for \$165,600 to fund additional Owner Occupied Rehabilitation Program loans from the Community Development Block Grant (CDBG), \$41,400 to fund the administrative costs of the CDBG Program, and \$150,000 to fund additional Owner Occupied Rehabilitation Program loans from the BEGIN Re-Use fund.

BACKGROUND

The Community Development Block Grant (CDBG) and the BEGIN Re-Use fund are federally-funded programs administered through the Department of Housing and Urban Development (HUD). These funds provide rehabilitation financing assistance and grants to eligible low-income homeowners. The programs can fund new loans from the payoff of previously funded loans due to sale, refinance, short sale, or foreclosure.

The FY2015-16 adopted budget did not anticipate the payoff of the 2001 Crocker Oaks Apartments loan received in March of 2016. Therefore, in order to fund additional rehabilitation loans with this payoff (program income), the budget needs to be increased by \$165,600. In addition to funding additional rehabilitation loans, HUD allows the City to utilize 20 percent of the payoff (\$41,400) toward overhead costs to administer the program.

The FY2015-16 adopted budget did not anticipate the payoffs of BEGIN loans received. Therefore, in order to fund additional rehabilitation loans with these payoffs (BEGIN Re-Use), the budget needs to be increased by \$150,000.

FISCAL IMPACT

The source of funds for the rehabilitation loans is CDBG program income and BEGIN Re-Use, administered through HUD. This action has no impact to the General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

The Council of Economic Advisers (CEA) a three-member council that analyzes and interprets economic developments and advises the White House on national economic policy, purports that total employment not only includes direct and indirect jobs, but also induced jobs. CEA estimates that total employment is increased by one job-year (one job for one year) for every \$92,000 in direct government spending. As a result of expending an additional \$357,000 in loans from the federal CDBG and BEGIN Re-Use funds for the rehabilitation program, staff calculates this will create 3.88 job-years.

ENVIRONMENTAL REVIEW

This is not considered a "project" as defined by the California Environmental Quality Act (CEQA) Guidelines 15378 and therefore no CEQA action is required.

Respectfully Submitted,

Suzanne Acrell, Housing Analyst

Chris Robles, Economic Development Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Ordinance No. 5691

Hsg BA Request 6-16

ORDINANCE NO. 5691

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for Owner Occupied Rehabilitation Program loans per request of the Economic Development Department, as listed on the attached Request for Budget Adjustment in the amount of \$357,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



COUNCIL COMMUNICATION

CC #: 7709

File #: 0201-01 & 0800-02

Title:	Recycled Water Systems Evaluation - Professional Services Agreement Amendment and Budget Adjustment
Contact:	George Hanson 916-746-1764 ghanson@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.29.

RECOMMENDATION TO COUNCIL

Recommend City Council adopt a resolution authorizing the City Manager to execute the Second Amendment to the Professional Service Agreement (PSA) with RMC Water and Environment for conducting a Recycled Water Systems Evaluation

Staff also recommends that City Council adopt the ordinance approving a budget adjustment that will transfer \$18,210 from the available resources of the Wastewater Operations Fund to the Recycled Water Operations Fund (08441-5101), to fund this second amendment to the PSA with RMC Water and Environment.

BACKGROUND

The City owns and operates a Recycled Water System which delivers more than 3,000 acre-feet per year of recycled water to various customers within the City's current service area. These customers include the Sun City Golf Course, Diamond Oaks Golf Course, Woodcreek Golf Course, the Roseville Energy Park, commercial landscapes, and various streetscapes and parks within the city.

The City continues to expand its wastewater and recycled water service as urban growth areas (UGA's) in the City and in the South Placer region develop. It is estimated that up to 10-15% of the water supply to these UGAs, in the future, will be provided by recycled water.

In 2009, the South Placer Regional Wastewater and Recycled Water Systems Evaluation (2009 Regional Evaluation) was prepared. The evaluation identified future recycled water customers and provided a single supply strategy to serve these customers through buildout. In 2010 the West Roseville Recycled Water Focused Study (2010 Focused Study) was conducted and, based on the 2007 Regional Evaluation, outlined multiple supply strategy alternatives for recycled water through buildout. However, it did not provide detailed infrastructure layout recommendations.

Since the 2010 study was performed, the City's internal policy on the amount of RW that can be

supplied to City UGAs has changed and has made it necessary to update the UGA supply strategy that was planned for in the 2007 Regional Evaluation and the 2010 Focused Study. In the original study, the volume of recycled water made available to City UGAs was limited to the volume of wastewater delivered from the UGA. The City has now moved to allowing greater use of recycled water within a City growth area if the supply is available from the overall wastewater system generation. The updated system evaluation will incorporate this new policy and provide for a comprehensive supply analysis and infrastructure plan for recommended facilities.

At the October 15 2014 City Council meeting, a resolution was adopted authorizing the City Manager to execute an agreement for Professional Services, with RMC, in the amount of \$154,845, to conduct the Recycled Water Systems Evaluation. As the work progressed, the City and RMC agreed that additional evaluations required to incorporate changes to the peak demand projections was required. That effort required an additional \$16,061. This was submitted and approved as the first amendment to the project, by the City Council at the October 7 2015 City Council Meeting.

During the development of the Final Recycled Water Systems Evaluation, unanticipated changes regarding the Placer Ranch UGA's Specific Plan processing, occurred, and that process was discontinued, which therefore significantly affects future demands. This necessitates changes to the systems evaluation to align the future plan with the current understanding of future development. The current remaining budget of \$2,300 is not sufficient to complete the changes required to update the systems evaluation. A 2nd amendment in the amount of \$18,201 is requested to complete the tasks listed below, related to the Placer Ranch change:

- Revise the market assessment demands to reflect Placer Ranch as an optional customer
 - Revise the hydraulic analysis to exclude the Placer Ranch Specific Plan Area.
 - Revise the associated facility improvements that were proposed, earlier as part of this evaluation
 - Revised facility recommendations, phasing, and cost estimates to incorporate changes to the buildout plan
 - Update the Systems Evaluation Report to reflect the new status of Placer Ranch.
- The Professional Services Agreement and scope of work is attached.

FISCAL IMPACT

The additional cost for the work included in this amendment is \$18,210. This will be in addition to the previous contract amount (i.e. the original contract amount plus the first amendment addition) of \$170,906. The revised total contract amount is therefore \$189,116 and will be funded from the Recycled Water Operations Fund (08441-5101).

ECONOMIC DEVELOPMENT / JOBS CREATED

There will be no direct economic development impacts or jobs created within the City of Roseville as a result of this project.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines

Section 15060 (c)(2). The Recycled Water Systems Evaluation does not include the potential for significant environmental effect, and therefore is not subject to CEQA. Any projects resulting from this study will be evaluated as part of project specific analyses completed at that time

Respectfully Submitted,

George Hanson, Senior Engineer

Richard Plecker, Environmental Utilities Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-206

RMC Water and Environment PSA

Ordinance No. 5687

Budget Adjustment

RESOLUTION NO. 16-206

APPROVING A SECOND AMENDMENT TO PROFESSIONAL SERVICES AGREEMENT
BETWEEN THE CITY OF ROSEVILLE AND RMC WATER AND ENVIRONMENT, AND
AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF
THE CITY OF ROSEVILLE

WHEREAS, a second amendment to professional services agreement for the recycled water systems evaluation project, by and between the City of Roseville and RMC Water and Environment, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said amendment is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

**SECOND AMENDMENT TO
PROFESSIONAL SERVICES AGREEMENT**

Project: Recycled Water Systems Evaluation

THIS SECOND AMENDMENT TO AGREEMENT is made and entered into this __ day of _____, 20__, by and between the City of Roseville, a municipal corporation ("CITY"), and RMC Water and Environment, a California corporation ("CONSULTANT"); and

WITNESSETH:

WHEREAS, CITY and CONSULTANT previously entered into a Professional Services Agreement ("Agreement") dated October 15, 2014, amended on October 21, 2015, regarding stand-alone recycled water systems evaluation ("Project"); and

WHEREAS, CITY desires to amend the Agreement to include additional services as described in CONSULTANT's letter/proposal dated April 29, 2016, which is attached hereto as Exhibit "A" and incorporated herein by reference; and

WHEREAS, CONSULTANT is willing and able to provide such additional services; and

NOW, THEREFORE, the parties agree as follows:

1. CONSULTANT shall provide additional services as described in Exhibit "A" of this Second Amendment to Agreement.
2. Paragraph 2 of the Agreement is amended by adding an additional paragraph to read as follows:

"CITY shall pay eighteen thousand, two hundred ten dollars (\$18,210.00), in consideration of the additional services as set forth in Exhibit "A" to the Second Amendment to Agreement. This brings the total not to exceed contract amount to one hundred eighty-nine thousand, one hundred sixteen dollars (\$189,116.00)."

3. All other provisions of the Agreement shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Second Amendment to Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20__, and CONSULTANT has caused this Second Amendment to Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation

RMC WATER AND ENVIRONMENT, a
California corporation

BY: _____
ROB JENSEN
City Manager

BY: David L. Richardson
its: Senior Vice President

ATTEST:

and

BY: _____
SONIA OROZCO
City Clerk

BY: Stephen Clay
its: Secretary

[SIGNATURES CONTINUED ON FOLLOWING PAGE]

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY:  _____
RICHARD D. PLECKER
Environmental Utilities Director



April 29, 2016

George Hanson
Environmental Utilities – Engineering
City of Roseville
2005 Hilltop Circle
Roseville, CA 95747

Subject: Recycled Water Systems Evaluation; Amendment Request for Systems Evaluation

Dear Mr. Hanson,

During the development of the Final Recycled Water Systems Evaluation, some unanticipated changes to the Placer Ranch UGA have occurred which would significantly affect future demands. Changes to the report have been identified which would bring the report into alignment with the current understanding of future development. The current remaining budget is not sufficient to complete the changes required and will be used to make other changes in the report. A contract amendment of \$18,210 (total contract of \$189,116) is requested to complete the following tasks related to the Placer Ranch changes:

- Revise market assessment demands to reflect Placer Ranch as an optional customer, and update all figures accordingly
- Revise hydraulic model to remove Placer Ranch and remove/revise associated facilities
- Revise facility recommendations, phasing, and cost estimates to incorporate changes (primarily to North Zone Pump Station).
- Update Systems Evaluation Report to reflect new status of Placer Ranch, and remove non-relevant information.

A summary of the cost to complete is summarized in Table 1.

Sincerely,

A handwritten signature in blue ink, appearing to read "David L. Richardson".

David L Richardson, P.E.
Principal and Senior Project Manager

Attachments:

Exhibit A: Budget and Amendment Summary

Exhibit A: Budget and Amendment Summary (as of 12/30/2015)

Task	Current Budget	Cost to Complete/ Amendment Request	Amended Budget
Task 1: Project Management	\$ 12,826	\$ 967	\$ 13,793
Task 2: Data Collection & Review	\$ 4,732	\$ -	\$ 4,732
Task 3: Recycled Water Market Assessment	\$ 27,059	\$ 1,450	\$ 28,509
Task 4: Alternatives Development & Analysis	\$ 61,545	\$ 4,368	\$ 65,913
Task 5: Recommended Facilities Project Plan	\$ 37,442	\$ 2,810	\$ 40,252
Task 6: Recycled Water Systems Evaluation Report	\$ 27,303	\$ 8,615	\$ 35,918
Project Total	\$ 170,906	\$ 18,210	\$ 189,116

ORDINANCE NO. 5687

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for the recycled water systems evaluation project per request of the Environmental Utilities Department, as listed on the attached Request for Budget Adjustment in the amount of \$18,210.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:

[A.R. 6.01 Budget Adjustment Policy & Procedure.](#)

For more detailed budget adjustment training information, including examples, please click on the following link:

[Miscellaneous Budget Training Information.](#)

REQUESTER*: George Hanson

DEPARTMENT/DIVISION*: EU Engineering

FISCAL YEAR/EFFECTIVE DATE*: FY16

PROPOSED COUNCIL DATE (if applicable): 06/01/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
18,210	08441	5101	470			Recycled Water Operations
\$ 18,210	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
18,210			470			Available Funds - Wastewater
\$ 18,210	TOTAL					

FINANCE USE	Approved:	Comments:
	<i>Kathy Cullen</i> 5/11/16	
	BUDGET MANAGER/DESIGNEE	DATE

Justification for Budget Adjustment*

To provide additional funding to support the second amendment to professional services agreement for the recycled water system evaluation.

Approved:	Approved:
<i>[Signature]</i> 5-11-16	
REQUESTING DEPARTMENT HEAD / DESIGNEE	CITY MANAGER
DATE	DATE



COUNCIL COMMUNICATION

CC #: 7692

File #: 0201-01 & 0800-06

Title: Amoruso Ranch Specific Plan Professional Services - Budget Adjustment

Contact: Brandy LeBeau 916-774-5278 blebeau@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.30.

RECOMMENDATION TO COUNCIL

The Development Services Department, Planning Division, requests that the City Council adopt an ordinance for a budget adjustment of \$150,000 for professional services and City staff costs required for the processing of the Amoruso Ranch Specific Plan.

BACKGROUND

The City Council directed staff to begin processing the Amoruso Ranch Specific Plan (ARSP) in 2011. Staff has spent the last several years working with Brookfield Residential and their specific plan team on the land use plan and draft specific plan document. In late 2013, the City began work on the technical studies needed to prepare the Draft Environmental Impact Report (DEIR). Recently, the applicant has made changes to the land use plan that will affect the technical studies, the DEIR and other professional services needed to complete the ARSP.

Given the changes described above, a budget adjustment of \$150,000 is requested to continue the various professional services for the project, including the preparation of the technical studies and modifications to the DEIR as a result of the land use changes. This budget adjustment will also provide funding for City staff time that is being charged as part of the project review.

FISCAL IMPACT

There is no General Fund Impact. The Specific Plan landowner has agreed to reimburse the City's costs associated with the project as required by the funding agreement. Specific Plan projects are full cost recovery projects. Staff's time processing the project and preparing the EIR will be billable to the applicant.

ECONOMIC DEVELOPMENT / JOBS CREATED

This request will provide funding for work performed by project consultants and City staff.

Although difficult to quantify on a per job basis, it will be directly supporting jobs in the region.

ENVIRONMENTAL REVIEW

Approval of professional services is not considered a “project” as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines 15378). No CEQA action is required.

Respectfully Submitted,

Brandy LeBeau, Administrative Analyst

Kevin Payne, Development Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Ordinance No. 5686

Budget Adjustment

ORDINANCE NO. 5686

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for Amoruso Ranch Specific Plan costs per request of the Development Services Department, as listed on the attached Request for Budget Adjustment in the amount of \$150,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:

[A.R. 6.01 Budget Adjustment Policy & Procedure.](#)

For more detailed budget adjustment training information, including examples, please click on the following link:

[Miscellaneous Budget Training Information.](#)

REQUESTER*: Brandy LeBeau

DEPARTMENT/DIVISION*: Development Services

FISCAL YEAR/EFFECTIVE DATE*: FY16 - June 1, 2016

PROPOSED COUNCIL DATE (if applicable): 06/01/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL		FUND*	JL		
	ORG KEY*	OBJECT*		PROJECT	ACTIVITY	
150,000	90116	5650	0100			Internal Charges
\$ 150,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL		FUND*	JL		
	ORG KEY	OBJECT		PROJECT	ACTIVITY	
150,000	90116	3575	0100			Developer Reimbursement
\$ 150,000	TOTAL					

FINANCE USE	Approved: <u>Kathy Cullen</u> 5/14/16 BUDGET MANAGER/DISEGNEE DATE	Comments:
-------------	---	-----------

Justification for Budget Adjustment*:

Developer Reimbursement for additional internal staff charges

Approved: <u>Kevin Payne</u> REQUESTING DEPARTMENT HEAD / DESIGNEE DATE	Approved: _____ CITY MANAGER DATE
--	--------------------------------------



COUNCIL COMMUNICATION

CC #: 7715

File #: 0201-01 & 0704

Title: Westpark Community Facilities District No. 2 - FY2016 Budget Adjustment

Contact: Jennifer Kwok 916-774-5307 jkwok@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.31.

RECOMMENDATION TO COUNCIL

The Finance Department recommends the City Council approve the attached Ordinance authorizing the attached Westpark CFD #2 budget adjustment.

BACKGROUND

The City's Park & Recreation department has determined a budget adjustment is needed for Westpark CFD #2 due to the new development on the west side of the City. The budget adjustment is an increase to the contract maintenance for landscaping of \$30,000 (from \$277,400 to \$307,400) which is mainly attributed to five new streetscapes that were added for maintenance this fiscal year.

FISCAL IMPACT

There is no impact on the General Fund since the maintenance costs are covered directly by the CFD. The Westpark CFD#2 fund has sufficient balances to accommodate this request.

ECONOMIC DEVELOPMENT / JOBS CREATED

The attached budget adjustment could have an impact on economic development or job creation due to the increased coverage of additional landscaping maintenance.

ENVIRONMENTAL REVIEW

The budget adjustment is not considered a "project" as defined by the California Environmental Quality Act (CEQA), Section 15273 of the CEQA guidelines and therefore no CEQA action is required.

Respectfully Submitted,

Jennifer Kwok, Financial Analyst

Monty Hanks, Finance Director

A handwritten signature in blue ink, appearing to be 'Rob Jensen', with a long horizontal stroke extending to the right.

Rob Jensen, City Manager

ATTACHMENTS:

Description

Ordinance No. 5689

Westpark CFD 2 Request for Budget Adjustment

ORDINANCE NO. 5689

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for Westpark CFD #2 per the request of the Parks and Recreation Department, as listed on the attached Request for Budget Adjustment in the amount of \$30,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT
FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:

[A.R. 6.01 Budget Adjustment Policy & Procedure.](#)

For more detailed budget adjustment training information, including examples, please click on the following link:

[Miscellaneous Budget Training Information.](#)

REQUESTER*: JENNIFER KWOK

DEPARTMENT/DIVISION*: FINANCE/ACCOUNTING

FISCAL YEAR/EFFECTIVE DATE*: 2016

PROPOSED COUNCIL DATE (if applicable): 06/01/2016

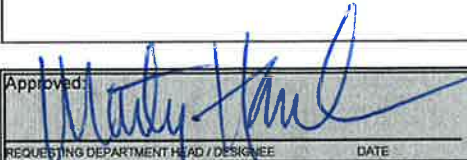
USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
30,000	00775	5102	200			CONTRACT MAINT-LANDSCAPES
\$ 30,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
30,000	00775		200			AVAILABLE RESOURCES
\$ 30,000	TOTAL					

FINANCE USE	Approved:	Comments:
	 BUDGET MANAGER/DESIGNEE DATE	

Justification for Budget Adjustment*:

The City's Park & Recreation department has determined a budget adjustment is needed for Westpark CFD #2 due to all the development on the west side of the City. They need to increase the contract maintenance landscapes budget by \$30,000 (from \$277,400 to \$307,400).

Approved:	Approved:
 REQUESTING DEPARTMENT HEAD / DESIGNEE DATE	CITY MANAGER DATE



COUNCIL COMMUNICATION

CC #: 7690

File #: 0201-01 & 0900-04-01

Title: 2015 Regional Surface Transportation Program Arterial Resurfacing Project - Award of Contract and Budget Adjustment

Contact: Luz "Nina" Buelna 917-746-1375 nbuelna@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.32.

RECOMMENDATION TO COUNCIL

Staff recommends City Council award the project to the lowest responsive bidder, Central Valley Engineering and Asphalt, Inc., in the amount of \$6,390,294.00, adopt a resolution authorizing the City Manager to execute the agreement with authorization for staff to exceed the amount by 10% as a construction contingency for change orders, and authorize a budget adjustment to transfer \$750,000.00 from the Local Transportation Fund and \$700,000 from the Gas Tax Fund to the project account.

BACKGROUND

The scope of this project includes: resurfacing pavement sections with hot mix asphalt, curb ramp reconstruction, and signal detector loop replacements at various locations throughout the City.

The streets that will be resurfaced are portions of: Pleasant Grove Blvd., Fiddymment Rd., Foothills Blvd., Galilee Rd., Vineyard Rd., East Roseville Pkwy., Atlantic St., Eureka Rd., Sunrise Ave., North Sunrise Ave., Sierra Gardens Dr., Santa Clara Dr., Vernon St., Melody Ln., Junction Blvd., and Douglas Blvd. (see attached location map).

We anticipate construction to begin in early July 2016 with completion by the end of November 2016. All roads will remain open during construction, and working hours will vary depending upon the specific traffic characteristics of each roadway. Night work will likely be necessary along many of these roadways because they are so heavily traveled during the day.

On February 17, 2016 the City Council approved the plans and specifications for the project and authorized staff to call for bids. Five bids were received and opened April 26, 2016.

The engineer's estimate and bid are as follows:

Engineers Estimate	\$ 6,240,675.00
Central Valley	\$ 6,390,294.00

Telfer Pavement Technology	\$ 6,935,500.00
Teichert Construction	\$ 7,496,129.00
Intermountain Slurry Seal	\$ 8,232,349.14
Martin Brothers Construction	\$ 8,919,115.00

PUBLIC OUTREACH

Public Works Staff has been working with our communication team to develop a public outreach campaign to keep the community informed of the project's progress and traffic impacts during the construction phase of the project. This includes disseminating the latest project information on the City's web page and through news stories, traffic alerts, and social media. About two weeks before the planned work, Changeable Message Signs (CMS) will be placed at various locations throughout the project area to notify the traveling public about the project, its start and end times, and any potential traffic impacts expected with the work. The majority of the work will take place at night. Staff will also send letters to residents and businesses adjacent to the project area explaining the planned work, the type of pavement treatment that will be used, and what the recipients can expect before, during, and after the project.

FISCAL IMPACT

The funding for this project includes \$750,000.00 from the Local Transportation Fund (441), \$700,000.00 from Gas Tax (201), and two Regional Surface Transportation Program (RSTP) allotments; approximately \$2.3 million from 2015 and \$4 million in funds advanced from 2018. Advancing RSTP funds will allow us to perform work sooner rather than later. That saves money in the long run because delaying the work would allow the roads to deteriorate further, thereby increasing costs to repair. Also, with a larger project, the City can take advantage of the economy of scale (bigger project equates to a cheaper unit cost). Furthermore, Placer County Transportation Planning Agency (PCTPA) staff has indicated a benefit to advancing RSTP funds as it helps to ensure that our region's allotment of Federal funds are used so as to not risk our allotment getting reduced due to lack of spending.

No General Funds will be used on this project.

ECONOMIC DEVELOPMENT / JOBS CREATED

Federal guidelines suggest there is one job created for every \$92,000.00 in direct government spending. Based on that figure, this project will create 76 jobs.

ENVIRONMENTAL REVIEW

The proposed project involves the maintenance of an existing facility. This activity is categorically exempt from CEQA as a Class 1 Exemption (State CEQA Guidelines Section 15301). The Exemption has been prepared and no further CEQA action is required.

Respectfully Submitted,

Luz "Nina" Buelna, Associate Engineer

Rhon Herndon, Public Works Director

A handwritten signature in blue ink, appearing to be 'Rob Jensen', with a long horizontal stroke extending to the right.

Rob Jensen, City Manager

ATTACHMENTS:

Description

Attachment "A"

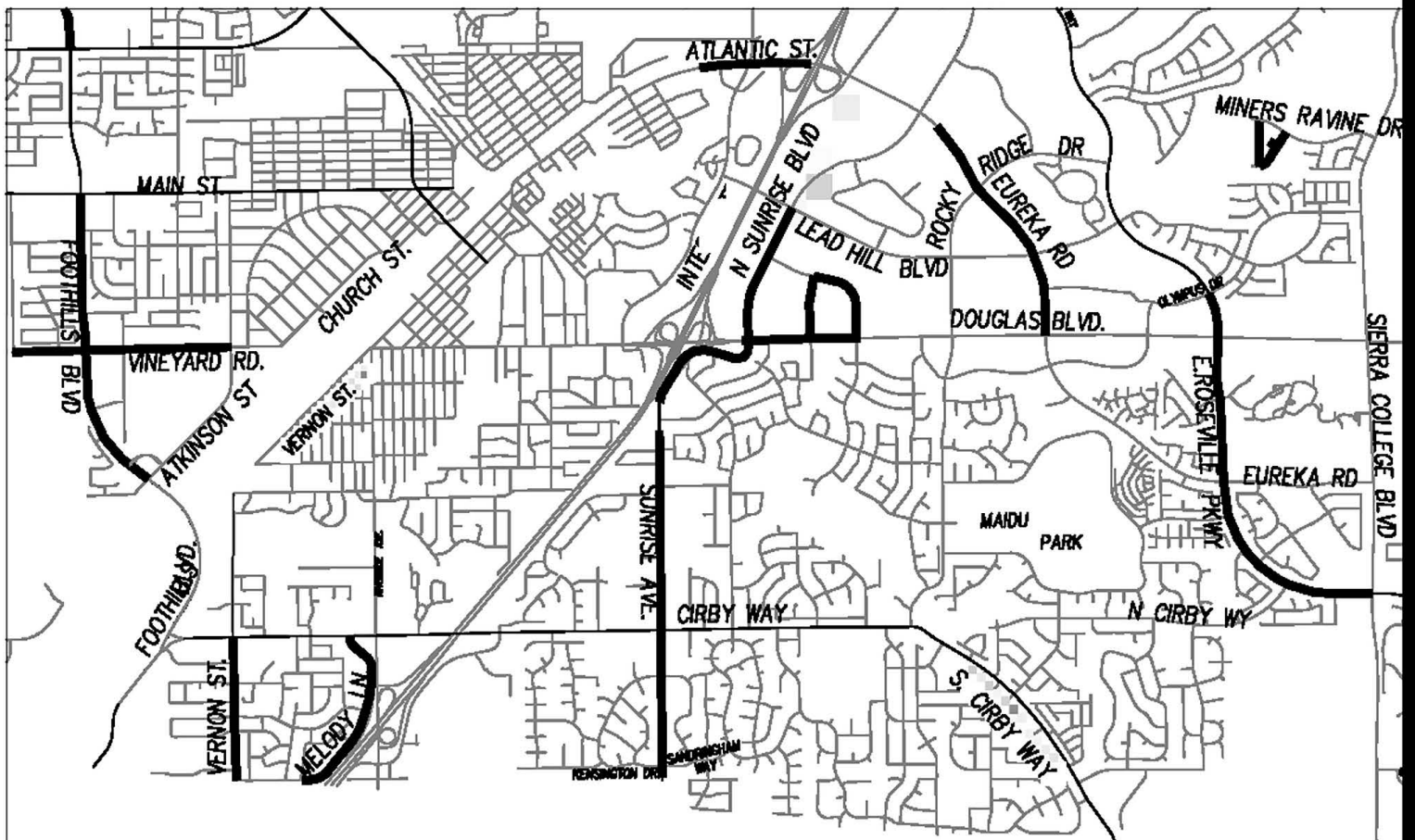
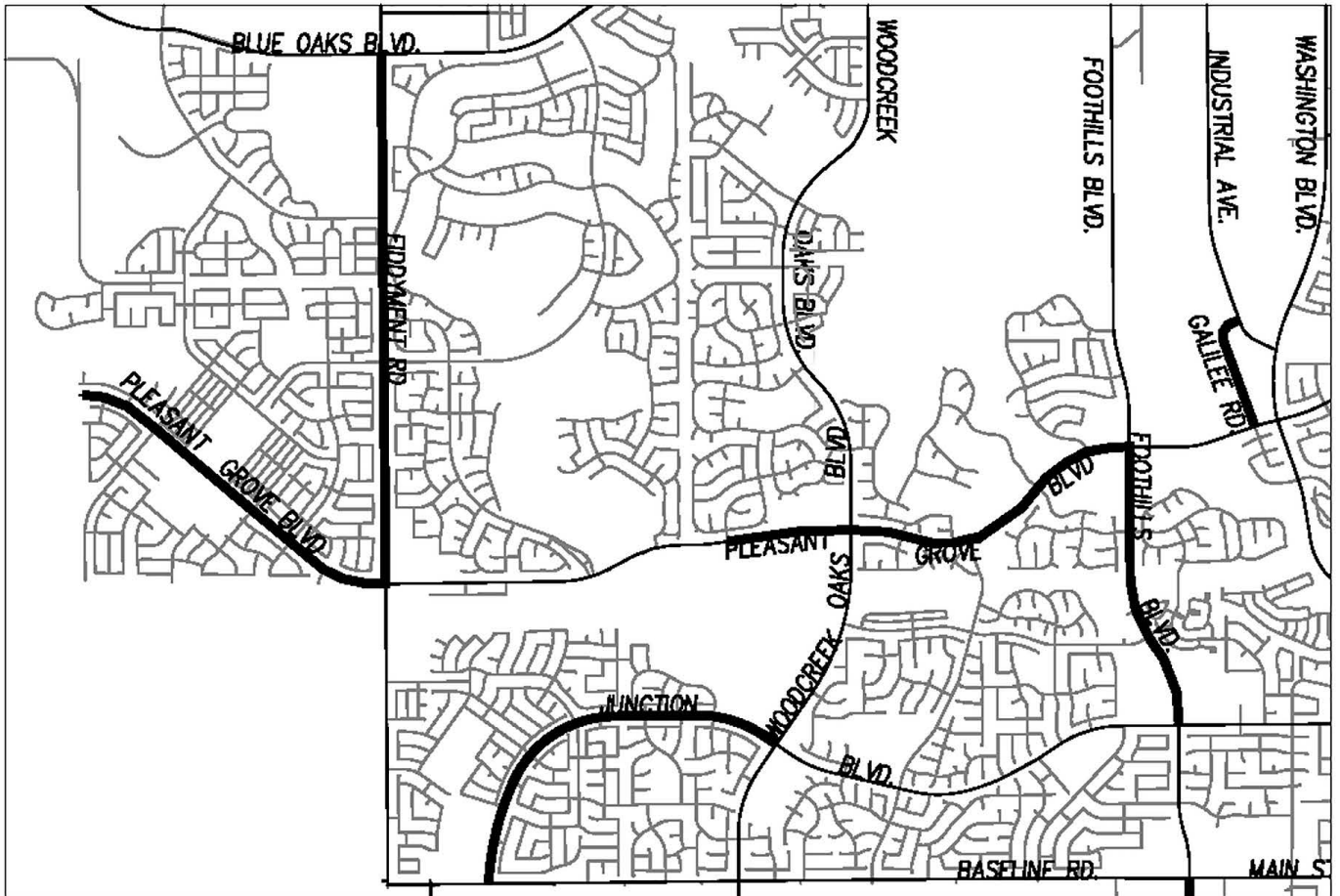
Resolution No. 16-197

Central Valley Agreement

Ordinance No. 5685

BUDGET ADJUSTMENT

ATTACHMENT "A"
2015 RSTP RESURFACING
PROJECT LOCATION MAP



RESOLUTION NO. 16-197

APPROVING AN AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND CENTRAL VALLEY ENGINEER AND ASPHALT INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, an agreement regarding the 2015 Arterial Microsurfacing Project, by and between the City of Roseville and Central Valley Engineer and Asphalt Inc., has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville; and

BE IT FURTHER RESOLVED that the Director of Public Works shall be authorized to approve change orders for the Project, consistent with the contract terms, provided that the net cost of all change orders shall not exceed ten percent (10%) of the contract price.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

III AGREEMENT

A G R E E M E N T

THIS AGREEMENT, is made and entered into this 1 day of June,
2016 by and between the City of Roseville, a municipal corporation, ("CITY"), and
Central Valley Engineer and Asphalt Inc, a California Corporation,
("CONTRACTOR"),

W I T N E S S E T H:

WHEREAS, the City Council of the CITY, at a meeting held on the 16 day of
February, 2016, approved plans and specifications for the 2015 RSTP
Arterial Microsurfacing Project

and directed the City Clerk to advertise for sealed proposals for doing said work and providing
that bids be submitted on the 26 day of April, 2016 and

WHEREAS, the City Clerk, thereafter duly and regularly caused a notice to be
published in the manner and for the time prescribed by law, and

WHEREAS, CONTRACTOR, pursuant to the provisions of said notice duly filed a bid
with the City Clerk, a true copy of which bid is now on file in the office of the City Clerk, and
is hereby referred to and by this reference made a part hereof as fully as if set forth at length
herein, and

WHEREAS, all bids received pursuant to said notice were opened and examined and
publicly declared at the time specified in said advertisement for bids and at a meeting of the
City Council held on the 1 day of June, 2016, the Council found and
declared the bid of CONTRACTOR to be the lowest responsible bid and thereupon awarded a
contract to CONTRACTOR to do the work referred to in the aforementioned specifications,

NOW, THEREFORE, the parties agree as follows:

1. THE WORK. CONTRACTOR agrees:

a. To do the work and furnish all the labor, materials, tools, equipment and insurance required for the 2015 RSTP Arterial Microsurfacing Project

Central Valley Engineering and Asphalt Inc.

in accordance with the Contract Documents (the work).

b. To do and perform the Work contemplated hereby in a good and workmanlike manner under the direction of and to the satisfaction of the Director of Public Works of the City of Roseville.

2. PAYMENT. CITY shall pay CONTRACTOR \$6,390,294 .00 Six million three hundred ninty thousand, two hundred nintey four dollars and zero cents for the Work.

3. CONTRACT DOCUMENTS. The complete Agreement between the parties hereto consists of the following documents:

- a. The advertisement for bids (including the notice to bidders, instructions to bidders and proposals);
- b. The accepted bid;
- c. Plans and specifications (including standard construction specifications, special provisions and construction details);
- d. Bonds and insurance required by the specifications; and
- e. This contract.

All documents specified above are intended to operate so that any work called for in any one and not mentioned in the other, or vice versa, is to be executed the same as if mentioned in all said documents.

4. LIQUIDATED DAMAGES. In the event CONTRACTOR does not complete the work within the time specified, CONTRACTOR agrees that CITY will suffer damages. Inasmuch as the actual damages which would result from such breach by CONTRACTOR

under this Agreement are uncertain, and would be impractical or extremely difficult to fix, CONTRACTOR agrees that it shall pay, or CITY shall deduct from CONTRACTOR's fee, the amount of \$ 12,500.00 per day as liquidated damages, in the event of such delay.

5. TIME OF ESSENCE. Time is of the essence of this Agreement.

6. ATTORNEY'S FEES; VENUE; GOVERNING LAW. If either party commences any legal action against the other party arising out of this Agreement or the performance thereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorneys' fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

7. INDEPENDENT CONTRACTOR. CONTRACTOR shall act as an independent contractor, and covenants and agrees that it will conduct itself consistent with such status, that it will neither hold itself out as, nor claim to be, an officer or employee of CITY by reason of this Agreement.

8. SUCCESSORS IN INTEREST. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.

9. MODIFICATION. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

10. SEVERABILITY. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

11. INTEGRATED AGREEMENT. This is an integrated agreement and contains all of the terms, considerations, understanding and promises of the parties. It shall be read as a whole.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attestation by its City Clerk under authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20____, and CONTRACTOR has caused this Agreement to be duly executed.

CITY OF ROSEVILLE,
a municipal corporation

CONTRACTOR:

By: _____
ROB JENSEN
City Manager

By: _____
its: James Castle, CEO/Secretary

ATTEST:

AND

By: _____
SONIA OROZCO
City Clerk

By: _____
its: Warren Holt, President

APPROVED AS TO FORM:

Business License #: 00803812

By: _____
ROBERT R. SCHMITT
City Attorney

ORDINANCE NO. 5685

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for the 2015 RSTP Arterial Resurfacing project per request of the Public Works Department, as listed on the attached Request for Budget Adjustment in the amount of \$2,900,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

ORDINANCE NO.

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for the 2015 RSTP Arterial Resurfacing project per request of the Public Works Department, as listed on the attached Request for Budget Adjustment in the amount of \$1,450,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this _____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



COUNCIL COMMUNICATION

CC #: 7724

File #: 0201-01 & 0800-02

Title: Westbrook Monitoring Well - Reimbursement Agreement Amendment and Budget Adjustment
Contact: Jose Lopez 916-774-5688 jlopez@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.33.

RECOMMENDATION TO COUNCIL

Staff recommends that City Council adopt a resolution authorizing the City Manager to execute a First Amendment to City/Developer Reimbursement Agreement with WP Development Company, LLC and approve a budget adjustment for additional costs associated with the construction of a monitoring well.

BACKGROUND

On June 20, 2012, City Council approved the Development Agreement for the WSP. As part of the approval, the Developer shall dedicate to the City a parcel for a groundwater well and shall be responsible for drilling and completing the production well. The City shall be responsible for the construction of a monitoring well for determining water production and quality and the above ground well improvements such as the pump, treatment facilities, and pump building.

Per the Development Agreement, the well shall be drilled prior to the time of occupancy of any residential units within 500 feet of the well site. Home construction is rapidly approaching the proposed well site. To facilitate the well drilling process and meet the obligations set by the Development Agreement, the City agreed to enter into a Reimbursement Agreement with the Developer for the construction of a monitoring well. The Developer contracted the services of Nor-Cal Pump & Well Drilling, Inc. for \$125,935. Actual construction costs were higher than estimated and the driller experienced construction complications which required additional design services. These factors increased project costs by \$67,237 for a final design and construction cost of \$193,172. Approvals of the First Amendment to City/Developer Reimbursement Agreement (Exhibit A) and a budget adjustment (Exhibit B) are requested.

FISCAL IMPACT

Actual construction costs were higher than estimated due to construction complications and additional design services. This increased project costs by \$67,237 for a final design and construction cost of \$193,172. Funding for the monitoring well is provided by Water Connection

Fees. The request for a budget adjustment is attached as Exhibit B.

ECONOMIC DEVELOPMENT / JOBS CREATED

According to the SRRRI Stimulus Calculation Tool, construction of the project could generate a short-term benefit in California of 1.5 jobs and an additional \$104,000 of output through indirect and induced activities.

ENVIRONMENTAL REVIEW

Adoption of the attached resolution for approval of reimbursement agreement for monitoring well installation is an action that is subject to CEQA. Project level CEQA compliance for this action was accomplished with preparation of the Westbrook Specific Plan Amendment to the Sierra Vista Specific Plan IS/MND (Adopted: June 6, 2012) (SCH:2008032115). Because the monitoring well project is consistent with the project evaluated in the Westbrook IS/MND, no new effects would be expected to occur and all applicable mitigation measures will be implemented. As such, no additional CEQA action is required at this time.

Respectfully Submitted,

Jose Lopez, Senior Engineer

Richard Plecker, Environmental Utilities Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-216

Exhibit A - First Amendment to Reimbursement Agreement

Ordinance No. 5690

Exhibit B - Budget Adjustment

RESOLUTION NO. 16-216

APPROVING A FIRST AMENDMENT TO CITY/DEVELOPER REIMBURSEMENT
AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND WP
DEVELOPMENT COMPANY, LLC, AND AUTHORIZING THE CITY MANAGER TO
EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a first amendment to City/Developer reimbursement agreement regarding the Westbrook Monitoring Well Project, by and between the City of Roseville and WP Development Company, LLC, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said amendment is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville; and

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

**FIRST AMENDMENT TO
CITY/DEVELOPER REIMBURSEMENT AGREEMENT**

Project: Westbrook Monitoring Well

THIS FIRST AMENDMENT TO AGREEMENT, is made and entered into this ____ day of _____, 20__, by and between the City of Roseville, a municipal corporation ("CITY"), and WP Development Company, LLC, a California limited liability company ("DEVELOPER"); and

W I T N E S S E T H:

WHEREAS, CITY and DEVELOPER previously entered into a City/Developer Reimbursement Agreement dated November 18, 2015, ("Agreement") regarding the Westbrook Monitoring Well ("Project"); and

WHEREAS, the parties desire to amend the Agreement as described below; and

NOW, THEREFORE, the parties agree as follows:

1. Paragraph E of the Agreement is amended to read as follows:

"E. The total cost of designing, managing, and constructing the Public Improvements as estimated using current prevailing wages and in accordance with the test well construction technical specifications is estimated at approximately one hundred ninety-three thousand, one hundred seventy-two dollars (\$193,172.00) ("Improvement Costs"), as more

particularly set out in the breakdown of costs ("Breakdown of Costs") in Exhibit "C," attached hereto and incorporated by this reference."

2. Paragraph F of the Agreement is amended to read as follows:

"F. Pursuant to the Breakdown of Costs, the parties have determined that the obligation of Improvement Costs will be allocated between CITY and DEVELOPER as follows:

DEVELOPER	\$ 0.00
CITY	\$ 193,172.00
TOTAL	\$ 193,172.00"

3. Exhibit "C" to the City/Developer Reimbursement Agreement shall be replaced with revised Exhibit "C," attached hereto and incorporated by this reference.

4. All other provisions of the Agreement shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation has authorized the execution of this First Amendment to City/Developer Reimbursement Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20__, and DEVELOPER has caused this First Amendment to City/Developer Reimbursement Agreement to be executed.

[SIGNATURES ON FOLLOWING PAGE]

CITY OF ROSEVILLE, a
municipal corporation

WP DEVELOPMENT COMPANY, LLC,
a California limited liability company

BY: _____
ROB JENSEN
City Manager

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY: 
RICHARD D. PLECKER, P.E.
Environmental Utilities Director

BY: 
its: Manager

and

BY: _____

its: _____

EXHIBIT “C” - Revised

EXHIBIT C**Final Cost
Westbrook Test Well Construction**

				Nor-Cal	
Bid Item	Description	Units	Quantity	Unit Price, \$	Total Price, \$
1	Test Well Mobilization/Demobilization	LS	1	24,750	24,750
2	Standby Time	Hour	4	100	400
3	6-inch Diameter Pilot Borehole Drilling	Feet	600	35	21,000
4	10-in Diameter Borehole Reaming	Feet	145	50	7,250
5	14-Inch Diameter Borehole Reaming	Feet	375	50	18,750
6	Test Well Geophysical Logging	LS	1	2,950	2,950
7	Test Well Caliper Logging	LS	1	2,950	2,950
8	4-Inch ID Schedule 80 PVC Flush Threaded Blank Casing	Feet	766	17	13,022
9	4-inch ID Schedule 80 PVC Flush Threaded Screened Casing with 0.03-Inch Slots	Feet	100	14	1,400
10	Test Well Sand Filter Pack (SRI #8)	Feet	170	30	5,100
11	Test Well Bentonite Seal	Feet	75	95	7,125
12	Test Well Gravel Fill	Feet	255	5	1,275
13	Test Well Sand/Cement Annular Seal	Feet	50	95	4,750
14	Test Well Development by Swabbing and Air Lifting	Hour	16	250	4,000
15	Test Well Pumping Development	Hour	16	250	4,000
16	Test Well Water Quality Testing (see Attachment A)	Each	2	3,500	7,000
17	Test Well Surface Completion	LS	1	2,450	2,450
18	Design and Construction Management Services	LS	1	65,000	65,000
Items 1 thru 18 Total Bid Amount					\$193,172

ORDINANCE NO. 5690

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for the Westbrook Monitoring Well project per request of the Environmental Utilities Department, as listed on the attached Request for Budget Adjustment in the amount of \$67,237.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in: [A.R. 6.01 Budget Adjustment Policy & Procedure.](#)

For more detailed budget adjustment training information, including examples, please click on the following link: [Miscellaneous Budget Training Information.](#)

REQUESTER*: Jose C. Lopez

DEPARTMENT/DIVISION*: EU /Water

FISCAL YEAR/EFFECTIVE DATE*: FY 16 / May 18, 2016

PROPOSED COUNCIL DATE (if applicable): 05/18/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
67,237	30002	6130	00481	163004	45	Reimbursement for Westbrook monitoring well.
\$ 67,237	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
67,237			00481			Water Construction
\$ 67,237	TOTAL					

FINANCE USE Approved: Kathy Cullen 4/18/16 Comments: _____

BUDGET MANAGER/DEPUTY _____ DATE _____

Justification for Budget Adjustment*:

This budget adjustment is to reimburse WP Development Company for the additional design and inspection services for the construction of the monitoring well.

Approved: <u>Thomas D. Pann</u> 5/6/16	Approved: _____
REQUESTING DEPARTMENT HEAD / DESIGNER	CITY MANAGER
DATE	DATE



COUNCIL COMMUNICATION

CC #: 7734
File #: 0600-01

Title: Second Reading of Ordinance - Municipal Code Amendment - Personnel Rules and Regulations - Paid Sick Leave
Contact: Gayle Satchwell 916-774-5374 gsatchwell@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.34.

RECOMMENDATION TO COUNCIL

ORDINANCE NO. 5692 OF THE COUNCIL OF THE CITY OF ROSEVILLE ADDING SECTION 3.00.415 OF CHAPTER 3.00 AND AMENDING SECTIONS 3.00.420 OF CHAPTER 3.00 AND 3.12.070 OF CHAPTER 3.12 OF TITLE 3 OF THE ROSEVILLE MUNICIPAL CODE REGARDING PAID SICK LEAVE, for second reading and adoption.

BACKGROUND

Not Applicable.

FISCAL IMPACT

Not Applicable.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

ENVIRONMENTAL REVIEW

Not Applicable.

Respectfully Submitted,

Gayle Satchwell, Human Resources Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Ordinance No. 5692

ORDINANCE NO. 5692

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE ADDING SECTION 3.00.415 OF CHAPTER 3.00 AND AMENDING SECTIONS 3.00.420 OF CHAPTER 3.00 AND 3.12.070 OF CHAPTER 3.12 OF TITLE 3 OF THE ROSEVILLE MUNICIPAL CODE REGARDING PAID SICK LEAVE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. Sections 3.00.415 of Chapter 3.00 of Title 3 of the Roseville Municipal Code is hereby added to read as follows:

3.00.415 Health care provider.

“Health care provider” has the same meaning as defined in paragraph (6) of subdivision (c) of Section 12945.2 of the California Government Code, as it may be amended.

SECTION 2. Section 3.00.420 of Chapter 3.00 of Title 3 of the Roseville Municipal Code is hereby amended to read as follows:

3.00.420 Immediate family.

“Immediate family member” means any of the following:

- A. A child, which for purposes of this title means a biological, adopted, or foster child, stepchild, legal ward, child of a domestic partner, or a child to whom the employee stands in loco parentis. This definition of a child is applicable regardless of age of dependency status.
- B. A biological, adoptive, or foster parent, stepparent, mother-in-law, father-in-law, or legal guardian of an employee or the employee’s spouse or registered domestic partner, or a person who stood in loco parentis when the employee was a minor child.
- C. A spouse.
- D. A registered domestic partner.
- E. A grandparent.
- F. A grandchild.
- G. A sibling or stepsibling.
- H. Any person for whom the employee has guardianship.

SECTION 3. Section 3.12.070 of Chapter 3.12 of Title 3 of the Roseville Municipal Code is hereby amended to read as follows:

3.12.070 Sick leave—All employees except temporary and seasonal appointments.

A. General. The objective of this section is to provide methods of furthering the health and general welfare of city employees, as well as ensuring maximum and reasonable job attendance. Sick leave is paid time away from work duties in the event of one of the following circumstances:

1. Actual illness or injury of the employee. For any illnesses or injuries that arose out of employment (either with the city or an outside employer), the employee shall be charged with sick leave usage only to the extent that the employee's compensation is not covered by Workers' Compensation;
2. The employee's exposure to a contagious disease;
3. Where the employee's medical attention to an immediate family member, as defined in these personnel rules, is required due to the immediate family member's illness, injury or pregnancy related medical condition;
4. Medical or dental appointments of the employee, or employee's immediate family members;
5. Emergency leave due to the death or imminent death of family members;
6. Diagnosis, care, or treatment of an existing health condition of, or preventive care for, an employee or an employee's immediate family member;
7. For an employee who is a victim of domestic violence, sexual assault, or stalking and needs to take leave for purposes described in subdivision (c) of Section 230 and subdivision (a) of Section 230.1 of the California Labor Code, as such sections may be amended.

B. Accrual/Use. Sick leave is accrued by all classified employees on the basis of biweekly payrolls. On each day following completion of one (1) month of continuous service, eligible full-time employees shall receive credit for eight (8) hours or one-half (1/2) of a 24-hour shift leave with pay, the total of which shall not exceed twelve (12) days or six (6) shifts in any 12-month period. Sick leave may be used for those purposes listed above. Employees on a modified schedule work assignment shall accrue sick leave on a pro rata basis of hours worked, but in no case will earn less than one (1) hour of sick leave for every thirty (30) hours worked. Maximum accumulation of sick leave shall be unlimited.

Employees may be granted sick leave in minimum units of one-half (1/2) hour for those reasons specified in subsection A of this section, provided that such time has been earned.

Department heads may require probationary employees to support each incident of sick leave use by a written certification from a health care provider as set forth in section H below, except that a written certification from a health care provider shall not be required in order for an employee to receive paid sick leave for the first twenty-four (24) hours of sick leave used in a

twelve (12) month period. Nevertheless, a written certification from a health care provider may be required at the discretion of the department head in order to determine whether an employee is abusing sick leave for the first twenty-four (24) hours of sick leave used in a twelve (12) month period. For purposes of this section, the twelve (12) month period is calculated on a calendar year basis.

Employees shall not use sick leave for disabilities determined to be job-related, except as provided for in Section 3.12.100, nor shall employees be allowed to use sick leave for the sole purpose of utilizing such accrued time prior to separation from service except as provided for in applicable labor agreements.

C. **Illness/Injury During Vacation.** An employee who becomes ill or injured while on vacation may have such period of illness/injury charged to the employee's accumulated sick leave rather than vacation, provided that immediately upon return to duty, the employee submits to the department head a written request for sick leave, accompanied by a written certification from a health care provider that complies with section H below. A written certification from a health care provider is not required in order for an employee to receive paid sick leave for the first twenty-four (24) hours of sick leave used in a twelve (12) month period, but may be required at the discretion of the department head in order to determine whether an employee is abusing sick leave. For purposes of this section, the twelve (12) month period is calculated on a calendar year basis.

D. **Holidays During Sick Leave or Disability.** Observed holidays occurring during paid sick leave shall not be counted as a day of sick leave or disability, but shall be regarded as the taking of a holiday.

E. **Funeral and Bereavement Leave.** All regular non-24-hour shift employees may be granted a maximum of eight days (64 hours) of sick leave and all regular 24-hour shift employees may be granted a maximum of three shifts (72 hours) of sick leave for each instance to:

1. Attend the funeral of an immediate family member (as defined in these personnel rules), brother-in-law and/or sister-in-law.

2. Deal with matters related to the death, or critical illness where death appears imminent, of any member of the employee's immediate family.

Requests for funeral and bereavement leave shall be evaluated by the employee's department head to determine the appropriate amount of leave to be granted in each instance.

F. **Procedure to Receive Sick Leave.** To qualify for paid sick leave, the employee must notify the first level management supervisor in the work unit prior to or not later than one hour after the start of the day's/shift's work. In the event sick leave is required by the employee for an unforeseen emergency during the work day or shift, department management personnel shall use reasonable discretion in the exercise of requiring notification, and the employee shall immediately request permission for absence and use of sick leave from the available

departmental management personnel. Emergency, as used herein, refers to funeral or bereavement leave or a medical emergency involving the employee or immediate family members.

Written certification from a health care provider is not required for an employee to receive paid sick leave for the first twenty-four (24) hours of sick leave used in a calendar year. Generally, written certification from a health care provider is not required for sick leave absences of less than five (5) consecutive days or two and one-half (2 ½) consecutive shifts, unless it is deemed necessary for the protection of the city's interests, and in cases of probationary employees when required by the department head in order to determine abuse of sick leave. However, absences of more than five (5) consecutive days or more than two and one-half (2 ½) consecutive shifts may be required to be supported by written certification from a health care provider. For all employees, the city reserves the right to request written certification in situations indicating potential sick leave abuse.

Generally, a department director may require that the employee provide written certification from a health care provider when he/she has been absent due to illness or injury for more than five (5) consecutive days. However, the City reserves the right to require written certification. When requested consistent with these limitations, the written certification must include the following information:

1. The employee's name.
2. The name and address of the health care provider.
3. A statement by the health care provider that the employee is under the care of the health care provider and that the illness/injury prevents the employee from performing his/her duties.
4. Date(s) employee was seen or will be seen by the health care provider.
5. Certification must be signed (not signature stamped) by the health care provider.

If, in the opinion of the department head or the director, any single occurrence of an employee's use of sick leave was in connection with a condition that may be detrimental to the health and welfare of other employees, or the community either of these officers may require the employee to obtain a medical release from a health care provider of the city's choice and at the city's expense.

In all instances of sick leave, certification of approved or disapproved use shall be made by the employee's supervisor. The director will prescribe all forms related to the city's sick leave use policy, and shall maintain accurate records of sick leave use, including annual surveys to determine possible corrective measures.

G. Sick Leave—Other Leave Balances. When an employee is absent from work due to any of the circumstances described in subsection A, and the employee's sick leave balance has been depleted, the employee may use other accrued leave such as compensatory time and vacation. The employee must exhaust all available leave balances before taking leave without pay. Holiday hours and management leave may not be utilized when sick leave is exhausted.

H. Sick Leave Without Pay. Employees may be granted sick leave without pay pursuant to Section 3.12.130 of these personnel rules.

I. Abuse of Sick Leave. Abuse of sick leave may be grounds for discipline. Abuse will be determined on a case-by-case basis. Sick leave abuse includes, but is not limited to, failure to abide by the provisions of these personnel rules, and use of sick leave for reasons other than those listed in these personnel rules.

J. Payment for Unused Sick Leave. Payment for unused sick leave is authorized by the city as a means of rewarding employees who have made conscientious efforts to maximize their attendance on the job.

Non-probationary employees in the classified service and unclassified service as defined in Section 3.01.050(B)(1), regardless of service length, shall be entitled to a minimum payment as follows: (1) for sick leave accrued (not pro rata) up to their date of separation as set forth below or (2) forty-eight (48) hours, whichever is less. However, employees whose separation is caused by dismissal shall not be entitled to payment for unused sick leave.

No more than the maximum sick leave amount listed in the tables below may be used in ascertaining the amount of time for which the employee will be compensated at time of resignation or retirement.

1. Local 39, RPA, IBEW and RFF employees will receive payment for unused sick leave as follows:

<u>Non-24-Hour Shift</u> Number of Sick Leave Hours Accumulated		<u>24-Hour Shift</u> Number of Sick Leave Hours Accumulated		Percent of Compensation Given
	Max		Max	
956 to	1200	1434 to	1800	60%
764 to	955.99	1146 to	1433.99	50%
572 to	763.99	858 to	1145.99	40%
380 to	571.99	570 to	857.99	30%
188 to	379.99	282 to	569.99	20%
0 to	187.99	0 to	281.99	0%

2. Management, Confidential and RPOA employees will receive payment for unused sick leave as follows:

<u>Non-24-Hour Shift</u> Number of Sick Leave Hours Accumulated		<u>24-Hour Shift</u> Number of Sick Leave Hours Accumulated		Percent of Compensation Given
	Max		Max	
1200 to	1600	1800 to	2400	70%
956 to	1199.99	1434 to	1799.99	60%
764 to	955.99	1146 to	1433.99	50%
572 to	763.99	858 to	1145.99	40%
380 to	571.99	570 to	857.99	30%
188 to	379.99	282 to	569.99	20%

0 to

187.99

0 to

281.99

0%

3. In the event of a job-related death, the beneficiary of the employee, as shown on the records of the Public Employees' Retirement System, shall be paid for those sick leave and vacation days for which the employee would have been paid had employment terminated on the date of death.

4. In the event of a non-job-related death of firefighting personnel, the beneficiary of the employee as described above shall be paid for 100 percent of the employee's unused sick leave at the time of his or her death up to the maximum sick leave amount listed in the tables above for accumulated sick leave.

K. Sick Leave Pay Out Upon Retirement. Any sick leave balances remaining after payment pursuant to subsection N shall be converted to PERS service credit.

SECTION 4. This ordinance shall be effective at the expiration of thirty (30) days from the date of adoption.

SECTION 5. The City Clerk is hereby directed to cause this ordinance to be published in full at least once within fourteen (14) days after it is adopted in a newspaper of general circulation in the City, or shall within fourteen (14) days after its adoption cause this ordinance to be posted in full in at least three (3) public places in the City and enter in the Ordinance Book a certificate stating the time and place of said publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



COUNCIL COMMUNICATION

CC #: 7713

File #: 0201-02 & 0721

Title: Transportation Development Act Audit Report for the Fiscal Year Ended June 30, 2015

Contact: Jennifer Kwok 916-774-5307 jkwok@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.35.

RECOMMENDATION TO COUNCIL

The Transportation Development Act Funds audit is an informational item for City Council and no formal action is required.

BACKGROUND

The Placer County Transportation Planning Agency (PCTPA), as the administrator of Placer County Local Transportation Fund, is required to perform annual fiscal audits of jurisdictions within Placer County claiming Transportation Development Act (TDA) funds. The TDA funds allocated to the City of Roseville are used to fund the City's Transportation Division. PCTPA is responsible for awarding contracts for professional independent audit services and awarded this fiscal year contract to Richardson & Company, LLP.

The Independent Audit reports that fare revenue increased 3.19% over the prior year. The fare revenue to operating expenses ratio was 22.85% at June 30, 2015 which exceeds the ratio of 15% required by the Transportation Development Act.

The City has received an unmodified (clean) opinion on the Transportation Development Act Funds audit. However, there were certain deficiencies in internal control were identified and considered to be a material weakness. A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatement on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. In addition, two instances of noncompliance that are required to be reported under Government Auditing Standards, the TDA, or the PTMISEA guidelines are reported.

Current Year Financial Reporting Finding: None

Prior Year Financial Reporting Finding 2013/14-1: Material Weakness

This finding occurred in the 2014 audit and was not resolved in the 2015 audit. The City continues to record revenue in a different fiscal year than expenses. We proposed a number of audit adjustment to record revenue in the proper period and in the proper fund. The city needs to ensure that grant revenue is accrued in the fiscal year that the underlying expenditure are incurred. The finance staff handling grants needs to ensure that the information related to grant available and related projects are communicated to the Finance Department accountant so that they can ensure that revenue is recorded in the property fiscal year. The City needs to strengthen its controls over revenue recognition and timely billing of grant funds.

City Response: Management concurs with this finding. The City will ensure that corrections have been made in the fiscal year 2016 audit.

Current Year Compliance Finding 2014/15-1: Not in Compliance with P.U.C. Section 99260

The City transferred \$3,450,000 to its Road Fund for street resurfacing and transferred \$265,000 to its Transportation Fund for pedestrian and bicycle project expenditures without re-claiming those funds through PCTPA under P.U.C. Section 99400 for Street and Roads.

City Response: The City had properly claimed these funds through PCTPA however the funds were transferred out of the Transit Fund instead of the Transportation Fund in error. The City will correct the transfers to the Road Fund in 2016 and any future transfers will occur from the proper fund.

Prior Year Compliance Finding 2013/14-2: Not in compliance with P.U.C Section 99243 and 99406

This finding occurred in the 2014 audit and was not resolved in the 2015 audit. The City's annual Transit Operator Report and its annual Streets and Roads Report are required to be filed with the California State Controller's Office within 90 days of year end (110 days after year-end if filed electronically) each year according to California P.U.C Section 99243 and 99406. The City did not file these reports within 110 days of the fiscal year ended June 30, 2014. The reports for fiscal year ended June 30, 2015 was also not filed within the required 110 days.

City Response: Management concurs with this finding. The City will properly plan to ensure that the annual Transit Operator Report and Streets and Roads Report are submitted within the required 110 days.

FISCAL IMPACT

There is no impact to the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

No jobs will be created by tis informational report.

ENVIRONMENTAL REVIEW

This is not considered a "project" as defined by the California Environmental Quality Act (CEQA), Section 15378 of the CEQA guidelines and therefore no CEQA action is required.

Respectfully Submitted,

Jennifer Kwok, Financial Analyst

Monty Hanks, Finance Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

TDA Audited Financial Statements for Fiscal Year Ending June 30, 2015

CITY OF ROSEVILLE

TRANSPORTATION DEVELOPMENT ACT FUND

Audited Financial Statements
and Compliance Report

June 30, 2015

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUND

Audited Financial Statements
and Compliance Report

June 30, 2015

Audited Financial Statements

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Compliance Report

Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with <i>Government Auditing Standards</i> , the Transportation Development Act Enhancement Guidelines and the Public Transportation Modernization Improvement and Services Enhancement Account (PTMISEA) Guidelines	19
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INDEPENDENT AUDITOR'S REPORT

To the City Council
Roseville, California

Report on the Financial Statements

We have audited the accompanying financial statements of the Transportation Development Act Funds allocated to the City of Roseville, as of and for the year ended June 30, 2015, and the related notes to the financial statements, as listed in the table of contents.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America, and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the Transportation Development Act Funds of the City of Roseville as of June 30, 2015, and the changes in financial position and cash flows thereof for the year then ended in accordance with accounting principles generally accepted in the United States of America.

To the City Council
City of Roseville, California

Emphasis-of-Matter

As discussed in Note B, the financial statements present only the Transportation Development Act Funds of the City of Roseville and do not purport to, and do not, present fairly the financial position of the City of Roseville as of June 30, 2015, the changes in financial position, or where applicable, its cash flows for the year then ended in conformity with accounting principles generally accepted in the United States of America. Our opinion is not modified with respect to this matter.

As discussed in Note P to the basic financial statements, the City adopted GASB Statement No. 68, *Accounting and Financial Reporting for Pensions*, and GASB Statement No. 71, *Pension Transition for Contributions Made Subsequent to the Measurement Date – an amendment of GASB Statement No. 68*, during the year ended June 30, 2015. Due to the implementation of these Statements, the City recognized deferred outflows of resources and a pension liability for its cost-sharing pension plans as of July 1, 2014. Our opinion is not modified with respect to this matter.

Other Matters

Prior Year Comparative Information

We have previously audited the TDA Fund financial statements dated March 31, 2015. In our opinion the summarized comparative information presented herein as of and for the year ended June 30, 2014 is consistent, in all material respects, with the audited financial statements from which it has been derived. Information was not available from the City's pension plan to reflect the implementation of GASB Statement No. 68, as of June 30, 2014 so these amounts are not comparable to the June 30, 2015 amounts.

Required Supplementary Information

Management has omitted management's discussion and analysis that accounting principles generally accepted in the United States of America require to be presented to supplement the financial statements. Such missing information, although not a part of the financial statements, is required by the Governmental Accounting Standards Board, who considers it to be an essential part of financial reporting for placing the financial statements in an appropriate operational, economic, or historical context. Our opinion on the financial statements is not affected by this missing information.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated March 22, 2016 on our consideration of the City's internal control over financial reporting related to the Fund and on our tests of its compliance with certain provisions of laws, regulations, contracts and grant agreements and other matters, including the Transportation Development Act and PTMISEA Guidelines. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the City's internal control over financial reporting and compliance.

Richardson & Company, LLP

March 22, 2016

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS
STATEMENTS OF NET POSITION

June 30, 2015
(With Comparative Totals as of June 30, 2014)

	Transit Fund	Transportation Fund	Transit Project Fund
ASSETS			
CURRENT ASSETS			
Cash and cash investments	\$ 8,741,722	\$ 3,576,429	\$ 125,106
Accounts receivable	26,346	960	9,823
Due from other agencies	167,635	277,927	
Interest receivable	16,819	3,170	244
Due from other funds	3,715,000		
TOTAL CURRENT ASSETS	<u>12,667,522</u>	<u>3,858,486</u>	<u>135,173</u>
NONCURRENT ASSETS			
Capital assets, nondepreciable	1,281,632	2,376,462	
Capital assets, depreciable, net	7,251,215	4,955,244	
TOTAL NONCURRENT ASSETS	<u>8,532,847</u>	<u>7,331,706</u>	
TOTAL ASSETS	<u>21,200,369</u>	<u>11,190,192</u>	<u>135,173</u>
DEFERRED OUTFLOWS OF RESOURCES			
Pensions	89,506	89,799	
TOTAL ASSETS AND DEFERRED OUTFLOWS OF RESOURCES	<u>\$ 21,289,875</u>	<u>\$ 11,279,991</u>	<u>\$ 135,173</u>
LIABILITIES, DEFERRED INFLOWS OF RESOURCES AND NET POSITION			
CURRENT LIABILITIES			
Accounts payable	\$ 264,082	\$ 190,673	
Accrued salaries and benefits	19,995	21,379	
Due to other funds		3,715,000	
Compensated absences	35,962	30,900	
Unearned revenue	11,014,552		
TOTAL CURRENT LIABILITIES	<u>11,334,591</u>	<u>3,957,952</u>	
NONCURRENT LIABILITIES			
Compensated absences	61,227	83,167	
Net pension liability	930,440	947,055	
NONCURRENT LIABILITIES	<u>991,667</u>	<u>1,030,222</u>	
TOTAL LIABILITIES	<u>12,326,258</u>	<u>4,988,174</u>	
DEFERRED INFLOWS OF RESOURCES			
Pensions	131,388	133,734	
NET POSITION			
Net investment in capital assets	8,532,847	7,331,706	
Restricted for Local Transportation	299,382		135,173
Restricted for PTMISEA projects		31,503	
Deficit		(1,205,126)	
TOTAL NET POSITION	<u>8,832,229</u>	<u>6,158,083</u>	<u>135,173</u>
TOTAL LIABILITIES, DEFERRED INFLOWS OF RESOURCES AND NET POSITION	<u>\$ 21,289,875</u>	<u>\$ 11,279,991</u>	<u>\$ 135,173</u>

The accompanying notes are an integral part of these financial statements.

CTSA Call Center	Totals	
	2015	2014
\$ 884,279	\$ 13,327,536	\$ 10,687,664
	37,129	32,278
29,008	474,570	2,894,830
1,665	21,898	23,229
	3,715,000	
914,952	17,576,133	13,638,001
	3,658,094	2,861,072
275,114	12,481,573	14,297,583
275,114	16,139,667	17,158,655
1,190,066	33,715,800	30,796,656
8,050	187,355	
<u>\$ 1,198,116</u>	<u>\$ 33,903,155</u>	<u>\$ 30,796,656</u>
\$ 46,338	\$ 501,093	\$ 359,493
2,042	43,416	44,221
	3,715,000	
2,326	69,188	64,791
	11,014,552	10,422,603
50,706	15,343,249	10,891,108
910	145,304	125,542
83,074	1,960,569	
83,984	2,105,873	125,542
134,690	17,449,122	11,016,650
11,731	276,853	
275,114	16,139,667	17,158,655
776,581	1,211,136	2,602,711
	31,503	18,640
	(1,205,126)	
1,051,695	16,177,180	19,780,006
<u>\$ 1,198,116</u>	<u>\$ 33,903,155</u>	<u>\$ 30,796,656</u>

CITY OF ROSEVILLE

TRANSPORTATION DEVELOPMENT ACT FUNDS

STATEMENTS OF REVENUES, EXPENSES
AND CHANGES IN FUND NET POSITIONFor the Year Ended June 30, 2015
(With Comparative Totals as of June 30, 2014)

	Transit Fund	Transportation Fund	Transit Project Fund
OPERATING REVENUES			
Passenger fares	\$ 1,035,368		
TOTAL OPERATING REVENUES	<u>1,035,368</u>		
OPERATING EXPENSES			
Salaries and benefits	487,194	\$ 542,992	
Purchased transportation	3,343,169		
Maintenance, materials and supplies	1,315,505	709,458	
Depreciation	1,898,180	256,267	
TOTAL OPERATING EXPENSES	<u>7,044,048</u>	<u>1,508,717</u>	
OPERATING LOSS	(6,008,680)	(1,508,717)	
NONOPERATING REVENUES (EXPENSES)			
Local Transportation Funds	1,761,127	4,309,885	
State Transit Assistance Funds	502,826		
Federal and state grants - operating	335,548	35,875	
Interest revenues	59,231	7,424	\$ 873
Gain(loss) on sale of capital assets	(99,312)		
Other revenues	146,676	29,592	30,358
TOTAL NONOPERATING REVENUES (EXPENSES)	<u>2,706,096</u>	<u>4,382,776</u>	<u>31,231</u>
NET INCOME (LOSS) BEFORE CAPITAL CONTRIBUTIONS AND TRANSFERS	(3,302,584)	2,874,059	31,231
Federal and state grants - capital	2,222,439	464,175	
Capital contributions from other City funds			
Transfer in from TDA-related funds			
Transfer out to TDA-related funds			
Transfer in from other City funds	44,482		
Transfer out to other City funds	<u>(245,652)</u>	<u>(3,669,561)</u>	
CHANGE IN NET POSITION	(1,281,315)	(331,327)	31,231
Net position, beginning of year - as previously reported	11,093,203	7,486,563	103,942
Change in accounting principles - Note P	<u>(979,659)</u>	<u>(997,153)</u>	
Net position, beginning of year - as restated	<u>10,113,544</u>	<u>6,489,410</u>	<u>103,942</u>
NET POSITION, END OF YEAR	<u>\$ 8,832,229</u>	<u>\$ 6,158,083</u>	<u>\$ 135,173</u>

The accompanying notes are an integral part of these financial statements.

CTSA Call Center	Totals	
	2015	2014
	\$ 1,035,368	\$ 1,003,328
	1,035,368	1,003,328
\$ 59,772	1,089,958	1,051,259
	3,343,169	3,255,152
287,894	2,312,857	1,751,086
16,157	2,170,604	1,445,664
363,823	8,916,588	7,503,161
(363,823)	(7,881,220)	(6,499,833)
296,696	6,367,708	6,651,277
	502,826	490,408
79,008	450,431	2,024,555
4,947	72,475	83,941
	(99,312)	5,100
30,000	236,626	164,268
410,651	7,530,754	9,419,549
46,828	(350,466)	2,919,716
	2,686,614	1,192,326
	-	153,819
	-	130,534
	-	(130,534)
	44,482	52,279
(3,961)	(3,919,174)	(843,506)
42,867	(1,538,544)	3,474,634
1,096,298	19,780,006	16,305,372
(87,470)	(2,064,282)	
1,008,828	17,715,724	16,305,372
\$ 1,051,695	\$ 16,177,180	\$ 19,780,006

CITY OF ROSEVILLE

TRANSPORTATION DEVELOPMENT ACT FUNDS

STATEMENTS OF CASH FLOWS

For the Year Ended June 30, 2015
(With Comparative Totals as of June 30, 2014)

	Transit Fund	Transportation Fund	Transit Project Fund
CASH FLOWS FROM OPERATING ACTIVITIES			
Receipts from customers and users	\$ 1,035,368		
Payments to employees and related benefits	(491,504)	\$ (657,511)	
Payments to suppliers	(4,592,422)	(531,276)	
NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES	(4,048,558)	(1,188,787)	
CASH FLOWS FROM NON-CAPITAL FINANCING ACTIVITIES			
Operating grants and other revenues	4,627,601	4,267,062	\$ 25,990
Interfund borrowings	(3,715,000)	3,715,000	
Transfers in	44,482		
Transfers out	(245,652)	(3,669,561)	
NET CASH PROVIDED BY NON-CAPITAL AND RELATED FINANCING ACTIVITIES	711,431	4,312,501	25,990
CASH FLOWS FROM CAPITAL AND RELATED FINANCING ACTIVITIES			
Capital grants	3,219,710	459,176	
Purchases of capital assets	(716,177)	(995,285)	
Proceeds from sale of capital assets	142,904	317,630	
NET CASH USED BY CAPITAL AND RELATED FINANCING ACTIVITIES	2,646,437	(218,479)	
CASH FLOWS FROM INVESTING ACTIVITIES			
Interest earnings on investments	63,214	4,254	669
NET CASH PROVIDED BY INVESTING ACTIVITIES	63,214	4,254	669
NET INCREASE IN CASH AND CASH EQUIVALENTS	(627,476)	2,909,489	26,659
Cash and cash equivalents, beginning of year	9,369,198	666,940	98,447
CASH AND CASH EQUIVALENTS, END OF YEAR	\$ 8,741,722	\$ 3,576,429	\$ 125,106

CTSA Call Center	Totals	
	2015	2014
	\$ 1,035,368	\$ 1,003,328
\$ (264,493)	(1,413,508)	(1,030,740)
(58,039)	(5,181,737)	(5,291,236)
(322,532)	(5,559,877)	(5,318,648)
652,024	9,572,677	7,965,231
	-	
	44,482	182,813
(3,961)	(3,919,174)	(974,040)
648,063	5,697,985	7,174,004
	3,678,886	2,982,118
	(1,711,462)	(4,218,254)
	460,534	5,100
	2,427,958	(1,231,036)
5,669	73,806	93,779
5,669	73,806	93,779
331,200	2,639,872	718,099
553,079	10,687,664	9,969,565
\$ 884,279	\$ 13,327,536	\$ 10,687,664

CITY OF ROSEVILLE

TRANSPORTATION DEVELOPMENT ACT FUNDS

STATEMENTS OF CASH FLOWS (Continued)

For the Year Ended June 30, 2015
(With Comparative Totals as of June 30, 2014)

	Transit Fund	Transportation Fund	Transit Project Fund
RECONCILIATION OF OPERATING LOSS TO NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES			
Operating loss	\$ (6,008,680)	\$ (1,508,717)	\$ -
Adjustments to reconcile net loss to net cash provided (used) by operating activities:			
Depreciation	1,898,180	256,267	
Construction in process expensed			
Change in operating assets, deferred outflows of resources, liabilities and deferred inflows of resources:			
Deferred outflows/inflows of resources	124,153	127,675	
Accounts payable	66,252	51,947	
Accrued salaries	(1,118)	1,101	
Other accrued liabilities			
Compensated absences payable	4,145	16,778	
Net pension liability	(131,490)	(133,838)	
NET CASH PROVIDED (USED) BY OPERATING ACTIVITIES	<u>\$ (4,048,558)</u>	<u>\$ (1,188,787)</u>	<u>\$ -</u>

The accompanying notes are an integral part of these financial statements.

CTSA Call Center	Totals	
	2015	2014
\$ (363,823)	\$ (7,881,220)	\$ (6,499,833)
16,157	2,170,604	1,445,664 42,796
11,027	262,855	
23,401	141,600	(302,747)
(788)	(805)	2,809
	-	(17,369)
3,236	24,159	10,032
<u>(11,742)</u>	<u>(277,070)</u>	
<u>\$ (322,532)</u>	<u>\$ (5,559,877)</u>	<u>\$ (5,318,648)</u>

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS

NOTES TO FINANCIAL STATEMENTS

June 30, 2015

NOTE A – ORGANIZATION

The City of Roseville (the City) receives funds from the Placer County Transportation Agency under the provisions of the Transportation Development Act (TDA) from the Placer County Local Transportation Fund (LTF) under Article 4, Section 99260(a) and Article 8, 99400(a) and State Transit Assistance Fund (STAF) under Article 4, Section 6730(a). The STAF funds are to be used for public transportation purposes only. The City's Article 4 LTF funds are for the support of public transportation systems as defined in the TDA.

NOTE B – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Presentation: The financial statements of the City of Roseville's Transportation Development Act Funds (the Funds) have been prepared in conformity with generally accepted accounting principles (GAAP) as applied to government units. The Governmental Accounting Standards Board (GASB) is the accepted standard-setting body for establishing governmental accounting and financial reporting principles.

Reporting Entity: The financial statements are intended to present the financial position, results of operations and cash flows of only transactions recorded in the Funds. The Funds are included in the financial statements of the City.

Fund Accounting: The accounts of the Funds are organized on the basis of funds. A fund is an accounting entity with a self-balancing set of accounts established to record the financial position and results of operations of a specific governmental activity. The City utilizes the enterprise fund type of the proprietary fund group to account for the activities of the Funds. The following funds are used by the City to account for its TDA funds:

Transit Fund: The Transit Fund is used to account for the operation of the City's transit system. The principal operating revenues are charges to passengers for public transit services. Operating expenses include the cost of transit services, administrative expenses and depreciation on capital assets. All other revenues and expenses are reported as nonoperating.

Transportation Fund: The Transportation Fund accounts for Local Transportation Funds which have been allocated for the purpose of street and road infrastructure and pedestrian and bicycle improvements.

Transit Project Fund: The Transit Project Fund accounts for Local Transportation Fund and State Transit Assistance Funds which have been allocated for the purpose of transit facility construction and acquisition.

CTSA Call Center: The CTSA Call Center Fund accounts for Local Transportation Fund which have been allocated for the construction and operation of the Placer County Transportation Call Center.

Basis of Accounting: The accounting and financial reporting treatment applied to a fund is determined by its measurement focus. All proprietary funds are accounted for on a flow of economic resources measurement focus. With this measurement focus, all assets, deferred outflows of resources, liabilities and deferred inflows of resources associated with the operation of these funds are included on the statement of net position. Net position is segregated into the net investment in capital assets, amounts restricted and amounts unrestricted. Proprietary fund-type operating statements present increases (e.g., revenues) and decreases (e.g., expenses) in net position.

The accrual basis of accounting is utilized by proprietary fund types. Under this method, revenues are recorded when earned and expenses are recorded at the time liabilities are incurred. TDA and grant revenues are recorded when all eligibility requirements have been met.

Proprietary funds distinguish operating revenues and expenses from nonoperating items. Operating revenues and expenses generally result from providing services and producing and delivering goods in connection with a proprietary fund's principal ongoing operations.

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

June 30, 2015

NOTE B – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

When both restricted and unrestricted resources are available for use, it is the City's policy to use restricted resources first, then unrestricted resources as they are needed.

Cash and Cash Equivalents: For the purposes of reporting cash flows, cash and cash equivalents are defined as those amounts included in the balance sheet caption "cash and investments" and consist of amounts held in the City's cash and investment pool, which are available on demand.

Capital Assets: Capital assets are recorded at historical cost or estimated historical cost if actual cost is not available provided such costs exceed the respective capitalization threshold and the expected useful life of the asset is greater than one year. Contributed capital assets are valued at their estimated fair market value on the date of contribution.

Capital assets with limited useful lives are depreciated over their estimated useful lives. Alternatively, the "modified approach" is used for certain capital assets. Depreciation is not provided under this approach, but all expenditures on these assets are expensed, unless they are additions or improvements.

Depreciation is computed using the straight-line method over the estimated useful lives of the assets. The estimate useful lives of the assets and capitalization thresholds are listed below:

	<u>Useful Lives</u>	<u>Capitalization Thresholds</u>
Buildings & Improvements	20-40 years	\$ 10,000
Equipment	3-12 years	5,000
Transit vehicles	5-10 years	5,000
Bike paths	20 years	10,000
Bridges	90 years	10,000
Culverts	75 years	10,000
Sidewalks	20 years	10,000
Drain inlets	50 years	10,000
Storm drains	75 years	10,000

The Funds have acquired certain assets with funding provided by federal and state assistance from various grant programs. The Funds holds title to these assets; however, the federal government retains an interest in those assets for which it has contributed funding should the assets no longer be used for transit purposes. Currently only transit vehicles have been purchased as a capital asset using federal money.

Compensated Absences: Employees accrue vacation, sick and compensatory time off benefits. An employee may accumulate vacation time equal to the amount that can be earned in a two-year period. Vacation pay is paid upon separation of service or retirement. Sick leave benefits may be applied to earlier retirement. Upon termination, sick leave benefits in excess of a specified maximum are paid. All vacation and sick leave is accrued when incurred in the proprietary fund statements.

Deferred Inflows/Outflows of Resources: In addition to assets, the statement of net position reports a separate section for deferred outflows of resources. This separate financial statement element represents a consumption of net position that applies to a future period(s) and so will not be recognized as an expense/expenditure until then. The Funds have one item, employer pension contributions, that qualifies for reporting in this category, related to the implementation of GASB 68.

In addition to liabilities, the statement of net position reports a separate section for deferred inflows of resources. This separate financial statement element represents the net difference between projected and actual earnings on pension plan investments.

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

June 30, 2015

NOTE B – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

During the fiscal year ended June 30, 2015, the City implemented GASB Statement 68, *Accounting and Financial Reporting for Pensions – an amendment of GASB Statement No. 27*. As a result, the fiscal year ended June 30, 2015 will be the initial year that deferred inflows and outflows are reported in the Statement of Net Position. As of June 30, 2015, the Funds have deferred outflows of resources related to employer pension contributions and deferred inflows of resources, which are the net difference between projected and actual earning on pension plan investments, as described in Note O.

Pensions: For purposes of measuring the net pension liability and deferred inflows/outflows of resources related to pensions, and pensions expense, information about the fiduciary net position of the City's California Public Employees' Retirement System (CalPERS) plans and additions to/deductions from the plans' fiduciary net position have been determined on the same basis as they are reported by CalPERS. For this purpose, benefit payments (including refunds of employee contributions) are recognized when due and payable in accordance with the benefit terms. Investments are at fair value.

Restricted Net Position: Restrictions of net position show amounts that are legally restricted for specific uses.

Use of Estimates: The preparation of the financial statements in conformity with GAAP required management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

NOTE C – CASH AND CASH EQUIVALENTS

Investment in the City's Cash and Investment Pool: The Fund's cash and investments are held in the City's cash and investment pool. The City maintains a cash and investment pool and allocates interest to various funds based upon average cash balances. Investments held in the City's investment pool are available on demand to the fund and are stated at cost, which approximates fair value. The City's investment policy, interest rate risk and credit risk may be found in the notes to the City's basic financial statements.

NOTE D – DUE FROM OTHER GOVERNMENTAL AGENCIES

The due from other governments for the City consisted of the following at June 30, 2015:

Federal Transit Administration grants	\$ 162,289
Local Transportation Funds	143,466
Other	<u>168,815</u>
Total due from other governmental agencies	<u>\$ 474,570</u>

NOTE E – INTERFUND BALANCES AND TRANSACTIONS

Amounts reported as due to or from other TDA related funds arise in the normal course of business and are expected to be repaid shortly after the end of the fiscal year. At June 30, 2015, there was an interfund due to and due from balance of \$3,715,000 from the Transportation Fund to the Transit Fund for street expenses paid for by the Transit Fund that should have been paid by the Transportation Fund. The transfers in to the Transit Fund consisted of \$44,482 from the General Fund from property tax revenue for transit purposes. The transfers out in the Transit Fund consisted mostly of \$218,714 to the General Fund for indirect costs and \$26,558 to the General Fund for public works audit expenditures. Significant transfers out in the Transportation Fund consisted of \$3,450,000 to the Roadway Fund for street and road and bicycle and pedestrian expenditures, \$127,869 to the General Fund for indirect costs, \$79,485 to the Public Facilities Fund for bicycle and pedestrian expenditures and \$12,207 to the Postretirement Insurance Fund for pension-related expenses.

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

June 30, 2015

NOTE F – CAPITAL ASSETS

Capital asset activity consisted of the following for the year ended June 30, 2015:

	Balance June 30, 2014	Additions	Deletions	Transfers	Balance June 30, 2015
Capital assets, not being depreciated					
Land	\$ 307,745	\$ 520,489			\$ 828,234
Easements	41,599				41,599
Infrastructure (modified)	51,757				51,757
Construction in progress	2,459,971	1,087,643	\$ (347,914)	\$ (463,196)	2,736,504
Total capital assets, not being depreciated	2,861,072	1,608,132	(347,914)	(463,196)	3,658,094
Capital assets being depreciated					
Buildings and improvements	2,095,315				2,095,315
Equipment	2,054,401	92,898	(12,000)		2,135,299
Vehicles	14,920,059	10,432	(2,122,504)		12,807,987
Bike paths	4,078,092			402,405	4,480,497
Bridges	1,702,403				1,702,403
Culverts	305,329			51,632	356,961
Sidewalks	110,989			9,159	120,148
Drain inlets	24,662				24,662
Storm drains	38,069				38,069
Total capital assets, being depreciated	25,329,319	103,330	(2,134,504)	463,196	23,761,341
Less accumulated depreciation for:					
Buildings and improvements	(853,825)	(68,333)			(922,158)
Equipment	(931,922)	(255,769)	12,000		(1,175,691)
Vehicles	(7,669,391)	(1,604,762)	1,910,572		(7,363,581)
Bike paths	(1,419,967)	(211,630)			(1,631,597)
Bridges	(104,036)	(18,915)			(122,951)
Culverts	(25,997)	(4,415)			(30,412)
Sidewalks	(17,586)	(5,779)			(23,365)
Drain inlets	(4,342)	(494)			(4,836)
Storm drains	(4,670)	(507)			(5,177)
Total accumulated depreciation	(11,031,736)	(2,170,604)	1,922,572	-	(11,279,768)
Net capital assets being depreciated	14,297,583	(2,067,274)	(211,932)	463,196	12,481,573
Total capital assets, net	\$ 17,158,655	\$ (459,142)	\$ (559,846)	\$ -	\$ 16,139,667

NOTE G – LONG-TERM LIABILITIES

Changes in long-term liabilities consisted of the following for the years ended June 30:

	Balance June 30, 2014 (As Restated)	Additions	Used	Balance June 30, 2015	Due Within One Year
Compensated absences	\$ 190,333	\$ 57,283	\$ 33,124	\$ 214,492	\$ 69,188
Net pension liability	2,237,639		277,070	1,960,569	
	\$ 2,427,972	\$ 57,283	\$ 310,194	\$ 2,175,061	\$ 69,188

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

June 30, 2015

NOTE H – FARE REVENUE RATIO

The City is required under the Transportation Development Act to maintain a fare revenue to operating expenses ratio of 15%. The calculation of the fare revenue ratio for the year ended June 30, 2015, is as follows:

Passenger fare revenues (excludes charter revenues)	\$ 1,035,368
Local support - advertising	96,158
Local support - cash transfers in	44,482
	<u>\$ 1,176,008</u>
Operating expenses	\$ 7,044,048
Less allowable exclusions:	
Depreciation	<u>(1,898,180)</u>
	<u>\$ 5,145,868</u>
Fare revenue ratio	<u>22.85%</u>

NOTE I – UNEARNED REVENUE

The Local Transportation Fund (LTF) allocates monies to the transit system to support operations. LTF allocations are considered earned when they are properly spent for operations by the transit system. Allocations in excess of this amount are recorded as unearned revenue. The maximum eligibility for LTF allocations for the year ended June 30, 2015, was determined as follows:

Operating expenses	\$ 7,044,048
Adjustments:	
Depreciation	(1,898,180)
Fare box revenues	(1,035,368)
State Transit Assistance revenue	(502,826)
Grant revenue	(335,548)
Interest revenues	(59,231)
Other revenues	(146,676)
Net transfers	<u>201,170</u>
Maximum eligibility for operating	<u>\$ 3,267,389</u>
Capital expenditures	\$ 716,177
Adjustments:	
Grant revenue	<u>(2,222,439)</u>
Maximum eligible for capital	<u>\$ (1,506,262)</u>

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

June 30, 2015

NOTE I – UNEARNED REVENUE (Continued)

A schedule of changes in unearned revenue for the year ended June 30, 2015, is as follows:

	Local Transportation Funds Allocated for Transit Operations	TSSSDRA	Other	Total
Beginning balance, July 1, 2014	\$ 10,076,314		\$ 346,289	\$ 10,422,603
LTF Allocations	2,212,176			2,212,176
Maximum LTF eligibility				
Operating	(3,267,389)			(3,267,389)
Capital	1,506,262			1,506,262
Unearned receipts	<u> </u>	<u>\$ 90,900</u>	<u>50,000</u>	<u>140,900</u>
Ending balance, June 30, 2015	<u>\$ 10,527,363</u>	<u>\$ 90,900</u>	<u>\$ 396,289</u>	<u>\$ 11,014,552</u>

NOTE J – PTMISEA

In November 2006, California Voters passed a bond measure enacting the Highway Safety, Traffic Reduction, Air Quality and Port Security Bond Act of 2006. Of the \$19.25 billion of State general obligation bonds authorized, \$4 billion was set aside by the State as instructed by the statute as the Public Transportation Modernization Improvement and Service Enhancement Account (PTMISEA). These funds are available to the California Department of Transportation for intercity rail projects and to transit operators in California for rehabilitation, safety or modernization improvements, capital service enhancements or expansions, new capital projects, bus rapid transit improvements or for rolling stock procurement, rehabilitation or replacement.

As of June 30, 2015, the City's status of the approved projects and respective grant amounts from the State's PTMISEA account are listed in the tables below. The table below also disclose the amounts expended, received and unexpended for each approved project as of June 30:

Project Name	Fund	Grant Amount (includes earned interest)	Received Through June 30, 2015	Expended Through June 30, 2015	(Receivable) Unexpended at June 30, 2015
Dial-A-Ride and light fleet upgrade	440	\$ 117,259		\$ 76,772	\$ (76,772)
Bus replacement acquisition	440	1,515,437	\$1,515,437	1,515,437	-
Downtown transfer point reconstruction	441	<u>365,369</u>	<u>\$ 365,369</u>	<u>333,866</u>	<u>31,503</u>
		<u>\$1,998,065</u>	<u>\$1,880,806</u>	<u>\$1,926,075</u>	<u>\$ (45,269)</u>

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

June 30, 2015

NOTE K - TSSSDRA

As approved by the voters in the November 2006 general elections, Proposition 1B enacts the Highway Safety, Traffic Reduction, Air Quality, and Port Security Bond Act of 2006 to authorize \$19.925 billion of state general obligation bonds for specified purposes, including grants for transit system safety, security and disaster response projects. CalOES has been charged with administering the following Prop 1B Transit System Safety, Security & Disaster Response Account (TSSSDRA).

The remaining proceeds of \$90,900, including accrued interest, were restricted. Qualifying expenses must be encumbered within three years from the date of the allocation and expended within three years from the date of the encumbrance. As of June 30, 2015, funds received and expended were as follows:

Balance at beginning of year	
CalOES funds received	\$ 90,900
Interest earnings	<u>-</u>
Unexpended proceeds	<u>\$ 90,900</u>

NOTE L – RISK MANAGEMENT

The City manages risk of loss related to torts; theft of, damage to, and destruction of assets; errors and omissions; injuries to employees; and natural disasters by participating in the public entity risk pools described below and by retaining certain risks. The City maintains insurance coverage from a commercial carrier for its long-term disability and dental benefit plan.

Public entity risk pools are formally organized and separate entities established under the Joint Exercise of Powers Act of the State of California. As separate legal entities, those entities exercise full powers and authorities within the scope of the related Joint Powers Agreements including the preparation of annual budgets, accountability for all funds, the power to make and execute contracts and the right to sue and be sued. Each risk pool is governed by a board consisting of representatives from member municipalities. Each board controls the operations of the respective risk pool, including selection of management and approval of operating budgets, independent of any influence by member municipalities beyond their representation on that board. Obligations and liabilities of these risk pools are not the City's responsibility.

Risk Coverage

The City is a member of the California Joint Powers Risk Management Authority (CJPRMA) which covers general liability claims up to \$25,000,000, property damage up to \$300,000,000, and boiler and machinery up to \$20,000,000. The City has a self-insured retention or deductible of \$500,000, \$25,000, and \$5,000, respectively per claim. Once the City's self-insured retention for general liability claims is met, CJPRMA becomes responsible for payment of all claims up to the limit. CJPRMA has purchased commercial insurance against property damage and boiler and machinery claims.

The City is also a member of the Local Agency Workers' Compensation Excess Joint Powers Authority (LAWCX), which covers workers' compensation claims up to \$1,500,000, and provides additional coverage up to \$24,000,000. The City has a self-insured retention of up to \$250,000 per claim.

The contributions made to each risk pool equal the ratio of their respective payrolls to the total payrolls of all entities participating in the same layer of each program, in each program year. Actual surpluses or losses are shared according to a formula developed from overall loss costs and spread to member entities on a percentage basis after a retrospective rating.

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS
NOTES TO FINANCIAL STATEMENTS (CONTINUED)

June 30, 2015

NOTE L – RISK MANAGEMENT (Continued)

Financial Statements for the risk pools may be obtained from CJPRMA, 2333 San Ramon Valley Blvd., Suite 250, San Ramon, CA 94583 and LAWCX, c/o James P. Marta, CPA, 5921 Landis Avenue, Suite 1, Carmichael, CA 94608. Information regarding the City's risk management programs is available in the City of Roseville's comprehensive annual financial report.

NOTE M – CONCENTRATIONS

The Funds receive a substantial amount of its support from a statewide retail sales tax from the Local Transportation Fund and State Transit Assistance Fund created by the TDA. A significant reduction in the level of this support, if this was to occur, may have a significant impact on the Funds' activities.

NOTE N – CONTINGENCIES

The City receives funding from the Federal Transit Administration and the State of California that are subject to review and audit. Such audits could result in a request for reimbursement for expenses disallowed under the terms and conditions of the contracts. It is the opinion of management that no material liabilities will result from such potential audits.

NOTE O – PENSION LIABILITY

GASB issued Statement No. 68, *Accounting and Financial Reporting for Pensions*, and GASB Statement No. 71, *Pension Transition for Contributions Made Subsequent to the Measurement Date – an amendment of GASB Statement No. 68*, which require certain information to be disclosed for pension plans. The City's transit-type employees are included in the City's Miscellaneous Plan, an agent multiple-employer defined benefit pension plan. Information is available about the City's pension plans in the City's Comprehensive Annual Financial Report. The actuarial valuation for the City's Miscellaneous Plan received from CalPERS does not separately disclose information that is required to be reported under GASB 68 and 71 for the Funds. The pension liability reported in the Funds represents a proportional share of the pension liability of the City's Miscellaneous Plan as a whole based on a proportional share of cash basis employer contributions paid on behalf of the Funds' employees compared to all non-Safety City employees. The total deferred outflows of resources, net pension liability, deferred inflows of resources and pension expense related to the Funds were \$187,355, \$1,960,569, \$276,853 and \$173,140, respectively, as of and for the year ended June 30, 2015. Pension expense was reported as part of operations expenses.

NOTE P – CHANGE IN ACCOUNTING PRINCIPLES

During the year ended June 30, 2015, the City adopted GASB Statement No. 68, *Accounting and Financial Reporting for Pensions*, and GASB Statement No. 71, *Pension Transition for Contributions Made Subsequent to the Measurement Date – an amendment of GASB Statement No. 68*. These Statements required the City to recognize the proportional share of the net pension liability, deferred outflows of resources and deferred inflows of resources related to the City's Miscellaneous agent multiple-employer pension plan. These Statements also required contributions made after the June 30, 2014 measurement date used in the actuarial valuation for the pension plan to be reported as deferred outflows of resources.

Due to the implementation of these Statements, the total deferred outflows of resources increased by \$173,357, total liabilities increased by \$2,237,639, and total net position decreased by \$2,064,282 as of July 1, 2014.



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INDEPENDENT AUDITOR'S REPORT ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND
ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS
PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*, THE TRANSPORTATION
DEVELOPMENT ACT AND THE PUBLIC TRANSPORTATION MODERNIZATION IMPROVEMENT AND
SERVICES ENHANCEMENT ACCOUNT (PTIMSEA) GUIDELINES

To the City Council
City of Roseville, California

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of the Transportation Development Act Funds (the Funds) allocated to the City of Roseville (the City), as of and for the year ended June 30, 2015, and the related notes to the financial statements, which collectively comprise the Funds' financial statements, and have issued our report thereon dated March 22, 2016.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the City's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the City's internal control. Accordingly, we do not express an opinion on the effectiveness of City's internal control.

Our consideration of internal control was for the limited purpose described in the preceding paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as described in the accompanying schedule of findings and questioned costs, we identified certain deficiencies in internal control that we consider to be a material weakness.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the deficiency described in the accompanying schedule of findings and questioned costs to be material weaknesses (Finding 2013/14-1).

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the City's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. Our audit was further made to determine that Transportation Development Act (TDA) Funds allocated and received by the City were expended in conformance with the applicable statutes, rules and regulations of the TDA and Section 6667 of the California Code of Regulations. We also tested the receipt and appropriate

To the City Council
City of Roseville, California

expenditures of bond funds, as presented in Note J to the financial statements, in accordance with the PTMISEA statutes and guidelines. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed two instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*, the TDA, or the PTMISEA guidelines which is described in the accompanying schedule of findings and responses as item 2014/15-1 and 2013/14-1.

City of Roseville's Responses to Findings

The City's responses to the findings identified in our audit are described in the accompanying schedule of findings and responses. The City's responses were not subject to the auditing procedures applied in the audit of the financial statements and, accordingly, we express no opinion on them.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards*, the TDA and PTMISEA in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose

Richardson & Company, LLP

March 22, 2016

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS

SCHEDULE OF FINDINGS AND RESPONSES

June 30, 2015

FINANCIAL REPORTING

CURRENT YEAR FINDINGS

None

PRIOR YEAR FINDINGS

FINDING 2013/14-1—Material Weakness

Criteria: Internal controls over financial reporting should be in place to ensure revenue is recorded in the correct period. In addition, the City should compare net position to the prior year financial statements to ensure all audit adjustments are posted so net position rolls forward.

Condition: The City records grant amounts as revenue in the period received rather than in the period the revenue is considered earned, and net position does not reflect all audit adjustments from the prior year.

Cause: The City was recording revenue in a manner that was consistent with cash-basis accounting and was not posting all audit adjustments from the prior year.

Status: The City continues to record revenues in a different fiscal year than expenses. We proposed a number of audit adjustments to record revenue in the proper period and in the proper fund. The City needs to ensure that grant revenue is accrued in the fiscal year that the underlying expenditures are incurred. The finance staff handling grants needs to ensure that the information related to grants available and related projects are communicated to the Finance Department accountants so that they can ensure that revenue is recorded in the proper fiscal year. The City needs to strengthen its controls over revenue recognition and timely billing of grant funds. The following items specifically need to be addressed by the City:

- The City needs to ensure that the Transportation Development Act (TDA) funds are recorded in the proper fund to correspond to the purpose for which the funds were claimed. We noted that the TDA funds allocated for transit purposes were incorrectly recorded in the Transportation Fund used to account for streets and roads projects, and the TDA funds allocated for non-transit purposes were incorrectly recorded in the Transit Fund. Also, once the revenues are claimed for transit purposes, they cannot be used for streets and roads or pedestrian and bicycle expenditures until the City submits an amended claim to PCTPA. We noted the City transferred \$3,450,000 from the Transit Fund to the Road Fund, but the transit funds were not reclaimed for streets and roads. The transfer was moved from the Transit Fund to the Transportation Fund, which has sufficient funds to make this transfer.
- All grant revenues are recorded as non-operating revenue. Grant revenues received for capital purposes need to be classified as capital revenues.
- Proceeds from the sale of assets were recorded in unearned revenue instead of being recognized when the sale took place.
- Expenditures were incurred for bus upgrades a couple of years ago that were to be funded with PTMISEA funds, but the City has still not invoiced PCPTPA for these funds.
- Expenditures were incurred for bus purchases in fiscal year 2013/14 but the related PTMISEA revenue was not claimed or recorded until fiscal year 2014/15.
- Claims for various grant funds including FTA, CMAQ, STIP and BTA were prepared during fiscal year 2014/15 that included expenditures dating back to 2009, but the revenues were not accrued in the fiscal year the expenditures were incurred. Claims need to be submitted on a timely basis and revenue needs to be accrued in the same fiscal year that the underlying expenditures were incurred.

CITY OF ROSEVILLE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SCHEDULE OF FINDINGS AND RESPONSES (CONTINUED)

June 30, 2015

COMPLIANCE

CURRENT YEAR FINDINGS

FINDING 2014/15-1

Criteria: Local Transportation Funds claimed for transit purposes are required to be used for transit related expenditures according to California P.U.C Section 99260.

Condition: The City transferred \$3,450,000 to its Road Fund for street resurfacing and transferred \$265,000 to its Transportation Fund for pedestrian and bicycle project expenditures.

Effect: The City is not in compliance with P.U.C Section 99260.

Cause: The City transferred funds out of its Transit Fund for street and pedestrian projects without re-claiming those funds through PCTPA under P.U.C. Section 99400 for Streets and Roads.

PRIOR YEAR FINDINGS

FINDING 2013/14-2

Criteria: The City's annual Transit Operator Report and its annual Streets and Roads Report are required to be filed with the California State Controller's Office within 90 days of year-end (110 days after year-end if filed electronically) each year according to California P.U.C Sections 99243 and 99406.

Condition: The City did not file within 110 days of the fiscal year ended June 30, 2014.

Effect: The City is not in compliance with P.U.C Section 99243 and 99406.

Cause: The City did not prepare the annual Transit Operator Report or the annual Streets and Roads Report by the filing deadline.

Status: The Transit Operator Report and Streets and Roads Report for the fiscal year ended June 30, 2015 was not filed within the required 110 days.



COUNCIL COMMUNICATION

CC #: 7722

File #: 0709-02-01

Title: Housing Authority Status Report - Housing Choice Voucher Program Activity

Contact: Nancy Cox 916-774-5451 ncox@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.36.

RECOMMENDATION TO COUNCIL

The Council, acting as the Roseville Housing Authority, is not required to take any action. This report is for informational purposes only.

BACKGROUND

Pursuant to the bylaws of the Roseville Housing Authority, the Authority is required to hold its quarterly meeting the first Wednesday of July. Staff has prepared the following Status Report regarding the lease-up activity for the Housing Choice Voucher Program (also known as the Section 8 Program).

During the last quarter 10 new families began receiving rental assistance and 11 families have vouchers and are searching for housing. Roseville Housing Authority is authorized to provide 655 households with HCV (Housing Choice Voucher) rental assistance. This total includes 75 vouchers allocated to the Roseville Housing Authority specifically for families with a head-of-household, or spouse, that are non-elderly and disabled (NED vouchers); these families come from our waiting list. It also includes 18 Veteran's Affairs Supported Housing (VASH) vouchers which come by referral from the Veteran's Affairs (VA) Department. We have 8 of the VASH vouchers leased, 4 are searching and we have one new referral. The HCV Program is federally funded through the US Department of Housing and Urban Development (HUD). Since 2012 the receipt of special purpose vouchers has increased the number of families we can assist by 93 families which represents a 17% increase.

The term "lease-up" refers to the number of families receiving rental assistance from Roseville Housing Authority; lease-up is tracked on a calendar-year basis. The lease-up rate can fluctuate on a monthly basis in order to maintain up to an annual average of 100% of the allocated vouchers. To be in compliance with HUD's regulations, the lease-up rate for a calendar year cannot exceed 100% of its allocation of vouchers (per voucher type) or 100% of its annual budget authority plus available reserves. Currently the lease-up rate for the regular vouchers is 98.58%; the rate for NED vouchers is 98.40% and the rate for VASH vouchers is 44.44%. We have one new VASH referral and continue to work with the VA to obtain additional referrals for the VASH vouchers.

The Roseville Housing Authority is authorized to provide rental assistance to very low income families in both Roseville and Rocklin. Currently, 122 of Roseville Housing Authority's vouchers under lease are being used in the City of Rocklin. Roseville Housing Authority also administers the Family Self-Sufficiency (FSS) Program which is a component of the Housing Choice Voucher Program. The FSS Program provides assistance to families who are working to become free of any type of public assistance. Roseville Housing Authority currently has 27 families enrolled in this program.

	HUD Regular Voucher Allocation (562)	Actual Lease-up of Regular Vouchers	HUD Non- Elderly Disabled Voucher Allocation (75)	Actual Lease-up of Non-Elderly Disabled Vouchers	HUD Allocation of VASH Vouchers (18)	Actual Lease-up of VASH Vouchers
Jan 2016	562	559	75	75	18	8
Feb 2016	562	556	75	74	18	8
Mar 2016	562	550	75	75	18	8
April 2016	562	552	75	73	18	8
May 2016	562	553	75	72	18	8
YTD Total	2810	2770	375	369	90	40
YTD % Leased	98.58%		98.40%		44.44%	

FISCAL IMPACT

The Housing Authority provides an important social service to ensure safe and affordable housing for our residents. Safe and affordable housing is an essential component to the foundation of our community's economic stability.

This Housing Authority status report does not create any additional cost to the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Estimates of total employment created can be based on expenditure data, consistent with guidance from the Council of Economic Advisers (CEA), a three member council that analyzes and interprets economic developments and advises the President of the United States on national economic policy.

Total employment not only includes direct and indirect jobs, but also induced jobs. CEA estimates that total employment is increased by one job-year for every \$92,000 in direct government spending.

The Housing Authority's annual budget for rental assistance (over \$4,000,000) will result in approximately 43 jobs based on the Federal guideline of one job for every \$92,000 in direct government spending.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The Housing Choice Voucher Program Quarterly Status Report does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Nancy Cox, Housing Supervisor

Chris Robles, Housing Authority Assistant Director

A handwritten signature in blue ink, appearing to read 'Rob Jensen', with a long horizontal flourish extending to the right.

Rob Jensen, City Manager



COUNCIL COMMUNICATION

CC #: 7728

File #: 0201

Title: City of Roseville FY2016-17 Proposed Annual Budget

Contact: Kathy Cullen 916-746-1306 kcullen@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 7.37.

RECOMMENDATION TO COUNCIL

It is recommended that City Council review the Proposed City of Roseville FY2016-17 Annual Budget and hold a public hearing on June 8th at 6:00pm. The budget adoption is scheduled for the June 15, 2016 council meeting.

BACKGROUND

The Proposed City of Roseville FY2016-17 Annual Budget supports the Council's goals of:

- Public Safety
- Fiscal Soundness
- Economic Development
- Sound and Stable Utilities
- A Great Downtown
- Infrastructure
- Legislative Advocacy
- Civic Engagement

The City of Roseville Charter, Sec. 7.02, requires the City Manager present a Proposed Budget to the City Council on or before the first regular meeting in June. The FY2016-17 Proposed Budget was made available to Council and the public prior to June 1st. A public hearing is scheduled for June 8th at 6:00pm in the Council Chambers. Council is scheduled to adopt the budget at its regular meeting on June 15th. The public may review the budget document at the City Clerk's Office, the Libraries, and on the City's website. A copy of the line-item budget detail is available for review in the City Clerk's Office.

Citywide

The proposed citywide FY2016-17 budget is \$493.8 million. Last year's adopted budget was \$461.7 million. Compared to the adopted year end FY2015-16 budget, Direct Operating Expenses increased \$17.4 million, Annexation Payments to the County increased \$650k, The Galleria Lease payment was reduced by \$568k, Capital Improvement Projects increased \$147k, Debt Services Requirements increased by \$788k, Special District Appropriations increased by \$12.6 million, and Regional Connection Fees Transferred to SPWA increased \$1.2 million. The City Manager's Budget Message is attached. It provides an overview of the significant

elements of the Proposed Budget that are being recommended to maintain fiscal responsibility, while meeting council priorities and providing core services.

General Fund

The proposed FY2016-17 budget for the General Fund is \$147.35 million, which includes operating expenditures (\$139.10 million) and capital projects, debt, and non-recurring expenditures (\$8.25 million). Operating and non-operating revenues total \$147.54 million led by increases in Property Taxes. The net result is an increase to fund balance of \$182.3k.

In preparation of the FY2016-17 General Fund operating budget, staff developed and has been continually updating, a financial forecast reflecting the latest information available. This forecast includes an analysis of revenues and expenses and a review of historical fund balances and Internal Service Funds (ISFs). In addition to bringing expenses in line with revenues, this funding requirement also includes continued funding for two of the ISF funds and continued funding for Other Post-Employment Benefits (OPEB) and the General CIP Rehab fund.

Last year the format of the General Fund budget was revised in order to make the budget more transparent. This year the theme of the General Fund budget development process is to continue to make the budget more transparent and more accurate in hopes of it being an excellent predictor of what's going to happen during the year. The ultimate goal is living within our means and to reach the milestone of closing the gap with revenues exceeding expenses. The end result is not using prior years' savings or reserves to balance the budget. This goal was achieved for the 2nd consecutive year. A General Fund Emergency Reserve Fund has been created this fiscal year in order to clearly show the balance of the reserve. Currently 10% of the operating expenditures is being set aside.

To develop a balanced General Fund budget, the Finance department performed an in-depth, line-by-line analysis of all operational expenses. This review included a three year historical review as well as the development of a forecast for future spending requirements. Finance then worked cooperatively with the General Fund Department Heads and their teams to review and discuss their findings. The forecast allots all General Fund departments with a budget for materials, services and supplies based on prior years' spending history and the removal of "what-ifs".

Beginning in FY2015-16 the "what-ifs" were moved to a new line called General Fund Contingency. The purpose of the General Fund Contingency is to accomplish the following:

- Provides a funding resource for new, departmental appropriation(s) not identified during budget preparation
- Improves the budget adjustment process and efficiency that will allow the City Manager to reallocate monies from the General Fund Contingency to the respective General Fund department
- Defines and controls the amount of funding without tapping into unrestricted fund balance

If a department couldn't fit necessary items into their target material, services, and supplies budget, these requests were brought to the City Manager and Executive Team for discussion during budget review meetings. Any new requests approved are included in this Proposed Budget.

Continuing the approach again for FY2016-17, Finance has decided to increase the salary vacancy factor to 4%, up 1% from the prior year factor, into the General Fund budget and reserving these monies until midyear budget review. At year end, if a department hasn't had salary vacancies, monies will be returned to their budget via a budget adjustment approved by the City Council. If vacancies existed, the vacancy factor could be reallocated to other General Fund priorities.

For the Enterprise Funds, budgets were developed and based on their rate cases. Contingency monies and/or salary vacancy factors were not factored into their budgets.

Forecast of General Fund Revenue

The City's Sales and Property Taxes, which account for 66% of operating revenues, have

recovered from the economic downturn but it is anticipated that Sales Tax growth will slow and be closely tied to inflationary growth. Property taxes are forecasted to continue growing as housing and development remains very active. The end of "Triple Flip" brought a slight decrease to overall sales tax of less than 0.50%.

The General Fund operating revenue budget is \$140.45 million, which represents a \$2.4 million, or 1.7% increase over the forecasted year end FY2015-16 budget. Although the increase puts the City in a better position, expenses are growing and some are not being funded at 100% (OPEB and CIP Rehab contributions).

The following revenue categories include the majority of the revenue increases over the forecasted year end FY2015-16 budget:

Property Taxes – \$2.2 million or 5.8%

Transfers In – \$661,000 or 15.3%

Other Taxes – \$444,000 or 10.4%

Electric Franchise Fees – \$437,000 or 7.4%

Licenses and Permits – \$274,000 or 12.7%

The following revenue categories include the majority of the revenue decreases from the forecasted year end FY2015-16 budget:

Prior Year Operating Carryover – (\$1.2 million)..this entry is prepared during the midyear budget process

Sales Tax – (\$224,000)

Grants – (\$120,000)

Charges for Current Services – (\$119,000)

Forecast of General Fund Expenditures

The General Fund operating budget is \$139.10 million, which represents a \$2.8 million, or 2.0% increase over the amended year end FY2015-16 budget. The following ongoing expense categories include the majority of the ongoing expense increases over the previous year.

PERS increases – \$2.2 million

Temporary Salaries – \$208k

New personnel – \$495k

Reserves

The City maintains an operating reserve of 10% of total estimated General Fund operating expenses. Beginning in FY2016-17, this reserve is held in a separate fund called the General Fund Emergency Reserve Fund.

Staffing Levels

The following chart provides a summary comparison of citywide staffing levels with the positions as of June 30, 2016 (Estimated Year End). New positions approved during FY2015-16 are represented in the Estimated Year End FY2015-16 column. The new asks as well as any pending reclasses and adjustments to go for Council approval are taken into account in the Proposed FY2016-17 column.

Regular Employee Staffing Levels	Estimated Year End FY2015-16	Proposed FY2016-17
General Fund	694.0	696.5
Non-General Fund	442.4	449.6
Total*	1,136.4	1,146.1

*Does not include temporary positions

Although the net increase in positions to the General Fund is 2.5, there are 8 new positions that are asked to be approved in this proposed budget. The difference is due to another 5.5 being removed from the General Fund due to reclasses and adjustments. For the Non-General Fund positions, there is a net increase of 7.25 positions for FY2016-17, the make up being 3 from new asks and 4.25 from reclasses and adjustments.

FISCAL IMPACT

The Proposed City of Roseville FY2016-17 Annual Budget recommended for appropriation is \$493.8 million. The Annual Budget provides a financial summary of each City fund which are itemized in the Fund section. Departmental performance goals, objectives and funding are outlined in the Department Operating Budgets section. Lastly, all capital improvement projects and annual projects are listed in the Capital Improvement Projects section.

ECONOMIC DEVELOPMENT / JOBS CREATED

Eleven new jobs will be created by this action.

ENVIRONMENTAL REVIEW

The budget is not considered a "project" as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines 15378). Consequently, no CEQA action is required.

Respectfully Submitted,

Kathy Cullen, Budget Manager

Monty Hanks, Finance Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Fiscal Year 2016-17 Proposed Budget book

City Manager's message

New position Requests

New vehicle requests

Out of State Travel

Proposed Annual Budget

Fiscal Year 2016-17



Downtown Roundabout, Roseville, California

Fiscal year beginning July 1, 2016

City Council

Mayor:	Carol Garcia
Vice Mayor:	Susan Rohan
Councilmembers:	Bonnie Gore
	Tim Herman
	Pauline Roccucci

City Manager:	Rob Jensen
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Submitted by the City Manager
To the Mayor and City Council June 1, 2016

Final adoption by City Council on June 15, 2016



Prepared By Finance Staff

Jay Panzica, City Treasurer/Financial Executive
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City Manager's Budget Message



EXECUTIVE OVERVIEW

Fiscal Summary

Roseville's fiscal position has been improving, but at a somewhat slower pace than Roseville's rebounding economy. Initial recovery from the recession meant there was lost ground to be regained. Over the past several years, sales tax revenues increased as pent-up consumer demand was satisfied, and property tax revenues increased as real estate values recovered; however this growth in revenues has shown signs of slowing down while expenses are increasing.

During the recession, the City kept service levels high by deferring millions of dollars' worth of capital improvements and maintenance, along with lowering payments to key funds such as workers' compensation and retiree health. We must look at options to replenish those funds and cover associated unfunded liabilities. At the same time, the City faces a changing legislative and regulatory environment that adds significant cost to our operations, along with increases in labor costs related to salaries, pensions, workers' compensation, and retiree healthcare.

As a data-driven organization, the City uses metrics to inform our decision-making process. This has provided for a break-even budget in FY2016-17, where operational revenues will cover operational expenses; but that doesn't fully address all of our long-term liabilities. If the City were to fully fund its long-term liabilities and the deferred maintenance that occurred since the recession, the budget would be upside down by over \$10 million per year. As a result of the slowing growth, we're continuing to look for ways to close this gap, including modifying expenses, bringing in additional revenues, and changing service levels. The City is balancing the impact of slowing growth while still paying a fair wage for our labor market and making sure we have a workforce we can afford.

City of Roseville
Three-Year Operating Revenue Trend (in millions)

	Actual FY2014-15	Forecast FY2015-16	Proposed FY2016-17
Sales tax	\$49.1	\$53.6	\$53.4
Property Tax	\$34.3	\$37.0	\$39.1
<i>Subtotal</i>	\$83.4	\$90.6	\$92.5
Other Operating Revenue	\$48.7	\$47.5	\$47.9
Total	\$132.1	\$138.1	\$140.4

City of Roseville
Three-Year Operating Expense Trend (in millions)

	Actual FY2014-15	Forecast FY2015-16	Proposed FY2016-17
Operating Expenditures	\$130.4	\$136.4	\$139.1

City Manager's Budget Message

Projected FY2016-17 sales tax revenues of \$53.4 million and projected property tax revenues of \$39.1 million account for 66 percent of the City's FY2016-17 General Fund revenue. While sales tax in FY2016-17 is expected to slightly decline 0.42 percent (or \$224,000) compared to the FY2015-16 projections, this is due to FY2015-16 being artificially high reflecting true-up repayments from the State of California to cities and counties from sales tax revenues borrowed in previous years, also known as the "triple flip." The triple flip sunset in December 2015 will return to 1 percent allocation beginning January 2016. It is expected that future growth in sales tax revenue will be closely tied with inflationary growth. Property tax revenues are expected to grow almost 6 percent over FY2015-16 due to new homes and resales from a strong housing market.

Revenues from services such as utility billing, information technology (IT), legal services, and human resources—provided by other City departments to the enterprise funds—account for 10 percent of FY2016-17 General Fund revenues. Utility franchise fees from electric, natural gas, and cable companies comprise 6 percent of General Fund revenues. The remaining 18 percent of revenues comes from development-related fees, permits, recreation programs, business license fees, hotel/motel tax, grants, etc.

Before being able to recommend how revenues are allocated, the City must account for continued pressures on the expense side. Those include salaries, the Affordable Care Act, minimum wage increases, and PERS retirement costs. Following completion of a compensation study, the City accomplished the goal of moving salaries to labor-market median during recent labor negotiations, resulting in higher salary costs for Police personnel (sworn and non-sworn) and other miscellaneous positions. To offset these increases, Roseville Police Officers Association, Roseville Police Association, Local 39, the International Brotherhood of Electrical Workers (IBEW), and Management/Confidential approved new salary schedules for future hires that will help slow payroll growth in the future.

The FY2016-17 budget includes recommendations for eight General Fund positions, including a patrol officer, a code-enforcement officer, and other support services positions. Of the eight General Fund positions recommended, four had little or neutral impact to the bottom line as staff reduced other expenses to offset the increased salary cost. The General Fund also had four positions that transferred to other funds, such as storm water and a reduction of 1.5 positions that were reorganizations/reclassifications making the net new position number for General Fund 2.5. The budget also recommends four new positions in the utilities. Citywide, there is a net of 9.7 new positions when all reclassifications and transfers are taken into account.

The FY2016-17 budget addresses a range of operational needs with a specific focus on the following:

- **Police services and emergency response**—Maintaining adequately staffed and trained police and fire departments, including neighborhood patrols, crime-prevention programs and emergency personnel, are vital to protecting Roseville's quality of life and maintaining rapid emergency and medical response times.
- **Streets, roads, and public facilities**—Well-maintained city streets, roads, parks and recreation centers help protect property values and maintain Roseville's quality of life. It is fiscally responsible to maintain our streets, roads, and public facilities now, so they don't deteriorate and become more costly to fix in the future.
- **Economy and jobs**—Programs that retain, attract, and help expand businesses in Roseville are important to creating jobs and a vibrant local economy.

Economic Indicators

Looking at key economic indicators, including the labor market and residential, retail, and commercial sectors, Roseville's economy is strong. At the close of FY2015-16, residential permits for single-family homes are expected to have increased 34 percent over the previous year. In FY2016-17, a nearly 17 percent increase in permits is expected for single-family homes.

A total of \$60 million in commercial investment (\$27 million in commercial building construction valuation and \$33 million in commercial tenant improvements) will close out FY2015-16. And the City conservatively estimates a \$40 million increase in total commercial construction and tenant improvements for FY2016-17 to \$100 million, indicating a continuation in investment in Roseville's commercial sector.

Investment in FY2015-16 included a new regional office for the FBI, new Sutter medical office buildings, Life Time Fitness, Pearl Creek Apartments, Quest Technologies, and new restaurants at the Fountains at Roseville. In FY2016-17 construction continues on Top Golf, an entertainment and event venue, and a new building at 316 Vernon Street for retail, classroom space for Sierra College, and office space for City services and functions. And construction will begin on a new parking garage downtown at Washington and Oak streets.

Occupancy is increasing across all sectors in Roseville's commercial property, according to a February 2016 Co-Star report. The office sector is at 85.6 percent occupancy. Industrial space, at 92 percent occupancy, remains a premium in the city; and given the size of individual leases, the occupancy rate can swing significantly with the lease of a single tenant.

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As a regional shopping destination, Roseville draws shoppers from far beyond the city limits while also offering ideal consumer demographics in close proximity for a variety of retailers. Retail space was the strongest commercial-property sector for the city, at 95.6 percent, confirming Roseville as the regional retail powerhouse.

Roseville's unemployment rate is down to 4.5 percent as of March 2016 from 6.2 percent in November 2014. Roseville continues to track parallel with but below the State unemployment rate, which was at 5.7 percent in November 2015 and at 7.5 percent in November 2014.

Council Priorities

The Roseville City Council established multi-year priorities in 2012 and has added to them at annual goal-setting workshops in the years since. Following are the City Council's priorities for FY2016-17, which are supported in the budget as well:

- Public safety
- Fiscal soundness
- Economic development
- Sound and stable utilities
- A great downtown
- Infrastructure
- Legislative advocacy
- Civic engagement

COUNCIL GOAL NO. 1: PUBLIC SAFETY

Maintaining adequately staffed and trained police and fire departments, including neighborhood patrols, crime-prevention programs, and emergency personnel, is vital to protecting Roseville's quality of life and maintaining rapid emergency and medical response times. This priority is reflected in the budget, where public safety expenditures represents 69 percent of total revenues from sales and property taxes—the primary source of funding for public safety. To ensure the City maintains adequate police staffing, this year's budget includes the addition of a patrol officer to enhance coverage on the west side of town.

Low Crime Rates and Community Policing

Even with a population increase of 9 percent since 2010, Roseville maintains one of the lowest per capita crime rates for many types of crime compared to other cities. While Roseville's crime rate, for both violent and property crimes, has been falling for the past 10 years, it increased in 2015, though still lower than our five-year average. The City's rate of property crime runs above the state average due to its large retail sector and the City's position as a relatively affluent community with a low fear of crime, adjacent to higher-crime communities.

The Police Department is committed to the principles of community-oriented policing, using partnerships and problem-solving techniques to proactively address the immediate conditions that give rise to public safety issues such as crime, social disorder, and fear of crime. Rather than simply responding to crimes once they have been committed, community policing concentrates on preventing crime and eliminating the atmosphere of fear it creates. Earning the trust of the community and asking residents and businesses to be stakeholders in their own safety enables law enforcement to better understand and address both the needs of the community and the factors that contribute to crime.

In addition to patrol, the department provides a wide range of services, including criminal investigations, vice and narcotics investigations, crime suppression, traffic enforcement, youth service officers in the schools, animal control, social services and youth intervention services in partnership with the Roseville Police Activities League.

Police Staffing Analysis for a Changing Environment

With the economy recovering, the City is growing. The City reached full-staffing of sworn personnel for the first time in five years, reflecting City Council's prioritization of this goal. The Police Department is maintaining staffing through effective and efficient hiring programs for laterals and entry level positions – both for sworn and professional staff. The City's focus is on reducing crime to ensure Roseville maintains safe neighborhoods and business districts.

Growth brings the challenge of maintaining a high level of service for a larger population and other issues that come with being a larger city. Those include maintaining adequate staffing levels to provide good response times and to be proactive in preventing crimes and disorder. A staffing analysis being completed by an outside consultant is currently underway to examine staffing needs. Set against the backdrop of the amount and type of growth projected for the City, the analysis also will factor in law enforcement trends and state actions. These include AB109, which reduced the prison population by not incarcerating offenders or releasing them early with either no mandated supervision or only local community supervision; and Proposition 47, which reduced several former felony crimes to misdemeanors and changes the options available to law enforcement officers to address crimes like shoplifting, stolen property, drug possession, and theft.

Building Trust in our Community

The Police Department is focused on continuing to build trust in our community. The department held its first daytime Citizens Academy to ensure it is serving all segments of our community. It was well attended and the department will

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be holding one daytime and one nighttime academy each year. The department also plans to implement a body camera system for operations personnel this coming year to build trust in our community and assure our residents and visitors that the Police Department is accountable. Although funding for body cameras is not included in this year's budget, the City is looking for funding opportunities for this initiative.

Transitioning of the Jail

With the jail closing this past year, three employees were transitioned to other assignments that were needed within the department to serve our community. There were no layoffs. The Police Department will transition the vacated jail area to a new, much-needed workspace area for detectives and storage for property and evidence.

Fire Response Times and Service Levels

Fire stations are located strategically throughout the community in order to place resources within acceptable response distance to the areas that the assigned resources are expected to serve. Response time is one of the most important measurements of fire department performance. Response times and resources are evaluated on a regular basis to ensure that the Fire Department is providing the most efficient and effective services to the community.

As the population and travel times within our response districts increase, the need has been identified for a ninth fire station in the next five years. The City must build capacity in the budget to fund the construction of the new station and the estimated \$2 million annual cost for staffing.

In March 2016 the Roseville Fire Department received reaccreditation from the Center for Public Safety Excellence. The accreditation process is a comprehensive self-assessment and evaluation model that enables the organization to examine past, current, and future service levels and internal performance and compare them to industry best practices. The accreditation is valid for a five-year period.

Training and Staffing Levels

As first responders, the Fire Department's emergency preparedness is honed through a range of training. Performance capabilities for hazardous-materials response have taken on a new dimension given the increased transportation of highly volatile Bakken crude oil shipments throughout the country. Funded by Union Pacific, Roseville firefighters have attended specialized training in Colorado focused on fighting petroleum fires.

The Fire Department implemented a lateral hiring process that will bring six firefighter/paramedics to the department in June 2016 to fill current vacancies. Benefits to the new process include a shorter academy - which is a significant cost savings in personnel hours - and the ability to keep an active hiring list and hire when positions are vacant rather than waiting until there are enough vacancies to fill an academy class. This will assist with succession planning as well.

Relocation of Fire Station No. 1

In FY2016-17 construction will begin on a modern facility for downtown's Fire Station No. 1. This need was identified during the visioning process for the Downtown Specific Plan and is being funded with developer fees. Since this is a relocation of existing staff, there are no additional operational funds required for the new Fire Station No. 1.

COUNCIL GOAL NO. 2: FISCAL SOUNDNESS

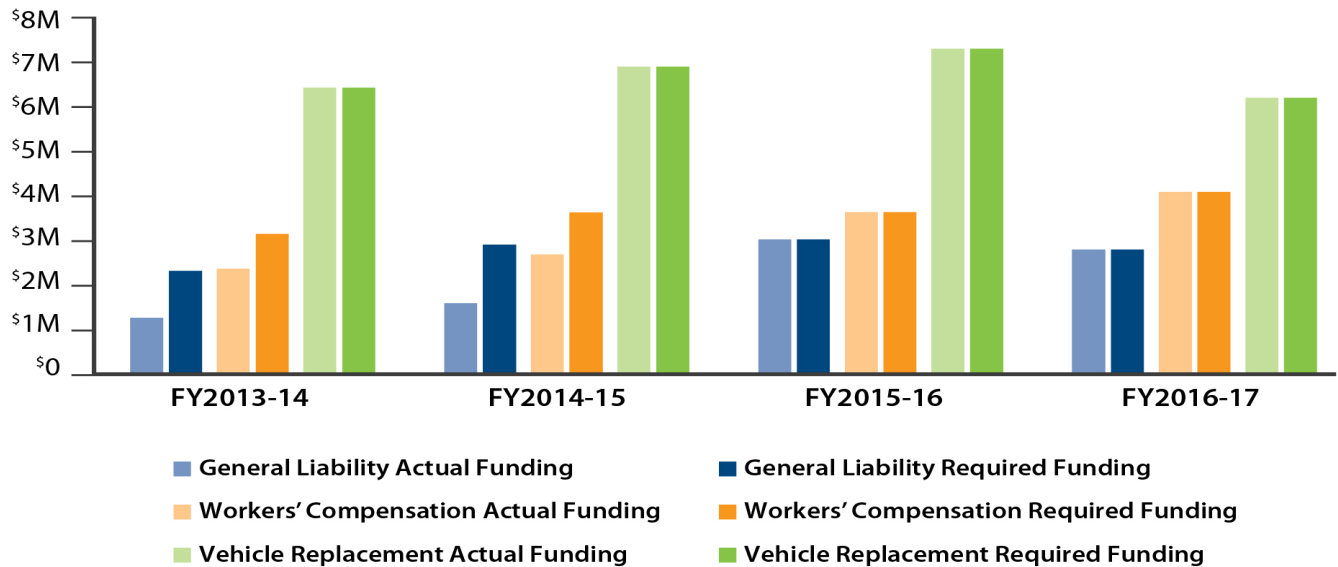
The adoption of the FY2016-17 budget marks the second year since the City implemented a strategy to balance operational revenues with operational expenses without the use of one-time funds. This balancing of resources during the development of the budget involves many compromises when funding the various needs of the City. The FY2016-17 proposed budget addresses many of these needs; and although it is balanced, it does not fully fund all of the City's obligations. With cost pressures continuing to rise and revenue increases continuing to slow, the priority in this year's budget is to pay our mandatory obligations first, such as PERS costs, debt, and salaries, and then to prioritize the remaining resources to deliver services.

In an effort to balance the budget during the recession, several categories of operating expenses were underfunded. As the economy has improved, City Council has made many proactive decisions towards reversing this trend and attaining long-term fiscal soundness. As a result, the City has successfully implemented long-term solutions in four critical areas. In last year's budget, and again in this year's budget, these four categories are now fully funded: Workers' Compensation internal service fund (ISF), the Vehicle Replacement ISF, the General Liability ISF, and the Litigation Reserve Fund (newly funded in FY2015-16).

The chart on the following page shows the required vs. actual funding levels of the three ISFs for four years. As can be seen, these three funds have now been fully funded for the last two years.

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Funding Status Comparison Internal Service Funds

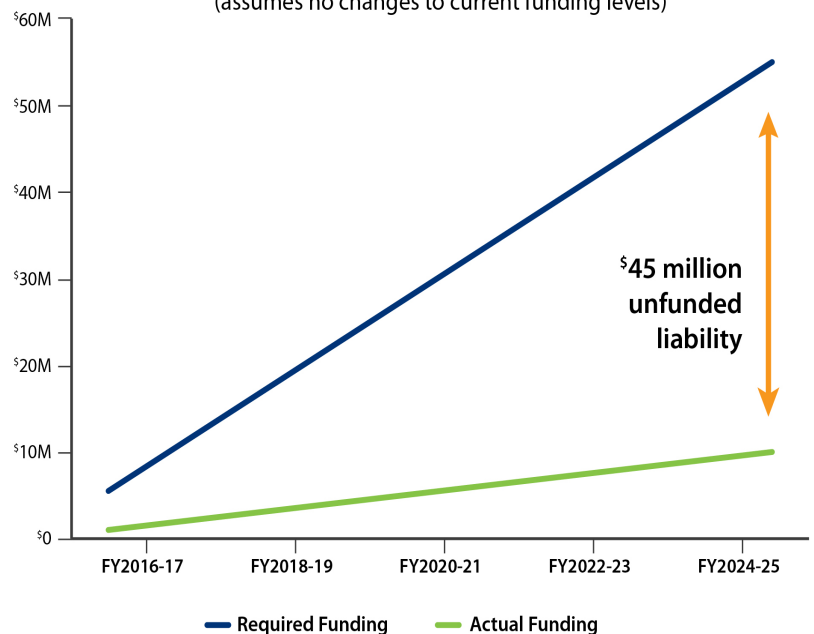


Budget Challenges Facing the City

The following information outlines some of the challenges we are still facing and is followed with a discussion identifying what the City is doing to address them.

- Capital Improvement Plan and Rehabilitation of Assets**—Costs for infrastructure maintenance and replacement is increasing in all areas—from building maintenance to technology to capital improvements and rehabilitation. The 10-year capital improvement plan has identified approximately \$55 million of underfunded needs. The General Fund has been funding \$1 million per year when the actual funding level should be closer to \$5 million. This has resulted in deferred maintenance occurring throughout the City. The proposed FY2016-17 budget will fund \$1 million of expenses from the General Fund, and after utilizing all available resources, defer \$3.7 million to future years. The following chart shows the required vs. actual funding level of this account if we do not improve the funding in future years.

10-Year CIP Rehabilitation Forecast - General Fund (assumes no changes to current funding levels)



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- **Streets and Roadways**—Funding challenges for roadway infrastructure have caused the City to fall behind on its maintenance schedule for streets. Currently, roadway maintenance is about \$50 million underfunded. The gas tax is the primary funding source for streets and roadways. Gas tax rates, accrued on a per-gallon basis, were developed without an adjustment for inflation, minimizing their purchasing power with every year that passes. Gas tax revenues have fallen also due to more fuel-efficient vehicles being on the road, reducing the demand for gasoline. The City has identified an average, annual ongoing need of \$9-10 million per year. The City annually funds \$4-5 million per year from the General Fund, leaving a shortfall of \$5 million per year, or \$50 million for the next 10 years.

The FY2015-16 budget includes \$15 million for roadway maintenance which addresses 34 miles of roadway. For FY2016-17, the City is budgeting another \$7.25 million for roadway resurfacing. It should be noted that the funding levels for FY2015-16 and FY2016-17 are an anomaly and have unusually high dollar amounts due to a number of one-time factors, as well as a consolidation of multiple-year spending. These anomalies are described below:

- o The arterial resurfacing project is the combination of three years' worth of arterial resurfacing funds all rolled into one project – FY2013-14, FY2014-15, and FY2015-16.
- o In FY2013-14, due to some staffing shortages and regulatory changes regarding handicap-ramp installations and upgrades, no resurfacing was completed. That allowed the FY2013-14 funding to roll forward into future years.
- o Staff is combining the FY2014-15 Federal Regional Surface Transportation Program (RSTP) apportionment with a future apportionment being advanced from FY2017-18.
- o This summer's storm drain work has been planned for several years. Over that time, the City has accumulated over \$2 million dollars in the storm drain account for storm drain repair/upgrades.
- o The City obtained a Federal Congestion Mitigation and Air Quality (CMAQ) grant to help fund handicap-ramp improvements.
- o The Gas Tax Fund received a large repayment from a Redevelopment Agency loan.
- o Some resurfacing money that was being held in reserve pending the outcome of a State gas tax audit

was released for resurfacing after the audit's successful completion.

- o The Alternative Transportation Division was able to allocate \$5.4 million for street maintenance purposes via current and past Transportation Development Act funding years.

Funding for FY2017-18 and beyond are expected to return to the normal \$4-5 million level.

- **Citywide Parks**—Roseville has an extensive and beautiful parks system, and Council is highly motivated to maintain the high standards to which our community has become accustomed. However, funding these standards has a cost that must be considered.

The funding of parks can be grouped into two categories: funds required to build a park and funds required to maintain a park. In many instances, parks are built by developers and maintained by community fees. However, citywide parks do not fall in this category, resulting in all costs being borne by the General Fund.

Included in this budget is funding for the construction of Phase 2 of Harry Crabb Park and Phase 2 of Central Park. The expansion of the parks system to include these two parks will obligate the General Fund to an additional \$200,000 in annual maintenance costs. These additional maintenance costs are not included in this budget since the parks will be constructed during the year and thus not require maintenance costs until FY2017-18. As a result, a funding source will have to be determined at that time.

While it is fully expected that the General Fund will fund these costs in FY2017-18, further expansion of citywide parks will require the identification of additional funding strategies.

- **Labor Costs**—Since municipal government is primarily a service provider as opposed to a manufacturer, a significant portion of the City's budget is related to salary costs. As a result, any cost increases to labor have a significant impact on budgeted expenses. During the recent labor negotiations, the Council took a two-fold approach to ensuring long-term fiscal stability related to labor costs by reducing the growth of future labor expenses. This was accomplished by increasing the number of salary step or "step" increases and modifying the amount of the increase earned in each step.
 - o *Increasing the number of steps within a pay scale*—The number of steps was doubled, effectively doubling the length of time required to reach maximum pay within

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a job classification. This new schedule implements a 2.5 percent merit increase instead of 5 percent when an employee is eligible for a step increase. Going into FY2016-17, the change in the number of steps applies to Roseville Police Officers Association, Roseville Police Association, Local 39, IBEW, and Management/Confidential.

- o *New salary schedule*—During the recent negotiations, a new salary schedule for new employees to the City was created. These new pay scales were based on market surveys with the goal of targeting a median pay range. Going into FY2016-17, the schedule applies to Roseville Police Officers Association, Roseville Police Association, Local 39, IBEW, and Management/Confidential.

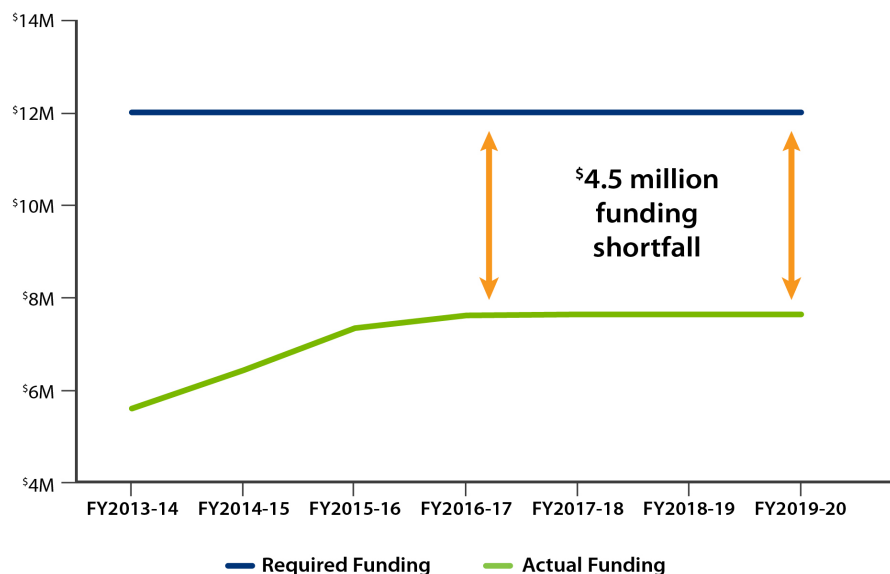
- **Retiree Health or "Other Post-Employment Benefits (OPEB)"**—Costs for those employees who will receive retiree health benefits are continuing to grow as healthcare premiums and Medicare costs increase. The latest actuarial report shows an unfunded liability of \$152 million. The proposed FY2016-17 budget will fund \$7.6 million toward this liability, when the actual annual amount needed to fully fund this over time is \$12 million per year. The City has taken a two-fold approach to ensuring long-term fiscal stability related to retiree health costs by funding current obligations and limiting future liabilities.

- o *Funding current obligations*—To provide long-term sustainability for retiree medical expenses, the City

created an OPEB trust in February 2011 with an initial contribution of \$34 million. Since that time, the City has remained committed to funding this obligation by directing a certain percentage of annual salary costs to the fund. That percentage currently is 3 percent of total salary for the General Fund, while the utilities are funding 100 percent of their obligation. The current required payment to meet a fully funded status is 8.1 percent of salary. The trust has performed well in the equity markets, and when combined with the increased contributions, now has a fund balance of about \$55 million, which represents a 26 percent funding level.

- o *Limiting future liabilities*—As mentioned earlier, over the past year, the City has negotiated new contracts with its bargaining units, with the goal of capping unfunded liabilities and slowing payroll growth. As a result, the retiree health benefit has been eliminated for new "Tier 3" employees. These new employees are required to contribute into a Retirement Health Savings account that can be used for future medical, dental, and vision expenses after retirement. These expenses will now be funded by the retiree as opposed to the City. The following chart shows the forecast funding level for the OPEB Trust, assuming the City continues to fund at the current 3-percent level. The blue line reflects the required 8.1 percent (\$12 million) of salary funding level and the green line represents the current 3-percent (\$7.6 million) level.

OPEB Funding Status - General Fund
(assumes no funding increases after FY2016-17)



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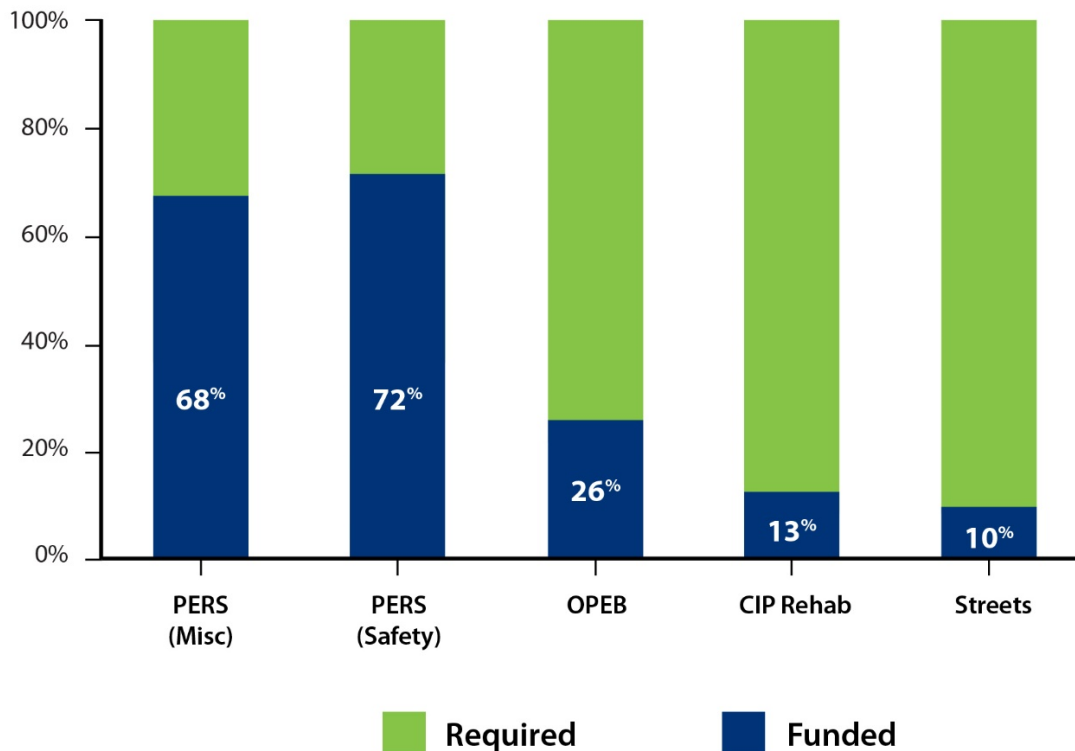
- **Pension or "PERS" Costs**—Costs for the employee pension plan are related to the:
 - o Particular retirement plans the City participates in,
 - o Annual contribution made by the City and the employees, and
 - o Returns experienced in the stock market.

The latest actuarial report identifies a \$165 million unfunded liability for "miscellaneous" (non-public safety) employees and a \$71 million unfunded liability for public safety employees for a total of \$236 million. The annual payment to PERS for pension costs is calculated by PERS and sent to its member agencies. The FY2016-17

proposed budget will fund the expected payment due to PERS for the fiscal year. Looking forward, in order to reduce, and ultimately eliminate, the unfunded liabilities that cities currently have, PERS has developed a modified payment plan that will eliminate the unfunded liability in approximately 28 years. Although PERS has identified and implemented the actions necessary to correct the unfunded liability, cities will be required to fund higher annual costs as PERS recalculates the requirements each year. As an example, the increased cost for the City of Roseville for FY2016-17 totals \$3.4 million, which includes \$2.2 million for the General Fund.

The following chart provides a summary of the various funds discussed and includes the latest actuarial information from PERS.

Current Funding Status



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- **Other Outside Influences on Labor Costs**—Proactive management of expenses continues to play a key role, especially for labor costs. Although the City has some control over salary costs, several components are outside its control, including the following:
 - o Increases in the mandated minimum wage that will add salary and benefit expenses to our compensation costs, as well as those of our vendors (which will be passed on to the City), and
 - o the Affordable Care Act, which could add healthcare expenses for temporary employees.

While difficult to forecast at this time, the FY2016-17 proposed budget will fund the expected impact of these cost increases for the fiscal year. These forecasts will be refined as the full impact is realized.

Future Goals Toward Fiscal Soundness

- **Capital Improvement Plan and Rehabilitation of Assets**—During the FY2016-17 fiscal year, City staff will be undertaking an overhaul of the process by which it identifies and funds the ongoing replacement of assets. This effort will create an accounting system that fully accounts for all assets that the City is required to replace in future years, including when and how much they will cost. These costs will then be incorporated into the operating budgets for all appropriate departments. This effort will result in a number of important improvements to the current system:
 - o Creation of a centralized database of all assets to include life span and cost,
 - o Development of a replacement-funding mechanism for all assets,
 - o An accurate picture of the full cost of running each department,
 - o Reduction or elimination of the need for one-time exaggerated bumps in the budget, making future budgets smoother, and
 - o Reduction or elimination of last-minute scrambling for funds.
- **Internal Service Funds**—The City has successfully used the concept of an internal service fund (ISF) for certain citywide expenses. The calculation and tracking of these expenses is performed in a centralized manner, in which the identification and funding of the costs are clearly presented. These costs are funded, via the operating

budget, by the department that actually incurs the expense. An excellent example of this is the Automotive Replacement Fund (ARF).

During the FY2016-17 fiscal year, City staff will be undertaking the task of creating ISFs for the IT Department and the Building Maintenance and Facilities Division. As with the ARF, this will

- o Create an accurate assessment of the costs of delivering these services and
- o Transfer responsibility for the funding of the expenses to the operating budgets of the users of the services.

This will also provide opportunities to improve efficiency and to expand funding sources. In effect, it will transfer accountability to the departments to manage these costs and require them to thoughtfully decide if the impact of an expense increase can be absorbed in their budget. These new, accurate budget models will generate a comprehensive picture of what each city department actually costs to operate. For example, instead of funding all IT needs from a centralized fund that is not owned or funded by any operating department, an IT expense line will exist in each department's budget.

- **Cost-Recovery Fee Strategy**—Fairly allocating costs to the services provided creates value and predictability for our customers, and reimburses the City for expenses requested by a single party, as compared to the public at large. Fees in general ensure equity; those who benefit the most should pay the most, and pricing ensures that users pay appropriate fees. The City is currently determining the total cost of providing each City service and the cost-recovery target, comparing our fees with neighboring or similar jurisdictions, and recommending appropriate fees and charges based on the analysis. Once the data is collected and analyzed, these recommendations will be brought forward to the City Council for consideration. When fees are approved, the City will prepare a comprehensive fee booklet that will consolidate all fees charged for services, which are currently maintained by respective departments.

An example of this analysis is being performed in the Parks, Recreation, and Libraries Department. In alignment with its pricing policy, the City is currently evaluating strategies for recovering costs for facility expenses. One new fee being considered is a 5 percent capital improvement fee on all programs. This would help to offset the direct costs incurred by users of the facility. Based on current projections, this fee would generate \$350,000 annually toward filling the gap in our capital improvement

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plan for Parks, Recreation, and Library facilities. This fee is not included in the current budget but will be evaluated during FY2016-17.

- **Increase Level of Emergency Reserves**—In accordance with City Council policy, the City maintains a reserve level of 10 percent of the General Fund's total estimated operating costs. However, increasing the emergency reserve level to at least two to three months' worth of total expenditures (16-25 percent) will strengthen the City's ability to weather economic downturns and also allow the City to achieve the lowest cost of borrowing. Although this won't happen overnight, redistributing excess funds each year from the prior year's budget will help build these reserves. Currently, the City's General Obligation Bond rating stands at AA+ from Standard & Poor's; and increasing the reserves can help the City achieve the next (and highest) rating possible of AAA, thereby lowering the City's borrowing costs.

COUNCIL GOAL NO. 3: ECONOMIC DEVELOPMENT

Programs that retain, attract, and help expand businesses in Roseville are important to creating jobs and a vibrant local economy. Last year, work was completed on Life Time Fitness, Pearl Creek Apartments, Quest Technologies, and new restaurants at the Fountains at Roseville, and work is nearing completion on many new projects, including a new Federal Bureau of Investigation field office, new Sutter medical office buildings, Top Golf entertainment complex, and iFly indoor skydiving facility.

This coming year we expect progress toward and potential construction of the Falls Event Center, Adventist Health expansion, a new medical office building for Kaiser Permanente at Riverside Avenue and Cirby Way (to replace the current facility), a new facility for the Placer Society for the Prevention of Cruelty to Animals (SPCA), a new parking garage downtown at Washington and Oak streets, and completion of a new building at 316 Vernon Street to provide office space for City services, classroom space for Sierra college, and ground-floor retail space.

Pending funding from the State, the City may see the start of construction on Mercy Housing's 55-unit affordable housing project on Vernon Street and St. Anton Partners 80-unit affordable housing project on Pacific Street in the Historic District. In addition, City Council approved an agreement in April 2015 with Placer Valley Tourism to develop a regional multi-use sports complex in west Roseville.

Having completed an assessment of City-owned properties this past fiscal year, the City will be formalizing its property-management and property-disposal strategies.

On the residential side, staff is working on the Amoruso Ranch Specific Plan in the northwest area of the city with 2,906 residential units, and the Campus Oaks Specific Plan adjacent to Hewlett-Packard, with 948 residential units and a tech park to complement the adjacent commercial and tech facilities.

Public-Private Partnerships

- **Advantage Roseville**—In 2012, the Roseville Community Development Corporation recruited 22 local partner companies to form a three-year public-private partnership called Advantage Roseville. The goal of Advantage Roseville is to grow Roseville's economy by attracting new businesses and by retaining and expanding existing businesses. Each of the 21 private-sector partners had committed to a \$5,000 annual contribution, matched by a \$100,000 annual contribution from the City of Roseville, the 22nd partner. In 2015, Advantage Roseville continued the program with three levels of private financial participation and a \$100,000 contribution from the City.

Since inception, the Advantage Roseville campaign has raised Roseville's brand awareness throughout the state and has participated in the attraction of approximately 2,695 new jobs with an estimated annual payroll in excess of \$90 million and capital investment associated to new construction of \$253 million. Advantage Roseville received a statewide economic-development partnership award from the California Association for Local Economic Development (CALED) in April 2016. It was previously recognized with the Public/Private Partnership Award for 2014 from the Placer County Economic Development Board for its leading role in branding and delivering business attraction, retention, and expansion services.

- **Higher Education**—Three higher education institutions are in various stages of progress with a new or expanding presence in Placer County: 1) Sierra College will be occupying a floor of the new office building under construction at 316 Vernon Street when it's completed in 2016, providing a downtown location for higher education that will bring a different demographic and steady stream of students to Roseville's downtown, 2) Warwick University, which announced its intent to build a co-campus west of the city limits, is looking for classroom space in Roseville. It plans to offer classes prior to construction, and its staff has been actively connecting with business, education, and community leaders to get an idea of the types of academic programs and amenities desired in the area, and 3) Placer County is continuing to process the Placer

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Ranch Specific Plan to facilitate the development process and to further advance the project. This area, north of city limits, will be home to a new campus in the California State University system, along with 5,000 residential units and 6 million square feet of non-residential uses. It's a key part of the newly conceived Innovation Corridor, which tracks the path of the proposed 15-mile Placer Parkway that will connect Highway 65 with Highway 99 near Sacramento International Airport. The Innovation Corridor highlights three universities: Rocklin-based William Jessup University, the proposed California State University campus in Placer Ranch; and the proposed Warwick University to the west of Roseville.

- **Hotel-Conference Center**—In April 2016, the City Council approved a Request for Proposal for a hotel and conference center at a City-owned site adjacent to the Galleria. The goal is to find a partner to deliver a full-service hotel and conference center that minimizes any obligation of the City's General Fund.
- **Multi-Use Sports Complex**—In April 2015, the Roseville City Council approved an agreement with Placer Valley Tourism to construct a 55-acre multi-use sports complex in the West Roseville Specific Plan Area, funded with \$5 million from the Citywide Park Fund and approximately \$30 million in bond proceeds secured by Placer Valley Sports Complex and Tourism Marketing District. It will have 10 lighted, synthetic soccer/multi-use sports fields along with play areas, restrooms, picnic area, and a parking lot. This partnership expands economic development through sports tourism, which is expected to have an annual economic impact of \$8-12 million due to visitor spending on lodging, meals, shopping, and entertainment, while also decreasing expenses for citywide parks for both one-time construction costs and recurring maintenance costs.
- **Placer Society for the Prevention of Cruelty to Animals (SPCA) Animal Care Facility**—Since 1994, the City has contracted with the SPCA for animal shelter services since 1994. With the City's growth in population, the SPCA has experienced a significant increase in the number of Roseville animals accepted, predicating the need to expand SPCA's capacity. Currently, 88 percent of the animals processed at the Corporation Yard facility are attributable to Roseville. Over the past several years, the City has explored a number of options to accommodate this increase in demand, including partnerships with Placer County and other South Placer cities, but an agreement could not be structured that achieved each jurisdiction's unique needs.

To address this demand, SPCA initiated discussion with the City regarding a proposed long-term partnership to construct a new, larger facility on Yosemite Drive. Phase 1 of the new Yosemite Drive facility would provide an animal housing and adoption center, while initial intake and medical services would continue to be provided at the Corporation Yard facility. A future Phase 2, should it move forward, would further expand the Yosemite Drive facility to relocate the intake and medical services from the Corporation Yard facility. Estimated construction costs for Phase 1 are \$12 million, of which the City's share would be capped at \$7.6 million (from the City's Strategic Improvement Fund) or 68 percent of total cost, whichever is lower. The City and SPCA will both have an ownership share in the facility, proportionate to the amount of funding provided for Phase 1.

Investing in Our Community

- **Increased Code Enforcement**—Several code-enforcement initiatives and ordinance amendments were implemented this past year. These included the re-establishment of the Nuisance Abatement Team, implementing a citywide complaint-tracking system, and increasing sign enforcement. In addition, the City updated the municipal code to address the following: the definition of public nuisances, parking in front yards, parking of commercial vehicles in residential-zone districts and the use of A-frame signs. These improvements to the code-enforcement operations assist in addressing citizen concerns and preserving the vitality our community.

At its goals workshop for FY2016-17, the Council discussed the importance of expanding code-enforcement efforts throughout the community. To address this priority, the FY2016-17 budget includes an additional full-time code-enforcement officer. At a ratio of one officer per 38,000 residents, this position aligns code-enforcement staffing on a per capita basis with staffing levels in other similar jurisdictions. It also allows the City to provide a timely response to complaints as the population continues to grow.

Ensuring that the older, more mature areas within the City remain safe, clean, and well-maintained was a separate but related topic identified in the Council Goals Workshop for FY2016-17. While code enforcement is a dimension of this, providing these neighborhoods with an additional service is anticipated as part of this year's budget. Similar to past efforts, it is anticipated that the code-enforcement division, in conjunction with the Police Department, will work with the community to provide one neighborhood weekend clean-up program.

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- **Entrances to the City**—As mentioned at the FY2016-17 Council Goals Workshop, entrances into the City of Roseville are important to the overall aesthetics and perception residents, businesses, and visitors have of the City. The City is determining options to improve the various City entrances, starting with South Cirby and Champion Oaks, which is funded in the capital improvement plan for FY2016-17.
- **Landscape & Lighting Districts**—A significant amount of common-area landscaping throughout the city is funded through Landscape & Lighting Districts, through which property owners determine the level of service and aesthetics they desire for their neighborhoods and vote to assess themselves accordingly. Neighborhood standards vary throughout the City, and all areas have the opportunity to vote to adjust assessments.
- **Funding Housing Needs**—The Roseville Housing Authority, operated by the City of Roseville, administers the U.S. Department of Housing and Urban Development's (HUD) Housing Choice Voucher rental assistance program. Participants can also take advantage of the Roseville Housing Authority's Family Self-Sufficiency program, which provides incentives to maintain employment by offering an interest-bearing savings account with the goal of becoming financially self-sufficient. Roseville's Housing Authority was named a high performing agency by HUD for the 11th consecutive year—the highest rating available to local housing agencies. This rating gives the Authority a competitive advantage in its efforts to bring more federal funds to the Roseville community.

Currently, the Roseville Housing Authority provides safe and clean housing for 655 families through rental assistance from the Housing Choice Voucher Program, the City's single strongest tool to prevent homelessness in our city. The program brings about \$5 million a year to the Roseville economy that gets recycled through jobs and further investment.

- **Addressing Homelessness**—The City supports and collaborates with many local service providers in their mission to serve our homeless including emergency shelter, warm meals, groceries, and even basic medical needs. In addition, the City has been active in advocating with agencies at the federal level on this issue. The City's financial support and collaboration includes 15 homeless programs or organizations, three food programs, 11 adult programs, 18 children and youth programs, three home-buying and rehab programs, and six community-benefit organizations. Additionally, the City has a Red

Cross-approved Severe Weather Plan in place to provide cooling centers or warming centers in times of need. All totaled, the City administers or contributes financially over \$6 million in resources annually to provide affordable housing, assistance in the prevention of homelessness, and administration services to those in need.

In FY2015-16, the City has been participating in meetings on how to address homelessness with other jurisdictions in Placer County and with Sacramento Steps Forward, the lead agency working to end homelessness in the Sacramento region. The City has issued an RFP to develop a needs assessment for the homeless population in our community, using a collaborative, data-driven, outcomes-based approach to align the needs of the homeless with available housing and programs, to determine what resources are needed to address those needs, and to develop a database of information that can be used to assist individuals throughout their transition from homelessness.

- **Increasing the Amount of Funds Available for Community Non-Profits**—It is rare for a city to have a grant program that funds community non-profits each year. The City of Roseville's Citizens Benefit Trust (CBT) Fund was created when the City sold the Roseville Hospital in the early 1990s. The City Council decided to put the proceeds in a trust and to grant 90 percent of the interest earnings annually to non-profits for the sole purpose of improving the quality of life for the citizens of the City of Roseville.

As a government entity with the fiduciary responsibility for public funds, the City is required by law to follow three guiding principles when investing the CBT funds. In order of priority, these principles are: safety of principal, liquidity of funds, and yield. State law limits the city's investment choices to low-risk, high-grade bonds such as treasuries, federal agencies, and corporates (A rated or better) with maturities that are less than five years. No investment in equities is allowed. Over the last several years, this has resulted in significantly diminished returns due to the Federal Reserve keeping the short-term interest rate at or near zero. Due to the restricted requirements imposed on government investments related to safety of principal, the portfolio has experienced returns around 1 percent, leaving less available for grants. By changing the investment strategy allowed under state law to the City's advantage, City Council adopted a policy to extend the maturity limit from five to ten years strictly due to this fund not having any liquidity concerns that are needed to meet operating expenses. The portfolio is still investing in the same low-risk, high-grade bonds but with longer

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maturities. This change has resulted in a two-fold return increase for the fund. The Grants Advisory Commission will now have twice as many funds available for granting purposes just by making this change.

- **Library Funding**—At the FY2016-17 Council Goals workshop, the Council asked staff to ensure adequate funding for the City's three libraries. The most recent Parks, Recreation and Libraries Department audit made comparisons to city libraries in California that are similar in population. They also include Yolo County because of proximity and size. For FY2013, those findings indicated that Roseville was on the low side of operational expenditures per capita and materials per capita spending. The statewide median expense per capita is \$29.28 and the average is \$47.13; Roseville is at \$26.88. When compared within our region, Roseville is right in the middle of operational expenditure and materials per capita. With high satisfaction levels in the community and because libraries are meeting operational goals despite rising costs, there is not a recommendation to increase the budget at this time. Monitoring service and satisfaction levels is ongoing and recommendations will be made as part of the budget process regarding facilities and programs as appropriate.

COUNCIL GOAL NO. 4: SOUND AND STABLE UTILITIES

Having well-run, reliable, and low-cost City-owned utilities has proven to be an economic advantage to Roseville over the years. As a full-service city, Roseville owns and operates its own electric, water, wastewater, and solid waste utilities through Roseville Electric Utility and Environmental Utilities (EU). Key utility decisions are under the control of a single entity, which makes the planning, development and operation of utility services more efficient, synergistic, and reliable. This benefits customers with rates among the lowest in the region, the highest levels of reliability and quality with a relentless focus on planned expansion, and proactive renewal or replacement of utility assets.

Legislation and Regulation

While the City owns and operates a number of diverse utility services, the one aspect all City utilities have in common is external regulation. Each of our utility services are highly regulated by state and federal agencies and routinely subject to legislative and judicial orders which are expensive and sometimes interfere with local control. The City deals with this reality strategically on two fronts:

- 1) The City develops and drives a comprehensive legislative and regulatory platform and the City promotes balanced and pragmatic approaches. We work hard to understand the issues, develop relationships, and advance or protect our customer's interests accordingly. The City is a leader of several legislative advocacy alliances on the regional, state, and federal level that combine the strength of their unified voice to advocate for utility customers.
- 2) While new regulations can be challenging and costly to implement, the City actively looks for opportunities that derive increased value from regulations to further benefit our customers. Because our utilities operate as integrated businesses, we can sometimes turn what might look like a daunting regulatory mandate into a synergistic business opportunity. An example of this is our organic food waste to biogas energy program currently under development that will leverage our need to divert organic solid waste against our ability to convert this waste to energy at our wastewater treatment plants.

Highlights of each utility's focus areas for FY2016-17 are listed below.

Roseville Electric Utility

- **Community solar**—Roseville Electric Utility is in the mid-stages of planning and building its first community solar project. The goals of the project are to allow interested customers to purchase solar electricity from the project and to provide the utility with local, renewable energy, to count towards the State of California's requirement for 50 percent renewable electricity by 2030. The preliminary site selection is adjacent to the Roseville Energy Park, as was envisioned over 10 years ago when we began construction for the power plant. Estimated completion of the community solar project is in 2017. Depending upon customer interest and demand, more community solar projects may be planned for in the future.
- **Advanced Metering Infrastructure (AMI) or "Smart Meters"**—Most homes and businesses in California have smart meters that measure multiple aspects of their electricity and natural gas usage at any time of the day or night. Smart meters can measure the time of day that energy is used, send messages to customers with information about their usage and allow customers to check usage, during the month, instead of after the fact when they receive their bill. Until recently, there was not a compelling business case for Roseville Electric Utility to install smart meters. After thorough study and evaluation, the utility has established strong business reasons to move

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forward for smart meters for all electricity customers. The benefits of smart meters are: improved distribution system reliability, enhanced customer program offerings, lower operational costs, and better tools to predict and plan for customer demand. In 2016, Roseville Electric Utility will prepare a plan to install smart meters. The plan will incorporate significant public outreach efforts and activities throughout the community. Upon City Council approval, roll out of smart meters to Roseville customers is expected to begin in 2018.

- **Electric Rate Plans**—Keeping with the City Council's priority for sound and stable utilities, Roseville Electric Utility implemented a two-year rate plan that ensures cost-based, fair and equitable rates, while maintaining highly reliable service to our customers. The rate plan, which includes two rate restructuring changes, effective January 1, 2016 and 2017, includes no overall rate increases, only restructured rates to better reflect the cost of electricity services and to fairly allocate costs between fixed and variable costs. The next rate proposal is expected to be completed in 2017, with an estimated effective date of January 2018 or later.

Because of average or above average precipitation levels near the City's hydroelectric power plants, Roseville Electric Utility doesn't anticipate the need for the hydroelectric surcharge for FY2016-17. The ordinance allowing an electric surcharge was adopted in 2009 as a way to partially fund the purchase of replacement electricity that was not provided from hydroelectric resources due to lower-than-average precipitation.

Water Utility

• Long Term Goals

- o Effectively unwind from the four years of severe statewide drought and adjust drought stages and messaging accordingly.
- o Continue water reliability planning efforts for the Ophir Water Treatment System with Placer County Water Agency and others.
- o Comprehensively evolve our connection-fee program to include recycled water and water reliability projects for the future. Begin preparing for the next cycle of utility rate adjustments as needed ensuring stability across long-term financial plan and key fiscal policies.
- o Stay in front of external movements, including California Water Fix, long-term water use efficiency policy, and the State Water Resources Control Board's tributary flow proceedings, to protect the City's and

region's interests while forging new partnerships and alliances to advance northern California water reliability.

- **Water Reliability**—As parts of California come out of four years of drought, it brings into sharp focus the ongoing policy attention needed to address California's ongoing water-supply challenges. Staff is developing a long-term integrated resource plan to ensure Roseville's continued water-supply reliability, focusing on regulatory change and needed water infrastructure partnerships and investments. Special emphasis is on the development of an infrastructure plan to increase access to water from Placer County Water Agency. A high certainty level in future water supplies is a key factor to continued investment in our region leading to increased economic vitality, more high-valued and diverse jobs, and a rise in our region's standard of living. Roseville continues to take a proactive stance on issues that both affect our water supply and protect our community's and our rate payers' interests. While we expect an adequate supply of surface water for the coming year, conservation measures are expected to continue, but at a reduced level.

The ordinance for the water surcharge was adopted in 2009 as well and helps address bond covenants, revenue decline, and cost increases that are impacted from drought conditions. New rate cases will consider these impacts on costs and revenues and also take into account the need for higher economic reserves in support of potential operating risk, system emergencies, and fluctuating revenue streams, ensuring both reliability in supply and consistency in rates.

- o **Connection-Fee Program**—Roseville has a long standing policy that growth pays its way and brings new water supplies to benefit the entire City, not just the proposed development. In addressing the issue of paying for regional water reliability, the City continues to use a combination of developer-paid fees (through connection fees and/or community facility districts) and water-user rates as appropriate means to finance the projects. EU is currently in the planning process to review the next generation of connection fees to address water reliability and recycled water. To accomplish this, EU will be proposing a number of approaches or options internally and externally for meeting current and future needs. EU expects extensive outreach and education efforts as fees in general are sensitive, and connection fees can be difficult to understand.

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Wastewater Utility

- **Recycled Water**—In the upcoming fiscal year, EU will initiate an effort to develop a long-term strategy to transition recycled water from the wastewater utility to the water utility. Since its inception in the 1990s, we have learned that incentivized rates for recycled water are no longer required because demand for recycled water outstrips supply, and recycled water service is now depended upon and planned like other utilities. The strategy will support recycled water service plans that include rehabilitation funding and the development of a construction fund for building major transmission, storage, and pumping infrastructure—much like how the other utilities operate.

Solid Waste Utility

- **Waste-to-Energy Program**—In 2014, the California state legislature passed AB 1826, which requires the diversion of organics from landfills. Initial stages of these regulations will take effect mid-2016, focusing on the largest producers in the City. As a result, EU's solid waste division will collect and handle this waste stream to comply with these regulations. The City is completing the necessary outreach, rate design, and operation processes to implement this program. Coincidentally, the long-planned expansion of the Pleasant Grove Wastewater Treatment Plant is about midway through the design phase and features infrastructure to better deal with biosolids, including anaerobic digesters. It turns out that anaerobic digestion processes can be improved by 30 percent by adding organic food waste and fats, oils and grease, increasing methane gas production which can be converted to electricity (to meet or offset power needs) or compressed natural gas (CNG). As solid waste is in the process of converting its collection truck fleet to renewable CNG to meet AB 32 carbon emission regulations, this is a promising business opportunity. Furthermore, by working with regional partners in the Western Placer Waste Management Authority and the South Placer Wastewater Authority, EU might be able to avoid costly regional infrastructure improvements and benefit customers in south Placer County by leveraging and optimizing this capability. Before investing in these projects, detailed business case studies will be prepared to understand the economics of the program in addition to the traditional design and technical specifications to test these concepts.

Utility Exploration Center

Roseville's utilities will continue the important public engagement they do through the Utility Exploration Center. In FY2016-17, the utilities are initiating the process to design

new interior exhibits and develop the IDEAScape at the Utility Exploration Center. The project is planned for completion in late summer 2018.

COUNCIL GOAL NO. 5: A GREAT DOWNTOWN

For the better part of Roseville's first hundred years, the downtown cityscape remained constant. But for the past 15 years, changes have been profound, and they continue. Guiding development is the Downtown Specific Plan, the result of a five-year community visioning process, adopted in 2009. In 2010, Roseville City Council formally prioritized a great downtown as one of its Council Goals. Based on the specific plan, the City has completed significant projects this past year and more are underway. The next phase of transformation includes construction of a new office building, fire station, parking garage, bridges over Dry Creek, and the extension of the bike trail. Recently completed were the popular Vernon Street Town Square and roundabout at Washington Boulevard and Oak Street. Before that, Riverside Avenue and Historic Old Town debuted streetscapes, façade improvements, and public art.

- **316 Vernon Street Office Building**—The four-story, 83,000-square-foot building will be home to City offices, retail space on the ground floor, and Sierra College, which signed a five-year lease for a floor-and-a-half of the building to bring some of its academy programs to Downtown Roseville. Adding a student mix to downtown's visitors will help support businesses and promote a vibrant atmosphere. Owning the building instead of leasing it reduces the burden on the General Fund, as it is being repaid through tenant lease payments and a low-interest loan repaid by development fees.
- **Oak Street Parking Garage**—With new development and visitors, convenient parking is a need identified in the Specific Plan. A seven-level, approximately 450-stall parking garage will be located along Oak Street and Washington Boulevard, behind the Roseville Theater. The project includes an architectural style consistent with the Civic Center with a variety of exterior pedestrian improvements designed to enhance visual and physical connections between the structure and Downtown Roseville. Funding for the garage comes from redevelopment bond proceeds, public facilities fee, and a low-interest loan that will be repaid by future proceeds from the downtown development-impact fee.
- **Fire Station No. 1**—A new fire station will be constructed at Oak and Lincoln. The structure currently housing the

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downtown fire station, Fire Administrative staff, and the Information Technology Department was formerly the City's jail. Its age and location pose problems for these functions, so eventually the building will be demolished, providing a development opportunity along Dry Creek. IT and Fire Administration will move to 316 Vernon Street once it's finished. Funding for the new station comes from development-impact fees.

- **Pedestrian Bridges and Bike Trails**—As envisioned by the Specific Plan, a future development would activate the creek with a mix of office, retail, restaurant, and residential uses, taking advantage of a natural creek and the adjacent citywide park. Three pedestrian bridges will soon cross Dry Creek to more easily connect downtown with Royer Park and connect the bike trail segment through downtown. Funding for these projects comes from federal, state, and local transportation grants and development-impact fees.
 - o The existing Rube Nelson or “Ice House” Bridge will be lifted from its current location and placed in the Oak Street parking lot to be cleaned and painted. New planking, lighting and fencing will be added, then it will be replaced over Dry Creek at a new angle, landing on the other side of the Veterans Hall. The bike trail ending in Royer Park will be extended across the Ice House bridge and connect to Oak Street.
 - o The Library Replacement Bridge will be constructed near the downtown Library, also connecting to Royer Park.
 - o Extension of the bike trail from downtown to Miner's Ravine is scheduled for 2017, resulting in a continuous six-mile, off-street trail from Sierra College Boulevard to Downtown Roseville, continuing through Royer Park and Saugstad Park to Darling Way.
 - o A third, larger pedestrian bridge crossing Dry Creek is planned in the middle of the other two bridges, after the current fire station is demolished. This bridge promotes two significant concepts of the Specific Plan: creating connectivity between activities on Vernon Street and events in Royer Park and providing additional event space for an active downtown scene. The width of the bridge will allow for vendors along the side during festivals and events.
- **Vernon Street Town Square**—The City has expanded programming in the Vernon Street Town Square to include events in the shoulder seasons, such as sing-a-long movie nights and cultural food events in March and April; and the City continues to bring new, local bands to the downtown. Combined with the robust schedule of about 250 events planned, the City expects to welcome more than 120,000 visitors to the town square in the upcoming year.

- **Downtown and Historic District Housing**—Pending funding from the State, the City anticipates construction to begin on Mercy Housing's 55-unit affordable housing project on Vernon Street and St. Anton Partners' 80-unit affordable housing project on Pacific Street in the Historic District. The City has pledged \$5.3 million to the Mercy project and \$4.7 million to the St. Anton Project. All of the pledged funds are derived from housing funds that are restricted solely for the development of affordable housing.
- **Roseville Community Development Corporation**—In 2010 the City Council took the strategic step of establishing the Roseville Community Development Corporation (RCDC), a private nonprofit, 501c(3), with the purpose of expanding private and public investment in Downtown Roseville. The RCDC—a separate legal entity from the City—fills a critical gap between what the public sector can do and what the private sector is willing to do to revitalize and enhance economic opportunities in the Downtown. The corporation invests in the Downtown by acquiring real estate, rehabilitating acquired properties, and tenancing these properties with tenants that promote the goals of revitalization.

At the March 2016 workshop with the RCDC and City Council, opportunities for future corporation activities were identified. The discussion at the workshop was broad-based and resulted in five distinct outcomes listed below:

- 1) Reaffirm the RCDC's role in economic development with emphasis on property rehabilitation, tenancing, and property management. Economic Development will be furthered by the corporation's administration of Advantage Roseville.
- 2) Develop a tenancing and property-management plan for the 7,000 square feet of retail space in the 316 Vernon Street office building.
- 3) Develop a loan program for small businesses in the Downtown. The loan program will focus on lending which develops fixed assets or improves physical infrastructure of buildings in the downtown.
- 4) The Council and the RCDC Board each acknowledged the value of the workshop and a desire to have an annual workshop.
- 5) Confirmed the intent to continue with a five-member RCDC Board with expertise in retail property, banking, and entrepreneurship. The creation of ad hoc committees were also encouraged.

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For more information about RCDC operations, see www.rosevillecdc.com.

- **Downtown Roseville Partnership**—In 2014, a Property and Business Improvement District (PBID) was voted on and approved by downtown property owners. This district substantially increases the funds available to market, promote, and improve Downtown Roseville. The PBID, known as the Downtown Roseville Partnership, plays a critical role in growing downtown businesses, attracting new businesses, and encouraging additional business development. The City contributes each year in the form of parcel assessments for City-owned property in Downtown Roseville, with a FY2014-15 assessment of \$66,518, subject to an annual increase of up to 3 percent. The Downtown Roseville Partnership has provided year-round illumination of main corridor trees along Vernon Street, installed new street banners and solar-powered BigGulp trash compactors, forged a partnership with Gathering Inn to create a guide/day porter program, contracted for leaf removal from parking bays, partnered with the RCDC and Advantage Roseville to install window clings on vacant storefronts, and created an events calendar and business directory.
- **Enhancing Rail Service between Roseville and Sacramento**—The City continues to work with Capitol Corridor Joint Powers Authority to bring additional rail service to Roseville. Over the next year, the City will be evaluating parking needs and bringing forward a memorandum of understanding to support the future addition of two more roundtrips from Roseville to Sacramento—bringing the total to three roundtrips daily. This will be the first phase of this project with the ultimate goal to establish 10 daily roundtrips. It is anticipated that the first phase will be instituted within the next three to five years.

COUNCIL GOAL NO. 6: INFRASTRUCTURE

Well-maintained city streets, roads, parks, and recreation centers help protect property values and maintain Roseville's quality of life. It is fiscally responsible to maintain our streets and roads and fix problems now, so they don't deteriorate and become more costly to fix in the future. In addition to roads and facilities, the focus on infrastructure also includes utilities infrastructure, workforce infrastructure, and technology infrastructure.

Capital Improvement Project (CIP) Rehabilitation

- **Facility Infrastructure**—After several years of deferring maintenance on City-owned buildings and recreation facilities, the needs are becoming more critical and will be

a priority when new expenses are considered. The City's CIP Rehab Fund should be funded annually at \$5.5 million to meet the demand, but the City can only afford to fund it at \$1 million a year. The City is evaluating strategies to increase revenues to fund this shortfall, and future budgets will need to be mindful of this obligation.

- **Technology Infrastructure**—The City of Roseville has seen a dramatic increase in the use of innovative technology to enhance city operations and services. Business changes continue to merge with digital technology reshaping the way the City operates and interacts. Roseville residents, businesses, and other key stakeholders' expectations for efficiency, transparency, and round-the-clock availability continue to rise.

To meet this growing need for technology, the City must modernize key business functions. With many of our systems reaching end of life, continued upgrades to our infrastructure will be required to support the needs of the City and its customers. The following major technology projects will be an important focus for this next fiscal year.

Examples of citywide business system projects:

- Public safety radio system replacement
- Citywide data center move to 316 Vernon Street
- Financial and human resources information system replacement
- Enterprise asset management (phase 3)
- Content management system replacement for City website

Examples of departmental business system projects:

- Utility billing system replacement
- Advanced metering infrastructure (AMI)
- Parks & Recreation registration software
- Permitting system automation (Phase 2)

In addition to the investment in our technology systems, the City collects, processes, and stores a great deal of confidential information on computers and transmits that data securely across private and public (cloud) networks. Last year, the Information Technology (IT) Department managed over \$208 million dollars in electronic business transactions. These transactions included utility billing and collections, event registration, automated clearing house (ACH), interactive voice response (IVR), Internet banking, and third-party bill processing.

Additionally, the City receives more than 19.8 million emails a year. Of those, only 10.1 million (51 percent) are valid emails—the rest contain malware or are spam or junk mail. To ensure that the City stays protected, the IT Department has layers of protections in place to monitor and defend against these faulty emails.

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To protect the data we manage, the City must maintain a high degree of vigilance to increasing information security threats. The City computing network continues to experience an increase in both volume and sophistication of cyber-attacks, with 36 million threat attempts made to the City network last year. Ongoing attention is required to protect sensitive business and personal information, as well as to safeguard national security. The City will continue to focus on protecting its technology infrastructure and personal identification information to its fullest extent.

Utility Infrastructure

Aging infrastructure is a chronic issue plaguing the United States and most of the developed world. The funding to pay for replacing the infrastructure our country and economy depend upon simply is not there at a national or statewide level. Roseville's utility infrastructure—power plants, treatment plants, power lines, pipelines, pump stations, substations and the like—are valued well into the multi-billions of dollars. While new growth pays for additional utility capacity and service extensions, the ongoing cost of proactive infrastructure maintenance, renewal, and replacement factors heavily into our bi-annual utility rate analyses. The City sets high standards and invests in high-quality materials then uses asset management practices to ensure our infrastructure is efficiently cared for and maintained. Roseville's utilities are among the few that have fully funded their infrastructure-rehabilitation program now and into the future. This means Roseville utility customers will be able to count on our utility infrastructure for many generations to come.

Roadway Infrastructure

- **Maintenance Approach**—Maintaining the integrity of the 456 centerline miles of roadway in the City has a significant effect on quality of life and ease of doing business. The City has a carefully planned strategy for maintaining its roadways, which helps significantly with controlling expenses since cost differences between maintaining roadways and rehabilitating deteriorated roadways are quite stark: While it costs the City 25 cents per square foot to maintain a roadway, the costs increase to \$2 per square foot to repair a deteriorated road.

The City is also piloting the use of roller-compacted concrete, which will be tested at several locations in FY2016-17 where existing asphalt roadways are failing. It offers many benefits, including maintenance every 20-25 years, versus every 7-10 years for asphalt; lower cost, which helps the City close the current gas tax funding gap, while allowing the City to repair more Roseville streets; and cooler roads during the day, and brighter roads at night, due to its lighter color.

- **Funding Challenges**—As stated earlier, funding challenges for roadway infrastructure have caused the City to fall behind on its maintenance schedule for streets. Currently, roadway maintenance is about \$50 million underfunded. Gas tax rates, accrued on a per-gallon basis, were developed without an adjustment for inflation, minimizing their purchasing power with every year that passes. Gas tax revenues have fallen also due to more fuel-efficient vehicles being on the road, reducing the demand for gasoline.
- **Funding Outlook**—The City budgeted \$15 million in FY2015-16 for roadway maintenance, which addresses 34 miles of roadway. For FY2016-17, the City is budgeting another \$7.25 million for roadway resurfacing. Funding levels for FY2017-18 and beyond are expected to return to the normal \$4 million to \$5 million level.

FY2015-16 and FY2016-17 are an anomaly and have unusually high dollar amounts due to a number of factors described below:

- o The arterial resurfacing project is the combination of three years' worth of arterial resurfacing funds all rolled into one project – FY2013-14, FY2014-15, and FY2015-16.
- o In FY2013-14, due to some staffing shortages and regulatory changes regarding handicap ramp installations/upgrades, no resurfacing was completed. That allowed the FY2013-14 funding to roll forward into future years.
- o Staff is combining the FY2014-15 Federal Regional Surface Transportation Program apportionment with a future apportionment being advanced from FY2017-18.
- o This summer's storm-drain work has been planned for several years. Over that time, the City has accumulated over \$2 million dollars in the storm-drain account for repair and upgrades.
- o The City obtained a Federal Congestion Mitigation and Air Quality (CMAQ) grant to help fund handicap-ramp improvements.
- o The Gas Tax fund received a large repayment from a Redevelopment Agency loan.
- o Some resurfacing money that was being held in reserve pending the outcome of a State Gas Tax audit was released for resurfacing after the audit's successful completion.

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- o The Alternative Transportation Division was able to allocate \$5.4 million for street maintenance purposes via current and past Transportation Development Act funding years.

Examples of roadway infrastructure projects:

- Two aging bridges will be replaced in FY2016-17. Both the Oakridge Bridge over Linda Creek and the Industrial Bridge of Pleasant Grove Creek were deemed insufficient and in need of replacement. The majority of the funding to replace these bridges was provided by the federal government.
- The federal government is also providing part of the funding to straighten the "S" curve on Roseville Road to the south of Cirby Way. This "S" curve has seen a large number of accidents and this realignment will result in a safer roadway.

Workforce infrastructure

- **Staff Expansion**—Growth in Roseville's economy, development, and population means an expansion of services. Total authorized regular full-time equivalent positions in the annual city budget for FY2016-17 is 1,146. Since the City can't afford to provide all the services it would like to or at the levels desired, we must remain strategic on expansion of core services and add staff strategically. To this end, in FY2016-17, eight positions are being added to the General Fund, including a police officer and code-enforcement officer, and other support services positions. Of the eight General Fund positions recommended, four had little or neutral impact to the bottom line as staff reduced other expenses to offset the increased salary cost. The General Fund also had four positions that transferred to other funds, such as storm water and a reduction of 1.5 positions that were reorganizations/reclassifications making the net new position number for General Fund 2.5. The budget also recommends four new positions in the utilities. Citywide, there is a net of 9.7 new positions when all reclassifications and transfers are taken into account.
- **Succession Planning**—In 2013, approximately 40 percent of the workforce was eligible to retire, and since then the City has seen 83 retirements. As a result, the City currently has 31 percent of its workforce eligible to retire over the next three years. In response, the City has focused on succession planning to ensure continuity of service delivery and streamlining its recruitment process to be more flexible and responsive. The recruitment process has been evolving to leverage new channels of recruiting

through outreach and social media in order to reach a multi-generational workforce.

- **Creating a Welcoming, Engaged Culture**—As our city grows, our organizational culture is also evolving. We must adapt and change to provide the services and meet the changing demands that this growth brings. Three cross-departmental committees are coordinating their work to address aspects of our internal culture. In 2014, the City created an Organizational Culture & Leadership (OC&L) Committee with representatives from all departments to lead an assessment of the City's organizational culture. Three focus areas emerged from surveys of employees: internal communications, valuing employees, and developing meaningful processes and policies. The OC&L Committee is working on customer-service and core-competencies initiatives in FY2016-17. In addition, both the OC&L research as well as the Public Affairs & Communications research identified internal communications as a top priority. With additional funding in FY2015-16, the Communications Team launched an award-winning internal communications effort, including a website called "The Hub" and a monthly printed newsletter to reach field staff who don't have regular access to computers. And to support the changing culture and promote a respectful, inclusive work environment, the City's Inclusion Committee coordinated Inclusion and Diversity training for all supervisors and managers in FY2015-16; and in FY2016-17, the City will continue the training for the rest of the workforce.

COUNCIL GOAL NO. 7: LEGISLATIVE ADVOCACY

Increasing costs from regulation and new legislation affect the fiscal health of the City and reduce the level of funds available for other community priorities. In just a few years, the City's visibility and influence within the region and on the state and federal level have increased, opening the door to greater engagement and dialogue with federal and State decision-makers on issues affecting the City's fiscal health. One of the highest priority efforts in FY2016-17 will continue to be the reliability of Roseville's water supply. To ensure Roseville's water reliability, the focus remains on regulatory change and water infrastructure investment.

The City Council has set a legislative platform that focuses on preserving local control, providing financial flexibility, preventing unfunded mandates, and protecting the General Fund, Enterprise Fund and local sales tax and property tax revenues. Key issues in FY2016-17 include ensuring the

City Manager's Budget Message

tax-exempt status of municipal bonds remains in effect, monitoring new state-mandated requirements associated with the City's storm water discharge permit requirements, and ensuring permitting processes at the state and federal level will not unnecessarily hinder the ability of development projects to gain approval.

To increase effectiveness of the City's efforts in these areas and others, the City works extensively with regional coalitions, forums, alliances, and established organizations such as Sacramento Area Council of Governments, the SacMetro Chamber of Commerce, the California Municipal Utilities Association and the Water Forum, along with ad hoc groups developed to address concerns with specific legislation. The City Council's only standing committee, the Law & Regulation Committee, offers another way people can be informed about issues affecting the Roseville community from a state and federal level.

Key issues the City is working on this year include the following:

- **Cal Water Fix (Formerly known as the Bay Delta Conservation Plan)**—Cal Water Fix would have significant implications to the City's water supply and could interfere with future residential and commercial development undermining the City's ability to move forward with future land use decisions.
- **Water Public Goods Charge**—The City has increased concerns regarding the legislature's interest to impose a Water Public Goods Charge (SB 20) that would collect revenue from local ratepayers and monies collected would be allocated for statewide projects that have no direct benefit to Roseville's ratepayers.
- **One-size-fits-all approach to State's Emergency Drought Regulations**—Though the state experienced a wetter winter in 2015-16, the fair application of conservation targets remains an important issue. The City advocates that state-mandated conservation targets should consider climate, land use, and other region-specific attributes; recognize previous water supply reliability and conservation investments by the region and local community; and account for potential relief from positive weather outcomes.
- **Cost-Effective Utilities**—The ability to provide reliable and cost effective utilities to the residents and businesses of Roseville continues to be a central concern for the City as new state and federal mandates are proposed that would increase the cost of operating the utilities.
- **Federal and State Funding for Infrastructure and Transportation Projects**—Maintaining or increasing funding for these types of projects and improvements is critical for the City's ability to upgrade and maintain roadways and meet future infrastructure and transportation demands.
- **Cybersecurity**—Future state and federal laws regarding cybersecurity will continue to have implications for the City and the community. Costs for implementing new cybersecurity requirements will add significant costs to the operation of the City's information technology systems.
- **Changes to Tax-Exempt Status of Municipal Tax Bonds**—The City has significant concerns regarding the effects of proposals to alter the tax treatment of municipal bonds, including proposals to cap the exclusion for such interest, will have on local governments. The impacts of such a change would have a damaging impact to Roseville's budget, its utility customers, and the community at large.
- **Modifications to Government-Operated Mortgage Programs**—The City will remain active in understanding the changes being considered at the federal level to modify government-operated mortgage programs, tax-deductions and to write new banking regulations regarding mortgage-related lending programs. These all have the potential to impact the fragile housing recovery throughout the region and the state.
- **Challenges with State and Federal Permitting Processes**—The City has concerns with various permitting processes at both the state and federal level that impact the ability of development projects to gain approval within a reasonable amount of time.
- **Changing Economy**—The City has a focused interest in being actively engaged in discussions regarding local tax systems, leakage from internet sales, and the fundamental shift from purchasing taxable commodities to purchasing non-taxable services and the impacts these have on the City's ability to fund basic services.
- **Homelessness**—The City will remain active in addressing the needs of the City's homeless population with a primary focus of reducing the population of chronically homeless by providing solutions that address the fundamental causes of homelessness and by supporting solutions that provide permanent housing.

City Manager's Budget Message

COUNCIL GOAL NO. 8: CIVIC ENGAGEMENT

Strong communities spring from robust civic engagement. To build a strong community, it's important to encourage dialogue from an array of interests. For many years, the City has worked with its neighborhood associations to encourage an open dialogue that has fueled innovation and engagement. The Public Affairs & Communications Department attends monthly meetings of both the Roseville Coalition of Neighborhood Associations and the Sun City Government Affairs Committee; and the Police Department has an officer at each of the two dozen or so monthly neighborhood association meetings; and Development Services Department provides notification, overview, and presentations on new development projects as they are proposed. Development Services also provides information on ordinance updates and code-enforcement processes and procedures on a regular basis.

City representatives work with the Roseville Chamber of Commerce and various civic groups, industry associations, and public-interest forums to raise awareness of community issues and broaden the discussion on solutions. At the same time, City Council members host town hall-style meetings called "Council Coffees," where they engage in informal discussion with community members on topics of interest, ensuring another opportunity for the community to join the conversation.

The effort continues from there into the digital realm. The City's online e-notify service offers free e-mail subscriptions on a range of topics from traffic alerts and public safety to policy items. And the City is actively engaged in a variety of social media channels, which also offer the opportunity for two-way interaction.

Launched in FY2014-15, the Open Data Portal offers a single website location where City data can be accessed. Initial data sets include often-requested items such as permits and crime statistics. As we expand this base to include more data such as stale-dated checks, this will provide round-the-clock access to City data and reduce the amount of time spent filling regular requests for public records.

Looking Ahead

This budget reflects Roseville's values and priorities, which help determine where we invest our resources. It's the compass by which we navigate the City's fiscal path forward, addressing our liability and allocating resources to ensure long-term economic sustainability. An important part of the City's fiscal success has been its unwavering commitment to proactively managing expenses and ensuring it is well-positioned to address

challenges. Roseville is expanding the high levels of service we provide, working on key initiatives, and implementing policies to weather the next economic cycle. Yet we also will need to focus on our commitment to maintaining police and emergency services along with streets, roads, and facility infrastructure. Roseville will continue to look for opportunities to retain, attract, and help expand business in order to create jobs and a vibrant local economy.



Rob Jensen, City Manager



Boards & Commissions



City Council

(left to right)

Pauline Roccucci, Councilmember
Bonnie Gore, Councilmember
Tim Herman, Councilmember
Susan Rohan, Vice Mayor
Carol Garcia, Mayor

Design Committee

Justin Caporusso
Julie Hirota
Mike Motroni
Daniel Wesp

Hearing Examiners/ Appeals Board

Mark Elmquist
Leilani Fratis
Bruce Hagler
Dan Myers
Scott Sanford
Brian Stenklyft
Richard Sweet
Sharon Telles
Sean Wallentine

Placer Mosquito & Vector Control District

Colin Roe

Library Board

Suzanne Dizon
Janice Hanson
Aldo Pineschi
Andrew Tagg
Patricia Wick
(Youth Commissioner)
Kaylee Denio

Parks & Recreation Commission

Nick Alexander
Scott Alvord
Paul Frank
Audrey Huisking
Doyle Radford, Jr.
Andrae Randolph
Roy Stearns
(Youth Commissioner)
Logan Cole

Personnel Board

Karen Alvord
Richard Duran
Scott Olsen
Truda Pauly
Charles Sandoval

Planning Commission

Krista Bernasconi
Justin Caporusso
Julie Hirota
Bruce Houdescheldt
Charles Krafka
David Larson
Joseph McCaslin

Public Utilities Commission

Blandon Granger
Gretchen Hildebrand
James McHan
Kerry Schmitz
John Speight
John Vertido
James Viele

Roseville Grants Advisory Commission

Tina Angell
Stephanie Dement
Audra Flynn
Dawn Heywood
Grace Keller
Eileen Speaker
Jefferson Willoughby
(Youth Commissioner)
WaFeeq Ridhuan

Senior Commission

Penny Beingessner
Linda Braak
Marlene Cristanelli
Gopal Kapur
Barbara Knapp
Ann Walker
Jim Williams

Transportation Committee

Richard DeMarchi
Joseph Horton
Chinnaian Jawahar
Tracy Mendonsa
David Nelson
Ryan Schrader
Jeff Short
(Youth Commissioner)
Emily Nunez

Economic Development Advisory Committee

Naaz Alikhan
Eric Avery
James Cuppet
Stephanie Dement
Tim Herman
Christopher Onstott
Colin Roe

Awards & Achievements

Awards & Achievements

- The Building Division has achieved compliance with the **International Accreditation Services (IAS)** criteria for Building Departments/Code Enforcement Agencies. IAS awarded reaccreditation recognizing the City's efforts to provide a high level of service in the regulatory code enforcement, building inspection and plan check processes. Upon receipt of this recognition, Roseville's Building Division is the only accredited building division in the State of California and one of only 22 accredited building departments in the Country.
- The City of Roseville, Public Works Engineering Division received a **2015 Transportation Project of the Year Award** from the American Public Works Association (APWA) for the Oak Street Roundabout Project. This award recognizes excellence in the management and administration of public works projects based upon teamwork between the managing agency, the consultant/architect/engineer, and the contractor.
- The City of Roseville, Public Works Engineering Division received the **2015 Project of the Year Award from the Western Regional Association for Pavement Preservation** for work performed to the highest standards of the industry during the 2015 Residential Street Resurfacing Project in partnership with Telfer Pavement Technologies. The award highlights and recognized those pavement preservation projects that exemplify quality, safety, and customer satisfaction.
- The City of Roseville, Public Works Engineering Division received the **Federal Emergency Management Agency's (FEMA) Community Rating System (CRS) highest rating of Class #1**. Roseville is the first and only community in the nation to receive the award. This rating allows Roseville property owners up to a 45 percent discount on their flood insurance premiums. This is 10th year that Roseville has received this award.
- **2015 Project of the Year Award** for Aquifer Storage and Recovery Wells at Hayden Parkway and Blue Oaks in the Environmental (Water) category. The APWA Sacramento Chapter Awards Program recognizes public works projects that demonstrate excellence in management, administration, design, construction and community relations, as well as the alliance among the managing agency and the consultant/engineer/contractor who worked together to complete the project.
- The Information Technology Department received the **2015 Award for Achievement**. Municipal Information Systems Association of California (MISAC) presents this award to recognize Achievement in Information Technology practices that have exceeded local government standards.
- City of Roseville was named within the top 10 digital cities of 2015. The **2015 Digital Cities Survey Award** published by the Center for Digital Government (CDG) is an award recognizing the most technology-progressive, innovative, and smarter cities in the United States categorized by population size. The City of Roseville has been consistently recognized as one of the top digital cities over the past six years.
- Northwest Public Power Association awarded Roseville Electric Utility the **2015 Excellence in Communication**, first place award for photography, and first place in the wildcard division for Count Watts.
- The American Public Power Association awarded Roseville Electric Utility the **first place award** for the utility's **2014 Annual Report**.
- **2015 James Farrell Award of Excellence** - The James Farrell Award is presented to cities and communities that host some of the highest rated softball tournaments in the Amateur Softball Association (ASA) program. To attain the James Farrell Award of Excellence, cities must do an outstanding job of hosting ASA National Championship Tournaments. This is the ninth consecutive James Farrell award for the City of Roseville.
- The National Arbor Day Foundation recognized the City of Roseville for its commitment to sustainable urban forest management with the **33rd Tree City USA Award** and for its high level of tree care with the 20th Tree Growth Award.
- The **2015 Playful City USA** program recognizes and honors cities and towns that are taking action to ensure that children in their communities, particularly for low-income children, get the active play they need to become healthy and successful adults. Participating cities promote the importance of play and engage citizens in increasing access to and opportunities for play.
- Fleet Services received the Automotive Service Excellence's (ASE) **"Blue Seal of Excellence"** award for the 11th consecutive year in 2015.
- **2015 CALED Merit Award** for the Roseville Community Development Corporation for Economic Development Partnerships for Advantage Roseville.
- Government Finance Officers Association (GFOA) awarded the **Certificate of Achievement for Excellence in Financial Reporting** for the June 30, 2014 CAFR (Comprehensive Annual Financial Report).
- The Finance Department received the **Operating Budgeting Excellence** award from California Society of Municipal Finance Officers for the fiscal years 2015-16 budget.
- **2015 Public Relations Society of America (PRSA) Influence Award** - On October 17, Roseville received the PRSA, California Capital Chapter Influence Award in the Crisis Communication and Issues Management category for the City's Drought Management Team's communications strategy and Internal Communications Campaigns category for the work done on the internal news site, the Hub.
- In April 2015, Roseville's Environmental Utilities won the **California Municipal Utilities Association (CMUA) Resource Efficiency Award** for its water-use efficiency program in partnership with WaterSmart Software. Roseville WaterSmart Program delivers Home Water Reports (HWRs) to residential customers that include individualized recommendations to reduce their water use.



At the state level, the City's Adopted FY2015-16 Annual Budget document received the "Operating Budget Excellence Award 2015-16" from the California Society of Municipal Finance Officers (CSMFO). The CSMFO budget award process includes peer reviews of city budgets. Peer review methods are employed to maintain standards, improve performance and provide credibility.

In preparing the Adopted FY2016-17 Annual Budget document, staff has once again followed the CSMFO criteria, as well as made enhancements to the budget document. This document will be submitted to the CSMFO to be considered for the FY2016-17 budget award.

City of Roseville



Roseville

Incorporation

April 10, 1909

Government

Roseville is a charter city, operating under the council-manager form of municipal government.

Location

405 miles north of Los Angeles, 102 miles northeast of San Francisco, and 16 miles north of Sacramento, the state capital.

County

Roseville is Placer County's largest city.

Area

Roseville is 43 square miles.

Elevation

Roseville sits 165 feet above sea level.

Administrative Staff

City Manager

Rob Jensen

City Attorney

Bob Schmitt

Assistant City Manager

Dominick Casey

City Treasurer/Financial Executive

Jay Panzica

Central Services Director

Paul Diefenbach

Chief Information Officer

Hong Sae

City Clerk

Sonia A. Orozco

Development Services Director

Kevin Payne

Economic Development Director

Chris Robles

Electric Utility Director

Michelle Bertolino

Environmental Utilities Director

Richard Plecker

Finance Director

Monty Hanks

Fire Chief

Rick Bartee

Human Resources Director

Gayle Satchwell

Parks, Recreation & Libraries Director

Dion Louthan

Police Chief

Daniel Hahn

Public Affairs & Communications Director

Megan MacPherson

Public Works Director/City Engineer

Rhon Herndon

Population

As of January 1, 2016, the State Department of Finance estimated Roseville's population to be 134,073. This represents an increase of over 2,640 new residents from the previous year (Dept. of Finance statistics).

Education

The Roseville community has clearly established education as a high priority. Over 95 percent of Roseville residents, over age 25, have a high school diploma, with approximately 39.1 percent obtaining a bachelor's degree or higher (US Census Bureau). Roseville high schools have an average drop-out rate below that of the national average of 8 percent and considerably lower than the state average of 18 percent. All three schools also have SAT averages above the national average of 1,568 and the state average of 1,487.

Household Income

The median household income in Roseville is \$80,658 compared to the state median of \$61,489 (www.civicsdashboards.com).

Persons Per Household

The average Roseville home has 2.7 people, which is slightly more than the county average of 2.67 persons per household (2014-15 US Census Bureau).

Annual Growth Rate

Roseville has an annual growth rate of 4.9 percent which is higher than the state average of 3.22 percent (4/2010-7/2013 US Census Bureau).

Future Population

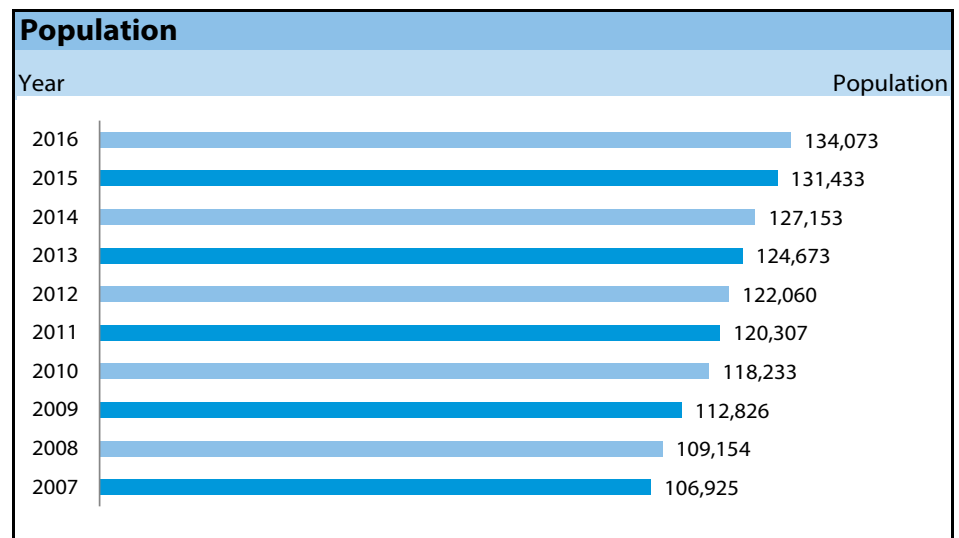
Roseville's current growth rate is much higher than the current California rate of 0.7 percent or the national rate of 0.96 percent. Placer County continues to be recognized by the Department of Finance as one of the State's fastest growing counties. Current estimates indicate the population of Roseville will surpass 144,029 around 2020 (EMSI 2015.4).

Historical Population	
Year	Residents
1910	2,608
1960	13,421
1970	18,221
1980	24,347
1990	44,685
2000	79,921
2005	102,191
2010	118,233
2015	131,433

Source: Development Services Department

Projected Population	
Year	Residents
2020	137,719
2025	143,377
2030	150,839
2035	157,889
2040	160,534

Source: Development Services Department



Source: California Department of Finance

The average persons per household in Roseville is 2.7.

Source: California Department of Finance



Demographics

Residential Development

Building Permits

The City's Building Division issued 821 residential (all single family 821) building permits for FY2014-15. The number of building permits issued is more than the previous year's total of 681. Residential building remains well below the peak of over 2,800 permits issued in 2001 (City of Roseville, Building Division).

Occupancy Permits

In FY2014-15, the Building Division issued 637 occupancy permits all for single-family dwellings (City of Roseville, Building Division).

Total Housing Units

There are currently a total of 50,777 completed housing units in Roseville. Single-family units make up 76 percent of the total housing units, while apartments make up 24 percent (City of Roseville, Planning Division).

Single-Family Residential Forecast

As of January 2016, there was an inventory of 5,960 approved single-family lots awaiting construction.

The lots are in the Stoneridge, North Roseville, Sierra Vista, and West Roseville specific plan areas (City of Roseville, Planning Division).

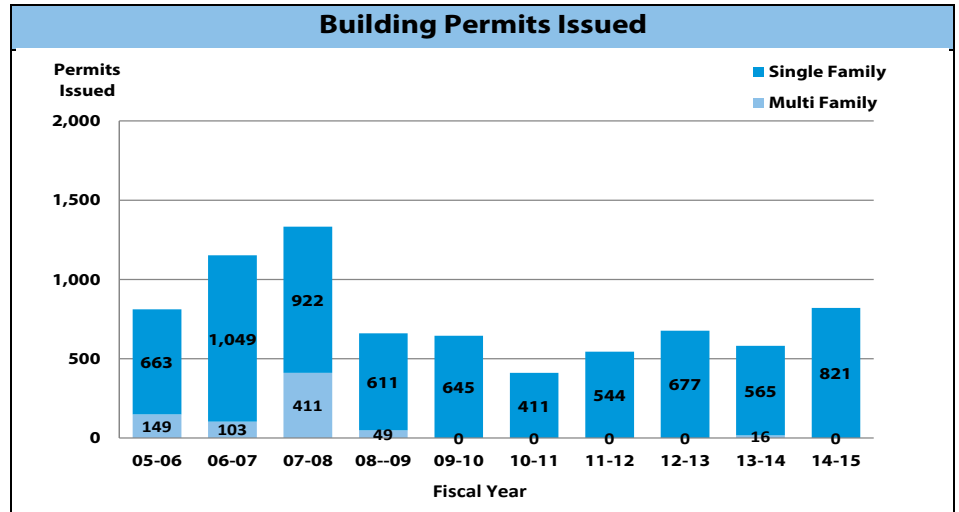
Industrial Development

Developed Industrial Space

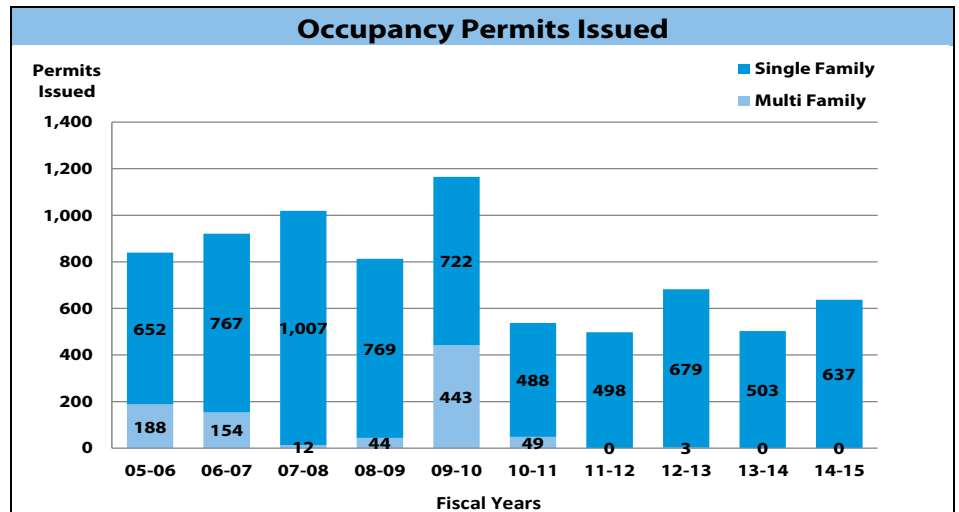
As of June 30, 2016, Roseville had a total of 10,241,492 square feet of developed industrial and warehouse space. The majority of industrial space is located in the North Industrial and Infill areas.

Industrial Activity

Construction of industrial development has slowed over the past few years, but existing space continues to lease at a steady pace, filled by both new and expanding businesses.



Source: City of Roseville, Building Division



Source: City of Roseville, Building Division

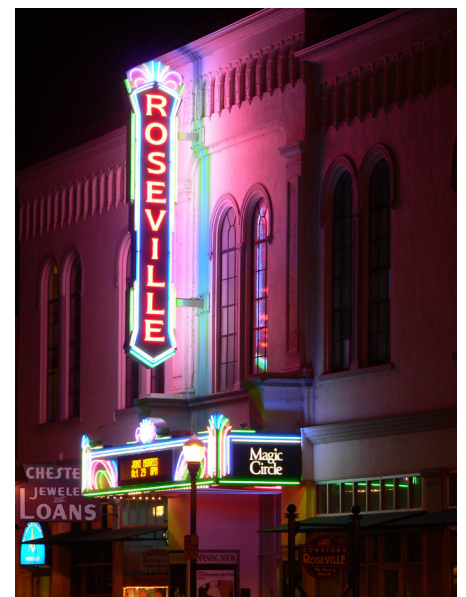
Commercial Development

Cost of Doing Business

The Kosmont Rose Institute identified Roseville as one of the twenty least expensive California cities in which to do business for 2015. Roseville has been on this list for 10 consecutive years. The study analyzes fees, taxes, and business incentives to determine its rankings.

Active Business Licenses

The City of Roseville had a total of 10,772 active business licenses as of April 1, 2016. This is an increase of 759 over the year prior. This number represents all businesses with an active business license in Roseville.



Employment

Jobs and Employed Residents

The U.S. Department of Labor and California Employment Development Department estimate Roseville to have 76,925 jobs and a resident labor force of approximately 56,400. Labor force has increased 19.2 percent since 2010 and is projected to increase 12.7 percent from 2015 to 2020.

The Sacramento Region Business Forecast projects positive annual average job growth for seven of the Sacramento Region's 11 major sectors over the next 12 months. The most notable turnarounds are anticipated in the construction, financial activities, and leisure & hospitality sectors. Positive job growth further illustrates a recovery period in the business cycle, the level of employment remains roughly 79,000 jobs below the June 2007 economic peak.

Unemployment Rate

California's 5.7 percent unemployment rate remains higher than the national average of 5.0 percent. However, the unemployment rate continues to trend downward. Placer County and Roseville unemployment is at 4.7 percent and 4.5 percent, respectively as of March 2016. Professional and business services have led the year-over expansion in employment growth (www.civcdashboards.com).

Roseville Businesses

Roseville hosts over 10,772 businesses. The largest industry sector is service-oriented at 45 percent. Retail businesses are second at 12.4 percent. Rounding out the top business sectors are finance, insurance and real estate (11.1 percent) and construction related businesses (8.3 percent) (ESRI Business Analyst Online).

Top Employers

Roseville's top ten businesses, which account for over 15,813 jobs, include two top-tier health care providers, a high-tech company, retail, and the railroad industries. These businesses help solidify Roseville's status as a leader in regional employment (ESRI Business Analyst Online).



Top Ten Largest Employers

The Permanente Medical Group & Foundation Group	4,988
Hewlett Packard	2,300
Sutter Roseville Medical Group	2,100
Union Pacific Railroad Company	1,150
Roseville City School District	1,034
Roseville Joint Union High School District	1,090
City of Roseville	1,072
PRIDE Industries	838
Adventist Health	801
Consolidated Communications	440
Total	15,813

*job numbers include full-time and part-time positions

Source: Economic Development Department



Budget Document Overview

Section 7.02 of the Roseville City Charter mandates and sets forth the legal requirements for the preparation and adoption of the City budget. The Finance Department - Budget Division, prepares an annual Budget Manual providing detailed instructions and assistance to each department with respect to the preparation of their particular department budgets for materials, supplies, services, and capital. The departments submit their budgets in budget item detail format. This is designed to provide for a comprehensive management control and fiscal planning system. This format is aimed at achieving goals and objectives at the operational levels that are consistent with the City Council's policies.

The General Fund budgeting process starts with an in-depth, line-by-line analysis of all operational expenses completed by the Budget Division. This review includes a three-year historical review as well as the development of a forecast for future spending requirements. Finance then works cooperatively with the Department Heads and their teams to review and discuss their findings. The forecast allots all General Fund departments with a target budget for materials and supplies based on prior years' spending history and the removal of "what-ifs" and one-time expenditures. The "what-ifs" are budgeted in a line called "General Fund Contingency". The purpose of the General Fund Contingency is to accomplish the following:

- Funding resource for new, departmental appropriation(s) not identified during budget preparation
- Improved budget adjustment process and efficiencies that will allow the City Manager to reallocate funds from the Contingency to the respective General Fund department

- Defines and controls the amount of funding without tapping into unrestricted fund balance

If a department can't fit items into their budget, these requests are brought to the Executive Team (made up of the City Manager, Assistant City Manager, the City Treasurer/Financial Executive, and the Finance Director) for discussion during budget review meetings. Any new requests approved are included in the Proposed Budget.

The next step is building a salary vacancy factor of 4 percent into the budget and reserving these funds until year end budget review. At year end, if a department hasn't had enough vacancies to cover the 4 percent budgeted vacancy factor, funds will be returned to their Salaries, Wages and Benefits budget. If vacancies existed throughout the year, the funds could be reallocated to other city priorities or flow to unrestricted fund balance.

For the Enterprise Funds, budgets are developed based on their rate cases. Contingency funds and/or salary vacancy factors are not factored into their budgets. Requests for changes in appropriations are made at the departmental level and are initially compiled and reviewed by the Budget Division. The Executive Team, under the director of the City Manager, then reviews all of the requests and ensures that all budget decisions are in full accordance with stated City Council policies and all applicable federal, state, and local laws and regulations.

Budget Process

Preparation of the Budget Document

Altogether, budget preparation takes approximately five months. The Budget Division meets with departmental representatives as part of the budget preparation process. Departments begin preparing their budget requests/revenue estimates in January. From January through March, the Budget Division and Executive Team carefully review, evaluate, and prioritize each department's budget submissions for existing, new, and additional services; positions; capital outlay; and capital improvement projects. The overall picture of estimated revenues and proposed appropriations is also carefully studied. During the review, the Executive Team, remains mindful of public safety and legal requirements, adhering to the City Council's financial policies, as well as providing the most efficient, effective, and economical service levels possible. The Executive Team makes a final review of departmental budget requests. As soon as the final details are worked out and approved by the Executive Team, a proposed Budget document is printed. The City Manager then presents a proposed Budget

to the City Council and the public for review during the month of June. (Section 7.05 of the Charter requires that, "... on or before June 30, [City Council] shall adopt the budget with revisions, if any, by the affirmative votes of at least three (3) council members".) Typically the budget is adopted at the Council's second meeting in June.



Budget Document Overview



Detailed Budget Process

1. **Budget Workshop** – City Council conducts a budget goals and objectives workshop in August or September. The workshop provides an opportunity for departments to report on current year accomplishments and fiscal year goals. Council provides budget policy direction to city staff at this time.
2. **Mid Year Review** – Work begins in November of each year on the mid year review, a report of all projected City revenue; salary, wage, and benefits; and other operating expenses during the current fiscal year. Budgetary outcomes are estimated and important financial issues facing the City are highlighted. This enables the City to establish funding guidelines for the upcoming fiscal years. The Mid Year Performance Report is published and distributed to the City Council, staff, and the general public for consideration during the month of February each year.
3. **Budget Packages** – Budget staff distributes budget packages in January to each department for the upcoming budget year. Packages include data and information to assist departments in preparing their budget requests. Included are current and historical financial reports of operating expenses, capital improvement projects (CIPs), program performance budgets, a budget calendar, and instructions for preparing budget requests. The Budget staff also holds several training classes at this time to assist staff with data input and budget processes.
4. **Internal Budget Reports** – Departments submit preliminary budget requests, personnel requests, operational performance budgets, and CIPs to the Finance Department in February. Requests are input into the City's online budget system (IFAS) and distributed to department heads and managers for review.
5. **Revenue Projections** – During February and March major sources of revenue such as sales tax and property tax are projected. Historical and year-to-date revenues are analyzed along with major economic trends and new or proposed legislation. Building and development related revenues are calculated by estimating proposed new development and compliance with city policies and City Manager recommendations. Indirect charges are calculated via a separate consultant study.
6. **Proposed Budget** – Recommendations and revisions from departmental review sessions are incorporated in the proposed budget. This budget is submitted to City Council no later than the first meeting in June.
7. **Council Review/Public Hearings** – During the month of June, City Council reviews the proposed budget and conducts public hearings. Public requests and concerns are addressed during this time. Any Council recommendations or changes are incorporated into the final budget document.
8. **Council Adoption** – City Council adopts the Annual Budget by the second Council meeting in June.

Budget Document Overview

Changing the Budget

Budget Implementation and Budget Transfers

Once the budget is adopted by the City Council, the responsibility of implementing each department's budget lies with each department head, with ultimate responsibility resting with the City Manager. Department heads are expected to operate their departments within the appropriations established in the budget. Budget amendment requests are considered where unforeseen events have occurred. Budget amendments that require the use of unrestricted fund balances must be approved by the City Council or those delegated by the City Council to approve amendments. (Further details with respect to budget transfers and amendments can be found in the City Charter Sec 7.06.) Amendments that request movement of City Council approved appropriations within the same fund or that request use of the General Fund Contingency can be approved by the City Manager.

Reasons for initiating a budget amendment may include:

- Recognizing unanticipated revenue, which was not projected in the budget, and appropriating associated expenditures in the year in which the revenue is received
- Appropriating additional funds from reserves
- Transferring dollars from the operating budget to the capital budget or vice versa
- Transferring between funds, departments, or projects
- Use of General Fund Contingency for new appropriations not identified during budget preparation

Understanding the Document Layout

As indicated in the Table of Contents, the City of Roseville's Budget document consists of the following sections:

Introduction

The introduction outlines the key contents of the budget. The main components of this section include The City Manager's Message and City Demographics. The fiscal health of the City as a whole is discussed as well as detailed information about growth and the economy. It also contains the Budget Document Overview, Boards and Commissions, Awards and Achievements, and the ordinance adopting the budget.

Budget Summary

The Budget Summary section provides a summary of revenues and expenditures/expenses and various other financial matters including the Gann Appropriations Limit Calculation.

Funds

The Funds section of the document provides an overview of each fund's estimated revenue, appropriations, and projected available revenues. Local government budgets are made up of funds that help to organize and account for various resources. Enterprise Funds are set up as self-supporting units similar to those in a business. They account for the operation and maintenance of facilities and services that are entirely paid for by rates charged to customers or, in the case of internal service funds, to the City's departments. It is important to note that the City's revenue estimates make assumptions based on actual experience and current knowledge of impending circumstances.

Often there is uncertainty as to actual revenues projected up to 15 months in advance. Overall, the revenue estimates assume moderate and stable growth. Appropriations, in turn, are based on these projected revenues. The funds presented in this section include the General Fund, Enterprise Funds, Special Revenue Funds, Capital Projects Funds, Permanent Funds, Special District Funds, Trust Funds, Internal Service Funds, and Insurance Funds. (Fund type definitions can be found in the Glossary.) Reflected in this section are actual revenues and expenditures for FY2014-15, the FY2015-16 Amended Budget, and the FY2016-17 Budget. Also included in this section are overviews of each of the fund types including sources of revenue and major expenditures.

Department Operating Budgets

Included in the Department Operational Budgets section of the budget are organizational charts, departmental narratives, and program performance budgets for each city department. This format allows the reader to review a budget at a programmatic level by department. This format is different than what appears in the fund summaries where only operational expenditures are presented. Specific information related to the number of employee positions within a particular unit, or division, can be found here as well.

Performance measures listed in this section are used by the City to assess how efficiently and effectively programs and activities are provided and, determine whether organizational goals are being met. Performance measures are grouped into these categories:

- Work volume measures that indicate the level of work to be performed with the resources requested (Example: tons of solid waste collected).
- Efficiency and effectiveness measures that provide information on the level of productivity, and that show how effectively or how well objectives are met (Example: man-hours per ton of solid waste collected and number of customer complaints).

Budget Document Overview

This section is preceded by an Organizational Budget that summarizes all operating departments within the City. The operating departments include: City Council, City Manager, City Attorney, Finance, Human Resources, Information Technology, City Clerk, Central Services, Police, Fire, Parks Recreation & Libraries, Economic Development, Development Services, Public Works, Environmental Utilities, Electric, Service Districts, Community Facilities Districts, and Grants.

Capital Improvement Projects

The Capital Improvement Projects (CIP) section of the budget document provides readers with cost estimates, funding sources, and recommended project schedules for the City of Roseville's CIPs for the next five years. Accordingly, the documents provide descriptions, justifications, status, costs, classifications, and any other relevant facts for each project.

CIPs are composed of expenditures related to the acquisition, expansion, or rehabilitation of an element of the City's infrastructure (physical plant such as streets, water, sewer, public buildings, and parks). Projects are reviewed by the presenting department with input from the Executive Team. The ranking of projects may be rearranged according to the input received and funding availability. Enterprise Fund CIPs are proposed by the department that manages the enterprise fund. Often the prioritization of these projects is dictated by the department's operational needs, strategic plan, or specific Council direction. Reflected in this section are the sum of the project's prior year's expenditures and funding sources, the upcoming fiscal year's budgeted appropriations and funding sources, and future year's expected appropriations and funding sources.

Appendices

The Appendices Section of the Budget document contains the following information: 1) Debt Management, 2) Revenue Estimation Methods, 3) Glossary of Budget Terms, 4) Glossary of Commonly Used Acronyms, 5) Index. The Glossary of Budget Terms is provided to familiarize the reader with some of the budgetary, financial, and department-specific vocabulary found throughout the budget document. The Glossary also contains descriptions of all the fund types. The Index provides readers with a quick and easy-to-use reference to a particular area of interest. The Index references every City department, division/program, section, special activity, and notable item of interest.

Understanding The Details

Financial Summaries

Reflected in this document are actual revenues and expenditures for FY2014-15, the FY2015-16 Amended Budget, and the FY2016-17 Budget.

Revenues: Total revenues are displayed for each fund. Revenues include items such as fees collected for cost-recovery of specific services to the public, as well as revenues received from other funds.

Expenditures: Expenditures are displayed at the fund summary as well as the operating budget level. For example, within the General Fund summary, the Public Works Department budget as a whole is shown. Within the Public Works Operating Budget, expenditures for the Building Division and other Public Works divisions are shown.

Basis of Accounting and Budgeting: The City's accounting records are organized and operated on a "fund" basis, which is the basic fiscal and accounting entity in governmental accounting. In addition, the City's accounts are maintained in full accordance with Generally Accepted Accounting Principles (GAAP), as established by the Governmental Accounting Standards Board (GASB). The City accounts for all governmental funds using the modified accrual basis of accounting, which means revenues are recognized when measurable and available. The City considers all revenues reported in the governmental funds to be available if the revenues are collected within sixty days after year-end. Proprietary and fiduciary funds are reported using the full accrual basis of accounting, which means revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the cash is disbursed. In preparing the budget, the same methods apply.

In developing and evaluating the City's accounting system, consideration is given to the adequacy of internal accounting controls. Internal accounting controls are designed to provide reasonable, but not absolute, assurances regarding (1) the safeguarding of assets against loss from unauthorized use or disposition and (2) the reliability of financial records for preparing financial statements and maintaining accountability for assets. The concept of reasonable assurance recognizes that (1) the cost of a control should not exceed the benefits likely to be derived and (2) the evaluation of costs and benefits requires estimates and judgments by management. All internal control evaluations occur within the above framework. Finance Department staff remains committed to improving the City's accounting system, maintaining the City's internal accounting controls to adequately safeguard assets, and providing reasonable assurances of proper recording of financial transactions.

Budgetary control is directed by the City Council by ordinance when the budget is adopted each year. Expenditures may not legally exceed appropriations at the organization key level by major summary category or at the project total level for CIPs.

Budget Document Overview

The City utilizes the encumbrance system as a management control technique to assist in controlling expenditures. Periodic reports of revenue, expense, and investment activity are available to the City Council and City departments to monitor spending in relation to the budget. The Finance Department conducts quarterly budget monitoring meetings with the City's General Fund departments to ensure that they are on track and there are no unknown expenditures coming during the remainder of the fiscal year.

Indirect Cost Allocation Plan: The City of Roseville uses the indirect cost allocation process to allocate departmental costs from the General Fund to all funds that receive benefit from the services that the General Fund departments provide. The City uses a consulting firm which specializes in indirect cost studies to prepare the indirect cost allocation plan. The plan is based on the most current budget information available at the time the study is completed - typically six months prior to the adoption of a new budget. The consulting firm makes several onsite visits to interview staff from the General Fund departments that provide services to other departments as well as those departments that receive services from the General Fund departments. Cost allocation drivers are confirmed during the interview process and budget data is provided to the consultant. The budget data used in the study excludes reimbursed expenses. Examples of reimbursed expenditures are CIPs and secondary labor that is direct charged from one department to another. The consultant prepares a comprehensive study that is provided to all departments for review and comment. Departments receiving allocated costs have an opportunity to comment on the plan and to correct any errors.

Indirect cost charges appear in the transfers-out section of the fund summaries that are paying for the services and in the operating revenues section of the General Fund. The purpose is to ensure that the General Fund is reimbursed for the full cost of services that it is providing throughout the City. Internal service funds at the City of Roseville directly charge costs of service to funds and departments receiving the service; therefore, the only fund that uses the indirect method of allocating costs is the General Fund.

Reserves

By Council policy, the City of Roseville has consistently planned its budgets with an eye to the long-term needs of the City. This is accomplished through the establishment of several funds that serve to direct City revenues to long term financial needs. This ensures that funding is available for needs as they arise. These funds include:

General Fund Emergency Reserve Fund: The City maintains an operating reserve of 10 percent of total estimated General Fund operating expenses. Beginning in FY2016-17, this reserve is held in a separate fund called the General Fund Emergency Reserve Fund. Additionally, the City attempts to maintain a similar operating reserve in all of the City-owned utility operations funds. These reserves guard against impacts from sudden changes in revenues.

Rate Stabilization Funds: Roseville Electric maintains a rate stabilization fund reserve target of between 40 percent to 90 percent of operating expenses. This allows the City time to react to major changes in the cost of electricity without having to impose an emergency rate increase. Rate stabilization funds are also used in the Environmental Utilities to help ease the impacts of rate increases over a period of years.

General CIP Rehabilitation Fund: The City has set aside funds in the General CIP Rehabilitation Fund for the purpose of maintaining the City's investments in its buildings and park facilities. The Council's goal is to increase the balance of this fund to keep pace with the improvements and maintenance needed.

Automotive Replacement Fund: The City saves for the cost of replacement vehicles over the useful life of the vehicle. This ensures that funds are available to keep the City's vehicle fleet operating properly and safely. Electric sets aside vehicle replacement costs in the Electric capital fund.

Strategic Improvement Fund: The City developed a fund several years ago that provides Council with funds that can be used for periodic, strategic investments on behalf of the City. This fund has been used to acquire land and fund improvements, primarily in redevelopment areas.

Litigation Reserve Fund: The Litigation Reserve Fund contains funds set aside for legal matters that are unforeseen and/or unusual in nature and, as a result, require reactive measures such as seeking a budget adjustment and a funding source. Some examples are contract disputes, municipal code enforcement, and other public safety enforcement matters. The purpose of this fund is to address these situations by allowing for a proactive handling and funding of such matters. The revenue source for this fund is transfers from other City funds.



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[City ordinance pending City Council approval.]

[City ordinance pending City Council approval.]



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Budget Summary

ESTIMATED AVAILABLE RESOURCES - July 1, 2016 \$481,115,342

ESTIMATED RESOURCES

Operating Revenues	
General Property Taxes	43,851,355
Other Local Taxes	98,167,848
Licenses and Permits	2,444,850
Charges for Current Services	34,588,035
Public Utility Sales	235,622,000
Revenue From Other Agencies	16,255,699
Use of Money and Property	7,357,581
Fines, Forfeitures and Penalties	1,077,700
Other Revenues	10,411,430

Total Estimated Operating Revenues 449,776,498

Other Agency and Internal Service Fund Indirect Cost Recovery 10,676,943

Total Operating Receipts 460,453,441

Capital Revenues 35,047,252

Total Estimated Resources Available For Appropriation 976,616,035

ESTIMATED APPROPRIATIONS

Direct Operating Expenditures	
Salaries, Wages, and Benefits	176,706,069
Materials, Supplies, and Services	166,387,652
Capital Outlay	3,371,297

Total Direct Operating Expenses 346,465,018

Other Operating Expenses
Annexation Payments to County 4,300,000

Total Other Operating Expenses 4,300,000

Total Operating Expenditures 350,765,018

Capital Improvement Projects 57,517,180

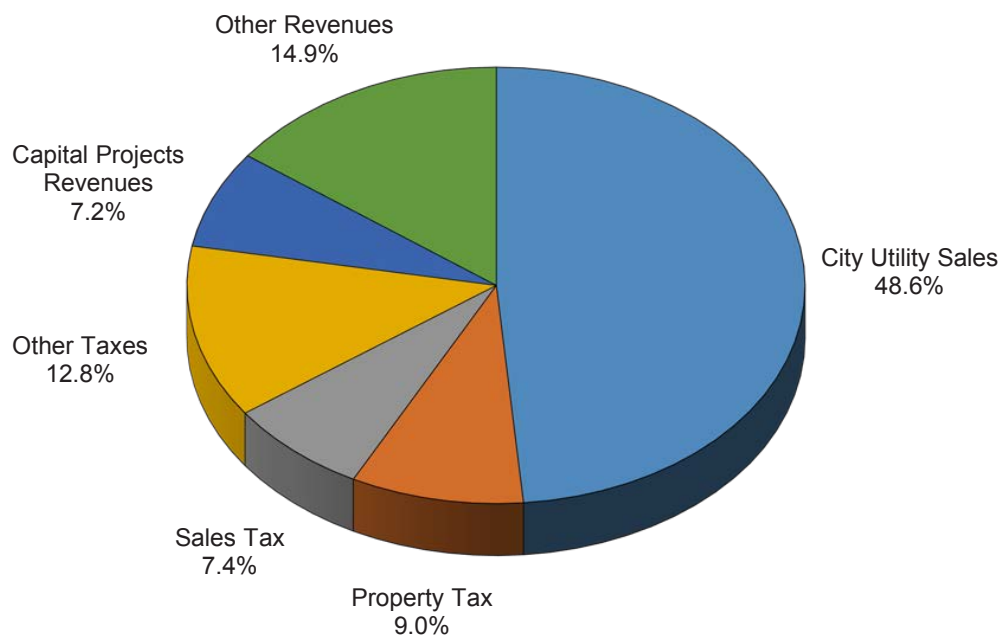
Other Uses
Debt Service Requirements 25,295,737
Special Assessment Districts Appropriation 52,562,988
Repayment of Interfund Loans to Auto Replacement 164,134
Regional Connection Fees Transferred to SPWA 7,480,000

Total Estimated Appropriations 493,785,057

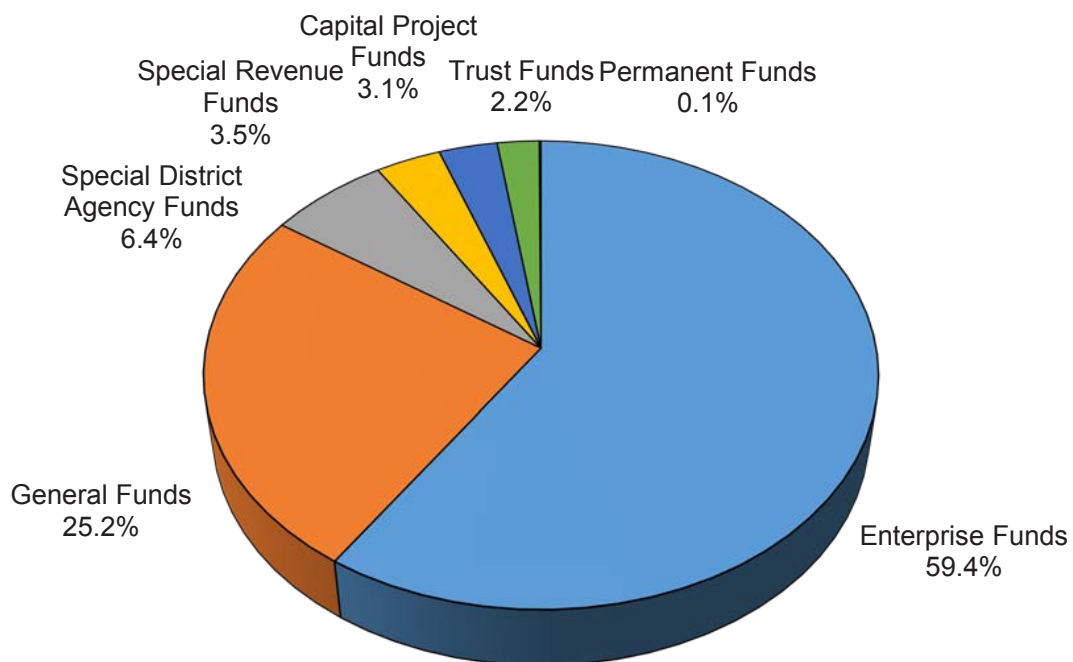
ESTIMATED AVAILABLE RESOURCES - June 30, 2017 \$482,830,978

Budget Summary

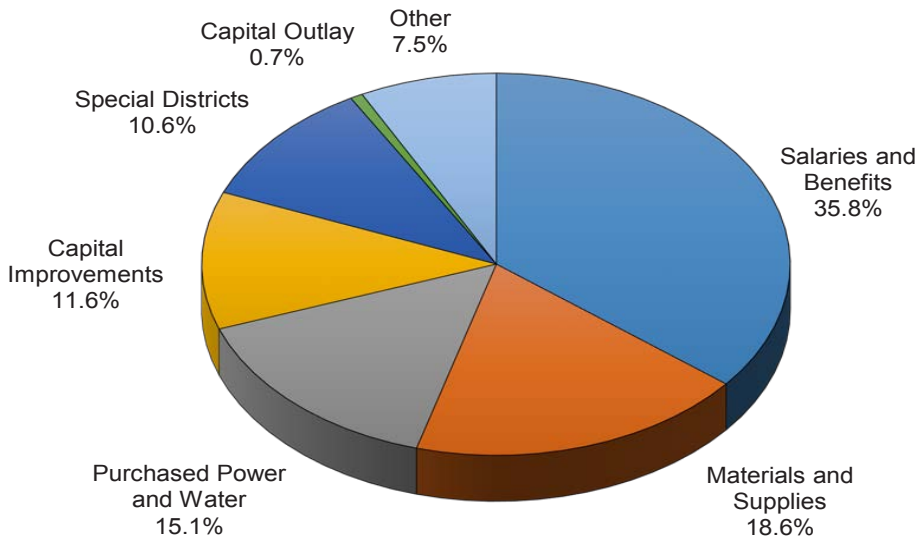
Operating and Capital Revenues by Source



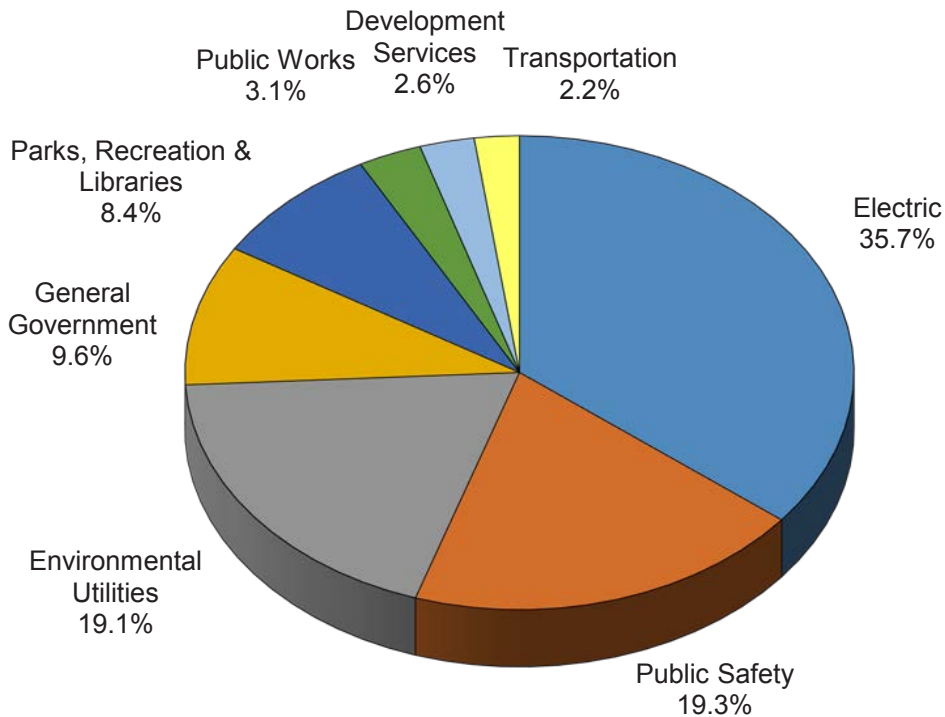
Operating and Capital Revenues by Fund Type



Expenditures by Type



Operating Costs by Major Service Type

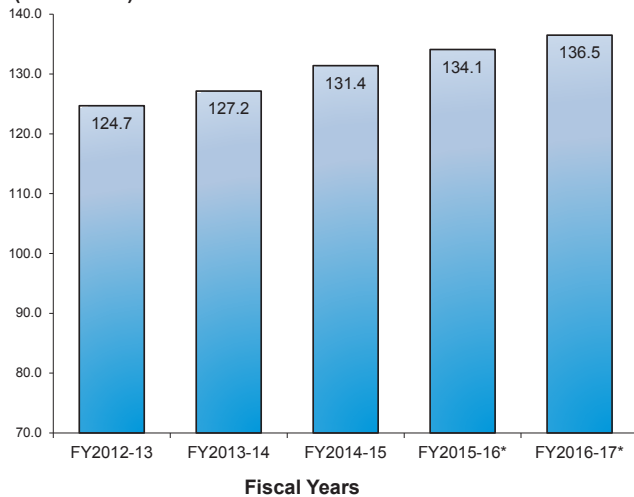


Significant Trends

FY2012-13 THROUGH FY2016-17

City Population

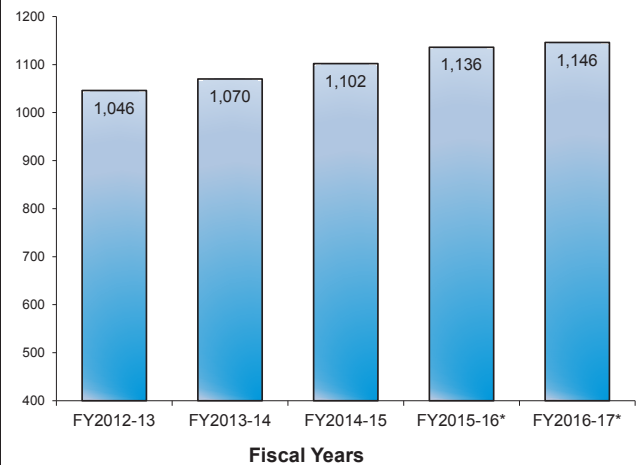
(Thousands)



Source: State Department of Finance

* Estimated

City Employees

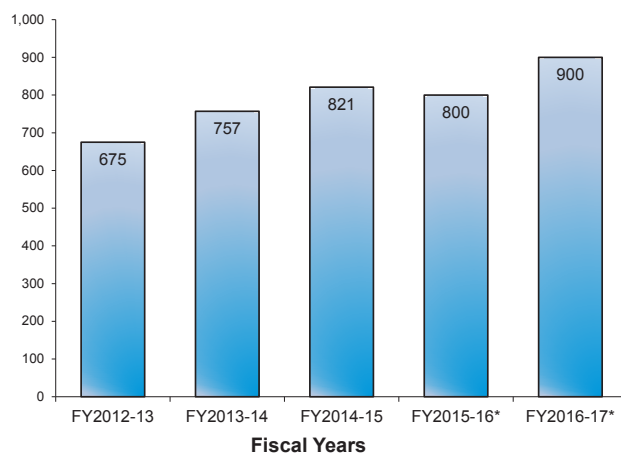


Source: Finance Department - Authorized FTE

* Estimated

New Dwellings

Single/Multiple Units

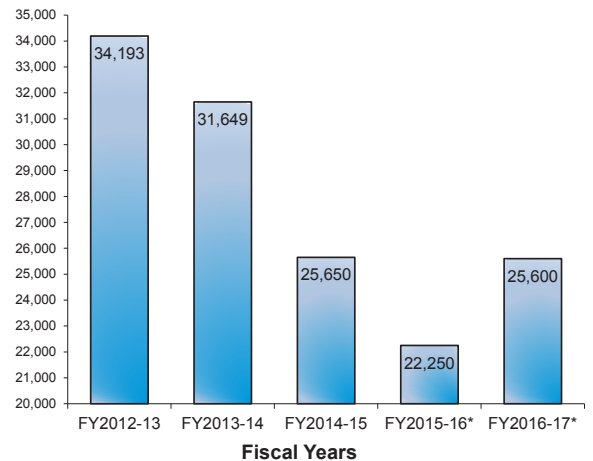


Source: Development Services Department

* Estimated

Water Production

Acres Feet



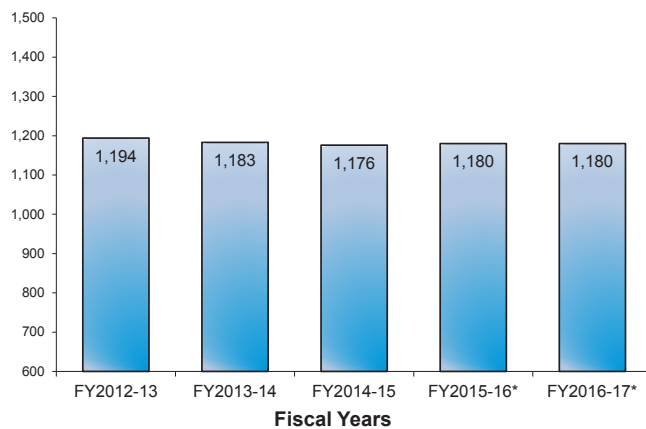
Source: Environmental Utilities

* Estimated

FY2012-13 THROUGH FY2016-17

Electric Power Sales

Gigawatt Hours

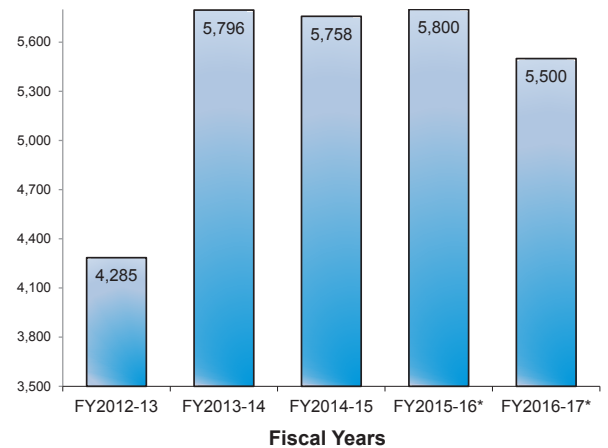


Source: Roseville Electric Utility

*Estimated

Building Permits Issued

New Construction/ Remodels & Additions

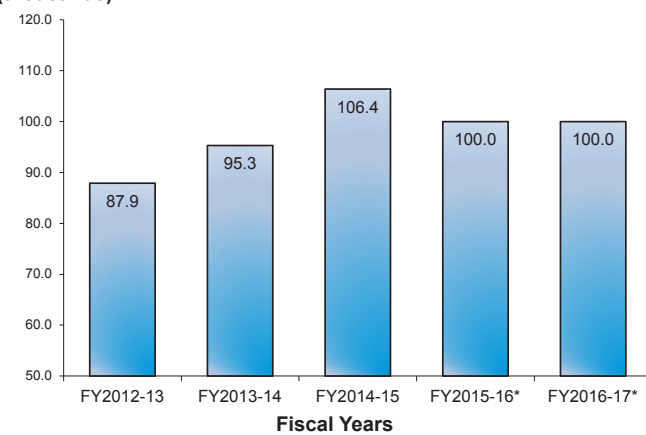


Source: Development Services Department

*Estimated

Solid Waste Collected

Tons (thousands)

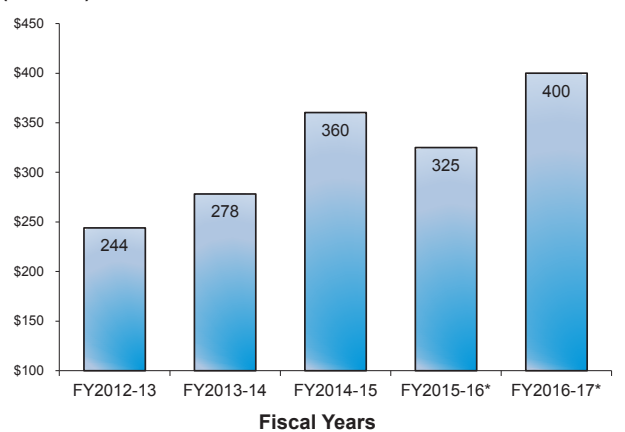


Source: Environmental Utilities

*Estimated

Building Construction Value

Value (millions)



Source: Development Services Department

*Estimated

Gann Appropriations Limit Calculation

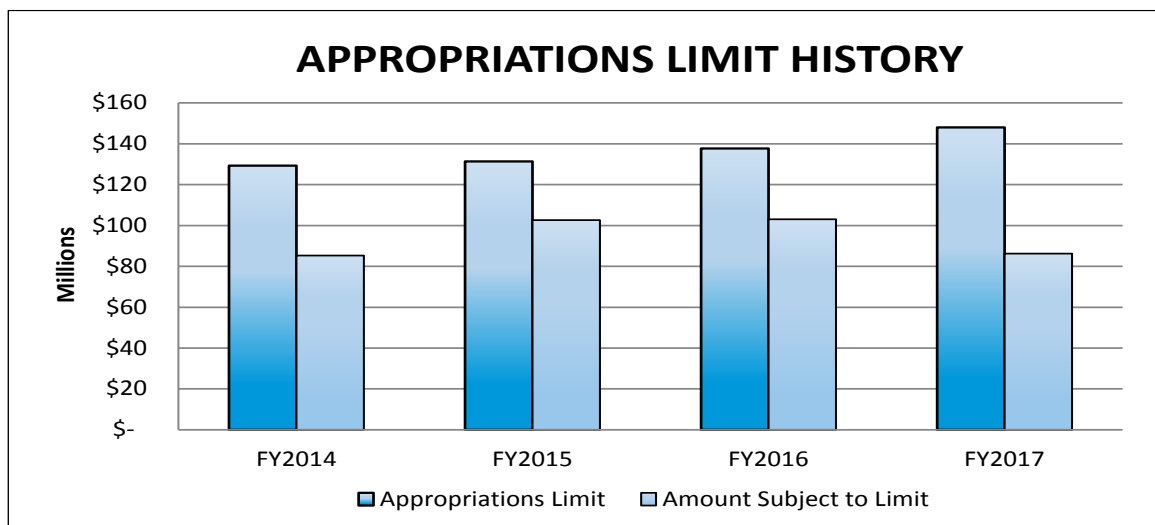
The Gann Appropriations Limit began as a ballot initiative approved by California voters in 1979 and modified by Proposition 111 passed in 1990. Its purpose is to limit the amount of tax proceeds state and local governments can spend each year. The Gann Appropriations Limit appears in California's State Constitution as Article XIII B.

The limit is different for each city and changes annually. Each year's limit is based on the amount of tax proceeds that were authorized to be spent in fiscal year 1978-79 in each city, and modified for changes in inflation and population each

subsequent year. By law, inflationary adjustments are based on the California Department of Finance's official report which states the change in California's per capita personal income and population levels for the city or the county.

Each year the City Council must adopt an appropriations limit for the following year. The City's Appropriation Limit for FY2016-17 has been computed to be \$147,979,523. Appropriations subject to the limitation in the FY2016-17 budget total \$86,226,666, which is \$61,752,857 less than the computed limit.

Consumer Price Index (CPI)	1.0537
Population Increase	<u>1.0201</u>
Ratio of Change	1.0748794
FY2015-16 Appropriation Limit	<u>137,670,819</u>
FY2016-17 Appropriation Limit	<u>\$ 147,979,523</u>
General Government Operating Appropriations	144,405,055
Capital Improvement Project (CIP) Appropriations	<u>15,329,680</u>
Subtotal Operating and CIP Appropriations	159,734,735
Less Exclusions Not Subject to Limit:	
Non-Proceeds of Taxes	(68,049,988)
Qualified Capital Outlay	<u>(5,458,081)</u>
Total Appropriations Subject to Limit	86,226,666
Calculated Appropriations Limit	<u>147,979,523</u>
FY2016-17 Margin	<u>\$ 61,752,857</u>



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NOTE: Funds presented with gray highlighting reflect multiple funds that are combined into one fund summary.

SUMMARY OF BUDGET TRANSACTIONS - ALL FUNDS

	Estimated Beginning	R E C E I P T S			
	Fund Balance	Operating	Capital	Transfers	Proceeds Of
	July 1, 2016	Revenues	Revenues	In	Borrowing
GENERAL FUNDS					
General Fund (100)	21,375,240	120,265,862	0	27,269,618	0
General Fund Emergency Reserve Fund (125)	0	0	0	13,910,234	0
General Fund Contributions by Developers Fund (101)	1,087,557	608,546	0	0	0
Strategic Improvement Fund (110)	7,617,776	996,466	0	420,000	0
316 Vernon Street Fund (105)	0	307,244	0	867,538	0
Litigation Reserve Fund (150)	95	3,049	0	0	0
GENERAL FUNDS TOTAL	30,080,668	122,181,167	0	42,467,390	0
ENTERPRISE FUNDS					
Electric Operations Fund (490)	34,520,191	162,831,394	0	0	0
Electric Rate Stabilization Fund (492)	58,035,058	658,542	0	0	0
Electric Construction Fund (491)	5,220,526	1,888,316	3,500,000	27,314,964	0
Electric CARB Fund (496)	4,029,980	62,025	0	0	0
Traffic Signal Capital Fund (213)	0	101,498	0	591,830	0
Traffic Signal Maintenance Fund (225)	1,026,349	19,482	0	2,197,786	0
Traffic Signal Rehabilitation Fund (228)	360,000	2,049	0	363,187	0
Water Operations Fund (480)	10,087,062	25,810,595	418,300	1,888,499	0
Water Rate Stabilization Fund (484)	4,098,896	42,297	0	1,150,000	0
Water Construction Fund (481)	19,667,950	409,976	9,152,000	351,500	0
Water Rehabilitation Fund (482/483)	6,391,276	93,486	0	3,373,402	0
Environmental Utilities Technical Services Fund (485)	(1,833)	676,898	0	2,212,529	0
Wastewater Operations (470)	12,191,185	34,516,476	44,000	2,015,958	0
Wastewater Rate Stabilization Fund (475)	3,209,344	34,738	0	975,000	0
Wastewater Rehabilitation Funds (471/474)	16,857,132	306,007	7,826,500	6,818,490	0
Solid Waste Operations Fund (460)	13,227,208	21,889,767	0	0	0
Solid Waste Rate Stabilization Fund (464)	1,366,930	14,326	0	200,000	0
Solid Waste Capital Purchase Fund (462)	2,722,835	26,039	419,840	0	0
Solid Waste Rehabilitation Fund (463)	2,473,638	32,169	0	474,489	0
Golf Course Operations Funds (450/451)	134,611	2,390,000	0	0	0
Golf Course Improvement Fund (452)	(146,422)	644	0	90,000	0
Local Transportation Funds (440/441)	8,792,623	8,845,711	58,875	60,000	0
Transit Project Fund (442)	135,714	1,661	0	0	0
Consolidated Transportation Service Agency Fund (443)	829,486	435,931	0	0	0
School-Age Child Care Fund (401)	(200,576)	5,428,445	0	0	0
ENTERPRISE FUNDS TOTAL	205,029,162	266,518,472	21,419,515	50,077,634	0
SPECIAL REVENUE FUNDS					
Affordable Housing Fund (290)	3,747,821	326,480	0	0	0
Air Quality Mitigation Fund (257)	383,629	63,941	0	0	0
Begin Fund (263)	204,568	2,016	0	0	0
Bike Trail Maintenance Fund (218)	191,768	2,345	0	119,096	0
Cal/Home Fund (262)	63,953	536	0	0	0
Community Development Block Grant Fund (260)	219,500	853,271	0	0	0
Downtown Parking Fund (212)	9,123	111	0	0	0
Fire Facilities Tax Fund (220)	3,707,449	1,303,328	0	0	0
Gas Tax Fund (201)	2,155,876	30,000	0	80,000	0
Highway Users Tax Fund (252)	1,117,059	2,634,286	0	800,000	0
Home Improvement Fund (202)	66,532	990	0	0	0
Home Investment Partnership Program Fund (261)	136,352	692,000	0	0	0
Housing Trust Fund (291)	1,166,197	6,000	0	0	0
Lighting & Landscape and Services Districts Funds (200)	10,704,943	9,262,022	0	0	0
Low & Moderate Income Housing Fund (296)	822,707	37,825	0	206,002	0
Miscellaneous Special Revenue Funds (299)	1,749,475	657,034	0	0	0
Native Oak Tree Propagation Fund (255)	744,129	32,392	0	0	0
Non-Native Tree Propagation Fund (256)	702,638	15,147	0	0	0
Open Space Maintenance Fund (219)	489,917	7,043	0	545,509	0
Roadway Fund (253)	896,919	25,000	0	2,009,272	0
Stormwater Management Fund (224)	166,363	2,749	0	660,632	0
Supplemental Law Enforcement Fund (210)	239,571	105,641	0	0	0
Technology Fee Replacement Fund (216)	134,937	572,549	0	0	0
Traffic Safety Fund (206)	0	210,000	0	0	0
Traffic Signal Coordination Fund (226)	2,207,463	27,018	50,000	0	0
Trench Cut Recovery Fund (208)	155,973	6,814	0	0	0
Utility Exploration Center Fund (227)	91,552	35,216	0	845,788	0
Utility Impact Reimbursement Fund (209)	1,245,143	14,884	0	1,700,000	0
SPECIAL REVENUE FUNDS TOTAL	33,521,556	16,926,638	50,000	6,966,299	

SUMMARY OF BUDGET TRANSACTIONS - ALL FUNDS

APPROPRIATIONS					Estimated Ending Fund
Operating Expenditures	Capital Improvements	Debt Service	Special District Appropriations	Transfers Out	Balance Before Reserves June 30, 2017
GENERAL FUNDS					
138,476,564	5,690,250	1,060,557	0	16,036,014	7,647,335
0	0	0	0	0	13,910,234
0	0	0	0	0	1,696,103
60,000	420,000	0	0	211,356	8,342,886
125,000	0	867,538	0	182,244	0
250,000	0	0	0	0	(246,856)
138,911,564	6,110,250	1,928,095	0	16,429,614	31,349,702
GENERAL FUNDS TOTAL					
ENTERPRISE FUNDS					
117,341,411	0	16,520,524	0	38,025,401	25,464,249
0	0	0	0	39,765	58,653,835
696,400	21,830,000	0	0	867,796	14,529,610
0	0	0	0	0	4,092,005
35,000	0	0	0	0	658,328
1,908,382	293,500	0	0	170,879	870,856
0	229,000	0	0	0	496,236
17,964,337	1,480,500	0	0	9,521,512	9,238,107
0	0	0	0	2,721	5,288,472
0	1,175,000	4,130,950	0	464,927	23,810,549
15,700	3,130,000	42,130	0	500,394	6,169,940
2,378,435	0	0	0	509,159	0
24,248,396	1,143,500	0	0	12,425,350	10,950,373
0	0	0	0	2,165	4,216,917
7,480,000	1,970,000	0	0	2,169,240	20,188,889
20,136,854	135,000	0	0	3,903,040	10,942,081
0	0	0	0	0	1,581,256
190,000	0	0	0	4,420	2,974,294
0	235,000	0	0	249,031	2,496,265
2,012,548	0	491,587	0	299,560	(279,084)
0	90,000	0	0	0	(145,778)
6,620,236	1,590,000	0	0	2,346,590	7,200,383
0	0	0	0	0	137,375
536,128	0	0	0	8,393	720,896
5,664,700	0	7,535	0	239,964	(684,330)
207,228,527	33,301,500	21,192,726	0	71,750,307	209,571,723
ENTERPRISE FUNDS TOTAL					
SPECIAL REVENUE FUNDS					
339,763	0	0	0	3,049	3,731,489
0	0	0	0	217	447,353
103,500	0	0	0	0	103,084
0	109,112	0	0	5,062	199,035
63,000	0	0	0	0	1,489
868,658	0	0	0	0	204,113
0	0	0	0	0	9,234
0	0	471	0	20,817	4,989,489
10,000	0	0	0	851,792	1,404,084
10,000	3,752,025	0	0	50,763	738,557
63,000	0	0	0	617	3,905
694,937	0	0	0	0	133,415
11,000	0	0	0	0	1,161,197
0	0	0	5,740,975	3,325,869	10,900,121
112,310	0	0	0	0	954,224
703,499	0	0	0	66,000	1,637,010
0	246,300	0	0	3,951	526,270
0	233,575	0	0	2,258	481,952
0	658,238	0	0	12,377	371,854
10,000	0	0	0	23,740	2,897,451
764,227	0	0	0	65,516	1
0	0	0	0	300,375	44,837
435,000	0	2,650	0	100,000	169,836
0	0	0	0	210,000	0
0	50,000	0	0	2,471	2,232,010
0	0	0	0	108	162,679
679,040	121,000	0	0	67,874	104,642
0	0	0	0	1,220,772	1,739,255
4,867,934	5,170,250	3,121	5,740,975	6,333,628	35,348,585
SPECIAL REVENUE FUNDS TOTAL					

SUMMARY OF BUDGET TRANSACTIONS - ALL FUNDS

	Estimated Beginning Fund Balance July 1, 2016	R E C E I P T S			
		Operating Revenues	Capital Revenues	Transfers In	Proceeds Of Borrowing
CAPITAL PROJECTS FUNDS					
Animal Control Shelter Fund (215)	33,237	0	0	0	0
Blue Oaks Boulevard Fund (320)	542,593	166,000	0	0	0
Building Improvement Fund (301)	71,386	22,046	0	315,000	0
City/County Mitigation Fee Fund (254)	1,224,000	307,361	0	0	0
Citywide Park Development Fund (230)	2,069,006	67,064	536,538	66,000	0
Citywide Park Development - SVSP Fund (265)	0	182,373	0	0	0
Citywide Park Development - WRSP Fund (229)	4,914,978	111,183	1,480,127	0	0
Community Facilities Districts - Construction Funds (300)	17,067,483	26,321	0	2,317,000	0
General CIP Rehabilitation Fund (310)	6,988,833	142,082	0	2,985,115	0
Park Development - Fiddymont 44/Walaire Fund (245)	286,149	3,469	0	0	0
Park Development - HRNSP Fund (239)	11,984	145	0	0	0
Park Development - Infill Fund (231)	1,081,540	13,120	36,128	50,000	0
Park Development - Longmeadow Fund (244)	30,130	364	0	0	0
Park Development - NCRSP Fund (234)	2,066,488	26,669	0	0	0
Park Development - NERSP Fund (233)	25,904	314	0	0	0
Park Development - NRSP Fund (237)	494,491	8,779	182,893	0	0
Park Development - NRSP II Fund (241)	394,873	4,723	0	0	0
Park Development - NRSP III Fund (242)	220,299	4,644	0	0	0
Park Development - NWRSP Fund (235)	2,785	9	0	0	0
Park Development - SERSP Fund (232)	1,574	0	19	0	0
Park Development - SRSP Fund (238)	1,279,781	15,153	354,445	0	0
Park Development - Westbrook Fund (246)	0	135,081	0	0	0
Park Development - Woodcreek East Fund (236)	16,728	1,351	0	0	0
Park Development - WRSP Fund (243)	7,420,272	105,922	2,709,921	0	0
Pleasant Grove Drainage Basin Construction Fund (250)	5,094,789	91,365	350,000	2,233,090	0
Pooled Unit Park Transfer Fees Fund (240)	39,750	0	482	0	0
Project Play Fund (217)	5,724	70	0	0	0
Public Facilities Fund (222)	2,423,208	102,650	2,000,000	300,000	0
Reason Farms Revenue Fund (251)	674,952	73,350	0	0	0
Traffic Benefit Fee Fund (214)	326,841	18,322	0	0	0
Traffic Mitigation Fund (221)	13,520,246	200,396	5,650,000	251,556	0
Westpark Drive Fund (321)	125,324	3,000	60,000	0	0
CAPITAL PROJECTS FUNDS TOTAL	68,455,349	1,833,326	13,360,553	8,517,761	0
PERMANENT FUNDS					
City of Roseville Citizen's Benefit Trust Fund (280)	17,400,139	450,710	0	0	0
Roseville Aquatics Complex Maintenance Fund (606)	23,404	0	0	0	0
PERMANENT FUNDS TOTAL	17,423,544	450,710	0	0	0
SPECIAL DISTRICT AGENCY FUNDS					
Agency Funds (700)	59,616,562	0	31,200,285	0	0
SPECIAL DISTRICT AGENCY FUNDS TOTAL	59,616,562	0	31,200,285	0	0
TRUST FUNDS					
General Trust Funds	9,128	349	0	0	0
OPEB Trust Fund (650)	61,613,457	7,379,020	0	5,160,677	0
Private Purpose Trust Funds	1,916,754	23,779	0	0	0
Successor Agency Roseville RDA Fund (670)	3,449,163	3,479,936	0	0	0
TRUST FUNDS TOTAL	66,988,502	10,883,084	0	5,160,677	0
OPERATING RECEIPTS/APPROPRIATIONS	481,115,342	418,793,397	66,030,353	113,189,761	0
INTERNAL SERVICE/SELF INSURANCE FUNDS					
Automotive Replacement Fund (502)	24,190,472	7,597,435	0	164,134	0
Automotive Services Fund (501)	(233,016)	7,304,087	0	0	0
Dental Insurance Fund (03115)	417,655	1,602,637	0	0	0
General Liability Fund (03112)	2,649,262	2,735,474	0	33,135	0
General Liability - Rent Insurance Fund (03119)	33,169	402	0	0	0
Post-Retirement Insurance/Accrual Fund (03118)	2,535,398	11,162,912	0	0	0
Section 125 Fund (03117)	68,027	380,069	0	0	0
Unemployment Insurance Fund (03113)	822,975	127,925	0	0	0
Vision Insurance Fund (03114)	197,269	174,288	0	0	0
Workers' Compensation Fund (03111)	10,177,589	4,070,943	0	0	0
Other Agencies - RDV, L&M, HA, JPA, SPWA				0	
INTERNAL SERVICE/SELF INSURANCE FUNDS TOTAL	40,858,798	35,156,172	0	197,269	0
GRAND TOTAL	521,974,140	453,949,569	66,030,353	113,387,030	0

SUMMARY OF BUDGET TRANSACTIONS - ALL FUNDS

APPROPRIATIONS					Estimated Ending Fund Balance Before Reserves June 30, 2017	
Operating Expenditures	Capital Improvements	Debt Service	Special District Appropriations	Transfers Out		
						CAPITAL PROJECTS FUNDS
0	0	0	0	0	33,237	Animal Control Shelter Fund (215)
0	700,000	0	0	0	8,593	Blue Oaks Boulevard Fund (320)
0	315,000	0	0	6,555	86,877	Building Improvement Fund (301)
0	0	0	0	0	1,531,361	City/County Mitigation Fee Fund (254)
0	66,000	0	0	12,650	2,659,958	Citywide Park Development Fund (230)
0	0	0	0	0	182,373	Citywide Park Development - SVSP Fund (265)
0	425,000	0	0	200,000	5,881,288	Citywide Park Development - WRSP Fund (229)
0	0	0	14,983,911	2,488,972	1,937,921	Community Facilities Districts - Construction Funds (300)
0	4,154,180	0	0	635,000	5,326,850	General CIP Rehabilitation Fund (310)
0	0	0	0	0	289,618	Park Development - Fiddymont 44/Walaire Fund (245)
0	0	0	0	8	12,121	Park Development - HRNSP Fund (239)
0	225,000	0	0	909	954,879	Park Development - Infill Fund (231)
0	0	0	0	0	30,494	Park Development - Longmeadow Fund (244)
0	0	0	0	1,446	2,091,711	Park Development - NCRSP Fund (234)
0	0	0	0	18	26,200	Park Development - NERSP Fund (233)
0	0	0	0	553	685,610	Park Development - NRSP Fund (237)
0	0	0	0	33	399,563	Park Development - NRSP II Fund (241)
0	0	0	0	0	224,943	Park Development - NRSP III Fund (242)
0	0	0	0	2,433	361	Park Development - NWRSP Fund (235)
0	0	0	0	1	1,592	Park Development - SERSP Fund (232)
0	30,000	0	0	882	1,618,497	Park Development - SRSP Fund (238)
0	0	0	0	0	135,081	Park Development - Westbrook Fund (246)
0	0	0	0	67	18,012	Park Development - Woodcreek East Fund (236)
0	100,000	0	0	4,658	10,131,457	Park Development - WRSP Fund (243)
0	0	0	0	3,730	7,765,514	Pleasant Grove Drainage Basin Construction Fund (250)
0	0	0	0	28	40,204	Pooled Unit Park Transfer Fees Fund (240)
0	0	0	0	5,794	0	Project Play Fund (217)
0	215,000	0	0	1,302,133	3,308,725	Public Facilities Fund (222)
0	25,000	0	0	0	723,302	Reason Farms Revenue Fund (251)
0	0	0	0	0	345,163	Traffic Benefit Fee Fund (214)
10,000	6,680,000	0	0	41,230	12,890,968	Traffic Mitigation Fund (221)
0	0	0	0	0	188,324	Westpark Drive Fund (321)
10,000	12,935,180	0	14,983,911	4,707,100	59,530,798	CAPITAL PROJECTS FUNDS TOTAL
						PERMANENT FUNDS
426,230	0	0	0	0	17,424,619	City of Roseville Citizen's Benefit Trust Fund (280)
0	0	0	0	0	23,404	Roseville Aquatics Complex Maintenance Fund (606)
426,230	0	0	0	0	17,448,024	PERMANENT FUNDS TOTAL
						SPECIAL DISTRICTS FUNDS
0	0	0	31,838,102	2,384,049	56,594,696	Agency Funds (700)
0	0	0	31,838,102	2,384,049	56,594,696	SPECIAL DISTRICT AGENCY FUNDS TOTAL
						TRUST FUNDS
600	0	0	0	2,131	6,746	General Trust Funds
6,544,020	0	0	0	0	67,609,134	OPEB Trust Fund (650)
0	0	0	0	40,111	1,900,422	Private Purpose Trust Funds
256,143	0	2,171,795	0	1,030,012	3,471,149	Successor Agency Roseville RDA Fund (670)
6,800,763	0	2,171,795	0	1,072,254	72,987,451	TRUST FUNDS TOTAL
358,245,018	57,517,180	25,295,737	52,562,988	102,676,952	482,830,978	OPERATING RECEIPTS/APPROPRIATIONS
						INTERNAL SERVICE/SELF INSURANCE FUNDS
4,889,611	0	0	0	4,276,411	22,786,019	Automotive Replacement Fund (502)
6,299,531	0	0	0	584,255	187,285	Automotive Services Fund (501)
1,605,358	0	0	0	2,618	412,316	Dental Insurance Fund (03115)
2,674,642	0	0	0	59,617	2,683,612	General Liability Fund (03112)
0	0	0	0	33,135	436	General Liability - Rent Insurance Fund (03119)
7,155,284	0	0	0	5,196,567	1,346,459	Post-Retirement Insurance/Accrual Fund (03118)
379,840	0	0	0	633	67,623	Section 125 Fund (03117)
150,000	0	0	0	7,408	793,492	Unemployment Insurance Fund (03113)
168,000	0	0	0	1,632	201,925	Vision Insurance Fund (03114)
3,346,709	0	0	0	402,178	10,499,645	Workers' Compensation Fund (03111)
				145,624		Other Agencies - RDV, L&M, HA, JPA, SPWA
26,668,975	0	0	0	10,710,078	38,978,810	INTERNAL SERVICE/SELF INSURANCE FUNDS TOTAL
384,913,993	57,517,180	25,295,737	52,562,988	113,387,030	521,809,788	GRAND TOTAL

SUMMARY OF REVENUES AND EXPENDITURES - COMPARISON OF YEARS

	FY2015 Actual Revenues	FY2016 Amended Revenues	FY2017 Budgeted Revenues
<u>GENERAL FUNDS</u>			
General Fund (100)	137,892,626	147,846,182	147,535,480
General Fund Emergency Reserve Fund (125)	0	0	13,910,234
General Fund Contributions by Developers Fund (101)	489,258	401,636	608,546
Strategic Improvement Fund (110)	4,502,250	4,934,742	1,416,466
316 Vernon Street Fund (105)	0	0	1,174,782
Litigation Reserve Fund (150)	250,095	0	3,049
GENERAL FUNDS TOTAL	143,134,230	153,182,560	164,648,557
<u>ENTERPRISE FUNDS</u>			
Electric Operations Fund (490)	171,187,169	181,567,761	162,831,394
Electric Rate Stabilization Fund (492)	3,761,061	7,296,885	658,542
Electric Construction Fund (491)	3,483,293	5,985,239	32,703,280
Electric CARB Fund (496)	2,567,914	18,671	62,025
Traffic Signal Capital Fund (213)	0	0	693,328
Traffic Signal Maintenance Fund (225)	1,929,437	2,148,817	2,217,268
Traffic Signal Rehabilitation Fund (228)	0	360,000	365,236
Water Operations Fund (480)	23,469,976	24,842,812	28,117,394
Water Rate Stabilization Fund (484)	269,120	1,167,008	1,192,297
Water Construction Fund (481)	8,764,142	8,514,719	9,913,476
Water Rehabilitation Funds (482/483)	1,622,997	3,263,428	3,466,888
Environmental Utilities Technical Services Fund (485)	2,568,651	2,735,300	2,889,427
Wastewater Operations Fund (470)	32,282,195	37,155,071	36,576,434
Wastewater Rate Stabilization Fund (475)	516,522	664,003	1,009,738
Wastewater Rehabilitation Funds (471/474)	17,735,238	16,152,273	14,950,997
Solid Waste Operations Fund (460)	22,210,619	25,205,871	21,889,767
Solid Waste Rate Stabilization Fund (464)	256,314	355,419	214,326
Solid Waste Capital Purchase Fund (462)	418,136	752,671	445,879
Solid Waste Rehabilitation Fund (463)	492,105	489,375	506,658
Golf Course Operations Fund (450/451)	2,394,932	2,688,008	2,390,000
Golf Course Improvement Fund (452)	76,472	272,569	90,644
Local Transportation Fund (440/441)	14,076,965	17,665,290	8,964,586
Transit Project Fund (442)	31,148	644	1,661
Consolidated Transportation Service Agency Fund (443)	411,142	488,626	435,931
School-Age Child Care Fund (401)	4,275,536	5,252,345	5,428,445
ENTERPRISE FUNDS TOTAL	314,801,085	345,042,806	338,015,621
<u>SPECIAL REVENUE FUNDS</u>			
Affordable Housing Fund (290)	1,269,852	363,000	326,480
Air Quality Mitigation Fund (257)	43,886	61,717	63,941
Begin Fund (263)	134,814	60,000	2,016
Bike Trail Maintenance Fund (218)	111,429	114,902	121,441
Cal/Home Fund (262)	26,851	0	536
Community Development Block Grant Fund (260)	422,865	1,386,102	853,271
Downtown Parking Fund (212)	3,430	27	111
Fire Facilities Tax Fund (220)	1,253,655	1,075,136	1,303,328
Gas Tax Fund (201)	55,783	1,455,170	110,000
Highway Users Tax Fund (252)	3,689,654	2,685,838	3,434,286
Home Improvement Fund (202)	604	483	990
Home Investment Partnership Program Fund (261)	351,571	749,209	692,000
Housing Trust Fund (291)	568	6,000	6,000
Lighting & Landscape and Services Districts Funds (200)	7,953,342	9,480,862	9,262,022
Low & Moderate Income Housing Fund (296)	337,393	529,484	243,827
Miscellaneous Special Revenue Funds (299)	564,743	613,093	657,034
Native Oak Tree Propagation Fund (255)	55,461	6,733	32,392
Non-Native Tree Propagation Fund (256)	17,939	5,526	15,147
Open Space Maintenance Fund (219)	463,936	503,705	552,552
Roadway Fund (253)	3,930,270	9,836,059	2,034,272
Stormwater Management Fund (224)	667,858	777,254	663,381
Supplemental Law Enforcement Fund (210)	235,808	102,092	105,641
Technology Fee Replacement Fund (216)	241,806	231,071	572,549
Traffic Safety Fund (206)	299,672	195,000	210,000
Traffic Signal Coordination Fund (226)	63,653	43,172	77,018
Trench Cut Recovery Fund (208)	19,679	2,832	6,814
Utility Exploration Center Fund (227)	559,006	1,385,702	881,004
Utility Impact Reimbursement Fund (209)	1,710,587	1,749,610	1,714,884
SPECIAL REVENUE FUNDS TOTAL	24,486,115	33,419,779	23,942,937

SUMMARY OF REVENUES AND EXPENDITURES - COMPARISON OF YEARS

FY2015 Actual Expenditures	FY2016 Amended Expenditures	FY2017 Budgeted Expenditures
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GENERAL FUNDS

143,489,710	147,433,004	161,263,385	General Fund (100)
0	0	0	General Fund Emergency Reserve Fund (125)
0	0	0	General Fund Contributions by Developers Fund (101)
683,894	13,676,202	691,356	Strategic Improvement Fund (110)
0	0	1,174,782	316 Vernon Street Fund (105)
0	250,000	250,000	Litigation Reserve Fund (150)
144,173,604	161,359,206	163,379,523	GENERAL FUNDS TOTAL

ENTERPRISE FUNDS

155,181,617	181,219,204	171,887,336	Electric Operations Fund (490)
22,361	29,606	39,765	Electric Rate Stabilization Fund (492)
3,182,653	6,503,702	23,394,196	Electric Construction Fund (491)
33,121	666,878	0	Electric CARB Fund (496)
0	0	35,000	Traffic Signal Capital Fund (213)
1,992,496	2,400,391	2,372,761	Traffic Signal Maintenance Fund (225)
0	0	229,000	Traffic Signal Rehabilitation Fund (228)
20,096,459	29,619,304	28,966,349	Water Operations Fund (480)
548	733	2,721	Water Rate Stabilization Fund (484)
12,756,353	22,306,755	5,770,877	Water Construction Fund (481)
4,201,222	3,724,639	3,688,224	Water Rehabilitation Funds (482/483)
2,539,736	2,749,275	2,887,594	Environmental Utilities Technical Services Fund (485)
31,363,947	42,332,043	37,817,246	Wastewater Operations Fund (470)
822	1,279	2,165	Wastewater Rate Stabilization Fund (475)
12,306,511	23,496,229	11,619,240	Wastewater Rehabilitation Funds (471/474)
21,215,152	27,818,913	24,174,894	Solid Waste Operations Fund (460)
0	0	0	Solid Waste Rate Stabilization Fund (464)
67,293	173,542	194,420	Solid Waste Capital Purchase Fund (462)
196,962	472,933	484,031	Solid Waste Rehabilitation Fund (463)
2,848,726	3,019,570	2,803,695	Golf Course Operations Fund (450/451)
76,024	480,302	90,000	Golf Course Improvement Fund (452)
11,671,728	17,664,897	10,556,826	Local Transportation Fund (440/441)
0	0	0	Transit Project Fund (442)
351,431	522,683	544,521	Consolidated Transportation Service Agency Fund (443)
4,115,416	5,870,525	5,912,199	School-Age Child Care Fund (401)
284,220,579	371,073,404	333,473,060	ENTERPRISE FUNDS TOTAL

SPECIAL REVENUE FUNDS

71,287	314,900	342,812	Affordable Housing Fund (290)
129	170	217	Air Quality Mitigation Fund (257)
0	90,000	103,500	Begin Fund (263)
98,037	125,605	114,174	Bike Trail Maintenance Fund (218)
0	0	63,000	Cal/Home Fund (262)
416,905	1,166,602	868,658	Community Development Block Grant Fund (260)
0	0	0	Downtown Parking Fund (212)
615,596	2,279,162	21,288	Fire Facilities Tax Fund (220)
254,598	4,863,379	861,792	Gas Tax Fund (201)
2,155,042	3,103,390	3,812,788	Highway Users Tax Fund (252)
43	45,051	63,617	Home Improvement Fund (202)
303,674	698,104	694,937	Home Investment Partnership Program Fund (261)
87	15	11,000	Housing Trust Fund (291)
7,125,208	9,004,891	9,066,844	Lighting & Landscape and Services Districts Funds (200)
40,701	72,249	112,310	Low & Moderate Income Housing Fund (296)
341,780	704,997	769,499	Miscellaneous Special Revenue Funds (299)
185,223	286,340	250,251	Native Oak Tree Propagation Fund (255)
85,243	144,493	235,833	Non-Native Tree Propagation Fund (256)
557,601	666,871	670,615	Open Space Maintenance Fund (219)
844,841	12,024,569	33,740	Roadway Fund (253)
693,351	890,779	829,743	Stormwater Management Fund (224)
100,148	100,207	300,375	Supplemental Law Enforcement Fund (210)
150,475	340,308	537,650	Technology Fee Replacement Fund (216)
299,672	195,000	210,000	Traffic Safety Fund (206)
61,406	51,726	52,471	Traffic Signal Coordination Fund (226)
57	84	108	Trench Cut Recovery Fund (208)
528,723	1,391,223	867,914	Utility Exploration Center Fund (227)
1,792,466	1,638,851	1,220,772	Utility Impact Reimbursement Fund (209)
16,722,293	40,198,968	22,115,908	SPECIAL REVENUE FUNDS TOTAL

SUMMARY OF REVENUES AND EXPENDITURES - COMPARISON OF YEARS

	FY2015 Actual Revenues	FY2016 Amended Revenues	FY2017 Budgeted Revenues
<u>CAPITAL PROJECTS FUNDS</u>			
Animal Control Shelter Fund (215)	176,098	144,373	0
Blue Oaks Boulevard Fund (320)	0	542,593	166,000
Building Improvement Fund (301)	621,646	1,803,559	337,046
City/County Mitigation Fee Fund (254)	0	1,224,000	307,361
Citywide Park Development Fund (230)	895,138	982,652	669,602
Citywide Park Development - SVSP Fund (265)	0	0	182,373
Citywide Park Development - WRSP Fund (229)	1,090,948	1,000,315	1,591,310
Community Facilities Districts - Construction Funds (300)	13,763,747	21,021,975	2,343,321
General CIP Rehabilitation Fund (310)	2,533,657	2,005,194	3,127,197
Park Development - Fiddymont 44/Walaire Fund (245)	2,115	1,690	3,469
Park Development - HRNSP Fund (239)	89	54	145
Park Development - Infill Fund (231)	180,374	7,028	99,248
Park Development - Longmeadow Fund (244)	528	295	364
Park Development - NCRSP Fund (234)	15,184	512,286	26,669
Park Development - NERSP Fund (233)	192	134	314
Park Development - NRSP Fund (237)	128,128	367,809	191,672
Park Development - NRSP II Fund (241)	114,780	55,106	4,723
Park Development - NRSP III Fund (242)	33,558	24,229	4,644
Park Development - NWRSP Fund (235)	101,623	664,993	9
Park Development - SERSP Fund (232)	12	0	19
Park Development - SRSP Fund (238)	185,306	2,441,609	369,598
Park Development - Westbrook Fund (246)	0	0	135,081
Park Development - Woodcreek East Fund (236)	717	450	1,351
Park Development - WRSP Fund (243)	1,885,575	1,919,575	2,815,843
Pleasant Grove Drainage Basin Construction Fund (250)	378,668	380,018	2,674,455
Pooled Unit Park Transfer Fees Fund (240)	294	215	482
Project Play Fund (217)	42	27	70
Public Facilities Fund (222)	3,792,787	29,019,299	2,402,650
Reason Farms Revenue Fund (251)	65,544	142,371	73,350
Traffic Benefit Fee Fund (214)	78,492	37,793	18,322
Traffic Mitigation Fund (221)	10,886,287	8,385,244	6,101,952
Westpark Drive Fund (321)	0	125,324	63,000
CAPITAL PROJECTS FUNDS TOTAL	36,931,528	72,810,210	23,711,640
<u>PERMANENT FUNDS</u>			
City of Roseville Citizen's Benefit Trust Fund (280)	252,343	230,000	450,710
Roseville Aquatics Complex Maintenance Fund (606)	0	0	0
PERMANENT FUNDS TOTAL	252,343	230,000	450,710
<u>SPECIAL DISTRICT AGENCY FUNDS</u>			
Agency Funds (700)	38,061,174	36,459,580	31,200,285
SPECIAL DISTRICT AGENCY FUNDS TOTAL	38,061,174	36,459,580	31,200,285
<u>TRUST FUNDS</u>			
General Trust Funds	490	277	349
OPEB Trust Fund (650)	10,832,337	12,416,059	12,539,697
Private Purpose Trust Funds	17,096	14,327	23,779
Successor Agency Roseville RDA Fund (670)	2,714,179	4,281,408	3,479,936
TRUST FUNDS TOTAL	13,564,102	16,712,071	16,043,761
OPERATING RECEIPTS/APPROPRIATIONS	571,230,577	657,857,006	598,013,511
<u>INTERNAL SERVICE/SELF INSURANCE FUNDS</u>			
Automotive Replacement Fund (502)	6,948,918	7,294,171	7,761,569
Automotive Services Fund (501)	7,156,507	7,435,218	7,304,087
Dental Insurance Fund (03115)	1,474,310	1,573,462	1,602,637
General Liability Fund (03112)	3,550,234	2,221,166	2,768,609
General Liability - Rent insurance Fund (03119)	245	188	402
Post-Retirement Insurance/Accrual Fund (03118)	11,318,962	11,231,884	11,162,912
Section 125 Fund (03117)	414,014	450,188	380,069
Unemployment Insurance Fund (03113)	517,037	588,892	127,925
Vision Insurance Fund (03114)	160,763	176,078	174,288
Workers' Compensation Fund (03111)	8,069,083	2,928,117	4,070,943
Other Agencies - RDV, L&M, HA, JPA, SPWA	0	0	0
INTERNAL SERVICE/SELF INSURANCE FUNDS TOTAL	39,610,075	33,899,364	35,353,441
GRAND TOTAL	610,840,652	691,756,370	633,366,952

SUMMARY OF REVENUES AND EXPENDITURES - COMPARISON OF YEARS

FY2015 Actual Expenditures	FY2016 Amended Expenditures	FY2017 Budgeted Expenditures	
CAPITAL PROJECTS FUNDS			
0	988,785	0	Animal Control Shelter Fund (215)
0	0	700,000	Blue Oaks Boulevard Fund (320)
617,020	3,559,732	321,555	Building Improvement Fund (301)
0	0	0	City/County Mitigation Fee Fund (254)
117,641	4,389,385	78,650	Citywide Park Development Fund (230)
0	0	0	Citywide Park Development - SVSP Fund (265)
19,175	5,378,265	625,000	Citywide Park Development - WRSP Fund (229)
14,737,215	10,064,591	17,472,883	Community Facilities Districts - Construction Funds (300)
2,353,349	6,488,214	4,789,180	General CIP Rehabilitation Fund (310)
0	0	0	Park Development - Fiddymont 44/Walaire Fund (245)
126	8	8	Park Development - HRNSP Fund (239)
264,783	2,235	225,909	Park Development - Infill Fund (231)
58,936	0	0	Park Development - Longmeadow Fund (244)
28,915	451,408	1,446	Park Development - NCRSP Fund (234)
14	16	18	Park Development - NERSP Fund (233)
131,442	597,949	553	Park Development - NRSP Fund (237)
124	98	33	Park Development - NRSP II Fund (241)
295	199,705	0	Park Development - NRSP III Fund (242)
101,607	665,306	2,433	Park Development - NWRSP Fund (235)
0	0	1	Park Development - SERSP Fund (232)
37,781	2,438,885	30,882	Park Development - SRSP Fund (238)
0	0	0	Park Development - Westbrook Fund (246)
51	59	67	Park Development - Woodcreek East Fund (236)
25,254	3,481,968	104,658	Park Development - WRSP Fund (243)
13,022	538,810	3,730	Pleasant Grove Drainage Basin Construction Fund (250)
12	25	28	Pooled Unit Park Transfer Fees Fund (240)
0	0	5,794	Project Play Fund (217)
681,539	39,440,320	1,517,133	Public Facilities Fund (222)
1,450	366,033	25,000	Reason Farms Revenue Fund (251)
0	0	0	Traffic Benefit Fee Fund (214)
6,588,513	17,177,170	6,731,230	Traffic Mitigation Fund (221)
0	0	0	Westpark Drive Fund (321)
25,778,264	96,228,966	32,636,191	CAPITAL PROJECTS FUNDS TOTAL
PERMANENT FUNDS			
251,400	271,111	426,230	City of Roseville Citizen's Benefit Trust Fund (280)
0	0	0	Roseville Aquatics Complex Maintenance Fund (606)
251,400	271,111	426,230	PERMANENT FUNDS TOTAL
SPECIAL DISTRICT AGENCY FUNDS			
36,169,711	34,980,086	34,222,151	Agency Funds (700)
36,169,711	34,980,086	34,222,151	SPECIAL DISTRICT AGENCY FUNDS TOTAL
TRUST FUNDS			
0	600	2,731	General Trust Funds
5,817,809	6,033,277	6,544,020	OPEB Trust Fund (650)
531,051	33,973	40,111	Private Purpose Trust Funds
2,719,193	9,501,743	3,457,950	Successor Agency Roseville RDA Fund (670)
9,068,054	15,569,593	10,044,812	TRUST FUNDS TOTAL
516,383,904	719,681,334	596,297,875	OPERATING RECEIPTS/APPROPRIATIONS
INTERNAL SERVICE/SELF INSURANCE FUNDS			
14,039,916	14,418,677	9,166,022	Automotive Replacement Fund (502)
7,090,096	7,364,900	6,883,786	Automotive Services Fund (501)
1,367,402	1,991,042	1,607,976	Dental Insurance Fund (03115)
2,022,235	3,006,891	2,734,259	General Liability Fund (03112)
0	0	33,135	General Liability - Rent insurance Fund (03119)
11,684,578	11,137,633	12,351,851	Post-Retirement Insurance/Accrual Fund (03118)
414,630	451,073	380,473	Section 125 Fund (03117)
262,662	323,413	157,408	Unemployment Insurance Fund (03113)
164,260	275,453	169,632	Vision Insurance Fund (03114)
3,477,973	3,738,932	3,748,887	Workers' Compensation Fund (03111)
163,259	146,286	145,624	Other Agencies - RDV, L&M, HA, JPA, SPWA
40,687,011	42,854,300	37,379,053	INTERNAL SERVICE/SELF INSURANCE FUNDS TOTAL
557,070,914	762,535,633	633,676,928	GRAND TOTAL

General Funds Overview



General Funds Overview

These funds are used to account for general City operations and activities. They are used to account for all financial resources except those required to be accounted for in another fund.

The General Fund funds are comprised of:

- General Fund
- General Fund Emergency Reserve Fund
- General Fund Contributions by Developers Fund
- Strategic Improvement Fund
- 316 Vernon Street Fund
- Litigation Reserve Fund

The fund presentations provide an overview of the estimated revenue, expenditures, transfers in and out of the fund, Capital Improvement Projects (CIPs), interfund loans, reserves, and projected operating income and available resources.

The City's General Fund summary provides an overview of the Fund's Operating Budget (revenues and expenses), non-operating budget (capital, debt, & non-recurring revenues and expenses) along with a section summarizing the Fund balance, reserves and unrestricted Fund balance. This presentation provides a mechanism to gauge the changes in the Fund from the beginning of the fiscal year to the end of the fiscal year as well as demonstrate that the City of Roseville is "living within its means" by showing an increase from operations. In other words, the City is not spending more than the incoming revenue each year.

General Fund Details

The General Fund budget provides for the delivery of municipal services to the Roseville community. These services include police, fire, parks and recreation, libraries, public works, economic development, development services, and general government, which includes the following departments: City Council, City Manager, Development and Operations, Public Affairs and Communications, City Attorney, Human Resources, Information Technology, City Clerk, Central Services, and Finance. The General Fund is the City of Roseville's chief operating fund.

Major Revenue Estimates

The City relies on several major revenue sources to assist the General Fund budget. The primary revenue sources are the following:

Sales Tax

Sales tax is the largest single source of revenue in the General Fund. The City of Roseville receives a 1 percent share of taxable sales generated within city limits per the Bradley-Burns Local Sales and Use Tax act. From 2004 through 2016, the City received sales tax in two forms: the Bradley-Burns sales tax remitted monthly and the Triple Flip property tax-in lieu of sales tax swap remitted to the City each January and May. The Triple Flip will come to an end during FY2015-16. During the final year of the "flip" the City expects to see a one-time jump in tax revenue when the state repays sales tax withheld under the swap. The FY2016-17 sales tax projection of \$52.3 million reflects a decrease of \$263,000, or 0.5 percent less than the current year estimate of

Major General Fund Revenue Sources

- Sales Tax
- Secured Property Tax
- Property Tax In-Lieu of Vehicle License Fees
- Building Permits and Plan Check Fees
- Hotel/Motel Tax
- Franchise Fees

\$52.5 million. The drop in revenue is due to the one time jump in sales tax in FY2015-16 as a result of the sunset of the triple flip.

Secured Property Tax

Property tax is the second largest source of revenue in the General Fund. Real property is defined as the land and any improvements made to the land, such as buildings and other permanent structures and taxed at 1 percent of the property's assessed valuation by Placer County. In accordance with Proposition 13, the assessed valuation is determined when a change in ownership occurs, in which case sales price is used as the value, or as the valuation of new construction. Property tax is increased a maximum of 2 percent annually. Property that declines in value may be reassessed (per Proposition 8) for a temporary reduction. Property tax is shared by several taxing entities, mainly school districts, Placer County, and the City of Roseville. The City's share is determined by what tax rate area (TRA) the parcel is located in. The Placer County office of Auditor-Controller has provided an estimate of property taxes the City of Roseville can expect to receive during the current year. Building upon this estimate, the FY2016-17 secured property tax projection of \$28.6 million, reflects a 6 percent increase over the current year estimate of \$27 million. The projected increase was based on monitoring the local real estate market, new single family homes recently added to the market, economic forecasts, and consulting with the Placer County Assessor regarding current valuations and appeals.

Property Tax In-Lieu of Vehicle License Fees

Previously the State of California assessed a 2 percent tax known as the Vehicle License Fee (VLF) on car registrants. As part of the 2004 Budget Act negotiations, an agreement was made between the state and local governments where the VLF rate will be permanently reduced from 2 percent to 0.65 percent. The difference will be paid through local property tax from County educational revenue augmentation funds (ERAF), referred to as the "VLF swap". The City of Roseville's projection for FY2016-17 of \$8.7 million reflects a 6 percent increase over the current year estimate of \$8.2 million. The projected growth

is due to the combined change in assessed valuation of both secured and unsecured property.

Building Permits and Plan Check Fees

Building permit fees are paid when a permit is issued for development of property. The building permit and plan check fees are based on the assessed valuation of the square footage proposed for development and improvements. This revenue is estimated by analyzing probable future development of single family, multi family, commercial and industrial property. Builders and developers are contacted regarding possible new residential development, while commercial projects are estimated based upon current submittals to the City. Combining these projections along with tenant improvements are what comprise the permit and plan check revenue estimates of \$3.3 million generated by the Building Inspection Program. This estimate reflects a 6.5 percent growth over the current fiscal year due to the increased number of anticipated single family homes. Approximately 900 new single family homes and several commercial projects are expected. Revenues from tenant improvements are based upon a five year average.

Hotel/Motel Tax

Hotel/Motel Tax, also known as Transient Occupancy Tax, is a 6 percent tax assessed on hotel and motel room rentals within the City of Roseville. The City currently has 16 hotels and motels. The projection of \$2.8 million for FY2016-17 is nearly 10 percent higher than the current year estimate. The growth is projected based upon analysis of monthly tax receipts, vacancy rates and room rates.

Franchise Fees

Franchise fees are considered a form of rent for the privilege of using Roseville public rights-of-way. This includes furnishing users with telephone, cable television and gas. Franchise fee revenue comes from Comcast, Consolidated Communications, Pacific Gas & Electric, and KinderMorgan. Payments are based on a percentage of gross revenues as stated in the Roseville Municipal Code. The FY2016-17 projection of \$2.1 million reflects a slight increase over the current year estimate of \$2 million. The revenues will fluctuate based on gross billings by the companies listed above.

Other General Fund Revenue Sources

Licenses and Permits

The major components of this revenue category include animal licenses, building permits (described above), and fire permits.

Use of Money and Property

This revenue category includes interest on investments and rental/lease revenue.

General Funds Overview

Fees for Current Services

This revenue category includes fees for items such as: plan checks, franchise fees, assessment district and City administration, police and fire services, park maintenance, and recreation programs. These items are mostly external reimbursements and fee-based payments for services provided by General Fund departments.

Other Revenue

This revenue category is mainly comprised of reimbursements and third party recoveries. Items such as sales of publications and DUI cost recovery can be found here.

State and Federal Grants and Revenue from Other Agencies

The state and federal grants are received by many departments including Police and Fire. Both Police and Fire also collect reimbursement revenue. Revenue from other agencies has included the Office of Traffic Safety, Board of Corrections Training Program, and State Homeowners Tax Relief.

Electric Franchise Fees and Operating Transfers In

The largest two components of these two categories is the Electric Franchise Fee and the Municipal Services District Funds transfer. The Electric Franchise Fee is a result of City Ordinance 9.01, "City-owned utilities may pay an in-lieu of franchise fee not to exceed four percent (4 percent) of total operating and capital expenditures to the City's general fund. All in lieu of franchise fee revenue received shall be budgeted and appropriated solely for police, fire, parks and recreation or library services." The Municipal Services District Funds transfer is a reimbursement for costs of administration for those funds and associated actions. Other transfers include annual transfers from the Traffic Safety Fund and Supplemental Law Enforcement Fund. These transfers provide funding to cover the cost of staff and/or programs in the General Fund.

Indirect Cost

The City of Roseville's General Fund includes expenses for all administrative services (e.g., finance, utility billing, human resources, information technology, attorney services, etc.). A good portion of these services support the Enterprise Funds, including utility funds. The City uses a fair and equitable indirect cost allocation process to allocate departmental costs from the General Fund to all funds that receive benefit from the services that the General Fund departments provide. The City uses a consulting firm that specializes in indirect cost studies to prepare the cost allocation plan. The plan is prepared in accordance with generally accepted accounting principles (GAAP) as recognized by the Governmental Accounting Standards Board (GASB). The primary principals used to develop the plan are: 1) The allocated cost is necessary and

reasonable for proper performance of a department, division, unit and/or program, 2) the allocated cost is charged to departments, divisions, units and/or programs relative to the benefits received, and 3) costs are consistently treated as direct or indirect. Those costs that cannot reasonably be directly charged are included in the study.

General Fund Expenses

Miscellaneous Expense Information

The General Fund includes expenses for operations, debt, capital, transfers out, and interfund loans. The operations expenses are for departments which provide services to the Roseville community, including: Police, Fire, Public Works, Economic Development, Development Services, General Government, and Parks, Recreation & Libraries. Operating expenses also include post-retirement insurance (OPEB), annexation payments and the Galleria lease payment.

Capital and Debt Expenditures

The General Fund includes Capital Improvement Projects related to: public buildings, general improvements, street improvements, drainage improvements, and park improvements. The detail for each capital improvement project that is requesting new budget approval in the upcoming budget year can be found in the Capital Improvement Projects section of this document. If a General Fund project is fully or partially funded by resources from another City fund, then funds are transferred into the General Fund from the other fund. The amount of those transfers can be found in the "Estimated Capital & Debt Transfers In" line on the General Fund summary.

Debt in the General Fund includes the principal and interest payments on outstanding bonds that are the obligation of the General Fund.

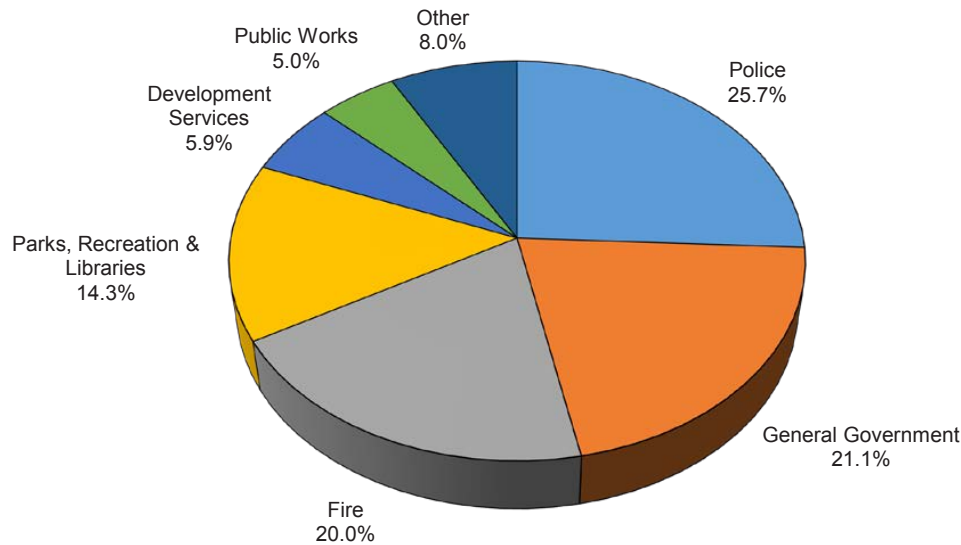
Transfers Out

Funds are transferred out of the General Fund to the Stormwater Management Fund and the General CIP Rehabilitation Fund annually. These transferred funds help to provide stormwater services and set asides for rehabilitation of City infrastructure. The General Fund also transfers funds into other City funds when projects sponsored by those funds benefit the General Fund departments as well.

Non-Recurring Expenditures

The expenditures in this section include projects that are related to special studies. For example, full cost projects related to future annexations and engineering projects, such as traffic studies, all of which are pass through expenses that are funded by developer contributions.

General Fund Operating Expenses by Service Area



General Fund Emergency Reserve Fund

By policy, the City maintains an operating reserve of at least 10 percent of total estimated General Fund operating expenses. Beginning in FY2016-17, this reserve is held in a separate fund called the General Fund Emergency Reserve Fund.

General Fund Contribution by Developers Fund

The revenue source for this fund is from non-construction contributions from developers. This fund is used to support General Fund operations as necessary.

Strategic Improvement Fund Details

The Strategic Improvement Fund was established to provide support for the General Fund as necessary. The major revenue sources are transfers in from the General Fund and a Community Benefit Fee.

The expenditures in this fund are typically to support Council approved strategies related to land acquisition and usage. This fund is also used to support General Fund operations, as well as strategic capital improvement projects in other funds, that would eventually generate revenue for the General Fund.

Litigation Reserve Fund Details

The Litigation Reserve Fund contains funds set aside for legal matters that are unforeseen and/or unusual in nature and, as a result, require reactive measures such as seeking a budget adjustment and funding source. Some examples are contract disputes, municipal code enforcement, and other public safety enforcement matters. The purpose of this fund is to address

these situations by allowing for a proactive handling and funding of such matters. The revenue source for this fund is transfers from other city funds.

316 Vernon Street Fund Details

The 316 Vernon Street Fund is a new fund in FY2016-17. It was established to track the revenue and expenses related to the City owned building at 316 Vernon Street. The major source of revenue is rental revenue paid by Sierra College and non-General Fund City departments, such as Alternative Transportation, that occupy space in the building.

The expenses in the fund are related to the operating expenses associated with the building such as custodial services, HVAC, elevator, and alarm services as well as utility services (electric, water, wastewater, solid waste) provided to the building.

The debt service payments include the principal and interest payments on outstanding bonds that are the obligation of the General Fund. The net balance in the fund at the end of each year is transferred into the General Fund.

Employee Salary & Benefits Summary

The City has a Management/Confidential employee group, as well as five bargaining units: International Brotherhood of Electrical Workers (IBEW), Stationary Engineers, Local 39 (Local 39), Roseville Firefighters, Local 1592 (RFF), Roseville Police Association (RPA), and Roseville Police Officers Association (RPOA). Each unit negotiates a contract which is reflected in a Memorandum of Understanding (MOU) that governs the total compensation for that particular group (e.g. longevity pay, education pay, and certification pay). A Benefit Summary document for each group can be found on the City's website under the Human Resources Department page. **The key compensation components of a *typical* City employee are listed below:**

Title	Description
Salary	City salary schedules are set on a variety of factors including job duties, relation to other like jobs within the organization, reporting structure, etc. Compensation surveys are conducted to compare like jobs with comparable local government agencies and in some cases private sector industries in Northern California. Survey results ensure Roseville salaries and benefit packages are competitive to other similar jurisdictions, helping us to attract and retain the high level talent we are known to employ.
Retirement	The City and employees contribute to a plan provided by the California Public Employees' Retirement System (CalPERS) based on the employee's age, years of service, highest monthly pay rate, and benefit factor. Classic members (hired before 1/1/13): Public Safety 3% @ age 50 Miscellaneous 2.7% @ age 55 New members (hired after 1/1/13): Public Safety 2.7% @ age 57 Miscellaneous 2% @ age 62 <i>Note: City of Roseville employees do not participate in Social Security.</i>
Health, Dental & Vision Insurance	Depending on employee elections, the City may contribute up to a maximum dollar amount of \$1,440 per month (increasing to \$1,464 on 12/1/16) towards employee and dependent insurance coverage: Medical provided by California Public Employees Retirement CalPERS Dental provided by Delta Dental Vision provided by Vision Service Plan (VSP)
Other Group Insurance	Long Term Disability (LTD) coverage is offered to employees. City-paid LTD is provided to employees with more than five years of service and who are in one of the following employee groups: Management/Confidential, IBEW, or Local 39. A group term life insurance plan is provided to all regular employees. Optional self-pay short-term disability and supplemental life insurance plans are also available to employees.
Other Post-Employment Benefits (OPEB)	The City offers health care coverage to all employees who retire from the City of Roseville through CalPERS. An employee's date of hire will determine the level of city contributions, if any, toward this benefit.
Sick Leave	12 days or 96 hours per year to all units (except RFF which is 6 shifts or 144 hours) for all regular employees (pro-rated for those on modified schedules). Effective July 1, 2015, the City provides up to twenty-four hours of paid sick leave to all employees who qualify as defined by AB 1522.
Vacation Leave	The City provides 12 days of vacation to those with less than five years of service. As tenure increases, the allocation also increases to a maximum of 20 days to employees who have worked 20 years or more. The accruals are pro-rated based on work schedule and vary for those working a 24-hour shift schedule.



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GENERAL FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED OPERATING REVENUES			
Taxes	\$ 87,151,864	\$ 94,857,525	\$ 97,237,175
Licenses and Permits	2,683,735	2,160,800	2,434,600
Revenue from Use of Money and Property	712,309	639,316	754,200
Charges for Current Services	13,320,173	13,006,647	12,887,674
Other Revenue	2,873,796	1,691,086	1,656,755
State and Federal Grants and Revenues from Other Agencies	2,317,029	797,913	677,708
Electric Franchise Fees	6,428,029	5,937,021	6,374,514
Estimated Operating Transfers In	3,878,165	4,331,709	4,992,719
Indirect Cost	12,733,656	13,482,250	13,433,950
Prior Year Operating Carryover	0	1,167,709	0
Total Estimated Operating Revenues and Transfers In	132,098,756	138,071,976	140,449,295
LESS ESTIMATED OPERATING EXPENDITURES			
City Council	372,173	420,242	448,122
City Manager	743,504	1,211,183	1,124,853
Development & Operations	748,271	942,513	629,675
Public Affairs & Communications	598,804	797,410	724,168
City Attorney	1,588,249	1,904,336	1,821,687
Human Resources	1,841,535	2,017,751	2,206,910
Information Technology	6,590,503	7,998,698	7,488,329
City Clerk	864,644	974,170	982,687
Central Services	4,847,685	4,641,603	4,948,545
Finance	7,033,895	7,467,624	8,089,885
Economic Development & Housing	1,936,133	955,047	840,314
Development Services	7,016,439	8,278,921	8,249,096
Public Works	5,883,754	6,459,715	6,904,404
Police	31,925,271	34,101,696	35,812,302
Fire	27,380,248	27,926,772	27,760,324
Parks, Recreation & Libraries	19,082,542	19,455,655	19,945,238
Annexation Payments	3,596,777	4,090,000	4,300,000
Vehicle Replacement Fund	0	0	218,375
Post-Retirement Insurance/Accrual Fund	5,651,704	4,772,461	4,497,090
Galleria Lease Payment	567,619	567,619	0
Payback for Workers' Compensation Funding Holiday	656,637	0	0
Payback for General Liability Funding Holiday	774,475	0	0
City Special Assessments	73,900	81,986	84,560
Transit Fund	44,482	52,000	60,000
Stormwater Management Fund	540,282	617,409	565,780
General Fund Contingency	0	617,384	1,400,000
Total Estimated Operating Expenditures and Transfers Out	130,359,526	136,352,195	139,102,344
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES			
Estimated Capital and Debt Transfers In	2,477,397	3,816,110	1,724,425
Repayment of Interfund Loans and Loans Received	0	878,860	744,010
Developer's Contribution - Pass Through Revenues	3,316,473	4,219,118	4,617,750
Prior Year CIP Carryover	0	860,118	0
Total Estimated Non-Operating Revenues and Transfers In	5,793,870	9,774,206	7,086,185
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES			
Capital Improvement Projects	2,926,402	4,450,138	1,072,500
Technology Fee Replacement Fund	9,600	30,400	0
General CIP Rehabilitation Fund	2,180,000	1,000,000	1,500,000
Strategic Improvement Fund	3,500,000	0	0
Electric Operations Fund	37,500	0	0
Wastewater Operations Fund	37,500	0	0
RFA Debt Service	1,020,696	1,381,152	1,024,557
Lease Payments	0	0	36,000
Developer's Contribution - Pass Through Expense	3,418,487	4,219,118	4,617,750
Total Estimated Non-Operating Expenditures and Transfers Out	13,130,184	11,080,808	8,250,807
INCREASE (DECREASE) FROM OPERATIONS	\$ (5,597,083)	\$ 413,179	\$ 182,329

CHANGE IN GENERAL FUND BALANCE

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
Estimated Beginning Fund Balance Without Inventory	\$ 28,165,494	\$ 20,683,894	\$ 21,097,073
Plus Estimated Inventory	421,477	278,167	278,167
Total Estimated Beginning Fund Balance	28,586,971	20,962,061	21,375,240
Increase (Decrease) From Operations	(5,597,083)	413,179	182,329
Less Operating Carryover	(1,167,709)	0	0
Less CIP Carryover	(860,118)	0	0
Estimated Ending Fund Balance Before Reserves	20,962,061	21,375,240	21,557,569
Less Reserve for General Fund - Salary, Wages, and Benefits	0	(3,145,484)	(4,494,503)
Less Economic Reserve	(13,035,953)	(13,635,220)	0
Less Transfer Out to General Fund Emergency Reserve Fund	0	0	(13,910,234)
ESTIMATED UNRESTRICTED ENDING FUND BALANCE	\$ 7,926,108	\$ 4,594,536	\$ 3,152,832

GENERAL FUND REVENUE COMPARISON BY SOURCE

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED OPERATING REVENUES			
TAXES:			
Secured Property Tax	\$ 24,999,474	\$ 26,990,000	\$ 28,640,000
Supplemental Property Tax	611,916	680,000	650,000
In Lieu of Property Tax	83,360	85,000	86,500
Unsecured Property Tax	641,390	647,000	671,000
Public Utility Property Tax	418,406	465,000	488,250
Sales and Use Tax	35,531,876	43,246,000	52,292,000
1/2-Cent Sales and Use Tax - Public Safety	997,127	1,032,000	1,071,200
Property Tax In Lieu of Sales Tax	12,562,948	9,309,000	0
Motor Vehicle In-Lieu	52,028	52,000	52,000
Secured Tax ABX1 26-AB1484	93,708	150,000	150,000
Property Tax In Lieu of VLF	7,632,853	8,200,000	8,692,000
Hotel/Motel Tax	2,066,720	2,551,500	2,800,000
Property Transfer Tax	843,905	850,000	950,000
Business License Tax	612,595	600,000	694,200
Miscellaneous	3,557	25	25
Total Estimated Taxes	87,151,864	94,857,525	97,237,175
LICENSES AND PERMITS:			
Animal Licenses	67,476	50,000	70,000
Building Permits	2,202,412	1,675,000	1,888,300
Encroachment Permits	1,135	0	0
Fire Permits	327,623	350,000	387,500
Other Permits	85,089	85,800	88,800
Total Estimated Licenses and Permits	2,683,735	2,160,800	2,434,600
USE OF MONEY AND PROPERTY:			
Interest on Investments	265,866	88,046	213,170
Rental/Lease Revenue	446,442	551,270	541,030
Total Estimated Use of Money and Property	712,309	639,316	754,200
CHARGES FOR CURRENT SERVICES:			
Franchise Fees	2,057,708	2,111,100	2,234,000
Inspection Fees	58,379	55,000	48,285
Plan Check	2,250,829	1,993,000	1,581,800
Map Check	5,425	10,000	25,000
Planning Fees	208,288	300,000	183,300
Engineering Inspections	75,418	25,000	40,000
Development Services	3,728	7,700	7,500
Development Reimbursement	388,347	517,000	520,536
Assessment District and City Admin Fees	1,938,227	2,134,399	2,503,626
Utility Billing and Services	987,587	1,005,500	935,000
Police Services	98,216	80,025	48,270
Fire Services	419,751	351,624	321,724
Street Services	77,838	42,000	73,000
Recreation Programs - Libraries	4,430	7,500	6,000
Recreation Programs - Administration	438,187	37,395	44,850
Recreation Programs - General Recreation	1,246,446	1,332,057	1,290,266
Recreation Programs - Facilities	2,117,331	2,185,317	2,255,062
Park Maintenance and Use Fees	679,143	610,430	584,180
Library Fines and Fees	83,423	100,000	83,000
Miscellaneous	181,473	101,600	102,275
Total Estimated Charges for Current Services	13,320,173	13,006,647	12,887,674
OTHER REVENUES:			
Sale of Publications	2,344	1,575	550
Sale of Surplus Property	10,150	0	0
Third Party Recoveries	503,864	321,550	198,550
Revenues from Other Agencies	986,659	502,454	472,501
DUI Cost Recovery	97,286	55,000	35,000
Indirect Cost Recovery	230,466	200,000	220,000
Donations and Gifts	126,165	73,735	83,400
Reimbursement	326,784	231,001	341,400
Other	590,078	305,771	305,354
Total Estimated Other Revenues	2,873,796	1,691,086	1,656,755
REVENUES AND GRANTS FROM OTHER AGENCIES:			
Office of Traffic Safety	24,573	5,001	1
Board of Corrections Training Program	2,030	5,720	0
Other Police Grants	185,354	328,291	133,001
Other State Grants	1,598,292	105,001	215,954
Other Fed Grants	246,346	89,780	62,002
Fire Reimbursements	0	4,120	0
POST Reimbursement	13,287	10,000	13,000
State Homeowners Tax Relief	247,148	250,000	253,750
Total Estimated Revenues and Grants from Other Agencies	2,317,029	797,913	677,708

GENERAL FUND REVENUE COMPARISON BY SOURCE

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ELECTRIC FRANCHISE FEES:	\$ 6,428,029	\$ 5,937,021	\$ 6,374,514
OPERATING TRANSFERS IN:			
Strategic Improvement Fund	200,000	0	200,000
316 Vernon Street Fund	0	0	182,244
Utility Impact Reimbursement Franchise Fees	1,300,000	1,200,000	1,200,000
Supplemental Law Enforcement Fund	100,000	100,000	300,000
Public Facilities Fund	0	355,798	0
Fire Museum Fund	7	0	0
Native Oak Tree Propagation Fund	25,000	0	0
Non-Native Tree Propagation Fund	25,000	0	0
Traffic Safety Fund	299,672	195,000	210,000
Housing Fund	4,086	4,002	432
Wastewater Operations Fund	0	96,870	0
Workers' Compensation Insurance Fund	0	0	300,000
General Liability Insurance Fund	0	0	42,000
Municipal Services District Fund	1,924,400	2,380,000	2,540,176
Miscellaneous Special Revenue Funds	0	39	0
Project Play Fund	0	0	5,794
Library Endowment Fund	0	0	5,616
Roseville Volunteer Collaborative Fund	0	0	2,131
Stoneridge West CFD #1 Construction Fund	0	0	2,870
CRCFD #1 Construction Fund	0	0	1,049
Automall CFD #1 Improvement Fund	0	0	407
Total Estimated Operating Transfers In	3,878,165	4,331,709	4,992,719
INDIRECT COST:	12,733,656	13,482,250	13,433,950
PRIOR YEAR OPERATING CARRYOVER:	0	1,167,709	0
Total Estimated Operating Revenues and Transfers In	132,098,756	138,071,976	140,449,295
ESTIMATED NON-OPERATING REVENUES			
CAPITAL, DEBT, AND NON-RECURRING REVENUES:			
Gas Tax Fund	39,456	7,914	45,149
Library Fund	100,148	0	0
Highway Users Tax Fund	8,907	43,795	43,939
Utility Impact Reimbursement Fund	25,966	110,855	11,500
Traffic Signal Maintenance Fund	29,201	39,846	0
Open Space Maintenance Fund	5,040	0	0
Local Transportation Fund	26,559	0	0
Diamond Oaks Golf Course Fund	7,200	0	0
Woodcreek Golf Course Fund	7,200	0	0
Solid Waste Operations Fund	12,746	80,114	14,940
Solid Waste Operations Fund - RFA Payment	144,959	145,353	145,511
Wastewater Operations Fund	63,566	169,786	47,515
Wastewater Operations Fund - RFA Payment	39,174	39,285	39,327
Wastewater Rehabilitation Fund	88,050	239,423	47,515
Water Operations Fund	24,476	26,242	0
Water Operations Fund - RFA Payment	361,234	362,204	362,598
Water Construction Fund	115,764	371,803	102,912
Electric Operations Fund	251,216	741,550	0
Electric Operations Fund - RFA Payment	394,928	395,989	395,989
Electric Construction Fund	0	0	197,530
General CIP Rehabilitation Fund	175,766	855,638	270,000
Automotive Services Fund	7,475	14,632	0
Automotive Replacement Fund	5,966	11,681	0
Agency Funds	542,400	0	0
General Liability Insurance Fund	0	160,000	0
Total Estimated Capital and Debt Transfers In	2,477,397	3,816,110	1,724,425
Repayment of Interfund Loans and Loans Received	0	878,860	744,010
Developer's Contribution - Pass Through Revenues	3,316,473	4,219,118	4,617,750
Prior Year CIP Carryover	0	860,118	0
Total Estimated Non-Recurring Revenues	3,316,473	5,079,236	4,617,750
Total Estimated Non-Operating Revenues	5,793,870	9,774,206	7,086,185
TOTAL ESTIMATED GENERAL FUND REVENUES	\$ 137,892,626	\$ 147,846,182	\$ 147,535,480

GENERAL FUND EMERGENCY RESERVE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0
ESTIMATED TRANSFERS IN			
General Fund	0	0	13,910,234
Total Estimated Transfers In	0	0	13,910,234
Total Estimated Available for Appropriation	0	0	13,910,234
RESERVE 10% OF GENERAL FUND OPERATING EXPENSES (PER SECTION 3.5 OF THE ANNUAL BUDGET ORDINANCE)	0	0	13,910,234
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

GENERAL FUND CONTRIBUTIONS BY DEVELOPERS FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 196,663	\$ 685,921	\$ 1,087,557
ESTIMATED REVENUES			
Non-Construction Contribution by Developer	487,600	400,000	600,000
Interest	1,658	1,636	8,546
Total Estimated Revenues	489,258	401,636	608,546
Total Estimated Available for Appropriation	685,921	1,087,557	1,696,103
ESTIMATED AVAILABLE RESOURCES	<u>\$ 685,921</u>	<u>\$ 1,087,557</u>	<u>\$ 1,696,103</u>

STRATEGIC IMPROVEMENT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 12,540,880	\$ 16,359,236	\$ 7,617,776
ESTIMATED REVENUES			
Community Benefit Fee	890,850	600,000	800,000
Interest	111,400	95,957	196,466
Total Estimated Revenues	1,002,250	695,957	996,466
ESTIMATED TRANSFERS IN			
General Fund	3,500,000	0	0
Animal Control Shelter Fund	0	988,785	0
Public Facilities Fund	0	0	420,000
North Central Roseville CFD #1	0	1,600,000	0
Building Fund	0	1,650,000	0
Total Estimated Transfers In	3,500,000	4,238,785	420,000
Total Estimated Revenues and Transfers In	4,502,250	4,934,742	1,416,466
Total Estimated Available for Appropriation	17,043,130	21,293,978	9,034,242
LESS ESTIMATED EXPENDITURES			
Strategic Improvements	55,840	57,386	60,000
East Radio Site Relocation	0	0	420,000
Regional Animal Control Facility	0	7,642,785	0
Total Estimated Expenditures	55,840	7,700,171	480,000
LESS ESTIMATED TRANSFERS OUT			
General Fund	200,000	0	200,000
Public Facilities Fund	104,845	0	0
Building Improvement Fund	309,553	0	0
Indirect Cost	13,656	8,894	11,356
Total Estimated Transfers Out	628,054	8,894	211,356
INTERFUND LOAN TO PUBLIC FACILITIES FUND	0	4,000,000	0
INTERFUND LOAN TO DOWNTOWN PARKING FUND	0	1,967,137	0
Total Estimated Expenditures and Transfers Out	683,894	13,676,202	691,356
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	6,654,000	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 9,705,236</u>	<u>\$ 7,617,776</u>	<u>\$ 8,342,886</u>

316 VERNON STREET FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0
ESTIMATED REVENUES			
Rental Revenue	0	0	307,244
Total Estimated Revenues	0	0	307,244
ESTIMATED TRANSFERS IN			
Public Facilities Fund	0	0	867,538
Total Estimated Transfers In	0	0	867,538
Total Estimated Revenues and Transfers In	0	0	1,174,782
Total Estimated Available for Appropriation	0	0	1,174,782
LESS ESTIMATED EXPENDITURES			
316 Vernon Street	0	0	125,000
Debt Service	0	0	867,538
Total Estimated Expenditures	0	0	992,538
LESS ESTIMATED TRANSFERS OUT			
General Fund	0	0	182,244
Total Estimated Transfers Out	0	0	182,244
Total Estimated Expenditures and Transfers Out	0	0	1,174,782
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

LITIGATION RESERVE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 250,095	\$ 95
ESTIMATED REVENUES			
Interest	95	0	3,049
Total Estimated Revenues	95	0	3,049
ESTIMATED TRANSFERS IN			
General Fund	250,000	0	0
Total Estimated Transfers In	250,000	0	0
Total Estimated Revenues and Transfers In	250,095	0	3,049
Total Estimated Available for Appropriation	250,095	250,095	3,144
LESS ESTIMATED EXPENDITURES			
Litigation Reserve	0	250,000	250,000
Total Estimated Expenditures	0	250,000	250,000
ESTIMATED AVAILABLE RESOURCES	<u>\$ 250,095</u>	<u>\$ 95</u>	<u>\$ (246,856)</u>

The Litigation Reserve Fund is used to fund unanticipated outside attorney fees. We anticipate that the expenses budgeted in FY2015-16 will not be spent, which will make that amount available in FY2016-17 and result in a positive fund balance after year end. If the expenses are incurred in FY2015-16, then the budgeted amount for FY2016-17 will be funded with a transfer from another fund.



Electric Funds Overview

Roseville Electric Utility is a rate-based utility that provides reliable electricity to Roseville residents and businesses. The enterprise funds associated with the utility include:

- Electric Operations Fund
- Electric Rate Stabilization Fund
- Electric Construction Fund
- Electric CARB Fund
- Traffic Signal Capital Fund
- Traffic Signal Maintenance Fund
- Traffic Signal Rehabilitation Fund

Revenue

The primary source of operating revenue in the electric funds is retail electricity sales to residential and business customers. Capital revenues include the Electric Backbone Fee (paid by new development to offset future improvement costs to the electric system) and Contribution in Aid of Construction (recovery of the costs of designing, installing and upgrading electric infrastructure required to connect customers to the electric system).

Operating Expenditures

Operating expenses represent the cost of acquiring and delivering energy to our customers. Power supply and generation costs are the largest expense. Other expenses include engineering, construction and maintenance, retail

services and public benefits, regulatory and legislative, administration, traffic signals, and city indirect charges. As provided under the City charter, the utility pays an in-lieu of franchise fee of 4 percent of total operating and capital expenditures to the City's General Fund to fund police, fire, parks, and library services. The utility also shares in funding of the Utility Exploration Center with Environmental Utilities and citywide technology projects.

Capital Expenditures

Capital Improvement Projects (CIPs) are composed of capital expenditures related to the acquisition, expansion, or rehabilitation of electric utility infrastructure, technology, and vehicles. Rehabilitation CIPs focus on replacing assets that have reached the end of their service life.

Debt

Electric Operations Fund debt is the result of bonds originally issued to build the Roseville Energy Park (REP) and portions of the distribution system. Approximately 90 percent of total debt outstanding is attributable to construction of the Roseville Energy Park. Debt may be refunded or refinanced to lower costs when advantageous. Financial policies establish a minimum debt service coverage ratio of 1.5, with a target of 2.0. This target is designed to ensure that income (without reserve for operating encumbrances) is twice the amount needed to pay annual debt service.

ELECTRIC OPERATIONS FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED OPERATING REVENUES			
Utility Sales	160,454,247	\$ 158,980,000	\$ 157,480,000
Retail Services and Public Benefits	4,680,707	4,600,000	4,600,000
Uncollectible Accounts	(337,757)	(400,000)	(400,000)
Electric Service Charge - Reconnect	24,975	20,000	20,000
Interest	164,050	122,000	412,894
Reimbursement	74,148	40,000	40,000
Other Revenue	510,786	100,000	300,000
Recovery of Indirect Cost	380,222	250,000	378,500
General Fund	37,500	0	0
Prior Year Operating Carryover	0	2,471,942	0
Total Estimated Operating Revenues and Transfers In	165,988,878	166,183,942	162,831,394
LESS ESTIMATED OPERATING EXPENDITURES			
Power Supply	77,676,643	74,241,069	72,784,853
Electric Power Plant	12,608,665	14,493,878	13,983,591
Electric Administration	2,827,364	3,971,077	4,245,781
Electric, Regulatory, and Legislative	833,711	1,778,343	1,739,682
Electric Engineering	2,024,545	2,870,628	2,941,954
Construction and Maintenance	10,062,045	13,063,147	14,439,287
Street Light Maintenance	343,699	381,500	647,500
Retail Services and Public Benefits	4,894,789	7,580,489	5,751,502
Operating Transfer to Traffic Signal Maintenance Fund	1,908,902	2,138,935	2,197,786
Utility Exploration Center Fund	238,242	339,154	362,394
Automotive Replacement Fund	44,699	0	0
Franchise Fee Transfer	6,428,029	5,937,021	6,374,514
Rent Payment	394,928	395,989	395,989
Post-Retirement Insurance/Accrual Fund	1,068,936	765,679	807,261
Indirect Cost	2,124,813	2,041,684	2,072,324
Indirect Cost - Utility Billing	1,652,955	1,816,707	1,524,364
Indirect Cost - Information Technology	739,405	884,693	967,179
Total Estimated Operating Expenditures and Transfers Out	125,872,371	132,699,992	131,235,961
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES			
Contribution in Aid of Construction	4,036,616	3,500,000	0
Electric Backbone Fee	1,161,675	1,000,000	0
Prior Year CIP Carryover	0	10,883,819	0
Total Estimated Non-Operating Revenues	5,198,291	15,383,819	0
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES			
Total CIPs	5,835,328	17,659,608	0
Debt Service	16,176,135	16,516,775	16,520,524
Electric Construction Fund - CIPs	3,437,704	5,943,149	13,286,607
Electric Construction Fund - Vehicle Replacement	0	0	162,840
Traffic Signal Rehabilitation Fund	0	360,000	360,000
Electric Rate Stabilization Fund	3,400,000	7,000,000	0
General Fund - GIS	14,770	0	0
General Fund - EAM	236,447	741,550	0
Utility Exploration Center Fund	9,202	285,630	0
General CIP Rehabilitation Fund	53,661	0	0
Community Development Block Grant Fund	0	12,500	0
Traffic Mitigation Fund	146,000	0	0
Total Estimated Non-Operating Expenditures	29,309,246	48,519,212	30,329,971
Increase (Decrease) From Operations	16,005,552	348,557	1,265,462
Estimated Beginning Balance Without Inventory	22,800,784	25,341,088	25,689,645
Plus Estimated Inventory	8,721,057	8,830,545	8,830,545
Total Estimated Beginning Fund Balance	31,521,841	34,171,633	34,520,191
Increase (Decrease) From Operations	16,005,552	348,557	1,265,462
Less Operating Carryover	(2,471,942)	0	0
Less CIP Carryover	(10,883,819)	0	0
Less Accounting Change for CIPs (Transfer to Electric Construction Fund)*	0	0	(10,321,404)
Estimated Unrestricted Ending Fund Balance	\$ 34,171,633	\$ 34,520,191	\$ 25,464,249

*In FY 2016-17, Roseville Electric Utility is implementing an accounting change to move Capital Improvement Projects to the Electric Construction Fund. This fund summary depicts the former accounting methodology in FY2015-16 and the new methodology in FY2016-17.

ELECTRIC RATE STABILIZATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 47,029,080	\$ 50,767,779	\$ 58,035,058
ESTIMATED REVENUES			
Interest	361,061	296,885	658,542
Total Estimated Revenues	361,061	296,885	658,542
EQUITY TRANSFER IN			
Electric Operations Fund	3,400,000	7,000,000	0
Total Transfers In	3,400,000	7,000,000	0
Total Estimated Revenues and Transfers In	3,761,061	7,296,885	658,542
Total Estimated Available for Appropriation	50,790,140	58,064,664	58,693,600
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	22,361	29,606	39,765
Total Estimated Transfers Out	22,361	29,606	39,765
ESTIMATED AVAILABLE RESOURCES	<u>\$ 50,767,779</u>	<u>\$ 58,035,058</u>	<u>\$ 58,653,835</u>

ELECTRIC CONSTRUCTION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 5,438,349	\$ 5,738,989	\$ 5,220,526
ESTIMATED REVENUES			
Interest	45,589	42,090	146,560
Vehicle Replacement Contributions	0	0	741,756
Contribution in Aid of Construction	0	0	3,500,000
Connection/Impact Fees	0	0	1,000,000
Total Estimated Revenues	45,589	42,090	5,388,316
ESTIMATED TRANSFERS IN			
Electric Operations Fund - CIPs	3,437,704	5,943,149	23,608,011
Electric Operations Fund - Vehicle Replacement	0	0	162,840
Automotive Replacement Fund - Loan Payments	0	0	18,988
Automotive Replacement Fund - Vehicle Replacement	0	0	3,525,125
Total Estimated Transfers In	3,437,704	5,943,149	27,314,964
Total Estimated Revenues and Transfers In	3,483,293	5,985,239	32,703,280
Total Estimated Available for Appropriation	8,921,642	11,724,228	37,923,806
LESS ESTIMATED CAPITAL EXPENDITURES			
Electric Construction - New Services	0	0	3,500,000
Rehab Failure Repl-Contrl Sys	9,460	590,540	2,900,000
Douglas Substation Rehab/Network	1,717,751	1,691,138	2,800,000
Sierra Vista Substation	0	0	2,500,000
Customer Information System (CIS) Replacement	0	0	2,000,000
Park Substation Transformer Replacement	0	0	1,700,000
Roseville Power Plant 2 Rehabilitation	0	300,000	1,220,000
Advanced Metering Infrastructure	0	0	800,000
Combustion Turbine Gear Replacement	0	950,000	765,000
Electric Vehicles	0	0	696,400
Electric Construction - 12kV Upgrade	0	0	540,000
Electric Dispatch Center	0	0	500,000
Electric Rehab Relay Replacement	164,702	486,737	450,000
Attemperator Redesign - Install	0	0	415,000
Cirby - Douglas - Berry Fiber Add	0	0	300,000
Zero Liquid Discharge Improvements	0	0	280,000
Community Solar Project	0	0	275,000
Cable Replacement Rehab	209,053	626,568	250,000
South East Circuit Switcher Replacement	0	0	200,000
Rehab Communications Equipment	0	100,000	110,000
Facilities Rehab Project	0	100,000	100,000
Downtown Specific Plan - Electric Addition	0	0	100,000
Fiber Storage Loop Rebuild	0	0	45,000
SCADA System Upgrade/Cyber Security	0	0	40,000
Electric Vehicle Program	0	0	35,000
Load Management Project	0	0	5,000
Electric Rehab Failure Replacement	760,178	499,481	0
Berry Street Circuit Breaker Replacement Rehabilitation	237,282	327,883	0
Fiddymont Substation DFR Replacement	0	230,000	0
Rehab Substation Battery Replacement	33,429	194,209	0
60kV Restraining	0	123,238	0
Electric Rehab SCADA/RTU Replacement	0	48,961	0
Total Estimated Capital Expenditures	3,131,856	6,268,755	22,526,400
LESS ESTIMATED TRANSFERS OUT			
General Fund	0	0	197,530
IT/Facilities Rehabilitation	46,097	219,040	99,039
Software and Technology Project	0	0	500,000
Utility Exploration Center Fund	0	0	50,000
Indirect Cost	4,700	15,907	21,227
Total Estimated Transfers Out	50,797	234,947	867,796
Total Estimated Expenditures and Transfers Out	3,182,653	6,503,702	23,394,196
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	2,618,755	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 3,120,234	\$ 5,220,526	\$ 14,529,610

ELECTRIC CARB FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,143,394	\$ 4,678,187	\$ 4,029,980
ESTIMATED REVENUES			
Interest	20,516	18,671	62,025
GHG Auction Proceeds	<u>2,547,398</u>	<u>0</u>	<u>0</u>
Total Estimated Revenues	2,567,914	18,671	62,025
Total Estimated Available for Appropriation	4,711,308	4,696,858	4,092,005
LESS ESTIMATED EXPENDITURES			
Program Rebates/Other Costs	<u>33,121</u>	<u>666,878</u>	<u>0</u>
Total Estimated Expenditures	33,121	666,878	0
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 4,678,187</u></u>	<u><u>\$ 4,029,980</u></u>	<u><u>\$ 4,092,005</u></u>

TRAFFIC SIGNAL CAPITAL FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0
ESTIMATED REVENUES			
Current Services	0	0	101,498
Total Estimated Revenues	0	0	101,498
ESTIMATED TRANSFERS IN			
Automotive Replacement Fund	0	0	591,830
Total Estimated Transfers In	0	0	591,830
Total Estimated Revenues and Transfers In	0	0	693,328
Total Estimated Available for Appropriation	0	0	693,328
LESS ESTIMATED EXPENDITURES			
Traffic Signal Vehicle Replacement	0	0	35,000
Total Estimated Expenditures	0	0	35,000
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 658,328</u>

TRAFFIC SIGNAL MAINTENANCE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 753,090	\$ 633,925	\$ 382,351
ESTIMATED INVENTORY	\$ 599,790	\$ 643,998	\$ 643,998
ESTIMATED REVENUES			
Interest	5,314	4,882	9,482
Plan Check Fee	4,202	5,000	10,000
Other Revenues	11,019	0	0
Total Estimated Revenues	20,535	9,882	19,482
ESTIMATED TRANSFERS IN			
Electric Operations Fund - Operations	1,908,902	2,138,935	2,197,786
Total Estimated Transfers In	1,908,902	2,138,935	2,197,786
Total Estimated Revenues and Transfers In	1,929,437	2,148,817	2,217,268
Total Estimated Available for Appropriation	3,282,317	3,426,740	3,243,617
LESS ESTIMATED EXPENDITURES			
Traffic Signals	1,577,632	1,796,998	1,895,843
Total Estimated Expenditures	1,577,632	1,796,998	1,895,843
LESS ESTIMATED CAPITAL EXPENDITURES			
Traffic Signal Maintenance/Upgrades	213,172	377,908	293,500
Total Estimated Capital Expenditures	213,172	2,174,906	2,189,343
LESS ESTIMATED TRANSFERS OUT			
Post-Retirement Insurance/Accrual Fund	15,031	15,407	12,539
General Fund	29,201	39,846	0
Indirect Cost	157,461	170,232	170,879
Total Estimated Transfers Out	201,693	225,485	183,418
Total Estimated Expenditures and Transfers Out	1,992,496	2,400,391	2,372,761
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	39,846	0	0
ESTIMATED AVAILABLE RESOURCES AND INVENTORY	\$ 1,249,975	\$ 1,026,349	\$ 870,856

TRAFFIC SIGNAL REHABILITATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 360,000
ESTIMATED REVENUES			
Interest	0	0	2,049
Total Estimated Revenues	0	0	2,049
ESTIMATED TRANSFERS IN			
Electric Operations Fund	0	360,000	360,000
Automotive Replacement Fund	0	0	3,187
Total Estimated Transfers In	0	360,000	363,187
Total Estimated Revenues and Transfers In	0	360,000	365,236
Total Estimated Available for Appropriation	0	360,000	725,236
LESS ESTIMATED EXPENDITURES			
Traffic Signal Rehabilitation Projects	0	0	229,000
Total Estimated Expenditures	0	0	229,000
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 360,000</u>	<u>\$ 496,236</u>

Environmental Utilities Funds Overview



Environmental Utilities Funds

Environmental Utilities is a group of rate-based utilities that provide water, wastewater, recycled water, and solid waste services to Roseville residents and businesses. Overviews of the Operations Funds for each of the services provided by Environmental Utilities are included below. In addition to the four services, Environmental Utilities also includes an Environmental Utilities Technical Services Fund, which provides engineering support for all of the services provided by the department.

Water Funds

The water utility purchases, treats, and distributes potable water to approximately 40,000 accounts. The rate-based Water Operations Fund provides for the purchase, treatment, and delivery of water to the Roseville community, and accounts for the majority of financial resources in the water utility. Other water funds are comprised of:

- Water Rate Stabilization Fund
- Water Construction Fund
- Water Rehabilitation Fund

Revenue

The primary source of revenue in the water funds is water sales and services, or water service rates paid by customers. The water utility is subject to the provisions of Proposition 218,

which requires that the water rates cannot exceed the cost to the utility to provide the service.

Expense Information

The utility is also responsible for maintenance of infrastructure and implementation of water efficiency programs. The Water Operations Fund budget includes projected operational costs, continued implementation of metered billing, and new regulatory requirements in addition to planning for continued water service reliability. The budget also includes a set aside for future infrastructure rehabilitation and replacement.

The majority of fund expenses are for operations, water purchase, City-owned water treatment plant and water storage, water distribution, water efficiency programs, rent, and City indirect charges. The water utility also funds a portion of the administrative and engineering services that support water, wastewater and solid waste utilities.

Debt

The City is obligated to meet debt service coverage related to long-term debt as part of a rate covenant made to bondholders. The rate covenant outlined in the Certificates of Participation (COPs) issued in 2015 for water capital improvement projects requires a minimum debt service coverage ratio of 120 percent. While connection fees are

Environmental Utilities Funds Overview

the primary source of payment of debt service, water utility revenue is the ultimate security for repayment of debt.

Water CIPs

The utility has operating, construction, and rehabilitation capital programs. These projects typically include technology, pipelines, and facilities.

Wastewater Funds

The Environmental Utilities Department operates the City's Wastewater utility, which collects and treats wastewater for Roseville and its regional partners, South Placer Municipal Utility District and Placer County. The utility has also been designated a regional provider of recycled water for areas in the City and Placer County. The rate-based Wastewater Operations Fund provides for the treatment of water at the City's two wastewater treatment plants, and accounts for the majority of financial resources in the Wastewater utility. Other Wastewater funds are comprised of:

- Wastewater Rate Stabilization Fund
- Wastewater Rehabilitation Fund

Revenue

The primary source of revenue in the Wastewater fund is from utility rates paid by customers. The Wastewater utility is subject to the provisions of Proposition 218, which requires that the wastewater rates cannot exceed the cost to the utility to provide the service.

Expense Information

In addition to operational costs, the budget includes a set aside for future infrastructure rehabilitation and replacement. The majority of fund expenses are operational costs, wastewater treatment at the two City-owned wastewater treatment plants, rent, and City indirect charges.

Debt

As a partner in the South Placer Wastewater Authority (SPWA) JPA, the City is obligated to meet certain debt service coverage ratios related to long-term debt. The rate covenant outlined in bond documents where debt was issued for wastewater capital improvement projects requires a minimum debt service coverage ratio of 110 percent. While connection fees are the primary source of payment of debt service, wastewater utility revenue is the ultimate security for repayment of the City's portion of the debt.

Wastewater CIPs

The utility has operating and rehabilitation capital programs. These projects typically include technology, pipelines, and facilities.

Solid Waste Funds

The Environmental Utilities Department operates the City's Solid Waste utility. The utility collects, recycles, and disposes of refuse for residential and commercial customers, and oversees the maintenance of the closed Roseville Sanitary Landfill. The rate-based Solid Waste Operations Fund provides for the collection of refuse and transport to the landfill, and accounts for the majority of financial resources in the utility. Other Solid Waste funds are comprised of:

- Solid Waste Rate Stabilization Fund
- Solid Waste Capital Purchase Fund
- Solid Waste Rehabilitation Fund

Revenue

The primary source of revenue in the Solid Waste funds is from utility rates paid by customers. The utility is subject to the provisions of Proposition 218, which requires that the solid waste rates cannot exceed the cost to the utility to provide the service.

Expense Information

The Solid Waste Operations Fund budget includes operational costs associated with inflation, regulatory compliance, and the implementation of programs aimed at increasing diversion from the waste stream. In addition, the budget includes a set aside for the rehabilitation and replacement of existing cans and bins that require it. The majority of fund expenses are operating costs to drop waste at the landfill (tipping fees), and City indirect charges.

Solid Waste CIPs

The utility has operating, capital purchase, and rehabilitation capital programs. These projects typically include technology, cans, bins, and facilities.

WATER OPERATIONS FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED OPERATING REVENUES			
Water Sales and Services	\$ 21,403,868	\$ 20,940,000	\$ 25,643,000
Plan Check/Inspection Fees	10,977	3,000	3,000
Interest	88,449	70,687	157,095
Reimbursements	273	0	0
Recovery of Indirect Cost	359	0	0
Other Revenue	130,572	7,500	7,500
Contribution from Water Construction Fund	0	0	310,000
Wastewater Operations Fund	24,463	64,923	39,667
Solid Waste Fund	24,463	64,923	39,666
EU Engineering Fund	0	6,273	0
Indirect Cost (from EU Engineering Fund)	171,283	211,238	214,164
Indirect Cost (from Wastewater and Solid Waste Operations)	1,027,670	1,267,430	1,285,002
Prior Year Operating Carryover	0	388,441	0
Total Estimated Operating Revenues and Transfers In	22,882,376	23,024,415	27,699,094
LESS ESTIMATED OPERATING EXPENDITURES			
Administration	1,478,221	1,721,213	2,174,810
Asset Management	339,035	410,210	418,911
Water Treatment and Storage	2,459,226	3,379,378	3,314,067
Purchased Water	721,190	1,834,829	1,871,526
Water Administration	910,158	1,351,908	1,158,799
Water Distribution	5,084,336	5,805,824	6,185,570
Water Efficiency	1,420,224	2,213,336	2,181,156
EU Outreach	181,047	361,780	280,500
Operating Transfer to General Fund	0	96,870	0
Utility Exploration Center Fund - Operations	79,414	113,051	120,798
Utility Exploration Center Fund - Program Tours	4,999	6,000	7,000
Water Rate Stabilization Fund	250,000	1,150,000	1,150,000
Water Rehabilitation Fund	1,000,000	2,500,000	3,000,000
Utility Impact Reimbursement Fund	736,100	754,503	736,100
Rent Payment	361,234	362,204	362,598
Post-Retirement Insurance/Accrual Fund	475,587	301,191	309,771
Indirect Cost - Environmental Utilities Engineering	1,107,447	1,076,587	1,145,869
Indirect Cost	2,319,866	2,449,361	2,425,728
Automotive Replacement Fund	0	0	349,727
Total Estimated Operating Expenditures and Transfers Out	18,928,086	25,888,246	27,192,930
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES			
Installation Tap	116,936	85,000	85,000
Backflow Device Repair and Test	49,550	35,000	35,000
New Water Meter Installation	409,210	298,307	298,300
State Bonds and Grants	11,905	0	0
Prior Year CIP Carryover	0	1,400,090	0
Total Estimated Non-Operating Revenues	587,600	1,818,397	418,300
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES			
Capital Improvement Projects	169,907	200,000	1,200,000
General Fund - CIP Contribution	24,476	26,242	0
General CIP Rehabilitation Fund	29,556	0	0
Utility Exploration Center Fund	4,150	122,936	16,667
Water Rehabilitation Fund - General Fund CIP Rehab	98,796	98,796	98,402
Water Technology Replacement	150,000	250,000	250,000
Solid Waste Operations Fund	0	705,646	0
Wastewater Operations Fund	578,137	1,488,442	100,000
Water Construction Fund	113,350	838,996	108,350
Total Estimated Non-Operating Expenditures	1,168,373	3,731,058	1,773,419
Increase (Decrease) From Operations	3,373,517	(4,776,492)	(848,955)
Estimated Beginning Fund Balance Without Inventory	12,949,675	14,413,764	9,637,271
Plus Estimated Inventory	328,893	449,790	449,790
Total Estimated Beginning Fund Balance	13,278,568	14,863,554	10,087,062
Increase (Decrease) From Operations	3,373,517	(4,776,492)	(848,955)
Less Operating Carryover	(388,441)	0	0
Less CIP Carryover	(1,400,090)	0	0
Estimated Ending Fund Balance Before Economic Reserve	14,863,554	10,087,062	9,238,107
Less Economic Reserve	(1,892,800)	(2,588,800)	(2,719,300)
Estimated Unrestricted Ending Fund Balance	\$ 12,970,754	\$ 7,498,262	\$ 6,518,807

WATER RATE STABILIZATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,664,049	\$ 2,932,621	\$ 4,098,896
ESTIMATED REVENUES			
Interest	19,120	17,008	42,297
Total Estimated Revenues	19,120	17,008	42,297
ESTIMATED TRANSFERS IN			
Water Operations Fund	250,000	1,150,000	1,150,000
Total Estimated Transfers In	250,000	1,150,000	1,150,000
Total Estimated Revenues and Transfers In	269,120	1,167,008	1,192,297
Total Estimated Available for Appropriation	2,933,169	4,099,629	5,291,193
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	548	733	2,721
Total Estimated Transfers Out	548	733	2,721
ESTIMATED AVAILABLE RESOURCES	<u>\$ 2,932,621</u>	<u>\$ 4,098,896</u>	<u>\$ 5,288,472</u>

WATER CONSTRUCTION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 35,107,376	\$ 33,459,986	\$ 19,667,950
ESTIMATED REVENUES			
Interest	253,503	212,152	367,846
Interfund Loan Interest Repayment from Water Rehabilitation Fund	58,057	47,940	42,130
Water Connection Fees	6,161,270	6,549,840	9,027,000
Water Construction Reimbursement	314,287	0	0
Revenue from Other Agencies	0	628,451	125,000
State Bonds and Grants	1,026,443	0	0
Other Revenue	445,983	0	0
Proceeds from the Sale of Bonds	92	0	0
Water Operations Fund	113,350	838,996	108,350
Total Estimated Revenues	8,372,985	8,277,379	9,670,326
INTERFUND LOAN PRINCIPAL REPAYMENT FROM WATER REHABILITATION FUND	231,700	237,340	243,150
INTERFUND LOAN PRINCIPAL REPAYMENT FROM WESTPARK CFD#1 FUND	159,457	0	0
Total Estimated Available for Appropriation	43,871,517	41,974,705	29,581,426
LESS ESTIMATED CAPITAL EXPENDITURES			
Debt Service	5,785,995	4,219,738	4,130,950
Aquifer Storage/Recovery Program	0	440,067	0
Water Treatment Plant Expansion #3	0	99,377	0
Woodcreek North Well	0	693,033	0
Groundwater Management Plan	273,301	659,270	175,000
Westside Tank/Pump Station Project	15,826	13,444,174	0
Process Control Standards	0	62,554	0
Regional/PCWA Water Model Development	0	142,939	0
Integrated Regional Water Management Proposition 84 Well	3,142,232	332,805	0
Connection Fee Analysis	0	25,501	0
Cook Riolo Road Bridge 24-Inch Pipe	0	194,652	0
Intertie-Zone 4 Pump Back Pump Station	3,322,636	715,788	0
Regional Water Supply Reliability Station	69,321	700,369	0
Westbrook Monitoring Well	0	125,935	0
Well 9 Pump Station Project	0	0	1,000,000
Total Estimated Capital Expenditures	12,609,312	21,856,202	5,305,950
LESS ESTIMATED TRANSFERS OUT			
General Fund	115,764	371,803	102,912
Wastewater Rehabilitation Fund	0	33,000	0
Water Operations Fund	0	0	310,000
General CIP Rehabilitatin Fund	0	0	1,400
Indirect Cost	31,276	45,750	50,615
Total Estimated Transfers Out	147,040	450,553	464,927
Total Estimated Expenditures and Transfers Out	12,756,353	22,306,755	5,770,877
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	9,654,709	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 21,460,456</u>	<u>\$ 19,667,950</u>	<u>\$ 23,810,549</u>

WATER REHABILITATION FUNDS

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 9,430,712	\$ 6,852,487	\$ 6,391,276
ESTIMATED REVENUES			
Water Meter Installation	97,074	30,000	0
Interest	67,212	50,251	93,486
Interfund Loan Interest Repayment from Westpark CFD#1 Fund	4,477	0	0
Reimbursement	20,081	0	0
Miscellaneous Income	281	0	0
Total Estimated Revenues	189,125	80,251	93,486
ESTIMATED TRANSFERS IN			
Wastewater Rehabilitation Fund	619	199,381	0
Wastewater Operations Fund	0	110,000	0
Water Technology Replacement	150,000	250,000	250,000
EU Engineering Technology Replacement	25,000	25,000	25,000
Water Operations Fund	1,098,796	2,598,796	3,098,402
Total Estimated Transfers In	1,274,415	3,183,177	3,373,402
Total Estimated Revenues and Transfers In	1,463,540	3,263,428	3,466,888
INTERFUND LOAN PRINCIPAL PAYMENT FROM WESTPARK CFD#1 FUND	159,457	0	0
Total Estimated Available for Appropriation	11,053,709	10,115,915	9,858,164
LESS ESTIMATED CAPITAL EXPENDITURES			
Interfund Loan Interest Payment to Water Construction Fund	53,580	47,940	42,130
Atlantic Street 22-Inch Water Rehabilitation	57,970	658,388	0
WTP Applied Water Channel Pipeline	1,145,249	0	0
WTP Filtered Water Channel Clear Well	0	718,000	0
Sunrise Ave - I-80 21-Inch Water Condition	0	150,000	0
ARC Flash Mitigation-Remote	1,392	448,608	0
Altantic Street 6/12 Intersection RR Crossing	0	300,000	0
Water Facilities Condition Assessment	0	300,000	0
Sunrise Ave. 24-Inch Water System Rehabilitation	0	0	1,125,000
Water Treatment Plant 2MG Tank Rehab	0	0	1,525,000
Regional Water Master Plan	0	145,000	0
Meter Replacement	129,906	150,000	150,000
Water Meter Retrofit - MFD	0	180,000	180,000
Water Rehab Program Support	0	75,000	75,000
Water Technology Replacement	1,427	50,000	50,000
Water EU Engineering Technology Replacement	15,211	25,000	25,000
Total Estimated Capital Expenditures	1,404,736	3,247,936	3,172,130
LESS ESTIMATED TRANSFERS OUT			
General CIP Rehabilitation Fund	34,572	203,076	243,067
Wastewater Rehabilitation Fund	2,505,053	0	0
Post-Retirement Payoffs	15,031	15,407	15,700
Indirect Cost	10,130	20,880	14,177
Total Estimated Transfers Out	2,564,786	239,363	272,944
Total Estimated Expenditures and Transfers Out	3,969,522	3,487,299	3,445,074
INTERFUND LOAN PRINCIPAL PAYMENT TO WATER CONSTRUCTION FUND	231,700	237,340	243,150
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	1,220,615	0	0
ESTIMATED AVAILABLE RESOURCES AND INVENTORY	\$ 5,631,872	\$ 6,391,276	\$ 6,169,940

ENVIRONMENTAL UTILITIES TECHNICAL SERVICES FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ (16,773)	\$ 12,142	\$ (1,833)
ESTIMATED REVENUES			
Interest	1,711	1,000	3,444
Plan Check and Inspection Fees	262,590	234,116	494,571
Recovery of Indirect Cost	98,511	143,778	148,160
Miscellaneous Revenue	86,674	275,642	30,723
Total Estimated Revenues	449,486	654,536	676,898
ESTIMATED TRANSFERS IN			
Solid Waste Operations Fund	113,035	140,660	146,469
Wastewater Operations Fund	898,683	863,517	920,191
Water Operations Fund	1,107,447	1,076,587	1,145,869
Total Estimated Transfers In	2,119,165	2,080,764	2,212,529
Total Estimated Revenues and Transfers In	2,568,651	2,735,300	2,889,427
Total Estimated Available for Appropriation	2,551,879	2,747,442	2,887,594
LESS ESTIMATED EXPENDITURES			
Environmental Utilities Engineering	2,132,862	2,220,249	2,346,675
Total Estimated Expenditures	2,132,862	2,220,249	2,346,675
LESS ESTIMATED TRANSFERS OUT			
Post-Retirement Payoffs	22,572	23,131	31,760
Water Rehabilitation Technology Replacement	25,000	25,000	25,000
Water Operations Fund	0	6,273	0
General CIP Rehabilitation Fund	7	0	8,672
Indirect Cost	188,011	263,384	261,323
Indirect Cost - EU Asset Management	0	40,540	41,984
Indirect Cost - EU Admin	171,283	170,698	172,180
Total Estimated Transfers Out	406,874	529,026	540,919
Total Estimated Expenditures and Transfers Out	2,539,736	2,749,275	2,887,594
RESERVE FOR ENCUMBRANCES	8,660	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 3,482	\$ (1,833)	\$ 0

This fund supports the three Environmental Utilities funds (Water, Wastewater, and Solid Waste) and is intended to have a zero fund balance at the end of each fiscal year. When unanticipated expenses or transfers occur at year end, adjustments are made in the following fiscal year.

WASTEWATER OPERATIONS FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED OPERATING REVENUES			
Rental Revenue	\$ 5,830	\$ 0	\$ 0
Inspection and Plan Check Fees	128,895	60,000	60,000
Industrial Wastewater Treatment Charges	255,469	170,000	170,000
Reimbursed Wastewater Operating Costs	5,623,940	6,638,317	6,975,105
Wastewater Services	23,272,588	24,327,000	26,426,000
Recycled Water Sales	747,059	525,000	575,000
Wastewater Rehabilitation Fund - Operations	865,721	1,216,947	1,321,906
From Other Agencies	0	0	130,143
Interest	109,411	77,447	172,728
Miscellaneous	42,292	7,500	7,500
Prior Year Operating Carryover	0	447,106	0
Total Estimated Operating Revenues and Transfers In	31,051,203	33,469,317	35,838,382
LESS ESTIMATED OPERATING EXPENDITURES			
Wastewater Administration	631,791	795,379	911,916
Dry Creek WWTP	5,573,269	7,214,260	7,110,777
EU Maintenance	1,113,417	1,698,424	1,687,891
Industrial Treatment	293,723	292,818	386,662
Environmental Treatment Lab	428,277	694,529	698,280
Pleasant Grove WWTP	5,794,827	6,704,944	6,838,837
Wastewater Collection	4,101,157	5,069,126	5,245,157
Recycled Water	442,438	622,885	537,110
EU Outreach	10,595	70,856	63,500
Operating Transfers to Water Operations Fund	24,463	18,819	0
Utility Exploration Center Fund - Operations	79,414	113,052	120,798
Utility Exploration Center Fund - Program Tours	4,999	6,000	7,000
Post-Retirement Insurance/Accrual Fund	459,440	464,596	384,046
Wastewater Rate Stabilization Fund	500,000	650,000	975,000
Wastewater Rehabilitation Fund	6,000,000	6,500,000	6,500,000
Utility Impact Reimbursement Fund	669,800	686,545	669,800
Rent Payment	39,174	39,285	39,327
Vehicle Replacement Fund	0	0	447,720
Indirect Cost	1,830,973	2,083,208	2,186,211
Indirect Cost - EU Asset Management	101,711	121,623	125,956
Indirect Cost - Environmental Utilities	412,124	512,092	516,545
Indirect Cost - Environmental Utilities Engineering	898,683	863,517	920,191
Total Estimated Operating Expenditures and Transfers Out	29,410,276	35,221,958	36,372,724
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES			
Installation Tap	35,704	45,000	44,000
General Fund - CIP Contribution	37,500	0	0
Solid Waste Operations Fund	578,137	1,488,702	100,000
Water Operations Fund	578,137	1,488,452	100,000
Wastewater Rehabilitation Fund - Capital	1,514	73,319	494,052
Prior Year CIP Carryover	0	590,281	0
Total Estimated Non-Operating Revenues and Transfers In	1,230,993	3,685,754	738,052
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES			
Capital Improvement Projects	1,735,925	4,688,647	1,080,000
General Fund - CIP Contribution	63,566	169,786	47,515
Solid Waste Operations Fund	0	705,646	0
General CIP Rehabilitation Fund	31	0	0
Wastewater Rehabilitation Fund - General Fund CIP Rehab	0	1,016,966	10,673
Wastewater Technology Replacement	150,000	250,000	250,000
Water Operations Fund	0	46,104	39,667
Water Rehabilitation Fund	0	110,000	0
Utility Exploration Center Fund	4,150	122,936	16,667
Total Estimated Non-Operating Expenditures and Transfers Out	1,953,672	7,110,085	1,444,522
Increase (Decrease) From Operations	918,248	(5,176,972)	(1,240,812)
Estimated Beginning Fund Balance Without Inventory	17,431,597	17,363,463	12,186,490
Plus Estimated Inventory	55,700	4,695	4,695
Total Estimated Beginning Fund Balance	17,487,297	17,368,157	12,191,185
Increase (Decrease) From Operations	918,248	(5,176,972)	(1,240,812)
Less Operating Carryover	(447,106)	0	0
Less CIP Carryover	(590,281)	0	0
Estimated Ending Fund Balance Before Reserves	17,368,157	12,191,185	10,950,373
Less Economic Reserve	(2,941,028)	(3,522,196)	(3,637,272)
Estimated Unrestricted Ending Fund Balance	\$ 14,427,130	\$ 8,668,989	\$ 7,313,101

WASTEWATER RATE STABILIZATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,030,919	\$ 2,546,620	\$ 3,209,344
ESTIMATED REVENUES			
Interest	16,522	14,003	34,738
Total Estimated Revenues	16,522	14,003	34,738
ESTIMATED TRANSFERS IN			
Rate Stabilization Fund	0	650,000	975,000
Wastewater Operations Fund	500,000	0	0
Total Estimated Transfers In	500,000	650,000	975,000
Total Estimated Revenues and Transfers In	516,522	664,003	1,009,738
Total Estimated Available for Appropriation	2,547,442	3,210,623	4,219,082
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	822	1,279	2,165
Total Estimated Transfers Out	822	1,279	2,165
ESTIMATED AVAILABLE RESOURCES	<u>\$ 2,546,620</u>	<u>\$ 3,209,344</u>	<u>\$ 4,216,917</u>

WASTEWATER REHABILITATION FUNDS

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 18,797,251	\$ 24,201,088	\$ 16,857,132
ESTIMATED REVENUES			
Interest	143,081	115,105	306,007
Interfund Loan Interest Repayment from Solid Waste Operations Fund	7,259	3,119	0
From Other Agencies	0	1,523,531	0
Reimbursed Wastewater Operating Costs	1,520,097	0	0
Total Estimated Revenues	1,670,437	1,641,755	306,007
ESTIMATED CAPITAL REVENUES			
Connection Fees - Local	309,989	290,400	346,500
Connection Fees - Regional	6,989,651	6,272,640	7,480,000
Highway Users Tax Fund	0	33,000	0
Water Construction Fund	0	33,000	0
Water Rehabilitation Fund	2,505,053	0	0
Wastewater Technology Replacement	150,000	250,000	250,000
Wastewater Operations Fund	6,000,000	7,516,966	6,510,673
Total Estimated Capital Revenues	15,954,694	14,396,006	14,587,173
Total Estimated Revenues and Capital Revenues	17,625,131	16,037,761	14,893,180
INTERFUND LOAN PRINCIPAL REPAYMENT FROM SOLID WASTE OPERATIONS FUND	110,107	114,512	57,817
Total Estimated Available for Appropriation	36,532,488	40,353,361	31,808,129
LESS ESTIMATED CAPITAL EXPENDITURES			
PG-DCWWTP Second Clarifier Coating	0	0	680,000
Wastewater Rehab-Emergency Collect	39,169	500,000	500,000
Wastewater Sewer Manhole Upgrade	84,717	250,000	250,000
Upgrade Sewer Line	93,834	150,000	150,000
Wastewater Inspection/Condition PH2	0	200,000	115,000
Wastewater Sewer Service Upgrade	76,661	100,000	100,000
Wastewater Rehab-Program Support	0	75,000	75,000
Wastewater Clean Out Installation	47,737	50,000	50,000
Wastewater Technology Replacement	0	50,000	50,000
EU-SCADA System Assessment	2,878,043	5,846,923	0
CIPP Sewer Rehabilitation 2013	8,550	1,881,241	0
Shadowbrook Lift Station Well Rep	127,582	1,391,057	0
Wastewater Collection System Rehabilitation Project	0	1,050,000	0
DCWWTP Tertiary Filter Proj	0	765,000	0
Dry Crk/Pl Gr WWTP Arc Flash	254,145	444,409	0
Wastewater System Model	0	407,544	0
DCWWTP Plc Rehabilitation Proj	0	400,000	0
WWTP Condition Assessment	0	370,000	0
Atkinson St at Dry Creek WW Pipe	0	311,498	0
Collection System Condition Assessment	0	250,000	0
DRY CRK/PL GR WWTP Lab Rehabilitation	0	220,000	0
DCWWTP Laboratory Rehab Proj	0	175,000	0
Maximo/Granite XP Integrated Database Management	0	150,000	0
Vactor Washout Facility	168	134,832	0
Wastewater Interceptor Cleaning Project	0	100,000	0
Nevada Ave Sewer Main Realign	47,755	12,245	0
Wastewater Shop Expansion	136,352	10,452	0
DCWWTP Pavement Rehab Project	532,402	0	0
DCWWTP Belt Room Roof Repairs	178,539	0	0
WW Interceptor Inspec/Condn	127,722	0	0
CIPP Sewer Rehabilitation 2012	42,387	0	0
DCWWTP 2nd Clarifiers 41A/B42A	2,221	0	0
Replacement Planning Model Assessment	1,246	0	0
Total Estimated Capital Expenditures	4,679,230	15,295,201	1,970,000
LESS ESTIMATED EXPENDITURES AND TRANSFERS OUT			
Connection Fees to SPWA	6,592,805	6,272,640	7,480,000
General Fund	88,050	239,423	47,515
General CIP Rehab Fund	43,512	157,538	257,054
Wastewater Operations Fund	865,721	1,216,947	1,321,906
Wastewater Operations Fund - Capital	1,514	73,319	494,052
Water Rehabilitation Fund	619	199,381	0
Indirect Cost	35,060	41,780	48,713
Total Estimated Expenditures and Transfers Out	7,627,281	8,201,028	9,649,240
Total Estimated Capital Expenditures, Expenditures, and Transfers Out	12,306,511	23,496,229	11,619,240
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	8,994,016	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 15,231,961	\$ 16,857,132	\$ 20,188,889

SOLID WASTE OPERATIONS FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED OPERATING REVENUES			
Rental Revenue	\$ 2,087	\$ 1,873	\$ 1,875
Refuse Service Charges	21,393,859	20,952,800	21,371,620
Recycling Revenue	209,707	306,000	320,000
State Bonds and Grants	121,674	30,000	15,000
From Other Agencies	325,414	0	0
Interest	100,889	83,054	180,272
Miscellaneous	56,989	1,000	1,000
Prior Year Operating Carryover	0	315,137	0
Total Estimated Operating Revenues and Transfers In	22,210,619	21,689,864	21,889,767
LESS ESTIMATED OPERATING EXPENDITURES			
Solid Waste Administration	700,300	822,596	919,719
Solid Waste Collection and Disposal	12,862,032	14,378,571	14,568,724
Recycling	618,175	666,153	691,678
Green Waste Program	1,524,249	1,743,696	1,744,993
Organic Waste Program	0	0	236,150
Interfund Loan Interest Payment to Wastewater Rehabilitation Fund	7,234	3,107	0
Street Sweeping	1,028,200	1,231,810	1,203,185
EU Outreach	105,305	209,381	135,000
Other Operating Transfers	0	18,819	0
Utility Exploration Center Fund - Operations	79,414	113,051	120,798
Utility Exploration Center Fund - Program Tours	4,999	6,000	7,000
Post-Retirement Insurance/Accrual Fund	289,025	280,600	212,041
General CIP Rehabilitation Fund	117	0	0
Utility Impact Reimbursement Fund	294,100	301,453	294,100
Rent Payment	144,959	145,353	145,511
Solid Waste Rehabilitation Fund	300,000	200,000	200,000
Solid Waste Rate Stabilization Fund	250,000	350,000	200,000
Indirect Cost	1,471,172	1,634,341	1,653,083
Indirect Cost - EU Asset Management	101,711	121,623	125,956
Indirect Cost - Environmental Utilities	412,124	512,092	516,545
Indirect Cost - Environmental Utilities Engineering	113,035	140,660	146,469
Automotive Replacement Fund	0	0	560,364
Total Estimated Operating Expenditures and Transfers Out	20,306,152	22,879,305	23,681,316
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES			
Wastewater Operations Fund	0	705,646	0
Water Operations Fund	0	705,646	0
Prior Year CIP Carryover	0	2,104,715	0
Total Estimated Non-Operating Revenues	0	3,516,007	0
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES			
General Fund - CIP Contribution	12,746	46,031	14,940
General Fund	0	34,083	0
Solid Waste Capital Purchase Fund	0	705,646	0
Solid Waste Rehabilitation Fund - General Fund CIP Rehab	39,647	39,647	39,489
Utility Exploration Center Fund	4,150	122,935	16,666
Wastewater Operations Fund	578,137	1,488,712	100,000
Water Operations Fund	24,463	46,104	39,666
Solid Waste Technology Replacement	125,000	225,000	225,000
UEC - Ideascap	14,749	2,116,938	0
Interfund Loan Principal Payment to Wastewater Rehabilitation Fund	110,107	114,512	57,817
Total Estimated Non-Operating Expenditures	909,000	4,939,608	493,578
Increase (Decrease) From Operations	995,467	(2,613,042)	(2,285,127)
Estimated Beginning Fund Balance Without Inventory	17,082,648	15,716,464	13,103,422
Plus Estimated Inventory	181,987	123,786	123,786
Total Estimated Beginning Fund Balance	17,264,635	15,840,250	13,227,208
Increase (Decrease) From Operations	995,467	(2,613,042)	(2,285,127)
Less Operating Carryover	(315,137)	0	0
Less CIP Carryover	(2,104,715)	0	0
Estimated Ending Fund Balance Before Reserves	15,840,250	13,227,208	10,942,081
Less Economic Reserve	(2,030,600)	(2,287,900)	(2,368,100)
Estimated Unrestricted Ending Fund Balance	\$ 13,809,650	\$ 10,939,308	\$ 8,573,981

SOLID WASTE RATE STABILIZATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 755,197	\$ 1,011,511	\$ 1,366,930
ESTIMATED REVENUES			
Interest	6,314	5,419	14,326
Total Estimated Revenues	6,314	5,419	14,326
ESTIMATED TRANSFERS IN			
Solid Waste Operations Fund	250,000	350,000	200,000
Total Estimated Transfers In	250,000	350,000	200,000
Total Estimated Revenues and Transfers In	256,314	355,419	214,326
Total Estimated Available for Appropriation	1,011,511	1,366,930	1,581,256
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,011,511</u>	<u>\$ 1,366,930</u>	<u>\$ 1,581,256</u>

SOLID WASTE CAPITAL PURCHASE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,792,862	\$ 2,143,706	\$ 2,722,835
ESTIMATED OPERATING REVENUES			
Interest	13,339	10,945	26,039
Total Estimated Operating Revenues	13,339	10,945	26,039
ESTIMATED CAPITAL REVENUES			
Impact Fee	404,797	36,080	419,840
Solid Waste Operations Fund	0	705,646	0
Total Estimated Capital Revenues	404,797	741,726	419,840
Total Estimated Operating and Capital Revenues	418,136	752,671	445,879
Total Estimated Available for Appropriation	2,210,998	2,896,377	3,168,714
LESS ESTIMATED CAPITAL EXPENDITURES			
Solid Waste Capital Purchases	64,409	170,000	190,000
Total Estimated Capital Expenditures	64,409	170,000	190,000
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	2,884	3,542	4,420
Total Estimated Transfers Out	2,884	3,542	4,420
Total Estimated Expenditures and Transfers Out	67,293	173,542	194,420
ESTIMATED AVAILABLE RESOURCES	\$ 2,143,706	\$ 2,722,835	\$ 2,974,294

SOLID WASTE REHABILITATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,162,054	\$ 2,457,196	\$ 2,473,638
ESTIMATED OPERATING REVENUES			
Interest	17,458	14,728	32,169
Total Estimated Operating Revenues	17,458	14,728	32,169
ESTIMATED TRANSFERS IN			
Utility Exploration Center Fund	10,000	10,000	10,000
Solid Waste Technology Replacement	0	225,000	225,000
Solid Waste Operations Fund	464,647	239,647	239,489
Total Estimated Transfers In	474,647	474,647	474,489
Total Estimated Revenues and Transfers In	492,105	489,375	506,658
Total Estimated Available for Appropriation	2,654,158	2,946,571	2,980,296
LESS ESTIMATED CAPITAL EXPENDITURES			
Solid Waste Annual Rehab	158,858	317,257	200,000
Solid Waste Technology Replacement	1,160	25,000	25,000
Solid Waste UEC Technology Replacement	1,937	11,155	10,000
Total Estimated Capital Expenditures	161,954	353,412	235,000
LESS ESTIMATED TRANSFERS OUT			
General CIP Rehabilitation Fund	31,493	115,538	242,553
Indirect Cost	3,515	3,983	6,478
Total Estimated Transfers Out	35,008	119,521	249,031
Total Estimated Expenditures and Transfers Out	196,962	472,933	484,031
RESERVE FOR ENCUMBRANCES	38,412	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 2,418,784</u>	<u>\$ 2,473,638</u>	<u>\$ 2,496,265</u>



Golf Course Fund Overview

The City owns two municipal golf courses, operated and maintained by contracts and managed by the Parks, Recreation & Libraries Department. Woodcreek Golf Club is an 18-hole championship course with a lighted driving range and full service restaurant and banquet facility designed to provide the public with a country club like experience. Diamond Oaks Golf Course is an 18-hole traditional municipal course designed to ensure recreational golf is available to the public. Both courses provide a variety of golf opportunities for our residents and neighbors. The enterprise funds associated with the golf courses include:

- Golf Course Operations Fund
- Golf Course Improvement Fund

Revenue

The most significant sources of revenue include user fees for course play and driving range practice. Additional revenue is derived from food and beverage services. Revenue generation related to golf industry economics is highly dependent upon

customer's desire to expend their disposable income in recreational golf.

Expense Information

The major fund expenses are course maintenance, bond payments and utilities. Although City staff is involved in the management of course contracts, no staff are employed directly at the courses.

Debt

The construction of Woodcreek Golf Club was financed through municipal bonds. The construction of the Woodcreek clubhouse was financed through an interfund loan.

CIPs

Minor course repairs and improvements are handled through the operations accounts while more significant repairs and renovations are handled through the Golf Course Improvement Fund and CIPs. This is funded with transfers from the Golf Operations Fund.

GOLF COURSE OPERATIONS FUNDS

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED OPERATING REVENUES			
Golf Operations Revenue	\$ 2,260,816	\$ 2,380,000	\$ 2,380,000
Interest	8,744	10,000	10,000
Other Revenue/Interest/Donations and Gifts	125,372	0	0
Prior Year Operating Carryover	0	25,788	0
Total Estimated Operating Revenues and Transfers In	2,394,932	2,415,788	2,390,000
LESS ESTIMATED OPERATING EXPENDITURES			
Operating Costs	2,027,521	1,997,798	2,008,880
Post-Retirement Insurance/Accrual Fund	3,555	3,640	3,668
Indirect Cost	113,400	125,846	82,560
Total Estimated Operating Expenditures and Transfers Out	2,144,475	2,127,284	2,095,108
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES			
Prior Year CIP Carryover	0	272,220	0
Total Estimated Non-Operating Revenues and Transfers In	0	272,220	0
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES			
03 Golf Course COPS Refunding	486,825	493,066	491,587
Interfund Loan Principal Payments to Automotive Replacement Fund	127,000	127,000	127,000
General Fund	14,400	0	0
Golf Course Improvement Fund	76,024	272,220	90,000
General CIP Rehab Fund	1	0	0
IT Rehab Project	1	0	0
Total Estimated Non-Operating Expenditures and Transfers Out	704,251	892,286	708,587
Increase (Decrease) from Operations	(453,794)	(331,562)	(413,695)
Estimated Beginning Fund Balance	1,217,976	466,173	134,611
Increase (Decrease) From Operations	(453,794)	(331,562)	(413,695)
Less Operating Carryover	(25,788)	0	0
Less CIP Carryover	(272,220)	0	0
Estimated Unrestricted Ending Fund Balance	\$ 466,173	\$ 134,611	\$ (279,084)

The Golf Operations Fund faces financial challenges in the current economy and market. Both golf courses are experiencing increased competition and costs while rounds and revenues remain stable. Several factors affect the fund's ability to maintain a positive balance. Increased cost recovery expectations of City enterprise funds, including indirect charges and debt expenditures, have placed an additional burden on the fund that it cannot support. Historically, the City has financed a large portion of golf course capital improvements through the issuance of bonds. The debt related to this financing strategy is scheduled for final payoff in FY2023-24. The City is evaluating options for balancing the fund, including forgiving a portion of its debt related to interfund loans. For FY2016-17, a negative fund balance is being displayed on the fund summary.

GOLF COURSE IMPROVEMENT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 60,863	\$ 61,311	\$ (146,422)
ESTIMATED REVENUES			
Interest	448	349	644
Total Estimated Revenues	448	349	644
ESTIMATED TRANSFERS IN			
Golf Course Operations Fund	76,024	272,220	90,000
Total Estimated Transfers In	76,024	272,220	90,000
Total Estimated Revenues and Transfers In	76,472	272,569	90,644
Total Estimated Available for Appropriation	137,335	333,880	(55,778)
LESS ESTIMATED CAPITAL EXPENDITURES			
Diamond Oaks Golf Course Renovations	68,841	289,701	45,000
Woodcreek Golf Course Renovations	7,183	141,601	45,000
Total Estimated Capital Expenditures	76,024	431,302	90,000
LESS ESTIMATED TRANSFERS OUT			
General CIP Rehabilitation Fund	0	49,000	0
Total Estimated Transfers Out	0	49,000	0
Total Estimated Expenditures and Transfers Out	76,024	480,302	90,000
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	159,082	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ (97,771)</u>	<u>\$ (146,422)</u>	<u>\$ (145,778)</u>

The Golf Course Improvement Fund pays for capital improvements at both golf courses and is funded via the Golf Course Operations Fund. The Golf Course Operations Fund faces financial challenges in the current economy and market, which also impact the ability to fund golf course improvements. To address these funding challenges, actual expenses are closely being monitored and staff is working to have current projects come in under budget. Projects planned for FY2016-17 are dependent upon the health of the Golf Course Operations Fund and may not move forward if this funding source is depleted. In FY2016-17, a negative fund balance is being displayed on the fund summary.

Local Transportation Fund



Local Transportation Fund Overview

The Local Transportation Fund is used for transit, bikeway and Transportation Systems Management (TSM) programs, and capital improvements. This fund accounts for the activities associated with the operations and maintenance of the City's public transit activities and includes services for the elderly and the handicapped. Transportation Development Act (TDA) law requires the City maintain at least a 15 percent fare box recovery ratio. Once transit needs determined reasonable to meet have been budgeted, funds may also be used for streets and roads. The enterprise funds associated with local transportation include:

- Local Transportation Fund
- Transit Project Fund
- Consolidated Transportation Service Agency Fund

Revenue

State sales taxes, passenger fares, and federal grants typically account for 80 percent or more of the total revenues for the Local Transportation Fund. Two key sources of money allocated to the City's Local Transportation Fund each year are derived from different state sales taxes enabled by the Transportation Development Act (TDA). These are revenues from State Transit Assistance (STA) and Local Transportation Fund (LTF) accounts. The LTF allocation to the City of Roseville is derived from a ¼-cent of the general sales tax collected in Placer County and distributed based upon the City's population. The STA allocation is derived from the statewide sales tax on diesel fuel

and is distributed using a formula based upon population and transit fares. Passenger fares, federal and state grants, and bus advertising are other sources of revenue.

Expense Information

Eighty-five percent of all operating expenses in the Local Transportation Fund are Roseville Transit operations, e.g. contractor expenses, vehicle maintenance, fuel, and administration. The other 15 percent of all expenses in the Local Transportation Fund are primarily administration for bikeway and TSM program activities, but also include capital projects for new bikeways. Administrative activities include project management for new bikeway projects, maintenance and operations oversight of all bikeways in the City's open space areas, educational activities for bicyclists and pedestrians, and on-going implementation of the City's TSM Ordinance.



LOCAL TRANSPORTATION FUNDS

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED OPERATING REVENUES			
Passenger Fares and Services	\$ 1,035,368	\$ 1,066,200	\$ 1,041,753
LTF Article #4 (PUC § 99260(a))	9,065,711	7,364,619	6,853,447
Transportation Assistance Funds	432,826	543,041	543,041
Federal Dept of Transportation	413,678	760,000	0
California Air Resources Board	0	0	162,000
State Bonds and Grants	2,144,829	3,084,224	0
Federal Reimbursement/Grants	0	816,640	0
Reimbursements	3,536	3,300	2,220
Interest	68,645	52,660	90,000
Donations/Gifts	6,875	6,000	6,000
Sale of Surplus Property	45,220	40,000	20,000
Advertising	96,158	100,000	100,000
Non-Construction Contribution from Developers	19,745	0	0
Miscellaneous	49,954	25,000	27,250
Roadway Fund	0	342,151	0
General Fund	44,482	52,000	60,000
Prior Year Operating Carryover	0	2,118	0
Total Estimated Operating Revenues and Transfers In	13,427,027	14,257,953	8,905,711
LESS ESTIMATED OPERATING EXPENDITURES			
Operating Expense	5,823,219	6,342,268	6,605,201
Vehicles	0	75,000	0
Post-Retirement Insurance/Accrual Fund	12,207	11,313	15,035
Indirect Cost	346,583	340,770	341,040
Total Estimated Operating Expenditures and Transfers Out	6,182,009	6,769,351	6,961,276
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES			
CMAQ Grant	394,258	38,875	38,875
Pedestrian Bikeway Funds	255,680	0	20,000
Prior Year CIP Carryover	0	3,368,462	0
Total Estimated Non-Operating Revenues and Transfers In	649,938	3,407,337	58,875
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES			
Capital Improvement Projects	1,933,297	8,759,327	1,540,000
Bus Shelter Replacement	0	0	50,000
General Fund	26,559	0	0
Public Facilities Fund	79,485	130,514	0
Roadway Fund	3,450,000	2,000,000	2,000,000
General CIP Rehabilitation Fund	379	5,705	5,550
Total Estimated Non-Operating Expenditures and Transfers Out	5,489,719	10,895,546	3,595,550
Increase (Decrease) from Operations	2,405,237	394	(1,592,240)
Estimated Beginning Fund Balance	9,757,573	8,792,229	8,792,623
Increase (Decrease) From Operations	2,405,237	394	(1,592,240)
Less Operating Carryover	(2,118)	0	0
Less CIP Carryover	(3,368,462)	0	0
Estimated Ending Fund Balance Before Reserve	8,792,229	8,792,623	7,200,383
Less Operating Reserve	(1,500,000)	(1,500,000)	(1,500,000)
Estimated Unrestricted Ending Fund Balance	\$ 7,292,229	\$ 7,292,623	\$ 5,700,383

TRANSIT PROJECT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 103,922	\$ 135,070	\$ 135,714
ESTIMATED OPERATING REVENUES			
Interest	790	644	1,661
Non-Construction Contribution from Developers	30,358	0	0
Total Estimated Operating Revenues	31,148	644	1,661
Total Estimated Available for Appropriation	135,070	135,714	137,375
ESTIMATED AVAILABLE RESOURCES	<u>\$ 135,070</u>	<u>\$ 135,714</u>	<u>\$ 137,375</u>

CONSOLIDATED TRANSPORTATION SERVICE AGENCY FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 803,831	\$ 863,543	\$ 829,486
ESTIMATED OPERATING REVENUES			
Interest	5,438	4,426	10,931
SB-325 Allocations	326,696	347,000	345,000
Transit Assist Funds	0	30,000	45,000
Federal Dept. of Transportation	79,008	107,200	35,000
Total Estimated Operating Revenues	411,142	488,626	435,931
Total Estimated Available for Appropriation	1,214,974	1,352,169	1,265,417
LESS ESTIMATED EXPENDITURES			
Operating Expense	347,470	512,728	536,128
Total Estimated Expenditures	347,470	512,728	536,128
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	3,961	9,955	8,393
Total Estimated Transfers Out	3,961	9,955	8,393
Total Estimated Expenditures and Transfers Out	351,431	522,683	544,521
RESERVE FOR ENCUMBRANCES	19,678	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 843,865</u>	<u>\$ 829,486</u>	<u>\$ 720,896</u>

School-Age Child Care Fund



School-Age Child Care Fund Overview

The Parks, Recreation & Libraries Department operates Adventure Club, Preschool, After School Education, and Child Development programs from the School-Age Child Care Fund. The Adventure Club program operates in partnership with our school districts to provide before and after school licensed child care at 17 elementary school sites serving approximately 2,000 families. The program offers an affordable and safe environment for students with enrichment activities and homework help. The Preschool program is located on 14 campuses and provides enrichment, socialization, and Kindergarten readiness to over 500 children ages three through five.

Revenue

The most significant sources of revenue include fees for providing before and after school child care and preschool tuition. The ability to generate these fees depends on families' demand for care and desire to participate in preschool. Two main subsidized care options are available through a state grant for child care (available at four locations) and a state contract for enrichment, After School Education & Safety (available at two locations). These subsidized care sites were reorganized from the General Fund back to the School-Age Child Care Fund as recreation programs in FY2015-16.

Expense Information

The majority of fund expenses are direct service delivery including charges for staff, materials, supplies and contractors.

Debt

The Department has implemented changes to ensure child care programs continue to recover full costs. Operationally, non-subsidized child care programs recover 100 percent of direct expenses; however, several other factors affect the fund's debt. Two inter-fund loans exist for new facilities and an aged accounts receivable issue. Additionally, increased cost recovery expectations of City enterprise funds, including indirect charges, workers' compensation expenditures, and PERS and retiree health funding, have placed an additional burden on the fund that child care services cannot support. The FY2015-16 reorganization of subsidized child care programs that were receiving direct General Fund support has also contributed to the fund's negative position. Moving forward, an evaluation of actual activity will be performed at the end of each fiscal year. If the fund is in a negative position, transfers to cover both subsidized and unsubsidized child care programs will be made from the General Fund to balance the fund.

CIPs

The fund has a minor CIP program funded by the General CIP Rehabilitation Fund for facility rehabilitation (for example, HVAC replacement, floor repair) which passes through the fund. As new schools open, the fund incurs CIP expenses for new facilities of approximately \$200,000 per site.

SCHOOL-AGE CHILD CARE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED OPERATING REVENUES			
Adventure Club Program Fees	\$ 3,639,556	\$ 3,711,000	\$ 3,824,000
Preschool Education Program Fees	629,980	676,340	657,990
After School Education - Safety Program (Subsidized Care)	0	210,000	210,000
Child Development Program Fees (Subsidized Care)	0	381,000	435,000
Lease Revenue	2,500	0	2,500
Child Development Grant - State	0	271,184	146,000
Federal Grants	0	0	125,000
Interest	2	0	27,955
Miscellaneous	3,498	0	0
Prior Year Operating Carryover	0	2,821	0
Total Estimated Operating Revenues and Transfers In	4,275,536	5,252,345	5,428,445
LESS ESTIMATED OPERATING EXPENDITURES			
Adventure Club Program	3,215,651	3,801,736	3,828,622
Preschool Education Program	401,848	437,809	435,631
After School Education - Safety Program (Subsidized Care)	0	484,952	446,132
Child Development Program (Subsidized Care)	0	714,046	808,652
Loan Interest Expense	0	0	7,535
Post-Retirement Insurance/Accrual Fund	12,354	146,436	145,664
General CIP Rehabilitation Fund	0	562	0
Indirect Cost	233,585	224,984	219,964
Total Estimated Operating Expenditures and Transfers Out	3,863,439	5,810,525	5,892,199
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES			
Fiddymont Farm AC Building	191,977	0	0
Interfund Loan Principal Payment to Auto Replacement Fund	60,000	60,000	20,000
Total Estimated Non-Operating Expenditures and Transfers Out	251,977	60,000	20,000
Increase (Decrease) from Operations	160,120	(618,180)	(483,754)
Estimated Beginning Fund Balance	260,305	417,604	(200,576)
Increase (Decrease) From Operations	160,120	(618,180)	(483,754)
Less Operating Carryover	(2,821)	0	0
Estimated Available Resources	\$ 417,604	\$ (200,576)	\$ (684,330)

This School-Age Child Care Fund operates as a City enterprise fund and therefore faces unique financial challenges. Operationally, non-subsidized child care programs continue to recover 100% of direct expenses; however, several other factors affect the fund's ability to maintain a positive balance. Increased cost recovery expectations of City enterprise funds, including indirect charges, workers' compensation expenditures, and PERS and retiree health funding, have placed an additional burden on the fund that child care services cannot support. Additionally, in FY2015-16, subsidized child care programs that were receiving direct General Fund support for one year were moved from the General Fund to the School-Age Child Care Fund. This reorganization has also contributed to the fund's negative position. Moving forward, an evaluation of actual activity will be performed at the end of each fiscal year. If the fund is in a negative position, transfers to cover both subsidized and unsubsidized child care programs will be made from the General Fund to balance this fund.

Special Revenue Funds Overview



Special Revenue Funds Overview

These funds are established to collect money that must be used for a specific project. Special Revenue Funds provide an extra level of accountability and transparency to taxpayers that their tax dollars will go toward an intended purpose. Special Revenue Funds are:

- Affordable Housing Fund
- Air Quality Mitigation Fund
- Begin Fund
- Bike Trail Maintenance Fund
- Cal/Home Fund
- Community Development Block Grant Fund
- Downtown Parking Fund
- Fire Facilities Tax Fund
- Gas Tax Fund
- Highway Users Tax (HUT) Fund
- Home Improvement Fund
- Home Investment Partnership Program Fund
- Housing Trust Fund
- Landscape & Lighting and Services District Funds
- Low and Moderate Income Housing Fund
- Miscellaneous Special Revenue Funds
- Native Oak Tree Propagation Fund
- Non-Native Tree Propagation Fund
- Open Space Maintenance Fund
- Roadway Fund
- Storm Water Management Fund

- Supplemental Law Enforcement Fund
- Technology Fee Replacement Fund
- Traffic Safety Fund
- Traffic Signal Coordination Fund
- Trench Cut Recovery Fund
- Utility Exploration Center Fund
- Utility Impact Reimbursement Fund

On the following page are details for four of the larger Special Revenue Funds presented in this section (Fire Facilities Tax Fund, Highway Users Tax Fund, Roadway Fund, and Storm Water Management Fund).

Fire Facilities Tax Fund Details

The Fire Facilities Tax Fund was originally created in 1984 through the Fire Service Construction Tax for the purpose of requiring new construction within the City to contribute to the cost of providing the new fire service facilities and equipment for which it creates a need. On December 31, 2009 the tax ended; however, the terms of the tax continue to survive in various development agreements.

Special Revenue Funds are funds that are established to collect money that must be used for a specific project.

Special Revenue Funds Overview

Revenue

The majority of revenue comes from the surviving Fire Service Construction Tax. It is calculated by multiplying 0.005 by the valuation of the structure for which the permit application is made. It is due at the time of the permit application and is a condition for obtaining a building permit. In addition, grant related revenues are also placed into the fund for correlating grant expenditures as well as additional fire related development agreement fees.

Expense Information

Direct Expenditures - The fund expenditures can only be used for the funding of construction, reconstruction or repair of fire facilities, or the acquisition, repair, or maintenance of fire equipment. Historically, the fund has been the primary source of funding for fire station construction projects. In addition, annual inter-fund loan payments are being made for the purchase of fire apparatus.

Grant Related and Indirect Expenditures - The Fire Department has been very fortunate in the last several years in securing sizable federal grants for such projects as the Fire Station Alerting System and thermal imaging cameras.

The City's General Fund charges the Fire Facilities Tax Fund the prorated share of associated expenses. The City uses an indirect cost allocation process to allocate appropriate departmental costs from the General Fund to all funds that receive benefit from the services that the General Fund departments provide.

Highway Users Tax (HUT) Fund and Roadway Fund Details

The HUT Fund, along with the Roadway Fund, are the City's source of funding for our street maintenance program which includes maintenance of pavements, roadway drainage systems, and roadway resurfacing contracts.

Revenue

The source of annual revenue for the HUT Fund comes from the State Excise Tax paid at the pump. The Roadway Fund derives its annual revenue from Utility Impact Reimbursement (UIR) funds paid by Environmental Utilities for their impact to the City's roadway network, Transportation Development Act funds remaining after funding the City's Transit needs, and Federal RSTP (Regional Surface Transportation Program) funds from the Federal Excise Tax paid at the pump. The amount of HUT Fund revenue the City receives is a function of Roseville's population and roadway miles. As the City grows, the HUT Fund revenues will increase accordingly. Roadway Fund revenues are a function of the actual cost of the previous year's resurfacing

work (UIR portion) and the cost of meeting the City's transit needs (TDA portion).

Expense Information

These funds include costs for staff, materials, and equipment. Also included are the roadway resurfacing contracts that are put out to bid each year. For roadway resurfacing, it is most cost effective for the City crews to perform the prep work (crack filling, pothole repair, etc.) and then contract out the application of the resurfacing material.

The investment in our street infrastructure represents over \$1 billion, so it is critical to protect that investment. The City's goal is to maintain our arterial and collector streets at a Pavement Quality Index (PQI) of 72 or better, and 65 or better for residential streets. PQI is a measure from 1 to 100, with 100 representing a brand new street. Having high quality street pavement is a key element in maintaining the high quality of life Roseville citizens and business owners have come to expect. In order to achieve this goal for all streets in Roseville, approximately \$5 million per year of additional revenue would be required for the next 8 to 10 years.

Stormwater Management Fund Details

The Stormwater Management Fund is a program developed by the City to improve water quality within our local creeks. Under this program, the City educates and involves the community in storm water pollution prevention, requires water quality facilities in development projects, regulates storm water run-off from construction sites, investigates non-storm water discharges and reduces non-storm water run-off from municipal operations.

Revenue

The main source of revenue comes from a transfer from the General Fund. Other revenues come from grants and transfers from Community Facilities Districts, Grants, and Open Space Management Funds.

Expense Information

Approximately half of the fund expenses are dedicated to staff working in the program. The remaining budget is comprised of material, services, and supplies. This includes professional services, advertising, printing, software, tools, training and travel, as well as other internal charges.

AFFORDABLE HOUSING FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,501,156	\$ 3,699,721	\$ 3,747,821
ESTIMATED REVENUES			
Interest	38,228	33,000	66,480
Program Income	33,941	0	40,000
Proceeds from Sleeping Second	233,737	180,000	120,000
In Lieu Affordable Housing Fee	459,799	150,000	100,000
Other Revenue	504,147	0	0
Total Estimated Revenues	1,269,852	363,000	326,480
Total Estimated Revenues and Transfers In	1,269,852	363,000	326,480
Total Estimated Available for Appropriation	3,771,008	4,062,721	4,074,301
LESS ESTIMATED EXPENDITURES			
Program Admin Salaries	44,566	49,398	79,763
Other Operating Expense	0	13,500	0
Deferred Loans	0	250,000	260,000
Total Estimated Expenditures	44,566	312,898	339,763
LESS ESTIMATED TRANSFERS OUT			
Home Investment Partnership Program Fund	3,600	0	0
Low and Moderate Income Housing Fund	20,000	0	0
Indirect Cost	3,121	2,002	3,049
Total Estimated Transfers Out	26,721	2,002	3,049
Total Estimated Expenditures and Transfers Out	71,287	314,900	342,812
ESTIMATED AVAILABLE RESOURCES	\$ 3,699,721	\$ 3,747,821	\$ 3,731,489

AIR QUALITY MITIGATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 278,325	\$ 322,082	\$ 383,629
ESTIMATED REVENUES			
Interest	2,100	1,717	3,941
Mitigation Fee	<u>41,786</u>	<u>60,000</u>	<u>60,000</u>
Total Estimated Revenues	43,886	61,717	63,941
Total Estimated Available for Appropriation	322,211	383,799	447,570
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	<u>129</u>	<u>170</u>	<u>217</u>
Total Estimated Transfers Out	129	170	217
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 322,082</u></u>	<u><u>\$ 383,629</u></u>	<u><u>\$ 447,353</u></u>

BEGIN FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 99,754	\$ 234,568	\$ 204,568
ESTIMATED REVENUES			
Interest	14,814	0	2,016
Program Income	<u>120,000</u>	<u>60,000</u>	<u>0</u>
Total Estimated Revenues	134,814	60,000	2,016
Total Estimated Available for Appropriation	234,568	294,568	206,584
LESS ESTIMATED EXPENDITURES			
Program Expenses	<u>0</u>	<u>90,000</u>	<u>103,500</u>
Total Estimated Expenditures	0	90,000	103,500
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 234,568</u></u>	<u><u>\$ 204,568</u></u>	<u><u>\$ 103,084</u></u>

BIKE TRAIL MAINTENANCE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 189,078	\$ 202,471	\$ 191,768
ESTIMATED REVENUES			
Interest	1,429	1,046	2,345
Total Estimated Revenues	1,429	1,046	2,345
ESTIMATED TRANSFERS IN			
Johnson Ranch LLD Zone B Fund	3,000	3,000	3,000
Johnson Ranch LLD Zone C Fund	3,000	2,500	2,500
Johnson Ranch LLD Zone E Fund	1,103	1,136	1,136
North Central Roseville LLD Zone B Fund	500	515	515
North Central Roseville LLD Zone F Fund	3,376	3,477	3,477
North Central Roseville LLD Zone G Fund	2,295	2,364	2,364
North Roseville CFD #2 Services District Zone A Fund	2,890	3,005	3,125
North Roseville CFD #2 Services District Zone B Fund	2,669	2,776	2,887
North Roseville CFD #2 Services District Zone C Fund	6,699	6,967	7,246
North Roseville CFD #2 Services District Zone E Fund	189	197	205
Stone Point CFD #4 Services District Fund	2,394	2,490	2,590
Stoneridge CFD #1 Services District Fund	30,358	31,572	32,836
Stoneridge Parcel 1 CFD #2 Services District Fund	826	858	894
Woodcreek West CFD #2 Services District Fund	10,009	10,409	10,826
Crocker Ranch CFD #2 Services District Fund	4,455	4,633	4,819
Woodcreek East CFD #2 Services District Fund	6,487	7,017	7,298
Stone Point CFD #2 Services District Fund	3,875	4,030	4,192
Westpark CFD #2 Services District Fund	10,764	11,195	12,315
Fiddymment Ranch CFD #2 Services District Fund	8,424	8,761	9,638
Longmeadow CFD #2 Services District Fund	1,560	1,622	1,687
Infill Services District CFD Fund	5,127	5,332	5,546
Total Estimated Transfers In	110,000	113,856	119,096
Total Estimated Revenues and Transfers In	111,429	114,902	121,441
Total Estimated Available for Appropriation	300,508	317,373	313,209
LESS ESTIMATED EXPENDITURES			
Program Expenses	72,194	124,152	109,112
Total Estimated Expenditures	72,194	124,152	109,112
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	843	1,453	5,062
Vehicle Contribution	25,000	0	0
Total Estimated Transfers Out	25,843	1,453	5,062
Total Estimated Expenditures and Transfers Out	98,037	125,605	114,174
ESTIMATED AVAILABLE RESOURCES	\$ 202,471	\$ 191,768	\$ 199,035

CAL/HOME FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 37,102	\$ 63,953	\$ 63,953
ESTIMATED REVENUES			
Interest	0	0	536
Program Income	26,851	0	0
Total Estimated Revenues	26,851	0	536
Total Estimated Available for Appropriation	63,953	63,953	64,489
LESS ESTIMATED EXPENDITURES			
Cal/Home Programs	0	0	63,000
Total Estimated Expenditures	0	0	63,000
ESTIMATED AVAILABLE RESOURCES	<u>\$ 63,953</u>	<u>\$ 63,953</u>	<u>\$ 1,489</u>

COMMUNITY DEVELOPMENT BLOCK GRANT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ (5,960)	\$ 0	\$ 219,500
ESTIMATED REVENUES			
Community Development Block Grant	360,220	1,160,392	841,342
Housing Program Income	56,435	165,600	11,929
Interest	6,210	6,210	0
Rehabilitation Income	0	41,400	0
Total Estimated Revenues	422,865	1,373,602	853,271
ESTIMATED TRANSFERS IN			
Electric Operations Fund	0	12,500	0
Total Estimated Transfers In	0	12,500	0
Total Estimated Revenues and Transfers In	422,865	1,386,102	853,271
Total Estimated Available for Appropriation	416,905	1,386,102	1,072,771
LESS ESTIMATED EXPENDITURES			
Program Admin Salaries	132,657	139,001	170,989
Other Operating Expenditures	7,629	5,650	8,272
CDBG Programs	270,392	626,722	689,397
Total Estimated Expenditures	410,678	771,373	868,658
LESS ESTIMATED CAPITAL EXPENDITURES			
Historic Old Town Lighting Upgrades	0	119,456	0
Total Estimated Capital Expenditures	0	119,456	0
LESS ESTIMATED TRANSFERS OUT			
Roadway Fund	6,227	275,773	0
Total Estimated Transfers Out	6,227	275,773	0
Total Estimated Expenditures and Transfers Out	416,905	1,166,602	868,658
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	382,729	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ (382,729)</u>	<u>\$ 219,500</u>	<u>\$ 204,113</u>

This fund ended in a negative position in FY2014-15 as the result of the Historic Old Town lighting and Church Street sidewalk CIPs, which were carried forward to FY2015-16, creating the need for a reserve. Both of these projects were reimbursed via grant revenue after the expenditures occurred.

DOWNTOWN PARKING FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 5,665	\$ 9,096	\$ 9,123
ESTIMATED REVENUES			
In Lieu Fees	3,388	0	0
Interest	<u>42</u>	<u>27</u>	<u>111</u>
Total Estimated Revenues	3,430	27	111
INTERFUND LOAN FROM STRATEGIC IMPROVEMENT FUND	0	1,967,137	0
Total Estimated Available for Appropriation	9,096	1,976,260	9,234
LESS ESTIMATED TRANSFERS OUT			
Public Facilities Fund	<u>0</u>	<u>1,967,137</u>	<u>0</u>
Total Estimated Transfers Out	0	1,967,137	0
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 9,096</u></u>	<u><u>\$ 9,123</u></u>	<u><u>\$ 9,234</u></u>

FIRE FACILITIES TAX FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 4,273,416	\$ 4,911,475	\$ 3,707,449
ESTIMATED REVENUES			
Fire Facilities Tax	1,222,863	1,050,000	1,250,000
Interest	30,792	25,136	53,328
Total Estimated Revenues	1,253,655	1,075,136	1,303,328
Total Estimated Available for Appropriation	5,527,071	5,986,611	5,010,777
LESS ESTIMATED EXPENDITURES			
Interfund Loan Interest Payment to Auto Replacement Fund	9,840	5,774	471
Fire Station 1 Relocation	365,799	1,127,955	0
Total Estimated Expenditures	375,639	1,133,729	471
LESS ESTIMATED TRANSFERS OUT			
Building Improvement Fund	(525)	901,593	0
Indirect Cost	5,074	4,365	3,683
Total Estimated Transfers Out	4,549	905,958	3,683
Total Estimated Expenditures and Transfers Out	380,188	2,039,687	4,154
INTERFUND LOAN PRINCIPAL PAYMENT TO AUTO REPLACEMENT FUND	235,408	239,475	17,134
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	1,749,548	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 3,161,927	\$ 3,707,449	\$ 4,989,489

GAS TAX FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 5,762,900	\$ 5,564,085	\$ 2,155,876
ESTIMATED REVENUES			
State and Federal Bonds and Grants	0	462,525	0
CMAQ Grant	0	784,302	0
Current Services	60	0	0
Interest	44,065	20,000	30,000
Miscellaneous Revenue	1	0	0
Total Estimated Revenues	44,126	1,266,827	30,000
ESTIMATED TRANSFERS IN			
Highway Users Tax Fund	11,657	188,343	0
Total Estimated Transfers In	11,657	188,343	0
Total Estimated Revenues and Transfers In	55,783	1,455,170	30,000
REPAYMENT OF INTERFUND LOAN TO SUCCESSION AGENCY	0	0	80,000
Total Estimated Available for Appropriation	5,818,683	7,019,255	2,265,876
LESS ESTIMATED EXPENDITURES			
Storm Drain Project	11,657	1,766,896	0
Pedestrian Facilities Project	70,091	1,076,106	0
Gas Tax Operating Expenses	0	0	10,000
Street Resurfacing	113,656	2,000,000	0
Total Estimated Expenditures	195,403	4,843,002	10,000
LESS ESTIMATED TRANSFERS OUT			
General Fund	39,456	7,914	45,149
Highway Users Tax Fund	0	0	800,000
Indirect Cost	19,739	12,463	6,643
Total Estimated Transfers Out	59,195	20,377	851,792
Total Estimated Expenditures and Transfers Out	254,598	4,863,379	861,792
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	1,574,822	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 3,989,263	\$ 2,155,876	\$ 1,404,084

HIGHWAY USERS TAX FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 1,534,611	\$ 1,117,059
ESTIMATED REVENUES			
Interest	4,188	5,043	10,000
Accident Expense Recovery	4	0	0
Highway Users Tax	3,611,461	2,680,795	2,624,286
Total Estimated Revenues	3,615,654	2,685,838	2,634,286
ESTIMATED TRANSFERS IN			
Gas Tax Fund	0	0	800,000
Roadway Fund	74,000	0	0
Total Estimated Transfers In	74,000	0	800,000
Total Estimated Revenues and Transfers In	3,689,654	2,685,838	3,434,286
Total Estimated Available for Appropriation	3,689,654	4,220,449	4,551,345
LESS ESTIMATED EXPENDITURES			
Highway Users Tax Operations	1,887	10,000	10,000
Streets Resurfacing - Highway Users	671,563	2,819,698	902,025
Taylor Road Resurfacing Project	1,461,029	0	0
2017 Arterial Resurfacing	0	0	2,850,000
Total Estimated Expenditures	2,134,479	2,829,698	3,762,025
LESS ESTIMATED TRANSFERS OUT			
General Fund	8,907	43,795	43,939
Wastewater Rehabilitation Fund	0	33,000	0
Indirect Cost	0	8,554	6,824
Gas Tax Fund	11,657	188,343	0
Total Estimated Transfers Out	20,563	273,692	50,763
Total Estimated Expenditures and Transfers Out	2,155,042	3,103,390	3,812,788
RESERVE FOR ENCUMBRANCES	4,698	0	0
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	205,788	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,324,125</u>	<u>\$ 1,117,059</u>	<u>\$ 738,557</u>

HOME IMPROVEMENT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 110,539	\$ 111,100	\$ 66,532
ESTIMATED REVENUES			
Interest	604	483	990
Total Estimated Revenues	604	483	990
Total Estimated Available for Appropriation	111,143	111,583	67,522
LESS ESTIMATED EXPENDITURES			
Loan Program	0	45,000	63,000
Total Estimated Expenditures	0	45,000	63,000
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	43	51	617
Total Estimated Transfers Out	43	51	617
Total Estimated Expenditures and Transfers Out	43	45,051	63,617
ESTIMATED AVAILABLE RESOURCES	<u>\$ 111,100</u>	<u>\$ 66,532</u>	<u>\$ 3,905</u>

HOME INVESTMENT PARTNERSHIP PROGRAM FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 37,350	\$ 85,247	\$ 136,352
ESTIMATED REVENUES			
Home Program Revenue	205,425	749,209	632,000
Housing Program Income	<u>142,546</u>	<u>0</u>	<u>60,000</u>
Total Estimated Revenues	347,971	749,209	692,000
ESTIMATED TRANSFERS IN			
Affordable Housing Fund	<u>3,600</u>	<u>0</u>	<u>0</u>
Total Estimated Transfers In	3,600	0	0
Total Estimated Revenues and Transfers In	351,571	749,209	692,000
Total Estimated Available for Appropriation	388,920	834,456	828,352
LESS ESTIMATED EXPENDITURES			
Program Admin Salaries	60,789	98,004	34,937
Home Investment Programs	<u>242,885</u>	<u>600,100</u>	<u>660,000</u>
Total Estimated Expenditures	303,674	698,104	694,937
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 85,247</u></u>	<u><u>\$ 136,352</u></u>	<u><u>\$ 133,415</u></u>

HOUSING TRUST FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,159,732	\$ 1,160,212	\$ 1,166,197
ESTIMATED REVENUES			
Interest	568	6,000	6,000
Total Estimated Revenues	568	6,000	6,000
Total Estimated Available for Appropriation	1,160,299	1,166,212	1,172,197
LESS ESTIMATED EXPENDITURES			
Deferred Loans	0	0	11,000
Total Estimated Expenditures	0	0	11,000
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	87	15	0
Total Estimated Transfers Out	87	15	0
Total Estimated Expenditures and Transfers Out	87	15	11,000
ESTIMATED AVAILABLE RESOURCES	\$ 1,160,212	\$ 1,166,197	\$ 1,161,197

LANDSCAPE & LIGHTING AND SERVICES DISTRICT FUNDS

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 9,400,838	\$ 10,228,972	\$ 10,704,943
ESTIMATED REVENUES			
Historic District LLD Fund	37,507	38,688	50,585
Riverside District LLD Fund	36,922	30,161	33,412
Stone Point CFD #4 Services District Fund	30,419	36,063	41,157
Infill CFD #4 Woodcreek Oaks Preserve Fund	56,526	93,302	59,538
Sierra Vista Services District CFD #2 Fund	212	161	313
Westbrook Services District CFD #2 Fund	22	45,000	61,107
Olympus Point LLD Fund	204,645	264,649	238,700
Northeast Wetlands Fund	560	429	919
NWRSP LLD Fund	598,506	462,208	513,347
SERSP LLD Fund	46,386	47,603	50,526
NCRSP LLD Fund	577,598	592,012	620,611
Infill LLD Fund	24,719	27,673	86,754
North Roseville Services District Fund	399,552	449,416	436,103
Stoneridge CFD #1 Services District Fund	544,847	893,112	616,380
Stoneridge Parcel 1 CFD #2 Services District Fund	25,257	40,268	28,561
Woodcreek West Services District Fund	352,061	368,100	569,410
Crocker Ranch Services District Fund	307,629	341,690	303,813
Highland Reserve North Services District Fund	624,637	943,780	570,517
Vernon Street LLD Fund	33,449	33,932	53,000
Woodcreek East Services District Fund	167,664	251,985	151,363
Stone Point CFD #2 Services District Fund	80,181	115,697	78,414
Westpark CFD #2 Services District Fund	879,783	1,224,292	919,767
Fiddymment Ranch CFD #2 Services District Fund	746,070	853,943	878,186
Municipal Services CFD #3 Fund	1,978,398	2,050,940	2,681,264
Longmeadow CFD #2 Services District Fund	120,746	165,751	111,679
Infill Services CFD Fund	79,046	110,007	106,596
Total Estimated Revenues	7,953,342	9,480,862	9,262,022
Total Estimated Available for Appropriation	17,354,180	19,709,834	19,966,965
LESS ESTIMATED EXPENDITURES			
Historic District LLD Fund	33,728	51,101	46,721
Riverside District LLD Fund	19,298	30,480	30,099
Stone Point CFD #4 Services District Fund	9,531	21,089	26,276
Infill CFD #4 Woodcreek Oaks Preserve Fund	11,545	12,040	11,382
Westbrook Services District CFD #2	0	27,825	57,280
Olympus Point LLD Fund	238,548	254,397	229,707
NWRSP LLD Fund	469,938	714,776	499,587
SERSP LLD Fund	12,038	11,534	11,084
NCRSP LLD Fund	501,724	582,584	585,402
Infill LLD Fund	19,140	45,997	81,248
North Roseville Services District Fund	214,582	344,873	356,239
Stoneridge CFD #1 Services District Fund	402,267	489,014	466,469
Stoneridge Parcel 1 CFD #2 Services District Fund	22,461	25,145	24,016
Woodcreek West Services District Fund	288,320	314,976	518,786
Crocker Ranch Services District Fund	208,206	277,335	272,669
Highland Reserve North Services District Fund	406,099	610,775	475,324
Vernon Street LLD Fund	27,079	41,387	48,563
Woodcreek East Services District Fund	105,331	125,079	124,051
Stone Point CFD #2 Services District Fund	35,991	40,880	43,396
Westpark CFD #2 Services District Fund	615,841	710,676	803,864
Fiddymment Ranch CFD #2 Services District Fund	642,763	710,108	765,862
Municipal Services CFD #3 Fund	29,431	35,184	129,498
Longmeadow CFD #2 Services District Fund	92,863	97,039	102,065
Infill Services CFD Fund	27,792	32,215	31,387
Total Estimated Expenditures	4,434,515	5,606,510	5,740,975
LESS ESTIMATED TRANSFERS OUT			
General Fund	1,956,800	2,380,000	2,540,176
Bike Trail Maintenance Fund	110,000	113,856	119,096
Open Space Maintenance Fund	437,419	466,164	511,014
Stormwater Management Fund	84,867	94,968	91,690
Park Development - NWRSP Fund	101,607	343,393	0
General CIP Rehab Fund	0	0	63,893
Total Estimated Transfers Out	2,690,693	3,398,381	3,325,869
Total Estimated Expenditures and Transfers Out	7,125,208	9,004,891	9,066,844
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	343,393	0	0
RESERVE FOR ENCUMBRANCES	119,038	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 9,766,541	\$ 10,704,943	\$ 10,900,121

LOW & MODERATE INCOME HOUSING FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 68,779	\$ 365,472	\$ 822,707
ESTIMATED REVENUES			
Interest	7,435	6,570	9,900
Program Income	36,330	30,000	0
Other Revenue	27,926	28,800	27,925
Total Estimated Revenues	71,690	65,370	37,825
ESTIMATED TRANSFERS IN			
Affordable Housing Fund	20,000	0	0
Successor Agency Roseville RDA Fund	0	219,715	206,002
Total Estimated Transfers In	20,000	219,715	206,002
Total Estimated Revenues and Transfers In	91,690	285,085	243,827
INTERFUND LOAN PRINCIPAL REPAYMENT FROM REDEVELOPMENT SUCCESSOR AGENCY FUND	245,703	244,399	0
Total Estimated Available for Appropriation	406,173	894,956	1,066,534
LESS ESTIMATED EXPENDITURES			
Low & Moderate Income Successor Agency	40,701	72,249	112,310
Total Estimated Expenditures	40,701	72,249	112,310
ESTIMATED AVAILABLE RESOURCES	<u>\$ 365,472</u>	<u>\$ 822,707</u>	<u>\$ 954,224</u>

MISCELLANEOUS SPECIAL REVENUE FUNDS

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,618,417	\$ 1,841,379	\$ 1,749,475
ESTIMATED REVENUES			
Parks & Recreation Donation Fund	5,531	35,636	37,389
Roseville Youth Sports Coalition Fund	65,643	42,832	47,169
Buckle Up Baby Fund	6,879	9,661	9,841
Harrigan Trust Adult Literacy Fund	1,967	1,583	3,121
Rehabilitation Account Fund	194,668	300,000	300,000
Cable TV PEG Funds	230,999	178,762	214,854
Forfeited Property Fund	58,702	36,207	26,654
Federal Asset Seizure Fund	171	107	959
Police Evidence Funds	184	8,305	17,047
Total Estimated Revenues	564,743	613,093	657,034
Total Estimated Available for Appropriation	2,183,159	2,454,472	2,406,509
LESS ESTIMATED EXPENDITURES			
Buckle Up Baby Fund	9,137	9,500	9,500
Harrigan Trust Adult Literacy Fund	10,000	30,000	20,000
Rehabilitation Account Fund	162,590	300,000	300,000
Cable TV PEG Funds	51,359	249,457	316,210
Forfeited Property Fund	84,494	50,000	50,000
Federal Asset Seizure Fund	0	0	7,788
Police Evidence Funds	0	1	1
Total Estimated Expenditures	317,581	638,958	703,499
LESS ESTIMATED TRANSFERS OUT			
To General Fund from Olympus Point Children's Art Fund	0	39	0
To General Fund from Fire Museum Donation Fund	7	0	0
To Citywide Park Dev. Fund from Roseville Youth Sports Coalition Fund	24,192	66,000	66,000
Total Estimated Transfers Out	24,199	66,039	66,000
Total Estimated Expenditures and Transfers Out	341,780	704,997	769,499
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,841,379</u>	<u>\$ 1,749,475</u>	<u>\$ 1,637,010</u>

NATIVE OAK TREE PROPAGATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,153,497	\$ 1,023,736	\$ 744,129
ESTIMATED REVENUES			
Interest	8,437	6,733	12,392
Revenue from Other Agencies	2,184	0	0
Park Construction Fee	2,714	0	0
Tree Mitigation Fee	42,126	0	20,000
Total Estimated Revenues	55,461	6,733	32,392
Total Estimated Available for Appropriation	1,208,959	1,030,469	776,521
LESS ESTIMATED EXPENDITURES			
General Projects	150,537	282,081	246,300
Total Estimated Expenditures	150,537	282,081	246,300
LESS ESTIMATED TRANSFERS OUT			
General Fund	25,000	0	0
Indirect Cost	9,686	4,259	3,951
Total Estimated Transfers Out	34,686	4,259	3,951
Total Estimated Expenditures and Transfers Out	185,223	286,340	250,251
RESERVE FOR ENCUMBRANCES	45,109	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 978,627	\$ 744,129	\$ 526,270

NON-NATIVE TREE PROPAGATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 908,909	\$ 841,605	\$ 702,638
ESTIMATED REVENUES			
Interest	6,829	5,526	10,147
Tree Mitigation Fee	11,075	0	5,000
Donations/Gifts	35	0	0
Total Estimated Revenues	17,939	5,526	15,147
Total Estimated Available for Appropriation	926,849	847,131	717,785
LESS ESTIMATED EXPENDITURES			
General Projects	57,099	143,001	233,575
Total Estimated Expenditures	57,099	143,001	233,575
LESS ESTIMATED TRANSFERS OUT			
General Fund	25,000	0	0
Indirect Cost	3,144	1,492	2,258
Total Estimated Transfers Out	28,144	1,492	2,258
Total Estimated Expenditures and Transfers Out	85,243	144,493	235,833
RESERVE FOR ENCUMBRANCES	2,600	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 839,005</u>	<u>\$ 702,638</u>	<u>\$ 481,952</u>

OPEN SPACE MAINTENANCE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 746,749	\$ 653,084	\$ 489,917
ESTIMATED REVENUES			
Interest	5,466	3,568	7,043
Total Estimated Revenues	5,466	3,568	7,043
ESTIMATED TRANSFERS IN			
Woodcreek West Endowment Fund	2,661	5,376	5,385
Woodcreek North (Sares) Fund	634	1,275	1,279
North Central Wetlands Endowment Fund	2,058	4,160	4,166
Highland Reserve North Endowment Fund	1,553	3,128	3,138
Commerce Center 65 Preserve Area Fund	832	1,172	1,173
Woodcreek East Longmeadow/Roseville Tech Park Fund	1,356	2,728	2,736
Reason Farms Environmental Preserve Fund	11,399	15,012	15,492
Silverado Oaks Urban Reserve Fund	379	763	765
Open Space Endowments Fund	179	359	361
Johnson Ranch LLD Zone A Fund	12,500	12,500	12,500
Johnson Ranch LLD Zone B Fund	8,000	8,000	8,000
Johnson Ranch LLD Zone C Fund	6,000	5,000	4,500
Johnson Ranch LLD Zone D Fund	213	213	213
Johnson Ranch LLD Zone E Fund	5,517	5,683	5,683
North Central Roseville LLD Zone F Fund	2,252	2,320	2,320
North Central Roseville LLD Zone G Fund	2,295	2,364	2,364
North Roseville CFD #2 Services District Zone A Fund	12,375	6,112	13,385
North Roseville CFD #2 Services District Zone B Fund	5,429	5,646	5,872
North Roseville CFD #2 Services District Zone C Fund	13,625	14,170	14,737
North Roseville CFD #2 Services District Zone E Fund	385	400	416
Stone Point CFD #4 Services District Fund	1,266	1,317	1,370
Infill CFD #4 Woodcreek Oaks Preserve Fund	43,307	45,039	46,841
Stoneridge CFD #1 Services District Fund	99,394	103,370	107,505
Woodcreek West CFD #2 Services District Fund	26,424	27,481	28,581
Crocker Ranch CFD #2 Services District Fund	13,278	13,809	14,362
Highland Reserve North CFD #2 Services District Fund	69,243	71,758	74,629
Woodcreek East CFD #2 Services District Fund	10,330	11,173	11,620
Stone Point CFD #2 Services District Fund	24,284	25,255	26,267
Westpark CFD #2 Services District Fund	28,210	29,338	32,272
Fiddymment Ranch CFD #2 Services District Fund	27,040	48,122	69,398
Longmeadow CFD #2 Services District Fund	2,340	2,433	2,531
Infill Services District CFD Fund	23,712	24,661	25,648
Total Estimated Transfers In	458,470	500,137	545,509
Total Estimated Revenues and Transfers In	463,936	503,705	552,552
Total Estimated Available for Appropriation	1,210,684	1,156,789	1,042,469
LESS ESTIMATED EXPENDITURES AND TRANSFERS OUT			
Open Space Maintenance	543,877	656,281	658,238
General Fund	5,040	0	0
Stormwater Management Fund	0	3,958	0
Indirect Cost	8,684	6,632	12,377
Total Estimated Expenditures and Transfers Out	557,601	666,871	670,615
RESERVE FOR ENCUMBRANCES	19,935	0	0
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	3,958	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 629,190	\$ 489,917	\$ 371,854

ROADWAY FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 3,085,429	\$ 896,919
ESTIMATED REVENUES			
Interest	7,543	9,000	25,000
State and Federal Grants	0	7,223,290	0
Total Estimated Revenues	7,543	7,232,290	25,000
ESTIMATED TRANSFERS IN			
Transit Fund	3,450,000	2,000,000	0
Transportation Fund	0	0	2,000,000
Community Development Block Grant	6,227	275,773	0
Utility Impact Reimbursement Fund	466,500	327,996	9,272
Total Estimated Transfers In	3,922,727	2,603,769	2,009,272
Total Estimated Revenues and Transfers In	3,930,270	9,836,059	2,034,272
Total Estimated Available for Appropriation	3,930,270	12,921,488	2,931,191
LESS ESTIMATED EXPENDITURES			
Roadway Operations	0	10,000	10,000
Streets Resurfacing Roadway Fund	764,614	2,729,425	0
Bridge Preventative Maintenance Project	0	900,000	0
Church Street Sidewalk Project	6,227	275,773	0
2015 RSTP Arterial Micro Surface Project	0	7,200,000	0
2016 ADA/Monument Project	0	100,000	0
2017 Arterial ADA Ramps	0	340,000	0
Total Estimated Expenditures	770,841	11,555,198	10,000
LESS ESTIMATED TRANSFERS OUT			
Highway Users Tax Fund	74,000	0	0
Park Development - NWRSP	0	122,337	0
Transit Fund	0	342,151	0
Indirect Cost	0	4,883	23,740
Total Estimated Transfers Out	74,000	469,371	23,740
Total Estimated Expenditures and Transfers Out	844,841	12,024,569	33,740
RESERVE FOR ENCUMBRANCES	1,507,425	0	0
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	176,710	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 1,401,294	\$ 896,919	\$ 2,897,451

STORMWATER MANAGEMENT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 305,381	\$ 279,888	\$ 166,363
ESTIMATED REVENUES			
State and Federal Bonds/Grants/Reimbursement	13,538	43,951	0
Fines/Fees/Penalties	2,063	0	0
Stormwater Management Fee	13,400	12,000	0
Accident Expense Recovery	8,896	0	0
Bad Debt Recovery	50	0	0
Interest	1,928	750	2,749
Total Estimated Revenues	39,876	56,701	2,749
ESTIMATED TRANSFERS IN			
General Fund	540,282	617,409	565,780
Open Space Maintenance Fund	0	3,958	0
Westpark CFD #2 Services District Fund	48,194	50,121	52,127
Stone Point CFD #4 Services District Fund	6,500	6,760	7,030
Northwest Roseville LLD Zone B Fund	1,278	1,278	1,278
Northwest Roseville CFD #2 Zone A Fund	0	6,758	0
Diamond Creek CFD #1 Fund	2,833	4,218	3,162
Highland Reserve North CFD #2 Services District Fund	9,094	9,458	9,837
Fiddymont CFD #2 Fund	16,764	17,434	18,132
Infill Services District CFD Fund	3,037	3,159	3,286
Total Estimated Transfers In	627,982	720,553	660,632
Total Estimated Revenues and Transfers In	667,858	777,254	663,381
Total Estimated Available for Appropriation	973,239	1,057,142	829,744
LESS ESTIMATED EXPENDITURES			
Stormwater Management Program	654,573	751,739	764,227
Secret Ravine Fish Barrier Removal	0	113,395	0
Total Estimated Expenditures	654,573	865,134	764,227
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	38,778	25,645	65,516
Total Estimated Transfers Out	38,778	25,645	65,516
Total Estimated Expenditures and Transfers Out	693,351	890,779	829,743
RESERVE FOR ENCUMBRANCES	2,000	0	0
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	65,486	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 212,402	\$ 166,363	\$ 1

SUPPLEMENTAL LAW ENFORCEMENT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 102,026	\$ 237,686	\$ 239,571
ESTIMATED REVENUES			
Citizen's Option for Public Safety (COPS) Grant	233,406	100,000	100,000
Interest	2,402	2,092	5,641
Total Estimated Revenues	235,808	102,092	105,641
Total Estimated Available for Appropriation	337,834	339,778	345,212
LESS ESTIMATED TRANSFERS OUT			
General Fund	100,000	100,000	300,000
Indirect Cost	148	207	375
Total Estimated Transfers Out	100,148	100,207	300,375
ESTIMATED AVAILABLE RESOURCES	<u>\$ 237,686</u>	<u>\$ 239,571</u>	<u>\$ 44,837</u>

TECHNOLOGY FEE REPLACEMENT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 152,843	\$ 244,174	\$ 134,937
ESTIMATED REVENUES			
Interest	956	671	2,549
Technology Fee - Permit System	231,251	200,000	200,000
Technology Investment Fee	0	0	370,000
Total Estimated Revenues	232,206	200,671	572,549
ESTIMATED TRANSFERS IN			
General Fund	9,600	30,400	0
Total Estimated Transfers In	9,600	30,400	0
Total Estimated Revenues and Transfers In	241,806	231,071	572,549
Total Estimated Available for Appropriation	394,649	475,245	707,486
LESS ESTIMATED EXPENDITURES			
Permit System Replacement	50,475	235,036	0
Interfund Loan Interest Payment to Public Facilities Fund	0	5,272	2,650
Development Services - Technology Investment Account	0	0	435,000
Total Estimated Expenditures	50,475	240,308	437,650
INTERFUND LOAN PRINCIPAL PAYMENT TO PUBLIC FACILITIES FUND	100,000	100,000	100,000
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	4,636	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 239,538</u>	<u>\$ 134,937</u>	<u>\$ 169,836</u>

TRAFFIC SAFETY FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0
ESTIMATED REVENUES			
Vehicle Code Fines	149,813	90,000	90,000
Parking Violations	53,347	45,000	55,000
Other Court Fines	96,513	60,000	65,000
Total Estimated Revenues	299,672	195,000	210,000
Total Estimated Available for Appropriation	299,672	195,000	210,000
LESS ESTIMATED TRANSFERS OUT			
General Fund	299,672	195,000	210,000
Total Estimated Transfers Out	299,672	195,000	210,000
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>

TRAFFIC SIGNAL COORDINATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,213,770	\$ 2,216,017	\$ 2,207,463
ESTIMATED REVENUES			
Non-Construction Contribution from Developers	46,000	30,000	50,000
Engineering Fees	1,304	0	0
Interest	16,349	13,172	27,018
Total Estimated Revenues	63,653	43,172	77,018
Total Estimated Available for Appropriation	2,277,423	2,259,189	2,284,481
LESS ESTIMATED EXPENDITURES			
Traffic Signal Coordination	59,851	50,000	50,000
Total Estimated Expenditures	59,851	50,000	50,000
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	1,555	1,726	2,471
Total Estimated Transfers Out	1,555	1,726	2,471
Total Estimated Expenditures and Transfers Out	61,406	51,726	52,471
ESTIMATED AVAILABLE RESOURCES	<u>\$ 2,216,017</u>	<u>\$ 2,207,463</u>	<u>\$ 2,232,010</u>

TRENCH CUT RECOVERY FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 133,603	\$ 153,225	\$ 155,973
ESTIMATED REVENUES			
Trench Cut Recovery Fee	18,642	2,000	5,000
Interest	<u>1,037</u>	<u>832</u>	<u>1,814</u>
Total Estimated Revenues	19,679	2,832	6,814
Total Estimated Available for Appropriation	153,282	156,057	162,787
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	<u>57</u>	<u>84</u>	<u>108</u>
Total Estimated Transfers Out	57	84	108
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 153,225</u></u>	<u><u>\$ 155,973</u></u>	<u><u>\$ 162,679</u></u>

UTILITY EXPLORATION CENTER FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 66,790	\$ 97,073	\$ 91,552
ESTIMATED REVENUES			
Recreation Program Revenues	20,980	14,000	9,520
Park and Recreation Use Fees	450	100	25
Rental Revenue	1,320	4,500	3,750
Concession Revenue	8,760	3,750	7,750
From Other Agencies	2,500	0	0
Donations	11,644	12,500	13,500
Interest	217	107	671
Total Estimated Revenues	45,871	34,957	35,216
ESTIMATED TRANSFERS IN			
Solid Waste Operations Fund	79,414	119,864	120,798
Wastewater Operations Fund	79,414	119,864	120,798
Water Operations Fund	79,414	119,864	120,798
Electric Operations Fund	238,242	318,716	362,394
Total Estimated Transfers In	476,484	678,308	724,788
ESTIMATED CAPITAL TRANSFERS IN			
Solid Waste Operations Fund	9,150	128,935	23,666
Wastewater Operations Fund	9,150	128,936	23,667
Water Operations Fund	9,150	128,936	23,667
Electric Construction Fund	0	0	50,000
Electric Operations Fund	9,202	285,630	0
Total Estimated Capital Transfers In	36,651	672,437	121,000
Total Estimated Revenues and Transfers In	559,006	1,385,702	881,004
Total Estimated Available for Appropriation	625,796	1,482,775	972,556
LESS ESTIMATED EXPENDITURES			
Utility Exploration Center Program	438,741	663,515	679,040
Total Estimated Expenditures	438,741	663,515	679,040
LESS ESTIMATED CAPITAL EXPENDITURES			
UEC - Capital Replacement	21,653	654,436	100,000
RUEC School Tour	14,998	18,000	21,000
Total Estimated Capital Expenditures	36,651	672,436	121,000
LESS ESTIMATED TRANSFERS OUT			
Solid Waste Rehabilitation Fund	10,000	10,000	10,000
Post-Retirement Insurance/Accrual Fund	2,506	0	0
Indirect Cost	40,825	45,272	57,874
Total Estimated Transfers Out	53,331	55,272	67,874
Total Estimated Expenditures and Transfers Out	528,723	1,391,223	867,914
RESERVE FOR ENCUMBRANCES	40,875	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 56,198	\$ 91,552	\$ 104,642

UTILITY IMPACT REIMBURSEMENT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,216,263	\$ 1,134,384	\$ 1,245,143
ESTIMATED REVENUES			
Interest	10,587	7,109	14,884
Total Estimated Revenues	10,587	7,109	14,884
ESTIMATED TRANSFERS IN			
Utility Impact Reimbursement - Solid Waste Operations Fund	294,100	301,453	294,100
Utility Impact Reimbursement - Wastewater Operations Fund	669,800	686,545	669,800
Utility Impact Reimbursement - Water Operations Fund	736,100	754,503	736,100
Total Estimated Transfers In	1,700,000	1,742,501	1,700,000
Total Estimated Revenues and Transfers In	1,710,587	1,749,610	1,714,884
Total Estimated Available for Appropriation	2,926,850	2,883,994	2,960,027
LESS ESTIMATED TRANSFERS OUT			
General Fund	1,325,966	1,310,855	1,211,500
Roadway Fund	466,500	327,996	9,272
Total Estimated Transfers Out	1,792,466	1,638,851	1,220,772
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	50,855	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,083,529</u>	<u>\$ 1,245,143</u>	<u>\$ 1,739,255</u>

Capital Project Funds Overview

Capital Project Funds Overview

These funds are used to account for financial resources to be used for the construction or maintenance of major city facilities. Capital Project Funds are:

- Animal Control Shelter Fund
- Blue Oaks Boulevard Fund
- Building Improvement Fund
- City/County Mitigation Fee Fund
- Citywide Park Development Fund
- Citywide Park Development - SVSP Fund
- Citywide Park Development - WRSP Fund
- Community Facility Districts - Construction Funds
- General CIP Rehabilitation Fund
- Park Development Funds (All)
- Pleasant Grove Drainage Basin Construction Fund
- Pooled Unit Park Transfer Fees Fund
- Project Play Fund
- Public Facilities Fund
- Reason Farms Revenue Fund
- Traffic Benefit Fee Fund
- Traffic Mitigation Fund
- Westpark Drive Fund

The following are details for two of the larger Capital Project funds presented in this section (Park Development Funds and Traffic Mitigation Fund).

Park Development Funds Details

The City of Roseville specific plans require each plan area to provide for the development costs of citywide/regional parks, neighborhood parks, and bike trails. The specific plan development agreements and accompanying financing plans set the parameters for the future collection of park development fees and to ensure the amount and types of park facilities are constructed as outlined for each plan area. Different funds are used to segregate and account for the fees, which cannot be used for any other purpose.

The main sources of revenue are development fees which are assessed at building permit issuance; citywide park development fees, neighborhood park development fees, and bike trail fees. Other revenues include paseo fees, in-lieu fees (in-lieu of land dedication) and interest.

The major fund expenses are capital improvement projects for citywide park, neighborhood park or bike trail development. Typical costs include design, grading, construction, permits, and inspections. City indirect charges account for less than 2 percent of expenses.

Inter-fund loans are sometimes used to bridge the timing gap between collection of fees and CIP construction.

Traffic Mitigation Fund Details

The Traffic Mitigation Fund is the City's primary source of funds for capacity improvements to our roadway network. The source of revenue for the Traffic Mitigation Fund is traffic impact fees paid by developers when they are issued building permits. The fee is based on the amount of traffic generated by land development and the cost of the roadway projects contained in the roadway related CIPs. This method complies with Assembly Bill 1600 which requires a nexus between the impact fee and the impact caused by land development. Although traffic impact fees paid by developers comprise the majority of revenues for capacity improvements to the City's roadway network, the City also participates in federal and state grant programs when available.

The City's roadway capital improvement program is comprised of about \$400 million in roadway improvements that have been identified as being needed to handle the volume of traffic once Roseville is completely built out and areas surrounding Roseville are developed to market levels at some future horizon year (typically around 20 years into the future). Traffic modeling software is used to forecast those future traffic volumes. In determining the projects for the CIP, the City targets threshold for traffic congestion as adopted by the City Council, which is to maintain Level of Service C or better during the p.m. peak hour at 70 percent or more of our signalized intersections.

The citywide roadway capital improvement and traffic impact fee program were adopted by the City Council in 1989. Since then, the City has constructed over \$200 million in roadway improvements. Examples of some of the major roadway CIPs that have been constructed are:

- Harding Boulevard Overcrossing (over Atlantic Street)
- Old Auburn Road Realignment
- Pleasant Grove Overcrossing (over the Union Pacific railroad tracks)
- Atlantic Street Widening
- Roseville Parkway/I-80 Overcrossing
- Douglas/I-80 Tunnel and Flyover
- Fiddymont Road Widening
- Eureka/I-80/Taylor Improvements
- Intelligent Transportation System (ITS) and Traffic Operations Center (TOC)

Since traffic impact fees are paid when building permits are pulled, the Traffic Mitigation revenue stream varies each year based on the rate of land development. When land development is booming, Traffic Mitigation revenues can range from \$10 million to \$11 million per year. When land development is slow, Traffic Mitigation revenues hover around \$1 million to \$1.5 million per year. During a downed economy, it takes longer to save up enough money to construct roadway projects. But by the same token, a lower rate of land development means traffic volumes are not growing as rapidly.

ANIMAL CONTROL SHELTER FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 701,551	\$ 877,649	\$ 33,237
ESTIMATED REVENUES			
Animal Control Shelter Fee	170,820	140,000	0
Interest	5,278	4,373	0
Total Estimated Revenues	176,098	144,373	0
Total Estimated Available for Appropriation	877,649	1,022,022	33,237
LESS ESTIMATED TRANSFERS OUT			
Strategic Improvement Fund	0	988,785	0
Total Estimated Transfers Out	0	988,785	0
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	118,301	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 759,348</u>	<u>\$ 33,237</u>	<u>\$ 33,237</u>

BLUE OAKS BOULEVARD FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 542,593
ESTIMATED REVENUES			
Interest	0	3,385	6,000
Contribution in Aid of Construction	<u>0</u>	<u>539,208</u>	<u>160,000</u>
Total Estimated Revenues	0	542,593	166,000
Total Estimated Available for Appropriation	0	542,593	708,593
LESS ESTIMATED CAPITAL EXPENDITURES			
Blue Oaks Boulevard Project	<u>0</u>	<u>0</u>	<u>700,000</u>
Total Estimated Capital Expenditures	0	0	700,000
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 0</u></u>	<u><u>\$ 542,593</u></u>	<u><u>\$ 8,593</u></u>

BUILDING IMPROVEMENT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,822,933	\$ 1,827,559	\$ 71,386
ESTIMATED REVENUES			
Interest	13,572	11,026	22,046
Miscellaneous	0	400,000	0
Total Estimated Revenues	13,572	411,026	22,046
ESTIMATED TRANSFERS IN			
Fire Facilities Tax Fund	(525)	901,593	0
Strategic Improvement Fund	309,553	0	0
General CIP Rehabilitation Fund	299,046	490,940	315,000
Total Estimated Transfers In	608,074	1,392,533	315,000
Total Estimated Revenues and Transfers In	621,646	1,803,559	337,046
Total Estimated Available for Appropriation	2,444,579	3,631,118	408,432
LESS ESTIMATED CAPITAL EXPENDITURES			
Blue Oaks Fire Station	0	1,451,593	0
Main Library Remodel - First Floor	299,046	453,365	315,000
Fire Station - WRSP	(525)	0	0
Hotel Conference Center	309,553	0	0
Total Estimated Capital Expenditures	608,074	1,904,958	315,000
LESS ESTIMATED TRANSFERS OUT			
Strategic Improvement Fund	0	1,650,000	0
Indirect Cost	8,946	4,774	6,555
Total Estimated Transfers Out	8,946	1,654,774	6,555
Total Estimated Expenditures and Transfers Out	617,020	3,559,732	321,555
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	150,000	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 1,677,559	\$ 71,386	\$ 86,877

CITY/COUNTY MITIGATION FEE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 1,224,000
ESTIMATED REVENUES			
Mitigation Fee	0	1,224,000	300,000
Interest	<u>0</u>	<u>0</u>	<u>7,361</u>
Total Estimated Revenues	0	1,224,000	307,361
Total Estimated Available for Appropriation	0	1,224,000	1,531,361
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 0</u></u>	<u><u>\$ 1,224,000</u></u>	<u><u>\$ 1,531,361</u></u>

CITYWIDE PARK DEVELOPMENT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 4,698,242	\$ 5,475,739	\$ 2,069,006
ESTIMATED REVENUES			
Interest	35,063	8,000	67,064
Park Construction Fee	499,238	466,850	487,758
In Lieu Park Fee	329,524	293,100	48,780
Open Space In Lieu Fee	2,120	1,514	0
Reimbursement	0	30,000	0
Other Revenue	5,000	0	0
Total Estimated Revenues	870,945	799,464	603,602
ESTIMATED TRANSFERS IN			
Roseville Youth Sports Coalition Fund	24,192	66,000	66,000
Citywide Park Development - WRSP Fund	0	20,000	0
Park Development - NCRSP Fund	0	97,188	0
Total Estimated Transfers In	24,192	183,188	66,000
Total Estimated Revenues and Transfers In	895,138	982,652	669,602
Total Estimated Available for Appropriation	5,593,380	6,458,391	2,738,608
LESS ESTIMATED CAPITAL EXPENDITURES			
Youth Sports Coalition Annual Projects	24,192	66,000	66,000
Park Site 56 - Gibson Park	0	108,738	0
Maidu Interpretive Center Permanent Building Exhibits	0	23,281	0
Storage Units	0	102,000	0
Total Estimated Capital Expenditures	75,959	2,118,013	66,000
LESS ESTIMATED TRANSFERS OUT			
Reason Farms Revenue Fund	0	75,000	0
Park Development - SRSP Fund	36,725	2,189,709	0
Indirect Cost	4,958	6,663	12,650
Total Estimated Transfers Out	41,683	2,271,372	12,650
Total Estimated Expenditures and Transfers Out	117,641	4,389,385	78,650
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	3,617,534	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,858,205</u>	<u>\$ 2,069,006</u>	<u>\$ 2,659,958</u>

CITYWIDE PARK DEVELOPMENT - SVSP FUND

	<u>FY2014-15</u>	<u>Amended Budget FY2015-16</u>	<u>Budget FY2016-17</u>
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0
ESTIMATED REVENUES			
Park Construction Fee	0	0	101,940
In Lieu Fee	0	0	79,920
Interest	<u>0</u>	<u>0</u>	<u>513</u>
Total Estimated Revenues	0	0	182,373
Total Estimated Available for Appropriation	0	0	182,373
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 182,373</u>

CITYWIDE PARK DEVELOPMENT - WRSP FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 8,221,155	\$ 9,292,928	\$ 4,914,978
ESTIMATED REVENUES			
Park Construction Fee	1,028,951	951,815	1,480,127
Interest	61,997	48,500	111,183
Total Estimated Revenues	1,090,948	1,000,315	1,591,310
Total Estimated Revenues and Transfers In	1,090,948	1,000,315	1,591,310
Total Estimated Available for Appropriation	9,312,103	10,293,243	6,506,288
LESS ESTIMATED EXPENDITURES AND TRANSFERS OUT			
WRSP Dog Park	0	230,000	0
West Roseville Sports Complex	19,175	0	0
Sports Park Complex	0	5,000,000	265,000
F-54 Master Plan	0	0	80,000
WRSP Three-Plex Baseball	0	0	80,000
WRSP Bike Trail	0	128,265	0
Citywide Parks Development Fund	0	20,000	0
Public Facilities Fund	0	0	200,000
Total Estimated Expenditures and Transfers Out	19,175	5,378,265	625,000
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	5,358,265	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 3,934,663</u>	<u>\$ 4,914,978</u>	<u>\$ 5,881,288</u>

COMMUNITY FACILITY DISTRICT FUNDS - CONSTRUCTION FUNDS

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 7,083,567	\$ 6,110,099	\$ 17,067,483
ESTIMATED REVENUES			
Northwest Roseville CFD #1 Construction Fund	351	268	576
Northcentral Roseville CFD #1 Construction Fund	30,244	0	0
North Central Roseville CFD #1 Subcontractor Improvements Fund	0	18,000	15,000
Crocker Ranch CFD #1 Construction Fund	8	0	13
Stoneridge West CFD #1 Construction Fund	21	0	35
Westpark CFD #1 Improvement Fund	977	0	2,066
Fiddymment Ranch CFD #1 Improvement Fund	437	0	1,449
Stone Point CFD #5 Improvement Fund	5,023	3,541	7,175
Fountains CFD #1 Improvement Fund	1	0	2
Automall CFD #1 Improvement Fund	3	0	5
Westbrook CFD #1 Improvement Fund	11,816,085	0	0
HP Campus Oaks CFD #1 Construction Fund	0	19,900,000	0
Total Estimated Revenues	11,853,151	19,921,809	26,321
ESTIMATED TRANSFERS IN			
NCR CFD #1 Special Tax Fund	800,000	800,000	0
Westpark CFD #1 Special Tax Fund	960,336	0	2,317,000
Fiddymment Ranch CFD #1 Special Tax Fund	150,000	300,000	0
Stone Point CFD #5 Special Tax Fund	260	166	0
Total Estimated Transfers In	1,910,596	1,100,166	2,317,000
Total Estimated Revenues and Transfers In	13,763,747	21,021,975	2,343,321
Total Estimated Available for Appropriation	20,847,314	27,132,074	19,410,804
LESS ESTIMATED EXPENDITURES			
North Central Roseville CFD #1 Subcontractor Improvements Fund	45,775	89,896	0
Westpark CFD #1 Improvement Fund	908,527	897,000	83,911
Fiddymment Ranch CFD #1 Improvement Fund	0	650,000	0
Westbrook CFD #1 Improvement Fund	11,816,037	218,900	0
HP Campus Oaks CFD #1 Construction Fund	0	5,000,000	14,900,000
Total Estimated Expenditures	12,770,339	6,855,796	14,983,911
LESS ESTIMATED TRANSFERS OUT			
General Fund	0	0	4,326
Strategic Improvement Fund	0	1,600,000	0
Park Development - NCRSP Fund	0	500,000	0
Traffic Mitigation Fund	1,647,962	1,108,444	251,556
North Roseville CFD #1 Fund	0	351	0
Total Estimated Transfers Out	1,647,962	3,208,795	255,882
Total Estimated Expenditures and Transfers Out	14,418,301	10,064,591	15,239,793
INTERFUND LOAN PRINCIPAL PAYMENT FROM WESTPARK CFD #1 FUND TO WATER CONSTRUCTION, WATER REHAB, AND PLEASANT GROVE DRAINAGE BASIN CONSTRUCTION FUNDS	318,914	0	2,233,090
RESERVE FOR ENCUMBRANCES	4,896	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 6,105,203	\$ 17,067,483	\$ 1,937,921

GENERAL CIP REHABILITATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 11,291,544	\$ 11,471,853	\$ 6,988,833
ESTIMATED REVENUES			
Interest	88,090	73,423	142,082
Total Estimated Revenues	88,090	73,423	142,082
ESTIMATED CAPITAL TRANSFERS IN			
General Fund	2,180,000	1,000,000	1,500,000
Electric Construction Fund	46,097	219,041	599,039
Wastewater Rehabilitation Fund	43,512	153,371	257,054
Water Rehabilitation Fund	34,572	198,909	243,067
Solid Waste Rehabilitation Fund	31,493	111,373	242,553
CFD and LLD Funds	0	0	127,780
Environmental Utilities Engineering Fund	7	12,500	8,672
Transit Fund	379	5,705	5,550
Water Construction Fund	0	0	1,400
Automotive Services Fund	2,868	116,881	0
Golf Course Improvement Fund	0	49,000	0
Park Development NRSP Fund	0	32,700	0
Park Development NCRSP Fund	23,272	26,728	0
Stoneridge Park Development Fund	0	5,001	0
School-Age Child Care Fund	0	562	0
Electric Operations Fund	53,660	0	0
Water Operations Fund	29,556	0	0
Solid Waste Operations Fund	117	0	0
Wastewater Operations Fund	31	0	0
Diamond Oaks Golf Course Fund	1	0	0
Woodcreek Oaks Golf Course Fund	1	0	0
Total Estimated Transfers In	2,445,567	1,931,771	2,985,115
Total Estimated Revenues and Transfers In	2,533,657	2,005,194	3,127,197
Total Estimated Available for Appropriation	13,825,201	13,477,047	10,116,030
LESS ESTIMATED CAPITAL EXPENDITURES			
Software and Technology Project	0	1,500,000	1,652,780
Facilities Rehab Project	505,430	1,208,425	1,100,000
IT Rehab Project	483,250	840,346	735,000
Parks Rehab Project	589,300	919,175	400,000
Police Rehab Project	0	277,000	119,000
Fire Equipment Rehab Project	121,312	50,000	72,400
City Parking Lot Rehab Project	406	99,594	50,000
800 MHz Radio System Replacement	0	55,000	25,000
Fire Station #4 Improvements	13,977	158,873	0
Police Department Radio Upgrades	0	33,223	0
Total Estimated Capital Expenditures	1,713,675	5,141,636	4,154,180
LESS ESTIMATED TRANSFERS OUT			
General Fund	175,766	855,638	270,000
Building Improvement Fund	299,046	490,940	315,000
Park Development - Infill Fund	164,862	0	50,000
Total Estimated Transfers Out	639,674	1,346,578	635,000
Total Estimated Expenditures and Transfers Out	2,353,349	6,488,214	4,789,180
RESERVE FOR ENCUMBRANCES	793,773	0	0
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	1,371,283	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 9,306,797	\$ 6,988,833	\$ 5,326,850

PARK DEVELOPMENT - FIDDYMENT44/WALAIRE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 282,345	\$ 284,459	\$ 286,149
ESTIMATED REVENUES			
Interest	2,115	1,690	3,469
Total Estimated Revenues	2,115	1,690	3,469
Total Estimated Available for Appropriation	284,459	286,149	289,618
ESTIMATED AVAILABLE RESOURCES	<u>\$ 284,459</u>	<u>\$ 286,149</u>	<u>\$ 289,618</u>

PARK DEVELOPMENT - HRNSP FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 11,975	\$ 11,938	\$ 11,984
ESTIMATED REVENUES			
Interest	89	54	145
Total Estimated Revenues	89	54	145
Total Estimated Available for Appropriation	12,064	11,992	12,129
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	126	8	8
Total Estimated Transfers Out	126	8	8
ESTIMATED AVAILABLE RESOURCES	<u>\$ 11,938</u>	<u>\$ 11,984</u>	<u>\$ 12,121</u>

PARK DEVELOPMENT - INFILL FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,161,156	\$ 1,076,747	\$ 1,081,540
ESTIMATED REVENUES			
Interest	8,655	7,028	13,120
Neighborhood Park Fee	4,017	0	36,128
From Other Agencies	2,839	0	0
Total Estimated Revenues	15,512	7,028	49,248
ESTIMATED TRANSFERS IN			
General CIP Rehabilitation Fund	164,862	0	50,000
Total Estimated Transfers In	164,862	0	50,000
Total Estimated Revenues and Transfers In	180,374	7,028	99,248
Total Estimated Available for Appropriation	1,341,530	1,083,775	1,180,788
LESS ESTIMATED CAPITAL EXPENDITURES			
Saugstad Skate Track	0	0	150,000
Civic Plaza at Royer Park	0	0	75,000
Saugstad Tennis Courts	263,833	0	0
Dry Creek Erosion at Royer Park	287	0	0
Total Estimated Capital Expenditures	264,121	0	225,000
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	662	2,235	909
Total Estimated Transfers Out	662	2,235	909
Total Estimated Expenditures and Transfers Out	264,783	2,235	225,909
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,076,747</u>	<u>\$ 1,081,540</u>	<u>\$ 954,879</u>

PARK DEVELOPMENT - LONGMEADOW FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 88,243	\$ 29,835	\$ 30,130
ESTIMATED REVENUES			
Interest	528	295	364
Total Estimated Revenues	528	295	364
Total Estimated Available for Appropriation	88,771	30,130	30,494
LESS ESTIMATED EXPENDITURES			
Longmeadow Neighborhood Park	58,936	0	0
Total Estimated Expenditures	58,936	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 29,835</u>	<u>\$ 30,130</u>	<u>\$ 30,494</u>

PARK DEVELOPMENT - NCRSP FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,019,341	\$ 2,005,610	\$ 2,066,488
ESTIMATED REVENUES			
Interest	15,184	12,286	26,669
Total Estimated Revenues	15,184	12,286	26,669
ESTIMATED TRANSFERS IN			
North Central CFD Fund	0	500,000	0
Total Estimated Revenues and Transfers In	15,184	512,286	26,669
Total Estimated Available for Appropriation	2,034,525	2,517,896	2,093,157
LESS ESTIMATED CAPITAL EXPENDITURES			
NC 55B Park Site	35	121,484	0
NC 57 Neighborhood Park	400	199,600	0
Total Estimated Capital Expenditures	435	321,084	0
LESS ESTIMATED TRANSFERS OUT			
Citywide Park Development Fund	0	97,188	0
General CIP Rehabilitation Fund	23,272	26,728	0
Indirect Cost	5,208	6,408	1,446
Total Estimated Transfers Out	28,480	130,324	1,446
Total Estimated Expenditures and Transfers Out	28,915	451,408	1,446
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	418,272	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,587,338</u>	<u>\$ 2,066,488</u>	<u>\$ 2,091,711</u>

PARK DEVELOPMENT - NERSP FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 25,608	\$ 25,786	\$ 25,904
ESTIMATED REVENUES			
Interest	192	134	314
Total Estimated Revenues	192	134	314
Total Estimated Available for Appropriation	25,800	25,920	26,218
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	14	16	18
Total Estimated Transfers Out	14	16	18
ESTIMATED AVAILABLE RESOURCES	<u>\$ 25,786</u>	<u>\$ 25,904</u>	<u>\$ 26,200</u>

PARK DEVELOPMENT - NRSP FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 727,945	\$ 724,631	\$ 494,491
ESTIMATED REVENUES			
Interest	5,427	4,399	8,779
Neighborhood Park Fee	108,705	166,935	162,043
Bike Trail Fee	13,996	21,475	20,850
State Bonds/Grants/Reimbursements	0	175,000	0
Total Estimated Revenues	128,128	367,809	191,672
Total Estimated Available for Appropriation	856,073	1,092,440	686,163
LESS ESTIMATED CAPITAL EXPENDITURES			
Duke Davis Park	0	30,000	0
William "Bill" Hughes Park	131,126	534,803	0
Total Estimated Capital Expenditures	131,126	564,803	0
LESS ESTIMATED TRANSFERS OUT			
General CIP Rehabilitation Fund	0	32,700	0
Indirect Cost	316	446	553
Total Estimated Transfers Out	316	33,146	553
Total Estimated Expenditures and Transfers Out	131,442	597,949	553
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	389,803	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 334,828</u>	<u>\$ 494,491</u>	<u>\$ 685,610</u>

PARK DEVELOPMENT - NRSP II FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 225,209	\$ 339,865	\$ 394,873
ESTIMATED REVENUES			
Neighborhood Park Fee	107,844	51,470	0
Bike Trail Fee	4,224	2,000	0
Interest	2,712	1,636	4,723
Total Estimated Revenues	114,780	55,106	4,723
Total Estimated Available for Appropriation	339,989	394,971	399,596
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	124	98	33
Total Estimated Transfers Out	124	98	33
ESTIMATED AVAILABLE RESOURCES	<u>\$ 339,865</u>	<u>\$ 394,873</u>	<u>\$ 399,563</u>

PARK DEVELOPMENT - NRSP III FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 362,512	\$ 395,775	\$ 220,299
ESTIMATED REVENUES			
Neighborhood Park Fee	20,480	14,620	0
In Lieu Fee	10,605	7,570	0
Interest	2,473	2,039	4,644
Total Estimated Revenues	33,558	24,229	4,644
Total Estimated Available for Appropriation	396,070	420,004	224,943
LESS ESTIMATED CAPITAL EXPENDITURES			
Hamel Park Expansion	295	199,705	0
Total Estimated Expenditures	295	199,705	0
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	199,705	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 196,070</u>	<u>\$ 220,299</u>	<u>\$ 224,943</u>

PARK DEVELOPMENT - NWRSP FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 3,082	\$ 3,098	\$ 2,785
ESTIMATED REVENUES			
Interest	16	0	9
Total Estimated Revenues	16	0	9
ESTIMATED TRANSFERS IN			
NWRSP Landscaping & Lighting District Fund	101,607	343,393	0
Roadway Fund	0	122,337	0
Traffic Mitigation Fund	0	199,263	0
Total Estimated Transfers In	101,607	664,993	0
Total Estimated Revenues and Transfers In	101,623	664,993	9
Total Estimated Available for Appropriation	104,705	668,091	2,794
LESS ESTIMATED CAPITAL EXPENDITURES			
Overflow Parking/Driveway-RAC	101,607	664,993	0
Total Estimated Capital Expenditures	101,607	664,993	0
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	0	313	2,433
Total Transfers Out	0	313	2,433
Total Expenditures and Transfers Out	101,607	665,306	2,433
ESTIMATED AVAILABLE RESOURCES	<u>\$ 3,098</u>	<u>\$ 2,785</u>	<u>\$ 361</u>

PARK DEVELOPMENT - SERSP FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,562	\$ 1,574	\$ 1,574
ESTIMATED REVENUES			
Interest	12	0	19
Total Estimated Revenues	12	0	19
Total Estimated Available for Appropriation	1,574	1,574	1,593
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	0	0	1
Total Capital Expenditures and Transfers Out	0	0	1
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,574</u>	<u>\$ 1,574</u>	<u>\$ 1,592</u>

PARK DEVELOPMENT - SRSP FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,129,532	\$ 1,277,057	\$ 1,279,781
ESTIMATED REVENUES			
Interest	8,138	5,300	15,153
Neighborhood Park Fee	117,549	221,925	319,010
Bike Trail Fee	12,894	24,675	35,435
Contribution in Aid of Construction	10,000	0	0
Total Estimated Revenues	148,581	251,900	369,598
ESTIMATED TRANSFERS IN			
Citywide Park Development Fund	36,725	2,189,709	0
Total Estimated Transfers In	36,725	2,189,709	0
Total Estimated Revenues and Transfers In	185,306	2,441,609	369,598
Total Estimated Available for Appropriation	1,314,838	3,718,666	1,649,379
LESS ESTIMATED CAPITAL EXPENDITURES			
Harry Crabb Park	36,725	2,268,346	0
Stoneridge - Park Sites 2, 3, 4	0	35,000	0
Stoneridge - Park Site 56	0	123,269	30,000
Total Estimated Capital Expenditures	36,725	2,426,615	30,000
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	1,056	7,269	882
General CIP Rehabilitation Fund	0	5,001	0
Total Estimated Transfers Out	1,056	12,270	882
Total Estimated Expenditures and Transfers Out	37,781	2,438,885	30,882
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	236,906	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,040,151</u>	<u>\$ 1,279,781</u>	<u>\$ 1,618,497</u>

PARK DEVELOPMENT - WESTBROOK FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0
ESTIMATED REVENUES			
Neighborhood Park Fee	0	0	122,460
In Lieu Park Fee	0	0	8,460
Bike Trail Fee	0	0	3,780
Interest	0	0	381
Total Estimated Revenues	0	0	135,081
Total Estimated Available for Appropriation	0	0	135,081
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 135,081</u>

PARK DEVELOPMENT - WOODCREEK EAST FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 15,671	\$ 16,337	\$ 16,728
ESTIMATED REVENUES			
Interest	717	450	1,351
Total Estimated Revenue	717	450	1,351
Total Estimated Available for Appropriation	16,388	16,787	18,079
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	51	59	67
Total Estimated Transfers Out	51	59	67
ESTIMATED AVAILABLE RESOURCES	<u>\$ 16,337</u>	<u>\$ 16,728</u>	<u>\$ 18,012</u>

PARK DEVELOPMENT - WRSP FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 7,122,344	\$ 8,982,665	\$ 7,420,272
ESTIMATED REVENUES			
Neighborhood Park Fee	1,261,122	1,165,350	1,806,830
Bike Trail Fee	324,249	299,625	464,246
Paseo Fee	245,920	241,680	438,845
Interest	54,284	45,700	105,922
Total Estimated Revenues	1,885,575	1,752,355	2,815,843
ESTIMATED TRANSFERS IN			
Park Development - WRSP - Bike Trail	0	38,955	0
Citywide Park Development - WRSP Fund	0	128,265	0
Total Estimated Transfers In	0	167,220	0
Total Estimated Revenues and Transfers In	1,885,575	1,919,575	2,815,843
Total Estimated Available for Appropriation	9,007,919	10,902,240	10,236,115
LESS ESTIMATED CAPITAL EXPENDITURES			
WRSP Fiddymont F-83 Bike Trail	143	0	0
WRSP F-83 Trail and PR Site	0	581,751	0
WRSP PCL W-15-Bike Trail	1,537	361,463	0
F-50 School Park	15,389	1,984,611	20,000
W87 Paseo	0	510,155	0
W-50A School/Park	0	0	80,000
Total Estimated Capital Expenditures	17,069	3,437,980	100,000
LESS ESTIMATED TRANSFERS OUT			
Park Development - WRSP	0	38,955	0
Indirect Cost	8,185	5,033	4,658
Total Estimated Transfers Out	8,185	43,988	4,658
Total Estimated Expenditures and Transfers Out	25,254	3,481,968	104,658
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	3,309,715	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 5,672,950</u>	<u>\$ 7,420,272</u>	<u>\$ 10,131,457</u>

PLEASANT GROVE DRAINAGE BASIN CONSTRUCTION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 4,887,935	\$ 5,253,581	\$ 5,094,789
ESTIMATED REVENUES			
Interest	53,118	30,018	91,365
Mitigation Fee	325,550	350,000	350,000
Total Estimated Revenues	378,668	380,018	441,365
INTERFUND LOAN REPAYMENT FROM WESTPARK CFD #1 IMPROVEMENT FUND	0	0	2,233,090
Total Estimated Available for Appropriation	5,266,604	5,633,599	7,769,244
LESS ESTIMATED EXPENDITURES AND TRANSFERS OUT			
Pleasant Grove Retention Basin	8,459	488,339	0
Pleasant Grove Creek Hydraulic Modeling Update	0	43,308	0
Indirect Cost	4,563	7,163	3,730
Total Estimated Expenditures and Transfers Out	13,022	538,810	3,730
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	505,647	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 4,747,934</u>	<u>\$ 5,094,789</u>	<u>\$ 7,765,514</u>

POOLED UNIT PARK TRANSFER FEES FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 39,277	\$ 39,560	\$ 39,750
ESTIMATED REVENUES			
Interest	294	215	482
Total Estimated Revenues	294	215	482
Total Estimated Available for Appropriation	39,572	39,775	40,232
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	12	25	28
Total Estimated Transfers Out	12	25	28
ESTIMATED AVAILABLE RESOURCES	<u>\$ 39,560</u>	<u>\$ 39,750</u>	<u>\$ 40,204</u>

PROJECT PLAY FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 5,655	\$ 5,697	\$ 5,724
ESTIMATED REVENUES			
Interest	42	27	70
Total Estimated Revenues	42	27	70
Total Estimated Available for Appropriation	5,697	5,724	5,794
LESS ESTIMATED TRANSFERS OUT			
General Fund	0	0	5,794
Total Estimated Transfers Out	0	0	5,794
ESTIMATED AVAILABLE RESOURCES	<u>\$ 5,697</u>	<u>\$ 5,724</u>	<u>\$ 0</u>

This fund is closing so transfers out are budgeted in FY2017 to reflect a zero balance.

PUBLIC FACILITIES FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 9,732,982	\$ 12,844,229	\$ 2,423,208
ESTIMATED REVENUES			
Interest	74,107	57,272	102,650
Public Facilities Fee	2,715,864	2,000,000	2,000,000
Total Estimated Revenues	2,789,971	2,057,272	2,102,650
ESTIMATED TRANSFERS IN			
Strategic Improvement Fund	184,330	0	0
Downtown Parking Fund	0	1,967,137	0
Citywide Park Development - WRSP Fund	0	0	200,000
RFA Capital Projects	0	15,050,000	0
Transportation Fund	0	130,514	0
Successor Agency Roseville RDA Fund	118,271	5,714,376	0
Total Estimated Transfers In	302,600	22,862,027	200,000
INTERFUND LOAN REPAYMENT FROM AUTOMOTIVE REPLACEMENT FUND	600,216	4,000,000	0
INTERFUND LOAN PRINCIPAL REPAYMENT FROM TECHNOLOGY FEE REPLACEMENT FUND	100,000	100,000	100,000
Total Estimated Revenues and Transfers In	3,792,787	29,019,299	2,402,650
Total Estimated Available for Appropriation	13,525,769	41,863,528	4,825,858
LESS ESTIMATED EXPENDITURES			
Public Facilities Operating Expenses	14,875	0	0
WRSP Community Center	0	180,222	200,000
Radio Tower - West Plan	35,607	0	0
Vernon Street Town Square	13,707	0	0
Oak Street Parking Garage	118,271	11,881,729	0
Downtown Building - 316 Vernon Street	305,925	26,694,075	0
Downtown Pedestrian Bridge	184,330	320,514	15,000
Total Estimated Expenditures	672,713	39,076,540	215,000
LESS ESTIMATED TRANSFERS OUT			
Indirect Cost	8,826	7,982	14,595
General Fund	0	355,798	867,538
Strategic Improvement Fund	0	0	420,000
Total Estimated Transfers Out	8,826	363,780	1,302,133
Total Estimated Expenditures and Transfers Out	681,539	39,440,320	1,517,133
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	1,816,764	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 11,027,465	\$ 2,423,208	\$ 3,308,725

REASON FARMS REVENUE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 834,520	\$ 898,614	\$ 674,952
ESTIMATED REVENUES			
Lease Revenue	750	0	0
Interest	6,307	5,151	11,130
Agricultural Lease	58,487	62,220	62,220
Total Estimated Revenues	65,544	67,371	73,350
ESTIMATED TRANSFERS IN			
Citywide Park Development Fund	0	75,000	0
Total Estimated Transfers In	0	75,000	0
Total Estimated Revenues and Transfers In	65,544	142,371	73,350
Total Estimated Available for Appropriation	900,064	1,040,985	748,302
LESS ESTIMATED EXPENDITURES			
Reason Farms Environmental Preserve	0	346,033	0
Reason Farms Property Management	1,450	20,000	25,000
Total Estimated Expenditures	1,450	366,033	25,000
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	271,033	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 627,581</u>	<u>\$ 674,952</u>	<u>\$ 723,302</u>

TRAFFIC BENEFIT FEE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 210,556	\$ 289,048	\$ 326,841
ESTIMATED REVENUES			
Traffic Benefit Fee	77,312	36,800	15,000
Interest	1,180	993	3,322
Total Estimated Revenues	78,492	37,793	18,322
Total Estimated Available for Appropriation	289,048	326,841	345,163
ESTIMATED AVAILABLE RESOURCES	<u>\$ 289,048</u>	<u>\$ 326,841</u>	<u>\$ 345,163</u>

TRAFFIC MITIGATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 18,014,398	\$ 22,312,172	\$ 13,520,246
ESTIMATED REVENUES			
Federal and State Bonds and Grants	2,408,312	4,680,367	3,000,000
Interest	127,503	96,433	200,396
Mitigation Fee	3,340,700	2,500,000	2,650,000
Blue Oaks Boulevard Fee	343,040	0	0
Westpark Drive Fee	75,584	0	0
Reimbursement	1,126,375	0	0
Sale of Real Property	1,664,823	0	0
Other Revenues	5,989	0	0
Total Estimated Revenues	9,092,325	7,276,800	5,850,396
ESTIMATED TRANSFERS IN			
NCRCFD #1 - Sub Improvement Construction Fund	1,647,962	1,108,444	251,556
Electric Fund	146,000	0	0
Total Estimated Transfers In	1,793,962	1,108,444	251,556
Total Estimated Revenues and Transfers In	10,886,287	8,385,244	6,101,952
Total Estimated Available for Appropriation	28,900,685	30,697,416	19,622,198
LESS ESTIMATED CAPITAL EXPENDITURES			
Oakridge Bridge Replacement	89,942	453,116	3,000,000
Woodcreek Oaks Widening	0	150,000	1,900,000
Traffic Signals	216,449	977,185	655,000
Pleasant Grove Roseville Parkway Triple Left	0	1,150,000	500,000
Developer Reimbursement - TMF	182,904	1,037,611	500,000
City Traffic Model Update	120,329	186,779	125,000
Traffic Mitigation Operating Expenses	669	10,000	10,000
Cirby/Roseville Road Intersection	244,157	4,284,467	0
Industrial Ave Bridge Replacement	318,719	4,260,696	0
Washington Blvd/Andora Widening	30,638	2,163,986	0
Blue Oaks Widening	655,295	781,883	0
Atkinson/PFE Road Widening	24	708,423	0
Atlantic/Eureka I-80 Westbound On-Ramp	0	600,000	0
Blue Oaks-Pleasant Grove CMS Project	7,487	152,513	0
Oak/Washington Roundabout	2,867,120	0	0
Conference Center Drive Extension	1,793,962	0	0
Eureka/I-80 On-Ramp	21,817	0	0
Special Studies - Roadway Permits	4,154	0	0
Fiddymment Road Widening	72	0	0
Total Estimated Capital Expenditures	6,553,738	16,916,659	6,690,000
LESS ESTIMATED TRANSFERS OUT			
Park Development - NWRSP	0	199,263	0
Indirect Cost	34,775	61,248	41,230
Total Estimated Transfers Out	34,775	260,511	41,230
Total Estimated Expenditures and Transfers Out	6,588,513	17,177,170	6,731,230
RESERVE FOR ENCUMBRANCES	17,185	0	0
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	9,274,107	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 13,020,880	\$ 13,520,246	\$ 12,890,968

WESTPARK DRIVE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 125,324
ESTIMATED REVENUES			
Interest	0	846	3,000
Contribution in Aid of Construction	0	124,478	60,000
Total Estimated Revenues	0	125,324	63,000
Total Estimated Available for Appropriation	0	125,324	188,324
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 125,324</u>	<u>\$ 188,324</u>

Permanent Funds

These funds are used to account for the principal amount of the gifts the organization is required, by agreement with the donor, to maintain intact in perpetuity or until a specific future date or event. Permanent Funds are:

- **City of Roseville Citizen's Benefit Trust Fund** – provides community and REACH grants to non-profit organizations throughout the City. The primary source of annual revenue comes from interest and City of Roseville employee donations.
- **Roseville Aquatics Complex (RAC) Maintenance Fund** – this fund was established to offset costs of maintaining the RAC. The Roseville High School District provided the initial contribution and the interest is spent annually towards maintenance.

Special District Agency Funds

These funds account for assets held by the City as an agent for individuals, governmental entities, and non-public organizations. Special District agency funds are funds used for public infrastructure improvements and maintenance financed by special levies against property holders. They are secured by special taxes assessed on the property owners within the district to pay the principal and interest on the bonds. Other agency funds include the Downtown Roseville Business Improvement District (BID).

Trust Funds

These funds are earmarked for specific programs and purposes in accordance with a statute that designates the fund as a trust. Its statutory designation distinguishes the fund as a trust rather than a special fund. Trust Funds are:

- **General Trust Funds** –used to account for assets held by the City as an agent for individuals, private organizations, and other governments.
- **The OPEB Trust Fund** – used to account for assets held by the City as a trustee agent for the members and beneficiaries of other post-employment benefit plans (retiree medical).
- **Private Purpose Trust Funds** – a collection of trust funds including the Library Endowment Fund and various urban reserve funds.
- **Successor Agency Roseville RDA Fund** - is responsible for unwinding the affairs of the former Redevelopment Agency (RDA). The Successor Agency will continue to oversee completion of redevelopment projects, dispose of assets and properties of the former RDA and meet its enforceable obligations. A seven-member Oversight Board approves the semi-annual Recognized Obligation Payment Schedules (ROPS) that determine the amount

of property tax increment the Successor Agency receives to pay its annual debt service and other enforceable obligations.

Internal Service Funds/Self – Insurance Funds

These funds are used to account for financing of goods and services provided by one department to other City departments on a cost-reimbursement basis. Internal Service Funds are:

- **Automotive Replacement Fund** – provides automotive replacement services for the City's fleet.
- **Automotive Services Fund** – provides maintenance and supplies to the City's fleet.

The source of funds for the Automotive Replacement Fund and Automotive Services Fund are from the General Fund, Electric Funds, Environmental Utilities Funds, and the Transportation Funds.

Self-insurance funds are:

- Dental Insurance Fund
- General Liability Fund
- General Liability Rent Insurance Fund
- Post-Retirement Insurance/Accrual Fund
- Section 125 Fund
- Unemployment Insurance Fund
- Vision Insurance Fund
- Workers' Compensation Fund

These self-insurance funds provide services and benefits to the City and its employees on a cost reimbursement basis. The primary source of revenue is a premium charged to funds that include city salaries.



CITY OF ROSEVILLE CITIZEN'S BENEFIT TRUST FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 17,440,307	\$ 17,441,250	\$ 17,400,139
ESTIMATED REVENUES			
Interest	245,167	230,000	450,710
Donations	<u>7,176</u>	<u>0</u>	<u>0</u>
Total Estimated Revenues	252,343	230,000	450,710
Total Estimated Available for Appropriation	17,692,650	17,671,250	17,850,849
LESS ESTIMATED EXPENDITURES			
Community Grants	245,400	264,611	415,000
REACH Grants	<u>6,000</u>	<u>6,500</u>	<u>11,230</u>
Total Estimated Expenditures	251,400	271,111	426,230
ESTIMATED AVAILABLE RESOURCES	<u>\$ 17,441,250</u>	<u>\$ 17,400,139</u>	<u>\$ 17,424,619</u>

Per Ordinance 3388, Section 4.06.040, the City Council may annually appropriate up to 90% of the annual interest earnings. To smooth out the monies available for grants, a higher percentage can be awarded when not all available interest was awarded in previous years. This allows for a higher award amount in a given fiscal year, while meeting the requirements of the ordinance.

ROSEVILLE AQUATICS COMPLEX MAINTENANCE FUND

	<u>Actual FY2014-15</u>	<u>Amended Budget FY2015-16</u>	<u>Budget FY2016-17</u>
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 23,404	\$ 23,404	\$ 23,404
Total Estimated Available for Appropriation	23,404	23,404	23,404
ESTIMATED AVAILABLE RESOURCES	<u>\$ 23,404</u>	<u>\$ 23,404</u>	<u>\$ 23,404</u>

AGENCY FUNDS

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 56,245,605	\$ 58,137,068	\$ 59,616,562
ESTIMATED REVENUES			
Foothills Blvd. Ext. Assessment	1,376	0	0
N. Rsvl/Rckln Sewer Ref District	14	0	0
Downtown Roseville Prop - Business Improvement	227,334	241,676	248,927
Automall CFD#1 Special Tax Fund	674,152	617,432	578,952
Westbrook CFD#1 Special Tax Fund	2,539,054	823,390	806,239
HP Campus Oaks CFD #1 Special Tax Fund	0	711,399	258
Fiddymment Overlay #5 Special Tax Fund	0	0	55
Northeast Roseville CFD #1 Special Tax Fund	659	0	0
Northeast Roseville CFD #2 Special Tax Fund	870,436	872,602	867,089
Northwest Roseville CFD #1 Special Tax Fund	2,330,894	2,291,599	2,325,068
Northcentral Roseville CFD #1 Special Tax Fund	4,290,018	4,313,145	4,023,597
North Roseville CFD #1 Special Tax Fund	1,787,691	2,359,461	1,527,791
Stoneridge Parcel 1 CFD #1 Special Tax Fund	157,021	158,483	166,069
Highland Reserve North CFD #1 Special Tax Fund	2,647,579	2,642,350	2,715,894
Woodcreek West CFD #1 Special Tax Fund	1,469,585	1,974,389	1,255,864
Crocker Ranch CFD #1 Special Tax Fund	1,531,508	1,488,970	1,523,792
Woodcreek East CFD #1 Special Tax Fund	496,589	501,636	503,417
Stoneridge East CFD #1 Special Tax Fund	1,256,701	1,233,568	1,267,208
Stoneridge West CFD #1 Special Tax Fund	1,041,790	962,473	996,626
Stone Point CFD #1 Special Tax Fund	2,109,439	944,683	804,778
Westpark CFD #1 Special Tax Fund	5,970,346	6,213,000	3,875,567
Fiddymment Ranch CFD #1 Special Tax Fund	6,319,604	5,639,426	5,429,969
Longmeadow CFD #1 Special Tax Fund	614,589	611,744	618,776
Stone Point CFD #5 Special Tax Fund	398,925	364,771	373,189
Diamond Creek CFD #1 Special Tax Fund	478,057	521,775	477,411
Fountains CFD #1 Special Tax Fund	847,813	971,257	813,749
Total Estimated Revenues	38,061,174	36,459,229	31,200,285
ESTIMATED TRANSFERS IN			
NRCFD #1 Special Tax Fund		351	0
Total Estimated Transfers In	0	351	0
Total Estimated Revenues and Transfers In	38,061,174	36,459,580	31,200,285
Total Estimated Available for Appropriation	94,306,779	94,596,648	90,816,849
LESS ESTIMATED EXPENDITURES			
Downtown Roseville Prop - Business Improvement	234,603	248,006	255,606
Automall CFD#1 Special Tax Fund	556,474	555,700	571,041
Westbrook CFD#1 Special Tax Fund	903,925	728,866	801,145
HP Campus Oaks CFD #1 Special Tax Fund	0	711,399	1,095,876
Northeast Roseville CFD#2 Special Tax Fund	859,542	860,464	857,621
Northwest Roseville CFD#1 Special Tax Fund	2,306,327	2,307,131	2,303,396
North Central Roseville CFD#1 Special Tax Fund	3,951,223	3,947,298	3,945,505
North Roseville CFD#1 Special Tax Fund	1,808,165	2,000,403	1,514,399
Stoneridge Parcel 1 CFD#1 Special Tax Fund	154,242	157,198	158,369
Highland Reserve North CFD#1 Special Tax Fund	2,650,168	2,658,759	2,674,577
Woodcreek West CFD#1 Special Tax Fund	1,463,627	1,660,073	1,245,669
Crocker Ranch CFD#1 Special Tax Fund	1,489,536	1,498,160	1,505,312
Woodcreek East CFD#1 Special Tax Fund	502,270	508,295	494,929
Stoneridge East CFD#1 Special Tax Fund	1,241,712	1,244,076	1,246,895
Stoneridge West CFD#1 Special Tax Fund	965,668	967,885	972,839
Stone Point CFD#1 Special Tax Fund	2,374,612	795,524	785,681
Westpark CFD#1 Special Tax Fund	5,461,172	5,567,793	3,798,140
Fiddymment Ranch CFD#1 Special Tax Fund	5,089,796	5,184,627	5,379,742
Longmeadow CFD#1 Special Tax Fund	616,300	617,766	608,269
Stone Point CFD#5 Special Tax Fund	355,517	364,773	360,568
Diamond Creek CFD#1 Special Tax Fund	442,990	450,950	456,474
Fountains CFD#1 Special Tax Fund	828,413	840,556	806,049
Total Estimated Expenditures	34,256,282	33,875,702	31,838,102
LESS ESTIMATED TRANSFERS OUT			
Fiddymment Ranch CFD #1 Improvement Fund frm Fiddymment Rnch CFD #1 Special Tax Fund	150,000	300,000	0
General CIP Rehabilitation Fund for Software and Technology Project	0	0	63,887
NCRCFD#1 Construction Fund frm NCRCFD #1 Special Tax Fund	800,000	800,000	0
Stone Point CFD #5 Improvement Fund from Stone Point CFD #5 Special Tax Fund	260	166	0
Stormwater Management Fund from Diamond Creek CFD #1 Improvement Fund	2,833	4,218	3,162
Westpark CFD #1 Improvement Fund from Westpark CFD #1 Special Tax Fund	960,336	0	2,317,000
Total Estimated Transfers Out	1,913,428	1,104,384	2,384,049
Total Estimated Expenditures and Transfers Out	36,169,711	34,980,086	34,222,151
ESTIMATED AVAILABLE RESOURCES	\$ 58,137,068	\$ 59,616,562	\$ 56,594,698

GENERAL TRUST FUNDS

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 8,960	\$ 9,451	\$ 9,128
ESTIMATED REVENUES			
Merchant Parking Program Fund	490	277	349
Total Estimated Revenues	490	277	349
Total Estimated Available for Appropriation	9,451	9,728	9,477
LESS ESTIMATED EXPENDITURES AND TRANSFERS OUT			
Roseville Volunteer Collaborative Fund to General Fund	0	0	2,131
Merchant Parking Program Fund	0	600	600
Total Estimated Expenditures and Transfers Out	0	600	2,731
ESTIMATED AVAILABLE RESOURCES	<u>\$ 9,451</u>	<u>\$ 9,128</u>	<u>\$ 6,746</u>

OPEB TRUST FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 50,216,148	\$ 55,230,675	\$ 61,613,457
ESTIMATED REVENUES			
Investment Income	1,838,236	2,500,000	1,000,000
Contribution in OPEB	<u>5,663,252</u>	<u>5,868,277</u>	<u>6,379,020</u>
Total Estimated Revenues	7,501,488	8,368,277	7,379,020
LESS ESTIMATED TRANSFERS IN			
Post-Retirement Insurance/Accrual Fund	<u>3,330,849</u>	<u>4,047,782</u>	<u>5,160,677</u>
Total Estimated Transfers In	3,330,849	4,047,782	5,160,677
Total Estimated Revenues and Transfers In	10,832,337	12,416,059	12,539,697
Total Estimated Available for Appropriation	61,048,484	67,646,734	74,153,154
LESS ESTIMATED EXPENDITURES			
OPEB Trust	<u>5,817,809</u>	<u>6,033,277</u>	<u>6,544,020</u>
Total Estimated Expenditures	5,817,809	6,033,277	6,544,020
ESTIMATED AVAILABLE RESOURCES	<u>\$ 55,230,675</u>	<u>\$ 61,613,457</u>	<u>\$ 67,609,134</u>

Investment income is based on a long-term portfolio average of 6.5% and may include income earned, realized, and unrealized gains/losses.

PRIVATE PURPOSE TRUST FUNDS

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,450,354	\$ 1,936,400	\$ 1,916,754
ESTIMATED REVENUES			
Schoolhouse Park - Jackson Monument Fund	23	0	38
Library Endowment Fund	3,601	2,924	220
Woodcreek West Endowment Fund	3,451	3,102	6,534
Woodcreek North (Sares) Fund	951	751	1,553
North Central Wetlands Endowment Fund	2,644	2,400	5,060
Highland Reserve North Endowment Fund	2,334	1,878	3,811
Commercial Center 65 Preserve Area Fund	874	697	1,425
Woodcreek East Longmeadow/Roseville Tech Park Fund	2,035	1,636	3,324
Reason Farms Environmental Preserve Fund	345	268	446
Silverado Oaks Urban Reserve Fund	569	456	930
Open Space Endowments - Miscellaneous	268	215	438
Total Estimated Revenues	17,096	14,327	23,779
Total Estimated Available for Appropriation	2,467,450	1,950,727	1,940,533
LESS ESTIMATED TRANSFERS OUT			
To General Fund from Library Endowment Fund	510,000	0	5,616
To Open Space Maintenance Fund from:			
Woodcreek West Endowment Fund	2,661	5,376	5,385
Woodcreek North (Sares) Fund	634	1,275	1,279
North Central Wetlands Endowment Fund	2,058	4,160	4,166
Highland Reserve North Endowment Fund	1,553	3,128	3,138
Commercial Center 65 Preserve Area Fund	832	1,172	1,173
Woodcreek East Longmeadow/Roseville Tech Park Fund	1,356	2,728	2,736
Reason Farms Environmental Preserve Fund	11,399	15,012	15,492
Silverado Oaks Urban Reserve Fund	379	763	765
Open Space Endowments - Misc Fund	179	359	361
Total Estimated Transfers Out	531,051	33,973	40,111
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,936,399</u>	<u>\$ 1,916,754</u>	<u>\$ 1,900,422</u>

SUCCESSOR AGENCY ROSEVILLE RDA FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 8,674,513	\$ 8,669,498	\$ 3,449,163
ESTIMATED REVENUES			
Secured Taxes	3,483,534	4,213,659	3,402,380
Interest	79,348	63,513	58,188
Program Income	0	4,236	19,368
Bad Debt Offset	(848,703)	0	0
Total Estimated Revenues	2,714,179	4,281,408	3,479,936
Total Estimated Available for Appropriation	11,388,691	12,950,906	6,929,099
LESS ESTIMATED EXPENDITURES			
Successor Agency - RDA Admin	221,309	253,700	256,143
2002 RDA Project Tax Allocation Bond	655,590	0	0
2006A RDA Project Tax Exempt Tax Allocation Bond	642,531	646,525	646,525
2006AT RDA Project Taxable Tax Allocation Bond	256,686	262,913	255,738
2006HT Housing Taxable Tax Allocation Bond	446,406	448,567	447,719
2014 RDA Refunding Project Tax Allocation	132,696	832,688	821,813
Total Estimated Expenditures	2,355,219	2,444,393	2,427,938
LESS ESTIMATED TRANSFERS OUT			
Low & Moderate Income Housing Fund	0	219,715	206,002
Public Facilities Fund	118,271	5,714,376	0
Total Estimated Transfers Out	118,271	5,934,091	206,002
Total Estimated Expenditures and Transfers Out	2,473,490	8,378,484	2,633,940
INTERFUND LOAN PRINCIPAL PAYMENT TO LOW MOD HOUSING FUND	245,703	244,399	0
INTERFUND LOAN PRINCIPAL PAYMENT TO GENERAL FUND	0	878,860	744,010
INTERFUND LOAN PRINCIPAL PAYMENT TO GAS TAX FUND	0	0	80,000
RESERVE FOR ENCUMBRANCES	5,400	0	0
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	5,714,376	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 2,949,722</u>	<u>\$ 3,449,163</u>	<u>\$ 3,471,149</u>

AUTOMOTIVE REPLACEMENT FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 38,405,976	\$ 31,314,978	\$ 24,190,472
ESTIMATED REVENUES			
Automotive Replacement	5,684,976	6,606,922	5,813,243
Interest	331,409	260,774	208,006
Miscellaneous	440,426	0	0
Total Estimated Revenues	6,456,811	6,867,696	6,021,249
ESTIMATED INTERFUND LOAN PRINCIPAL REPAYMENTS			
School-Age Child Care Fund	60,000	60,000	20,000
Fire Facilities Tax Fund	235,408	239,475	17,134
Golf Operations Fund	127,000	127,000	127,000
Total Estimated Loan Repayments	422,408	426,475	164,134
Total Estimated Revenues and Loan Repayments	6,879,218	7,294,171	6,185,383
Total Estimated Available for Appropriation	45,285,195	38,609,149	30,375,855
LESS ESTIMATED EXPENDITURES			
Vehicle Replacement	7,346,547	14,335,032	4,872,511
Less Operating Transfers In:			
General Fund	0	0	218,375
Electric Operations Fund	44,699	0	0
Water Operations Fund	0	0	349,727
Wastewater Operations Fund	0	0	447,720
Solid Waste Operations Fund	0	0	560,364
Bike Trail Maintenance Fund	25,000	0	0
Subtotal Operating Transfers In:	69,699	0	1,576,186
Total Estimated Expenditures	7,276,848	14,335,032	3,296,325
LESS ESTIMATED TRANSFERS OUT			
General Fund	5,966	11,681	0
Workers' Compensation Fund	4,633,219	0	0
General Liability Fund	1,172,079	0	0
Traffic Signal Rehabilitation Fund	0	0	3,187
Traffic Signal Construction Fund	0	0	591,830
Post-Retirement Insurance/Accrual Fund	0	0	17,100
Pleasant Grove Drainage Basin Construction Fund	250,000	0	0
Electric Construction Fund	0	0	3,544,113
Indirect Cost	31,889	71,964	137,281
Total Estimated Transfers Out	6,093,153	83,645	4,293,511
Total Estimated Expenditures and Transfers Out	13,370,000	14,418,677	7,589,836
INTERFUND LOAN TO PUBLIC FACILITIES FUND	600,216	0	0
RESERVE FOR ENCUMBRANCES	5,573,637	0	0
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	11,681	0	0
ESTIMATED AVAILABLE RESOURCES	\$ 25,729,660	\$ 24,190,472	\$ 22,786,019

AUTOMOTIVE SERVICES FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ (1,176,027)	\$ (957,332)	\$ (887,014)
ESTIMATED INVENTORY	\$ 806,281	\$ 653,998	\$ 653,998
ESTIMATED REVENUES			
Interest	15,893	7,914	16,790
Vehicle Usage Charge	7,098,297	7,366,304	7,241,897
Administrative Fee	2,400	0	2,400
From Other Agencies	31,469	48,000	30,000
Reimbursement	5,657	13,000	13,000
Other Revenue	2,791	0	0
Total Estimated Revenues	7,156,507	7,435,218	7,304,087
Total Estimated Available for Appropriation	6,786,762	7,131,884	7,071,071
LESS ESTIMATED EXPENDITURES			
Mechanical Maintenance	5,963,352	6,386,386	6,095,921
Total Estimated Expenditures	5,963,352	6,386,386	6,095,921
LESS ESTIMATED TRANSFERS OUT			
General Fund	7,475	14,632	0
General CIP Rehabilitation Fund	2,868	116,881	0
Post-Retirement Insurance/Accrual Fund	239,768	181,445	203,610
Indirect Cost	876,632	665,556	584,255
Total Estimated Transfers Out	1,126,744	978,514	787,865
Total Estimated Expenditures and Transfers Out	7,090,096	7,364,900	6,883,786
RESERVE FOR CAPITAL IMPROVEMENT PROJECTS	14,632	0	0
ESTIMATED AVAILABLE RESOURCES AND INVENTORY	<u>\$ (317,966)</u>	<u>\$ (233,016)</u>	<u>\$ 187,285</u>

This fund was negative in FY2014-15 and FY2015-16 due to lower than projected revenues and higher than projected expenditures in FY2012-13. Beginning in FY2014-15, auto rental rates were increased annually. As a result the fund balance is positive in FY2016-17.

DENTAL INSURANCE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 728,327	\$ 835,235	\$ 417,655
ESTIMATED REVENUES			
Interest	4,839	4,131	9,170
Insurance Premium	1,469,471	1,569,331	1,593,467
Total Estimated Revenues	1,474,310	1,573,462	1,602,637
Total Estimated Available for Appropriation	2,202,637	2,408,697	2,020,292
LESS ESTIMATED EXPENDITURES			
Dental Claims and Services	1,365,776	1,987,219	1,605,358
Indirect Cost	1,626	3,823	2,618
Total Estimated Expenditures	1,367,402	1,991,042	1,607,976
RESERVE FOR ENCUMBRANCES	168,947	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 666,288</u>	<u>\$ 417,655</u>	<u>\$ 412,316</u>

GENERAL LIABILITY FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,500,598	\$ 3,434,986	\$ 2,649,262
ESTIMATED REVENUES			
Interest	21,836	16,820	60,471
Accident Expense Recovery	2,693	0	0
Self-Insurance Premium	1,550,619	2,204,346	2,675,003
Other Revenue	28,533	0	0
Total Estimated Revenues	1,603,680	2,221,166	2,735,474
ESTIMATED TRANSFERS IN			
General Fund	774,475	0	0
Automotive Replacement Fund	1,172,079	0	0
General Liability Rent Insurance Fund	0	0	33,135
Total Estimated Transfers In	1,946,554	0	33,135
Total Estimated Revenues and Transfers In	3,550,234	2,221,166	2,768,609
Total Estimated Available for Appropriation	6,050,832	5,656,152	5,417,871
LESS ESTIMATED EXPENDITURES			
Self-Insurance Claims and Services	2,015,972	2,844,609	2,674,642
Indirect Cost	6,263	2,282	17,617
Total Estimated Expenditures	2,022,235	2,846,891	2,692,259
LESS ESTIMATED TRANSFERS OUT			
General Fund	0	160,000	42,000
Total Estimated Transfers Out	0	160,000	42,000
Total Estimated Expenditures and Transfers Out	2,022,235	3,006,891	2,734,259
RESERVE FOR ENCUMBRANCES	9,675	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 4,018,922</u>	<u>\$ 2,649,262</u>	<u>\$ 2,683,612</u>

GENERAL LIABILITY - RENT INSURANCE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 32,736	\$ 32,981	\$ 33,169
ESTIMATED REVENUES			
Interest	245	188	402
Total Estimated Revenues	245	188	402
Total Estimated Available for Appropriation	32,981	33,169	33,571
LESS ESTIMATED TRANSFERS OUT			
General Liability Fund	0	0	33,135
Total Estimated Transfers Out	0	0	33,135
ESTIMATED AVAILABLE RESOURCES	<u>\$ 32,981</u>	<u>\$ 33,169</u>	<u>\$ 436</u>

POST-RETIREMENT INSURANCE/ACCRUAL FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,806,762	\$ 2,441,146	\$ 2,535,398
ESTIMATED REVENUES			
Interest	13,692	10,006	15,287
Self-Insurance Premium	3,012,475	4,240,572	4,450,340
HSA Fire OPEB	25,079	0	42,000
Total Estimated Revenues	3,051,246	4,250,578	4,507,627
ESTIMATED TRANSFERS IN			
Utility Exploration Center Fund	2,506	0	0
Electric Operations Fund	1,068,936	765,679	807,261
Traffic Signals Maintenance Fund	15,031	15,407	12,539
School-Age Child Care Fund	12,354	146,436	145,664
Local Transportation Fund	12,207	11,313	15,035
Golf Course Operations Fund	3,555	3,640	3,668
Water Operations Fund	475,587	301,191	309,771
Wastewater Operations Fund	459,440	464,596	384,046
Solid Waste Operations Fund	289,025	280,600	212,041
Water Meter Retrofit Fund	15,031	15,407	15,700
Water EU Engineering Fund	22,572	23,131	31,760
Automotive Services Fund	239,768	181,445	203,610
Vehicle Replacement Fund	0	0	17,100
General Fund	5,651,704	4,772,461	4,497,090
Total Estimated Transfers In	8,267,716	6,981,306	6,655,285
Total Estimated Revenues and Transfers In	11,318,962	11,231,884	11,162,912
Total Estimated Available for Appropriation	14,125,724	13,673,030	13,698,310
LESS ESTIMATED EXPENDITURES			
Retirement Settlements/Insurance	8,267,060	6,981,307	7,155,284
Professional Services	36,306	64,268	0
OPEB Trust Fund	3,330,849	4,047,782	5,160,677
Indirect Cost	50,363	44,276	35,890
Total Estimated Expenditures	11,684,578	11,137,633	12,351,851
RESERVE FOR ENCUMBRANCES	2,268	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 2,438,879</u>	<u>\$ 2,535,398</u>	<u>\$ 1,346,459</u>

SECTION 125 FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 69,528	\$ 68,912	\$ 68,027
ESTIMATED REVENUES			
Interest	308	188	229
Self-Insurance Premium	413,706	450,000	379,840
Total Estimated Revenues	414,014	450,188	380,069
Total Estimated Available for Appropriation	483,542	519,100	448,096
LESS ESTIMATED EXPENDITURES			
Cafeteria Plan Claims	413,801	450,000	379,840
Indirect Cost	829	1,073	633
Total Estimated Expenditures	414,630	451,073	380,473
ESTIMATED AVAILABLE RESOURCES	<u>\$ 68,912</u>	<u>\$ 68,027</u>	<u>\$ 67,623</u>

UNEMPLOYMENT INSURANCE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 303,120	\$ 557,496	\$ 822,975
ESTIMATED REVENUES			
Interest	2,435	1,200	7,071
Self-Insurance Premium	514,602	587,692	120,854
Total Estimated Revenues	517,037	588,892	127,925
Total Estimated Available for Appropriation	820,157	1,146,388	950,900
LESS ESTIMATED EXPENDITURES			
Unemployment Claims	162,566	225,000	150,000
Indirect Cost	96	8,060	7,408
Total Estimated Expenditures	162,662	233,060	157,408
INTERFUND LOAN PRINCIPAL PAYMENT TO WORKERS' COMPENSATION FUND	100,000	90,353	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 557,496</u>	<u>\$ 822,975</u>	<u>\$ 793,492</u>

VISION INSURANCE FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 300,141	\$ 296,644	\$ 197,269
ESTIMATED REVENUES			
Interest	2,128	1,663	3,480
Insurance Premium	158,635	174,415	170,808
Total Estimated Revenues	160,763	176,078	174,288
Total Estimated Available for Appropriation	460,904	472,722	371,557
LESS ESTIMATED EXPENDITURES			
Vision Claims and Services	160,631	271,291	168,000
Indirect Cost	3,629	4,162	1,632
Total Estimated Expenditures	164,260	275,453	169,632
RESERVE FOR ENCUMBRANCES	35,330	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 261,314</u>	<u>\$ 197,269</u>	<u>\$ 201,925</u>

WORKERS' COMPENSATION FUND

	Actual FY2014-15	Amended Budget FY2015-16	Budget FY2016-17
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 7,368,850	\$ 10,988,404	\$ 10,177,589
ESTIMATED REVENUES			
Interest	62,752	49,414	155,335
Workers' Compensation Premium	2,524,249	2,788,350	3,915,608
Miscellaneous Revenue	50	0	0
Workers' Compensation Refund - Emp	59,143	0	0
Workers' Compensation Refund - Other	9,739	0	0
Reimbursement	23,295	0	0
Total Estimated Revenues	2,679,227	2,837,764	4,070,943
ESTIMATED TRANSFERS IN			
General Fund	656,637	0	0
Automotive Replacement Fund	4,633,219	0	0
Total Estimated Transfers In	5,289,856	0	0
Total Estimated Revenues and Transfers In	7,969,083	2,837,764	4,070,943
INTERFUND LOAN PRINCIPAL REPAYMENT FROM UNEMPLOYMENT INSURANCE FUND	100,000	90,353	0
Total Estimated Available for Appropriation	15,437,933	13,916,521	14,248,532
LESS ESTIMATED EXPENDITURES			
Workers' Compensation Claims and Services	3,392,250	3,648,463	3,346,709
General Fund	0	0	300,000
Indirect Cost	85,723	90,469	102,178
Total Estimated Expenditures	3,477,973	3,738,932	3,748,887
RESERVE FOR ENCUMBRANCES	121,550	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 11,838,410</u>	<u>\$ 10,177,589</u>	<u>\$ 10,499,645</u>

Department Operating Budgets - Alphabetical Order

Department Operating Budget

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Department Operating Budgets Overview



Department Operating Budgets Overview

The Department Operating Budget contains the operational costs by department and their programs. The section begins with an “Organization Budget Summary” that outlines all departments and their operational programs. The summary contains two years of data: the current year amended budget along with the new budget year. The full-time equivalent (FTE) employees for both years are presented as a comparison. Following the Organizational Budget Summary is the detail for each department, their programs, and the costs required to fund their programs.

Each department contains an organizational chart and a departmental narrative. The organizational chart displays the overall structure of the department. The departmental narrative describes the services provided by each department including key issues currently faced and goals for the upcoming fiscal year. Following each narrative is the Department Budget Summary and associated Program Budgets.

The Department Budget Summary shows the various programs (also known as divisions) and their expenditures over a three-year period: The prior year actuals, current year budget, and the new budget year. The expenditures are segregated into four categories:

- Salaries, wages, and benefits
- Materials, supplies, and services
- Capital outlays
- Reimbursed expenditures

The salaries, wages, and benefit category includes compensation and benefits paid to employees of the City. Materials, supplies, and services contain costs that

are consumed during the course of the fiscal year and are not of a capital nature. Capital outlays are expenditures which result in acquisitions or additions to fixed assets and represent a betterment or improvement. These expenditures typically have a life of more than two years and cost \$5,000 or more. Reimbursed expenditures serve to reduce the total expenditures of a program. The reimbursements generally result from expenses charged to other programs.

The funding summary for each department shows the funding sources allocated to pay for the programs. This may include more than one fund as well as expenses charged elsewhere via reimbursed expenditures.

Following the Department Budget Summaries are individual Program Performance Budgets for each program within the department. The program budget contains specific and measurable objectives and specifies work volumes to be accomplished and efficiency and effectiveness levels to be achieved. These are compared on a year-to-year basis. Any new positions added in the new budget year or a change in positions (FTE) that has occurred since adoption of the current year budget is noted.

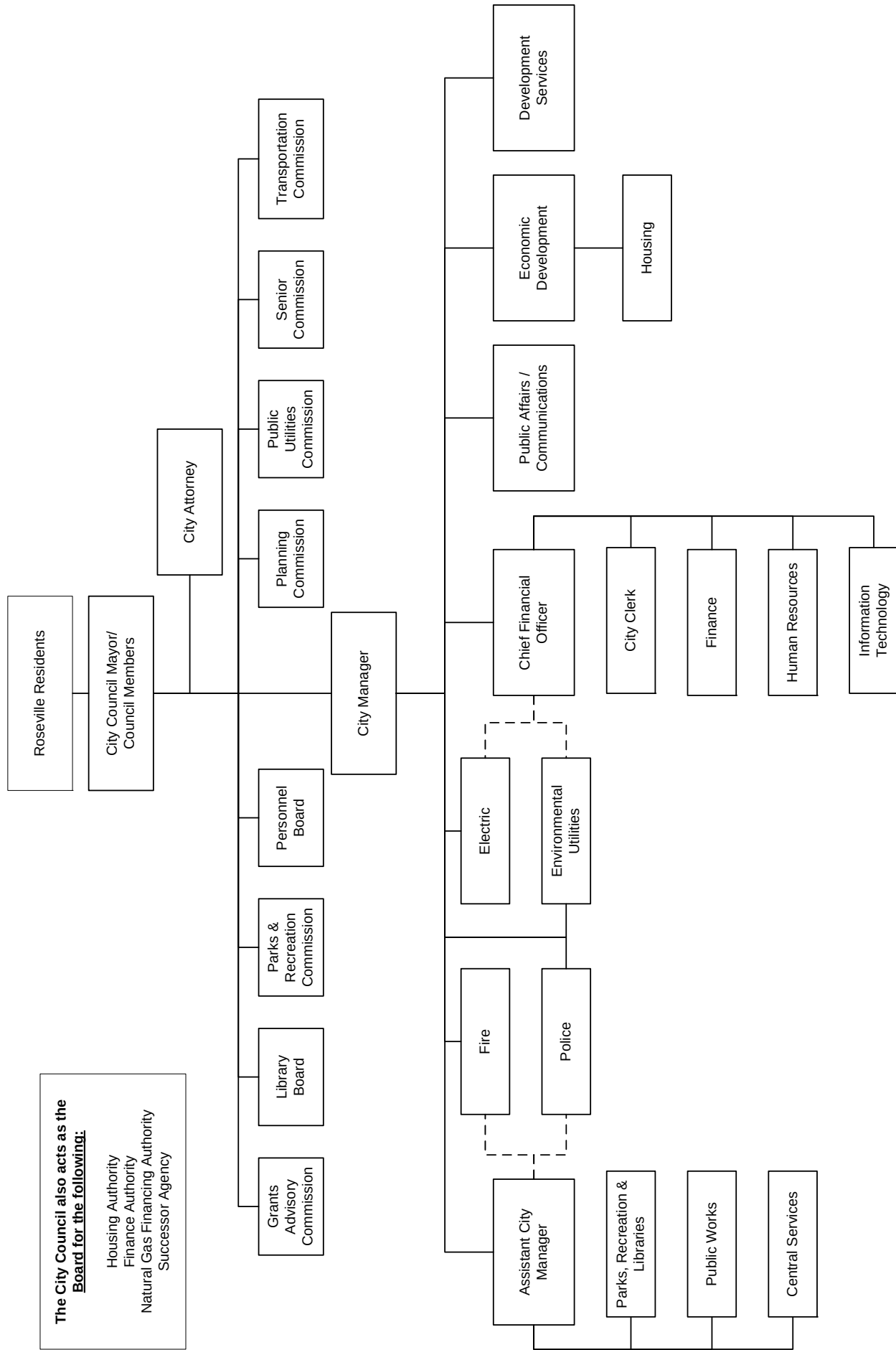
At the end of the Program Performance section is the Divisional Budget Summary. This section summarizes the budgeted appropriations by ORG key as per the budget approval level mandated in the City’s Budget Ordinance. This is the initial spending limit that each ORG key must operate within for each major code category. These appropriation limits are enforced for all of the ORG keys listed as well as against the annual total for each Capital Improvement Project (CIP). The initial budget is adjusted throughout the year via City Council-approved budget adjustments.

ORGANIZATION BUDGET SUMMARY

	FY2015-16		FY2016-17	
	FTE POSITIONS	MID YEAR BUDGET	FTE POSITIONS	BUDGET EXPENDITURES
CITY COUNCIL				
CITY COUNCIL	0.00	383,055	0.00	394,622
LESS: REIMBURSED EXPENDITURES		0		0
SUBTOTAL	0.00	383,055	0.00	394,622
CITY MANAGER				
CITY MANAGEMENT	5.00	1,215,663	5.00	1,127,173
PUBLIC AFFAIRS & COMMUNICATIONS DEPARTMENT	4.00	837,410	4.00	764,168
DEVELOPMENT & OPERATIONS	3.00	962,513	3.00	655,675
LESS: REIMBURSED EXPENDITURES		(64,480)		(68,320)
SUBTOTAL	12.00	2,951,106	12.00	2,478,696
CITY ATTORNEY				
LEGAL SERVICES	9.00	1,904,336	9.00	1,821,687
LESS: REIMBURSED EXPENDITURES		0		0
SUBTOTAL	9.00	1,904,336	9.00	1,821,687
FINANCE				
DEPARTMENT ADMINISTRATION	3.00	699,074	3.00	684,278
BUDGET	3.75	616,254	3.75	664,287
CASH MANAGEMENT & LICENSING	2.50	350,203	2.50	324,491
UTILITY BILLING & SERVICES	30.25	4,194,897	30.25	4,649,292
GENERAL ACCOUNTING / PAYROLL	15.23	2,165,127	16.23	2,210,037
LESS: REIMBURSED EXPENDITURES		(557,930)		(442,500)
SUBTOTAL	54.73	7,467,625	55.73	8,089,885
HUMAN RESOURCES				
HUMAN RESOURCES	9.65	1,869,533	9.65	1,759,874
RISK MANAGEMENT	4.35	148,218	4.35	447,036
LESS: REIMBURSED EXPENDITURES		0		0
SUBTOTAL	14.00	2,017,751	14.00	2,206,910
INFORMATION TECHNOLOGY				
STRATEGIC PLANNING	6.63	1,534,223	6.63	1,681,043
INFRASTRUCTURE	15.00	3,635,138	15.00	3,032,624
CUSTOMER SERVICE	18.00	3,033,539	18.00	2,977,225
LESS: REIMBURSED EXPENDITURES		(240,000)		(202,563)
SUBTOTAL	39.63	7,962,900	39.63	7,488,329
CITY CLERK				
CLERK SUPPORT SERVICES	7.00	974,170	7.00	982,687
LESS: REIMBURSED EXPENDITURES		0		0
SUBTOTAL	7.00	974,170	7.00	982,687
CENTRAL SERVICES				
DEPARTMENT ADMINISTRATION	3.00	496,274	3.00	539,721
PURCHASING	5.00	543,176	6.00	696,898
CENTRAL STORES	3.00	326,511	3.00	339,790
AUTOMOTIVE SERVICES	21.00	6,387,886	21.00	6,096,921
BUILDING / CUSTODIAL MAINTENANCE	14.75	3,275,641	15.75	3,372,136
LESS: AUTOMOTIVE SERVICES FUND		(6,386,386)		(6,095,921)
LESS: REIMBURSED EXPENDITURES		(1,500)		(1,000)
SUBTOTAL	46.75	4,641,602	48.75	4,948,545
POLICE				
ADMINISTRATION, SUPPORT & COMMUNITY SERVICES	63.50	9,824,101	63.50	10,010,805
POLICE OPERATIONS	133.00	24,282,594	134.00	25,806,497
LESS: REIMBURSED EXPENDITURES		(5,000)		(5,000)
SUBTOTAL	196.50	34,101,695	197.50	35,812,302
FIRE				
ADMINISTRATION & GRANTS	4.50	784,854	4.00	738,380
FIRE & LIFE SAFETY	8.00	1,634,072	8.00	1,671,078
FIRE OPERATIONS	104.00	24,391,005	104.00	24,488,621
FIRE TRAINING	2.00	743,682	2.00	569,736
EMERGENCY PREPAREDNESS	1.00	373,159	1.00	297,509
LESS: REIMBURSED EXPENDITURES		0		(5,000)
SUBTOTAL	119.50	27,926,772	119.00	27,760,324
ECONOMIC DEVELOPMENT				
HOUSING	10.00	2,392,638	9.00	2,485,579
COMMUNITY DEVELOPMENT BLOCK GRANT	0.00	771,373	0.00	868,658
ECONOMIC DEVELOPMENT	3.00	836,425	3.00	847,993
LESS: REIMBURSED EXPENDITURES		(1,055,765)		(1,105,748)
SUBTOTAL	13.00	2,944,671	12.00	3,096,482

ORGANIZATION BUDGET SUMMARY

	FY2015-16		FY2016-17	
	FTE POSITIONS	MID YEAR BUDGET	FTE POSITIONS	BUDGET EXPENDITURES
PARKS, RECREATION & LIBRARIES				
PARKS & RECREATION ADMINISTRATION	6.00	1,447,916	6.00	1,455,719
PARKS	44.00	9,068,509	45.00	9,393,229
RECREATION	19.00	5,560,675	20.00	5,713,464
CHILD CARE	22.00	4,239,545	22.00	4,264,253
ASES & CDE CHILD DEVELOPMENT PROGRAM	4.00	1,198,998	4.00	1,254,784
GOLF	0.00	1,997,798	0.00	2,008,880
LIBRARIES AND MMHS	27.50	4,159,253	27.50	4,213,173
LESS: REIMBURSED EXPENDITURES		(780,699)		(830,348)
SUBTOTAL	122.50	26,891,995	124.50	27,473,154
PUBLIC WORKS				
DEPARTMENT ADMINISTRATION	2.00	362,877	2.00	360,862
ENGINEERING / FLOOD ALERT	16.75	2,548,582	16.75	2,824,066
TRAFFIC SIGNALS	8.00	1,836,998	8.00	1,960,843
STREET MAINTENANCE	34.00	5,820,325	34.00	5,935,130
LOCAL TRANSPORTATION	10.75	7,104,145	10.75	7,379,445
LESS: REIMBURSED EXPENDITURES		(1,224,410)		(1,240,585)
SUBTOTAL	71.50	16,448,517	71.50	17,219,761
ENVIRONMENTAL UTILITIES				
DEPARTMENT ADMINISTRATION	6.75	1,780,577	9.00	2,236,610
EU ASSET MANAGEMENT	3.00	410,210	3.00	418,911
EU - TECHNICAL SERVICES	20.75	3,034,560	20.75	3,399,748
SOLID WASTE COLLECTION	45.00	15,388,511	45.00	15,693,443
SOLID WASTE RECYCLING & GREEN WASTE	8.00	2,409,849	8.00	2,672,821
WASTEWATER ADMINISTRATION	3.00	810,379	3.00	926,916
WATER TREATMENT & STORAGE	6.00	5,214,207	6.00	5,185,593
DRY CREEK WASTEWATER TREATMENT PLANT	8.00	7,214,260	8.00	7,110,777
ENVIRONMENTAL UTILITIES MAINTENANCE	26.00	4,563,965	26.00	4,647,808
W/WW ANALYSIS	10.00	1,696,191	10.00	1,804,624
PLEASANT GROVE WASTEWATER TREATMENT PLANT	6.00	6,704,944	6.00	6,838,837
WATER ADMINISTRATION	1.00	1,455,580	1.00	1,158,799
WATER DISTRIBUTION	32.00	5,851,875	32.00	6,248,751
WASTEWATER COLLECTION	29.00	5,180,126	29.00	5,365,733
WATER EFFICIENCY	8.75	2,213,336	8.75	2,181,156
RECYCLED WATER	1.00	622,885	1.00	537,110
STORMWATER MANAGEMENT	4.00	751,739	4.00	764,227
UTILITY EXPLORATION CENTER	4.00	676,515	4.00	694,040
LESS: REIMBURSED EXPENDITURES		(4,754,127)		(5,023,229)
SUBTOTAL	222.25	61,225,582	224.50	62,862,675
ELECTRIC				
ADMINISTRATION	16.00	4,171,077	16.00	4,490,781
REGULATORY & LEGISLATIVE	5.00	1,778,343	5.00	1,739,682
ENGINEERING, NEW SERVICES & DISTRIBUTION	81.00	18,828,275	81.00	20,879,741
ELECTRIC POWER PLANT	24.00	14,493,878	25.00	14,178,591
POWER SUPPLY	11.00	74,907,946	11.00	72,784,853
PUBLIC BENEFITS	12.00	7,780,489	12.00	5,751,502
VEHICLE PURCHASES	0.00	0	0.00	696,400
LESS: REIMBURSED EXPENDITURES		(2,913,000)		(3,291,000)
SUBTOTAL	149.00	119,047,008	150.00	117,230,550
DEVELOPMENT SERVICES				
ADMINISTRATION	4.00	575,437	4.00	1,203,841
BUSINESS SERVICES AND PERMIT CENTER	5.00	670,288	10.00	1,400,463
BUILDING INSPECTION & PLAN CHECK	14.00	2,566,846	15.00	2,534,676
CODE ENFORCEMENT	4.00	558,124	5.00	622,100
DS - ENGINEERING	16.00	2,450,599	16.00	2,494,594
PLANNING	16.00	2,346,135	11.00	1,638,761
LESS: REIMBURSED EXPENDITURES		(888,507)		(1,210,339)
SUBTOTAL	59.00	8,278,922	61.00	8,684,096
OTHER				
COMMUNITY GRANTS		308,298		479,730
GALLERIA LEASE PAYMENT		567,619		0
OPEB TRUST FUND		6,033,277		6,544,020
POST RETIREMENT OPERATING TRANSFERS		6,799,861		6,434,575
MISCELLANEOUS SPECIAL REVENUE FUNDS		638,958		703,499
GENERAL TRUST FUNDS		600		600
AUTOMOTIVE REPLACEMENT OPERATING TRANSFERS		0		1,576,186
ANNEXATION PAYMENTS		4,090,000		4,300,000
OTHER (SIF, LRF, VERNON)		389,372		519,560
GENERAL FUND CONTINGENCY		617,384		1,400,000
SUCCESSOR AGENCY ROSEVILLE RDA FUND		253,700		256,143
SUBTOTAL		19,699,069		22,214,313
TOTAL OPERATING EXPENDITURES	1,136.35	344,866,776	1,146.10	350,765,018



Organizational Chart: City Wide

ROSEVILLE CITY COUNCIL

Fiscal Year 2016-17

ROSEVILLE CITY COUNCIL AND COUNCIL-MANAGER FORM OF GOVERNMENT

The City of Roseville operates under the council-manager form of municipal government. Council members are elected at large for four-year terms of office. Two and three Council members will be elected alternately at the general municipal election in November of even-numbered years. The Council member receiving the highest number of votes in the latest election is seated as Vice Mayor for the first two years of his or her four-year term, and as Mayor for the final two years.

OVERVIEW OF SERVICES

The City Council, as the elected body, adopts legislation, sets policy, adjudicates issues, and establishes the budget. As the administrative head of the City government, the City Manager implements City Council policy and laws. The City Council obtains direct citizen input from 13 Council-appointed Boards and Commissions. The Mayor and Council Members are accountable to the electorate and must balance the views of individuals and groups with the needs of the entire community. Council Members will achieve success with public policy issues facing the region by leading and collaborating with residents, businesses, and regional partners on issues of mutual interest.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

The City Council will continue to ensure long-term fiscal and resource stability through effective management, visionary long-term planning, and opportunities to further community goals with innovative ideas and new efficiencies.

KEY ISSUES

The City Council sets policy as the governing body for the City of Roseville, diligently working toward common solutions, building a sustainable future, providing perspective on critical issues, seeking community input, and working with the community to enhance understanding of City processes. The City Council has identified the following key priorities for the Fiscal Year 2016-17 budget:

- Public safety
- Fiscal soundness
- Economic development
- Sound and stable utilities
- A great downtown
- Infrastructure
- Legislative advocacy
- Civic engagement

SUMMARY

Roseville has a legacy of dreaming big. The visionary leadership shown by previous Mayors and City Council Members has brought Roseville the quality of life and economic success enjoyed today. Roseville will continue to dream big.

As we enter our 109th year of cityhood emerging from the most challenging economic time in the past 80 years, we have set the stage for new economic development opportunities while renewing our commitment to maintain our fiscal health, grow responsibly, and offer high-quality services that meet our residents' and businesses' needs.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

CITY COUNCIL (01000)	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(01000) CITY COUNCIL	\$ 358,855	\$ 392,510	\$ 383,055	\$ 394,622
REIMBURSED EXPENDITURES	0	0	0	0
TOTAL DEPARTMENT EXPENDITURES	\$ 358,855	\$ 392,510	\$ 383,055	\$ 394,622

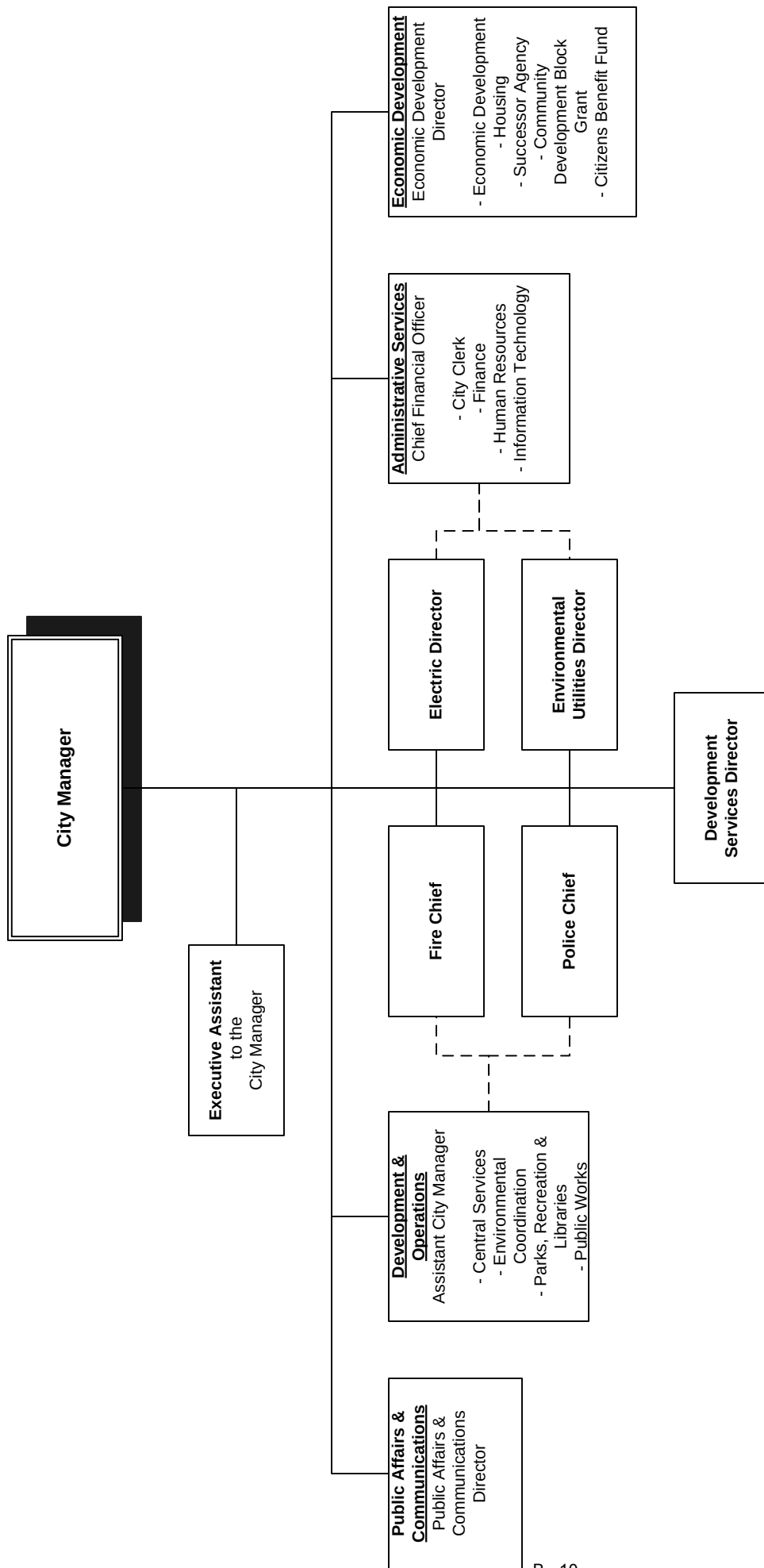
RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 36,650	\$ 36,600	\$ 36,600	\$ 36,600
MATERIALS, SUPPLIES, SERVICES	322,205	355,910	346,455	358,022
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	0	0	0	0
TOTAL NET RESOURCES REQUIRED	\$ 358,855	\$ 392,510	\$ 383,055	\$ 394,622
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	0.00	0.00	0.00	0.00

FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND	358,855	392,510	383,055	394,622
TOTAL DEPARTMENT FUNDING	\$ 358,855	\$ 392,510	\$ 383,055	\$ 394,622

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CITY COUNCIL (01000)	CITY COUNCIL (01000)			
PROGRAM To serve as the legislative and policy-making body of the City of Roseville.					
PROGRAM OBJECTIVES - To annually support and implement the City of Roseville Mission, Vision, and Values through projects, programs and services outlined in the organizational goals - To determine strategies, priorities and resource allocations necessary to achieve the community objectives - To provide for the effective and efficient implementation of city policy - To provide an opportunity for the public to participate in city government through public meetings, workshops, on-line surveys, board and commission meetings, and city sponsored neighborhood forums					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Not Applicable					
EFFICIENCY AND EFFECTIVENESS: - Not Applicable					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 36,650	\$ 36,600	\$ 36,600	\$ 36,600
MATERIALS, SUPPLIES, SERVICES		322,205	355,910	346,455	358,022
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 358,855	\$ 392,510	\$ 383,055	\$ 394,622
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		0.00	0.00	0.00	0.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		358,855	392,510	383,055	394,622
TOTAL FUNDING REQUIRED		\$ 358,855	\$ 392,510	\$ 383,055	\$ 394,622
ANALYSIS					



CITY MANAGER'S OFFICE

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The City Manager's Office implements the City Council's vision by administering City projects, programs, and services. The City Manager makes policy recommendations to the City Council and oversees all City departments except the City Attorney's Office. In addition, the City Manager's Office focuses on internal and external communications; engagement with employees, the business community, neighborhood associations, and residents; internal collaboration between departments; public/private partnerships; and higher-education opportunities.

Development & Operations

The Development & Operations Division in the City Manager's Office is responsible for overseeing Central Services; Public Works; and Parks, Recreation, and Libraries as well as supporting Public Safety. The Development & Operations Division oversees environmental review and permitting for City projects and programs and coordination and processing of capital improvement projects (CIPs) and citywide specific plans. The division also manages special programs and projects, including but not limited to: Citywide Organizational Culture and Leadership and the operational performance audits of all City departments.

Citywide Organizational Culture and Leadership (OC&L): The OC&L Team is a citywide cross-functional team committed to valuing employees, improving internal communication, and creating meaningful policies and processes to improve the efficiency and effectiveness of citywide operations. This year the team is focused on creating (1) an onboarding program, (2) citywide customer service expectations, and (3) citywide leadership competencies all with the goal of strategically transforming the City's organizational culture to ensure we're prepared to meet the demands of a growing, diverse, and changing City.

Efficiency Improvements and Operational Performance Audits (OPAs): Development & Operations will continue implementation of the Council-approved four-year audit cycle of all City departments. The OPAs will ensure that all departments function at optimal efficiency through appropriate and thoughtful utilization of resources and personnel.

Environmental Coordination: Staff will continue to coordinate the preparation and processing of environmental documents for CIPs, programs, and activities and assist with state and federal permitting. This includes City review and comment on environmental documents prepared for adjacent jurisdictions. Development & Operations staff also serve as the City's liaison to various resource conservation groups and state and federal resource agencies, oversee related Memoranda of Understanding (MOUs), and represent the City's interests in the Placer County Conservation Plan (PCCP) and on proposed environmental regulations that affect the City.

Public Affairs & Communications

The Public Affairs & Communications (PA&C) Department leads the City's legislative advocacy, media relations, community relations, social media, broadcast, internal communications, neighborhood services, and public information initiatives. The department manages the staff work related to the City Council's Law & Regulation Committee. PA&C also has a leadership role in citywide teams including Communications, Government Relations, Marketing, Emergency Services, E-Government, and Open Data.

Internal communications was the highest priority identified in the PA&C strategic plan (2014), was one of the top three initiatives of the (OC&L) strategy (2014), and a high priority of the Inclusion Committee's strategic plan (2015). In FY2015-16, the department led the launch of The Hub, the City's award-winning website and newsletter. In FY2016-17, additional strategies of the Internal Communications Plan will be implemented. In FY2015-16, the Department hired a part-time graphic designer in accordance with a critical need identified in the PA&C strategic plan. In FY2016-17, another temporary position will be added to the Department's resources without increasing the Department's budget from the previous year. This position will support citywide communications needs related to production and coordination of communications collateral and digital pieces.

Following are descriptions of the department's key citywide teams:

Communications Team: This team exchanges information on current messages and topics; media relations; and City programs, events, and initiatives to strengthen the City's "one voice." It ensures communications are comprehensive and provides opportunities for training, partnering, and cross-promoting among departments. Outreach is done through our City website, our numerous City social media outlets, traditional media outlets such as television stations and newspapers, e-newsletters, and a government-access television station. The department also manages community outreach and develops speeches and presentations for business and community groups. In emergency situations, vital information needed to ensure public safety is coordinated and disseminated through our public information channels. In all cases, the goal is to deliver timely, accurate, consistent, and transparent information.

Legislative Advocacy Team: This team develops, recommends, and manages the annual state and federal legislative agenda and strategy for the City in accordance with Council policy through advocacy, coalition-building, and public

education. The department is also staffing the Council's Law & Regulation Committee created in 2012 to facilitate analysis, public education, and engagement. Legislative staff develops and maintains the City's relationship with its contract lobbyists and serves as the City's primary, day-to-day liaison for the City's legislative strategy.

E-Government Team: This team assists in shaping the City's 24/7 virtual presence, services, interaction, information, engagement, and transparency with our internal and external communities online. In FY2016-17, this will include the launch of a new content management system (CMS) for the website, the City's most important vehicle for communicating with its stakeholders. This team helps evaluate and prioritize external, public-facing services through the governance process and helps guide the work done by the Web and Marketing teams.

Marketing Team: This team collaborates on all marketing, public and neighborhood outreach, and graphic design and social media efforts to ensure brand standards are consistent and upheld, campaigns and key messages are vetted, cross-channel opportunities are facilitated, and resources are shared. In FY2016-17, PA&C will lead the roll-out and implementation of the "brand refresh" initiative begun in FY2015-16, which will include an updated brand style guide, refreshed template, and new color palette. The guide will also incorporate options for the City to use either a logo featuring the City's current slogan ("Tradition Pride Progress") or the timeless logo with "California," which identifies which American city is being referenced—particularly helpful when working with audiences who are not familiar with Roseville, such as site-selectors or federal legislators and regulators.

Community Relations: This team serves as liaison between the City and various community groups, including the Roseville Coalition of Neighborhood Associations, the Sun City Government Affairs Committee, and the Roseville Area Chamber of Commerce. It assists in two-way communication regarding initiatives, policies, operations, and events; resolution of issues; and outreach regarding proposed council action or city policy or operational changes.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

Roseville has a tradition of leadership in making innovative, bold decisions in the best interest of the community. The City will continue to promote fiscal responsibility, economic vitality, community engagement, and legislative advocacy. An improving economy is beginning to assist the City in crafting a balanced budget, however the City continues to be innovative to remain competitive and well-positioned as the economy strengthens. To keep job growth strong, we will grow our public/private partnerships that assist new and existing businesses to invest in Roseville, targeting high growth industries such as healthcare, education, and renewable energy. We will work with regional, state, and federal policymakers to ensure that local needs and interests are protected. We will continue using social media, e-newsletters, and in-person meetings and to engage our constituents in dialogue, as well as keeping our residents, businesses, and partners well-informed on the challenges, issues, and opportunities we face in the year ahead.

KEY ISSUES

This year the City Manager's Office will continue to implement the City's goals.

- Track economic indicators to inform fiscal decisions
- Build and strengthen the City's public/private partnerships with the Roseville Community Development Corporation (RCDC) and others
- Direct ongoing advocacy strategies to support City's federal transportation, public safety, and water projects
- Monitor federal funding notices and coordinate with departments to maximize opportunities for the City to submit competitive applications
- Track state and federal emission-reduction requirements and sustainability initiatives to protect local authority and assess the effects on utility operations and rates
- Work with state advocate, league and other interested parties to monitor key state legislation
- Position the City as leader in fiscal responsibility, regional issues, and community outreach through regional and national news media
- Speak with one voice so information from and about the City is coordinated and consistent
- Enhance access to and availability of City information to improve efficiencies and service by enhancing ability for residents to conduct online transactions with the City, obtain relevant information online, and provide input and feedback
- Produce strategic counsel on messaging and implementation
- Update the style guide for the City's brand
- Continued implementation of Higher Education Task Force recommendations

SUMMARY

The City remains committed to making wise compromises that allow it to fulfill its mission to create and maintain a vibrant community environment and enhance the quality of life for our residents, businesses, customers, and partners by providing exceptional facilities, programs, and services in a fiscally responsible manner.

The City Manager's Office will continue to provide the best possible service to Roseville's citizens, businesses, and City Council. The City will continue to involve stakeholders in determining the best course of action to address pressing issues and to plan for the future.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

CITY MANAGER (01500)	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(01500) CITY MANAGEMENT	\$ 748,605	\$ 1,215,663	\$ 1,215,663	\$ 1,127,173
(01520) PUBLIC AFFAIRS & COMMUNICATIONS DEPT	633,298	837,410	837,410	764,168
(08100) DEVELOPMENT & OPERATIONS	811,773	962,513	962,513	655,675
REIMBURSED EXPENDITURES	(103,097)	(64,480)	(64,480)	(68,320)
TOTAL DEPARTMENT EXPENDITURES	\$ 2,090,579	\$ 2,951,106	\$ 2,951,106	\$ 2,478,696

RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 1,999,166	\$ 2,622,609	\$ 2,622,609	\$ 2,351,591
MATERIALS, SUPPLIES, SERVICES	194,510	392,977	392,977	195,425
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	(103,097)	(64,480)	(64,480)	(68,320)
TOTAL NET RESOURCES REQUIRED	\$ 2,090,579	\$ 2,951,106	\$ 2,951,106	\$ 2,478,696
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	12.00	12.00	12.00	12.00

FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 103,097	\$ 64,480	\$ 64,480	\$ 68,320
NET GENERAL FUND	2,090,579	2,951,106	2,951,106	2,478,696
TOTAL DEPARTMENT FUNDING	\$ 2,193,676	\$ 3,015,586	\$ 3,015,586	\$ 2,547,016

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CITY MANAGER (01500)	CITY MANAGEMENT (01500, 01505)			
PROGRAM To provide overall direction, coordination and management of all city functions.					
PROGRAM OBJECTIVES - To respond to citizen inquiries and council requests in a timely and productive manner - To implement the City's Mission, Vision, and Values through projects, programs and services - To provide and promote effective leadership for all employees in order to accomplish the City's organizational goals and effectively respond to policy decisions from the city council - To work with neighboring jurisdictions, districts and agencies to creatively address regional issues - To lobby state and federal representatives regarding legislation and policy actions critical to the City of Roseville and local government - To align city resources to create the ultimate quality of life					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Total authorized regular employees (FTE) - City population		1,102 131,433	1,125 130,000	1,136 134,073	1,146 136,500
EFFICIENCY AND EFFECTIVENESS: - Percentage of departmental objectives achieved - Number of city regular positions per 1,000 population served (FTE) - Total City Manager Department cost per capita - Total General Fund cost per capita		98% 8.4 \$15.91 \$895.04	98% 8.7 \$18.91 \$940.45	98% 8.5 \$22.01 \$933.05	98% 8.4 \$18.16 \$934.27
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 628,050	\$ 1,102,256	\$ 1,102,256	\$ 1,076,815
MATERIALS, SUPPLIES, SERVICES		120,555	113,407	113,407	50,358
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(5,101)	(4,480)	(4,480)	(2,320)
TOTAL RESOURCES		\$ 743,504	\$ 1,211,183	\$ 1,211,183	\$ 1,124,853
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.00	5.00	5.00	5.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 5,101	\$ 4,480	\$ 4,480	\$ 2,320
NET GENERAL FUND		743,504	1,211,183	1,211,183	1,124,853
TOTAL FUNDING REQUIRED		\$ 748,605	\$ 1,215,663	\$ 1,215,663	\$ 1,127,173
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

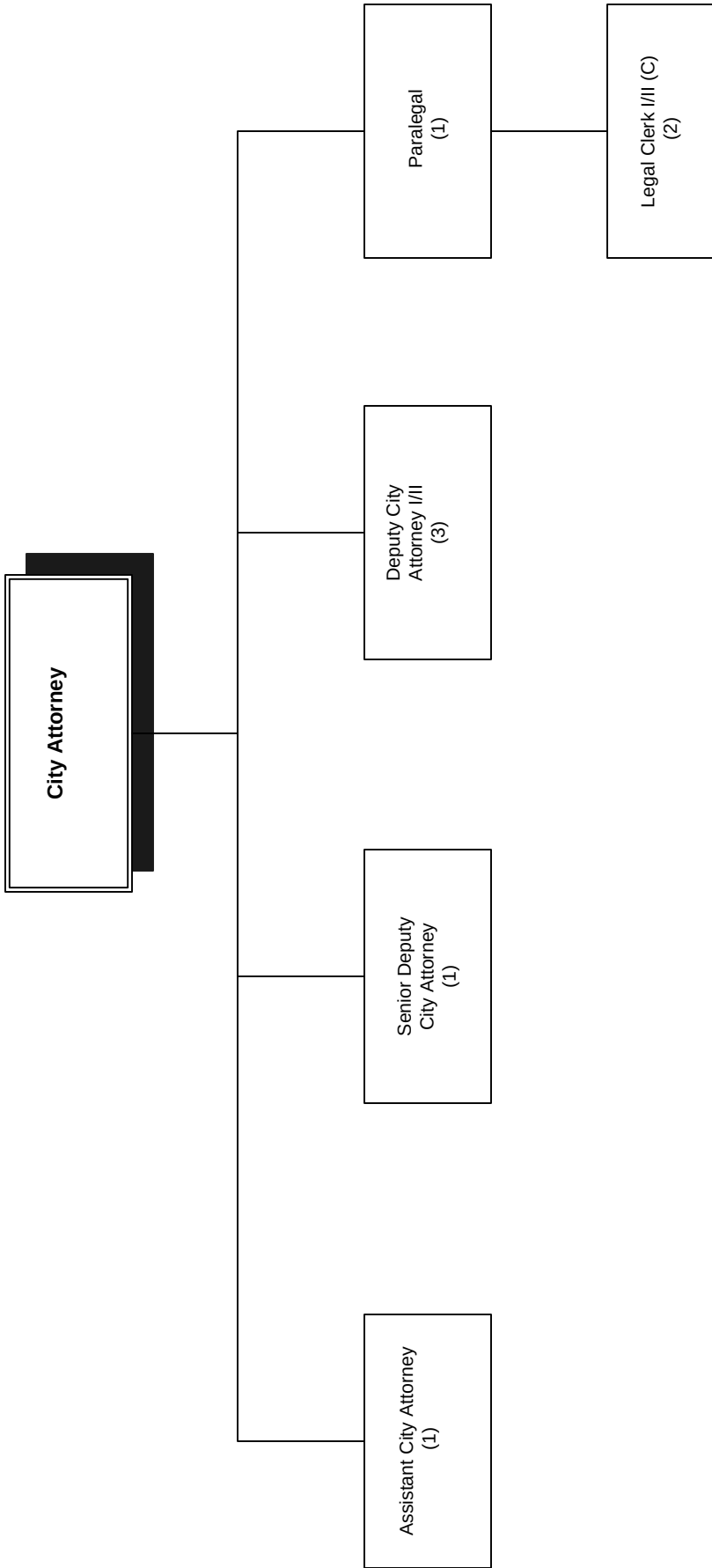
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CITY MANAGER (01500)	PUBLIC AFFAIRS AND COMMUNICATIONS DEPARTMENT (01520)			
PROGRAM To promote and strengthen Roseville's identity by ensuring the City speaks with one voice in all communications - online, on COR-TV, in the news media, in newsletters, in speeches and social media. To promote Roseville's advocacy efforts in government relations at the state and federal level.					
PROGRAM OBJECTIVES - Provide accurate, consistent, timely information to news media as City's primary spokesperson and through new City news bureau - Promote city services and initiatives through Web site, COR-TV, media relations, advertising, COR e-newsletter, social media and presentations - Lead communications during citywide emergencies and EOC activation - Consult with departments and work teams on communications strategy for sensitive issues, special initiatives, and high-visibility projects promoted via website, COR-TV, e-newsletters and social media - Create multi-media productions for broadcast on COR-TV, video streaming live on website as well as archived on the City's website - Write newsletters, columns, speeches, news releases, brochure copy, Web content and social media content. (COMM) - Develop, recommend, manage annual State and federal legislative agenda for the City in accordance with Council policy - Develop and recommend specific legislative strategies to the City Manager, Council and City staff - Establish and maintain effective working relationships with the City's State and federal delegations and staff and interest groups - Direct contact with federal, state, local elected officials and administrative agencies on legislation, state and regional issues, and regulatory matters - Develop, plan and facilitate meetings between City officials, regional, State and national elected officials and organizations - Develop and maintain the City's relationship with its contract lobbyists and serve as the City's primary, day-to-day liaison					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of meeting/hrs of live meeting coverage on COR-TV - Hours per day of meetings replayed on COR-TV during weekday - Number of media issues handled weekly - Track pertinent State/federal legislation & monitor legislative developments - Number of City meetings to review and discuss legislation and regulations - Participate in chamber, RCONA, Sun City and other community meetings - Number of printed employee newsletters - Number of electronic employee newsletters - Produce bi-weekly city eNews letters for the public		90 10 23 650 48 20 n/a n/a n/a	90 10 25 800 50 18 n/a n/a n/a	90 10 25 780 50 20 12 24 24	90 10 25 800 50 42 12 24 24
EFFICIENCY AND EFFECTIVENESS: - Percentage of timely responses to media and public inquires - Number of legislative bills tracked and monitored - Number of meetings with City departments - Visits with City staff and elected officials - Cost per capita for services - Respond to requests for assistance by neighborhood assoc and RCONA - Percentage of assistance with City departments and/or neighborhood associations and RCONA with projects, programs and services as requested		100% 148 45 46 \$4.56 100% 100%	100% 150 45 45 \$4.61 100% 100%	100% 150 45 45 \$5.95 100% 100%	100% 150 45 45 \$5.31 100% 100%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 578,750	\$ 730,827	\$ 730,827	\$ 694,093
MATERIALS, SUPPLIES, SERVICES		54,548	106,583	106,583	70,075
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(34,494)	(40,000)	(40,000)	(40,000)
TOTAL RESOURCES		\$ 598,804	\$ 797,410	\$ 797,410	\$ 724,168
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.00	3.00	4.00	4.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 34,494	\$ 40,000	\$ 40,000	\$ 40,000
NET GENERAL FUND		598,804	797,410	797,410	724,168
TOTAL FUNDING REQUIRED		\$ 633,298	\$ 837,410	\$ 837,410	\$ 764,168
ANALYSIS The increase in FTE during FY2015-16 is due to adding one Government Relations Administrator.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CITY MANAGER (01500)	DEVELOPMENT & OPERATIONS (08100)			
PROGRAM Oversee the operations of Parks, Recreation and Libraries, Public Safety, Central Services, and Public Works. Provide assistance to City departments in the preparation/review of the environmental documents; coordinate citywide environmental topics and coordinat review and comment on projects of regional significance. Manage special programs and projects such as the Citywide Organizational Culture and Leadership (OC&L) and the departmental performance audits.					
PROGRAM OBJECTIVES - Maintain the City's implementing procedures for CEQA compliance; coordinate environmental review for City projects; coordinate State and Federal permitting for Specific Plans and CIP projects - Increase the number of OC&L initiatives implemented citywide. - Evaluate and assist in implementation of department specific and citywide organizational performance assessments.					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Complete environmental documentation for City projects - Department Performance Audits		25 2	30 6	20 6	25 2
EFFICIENCY AND EFFECTIVENESS: - Percent of Program Objectives and Performance Measures Completed - General Fund cost per capita - Program Revenues		100% \$5.69 \$86,026	100% \$6.02 \$74,894	100% \$7.03 \$74,894	100% \$4.61 \$47,188
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 792,366	\$ 789,526	\$ 789,526	\$ 580,683
MATERIALS, SUPPLIES, SERVICES		19,407	172,987	172,987	74,992
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(63,502)	(20,000)	(20,000)	(26,000)
TOTAL RESOURCES		\$ 748,271	\$ 942,513	\$ 942,513	\$ 629,675
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.00	4.00	3.00	3.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 63,502	\$ 20,000	\$ 20,000	\$ 26,000
NET GENERAL FUND		748,271	942,513	942,513	629,675
TOTAL FUNDING REQUIRED		\$ 811,773	\$ 962,513	\$ 962,513	\$ 655,675
ANALYSIS The drop in FTE during FY2015-16 is due to removing one allocation for a Deputy City Manager.					



CITY ATTORNEY'S OFFICE

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The City Attorney's Office provides comprehensive legal services consisting chiefly of staffing City Council meetings, boards, and commissions; preparation and review of ordinances, resolutions, contracts, and other documents; advice and strategic assistance to City departments; and civil litigation and code enforcement.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

The City Attorney's Office will continue to provide legal support for proposed annexations, the specific plan process, infill and rezoning projects, economic development, Central Roseville revitalization efforts, and regional development issues.

The ongoing California drought and associated regional and statewide water supply issues will require special attention, adding to the growing volume and complexity of legal services required for the City's utilities. Major projects such as downtown development and improvement require considerable attention, as do increasingly complex state and federal mandates regarding energy and conservation. Ordinances will be introduced or updated to reduce the City's exposure to liability and to comply with statutory and regulatory mandates. Legal assistance will continue to be provided for the legislatively-mandated dissolution of the redevelopment agency, the enforcement of affordable housing agreements, economic development, programs serving local businesses and residents, and the entire spectrum of City functions and services.

We will increase our provision of training for staff, commissioners, and other City officials on legal issues related to their roles. We will promote efficient use of resources with a proactive approach to serving City departments with training and education on legal issues and early involvement of attorneys in proposed projects.

SUMMARY

Our attention to litigated matters will continue the aggressive defense of liability claims, the intensive management of outside legal counsel on pending cases, and self-handling of hearings on law enforcement and certain law and motion and liability matters. We will proactively and strategically provide transactional and advisory legal support for all City services and functions, adding value to all City programs through avoidance of liability and efficient use of resources.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

CITY ATTORNEY (02000)	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(02000) LEGAL SERVICES	\$ 1,588,249	\$ 1,904,336	\$ 1,904,336	\$ 1,821,687
REIMBURSED EXPENDITURES	0	0	0	0
TOTAL DEPARTMENT EXPENDITURES	\$ 1,588,249	\$ 1,904,336	\$ 1,904,336	\$ 1,821,687

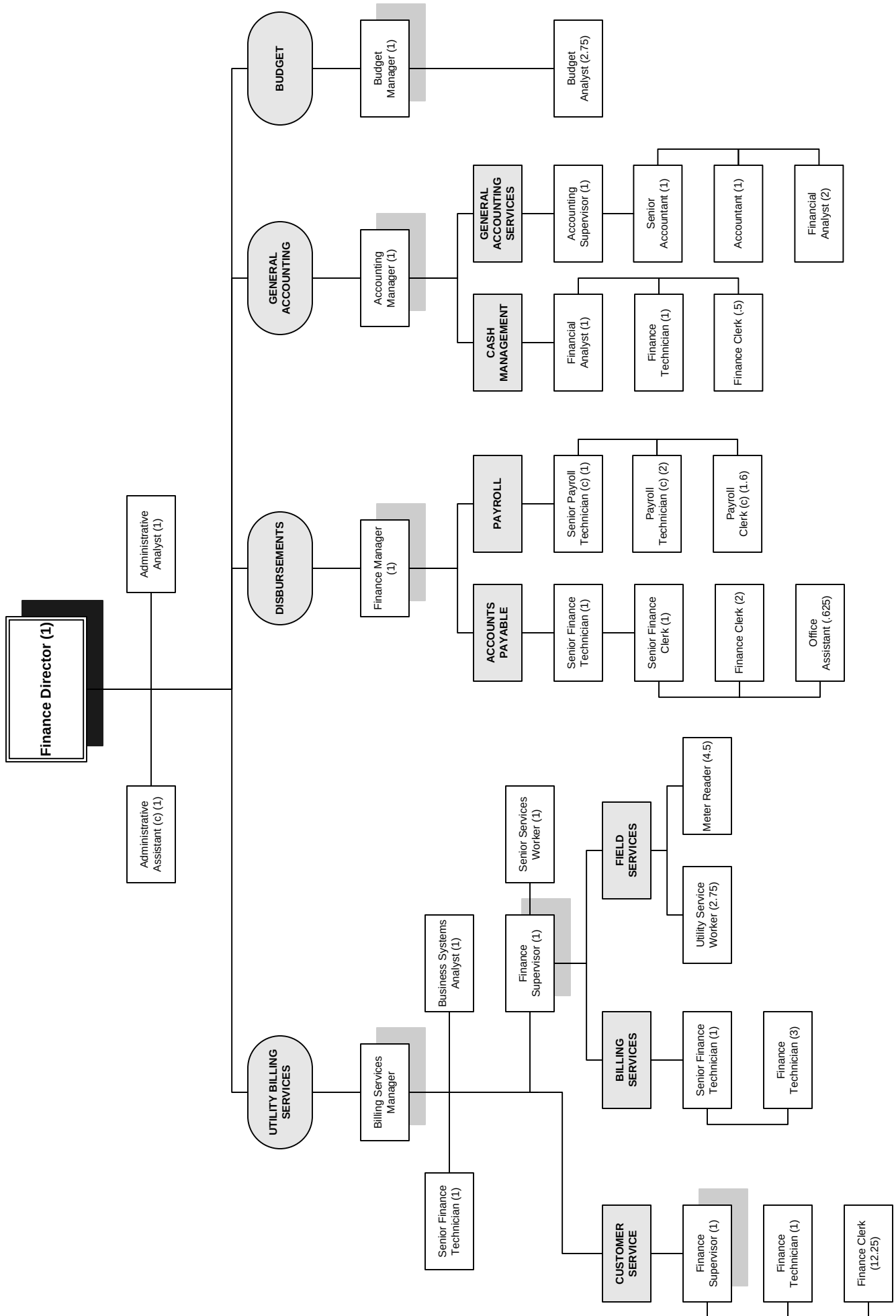
RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 1,466,572	\$ 1,734,495	\$ 1,734,495	\$ 1,646,892
MATERIALS, SUPPLIES, SERVICES	121,677	169,841	169,841	174,795
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	0	0	0	0
TOTAL NET RESOURCES REQUIRED	\$ 1,588,249	\$ 1,904,336	\$ 1,904,336	\$ 1,821,687
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	8.50	8.50	9.00	9.00

FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND	1,588,249	1,904,336	1,904,336	1,821,687
TOTAL DEPARTMENT FUNDING	\$ 1,588,249	\$ 1,904,336	\$ 1,904,336	\$ 1,821,687

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CITY ATTORNEY (02000)	LEGAL SERVICES (02000)			
PROGRAM To act as legal counsel to the City Council, Housing Authority, Finance Authority, Redevelopment Successor Agency, and all boards and commissions, to provide high quality legal services to the various city departments, and manage litigation.					
PROGRAM OBJECTIVES - To complete 80% of all requests for legal service within 15 days; 90% within 45 days; and 100% within 90 days					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Requests for legal service completed - Litigation: Representation (Pitchess Motions, Code Enforcement, etc.) - Litigation: Management - major cases resolved and on-going - Ordinance / resolutions prepared - Citations and code enforcement complaints filed - Written legal opinions - Informal legal opinions - Public meeting		1,806 5 11 156 / 542 524 3,089 3,585 137	1,750 10 12 125 / 350 700 3,300 3,800 132	1,750 35 14 150 / 400 700 3,450 4,400 158	1,750 25 14 150 / 400 725 3,500 4,500 150
EFFICIENCY AND EFFECTIVENESS: - Percent of requests for service completed within 15 days - Percent of requests for service completed within 45 days - Percent of requests for service completed within 90 days - Cost per capita		89% 97% 98% \$12.08	80% 90% 100% \$14.05	80% 90% 100% \$14.20	80% 90% 100% \$13.35
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 1,466,572 121,677 0 0	\$ 1,734,495 169,841 0 0	\$ 1,734,495 169,841 0 0	\$ 1,646,892 174,795 0 0
TOTAL RESOURCES		\$ 1,588,249	\$ 1,904,336	\$ 1,904,336	\$ 1,821,687
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		8.50	8.50	9.00	9.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 0 1,588,249	\$ 0 1,904,336	\$ 0 1,904,336	\$ 0 1,821,687
TOTAL FUNDING REQUIRED		\$ 1,588,249	\$ 1,904,336	\$ 1,904,336	\$ 1,821,687
ANALYSIS The increase in FTE during FY2015-16 is due to increasing the allocation for a Legal Clerk position from 0.5 to 1.0 FTE.					



Organizational Chart: Finance

FINANCE DEPARTMENT

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The Finance Department budget includes 55.73 full-time equivalent (FTE) employees providing services in Budget, Cash Management, General Accounting, Disbursements, and Utility Billing Services.

The Budget Division is responsible for the preparation, development, and publication of the Annual Adopted Budget as well as the Mid-Year and Year End Performance Reports. This division also provides assistance with budget amendments—including the carry-forward of approved budgets for unspent encumbrances and capital improvement projects (CIPs)—throughout the year. The budget team works with all City departments to ensure that actual spending does not exceed City Council-approved budget appropriations.

The Cash Management Division is responsible for managing the revenue operations of the City and monitoring the City's debt and investment portfolio. It is also responsible for the City's billed receivables including the collection of citywide delinquent accounts.

The General Accounting Division is responsible for the development, implementation, and maintenance of effective financial accounting systems and controls. The division provides for management control over the City's financial operations by ensuring the ability to present fairly—and with full disclosure on a timely basis—the financial position of the City. This division is also responsible for grants, special assessments, fixed assets, and financial reporting.

The Disbursements Division is responsible for payroll, PERS reporting, and accounts payable.

The Utility Billing Services Division is responsible for the billing and collection of utility services to the City of Roseville residents for electric, water, wastewater, and refuse service. This includes the processing of new service, transfers, payments, delinquency cutoffs, and meter reading.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-2017

The Finance Department's total budgeted net expenditures for FY2016-17 are in line with the target for materials, services and supplies, which was calculated based on prior years' spending history and the removal of "what-ifs." The department met its target while maintaining current service levels and providing excellent customer service. Other highlights include:

Budget Division

- Prepare and maintain balanced annual operating and CIP budgets that meet the California Society of Municipal Finance Officers (CSMFO) award program guidelines for excellence in budgeting
- Anticipate potential economic impacts from changing conditions, state budget impacts to Roseville, state and federal legislation to the City, and recommend and/or take measures to mitigate those impacts
- Administer quarterly budget monitoring meetings with City departments

Cash Management Division

- Administer and control the investment of all monies for the purpose of maximizing interest income while preserving the safety, liquidity, and yield of principal

General Accounting Division

- Issue the 6/30/16 Comprehensive Annual Financial Report (CAFR) that meets the Government Finance Officers Association (GFOA) award program guidelines for excellence in financial reporting
- Complete month-end closings of all financial modules in a timely manner

Disbursements Division

- Deliver excellent customer service while paying vendors and employees on time and accurately
- Administration of retiree health payments
- Enhance the Workforce time and attendance system to allow more streamlined configuration for any legislative, memorandum of understanding (MOU), or report changes

Utility Billing Services Division

- Deliver superior service to internal and external customers in a fiscally responsible manner
- Participation in the Customer Information System (CIS) Upgrade Project, the Advanced Metering Infrastructure (AMI) Study, and the Maximo Project

KEY ISSUES

- Creation of a 10-year long range financial forecast to address any future projected shortfalls
- Issue a request for proposal for a new enterprise resource planning (ERP) system which includes contract negotiations, vendor selection, and project kickoff
- Implementation and rollout of CIS

SUMMARY

By implementing and completing our priorities for FY2016-17, the Finance Department will continue to fulfill its mission of promoting a sustainable community by providing sound financial expertise and outstanding customer service.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

<i>FINANCE (05000)</i>	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(05000) DEPARTMENT ADMINISTRATION	\$ 901,215	\$ 699,074	\$ 699,074	\$ 684,278
(05010) BUDGET	539,490	616,254	616,254	664,287
(05030) CASH MANAGEMENT & LICENSING	278,221	350,203	350,203	324,491
(05040) UTILITY BILLING & SERVICES	3,758,451	4,194,897	4,194,897	4,649,292
(05050) GENERAL ACCOUNTING / PAYROLL	1,859,973	2,165,127	2,165,127	2,210,037
REIMBURSED EXPENDITURES	(303,455)	(557,930)	(557,930)	(442,500)
<i>TOTAL DEPARTMENT EXPENDITURES</i>	\$ 7,033,895	\$ 7,467,625	\$ 7,467,625	\$ 8,089,885

<i>RESOURCES</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 5,731,108	\$ 6,356,101	\$ 6,356,101	\$ 6,849,346
MATERIALS, SUPPLIES, SERVICES	1,606,242	1,669,454	1,669,454	1,683,039
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	(303,455)	(557,930)	(557,930)	(442,500)
<i>TOTAL NET RESOURCES REQUIRED</i>	\$ 7,033,895	\$ 7,467,625	\$ 7,467,625	\$ 8,089,885
<i>HUMAN RESOURCES REQUIRED (Full-Time Equivalent)</i>	52.93	52.63	54.73	55.73

<i>FUNDING SUMMARY</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 303,455	\$ 557,930	\$ 557,930	\$ 442,500
NET GENERAL FUND	7,033,895	7,467,625	7,467,625	8,089,885
<i>TOTAL DEPARTMENT FUNDING</i>	\$ 7,337,350	\$ 8,025,555	\$ 8,025,555	\$ 8,532,385

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	FINANCE (05000)	ADMINISTRATION (05000)			
PROGRAM To provide general administrative direction to the department and to execute various mandated responsibilities including protection of the City's assets and provision of timely, accurate and usable financial and program information.					
PROGRAM OBJECTIVES - To provide direction and guidance to other divisions of the Finance Department so they may achieve their goals and objectives - To assist other departments in a variety of financial projects and reports - Provide financial staffing and analysis to the Grants Commission					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of Department positions (FTE) - Number of Funds monitored		52.93 104	52.63 101	54.73 103	55.73 107
EFFICIENCY AND EFFECTIVENESS: - Percentage of division objectives achieved - Finance departmental cost per capita		100% \$53.52	100% \$56.40	100% \$55.70	100% \$59.27
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 759,046	\$ 480,504	\$ 480,504	\$ 515,990
MATERIALS, SUPPLIES, SERVICES		142,169	218,570	218,570	168,288
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(10,000)	0	0	0
TOTAL RESOURCES		\$ 891,215	\$ 699,074	\$ 699,074	\$ 684,278
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.00	3.00	3.00	3.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 10,000	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		891,215	699,074	699,074	684,278
TOTAL FUNDING REQUIRED		\$ 901,215	\$ 699,074	\$ 699,074	\$ 684,278
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	FINANCE (05000)	BUDGET (05010)			
PROGRAM To coordinate the preparation of City budget; provide revenue and expenditure monitoring and forecasting.					
PROGRAM OBJECTIVES - To prepare budget documents and present to City Council by the first June meeting - To publish the Quarterly Performance Reports within thirty days after printing of the latest monthly financial reports - Provide monthly reports to management on significant revenue trends - To apply and receive the CSMFO Certificate of Award in Budgeting - To project significant General Fund taxes within 5% of actual					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of Funds included in Financial Analysis reports - Total number of Funds monitored - Number of Quarterly Program reports monitored quarterly - Number of city employees attending Annual Budget Training Class - Number of budget adjustments processed - Number of active projects budgeted - Number of hours spent managing budget blocks		104 235 67 63 4,879 311 104	101 203 64 30 5,000 299 150	103 206 64 45 2,300 252 300	107 209 63 30 5,000 199 300
EFFICIENCY AND EFFECTIVENESS: - Average number of days to publish Quarterly Performance Report - Average number of days to provide monthly operating revenue trends to management - Receive the CSMFO Certificate of Award in Budgeting. - Variance of significant General Fund taxes - Budget to Actual - Deliver on time and balanced budget by second meeting in June - Number of divisions exceeding their budget - Cost of Budget per capita		33 5 1 -0.15% Yes 0 \$4.10	30 5 1 5% Yes 0 \$4.67	30 5 1 5% Yes 0 \$4.60	30 5 1 5% Yes 0 \$4.87
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 491,285 48,205 0 0	\$ 564,117 52,137 0 0	\$ 564,117 52,137 0 0	\$ 604,543 59,744 0 0
TOTAL RESOURCES		\$ 539,490	\$ 616,254	\$ 616,254	\$ 664,287
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		3.55	3.75	3.75	3.75
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 0 539,490	\$ 0 616,254	\$ 0 616,254	\$ 0 664,287
TOTAL FUNDING REQUIRED		\$ 539,490	\$ 616,254	\$ 616,254	\$ 664,287
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	FINANCE (05000)	CASH MANAGEMENT & LICENSING (05020, 05030)			
PROGRAM To administer and control the investment of all moneys in custody that are not required for payment of current obligations, for the purpose of preserving the safety, liquidity, and yield of principal. To ensure timely and efficient processing of business licenses.					
PROGRAM OBJECTIVES - To provide continuing cash flow analysis in order to maintain an appropriate balance between the funds required to meet current obligations and the maintenance of an investment portfolio which will approximate a 100% invested position - To provide an annual yield that meets or exceeds the benchmark set by the Treasurer, on all funds invested by and under the control of the Treasurer. The benchmark is based on the 2-Yr US Treasury's 12-Month Moving Average. - To provide exceptional customer service through knowledgeable employees, quick service, and quality products					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Average funds invested per month (in millions) - Number of funds budgeted interest income - Number of business licenses issued - Number of home - based businesses - Number of closed business licenses		\$449.0 200 11,052 1,960 580	\$390.0 200 9,700 300 1,000	\$460.0 200 10,500 1,500 600	\$460.0 200 10,500 1,500 600
EFFICIENCY AND EFFECTIVENESS: - Rate of return to benchmark pooled funds - Process all license applications within 4 working days - Licenses mailed within 3 weeks - Phone messages returned within 1 business day		0.723% 100% 100% 100%	> 0.57% 99% 100% 100%	0.81% 99% 100% 100%	> 0.70% 99% 100% 100%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 206,783 71,438 0 0	\$ 279,797 70,406 0 0	\$ 279,797 70,406 0 0	\$ 264,228 60,263 0 0
TOTAL RESOURCES		\$ 278,221	\$ 350,203	\$ 350,203	\$ 324,491
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		3.00	2.50	2.50	2.50
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 0 278,221	\$ 0 350,203	\$ 0 350,203	\$ 0 324,491
TOTAL FUNDING REQUIRED		\$ 278,221	\$ 350,203	\$ 350,203	\$ 324,491
ANALYSIS The Licensing Program is now combined with Cash Management.					

PROGRAM PERFORMANCE BUDGET

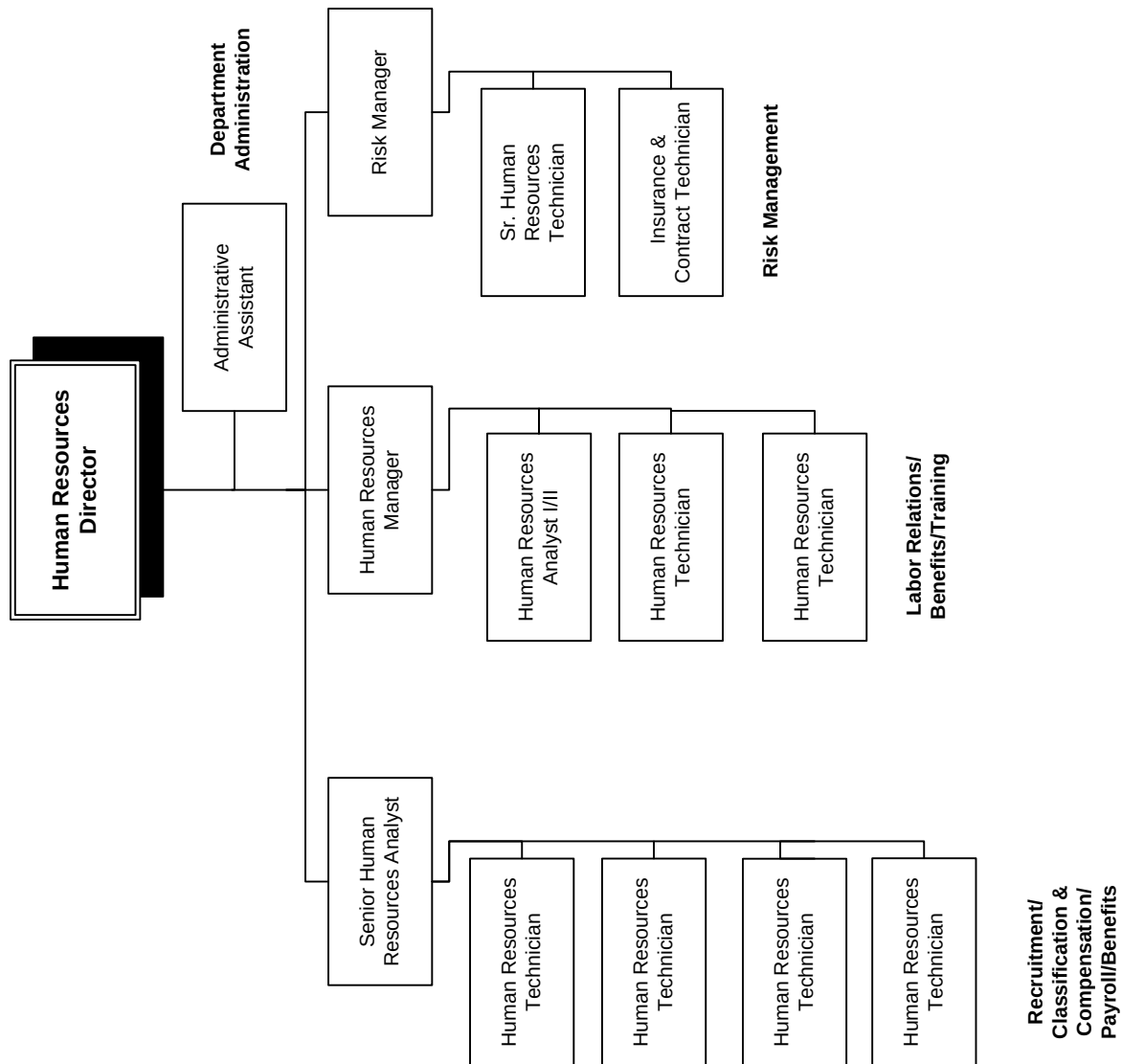
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	FINANCE (05000)	UTILITY BILLING AND SERVICES (05040 - 05043)			
PROGRAM Deliver superior service to our internal and external customers in a fiscally responsible manner. Minimize complaints from the public.					
PROGRAM OBJECTIVES To Provide: - Accurate Meter Reading - Timely and accurate billing services - Quality customer service - Revenue protection					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of customer service orders processed per year - Number of utility bills produced per year - Number of meters read per year - Number of customer service calls per year answered by customer service staff - Number of utility payments processed each year - Number of walk-in customers assisted by customer service staff each year		29,301 782,890 1,170,614 94,306 750,132 32,799	35,000 775,000 1,155,000 103,000 700,000 30,000	30,000 790,000 1,185,000 100,000 760,000 30,000	30,000 800,000 1,200,000 100,000 800,000 30,000
EFFICIENCY AND EFFECTIVENESS: - Accuracy rate - meters read - Accuracy rate - dollar amount of billing adjustments - Cost per utility bill (total costs/total number of bills) - Percent change in cost per utility bill - Bad debt as a percentage of amount billed - Average call wait time (seconds) - Accuracy rate - utility bills issued on time		99.96% 99.96% \$4.43 2.8% 0.16% 75 100.00%	99.90% 99.80% \$4.66 -8.0% 0.21% 90 100%	99.90% 99.90% \$4.61 4.0% 0.21% 90 100.0%	99.90% 99.90% \$5.27 14.2% 0.21% 90 100%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 2,633,324 1,125,127 0 (287,189)	\$ 3,199,575 995,322 0 (550,430)	\$ 3,199,575 995,322 0 (550,430)	\$ 3,500,249 1,149,043 0 (435,000)
TOTAL RESOURCES		\$ 3,471,262	\$ 3,644,467	\$ 3,644,467	\$ 4,214,292
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		27.25	28.25	30.25	30.25
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 287,189 3,471,262	\$ 550,430 3,644,467	\$ 550,430 3,644,467	\$ 435,000 4,214,292
TOTAL FUNDING REQUIRED		\$ 3,758,451	\$ 4,194,897	\$ 4,194,897	\$ 4,649,292
ANALYSIS The increase in FTE during FY2015-16 is due to adding one Senior Finance Clerk and one Finance Technician.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	FINANCE (05000)	GENERAL ACCOUNTING / PAYROLL (05011, 05012, 05050, 05051, 05053)			
PROGRAM To provide comprehensive, accurate and timely financial service and data to all City departments and to ensure that the departments comply with all legal provisions governing revenue and expenditures.					
PROGRAM OBJECTIVES - To provide interim financial reports to the departments not later than ten working days after the end of the month - To pay all invoices, excluding incomplete purchase order, within thirty calendar days of receipt by the city - To prepare June 30 closing reports for the annual audit by October 1					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Purchase orders / Payment requests / Housing payments processed - Number of accounts payable transactions - Payroll checks - Number of employees processed - Regular - Number of employees processed - Total		19,963 73,260 42,592 1,054 1,718	20,000 64,000 43,000 1,100 1,800	21,000 75,000 42,522 1,100 1,800	22,000 76,000 43,000 1,100 1,800
EFFICIENCY AND EFFECTIVENESS: - Average number of workdays required to issue financial reports - Number of weeks required to prepare closing reports for auditors		10.2 13.0	10.0 13.0	10.5 12.0	10.0 12.0
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 1,640,670 219,303 0 (6,266)	\$ 1,832,108 333,019 0 (7,500)	\$ 1,832,108 333,019 0 (7,500)	\$ 1,964,336 245,701 0 (7,500)
TOTAL RESOURCES		\$ 1,853,707	\$ 2,157,627	\$ 2,157,627	\$ 2,202,537
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		15.13	15.13	15.23	16.23
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 6,266 1,853,707	\$ 7,500 2,157,627	\$ 7,500 2,157,627	\$ 7,500 2,202,537
TOTAL FUNDING REQUIRED		\$ 1,859,973	\$ 2,165,127	\$ 2,165,127	\$ 2,210,037
ANALYSIS The increase in FTE for FY2016-17 is due to adding one Payroll Clerk. The change in FTE during FY2015-16 is due to increasing the allocation of a Payroll Clerk from 0.5 to 0.6 FTE.					



Organizational Chart: Human Resources

HUMAN RESOURCES DEPARTMENT

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The Human Resources Department provides services to both internal departments and employees as well as to the community. Our focus is on attracting, developing, and retaining a diverse, well-qualified, and professional workforce that reflects the high standards of the community we serve, as well as ensuring the safety and security of our employees and the community. We accomplish our goals through teamwork, collaboration, and dedication to providing excellence in public service.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

The Human Resources Department will continue its focus on updating and streamlining services to our customers. A major change in the service delivery model to departments will be well under way with the shift to generalist assignments bringing cross-trained staff into most functional areas. Additional goals for the year include increased support for the City's succession planning initiative, planning for the purchase and implementation of a new enterprise resource planning (ERP) system, coordinating diversity training for the workforce, and a full assessment of the City's safety program.

KEY ISSUES

- Employee/Employer Relations – Support the negotiations process with the Roseville Firefighters' bargaining unit to ensure a timely successor labor agreement and update Personnel Rules and Regulations to include recent legislation.
- Recruitment and Hiring – Continue to focus on streamlining the hiring process to bring efficiencies and incorporate additional outreach strategies to ensure that the City has the best candidate pool available.
- Human Resources Systems – Continue the multi-year process of replacing the ERP system (which includes HR/Payroll) is a priority for the upcoming year. Staff will continue to participate with the Steering Committee as well as dedicate the necessary time to ensure that the replacement of the system is successful.
- Risk Management – Continue to increase focus on citywide safety through a detailed assessment of all departmental safety programs and the organizational safety structure.
- Inclusion/Diversity Training – Complete inclusion/diversity training for all City employees during this year.

SUMMARY

FY2016-17 will continue to be a year of ongoing change as staff focuses on a new service delivery model to all departments and continues to update policies and procedures. The reorganization of duties within the office presents both challenges and opportunities for continuous improvement. In addition, with the possible citywide retirement of 31 percent of the workforce, succession planning efforts are also a major focus for this year. The Human Resources staff looks forward to the challenges of the new year.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

<i>HUMAN RESOURCES</i>	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(03100) HUMAN RESOURCES	\$ 1,700,930	\$ 1,890,833	\$ 1,869,533	\$ 1,759,874
(03110) RISK MANAGEMENT	144,326	148,218	148,218	447,036
REIMBURSED EXPENDITURES	(3,721)	0	0	0
<i>TOTAL DEPARTMENT EXPENDITURES</i>	\$ 1,841,535	\$ 2,039,051	\$ 2,017,751	\$ 2,206,910

<i>RESOURCES</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 1,236,597	\$ 1,441,601	\$ 1,441,601	\$ 1,390,975
MATERIALS, SUPPLIES, SERVICES	608,659	597,450	576,150	815,935
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	(3,721)	0	0	0
<i>TOTAL NET RESOURCES REQUIRED</i>	\$ 1,841,535	\$ 2,039,051	\$ 2,017,751	\$ 2,206,910
<i>HUMAN RESOURCES REQUIRED (Full-Time Equivalent)</i>	13.00	14.00	14.00	14.00

<i>FUNDING SUMMARY</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 3,721	\$ 0	\$ 0	\$ 0
NET GENERAL FUND	1,841,535	2,039,051	2,017,751	2,206,910
<i>TOTAL DEPARTMENT FUNDING</i>	\$ 1,845,256	\$ 2,039,051	\$ 2,017,751	\$ 2,206,910

PROGRAM PERFORMANCE BUDGET

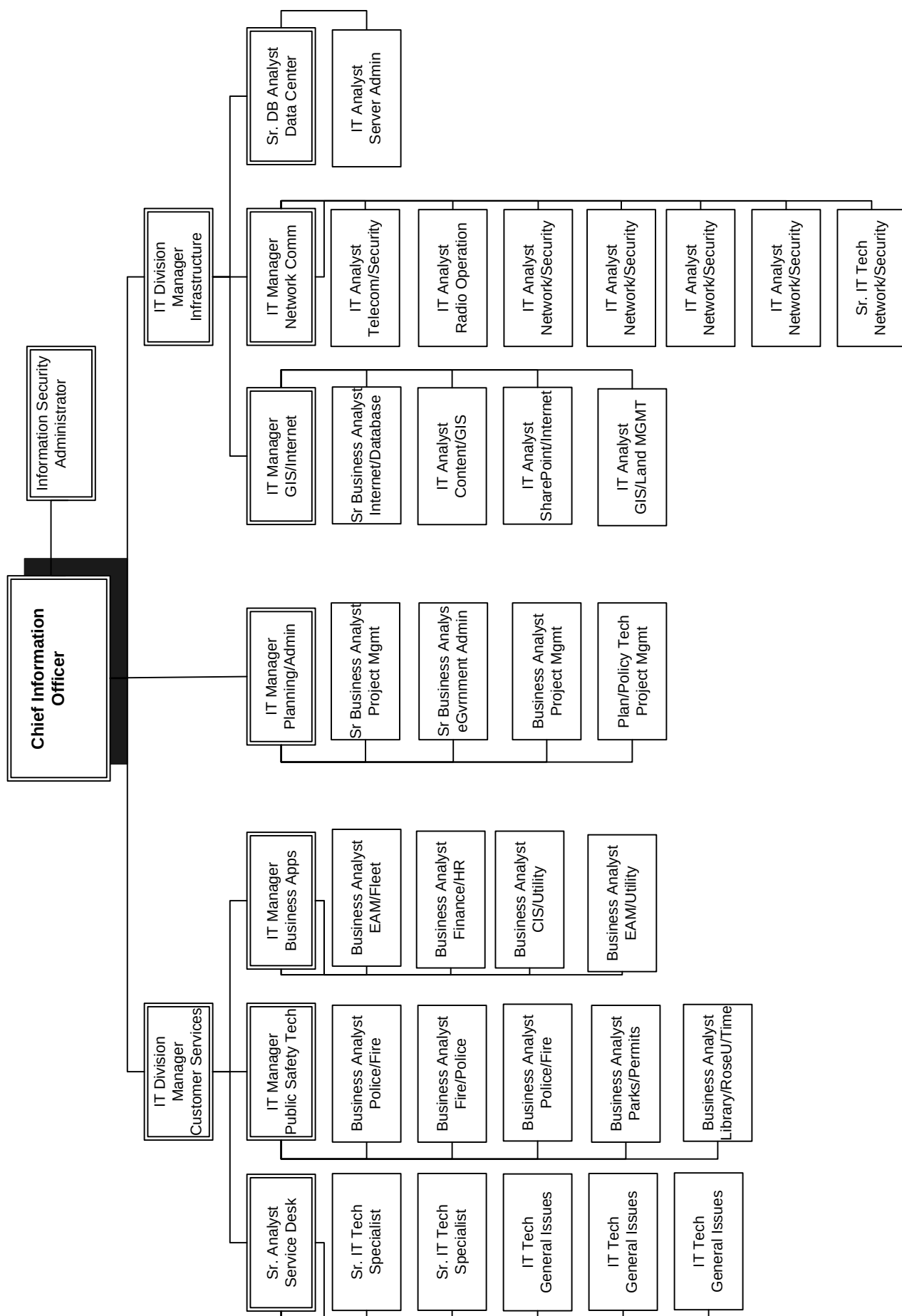
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	HUMAN RESOURCES (03100)	HUMAN RESOURCES (03100)			
PROGRAM To provide departments the best applicants for city employment; and to make available opportunities for employee development through training, performance evaluation, and strategic succession planning efforts, thereby enhancing potential, and productivity, and employee retention.					
PROGRAM OBJECTIVES - Perform recruitments to provide a quality pool of candidates to fill various departments' hiring needs - Maintain an effective classification and compensation plan - Evaluate and implement workforce development strategies that will result in effective recruitment and retention of a high quality workforce - Offer job-related training, volunteer, internship and career development opportunities City-wide - Negotiate labor agreements with bargaining units					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Total authorized regular employees - Number of general / management recruitments - Number of temporary recruitments - Number of training hours citywide - General - Number of training hours citywide - Safety - Number of New Hires onboarded - Regular - Number of New Hires onboarded - Temporary		1,102 96 51 25,552 20,115 90 407	1,125 90 50 12,000 11,000 90 450	1,136 100 50 18,000 11,500 110 400	1,146 100 75 * 20,000 15,000 90 800
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,102,923	\$ 1,294,383	\$ 1,294,383	\$ 1,285,724
MATERIALS, SUPPLIES, SERVICES		598,007	596,450	575,150	474,150
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(3,721)	0	0	0
TOTAL RESOURCES		\$ 1,697,209	\$ 1,890,833	\$ 1,869,533	\$ 1,759,874
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		8.65	9.65	9.65	9.65
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 3,721	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		1,697,209	1,890,833	1,869,533	1,759,874
TOTAL FUNDING REQUIRED		\$ 1,700,930	\$ 1,890,833	\$ 1,869,533	\$ 1,759,874
ANALYSIS * Dependent on ACA impact.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM		
GENERAL GOVERNMENT	HUMAN RESOURCES (03100)	RISK MANAGEMENT (03110, 03111, 03112)		
PROGRAM To minimize adverse effects of accidental loss by 1) identification of exposure; 2) examining feasible alternatives; 3) selecting and implementing the best alternatives; and 4) monitoring the results of the chosen alternatives.				
PROGRAM OBJECTIVES - To produce safety and liability training programs designed to reduce the potential for accidents - Manage risk and demonstrate our commitment to the safety of employees and the public - Manage City's financial resources				
PERFORMANCE MEASURES	2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of subrogation (cost recovery) claims - Number of workers' compensation claims filed - Number of government claims filed - Number of loss days				
	55 150 55 790	100 130 100 600	80 140 110 710	80 130 100 700
EFFICIENCY AND EFFECTIVENESS: - Percentage of medical vs. indemnity				
	51%	75%	72%	72%
RESOURCES REQUIRED	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 133,674	\$ 147,218	\$ 147,218	\$ 105,251
MATERIALS, SUPPLIES, SERVICES	10,652	1,000	1,000	341,785
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	0	0	0	0
TOTAL RESOURCES	\$ 144,326	\$ 148,218	\$ 148,218	\$ 447,036
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	4.35	4.35	4.35	4.35
FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND	144,326	148,218	148,218	447,036
TOTAL FUNDING REQUIRED	\$ 144,326	\$ 148,218	\$ 148,218	\$ 447,036
ANALYSIS				



INFORMATION TECHNOLOGY (IT)

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The Information Technology (IT) Department is comprised of three major business units: Strategic Planning & Digital Communication, Client & Innovation Services (spanning Client Services, Enterprise Solutions, and Business & Cloud Solutions), and the Infrastructure team (comprised of Geographic Information System (GIS) & Analytics, Database & Cloud, and Network Infrastructure). IT is responsible for administering and maintaining innovative technology for the City of Roseville.

IT Vision: Leadership, Innovation, Partnership, Performance.

Department Strategic Goals:

- Provide cost-effective, secure, and effective technology leadership for the City of Roseville
- Respond to changing business needs with innovation, agility, and flexibility
- Motivate and enhance the IT team through training and succession programs
- Partner with departments to provide citywide technology solutions

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

IT's efforts will continue to focus on implementing recommendations from the e-Government and GIS Strategic Plans, and the IT Strategic Technology Plan to continue to improve the security, delivery, and maintenance of the City's technology assets and excellent customer service.

The IT team will continue to maximize resources and capitalize on opportunities to provide business cost savings through operational efficiency programs. IT continues to utilize the General CIP Rehabilitation Fund which was established to replace aging infrastructure equipment including the city network and storage space.

Staff training and e-learning programs will focus on increasing product knowledge of existing technologies, as well as innovative technologies, obtaining industry certifications, and developing/improving core business skills sets.

In the coming year, there will be a heavy focus on the relocation of the 401 Oak Street Data Center to 316 Vernon Street. We will also be preparing for the coming budget changes, as we move to an internal service fund structure.

IT will continue looking into cloud opportunities—whether public, private, or community—to take advantage of benefits such as scalability, cost savings, and the reallocation of resources. The utilization of mobile technology to expand citizen interaction opportunities and capitalize on workforce mobility within the City will also continue to be a major focus for the department as will the use of big/open data and social computing for economic and community development.

KEY ISSUES

Strategic Initiatives			
Cyber Security	Social Engagement and Open Government	Business Technology Replacement Planning	Cloud First/Mobile Computing Initiative
Cyber Attacks are on the rise and becoming more sophisticated and complex. Protecting private data has become a primary focus of every organization. Legislative compliance regulations continue to keep us accountable. IT will continue to advance its citywide cyber security program and give the staff, citizens, and Council confidence that city technology infrastructure and private information is protected and secure.	This initiative is to make government information available to the public as an informed community and accountable government. The goal is to provide maximum information accessibility and maximum inclusion in participatory processes. The e-Government and Communications Strategic Plans align with Council goals of civic engagement.	Maintaining critical business technology systems is vital to the growth of the City. IT will continue to focus on business system upgrades and replacements to support City growth and provide reliable infrastructure. To help ensure fiscal soundness in our community, the City will be implementing a new information system that better enables Finance and Human Resource to operate effectively and efficiently.	IT is continuing to promote a "Cloud First" approach for system updates, replacements and implementations. In addition, we are working toward an all-mobile workforce. With this initiative we will enable our staff to better interact with each other and the public through mobile technologies.

Support for Ongoing Programs	
Strategies	One-Time/Multi-Year Projects
• Staff cross-training and realignment • Customer service improvement strategies • IT governance process improvements • Computing license, device, and service standardization and refresh • Continue building an inclusive and diversified team through leadership/technical e-training	• Content management system replacement (internet/intranet) • Radio replacement project and east site radio tower relocation • Network infrastructure upgrade • Citywide phone upgrade • Utility Billing Customer Information System Replacement • Next generation 9-1-1 system replacement • Mobile tablet computing transition • Additional commercial cell towers installation • Open data / Social engagement effort

SUMMARY

Through our leadership, expertise and strategic partnerships, the City of Roseville Information Technology Department will deliver innovative, cost effective technology solutions to support citywide operations and provide excellent service to the community.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

INFORMATION TECHNOLOGY (03120)	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(03120) STRATEGIC PLANNING	\$ 1,118,894	\$ 1,534,223	\$ 1,534,223	\$ 1,681,043
(03121) INFRASTRUCTURE	3,056,932	3,635,138	3,635,138	3,032,624
(03123) CUSTOMER SERVICE	2,674,684	3,025,539	3,033,539	2,977,225
REIMBURSED EXPENDITURES	(295,804)	(240,000)	(240,000)	(202,563)
TOTAL DEPARTMENT EXPENDITURES	\$ 6,554,706	\$ 7,954,900	\$ 7,962,900	\$ 7,488,329

RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 4,868,761	\$ 5,567,389	\$ 5,567,389	\$ 5,696,923
MATERIALS, SUPPLIES, SERVICES	1,981,749	2,627,511	2,635,511	1,993,969
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	(295,804)	(240,000)	(240,000)	(202,563)
TOTAL NET RESOURCES REQUIRED	\$ 6,554,706	\$ 7,954,900	\$ 7,962,900	\$ 7,488,329
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	39.63	39.63	39.63	39.63

FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 295,804	\$ 240,000	\$ 240,000	\$ 202,563
NET GENERAL FUND	6,554,706	7,954,900	7,962,900	7,488,329
TOTAL DEPARTMENT FUNDING	\$ 6,850,510	\$ 8,194,900	\$ 8,202,900	\$ 7,690,892

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	INFORMATION TECHNOLOGY (03100)	STRATEGIC PLANNING & DIGITAL COMMUNICATIONS (03120)			
PROGRAM The IT Strategic Planning team focuses on the management and governance of technology related projects, IT policies and procedures, re-investment in IT staff, IT budget and office administration, as well as strategic training and planning for the department's future and vision.					
PROGRAM OBJECTIVES - Provide secure, cost effective and proficient technology leadership for Roseville - Customer focused, continuously improving partnerships, working towards the City's success - Achieve results by meeting business needs through flexible and agile innovation - Develop a trusted, cooperative, collaborative work environment					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - # of innovative projects submitted (annual) - Amount of Revenue transacted via technology (annual) - # of innovative projects completed (annual) - # of change requests submitted (annual) - % of Annual General Fund Operating Revenue Spent by IT Department		4 \$100 Mil 49 628 5.0%	25 \$216 Mil 12 650 5.3%	25 \$216 Mil 12 650 5.8%	25 \$216 Mil 12 650 5.3%
EFFICIENCY AND EFFECTIVENESS: - % of budget reinvested in staff (annual) - % of hours spent on innovation/projects (annual) - # of hours utilizing multisourcing staffing options (annual)		1.5% 30% 4,300	1.5% 30% 2,000	1.5% 30% 2,000	1.5% 30% 2,000
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 722,333 396,561 0 0	\$ 883,837 650,386 0 0	\$ 883,837 650,386 0 0	\$ 1,048,748 632,295 0 (1,000)
TOTAL RESOURCES		\$ 1,118,894	\$ 1,534,223	\$ 1,534,223	\$ 1,680,043
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.63	5.63	6.63	6.63
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 0 1,118,894	\$ 0 1,534,223	\$ 0 1,534,223	\$ 1,000 1,680,043
TOTAL FUNDING REQUIRED		\$ 1,118,894	\$ 1,534,223	\$ 1,534,223	\$ 1,681,043
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

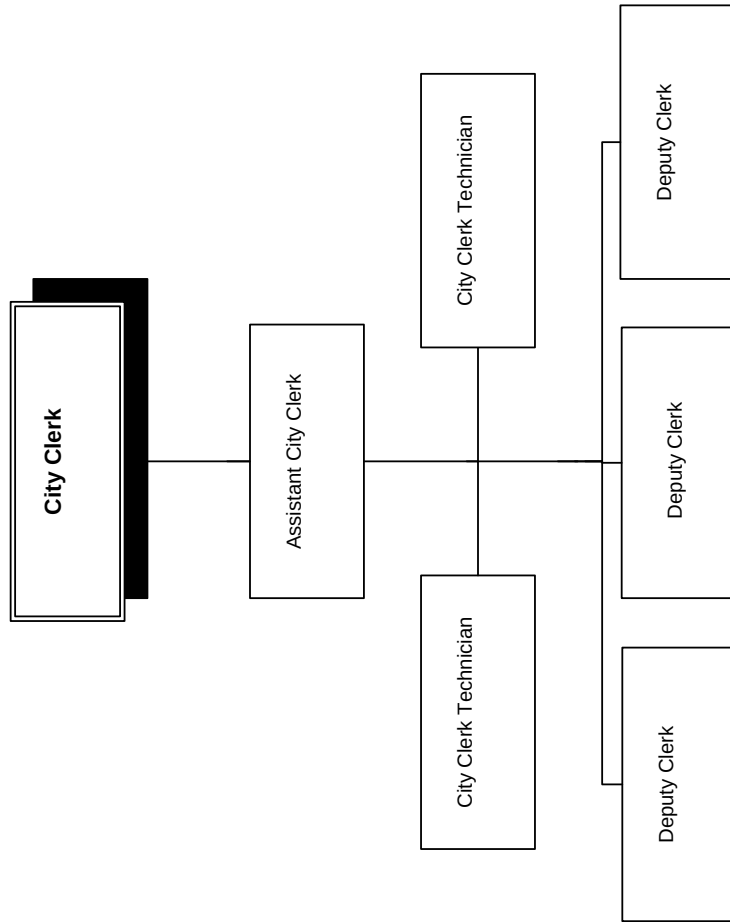
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	INFORMATION TECHNOLOGY (03100)	INFRASTRUCTURE (03121, 03122, 03124)			
PROGRAM The IT Infrastructure team consists of three divisions: Data Center, Network, and Geographical Information Systems/Internet. They are dedicated to the quality supply of the City's network, security (both physical and informational), radio and telephony, data center maintenance, database administration, server administration and security, web infrastructure and development, and all geographic information systems.					
PROGRAM OBJECTIVES - Provide secure, cost effective and proficient technology leadership for Roseville - Customer focused, continuously improving partnerships, working towards the City's success - Achieve results by meeting business needs through flexible and agile innovation - Develop a trusted, cooperative, collaborative work environment					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - # of radio transmissions without busy signal (quarterly) - # of landline/telephone calls with external customers (quarterly) - # of web conferences conducted (quarterly) - # of visits to City website (quarterly) - # of views of City website (quarterly)		2.20 Mil 2.22 Mil 909 4.51 Mil 28.4 Mil	2.26 Mil 3.23 Mil 988 3.2 Mil 20 Mil	2.26 Mil 3.23 Mil 988 3.5 Mil 28 Mil	2.26 Mil 3.23 Mil 988 3.5 Mil 25 Mil
EFFICIENCY AND EFFECTIVENESS: - % of security incidents quarantined (quarterly) - % of network uptime during business hours (quarterly) - % of radio transmission without interference (annual) - % of servers that are virtualized (annual) - % of technology solutions in the Cloud (annual)		100% 99% 100% 81% 32%	100% 99% 100% 80% 33%	100% 99% 100% 80% 33%	100% 99% 100% 80% 33%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 2,016,184	\$ 2,370,270	\$ 2,370,270	\$ 2,264,194
MATERIALS, SUPPLIES, SERVICES		1,040,748	1,264,868	1,264,868	768,430
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(42,220)	(40,000)	(40,000)	(98,263)
TOTAL RESOURCES		\$ 3,014,712	\$ 3,595,138	\$ 3,595,138	\$ 2,934,361
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		17.00	16.00	15.00	15.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 42,220	\$ 40,000	\$ 40,000	\$ 98,263
NET GENERAL FUND		3,014,712	3,595,138	3,595,138	2,934,361
TOTAL FUNDING REQUIRED		\$ 3,056,932	\$ 3,635,138	\$ 3,635,138	\$ 3,032,624
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA		DEPARTMENT	PROGRAM		
GENERAL GOVERNMENT		INFORMATION TECHNOLOGY (03100)	CUSTOMER SERVICE (03123, 03125, 03126)		
PROGRAM					
The IT Customer Service team comprises of three divisions: Service Desk, Business Applications, and Public Safety. They are dedicated to providing superior customer service to the City, incident management, asset management, business and public safety applications support, technology solutions implementation, report development and business solutions analysis.					
PROGRAM OBJECTIVES					
- Provide secure, cost effective and proficient technology leadership for Roseville					
- Customer focused, continuously improving partnerships, working towards the City's success					
- Achieve results by meeting business needs through flexible and agile innovation					
- Develop a trusted, cooperative, collaborative work environment					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME:					
- # of service requests and incidents handled (annual)		8,000	7,500	7,500	7,500
- # of general government business systems & solutions supported (annual)		110	110	110	110
- # of public safety systems & solutions supported (annual)		96	96	96	96
- # of utility systems & solutions supported (annual)		15	5	15	15
- # of mobile computing devices supported (annual)		1,010	500	1,500	1,500
- # of computing devices supported (annual)		1,560	1,250	1,500	1,500
- # of personal mobile devices supported (annual)		375	350	350	350
EFFICIENCY AND EFFECTIVENESS:					
- Application uptime during business hours (quarterly)		99%	99%	99%	99%
- % of priority 1 service or incident requests completed within 4 hrs (quarterly)		83%	75%	75%	75%
- % of medium service/incidents requests completed within 5 days (quarterly)		75%	80%	80%	80%
- % increase of mobile usage from prior year (annual)		9%	10%	10%	10%
- Overall customer satisfaction (annual)		n/a	95%	95%	95%
- # of open data sets established on internet (annual)		7%	10%	10%	10%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 2,130,244	\$ 2,313,282	\$ 2,313,282	\$ 2,383,981
MATERIALS, SUPPLIES, SERVICES		544,440	712,257	720,257	593,244
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(253,584)	(200,000)	(200,000)	(103,300)
TOTAL RESOURCES		\$ 2,421,100	\$ 2,825,539	\$ 2,833,539	\$ 2,873,925
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		18.00	18.00	18.00	18.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 253,584	\$ 200,000	\$ 200,000	\$ 103,300
NET GENERAL FUND		2,421,100	2,825,539	2,833,539	2,873,925
TOTAL FUNDING REQUIRED		\$ 2,674,684	\$ 3,025,539	\$ 3,033,539	\$ 2,977,225
ANALYSIS					



Organizational Chart: City Clerk

CITY CLERK DEPARTMENT

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The City Clerk Department's multi-faceted functions have evolved in response to the needs of the legislative body and its constituents. The Department is the link between the public, the City Council, and other City departments. The Department is committed to providing support services and access to government in an accurate, efficient, and timely manner with a focus on customer service. Department responsibilities include:

- City Council and staff support: legal posting, agenda preparation, legislative meeting follow-up, minutes preparation, and video streaming for the City Council, Roseville Housing Authority, Roseville Natural Gas Authority, and Roseville Finance Authority
- Board and commission member recruitment and training
- Passport processing and notary public services
- Records management
- Elections administration and campaign finance reform
- Fair Political Practices Commission Filing requirements
- Bids/contracts management
- City switchboard
- Bond and security processing

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

The City Clerk Department remains committed to efficiently supporting the City Manager, City Council, City staff, and the citizens of Roseville with a high level of customer service. Key programs for the Department during the next budget year include:

- **Administration:** In order to accurately maintain the legal record of the actions of the City Council and all permanent records, staff will continue to provide information and assistance in an efficient and service-oriented manner. Considerable time will be allocated to completing administrative functions and complying with regulations regarding the filing of Statements of Economic Interests (Form 700), ethics training tracking, board and commission recruitment, and bid openings. The Department will monitor changes to federal and state law and alter procedures to ensure compliance. The City Clerk Department work plan includes moving forward in automating the Fair Political Practices Commission candidate and councilmember campaign statements after successfully implementing an automated agenda system and electronic filing of Fair Political Practices Commission Form 700 in the past year.
- **Elections:** A general municipal election is scheduled for November 8, 2016. Three seats will be vacant with incumbent Bonnie Gore being the only member eligible for re-election. Candidate filings and reporting will be a priority function in the upcoming budget year. The City Clerk Department will partner with Placer County to implement similar election procedures to ensure a uniform voter experience.
- **Records Management:** The City Clerk Department will conduct a comprehensive review and develop plans to replace the City's current electronic document management system (SIRE/Hyland Company) with a replacement system. SIRE replacement is critical as the company will no longer be offering maintenance and upgrade services for the sixteen City departments currently using SIRE for their document storage needs.
- **Regional Passport Acceptance Center:** The City Clerk Department is designated by the U.S. Department of State, Bureau of Consular Affairs, as an authorized Passport Acceptance Facility. For the tenth consecutive year, the facility will continue to offer a convenient location for members of the community and surrounding region to submit passport applications.

KEY ISSUES

Key issues for the City Clerk Department include continuing current service levels while facing newly-imposed unfunded mandates regarding public records, campaign filing, and notification procedures.

SUMMARY

The City Clerk Department will continue to be responsive, credible, and innovative in serving the citizens of Roseville and our internal service partners. The Department is fully committed to safeguarding public trust by providing information and access to government.

DEPARTMENT BUDGET SUMMARY

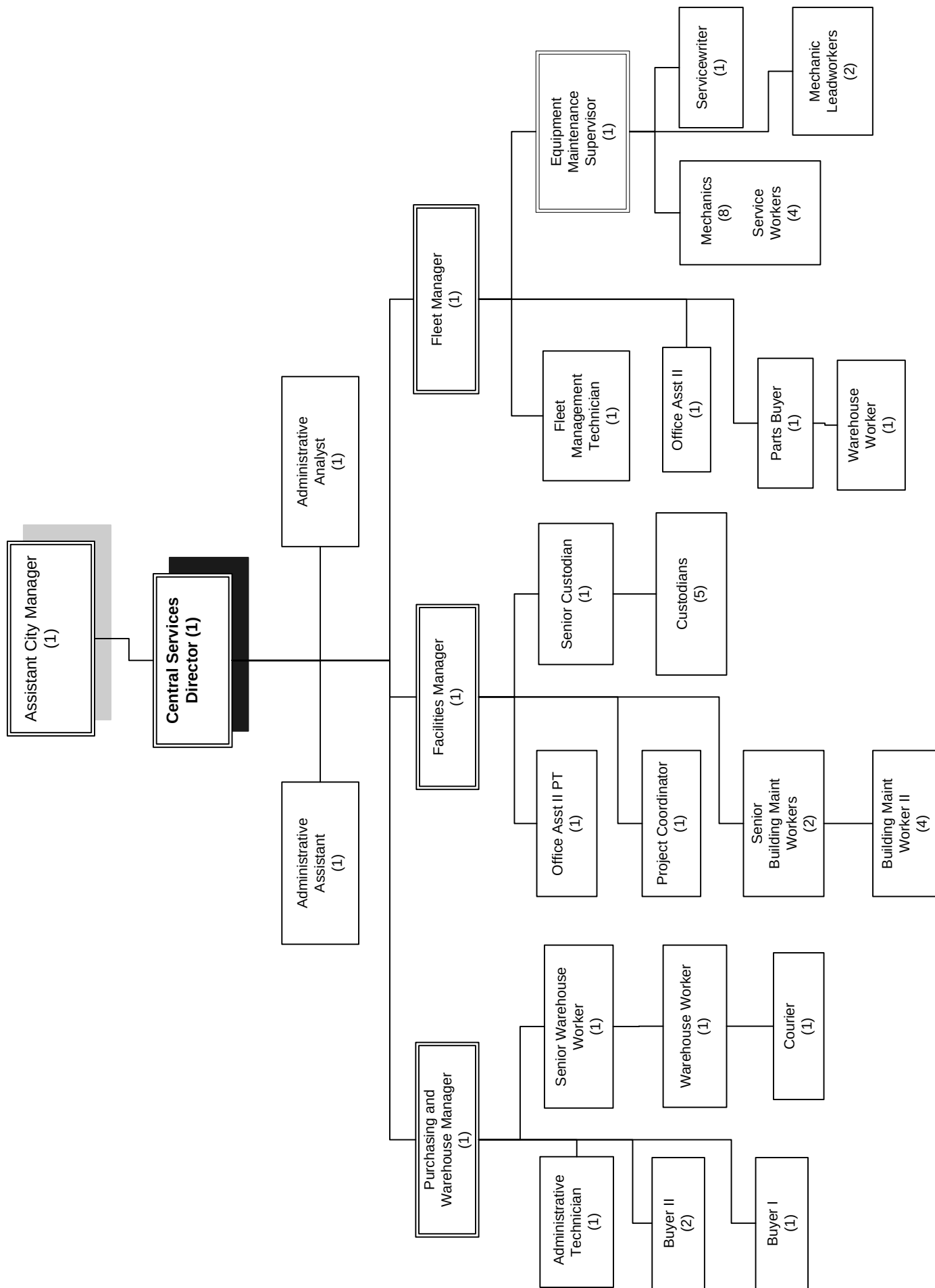
Fiscal Year 2016-17

CITY CLERK (03200)	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(03200) CLERK SUPPORT SERVICES	\$ 864,644	\$ 974,170	\$ 974,170	\$ 982,687
REIMBURSED EXPENDITURES	0	0	0	0
TOTAL DEPARTMENT EXPENDITURES	\$ 864,644	\$ 974,170	\$ 974,170	\$ 982,687
RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 706,082	\$ 839,433	\$ 839,433	\$ 806,237
MATERIALS, SUPPLIES, SERVICES	158,562	134,737	134,737	176,450
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	0	0	0	0
TOTAL NET RESOURCES REQUIRED	\$ 864,644	\$ 974,170	\$ 974,170	\$ 982,687
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	7.00	7.00	7.00	7.00
FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND	864,644	974,170	974,170	982,687
TOTAL DEPARTMENT FUNDING	\$ 864,644	\$ 974,170	\$ 974,170	\$ 982,687

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CITY CLERK (03200)	CLERK SUPPORT SERVICES (03200, 03201)			
PROGRAM To provide information on City Council, Housing Authority and Roseville Finance Authority meetings; elections; city records; and follow-up action to city departments, applicants and the general public in an accurate, efficient and timely manner.					
PROGRAM OBJECTIVES - Provide City Council minutes within 30 days of a meeting 80% of the time - Document legislative history information in the computer system no later than 4 days after each council meeting 80% of the time - Respond to numerous requests for information and public records requests within 10 days - Provide specialized services such as notarization of documents and passport processing - 100% compliance with Brown Act, Public Records Act, Elections Code and Fair Political Practices Commission "FPPC"					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Resolutions acted upon by City Council - Ordinances acted upon by City Council - Agenda items / entries input into legislative history - Housing and RFA meetings/minutes - Legal notices published and / or mailed - Requests for research / public records completed - Number of calls answered on City switchboard - Passport Applications Processed		543 156 750 14 38 282 24,412 3,730	425 130 700 5 35 245 25,000 2,700	459 160 757 10 35 305 24,000 3,200	450 140 750 10 35 295 24,000 3,100
EFFICIENCY AND EFFECTIVENESS: - Percent of time council minutes provided within 30 days - Percent of time legislative history documented within 4 days after meetings - Per capita costs of City Clerk department (excluding elections)		80% 80% \$5.91	80% 80% \$7.03	75% 80% \$6.89	80% 80% \$6.47
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 706,082	\$ 839,433	\$ 839,433	\$ 806,237
MATERIALS, SUPPLIES, SERVICES		158,562	134,737	134,737	176,450
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 864,644	\$ 974,170	\$ 974,170	\$ 982,687
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		7.00	7.00	7.00	7.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		864,644	974,170	974,170	982,687
TOTAL FUNDING REQUIRED		\$ 864,644	\$ 974,170	\$ 974,170	\$ 982,687
ANALYSIS					



Organizational Chart: Central Services Department

CENTRAL SERVICES

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The Central Services Department helps the City of Roseville better serve the public by providing a variety of services to all City departments including procurement and acquisition services, central warehousing, mail handling, fleet services, and facility services.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

Purchasing/Central Stores

- Continue to maintain an open, fair, and competitive central purchasing system while continuing to leverage multi-year, citywide commodity based strategies
- Expand internal customer outreach and training program
- Continued focus on training and certification programs for Purchasing and Warehouse staff
- Continued focus on vendor outreach programs

Facility Management

- Operations and maintenance budget and plan for new 316 Vernon facility, parking garage, and Fire Station #1
- Management of several capital improvement projects (CIPs) at multiple facilities
- Update ADA Transition Plan
- Continue to leverage multi-year service agreements for contracted services
- Update 10-Year Facility CIP Plan and facility condition assessments

Fleet

- Maintain Automotive Service Excellence (ASE) Blue Seal of Excellence status
- Maintain compliance with all California Air Resources Board's rules and regulations for public fleets
- Apply for additional grant funding for compressed natural gas (CNG) vehicles and infrastructure improvements

KEY ISSUES

- Staffing levels will continue to be a challenge in the Purchasing and Facilities divisions this fiscal year
- Focus will be on customer service and maintaining service levels
- Continue to augment operations with contracted services
- The 10-Year Facility CIP Plan includes several projects that have been deferred over the past few years. Increased funding in the General CIP Rehabilitation Fund and deferred maintenance will continue to be a key issue over the next few years

SUMMARY

We will continue our commitment to professional, timely, and consistent customer service across all divisions. We will work to refine the culture of innovation and improvement and continue our commitment to collaboration and innovation. All divisions will continue to examine their operations in order to improve efficiencies and lower operating costs.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

CENTRAL SERVICES (03300)	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(03300) DEPARTMENT ADMINISTRATION	\$ 443,577	\$ 496,274	\$ 496,274	\$ 539,721
(03311) PURCHASING	552,268	543,176	543,176	696,898
(03312) CENTRAL STORES	354,752	326,511	326,511	339,790
(03321) AUTOMOTIVE SERVICES	5,963,593	6,387,886	6,387,886	6,096,921
(03331) BUILDING AND CUSTODIAL MAINTENANCE	3,091,176	3,275,641	3,275,641	3,372,136
TO BE FUNDED BY AUTOMOTIVE SERVICES FUND REIMBURSED EXPENDITURES	(5,962,127) (3,032)	(6,386,386) (1,500)	(6,386,386) (1,500)	(6,095,921) (1,000)
TOTAL DEPARTMENT EXPENDITURES	\$ 4,440,207	\$ 4,641,602	\$ 4,641,602	\$ 4,948,545

RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 4,363,130	\$ 4,869,395	\$ 4,869,395	\$ 5,096,334
MATERIALS, SUPPLIES, SERVICES	6,042,236	6,075,093	6,075,093	5,864,132
CAPITAL OUTLAYS	0	85,000	85,000	85,000
REIMBURSED EXPENDITURES	(3,032)	(1,500)	(1,500)	(1,000)
NET AUTOMOTIVE SERVICES FUND	(5,962,127)	(6,386,386)	(6,386,386)	(6,095,921)
TOTAL NET RESOURCES REQUIRED	\$ 4,440,207	\$ 4,641,602	\$ 4,641,602	\$ 4,948,545
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	46.75	46.75	46.75	48.75

FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 3,032	\$ 1,500	\$ 1,500	\$ 1,000
NET AUTOMOTIVE SERVICES FUND	5,962,127	6,386,386	6,386,386	6,095,921
NET GENERAL FUND	4,440,207	4,641,602	4,641,602	4,948,545
TOTAL DEPARTMENT FUNDING	\$ 10,405,366	\$ 11,029,488	\$ 11,029,488	\$ 11,045,466

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CENTRAL SERVICES (03300)	ADMINISTRATION (03300)			
PROGRAM To provide general direction and assistance to the department to assure that all divisions are providing quality service to our users.					
PROGRAM OBJECTIVES - To provide leadership, direction and coordination to the divisions of the Central Services Department so that they can achieve their goals and objectives - To enhance communication and coordination of Central Services to better support the other department users - To coordinate the Capital Improvement Projects (CIPs) for city facilities					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of Department positions (FTE)		46.75	46.75	46.75	48.75
EFFICIENCY AND EFFECTIVENESS: - Percent of overall department objectives achieved - General Fund cost per capita		100% \$33.78	100% \$35.11	100% \$34.62	100% \$36.25
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 428,406	\$ 478,011	\$ 478,011	\$ 512,308
MATERIALS, SUPPLIES, SERVICES		15,171	18,263	18,263	27,413
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 443,577	\$ 496,274	\$ 496,274	\$ 539,721
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		3.00	3.00	3.00	3.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		443,577	496,274	496,274	539,721
TOTAL FUNDING REQUIRED		\$ 443,577	\$ 496,274	\$ 496,274	\$ 539,721
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CENTRAL SERVICES (03300)	PURCHASING (03311, 03319)			
PROGRAM To provide materials and supplies to the operating departments in a timely manner at the most reasonable cost, and to maintain central store's inventory to support customer requirements. To procure goods and services in accordance with all applicable laws in an efficient and effective manner while meeting the needs of the end user.					
PROGRAM OBJECTIVES - Process 90% of purchase requests within ten business days after request is entered - Process 90% of purchase requests requiring formal bids that result in a purchase order within 60 days - Process 95% of purchase requests requiring formal bids that result in a service agreement within 75 days - Negotiate cost savings on 5% of total purchase requests spent - Keep customer satisfaction surveys at 96%					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Purchase requests processed - Formal bid requests requiring purchase orders - Formal bid requests requiring service agreements		2,842 20 16	2,100 25 12	2,800 25 15	2,800 20 15
EFFICIENCY AND EFFECTIVENESS: - Percent of purchase requests processed within ten days - Percent of formal bid requests requiring purchase orders processed in 60 days - Percent of formal bid requests requiring service agreements processed in 75 days - Percent of purchase requests spent with negotiated cost savings - Percent of customer satisfaction		n/a n/a n/a n/a n/a	90% 90% 95% 5% 96%	50% 90% 95% 3% 96%	90% 90% 95% 3% 96%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 527,034	\$ 518,595	\$ 518,595	\$ 645,841
MATERIALS, SUPPLIES, SERVICES		25,234	24,581	24,581	51,057
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 552,268	\$ 543,176	\$ 543,176	\$ 696,898
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		5.00	5.00	5.00	6.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		552,268	543,176	543,176	696,898
TOTAL FUNDING REQUIRED		\$ 552,268	\$ 543,176	\$ 543,176	\$ 696,898
ANALYSIS The increase in FTE for FY2016-17 is due to adding one Senior Buyer.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CENTRAL SERVICES (03300)	CENTRAL STORES (03312)			
PROGRAM To provide materials and supplies to the operating departments in a timely manner, and to maintain an accurate inventory. To protect the City's investment in the purchase of goods by receiving, issuing, surplusing and controlling inventory in an efficient and effective manner.					
PROGRAM OBJECTIVES - Process stock requisitions within two business days - Perform cycle counts on schedule and maintain inventory accuracy between IFAS count and physical count at 95% - Reduce overhead and carrying cost					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Total inventory valuation - Total line items - Customer stock requisitions processed - Percent of cycle counts completed		n/a n/a 4,098 n/a	n/a n/a 3,800 n/a	n/a n/a 3,700 n/a	1,500,000 2,206 3,500 100%
EFFICIENCY AND EFFECTIVENESS: - Percent of inventory turns - Percent of line items issued - Percent of customer satisfaction - Percent of accuracy		n/a n/a n/a n/a	n/a n/a n/a n/a	n/a n/a n/a n/a	84% 80% 96% 95%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 265,320	\$ 255,344	\$ 255,344	\$ 262,943
MATERIALS, SUPPLIES, SERVICES		89,432	71,167	71,167	76,847
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 354,752	\$ 326,511	\$ 326,511	\$ 339,790
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		3.00	3.00	3.00	3.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		354,752	326,511	326,511	339,790
TOTAL FUNDING REQUIRED		\$ 354,752	\$ 326,511	\$ 326,511	\$ 339,790
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

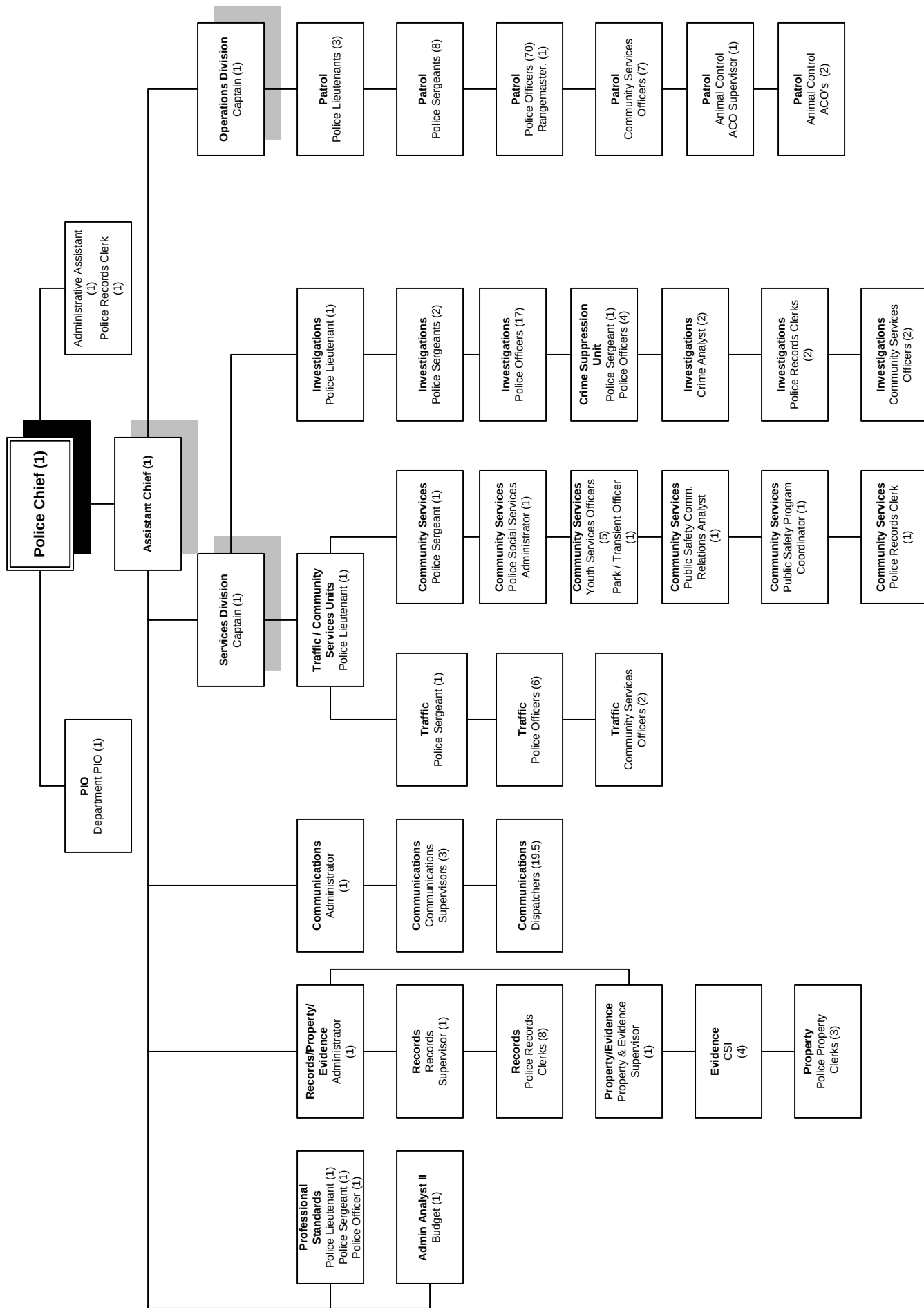
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CENTRAL SERVICES (03300)	AUTOMOTIVE SERVICES (03321)			
PROGRAM To support the various departments by providing high quality service and repair to city vehicles and equipment with a minimum amount of down-time.					
PROGRAM OBJECTIVES - To perform at least 98% of all scheduled preventive maintenance inspections within 30 calendar days of due time - To conduct 98% of all state mandated vehicle inspections (CHP, smog & crane inspections) within their required inspection period - To keep an average of 93% of city vehicles in service - To keep customer satisfaction surveys at 96% - 70% of work orders completed within 24 hours - 70% Technician time on workorders					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Total number of vehicles / equipment - Total number of vehicles / equipment in service daily - Total number P. M. I. scheduled - Total number CHP inspections due - Total number of smog and crane inspections due - Total number of work orders - Total possible technician hours		889 868 1,197 1,245 291 7,827 26,716	880 840 1,260 1,150 344 8,000 29,120	880 840 1,260 1,150 344 8,000 29,120	880 840 1,260 1,150 344 8,000 29,120
EFFICIENCY AND EFFECTIVENESS: - Percent of P. M. I. completed on schedule - Percent of CHP, smog and crane inspections completed - Percent of city vehicles in service daily - Percent of customer satisfaction - Percent of workorders completed within 24 hours - Percent of possible technician hours on workorders		98% 99% 95% 99% 77% 69%	98% 98% 93% 96% 70% 70%	98% 98% 93% 96% 70% 70%	98% 98% 93% 96% 70% 70%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,859,670	\$ 2,146,903	\$ 2,146,903	\$ 2,108,205
MATERIALS, SUPPLIES, SERVICES		4,103,923	4,155,983	4,155,983	3,903,716
CAPITAL OUTLAYS		0	85,000	85,000	85,000
REIMBURSED EXPENDITURES		(1,466)	(1,500)	(1,500)	(1,000)
TOTAL RESOURCES		\$ 5,962,127	\$ 6,386,386	\$ 6,386,386	\$ 6,095,921
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		21.00	21.00	21.00	21.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 1,466	\$ 1,500	\$ 1,500	\$ 1,000
NET AUTOMOTIVE SERVICES FUND		5,962,127	6,386,386	6,386,386	6,095,921
TOTAL FUNDING REQUIRED		\$ 5,963,593	\$ 6,387,886	\$ 6,387,886	\$ 6,096,921
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	CENTRAL SERVICES (03300)	BUILDING AND CUSTODIAL MAINTENANCE SERVICES (03331 - 03332)			
PROGRAM To provide the City of Roseville with high quality maintenance, repair, and custodial service that present a favorable public image and a conducive environment for high productivity and community service.					
PROGRAM OBJECTIVES - Complete 98% of all Preventative Maintenance (PM) within 30 days of assignment - Complete 98% of all regulatory compliance inspections within 30 days of assignment - Provide quality facility maintenance services at or above established industry standards - Maintain square foot assigned to maintenance and custodial staff at or above established industry standards					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Preventive maintenance hours - Average square foot maintained per Building Maintenance Worker - Average square foot cleaned per Custodian		15,945 163,667 72,005	10,000 162,465 74,195	5,400 173,000 73,119	5,000 173,000 74,195
EFFICIENCY AND EFFECTIVENESS: - Total cost of maintenance per square foot - Percent of PM's completed in 30 days of assignment - Percent of priority 5 service orders completed in 3 days of assignment - % of regulatory compliance inspections conducted within 30 days of assignment - Total manpower efficiency \$ productivity hrs pr/maintenance staff (wrench time) - Total cost of custodial per square foot - Percent of custodial facility inspections completed monthly		\$1.62 99% 98% 100% 0.83 \$1.76 94%	\$1.55 97% 97% 98% 0.70 \$1.70 98%	\$1.55 98% 98% 98% 0.70 \$1.60 98%	\$1.60 98% 98% 98% 72.00 \$1.60 98%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 1,282,700 1,808,476 0 (1,566)	\$ 1,470,542 1,805,099 0 0	\$ 1,470,542 1,805,099 0 0	\$ 1,567,037 1,805,099 0 0
TOTAL RESOURCES		\$ 3,089,610	\$ 3,275,641	\$ 3,275,641	\$ 3,372,136
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		14.75	14.75	14.75	15.75
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 1,566 3,089,610	\$ 0 3,275,641	\$ 0 3,275,641	\$ 0 3,372,136
TOTAL FUNDING REQUIRED		\$ 3,091,176	\$ 3,275,641	\$ 3,275,641	\$ 3,372,136
ANALYSIS The increase in FTE for FY2016-17 is due to adding one Assistant Building Maintenance Worker.					



Organizational Chart: Police Department

POLICE DEPARTMENT

FISCAL YEAR 2016-17

OVERVIEW OF SERVICES

The Roseville Police Department provides all law enforcement services within the City. Our primary mission is the safety of the public and the protection of their property. To meet our City's goal of a safe and healthy community, we provide the following services:

- **Police Administration** sets goals and provides leadership to the department. Police administration includes the Professional Standards Unit, training and personnel, payroll, and budget/finance.
- **Police Records Unit** processes thousands of police reports, citations, and other documents annually, and provides for the security and legal release of police record information.
- **The Communications Unit** answers 911 and routine calls for police, fire, and advanced emergency medical dispatch, and dispatches the appropriate assistance. The unit also conducts public outreach through "911 for Kids," and "911 Community Outreach."
- **Police Community Services** places police officers on school campuses, provides follow-up services for truants and runaways, and follow-up contact and referral services for families of arrested youth. The unit also includes public information and police volunteers. This unit coordinates neighborhood-policing services, helps organize neighborhood watch groups and other crime prevention efforts, and manages the department's false alarm reduction program.
- **Police Patrol** provides uniformed police officers and other staff who respond to emergencies and routine calls for service. Patrol also includes Regional Special Operations Units which include SWAT, CINT, and EOD teams.
- **Police Investigation** investigates major crimes, with the goal of identifying and arresting the perpetrators. The investigation division also includes the Crime Suppression Unit, which targets gangs, known offenders, and emerging crime problems; the Vice and Narcotics Enforcement Team; and investigators who are assigned to the Placer County Narcotics Task Force and the Placer County Vehicle Theft Task Force.
- **Police Traffic** enforces traffic laws, DUI (driving under the influence) laws, and investigates traffic collisions.
- **Animal Control** enforces animal control laws, responds to animal-related emergency and routine calls, and takes dead, stray, and abandoned animals to the shelter. The City's contract with the Placer County SPCA for shelter and disposal service is included in the Animal Control budget.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

One of the major changes in our FY2016-17 budget is the closing of our city jail. The jail closure allowed Correctional Officer salaries to be reallocated, thus allowing for four existing part-time positions to become full time and converting three Correctional Officer positions to Community Service Officers, currently serving our community by handling non-emergency calls for service. This repositioning has allowed for greater public service to our community. In the coming year, the former jail space will be utilized as badly needed secure storage for Property and Evidence, Records and EOD, as well as additional office workspace. However, with the closing of the jail, we will be paying the Placer County Sheriff's Department approximately \$206,000 this fiscal year for jail access fees; this number will be less in future years as fees are based on a three-year average. New jail fees, along with increased liability costs for FY2016-17, have increased our overall materials, supplies and services budget, and have been included in our FY2016-17 funding.

This year we will also move forward with the implementation of the New World Computer Aided Dispatch/Records Management System (CAD/RMS). Two of three phases were completed in FY2015-16 which included the replacement of CAD, Mobile, and RMS systems. The remaining phase will consist of building a disaster recovery site, completion of several interfaces including regional CAD to CAD interface, and the implementation of the property and evidence module.

KEY ISSUES

- The department has been very successful in filling vacant police officer positions through outreach and recruitment. This year's budget requests the addition of one sworn officer dedicated to patrol our parks and open spaces. If approved, we hope to have this position filled in early July.

- The SPCA contract for animal care and shelter, represents 15 percent of our materials, supplies, and services budget and is the largest annual contractor for the police department.
- Liability coverage expenses for the police department continues to increase annually. For FY2016-17, liability costs increased by \$199,000 over FY2015-16, and makes up another 15 percent of our materials, supplies, and services budget.
- The increase of 5.01 percent in salaries, wages, and benefits for FY2016-17 is due in large part to new Memoranda of Understanding (MOUs) for both sworn and professional staff and includes the proposed new sworn officer position.
- The materials, supplies, and services increase of 4.55 percent (\$219,785) is due to the increase in jail access fees and liability costs. What this means for Police is that we greatly reduced actual expenditures for FY2016-17 in order to accommodate for the increase in both jail fees and liability costs of \$405,000.

SUMMARY

The Roseville Police Department is dedicated to providing the best quality of life possible through the highest level of service to the citizens of Roseville. We will continue to work together with our neighborhoods and businesses to identify and resolve community problems, and take necessary and effective action to ensure a safe City.

Fiscal Year 2016-17

POLICE (05500)	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(05500) ADMINISTRATION, SUPPORT & COMM. SERVICES	\$ 9,221,024	\$ 9,884,201	\$ 9,824,101	\$ 10,010,805
(05531) POLICE OPERATIONS	22,707,751	24,440,026	24,282,594	25,806,497
REIMBURSED EXPENDITURES	(3,507)	(5,000)	(5,000)	(5,000)
TOTAL DEPARTMENT EXPENDITURES	\$ 31,925,268	\$ 34,319,227	\$ 34,101,695	\$ 35,812,302

RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 27,911,408	\$ 29,289,382	\$ 29,289,382	\$ 30,756,204
MATERIALS, SUPPLIES, SERVICES	3,996,367	4,997,845	4,780,313	5,055,098
CAPITAL OUTLAYS	21,000	37,000	37,000	6,000
REIMBURSED EXPENDITURES	(3,507)	(5,000)	(5,000)	(5,000)
TOTAL NET RESOURCES REQUIRED	\$ 31,925,268	\$ 34,319,227	\$ 34,101,695	\$ 35,812,302
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	193.50	194.50	196.50	197.50

<i>FUNDING SUMMARY</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 3,507	\$ 5,000	\$ 5,000	\$ 5,000
NET GENERAL FUND	31,925,268	34,319,227	34,101,695	35,812,302
<i>TOTAL DEPARTMENT FUNDING</i>	\$ 31,928,775	\$ 34,324,227	\$ 34,106,695	\$ 35,817,302

PROGRAM PERFORMANCE BUDGET

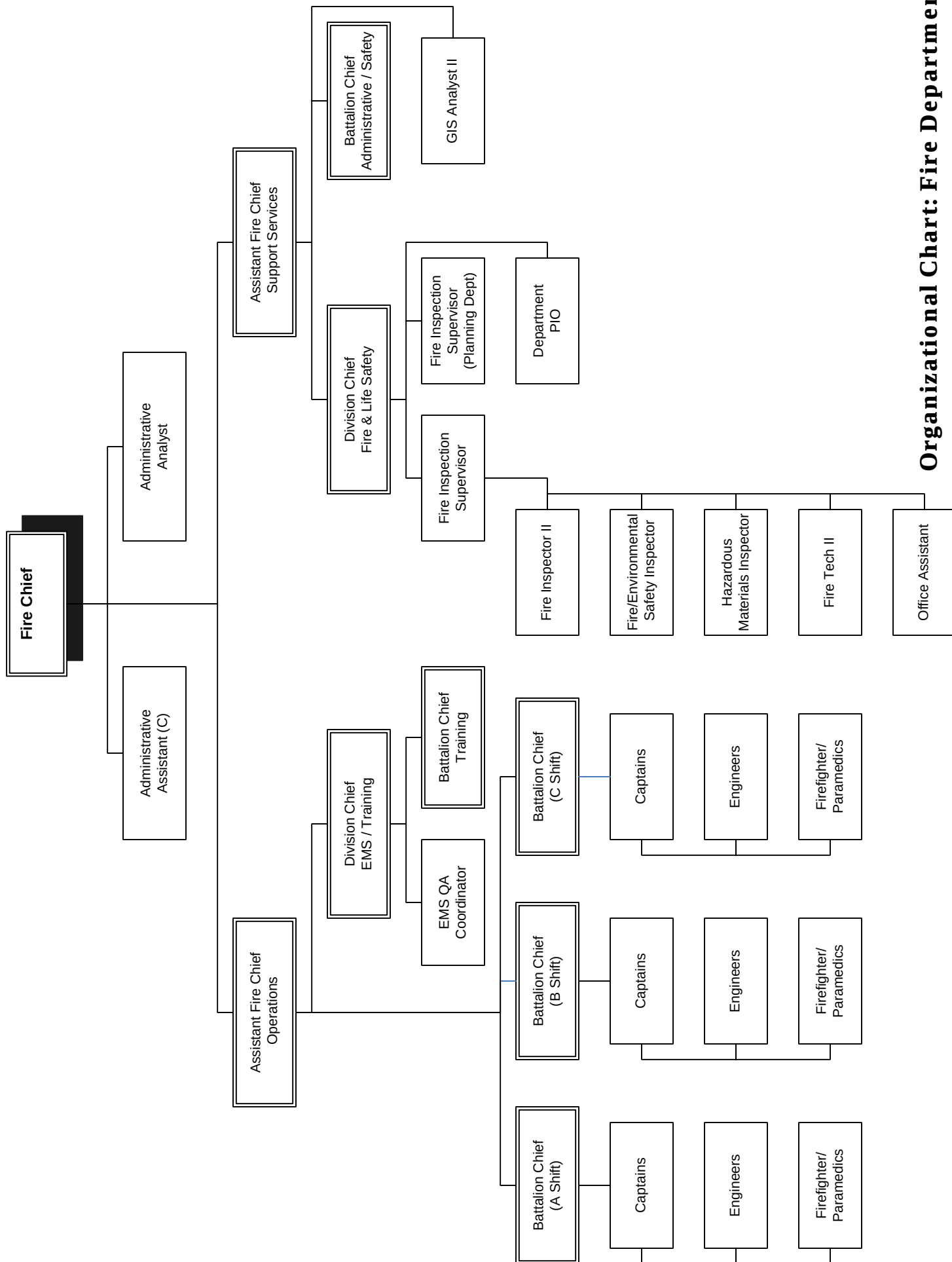
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
POLICE	POLICE (05500)	ADMINISTRATION, SUPPORT AND COMMUNITY SERVICES (05500, 05511, 05512, 05513, 05514)			
PROGRAM To serve the community with outstanding emergency communication services, records, property and other police support services. To provide outstanding prevention programs for the community, schools, youth and families. To uphold the highest professional standards of the police profession through leadership, recruitment, hiring and training.					
PROGRAM OBJECTIVES -To meet or exceed POST training standards for applicable employees -To maintain timely entry of police reports into the automated police records system -To review 6 Emergency Medical Dispatch calls per dispatcher per quarter -To provide a safe learning environment at our schools by building relationships with youth and giving them alternatives to delinquent behavior					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Phone calls received by communication center - Jail bookings at Placer County * - Police reports processed - Training hours completed, department wide - Volunteers hired - Volunteer hours provided - Counseling intern hours provided		109,951 3,224 12,377 8,327 5 13,575 3,884	120,000 4,000 14,000 10,000 10 20,000 4,000	90,000 211 11,300 10,000 10 14,000 2,340	120,000 4,000 14,000 10,000 10 20,000 1,700 ***
EFFICIENCY AND EFFECTIVENESS: - Percentage of EMD quality assurance reports receiving a rating between 17-20 (excellent) - Average time lapse in days between receipt of crime report and data entry - Do employees meet POST in-service training requirements as determined by quarterly training (Y/N)		88% 6 Yes	100% 6 Yes	94% 12 ** Yes	100% 6 Yes
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 8,145,808 1,054,216 21,000 (1,498)	\$ 8,652,836 1,199,365 32,000 (1,250)	\$ 8,652,836 1,139,265 32,000 (1,250)	\$ 8,932,496 1,072,309 6,000 0
TOTAL RESOURCES		\$ 9,219,526	\$ 9,882,951	\$ 9,822,851	\$ 10,010,805
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		64.50	64.50	63.50	63.50
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 1,498 9,219,526	\$ 1,250 9,882,951	\$ 1,250 9,822,851	\$ 0 10,010,805
TOTAL FUNDING REQUIRED		\$ 9,221,024	\$ 9,884,201	\$ 9,824,101	\$ 10,010,805
ANALYSIS The change in FTE during FY2015-16 is due to the elimination of 6 positions from the Jail program, addition of 1 Property & Evidence Clerk, 1 Records Clerk, 1 Social Services Administrator, 1.5 FTE for Dispatchers, and 0.5 FTE for a Public Safety Outreach & Communication Relation Coordinator position. * Jail closed October 2015. ** Staffing issues with vacancies in the Records program caused lapse in crime report data entry. *** Intern hours are mandated by the school attending and range from 16 to 24 hours per week. The target is dependent on the number of interns we plan to keep in the schools.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
POLICE	POLICE (05500)	OPERATIONS - PATROL, INVESTIGATIONS, TRAFFIC, ANIMAL CONTROL (05531, 05532, 05533, 05535, 05540)			
PROGRAM To increase the safety of the public and the protection of their property through criminal and traffic law enforcement, prevention, community/neighborhood partnerships, and effective investigations.					
PROGRAM OBJECTIVES - To maintain or reduce the Part 1 crime rate - To maintain or reduce the number of DUI related collisions through enforcement, education and checkpoints - To maintain or reduce injury and fatal traffic collisions through enforcement.					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Police dispatched calls for service (officer or citizen initiated, unit responded) - Animal Control calls for service - Arrests and misdemeanor citations - Investigation cases assigned - Injury and fatal traffic collisions - DUI-related collisions - Number of dog licenses issued / active dog licenses in system		40,129 6,346 4,429 748 641 104 4,277 / 5,347	40,000 7,000 6,000 700 500 150 4,600 / 8,300	47,952 6,042 4,100 1,000 540 95 4,296 / 5,400	50,000 7,000 6,000 800 500 150 4,600 / 8,300
<u>Calendar Year</u> - Part 1 violent crimes reported (by calendar year) - Part 1 property crimes reported (by calendar year)		<u>2014</u> 209 3,202	<u>2015</u> 300 4,000	<u>2015</u> 310 3,332	<u>2016</u> 300 4,000
EFFICIENCY AND EFFECTIVENESS: <u>Calendar Year</u> - Part 1 Crimes per 100,000 population (crime rate) - Percentage violent crimes cleared - Percentage property crimes cleared		<u>2014</u> 2,585 56% 21%	<u>2015</u> 3,000 55% 20%	<u>2015</u> 2,746 60% 20%	<u>2016</u> 3,000 55% 20%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 19,765,600 2,942,151 0 (2,009)	\$ 20,636,546 3,798,480 5,000 (3,750)	\$ 20,636,546 3,641,048 5,000 (3,750)	\$ 21,823,708 3,982,789 0 (5,000)
TOTAL RESOURCES		\$ 22,705,742	\$ 24,436,276	\$ 24,278,844	\$ 25,801,497
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		129.00	130.00	133.00	134.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 2,009 22,705,742	\$ 3,750 24,436,276	\$ 3,750 24,278,844	\$ 5,000 25,801,497
TOTAL FUNDING REQUIRED		\$ 22,707,751	\$ 24,440,026	\$ 24,282,594	\$ 25,806,497
ANALYSIS The increase in FTE during FY2015-16 is due to adding 3 Community Service Officers. The increase in FTE for FY2016-17 is due to adding 1 Police Officer.					



Organizational Chart: Fire Department

FIRE DEPARTMENT

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The Roseville Fire Department is a full-service agency that is also responsible for citywide emergency preparedness coordination. In fulfilling our mission, the following services are provided:

- **Fire Administration** implements City policies and programs including the management of the various programs within the Fire Department to provide the highest level of fire and emergency management services in a responsible way.
- **Fire and Life Safety** is the focal point of the Fire Department's efforts to minimize fire losses in our community. This division's key role is improving the safety and quality of life of the citizens of Roseville. Its primary activities are fire code enforcement, a comprehensive fire safety inspection program, plan review services, hazardous materials enforcement, hazard abatement, and public education activities. The Division also manages the Buckle-Up Baby and public information/education programs.
- **Fire Operations** provides emergency response to all types of fires, medical emergencies, hazardous materials releases, technical rescue, and tactical medics that support the Roseville Police Department Special Weapons and Tactics (SWAT) team. Our firefighters respond to requests for service from the public when those services are not assigned to another public agency. The Division also performs fire cause and origin investigations, participates in the Sacramento Regional Homeland Security Initiative, and the FEMA Regional CA Urban Search and Rescue Task Force 7. Fire Operations supports public education and information programs designed to prepare the citizens to better prevent a wide range of emergencies.
- **Fire Training** provides training at our training center for all firefighters within the Department and for regional cooperators. Effective training is the single most important ingredient to the readiness of our firefighters and emergency responders to fulfill their assigned mission. The training center is utilized by other fire departments on a daily fee-for-use basis. In addition, it provides quality training, service agreements, and contracts on a cost-recovery basis for the use of our facilities, equipment, and personnel. The use of our training center for the Sierra College Regional Fire Academy is included.
- **Fire Support Services (Logistics)** provides all the logistical, purchasing, and management support for the business support side of the Fire Department. This includes the maintenance of all stations, personal protective equipment, administration of information technology and Geographic Information System (GIS) activities, purchasing of supplies, and management of fleet and financial operations.
- **Emergency Preparedness** is a citywide program managed by the Fire Department which includes the maintenance of the Emergency Operations Center, emergency operations and mitigation plans, and other technology related to disaster planning and preparedness. This program also provides ongoing emergency management training to employees and the general public.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

The budget continues the implementation of a multi-year project to update our very high frequency (VHF) radios and provide delayed infrastructure maintenance. In addition, further work will be done to identify a replacement for a fire (ladder) truck.

KEY ISSUES

Working within budgetary limitations, the key issues facing the Fire Department will be in providing the safe and effective delivery of fire emergency services (facilities, equipment, and personnel) to staff, constituents, and visitors.

- Maintaining minimum training standards as required by state and federal agencies.
- The department continues to be challenged with the replacement of key equipment such as Self-Contained Breathing Apparatus (SCBA) bottles, VHF equipment, and other items as it is mandated given budgetary restraints. The Department will continue to work with City staff to identify alternative funding methods
- Maintain basic citywide Emergency Preparedness capabilities
- Maintaining response vigilance in support of homeland security
- Managing the loss of experienced senior personnel and training their successors

SUMMARY

The FY2016-17 budget reflects the Department's continuing commitment to provide fire and emergency services in a well-planned, cost effective, and professional manner to our citizens.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

<i>FIRE (06000)</i>	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(06000) ADMINISTRATION & GRANTS	\$ 765,380	\$ 784,854	\$ 784,854	\$ 738,380
(06011) FIRE & LIFE SAFETY	1,448,528	1,634,072	1,634,072	1,671,078
(06021) FIRE OPERATIONS	24,357,260	23,914,875	24,391,005	24,488,621
(06022) FIRE TRAINING	648,316	797,983	743,682	569,736
(06040) EMERGENCY PREPAREDNESS	170,359	373,159	373,159	297,509
REIMBURSED EXPENDITURES	(9,593)	0	0	(5,000)
TOTAL DEPARTMENT EXPENDITURES	\$ 27,380,250	\$ 27,504,943	\$ 27,926,772	\$ 27,760,324

<i>RESOURCES</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 24,780,550	\$ 24,580,377	\$ 25,027,204	\$ 25,263,223
MATERIALS, SUPPLIES, SERVICES	2,548,459	2,912,002	2,887,004	2,502,101
CAPITAL OUTLAYS	60,834	12,564	12,564	0
REIMBURSED EXPENDITURES	(9,593)	0	0	(5,000)
TOTAL NET RESOURCES REQUIRED	\$ 27,380,250	\$ 27,504,943	\$ 27,926,772	\$ 27,760,324
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	119.50	119.50	119.50	119.00

<i>FUNDING SUMMARY</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 9,593	\$ 0	\$ 0	\$ 5,000
NET GENERAL FUND	27,380,250	27,504,943	27,926,772	27,760,324
TOTAL DEPARTMENT FUNDING	\$ 27,389,843	\$ 27,504,943	\$ 27,926,772	\$ 27,765,324

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
FIRE	FIRE (06000)	ADMINISTRATION & GRANTS (06000, 06026)			
PROGRAM To coordinate and plan the overall operation and resources of the department for the protection and enhancement of the safety and well being of residents, businesses, customers, and partners.					
PROGRAM OBJECTIVES <u>COORDINATION</u> To Provide program direction and planning for all divisions: - Implement and support Fire Department mission, vision, and values - Support and facilitate Program managers in accomplishing their goals - Facilitate team-building programs for all members of the management team - Promote increased communication and participation at all levels within the department <u>PLANNING</u> Provide a planning interface with other City Departments and regional agencies to facilitate improved fire services: - Monitor City development and 'Standards of Response Coverage' as it relates to staffing and construction of fire stations - Provide systems analysis and computerization of existing business processes and operations - Participate in regional planning activities, including cooperation and coordination of personnel, training, equipment and facilities					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Total number of department positions		119.50	119.50	119.50	119.00
EFFICIENCY AND EFFECTIVENESS: - City ISO Rating - General Fund cost per capita		3 \$208.32	3 \$207.88	2 \$208.30	2 \$203.37
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 650,178	\$ 670,969	\$ 670,969	\$ 696,395
MATERIALS, SUPPLIES, SERVICES		115,202	113,885	113,885	41,985
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 765,380	\$ 784,854	\$ 784,854	\$ 738,380
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.50	4.50	4.50	4.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		765,380	784,854	784,854	738,380
TOTAL FUNDING REQUIRED		\$ 765,380	\$ 784,854	\$ 784,854	\$ 738,380
ANALYSIS The City ISO rating was upgraded to a 2 in 2015. The drop in FTE for FY2016-17 is due to removing a 0.50 FTE allocation for a Public Education Coordinator.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
FIRE	FIRE (06000)	FIRE & LIFE SAFETY (06011)			
PROGRAM To protect life, the environment and property through the development and application of sound fire and hazardous materials education engineering and enforcement policies.					
PROGRAM OBJECTIVES - Perform 100% of all State mandated - To have all inspection staff certified by the International Code Council - Maintain CalEPA Certified Unified Program Requirements - Provide a professional asesment regarding firesetting behavior for all juveniles referred to the Department - Provide a fire safety education program that reaches the majority of K-5th grade students attending Roseville schools					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of Assembly (A) occupancy - Number of Educational (E) occupancy inspections - Number of Institutional (I) Occupancy inspections - Number of Hotel / Motel / Apartment (R-1/R-2) occupancy inspections - Number of Large Family Daycare (R-3) occupancy inspections - Number of Residential Care (R-3.1) occupancy inspections - Number of Hazardous Materials/CUPA inspections - Number of fireworks booth, public display and special effects inspections - Number of juvenile firesetting assesments performed - Number of Roseville K-5th grade students receiving fire safety education		478 105 n/a 317 n/a 254 n/a n/a 11 n/a	475 115 n/a 380 n/a 260 680 n/a 15 n/a	475 115 12 380 60 260 680 20 15 8,000	475 115 12 380 60 260 680 20 15 8,000
EFFICIENCY AND EFFECTIVENESS: -Percent of Assembly (A) occupancy inspections ☐ -Percent of Educational (E) occupancy inspections -Percentr of Institutional (I) occupancy inspections -Percent of Hotel / Motel / Apartment (R-1/R-2) occupancy inspections -Percent of Large Family Daycare (R-3) occupancy inspections -Percent of Residential Care (R-3.1) occupancy inspections -Percent of Hazardous Materials / CUPA inspections -Percent of Fireworks booth, public display and special effects inspections -Percent of juvenile firesetting assesments performed -Percent of Roseville K-5th grade students receiving fire safety education		100% 90% n/a 100% n/a 100% 100% 100% 112% n/a n/a	100% 100% n/a 100% n/a 100% 100% 100% 100% n/a n/a	100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100%	100% 100% 100% 100% 100% 100% 100% 100% 100% 100% 100%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 1,318,341 130,187 0 (9,593)	\$ 1,487,006 147,066 0 0	\$ 1,487,006 147,066 0 0	\$ 1,560,679 110,399 0 (5,000)
TOTAL RESOURCES		\$ 1,438,935	\$ 1,634,072	\$ 1,634,072	\$ 1,666,078
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		8.00	8.00	8.00	8.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 9,593 1,438,935	\$ 0 1,634,072	\$ 0 1,634,072	\$ 5,000 1,666,078
TOTAL FUNDING REQUIRED		\$ 1,448,528	\$ 1,634,072	\$ 1,634,072	\$ 1,671,078
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
FIRE	FIRE (06000)	FIRE OPERATIONS (06021, 06025, 06030)			
PROGRAM Protect and enhance the safety as well being of residents, business customers and partners by delivering exceptional service and compassionate solutions as a cohesive team with dedication, pride and vigilance.					
PROGRAM OBJECTIVES - To save as many lives as possible by insuring the adequate numbers of properly trained personnel arrive as quickly as possible to all emergency medical incidents - To keep fires and chemical spills as small as possible by insuring that adequate numbers of properly trained personnel arrive as quickly as possible to all fire and explosion incidents -To reduce property damage as much as possible by insuring that an adequate number of responders arrive as quickly as possible on all service calls					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of fires, ruptures, explosions - Number of hazardous conditions - Number of medical incidents, rescues - Number of service calls - Number of good intent, false calls, weather related and other - Total calls for service - GIS map book updates		378 228 11,015 1,665 2,283 15,569 0	400 220 9,800 1,500 2,000 14,000 4	348 240 10,548 1,642 2,482 15,260 2	400 230 11,000 1,650 2,400 15,680 2
EFFICIENCY AND EFFECTIVENESS: Total call processing (call to dispatch) for 90% of all incidents Total turnout time (dispatch to enroute) for 90% of all incidents Total travel time (enroute to arrival)for 90% of all incidents Total response time (call to arrival) for 90% of total incidents Total response time (call to arrival) for 90% of fire incidents Total response time (call to arrival) for 90% of medical incidents		02:07 01:58 05:17 07:29 07:29 07:21	n/a n/a n/a n/a n/a n/a	01:45 01:58 05:17 08:00 07:48 07:21	01:00 02:00 05:12 08:12 07:55 07:12
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 22,211,390 2,104,238 41,632 0	\$ 21,659,481 2,255,394 0 0	\$ 22,160,610 2,230,395 0 0	\$ 22,354,749 2,133,872 0 0
TOTAL RESOURCES		\$ 24,357,260	\$ 23,914,875	\$ 24,391,005	\$ 24,488,621
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		104.00	104.00	104.00	104.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 0 24,357,260	\$ 0 23,914,875	\$ 0 24,391,005	\$ 0 24,488,621
TOTAL FUNDING REQUIRED		\$ 24,357,260	\$ 23,914,875	\$ 24,391,005	\$ 24,488,621
ANALYSIS Efficiency and Effectiveness historical values are based on calendar year. Example: FY2014-15 = CY15. GIS Map Book Updates = FY2014-15 were on hold due to GIS involvement with the new CAD installation.					

PROGRAM PERFORMANCE BUDGET

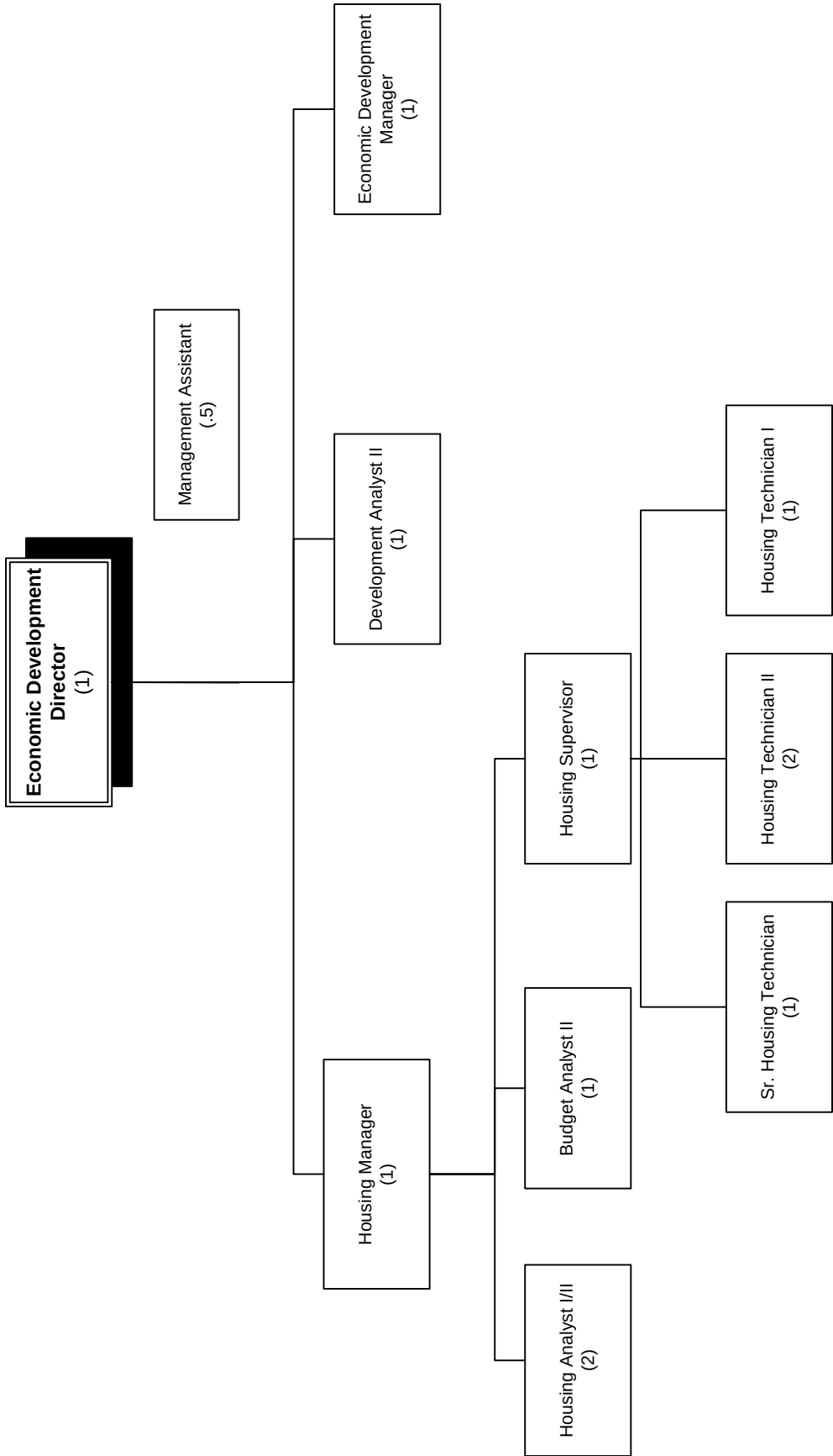
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
FIRE	FIRE (06000)	FIRE TRAINING (06022, 06023)			
PROGRAM To provide a comprehensive training program to Fire Operations personnel that will allow employees to deliver effective and safe service to the public.					
PROGRAM OBJECTIVES - To meet OSHA mandated training requirements - To meet State and local EMS agency requirements - To meet Insurance Services Office (ISO) fire training requirements - To provide professional development to meet organizational needs - To provide revenue to the City for the use of Fire Training Center					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Total hours training per person - Days Fire Training Center contracted out on a fee basis		407 208	240 160	240 160	240 160
EFFICIENCY AND EFFECTIVENESS: - Hours Safety training per person - Hours EMS training per person - Hours Hazmat training per person - Hours Company training per person - Hours continuing education per Fire officer - Hours Driver / Operator training per Engineer - Hours training with Automatic-aid Fire Companies - Hours Company Drills at Fire Training Center per person - Hours Recruit training per new employee		61 40 26 282 93 45 12 71 400	24 24 6 192 12 12 12 18 240	24 24 6 192 12 12 12 18 240	24 24 6 192 12 12 12 18 240
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 446,051	\$ 514,362	\$ 460,060	\$ 418,491
MATERIALS, SUPPLIES, SERVICES		183,063	271,057	271,058	151,245
CAPITAL OUTLAYS		19,202	12,564	12,564	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 648,316	\$ 797,983	\$ 743,682	\$ 569,736
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		2.00	2.00	2.00	2.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		648,316	797,983	743,682	569,736
TOTAL FUNDING REQUIRED		\$ 648,316	\$ 797,983	\$ 743,682	\$ 569,736
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
FIRE	FIRE (06000)	EMERGENCY PREPAREDNESS (06040)			
PROGRAM Develop and manage emergency preparedness and hazard mitigation programs that reduce the impact of natural and human caused disasters.					
PROGRAM OBJECTIVES <u>TRAINING AND EDUCATION</u> Conduct classroom and simulation training for all key City staff members. Conduct training and exercises with City Emergency Operations staff on emergency plan elements. Provide basic emergency response and NIMS training to City employees. <u>PLANNING</u> Review and modify the City's Emergency Response Plan to improve Citywide emergency preparedness. Review and modify the City's Multi-Hazard Mitigation Plan <u>INTER-AGENCY COORDINATION</u> Represent the interests of the City on county, state, and federal emergency preparedness planning.					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of classes held on emergency plan elements & NIMS - Number of siren (HAR) drills conducted (monthly siren test) - Number of EOC readiness drills completed (setup drills, GIS drills) - Emergency plans updated / All types - Number of meetings attended with County / State Office of Emergency Services		8 3 3 0 15	8 12 4 4 10	8 12 4 4 10	8 12 4 4 10
EFFICIENCY AND EFFECTIVENESS: - Number of disaster simulations conducted (annual table top exercise) - Cost per capita		2 \$1.30	2 \$2.39	2 \$2.78	2 \$2.18
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 154,590	\$ 248,559	\$ 248,559	\$ 232,909
MATERIALS, SUPPLIES, SERVICES		15,769	124,600	124,600	64,600
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 170,359	\$ 373,159	\$ 373,159	\$ 297,509
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		1.00	1.00	1.00	1.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		170,359	373,159	373,159	297,509
TOTAL FUNDING REQUIRED		\$ 170,359	\$ 373,159	\$ 373,159	\$ 297,509
ANALYSIS					



Organizational Chart: Economic Development Department

ECONOMIC DEVELOPMENT DEPARTMENT

Fiscal Year 2016-17

OVERVIEW OF SERVICES

Economic Development

The Economic Development Department was created in 2013 and is responsible for creating an environment where jobs are created, attracted, and retained, and the community's wealth and income grow. Promotion and marketing efforts are done through Advantage Roseville, a public/private partnership operated through the Roseville Community Development Corporation (RCDC). Advantage Roseville delivers on business attraction and retention programs through marketing and business-support events and takes a leadership role in the region for job creation.

The Economic Development Department also enhances the quality of life for residents, visitors, and businesses by supporting tourism and City programs and services that make the Roseville community more healthy, livable, and enjoyable. It also administers the Community Development Block Grant (CDBG) Program; the City receives a direct allocation from Housing and Urban Development (HUD).

The Economic Development Department supports the Economic Development Advisory Committee, the Roseville Housing Authority, the Roseville Grants Advisory Commission, the Oversight Board of the Successor Agency, the RCDC Board, and Advantage Roseville members.

Economic Development Advisory Committee

The Economic Development Advisory Committee advises the City Council on creating a community environment conducive to existing businesses, attracting desirable businesses to Roseville, and promoting tourism as a key element of the local economy. The Committee reviews the Roseville Economic Development Strategy on a regular basis to ensure its relevance and effectiveness in achieving the City's goals for economic development.

Housing Authority

The Housing Authority is funded by the U.S. Department of Housing and Urban Development (HUD) and administers the Housing Choice Voucher Rental Assistance Program, which assists elderly, disabled, and very low-income families by providing affordable housing opportunities in a safe environment. The Housing Authority also administers: (1) the Family-Self-Sufficiency (FSS) Program that enables families assisted through the Housing Choice Voucher (HCV) Program to increase participants' earned income and reduce their dependency on welfare assistance and rental subsidies and (2) the Veterans Affairs Supportive Housing (VASH) Program which provides HCV rental assistance to homeless veterans.

Housing Programs (First Time Homebuyer, Rehabilitation, and Affordable Purchase and Rental Programs)

The Housing Division of the Economic Development Department coordinates and administers the First-Time Homebuyer, Housing Rehabilitation, and Affordable Purchase and Rental programs which are funded from several federal and state grants, as well as developer fees and other sources.

Community Development Block Grant (CDBG)

The Economic Development Department oversees the CDBG Program funded by HUD. As an entitlement jurisdiction, the City receives an annual CDBG allocation to provide a wide range of community development activities directed to revitalizing neighborhoods, economic development, and providing improved community facilities and services for low-income persons.

Grants and Community Giving

The Economic Development Department staffs the Roseville Grants Advisory Commission that recommends grant awards from the Citizens' Benefit Trust Fund, the Roseville Community Automall Fund, and the Roseville Employees Annual Charitable Hearts (REACH) Fund, a special fund in the employee-giving campaign designated for community grants. The Grants Advisory Commission has recommended approval of grant awards totaling \$15 million in its 21-year history.

Successor Agency

The Economic Development Department provides staff support for the Oversight Board of the Successor Agency of the former Redevelopment Agency. The Oversight Board will continue to be managed until such a time there are no longer any remaining payment obligations for enforceable agreements entered into by the former Redevelopment Agency. To date, the City, with its former Redevelopment Agency, has facilitated the investment of over \$80 million dollars in improvements and projects in the Downtown.

Roseville Community Development Corporation (RCDC)

The RCDC was formed for the specific and primary purpose of providing physical, economic, and educational development and revitalization efforts resulting in expanded employment, economic prosperity, and business and

housing opportunities for businesses and residents and to provide charitable services that are associated with such specific purposes. Through mutual agreement, City staff will be utilized, when appropriate, to support the efforts of the RCDC. The role of the RCDC is consistent with that of the former Redevelopment Agency in that it is focused on promoting economic, educational, and physical development within the Downtown.

Advantage Roseville

The RCDC administers a public-private partnership called Advantage Roseville. The goal of Advantage Roseville is to grow Roseville's economy by bringing in new businesses and retaining and expanding existing businesses. Advantage Roseville is in its fourth year of operation with 15 partners and an operating budget of \$200,000. Economic Development Department staff supports Advantage Roseville efforts and represents the City as a partner.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

The Economic Development Department is focused on implementation of the Economic Development Strategy during this fiscal year. Implementation of the strategy will be monitored by the Economic Development Advisory Committee (EDAC). The Department will continue to work with Advantage Roseville operated by the RCDC on business attraction, retention and growth; project assistance; outreach to higher education; and tourism and marketing of Roseville's business-friendly programs and services.

Each year, staff continues to support the revitalization of Downtown Roseville. Focus includes the implementation of Downtown Specific Plan goals; development of strong public/private partnerships; interactions with the entire downtown community; and the identification, financing, and construction of new downtown projects. Economic Development will continue to work to ensure the success of downtown investments and projects including the pending construction of the Oak Street parking facility, the multi-use building at 316 Vernon Street, and two downtown pedestrian bridges.

Department staff will continue to work with the Oversight Board of the Successor Agency to move former Redevelopment Agency property to City ownership to allow City development plans such as the relocation of Fire Station No. 1 to move forward.

The Housing Authority will continue to fully expend its funds allocated by HUD for the Housing Choice Voucher Rental Assistance Program and will apply for additional funding when available.

Grant funding includes continued staffing and coordination of Grants Advisory Commission activities and support of the non-profit community serving Roseville. Last year the grants process was moved to an online application process and this year's focus will be on refining and improving that process.

The Housing Division will continue implementation of the City's 10-percent Affordable Housing Goal (rental and purchase programs), as well as the First-Time Homebuyer Down Payment Assistance Program when funding is available.

KEY ISSUES

- Expand the City's brand recognition and position the City as a leader in job growth and business attraction
- Track economic indicators to form fiscal decisions
- Build and strengthen the City's public/private partnerships with the RCDC and private development partners
- Successfully recruit a Housing Manager to oversee the Housing Division staff

SUMMARY

The Economic Development Department will focus on implementation of the Economic Development Strategy by playing a key role in attraction, retention, and business growth efforts of the City.

The Housing Authority will continue to focus on providing rental assistance through funding from HUD and maintain its status as a "High Performing Agency." The Housing Division will continue to implement the City's affordable housing goals by using funding from the HOME Investment Partnerships Program (HOME), Building Equity and Growth in Neighborhoods Program (BEGIN), Affordable Housing and the Housing Trust Funds, as well as other funding sources as they become available. CDBG will continue to provide grants for community development activities and implement the Consolidated and Analysis of Impediments to Fair Housing Choice (AI) Plans.

The Department will also take a lead role in coordinating business-focused resources, programs, and services from multiple City departments. Leveraging partnerships with the Roseville Area Chamber of Commerce, City resources, and other economic-related organizations will be critical to the Department's implementation of the Economic Development Strategy.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

<i>ECONOMIC DEVELOPMENT (08123)</i>	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(08110) HOUSING	\$ 854,658	\$ 2,392,638	\$ 2,392,638	\$ 2,485,579
(08115) COMMUNITY DEVELOPMENT BLOCK GRANT	434,037	771,373	771,373	868,658
(08123) ECONOMIC DEVELOPMENT	1,891,533	836,425	836,425	847,993
REIMBURSED EXPENDITURES	(444,476)	(1,055,765)	(1,055,765)	(1,105,748)
<i>TOTAL DEPARTMENT EXPENDITURES</i>	\$ 2,735,752	\$ 2,944,671	\$ 2,944,671	\$ 3,096,482

<i>RESOURCES</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 1,158,736	\$ 1,739,419	\$ 1,739,419	\$ 1,692,789
MATERIALS, SUPPLIES, SERVICES	2,021,492	2,261,017	2,261,017	2,509,441
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	(444,476)	(1,055,765)	(1,055,765)	(1,105,748)
<i>TOTAL NET RESOURCES REQUIRED</i>	\$ 2,735,752	\$ 2,944,671	\$ 2,944,671	\$ 3,096,482
<i>HUMAN RESOURCES REQUIRED (Full-Time Equivalent)</i>	13.00	13.00	13.00	12.00

<i>FUNDING SUMMARY</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 444,476	\$ 1,055,765	\$ 1,055,765	\$ 1,105,748
NET GENERAL FUND	1,936,134	955,047	955,047	840,314
NET LOW AND MODERATE INCOME HOUSING FUND	40,701	72,249	72,249	112,310
NET HOME INVESTMENT FUND	303,674	698,104	698,104	694,937
NET CAL/HOME FUND	0	0	0	63,000
NET BEGIN PROGRAM FUND	0	90,000	90,000	103,500
NET HOUSING TRUST FUND	0	0	0	11,000
NET HOME IMPROVEMENT FUND	0	45,000	45,000	63,000
NET AFFORDABLE HOUSING FUND	44,566	312,898	312,898	339,763
NET COMMUNITY DEVELOPMENT BLOCK GRANT FUND	410,677	771,373	771,373	868,658
<i>TOTAL DEPARTMENT FUNDING</i>	\$ 3,180,228	\$ 4,000,436	\$ 4,000,436	\$ 4,202,230

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM		
DEVELOPMENT SERVICES	ECONOMIC DEVELOPMENT (08123)	HOUSING (08110, 08113, 08116, 08117, 08119, (08120, 08121, 08125, 08127)		
PROGRAM Provide affordable, safe and decent housing opportunities to Roseville's low and middle income households Improve physical and social renovation of Roseville's older neighborhoods				
PROGRAM OBJECTIVES - Apply for additional funding for the Housing Choice Voucher (HCV) rental assistance program when new funding opportunities are available - Assist as many HCV participants as possible by maximizing the funding received from the U.S. Dept. of Housing & Urban Development - Secure and provide financing for First Time Home Buyers (FTHB) - Continue to adhere to the City's Comprehensive Housing Strategic Plan - Partner with rental property owners to increase available housing for very low income households - Maintain the City's 10% Affordable Housing Goal				
PERFORMANCE MEASURES	2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Provide HCV rental assistance to at least 622 households monthly - Reserve FTHB funding for at least 5 program applicants - Monitor City's 24 Affordable Rental Housing Agreements - At least 6 FSS participants will have positive escrow accounts	636 4 23 34	605 4 24 6	640 6 24 6	622 5 24 6
EFFICIENCY AND EFFECTIVENESS: - 95% monthly lease up of HCV households will be maintained - 50% of applicants with reserved FTHB funds will purchase home - 100% of Affordable Rental Housing Agreements will be monitored - FSS positive escrow accounts will average \$150 per participant	99% 50% 100% \$389	95% 50% 100% \$150	99% 50% 100% \$150	95% 50% 100% \$150
RESOURCES REQUIRED	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS / DEBT REIMBURSED EXPENDITURES	\$ 309,252 545,406 0 (189,603)	\$ 1,153,789 1,238,849 0 (893,103)	\$ 1,153,789 1,238,849 0 (893,103)	\$ 1,083,204 1,402,375 0 (949,206)
TOTAL RESOURCES	\$ 665,055	\$ 1,499,535	\$ 1,499,535	\$ 1,536,373
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	8.00	10.00	10.00	9.00
FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND NET LOW AND MODERATE INCOME HOUSING FUND NET HOME INVESTMENT FUND NET CAL/HOME FUND NET BEGIN PROGRAM FUND NET HOUSING TRUST FUND NET HOME IMPROVEMENT FUND NET AFFORDABLE HOUSING FUND	\$ 189,603 276,114 40,701 303,674 0 0 0 0 44,566	\$ 893,103 281,284 72,249 698,104 0 90,000 0 45,000 312,898	\$ 893,103 281,284 72,249 698,104 0 90,000 0 45,000 312,898	\$ 949,206 148,863 112,310 694,937 63,000 103,500 11,000 63,000 339,763
TOTAL FUNDING REQUIRED	\$ 854,658	\$ 2,392,638	\$ 2,392,638	\$ 2,485,579
ANALYSIS The drop in FTE for FY2016-17 is due to removing one Housing Analyst position.				

PROGRAM PERFORMANCE BUDGET

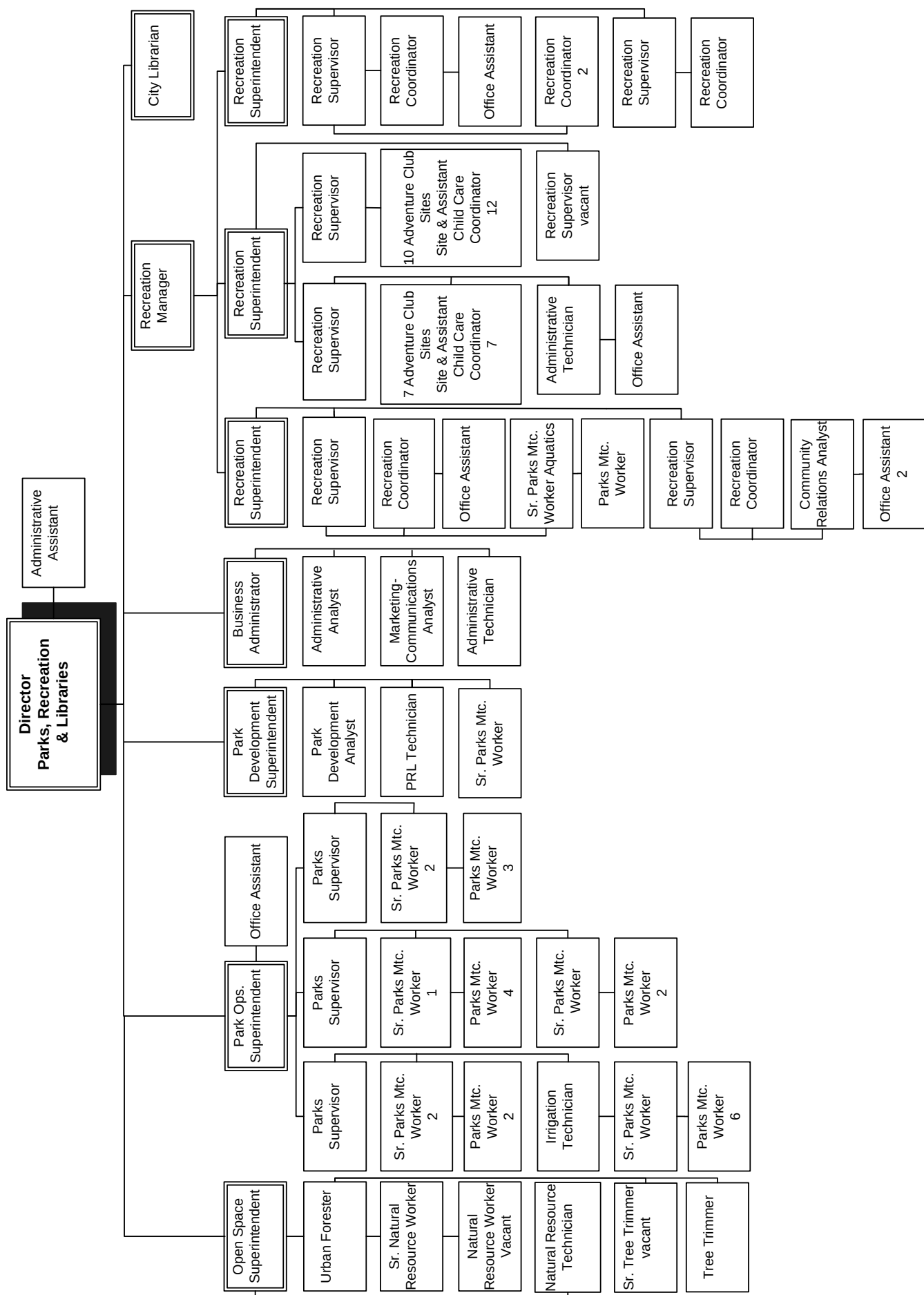
Fiscal Year 2016-17

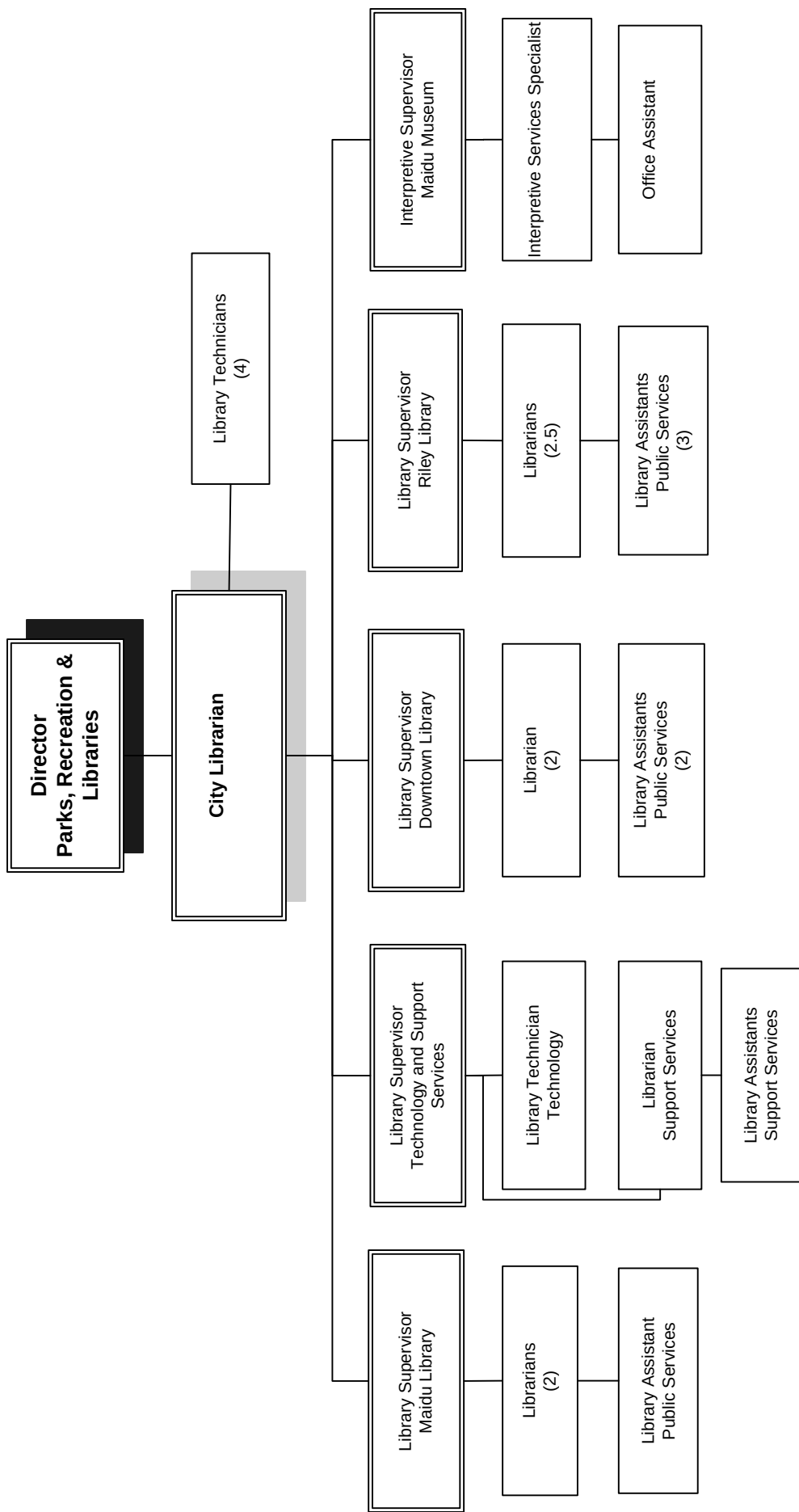
MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
CDBG	ECONOMIC DEVELOPMENT (08123)	COMMUNITY DEVELOPMENT BLOCK GRANT (08115)			
PROGRAM - Community Development Block Grant - Federal funding, through an annual entitlement grant, to support homeless residents, low-income residents and low income neighborhoods with services, capital improvements, and affordable, safe and decent housing opportunities. - Support of Roseville and Placer County non-profit service providers through grants and loans. - Grant and sub-recipient management					
PROGRAM OBJECTIVES - Provide owner occupied rehabilitation grants and loans. - Issue exterior paint program vouchers. - Fund Roseville and Placer County non-profit service providers. - Fund capital improvement projects benefitting lower income areas - Expend at least 75 percent of annual CDBG funds per HUD timeliness requirements (<1.5 times annual allocation unexpended by April 1 annually).					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of owner occupied rehabilitation projects completed (fully drawn) - Number of exterior paint program vouchers issued - Number of service providers funded - Number of capital improvement projects funded - Annual CDBG funds allocated by HUD		7 7 9 2 \$574,141	15 n/a 9 n/a \$825,000	10 14 5 2 \$593,511	10 7 6 1 \$587,048
EFFICIENCY AND EFFECTIVENESS: - Percent of owner occupied rehabilitation projects completed (fully drawn) - Percent of exterior paint program vouchers issued - Percent of service providers monitored - Percent of capital improvement projects completed - Percent of annually allocated CDBG funds drawn from HUD		100% 100% 100% n/a 74%	100% n/a 100% n/a n/a	100% 100% 100% n/a 100%	100% 100% 100% 50% 100%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 133,809	\$ 0	\$ 0	\$ 0
MATERIALS, SUPPLIES, SERVICES		300,228	771,373	771,373	868,658
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(23,360)	0	0	0
TOTAL RESOURCES		\$ 410,677	\$ 771,373	\$ 771,373	\$ 868,658
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		1.00	0.00	0.00	0.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 23,360	\$ 0	\$ 0	\$ 0
NET COMMUNITY DEVELOPMENT BLOCK GRANT FUND		410,677	771,373	771,373	868,658
TOTAL FUNDING REQUIRED		\$ 434,037	\$ 771,373	\$ 771,373	\$ 868,658
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
GENERAL GOVERNMENT	ECONOMIC DEVELOPMENT (08123)	ECONOMIC DEVELOPMENT (08123)			
PROGRAM The Economic Development Department helps support an economic environment where jobs are created, attracted and retained. Economic Development enhances the quality of life for residents, visitors and businesses and aims at increasing our tax base and other City revenues by supporting new businesses, tourism, and city programs and services. Additionally, the Economic Development Department supports, coordinates or administers affordable housing and community programs including the Housing Division, Grants and Community Development, and Successor Agency.					
PROGRAM OBJECTIVES - Implement the 2011 Economic Development Strategy initiatives through a coordinated effort with the Chamber, other business support groups, business owners, brokers and residents. - Attract new businesses, retain and grow existing businesses and support business start-ups. - Expand and maintain our partnerships with other economic development entities such as the Roseville and Local Chamber, GSAC, SARTA, Valley Vision, Next Ed, Next Economy, and Placer County. - Administer incentive programs such as Fee Deferral and SCIP (Statewide Community Infrastructure Program). - Establish the Department as the central source of information for economic and business related data and assistance. - Facilitate community giving and community grant programs, including staff and support of the Grants Advisory Commission. - Staff coordinates grant applications benefitting programs and services throughout the City to ensure maximum efficiency and effectiveness. - Facilitate and implement the improvements associated with the Downtown Public Improvement Program. - Provide staffing support to the RCDC as assigned. - Implement the required actions to complete the "unwinding" of Redevelopment and support of the Successor Agency & Oversight Board. - Participate with the ongoing adoption and management of the Downtown Property Based Improvement District (PBID)					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of Business/broker visits/contact and trade events - Publish "Business Matters" - Attend Chamber Economic Development Meetings and Events - Staff Grants Advisory Commission Meetings - Number of grants funded (Citizen benefit Fund & REACH)/ total grant amount - Oversight Board Meetings - RCDC Board Meetings - Downtown Merchant Meetings - Downtown Property Based Improvement District (PBID)		182 12 46 10 35 / \$237,900 3 14 11 12	200 12 24 8 35 / \$246,500 2 12 12 12	200 12 80 8 43 / \$231,500 1 10 12 12	200 12 50 8 50 / \$400,000 1 12 12 12
EFFICIENCY AND EFFECTIVENESS: - Respond to requests from businesses or brokers within 24 hours - Increase "Business Matters" subscriptions by 5%		100% 5%	100% 5%	100% 5%	100% 5%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 715,675	\$ 585,630	\$ 585,630	\$ 609,585
MATERIALS, SUPPLIES, SERVICES		1,175,858	250,795	250,795	238,408
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(231,513)	(162,662)	(162,662)	(156,542)
TOTAL RESOURCES		\$ 1,660,020	\$ 673,763	\$ 673,763	\$ 691,451
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.00	3.00	3.00	3.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 231,513	\$ 162,662	\$ 162,662	\$ 156,542
NET GENERAL FUND		1,660,020	673,763	673,763	691,451
TOTAL FUNDING REQUIRED		\$ 1,891,533	\$ 836,425	\$ 836,425	\$ 847,993
ANALYSIS					





Parks, Recreation & Libraries Department - Library

PARKS, RECREATION & LIBRARIES DEPARTMENT

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The mission of the Parks, Recreation & Libraries Department is to enhance lives and the community by providing exceptional experiences. We accomplish this through a variety of programs, services, and facilities. The proposed budget for this fiscal year is approximately \$27.5 million, with an estimated \$12.8 million offset in revenue. The Department currently maintains and operates 72 developed parks and facilities, 237 acres of streetscape, 49 acres of school property, 4,000 acres of open space, two championship golf courses, two community centers, three swimming pool facilities, the Maidu Museum, 17 Adventure Club childcare facilities, and three libraries.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

The Department is challenged to operate a growing department with added programs, parks, and acreage. In an effort to meet demand, the Department continually assesses service levels and customer service standards, making adjustments as necessary. There are continued challenges with Affordable Care Act (ACA) compliance, minimum wage increases, and water efficiency. The Department is deferring some maintenance and programming in order to meet budget targets. Parks, Recreation & Libraries focuses on core services such as developing and maintaining parks and facilities, protecting and preserving natural and cultural resources, and providing recreational and healthy lifestyle experiences.

In the Parks Division, numerous turn-key projects are planned including neighborhood parks in Stoneridge, Stone Point, and West Roseville, as well as a pocket park in West Roseville. The Department also plans to start projects for the Stizzo Park (F-50) school/park site and the Hughes Park Bridge and Trail. Rehabilitation work continues this year with a focus on playground and park safety. The Department is also planning on adding a new skate track at Saugstad Park. The Open Space Division continues to implement Open Space Management and Urban Forest work plans to manage the City's areas dedicated to open space, parks, and trees.

The Recreation Division concentrates efforts on core services and City Council goals. Continued emphasis on programming, the Vernon Street Town Square, and community special events are priorities. The Department also continues to identify opportunities for financial assistance/scholarships programs based on eligibility requirements and available funding.

The Libraries Division's focus is on access to information, education, literacy, technology assistance, cultural awareness, and serving as community gathering spaces. The Division plans to continue to expand offerings of tours, classes, and programming for all ages at Maidu Museum as well as each of the libraries.

KEY ISSUES

The Department has continued to grow in parks, facilities, programs, and attendance. Although revenue has begun to increase, it has not kept pace with expenses. The Department is focused on sustaining service levels, standards, and core services.

The Parks Division continues to contract out many maintenance services while making service adjustments and delaying some repairs to meet budget goals. As infrastructure ages, deferring maintenance cannot be a long-term solution and we must work toward re-establishing this funding. The Division continues to administer and oversee the maintenance contracts for parks, joint-use facilities contracts which require "mowing only," golf operations, routine street tree pruning, streetscape and median landscaping, preserve monitoring and open space. Park and landscape maintenance contracts are up for renewal and a request for proposal will be issued for future service needs. Development is also busy with several construction projects in the works.

The Recreation Division is strategically offering popular programs to provide residents a variety of recreation and fitness choices throughout the year. To help meet budget goals, the Division is delaying some repairs and increasing user fees in strategic areas. The Recreation Division's two enterprise funds continue to be a financial challenge in the current economy and market. Both golf courses are experiencing increased competition and costs while rounds and green fees remain stable. The Adventure Club program continues to provide nearly 2,000 families with quality, dependable childcare and preschool services. A new registration and billing system went live in January 2016 and implementation will continue through FY2016-17.

The Libraries Division continues to make community outreach a priority with the new Mobile Library. An automated materials handling system and a new customer service model help to foster more direct customer engagement and enhance and improve the overall library experience. The Division's volunteer and docent programs continue to expand, and volunteer hours almost doubled in FY2015-16.

SUMMARY

The Parks, Recreation & Libraries Department remains challenged but committed to providing exceptional services, facilities, and programs to our residents. The Department continues to deploy existing staffing and financial resources to focus on core services, which may require changes to service levels, standards, and programs. In FY2015-16, the Department developed a five-year plan to better align goals and strategy, and to guide continuous improvement efforts in operational effectiveness and efficiency. The Department plans to focus on improvements in the following key areas for FY2016-17:

- Develop and update policies and procedures
- Establish and update key performance indicators (KPIs)
- Begin the process for accreditation through the National Recreation and Park Association and the Commission for Accreditation of Park and Recreation Agencies

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

<i>PARKS, RECREATION & LIBRARIES DEPARTMENT (08500)</i>	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(08500) PARKS & RECREATION ADMINISTRATION	\$ 1,441,290	\$ 1,447,916	\$ 1,447,916	\$ 1,455,719
(08501) PARKS	8,435,461	9,068,509	9,068,509	9,393,229
(08511) RECREATION	4,847,725	5,560,675	5,560,675	5,713,464
(08541) CHILD CARE & PRESCHOOL	3,649,133	4,239,545	4,239,545	4,264,253
(08545) ASES & CDE CHILD DEVELOPMENT PROGRAM	1,043,858	1,198,998	1,198,998	1,254,784
(08571) GOLF COURSE OPERATIONS	2,027,521	1,997,798	1,997,798	2,008,880
(06500) LIBRARIES AND MAIDU MUSEUM HISTORICAL SITE	3,969,158	4,159,253	4,159,253	4,213,173
REIMBURSED EXPENDITURES	(631,286)	(780,699)	(780,699)	(830,348)
<i>TOTAL DEPARTMENT EXPENDITURES</i>	\$ 24,782,860	\$ 26,891,995	\$ 26,891,995	\$ 27,473,154

<i>RESOURCES</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 16,297,971	\$ 18,199,635	\$ 18,199,635	\$ 18,852,178
MATERIALS, SUPPLIES, SERVICES	9,116,175	9,473,059	9,473,059	9,451,324
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	(631,286)	(780,699)	(780,699)	(830,348)
<i>TOTAL NET RESOURCES REQUIRED</i>	\$ 24,782,860	\$ 26,891,995	\$ 26,891,995	\$ 27,473,154
<i>HUMAN RESOURCES REQUIRED (Full-Time Equivalent)</i>	121.50	122.50	122.50	124.50

<i>FUNDING SUMMARY</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 631,286	\$ 780,699	\$ 780,699	\$ 830,348
NET GENERAL FUND	19,082,540	19,455,654	19,455,654	19,945,237
NET SCHOOL-AGE CHILD CARE FUND	3,648,683	5,438,543	5,438,543	5,519,037
NET GOLF COURSE OPERATIONS FUND	2,027,521	1,997,798	1,997,798	2,008,880
NET LIBRARY FUND	24,116	0	0	0
<i>TOTAL DEPARTMENT FUNDING</i>	\$ 25,414,146	\$ 27,672,694	\$ 27,672,694	\$ 28,303,502

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
PARKS AND RECREATION	PARKS, RECREATION & LIBRARIES (08500)	PARKS & RECREATION ADMINISTRATION (08500)			
PROGRAM To improve the quality of life of the Roseville community by providing exceptional programs, facilities and services at a good value to our taxpayers and customers and preserving and protecting our city's natural resources.					
PROGRAM OBJECTIVES - To provide leadership, direction and coordination to the divisions of the Parks, Recreation and Libraries Department - Plan and develop Parks and Recreation facilities for the City as outlined in the Capital Improvement Program for Parks and Golf Courses - Maintain high quality facilities and programs through accomplishing of the goals and objectives of each of the divisions within the Parks, Recreation and Libraries Department - To charge program and facility fees sufficient to recover a portion of the General Fund costs of the Department - To recover 29% of the General Fund cost of the Parks & Recreation portion of Department - Coordinate long range planning for Parks, Recreation & Libraries, facilities and services					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Total number of Department positions (FTE)		121.50	122.50	122.50	124.50
EFFICIENCY AND EFFECTIVENESS: - Percent of division objectives accomplished - General Fund cost per capita - Park divisions - General Fund cost per capita - Recreation divisions - General Fund cost per capita - Department of Parks and Recreation - Percent of General Fund subsidy for Department of Parks and Recreation - Percent of total revenue increase - Department of Parks and Recreation		95% \$59.40 \$36.88 \$115.17 63.1% 3.6%	90% \$63.16 \$42.39 \$125.54 66.5% -1.0%	95% \$61.83 \$41.26 \$114.09 70.1% -0.4%	95% \$62.73 \$41.86 \$115.25 70.5% 1.8%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,241,135	\$ 1,205,246	\$ 1,205,246	\$ 1,225,959
MATERIALS, SUPPLIES, SERVICES		200,155	242,670	242,670	229,760
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(1,035)	0	0	0
TOTAL RESOURCES		\$ 1,440,255	\$ 1,447,916	\$ 1,447,916	\$ 1,455,719
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		24.00	6.00	6.00	6.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 1,035	\$ 0	\$ 0	\$ 0
NET GENERAL FUND		1,440,255	1,447,916	1,447,916	1,455,719
TOTAL FUNDING REQUIRED		\$ 1,441,290	\$ 1,447,916	\$ 1,447,916	\$ 1,455,719
ANALYSIS Salary expenses for temporary part-time staff are included but not reflected in the FTEs (Full-Time Equivalent.)					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
PARKS AND RECREATION	PARKS, RECREATION & LIBRARIES (08500)	PARKS (08501, 08550, 08551, 08555)			
PROGRAM To plan and develop safe, high quality and uniquely aesthetic park and recreation facilities to meet the recreational needs of the Roseville residents. To provide a park environment that is conducive to a healthy, safe and pleasurable experience. To provide inspections and maintenance of open space, floodways and streambeds throughout the City of Roseville. To provide programmed maintenance for the City's publicly owned trees in a methodical, systematic plan.					
PROGRAM OBJECTIVES - Plan and develop park and recreation facilities according to the Park and Recreation Master Plan and renovate existing park and recreation facilities - Coordinate with School Districts on long range joint use facility planning - Maintain parks, recreation facilities and landscapes in a safe, clean and attractive condition - Provide turf maintenance of school facilities as provided through joint use agreements - Maintain a preventative maintenance schedule for park and street trees - Inspect open space, wetlands and streambeds for debris, fire breaks and invasion of non-native vegetation - Remove accumulated debris and obstructions in streambeds					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of CIP's completed - Annual dollars spent on completed CIP projects - Number of developed park facilities maintained - Acres of parks maintained - Number of production hours to maintain bike trails - Number of general fund trees pruned (5 year cycle) - Number of CFD / LLD trees pruned - Acres of streetscapes maintained - Acres of school property maintained - Number of acres of open space / wetlands inspected		4 \$1,140,000 72 414.0 3,680 2,411 2,738 230 49 4,050	5 \$6,700,000 75 447.0 3,200 1,800 4,500 233 49 4,200	6* \$1,185,000 72 414.0 3,200 2,000 3,000 237 49 4,000	5 \$2,650,000 76 414.0 3,200 1,600 2,500 239 49 4,200
EFFICIENCY AND EFFECTIVENESS: - Percentage of CIP's completed by end of fiscal year - % of Park Quality Assurance inspections that meet or exceed standards: - Rushmore Level - Yosemite Level - Sequoia Level - Mojave Level		100% 89% 85% 84% 89%	100% 90% 90% 85% 98%	100% 90% 90% 85% 98%	90% 90% 90% 85% 98%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 4,526,935	\$ 5,170,948	\$ 5,170,948	\$ 5,484,437
MATERIALS, SUPPLIES, SERVICES		3,908,526	3,897,561	3,897,561	3,908,792
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(628,695)	(778,699)	(778,699)	(830,348)
TOTAL RESOURCES		\$ 7,806,766	\$ 8,289,810	\$ 8,289,810	\$ 8,562,881
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		43.00	44.00	44.00	45.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 628,695	\$ 778,699	\$ 778,699	\$ 830,348
NET GENERAL FUND		7,806,766	8,289,810	8,289,810	8,562,881
TOTAL FUNDING REQUIRED		\$ 8,435,461	\$ 9,068,509	\$ 9,068,509	\$ 9,393,229
ANALYSIS Salary expenses for temporary part-time staff are included but not reflected in the FTEs (Full-Time Equivalent.) * CIPs completed in FY 2016 were rehabs, retrofits, turf conversions, add-ons. The increase in FTE for FY2016-17 is due to adding one Aquatics Maintenance Technician.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
PARKS AND RECREATION	PARKS, RECREATION & LIBRARIES (08500)	RECREATION (08505, 08511, 08512, 08514, 08515, 08517, 08518, 08519, 08520, 08525, 08526, 08530)			
PROGRAM To enhance the leisure time of Roseville residents by providing a variety of recreational activities and facilities including sports, physical fitness, special interest classes, trip, cultural arts, camps, neighborhood programs, family recreation and special events. To educate Roseville residents about Maidu Indian culture. To promote water safety, physical fitness, aquatic skill development, and water recreation through a comprehensive aquatic program.					
PROGRAM OBJECTIVES - Provide a variety of quality sports, special interest, cultural arts and community special event programs - Provide a variety of quality fitness and recreational opportunities - Provide positive and affordable programs for low income youth by offering low cost youth programs throughout targeted neighborhoods - Provide cultural education programs and classes - Provide a quality instructional swimming lesson program that meets or exceeds our customer's expectations - Pursue grant funding and fundraising to enhance and offset program costs as appropriate - To recover 80% of operating costs for youth programs - To recover 81% of operating costs for adult/senior programs - To recover 59% of operating costs of Maidu Community Center through program fees and rentals - To recover 80% of operating costs for Roseville Sports Center through program fees and rentals - To recover 67% of operating costs for Aquatics programs through program fees, daily admissions and rentals					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Youth programs attendance - Adult/Senior programs attendance - Number of visitors to Maidu Community Center - Number of visitors to Roseville Sports Center - Number of events Town Square and Downtown - Number of Community Special Events - Number of visitors to Aquatics facilities		73,076 167,500 164,517 237,095 46 48 357,064	73,055 165,530 126,500 226,000 36 60 360,000	73,100 160,530 172,600 242,000 54 47 360,000	64,905 158,460 177,600 * 246,500 54 47 360,000
REVENUE MEASUREMENTS: - Youth programs total revenue / % recovery to General Fund - Adult / Senior programs total revenue / % recovery to General Fund - Maidu Community Center total revenue / % recovery to General Fund - Roseville Sports Center total revenue / % recovery to General Fund - Aquatics programs total revenue / % recovery to General Fund		709,698 / 96% 485,136 / 95% 263,398 / 78% 706,396 / 94% 1,371,183 / 74%	715,040 / 82% 521,599 / 87% 231,135 / 58% 706,912 / 80% 1,413,787 / 70%	715,040 / 82% 521,599 / 87% 231,135 / 58% 706,912 / 79% 1,413,787 / 70%	679,030 / 80% 505,091 / 81% 212,000 / 59% 731,272 / 80% 1,462,295 / 67%
EFFICIENCY AND EFFECTIVENESS: - % of participants rating overall programs and facilities 'good' to 'excellent'		97%	97%	97%	97%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 3,143,824 1,703,901 0 (1,106)	\$ 3,651,320 1,909,355 0 (2,000)	\$ 3,651,320 1,909,355 0 (2,000)	\$ 3,821,236 1,892,228 0 0
TOTAL RESOURCES		\$ 4,846,619	\$ 5,558,675	\$ 5,558,675	\$ 5,713,464
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		0.00	19.00	19.00	20.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 1,106 4,846,619	\$ 2,000 5,558,675	\$ 2,000 5,558,675	\$ 0 5,713,464
TOTAL FUNDING REQUIRED		\$ 4,847,725	\$ 5,560,675	\$ 5,560,675	\$ 5,713,464
ANALYSIS Salary expenses for temporary part-time staff are included but not reflected in the FTEs (Full-Time Equivalent.) * Door counter is a more accurate projection. . The increase in FTE for FY2016-17 is due to adding one Pool Manager position.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
PARKS AND RECREATION	PARKS, RECREATION & LIBRARIES (08500)	CHILD CARE AND PRESCHOOL (08541, 08542)			
PROGRAM To provide a safe, caring, before and after school and vacation environment to meet the social, physical and intellectual needs for the elementary school age, preschool and intermediate school child.					
PROGRAM OBJECTIVES - To generate revenue to cover all expenses related to programs - To operate 13 Adventure Club sites and 12 Preschool programs - To provide programs at no more than an average budget cost per service hour of \$4.50 per hour for the Adventure Club and \$5.00 per hour for Preschool programs - Develop and maintain a highly trained and motivated staff who are responsive to the needs of the children participating in the programs - Meet or exceed the expectations of the parents and children participating in the programs					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Average daily attendance - Adventure Club - Number of hours training per site per month - Monthly hours of Preschool operation per site - Monthly hours of Adventure Club operation per site		976 7 66 230	775 7 66 230	850 7 66 230	850 7 66 230
EFFICIENCY AND EFFECTIVENESS: - Percent of participants indicating program 'meets' or 'exceeds' expectations - Percent of staff rated 'good' to 'excellent'		95% 95%	95% 95%	95% 95%	95% 95%
REVENUE MEASUREMENTS: - % Child Care total expenditures recovered through operating revenues		104%	94%	89%	92%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 3,197,776	\$ 3,724,698	\$ 3,724,698	\$ 3,762,219
MATERIALS, SUPPLIES, SERVICES		451,357	514,847	514,847	502,034
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(450)	0	0	0
TOTAL RESOURCES		\$ 3,648,683	\$ 4,239,545	\$ 4,239,545	\$ 4,264,253
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		23.00	22.00	22.00	22.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 450	\$ 0	\$ 0	\$ 0
NET SCHOOL-AGE CHILD CARE FUND		3,648,683	4,239,545	4,239,545	4,264,253
TOTAL FUNDING REQUIRED		\$ 3,649,133	\$ 4,239,545	\$ 4,239,545	\$ 4,264,253
ANALYSIS Salary expenses for temporary part-time staff are included but not reflected in the FTEs (Full-Time Equivalent.)					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
PARKS AND RECREATION	PARKS, RECREATION & LIBRARIES (08500)	ASES & CDE CHILD DEVELOPMENT PROGRAM (08545, 08546)			
PROGRAM To provide a safe, caring, before and afterschool and vacation break environment to meet social, physical and intellectual needs for school age children.					
PROGRAM OBJECTIVES - To generate revenues and seek reimbursements through grants and/or partnerships to provide accessible programs - To operate 2 ASES programs at qualifying school locations in partnership with the Roseville City School District - To operate up to 4 Adventure Club before and after school and vacation break programs at qualifying CDE grant locations - Provide tutoring and enrichment experiences to participating children to improve academics and interpersonal skills - Develop and maintain a highly trained and motivated staff who are responsive to the needs of participating children - Meet or exceed the expectations of the parents and children participating in the programs					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Average daily attendance - ASES - Total monthly hours of ASES operation per site - Average daily attendance - CDE programs - Total CDE grant children enrolled		215 70 141 71	210 70 125 65	210 70 125 70	210 70 125 70
EFFICIENCY AND EFFECTIVENESS: - Percentage of participants indicating program "meets" or "exceeds" expectations		95%	95%	95%	95%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 936,171	\$ 1,061,788	\$ 1,061,788	\$ 1,118,692
MATERIALS, SUPPLIES, SERVICES		107,687	137,210	137,210	136,092
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 1,043,858	\$ 1,198,998	\$ 1,198,998	\$ 1,254,784
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.00	4.00	4.00	4.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET SCHOOL-AGE CHILD CARE FUND		0	1,198,998	1,198,998	1,254,784
NET GENERAL FUND		1,043,858	0	0	0
TOTAL FUNDING REQUIRED		\$ 1,043,858	\$ 1,198,998	\$ 1,198,998	\$ 1,254,784
ANALYSIS Salary expenses for temporary part-time staff are included but not reflected in the FTEs (Full-Time Equivalent.)					

PROGRAM PERFORMANCE BUDGET

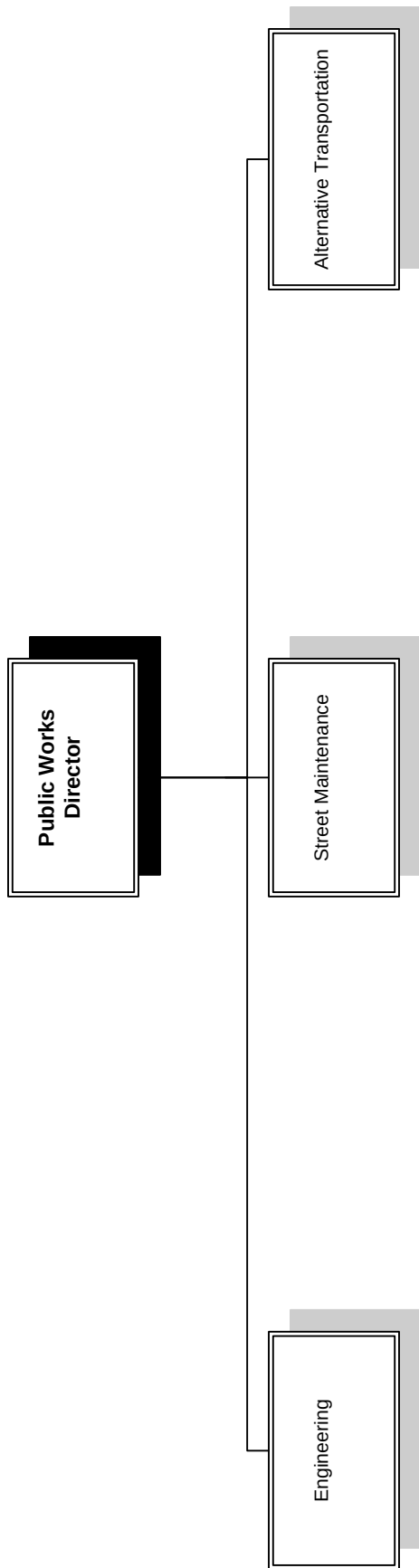
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
PARKS AND RECREATION	PARKS, RECREATION & LIBRARIES (08500)	GOLF COURSE OPERATIONS (08571, 08572)			
PROGRAM To provide an enjoyable golf experience for the public by maintaining the golf courses in a safe, attractive and playable condition and by providing quality service and products through the pro shop and food and beverage concessions.					
PROGRAM OBJECTIVES - To maintain and operate the courses in accordance with USGA standards through a regimented maintenance program, strict turf management, and an on-going improvement projects and upgrades program - To maintain the courses in an attractive and playable condition - To provide championship quality courses on a self-supporting basis					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: DIAMOND OAKS GOLF COURSE - Total Rounds Played - Total Revenue WOODCREEK GOLF COURSE - Total Rounds Played - Total Revenue		60,192 \$1,139,152	60,000 \$1,200,000	60,000 \$1,212,894	60,000 \$1,200,000
		46,239 \$1,255,780	50,000 \$1,190,000	58,000 \$1,202,894	60,000 \$1,190,000
EFFICIENCY AND EFFECTIVENESS: - Golf course operating revenue as a percentage of operating expenditures - Percent of players rating course 'good' to 'excellent' - Diamond Oaks - Percent of players rating course 'good' to 'excellent' - Woodcreek		91% 90% 90%	92% 90% 90%	92% 90% 90%	92% 90% 90%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES TOTAL RESOURCES		\$ 0 2,027,521 0 0 \$ 2,027,521	\$ 0 1,997,798 0 0 \$ 1,997,798	\$ 0 1,997,798 0 0 \$ 1,997,798	\$ 0 2,008,880 0 0 \$ 2,008,880
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		0.00	0.00	0.00	0.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GOLF COURSE OPERATIONS FUND TOTAL FUNDING REQUIRED		\$ 0 2,027,521 \$ 2,027,521	\$ 0 1,997,798 \$ 1,997,798	\$ 0 1,997,798 \$ 1,997,798	\$ 0 2,008,880 \$ 2,008,880
ANALYSIS					

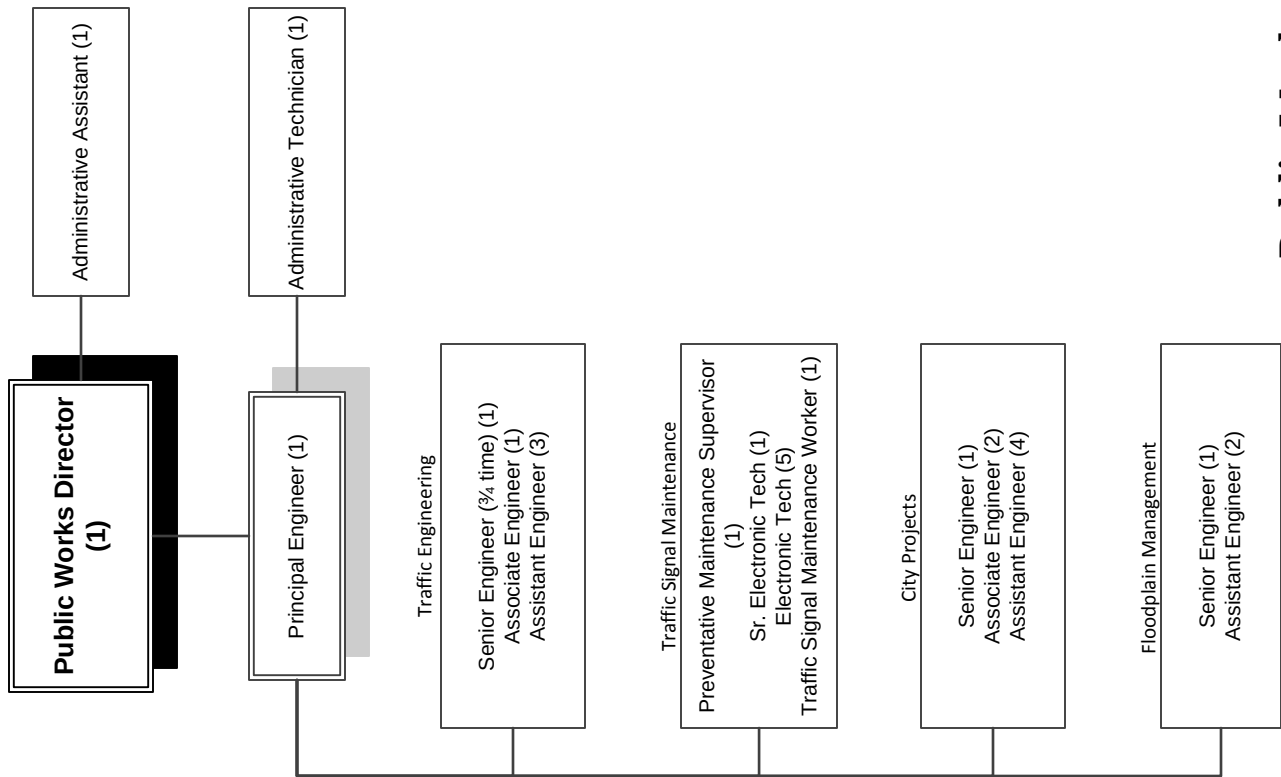
PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

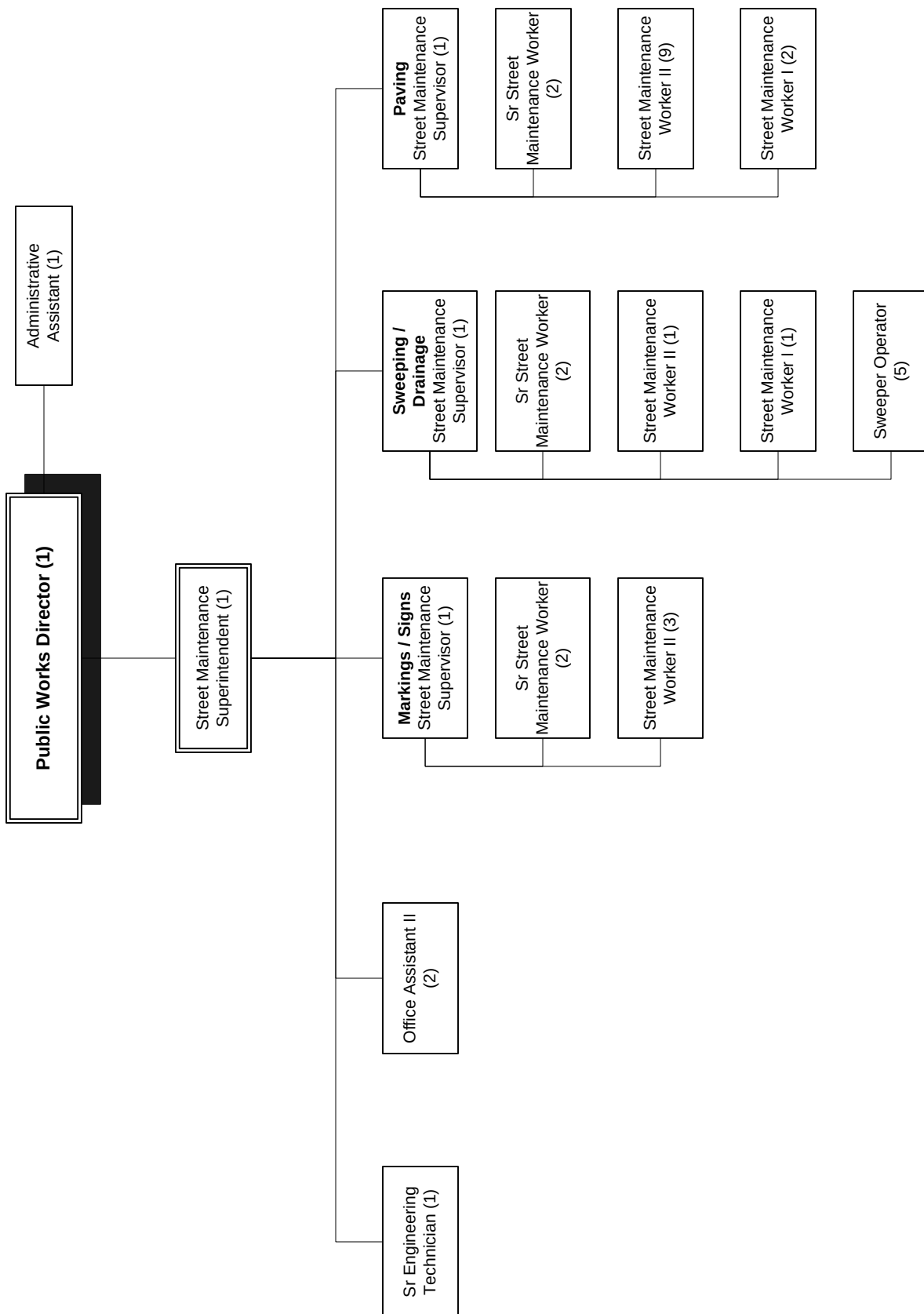
MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
LIBRARIES	PARKS, RECREATION & LIBRARIES (08500)	LIBRARIES & MAIDU MUSEUM (06500, 06510, 06515, 08521)			
PROGRAM To help the Roseville community meet its needs for educational, cultural, and recreational experiences and for information of all kinds by providing comprehensive library and museum services, along with a wide variety of materials for customers' reading pleasure.					
PROGRAM OBJECTIVES - To provide access and assistance to information using state-of-the-art technologies, computers, and on-line services, as well as books and other materials, library personnel and other resources to meet the diverse needs of library customers - To provide library and museum facilities which are comfortable, attractive, inviting and well-equipped places to access information and provide space for community gathering - To provide programs and special events which promote literacy, reading and the native Maidu culture for pleasure as well as for education, and which encourage individuals and families to frequent the library and museum - To increase the visibility of the library and the museum within the community and to encourage the growth of partnerships with other agencies - To assist school-age children and youth by offering resources and services related to their education needs					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Library Circulation - Visits: Libraries - Visits: Maidu Museum Historic Site - Program attendance: Libraries - Program attendance: Maidu Museum Historic Site - Number of library customer transactions via all online sources - Materials expenditure per capita - Total materials expenditure - Total Library and Maidu Museum revenue - General Fund cost per capita - All Libraries and Maidu Museum		1,252,039 521,467 27,143 41,411 16,317 1,059,276 \$1.74 \$229,019 \$398,417 \$30.02	1,200,000 600,000 26,000 40,000 17,000 1,200,000 \$1.65 \$215,000 \$406,700 \$31.52	1,250,000 520,000 26,000 42,000 17,500 4,000,000 \$1.60 \$215,000 \$426,815 \$31.02	1,200,000 550,000 26,000 40,000 17,000 4,000,000* \$1.59 \$216,700 \$399,200 \$30.87
EFFICIENCY AND EFFECTIVENESS: - Percentage of library customers rating their overall library experience as 'good' to 'excellent' - Percentage of customers rating programs and events as "good" to "excellent" (Libraries and Maidu Museum Historic Site)		97.7% 97.5%	95% 95%	96% 97%	95% 95%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 3,252,130 717,028 0 0	\$ 3,385,635 773,618 0 0	\$ 3,385,635 773,618 0 0	\$ 3,439,635 773,538 0 0
TOTAL RESOURCES		\$ 3,969,158	\$ 4,159,253	\$ 4,159,253	\$ 4,213,173
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		27.50	27.50	27.50	27.50
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET LIBRARY FUND NET GENERAL FUND		\$ 0 24,116 3,945,042	\$ 0 0 4,159,253	\$ 0 0 4,159,253	\$ 0 0 4,213,173
TOTAL FUNDING REQUIRED		\$ 3,969,158	\$ 4,159,253	\$ 4,159,253	\$ 4,213,173
ANALYSIS Salary expenses for temporary part-time staff are included but not reflected in the FTEs (Full-Time Equivalent.) * Better data captured via KOHA system, and increased demand.					



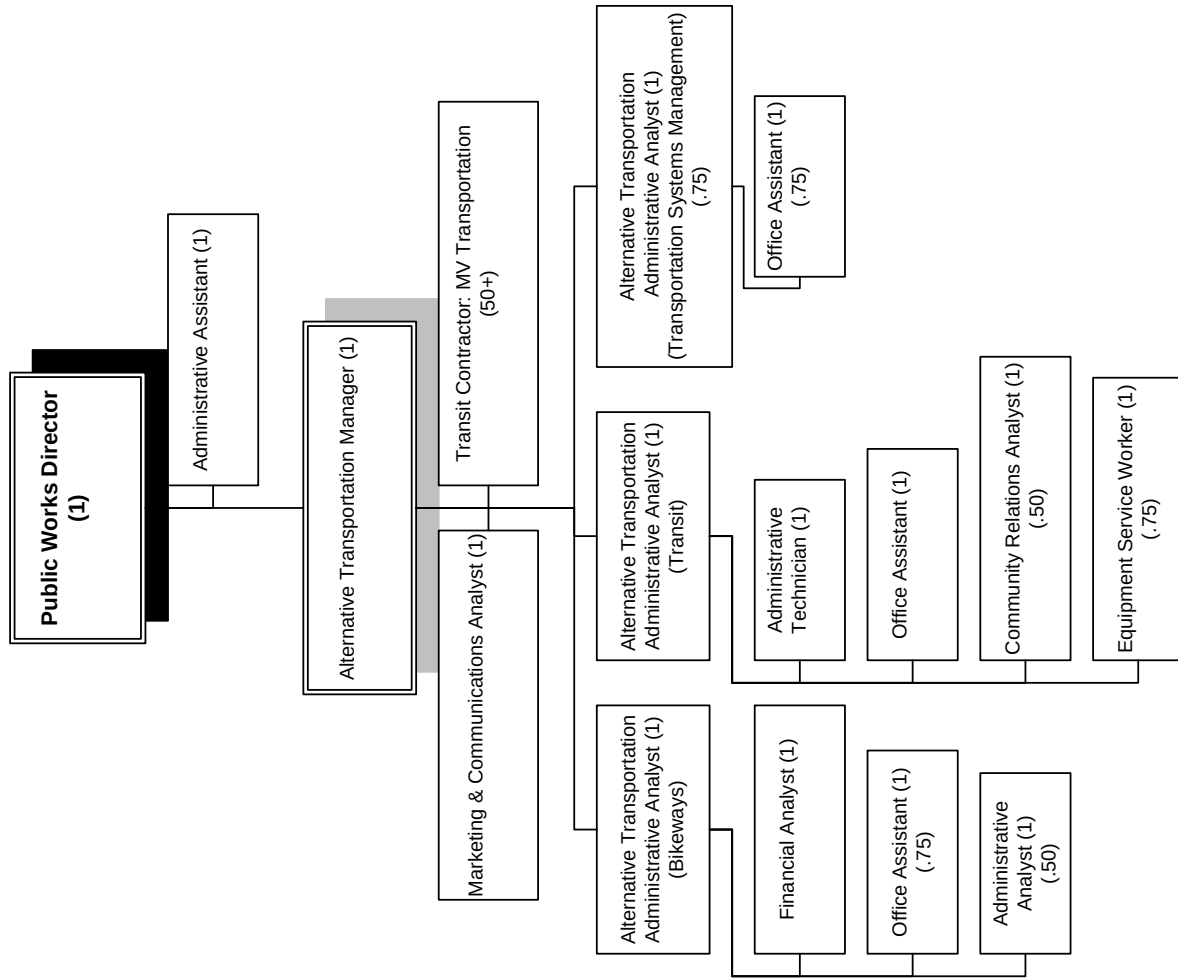
Organizational Chart: Public Works Department



Public Works - Engineering



Public Works – Street Maintenance



Public Works - Transportation

PUBLIC WORKS DEPARTMENT

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The Public Works Department (PWD) manages all aspects of transportation infrastructure within the public right of way including the maintenance and operations of programs and services for the safe and efficient transportation of Roseville residents, businesses, and visitors. PWD also manages the construction of public buildings and is responsible for flood control within the City. Specifically, Public Works plans, develops, and maintains public roads, bridges, flood control facilities, and Class I (off-road) bikeways. Additionally, it is responsible for the maintenance of 465 center line miles of streets; 34 miles of Class I (off-road) bikeways; and the Intelligent Transportation System for 172 traffic signals, nine changeable message signs, the Bus Tracker real-time arrival tool, and information for the Google Transit online trip planner.

Management of Roseville Transit and the local Transportation Systems Management Ordinance is similarly undertaken by Public Works. Furthermore, the department provides and promotes transportation safety and education through various programs and events, including but not limited to: Safe Routes to School, Pedalsafe, and volunteer Transit Ambassador and Bike/Walk Ambassador programs. In addition to managing Roseville Transit, the PWD provides a regional service, South Placer Transit Information, a portal for the public to easily obtain information and trip-planning assistance for all public transit in the south Placer region.

Additionally, PWD provides road maintenance (signs, signals, lights, pavement, storm drains, etc.), street sweeping services, and an annual leaf pickup program. It also manages flood control projects and services within the City, including an advance flood alert warning system for public safety. As the only community in the United States to have the FEMA Class I Rating for flood insurance, PWD is responsible to maintain this rating for the safety and benefit to the community. Public Works recognizes the impact and importance transportation has on the region, and continues to enhance communication and outreach to the public regarding infrastructure projects, transportation services, as well as bike and pedestrian safety. PWD also acts as staff to the Transportation Commission, a recommending body for the City Council.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

The proposed total budget for this fiscal year is \$18.5 million of which 31 percent (\$5.7 million) is funded from the City's General Fund. The net General Fund costs for FY2016-17 reflect an 8.4 increase from last year. The remaining funding sources are State Transportation Development Act funds, solid waste funds, electric funds and gas tax funds.

Capital Improvement Projects (CIPs) Goals for FY2016-17

- Construct of Fire Station No. 1
- Replace the Industrial Bridge over Pleasant Grove Creek
- Replace the Roseville Road "S" curve with a new, straighter alignment
- Reconstruct Washington Boulevard, Atkinson, and Hickory with roller compacted concrete (RCC)
- Construct the Oak Street parking garage
- Construct the Library and Rube Nelson "Ice House" Bridges as part of the Downtown Bridges Project
- Begin construction on the Oakridge Bridge Replacement Project
- Continue design of the Washington "Andora" Widening Project
- Begin final design of the Dry Creek Greenway Bike Trail Project
- Begin design of the Woodcreek Oaks Widening Project
- Complete construction of the Louis Orlando Transfer Point Project
- Begin construction of the Sierra Gardens Transfer Point Project
- Complete the design of the Downtown Pedestrian Bridge
- Complete underground drainage upgrades in the Hillcrest area
- Complete pavement condition inspections for the asset management database
- Complete sign inventory inspection for the asset management database
- Complete replacement of four local buses

Street Maintenance Projects

Public Works plans to resurface two neighborhoods on the west side of Roseville, as well as 16 miles of arterial roadways and 13 bridge decks located throughout the City. The goal is to maintain all collectors and arterial streets at an average pavement quality index of 72 and residential streets at 65. The list of neighborhoods and streets targeted for resurfacing this fiscal year are included in the CIP section of this budget. Also budgeted are funds for maintenance of our bike trails.

Roseville Transit Services

Our largest project will be the continued construction of the Louis/Orlando Transfer Point Project. Construction is anticipated to be completed by the fall of 2016. This transfer point provides connections between Roseville Transit,

Placer County Transit, and Sacramento Regional Transit services. We will also begin introduction of the Connect Card regional transit fare card in the summer of 2016. Plans are to modify Local Route S to operate all day, Monday through Friday. This change will provide additional service between the Galleria Transfer Point and the Santucci Justice Center. Furthermore, we will continue pursuing changes to provide inter-city, door-to-door services for medical appointments to qualified passengers of Health Express as an extension of the current Western Placer Consolidated Transportation Services Agency (CTSA). We also plan to update the Short/Long Range Transit Plan for Roseville Transit and update the Bicycle and Pedestrian Master Plans. The update of the Transit Plan will help us assess the use of transportation network providers (TPNs) and local taxi companies in our transit and TSM systems. We also plan to move from our current location at 401 Vernon Street into our new offices at 316 Vernon Street.

KEY ISSUES

Roadway maintenance is, and will continue to be, a challenge as our roadways age. We will need to continue to look for opportunities to procure funding for roadway maintenance costs. A portion of Transportation Development Act (TDA) Funds will again be used for roadway maintenance in FY2016-17. However, it is uncertain how much TDA funding will be available on a year-by-year basis for roadway maintenance until after the amount needed to fund existing transit services is determined each year.

SUMMARY

Public Works will continue to focus on improving traffic circulation and air quality in Roseville, as well as expanding bikeways and Roseville Transit services. The Department will continue to work closely with the Placer County Transportation Planning Agency on the design of planned improvements to the 80/65 Interchange, State Route 65, and Interstate 80, in addition to partnering with the Capital Corridor Joint Powers Authority on the design of the Third Track project. Public Works will continue to provide project management for downtown revitalization projects, City building construction, and various maintenance projects. Innovative and cost-effective repair methods to maintain our roadways at the level expected by our residents will also be pursued. Public Works will continue to meet the growing needs of our residents, businesses and visitors by providing friendly, responsive, and consistent transportation services.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

PUBLIC WORKS (08300)	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(08300) DEPARTMENT ADMINISTRATION	\$ 342,939	\$ 362,877	\$ 362,877	\$ 360,862
(08320) ENGINEERING / FLOOD ALERT	2,274,077	2,548,582	2,548,582	2,824,066
(08335) TRAFFIC SIGNALS	1,530,456	1,836,998	1,836,998	1,960,843
(08340) STREET MAINTENANCE	5,258,463	5,820,325	5,820,325	5,935,130
(08350) LOCAL TRANSPORTATION	6,271,695	7,104,145	7,104,145	7,379,445
REIMBURSED EXPENDITURES	(1,079,789)	(1,224,410)	(1,224,410)	(1,240,585)
TOTAL DEPARTMENT EXPENDITURES	\$ 14,597,841	\$ 16,448,517	\$ 16,448,517	\$ 17,219,761

RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 8,006,562	\$ 9,285,222	\$ 9,285,222	\$ 9,774,473
MATERIALS, SUPPLIES, SERVICES	7,671,068	8,265,755	8,265,755	8,549,673
CAPITAL OUTLAYS	0	121,950	121,950	136,200
REIMBURSED EXPENDITURES	(1,079,789)	(1,224,410)	(1,224,410)	(1,240,585)
TOTAL NET RESOURCES REQUIRED	\$ 14,597,841	\$ 16,448,517	\$ 16,448,517	\$ 17,219,761
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	67.50	69.50	71.50	71.50

FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 1,079,789	\$ 1,224,410	\$ 1,224,410	\$ 1,240,585
NET GENERAL FUND *	5,883,754	6,459,714	6,459,714	6,904,404
NET HIGHWAY USERS TAX FUND	1,887	10,000	10,000	10,000
NET ROADWAY FUND	0	10,000	10,000	10,000
NET GAS TAX FUND	0	0	0	10,000
NET TRAFFIC MITIGATION FUND	669	10,000	10,000	10,000
NET TRAFFIC SIGNAL MAINTENANCE FUND	1,512,340	1,796,998	1,796,998	1,895,843
NET TRAFFIC SIGNAL CAPITAL FUND	0	0	0	35,000
NET CTSF FUND	347,470	512,728	512,728	536,128
NET LOCAL TRANSPORTATION FUND	5,823,520	6,417,267	6,417,267	6,605,201
NET SOLID WASTE FUND	1,028,201	1,231,810	1,231,810	1,203,185
TOTAL DEPARTMENT FUNDING	\$ 15,677,630	\$ 17,672,927	\$ 17,672,927	\$ 18,460,346

* GENERAL FUNDS REQUIRED	5,883,754	6,459,714	6,459,714	6,904,404
<u>LESS: GAS TAX TRANSFER FOR STREETS</u>	<u>(1,300,000)</u>	<u>(1,200,000)</u>	<u>(1,200,000)</u>	<u>(1,200,000)</u>
NET GENERAL FUNDS REQUIRED	\$ 4,583,754	\$ 5,259,714	\$ 5,259,714	\$ 5,704,404

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
PUBLIC WORKS	PUBLIC WORKS (08300)	ADMINISTRATION (08300)			
PROGRAM To provide overall direction, coordination, and management of Public Works programs for implementation and maintenance of transportation systems and drainage systems, and construction of city building facilities to serve the needs of the community.					
PROGRAM OBJECTIVES - Minimize traffic congestion - Minimize flood risk - Provide high quality streets - Provide a convenient public transit system - Provide an enjoyable bike/pedestrian trail network - Provide new city building facilities as needed					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number roadway, city building, transit, and bike/ped CIPs in process - Number of drainage studies reviewed - Miles of streets resurfaced - Miles of transit routes - Miles of off-street bike trails		26 2 6.0 450 34	23 4 36.0 450 34	23 3 20.5 450 35	33 3 42.5 453 36
EFFICIENCY AND EFFECTIVENESS: - Percent of CIP targets accomplished - Percent of signalized intersections at LOS C or better during pm peak hour - FEMA's CRS rating for Roseville - Pavement Quality Index on Arterials - Collectors / Residentials - Transit farebox recovery ratio		95% 96% 1 71 / 70 23.8%	100% 70% 1 71 / 65 23.0%	75% 96% 1 70 / 69 22.5%	100% 70% 1 70 / 65 22.0%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 334,178	\$ 339,706	\$ 339,706	\$ 351,792
MATERIALS, SUPPLIES, SERVICES		8,761	23,171	23,171	9,070
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(28,936)	(25,000)	(25,000)	(15,000)
TOTAL RESOURCES		\$ 314,003	\$ 337,877	\$ 337,877	\$ 345,862
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		2.00	2.00	2.00	2.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 28,936	\$ 25,000	\$ 25,000	\$ 15,000
NET GENERAL FUND		314,003	337,877	337,877	345,862
TOTAL FUNDING REQUIRED		\$ 342,939	\$ 362,877	\$ 362,877	\$ 360,862
ANALYSIS * Percent of CIP targets accomplished is below target due to two vacant engineer positions for half of FY2015-16.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
PUBLIC WORKS	PUBLIC WORKS (08300)	ENGINEERING / FLOOD ALERT (08320, 08321, 08327, 08328, 08329, 08330)			
PROGRAM To support the infrastructure of the City by providing general civil engineering services for Capital Improvements, Traffic Engineering and Storm Water Management.					
PROGRAM OBJECTIVES - CAPITAL IMPROVEMENTS - TRAFFIC ENGINEERING - TRAFFIC ENGINEERING - TRAFFIC ENGINEERING - FLOODPLAIN MANAGEMENT City projects staff to spend a minimum of 75% of work hours on CIP's Complete 90% of traffic studies within 3 months of beginning, and 100% within 6 months Maintain 70% of signalized intersections at LOS C or better Retime 33% of Free Mode signalized intersections per year Complete 75% of flood determinations within 1 week					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of hours spent on CIP's - Number of traffic studies completed - Number of speed surveys completed - Number of signalized intersections at LOS C or better - Number of "Free Mode" intersections retimed - Number of flood determinations - Number of drainage studies completed - CIP Reimbursed Costs		8,382 130 - 160 32 20 2 \$718,754	7,000 100 45 117 25 40 3 \$619,000	7,000 100 45 117 25 40 3 \$619,000	7,000 100 45 117 25 40 3 \$575,000
EFFICIENCY AND EFFECTIVENESS: - Percent work hours spent on CIP's - Percent traffic studies completed within 3 / 6 months - Percent of signalized intersections at LOS C or better - Percent of City's Free Mode signalized intersections retimed - Percent of flood determinations completed within 1 week - Ratio of Engineering Revenues / Expenses		77% 91% / 100% 96% 36% 100% 8%	75% 91% / 100% 70% 33% 75% 5%	75% 91% / 100% 96% 33% 75% 5%	75% 90% / 100% 70% 33% 75% 4%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS CIP REIMBURSED EXPENDITURES		\$ 2,113,759 160,318 0 (722,731)	\$ 2,329,264 219,318 0 (619,000)	\$ 2,329,264 219,318 0 (619,000)	\$ 2,584,738 239,328 0 (575,000)
TOTAL RESOURCES		\$ 1,551,346	\$ 1,929,582	\$ 1,929,582	\$ 2,249,066
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		14.75	15.75	16.75	16.75
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND NET HIGHWAY USERS TAX FUND NET ROADWAY FUND NET GAS TAX FUND NET TRAFFIC MITIGATION FUND		\$ 722,731 1,548,790 1,887 0 0 669	\$ 619,000 1,899,582 10,000 10,000 0 10,000	\$ 619,000 1,899,582 10,000 10,000 0 10,000	\$ 575,000 2,209,066 10,000 10,000 10,000 10,000
TOTAL FUNDING REQUIRED		\$ 2,274,077	\$ 2,548,582	\$ 2,548,582	\$ 2,824,066
ANALYSIS The increase in FTE during FY2015-16 is due to adding 1 Assistant Engineer.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
TRAFFIC SIGNALS	PUBLIC WORKS (08300)	TRAFFIC SIGNALS (08335, 08336)			
PROGRAM To provide for safe and efficient movement of vehicles and pedestrians by effectively maintaining, improving, and installing traffic signals and ITS (Intelligent Transportation System) equipment.					
PROGRAM OBJECTIVES - To respond to safety-related traffic signal malfunctions within one hour of notification - To perform 100% of Type "A" maintenance routines once every six months, and Annual PM (Preventative Maintenance) routines once every year - To keep average number of signal malfunctions per signal per year below 1.0					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of traffic signals maintained - Number of Type "A" routines performed (all ITS equipment) - Number of Annual PM routines performed (signals, beacons, CMS) - Number of workorders completed		171 196 103 1,195	174 389 182 1,500	174 250 182 1,300	180 401 188 1,500
EFFICIENCY AND EFFECTIVENESS: - Average time to respond per safety related malfunction (in hours) - Percent Type "A" routines performed - Percent Annual PM routines performed - Number of signal malfunctions per year - Average time to acknowledge safety related malfunction (in hours)		0.75 51.7% 58.2% 31 0.04	1.00 100% 100% 174 0.25	1.00 65.0% 100.0% 30 0.25	1.00 100% 100% 180 0.25
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,114,759	\$ 1,366,319	\$ 1,366,319	\$ 1,457,396
MATERIALS, SUPPLIES, SERVICES		415,697	470,679	470,679	468,447
CAPITAL OUTLAYS		0	0	0	35,000
REIMBURSED EXPENDITURES		(18,116)	(40,000)	(40,000)	(30,000)
TOTAL RESOURCES		\$ 1,512,340	\$ 1,796,998	\$ 1,796,998	\$ 1,930,843
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		7.00	7.00	8.00	8.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 18,116	\$ 40,000	\$ 40,000	\$ 30,000
NET TRAFFIC SIGNAL MAINTENANCE FUND		1,512,340	1,796,998	1,796,998	1,895,843
NET TRAFFIC SIGNAL CAPITAL FUND		0	0	0	35,000
TOTAL FUNDING REQUIRED		\$ 1,530,456	\$ 1,836,998	\$ 1,836,998	\$ 1,960,843
ANALYSIS The increase in FTE during FY2015-16 is due to adding 1 Traffic Signal Maintenance Worker.					

PROGRAM PERFORMANCE BUDGET

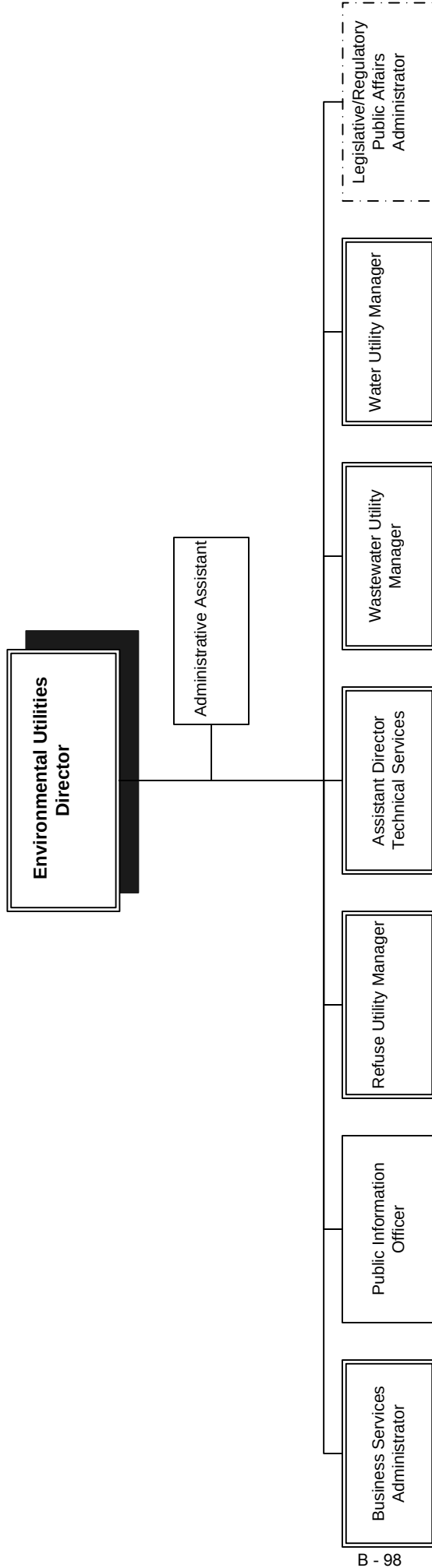
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
PUBLIC WORKS	PUBLIC WORKS (08300)	STREET MAINTENANCE (08340 - 08345, 08348)			
PROGRAM To provide a system of maintenance of the roadways which will improve the quality of roadway / shoulder repair and remove debris at a level which will maximize safety and minimize citizen inconvenience and complaints. To maintain 465 centerline miles of city streets in safe and attractive condition maintaining an overall pavement quality index of a minimum of 65 to 72 or better.					
PROGRAM OBJECTIVES - To phase out painting and increase thermoplastic application to all traffic legends - To clean storm drains in accordance with MS4 Permit requirements - To sweep all streets once every 30 days to assist in meeting MS4 permit requirements - To replace deteriorated street signs/posts in accordance to MUTCD requirements - To repair, patch and seal streets in preparation for annual resurfacing projects - To abate 90% of graffiti within 48 hours after receiving notification from the Police Department					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Linear feet of storm drains - Number of curb miles swept - Crack-fill / Lbs. placed - Remove / replace tons of asphalt - Skin patch / tons of asphalt - Square footage of painted legends - Square footage of thermo plastic legends - Number of deteriorated traffic signs replaced - Alley maintenance program (miles / square feet) - Miles of streets resurfaced (centerline)		305,000 22,238 73,130 3,440 970 21,275 6,453 1,469 .8 / 50,000 6.0	100,000 24,500 60,000 3,000 600 15,000 20,000 1,200 1.0 / 63,600 36.0	360,000 18,000 60,000 2,500 900 33,000 5,000 1,200 .5 / 32,000 20.5	400,000 24,500 50,000 2,500 500 15,000 5,000 1,200 .5 / 32,000 42.5
EFFICIENCY AND EFFECTIVENESS: - Percent of streets swept every 30 days - Average cost per mile of roadway maintained - Crack-fill lane feet - Removal of deteriorated square feet - Skin patch square feet - Pavement Quality index Rating (PQI) Arterials - Collectors / Residential - Percent of graffiti removed within 48 hours		97% \$11,073 377,470 163,150 106,350 71 / 70 70%	90% \$11,627 250,000 150,000 100,000 71 / 65 90%	77% \$11,729 250,000 100,000 155,000 70 / 69 80%	90% \$11,941 200,000 100,000 75,000 70 / 65 90%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 3,258,775	\$ 3,700,114	\$ 3,700,114	\$ 3,850,392
MATERIALS, SUPPLIES, SERVICES		1,999,688	2,090,211	2,090,211	2,084,738
CAPITAL OUTLAYS		0	30,000	30,000	0
REIMBURSED EXPENDITURES		(209,301)	(366,260)	(366,260)	(382,469)
TOTAL RESOURCES		\$ 5,049,162	\$ 5,454,065	\$ 5,454,065	\$ 5,552,661
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		33.00	34.00	34.00	34.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 209,301	\$ 366,260	\$ 366,260	\$ 382,469
NET GENERAL FUND		4,020,961	4,222,255	4,222,255	4,349,476
NET SOLID WASTE FUND		1,028,201	1,231,810	1,231,810	1,203,185
TOTAL FUNDING REQUIRED		\$ 5,258,463	\$ 5,820,325	\$ 5,820,325	\$ 5,935,130
ANALYSIS					
GENERAL FUNDING REQUIRED		4,020,961	4,222,255	4,222,255	4,349,476
LESS: GAS TAX CONTRIBUTIONS		(1,300,000)	(1,200,000)	(1,200,000)	(1,200,000)
NET GENERAL FUNDING REQUIRED		\$ 2,720,961	\$ 3,022,255	\$ 3,022,255	\$ 3,149,476

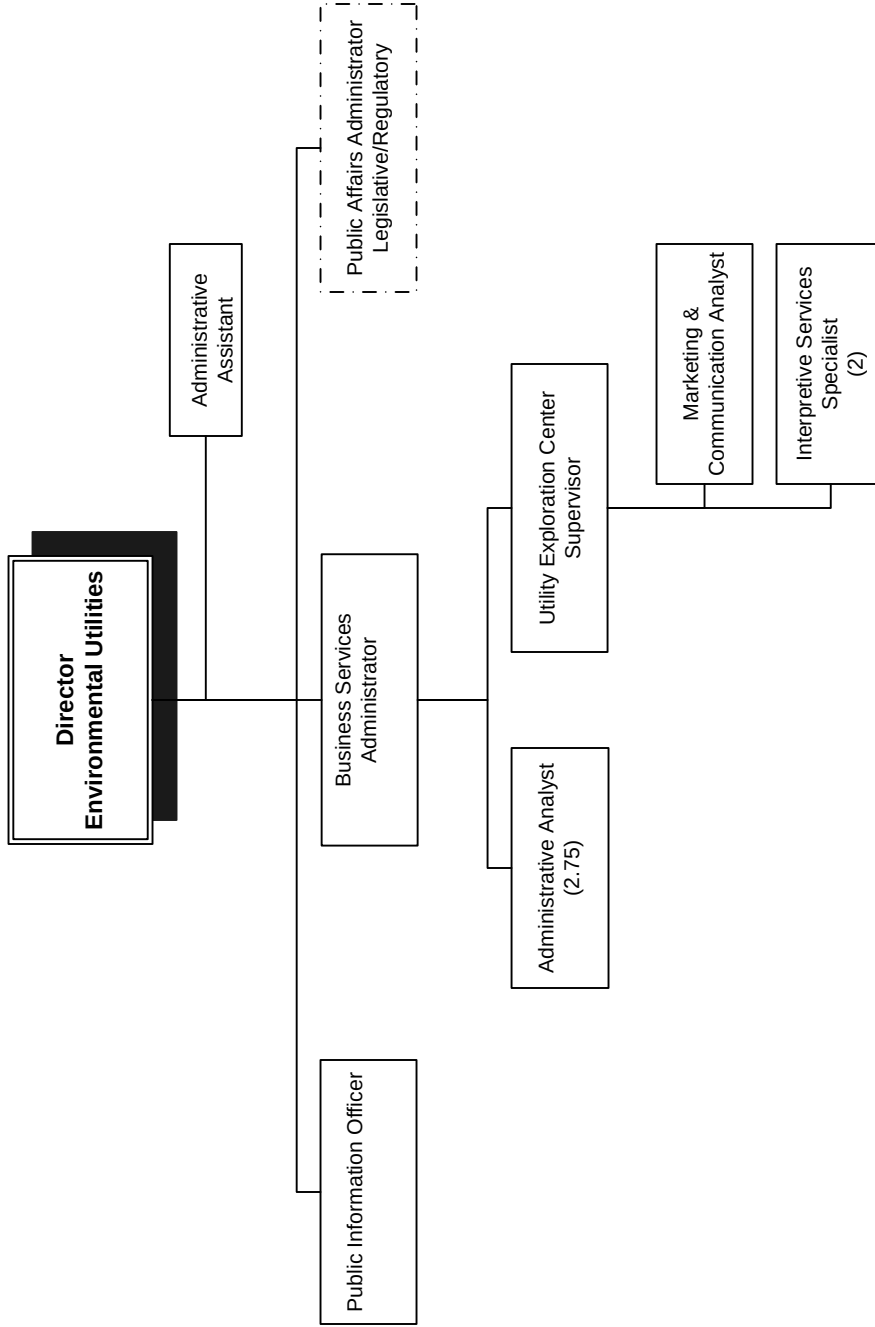
PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

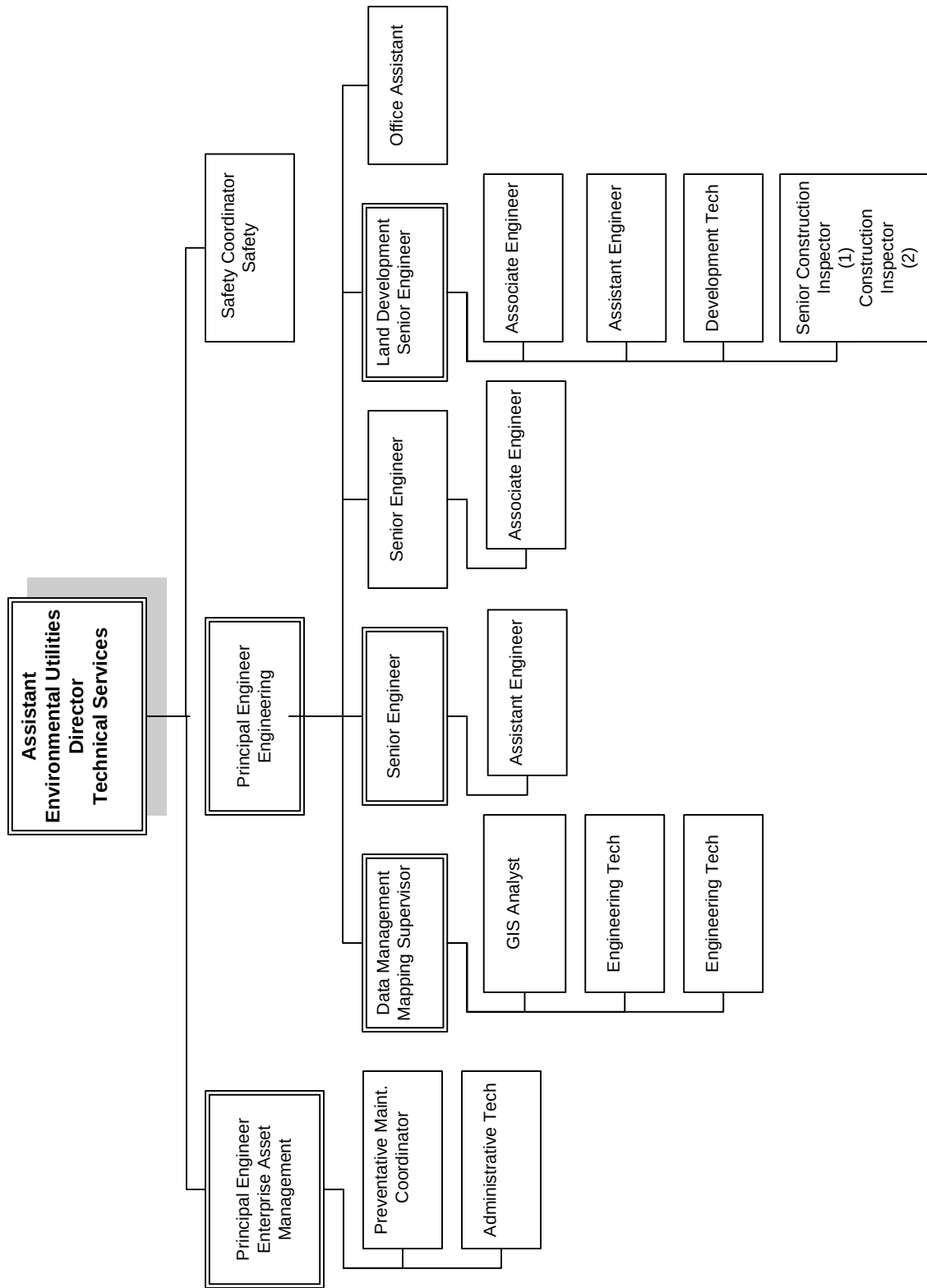
MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
TRANSPORTATION	PUBLIC WORKS (08300)	LOCAL TRANSPORTATION (08350 - 08354)			
PROGRAM Roseville's Alternative Transportation Division creates a vibrant, healthy community by providing safe, convenient and efficient transportation options. Simply put, we make it easier for people to get around.					
PROGRAM OBJECTIVES Implement Roseville's Short and Long Range Transit Plans, as well as the South Placer County DAR Study and BRT Study, which include the following: - Expand and provide a mix of transit services that fit the needs of the community - Increase annual transit ridership and annual passenger miles using transit - Meet the statutory 15% farebox recovery - Maintain low service costs and seeking stable outside funding sources - Operate the South Placer Call Center and Transit Ambassador Program Implement, monitor, enforce, and provide feedback regarding the effectiveness of the City's Transportation Systems Management (TSM) Ordinance. Implement the Bikeway Master Plan and promote programs which help achieve its goals. Adopt and implement the Pedestrian Master Plan and promote programs which help achieve its goals. Monitor air quality mandates and implement programs as necessary, including the Sustainable Action Plan. Increase awareness of alternative transportation and its benefits for a safe and healthy community. Provide primary staff support to the Transportation Commission.					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Total Transit Ridership - Transit Revenue Hours - Total Fares Collected - Transit Phone Calls For Service - Public Counter Transactions - Number of Transit Ambassadors' Volunteer Hours - E-Notification Subscribers - E-Notifications Sent to Subscribers - Number of New TSM Plans Approved/ Number of TSM On-Site Visits - Alternative Transportation Programs - Number of Community Outreach/Education Events - Number of Transportation Commission Meetings - Number of Regional Transportation Partnership Meetings		398,029 50,862 \$1,134,507 68,900 3,130 n/a 5,447 24 4 / 29 4 47 7 45	421,000 48,560 \$1,170,000 73,600 3,300 n/a 1,800 18 4 / 25 8 35 8 35	410,000 53,000 \$1,170,000 72,800 3,260 n/a 1,800 18 3 / 24 8 35 8 35	427,000 55,287 \$1,170,000 70,000 3,350 480 3,000 20 3 / 24 8 35 8 35
EFFICIENCY AND EFFECTIVENESS: - Percent Change Transit Ridership (systemwide) - Farebox Recovery Ratio (systemwide) - Passengers Per Revenue Hour (systemwide) - Transit Road Calls Per Mile Traveled (systemwide) - Transit Maintenance Average Cost Per Mile (w/o fuel)		-1.1% 22.1% 7.8 1:18,428 \$0.68	4.9% 23% 7.9 1:10,000 \$0.72	3.0% 22.5% 7.8 1:39,000 \$0.72	4.0% 22% 7.7 1:30,000 \$0.74
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,185,091	\$ 1,549,819	\$ 1,549,819	\$ 1,530,155
MATERIALS, SUPPLIES, SERVICES		5,086,604	5,462,376	5,462,376	5,748,090
CAPITAL OUTLAYS		0	91,950	91,950	101,200
REIMBURSED EXPENDITURES		(100,705)	(174,150)	(174,150)	(238,116)
TOTAL RESOURCES		6,170,990	6,929,995	6,929,995	7,141,329
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		10.75	10.75	10.75	10.75
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 100,705	\$ 174,150	\$ 174,150	\$ 238,116
NET CONSOLIDATED TRANSPORTATION SERVICE AGENCY (CTSA) FUND		347,470	512,728	512,728	536,128
NET LOCAL TRANSPORTATION FUND		5,823,520	6,417,267	6,417,267	6,605,201
TOTAL FUNDING REQUIRED		\$ 6,271,695	\$ 7,104,145	\$ 7,104,145	\$ 7,379,445
ANALYSIS Route's expanded service to begin fall 2017, impacting ridership, revenue hours, and fare revenue.					



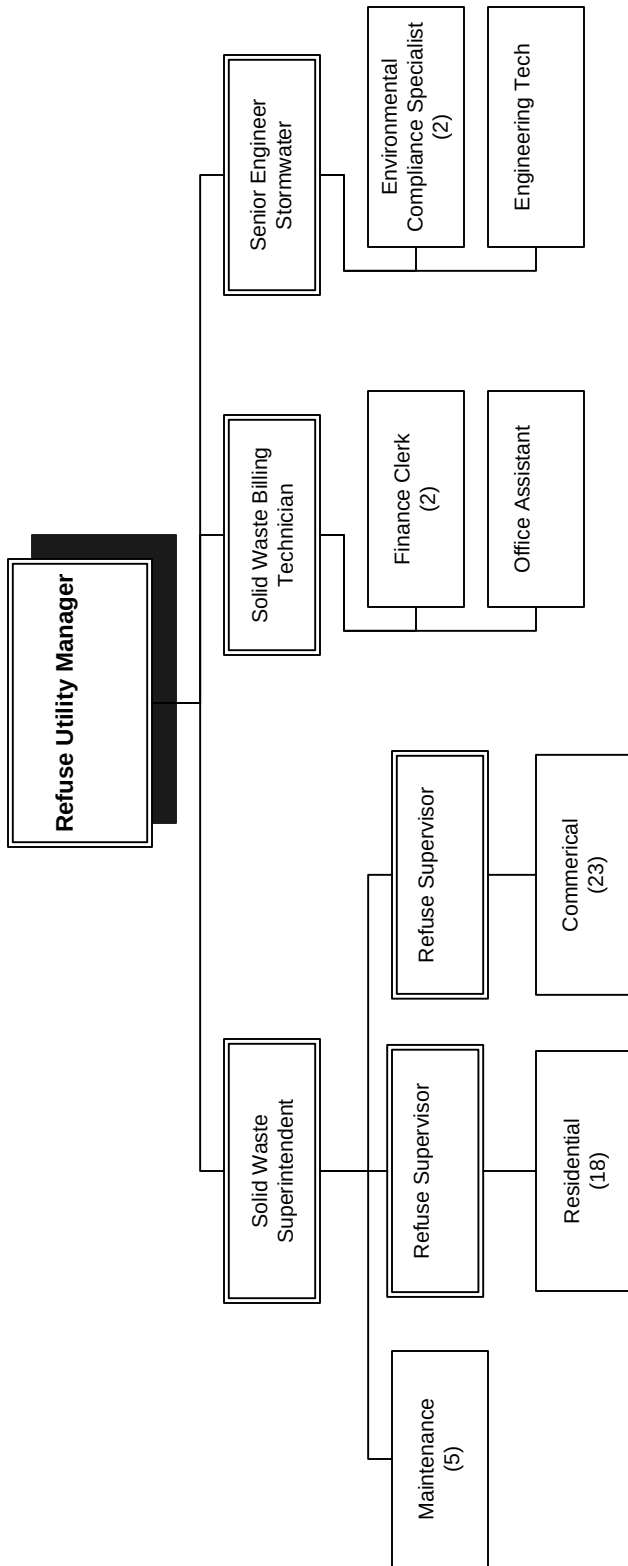
Organizational Chart: Environmental Utilities Department



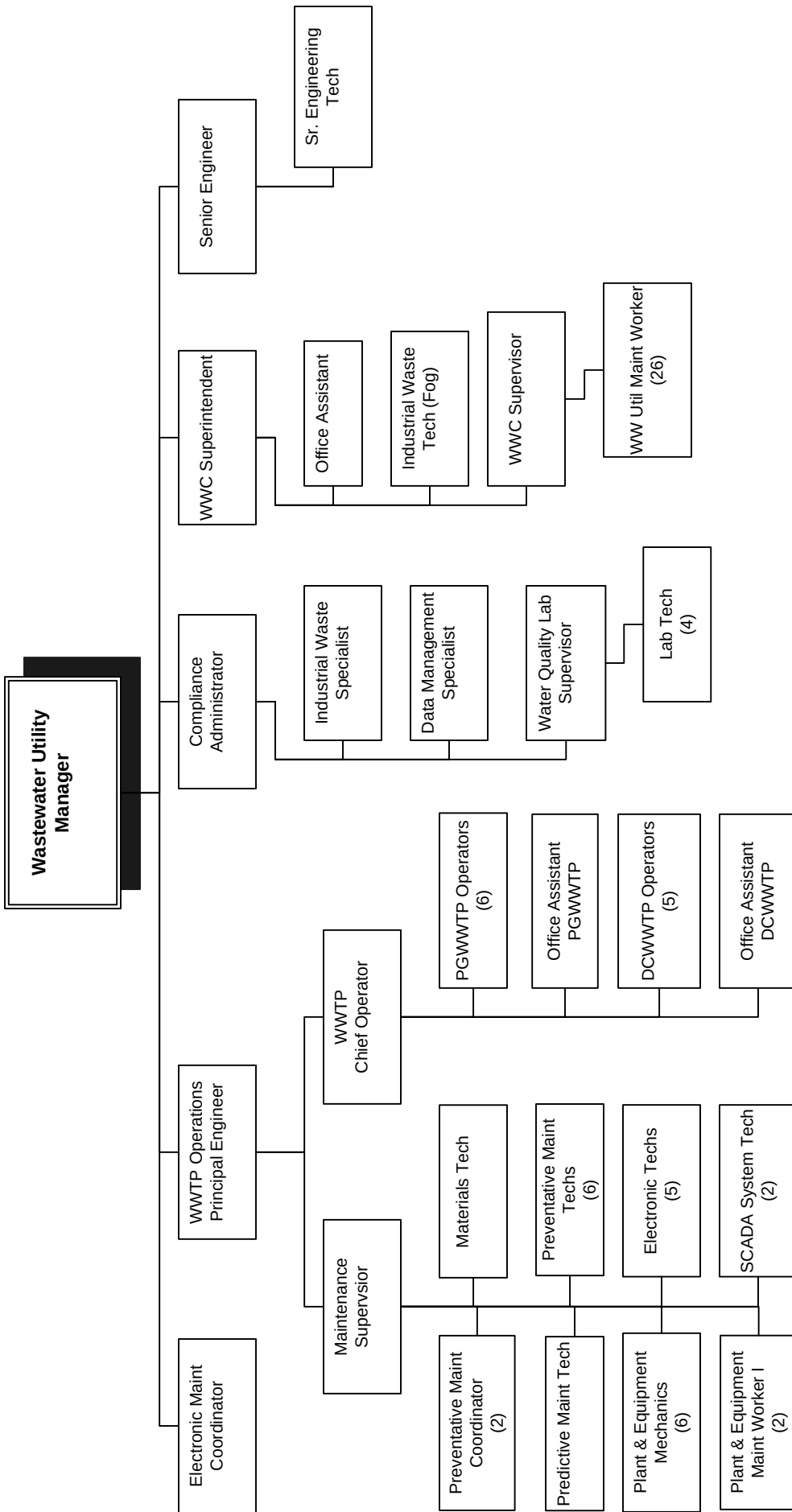
Environmental Utilities Department – Administration



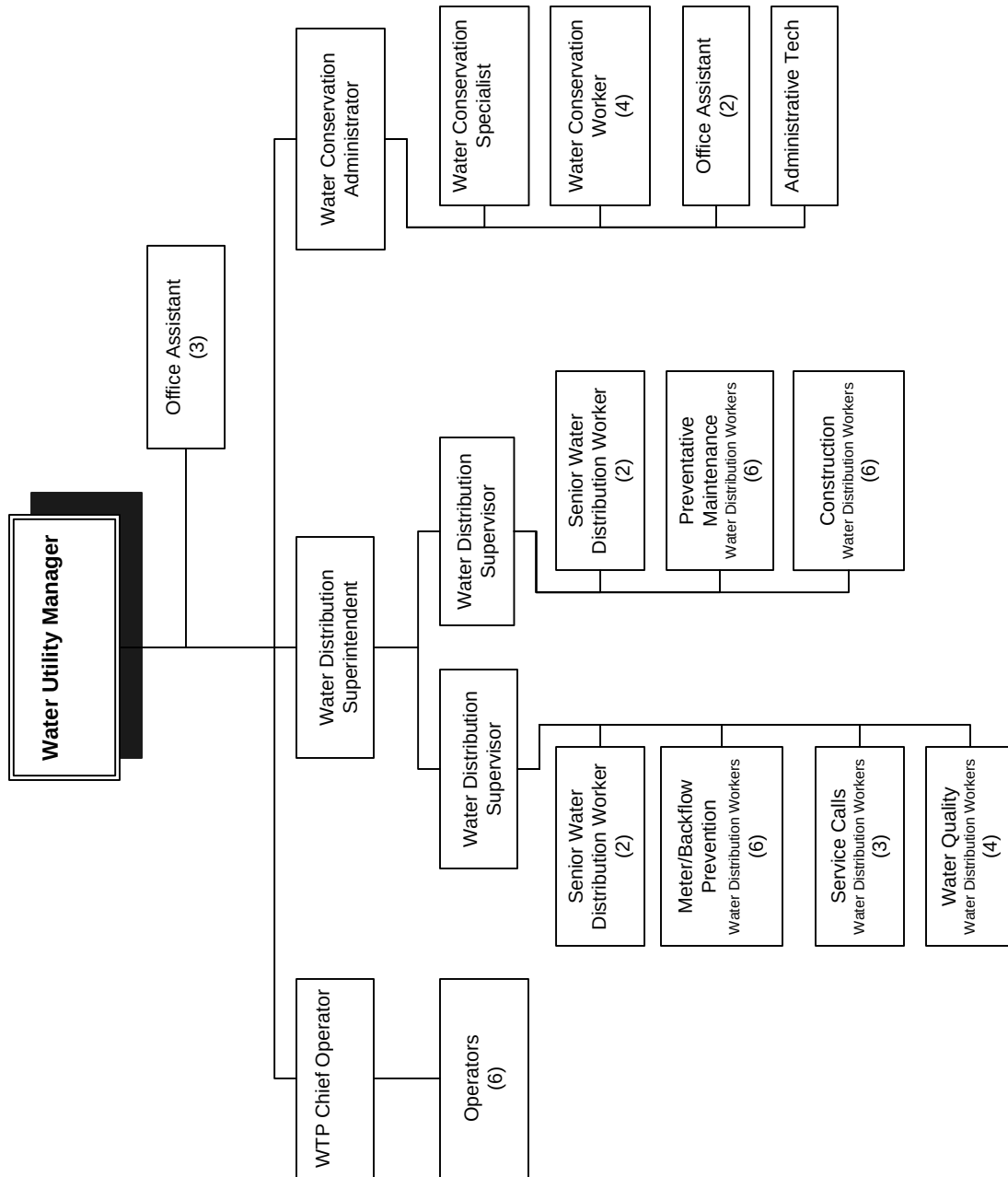
Environmental Utilities – Engineering



Environmental Utilities – Solid Waste



Environmental Utilities – Wastewater



Environmental Utilities – Water

ENVIRONMENTAL UTILITIES DEPARTMENT

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The mission of Environmental Utilities is to be a progressive, self-sufficient group of utilities, looking for opportunities to serve the public in environmentally friendly and fiscally responsible ways. The Department meets the public needs by providing four major services for water, wastewater, solid waste, and recycled water. The Department also manages the City's stormwater quality program and co-manages the Utility Exploration Center with Roseville Electric Utility to meet state-mandated public outreach goals.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

The Water Utility purchases, treats, and distributes potable water to approximately 40,000 customer accounts. The Water Utility is also responsible for the maintenance of infrastructure and implementation of water efficiency programs. The Water Operations Fund budget of \$27.2 million includes projected operational increases, continued implementation of metered billing, and new regulatory requirements as well as planning for continued water service reliability. In addition to operational increases, the FY2016-17 budget includes \$3 million set aside for future infrastructure rehabilitation and replacement, and \$1.2 million toward the Rate Stabilization Fund. Operational expenditures are consistent with the rates analysis conducted in 2015.

The Wastewater Utility collects and treats wastewater for Roseville and its regional partners, South Placer Municipal Utility District, and Placer County. The Utility is also a regional provider of recycled water for areas in the City and Placer County. City staff responsibilities include maintenance of wastewater and recycled water infrastructure within Roseville city limits and operation and maintenance of the two regional wastewater treatment plants. The Wastewater Operations Fund budget of \$36.3 million includes projected operational costs for two wastewater treatment plants, laboratory, sewer collection system, and recycled water. In addition to operational costs, the FY2016-17 budget includes \$6.5 million set aside for future infrastructure rehabilitation and replacement. Operational expenditures are consistent with the rates analyses conducted in 2015.

The Solid Waste Utility collects, recycles, and disposes of refuse for residential and commercial customers, and oversees the maintenance of the closed Roseville Sanitary Landfill. The Solid Waste Operations Fund budget of \$23.6 million includes operational increases associated with inflation, regulatory compliance, and the implementation of programs aimed at increasing diversion from the waste stream. In addition, the FY2016-17 budget includes \$200,000 set aside for the rehabilitation and replacement of existing cans and bins that require it. Solid Waste expenditures are consistent with those anticipated in the rates analysis conducted in 2015. Solid Waste Utility staff are also responsible for managing the City's stormwater quality program. This state-mandated program is a General Fund-supported program with a budget of approximately \$830,000 for FY2016-17.

KEY ISSUES

Water

- Effectively unwind from the four years of severe statewide drought and adjust drought stages and messaging accordingly
- Continue water reliability planning efforts for the Ophir Water Treatment Plant and the RiverArc project with Placer County Water Agency and others
- Work with Placer County, the City of Lincoln, the Cal American Water Company and the Placer County Water Agency on local implementation of the Sustainable Groundwater Management Act (SGMA)
- Comprehensively evolve our connection fee program to include recycled water and new water supply and reliability project(s) for the future
- Continuation of the West Side Tank and Pump Station project to increase water storage within the distribution system
- Begin preparing for the next cycle of utility rate adjustments as needed ensuring stability across long term financial plan and key fiscal policies
- Stay in front of external movements, including California WaterFix, long-term water use efficiency policy and the State Water Resources Control Board's tributary flow proceedings, to protect the City's and region's interests while forging new partnerships and alliances to advance northern California water reliability
- Customer assistance to minimize water waste and improve water efficiencies
- Rehabilitation of water infrastructure consistent with condition assessments
- Participation in regional and federal legislative advocacy to ensure reliable water supplies into the future
- Supervisory Control and Data Acquisition (SCADA) system replacement supporting the Water Utility

Wastewater

- Continuation of a project to increase treatment capacity of the Pleasant Grove Wastewater Treatment Plant
- Implementation of NPDES permits for both Pleasant Grove and Dry Creek Wastewater Treatment Plants including necessary process changes at Dry Creek to meet additional regulatory requirements
- Continued implementation of Fats, Oils, and Grease Program and planning for organic waste processing
- Provide staff support for the South Placer Wastewater Authority
- Continued implementation of collection system condition assessment recommendations
- Rehabilitation of aging treatment process equipment at the Dry Creek Wastewater Treatment Plant

- Initiation of rehabilitation projects at the Pleasant Grove Wastewater Treatment Plant
- Compliance with more stringent environmental regulations not directly related to wastewater treatment operations such as air emissions and industrial stormwater requirements
- Compliance with new electronic reporting requirements of the U.S. Environmental Protection Agency
- SCADA system replacement supporting the Wastewater Utility

Recycled Water

- Long-term strategy for transition of recycled water from Wastewater to the Water Utility
- Continue planning efforts for the expansion of the recycled water system into future urban growth areas
- Planning for recycled water use outside of Roseville
- Rehabilitation and maintenance of existing recycled water facilities
- Expansion of service to new recycled water customers
- Manage semi-aggressive use of recycled water within existing and newly approved specific plan areas

Solid Waste

- Implement the Commercial Organic Waste Program to conform with AB 1826 requirements for Commercial Organics Recycling
- Coordinate with the Wastewater Utility as business case studies are developed for a potential waste to energy program, wherein organics collected by Solid Waste would supplement methane gas production at the Pleasant Grove WWTP potentially offsetting power needs of the plant or generating fuel for the Solid Waste collection fleet
- Monitor and proactively address requirements of proposed Extended Producer Responsibility (EPR) Bills addressing appropriate disposal of batteries, sharps, and pharmaceuticals
- Refurbishment and replacement of containers
- Implementation of the Stormwater Quality program to ensure compliance with the State's General Permit for Municipal Stormwater Discharges (NPDES Phase II Program)
- Monitor Stormwater trash amendment and prepare the City for implementation of the amendment
- Enhance the customer experience through the rebrand of the Solid Waste Collection Fleet

Utility Exploration Center

- Continue to provide Roseville residents with information on conservation actions supporting water and energy conservation, waste reduction, and watershed protection through interactive exhibits and innovative programming
- Conduct Green Living Workshops for Roseville homeowners and business owners
- Conduct special events and outreach programs throughout the year to connect Roseville residents with City utilities
- Continue to present the Celebrate the Earth festival as a signature City of Roseville event featuring business and practices that support a sustainable, "green," community
- Provide programs for Roseville schools and other schools in the region and expand family programming and informational resources to serve a broad range of Roseville residents
- Lead efforts to complete planning, fundraising, and implementation for the IDEAScape and for updating Visitor Center exhibits

Environmental Utilities

- Continue high-level public outreach efforts
- Conduct rate and connection fee analyses
- Enhance the Safety program to reduce accidents/injuries and promote a safety-oriented culture
- Full implementation of the enterprise asset management (EAM) system with a primary focus on horizontal assets
- Implement customer information system (CIS) required by Finance for customer billing
- Active participation in regional efforts like the Regional Water Authority and West Placer Groundwater Management Plan partnership
- Participate in new specific plan development proposals
- Continued regulatory and legislative advocacy
- Ensure that operational expenditures are consistent with the assumptions in the FY2016-17 rates analyses
- Participate in the City's Organization and Leadership Committee
- Implement the Department Strategic Plan

SUMMARY

Environmental Utilities continues to strive for the highest level of efficiency in providing utility services, while maintaining cost-effectiveness, and implementing regulatory and legislative requirements. Water Utility staff will provide customer assistance for continued conservation reductions as required by the State, Wastewater Utility staff will continue to meet more stringent regulatory requirements, and Solid Waste Utility staff will continue to expand recycling and hazardous waste programs. Maintaining the integrity of the \$2 billion investment in existing infrastructure remains a top priority that is being met by the ongoing implementation of our EAM program. EAM will provide Environmental Utilities, as well as other City operations, with a robust information system to manage and maintain our infrastructure assets and appropriately plan rehabilitation programs and projects. The Utility Exploration Center will continue to provide high quality educational programming and services for our residents. All staff will continue to monitor budgets to ensure revenues are sufficient to cover expenditures.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

ENVIRONMENTAL UTILITIES (08400)	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(480: 08400) DEPARTMENT ADMINISTRATION	\$ 1,471,818	\$ 1,805,577	\$ 1,780,577	\$ 2,236,610
(480: 08402) EU ASSET MANAGEMENT	357,615	410,210	410,210	418,911
(485: 08405) EU - TECHNICAL SERVICES	2,635,296	3,034,560	3,034,560	3,399,748
(460: 08410) SOLID WASTE COLLECTION	13,620,477	15,369,011	15,388,511	15,693,443
(460: 08415) SOLID WASTE RECYCLING & GREEN WASTE	2,142,423	2,548,099	2,409,849	2,672,821
(470: 08420) WASTEWATER ADMINISTRATION	636,500	810,379	810,379	926,916
(480: 08421) WATER TREATMENT AND STORAGE	3,180,417	5,214,207	5,214,207	5,185,593
(470: 08422) DRY CREEK WW TREATMENT PLANT	5,573,269	7,214,260	7,214,260	7,110,777
(470: 08424) ENVIRONMENTAL UTILITIES MAINTENANCE	3,875,517	4,563,965	4,563,965	4,647,808
(470: 08425) W/WW ANALYSIS	1,431,792	1,696,191	1,696,191	1,804,624
(470: 08427) PLEASANT GROVE WW TREATMENT PLANT	5,794,827	6,704,944	6,704,944	6,838,837
(480: 08430) WATER ADMINISTRATION	910,158	1,543,980	1,455,580	1,158,799
(480: 08431) WATER DISTRIBUTION	5,085,494	5,851,875	5,851,875	6,248,751
(470: 08432) WASTEWATER COLLECTION	4,208,247	5,180,126	5,180,126	5,365,733
(480: 08433) WATER EFFICIENCY	1,420,224	2,213,336	2,213,336	2,181,156
(470: 08441) RECYCLED WATER	442,438	622,885	622,885	537,110
(224: 08450) STORMWATER MANAGEMENT	654,573	751,739	751,739	764,227
(227: 08527) UTILITY EXPLORATION CENTER	480,095	676,515	676,515	694,040
REIMBURSED EXPENDITURES	(4,179,028)	(4,754,127)	(4,754,127)	(5,023,229)
TOTAL DEPARTMENT EXPENDITURES	\$ 49,742,152	\$ 61,457,732	\$ 61,225,582	\$ 62,862,675

RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 25,638,047	\$ 29,353,203	\$ 29,292,703	\$ 30,828,494
MATERIALS, SUPPLIES, SERVICES	28,031,880	36,592,857	36,421,207	36,742,399
CAPITAL OUTLAYS	251,253	265,799	265,799	315,011
REIMBURSED EXPENDITURES	(4,179,028)	(4,754,127)	(4,754,127)	(5,023,229)
TOTAL NET RESOURCES REQUIRED	\$ 49,742,152	\$ 61,457,732	\$ 61,225,582	\$ 62,862,675
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	210.50	218.50	222.25	224.50

FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 4,179,028	\$ 4,754,127	\$ 4,754,127	\$ 5,023,229
NET STORMWATER MANAGEMENT FUND	7,992	0	0	764,227
NET SOLID WASTE FUND	15,696,304	17,729,766	17,611,016	18,161,264
NET SOLID WASTE CAPITAL PURCHASE FUND	64,409	170,000	170,000	190,000
NET WASTEWATER FUND	18,378,638	23,092,365	23,092,365	23,416,630
NET WATER FUND	12,376,627	16,830,098	16,716,698	17,304,839
NET WATER EU ENGINEERING FUND	2,132,861	2,220,249	2,220,249	2,346,675
NET UTILITY EXPLORATION FUND	438,740	663,515	663,515	679,040
NET GENERAL FUND	646,581	751,739	751,739	0
TOTAL DEPARTMENT FUNDING	\$ 53,921,180	\$ 66,211,859	\$ 65,979,709	\$ 67,885,904

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
ENVIRONMENTAL UTILITIES	ENVIRONMENTAL UTILITIES (08400)	ADMINISTRATION (480: 08400)			
PROGRAM To provide direction and administrative support to the Environmental Utilities Department for water treatment and distribution, wastewater collection and treatment, recycled water distribution, solid waste collection, disposal, and recycling to serve the needs of the community.					
PROGRAM OBJECTIVES - To ensure sufficient resources exist to serve both existing and future customers - To monitor customer service programs to ensure the department is meeting the needs of our customers - To monitor the fiscal health of the department, ensuring the utilities are cost effective and competitive with surrounding jurisdictions					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Debt ratio water utility - Debt ratio wastewater utility - Water bond rating water utility - Days cash on hand Water utility - Days cash on hand Wastewater utility - Days cash on hand Solid Waste utility - Water net revenue (% of net revenue to debt service)		10.0% 17.0% AA- 257 246 360 3.23	36.0% 49.8% A+ 150 150 150 1.20	36.0% 49.8% AA- 150 150 150 1.20	36.0% 49.8% AA- 150 150 150 1.20
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,287,254	\$ 1,361,918	\$ 1,361,918	\$ 1,774,205
MATERIALS, SUPPLIES, SERVICES		184,564	443,659	418,659	462,405
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(29,362)	(59,365)	(59,365)	(61,800)
TOTAL RESOURCES		\$ 1,442,456	\$ 1,746,212	\$ 1,721,212	\$ 2,174,810
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		7.75	7.75	6.75	9.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 29,362	\$ 59,365	\$ 59,365	\$ 61,800
NET WATER FUND		1,442,456	1,746,212	1,721,212	2,174,810
TOTAL FUNDING REQUIRED		\$ 1,471,818	\$ 1,805,577	\$ 1,780,577	\$ 2,236,610
ANALYSIS The increase in FTE for FY2016-17 is due to adding one Marketing and Communications Analyst, one Data Management Specialist, and increasing the allocation (0.25 FTE) of an Administrative Analyst. The drop in FTE during FY2015-16 is due to removing one Administrative Analyst.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WATER	ENVIRONMENTAL UTILITIES (08400)	EU ASSET MANAGEMENT (480: 08402)			
PROGRAM To implement a program to assess, plan and forecast the efficient maintenance, repair and replacement of assets and systems in both Environmental Utilities and other departments that will maximize their useful life.					
PROGRAM OBJECTIVES - Provide Program Management and other staff support to the Enterprise Asset Management Program for all identified city asset groups - Develop tools and functionality to enable strong utility operations, drive business decisions related to asset and utility Management					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - EAM Program progress (final phase)		20%	75%	65%	100%
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 343,797	\$ 388,918	\$ 388,918	\$ 396,882
MATERIALS, SUPPLIES, SERVICES		13,818	21,292	21,292	22,029
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(18,579)	0	0	0
TOTAL RESOURCES		\$ 339,036	\$ 410,210	\$ 410,210	\$ 418,911
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		3.00	3.00	3.00	3.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 18,579	\$ 0	\$ 0	\$ 0
NET WATER FUND		339,036	410,210	410,210	418,911
TOTAL FUNDING REQUIRED		\$ 357,615	\$ 410,210	\$ 410,210	\$ 418,911
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
ENVIRONMENTAL UTILITIES	ENVIRONMENTAL UTILITIES (08400)	EU - TECHNICAL SERVICES (485: 08405)			
PROGRAM To support Environmental Utilities (solid waste, water, wastewater, recycled water) by providing general engineering services for capital improvement projects, inspection of infrastructure, plan review, engineering support services and automated mapping and facilities management.					
PROGRAM OBJECTIVES - Provide engineering services on Capital Improvement and Special Projects - Turn around 85% of plan checks within four weeks and 100% within six weeks - Perform inspections of all the new water, wastewater and recycled water infrastructure - Keep utility infrastructure maps up to date. Convert maps for GIS applications - Provide staff to support the City-wide GIS Project - Manage departmental safety programs					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Water / Wastewater / Recycled Water Design / Special Projects - Capital Improvement Projects under construction - Inspection billings for development Projects - Plan check fees collected - Number of Plan sets reviewed (with resubmittals)		5 6 \$248,493 \$364,043 104	5 6 \$374,500 \$600,300 120	5 5 \$350,000 \$500,000 120	17 10 \$396,000 \$526,000 120
EFFICIENCY AND EFFECTIVENESS: - Percent of capital improvement design projects completed - Percent of capital improvement construction projects completed - Number of plan checks completed within 4 weeks / 6 weeks / > 6 weeks - Costs charged to water operations - Costs charged to wastewater and recycled water operations - Costs charged to solid waste operations - Percentage of projects approved within 3 plan checks - Percentage of new utilities mapped by the end of the warranty period		20% 33% 85 / 5 / 14 \$936,410 \$597,815 \$74,057 n/a 99%	80% 50% 120 / 0 / 0 \$740,000 \$580,000 \$143,000 75% 90%	80% 75% 120 / 4 / 8 \$900,000 \$550,000 \$75,000 75% 90%	80% 75% 120 / 0 / 0 \$629,000 \$426,000 \$102,000 75% 90%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 2,491,471 143,825 0 (502,435)	\$ 2,839,205 195,355 0 (814,311)	\$ 2,839,205 195,355 0 (814,311)	\$ 3,193,542 206,206 0 (1,053,073)
TOTAL RESOURCES		\$ 2,132,861	\$ 2,220,249	\$ 2,220,249	\$ 2,346,675
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		17.75	18.75	20.75	20.75
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET WATER-EU ENGINEERING FUND		\$ 502,435 2,132,861	\$ 814,311 2,220,249	\$ 814,311 2,220,249	\$ 1,053,073 2,346,675
TOTAL FUNDING REQUIRED		\$ 2,635,296	\$ 3,034,560	\$ 3,034,560	\$ 3,399,748
ANALYSIS The increase in FTE during FY2015-16 is due to adding one Assistant Engineer and one GIS Analyst.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
SOLID WASTE	ENVIRONMENTAL UTILITIES (08400)	SOLID WASTE COLLECTION (460: 08410 - 08414; 462: 08417)			
PROGRAM To provide exceptional, cost efficient solid waste and recycling collection services with an emphasis on protecting the environment and reducing waste.					
PROGRAM OBJECTIVES - Reducing the amount of trash disposed, through reduce, reuse and recycling efforts - Delivering outstanding customer service at competitive rates - Protecting the environment by meeting or exceeding all regulatory requirements - Creating a safe and enjoyable working environment for all employees					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Pounds of waste per person per day - Tons of solid waste collected - Service interruption missed pick up - Residential customers per dedicated employee per week - Commercial containers per dedicated employee per week - Roll-Off hauls per dedicated employee per week - Days cash on hand		4.2 94,308 0.50% 3,958 437 29 360	6.0 96,000 0.50% 3,975 480 30 150	4.1 100,000 0.70% 4,000 480 30 150	6.0 100,000 0.50% 4,100 480 30 150
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 4,262,007	\$ 4,734,443	\$ 4,734,443	\$ 5,018,575
MATERIALS, SUPPLIES, SERVICES		9,294,061	10,464,568	10,484,068	10,484,868
CAPITAL OUTLAYS		64,409	170,000	170,000	190,000
REIMBURSED EXPENDITURES		(2,187)	(17,344)	(17,344)	(15,000)
TOTAL RESOURCES		\$ 13,618,290	\$ 15,351,667	\$ 15,371,167	\$ 15,678,443
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		42.00	43.00	45.00	45.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 2,187	\$ 17,344	\$ 17,344	\$ 15,000
NET SOLID WASTE FUND		13,553,881	15,181,667	15,201,167	15,488,443
NET SOLID WASTE CAPITAL PURCHASE FUND		64,409	170,000	170,000	190,000
TOTAL FUNDING REQUIRED		\$ 13,620,477	\$ 15,369,011	\$ 15,388,511	\$ 15,693,443
ANALYSIS The increase in FTE during FY2015-16 is due to adding one Refuse Truck Driver and one Organics Coordinator.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
SOLID WASTE	ENVIRONMENTAL UTILITIES (08400)	SOLID WASTE RECYCLING & GREEN WASTE (460: 08415, 08416, 08419)			
PROGRAM To develop and implement programs to divert recyclables from landfill disposal.					
PROGRAM OBJECTIVES - To divert 400 tons of newspapers from landfill disposal - To divert 3,200 tons of cardboard from landfill disposal - To divert 410 gallons of used motor oil from landfill disposal - To divert 25 tons of CRV from landfill disposal - To divert 13,000 tons of green waste from landfill disposal					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Tons of newspaper collected - Tons of cardboard collected - Gallons of used motor oil collected - Tons of CRV collected - Tons of green waste collected - Tons of organics collected		372 3,252 427 35 12,892 n/a	600 3,000 410 25 14,000 n/a	324 3,400 490 26 11,168 n/a	400 3,200 410 25 13,000 4,000
EFFICIENCY AND EFFECTIVENESS: - Percent of waste stream diverted through City programs - Newspaper revenues - Newspaper diverted tipping fees - Cardboard revenues - Cardboard diverted tipping fees - CRV diverted tipping fees - Green waste diverted tipping fees		15.0% \$19,578 \$25,577 \$190,799 \$223,591 \$2,384 \$435,860	16.0% \$40,000 \$40,000 \$250,000 \$200,000 \$1,000 \$475,000	15.0% \$10,000 \$22,000 \$116,000 \$232,000 \$1,600 \$379,000	15.0% \$8,000 \$20,000 \$100,000 \$220,000 \$1,500 \$400,000
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 823,946	\$ 937,482	\$ 876,982	\$ 874,469
MATERIALS, SUPPLIES, SERVICES		1,318,477	1,610,617	1,532,867	1,798,352
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 2,142,423	\$ 2,548,099	\$ 2,409,849	\$ 2,672,821
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		8.00	8.00	8.00	8.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET SOLID WASTE FUND		2,142,423	2,548,099	2,409,849	2,672,821
TOTAL FUNDING REQUIRED		\$ 2,142,423	\$ 2,548,099	\$ 2,409,849	\$ 2,672,821
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	WASTEWATER ADMINISTRATION (470: 08420)			
PROGRAM To provide cost effective wastewater collection and treatment, while meeting current operating criteria and maintaining facilities and equipment in a working condition.					
PROGRAM OBJECTIVES - WASTEWATER TREATMENT: To deliver treated effluent that meets discharge permit standards and is in a condition that will not degrade the receiving water quality - WASTEWATER COLLECTION: To eliminate health hazards to the general public by maintaining the integrity of the existing wastewater collection system - PREVENTATIVE MAINTENANCE: To provide total preventative maintenance for the various divisions of Environmental Utilities in order to extend the equipment life and reduce the need for critical repairs - ENVIRONMENTAL LAB / INDUSTRIAL WASTE PROGRAM: To meet the process control and monitoring needs of the Water / Wastewater Utility Divisions of Environmental Utilities - RECYCLED WATER: To deliver recycled water to meet major turf irrigation needs at appropriate locations (e.g. Woodcreek Golf Course and Del Webb Golf Course)					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Days cash on hand - Debt ratio wastewater utility		246 17.0%	150 49.8%	150 49.8%	150 49.8%
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 554,068	\$ 590,514	\$ 590,514	\$ 634,028
MATERIALS, SUPPLIES, SERVICES		82,432	219,865	219,865	292,888
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(4,969)	(15,000)	(15,000)	(15,000)
TOTAL RESOURCES		\$ 631,531	\$ 795,379	\$ 795,379	\$ 911,916
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		3.00	3.00	3.00	3.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 4,969	\$ 15,000	\$ 15,000	\$ 15,000
NET WASTEWATER FUND		631,531	795,379	795,379	911,916
TOTAL FUNDING REQUIRED		\$ 636,500	\$ 810,379	\$ 810,379	\$ 926,916
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WATER	ENVIRONMENTAL UTILITIES (08400)	WATER TREATMENT AND STORAGE (480: 08421)			
PROGRAM To provide treatment and deliver water to the distribution system and storage reservoirs that is safe, clear, palatable and meets the needs of water users in the City of Roseville.					
PROGRAM OBJECTIVES To meet all requirements of the U.S. Environmental Protection Agency and the State of California Department of Health Services. Specifically: - To maintain a turbidity of less than 0.05 turbidity units on an average monthly basis - To maintain a bacteriological count wherein 0.00% of routine samples shall be total coliform positive - To maintain a fluoride level within a range of 0.7 to 1.1 milligrams per liter on an average basis - To maintain a pH value within a range of 8.4 to 8.8 - Maintain system chlorine residuals above 0.2 milligrams per liter					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Water production (acre feet) - Complete 75% of mechanical maintenance division work orders - Complete 75% of operator work orders		25,651 76% 90%	28,000 75% 75%	22,250 80% 88%	25,000 75% 75%
EFFICIENCY AND EFFECTIVENESS: - Average monthly turbidity units level - Percent of samples that are total coliform positive - Average monthly fluoride level (mg/L) - Average monthly pH - Cost to treat 100 cubic feet of water excluding cost of raw water		0.03 0.00% 0.8 8.4 \$0.220	0.05 0.00% 0.7 8.7 \$0.220	0.03 0.00% 0.8 8.4 \$0.220	0.05 0.00% 0.7 8.7 \$0.220
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 760,098	\$ 845,877	\$ 845,877	\$ 843,276
MATERIALS, SUPPLIES, SERVICES		2,414,996	4,342,946	4,342,946	4,316,426
CAPITAL OUTLAYS		5,323	25,384	25,384	25,891
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 3,180,417	\$ 5,214,207	\$ 5,214,207	\$ 5,185,593
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		6.00	6.00	6.00	6.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET WATER FUND		3,180,417	5,214,207	5,214,207	5,185,593
TOTAL FUNDING REQUIRED		\$ 3,180,417	\$ 5,214,207	\$ 5,214,207	\$ 5,185,593
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	DRY CREEK WASTEWATER TREATMENT PLANT (470: 08422)			
PROGRAM					
To treat and dispose of domestic and industrial waste in an efficient manner that will result in no degradation to the purity and aesthetics of the receiving stream.					
PROGRAM OBJECTIVES					
- To deliver treated effluent that meets National Pollution Discharge Elimination System (NPDES) standards and is in a condition that will not degrade the quality in the receiving stream					
- To treat and dispose of domestic and industrial waste using the least energy and chemicals as practical					
- To operate and maintain (O & M) the treatment facility in the most efficient manner, minimizing the life cycle cost of associated assets					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME:					
- Number of NPDES permit violations		0	0	0	0
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 916,834	\$ 1,045,873	\$ 1,045,873	\$ 1,029,836
MATERIALS, SUPPLIES, SERVICES		4,656,435	6,168,387	6,168,387	6,080,941
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 5,573,269	\$ 7,214,260	\$ 7,214,260	\$ 7,110,777
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		7.00	8.00	8.00	8.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET WASTEWATER FUND		5,573,269	7,214,260	7,214,260	7,110,777
TOTAL FUNDING REQUIRED		\$ 5,573,269	\$ 7,214,260	\$ 7,214,260	\$ 7,110,777
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	ENVIRONMENTAL UTILITIES MAINTENANCE (470: 08424)			
PROGRAM Provide safe, skilled, prompt, courteous and cost effective maintenance services for City treatment plants, wastewater collections system, water distribution, Police, Fire, Parks, Garage and all other City customers requesting technical and maintenance services.					
PROGRAM OBJECTIVES - To shift the focus of the maintenance program from calendar-based maintenance to condition-based maintenance practices - To balance maintenance work types with an emphasis on preventative and predictive maintenance which lead us to an overall reduced maintenance cost of treatment through the ability to plan maintenance more effectively - To provide a rich learning culture for the maintenance staff through training, career development and stretch opportunities					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Percent total of total - preventative work orders hours - Percent total of total - project work orders hours - Percent total of total - reactive work orders hours - Percent total of total - predictive work orders hours - Maintenance cost per million gallons - DCWWTP - Maintenance cost per million gallons - PGWWTP - Maintenance cost per million gallons - BRWTP - Percent total of total - Lost work time hours		41.3% 14.0% 27.6% 17.0% \$476.22 \$565.09 \$90.27 0%	50% 12% 28% 10% \$500 \$500 \$75 0%	40% 15% 28% 17% \$475 \$550 \$75 0%	50% 14% 23% 13% \$500 \$550 \$90 0%
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 3,542,242	\$ 4,099,942	\$ 4,099,942	\$ 4,179,265
MATERIALS, SUPPLIES, SERVICES		333,275	464,023	464,023	468,543
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(2,762,100)	(2,865,541)	(2,865,541)	(2,959,917)
TOTAL RESOURCES		\$ 1,113,417	\$ 1,698,424	\$ 1,698,424	\$ 1,687,891
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		24.00	26.00	26.00	26.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 2,762,100	\$ 2,865,541	\$ 2,865,541	\$ 2,959,917
NET WASTEWATER FUND		1,113,417	1,698,424	1,698,424	1,687,891
TOTAL FUNDING REQUIRED		\$ 3,875,517	\$ 4,563,965	\$ 4,563,965	\$ 4,647,808
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	WATER / WASTEWATER ANALYSIS (470: 08425, 08426)			
PROGRAM INDUSTRIAL WASTEWATER (08425): To conduct inspection, monitoring, and enforcement of the Industrial Wastewater Ordinance so that discharges to the sewer system / POTWs do not cause violations of the NPDES discharge permit. Support and enforce fats, oils and grease (FOG) ordinance. LAB (08426): To provide water quality monitoring and analysis for the Water and Wastewater Utility Divisions and to meet their operational and state mandated requirements in order to ensure public and environmental safety.					
PROGRAM OBJECTIVES - To meet the process control, regulatory monitoring and reporting needs of the Water and Wastewater Utility Division - Comply with monitoring and analysis requirements for the National Pollutant Discharge Elimination system, Industrial Local Limits, California and EPA Industrial Pretreatment Program, and California Department of Public Health Drinking Water Program					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Retain DOHS lab certifications - Retain EPA approved pretreatment program - Audit fats, oil and grease (FOG) program annually - Timely, accurate submittal of compliance reports		2 100% 1 99%	2 100% 1 99%	2 100% 1 99%	2 100% 1 99%
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,108,060	\$ 1,186,597	\$ 1,186,597	\$ 1,298,138
MATERIALS, SUPPLIES, SERVICES		323,732	509,594	509,594	506,486
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(709,793)	(708,844)	(708,844)	(719,682)
TOTAL RESOURCES		\$ 721,999	\$ 987,347	\$ 987,347	\$ 1,084,942
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		9.00	10.00	10.00	10.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 709,793	\$ 708,844	\$ 708,844	\$ 719,682
NET WASTEWATER FUND		721,999	987,347	987,347	1,084,942
TOTAL FUNDING REQUIRED		\$ 1,431,792	\$ 1,696,191	\$ 1,696,191	\$ 1,804,624
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	PLEASANT GROVE WASTEWATER TREATMENT PLANT (470: 08427)			
PROGRAM To treat and dispose of domestic and industrial waste in an efficient manner that will result in no degradation to the purity and aesthetics of the receiving stream.					
PROGRAM OBJECTIVES - To deliver treated effluent that meets National Pollution Discharge Elimination System (NPDES) standards and is in a condition that will not degrade the quality in the receiving stream - To treat and dispose of domestic and industrial waste using the least energy and chemicals as practical - To operate and maintain (O & M) the treatment facility in the most efficient manner, minimizing the life cycle cost of associated assets					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of NPDES permit violations		1	0	7	0
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 780,721	\$ 808,390	\$ 808,390	\$ 835,797
MATERIALS, SUPPLIES, SERVICES		5,014,106	5,896,554	5,896,554	6,003,040
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 5,794,827	\$ 6,704,944	\$ 6,704,944	\$ 6,838,837
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		6.00	6.00	6.00	6.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET WASTEWATER FUND		5,794,827	6,704,944	6,704,944	6,838,837
TOTAL FUNDING REQUIRED		\$ 5,794,827	\$ 6,704,944	\$ 6,704,944	\$ 6,838,837
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WATER	ENVIRONMENTAL UTILITIES (08400)	WATER ADMINISTRATION (480: 08430)			
PROGRAM To provide reliable, healthful and cost effective water utility to present and future generations of Roseville and plan infrastructure to accommodate community development.					
PROGRAM OBJECTIVES - Plan for future water capacity - Develop priorities for infrastructure rehabilitation projects: Rehabilitation project identification Project schedule / funding plan					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Water Capital Improvement Project: • Aquifer Storage and Recovery "ASR" Program Development • Well Construction Project • West Side Tank & Pump Station - Implement EAM system utility wide - Rehabilitation Planning and Implementation: • Complete Atlantic Street Pipeline - Phase 2 • Implement SCADA replacement - Days cash on hand - Debt ratio water utility - Water bond rating		 0 1 0 1 1 257 10.0% AA -	 1 1 1 1 1 1 150 36% A+	 1 1 1 1 1 1 150 36% AA -	 1 1 1 1 1 1 150 36% AA -
EFFICIENCY AND EFFECTIVENESS: - Capital Improvement Construction: • Aquifer Storage and Recovery "ASR" Program Development • Well Construction Project • West Side Tank & Pump Station - Implement EAM system utility wide - Rehabilitation Planning and Implementation: • Complete Atlantic Street Pipeline - Phase 2 • Implement SCADA replacement		 0% 100% 0% 10% 100% 10%	 100% 85% 35% 100% 100% 100%	 100% 100% 20% 20% 100% 25%	 100% 100% 20% 50% 100% 100%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 378,434	\$ 420,857	\$ 420,857	\$ 246,705
MATERIALS, SUPPLIES, SERVICES		531,724	1,123,123	1,034,723	912,094
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	(103,672)	(103,672)	0
TOTAL RESOURCES		\$ 910,158	\$ 1,440,308	\$ 1,351,908	\$ 1,158,799
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		3.00	2.00	1.00	1.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 103,672	\$ 103,672	\$ 0
NET WATER FUND		910,158	1,440,308	1,351,908	1,158,799
TOTAL FUNDING REQUIRED		\$ 910,158	\$ 1,543,980	\$ 1,455,580	\$ 1,158,799
ANALYSIS The drop in FTE during FY2015-16 is due to removing one Senior Engineer position.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WATER	ENVIRONMENTAL UTILITIES (08400)	WATER DISTRIBUTION (480: 08431)			
PROGRAM To maintain a safe and reliable water distribution system that will provide safe, wholesome water with adequate pressure and flow for fire protection.					
PROGRAM OBJECTIVES - To devote 85% of staffing time to the preventive maintenance program during the fiscal year - To ensure safety on the job through frequent tailgate safety meetings and training and incur "0" on-the-job accidents - To test all Backflows within the City - To inspect for cross connection within the City - To process water meters sell / install - Upgrade water services as available					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of air release valves inspected / repaired - Number of backflow devices tested - Number of cross connection inspections - Number of meters sold - Number of hydrants flushed - Number of valves exercised		483 4,854 0 824 4 22	1,000 5,000 2 600 1,000 3,000	1,000 5,000 2 600 1,000 3,000	1,000 5,000 2 600 1,000 3,000
EFFICIENCY AND EFFECTIVENESS: - Number of accidents on-the-job - Percent of working staff-hours devoted to preventive maintenance - Number of meters installed by meter crew (new homes/business)		0 85% 824	0 85% 1,000	0 85% 1,000	0 85% 1,000
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 3,544,755	\$ 4,052,333	\$ 4,052,333	\$ 4,394,698
MATERIALS, SUPPLIES, SERVICES		1,359,218	1,729,127	1,729,127	1,799,933
CAPITAL OUTLAYS		181,521	70,415	70,415	54,120
REIMBURSED EXPENDITURES		(1,158)	(46,050)	(46,050)	(63,181)
TOTAL RESOURCES		\$ 5,084,336	\$ 5,805,825	\$ 5,805,825	\$ 6,185,570
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		31.00	31.00	32.00	32.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 1,158	\$ 46,050	\$ 46,050	\$ 63,181
NET WATER FUND		5,084,336	5,805,825	5,805,825	6,185,570
TOTAL FUNDING REQUIRED		\$ 5,085,494	\$ 5,851,875	\$ 5,851,875	\$ 6,248,751
ANALYSIS The increase in FTE during FY2015-16 is due to adding one Water Distribution Supervisor, one Water Distribution Superintendent and removing one Water Distribution Worker.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	WASTEWATER COLLECTION (470: 08432)			
PROGRAM To eliminate health hazards and inconvenience to the general public and the environment by maintaining the integrity of the existing wastewater collection system, with special emphasis on old development.					
PROGRAM OBJECTIVES - To devote at least 80% of working staff time to preventive maintenance program in wastewater - To ensure capital improvements are made as required during the fiscal year - To flush 240 miles of sewer mains during the fiscal year - To CCTV inspect 65 miles of sewer main during the fiscal year - To mechanically clean 20 miles of sewer laterals during the fiscal year - To CCTV inspect 23 miles of sewer laterals during the fiscal year - To install 70 cleanouts during the fiscal year - To have no category 1 sanitary sewer overflows (SSO) during the fiscal year - To have no repeat SSOs from the same location - To maintain a reliable and efficient wastewater collection system - To ensure safety on the job through frequent safety inspections and training and incur "0" on-the-job accidents during the fiscal year					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of miles of sewer mains flushed - Number of miles of sewer mains CCTV inspected - Number of miles service lateral cleaned - Number of miles of sewer lateral CCTV inspected - Number of cleanouts installed - Number of services rehabilitated - Number of manholes rehabilitated		284.00 75.00 14.69 26.00 76 48 0	280.00 60.00 13.00 19.00 80 50 50	220.00 70.00 20.00 26.00 60 50 0	240.00 65.00 20.00 23.00 70 50 50
EFFICIENCY AND EFFECTIVENESS: - Percentage of working staff devoted to preventative maintenance - Number of category 1 SSOs - Number of repeat SSOs from same location - Number of on-the-job accidents - Number of safety meetings - Number of sewer overflows / 100 miles sewer laterals - Number of sewer overflows / 100 miles of sewer main - Response time to customer inquiries		85% 0 1 5 49 < 3.84 0.02 21.25	80% 0 0 0 52 < 8 < 0.6 < 40 minutes	80% 0 1 1 42 5.81 <.02 20	80% 0 0 0 52 < 8 < .06 < 40
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 3,139,782	\$ 3,868,512	\$ 3,868,512	\$ 3,901,982
MATERIALS, SUPPLIES, SERVICES		1,068,465	1,311,614	1,311,614	1,418,751
CAPITAL OUTLAYS		0	0	0	45,000
REIMBURSED EXPENDITURES		(107,090)	(111,000)	(111,000)	(120,576)
TOTAL RESOURCES		\$ 4,101,157	\$ 5,069,126	\$ 5,069,126	\$ 5,245,157
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		27.00	29.00	29.00	29.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 107,090	\$ 111,000	\$ 111,000	\$ 120,576
NET WASTEWATER FUND		4,101,157	5,069,126	5,069,126	5,245,157
TOTAL FUNDING REQUIRED		\$ 4,208,247	\$ 5,180,126	\$ 5,180,126	\$ 5,365,733
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WATER	ENVIRONMENTAL UTILITIES (08400)	WATER EFFICIENCY (480: 08433)			
PROGRAM To reduce the amount of potable water used in the City of Roseville by maintaining a comprehensive water efficiency program.					
PROGRAM OBJECTIVES - To meet federal, state and regional water conservation requirements - To perform water patrols and support customer service activities - To provide educational opportunities to the Roseville community - To develop, coordinate, and implement incentive programs that encourage customers to use less water - To monitor and report water savings through conservation programs implemented - To maintain a high customer service standard					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Customer audits performed - Hours dedicated to water waste patrol - Rebates processed - Gallons per capita per day		1,610 5,133 1,226 148	1,400 3,000 1,200 240	1,000 3,500 1,000 200	1,000 3,500 1,000 200
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 730,803	\$ 1,041,358	\$ 1,041,358	\$ 1,004,761
MATERIALS, SUPPLIES, SERVICES		689,421	1,171,978	1,171,978	1,176,395
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 1,420,224	\$ 2,213,336	\$ 2,213,336	\$ 2,181,156
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		7.00	8.00	8.75	8.75
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET WATER FUND		1,420,224	2,213,336	2,213,336	2,181,156
TOTAL FUNDING REQUIRED		\$ 1,420,224	\$ 2,213,336	\$ 2,213,336	\$ 2,181,156
ANALYSIS The increase in FTE during FY2015-16 is due to adding 0.75 FTE for an Office Assistant.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	RECYCLED WATER (470: 08441)			
PROGRAM To provide recycled water to meet irrigation and industrial demands which would otherwise be met with potable water, thus conserving potable water resources.					
PROGRAM OBJECTIVES - To provide a quality treatment process for the production of highly treated recycled water - To ensure compliance with all health and safety regulations relative To production, distribution and on-site use of recycled water - To provide a reliable recycled water distribution system - To monitor recycled water quality and use					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Acre feet of recycled water delivered to customers - Recycled water distribution cost per acre feet - Customer complaints per acre feet delivered		3,067 144 0	3,050 215 0	3,100 175 0	3,150 175 0
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 111,839	\$ 118,259	\$ 118,259	\$ 124,368
MATERIALS, SUPPLIES, SERVICES		330,599	504,626	504,626	412,742
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 442,438	\$ 622,885	\$ 622,885	\$ 537,110
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		2.00	1.00	1.00	1.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET WASTEWATER FUND		442,438	622,885	622,885	537,110
TOTAL FUNDING REQUIRED		\$ 442,438	\$ 622,885	\$ 622,885	\$ 537,110
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

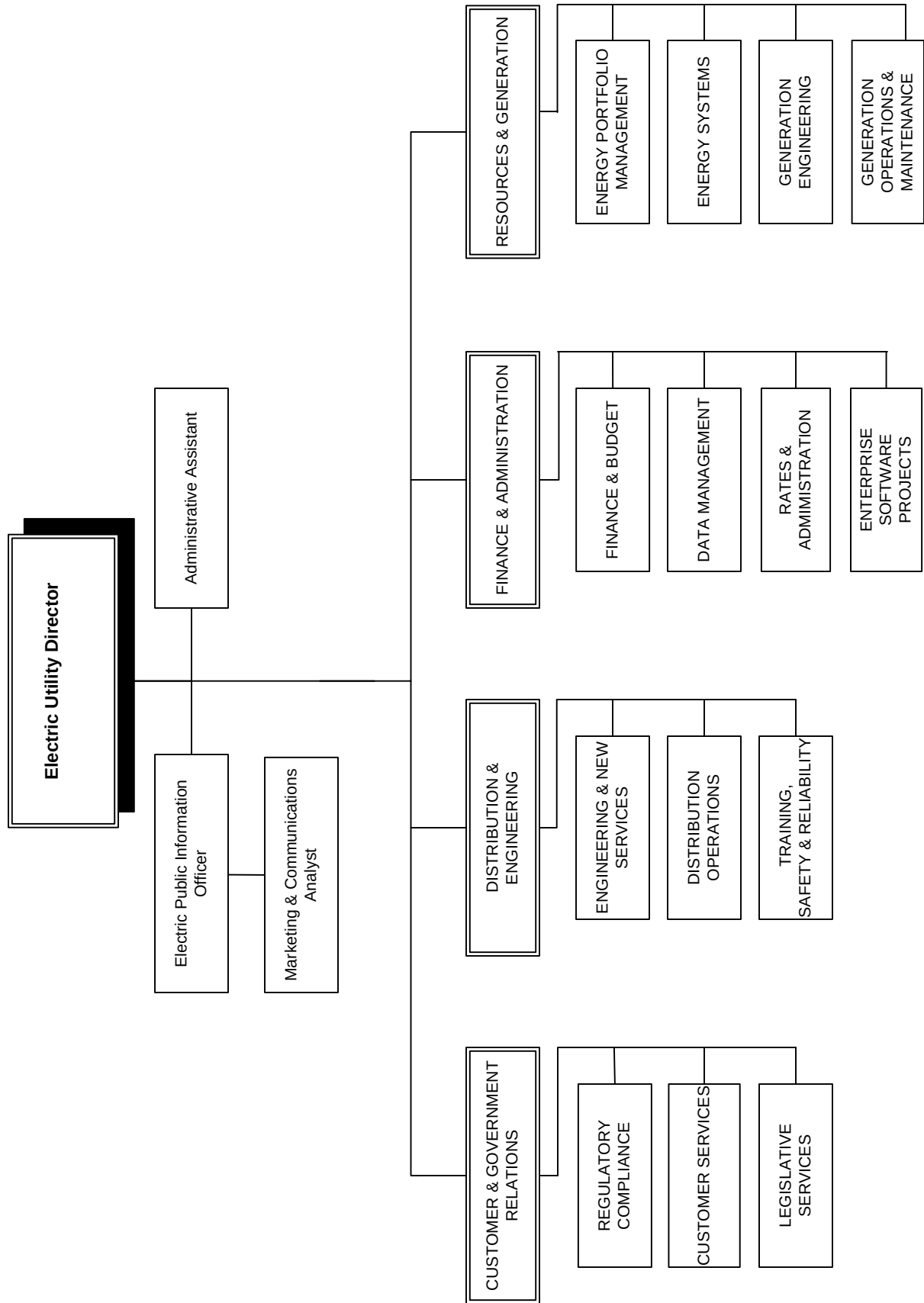
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
ENVIRONMENTAL UTILITIES	ENVIRONMENTAL UTILITIES (08400)	STORMWATER MANAGEMENT PROGRAM (224: 08450, 100: 08830)			
PROGRAM To implement the City's Stormwater Management Program as part of the State General Permit for Small Municipal Separate Storm Systems (MS4s).					
PROGRAM OBJECTIVES - Implement the requirements of the State General Permit for MS4s specifically: - Public Outreach - Public Involvement - Illicit Discharge Detection and Elimination - Pollution Prevention / Good Housekeeping - Water Quality Monitoring - Program Effectiveness Assessment - Implement the requirements of the Industrial General Permit (IGP) for Stormwater (Corporation Yard)					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Submit annual report document compliance with Small MS4 General Permit submitted to the State via SMARTs - Collect and analyze all monitoring data for qualifying rain events for Corporation Yard Industrial General Permit submit to the State via SMARTs. - Submit annual report documenting compliance at the Corporation Yard with the IGP submit to the State via SMARTs - Quarterly inspections for the IGP Stormwater Pollution Prevention Plan (SWPPP) conducted and documented for the Corporation Yard.		n/a	n/a	1	1
		n/a	n/a	4	4
		n/a	n/a	1	1
		n/a	n/a	4	4
EFFICIENCY AND EFFECTIVENESS: Evaluate effectiveness of program using the Program Effectiveness Assessment and Improvement Plan (PEAIP)		n/a	n/a	100%	100%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 441,498	\$ 494,004	\$ 494,004	\$ 505,218
MATERIALS, SUPPLIES, SERVICES		213,075	257,735	257,735	259,009
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 654,573	\$ 751,739	\$ 751,739	\$ 764,227
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		3.00	4.00	4.00	4.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET STORMWATER MANAGEMENT FUND		7,992	0	0	764,227
NET GENERAL FUND		646,581	751,739	751,739	0
TOTAL FUNDING REQUIRED		\$ 654,573	\$ 751,739	\$ 751,739	\$ 764,227
ANALYSIS The Stormwater Management Program and staff previously appeared in the Development Services Department.					

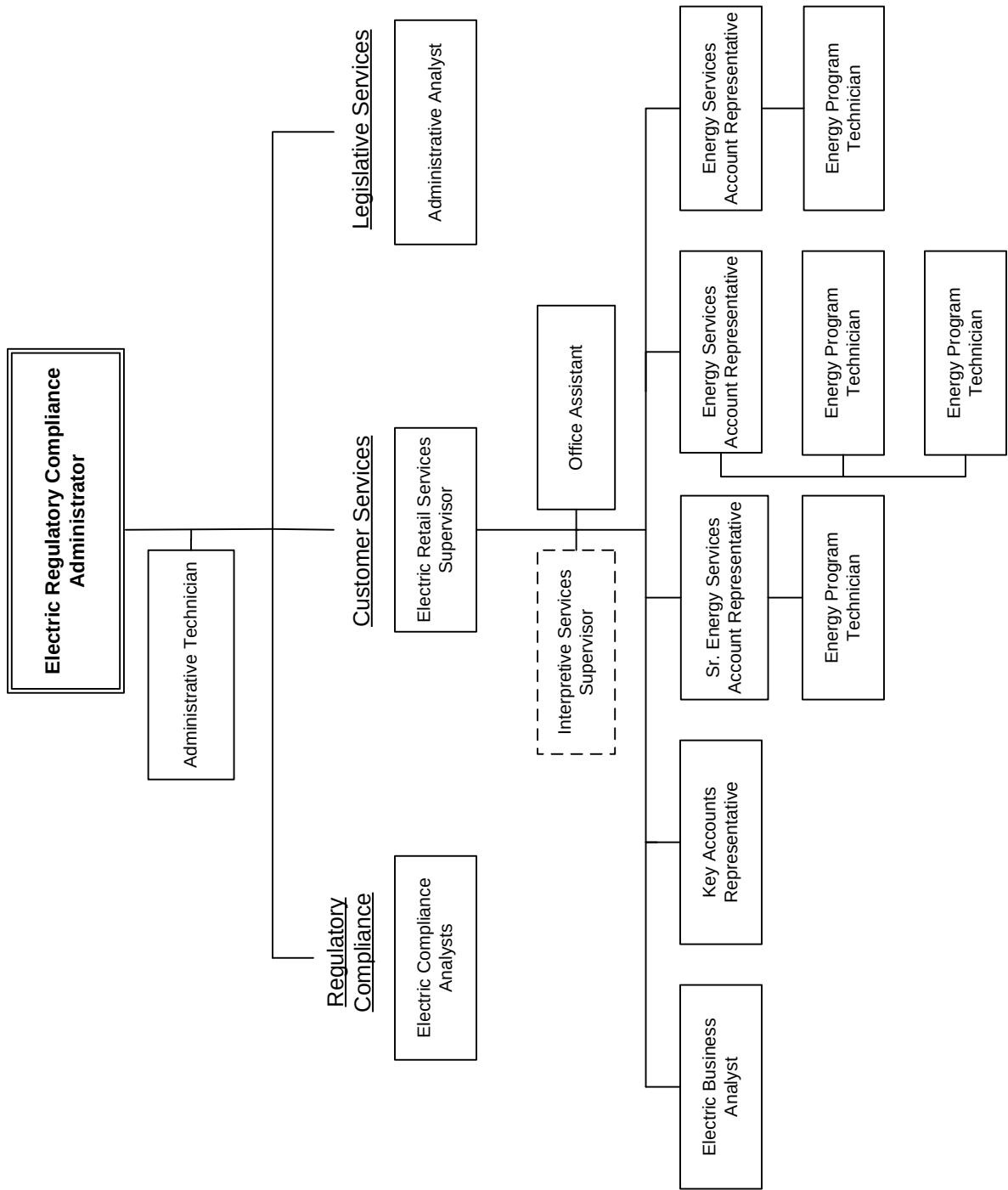
PROGRAM PERFORMANCE BUDGET

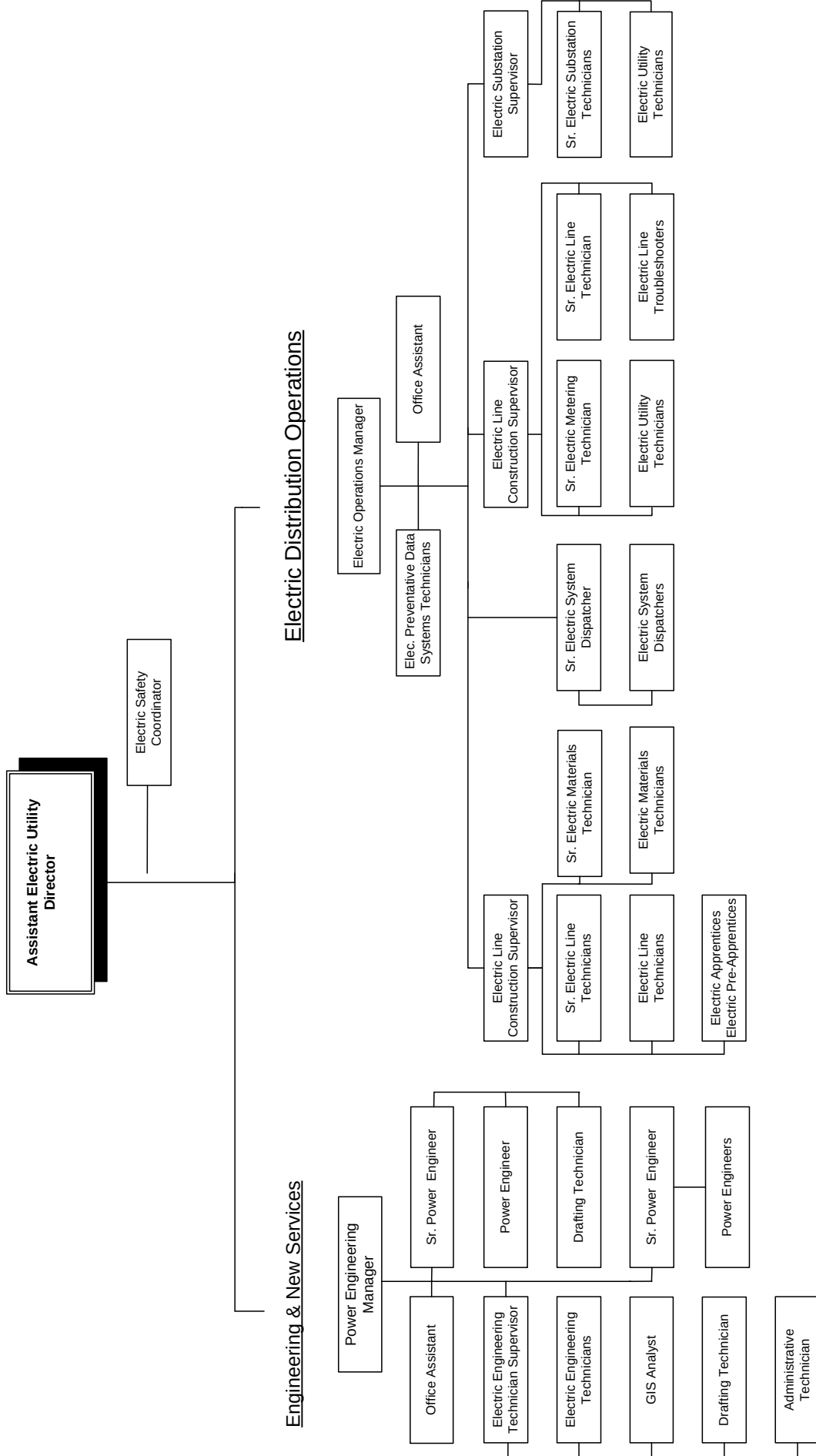
Fiscal Year 2016-17

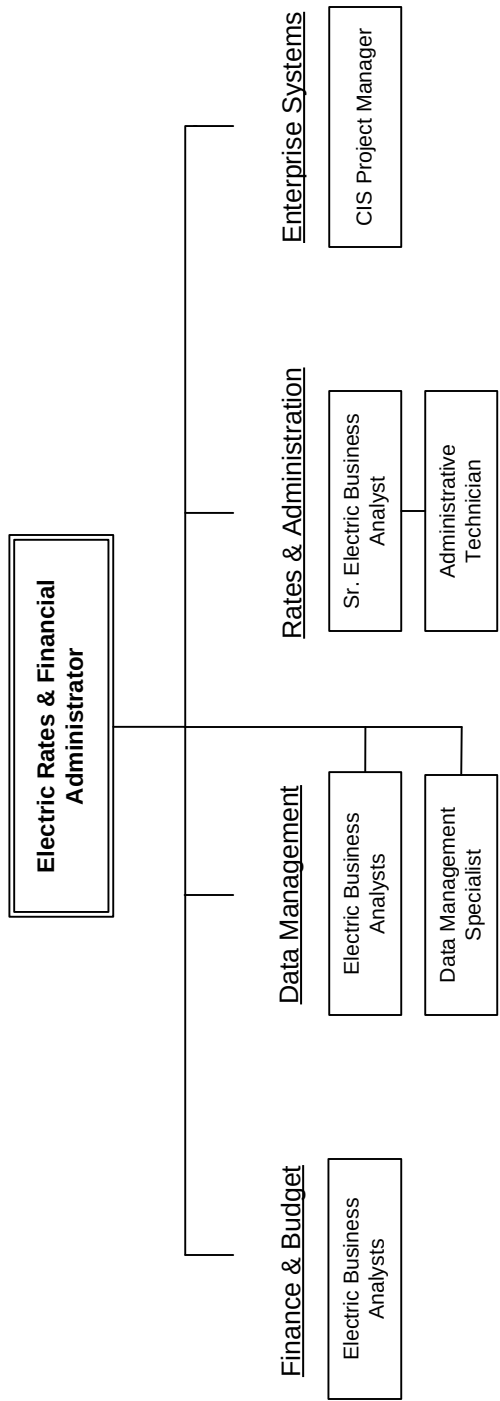
MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
ENVIRONMENTAL UTILITIES	ENVIRONMENTAL UTILITIES (08400)	UTILITY EXPLORATION CENTER (227: 08527)			
PROGRAM To educate Roseville residents about a sustainable environment through exhibits and programs, and to market, promote and facilitate utilization of the Utility Exploration Center (UEC) while maintaining a high level of customer service.					
PROGRAM OBJECTIVES - To provide environmental and educational programs, classes, and tours at the UEC - To effectively market and promote the UEC - To pursue grant funding and fundraising to enhance and offset program and operation costs at the UEC					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of visitors to the Utility Exploration Center - Number of students served in school and youth group tours - Number of visitors attending special events		36,444 7,107 13,098	35,000 5,900 10,000	34,000* 6,800 10,000	34,000* 6,800 10,000
EFFICIENCY AND EFFECTIVENESS: - Percentage of teachers rating the programs and services of the UEC overall as 'good' to 'excellent' - Percentage of customers rating Celebrate the Earth Festival as good to excellent		100% 99%	98% 95%	100% 95%	98% 95%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 420,438	\$ 518,721	\$ 518,721	\$ 572,749
MATERIALS, SUPPLIES, SERVICES		59,657	157,794	157,794	121,291
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(41,355)	(13,000)	(13,000)	(15,000)
TOTAL RESOURCES		\$ 438,740	\$ 663,515	\$ 663,515	\$ 679,040
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.00	4.00	4.00	4.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 41,355	\$ 13,000	\$ 13,000	\$ 15,000
NET UTILITY EXPLORATION FUND		438,740	663,515	663,515	679,040
TOTAL FUNDING REQUIRED		\$ 480,095	\$ 676,515	\$ 676,515	\$ 694,040
ANALYSIS * Due to changes in e-waste collections, attendance is projected lower for FY2016 and FY2017.					

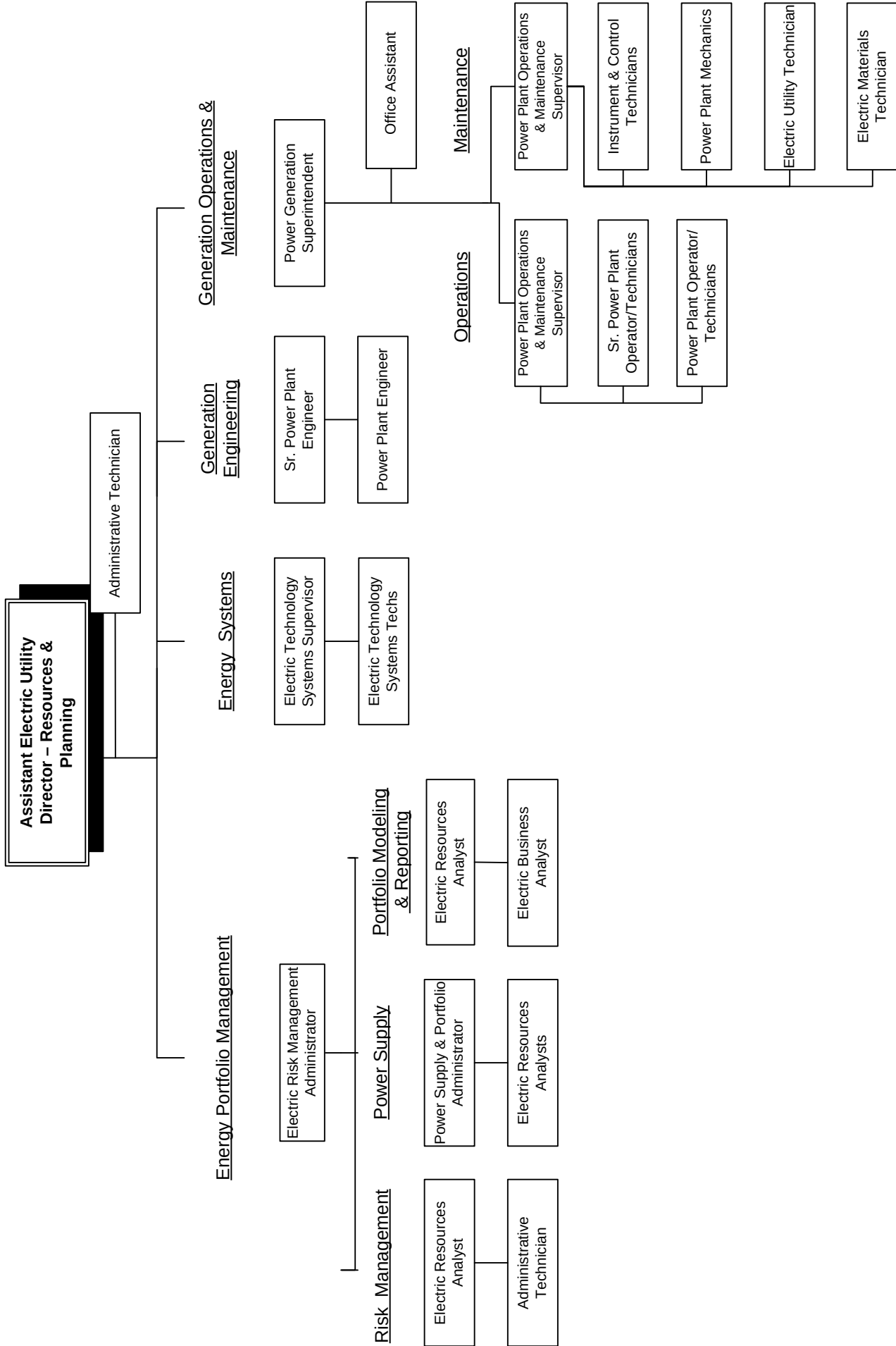


Organizational Chart: Electric Department









Roseville Electric - Resources & Generation

Roseville Electric Utility

Fiscal Year 2016-17

OVERVIEW OF SERVICES

Roseville Electric Utility improves the quality of life of our community with reliable electricity and excellent service at competitive prices, while maintaining a culture of safety. Our low rates and high reliability provide significant value to the residents and businesses of Roseville. Roseville Electric Utility is regularly recognized by state and national organizations for our reliable service, customer satisfaction, and innovative programs.

Roseville Electric Utility has four divisions:

- 1) **Customer and Government Relations** provides public benefit programs (energy efficiency and demand reduction) for Roseville Electric Utility customers, ensures the utility complies with federal, state, regional, and local regulatory requirements, and actively engages in the electric and political communities to effectively communicate how pending legislation could affect the utility's customers.
- 2) **Distribution Services and Engineering** plans, designs, constructs, operates, and maintains the City of Roseville's electric distribution system and streetlights.
- 3) **Financial and Administrative Services** provides budgeting, financial planning, load forecasting, rate design, data management, and support of enterprise projects throughout the City.
- 4) **Resource Planning and Generation** provide power resource portfolio and risk management services, and operates and maintains the utility's two natural gas power plants.

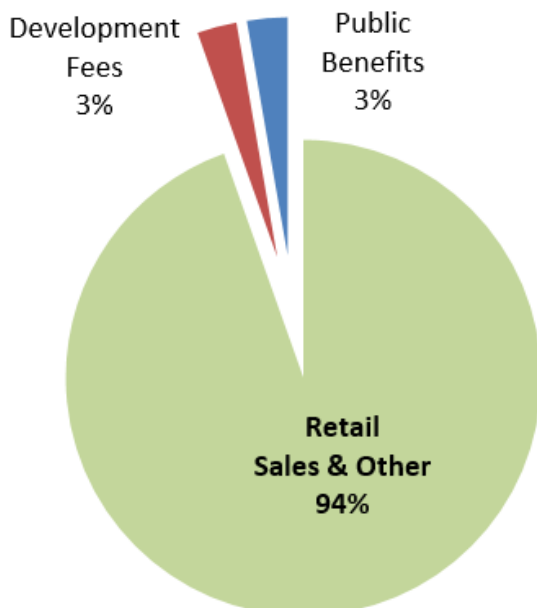
In addition to operating a highly efficient and reliable electric generation and distribution system, Roseville Electric Utility provides funding to enhance the civic amenities that contribute to the high quality of life in our community. The utility's FY2016-17 budget transfers \$6.3 million to the City of Roseville for police, fire, parks, and library services. The \$3 million operating cost of the City's streetlight and traffic signal systems is funded by Roseville Electric Utility. The utility also contributes over \$360,000 in FY2016-17 to operate the City's award-winning Utility Exploration Center at Mahany Park. All of these contributions are made while the utility provides some of the lowest rates and highest reliability in California.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

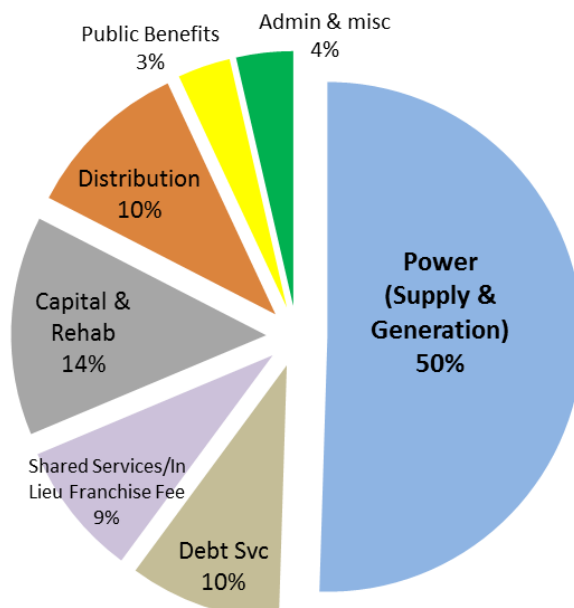
The operating budget for FY2016-17 is \$162 million, highlighted by:

- Operating expenses slightly lower than last fiscal year
- Revenues and energy use projected to remain flat even as Roseville adds new homes and businesses.
- No rate increases in 2016 or 2017
- No hydroelectric surcharge due to higher-than-average precipitation in Northern California

Roseville Electric Utility Total Revenues



Roseville Electric Utility Total Expenses



The capital budget for FY2016-17 is \$22 million, including:

- Restructuring the Rehabilitation Fund to a new Construction Fund that will centralize all capital expenses. This will include the budgeting for all capital and rehabilitation projects, and replacement of all fleet assets.
- Formalizing a department-wide comprehensive five-year CIP plan. The new plan focuses attention on distribution system improvements to rehabilitate aging infrastructure and keep up with development throughout the City, including phase one of the new Sierra Vista Substation and conclusion of the Douglas Substation Rehabilitation. The budget also includes rehabilitation of power plant components, including REP control systems and RPP2 improvements.
- Continuing work on a community solar project to allow customers to receive the benefits of renewable energy by participating in a local solar project as an alternative to rooftop solar. There is strong customer interest in this project, and the utility will continue working toward providing this alternative energy option for our customers.
- Participating in multiple enterprise technology projects, including:
 - o Advanced Metering Infrastructure
 - o Customer Information System
 - o Enterprise Asset Management
 - o Enterprise Resource Planning (financial and human resources systems replacement)

KEY ISSUES

- Customers are increasingly and directly impacted by new legislative and regulatory requirements. The utility will continue to help our customers adapt to new state laws regarding energy efficiency and new building standards.
- The largest component of the utility's budget is the variable costs of providing energy. The utility will improve its modeling capabilities to better manage this expense.
- Natural gas and electricity market prices continue to be historically low. The City's comprehensive Energy Risk Management Policies ensure that our customers are protected from short-term increases in market prices.
- Despite the historically low prices for gas and electricity, environmental mandates continue to increase, creating upward pressure on costs. Proactive planning has enabled the utility to procure renewable resources at a lower cost than originally expected.
- Two of the primary electricity receiving transformers in Roseville are scheduled for rehabilitation. The utility will rebuild the units to maintain our high reliability.
- The 2017 Rate Case, a comprehensive long-range financial planning and rate design project that occurs every two years, will begin in late 2016.
- The City's Organizational Culture & Leadership Committee continues to identify ways to boost employee morale and the overall well-being of our employees. Roseville Electric Utility has also formed a utility-specific sub-committee and has found ways to improve employee recognition and focus on fun activities. The utility continues to identify succession planning opportunities.

SUMMARY

Roseville Electric Utility's mission is to improve the quality of life of our community with reliable electricity and excellent service at competitive prices, while maintaining a culture of safety. The FY2016-17 budget is balanced and contains no rate increases. Major activities in FY2016-17 include addressing capital and rehabilitation needs to the distribution system and generation assets, making operational improvements to work processes, and continuing to provide customers enhanced programs, services, and legislative and regulatory representation.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

<i>ELECTRIC (08600)</i>	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(08600) ADMINISTRATION	\$ 2,904,765	\$ 4,171,077	\$ 4,171,077	\$ 4,490,781
(08605) REGULATORY & LEGISLATIVE	833,711	1,778,343	1,778,343	1,739,682
(08611) ENGINEERING, NEW SERVICES & DISTRIBUTION	14,857,697	18,828,275	18,828,275	20,879,741
(08616) ELECTRIC POWER PLANT	12,608,665	14,493,878	14,493,878	14,178,591
(08621) POWER SUPPLY	77,712,332	74,907,946	74,907,946	72,784,853
(08623) PUBLIC BENEFITS	5,078,519	7,780,489	7,780,489	5,751,502
(08630) VEHICLE PURCHASES	0	0	0	696,400
REIMBURSED EXPENDITURES	(2,875,712)	(2,913,000)	(2,913,000)	(3,291,000)
<i>TOTAL DEPARTMENT EXPENDITURES</i>	\$ 111,119,977	\$ 119,047,008	\$ 119,047,008	\$ 117,230,550

<i>RESOURCES</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 21,498,629	\$ 26,670,749	\$ 26,670,749	\$ 29,347,123
MATERIALS, SUPPLIES, SERVICES	92,378,672	94,950,316	94,950,316	89,836,527
CAPITAL OUTLAYS	118,388	338,943	338,943	1,337,900
REIMBURSED EXPENDITURES	(2,875,712)	(2,913,000)	(2,913,000)	(3,291,000)
<i>TOTAL NET RESOURCES REQUIRED</i>	\$ 111,119,977	\$ 119,047,008	\$ 119,047,008	\$ 117,230,550
<i>HUMAN RESOURCES REQUIRED (Full-Time Equivalent)</i>	142.00	149.00	149.00	150.00

<i>FUNDING SUMMARY</i>	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 2,875,712	\$ 2,913,000	\$ 2,913,000	\$ 3,291,000
NET ELECTRIC CARB FUND	33,121	666,878	666,878	0
NET ELECTRIC FUND	111,086,856	118,380,130	118,380,130	116,534,150
NET ELECTRIC CONSTRUCTION FUND	0	0	0	696,400
<i>TOTAL DEPARTMENT FUNDING</i>	\$ 113,995,689	\$ 121,960,008	\$ 121,960,008	\$ 120,521,550

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
ELECTRIC	ELECTRIC (08600)	ADMINISTRATION (08600)			
PROGRAM To direct and guide the City's Electric Utility, providing executive administration and stakeholder relationship management. The Administration staff also provides support in public relations and outreach, electric system technology maintenance, rate design and management, budgeting, customer data mining, load forecasting, and industrial meter reading.					
PROGRAM OBJECTIVES - Achieve strong financial performance through the use of effective financial policies, strategies and goals - Develop and refine customer and market information - Develop and refine load data - Provide effective community and media outreach and education - Maintain control system reliability - Comply with all North American Electric Regulatory Corporation Critical Infrastructure Protection Standards					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME:					
EFFICIENCY AND EFFECTIVENESS: - Rate advantage for RE customers compared to adjacent Electric Utilities - Critical Infrastructure Protection Standards Compliance - NERC - Debt service coverage ratio - Rate Stabilization Fund balance (as a % of operating costs) - Days cash on hand - Bond Rating		6% 100% 3.08 35% 259 A+	15% 100% 1.50 40% 90 - 149 A+	5% 100% 2.30 38.4% 273 A+	15% 100% 1.50 40% 90 - 149 A+
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,790,086	\$ 2,575,150	\$ 2,575,150	\$ 2,936,720
MATERIALS, SUPPLIES, SERVICES		1,093,579	1,571,427	1,571,427	1,525,061
CAPITAL OUTLAYS		21,100	24,500	24,500	29,000
REIMBURSED EXPENDITURES		(262,006)	(200,000)	(200,000)	(245,000)
TOTAL RESOURCES		\$ 2,642,759	\$ 3,971,077	\$ 3,971,077	\$ 4,245,781
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		14.00	15.00	16.00	16.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 262,006	\$ 200,000	\$ 200,000	\$ 245,000
NET ELECTRIC FUND		2,642,759	3,971,077	3,971,077	4,245,781
TOTAL FUNDING REQUIRED		\$ 2,904,765	\$ 4,171,077	\$ 4,171,077	\$ 4,490,781
ANALYSIS The increase in FTE during FY2015-16 is due to moving in one Electric Business Analyst position from the Power Supply program.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
ELECTRIC	ELECTRIC (08600)	REGULATORY & LEGISLATIVE (08605)			
PROGRAM To administer and coordinate the Internal Compliance Program for Roseville Electric with an emphasis on achieving compliance with NERC and WECC Reliability Standards; federal and state GHG Reporting Regulations; and various data submittals and reporting required by federal, state, regional, and local regulatory agencies.					
PROGRAM OBJECTIVES - Develop policies and procedures that promote a culture of compliance with applicable regulatory requirements - Monitor and inform on related regulatory and statutory programs that impact operations and/or require demonstrative or measureable proof of compliance - Positive GHG Report Verification Opinion - Proactive participation in relevant Reliability Standards compliance forums - Demonstrate compliance with external regulatory requirements					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME:					
EFFICIENCY AND EFFECTIVENESS:					
- Timely, accurate submittal of compliance reports		100%	100%	100%	100%
- Federal Compliance - Energy Information Administration		100%	100%	100%	100%
- State Compliance - CEC/CARB/SWRCB		100%	100%	100%	100%
- Regional Compliance - WECC/NERC		100%	100%	100%	100%
- Local Compliance - Placer County/Roseville Fire/Environmental Health		100%	100%	100%	100%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 750,604	\$ 808,281	\$ 808,281	\$ 889,637
MATERIALS, SUPPLIES, SERVICES		83,107	970,062	970,062	850,045
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 833,711	\$ 1,778,343	\$ 1,778,343	\$ 1,739,682
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		5.00	5.00	5.00	5.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET ELECTRIC FUND		833,711	1,778,343	1,778,343	1,739,682
TOTAL FUNDING REQUIRED		\$ 833,711	\$ 1,778,343	\$ 1,778,343	\$ 1,739,682
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
ELECTRIC	ELECTRIC (08600)	ENGINEERING, NEW SERVICES AND DISTRIBUTION (08611, 08614, 08615)			
PROGRAM - To design, construct, operate and maintain the electric distribution and streetlight systems in a safe, reliable, and cost effective manner.					
PROGRAM OBJECTIVES - Plan, design, inspect and construct electric distribution and streetlight systems to meet the community's long term goals - Operate and maintain the distribution system safely and reliably - Provide technical support and service to staff, customers, and stakeholders - Effectively and accurately manage and secure inventory					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME:					
EFFICIENCY AND EFFECTIVENESS: - New Service design completed within 8 weeks of receipt - Average outage duration (SAIDI) in minutes - Average outage frequency (SAIFI) per customer - Response Time to Unplanned Outages in minutes - System losses - Lost time cases - Modified Duty Cases - Total Number of Medical Cases - Vehicle Incidents		97.92% 28.0550 0.2268 28.0000 4.62% 0 1 0 0	100% < 30.00 < 0.35 < 30.00 3% 0 0 0 0	100% 5.9755 0.0516 55.0000 3% 0 5 1 2	100% < 30.00 < 0.35 < 30.00 3% 0 0 0 0
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 11,583,318	\$ 14,510,608	\$ 14,510,608	\$ 16,177,953
MATERIALS, SUPPLIES, SERVICES		3,177,091	4,178,224	4,178,224	4,504,288
CAPITAL OUTLAYS		97,288	139,443	139,443	197,500
REIMBURSED EXPENDITURES		(2,427,408)	(2,513,000)	(2,513,000)	(2,851,000)
TOTAL RESOURCES		\$ 12,430,289	\$ 16,315,275	\$ 16,315,275	\$ 18,028,741
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		78.00	81.00	81.00	81.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 2,427,408	\$ 2,513,000	\$ 2,513,000	\$ 2,851,000
NET ELECTRIC FUND		12,430,289	16,315,275	16,315,275	18,028,741
TOTAL FUNDING REQUIRED		\$ 14,857,697	\$ 18,828,275	\$ 18,828,275	\$ 20,879,741
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA		DEPARTMENT		PROGRAM	
ELECTRIC		ELECTRIC (08600)		ELECTRIC POWER PLANT (08616)	
PROGRAM To safely and efficiently operate and maintain Roseville's power plants.					
PROGRAM OBJECTIVES - Operate assets safely - Maintain assets to meet operational goals for reliability and availability - Ensure compliance with all applicable regulations and requirements - Provide engineering support - Manage on-site warehouse effectively					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME:					
EFFICIENCY AND EFFECTIVENESS: - Availability Percentage - Forced Outage Factor - Starting Reliability Percentage		79.2% 0.7% 81.0%	>92.1% <3.6% >89.8%	96.7% 0.2% 94.5%	>92.1% <3.6% >89.8%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 3,997,407	\$ 4,641,160	\$ 4,641,160	\$ 5,499,804
MATERIALS, SUPPLIES, SERVICES		8,611,258	9,677,718	9,677,718	8,263,787
CAPITAL OUTLAYS		0	175,000	175,000	415,000
REIMBURSED EXPENDITURES		0	0	0	(195,000)
TOTAL RESOURCES		\$ 12,608,665	\$ 14,493,878	\$ 14,493,878	\$ 13,983,591
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		22.00	24.00	24.00	25.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 195,000
NET ELECTRIC FUND		12,608,665	14,493,878	14,493,878	13,983,591
TOTAL FUNDING REQUIRED		\$ 12,608,665	\$ 14,493,878	\$ 14,493,878	\$ 14,178,591
ANALYSIS The increase in FTE for FY2015-16 is due to adding one Power Plant Mechanic.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
ELECTRIC	ELECTRIC (08600)	POWER SUPPLY (08621, 08650)			
PROGRAM To acquire adequate and reliable power supplies at competitive prices, in compliance with regulatory requirements, and manage the risk of power supply market price volatility.					
PROGRAM OBJECTIVES - Manage electric power supply portfolio to balance low cost and risk - Optimally manage wholesale assets to provide service at the lowest reasonable cost - Manage access and opportunities in the wholesale market to achieve Roseville Electric's goals - Manage internal programs to ensure compliance with regulatory requirements					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME:					
EFFICIENCY AND EFFECTIVENESS: - Compliance with Greenhouse Gas regulations - Compliance with Renewable Portfolio Standard regulations - Compliance with Risk Management policies - Fiscal year estimate Risk Policy Cost Ceiling		100% 100% 100% 100.0%	100% 100% 100% <107.5%	100% 100% 100% 101.5%	100% 100% 100% <107.5%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,831,419	\$ 2,271,125	\$ 2,271,125	\$ 2,121,094
MATERIALS, SUPPLIES, SERVICES		75,880,913	72,636,821	72,636,821	70,663,759
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(2,567)	0	0	0
TOTAL RESOURCES		\$ 77,709,765	\$ 74,907,946	\$ 74,907,946	\$ 72,784,853
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		12.00	12.00	11.00	11.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 2,567	\$ 0	\$ 0	\$ 0
NET ELECTRIC CARB FUND		33,121	666,878	666,878	0
NET ELECTRIC FUND		77,676,644	74,241,068	74,241,068	72,784,853
TOTAL FUNDING REQUIRED		\$ 77,712,332	\$ 74,907,946	\$ 74,907,946	\$ 72,784,853
ANALYSIS The drop in FTE during FY2015-16 is due to moving out one Electric Business Analyst position to the Administration program.					

PROGRAM PERFORMANCE BUDGET

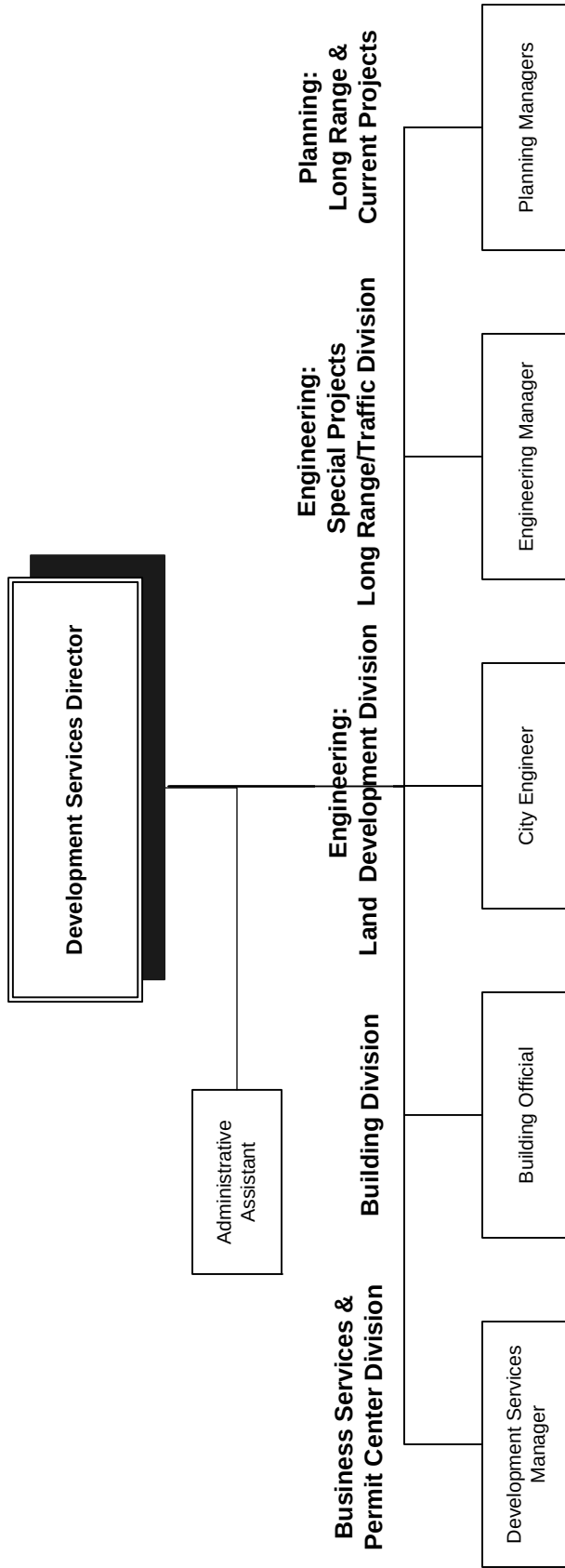
Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
ELECTRIC	ELECTRIC (08600)	PUBLIC BENEFITS (08623)			
PROGRAM To develop, implement, and report on Public Benefit programs and other utility customer services.					
PROGRAM OBJECTIVES - Implement and maintain Public Benefit programs in a sound manner - Develop and maintain high customer satisfaction levels - Meet annual goals for Public Benefit programs' energy savings - Spend a minimum of 2.85% of annual revenues via Public Benefit programs, as required by state law					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME:					
EFFICIENCY AND EFFECTIVENESS: - Customer Satisfaction - Energy efficiency targets (MWh) - Public Benefit expenses (as a % of revenue)		95% 8,958 2.85%	95% 7,768 2.85%	95% 7,768 2.85%	95% 7,318 2.85%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,545,795	\$ 1,864,425	\$ 1,864,425	\$ 1,721,915
MATERIALS, SUPPLIES, SERVICES		3,532,724	5,916,064	5,916,064	4,029,587
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(183,731)	(200,000)	(200,000)	0
TOTAL RESOURCES		\$ 4,894,788	\$ 7,580,489	\$ 7,580,489	\$ 5,751,502
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		11.00	12.00	12.00	12.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 183,731	\$ 200,000	\$ 200,000	\$ 0
NET ELECTRIC FUND		4,894,788	7,580,489	7,580,489	5,751,502
TOTAL FUNDING REQUIRED		\$ 5,078,519	\$ 7,780,489	\$ 7,780,489	\$ 5,751,502
ANALYSIS					

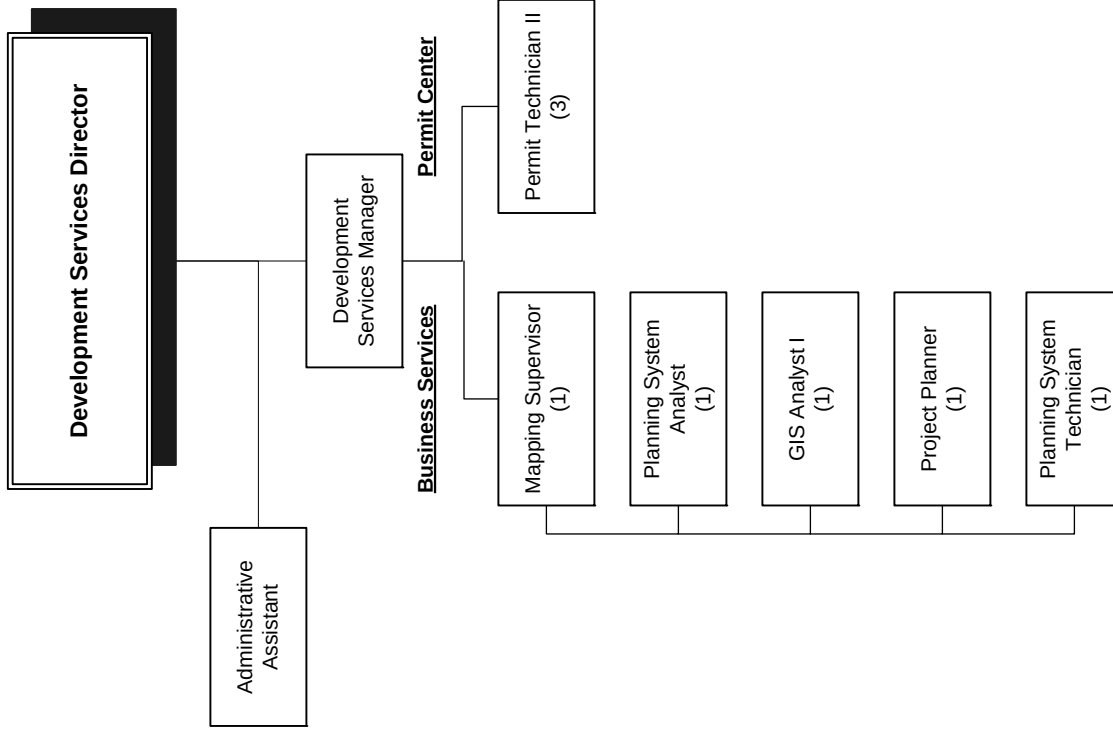
PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

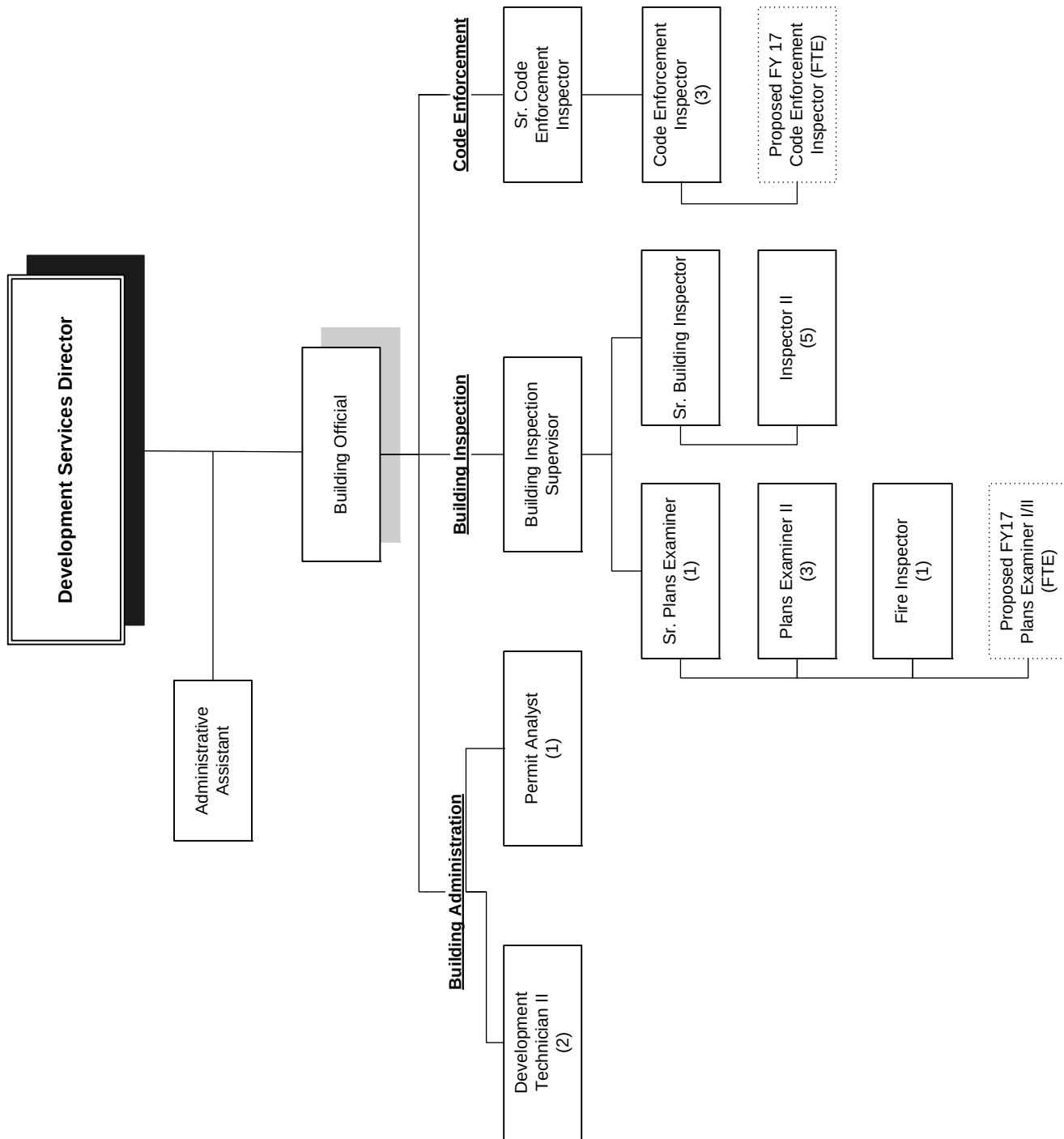
MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
ELECTRIC	ELECTRIC (08600)	VEHICLE PURCHASES (08630)			
PROGRAM To provide funding for the acquisition and replacement of Roseville Electric Utility vehicles. An appropriation is allocated from the Electric Construction Fund and provided to Fleet Services for actual purchases.					
PROGRAM OBJECTIVES - Acquisition of new vehicles - Replacement of vehicles from the current fleet					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Purchase of vehicles		n/a	n/a	n/a	5
EFFICIENCY AND EFFECTIVENESS:					
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 0	\$ 0	\$ 0	\$ 0
MATERIALS, SUPPLIES, SERVICES		0	0	0	0
CAPITAL OUTLAYS		0	0	0	696,400
REIMBURSED EXPENDITURES		0	0	0	0
TOTAL RESOURCES		\$ 0	\$ 0	\$ 0	\$ 696,400
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		0.00	0.00	0.00	0.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 0
NET ELECTRIC CONSTRUCTION FUND		0	0	0	696,400
TOTAL FUNDING REQUIRED		\$ 0	\$ 0	\$ 0	\$ 696,400
ANALYSIS					



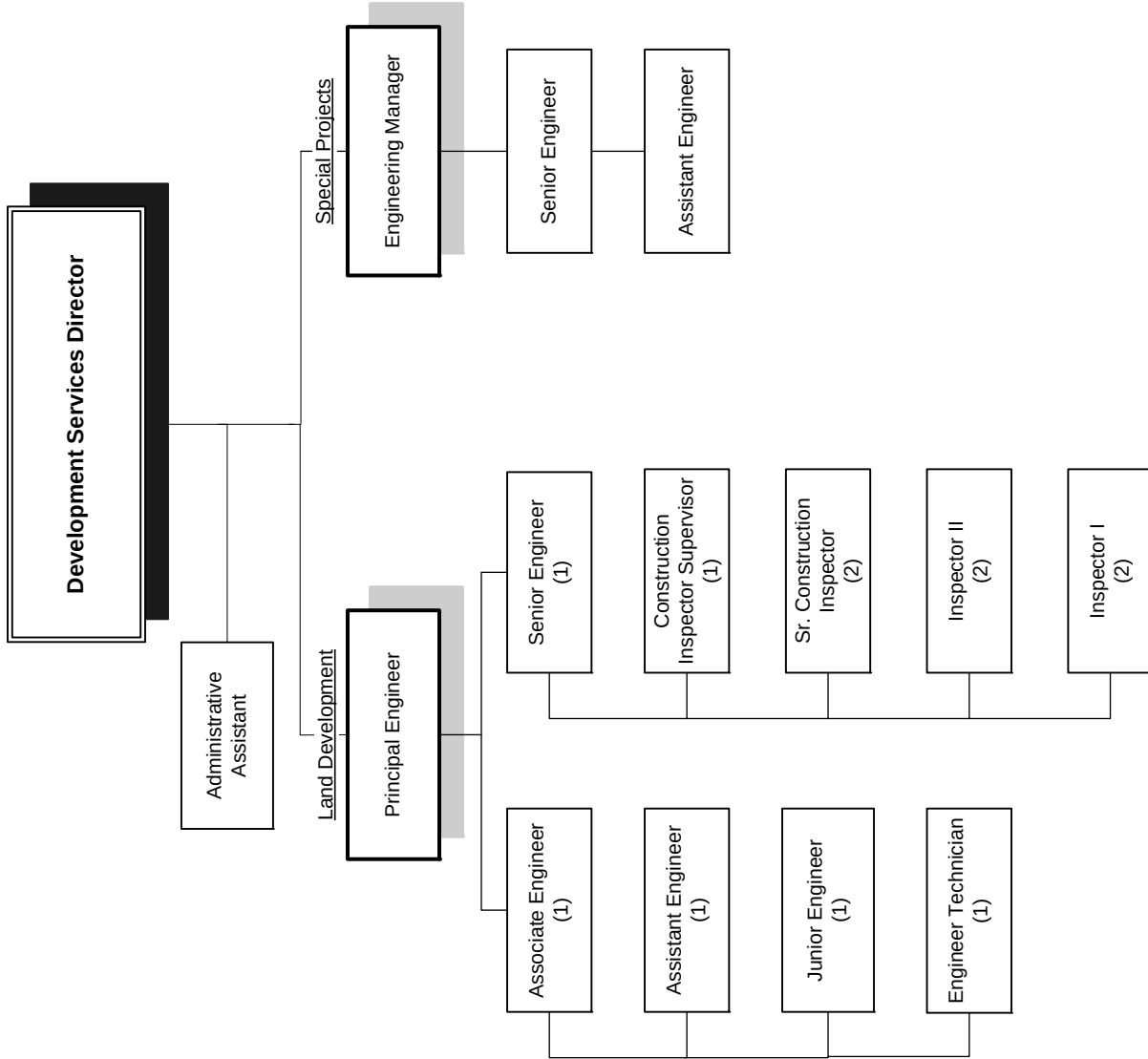
Organization Chart: Development Services Department



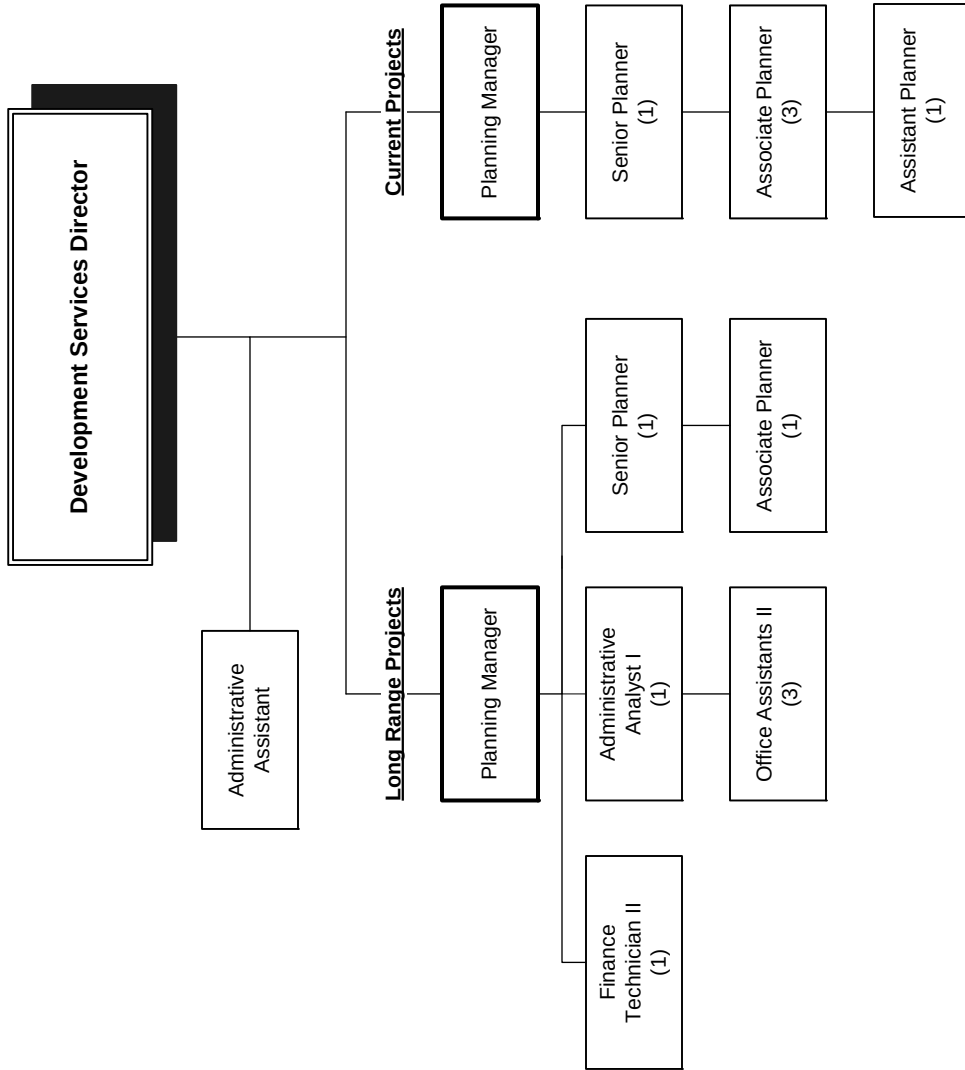
Development Services Department – Business Services and Permit Center



Development Services Department - Building



Development Services Department – Engineering / Land Development



Development Services Department – Planning

DEVELOPMENT SERVICES DEPARTMENT

Fiscal Year 2016-17

OVERVIEW OF SERVICES

The Development Services Department (DSD) is comprised of the Business Services and Permit Center, Building, Engineering Land Development, Engineering Special Projects, and Planning. DSD's goal is to assist residents, developers, and businesses secure permits and entitlements or understanding regulatory requirements. Collectively, DSD evaluates and maintains fee programs, provides long-range planning, reviews entitlements and building permit applications, provides plan and map review, issues encroachment permits, issues permits to construct, provides inspection services, and recommends acceptance of maps and civil improvements to the City Council for all private land development and construction projects. DSD is here to help people as they work through the entitlement stage and ultimately occupy a building. The Department's core services are:

- **Business Services and Permit Center** provides residents and members of the public with efficient and professional services relating to permit and development review programs in a single location. This division is also responsible for managing and supporting Accela Automation (citywide permitting system); creating and maintaining GIS data; and mapping products, citywide addressing and street naming management, and distributing information regarding citywide development activities.
- **Building and Code Enforcement Division** assists residents, businesses, and the development community with meeting minimum building code standards to safeguard life, health, property, and public welfare. This is accomplished by ensuring that design, construction, materials, use and occupancy, and location conform to the required building code provision and the City's health and safety standards.
- **Engineering Land Development** ensures that development of public and private infrastructure meets required City engineering standards, codes, and policies through plan review and construction inspection, including compliance with the construction site runoff and post construction maintenance program elements of the City's municipal separate stormwater system (MS4) program.
- **Engineering Special Projects** provides City oversight of engineering-related items associated with long-range planning and development projects, traffic analysis, fee program development, compliance with the State-mandated MS4 stormwater program related to new and redevelopment projects, and to support engineering-related process improvements and fee analyses.
- **Planning Division** provides professional guidance to the City Council, Planning Commission, Design Committee, and the public regarding policies that guide the physical development of the community. The division is responsible for updating and maintaining the City's General Plan, Specific Plans, Zoning Ordinance, and development-related policy documents. It is also responsible for processing various zoning, subdivision, design, sign, and tree permit applications through required City review and public hearings. Division staff is available eight hours each day to answer general questions about the City regarding growth and development, and to explain permit review requirements.

BUDGET HIGHLIGHTS FOR FISCAL YEAR 2016-17

FY2016-17 is expected to see a continued increase in entitlement and building permit activity throughout the community. Over the past calendar year, DSD was challenged with meeting the demands of working on two major planning projects on accelerated schedules (Amoruso Ranch and Campus Oaks). These plans will add approximately 3,700 new residential units to the City. They also secure right of way for the future Placer Parkway extension and provide a unique live-work environment in the infill area of the community.

In addition to the large scale planning activities, DSD has experienced an increase in building permit levels not seen since 2006. Over the past calendar year the Building Division issued permits for 750 single family residential units, processed 325 tenant improvement permits and issued 5,400 building permits overall. The major projects they have been involved with include tenant improvements for a Sutter medical office building and parking garage, overseeing the construction of 316 Vernon Street, Falls Event Center, iFLY Indoor Skydiving, SPCA, Avia Apartments, Oak Street parking garage, Galleria mall parking garage expansion, the FBI building/parking garage and Top Golf.

Engineering staff has also been tasked with keeping up with improvement plans, final maps, and inspections. Our paper lot (or tentative map inventory) is in excess of 3,000 lots and 1,100 lots are expected to receive final map approval this fiscal year. Engineering has also been responsible for the improvement plan approval and construction oversight of new major roadway and infrastructure development on the west side of town.

The Engineering Special Projects division (Traffic and Long Range Planning) has played a significant role in managing the traffic, drainage, and roadway development for the two major planning projects. Additionally, they have completed a recent update of the Capital Improvement Project program and its related traffic mitigation impact fee assessment, have been working with local jurisdictions to develop an inter-jurisdictional traffic mitigation fee program, have overseen the traffic fee assignment associated

with building permits, and supported several inter-jurisdictional joint powers associations. They have worked to develop a joint stormwater design manual for the South Placer region for new development, and continue to implement the City's MS4 permit related to new and redevelopment projects which has been a major portion of this section's work program.

The increase in work load has also brought additional revenues. As reflected in the proposed budget, DSD is projecting that we will generate approximately \$5.5 million in revenues. The revenue from FY2016-17 will continue to remain constant due to two major land use planning projects being completed in FY2015-16. Based on revenues, the current workload, and staffing levels (that are less than levels in the past), two new positions have been requested. These positions include converting one existing contract plan review position to a full-time position and adding a new Code Enforcement Officer. Even with these position requests, the overall cost recovery for the department is projected to be 57 percent. With the ability to apply employee costs for their time and services to development projects, this will bring the cost recovery projection to over 60 percent for the department. These requests are detailed in the discussion below, and represent a base level staffing that is necessary to meet today's demands and future growth within the community.

- **Planning Division:** Revenues from full cost projects will continue to offset the Division's General Fund impact. Based on projections, this division's operating budget (revenues) will decrease from 2015-2016 based on the fact that the Amoruso Ranch Specific Plan is nearing completion. Revenue off-set will be achieved through full cost development projects, most notably: Kaiser Riverside expansion, projects within the Campus Oaks and Stone Point Master Plans, and various projects within the West Roseville, Sierra Vista, and Creekview Specific Plans. The Division is projecting a cost recovery rate of 41 percent of offsetting revenue to expenses.
- **Business Services and Permit Center Division:** Formation of DSD three years ago has increased the role and visibility of the Department's technical and business support team. Formerly known as the Planning Division technical support group, this group will be re-aligned as "Business Services" to more accurately reflect the unit's scope of responsibilities. Further, to maximize management efficiency and collaboration between the front counter operations and those responsible for input and maintenance of development-related data, the Business Services and Permit Center will consolidate into one division under a single division manager.

The Business Services team unexpectedly assumed responsibility during FY2015-16 for maintenance of citywide addressing and GIS landbase layers from the Fire Department following retirement of its GIS staff resource. To accommodate the growing and changing nature of workload, the Division is requesting several reclassifications of existing positions to better reflect duties currently being performed. Specifically, the Division seeks to reclassify the: 1) Mapping Supervisor position to Development Services Business Services Administrator; 2) Planning Systems Analyst to Development Analyst I/II; and, 3) Planning Systems Technician to GIS Technician I/II. In addition, the Division seeks to maintain its existing temporary 1,500-hour GIS Analyst I and Business Systems Analyst allocations in order to keep up with current workload demands. The requested changes would result in a net increase of 7 percent to the Division's budget; however, revenues from full cost projects—particularly changes to landbase layers as a result of new development—will continue to offset the Division's General Fund impact.

- **Building and Code Enforcement Division:** This division will continue to provide minimum standards to safeguard life, health, property, and public welfare by regulating and controlling the design, construction, quality of materials, use and occupancy, location, and maintenance of buildings and structures. Roseville's Building Department is the first California municipality to become nationally accredited by the International Accreditation Services. This accreditation signifies that "the City of Roseville's Building Department operates under the highest professional and technical standards." The Building division has requested the use of one new full time Plans Examiner position to support over-the-counter permits being issued at the Permit Center. It has been previously staffed by a contract position. By converting this to a full time position there will be some salary savings realized. The other new position in this division is a new Code Enforcement Officer. Over the past year the City Council has directed staff to look at improving our code enforcement operations. One of the issues that has been identified was the limited personnel resources that are provided based on our current population. In response to the Council's direction, an additional position is necessary to support this important public service. The Division will maintain a cost recovery rate of 100 percent of offsetting revenue to expenses with the exception of code enforcement as this is not a cost that is recoverable from development.
- **Engineering Special Projects Division (Traffic and Long Range Planning):** The Special Projects section oversees the various traffic-related impact fee programs (Hwy 65 JPA, SPARTA, TMF, etc.) and has a crucial role in the development of our Specific Plans. This section works with local outside agencies to assess infrastructure needs for future traffic demands and is instrumental in the development of new traffic mitigation fee programs. This section provides insight and direction to other engineering functions within the Land Development Division and within the Public Works Department related to previously approved Specific Plans and contributes to other City projects. In the evaluation of our Specific Plans, this group insures that the City's traffic and level of service policies are being met, assigns roadway improvements, reviews the overall storm drain and flood impact component associated with these long range planning activities, and provides direction on various aspects of mapping, phasing, and project review details. This section provides direction to the Land Development Division related to the stormwater management requirements for new and redevelopment projects as mandated by the National Discharge Elimination System (NPDES) permit as issued by the State of California, and works to develop design guidelines to meet the permit requirements.
- **Engineering Land Development Division:** This division insures that the development of private and public infrastructure meets the required City standards, codes, and policies through the plan review and construction inspection of development and capital improvement projects. The Division is also responsible for ensuring the City's

State Stormwater Permit (MS4) is being adhered to for construction site runoff and post construction. The projected total FY2016-17 revenue for the Engineering Land Development Division is \$1.4 million, which equates to a 22 percent increase over projected FY2015-16 revenue. The increase can be attributed to an increase in private development, fee increases and additional cost recovery. Engineering has requested two temporary positions (one 1,500-hour inspector and one 1,000-hour college intern) in the FY2016-17 budget. These positions are requested to meet current workload, provide baseline staffing for the engineering division going forward and assist with succession planning. The assumed cost of these two positions, assuming each position will have some cost recovery, is \$36,625. It is anticipated the projected \$158,000 in additional revenue for FY2016-17 will cover the cost of these new positions.

KEY ISSUES

Planning:

Current Planning

- Provide support to the Permit Center
- Process a variety of residential, commercial, and industrial applications (approximately 100 entitlement applications and 500 over-the-counter permits)
- Process complex projects such as the Kaiser Riverside expansion and developments within the Stone Point and Campus Oaks Master Plans
- Continue to process tentative small lot subdivision maps and sub-phasing associated with the West Plan, Sierra Vista, and Creekview Specific Plans
- Continue to review and amend the Zoning Ordinance to improve regulatory processes and requirements

Long Range Planning

- Process the Amoruso Ranch Specific Plan Annexation application through the Local Agency Formation Commission (LAFCO)
- Start work on a General Plan Update, Climate Action Plan, and EIR to address recent State guidance and court decisions regarding greenhouse gas analysis.
- Continue to participate in the NEPA 404 Permit process for the Sierra Vista and Amoruso Ranch Specific Plans
- Coordinate inter-agency land use issues (Placer Parkway, SACOG, Federal and State Legislation)

Business Services and Permit Center:

- This section will continue to perform GIS mapping, permit tracking, and collection and maintenance of development data for use by Development Services staff, other City divisions, and outside stakeholders. The implementation of Accela Automation has been a significant focus of the group to assist with the performance of these functions. It is anticipated that the responsibilities in this section will expand as they provide more support to DSD.
- The Business Services unit will assume ownership and responsibility for citywide addressing to be maintained in the GI which is now the system of record for addressing. It will be relied upon by all enterprise-wide systems consuming address data, including the public safety CAD system and utility building, among others.
- Complete the re-alignment and consolidation of Business Services and Permit Center teams into a unified division.

Building Division and Code Enforcement

- Continuation of CASP Certification of Inspection staff for compliance with Senate Bill 1186 for disability access
- Enforcement and continuing education of 2013 California Code of Regulations
- Education and implementation of new code cycle of 2017 California Code of Regulations
- Implementation of Phase 2 Accela permitting system including the ability to conduct electronic plan review, automation of inspections, and inspection requests
- Annual stakeholder outreach working with the development community to refine and improve our process
- 900 single family dwellings
- Processing and issuance of over 5,500 permits and 25,000 inspections
- Working with Sacramento Valley Building Officials to create and implement a certification program on plan review for a more efficient plan review process (PASS Program)
- Implementation of Code Enforcement procedures citywide that track and log all complaints filed with the City
- Continue State mandated implementation of AB 2188 requiring expedited process for permitting and approving residential P.V. systems

Engineering:

Land Development

- Implementation of State Stormwater Permit (MS4) for both construction and post construction, including the implementation of a new stormwater quality design manual
- Continue to add contract and/or consultants to supplement increased plan check and inspection duties
- Consolidate improvement plan check process to reduce number of departments reviewing and increase efficiency, including an expedited review for small commercial projects
- Implementation of field tablets to aid in construction and stormwater inspection reporting
- Implement digital plan checking for improvement plans and maps
- Increase cost recovery
- Administrate Material Testing and Construction Management Professional Services Agreements

- Implement MS4 – Year 3 State mandates for construction site runoff and post construction elements

SUMMARY

The Development Services Department continues to strive for the highest level of efficiency in processing building, engineering, and planning applications while maintaining a high level of customer service. It is expected that development volume will continue to increase. Collectively, DSD will continue to evaluate and maintain fee programs, provide long range planning, review entitlements and building permit applications, provide plan and map review, issue encroachment permits, issue permits to construct, provide inspection services, and recommend acceptance of maps and civil improvements to the City Council for all private land development and construction projects.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

DEVELOPMENT SERVICES (08800)	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(08800) DEPARTMENT ADMINISTRATION	\$ 485,152	\$ 575,437	\$ 575,437	\$ 1,203,841
(08801) BUSINESS SERVICES AND PERMIT CENTER	591,079	670,288	670,288	1,400,463
(08810) BUILDING INSPECTION & PLAN CHECK	2,268,614	2,536,846	2,566,846	2,534,676
(08815) CODE ENFORCEMENT	440,759	547,124	558,124	622,100
(08820) DEVELOPMENT SERVICES - ENGINEERING	1,978,850	2,437,599	2,450,599	2,494,594
(08200) PLANNING	1,969,169	2,346,135	2,346,135	1,638,761
REIMBURSED EXPENDITURES	(717,183)	(888,507)	(888,507)	(1,210,339)
TOTAL DEPARTMENT EXPENDITURES	\$ 7,016,440	\$ 8,224,922	\$ 8,278,922	\$ 8,684,096

RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 6,851,519	\$ 7,802,955	\$ 7,802,955	\$ 8,375,929
MATERIALS, SUPPLIES, SERVICES	882,104	1,310,474	1,364,474	1,518,506
CAPITAL OUTLAYS	0	0	0	0
REIMBURSED EXPENDITURES	(717,183)	(888,507)	(888,507)	(1,210,339)
TOTAL NET RESOURCES REQUIRED	\$ 7,016,440	\$ 8,224,922	\$ 8,278,922	\$ 8,684,096
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	55.00	58.00	59.00	61.00

FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 717,183	\$ 888,507	\$ 888,507	\$ 1,210,339
NET GENERAL FUND	7,016,440	8,224,922	8,278,922	8,249,096
NET TECHNOLOGY FEE REPLACEMENT FUND	0	0	0	435,000
TOTAL DEPARTMENT FUNDING	\$ 7,733,623	\$ 9,113,429	\$ 9,167,429	\$ 9,894,435

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
DEVELOPMENT SERVICES	DEVELOPMENT SERVICES (08800)	ADMINISTRATION (08800, 08875)			
PROGRAM To build our community by being a leader in the delivery of development services known for reliability, cost effectiveness and professionalism. This will be accomplished in part, by effectively managing, coordinating and providing direction to the supporting Development Service programs related to land use planning, plan checking, inspection processes and implementation of the City's stormwater permit program.					
PROGRAM OBJECTIVES - To consolidate and standardize departmental procedures streamlining the plan check, permitting and inspection process - To oversee, facilitate and direct the Development Services Divisions that include Planning, Business Services and Permit Center, Building and Engineering. - To monitor customer service programs to ensure the department is meeting the needs of the development customer - To provide staff training and foster professional development to ensure staff is positioned to respond to changes in services provided - To recover 57 percent of the General Fund cost for divisions that produce revenue - Support Downtown Public Improvement Project implementation					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Total number of departmental positions (FTE) - Conduct an annual survey including customer outreach workshop - Implement fiscal year work program - Implement Accela Phase 2		55.00 1 1 1	58.00 1 1 1	59.00 1 1 1	61.00 1 1 1
EFFICIENCY AND EFFECTIVENESS: - Percent of department objectives accomplished - Cost recovery for all GF Development Service revenue producing divisions		80% 78%	90% 56%	95% 59%	90% 57%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 474,694	\$ 488,862	\$ 488,862	\$ 712,841
MATERIALS, SUPPLIES, SERVICES		10,458	86,575	86,575	491,000
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(33,621)	(20,000)	(20,000)	(20,000)
TOTAL RESOURCES		\$ 451,531	\$ 555,437	\$ 555,437	\$ 1,183,841
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.00	3.00	4.00	4.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 33,621	\$ 20,000	\$ 20,000	\$ 20,000
NET GENERAL FUND		451,531	555,437	555,437	748,841
NET TECHNOLOGY FEE REPLACEMENT FUND		0	0	0	435,000
TOTAL FUNDING REQUIRED		\$ 485,152	\$ 575,437	\$ 575,437	\$ 1,203,841
ANALYSIS The Stormwater Program and staff now appear in the Environmental Utilities Department. The increase in Human Resources Required (FTE) during FY2015-16 is due to adding one Development Services Manager.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
DEVELOPMENT SERVICES	DEVELOPMENT SERVICES (08800)	BUSINESS SERVICES & PERMIT CENTER (08801)			
PROGRAM To provide residents and members of the development community with efficient and professional services relating to permit and development review programs of Building, Engineering, and Planning at a single location and to coordinate with other development review related activities of Electric, Environmental Utilities and Fire.					
PROGRAM OBJECTIVES - Consolidate and standardize departmental procedures in order to streamline front counter process. - Develop new programs for continued customer feedback. - Expand on-line permit information concerning status and historical information. - Maintain the "Quick Check" programs for tenant improvement and residential projects. - Automate intra-departmental permit and project tracking. - Support and participate in citywide GIS operations, including address and landbase data maintenance. - Provide ad-hoc data analysis, mapping, reporting and other technical support services to the Department, organization, and external stakeholders. - Implement added functionality to Accela Automation, such as electronic document review, to better serve development customers.					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of customers assisted at front counter - Number of applications accepted at front counter - Number of permits issued over the counter - Permit Center front counter staffing by Permit Technicians and CSR FTEs. - Total building permits issued - Single family dwelling permits issued - Number of ad-hoc technical services requests - Business services staffing by Technician and Analyst FTE		13,659 5,739 3,060 4.3 5,715 817 n/a n/a	12,000 6,000 3,200 4.0 5,500 700 n/a n/a	12,000 6,200 3,600 4.0 5,800 800 n/a n/a	12,000 5,500 3,200 4.0 5,500 900 75 4.0
EFFICIENCY AND EFFECTIVENESS: - % of permits issued with no mistakes - % of Ad-hoc requests for services completed in 15 days - % of street name applications completed in 30 days		95% n/a n/a	95% n/a n/a	95% n/a n/a	95% 75% 75%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 549,893	\$ 646,297	\$ 646,297	\$ 1,323,743
MATERIALS, SUPPLIES, SERVICES		41,186	23,991	23,991	76,720
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		0	0	0	(40,000)
TOTAL RESOURCES		\$ 591,079	\$ 670,288	\$ 670,288	\$ 1,360,463
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		5.00	5.00	5.00	10.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 0	\$ 0	\$ 0	\$ 40,000
NET GENERAL FUND		591,079	670,288	670,288	1,360,463
TOTAL FUNDING REQUIRED		\$ 591,079	\$ 670,288	\$ 670,288	\$ 1,400,463
ANALYSIS The increase in FTE for FY2016-17 is due to moving in 5 GIS positions from the Planning Program due to reorganization.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
DEVELOPMENT SERVICES	DEVELOPMENT SERVICES (08800)	BUILDING INSPECTION & PLAN CHECK (08810)			
PROGRAM To provide minimum standards to safeguard life or limb, health, property and public welfare by regulating and controlling the design, construction, quality of materials, use and occupancy, location and maintenance of all buildings and structures within this jurisdiction and certain equipment specifically regulated herein.					
PROGRAM OBJECTIVES - To return first time comments on commercial and residential plan check within 21 calendar days from date of submittal; to return corrected plans to customer within 14 days from date of submittal - To make 95% of building inspections within 24 hours of request - To maintain inspection service levels less than or equal to 16 inspections per inspector per day - To have all inspectors and plan checkers certified by the International Code Council - Minimum 15 hours continuing education for each inspector and plan checker - To maintain plan check service levels less than or equal to 4 plan checks per plan checker per day					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Total building permits issued - Single family dwelling permits issued - Inspection requests - Total plan checks - Average total plan checks per plan checker per day - Average inspections per inspector per day - Audit and review plan checks for accuracy - Audit and review inspections for accuracy		5,715 817 27,993 9,212 5.5 21.3 105 271	5,500 700 25,000 7,000 5.0 16 60 250	5,800 800 28,000 7,300 5.0 20 60 290	5,500 900 25,000 7,000 4.0 16 60 250
EFFICIENCY AND EFFECTIVENESS: - % of plans checked within 21 days - % of plans returned within 14 days - % of inspections made within 24 hours - % of projects that are approved within three (3) plan checks - % of plans approved with no minor code violations - % of plans approved with no major code violations - % of inspections approved with no minor code violations - % of inspections approved with no major code violations		96% 100% 97% 99% 91% 92% 87% 91%	95% 100% 95% 97% 95% 100% 95% 100%	99% 100% 97% 99% 99% 99% 98% 99%	95% 100% 95% 97% 95% 100% 95% 100%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 1,677,136	\$ 1,693,742	\$ 1,693,742	\$ 1,937,676
MATERIALS, SUPPLIES, SERVICES		591,478	843,104	873,104	597,000
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(525)	0	0	(23,539)
TOTAL RESOURCES		\$ 2,268,089	\$ 2,536,846	\$ 2,566,846	\$ 2,511,137
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		14.00	14.00	14.00	15.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 525	\$ 0	\$ 0	\$ 23,539
NET GENERAL FUND		2,268,089	2,536,846	2,566,846	2,511,137
TOTAL FUNDING REQUIRED		\$ 2,268,614	\$ 2,536,846	\$ 2,566,846	\$ 2,534,676
ANALYSIS The increase in FTE for FY2016-17 is due to adding one Building Plans Examiner.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
DEVELOPMENT SERVICES	DEVELOPMENT SERVICES (08800)	CODE ENFORCEMENT (08815)			
PROGRAM To promote and maintain a safe and desirable living and working environment. Maintain and improve the quality of our community by administering a fair and unbiased enforcement program to correct violations of municipal codes and land use requirements. Improving the overall appearance of the City by reducing the total number of Municipal Code violations. Increase productivity, demonstrate program effectiveness and measure results through the implementation of innovative use of computers and technology.					
PROGRAM OBJECTIVES - To respond in a timely manner to complaints about potential municipal code violations, and provide for fair and effective enforcement of the municipal code					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Inspections conducted - Complaints responded to - Cases closed		2,494 1,157 781	3,800 2,000 1,000	2,400 1,130 1,250	3,800 2,000 1,000
EFFICIENCY AND EFFECTIVENESS: - Initial response to complaints within 2 working days - Initial inspection performed within 1 week of complaint - Cases closed within 30 days of initial complaint - Cases closed within 1 year of initial complaint		87% 75% 64% 98%	70% 90% 70% 90%	87% 88% 60% 98%	70% 90% 70% 90%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS		\$ 380,533	\$ 454,183	\$ 454,183	\$ 534,594
MATERIALS, SUPPLIES, SERVICES		60,226	92,941	103,941	87,506
CAPITAL OUTLAYS		0	0	0	0
REIMBURSED EXPENDITURES		(9,875)	(31,007)	(31,007)	0
TOTAL RESOURCES		\$ 430,884	\$ 516,117	\$ 527,117	\$ 622,100
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		4.00	4.00	4.00	5.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES		\$ 9,875	\$ 31,007	\$ 31,007	\$ 0
NET GENERAL FUND		430,884	516,117	527,117	622,100
TOTAL FUNDING REQUIRED		\$ 440,759	\$ 547,124	\$ 558,124	\$ 622,100
ANALYSIS The increase in FTE for FY2016-17 is due to adding one Code Enforcement Inspector.					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
DEVELOPMENT SERVICES	DEVELOPMENT SERVICES (08800)	DEVELOPMENT SERVICES - ENGINEERING (08820)			
PROGRAM To support the infrastructure of the City by providing general civil engineering services for land development projects, transportation planning, storm water management, and construction inspection.					
PROGRAM OBJECTIVES - LAND DEVELOPMENT - LAND DEVELOPMENT - CONSTRUCTION INSPECTION - TRANSPORTATION PLANNING - STORM WATER MANAGEMENT Check and return 75% of plans and maps within 4 weeks and 100% within 6 weeks Plan check staff to spend a minimum of 65% of work hours on plan checks Inspection staff to spend a minimum of 65% of work hours on inspections Provide technical review of traffic studies, update traffic fee programs Implement MS4 permit					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of plans and maps returned - Number of hours spent on inspections - Number of hours spent plan checking Revenues - Plan Check / Inspection Reimbursements - CIP Reimbursed Costs		239 6,167 3,450 \$793,389 \$379,756	225 6,000 4,500 \$618,750 \$540,000	180 8,000 4,100 \$618,750 \$540,000	225 6,500 4,500 \$490,550 \$926,800
EFFICIENCY AND EFFECTIVENESS: - Percent work hours spent on development plan check - Percent work hours spent on development / CIP inspection - Percent plans and maps returned within 4 / 6 weeks - Ratio of Engineering Revenues / Expenses - Percentage of projects that are approved within 3 plan checks		42% 53% 78% / 95% 50% 63%	40% 50% 75% / 100% 33% 75%	42% 58% 75% / 96% 32% 65%	40% 50% 75% / 100% 31% 75%
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 1,888,765 90,085 0 (379,756)	\$ 2,274,854 162,745 0 (540,000)	\$ 2,274,854 175,745 0 (540,000)	\$ 2,322,094 172,500 0 (926,800)
TOTAL RESOURCES		\$ 1,599,094	\$ 1,897,599	\$ 1,910,599	\$ 1,567,794
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		14.00	16.00	16.00	16.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 379,756 1,599,094	\$ 540,000 1,897,599	\$ 540,000 1,910,599	\$ 926,800 1,567,794
TOTAL FUNDING REQUIRED		\$ 1,978,850	\$ 2,437,599	\$ 2,450,599	\$ 2,494,594
ANALYSIS					

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM			
DEVELOPMENT SERVICES	DEVELOPMENT SERVICES (08800)	PLANNING (08200)			
PROGRAM To prepare, maintain and implement a comprehensive set of policies and physical plans to guide future development that is reflective of the community's desire to create and maintain a healthful, prosperous, efficient and attractive community.					
PROGRAM OBJECTIVES - Process all development applications within statutory deadlines and priority projects as directed by Council - Continue to update and simplify development project processing for improved efficiency, integrate with "permit center" - Actively participate in coordination with Placer County and adjacent jurisdictions on long-range planning programs - Complete work on major planning programs, including specific plans and other major projects as directed by Council - Assist in Downtown / Old Town and neighborhood revitalization programs					
PERFORMANCE MEASURES		2014-15 ACTUAL	2015-16 TARGET	2015-16 DEPT EST	2016-17 BUDGET
WORK VOLUME: - Number of development applications received - Number of development applications processed - Public counter staffing by a Planner and permit tech stated in FTE - Public counter staffing by a Planner - Major Projects Processing stated in FTE - Number of Ministerial Permits issued - Number of Sign Permits issued		124 135 1.4 n/a 1.5 326 118	100 100 1.4 n/a 1.5 350 125	100 95 1.4 n/a 1.5 345 129	100 100 n/a 1.0 1.5 350 125
EFFICIENCY AND EFFECTIVENESS: - Percent complete of major planning programs within adopted schedules - Percent implemented of permit and processing streamlining ordinances - General Fund cost per capita - Revenue recovery (3000 accounts)		100% 100% \$12.75 \$489,982	100% 100% \$15.57 \$790,125	100% 100% \$15.28 \$790,125	100% 100% \$10.54 \$471,650
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS MATERIALS, SUPPLIES, SERVICES CAPITAL OUTLAYS REIMBURSED EXPENDITURES		\$ 1,880,498 88,671 0 (293,406)	\$ 2,245,017 101,118 0 (297,500)	\$ 2,245,017 101,118 0 (297,500)	\$ 1,544,981 93,780 0 (200,000)
TOTAL RESOURCES		\$ 1,675,763	\$ 2,048,635	\$ 2,048,635	\$ 1,438,761
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)		14.00	16.00	16.00	11.00
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES NET GENERAL FUND		\$ 293,406 1,675,763	\$ 297,500 2,048,635	\$ 297,500 2,048,635	\$ 200,000 1,438,761
TOTAL FUNDING REQUIRED		\$ 1,969,169	\$ 2,346,135	\$ 2,346,135	\$ 1,638,761
ANALYSIS The drop in FTE for FY2016-17 is due to relocating 5 GIS positions to the Business Services & Permit Center program.					

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

SERVICE DISTRICTS	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
CROCKER RANCH SD	\$ 208,206	\$ 277,335	\$ 277,335	\$ 272,669
FIDDYMENT RANCH CFD #2 SD	642,763	710,108	710,108	765,862
HIGHLAND RESERVE NORTH SD	406,099	610,775	610,775	475,324
HISTORICAL DISTRICT LLD	33,728	51,101	51,101	46,721
INFILL LLD, ZONES A - C	19,140	45,997	45,997	81,248
INFILL CFD #4 - WOODCREEK OAKS PRESERVE	11,545	12,040	12,040	11,382
INFILL SERVICES CFD	27,792	32,215	32,215	31,387
SERSP (JOHNSON RANCH) LLD, ZONES A - E	12,038	11,535	11,535	11,084
LONGMEADOW CFD #2 SD	92,863	97,039	97,039	102,065
MUNICIPAL SD CFD #3	29,431	35,184	35,184	129,498
NORTH CENTRAL LLD, ZONES A,B,F,G	501,725	582,584	582,584	585,402
NORTH ROSEVILLE SD, ZONES A,B,C,E	214,582	344,873	344,873	356,239
NORTHWEST ROSEVILLE LLD, ZONE A & B	469,937	714,776	714,776	499,587
OLYMPUS POINT LLD, ZONE A & D	238,547	254,397	254,397	229,707
RIVERSIDE DISTRICT LLD	19,298	30,480	30,480	30,099
STONE POINT CFD #2 SD	35,991	40,880	40,880	43,396
STONE POINT CFD #4 SD	9,531	21,089	21,089	26,276
STONERIDGE CFD #1 SD	402,267	489,014	489,014	466,469
STONERIDGE PARCEL 1 CFD #2 SD	22,461	25,145	25,145	24,016
VERNON STREET LLD	27,079	41,387	41,387	48,563
WESTBROOK CFD #2	0	27,825	27,825	57,280
WESTPARK CFD #2 SD	615,841	710,676	710,676	803,864
WOODCREEK EAST SD	105,331	125,079	125,079	124,051
WOODCREEK WEST SD	288,320	314,976	314,976	518,786
TOTAL RESOURCES REQUIRED	\$ 4,434,515	\$ 5,606,510	\$ 5,606,510	\$ 5,740,975

DESCRIPTION

To provide funding for the maintenance of the different special assessment districts and zones. The perpetual maintenance may include public landscaping, landscape setbacks, street medians and corridors, bike trails, park and open space, wetlands, signage and lighting improvements. May include costs related to additional police protection, fire suppression, recreation programs and library services created in areas of the new developments.

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

COMMUNITY FACILITIES DISTRICT AND AGENCY FUNDS	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
CROCKER RANCH CFD #1	\$ 1,489,536	\$ 1,498,160	\$ 1,498,160	\$ 1,505,312
DIAMOND CREEK CFD #1	442,990	450,950	450,950	456,474
DOWNTOWN ROSEVILLE IMPROVEMENT	234,603	248,006	248,006	255,606
FIDDYMENT RANCH CFD #1	5,089,796	5,834,627	5,834,627	5,379,742
HIGHLAND RESERVE NORTH CFD #1	2,650,168	2,658,759	2,658,759	2,674,577
HP CAMPUS OAKS CFD #1	0	0	5,711,399	15,995,876
LONGMEADOW PARKSIDE CFD #1	616,300	617,766	617,766	608,269
NORTH CENTRAL ROSEVILLE CFD #1	3,996,998	4,037,194	4,037,194	3,945,505
NORTH ROSEVILLE CFD #1	1,808,165	2,000,403	2,000,403	1,514,399
NORTHEAST ROSEVILLE CFD #2	859,542	860,464	860,464	857,621
NORTHWEST ROSEVILLE CFD #1	2,306,327	2,307,131	2,307,131	2,303,396
ROSEVILLE AUTOMALL CFD #1	556,474	555,700	555,700	571,041
STONE POINT CFD #1	2,374,612	795,524	795,524	785,681
STONE POINT CFD #5	355,517	364,773	364,773	360,568
STONERIDGE EAST CFD #1	1,241,712	1,244,076	1,244,076	1,246,895
STONERIDGE PARCEL 1 CFD #1	154,242	157,198	157,198	158,369
STONERIDGE WEST CFD #1	965,668	967,885	967,885	972,839
THE FOUNTAINS CFD #1	828,413	840,556	840,556	806,049
WESTBROOK CFD #1	12,719,962	947,766	947,766	801,145
WESTPARK CFD #1	6,369,699	6,605,419	6,464,793	3,882,051
WOODCREEK EAST CFD #1	502,270	508,295	508,295	494,929
WOODCREEK WEST CFD #1	1,463,627	1,660,073	1,660,073	1,245,669
TOTAL RESOURCES REQUIRED	\$ 47,026,621	\$ 35,160,725	\$ 40,731,498	\$ 46,822,013
DESCRIPTION				
To provide financing for the acquisition, construction, and/or infrastructure improvements to the facilities and related expansion in the above districts. Additional information on the use of funds and proceeds of bonds can be found on the City of Roseville website or by contacting the Finance department.				

DEPARTMENT BUDGET SUMMARY

Fiscal Year 2016-17

NON-DEPARTMENTAL	EXPENDITURES			
	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
(01001-01003) COMMUNITY GRANTS	\$ 264,718	\$ 308,298	\$ 308,298	\$ 479,730
(03111) WORKERS' COMPENSATION	2,568,658	3,648,463	3,648,463	3,346,709
(03112) GENERAL LIABILITY INSURANCE	2,240,704	2,844,609	2,844,609	2,674,642
(03113) UNEMPLOYMENT INSURANCE	162,566	225,000	225,000	150,000
(03114) VISION INSURANCE	160,631	271,291	271,291	168,000
(03115) DENTAL INSURANCE	1,365,776	1,987,219	1,987,219	1,605,358
(03117) SECTION 125 CAFETERIA PLAN	413,801	450,000	450,000	379,840
(03118) POST-RETIREMENT INSURANCE	13,401,983	7,045,574	7,045,574	7,155,284
(03322) VEHICLE REPLACEMENT	7,346,546	14,353,477	14,335,032	4,872,511
(00650) OPEB TRUST FUND	5,817,809	6,033,277	6,033,277	6,544,020
(00299) MISCELLANEOUS SPECIAL REVENUES	317,580	638,957	638,958	703,499
(600-10) GENERAL TRUST FUNDS	0	600	600	600
REIMBURSED EXPENDITURES	0	0	0	0
TOTAL DEPARTMENT EXPENDITURES	\$ 34,060,772	\$ 37,806,765	\$ 37,788,321	\$ 28,080,193

RESOURCES	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
SALARIES, WAGES, BENEFITS	\$ 20,691,805	\$ 13,452,886	\$ 13,452,886	\$ 14,144,388
MATERIALS, SUPPLIES, SERVICES	4,208,327	10,134,701	10,134,702	9,186,655
CAPITAL OUTLAYS	9,160,640	14,219,178	14,200,733	4,749,150
REIMBURSED EXPENDITURES	0	0	0	0
TOTAL NET RESOURCES REQUIRED	\$ 34,060,772	\$ 37,806,765	\$ 37,788,321	\$ 28,080,193
HUMAN RESOURCES REQUIRED (Full-Time Equivalent)	0.00	0.00	0.00	0.00

FUNDING SUMMARY	2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
REIMBURSED EXPENDITURES	\$ 0	\$ 0	\$ 0	\$ 0
NET CITIZEN'S BENEFIT TRUST FUND	251,400	271,111	271,111	426,230
NET GENERAL FUND - COMMUNITY CONTRIBUTIONS	13,318	37,187	37,187	53,500
NET INSURANCE FUNDS	20,314,119	16,472,156	16,472,156	15,479,833
NET OPEB TRUST FUND	5,817,809	6,033,277	6,033,277	6,544,020
NET AUTOMOTIVE REPLACEMENT FUND	7,346,546	14,353,477	14,335,032	4,872,511
NET MISCELLANEOUS SPECIAL REVENUE FUNDS	317,580	638,957	638,958	703,499
NET TRUST FUNDS	0	600	600	600
TOTAL DEPARTMENT FUNDING	\$ 34,060,772	\$ 37,806,765	\$ 37,788,321	\$ 28,080,193

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM		
GENERAL GOVERNMENT	NON-DEPARTMENTAL	COMMUNITY GRANTS (01001, 01002, 01003)		
PROGRAM: To assist in the support of community service organizations.				
PROGRAM OBJECTIVES: To donate funds to various organizations that benefit the Roseville community.				
PROGRAMS	2014-15 ACTUAL	2015-16 TARGET	2015-16 MDYR BUDGET	2016-17 BUDGET
A Touch of Understanding	\$ 10,000	\$ 9,000	\$ 9,000	\$ -
Adelante High School Sober Grad Night	500	-	-	To be Determined by the Grants Commission
Advocates of the Mentally Ill Housing, Inc.	8,000	6,000	6,000	
Assistance League of Greater Placer	7,500	7,000	7,000	
Barbara Chilton Middle School	-	2,500	2,500	
Blue Line Arts	10,000	9,000	9,000	-
Child Advocates of Placer County	8,500	9,000	9,000	-
City of Roseville - Fire Department - Items for Volunteers	6,000	5,000	5,000	-
City of Roseville - Parks & Rec-Youth Programs	5,000	5,000	5,000	-
City of Roseville - Police, Crime Prevention Outreach Programs	6,000	4,000	4,000	-
Community Recovery Resources	-	-	-	-
Council Directed Programs	-	15,000	23,611	15,000
Family Green Survival Bag of Life Meal Program	500	-	-	-
Friends of Roseville Public Library	2,000	-	-	-
Gathering Inn	9,500	9,000	25,000	-
Gold Country Chaplaincy - Counseling for Veterans & Families	5,000	7,500	7,500	-
Granite Bay High School Sober Grad Night	500	-	-	-
Homeless Voucher Program - Salvation Army	13,318	30,000	37,187	30,000
Independence High School	-	-	-	-
Junction Elementary School	2,500	1,500	1,500	-
Keaton Raphael Memorial for Neuroblastoma	8,000	7,500	7,500	-
KidsFirst - Child & Family Therapy Program	7,500	7,500	7,500	-
Lazarus Project	-	2,500	2,500	-
Lighthouse Counseling and Resource Center	-	4,500	4,500	-
My Mother's Voice	-	2,500	2,500	-
North Roseville Recreation Education and Creativity Center	8,500	-	-	-
Oakmont High School, RJUHSD Art Program Redevelopment	-	-	-	-
Oakmont High School Sober Grad Night	500	-	-	-
PEACE for Families	-	-	-	-
Performing Arts of Roseville - Music in the Park & Performing Arts in School	7,500	6,000	6,000	-
Placer ARC	7,500	7,500	7,500	-
Placer County Food Bank	8,500	8,500	8,500	-
Placer County Law Enforcement Chaplaincy	5,000	6,000	6,000	-
Placer County Office of Education	-	-	-	-
Placer Independent Resource Services - Assistive Technology	\$ 2,000	\$ 2,000	\$ 2,000	\$ -
SUBTOTAL COMMUNITY GRANTS (page 1)	\$ 149,818	\$ 174,000	\$ 205,798	\$ 45,000

PROGRAM PERFORMANCE BUDGET

Fiscal Year 2016-17

MAJOR SERVICE AREA		DEPARTMENT		PROGRAM	
GENERAL GOVERNMENT		NON-DEPARTMENTAL		COMMUNITY GRANTS (01001, 01002, 01003)	
PROGRAMS		2014-15 ACTUAL	2015-16 TARGET	2015-16 MDYR BUDGET	2016-17 BUDGET
SUBTOTAL COMMUNITY GRANTS (from page 1)		\$ 149,818	\$ 174,000	\$ 205,798	\$ 45,000
Placer County Multi-Disciplinary Interview Center (MDIC)		10,000	9,000	9,000	-
Placer SPCA		10,000	7,500	7,500	-
Robert C. Cooley Middle School		-	2,500	2,500	-
Roseville Adult School		2,500	2,500	2,500	-
Roseville Alano Club		-	2,806	2,806	-
Roseville City School District		10,000	-	-	-
Roseville City School District Foundation		9,000	9,000	9,000	-
Roseville Coalition of Neighborhood Assoc. (RCONA)		2,000	1,694	1,694	-
Roseville Community Concert Band		4,000	4,500	4,500	-
Roseville Crime Stoppers Fun Run		1,000	-	-	-
Roseville Genealogical Society		-	-	-	-
Roseville High School Sober Grad Night		500	-	-	-
Roseville Historical Society		3,900	4,000	4,000	-
Roseville Home Start		-	-	-	-
Roseville Joint Union High School District		7,500	2,000	2,000	-
Roseville Library Foundation		-	3,000	3,000	-
Roseville Police Activities League (PALS)		8,000	7,500	7,500	-
Roseville Police Charitable Corp		-	-	-	-
Roseville Police Explorer Post #108		-	2,500	2,500	-
Roseville Utility Exploration Center		2,500	3,000	3,000	-
Rotary Club of Roseville Foundation		-	5,000	5,000	-
Seniors First		7,500	-	-	-
Sierra College Foundation		8,000	8,500	8,500	-
Sierra Forever Families		4,000	-	-	-
Society for the Blind		5,000	5,500	5,500	-
Stand Out Talent		-	3,000	3,000	-
Stand Up Placer		8,000	7,500	7,500	-
St. Vincent De Paul Society		8,500	9,000	9,000	-
Tommy Apostolos Charity Fund		-	-	-	-
VFW - Vietnam Moving Wall Memorial		2,500	-	-	-
Wellness Within Corporation		-	2,500	2,500	-
Woodcreek High School Sober Grad Night		500	-	-	-
TOTAL COMMUNITY GRANTS		\$ 264,718	\$ 276,500	\$ 308,298	\$ 45,000
RESOURCES REQUIRED		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
MATERIALS, SUPPLIES, SERVICES		\$ 264,718	\$ 308,298	\$ 308,298	\$ 45,000
TOTAL RESOURCES		\$ 264,718	\$ 308,298	\$ 308,298	\$ 45,000
FUNDING SUMMARY		2014-15 ACTUAL	2015-16 AMENDED	2015-16 MDYR BUDGET	2016-17 BUDGET
CITIZEN'S BENEFIT TRUST - COMMUNITY GRANTS		\$ 245,400	\$ 264,611	\$ 264,611	\$ 415,000
CITIZEN'S BENEFIT TRUST - REACH GRANTS		6,000	6,500	6,500	11,230
NET GENERAL FUND		13,318	37,187	37,187	53,500
TOTAL FUNDING REQUIRED		\$ 264,718	\$ 308,298	\$ 308,298	\$ 479,730

Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
00100	GENERAL FUND	MATERIALS/SERVICE/SUPPLIES	\$ 1,400,000
00216	TECHNOLOGY FEE REPLACEMENT FND	DEBT SERVICE	\$ 2,650
00220	FIRE FACILITIES	DEBT SERVICE	\$ 471
00350	WESTPARK CFD #1 IMPROVEMENT	DEBT SERVICE	\$ 83,911
00401	CHILD CARE	DEBT SERVICE	\$ 7,535
00482	WATER REHABILITATION	DEBT SERVICE	\$ 42,130
00614	BUCKLE UP BABY FUND	MATERIALS/SERVICE/SUPPLIES	\$ 9,500
00617	HARRIGAN TRUST-ADULT LITERACY	MATERIALS/SERVICE/SUPPLIES	\$ 20,000
00620	REHABILITATION ACCOUNT	MATERIALS/SERVICE/SUPPLIES	\$ 300,000
00630	MERCHANT PARKING PROGRAM	MATERIALS/SERVICE/SUPPLIES	\$ 600
00650	OPEB TRUST	MATERIALS/SERVICE/SUPPLIES SALARIES/WAGES/BENEFITS	\$ 165,000 \$ 6,379,020
00680	OLYMPUS POINTE LLD ZONE A	MATERIALS/SERVICE/SUPPLIES	\$ 173,085
00681	OLYMPUS POINTE LLD ZONE D	MATERIALS/SERVICE/SUPPLIES	\$ 56,622
00682	NWRLLD ZONE A	MATERIALS/SERVICE/SUPPLIES	\$ 479,362
00683	NWRLLD ZONE B	MATERIALS/SERVICE/SUPPLIES	\$ 20,225
00684	JOHNSON RANCH LLD ZONE A	MATERIALS/SERVICE/SUPPLIES	\$ 4,159
00685	JOHNSON RANCH LLD ZONE B	MATERIALS/SERVICE/SUPPLIES	\$ 1,695
00686	JOHNSON RANCH LLD ZONE C	MATERIALS/SERVICE/SUPPLIES	\$ 2,192
00687	JOHNSON RANCH LLD ZONE D	MATERIALS/SERVICE/SUPPLIES	\$ 289
00688	JOHNSON RANCH LLD ZONE E	MATERIALS/SERVICE/SUPPLIES	\$ 2,749
00689	NCRLLD ZONE A	MATERIALS/SERVICE/SUPPLIES	\$ 420,351
00690	NCRLLD ZONE B	MATERIALS/SERVICE/SUPPLIES	\$ 131,706
00691	NCRLLD ZONE F	MATERIALS/SERVICE/SUPPLIES	\$ 20,348
00692	NCRLLD ZONE G	MATERIALS/SERVICE/SUPPLIES	\$ 12,997
00693	INFILL LLD ZONE A	MATERIALS/SERVICE/SUPPLIES	\$ 19,797
00694	INFILL LLD ZONE B	MATERIALS/SERVICE/SUPPLIES	\$ 26,223
00695	INFILL LLD ZONE C	MATERIALS/SERVICE/SUPPLIES	\$ 35,228
00696	NRCFD 2 SD ZONE A	MATERIALS/SERVICE/SUPPLIES	\$ 63,858

*Summary of major code expenditures by Division (ORG key) as per the budget approval level mandated in the Budget Ordinance.

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Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
00697	NRCFD 2 SD ZONE B	MATERIALS/SERVICE/SUPPLIES	\$ 90,439
00698	NRCFD 2 SD ZONE C	MATERIALS/SERVICE/SUPPLIES	\$ 187,242
00699	NRCFD 2 SD ZONE E	MATERIALS/SERVICE/SUPPLIES	\$ 14,700
00710	DOWNTOWN RSVL PROP-BUS IMP	MATERIALS/SERVICE/SUPPLIES	\$ 255,606
00720	HISTORIC DISTRICT LLD	MATERIALS/SERVICE/SUPPLIES	\$ 46,721
00721	RIVERSIDE DISTRICT LLD	MATERIALS/SERVICE/SUPPLIES	\$ 30,099
00722	STONE POINT CFD #4 (SD)	MATERIALS/SERVICE/SUPPLIES	\$ 26,276
00723	INFILL CFD4-WDCRK OAKS PRESER	MATERIALS/SERVICE/SUPPLIES	\$ 11,382
00725	WESTBROOK SRV DIST CFD2	MATERIALS/SERVICE/SUPPLIES	\$ 57,280
00730	AUTOMALL CFD #1 SPEC TAX	MATERIALS/SERVICE/SUPPLIES	\$ 100
		DEBT SERVICE	\$ 543,106
		OTHER EXPENDITURES	\$ 27,835
00731	WESTBROOK CFD #1 SPEC TAX	MATERIALS/SERVICE/SUPPLIES	\$ 500
		DEBT SERVICE	\$ 759,531
		OTHER EXPENDITURES	\$ 41,114
00733	HP CAMPUS OAKS CFD #1 SPEC TAX	DEBT SERVICE	\$ 1,095,876
00741	NERCFD #2 - SPECIAL TAX	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 813,855
		OTHER EXPENDITURES	\$ 42,766
00742	NWRCFD #1 - SPECIAL TAX	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 2,186,449
		OTHER EXPENDITURES	\$ 115,947
00743	NCRCFD #1 - SPECIAL TAX	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 3,744,387
		OTHER EXPENDITURES	\$ 200,118
00744	NRCFD#1 - SPECIAL TAX	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 1,431,787
		OTHER EXPENDITURES	\$ 81,612
00745	STONERIDGE PARCEL 1 CFD #1	MATERIALS/SERVICE/SUPPLIES	\$ 500
		DEBT SERVICE	\$ 150,033
		OTHER EXPENDITURES	\$ 7,836
00746	HIGHLAND RESERVE NORTH CFD#1	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 2,540,498
		OTHER EXPENDITURES	\$ 133,079
00747	WWCFD #1 - SPECIAL TAX	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 1,179,036
		OTHER EXPENDITURES	\$ 65,633

*Summary of major code expenditures by Division (ORG key) as per the budget approval level mandated in the Budget Ordinance.

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Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
00748	CRCFD #1 - SPECIAL TAX	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 1,430,011
		OTHER EXPENDITURES	\$ 74,301
00749	WCE CFD #1 SPECIAL TAX	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 468,824
		OTHER EXPENDITURES	\$ 25,105
00750	STONERIDGE EAST CFD #1 SP TAX	MATERIALS/SERVICE/SUPPLIES	\$ 500
		DEBT SERVICE	\$ 1,184,095
		OTHER EXPENDITURES	\$ 62,300
00751	STONERIDGE WEST CFD #1	MATERIALS/SERVICE/SUPPLIES	\$ 500
		DEBT SERVICE	\$ 921,647
		OTHER EXPENDITURES	\$ 50,692
00752	STONE POINT CFD #1	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 745,103
		OTHER EXPENDITURES	\$ 39,578
00753	WESTPARK CFD #1 SPECIAL TAX	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 3,491,059
		OTHER EXPENDITURES	\$ 306,081
00754	FIDDYMENT RANCH CFD #1 SPEC TX	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 5,096,615
		OTHER EXPENDITURES	\$ 282,127
00755	LONGMEADOW CFD #1	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 576,746
		OTHER EXPENDITURES	\$ 30,523
00757	STONE POINT CFD #5	MATERIALS/SERVICE/SUPPLIES	\$ 1,000
		DEBT SERVICE	\$ 343,450
		OTHER EXPENDITURES	\$ 16,118
00758	DIAMOND CREEK CFD #1	MATERIALS/SERVICE/SUPPLIES	\$ 500
		DEBT SERVICE	\$ 433,086
		OTHER EXPENDITURES	\$ 22,888
00759	FOUNTAINS CFD #1	MATERIALS/SERVICE/SUPPLIES	\$ 500
		DEBT SERVICE	\$ 764,569
		OTHER EXPENDITURES	\$ 40,980
00767	STONERIDGE CFD #1 SRV DIST	MATERIALS/SERVICE/SUPPLIES	\$ 466,469
00768	STONERIDGE PARCEL 1 CFD #2 SD	MATERIALS/SERVICE/SUPPLIES	\$ 24,016
00769	WOODCRK WEST - SERVICES DSTRCT	MATERIALS/SERVICE/SUPPLIES	\$ 518,786
00770	CROCKER RANCH SERVICES DISTRCT	MATERIALS/SERVICE/SUPPLIES	\$ 272,669
00771	HIGHLAND RES NORTH SRV DIST	MATERIALS/SERVICE/SUPPLIES	\$ 475,324
00772	VERNON ST LIGHTING/LANDSCAPE	MATERIALS/SERVICE/SUPPLIES	\$ 48,563
00773	WOODCREEK EAST SERVICES DIST	MATERIALS/SERVICE/SUPPLIES	\$ 124,051

*Summary of major code expenditures by Division (ORG key) as per the budget approval level mandated in the Budget Ordinance.

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Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **	
00774	STONE POINT CFD #2 SRV DIST	MATERIALS/SERVICE/SUPPLIES	\$	43,396
00775	WESTPARK CFD #2 SERVICES DIST	MATERIALS/SERVICE/SUPPLIES	\$	803,864
00776	FIDDYMENT RANCH CFD #2 SD	MATERIALS/SERVICE/SUPPLIES	\$	765,862
00777	MUNICIPAL SERVICES CFD #3	MATERIALS/SERVICE/SUPPLIES	\$	129,498
00778	LONGMEADOW CFD #2 SD	MATERIALS/SERVICE/SUPPLIES	\$	102,065
00779	INFILL SERVICES CFD	MATERIALS/SERVICE/SUPPLIES	\$	31,387
00783	PLACER CTY SAFE KIDS COALITION	MATERIALS/SERVICE/SUPPLIES	\$	4,500
01000	CITY COUNCIL	MATERIALS/SERVICE/SUPPLIES	\$	358,022
		SALARIES/WAGES/BENEFITS	\$	36,600
01001	COMMUNITY CONTRIBUTIONS	MATERIALS/SERVICE/SUPPLIES	\$	53,500
01002	COMMUNITY GRANTS-CITIZENS BEN	MATERIALS/SERVICE/SUPPLIES	\$	415,000
01003	CITIZENS BEN TRUST-REACH	MATERIALS/SERVICE/SUPPLIES	\$	11,230
01010	STRATEGIC IMPROVEMENTS	MATERIALS/SERVICE/SUPPLIES	\$	60,000
01500	CITY MANAGER-ADMIN	MATERIALS/SERVICE/SUPPLIES	\$	50,358
		SALARIES/WAGES/BENEFITS	\$	1,076,815
01520	PUBLIC AFFAIRS/COMMUNICATIONS	MATERIALS/SERVICE/SUPPLIES	\$	70,075
		SALARIES/WAGES/BENEFITS	\$	694,093
01550	CABLE TV PEG FUNDS	MATERIALS/SERVICE/SUPPLIES	\$	316,210
02000	CITY ATTORNEY	MATERIALS/SERVICE/SUPPLIES	\$	174,795
		SALARIES/WAGES/BENEFITS	\$	1,646,892
02500	LITIGATION RESERVE	MATERIALS/SERVICE/SUPPLIES	\$	250,000
03100	HUMAN RESOURCES-ADMIN	MATERIALS/SERVICE/SUPPLIES	\$	474,150
		SALARIES/WAGES/BENEFITS	\$	1,285,724
03110	RISK MGMT - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$	341,785
		SALARIES/WAGES/BENEFITS	\$	105,251
03111	WORKERS COMPENSATION	MATERIALS/SERVICE/SUPPLIES	\$	3,085,137
		SALARIES/WAGES/BENEFITS	\$	261,572
03112	GENERAL LIABILITY	MATERIALS/SERVICE/SUPPLIES	\$	2,439,191
		SALARIES/WAGES/BENEFITS	\$	235,451
03113	UNEMPLOYMENT INSURANCE	MATERIALS/SERVICE/SUPPLIES	\$	150,000
03114	VISION INSURANCE	MATERIALS/SERVICE/SUPPLIES	\$	168,000
03115	DENTAL INSURANCE	MATERIALS/SERVICE/SUPPLIES	\$	1,605,358
03117	SECTION 125 CAFETERIA PLAN	MATERIALS/SERVICE/SUPPLIES	\$	379,840

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Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
03118	POST-RETIREMENT/ACCRUALS	SALARIES/WAGES/BENEFITS	\$ 7,155,284
03120	IT STRATEGIC PLAN/DIGITAL COMM	MATERIALS/SERVICE/SUPPLIES	\$ 632,295
		SALARIES/WAGES/BENEFITS	\$ 1,048,748
03121	IT DATABASE AND CLOUD	MATERIALS/SERVICE/SUPPLIES	\$ 133,120
		SALARIES/WAGES/BENEFITS	\$ 530,085
03122	IT NETWORK INFRASTRUCTURE	MATERIALS/SERVICE/SUPPLIES	\$ 536,377
		SALARIES/WAGES/BENEFITS	\$ 1,160,866
		DEBT SERVICE	\$ 36,000
03123	IT BUSINESS SOLUTIONS	MATERIALS/SERVICE/SUPPLIES	\$ 53,573
		SALARIES/WAGES/BENEFITS	\$ 830,493
03124	IT GIS AND ANALYTICS	MATERIALS/SERVICE/SUPPLIES	\$ 98,933
		SALARIES/WAGES/BENEFITS	\$ 573,243
03125	IT CLIENT SERVICES	MATERIALS/SERVICE/SUPPLIES	\$ 333,547
		SALARIES/WAGES/BENEFITS	\$ 845,487
03126	IT ENTERPRISE SOLUTIONS	MATERIALS/SERVICE/SUPPLIES	\$ 206,124
		SALARIES/WAGES/BENEFITS	\$ 708,001
03200	CITY CLERK - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 76,450
		SALARIES/WAGES/BENEFITS	\$ 806,237
03201	ELECTIONS	MATERIALS/SERVICE/SUPPLIES	\$ 100,000
03300	CENTRAL SERV - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 27,413
		SALARIES/WAGES/BENEFITS	\$ 512,308
03311	PURCHASING	MATERIALS/SERVICE/SUPPLIES	\$ 51,057
		SALARIES/WAGES/BENEFITS	\$ 645,841
03312	CENTRAL STORES	MATERIALS/SERVICE/SUPPLIES	\$ 76,847
		SALARIES/WAGES/BENEFITS	\$ 262,943
03321	AUTOMOTIVE SERVICES	MATERIALS/SERVICE/SUPPLIES	\$ 3,903,716
		SALARIES/WAGES/BENEFITS	\$ 2,108,205
		CAPITAL EQUIPMENT	\$ 85,000
03322	VEHICLE REPLACEMENT	MATERIALS/SERVICE/SUPPLIES	\$ 10,300
		SALARIES/WAGES/BENEFITS	\$ 113,061
		CAPITAL EQUIPMENT	\$ 4,749,150
03331	BUILDING MAINTENANCE	MATERIALS/SERVICE/SUPPLIES	\$ 1,333,882
		SALARIES/WAGES/BENEFITS	\$ 1,062,126
03332	JANITORIAL	MATERIALS/SERVICE/SUPPLIES	\$ 471,217
		SALARIES/WAGES/BENEFITS	\$ 504,911
05000	FINANCE ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 168,288
		SALARIES/WAGES/BENEFITS	\$ 515,990
05005	316 VERNON	MATERIALS/SERVICE/SUPPLIES	\$ 125,000

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Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
05010	BUDGET	MATERIALS/SERVICE/SUPPLIES	\$ 59,744
		SALARIES/WAGES/BENEFITS	\$ 604,543
05011	PAYROLL	MATERIALS/SERVICE/SUPPLIES	\$ 52,645
		SALARIES/WAGES/BENEFITS	\$ 663,455
05012	ACCOUNTS PAYABLE	MATERIALS/SERVICE/SUPPLIES	\$ 23,006
		SALARIES/WAGES/BENEFITS	\$ 406,331
05030	CASH MANAGEMENT	MATERIALS/SERVICE/SUPPLIES	\$ 60,263
		SALARIES/WAGES/BENEFITS	\$ 264,228
05040	UTIL BILLING & SERV - ADMIN	MATERIALS/SERVICE/SUPPLIES	\$ 3,683
		SALARIES/WAGES/BENEFITS	\$ 322,170
05041	UTIL BILLING & SRV-FIELD SRV	MATERIALS/SERVICE/SUPPLIES	\$ 134,022
		SALARIES/WAGES/BENEFITS	\$ 1,022,990
05042	UTIL BILLING & SRV - BILLING	MATERIALS/SERVICE/SUPPLIES	\$ 978,193
		SALARIES/WAGES/BENEFITS	\$ 705,648
05043	UTIL BILLING & SRV-SERVICES	MATERIALS/SERVICE/SUPPLIES	\$ 33,145
		SALARIES/WAGES/BENEFITS	\$ 1,449,441
05050	GEN ACCT - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 161,276
		SALARIES/WAGES/BENEFITS	\$ 453,010
05053	GEN ACCT - ACCOUNTING	MATERIALS/SERVICE/SUPPLIES	\$ 8,774
		SALARIES/WAGES/BENEFITS	\$ 441,540
05500	POLICE - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 824,598
		SALARIES/WAGES/BENEFITS	\$ 2,228,143
		CAPITAL EQUIPMENT	\$ 6,000
05511	RECORDS-PROPERTY	MATERIALS/SERVICE/SUPPLIES	\$ 108,781
		SALARIES/WAGES/BENEFITS	\$ 1,812,777
05513	POLICE COMMUNICATIONS	MATERIALS/SERVICE/SUPPLIES	\$ 105,976
		SALARIES/WAGES/BENEFITS	\$ 3,017,439
05514	COMMUNITY SERVICES	MATERIALS/SERVICE/SUPPLIES	\$ 32,954
		SALARIES/WAGES/BENEFITS	\$ 1,874,137
05531	PATROL	MATERIALS/SERVICE/SUPPLIES	\$ 1,086,836
		SALARIES/WAGES/BENEFITS	\$ 15,915,981
05532	INVESTIGATIONS	MATERIALS/SERVICE/SUPPLIES	\$ 114,596
		SALARIES/WAGES/BENEFITS	\$ 4,191,572
05533	ANIMAL CONTROL	MATERIALS/SERVICE/SUPPLIES	\$ 796,732
		SALARIES/WAGES/BENEFITS	\$ 307,975
05535	POLICE TRAFFIC	MATERIALS/SERVICE/SUPPLIES	\$ 45,144
		SALARIES/WAGES/BENEFITS	\$ 1,408,180
05540	POLICE - VEHICLES	MATERIALS/SERVICE/SUPPLIES	\$ 1,939,481

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Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
05550	FORFEITED PROPERTY	MATERIALS/SERVICE/SUPPLIES	\$ 50,000
05552	FEDERAL ASSET SEIZURE ACCT	MATERIALS/SERVICE/SUPPLIES	\$ 7,788
05555	POLICE EVIDENCE FUNDS	MATERIALS/SERVICE/SUPPLIES	\$ 1
06000	FIRE - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 41,985
		SALARIES/WAGES/BENEFITS	\$ 696,395
06011	FIRE PREVENTION	MATERIALS/SERVICE/SUPPLIES	\$ 110,299
		SALARIES/WAGES/BENEFITS	\$ 1,560,679
		OTHER EXPENDITURES	\$ 100
06021	FIRE OPERATIONS	MATERIALS/SERVICE/SUPPLIES	\$ 344,989
		SALARIES/WAGES/BENEFITS	\$ 21,803,677
06022	FIRE TRAINING	MATERIALS/SERVICE/SUPPLIES	\$ 151,245
		SALARIES/WAGES/BENEFITS	\$ 418,491
06025	FIRE LOGISTICS	MATERIALS/SERVICE/SUPPLIES	\$ 1,788,883
		SALARIES/WAGES/BENEFITS	\$ 551,072
06040	EMERGENCY PREPAREDNESS	MATERIALS/SERVICE/SUPPLIES	\$ 64,600
		SALARIES/WAGES/BENEFITS	\$ 232,909
06500	LIBRARY - ADMIN/TECH SERVICES	MATERIALS/SERVICE/SUPPLIES	\$ 673,801
		SALARIES/WAGES/BENEFITS	\$ 3,016,518
08100	DEVELOP & OPERATIONS-ADMIN	MATERIALS/SERVICE/SUPPLIES	\$ 74,992
		SALARIES/WAGES/BENEFITS	\$ 580,683
08110	HOUSING ADMIN	MATERIALS/SERVICE/SUPPLIES	\$ 14,865
		SALARIES/WAGES/BENEFITS	\$ 1,083,204
08113	LOW & MOD INC SUCCESSOR AGENCY	MATERIALS/SERVICE/SUPPLIES	\$ 112,310
08115	CDBG	MATERIALS/SERVICE/SUPPLIES	\$ 868,658
08116	HOME PROGRAM	MATERIALS/SERVICE/SUPPLIES	\$ 694,937
08117	CAL/HOME	MATERIALS/SERVICE/SUPPLIES	\$ 63,000
08119	BEGIN PROGRAM	MATERIALS/SERVICE/SUPPLIES	\$ 103,500
08120	HOUSING AUTHORITY-H/A	MATERIALS/SERVICE/SUPPLIES	\$ 4,654,928
08121	HOUSING TRUST FUND	MATERIALS/SERVICE/SUPPLIES	\$ 11,000
08123	ECONOMIC DEVELOPMENT	MATERIALS/SERVICE/SUPPLIES	\$ 238,408
		SALARIES/WAGES/BENEFITS	\$ 609,585
08125	HOME IMPROVEMENT	MATERIALS/SERVICE/SUPPLIES	\$ 63,000
08127	AFFORDABLE HOUSING	MATERIALS/SERVICE/SUPPLIES	\$ 339,763
08150	SUCCESSOR AGENCY-RDA-ADMIN	MATERIALS/SERVICE/SUPPLIES	\$ 256,143

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Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
08200	PLANNING	MATERIALS/SERVICE/SUPPLIES	\$ 93,780
		SALARIES/WAGES/BENEFITS	\$ 1,544,981
08300	PUBLIC WORKS - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 9,070
		SALARIES/WAGES/BENEFITS	\$ 351,792
08320	PUBLIC WORKS-ENGINEERING	MATERIALS/SERVICE/SUPPLIES	\$ 115,354
		SALARIES/WAGES/BENEFITS	\$ 2,446,979
08321	FLOOD ALERT	MATERIALS/SERVICE/SUPPLIES	\$ 83,974
		SALARIES/WAGES/BENEFITS	\$ 137,759
08327	HWY USERS TAX OPERATIONS	MATERIALS/SERVICE/SUPPLIES	\$ 10,000
08328	ROADWAY OPERATIONS	MATERIALS/SERVICE/SUPPLIES	\$ 10,000
08329	GAS TAX OPER EXP	MATERIALS/SERVICE/SUPPLIES	\$ 10,000
08330	TRAFFIC MITIGATION OPER EXP	MATERIALS/SERVICE/SUPPLIES	\$ 10,000
08335	TRAFFIC SIGNALS	MATERIALS/SERVICE/SUPPLIES	\$ 468,447
		SALARIES/WAGES/BENEFITS	\$ 1,457,396
08336	TRAFFIC SIGNAL VEHICLE REPL	CAPITAL EQUIPMENT	\$ 35,000
08340	STREETS - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 93,738
		SALARIES/WAGES/BENEFITS	\$ 765,596
08342	STREET DRAINAGE	MATERIALS/SERVICE/SUPPLIES	\$ 330,714
		SALARIES/WAGES/BENEFITS	\$ 196,441
08343	PAVING	MATERIALS/SERVICE/SUPPLIES	\$ 860,860
		SALARIES/WAGES/BENEFITS	\$ 1,630,505
08344	STREET SIGNS	MATERIALS/SERVICE/SUPPLIES	\$ 111,810
		SALARIES/WAGES/BENEFITS	\$ 291,733
08345	STREET MARKINGS	MATERIALS/SERVICE/SUPPLIES	\$ 127,610
		SALARIES/WAGES/BENEFITS	\$ 322,938
08348	STREET SWEEPING	MATERIALS/SERVICE/SUPPLIES	\$ 560,006
		SALARIES/WAGES/BENEFITS	\$ 643,179
08350	TRANSPORTATION-ADMIN	MATERIALS/SERVICE/SUPPLIES	\$ 181,611
		SALARIES/WAGES/BENEFITS	\$ 548,612
08351	TRANSIT SERVICES	MATERIALS/SERVICE/SUPPLIES	\$ 4,790,003
		CAPITAL EQUIPMENT	\$ 75,000
08352	TRANSPORTATION	MATERIALS/SERVICE/SUPPLIES	\$ 409,656
		SALARIES/WAGES/BENEFITS	\$ 838,435
08354	CTSA	MATERIALS/SERVICE/SUPPLIES	\$ 366,820
		SALARIES/WAGES/BENEFITS	\$ 143,108
		CAPITAL EQUIPMENT	\$ 26,200

*Summary of major code expenditures by Division (ORG key) as per the budget approval level mandated in the Budget Ordinance.

**Rerimbursed expenditures are excluded. Major categories of divisions with \$0 budget do not appear

Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
08400	ENV UTIL - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 462,405
		SALARIES/WAGES/BENEFITS	\$ 1,774,205
08402	ENV UTIL - ASSET MANAGEMENT	MATERIALS/SERVICE/SUPPLIES	\$ 22,029
		SALARIES/WAGES/BENEFITS	\$ 396,882
08405	ENV UTIL-ENGINEERING	MATERIALS/SERVICE/SUPPLIES	\$ 206,206
		SALARIES/WAGES/BENEFITS	\$ 3,193,542
08410	SOLID WASTE - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 205,669
		SALARIES/WAGES/BENEFITS	\$ 729,050
08411	RESIDENTIAL SOLID WASTE PICKUP	MATERIALS/SERVICE/SUPPLIES	\$ 1,648,263
		SALARIES/WAGES/BENEFITS	\$ 1,561,372
08412	COMMERCIAL SOLID WASTE PICKUP	MATERIALS/SERVICE/SUPPLIES	\$ 1,646,752
		SALARIES/WAGES/BENEFITS	\$ 2,213,247
08413	SOLID WASTE MAINTENANCE	MATERIALS/SERVICE/SUPPLIES	\$ 141,884
		SALARIES/WAGES/BENEFITS	\$ 514,906
08414	DISPOSAL	MATERIALS/SERVICE/SUPPLIES	\$ 6,842,300
08415	RECYCLING	MATERIALS/SERVICE/SUPPLIES	\$ 361,646
		SALARIES/WAGES/BENEFITS	\$ 330,032
08416	GREEN WASTE PROGRAM	MATERIALS/SERVICE/SUPPLIES	\$ 1,200,556
		SALARIES/WAGES/BENEFITS	\$ 544,437
08417	SOLID WASTE CAPITAL PURCHASES	CAPITAL EQUIPMENT	\$ 190,000
08419	ORGANIC WASTE PROGRAM	MATERIALS/SERVICE/SUPPLIES	\$ 236,150
08420	WASTEWATER - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 292,888
		SALARIES/WAGES/BENEFITS	\$ 634,028
08421	WATER TREATMENT/STORAGE PLANT	MATERIALS/SERVICE/SUPPLIES	\$ 4,316,426
		SALARIES/WAGES/BENEFITS	\$ 843,276
		CAPITAL EQUIPMENT	\$ 25,891
08422	DRY CREEK WWTP	MATERIALS/SERVICE/SUPPLIES	\$ 6,080,941
		SALARIES/WAGES/BENEFITS	\$ 1,029,836
08424	ENVIRONMENTAL UTIL-MAINTENANCE	MATERIALS/SERVICE/SUPPLIES	\$ 468,543
		SALARIES/WAGES/BENEFITS	\$ 4,179,265
08425	INDUSTRIAL TREATMENT	MATERIALS/SERVICE/SUPPLIES	\$ 72,246
		SALARIES/WAGES/BENEFITS	\$ 347,629
08426	ENVIRONMENTAL TREATMENT LAB	MATERIALS/SERVICE/SUPPLIES	\$ 434,240
		SALARIES/WAGES/BENEFITS	\$ 950,509
08427	PLEASANT GROVE WWTP	MATERIALS/SERVICE/SUPPLIES	\$ 6,003,040
		SALARIES/WAGES/BENEFITS	\$ 835,797
08430	WATER - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 912,094
		SALARIES/WAGES/BENEFITS	\$ 246,705

*Summary of major code expenditures by Division (ORG key) as per the budget approval level mandated in the Budget Ordinance.

**Rerimbursed expenditures are excluded. Major categories of divisions with \$0 budget do not appear

Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
08431	WATER DISTRIBUTION	MATERIALS/SERVICE/SUPPLIES	\$ 1,799,933
		SALARIES/WAGES/BENEFITS	\$ 4,394,698
		CAPITAL EQUIPMENT	\$ 54,120
08432	WASTEWATER COLLECTION	MATERIALS/SERVICE/SUPPLIES	\$ 1,418,751
		SALARIES/WAGES/BENEFITS	\$ 3,901,982
		CAPITAL EQUIPMENT	\$ 45,000
08433	WATER EFFICIENCY	MATERIALS/SERVICE/SUPPLIES	\$ 1,176,395
		SALARIES/WAGES/BENEFITS	\$ 1,004,761
08441	RECYCLED WATER	MATERIALS/SERVICE/SUPPLIES	\$ 412,742
		SALARIES/WAGES/BENEFITS	\$ 124,368
08450	STORM WATER MANAGEMENT EU	MATERIALS/SERVICE/SUPPLIES	\$ 259,009
		SALARIES/WAGES/BENEFITS	\$ 505,218
08500	PARKS & REC - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 229,760
		SALARIES/WAGES/BENEFITS	\$ 1,225,959
08501	PARK DEVELOPMENT	MATERIALS/SERVICE/SUPPLIES	\$ 93,657
		SALARIES/WAGES/BENEFITS	\$ 526,076
08511	ADULT SPORTS	MATERIALS/SERVICE/SUPPLIES	\$ 153,199
		SALARIES/WAGES/BENEFITS	\$ 235,391
08514	ADULT & SENIOR ACTIVITIES	MATERIALS/SERVICE/SUPPLIES	\$ 58,626
		SALARIES/WAGES/BENEFITS	\$ 177,912
08515	CULTURAL ARTS & ENTERTAINMENT	MATERIALS/SERVICE/SUPPLIES	\$ 8,150
		SALARIES/WAGES/BENEFITS	\$ 50,081
08517	YOUTH & TEEN SERVICES	MATERIALS/SERVICE/SUPPLIES	\$ 66,081
		SALARIES/WAGES/BENEFITS	\$ 216,243
08518	YOUTH CLASSES	MATERIALS/SERVICE/SUPPLIES	\$ 169,037
		SALARIES/WAGES/BENEFITS	\$ 399,127
08519	COMMUNITY SPECIAL EVENTS	MATERIALS/SERVICE/SUPPLIES	\$ 210,353
		SALARIES/WAGES/BENEFITS	\$ 134,517
08520	MAIDU PARK	MATERIALS/SERVICE/SUPPLIES	\$ 76,320
		SALARIES/WAGES/BENEFITS	\$ 285,224
08521	MAIDU MUSEUM-HISTORIC SITE	MATERIALS/SERVICE/SUPPLIES	\$ 99,737
		SALARIES/WAGES/BENEFITS	\$ 423,117
08525	MAHANY PARK	MATERIALS/SERVICE/SUPPLIES	\$ 264,516
		SALARIES/WAGES/BENEFITS	\$ 650,761
08526	TOWN SQUARE	MATERIALS/SERVICE/SUPPLIES	\$ 180,435
		SALARIES/WAGES/BENEFITS	\$ 203,875
08527	UTIL EXPLORATION CENTER	MATERIALS/SERVICE/SUPPLIES	\$ 121,291
		SALARIES/WAGES/BENEFITS	\$ 572,749

*Summary of major code expenditures by Division (ORG key) as per the budget approval level mandated in the Budget Ordinance.

**Reimbursed expenditures are excluded. Major categories of divisions with \$0 budget do not appear

Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
08530	AQUATICS	MATERIALS/SERVICE/SUPPLIES	\$ 705,511
		SALARIES/WAGES/BENEFITS	\$ 1,468,105
08541	ADVENTURE CLUBS	MATERIALS/SERVICE/SUPPLIES	\$ 430,170
		SALARIES/WAGES/BENEFITS	\$ 3,398,452
08542	PRESCHOOL EDUCATION	MATERIALS/SERVICE/SUPPLIES	\$ 71,864
		SALARIES/WAGES/BENEFITS	\$ 363,767
08545	AFTER SCHOOL EDUCATION-SAFETY	MATERIALS/SERVICE/SUPPLIES	\$ 43,846
		SALARIES/WAGES/BENEFITS	\$ 402,286
08546	CDE-CHILD DEVELOP PROGRAM	MATERIALS/SERVICE/SUPPLIES	\$ 92,246
		SALARIES/WAGES/BENEFITS	\$ 716,406
08550	PARK OPERATIONS - ADMIN	MATERIALS/SERVICE/SUPPLIES	\$ 68,092
		SALARIES/WAGES/BENEFITS	\$ 744,924
08551	OPEN SPACE/TREE MAINTENANCE	MATERIALS/SERVICE/SUPPLIES	\$ 644,910
		SALARIES/WAGES/BENEFITS	\$ 1,219,738
08555	PARKS - MAINTENANCE	MATERIALS/SERVICE/SUPPLIES	\$ 3,102,134
		SALARIES/WAGES/BENEFITS	\$ 2,993,699
08571	DIAMOND OAKS	MATERIALS/SERVICE/SUPPLIES	\$ 996,380
08572	WOODCREEK GOLF CLUB	MATERIALS/SERVICE/SUPPLIES	\$ 1,012,500
08600	ELECTRIC - ADMINISTRATION	MATERIALS/SERVICE/SUPPLIES	\$ 1,525,061
		SALARIES/WAGES/BENEFITS	\$ 2,936,720
		CAPITAL EQUIPMENT	\$ 29,000
08605	ELEC REGULATORY/LEGISLATIVE	MATERIALS/SERVICE/SUPPLIES	\$ 850,045
		SALARIES/WAGES/BENEFITS	\$ 889,637
08611	ELECTRIC ENGINEERING	MATERIALS/SERVICE/SUPPLIES	\$ 232,449
		SALARIES/WAGES/BENEFITS	\$ 3,349,505
08614	ELECT CONST & MAINTENANCE	MATERIALS/SERVICE/SUPPLIES	\$ 3,624,339
		SALARIES/WAGES/BENEFITS	\$ 12,828,448
		CAPITAL EQUIPMENT	\$ 197,500
08615	STREETLIGHTS	MATERIALS/SERVICE/SUPPLIES	\$ 647,500
08616	ELECTRIC POWER PLANT	MATERIALS/SERVICE/SUPPLIES	\$ 8,263,787
		SALARIES/WAGES/BENEFITS	\$ 5,499,804
		CAPITAL EQUIPMENT	\$ 415,000
08621	POWER SUPPLY	MATERIALS/SERVICE/SUPPLIES	\$ 70,663,759
		SALARIES/WAGES/BENEFITS	\$ 2,121,094
08623	RETAIL SERVICES/PUB BENEFITS	MATERIALS/SERVICE/SUPPLIES	\$ 4,029,587
		SALARIES/WAGES/BENEFITS	\$ 1,721,915
08630	ELECTRIC VEHICLES	CAPITAL EQUIPMENT	\$ 696,400

*Summary of major code expenditures by Division (ORG key) as per the budget approval level mandated in the Budget Ordinance.

**Rerimbursed expenditures are excluded. Major categories of divisions with \$0 budget do not appear

Divisional Budget Summary - FY2016-17*

ORG Key #	ORG Key Name	Major Category	Budget **
08800	DEVELOPMENT SERVICES-ADMIN	MATERIALS/SERVICE/SUPPLIES	\$ 56,000
		SALARIES/WAGES/BENEFITS	\$ 712,841
08801	DEV SRV-PERMIT CENTER	MATERIALS/SERVICE/SUPPLIES	\$ 76,720
		SALARIES/WAGES/BENEFITS	\$ 1,323,743
08810	DEV SRV-BUILDING INSPECTION	MATERIALS/SERVICE/SUPPLIES	\$ 597,000
		SALARIES/WAGES/BENEFITS	\$ 1,937,676
08815	DEV SRV-CODE ENFORCEMENT	MATERIALS/SERVICE/SUPPLIES	\$ 87,506
		SALARIES/WAGES/BENEFITS	\$ 534,594
08820	DEV SRV-ENGINEERING	MATERIALS/SERVICE/SUPPLIES	\$ 172,500
		SALARIES/WAGES/BENEFITS	\$ 2,322,094
08875	DEV SRV-TECHNOLOGY INV ACCT	MATERIALS/SERVICE/SUPPLIES	\$ 435,000
09000	ANNEXATION PAYMENTS (COUNTY)	MATERIALS/SERVICE/SUPPLIES	\$ 4,300,000
09807	316 VERNON DEBT SERVICE	DEBT SERVICE	\$ 867,538
09812	CITY SPECIAL ASSESSMENTS	MATERIALS/SERVICE/SUPPLIES	\$ 84,560
09834	2015 WATER REFUNDING BONDS	DEBT SERVICE	\$ 4,130,950
09845	2004 ELECTRIC COP'S	DEBT SERVICE	\$ 4,950
09851	03 GOLF COURSE COPS REFUNDING	DEBT SERVICE	\$ 491,587
09853	2014 ELEC REVENUE REF BONDS	DEBT SERVICE	\$ 828,950
09854	2013 ELECTRIC REV BDS SERIES A	DEBT SERVICE	\$ 6,427,400
09855	2008 ELECTRIC COPS SERIES A	DEBT SERVICE	\$ 3,007,680
09857	2009 ELECTRIC COPS	DEBT SERVICE	\$ 2,400,619
09858	2010 ELECTRIC REVENUE BONDS	DEBT SERVICE	\$ 3,124,175
09859	2012 ELECTRIC DIR PURCH BONDS	DEBT SERVICE	\$ 726,750
09895	2006A RDA PROJ TAX EXEMPT TAB	DEBT SERVICE	\$ 646,525
09896	2006AT RDA PROJ TAXABLE TAB	DEBT SERVICE	\$ 255,738
09897	2006HT HSG TAXABLE TAB	DEBT SERVICE	\$ 447,719
09898	2014 RDA REF PROJ TAX ALLOC	DEBT SERVICE	\$ 821,813
09910	2006 HRN/WCE CFDS	DEBT SERVICE	\$ 2,965,772
09912	2007 CR/STN PCL1/STN-E/STN-W-A	DEBT SERVICE	\$ 2,933,813
09913	2007 CR/STN PCL1/STN-E/STN-W-B	DEBT SERVICE	\$ 698,115
09914	2012 NE-NW REFUNDING CFD	DEBT SERVICE	\$ 2,947,375

*Summary of major code expenditures by Division (ORG key) as per the budget approval level mandated in the Budget Ordinance.

**Remimbursed expenditures are excluded. Major categories of divisions with \$0 budget do not appear

Capital Improvement Projects - Alphabetical Order

Capital Improvement Projects

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General Projects.....	C-9
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Park Projects.....	C-90
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Street Projects.....	C-48
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Capital Improvement Project Overview



Capital Improvement Project Overview

The City of Roseville's Capital budget includes two types of projects: Capital Improvement Projects (CIPs) and annual projects. CIPs include those projects that are related to the acquisition, expansion, or rehabilitation of an element of the City's infrastructure (physical improvements such as streets, water, wastewater, public buildings, parks, etc.). These projects typically have projected end dates. Annual projects include, but are not limited to, maintenance work that is done on a routine basis, such as bike trail maintenance, open space maintenance, and roadway maintenance. Annual projects are ongoing.

CIP budgets are approved by project in total; however, City Council approval is also required for the actual implementation of the projects. Purchase orders, service agreements, and contracts related to these projects are presented to, and approved by, City Council.

The CIPs presented in detail in the budget are only those projects that are requesting new budget approval in the upcoming budget year. There are many on-going CIPs that will be carried forward. These are included in summary in the budget book. The budget for CIPs is approved on a total project basis. After the end of each fiscal year, an analysis is prepared that compares each approved CIP budget to what was spent during the prior year. Any remaining budgeted, yet unspent, funds associated with CIPs are carried forward to the current year's budget using a budget adjustment prepared in the Finance Department. The budget adjustment is presented to City Council for approval in mid to late October. After approval, the working budget is updated to include the approved carry forward spending for these projects. The CIPs that have carry forward funds and no new funding in the

upcoming budget year do not have detailed CIP sheets in the current CIPs section of the budget book. There is a list of the known carry forward projects including the project title and unspent dollar amount as of April. Unspent budgets are not carried forward on annual projects. The CIP budget sheets provide readers with cost estimates, methods of financing, and expenditure estimates for the City of Roseville's annual projects and CIPs for the next five years.

Accordingly, the document includes descriptions, classifications, costs, funding source, and other relevant facts for the City of Roseville's CIPs and annual projects for FY2016-17 through FY2020-21.

Projects are classified as follows:

- Public Buildings
- General Projects
- Drainage Projects
- Street Projects
- Water Projects
- Wastewater Projects
- Park Projects
- Electric Projects

This section contains project budget sheets that include the funding source(s) for each capital project as well as a summary of the total cost of all projects in each category over the next five years. An additional summary contained within this section provides summary information on the City's CIPs. The table titled "Capital Improvement Program Summary: FY2016-17 through FY2020-21" is a high-level view of the total of the project expenditures in each category of capital expenses over the next five years. A glossary of terms and common acronyms is included in the Appendices section to define terms and abbreviations used in the project descriptions.

Capital Improvement Project Overview

How to read this section:

CAPITAL IMPROVEMENT PROJECT BUDGET							
1 CLASSIFICATION OF PROJECT: <i>Project Classification</i>		PROJECT NUMBER: <i>Org Key/Project Number</i>		2 ORIGINAL APPROPRIATION DATE: <i>Month - YR</i>		3	
4 PROJECT TITLE: <i>Project Title:</i>				TENTATIVE COMPLETION DATE: <i>Month - YR</i>			
6 DESCRIPTION: <i>Description of Project</i>				5			
SAMPLE							
COST ESTIMATE	PRIOR YEARS	FY 20XX-XX (Current Year)	FY 20XX-XX (Current Year +1)	FY 20XX-XX (Current Year +2)	FY 20XX-XX (Current Year +3)	FY 20XX-XX (Current Year +4)	TOTAL PROJECT
7 Labor	\$100,000	\$40,000	\$0	\$0	\$0	\$0	\$140,000
Architectural/Engineering Services	80,000	0	0	0	0	0	80,000
Site Acquisition & Preparation	100	0	0	0	0	0	100
Material / Equipment / Furniture	0	0	0	0	0	0	0
Construction	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0
TOTAL	\$180,100	\$40,000	\$0	\$0	\$0	\$0	\$220,100
SOURCE OF FUNDS	PRIOR YEARS	FY 20XX-XX	FY 20XX-XX	FY 20XX-XX	FY 20XX-XX	FY 20XX-XX	TOTAL PROJECT
8 Funding Source	\$180,100	\$40,000	\$0	\$0	\$0	\$0	\$220,100
TOTAL	\$180,100	\$40,000	\$0	\$0	\$0	\$0	\$220,100

Project Information

The information at the top of each project sheet includes:

- 1 **Classification of Project** – The project sheets are grouped by project classification (example: General, Streets, Water, Electric...).
- 2 **Project Number** – The projects sheets are ordered by Project Number within each classification group. See footnote below for explanation of numbering process.
- 3 **Original Appropriation Date** – This information is included for Capital Improvement Projects and typically not for Annual Projects. It is the month and year that funds were initially appropriated to be spent on the project.
- 4 **Project Title** – This is the working title for the project.
- 5 **Tentative Completion Date** – This is the month and year that the project manager anticipates the project being complete.
- 6 **Description** – This section includes the details on the work being done.
- 7 **Cost Estimate** – This is the financial measure of the total amount anticipated to be spent for each year of the project by activity (Labor, Architectural Services, Site Acquisition, Material, Construction and Other). The “Prior Years” column includes the actual amount spent from project inception to the end of the prior fiscal year for CIPs and last year’s actual spending for Annual projects. The next five columns include anticipated spending for each year. Finally, the “Total Project” column calculates the total cost of the project from inception to five years in the future.
- 8 **Source of Funds** – This section indicates how each project will be funded. It includes other City funds, grants and other anticipated revenues. If the project is funded by more than one City fund, the entire amount is budgeted in one fund (typically the fund that the sponsoring department is funded by). The amounts funded by other City funds are then transferred into the fund where the project is budgeted. The “Prior Years” column includes the actual amounts funded from each source since project inception through the end of the prior fiscal year. The “Total Project” column calculates the total funding of the project by each source, from inception to five years in the future.

Project Numbering Sequence

Annual project numbers are comprised of five digits. The first digit indicates the department that is managing the project, the second digit indicates the type of project (annual or CIP), the third digit indicates the project category (Water, Wastewater, Parks...etc.) and the last two digits are sequential. Example: For Annual Project number 21501: 2=Public Works, 1=Annual project, 5=Street Project and 01 is the sequential order in which the project number was assigned.

CIP projects include the five digit number described above followed by an additional six digit number. The first two digits indicate the fiscal year in which the project first received funding, the next digit is the division (Environmental utilities, Electric...etc.), the next digit indicates the project category (Water, Wastewater, Parks...etc.) and the last two digits are sequential. Example: CIP number 30003/133002: 3=Environmental Utilities, 0=CIP, 0=Water and 03 is the sequential order in which the project number was assigned. Following the slash 13=project was initially funded in FY2012-13, 3=Environmental Utilities, 0=Water and 02 is the sequential order in which the project number was assigned.

CAPITAL IMPROVEMENT PROGRAM SUMMARY: FY2016-17 through FY2020-21

	<u>PRIOR YEARS</u>	<u>FY 2016-17</u>	<u>FY 2017-18</u>	<u>FY 2018-19</u>	<u>FY 2019-20</u>	<u>FY 2020-21</u>	<u>TOTAL PROJECT</u>
PUBLIC BUILDING PROJECTS	\$1,231,001	\$515,000	\$0	\$752,000	\$9,600,000	\$0	12,098,001
GENERAL PROJECTS	31,038,391	13,490,155	14,512,231	12,186,578	5,055,397	4,307,055	80,589,807
DRAINAGE PROJECTS	266,963	216,500	270,530	275,000	250,000	250,000	1,528,993
STREET PROJECTS	18,404,476	11,630,525	2,872,025	2,872,025	2,522,025	2,522,025	40,823,101
WATER PROJECTS	3,239,684	5,785,500	8,570,500	1,070,500	1,070,500	665,500	20,402,184
WASTEWATER PROJECTS	1,445,855	3,113,500	2,425,000	2,425,000	2,425,000	1,375,000	13,209,355
PARK PROJECTS	7,189,269	846,000	3,666,000	766,000	66,000	66,000	12,599,269
GOLF COURSE PROJECTS	1,300,601	90,000	45,000	45,000	0	0	1,480,601
ELECTRIC PROJECTS	8,657,123	21,830,000	26,070,000	11,005,000	4,085,000	3,400,000	75,047,123
TOTAL CAPITAL IMPROVEMENT PROGRAM REQUIREMENTS	\$72,773,363	\$57,517,180	\$58,431,286	\$31,397,103	\$25,073,922	\$12,585,580	\$257,778,434

PUBLIC BUILDING PROJECTS

<u>PROJECT TITLE</u>	<u>Page</u>	<u>PROJECT NUMBER</u>	<u>PRIOR YEARS</u>	<u>FY 2016-17</u>	<u>FY 2017-18</u>	<u>FY 2018-19</u>	<u>FY 2019-20</u>	<u>FY 2020-21</u>	<u>TOTAL PROJECT</u>
LIBRARY REMODEL	C-7	10001 / 051003	\$1,031,001	\$315,000	\$0	\$0	\$0	\$0	\$1,346,001
WRSP COMMUNITY CENTER & PARK MASTER PLAN	C-8	10005 / 091003	\$200,000	\$200,000	\$0	\$752,000	\$9,600,000	\$0	\$10,752,000
TOTAL			\$1,231,001	\$515,000	\$0	\$752,000	\$9,600,000	\$0	\$12,098,001

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
PUBLIC BUILDING		10001 / 051003		Jul-04					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
LIBRARY REMODEL		Jun-17							
DESCRIPTION									
Main Library Phase II ADA public restroom		\$150,000							
Paint Carnegie		\$20,000							
Maidu Library Interior Paint		\$30,000							
Maidu Library Card Key Install		\$15,000							
Maidu Parking Lot		\$100,000							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$938	\$0	\$0	\$0	\$0	\$0	\$938	
Architectural/Engineering Services		101,481	0	0	0	0	0	101,481	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		11,595	0	0	0	0	0	11,595	
Construction		916,987	315,000	0	0	0	0	1,231,987	
Other		0	0	0	0	0	0	0	
TOTAL		\$1,031,001	\$315,000	\$0	\$0	\$0	\$0	\$1,346,001	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
General CIP Rehabilitation Fund		\$1,031,001	\$315,000	\$0	\$0	\$0	\$0	\$1,346,001	
TOTAL		\$1,031,001	\$315,000	\$0	\$0	\$0	\$0	\$1,346,001	

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
PUBLIC BUILDING		10005 / 091003		Jul-08				
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
WRSP COMMUNITY CENTER & PARK MASTER PLAN		Jun-20						
DESCRIPTION								
This project is located in the WRSP Park Site F-56, adjacent to the historic Fiddymment House & joint-use high school site. It includes design and construction of the following: FY 16-17 - Master plan FY 17-18 - Construction documents FY 18-19 - Construction								
COST ESTIMATE	PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor	\$252	\$0	\$0	\$0	\$0	\$0	\$252	
Architectural/Engineering Services	19,526	200,000	0	0	0	0	219,526	
Site Acquisition & Preparation	0	0	0	0	0	0	0	
Material / Equipment / Furniture	0	0	0	0	0	0	0	
Construction	180,222	0	0	752,000	9,600,000	0	10,532,222	
Other	0	0	0	0	0	0	0	
TOTAL	\$200,000	\$200,000	\$0	\$752,000	\$9,600,000	\$0	\$10,752,000	
SOURCE OF FUNDS								
Public Facilities Fee Fund	\$200,000	\$0	\$0	\$0	\$0	\$0	\$200,000	
WRSP City-Wide Fund	0	200,000	0	752,000	9,600,000	0	10,552,000	
TOTAL	\$200,000	\$200,000	\$0	\$752,000	\$9,600,000	\$0	\$10,752,000	

GENERAL PROJECTS

PROJECT TITLE	Page	ACCOUNT NUMBER	PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
REFUSE BIN AND CAN REPLACEMENT	C-10	31901	317,256	200,000	80,000	80,000	80,000	0	757,256
SOLID WASTE OUTREACH	C-11	31912	209,380	135,000	0	0	0	0	344,380
SOLID WASTE - TECHNOLOGY REPLACEMENT (EU)	C-12	31950	25,000	25,000	25,000	25,000	25,000	25,000	150,000
SOLID WASTE - UEC TECHNOLOGY REPLACEMENT (EU)	C-13	31951	11,155	10,000	10,000	10,000	10,000	10,000	61,155
PLANNING - FULL COST RECOVERY PROJECTS	C-14	901116, 90145, 90160	3,669,117	4,067,750	0	0	0	0	7,736,867
SPECIAL STUDIES - ENGINEERING	C-15	90125	500,000	500,000	500,000	500,000	300,000	0	2,300,000
BLDG PLAN CHECK SERVICES	C-16	90138	50,000	50,000	300,000	300,000	300,000	0	1,000,000
WALL / FENCE REPAIRS	C-17	91001	15,000	15,000	15,000	15,000	15,000	15,000	90,000
TREE MITIGATION	C-18	91003 / 91004	425,082	479,875	508,875	508,875	508,875	508,875	2,940,457
ADA COMPLIANCE	C-19	91005	137,954	135,000	75,000	75,000	75,000	75,000	572,954
BIKE TRAIL MAINTENANCE	C-20	91007	124,152	109,112	109,112	109,112	109,112	109,112	669,712
OPEN SPACE MAINTENANCE	C-21	91008	656,281	658,238	667,738	667,738	667,738	667,738	3,985,471
REASON FARMS PROPERTY MANAGEMENT	C-22	91009	1,252,931	25,000	20,000	20,000	20,000	20,000	1,357,931
RUEC SCHOOL TOUR ANNUAL PROJECT	C-23	91010	18,000	21,000	24,000	27,000	30,000	30,000	150,000
CITY PARKING LOT GENERAL REHAB	C-24	91011	99,594	50,000	50,000	50,000	50,000	50,000	349,594
IT REHAB PROJECT	C-25	91020	840,346	735,000	1,334,992	821,492	903,343	960,000	5,595,173
FACILITIES REHAB PROJECT	C-26	91021	1,208,424	1,100,000	0	0	0	0	2,308,424
PARKS REHAB PROJECT	C-27	91022	919,174	400,000	1,491,326	1,491,326	1,491,326	1,491,326	7,284,478
POLICE REHAB PROJECTS	C-28	91024	277,000	119,000	0	0	0	0	396,000
BUS SHELTER REPLACEMENT	C-29	91041	0	50,000	50,001	50,002	50,003	50,004	250,010
FIRE EQUIPMENT REHAB PROJECT	C-30	91023	50,000	72,400	0	0	0	0	122,400
CITY WIDE TRAFFIC MODEL UPDATE	C-31	90003 / 969001	1,785,278	125,000	175,000	125,000	125,000	0	2,335,278
CITY FACILITIES SECURITY IMPROVEMENTS	C-32	90001 / 059001	1,050,000	20,000	50,000	50,000	50,000	50,000	1,270,000
ENTERPRISE ASSET MANAGEMENT	C-33	90001 / 079005	12,543,333	590,000	0	0	0	0	13,133,333
UEC EQUIPMENT REPLACEMENT	C-34	90012 / 099003	700,178	100,000	200,000	200,000	200,000	200,000	1,600,178
DRY CREEK GREENWAY COMMUNITY PLANNING	C-35	90004 / 099004	1,254,672	1,000,000	0	0	0	0	2,254,672
MULTI-HAZARD PLAN UPDATE	C-36	90001 / 109002	419,083	45,000	45,000	45,000	45,000	45,000	644,083
DOWNTOWN PEDESTRIAN BRIDGE	C-37	90006 / 139002	650,001	15,000	0	0	0	0	665,001
SOFTWARE & TECHNOLOGY PROJECTS	C-38	90017 / 159002	1,500,000	1,652,780	4,169,550	3,327,670	0	0	10,650,000
MAIDU PARK TRAIL REPAIR	C-39	90004 / 169003	275,000	100,000	0	0	0	0	375,000
800MHz RADIO SYSTEM REPLACEMENT	C-40	90017 / 169006	55,000	25,000	3,610,000	3,610,000	0	0	7,300,000
EAST RADIO SITE RELOCATION	C-41	90008 / 179001	0	420,000	1,001,637	78,363	0	0	1,500,000
TAYLOR ROAD COMMUTER BUS STOP UPGRADE	C-42	90009 / 179002	0	90,000	0	0	0	0	90,000
ACTIVE TRANSPORTATION PLAN UPDATES	C-43	90009 / 179003	0	175,000	0	0	0	0	175,000
TRANSIT PLAN UPDATE	C-44	90009 / 179004	0	175,000	0	0	0	0	175,000
TOTAL			\$31,038,391	\$13,490,155	\$14,512,231	\$12,186,578	\$5,055,397	\$4,307,055	\$80,589,807

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL/SOLID WASTE		31901		Jul-09					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
REFUSE BIN AND CAN REPLACEMENT		ANNUAL PROJECT							
DESCRIPTION:									
Rehabilitation funds for replacement of cans and bins									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture	317,256	200,000	80,000	80,000	80,000	80,000	0	757,256	0
Construction	0	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0	0
TOTAL		\$317,256	\$200,000	\$80,000	\$80,000	\$80,000	\$0	\$757,256	
SOURCE OF FUNDS									
Solid Waste Rehab Fund		\$317,256	\$200,000	\$80,000	\$80,000	\$80,000	\$0	\$757,256	
TOTAL		\$317,256	\$200,000	\$80,000	\$80,000	\$80,000	\$0	\$757,256	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL/SOLID WASTE		31912		Jan-13					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
SOLID WASTE OUTREACH		ANNUAL PROJECT							
DESCRIPTION:									
To encourage source reduction and increased reuse among utility customers, as noted in SB 1016, public education and outreach is necessary to reduce per capita, per day disposal rate.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	0	0	0	0	0	0	0
Other		209,380	135,000	0	0	0	0	0	344,380
TOTAL		\$209,380	\$135,000	\$0	\$0	\$0	\$0	\$0	\$344,380
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Solid Waste Operations Fund		\$134,380	\$135,000	\$0	\$0	\$0	\$0	\$269,380	
State of CA Grant		75,000	0	0	0	0	0	75,000	
TOTAL		\$209,380	\$135,000	\$0	\$0	\$0	\$0	\$344,380	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL / SOLID WASTE		31950							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
SOLID WASTE - TECHNOLOGY REPLACEMENT (EU)		ANNUAL PROJECT							
DESCRIPTION:									
Annual project to replace technology equipment.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		25,000	25,000	25,000	25,000	25,000	25,000	150,000	150,000
Construction		0	0	0	0	0	0	0	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$150,000	
SOURCE OF FUNDS									
Solid Waste Rehab Fund		\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$150,000
TOTAL		\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$150,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL / SOLID WASTE		31951							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
SOLID WASTE - UEC TECHNOLOGY REPLACEMENT (EU)		ANNUAL PROJECT							
DESCRIPTION:									
Annual project to replace technology equipment.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture	11,155	10,000	10,000	10,000	10,000	10,000	10,000	61,155	61,155
Construction	0	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0	0
TOTAL		\$11,155	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000	\$61,155	\$61,155
SOURCE OF FUNDS									
Solid Waste Rehab Fund		\$11,155	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000	\$61,155	\$61,155
TOTAL		\$11,155	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000	\$61,155	\$61,155

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		90116, 90145,90160							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
PLANNING - FULL COST RECOVERY PROJECTS		ANNUAL PROJECTS							
DESCRIPTION:									
Monies are collected from private developers and are considered pass through funds.									
90116 - Amoruso/Brookfield - \$150,000									
90145 - Development Full Cost - \$5,000									
90160 Full cost Reimbursement - \$3,912,750									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		1,383,843	1,805,000	0	0	0	0	3,188,843	
Site Acquisition & Preparation		0	500,000	0	0	0	0	500,000	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		550,000	0	0	0	0	0	550,000	
Other		1,735,274	1,762,750	0	0	0	0	3,498,024	
TOTAL		\$3,669,117	\$4,067,750	\$0	\$0	\$0	\$0	\$7,736,867	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Contribution by Developer		\$3,669,117	\$4,067,750	\$0	\$0	\$0	\$0	\$7,736,867	
TOTAL		\$3,669,117	\$4,067,750	\$0	\$0	\$0	\$0	\$7,736,867	

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
GENERAL		90125						
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
SPECIAL STUDIES - ENGINEERING		ANNUAL PROJECT						
DESCRIPTION:								
Provides funds for professional services and technical studies related to private development projects. Monies are collected from private developers and are considered pass through funds.								
90125 - Traffic Studies (Fehr & Peers, Kimberly -Horn) (\$500,000)								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		500,000	500,000	500,000	500,000	300,000		2,300,000
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction		0	0	0	0	0	0	0
Other		0	0	0	0	0	0	0
TOTAL		\$500,000	\$500,000	\$500,000	\$500,000	\$300,000	\$0	\$2,300,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Contribution by Developer		\$500,000	\$500,000	\$500,000	\$500,000	\$300,000	\$0	\$2,300,000
TOTAL		\$500,000	\$500,000	\$500,000	\$500,000	\$300,000	\$0	\$2,300,000

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
GENERAL		90138						
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
BLDG PLAN CHECK SERVICES		ANNUAL PROJECT						
DESCRIPTION:								
Provides funds for professional services of outside consultant for plan review services. Monies are collected with the building permit application and are considered pass through funds.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		50,000	50,000	300,000	300,000	300,000	0	1,000,000
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction		0	0	0	0	0	0	0
Other		0	0	0	0	0	0	0
TOTAL		\$50,000	\$50,000	\$300,000	\$300,000	\$300,000	\$0	\$1,000,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Contribution by Developer		\$50,000	\$50,000	\$300,000	\$300,000	\$300,000	\$0	\$1,000,000
TOTAL		\$50,000	\$50,000	\$300,000	\$300,000	\$300,000	\$0	\$1,000,000

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
GENERAL		91001		Jul-06				
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
WALL / FENCE REPAIRS		ANNUAL PROJECTS						
DESCRIPTION:								
Repair soundwalls and city fences at various locations where damage occurs (usually by a moving vehicle). This project is for those repairs that we are unable to retrieve reimbursement via subrogation.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction		15,000	15,000	15,000	15,000	15,000	15,000	90,000
Other		0	0	0	0	0	0	0
TOTAL		\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$90,000
SOURCE OF FUNDS								
General CIP Rehab Fund		\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$90,000
TOTAL		\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$15,000	\$90,000

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
GENERAL		91003 / 91004						
PROJECT TITLE:				TENTATIVE COMPLETION DATE:				
TREE MITIGATION				ANNUAL PROJECT				
DESCRIPTION:								
Native and non-native tree planting in City golf courses and parks, public outreach, urban forest staffing, implementation of urban forest master plan and materials and supplies.								
FY17:								
Native (91003): \$246,300								
Non Native (91004): \$233,575								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction		0	0	0	0	0	0	0
Other	425,082	479,875	508,875	508,875	508,875	508,875	2,940,457	
TOTAL	\$425,082	\$479,875	\$508,875	\$508,875	\$508,875	\$508,875	\$508,875	\$2,940,457
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Native Oak Tree Propagation Fund		\$282,081	\$246,300	\$260,800	\$260,800	\$260,800	\$260,800	1,571,581
Non-Native Oak Tree Propagation Fund		143,001	233,575	248,075	248,075	248,075	248,075	1,368,876
TOTAL		\$425,082	\$479,875	\$508,875	\$508,875	\$508,875	\$508,875	\$2,940,457

CAPITAL IMPROVEMENT PROJECT BUDGET										
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:						
GENERAL		91005								
PROJECT TITLE:		TENTATIVE COMPLETION DATE:								
ADA COMPLIANCE		ANNUAL PROJECT								
DESCRIPTION:										
This project funds the needed repairs, upgrades and consulting needs to meet ADA compliance at City facilities.										
FY 2016/17										
Compliance Consulting Services		\$10,000								
Minor Repair Improvements		\$10,000								
Priority ADA barrier removal based on transition plan		\$55,000								
ADA Strategic Plan Phase II		\$60,000								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT		
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0	0
Construction		137,954	135,000	75,000	75,000	75,000	75,000	572,954	572,954	572,954
Other		0	0	0	0	0	0	0	0	0
TOTAL		\$137,954	\$135,000	\$75,000	\$75,000	\$75,000	\$75,000	\$572,954	\$572,954	\$572,954
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT		
General CIP Rehab Fund		\$137,954	\$135,000	\$75,000	\$75,000	\$75,000	\$75,000	\$572,954	\$572,954	\$572,954
TOTAL		\$137,954	\$135,000	\$75,000	\$75,000	\$75,000	\$75,000	\$572,954	\$572,954	\$572,954

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		91007		Jul-07					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
BIKE TRAIL MAINTENANCE		ANNUAL PROJECT							
DESCRIPTION:									
This project funds the day-to-day routine maintenance of the City's bike trails, including weed abatement, tree trimming, sweeping, asphalt maintenance and other activities, at an approximate cost of \$5,600 per mile. These activities increase the longevity of these important City assets and result in a safe and clean surface for bicycling, walking, jogging and other trail activities. 100% CFD/LLD funded.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$85,000	\$70,000	\$70,000	\$70,000	\$70,000	\$70,000	\$435,000	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		39,152	39,112	39,112	39,112	39,112	39,112	234,712	
Other		0	0	0	0	0	0	0	
		\$0							
TOTAL		\$124,152	\$109,112	\$109,112	\$109,112	\$109,112	\$109,112	\$669,712	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Bike Trail Maintenance Fund		\$124,152	\$109,112	\$109,112	\$109,112	\$109,112	\$109,112	\$669,712	
TOTAL		\$124,152	\$109,112	\$109,112	\$109,112	\$109,112	\$109,112	\$669,712	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		91008		Jul-07					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
OPEN SPACE MAINTENANCE		ANNUAL PROJECT							
DESCRIPTION:									
This project includes contractual obligations through adopted maintenance plans, such as invasive weed removal, signs, post and cable mitigation monitoring, debris removal, tree removal, etc. This project is funded with pooled CFD / LLD / Endowment funds that are identified for the purpose of open space maintenance.									
COST ESTIMATE		PRIOR YEAR	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	0	0	0	0	0	0	0
Other		656,281	658,238	667,738	667,738	667,738	667,738	3,985,471	
TOTAL		\$656,281	\$658,238	\$667,738	\$667,738	\$667,738	\$667,738	\$3,985,471	
SOURCE OF FUNDS									
Open Space Maintenance Fund		\$656,281	\$658,238	\$667,738	\$667,738	\$667,738	\$667,738	\$3,985,471	
TOTAL		\$656,281	\$658,238	\$667,738	\$667,738	\$667,738	\$667,738	\$3,985,471	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		91009							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
REASON FARMS PROPERTY MANAGEMENT		ANNUAL PROJECT							
DESCRIPTION:									
Provides for the general upkeep of the site.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$46,091	\$0	\$0	\$0	\$0	\$0	\$46,091	
Architectural/Engineering Services		1,007,930	0	0	0	0	0	1,007,930	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	0	0	0	0	0	0	
Other		198,910	25,000	20,000	20,000	20,000	20,000	303,910	
TOTAL		\$1,252,931	\$25,000	\$20,000	\$20,000	\$20,000	\$20,000	\$1,357,931	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Reason Farms Revenue Fund		\$1,252,931	\$25,000	\$20,000	\$20,000	\$20,000	\$20,000	\$1,357,931	
TOTAL		\$1,252,931	\$25,000	\$20,000	\$20,000	\$20,000	\$20,000	\$1,357,931	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		91010							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
RUEC SCHOOL TOUR ANNUAL PROJECT		ANNUAL PROJECT							
DESCRIPTION:									
Supports RUEC school tours for Roseville and other regional elementary schools									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	0	0	0	0	0	0	0
Other		18,000	21,000	24,000	27,000	30,000	30,000	150,000	
TOTAL		\$18,000	\$21,000	\$24,000	\$27,000	\$30,000	\$30,000	\$150,000	
SOURCE OF FUNDS									
Water Fund		\$6,000	\$7,000	\$8,000	\$9,000	\$10,000	\$10,000	\$50,000	
Wastewater Fund		6,000	7,000	8,000	9,000	10,000	10,000	50,000	
Solid Waste Fund		6,000	7,000	8,000	9,000	10,000	10,000	50,000	
TOTAL		\$18,000	\$21,000	\$24,000	\$27,000	\$30,000	\$30,000	\$150,000	

CAPITAL IMPROVEMENT PROJECT BUDGET										
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:						
GENERAL		91011								
PROJECT TITLE:		TENTATIVE COMPLETION DATE:								
CITY PARKING LOT GENERAL REHAB		ANNUAL PROJECT								
DESCRIPTION:										
This project funds needed repairs and rehabilitation of parking lots associated with City facilities.										
FY 2016/17										
401 Oak St. Seal & Stripe		\$50,000								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT		
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Architectural/Engineering Services		0	0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	0	
Construction		0	0	0	0	0	0	0	0	
Other		99,594	50,000	50,000	50,000	50,000	50,000	349,594		
TOTAL		\$99,594	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$349,594		
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT		
General CIP Rehab Fund		\$99,594	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$349,594		
TOTAL		\$99,594	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$349,594		

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		91020							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
IT REHAB PROJECT		ANNUAL PROJECT							
DESCRIPTION:									
Information Technology assets become obsolete over time and must be replaced due to use and the constantly evolving technology environment. In FY2016-17 the following rehab projects are:									
Citywide Network Infrastructure Upgrades/Replacement: \$400,000									
Citywide Phone System upgrade-Phase II: \$335,000									
FY15/16 & FY16/17 funds should be combined to build the 316 Vernon Street Data Center.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$200,000	\$200,000	\$467,247	\$287,522	\$316,170	\$400,000	\$1,870,939	
Architectural/Engineering Services		156,500	0	0	0	0	0	156,500	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		483,846	535,000	867,745	533,970	587,173	560,000	3,567,734	
Construction		0	0	0	0	0	0	0	
Other		0	0	0	0	0	0	0	
TOTAL		\$840,346	\$735,000	\$1,334,992	\$821,492	\$903,343	\$960,000	\$5,595,173	
SOURCE OF FUNDS									
General CIP Rehab Fund		\$537,461	\$474,725	\$867,745	\$533,970	\$587,174	\$620,049	\$3,621,124	
Solid Waste Rehab Fund		51,647	58,167	106,799	65,719	72,267	75,973	\$430,572	
Wastewater Rehab Fund		70,238	73,602	133,499	82,149	90,334	96,133	\$545,955	
Water Rehab Fund		50,620	45,672	80,100	49,290	54,201	59,653	\$339,536	
Electric Construction Fund		130,380	79,484	140,174	86,257	94,851	103,816	\$634,962	
Transit Fund		-	3,350	6,675	4,107	4,516	4,376	\$23,024	
TOTAL		\$840,346	\$735,000	\$1,334,992	\$821,492	\$903,343	\$960,000	\$5,595,173	

CAPITAL IMPROVEMENT PROJECT BUDGET

CLASSIFICATION OF PROJECT:	PROJECT NUMBER:	ORIGINAL APPROPRIATION DATE:
GENERAL	91021	Jul-13
PROJECT TITLE:	TENTATIVE COMPLETION DATE:	
FACILITIES REHAB PROJECT	ANNUAL PROJECT	

DESCRIPTION:	Corp Yard Bldg. B Fencing \$22,000 Fire Station #2 Replace Carpet \$20,000 Fire Station #3 Paint Interior \$10,000 Fire Station #5 Paint Doors and Trim \$10,000 Fire Station #5 Paint Interior \$9,000 Fire Storage Building Paint Exterior \$6,500 Fire Training Bldg Replace Front & Back Decking \$25,000 Mahany Sports Center Paint Interior \$25,000 Mahany Sports Center Building Control System \$60,000 Maidu Community Ctr Paint Gutters, Ridge Cap, Trim, & Eaves \$20,000 Roseville Police Dept. Building Control System \$180,000 Roseville Police Dept. Generator \$350,000	
CIP rehab in FY2016-17 consists of: Fire Station #2 Paint Exterior \$18,000 Fire Station #2 Water Heater \$5,000 Fire Station #3 Paint Exterior \$15,000 Fire Station #5 Water Heater \$5,000 Fire Station #6 Paint Interior \$12,000 Fire Storage Building Paint Interior \$2,500 Mahany Sports Center Paint Exterior \$30,000 Mahany Sports Center Remodel Public Restrooms \$20,000 Riley Library Building Control System \$80,000 Roseville Police Dept. Repair Roof \$80,000 Roseville Police Dept. Locker Rooms Rehab \$45,000 Cardkey upgrades Corp Yard Admin \$50,000		

COST ESTIMATE	PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services	0	0	0	0	0	0	0
Site Acquisition & Preparation	0	0	0	0	0	0	0
Material / Equipment / Furniture	0	0	0	0	0	0	0
Construction	1,208,424	1,100,000	0	0	0	0	2,308,424
Other	0	0	0	0	0	0	0
TOTAL	\$1,208,424	\$1,100,000	\$0	\$0	\$0	\$0	\$2,308,424

SOURCE OF FUNDS	PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
General CIP Rehab Fund	\$651,946	\$1,049,640	\$0	\$0	\$0	\$0	\$1,701,586
Transit Fund	5,705	2,200	0	0	0	0	\$7,905
Electric Construction Fund	85,876	5,055	0	0	0	0	\$90,931
Water Rehab Fund	150,836	22,395	0	0	0	0	\$173,231
Wastewater Rehab Fund	86,344	4,502	0	0	0	0	\$90,846
Solid Waste Rehab Fund	61,274	7,536	0	0	0	0	\$68,810
Water-EU Engineering	0	8,672	0	0	0	0	\$8,672
Auto Services Fund	116,881	0	0	0	0	0	\$116,881
Golf Course Fund	49,000	0	0	0	0	0	\$49,000
Adventure Club Fund	562	0	0	0	0	0	\$562
TOTAL	\$1,208,424	\$1,100,000	\$0	\$0	\$0	\$0	\$2,308,424

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		91022		Jul-13					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
PARKS REHAB PROJECT		ANNUAL PROJECT							
DESCRIPTION:									
This Project Funds:									
1) Tennis Court Repairs \$50,000									
2) Replacement of the play structure at Rube Nelson Park \$150,000									
3) Class replacement \$200,000									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		919,174	400,000	1,491,326	1,491,326	1,491,326	1,491,326	7,284,478	
Other		0	0	0	0	0	0	0	0
TOTAL		\$919,174	\$400,000	\$1,491,326	\$1,491,326	\$1,491,326	\$1,491,326	\$7,284,478	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
General CIP Rehab Fund		\$854,745	\$400,000	\$1,491,326	\$1,491,326	\$1,491,326	\$1,491,326	\$7,220,049	
Stoneridge Park Fund 238		\$5,001	0	0	0	0	0	\$5,001	
NRSP Park Fund 237		32,700	0	0	0	0	0	\$32,700	
NCRSP Fund 234		26,728						\$26,728	
TOTAL		\$919,174	\$400,000	\$1,491,326	\$1,491,326	\$1,491,326	\$1,491,326	\$7,284,478	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		91023		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
FIRE EQUIPMENT REHAB PROJECT		ANNUAL PROJECT							
DESCRIPTION:									
Rehab projects in FY17 include:									
VHF Radios - \$72,400									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		50,000	72,400	0	0	0	0	122,400	
Other		0	0	0	0	0	0	0	
TOTAL		\$50,000	\$72,400	\$0	\$0	\$0	\$0	\$122,400	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
General CIP Rehab Fund		\$50,000	\$72,400	\$0	\$0	\$0	\$0	\$122,400	
TOTAL		\$50,000	\$72,400	\$0	\$0	\$0	\$0	\$122,400	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		91024		Jul-15					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
POLICE REHAB PROJECTS		ANNUAL PROJECT							
DESCRIPTION:									
Rehab projects in FY16-17 include:									
Bomb Suits		\$60,000							
Tactical Armor		\$54,000							
CAD/RMS Disaster Recovery		\$5,000							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		277,000	119,000	0	0	0	0	0	396,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$277,000	\$119,000	\$0	\$0	\$0	\$0	\$0	\$396,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
General CIP Rehab Fund		\$277,000	\$119,000	\$0	\$0	\$0	\$0	\$0	\$396,000
TOTAL		\$277,000	\$119,000	\$0	\$0	\$0	\$0	\$0	\$396,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		91041		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
BUS SHELTER REPLACEMENT		ANNUAL PROJECT							
DESCRIPTION:									
Replacement of older, worn bus shelters. Anticipated for this year are shelter replacements at Maidu Park (on Rocky Ridge), eastbound Lead Hill before Rocky Ridge and northbound N. Sunrise past Douglas.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$7,000	\$7,001	\$7,002	\$7,003	\$7,004	\$35,010	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	43,000	43,000	43,000	43,000	43,000	215,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$50,000	\$50,001	\$50,002	\$50,003	\$50,004	\$250,010	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Local Transp Fund (TDA Article 4)		\$0	\$50,000	\$50,001	\$50,002	\$50,003	\$50,004	\$250,010	
TOTAL		\$0	\$50,000	\$50,001	\$50,002	\$50,003	\$50,004	\$250,010	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		90003 / 969001		Mar-04					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
CITY WIDE TRAFFIC MODEL UPDATE		ONGOING							
DESCRIPTION:									
On-going Model, land use and project updates / maintenance of CIP account, fee tracking / fee updates (Inter-Jurisdictional Fee).									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$479,556	\$75,000	\$125,000	\$75,000	\$75,000	\$0	\$829,556	
Architectural/Engineering Services		1,188,026	50,000	50,000	50,000	50,000	0	1,388,026	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		5,802	0	0	0	0	0	5,802	
Construction		111,894	0	0	0	0	0	111,894	
Other		0	0	0	0	0	0	0	
TOTAL		\$1,785,278	\$125,000	\$175,000	\$125,000	\$125,000	\$0	\$2,335,278	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Traffic Mitigation		\$1,785,278	\$125,000	\$175,000	\$125,000	\$125,000	\$0	\$2,335,278	
TOTAL		\$1,785,278	\$125,000	\$175,000	\$125,000	\$125,000	\$0	\$2,335,278	

CAPITAL IMPROVEMENT PROJECT BUDGET											
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:							
GENERAL		90001 / 059001		Jul-04							
PROJECT TITLE:				TENTATIVE COMPLETION DATE:							
CITY FACILITIES SECURITY IMPROVEMENTS				ONGOING							
DESCRIPTION:											
		This project funds needed security improvements for City facilities.									
FY 2016-17											
Camera Replacements at Maidu Museum		\$10,000									
Camera Replacements at Civic Center		\$10,000									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT			
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Architectural/Engineering Services		161,751	0	0	0	0	0	0	0	161,751	
Site Acquisition & Preparation		0	0	0	0	0	0	0	0	0	
Material / Equipment / Furniture		67,068	20,000	0	0	0	0	0	0	87,068	
Construction		715,435	0	50,000	50,000	50,000	50,000	50,000	50,000	915,435	
Other		105,746	0	0	0	0	0	0	0	105,746	
TOTAL		\$1,050,000	\$20,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$1,270,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT			
General CIP Rehab Fund		\$1,050,000	\$20,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$1,270,000	
TOTAL		\$1,050,000	\$20,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$1,270,000	

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
GENERAL		90001 / 079005		Jul-08				
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
ENTERPRISE ASSET MANAGEMENT		Jun-17						
DESCRIPTION:								
The Enterprise Asset Management (EAM) Program will provide implementation of an information system to manage the organization's asset inventory and related maintenance activities, consolidation of the multiple existing asset management systems and standardization and optimization of asset-related business process across the City. Savings will occur through the elimination of costs associated with supporting and upgrading existing systems, the standardization of business processes, and implementation of formal integration with other key business systems. The systemic retention of knowledge within the EAM system will also minimize the impacts of staff retirements from the City.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$3,536,161	\$90,000	\$0	\$0	\$0	\$0	\$3,626,161
Architectural/Engineering Services		6,930,712	500,000	0	0	0	0	7,430,712
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		1,250,540	0	0	0	0	0	1,250,540
Construction		471,895	0	0	0	0	0	471,895
Other		354,025	0	0	0	0	0	354,025
TOTAL		\$12,543,333	\$590,000	\$0	\$0	\$0	\$0	\$13,133,333
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Water Construction Fund		\$2,323,866	\$102,912	\$0	\$0	\$0	\$0	\$2,426,778
Wastewater Rehab Fund		1,990,282	47,515	0	0	0	0	\$2,037,797
Wastewater Operations Fund		1,029,250	47,515	0	0	0	0	\$1,076,765
Electric Operations Fund		4,709,516	0	0	0	0	0	\$4,709,516
Electric Construction Fund		0	197,530					\$197,530
Solid Waste Fund		88,624	14,940	0	0	0	0	\$103,564
Gas Tax Fund		59,000	45,149	0	0	0	0	\$104,149
HUT Fund		52,702	43,939	0	0	0	0	\$96,641
General Fund		556,425	79,000	0	0	0	0	\$635,425
Automotive Replacement Fund		320,000	0	0	0	0	0	\$320,000
CFDs		320,000	0	0	0	0	0	\$320,000
Traffic Signal Maintenance Fund		157,000	0	0	0	0	0	\$157,000
General CIP Rehab Fund		289,604	0	0	0	0	0	\$289,604
Utility Impact Reimbursement		647,064	11,500	0	0	0	0	\$658,564
TOTAL		\$12,543,333	\$590,000	\$0	\$0	\$0	\$0	\$13,133,333

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
GENERAL		90012 / 099003		Jul-08				
PROJECT TITLE:				TENTATIVE COMPLETION DATE:				
UEC EQUIPMENT REPLACEMENT				ONGOING				
DESCRIPTION:								
The Roseville Utility Exploration Center opened in January of 2008. The exhibits are highly interactive, and will require refreshing/renewal/replacement to stay up-to-date with changing technologies, and to maintain the interest of the community. It is anticipated that major elements will need to be replaced on an ongoing periodic basis, beginning within the next 5 years. The annual amounts represent ≈ 5% of the original cost.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$16,429	\$0	\$0	\$0	\$0	\$0	\$16,429
Architectural/Engineering Services		25,573	0	0	0	0	0	25,573
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction		655,186	100,000	200,000	200,000	200,000	200,000	1,555,186
Other		2,990	0	0	0	0	0	2,990
TOTAL		\$700,178	\$100,000	\$200,000	\$200,000	\$200,000	\$200,000	\$1,600,178
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Electric Operations		241,561	\$0	\$0	\$0	\$0	\$0	\$241,561
Electric Construction		0	\$50,000	\$100,000	\$100,000	\$100,000	\$100,000	\$450,000
Water Operations		152,849	16,667	33,333	33,333	33,333	33,333	302,848
Wastewater Operations		152,849	16,667	33,334	33,334	33,334	33,334	302,852
Solid Waste Operations		152,919	16,666	33,333	33,333	33,333	33,333	302,917
TOTAL		\$700,178	\$100,000	\$200,000	\$200,000	\$200,000	\$200,000	\$1,600,178

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		90004 / 099004		Jul-08					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
DRY CREEK GREENWAY COMMUNITY PLANNING		Jun-17							
DESCRIPTION:		This project will fund reconnaissance level field surveys, alternatives analysis, preliminary environmental review, engineering, and public outreach for the eastern portion of the Dry Creek Greenway Bike Trail and final design, permitting and right-of-way acquisition for Phase I (Riverside Ave to Marlon Dr.). The project area includes portions of Dry, Linda and Cirby Creeks (roughly parallel to Cirby Way) adjacent to the Cherry Glen, Hillcrest, Cirby Side, Meadow Oaks, Sierra Gardens, Maidu and South Cirby neighborhoods. The Dry Creek Greenway Trail is planned as a regionally significant bicycle transportation corridor with Class I (off-street) bike path connections to Roseville, Rocklin, Granite Bay, and Folsom. The trail will also connect Roseville with the greater Sacramento area through the American River Parkway and Sacramento Northern Bikeway. When complete, the trail will form a loop approximately 75 miles around the Sacramento and South Placer area.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$61,088	\$50,000	\$0	\$0	\$0	\$0	\$111,088	
Architectural/Engineering Services		870,105	800,000	0	0	0	0	1,670,105	
Site Acquisition & Preparation		0	150,000	0	0	0	0	150,000	
Material / Equipment / Furniture		1,740	0	0	0	0	0	1,740	
Construction		320,343	0	0	0	0	0	320,343	
Other		1,396	0	0	0	0	0	1,396	
TOTAL		\$1,254,672	\$1,000,000	\$0	\$0	\$0	\$0	\$2,254,672	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Caltrans Planning Grant		\$116,000	\$0	\$0	\$0	\$0	\$0	\$116,000	
Transportation Fund		592,553	1,000,000	0	0	0	0	1,592,553	
CMAQ		546,119	0	0	0	0	0	546,119	
TOTAL		\$1,254,672	\$1,000,000	\$0	\$0	\$0	\$0	\$2,254,672	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		90001 / 109002		Jun-10					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
MULTI-HAZARD PLAN UPDATE		ON-GOING							
DESCRIPTION:									
The Disaster Mitigation Act of 2000 requires the City to update our local hazard mitigation plan as a condition of receiving disaster assistance from the Federal Government. The City's current Multi-Hazard Mitigation Plan (MHMP) was approved by the City Council in January 2011. This CIP provides funding for consultant selection and development of the next MHMP update, due by May 2016. The CIP also includes funding for the plan's annual maintenance activities, which include a comprehensive review and report of the previous year's mitigation plan initiatives by the City's MHMP Steering Committee.									
For plan prep in Jan. 2021, we need to save \$30,000 each year beginning in FY2015-16.									
For plan maintenance, assume another \$15,000 per year for a total of \$45,000 per year.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$104	\$0	\$0	\$0	\$0	\$0	\$104	
Architectural/Engineering Services		161,228	0	0	0	0	0	161,228	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		110	0	0	0	0	0	110	
Construction		257,641	45,000	45,000	45,000	45,000	45,000	482,641	
Other		0	0	0	0	0	0	0	
TOTAL		\$419,083	\$45,000	\$45,000	\$45,000	\$45,000	\$45,000	\$644,083	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Federal Grant		\$116,222	\$0	\$0	\$0	\$0	\$0	\$116,222	
General Fund		302,861	45,000	45,000	45,000	45,000	45,000	527,861	
TOTAL		\$419,083	\$45,000	\$45,000	\$45,000	\$45,000	\$45,000	\$644,083	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		90006 / 139002		Jul-14					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
DOWNTOWN PEDESTRIAN BRIDGE		Jun-19							
DESCRIPTION:									
The Downtown Pedestrian Bridge is aligned with the Civic Center walkway and serves the purpose of providing a prominent connection from Royer Park to the Civic Center and Downtown Roseville. The bridge will incorporate the Works Project Administration style and a natural history theme.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		650,001	15,000	0	0	0	0	0	665,001
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	0	0	0	0	0	0	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$650,001	\$15,000	\$0	\$0	\$0	\$0	\$0	\$665,001
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Strategic Improvement Fund		\$250,001	\$0	\$0	\$0	\$0	\$0	\$0	\$250,001
Transportation Fund (TDA Art 4)		210,000	0	\$0	\$0	\$0	\$0	\$0	\$210,000
General CIP Rehab Fund		165,000	0	0	0	0	0	0	\$165,000
Public Facilities Fund		25,000	15,000	0	0	0	0	0	40,000
TOTAL		\$650,001	\$15,000	\$0	\$0	\$0	\$0	\$0	\$665,001

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
GENERAL		90017 / 159002		Jul-14				
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
SOFTWARE & TECHNOLOGY PROJECTS		Jun-19						
DESCRIPTION:								
The City has various software & technology related projects scheduled for review, rehab, and replacement over the next several years.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$500,000	\$1,000,000	\$1,000,000	\$0	\$0	\$2,500,000
Architectural/Engineering Services		0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	200,000	50,000	0	0	250,000
Construction	1,500,000	0	1,152,780	0	0	0	0	2,652,780
Other	0	0	0	2,969,550	2,277,670	0	0	5,247,220
TOTAL		\$1,500,000	\$1,652,780	\$4,169,550	\$3,327,670	\$0	\$0	\$10,650,000
SOURCE OF FUNDS								
EU - Solid Waste		\$0	\$175,000	\$264,167	\$89,167	\$0	\$0	\$528,334
EU - Wastewater		0	175,000	\$264,167	\$89,167	0	0	528,334
EU - Water		0	175,000	\$264,167	\$89,167	0	0	528,334
Electric Construction		0	500,000	1,000,000	550,000	0	0	2,050,000
Other Enterprise Funds		0	0	169,000	169,000	0	0	338,000
Special Revenue Funds		0	0	160,000	160,000	0	0	320,000
Capital Project Funds		0	0	164,000	164,000	0	0	328,000
Trust Funds		0	0	53,000	53,000	0	0	106,000
CFD/LLD		0	127,780	119,049	119,050	0	0	365,879
Internal Service/Self Insurance		0	0	212,000	212,000	0	0	424,000
General Fund	1,500,000	0	500,000	1,500,000	1,633,119	0	0	5,133,119
TOTAL		\$1,500,000	\$1,652,780	\$4,169,550	\$3,327,670	\$0	\$0	\$10,650,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		90004 / 169003		Jul-15					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
MAIDU PARK TRAIL REPAIR		Jun-17							
DESCRIPTION:									
Design, labor, construction, and inspections for repairing damaged section of the Maidu Park perimeter trail and sidewalk, and re-surfacing other sections of trail in Maidu Park.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$25,000	\$20,000	\$0	\$0	\$0	\$0	\$45,000	
Architectural/Engineering Services		25,000	0	0	0	0	0	25,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		225,000	80,000	0	0	0	0	305,000	
Construction		0	0	0	0	0	0	0	
Other		0	0	0	0	0	0	0	
TOTAL		\$275,000	\$100,000	\$0	\$0	\$0	\$0	\$375,000	
SOURCE OF FUNDS									
Transportation Fund (TDA Art 4)		\$275,000	\$100,000	\$0	\$0	\$0	\$0	\$375,000	
TOTAL		\$275,000	\$100,000	\$0	\$0	\$0	\$0	\$375,000	

CAPITAL IMPROVEMENT PROJECT BUDGET

CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:			
GENERAL - IT		90017 / 169006		Jul-16			
PROJECT TITLE:				TENTATIVE COMPLETION DATE:			
800MHz RADIO SYSTEM REPLACEMENT				Jun-19			
DESCRIPTION:							
The City's current 800MHz trucking radio system will no longer be supported by Motorola beginning 12/31/2018. This CIP is designed to replace the current radio system to continue to provide a vendor-supported system, enhance interoperability with other agencies, and sustain a high level of communication services. The estimated costs are based on information gathered during the RFQ process performed by the "800MHz System Committee."							
During FY17 the following services will be needed as part of the RFP process: \$25,000 for project consultation and vendor analysis/selection assistance.							

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL - IT		90008 / 179001		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
EAST RADIO SITE RELOCATION		Jun-19							
DESCRIPTION:									
The City's current "East" radio site has many limitations including emergency power, coverage, capacity and a risky building lease status. These limitations increase the level of risk to provide clear and reliable communications in East Roseville. This CIP is designed to relocate the site to a location that will provide for sustainable high levels of communication services. The estimated costs are based on costs incurred when building the City's "West" site in 2010.									
On a related note, there are two multi-year radio projects that will occur in parallel which increased the demand for City staff hours. A significant amount of time is required for design, construction and implementation efforts over the course of both projects. In order to allocate the time needed for the projects as well as handle daily tasks to maintain customer service levels, included in the project cost is a temporary 1,500 hour position at a cost of \$65k each year.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$78,363	\$78,363	\$78,363	\$0	\$0	\$235,089	
Architectural/Engineering Services		0	0	167,500	0	0	0	167,500	
Site Acquisition & Preparation		0	341,637	402,500	0	0	0	744,137	
Material / Equipment / Furniture		0	0	197,500	0	0	0	197,500	
Construction		0	0	155,774	0	0	0	155,774	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$420,000	\$1,001,637	\$78,363	\$0	\$0	\$1,500,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Public Facilities Fee Fund		\$0	\$420,000	\$0	\$0	\$0	\$0	\$420,000	
TBD		0	0	1,001,637	78,363	0	0	1,080,000	
TOTAL		\$0	\$420,000	\$1,001,637	\$78,363	\$0	\$0	\$1,500,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		90009 / 179002		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
TAYLOR ROAD COMMUTER BUS STOP UPGRADE		Oct-18							
DESCRIPTION:									
This project would upgrade the bus stop and bus shelter within the Taylor Road Park-n-ride lot to add more bus storage space and more covered passenger seating and waiting area. This project would also include the addition of other stop amenities. This project is needed because the current stop does not provide sufficient bus stacking area, and the shelter is in poor condition and does not provide sufficient passenger waiting and loading area.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$10,000	\$0	\$0	\$0	\$0	\$10,000	
Architectural/Engineering Services		0	25,000	0	0	0	0	25,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	55,000	0	0	0	0	55,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$90,000	\$0	\$0	\$0	\$0	\$90,000	
SOURCE OF FUNDS									
Local Transp Fund (TDA Article 4)		\$0	\$90,000	\$0	\$0	\$0	\$0	\$90,000	
TOTAL		\$0	\$90,000	\$0	\$0	\$0	\$0	\$90,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		90009 / 179003		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
ACTIVE TRANSPORTATION PLAN UPDATES		Oct-18							
DESCRIPTION:									
This project would update both the Short Range and Long Range Transit Plans. The City of Roseville Short Range Transit Plan, which was last updated in 2011, evaluates the public transit program performance, identifies and quantifies short term transit demand, and identifies strategies for enhancing community mobility. The City of Roseville Long Range Transit Plan, which was last updated in 1998, forecasts future demands and growth patterns anticipated for the area and then develops strategies to shape the future of transit in Roseville.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$25,000	\$0	\$0	\$0	\$0	\$25,000	
Architectural/Engineering Services		0	150,000	0	0	0	0	150,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	0	0	0	0	0	0	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$175,000	\$0	\$0	\$0	\$0	\$175,000	
SOURCE OF FUNDS									
Local Transp Fund (TDA Article 4)		\$0	\$175,000	\$0	\$0	\$0	\$0	\$175,000	
TOTAL		\$0	\$175,000	\$0	\$0	\$0	\$0	\$175,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GENERAL		90009 / 179004		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
TRANSIT PLAN UPDATE		Oct-18							
DESCRIPTION:		This project would update both the Long Range Transit Plan and Short Range Transit Plan to reflect current conditions, including recent changes in land use and land use plans, newly-constructed facilities, recently approved specific plan areas, and new practices in transit and mobility. The Transit Master Plan for South Placer County was last updated in 2007, the South Placer County Bus Rapid Transit (BRT) Service Plan was last updated in November 2008, and the City's Short Range Transit Plan was last updated in 2010. The documents guide long and short term policies, programs and standards to make transit in Roseville safer and more convenient, efficient and enjoyable for users. The plan will evaluate a plan for transit services using 5, 10, and 20 year time horizons.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$20,000	\$0	\$0	\$0	\$0	\$20,000	
Architectural/Engineering Services		0	155,000	0	0	0	0	155,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	0	0	0	0	0	0	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$175,000	\$0	\$0	\$0	\$0	\$175,000	
SOURCE OF FUNDS									
Local Transp Fund (TDA Article 4)		\$0	\$175,000	\$0	\$0	\$0	\$0	\$175,000	
TOTAL		\$0	\$175,000	\$0	\$0	\$0	\$0	\$175,000	

DRAINAGE PROJECTS

<u>PROJECT TITLE</u>	<u>Page</u>	<u>PROJECT NUMBER</u>	<u>PRIOR YEARS</u>	<u>FY 2016-17</u>	<u>FY 2017-18</u>	<u>FY 2018-19</u>	<u>FY 2019-20</u>	<u>FY 2020-21</u>	<u>TOTAL PROJECT</u>
FLOODPLAIN MANAGEMENT	C-46	21001	\$196,962	\$196,500	\$250,530	\$255,000	\$250,000	\$250,000	\$1,398,992
FLOOD ALERT SYSTEM REPLACEMENT PROJECT	C-47	20001 / 152002	\$70,001	\$20,000	\$20,000	\$20,000	\$0	\$0	\$130,001
TOTAL			\$266,963	\$216,500	\$270,530	\$275,000	\$250,000	\$250,000	\$1,528,993

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
DRAINAGE		21001						
PROJECT TITLE:				TENTATIVE COMPLETION DATE:				
FLOODPLAIN MANAGEMENT				ANNUAL PROJECT				
DESCRIPTION:								
Funds for performing floodplain and drainage studies, contribution to Placer County flood control district, repairing and / or improvements to areas of flood potential.								
Placer Co. Flood Control Dist. Yearly Contribution				\$ 163,000				
Printing and Mailing of the Flood Brochures each year				\$ 6,000				
Floodplain Managers Association Yearly Conference & ASFPM				\$ 4,500				
CRS Annual Support and Cycle Preparation				\$ 21,000				
FEMA Map Outreach				\$ 2,000				
				\$ 196,500				
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0			\$0		\$0	\$0
Architectural/Engineering Services		6,299	196,500	250,530	255,000	250,000	250,000	1,208,329
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction		190,663	0	0	0	0	0	190,663
Other			0	0	0	0	0	0
TOTAL		\$196,962	\$196,500	\$250,530	\$255,000	\$250,000	\$250,000	\$1,398,992
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
General Fund		\$196,962	\$196,500	\$250,530	\$255,000	\$250,000	\$250,000	\$1,398,992
TOTAL		\$196,962	\$196,500	\$250,530	\$255,000	\$250,000	\$250,000	\$1,398,992

CAPITAL IMPROVEMENT PROJECT BUDGET										
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:						
DRAINAGE		20001 / 152002		Jul-14						
PROJECT TITLE:		TENTATIVE COMPLETION DATE:								
FLOOD ALERT SYSTEM REPLACEMENT PROJECT		Jun-19								
DESCRIPTION:										
Funding to replace the Flood Alert System data transmission and collection hardware and software used to monitor stream levels and precipitation within the Dry Creek and Pleasant Grove Creek Watersheds.										
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT		
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Architectural/Engineering Services		1,269	0	0	0	0	0	1,269	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	0	
Construction		68,732	20,000	20,000	20,000	0	0	128,732	0	
Other		0	0	0	0	0	0	0	0	
TOTAL		\$70,001	\$20,000	\$20,000	\$20,000	\$0	\$0	\$130,001		
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT		
General Fund		\$70,001	\$20,000	\$20,000	\$20,000	\$0	\$0	\$130,001		
TOTAL		\$70,001	\$20,000	\$20,000	\$20,000	\$0	\$0	\$130,001		

STREET PROJECTS

<u>PROJECT TITLE</u>	<u>Page</u>	<u>PROJECT NUMBER</u>	<u>PRIOR YEARS</u>	<u>FY 2016-17</u>	<u>FY 2017-18</u>	<u>FY 2018-19</u>	<u>FY 2019-20</u>	<u>FY 2020-21</u>	<u>TOTAL PROJECT</u>
TRAFFIC SIGNAL PROJECTS AT VARIOUS LOCATIONS	C-49	21503	977,184	655,000	500,000	500,000	500,000	500,000	3,632,184
GOLF LANE MAINTENANCE-SUN CITY	C-50	21506	1,000	1,000	1,000	1,000	1,000	1,000	6,000
ADA COMPLIANCE IN RW	C-51	21507	50,000	50,000	50,000	50,000	50,000	50,000	300,000
STREETS-RESURFACING-HWY USERS	C-52	21510	2,819,698	902,025	902,025	902,025	902,025	902,025	7,329,823
TRAFFIC SIGNAL COORDINATION	C-53	21520	50,000	50,000	40,000	40,000	40,000	40,000	260,000
TRAFFIC SIGNAL MAINTENANCE/UPGRADES	C-54	21521	377,908	293,500	300,000	300,000	300,000	300,000	1,871,408
TRAFFIC SIGNAL REHAB	C-55	21550	0	229,000	229,000	229,000	229,000	229,000	1,145,000
DEVELOPER REIMBURSEMENTS	C-56	20004 / 002503	11,978,684	500,000	500,000	500,000	500,000	500,000	14,478,684
OAKRIDGE BRIDGE REPLACEMENT	C-57	20004 / 112503	850,002	3,000,000	0	0	0	0	3,850,002
WOODCREEK OAKS WIDENING	C-58	20004 / 162502	150,000	1,900,000	0	0	0	0	2,050,000
PLEASANT GROVE/ROSEVILLE PKWAY WEST TRIPLE LEFT	C-59	20004 / 162503	1,150,000	500,000	0	0	0	0	1,650,000
2017 ARTERIAL RESURFACING	C-60	20009 / 172501	0	2,850,000	0	0	0	0	2,850,000
BLUE OAKS BLVD	C-61	20502 / 172550	0	700,000	350,000	350,000	0	0	1,400,000
TOTAL			\$18,404,476	\$11,630,525	\$2,872,025	\$2,872,025	\$2,522,025	\$2,522,025	\$40,823,101

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREET		21503							
PROJECT TITLE:				TENTATIVE COMPLETION DATE:					
TRAFFIC SIGNAL PROJECTS AT VARIOUS LOCATIONS				ANNUAL PROJECT					
DESCRIPTION:									
DEVELOPER - Blue Oaks / Fidelity (City portion \$50,000)				Travel Time Sensors (\$20,000)					
DEVELOPER - Pleasant Grove / Street A (City portion \$50,000)				Traffic Fiber Switch Upgrades (\$50,000)					
DEVELOPER - Woodcreek Oaks / Crimson Ridge (City portion \$50,000)				Intersection Upgrades (\$50,000)					
DEVELOPER - Woodcreek Oaks / Painted Desert (City portion \$50,000)				Count Loops (\$20,000)					
DEVELOPER - Fiddlyment / San Fernando (City portion \$50,000)				Fiber Optic Communications (\$50,000)					
DEVELOPER - Baseline / Market (City portion \$50,000)				Central System Upgrades (\$30,000)					
DEVELOPER - Baseline / Upland (City portion \$50,000)				Video Wall Control Server (\$30,000)					
DEVELOPER - Fiddlyment / Vista Grande (City portion \$50,000)				Laptop (\$5,000)					
COST ESTIMATE		PRIOR YEARS		FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0		\$5,000	\$5,000	\$5,000	\$5,000	\$5,000	\$25,000
Architectural/Engineering Services		1,059		15,000	15,000	15,000	15,000	15,000	76,059
Site Acquisition & Preparation		0		0	0	0	0	0	0
Material / Equipment / Furniture		0		0	0	0	0	0	0
Construction		976,125		635,000	480,000	480,000	480,000	480,000	3,531,125
Other		0		0	0	0	0	0	0
TOTAL		\$977,184		\$655,000	\$500,000	\$500,000	\$500,000	\$500,000	\$3,632,184
SOURCE OF FUNDS		PRIOR YEARS		FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Traffic Mitigation Fund		\$977,184		\$655,000	\$500,000	\$500,000	\$500,000	\$500,000	\$3,632,184
TOTAL		\$977,184		\$655,000	\$500,000	\$500,000	\$500,000	\$500,000	\$3,632,184

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREET		21506							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
GOLF LANE MAINTENANCE-SUN CITY		ANNUAL PROJECT							
DESCRIPTION:									
Golf cart registration fee revenues for maintaining the extra roadway width to accommodate the golf cart lanes in Del Webb.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	1,000	1,000	1,000	1,000	1,000	5,000	1,000
Construction		1,000	0	0	0	0	0	0	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$1,000	\$1,000	\$1,000	\$1,000	\$1,000	\$1,000	\$6,000	
SOURCE OF FUNDS									
Golf Cart Permit fees in General Fund		\$1,000	\$1,000	\$1,000	\$1,000	\$1,000	\$1,000	6,000	
TOTAL		\$1,000	\$1,000	\$1,000	\$1,000	\$1,000	\$1,000	\$6,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREET		21507		Jul-05					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
ADA COMPLIANCE IN R/W		ANNUAL PROJECT							
DESCRIPTION:									
Ongoing project to bring the public right-of-way into ADA compliance. Various improvements consist of constructing ramps at curb returns, flattening cross slopes along the path of travel, and ensuring the path of travel meets width requirements.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$10,000	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000	\$60,000	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		40,000	40,000	40,000	40,000	40,000	40,000	240,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$300,000	
SOURCE OF FUNDS									
General CIP Rehab Fund		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$300,000	
TOTAL		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$300,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREET		21510		Jul-14					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
STREETS-RESURFACING-HWY USERS		ANNUAL PROJECT							
DESCRIPTION:									
Neighborhoods scheduled for dig-outs and crack seal in FY2016-17:									
Hillcrest neighborhood & various arterial roadways									
Other street maintenance scheduled in FY2016-17:									
Pavement Condition Survey PQI									
Sign Inventory & Inspection									
Guard Rail Repair/Replacement									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		1,500	10,000	10,000	10,000	10,000	10,000	51,500	51,500
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		3,198	892,025	892,025	892,025	892,025	892,025	4,463,323	4,463,323
Construction		1,914,490	0	0	0	0	0	1,914,490	1,914,490
Other		900,510	0	0	0	0	0	900,510	900,510
TOTAL		\$2,819,698	\$902,025	\$902,025	\$902,025	\$902,025	\$902,025	\$7,329,823	\$7,329,823
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
HUT Fund		\$2,819,698	\$902,025	\$902,025	\$902,025	\$902,025	\$902,025	\$7,329,823	\$7,329,823
TOTAL		\$2,819,698	\$902,025	\$902,025	\$902,025	\$902,025	\$902,025	\$7,329,823	\$7,329,823

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREET		21520		Jul-06					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
TRAFFIC SIGNAL COORDINATION		ANNUAL PROJECT							
DESCRIPTION:									
Improves traffic flow by synchronizing traffic signals along busy travel routes.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$50,000	\$50,000	\$40,000	\$40,000	\$40,000	\$40,000	\$260,000	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	0	0	0	0	0	0	
Other		0	0	0	0	0	0	0	
TOTAL		\$50,000	\$50,000	\$40,000	\$40,000	\$40,000	\$40,000	\$260,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Traffic Signal Coordination Fund		\$50,000	\$50,000	\$40,000	\$40,000	\$40,000	\$40,000	\$260,000	
TOTAL		\$50,000	\$50,000	\$40,000	\$40,000	\$40,000	\$40,000	\$260,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREET		21521							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
TRAFFIC SIGNAL MAINTENANCE/UPGRADES		ANNUAL PROJECT							
DESCRIPTION:									
Funds the replacement and upgrade of LED signal lenses, ITS equipment, and traffic signal components.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$293,500	\$300,000	\$300,000	\$300,000	\$300,000	\$1,493,500	0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		377,908	0	0	0	0	0	377,908	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$377,908	\$293,500	\$300,000	\$300,000	\$300,000	\$300,000	\$1,871,408	
SOURCE OF FUNDS									
Traffic Signal Maintenance Fund		\$377,908	\$293,500	\$300,000	\$300,000	\$300,000	\$300,000	\$1,871,408	
TOTAL		\$377,908	\$293,500	\$300,000	\$300,000	\$300,000	\$300,000	\$1,871,408	

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
STREET		21550						
PROJECT TITLE:				TENTATIVE COMPLETION DATE:				
TRAFFIC SIGNAL REHAB				ANNUAL PROJECT				
DESCRIPTION:								
Replacing the following end of life equipment:								
60 traffic monitoring cameras that are over 9 years old								
25 Battery Backup System inverters that are over 12 years old								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$18,000	\$18,000	\$18,000	\$18,000	\$18,000	\$90,000
Architectural/Engineering Services		0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	211,000	211,000	211,000	211,000	211,000	1,055,000
Construction		0	0	0	0	0	0	0
Other		0	0	0	0	0	0	0
TOTAL		\$0	\$229,000	\$229,000	\$229,000	\$229,000	\$229,000	\$1,145,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Traffic Signal Maintenance Fund		\$0	\$229,000	\$229,000	\$229,000	\$229,000	\$229,000	\$1,145,000
TOTAL		\$0	\$229,000	\$229,000	\$229,000	\$229,000	\$229,000	\$1,145,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREET		20004 / 002503		Jul-99					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
DEVELOPER REIMBURSEMENTS		ONGOING							
DESCRIPTION:									
Provides reimbursements to developers for roadway improvements they construct beyond their financial obligation.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		100,000	0	0	0	0	0	100,000	
Site Acquisition & Preparation		500	0	0	0	0	0	500	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		11,878,184	500,000	500,000	500,000	500,000	500,000	14,378,184	
Other		0	0	0	0	0	0	0	
TOTAL		\$11,978,684	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$14,478,684	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Traffic Mitigation Fund		\$11,978,684	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$14,478,684	
TOTAL		\$11,978,684	\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$14,478,684	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREETS		20004 / 112503		Dec-11					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
OAKRIDGE BRIDGE REPLACEMENT		Jun-17							
DESCRIPTION:									
Design and construction of a bridge replacing the existing bridge on Oakridge Drive crossing Linda Creek.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$66,955	\$0	\$0	\$0	\$0	\$0	\$66,955	
Architectural/Engineering Services		329,468	500,000	0	0	0	0	829,468	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		453,579	2,500,000	0	0	0	0	2,953,579	
Other		0	0	0	0	0	0	0	
TOTAL		\$850,002	\$3,000,000	\$0	\$0	\$0	\$0	\$3,850,002	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Prop 1B Fund		\$850,002	\$0	\$0	\$0	\$0	\$0	\$850,002	
HBRRP Grant		0	3,000,000	0	0	0	0	3,000,000	
TOTAL		\$850,002	\$3,000,000	\$0	\$0	\$0	\$0	\$3,850,002	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREETS		20004 / 162502		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WOODCREEK OAKS WIDENING		Jun-18							
DESCRIPTION:									
Widen Woodcreek Oaks from two to four lanes between Pleasant Grove Creek and Pleasant Grove Blvd. The current estimated construction cost is \$1.9 million. This project is funded with traffic mitigation fees (TMF).									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		150,000	0	0	0	0	0	0	150,000
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	1,900,000	0	0	0	0	0	1,900,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$150,000	\$1,900,000	\$0	\$0	\$0	\$0	\$0	\$2,050,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Traffic Mitigation Fund		\$150,000	\$1,900,000	\$0	\$0	\$0	\$0	\$0	\$2,050,000
TOTAL		\$150,000	\$1,900,000	\$0	\$0	\$0	\$0	\$0	\$2,050,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREETS		20004 / 162503		Jul-15					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
PLEASANT GROVE/ROSEVILLE PKWAY WEST TRIPLE LEFT TURNS		Jun-18							
DESCRIPTION:									
Add a third westbound left turn lane from Pleasant Grove onto Roseville Parkway. The current estimated construction cost is \$1.65 million. Construction of this project will be primarily funded with North Central CFD Funds and a small amount of Traffic Mitigations fees (TMF).									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		150,000	0	0	0	0	0	0	150,000
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		1,000,000	500,000	0	0	0	0	0	1,500,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$1,150,000	\$500,000	\$0	\$0	\$0	\$0	\$0	\$1,650,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
TMF		\$150,000	\$248,444	\$0	\$0	\$0	\$0	\$0	\$398,444
NCR CFD (336)		1,000,000	251,556	0	0	0	0	0	\$1,251,556
TOTAL		\$1,150,000	\$500,000	\$0	\$0	\$0	\$0	\$0	\$1,650,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREETS		20009 / 172501		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
2017 ARTERIAL RESURFACING		Jun-18							
DESCRIPTION:									
Major roadways being resurfaced:									
Blue Oaks from Fiddlyment to Crocker Ranch									
Pleasant Grove from Fiddlyment to Michener									
Woodcreek Oaks from Junction to Canevari									
Vernon from Cirby to Douglas									
Orlando from Riverside to Cirby									
Stanford Ranch from I-80 to City limits									
Cirby from Sunrise to Rocky Ridge									
E. Roseville Parkway from Secret Ravine to Alexandra									
Eureka from Douglas to Sierra College									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	2,850,000	0	0	0	0	2,850,000	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$2,850,000	\$0	\$0	\$0	\$0	\$2,850,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Highway Users Tax Fund		\$0	\$2,050,000	\$0	\$0	\$0	\$0	\$2,050,000	
Gas Tax Fund		0	800,000	0	0	0	0	\$800,000	
TOTAL		\$0	\$2,850,000	\$0	\$0	\$0	\$0	\$2,850,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
STREETS		20502 / 172550		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
BLUE OAKS BLVD		Jun-19							
DESCRIPTION:									
Provides funds for the construction of Blue Oaks Blvd. Funds are collected by Blue Oak Blvd Fund.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	100,000	0	0	0	0	0	100,000
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	600,000	350,000	350,000	0	0	0	1,300,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$700,000	\$350,000	\$350,000	\$0	\$0	\$0	\$1,400,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Blue Oaks Blvd Fund		\$0	\$700,000	\$350,000	\$350,000	\$0	\$0	\$0	\$1,400,000
TOTAL		\$0	\$700,000	\$350,000	\$350,000	\$0	\$0	\$0	\$1,400,000

WATER PROJECTS

<u>PROJECT TITLE</u>	<u>Page</u>	<u>PROJECT NUMBER</u>	<u>PRIOR YEARS</u>	<u>FY 2016-17</u>	<u>FY 2017-18</u>	<u>FY 2018-19</u>	<u>FY 2019-20</u>	<u>FY 2020-21</u>	<u>TOTAL PROJECT</u>
ONGOING ANNUAL PROJECTS	C-63	31002 - 31003	350,000	350,000	350,000	350,000	350,000	350,000	2,100,000
WATER METER RETROFIT - MULTI FAMILY DWELLING	C-64	31011	180,000	180,000	180,000	180,000	180,000	0	900,000
WATER-OUTREACH	C-65	31012	223,456	161,500	46,500	46,500	46,500	46,500	570,956
WATER UTILITY REHABILITATION PROGRAM SUPPORT	C-66	31013	75,000	75,000	75,000	75,000	75,000	75,000	450,000
WATER - TECHNOLOGY REPLACEMENT	C-67	31050	50,000	50,000	50,000	50,000	50,000	50,000	300,000
WATER-EU ENGINEERING-TECHNOLOGY REPLACEMENT	C-68	31051	25,000	25,000	25,000	25,000	25,000	25,000	150,000
EU OUTREACH	C-69	31112	138,322	119,000	119,000	119,000	119,000	119,000	733,322
GROUNDWATER MANAGEMENT PLAN	C-70	30002 / 053005	2,197,906	175,000	225,000	225,000	225,000	0	3,047,906
ADVANCED METERING INFRASTRUCTURE (AMI)	C-71	30001 / 173001	0	1,000,000	6,000,000	0	0	0	7,000,000
WELL 9 PUMP STATION PROJECT	C-72	30002 / 173002	0	1,000,000	1,500,000	0	0	0	2,500,000
SUNRISE AVE 24 IN WATER SYSTEM REHAB PROJECT	C-73	30003 / 173003	0	1,125,000	0	0	0	0	1,125,000
WATER TREATMENT PLANT 2MG TANK REHABILITATION PROJECT	C-74	30003 / 173004	0	1,525,000	0	0	0	0	1,525,000
TOTAL			\$3,239,684	\$5,785,500	\$8,570,500	\$1,070,500	\$1,070,500	\$665,500	\$20,402,184

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
WATER		31002 - 31003						
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
ONGOING ANNUAL PROJECTS		ANNUAL PROJECT						
DESCRIPTION:								
To purchase new meters and install to City specifications. Cost reimbursed by property owners. \$200,000								
Replace damaged meters with new meters. Meters that will not test for accuracy will be replaced. \$150,000								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction		350,000	350,000	350,000	350,000	350,000	350,000	2,100,000
Other		0	0	0	0	0	0	0
TOTAL		\$350,000	\$350,000	\$350,000	\$350,000	\$350,000	\$350,000	\$2,100,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Water Fund		\$200,000	\$200,000	\$200,000	\$200,000	\$200,000	\$200,000	\$1,200,000
Water Rehabilitation Fund		150,000	150,000	150,000	150,000	150,000	150,000	900,000
TOTAL		\$350,000	\$350,000	\$350,000	\$350,000	\$350,000	\$350,000	\$2,100,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WATER		31011							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WATER METER RETROFIT - MULTI FAMILY DWELLING		ANNUAL							
DESCRIPTION:									
Water meter retrofits of multi-family complexes. These costs will be reimbursed by the complex owners.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		180,000	180,000	180,000	180,000	180,000	0	900,000	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$180,000	\$180,000	\$180,000	\$180,000	\$180,000	\$0	\$900,000	
SOURCE OF FUNDS									
Water Meter Retrofit Fund		\$180,000	\$180,000	\$180,000	\$180,000	\$180,000	\$0	\$900,000	
TOTAL		\$180,000	\$180,000	\$180,000	\$180,000	\$180,000	\$0	\$900,000	

CAPITAL IMPROVEMENT PROJECT BUDGET										
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:						
WATER		31012		Jun-13						
PROJECT TITLE:		TENTATIVE COMPLETION DATE:								
WATER-OUTREACH		ANNUAL								
DESCRIPTION:										
To effect more customer participation in the City's rebate programs/efficiency programs and increase behavior change, the City has to implement a comprehensive communications/outreach program to align with statewide mandates associated with reduced water use by 2020 and the ongoing drought.										
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT		
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Architectural/Engineering Services		100,475	115,000	0	0	0	0	215,475	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	0	
Construction		0	0	0	0	0	0	0	0	
Other		122,981	46,500	46,500	46,500	46,500	46,500	355,481	0	
TOTAL		\$223,456	\$161,500	\$46,500	\$46,500	\$46,500	\$46,500	\$570,956	\$570,956	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT		
Water Operations Fund		\$223,456	\$161,500	\$46,500	\$46,500	\$46,500	\$46,500	\$570,956	\$570,956	
TOTAL		\$223,456	\$161,500	\$46,500	\$46,500	\$46,500	\$46,500	\$570,956	\$570,956	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WATER		31013		Jul-15					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WATER UTILITY REHABILITATION PROGRAM SUPPORT		ANNUAL							
DESCRIPTION:									
Support Water Utility's rehabilitation program and generate projects.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$375,000	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		75,000	0	0	0	0	0	75,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$450,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Water Rehab		\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$450,000	
TOTAL		\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$450,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WATER		31050							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WATER - TECHNOLOGY REPLACEMENT		ANNUAL PROJECT							
DESCRIPTION:									
Annual project to replace technology equipment, including PCs, laptops, printers, projectors, plotters, phones, tablets, mobile devices and other peripheral equipment.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		50,000	50,000	50,000	50,000	50,000	50,000	300,000	300,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$300,000	\$300,000
SOURCE OF FUNDS									
Water Rehab Fund		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$300,000	\$300,000
TOTAL		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$300,000	\$300,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WATER		31051							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WATER-EU ENGINEERING-TECHNOLOGY REPLACEMENT		ANNUAL PROJECT							
DESCRIPTION:									
Annual project to replace technology equipment, including PCs, laptops, printers, projectors, plotters, phones, tablets, mobile devices and other peripheral equipment.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		25,000	25,000	25,000	25,000	25,000	25,000	150,000	150,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$150,000	\$150,000
SOURCE OF FUNDS									
Water Rehab Fund		\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$150,000	\$150,000
TOTAL		\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$25,000	\$150,000	\$150,000

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
WATER		31112						
PROJECT TITLE:				TENTATIVE COMPLETION DATE:				
EU OUTREACH				ANNUAL PROJECT				
DESCRIPTION:								
A collection of all three utility funds aimed to support department wide efforts tied to the rate case and increase the value of community-owned utilities. These activities are critical to ensuring that customers know, understand and have clarity about who their water, wastewater and solid waste service provider is and the programs and services offered.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		81,447	55,000	55,000	55,000	55,000	55,000	356,447
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction		0	0	0	0	0	0	0
Other		56,875	64,000	64,000	64,000	64,000	64,000	376,875
TOTAL		\$138,322	\$119,000	\$119,000	\$119,000	\$119,000	\$119,000	\$733,322
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Solid Waste Fund		\$46,107	\$39,667	\$39,667	\$39,667	\$39,667	\$39,667	\$244,441
Wastewater Fund		46,107	39,667	39,667	39,667	39,667	39,667	244,441
Water Fund		46,107	39,667	39,667	39,667	39,667	39,667	244,441
TOTAL		\$138,322	\$119,000	\$119,000	\$119,000	\$119,000	\$119,000	\$733,322

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WATER		30002 / 053005		Jan-05					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
GROUNDWATER MANAGEMENT PLAN		ONGOING							
DESCRIPTION:									
Implement and maintain an SB 1938 compliant Groundwater Management Plan required to maintain future California state grant funding eligibility and implement elements of the Groundwater Management Plan with other agencies.									
Sustainable GW Management. ACT Compliance.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$50,359	\$0	\$0	\$0	\$0	\$0	\$50,359	
Architectural/Engineering Services		1,368,010	175,000	225,000	225,000	225,000	0	2,218,010	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		778,173	0	0	0	0	0	778,173	
Other		1,364	0	0	0	0	0	1,364	
TOTAL		\$2,197,906	\$175,000	\$225,000	\$225,000	\$225,000	\$0	\$3,047,906	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Water Construction Fund		\$1,213,698	\$0	\$0	\$0	\$0	\$0	\$1,213,698	
State of California Revenue		408,450	0	0	0	0	0	408,450.00	
Other Agencies Revenues		520,758	125,000	125,000	125,000	125,000	0	1,020,758.00	
Water Operations Fund		55,000	50,000	100,000	100,000	100,000	0	405,000.00	
TOTAL		\$2,197,906	\$175,000	\$225,000	\$225,000	\$225,000	\$0	\$3,047,906	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WATER		30001 / 173001		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
ADVANCED METERING INFRASTRUCTURE (AMI)		ONGOING							
DESCRIPTION:		Environmental Utilities is facing increased demands to provide enhanced meter data to customers to comply with new water efficiency measures and to meet increased customer expectations. An Advanced Metering Infrastructure (AMI) system is required to offer better meter data to these customers. AMI would introduce two-way communication for meters, allowing remote reads and greater interaction between the utility and its customers. An AMI system also provides customers a detailed view of their water usage and allows them to make changes to increase the efficiency of water. Finally, an AMI system provides better system reliability in that it brings enhanced demand management and capital efficiencies. This project progresses from a business case, through a third party implementation, and finishes with the AMI system being installed and operating.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	1,000,000	6,000,000	0	0	0	0	7,000,000
Construction		0	0	0	0	0	0	0	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$1,000,000	\$6,000,000	\$0	\$0	\$0	\$0	\$7,000,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Water Operations Fund		\$0	\$690,000	\$4,140,000	\$0	\$0	\$0	\$0	\$4,830,000
Water Construction Fund		0	310,000	1,860,000	0	0	0	0	2,170,000
TOTAL		\$0	\$1,000,000	\$6,000,000	\$0	\$0	\$0	\$0	\$7,000,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WATER		30002 / 173002		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WELL 9 PUMP STATION PROJECT		Jun-18							
DESCRIPTION:									
Design and construct a pump station / top side improvements for Well 9 located within Westpark Phase 4.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	1,000,000	1,500,000	0	0	0	0	2,500,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$1,000,000	\$1,500,000	\$0	\$0	\$0	\$0	\$2,500,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Water Construction Fund		\$0	\$1,000,000	\$1,500,000	\$0	\$0	\$0	\$0	\$2,500,000
TOTAL		\$0	\$1,000,000	\$1,500,000	\$0	\$0	\$0	\$0	\$2,500,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WATER		30003 / 173003		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
SUNRISE AVE 24 IN WATER SYSTEM REHAB PROJECT		Jun-17							
DESCRIPTION:									
Rehabilitate or replace the existing 24 inch water system on Sunrise Ave between Coloma Way and Cirby Way.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$25,000	\$0	\$0	\$0	\$0	\$25,000	
Architectural/Engineering Services		0	100,000	0	0	0	0	100,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	1,000,000	0	0	0	0	1,000,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$1,125,000	\$0	\$0	\$0	\$0	\$1,125,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Water Rehab Fund		\$0	\$1,125,000	\$0	\$0	\$0	\$0	\$1,125,000	
TOTAL		\$0	\$1,125,000	\$0	\$0	\$0	\$0	\$1,125,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WATER		30003 / 173004		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WATER TREATMENT PLANT 2MG TANK REHABILITATION PROJECT		Jun-17							
DESCRIPTION:									
For the 2 MG steel tank located within the water treatment plant, refurbish the interior and exterior, apply a protective coating, and construct seismic stabilization components.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$25,000	\$0	\$0	\$0	\$0	\$25,000	
Architectural/Engineering Services		0	200,000	0	0	0	0	200,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	1,300,000	0	0	0	0	1,300,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$1,525,000	\$0	\$0	\$0	\$0	\$1,525,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Water Rehab Fund		\$0	\$1,525,000	\$0	\$0	\$0	\$0	\$1,525,000	
TOTAL		\$0	\$1,525,000	\$0	\$0	\$0	\$0	\$1,525,000	

WASTEWATER PROJECTS

<u>PROJECT TITLE</u>	<u>Page</u>	<u>PROJECT NUMBER</u>	<u>PRIOR YEARS</u>	<u>FY 2016-17</u>	<u>FY 2017-18</u>	<u>FY 2018-19</u>	<u>FY 2019-20</u>	<u>FY 2020-21</u>	<u>TOTAL PROJECT</u>
UPGRADE SEWER LINE	C-76	31502	150,000	150,000	150,000	150,000	150,000	0	750,000
CLEAN OUT INSTALLATION	C-77	31506	50,000	50,000	50,000	50,000	50,000	0	250,000
SEWER MANHOLE UPGRADE	C-78	31507	250,000	250,000	250,000	250,000	250,000	0	1,250,000
SEWER SERVICE UPGRADE	C-79	31508	100,000	100,000	100,000	100,000	100,000	0	500,000
EMERGENCY COLLECTION SYSTEM REPAIR	C-80	31509	500,000	500,000	500,000	500,000	500,000	0	2,500,000
WASTEWATER UTILITY REHABILITATION PROGRAM SUPPORT	C-81	31510	75,000	75,000	75,000	75,000	75,000	75,000	450,000
WASTEWATER-OUTREACH	C-82	31512	70,855	63,500	0	0	0	0	134,355
WASTEWATER - TECHNOLOGY REPLACEMENT	C-83	31550	50,000	50,000	50,000	50,000	50,000	50,000	300,000
WW INTERCEPTOR INSPECTION, CONDITION AND CLEANING PH II	C-84	30503 / 163505	200,000	115,000	0	0	0	0	315,000
ROOF REHABILITATION PROJECT AT THE REGIONAL DCWWTP FACILITY	C-85	30505 / 173501	0	315,000	0	0	0	0	315,000
FOOTHILLS BLVD 42 INCH REGIONAL SEWER REHABILITATION	C-86	30505 / 173502	0	110,000	0	0	0	0	110,000
DRY CREEK WASTEWATER TREATMENT PLANT - DIGESTER 1 ROOF REHABILITATION	C-87	30505 / 173503	0	355,000	0	0	0	0	355,000
Pleasant Grove & Dry Creek Wastewater Treatment Plants - Secondary Clarifier Coating Project	C-88	30503 / 173504	0	680,000	0	0	0	0	680,000
SOFTWARE APPLICATION UPGRADES	C-89	30501 / 173505	0	300,000	1,250,000	1,250,000	1,250,000	1,250,000	5,300,000
TOTAL									\$1,445,855 \$3,113,500 \$2,425,000 \$2,425,000 \$1,375,000 \$13,209,355

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
WASTEWATER		31502						
PROJECT TITLE:				TENTATIVE COMPLETION DATE:				
UPGRADE SEWER LINE				ANNUAL PROJECT				
DESCRIPTION:								
To replace mains and laterals as found by Closed Circuit TV inspection or continuous maintenance calls. Pre-Overlay projects. This activity is funded by the sewer rates.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction	150,000	150,000	150,000	150,000	150,000	150,000	0	750,000
Other	0	0	0	0	0	0	0	0
TOTAL		\$150,000	\$150,000	\$150,000	\$150,000	\$150,000	\$0	\$750,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Wastewater Rehabilitation Fund		\$150,000	\$150,000	\$150,000	\$150,000	\$150,000	\$0	\$750,000
TOTAL		\$150,000	\$150,000	\$150,000	\$150,000	\$150,000	\$0	\$750,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		31506							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
CLEAN OUT INSTALLATION		ANNUAL PROJECT							
DESCRIPTION:									
To install clean outs on services that do not have access for maintenance.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0			\$0	\$0	\$0
Architectural/Engineering Services		0	0	0			0	0	0
Site Acquisition & Preparation		0	0	0			0	0	0
Material / Equipment / Furniture		50,000	50,000	50,000	50,000	50,000	0	0	250,000
Construction		0	0	0	0		0	0	0
Other		0	0	0	0		0	0	0
TOTAL		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$0	\$250,000	
SOURCE OF FUNDS									
Wastewater Rehabilitation Fund		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$0	\$250,000	
TOTAL		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$0	\$250,000	

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
WASTEWATER		31507						
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
SEWER MANHOLE UPGRADE		ANNUAL PROJECT						
DESCRIPTION:								
To rehab aging sewer manholes.								
Typical annual work load is to rehab 50 sewer manholes.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$50,000	\$50,000	\$50,000	\$50,000	\$0	\$200,000
Architectural/Engineering Services		0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture	250,000	200,000	200,000	200,000	200,000	200,000	0	1,050,000
Construction	0	0	0	0	0	0	0	0
Other	0	0	0	0	0	0	0	0
TOTAL		\$250,000	\$250,000	\$250,000	\$250,000	\$250,000	\$0	\$1,250,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Wastewater Rehab Fund		\$250,000	\$250,000	\$250,000	\$250,000	\$250,000	\$0	\$1,250,000
TOTAL		\$250,000	\$250,000	\$250,000	\$250,000	\$250,000	\$0	\$1,250,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		31508							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
SEWER SERVICE UPGRADE		ANNUAL PROJECT							
DESCRIPTION:									
To upgrade aging sewer service laterals using trenchless technologies.									
Typical annual work load is 50 sewer services lines.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		100,000	100,000	100,000	100,000	100,000	0	0	500,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$0	\$500,000	
SOURCE OF FUNDS									
Wastewater Rehab Fund		\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$0	\$500,000	
TOTAL		\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$0	\$500,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		31509							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
EMERGENCY COLLECTION SYSTEM REPAIR		ANNUAL PROJECT							
DESCRIPTION:									
Project funds are reserved for unanticipated repairs that are not included in normal operating budget. Budgeted amount funds the largest repair that could be completed with City /EU staff. Larger repairs will require contractor services which would need council approval that would be set up as a separate project. Budgeted amount allows for two failures with the highest historical cost of projects completed with City/EU staff.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	150,000	150,000	150,000	150,000	0	600,000	600,000
Construction		500,000	350,000	350,000	350,000	350,000	0	1,900,000	1,900,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$0	\$2,500,000	\$2,500,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Wastewater Rehab Fund		\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$0	\$2,500,000	\$2,500,000
TOTAL		\$500,000	\$500,000	\$500,000	\$500,000	\$500,000	\$0	\$2,500,000	\$2,500,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		31510		Jul-15					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WASTEWATER UTILITY REHABILITATION PROGRAM SUPPORT		ANNUAL							
DESCRIPTION:									
Support Wastewater Utility's rehabilitation program and generate projects.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$450,000	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	0	0	0	0	0	0	
Other		0	0	0	0	0	0	0	
TOTAL		\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$450,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Wastewater Rehab Fund		\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$450,000	
TOTAL		\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$75,000	\$450,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		31512							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WASTEWATER-OUTREACH		ANNUAL PROJECT							
DESCRIPTION:									
The State Water Resources Control Board mandates that the City of Roseville implement a Sewer System Master Plan (SSMP) which includes implementation of residential outreach to discourage the habit of dumping kitchen grease down the drain which causes fatty clogs in the wastewater system and eventually creates sewer system overflows (SSOs) which can cause sewage discharges to the stormwater system.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	0	0	0	0	0	0	0
Other		70,855	63,500	0	0	0	0	0	134,355
TOTAL		\$70,855	\$63,500	\$0	\$0	\$0	\$0	\$0	\$134,355
SOURCE OF FUNDS									
Wastewater Operations Fund		\$70,855	\$63,500	\$0	\$0	\$0	\$0	\$0	\$134,355
TOTAL		\$70,855	\$63,500	\$0	\$0	\$0	\$0	\$0	\$134,355

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		31550							
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WASTEWATER - TECHNOLOGY REPLACEMENT		ANNUAL PROJECT							
DESCRIPTION:									
Annual project to replace technology equipment, including PCs, laptops, printers, projectors, plotters, phones, tablets, mobile devices, and other peripheral equipment.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		50,000	50,000	50,000	50,000	50,000	50,000	300,000	300,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$300,000	
SOURCE OF FUNDS									
Wastewater Rehab Fund		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$300,000
TOTAL		\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$300,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		30503 / 163505		Jul-15					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WW INTERCEPTOR INSPECTION, CONDITION AND CLEANING PH II		Jun-17							
DESCRIPTION:									
Inspect and assess the condition of various wastewater interceptors/trunk mains.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$25,000	\$15,000	\$0	\$0	\$0	\$0	\$40,000	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		175,000	100,000	0	0	0	0	275,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$200,000	\$115,000	\$0	\$0	\$0	\$0	\$315,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Wastewater Rehab Fund		\$127,620	\$72,450	\$0	\$0	\$0	\$0	\$200,070	
SPWA Partners		72,380	42,550	0	0	0	0	114,930	
TOTAL		\$200,000	\$115,000	\$0	\$0	\$0	\$0	\$315,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		30505 / 173501		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
ROOF REHABILITATION PROJECT AT THE REGIONAL DCWWTP FACILITY		Jun-17							
DESCRIPTION:									
Repair and replacement of roofs on multiple buildings at the DCWWTP.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$15,000	\$0	\$0	\$0	\$0	\$15,000	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	300,000	0	0	0	0	300,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$315,000	\$0	\$0	\$0	\$0	\$315,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Wastewater Rehab Fund		\$0	\$199,521	\$0	\$0	\$0	\$0	\$199,521	
SPWA Partners		0	115,479	0	0	0	0	115,479	
TOTAL		\$0	\$315,000	\$0	\$0	\$0	\$0	\$315,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		30505 / 173502		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
FOOTHILLS BLVD 42 INCH REGIONAL SEWER REHABILITATION		Jun-17							
DESCRIPTION:									
Cleaning and repair of approximately 300 feet of the 42" sewer main.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$10,000	\$0	\$0	\$0	\$0	\$10,000	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	100,000	0	0	0	0	100,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$110,000	\$0	\$0	\$0	\$0	\$110,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Wastewater Rehab Fund		\$0	\$69,674	\$0	\$0	\$0	\$0	\$69,674	
SPWA Partners		0	40,326	0	0	0	0	40,326	
TOTAL		\$0	\$110,000	\$0	\$0	\$0	\$0	\$110,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		30505 / 173503		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
DRY CREEK WASTEWATER TREATMENT PLANT - DIGESTER 1 ROOF REHABILITATION		Jun-17							
DESCRIPTION:									
Design services for new digester entry, walkways, and rehabilitation of the roof.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$10,000	\$0	\$0	\$0	\$0	\$10,000	
Architectural/Engineering Services		0	45,000	0	0	0	0	45,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	300,000	0	0	0	0	300,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$355,000	\$0	\$0	\$0	\$0	\$355,000	
SOURCE OF FUNDS									
Wastewater Rehab Fund		\$0	\$224,857	\$0	\$0	\$0	\$0	\$224,857	
SPWA Partners		0	130,143	0	0	0	0	130,143	
TOTAL		\$0	\$355,000	\$0	\$0	\$0	\$0	\$355,000	

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
WASTEWATER		30503 / 173504		Jul-16				
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
Pleasant Grove & Dry Creek Wastewater Treatment Plants - Secondary Clarifier Coating Project		Jun-17						
DESCRIPTION:								
Sand blast and coat secondary clarifier mechanisms at both the Pleasant Grove and Dry Creek WWTPs.								
Included in project:								
Pleasant Grove: All four secondary clarifiers								
Dry Creek: Secondary clarifiers 43 and 44								
COST ESTIMATE	PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor	\$0	\$20,000	\$0	\$0	\$0	\$0	\$20,000	
Architectural/Engineering Services	0	60,000	0	0	0	0	60,000	
Site Acquisition & Preparation	0	0	0	0	0	0	0	
Material / Equipment / Furniture	0	0	0	0	0	0	0	
Construction	0	600,000	0	0	0	0	600,000	
Other	0	0	0	0	0	0	0	
TOTAL	\$0	\$680,000	\$0	\$0	\$0	\$0	\$680,000	
SOURCE OF FUNDS								
PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT		
Wastewater Rehab Fund	\$0	\$680,000	\$0	\$0	\$0	\$0	\$680,000	
TOTAL	\$0	\$680,000	\$0	\$0	\$0	\$0	\$680,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
WASTEWATER		30501 / 173505		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
SOFTWARE APPLICATION UPGRADES		Jun-21							
DESCRIPTION:									
Environmental Utilities invests in software technology that requires periodic upgrade and/or replacement of various systems. The project is to ensure that funds are available when these systems require upgrade or replacement.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	300,000	1,000,000	1,000,000	1,000,000	1,000,000	4,300,000	4,300,000
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	250,000	250,000	250,000	250,000	1,000,000	1,000,000
Construction		0	0	0	0	0	0	0	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$300,000	\$1,250,000	\$1,250,000	\$1,250,000	\$1,250,000	\$5,300,000	\$5,300,000
SOURCE OF FUNDS									
Water Operations Fund		\$0	\$100,000	\$416,667	\$416,667	\$416,667	\$416,667	\$1,766,667	\$1,766,667
Wastewater Operations Fund			100,000	416,667	416,667	416,667	416,667	1,766,667	1,766,667
Solid Waste Operations Fund			100,000	416,667	416,667	416,667	416,667	1,766,667	1,766,667
TOTAL		\$0	\$300,000	\$1,250,000	\$1,250,000	\$1,250,000	\$1,250,000	\$5,300,000	\$5,300,000

PARK PROJECTS

<u>PROJECT TITLE</u>	<u>Page</u>	<u>PROJECT NUMBER</u>	<u>PRIOR YEARS</u>	<u>FY 2016-17</u>	<u>FY 2017-18</u>	<u>FY 2018-19</u>	<u>FY 2019-20</u>	<u>FY 2020-21</u>	<u>TOTAL PROJECT</u>
YOUTH SPORTS COALITION ANNUAL PROJECTS	C-91	51006	66,000	66,000	66,000	66,000	66,000	66,000	396,000
FIDDYMENT PARCEL 50 PARK	C-92	50011 / 155001	2,000,000	20,000	0	0	0	0	2,020,000
WALTRIP JR. PARK (STONERIDGE SPECIFIC PLAN 56)	C-93	50081 / 155005	123,269	30,000	0	0	0	0	153,269
SPORTS PARK COMPLEX	C-94	50105 / 155006	5,000,000	265,000	0	0	0	0	5,265,000
FIDDYMENT PARCEL 54	C-95	50105 / 175001	0	80,000	1,000,000	0	0	0	1,080,000
WRSP THREE-PLEX BASEBALL	C-96	50105 / 175002	0	80,000	1,100,000	0	0	0	1,180,000
WESTPARK PARCEL 50A SCHOOL PARK	C-97	50011 / 175003	0	80,000	1,500,000	0	0	0	1,580,000
CIVIC PLAZA AT ROYER PARK	C-98	50001 / 175004	0	75,000	0	700,000	0	0	775,000
SAUGSTAD SKATE TRACK	C-99	50001 / 175005	0	150,000	0	0	0	0	150,000
TOTAL			\$7,189,269	\$846,000	\$3,666,000	\$766,000	\$66,000	\$66,000	\$12,599,269

CAPITAL IMPROVEMENT PROJECT BUDGET							
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:			
PARKS		51006		Jul-08			
PROJECT TITLE:		TENTATIVE COMPLETION DATE:					
YOUTH SPORTS COALITION ANNUAL PROJECTS		ANNUAL PROJECT					
DESCRIPTION:							
Fees allocated to the Youth Sports Coalition shall be used for capital improvement projects. One-half of the funds shall be for general maintenance projects approved by the City of Roseville. One-half of the funds shall be for projects recommended by the Youth Sports Coalition and approved by the Parks and Recreation Commission or City Council as appropriate.							
COST ESTIMATE	PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services	0	0	0	0	0	0	0
Site Acquisition & Preparation	0	0	0	0	0	0	0
Material / Equipment / Furniture	0	0	0	0	0	0	0
Construction	66,000	66,000	66,000	66,000	66,000	66,000	396,000
Other	0	0	0	0	0	0	0
TOTAL	\$66,000	\$66,000	\$66,000	\$66,000	\$66,000	\$66,000	\$396,000
SOURCE OF FUNDS							
Roseville Youth Sports Coalition Fund	\$66,000	\$66,000	\$66,000	\$66,000	\$66,000	\$66,000	\$396,000
TOTAL	\$66,000	\$66,000	\$66,000	\$66,000	\$66,000	\$66,000	\$396,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
PARKS		50011 / 155001		Jul-14					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
FIDDYMENT PARCEL 50 PARK		Jun-17							
DESCRIPTION:									
Park design and construction at West Roseville school / park site, including walkway at Harrigan.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0		\$0	\$0	\$0
Architectural/Engineering Services		200,000	0	0	0		0	0	200,000
Site Acquisition & Preparation		0	0	0	0		0	0	0
Material / Equipment / Furniture		0	0	0	0		0	0	0
Construction		1,800,000	20,000	0	0		0	0	1,820,000
Other		0	0	0	0		0	0	0
TOTAL		\$2,000,000	\$20,000	\$0	\$0	\$0	\$0	\$0	\$2,020,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
WRSP Neighborhood Park Fund		\$2,000,000	\$20,000	\$0	\$0	\$0	\$0	\$0	\$2,020,000
TOTAL		\$2,000,000	\$20,000	\$0	\$0	\$0	\$0	\$0	\$2,020,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
PARKS		50081 / 155005		Jul-14					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WALTRIP JR. PARK (STONERIDGE SPECIFIC PLAN 56)		Jun-17							
DESCRIPTION:									
Reimbursement to Elliott Homes.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		123,269	30,000	0	0	0	0	0	153,269
Other		0	0	0	0	0	0	0	0
TOTAL		\$123,269	\$30,000	\$0	\$0	\$0	\$0	\$0	\$153,269
SOURCE OF FUNDS									
Park Development-Stoneridge Fund		\$123,269	\$30,000	\$0	\$0	\$0	\$0	\$0	\$153,269
TOTAL		\$123,269	\$30,000	\$0	\$0	\$0	\$0	\$0	\$153,269

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
PARKS		50105 / 155006		Jul-14					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
SPORTS PARK COMPLEX		Jun-18							
DESCRIPTION:									
Regional bike trail (\$175,000) and children's play area (\$90,000) (City obligation to PV56 Sports Complex).									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction	5,000,000	265,000	0	0	0	0	0	5,265,000	0
Other	0	0	0	0	0	0	0	0	0
TOTAL		\$5,000,000	\$265,000	\$0	\$0	\$0	\$0	\$5,265,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
City-Wide Park Fund - WRSP		\$5,000,000	\$265,000	\$0	\$0	\$0	\$0	\$5,265,000	
TOTAL		\$5,000,000	\$265,000	\$0	\$0	\$0	\$0	\$5,265,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
PARKS		50105 / 175001		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
FIDDYMENT PARCEL 54		Jun-18							
DESCRIPTION:									
		This project funds the development of the master plan and development of this 91 acre West Roseville Specific Plan City-Wide Park Site.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	
Architectural/Engineering Services		0	80,000	0	0	0	0	80,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	0	1,000,000	0	0	0	1,000,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$80,000	\$1,000,000	\$0	\$0	\$0	\$1,080,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
City-Wide Park Fund - WRSP		\$0	\$80,000	\$1,000,000	\$0	\$0	\$0	\$1,080,000	
TOTAL		\$0	\$80,000	\$1,000,000	\$0	\$0	\$0	\$1,080,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
PARKS		50105 / 175002		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WRSP THREE-PLEX BASEBALL		Jun-18							
DESCRIPTION:									
This project funds the design and development of a three field baseball complex in West Roseville.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0			\$0	\$0	\$0
Architectural/Engineering Services		0	80,000	0	0	0	0	0	80,000
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	0	1,100,000	0	0	0	0	1,100,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$80,000	\$1,100,000	\$0	\$0	\$0	\$0	\$1,180,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
City-Wide Park Fund - WRSP		\$0	\$80,000	\$1,100,000	\$0	\$0	\$0	\$0	\$1,180,000
TOTAL		\$0	\$80,000	\$1,100,000	\$0	\$0	\$0	\$0	\$1,180,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
PARKS		50011 / 175003		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
WESTPARK PARCEL 50A SCHOOL PARK		Jun-18							
DESCRIPTION:									
This project funds the design and development of this West Roseville School/Park Site.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0		\$0	\$0	\$0
Architectural/Engineering Services		0	80,000	0	0		0	80,000	0
Site Acquisition & Preparation		0	0	0	0		0	0	0
Material / Equipment / Furniture		0	0	0	0		0	0	0
Construction		0	0	1,500,000	0		0	1,500,000	0
Other		0	0	0	0		0	0	0
TOTAL		\$0	\$80,000	\$1,500,000	\$0	\$0	\$0	\$1,580,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
WRSP Neighborhood Park Fund		\$0	\$80,000	\$1,500,000	\$0	\$0	\$0	\$1,580,000	
TOTAL		\$0	\$80,000	\$1,500,000	\$0	\$0	\$0	\$1,580,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
PARKS		50001 / 175004		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
CIVIC PLAZA AT ROYER PARK		Jun-19							
DESCRIPTION:									
This project funds the master plan and development of a plaza where the main downtown pedestrian bridge lands in Royer Park.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0			\$0	\$0
Architectural/Engineering Services		0	75,000	0	0			0	75,000
Site Acquisition & Preparation		0	0	0	0			0	0
Material / Equipment / Furniture		0	0	0	0			0	0
Construction		0	0	0	700,000			0	700,000
Other		0	0	0	0			0	0
TOTAL		\$0	\$75,000	\$0	\$700,000	\$0	\$0	\$0	\$775,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Infill Neighborhood Park Fund		\$0	\$75,000	\$0	\$700,000	\$0	\$0	\$0	\$775,000
TOTAL		\$0	\$75,000	\$0	\$700,000	\$0	\$0	\$0	\$775,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
PARKS		50001 / 175005		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
SAUGSTAD SKATE TRACK		Jun-17							
DESCRIPTION:									
This project funds the development of a street-style skate track at Saugstad Park.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	150,000	0	0	0	0	150,000	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$150,000	\$0	\$0	\$0	\$0	\$150,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Infill Neighborhood Park Fund		\$0	\$100,000	\$0	\$0	\$0	\$0	\$100,000	
General CIP Rehab Fund		0	50,000	0	0	0	0	50,000	
TOTAL		\$0	\$150,000	\$0	\$0	\$0	\$0	\$150,000	

GOLF COURSE PROJECTS

<u>PROJECT TITLE</u>	<u>Page</u>	<u>PROJECT NUMBER</u>	<u>PRIOR YEARS</u>	<u>FY 2016-17</u>	<u>FY 2017-18</u>	<u>FY 2018-19</u>	<u>FY 2019-20</u>	<u>FY 2020-21</u>	<u>TOTAL PROJECT</u>
DIAMOND OAKS GOLF COURSE RENOVATIONS	C-101	50503 / 065501	779,100	45,000	45,000	45,000	0	0	914,100
WOODCREEK GOLF COURSE	C-102	50503 / 085501	521,501	45,000	0	0	0	0	566,501
TOTAL			\$1,300,601	\$90,000	\$45,000	\$45,000	\$0	\$0	\$1,480,601

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
GOLF COURSE		50503 / 065501		Jul-05					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
DIAMOND OAKS GOLF COURSE RENOVATIONS		Jun-17							
DESCRIPTION:									
HVAC (Pro Shop) \$25,000									
Roof \$20,000									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$6,195	\$0	\$0	\$0	\$0	\$0	\$6,195	
Architectural/Engineering Services		19,601	0	0	0	0	0	19,601	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		752,899	45,000	45,000	45,000	0	0	887,899	
Other		405	0	0	0	0	0	405	
TOTAL		\$779,100	\$45,000	\$45,000	\$45,000	\$0	\$0	\$914,100	
SOURCE OF FUNDS									
Golf Course Improvement Fund		\$512,599	\$45,000	\$45,000	\$45,000	\$0	\$0	\$647,599	
Diamond Oaks		266,501	0	\$0	\$0	\$0	0	266,501	
TOTAL		\$779,100	\$45,000	\$45,000	\$45,000	\$0	\$0	\$914,100	

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
GOLF COURSE		50503 / 085501		Jul-07				
PROJECT TITLE:				TENTATIVE COMPLETION DATE:				
WOODCREEK GOLF COURSE				Jun-17				
DESCRIPTION:								
Restroom (Banquet) \$25,000								
Restroom (Breezeway) \$20,000								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$0	\$0	\$0		\$0	0
Architectural/Engineering Services		72,000	0	0	0		0	72,000
Site Acquisition & Preparation		0	0	0	0		0	0
Material / Equipment / Furniture		0	0	0	0		0	0
Construction		449,501	45,000	0	0		0	494,501
Other		0	0	0	0		0	0
TOTAL		\$521,501	\$45,000	\$0	\$0	\$0	\$0	\$566,501
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Golf Course Improvement Fund		\$255,000	\$45,000	\$0	\$0		\$0	\$300,000
Woodcreek Oaks Golf Course		266,501	0	0	0		0	266,501
TOTAL		\$521,501	\$45,000	\$0	\$0	\$0	\$0	\$566,501

ELECTRIC PROJECTS

<u>PROJECT TITLE</u>	<u>Page</u>	<u>PROJECT NUMBER</u>	<u>PRIOR YEARS</u>	<u>FY 2016-17</u>	<u>FY 2017-18</u>	<u>FY 2018-19</u>	<u>FY 2019-20</u>	<u>FY 2020-21</u>	<u>TOTAL PROJECT</u>
NEW SERVICES	C-104	41011	0	3,500,000	3,000,000	3,000,000	3,000,000	3,000,000	15,500,000
12KV UPGRADE AND EXTENSION	C-105	41012	0	540,000	300,000	185,000	250,000	250,000	1,525,000
GENERAL FACILITIES	C-106	91025	100,000	100,000	100,000	100,000	100,000	100,000	600,000
REHAB RELAY REPLACEMENTS	C-107	40002 / 134004	1,060,000	450,000	230,000	345,000	230,000	0	2,315,000
CABLE REPLACEMENT	C-108	40002 / 134005	1,200,001	250,000	260,000	260,000	260,000	0	2,230,001
DOUGLAS SUBSTN REHAB/NETWORK ADDITIONS	C-109	40002 / 144001	4,347,122	2,800,000	0	0	0	0	7,147,122
REHAB FAILURE REPLACEMENTS - CNTRL SYST REHAB	C-110	40002 / 144008	600,000	2,900,000	0	0	0	0	3,500,000
REHAB COMMUNICATIONS EQUIPMENT	C-111	40002 / 144009	100,000	110,000	120,000	120,000	150,000	0	600,000
RSVL POWER PLANT 2 (RPP2) REHAB	C-112	40002 / 164004	300,000	1,220,000	2,160,000	0	0	0	3,680,000
CT GEAR REPLACEMENT	C-113	40002 / 164006	950,000	765,000	0	0	0	0	1,715,000
PARK SUBSTATION TRANSFORMER REPLACEMENT	C-114	40002 / 174001	0	1,700,000	0	0	0	0	1,700,000
CIRBY-DOUGLAS-BERRY FIBER ADDITION	C-115	40002 / 174002	0	300,000	0	0	0	0	300,000
SOUTHEAST CIRCUIT SWITCHER REPLACEMENT	C-116	40002 / 174003	0	200,000	0	0	0	0	200,000
FIBER STORAGE LOOP REBUILD	C-117	40002 / 174004	0	45,000	45,000	45,000	45,000	0	180,000
ATTEMPERATOR REDESIGN AND INSTALLATION	C-118	40002 / 174005	0	415,000	0	0	0	0	415,000
ZLD IMPROVEMENTS	C-119	40002 / 174006	0	280,000	2,500,000	2,500,000	0	0	5,280,000
LOAD MANAGEMENT PROJECT	C-120	40002 / 174101	0	5,000	5,000	0	0	0	10,000
ADVANCED METERING INFRASTRUCTURE	C-121	40002 / 174102	0	800,000	11,800,000	0	0	0	12,600,000
CUSTOMER INFORMATION SYSTEM (CIS) REPLACEMENT	C-122	40002 / 174103	0	2,000,000	0	0	0	0	2,000,000
DOWNTOWN SPECIFIC PLAN ELECTRICAL ADDITIONS	C-123	40002 / 174104	0	100,000	0	0	0	0	100,000
SIERRA VISTA SUBSTATION	C-124	40002 / 174105	0	2,500,000	5,000,000	1,400,000	0	0	8,900,000
SCADA SYSTEM / CYBER SECURITY	C-125	40002 / 174106	0	40,000	40,000	40,000	40,000	40,000	200,000
ELECTRIC VEHICLE PROGRAM	C-126	40002 / 174107	0	35,000	10,000	10,000	10,000	10,000	75,000
DISPATCH CENTER	C-127	40002 / 174108	0	500,000	500,000	3,000,000	0	0	4,000,000
COMMUNITY SOLAR PROJECT	C-128	40002 / 174109	0	275,000	0	0	0	0	275,000
TOTAL			\$8,657,123	\$21,830,000	\$26,070,000	\$11,005,000	\$4,085,000	\$3,400,000	\$75,047,123

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT: ELECTRIC		PROJECT NUMBER: 41011		ORIGINAL APPROPRIATION DATE:					
PROJECT TITLE: NEW SERVICES		TENTATIVE COMPLETION DATE: ANNUAL PROJECT							
DESCRIPTION;									
Provides for extension of underground electric services to new customers and development. This is an annual capital improvement project. Installation assumptions are as follows: Install service to 900 single family lots / units. Install service to 400,000 square feet commercial. Install 10,000 circuit feet of mainline cable. Installation and upgrades of street lighting as needed.									
OVERHEAD: Provides for extension of electric services to customers in existing overhead areas where underground services would not be practical. This typically involves ten to fifteen services per year.									
COST ESTIMATE		PRIOR YEAR	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	450,000	450,000	450,000	450,000	450,000	2,250,000	2,250,000
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	2,600,000	2,100,000	2,100,000	2,100,000	2,100,000	11,000,000	11,000,000
Other		0	450,000	450,000	450,000	450,000	450,000	2,250,000	2,250,000
TOTAL		\$0	\$3,500,000	\$3,000,000	\$3,000,000	\$3,000,000	\$3,000,000	\$15,500,000	\$15,500,000
SOURCE OF FUNDS									
Electric Construction Fund		\$0	\$3,500,000	\$3,000,000	\$3,000,000	\$3,000,000	\$3,000,000	\$15,500,000	\$15,500,000
TOTAL		\$0	\$3,500,000	\$3,000,000	\$3,000,000	\$3,000,000	\$3,000,000	\$15,500,000	\$15,500,000

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT: ELECTRIC		PROJECT NUMBER: 41012		ORIGINAL APPROPRIATION DATE:				
PROJECT TITLE: 12KV UPGRADE AND EXTENSION		TENTATIVE COMPLETION DATE: ANNUAL PROJECT						
DESCRIPTION:								
Provides for extensions and upgrading of main lines when the work is done at City expense. Work would include increasing capacity of a line due to overloads or low voltage.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$50,000	\$50,000	\$50,000	\$50,000	\$50,000	\$250,000
Architectural/Engineering Services		0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction		0	490,000	250,000	135,000	200,000	200,000	1,275,000
Other		0	0	0	0	0	0	0
TOTAL		\$0	\$540,000	\$300,000	\$185,000	\$250,000	\$250,000	\$1,525,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Electric Construction Fund		\$0	\$540,000	\$300,000	\$185,000	\$250,000	\$250,000	\$1,525,000
TOTAL		\$0	\$540,000	\$300,000	\$185,000	\$250,000	\$250,000	\$1,525,000

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
ELECTRIC		91025						
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
GENERAL FACILITIES		ANNUAL PROJECT						
DESCRIPTION:								
Rehab project for building and general rehab for the Electric Department. This includes roofing, paving, equipment, and facility rehab.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture	100,000		100,000	100,000	100,000	100,000	100,000	600,000
Construction	0		0	0	0	0	0	0
Other	0		0	0	0	0	0	0
TOTAL		\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$600,000
SOURCE OF FUNDS								
Electric Construction Fund		\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$600,000
TOTAL		\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$100,000	\$600,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT: ELECTRIC		PROJECT NUMBER: 40002 / 134004		ORIGINAL APPROPRIATION DATE: Jun-12					
PROJECT TITLE: REHAB RELAY REPLACEMENTS		TENTATIVE COMPLETION DATE: Jun-20							
DESCRIPTION:									
Replace 12 KV and 60 KV protective relays that have reached the end of their useful lives. This includes the 12 KV system relays that have begun to inadvertently operate (trip), line protection relays on the 60 KV system, and remaining electro-mechanical relays. There are approximately 30 relays that need to be replaced under this project including units at Southeast, Foothills, Berry St., Fiddymont, Industrial, and Pleasant Grove substations.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$588,253	\$175,000	\$90,000	\$130,000	\$90,000	\$0	\$1,073,253	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		310,010	100,000	50,000	85,000	50,000	0	595,010	
Construction		136,737	0	0	0	0	0	136,737	
Other		25,000	175,000	90,000	130,000	90,000	0	510,000	
TOTAL		\$1,060,000	\$450,000	\$230,000	\$345,000	\$230,000	\$0	\$2,315,000	
SOURCE OF FUNDS									
Electric Construction Fund		\$1,060,000	\$450,000	\$230,000	\$345,000	\$230,000	\$0	\$2,315,000	
TOTAL		\$1,060,000	\$450,000	\$230,000	\$345,000	\$230,000	\$0	\$2,315,000	

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
ELECTRIC		40002 / 134005		Jun-12				
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
CABLE REPLACEMENT		Jun-20						
DESCRIPTION:								
Replace 12 kV cables that have reached the end of their service life. The instrumental factors used to determine when to replace cables are age, service history and fault records. Replacement priority is given to areas where cables are beginning to fail and show a pattern of multiple outages. In most instances, cables were installed in a particular neighborhood at the same time so this means when one section begins to fail this generally triggers a cable replacement project for all the cables in that area. Cable replacement priorities are assessed on an annual basis.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$523,113	\$75,000	\$80,000	\$80,000	\$80,000	\$0	\$838,113
Architectural/Engineering Services		5,100	0	0	0	0	0	5,100
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		220,220	100,000	100,000	100,000	100,000	0	620,220
Construction		376,568	0	0	0	0	0	376,568
Other		75,000	75,000	80,000	80,000	80,000	0	390,000
TOTAL		\$1,200,001	\$250,000	\$260,000	\$260,000	\$260,000	\$0	\$2,230,001
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Electric Construction Fund		\$1,200,001	\$250,000	\$260,000	\$260,000	\$260,000	\$0	\$2,230,001
TOTAL		\$1,200,001	\$250,000	\$260,000	\$260,000	\$260,000	\$0	\$2,230,001

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 144001		Jul-13					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
DOUGLAS SUBSTN REHAB/NETWORK ADDITIONS		May-18							
DESCRIPTION:									
This project continues the rebuild of the existing Douglas substation. The remaining portion of the project includes the replacement of transformers that are well past their expected life and the replacement of 60kV circuit breakers that are reaching end-of-life and are exhibiting operating problems. This project also includes improving the connection between the Douglas substation and the 60 kV system.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$1,097,701	\$800,000	\$0	\$0	\$0	\$0	\$1,897,701	
Architectural/Engineering Services		11,309	0	0	0	0	0	11,309	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		2,197,705	2,000,000	0	0	0	0	4,197,705	
Construction		1,040,407	0	0	0	0	0	1,040,407	
Other		0	0	0	0	0	0	0	
TOTAL		\$4,347,122	\$2,800,000	\$0	\$0	\$0	\$0	\$7,147,122	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Electric Construction Fund		\$4,347,122	\$2,800,000	\$0	\$0	\$0	\$0	\$7,147,122	
TOTAL		\$4,347,122	\$2,800,000	\$0	\$0	\$0	\$0	\$7,147,122	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT: ELECTRIC		PROJECT NUMBER: 40002 / 144008		ORIGINAL APPROPRIATION DATE: Jul-13					
PROJECT TITLE: REHAB FAILURE REPLACEMENTS - CNTRL SYST REHAB		TENTATIVE COMPLETION DATE: Jun-17							
DESCRIPTION:									
The Distributed Control System includes a network of multiple systems tied into the main Emerson system for monitoring and control of all Generation Division assets. They are required to monitor and control equipment at each site as well as provide data for compliance purposes. The Citech and Siemens systems are at the end of their life and require replacement. This project is to replace these units and upgrade them to the latest technology and also tie them into the Emerson system as applicable.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		9,460	1,600,000	0	0	0	0	1,609,460	
Construction		590,540	1,300,000	0	0	0	0	1,890,540	
Other		0	0	0	0	0	0	0	0
TOTAL		\$600,000	\$2,900,000	\$0	\$0	\$0	\$0	\$3,500,000	
SOURCE OF FUNDS									
Electric Construction Fund		\$600,000	\$2,900,000	\$0	\$0	\$0	\$0	\$3,500,000	
TOTAL		\$600,000	\$2,900,000	\$0	\$0	\$0	\$0	\$3,500,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 144009		Jul-13					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
REHAB COMMUNICATIONS EQUIPMENT		Jun-20							
DESCRIPTION:									
		Roseville Electric has fiber optic communications to all substations -- except Douglas. This fiber optic network is used to communicate with our SCADA system, provide telephone service at the substation, provide access to the internal Roseville network (i.e. intranet and shared drives) and to provide for a redundant backup dispatch center. The backbone of this network is the G.E. JungleMUX (SONET multiplexer).							
		The optical cards within the existing JungleMUX are beginning to fail and replacements are no longer available. This project covers a multi-year optical card replacement program, using a new and improved version of the card. An additional benefit of this replacement / upgrade will be an increase in bandwidth by a factor of approximately 20. This will allow for future systems such as video surveillance at the substation and support for AMI.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$5,000	\$25,000	\$10,000	\$10,000	\$10,000	\$0	\$0	\$60,000
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		40,000	60,000	100,000	100,000	130,000	0	0	430,000
Construction		50,000	0	0	0	0	0	0	50,000
Other		5,000	25,000	10,000	10,000	10,000	0	0	60,000
TOTAL		\$100,000	\$110,000	\$120,000	\$120,000	\$150,000	\$0	\$0	\$600,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Electric Construction Fund		\$100,000	\$110,000	\$120,000	\$120,000	\$150,000	\$0	\$0	\$600,000
TOTAL		\$100,000	\$110,000	\$120,000	\$120,000	\$150,000	\$0	\$0	\$600,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 164004		Jul-15					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
RSVL POWER PLANT 2 (RPP2) REHAB		Jun-18							
DESCRIPTION:									
These funds are to be used for RPP2 rehabilitation following a site condition assessment. Rehabilitation may include improvements to the electric control metering and protection equipment, conversion to digital turbine controls and other recommended improvements and upgrades.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		100,000	220,000	160,000	0	0	0	0	480,000
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		200,000	0	0	0	0	0	0	200,000
Construction		0	1,000,000	2,000,000	0	0	0	0	3,000,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$300,000	\$1,220,000	\$2,160,000	\$0	\$0	\$0	\$0	\$3,680,000
SOURCE OF FUNDS									
Electric Construction Fund		\$300,000	\$1,220,000	\$2,160,000	\$0	\$0	\$0	\$0	\$3,680,000
TOTAL		\$300,000	\$1,220,000	\$2,160,000	\$0	\$0	\$0	\$0	\$3,680,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 164006		Feb-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
CT GEAR REPLACEMENT		Jun-17							
DESCRIPTION:									
REP gear sets, which couple the gas combustion turbines (CT) to their electric generators, have shown indications of the onset of gear failure. If the gears were to fail catastrophically, extensive damage would occur to the turbine and generator, as well as incurring a prolonged outage in order to procure replacement parts and perform repairs. Replacement of the first gear set will begin in FY16 with completion in FY17. Replacement of the second gear set will begin in FY17.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		950,000	765,000	0	0	0	0	0	1,715,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$950,000	\$765,000	\$0	\$0	\$0	\$0	\$0	\$1,715,000
SOURCE OF FUNDS									
Electric Construction Fund		\$950,000	\$765,000	\$0	\$0	\$0	\$0	\$0	\$1,715,000
TOTAL		\$950,000	\$765,000	\$0	\$0	\$0	\$0	\$0	\$1,715,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT: ELECTRIC		PROJECT NUMBER: 40002 / 174001		ORIGINAL APPROPRIATION DATE: Jul-16					
PROJECT TITLE: PARK SUBSTATION TRANSFORMER REPLACEMENT		TENTATIVE COMPLETION DATE: Jun-17							
DESCRIPTION:									
Park substation is the most heavily loaded substation in the City and serves the largest concentration of commercial load, including the Galleria Mall and adjacent shopping centers.									
When Park substation was initially constructed, two 46MVA transformers were installed. Using our SCADA system, transformer temperature and loading are continuously monitored for these transformers. Analysis of this SCADA temperature and loading data for the ST51 transformer at Park has shown that it consistently operates at a much higher temperature for a given load than expected. As a precaution, the allowable loading on this transformer has been limited to 32MVA; well below its specified nameplate capacity of 46MVA.									
Given the importance and magnitude of the customer load served by the Park substation, and concerns about the transformer design, replacement of this transformer is planned for FY17.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$100,000	\$0	\$0	\$0	\$0	\$0	\$100,000
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	1,200,000	0	0	0	0	0	1,200,000
Construction		0	400,000	0	0	0	0	0	400,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$1,700,000	\$0	\$0	\$0	\$0	\$0	\$1,700,000
SOURCE OF FUNDS									
Electric Construction Fund		\$0	\$1,700,000	\$0	\$0	\$0	\$0	\$0	\$1,700,000
TOTAL		\$0	\$1,700,000	\$0	\$0	\$0	\$0	\$0	\$1,700,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 174002		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
CIRBY-DOUGLAS-BERRY FIBER ADDITION		Jun-18							
DESCRIPTION:		In conjunction with the Douglas substation rehabilitation project, this project adds fiber optic communications to the substation. Currently, the SCADA communications at Douglas are transmitted to the dispatch center via a leased telephone line and a radio repeater link to Berry St. substation. Once this new fiber is installed, the leased line and associated charges will be eliminated, and the relatively unreliable radio repeater will be removed. The addition of this fiber will also enable upgrading the protection of 60 kV lines tied to the Douglas substation to our standard line protection methodology. This project will install fiber from Cirby substation to Douglas substation, and then from Douglas substation to Berry St. substation.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$50,000	\$0	\$0	\$0	\$0	\$0	\$50,000
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	50,000	0	0	0	0	0	50,000
Construction		0	200,000	0	0	0	0	0	200,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$300,000	\$0	\$0	\$0	\$0	\$0	\$300,000
SOURCE OF FUNDS									
Electric Construction Fund		\$0	\$300,000	\$0	\$0	\$0	\$0	\$0	\$300,000
TOTAL		\$0	\$300,000	\$0	\$0	\$0	\$0	\$0	\$300,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT: ELECTRIC		PROJECT NUMBER: 40002 / 174003		ORIGINAL APPROPRIATION DATE: Jul-16					
PROJECT TITLE: SOUTHEAST CIRCUIT SWITCHER REPLACEMENT		TENTATIVE COMPLETION DATE: Jun-17							
DESCRIPTION:		Circuit switcher 6015 is used to protect transformer ST67 at Southeast substation. During maintenance in May 2015, the sister unit (circuit switcher 6016) was discovered to have a broken operating mechanism and had to be replaced immediately (when these units fail they cannot be repaired). Two identical devices (of the same age) have previously failed at Foothills substation and have been replaced. Given the failure of all other similar units in Roseville Electric Utility's system and given the importance of protecting transformer ST67, replacement of this switcher is planned for FY2016/17.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$50,000	\$0	\$0	\$0	\$0	\$0	\$50,000
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	50,000	0	0	0	0	0	50,000
Construction		0	100,000	0	0	0	0	0	100,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$200,000	\$0	\$0	\$0	\$0	\$0	\$200,000
SOURCE OF FUNDS									
Electric Construction Fund		\$0	\$200,000	\$0	\$0	\$0	\$0	\$0	\$200,000
TOTAL		\$0	\$200,000	\$0	\$0	\$0	\$0	\$0	\$200,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT: ELECTRIC		PROJECT NUMBER: 40002 / 174004		ORIGINAL APPROPRIATION DATE: Jul-16					
PROJECT TITLE: FIBER STORAGE LOOP REBUILD		TENTATIVE COMPLETION DATE: Jun-20							
DESCRIPTION:		When Roseville Electric Utility first began installing fiber optic communications between substations, a “snowshoe” style bracket was used to create a storage loop on the fiber optic cable runs. This storage loop consists of looping the fiber back upon itself successive times over an approximately 50 foot span and using zip ties to hold the fiber in place. Over time, UV light has deteriorated the zip ties, causing the fiber optic cable to sag well below its installed height. More recent fiber optic installations have used a pole-mounted bracket for the fiber storage loops. This design does not rely upon zip ties for mechanical strength and completely eliminates the possibility of the fiber sagging (which could lead to damage). This project consists of replacing 37 snowshoe fiber optic storage loops with the pole mounted brackets.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$5,000	\$5,000	\$5,000	\$5,000	\$0	\$20,000	
Architectural/Engineering Services		0	0	0	0	0	0	0	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	5,000	5,000	5,000	5,000	0	20,000	
Construction		0	35,000	35,000	35,000	35,000	0	140,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$45,000	\$45,000	\$45,000	\$45,000	\$0	\$180,000	
SOURCE OF FUNDS									
Electric Construction Fund		\$0	\$45,000	\$45,000	\$45,000	\$45,000	\$0	\$180,000	
TOTAL		\$0	\$45,000	\$45,000	\$45,000	\$45,000	\$0	\$180,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 174005		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
ATTEMPERATOR REDESIGN AND INSTALLATION		Jun-17							
DESCRIPTION:									
Under certain operating conditions, the attemperation system is used to reduce the high pressure main steam temperature, after the Heat Recovery Steam Generators, in order to protect the steam turbine and steam piping. The existing attemperators were not designed with adequate control properties, have resulted in capacity impacts, and pose a threat to the safe and reliable operation of all downstream components, including the highly valuable steam turbine generator.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$15,000	\$0	\$0	\$0	\$0	\$0	\$15,000
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	200,000	0	0	0	0	0	200,000
Construction		0	200,000	0	0	0	0	0	200,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$415,000	\$0	\$0	\$0	\$0	\$0	\$415,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Electric Construction Fund		\$0	\$415,000	\$0	\$0	\$0	\$0	\$0	\$415,000
TOTAL		\$0	\$415,000	\$0	\$0	\$0	\$0	\$0	\$415,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 174006		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
ZLD IMPROVEMENTS		Jun-19							
DESCRIPTION:									
		The Zero Liquid Discharge (ZLD) Improvement project is intended to occur over multiple fiscal years, as a series of replacements and new installations designed to allow for the continued reliable operation of the ZLD system. The ZLD involves several aggressive processes that can be destructive to equipment over time. Also, since the plant was built, operating experience has evinced the need for several improvements to the existing design which will further improve reliability and ZLD effectiveness.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$80,000	\$100,000	\$100,000	\$0	\$0	\$280,000	
Architectural/Engineering Services		0	200,000	0	0	0	0	200,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	1,500,000	1,500,000	0	0	3,000,000	
Construction		0	0	900,000	900,000	0	0	1,800,000	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$280,000	\$2,500,000	\$2,500,000	\$0	\$0	\$5,280,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Electric Construction Fund		\$0	\$280,000	\$2,500,000	\$2,500,000	\$0	\$0	\$5,280,000	
TOTAL		\$0	\$280,000	\$2,500,000	\$2,500,000	\$0	\$0	\$5,280,000	

CAPITAL IMPROVEMENT PROJECT BUDGET										
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:						
ELECTRIC		40002 / 174101		Jul-16						
PROJECT TITLE:		TENTATIVE COMPLETION DATE:								
LOAD MANAGEMENT PROJECT		Jun-18								
DESCRIPTION:										
Replaces Project 044004. Related expenditures from project inception to 3/20/16 are \$1,190,624 funded by the Electric Operations Fund.										
Roseville Electric Utility owns, operates and maintains dispatchable/controlled residential electric demand response devices at participating homes. Residential air conditioning compressors represent a significant portion of the utility's load during the summer on-peak period, and the ability to quickly displace the load and maintain the load reduction is of great value. Benefits include averting brownouts and blackouts, avoiding use of more expensive supply-side resources or purchase of expensive spot market power.										
COST ESTIMATE	PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT			
Labor	\$0	\$0	\$0	\$0	\$0	\$0	\$0			
Architectural/Engineering Services	0	0	0	0	0	0	0			
Site Acquisition & Preparation	0	0	0	0	0	0	0			
Material / Equipment / Furniture	0	0	0	0	0	0	0			
Construction	0	0	0	0	0	0	0			
Other	0	5,000	5,000	0	0	0	10,000			
TOTAL	\$0	\$5,000	\$5,000	\$0	\$0	\$0	\$10,000			
SOURCE OF FUNDS										
Electric Construction Fund	\$0	\$5,000	\$5,000	\$0	\$0	\$0	\$10,000			
TOTAL	\$0	\$5,000	\$5,000	\$0	\$0	\$0	\$10,000			

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 174102		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
ADVANCED METERING INFRASTRUCTURE		Jun-19							
DESCRIPTION:									
		Replaces Project 104001. Related expenditures from project inception to 3/20/16 are \$157,687 funded by the Electric Operations Fund.							
		Roseville Electric faces increasing demands to provide meter data to customers to comply with new regulations and to meet increased customer expectations. Many Roseville Electric Utility customers, and especially customers with electric vehicles and solar panels, are requesting pricing options such as Time of Use (TOU) rates. A modern Advanced Metering Infrastructure (AMI) system is required to offer TOU rates to these customers. AMI would introduce two-way communication for meters, allowing remote reads and greater interaction between the utility and its customers. An AMI system also provides customers a detailed view of their electricity usage and allows them to make changes to increase the efficient use of electricity. This project progresses from a business case, through a third party implementation, and finishes with the AMI system being installed and operating.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	400,000	700,000	0	0	0	1,100,000	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	200,000	10,000,000	0	0	0	10,200,000	0
Construction		0	200,000	1,100,000	0	0	0	1,300,000	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$800,000	\$11,800,000	\$0	\$0	\$0	\$12,600,000	\$12,600,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Electric Construction Fund		\$0	\$800,000	\$11,800,000	\$0	\$0	\$0	\$12,600,000	\$12,600,000
TOTAL		\$0	\$800,000	\$11,800,000	\$0	\$0	\$0	\$12,600,000	\$12,600,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT: ELECTRIC		PROJECT NUMBER: 40002 / 174103		ORIGINAL APPROPRIATION DATE: Jul-16					
PROJECT TITLE: CUSTOMER INFORMATION SYSTEM (CIS) REPLACEMENT		TENTATIVE COMPLETION DATE: Jan-17							
DESCRIPTION:									
Replaces Project 124005. Related expenditures from project inception to 3/20/16 are \$4,557,553 funded by the Electric Operations Fund.									
The goal of this project is to successfully implement a new CIS solution. The City uses this system to provide billing and customer care for the City's utility customers. The CIS solution will provide many new features and will result in more efficient operations and an improved customer experience. The cost of this project is shared by Roseville Electric Utility and Environmental Utilities.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$500,000	\$0	\$0	\$0	\$0	\$500,000	
Implementation		0	1,500,000	0	0	0	0	1,500,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	0	0	0	0	0	0	
Other		0	0	0	0	0	0	0	
TOTAL		\$0	\$2,000,000	\$0	\$0	\$0	\$0	\$2,000,000	
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Electric Construction Fund		\$0	\$2,000,000	\$0	\$0	\$0	\$0	\$2,000,000	
TOTAL		\$0	\$2,000,000	\$0	\$0	\$0	\$0	\$2,000,000	

CAPITAL IMPROVEMENT PROJECT BUDGET								
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:				
ELECTRIC		40002 / 174104		Jul-16				
PROJECT TITLE:		TENTATIVE COMPLETION DATE:						
DOWNTOWN SPECIFIC PLAN ELECTRICAL ADDITIONS		Jun-17						
DESCRIPTION:								
Replaces Project 124006. Related expenditures from project inception to 3/20/16 are \$521,881 funded by the Electric Operations Fund.								
The Downtown Specific Plan is a City project that includes the addition and/or relocation of electrical infrastructure. This CIP is to fund these additions and changes.								
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0
Construction		0	100,000	0	0	0	0	100,000
Other		0	0	0	0	0	0	0
TOTAL		\$0	\$100,000	\$0	\$0	\$0	\$0	\$100,000
SOURCE OF FUNDS		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT
Electric Construction Fund		\$0	\$100,000	\$0	\$0	\$0	\$0	\$100,000
TOTAL		\$0	\$100,000	\$0	\$0	\$0	\$0	\$100,000

CAPITAL IMPROVEMENT PROJECT BUDGET										
CLASSIFICATION OF PROJECT: ELECTRIC			PROJECT NUMBER: 40002 / 174105		ORIGINAL APPROPRIATION DATE: Jul-16					
PROJECT TITLE: SIERRA VISTA SUBSTATION			TENTATIVE COMPLETION DATE: Jun-19							
DESCRIPTION:										
Replaces Project 144002. Related expenditures from project inception to 3/20/16 are \$26,654 funded by the Electric Operations Fund.										
Construct a new substation with an initial capacity of 46 MVA to supply power to new development in the Sierra Vista and Westbrook specific plans.										
COST ESTIMATE			PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor			\$0	\$100,000	\$100,000	\$100,000	\$0	\$0	\$0	\$300,000
Architectural/Engineering Services			0	400,000	400,000	300,000	0	0	0	1,100,000
Site Acquisition & Preparation			0	0	0	0	0	0	0	0
Material / Equipment / Furniture			0	1,000,000	3,500,000	0	0	0	0	4,500,000
Construction			0	1,000,000	1,000,000	1,000,000	0	0	0	3,000,000
Other			0	0	0	0	0	0	0	0
TOTAL			\$0	\$2,500,000	\$5,000,000	\$1,400,000	\$0	\$0	\$0	\$8,900,000
SOURCE OF FUNDS			PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Electric Construction Fund			\$0	\$2,500,000	\$5,000,000	\$1,400,000	\$0	\$0	\$0	\$8,900,000
TOTAL			\$0	\$2,500,000	\$5,000,000	\$1,400,000	\$0	\$0	\$0	\$8,900,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 174106		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
SCADA SYSTEM / CYBER SECURITY		Jul-21							
DESCRIPTION:									
Replaces Project 144005. Related expenditures from project inception to 3/20/16 are \$56,008 funded by the Electric Operations Fund.									
Roseville Electric Utility's SCADA system is a critical system to support the reliable operation of the distribution system. Upgrade of Windows operating system and being able to apply security patches are required by mandated security measures from NERC/WECC. Application upgrades in addition to Windows upgrades are necessary to ensure software compatibility. SCADA computer hardware also has reached its replacement cycle. Replacing hardware with software upgrades is also a standard best practice to limit support cost. Additional cyber security enhancement will be included in the upgrades.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$10,000	\$10,000	\$10,000	\$10,000	\$10,000	\$50,000	
Architectural/Engineering Services		0	20,000	20,000	20,000	20,000	20,000	100,000	
Site Acquisition & Preparation		0	0	0	0	0	0	0	
Material / Equipment / Furniture		0	0	0	0	0	0	0	
Construction		0	0	0	0	0	0	0	
Other		0	10,000	10,000	10,000	10,000	10,000	50,000	
TOTAL		\$0	\$40,000	\$40,000	\$40,000	\$40,000	\$40,000	\$200,000	
SOURCE OF FUNDS									
Electric Construction Fund		\$0	\$40,000	\$40,000	\$40,000	\$40,000	\$40,000	\$200,000	
TOTAL		\$0	\$40,000	\$40,000	\$40,000	\$40,000	\$40,000	\$200,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT: ELECTRIC		PROJECT NUMBER: 40002 / 174108		ORIGINAL APPROPRIATION DATE: Jul-16					
PROJECT TITLE: DISPATCH CENTER		TENTATIVE COMPLETION DATE: Jun-19							
DESCRIPTION:									
Replaces Project 164001, which had no previous expenditures.									
Construct or redesign the Electric Dispatch Center to meet dispatching needs in the near-term and long-term future, taking advantage of current technologies in dispatch centers. There is an ongoing study to estimate the cost of the new technologies and the infrastructure necessary for proper housing and operation.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	500,000	0	0	0	0	500,000	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	0	500,000	3,000,000	0	0	3,500,000	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$500,000	\$500,000	\$3,000,000	\$0	\$0	\$4,000,000	
SOURCE OF FUNDS									
Electric Construction Fund		\$0	\$500,000	\$500,000	\$3,000,000	\$0	\$0	\$4,000,000	
TOTAL		\$0	\$500,000	\$500,000	\$3,000,000	\$0	\$0	\$4,000,000	

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 174107		Jul-16					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
ELECTRIC VEHICLE PROGRAM		Jun-21							
DESCRIPTION:									
Related expenditures from project inception to 3/20/16 are \$8,514 funded by the Electric Operations Fund.									
Roseville Electric Utility will initiate an Electric Vehicle (EV) integration study to determine how to integrate increasing numbers of EV within the city. As part of this study, Roseville will evaluate the need to provide the time-of-use rates and additional field equipment. This project will install remote monitoring units to track EV system performance on individual feeders.									
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	0	0	0	0	0	0	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	20,000	5,000	5,000	5,000	5,000	40,000	40,000
Construction		0	15,000	5,000	5,000	5,000	5,000	35,000	35,000
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$35,000	\$10,000	\$10,000	\$10,000	\$10,000	\$75,000	\$75,000
SOURCE OF FUNDS									
Electric Construction Fund		\$0	\$35,000	\$10,000	\$10,000	\$10,000	\$10,000	\$75,000	\$75,000
TOTAL		\$0	\$35,000	\$10,000	\$10,000	\$10,000	\$10,000	\$75,000	\$75,000

CAPITAL IMPROVEMENT PROJECT BUDGET									
CLASSIFICATION OF PROJECT:		PROJECT NUMBER:		ORIGINAL APPROPRIATION DATE:					
ELECTRIC		40002 / 174109		Dec-15					
PROJECT TITLE:		TENTATIVE COMPLETION DATE:							
COMMUNITY SOLAR PROJECT		Jun-19							
DESCRIPTION:									
		Replaces Project 164005. Related expenditures from project inception to 3/20/16 are \$44,029 funded by the Electric Operations Fund.							
		The Electric department is advancing the evaluation of a pilot solar project to provide an alternative for solar power to Roseville residents.							
		This Community Solar Project will allow Roseville residents a unique option to invest in solar. In addition to providing renewable energy within the community, this project may be eligible to assist Roseville Electric Utility in meeting the state's renewable portfolio standard goals.							
		The first phase of the project will be a 3-4 acre area directly north of the REP, capable of generating 500-900 kW of electricity.							
COST ESTIMATE		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
Labor		\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Architectural/Engineering Services		0	275,000	0	0	0	0	275,000	0
Site Acquisition & Preparation		0	0	0	0	0	0	0	0
Material / Equipment / Furniture		0	0	0	0	0	0	0	0
Construction		0	0	0	0	0	0	0	0
Other		0	0	0	0	0	0	0	0
TOTAL		\$0	\$275,000	\$0	\$0	\$0	\$0	\$275,000	
SOURCE OF FUNDS									
		PRIOR YEARS	FY 2016-17	FY 2017-18	FY 2018-19	FY 2019-20	FY 2020-21	TOTAL PROJECT	
	Electric Construction Fund	\$0	\$275,000	\$0	\$0	\$0	\$0	\$275,000	
TOTAL		\$0	\$275,000	\$0	\$0	\$0	\$0	\$275,000	

FY2016-17 Carry Forward Capital Improvement Projects

Project Director	Project Number	Project Name	Amount as of 03/31/16
CENTRAL SERVICES	081001	FIRE MUSEUM CONSULTING	\$ 23,427
DEVELOPMENT SERVICES	129006	PERMIT SYSTEM REPLACEMENT	\$ 289,036
DEVELOPMENT SERVICES	151002	DOWNTOWN BLDG-316 VERNON ST	\$ 19,203,383
DEVELOPMENT SERVICES	152515	HWY65-GALLERIA/STANFORD	\$ 167,696
ECONOMIC DEVELOPMENT	151001	OAK STREET PARKING GARAGE	\$ 11,553,822
ELECTRIC	134003	REHAB SUBSTATION BATTERY REPL	\$ 158,900
ELECTRIC	134006	BERRY ST CIRCUIT BR REPL-REHB	\$ 188,154
ELECTRIC	134008	60KV RESTRINGING	\$ 121,787
ELECTRIC	164003	FIDDYMENT DFR REPL	\$ 225,276
FINANCE	109003	REGIONAL ANIMAL CONTROL FAC	\$ 5,856,945
IT	143504	CIS TECHNICAL UPGRADE-EU	\$ 18,389
PARKS, RECREATION, & LIBRARIES	025003	LEONARD DUKE DAVIS PARK	\$ 30,000
PARKS, RECREATION, & LIBRARIES	035003	HARRY CRABB PARK	\$ 2,260,094
PARKS, RECREATION, & LIBRARIES	045001	CENTRAL PARK-PHASE ONE	\$ 1,789,601
PARKS, RECREATION, & LIBRARIES	055001	WM BILL HUGHES PRK-DIMND CRK	\$ 488,017
PARKS, RECREATION, & LIBRARIES	115002	NC 55B PARKSITE	\$ 121,484
PARKS, RECREATION, & LIBRARIES	155002	NC-57 NEIGHBORHOOD PARK	\$ 199,600
PARKS, RECREATION, & LIBRARIES	155007	HAMEL PARK EXPANSION	\$ 175,791
PARKS, RECREATION, & LIBRARIES	165001	STORAGE UNITS	\$ 102,000
POLICE	079006	CAD/RMS REPLACEMENT PROJECT	\$ 390,534
POLICE	149003	MDC FOR NEW VEHICLES	\$ 33,223
PUBLIC WORKS	972505	CIRBY WAY/ROSEVILLE RD	\$ 3,703,424

FY2016-17 Carry Forward Capital Improvement Projects

Project Director	Project Number	Project Name	Amount as of 03/31/16
PUBLIC WORKS	982002	ROCKY RIDGE TO OLD AUBURN DRN	\$ 90,658
PUBLIC WORKS	982003	CONFLUENCE TO TIFFANY	\$ 104,656
PUBLIC WORKS	011005	HP REZONE FIRE STATION	\$ 1,451,593
PUBLIC WORKS	029001	PLEASANT GROVE RETENTION BASIN	\$ 482,830
PUBLIC WORKS	069001	PLEASANT GROVE CRK HYDRCLC MODL	\$ 43,308
PUBLIC WORKS	072515	WASHINGTON/ANDORA WIDENING	\$ 2,153,494
PUBLIC WORKS	082001	STORM DRAIN PROJECT	\$ 1,709,099
PUBLIC WORKS	102508	INDUSTRIAL AVE BRIDGE REPLACEM	\$ 4,169,269
PUBLIC WORKS	121001	FIRE STATION 1 RELOCATION	\$ 372,096
PUBLIC WORKS	142001	MULTI-AGENCY FLOOD EMER RESP	\$ 132,049
PUBLIC WORKS	152001	EAST ST ALLEY DRAINAGE PROJ	\$ 33,746
PUBLIC WORKS	152501	BLUE OAKS-PLEASNT GR CMS PROJ	\$ 152,513
PUBLIC WORKS	152503	BRIDGE PREVENTATIVE MAINT PROJ	\$ 896,105
PUBLIC WORKS	152509	2015 RSTP ARTERIAL MICROSUR	\$ 7,161,854
PUBLIC WORKS	162501	2017 RESIDENTIAL RESURFACING	\$ 99,354
PUBLIC WORKS	162504	ATL/EUREKA I80 WB ONRAMP	\$ 589,098
PUBLIC WORKS	162505	2017 ARTERIAL ADA RAMPS	\$ 202,046
SOLID WASTE	113901	UEC-IDEASCAPE	\$ 2,082,027
TRANSIT	099007	ITS FAREBOX MDT CAMERA	\$ 127,248
TRANSIT	099011	SIERRA GARDENS TRS POINT IMP	\$ 518,941
TRANSIT	099012	LOUIS/ORLANDO TRS POINT IMP	\$ 1,173,136
TRANSIT	159004	BUS PURCHASES-4 BUSES-GILLIG	\$ 2,000,000

FY2016-17 Carry Forward Capital Improvement Projects

Project Director	Project Number	Project Name	Amount as of 03/31/16	
TRANSPORTATION	099005	FOOTHILLS BUSINESS PARK BIKETR	\$	85,483
TRANSPORTATION	099006	BIKE PARKING PROGRAM	\$	58,396
TRANSPORTATION	109001	OAK ST EXT-MINERS RAVINE TRAIL	\$	95,351
TRANSPORTATION	119001	HARDING-ROYER TRAIL SEGMENT 3	\$	1,234,257
TRANSPORTATION	129001	DOWNTOWN TRSFR STATION RECONST	\$	123,761
TRANSPORTATION	129005	DOWNTOWN REPLACEMENT BRIDGE PR	\$	353,420
TRANSPORTATION	159005	HARDING-ROYER TRAIL SGMNT4	\$	192,358
TRANSPORTATION	159006	WRSP F-83 TRAIL & PR SITE	\$	581,751
TRANSPORTATION	169001	MINERS RAV TR SHOULDER-SUNRISE	\$	98,529
TRANSPORTATION	169002	2016 BIKE TRAIL RESURFACING	\$	244,851
TRANSPORTATION	169004	PL GRV CRK TRAIL GAP CLOSURE	\$	180,000
TRANSPORTATION	169005	PED/BIKE BRIDGE INSPEC/RATING	\$	125,000
WASTEWATER	963503	RADIO TELEMTRY COMMUNICATIONS	\$	175,905
WASTEWATER	043505	WASTEWATER SYSTEM MODEL	\$	317,962
WASTEWATER	093501	EU SOFTWARE APPLICATION UPGRDS	\$	3,091,068
WASTEWATER	103501	SCADA SYSTEM REPLACEMENT	\$	3,865,726
WASTEWATER	123503	ATKINSON ST AT DRY CRK WW PIPE	\$	310,829
WASTEWATER	133501	CIPP SEWER REHABILITATION-2013	\$	1,880,281
WASTEWATER	133502	DRY CRK/PL GR WWTP ARC FLASH M	\$	474,051
WASTEWATER	143501	SHADOWBROOK LIFT STN WELL REP	\$	1,175,990
WASTEWATER	153504	DCWWTP TERTIARY FILTER PROJ	\$	765,000
WASTEWATER	153505	DCWWTP PLC REHABILITATION PROJ	\$	399,199

FY2016-17 Carry Forward Capital Improvement Projects

Project Director	Project Number	Project Name	Amount as of 03/31/16
WASTEWATER	153506	VACTOR WASHOUT FACILITY	\$ 132,805
WASTEWATER	163502	WW COLLEC SYS REHAB PROJ	\$ 1,049,347
WASTEWATER	163504	WW INTERCEPTOR CLEANING PROJ	\$ 99,924
WASTEWATER	163506	WWTP CONDITION ASSESS	\$ 353,592
WASTEWATER	163508	MAXIMO/GRANITE XP INTGRT DB MG	\$ 150,000
WATER	023001	AQUIFER STORAGE/RECOVERY PROG	\$ 440,067
WATER	043001	WATER TREATMENT PLANT EXP #3	\$ 99,377
WATER	043002	WOODCREEK NORTH WELL	\$ 682,809
WATER	063001	WEST SIDE TANK/PUMP STN PROJ	\$ 13,386,805
WATER	073002	PROCESS CONTROL STANDARDS	\$ 56,230
WATER	083004	RSVL/PCWA WTR MODEL DEVELOPMNT	\$ 142,939
WATER	113002	INTEGR REG WTR MGMT PROP84WELL	\$ 327,322
WATER	113003	CONNECTION FEE ANALYSIS-STUDY	\$ 25,501
WATER	143001	INTERTIE-ZN 4 PMP BCK PMP STN	\$ 276,993
WATER	153001	SUNRISE AVE-I80 21 IN WTR COND	\$ 150,000
WATER	153002	ARC FLASH MITIGATION-REMOTE	\$ 447,007
WATER	153003	REGIONAL WTR SUPPLY RELIAB STD	\$ 643,497
WATER	163001	ATLANTIC ST 6/12 IN RR XING	\$ 300,000
WATER	163002	WATER FACILITIES COND ASSESS	\$ 300,000

DEBT MANAGEMENT

The indebtedness of the City as of July 1, 2016 will be: **\$ 596,618,192**
 The estimated debt as of June 30, 2017 will be: **\$ 570,618,513**

The following table details the City's debt at the beginning and the end of the fiscal year.

	Estimated Debt as of July 1, 2016	Principal Additions	Principal Retirement	Estimated Debt as of June 30, 2017
Loans:				
Technology Fee Replacement Fund obligation to Public Facilities Fund	\$ 295,054	\$ 0	\$ 100,000	\$ 195,054
Westpark CFD #1 Fund obligation to Water Rehabilitation Fund	671,134	0	681,872	(10,738)
Westpark CFD #1 Fund obligation to Water Construction Fund	671,134	0	681,872	(10,738)
Westpark CFD #1 Fund obligation to Pleasant Grove Drainage Basin Const. Fund	3,000,000	0	736,015	2,263,985
School-Age Child Care Fund obligation to Auto. Repl. Fund	525,000	0	20,000	505,000
Fire Facilities Tax Fund obligation to Auto. Repl. Fund	17,134	0	17,134	0
Diamond Oaks Golf Course obligation to Auto. Repl. Fund	578,690	0	29,210	549,480
Woodcreek Golf Course obligation to Auto. Repl. Fund	2,044,310	0	97,790	1,946,520
Roseville Community Development Corp. obligation to Strategic Improvement Fund	1,530,951	0	0	1,530,951
Roseville Community Development Corp. obligation to Successor RDA Agency Fund	3,469,049	0	0	3,469,049
Successor RDA Agency Fund obligation to General Fund	5,084,374	0	744,010	4,340,364
Successor RDA Agency Fund obligation to Strategic Improvement Fund	12,888,286	0	0	12,888,286
Successor RDA Agency Fund obligation to Gas Tax Fund	3,118,900	0	0	3,118,900
Successor RDA Agency Fund obligation to Automotive Replacement Fund	829,201	0	0	829,201
Water Rehabilitation Fund obligation to Water Construction Fund	1,831,990	0	243,150	1,588,840
Public Facilities Fund obligation to Auto Repl. Fund	600,216	0	0	600,216
Public Facilities Fund obligation to Strategic Improvement Fund	4,000,000	0	0	4,000,000
Downtown Benefit Fee Fund obligation to Strategic Improvement Fund	1,967,137	0	0	1,967,137
Total Loans	\$ 43,122,560	\$ 0	\$ 3,351,054	\$ 39,771,507
Revenue Bonds:				
Roseville Natural Gas Finance Authority Gas Revenue Bonds, Series 2007	\$ 148,875,000	\$ 0	\$ 9,345,000	\$ 139,530,000
Electric System Revenue Refunding Bonds, Series 2010	54,075,000	0	440,000	53,635,000
South Placer Wastewater Authority Wastewater Revenue Refunding Bonds, Series 2011C (61.66% City Share)	31,394,189	0	2,457,151	28,937,038
South Placer Wastewater Authority Wastewater Revenue Refunding Bonds, Series 2013 (61.66% City Share)	36,582,878	0	0	36,582,878
Electric System Revenue Refunding Bonds, Series 2013	43,750,000	0	4,345,000	39,405,000
South Placer Wastewater Authority Wastewater Revenue Refunding Bonds, Series 2014 (61.66% City Share)	17,955,392	0	0	17,955,392
Electric System Revenue Refunding Bonds, Series 2014	16,485,000	0	0	16,485,000
Total Revenue Bonds	\$ 349,117,459	\$ 0	\$ 16,587,151	\$ 332,530,308
Certificates Of Participation:				
Electric System Revenue Refunding Certificates of Participation, Series 2009	\$ 16,050,000	\$ 0	\$ 1,680,000	\$ 14,370,000
Electric System Revenue Refunding Certificates of Participation, Series 2012	90,000,000	0	0	90,000,000
Certificates of Participation, Series 2013A	9,060,013	0	831,484	8,228,529
Certificates of Participation, Series 2013B	3,538,160	0	414,990	3,123,170
Water Utility Revenue Certificates of Participation, Series 2015	39,915,000	0	2,255,000	37,660,000
Certificates of Participation, Series 2015 (316 Vernon Street Project)	14,425,000	0	215,000	14,210,000
Total Certificates Of Participation	\$ 172,993,173	\$ 0	\$ 5,396,475	\$ 167,596,698
Tax Allocation Bonds:				
Redevelopment Agency of the City of Roseville Roseville Redevelopment Project Tax Allocation Housing Bonds, Series 2006A	\$ 13,155,000	\$ 0	\$ 0	\$ 13,155,000
Redevelopment Agency of the City of Roseville Roseville Redevelopment Project Taxable Allocation Housing Bonds, Series 2006A-T	2,225,000	0	125,000	2,100,000
Redevelopment Agency of the City of Roseville Roseville Redevelopment Project Taxable Allocation Housing Bonds, Series 2006H-T	5,700,000	0	105,000	5,595,000
Successor Agency of the Redevelopment Agency of the City of Roseville Tax Allocation Refunding Bonds, Series 2014	10,305,000	0	435,000	9,870,000
Total Tax Allocation Bonds	\$ 31,385,000	\$ 0	\$ 665,000	\$ 30,720,000
Total Indebtedness	\$ 596,618,192	\$ 0	\$ 25,999,680	\$ 570,618,513

Debt Management Details

Loans:

Technology Fee Replacement Fund obligation to Public Facilities Fund

Funding for new permit system.

Maturity date of 7/01/2018

Westpark CFD #1 Fund obligation to Water Rehabilitation Fund

Funding for the completion of the Westpark plan area development.

Maturity date of 07/01/2025

Westpark CFD #1 Fund obligation to Water Construction Fund

Funding for the completion of the Westpark plan area development.

Maturity date of 07/01/2025

Westpark CFD #1 Fund obligation to Pleasant Grove Drainage Basin Construction Fund

Funding for the completion of the Westpark plan area development.

Maturity date of 07/01/2025

School-Age Child Care Fund obligation to Auto Replacement Fund

Funding for Adventure Club school site(s)/portable(s).

Maturity date of 7/01/2025

Fire Facilities Tax Fund obligation to Auto Replacement Fund

Funding for the payoff of the lease purchase of four new fire engines and two ladder trucks.

Maturity date of 7/01/2016

Diamond Oaks Golf Course obligation to Auto Replacement Fund

Funding for renovations of the Diamond Oaks Golf Course.

Maturity date of 7/01/2035

Woodcreek Golf Course obligation to Auto Replacement Fund

Funding for the construction of the Woodcreek Golf Course club house.

Maturity date of 7/01/2036

Roseville Community Development Corp. obligation to Strategic Improvement Fund

Funding for RCDC support.

Roseville Community Development Corp. obligation to Successor RDA Agency Fund

Funding for RCDC support.

Maturity date of 7/01/2031

Successor RDA Agency Fund obligation to General Fund

Funding for Vernon Street streetscape improvements.

Funding for County school district pass-through payment.

Funding for flood control mitigation construction throughout the City's creek system.

Successor RDA Agency Fund obligation to Strategic Improvement Fund

Funding for the façade and landscape renovations for the Automall wall.
Funding for the startup of the Roseville Community Development Corporation.
Funding for Riverside Avenue infrastructure and streetscape enhancement project. Provided funding for significant improvements.
Funding for the acquisition of property located at 238 Vernon Street (JCPenney Building) to create a viable development site in Downtown.
Maturity date of 7/01/2040

Successor RDA Agency Fund obligation to Gas Tax Fund

Funding for flood control mitigation construction throughout the City's creek system.

Successor RDA Agency Fund obligation to Automotive Replacement Fund

Funding for the startup of the Roseville Community Development Corporation.
Funding for the acquisition of 120 Grant Street to support Civic Center Expansion.
Funding for exterior improvements to the Tower Theater.

Water Rehabilitation Fund obligation to Water Construction Fund

Funding for water tank rehabilitation.
Maturity date of 7/01/2022

Public Facilities Fund obligation to Automotive Replacement Fund

Funding of design and construction for 2nd Downtown parking garage (Vernon Street and Oak Street).
Maturity date of 7/01/2034

Public Facilities Fund obligation to Strategic Improvement Fund Funding of the 316 Vernon Building Project

Maturity date of 7/01/2026

Downtown Benefit Fee Fund obligation to Strategic Improvement Fund

Funding of the Oak Street Parking Garage
Maturity date of 7/01/2027

Revenue Bonds:**Roseville Natural Gas Finance Authority Gas Revenue Bonds, Series 2007**

Funding the prepayment of about 46 billion cubic feet of natural gas that Merrill Lynch Commodities will supply over 20 years. The gas delivered is used in the Roseville Energy Park. The bonds are fixed rate.
Maturity date of 02/15/2028

Electric System Revenue Refunding Bonds, Series 2010

Refunding the 2008 Electric Series B bonds. The original issue was to finance the construction and acquisition of the Roseville Energy Park, a power generation plant that is designed to employ current technology for clean, fuel-efficient power. The bonds are fixed rate.
Maturity date of 02/01/2037

South Placer Wastewater Authority Wastewater Revenue Refunding Bonds, Series 2011C (61.66% City Share)

Refunding the 2008 SPWA Series A & B bonds. The original issues were to fund the construction of the Pleasant Grove Wastewater Treatment plant and smaller related projects. The bonds are fixed rate.
Maturity date of 11/01/2025

Debt Management Details

South Placer Wastewater Authority Wastewater Revenue Refunding Bonds, Series 2013 (61.66% City Share)

Refunding the 2011 SPWA Series A & B bonds. The original issues were to fund the construction of the Pleasant Grove Wastewater Treatment plant and smaller related projects. The bond is a direct purchase agreement with US Bank.

Maturity date of 11/01/2035

Electric System Revenue Refunding Bonds, Series 2013

Refunding of the 2004 and 2005 Electric Certificates of Participation bonds. These bonds are a fixed rate.

Maturity date of 02/01/2029

South Placer Wastewater Authority Wastewater Revenue Refunding Bonds, Series 2014 (61.66% City Share)

Refunding of the 2011 SPWA Series D Bonds. The original issues were to fund the construction of the Pleasant Grove Wastewater Treatment plant and smaller related projects. The bonds are SIFMA index bonds.

Maturity date of 11/1/2017

Electric System Revenue Refunding Bonds, Series 2014

Refunding of the 2004 Certificates of Participation. The original issue was to finance certain improvements to the City's electric system. The bonds are fixed rate.

Maturity date of 02/01/2034

Certificates of Participation:

Electric System Revenue Refunding Certificates of Participation, Series 2004

Financing certain improvements to the City's electric system. The capital improvements include: construction of two new substations, 60KV line extensions, overhead to underground system conversions, and substation expansion and upgrades. The bonds are fixed rate.

The 2004 Bonds were partially refunded in 2014, leaving a principal amount of \$5,000.

Maturity date of 02/01/2034

Electric System Revenue Refunding Certificates of Participation, Series 2009

Refunding the 2002 Electric Refunding bonds. The original issue was to finance the refunding of the 1997 and 1999 Electric certificates and to use a portion of the net proceeds to finance certain additions, betterments and improvements to the City's electric system. The bonds are fixed rate.

Maturity date of 02/01/2024

Electric System Revenue Refunding Certificates of Participation, Series 2012

Refunding the 2008 Electric Series A bonds. The original issue was to finance the construction and acquisition of the Roseville Energy Park, a power generation plant that is designed to employ current technology for clean, fuel-efficient power. The bonds are variable rate (VRDBs) with weekly resets.

Maturity date of 02/01/2035

Certificates of Participation, Series 2013A

Refunding the 2003 Public Facility bonds. The original issue was to finance the construction City's Corporation Yard and land.

The facility consists of four main buildings totaling 146,000 square feet on an approximate 54-acre site. The bonds are fixed rate.

Maturity date of 08/01/2025

Debt Management Details

Certificates of Participation, Series 2013B

Refunding the 2003 Golf Course bonds. The original issue was to finance the construction of the Woodcreek Oaks Golf Course club house. The bonds are fixed rate.

Maturity date of 08/01/2023

Water Utility Revenue Certificates of Participation, Series 2015

Refunding the 2007 Water bonds and use a portion of the net proceeds to finance the acquisition and/or construction of certain capital improvements and additions to the City's water utility system. The 2015 Water Bonds included a new money component to assist in the construction of certain capital improvements and additions to the City's water utility system. The bonds are fixed rate.

Maturity date of 12/01/2029

Certificates of Participation, Series 2015 (316 Vernon Street Project)

Completing the infrastructure component of the 316 Vernon Street mixed-use City building. Annual debt service payments will be covered from the lease revenues as well as contributions from the Public Facilities and Strategic Improvement Fund. The bonds are fixed rate.

Maturity date of 08/01/2045

Tax Allocation Bonds:

Redevelopment Agency of the City of Roseville Roseville Redevelopment Project Tax Allocation Housing Bonds, Series 2006A

Completing the infrastructure component of the Riverside Streetscape and Infrastructure Project; additional funding for phase II of the Washington Blvd. pedestrian underpass; assisting in the development of a public parking garage in Historic Old Town; designing and engineering of public improvements identified in the Downtown Vision.

Maturity date of 09/01/2040

Redevelopment Agency of the City of Roseville Roseville Redevelopment Project Taxable Allocation Housing Bonds, Series 2006A-T

Establishing a low interest rate revolving loan fund for private property improvements; acquiring property for the purpose of aggregating properties for resale; incentivizing for private projects such as fee subsidies or waivers.

Maturity date of 09/01/2028

Redevelopment Agency of the City of Roseville Roseville Redevelopment Project Taxable Allocation Housing Bonds, Series 2006H-T

Developing and selling condominium-style housing as part of a mixed use development project (either new construction or acquisition rehabilitation); down payment assistance for the First-Time Home Buyer Program.

Maturity date of 09/01/2040

Successor Agency of the Redevelopment Agency of the City of Roseville Tax Allocation Refunding Bonds, Series 2014

Refunding the 2002 RDA bonds. The original issues was to finance various capital improvement projects, including the renovation of the Tower Theater, the Civic Plaza Parking Garage and the streetscape projects for Riverside Avenue and Historic Old Town.

Maturity date of 09/01/2033

Glossary of Budget Terms

Appropriation:	Legal authorization to make expenditures and incur obligations for specific purposes.
Basis of Accounting and Budgeting:	Basis of accounting and budgeting refers to the timing of when revenues and expenditures are recognized in the accounts and reported. In accordance with GAAP (Generally Accepted Accounting Principles), the City accounts for all governmental funds and agency funds using the modified accrual basis of accounting. Revenues are recognized when measurable and available. The City considers all revenues reported in the governmental funds to be available if the revenues are collected within sixty days after year-end. Proprietary and fiduciary funds are reported using the full accrual basis of accounting. Revenues are recorded when earned and expenses are recorded at the time liabilities are incurred, regardless of when the cash flows. In preparing the budget the same methodology is applied.
Capital Improvement Project (CIP):	Expenditures related to the acquisition, expansion or rehabilitation of an element of the City's infrastructure (physical plant such as streets, water, sewer, public buildings, and parks).
Capital Project Funds:	A fund used to account for financial resources for the acquisition or construction of major capital facilities which are separate from ongoing operating activities.
Capital Outlays:	Expenditures which result in acquisitions of or addition to fixed assets; includes expenditures for furniture, vehicles, and all types of machinery and equipment including all costs necessary to place the capital outlay item into service. In order to qualify as a capital outlay expenditure, it must: 1. have an estimated useful life of more than two years; 2. have a unit cost of more than \$5,000; and 3. represent a betterment or improvement.
Department:	Major unit of organization in City government comprised of sub-units named divisions.
Division:	Major sub-unit of a department. Also known as organization key and ORG key.
Enterprise Funds:	A fund that provides goods or services to the public for a fee or charge that makes the entity self-supporting.
Fiscal Year:	Twelve-month period to which the operating budget applies. The twelve-month period begins on July 1st and ends on June 30th.
Fund:	Fiscal entity with revenue and expenses which are segregated for the purpose of carrying out a specific purpose or activity. The major funds are the General, Electric, Water, Wastewater, Solid Waste, Golf Course and Automotive Services Funds.
General Fund:	The chief operating fund to be used in accounting for all financial resources except those required to be accounted for in another fund.
Indirect Allocations:	The City of Roseville uses the indirect cost allocation process to allocate departmental costs from the General Fund to all funds that receive benefit from the services that the General Fund departments provide. The City uses a consulting firm which specializes in indirect cost studies to prepare the indirect cost allocation plan. The plan is based on the most current budget information available at the time the study is completed

Glossary of Budget Terms

- typically six months prior to the adoption of a new budget. The consulting firm makes several onsite visits to interview staff from the General Fund departments that provide services to other departments. Cost allocation drivers are confirmed during the interview process and budget data is provided to the consultant. The budget data used in the study excludes reimbursed expenses. Examples of reimbursed expenditures are Capital Improvement Projects and secondary labor that is direct charged from one fund to another. The consultant provides a comprehensive study that is provided to all departments for review and comment. Staff has an opportunity to comment on the plan and to correct any errors.

Internal service funds at the City of Roseville directly charge costs of service to funds and departments receiving the service; therefore, the only fund that uses the indirect method of allocating costs is the General Fund.

Indirect cost charges appear on the fund summaries that are paying for the services and in the operating revenues section of the General Fund. The purpose is to ensure that the General Fund is reimbursed for the services that it is providing throughout the City.

Internal Service Funds:

Funds established to finance and account for services and supplies furnished by a designated department to other departments within the City of Roseville or to other governmental units. Examples of Internal Service Funds at the City of Roseville include the Automotive Replacement Fund and the Automotive Services Fund. Costs from these funds are directly charged to those funds and departments receiving the benefits of the services provided.

Materials, Supplies, and Services:

Materials and supplies are expendable items having a unit cost of less than \$5,000 or which by their nature can be consumed during the course of the fiscal year. Examples are stationary, pencils, printed forms and small tools that are not of a capital nature. Services may be performed by outside firms, individuals, or City departments and may include consulting services, telephone service, advertising, office equipment maintenance, insurance, and utilities (including those utilities provided by City departments).

Organization Key:

Major sub-unit of a department. Also known as ORG Key and Division.

Performance Objectives:

Desired levels of accomplishment expressed in quantified terms over a given time period by a program manager. They may relate to high-level goals of the department to which the program is assigned, as well as to the City's overall goals and objectives as defined by the City Council.

Performance Measures:

Indicators which are used to assess how well objectives have been accomplished. Performance measures are grouped into these categories:

1. Work volume measures that indicate the level of work to be performed with the resources requested (Ex. tons of solid waste collected);
2. Efficiency and effectiveness measures that provide information on the level of productivity, and that show how effectively or how well objectives are met (Ex. man-hours per ton of solid waste collected and number of customer complaints).

Performance Target:

Numerical value that specifies a level of performance to be achieved within the financial resources requested in the budget, and during the fiscal year. A target can represent either a desired objective to be achieved or a forecast of work volume.

Glossary of Budget Terms

Permanent Funds:	A fund used to report resources that are legally restricted to the extent that only earnings, not principal, may be used for purposes that support or benefit the government or its citizens.
Program:	A group of related functions or activities designed to achieve one or more common objectives. In the City's program structure, a program is synonymous with a division.
Program / Performance Budget:	Budget which contains specific and measurable objectives and specifies the work volume to be accomplished and the efficiency and effectiveness levels to be achieved for a given program. Such a budget also is used to allocate resources to the functions or activities that comprise a program rather than to specific items of cost.
Resources / Management System:	A method of improving organizational performance, planning and decision-making, and resource allocation. This is accomplished by providing an ongoing system of community goal formulation, citizen participation, public opinion surveys, management-by-objectives, program/performance budgeting, performance monitoring, and evaluation of City services to arrive at a comprehensive budget tied to specific results for the fiscal year.
Reimbursed Expenditures:	Accounting adjustments credit a budget expenditure thus serving to reduce the total expenditures of a program. Reimbursements generally result from expenditures chargeable to other programs, such as, work performed by one department or division for another.
Revenue:	Income, including transfers and excluding proceeds from the sale of bonds and notes, for the fiscal year. The major categories of revenue include local taxes, state shared revenues, charges for current services, public utility sales, federal revenue sharing, and licenses and permits.
Salaries, Wages, and Benefits:	Compensation paid to employees of the City, and the employee benefits costs, such as the City's contributions for retirement, deferred compensation, group insurance, uniform allowance, and workers' compensation insurance. Also included is compensation paid to elected officials as provided by the City Charter. It does not include fees for professional and other services obtained on a contractual basis.
Self-Insurance Funds:	A fund used to report any activity that provides goods or services to other funds, or to the City itself, on a cost-reimbursement basis.
Special District Funds:	Funds used to account for specific public improvements such as streets, sewers, storm drains, sidewalks or other amenities funded by special assessments against benefited properties.
Special Revenue Funds:	A fund that is used to account for the proceeds of a specific revenue source, other than major capital projects, that is legally restricted to be spent for specified purposes.
Trust Funds/Private Purpose Trust Funds:	A fund used to report any trust arrangement under which principal and income benefit specific individuals or organizations.

Glossary of Common Acronyms

AB	Assembly Bill
ABA	Annual Budget Authority
AC	Adventure Club
ACA	Affordable Care Act
ACH	Automated Clearing House
ADA	Americans with Disabilities Act
AI	Analysis of Impediments to Fair Housing Choice
AKA	Also Known As
AMI	Advanced Metering Infrastructure
APWA	American Public Works Association
ARB IRWMP	American River Basin Integrated Regional Water Management Plan
ARIOS	American River Instrumentation Optimization Study
ARF	Auto Replacement Fund
ARRA	American Recovery and Reinvestment Act
ASA	Amateur Softball Association
ASE	Automotive Service Excellence
ASES	After School Education and Safety
ASFPM	Association of State Floodplain Managers
ASPIRE	Analytically Solving Problems in Roseville
ASR	Aquifer Storage and Recovery
AVE	Avenue
AVL	Automatic Vehicle Location
B&P	Bike and Pedestrian
BEGIN	Building Equity and Growth in Neighborhoods Program
BID	Business Improvement District
BLVD	Boulevard
BMP	Best Management Practice
BPMP	Bridge Preventative Maintenance Program
BRT	Bus Rapid Transit
BRWTP	Barton Road Water Treatment Plant
BTA	Bicycle Transportation Account
CAD	Computer Aided Drafting (Engineering), Computer Aided Dispatch (Police)
CAFR	Comprehensive Annual Financial Report
CAL	California
CalACT	California Association for Coordinated Transportation
CALED	California Association for Local Economic Development
CalPERS	California Public Employee Retirement System
CARB	California Air Resources Board
CASP	Certified Access Specialist Program
CBT	Citizens Benefit Trust
CCTV	Closed Circuit Television
CDBG	Community Development Block Grant
CDG	Center for Digital Government
CEMS	Continuous Emissions Monitoring System
CEQA	California Environmental Quality Act
CFD	Community Facilities District (AKA Mello Roos)
CHP	California Highway Patrol
CINT	Crisis Incident Negotiations Team
CIP	Capital Improvement Project
CIPP	Cured in Place Pipe
CIS	Customer Information System
CMAQ	Congestion Mitigation and Air Quality Improvement Program

Glossary of Common Acronyms

CMS	Changeable Message Signs
CMUA	California Municipal Utilities Association
CNG	Compressed Natural Gas
COP	Certificate of Participation
COPS	Citizens' Option for Public Safety
COR	City of Roseville
CR	Central Roseville
CRS	Community Rating System
CRV	California Redemption Value
CSMFO	California Society of Municipal Finance Officers
CSR	Customer Service Representative
CT	Combustion Turbine
CTSA	Consolidated Transportation Services Agency
CUPA	Certified Unified Program Agency
DAR	Dial-A-Ride
DCWWTP	Dry Creek Waste Water Treatment Plant
DFR	Digital Fault Recorder
DOC	Department Operations Center
DOHS	Department of Homeland Security
DPU	Distribution Protection Unit
DSD	Development Services Department
DTSP	Downtown Specific Plan
DUI	Driving Under the Influence
DVR	Digital Video Recorder
DWR	Department of Water Resources
EAF	Equivalent Availability Factor
EAM	Enterprise Asset Management
EDAC	Error Detection and Correction
EDAC	Economic Advisory Committee
EECB	Energy Efficiency Community Block Grant
EFOR	Equivalent Forced Outage Rate
EIR	Environmental Impact Report
EMD	Emergency Medical Dispatch
EMS	Emergency Medical Services
EMT	Emergency Medical Technician
EMT - D	Emergency Medical Dispatch Defibrillation Program
EMV	Europay, MasterCard, Visa
EOC	Emergency Operations Center
EOD	Explosive Ordinance Disposal
EPA	Environmental Protection Agency
EPR	Extended Producer Responsibility
EPS	Expanded Polystyrene (Packing Foam)
ERAF	Educational Revenue Augmentation Fund
ERP	Enterprise Resource Planning
EU	Environmental Utilities
EV	Electric Vehicle
FEMA	Federal Emergency Management Agency
FERC	Federal Energy Regulatory Commission
FPPC	California Fair Political Practices Commission
FSS	Family-Self-Sufficiency
FTA	Federal Transit Administration
FTC	Fire Training Center

Glossary of Common Acronyms

FTE	Full-Time Equivalent
FTHB	First Time Home Buyers
FY	Fiscal Year
GAAP	Generally Accepted Accounting Principles
GASB	Governmental Accounting Standards Board
GF	General Fund
GFOA	Governmental Finance Officers Association
GHG	Greenhouse Gas
GIS	Geographic Information System
GO165	General Order 165
GPS	Global Positioning System
HA	Housing Authority
HAZMAT	Hazardous Materials
HBRRP	Highway Bridge Rail Replacement Program
HCV	Housing Choice Voucher
HOME	Home Investment Partnership Program
HRIS	Human Resources Information System
HRN	Highland Reserve North
HRNSP	Highland Reserve North Specific Plan
HRSG	Heat Recovery Steam Generator
HSA	Health Savings Account
HSIP	Highway Safety Improvement Program
HUD	Housing and Urban Development
HUT	Highway Users Tax
HVAC	Heating, Ventilation, and Air Conditioning
IBEW	International Brotherhood of Electric Workers
ICMA	International City/County Management Association
IFAS	Integrated Financial and Administrative Solution
ISO	International Organization for Standardization
ISF	Internal Service Fund
IGP	Industrial General Permit
IAS	International Accreditation Services
IT	Information Technology
ITIL	Information Technology Infrastructure Library
ITS	Intelligent Transportation System
IVR	Interactive Voice Response
JPA	Joint Powers Authority
KA	Kilo-Amps
kV	Kilovolts
kWh	Kilowatt Hours
KY4A	Future Interconnection Transformers
L&M	Low and Moderate Income Housing
LED	Light Emitting Diode
LLD	Landscape and Lighting District
LTF	Local Transportation Fund
LTF	Long Term Financing
LTSA	Long Term Service Agreement
MAIFI	Momentary Average Interruption Frequency Index
MFD	Municipal Facilities District
MFD	Multi Family Dwelling
MFP	Multi- Functional Printer
MG	Millions of Gallons

Glossary of Common Acronyms

MGD	Millions of Gallons per Day
MHMP	Multi-Hazard Mitigation Plan
MHz	Megahertz
MISAC	Municipal information Systems Association of California
MOU	Memoranda of Understanding
MS4	Municipal Separate Storm Systems
MSIP	Mike Shellito Indoor Pool
MVA	Megavolt Amperes
MW	Mega Watts
N/A	Not Applicable
N-1	Loss of any Single Element
NC	North Central
NCPA	Northern California Power Agency
NCRSP	North Central Roseville Specific Plan
NERC	North American Electric Reliability Corporation
NERSP	North East Roseville Specific Plan
NFPA	National Fluid Power Association
NIMS	National Incident Management System
NPDES	National Pollution Discharge Elimination System
NR	North Roseville
NRSP	North Roseville Specific Plan
NWRSP	North West Roseville Specific Plan
O&M	Operations and Maintenance
OC&L	Organizational Culture & Leadership
OPA	Operational Performance Audits
OPEB	Other Post-Employment Benefits
PA&C	Public Affairs & Communications
PASS	Prequalified Architectural Submittal System
PBID	Property and Business Improvement District
PC	Personal Computer
PCCP	Placer County Conservation Plan
PCTPA	Placer County Transportation Planning Agency
PCWA	Placer County Water Agency
PDA	Personal Digital Assistant
PEAIP	Program Effectiveness Assessment and Improvement Plan
PEG	Public-Educational-Government-Access Television
PERs	Public Employees' Retirement System
PGWWTP	Pleasant Grove Wastewater Treatment Plant
PKWY	Parkway
PLC	Programmable Logic Controllers
POST	Police Officer Standardized Training
POTW	Publicly Owned Treatment Works
PPA	Power Purchase Agreements
PQI	Pavement Quality Index
PRSA	Public Relations Society of America
PRSCG	Placer Regional Stormwater Coordination Group
PTSMIA	Public Transportation Modernization, Improvement, and Service Enhancement Account
PUC	Public Utilities Commission
PV	Photovoltaic
RAC	Roseville Aquatics Center
RCDC	Roseville Community Development Corporation
RCONA	Roseville Coalition of Neighborhood Associations

Glossary of Common Acronyms

RDA	Redevelopment Agency
RE	Roseville Electric
REACH	Roseville Employees Annual Charitable Hearts
REP	Roseville Energy Park
RFA	Roseville Financing Authority
RFF	Roseville Fire Fighters
RFFA	Roseville Fire Fighters Association
RFID	Radio Frequency Identification
RFP	Request for Proposals
RFQ	Request for Quotes
RMS	Records Management System
ROPS	Recognized Obligation Payment Schedules
RP3	Reliable Public Power Provider
RPA	Roseville Police Association
RPOA	Roseville Police Officers Association
RPP2	Roseville Power Plant 2
RSC	Roseville Sports Center
RSTP	Regional Surface Transportation Program
RTMA	Roseville Traffic Monitoring System
RTU	Remote Terminal Unit
RUEC	Roseville Utility Exploration Center
RUFF	Roseville Urban Forestry Foundation
RW	Roseville West
RWA	Regional Water Authority
RWQCB	Regional Water Quality Control Board
SACOG	Sacramento Area Council of Governments
SACTO	Sacramento Area Commerce and Trade Organization
SAIDI	System Average Interruption Duration Index
SAIFI	System Average Interruption Frequency Index
SB	Senate Bill
SCADA	Supervisory Control and Data Acquisition
SCBA	Self-Contained Breathing Apparatus
SCIP	Statewide Community Infrastructure Program
SD	Service District
SERSP	Southeast Roseville Specific Plan
SF6	Sulfur Hexafluoride Insulating Gas in Circuit Breakers
SIC	Signal Interconnect
SIFMA	Securities Industrial & Financial Markets Association
SIRE	Store, Index, Retrieve, Exchange Software Program
SMARTs	Storm Water Multiple Application & Report Tracking System
SPARTA	South Placer Regional Transportation Authority
SPCA	Society for the Prevention of Cruelty to Animals
SPMUD	South Placer Municipal Utility District
SPWA	South Placer Wastewater Authority
SRSP	South Roseville Specific Plan
SSMP	Sewer System Master Plan
SSO	Sewer System Overflows
SSTP	Sanitary Sewage Treatment Plant
STA	State Transportation Account
STG	Steam Turbine Generator
SVSP	Sierra Vista Specific Plan
SWAT	Special Weapons and Tactics

Glossary of Common Acronyms

SWB	Salaries, Wages and Benefits
SWPPP	Storm Water Pollution Prevention Plan
SWRCB	California State Water Resources Control Board
TAG	Threat Analysis Group
TAZ	Traffic Analysis Zone
TBD	To Be Determined
TDA	Transportation Development Act
TEA21	Transportation Equity Acts for the 21st Century
TMF	Traffic Mitigation Fees
TOC	Traffic Operations Center
TOU	Time of Use
TRA	Tax Rate Area
TSM	Transportation Systems Management
TSSDRA	Transit System Safety, Security and Disaster Response Account
UEC	Utility Exploration Center
UIR	Utility Impact Reimbursement
US	United States
USBR	United States Bureau of Reclamation
USGA	United States Golf Association
UST	United States Treasury
VASH	Veterans Affairs Supportive Housing Program
VHF	Very High Frequency
VLF	Vehicle License Fee
VOC	Voice of Customer
VRDB	Variable Rate Debt Bond

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EXECUTIVE OVERVIEW

Fiscal Summary

Roseville's fiscal position has been improving, but at a somewhat slower pace than Roseville's rebounding economy. Initial recovery from the recession meant there was lost ground to be regained. Over the past several years, sales tax revenues increased as pent-up consumer demand was satisfied, and property tax revenues increased as real estate values recovered; however this growth in revenues has shown signs of slowing down while expenses are increasing.

During the recession, the City kept service levels high by deferring millions of dollars' worth of capital improvements and maintenance, along with lowering payments to key funds such as workers' compensation and retiree health. We must look at options to replenish those funds and cover associated unfunded liabilities. At the same time, the City faces a changing legislative and regulatory environment that adds significant cost to our operations, along with increases in labor costs related to salaries, pensions, workers' compensation, and retiree healthcare.

As a data-driven organization, the City uses metrics to inform our decision-making process. This has provided for a break-even budget in FY2016-17, where operational revenues will cover operational expenses; but that doesn't fully address all of our long-term liabilities. If the City were to fully fund its long-term liabilities and the deferred maintenance that occurred since the recession, the budget would be upside down by over \$10 million per year. As a result of the slowing growth, we're continuing to look for ways to close this gap, including modifying expenses, bringing in additional revenues, and changing service levels. The City is balancing the impact of slowing growth while still paying a fair wage for our labor market and making sure we have a workforce we can afford.

City of Roseville Three-Year Operating Revenue Trend (in millions)			
	Actual FY2014-15	Forecast FY2015-16	Proposed FY2016-17
Sales tax	\$49.1	\$53.6	\$53.4
Property Tax	\$34.3	\$37.0	\$39.1
<i>Subtotal</i>	\$83.4	\$90.6	\$92.5
Other Operating Revenue	\$48.7	\$47.5	\$47.9
Total	\$132.1	\$138.1	\$140.4

City of Roseville Three-Year Operating Expense Trend (in millions)			
	Actual FY2014-15	Forecast FY2015-16	Proposed FY2016-17
Operating Expenditures	\$130.4	\$136.4	\$139.1

City Manager's Budget Message

Projected FY2016-17 sales tax revenues of \$53.4 million and projected property tax revenues of \$39.1 million account for 66 percent of the City's FY2016-17 General Fund revenue. While sales tax in FY2016-17 is expected to slightly decline 0.42 percent (or \$224,000) compared to the FY2015-16 projections, this is due to FY2015-16 being artificially high reflecting true-up repayments from the State of California to cities and counties from sales tax revenues borrowed in previous years, also known as the "triple flip." The triple flip sunset in December 2015 will return to 1 percent allocation beginning January 2016. It is expected that future growth in sales tax revenue will be closely tied with inflationary growth. Property tax revenues are expected to grow almost 6 percent over FY2015-16 due to new homes and resales from a strong housing market.

Revenues from services such as utility billing, information technology (IT), legal services, and human resources—provided by other City departments to the enterprise funds—account for 10 percent of FY2016-17 General Fund revenues. Utility franchise fees from electric, natural gas, and cable companies comprise 6 percent of General Fund revenues. The remaining 18 percent of revenues comes from development-related fees, permits, recreation programs, business license fees, hotel/motel tax, grants, etc.

Before being able to recommend how revenues are allocated, the City must account for continued pressures on the expense side. Those include salaries, the Affordable Care Act, minimum wage increases, and PERS retirement costs. Following completion of a compensation study, the City accomplished the goal of moving salaries to labor-market median during recent labor negotiations, resulting in higher salary costs for Police personnel (sworn and non-sworn) and other miscellaneous positions. To offset these increases, Roseville Police Officers Association, Roseville Police Association, Local 39, the International Brotherhood of Electrical Workers (IBEW), and Management/Confidential approved new salary schedules for future hires that will help slow payroll growth in the future.

The FY2016-17 budget includes recommendations for eight General Fund positions, including a patrol officer, a code-enforcement officer, and other support services positions. Of the eight General Fund positions recommended, four had little or neutral impact to the bottom line as staff reduced other expenses to offset the increased salary cost. The General Fund also had four positions that transferred to other funds, such as storm water and a reduction of 1.5 positions that were reorganizations/reclassifications making the net new position number for General Fund 2.5. The budget also recommends four new positions in the utilities. Citywide, there is a net of 9.7 new positions when all reclassifications and transfers are taken into account.

The FY2016-17 budget addresses a range of operational needs with a specific focus on the following:

- **Police services and emergency response**—Maintaining adequately staffed and trained police and fire departments, including neighborhood patrols, crime-prevention programs and emergency personnel, are vital to protecting Roseville's quality of life and maintaining rapid emergency and medical response times.
- **Streets, roads, and public facilities**—Well-maintained city streets, roads, parks and recreation centers help protect property values and maintain Roseville's quality of life. It is fiscally responsible to maintain our streets, roads, and public facilities now, so they don't deteriorate and become more costly to fix in the future.
- **Economy and jobs**—Programs that retain, attract, and help expand businesses in Roseville are important to creating jobs and a vibrant local economy.

Economic Indicators

Looking at key economic indicators, including the labor market and residential, retail, and commercial sectors, Roseville's economy is strong. At the close of FY2015-16, residential permits for single-family homes are expected to have increased 34 percent over the previous year. In FY2016-17, a nearly 17 percent increase in permits is expected for single-family homes.

A total of \$60 million in commercial investment (\$27 million in commercial building construction valuation and \$33 million in commercial tenant improvements) will close out FY2015-16. And the City conservatively estimates a \$40 million increase in total commercial construction and tenant improvements for FY2016-17 to \$100 million, indicating a continuation in investment in Roseville's commercial sector.

Investment in FY2015-16 included a new regional office for the FBI, new Sutter medical office buildings, Life Time Fitness, Pearl Creek Apartments, Quest Technologies, and new restaurants at the Fountains at Roseville. In FY2016-17 construction continues on Top Golf, an entertainment and event venue, and a new building at 316 Vernon Street for retail, classroom space for Sierra College, and office space for City services and functions. And construction will begin on a new parking garage downtown at Washington and Oak streets.

Occupancy is increasing across all sectors in Roseville's commercial property, according to a February 2016 Co-Star report. The office sector is at 85.6 percent occupancy. Industrial space, at 92 percent occupancy, remains a premium in the city; and given the size of individual leases, the occupancy rate can swing significantly with the lease of a single tenant.

City Manager's Budget Message

As a regional shopping destination, Roseville draws shoppers from far beyond the city limits while also offering ideal consumer demographics in close proximity for a variety of retailers. Retail space was the strongest commercial-property sector for the city, at 95.6 percent, confirming Roseville as the regional retail powerhouse.

Roseville's unemployment rate is down to 4.5 percent as of March 2016 from 6.2 percent in November 2014. Roseville continues to track parallel with but below the State unemployment rate, which was at 5.7 percent in November 2015 and at 7.5 percent in November 2014.

Council Priorities

The Roseville City Council established multi-year priorities in 2012 and has added to them at annual goal-setting workshops in the years since. Following are the City Council's priorities for FY2016-17, which are supported in the budget as well:

- Public safety
- Fiscal soundness
- Economic development
- Sound and stable utilities
- A great downtown
- Infrastructure
- Legislative advocacy
- Civic engagement

COUNCIL GOAL NO. 1: PUBLIC SAFETY

Maintaining adequately staffed and trained police and fire departments, including neighborhood patrols, crime-prevention programs, and emergency personnel, is vital to protecting Roseville's quality of life and maintaining rapid emergency and medical response times. This priority is reflected in the budget, where public safety expenditures represents 69 percent of total revenues from sales and property taxes—the primary source of funding for public safety. To ensure the City maintains adequate police staffing, this year's budget includes the addition of a patrol officer to enhance coverage on the west side of town.

Low Crime Rates and Community Policing

Even with a population increase of 9 percent since 2010, Roseville maintains one of the lowest per capita crime rates for many types of crime compared to other cities. While Roseville's crime rate, for both violent and property crimes, has been falling for the past 10 years, it increased in 2015, though still lower than our five-year average. The City's rate of property crime runs above the state average due to its large retail sector and the City's position as a relatively affluent community with a low fear of crime, adjacent to higher-crime communities.

The Police Department is committed to the principles of community-oriented policing, using partnerships and problem-solving techniques to proactively address the immediate conditions that give rise to public safety issues such as crime, social disorder, and fear of crime. Rather than simply responding to crimes once they have been committed, community policing concentrates on preventing crime and eliminating the atmosphere of fear it creates. Earning the trust of the community and asking residents and businesses to be stakeholders in their own safety enables law enforcement to better understand and address both the needs of the community and the factors that contribute to crime.

In addition to patrol, the department provides a wide range of services, including criminal investigations, vice and narcotics investigations, crime suppression, traffic enforcement, youth service officers in the schools, animal control, social services and youth intervention services in partnership with the Roseville Police Activities League.

Police Staffing Analysis for a Changing Environment

With the economy recovering, the City is growing. The City reached full-staffing of sworn personnel for the first time in five years, reflecting City Council's prioritization of this goal. The Police Department is maintaining staffing through effective and efficient hiring programs for laterals and entry level positions – both for sworn and professional staff. The City's focus is on reducing crime to ensure Roseville maintains safe neighborhoods and business districts.

Growth brings the challenge of maintaining a high level of service for a larger population and other issues that come with being a larger city. Those include maintaining adequate staffing levels to provide good response times and to be proactive in preventing crimes and disorder. A staffing analysis being completed by an outside consultant is currently underway to examine staffing needs. Set against the backdrop of the amount and type of growth projected for the City, the analysis also will factor in law enforcement trends and state actions. These include AB109, which reduced the prison population by not incarcerating offenders or releasing them early with either no mandated supervision or only local community supervision; and Proposition 47, which reduced several former felony crimes to misdemeanors and changes the options available to law enforcement officers to address crimes like shoplifting, stolen property, drug possession, and theft.

Building Trust in our Community

The Police Department is focused on continuing to build trust in our community. The department held its first daytime Citizens Academy to ensure it is serving all segments of our community. It was well attended and the department will

City Manager's Budget Message

be holding one daytime and one nighttime academy each year. The department also plans to implement a body camera system for operations personnel this coming year to build trust in our community and assure our residents and visitors that the Police Department is accountable. Although funding for body cameras is not included in this year's budget, the City is looking for funding opportunities for this initiative.

Transitioning of the Jail

With the jail closing this past year, three employees were transitioned to other assignments that were needed within the department to serve our community. There were no layoffs. The Police Department will transition the vacated jail area to a new, much-needed workspace area for detectives and storage for property and evidence.

Fire Response Times and Service Levels

Fire stations are located strategically throughout the community in order to place resources within acceptable response distance to the areas that the assigned resources are expected to serve. Response time is one of the most important measurements of fire department performance. Response times and resources are evaluated on a regular basis to ensure that the Fire Department is providing the most efficient and effective services to the community.

As the population and travel times within our response districts increase, the need has been identified for a ninth fire station in the next five years. The City must build capacity in the budget to fund the construction of the new station and the estimated \$2 million annual cost for staffing.

In March 2016 the Roseville Fire Department received reaccreditation from the Center for Public Safety Excellence. The accreditation process is a comprehensive self-assessment and evaluation model that enables the organization to examine past, current, and future service levels and internal performance and compare them to industry best practices. The accreditation is valid for a five-year period.

Training and Staffing Levels

As first responders, the Fire Department's emergency preparedness is honed through a range of training. Performance capabilities for hazardous-materials response have taken on a new dimension given the increased transportation of highly volatile Bakken crude oil shipments throughout the country. Funded by Union Pacific, Roseville firefighters have attended specialized training in Colorado focused on fighting petroleum fires.

The Fire Department implemented a lateral hiring process that will bring six firefighter/paramedics to the department in June 2016 to fill current vacancies. Benefits to the new process include a shorter academy - which is a significant cost savings in personnel hours - and the ability to keep an active hiring list and hire when positions are vacant rather than waiting until there are enough vacancies to fill an academy class. This will assist with succession planning as well.

Relocation of Fire Station No. 1

In FY2016-17 construction will begin on a modern facility for downtown's Fire Station No. 1. This need was identified during the visioning process for the Downtown Specific Plan and is being funded with developer fees. Since this is a relocation of existing staff, there are no additional operational funds required for the new Fire Station No. 1.

COUNCIL GOAL NO. 2: FISCAL SOUNDNESS

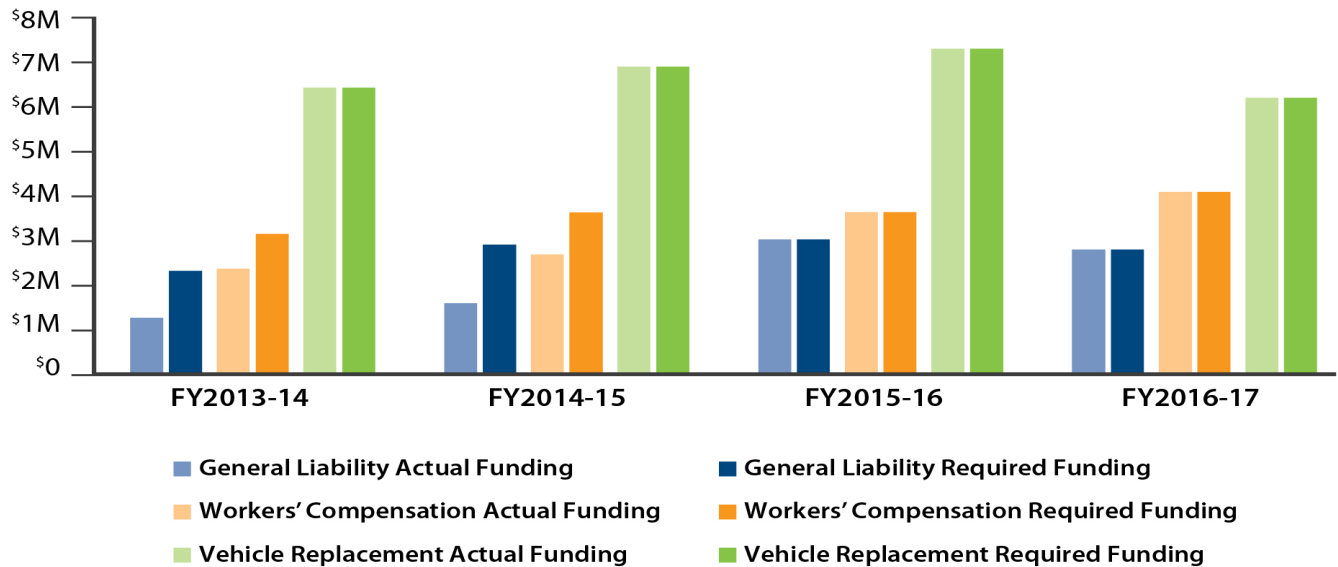
The adoption of the FY2016-17 budget marks the second year since the City implemented a strategy to balance operational revenues with operational expenses without the use of one-time funds. This balancing of resources during the development of the budget involves many compromises when funding the various needs of the City. The FY2016-17 proposed budget addresses many of these needs; and although it is balanced, it does not fully fund all of the City's obligations. With cost pressures continuing to rise and revenue increases continuing to slow, the priority in this year's budget is to pay our mandatory obligations first, such as PERS costs, debt, and salaries, and then to prioritize the remaining resources to deliver services.

In an effort to balance the budget during the recession, several categories of operating expenses were underfunded. As the economy has improved, City Council has made many proactive decisions towards reversing this trend and attaining long-term fiscal soundness. As a result, the City has successfully implemented long-term solutions in four critical areas. In last year's budget, and again in this year's budget, these four categories are now fully funded: Workers' Compensation internal service fund (ISF), the Vehicle Replacement ISF, the General Liability ISF, and the Litigation Reserve Fund (newly funded in FY2015-16).

The chart on the following page shows the required vs. actual funding levels of the three ISFs for four years. As can be seen, these three funds have now been fully funded for the last two years.

City Manager's Budget Message

Funding Status Comparison Internal Service Funds

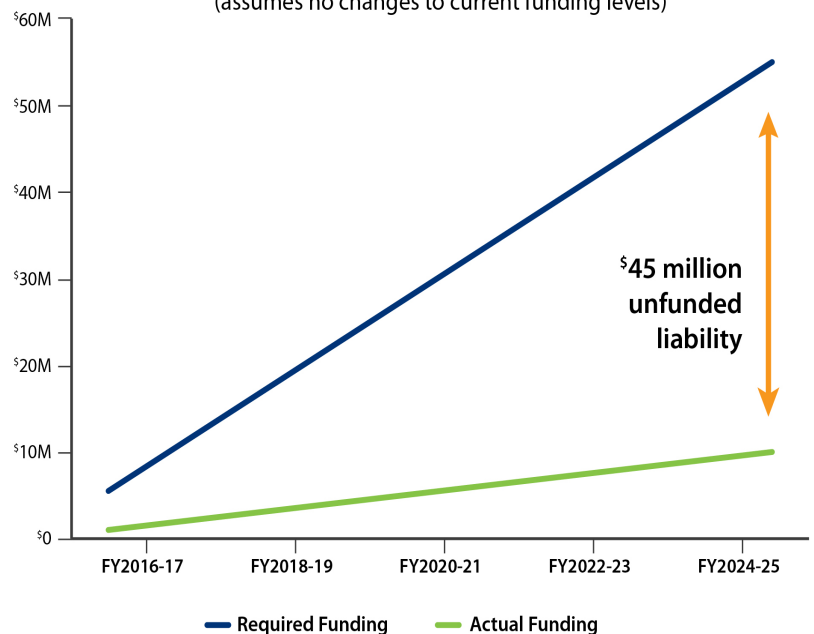


Budget Challenges Facing the City

The following information outlines some of the challenges we are still facing and is followed with a discussion identifying what the City is doing to address them.

- Capital Improvement Plan and Rehabilitation of Assets**—Costs for infrastructure maintenance and replacement is increasing in all areas—from building maintenance to technology to capital improvements and rehabilitation. The 10-year capital improvement plan has identified approximately \$55 million of underfunded needs. The General Fund has been funding \$1 million per year when the actual funding level should be closer to \$5 million. This has resulted in deferred maintenance occurring throughout the City. The proposed FY2016-17 budget will fund \$1 million of expenses from the General Fund, and after utilizing all available resources, defer \$3.7 million to future years. The following chart shows the required vs. actual funding level of this account if we do not improve the funding in future years.

10-Year CIP Rehabilitation Forecast - General Fund
(assumes no changes to current funding levels)



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- **Streets and Roadways**—Funding challenges for roadway infrastructure have caused the City to fall behind on its maintenance schedule for streets. Currently, roadway maintenance is about \$50 million underfunded. The gas tax is the primary funding source for streets and roadways. Gas tax rates, accrued on a per-gallon basis, were developed without an adjustment for inflation, minimizing their purchasing power with every year that passes. Gas tax revenues have fallen also due to more fuel-efficient vehicles being on the road, reducing the demand for gasoline. The City has identified an average, annual ongoing need of \$9-10 million per year. The City annually funds \$4-5 million per year from the General Fund, leaving a shortfall of \$5 million per year, or \$50 million for the next 10 years.

The FY2015-16 budget includes \$15 million for roadway maintenance which addresses 34 miles of roadway. For FY2016-17, the City is budgeting another \$7.25 million for roadway resurfacing. It should be noted that the funding levels for FY2015-16 and FY2016-17 are an anomaly and have unusually high dollar amounts due to a number of one-time factors, as well as a consolidation of multiple-year spending. These anomalies are described below:

- o The arterial resurfacing project is the combination of three years' worth of arterial resurfacing funds all rolled into one project – FY2013-14, FY2014-15, and FY2015-16.
- o In FY2013-14, due to some staffing shortages and regulatory changes regarding handicap-ramp installations and upgrades, no resurfacing was completed. That allowed the FY2013-14 funding to roll forward into future years.
- o Staff is combining the FY2014-15 Federal Regional Surface Transportation Program (RSTP) apportionment with a future apportionment being advanced from FY2017-18.
- o This summer's storm drain work has been planned for several years. Over that time, the City has accumulated over \$2 million dollars in the storm drain account for storm drain repair/upgrades.
- o The City obtained a Federal Congestion Mitigation and Air Quality (CMAQ) grant to help fund handicap-ramp improvements.
- o The Gas Tax Fund received a large repayment from a Redevelopment Agency loan.
- o Some resurfacing money that was being held in reserve pending the outcome of a State gas tax audit

was released for resurfacing after the audit's successful completion.

- o The Alternative Transportation Division was able to allocate \$5.4 million for street maintenance purposes via current and past Transportation Development Act funding years.

Funding for FY2017-18 and beyond are expected to return to the normal \$4-5 million level.

- **Citywide Parks**—Roseville has an extensive and beautiful parks system, and Council is highly motivated to maintain the high standards to which our community has become accustomed. However, funding these standards has a cost that must be considered.

The funding of parks can be grouped into two categories: funds required to build a park and funds required to maintain a park. In many instances, parks are built by developers and maintained by community fees. However, citywide parks do not fall in this category, resulting in all costs being borne by the General Fund.

Included in this budget is funding for the construction of Phase 2 of Harry Crabb Park and Phase 2 of Central Park. The expansion of the parks system to include these two parks will obligate the General Fund to an additional \$200,000 in annual maintenance costs. These additional maintenance costs are not included in this budget since the parks will be constructed during the year and thus not require maintenance costs until FY2017-18. As a result, a funding source will have to be determined at that time.

While it is fully expected that the General Fund will fund these costs in FY2017-18, further expansion of citywide parks will require the identification of additional funding strategies.

- **Labor Costs**—Since municipal government is primarily a service provider as opposed to a manufacturer, a significant portion of the City's budget is related to salary costs. As a result, any cost increases to labor have a significant impact on budgeted expenses. During the recent labor negotiations, the Council took a two-fold approach to ensuring long-term fiscal stability related to labor costs by reducing the growth of future labor expenses. This was accomplished by increasing the number of salary step or "step" increases and modifying the amount of the increase earned in each step.
 - o *Increasing the number of steps within a pay scale*—The number of steps was doubled, effectively doubling the length of time required to reach maximum pay within

City Manager's Budget Message

a job classification. This new schedule implements a 2.5 percent merit increase instead of 5 percent when an employee is eligible for a step increase. Going into FY2016-17, the change in the number of steps applies to Roseville Police Officers Association, Roseville Police Association, Local 39, IBEW, and Management/Confidential.

- o *New salary schedule*—During the recent negotiations, a new salary schedule for new employees to the City was created. These new pay scales were based on market surveys with the goal of targeting a median pay range. Going into FY2016-17, the schedule applies to Roseville Police Officers Association, Roseville Police Association, Local 39, IBEW, and Management/Confidential.

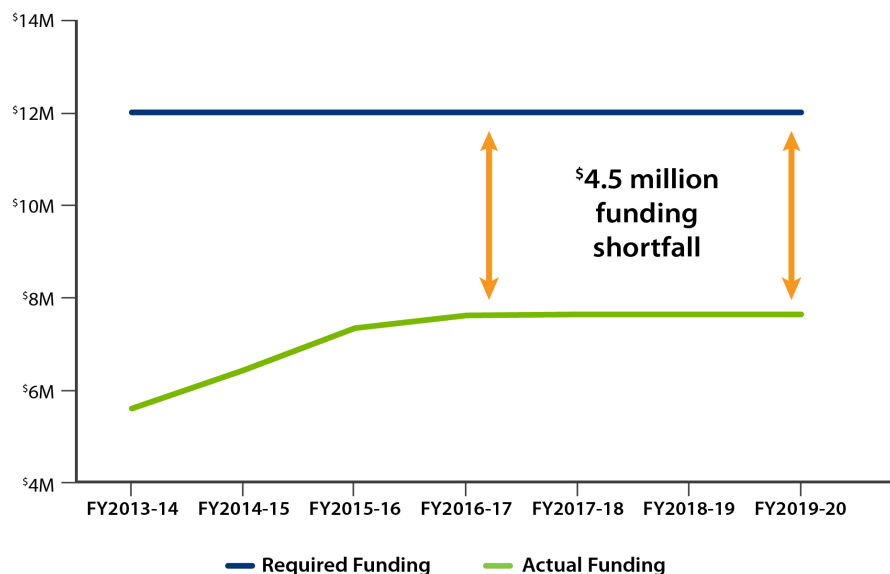
- **Retiree Health or "Other Post-Employment Benefits (OPEB)"**—Costs for those employees who will receive retiree health benefits are continuing to grow as healthcare premiums and Medicare costs increase. The latest actuarial report shows an unfunded liability of \$152 million. The proposed FY2016-17 budget will fund \$7.6 million toward this liability, when the actual annual amount needed to fully fund this over time is \$12 million per year. The City has taken a two-fold approach to ensuring long-term fiscal stability related to retiree health costs by funding current obligations and limiting future liabilities.

- o *Funding current obligations*—To provide long-term sustainability for retiree medical expenses, the City

created an OPEB trust in February 2011 with an initial contribution of \$34 million. Since that time, the City has remained committed to funding this obligation by directing a certain percentage of annual salary costs to the fund. That percentage currently is 3 percent of total salary for the General Fund, while the utilities are funding 100 percent of their obligation. The current required payment to meet a fully funded status is 8.1 percent of salary. The trust has performed well in the equity markets, and when combined with the increased contributions, now has a fund balance of about \$55 million, which represents a 26 percent funding level.

- o *Limiting future liabilities*—As mentioned earlier, over the past year, the City has negotiated new contracts with its bargaining units, with the goal of capping unfunded liabilities and slowing payroll growth. As a result, the retiree health benefit has been eliminated for new "Tier 3" employees. These new employees are required to contribute into a Retirement Health Savings account that can be used for future medical, dental, and vision expenses after retirement. These expenses will now be funded by the retiree as opposed to the City. The following chart shows the forecast funding level for the OPEB Trust, assuming the City continues to fund at the current 3-percent level. The blue line reflects the required 8.1 percent (\$12 million) of salary funding level and the green line represents the current 3-percent (\$7.6 million) level.

OPEB Funding Status - General Fund
(assumes no funding increases after FY2016-17)



City Manager's Budget Message

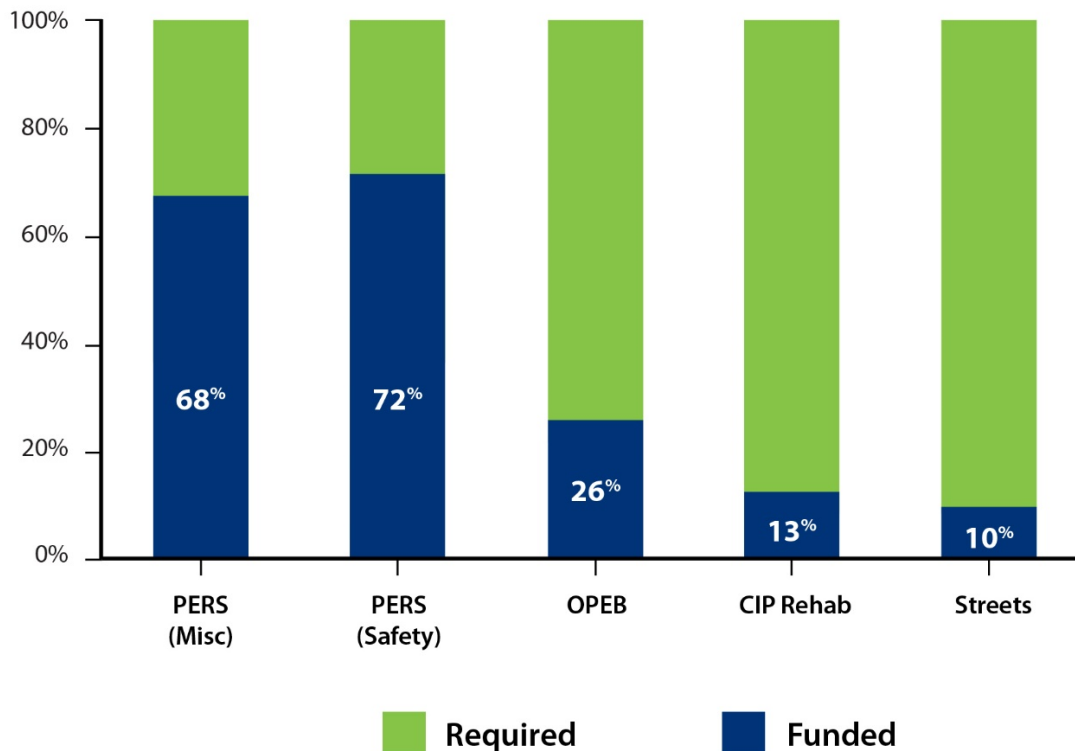
- **Pension or "PERS" Costs**—Costs for the employee pension plan are related to the:
 - o Particular retirement plans the City participates in,
 - o Annual contribution made by the City and the employees, and
 - o Returns experienced in the stock market.

The latest actuarial report identifies a \$165 million unfunded liability for "miscellaneous" (non-public safety) employees and a \$71 million unfunded liability for public safety employees for a total of \$236 million. The annual payment to PERS for pension costs is calculated by PERS and sent to its member agencies. The FY2016-17

proposed budget will fund the expected payment due to PERS for the fiscal year. Looking forward, in order to reduce, and ultimately eliminate, the unfunded liabilities that cities currently have, PERS has developed a modified payment plan that will eliminate the unfunded liability in approximately 28 years. Although PERS has identified and implemented the actions necessary to correct the unfunded liability, cities will be required to fund higher annual costs as PERS recalculates the requirements each year. As an example, the increased cost for the City of Roseville for FY2016-17 totals \$3.4 million, which includes \$2.2 million for the General Fund.

The following chart provides a summary of the various funds discussed and includes the latest actuarial information from PERS.

Current Funding Status



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- **Other Outside Influences on Labor Costs**—Proactive management of expenses continues to play a key role, especially for labor costs. Although the City has some control over salary costs, several components are outside its control, including the following:
 - o Increases in the mandated minimum wage that will add salary and benefit expenses to our compensation costs, as well as those of our vendors (which will be passed on to the City), and
 - o the Affordable Care Act, which could add healthcare expenses for temporary employees.

While difficult to forecast at this time, the FY2016-17 proposed budget will fund the expected impact of these cost increases for the fiscal year. These forecasts will be refined as the full impact is realized.

Future Goals Toward Fiscal Soundness

- **Capital Improvement Plan and Rehabilitation of Assets**—During the FY2016-17 fiscal year, City staff will be undertaking an overhaul of the process by which it identifies and funds the ongoing replacement of assets. This effort will create an accounting system that fully accounts for all assets that the City is required to replace in future years, including when and how much they will cost. These costs will then be incorporated into the operating budgets for all appropriate departments. This effort will result in a number of important improvements to the current system:
 - o Creation of a centralized database of all assets to include life span and cost,
 - o Development of a replacement-funding mechanism for all assets,
 - o An accurate picture of the full cost of running each department,
 - o Reduction or elimination of the need for one-time exaggerated bumps in the budget, making future budgets smoother, and
 - o Reduction or elimination of last-minute scrambling for funds.
- **Internal Service Funds**—The City has successfully used the concept of an internal service fund (ISF) for certain citywide expenses. The calculation and tracking of these expenses is performed in a centralized manner, in which the identification and funding of the costs are clearly presented. These costs are funded, via the operating

budget, by the department that actually incurs the expense. An excellent example of this is the Automotive Replacement Fund (ARF).

During the FY2016-17 fiscal year, City staff will be undertaking the task of creating ISFs for the IT Department and the Building Maintenance and Facilities Division. As with the ARF, this will

- o Create an accurate assessment of the costs of delivering these services and
- o Transfer responsibility for the funding of the expenses to the operating budgets of the users of the services.

This will also provide opportunities to improve efficiency and to expand funding sources. In effect, it will transfer accountability to the departments to manage these costs and require them to thoughtfully decide if the impact of an expense increase can be absorbed in their budget. These new, accurate budget models will generate a comprehensive picture of what each city department actually costs to operate. For example, instead of funding all IT needs from a centralized fund that is not owned or funded by any operating department, an IT expense line will exist in each department's budget.

- **Cost-Recovery Fee Strategy**—Fairly allocating costs to the services provided creates value and predictability for our customers, and reimburses the City for expenses requested by a single party, as compared to the public at large. Fees in general ensure equity; those who benefit the most should pay the most, and pricing ensures that users pay appropriate fees. The City is currently determining the total cost of providing each City service and the cost-recovery target, comparing our fees with neighboring or similar jurisdictions, and recommending appropriate fees and charges based on the analysis. Once the data is collected and analyzed, these recommendations will be brought forward to the City Council for consideration. When fees are approved, the City will prepare a comprehensive fee booklet that will consolidate all fees charged for services, which are currently maintained by respective departments.

An example of this analysis is being performed in the Parks, Recreation, and Libraries Department. In alignment with its pricing policy, the City is currently evaluating strategies for recovering costs for facility expenses. One new fee being considered is a 5 percent capital improvement fee on all programs. This would help to offset the direct costs incurred by users of the facility. Based on current projections, this fee would generate \$350,000 annually toward filling the gap in our capital improvement

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plan for Parks, Recreation, and Library facilities. This fee is not included in the current budget but will be evaluated during FY2016-17.

- **Increase Level of Emergency Reserves**—In accordance with City Council policy, the City maintains a reserve level of 10 percent of the General Fund's total estimated operating costs. However, increasing the emergency reserve level to at least two to three months' worth of total expenditures (16-25 percent) will strengthen the City's ability to weather economic downturns and also allow the City to achieve the lowest cost of borrowing. Although this won't happen overnight, redistributing excess funds each year from the prior year's budget will help build these reserves. Currently, the City's General Obligation Bond rating stands at AA+ from Standard & Poor's; and increasing the reserves can help the City achieve the next (and highest) rating possible of AAA, thereby lowering the City's borrowing costs.

COUNCIL GOAL NO. 3: ECONOMIC DEVELOPMENT

Programs that retain, attract, and help expand businesses in Roseville are important to creating jobs and a vibrant local economy. Last year, work was completed on Life Time Fitness, Pearl Creek Apartments, Quest Technologies, and new restaurants at the Fountains at Roseville, and work is nearing completion on many new projects, including a new Federal Bureau of Investigation field office, new Sutter medical office buildings, Top Golf entertainment complex, and iFly indoor skydiving facility.

This coming year we expect progress toward and potential construction of the Falls Event Center, Adventist Health expansion, a new medical office building for Kaiser Permanente at Riverside Avenue and Cirby Way (to replace the current facility), a new facility for the Placer Society for the Prevention of Cruelty to Animals (SPCA), a new parking garage downtown at Washington and Oak streets, and completion of a new building at 316 Vernon Street to provide office space for City services, classroom space for Sierra college, and ground-floor retail space.

Pending funding from the State, the City may see the start of construction on Mercy Housing's 55-unit affordable housing project on Vernon Street and St. Anton Partners 80-unit affordable housing project on Pacific Street in the Historic District. In addition, City Council approved an agreement in April 2015 with Placer Valley Tourism to develop a regional multi-use sports complex in west Roseville.

Having completed an assessment of City-owned properties this past fiscal year, the City will be formalizing its property-management and property-disposal strategies.

On the residential side, staff is working on the Amoruso Ranch Specific Plan in the northwest area of the city with 2,906 residential units, and the Campus Oaks Specific Plan adjacent to Hewlett-Packard, with 948 residential units and a tech park to complement the adjacent commercial and tech facilities.

Public-Private Partnerships

- **Advantage Roseville**—In 2012, the Roseville Community Development Corporation recruited 22 local partner companies to form a three-year public-private partnership called Advantage Roseville. The goal of Advantage Roseville is to grow Roseville's economy by attracting new businesses and by retaining and expanding existing businesses. Each of the 21 private-sector partners had committed to a \$5,000 annual contribution, matched by a \$100,000 annual contribution from the City of Roseville, the 22nd partner. In 2015, Advantage Roseville continued the program with three levels of private financial participation and a \$100,000 contribution from the City.

Since inception, the Advantage Roseville campaign has raised Roseville's brand awareness throughout the state and has participated in the attraction of approximately 2,695 new jobs with an estimated annual payroll in excess of \$90 million and capital investment associated to new construction of \$253 million. Advantage Roseville received a statewide economic-development partnership award from the California Association for Local Economic Development (CALED) in April 2016. It was previously recognized with the Public/Private Partnership Award for 2014 from the Placer County Economic Development Board for its leading role in branding and delivering business attraction, retention, and expansion services.

- **Higher Education**—Three higher education institutions are in various stages of progress with a new or expanding presence in Placer County: 1) Sierra College will be occupying a floor of the new office building under construction at 316 Vernon Street when it's completed in 2016, providing a downtown location for higher education that will bring a different demographic and steady stream of students to Roseville's downtown, 2) Warwick University, which announced its intent to build a co-campus west of the city limits, is looking for classroom space in Roseville. It plans to offer classes prior to construction, and its staff has been actively connecting with business, education, and community leaders to get an idea of the types of academic programs and amenities desired in the area, and 3) Placer County is continuing to process the Placer

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Ranch Specific Plan to facilitate the development process and to further advance the project. This area, north of city limits, will be home to a new campus in the California State University system, along with 5,000 residential units and 6 million square feet of non-residential uses. It's a key part of the newly conceived Innovation Corridor, which tracks the path of the proposed 15-mile Placer Parkway that will connect Highway 65 with Highway 99 near Sacramento International Airport. The Innovation Corridor highlights three universities: Rocklin-based William Jessup University, the proposed California State University campus in Placer Ranch; and the proposed Warwick University to the west of Roseville.

- **Hotel-Conference Center**—In April 2016, the City Council approved a Request for Proposal for a hotel and conference center at a City-owned site adjacent to the Galleria. The goal is to find a partner to deliver a full-service hotel and conference center that minimizes any obligation of the City's General Fund.
- **Multi-Use Sports Complex**—In April 2015, the Roseville City Council approved an agreement with Placer Valley Tourism to construct a 55-acre multi-use sports complex in the West Roseville Specific Plan Area, funded with \$5 million from the Citywide Park Fund and approximately \$30 million in bond proceeds secured by Placer Valley Sports Complex and Tourism Marketing District. It will have 10 lighted, synthetic soccer/multi-use sports fields along with play areas, restrooms, picnic area, and a parking lot. This partnership expands economic development through sports tourism, which is expected to have an annual economic impact of \$8-12 million due to visitor spending on lodging, meals, shopping, and entertainment, while also decreasing expenses for citywide parks for both one-time construction costs and recurring maintenance costs.
- **Placer Society for the Prevention of Cruelty to Animals (SPCA) Animal Care Facility**—Since 1994, the City has contracted with the SPCA for animal shelter services since 1994. With the City's growth in population, the SPCA has experienced a significant increase in the number of Roseville animals accepted, predicating the need to expand SPCA's capacity. Currently, 88 percent of the animals processed at the Corporation Yard facility are attributable to Roseville. Over the past several years, the City has explored a number of options to accommodate this increase in demand, including partnerships with Placer County and other South Placer cities, but an agreement could not be structured that achieved each jurisdiction's unique needs.

To address this demand, SPCA initiated discussion with the City regarding a proposed long-term partnership to construct a new, larger facility on Yosemite Drive. Phase 1 of the new Yosemite Drive facility would provide an animal housing and adoption center, while initial intake and medical services would continue to be provided at the Corporation Yard facility. A future Phase 2, should it move forward, would further expand the Yosemite Drive facility to relocate the intake and medical services from the Corporation Yard facility. Estimated construction costs for Phase 1 are \$12 million, of which the City's share would be capped at \$7.6 million (from the City's Strategic Improvement Fund) or 68 percent of total cost, whichever is lower. The City and SPCA will both have an ownership share in the facility, proportionate to the amount of funding provided for Phase 1.

Investing in Our Community

- **Increased Code Enforcement**—Several code-enforcement initiatives and ordinance amendments were implemented this past year. These included the re-establishment of the Nuisance Abatement Team, implementing a citywide complaint-tracking system, and increasing sign enforcement. In addition, the City updated the municipal code to address the following: the definition of public nuisances, parking in front yards, parking of commercial vehicles in residential-zone districts and the use of A-frame signs. These improvements to the code-enforcement operations assist in addressing citizen concerns and preserving the vitality our community.

At its goals workshop for FY2016-17, the Council discussed the importance of expanding code-enforcement efforts throughout the community. To address this priority, the FY2016-17 budget includes an additional full-time code-enforcement officer. At a ratio of one officer per 38,000 residents, this position aligns code-enforcement staffing on a per capita basis with staffing levels in other similar jurisdictions. It also allows the City to provide a timely response to complaints as the population continues to grow.

Ensuring that the older, more mature areas within the City remain safe, clean, and well-maintained was a separate but related topic identified in the Council Goals Workshop for FY2016-17. While code enforcement is a dimension of this, providing these neighborhoods with an additional service is anticipated as part of this year's budget. Similar to past efforts, it is anticipated that the code-enforcement division, in conjunction with the Police Department, will work with the community to provide one neighborhood weekend clean-up program.

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- **Entrances to the City**—As mentioned at the FY2016-17 Council Goals Workshop, entrances into the City of Roseville are important to the overall aesthetics and perception residents, businesses, and visitors have of the City. The City is determining options to improve the various City entrances, starting with South Cirby and Champion Oaks, which is funded in the capital improvement plan for FY2016-17.
- **Landscape & Lighting Districts**—A significant amount of common-area landscaping throughout the city is funded through Landscape & Lighting Districts, through which property owners determine the level of service and aesthetics they desire for their neighborhoods and vote to assess themselves accordingly. Neighborhood standards vary throughout the City, and all areas have the opportunity to vote to adjust assessments.
- **Funding Housing Needs**—The Roseville Housing Authority, operated by the City of Roseville, administers the U.S. Department of Housing and Urban Development's (HUD) Housing Choice Voucher rental assistance program. Participants can also take advantage of the Roseville Housing Authority's Family Self-Sufficiency program, which provides incentives to maintain employment by offering an interest-bearing savings account with the goal of becoming financially self-sufficient. Roseville's Housing Authority was named a high performing agency by HUD for the 11th consecutive year—the highest rating available to local housing agencies. This rating gives the Authority a competitive advantage in its efforts to bring more federal funds to the Roseville community.

Currently, the Roseville Housing Authority provides safe and clean housing for 655 families through rental assistance from the Housing Choice Voucher Program, the City's single strongest tool to prevent homelessness in our city. The program brings about \$5 million a year to the Roseville economy that gets recycled through jobs and further investment.

- **Addressing Homelessness**—The City supports and collaborates with many local service providers in their mission to serve our homeless including emergency shelter, warm meals, groceries, and even basic medical needs. In addition, the City has been active in advocating with agencies at the federal level on this issue. The City's financial support and collaboration includes 15 homeless programs or organizations, three food programs, 11 adult programs, 18 children and youth programs, three home-buying and rehab programs, and six community-benefit organizations. Additionally, the City has a Red

Cross-approved Severe Weather Plan in place to provide cooling centers or warming centers in times of need. All totaled, the City administers or contributes financially over \$6 million in resources annually to provide affordable housing, assistance in the prevention of homelessness, and administration services to those in need.

In FY2015-16, the City has been participating in meetings on how to address homelessness with other jurisdictions in Placer County and with Sacramento Steps Forward, the lead agency working to end homelessness in the Sacramento region. The City has issued an RFP to develop a needs assessment for the homeless population in our community, using a collaborative, data-driven, outcomes-based approach to align the needs of the homeless with available housing and programs, to determine what resources are needed to address those needs, and to develop a database of information that can be used to assist individuals throughout their transition from homelessness.

- **Increasing the Amount of Funds Available for Community Non-Profits**—It is rare for a city to have a grant program that funds community non-profits each year. The City of Roseville's Citizens Benefit Trust (CBT) Fund was created when the City sold the Roseville Hospital in the early 1990s. The City Council decided to put the proceeds in a trust and to grant 90 percent of the interest earnings annually to non-profits for the sole purpose of improving the quality of life for the citizens of the City of Roseville.

As a government entity with the fiduciary responsibility for public funds, the City is required by law to follow three guiding principles when investing the CBT funds. In order of priority, these principles are: safety of principal, liquidity of funds, and yield. State law limits the city's investment choices to low-risk, high-grade bonds such as treasuries, federal agencies, and corporates (A rated or better) with maturities that are less than five years. No investment in equities is allowed. Over the last several years, this has resulted in significantly diminished returns due to the Federal Reserve keeping the short-term interest rate at or near zero. Due to the restricted requirements imposed on government investments related to safety of principal, the portfolio has experienced returns around 1 percent, leaving less available for grants. By changing the investment strategy allowed under state law to the City's advantage, City Council adopted a policy to extend the maturity limit from five to ten years strictly due to this fund not having any liquidity concerns that are needed to meet operating expenses. The portfolio is still investing in the same low-risk, high-grade bonds but with longer

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maturities. This change has resulted in a two-fold return increase for the fund. The Grants Advisory Commission will now have twice as many funds available for granting purposes just by making this change.

- **Library Funding**—At the FY2016-17 Council Goals workshop, the Council asked staff to ensure adequate funding for the City's three libraries. The most recent Parks, Recreation and Libraries Department audit made comparisons to city libraries in California that are similar in population. They also include Yolo County because of proximity and size. For FY2013, those findings indicated that Roseville was on the low side of operational expenditures per capita and materials per capita spending. The statewide median expense per capita is \$29.28 and the average is \$47.13; Roseville is at \$26.88. When compared within our region, Roseville is right in the middle of operational expenditure and materials per capita. With high satisfaction levels in the community and because libraries are meeting operational goals despite rising costs, there is not a recommendation to increase the budget at this time. Monitoring service and satisfaction levels is ongoing and recommendations will be made as part of the budget process regarding facilities and programs as appropriate.

COUNCIL GOAL NO. 4: SOUND AND STABLE UTILITIES

Having well-run, reliable, and low-cost City-owned utilities has proven to be an economic advantage to Roseville over the years. As a full-service city, Roseville owns and operates its own electric, water, wastewater, and solid waste utilities through Roseville Electric Utility and Environmental Utilities (EU). Key utility decisions are under the control of a single entity, which makes the planning, development and operation of utility services more efficient, synergistic, and reliable. This benefits customers with rates among the lowest in the region, the highest levels of reliability and quality with a relentless focus on planned expansion, and proactive renewal or replacement of utility assets.

Legislation and Regulation

While the City owns and operates a number of diverse utility services, the one aspect all City utilities have in common is external regulation. Each of our utility services are highly regulated by state and federal agencies and routinely subject to legislative and judicial orders which are expensive and sometimes interfere with local control. The City deals with this reality strategically on two fronts:

- 1) The City develops and drives a comprehensive legislative and regulatory platform and the City promotes balanced and pragmatic approaches. We work hard to understand the issues, develop relationships, and advance or protect our customer's interests accordingly. The City is a leader of several legislative advocacy alliances on the regional, state, and federal level that combine the strength of their unified voice to advocate for utility customers.
- 2) While new regulations can be challenging and costly to implement, the City actively looks for opportunities that derive increased value from regulations to further benefit our customers. Because our utilities operate as integrated businesses, we can sometimes turn what might look like a daunting regulatory mandate into a synergistic business opportunity. An example of this is our organic food waste to biogas energy program currently under development that will leverage our need to divert organic solid waste against our ability to convert this waste to energy at our wastewater treatment plants.

Highlights of each utility's focus areas for FY2016-17 are listed below.

Roseville Electric Utility

- **Community solar**—Roseville Electric Utility is in the mid-stages of planning and building its first community solar project. The goals of the project are to allow interested customers to purchase solar electricity from the project and to provide the utility with local, renewable energy, to count towards the State of California's requirement for 50 percent renewable electricity by 2030. The preliminary site selection is adjacent to the Roseville Energy Park, as was envisioned over 10 years ago when we began construction for the power plant. Estimated completion of the community solar project is in 2017. Depending upon customer interest and demand, more community solar projects may be planned for in the future.
- **Advanced Metering Infrastructure (AMI) or "Smart Meters"**—Most homes and businesses in California have smart meters that measure multiple aspects of their electricity and natural gas usage at any time of the day or night. Smart meters can measure the time of day that energy is used, send messages to customers with information about their usage and allow customers to check usage, during the month, instead of after the fact when they receive their bill. Until recently, there was not a compelling business case for Roseville Electric Utility to install smart meters. After thorough study and evaluation, the utility has established strong business reasons to move

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forward for smart meters for all electricity customers. The benefits of smart meters are: improved distribution system reliability, enhanced customer program offerings, lower operational costs, and better tools to predict and plan for customer demand. In 2016, Roseville Electric Utility will prepare a plan to install smart meters. The plan will incorporate significant public outreach efforts and activities throughout the community. Upon City Council approval, roll out of smart meters to Roseville customers is expected to begin in 2018.

- **Electric Rate Plans**—Keeping with the City Council's priority for sound and stable utilities, Roseville Electric Utility implemented a two-year rate plan that ensures cost-based, fair and equitable rates, while maintaining highly reliable service to our customers. The rate plan, which includes two rate restructuring changes, effective January 1, 2016 and 2017, includes no overall rate increases, only restructured rates to better reflect the cost of electricity services and to fairly allocate costs between fixed and variable costs. The next rate proposal is expected to be completed in 2017, with an estimated effective date of January 2018 or later.

Because of average or above average precipitation levels near the City's hydroelectric power plants, Roseville Electric Utility doesn't anticipate the need for the hydroelectric surcharge for FY2016-17. The ordinance allowing an electric surcharge was adopted in 2009 as a way to partially fund the purchase of replacement electricity that was not provided from hydroelectric resources due to lower-than-average precipitation.

Water Utility

• Long Term Goals

- o Effectively unwind from the four years of severe statewide drought and adjust drought stages and messaging accordingly.
- o Continue water reliability planning efforts for the Ophir Water Treatment System with Placer County Water Agency and others.
- o Comprehensively evolve our connection-fee program to include recycled water and water reliability projects for the future. Begin preparing for the next cycle of utility rate adjustments as needed ensuring stability across long-term financial plan and key fiscal policies.
- o Stay in front of external movements, including California Water Fix, long-term water use efficiency policy, and the State Water Resources Control Board's tributary flow proceedings, to protect the City's and

region's interests while forging new partnerships and alliances to advance northern California water reliability.

- **Water Reliability**—As parts of California come out of four years of drought, it brings into sharp focus the ongoing policy attention needed to address California's ongoing water-supply challenges. Staff is developing a long-term integrated resource plan to ensure Roseville's continued water-supply reliability, focusing on regulatory change and needed water infrastructure partnerships and investments. Special emphasis is on the development of an infrastructure plan to increase access to water from Placer County Water Agency. A high certainty level in future water supplies is a key factor to continued investment in our region leading to increased economic vitality, more high-valued and diverse jobs, and a rise in our region's standard of living. Roseville continues to take a proactive stance on issues that both affect our water supply and protect our community's and our rate payers' interests. While we expect an adequate supply of surface water for the coming year, conservation measures are expected to continue, but at a reduced level.

The ordinance for the water surcharge was adopted in 2009 as well and helps address bond covenants, revenue decline, and cost increases that are impacted from drought conditions. New rate cases will consider these impacts on costs and revenues and also take into account the need for higher economic reserves in support of potential operating risk, system emergencies, and fluctuating revenue streams, ensuring both reliability in supply and consistency in rates.

- o **Connection-Fee Program**—Roseville has a long standing policy that growth pays its way and brings new water supplies to benefit the entire City, not just the proposed development. In addressing the issue of paying for regional water reliability, the City continues to use a combination of developer-paid fees (through connection fees and/or community facility districts) and water-user rates as appropriate means to finance the projects. EU is currently in the planning process to review the next generation of connection fees to address water reliability and recycled water. To accomplish this, EU will be proposing a number of approaches or options internally and externally for meeting current and future needs. EU expects extensive outreach and education efforts as fees in general are sensitive, and connection fees can be difficult to understand.

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Wastewater Utility

- **Recycled Water**—In the upcoming fiscal year, EU will initiate an effort to develop a long-term strategy to transition recycled water from the wastewater utility to the water utility. Since its inception in the 1990s, we have learned that incentivized rates for recycled water are no longer required because demand for recycled water outstrips supply, and recycled water service is now depended upon and planned like other utilities. The strategy will support recycled water service plans that include rehabilitation funding and the development of a construction fund for building major transmission, storage, and pumping infrastructure—much like how the other utilities operate.

Solid Waste Utility

- **Waste-to-Energy Program**—In 2014, the California state legislature passed AB 1826, which requires the diversion of organics from landfills. Initial stages of these regulations will take effect mid-2016, focusing on the largest producers in the City. As a result, EU's solid waste division will collect and handle this waste stream to comply with these regulations. The City is completing the necessary outreach, rate design, and operation processes to implement this program. Coincidentally, the long-planned expansion of the Pleasant Grove Wastewater Treatment Plant is about midway through the design phase and features infrastructure to better deal with biosolids, including anaerobic digesters. It turns out that anaerobic digestion processes can be improved by 30 percent by adding organic food waste and fats, oils and grease, increasing methane gas production which can be converted to electricity (to meet or offset power needs) or compressed natural gas (CNG). As solid waste is in the process of converting its collection truck fleet to renewable CNG to meet AB 32 carbon emission regulations, this is a promising business opportunity. Furthermore, by working with regional partners in the Western Placer Waste Management Authority and the South Placer Wastewater Authority, EU might be able to avoid costly regional infrastructure improvements and benefit customers in south Placer County by leveraging and optimizing this capability. Before investing in these projects, detailed business case studies will be prepared to understand the economics of the program in addition to the traditional design and technical specifications to test these concepts.

Utility Exploration Center

Roseville's utilities will continue the important public engagement they do through the Utility Exploration Center. In FY2016-17, the utilities are initiating the process to design

new interior exhibits and develop the IDEAScape at the Utility Exploration Center. The project is planned for completion in late summer 2018.

COUNCIL GOAL NO. 5: A GREAT DOWNTOWN

For the better part of Roseville's first hundred years, the downtown cityscape remained constant. But for the past 15 years, changes have been profound, and they continue. Guiding development is the Downtown Specific Plan, the result of a five-year community visioning process, adopted in 2009. In 2010, Roseville City Council formally prioritized a great downtown as one of its Council Goals. Based on the specific plan, the City has completed significant projects this past year and more are underway. The next phase of transformation includes construction of a new office building, fire station, parking garage, bridges over Dry Creek, and the extension of the bike trail. Recently completed were the popular Vernon Street Town Square and roundabout at Washington Boulevard and Oak Street. Before that, Riverside Avenue and Historic Old Town debuted streetscapes, façade improvements, and public art.

- **316 Vernon Street Office Building**—The four-story, 83,000-square-foot building will be home to City offices, retail space on the ground floor, and Sierra College, which signed a five-year lease for a floor-and-a-half of the building to bring some of its academy programs to Downtown Roseville. Adding a student mix to downtown's visitors will help support businesses and promote a vibrant atmosphere. Owning the building instead of leasing it reduces the burden on the General Fund, as it is being repaid through tenant lease payments and a low-interest loan repaid by development fees.
- **Oak Street Parking Garage**—With new development and visitors, convenient parking is a need identified in the Specific Plan. A seven-level, approximately 450-stall parking garage will be located along Oak Street and Washington Boulevard, behind the Roseville Theater. The project includes an architectural style consistent with the Civic Center with a variety of exterior pedestrian improvements designed to enhance visual and physical connections between the structure and Downtown Roseville. Funding for the garage comes from redevelopment bond proceeds, public facilities fee, and a low-interest loan that will be repaid by future proceeds from the downtown development-impact fee.
- **Fire Station No. 1**—A new fire station will be constructed at Oak and Lincoln. The structure currently housing the

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downtown fire station, Fire Administrative staff, and the Information Technology Department was formerly the City's jail. Its age and location pose problems for these functions, so eventually the building will be demolished, providing a development opportunity along Dry Creek. IT and Fire Administration will move to 316 Vernon Street once it's finished. Funding for the new station comes from development-impact fees.

- **Pedestrian Bridges and Bike Trails**—As envisioned by the Specific Plan, a future development would activate the creek with a mix of office, retail, restaurant, and residential uses, taking advantage of a natural creek and the adjacent citywide park. Three pedestrian bridges will soon cross Dry Creek to more easily connect downtown with Royer Park and connect the bike trail segment through downtown. Funding for these projects comes from federal, state, and local transportation grants and development-impact fees.
 - o The existing Rube Nelson or “Ice House” Bridge will be lifted from its current location and placed in the Oak Street parking lot to be cleaned and painted. New planking, lighting and fencing will be added, then it will be replaced over Dry Creek at a new angle, landing on the other side of the Veterans Hall. The bike trail ending in Royer Park will be extended across the Ice House bridge and connect to Oak Street.
 - o The Library Replacement Bridge will be constructed near the downtown Library, also connecting to Royer Park.
 - o Extension of the bike trail from downtown to Miner's Ravine is scheduled for 2017, resulting in a continuous six-mile, off-street trail from Sierra College Boulevard to Downtown Roseville, continuing through Royer Park and Saugstad Park to Darling Way.
 - o A third, larger pedestrian bridge crossing Dry Creek is planned in the middle of the other two bridges, after the current fire station is demolished. This bridge promotes two significant concepts of the Specific Plan: creating connectivity between activities on Vernon Street and events in Royer Park and providing additional event space for an active downtown scene. The width of the bridge will allow for vendors along the side during festivals and events.
- **Vernon Street Town Square**—The City has expanded programming in the Vernon Street Town Square to include events in the shoulder seasons, such as sing-a-long movie nights and cultural food events in March and April; and the City continues to bring new, local bands to the downtown. Combined with the robust schedule of about 250 events planned, the City expects to welcome more than 120,000 visitors to the town square in the upcoming year.

- **Downtown and Historic District Housing**—Pending funding from the State, the City anticipates construction to begin on Mercy Housing's 55-unit affordable housing project on Vernon Street and St. Anton Partners' 80-unit affordable housing project on Pacific Street in the Historic District. The City has pledged \$5.3 million to the Mercy project and \$4.7 million to the St. Anton Project. All of the pledged funds are derived from housing funds that are restricted solely for the development of affordable housing.
- **Roseville Community Development Corporation**—In 2010 the City Council took the strategic step of establishing the Roseville Community Development Corporation (RCDC), a private nonprofit, 501c(3), with the purpose of expanding private and public investment in Downtown Roseville. The RCDC—a separate legal entity from the City—fills a critical gap between what the public sector can do and what the private sector is willing to do to revitalize and enhance economic opportunities in the Downtown. The corporation invests in the Downtown by acquiring real estate, rehabilitating acquired properties, and tenancing these properties with tenants that promote the goals of revitalization.

At the March 2016 workshop with the RCDC and City Council, opportunities for future corporation activities were identified. The discussion at the workshop was broad-based and resulted in five distinct outcomes listed below:

- 1) Reaffirm the RCDC's role in economic development with emphasis on property rehabilitation, tenancing, and property management. Economic Development will be furthered by the corporation's administration of Advantage Roseville.
- 2) Develop a tenancing and property-management plan for the 7,000 square feet of retail space in the 316 Vernon Street office building.
- 3) Develop a loan program for small businesses in the Downtown. The loan program will focus on lending which develops fixed assets or improves physical infrastructure of buildings in the downtown.
- 4) The Council and the RCDC Board each acknowledged the value of the workshop and a desire to have an annual workshop.
- 5) Confirmed the intent to continue with a five-member RCDC Board with expertise in retail property, banking, and entrepreneurship. The creation of ad hoc committees were also encouraged.

City Manager's Budget Message

For more information about RCDC operations, see www.rosevillecdc.com.

- **Downtown Roseville Partnership**—In 2014, a Property and Business Improvement District (PBID) was voted on and approved by downtown property owners. This district substantially increases the funds available to market, promote, and improve Downtown Roseville. The PBID, known as the Downtown Roseville Partnership, plays a critical role in growing downtown businesses, attracting new businesses, and encouraging additional business development. The City contributes each year in the form of parcel assessments for City-owned property in Downtown Roseville, with a FY2014-15 assessment of \$66,518, subject to an annual increase of up to 3 percent. The Downtown Roseville Partnership has provided year-round illumination of main corridor trees along Vernon Street, installed new street banners and solar-powered BigGulp trash compactors, forged a partnership with Gathering Inn to create a guide/day porter program, contracted for leaf removal from parking bays, partnered with the RCDC and Advantage Roseville to install window clings on vacant storefronts, and created an events calendar and business directory.
- **Enhancing Rail Service between Roseville and Sacramento**—The City continues to work with Capitol Corridor Joint Powers Authority to bring additional rail service to Roseville. Over the next year, the City will be evaluating parking needs and bringing forward a memorandum of understanding to support the future addition of two more roundtrips from Roseville to Sacramento—bringing the total to three roundtrips daily. This will be the first phase of this project with the ultimate goal to establish 10 daily roundtrips. It is anticipated that the first phase will be instituted within the next three to five years.

COUNCIL GOAL NO. 6: INFRASTRUCTURE

Well-maintained city streets, roads, parks, and recreation centers help protect property values and maintain Roseville's quality of life. It is fiscally responsible to maintain our streets and roads and fix problems now, so they don't deteriorate and become more costly to fix in the future. In addition to roads and facilities, the focus on infrastructure also includes utilities infrastructure, workforce infrastructure, and technology infrastructure.

Capital Improvement Project (CIP) Rehabilitation

- **Facility Infrastructure**—After several years of deferring maintenance on City-owned buildings and recreation facilities, the needs are becoming more critical and will be

a priority when new expenses are considered. The City's CIP Rehab Fund should be funded annually at \$5.5 million to meet the demand, but the City can only afford to fund it at \$1 million a year. The City is evaluating strategies to increase revenues to fund this shortfall, and future budgets will need to be mindful of this obligation.

- **Technology Infrastructure**—The City of Roseville has seen a dramatic increase in the use of innovative technology to enhance city operations and services. Business changes continue to merge with digital technology reshaping the way the City operates and interacts. Roseville residents, businesses, and other key stakeholders' expectations for efficiency, transparency, and round-the-clock availability continue to rise.

To meet this growing need for technology, the City must modernize key business functions. With many of our systems reaching end of life, continued upgrades to our infrastructure will be required to support the needs of the City and its customers. The following major technology projects will be an important focus for this next fiscal year.

Examples of citywide business system projects:

- Public safety radio system replacement
- Citywide data center move to 316 Vernon Street
- Financial and human resources information system replacement
- Enterprise asset management (phase 3)
- Content management system replacement for City website

Examples of departmental business system projects:

- Utility billing system replacement
- Advanced metering infrastructure (AMI)
- Parks & Recreation registration software
- Permitting system automation (Phase 2)

In addition to the investment in our technology systems, the City collects, processes, and stores a great deal of confidential information on computers and transmits that data securely across private and public (cloud) networks. Last year, the Information Technology (IT) Department managed over \$208 million dollars in electronic business transactions. These transactions included utility billing and collections, event registration, automated clearing house (ACH), interactive voice response (IVR), Internet banking, and third-party bill processing.

Additionally, the City receives more than 19.8 million emails a year. Of those, only 10.1 million (51 percent) are valid emails—the rest contain malware or are spam or junk mail. To ensure that the City stays protected, the IT Department has layers of protections in place to monitor and defend against these faulty emails.

City Manager's Budget Message

To protect the data we manage, the City must maintain a high degree of vigilance to increasing information security threats. The City computing network continues to experience an increase in both volume and sophistication of cyber-attacks, with 36 million threat attempts made to the City network last year. Ongoing attention is required to protect sensitive business and personal information, as well as to safeguard national security. The City will continue to focus on protecting its technology infrastructure and personal identification information to its fullest extent.

Utility Infrastructure

Aging infrastructure is a chronic issue plaguing the United States and most of the developed world. The funding to pay for replacing the infrastructure our country and economy depend upon simply is not there at a national or statewide level. Roseville's utility infrastructure—power plants, treatment plants, power lines, pipelines, pump stations, substations and the like—are valued well into the multi-billions of dollars. While new growth pays for additional utility capacity and service extensions, the ongoing cost of proactive infrastructure maintenance, renewal, and replacement factors heavily into our bi-annual utility rate analyses. The City sets high standards and invests in high-quality materials then uses asset management practices to ensure our infrastructure is efficiently cared for and maintained. Roseville's utilities are among the few that have fully funded their infrastructure-rehabilitation program now and into the future. This means Roseville utility customers will be able to count on our utility infrastructure for many generations to come.

Roadway Infrastructure

- **Maintenance Approach**—Maintaining the integrity of the 456 centerline miles of roadway in the City has a significant effect on quality of life and ease of doing business. The City has a carefully planned strategy for maintaining its roadways, which helps significantly with controlling expenses since cost differences between maintaining roadways and rehabilitating deteriorated roadways are quite stark: While it costs the City 25 cents per square foot to maintain a roadway, the costs increase to \$2 per square foot to repair a deteriorated road.

The City is also piloting the use of roller-compacted concrete, which will be tested at several locations in FY2016-17 where existing asphalt roadways are failing. It offers many benefits, including maintenance every 20-25 years, versus every 7-10 years for asphalt; lower cost, which helps the City close the current gas tax funding gap, while allowing the City to repair more Roseville streets; and cooler roads during the day, and brighter roads at night, due to its lighter color.

- **Funding Challenges**—As stated earlier, funding challenges for roadway infrastructure have caused the City to fall behind on its maintenance schedule for streets. Currently, roadway maintenance is about \$50 million underfunded. Gas tax rates, accrued on a per-gallon basis, were developed without an adjustment for inflation, minimizing their purchasing power with every year that passes. Gas tax revenues have fallen also due to more fuel-efficient vehicles being on the road, reducing the demand for gasoline.
- **Funding Outlook**—The City budgeted \$15 million in FY2015-16 for roadway maintenance, which addresses 34 miles of roadway. For FY2016-17, the City is budgeting another \$7.25 million for roadway resurfacing. Funding levels for FY2017-18 and beyond are expected to return to the normal \$4 million to \$5 million level.

FY2015-16 and FY2016-17 are an anomaly and have unusually high dollar amounts due to a number of factors described below:

- o The arterial resurfacing project is the combination of three years' worth of arterial resurfacing funds all rolled into one project – FY2013-14, FY2014-15, and FY2015-16.
- o In FY2013-14, due to some staffing shortages and regulatory changes regarding handicap ramp installations/upgrades, no resurfacing was completed. That allowed the FY2013-14 funding to roll forward into future years.
- o Staff is combining the FY2014-15 Federal Regional Surface Transportation Program apportionment with a future apportionment being advanced from FY2017-18.
- o This summer's storm-drain work has been planned for several years. Over that time, the City has accumulated over \$2 million dollars in the storm-drain account for repair and upgrades.
- o The City obtained a Federal Congestion Mitigation and Air Quality (CMAQ) grant to help fund handicap-ramp improvements.
- o The Gas Tax fund received a large repayment from a Redevelopment Agency loan.
- o Some resurfacing money that was being held in reserve pending the outcome of a State Gas Tax audit was released for resurfacing after the audit's successful completion.

City Manager's Budget Message

- o The Alternative Transportation Division was able to allocate \$5.4 million for street maintenance purposes via current and past Transportation Development Act funding years.

Examples of roadway infrastructure projects:

- Two aging bridges will be replaced in FY2016-17. Both the Oakridge Bridge over Linda Creek and the Industrial Bridge of Pleasant Grove Creek were deemed insufficient and in need of replacement. The majority of the funding to replace these bridges was provided by the federal government.
- The federal government is also providing part of the funding to straighten the "S" curve on Roseville Road to the south of Cirby Way. This "S" curve has seen a large number of accidents and this realignment will result in a safer roadway.

Workforce infrastructure

- **Staff Expansion**—Growth in Roseville's economy, development, and population means an expansion of services. Total authorized regular full-time equivalent positions in the annual city budget for FY2016-17 is 1,146. Since the City can't afford to provide all the services it would like to or at the levels desired, we must remain strategic on expansion of core services and add staff strategically. To this end, in FY2016-17, eight positions are being added to the General Fund, including a police officer and code-enforcement officer, and other support services positions. Of the eight General Fund positions recommended, four had little or neutral impact to the bottom line as staff reduced other expenses to offset the increased salary cost. The General Fund also had four positions that transferred to other funds, such as storm water and a reduction of 1.5 positions that were reorganizations/reclassifications making the net new position number for General Fund 2.5. The budget also recommends four new positions in the utilities. Citywide, there is a net of 9.7 new positions when all reclassifications and transfers are taken into account.
- **Succession Planning**—In 2013, approximately 40 percent of the workforce was eligible to retire, and since then the City has seen 83 retirements. As a result, the City currently has 31 percent of its workforce eligible to retire over the next three years. In response, the City has focused on succession planning to ensure continuity of service delivery and streamlining its recruitment process to be more flexible and responsive. The recruitment process has been evolving to leverage new channels of recruiting

through outreach and social media in order to reach a multi-generational workforce.

- **Creating a Welcoming, Engaged Culture**—As our city grows, our organizational culture is also evolving. We must adapt and change to provide the services and meet the changing demands that this growth brings. Three cross-departmental committees are coordinating their work to address aspects of our internal culture. In 2014, the City created an Organizational Culture & Leadership (OC&L) Committee with representatives from all departments to lead an assessment of the City's organizational culture. Three focus areas emerged from surveys of employees: internal communications, valuing employees, and developing meaningful processes and policies. The OC&L Committee is working on customer-service and core-competencies initiatives in FY2016-17. In addition, both the OC&L research as well as the Public Affairs & Communications research identified internal communications as a top priority. With additional funding in FY2015-16, the Communications Team launched an award-winning internal communications effort, including a website called "The Hub" and a monthly printed newsletter to reach field staff who don't have regular access to computers. And to support the changing culture and promote a respectful, inclusive work environment, the City's Inclusion Committee coordinated Inclusion and Diversity training for all supervisors and managers in FY2015-16; and in FY2016-17, the City will continue the training for the rest of the workforce.

COUNCIL GOAL NO. 7: LEGISLATIVE ADVOCACY

Increasing costs from regulation and new legislation affect the fiscal health of the City and reduce the level of funds available for other community priorities. In just a few years, the City's visibility and influence within the region and on the state and federal level have increased, opening the door to greater engagement and dialogue with federal and State decision-makers on issues affecting the City's fiscal health. One of the highest priority efforts in FY2016-17 will continue to be the reliability of Roseville's water supply. To ensure Roseville's water reliability, the focus remains on regulatory change and water infrastructure investment.

The City Council has set a legislative platform that focuses on preserving local control, providing financial flexibility, preventing unfunded mandates, and protecting the General Fund, Enterprise Fund and local sales tax and property tax revenues. Key issues in FY2016-17 include ensuring the

City Manager's Budget Message

tax-exempt status of municipal bonds remains in effect, monitoring new state-mandated requirements associated with the City's storm water discharge permit requirements, and ensuring permitting processes at the state and federal level will not unnecessarily hinder the ability of development projects to gain approval.

To increase effectiveness of the City's efforts in these areas and others, the City works extensively with regional coalitions, forums, alliances, and established organizations such as Sacramento Area Council of Governments, the SacMetro Chamber of Commerce, the California Municipal Utilities Association and the Water Forum, along with ad hoc groups developed to address concerns with specific legislation. The City Council's only standing committee, the Law & Regulation Committee, offers another way people can be informed about issues affecting the Roseville community from a state and federal level.

Key issues the City is working on this year include the following:

- **Cal Water Fix (Formerly known as the Bay Delta Conservation Plan)**—Cal Water Fix would have significant implications to the City's water supply and could interfere with future residential and commercial development undermining the City's ability to move forward with future land use decisions.
- **Water Public Goods Charge**—The City has increased concerns regarding the legislature's interest to impose a Water Public Goods Charge (SB 20) that would collect revenue from local ratepayers and monies collected would be allocated for statewide projects that have no direct benefit to Roseville's ratepayers.
- **One-size-fits-all approach to State's Emergency Drought Regulations**—Though the state experienced a wetter winter in 2015-16, the fair application of conservation targets remains an important issue. The City advocates that state-mandated conservation targets should consider climate, land use, and other region-specific attributes; recognize previous water supply reliability and conservation investments by the region and local community; and account for potential relief from positive weather outcomes.
- **Cost-Effective Utilities**—The ability to provide reliable and cost effective utilities to the residents and businesses of Roseville continues to be a central concern for the City as new state and federal mandates are proposed that would increase the cost of operating the utilities.
- **Federal and State Funding for Infrastructure and Transportation Projects**—Maintaining or increasing funding for these types of projects and improvements is critical for the City's ability to upgrade and maintain roadways and meet future infrastructure and transportation demands.
- **Cybersecurity**—Future state and federal laws regarding cybersecurity will continue to have implications for the City and the community. Costs for implementing new cybersecurity requirements will add significant costs to the operation of the City's information technology systems.
- **Changes to Tax-Exempt Status of Municipal Tax Bonds**—The City has significant concerns regarding the effects of proposals to alter the tax treatment of municipal bonds, including proposals to cap the exclusion for such interest, will have on local governments. The impacts of such a change would have a damaging impact to Roseville's budget, its utility customers, and the community at large.
- **Modifications to Government-Operated Mortgage Programs**—The City will remain active in understanding the changes being considered at the federal level to modify government-operated mortgage programs, tax-deductions and to write new banking regulations regarding mortgage-related lending programs. These all have the potential to impact the fragile housing recovery throughout the region and the state.
- **Challenges with State and Federal Permitting Processes**—The City has concerns with various permitting processes at both the state and federal level that impact the ability of development projects to gain approval within a reasonable amount of time.
- **Changing Economy**—The City has a focused interest in being actively engaged in discussions regarding local tax systems, leakage from internet sales, and the fundamental shift from purchasing taxable commodities to purchasing non-taxable services and the impacts these have on the City's ability to fund basic services.
- **Homelessness**—The City will remain active in addressing the needs of the City's homeless population with a primary focus of reducing the population of chronically homeless by providing solutions that address the fundamental causes of homelessness and by supporting solutions that provide permanent housing.

City Manager's Budget Message

COUNCIL GOAL NO. 8: CIVIC ENGAGEMENT

Strong communities spring from robust civic engagement. To build a strong community, it's important to encourage dialogue from an array of interests. For many years, the City has worked with its neighborhood associations to encourage an open dialogue that has fueled innovation and engagement. The Public Affairs & Communications Department attends monthly meetings of both the Roseville Coalition of Neighborhood Associations and the Sun City Government Affairs Committee; and the Police Department has an officer at each of the two dozen or so monthly neighborhood association meetings; and Development Services Department provides notification, overview, and presentations on new development projects as they are proposed. Development Services also provides information on ordinance updates and code-enforcement processes and procedures on a regular basis.

City representatives work with the Roseville Chamber of Commerce and various civic groups, industry associations, and public-interest forums to raise awareness of community issues and broaden the discussion on solutions. At the same time, City Council members host town hall-style meetings called "Council Coffees," where they engage in informal discussion with community members on topics of interest, ensuring another opportunity for the community to join the conversation.

The effort continues from there into the digital realm. The City's online e-notify service offers free e-mail subscriptions on a range of topics from traffic alerts and public safety to policy items. And the City is actively engaged in a variety of social media channels, which also offer the opportunity for two-way interaction.

Launched in FY2014-15, the Open Data Portal offers a single website location where City data can be accessed. Initial data sets include often-requested items such as permits and crime statistics. As we expand this base to include more data such as stale-dated checks, this will provide round-the-clock access to City data and reduce the amount of time spent filling regular requests for public records.

Looking Ahead

This budget reflects Roseville's values and priorities, which help determine where we invest our resources. It's the compass by which we navigate the City's fiscal path forward, addressing our liability and allocating resources to ensure long-term economic sustainability. An important part of the City's fiscal success has been its unwavering commitment to proactively managing expenses and ensuring it is well-positioned to address

challenges. Roseville is expanding the high levels of service we provide, working on key initiatives, and implementing policies to weather the next economic cycle. Yet we also will need to focus on our commitment to maintaining police and emergency services along with streets, roads, and facility infrastructure. Roseville will continue to look for opportunities to retain, attract, and help expand business in order to create jobs and a vibrant local economy.



Rob Jensen, City Manager



FY2016-17 New Positions - Proposed Budget

Fund	Division	Department	FTE	Classification
100 - General Fund	03311 - Purchasing	Central Services	1.00	Senior Buyer
100 - General Fund	03331 - Building Maintenance	Central Services	1.00	Asst Building Maintenance Worker
100 - General Fund	05011 - Payroll	Finance	1.00	Payroll Clerk
100 - General Fund	05531 - Patrol	Police	1.00	Police Officer
100 - General Fund	08530 - Aquatics	Parks, Recreation and Library	1.00	Pool Manager
100 - General Fund	08555 - Parks Maintenance	Parks, Recreation and Library	1.00	Sr. Parks Maintenance Worker
100 - General Fund	08810 - Building Inspection	Development Services	1.00	Bldg Plans Examiner
100 - General Fund	08815 - Code Enforcement	Development Services	1.00	Code Enforcement Inspector
			<u>8.00</u>	
285 - Housing	08120 - Housing	Economic Development Department	1.00	Housing Manager
285 - Housing	08120 - Housing	Economic Development Department	-1.00	Office Assistant
285 - Housing	08120 - Housing	Economic Development Department	-1.00	Housing Analyst II
			<u>-1.00</u>	
480 - Water	08400 - Water Administration	Environmental Utilities	1.00	Marketing and Communications Analyst
480 - Water	08400 - Water Administration	Environmental Utilities	0.25	Administrative Analyst II
480 - Water	08400 - Water Administration	Environmental Utilities	1.00	Data Management Specialist II
			<u>2.25</u>	
490 - Electric	08616 - Generation	Electric	1.00	Power Plant Mechanic
			<u>1.00</u>	

BUDGET FY2016-17 - VEHICLE REQUESTS

Proposed Budget

	Division Requesting Vehicle	Fund	Description and Garage Code	Total Requested Budget	Fleet Addition
<u>CENTRAL SERVICES DEPARTMENT</u>					
1	03331 Building Maint	100	3/4 T shortbed pickup/ #39	\$25,200	n
<u>FINANCE DEPARTMENT</u>					
2	05041 Removed	----	-----		
<u>POLICE DEPARTMENT</u>					
3	05540 Police	100	Pursuit SUV / #38	\$65,000	n
4	05540 Police	100	Pursuit SUV / #38	\$65,000	n
5	05540 Police	100	Van / #62	\$27,125	n
6	05540 Police	100	F150 supercab 4x2 / #39	\$31,125	n
7	05540 Police	100	Motorcycle-Traffic/patrol / #81	\$35,000	n
8	05540 Police	100	Motorcycle-Traffic/patrol / #81	\$35,000	n
9	05540 Police	100	Motorcycle-Traffic/patrol / #81	\$35,000	n
10	05540 Police	100	Motorcycle-Traffic/patrol / #81	\$35,000	n
11	05540 Police	100	Motorcycle-Traffic/patrol / #81	\$35,000	n
12	05540 Removed	----	-----		
13	05540 Removed	----	-----		
14	05540 Removed	----	-----		
15	05540 Police	100	Motorcycle-Traffic/patrol / #81	\$35,000	n
16	05540 Police	100	F150 / #39	\$27,125	YES
17	05540 Police	100	F150 / #39	\$27,125	YES
18	05540 Police	100	F150 / #39	\$27,125	YES
19	05540 Removed	----	-----		

BUDGET FY2016-17 - VEHICLE REQUESTS

Proposed Budget

	Division Requesting Vehicle	Fund	Description and Garage Code	Total Requested Budget	Fleet Addition
<u>FIRE DEPARTMENT</u>					
20	06025	Logistics	100	Fire Truck 100' Ladder / #16	\$1,300,000 n
<u>PUBLIC WORKS DEPARTMENT</u>					
PW - Traffic Signals					
21	08335	Traffic Signals	225	Forklift / #18	\$35,000 n
PW - Street Maintenance					
22	08340	Streets - Admin	100	1/2 T. Pickup / #39	\$26,075 n
23	08343	Streets - Paving	100	SDI Pesticide Sprayer / #49	\$21,000 n
24	08343	Streets - Paving	100	Cat Loader 924K / #T6	\$167,000 n
25	08343	Streets - Paving	100	3/4 T. PU Utility Body / #39	\$38,000 n
26	08344	Streets - Signs	100	1 T Truck w/Utility bed / #70	\$46,525 n
27	08348	Streets - Paving	460	Street Sweeper / #53	\$252,050 n
28	08348	Streets - Paving	460	Street Sweeper / #53	\$252,050 n
<u>ENVIRONMENTAL UTILITIES DEPARTMENT</u>					
EU - SOLID WASTE					
29	08411	SW - Residential	460	Trailer mounted Pressure Washer / #86	\$15,000 YES
30	08411	SW - Residential	460	ASL Sideloader CNG / #28	\$342,000 n
31	08411	SW - Residential	460	ASL Sideloader CNG / #28	\$342,000 n
32	08412	SW - Commrci	460	Rearloader / #27	\$252,000 n
33	08412	SW - Commrci	460	Pickup crew cab / #39	\$36,000 n

BUDGET FY2016-17 - VEHICLE REQUESTS

Proposed Budget

		Division Requesting Vehicle	Fund	Description and Garage Code	Total Requested Budget	Fleet Addition
EU - WASTEWATER						
34	08424	EU - Maintenance	470	Utility xcab w/utility bed/#70	\$80,000	n
35	08424	EU - Maintenance	470	Transit Cargo Van / #62	\$45,000	n
36	08432	WW Collection	470	John Deere Tractor Loader w/Box Scraper / #3	\$123,000	YES
37	08432	WW Collection	470	Pickup F150 / #39	\$24,250	YES
EU - WATER						
38	08431	Wtr Distribution	480	F250 extra cab w/utility body/#70	\$45,000	n
39	08431	Wtr Distribution	480	F250 extra cab w/utility body/#70	\$45,000	YES
40	08431	Wtr Distribution	480	F250 extra cab w/utility body/#70	\$45,000	YES
<u>PARKS, RECREATION & LIBRARIES DEPARTMENT</u>						
41	08520	Parks-Maidu CC/Sports Court	100	Event Trailer / #57	\$15,000	n
42	08551	Open Space	100	Chipper / #5	\$77,000	n
43	08551	Open Space	100	1/2 T PU, 4x4 / #39	\$34,100	n
44	08555	Park Maint	100	Trailer / #57	\$10,000	YES
45	08555	Park Maint	100	Toro Aerator / #2	\$7,500	n
46	08555	Park Maint	100	Toro Aerator / #2	\$7,500	n
47	08555	Park Maint	100	Utility Cart / #10	\$11,000	n
48	08555	Park Maint	100	Aerway Aerator / #2	\$10,000	n
49	08555	Park Maint	100	Turf Mower / #35	\$21,000	n
50	08555	Park Maint	100	Spray Rig / #10	\$57,000	n
51	08555	Park Maint	100	Infield Groomer / #54	\$27,000	n

BUDGET FY2016-17 - VEHICLE REQUESTS

Proposed Budget

	Division Requesting Vehicle	Fund	Description and Garage Code	Total Requested Budget	Fleet Addition
<u>ELECTRIC DEPARTMENT</u>					
52	08614	Const & Maint	490	F250 with utility shell / #39	\$45,400 n
53	08614	Const & Maint	490	Line Truck (digger derrick) / #30	\$436,000 n
54	08614	Const & Maint	490	Bucket Truck / #01	\$140,000 n
55	08616	Power Sppl	100	3/4 T Pickup (10,000 GVW) / #39	\$37,500 n
<u>DEVELOPMENT SERVICES DEPARTMENT</u>					
56	08820	Engineering	100	Pickup / #39	\$35,275 n
<u>VEHICLES FOR NEW EMPLOYEE & POSITION RECLASS REQUESTS</u>					
57	03331	Removed	----	-----	
58	03331	Removed	----	-----	
59	05540	Removed	----	-----	
60	05540	Police	100	Police Pursuit SUV / #38	\$65,000 YES
61	06025	Removed	----	-----	
62	06025	Removed	----	-----	
63	08551	Open Space	100	3/4 T Pickup, ext cab, 4x4/#39	\$38,500 YES
64	08555	Removed	----	-----	
65	08412	EU SW- Commrc	460	Frontloader / #26	\$308,000 YES
66	08616	ELE - Power Supply	490	3/4 T Pickup / #39	\$37,500 YES
67	08815	DSD - Code Enfrmt	100	Mini Pickup / #39	\$23,500 YES
TOTAL VEHICLE REQUESTS				\$5,480,550	

FY2016-17 Out-of-State Travel

Department	Org Key	Org Key Title	# of Staff	Location	Description	Amount
CITY COUNCIL	01000	CITY COUNCIL	1	Washington, D.C.	Legislative Advocacy Trip (30%)	1,050
	01000	CITY COUNCIL	2	St. Paul, MN	Sac Metro Study Mission	7,000
	01000	CITY COUNCIL	3	Washington, D.C.	Sac Metro Cap to Cap	11,400
						<u>\$19,450</u>
CITY MANAGER	01500	CITY MANAGER-ADMIN	1	Washington, D.C.	Legislative Advocacy Trip DC	600
	01500	CITY MANAGER-ADMIN	1	St. Paul, MN	Sac Metro Chamb Study Mission	3,500
	01500	CITY MANAGER-ADMIN	1	Washington, D.C.	Cap 2 Cap	3,750
	01520	PUBLIC AFFAIRS/COMMUNICATIONS	1	Washington, D.C.	Sac Metro Cap to Cap	0
	01520	PUBLIC AFFAIRS/COMMUNICATIONS	1	Omaha, NE	Neighborhood USA conf. 2017	1,550
	01520	PUBLIC AFFAIRS/COMMUNICATIONS	1	Washington, D.C.	Legislative Advocacy Gov Relat	2,000
	01520	PUBLIC AFFAIRS/COMMUNICATIONS	1	St. Paul, MN	Sac Metro Study Mission	3,500
	01520	PUBLIC AFFAIRS/COMMUNICATIONS	1	Washington, D.C.	Sac Metro Cap to Cap	3,500
						<u>\$18,400</u>
ECONOMIC DEVELOPMENT	08110	HOUSING ADMIN	2	TBD	NAN MCKAY-SUPERVISOR & MGMT	3,380
	08120	HOUSING AUTHORITY-H/A	0	TBD	NAN MCKAY EXECUTIVE MGMT TRNG	2,600
	08120	HOUSING AUTHORITY-H/A	0	TBD	NAN MCKAY HCV SPECIALIST	2,600
	08120	HOUSING AUTHORITY-H/A	2	TBD	NAN MCKAY-FSS TRAINING	3,380
	08120	HOUSING AUTHORITY-H/A	2	TBD	HAPPY USER TRAINING-2017	3,400
	08123	ECONOMIC DEVELOPMENT	1	St. Paul, MN	Study Mission	3,500
	08150	SUCCESSOR AGENCY-RDA-ADMIN	1	Denver, CO	GFOA ANNUAL CONFERENCE - 2017	1,800
	08150	SUCCESSOR AGENCY-RDA-ADMIN	1	TBD	NAN MCKAY FINANCIAL MGMT	1,980
						<u>\$22,640</u>
FINANCE	05010	BUDGET	1	Chicago, IL	GFOA Budget academy	5,000
	05011	PAYROLL	1	Denver, CO	GFOA	2,500
	05011	PAYROLL	1	Orlando, FL	Workforce Conference	2,800
	05041	UTIL BILLING & SRV-FIELD SRV	1	TBD	CS Week	3,000
	05041	UTIL BILLING & SRV-FIELD SRV	1	TBD	Harris Annual Conference	3,000
	05041	UTIL BILLING & SRV-FIELD SRV	2	Orlando, FL	Itron conference/Training	6,000
	05042	UTIL BILLING & SRV - BILLING	3	TBD	CS Week	9,000
	05042	UTIL BILLING & SRV - BILLING	3	TBD	Harris Annual Conference	9,000
	05050	GEN ACCT - ADMINISTRATION	2	TBD	GFOA Conference	4,000
						<u>\$44,300</u>

FY2016-17 Out-of-State Travel

Department	Org Key	Org Key Title	# of Staff	Location	Description	Amount
INFORMATION TECHNOLOGY	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	TBD	BS - Annual CLETS Training	1,100
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	Seattle, WA	DC-SharePoint Conference (OS)	3,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	TBD	DC-Data Center Technologies	3,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	Chicago, IL	GISA - URSC Leadership Academy	3,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	TBD	PAC - eGovernment Training	3,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	TBD	CS - HDI Conference	3,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	TBD	ES-Harris (Cayenta) Conference	3,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	TBD	ES - IBM Interconnect 2017	3,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	East Coast, TBD	NI- APCO Conference	4,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	Florida	ES - Gartner Bus. Integ&Analyt	4,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	Florida	PAC - Gartner Symposium	4,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	1	TBD	ES - Gartner Ent Info & MS Dat	4,000
	03120	IT STRATEGIC PLAN/DIGITAL COMM	2	TBD	BS - Annual New World Con (OS)	5,000
						<u>\$43,100</u>
POLICE	05511	RECORDS-PROPERTY	3	TBD	New World Users Conference	2,000
	05513	POLICE COMMUNICATIONS	2	TBD	New World Users Conference	2,200
	05532	INVESTIGATIONS	2	TBD	Computer Forensic Classes	3,000
	05532	INVESTIGATIONS	0	TBD	Case Investigations as needed	2,500
						<u>\$9,700</u>
FIRE	06000	FIRE - ADMINISTRATION	3	Toronto, Canada	Metro Fire Planners Conf	0
	06000	FIRE - ADMINISTRATION	20	TBD	Attendance to Meetings	1
	06000	FIRE - ADMINISTRATION	20	TBD	US&R Deployments	1
	06000	FIRE - ADMINISTRATION	2	Texas	FRI Conference	3,000
	06011	FIRE PREVENTION	1	Tennessee	Sprinkler Training	0
	06011	FIRE PREVENTION	2	Massachusettes	NFPA Conference	4,000
	06021	FIRE OPERATIONS	10	TBD	Strike_ USAR and Command	1
	06022	FIRE TRAINING	2	Indiana	Training Officer Conference	2,600
	06025	FIRE LOGISTICS	1	TBD	New World Conference	4,500
						<u>\$14,103</u>
PARKS & RECREATION	08500	PARKS & REC - ADMINISTRATION	1	TBD	NRPA Conf - Bus Admin	2,000
	08500	PARKS & REC - ADMINISTRATION	1	TBD	NRPA Conf - Director	2,500
	08550	PARK OPERATIONS - ADMIN	1	TBD	STMA National Conference	2,600
	08551	OPEN SPACE/TREE MAINTENANCE	1	TBD	ISA Conference	0
	91003	NATIVE TREE PROJECTS	0	TBD	Urban Forestry Conference	0
	91003	NATIVE TREE PROJECTS	1	TBD	Urban Forestry Partners Conf.	1,750
	91003	NATIVE TREE PROJECTS	1	TBD	ISA Conference	1,750
	91004	NON-NATIVE TREE PROJECTS	0	TBD	ISA Conference	1,750
	91004	NON-NATIVE TREE PROJECTS	0	TBD	Urban Forestry Partners Conf.	1,750
						<u>\$14,100</u>

FY2016-17 Out-of-State Travel

Department	Org Key	Org Key Title	# of Staff	Location	Description	Amount
PUBLIC WORKS	08320	PUBLIC WORKS-ENGINEERING	1	TBD	FP MANAGEMENT CLASS AT E.M.I	600
	08340	STREETS - ADMINISTRATION	1	Nashville, TN	National Pavement Preservation	3,000
	08350	TRANSPORTATION-ADMIN	1	Atlanta, GA	National Transit Institute	1,900
	08350	TRANSPORTATION-ADMIN	1	Seattle, WA	National Transit Institute	1,950
	08350	TRANSPORTATION-ADMIN	1	Maryland	National Transit Institute	2,500
	08350	TRANSPORTATION-ADMIN	1	TBD	Financial Analyst	2,700
	21510	STREETS-RESURFACING-HWY USERS	1	Albuquerque, NM	ITE Conference Speaker	250
						\$12,900
ENVIRONMENTAL UTILITIES	08400	ENV UTIL - ADMINISTRATION	1	Washington, D.C.	DC Drought Conditions Lobby	0
	08400	ENV UTIL - ADMINISTRATION	2	Washington, D.C.	Director - Cap to Cap	8,000
	08400	ENV UTIL - ADMINISTRATION	4	Washington, D.C.	R3 Lobby Trip (Mayor's Trip)	11,900
	08402	ENV UTIL - ASSET MANAGEMENT	1	TBD	Principal Engineer	2,400
	08402	ENV UTIL - ASSET MANAGEMENT	3	TBD	PE/PM Coordinator/Admin Tech	6,750
	08405	ENV UTIL-ENGINEERING	1	New Orleans, LA	CIP - Training	1,000
	08405	ENV UTIL-ENGINEERING	1	Seattle, WA or Denver, CO	Safety - Conference	2,500
	08405	ENV UTIL-ENGINEERING	1	New Orleans, LA	CIP - Training	2,000
	08405	ENV UTIL-ENGINEERING	1	Seattle, WA or Denver, CO	Safety - Travel	2,000
	08420	WASTEWATER - ADMINISTRATION	3	New Orleans, LA	WEFTEC	8,925
	08424	ENVIRONMENTAL UTIL-MAINTENANCE	2	TBD	TRAVEL/MEETINGS	4,100
	08424	ENVIRONMENTAL UTIL-MAINTENANCE	2	Orlando, FL	Travel/Meetings	4,350
	08424	ENVIRONMENTAL UTIL-MAINTENANCE	2	New Orleans, LA	TRAVEL/MEETINGS	7,200
	08426	ENVIRONMENTAL TREATMENT LAB	1	New Orleans, LA	Travel/ Meetings	3,500
	08427	PLEASANT GROVE WWTP	1	New Orleans, LA	Travel/Meetings - Chief Oprtr	3,450
	08430	WATER - ADMINISTRATION	1	TBD	Water Utility Manager	3,000
	08430	WATER - ADMINISTRATION	1	TBD	Assistant EU Director	3,000
	08432	WASTEWATER COLLECTION	1	New Orleans, LA	WEF & CWEA TRAVEL & LODGING	3,250
	08527	UTIL EXPLORATION CENTER	1	Madison, WI	NAAEE - Interp Svs Supervisor	2,450
						\$79,775
ELECTRIC	08600	ELECTRIC - ADMINISTRATION	1	TBD	Financial Planning	1,600
	08600	ELECTRIC - ADMINISTRATION	1	TBD	Electric Load Analysis	2,000
	08600	ELECTRIC - ADMINISTRATION	1	Seattle, WA	Advanced Utility Accounting	2,000
	08600	ELECTRIC - ADMINISTRATION	1	TBD	Forecasters Forum	2,100
	08600	ELECTRIC - ADMINISTRATION	1	Whitefish, MT	NWPPA Conference	2,400
	08600	ELECTRIC - ADMINISTRATION	1	TBD	Electric Operations Training	2,500
	08600	ELECTRIC - ADMINISTRATION	2	TBD	Intro to Electric Ops	2,500
	08600	ELECTRIC - ADMINISTRATION	1	TBD	Load Reseach Training	2,600
	08600	ELECTRIC - ADMINISTRATION	1	Nashville, TN	APPA Conference	2,800
	08600	ELECTRIC - ADMINISTRATION	2	TBD	AMI Training	3,000
	08600	ELECTRIC - ADMINISTRATION	1	Houston, TX	ICS Security training	3,150
	08600	ELECTRIC - ADMINISTRATION	2	Pittsburgh, PA	Control System Management	3,600
	08600	ELECTRIC - ADMINISTRATION	2	Atlanta, GA	Utility Analytics Week	4,900

FY2016-17 Out-of-State Travel

Department	Org Key	Org Key Title	# of Staff	Location	Description	Amount
	08600	ELECTRIC - ADMINISTRATION	3	TBD	Smart Grid Training	7,500
	08600	ELECTRIC - ADMINISTRATION	1	TBD	Power Mgmt Services Site Visit	1,000
	08600	ELECTRIC - ADMINISTRATION	1	TBD	Legislative/Regulatory Meeting	1,200
	08600	ELECTRIC - ADMINISTRATION	1	Missoula, MT	NWPPA Board Meeting	1,500
	08600	ELECTRIC - ADMINISTRATION	1	Ontario, Canada	SCADA User Conference	1,600
	08600	ELECTRIC - ADMINISTRATION	2	TBD	Technology Review Travel	2,000
	08600	ELECTRIC - ADMINISTRATION	1	Chicago, IL	WLRA Conference Fall	2,000
	08600	ELECTRIC - ADMINISTRATION	2	Salt Lake City, UT	WECC CIP Compliance Meeting	2,800
	08600	ELECTRIC - ADMINISTRATION	2	TBD	AMI/MDM/Smart Grid Conference	4,000
	08600	ELECTRIC - ADMINISTRATION	2	Orlando, FL	APPA Annual National Conferenc	5,000
	08600	ELECTRIC - ADMINISTRATION	2	Washington, D.C.	Legislative Rally	5,000
	08600	ELECTRIC - ADMINISTRATION	3	Washington, D.C.	Federal Policy Conference	7,500
	08605	ELEC REGULATORY/LEGISLATIVE	1	TBD	Electric Compliance Training	3,000
	08605	ELEC REGULATORY/LEGISLATIVE	2	TBD	WECC CUG/CIPUG (1 of 2)	2,800
	08605	ELEC REGULATORY/LEGISLATIVE	2	TBD	WECC CUG/CIPUG (2 of 2)	2,800
	08605	ELEC REGULATORY/LEGISLATIVE	2	Washington, D.C.	APPA Legislative Rally	5,000
	08611	ELECTRIC ENGINEERING	1	Corpus Christi, TX	Power System Protection	2,500
	08611	ELECTRIC ENGINEERING	1	Wisconsin	60kV Transformer Acceptance	2,000
	08611	ELECTRIC ENGINEERING	1	TBD	Western Underground Committee	2,500
	08611	ELECTRIC ENGINEERING	1	TBD	Operations Conference	2,500
	08611	ELECTRIC ENGINEERING	2	Fort Collins, CO	Link Conference	5,000
	08611	ELECTRIC ENGINEERING	3	TBD	Advanced Distribution Tech.	8,000
	08614	ELECT CONST & MAINTENANCE	2	TBD	Dsp SCADA Workshop/Training	2,500
	08614	ELECT CONST & MAINTENANCE	1	Oklahoma	Sub RTS Advance Developer Trng	3,000
	08614	ELECT CONST & MAINTENANCE	1	Massachusettes	Sub Doble Training	3,000
	08614	ELECT CONST & MAINTENANCE	2	Spokane, WA	Meter ITRON Software Training	4,500
	08614	ELECT CONST & MAINTENANCE	3	Seattle, WA	Meter Electric School	7,500
	08614	ELECT CONST & MAINTENANCE	1	TBD	Sub NERC/WECC Compliance Grp	1,400
	08614	ELECT CONST & MAINTENANCE	1	Washington	Metering EUSERC Committee Mtg	3,000
	08614	ELECT CONST & MAINTENANCE	2	TBD	Dsp Survalent Users Group	6,000
	08614	ELECT CONST & MAINTENANCE	8	TBD	Dist APPA Lineworker Rodeo	10,000
	08616	ELECTRIC POWER PLANT		TBD	TRAINING FOR NEW PSTN	4,500
	08616	ELECTRIC POWER PLANT	2	Connecticut	Effective HRSG Operation	7,100
	08616	ELECTRIC POWER PLANT	1	Florida	Electrical Protection	8,000
	08616	ELECTRIC POWER PLANT	1	TBD	Electrical Maint. Training	10,000
	08616	ELECTRIC POWER PLANT	3	Dallas, TX	GE Frame 5 Training	15,000
	08616	ELECTRIC POWER PLANT	2	Detroit, MI	Maximo Users Group	5,000
	08616	ELECTRIC POWER PLANT	2	TBD	PowerGen Conference	7,000
	08616	ELECTRIC POWER PLANT	2	TBD	Comb. Turbine Tech Forum	7,500
	08616	ELECTRIC POWER PLANT	3	TBD	Combined Cycle Users Conf.	9,000
	08616	ELECTRIC POWER PLANT	4	Alpharetta, GA	Control System Testing	9,000
	08616	ELECTRIC POWER PLANT	3	TBD	Siemens US Users Grp	13,500
	08621	POWER SUPPLY	1	TBD	NAPCO Conference	1,500
	08621	POWER SUPPLY	1	TBD	IECA Conference	1,800
	08621	POWER SUPPLY	1	TBD	Bus. Analytics Forecasting/Pla	2,500

FY2016-17 Out-of-State Travel

Department	Org Key	Org Key Title	# of Staff	Location	Description	Amount
	08621	POWER SUPPLY	1	TBD	Trading Workshop	2,500
	08621	POWER SUPPLY	1	TBD	Power Generation	2,750
	08621	POWER SUPPLY	1	TBD	Issues in Electric Industry	3,750
	08621	POWER SUPPLY	2	TBD	Energy Trading for non-traders	4,000
	08621	POWER SUPPLY	2	TBD	NWPPA Enterprise Risk Mgt #2	5,000
	08621	POWER SUPPLY	2	TBD	Utility Analytics Conference	5,000
	08621	POWER SUPPLY	1	TBD	Energy Risk USA Conference	6,000
	08621	POWER SUPPLY	1	TBD	PMS Real Time Site	1,000
	08621	POWER SUPPLY	1	TBD	PMS Annual Meeting	1,700
	08621	POWER SUPPLY	3	TBD	WSPP Meetings	2,000
	08621	POWER SUPPLY	2	Denver, CO	LDC Natural Gas Forum	2,000
	08621	POWER SUPPLY	2	TBD	WECC Annual Meeting	2,500
	08621	POWER SUPPLY	2	TBD	WECC CUG	2,800
	08621	POWER SUPPLY	4	TBD	Power Mgmt Services	8,000
	08623	RETAIL SERVICES/PUB BENEFITS	1	TBD	NWPPA Training	3,000
	08623	RETAIL SERVICES/PUB BENEFITS	1	TBD	AESP National Conference Expo	3,200
	08623	RETAIL SERVICES/PUB BENEFITS	1	TBD	APPA Customer Connections	4,500
	08623	RETAIL SERVICES/PUB BENEFITS	1	Orlando, FL	APPA National Conference	4,500
	08623	RETAIL SERVICES/PUB BENEFITS	2	Denver, CO	E Source Forum	8,000
	08623	RETAIL SERVICES/PUB BENEFITS	2	TBD	SEPA	5,000
						\$331,850
Grand Total Out-of-State Travel						\$610,318



COUNCIL COMMUNICATION

CC #: 7695
File #: 0600-02

Title: Out of State Travel Request - Fire Department
Contact: Jason Rizzi 916-774-5802 jrizzi@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.38.

RECOMMENDATION TO COUNCIL

Staff recommends Council approval of out of state travel for Division Chief Jason Rizzi and Police Lieutenant Troy Bergstrom, who both chair the City's Emergency Management Team and lead the City's emergency planning and preparedness efforts, to attend the Enhanced All-Hazards Incident Management/Unified Command (EIMUC) course being held at the Emergency Operations Training Center (EOTC) located in College Station, Texas.

All travel, lodging and registration fees are covered by the Federal Emergency Management Agency (FEMA). The only expenses incurred by the City are the travel per diems for both Division Chief Rizzi and Police Lieutenant Bergstrom.

BACKGROUND

The Enhanced All-Hazards Incident Management/Unified Command (EIMUC) course uses a computer-driven simulation to train participants in incident management skills, staff responsibilities, situational awareness and decision-making skills particular to any All-Hazards event. The course focuses on the Incident Command Post (CIP) processes and the key decision-making requirements within that response node.

Eligible participants for the EIMUC course include Emergency responders in fire service and law enforcement, who serve in a management or supervisory role and may be required to perform incident command or general staff functions for a jurisdiction during the response to an all-hazard incident.

FISCAL IMPACT

All travel, lodging and registration fees are covered by FEMA. The only costs to the City are the per diems for both Division Chief Rizzi and Police Lieutenant Bergstrom.

Per Diem: \$59 per day for 5 days = \$295 ea.

x 2
\$590 Total cost to the City

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

ENVIRONMENTAL REVIEW

Out of state travel is not considered a "project" as defined by the California Environmental Quality Act (CEQA), per CEQA Guidelines Section 15378. Consequently, no CEQA action is required.

Respectfully Submitted,

Jason Rizzi, Division Chief

Rick Bartee, Fire Chief



Rob Jensen, City Manager



COUNCIL COMMUNICATION

CC #: 7708
File #: 0102-10

Title: Resolution of Commendation and Appreciation to Kim Ambuehl
Contact: Heather Blanco 916-774-5265 hblanco@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 7.39.

RECOMMENDATION TO COUNCIL

Recommend Kim Ambuehl be commended for her outstanding service and dedication to the City of Roseville, congratulated for her many accomplishments, and wished a long, healthy, and enjoyable retirement.

BACKGROUND

Not Applicable.

FISCAL IMPACT

Not Applicable.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

ENVIRONMENTAL REVIEW

Not Applicable.

Respectfully Submitted,

Heather Blanco, Deputy City Clerk

Sonia Orozco, City Clerk



Rob Jensen, City Manager

ATTACHMENTS:

Description



COUNCIL COMMUNICATION

CC #: 7694

File #: 0721

Title: Draft Transportation Sales Tax Expenditure Plan
Contact: Rhon Herndon 916-774-5331 rherndon@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 8.1.

RECOMMENDATION TO COUNCIL

Staff recommends that City Council adopt the attached resolution approving the Draft Transportation Sales Tax Expenditure Plan (DTSTEP) prepared by the Placer County Transportation Planning Agency (PCTPA).

BACKGROUND

The PCTPA oversees construction of regional transportation improvements (e.g., freeways and state highways) throughout Placer County. It has become abundantly clear that Federal and State funding cannot be relied upon for Placer County's existing and future transportation improvement needs. It is also clear that existing gasoline taxes fall short of providing sufficient funding (gas tax funds don't even provide enough money to maintain the pavements of our existing roads and highways, which leaves zero gas tax funds available for constructing capacity improvements). And although it is true that developer-paid traffic impact fees are levied to the extent allowable by law, those revenues are not enough to provide for the transportation needs throughout Placer County. The long term (30 year) funding gap is \$3.5 billion. The PCTPA expects \$1.4 billion through gas tax and other state/federal/local funds, leaving a \$2.1 billion gap over the next 30 years. The Placer County Transportation Sales Tax (TST), if approved by voters, would generate \$1.6 billion over 30 years, and PCTPA expects to attract another \$500 million of discretionary dollars since TST matching funds would be available, and that will make up the \$2.1 million funding gap. As a side note, the \$3.5 billion gap does not solve every transportation issue everywhere in the county for 30 years – that is estimated at around \$8 billion. The \$3.5 billion amount is just to address the highest priority transportation needs in Placer County.

About 85% of California's population resides in counties that have had a TST in place for many years. Placer County is one of the largest counties in California that does not have a TST. In light of that, and due to the funding gap discussed above, the PCTPA has spent the past two years evaluating the feasibility of having a 30-year, half percent TST in Placer County. During that time, the PCTPA has conducted very extensive outreach and polling regarding a potential TST in Placer County. That polling shows that a majority (63%) of the public throughout Placer County supports having a TST in Placer County. Polling of Roseville residents shows 73% in support of a TST.

The PCTPA has also spent the past two years working closely with its member agencies in

developing the DTSTEP, a copy of which is attached. The DTSTEP lists what the TST funds would be used for in the event the TST is voted in by the public. Based on recent polling, the primary concern of the public is traffic congestion, so the DTSTEP places an emphasis on constructing improvements to our transportation system in Placer County to not only reduce today's traffic congestion, but also handle future traffic volumes.

The DTSTEP contains a list of specific regional roadway infrastructure improvements that would provide significant benefits to Roseville. Those projects are the 80/65 interchange, Hwy 65 widening, Placer Parkway, the Third Track Project, and the I-80 Auxiliary Lanes Project. The DTSTEP also includes a 30% local subvention. Roseville's share is estimated to be \$4.7 million each year for 30 years. We would be able to use our local subvention funds for transportation purposes such as street maintenance, roadway capacity improvements, public transit enhancements, bikeway/pedestrian improvements, and safety programs such as safe routes to school. Local agencies will have flexibility in using their local subvention funds for various transportation related purposes provided those projects are consistent with the voter approved initiative. Each year, each local agency would generate a five year CIP which outlines the planned use of the subvention funds. It would be staff's intent to bring our proposed five-year CIP to the Council each year for your recommended approval to the PCTPA board to ensure that we are using our local subvention funds in a manner consistent with the Council's goals and priorities and the voters' expectations.

It is important to note the following regarding the TST:

- 100% of the TST funds would stay in Placer County (the State cannot take any of it)
- TST funds could only be used for projects listed in the DTSTEP
- Having a TST would allow financing which would allow improvements to be constructed sooner rather than later
- The TST ordinance would include an oversight committee to ensure compliance

THE PROCESS

At their April 27, 2016 Board meeting, the PCTPA Board reviewed the DTSTEP and directed PCTPA staff to obtain approvals of the DTSTEP from PCTPA member jurisdictions. That is the purpose of this agenda item – to obtain the Roseville City Council's approval of the DTSTEP. Please note that by you voting on this agenda item as a Roseville Councilmember, you are not voting whether to support or oppose having a TST in Placer County. You are only voting whether or not to approve the DTSTEP that has been prepared by the PCTPA.

The DTSTEP must receive approval by the city or town councils representing both a majority of the cities or towns in Placer County and representing a majority of the population residing in the incorporated areas within Placer County. In addition to that, the DTSTEP must also receive approval by the Placer County Board of Supervisors (PCBOS). Once that occurs, then the next step would be for the PCTPA Board to adopt the TST Ordinance and Expenditure Plan (tentatively slated for their June 22, 2016 Board meeting) and then request the PCBOS to take action (tentatively slated for their July 12 Board meeting) to place the initiative on the November 2016 general election ballot to let the voting public decide whether or not to have a half-percent transportation sales tax (TST) in Placer County for the next 30 years. It would then take a supermajority vote of the public (67%) to pass.

A summary of the TST Ordinance that would be adopted by the PCTPA is attached for your information.

FISCAL IMPACT

Adoption of this resolution will have no fiscal impact to the City of Roseville.

ECONOMIC DEVELOPMENT / JOBS CREATED

Transportation California estimates that there are 18,000 jobs, both directly and indirectly, created per \$1 billion in infrastructure spending. Based on \$1.6 billion over 30 years, a TST in Placer County would create 28,800 jobs countywide. Based on Roseville's estimated subvention of \$4.7 million per year, the TST would create 85 jobs per year.

ENVIRONMENTAL REVIEW

No environmental review is required for this action. Individual projects constructed using TST funds would undergo their own environmental review as required by law.

Respectfully Submitted,

Rhon Herndon, Public Works Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-199

Draft Expenditure Plan

TST Ordinance

RESOLUTION NO. 16-199

APPROVING A TRANSPORTATION EXPENDITURE PLAN

WHEREAS, in 2006, the Placer County Board of Supervisors designated the Placer County Transportation Planning Agency (PCTPA) as the Local Transportation Authority, in anticipation of placing a potential sales tax measure on the ballot in 2008. Given the economic downturn, however, the exploration of a potential sales tax measure was put on hold; and

WHEREAS, this effort has resumed at this time to generate revenue to allow the region to maintain, repair, and expand existing roadways and improvements, and to prevent the County's existing infrastructure from falling into disrepair in the future; and

WHEREAS, competition for State and Federal highway funds is increasing as available funding decreases. Projects in regions of the State that have a local sales and use tax, which can be utilized as matching funds for State and Federal funds, have been and will continue to be more successful in securing such State and Federal funds for improvements; and

WHEREAS, the proposed Expenditure Plan (attached as Exhibit A) was formulated with a broad spectrum of countywide public input over the course of the three years, and both the Expenditure Plan and Ordinance have been reviewed by legal counsel for each jurisdiction in the County; and

WHEREAS, the Expenditure Plan will impose a one-half of one percent (0.5%) retail transactions and use tax for transportation improvements to meet the County's transportation needs, including major highway and road projects, local road maintenance and transportation improvement projects, rail and transit projects (including services for the elderly and disabled), bicycle and pedestrian projects, and other unanticipated but needed improvements; and

WHEREAS, the Expenditure Plan and Ordinance also provide for the formation of an Independent Citizen Oversight Committee which will review the independent annual fiscal audit of the expenditure of the Transportation Tax funds, and will issue an annual report on its findings regarding compliance with the Expenditure Plan and the requirements of the Ordinance; and

WHEREAS, before PCTPA, acting as the Local Transportation Authority, can consider adopting the Proposed Ordinance, the proposed Expenditure Plan must be approved by the Board of Supervisors and the City and Town Councils representing both a majority of the cities and towns in the County, and a majority of the population residing in the incorporated areas of the County; and

WHEREAS, if the Expenditure Plan is approved by the Board of Supervisors and the City and Town Councils representing both a majority of the cities and towns in the County, and a majority of the population residing in the incorporated areas of the County, PCTPA, acting as the Local Transportation Authority, will consider adopting the Ordinance at its June 22nd meeting; and

WHEREAS, following adoption of the Ordinance, the Board of Supervisors will be asked to place the Ordinance on the November 2016 ballot. The deadline for placing the proposed Ordinance on the ballot is August 12, 2016; and

WHEREAS, the Expenditure Plan is not a project within the meaning of the California Environmental Quality Act (CEQA), and is therefore exempt from CEQA review. Prior to the commencement of any project included in the Expenditure Plan, any necessary environmental review required by CEQA shall be completed; and

NOW, THEREFORE, BE IT RESOLVED the Expenditure Plan, attached hereto as Exhibit A and incorporated herein by this reference, is hereby approved.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

EXPENDITURE PLAN EXHIBIT A TO ORDINANCE NO. 16-001

Placer County Transportation Improvement Plan

This TRANSPORTATION IMPROVEMENT PLAN, which shall act as the County's Expenditure Plan (the "Plan"), was prepared by the Placer County Local Transportation Authority (the "Authority") for the purpose of establishing a one-half of one percent (0.5%) retail transactions and use tax for transportation purposes (the "Transportation Tax") to be collected for thirty (30) years, if approved by the voters on November 8, 2016. This is proposed by the Authority as a means to fill the shortfall in funding needed to: implement necessary highway, rail, and transit projects; secure new transportation corridors through environmental clearance and right of way purchases; provide adequate maintenance and improvements on the local street and road system; promote economic growth throughout the County; and meet the needs of commuters and the specialized needs of the growing senior and disabled population.

CHAPTER 1: GOALS AND OBJECTIVES

1.1 *MAINTAIN AND IMPROVE THE QUALITY OF LIFE IN PLACER COUNTY BY SUPPLEMENTING EXISTING FUNDS FOR TRANSPORTATION*

Reduce current congestion and provide adequate transportation facilities to accommodate reasonable growth in the future.

Provide funding for the adequate maintenance and improvement of local streets and roads in the cities, town, and unincorporated areas.

Enhance Placer County's ability to secure state and federal funding for transportation by offering local matching funds.

1.2 *PROVIDE FOR ACCOUNTABILITY IN THE EXPENDITURE OF TAXPAYER FUNDS*

Provide for mandatory dedication of Transportation Tax funds only for the transportation improvements and programs identified in this Plan and no other purpose.

Provide for a mandatory, annual financial audit of program expenditures to insure

that all funds are spent in accordance with this voter adopted Plan and associated legal ordinance.

Provide for an independent Citizen Oversight Committee to review the mandatory annual financial audits of program expenditures and to produce an annual report of findings to the Board and the public.

Provide for a Maintenance of Effort requirement in funds made available to city, town, and County governments for local street and road programs to insure the new money for this purpose is adding to current funding levels.

Provide for the strict limitation of administrative staff costs in implementing this Plan, by limiting, in law, funds expended for salaries and benefits to no more than one percent (1%) of the annual amount of revenues raised by the Transportation Tax as provided in Section X of the Ordinance, net of the amount of fees paid to the State Board of Equalization for collection of the sales tax.

Provide for this Plan to be reviewed at least every ten (10) years for the period it is in effect to ensure that the changing needs and priorities of the jurisdictions are met, as provided in Section XIII of the Ordinance.

Provide for the mandatory termination of the Transportation Tax in thirty (30) years from the operative date, requiring additional voter approval at a County general election for any extension.

1.3 PROVIDE FOR EQUITY IN THE DISTRIBUTION OF TRANSPORTATION TAX REVENUES

Address the unique needs of each of the areas of the County.

Provide a reasonable balance between competing highway, rail, transit, bicycle/pedestrian, and local streets and road needs.

Return to the Tahoe Area a proportional share of the Transportation Tax generated in that area.

1.4 PROVIDE FOR LOCAL CONTROL OF THE TRANSPORTATION IMPROVEMENT PLAN

Provide for cost effective, local administration of the Plan through the existing Placer County Transportation Planning Authority. No new agency would be required to administer these funds.

Delegate appropriate administrative responsibility to the cities, town, and the County and other local agencies designated by a city, town, the County, or the Authority for local programs.

CHAPTER 2: TAXPAYER ACCOUNTABILITY SAFEGUARDS

2.1 LEGAL DEDICATION OF FUNDS

Funds generated by the Transportation Tax, net of the amount of fees paid to the State Board of Equalization, may only be used for transportation purposes as described in the Ordinance governing this Plan, including the acquisition, construction, environmental mitigation, maintenance, and operation of streets, roads, highways, including state highways and public transit systems and equipment, and for related transportation purposes. These purposes include but are not limited to expenditures for planning, environmental reviews, engineering and design costs, related right-of-way acquisition, and construction, engineering, project management, and administration.

2.2 MANDATORY ANNUAL FISCAL AUDIT

No less than annually, the Authority shall conduct an independent fiscal audit of the expenditure of all funds generated by the Transportation Tax. The audit, which shall be made available to the public, shall report on evidence that the expenditure of funds is in accordance with this Plan as adopted by the voters in approving the Ordinance on November 8, 2016. In addition, the audit shall determine compliance with the Maintenance of Effort requirements and requirements described in Section 3.3 of this Plan entitled "Local Transportation Programs." The audit shall also ensure that no more than one percent (1%) of the annual amount of Transportation Tax is used for administrative staff salaries and benefits in implementing this Plan, as required under Section X of the Ordinance.

2.3 INDEPENDENT CITIZEN OVERSIGHT COMMITTEE

An eight (8) member Independent Citizen Oversight Committee shall be formed to review the annual independent fiscal audit of the expenditure of the Transportation Tax funds and issue an annual report on its findings regarding compliance with the requirements of the Expenditure Plan and the Ordinance to the Authority Board of Directors. The annual report shall also be made available to the public. Membership in the Independent Citizen Oversight Committee shall be composed of one registered voter appointed by the governing body of each city and town, and two appointed by the Placer County Board of Supervisors. The two appointees of the County shall include at least one representing the Tahoe Area. Persons currently employed by the County of Placer or any incorporated city or town therein, or currently serving as a city or town councilmember or member of the Board of Supervisors, are not eligible for membership on the Citizen Oversight Committee.

In the event a new city or town is incorporated, the Independent Citizen Oversight Committee membership would be expanded to include one voter appointed by the governing body of that new city or town.

2.4 MANDATORY PLAN UPDATE AND TERMINATION OF TRANSPORTATION TAX

This Plan shall be reviewed by the Authority at least every ten (10) years that the Transportation Tax is in effect to reflect current and changing transportation priorities and needs in the County, as defined by the duly elected local government representatives on the Authority Board. Any changes to this Plan must be adopted in accordance with Section XIII of the Ordinance and with current law in effect at the time of the update, and must be based on findings of necessity for change by the Authority. The Transportation Tax authorized to be collected by the voters shall expire in exactly thirty (30) years, unless the voters approve an extension of the Transportation Tax prior to the expiration date, as may be required under state law in effect at the time of the vote for extension.

CHAPTER 3: SPECIFIC TRANSPORTATION PROGRAMS TO BE FUNDED

3.1 MAJOR HIGHWAY/ ROAD PROGRAMS – 44.75%

Many more state highway improvement projects are needed to deal with congestion and safety problems in Placer County than existing state and federal revenues can fund. Programmed and projected formula funds from these sources over the thirty (30) years are estimated to be \$117 million and will fund about 8% of the improvements needed and identified in this Plan. Funds generated by the Transportation Tax will supplement those funding sources with an estimated \$716 million and, along with an estimated \$715 million in developer impact fees, will cover the remaining costs estimated to accomplish these improvements. **The actual amount of funds available for expenditure on state highway improvement projects from the identified sources and the amount expended for such purposes may vary from these estimates.**

44.75% of the Transportation Tax is allocated to Major Highway/Road Projects. The Major Highway/Road projects to be implemented with the Transportation Tax revenues are as follows:

ROUTE	LIMITS	PROJECT
I-80/SR 65	Interchange Phase 1-3	Improve interchange operations and capacity
I-80	I-80/SR 174 Interchange I-80/Rocklin Road Interchange I-80/Horseshoe Bar Rd Interchange	Improve interchange capacity and operations
I-80	Douglas Blvd to Riverside Ave (westbound) SR 65 to Rocklin Rd (eastbound)	Add/extend auxiliary lanes

SR 65	Galleria Blvd/Stanford Ranch Blvd to Lincoln Blvd.	Widen to 3-5 lanes each direction
SR 65	SR 65/Nelson Lane Interchange	Improve to grade separated interchange
SR 49	I-80 to Dry Creek Road	Operational and safety improvements including widening, bikeways, sidewalks, signal synchronization and complete streets
Baseline Road	Foothills Blvd to SR 70/99	Widen to 4-6 lanes
Placer Parkway	SR 65 to SR 70/99	Construct 4 lane expressway

The final scope and project limits of all improvements proposed for the State Highway system will be determined through the environmental clearance process.

The Authority may add additional Major Highway/Road projects, should the Transportation Tax produce more revenue than now predicted or the Authority be more successful than anticipated in attracting state/federal matching funds, developer impact fees, or obtaining other grants and funds for transportation infrastructure purposes.

3.2. *RAIL AND TRANSIT PROGRAM – 11.875%*

This Plan will provide an estimated \$190 million of Transportation Taxes to expand rail, add bus rapid transit, and implement services and continue and expand programs to meet the transit needs of seniors, disabled persons and commuters.

3.2.a. Transportation Services for Seniors and Disabled Persons

Seniors and disabled persons are becoming an increasing percentage of the population each year, and will drive demand for more frequent transit service to more areas. In addition, a number of transportation programs have been implemented which meet specialized needs for transportation to medical services, social service agencies and programs, shopping and other purposes that cannot be met by conventional transit. An estimated \$55 million in Transportation Tax funds will be used to expand these transit services.

3.2.b. Capitol Corridor Rail and Bus Rapid Transit Service

The existing Capitol Corridor rail service has provided a viable alternative to the automobile for daily commuters to downtown Sacramento and reduces traffic on I-80. The current service level needs to be augmented by expanding capacity between Sacramento and Roseville to bring ten (10) round trips per day to Placer County. In addition, establishment of frequent and timely bus rapid transit service that provides a reasonable alternative to the automobile for existing and future daily commuters who travel to and within the South Placer area is needed. An estimated \$90 million of Transportation Tax funds will be made available for capital and operations of these rail and bus rapid transit services and to match available federal funds.

3.2.c. Commuter Bus Service

Placer County's existing commuter bus services provide a safe, convenient, and comfortable alternative to driving and removes congestion from highways. There is strong demand to expand this highly popular effective service to connect more residential areas and major employment centers. An estimated \$45 million of Transportation Tax funds will be made available for capital and operations of commuter bus services, and to match available federal and state funds.

The actual amount of funds available for expenditure on transit and other projects described in this Section from the identified sources and the amount expended for such purposes may vary from these estimates.

3.3. *LOCAL TRANSPORTATION PROGRAM – 30%*

The local transportation systems, particularly local streets and roads, are critical to the everyday movement of people within the cities, town, and the County.

Much of the road system is aging, has potholes, and is in need of expanded maintenance and rehabilitation. New local roads adjacent to new residential and business developments will continue to be constructed and paid for by the developers. Current resources, without the establishment of Transportation Tax revenues for transportation, cannot provide adequate funding to maintain the local street and road system at the level necessary to adequately serve the public.

The priorities for local transportation vary among individual local jurisdictions. While overlay, reconstruction, repair, and maintenance of the local road system are needs common to all and is specifically allowable as an expenditure of these funds, there are additional needs as well.

Transportation Tax funds can be used for transportation projects, transit operations, projects that support transportation/land use coordination, and air quality improvement projects, as designated by this Plan. Some examples of allowable projects include bike paths, transit centers, widening of existing local roads, local matching funds for transportation grants or earmarks, fueling stations for electric vehicles, compressed natural gas or other alternative fuels, sidewalks and pedestrian paths, transportation demand management programs, lighting, landscaping, security for transportation facilities, park and ride lots, Safe Routes to School programs, and traffic signal synchronization.

This Plan will provide an estimated \$ 480 million of Transportation Taxes specifically for these purposes. The funds will be distributed to the cities, town, and the County by a formula based on an equal weighting of each jurisdiction's proportionate share of the total County population and road miles, with a minimum allocation of \$250,000 for each jurisdiction. Allocations will be updated annually based on California Department of Finance population data and reported road miles.

The actual amount of funds available for expenditure on local transportation projects from the identified sources and the amount expended for such purposes may vary from these estimates.

In order to be eligible for these funds, each jurisdiction shall satisfy and continue to satisfy the following requirements:

1. On July 1 of each year, file a Five-Year Capital Improvement Program including all capital transportation projects, including projects funded by the jurisdiction's share of the Transportation Tax.
2. The portion of funds under this Program designated to the Non-Tahoe portion of Placer County shall include a minimum of 50% into a "Placer County Rural Roads Maintenance and Repair Fund." Expenditures from this Fund shall be restricted to the repair, safety, and maintenance of existing county roads, and not used for capacity increasing transportation improvements, in the areas represented by the following Municipal Advisory Councils (MACs):

- [Donner Summit](#)
- [Foresthill Forum](#)
- [Horseshoe Bar/Penryn](#)
- [Meadow Vista](#)
- [Newcastle/Ophir](#)
- [North Auburn](#)
- [Rural Lincoln](#)
- [Sheridan](#)
- [Weimar/Applegate/Colfax](#)
- [West Placer](#)

The County shall consult with each MAC at least once annually as part of the development of the MAC's Five-Year Capital Improvement Program to identify local priorities for resurfacing, pothole repair, and other road maintenance and repair.

3. Adopt and administer a development impact fee program that requires new development to pay a fair share of necessary transportation improvements attributable to the new development.

4. On July 1 of each year, file with the Authority an annual Expenditure Report for the prior fiscal year identifying the amount of Transportation Taxes, developer impact fees and other local agency funds expended by the jurisdiction and certify that the maintenance of effort requirements of the Ordinance and the Expenditure have been satisfied.

3.4 *TAHOE AREA PROGRAM – 3%*

The Tahoe Area of Placer County is that area east of the summit of the Sierra Nevada Mountains located within Placer County, including but not limited to Tahoe City, Kings Beach, Canelian Bay, Squaw Valley, Martis Valley, Northstar, and Alpine Meadows. This Plan is designed to recognize the unique transportation challenges and priorities for the Tahoe Area by providing an estimated \$48 million for improvements such as:

- Provide funding for the improvement and expansion of the bicycle and pedestrian trail system, including maintenance and snow removal
- Improve and Expand Public and Specialty Transit Service
- Provide funding for Local Streets and Roads Improvements, including road rehabilitation
- Improve Safety and Visibility at Major Intersections and Arterial Roads, including snow removal

In order to be eligible for these funds, the Tahoe Area, as represented by the County of Placer, will be required to file a Five-Year Capital Improvement Program, updated annually, with the Authority outlining anticipated expenditures. The North Lake Tahoe Transportation Authority, created pursuant to Government Code Section 67964, will not have any role in developing or administering said programs or funds unless expressly designated by subsequent action of the Placer County Board of Supervisors.

3.5 *BICYCLE AND PEDESTRIAN PROGRAM – 4.75%*

Bicycle, pedestrian, and neighborhood electric vehicle (NEV) travel provide a viable alternative for short distance trips and enhance the quality of life in our neighborhoods. Safe, easy to use facilities in key areas support the attractiveness of these clean and healthy transportation modes. An estimated \$75 million in net Transportation Tax funds will be used to match local, state, and federal funds to construct and improve bicycle, pedestrian, and neighborhood electric vehicle facilities and otherwise to support walkable and bikeable communities including Safe Routes to School.

The Authority shall establish, in the first year after the adoption of this Ordinance, the criteria by which projects are deemed eligible for funding under this Program, and the selection criteria by which such eligible projects are selected. The eligibility and selection criteria shall include safety, connectivity to schools and to the overall system estimated demand/usage, ability to attract matching funds, and lack of other funding in the overall Transportation Expenditure Plan.

3.6 COMPETITIVE PROJECTS PROGRAM – 4.625%

It is recognized that the Plan cannot anticipate every factor that could affect transportation needs over its thirty (30) year life. New technologies, new travel patterns, and new transportation modes are amongst the many possibilities that may arise in the future. To provide the flexibility to meet those unanticipated needs and opportunities, the Transportation Plan is anticipated to provide approximately \$75 million to a Competitive Projects Program. The Authority shall establish, in the first year after the adoption of this Ordinance, the criteria by which projects are deemed eligible for funding under this Program, and the selection criteria by which such eligible projects are selected. The eligibility and selection criteria shall include safety, congestion relief, ability to attract matching funds, and lack of other funding in this overall Transportation Expenditure Plan. Any Competitive Projects Program funds unspent at the conclusion of the thirty (30) year Plan would be added to the Local Transportation Program.

CHAPTER 4: BOND FINANCING

Construction of the highway and rail projects and implementation of the local streets and roads and other programs identified in this Plan are needed as soon as possible. In order to accomplish this, some level of borrowing will be required, with debt to be repaid with Transportation Tax revenues. The Authority will determine the extent of borrowing that is reasonable and that can be supported by Transportation Tax revenues as the Plan is implemented.

CHAPTER 5: ALLOCATIONS SUMMARY

TRANSPORTATION TAX REVENUE ALLOCATIONS

Major Highway/Road Program	44.75%
Rail and Transit Program	11.875%
Bicycle and Pedestrian Program	4.75%
Local Transportation Program/ Rural Roads Maintenance and Repair Program	30%
Tahoe Area Program	3%
Competitive Projects Program	4.625%
Transparency, Accountability, and Administration	1%
TOTAL	100%

The Authority may reallocate the expenditure of Transportation Taxes in any given year on a different percentage basis provided that the percentage allocations set forth above are achieved over the duration of the Ordinance.

CHAPTER 6. FUNDING FLEXIBILITY AND BONDING TO EXPEDITE PROJECTS

To more quickly deliver transportation projects for the benefit of Placer County residents, visitors, and businesses, the Authority may temporarily shift Transportation Tax revenues and developer impact fees administered by the South Placer Regional Transportation Authority (SPRTA) amongst projects in any given year. However, the proportionate shares for funding contributions and purposes over the 30-year period may not be changed without an amendment of this Plan as required by law and Section XIII of the Ordinance. Transportation Tax revenues subject to this temporary shift specifically exclude those annually allocated to the cities, town, and County under the Local Transportation Program (Section 3.3 of this Plan) and those allocated to the Tahoe Area under this Plan (although Tahoe Area funds may be shifted between projects benefiting the Tahoe Area). Shifts may not be made without previous consultation with the affected local agencies and two-thirds majority approval of the Authority Board of Directors.

CHAPTER 7. INFORMING THE PUBLIC OF LOCAL FUNDING SUPPORT

All projects using \$1 million or more of Transportation Tax revenues shall be signed to inform the public that Transportation Tax revenues support the project.

CHAPTER 8. SEVERANCE PROVISIONS

If any provision of this Plan, or the application thereof, is for any reason held invalid and unenforceable by a court of competent jurisdiction to a person or circumstance, the remainder of the Plan and the application of such provision to other persons or circumstances shall not be affected thereby, and the Authority declares that it would have passed each part of this Plan irrespective of the validity of any other part.

Placer County Local Transportation Authority Transportation Improvement Plan And Retail Transactions and Use Tax Ordinance SUMMARY

- Ordinance Overview:
 - adopts a County transportation expenditure plan,
 - imposes one-half of one percent (0.5%) for a period of thirty (30) years
 - provides the authority to issue bonds and administer the tax proceeds, and
 - creates an independent Citizen Oversight Committee
 - to review the mandatory annual financial audits of program expenditures and
 - to produce an annual report of findings to the Authority Board of Directors and the public
- Bond Financing: Pay-as-you-go financing is the preferred method of financing transportation improvements and programs under this Ordinance. However, the Authority may decide to use bond financing as an alternative method if the scope of planned expenditures makes pay-as-you-go financing infeasible.
- Citizen Oversight Committee: The Citizen Oversight Committee will review the Authority's annual fiscal audit, administrative costs, and jurisdiction maintenance of effort reports to ensure compliance with the Ordinance. The committee will have 8 members, including one appointed by each city/town, and two appointed by the Board of Supervisors. One of the County's appointees must be from the Tahoe area.
- Expenditure Plan:
 - Major Highway/Road Program – 44.75%
 - Local Jurisdiction Subvention – 30%
 - Rail and Transit Program – 11.875%
 - Bicycle, Pedestrian, and NEV – 4.75%
 - Tahoe Subvention – 3%
 - Competitive Projects – 4.625%
 - Administration – 1%

The Authority may add projects to a category, should the Transportation Tax produce more revenue than now predicted or the Authority be more successful than anticipated in attracting state/federal matching funds,

developer impact fees, or obtaining other grants and funds for transportation infrastructure purposes.

- Eligible Projects for Local Projects Fund: First priority is for the overlay, reconstruction, repair, and maintenance of the local road system. Transportation Tax proceeds can also be used for other transportation projects, transit operations, projects that support transportation/land use coordination, and air quality improvement projects, as designated by this Plan. Some examples of allowable projects include bike paths, transit centers, widening of existing local roads, local matching funds for transportation grants or earmarks, fueling stations for electric vehicles, compressed natural gas or other alternative fuels, sidewalks and pedestrian paths, transportation demand management programs, lighting, landscaping, security for transportation facilities, park and ride lots, and traffic signal synchronization.
- Rural Road Maintenance and Repair Fund: No less than one-half of the unincorporated Placer County share of the Local Projects Fund is set aside into the Rural Road Maintenance and Repair Fund to address road maintenance and safety in rural areas outside of the Tahoe Basin, including Foresthill, Penryn, Meadow Vista, Newcastle, Ophir, North Auburn, Donner Summit, Sheridan, Rural Lincoln, Weimar, Applegate, and West Placer.
- Maintenance of Effort Requirement: The local agency must demonstrate that it has expended funds for local street improvements and maintenance other than Transportation Taxes allocated to it in an amount no less than an amount equal to the percentage of the general fund budget spent for local street improvements and maintenance for the 5 years prior to the date when the local agency submits its report as required by the Expenditure Plan.

On July 1 of each year, each jurisdiction must file:

- 1) a Five-Year Capital Improvement Program including all capital transportation projects, including projects funded by the jurisdiction's share of the Transportation Tax; and
 - 2) an annual Expenditure Report for the prior fiscal year identifying the amount of Transportation Taxes, developer impact fees and other local agency funds expended by the jurisdiction and certify that the maintenance of effort requirements have been satisfied.
- Project Selection for Bicycle, Pedestrian, and Neighborhood Electric Vehicle (NEV) Program: The Authority shall establish, in the first year after the adoption of this Ordinance, the selection criteria for the program. The eligibility and selection criteria shall include safety, connectivity, estimated usage/demand, ability to attract matching funds, and lack of other funding in this overall Transportation Expenditure Plan.
 - Project Selection for Competitive Projects: Same as for Bicycle Program, but criteria to include safety, congestion relief, ability to attract matching funds, and lack of other funding in this overall Transportation Expenditure Plan.



COUNCIL COMMUNICATION

CC #: 7706

File #: 0206-03-01

Title: Finance Authority - Special Tax Refunding Bonds, Series 2016
Contact: Vanessa Lieberman 916-774-5189 vlieberman@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 8.2.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council adopt the Resolution authorizing the issuance and sale of special tax refunding bonds for and on behalf of the Roseville Finance Authority Revenue Bonds Series 2006 A (Senior Lien Bonds) and Series 2006 B (Junior Lien Bonds), approving and directing the execution of a Fiscal Agent Agreement, and approving other related documents and actions.

The attached resolutions authorize the execution and delivery of the following documents required for the refunding for the Roseville Finance Authority Revenue Bonds Series 2006 A and Series 2006 B:

- Fiscal Agent Agreement – The purpose of this agreement is to contract with a firm who makes Community Facilities District payments, keeps records, and provides notification to bondholders.
- Escrow Agreement – This agreement provides services to hold bond funds from the new issue on behalf of the old bondholders and retire debt in compliance with the original issue.
- Bond Purchase Contract – Agreement between the City of Roseville on behalf of the districts and the underwriting firm for the sale of bonds to the underwriter. The underwriter then resells the bonds to interested investors.
- Preliminary Official Statement of Bond Issuance – This is an informational document issued to prospective bond buyers describing the Districts and the refunding bonds.

BACKGROUND

Highland Reserve North Community Facilities District (CFD) No. 1 was formed on August 18, 1999 with resolution number 99-350 with an original par amount of \$33,470,000. Woodcreek East Community Facilities District (CFD) No. 1 was formed on October 11, 2000 with resolution number 00-489 with an original par amount of \$5,465,000. On April 1, 2006, the Authority issued 2006 Series A Senior Lien Bonds in the amount of \$35,870,000 and 2006 Series B Junior Lien Bonds

in the amount of \$4,645,000. The Highland Reserve North CFD No. 1 Special Tax Bonds, Series 2006, was originally issued in the aggregate principal amount of \$33,120,000 and the Woodcreek East CFD No. 1, Series 2006, was originally issued in the aggregate principal amount of \$6,245,000. The 2006 Series A and B tax exempt Revenue Bonds were issued to assist the City of Roseville in the financing and refinancing of certain special tax bonds issued by the City to finance improvements for its Highland Reserve North Community Facilities District No. 1 and Woodcreek East Community Facilities District No. 1. Currently, Highland Reserve North CFD No.1 has an outstanding par amount of \$20,651,000 and Woodcreek East CFD No.1 currently has an outstanding par amount of \$4,189,000.

The City has determined that in the current bond market, the outstanding bonds can be refunded for an estimated true interest cost of 2.3% and an issue size of \$19,405,000 (approximately \$17,210,000 for the Highland Reserve North CFD No. 1 and \$2,195,000 for the Woodcreek East CFD No. 1) which will result in approximately \$5.3 million in debt service savings and reduced annual tax bills to the property owners within the districts. The reduction in the par amount of the bonds is a combination of a principal payment due on September 1 and a reduction in the debt service reserve amount that will be used to retire debt. The refunding will not increase the principal amount or the term of outstanding bonds.

FISCAL IMPACT

There will be no fiscal impact to the City of Roseville as all bond issuance costs will be paid from bond proceeds. Property owners will experience a reduction in annual special tax assessments of approximately three percent.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

ENVIRONMENTAL REVIEW

This is not considered a "project" as defined by the California Environmental Quality Act (CEQA), Section 15273 of the CEQA guidelines and therefore no CEQA action is required.

Respectfully Submitted,

Vanessa Lieberman, Financial Analyst

Monty Hanks, Finance Director



Rob Jensen, City Manager

ATTACHMENTS:**Description**

Finance Authority Resolution No. 2-16

Bond Purchase Agreement

Escrow Agreement

Indenture

Preliminary Official Statement

RESOLUTION NO. 2-16

ROSEVILLE FINANCE AUTHORITY

RESOLUTION OF THE BOARD OF DIRECTORS OF THE ROSEVILLE FINANCE AUTHORITY AUTHORIZING THE ISSUANCE AND SALE OF REFUNDING REVENUE BONDS FOR THE PURPOSE OF REFUNDING OUTSTANDING REVENUE BONDS, 2006 SERIES A (SENIOR LIEN BONDS) AND 2006 SERIES B (JUNIOR LIEN BONDS), AND APPROVING RELATED DOCUMENTS AND ACTIONS

WHEREAS, the Roseville Finance Authority (the "Authority") has previously issued its Revenue Bonds, 2006 Series A (Senior Lien Bonds) in the aggregate original principal amount of \$35,870,000 and its Revenue Bonds 2006, Series B (Junior Lien Bonds) (collectively, the "2006 Bonds"), pursuant to the Marks-Roos Local Bond Pooling Act of 1985, constituting Article 4 (commencing with Section 6584) of Chapter 5, Division 7, Title 1 of the Government Code of the State of California, and pursuant to an Indenture of Trust dated as of April 1, 2006 (the "2006 Indenture"), by and between the Authority and The Bank of New York Trust Company, N.A., as trustee; and

WHEREAS, the 2006 Bonds are secured by a pledge of and lien on the Revenues and the Subordinated Revenues (as such terms are defined in the 2006 Indenture) consisting primarily of amounts received from the ownership by the Authority of the \$33,120,000 City of Roseville Highland Reserve North Community Facilities District No. 1 Special Tax Bonds, Series 2006 and the \$6,245,000 Woodcreek East Community Facilities District No. 1 Special Tax Bonds, Series 2006 (collectively, the "CFD Bonds"); and

WHEREAS, the 2006 Bonds maturing on or after September 1, 2017 are subject to optional redemption by the Authority on any date on or after September 1, 2016, as a whole or in part, at a redemption price equal to 100% of the principal amount to be redeemed, plus accrued interest to the redemption date, without premium; and

WHEREAS, in order to provide funds to refinance the 2006 Bonds and thereby realize interest rate savings to the Authority, the Board of Directors of the Authority wishes at this time to authorize the issuance and sale of its Special Tax Revenue Refunding Bonds, Series 2016 (the "Refunding Bonds"), which will be secured by a pledge of and lien on the revenues and other amounts described in the Indenture to be entered into in connection with the issuance of the Refunding Bonds; and

WHEREAS, the Refunding Bonds are authorized to be issued under the provisions of Articles 10 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code, commencing with Section 53570 of said Code (the "Refunding Bond Law"); and

WHEREAS, the Board of Directors of the Authority wishes at this time to authorize the issuance and sale of the Bonds, and the approval of related financing documents, in furtherance of the public purposes of the Authority.

NOW, THEREFORE, BE IT RESOLVED by the Board of Directors of the Roseville Finance Authority as follows:

Section 1. Authorization of Refunding Bonds. The Board of Directors hereby authorizes the issuance of the Refunding Bonds under the Refunding Bond Law in the maximum principal amount of \$25 million, for the purpose of providing funds to refinance the outstanding 2006 Bonds in full. The Refunding Bonds shall be issued under an Indenture of Trust (the "Indenture") between the Authority and The Bank of New York Mellon Trust Company, N.A., as trustee, in substantially the form on file with the Secretary together with any changes therein or additions thereto deemed advisable by the Chair, the Executive Director or the Treasurer (each an "Authorized Officer"), whose execution thereof shall be conclusive evidence of the approval of any such changes or additions. Any Authorized Officer, acting alone or together, is hereby authorized and directed for and in the name and on behalf of the Authority to execute, and the Secretary is hereby authorized and directed to attest, the final form of the Indenture. The Board of Directors hereby authorizes the delivery and performance of the Indenture.

Section 2. Refunding of 2006 Bonds. The Board of Directors hereby authorizes the refunding of the 2006 Bonds under and in accordance with the provisions of the 2006 Indenture and an Escrow Agreement between the Authority and The Bank of New York Mellon Trust Company, N.A., as escrow agent and as trustee for the 2006 Bonds, in substantially the form on file with the Secretary together with any changes therein or additions thereto deemed advisable by any Authorized Officer, whose execution thereof shall be conclusive evidence of the approval of any such changes or additions. Any Authorized Officer, acting alone or together, is hereby authorized and directed for and in the name and on behalf of the Authority to execute, and the Secretary is hereby authorized and directed to attest, the final form of the Escrow Agreement.

Section 3. Sale of Refunding Bonds. The Board of Directors hereby authorizes the sale of the Refunding Bonds to RBC Capital Markets, as underwriter (the "Underwriter"), under the Purchase Contract in substantially the form on file with the Secretary together with any additions thereto or changes therein which are approved by any Authorized Officer, whose execution thereof shall be conclusive evidence of such approval. The Board of Directors hereby delegates to the Authorized Officers, acting alone or together, the authority to accept an offer from the Underwriter to purchase the Refunding Bonds and to execute the Purchase Contract for and in the name and on behalf of the Authority; provided, that the amount of Underwriter's discount shall not exceed .8% of the par amount thereof, and the true interest cost of the Refunding Bonds (taking into account any original issue discount or original issue premium on the sale thereof) shall not exceed 3.00% per annum.

Section 4. Official Statement. The Board of Directors hereby approves, and hereby deems nearly final within the meaning of Rule 15c2-12 of the Securities Exchange Act of 1934, the Preliminary Official Statement describing the Refunding Bonds in substantially the form presented to the Board of Directors. Any Authorized Officer, acting alone or together, is hereby authorized to execute an appropriate certificate stating the Board's determination that the Preliminary Official Statement has been deemed nearly final within the meaning of such Rule. Distribution of the Preliminary Official Statement by the Underwriter is hereby approved. The Authorized Officers, acting alone or together, are hereby authorized and directed to approve any

changes in or additions to the Final Official Statement, and the execution thereof by an Authorized Officer shall be conclusive evidence of approval of any such changes and additions. The Board of Directors hereby authorizes the distribution of the Final Official Statement by the Underwriter.

Section 5. Engagement of Professional Services. In connection with the issuance and sale of the Refunding Bonds by the Authority, the Board of Directors hereby approves the engagement of (a) FirstSouthwest, a Division of Hilltop Securities Inc., to act as financial advisor and (b) Jones Hall, A Professional Law Corporation, to act as bond counsel and disclosure counsel. The Authorized Officers, acting alone or together, are hereby authorized and directed to execute an agreement with each of said firms for such services.

Section 6. Municipal Bond Insurance. The Authorized Officers, acting alone or together, are hereby authorized to (a) solicit bids for a municipal bond insurance policy for one or more maturities of the Bonds and/or a debt service reserve policy, (b) to negotiate the terms of such policy or policies, (c) to finalize the form of such policy or policies with a municipal bond insurer, and (d) if it is determined to result in interest rate savings on the Bonds which exceed the cost of the premium for the policy or policies, to pay the insurance premium of for policy or policies from the proceeds of the Bonds. In the event that a municipal bond issuance commitment is received that will insure the entire principal amount of the Bonds, then the Authorized Officers are directed to cause all documents relating to the Bonds to be revised to reflect only one series of Bonds. In the event that a municipal bond insurance commitment is received which does not insure all of the Bonds, then the Authorized Officers are authorized to cause all documents relating to the Bonds to permit the issuance of the Bonds in two or more series.

Section 7. Official Actions. The Authorized Officers and all other officers of the Authority are each authorized and directed in the name and on behalf of the Authority to make any and all certificates, agreements, notices, consents, and other documents, which they or any of them deem necessary or appropriate in order to consummate any of the transactions contemplated by the agreements and documents approved under this Resolution. Whenever in this Resolution any officer of the Authority is authorized to execute or countersign any document or take any action, such execution, countersigning or action may be taken on behalf of such officer by any person designated by such officer to act on his or her behalf if such officer is absent or unavailable.

Section 8. Effective Date. This Resolution shall take effect immediately upon its passage and adoption.

* * * * *

I hereby certify that the foregoing Resolution was duly adopted by the Board of Directors of the Roseville Finance Authority, at a regularly scheduled meeting thereof, held on the _____ day of _____, 2016, by the following vote of the Board:

AYES:	BOARDMEMBERS
NOES:	BOARDMEMBERS
ABSENT:	BOARDMEMBERS
ABSTAIN:	BOARDMEMBERS

Chair

ATTEST:

Secretary of the Roseville Finance
Authority

PURCHASE CONTRACT

\$ _____
**ROSEVILLE FINANCE AUTHORITY
SPECIAL TAX REVENUE REFUNDING BONDS
SERIES 2016**

_____, 2016

Roseville Finance Authority
311 Vernon Street
Roseville, California 95678
Attention: Chair

Ladies and Gentlemen:

The undersigned, RBC Capital Markets, LLC (the "Underwriter") offers to enter into the following agreement (this "Purchase Contract") with the Roseville Finance Authority (the "Authority") which, upon the acceptance of the Authority of this offer, will be binding upon the Authority and the Underwriter. This offer is made subject to the Authority's written acceptance hereof on or before 11:59 p.m. Pacific time, on the date hereof, and, if not so accepted, will be subject to withdrawal by the Underwriter upon notice delivered to the Authority at any time prior to the acceptance hereof by the Authority. Terms not otherwise defined in this Purchase Contract shall have the same meanings set forth in the Indenture (as defined herein) or in the Official Statement (as defined herein).

1. **Purchase and Sale of the Bonds.** Subject to the terms and conditions and in reliance upon the representations and agreements set forth herein, the Underwriter hereby agrees to purchase from the Authority, and the Authority hereby agrees to sell and deliver to the Underwriter, all, but not less than all, of the Authority's Special Tax Revenue Refunding Bonds (Community Facilities Districts), Series 2016 (the "Bonds").

The principal amount of the Bonds to be issued, the dated date therefor, the maturities, mandatory sinking fund redemption provisions and interest rates per annum are set forth in Exhibit A hereto and as further described in the Official Statement (as defined herein). The Bonds shall be as described in, and shall be issued and secured under and pursuant to the provisions of the resolution adopted by the Authority on _____, 2016 (the "Resolution") and an Indenture of Trust, dated as of _____ 1, 2016 (the "Indenture"), by and between the Authority and The Bank of New York Trust Company, N.A., as trustee (the "Trustee"). The Bonds are being issued pursuant to the Indenture and the provisions of Articles 10 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code, commencing with Section 53570 of said Code.

The Underwriter has agreed to purchase all (but not less than all) of the Bonds from the Authority at a purchase price of \$_____ (being 100% of the aggregate principal amount thereof, plus a net original issue premium of \$_____ and less an Underwriter's discount of \$_____).

Proceeds of the Bonds are to be used primarily to refund the Authority's 2006 Series A (Senior Lien Bonds) and its Revenue Bonds 2006, Series B (Junior Lien Bonds) (collectively,

the “2006 Bonds”), the proceeds of which were used to purchase the City of Roseville Highland Reserve North Community Facilities District No. 1 Special Tax Bonds, Series 2006 and Woodcreek East Community Facilities District No. 1 Special Tax Bonds, Series 2006 (collectively, the “CFD Bonds”). The CFD Bonds were issued to fund public infrastructure in the City of Roseville’s Authority’s Highland Reserve North Community Facilities District No. 1 and Woodcreek East Community Facilities District No. 1 (the “Districts”) related to original development in the Districts.

2. Public Offering. The Underwriter agrees to make a bona fide public offering of all of the Bonds at a price not to exceed the public offering price set forth on the inside cover of the Official Statement (as defined herein) and as set forth in Exhibit A. The Underwriter may offer and sell Bonds to certain dealers (including dealers depositing Bonds into investment trusts) and others at prices lower than the public offering price stated on the cover of the Official Statement (as defined herein). The Underwriter shall indemnify and hold harmless the Authority and its members, officers, directors, employees, agents and attorneys against any and all claims, damages, liabilities, costs and expenses (including fees and disbursements of counsel) incurred by them or any of them, which arise out of a breach by the Underwriter of the representations and warranties contained in this paragraph.

The Underwriter has been duly authorized to execute this Purchase Contract and to act hereunder. Inasmuch as this purchase and sale represents a negotiated transaction, the Authority acknowledges and agrees that (i) the transaction contemplated by this Purchase Contract is an arm’s length, commercial transaction between the Authority and the Underwriter in which the Underwriter is acting solely as a principal and is not acting as a municipal advisor, financial advisor or fiduciary to the Authority; (ii) the Underwriter has not assumed any advisory or fiduciary responsibility to the Authority with respect to the transaction contemplated hereby and the discussions, undertakings and procedures leading thereto (irrespective of whether the Underwriter has provided other services or is currently providing other services to the Authority on other matters); (iii) the Underwriter is acting solely in its capacity as Underwriter for its own account, (iv) the only contractual obligations the Underwriter has to the Authority with respect to the transaction contemplated hereby expressly are set forth in this Purchase Contract; and (v) the Authority has consulted its own legal, accounting, tax, financial and other advisors, as applicable, to the extent it has deemed appropriate. Nothing in the foregoing paragraph is intended to limit the Underwriter’s obligations of fair dealing under MSRB Rule G-17 of the MSRB.

3. The Official Statement.

(a) The Authority hereby ratifies, confirms and approves of the use and distribution by the Underwriter prior to the date hereof of the preliminary official statement relating to the Bonds, dated _____, 2016 (including the cover page, all appendices thereto, the “Preliminary Official Statement”). The Authority hereby confirms that the Authority has deemed final the Preliminary Official Statement for purposes of Rule 15c2-12 promulgated under the Securities Exchange Act of 1934 (“Rule 15c2-12”). The Authority hereby agrees to deliver or cause to be delivered to the Underwriter, within seven (7) business days of the date hereof, copies of the final official statement, dated the date hereof, relating to the Bonds (including all information previously permitted to have been omitted by Rule 15c2-12 and any amendments or supplements as have been approved by the Authority and the Underwriter) (the “Official Statement”) in such quantity as the Underwriter shall request in order to permit the Underwriter to comply with Rule 15c2-12. The Authority hereby approves of the use and distribution by the Underwriter of the Official Statement in connection with the offer and sale of the Bonds.

(b) The Underwriter hereby agrees to file the Official Statement with the MSRB.

4. **Representations and Agreements of the Authority.** The Authority hereby represents to and agrees with the Underwriter that:

(a) The Authority is a joint powers agency organized and existing under the laws of the State of California and has full power and authority to adopt the Resolution and to enter into and to perform its obligations under the Indenture, the Escrow Agreement, the Continuing Disclosure Certificate and this Purchase Contract (collectively, the "Authority Documents"); and when executed and delivered by the respective parties thereto, the Authority Documents will constitute the legal, valid and binding obligations of the Authority enforceable in accordance with their respective terms, except as the enforcement thereof may be limited by bankruptcy, insolvency, reorganization, arrangement, fraudulent conveyance, moratorium and other laws relating to or affecting creditors' rights, to the application of equitable principles, to the exercise of judicial discretion in appropriate cases and to the limitations on legal remedies against joint powers agencies in the State of California;

(b) By official action of the Authority prior to or concurrently with the acceptance hereof, the Authority has approved and authorized the distribution of the Preliminary Official Statement and the Official Statement and authorized and approved the execution and delivery of the Authority Documents and the consummation by the Authority of the transactions contemplated thereby;

(c) To the knowledge of the Authority, there is no action, suit, proceeding, inquiry or investigation, at law or in equity, before or by any court, governmental agency, public board or body pending against the Authority seeking to restrain or enjoin the sale or issuance of the Bonds, or in any way contesting or affecting any proceedings of the Authority taken concerning the sale thereof, the pledge or application of any moneys or security provided for the payment of the Bonds, in any way contesting the validity or enforceability of the Authority Documents or contesting in any way the completeness or accuracy of the Preliminary Official Statement or the Official Statement or the existence or powers of the Authority relating to the sale of the Bonds;

(d) To the knowledge of the Authority, the statements and information relating to the Authority and the Districts contained in the Official Statement are true and correct in all material respects, and the information relating to the Authority and the Districts contained in the Official Statement does not contain an untrue statement of a material fact or omit any statement or information relating to the Authority and the Districts which is necessary to make such statements and information therein, in the light of the circumstances under which they were made, not misleading in any material respect;

(e) The Authority will furnish such information, execute such instruments and take such other action in cooperation with the Underwriter, at the expense of the Underwriter as the Underwriter may reasonably request in endeavoring (i) to qualify the Bonds for offer and sale under the Blue Sky or other securities laws and regulations of such states and other jurisdictions of the United States as the Underwriter may designate and (ii) to determine the eligibility of the Bonds for investment under the laws of such states and other jurisdictions, and will use its best efforts to continue such qualification in effect so long as required for distribution of the Bonds; provided, however, that in no event shall the Authority be required to take any action which would subject it to general or unlimited service of process in any jurisdiction in which it is not now so subject;

(f) To the knowledge of the Authority, the execution and delivery by the Authority of the Authority Documents and compliance with the provisions on the Authority's part contained therein will not conflict with or constitute a material breach of or default under any law, administrative regulation, judgment, decree, loan agreement, indenture, bond, note, resolution, agreement or other instrument to which the Authority is a party or is otherwise subject, nor will any such execution, delivery, adoption or compliance result in the creation or imposition of any lien, charge or other security interest or encumbrance of any nature whatsoever upon any of the properties or assets of the Authority under the terms of any such law, administrative regulation, judgment, decree, loan agreement, indenture, bond, note, resolution, agreement or other instrument, except as provided by the Authority Documents;

(g) If before the earlier of the date 25 days from the "end of the underwriting period" (as defined in S.E.C. Rule 15c2-12) or the date all of the Bonds are sold (which the Authority may assume is the closing date unless otherwise informed in writing by the Underwriter), an event occurs which, in the reasonable opinion of the Underwriter or its counsel, might or would cause the information contained in the Official Statement as then supplemented or amended, to contain an untrue statement of a material fact or to omit to state a material fact necessary to make such information therein, in the light of the circumstances under which it was presented, not misleading, the Authority, if requested by the Underwriter, or if the Authority is informed of need to amend or supplement the Official Statement by the City, the Authority will cooperate in amending or supplementing the Official Statement in a form and in a manner approved by the Underwriter.

(h) During the period described in the preceding paragraph, (i) the Authority will not participate in the issuance of any amendment of or supplement to the Official Statement to which, after being furnished with a copy, the Underwriter shall reasonably object in writing or which shall be disapproved by any of their respective counsel and (ii) if any event relating to or affecting the Authority shall occur as a result of which it is necessary, in the opinion of counsel for the Underwriter, to amend or supplement the Official Statement in order to make the Official Statement not misleading in the light of the circumstances existing at the time it is delivered to a purchaser, the Authority will cooperate with the Underwriter to prepare and furnish to the Underwriter a reasonable number of copies of an amendment of or supplement to the Official Statement (in form and substance satisfactory to counsel for the Underwriter) which will amend or supplement the Official Statement so that it will not contain an untrue statement of a material fact or omit to state a material fact necessary in order to make the statements therein, in light of the circumstances existing at the time the Official Statement is delivered to a purchaser, not misleading.

The execution and delivery of this Purchase Contract by the Authority shall constitute a representation by the Authority to the Underwriter that the representations and agreements contained in this Section are true as of the date hereof; provided, however, that as to all matters of law the Authority is relying on the advice of bond counsel to the Authority; and provided further, that no member, officer, agent or employee of the governing body of the Authority shall be individually liable for the breach of any representation, warranty or agreement contained herein.

5. **Closing.** At 8:00 a.m., California time, on _____, 2016, (the "Closing Date") or at such other time and date as shall have been mutually agreed upon by the Authority and the Underwriter (the "Closing"), the Authority will, subject to the terms and conditions hereof, deliver to the Underwriter at the office of The Depository Trust Company ("DTC") in New

York, New York, or at such other place as the Authority and the Underwriter may mutually agree upon, the Bonds in definitive fully registered form, bearing CUSIP numbers without coupons, with one Bond for each maturity of the Bonds, registered in the name of Cede & Co., as nominee of DTC, and subject to the terms and conditions hereof and as provided in the Indenture, the Underwriter will accept such delivery and pay the purchase price of the Bonds by wire transfer payable in immediately available Federal funds. The Bonds shall be made available for inspection by the Underwriter and DTC (or its agent) at least one business day before the Closing.

6. **Closing Conditions.** The Underwriter has entered into this Purchase Contract in reliance upon the representations, warranties and agreements of the Authority contained herein, and in reliance upon the representations, warranties and agreements to be contained in the documents and instruments to be delivered at the Closing and upon the performance by the Authority of its obligations hereunder, both as of the date hereof and as of the date of the Closing. Accordingly, the Underwriter's obligations under this Purchase Contract to purchase, to accept delivery of and to pay for the Bonds shall be conditioned upon the performance by the Authority of its obligations to be performed hereunder and under such documents and instruments at or prior to the Closing, and shall also be subject to the following additional conditions, including the delivery by the Authority of such documents as are enumerated herein, in form and substance reasonably satisfactory to the Underwriter:

(a) The Authority shall be in compliance with each of the agreements made by it in this Purchase Contract (unless such agreements are waived by the Underwriter); there shall not have occurred an adverse change in the financial position, results of operations or financial condition of the Authority or the Districts which materially adversely affects the ability of the Authority to levy the Special Taxes, to pay principal and interest with respect to the Bonds when due or otherwise perform any of its obligations under the Indenture or any related agreement;

(b) The Authority Documents shall be in full force and effect, and shall not have been amended, modified or supplemented in any material respect from the forms of such documents which have been provided to the Underwriter as of the date hereof (except as may be agreed to by the Underwriter); all actions which, in the opinion of Jones Hall, a Professional Law Corporation ("Bond Counsel") shall be necessary in connection with the transactions contemplated hereby shall have been duly taken and shall be in full force and effect; and the Authority shall perform or shall have performed its obligations required under or specified in this Purchase Contract to be performed at or prior to the Closing;

(c) At the time of the Closing, the Official Statement (as amended and supplemented) shall be true and correct in all material respects, and shall not contain any untrue statement of a material fact or omit any statement or information necessary to make the statements therein, in the light of circumstances under which they were made, not misleading;

(d) Except as disclosed in the Official Statement, no decision, ruling or finding shall have been entered by any court or governmental entity since the date of this Purchase Contract (and not reversed on appeal or otherwise set aside) which in the reasonable opinion of the Underwriter materially adversely affects the market for the Bonds;

(e) (i) No default by the Authority shall have occurred and be continuing in the payment of the principal of or premium, if any, or interest on the 2006 Bonds, and (ii) no bankruptcy, insolvency or other similar proceeding in respect of the Authority shall be pending nor to the knowledge of the Authority, contemplated;

(f) At or prior to the Closing, the Underwriter shall receive the following documents:

(1) Bond Counsel Opinions. The opinion of Bond Counsel, dated the Closing Date, in substantially the form included in the Official Statement as Appendix D, addressed to the Authority, together with a reliance letter addressed to the Underwriter stating that foregoing opinions of Bond Counsel may be relied upon by the Underwriter to the same extent as if such opinion was addressed to it;

(2) Supplemental Opinion. A supplemental opinion of Bond Counsel, substantially in the form attached hereto as Exhibit B.

(3) Opinion of Authority Counsel. An opinion of counsel to the Authority, in form and substance satisfactory to the Underwriter dated the Closing Date, addressed to the Underwriter, substantially to the effect that:

(i) the Authority is a joint powers agency duly organized and validly existing under the laws of the State;

(ii) the Resolution was duly adopted at a meeting of the governing body of the Authority, and the Resolution is in full force and effect, and has not been modified, amended or superseded;

(4) Closing Certificate of Authority. A certificate of the Authority, dated the date of the Closing, signed on behalf of the Authority by a duly authorized representative of the Authority to the effect that, such representative's knowledge, the representations contained in Section 4 of this Purchase Contract are true and correct in all material respects as of the date of the Closing;

(5) 15c2-12 Certificate. A certificate, dated the date of the Preliminary Official Statement, from the Authority deeming the Preliminary Official Statement final for purposes of the Rule;

(6) Certificate of Trustee. A certificate, dated the Closing Date, signed by a duly authorized officer of the Trustee, to the effect that (i) the Trustee is a national banking association, duly organized and validly existing and in good standing under the laws of the United States, having full power and being qualified to enter, accept and administer the trust created under the Indenture and, (ii) all approvals, consents and orders of any governmental authority or agency having jurisdiction in the matter that would constitute a condition precedent to the performance by the Trustee of its duties and obligations under the Indenture and Escrow Agreement have been obtained and are in full force and effect, and (iii) the acceptance of the duties and obligations of the Trustee under the Indenture, and the consummation of the transactions on the part of the Trustee contemplated therein, and the compliance by the Trustee with the terms,

conditions and provisions of such documents do not contravene any provisions of applicable law of regulation or any order or decree, writ or injunction of the Articles of Incorporation or Bylaws of the Trustee, and, to the best of such officer's knowledge, will not require the consent under, or result in a beach of or default under, any resolution, agreement or other instrument to which the Trustee is a party or by which it may be bound;

(7) Opinion of Counsel to Trustee. An opinion of counsel to the Trustee, dated the Closing Date, addressed to the Underwriter and the Authority, to the effect that (i) the Trustee is duly organized and validly existing under the laws of the United States of America, having full power and being qualified to enter into, accept and agree to the provisions of the Indenture and Escrow Agreement and (ii) the Indenture has been duly authorized, executed and delivered by the Trustee and, assuming due authorization, execution and delivery by the other respective parties thereto, constitute the valid and binding obligations of the Trustee enforceable in accordance with its respective terms, subject to laws relating to bankruptcy, insolvency, moratorium, reorganization or other similar laws affecting creditors' rights generally, to the application of equitable principles and to the exercise of judicial discretion in appropriate cases;

(8) Resolution. A certified copy of the Resolution and a resolution of the Trustee authorizing the execution and delivery of the Indenture;

(9) Legal Documents. Two copies of each of the Authority Documents and the Official Statement, duly executed and delivered by the respective parties thereto;

(10) Tax Certificate. Tax Certificate of the Authority, in form satisfactory to Bond Counsel;

(11) Continuing Disclosure. A Continuing Disclosure undertaking of each of the Authority substantially in the form presented in the Official Statement.

(12) Escrow Agreement. An escrow agreement between the Authority and The Bank of New York Mellon Trust Company, N.A., as escrow bank, relating to the 2006 Bonds;

(13) Bond Insurance. A bond insurance policy issued by _____ insuring the payment of principal and interest on the Bonds;

(14) Rating. A letter from Standard & Poor's indicating a rating of _____ (insured) and _____ (underlying) on the Bonds;

(15) Verification Report. A Verification Report verifying the sufficiency of the escrowed funds for the redemption of the 2006 Bonds;

(16) CDIAC Statements. Copies of the Notices of Sale required to be delivered to the California Debt and Investment Advisory Commission pursuant to Section 53583 of the California Government Code and Section 8855(g) of the California Government Code.

(17) Other Items Requested by Counsel. Such additional certificates, instruments and other documents as the Underwriter or its counsel or Bond Counsel may reasonably deem necessary to evidence the truth and accuracy as of the time of the Closing of the representations of the Authority under this Purchase Contract and the due performance or satisfaction by the Authority at or prior to such time of all agreements then to be performed and all conditions then to be satisfied by the Authority.

7. Condition to the Obligations of the Authority.

(1) The Indenture, the Continuing Disclosure Certificate, applicable appendices to the Tax Certificate, and this Purchase Contract shall have been executed and delivered by the other parties thereto.

(2) No order, decree, injunction, ruling or regulation of any court, regulatory agency, public board or body shall have been issued nor shall any legislation have been enacted with the purpose of effect, directly or indirectly, of prohibiting the offering, sale or issuance of the Bonds as contemplated hereby or by the Official Statement.

(3) The Authority's closing fee and the fee of its special counsel shall have been paid by wire transfer or in other immediately available funds or arrangements reasonably satisfactory to the Authority and its special counsel shall have been made to pay such fees from proceeds of the Bonds or otherwise.

(4) The Underwriter shall provide information to which it has access in its ordinary course of business that is requested by the Authority for purposes of its compliance with California Government Code Section 8855.

8. Termination. The Underwriter shall have the right to cancel its obligation to purchase the Bonds if, between the date of this Purchase Contract and the Closing, the market price or marketability of the Bonds shall be materially adversely affected, in the reasonable judgment of the Underwriter, by the occurrence of any of the following:

(a) Legislation shall be enacted by or introduced in the Congress of the United States or recommended to the Congress for passage by the President of the United States, or the Treasury Department of the United States or the Internal Revenue Service or any member of the Congress or the Legislature of the State or favorably reported for passage to either House of the Congress by any committee of such House to which such legislation has been referred for consideration, a decision by a court of the United States or of the State or the United States Tax Court shall be rendered, or an order, ruling, regulation (final, temporary or proposed), press release, statement or other form of notice by or on behalf of the Treasury Department of the United States, the Internal Revenue Service or other governmental agency shall be made or proposed, the effect of any or all of which would be to impose, directly or indirectly, federal income taxation or state income taxation upon interest received on obligations of the general character of the Bonds or, with respect to state taxation, of the interest on the Bonds as described in the Official Statement, or other action or events shall have transpired which may have the purpose or effect, directly or indirectly, of changing the federal income tax consequences or state income tax consequences of any of the transactions contemplated herein;

(b) Legislation introduced in or enacted (or resolution passed) by the Congress or an order, decree, or injunction issued by any court of competent jurisdiction, or an order, ruling, regulation (final, temporary, or proposed), press release or other form of notice issued or made by or on behalf of the Securities and Exchange Commission, or any other governmental agency having jurisdiction of the subject matter, to the effect that obligations of the general character of the Bonds, including any or all underlying arrangements, are not exempt from registration under or other requirements of the 1933 Act, or that the Indenture is not exempt from qualification under or other requirements of the Trust Indenture Act, or that the issuance, offering, or sale of obligations of the general character of the Bonds, including any or all underlying arrangements, as contemplated hereby or by the Official Statement or otherwise, is or would be in violation of the federal securities law as amended and then in effect;

(c) Any state Blue Sky or securities commission or other governmental agency or body shall have withheld registration, exemption or clearance of the offering of the Bonds as described herein, or issued a stop order or similar ruling relating thereto;

(d) A general suspension of trading in securities on the New York Stock Exchange or the American Stock Exchange, the establishment of minimum prices on either such exchange, the establishment of material restrictions (not in force as of the date hereof) upon trading securities generally by any governmental authority or any national securities exchange, a general banking moratorium declared by federal, State of New York, or State officials authorized to do so;

(e) The New York Stock Exchange or other national securities exchange or any governmental authority, shall impose, as to the Bonds or as to obligations of the general character of the Bonds, any material restrictions not now in force, or increase materially those now in force, with respect to the extension of credit by, or the charge to the net capital requirements of, Underwriter;

(f) Any amendment to the federal or State Constitution or action by any federal or state court, legislative body, regulatory body, or other authority materially adversely affecting the tax status of the Authority, its property, income securities (or interest thereon), or the validity or enforceability of the special taxes to pay principal of and interest on the Bonds;

(g) Any event occurring, or information becoming known which, in the reasonable judgment of the Underwriter, makes untrue in any material respect any statement or information contained in the Official Statement, or has the effect that the Official Statement contains any untrue statement of material fact or omits to state a material fact necessary to make the statements therein, in the light of the circumstances under which they were made, not misleading;

(h) There shall have occurred any materially adverse change in the affairs or financial condition of the Authority or the Districts;

(i) The United States shall have become engaged in hostilities which have resulted in a declaration of war or a national emergency or there shall have occurred any other outbreak or escalation of hostilities;

(j) There shall have occurred any national or international calamity or crisis in the financial markets or otherwise of the United States or elsewhere;

(k) Any fact or event shall exist or have existed that, in the Underwriter's reasonable judgment, requires or has required an amendment of or supplement to the Official Statement;

(l) The purchase of and payment for the Bonds by the Underwriter, or the resale of the Bonds by the Underwriter, on the terms and conditions herein provided shall be prohibited by any applicable law, governmental authority, board, agency or commission; and.

(m) The debt ceiling of the United States is such that the Government Obligations (as defined in the Escrow Agreement), if any, required to fund the Escrow Agreement are not available for delivery on the date of the delivery of the Bonds.

9. Expenses.

Expenses. The costs and expenses incurred by the parties hereto with respect to the Bonds and the proceedings for the sale and issuance thereof shall be paid as follows:

(a) Authority. The Authority acknowledges that it has had an opportunity, in consultation with such advisors as it may deem appropriate, if any, to evaluate and consider the fees and expenses being incurred as part of the issuance of the Bonds. The Authority shall pay or cause to be paid (but solely from the proceeds of the Bonds and not otherwise) the expenses incident to the performance of the obligations of the Authority hereunder, including but not limited to:

(1) the cost of preparation and printing of the Preliminary Official Statement and the final Official Statement in reasonable quantities and all other documents (other than as set forth in subsection (b) below) prepared in connection with the transactions contemplated hereby;

(2) the fees and disbursements of the Trustee and counsel to the Trustee in connection with the issuance of the Bonds;

(3) the fees and disbursements of Bond Counsel and any other experts or consultants retained by the Authority in connection with the transactions contemplated hereby;

(4) the fees and expenses of disclosure counsel in connection with its preparation of the Official Statement and the rendering of its opinion;

(5) all expenses incurred by it or the Underwriter in connection with the preparation of the Official Statement, including expenses of obtaining information from information suppliers; and

(6) the Authority shall also pay for any expenses (by means of its being included in the expense component of the Underwriter's discount) incurred by the Underwriters which are incidental to implementing this Purchase Contract and the issuance of the Bonds, including, but not limited to meals, transportation and lodging, if any, and any other miscellaneous closing costs.

(b) Underwriter. The Underwriter shall pay:

(1) the cost of preparation and printing of Blue Sky and Legal Investment Memoranda if any, to be used by it; and

(2) all advertising expenses in connection with the public offering of the Bonds.

10. **Notices.** Any notice or other communication to be given under this Purchase Contract to the Authority or the Underwriter may be given by delivering the same in writing at the addresses set forth below:

If to the Authority: Roseville Finance Authority
 311 Vernon Street
 Roseville, California 95678
 Attention: Treasurer

If to the Underwriter: RBC Capital Markets LLC
 345 California Street, Suite 2800
 San Francisco, California 94104
 Attention: Municipal Finance Department

11. **Entire Agreement.** This Purchase Contract, when accepted by the Authority, shall constitute the entire agreement among the Authority and the Underwriter and is made solely for the benefit of the Authority and the Underwriter (including the successors or assigns of any Underwriter). Except for Bond Counsel, no other person shall acquire or have any right hereunder by virtue hereof. All the Authority's representations, warranties and agreements in this Purchase Contract shall remain operative and in full force and effect, regardless of (a) any investigation made by or on behalf of the Underwriter, (b) delivery of and payment for the Bonds hereunder, and (c) any termination of this Purchase Contract.

12. **Counterparts.** This Purchase Contract may be executed by the parties hereto in separate counterparts, each of which when so executed and delivered shall be an original, but all such counterparts shall together constitute but one and the same instrument.

13. **Reliance on Representations and Warranties.** The Authority hereby acknowledges that the Underwriter, in executing this Purchase Contract and in paying for the Bonds as provided herein, is relying upon the representations and warranties of the Authority set forth herein.

14. **Severability.** In case any one or more of the provisions contained herein shall for any reason be held to be invalid, illegal or unenforceable in any respect, such invalidity, illegality or unenforceability shall not affect any other provision hereof, but this Purchase Contract shall be construed as if such invalid or illegal or unenforceable provision had never been contained herein.

15. **State of California Law Governs.** The validity, interpretation and performance of this Purchase Contract shall be governed by the laws of the State of California.

Very truly yours,

RBC CAPITAL MARKETS, LLC

By: _____
Managing Director

ACCEPTED at _____ Pacific Daylight Saving Time this _____ day of _____,
2016:

ROSEVILLE FINANCE AUTHORITY

By: _____
Authorized Signatory

EXHIBIT A
MATURITY SCHEDULE

Maturity September 1	Principal Amount	Interest Rate	Yield	Price
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† Term Bonds

EXHIBIT B

FORM OF SUPPLEMENTAL OPINION OF BOND COUNSEL

[Closing Date]

RBC Capital Markets LLC
San Francisco, California

Roseville Finance Authority
Special Tax Revenue Refunding Bonds
Series 2016
(Supplemental Opinion)

Ladies and Gentlemen:

This letter is addressed to you, as Underwriter, pursuant to Section 6(f)(2) of the Purchase Contract, dated June 24, 2016 (the "Purchase Agreement"), between you and the Roseville Finance Authority (the "Issuer"), providing for the purchase of \$_____ principal amount of its Special Tax Revenue Refunding Bonds, Series 2016 (the "Bonds"). The Bonds are being issued pursuant to an Indenture of Trust, dated as of _____ 1, 2016 (the "Indenture"), by and between the Authority and The Bank of New York Trust Company, N.A., as trustee (the "Trustee"). Capitalized terms not otherwise defined herein shall have the meanings ascribed thereto in the Indenture or, if not defined in the Indenture, in the Purchase Agreement.

We have delivered our final legal opinion (the "Bond Opinion") as bond counsel to the Issuer concerning the validity of the Bonds and certain other matters, dated the date hereof and addressed to the Issuer. You may rely on such opinion as though the same were addressed to you.

In connection with our role as bond counsel and disclosure counsel to the Issuer, we have reviewed the Purchase Agreement, the Indenture, the Tax Certificate, opinions of counsel to the Issuer and the Trustee, certificates of the Issuer, the Trustee and others, and such other documents, opinions and matters to the extent we deemed necessary to provide the opinions or conclusions set forth herein.

The opinions and conclusions expressed herein are based on an analysis of existing laws, regulations, rulings and court decisions and cover certain matters not directly addressed by such authorities. Such opinions or conclusions may be affected by actions taken or omitted or events occurring after the date hereof. We have not undertaken to determine, or to inform any person, whether any such actions are taken or omitted or events do occur or any other matters come to our attention after the date hereof. We have assumed the genuineness of all documents and signatures presented to us (whether as originals or as copies) and the due and legal execution and delivery thereof by, and validity against, any parties other than the Issuer. We have assumed, without undertaking to verify, the accuracy of the factual matters represented, warranted or certified in the documents, and of the legal conclusions contained in

the opinions, referred to in the third paragraph hereof. We have further assumed compliance with all covenants and agreements contained in such documents. In addition, we call attention to the fact that the rights and obligations under the Bonds, the Indenture, the Tax Certificate and the Purchase Agreement and their enforceability may be subject to bankruptcy, insolvency, reorganization, receivership, arrangement, fraudulent conveyance, moratorium and other laws relating to or affecting creditors' rights, to the application of equitable principles, to the exercise of judicial discretion in appropriate cases and to the limitations on legal remedies against joint powers agencies in the State of California. We express no opinion with respect to any indemnification, contribution, liquidated damages, penalty (including any remedy deemed to constitute a penalty), right of set-off arbitration, judicial reference, choice of law, choice of forum, choice of venue, non-exclusivity of remedies, waiver or severability provisions contained in the foregoing documents, nor do we express any opinions with respect to the state or quality of title to or interest in any real or personal property described in or as subject to the lien of the Indenture or the accuracy or sufficiency of the description contained therein of, or the remedies available to enforce liens on, any such property.

Based on and subject to the foregoing, and in reliance thereon, as of the date hereof, we are of the following opinions or conclusions:

(i) the Bonds are not subject to registration requirements of the Securities Act of 1933, as amended, and the Indenture is exempt from qualification pursuant to the Trust Indenture Act of 1939, as amended.

(ii) The Purchase Agreement has been duly executed and delivered by the Issuer and is a valid and binding agreement of the Issuer.

(iii) The statements contained in the Official Statement under the captions "THE BONDS," "TAX MATTERS," "APPENDIX _____ - PROPOSED FORM OF BOND COUNSEL OPINION" and "APPENDIX _____ - SUMMARY OF CERTAIN PROVISIONS OF THE INDENTURE," excluding any material that may be treated as included under such captions by cross reference or reference to other documents or sources, insofar as such statements expressly summarize certain provisions of the Indenture and the form and content of our Bond Opinion, are accurate in all material respects.

(iv) We are not passing upon and do not assume any responsibility for the accuracy (except as explicitly stated in paragraph (iii) above), completeness or fairness of any of the statements contained in the Official Statement, and make no representation that we have independently verified the accuracy, completeness or fairness of any such statements. We do not assume any responsibility for any electronic version of the Official Statement, and assume that any such version is identical in all respects to the printed version. In our capacity as bond counsel in connection with issuance of the Bonds, we participated in conferences with your representatives, your counsel, representatives of the Issuer, assessment engineers and others, during which conferences the contents of the Official Statement and related matters were discussed. Based on our participation in the above-referenced conferences (which did not extend beyond the date of the Official Statement), and in reliance thereon and on oral and written statements and representations of the Issuer and others and on the records, documents, certificates, opinions and matters herein mentioned, subject to the limitations on our role as bond counsel, we advise you as a matter of fact and not opinion that no facts came to the attention of the attorneys in our firm rendering legal services with respect to the Official Statement which caused us to believe that the Official Statement as of its date (except for any CUSIP numbers, financial, accounting, statistical or economic, engineering or demographic data

or forecasts, numbers, charts, tables, graphs, estimates, projections, assumptions or expressions of opinion, any information about or provided by the bond insurer, any information about valuation, appraisals, absorption, real estate or environmental matters, any information about book-entry, DTC ratings, rating agencies, the underwriter, underwriting, and the information contained in Appendices _____, included or referred to therein or omitted therefrom, which we expressly exclude from the scope of this paragraph and as to which we express no opinion or view) contained or contains any untrue statement of a material fact or omitted or omits to state any material fact necessary to make the statements therein, in the light of the circumstances under which they were made, not misleading. No responsibility is undertaken or view expressed with respect to any other disclosure document, materials or activity, or as to any information from another document or source referred to by or incorporated by reference in the Official Statement.

This letter is furnished by us as bond counsel and disclosure counsel to the Issuer. No attorney-client relationship has existed or exists between our firm and you in connection with the Bonds or by virtue of this letter. We disclaim any obligation to update this letter. This letter is delivered to you as Underwriter of the Bonds, is solely for your benefit as such Underwriter and is not to be used, circulated, quoted or otherwise referred to or relied upon for any other purpose or by any other person. This letter is not intended to, and may not, be relied upon by owners of Bonds or by any other party to whom it is not specifically addressed.

Very truly yours,

JONES HALL, A PROFESSIONAL LAW
CORPORATION

ESCROW AGREEMENT

This ESCROW AGREEMENT (this "Agreement") is made and entered into as of _____ 1, 2016, by and between the ROSEVILLE FINANCE AUTHORITY, a joint powers authority organized and existing under the laws of the State of California (the "Authority"), and THE BANK OF NEW YORK MELLON TRUST COMPANY, N.A., a national banking association organized and existing under the laws of the United States of America, acting as escrow bank hereunder (the "Escrow Bank") and as 2006 Trustee (as defined herein).

B A C K G R O U N D:

WHEREAS, the Authority has previously issued its Revenue Bonds, 2006 Series A (Senior Lien Bonds) in the aggregate original principal amount of \$35,870,000 and its Revenue Bonds 2006, Series B (Junior Lien Bonds) (collectively, the "2006 Bonds"), pursuant to the Marks-Roos Local Bond Pooling Act of 1985, constituting Article 4 (commencing with Section 6584) of Chapter 5, Division 7, Title 1 of the Government Code of the State of California, and pursuant to an Indenture of Trust dated as of April 1, 2006 (the "2006 Indenture"), by and between the Authority and The Bank of New York Trust Company, N.A., as trustee thereunder (the "2006 Trustee"); and

WHEREAS, the 2006 Bonds are secured by a pledge of and lien on the Revenues and the Subordinated Revenues (as such terms are defined in the 2006 Indenture) consisting primarily of amounts received from the ownership by the Authority of the \$33,120,000 City of Roseville Highland Reserve North Community Facilities District No. 1 Special Tax Bonds, Series 2006 and the \$6,245,000 Woodcreek East Community Facilities District No. 1 Special Tax Bonds, Series 2006 (collectively, the "CFD Bonds"); and

WHEREAS, the 2006 Bonds maturing on or after September 1, 2017 are subject to optional redemption by the Authority on any date on or after September 1, 2016, as a whole or in part, at a redemption price equal to 100% of the principal amount to be redeemed, plus accrued interest to the redemption date, without premium; and

WHEREAS, in order to provide funds to refinance the 2006 Bonds and thereby realize interest rate savings to the Authority, the Board of Directors of the Authority has determined to authorize the issuance and sale of its Special Tax Revenue Refunding Bonds, Series 2016 (the "2016 Bonds"), which shall be secured by a pledge of and lien on the revenues and other amounts described in the Indenture, dated as of _____ 1, 2016 (the "Indenture"), by and between the Authority and The Bank of New York Mellon Trust Company, N.A., as trustee thereunder (the "2016 Trustee"); and

WHEREAS, the Refunding Bonds are authorized to be issued under the provisions of Articles 10 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code, commencing with Section 53570 of said Code (the "Refunding Bond Law").

NOW, THEREFORE, in consideration of the above premises and of the mutual promises and covenants herein contained and for other valuable consideration, the parties hereto do hereby agree as follows:

Section 1. Appointment of Escrow Bank. The Authority hereby appoints the Escrow Bank as escrow bank for all purposes of this Agreement and in accordance with the terms and provisions of this Agreement, and the Escrow Bank hereby accepts such appointment.

Section 2. Establishment of Escrow Fund. There is hereby created the Escrow Fund to be held by the Escrow Bank, separate and apart from any funds or accounts of the Escrow Bank or the Authority, as an irrevocable escrow securing payment of principal of and interest on the 2006 Bonds as hereinafter set forth.

All cash and Defeasance Securities in the Escrow Fund are hereby irrevocably pledged as a special fund for the payment of the 2006 Bonds in accordance with the provisions of the 2006 Indenture. If at any time the Escrow Bank receives actual knowledge that the cash and Defeasance Securities in the Escrow Fund will not be sufficient to make any payment required by Section 4 hereof, the Escrow Bank will notify the Authority of such fact and the Authority will immediately cure such deficiency from any source of legally available funds.

As used herein, the term "Defeasance Securities" means the securities set forth on Exhibit A hereto and hereby incorporated herein.

Section 3. Deposit into Escrow Fund; Investment of Amounts.

(a) Concurrently with delivery of the 2016 Bonds and the purchase of the 2016 Bonds as set forth in the Indenture:

(i) the Authority will cause to be transferred to the Escrow Bank (from proceeds of the 2016 Bonds) for deposit into the Escrow Fund, the amount of \$_____ ; and

(ii) the 2006 Trustee will cause to be transferred to the Escrow Bank (from amounts it holds with respect to the 2006 Bonds under the 2006 Indenture) for deposit into the Escrow Fund, the amount of \$_____ .

(b) With respect to the aggregate \$_____ deposited into the Escrow Fund, the Escrow Bank will:

(i) invest \$_____ of the moneys deposited in the Defeasance Securities described in Exhibit A hereto, and

(ii) hold the remaining \$_____ in cash uninvested.

The Defeasance Securities and cash will be deposited with and held by the Escrow Bank in the Escrow Fund solely for the uses and purposes set forth herein. The Escrow Bank will have no lien upon or right of set off against the Defeasance Securities and cash at any time on deposit in the Escrow Fund.

Section 4. Instructions as to Application of Deposit. The total amount of Defeasance Securities and cash deposited in the Escrow Fund pursuant to Section 3 will be applied by the Escrow Bank to the redemption of the 2006 Bonds on the date and in the amounts set forth on Exhibit B hereto. Any amounts remaining in the Escrow Fund following the full prepayment and redemption of the 2006 Bonds will be transferred by the Escrow Bank to

2016 Trustee, for deposit to the Revenue Fund established and held by the 2016 Trustee under the Indenture.

Section 5. Investment of Any Remaining Moneys. [At the written direction of the Authority, the Escrow Bank will invest and reinvest any proceeds received from any of the Defeasance Securities acquired pursuant to Section 3 (the “Original Defeasance Securities”), and the cash originally deposited into the Escrow Fund, for a period ending not later than the date on which such proceeds or cash are required for the purposes specified in Section 4 and maturing in an amount at least equal to their purchase price, in Defeasance Securities; provided, however, that with respect to any such reinvestment, such written directions of the Authority will be accompanied by an opinion of nationally recognized bond counsel (“Bond Counsel”) that investment in accordance with such directions will not affect, for Federal income tax purposes, the exemption from Federal income taxes of the interest on the 2006 Bonds or with respect to the 2016 Bonds. In the event any such investment or reinvestment is required to be made in United States Treasury Securities - State and Local Government Series, the Authority will at its cost cause to be prepared all necessary subscription forms therefor in sufficient time to enable the Escrow Bank to acquire such securities. In the event that the Authority will fail to file any such written directions with the Escrow Bank concerning the reinvestment of any such proceeds, such proceeds will be held uninvested by the Escrow Bank.]

Section 6. [Reserved]

Section 7. Election to Redeem; Notices. The Authority hereby irrevocably elects to redeem the 2006 Bonds on September 1, 2016, all in accordance with the provisions of the 2006 Indenture. The Escrow Bank is hereby directed to give notice of redemption of the 2006 Bonds in accordance with the 2006 Indenture, at the expense of the Authority. In addition, the Escrow Bank is directed to give a Notice of Defeasance of the 2006 Bonds on the issuance date of the 2016 Bonds, to the Municipal Securities Rulemaking Board Electronic Municipal Market Access (EMMA) system accessible at the emma.msrb.org website.

Section 8. Application of Certain Terms of Prior Agreement. All of the terms of the 2006 Indenture relating to the making of payments of principal of and interest on the 2006 Bonds are incorporated in this Agreement as if set forth in full herein.

Section 9. Compensation to Escrow Bank. From proceeds of the 2016 Bonds or other lawfully available sources, the Authority will pay the Escrow Bank full compensation for its duties under this Agreement, including out-of-pocket costs such as publication costs, prepayment expenses, legal fees and other costs and expenses relating hereto and, in addition, all fees, costs and expenses relating to the purchase of any Defeasance Securities after the date hereof. Under no circumstances will amounts deposited in or credited to the Escrow Fund be deemed to be available for said purposes.

Section 10. Immunities and Liabilities of Escrow Bank.

(i) The Escrow Bank undertakes to perform only such duties as are expressly and specifically set forth in this Agreement and no implied duties or obligations will be read into this Agreement against the Escrow Bank.

(ii) The Escrow Bank will not have any liability hereunder except to the extent of its own gross negligence or willful misconduct. The Escrow Bank will have no duty or responsibility

under this Agreement in the case of any default in the performance of the covenants or agreements contained in the 2006 Indenture.

(iii) The Escrow Bank may consult with counsel of its own choice (which may be counsel to the Authority) and the opinion of such counsel will be full and complete authorization to take or suffer in good faith any action in accordance with such opinion of counsel.

(iv) The Escrow Bank will not be responsible for any of the recitals or representations contained herein.

(v) The Escrow Bank will not be liable for the accuracy of any calculations provided as to the sufficiency of the moneys or Defeasance Securities deposited with it to pay the principal of, and interest, or premiums, if any, on the 2006 Bonds.

(vi) The Escrow Bank will not be liable for any action or omission of the Authority under this Agreement or the 2006 Indenture.

(vii) Whenever in the administration of this Agreement the Escrow Bank deems it necessary or desirable that a matter be proved or established prior to taking or suffering any action hereunder, such matter (unless other evidence in respect thereof be herein specifically prescribed) may, in the absence of negligence or willful misconduct on the part of the Escrow Bank, be deemed to be conclusively proved and established by a certificate of an authorized representative of the Authority, and such certificate will, in the absence of negligence or willful misconduct on the part of the Escrow Bank, be full warrant to the Escrow Bank for any action taken or suffered by it under the provisions of this Agreement upon the faith thereof.

(viii) The Escrow Bank may conclusively rely, as to the truth and accuracy of the statements and correctness of the opinions and the calculations provided, and will be protected and indemnified, in acting, or refraining from acting, upon any written notice, instruction, request, certificate, document or opinion furnished to the Escrow Bank signed or presented by the proper party, and it need not investigate any fact or matter stated in such notice, instruction, request, certificate or opinion.

(ix) The Escrow Bank may at any time resign by giving written notice to the Authority of such resignation. The Authority will promptly appoint a successor Escrow Bank by the resignation date. Resignation of the Escrow Bank will be effective upon acceptance of appointment by a successor Escrow Bank. If the Authority does not promptly appoint a successor, the Escrow Bank may petition any court of competent jurisdiction for the appointment of a successor Escrow Bank, which court may thereupon, after such notice, if any, as it may deem proper and prescribe and as may be required by law, appoint a successor Escrow Bank. After receiving a notice of resignation of an Escrow Bank, the Authority may appoint a temporary Escrow Bank to replace the resigning Escrow Bank until the Authority appoints a successor Escrow Bank. Any such temporary Escrow Bank so appointed by the Authority will immediately and without further act be superseded by the successor Escrow Bank so appointed.

(x) The Authority covenants to indemnify and hold harmless the Escrow Bank against any loss, liability or expense, including legal fees, in connection with the performance of any of its duties hereunder, except the Escrow Bank will not be indemnified against any loss, liability or expense resulting from its gross negligence or willful misconduct.

Section 11. Amendment. This Agreement may be amended by the parties hereto, (i) without the consent of the owners of the 2006 Bonds, but only if such amendment is made (a) to cure, correct or supplement any ambiguous or defective provision contained herein, (b) to pledge additional security to the payment of the principal, premium, if any, and interest represented by the 2006 Bonds, or (c) to deposit additional monies for the purposes of this Agreement, or (ii) with the consent of 100% of the owners of the 2006 Bonds outstanding, and only if there will have been filed with the Authority and the Escrow Bank a written opinion of Bond Counsel stating that any such amendment will not materially adversely affect the interests of the owners of the 2006 Bonds, and that any such amendment will not cause interest on the 2006 Bonds to become includable in the gross income of the owners thereof for federal income tax purposes.

Section 12. Execution in Counterparts. This Agreement may be executed in several counterparts, each of which will be an original and all of which will constitute but one and the same instrument.

Section 13. Applicable Law. This Agreement will be governed by and construed in accordance with the laws of the State of California.

Section 14. Severability. In the event any provision of this Agreement will be held invalid or unenforceable by any court of competent jurisdiction, such holding will not invalidate or render unenforceable any other provision hereof.

IN WITNESS WHEREOF, the parties hereto have each caused this Agreement to be executed by their duly authorized officers all as of the date first above written.

ROSEVILLE FINANCE AUTHORITY

By: _____
ROB JENSEN
Executive Director

ATTEST:

By: _____
SONIA OROZCO
Authority Secretary

APPROVED AS TO FORM:

By: _____
ROBERT R. SCHMITT
Authority Counsel

APPROVED AS TO SUBSTANCE:

By: _____
JAY PANZICA
Authority Treasurer

**THE BANK OF NEW YORK MELLON
TRUST COMPANY, N.A., as Trustee**

By: _____
Authorized Officer

**THE BANK OF NEW YORK MELLON
TRUST COMPANY, N.A.,**
as Escrow Bank and 2006 Trustee

By: _____
Authorized Officer

EXHIBIT A

FEDERAL SECURITIES

Type of Security	Maturity Date	Par Amount	Rate	Yield	Price	Cost	Accrued Interest	Total Cost

Purchase Date	Cost of Securities	Cash Deposit	Total Escrow Cost

EXHIBIT B
SCHEDULE OF PAYMENT AND REDEMPTION

Period Ending	Principal	Interest	Principal Redeemed	Total
9/1/16				

INDENTURE OF TRUST

by and between

ROSEVILLE FINANCE AUTHORITY

and

**THE BANK OF NEW YORK MELLON TRUST COMPANY, N.A., as
Trustee**

Dated as of _____ 1, 2016

\$ _____

**ROSEVILLE FINANCE AUTHORITY
SPECIAL TAX REVENUE REFUNDING BONDS, SERIES 2016**

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INDENTURE OF TRUST

THIS INDENTURE OF TRUST (this "Indenture"), dated as of _____ 1, 2016, is by and between the ROSEVILLE FINANCE AUTHORITY, a joint powers authority organized and existing under the laws of the State of California (the "Authority") and THE BANK OF NEW YORK MELLON TRUST COMPANY, N.A., a national banking association organized and existing under the laws of the United States of America (the "Trustee").

WITNESSETH:

WHEREAS, the Authority has previously issued its Revenue Bonds, 2006 Series A (Senior Lien Bonds) in the aggregate original principal amount of \$35,870,000 and its Revenue Bonds 2006, Series B (Junior Lien Bonds) (collectively, the "2006 Bonds"), pursuant to the Marks-Roos Local Bond Pooling Act of 1985, constituting Article 4 (commencing with Section 6584) of Chapter 5, Division 7, Title 1 of the Government Code of the State of California, and pursuant to an Indenture of Trust dated as of April 1, 2006 (the "2006 Indenture"), by and between the Authority and The Bank of New York Trust Company, N.A., as trustee thereunder (the "2006 Trustee"); and

WHEREAS, the 2006 Bonds are secured by a pledge of and lien on the Revenues and the Subordinated Revenues (as such terms are defined in the 2006 Indenture) consisting primarily of amounts received from the ownership by the Authority of the \$33,120,000 original principal amount of City of Roseville Highland Reserve North Community Facilities District No. 1 Special Tax Bonds, Series 2006 and the \$6,245,000 original principal amount of Woodcreek East Community Facilities District No. 1 Special Tax Bonds, Series 2006 (collectively, the "CFD Bonds"); and

WHEREAS, the 2006 Bonds maturing on or after September 1, 2017 are subject to optional redemption by the Authority on any date on or after September 1, 2016, as a whole or in part, at a redemption price equal to 100% of the principal amount to be redeemed, plus accrued interest to the redemption date, without premium; and

WHEREAS, in order to provide funds to refinance the 2006 Bonds and thereby realize interest rate savings to the Authority, the Board of Directors of the Authority has determined to authorize the issuance and sale of its Special Tax Revenue Refunding Bonds, Series 2016 (the "2016 Bonds"), which shall be secured by a pledge of and lien on the revenues and other amounts described in this Indenture; and

WHEREAS, the Refunding Bonds are authorized to be issued under the provisions of Articles 10 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code, commencing with Section 53570 of said Code (the "Refunding Bond Law"); and

WHEREAS, the Authority hereby certifies that all acts and proceedings required by law necessary to make the 2016 Bonds, when executed by the Authority, authenticated and delivered by the Trustee and duly issued, the valid, binding and legal special obligations of the Authority, and to constitute this Indenture a valid and binding agreement for the uses and purposes herein set forth in accordance with its terms, have been done and taken, and the execution and delivery of this Indenture have been in all respects duly authorized.

NOW, THEREFORE, THIS INDENTURE WITNESSETH, that in order to secure the payment of the principal of and the interest and premium (if any) on all Bonds at any time issued and Outstanding under this Indenture, according to their tenor, and to secure the performance and observance of all the covenants and conditions therein and herein set forth, and to declare the terms and conditions upon and subject to which the Bonds are to be issued and received, and in consideration of the premises and of the mutual covenants herein contained and of the purchase and acceptance of the Bonds by the Owners thereof, and for other valuable considerations, the receipt and sufficiency of which is hereby acknowledged, the Authority does hereby covenant and agree with the Trustee, for the benefit of the respective Owners from time to time of the Bonds, as follows:

ARTICLE I

DEFINITIONS; AUTHORIZATION AND PURPOSE OF BONDS; EQUAL SECURITY

Section 1.1. Definitions. Unless the context otherwise requires, the terms defined in this Section shall for all purposes of this Indenture and of any Supplemental Indenture and of the Bonds and of any certificate, opinion, request or other documents herein mentioned have the meanings herein specified.

“Additional Bonds” has the meaning given that term in Section 5.6.

“Annual Debt Service” means, for each Bond Year, the sum of (a) the interest payable on the Outstanding Bonds in such Bond Year, and (b) the principal amount of the Outstanding Bonds scheduled to be paid in such Bond Year.

“Authority” means the Roseville Finance Authority, a joint powers authority established under Sections 6500 et seq. of the California Government Code and a Joint Exercise of Powers Agreement originally entered into as of July 1, 1989 and amended and restated as of July 1, 1997, by and between the City and the Redevelopment Agency of the City of Roseville.

“Authority Administrative Expenses” means the fees and expenses of the Trustee, including legal fees and expenses (including fees and expenses of outside counsel and the allocated costs of internal attorneys) and the out of pocket expenses incurred by the Trustee, the City and the Authority in carrying out their duties hereunder including payment of amounts payable to the United States pursuant to Sections 5.7 and 5.8 and any costs associated with the increase or decrease in the balance held in the Reserve Funds (whether in connection with the prepayment of Special Taxes or otherwise).

“Authorized Officer” means (i) with respect to the Authority, the Chairman, Executive Director, Secretary or Treasurer of the Authority or any other Person authorized by the Authority to perform an act or sign a document on behalf of the Authority for purposes of this Indenture and (ii) with respect to the City, its Chief Financial Officer, Treasurer, City Manager, Finance Director, City Attorney or City Clerk, or any other Person authorized by the City to perform an act or sign a document on behalf of the City for purposes of this Indenture.

“Beneficial Owners” means the actual purchasers of the Bonds whose ownership interests are recorded on the books of the DTC Participants.

“Bond Counsel” means Jones Hall, A Professional Law Corporation, and its successors; or any other attorney at law or firm of attorneys selected by the Authority, of nationally recognized standing in matters pertaining to the federal tax exemption of interest on bonds issued by states and political subdivisions, and duly admitted to practice law before the highest court of any state of the United States of America.

“Bond Register” means the registration books for the Bonds maintained by the Trustee in accordance with Section 2.8.

“Bonds” means the 2016 Bonds and any Additional Bonds issued hereunder.

“Bond Year” means each twelve month period extending from September 2 in one calendar year to September 1 of the succeeding calendar year, except in the case of the initial Bond Year which shall be the period from the Closing Date to September 1, 2016, both dates inclusive.

“Business Day” means a day which is not a Saturday or Sunday or a day of the year on which the New York Stock Exchange or banks in New York, New York or San Francisco, California, or where the Trust Office is located, are not required or authorized to remain closed.

“Certificate of the Authority” means a certificate in writing signed by an Authorized Officer of the Authority.

“CFD Act” means the Mello-Roos Community Facilities Act of 1982, constituting Chapter 2.5 (commencing with Section 53311), Article 1 of Division 2 of Title 5 of the Government Code of that State of California, as amended from time to time.

“CFD Bonds” means, collectively, (i) the \$33,120,000 original aggregate principal amount of City of Roseville Highland Reserve North Community Facilities District No. 1 Special Tax Bonds, Series 2006, (ii) the \$6,245,000 original aggregate principal amount of Woodcreek East Community Facilities District No. 1 Special Tax Bonds, Series 2006 and (iii) any Parity Bonds (as defined in the CFD Bond Indentures) purchased by the Authority pursuant to Section 5.6.

“CFD Bonds Trustee” means The Bank of New York Mellon Trust Company, N.A., or any successor trustee under the CFD Bond Indentures.

“CFD Bond Indentures” means, collectively, (i) the Bond Indenture, dated as of April 1, 2006, by and between the City, for and on behalf of Woodcreek East CFD, and the CFD Bonds Trustee, and (ii) the Bond Indenture, dated as of April 1, 2006, by and between the City, for and on behalf of Highland Reserve North CFD, and the CFD Bonds Trustee.

“City” means the City of Roseville, a municipal corporation and charter city duly established and existing under the Constitution and laws of the State of California.

“Closing Date” means the date upon which there is an initial physical delivery of Bonds in exchange for the amount representing the purchase price of the Bonds by the Original Purchaser, for the 2016 Bonds being _____, 2016.

“Code” means the Internal Revenue Code of 1986 as in effect on the Closing Date or (except as otherwise referenced herein) as it may be amended to apply to obligations issued on the Closing Date, together with applicable temporary and final regulations promulgated, and applicable official guidance published, under the Code.

“Community Facilities Districts” or “CFDs” means, collectively, Woodcreek East CFD and Highland Reserve North CFD.

“Costs of Issuance” means the costs and expenses incurred in connection with the issuance and sale of the Bonds, including the acceptance and initial annual fees and expenses

(including legal fees and expenses) of the Trustee, legal fees and expenses, costs of printing the Bonds and the preliminary and final Official Statements, fees of financial consultants, and other fees and expenses set forth in a Request of the Authority.

“Costs of Issuance Fund” means the fund by that name established in Section 3.4.

“Dated Date” means the Closing Date.

“DTC” means The Depository Trust Company, New York, New York, and its successors and assigns.

“DTC Participants” means securities brokers and dealers, banks, trust companies, clearing corporations and other organizations maintaining accounts with DTC.

“Escrow Agreement” means the Escrow Agreement dated as of _____ 1, 2016 by and between the Authority and the Escrow Bank.

“Escrow Bank” means The Bank of New York Mellon Trust Company, N. A.

“Event of Default” means any of the events described in Section 8.1.

“Fair Market Value” means, with respect to any investment, the price at which a willing buyer would purchase such investment from a willing seller in a bona fide, arm's length transaction (determined as of the date the contract to purchase or sell the investment becomes binding) if the investment is traded on an established securities market (within the meaning of Section 1273 of the Code) and, otherwise, the term “Fair Market Value” means the acquisition price in a bona fide arm's length transaction (as described above) if (i) the investment is a certificate of deposit that is acquired in accordance with applicable regulations under the Code, (ii) the investment is an agreement with specifically negotiated withdrawal or reinvestment provisions and a specifically negotiated interest rate (for example, a guaranteed investment contract, a forward supply contract or other investment agreement) that is acquired in accordance with applicable regulations under the Code, (iii) the investment is a United States Treasury Security - State and Local Government Series that is acquired in accordance with applicable regulations of the United States Bureau of Public Debt, or (iv) any commingled investment fund in which the Authority and related parties do not own more than a ten percent (10%) beneficial interest therein if the return paid by the fund is without regard to the source of the investment.

“Federal Securities” means any of the following:

- (a) Cash (insured at all times by the Federal Deposit Insurance Corporation).
- (b) Obligations of, or obligations guaranteed as to principal and interest by, the U.S. or any agency or instrumentality thereof, when such obligations are backed by the full faith and credit of the U.S. including:
 - U.S. treasury obligations
 - All direct or fully guaranteed obligations
 - Farmers Home Administration

- General Services Administration
- Guaranteed Title XI financing
- Government National Mortgage Association (GNMA)
- State and Local Government Series

“Fiscal Year” means any twelve month period extending from July 1 in one calendar year to June 30 of the succeeding calendar year, both dates inclusive, or any other twelve month period selected and designated by the Authority as its official fiscal year period.

“Highland Reserve North CFD” means the City of Roseville Highland Reserve North Community Facilities District No. 1, a community facilities district formed pursuant to the CFD Act.

“Highland Reserve North CFD Bonds” means the \$33,120,000 City of Roseville Highland Reserve North Community Facilities District No. 1 Special Tax Bonds, Series 2006.

“Indenture” means this Indenture of Trust, as originally executed or as it may from time to time be supplemented, modified or amended by any Supplemental Indenture pursuant to the provisions.

“Independent Accountant” means any accountant or firm of such accountants appointed and paid by the Authority, and who, or each of whom –

- (a) is in fact independent and not under domination of the Authority or the City;
- (b) does not have any substantial interest, direct or indirect, in the Authority or the City; and
- (c) is not an officer or employee of the Authority, or the City, but who may be regularly retained to make annual or other audits of the books of or reports to the Authority or the City.

“Independent Financial Consultant” means any financial consultant or firm of such consultants appointed and paid by the Authority, and who, or each of whom –

- (a) is in fact independent and not under domination of the Authority or the City;
- (b) does not have any substantial interest, direct or indirect, in the Authority or the City; and
- (c) is not an officer or employee of the Authority or the City, but who may be regularly retained to make annual or other audits of the books of or reports to the Authority or the City.

“Information Services” means “EMMA” or the “Electronic Municipal Market Access” system of the Municipal Securities Rulemaking Board; or, in accordance with then-current guidelines of the Securities and Exchange Commission, such other services providing

information with respect to called bonds as the City may designate in an Officer's Certificate delivered to the Fiscal Agent.

"Interest Account" means the account by that name established and held by the Trustee pursuant to Section 4.2(a).

"Interest Payment Date" means March 1 and September 1 in each year, beginning September 1, 2016, and continuing thereafter so long as any Bonds remain Outstanding.

"Maximum Annual Debt Service" means the largest Annual Debt Service for any Bond Year after the calculation is made through the final maturity date of any Outstanding Bonds.

"Original Purchaser" means the first purchaser of a Series of Bonds and, with respect to the 2016 Bonds, RBC Capital Markets, LLC.

"Outstanding", when used as of any particular time with reference to Bonds, means (subject to the provisions of Section 9.7) all Bonds theretofore executed and issued by the Authority and authenticated and delivered by the Trustee under this Indenture except –

- (a) Bonds theretofore cancelled by the Trustee or surrendered to the Trustee for cancellation pursuant to Section 2.9;

- (b) Bonds paid or deemed to have been paid within the meaning of Section 9.3 or Bonds called for redemption for which funds have been provided as described in Section 2.2(g); and

- (c) Bonds in lieu of or in substitution for which other Bonds shall have been executed, issued and delivered pursuant to this Indenture or any Supplemental Indenture.

"Owner" or "Bond Owner", when used with respect to any Bond, means the person in whose name the ownership of such Bond shall be registered on the Bond Register.

"Permitted Investments" means any of the following which at the time of investment are legal investments under the laws of the State of California for the moneys proposed to be invested therein, but only to the extent that the same are acquired at Fair Market Value:

- (a) Federal Securities;

- (b) any of the following direct or indirect obligations of the following agencies of the United States of America: (i) direct obligations of the ExportImport Bank; (ii) certificates of beneficial ownership issued by the Farmers Home Administration; (iii) participation certificates issued by the General Services Administration; (iv) mortgage-backed bonds or pass-through obligations issued and guaranteed by the Government National Mortgage Association, the Federal National Mortgage Association, the Federal Home Loan Mortgage Corporation or the Federal Housing Administration; (v) project notes issued by the United States Department of Housing and Urban Development; and (vi) public housing notes and bonds guaranteed by the United States of America;

(c) interest-bearing demand or time deposits (including certificates of deposit) or deposit accounts in federal or state chartered savings and loan associations or in federal or State of California banks (including the Trustee and its affiliates), provided that (i) the unsecured short-term obligations of such commercial bank or savings and loan association shall be rated in the highest short-term rating category by any rating agency or (ii) such demand or time deposits shall be fully insured by the Federal Deposit Insurance Corporation;

(d) commercial paper rated at the time of purchase in the highest shortterm rating category by any rating agency , issued by corporations which are organized and operating within the United States of America, and which matures not more than 180 days following the date of investment therein;

(e) bankers acceptances, consisting of bills of exchange or time drafts drawn on and accepted by a commercial bank whose short-term obligations are rated in the highest short-term rating category by any rating agency or whose long-term obligations are rated A or better by each such rating agency, which mature not more than 270 days following the date of investment therein;

(f) obligations the interest on which is excludable from gross income pursuant to Section 103 of the Tax Code and which are either (a) rated A or better by any rating agency or (b) fully secured as to the payment of principal and interest by Federal Securities;

(g) obligations issued by any corporation organized and operating within the United States of America having assets in excess of Five Hundred Million Dollars (\$500,000,000), which obligations are rated A or better by any rating agency;

(h) money market funds (including money market funds for which the Trustee, its affiliates or subsidiaries provide investment advisory or other management services) which invest in Federal Securities or which are rated in the highest rating category by any rating agency;

(i) any investment agreement, repurchase agreement or other investment instrument which represents the general unsecured obligations of a bank, investment banking firm or other financial institution whose long-term obligations are rated at the time of delivery of the investment agreement, repurchase agreement or other investment instrument A or better by any rating agency;

(j) shares in a California common law trust established pursuant to Title 1, Division 7, Chapter 5 of the Government Code of the State of California that invests exclusively in investments permitted by Section 53601 of Title 5, Division 2, Chapter 4 of the California Government Code, as it may be amended (California Asset Management Program); and

(k) the Local Agency Investment Fund of the State, created pursuant to Section 16429.1 of the California Government Code.

“Principal Account” means the account by that name established and held by the Trustee pursuant to Section 4.2(a).

“Proportionate Share” means, as of the date of calculation for any issue of the CFD Bonds, the ratio derived by dividing the outstanding principal amount of such CFD Bonds by the aggregate principal amount of the Outstanding CFD Bonds. Highland Reserve North CFD's initial Proportionate Share is 83.14% and Woodcreek East CFD's initial Proportionate Share is 16.86%. Any reallocation of the Proportionate Share between Highland Reserve North CFD and Woodcreek East CFD is intended to occur only in the event of the optional or extraordinary redemption from prepayments, as applicable, of one or both issuances of the CFD Bonds in accordance with the CFD Bond Indentures.

“Qualified Reserve Fund Credit Instrument” means an irrevocable standby or direct-pay letter of credit or surety bond issued by a commercial bank or insurance company and deposited with the Trustee pursuant to Section 4.3(a), provided that all of the following requirements are met: (a) the long-term credit rating of such bank or insurance company is rated at the time of issuance in a "AA" Rating Category or higher by S&P or Moody's; (b) such letter of credit or surety bond has a term of at least twelve (12) months; and (c) the Trustee is authorized pursuant to the terms of such letter of credit or surety bond to draw thereunder an amount equal to any deficiencies which may exist from time to time in the Interest Account or the Principal Account, as applicable, for the purpose of making payments required pursuant to Section 4.3(a), as applicable.

“Rating Agency” or “Rating Agencies” means, as of any date, (i) Moody's, if Moody's then maintains a rating on the Bonds, and (ii) S&P, if S&P then maintains a rating on the Bonds.

“Rebate Fund” means the fund by that name established pursuant to Section 5.8.

“Record Date” means, with respect to any Interest Payment Date, the fifteenth calendar day of the month preceding the month in which such Interest Payment Date occurs, whether or not such day is a Business Day.

“Redemption Fund” means the fund by that name established with respect to any CFD Bond.

“Refunding Bond Law” means Articles 10 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code, commencing with Section 53570 of said Code.

“Request of the Authority” means a written request executed by an Authorized Officer of the Authority.

“Request of the City” means a written certificate or request executed by an Authorized Officer of the City.

“Representation Letter” means the representation letter dated as of the Closing Date for a Series among the Authority, the Trustee and DTC.

“Reserve Fund” means the fund by that name established in Section 3.5.

“Reserve Requirement” means an amount equal to the lesser of (i) Maximum Annual Debt Service on the Outstanding Bonds, (ii) 125% of average Annual Debt Service, or (iii) ten percent (10%) of the total proceeds of the Bonds deposited under Section 3.2 hereof.

“Responsible Officer” means any officer of the Trustee assigned to administer the Trustee’s duties under this Indenture.

“Revenue Fund” means the fund by that name established and held by the Trustee pursuant to Sections 3.3 and 4.2.

“Revenues” means: (a) all amounts received from the CFD Bonds; (b) any proceeds of the Bonds originally deposited with the Trustee and all moneys deposited and held from time to time by the Trustee in the funds and accounts established hereunder with respect to the Bonds (other than the Rebate Fund and the Surplus Fund); and (c) investment income with respect to any moneys held by the Trustee in the funds and accounts established hereunder with respect to the Bonds (other than investment income on moneys held in the Rebate Fund and the Surplus Fund).

“Securities Depositories” means DTC, and, in accordance with then current guidelines of the Securities and Exchange Commission, such other securities depositories as the Authority designates in a written notice filed with the Trustee.

“Series” means a series of Bonds issued hereunder.

“State” means the State of California.

“Supplemental Indenture” means any indenture, agreement or other instrument hereafter duly executed by the Authority in accordance with the provisions of Article VII.

“Surplus Fund” means the fund by that name established pursuant to Section 3.8.

“Term 2016 Bonds” means the 2016 Bonds subject to mandatory sinking fund redemption described herein.

“Trust Office” means the office of the Trustee at which at any particular time its corporate trust business shall be principally administered, which office at the date hereof is located in San Francisco, California, or such other place as designated by the Trustee except that with respect to presentation of Bonds for payment or for registration of transfer and exchange, such term shall mean the office or agency of the Trustee at which, at any particular time, its corporate trust agency business shall be conducted.

“Trustee” means The Bank of New York Mellon Trust Company, N.A., and its successors and assigns, and any other corporation or association which may at any time be substituted in its place as provided in Article VI.

“2006 Bonds” means, collectively, the Authority’s Revenue Bonds, 2006 Series A (Senior Lien Bonds) issued in the original aggregate principal amount of \$35,870,000 and the Authority’s Revenue Bonds 2006, Series B (Junior Lien Bonds) issued in the original aggregate principal amount of \$4,645,000, which were each issued pursuant to the Marks-Roos Local Bond Pooling Act of 1985, constituting Article 4 (commencing with Section 6584) of Chapter 5, Division 7, Title 1 of the Government Code of the State of California.

“2006 Indenture” means the Indenture of Trust, dated as of April 1, 2006, by and between the Authority and the 2006 Trustee.

“2006 Trustee” means The Bank of New York Mellon Trust Company, N.A., as trustee of the 2006 Bonds.

“2016 Bonds” means the \$_____ Roseville Finance Authority Special Tax Revenue Refunding Bonds, Series 2016 issued hereunder.

“Woodcreek East CFD” means City of Roseville Woodcreek East Community Facilities District No. 1, a community facilities district formed pursuant to the CFD Act.

“Woodcreek East CFD Bonds” means the \$6,245,000 original aggregate principal amount of Woodcreek East Community Facilities District No. 1 Special Tax Bonds, Series 2006.

Section 1.2. Rules of Construction. All references in this Indenture to “Articles,” “Sections,” and other subdivisions are to the corresponding Articles, Sections or subdivisions of this Indenture; and the words “herein,” “hereof,” “hereunder,” and other words of similar import refer to this Indenture as a whole and not to any particular Article, Section or subdivision hereof.

Section 1.3. Authorization and Purpose of Bonds. The Authority has reviewed all proceedings heretofore taken relative to the authorization of the Bonds and has found, as a result of such review, and hereby finds and determines, that all things, conditions and acts required by law to exist, happen and/or be performed precedent to and in the issuance of the Bonds do exist, have happened and have been performed in due time, form and manner as required by law, and the Authority is now authorized under the Refunding Bond Law and each and every requirement of law, to issue the Bonds in the manner and form provided in this Indenture. Accordingly, the Authority hereby authorizes the issuance of the Bonds pursuant to the Refunding Bond Law and this Indenture for the purpose of refunding the 2006 Bonds and pay Costs of Issuance for the Bonds.

Section 1.4. Equal Security. In consideration of the acceptance of the Bonds by the Owners thereof, this Indenture shall be deemed to be and shall constitute a contract between the Authority and the Owners from time to time of the Bonds; and the covenants and agreements herein set forth to be performed on behalf of the Authority shall be for the equal and proportionate benefit, security and protection of all Owners of the Bonds without preference, priority or distinction as to security or otherwise of any of the Bonds over other Bonds by reason of the number or date thereof or the time of sale, execution or delivery thereof, or otherwise for any cause whatsoever, except as expressly provided therein or herein.

ARTICLE II

ISSUANCE OF BONDS

Section 2.1. Terms of Bonds. The Bonds authorized to be issued by the Authority under and subject to the Refunding Bond Law and the terms of this Indenture shall be dated the Closing Date and be designated as follows the "Roseville Finance Authority Special Tax Revenue Refunding Bonds, Series 2016," which shall be issued in the original aggregate principal amount of _____ dollars (\$_____).

The Bonds shall be issued in fully registered form without coupons in denominations of \$5,000 or any integral multiple thereof, so long as no Bond shall have more than one maturity date. The Bonds shall mature on September 1 in each of the years and in the amounts, and shall bear interest (calculated on the basis of a 360-day year of twelve 30-day months) at the rates, as follows:

<u>Maturity Date</u> <u>(September 1)</u>	<u>Principal Amount</u>	<u>Interest Rate Per Annum</u>
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Total	\$
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Interest on the Bonds shall be payable on each Interest Payment Date to the person whose name appears on the Bond Register as the Owner thereof as of the Record Date immediately preceding each such Interest Payment Date, such interest to be paid by check of the Trustee mailed on such Interest Payment Date by first class mail, postage prepaid, to the Owner at the address of such Owner as it appears on the Bond Register or by wire transfer to an account in the United States of America made on such Interest Payment Date upon instructions of any Owner of \$1,000,000 or more in aggregate principal amount of Bonds of a Series provided to the Trustee in writing at least five (5) Business Days before the Record Date for such Interest Payment Date.

Principal of and premium (if any) on any Bond shall be paid upon presentation and surrender thereof, at maturity or the prior redemption thereof, at the Trust Office of the Trustee. The principal of and interest and premium (if any) on the Bonds shall be payable in lawful money of the United States of America.

Each Bond shall bear interest from the Interest Payment Date next preceding the date of authentication thereof, unless (a) it is authenticated after a Record Date and on or before the following Interest Payment Date, in which event it shall bear interest from such Interest Payment Date; or (b) it is authenticated on or before August 15, 2016, in which event it shall bear interest from the Closing Date; provided, however, that if, as of the date of authentication of any Bond, interest thereon is in default, such Bond shall bear interest from the Interest Payment Date to which interest has previously been paid or made available for payment thereon, or from the Closing Date if no interest has been paid or made available for payment.

Section 2.2. Redemption of 2016 Bonds.

(a) Optional Redemption. The 2016 Bonds may be redeemed at the option of the Authority, from any source of available funds (excluding from proceeds of early redemption of CFD Bonds from prepayment of Special Taxes within a CFD in connection with CFD Bonds, which redemptions shall proceed in accordance with subsection (b) below), prior to maturity on any Interest Payment Date as a whole, or in part from maturities corresponding proportionately to the maturities of the CFD Bonds simultaneously redeemed, if any redemption of CFD Bonds is being accomplished in conjunction with such optional redemption, and otherwise from such maturities as are selected by the Authority, by lot within a maturity, at a redemption price equal to the principal amount of the 2016 Bonds to be redeemed, together with accrued interest thereon to the date of redemption, without premium.

(b) Mandatory Special Redemption. The 2016 Bonds are subject to mandatory special redemption on any Interest Payment Date from proceeds of early redemption of CFD Bonds from prepayment of Special Taxes (as defined in the CFD Bond Indentures), in whole or in part, from maturities corresponding proportionately to the maturities of the CFD Bonds simultaneously redeemed, at the principal amount thereof, plus accrued interest to the date of redemption, without premium.

The Authority shall deliver to the Trustee a certificate of an Independent Financial Consultant verifying that, following such prepayment of the CFD Bonds and mandatory special redemption of the 2016 Bonds, the principal and interest generated from the remaining CFD Bonds is adequate to make the timely payment of principal and interest due on the 2016 Bonds that shall remain Outstanding hereunder following such redemption.

(c) Mandatory Sinking Fund Redemption of Bonds. The 2016 Bonds maturing on September 1, 20__ and September 1, 20__ (the "Term 2016 Bonds"), are subject to redemption prior to their stated maturity, in part, by lot from amounts deposited into the Principal Account in the following amounts and on the following dates, at the principal amount thereof on the date fixed for redemption, without premium, but which amounts shall be proportionately reduced by the principal amount of 2016 Bonds redeemed pursuant to the foregoing clause (b):

Term 2016 Bonds Maturing September 1, 20__

Mandatory Redemption Dates (September 1)	Principal Amount
20__	\$
20__*	
* Maturity	

Term 2016 Bonds Maturing September 1, 20__

Mandatory Redemption Dates (September 1)	Principal Amount
20__	\$
20__*	
* Maturity	

(d) Notice of Redemption. Written notice of any such redemption shall be given by the Authority to the Trustee at least forty-five (45) days prior to the date of redemption (unless a shorter time shall be acceptable to the Trustee in its sole discretion for its convenience).

The Trustee on behalf, and at the expense, of the Authority shall mail (by first class mail, postage prepaid or by customary electronic process) notice of any redemption to the respective Owners of any Bonds designated for redemption at their respective addresses appearing on the Bond Register, and to the Securities Depositories and to the Municipal Securities Rulemaking Board, at least thirty (30) but not more than sixty (60) days prior to the date fixed for redemption. Neither failure to receive any such notice so mailed nor any defect therein shall affect the validity of the proceedings for the redemption of such Bonds or the cessation of the accrual of interest thereon. Such notice shall state the date of the notice, the redemption date, the redemption place and the redemption price and shall designate the CUSIP numbers, the Bond numbers and the maturity or maturities (in the event of redemption of all of the Bonds of such maturity or maturities in whole) of the Bonds to be redeemed, and shall require that such Bonds be then surrendered at the Trust Office of the Trustee for redemption at the redemption price, giving notice also that further interest on such Bonds shall not accrue from and after the redemption date.

Any such notice of redemption may specify that redemption on the specified date shall be subject to receipt by the Authority of moneys sufficient to cause such redemption (and shall specify the proposed source of such moneys), and neither the Authority nor the Trustee shall have any liability to the Owners or any other party as a result of its failure to redeem the Bonds as a result of insufficient moneys.

In addition to the foregoing notice, further notice shall be given by the Trustee in said form by first class mail to any Bondowner whose Bond has been called for redemption but who has failed to submit his Bond for payment by the date which is sixty days after the redemption date, but no defect in said further notice nor any failure to give or receive all or any portion of such further notice shall in any manner defeat the effectiveness of a call for redemption.

Upon the payment by the Trustee from the applicable account in the Revenue Fund of the redemption price of the Bond being redeemed, each check or other transfer of funds issued for

such purpose shall, to the extent practicable, bear the CUSIP number identifying, by issue and maturity, the Bonds being redeemed with the proceeds of such check or other transfer.

(e) Selection of Bonds of a Maturity for Redemption. Unless otherwise provided hereunder, whenever provision is made in this Indenture or in the applicable Supplemental Indenture for the redemption of fewer than all of the Bonds of a maturity of a Series of the Bonds, the Trustee, at the direction of an Authorized Officer of the Authority or the City, shall select the Bonds to be redeemed from all Bonds of such maturity not previously called for redemption, by lot in any manner which the Authorized Officer of the Authority or the City shall deem appropriate and fair. For purposes of such selection, all Bonds shall be deemed to be comprised of separate \$5,000 authorized denominations, and such separate authorized denominations shall be treated as separate Bonds that may be separately redeemed.

(f) Partial Redemption of Bonds. In the event only a portion of any Bond is called for redemption, then upon surrender of such Bond the Authority shall execute and the Trustee shall authenticate and deliver to the Owner thereof, at the expense of the Authority, a new Bond or Bonds of the same maturity date, of authorized denominations in aggregate principal amount equal to the unredeemed portion of the Bond to be redeemed.

(g) Effect of Redemption. From and after the date fixed for redemption, if funds available for the payment of the principal of and interest (and premium, if any) on the Bonds so called for redemption shall have been duly provided, such Bonds so called shall cease to be entitled to any benefit under this Indenture other than the right to receive payment of the redemption price, and no interest shall accrue thereon from and after the redemption date specified in such notice. All Bonds redeemed pursuant to this Section 2.2 shall be cancelled and destroyed.

(h) Right to Rescind. The Authority shall have the right to rescind any redemption by written notice to the Trustee on or prior to the date fixed for redemption. Any notice of redemption shall be cancelled and annulled if for any reason funds are not available on the date fixed for redemption for the payment in full of the Bonds then called for redemption, and such cancellation shall not constitute an Event of Default hereunder. The Trustee shall mail notice of rescission of redemption in the same manner notice of redemption was originally provided.

Section 2.3. Form of Bonds. The Bonds, the form of Trustee's certificate of authentication, and the form of assignment to appear thereon, shall be substantially in the form set forth in Exhibit A attached hereto and by this reference incorporated herein, with necessary or appropriate variations, omissions and insertions, as permitted or required by this Indenture.

Section 2.4. Execution of Bonds. All the Bonds shall, from time to time, be executed on behalf of the Authority by, or bear the manual or facsimile signature of, one of the members of the Board of Directors of the Authority and be attested by the manual or facsimile signature of the Secretary or by any deputy thereof. If any of the directors or officers who shall have signed or sealed any of the Bonds or whose facsimile signature shall be upon the Bonds shall cease to be such officer of the Authority before the Bond so signed and sealed shall have been actually authenticated by the Trustee or delivered, such Bonds nevertheless may be authenticated, issued and delivered with the same force and effect as though the person or persons who signed or sealed such Bonds or whose facsimile signature shall be upon the Bonds had not ceased to be

such officer of the Authority; and any such Bond may be signed and sealed on behalf of the Authority by those persons who, at the actual date of the execution of such Bonds, shall be the proper officers of the Authority, although at the date of such Bond any such person shall not have been such officer of the Authority.

Only such of the Bonds as shall bear thereon a certificate of authentication in substantially the form set forth in Exhibit A, manually executed by the Trustee, shall be valid or obligatory for any purpose or entitled to the benefits of this Indenture, and such certificate of the Trustee shall be conclusive evidence that the Bonds so authenticated have been duly authenticated and delivered hereunder and are entitled to the benefits of this Indenture.

Section 2.5. Transfer of Bonds. Subject to Section 2.10, any Bond may in accordance with its terms, be transferred, upon the Bond Register, by the person in whose name it is registered, in person or by his duly authorized attorney, upon surrender of such Bond for cancellation, accompanied by delivery of a written instrument of transfer in a form approved by the Trustee, duly executed. Whenever any Bond shall be surrendered for transfer, the Authority shall execute and the Trustee shall thereupon authenticate and deliver to the transferee a new Bond or Bonds of like Series, tenor, maturity and aggregate principal amount. No Bonds selected for redemption shall be subject to transfer pursuant to this Section nor shall any Bond be subject to transfer during the fifteen days prior to the selection of Bonds for redemption.

The cost of printing any Bonds and any services rendered or any expenses incurred by the Trustee in connection with any transfer or exchange shall be paid by the Authority. However, the Owners of the Bonds shall be required to pay any tax or other governmental charge required to be paid for any exchange or registration of transfer and the Owners of the Bonds shall be required to pay the reasonable fees and expenses of the Trustee and Authority in connection with the replacement of any mutilated, lost or stolen Bonds.

Section 2.6. Exchange of Bonds. Subject to Section 2.10, Bonds may be exchanged at the Trust Office of the Trustee for Bonds of the same Series, tenor and maturity and of other authorized denominations. No Bonds selected for redemption shall be subject to exchange pursuant to this Section, nor shall any Bond be subject to exchange during the fifteen days prior to the selection of Bonds for redemption. The Owners of the Bonds shall be required to pay any tax or other governmental charge required to be paid for any exchange and the Owners of the Bonds shall be required to pay the reasonable fees and expenses of the Trustee and Authority in connection with the exchange of any Bonds.

Section 2.7. Temporary Bonds. The Bonds may be issued initially in temporary form exchangeable for definitive Bonds when ready for delivery. The temporary Bonds may be printed, lithographed or typewritten, shall be of such denominations as may be determined by the Authority and may contain such reference to any of the provisions of this Indenture as may be appropriate. Every temporary Bond shall be executed by the Authority and be registered and authenticated by the Trustee upon the same conditions and in substantially the same manner as the definitive Bonds. If the Authority issues temporary Bonds, it shall execute and furnish definitive Bonds without delay, and thereupon the temporary Bonds may be surrendered for cancellation, in exchange therefor at the Trust Office of the Trustee, and the Trustee shall authenticate and deliver in exchange for such temporary Bonds an equal aggregate principal amount of definitive Bonds

of authorized denominations. Until so exchanged, the temporary Bonds shall be entitled to the same benefits under this Indenture as definitive Bonds authenticated and delivered hereunder.

Section 2.8. Bond Register. The Trustee shall keep or cause to be kept at its Trust Office sufficient records for the registration and transfer of the Bonds, which shall be the Bond Register and shall at all times during regular business hours be open to inspection by the Authority upon reasonable notice; and, upon presentation for such purpose, the Trustee shall, under such reasonable regulations as it may prescribe, register or transfer or cause to be registered or transferred, on said records, Bonds as hereinbefore provided.

Section 2.9. Bonds Mutilated, Lost, Destroyed or Stolen. If any Bond shall become mutilated, the Authority, at the expense of the Owner of said Bond, shall execute, and the Trustee shall thereupon authenticate and deliver, a new Bond of like Series, tenor and authorized denomination in exchange and substitution for the Bond so mutilated, but only upon surrender to the Trustee of the Bond so mutilated. Every mutilated Bond so surrendered to the Trustee shall be cancelled by it and destroyed in accordance with the retention policy of the Trustee then in effect. If any Bond issued hereunder shall be lost, destroyed or stolen, evidence of such loss, destruction or theft may be submitted to the Trustee and, if such evidence be satisfactory to it and indemnity satisfactory to it shall be given, at the expense of the Bond Owner, the Authority shall execute, and the Trustee shall thereupon authenticate and deliver, a new Bond of like Series and tenor in lieu of and in substitution for the Bond so lost, destroyed or stolen (or if any such Bond shall have matured or shall have been called for redemption, instead of issuing a substitute Bond the Trustee may pay the same without surrender thereof upon receipt of indemnity satisfactory to the Trustee). The Trustee may require payment of a reasonable fee for each new Bond issued under this Section and of the expenses which may be incurred by the Authority and the Trustee. Any Bond issued under the provisions of this Section in lieu of any Bond alleged to be lost, destroyed or stolen shall constitute an original contractual obligation on the part of the Authority whether or not the Bond alleged to be lost, destroyed or stolen be at any time enforceable by anyone, and shall be equally and proportionately entitled to the benefits of this Indenture with all other Bonds secured by this Indenture.

Section 2.10. Book-Entry System.

(a) All Bonds shall be initially issued in the form of a separate single certificated fully registered Bond for each maturity date of the Bonds. Upon initial issuance, the ownership of each Bond shall be registered in the Bond Register in the name of Cede & Co., as nominee of DTC. Except as provided in Section 2.10(d), all Outstanding Bonds shall be registered in the Bond Register in the name of Cede & Co., as nominee of DTC.

(b) With respect to Bonds registered in the Bond Register in the name of Cede & Co, as nominee of DTC, the Trustee shall not have any responsibility or obligation to any brokerdealer, bank, or other financial institution for which DTC holds Bonds as Depository from time to time (the "DTC Participants") or to any person for which a DTC Participant acquires an interest in the Bonds (the "Beneficial Owners"). Without limiting the immediately preceding sentence, the Trustee shall not have any responsibility or obligation with respect to (i) the accuracy of the records of DTC, Cede & Co, or any DTC Participant with respect to any ownership interest in the Bonds, (ii) the delivery to any DTC Participant, any Beneficial Owner, or any other person, other than DTC, of any notice with respect to the Bonds, including any notice of redemption or mandatory tender, (iii)

the selection by the Depository of the beneficial interests in the Bonds to be redeemed in the event the Authority elects to redeem the Bonds in part, (iv) the payment to any DTC Participant, any Beneficial Owner, or any other person, other than DTC, of any amount with respect to the principal of or interest on the Bonds, or (v) any consent given or other action taken by the Depository as Owner of the Bonds.

(c) The Trustee may treat as and deem DTC to be the absolute Owner of each Bond for which DTC is acting as Depository for the purpose of payment of the principal of and interest on such Bonds, for the purpose of giving notices of prepayment and other matters with respect to such Bonds, for the purpose of registering transfers with respect to such Bonds, and for all purposes whatsoever. The Trustee shall pay all principal of and interest on the Bonds only to the Owners as shown on the Registration Books, and all such payments shall be valid and effective to fully satisfy and discharge all obligations with respect to the principal of and interest on the Bonds to the extent of the sums or sums so paid.

(d) DTC may determine to discontinue providing its services with respect to the Bonds at any time by giving written notice to the Trustee and the Authority during any time that the Bonds are Outstanding, and discharging its responsibilities with respect thereto under applicable law. The Authority may terminate the services of DTC with respect to the Bonds if it determines that DTC is unable to discharge its responsibilities with respect to the Bonds or that continuation of the system of book-entry transfers through DTC is not in the best interest of the Beneficial Owners, and the Authority shall mail notice of such termination to the Trustee.

Upon the termination of the services of DTC as provided in the previous paragraph, and if no substitute Depository willing to undertake the functions hereunder can be found which is willing and able to undertake such functions upon reasonable or customary terms, or if the Authority determines that it is in the best interest the Beneficial Owners of the Bonds that they be able to obtain certificated Bonds, the Bonds shall no longer be restricted to being registered in the Bond Register of the Trustee in the name of Cede & Co., as nominee of DTC, but may be registered in whatever name or name the Owners shall designate at that time, in accordance with this Indenture.

To the extent that the Beneficial Owners are designated as the transferee by the Owners, in accordance with Section 2.06, the Bonds will be delivered to such Beneficial Owners as soon as practicable.

The Authority, in its sole discretion and without the consent of any other person, may terminate the services of DTC with respect to the Bonds if the Authority determines that: (A) DTC is unable to discharge its responsibilities with respect to the Bonds, or (B) a continuation of the requirement that all Outstanding Bonds be registered in the Bond Register in the name of Cede & Co., or any other nominee of DTC, is not in the best interest of the beneficial owners of such Bonds.

Section 2.11. Validity of Bonds. The validity of the authorization and issuance of the Bonds shall not be affected in any way by any proceedings taken by the Authority or the City with respect to the application of the proceeds of the Bonds, and the recital contained in the Bonds that the same are issued pursuant to the Refunding Bond Law shall be conclusive evidence of their validity and of the regularity of their issuance.

ARTICLE III

DEPOSIT AND APPLICATION OF PROCEEDS

Section 3.1. Issuance of Bonds. Upon the execution and delivery of this Indenture, the Authority shall execute and deliver the Bonds of each Series in the principal amounts set forth in Section 2.1 to the Trustee for authentication and delivery to the Original Purchaser thereof upon the Request of the Authority.

Section 3.2. Application of Proceeds of Sale of Bonds and Funds Received from the City. On the Closing Date, the Trustee shall apply moneys received by it as set forth below (the Trustee may, in its discretion, establish one or more temporary funds or accounts to account for or facilitate the following transfers and deposits).

The Trustee shall apply the proceeds of the sale of the Bonds received or deemed to have been received from the Original Purchaser on the Closing Date, being an amount equal to the purchase price of the Bonds of \$_____ (being 100% of the aggregate principal amount thereof (\$_____)), plus a net original issue premium of \$_____ and less Original Purchaser's discount of \$_____, as follows:

(i) \$_____ of the proceeds of the Bonds shall be transferred to the Escrow Bank pursuant to the Escrow Agreement for the purpose of refunding the 2006 Bonds as described therein; and

(ii) \$_____ of the proceeds of the Bonds shall be deposited into the Costs of Issuance Fund for the payment of Costs of Issuance in accordance with Section 3.4 below.

Section 3.3. Revenue Fund. The Trustee shall establish and maintain a separate fund to be known as the "Revenue Fund" and the following separate accounts therein: the "Interest Account" and the "Principal Account." Except as otherwise provided herein, the Trustee shall deposit all Revenues received after the Closing Date to the Revenue Fund and shall apply amounts in the Revenue Fund as described in Section 4.2 below.

Section 3.4. Costs of Issuance Fund. The Trustee shall establish and maintain a fund known as the "Costs of Issuance Fund" into which shall be deposited the amounts set forth in Section 3.2 above [and any amounts received by the Trustee attributable to the 2006 Bonds and designated for deposit therein]. The moneys in the Costs of Issuance Fund shall be used to pay Costs of Issuance from time to time upon receipt by the Trustee of a Request of the Authority. On the date which is one hundred twenty (120) days following the Closing Date, or upon the earlier receipt by the Trustee of a Request of the Authority stating that all Costs of Issuance have been paid, the Trustee shall transfer all remaining amounts in the Costs of Issuance Fund to the Revenue Fund. Upon such transfer, the Costs of Issuance Fund shall be closed and the Trustee shall no longer be obligated to make payments for Costs of Issuance. The Authority may at any time file a Request of the Authority requesting that the Trustee retain a specified amount in the Costs of Issuance Fund and transfer to the Revenue Fund all remaining amounts, and upon receipt of such request by the Trustee, the Trustee shall comply with such request.

Section 3.5. [Reserved].

Section 3.6. Reserve Fund. The Trustee shall establish and maintain a separate fund to be known as the “Reserve Fund” and within such fund, accounts to be known as the “Highland Reserve North CFD Reserve Account” and the “Woodcreek East CFD Reserve Account”, respectively, which shall be administered as provided in Section 4.3(a) hereof.

Section 3.7. Rebate Fund. The Trustee shall establish and maintain a separate fund to be known as the “Rebate Fund”. The Rebate Fund shall be administered as described in Section 5.8.

Section 3.8. Surplus Fund. The Trustee shall establish and maintain a separate fund to be known as the “Surplus Fund” which shall be administered as described in Section 4.4.

Section 3.9. Administrative Expense Fund. The Trustee shall establish and maintain a separate fund to be held by the Trustee and known as the “Administrative Expense Fund” into which shall be deposited (i) amounts transferred to the Trustee pursuant to the CFD Bond Indentures relating to the CFD Bonds to fund Authority Administrative Expenses and (ii) amounts transferred from the Surplus Fund pursuant to Section 4.4. The moneys in the Administrative Expense Fund shall be used to pay Authority Administrative Expenses upon receipt of a Request of the Authority for the payment thereof.

ARTICLE IV

REVENUES; FLOW OF FUNDS

Section 4.1. Pledge of Revenues; Assignment of Rights. Subject to the provisions of Sections 6.3 and 9.3, the Bonds shall be secured by a first lien on and pledge (which shall be effected in the manner and to the extent hereinafter provided) of all of the Revenues. The Bonds shall be equally secured by a pledge, charge and lien upon the Revenues without priority for any Bond over any other Bond; and the payment of the interest on and principal of the Bonds and any premiums upon the redemption of any Bonds shall be and are secured by an exclusive pledge, charge and lien upon the Revenues. So long as any of the Bonds are Outstanding, the Revenues shall not be used for any purpose except as is expressly permitted by this Indenture.

The Authority hereby transfers in trust, grants a security interest in and assigns to the Trustee, for the benefit of the Owners from time to time of the Bonds all of the Revenues and all of the right, title and interest of the Authority in the CFD Bonds, subject to the terms of this Indenture. The Trustee shall be entitled to and shall collect and receive all of the Revenues, and any Revenues collected or received by the Authority shall be deemed to be held, and to have been collected or received, by the Authority as the agent of the Trustee and shall forthwith be paid by the Authority to the Trustee. Subject to the provisions of Section 8.2, the Trustee also shall be entitled to and shall take all steps, actions and proceedings reasonably necessary in its judgment to enforce, either jointly with the Authority or separately, all of the rights of the Authority and all of the obligations of the City under the CFD Bonds.

Upon the deposit with the Trustee of moneys sufficient to pay all principal of, premium, if any, and interest on the Bonds and upon satisfaction of all claims against the Authority hereunder with respect to the Bonds, including all fees, charges and expenses of the Trustee and the Authority which are properly payable hereunder, or upon the making of adequate provisions for the payment of such amounts as permitted hereby, all moneys remaining in all funds and accounts pertaining to such Bonds (except any amounts on deposit in the Rebate Fund and the Surplus Fund and except moneys necessary to pay principal of, premium, if any, and interest on such series of Bonds, which moneys shall be held by the Trustee pursuant to Section 9.3), shall no longer be considered Revenues and are not pledged to repay the Bonds. Such amounts shall be transferred to the CFD Trustee for the then outstanding CFD Bonds. In the event that the CFD Bonds have been paid or defeased, then any such amounts shall be paid by the Trustee to the Authority to be used by the Authority for any lawful purpose.

Section 4.2. Receipt, Deposit and Application of Revenues; Revenue Fund.

(a) All Revenues described in clause (a) of the definition thereof in Section 1.1 shall be promptly deposited by the Trustee upon receipt thereof in the Revenue Fund; provided, however, that Revenues which represent the payment of delinquent principal of or interest on an issue of CFD Bonds shall be deposited to the Account of the Reserve Fund established for the related CFD Bonds to the extent necessary to replenish the amount in such Account to the balance required by Section 4.3(a), with any amount in excess of that needed to replenish such Account to be transferred in accordance with the remainder of this Section 4.2.

On each Interest Payment Date and redemption date for the Bonds, the Trustee shall transfer from the Revenue Fund, and deposit into the following respective accounts for the Bonds, the following amounts in the following order of priority, the requirements of each such account (including the making up of any deficiencies in any such account resulting from lack of Revenues sufficient to make any earlier required deposit) at the time of deposit to be satisfied before any transfer is made to any account subsequent in priority:

(i) Interest Account. On each Interest Payment Date and redemption date, the Trustee shall deposit in the Interest Account an amount required to cause the aggregate amount on deposit in the Interest Account to equal the amount of interest on the Bonds coming due and payable on such date. No deposit need be made into the Interest Account if the amount contained therein is at least equal to the interest coming due and payable on the Bonds on the next succeeding Interest Payment Date or redemption date, as applicable. All moneys in the Interest Account shall be used and withdrawn by the Trustee solely for the purpose of paying interest on the Bonds as it shall become due and payable (including accrued interest on any Bonds redeemed prior to maturity). In the event that the amounts on deposit in the Interest Account on any Interest Payment Date or redemption date, after any transfers from the Reserve Fund pursuant to Section 4.3(a) are insufficient for any reason to pay the aggregate amount of interest then coming due and payable on the Outstanding Bonds, the Trustee shall apply such amounts to such payments on each of the Outstanding Bonds on a pro rata basis.

(ii) Principal Account. On each Interest Payment Date and redemption date on which the principal of the Bonds shall be payable, the Trustee shall deposit in the Principal Account an amount required to cause the aggregate amount on deposit in the Principal Account to equal the principal amount of, and premium (if any) on, the Bonds coming due and payable on such Interest Payment Date, or required to be redeemed on such redemption date pursuant to Section 2.2; provided, however, that no amount shall be deposited to effect a redemption pursuant to Section 2.2(b) unless the Trustee has first received a certificate of an Independent Financial Consultant as described therein. No deposit need be made into the Principal Account if the amount contained therein is at least equal to the principal amount of, and premium (if any), on the Bonds coming due and payable on the Bonds on the next succeeding Interest Payment Date or redemption date, as applicable. All moneys in the Principal Account shall be used and withdrawn by the Trustee solely for the purpose of (i) paying the principal of the Bonds at the maturity thereof or (ii) paying the principal of and premium (if any) on any Bonds upon the redemption thereof pursuant to Section 2.2. In the event that the amounts on deposit in the Principal Account on any Interest Payment Date or redemption date, after any transfers from the Reserve Fund pursuant to Section 4.3(a), are insufficient for any reason to pay the aggregate amount of principal amount of, and premium (if any), on the Bonds coming due and payable, the Trustee shall apply such amounts to such payments on each of the Outstanding Bonds on a pro rata basis.

(iii) Reserve Fund. On each Interest Payment Date and redemption date on which the balance in the Reserve Fund is less than the Reserve Requirement, after making deposits required under (i) and (ii) above, the Trustee shall transfer from the Revenue Fund an amount sufficient to increase the balance in the Reserve Fund to the Reserve Requirement by depositing the amount necessary to make the various Accounts therein

equal, together, the Reserve Requirement; provided, however, that the value of the moneys deposited therein, as invested, shall be valued at market value on such transfer date for purposes of making such determination.

(b) If on any Interest Payment Date or date for redemption the amount on deposit in the Revenue Fund is inadequate to make the transfers described in subsection (a) above as a result of a payment default on an issue of CFD Bonds, the Trustee shall immediately notify the City of the amount needed to make the required deposits under subsection (a) above. In the event that within 5 Business Days of delivering such notice the Trustee receives additional payments from the City to cure such shortfall, the Trustee shall deposit such amounts to the account designated in writing by the City.

(c) On each Interest Payment Date or redemption date after making the transfers required under subsections (a) and (b) above, upon receipt of a Request of the Authority to do so, the Trustee shall transfer from the Revenue Fund to the Rebate Fund for deposit therein the amounts specified in such Request of the Authority.

(d) On September 1 of each year, after making the deposits required under subsections (a), (b) and (c) above, the Trustee shall transfer all amounts remaining on deposit in the Revenue Fund to the Surplus Fund.

Section 4.3. Reserve Fund.

(a) There shall be maintained in the Reserve Fund an amount equal to the Reserve Requirement. On the Closing Date, the Authority shall deposit into the Reserve Fund an amount equal to Highland Reserve North CFD's Proportionate Share of the Reserve Requirement for the Bonds, which shall be deposited to the Highland Reserve North CFD Account, and an amount equal to Woodcreek East CFD's Proportionate Share of the Reserve Requirement for the Bonds, which shall be deposited to the Woodcreek East CFD Account.

In the event that the amount of the Reserve Requirement is reduced because of the payment at final maturity of CFD Bonds or prepayment of Special Taxes, the Trustee shall, upon receipt of a Request of the Authority, adjust the balance in any account provided that the total amount in the Reserve Fund equals the Reserve Requirement.

(b) Moneys in the Reserve Fund shall be used solely for the purposes set forth in this Section 4.3. Subject to the limitations set forth in the following paragraph, amounts in the Reserve Fund may be applied to pay the principal of and interest on the Bonds when the moneys in the Interest Account and the Principal Account of the Revenue Fund are insufficient therefor. In addition, amounts in the Reserve Fund may be applied (i) in connection with an optional redemption of the Bonds pursuant to Section 2.2(a) or a defeasance pursuant to Section 10.3, but only to the extent that amounts remaining on deposit in the Reserve Fund after such application are equal to the Reserve Requirement, (ii) in connection with prepayments of Special Taxes, (iii) when the balance therein equals the principal and interest due on the Bonds to and including maturity, or (iv) when amounts in certain accounts of the Reserve Fund are transferred to the Interest Account and the Principal Account as a credit against the payments due on the CFD Bonds on the transfer dates specified below.

(c) If the amounts in the Interest Account or the Principal Account of the Revenue Fund are insufficient to pay the principal of or interest on the Bonds when due, the Trustee shall withdraw from the Reserve Fund for deposit in the Interest Account and the Principal Account, as applicable, moneys necessary for such purposes; *provided, however* if the insufficiency was caused by a delinquency in the payment of a CFD Bond, the Trustee shall notify the City of such deficiency and the moneys necessary to make up the deficiency in the Interest Account or the Principal Account caused by the delinquency on the CFD Bond shall be transferred from the Account of the Reserve Fund established for such CFD Bond. **Amounts in the Account of the Reserve Fund established for an issue of CFD Bonds may be transferred to the Interest Account or Principal Account only to the extent necessary to cure any default on any such CFD Bond and may not be transferred to cure any default on the other issue of CFD Bonds.**

(d) In the event Special Taxes are paid in cash in advance of the final maturity date of a CFD Bond, the Authority is required to credit such prepaid Special Tax obligation with a proportionate share of the applicable Account of the Reserve Fund (if such reserve is funded in cash) for the applicable CFD Bond thus reducing the total amount of the Reserve Fund. The CFD Special Tax obligation shall receive such credit only if and to the extent that the amount which is eligible to be credited is not needed to redeem Bonds. If the all or part of the amount is needed to redeem Bonds, the Authority shall use it for such purpose. To the extent it is not needed, the amount to be so credited is the pro-rata share of the original amount deposited in such Account, less any amount previously transferred from such Account to the redemption fund for the applicable CFD Bond as a result of the delinquency in the payment of Special Tax installments for the parcel for which the Special Tax is being prepaid. The Authority shall direct the Trustee in writing to transfer the amount representing such credit from the Reserve Fund to the special tax fund or redemption fund, as applicable, for the applicable CFD Bond.

On August 1 of each year, any interest earned on the investment of monies on deposit in the Reserve Fund which would cause the amount therein to exceed the Reserve Requirement shall be applied as set forth in Section 4.5 hereof.

(e) When amounts in an Account of the Reserve Fund are sufficient to repay the remaining principal and interest due on the respective CFD Bonds that shall be applied to the Bonds, such amount shall be transferred to the Interest Account and the Principal Account as a credit against the payments due on the applicable CFD Bonds, with the amount transferred from an Account being deposited first to the Interest Account as a credit on the interest due on the CFD Bonds the applicable CFD Bonds on such date and the balance being deposited to the Principal Account as a credit on the principal due on the applicable CFD Bonds on such date.

(f) The Authority shall have the right at any time to release funds from the Reserve Fund, in whole or in part, by tendering to the Trustee: (i) a Qualified Reserve Fund Credit Instrument, and (ii) an opinion of Bond Counsel stating that neither the release of such funds nor the acceptance of such Qualified Reserve Fund Credit Instrument shall cause interest on the Bonds to become includable in gross income for purposes of federal income taxation. Upon tender of such items to the Trustee, and upon delivery by the Authority to the Trustee of written calculation of the amount permitted to be released from the Reserve Fund and the applicable accounts therein (upon which calculation the Trustee may conclusively rely), the Trustee shall transfer such funds from the Reserve Fund (and the applicable accounts therein) to the Authority free and clear of the lien of this Indenture. The Trustee shall comply with all documentation

relating to a Qualified Reserve Fund Credit Instrument as shall be required to maintain such Qualified Reserve Fund Credit Instrument in full force and effect and as shall be required to receive payments thereunder in the event and to the extent required to make any payment when and as required under this subsection (f).

At least fifteen (15) days prior to the expiration of any Qualified Reserve Fund Credit Instrument, the Authority shall be obligated either (i) to replace such Qualified Reserve Fund Credit Instrument with a new Qualified Reserve Fund Credit Instrument, or (ii) to deposit or cause to be deposited with the Trustee an amount of funds such that the amount on deposit in the Reserve Fund (and the applicable accounts therein) is equal to the Reserve Requirement (without taking into account such expiring Qualified Reserve Fund Credit Instrument). In the event that the Authority shall fail to take action as specified in clause (i) or (ii) of the preceding sentence, the Trustee shall, prior to the expiration thereof, draw upon the Qualified Reserve Fund Credit Instrument in full and deposit the proceeds of such draw in the Reserve Fund (and the applicable accounts therein).

In the event that the Reserve Requirement shall at any time be maintained in the Reserve Fund (and the applicable accounts therein) in the form of a combination of cash and a Qualified Reserve Fund Credit Instrument, the Trustee shall apply the amount of such cash to make any payment required to be made from the Reserve Fund (and the applicable accounts therein) before the Trustee shall draw any moneys under such Qualified Reserve Fund Credit Instrument for such purpose. In the event that the Trustee shall at any time draw funds under a Qualified Reserve Fund Credit Instrument to make any payment then required to be made from the Reserve Fund (and the applicable accounts therein), the Revenues thereafter received by the Trustee, to the extent remaining after making the other deposits (if any) then required to be made pursuant to Section 4.2(a)(i) and (ii), shall be used to reinstate the Qualified Reserve Fund Credit Instrument.

Section 4.4. Surplus Fund.

(a) Any amounts transferred to the Surplus Fund pursuant to Section 4.2 shall no longer be considered Revenues and are not pledged to repay the Bonds.

(b) So long as CFD Bonds are outstanding, on September 2 of each year, after setting aside any amount specified in a Request of the Authority as necessary to pay Administrative Expenses, any moneys remaining in the Surplus Fund shall be transferred to the City for any lawful purpose.

Section 4.5. Investments. All moneys in any of the funds or accounts established with the Trustee pursuant to this Indenture shall be invested by the Trustee solely in Permitted Investments, as directed pursuant to the Request of the Authority filed with the Trustee at least two (2) Business Days in advance of the making of such investments. The Trustee shall be entitled to conclusively rely on any such Request of the Authority and shall be fully protected in relying thereon. In the absence of any such Request of the Authority the Trustee shall invest any such moneys in Permitted Investments described in clause (h) of the definition thereof provided, however, that any such investment shall be made by the Trustee only if, prior to the date on which such investment is to be made, the Trustee shall have received a Request of the Authority specifying a specific money market fund and, if no such Request of the Authority is so received, the Trustee shall hold such moneys uninvested. The Trustee shall be entitled to rely upon any

investment directions from the Authority as conclusive certification to the Trustee that the investments described therein are so authorized under the laws of the State of California and qualify as Permitted Investments. Permitted Investments purchased as an investment of moneys in any fund or account established pursuant to this Indenture shall be deemed to be part of such fund or account.

All interest or gain derived from the investment of amounts in any of the funds or accounts established hereunder shall be deposited in the fund or account from which such investment was made; *provided, however*, that all interest or gain derived from the investment of amounts in the Reserve Fund shall, to the extent the balance in any Account thereof exceeds, on July 1 of each year, its Proportionate Share of the Reserve Requirement as set forth in Section 4.3, be withdrawn by the Trustee on such July 1, and deposited into the Revenue Fund.

For purposes of acquiring any investments hereunder, the Trustee may commingle moneys held by it in any of the funds and accounts held by it hereunder. The Trustee and its affiliates may act as advisor, sponsor, principal or agent in the acquisition or disposition of any investment and may impose its customary charges therefor. The Trustee and its affiliates may make any and all investments permitted herein through its own investment department. The Trustee shall incur no liability for losses arising from any investments made pursuant to this Section 4.5.

The Authority acknowledges that, to the extent regulations of the Comptroller of the Currency or other applicable regulatory entity grant the Authority the right to receive brokerage confirmations of security transactions as they occur, the Authority specifically waives receipt of such confirmations to the extent permitted by law. The Trustee shall furnish the Authority periodic cash transaction statements which include detail for all investment transactions made by the Trustee hereunder.

Section 4.6. Valuation and Disposition of Investments.

(a) Except as otherwise provided in subsection (b) of this Section, the Authority covenants that all investments of amounts deposited in any fund or account created by or pursuant to this Indenture, or otherwise containing gross proceeds of the Bonds (within the meaning of section 148 of the Code) shall be acquired, disposed of, and valued by the Authority (as of the date that valuation is required by this Indenture or the Code) at Fair Market Value.

(b) Investments in funds or accounts (or portions thereof) that are subject to a yield restriction under applicable provisions of the Code shall be valued by the Authority at their present value (within the meaning of section 148 of the Code).

ARTICLE V

COVENANTS OF THE AUTHORITY

Section 5.1. Punctual Payment. The Authority shall punctually pay or cause to be paid the principal and interest and premium (if any) to become due in respect of all the Bonds, in strict conformity with the terms of the Bonds and of this Indenture, according to the true intent and

meaning thereof, but only out of Revenues and other assets pledged for such payment as provided in this Indenture.

Section 5.2. Extension of Payment of Bonds. The Authority shall not directly or indirectly extend or assent to the extension of the maturity of any of the Bonds or the time of payment of any claims for interest by the purchase of such Bonds or by any other arrangement, and in case the maturity of any of the Bonds or the time of payment of any such claims for interest shall be extended, such Bonds or claims for interest shall not be entitled, in case of any default hereunder, to the benefits of this Indenture, except subject to the prior payment in full of the principal of all of the Bonds then Outstanding and of all claims for interest thereon which shall have been so extended. Nothing in this Section shall be deemed to limit the right of the Authority to issue Bonds for the purpose of refunding any Outstanding Bonds, and such issuance shall not be deemed to constitute an extension of maturity of the Bonds.

Section 5.3. Against Encumbrances. The Authority shall not create, or permit the creation of, any pledge, lien, charge or other encumbrance upon the Revenues and other assets pledged or assigned under this Indenture while any of the Bonds are Outstanding, except the pledge and assignment created by this Indenture and except as otherwise permitted by Section 5.6. Subject to this limitation, the Authority expressly reserves the right to enter into one or more other indentures for any of its corporate purposes, including other programs under the Refunding Bond Law, and reserves the right to issue other obligations for such purposes.

Section 5.4. Power to Issue Bonds and Make Pledge and Assignment. The Authority is duly authorized pursuant to law to issue the Bonds and to enter into this Indenture and to pledge and assign the Revenues, the CFD Bonds and other assets purported to be pledged and assigned, respectively, under this Indenture. The Bonds and the provisions of this Indenture are and shall be the legal, valid and binding limited, special obligations of the Authority in accordance with their terms, and the Authority and the Trustee shall at all times, subject to the provisions of Article VI and to the extent permitted by law, defend, preserve and protect said pledge and assignment of the Revenues, the CFD Bonds and other assets and all the rights of the Bond Owners under this Indenture against all claims and demands of all persons whomsoever.

Section 5.5. Accounting Records and Financial Statements. The Trustee shall at all times keep, or cause to be kept, proper books of record and account, prepared in accordance with corporate trust industry standards in which complete and accurate entries shall be made of transactions made by it relating to the proceeds of Bonds, the Revenues, the CFD Bonds and all funds and accounts established pursuant to this Indenture. Such books of record and account shall be available for inspection by the Authority and the City upon reasonable prior notice during regular business hours and under reasonable circumstances, in each case as agreed to by the Trustee.

Not later than 45 days following each Interest Payment Date, the Trustee shall prepare and file with the Authority a report setting forth: (i) amounts withdrawn from and deposited into each fund and account maintained by the Trustee under this Indenture; (ii) the balance on deposit in each fund and account as of the date for which such report is prepared; and (iii) a brief description of all obligations held as investments in each fund and account. Copies of such reports may be mailed to any Owner upon the Owner's written request to the Trustee at the expense of such Owner at a cost not to exceed the Trustee's actual costs of duplication and mailing.

Section 5.6. Conditions to Issuance of Parity Bonds. The Authority covenants that no additional bonds, notes or other indebtedness shall be issued or incurred which are payable out of Revenues in whole or in part, except that the Authority may issue additional bonds, notes or other indebtedness ("Additional Bonds") secured on a parity with the Bonds, in such principal amount as shall be determined by the Authority, pursuant to a Supplemental Indenture adopted or entered into by the Authority to refund a portion of the Bonds to realize savings associated with interest costs on the Bonds.

Section 5.7. Tax Covenants.

(a) Private Activity Bond Limitation. The Authority shall assure that the proceeds of the Bonds are not used so as to cause the Bonds to satisfy the private business tests of Section 141(b) of the Code or the private loan financing test of Section 141(c) of the Code.

(b) Federal Guarantee Prohibition. The Authority shall not take any action or permit or suffer any action to be taken if the result of the same would be to cause the Bonds to be "federally guaranteed" within the meaning of Section 149(b) of the Code.

(c) No Arbitrage. The Authority shall not take, or permit or suffer to be taken by the Trustee or otherwise, any action with respect to the Bond proceeds which, if such action had been reasonably expected to have been taken, or had been deliberately and intentionally taken, on the Closing Date, would have caused the Bonds to be "arbitrage bonds" within the meaning of Section 148 of the Code.

(d) Rebate of Excess Investment Earnings to United States. The Authority shall calculate or cause to be calculated excess investment earnings with respect to the Bonds which are required to be rebated to the United States of America pursuant to Section 148(f) of the Code, and shall pay the full amount of such excess investment earnings to the United States of America in such amounts, at such times and in such manner as may be required pursuant to the Code. Such payments shall be made by the Authority from any source of legally available funds of the Authority, including amounts deposited into the Rebate Fund, if any. The Authority shall keep or cause to be kept, and retain or cause to be retained for a period of six (6) years following the final payment of the Bonds, records of the determinations made pursuant to this subsection (d). In order to provide for the administration of this subsection (d), the Authority may provide for the employment of independent attorneys, accountants and consultants compensated on such reasonable basis as the Authority may deem appropriate.

(e) Maintenance of Tax Exemption. The Authority shall take all actions necessary to assure the exclusion of interest on the Bonds from the gross income of the Owners thereof to the same extent as such interest is permitted to be excluded from gross income under the Code as in effect on the date of issuance of the Bonds.

(f) Record Retention. The Authority shall retain its records of all accounting and monitoring it carries out with respect to the Bonds for at least 3 years after the Bonds mature or are redeemed (whichever is earlier); however, if the Bonds are redeemed and refunded, the Authority shall retain its records of accounting and monitoring at least 3 years after the earlier of the maturity or redemption of the obligations that refunded the Bonds.

(g) Compliance with Tax Certificate. The Authority shall comply with the provisions of the Tax Certificate and the Use of Proceeds Certificate with respect to the Bonds, which are incorporated herein as if fully set forth herein. The covenants of this Section shall survive payment in full or defeasance of the Bonds.

Section 5.8. Rebate Fund.

(a) Establishment. The Trustee shall establish a Rebate Fund pursuant to Section 3.7. Absent an opinion of Bond Counsel that the exclusion from gross income for federal income tax purposes of interest on the Bonds shall not be adversely affected, the Authority shall cause to be deposited in the Rebate Fund such amounts as are required to be deposited therein pursuant to this Section, Section 5.7(d) and the Code. All money at any time deposited in the Rebate Fund shall be held by the Trustee in trust for payment to the United States Treasury in accordance with a Request of the Authority delivered to the Trustee, upon which the Trustee may conclusively rely.

(b) Disposition of Unexpended Funds. Any funds remaining in the Rebate Fund after redemption and payment of the Bonds and after payment of all the amounts payable to the United States Treasury from the Rebate Fund, including accrued interest and payment of all applicable fees to the Trustee, may be withdrawn by the Trustee and remitted to the Authority and utilized in any manner by the Authority.

Section 5.9. CFD Bonds. Subject to the provisions of this Indenture (including Article VI), the Authority and the Trustee shall use reasonable efforts to collect all amounts due from the City (for and on behalf of the CFDs) pursuant to the CFD Bonds and shall enforce, and take all steps, actions and proceedings which the Authority and Trustee determine to be reasonably necessary for the enforcement of all of the rights of the Authority thereunder and for the enforcement of all of the obligations and covenants of the City (for and on behalf of the CFDs thereunder). The Authority shall instruct the City (for and on behalf of the CFDs) to authenticate and deliver to the Trustee the CFD Bonds registered in the name of the Trustee.

The Authority, the Trustee, and the City may, with prior written notice to each Rating Agency then providing a rating on the Bonds (if any), at any time consent to, amend or modify any of the CFD Bonds pursuant to the terms thereof, (a) with the prior consent of the Owners of a majority in aggregate principal amount of the Bonds then Outstanding, or (b) without the consent of any of the Owners, if such amendment or modification is for any one or more of the following purposes

(a) to add to the covenants and agreements of the City contained in such CFD Bonds, other covenants and agreements thereafter to be observed, or to limit or surrender any rights or power therein reserved to or conferred upon the City; or

(b) to make such provisions for the purpose of curing any ambiguity, or of curing, correcting or supplementing any defective provision contained in such CFD Bonds, or in any other respect whatsoever as the City may deem necessary or desirable, provided under any circumstances that such modifications or amendments shall not materially adversely affect the interests of the Owners of the Bonds in the opinion of Bond Counsel filed with the Trustee; or

(c) to amend any provision thereof to the extent necessary to comply with the Code, but only if and to the extent such amendment shall not, in and of itself, adversely affect the exclusion from gross income of the interest on any of the Bonds under the Code, in the opinion of Bond Counsel filed with the Trustee.

Section 5.10. Sale of CFD Bonds. Notwithstanding anything in this Indenture to the contrary, the Authority may cause the Trustee to sell, from time to time, all or a portion of an issue of CFD Bonds, provided that the Authority shall deliver to the Trustee:

(a) a certificate of an Independent Accountant certifying that, following the sale of such CFD Bonds, the Revenues to be paid to the Authority (assuming the timely payment of amounts due thereon with respect to any CFD Bonds not then in default), together with interest and principal due on any noncallable Federal Securities pledged to the repayment of the Bonds and the Revenues then on deposit in the funds and accounts established hereunder (valuing any Permitted Investments held hereunder at the then Fair Market Value thereof), shall be sufficient to pay the principal of and interest on the Bonds when due;

(b) if any Bonds are then rated by any Rating Agency, a notification from such Rating Agency to the effect that such rating shall not be withdrawn or reduced as a result of such sale of CFD Bonds; and

(c) an opinion of Bond Counsel that such sale of CFD Bonds is authorized under the provisions of this Indenture and shall not adversely affect the exclusion of interest on the Bonds from gross income for purposes of federal income taxation.

Upon compliance with the foregoing conditions by the Authority, the Trustee shall sell such CFD Bonds in accordance with the Request of the Authority and disburse the proceeds of the sale of such CFD Bonds to the Authority or upon the receipt of a Request of the Authority shall deposit such proceeds in the Revenue Fund.

Section 5.11. Further Assurances. The Authority shall adopt, make, execute and deliver any and all such further resolutions, instruments and assurances as may be reasonably necessary or proper to carry out the intention or to facilitate the performance of this Indenture, and for the better assuring and confirming unto the Owners of the Bonds the rights and benefits provided in this Indenture.

Section 5.12. Continuing Disclosure. The Authority hereby covenants and agrees that it shall cause the City to comply with and carry out all of the provisions of the Continuing Disclosure Certificate. Notwithstanding any other provision of this Indenture, failure of the City to comply with the Continuing Disclosure Certificate shall not be considered an Event of Default; however, any Participating Underwriter or any holder or beneficial owner of the Bonds may, take such actions as may be necessary and appropriate to compel performance, including seeking mandate or specific performance by court order.

Section 5.13. Compliance with Reporting Requirements Applicable to Bonds. The Authority hereby covenants and agrees that it shall comply with and carry out all of the reporting requirements applicable to the Bonds.

ARTICLE VI

THE TRUSTEE

Section 6.1. Appointment of Trustee. The Bank of New York Mellon Trust Company, N.A., with its corporate trust office presently located in San Francisco, California, a national banking association organized and existing under and by virtue of the laws of the United States of America, is hereby appointed Trustee by the Authority for the purpose of receiving all moneys required to be deposited with the Trustee hereunder and to allocate, use and apply the same as provided in this Indenture. The Authority agrees that it shall maintain a Trustee which is a trust company, national banking association or bank of good standing located in or incorporated under the laws of the State, duly authorized to exercise trust powers, with a combined capital and surplus of at least Seventy-five Million Dollars (\$75,000,000), subject to supervision or examination by federal or state authority. If such bank, national banking association or trust company publishes a report of condition at least annually pursuant to law or to the requirements of any supervising or examining authority above referred to, then for the purpose of this Section 6.1, the combined capital and surplus shall be deemed to be its combined capital and surplus as set forth in its most recent report of condition so published.

The Trustee is hereby authorized to pay the principal of and interest and redemption premium (if any) on the Bonds when duly presented for payment at maturity, or on redemption or purchase prior to maturity, to make regularly scheduled interest payments, and to cancel any Bond upon payment thereof.

Section 6.2. Acceptance of Trusts. The Trustee hereby accepts the trusts imposed upon it by this Indenture, and agrees to perform said trusts, but only upon and subject to the following express terms and conditions:

(a) The Trustee undertakes to perform such duties and only such duties as are specifically set forth in this Indenture. In case an Event of Default hereunder has occurred (which has not been cured or waived), the Trustee may exercise such of the rights and powers vested in it by this Indenture and shall use the same degree of care and skill and diligence in their exercise, as a reasonable person would exercise or use under the circumstances in the conduct of his own affairs.

(b) The Trustee may execute any of the trusts or powers hereof and perform the duties required of it hereunder by or through attorneys, agents, or receivers, but shall not be responsible for the acts of any agents, attorneys or receivers appointed by it with the consent of the Authority unless such appointment was the result of negligence or willful misconduct. The Trustee may consult with and act upon the advice of counsel (which may be counsel to the Authority) concerning all matters of trust and its duty hereunder and shall be wholly protected in reliance upon the advice or opinion of such counsel in respect of any action taken or omitted by it in good faith and in accordance herewith.

(c) The Trustee shall not be responsible for any recital herein, or for any of the supplements thereto or instruments of further assurance, or for the validity, effectiveness or the sufficiency of the security for the Bonds issued hereunder or intended to be secured hereby and

the Trustee shall not be bound to ascertain or inquire as to the observance or performance of any covenants, conditions or agreements on the part of the Authority hereunder. The Trustee shall have no responsibility, opinion, or liability with respect to any information, statement, or recital in any offering memorandum, official statement, or other disclosure material prepared or distributed with respect to the issuance of the Bonds.

(d) Except as provided in Section 3.2, the Trustee shall not be accountable for the use of any proceeds of sale of the Bonds delivered hereunder. The Trustee may become the Owner of Bonds secured hereby with the same rights which it would have if not the Trustee; may acquire and dispose of other bonds or evidences of indebtedness of the Authority with the same rights it would have if it were not the Trustee; and may act as a depositary for and permit any of its officers or directors to act as a member of, or in any other capacity with respect to, any committee formed to protect the rights of Owners of Bonds, whether or not such committee shall represent the Owners of the majority in aggregate principal amount of the Bonds then Outstanding.

(e) The Trustee shall be protected and shall incur no liability in acting, or refraining from acting in good faith and without negligence, in reliance upon any notice, request, consent, certificate, order, affidavit, letter, telegram, facsimile transmission, electronic mail, or other paper or document believed by it to be genuine and correct and to have been signed or sent by the proper person or persons. Any action taken or omitted to be taken by the Trustee in good faith and without negligence pursuant to this Indenture upon the request or authority or consent of any person who at the time of making such request or giving such authority or consent is the Owner of any Bond, shall be conclusive and binding upon all future Owners of the same Bond and upon Bonds issued in exchange therefor or in place thereof. The Trustee shall not be bound to recognize any person as an Owner of any Bond or to take any action at such person's request unless the ownership of such Bond by such person shall be reflected on the Bond Register.

(f) As to the existence or non existence of any fact or as to the sufficiency or validity of any instrument, paper or proceeding, the Trustee shall be entitled to rely upon a Certificate of the Authority as sufficient evidence of the facts therein contained and prior to the occurrence of an Event of Default hereunder of which the Trustee has been given notice or is deemed to have notice, as provided in Section 6.2(h), shall also be at liberty to accept a Certificate of the Authority to the effect that any particular dealing, transaction or action is necessary or expedient, and shall be fully protected in relying thereon, but may at its discretion secure such further evidence deemed by it to be necessary or advisable, but shall in no case be bound to secure the same.

(g) The permissive right of the Trustee to do things enumerated in this Indenture shall not be construed as a duty and notwithstanding any other provision of this Indenture, the Trustee shall not be answerable for other than its negligence or willful misconduct. The immunities and exceptions from liability of the Trustee shall extend to its officers, directors, employees and agents.

(h) The Trustee shall not be required to take notice or be deemed to have notice of any Event of Default hereunder except where a Responsible Officer has actual knowledge of such Event of Default and except for the failure by the Authority to make any of the payments to the Trustee required to be made by the Authority pursuant hereto, including payments on the CFD Bonds, or failure by the Authority to file with the Trustee any document required by this Indenture to be so filed subsequent to the issuance of the Bonds, unless a Responsible Officer shall be

specifically notified in writing of such default by the Authority or by the Owners of at least twenty five percent (25%) in aggregate principal amount of the Bonds then Outstanding and all notices or other instruments required by this Indenture to be delivered to the Trustee must, in order to be effective, be delivered to a Responsible Officer at the Trust Office of the Trustee, and in the absence of such notice so delivered the Trustee may conclusively assume there is no Event of Default hereunder except as aforesaid. Delivery of a notice to the officer and address for the Trustee set forth in Section 9.12, as updated by the Trustee from time to time, shall be deemed notice to a Responsible Officer.

(i) At any and all reasonable times the Trustee, and its duly authorized agents, attorneys, experts, accountants and representatives, shall have the right fully to inspect all books, papers and records of the Authority pertaining to the Bonds, and to make copies of any of such books, papers and records such as may be desired but which is not privileged by statute or by law.

(j) The Trustee shall not be required to give any bond or surety in respect of the execution of the said trusts and powers or otherwise in respect of the performance of its duties hereunder.

(k) Notwithstanding anything elsewhere in this Indenture with respect to the execution of any Bonds, the withdrawal of any cash, the release of any property, or any action whatsoever within the purview of this Indenture, the Trustee shall have the right, but shall not be required, to demand any showings, certificates, opinions, appraisals or other information, or corporate action or evidence thereof, as may be deemed desirable by the Trustee in its sole discretion for the purpose of establishing the right of the Authority to the execution of any Bonds, the withdrawal of any cash, or the taking of any other action by the Trustee.

(l) Before taking the action referred to in Sections 5.9, 8.2 or this Article VI, the Trustee may require that an indemnity bond satisfactory to it be furnished for the reimbursement of all expenses to which it may be put and to protect it against all liability, except liability which is adjudicated to have resulted from its negligence or willful misconduct in connection with any such action.

(m) All moneys received by the Trustee shall, until used or applied or invested as herein provided, be held in trust for the purposes for which they were received but need not be segregated from other funds.

(n) Whether or not expressly so provided, every provision of this Indenture relating to the conduct or affecting the liability of, or affording protection to, the Trustee shall be subject to the provisions of this Article VI.

(o) The Trustee shall not be considered in breach of or in default in its obligations hereunder or progress in respect thereto in the event of delay in the performance of such obligations due to unforeseeable causes beyond its control and without its fault or negligence, including, but not limited to, acts of God or of the public enemy or terrorists, acts of a government, acts of the other party, fires, floods, epidemics, quarantine restrictions, strikes, freight embargoes, earthquakes, explosion, mob violence, riot, inability to procure or general sabotage or rationing of labor, equipment, facilities, sources or energy, material or supplies in the open market, litigation

or arbitration involving a party or others relating to zoning or other governmental action or inaction pertaining to the project, malicious mischief, condemnation, and unusually severe weather or delays of suppliers or subcontractors due to such causes or any similar event and/or occurrences beyond the control of the Trustee.

(p) The Trustee agrees to accept and act upon instructions or directions pursuant to this Indenture sent by unsecured e-mail, facsimile transmission or other similar unsecured electronic methods, provided, however, that, the Trustee shall have received an incumbency certificate listing persons designated to give such instructions or directions and containing specimen signatures of such designated persons, which such incumbency certificate shall be amended and replaced whenever a person is to be added or deleted from the listing. If the Authority elects to give the Trustee e-mail or facsimile instructions (or instructions by a similar electronic method) and the Trustee acts upon such instructions, the Trustee's understanding of such instructions shall be deemed controlling. The Trustee shall not be liable for any losses, costs or expenses arising directly or indirectly from the Trustee's reliance upon and compliance with such instructions notwithstanding such instructions conflict or are inconsistent with a subsequent written instruction. The Authority agrees to assume all risks arising out of the use of such electronic methods to submit instructions and directions to the Trustee, including without limitation the risk of the Trustee acting on unauthorized instructions, and the risk of interception and misuse by third parties.

(q) Whenever in the administration of the trusts imposed upon it by this Indenture the Trustee shall deem it necessary or desirable that a matter be proved or established prior to taking or suffering any action hereunder, such matter (unless other evidence in respect thereof be herein specifically prescribed) may be deemed to be conclusively proved and established by a certificate of the Authority, and such certificate shall be full warrant to the Trustee for any action taken or suffered in good faith under the provisions of this Indenture in reliance upon such certificate, but in its discretion the Trustee may, in lieu thereof, accept other evidence of such matter or may require such additional evidence as it may deem reasonable.

Section 6.3. Fees, Charges and Expenses of Trustee. The Trustee shall be entitled to payment and reimbursement by the Authority for reasonable fees for its services rendered hereunder and all advances (including any interest on advances), counsel fees and expenses (including fees and expenses of outside counsel and the allocated costs of internal attorneys) and other expenses reasonably and necessarily made or incurred by the Trustee in connection with such services. Upon the occurrence of an Event of Default hereunder, but only upon an Event of Default, the Trustee shall have a first lien with right of payment prior to payment of any Bond upon the amounts held in funds and accounts hereunder for the foregoing fees, charges and expenses incurred by it respectively. The Trustee's right to payment of its fees and expenses shall survive the discharge and payment or defeasance of the Bonds and termination of this Indenture, and the resignation or removal of the Trustee.

Section 6.4. Notice to Bond Owners of Default. If an Event of Default hereunder occurs with respect to any Bonds of which the Trustee has been given, or is deemed to have notice, as provided in Section 6.2(h), then the Trustee shall promptly give written notice thereof by first class mail to the Owner of each such Bond, unless such Event of Default shall have been cured before the giving of such notice.

Section 6.5. Intervention by Trustee. In any judicial proceeding to which the Authority is a party which, in the opinion of the Trustee and its counsel, has a substantial bearing on the interests of Owners of any of the Bonds, the Trustee may intervene on behalf of such Bond Owners, and subject to Section 6.2(l), shall do so if requested in writing by the Owners of at least twenty five percent (25%) in aggregate principal amount of such Bonds then Outstanding.

Section 6.6. Removal of Trustee. The Owners of a majority in aggregate principal amount of the Outstanding Bonds may and the Authority may, so long as no Event of Default then exists, upon 30 days' prior written notice to the Trustee, remove the Trustee initially appointed, and any successor thereto, by an instrument or concurrent instruments in writing delivered to the Trustee. Upon any such removal, the Authority or the City shall appoint a successor or successors thereto; provided that any such successor shall be a national banking association meeting the requirements set forth in Section 6.1. Notwithstanding any other provision of this Indenture, no removal of the Trustee shall be effective until a successor is appointed.

Section 6.7. Resignation by Trustee. The Trustee and any successor Trustee may at any time give prior written notice of its intention to resign as Trustee hereunder, such notice to be given to the Authority and the City by registered or certified mail. Upon receiving such notice of resignation, the Authority or the City shall promptly appoint a successor Trustee. Any resignation or removal of the Trustee and appointment of a successor Trustee shall become effective only upon acceptance of appointment by the successor Trustee. Upon such acceptance, the Authority shall cause notice thereof to be given by first class mail, postage prepaid, to the Bond Owners at their respective addresses set forth on the Bond Register.

Section 6.8. Appointment of Successor Trustee. In the event of the removal or resignation of the Trustee pursuant to Sections 6.6 or 6.7, respectively, the Authority or the City shall promptly appoint a successor Trustee. In the event the Authority shall for any reason whatsoever fail to appoint a successor Trustee within thirty (30) days following the delivery to the Trustee of the instrument described in Section 6.6 or within thirty (30) days following the receipt of notice by the Authority and the City pursuant to Section 6.7, the Trustee may petition any court of competent jurisdiction for the appointment of a successor Trustee meeting the requirements of Section 6.1. Any such successor Trustee appointed by such court shall become the successor Trustee hereunder notwithstanding any action by the Authority or the City purporting to appoint a successor Trustee following the expiration of such thirty day period.

Section 6.9. Merger or Consolidation. Any company into which the Trustee may be merged or converted or with which it may be consolidated or any company resulting from any merger, conversion or consolidation to which it shall be a party or any company to which the Trustee may sell or transfer all or substantially all of its corporate trust business, provided that such company shall meet the requirements set forth in Section 6.1, shall be the successor to the Trustee and vested with all of the title to the trust estate and all of the trusts, powers, discretions, immunities, privileges and all other matters as was its predecessor, without the execution or filing of any paper or further act, anything herein to the contrary notwithstanding. The Trustee may assign its rights, duties and obligations hereunder in whole or in part, to an affiliate or subsidiary thereof, provided such Corporation, affiliate or subsidiary shall meet the requirements set forth in Section 6.1.

Section 6.10. Concerning any Successor Trustee. The Authority or the City may appoint a successor Trustee hereunder without additional action of the Board of Directors or City Council. Every successor Trustee appointed hereunder shall execute, acknowledge and deliver to its predecessor and also to the Authority and the City an instrument in writing accepting such appointment hereunder and to the predecessor Trustee an instrument indemnifying the predecessor Trustee for any costs or claims arising during the time the successor Trustee serves as Trustee hereunder and thereupon such successor, without any further act, deed or conveyance, shall become fully vested with all the estates, properties, rights, powers, trusts, duties and obligations of its predecessors; but such predecessor shall, nevertheless, on the Request of the Authority, or of the Trustee's successor, execute and deliver an instrument transferring to such successor all the estates, properties, rights, powers and trusts of such predecessor hereunder; and every predecessor Trustee shall deliver all securities and moneys held by it as the Trustee hereunder to its successor. Should any instrument in writing from the Authority be required by any successor Trustee for more fully and certainly vesting in such successor the estate, rights, powers and duties hereby vested or intended to be vested in the predecessor Trustee, any and all such instruments in writing shall, on request, be executed, acknowledged and delivered by the Authority.

Section 6.11. Appointment of Co Trustee. It is the purpose of this Indenture that there shall be no violation of any law of any jurisdiction (including particularly the law of the State) denying or restricting the right of banking corporations or associations to transact business as a trustee in such jurisdiction. It is recognized that in the case of litigation under this Indenture, and in particular in case of the enforcement of the rights of the Trustee on default, or in the case the Trustee deems that by reason of any present or future law of any jurisdiction it may not exercise any of the powers, rights or remedies herein granted to the Trustee or hold title to the properties, in trust, as herein granted, or take any other action which may be desirable or necessary in connection therewith, it may be necessary that the Trustee appoint an additional individual or institution as a separate co-trustee. The following provisions of this Section 6.11 are adopted to these ends.

In the event that the Trustee or the Authority appoints an additional individual or institution as a separate or co-trustee, each and every remedy, power, right, claim, demand, cause of action, immunity, estate, title, interest and lien expressed or intended by this Indenture to be exercised by or vested in or conveyed to the Trustee with respect thereto shall be exercisable by and vest in such separate or co-trustee but only to the extent necessary to enable such separate or co-trustee to exercise such powers, rights and remedies, and every covenant and obligation necessary to the exercise thereof by such separate or co-trustee shall run to and be enforceable by either of the Trustee or separate or co-Trustee.

Should any instrument in writing from the Authority be required by the separate trustee or co-trustee so appointed by the Trustee or the Authority for more fully and certainly vesting in and confirming to it such properties, rights, powers, trusts, duties and obligations, any and all such instruments in writing shall, on request, be executed, acknowledged and delivered by the Authority. In case any separate trustee or co-trustee, or a successor to either, shall become incapable of acting, resign or be removed, all the estates, properties, rights, powers, trusts, duties and obligations of such separate trustee or co-trustee, so far as permitted by law, shall vest in and be exercised by the Trustee until the appointment of a new trustee or successor to such separate trustee or co-trustee.

Section 6.12. Indemnification; Limited Liability of Trustee. The Authority further covenants and agrees to indemnify and save the Trustee and its officers, officials, directors, agents and employees, harmless against any loss, expense (including legal fees and expenses) and liabilities which it may incur arising out of or in the exercise and performance of its powers and duties hereunder, including the costs and expenses of defending against any claim of liability, but excluding any and all losses, expenses and liabilities which are due to the negligence or intentional misconduct of the Trustee, its officers, directors, agents or employees. No provision in this Indenture shall require the Trustee to risk or expend its own funds or otherwise incur any financial liability hereunder unless indemnity reasonably satisfactory to it against such liability or risk is provided to it. The Trustee shall not be liable for any action taken or omitted to be taken by it in accordance with the direction of a majority (or any lesser amount that may direct the Trustee in accordance with the provisions of this Indenture) of the Owners of the principal amount of Bonds Outstanding relating to the time, method and place of conducting any proceeding or remedy available to the Trustee under this Indenture. The rights of the Trustee and the obligations of the Authority under this Section 6.12 shall survive termination of this Indenture, discharge of the Bonds and resignation or removal of the Trustee.

ARTICLE VII

MODIFICATION AND AMENDMENT OF THE INDENTURE

Section 7.1. Amendment Hereof. This Indenture and the rights and obligations of the Authority and of the Owners of the Bonds may, with prior written notice to each Rating Agency then providing a rating on the Bonds (if any), be modified or amended at any time by a Supplemental Indenture which shall become binding when the prior written consent of the Owners of a majority in aggregate principal amount of the Bonds then Outstanding are filed with the Trustee. No such modification or amendment shall (a) extend the maturity of or reduce the interest rate on any Bond or otherwise alter or impair the obligation of the Authority to pay the principal, interest or redemption premiums at the time and place and at the rate and in the currency provided therein of any Bond without the express written consent of the Owner of such Bond, (b) reduce the percentage of Bonds required for the written consent to any such amendment or modification, or (c) without written consent of the Trustee, modify any of the rights or obligations of the Trustee.

This Indenture and the rights and obligations of the Authority and of the Owners of the Bonds may, with prior written notice to each Rating Agency then providing a rating on the Bonds (if any), also be modified or amended at any time by a Supplemental Indenture which shall become binding upon adoption, without consent of any Bond Owners, to the extent permitted by law but only for any one or more of the following purposes

(a) to add to the covenants and agreements of the Authority contained in this Indenture, other covenants and agreements thereafter to be observed, or to limit or surrender any rights or powers herein reserved to or conferred upon the Authority so long as such addition, limitation or surrender of such rights or powers shall not materially adversely affect the Owners of the Bonds; or

(b) to make such provisions for the purpose of curing any ambiguity, or of curing, correcting or supplementing any defective provision contained in this Indenture, or in any other respect whatsoever as the Authority may deem necessary or desirable, provided under any circumstances that such modifications or amendments shall not materially adversely affect the interests of the Owners of the Bonds; or

(c) to amend any provision relating to the Code as may be necessary or appropriate to assure compliance with the Code and the exclusion from gross income of interest on the Bonds; or

(d) to amend any provision to place any Additional Bonds on a parity with the Bonds for all purposes of this Indenture, including, but not limited to, for the purpose of exercising all rights and remedies hereunder; or

(e) to amend the provisions of Section 4.4.

At least 15 days in advance of the execution of any amendment to this Indenture, the Trustee shall mail notice of such amendment and a copy of the proposed text of such amendment to each Rating Agency then providing a rating on the Bonds (if any).

The Trustee may, as it deems appropriate in its sole discretion, obtain an opinion of Bond Counsel that any such Supplemental Indenture entered into by the Authority and the Trustee complies with the provisions of this Article VII and the Trustee may conclusively rely upon such opinion and shall be fully protected in relying thereon.

Section 7.2. Effect of Supplemental Indenture. From and after the time any Supplemental Indenture becomes effective pursuant to this Article VII, this Indenture shall be deemed to be modified and amended in accordance therewith, the respective rights, duties and obligations of the parties hereto or thereto and all Owners of Outstanding Bonds, as the case may be, shall thereafter be determined, exercised and enforced hereunder subject in all respects to such modification and amendment, and all the terms and conditions of this Indenture for any and all purposes.

Section 7.3. Endorsement or Replacement of Bonds After Amendment. After the effective date of any action taken as hereinabove provided, the Authority may determine that any affected Bonds shall bear a notation, by endorsement in form approved by the Authority, as to such action, and in that case upon demand of the Owner of any Bond Outstanding at such effective date and presentation of its Bond for that purpose at the Trust Office of the Trustee, a suitable notation as to such action shall be made on such Bond. If the Authority shall so determine, new Bonds so modified as, in the opinion of the Authority, shall be necessary to conform to such Bond Owners' action shall be prepared and executed, and in that case upon demand of the Owner of any Bond Outstanding at such effective date such new Bonds shall be exchanged at the Trust Office of the Trustee, without cost to each Bond Owner, for Bonds then Outstanding, upon surrender of such Outstanding Bonds.

Section 7.4. Amendment by Mutual Consent. The provisions of this Article VII shall not prevent any Bond Owner from accepting any amendment as to the particular Bond held by such Owner, provided that due notation thereof is made on such Bond.

ARTICLE VIII

EVENTS OF DEFAULT AND REMEDIES

Section 8.1. Events of Default. The following events shall be Events of Default hereunder.

(a) Default in the due and punctual payment of the principal of any Bond when and as the same shall become due and payable, whether at maturity as therein expressed, by proceedings for redemption, by declaration or otherwise.

(b) Default in the due and punctual payment of any installment of interest on any Bond when and as such interest installment shall become due and payable.

(c) Default by the Authority in the observance of any of the other covenants, agreements or conditions on its part in this Indenture or in the Bonds contained, if such default shall have continued for a period of sixty (60) days after written notice thereof, specifying such default and requiring the same to be remedied, shall have been given to the Authority by the Trustee, or to the Authority and the Trustee by the Owners of not less than twenty five percent (25%) in aggregate principal amount of the Bonds at the time Outstanding; provided that such

default (other than a default arising from nonpayment of the Trustee's fees and expenses, which must be cured within such 60 day period unless waived by the Trustee) shall not constitute an Event of Default hereunder if the Authority shall commence to cure such default within said sixty (60) day period and thereafter diligently and in good faith shall cure such default within a reasonable period of time; or

(d) Default under either CFD Bond Indenture.

Section 8.2. Remedies; Rights of Bond Owners. Upon the occurrence of an Event of Default, the Trustee may pursue any available remedy at law or in equity to enforce the payment of the principal of, premium, if any, and interest on the Outstanding Bonds, and to enforce any rights of the Trustee under or with respect to this Indenture. In the event of an Event of Default arising out of a nonpayment of Trustee's fees and expenses, the Trustee may sue the Authority to seek recovery of its fees and expenses; provided, however, that such recovery may be made only from Revenues.

If an Event of Default shall have occurred and be continuing and if requested to do so by the Owners of at least twenty five percent (25%) in aggregate principal amount of Outstanding Bonds, and, in each case, if indemnified as provided in Section 6.2(l), the Trustee shall be obligated to exercise such one or more of the rights and powers conferred by this Article VIII and, as applicable, under the CFD Bonds, as the Trustee, being advised by counsel, shall deem most expedient in the interests of the Bond Owners.

No remedy by the terms of this Indenture conferred upon or reserved to the Trustee (or to the Bond Owners) is intended to be exclusive of any other remedy, but each and every such remedy shall be cumulative and shall be in addition to any other remedy given to the Trustee or to the Bond Owners hereunder or now or hereafter existing at law or in equity.

No delay or omission to exercise any right or power accruing upon any Event of Default shall impair any such right or power or shall be construed to be a waiver of any such Event of Default or acquiescence therein; such right or power may be exercised from time to time as often as may be deemed expedient.

Section 8.3. Application of Revenues and Other Funds After Event of Default. All amounts received by the Trustee with respect to the Bonds pursuant to any right given or action taken by the Trustee under the provisions of this Indenture relating to the Bonds shall be applied by the Trustee in the following order upon presentation of the several Bonds, and the stamping thereon of the amount of the payment if only partially paid, or upon the surrender thereof if fully paid –

First, to the payment of the fees, costs and expenses of the Trustee in declaring such Event of Default and in carrying out the provisions of this Article VIII, including reasonable compensation to its agents, attorneys and counsel (including outside counsel and the allocated costs of internal attorneys), and to the payment of all other outstanding fees and expenses of the Trustee; and

Second, to the payment of the whole amount of interest on and principal of the Bonds then due and unpaid, with interest on overdue installments of principal and interest to the extent permitted by law at the net effective rate of interest then borne by the Outstanding Bonds;

provided, however, that in the event such amounts shall be insufficient to pay in full the full amount of such interest and principal, then such amounts shall be applied in the following order of priority.

- (a) first to the payment of all installments of interest on the Bonds then due and unpaid,
- (b) second, to the payment of all installments of principal of the Bonds then due and unpaid, and
- (c) third, to the payment of interest on overdue installments of principal and interest on Bonds.

Section 8.4. Power of Trustee to Control Proceedings. In the event that the Trustee, upon the happening of an Event of Default, shall have taken any action, by judicial proceedings or otherwise, pursuant to its duties hereunder, whether upon its own discretion or upon the request of the Owners of a majority in aggregate principal amount of the Bonds then Outstanding, it may, in the exercise of its discretion for the best interests of the Owners of the Bonds, with respect to the continuance, discontinuance, withdrawal, compromise, settlement or other disposal of such action; provided, however, that the Trustee shall not, unless there no longer continues an Event of Default, discontinue, withdraw, compromise or settle, or otherwise dispose of any litigation pending at law or in equity, if there has been filed with it a written request signed by the Owners of a majority in aggregate principal amount of the Outstanding Bonds hereunder opposing such discontinuance, withdrawal, compromise, settlement or other such litigation and provided further that the Trustee shall have the right to decline to comply with such written request unless indemnification satisfactory to it has been provided. Any suit, action or proceeding which any Owner of Bonds shall have the right to bring to enforce any right or remedy hereunder may be brought by the Trustee for the equal benefit and protection of all Owners of Bonds similarly situated and the Trustee is hereby appointed (and the successive respective Owners of the Bonds issued hereunder, by taking and holding the same, shall be conclusively deemed so to have appointed it) the true and lawful attorney in fact of the respective Owners of the Bonds for the purposes of bringing any such suit, action or proceeding and to do and perform any and all acts and things for and on behalf of the respective Owners of the Bonds as a class or classes, as may be necessary or advisable in the opinion of the Trustee as such attorney in fact.

Section 8.5. Appointment of Receivers. Upon the occurrence of an Event of Default hereunder, and upon the filing of a suit or other commencement of judicial proceedings to enforce the rights of the Trustee and of the Bond Owners under this Indenture, the Trustee shall be entitled, as a matter of right, to the appointment of a receiver or receivers of the Revenues and other amounts pledged hereunder, pending such proceedings, with such powers as the court making such appointment shall confer.

Section 8.6. Non Waiver. Nothing in this Article VIII or in any other provision of this Indenture, or in the Bonds, shall affect or impair the obligation of the Authority, which is absolute and unconditional, to pay the interest on and principal of the Bonds to the respective Owners of the Bonds at the respective dates of maturity, as herein provided, out of the Revenues and other moneys herein pledged for such payment.

A waiver of any default or breach of duty or contract by the Trustee or any Bond Owners shall not affect any subsequent default or breach of duty or contract, or impair any rights or

remedies on any such subsequent default or breach. No delay or omission of the Trustee or any Owner of any of the Bonds to exercise any right or power accruing upon any default shall impair any such right or power or shall be construed to be a waiver of any such default or an acquiescence therein; and every power and remedy conferred upon the Trustee or Bond Owners by the Refunding Bond Law or by this Article VIII may be enforced and exercised from time to time and as often as shall be deemed expedient by the Trustee or the Bond Owners, as the case may be.

Section 8.7. Rights and Remedies of Bond Owners. No Owner of any Bond issued hereunder shall have the right to institute any suit, action or proceeding at law or in equity, for any remedy under or upon this Indenture, unless (a) such Owner shall have previously given to the Trustee written notice of the occurrence of an Event of Default; (b) the Owners of a majority in aggregate principal amount of all the Bonds then Outstanding shall have made written request upon the Trustee to exercise the powers hereinbefore granted or to institute such action, suit or proceeding in its own name; (c) said Owners shall have tendered to the Trustee indemnity reasonably acceptable to the Trustee against the costs, expenses and liabilities to be incurred in compliance with such request; and (d) the Trustee shall have refused or omitted to comply with such request for a period of sixty (60) days after such written request shall have been received by, and said tender of indemnity shall have been made to, the Trustee.

Such notification, request, tender of indemnity and refusal or omission are hereby declared, in every case, to be conditions precedent to the exercise by any Owner of Bonds of any remedy hereunder; it being understood and intended that no one or more Owners of Bonds shall have any right in any manner whatever by his or their action to enforce any right under this Indenture, except in the manner herein provided, and that all proceedings at law or in equity to enforce any provision of this Indenture shall be instituted, had and maintained in the manner herein provided and for the equal benefit of all Owners of the Outstanding.

The right of any Owner of any Bond to receive payment of the principal of and interest and premium (if any) on such Bond as herein provided or to institute suit for the enforcement of any such payment, shall not be impaired or affected without the written consent of such Owner, notwithstanding the foregoing provisions of this Section or any other provision of this Indenture.

Section 8.8. Termination of Proceedings. In case the Trustee shall have proceeded to enforce any right under this Indenture by the appointment of a receiver or otherwise, and such proceedings shall have been discontinued or abandoned for any reason, or shall have been determined adversely, then and in every such case, the Authority, the Trustee and the Bond Owners shall be restored to their former positions and rights hereunder, respectively, with regard to the property subject to this Indenture, and all rights, remedies and powers of the Trustee shall continue as if no such proceedings had been taken.

ARTICLE IX

MISCELLANEOUS

Section 9.1. Limited Liability of Authority. Notwithstanding anything in this Indenture contained, the Authority shall not be required to advance any moneys derived from any source of

income other than the Revenues for the payment of the principal of or interest on the Bonds, or any premiums upon the redemption thereof, or for the performance of any covenants herein contained (except to the extent any such covenants are expressly payable hereunder from the Revenues). The Authority may, however, advance funds for any such purpose, provided that such funds are derived from a source legally available for such purpose and may be used by the Authority for such purpose without incurring indebtedness.

The Bonds shall be revenue bonds, payable exclusively from the Revenues and other funds as in this Indenture provided. The general fund of the Authority is not liable, and the credit of the Authority is not pledged, for the payment of the interest and premium (if any) on or principal of the Bonds. The Owners of the Bonds shall never have the right to compel the forfeiture of any property of the Authority. The principal of and interest on the Bonds, respectively, and any premiums upon the redemption of any thereof, shall not be a legal or equitable pledge, charge, lien or encumbrance upon any property of the Authority or upon any of its income, receipts or revenues except the Revenues and other funds pledged to the payment thereof as in this Indenture provided.

Section 9.2. Benefits of Indenture Limited to Parties. Nothing in this Indenture, expressed or implied, is intended to give to any person other than the Authority, the Trustee and the Owners of the Bonds, any right, remedy or claim under or by reason of this Indenture. Any covenants, stipulations, promises or agreements in this Indenture contained by and on behalf of the Authority shall be for the sole and exclusive benefit of the Trustee and the Owners of the Bonds.

Section 9.3. Discharge of Indenture. If the Authority shall pay and discharge any or all of the Outstanding Bonds in any one or more of the following ways:

(a) by well and truly paying or causing to be paid the principal of and interest and premium (if any) on such Bonds, as and when the same become due and payable;

(b) by irrevocably depositing with the Trustee, in trust, at or before maturity, money which, together with the available amounts then on deposit in the funds and accounts established with the Trustee pursuant to this Indenture and available for such purpose, is fully sufficient to pay such Bonds, including all principal, interest and redemption premiums; or

(c) by irrevocably depositing with the Trustee or any other fiduciary, in trust, Federal Securities in such amount as an Independent Accountant determines shall, together with the interest to accrue thereon and available moneys then on deposit in the funds and accounts established with the Trustee pursuant to this Indenture and available for such purpose, be fully sufficient to pay and discharge the indebtedness on such Bonds (including all principal, interest and redemption premiums) at or before their respective maturity dates;

then any such Outstanding Bond or Bonds shall be deemed to have been paid and discharged; provided, however, that any such Outstanding Bond or Bonds shall be deemed to have been paid under paragraph (c) above only if (i) in the case of Bonds to be redeemed prior to the maturity thereof, notice of such redemption shall have been mailed pursuant to Section 2.2(d) or provision satisfactory to the Trustee shall have been made for the mailing of such notice, (ii) a

verification report of an Independent Accountant shall be delivered to the Trustee, and (iii) an opinion of Bond Counsel shall be delivered to the Trustee in the case of a defeasance of Bonds, to the effect that the requirements of this Indenture have been satisfied with respect to such discharge of such Bonds. Upon a discharge of one or more Bonds as described above, and notwithstanding that any of such Bonds shall not have been surrendered for payment, the pledge of the Revenues, and other funds provided for in this Indenture with respect to such Bonds, and all other pecuniary obligations of the Authority under this Indenture with respect to such Bonds, as applicable, shall cease and terminate, except only the obligation of the Authority to comply with the covenants contained in Sections 5.7 and 6.12, to pay or cause to be paid to the Owners of such Bonds not so surrendered and paid all sums due thereon from amounts set aside for such purpose, to pay all expenses and costs of the Trustee and to comply with the covenants contained in Section 5.7. Any funds thereafter held by the Trustee, which are not required for said purposes, shall be paid over to the Authority or upon a Request of the Authority to the City.

Defeasance shall be accomplished only with an irrevocable deposit in escrow of certain investments referred to in this section. Further substitutions of securities in the escrow are not permitted. The deposit in the escrow must be sufficient, without reinvestment, to pay all principal and interest as scheduled on the Bonds to and including the date of redemption. Any security used for defeasance must provide for the timely payment of principal and interest and cannot be callable or prepayable prior to maturity or earlier redemption of the rated debt (excluding securities that do not have a fixed par value and/or whose terms do not promise a fixed dollar amount at maturity or call date).

Section 9.4. Successor is Deemed Included in All References to Predecessor. Whenever in this Indenture or any Supplemental Indenture either the Authority is named or referred to, such reference shall be deemed to include the successor to the powers, duties and functions, with respect to the management, administration and control of the affairs of the Authority, that are presently vested in the Authority, and all the covenants, agreements and provisions contained in this Indenture by or on behalf of the Authority shall bind and inure to the benefit of its successors whether so expressed or not.

Section 9.5. Content of Certificates. Every certificate by or on behalf of the Authority with respect to compliance with a condition or covenant provided for in this Indenture shall include (a) a statement that the person or persons making or giving such certificate have read such covenant or condition and the definitions herein relating thereto; (b) a brief statement as to the nature and scope of the examination or investigation upon which the statements or opinions contained in such certificate are based; (c) a statement that, in the opinion of the signers, they have made or caused to be made such examination or investigation as is necessary to enable them to express an informed opinion as to whether or not such covenant or condition has been complied with; and (d) a statement as to whether, in the opinion of the signers, such condition or covenant has been complied with.

Any such certificate made or given by an officer of the Authority may be based, insofar as it relates to legal matters, upon a certificate or opinion of or representations by counsel, unless such officer knows that the certificate or opinion or representations with respect to the matters upon which his certificate may be based, as aforesaid, are erroneous, or in the exercise of reasonable care should have known that the same were erroneous. Any such certificate or opinion or representation made or given by counsel may be based, insofar as it relates to factual

matters, on information with respect to which is in the possession of the Authority, or upon the certificate or opinion of or representations by an officer or officers of the Authority, unless such counsel knows that the certificate or opinion or representations with respect to the matters upon which his certificate, opinion or representation may be based, as aforesaid, are erroneous, or in the exercise of reasonable care should have known that the same were erroneous.

Section 9.6. Execution of Documents by Bond Owners. Any request, consent or other instrument required by this Indenture to be signed and executed by Bond Owners may be in any number of concurrent writings of substantially similar tenor and may be signed or executed by such Bond Owners in person or by agent or agents duly appointed in writing. Proof of the execution of any such request, consent or other instrument or of a writing appointing any such agent, shall be sufficient for any purpose of this Indenture and shall be conclusive in favor of the Trustee and of the Authority if made in the manner provided in this Section 9.6.

The fact and date of the execution by any person of any such request, consent or other instrument or writing may be proved by the affidavit of a witness of such execution or by the certificate of any notary public or other officer of any jurisdiction, authorized by the laws thereof to take acknowledgements of deeds, certifying that the person signing such request, consent or other instrument or writing acknowledged to him the execution thereof.

The ownership of Bonds shall be conclusively proved by the Bond Register. Any request, consent or vote of the Owner of any Bond shall bind every future Owner of the same Bond and the Owner of any Bond issued in exchange therefor or in lieu thereof, in respect of anything done or suffered to be done by the Trustee or the Authority in pursuance of such request, consent or vote. In lieu of obtaining any demand, request, direction, consent or waiver in writing, the Trustee may call and hold a meeting of the Bond Owners upon such notice and in accordance with such rules and obligation as the Trustee considers fair and reasonable for the purpose of obtaining any such action.

Section 9.7. Disqualified Bonds. In determining whether the Owners of the requisite aggregate principal amount of Bonds have concurred in any demand, request, direction, consent or waiver under this Indenture, Bonds which are owned or held by or for the account of the Authority or the City (but excluding Bonds held in any employees' or retirement fund) shall be disregarded and deemed not to be Outstanding for the purpose of any such determination, provided, however, that for the purpose of determining whether the Trustee shall be protected in relying on any such demand, request, direction, consent or waiver, only Bonds which the Trustee knows to be so owned or held shall be disregarded. Upon request, the Authority and the City shall specify to the Trustee those Bonds disqualified pursuant to this Section 9.7.

Section 9.8. Waiver of Personal Liability. No officer, agent or employee of the Authority shall be individually or personally liable for the payment of the interest on or principal of the Bonds; but nothing herein contained shall relieve any such officer, agent or employee from the performance of any official duty provided by law.

Section 9.9. Partial Invalidity. If any one or more of the covenants or agreements, or portions thereof, provided in this Indenture on the part of the Authority (or of the Trustee) to be performed should be contrary to law, then such covenant or covenants, such agreement or agreements, or such portions thereof, shall be null and void and shall be deemed separable from

the remaining covenants and agreements or portions thereof and shall in no way affect the validity of this Indenture or of the Bonds; but the Bond Owners shall retain all rights and benefits accorded to them under the Refunding Bond Law or any other applicable provisions of law. The Authority hereby declares that it would have entered into this Indenture and each and every other section, paragraph, subdivision, sentence, clause and phrase and would have authorized the issuance of the Bonds pursuant hereto irrespective of the fact that any or more sections, paragraphs, subdivisions, sentences, clauses or phrases of this Indenture or the application thereof to any person or circumstance may be held to be unconstitutional, unenforceable or invalid.

Section 9.10. Destruction of Cancelled Bonds. Whenever in this Indenture provision is made for the surrender to the Authority or the Trustee of any Bonds which have been paid or cancelled pursuant to the provisions of this Indenture, the Trustee shall destroy such Bonds in accordance with the retention policy of the Trustee then in effect.

Section 9.11. Funds and Accounts. Any fund or account required by this Indenture to be established and maintained by the Authority or the Trustee may be established and maintained in the accounting records of the Authority or the Trustee, as the case may be, either as a fund or an account, and may, for the purpose of such records, any audits thereof and any reports or statements with respect thereto, be treated either as a fund or as an account. All such records with respect to all such funds and accounts held by the Authority shall at all times be maintained in accordance with generally accepted accounting principles and all such records with respect to all such funds and accounts held by the Trustee shall be at all times maintained in accordance with corporate trust industry practices; in each case with due regard for the protection of the security of the Bonds and the rights of every Owner thereof.

Section 9.12. Notices. Any notice, request, complaint, demand, communication or other paper shall be sufficiently given and shall be deemed given when delivered or mailed by registered or certified mail, postage prepaid, or sent by telegram, addressed as follows:

If to the Authority:	Roseville Finance Authority c/o City of Roseville 311 Vernon Street Roseville, California 95678 Attention: Treasurer
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If to the Trustee:	The Bank of New York Trust Company, N.A. 550 Kearny Street, Suite 600 San Francisco, California 94108 Attention: Corporate Trust Department
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The Authority and the Trustee may designate any further or different addresses to which subsequent notices, certificates or other communications shall be sent.

Section 9.13. Unclaimed Moneys. Anything in this Indenture to the contrary notwithstanding, any moneys held by the Trustee in trust for the payment and discharge of any of the Bonds which remain unclaimed for two (2) years after the date when such Bonds have become due and payable, either at their stated maturity dates or by call for earlier redemption, if such moneys were held by the Trustee at such date, or for two (2) years after the date of deposit of

such moneys if deposited with the Trustee after said date when such Bonds become due and payable, shall be repaid by the Trustee to the Authority, as its absolute property and free from trust, and the Trustee shall thereupon be released and discharged with respect thereto and the Bond Owners shall look only to the Authority for the payment of such Bonds; provided, however, that before being required to make such payment to the Authority, the Trustee shall, at the expense of Authority, cause to be mailed to the Owners of all such Bonds, at their respective addresses appearing on the Bond Register, a notice that said moneys remain unclaimed and that, after a date in said notice, which date shall not be fewer than thirty (30) days after the date of mailing such notice, the balance of such moneys then unclaimed shall be returned to the Authority.

Section 9.14. Payment Due on Other than a Business Day. If the date for making any payment or the last date for performance of any act or the exercising of any right, as provided in the Indenture, is not a Business Day, such payment, with no interest accruing for the period after such nominal date, may be made or act performed or right exercised on the next succeeding Business Day with the same force and effect as if done on the nominal date provided in this Indenture.

IN WITNESS WHEREOF, the Authority has caused this Indenture to be executed by the Executive Director of the Board of the Authority, attested by its Secretary, and the Trustee has caused this Indenture to be executed by one of its corporate trust officers, all as of the day and year first above written.

ROSEVILLE FINANCE AUTHORITY

By: _____
ROB JENSEN
Executive Director

ATTEST:

By: _____
SONIA OROZCO
Authority Secretary

APPROVED AS TO FORM:

By: _____
ROBERT R. SCHMITT
Authority Counsel

APPROVED AS TO SUBSTANCE:

By: _____
JAY PANZICA
Authority Treasurer

**THE BANK OF NEW YORK MELLON
TRUST COMPANY, N.A., as Trustee**

By: _____
Authorized Officer

EXHIBIT A

FORM OF BOND

No. _____

\$ _____

**ROSEVILLE FINANCE AUTHORITY SPECIAL TAX REVENUE REFUNDING BOND, SERIES
2016**

INTEREST RATE:	MATURITY DATE:	DATED DATE:	CUSIP:
%	September 1, _____	_____, 2016	

REGISTERED OWNER:

PRINCIPAL AMOUNT: AND NO/100 DOLLARS

The ROSEVILLE FINANCE AUTHORITY, a joint powers authority organized and existing under the laws of the State of California (the "Authority"), for value received, hereby promises to pay (but only out of the Revenues and other funds hereinafter referred to) to the Registered Owner identified above or registered assigns (the "Registered Owner"), on the Maturity Date identified above (subject to any right of prior redemption hereinafter mentioned), the Principal Amount identified above in lawful money of the United States of America; and to pay interest thereon at the Interest Rate identified above in like money from the Interest Payment Date (as hereinafter defined) next preceding the date of authentication of this Bond (unless this Bond is authenticated on or before an Interest Payment Date and after the fifteenth calendar day of the month preceding the month in which such Interest Payment Date occurs, in which event it shall bear interest from such Interest Payment Date, or unless this Bond is authenticated on or prior to August 15, 2016, in which event it shall bear interest from the Dated Date identified above; provided, however, that if, at the time of authentication of this Bond, interest is in default on this Bond, this Bond shall bear interest from the Interest Payment Date to which interest hereon has previously been paid or made available for payment), payable semiannually on March 1 and September 1 in each year, commencing September 1, 2016 (each, an "Interest Payment Date") until the Maturity Date stated above or date of redemption of this Bond.

The Principal Amount hereof is payable upon presentation and surrender hereof at the Trust Office (as defined in the Indenture) of The Bank of New York Mellon Trust Company, N.A. (the "Trustee"). Interest hereon is payable by check of the Trustee mailed by first class mail, postage prepaid, on each Interest Payment Date to the Registered Owner hereof at the address of the Registered Owner as it appears on the registration books of the Trustee as of the fifteenth calendar day of the month preceding the month in which such Interest Payment Date occurs; provided, however, that payment of interest may be made by wire transfer to an account in the United States of America to any registered owner of Bonds in the aggregate principal amount of \$1,000,000 or more upon written instructions of any such registered owner filed with the Trustee for that purpose as of the close of business on the fifteenth calendar day of the month preceding the month in which such Interest Payment Date occurs.

This Bond is a limited obligation of the Authority, payable solely from the Revenues and funds pledged under the Indenture (as defined herein). This Bond is not a debt of the City of Roseville (the "City") or the State of California or any of its political subdivisions (except the Authority and only to the extent set forth in the Indenture), and none of said City, said State or any of its political subdivisions is liable hereon. The Authority has no taxing power.

This Bond is one of a duly authorized series of bonds of the Authority designated the "Roseville Finance Authority Special Tax Revenue Refunding Bonds, Series 2016" (the "Bonds"), limited in principal amount to \$_____, secured by an Indenture of Trust dated as of _____ 1, 2016 (the "Indenture"), by and between the Authority and the Trustee. Reference is hereby made to the Indenture and all indentures supplemental thereto for a description of the rights thereunder of the owners of the Bonds, of the nature and extent of the Revenues (as that term is defined in the Indenture), of the rights, duties and immunities of the Trustee and of the rights and obligations of the Authority thereunder; and all of the terms of this Indenture are hereby incorporated herein and constitute a contract between the Authority and the Registered Owner, and to all of the provisions of which Indenture the Registered Owner, by acceptance, assents and agrees.

The Bonds are authorized to be issued pursuant to the provisions of Articles 10 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code, commencing with Section 53570 of said Code) (the "Refunding Bond Law"). The Bonds are limited obligations of the Authority and, as and to the extent set forth in the Indenture, are payable solely from and secured by a first lien on and pledge of the Revenues and certain other funds held by the Trustee as provided in the Indenture. The Revenues and such other funds constitute a trust fund for the security and payment of the principal of and interest on the Bonds, except to the extent otherwise provided in the Indenture. The full faith and credit of the Authority is not pledged to the payment of the principal of or interest or redemption premiums (if any) on the Bonds. The Bonds are not secured by a legal or equitable pledge of, or charge, lien or encumbrance upon, any of the property of the Authority or any of its income or receipts, except the Revenues and such other funds as provided in the Indenture.

The Bonds have been issued to provide funds to purchase certain obligations of the City (the "CFD Bonds"). The City shall take the proceeds that it receives from the sale of the CFD Bonds to the Authority to refund certain outstanding indebtedness of the City and to finance certain public capital improvements in the CFDs, all as more particularly described in the Indenture. The obligations of the City to make payments of principal and interest on the CFD Bonds are limited obligations secured only as set forth therein.

Optional Redemption. The Bonds may be redeemed at the option of the Authority, from any source of available funds (excluding from proceeds of early redemption of CFD Bonds from prepayment of Special Taxes within a CFD in connection with CFD Bonds, which redemptions shall proceed in accordance with subsection (b) below), prior to maturity on any Interest Payment Date as a whole, or in part from maturities corresponding proportionately to the maturities of the CFD Bonds simultaneously redeemed, if any redemption of CFD Bonds is being accomplished in conjunction with such optional redemption, and otherwise from such maturities as are selected by the Authority, by lot within a maturity, at a redemption price equal to the principal amount of the Bonds to be redeemed, together with accrued interest thereon to the date of redemption, without premium.

Mandatory Special Redemption. The Bonds are subject to mandatory special redemption on any Interest Payment Date from proceeds of early redemption of CFD Bonds from prepayment of Special Taxes (as defined in the CFD Bond Indentures), in whole or in part, from maturities corresponding proportionately to the maturities of the CFD Bonds simultaneously redeemed, at the principal amount thereof, plus accrued interest to the date of redemption thereof, without premium.

The Authority shall deliver to the Trustee a certificate of an Independent Financial Consultant verifying that, following such prepayment of the CFD Bonds and mandatory special redemption of the Bonds, the principal and interest generated from the remaining CFD Bonds is adequate to make the timely payment of principal and interest due on the Bonds that shall remain Outstanding following such redemption.

Mandatory Sinking Fund Redemption. The Bonds maturing on September 1, 20__ and September 1, 20__ (the "Term 2016 Bonds"), are subject to redemption prior to their stated maturity, in part, by lot from amounts deposited into the Principal Account in the following amounts and on the following dates, at the principal amount thereof on the date fixed for redemption, without premium, but which amounts shall be proportionately reduced by the principal amount of Bonds redeemed pursuant to the provisions

Term 2016 Bonds Maturing September 1, 20__

Mandatory Redemption Dates (September 1)	Principal Amount
20__	\$
20__ *	
* Maturity	

Term 2016 Bonds Maturing September 1, 20__

Mandatory Redemption Dates (September 1)	Principal Amount
20__	\$
20__ *	
* Maturity	

The Trustee on behalf and at the expense of the Authority shall mail by first class mail, postage prepaid, notice of any redemption to the respective owners of any Bonds designated for redemption, at their respective addresses appearing on the registration books maintained by the Trustee and to the Securities Depositories and to the Municipal Securities Rulemaking Board (as such terms are defined in the Indenture), at least thirty (30) but not more than sixty (60) days prior to the redemption date; provided, however, that neither failure to receive any such notice so mailed nor any defect therein shall affect the validity of the proceedings for the redemption of such Bonds or the cessation of the accrual of interest thereon.

If this Bond is called for redemption and payment is duly provided therefor as specified in the Indenture, interest shall cease to accrue hereon from and after the date fixed for redemption.

The Bonds are issuable as fully registered Bonds without coupons in denominations of \$5,000 or any integral multiple thereof. Subject to the limitations and upon payment of the charges,

if any, provided in the Indenture, fully registered Bonds may be exchanged at the Trust Office of the Trustee for a like aggregate principal amount and maturity of fully registered Bonds of other authorized denominations.

This Bond is transferable by the Registered Owner, in person or by its attorney duly authorized in writing, at the Trust Office of the Trustee, but only in the manner, subject to the limitations and upon payment of the charges provided in the Indenture, and upon surrender and cancellation of this Bond. Upon such transfer a new fully registered Bond or Bonds, of authorized denomination or denominations, for the same aggregate principal amount shall be issued to the transferee in exchange herefor. The Trustee shall not be required to register the transfer or exchange of any Bond (i) during 15 days prior to selection of Bonds for redemption, or (ii) selected for redemption.

The Authority and the Trustee may treat the Registered Owner hereof as the absolute owner hereof for all purposes, and the Authority and the Trustee shall not be affected by any notice to the contrary. The Indenture and the rights and obligations of the Authority and of the owners of the Bonds and of the Trustee may be modified or amended from time to time and at any time in the manner, to the extent, and upon the terms provided in the Indenture; provided that no such modification or amendment shall (a) extend the maturity of or reduce the interest rate on any Bond or otherwise alter or impair the obligation of the Authority to pay the principal, interest or redemption premiums at the time and place and at the rate and in the currency provided therein of any Bond without the express written consent of the owner of such Bond, (b) reduce the percentage of Bonds required for the written consent to any such amendment or modification, or (c) without its written consent thereto, modify any of the rights or obligations of the Trustee, all as more fully set forth in the Indenture.

It is hereby certified by the Authority that all things, conditions and acts required to exist, to have happened and to have been performed precedent to and in the issuance of this Bond do exist, have happened and have been performed in due time, form and manner as required by the Constitution and statutes of the State of California and by the Refunding Bond Law, and that the amount of this Bond, together with all other indebtedness of the Authority, does not exceed any limit prescribed by the Constitution or statutes of the State of California or by the Refunding Bond Law.

This Bond shall not be entitled to any benefit under the Indenture, or become valid or obligatory for any purpose, until the certificate of authentication hereon shall have been signed by the Trustee.

IN WITNESS WHEREOF, the ROSEVILLE FINANCE AUTHORITY has caused this Bond to be executed in its name and on its behalf by the facsimile signature of its Chair and attested by the facsimile signature of its Secretary, all as of the date set forth above.

ROSEVILLE FINANCE AUTHORITY

By: _____
Chair

Attest:

Secretary

CERTIFICATE OF AUTHENTICATION

This is one of the Bonds described in the within-mentioned Indenture.

Date: _____, 2016

THE BANK OF NEW YORK TRUST
COMPANY, N.A., as
Trustee

By: _____
Authorized Signatory

ASSIGNMENT

For value received the undersigned do(es) hereby sell, assign and transfer unto _____ whose tax identification number is __, the within mentioned registered Bond and hereby irrevocably constitute(s) and appoint(s) _____ attorney to transfer the same on the books of the Trustee with full power of substitution in the premises.

Dated: _____

Signature guaranteed:

NOTE: Signature(s) must be guaranteed by an eligible institution correspond with the name(s) as written on the face of the

NOTE: The signatures(s) on this Assignment must guarantor within Bond in every particular without alteration or enlargement or any change whatsoever

PRELIMINARY OFFICIAL STATEMENT DATED _____, 2016**NEW ISSUE-FULL BOOK ENTRY****RATING: S&P “___”
(See “RATINGS” herein)**

In the opinion of Jones Hall, A Professional Law Corporation, San Francisco, California, Bond Counsel, under existing statutes, regulations, rulings and judicial decisions, and assuming certain representations and compliance with certain covenants and requirements described herein, interest on the Bonds is excluded from gross income for federal income tax purposes and is not an item of tax preference for purposes of calculating the federal alternative minimum tax imposed on individuals and corporations; although for the purpose of computing the alternative minimum tax imposed on certain corporations, such interest is taken into account in determining certain income and earnings. In the further opinion of Bond Counsel, interest on the Bonds is exempt from State of California personal income tax. See “LEGAL MATTERS — Tax Matters” herein.

\$ _____ *

**ROSEVILLE FINANCE AUTHORITY
SPECIAL TAX REVENUE REFUNDING BONDS
SERIES 2016**

Dated: Date of Delivery**Due: September 1, as shown on inside cover**

General. The Special Tax Revenue Refunding Bonds, Series 2016 (the “Bonds”) are being issued by the Roseville Finance Authority (the “Authority”) to refund, on a current basis, the Authority’s outstanding Revenue Bonds, 2006 Series A (Senior Lien Bonds) and 2006 Series B (Junior Lien Bonds) (together, the “2006 Bonds”). The 2006 Bonds were issued by the Authority to assist the City of Roseville (the “City”) in the financing and refinancing of certain special tax bonds issued by the City to finance improvements for its Highland Reserve North Community Facilities District No. 1 and Woodcreek East Community Facilities District No. 1 (together, the “Districts”) pursuant to the Mello-Roos Community Facilities Act of 1982, as amended, being California Government Code Section 53311, et seq. (the “Act”).

Security and Sources of Payment for the Bonds and CFD Bonds. The Bonds are payable solely from “Revenues” pledged by the Authority under the Indenture (described herein), which consist primarily of payments received by the Authority from the City on the City of Roseville Highland Reserve North Community Facilities District No. 1 Special Tax Bonds, Series 2006 issued in the original principal amount of \$33,120,000 and the City of Roseville Woodcreek East Community Facilities District No. 1 Special Tax Bonds, Series 2006 issued in the original principal amount of \$6,245,000 (collectively, the “CFD Bonds”), which payments are secured by liens of unpaid special taxes authorized under the Act, as more fully described herein. Each respective series of CFD Bonds is payable from special taxes (net of administration expenses) levied within the respective District and paid to the Authority as debt service on the respective CFD Bonds. Installments of principal and interest sufficient to meet annual CFD Bonds debt service are included on the regular county tax bills sent to owners of property against which there are unpaid special taxes. Scheduled payments under the CFD Bonds collectively are sufficient to permit the Authority to pay the principal of and interest on the Bonds when due. The CFD Bonds were issued in 2006 and are not being refunded or amended in connection with the issuance of the Bonds. See “SECURITY FOR THE BONDS AND SOURCES OF PAYMENT THEREFOR.”

Bond Terms. The Bonds will be issued in denominations of \$5,000 or any integral multiple of \$5,000. Interest is payable semiannually on each March 1 and September 1, commencing September 1, 2016. The Bonds will be initially issued only in book-entry form and registered to Cede & Co. as nominee of The Depository Trust Company, New York, New York (“DTC”), which will act as securities depository of the Bonds. Principal and interest (and premium, if any) on the Bonds is payable by the Trustee to DTC, which remits such payments to its Participants for subsequent distribution to the registered owners as shown on the Trustee’s books. See “THE BONDS – General Provisions” and “– Book-Entry Only System.”

Redemption. The Bonds are subject to redemption prior to maturity as described herein. See “THE BONDS – Redemption.”

Risk Factors. The Bonds may not be appropriate investments for certain individuals. See “RISK FACTORS” for a discussion of the risk factors that should be considered in evaluating the investment quality of the Bonds.

Bond Insurance. The Authority has applied for bond insurance to guarantee the scheduled payment of principal and interest on the Bonds and, if a commitment is issued to insure the Bonds, will determine prior to the sale of the Bonds whether to obtain such insurance.

THE BONDS ARE SPECIAL OBLIGATIONS OF THE AUTHORITY PAYABLE SOLELY FROM AND SECURED SOLELY BY THE REVENUES AND FUNDS PLEDGED THEREFOR IN THE INDENTURE. THE BONDS ARE NOT A DEBT OR LIABILITY OF THE CITY, THE STATE OF CALIFORNIA OR ANY POLITICAL SUBDIVISIONS THEREOF OTHER THAN THE AUTHORITY TO THE LIMITED EXTENT DESCRIBED HEREIN, AND NEITHER THE FAITH AND CREDIT OF THE AUTHORITY, THE CITY, THE STATE OR ANY OF ITS POLITICAL SUBDIVISIONS ARE PLEDGED TO THE PAYMENT OF PRINCIPAL OF, PREMIUM, IF ANY, OR INTEREST ON THE BONDS AND NEITHER THE AUTHORITY (EXCEPT TO THE LIMITED EXTENT DESCRIBED HEREIN), THE CITY, THE STATE NOR ANY OF ITS POLITICAL SUBDIVISIONS IS LIABLE THEREFOR, NOR IN ANY EVENT SHALL THE BONDS OR ANY INTEREST THEREON BE PAYABLE OUT OF ANY FUNDS OR PROPERTIES OTHER THAN THOSE OF THE AUTHORITY AS SET FORTH IN THE INDENTURE. NEITHER THE BONDS NOR THE OBLIGATION TO MAKE PAYMENTS ON THE CFD BONDS CONSTITUTES AN INDEBTEDNESS OF THE AUTHORITY, THE CITY, THE STATE OR ANY OF ITS POLITICAL SUBDIVISIONS WITHIN THE MEANING OF ANY CONSTITUTIONAL OR STATUTORY DEBT LIMITATION OR RESTRICTION. THE AUTHORITY HAS NO TAXING POWER.

Maturity Schedule
(see inside cover)

This Preliminary Official Statement and the information contained herein are subject to completion or amendment. These securities may not be sold nor may offers to buy be accepted prior to the time the Official Statement is delivered in final form. Under no circumstances will this Preliminary Official Statement constitute an offer to sell or a solicitation of an offer to buy nor will there be any sale of these securities in any jurisdiction in which such offer solicitation or sale would be unlawful.

The Bonds will be offered when, as and if issued and received by RBC Capital Markets, LLC, as underwriter, subject to the approval as to their legality by Jones Hall, A Professional Law Corporation, San Francisco, California, as Bond Counsel. Certain legal matters will be passed upon for the Authority and the City by the City Attorney. Jones Hall is also acting as Disclosure Counsel to the Authority. Nossaman LLP, Irvine, California, is acting as counsel to the Underwriter. It is anticipated that the Bonds in definitive form will be available for delivery through the facilities of DTC on or about _____, 2016.

[RBC LOGO]

The date of this Official Statement is: _____, 2016.

* Preliminary; subject to change.

MATURITY SCHEDULE

\$ _____
ROSEVILLE FINANCE AUTHORITY
SPECIAL TAX REVENUE REFUNDING BONDS
SERIES 2016

(Base CUSIP[†]: _____)

\$ _____ Serial Bonds

<u>Maturity Date</u> <u>(September 1)</u>	<u>Principal</u> <u>Amount</u>	<u>Interest</u> <u>Rate</u>	<u>Yield</u>	<u>Price</u>	<u>CUSIP[†]</u>
--	---	--	---------------------	---------------------	---------------------------------

\$ _____ % Term Bonds Due September 1, 20__; Price: _____ to Yield: _____ %
CUSIP[†] _____

[†] Copyright 2016. American Bankers Association. CUSIP data herein is provided by Standard & Poor's CUSIP Service Bureau, a division of The McGraw-Hill Companies, Inc. None of the Authority, the District, the City or the Underwriter make any representation as to the accuracy of the CUSIP information.

GENERAL INFORMATION ABOUT THIS OFFICIAL STATEMENT

No Offering May Be Made Except by this Official Statement. No dealer, broker, salesperson or other person has been authorized by the Authority, the City or the Underwriter to give any information or to make any representations with respect to the Bonds or the CFD Bonds other than as contained in this Official Statement, and if given or made, such other information or representation must not be relied upon as having been authorized by the Authority, the City or the Underwriter. This Official Statement does not constitute an offer to sell or the solicitation of an offer to buy in any state in which such offer or solicitation is not authorized or in which the person making such offer or solicitation is not qualified to do so or to any person to whom it is unlawful to make such offer or solicitation.

Effective Date. This Official Statement speaks only as of its date, and the information and expressions of opinion contained in this Official Statement are subject to change without notice. Neither the delivery of this Official Statement nor any sale of the Bonds will, under any circumstances, create any implication that there has been no change in the affairs of the Authority, the City, the Districts or any other parties described in this Official Statement, or in the condition of property within the Districts since the date of this Official Statement.

Use of this Official Statement. This Official Statement is submitted in connection with the sale of the Bonds referred to herein and may not be reproduced or used, in whole or in part, for any other purpose. This Official Statement is not a contract with the purchasers of the Bonds.

Preparation of this Official Statement. The information contained in this Official Statement has been obtained from sources that are believed to be reliable, but this information is not guaranteed as to accuracy or completeness. The Underwriter has provided the following sentence for inclusion in this Official Statement: The Underwriter has reviewed the information in this Official Statement in accordance with, and as part of, its responsibilities to investors under the federal securities laws as applied to the facts and circumstances of this transaction, but the Underwriter does not guarantee the accuracy or completeness of such information.

Document References and Summaries. All references to and summaries of the Indenture or other documents contained in this Official Statement are subject to the provisions of those documents and do not purport to be complete statements of those documents.

Stabilization of and Changes to Offering Prices. The Underwriter may over allot or take other steps that stabilize or maintain the market price of the Bonds at a level above that which might otherwise prevail in the open market. If commenced, the Underwriter may discontinue such market stabilization at any time. The Underwriter may offer and sell the Bonds to certain dealers, dealer banks and banks acting as agent at prices lower than the public offering prices stated on the cover page of this Official Statement, and those public offering prices may be changed from time to time by the Underwriter.

Bonds are Exempt from Securities Laws Registration. The issuance and sale of the Bonds have not been registered under the Securities Act of 1933, as amended, or the Securities Exchange Act of 1934, as amended, in reliance upon exemptions for the issuance and sale of municipal securities provided under Section 3(a)(2) of the Securities Act of 1933 and Section 3(a)(12) of the Securities Exchange Act of 1934.

Estimates and Projections. Certain statements included or incorporated by reference in this Official Statement constitute "forward-looking statements" within the meaning of the United States Private Securities Litigation Reform Act of 1995, Section 21E of the United States Securities Exchange Act of 1934, as amended, and Section 27A of the United States Securities Act of 1933, as amended. Such statements are generally identifiable by the terminology used such as "plan," "expect," "estimate," "budget" or other similar words.

THE ACHIEVEMENT OF CERTAIN RESULTS OR OTHER EXPECTATIONS CONTAINED IN SUCH FORWARD-LOOKING STATEMENTS INVOLVE KNOWN AND UNKNOWN RISKS, UNCERTAINTIES AND OTHER FACTORS WHICH MAY CAUSE ACTUAL RESULTS, PERFORMANCE OR ACHIEVEMENTS DESCRIBED TO BE MATERIALLY DIFFERENT FROM ANY FUTURE RESULTS, PERFORMANCE OR ACHIEVEMENTS EXPRESSED OR IMPLIED BY SUCH FORWARD-LOOKING STATEMENTS. THE AUTHORITY AND THE CITY DO NOT PLAN TO ISSUE ANY UPDATES OR REVISIONS TO THOSE FORWARD-LOOKING STATEMENTS IF OR WHEN ANY EXPECTATIONS, OR EVENTS, CONDITIONS OR CIRCUMSTANCES ON WHICH SUCH STATEMENTS ARE BASED OCCUR.

Internet Site. The City maintains an Internet website, but the information that it contains is not incorporated in this Official Statement.

**ROSEVILLE FINANCE AUTHORITY
PLACER COUNTY, CALIFORNIA**

**BOARD OF DIRECTORS OF THE AUTHORITY
AND MEMBERS OF THE CITY COUNCIL**

Carol Garcia, *Mayor/Chairperson of the Authority*
Susan Rohan, *Vice Mayor/Vice-Chairperson of the Authority*
Bonnie Gore, *Councilmember/Boardmember*
Tim Herman, *Councilmember/Boardmember*
Pauline Roccucci, *Councilmember/Boardmember*

CITY AND AUTHORITY OFFICERS

Rob Jensen, *City Manager/Executive Director*
Jay Panzica, *Chief Financial Officer/Treasurer*
Monty Hanks, *Finance Director of the City*
Robert R. Schmitt, *City Attorney/Authority Counsel*
Sonia Orozco, *City Clerk/Secretary*

SPECIAL SERVICES

Bond Counsel

Jones Hall, A Professional Law Corporation
San Francisco, California

Trustee

The Bank of New York Mellon Trust Company, N.A.
San Francisco, California

Municipal Advisor

FirstSouthwest, a Division of Hilltop Securities Inc.
Santa Monica, California

Special Tax Administrator

Willdan Financial Services
Temecula, California

Verification Agent

Grant Thornton, LLP
Minneapolis, Minnesota

Disclosure Counsel

Jones Hall, A Professional Law Corporation
San Francisco, California

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OFFICIAL STATEMENT

\$ _____ *

**ROSEVILLE FINANCE AUTHORITY
SPECIAL TAX REVENUE REFUNDING BONDS
SERIES 2016**

This Official Statement, including the cover page and the appendices hereto, is provided to furnish information regarding the issuance by the Roseville Finance Authority (the “**Authority**”) of its Special Tax Revenue Refunding Bonds, Series 2016 (the “**Bonds**”) in the aggregate principal amount set forth above.

Any statements made in this Official Statement involving matters of opinion or of estimates, whether or not so expressly stated, are set forth as such and not as representations of fact, and no representation is made that any of the estimates will be realized. Definitions of certain terms used herein and not defined herein have the meaning set forth in the Indenture or the respective CFD Bond Indentures described herein.

INTRODUCTION

This Introduction is not a summary of this Official Statement. It is only a brief description of and guide to, and is qualified by, more complete and detailed information contained in the entire Official Statement, including the cover page and appendices hereto, and the documents summarized or described herein. A full review should be made of the entire Official Statement. The offering of the Bonds to potential investors is made only by means of the entire Official Statement. Capitalized terms used but not defined in this Official Statement have the meanings set forth in the Indenture (as defined below). See “APPENDIX A – Summary of Certain Provisions of Principal Legal Documents.”

Financing Plan. The Bonds are being issued by the Authority to refund, on a current basis, the Authority’s outstanding Revenue Bonds, 2006 Series A (Senior Lien Bonds) and 2006 Series B (Junior Lien Bonds) (together, the “**2006 Bonds**”). The 2006 Bonds were issued by the Authority to assist the City of Roseville (the “**City**”) in the financing and refinancing of certain special tax bonds issued to finance improvements for its Highland Reserve North Community Facilities District No. 1 (“**Highland Reserve North CFD**”) and Woodcreek East Community Facilities District No. 1 (“**Woodcreek East CFD**” and together, the “**Districts**”). The City has previously issued its \$33,120,000 City of Roseville Highland Reserve North Community Facilities District No. 1 Special Tax Bonds, Series 2006 and its \$6,245,000 City of Roseville Woodcreek East Community Facilities District No. 1 Special Tax Bonds, Series 2006 (collectively, the “**CFD Bonds**”), which CFD Bonds provide the primary security and source of payment for the 2006 Bonds and will provide the primary security and source of payment for the Bonds. The CFD Bonds are not being refunded or amended in connection with the issuance of the Bonds. See “FINANCING PLAN” and “SECURITY FOR THE BONDS AND SOURCES OF PAYMENT THEREFOR” herein.

* Preliminary; subject to change.

Authority for Issuance. The Bonds are issued under the provisions of Articles 10 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code, commencing with Section 53570 of said Code (the “**Refunding Bond Law**”), and the terms of an Indenture dated as of _____ 1, 2016 (the “**Indenture**”) by and between the Authority and The Bank of New York Mellon Trust Company, N.A., as trustee (the “**Trustee**”). In 2006, the CFD Bonds were issued by the City under the Mello-Roos Community Facilities Act of 1982, as amended, being California Government Code Section 53311, *et seq.* (the “**Act**”), and a Bond Indenture, dated as of April 1, 2006, by and between the City, for and on behalf of Woodcreek East CFD, and The Bank of New York Mellon Trust Company, N.A., as trustee (the “**CFD Bonds Trustee**”), and a Bond Indenture, dated as of April 1, 2006, by and between the City, for and on behalf of Highland Reserve North CFD, and the CFD Bonds Trustee.

The Authority and the City. The Authority is a joint exercise of powers authority duly organized and existing under the laws of the State of California (the “**State**”). The City is a charter city duly organized and existing under the laws of the State, located within Placer County (the “**County**”). For more information about the City and the County, see “APPENDIX B - General Information about the City of Roseville and Placer County.”

Security for the Bonds and CFD Bonds. The Bonds are special obligations of the Authority, payable from and secured by “**Revenues**” (as defined herein) of the Authority consisting primarily of payments received by the Authority from the City in connection with the CFD Bonds. *Neither series of CFD Bonds or the pledge of special taxes to pay such CFD Bonds is cross-collateralized to the other series.* Scheduled payments under the CFD Bonds collectively are sufficient to provide the Authority with money to pay the principal of and interest on the Bonds when due. See “SECURITY FOR THE BONDS AND SOURCES OF PAYMENT THEREFOR” and “SECURITY FOR THE CFD BONDS” below.

The Bonds are secured by a lien on and security interest in all of the Revenues and any other amounts (including proceeds of the sale of the Bonds) held in certain funds established under to the Indenture. See “SECURITY FOR THE BONDS AND SOURCES OF PAYMENT THEREFOR - Revenues.”

The CFD Bonds are issued upon and are secured by special taxes (net of certain administrative expenses) (the “**Special Tax**” or “**Special Taxes**”) levied against taxable property in each respective District, and such unpaid Special Taxes constitute a trust fund for the redemption and payment of the principal of the respective CFD Bonds and the interest thereon.

The Special Taxes are to be levied by the City on taxable real property within the boundaries of each District. The CFD Bonds are also payable from the proceeds of any foreclosure actions brought following a delinquency in payment of the Special Taxes, and from amounts held in certain funds and accounts related to the CFD Bonds, all as more fully described herein. The Special Tax applicable to each taxable parcel will be levied and collected according to the tax liability determined by the City Council through the application of a rate and method of apportionment of Special Tax for each respective District (each, a “**Special Tax Formula**”) which was approved by the City in connection with the formation of the Districts. The Special Tax Formulas for the Districts are set forth in APPENDIX C-1 AND APPENDIX C-2. The Special Taxes represent liens on the parcels of land subject to a Special Tax and failure to pay the Special Taxes could result in proceedings to foreclose the delinquent property. See “SECURITY FOR THE CFD BONDS — Special Tax Methodology.”

Unpaid Special Taxes do not constitute a personal indebtedness of the owners of the parcels within the Districts and the owners have made no commitment to pay the principal of or interest on the CFD Bonds or the Bonds or to support payment of the Bonds in any manner. In the event of delinquency, proceedings may be conducted only against the particular parcel securing the delinquent Special Tax.

No series of CFD Bonds or the pledge of special taxes to pay such CFD Bonds is cross-collateralized to any other series of CFD Bonds.

The Bonds are further secured by a Reserve Fund, which will be held by the Trustee under the Indenture, and which will be funded to the Reserve Requirement (as defined herein) for the Bonds. Separate reserve accounts for each District will be established within the Reserve Fund, to be funded to each District's Proportionate Share (as defined herein). *There is no cross-collateralization of the reserve accounts; the Highland Reserve North CFD reserve account will only be funded up to its Proportionate Share, and the Woodcreek East CFD reserve account will only be funded up to its Proportionate Share.* See "SECURITY FOR THE BONDS AND SOURCES OF PAYMENT THEREFOR – Reserve Fund."

Additional Bonds and CFD Bonds for Refunding Purposes Only. Additional Bonds and CFD Bonds secured on parity with the Bonds and CFD Bonds, respectively, may be issued but only for refunding purposes. See "SECURITY FOR THE BONDS AND SOURCES OF PAYMENT THEREFOR – Additional Bonds and CFD Bonds for Refunding Purposes Only."

Bond Insurance. The Authority has applied for bond insurance to guarantee the scheduled payment of principal and interest on the Bonds and, if a commitment is issued to insure the Bonds, will determine prior to the sale of the Bonds whether to obtain such insurance. See "BOND INSURANCE."

The Districts and Value of Land in the Districts. Each District is comprised of land located within the City and was formed to finance a portion of certain infrastructure improvements of benefit to such District. Woodcreek East CFD was formed in 2000 and has been fully developed into 350 single-family residential parcels. Highland Reserve CFD was formed in 1999 and has been almost fully developed, with 5 out of 1,487 parcels remaining undeveloped; the remaining parcels in the Highland Reserve CFD have been developed into 1,018 single-family residential parcels, 408 condominium parcels, and 56 commercial parcels. Each of the 5 remaining undeveloped parcels is expected to be developed into a commercial parcel.

Property in the Districts is security for the respective Special Taxes. In connection with valuing property in the Districts, the City has obtained the 2015-16 County assessed valuation (the "**Assessed Valuation**") of the taxable property in the Districts. The aggregate Assessed Valuation of taxable property in the Districts (1,837 parcels) is \$892,441,766, consisting of \$730,734,138 in Highland Reserve North CFD (1,487 parcels) and \$161,707,628 in Woodcreek East CFD (350 parcels).

Direct Debt Value to Lien Ratios. The aggregate Assessed Valuation of taxable property in the Districts is \$892,441,766 (consisting solely of assessed land value and structure value), which is approximately 36 times the \$24,840,000 aggregate principal amount of the CFD Bonds (not including overlapping debt) outstanding as of September 30, 2015. The aggregate Assessed Valuation of taxable property in the Highland Reserve North CFD is \$730,734,138 (consisting solely of assessed land value and structure value), which is approximately 33 times

the \$20,651,000 aggregate principal amount of the Highland Reserve North CFD Bonds (not including overlapping debt) outstanding as of September 30, 2015. The aggregate Assessed Valuation of taxable property in the Woodcreek East CFD is \$161,707,628 (consisting solely of assessed land value and structure value), which is approximately 39 times the \$4,189,000 aggregate principal amount of the Woodcreek East CFD Bonds (not including overlapping debt) outstanding as of September 30, 2015.

Overlapping Debt Value to Lien Ratios. The aggregate Assessed Valuation of taxable property in the Districts is \$900,125,076 (consisting of assessed land value and structure value, as well as other property assessed through the County secured property tax roll), which is approximately 31 times the \$28,865,918 total direct and overlapping debt in the Districts as of April 1, 2016. The aggregate Assessed Valuation of taxable property in the Highland Reserve North CFD is \$738,417,448 (consisting of assessed land value and structure value, as well as other property assessed through the County secured property tax roll), which is approximately 31 times the \$23,954,324 total direct and overlapping debt in the Highland Reserve North CFD as of April 1, 2016. The aggregate Assessed Valuation of taxable property in the Woodcreek East CFD is \$161,707,628 (consisting of assessed land value and structure value, as well as other property assessed through the County secured property tax roll), which is approximately 33 times the \$4,911,594 total direct and overlapping debt in the Woodcreek East CFD as of April 1, 2016.

For more information on Assessed Values in the Districts, see “THE DISTRICTS” and APPENDICES D-1 and D-2.

Risks of Investment. See the section of this Official Statement entitled “RISK FACTORS” for a discussion of special factors that should be considered, in addition to the other matters set forth herein, in considering the investment quality of the Bonds. **The Bonds are limited obligations of the Authority. Payments received by the Authority from the City in connection with the CFD Bonds is the primary security and source of payment for the Bonds. The general fund of the City is not liable and the full faith and credit of the City is not pledged for the payment of the interest on, or principal of or redemption premiums, if any, on the CFD Bonds. The CFD Bonds and the Bonds are not secured by a legal or equitable pledge of or charge, lien or encumbrance upon any property of the City or any of its income or receipts, except the money in the Special Tax Fund (described herein) established under the respective CFD Bond Indentures, and neither the payment of the interest on nor principal of or redemption premiums, if any, on the CFD Bonds or the Bonds is a general debt, liability or obligation of the City or the Authority.**

Limited Scope of Official Statement. There follows in this Official Statement descriptions of the Authority, the Bonds, the Indenture, the City, the CFD Bonds, the CFD Bond Indentures, and certain other documents. The descriptions and summaries of documents herein do not purport to be comprehensive or definitive, and reference is made to each such document for the complete details of all its respective terms and conditions. All statements herein with respect to such documents are qualified in their entirety by reference to each such document for the complete details of all of their respective terms and conditions. All statements herein with respect to certain rights and remedies are qualified by reference to laws and principles of equity relating to or affecting creditors’ rights generally. Terms not defined herein shall have the meanings set forth in the Indenture or the CFD Bond Indentures, as applicable. See APPENDIX A.

The information and expressions of opinion herein speak only as of the date of this Official Statement and are subject to change without notice. Neither delivery of this Official Statement nor any sale made hereunder nor any future use of this Official Statement shall, under any circumstances, create any implication that there has been no change in the affairs of the Authority, the City or the Districts since the date hereof.

All financial and other information presented in this Official Statement has been provided by the Authority and the City from their records, except for information expressly attributed to other sources. The presentation of information is intended to show recent historic information and is not intended to indicate future or continuing trends in the financial or other affairs of the Authority, the City or the Districts. No representation is made that past experience, as it might be shown by such financial and other information, will necessarily continue or be repeated in the future.

FINANCING PLAN

Refunding of 2006 Bonds

The Bonds are being issued primarily to defease and refund, on a current basis, the 2006 Bonds previously issued by the Authority, and will also be used to pay costs of issuance of the Bonds. The 2006 Bonds consist of the following:

- (i) the Roseville Finance Authority Revenue Bonds, 2006 Series A (Senior Lien Bonds), originally issued in the aggregate principal amount of \$35,870,000 and currently outstanding in the amount of \$_____; and
- (ii) the Roseville Finance Authority Revenue Bonds, 2006 Series B (Junior Lien Bonds), originally issued in the aggregate principal amount of \$4,645,000 and currently outstanding in the amount of \$_____.

The 2006 Bonds were previously issued by the Authority to purchase the CFD Bonds from the City in 2006. The CFD Bonds are not being refunded or amended in connection with the issuance of the Bonds. The CFD Bonds consist of the following:

- (i) the City of Roseville Highland Reserve North Community Facilities District No. 1 Special Tax Bonds, Series 2006, originally issued in the aggregate principal amount of \$33,120,000 and currently outstanding in the amount of \$20,651,000; and
- (ii) the City of Roseville Woodcreek East Community Facilities District No. 1 Special Tax Bonds, Series 2006, originally issued in the aggregate principal amount of \$6,245,000 and currently outstanding in the amount of \$4,189,000.

The 2006 Bonds will be redeemed in full on September 1, 2016 (the “**Redemption Date**”), at a redemption price equal to 100% of the principal amount thereof, together with accrued interest to the redemption date, without premium. A portion of the proceeds of the Bonds will be transferred to The Bank of New York Mellon Trust Company, N.A., acting as escrow agent (the “**Escrow Bank**”) under an Escrow Agreement dated as of _____ 1, 2016 (the “**Escrow Agreement**”), by and between the Authority and the Escrow Bank on the closing date for the Bonds. These funds, together with certain amounts held by the trustee for the 2006 Bonds, which will also be transferred to the Escrow Bank, will be sufficient to pay and redeem the 2006 Bonds in full on the Redemption Date. See “MISCELLANEOUS – Verification of Mathematical Computations.”

The moneys held by the Escrow Bank under the Escrow Agreement are pledged to the payment of the 2006 Bonds, and will not be available for the payment of the Bonds or the CFD Bonds.

Estimated Sources and Uses of Funds

The sources and uses of funds relating to the Bonds and certain amounts held by the trustee for the 2006 Bonds are anticipated to be as follows:

Sources:

Principal Amount of the Bonds

\$

Plus: Net Original Issue Premium

Amounts held by trustee for the 2006 Bonds

Total Sources

\$

Uses:

Deposit to Escrow Fund⁽¹⁾

\$

Deposit to Costs of Issuance Fund⁽²⁾

Underwriter's Discount

Total Uses

\$

(1) To be used to defease and redeem the 2006 Bonds.

(2) Costs of issuance include fees and expenses of the Trustee, Verification Agent, Bond Counsel, Disclosure Counsel and other professionals involved in the offering, printing costs, rating agency costs, bond insurance premium, if any, and other related costs of issuing the Bonds.

THE BONDS

This section provides summaries of the Bonds and certain provisions of the Indenture. See “APPENDIX A – Summary of Certain Provisions of Principal Legal Documents” for a more complete summary of the Indenture. Capitalized terms used but not defined in this section have the meanings given in APPENDIX A.

General Provisions

The Bonds will be dated their date of delivery, and will be issued in the aggregate principal amounts set forth on the inside cover page hereof. The Bonds will bear interest from their dated date at the rates per annum set forth on the inside cover page hereof, payable semiannually on each March 1 and September 1, commencing September 1, 2016 (each, an “**Interest Payment Date**”), and will mature in the amounts and on the dates set forth on the inside cover page hereof. The Bonds will be issued in fully registered form in denominations of \$5,000 each or any integral multiple thereof.

Interest calculated on the basis of a 360-day year of twelve 30-day months on the Bonds will be payable on each Interest Payment Date to the person whose name appears on the Bond Register as the Owner of such Bond as of the fifteenth calendar day of the month preceding the month in which such Interest Payment Date occurs, whether or not such day is a Business Day (the “Record Date”) immediately preceding each such Interest Payment Date, such interest to be paid by check of the Trustee mailed on such Interest Payment Date by first class mail, postage prepaid, to the Owner at the address of such Owner as it appears on the Bond Register or by wire transfer to an account in the United States of America made on such Interest Payment Date upon instructions of any Owner of \$1,000,000 or more in aggregate principal amount of Bonds of a Series provided to the Trustee in writing at least 5 Business Days before the Record Date for such Interest Payment Date.

Principal of and premium (if any) on any Bond will be paid upon presentation and surrender of such Bond, at maturity or the prior redemption of such Bond, at the Trust Office of the Trustee.

Each Bond will bear interest from the Interest Payment Date next preceding the date of authentication thereof, unless (a) it is authenticated after a Record Date and on or before the following Interest Payment Date, in which event it will bear interest from such Interest Payment Date; or (b) it is authenticated on or before August 15, 2016, in which event it will bear interest from the Closing Date; provided, however, that if, as of the date of authentication of any Bond, interest on such Bond is in default, such Bond will bear interest from the Interest Payment Date to which interest has previously been paid or made available for payment on such Bond, or from the Closing Date if no interest has been paid or made available for payment.

Redemption*

Optional Redemption. The Bonds may be redeemed at the option of the Authority, from any source of available funds (excluding from proceeds of early redemption of CFD Bonds from prepayment of Special Taxes within a CFD in connection with CFD Bonds, which redemptions shall proceed in accordance with the provisions for special mandatory redemption below), prior to maturity on any Interest Payment Date as a whole, or in part from maturities

* Preliminary; subject to change.

corresponding proportionately to the maturities of the CFD Bonds simultaneously redeemed, if any redemption of CFD Bonds is being accomplished in conjunction with such optional redemption, and otherwise from such maturities as are selected by the Authority, by lot within a maturity, at a redemption price equal to the principal amount of the Bonds to be redeemed, together with accrued interest thereon to the date of redemption, without premium.

Special Mandatory Redemption from Prepayments. The Bonds are subject to special mandatory redemption on any Interest Payment Date from proceeds of early redemption of the CFD Bonds from prepayment of Special Taxes, in whole or in part, from maturities corresponding proportionately to the maturities of the CFD Bonds simultaneously redeemed, at the principal amount thereof, plus accrued interest to the date of redemption thereof, without premium.

Mandatory Sinking Fund Redemption. The Bonds maturing on September 1, ____, are subject to redemption prior to their stated maturity, in part, by lot from amounts deposited into the Principal Account in the following amounts and on the following dates, at the principal amount thereof on the date fixed for redemption, without premium, but which amounts will be proportionately reduced by the principal amount of all Bonds optionally redeemed:

Mandatory Redemption Dates (September 1)	Principal Amount
20__	
20__(maturity)	

For a description of the redemption provisions of the CFD Bonds, see "APPENDIX A – Summary of Certain Provisions of Principal Legal Documents."

Notice of Redemption. The Trustee on behalf, and at the expense, of the Authority will mail (by first class mail, postage prepaid or by customary electronic process) notice of any redemption to the respective Owners of any Bonds designated for redemption at their respective addresses appearing on the Bond Register, and to the Securities Depositories and to the Municipal Securities Rulemaking Board, at least thirty (30) but not more than sixty (60) days prior to the date fixed for redemption. Neither failure to receive any such notice so mailed nor any defect therein will affect the validity of the proceedings for the redemption of such Bonds or the cessation of the accrual of interest thereon. Such notice will state the date of the notice, the redemption date, the redemption place and the redemption price and will designate the CUSIP numbers, the Bond numbers and the maturity or maturities (in the event of redemption of all of the Bonds of such maturity or maturities in whole) of the Bonds to be redeemed, and will require that such Bonds be then surrendered at the Trust Office of the Trustee for redemption at the redemption price, giving notice also that further interest on such Bonds will not accrue after the redemption date.

Any such redemption notice may specify that redemption on the specified date will be subject to receipt by the Authority of moneys sufficient to cause such redemption (and will specify the proposed source of such moneys), and neither the Authority nor the Trustee will have any liability to the Owners or any other party as a result of its failure to redeem the Bonds as a result of insufficient moneys.

In addition to the foregoing notice, further notice will be given by the Trustee in said form by first class mail to any Bondowner whose Bond has been called for redemption but who has failed to submit his Bond for payment by the date which is sixty days after the redemption date,

but no defect in said further notice nor any failure to give or receive all or any portion of such further notice will in any manner defeat the effectiveness of a call for redemption.

Rescission of Redemption Notice. The Authority will have the right to rescind any redemption by written notice to the Trustee on or prior to the date fixed for redemption. Any notice of redemption will be cancelled and annulled if for any reason funds are not available on the date fixed for redemption for the payment in full of the Bonds then called for redemption, and such cancellation will not constitute an Event of Default hereunder. The Trustee will mail notice of rescission of redemption in the same manner notice of redemption was originally provided.

Selection of Bonds of a Maturity for Redemption. Unless otherwise provided under the Indenture, whenever provision is made in the Indenture or in the applicable Supplemental Indenture for the redemption of fewer than all of the Bonds of a maturity, the Trustee will select the Bonds to be redeemed from all Bonds of such maturity not previously called for redemption, by lot in any manner which the Trustee in its sole discretion will deem appropriate and fair. For purposes of such selection, all Bonds will be deemed to be comprised of separate \$5,000 authorized denominations, and such separate authorized denominations will be treated as separate Bonds which may be separately redeemed.

Partial Redemption of Bonds. In the event only a portion of any Bond is called for redemption, then upon surrender of such Bond the Authority will execute and the Trustee will authenticate and deliver to the Owner thereof, at the expense of the Authority, a new Bond or Bonds of the same maturity date, of authorized denominations in aggregate principal amount equal to the unredeemed portion of the Bond to be redeemed.

Effect of Redemption. From and after the date fixed for redemption, if funds available for the payment of the principal of and interest (and premium, if any) on the Bonds so called for redemption will have been duly provided, such Bonds so called will cease to be entitled to any benefit under the Indenture other than the right to receive payment of the redemption price, and no interest will accrue on such Bonds from and after the redemption date specified in such notice.

Certification of Independent Financial Consultant. In connection with any special mandatory redemption of Bonds from prepayments, the Authority will deliver to the Trustee a certificate of an Independent Accountant verifying that, following such special mandatory redemption of Bonds, the principal and interest generated from the remaining CFD Bonds is adequate to make the timely payment of principal and interest due on the Bonds that will remain Outstanding hereunder following such redemption.

Book-Entry Only System

General. The Bonds will be issued as fully registered bonds, registered in the name of Cede & Co. as nominee of The Depository Trust Company, New York, New York (“DTC”), and will be available to actual purchasers of the Bonds (the “**Beneficial Owners**”) in the denominations set forth above, under the book-entry system maintained by DTC, only through brokers and dealers who are or act through DTC Participants (as defined in this Official Statement) as described in this Official Statement. Beneficial Owners will not be entitled to receive physical delivery of the Bonds. See “APPENDIX G — DTC and the Book-Entry-Only System.”

If the book-entry-only system is no longer used with respect to the Bonds, the Bonds will be registered and transferred in accordance with the Indenture. See “–Registration, Transfer and Exchange of Bonds” below.

Payments Made to DTC. While the Bonds are subject to the book-entry system, the principal and interest with respect to a Bond will be paid by the Trustee to DTC, which in turn is obligated to remit such payment to its DTC Participants for subsequent disbursement to Beneficial Owners of the Bonds, as described in “APPENDIX G — DTC and the Book-Entry-Only System.”

Registration, Transfer and Exchange of Bonds

Bond Register. The Trustee will keep or cause to be kept at its Trust Office sufficient records for the registration and transfer of the Bonds, which will be the Bond Register and will at all times during regular business hours be open to inspection by the Authority upon reasonable notice; and, upon presentation for such purpose, the Trustee will, under such reasonable regulations as it may prescribe, register or transfer or cause to be registered or transferred, on said records, Bonds as provided under the Indenture.

Transfer of Bonds. Subject to the book-entry only provisions of the Indenture, any Bond may in accordance with its terms, be transferred, upon the Bond Register, by the person in whose name it is registered, in person or by his duly authorized attorney, upon surrender of such Bond for cancellation, accompanied by delivery of a written instrument of transfer in a form approved by the Trustee, duly executed. Whenever any Bond is surrendered for transfer, the Authority will execute and the Trustee will thereupon authenticate and deliver to the transferee a new Bond or Bonds of like Series, tenor, maturity and aggregate principal amount. No Bonds selected for redemption will be subject to transfer, nor will any Bond be subject to transfer during the 15 days prior to the selection of Bonds for redemption.

Exchange of Bonds. Bonds may be exchanged at the Trust Office of the Trustee for Bonds of the same Series, tenor and maturity and of other authorized denominations. No Bonds selected for redemption will be subject to exchange, nor will any Bond be subject to exchange during the 15 days prior to the selection of Bonds for redemption. The Owners of the Bonds will be required to pay any tax or other governmental charge required to be paid for any exchange and the Owners of the Bonds will be required to pay the reasonable fees and expenses of the Trustee and Authority in connection with the exchange of any Bonds.

The cost of printing any Bonds and any services rendered or any expenses incurred by the Trustee in connection with any transfer or exchange will be paid by the Authority. However, the Owners of the Bonds will be required to pay any tax or other governmental charge required to be paid for any exchange or registration of transfer and the Owners of the Bonds will be required to pay the reasonable fees and expenses of the Trustee and Authority in connection with the replacement of any mutilated, lost or stolen Bonds.

DEBT SERVICE SCHEDULES AND COVERAGE

Debt Service Schedule for the Bonds. The table below presents a schedule of debt service and debt service coverage for the Bonds, assuming no early redemptions are made. Debt service coverage is based on the Maximum Special Tax combined for both Districts, as shown.

ROSEVILLE FINANCE AUTHORITY Annual Debt Service Schedule and Projected Coverage for Bonds Highland Reserve North CFD and Woodcreek East CFD Combined

Year Ending Sept. 1	Highland Reserve North Maximum Special Tax ⁽¹⁾	Woodcreek East Maximum Special Tax ⁽¹⁾	Total Maximum Special Tax	Total Debt Service*	Debt Service Coverage (Max Special Tax)*
2017	\$2,805,356	\$584,335	\$3,389,691	\$2,553,548	1.33
2018	2,805,356	584,335	3,389,691	2,554,800	1.33
2019	2,805,356	584,335	3,389,691	2,548,800	1.33
2020	2,805,356	584,335	3,389,691	2,550,200	1.33
2021	2,805,356	584,335	3,389,691	2,548,600	1.33
2022	2,805,356	584,335	3,389,691	2,554,000	1.33
2023	2,805,356	584,335	3,389,691	2,546,000	1.33
2024	2,805,356	584,335	3,389,691	2,545,000	1.33
2025	2,805,356	584,335	3,389,691	2,548,250	1.33
2026	2,805,356	584,335	3,389,691	865,750	3.92
2027	2,805,356	584,335	3,389,691	871,500	3.89

(1) Fiscal Year 2015-16 Maximum Special Tax per Special Tax Formulas.

Sources: Underwriter and Willdan Financial Services.

* Preliminary; subject to change.

Debt Service Schedule for the Bonds – Developed Residential Property. The table below presents a schedule of debt service and debt service coverage for the Bonds, assuming no early redemptions are made and based on developed residential property only. Debt service coverage is based on the combined Maximum Special Tax on developed residential property in both Districts, as shown.

ROSEVILLE FINANCE AUTHORITY
Annual Debt Service Schedule and Projected Coverage for Bonds
Highland Reserve North CFD and Woodcreek East CFD Combined
(Developed Residential Property Only)

Year Ending Sept. 1	Highland Reserve North Maximum Special Tax⁽¹⁾	Woodcreek East Maximum Special Tax⁽¹⁾	Total Maximum Special Tax	Total Debt Service*	Debt Service Coverage (Max Special Tax)*
2017	\$1,784,600	\$584,335	\$2,368,935	\$2,553,548	0.93
2018	1,784,600	584,335	2,368,935	2,554,800	0.93
2019	1,784,600	584,335	2,368,935	2,548,800	0.93
2020	1,784,600	584,335	2,368,935	2,550,200	0.93
2021	1,784,600	584,335	2,368,935	2,548,600	0.93
2022	1,784,600	584,335	2,368,935	2,554,000	0.93
2023	1,784,600	584,335	2,368,935	2,546,000	0.93
2024	1,784,600	584,335	2,368,935	2,545,000	0.93
2025	1,784,600	584,335	2,368,935	2,548,250	0.93
2026	1,784,600	584,335	2,368,935	865,750	2.74
2027	1,784,600	584,335	2,368,935	871,500	2.72

(1) Fiscal Year 2015-16 Maximum Special Tax on developed residential property per Special Tax Formulas.

Sources: Underwriter and Willdan Financial Services.

* Preliminary; subject to change.

SECURITY FOR THE BONDS AND SOURCES OF PAYMENT THEREFOR

The Bonds are special obligations of the Authority, payable from and secured by Revenues (as defined herein) of the Authority consisting primarily of payments received by the Authority from the City on the CFD Bonds. Scheduled payments under the CFD Bonds collectively are sufficient to provide the Authority with money to pay the principal of and interest on the Bonds when due. This section provides summaries of the security for the Bonds and certain provisions of the Indenture. See "APPENDIX A – Summary of Certain Provisions of Principal Legal Documents" for a more complete summary of the Indenture. Capitalized terms used but not defined in this section have the meanings given in APPENDIX A.

Limited Obligation

In order to secure the payment of the principal of, premium, if any, and interest on the Bonds in accordance with their terms and the provisions of the Indenture, and subject only to the provisions of the Indenture permitting the application thereof for the purposes and on the terms and conditions set forth therein, the Authority pledges all of the Revenues and any other amounts held in any fund or account established pursuant to the Indenture, other than amounts on deposit in the Rebate Fund and Surplus Fund. This pledge constitutes a first pledge of and charge and lien upon such assets for the payment of the Bonds in accordance with their terms and will be valid and binding from and after issuance of the Bonds, without any physical delivery thereof or further act. The pledge is also irrevocable until all of the bonds issued under the Indenture are no longer Outstanding.

Under the Indenture, the Authority transfers in trust, grants a security interest in and assigns to the Trustee, for the benefit of the Owners from time to time of the Bonds all of the Revenues and all of the right, title and interest of the Authority in the CFD Bonds, subject to the terms of the Indenture. The Trustee shall be entitled to and shall collect and receive all of the Revenues, and any Revenues collected or received by the Authority shall be deemed to be held, and to have been collected or received, by the Authority as the agent of the Trustee and shall forthwith be paid by the Authority to the Trustee. Subject to the provisions of the Indenture, the Trustee also shall be entitled to and shall take all steps, actions and proceedings reasonably necessary in its judgment to enforce, either jointly with the Authority or separately, all of the rights of the Authority and all of the obligations of the City under the CFD Bonds.

Each series of CFD Bonds is a limited obligation of the City and secured by an irrevocable pledge of certain revenues of the City, consisting primarily of monies received by the City as payment of special taxes levied against taxable property within each respective District which secures such CFD Bonds. Scheduled payments under the CFD Bonds are sufficient to provide the Authority with money to pay the principal of, premium, if any, and interest on the Bonds when due. *No CFD Bonds issued under one CFD Bond Indenture or the pledge of special taxes to pay such CFD Bonds is cross-collateralized to the CFD Bonds issued under the other CFD Bond Indenture.*

All obligations of the Authority under the Indenture and the Bonds are special obligations of the Authority, payable solely from and secured by Revenues and the amounts in the funds established by the Indenture (except amounts in the Rebate Fund or Surplus Fund). All obligations of the City under each CFD Bond Indenture are not general obligations of the City, but are limited obligations, payable solely from the special taxes and the funds pledged therefor under such CFD Bond Indenture. Neither the faith and credit of the Authority, the City nor of the

State nor any political subdivision thereof is pledged to the payment of the Bonds or CFD Bonds.

Each issue of CFD Bonds is payable solely from and secured solely by the Special Taxes, net of administrative expenses, and the amounts in the Special Tax Fund created with respect to such CFD Bonds (the “**Special Tax Fund**”) under the applicable CFD Bond Indenture. The City is not obligated to advance available surplus funds from the City treasury to cure any deficiency in any Special Tax Fund, provided, however, the City is not prevented, in its sole discretion, from so advancing funds. Moneys in each respective Special Tax Fund are available only for use with respect to the series of CFD Bonds to which such Special Tax Fund relates.

Revenues and Flow of Funds

Revenues. The Bonds are secured by a first lien on and pledge of all of the Revenues. The Indenture defines “**Revenues**” as follows:

- (a) all amounts received from the CFD Bonds;
- (b) any proceeds of the Bonds originally deposited with the Trustee and all moneys deposited and held from time to time by the Trustee in the funds and accounts established under the Indenture with respect to the Bonds (other than the Rebate Fund and the Surplus Fund); and
- (c) investment income with respect to any moneys held by the Trustee in the funds and accounts established under the Indenture with respect to the Bonds (other than investment income on moneys held in the Rebate Fund or the Surplus Fund).

Collection by the Trustee. The Trustee will collect and receive all of the Revenues, and any Revenues collected or received by the Authority will be deemed to be held, and to have been collected or received, by the Authority as the agent of the Trustee and will forthwith be paid by the Authority to the Trustee. The Trustee also is entitled to and may take all steps, actions and proceedings reasonably necessary in its judgment to enforce, either jointly with the Authority or separately, all of the rights of the Authority and all of the obligations of the City under the CFD Bonds.

Under the Indenture, the Trustee is directed to establish, maintain and hold in trust a special fund designated as the “Revenue Fund” and deposit the Revenues, when and as received by the Trustee, therein; provided, that Revenues which represent the payment of delinquent principal of or interest on an issue of CFD Bonds shall be deposited to the account of the Reserve Fund established for the related CFD Bonds to the extent necessary to replenish the amount in such account to the balance required by the Indenture, with any amount in excess of that needed to replenish such account to be transferred in accordance with the provisions set forth under “—Application of Revenues” below. All moneys at any time held in the Revenue Fund shall be held in trust for the benefit of the Owners and shall be disbursed, allocated, and applied solely for payment of the Bonds and for any other uses and purposes set forth in the Indenture.

Application of Revenues. On each Interest Payment Date and date for redemption of the Bonds, the Trustee will transfer from the Revenue Fund, and deposit into the following respective accounts for the Bonds, the following amounts in the following order of priority, the

requirements of each such account (including the making up of any deficiencies in any such account resulting from lack of Revenues sufficient to make any earlier required deposit) at the time of deposit to be satisfied before any transfer is made to any account subsequent in priority:

Interest Account. On each Interest Payment Date and redemption date, the Trustee will deposit in the Interest Account an amount required to cause the aggregate amount on deposit in the Interest Account to equal the amount of interest becoming due and payable on such Interest Payment Date on all Outstanding Bonds or to be paid on the Bonds being redeemed on such date. No deposit need be made into the Interest Account if the amount contained in such account is at least equal to the interest becoming due and payable upon all Outstanding Bonds on the next succeeding Interest Payment Date or redemption date, as applicable.

All moneys in the Interest Account will be used and withdrawn by the Trustee solely for the purpose of paying interest on the Bonds as it becomes due and payable (including accrued interest on any Bonds redeemed prior to maturity). If the amounts on deposit in the Interest Account on any Interest Payment Date or redemption date are insufficient for any reason to pay the aggregate amount of interest then coming due and payable on the Outstanding Bonds, the Trustee will apply such amounts to the payment of interest on each of the Outstanding Bonds on a pro rata basis.

Principal Account. On each Interest Payment Date and redemption date on which the principal of the Bonds will be payable, the Trustee will deposit in the Principal Account an amount required to cause the aggregate amount on deposit in the Principal Account to equal the principal amount of, and premium (if any) on, the Bonds coming due and payable on such Interest Payment Date, or required to be redeemed on such date; provided, however, that no amount will be deposited to effect a mandatory special redemption unless the Trustee has first received a certificate of an Independent Accountant certifying that such deposit to effect the redemption of the Bonds will not impair the ability of the Authority to make timely payment of the principal of and interest on the Bonds, assuming for such purposes that the City continues to make timely payments on all CFD Bonds not then in default.

All moneys in the Principal Account will be used and withdrawn by the Trustee solely for the purpose of paying the principal of the Bonds at the maturity thereof or upon any earlier redemption thereof.

Reserve Fund. On each Interest Payment Date on which the balance in the Reserve Fund is less than the Reserve Requirement, after making deposits required into the Interest Account and the Principal Account, the Trustee shall transfer from the Revenue Fund an amount sufficient to increase the balance in the Reserve Fund to the Reserve Requirement by depositing the amount necessary to make the various accounts therein equal, in aggregate, the Reserve Requirement, provided the value of the moneys deposited therein, as invested, will be valued at market value on such transfer date for purposes of making such determination.

Deficiencies. If on any Interest Payment Date or date for redemption the amount on deposit in the Revenue Fund is inadequate to make the transfers described above as a result of a payment default on an issue of CFD Bonds, the Trustee will immediately notify the City of the amount needed to make the required deposits. In the event that within 5 Business Days of delivering such notice the Trustee receives additional payments from the City to cure such shortfall, the Trustee will deposit such amounts to the account designated in writing by the City.

Deposit into Rebate Fund. On each Interest Payment Date after making the transfers described above, upon receipt of a Request of the Authority to do so, the Trustee will transfer from the Revenue Fund to the Rebate Fund for deposit in the accounts in the Rebate Fund the amounts specified in such Request.

Surplus Fund. On September 1 of each year, after making the deposits described above, the Trustee will transfer all amounts remaining on deposit in the Revenue Fund to the Surplus Fund. See “–Surplus Fund” below.

Reserve Fund

There shall be maintained in the Reserve Fund an amount equal to the “**Reserve Requirement.**” On the Closing Date, the Authority shall deposit into the Reserve Fund the amount of \$_____ as the initial Reserve Requirement. Highland Reserve North CFD's Proportionate Share of the Reserve Requirement shall be attributable to the Highland Reserve North CFD Account and Woodcreek East CFD's Proportionate Share of the Reserve Requirement shall be attributable to the Woodcreek East CFD Account. “**Proportionate Share**” means, as of the date of calculation for any issue of the CFD Bonds, the ratio derived by dividing the outstanding principal amount of such CFD Bonds by the aggregate principal amount of all the Outstanding CFD Bonds.

In lieu of funding the Reserve Fund with cash, the Reserve Requirement may be met, in whole or in part, by furnishing an irrevocable standby or direct-pay letter of credit or surety bond issued by a commercial bank or insurance company deposited with the Trustee (a “**Qualified Reserve Fund Credit Instrument**”) as described in, and meeting the requirements of, the Indenture. See “APPENDIX A – Summary of Certain Provisions of Principal Legal Documents.”

In the event that the amount of the Reserve Requirement is reduced because of the payment at final maturity of CFD Bonds or payment or prepayment of Special Taxes, the Trustee will, upon receipt of a Request of the Authority, adjust the balance in any account provided that the total amount in the Reserve Fund equals the Reserve Requirement.

Moneys in the Reserve Fund will be used to pay the principal of and interest on the Bonds when the moneys in the Interest Account and the Principal Account of the Revenue Fund are insufficient therefor. In addition, amounts in the Reserve Fund may be applied (i) in connection with a special (from prepayments of Special Taxes) redemption of the Bonds pursuant to the Indenture or a defeasance pursuant to the Indenture, but only to the extent that amounts remaining on deposit in the Reserve Fund after such application are equal to the Reserve Requirement, (ii) when the balance therein equals the principal and interest due on the Bonds to and including maturity, or (iii) when amounts in certain accounts of the Reserve Fund are transferred to the Interest Account and the Principal Account as a credit against the payments due on the CFD Bonds on the transfer dates specified below.

If the amounts in the Interest Account or the Principal Account of the Revenue Fund are insufficient to pay the principal of or interest on the Bonds when due, the Trustee will withdraw from the Reserve Fund for deposit in the Interest Account and the Principal Account, as applicable, moneys necessary for such purposes, subject to the following limitation: if the insufficiency was caused by a delinquency in the payment of a CFD Bond, the Trustee shall notify the City of such deficiency and the moneys necessary to make up the deficiency in the Interest Account or the Principal Account caused by the delinquency on the CFD Bond shall be transferred from the Account of the Reserve Fund established for such CFD Bond. **Amounts in**

the Account of the Reserve Fund established with respect to one issue of CFD Bonds may be transferred to the Interest Account or Principal Account only to the extent necessary to cure any default on that issue of CFD Bonds, and may not be transferred to cure any default on the other issue of CFD Bonds.

In the event of Special Tax prepayments, the Authority is required to credit such prepaid Special Tax obligation with a Proportionate Share of the applicable Account of the Reserve Fund (if such reserve is funded in cash) for the applicable CFD Bond thus reducing the total amount of the Reserve Fund. The CFD Special Tax obligation will receive such credit only if and to the extent that the amount which is eligible to be credited is not needed to redeem Bonds. If all or part of the amount is needed to redeem Bonds, the Authority shall use it for such purpose. To the extent it is not needed, the amount to be so credited is the pro-rata share of the original amount deposited in such Account, less any amount previously transferred from such Account to the redemption fund for the applicable CFD Bond as a result of the delinquency in the payment of Special Tax installments, for the parcel for which the Special Tax is being prepaid. The Authority will direct the Trustee in writing to transfer the amount representing such credit from the Reserve Fund to the special tax fund or redemption fund, as applicable, for the applicable CFD Bond.

On August 1 of each year, any interest earned on the investment of monies on deposit in the Reserve Fund which would cause the amount therein to exceed the Reserve Requirement shall be deposited in the Revenue Fund.

When amounts in an Account of the Reserve Fund are sufficient to repay the remaining principal and interest due on the respective CFD Bonds that will be applied to the Bonds, such amount shall be transferred to the Interest Account and the Principal Account as a credit against the payments due on the CFD Bonds of the applicable District on such date with the amount transferred from an Account being deposited first to the Interest Account as a credit on the interest due on the applicable CFD Bonds on such date and the balance being deposited to the Principal Account as a credit on the principal due on the applicable CFD Bonds on such date.

Surplus Fund

Any amounts transferred to the Surplus Fund will no longer be considered Revenues and are not pledged to repay the Bonds. So long as CFD Bonds are outstanding, on September 2 of each year, after setting aside any amount specified in a Request of the Authority as necessary to pay Administrative Expenses, any moneys remaining in the Surplus Fund will be transferred to the City and used for any lawful purpose.

Additional Bonds and CFD Bonds for Refunding Purposes Only

Additional Bonds. The Authority may issue additional bonds, notes or other indebtedness ("**Additional Bonds**") secured on parity with the Bonds, in such principal amount as determined by the Authority, pursuant to a Supplemental Indenture adopted or entered into by the Authority, so long as the Additional Bonds are issued for the purpose of refunding all or a portion of the Bonds to realize savings associated with interest costs on the Bonds.

Additional CFD Bonds. Under each CFD Bond Indenture and as to each District, the City may by Supplemental Indenture establish one or more additional series of bonds secured under the applicable CFD Bond Indenture, equally and ratably with CFD Bonds previously issued ("**Parity Bonds**"), so long as the Parity Bonds are issued for the purpose of refunding all

or a portion of the CFD Bonds or any Parity Bonds then outstanding. See “APPENDIX A – Summary of Certain Provisions of Principal Legal Documents” for certain additional conditions to issuing Parity Bonds under the CFD Bond Indentures.

SECURITY FOR THE CFD BONDS

*The provisions of the Highland Reserve North CFD Bond Indenture and the Woodcreek East CFD Bond Indenture (each a “**CFD Bond Indenture**”) with respect to security and sources of payment for the Highland Reserve North CFD Bonds and the Woodcreek East CFD Bonds are substantially identical, and will be described together in this section except as otherwise set forth below.*

This section contains only a brief description of those provisions of each CFD Bond Indenture. See “APPENDIX A – Summary of Certain Provisions of Principal Legal Documents” for a more complete summary of the CFD Bond Indentures. Capitalized terms used but not defined in this section have the meanings given in APPENDIX A.

Limited Obligation

The CFD Bonds constitute a limited obligation of the City that is secured by a first lien on and pledge of, and is payable solely from, Special Tax Revenues (defined below) collected in each respective District and amounts deposited by the City in each Special Tax Fund. The City’s limited obligation to pay the principal of and interest on the CFD Bonds from Special Tax Revenues collected in each respective District and amounts in each Special Tax Fund is absolute and unconditional.

The CFD Bonds do not constitute a legal or equitable pledge, charge, lien or encumbrance upon the respective District’s or City’s property, or upon any of its income, receipts or revenues, except the Special Tax Revenues collected in the respective District and other amounts in the respective Special Tax Fund.

Except for the Special Tax Revenues for each District, neither the credit nor the taxing power of the City is pledged for the payment of the CFD Bonds or related interest, and no Owner of the Bonds may compel the exercise of taxing power by the City or the forfeiture of any of its property. The principal of and interest on the CFD Bonds are not a debt of the City, the State or any of its political subdivisions within the meaning of any constitutional or statutory limitation or restriction.

Special Tax Revenues; Flow of Funds

Special Tax Revenues. The “**Special Taxes**” for each District are levied and collected according to the Special Tax Formula for that respective District. See “– Special Tax Methodology,” and APPENDIX C-1 and APPENDIX C-2.

The “**Special Tax Revenues**” pledged by the City to the CFD Bonds is defined in each CFD Bond Indenture as the proceeds of the Special Taxes received by the City, including all scheduled payments and delinquent payments thereof, interest and penalties thereon and proceeds of the redemption or sale of property sold as a result of foreclosure of the lien of the Special Taxes.

Allocation of Special Taxes. Except for the portion of any prepayment of Special Taxes to be deposited into the Redemption Account established under the applicable CFD Bond Indenture, the Trustee under each CFD Bond Indenture will, on each date on which the Special Taxes are received from the District, deposit the Special Taxes in the Special Tax Fund to be held in trust for the owners of the respective CFD Bonds. The City will transfer the Special

Taxes on deposit in the Special Tax Fund on the dates and in the amounts, in the following order of priority, to:

- (1) The Interest Account of the Special Tax Fund;
- (2) The Principal Account of the Special Tax Fund;
- (3) The Redemption Account of the Special Tax Fund;
- (4) The Authority Trustee, the amount needed to restore the applicable Reserve Account of the Reserve Fund held by the Authority Trustee to the Proportionate Share of the Reserve Requirement
- (5) The Administrative Expense Account of the Special Tax Fund; and
- (6) The Surplus Fund.

The Special Tax is collected in the manner and at the same time as ad valorem property taxes are collected and is subject to the same penalties and the same procedure, sale, and lien priority in case of delinquency as is provided for *ad valorem* property taxes.

Neither issue of CFD Bonds is secured by Special Taxes from the other CFD; the Highland Reserve North CFD Special Taxes are available only to pay debt service on the Highland Reserve North CFD Bonds and the Woodcreek East CFD Special Taxes are available only to pay debt service on the Woodcreek East CFD Bonds.

Parity CFD Bonds. In each CFD Bond Indenture, the City covenants that it will not issue additional bonds secured by Special Tax Revenues on a parity with the applicable CFD Bonds, however any such limitation does not prevent the City from issuing such bonds for the purpose of refunding all or a portion of the related CFD Bonds (or bonds previously issued for that purpose).

Priority of Lien. Each installment of the Special Taxes and any interest and penalties thereon, constitutes a lien on the parcel of land on which it was imposed until the same is paid. Such lien is co-equal to and independent of the lien for general taxes, any other community facilities district special taxes and special assessment liens. See "THE COMMUNITY FACILITIES DISTRICTS— Overlapping Liens" herein.

Covenants of the City

In each CFD Bond Indenture, the City covenants as follows, among other things:

Punctual Payment. It will duly and punctually pay or cause to be paid the principal of and interest on the applicable CFD Bond (and any related Parity Bond) issued under the CFD Bond Indenture, together with the premium, if any to the extent that Special Tax Revenues and other amounts pledged under the CFD Bond Indenture are available therefor.

Against Encumbrance. It will not mortgage or otherwise encumber, pledge or place any charge upon any of the Special Tax Revenues except as provided in the applicable CFD Bond Indenture, and will not issue any obligation or security having a lien or charge upon the Special Tax Revenues superior to or on a parity with the related CFD Bonds. Nothing in the CFD Bond Indentures prevents the City from issuing or incurring indebtedness which is payable from a pledge of Special Tax Revenues which is subordinate in all respects to the pledge of Special Tax Revenues to repay the related CFD Bond, nor prevents the City from issuing refunding bonds.

Levy of Special Tax. The City shall comply with all requirements of the Act so as to assure the timely collection of Special Taxes, including without limitation, the enforcement of delinquent Special Taxes.

(i) Processing. On or within five (5) Business Days of each June 1, the CFD Bonds Trustee shall provide the Administrative Service Director with a notice stating the amount then on deposit in the Special Tax Fund and the other funds and accounts held by the CFD Bonds Trustee under the CFD Bond Indenture, whether or not amounts need to be deposited into the Reserve Fund to increase the amounts on deposit therein to the Reserve Requirement, and informing the City that the Special Taxes need to be levied under the Ordinance as necessary to provide for the Special Tax Requirement (as defined below). The receipt of or failure to receive such notice by the Administrative Service Director shall in no way affect the obligations of the Administrative Service Director under the following two paragraphs and the CFD Bonds Trustee shall not be liable for failure to provide such notice to the Administrative Service Director. Upon receipt of such notice, the Administrative Service Director shall communicate with the Auditor to ascertain the relevant parcels on which the Special Taxes are to be levied, taking into account any parcel splits or combinations during the preceding and then current year.

(ii) Levy. The Administrative Service Director shall effect the levy of the Special Taxes each Fiscal Year in accordance with the Ordinance by each August 1 that the CFD Bonds are outstanding, or otherwise such that the computation of the levy is complete before the final date on which Auditor will accept the transmission of the Special Tax amounts for the parcels within the District for inclusion on the next real property tax roll. Upon the completion of the computation of the amounts of the levy, the Administrative Service Director shall prepare or cause to be prepared, and shall transmit to the Auditor, such data as the Auditor requires to include the levy of the Special Taxes on the next real property tax roll.

(iii) Computation. The Administrative Service Director shall fix and levy the amount of Special Taxes within the District in an amount sufficient, together with other amounts on deposit in the Special Tax Fund and available for such purpose, to pay (A) the principal of and interest on the CFD Bonds and any Parity Bonds when due, (B) the Administrative Expenses, including amounts necessary to discharge any rebate obligation, during such year and (C) any amounts required to replenish the Reserve Fund to the Reserve Requirement (the “**Special Tax Requirement**”), taking into account the balances in such funds and in the Special Tax Fund. The Special Taxes so levied shall not exceed the authorized amounts as provided in the proceedings under the Resolution of Formation.

(iv) Collection. The Special Taxes shall be payable and be collected in the same manner and at the same time and in the same installment as the general taxes on real property are payable, and have the same priority, become delinquent at the same time and in the same proportionate amounts and bear the same proportionate penalties and interest after delinquency as do the ad valorem taxes on real property.

Commence Foreclosure Proceedings. Under the Act, the City covenants with and for the benefit of the owners of the CFD Bonds that it will order, and cause to be commenced as hereinafter provided, and thereafter diligently prosecute to judgment (unless such delinquency is theretofore brought current), an action in the superior court to foreclose the lien of any Special

Tax or installment thereof not paid when due as provided in the following two paragraphs. The Administrative Services Director shall notify the City Attorney of any such delinquency of which the Administrative Services Director is aware, and the City Attorney shall commence, or cause to be commenced, such proceedings.

On or about March 30 and June 30 of each Fiscal Year, the Administrative Services Director shall compare the amount of Special Taxes theretofore levied in the CFD to the amount of Special Tax Revenues theretofore received by the City, and:

(i) Individual Delinquencies. So long as the District does not participate in the Alternative Method of Distribution of Tax Levies and Collections described in Revenue & Taxation Code Section 4701 et seq., or an equivalent procedure, if the Administrative Services Director determines that any single parcel subject to the Special Tax in the District is delinquent in the payment of Special Taxes in the aggregate amount of \$3,000 or more, then the Administrative Services Director shall send or cause to be sent a notice of delinquency (and a demand for immediate payment thereof) to the property owner within 60 days of such determination, and (if the delinquency remains uncured) foreclosure proceedings shall be commenced by the City within 120 days of such determination.

(ii) Aggregate Delinquencies. So long as the District does not participate in the Alternative Method of Distribution of Tax Levies and Collections described in Revenue & Taxation Code Section 4701 et seq., or an equivalent procedure, if the Administrative Services Director determines that the total amount of delinquent Special Tax for the prior Fiscal Year for the entire District, (including the total of delinquencies under subsection (i) above), exceeds 5% of the total Special Tax due and payable for the prior Fiscal Year, the City shall notify or cause to be notified property owners who are then delinquent in the payment of Special Taxes (and demand immediate payment of the delinquency) within 60 days of such determination, and shall commence foreclosure proceedings within 120 days of such determination against each parcel of land in the CFD with a Special Tax delinquency.

Special Tax Methodology

The Special Tax authorized under the Act applicable to land within each District will be levied and collected according to the tax liability determined by the City through the application of the appropriate amount or rate as described in the respective Special Tax Formulas set forth in APPENDIX C-1 and APPENDIX C-2. The following paragraphs in this section summarize certain provisions of the Special Tax Formula for each District. Capitalized terms set forth in this section and not otherwise defined have the meanings set forth in the respective Special Tax Formula.

Highland Reserve North CFD. The Highland Reserve North CFD Special Taxes are levied in accordance with the Special Tax Formula for Highland Reserve North CFD, which is set forth in APPENDIX C-1 and summarized as follows.

Annual Levy. Each year, the City will determine the Annual Costs of the District for the upcoming fiscal year. The Annual Costs include the following items (i) debt service on the Bonds; (ii) replenishment of the Reserve Fund; (iii) anticipated Special Tax delinquencies; (iv) administration of the District; and (v) at the discretion of the City, reimbursements to the landowners or others for expenditures for costs of the

Improvements not funded from Bond proceeds. The Annual Costs are the basis of the amount of Special Tax to be levied within the District. In no event may the City levy a Special Tax in any year above the Maximum Special Tax identified for each parcel in the Special Tax Formula.

Parcels Subject to the Special Tax. The City will prepare a list of the parcels subject to the Special Tax using the records of the City and the County Assessor. The City will tax all parcels within the District except tax-exempt parcels and parcels that have prepaid their special tax as described in the Special Tax Formula. Taxable parcels that are acquired by a public agency after the District is formed will remain subject to the Special Tax unless a "trade" resulting in no loss of Special Tax revenue can be made, as described in the Special Tax Formula.

Assignment of Maximum Special Tax. The Special Tax Formula describes in detail the precise method for assigning the Maximum Special Tax to parcels within the District, which generally provides that each year the Administrator will use the definitions contained in the Special Tax Formula to classify each parcel as tax-exempt or taxable. The Special Tax Formula assigns a total maximum tax to the existing Original Parcels and then reallocates the tax to Successor Parcels based on pro rata share of net developable area. If a parcel is subdivided into single-family residential lots, the Maximum Special Tax is divided equally among the subdivided lots.

Termination of the Special Tax. The Special Tax will be levied and collected for as long as needed to pay the principal and interest on the Bonds and other costs incurred in order to construct the Improvements and to pay the Annual Costs. The Special Tax Formula provides that the Special Tax may not be levied on any parcel in the District after fiscal Year 2034-35. When all Annual Costs incurred by the District have been paid, the Special Tax will cease to be levied.

Prepayment of the Special Tax. Landowners may permanently satisfy all or a portion of the Special Tax by a cash settlement with the City as permitted under Government Code Section 53344. Prepayment is permitted only under the following conditions (capitalized terms have the meanings set forth in the Special Tax Formula):

- The Parcel is a whole Original Parcel greater than one acre or a Successor Parcel greater than ten acres.
- The City determines that the Prepayment of the Special Tax does not jeopardize its ability to make timely payments of debt service on outstanding Bonds.
- Any landowner prepaying the Special Tax must pay any and all delinquent Special Taxes and penalties for the prepaying Parcel.
- Prior to the calculation of the Prepayment amount, the landowner must notify the City whether such landowner intends to execute a full Prepayment or Partial Prepayment. If the landowner intends to execute a Partial Prepayment, the landowner shall further notify the City of the dollar amount of the intended Prepayment. In no event shall a Partial Prepayment be for less than twenty-five percent (25%) of the full Prepayment amount.

The Prepayment amount shall be established by following the procedures described in the Special Tax Formula. Once Bonds are sold, parcels wishing to prepay must prepay their share of outstanding Bonds; parcels wishing to prepay prior to the sale of Bonds may pay the allocated amount of anticipated construction proceeds plus any costs incurred by the City in the formation of the District and the calculation or application of the Prepayment proceeds.

For the complete text of the Special Tax Formula for Highland Reserve North CFD, see APPENDIX C-1.

Woodcreek East CFD. The Woodcreek East CFD Special Taxes are levied in accordance with the Special Tax Formula for Woodcreek East CFD, which is set forth in APPENDIX C-2 and summarized as follows.

Annual Levy. Each year, the City will determine the Annual Costs of the District for the upcoming fiscal year. The "Annual Costs" include the following items (i) debt service on the Bonds; (ii) Administrative Expenses and County fees; (iii) delinquencies in Special Taxes for the previous Fiscal Year or anticipated for the current year; (iv) amounts needed to replenish the Reserve Fund and to pay for delinquencies in Special Taxes for the previous Fiscal Year or anticipated for the current year; and (v) pay-as-you-go expenditures for authorized Improvements. The Developer does not presently contemplate utilizing the pay-as-you-go component of the Special Tax Formula, however the mechanism may be utilized in the event the cost of the Improvements exceeds the amounts in the Improvement Fund available therefor and the Developer elects not to pay such deficiency from the Developer's other available sources of funds. The Annual Costs are the basis for the amount of Special Tax to be levied within the District. In no event may the City levy a Special Tax in any year above the Maximum Special Tax identified for each parcel in the Special Tax Formula.

Parcels Subject to the Special Tax. The City will prepare a list of the parcels subject to the Special Tax using the records of the City and the County Assessor. The City will tax all parcels within the District except tax-exempt parcels as described in the Special Tax Formula. Taxable parcels that are acquired by a public agency after the District is formed will remain subject to the Special Tax unless a "trade" resulting in no loss of Special Tax revenue can be made, as described in the Special Tax Formula.

Assignment of Maximum Special Tax. The Special Tax Formula describes in detail the precise method for assigning the Maximum Special Tax to parcels within the District, which generally provides that each year the City will use the definitions contained in the Special Tax Formula to classify each parcel as tax-exempt or taxable. The Special Tax Formula assigns a total maximum tax to the existing Original Parcels (as defined in the Special Tax Formula) and then reallocates the tax to Successor Parcels (as defined in the Special Tax Formula) based on pro rata share of net developable area. The Developer initially contemplated subdividing the property into seven "large lot" parcels, which were then further subdivided into the contemplated 350 residential lots. Upon creation of the 350 single family residential lots, the annual Maximum Special Tax for the District was expected to be (and, in fact, is) \$584,335, or \$1,669.53 per lot.

Termination of the Special Tax. The Special Tax will be levied and collected for as long as needed to pay the principal and interest on the Bonds and other costs

incurred in order to construct the Improvements and authorized District-funded facilities and to pay the Annual Costs. The Special Tax Formula provides that the Special Tax may not be levied on any parcel in the District after fiscal Year 2035-36. When all Annual Costs incurred by the District have been paid, the Special Tax will cease to be levied.

Prepayment of the Special Tax. The Special Tax Formula provides that landowners may permanently satisfy all or a portion of the Special Tax by a cash settlement with the City, pursuant to specific conditions, including a determination by the City that the prepayment of the Special Tax obligation does not jeopardize its ability to make timely payments of debt service on Outstanding Bonds. The amount of a Full Prepayment or a Partial Prepayment (as defined in the Special Tax Formula) is calculated according to the methodology set forth in the Special Tax Formula, and is based on determining a "Benefit Share" of anticipated costs relating to the Outstanding Bonds, fees, call premiums, and expenses incurred by the City, less a "Reserve Fund Share", as defined in the Special Tax Formula.

For the complete text of the Special Tax Formula for Woodcreek East CFD, see APPENDIX C-2.

Priority of Lien. The principal of and interest on the CFD Bonds are payable from the Special Tax authorized to be collected within each respective District, and payment of the Special Tax is secured by a lien on certain real property within such District. Such lien is co-equal to and independent of the lien for general taxes and any other liens imposed under the Act, regardless of when they are imposed on the property in the District. The imposition of additional special taxes, assessments and general property taxes will increase the amount of independent and co-equal liens which must be satisfied in foreclosure. The City, the County and certain other public agencies are authorized by the Act to form other community facilities districts and improvement areas and, under other provisions of State law, to form special assessment districts, either or both of which could include all or a portion of the land within such District.

Private liens, such as deeds of trust securing loans obtained by owners of property in the District, may be placed upon property in the District at any time. Under State law, the Special Taxes have priority over all existing and future private liens imposed on property subject to the lien of the Special Taxes.

Parcels in the Districts are subject to a bonded special tax lien and non-bonded special taxes and assessments for services. See "THE DISTRICTS" and APPENDIX D-1 and APPENDIX D-2.

Limited Obligation Upon Delinquency. ALL OBLIGATIONS OF THE AUTHORITY UNDER THE INDENTURE AND THE BONDS ARE SPECIAL OBLIGATIONS OF THE AUTHORITY, PAYABLE SOLELY FROM AND SECURED BY THE REVENUES AND THE AMOUNTS IN THE REVENUE FUND AND OTHER FUNDS PLEDGED THEREFOR UNDER THE INDENTURE. EACH ISSUE OF CFD BONDS ARE LIMITED OBLIGATION BONDS UNDER THE ACT AND ARE PAYABLE SOLELY FROM AND ARE SECURED SOLELY BY THE SPECIAL TAXES AND THE AMOUNTS IN THE SPECIAL TAX FUND AND OTHER FUNDS PLEDGED THEREFOR UNDER THE APPLICABLE CFD BOND INDENTURE.

The Authority and the City have no obligation to advance monies to pay bond debt service in the event of delinquent special taxes. Bondowners should not rely upon the City to advance monies to the Special Tax Fund. Notwithstanding the foregoing, the City may, at its sole option and in its sole discretion elect to advance available surplus funds of the City to pay for any delinquent Special Taxes pending sale, reinstatement, or redemption of any delinquent property.

THE DISTRICTS

Introduction

Set forth in this section is certain information describing the Districts on a combined basis. Information on each District is shown on a separate basis in APPENDIX D-1 and APPENDIX D-2. Although the Authority believes the information with respect to the Districts in the aggregate is relevant to an informed decision to purchase the Bonds, investors should be aware that the **debt service on one issue of CFD Bonds may not be used to make up any shortfall in the debt service on the other issue of CFD Bonds**. Moreover, the parcels in each of the Districts are taxed according to that respective District's specific Special Tax Formula. The Special Tax Formula for Highland Reserve North CFD is set forth in Appendix C-1 and the Special Tax Formula for Woodcreek East CFD is set forth in Appendix C-2.

Furthermore, potential investors should be aware that Special Taxes are levied against individual parcels within each District, therefore any individual parcel in a District may have a value-to-lien ratio which is less than the overall value-to-lien for such District, or less than the value-to-lien of both Districts on a combined basis. In particular, an individual parcel which is undeveloped may have a value-to-lien ratio of less than 1 to 1. See "SECURITY FOR THE BONDS AND SOURCES OF PAYMENT THEREFOR."

Property in the Districts is comprised predominantly of single-family residential homes (this is the only type of taxable property within Woodcreek East CFD). To a lesser extent, and solely with respect to Highland Reserve North CFD, taxable properties include condominium uses and commercial uses. Only 5 taxable properties, all of which are located within Highland Reserve North CFD, out of a total of 1,837 in the Districts on a combined basis, remain undeveloped. See APPENDIX D-1 and APPENDIX D-2 for additional details.

Unpaid Special Taxes do not constitute a personal indebtedness of the owners of the parcels within the Districts and the owners have made no commitment to pay the principal of or interest on the CFD Bonds or the Bonds or to support payment of the CFD Bonds or the Bonds in any manner. There is no assurance that the owners have the ability to pay the special taxes or that, even if they have the ability, they will choose to pay such taxes. An owner may elect to not pay the special taxes when due and cannot be legally compelled to do so. Neither the City nor any Bondholder will have the ability at any time to seek payment from the owners of property within the Districts of any special tax or any principal or interest due on the CFD Bonds or the Bonds, or the ability to control who becomes a subsequent owner of any property within the Districts.

Description of the Districts

Highland Reserve North CFD contains 1,487 taxable parcels and is located on the northeastern perimeter of the City. Highland Reserve North CFD has been almost fully developed, with only 5 out of 1,487 parcels remaining undeveloped; the remaining parcels in Highland Reserve North CFD have developed into 1,018 single-family residential parcels, 408 condominium parcels, and 56 commercial parcels. Each of the 5 remaining undeveloped parcels is expected to be developed into a commercial parcel. Highland Reserve North CFD is located east of Highway 65, south of Blue Oaks Boulevard and adjacent to the Rocklin city limits in an area of the City that has experienced significant new development in the last 10-15 years. For more information on Highland Reserve North CFD, see APPENDIX D-1.

Woodcreek East CFD is fully developed and consists of 350 single-family units on approximately 181 gross acres and is located in the northwestern portion of the City. Woodcreek East CFD is bounded by the city-limits of the City to the north, Woodcreek Oaks Boulevard to the west and generally bounded by Blue Oaks Boulevard to the south and Foothills Boulevard to the east. For more information on Woodcreek East CFD, see APPENDIX D-2.

Assessed Values on a Combined Basis

Property Values. The value of the land within the Districts is a critical factor in determining the investment quality of the Bonds. If a property owner defaults in the payment of a Special Tax, the City's only remedy is to foreclose on the delinquent property in an attempt to obtain funds with which to pay the delinquent Special Taxes. See "SECURITY FOR THE CFD BONDS – Covenants of the City" and "RISK FACTORS - Bankruptcy Delays." Reductions in District property values due to a downturn in the economy, natural disasters such as earthquakes or floods, stricter land use regulations or other events could have an adverse impact on the security for payment of the Special Taxes, which in turn could have an adverse impact on the security for payment of the Bonds.

The Special Tax is levied on each taxable parcel within the Districts and only the respective individual parcel is responsible for such Special Tax. In comparing the aggregate value of the taxable property within the Districts and the principal amount of the Bonds, it should be noted that only the Assessor's parcel of real property upon which there is a delinquent Special Tax can be foreclosed upon. All of the taxable property within the Districts cannot be foreclosed upon as a whole to pay delinquent Special Taxes unless all of the property is subject to delinquent Special Taxes. Individual parcels may be foreclosed upon to pay delinquent Special Taxes levied against such parcels only. See "SECURITY FOR THE CFD BONDS" and "RISK FACTORS."

The principal amount of the CFD Bonds is not allocated pro-rata among the parcels within the Districts; rather, the annual Special Taxes for the Districts are billed annually for each taxable parcel within the Districts in accordance with the applicable Special Tax Formula. Upon sale of developed parcels, the buyer typically acquires the property subject to the unpaid portion of any general taxes and special taxes levied against the parcel purchased. General taxes and special taxes are not required to be removed from the property and are not required to be, but may be, paid off in full upon transfer of property or upon development of the property.

Assessed Value. Article XIII A of the California Constitution (Proposition 13) defines "full cash value" to mean "the county assessor's valuation of real property as shown on the 1975-76 bill under 'full cash value', or, thereafter, the appraised value of real property when purchased or newly constructed or when a change in ownership has occurred after the 1975 assessment," subject to exemptions in certain circumstances of property transfer or reconstruction. The "full cash value" is subject to annual adjustment to reflect increases, not to exceed 2 percent for any year, or decreases in the consumer price index or comparable local data, or to reflect reductions in property value caused by damage, destruction or other factors.

Because of the general limitation to 2 percent per year in increases in full cash value of properties which remain in the same ownership, the county tax roll does not reflect values uniformly proportional to actual market values. No assurance can be given that should a parcel with delinquent Special Taxes be foreclosed and sold for the amount of the delinquency,

that any bid will be received for such property, or if a bid is received that such bid will be sufficient to pay such delinquent installments.

2015-16 Assessed Values on Combined Basis. The City has obtained the “full cash” assessed values of all of the taxable parcels in Highland Reserve North CFD and Woodcreek East CFD, as established by the County Assessor for Fiscal Year 2015-16 (herein, the “**Assessed Valuation**”). The estimated total Assessed Valuation of the taxable parcels in the Highland Reserve North CFD (1,487 parcels) is \$730,734,138 and the estimated total Assessed Valuation of the taxable parcels in the Woodcreek East CFD (350 parcels) is \$161,707,628, for a combined total valuation (1,837 parcels) of \$892,441,766, as summarized in the table below.

Historical Assessed Valuations. The table below shows a five-year history of assessed valuations of the property that was levied a Special Tax in each Fiscal Year in the Districts on a combined basis.

ROSEVILLE FINANCE AUTHORITY
Historical Assessed Valuation – The Districts in Aggregate

Fiscal Year	No. of Parcels	Total Assessed Value	% Change in Total Assessed Value
2011-12	1,836	\$725,133,180	--
2012-13	1,837	717,513,429	(1.1)%
2013-14	1,837	756,585,439	5.4
2014-15	1,837	841,067,143	11.2
2015-16	1,837	892,441,766	6.1

Source: Placer County, as compiled by Willdan Financial Services.

Value-to-Lien Ratios on a Combined Basis

Direct Debt Value to Lien Ratios. The aggregate Assessed Valuation of taxable property in the Districts is \$892,441,766 (consisting solely of assessed land value and structure value), which is approximately 36 times the \$24,840,000 aggregate principal amount of the CFD Bonds (not including overlapping debt) outstanding as of September 30, 2015. The aggregate Assessed Valuation of taxable property in the Highland Reserve North CFD is \$730,734,138 (consisting solely of assessed land value and structure value), which is approximately 33 times the \$20,651,000 aggregate principal amount of the Highland Reserve North CFD Bonds (not including overlapping debt) outstanding as of September 30, 2015. The aggregate Assessed Valuation of taxable property in the Woodcreek East CFD is \$161,707,628 (consisting solely of assessed land value and structure value), which is approximately 39 times the \$4,189,000 aggregate principal amount of the Woodcreek East CFD Bonds (not including overlapping debt) outstanding as of September 30, 2015.

Overlapping Debt Value to Lien Ratios. The aggregate Assessed Valuation of taxable property in the Districts is \$900,125,076 (consisting of assessed land value and structure value, as well as other property assessed through the County secured property tax roll), which is approximately 31 times the \$28,865,918 total direct and overlapping debt in the Districts as of April 1, 2016. The aggregate Assessed Valuation of taxable property in the Highland Reserve North CFD is \$738,417,448 (consisting of assessed land value and structure value, as well as other property assessed through the County secured property tax roll), which is approximately 31 times the \$23,954,324 total direct and overlapping debt in the Highland Reserve North CFD as of April 1, 2016. The aggregate Assessed Valuation of taxable property in the Woodcreek

East CFD is \$161,707,628 (consisting of assessed land value and structure value, as well as other property assessed through the County secured property tax roll), which is approximately 33 times the \$4,911,594 total direct and overlapping debt in the Woodcreek East CFD as of April 1, 2016. For additional information on overlapping debt within each District, see APPENDIX D-1 and APPENDIX D-2.

The following tables set forth the assessed value-to-lien ratios for the Districts on a combined basis, based upon Assessed Valuation of taxable property and the principal amounts of the CFD Bonds, and direct and overlapping debt. Potential investors should note that the Special Taxes levied and collected in one District secure only the issue of CFD Bonds issued on behalf of such District and additional Special Taxes cannot be levied in one District to compensate for nonpayment of Special Taxes in the other District.

For value-to-lien ratios for property in each of the Districts shown on a separate basis, see APPENDIX D-1 and APPENDIX D-2.

Value-to-Lien Ratios by Range. The following table summarizes the combined value-to-lien ratios of all property in the Districts against the CFD Bonds, by value-to-lien ratio ranges. For value-to-lien ratios by range shown on a separate basis for each District, see APPENDIX D-1 and APPENDIX D-2.

ROSEVILLE FINANCE AUTHORITY
Summary Value to Lien Ratios by Range – The Districts Combined

Value to Lien Ratio	No. of Parcels	Total 2015-16 Assessed Value⁽¹⁾	Total 2015-16 Special Tax	Direct Bonded Debt⁽²⁾	Overlapping Debt	Total Direct & Overlapping Debt	Value to Direct & Overlapping Debt
Greater than 50	2	\$757,964	\$1,403	\$10,914	\$3,394	\$14,307	52.98
40:1 to 49.99	164	97,452,872	229,998	1,815,809	436,323	2,252,131	43.27
30:1 to 39.99	1,145	522,420,861	1,632,511	12,935,822	2,337,038	15,272,859	34.21
20:1 to 29.99	504	259,239,313	1,111,340	8,738,614	1,158,986	9,897,600	26.19
10:1 to 19.99	16	18,044,577	125,655	978,577	80,286	1,058,863	17.04
5:1 to 9.99	5	1,865,297	33,428	261,081	8,351	269,433	6.92
3:1 to 4.99	1	344,192	12,749	99,183	1,541	100,724	3.42
Total	1,837	\$900,125,076	\$3,147,083	\$24,840,000	\$4,025,919	\$28,865,918	31.18

(1) In addition to assessed land and structure values, the total assessed value displayed includes other property assessed through the county secured property tax roll. According to the Placer County Assessor, this total assessed value includes \$7,683,310 in fixed improvements and personal property.

(2) Bonded Debt is based upon each District parcel's proportionate share of the District's Annual Maximum Tax times the principal balance of the District's CFD Bonds as of September 30, 2015.

Source: Direct and Overlapping Tax and Assessment Debt as of April 1, 2016, as compiled by California Municipal Statistics Inc.; Willdan Financial Services.

Value-to-Lien Ratios by Development Category. The following table summarizes the combined value-to-lien ratios of all property in the Districts against the CFD Bonds, displayed on a development category basis. For value-to-lien ratios by development category for property in each of the Districts shown on a separate basis, see APPENDIX D-1 and APPENDIX D-2.

ROSEVILLE FINANCE AUTHORITY
Value to Lien Ratios by Development Category – The Districts Combined

Development Category ^{(1), (2)}	No. of Parcels Levied	2015-16 Assessed Values			CFD Bonded Debt ⁽³⁾	% of Bonded Debt	Value to Lien
		Land	Structure	Total			
<u>SF Residential:</u>							
Developed	1,368	\$148,550,457	\$428,939,461	\$577,489,918	\$15,048,170	60.58%	38.38
<u>Condo:</u>							
Developed	408	19,722,805	70,215,602	89,938,407	2,277,763	9.17	39.49
<u>Commercial</u>							
Developed	56	64,019,679	158,896,871	222,916,550	7,165,774	28.85	31.11
Undeveloped	5	2,096,891		2,096,891	348,294	1.40	6.02
Total	1,837			\$892,441,766	\$24,840,000	100.00%	35.93

(1) A parcel is reported as Developed if the parcel shows either a structure value on the FY 2015-16 Placer County Secured Roll or construction is "Completed" according to the City of Roseville.

(2) A parcel is reported as Under Construction if the parcel is denoted as "Under Construction" according to the City of Roseville and the parcel shows no improvement value on the FY 2015-16 Placer County Secured Roll. A taxable parcel that is neither Developed nor Under Construction is considered Undeveloped.

(3) Bonded Debt is based upon each parcel's proportionate share of the District's Annual Maximum Tax times the principal balance of the District's CFD Bonds as of September 30, 2015.

Source: Assessed Values - Placer County 2015-16 Secured Property Roll, as compiled by Willdan Financial Services.

Value-to-Lien Ratios for Top Ten Taxpayers. The following table summarizes the combined value-to-lien ratios of the top ten taxpayers of property in the Districts against the CFD Bonds. For value-to-lien ratios for the top ten taxpayers of property in each of the Districts shown on a separate basis, see APPENDIX D-1 and APPENDIX D-2.

ROSEVILLE FINANCE AUTHORITY
Assessed Values and Value-to-Lien Ratios for Top Ten Taxpayers – The Districts Combined

Property Owner	No. of Parcels	2015-16 Assessed Values			Maximum Tax	CFD Bonded Debt ⁽¹⁾	% of Bonded Debt	Value to Lien
		Land	Structure	Total				
Excel Highland Reserve LLC	7	\$10,225,381	\$27,989,572	\$38,214,953	\$137,336	\$1,010,970	4.07%	37.80
Blue Oaks Fairway LLC	10	6,232,057	18,340,778	24,572,835	118,810	874,595	3.52	28.10
Target Corporation	2	6,977,820	13,075,269	20,053,089	111,202	818,588	3.30	24.50
MGP IX Properties LLC	7	7,838,260	16,490,159	24,328,419	101,838	749,658	3.02	32.45
Lowes HIW Inc.	1	6,243,100	10,341,900	16,585,000	98,041	721,704	2.91	22.98
Winco Foods LLC	1	4,117,900	8,157,100	12,275,000	76,965	566,564	2.28	21.67
Eureka Development Company	6	5,137,812	13,572,993	18,710,805	65,533	482,409	1.94	38.79
Conrad Urata 4 LLC	4	2,710,091	4,641,483	7,351,574	38,861	286,068	1.15	25.70
Patterson Stephen C & Virginia, Trustees	1	2,037,387	3,758,684	5,796,071	36,468	268,454	1.08	21.59
Fairway Creek LLC	3	805,600	6,474,400	7,280,000	29,741	218,933	0.88	33.25
Total Top Ten Property Owners	42	52,325,408	122,842,338	175,167,746	814,795	5,997,943	24.15	29.20
All Other Property Owners	1,795	182,064,424	535,209,596	717,274,020	2,574,896	18,842,057	75.85	38.07
Total	1,837	\$234,389,832	\$658,051,934	\$892,441,766	\$3,389,691	\$24,840,000	100.00%	35.93

(1) Bonded Debt is based upon each parcel's proportionate share of the District's Annual Maximum Tax times the principal balance of the CFD Bonds as of September 30, 2015.

Source: Assessed Values – Placer County 2015-16 Secured Property Roll, as compiled by Willdan Financial Services.

Delinquencies on a Combined Basis

The following table summarizes the historical collection and delinquency information of property in the Districts, on a combined basis, for 2011-12 through 2015-16 (1st installment). For historical collection and delinquency information on each of the Districts on a separate basis, see APPENDIX D-1 and APPENDIX D-2.

ROSEVILLE FINANCE AUTHORITY Special Tax Levies, Delinquencies and Delinquency Rates – The Districts Combined Fiscal Years 2011-12 to 2015-2016 (1st Installment)

Fiscal Year	Annual Special Taxes Levied	No. of Parcels Levied	As of Each Fiscal Year End ⁽¹⁾				As of January 28, 2016			
			Amount Collected	Amount Delinquent ⁽²⁾	No. of Parcels Delinquent	% Levy Delinquent	Remaining Amount Collected	Remaining Amount Delinquent ⁽²⁾	Remaining No of Parcels Delinquent	% Levy Delinquent
2011-12	\$2,854,539	1,836	\$2,816,876	\$37,663	49	1.32%	\$2,853,109	\$1,430	2	0.05%
2012-13	2,918,105	1,837	2,895,621	22,484	30	0.77	2,916,829	1,276	1	0.04
2013-14	3,139,868	1,837	3,114,785	25,083	28	0.80	3,137,875	1,993	2	0.06
2014-15	3,103,000	1,837	3,091,885	11,115	11	0.36	3,100,951	2,049	2	0.07
2015-16 ⁽³⁾	3,147,083	1,837	n/a	10,088	17	0.32	n/a	10,088	17	0.64

(1) Delinquency information as of May or June of the fiscal year in which the Special Taxes were levied except as noted.

(2) Delinquent amounts shown above do not include penalties, interest or fees.

(3) The above fiscal year 2015-16 delinquency information reflects the collection of the first installment only as the second installment collection data is not yet available. The fiscal year 2015-16 Percent Levy Delinquent reported above was calculated using the first installment delinquent amount and one-half of the fiscal year 2015-16 Special Tax Levy amount shown above.

Source: Placer County Tax Collector, as compiled by Willdan Financial Services.

RISK FACTORS

The purchase of the Bonds described in this Official Statement involves a degree of risk that may not be appropriate for some investors. The following includes a discussion of some of the risks that should be considered before making an investment decision.

Limited Obligation to Pay Debt Service

The Bonds. The Bonds are special obligations of the Authority payable solely from and secured solely by the Revenues, consisting primarily of debt service on the CFD Bonds, and other amounts held and pledged therefor under the Indenture. The Authority has no obligation to pay principal of or interest on the Bonds if the Revenues and the amounts in the other funds pledged for the Bonds, including the Reserve Fund, are insufficient pay the debt service on the Bonds. The Authority is not obligated to advance funds to pay debt service on the Bonds.

The CFD Bonds. The City has no obligation to pay principal of or interest on the CFD Bonds if Special Tax collections are delinquent or insufficient, including funds derived from the foreclosure and sale of parcels for Special Tax delinquencies, to pay the debt service on the CFD Bonds. The City is not obligated to advance funds to pay debt service on the CFD Bonds.

Levy and Collection of the Special Taxes

General. The principal source of payment of principal of and interest on each series of the CFD Bonds is the proceeds of the annual levy and collection of the Special Tax against taxable property within the applicable District.

Limitation on Special Tax Rate. The annual levy of the Special Tax on any parcel is limited to the maximum Special Tax rate authorized in the applicable Special Tax Formula. The levy cannot be made at a higher rate even if the failure to do so means that the estimated proceeds of the levy and collection of the Special Tax, together with other available funds, will not be sufficient to pay debt service on the CFD Bonds.

No Relationship Between Property Value and Special Tax Levy. Because the Special Tax Formula is not based on property value, the levy of the Special Tax will rarely, if ever, result in a uniform relationship between the value of particular parcels of taxable property and the amount of the levy of the Special Tax against those parcels. Thus, there will rarely, if ever, be a uniform relationship between the value of the parcels of taxable property and their proportionate share of debt service on the CFD Bonds, and certainly not a direct relationship.

Factors that Could Lead to Special Tax Deficiencies. The following are some of the factors that might cause the levy of the Special Tax on any particular parcel of taxable property to vary from the Special Tax that might otherwise be expected:

Transfers to Governmental Entities. The number of parcels of taxable property could be reduced through the acquisition of taxable property by a governmental entity (by exercise of its rights as mortgage guarantor, or for other reasons) and failure of the government to pay the Special Tax based upon a claim of exemption or, in the case of the federal government or an agency thereof, immunity from taxation, thereby resulting in an increased tax burden on the remaining taxed parcels.

Property Tax Delinquencies. Failure of the owners of taxable property to pay property taxes (and, consequently, the Special Tax), or delays in the collection of or inability to collect the Special Tax by tax sale or foreclosure and sale of the delinquent parcels, could result in a deficiency in the collection of Special Taxes. For a summary of Special Tax collections and delinquencies in the Districts, see “THE DISTRICTS – Delinquencies on a Combined Basis.”

Delays Following Delinquencies and Foreclosure Sales. The CFD Bond Indentures provide that the Special Tax is to be collected in the same manner as ordinary *ad valorem* property taxes are collected and, except as provided in the special covenant for foreclosure described in “SECURITY FOR THE CFD BONDS – Covenants of the City” and in the Act, is subject to the same penalties and the same procedure, sale and lien priority in case of delinquency as is provided for ordinary *ad valorem* property taxes. Under these procedures, if taxes are unpaid for a period of five years or more, the property is subject to sale by the County.

If sales or foreclosures of property are necessary, there could be a delay in payments to the Authority, as owner of the CFD Bonds, pending such sales or the prosecution of foreclosure proceedings and receipt by the City of the proceeds of sale if the Reserve Account for the applicable CFD Bonds within the Reserve Fund held by the Trustee is depleted. See “SECURITY FOR THE CFD BONDS.”

Payment of Special Taxes is not a Personal Obligation of the Property Owners

Property owners are not personally obligated to pay their respective Special Taxes. Rather, the Special Taxes are obligations only against the respective parcels against which they are levied. If, after a default in the payment of the Special Tax and a foreclosure sale, the resulting proceeds are insufficient, taking into account other obligations also constituting a lien against the parcel, the City has no personal recourse against the parcel owner.

Assessed Valuations

The City has not commissioned an appraisal of the parcels in either District in connection with the issuance of the Bonds. Therefore, the estimated valuation of the taxable property in the Districts set forth in this Official Statement are based on the County Assessor's values. The Assessed Valuation, derived from the County Assessor's Fiscal Year 2015-16 property tax roll, is not necessarily an indication of what a willing buyer might pay for a property. The assessed value is not necessarily evidence of future value because future facts and circumstances may differ significantly from the present.

No assurance can be given that any of the taxable property in the Districts could be sold for the Assessed Valuations if that property should become delinquent and subject to foreclosure proceedings.

Property Values

The value of taxable property within the Districts is a critical factor in determining the investment quality of the Bonds. If a parcel owner defaults in the payment of the Special Taxes, the City's only remedy is to foreclose on the delinquent property.

The following is a discussion of specific risk factors that could affect the value of property in the Districts.

Prolonged Economic Downturn. Land values in and around the City have been in the past and likely would be in the future adversely affected by national and local economic conditions. To the extent of an economic downturn, property values could remain flat and/or decrease for an indefinite period.

Declines in home values in the Districts could also result in property owner unwillingness or inability to pay mortgage payments, as well as *ad valorem* property taxes and Special Taxes, when due. Under such circumstances, bankruptcies are likely to increase. Bankruptcy by homeowners with delinquent Special Taxes would delay the commencement and completion of foreclosure proceedings.

Risks Related to Mortgage Loans. Although residential projects that have their homes built and occupied by homeowners are typically viewed as providing bondholders with strong credits, some of the home purchasers within the Districts, especially those during 2004 to 2007, may face challenges in making their mortgage and tax payments on a timely basis, due to their initial high loan to value ratios, creative mortgage loan structures, and potential negative equity levels.

Events in the United States and world-wide capital markets could adversely affected the availability of mortgage loans to homeowners, including potential buyers of homes within the Districts. Any such unavailability could hinder the ability of the current homeowners to resell their homes, and adversely affect the market prices available to current homeowners.

Natural Disasters. The value of the parcels in the Districts in the future can be adversely affected by a variety of natural occurrences, particularly those that may affect infrastructure and other public improvements and private improvements on the parcels in the Districts and the continued habitability and enjoyment of such private improvements. For example, the areas in and surrounding the Districts, like those in much of the State, may be subject to earthquakes or other unpredictable seismic activity, however, the Districts are not located in a seismic special studies zone.

Other natural disasters could include, without limitation, landslides, floods, droughts or tornadoes. One or more natural disasters could occur and could result in damage to improvements of varying seriousness. The damage may entail significant repair or replacement costs and that repair or replacement may never occur either because of the cost, or because repair or replacement will not facilitate habitability or other use, or because other considerations preclude such repair or replacement. Under any of these circumstances there could be significant delinquencies in the payment of Special Taxes, and the value of the parcels may well depreciate.

Hazardous Substances. One of the most serious risks in terms of the potential reduction in the property values is a claim with regard to a hazardous substance. In general, the owners and operators of property may be required by law to remedy conditions of the parcel relating to releases or threatened releases of hazardous substances. The federal Comprehensive Environmental Response, Compensation and Liability Act of 1980, sometimes referred to as "CERCLA" or the "Superfund Act," is the most well-known and widely applicable of these laws, but California laws with regard to

hazardous substances are also stringent and similar. Under many of these laws, the owner or operator is obligated to remedy a hazardous substance condition of property whether or not the owner or operator has anything to do with creating or handling the hazardous substance. The effect, therefore, should any of the Taxable Property in the Districts be affected by a hazardous substance, is to reduce the marketability and value of the parcel by the costs of remedying the condition, because the purchaser, upon becoming owner, will become obligated to remedy the condition just as is the seller.

Although the City is not aware that the owner or operator of any of the Taxable Property in the Districts has such a current liability, it is possible that such liabilities do currently exist. Further, it is possible that liabilities may arise in the future resulting from the existence, currently, on the parcel of a substance presently classified as hazardous but that has not been released or the release of which is not presently threatened, or may arise in the future resulting from the existence, currently on the parcel of a substance not presently classified as hazardous but that may in the future be so classified. Further, such liabilities may arise not simply from the existence of a hazardous substance but from the method of handling it. All of these possibilities could significantly affect the property values that would otherwise be realized upon a delinquency.

No information is available as to the existence of any hazardous substances within the Districts.

Other Factors. Other factors that could adversely affect property values in the Districts include, among others, relocation of employers out of the area, shortages of water, electricity, natural gas or other utilities, and destruction of property caused by man-made disasters.

California Drought; State of Emergency Proclamation

On January 17, 2014, with California facing water shortfalls in the then-driest year in recorded state history, Governor Edmund G. Brown Jr. proclaimed a State of Emergency and directed State officials to take all necessary actions to prepare for these drought conditions. In the State of Emergency declaration, Governor Brown directed State officials to assist farmers and communities that are economically impacted by dry conditions and to ensure the State can respond if Californians face drinking water shortages. The Governor also directed State agencies to use less water and hire more firefighters and initiated a greatly expanded water conservation public awareness. In addition, the proclamation gave State water officials more flexibility to manage supply throughout the State under drought conditions.

The Governor's drought State of Emergency follows a series of actions the administration has taken to ensure that the State is prepared for record dry conditions. In May 2013, Governor Brown issued an Executive Order to direct state water officials to expedite the review and processing of voluntary transfers of water and water rights. In December 2014, the Governor formed a Drought Task Force to review expected water allocations, California's preparedness for water scarcity and whether conditions merit a drought declaration.

On April 1, 2015, for the first time in state history, the Governor directed the State Water Resources Control Board to implement mandatory water reductions in cities and towns across the State to reduce water usage by 25%. This savings amounts to approximately 1.5 million acre-feet of water over the following nine months.

California set a new “low water” mark on April 1, 2015, with its early-April snowpack measurement. The statewide electronic reading of the snowpack’s water content stood at 5 percent of the April 1st average. April 1, 2015’s content was only 1.4 inches, or 5%, of the 28-inch average. The lowest previous reading since 1950 was 25% of average, so water year 2015 is the driest winter in California’s written record.

The implementation of mandatory water reductions is ongoing. The Authority cannot predict how long the drought conditions will last, what effect drought conditions may have on property values or whether or to what extent water reduction requirements may affect the Districts.

Other Possible Claims Upon the Property Values

While the Special Taxes are secured by the Taxable Property in the Districts, the security only extends to the value of such property that is not subject to priority and parity liens and similar claims.

Tables listing of the outstanding governmental obligations affecting each District are set forth above under “OWNERSHIP AND VALUE OF PROPERTY WITHIN THE DISTRICTS – Direct and Overlapping Governmental Liens.”

In addition, other governmental obligations may be authorized and undertaken or issued in the future, the tax, assessment or charge for which may become an obligation of one or more of the parcels within the Districts, and may be secured by a lien on a parity with the lien of the Special Taxes securing the CFD Bonds.

In general, the Special Taxes, and all other taxes, assessments and charges also collected on the tax roll, are on a parity, that is, are of equal priority. Questions of priority become significant when collection of one or more of the taxes, assessments or charges is sought by some other procedure, such as foreclosure and sale. If proceedings are brought to foreclose a delinquency, the Special Taxes will generally be on parity with the other taxes, assessments and charges, and will share the proceeds of such foreclosure proceedings on a pro-rata basis.

Enforcement of Special Taxes on Governmentally Owned Properties

General. The ability of the City to foreclose the lien of delinquent unpaid Special Tax installments may be limited with regard to properties in which the Federal Deposit Insurance Corporation (the “**FDIC**”), the Drug Enforcement Agency, the Internal Revenue Service, or other federal agency has or obtains an interest.

Federal courts have held that, based on the supremacy clause of the United States Constitution, in the absence of Congressional intent to the contrary, a state or local agency cannot foreclose to collect delinquent taxes or assessments if foreclosure would impair the federal government interest.

The supremacy clause of the United States Constitution reads as follows: “This Constitution, and the Laws of the United States which shall be made in Pursuance thereof; and all Treaties made, or which shall be made, under the Authority of the United States, shall be the

supreme Law of the Land; and the Judges in every State shall be bound thereby, any Thing in the Constitution or Laws of any State to the contrary notwithstanding.”

This means that, unless Congress has otherwise provided, if a federal governmental entity owns a parcel that is subject to Special Taxes within the Districts, but does not pay taxes and assessments levied on the parcel (including Special Taxes), the applicable state and local governments cannot foreclose on the parcel to collect the delinquent taxes and assessments.

Moreover, unless Congress has otherwise provided, if the federal government has a mortgage interest in the parcel and the City wishes to foreclose on the parcel as a result of delinquent Special Taxes, the property cannot be sold at a foreclosure sale unless it can be sold for an amount sufficient to pay delinquent taxes and assessments on a parity with the Special Taxes and preserve the federal government’s mortgage interest. In *Rust v. Johnson* (9th Circuit; 1979) 597 F.2d 174, the United States Court of Appeal, Ninth Circuit held that the Federal National Mortgage Association (“**FNMA**”) is a federal instrumentality for purposes of this doctrine, and not a private entity, and that, as a result, an exercise of state power over a mortgage interest held by FNMA constitutes an exercise of state power over property of the United States.

Neither the City nor the Authority has undertaken to determine whether any federal governmental entity currently has, or is likely to acquire, any interest (including a mortgage interest) in any of the parcels subject to the Special Taxes within the Districts. No assurance can be given as to the likelihood that the risks described above will materialize while the CFD Bonds are outstanding.

FDIC. If any financial institution making any loan secured by real property within the Districts is taken over by the FDIC, and prior thereto or thereafter the loan (or loans) goes into default, resulting in ownership of the property by the FDIC, then the ability of the City to collect interest and penalties specified by State law and to foreclose the lien of delinquent unpaid Special Taxes may be limited.

The FDIC’s policy statement regarding the payment of state and local real property taxes (the “**Policy Statement**”) provides that property owned by the FDIC is subject to state and local real property taxes only if those taxes are assessed according to the property’s value, and that the FDIC is immune from real property taxes assessed on any basis other than property value. According to the Policy Statement, the FDIC will pay its property tax obligations when they become due and payable and will pay claims for delinquent property taxes as promptly as is consistent with sound business practice and the orderly administration of the institution’s affairs, unless abandonment of the FDIC’s interest in the property is appropriate. The FDIC will pay claims for interest on delinquent property taxes owed at the rate provided under state law, to the extent the interest payment obligation is secured by a valid lien. The FDIC will not pay any amounts in the nature of fines or penalties and will not pay nor recognize liens for such amounts. If any property taxes (including interest) on FDIC-owned property are secured by a valid lien (in effect before the property became owned by the FDIC), the FDIC will pay those claims. The Policy Statement further provides that no property of the FDIC is subject to levy, attachment, garnishment, foreclosure or sale without the FDIC’s consent. In addition, the FDIC will not permit a lien or security interest held by the FDIC to be eliminated by foreclosure without the FDIC’s consent.

The Policy Statement states that the FDIC generally will not pay non-ad valorem taxes, including special taxes and assessments, on property in which it has a fee interest unless the

amount of tax is fixed at the time that the FDIC acquires its fee interest in the property, nor will it recognize the validity of any lien to the extent it purports to secure the payment of any such amounts. Special taxes imposed under the Act and a special tax formula, which determines the special tax due each year, are specifically identified in the Policy Statement as being imposed each year and therefore covered by the FDIC's federal immunity. The Ninth Circuit issued a ruling on August 28, 2001, in which it determined that the FDIC, as a federal agency, is exempt from Mello-Roos special taxes.

The Authority and the City are unable to predict what effect the application of the Policy Statement would have in the event of a delinquency in the payment of Special Taxes on a parcel within the Districts, in which the FDIC has or obtains an interest, although prohibiting the lien of the Special Taxes to be foreclosed out at a judicial foreclosure sale could reduce or eliminate the number of persons willing to purchase a parcel at a foreclosure sale. Such an outcome could cause a draw on either the Reserve Accounts established for the CFD Bonds and perhaps, ultimately, if enough property were to become owned by the FDIC, a default in payment on the CFD Bonds.

Exemptions Under Special Tax Formula and the Mello-Roos Act. Certain properties are exempt from the Special Tax in accordance with the Special Tax Formula and the Act, which provides that properties or entities of the state, federal or local government are exempt from the Special Tax; provided, however, that property within the Districts acquired by a public entity through a negotiated transaction or by gift or devise, which is not otherwise exempt from the Special Tax, will continue to be subject to the Special Tax.

In addition, although the Act provides that if property subject to the Special Tax is acquired by a public entity through eminent domain proceedings, the obligation to pay the Special Tax with respect to that property is to be treated as if it were a special assessment, the constitutionality and operation of these provisions of the Act have not been tested, meaning that such property could become exempt from the Special Tax. The Act further provides that no other properties or entities are exempt from the Special Tax unless the properties or entities are expressly exempted in a resolution of consideration to levy a new special tax or to alter the rate or method of apportionment of an existing special tax.

Depletion of Reserve Fund

The Reserve Fund is to be maintained at an amount equal to the Reserve Requirement. See "SECURITY FOR THE BONDS AND SOURCES OF PAYMENT THEREFOR – Reserve Fund." Amounts in the Reserve Fund may be used to pay principal of and interest on the Bonds if insufficient funds are available from the Revenues, which result from the proceeds of the levy and collection of the Special Taxes. If funds in the Reserve Fund for the Bonds are depleted, the funds can be replenished from the proceeds of the levy and collection of the Special Tax that are in excess of the amount required to pay all amounts to be paid to the Bond holders pursuant to the CFD Bond Indentures. However, no replenishment from the proceeds of Special Taxes can occur as long as the proceeds that are collected, together with other available funds, remain insufficient to pay all such amounts. Thus it is possible that the Reserve Fund will be depleted and not replenished. *Furthermore, the Reserve Fund for the Bonds is not cross-collateralized. A reserve account will be established within the Reserve Fund for each issue of CFD Bonds, and annual Special Taxes from one District, and payment of debt service on one issue of CFD Bond, cannot be used to cover any shortfall in the payment of debt service, or replenishment of the applicable reserve account, on the other issue of CFD Bond.*

Bankruptcy Delays

The payment of the Special Taxes, and the ability of the City to foreclose the lien of a delinquent unpaid Special Tax, may be limited by bankruptcy, insolvency or other laws generally affecting creditors' rights or by State laws relating to judicial foreclosure.

The various legal opinions to be delivered concurrently with the delivery of the Bonds (including Bond Counsel's approving legal opinion) will be qualified as to the enforceability of the various legal instruments by bankruptcy, insolvency, reorganization, moratorium and other similar laws affecting creditors' rights, by the application of equitable principles and by the exercise of judicial discretion in appropriate cases.

Although bankruptcy proceedings would not cause the Special Taxes to become extinguished, bankruptcy of a property owner or any other person claiming an interest in the property could result in a delay in superior court foreclosure proceedings and could result in the possibility of Special Tax installments not being paid in part or in full. Such a delay would increase the likelihood of a delay or default in payment of the principal of and interest on the CFD Bonds, as applicable.

Disclosure to Future Purchasers

The City has recorded, in the Office of the County Recorder, a notice of the Special Tax lien with respect to the Districts. While title companies normally refer to such notices in title reports, there can be no guarantee that such reference will be made or, if made, that a prospective purchaser or lender will consider the obligations represented by the Special Taxes in the purchase of a parcel of land or a home in the Districts, or the lending of money secured by property in the Districts.

No Acceleration; Right to Pursue Remedies

Neither the Bonds nor the CFD Bonds contain a provision allowing for acceleration if a payment default or other default occurs under the Indenture or the CFD Bond Indentures. See "APPENDIX A – Summary of Certain Provisions of Principal Legal Documents."

So long as the Bonds are in book-entry form, DTC will be the sole Bond Owner and will be entitled to exercise all rights and remedies of Bond Owners under the Bonds and the Indenture.

Loss of Tax Exemption

As discussed under the caption "LEGAL MATTERS – Tax Matters," interest on the Bonds might become includable in gross income for purposes of federal income taxation retroactive to the date the Bonds were issued as a result of future acts or omissions of the Authority in violation of its covenants in the Indenture, or of the City in violation of its covenants in the CFD Bond Indentures.

The Indenture does not contain a special redemption feature triggered by the occurrence of an event of taxability. As a result, if interest on the Bonds were to be includable in gross income for purposes of federal income taxation, the Bonds would continue to remain outstanding until maturity unless earlier redeemed pursuant to mandatory redemption. See "THE BONDS – Redemption."

In addition, Congress has considered in the past, is currently considering and may consider in the future, legislative proposals, including some that carry retroactive effective dates, that, if enacted, would alter or eliminate the exclusion from gross income for federal income tax purposes of interest on municipal bonds, such as the Bonds. Prospective purchasers of the Bonds should consult their own tax advisors regarding any pending or proposed federal tax legislation.

Voter Initiatives

Under the California Constitution, the power of initiative is reserved to the voters for the purpose of enacting statutes and constitutional amendments. Since 1978, the voters have exercised this power through the adoption of Proposition 13 and similar measures, including Proposition 218, which was approved in the general election held on November 5, 1996, and Proposition 26, which was approved on November 2, 2010.

Any such initiative may affect the collection of fees, taxes and other types of revenue by local agencies such as the City. Subject to overriding federal constitutional principles, such collection may be materially and adversely affected by voter-approved initiatives, possibly to the extent of creating cash-flow problems in the payment of outstanding obligations such as the CFD Bonds.

Proposition 218—Voter Approval for Local Government Taxes—Limitation on Fees, Assessments, and Charges—Initiative Constitutional Amendment, added Articles XIII C and XIII D to the California Constitution, imposing certain vote requirements and other limitations on the imposition of new or increased taxes, assessments and property-related fees and charges.

On November 2, 2010, California voters approved Proposition 26, entitled the “Supermajority Vote to Pass New Taxes and Fees Act”. Section 1 of Proposition 26 declares that Proposition 26 is intended to limit the ability of the State Legislature and local government to circumvent existing restrictions on increasing taxes by defining the new or expanded taxes as “fees.” Proposition 26 amended Articles XIII A and XIII C of the State Constitution. The amendments to Article XIII A limit the ability of the State Legislature to impose higher taxes (as defined in Proposition 26) without a two-thirds vote of the Legislature. Article XIII C requires that all new local taxes be submitted to the electorate before they become effective. Taxes for general governmental purposes require a majority vote and taxes for specific purposes (“special taxes”) require a two-thirds vote.

The Special Taxes and the CFD Bonds were each authorized by not less than a two-thirds vote of the landowners within the CFD who constituted the qualified electors at the time of such voted authorization. The City believes, therefore, that issuance of the CFD Bonds does not require the conduct of further proceedings under the Act, Proposition 218 or Proposition 26.

Like their antecedents, Proposition 218 and Proposition 26 are likely to undergo both judicial and legislative scrutiny before the impact on the Districts can be determined. Certain provisions of Proposition 218 and Proposition 26 may be examined by the courts for their constitutionality under both State and federal constitutional law, the outcome of which cannot be predicted.

Secondary Market for Bonds

There can be no guarantee that there will be a secondary market for the Bonds or, if a secondary market exists, that any Bonds can be sold for any particular price. Prices of bond issues for which a market is being made will depend upon then-prevailing circumstances. Such prices could be substantially different from the original purchase price.

No assurance can be given that the market price for the Bonds will not be affected by the introduction or enactment of any future legislation (including without limitation amendments to the Internal Revenue Code), or changes in interpretation of the Internal Revenue Code, or any action of the Internal Revenue Service, including but not limited to the publication of proposed or final regulations, the issuance of rulings, the selection of the Bonds for audit examination, or the course or result of any Internal Revenue Service audit or examination of the Bonds or obligations that present similar tax issues as the Bonds.

THE AUTHORITY

The Authority is a joint exercise of powers authority duly organized and operating pursuant to Article 1 (commencing with Section 6500) of Chapter 5, Division 7, Title 1 of the California Government Code, and pursuant to a Joint Exercise of Powers Agreement entered into in 2005 by and between the City and the Roseville Parking Authority, and is qualified to assist in financing projects and certain public improvements and to issue the Bonds under the Refunding Bond Law. The Authority has no taxing power. The Authority and the City are each separate and distinct legal entities, and the debts and obligations of one such entity are not debts or obligations of the other entity.

The Authority was established for the purpose of financing the acquisition, construction, improvement and equipping of public capital improvements. The governing board of the Authority is comprised of the same individuals as the City Council of the City.

BOND INSURANCE

The Authority has applied for bond insurance to guarantee the scheduled payment of principal of and interest on the Bonds and, if a commitment is issued to insure the Bonds, will determine prior to the sale of the Bonds whether to obtain such insurance.

LEGAL MATTERS

Tax Matters

In the opinion of Jones Hall, A Professional Law Corporation, San Francisco, California, Bond Counsel, subject, however to the qualifications set forth below, under existing law, the interest on the Bonds is excluded from gross income for federal income tax purposes and such interest is not an item of tax preference for purposes of the federal alternative minimum tax imposed on individuals and corporations, provided, however, that, for the purpose of computing the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), such interest is taken into account in determining certain income and earnings.

The opinions set forth in the preceding paragraph are subject to the condition that the Authority and the City comply with all requirements of the Internal Revenue Code of 1986, as amended (the “**Tax Code**”), that must be satisfied subsequent to the issuance of the Bonds. The Authority and the City have covenanted to comply with each such requirement. Failure to comply with certain of such requirements may cause the inclusion of such interest in gross income for federal income tax purposes to be retroactive to the date of issuance of the Bonds.

If the initial offering price to the public (excluding bond houses and brokers) at which a Bond is sold is less than the amount payable at maturity thereof, then such difference constitutes “original issue discount” for purposes of federal income taxes and State of California personal income taxes. If the initial offering price to the public (excluding bond houses and brokers) at which a Bond is sold is greater than the amount payable at maturity thereof, then such difference constitutes “original issue premium” for purposes of federal income taxes and State of California personal income taxes. *De minimis* original issue discount and original issue premium is disregarded.

Under the Tax Code, original issue discount is treated as interest excluded from federal gross income and exempt from State of California personal income taxes to the extent properly allocable to each owner thereof subject to the limitations described in the first paragraph of this section. The original issue discount accrues over the term to maturity of the Bond on the basis of a constant interest rate compounded on each interest or principal payment date (with straight-line interpolations between compounding dates). The amount of original issue discount accruing during each period is added to the adjusted basis of such Bonds to determine taxable gain upon disposition (including sale, redemption, or payment on maturity) of such Bond. The Tax Code contains certain provisions relating to the accrual of original issue discount in the case of purchasers of the Bonds who purchase the Bonds after the initial offering of a substantial amount of such maturity. Owners of such Bonds should consult their own tax advisors with respect to the tax consequences of ownership of Bonds with original issue discount, including the treatment of purchasers who do not purchase in the original offering, the allowance of a deduction for any loss on a sale or other disposition, and the treatment of accrued original issue discount on such Bonds under federal individual and corporate alternative minimum taxes.

Under the Tax Code, original issue premium is amortized on an annual basis over the term of the Bond (said term being the shorter of the Bond's maturity date or its call date). The amount of original issue premium amortized each year reduces the adjusted basis of the owner of the Bond for purposes of determining taxable gain or loss upon disposition. The amount of original issue premium on a Bond is amortized each year over the term to maturity of the Bond on the basis of a constant interest rate compounded on each interest or principal payment date (with straight-line interpolations between compounding dates). Amortized Bond premium is not deductible for federal income tax purposes. Owners of premium Bonds, including purchasers who do not purchase in the original offering, should consult their own tax advisors with respect to State of California personal income tax and federal income tax consequences of owning such Bonds.

In the further opinion of Bond Counsel, interest on the Bonds is exempt from California personal income taxes.

Owners of the Bonds should also be aware that the ownership or disposition of, or the accrual or receipt of interest on, the Bonds may have federal or state tax consequences other than as described above. Bond Counsel expresses no opinion regarding any federal or state tax consequences arising with respect to the Bonds other than as expressly described above.

Absence of Litigation

The Authority and the City will certify at the time the Bonds are issued that no litigation is pending or threatened concerning the validity of the Bonds or the CFD Bonds and that no action, suit or proceeding is known by the Authority or the City to be pending that would restrain or enjoin the delivery of the Bonds or the CFD Bonds, or contest or affect the validity of the Bonds or the CFD Bonds, or any proceedings of the Authority or the City taken with respect to the Bonds or the CFD Bonds.

Legal Opinion

All proceedings in connection with the issuance of the Bonds are subject to the approval as to their legality of Jones Hall, A Professional Law Corporation, San Francisco, California,

Bond Counsel. The form of unqualified opinion of Bond Counsel approving the validity of the Bonds is attached as APPENDIX E.

RATINGS

Standard & Poor's Financial Services LLC, a subsidiary of The McGraw-Hill Companies, Inc. ("**S&P**") has assigned the rating of "___" to Bonds. Such rating reflects only the view of S&P and an explanation of the significance of such ratings may be obtained from S&P at: Standard & Poor's Rating Services, 55 Water Street, New York, New York 10041. There is no assurance that such rating will continue for any given period of time or that it will not be revised downward or withdrawn entirely by S&P, if in the judgment of S&P, circumstances so warrant. Any such downward revision or withdrawal of such rating may have an adverse effect on the market price of the Bonds. The City and the Authority assume no obligation to attempt to maintain any rating on the Bonds.

UNDERWRITING

RBC Capital Markets, LLC (the "**Underwriter**"), has agreed to purchase the Bonds at a purchase price of \$_____ (being the principal amount of the Bonds (\$_____) *plus* net original issue premium of \$_____ and *less* an underwriter's discount of \$_____).

The Underwriter may change the initial public offering prices of the Bonds from time to time. The agreement under which the Underwriter has agreed to purchase the Bonds provides that the Underwriter will purchase all the Bonds if any are purchased, and that the obligation to make such purchase is subject to certain terms and conditions set forth therein, including, among others, the approval of certain legal matters by counsel.

CONTINUING DISCLOSURE

The City, on behalf of itself and the Authority, will covenant for the benefit of owners of the Bonds to provide certain financial information and operating data relating to the Districts (the "**Annual Report**") by not later than nine months following the end of the City's Fiscal Year (currently March 31 based on the City's Fiscal Year ending June 30), commencing March 31, 2017, with the report for the Fiscal Year ending June 30, 2016, and to provide notices of the occurrence of certain listed events.

These covenants have been made in order to assist the Underwriter in complying with Securities Exchange Commission Rule 15c2-12(b)(5), as amended (the "**Rule**"). The specific nature of the information to be contained in the Annual Report or the notices of listed events is set forth in APPENDIX F.

A review of the City's compliance with prior continuing disclosure undertakings in the last five years indicates that:

- (1) The Annual Reports for Fiscal Years 2011, 2012 and 2013 for certain of the City's then-outstanding obligations were filed between 1 and 950 days after the dates required for such filings.

(2) The Audited Financial Statements of the City for Fiscal Years 2011, 2012 and 2013 for certain of the City's then-outstanding obligations were filed between 1 and 1,663 days after the dates required for such filings.

(3) The City may not have in a timely manner filed all significant event notices, including notices of changes in the ratings of certain then-outstanding obligations resulting from changes in ratings to the bond insurers who insured such obligations or the underlying rates for such obligations. However, the City has subsequently filed all previous event notices of changes in ratings on all currently outstanding obligations of which it is aware.

The City has engaged contract support for the preparation and filing of its continuing disclosure reports, including as to the Districts, in order to ensure compliance with future continuing disclosure obligations.

MISCELLANEOUS

Verification of Mathematical Computations

Grant Thornton, LLP, Minneapolis, Minnesota, independent accountants, upon delivery of the Bonds, will deliver one or more reports on the mathematical accuracy of certain computations contained in schedules provided to them which were prepared by the Authority and the City relating to the sufficiency of moneys and securities deposited into the Escrow Fund to pay, when due, the principal, whether at maturity or upon prior prepayment, interest and prepayment premium requirements of the 2006 Bonds.

Professionals Involved in the Offering

All proceedings in connection with the issuance of the Bonds are subject to the approval of Jones Hall, A Professional Law Corporation, San Francisco, California, Bond Counsel. Jones Hall, A Professional Law Corporation, is also acting as Disclosure Counsel to the Authority. The Bank of New York Mellon Trust Company, N.A., San Francisco, California, will act as the Trustee. Nossaman, LLP, Irvine, California, is acting as counsel to the Underwriter.

The Authority has retained FirstSouthwest, a Division of Hilltop Securities Inc., Santa Monica, California, as municipal advisor (the "**Municipal Advisor**") in connection with the planning, structuring and issuance of the Bonds. The Municipal Advisor is not obligated to undertake, and has not undertaken to make, an independent verification or assume responsibility for the accuracy, completeness, or fairness of the information contained in this Official Statement.

The compensation of Bond Counsel, Disclosure Counsel, the Trustee, the Municipal Advisor and Underwriter's counsel is contingent upon issuance of the Bonds.

Execution

The execution and delivery of this Official Statement have been duly authorized by the Authority.

ROSEVILLE FINANCE AUTHORITY

By: _____
Chief Financial Officer/Treasurer

APPENDIX A

SUMMARY OF CERTAIN PROVISIONS OF PRINCIPAL LEGAL DOCUMENTS

APPENDIX B

THE CITY OF ROSEVILLE AND PLACER COUNTY

The Districts are located in the City of Roseville (the "City"), which is located in southwestern Placer County (the "County"), California (the "State"). Certain financial and economic data for the City, County and State are presented in this appendix for information purposes only. The Bonds are not a debt or obligation of the City, County or State, but are a limited obligation of the Authority secured solely by the Revenues and other amounts pledged under the Indenture, all as described in more detail in this Official Statement.

General

The City is located in the County, which is located in the Sacramento Valley near the foothills of the Sierra Nevada mountain range, about 16 miles northeast of Sacramento and 110 miles east of San Francisco. The City presently occupies 42.26 square miles in the southwestern part of the County and is the largest city in the County as well as the residential and industrial center of the County. It is bordered by Sacramento County to the south, the City of Rocklin to the north and un-incorporated Placer County to the east and west. The estimated population of the City as of January 2016 was approximately 134,073.

The City has warm summers typical of central California, with an average July temperature of 77 degrees. Winter temperatures are moderate; the average January temperature is 46 degrees. The temperature drops below freezing an average of eight days per year. Rainfall averages 20 inches annually and falls mostly during the winter.

The City is predominately comprised of residential housing, small and large businesses, as well as numerous retail centers, the latter of which play a vital role in the economy of the City and contribute significantly to City and County sales tax receipts. The City has the thirteenth highest retail sales of all cities in the State, and the City is considered a regional shopping destination. The Westfield Galleria at Roseville is the main shopping center in the City and the second largest shopping mall in Northern California. Across from the Westfield Galleria lies the "Fountains at Roseville," a 330,000 square foot retail center, containing additional stores and several recreation centers. Plans call for future construction of hotel, additional retail, and office buildings in connection with the Fountains at Roseville project. In addition to the Westfield Galleria and Fountains at Roseville, the City has many shopping plazas surrounding the Westfield Galleria and the Douglas Boulevard financial corridor. The City is also home to one of the largest auto malls in the United States and a popular water park, Roseville Golf and SunSplash.

Municipal Government

The City was incorporated on April 10, 1909 and is a charter city. The City operates under the council-manager form of government, with a five-member City Council elected at large for staggered four-year terms. At each election, the council member receiving the most votes is appointed mayor pro-tempore for two years and becomes mayor for the final two years.

City services include, among others, police and fire protection, library services, street maintenance, and parks and recreation. The City also owns two golf courses and provides its own electricity, water, sewer and refuse services to its citizens.

Population

The City's population has increased approximately 1% to 2% per year over the past five years. The following table sets forth population estimates for the City, County and State for the past five years.

POPULATION ESTIMATES City, County and State 2012 through 2016

<u>Year</u>	<u>City of Roseville</u>	<u>Placer County</u>	<u>State of California</u>
2013	127,438	362,417	38,239,207
2014	129,822	367,176	38,567,459
2015	131,443	370,238	38,907,642
2016	134,073	373,793	39,255,883

Source: California State Department of Finance.

Effective Buying Income

Effective buying income ("**EBI**") is designated as personal income less personal tax and non-tax payments. Personal income is the aggregate of wages and salaries, other labor income (such as employer contributions to private pension funds), proprietor's income, rental income (which includes imputed rental income of owner-occupants of non-farm dwellings), dividends paid by corporations, personal interest income from all sources, and transfer payments (such as pensions and welfare assistance). Deducted from this total are personal taxes (federal, state and local), non-tax payments (such as fines, fees, penalties), and personal contributions for social insurance. Effective buying income is a bulk measure of market potential. It indicates the general ability to buy and is essential in comparing, selecting and grouping markets on that basis. The following table demonstrates the growth in annual estimated EBI for the County, the State and the United States.

The table on the following page summarizes the total effective buying income for the City, County, State and the United States for the period 2010 through 2014. Effective buying income data is not yet available for calendar year 2015.

EFFECTIVE BUYING INCOME (EBI)
City, County, State and United States
As of January 1, 2011 through 2011

Year	Area	Total Effective Buying Income (000's Omitted)	Median Household Effective Buying Income
2011	City of Roseville	\$ 3,030,070	\$56,309
	Placer County	9,797,178	55,993
	California	814,578,458	47,062
	United States	6,438,704,664	41,253
2012	City of Roseville	\$ 3,308,060	\$55,367
	Placer County	9,955,120	55,173
	California	864,088,828	47,307
	United States	6,737,867,730	41,358
2013	City of Roseville	\$ 3,327,535	\$56,270
	Placer County	9,811,843	56,393
	California	858,676,636	48,340
	United States	6,982,757,379	43,715
2014	City of Roseville	\$ 3,507,655	\$59,074
	Placer County	10,287,888	58,583
	California	901,189,699	50,072
	United States	7,357,153,421	45,448
2015	City of Roseville	\$3,959,073	\$64,615
	Placer County	11,729,490	64,480
	California	981,231,666	53,589
	United States	7,757,960,399	46,738

Source: The Nielsen Company (US), Inc.

Employment and Industry

The unemployment rate in the Sacramento-Arden Arcade-Roseville MSA (which is comprised of El Dorado, Placer, Sacramento and Yolo Counties) was 5.4% in February 2016, down from a revised 5.5% in January 2016, and below the year-ago estimate of 6.4%. This compares with an unadjusted unemployment rate of 5.7 percent for California and 5.2% for the nation during the same period. The unemployment rate was 5.4% in El Dorado County, 4.6% in Placer County, 5.5% in Sacramento County, and 6.6% in Yolo County.

The following table summarizes the civilian labor force, employment and unemployment, as well as employment by industry, in the Sacramento Arden Arcade Rocklin Metropolitan Statistical Area (which is comprised of Sacramento, Placer and El Dorado Counties) for the years 2011 through 2015.

CIVILIAN LABOR FORCE, EMPLOYMENT AND UNEMPLOYMENT Sacramento Arden Arcade Roseville Metropolitan Statistical Area (El Dorado, Placer, Sacramento, and Yolo Counties) Civilian Labor Force, Employment and Unemployment Annual Averages

	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>
Civilian Labor Force ⁽¹⁾	1,044,400	1,049,500	1,046,800	1,049,200	1,060,200
Employment	920,900	941,100	956,100	974,100	998,100
Unemployment	123,600	108,300	90,800	75,100	62,100
Unemployment Rate	11.8%	10.3%	8.7%	7.2%	5.9%
Wage and Salary Employment ⁽²⁾					
Agriculture	8,200	8,600	8,900	9,200	9,300
Mining and Logging	500	400	500	500	600
Construction	36,900	38,400	43,300	45,400	49,900
Manufacturing	33,200	33,900	34,100	35,400	36,300
Wholesale Trade	23,700	25,200	25,000	24,500	24,600
Retail Trade	89,400	91,800	93,800	95,300	97,500
Transportation, Warehousing and Utilities	21,100	22,000	22,900	23,600	24,600
Information	16,300	15,600	14,800	13,900	14,200
Finance and Insurance	34,700	35,700	36,300	35,500	37,100
Real Estate and Rental and Leasing	12,000	12,500	13,100	13,400	13,900
Professional and Business Services	104,400	111,100	114,600	118,200	119,700
Educational and Health Services	122,500	125,600	130,700	134,300	140,300
Leisure and Hospitality	81,700	84,500	88,700	91,800	94,900
Other Services	28,000	28,600	29,000	30,200	30,800
Federal Government	14,000	13,700	13,500	13,600	13,700
State Government	109,700	108,200	109,900	113,400	115,400
Local Government	100,900	99,600	99,200	100,800	102,900
Total, All Industries ⁽³⁾	837,100	855,300	878,200	898,800	925,400

⁽¹⁾ Labor force data is by place of residence; includes self-employed individuals, unpaid family workers, household domestic workers, and workers on strike.

⁽²⁾ Industry employment is by place of work; excludes self-employed individuals, unpaid family workers, household domestic workers, and workers on strike.

⁽³⁾ Totals may not add due to rounding.

Source: State of California Employment Development Department.

Largest Employers

The following table lists the largest manufacturing and non-manufacturing employers within the County as of March 2016.

LARGEST EMPLOYERS Placer County March 2016 (In Alphabetical Order)

<u>Employer Name</u>	<u>Location</u>	<u>Industry</u>
Adventist Health	Roseville	Health Services
Alpine Meadows	Alpine Meadows	Resorts
AT&T	Auburn	Telephone Companies
Composite Engineering Inc	Roseville	Engineers-Professional
Kaiser Foundation Hospitals	Roseville	Hospitals
Kw Commercial	Roseville	Real Estate
Northstar-At-Tahoe Resort	Truckee	Resorts
Oracle	Rocklin	Computer Software-Manufacturers
Placer County Fire Dept	Auburn	Government Offices-County
Placer County Food Stamps	Auburn	County Government-Social/Human Resources
Placer County of Education	Auburn	Schools
Placer County Sheriff	Auburn	Government Offices-County
Resort At Squaw Creek	Alpine Meadows	Resorts
Ritz-Carlton	Truckee	Hotels & Motels
Roseville Golfand-Sun Splash	Roseville	Water Parks
Roseville Toyota & Scion	Roseville	Automobile Dealers-New Cars
Sheriff's Training	Auburn	Government Offices-County
Sugar Bowl Ski Area Group Sls	Norden	Skiing Centers & Resorts
Sutter Roseville Medical Ctr	Roseville	Hospitals
Tami Saner & Assoc	Roseville	Real Estate
TASQ Technology	Roseville	Importers (whls)
Thunder Valley Casino & Resort	Lincoln	Casinos
UNFI Western Region Div	Rocklin	Food Products (whls)
Union Pacific Railroad Co	Roseville	Railroads
Village Lodge-Sugar Bowl	Norden	Hotels & Motels

Source: California Employment Development Department, extracted from The America's Labor Market Information System (ALMIS) Employer Database, 2016 1st Edition.

The following table sets forth the largest manufacturing and non-manufacturing employers in the City as of 2015.

**LARGEST EMPLOYERS
City of Roseville
2015**

<u>Employer</u>	<u>Number of Employees</u>
The Permanente Medical Group & Foundation Group	3,231
Hewlett Packard	2,548
Sutter Roseville Medical Group	1,654
Roseville Joint Union High School	1,434
Union Pacific Railroad Company	1,180
City of Roseville	1,102
Adventist Health System West	1,000
Roseville City School District	1,000
PRIDE Industries	550
Solar City	475
Total Employment	14,174

Source: City of Roseville-City Manager's Office, Comprehensive Annual Financial Report, Fiscal Year Ending June 30, 2015.

Construction Permits

The County's 2015-2016 assessment roll totaled \$63.4 billion as compared to the prior year's assessment roll of \$58.2 billion, which reflected an 8.89% increase this year. These numbers over the last two years contrast with the real estate decline years of 2008 and after, where the County assessment roll experienced declines.

In January of 2016, the City issued 27 single-family building permits and 3 commercial building permits. The City maintains building permit data, which can be viewed on its website.

The following table shows valuations of residential and non-residential building permits issued for calendar years 2010 through 2014. Annual figures are not yet available for 2015.

BUILDING PERMIT VALUATION City of Roseville* (Valuation in Thousands of Dollars)

	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>
<u>Permit Valuation</u>					
New Single-family	\$133,206.3	\$91,310.0	\$249,559.2	\$118,547.9	\$154,499.7
New Multi-family	0.0	0.0	0.0	6,632.0	15,360.4
Res. Alterations/Additions	<u>2,808.4</u>	<u>7,329.2</u>	<u>3,082.0</u>	<u>3,395.0</u>	<u>4,967.8</u>
Total Residential	\$136,014.7	\$98,639.2	\$252,641.2	\$128,575.0	\$174,827.9
 New Commercial	 \$ 1,625.8	 \$ 707.9	 \$16,280.4	 \$26,058.9	 \$19,546.6
New Industrial	0.0	0.0	0.0	0.0	0.0
New Other	5,026.5	0.0	0.0	2,627.4	10,935.1
Com. Alterations/Additions	<u>46,534.4</u>	<u>67,245.2</u>	<u>31,868.5</u>	<u>45,489.0</u>	<u>62,138.9</u>
Total Nonresidential	\$53,186.7	\$67,953.1	\$48,148.9	\$74,175.4	\$92,620.6
 <u>New Dwelling Units</u>					
Single Family	635	411	663	528	644
Multiple Family	<u>0</u>	<u>0</u>	<u>0</u>	<u>224</u>	<u>0</u>
Total New Dwelling Units	635	411	663	752	644

* 2014 data available but not comparable to previous years.

Source: Construction Industry Research Board, Building Permit Summary.

The following table shows residential and non-residential building permits issued within the County for calendar years 2010 through 2014. Annual figures are not yet available for 2015.

BUILDING PERMIT VALUATION
County of Placer
(Valuation in Thousands of Dollars)

	2010	2011	2012	2013	2014
<u>Permit Valuation</u>					
New Single-family	\$272,263.0	\$230,831.8	\$431,611.6	\$378,286.0	\$523,638.2
New Multi-family	11,385.1	6,549.5	11,368.0	7,078.5	48,645.5
Res. Alterations/Additions	50,586.8	62,155.8	35,481.3	50,358.2	59,428.5
Total Residential	\$334,234.9	\$299,537.1	\$478,460.9	\$435,722.7	\$631,712.2
 New Commercial	 \$ 5,551.8	 \$ 7,014.3	 \$ 44,303.0	 \$70,876.0	 \$38,343.5
New Industrial	0.0	860.0	164.0	1,092.0	199.8
New Other	29,303.2	12,112.3	414.5	25,673.5	44,159.8
Com. Alterations/Additions	73,789.4	88,639.9	55,512.0	73,037.0	101,977.7
Total Nonresidential	\$108,644.4	\$108,626.5	\$100,393.5	\$170,678.5	\$184,680.8
 <u>New Dwelling Units</u>					
Single Family	1,090	802	1,209	1,249	1,620
Multiple Family	79	28	111	227	376
Total New Dwelling Units	1,169	830	1,320	1,476	1,996

Source: Construction Industry Research Board, Building Permit Summary.

Commercial Activity

A summary of historic taxable sales within the City and the County during the past five years in which data is available is shown in the following tables. The total taxable sales during the first three quarters of the calendar year 2014 in the City were reported to be \$3.1 billion, a 1.80% increase over the total taxable sales of \$3.0 billion reported during the first three quarters of the calendar year 2013. Annual figures for 2014 and 2015 are not yet available.

TAXABLE TRANSACTIONS
City of Roseville
Calendar Years 2009 through 2013
(Dollars in Thousands)

	Retail Stores		Total All Outlets	
	Number of Permits	Taxable Transactions	Number of Permits	Taxable Transactions
2009	3,400	\$2,708,864	4,441	\$3,104,840
2010	3,640	2,814,546	4,698	3,251,045
2011	3,405	3,024,189	4,476	3,499,616
2012	3,765	3,332,827	4,861	3,772,583
2013	3,757	3,558,765	4,819	4,171,738

Source: California State Board of Equalization, Taxable Sales in California (Sales & Use Tax).

The total taxable sales during the first three quarters of the calendar year 2014 in the County were reported to be \$5.9 billion, a 4.70% increase over the total taxable sales of \$5.7 billion reported during the first three quarters of the calendar year 2013. Annual figures for 2014 and 2015 are not yet available.

TAXABLE TRANSACTIONS
Placer County
Calendar Years 2009 through 2013
(Dollars in Thousands)

	Retail Stores		Total All Outlets	
	Number of Permits	Taxable Transactions	Number of Permits	Taxable Transactions
2009	7,819	\$4,453,186	11,135	\$5,796,644
2010	8,110	4,678,785	11,439	6,017,542
2011	7,803	5,112,781	11,120	6,568,195
2012	8,272	5,613,981	11,621	7,065,597
2013	8,487	6,050,198	11,713	7,724,406

Source: California State Board of Equalization, Taxable Sales in California (Sales & Use Tax).

Transportation

The County's transportation network is an integral part of its development. Centrally located in the State, the area is the hub of several major highways. Interstate 80 runs through the County, connecting San Francisco to New York. Highway 65 runs north from I-80 to Lincoln and Marysville. Interstate 5, which is west of the County, runs north to Seattle and south to Los Angeles. In the City, the major highways in the area are Interstate 80 and Interstate 5, and State Highways 65, 50, and 99.

Union Pacific Railroad bought Southern Pacific in 1996 and the J.R. Davis Yard, located in Roseville, is the largest rail facility on the West Coast. Union Pacific owns and operates track in 23 states, primarily west of the Mississippi River. Amtrak provides passenger service daily to San Francisco and San Jose, and the California Zephyr connects the County to the Midwest and Chicago.

Greyhound operates a station in the City, providing interstate destination services. Greyhound also operates throughout the County, with bus depots or regularly scheduled stops in most of the communities along major highways and roads.

Sacramento International Airport serves the Roseville area. Served by ten major carriers and several commuter airlines, as well as air-freight carriers, the airport handles passenger flights to over 140 cities with more than 130 scheduled departures per day and 4.3 million passengers annually. Nearby Auburn Municipal Airport serves charter and private aircraft for coastal, state and transcontinental flights. Executive air service is available as well. Auburn Municipal has an elevation of 1,520 feet and an east/west runway 3,100 feet in length.

Several trucking companies serve the County, ranging from interstate lines to local haulers, and transporting a wide variety of goods. United Parcel Service, with a distribution center in Rocklin, offers freight transportation services as well.

APPENDIX C-1

RATE AND METHOD OF APPORTIONMENT OF SPECIAL TAXES

HIGHLAND RESERVE NORTH CFD

APPENDIX C-2
RATE AND METHOD OF APPORTIONMENT OF SPECIAL TAXES
WOODCREEK EAST CFD

APPENDIX D-1

INFORMATION ABOUT HIGHLAND RESERVE NORTH CFD

Location and Description

Highland Reserve North CFD contains 1,487 taxable parcels and is located on the northeastern perimeter of the City. The District has been almost fully developed, with only 5 out of 1,487 parcels remaining undeveloped; the remaining parcels in the District have developed into 1,018 single-family residential parcels, 408 condominium parcels, and 56 commercial parcels. Each of the 5 remaining undeveloped parcels is expected to be developed into a commercial parcel. The District is located east of Highway 65, south of Blue Oaks Boulevard and adjacent to the Rocklin city limits in an area of the City that has experienced significant new development in the last 10-15 years.

Highland Reserve North CFD was formed by the City Council of the City in 1999, in accordance with the procedures set forth in the Act. The City is authorized to levy Special Taxes on land within Highland Reserve North CFD pursuant to the District's Rate and Method of Apportionment of Special Taxes, which is referred to herein as the Special Tax Formula for Highland Reserve North CFD. For the complete text of the Special Tax Formula for Highland Reserve North CFD, see APPENDIX C-1.

Assessed Valuations and Value-to-Lien Ratios

Assessed Value of Land in Highland Reserve North CFD. The aggregate Assessed Valuation of taxable property in the Highland Reserve North CFD (1,487 parcels), derived from the Placer County Assessor's Fiscal Year 2015-16 property tax roll, is \$730,734,138. All but 5 of the 1,487 taxable parcels within the District are developed, with the remaining 5 parcels expected to be developed into commercial uses.

Historical Assessed Valuations. The following table presents historical assessed valuation of taxable property in the District for the past five fiscal years.

ROSEVILLE FINANCE AUTHORITY Highland Reserve North CFD Historical Assessed Valuation

Fiscal Year Growth	Parcels	Assessed Value	Annual AV
2011-12	1,486	\$594,193,596	--
2012-13	1,487	593,336,003	(0.1)%
2013-14	1,487	620,535,936	4.6
2014-15	1,487	685,767,710	10.5
2015-16	1,487	730,734,138	6.6

Source: Placer County, as compiled by Willdan Financial Services.

Overlapping Liens. Contained within the boundaries of the District are certain overlapping local agencies providing public services and assessing property taxes, assessments, special taxes and other charges on the property in the District. Many of these local agencies have outstanding debt. The current and estimated direct and overlapping obligations affecting the property in the District are shown in the following table. Applicable percentages were estimated by determining the portion of the overlapping district's assessed value that is within the boundaries of the District divided by the overlapping district's total taxable assessed value. The following table was prepared by California Municipal Statistics, Inc., and is included for general information purposes only. Neither the Authority, the City nor the Underwriter has reviewed this report for completeness or accuracy and makes no representation in connection therewith.

**ROSEVILLE FINANCE AUTHORITY
Direct and Overlapping Debt
Highland Reserve North CFD
As of April 1, 2016**

2015-16 Assessed Valuation: \$746,859,138 (Land and Improvement)

<u>DIRECT AND OVERLAPPING TAX AND ASSESSMENT DEBT:</u>	<u>% Applicable</u>	<u>Debt 4/1/16</u>
Roseville Joint Union High School District General Obligation Bonds	3.040%	\$2,252,838
Roseville City School District General Obligation Bonds	5.418	1,127,976
City of Roseville Highland Reserve North Community Facilities District No. 1	100.000	20,651,000⁽¹⁾
TOTAL DIRECT AND OVERLAPPING TAX AND ASSESSMENT DEBT		\$24,031,814
 <u>OVERLAPPING GENERAL FUND DEBT:</u>		
Placer County Certificates of Participation	1.179%	\$ 399,936
Placer County Office of Education Certificates of Participation	1.179	17,455
Sierra Joint Community College District Certificates of Participation	0.892	70,084
Roseville Joint Union High School District Certificates of Participation	3.040	33,442
Roseville City School District Certificates of Participation	5.418	474,386
Placer Mosquito and Vector Control District Certificates of Participation	1.179	43,697
City of Roseville Certificates of Participation	4.055	1,095,883
TOTAL GROSS OVERLAPPING GENERAL FUND DEBT		\$2,134,883
Less: City of Roseville Certificates of Participation supported by golf course enterprise		312,875
TOTAL NET OVERLAPPING GENERAL FUND DEBT		\$1,822,008
 GROSS COMBINED TOTAL DEBT		\$26,166,697⁽²⁾
NET COMBINED TOTAL DEBT		\$25,853,822

Ratios to 2015-16 Assessed Valuation:

Direct Debt (\$20,651,000)	2.77%
Total Direct and Overlapping Tax and Assessment Debt	3.22%
Gross Combined Total Debt	3.50%
Net Combined Total Debt	3.46%

(1) Excludes issue to be sold.

(2) Excludes tax and revenue anticipation notes, revenue, mortgage revenue and non-bonded capital lease obligations.

Source: California Municipal Statistics.

Value-to-Lien Ratios by Range. The following table summarizes the combined value-to-lien ratios of all property in the District against the District's CFD Bonds, by value-to-lien ratio ranges.

ROSEVILLE FINANCE AUTHORITY
Summary Value to Lien Ratios by Range – Highland Reserve North CFD

Value to Lien Ratio	No. of Parcels	Total 2015-16 Assessed Value ⁽¹⁾	Total 2015-16 Special Tax	Direct Bonded Debt ⁽²⁾	Overlapping Debt	Total Direct & Overlapping Debt	Value to Direct & Overlapping Debt
Greater than 50	2	\$757,964	\$1,403	\$10,914	\$3,394	\$14,307	52.98
40:1 to 49.99	138	81,487,278	193,404	1,504,626	364,840	1,869,466	43.59
30:1 to 39.99	914	410,995,746	1,307,387	10,171,082	1,839,006	12,010,088	34.22
20:1 to 29.99	413	225,256,235	983,261	7,649,474	1,007,401	8,656,875	26.02
10:1 to 19.99	15	17,823,334	124,248	966,609	79,296	1,045,904	17.04
5:1 to 9.99	4	1,752,699	32,021	249,113	7,847	256,960	6.82
3:1 to 4.99	1	344,192	12,749	99,183	1,541	100,724	3.42
Total	1,487	\$738,417,448	\$2,654,472	\$20,651,000	\$3,303,325	\$23,954,324	30.83

(1) In addition to assessed land and structure values, the total assessed value displayed includes other property assessed through the county secured property tax roll. According to the Placer County Assessor, this total assessed value includes \$7,683,310 in fixed improvements and personal property.

(2) Bonded Debt is based upon each District parcel's proportionate share of the District's Annual Maximum Tax times the principal balance of the District's CFD Bonds as of September 30, 2015.

Source: Direct and Overlapping Tax and Assessment Debt as of April 1, 2016, as compiled by California Municipal Statistics Inc.; Willdan Financial Services.

Value-to-Lien Ratios by Development Category. The following table summarizes the combined value-to-lien ratio of all property in the District against the District's CFD Bonds, by development category.

ROSEVILLE FINANCE AUTHORITY
Value to Lien Ratios by Development Category – Highland Reserve North CFD

Development Category ^{(1), (2)}	No. of Parcels Levied	2015-16 Assessed Values			CFD Bonded Debt ⁽³⁾	% of Bonded Debt	Value to Lien
		Land	Structure	Total			
<u>SF Residential:</u>							
Developed	1,018	\$105,713,337	\$310,068,953	\$415,782,290	\$10,859,170	52.58%	38.29
<u>Condo:</u>							
Developed	408	19,722,805	70,215,602	89,938,407	2,277,763	11.03	39.49
<u>Commercial</u>							
Developed	56	64,019,679	158,896,871	222,916,550	7,165,774	34.70	31.11
Undeveloped	5	2,096,891		2,096,891	348,294	1.69	6.02
Total	1,487			\$730,734,138	\$20,651,000	100.00%	35.38

(1) A parcel is reported as Developed if the parcel shows either a structure value on the FY 2015-16 Placer County Secured Roll or construction is "Completed" according to the City of Roseville.

(2) A parcel is reported as Under Construction if the parcel is denoted as "Under Construction" according to the City of Roseville and the parcel shows no improvement value on the FY 2015-16 Placer County Secured Roll. A taxable parcel that is neither Developed nor Under Construction is considered Undeveloped.

(3) Bonded Debt is based upon each parcel's proportionate share of the District's Annual Maximum Tax times the principal balance of the District's CFD Bonds as of September 30, 2015.

Source: Assessed Values - Placer County 2015-16 Secured Property Roll, as compiled by Willdan Financial Services.

Value-to-Lien Ratios for Top Ten Taxpayers. The following table summarizes the combined value-to-lien ratio of the top ten taxpayers of property in the District against the District's CFD Bonds.

ROSEVILLE FINANCE AUTHORITY
Assessed Values and Value-to-Lien Ratios for Top Ten Taxpayers – Highland Reserve North CFD

Property Owner	No. of Parcels	2015-16 Assessed Values			Maximum Tax	CFD Bonded Debt ⁽¹⁾	% of Bonded Debt	Value to Lien
		Land	Structure	Total				
Excel Highland Reserve LLC	7	\$10,225,381	\$27,989,572	\$38,214,953	\$137,336	\$1,010,970	4.90%	37.80
Blue Oaks Fairway LLC	10	6,232,057	18,340,778	24,572,835	118,810	874,595	4.24	28.10
Target Corporation	2	6,977,820	13,075,269	20,053,089	111,202	818,588	3.96	24.50
MGP IX Properties LLC	7	7,838,260	16,490,159	24,328,419	101,838	749,658	3.63	32.45
Lowes HIW Inc.	1	6,243,100	10,341,900	16,585,000	98,041	721,704	3.49	22.98
Winco Foods LLC	1	4,117,900	8,157,100	12,275,000	76,965	566,564	2.74	21.67
Eureka Development Company	6	5,137,812	13,572,993	18,710,805	65,533	482,409	2.34	38.79
Conrad Urata 4 LLC	4	2,710,091	4,641,483	7,351,574	38,861	286,068	1.39	25.70
Patterson Stephen C & Virginia, Trustees	1	2,037,387	3,758,684	5,796,071	36,468	268,454	1.30	21.59
Fairway Creek LLC	3	805,600	6,474,400	7,280,000	29,741	218,933	1.06	33.25
Total Top Ten Property Owners	42	52,325,408	122,842,338	175,167,746	814,795	5,997,943	29.04	29.20
All Other Property Owners	1,445	139,227,304	416,339,088	555,566,392	1,990,561	14,653,057	70.96	37.91
Total	1,487	\$191,552,712	\$539,181,426	\$730,734,138	\$2,805,356	\$20,651,000	100.00%	32.99

(1) Bonded Debt is based upon each parcel's proportionate share of the District's Annual Maximum Tax times the principal balance of the District's CFD Bonds as of September 30, 2015.

Source: Assessed Values – Placer County 2015-16 Secured Property Roll, as compiled by Willdan Financial Services.

Delinquencies

The following table is a summary of Special Tax levies, delinquencies and delinquency rates in Highland Reserve North CFD for the last five fiscal years.

ROSEVILLE FINANCE AUTHORITY
Special Tax Levies, Delinquencies and Delinquency Rates
Highland Reserve North CFD
Fiscal Years 2011-12 to 2015-2016 (1st Installment)

Fiscal Year	Annual Special Taxes Levied	No. of Parcels Levied	As of Each Fiscal Year End ⁽¹⁾				As of January 28, 2016			
			Amount Collected	Amount Delinquent ⁽²⁾	No. of Parcels Delinquent	% Levy Delinquent	Remaining Amount Collected	Remaining Amount Delinquent ⁽²⁾	Remaining No. of Parcels Delinquent	% Levy Delinquent
2011-12	\$2,407,897	1,486	\$2,376,615	\$31,282	42	1.30%	\$2,406,467	\$1,430	2	0.06%
2012-13	2,471,463	1,487	2,453,445	18,018	26	0.70	2,471,463	0	0	0.00
2013-14	2,677,190	1,487	2,656,734	20,456	23	0.80	2,676,519	671	1	0.03
2014-15	2,615,500	1,487	2,607,171	8,329	9	0.30	2,614,844	656	1	0.03
2015-16 ⁽³⁾	2,654,472	1,487	n/a	6,569	12	0.49	n/a	6,569	12	0.49

(1) Delinquency information as of May or June of the fiscal year in which the Special Taxes were levied except as noted.

(2) Delinquent amounts shown above do not include penalties, interest or fees.

(3) The above fiscal year 2015-16 delinquency information reflects the collection of the first installment only as the second installment collection data is not yet available. The fiscal year 2015-16 Percent Levy Delinquent reported above was calculated using the first installment delinquent amount of \$6,569 and one-half of the fiscal year 2015-16 Special Tax Levy amount shown above.

Source: Placer County Tax Collector, as compiled by Willdan Financial Services.

APPENDIX D-2 INFORMATION ABOUT WOODCREEK EAST CFD

Location and Description

Woodcreek East CFD consists of 350 single-family units on approximately 181 acres and is located in the northwestern portion of the City. The District is bounded by the limits of the City to the north, Woodcreek Oaks Boulevard to the west and generally bounded by Blue Oaks Boulevard to the south and Foothills Boulevard to the east. For more information on Woodcreek East CFD.

Woodcreek East CFD was formed by the City Council of the City in 2000, in accordance with the procedures set forth in the Act. The City is authorized to levy Special Taxes on property within Woodcreek East CFD pursuant to the District's Rate and Method of Apportionment of Special Taxes, which is referred to herein as the Special Tax Formula for Woodcreek East CFD. For the complete text of the Special Tax Formula for Woodcreek East CFD, see APPENDIX D-2.

Valuation and Value-to-Lien

Assessed Value of Land in Woodcreek East CFD. The aggregate Assessed Value of taxable property in the Woodcreek East CFD (350 parcels), derived from Placer County Assessor's Fiscal Year 2015-16 property tax roll, is \$161,707,628. As noted above, all 350 parcels have been developed into single-family homes.

Historical Assessed Valuations. The following table presents historical assessed valuation of taxable property in the District for the past five fiscal years.

ROSEVILLE FINANCE AUTHORITY Woodcreek East CFD Historical Assessed Valuation

<u>Fiscal Year</u>	<u>Parcels</u>	<u>Assessed Value</u>	<u>Annual AV Growth</u>
2011-12	350	\$130,939,584	--
2012-13	350	124,177,426	(5.2)%
2013-14	350	136,049,503	9.6
2014-15	350	155,299,433	14.1
2015-16	350	161,707,628	4.1

Source: Placer County, as compiled by Willdan Financial Services.

Overlapping Liens. Contained within the boundaries of the District are certain overlapping local agencies providing public services and assessing property taxes, assessments, special taxes and other charges on the property in the District. Many of these local agencies have outstanding debt. The current and estimated direct and overlapping obligations affecting the property in the District are shown in the following tables. Applicable percentages were estimated by determining the portion of the overlapping district's assessed value that is within the boundaries of the District divided by the overlapping district's total taxable assessed value. The following table was prepared by California Municipal Statistics, Inc., and is included for general information purposes only. Neither the Authority, the City nor the Underwriter has reviewed this report for completeness or accuracy and makes no representation in connection therewith.

ROSEVILLE FINANCE AUTHORITY
Direct and Overlapping Debt
Woodcreek East CFD
As of April 1, 2016

2015-16 Assessed Valuation: \$161,707,628 (Land and Improvement)

<u>DIRECT AND OVERLAPPING TAX AND ASSESSMENT DEBT:</u>	<u>% Applicable</u>	<u>Debt 4/1/16</u>
Roseville Joint Union High School District General Obligation Bonds	0.650%	\$ 481,507
Roseville City School District General Obligation Bonds	1.158	241,086
City of Roseville Woodcreek East Community Facilities District No. 1	100.000	4,189,000⁽¹⁾
TOTAL DIRECT AND OVERLAPPING TAX AND ASSESSMENT DEBT		\$4,911,593
 <u>OVERLAPPING GENERAL FUND DEBT:</u>		
Placer County Certificates of Participation	0.252%	\$ 85,480
Placer County Office of Education Certificates of Participation	0.252	3,731
Sierra Joint Community College District Certificates of Participation	0.191	14,979
Roseville Joint Union High School District Certificates of Participation	0.650	7,148
Roseville City School District Certificates of Participation	1.158	101,392
Placer Mosquito and Vector Control District Certificates of Participation	0.252	9,340
City of Roseville Certificates of Participation	0.867	234,227
TOTAL GROSS OVERLAPPING GENERAL FUND DEBT		\$456,297
Less: City of Roseville Certificates of Participation supported by golf course enterprise		66,872
TOTAL NET OVERLAPPING GENERAL FUND DEBT		\$389,425
 GROSS COMBINED TOTAL DEBT		\$5,367,890⁽²⁾
NET COMBINED TOTAL DEBT		\$5,301,018

Ratios to 2015-16 Assessed Valuation:

Direct Debt (\$4,189,000)	2.59%
Total Direct and Overlapping Tax and Assessment Debt	3.04%
Gross Combined Total Debt	3.32%
Net Combined Total Debt	3.28%

(1) Excludes issue to be sold.

(2) Excludes tax and revenue anticipation notes, revenue, mortgage revenue and non-bonded capital lease obligations.

Source: California Municipal Statistics.

Value-to-Lien Ratios by Range. The following table summarizes the combined value-to-lien ratios of all property in the District against the District's CFD Bonds, by value-to-lien ratio ranges.

ROSEVILLE FINANCE AUTHORITY
Summary Value to Lien Ratios by Range – Woodcreek East CFD

Value to Lien Ratio	No. of Parcels	Total 2015-16 Assessed Value	Total 2015-16 Special Tax	Direct Bonded Debt⁽¹⁾	Overlapping Debt	Total Direct & Overlapping Debt	Value to Direct & Overlapping Debt
40:1 to 49.99	26	\$15,965,594	\$36,594	\$311,183	\$71,482	\$382,665	41.72
30:1 to 39.99	231	111,425,115	325,123	2,764,740	498,031	3,262,771	34.15
20:1 to 29.99	91	33,983,078	128,079	1,089,140	151,585	1,240,725	27.39
10:1 to 19.99	1	221,243	1,407	11,969	991	12,959	17.07
5:1 to 9.99	1	112,598	1,407	11,969	504	12,473	9.03
Total	350	\$161,707,628	\$492,611	\$4,189,000	\$722,594	\$4,911,594	32.92

(1) Bonded Debt is based upon each District parcel's proportionate share of the District's Annual Maximum Tax times the principal balance of the District's CFD Bonds as of September 30, 2015.

Source: Direct and Overlapping Tax and Assessment Debt as of April 1, 2016, as compiled by California Municipal Statistics Inc.; Willdan Financial Services.

Value-to-Lien Ratios by Development Category. The following table summarizes the combined value-to-lien ratio of all property in the District against the District's CFD Bonds, by development category.

ROSEVILLE FINANCE AUTHORITY
Value to Lien Ratios by Development Category – Woodcreek East CFD

Development Category^{(1), (2)}	No. of Parcels Levied	2015-16 Assessed Values			CFD Bonded Debt⁽³⁾	% of Bonded Debt	Value to Lien
		Land	Structure	Total			
<u>SF Residential:</u>							
Developed	350	\$42,837,120	\$118,870,508	\$161,707,628	\$4,189,000	100.00%	38.60
Total	350			\$161,707,628	\$4,189,000	100.00%	38.60

(1) A parcel is reported as Developed if the parcel shows either a structure value on the FY 2015-16 Placer County Secured Roll or construction is "Completed" according to the City of Roseville.

(2) A parcel is reported as Under Construction if the parcel is denoted as "Under Construction" according to the City of Roseville and the parcel shows no improvement value on the FY 2015-16 Placer County Secured Roll. A taxable parcel that is neither Developed nor Under Construction is considered Undeveloped.

(3) Bonded Debt is based upon each parcel's proportionate share of the District's Annual Maximum Tax times the principal balance of the District's CFD Bonds as of September 30, 2015.

Source: Assessed Values - Placer County 2015-16 Secured Property Roll, as compiled by Willdan Financial Services.

Value-to-Lien Ratios for Top Ten Taxpayers. The following table summarizes the combined value-to-lien ratio of the top ten taxpayers of property in the District against the District's CFD Bonds.

ROSEVILLE FINANCE AUTHORITY
Assessed Values and Value-to-Lien Ratios for Top Ten Taxpayers – Woodcreek East CFD

Property Owner	No. of Parcels	2015-16 Assessed Values			Maximum Tax	CFD Bonded Debt⁽¹⁾	% of Bonded Debt	Value to Lien
		Land	Structure	Total				
All Property Owners (All less than 1%)	350	\$42,837,120	\$118,870,508	\$161,707,628	\$584,335	\$4,189,000	100.00%	38.60
Total	350	\$42,837,120	\$118,870,508	\$161,707,628	\$584,335	\$4,189,000	100.00%	38.60

(1) Bonded Debt is based upon each parcel's proportionate share of the District's Annual Maximum Tax times the principal balance the CFD Bonds as of September 30, 2015.

Source: Assessed Values – Placer County 2015-16 Secured Property Roll, as compiled by Willdan Financial Services.

Delinquencies

The following table is a summary of Special Tax levies, delinquencies and delinquency rates in Woodcreek East CFD for the last five fiscal years.

ROSEVILLE FINANCE AUTHORITY
Special Tax Levies, Delinquencies and Delinquency Rates
Woodcreek East CFD
Fiscal Years 2011-12 to 2015-2016 (1st Installment)

Fiscal Year	Annual Special Taxes Levied	No. of Parcels Levied	As of Each Fiscal Year End ⁽¹⁾				As of January 28, 2016			
			Amount Collected	Amount Delinquent ⁽²⁾	No. of Parcels Delinquent	% Levy Delinquent	Remaining Amount Collected	Remaining Amount Delinquent ⁽²⁾	Remaining No of Parcels Delinquent	% Levy Delinquent
2011-12	\$446,642	350	\$440,261	\$6,381	7	1.4%	\$446,642	\$0	0	0.00%
2012-13	446,642	350	442,176	4,466	4	1.0	445,366	1,276	1	0.29
2013-14	462,678	350	458,051	4,627	5	1.0	461,356	1,322	1	0.29
2014-15	487,500	350	484,714	2,786	2	0.6	486,107	1,393	1	0.29
2015-16 ⁽³⁾	492,611	350	n/a	3,519	5	1.4	n/a	3,519	5	1.43

(1) Delinquency information as of May or June of the fiscal year in which the Special Taxes were levied except as noted.

(2) Delinquent amounts shown above do not include penalties, interest or fees.

(3) The above fiscal year 2015-16 delinquency information reflects the collection of the first installment only as the second installment collection data is not yet available. The fiscal year 2015-16 Percent Levy Delinquent reported above was calculated using the first installment delinquent amount of \$3,519 and one-half of the fiscal year 2015-16 Special Tax Levy amount shown above.

Source: Placer County Tax Collector, as compiled by Willdan Financial Services.

APPENDIX E
FORM OF BOND COUNSEL OPINION

_____, 2016

Roseville Finance Authority
311 Vernon Street
Roseville, California 95678

OPINION: \$_____ Roseville Finance Authority
Special Tax Revenue Refunding Bonds, Series 2016

Members of the Authority:

We have acted as bond counsel to the Roseville Finance Authority (the "Authority") in connection with the delivery by the Authority of the above-referenced bonds (the "Bonds"), issued under the provisions of Articles 10 and 11 of Chapter 3 of Part 1 of Division 2 of Title 5 of the California Government Code, commencing with Section 53570 of said Code (the "Refunding Bond Law"), and pursuant to an Indenture of Trust dated as of _____ 1, 2016 (the "Indenture"), between the Authority and The Bank of New York Mellon Trust Company, N.A., as trustee. We have examined the Refunding Bond Law, an executed copy of the Indenture and such certified proceedings and other papers as we deem necessary to render this opinion.

As to questions of fact material to our opinion, we have relied upon representations of the Authority contained in the Indenture and in the certified proceedings and other certifications of public officials furnished to us, without undertaking to verify the same by independent investigation.

Based upon our examination we are of the opinion, under existing law, that:

1. The Authority is a public agency duly organized and existing under the laws of the State of California, with power to enter into the Indenture, to perform the agreements on its part contained therein and to issue the Bonds.
2. The Bonds have been duly authorized, executed and delivered by the Authority and are legal, valid and binding obligations of the Authority, payable solely from the sources provided therefor in the Indenture.
3. The Indenture has been duly approved by the Authority and constitutes a legal, valid and binding obligation of the Authority enforceable against the Authority in accordance with its terms.
4. Pursuant to the Refunding Bond Law, the Indenture establishes a valid lien on and pledge of the Revenues (as such term is defined in the Indenture) for the security of the Bonds.

5. Interest on the Bonds is excluded from gross income for federal income tax purposes and is not an item of tax preference for purposes of the federal alternative minimum tax imposed on individuals and corporations; it should be noted, however, that for the purpose of computing the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), such interest is taken into account in determining certain income and earnings. The opinions set forth in the preceding sentence are subject to the condition that the Authority and the City of Roseville (the "City") comply with all requirements of the Internal Revenue Code of 1986, as amended, that must be satisfied subsequent to the issuance of the Bonds in order that interest thereon be, or continue to be, excluded from gross income for federal income tax purposes. The Authority and the City have covenanted to comply with each such requirement. Failure to comply with certain of such requirements may cause the inclusion of interest on the Bonds in gross income for federal income tax purposes to be retroactive to the date of issuance of the Bonds. We express no opinion regarding other federal tax consequences arising with respect to the ownership, sale or disposition of the Bonds, or the amount, accrual or receipt of interest on the Bonds.

6. Interest on the Bonds is exempt from personal income taxation imposed by the State of California.

The rights of the owners of the Bonds and the enforceability of the Bonds and the Indenture are limited by bankruptcy, insolvency, reorganization, moratorium and other similar laws affecting creditors' rights generally, and by equitable principles, whether considered at law or in equity.

This opinion is given as of the date hereof, and we assume no obligation to revise or supplement this opinion to reflect any facts or circumstances that may hereafter come to our attention, or any changes in law that may hereafter occur. Our engagement with respect to this matter has terminated as of the date hereof.

Respectfully submitted,

A Professional Law Corporation

APPENDIX F

FORM OF CONTINUING DISCLOSURE CERTIFICATE

\$ _____
**ROSEVILLE FINANCE AUTHORITY
SPECIAL TAX REVENUE REFUNDING BONDS
SERIES 2016**

This Continuing Disclosure Certificate (this “Disclosure Certificate”) is executed and delivered by the City of Roseville (the “City”), for and on behalf of itself and the Roseville Finance Authority (the “Authority”), in connection with the issuance by the Authority of the Special Tax Revenue Refunding Bonds captioned above (the “Bonds”). The Bonds are generally secured by revenues derived from debt service payments made on two series of bonds to issued by the City in 2006: (i) City of Roseville Highland Reserve North Community Facilities District No. 1 Special Tax Bonds, Series 2006, issued in the original principal amount of \$33,120,000 and (ii) Woodcreek East Community Facilities District No. 1 Special Tax Bonds, Series 2006, issued in the original principal amount of \$6,245,000 (together, the “CFD Bonds”). The CFD Bonds are generally secured by special taxes levied against taxable property in each respective Community Facilities District. The Bonds are being issued under an Indenture of Trust, dated as of _____ 1, 2016 (the “Indenture”), by and between the Authority and The Bank of New York Mellon Trust Company, N.A., as trustee (the “Trustee”).

The City, on behalf of itself and the Authority, hereby covenants and agrees as follows:

Section 1. Purpose of the Disclosure Certificate. This Disclosure Certificate is being executed and delivered by the City, for and on behalf of itself and the Authority, for the benefit of the holders and beneficial owners of the Bonds and in order to assist the Participating Underwriter in complying with the Rule.

Section 2. Definitions. In addition to the definitions set forth above and in the Indenture, which apply to any capitalized term used in this Disclosure Certificate unless otherwise defined in this Section 2, the following capitalized terms shall have the following meanings:

“*Annual Report*” means any Annual Report provided by the City pursuant to, and as described in, Sections 3 and 4 of this Disclosure Certificate.

“*Annual Report Date*” means the date that is 9 months after the end of the City’s Fiscal Year (currently March 31 based on the City’s Fiscal Year end of June 30).

“*Dissemination Agent*” means Willdan Financial Services, or any successor Dissemination Agent designated in writing by the City and which has filed with the City a written acceptance of such designation.

“*Listed Events*” means any of the events listed in Section 5(a) of this Disclosure Certificate.

“*MSRB*” means the Municipal Securities Rulemaking Board, which has been designated by the Securities and Exchange Commission as the sole repository of disclosure information for

purposes of the Rule, or any other repository of disclosure information that may be designated by the Securities and Exchange Commission as such for purposes of the Rule in the future.

“*Official Statement*” means the final official statement executed by the Authority in connection with the issuance of the Bonds.

“*Participating Underwriter*” means RBC Capital Markets, LLC, the original underwriter of the Bonds required to comply with the Rule in connection with the offering of the Bonds.

“*Rule*” means Rule 15c2-12(b)(5) adopted by the Securities and Exchange Commission under the Securities Exchange Act of 1934, as it may be amended from time to time.

Section 3. Provision of Annual Reports.

(a) The City shall, or shall cause the Dissemination Agent to, not later than the Annual Report Date, commencing March 31, 2017, with the report for the 2015-16 Fiscal Year, provide to the MSRB, in an electronic format as prescribed by the MSRB, an Annual Report that is consistent with the requirements of Section 4 of this Disclosure Certificate. Not later than 15 Business Days prior to the Annual Report Date, the City shall provide the Annual Report to the Dissemination Agent (if other than the City). If by 15 Business Days prior to the Annual Report Date the Dissemination Agent (if other than the City) has not received a copy of the Annual Report, the Dissemination Agent shall contact the City to determine if the City is in compliance with the previous sentence. The Annual Report may be submitted as a single document or as separate documents comprising a package, and may include by reference other information as provided in Section 4 of this Disclosure Certificate; provided that the audited financial statements of the City and the Authority may be submitted separately from the balance of the Annual Report, and later than the Annual Report Date, if not available by that date. If the City's Fiscal Year changes, it shall give notice of such change in the same manner as for a Listed Event under Section 5(c). The City shall provide a written certification with each Annual Report furnished to the Dissemination Agent to the effect that such Annual Report constitutes the Annual Report required to be furnished by the City hereunder.

(b) If the City does not provide (or cause the Dissemination Agent to provide) an Annual Report by the Annual Report Date, the City shall provide in a timely manner (or cause the Dissemination Agent to provide in a timely manner) to the MSRB, in an electronic format as prescribed by the MSRB, a notice in substantially the form attached as Exhibit A.

(c) With respect to each Annual Report, the Dissemination Agent shall:

(i) determine each year prior to the Annual Report Date the then-applicable rules and electronic format prescribed by the MSRB for the filing of annual continuing disclosure reports; and

(ii) if the Dissemination Agent is other than the City, file a report with the City certifying that the Annual Report has been provided pursuant to this Disclosure Certificate, and stating the date it was provided.

Section 4. Content of Annual Reports. The City's Annual Report shall contain or incorporate by reference the following:

(a) The audited financial statements of the City and the Authority, together with the following statement:

THE CITY'S AND THE AUTHORITY'S ANNUAL FINANCIAL STATEMENTS ARE PROVIDED SOLELY TO COMPLY WITH THE SECURITIES EXCHANGE COMMISSION STAFF'S INTERPRETATION OF RULE 15C2-12. NO FUNDS OR ASSETS OF THE CITY OR THE AUTHORITY OTHER THAN THOSE PLEDGED UNDER THE INDENTURE ARE REQUIRED TO BE USED TO PAY DEBT SERVICE ON THE BONDS, AND NEITHER THE CITY NOR THE AUTHORITY ARE OBLIGATED TO ADVANCE AVAILABLE FUNDS FROM ANY SOURCE TO COVER ANY DELINQUENCIES. INVESTORS SHOULD NOT RELY ON THE FINANCIAL CONDITION OF THE CITY OR THE AUTHORITY IN EVALUATING WHETHER TO BUY, HOLD OR SELL THE BONDS.

The audited financial statements shall be prepared in accordance with generally accepted accounting principles as promulgated to apply to governmental entities from time to time by the Governmental Accounting Standards Board. If such audited financial statements are not available by the time the Annual Report is required to be filed pursuant to Section 3(a), the Annual Report shall contain unaudited financial statements in a format similar to the financial statements contained in the final Official Statement, and the audited financial statements shall be filed in the same manner as the Annual Report when they become available.

(b) Additional Items relating to the Authority and the Districts:

1. Principal amount of Bonds outstanding as of September 30th preceding the filing of the Annual Report.

2. For each series of CFD Bonds, balance in respective account within the debt service reserve fund (or amount available under a Reserve Policy, if applicable), and statement of the reserve fund requirement as of September 30th preceding the filing of the Annual Report. Statement of projected reserve fund draw, if any.

3. Balance in funds and accounts held by Authority or Trustee related to the Bonds as of September 30th preceding the filing of the Annual Report.

4. The information contained in the following tables in the Official Statement: (i) "Assessed Values and Value-to-Lien Ratios for Top Ten Taxpayers – The Districts Combined", (ii) "Summary Value to Lien Ratios by Range – The Districts Combined", (iii) "Summary Value to Lien Ratios by Range – Highland Reserve North CFD" and (iv) "Summary Value to Lien Ratios by Range – Woodcreek East CFD," in each case, as of September 30th preceding the filing of the Annual Report but excluding any overlapping debt information.

5. The Special Tax levy, the delinquency rate, total amount of delinquencies, number of parcels delinquent in payment for the five most recent fiscal years for each District with outstanding CFD Bonds.

6. Notwithstanding the June 30th reporting date for the Annual Report, the following information shall be reported as of the last day of the month immediately preceding the date of the Annual Report rather than as of June 30th. Identity of each delinquent taxpayer responsible for 5% or more of the total Special Tax levied, and the

following information with respect thereto: assessor parcel number, assessed value of applicable properties, amount of Special Tax levied, amount delinquent by parcel number and status of foreclosure proceedings. If any foreclosure has been completed, summary of results of foreclosure sales or transfers.

7. For each District, additional debt authorized to be issued by the City that is payable from or secured by assessments or special taxes with respect to property within such District.

(c) In addition to any of the information expressly required to be provided under this Disclosure Certificate, the City shall provide such further material information, if any, as may be necessary to make the specifically required statements, in the light of the circumstances under which they are made, not misleading.

(d) Any or all of the items listed above may be included by specific reference to other documents, including official statements of debt issues of the City or related public entities, which are available to the public on the MSRB's Internet web site or filed with the Securities and Exchange Commission. The City shall clearly identify each such other document so included by reference.

Section 5. Reporting of Significant Events.

(a) The City shall give, or cause to be given, notice of the occurrence of any of the following Listed Events with respect to the Bonds:

- (1) Principal and interest payment delinquencies.
- (2) Non-payment related defaults, if material.
- (3) Unscheduled draws on debt service reserves reflecting financial difficulties.
- (4) Unscheduled draws on credit enhancements reflecting financial difficulties.
- (5) Substitution of credit or liquidity providers, or their failure to perform.
- (6) Adverse tax opinions, the issuance by the Internal Revenue Service of proposed or final determinations of taxability, Notices of Proposed Issue (IRS Form 5701-TEB) or other material notices or determinations with respect to the tax status of the security, or other material events affecting the tax status of the security.
- (7) Modifications to rights of security holders, if material.
- (8) Bond calls, if material, and tender offers.
- (9) Defeasances.
- (10) Release, substitution, or sale of property securing repayment of the securities, if material.

- (11) Rating changes.
- (12) Bankruptcy, insolvency, receivership or similar event of the City or other obligated person.
- (13) The consummation of a merger, consolidation, or acquisition involving the City or an obligated person, or the sale of all or substantially all of the assets of the City or an obligated person (other than in the ordinary course of business), the entry into a definitive agreement to undertake such an action, or the termination of a definitive agreement relating to any such actions, other than pursuant to its terms, if material.
- (14) Appointment of a successor or additional trustee or the change of name of a trustee, if material.

(b) Whenever the City obtains knowledge of the occurrence of a Listed Event, the City shall, or shall cause the Dissemination Agent (if not the City) to, file a notice of such occurrence with the MSRB, in an electronic format as prescribed by the MSRB, in a timely manner not in excess of 10 business days after the occurrence of the Listed Event. Notwithstanding the foregoing, notice of Listed Events described in subsections (a)(8) and (9) above need not be given under this subsection any earlier than the notice (if any) of the underlying event is given to holders of affected Bonds under the Indenture.

(c) The City acknowledges that the events described in subparagraphs (a)(2), (a)(7), (a)(8) (if the event is a bond call), (a)(10), (a)(13), and (a)(14) of this Section 5 contain the qualifier “if material.” The City shall cause a notice to be filed as set forth in paragraph (b) above with respect to any such event only to the extent that the City determines the event’s occurrence is material for purposes of U.S. federal securities law.

(d) For purposes of this Disclosure Certificate, any event described in paragraph (a)(12) above is considered to occur when any of the following occur: the appointment of a receiver, fiscal agent, or similar officer for the City in a proceeding under the United States Bankruptcy Code or in any other proceeding under state or federal law in which a court or governmental authority has assumed jurisdiction over substantially all of the assets or business of the City, or if such jurisdiction has been assumed by leaving the existing governing body and officials or officers in possession but subject to the supervision and orders of a court or governmental authority, or the entry of an order confirming a plan of reorganization, arrangement, or liquidation by a court or governmental authority having supervision or jurisdiction over substantially all of the assets or business of the City.

Section 6. Identifying Information for Filings with the MSRB. All documents provided to the MSRB under the Disclosure Certificate shall be accompanied by identifying information as prescribed by the MSRB.

Section 7. Termination of Reporting Obligation. The City’s obligations under this Disclosure Certificate shall terminate upon the legal defeasance, prior redemption or payment in full of all of the Bonds. If such termination occurs prior to the final maturity of the Bonds, the City shall give notice of such termination in the same manner as for a Listed Event under Section 5(c).

Section 8. Dissemination Agent. The City may, from time to time, appoint or engage a Dissemination Agent to assist it in carrying out its obligations under this Disclosure Certificate, and may discharge any Dissemination Agent, with or without appointing a successor Dissemination Agent. The initial Dissemination Agent shall be Willdan Financial Services. Any Dissemination Agent may resign by providing 30 days' written notice to the City.

Section 9. Amendment; Waiver. Notwithstanding any other provision of this Disclosure Certificate, the City may amend this Disclosure Certificate, and any provision of this Disclosure Certificate may be waived, provided that the following conditions are satisfied:

(a) if the amendment or waiver relates to the provisions of Sections 3(a), 4 or 5(a), it may only be made in connection with a change in circumstances that arises from a change in legal requirements, change in law, or change in the identity, nature, or status of an obligated person with respect to the Bonds, or type of business conducted;

(b) the undertakings herein, as proposed to be amended or waived, would, in the opinion of nationally recognized bond counsel, have complied with the requirements of the Rule at the time of the primary offering of the Bonds, after taking into account any amendments or interpretations of the Rule, as well as any change in circumstances; and

(c) the proposed amendment or waiver either (i) is approved by holders of the Bonds in the manner provided in the Indenture for amendments to the Indenture with the consent of holders, or (ii) does not, in the opinion of nationally recognized bond counsel, materially impair the interests of the holders or beneficial owners of the Bonds.

If the annual financial information or operating data to be provided in the Annual Report is amended pursuant to the provisions hereof, the first Annual Report filed pursuant hereto containing the amended operating data or financial information shall explain, in narrative form, the reasons for the amendment and the impact of the change in the type of operating data or financial information being provided.

If an amendment is made to this Disclosure Certificate modifying the accounting principles to be followed in preparing financial statements, the Annual Report for the year in which the change is made shall present a comparison between the financial statements or information prepared on the basis of the new accounting principles and those prepared on the basis of the former accounting principles. The comparison shall include a qualitative discussion of the differences in the accounting principles and the impact of the change in the accounting principles on the presentation of the financial information, in order to provide information to investors to enable them to evaluate the ability of the City to meet its obligations. To the extent reasonably feasible, the comparison shall be quantitative.

A notice of any amendment made pursuant to this Section 9 shall be filed in the same manner as for a Listed Event under Section 5(c).

Section 10. Additional Information. Nothing in this Disclosure Certificate shall be deemed to prevent the City from disseminating any other information, using the means of dissemination set forth in this Disclosure Certificate or any other means of communication, or including any other information in any Annual Report or notice of occurrence of a Listed Event, in addition to that which is required by this Disclosure Certificate. If the City chooses to include any information in any Annual Report or notice of occurrence of a Listed Event in addition to that which is specifically required by this Disclosure Certificate, the City shall have no obligation

under this Disclosure Certificate to update such information or include it in any future Annual Report or notice of occurrence of a Listed Event.

Section 11. Default. If the City fails to comply with any provision of this Disclosure Certificate, the Participating Underwriter or any holder or beneficial owner of the Bonds may take such actions as may be necessary and appropriate, including seeking mandate or specific performance by court order, to cause the City to comply with its obligations under this Disclosure Certificate. A default under this Disclosure Certificate shall not be deemed an Event of Default under the Indenture, and the sole remedy under this Disclosure Certificate in the event of any failure of the City to comply with this Disclosure Certificate shall be an action to compel performance.

Section 12. Duties, Immunities and Liabilities of Dissemination Agent.

(a) The Dissemination Agent shall have only such duties as are specifically set forth in this Disclosure Certificate, and the City agrees to indemnify and save the Dissemination Agent, its officers, directors, employees and agents, harmless against any loss, expense and liabilities which they may incur arising out of or in the exercise or performance of its powers and duties hereunder, including the costs and expenses (including attorneys fees) of defending against any claim of liability, but excluding liabilities due to the Dissemination Agent's negligence or willful misconduct. The Dissemination Agent shall have no duty or obligation to review any information provided to it by the City hereunder, and shall not be deemed to be acting in any fiduciary capacity for the City, the Bond holders or any other party. The obligations of the City under this Section shall survive resignation or removal of the Dissemination Agent and payment of the Bonds.

(b) The Dissemination Agent shall be paid compensation by the City for its services provided hereunder in accordance with its schedule of fees as amended from time to time, and shall be reimbursed for all expenses, legal fees and advances made or incurred by the Dissemination Agent in the performance of its duties hereunder.

Section 13. Beneficiaries. This Disclosure Certificate shall inure solely to the benefit of the City, the Dissemination Agent, the Participating Underwriter and the holders and beneficial owners from time to time of the Bonds, and shall create no rights in any other person or entity.

Section 14. Counterparts. This Disclosure Certificate may be executed in several counterparts, each of which shall be regarded as an original, and all of which shall constitute one and the same instrument.

Date: _____, 2016

CITY OF ROSEVILLE, for and on behalf of
itself and the ROSEVILLE FINANCE
AUTHORITY

By: _____

AGREED AND ACCEPTED:

_____,
as Dissemination Agent

By: _____
Name: _____
Title: _____

EXHIBIT A

NOTICE OF FAILURE TO FILE ANNUAL REPORT

Name of Issuer: Roseville Finance Authority

Name of Issue: Roseville Finance Authority Special Tax Revenue Refunding
Bonds, Series 2016

Date of Issuance: _____, 2016

NOTICE IS HEREBY GIVEN that the Authority has not provided an Annual Report with respect to the above-named Bonds as required by the Indenture, dated as of _____ 1, 2016, by and between the Authority and The Bank of New York Mellon Trust Company, N.A., as trustee. The Authority anticipates that the Annual Report will be filed by _____.

Dated: _____

DISSEMINATION AGENT:

By: _____

Its: _____

APPENDIX G

DTC AND THE BOOK-ENTRY-ONLY SYSTEM

The following description of the Depository Trust Company ("DTC"), the procedures and record keeping with respect to beneficial ownership interests in the Bonds, payment of principal, interest and other payments on the Bonds to DTC Participants or Beneficial Owners, confirmation and transfer of beneficial ownership interest in the Bonds and other related transactions by and between DTC, the DTC Participants and the Beneficial Owners is based solely on information provided by DTC. Accordingly, no representations can be made concerning these matters and neither the DTC Participants nor the Beneficial Owners should rely on the foregoing information with respect to such matters, but should instead confirm the same with DTC or the DTC Participants, as the case may be.

Neither the issuer of the Bonds (the "Issuer") nor the trustee, fiscal agent or paying agent appointed with respect to the Bonds (the "Agent") take any responsibility for the information contained in this Appendix.

No assurances can be given that DTC, DTC Participants or Indirect Participants will distribute to the Beneficial Owners (a) payments of interest, principal or premium, if any, with respect to the Bonds, (b) certificates representing ownership interest in or other confirmation or ownership interest in the Bonds, or (c) redemption or other notices sent to DTC or Cede & Co., its nominee, as the registered owner of the Bonds, or that they will so do on a timely basis, or that DTC, DTC Participants or DTC Indirect Participants will act in the manner described in this Appendix. The current "Rules" applicable to DTC are on file with the Securities and Exchange Commission and the current "Procedures" of DTC to be followed in dealing with DTC Participants are on file with DTC.

1. The Depository Trust Company ("DTC"), New York, NY, will act as securities depository for the Bonds (the "Securities"). The Securities will be issued as fully-registered securities registered in the name of Cede & Co. (DTC's partnership nominee) or such other name as may be requested by an authorized representative of DTC. One fully-registered Security certificate will be issued for each maturity of the Securities in the aggregate principal amount of such maturity, and will be deposited with DTC. If, however, the aggregate principal amount of any issue exceeds \$500 million, one certificate will be issued with respect to each \$500 million of principal amount, and an additional certificate will be issued with respect to any remaining principal amount of such issue.

2. DTC, the world's largest securities depository, is a limited-purpose trust company organized under the New York Banking Law, a "banking organization" within the meaning of the New York Banking Law, a member of the Federal Reserve System, a "clearing corporation" within the meaning of the New York Uniform Commercial Code, and a "clearing agency" registered pursuant to the provisions of Section 17A of the Securities Exchange Act of 1934. DTC holds and provides asset servicing for over 3.5 million issues of U.S. and non-U.S. equity issues, corporate and municipal debt issues, and money market instruments (from over 100 countries) that DTC's participants ("Direct Participants") deposit with DTC. DTC also facilitates the post-trade settlement among Direct Participants of sales and other securities transactions in deposited securities, through electronic computerized book-entry transfers and pledges between Direct Participants' accounts. This eliminates the need for physical movement of securities certificates. Direct Participants include both U.S. and non-U.S. securities brokers and

dealers, banks, trust companies, clearing corporations, and certain other organizations. DTC is a wholly-owned subsidiary of The Depository Trust & Clearing Corporation ("DTCC"). DTCC is the holding company for DTC, National Securities Clearing Corporation and Fixed Income Clearing Corporation, all of which are registered clearing agencies. DTCC is owned by the users of its regulated subsidiaries. Access to the DTC system is also available to others such as both U.S. and non-U.S. securities brokers and dealers, banks, trust companies, and clearing corporations that clear through or maintain a custodial relationship with a Direct Participant, either directly or indirectly ("Indirect Participants"). DTC is rated "AA+" by Standard & Poor's. The DTC Rules applicable to its Participants are on file with the Securities and Exchange Commission. More information about DTC can be found at www.dtcc.com.

3. Purchases of Securities under the DTC system must be made by or through Direct Participants, which will receive a credit for the Securities on DTC's records. The ownership interest of each actual purchaser of each Security ("Beneficial Owner") is in turn to be recorded on the Direct and Indirect Participants' records. Beneficial Owners will not receive written confirmation from DTC of their purchase. Beneficial Owners are, however, expected to receive written confirmations providing details of the transaction, as well as periodic statements of their holdings, from the Direct or Indirect Participant through which the Beneficial Owner entered into the transaction. Transfers of ownership interests in the Securities are to be accomplished by entries made on the books of Direct and Indirect Participants acting on behalf of Beneficial Owners. Beneficial Owners will not receive certificates representing their ownership interests in Securities, except in the event that use of the book-entry system for the Securities is discontinued.

4. To facilitate subsequent transfers, all Securities deposited by Direct Participants with DTC are registered in the name of DTC's partnership nominee, Cede & Co., or such other name as may be requested by an authorized representative of DTC. The deposit of Securities with DTC and their registration in the name of Cede & Co. or such other DTC nominee do not effect any change in beneficial ownership. DTC has no knowledge of the actual Beneficial Owners of the Securities; DTC's records reflect only the identity of the Direct Participants to whose accounts such Securities are credited, which may or may not be the Beneficial Owners. The Direct and Indirect Participants will remain responsible for keeping account of their holdings on behalf of their customers.

5. Conveyance of notices and other communications by DTC to Direct Participants, by Direct Participants to Indirect Participants, and by Direct Participants and Indirect Participants to Beneficial Owners will be governed by arrangements among them, subject to any statutory or regulatory requirements as may be in effect from time to time. Beneficial Owners of Securities may wish to take certain steps to augment the transmission to them of notices of significant events with respect to the Securities, such as redemptions, tenders, defaults, and proposed amendments to the Security documents. For example, Beneficial Owners of Securities may wish to ascertain that the nominee holding the Securities for their benefit has agreed to obtain and transmit notices to Beneficial Owners. In the alternative, Beneficial Owners may wish to provide their names and addresses to the registrar and request that copies of notices be provided directly to them.

6. Redemption notices will be sent to DTC. If less than all of the Securities within a maturity are being redeemed, DTC's practice is to determine by lot the amount of the interest of each Direct Participant in such maturity to be redeemed.

7. Neither DTC nor Cede & Co. (nor any other DTC nominee) will consent or vote with respect to Securities unless authorized by a Direct Participant in accordance with DTC's MMI Procedures. Under its usual procedures, DTC mails an Omnibus Proxy to the Issuer as soon as possible after the record date. The Omnibus Proxy assigns Cede & Co.'s consenting or voting rights to those Direct Participants to whose accounts Securities are credited on the record date (identified in a listing attached to the Omnibus Proxy).

8. Principal, redemption price and interest payments on the Securities will be made to Cede & Co., or such other nominee as may be requested by an authorized representative of DTC. DTC's practice is to credit Direct Participants' accounts upon DTC's receipt of funds and corresponding detail information from the Issuer or the Agent, on payable date in accordance with their respective holdings shown on DTC's records. Payments by Participants to Beneficial Owners will be governed by standing instructions and customary practices, as is the case with securities held for the accounts of customers in bearer form or registered in "street name," and will be the responsibility of such Participant and not of DTC, the Agent, or the Issuer, subject to any statutory or regulatory requirements as may be in effect from time to time. Payment of principal, redemption price and interest payments to Cede & Co. (or such other nominee as may be requested by an authorized representative of DTC) is the responsibility of the Issuer or the Agent, disbursement of such payments to Direct Participants will be the responsibility of DTC, and disbursement of such payments to the Beneficial Owners will be the responsibility of Direct and Indirect Participants.

9. If applicable, a Beneficial Owner will give notice to elect to have its Securities purchased or tendered, through its Participant, to tender/remarketing agent, and will effect delivery of such Securities by causing the Direct Participant to transfer the Participant's interest in the Securities, on DTC's records, to tender/remarketing agent. The requirement for physical delivery of Securities in connection with an optional tender or a mandatory purchase will be deemed satisfied when the ownership rights in the Securities are transferred by Direct Participants on DTC's records and followed by a book-entry credit of tendered Securities to tender/remarketing agent's DTC account.

10. DTC may discontinue providing its services as depository with respect to the Securities at any time by giving reasonable notice to the Issuer or the Agent. Under such circumstances, in the event that a successor depository is not obtained, Security certificates are required to be printed and delivered.

11. The Issuer may decide to discontinue use of the system of book-entry-only transfers through DTC (or a successor securities depository). In that event, Security certificates will be printed and delivered to DTC.



COUNCIL COMMUNICATION

CC #: 7735

File #: 0103-10-04

Title: Successor Agency to the Former Redevelopment Agency - Approval of Refunded 2016 Tax Allocation Bonds

Contact: Melissa Hagan 916-774-5476 mhagan@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 8.3.

RECOMMENDATION TO COUNCIL

Staff recommends the Successor Agency to the former Redevelopment Agency of the City of Roseville adopt a resolution (Attachment A) confirming the issuance of the 2016 Tax Allocation Refunding Bonds Pursuant to a Third Supplemental Indenture of Trust and Approving the Preliminary and Final Official Statement (Attachment B) and Providing Other Matters Relating Thereto in order to achieve debt service savings.

BACKGROUND

The State of California dissolved all redevelopment agencies in California as of February 1, 2012 through ABx1 26 (the Dissolution Act). As a result of this, Successor Agencies were formed and tasked with the responsibility of winding down all of the former redevelopment agency's outstanding obligations including the payment of debt service (principal and interest) of any of the agency's bond issues until the debt is paid off. AB 1484 passed in June of 2012, clarified the original legislation and provided that agencies could refund outstanding bonds as long as the Successor Agency did not issue new bonds that would increase the overall cost of the bonds, extend the term of the bonds or take additional proceeds.

The former Redevelopment Agency (the "RDA") originally issued \$22,945,000 in tax allocation bonds in 2006 (the "2006 bonds") to fund public improvement projects, acquire property, and fund commercial loans in the downtown and historic districts located in the redevelopment plan area. The bonds were issued as 2006A, 2006AT (taxable) and 2006HT which were taxable housing bonds restricted for uses related to the construction of affordable housing or affordable housing activities.

In accordance with the Dissolution Act, both the Successor Agency and its Oversight Board gave their approvals for the refunding in April of this year. In addition, the California State Department of Finance (DOF) is also required to provide their approval and have until June 27, 2016 to do so. Once the DOF approves the Oversight Board action, the associated debt service obligation is included on the Successor Agency's next Recognized Obligation Payments Schedule (the

“ROPS”) as a new line item and the old debt is retired from the ROPS.

Staff anticipates the DOF will have responded by the time this report is presented at the June 1, 2016 meeting and will provide the Successor Agency with their decision.

ANALYSIS

Table 1 illustrates a current analysis of the savings the Successor Agency is expecting to realize by refunding the balance of the current bonds, however the rates are subject to changes up to the day of pricing. Based on current market interest rates, the refunding is expected to generate annual cash flow and present value savings:

Par Amount of Refunded 2006 Bonds	\$21,080,000
Estimated Average Annual Savings	\$106,083
Est Total Cash flow savings through term	\$2,545,991
Net present Value savings	\$1,647,163
% of NPV savings	7.8%

The bonds will be issued as the 2016 Tax-Exempt & Taxable Refunding bonds and will be comprised of two issues rather than the previous three issues: \$1.1 million will be refunded as the Tax Allocation Refunding Bonds Series 2016A; and \$20.2 million will be refunded as the Taxable Tax Allocation Bonds Series 2016-T.

Interest rates continue to become more favorable creating the potential for greater debt service savings to the Successor Agency. Reducing the cost of debt service is consistent with the goals and objectives of the Dissolution Act in that it reduces the amount of property tax increment needed to pay the Successor Agency’s enforceable obligations. This savings, in the form of residual funds, are distributed to the cities, counties, special districts and K-14 school districts immediately.

FISCAL IMPACT

There will be no fiscal impact to the City of Roseville or the Successor Agency as all of the costs associated with the refunding are included as part of the issuance of the bonds.

ECONOMIC DEVELOPMENT / JOBS CREATED

The refunding of the former Redevelopment Agency Tax Allocation bonds does not create any new jobs.

ENVIRONMENTAL REVIEW

The 2016 Tax Allocation Bonds Refunding is not considered a “project” as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines §15378). Consequently no CEQA action is required.

Respectfully Submitted,

Melissa Hagan, Financial Analyst

Chris Robles, Economic Development Director

A handwritten signature in blue ink, appearing to read 'Rob Jensen', with a long horizontal flourish extending to the right.

Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-224

Preliminary Official Statement

RESOLUTION NO. 16-224**RESOLUTION OF THE SUCCESSOR AGENCY TO THE
REDEVELOPMENT AGENCY OF THE CITY OF ROSEVILLE
CONFIRMING THE ISSUANCE OF 2016 TAX ALLOCATION
REFUNDING BONDS PURSUANT TO A THIRD
SUPPLEMENTAL INDENTURE OF TRUST AND APPROVING
PRELIMINARY AND FINAL OFFICIAL STATEMENTS AND
PROVIDING OTHER MATTERS RELATING THERETO**

WHEREAS, the Redevelopment Agency of the City of Roseville (the "Former Agency") was a public body, corporate and politic, duly established and authorized to transact business and exercise powers under and pursuant to the provisions of the Community Redevelopment Law of the State of California, constituting Part 1 of Division 24 of the Health and Safety Code of the State (the "Redevelopment Law");

WHEREAS, pursuant to Section 34172(a) of the California Health and Safety Code (unless otherwise noted, all Section references hereinafter being to such Code), the Former Agency has been dissolved and no longer exists as a public body, corporate and politic, and pursuant to Section 34173, the City of Roseville has become the successor entity to the Former Agency (the "Successor Agency");

WHEREAS, in 2002, the Former Agency issued \$14,500,000 original principal amount of Tax Allocation Bonds, Series 2002 (the "2002 Bonds") secured by the former Redevelopment Agency's tax increment revenues as funding for the debt service obligations, pursuant to an Indenture, dated as of November 1, 2002 (the "Original Indenture"), between the Agency and BNY Western Trust Company (to which The Bank of New York Mellon Trust Company, N.A. is successor), as Trustee.

WHEREAS, the Former Agency in 2006 issued, as Parity Debt (within the meaning of the Original Indenture) to the 2002 Bonds and pursuant to a First Supplemental Indenture to the Original Indenture, its Redevelopment Agency of the City of Roseville, Roseville Redevelopment Project Tax Allocation Bonds, Series 2006A, in the aggregate principal amount of \$13,155,000 and its Redevelopment Agency of the City of Roseville, Roseville Redevelopment Project Taxable Tax Allocation Bonds, Series 2006A-T, in the aggregate principal amount of \$3,285,000 (together, the "2006 Non-Housing Bonds") for the purpose of financing redevelopment activities with respect to the Roseville Redevelopment Project.

WHEREAS, the Former Agency in 2006 additionally issued pursuant to an Indenture of Trust, dated as of November 1, 2006, by and between the Former Agency and the Trustee, its Redevelopment Agency of the City of Roseville, Roseville Redevelopment Project Taxable Tax Allocation Housing Bonds, Series 2006H-T, in the aggregate principal amount of \$6,505,000 (the "2006 Housing Bonds" and together with the 2006 Non-Housing Bonds, the "2006 Bonds") for the purpose of financing housing redevelopment activities with respect to the Roseville Redevelopment Project.

WHEREAS, Section 34177.5(a)(1) authorizes the Successor Agency to undertake proceedings for the refunding of outstanding bonds and other obligations of

the Former Agency in order to achieve debt service savings within the parameters set forth in Section 34177.5(a)(1) (the "Savings Parameters"), and to issue bonds for such purpose pursuant to Article 11 (commencing with Section 53580) of Chapter 3 of Part 1 of Division 2 of Title 5 of the Government Code (the "Refunding Law")

WHEREAS, the Successor Agency determined in its Resolution No. 16-_____, adopted April 6, 2016 (the "Resolution of Issuance"), that it will achieve debt service savings in compliance with the Savings Parameters as evidenced by the analysis prepared by its Financial Advisor, Hilltop Securities Inc., describing potential savings that will accrue to the Successor Agency and to applicable taxing entities as a result of the refunding of the 2006 Bonds (the "Debt Service Savings Analysis");

WHEREAS, the Successor Agency has further determined that the potential debt service savings evidenced by the Debt Service Savings Analysis can be achieved by the issuance by the Successor Agency of its "Roseville Redevelopment Project Tax Allocation Refunding Bonds, Series 2016" (the "Refunding Bonds") pursuant to the Redevelopment Law, the Refunding Law and the form of a Second Supplemental Indenture approved by the Successor Agency pursuant to the Resolution of Issuance, which supplements the Original Indenture;

WHEREAS, pursuant to Section 34179, an oversight board (the "Oversight Board") has been established for the Successor Agency and the Successor Agency by the Resolution of Issuance approved the Debt Service Savings Analysis for submission to the Oversight Board and requested that the Oversight Board direct the Successor Agency to undertake such refunding proceedings by the issuance of the Refunding Bonds;

WHEREAS, the Successor Agency by the Resolution of Issuance also approved the issuance of the Refunding Bonds and requested the Oversight Board to approve the issuance, sale and delivery of the Refunding Bonds, as authorized by Section 34177.5(f) and the Indenture;

WHEREAS, the Oversight Board by Resolution No. _____, adopted April 18, 2016 directed the Successor Agency to undertake such refunding proceedings and approved the issuance, sale and delivery of the Refunding Bonds by the Successor Agency and Resolution No. _____ has been submitted to the California Department of Finance for its approval of such approval by the Oversight Board;

WHEREAS, the Successor Agency has caused to be prepared a form of Official Statement describing the Refunding Bonds and containing material information relating to the Refunding Bonds, the preliminary form of which is on file with the Secretary;

WHEREAS, the Successor Agency, with the aid of its staff, has reviewed the Official Statement and wishes at this time to approve the foregoing as in the public interests of the Successor Agency and applicable taxing entities;

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Roseville, acting solely in its capacity as the Successor Agency to the Redevelopment Agency of the City of Roseville, as follows:

Section 1. Confirmation of Approval of Issuance of the Bonds. The Successor Agency hereby confirms its actions in the Resolution of Issuance authorizing and approving the issuance of the Refunding Bonds pursuant to the Indenture and under the Redevelopment Law and the Refunding Law.

Section 2. Approval of Official Statement. The Successor Agency hereby approves the preliminary Official Statement describing the Refunding Bonds, in substantially the form on file with the Secretary. Distribution of the preliminary Official Statement by the Underwriter is hereby approved, and, prior to the distribution of the preliminary Official Statement, the Executive Director or Assistant Director of the Successor Agency (each, an "Authorized Officer") is authorized and directed, on behalf of the Successor Agency, to deem the preliminary Official Statement "final" pursuant to Rule 15c2-12 under the Securities Exchange Act of 1934 (the "Rule"). The execution of the final Official Statement, which shall include such changes and additions thereto deemed advisable by an Authorized Officer, and such information permitted to be excluded from the preliminary Official Statement pursuant to the Rule, is hereby approved for delivery to the purchasers of the Refunding Bonds, and the Authorized Officer is authorized and directed to execute and deliver the final Official Statement for and on behalf of the Successor Agency, to deliver to the Underwriter a certificate with respect to the information set forth therein and to deliver to the Underwriter a Continuing Disclosure Certificate substantially in the form appended to the final Official Statement.

Section 3. Official Actions. All actions heretofore taken by the officers and agents of the Successor Agency with respect to the issuance of the Refunding Bonds are hereby approved, confirmed and ratified. The Authorized Officers, the Finance Director of the City of Roseville as the treasurer of the Successor Agency, the City Attorney as general counsel of the Successor Agency, the Secretary and any and all other officers of the Successor Agency are hereby authorized and directed, for and in the name and on behalf of the Successor Agency, to do any and all things and take any and all actions, including execution and delivery of any and all assignments, certificates, requisitions, including requisitions for the payment of costs of issuance of the Refunding Bonds, agreements, including agreements in customary form providing for the investment of the proceeds of the Refunding Bonds, notices, consents, and other documents, which they, or any of them, may deem necessary or advisable in order to consummate the sale, issuance and delivery of the Refunding in accordance with the Resolution of Issuance and this resolution.

Section 4. California Department of Finance Approval. Notwithstanding anything herein to the contrary, this Resolution shall not take effect unless and until the California Department of Finance issues its letter approving Oversight Board Resolution No. _____.

PASSED, APPROVED, AND ADOPTED by the City Council of the City of Roseville, as Successor Agency of the Redevelopment Agency of the City of Roseville, at its meeting held on the ____ day of _____, 2016, by the following vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

Mayor

ATTEST:

City Clerk

PRELIMINARY OFFICIAL STATEMENT DATED _____, 2016**NEW ISSUE
FULL BOOK ENTRY**

[Insured Rating: Standard & Poor's: "___"]
 Underlying Rating: Standard & Poor's: "___"
 See "RATINGS" herein

In the opinion of Jones Hall, A Professional Law Corporation, San Francisco, California, Bond Counsel, subject, however to certain qualifications described herein, under existing law, the interest on the Series 2016A Bonds is excluded from gross income for federal income tax purposes, and such interest is not an item of tax preference for purposes of the federal alternative minimum tax imposed on individuals and corporations, although for the purpose of computing the alternative minimum tax imposed on certain corporations, such interest is taken into account in determining certain income and earnings. In the further opinion of Bond Counsel, interest on the Bonds is exempt from California personal income taxes. Interest on the Series 2016A-T Bonds is included in gross income for federal income tax purposes. See "TAX MATTERS" herein.

\$ _____ *

**SUCCESSOR AGENCY OF THE
REDEVELOPMENT AGENCY OF THE CITY OF
ROSEVILLE
(Roseville Redevelopment Project)
Tax Allocation Refunding Bonds, Series 2016A**

\$ _____ *

**SUCCESSOR AGENCY OF THE
REDEVELOPMENT AGENCY OF THE CITY OF
ROSEVILLE
(Roseville Redevelopment Project)
Taxable Tax Allocation Refunding Bonds,
Series 2016A-T**

Dated: Date of Delivery**Due: September 1, as shown inside front cover**

Purpose of the Bonds. The above-captioned bonds (the "Series A Bonds" and the "Series A-T Bonds", and collectively, the "Bonds") are being issued by the Successor Agency of the Redevelopment Agency of the City of Roseville (the "Successor Agency"), as successor agency to the Roseville Redevelopment Agency of the City of Roseville (the "Former Agency"), to refund the outstanding amount of the Former Agency's Roseville Redevelopment Project Tax Allocation Bonds; Series 2006A, Series 2006A-T and Series 2006H-T (the "Prior Bonds").

Book-Entry. The Bonds will be delivered as fully registered bonds, registered in the name of Cede & Co. as nominee of The Depository Trust Company, New York, New York ("DTC"), and will be available to ultimate purchasers ("Beneficial Owners") in the denomination of \$5,000 or any integral multiple thereof, under the book-entry system maintained by DTC. Beneficial Owners will not be entitled to receive delivery of bonds representing their ownership interest in the Bonds. Principal of, premium if any, and semiannual interest on the Bonds due March 1 and September 1 of each year, commencing September 1, 2016, will be payable by Bank of New York Mellon Trust Company, N.A., as Trustee, to DTC for subsequent disbursement to DTC participants, so long as DTC or its nominee remains the registered owner of the Bonds (see "THE BONDS—Book-Entry System"). See "THE BONDS."

Redemption. The Bonds are subject to optional and mandatory redemption prior to maturity as described herein.

Security for the Bonds. The Bonds are payable from and secured by the Tax Revenues (as defined in this Official Statement), on a parity basis with bonds issued in 2014 (the "2014 Bonds"), to be derived from taxes deposited into the Successor Agency's Redevelopment Obligation Retirement Fund established pursuant to Health and Safety Code section 34170.5(a) (described herein), and moneys in certain funds and accounts established under an Indenture of Trust, dated as of November 1, 2002, by and between the Former Agency and the Trustee, as supplemented by a First Supplemental Indenture of Trust dated November 1, 2006, a Second Supplemental Indenture of Trust dated September 1, 2014, and a Third Supplemental Indenture of Trust dated _____ 1, 2016 (together, the "Indenture"), as further described in this Official Statement. See "SECURITY FOR THE BONDS."

The Successor Agency agrees in the Indenture to maintain the Reserve Account in an amount equal to the "Reserve Requirement," as defined in the Indenture and described herein. [The Reserve Account will initially be funded with a Municipal Bond Debt Service Reserve Insurance Policy issued by _____.]

Parity Bonds. The Bonds are secured by and payable from Tax Revenues on a parity basis with the 2014 Bonds, currently outstanding in the aggregate principal amount of \$10,035,000. See "SECURITY FOR THE BONDS- Additional Debt."

Limited Obligations. The Bonds are special obligations of the Successor Agency and are secured by an irrevocable pledge of, and are payable as to principal, interest and premium, if any, from Tax Revenues and other funds described in this Official Statement. The Bonds, interest and premium, if any, thereon are not a debt of the City of Roseville (the "City"), the County of Placer (the "County"), the State of California (the "State") or any of their political subdivisions except the Successor Agency, and none of the City, the County, the State nor any of their political subdivisions except the Successor Agency is liable thereon. The Bonds, interest thereon and premium, if any, are not payable out of any funds or properties other than those set forth in the Indenture. Neither the members of the Successor Agency, the Oversight Board, the County Board of Supervisors nor any persons executing the Bonds are liable personally on the Bonds.

[[Municipal Bond Insurance. The scheduled payment of principal of and interest on the Bonds when due will be guaranteed under an insurance policy to be issued concurrently with the delivery of the Bonds by _____.]]

MATURITY SCHEDULE
(see inside cover)

This cover page contains information for quick reference only. It is **not** intended to be a summary of all factors relating to an investment in the Bonds. Investors should review the entire Official Statement before making any investment decision with respect to the Bonds.

This Preliminary Official Statement and the information contained herein are subject to completion or amendment. These securities may not be sold nor may offers to buy be accepted prior to the time the Official Statement is delivered in final form. Under no circumstances will this Preliminary Official Statement constitute an offer to sell or a solicitation of an offer to buy nor will there be any sale of these securities in any jurisdiction in which such offer solicitation or sale would be unlawful.

The Bonds are offered when, as and if issued, subject to the approval as to their legality by Jones Hall, a Professional Law Corporation, San Francisco, California, Bond Counsel, and subject to certain other conditions. Jones Hall is also serving as Disclosure Counsel. Certain matters will be passed upon for the Underwriter by Stradling Yocca Carlson & Rauth, Newport Beach, California. Certain matters will also be passed upon for the Successor Agency by the City Attorney of the City of Roseville, as Successor Agency Special Counsel. It is anticipated that the Bonds will be available for delivery through the facilities of DTC on or about _____, 2016.

STIFEL

Dated: _____, 2016

* Preliminary, subject to change.

MATURITY SCHEDULE

SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY OF THE CITY OF ROSEVILLE (Roseville Redevelopment Project)

(Base CUSIP[†]: 777865)

Tax Allocation Refunding Bonds, Series 2016A

\$ _____

Maturity (September 1)	Principal Amount	Interest Rate	Yield	Price	CUSIP †
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Taxable Tax Allocation Refunding Bonds, Series 2016A-T

\$ _____

Maturity (September 1)	Principal Amount	Interest Rate	Yield	Price	CUSIP †
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[†] Copyright 2016, American Bankers Association. CUSIP data herein are provided by Standard & Poor's CUSIP Service Bureau, a division of The McGraw-Hill Companies, Inc., and are provided for convenience of reference only. Neither the Successor Agency nor the Underwriter assumes any responsibility for the accuracy of these CUSIP data..

GENERAL INFORMATION ABOUT THIS OFFICIAL STATEMENT

No Offering May Be Made Except by this Official Statement. No dealer, broker, salesperson or other person has been authorized by the Successor Agency to give any information or to make any representations with respect to the Bonds other than as contained in this Official Statement, and, if given or made, such other information or representation must not be relied upon as having been given or authorized by the Successor Agency or the Underwriter.

Use of Official Statement. This Official Statement is submitted in connection with the sale of the Bonds described herein and may not be reproduced or used, in whole or in part, for any other purpose. This Official Statement does not constitute a contract between any Bondowner and the Successor Agency or the Underwriter.

Preparation of this Official Statement. The information contained in this Official Statement has been obtained from sources that are believed to be reliable, but this information is not guaranteed as to accuracy or completeness. The Underwriter has provided the following sentence for inclusion in this Official Statement: The Underwriter has reviewed the information in this Official Statement in accordance with, and as part of, its responsibilities to investors under the federal securities laws as applied to the facts and circumstances of this transaction, but the Underwriter does not guarantee the accuracy or completeness of such information.

Estimates and Forecasts. When used in this Official Statement and in any continuing disclosure made by the Successor Agency, the words or phrases "will likely result," "are expected to," "will continue," "is anticipated," "estimate," "project," "forecast," "expect," "intend" and similar expressions identify "forward looking statements" within the meaning of the Private Securities Litigation Reform Act of 1995. Such statements are subject to risks and uncertainties that could cause actual results to differ materially from those contemplated in such forward-looking statements. Any forecast is subject to such uncertainties. Inevitably, some assumptions used to develop the forecasts will not be realized and unanticipated events and circumstances may occur. Therefore, there are likely to be differences between forecasts and actual results, and those differences may be material.

This Official Statement speaks only as of its date, and the information and expressions of opinion contained in this Official Statement are subject to change without notice. Neither the delivery of this Official Statement nor any sale of the Bonds will, under any circumstances, create any implication that there has been no change in the affairs of the Successor Agency or the other parties described in this Official Statement, since the date of this Official Statement.

Document Summaries. All summaries of the Indenture or other documents contained in this Official Statement are made subject to the provisions of such documents and do not purport to be complete statements of any or all such provisions. All references in this Official Statement to the Indenture and such other documents are qualified in their entirety by reference to such documents, which are on file with the Successor Agency.

No Unlawful Offers or Solicitations. This Official Statement does not constitute an offer to sell or a solicitation of an offer to buy in any state in which such offer or solicitation is not authorized or in which the person making such offer or solicitation is not qualified to do so or to any person to whom it is unlawful to make such offer or solicitation.

No Registration with the SEC. The issuance and sale of the Bonds have not been registered under the Securities Act of 1933 or the Securities Exchange Act of 1934, both as amended, in reliance upon exemptions provided thereunder by Sections 3(a)(2) and 3(a)(12), respectively, for the issuance and sale of municipal securities.

Public Offering Prices. The Underwriter may offer and sell the Bonds to certain dealers and dealer banks and banks acting as agent at prices lower than the public offering prices stated on the inside cover page of this Official Statement, and the Underwriter may change those public offering prices from time to time.

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**SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY
OF THE CITY OF ROSEVILLE
(Placer County, California)**

BOARD OF DIRECTORS

Carol Garcia, Chair
Susan Rohan, Vice Chair
Bonnie Gore, Director
Tim Herman, Director
Pauline Roccucci, Director

SUCCESSOR AGENCY AND CITY OFFICIALS

Chris Robles, *Successor Agency Executive Director*
Jay Panzica, *City Chief Financial Officer*

PROFESSIONAL SERVICES

Trustee

The Bank of New York Mellon Trust Company, N.A.
San Francisco, California

Bond Counsel

Jones Hall, a Professional Law Corporation
San Francisco, California

Fiscal Consultant

Fraser & Associates
Roseville, California

Municipal Advisor

FirstSouthwest, a Division of Hilltop Securities
Santa Monica, California

Disclosure Counsel

Jones Hall, a Professional Law Corporation
San Francisco, California

OFFICIAL STATEMENT

\$ _____ *

SUCCESSOR AGENCY OF THE
REDEVELOPMENT AGENCY OF THE CITY OF
ROSEVILLE
(Roseville Redevelopment Project)
Tax Allocation Refunding Bonds, Series 2016A

\$ _____ *

SUCCESSOR AGENCY OF THE
REDEVELOPMENT AGENCY OF THE CITY OF
ROSEVILLE
(Roseville Redevelopment Project)
Taxable Tax Allocation Refunding Bonds,
Series 2016A-T

*This Official Statement, including the cover page and appendices hereto, is provided to furnish information in connection with the sale by the Successor Agency of the Redevelopment Agency of the City of Roseville (the "**Successor Agency**"), as successor in interest to the Roseville Redevelopment Agency (the "**Former Agency**"), of its Roseville Redevelopment Project, Tax Allocation Refunding Bonds, Series 2016A (the "**Series A Bonds**") and its Roseville Redevelopment Project, Taxable Tax Allocation Refunding Bonds, Series 2016A-T (the "**Series A-T Bonds**," and together with the Series A Bonds, the "**Bonds**").*

INTRODUCTION

This Introduction contains a brief summary of certain information contained in this Official Statement; such summaries do not purport to be comprehensive or definitive and are not intended to be complete and are qualified by the more detailed information contained elsewhere in this Official Statement. References to the Bonds are qualified in their entirety by reference to the form of the Bonds included in the Indenture. Copies of the Indenture and other documents described in this Official Statement may be obtained from the Successor Agency as described under the subheading "Other Information" below. Definitions of certain terms used in this Official Statement are set forth in "APPENDIX D – Summary of Certain Provisions of the Indenture."

General

The Bonds are issued according to the terms set forth in the Indenture of Trust, dated as of November 1, 2002 (the "**Series 2002 Indenture**") by and between the Former Agency and Bank of New York Mellon Trust Company, N.A. (the "**Trustee**"), as supplemented by a First Supplemental Indenture of Trust dated November 1, 2006, (the "**First Supplemental Indenture**"), a Second Supplemental Indenture of Trust dated September 1, 2014, by and between the Successor Agency and the Trustee (the "**Second Supplemental Indenture**"), and a Third Supplemental Indenture of Trust dated _____ 1, 2016, by and between the Successor Agency and the Trustee (the "**Third Supplemental Indenture**" and together with the Series

* Preliminary, subject to change.

2002 Indenture, the First Supplemental Indenture, and the Second Supplemental Indenture, the "**Indenture**"). The Bonds are also issued in accordance with Article 11 (commencing with Section 53580) of Chapter 3 of Part 1 of Division 2 of Title 5 of the Government Code (the "**Refunding Law**"), Parts 1.8 (commencing with Section 34161) and 1.85 (commencing with Section 34170) of Division 24 of the Health and Safety Code enacted by Assembly Bill X1 26 ("**AB X1 26**"), as amended on June 27, 2012 by Assembly Bill No. 1484 ("**AB 1484**"), enacted as Chapter 26, Statutes of 2012 (AB X1 26 and AB 1484 are collectively referred to herein as the "**Dissolution Act**"), and the Constitution and other applicable laws of the State of California (the "**State**"). The issuance of the Bonds was approved by Resolution No. 16-____ adopted by the Successor Agency on _____, 2016 (the "**Resolution**"), and by Resolution No. 16-____ adopted by the Oversight Board for the Issuer on _____, 2016 (the "**Oversight Board Resolution**"). See "THE BONDS – Authority for Issuance."

Proceeds from the sale of the Bonds will be used to refund the outstanding amounts of three series of the Former Agency's:

- Roseville Redevelopment Project Tax Allocation Bonds, Series 2006A (the "**Series 2006A Bonds**"),
- Roseville Redevelopment Project Taxable Tax Allocation Bonds, Series 2006A-T (the "**Series 2006A-T Bonds**"), and
- Roseville Redevelopment Project Tax Allocation Bonds, Series 2006H-T (the "**Series 2006H-T Bonds**," and collectively, the "**Prior Bonds**").

The Prior Bonds were originally issued to finance redevelopment projects, pay costs of issuance and buy a reserve policy.

The City and the Successor Agency

The City. The City of Roseville (the "**City**"), located near the Sierra Nevada Foothills, is the largest city in Placer County (the "**County**"). The City was incorporated in 1909, and comprises approximately 43 square miles in total area. The City population was estimated to be 128,382 as of January 1, 2015. For certain information with respect to the City, see Appendix C "GENERAL INFORMATION ABOUT THE CITY AND PLACER COUNTY."

The Former Agency. The Redevelopment Agency of the City of Roseville (the "**Former Agency**") was a redevelopment agency with all of the powers vested in such organizations under provisions of the Community Redevelopment Law of the State of California, constituting Part 1 of Division 24 of the Health and Safety Code of the State (the "**Redevelopment Law**"). The City Council of the City was the governing board of the Former Agency.

Dissolution Act. On June 29, 2011, Assembly Bill No. 26 ("**AB 1X 26**") was enacted together with a companion bill, Assembly Bill No. 27 ("**AB 1X 27**"). The provisions of AB 1X 26 provided for the dissolution of all redevelopment agencies statewide as of February 1, 2012. The provisions of AB 1X 27 permitted redevelopment agencies to avoid such dissolution by the payment of certain amounts. A lawsuit was brought in the California Supreme Court, *California Redevelopment Association, et al., v. Matosantos, et al.*, 53 Cal. 4th 231 (Cal. Dec. 29, 2011), challenging the constitutionality of AB 1X 26 and AB 1X 27. On December 19, 2012, the California Supreme Court largely upheld AB 1X 26, invalidated AB 1X 27, and held that AB 1X 26 may be severed from AB 1X 27 and enforced independently. As a result of AB 1X 26 and the decision of the California Supreme Court in the California Redevelopment Association case,

as of February 1, 2012, all redevelopment agencies in the State were dissolved, including the Former Agency, and successor agencies were designated as successor entities to the former redevelopment agencies to expeditiously wind down the affairs of the former redevelopment agencies.

The primary provisions enacted by AB 1X 26 relating to the dissolution and wind down of former redevelopment agency affairs are found in Parts 1.8 (commencing with Section 34161) and 1.85 (commencing with Section 34170) of Division 24 of the Health and Safety Code of the State, as amended on June 27, 2012 by Assembly Bill No. 1484 ("**AB 1484**") and on September 22, 2015 by Senate Bill 107 (as further amended from time to time, the "**Dissolution Act**").

Successor Agency. Pursuant to Section 34173 of the Dissolution Act, the City Council of the City of Roseville elected for the City to serve as the successor agency to the Former Agency. Subdivision (g) of Section 34173 of the Dissolution Act, added by AB 1484, expressly affirms that the Successor Agency is a separate public entity and legal entity from the City, that the two entities shall not merge, and that the liabilities of the Former Agency will not be transferred to the City nor will the assets of the Former Agency become assets of the City. The Dissolution Act also requires an oversight board for each successor agency to be established, and pursuant thereto the Successor Agency duly established the Oversight Board of the Successor Agency of the Redevelopment Agency of the City of Roseville (the "**Oversight Board**") pursuant to California Health and Safety Code Section 34179(a). Under the Dissolution Act, many actions of the Successor Agency, including issuance of the Bonds, are subject to approval by the Oversight Board, and many actions of the Oversight Board are subject to review or approval by the State of California Department of Finance (the "**Department of Finance**").

The Project Area

The Redevelopment Plan for the Roseville Redevelopment Project (the "**Project Area**") was originally adopted on October 18, 1989 by Ordinance No. 2274. The Redevelopment Plan has been amended twice. The Project Area consists of approximately 1,619 acres and encompasses the City's traditional downtown area, along with other commercial and industrial uses, and some pockets of residential use areas. The assessed value of the Project Area in the Base Year was approximately \$138.4 million compared to its 2015-16 assessed value of approximately \$737.5 million.

See "THE PROJECT AREA" for additional information on land use and property ownership within the Project Area.

The Bonds

The Bonds will be issued in denominations of \$5,000 each or integral multiples thereof. Interest on the Bonds will be payable semi-annually on each March 1 and September 1, commencing September 1, 2016. Principal of and interest on the Bonds are payable by the Trustee to DTC which will be responsible for remitting such principal and interest to the Participants which will in turn be responsible for remitting such principal and interest to the beneficial owners of the Bonds. No physical distribution of the Bonds will be made to the public initially. See "THE BONDS - Book-Entry System" herein.

The Bonds are subject to optional and mandatory redemption prior to maturity as described herein. See "THE BONDS - Redemption" herein.

Security for the Bonds - Tax Allocation Financing

The Dissolution Act authorizes the Successor Agency to issue refunding bonds secured by a pledge of, and lien on, and repaid from incremental property tax revenues (the "**Tax Revenues**," as defined in the Indenture) deposited with respect to the Project Area from time to time in the Redevelopment Property Tax Trust Fund (the "**Redevelopment Property Tax Trust Fund**") established and held by the Placer County Auditor-Controller (the "**County Auditor-Controller**"). See "SECURITY FOR THE BONDS - Tax Revenues" for the definition of "Tax Revenues." Section 34177.5(a)(1) authorizes the issuance of such refunding bonds to provide savings to the Successor Agency, provided that (i) the total interest cost to maturity on the refunding bonds or other indebtedness plus the principal amount of the refunding bonds or other indebtedness does not exceed the total remaining interest cost to maturity on the bonds or other indebtedness to be refunded plus the remaining principal of the bonds or other indebtedness to be refunded, and (ii) the principal amount of the refunding bonds or other indebtedness does not exceed the amount required to defease the refunded bonds or other indebtedness, to establish customary debt service reserves, and to pay related costs of issuance. See "SECURITY FOR THE BONDS."

Prior to the enactment of AB 1X 26, the Redevelopment Law authorized the financing of redevelopment projects through the use of tax increment revenues. This method provided that the taxable valuation of the property within a redevelopment project area on the property tax roll last equalized prior to the effective date of the ordinance which adopted the redevelopment plan became the base year valuation. Assuming the taxable valuation never dropped below the base year level, the taxing agencies receiving property taxes thereafter received only that portion of the taxes produced by applying then current tax rates to the base year valuation, and the redevelopment agency was allocated the remaining portion of property taxes produced by applying then current tax rates to the increase in valuation over the base year. Such incremental tax revenues allocated to a redevelopment agency were authorized to be pledged to the payment of redevelopment agency obligations.

As a consequence of the dissolution of redevelopment agencies, all property tax revenues that would have been allocated to redevelopment agencies are now allocated to the applicable redevelopment property tax trust fund created by the county auditor-controller for the "successor agency." Such funds are to be used for payments on indebtedness and other "enforceable obligations" (as defined in the Dissolution Act), and to pay certain administrative costs, with amounts in excess of those to be considered property taxes that will be distributed to taxing agencies. In addition, under the Dissolution Act tax increment is no longer deemed to flow directly to the successor agency. Further, the Dissolution Act no longer requires successor agencies to deposit a portion of the tax increment into a low and moderate income housing fund. Rather, all funds are considered property taxes.

The Dissolution Act requires the County Auditor-Controller to determine the amount of property taxes that would have been allocated to the Former Agency from the Project Area had the Former Agency not been dissolved pursuant to the operation of AB 1X 26, using current assessed values on the last equalized roll on August 20, and to deposit that amount in the Redevelopment Property Tax Trust Fund. The Dissolution Act provides that any bonds authorized thereunder to be issued by the Successor Agency will be considered indebtedness incurred by the dissolved Former Agency, with the same lien priority and legal effect as if the Bonds had been issued prior to the effective date of AB 1X 26, in full conformity with the applicable provisions of the Redevelopment Law that existed prior to that date, and will be included in the Successor Agency's Recognized Obligation Payment Schedules. See "SECURITY FOR THE BONDS – Recognized Obligation Payment Schedules."

The Dissolution Act further provides that property tax revenues pledged to any bonds authorized under the Dissolution Act, such as the Bonds, are taxes allocated to the successor agency pursuant to the provisions of the Redevelopment Law and the State Constitution.

Property tax revenues will be allocated to the Successor Agency on a semi-annual basis based on a Recognized Obligation Payment Schedule submitted by the Successor Agency to an oversight board established for the Successor Agency (the "**Oversight Board**") and the State Department of Finance (the "**DOF**"). The County Auditor-Controller will distribute funds from the Redevelopment Property Tax Trust Fund for each six-month period in the order specified in the Dissolution Act. See "SECURITY FOR THE BONDS – Recognized Obligation Payment Schedules."

Successor agencies have no power to levy property taxes and must rely on the allocation of taxes as described above. See "RISK FACTORS."

Bond Insurance

[[The scheduled payment of principal of and interest the Bonds when due will be guaranteed under an insurance policy to be issued concurrently with the delivery of the Insured Bonds by _____ (the "**Bond Insurer**"). [The Bond Insurer will also issue a reserve fund insurance policy concurrently with the delivery of the Bonds.] See "MUNICIPAL BOND INSURANCE" and "APPENDIX I – Specimen Municipal Bond Insurance Policy."]]

Limited Obligation

The Bonds are special obligations of the Successor Agency and are secured by an irrevocable pledge of, and are payable as to principal, interest and premium, if any, on a parity basis with the Successor Agency of the Redevelopment Agency of the City of Roseville (Roseville Redevelopment Project) Tax Allocation Refunding Bonds, Series 2014 (the "**2014 Bonds**") from Tax Revenues and other funds, as described herein. The Bonds, interest and premium, if any, thereon are not a debt of the City, the County, the State or any of their political subdivisions except the Successor Agency, and none of the City, the County, the State nor any of their political subdivisions except the Successor Agency are liable thereon. The Bonds, interest thereon and premium, if any, are not payable out of any funds or properties other than those set forth in the Indenture. No member of the Successor Agency, the Oversight Board, the County Board of Supervisors or any person executing the Bonds is liable personally on the Bonds by reason of their issuance.

Reserve Account

The Successor Agency will establish a Reserve Account for the Bonds in an amount equal to the "Reserve Requirement," as defined in the Indenture. [The Reserve Account for the Bonds will initially be funded by a Qualified Reserve Account Credit Instrument (as defined in the Indenture) in the form of an insurance policy issued by _____.] The Reserve Account for the 2014 Bonds is likewise comprised entirely of a Qualified Reserve Account Credit Instrument issued in 2014 by Assured Guaranty Municipal Corp. See "SECURITY FOR THE BONDS - Reserve Account."

Bondowners' Risks

Prospective investors should review this Official Statement and the Appendices hereto in their entirety and should consider certain risk factors associated with the purchase of the Bonds, some of which have been summarized in the section herein entitled "BONDOWNERS' RISKS" herein.

Continuing Disclosure

The Successor Agency will covenant, pursuant to a Continuing Disclosure Certificate to be executed on the date of delivery of the Bonds, for the benefit of owners and beneficial owners of the Bonds, to provide certain financial information and operating data related to the Successor Agency by not later than nine months following the end of the Successor Agency's Fiscal Year (the "**Annual Report**"), and to provide notices of the occurrence of certain enumerated events. The Annual Report will be filed by the Successor Agency with the Municipal Securities Rulemaking Board. The specific nature of the information to be contained in the Annual Report and any notices of significant events is summarized below under the caption "CONTINUING DISCLOSURE" herein. A copy of the Continuing Disclosure Certificate is set forth in APPENDIX F - "FORM OF CONTINUING DISCLOSURE CERTIFICATE." The covenants of the Successor Agency in the Continuing Disclosure Certificate have been made in order to assist the Underwriter in complying with S.E.C. Rule 15c2-12(b)(5).

Tax Matters

Interest on Series 2016A Bonds is excluded from gross income for federal income tax purposes. ***Interest on the Series 2016A-T Bonds is included in gross income for federal income tax purposes.*** In the opinion of Bond Counsel, interest on the Bonds is exempt from California personal income taxes. See "TAX MATTERS."

Professionals Involved in the Offering

The proceedings of the Successor Agency in connection with the issuance of the Bonds are subject to the approval as to their legality of Jones Hall, A Professional Law Corporation, San Francisco, California, Bond Counsel. Jones Hall is also serving as Disclosure Counsel to the Successor Agency for the Bonds. The Bank of New York Mellon Trust Company, N.A., San Francisco, California, will act as the Trustee under the Indenture. Fraser & Associates, Roseville, California, serves as Fiscal Consultant to the Successor Agency (the "**Fiscal Consultant**") in connection with the issuance of the Bonds. FirstSouthwest, a Division of Hilltop Securities, Santa Monica, California is serving as Municipal Advisor. The fees of Bond Counsel, Disclosure Counsel, the Municipal Advisor and the Trustee are contingent upon the sale and delivery of the Bonds.

Summaries of Documents

Brief descriptions of the Redevelopment Law, the Bond Law, the Dissolution Act, the Bonds, the Indenture, the Successor Agency, the Former Agency, the County and the City are included in this Official Statement. Such descriptions and information do not purport to be comprehensive or definitive. All references herein to the Redevelopment Law, the Bond Law, the Dissolution Act, the Bonds, the Indenture, the Constitution and the laws of the State as well as the proceedings of the Former Agency, the Successor Agency, the County and the City are qualified in their entirety by reference to such documents and laws. References herein to the Bonds are qualified in their entirety by the form included in the Indenture and by the provisions of the Indenture.

The Fiscal Consultant's Report is contained in Appendix B. Selected information regarding the City and the County is included in Appendix C. A summary of certain provisions of the Indenture is contained in Appendix D. The proposed form of Bond Counsel's legal opinion for the Bonds is set forth in Appendix E. The proposed form of Continuing Disclosure Certificate is included in Appendix F.

All capitalized terms used in this Official Statement and not normally capitalized have the meanings assigned to them in the Indenture, unless otherwise stated in this Official Statement. Definitions of certain terms used in this Official Statement are set forth in APPENDIX D – "Summary of Certain Provisions of the Indenture."

Other Information

This Official Statement speaks only as of its date and the information contained herein is subject to change without notice. Copies of documents referred to herein are available from the Successor Agency upon written request to the Successor Agency of the Redevelopment Agency of the City of Roseville, 311 Vernon Street, Roseville, CA 95678, Attention: Executive Director. The Successor Agency may impose a charge for copying, mailing and handling expenses related to any request for documents.

[End of Introduction]

REFUNDING PLAN

The Refunding

The Successor Agency is issuing the Bonds in order to refund the Prior Bonds issued by the Former Agency:

- \$13,155,000 original amount of Series 2006A Bonds, currently outstanding in the principal amount of \$13,155,000,
- \$3,285,000 original amount of Series 2006A-T Bonds, currently outstanding in the principal amount of \$2,465,000, and
- \$6,505,000 original amount of Series 2006H-T Bonds, currently outstanding in the principal amount of \$5,895,000.

The Prior Bonds were issued pursuant to the Series 2002 Indenture, as supplemented by the First Supplemental Indenture and the Second Supplemental Indenture, for the purpose of financing programs, projects and activities relating to the Former Agency's Project Area. The Prior Bonds are subject to redemption, at the option of the Agency on any date on or after September 1, 2016.

Upon issuance of the Bonds, the Successor Agency will deliver the net proceeds of the Bonds to the Trustee, for deposit in the Redemption Account established under the Indenture pursuant to Irrevocable Refunding Instructions given to the Trustee, whereupon the Trustee will deposit such amounts in a defeasance account as required by the Indenture. Within 90 days of the issuance of the Bonds, the Trustee will redeem the Prior Bonds, at a price equal to the principal amount of the Prior Bonds to be redeemed plus interest accrued to the redemption date, whereupon the obligations of the Successor Agency for defeasance of the Prior Bonds will be met.

Following the payment and redemption described above and payment of any amounts then owed to the Trustee, the Trustee shall withdraw any amounts remaining on deposit in the Redemption Account and transfer such amounts to the Debt Service Fund established under the Indenture to be used for the purpose of paying interest on the Bonds. Until such time, amounts on deposit in the Redemption Account are not available to pay debt service on the Bonds.

The amounts held in the Redemption Account are pledged solely to the amounts due and payable by the Successor Agency with respect to the Prior Bonds. Neither the funds deposited in the Redemption Account nor interest on the invested funds, if any, will be available for the payment of debt service with respect to the Bonds.

Verification of Mathematical Accuracy. The sufficiency of amounts on deposit in the Redemption Accounts together with investment earnings thereon to pay the principal and accrued interest with respect to the Prior Bonds being refunded, will be verified by _____, _____, certified public accountants (the "**Verification Agent**"). The Verification Agent will deliver a report to that effect on the date of delivery of the Bonds.

Estimated Sources and Uses

The anticipated sources and uses of funds from the sale of the Bonds and other available moneys are estimated to be applied as follows:

TABLE 1
SUCCESSOR AGENCY OF THE
REDEVELOPMENT AGENCY OF ROSEVILLE
Roseville Redevelopment Project
Tax Allocation Refunding Bonds, Series 2016

Sources of Funds

Par Amount of Bonds
Plus: Net Premium
Total Sources

Uses of Funds

Deposit to Redemption Fund
Deposit to Costs of Issuance Fund ⁽¹⁾
Total Uses

(1) Includes fees of bond counsel, disclosure counsel, financial adviser, fiscal consultant, bond insurance and surety premiums, if any, trustee and rating agencies, printing costs, Underwriter's discount and other closing costs.

Debt Service Schedules

The following tables set forth (i) the scheduled semi-annual debt service for the Bonds and (ii) the scheduled annual debt service for the Bonds and the 2014 Bonds.

TABLE 2
SUCCESSOR AGENCY OF THE
REDEVELOPMENT AGENCY OF ROSEVILLE
2016 Tax Allocation Refunding Bonds
Debt Service Schedule

Payment Date (September 1)	Bonds Principal	Bonds Interest	Total Bonds Debt Service
2016			
2017			
2018			
2019			
2020			
2021			
2022			
2023			
2024			
2025			
2026			
2027			
2028			
2029			
2030			
2031			
2032			
2033			
2034			
2035			
2036			
2037			
2038			
2039			
2040			

TABLE 3
SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY
OF THE CITY OF ROSEVILLE
ROSEVILLE REDEVELOPMENT PROJECT
All Parity Bonds
Debt Service Schedule

Bond Year Ending September 1	2014 Bonds Annual Debt Service	2016 Bonds Annual Debt Service	Total Parity Debt Service
2016	\$824,237.50		
2017	821,187.50		
2018	827,837.50		
2019	824,587.50		
2020	820,337.50		
2021	825,187.50		
2022	828,937.50		
2023	827,287.50		
2024	820,187.50		
2025	821,187.50		
2026	821,787.50		
2027	825,037.50		
2028	823,262.50		
2029	824,975.00		
2030	825,843.76		
2031	824,943.76		
2032	823,168.76		
2033	129,531.26		
2034	--		
2035	--		
2036	--		
2037	--		
2038	--		
2039	--		
2040	--		
Total	\$14,139,525.04		

THE BONDS

Authority for Issuance

The Bonds were authorized for issuance pursuant to the Indenture, the Redevelopment Law, the Bond Law, and the Dissolution Act. The issuance of the Bonds and the Indenture were authorized by the Successor Agency pursuant to a Resolution adopted on April 6, 2016 (the "**Resolution**"), and by the Oversight Board for the Successor Agency pursuant to a Resolution adopted on April 18, 2016 (the "**Oversight Board Resolution**").

Pursuant to the Dissolution Act, written notice of the Oversight Board Resolution was provided to the Department of Finance, which requested a review of the Oversight Board Resolution. The Department of Finance provided a letter dated _____, 2016 to the Successor Agency stating that based on the Department of Finance's review and application of the law, the Oversight Board Resolution approving the Bonds was approved by the Department of Finance with respect to issuance of the Bonds. See "APPENDIX H –Department of Finance Approval Letter."

Description

The Bonds will be issued in book-entry form only, and will be initially issued and registered in the name of Cede & Co. as nominee for DTC. Purchasers will not receive physical certificates representing their interest in the Bonds. The Bonds shall be issued in fully registered form without coupons in denominations of \$5,000 or any integral multiple thereof. The Bonds will be dated as of their date of delivery (the "**Closing Date**") and mature on September 1 in the years and in the respective principal amounts and bear interest (calculated on the basis of a 360-day year comprised of twelve 30-day months) at the respective rates per annum, as set forth on the inside front cover.

Interest on the Bonds accrues from the Closing Date and is payable semiannually on September 1 and March 1 of each year (each, an "**Interest Payment Date**") commencing September 1, 2016.

Interest on the Bonds is payable from the Interest Payment Date next preceding the date of authentication thereof unless: (i) a Bond is authenticated on or before an Interest Payment Date and after the close of business on the preceding Record Date, in which event it will bear interest from such Interest Payment Date; (ii) a Bond is authenticated on or before the first Record Date, in which event interest thereon will be payable from the Closing Date, or (iii) interest on any Bond is in default as of the date of authentication thereof, in which event interest thereon will be payable from the date to which interest has been paid in full, payable on each Interest Payment Date.

Principal, premium, if any, and interest on the Bonds are payable by the Trustee to DTC, which is obligated in turn to remit such amounts to DTC Participants for subsequent disbursement to Beneficial Owners of the Bonds. See APPENDIX G - "DTC and the Book-Entry System".

Redemption

Optional Redemption. The Bonds maturing on or before September 1, 20__ are not subject to redemption before their stated maturity. The Bonds maturing on or after September 1, 20__ are subject to redemption prior to maturity, at the option of the Successor Agency, in whole or in part among maturities on such basis as designated by the Successor Agency and by lot within a maturity, from any available source of funds, on September 1, 20__, and on any date thereafter, at a redemption price equal to the principal amount to be redeemed, without premium, plus accrued interest thereon to the date of redemption.

General Redemption Provisions

Notice of Redemption. The Trustee will mail (by first class mail) notice of any redemption to the respective Owners of any Bonds designated for redemption at their respective addresses appearing on the Registration Books, and to the Securities Depositories and to one or more Information Services, at least 30 but not more than 60 days prior to the date fixed for redemption; *provided, however*, that neither failure to receive any such notice so mailed nor any defect therein will affect the validity of the proceedings for the redemption of such Bonds or the cessation of the accrual of interest thereon.

Such notice will include the date of the notice, the redemption date, the redemption place and the redemption price and must designate the CUSIP numbers, the Bond numbers (if less than all Bonds of a maturity are to be redeemed) and the maturity or maturities (in the event of redemption of all of the Bonds of such maturity or maturities in whole) of the Bonds to be redeemed, and must require that such Bonds be then surrendered at the Office of the Trustee identified in such notice for redemption at the redemption price, giving notice also that further interest on such Bonds will not accrue from and after the redemption date.

The Successor Agency has the right to rescind any notice of the optional redemption of Bonds by written notice to the Trustee on or prior to the date fixed for redemption. Any notice of redemption shall be canceled and annulled if for any reason funds will not be or are not available on the date fixed for redemption for the payment in full of the Bonds then called for redemption, and such cancellation shall not constitute an Event of Default.

Selection of Bonds for Redemption. When less than all the Outstanding Bonds of a series maturing on any one date are called for redemption at any one time, the Successor Agency will select the Bonds to be redeemed by lot in any manner which the Successor Agency in its sole discretion deems appropriate. For purposes of such selection, all Bonds will be deemed to be comprised of separate \$5,000 denominations and such separate denominations will be treated as separate Bonds which may be separately redeemed.

Partial Redemption. If only a portion of any Bond is called for redemption, then upon surrender of such Bond the Successor Agency shall execute and the Trustee shall authenticate and deliver to the Owner thereof, at the expense of the Successor Agency, a new bond or bonds of the same series and maturity date, of authorized denominations in aggregate principal amount equal to the unredeemed portion of the Bond to be redeemed.

Effect of Redemption. From and after the date fixed for redemption, if notice of redemption has been duly mailed and funds available for the payment of the principal of and interest (and premium, if any) on the Bonds so called for redemption have been duly provided, such Bonds so called shall cease to be entitled to any benefit under the Indenture other than the

right to receive payment of the redemption price, and no interest shall accrue thereon from and after the redemption date specified in such notice.

Transfer and Exchange. *So long as the Bonds are registered in the name of Cede & Co., as nominee of DTC, transfers and exchanges of Bonds will be made in accordance with DTC procedures. See "Appendix G" below.* Any Bond may, in accordance with its terms, be transferred, upon the registration books of the Trustee, upon surrender of such Bond to the Trustee at its Principal Corporate Trust Office for cancellation, accompanied by delivery of a written instrument of transfer in a form acceptable to the Trustee, duly executed. Whenever any Bond shall be surrendered for registration of transfer, the Successor Agency shall execute and the Trustee shall authenticate and deliver a new Bond or Bonds, of like series, interest rate, maturity and principal amount of authorized denomination. The Trustee may refuse to transfer, either (a) any Bonds during the period fifteen (15) days prior to the date established by the Trustee for the selection of Bonds for redemption, or (b) any Bonds selected by the Trustee for redemption.

Discontinuance of Book-Entry System

DTC may discontinue providing its services with respect to the Bonds at any time by giving notice to the Successor Agency or the Trustee and discharging its responsibilities with respect thereto under applicable law or the Successor Agency may terminate participation in the system of book-entry transfers through DTC or any other securities depository at any time. In the event that the book-entry system is discontinued, the Successor Agency will execute, and the Trustee will authenticate and make available for delivery, replacement Bonds in the form of registered bonds. In addition, the following provisions would apply: the principal of and redemption premium, if any, on the Bonds will be payable at the corporate trust office of the Trustee in Los Angeles, California (or such other office as the Trustee may designate), and interest on the Bonds will be payable by check mailed to the registered owner as of the close of business on the Record Date.

TAX INCREMENT FINANCING GENERALLY

Property Tax Allocation and Collection Procedures

Tax Revenues to be used for payment of the Bonds are generated from increases in the total Project Area taxable assessed value above the base year value. See "SECURITY FOR THE BONDS." The method by which a county allocates property taxes and tax increment revenues can have a significant impact on the receipt of such revenues. The County calculates tax increment to the Project Area by applying the one percent tax rate and certain overrides to incremental taxable value. Tax rates in excess of one percent are not levied in the Project Area. The County also allocates supplemental property tax revenues to the Project Area.

The County Auditor-Controller reduces the total amount of tax increment revenue by administrative expenses and the amount of property tax revenue to be distributed to those taxing entities which have elected to receive additional property tax allocations pursuant to former Health and Safety Code Section 33676, and also pursuant to Section 33401 tax sharing agreements. The County annually reports the tax increment levy due the Project Area to the Successor Agency.

Tax increment is allocated based on 100% of the County calculated levy, under the Teeter Plan. See "Teeter Plan" below.

Classification. In California, property which is subject to ad valorem taxes is classified as "secured" or "unsecured." Secured and unsecured property are entered on separate parts of the assessment roll maintained by the County assessor. The secured classification includes property on which any property tax levied by a county becomes a lien on that property. A tax levied on unsecured property does not become a lien against the taxed unsecured property, but may become a lien on certain other property owned by the taxpayer. Every tax which becomes a lien on secured property has priority over all other liens on the secured property arising pursuant to State law, regardless of the time of the creation of other liens.

Generally, ad valorem taxes are collected by a county (the "**Taxing Authority**") for the benefit of the various entities (e.g., cities, schools and special districts) that share in the ad valorem tax (each a taxing entity) and successor agencies eligible to receive distributions from the respective Redevelopment Property Tax Trust Funds.

Collections. The method of collecting delinquent taxes is substantially different for secured and unsecured property. Counties have four ways of collecting unsecured personal property taxes: (a) initiating a civil action against the taxpayer; (b) filing a certificate in the office of the county clerk specifying certain facts in order to obtain a judgment lien on certain property of the taxpayer; (c) filing a certificate of delinquency for record in the county recorder's office to obtain a lien on certain property of the taxpayer; and (d) seizing and selling personal property, improvements or possessory interests belonging or assessed to the assessee. The exclusive means of enforcing the payment of delinquent taxes with respect to property on the secured roll is the sale of the property securing the taxes to the State for the amount of taxes which are delinquent.

Penalty. A 10% penalty is added to delinquent taxes which have been levied with respect to property on the secured roll. In addition, property on the secured roll on which taxes are delinquent is declared in default by operation of law and declaration of the tax collector on or about June 30 of each fiscal year. Such property may thereafter be redeemed by payment of the delinquent taxes and a delinquency penalty, plus a redemption penalty of 1.5% per month to

the time of redemption. If taxes are unpaid for a period of five years or more, the property is deeded to the State and then is subject to sale by the county tax collector. A 10% penalty also applies to delinquent taxes with respect to property on the unsecured roll, and further, an additional penalty of 1.5% per month accrues with respect to such taxes beginning on varying dates related to the tax bill mailing date.

Delinquencies. The valuation of property is determined as of the January 1 lien date as equalized in August of each year and equal installments of taxes levied upon secured property become delinquent on the following December 10 and April 10. Taxes on unsecured property are due January 1 and become delinquent August 31. Under the Alternative Method of Distribution of Tax Levies and Collections and of Tax Sale Proceeds (known as the Teeter Plan), as provided for in Section 4701 *et seq.* of the Revenue and Taxation Code of the State, tax increment revenues are allocated to each taxing agency in a county without regard to delinquencies in the payment of property taxes. The County uses the Teeter Plan and the Agency participates in the County's Teeter Plan. As a result of this allocation method, the Agency receives no adjustments for redemption payments on delinquent collections. The Agency does receive supplemental taxes and refunds, if any, are deducted from amounts available for deposit to the Redevelopment Property Tax Trust Fund. There can be no assurance that the County Auditor-Controller will not change its policies with respect to delinquencies in property tax payments in the future.

Unitary Property. Assembly Bill 2890 (Statutes of 1986, Chapter 1457) provides that, commencing with fiscal year 1988-89, tax revenues derived from unitary property and assessed by the State Board of Equalization are accumulated in a single Tax Rate Area for the County. The tax revenues are then to be allocated to each taxing entity county-wide in accordance with AB 454 (Statutes of 1987, Chapter 921) which provides for the consolidation of all State-assessed property, except for regulated railroad property, into a single tax rate area in each county. Chapter 921 further provides for a new method of establishing tax rates on State-assessed property and distribution of property tax revenue derived from State-assessed property to taxing jurisdictions within each county in accordance with a new formula. Beginning in fiscal year 2007-08, unitary railroad values have been and continue to be reported on a countywide basis and allocated based on a formula pursuant to State law. State-assessed non-unitary values are reported at the local tax rate area level.

Unitary revenues are estimated at \$37,151 based on the County's calculation of such revenues in fiscal year 2015-16.

Supplemental Assessments. California Revenue and Taxation Code Section 75.70 provides for the supplemental assessment and taxation of property as of the occurrence of a change of ownership or completion of new construction. Before the enactment of this law, the assessment of such changes was permitted only as of the next tax lien date following the change, which delayed the realization of increased property taxes from the new assessments for up to 14 months. Revenue and Taxation Code Section 75.70 provides increased revenue to the Redevelopment Property Tax Trust Fund to the extent that supplemental assessments of new construction or changes of ownership occur within the boundaries of the Project Area subsequent to the January 1 lien date. To the extent that such supplemental assessments occur within the Project Area, Tax Revenues may increase. However, because supplemental assessments cannot be accurately projected, no provision has been made by the Redevelopment Consultant to reflect the impact of supplemental assessments on Tax Revenues shown in the projection tables set forth herein.

Property Tax Administrative Costs. In 1990, the Legislature enacted SB 2557 (Chapter 466, Statutes of 1990) which allows counties to charge for the cost of assessing, collecting and allocating property tax revenues to local government jurisdictions in proportion to the tax-derived revenues allocated to each. SB 1559 (Chapter 697, Statutes of 1992) explicitly includes redevelopment agencies among the jurisdictions which are subject to such charges.

In addition, Sections 34182(e) and 34183(a) of the Dissolution Act allow administrative costs of the County Auditor-Controller for the cost of administering the provisions of the Dissolution Act, as well as the foregoing SB 2557/SB 1559 amounts, to be deducted from property tax revenues before monies are deposited into the Redevelopment Property Tax Trust Fund available as Tax Revenues for payment of the Bonds. For Fiscal Year 2014-15, the County's administrative change to the Successor Agency for the Project Area was 2.3% of gross tax revenue received by the Successor Agency in such Fiscal Year.

Article XIII A of the State Constitution

On June 6, 1978, State voters approved an amendment (commonly known as Proposition 13 or the Jarvis-Gann Initiative) which added Article XIII A to the State Constitution. Article XIII A limits the amount of *ad valorem* taxes on real property to 1% of "full cash value" of such property, as determined by the county assessor. Article XIII A defines "full cash value" to mean "the county assessor's valuation of real property as shown on the State fiscal year 1975-76 tax bill under 'full cash value,' or, thereafter, the appraised value of real property when purchased, newly constructed, or a change in ownership has occurred after the 1975 assessment." Furthermore, the "full cash value" of all real property may be increased to reflect the rate of inflation, as shown by the consumer price index, not to exceed 2% per year, or may be reduced.

Article XIII A has subsequently been amended to permit reduction of the "full cash value" base in the event of declining property values caused by substantial damage, destruction or other factors, and to provide that there would be no increase in the "full cash value" base in the event of reconstruction of property damaged or destroyed in a disaster and in other special circumstances.

Article XIII A (a) exempts from the 1% tax limitation the taxes to pay debt service on: (a) indebtedness approved by the voters before July 1, 1978; or (b) bonded indebtedness for the acquisition or improvement of real property approved on or after July 1, 1978, by two-thirds of the votes cast by the voters voting on the proposition; (b) requires a vote of two-thirds of the qualified electorate to impose special taxes, or certain additional *ad valorem* taxes; and (c) requires the approval of two-thirds of all members of the State Legislature to change any State tax laws resulting in increased tax revenues.

The validity of Article XIII A has been upheld by both the State Supreme Court and the United States Supreme Court.

In the general election held on November 4, 1986, voters of the State approved two measures, Propositions 58 and 60, which further amended Article XIII A. Proposition 58 amended Article XIII A to provide that the terms "purchase" and "change of ownership," for the purposes of determining full cash value of property under Article XIII A, do not include the purchase or transfer of (a) real property between spouses; and (b) the principal residence and the first \$1,000,000 of other property between parents and children. This amendment to Article XIII A may reduce the rate of growth of local property tax revenues.

Proposition 60 amended Article XIII A to permit the State Legislature to allow persons over the age of 55 who sell their residence and buy or build another of equal or lesser value within two years in the same county to transfer the old residence assessed value to the new residence. As a result of the State Legislature's action, the growth of property tax revenues may decline.

Legislation enacted by the State Legislature to implement Article XIII A provides that all taxable property is shown at full assessed value as described above. In conformity with this procedure, all taxable property value included in this Official Statement is shown at 100% of assessed value and all general tax rates reflect the \$1 per \$100 of taxable value (except as noted). Tax rates for voter-approved bonded indebtedness and pension liabilities are also applied to 100% of assessed value.

Each year the State Board of Equalization announces the applicable adjustment factor. Since the adoption of Proposition 13, inflation has, in most years, exceeded 2% and the announced factor has reflected the 2% cap. The changes in the California Consumer Price Index from October of one year and October of the next year are used to determine the adjustment factor for the January assessment date. Through fiscal year 2010-11 there were six occasions when the inflation factor was less than 2%. Until fiscal year 2010-11 the annual adjustment never resulted in a reduction to the base year values of individual parcels; however, the factor that was applied to real property assessed values for the January 1, 2010 assessment date was -0.237% and this resulted in a reductions to the adjusted base year value of parcels. The table below reflects the inflation adjustment factors for the current fiscal year and 12 prior fiscal years. Although the State Board of Equalization has announced an inflation factor of 1.998% for fiscal year 2015-16, the projections of Tax Revenues in Table 9 herein assumes an annual growth factor of 1.525 percent for 2016-17 and 2% per year thereafter. See "THE PROJECT AREA - Projected Tax Revenues and Estimated Debt Service Coverage."

14-Year Summary of Inflation Adjustment Factors

<u>Fiscal Year</u>	<u>Base Year Value Change</u>
2003-04	2.000%
2004-05	1.867
2005-06	2.000
2006-07	2.000
2007-08	2.000
2008-09	2.000
2009-10	2.000
2010-11	(0.237)
2011-12	0.753
2012-13	2.000
2013-14	2.000
2014-15	0.454
2015-16	1.998
2016-17	1.525

Appropriations Limitation – Article XIII B

On November 6, 1979, State voters approved Proposition 4 (also known as the Gann Initiative), which added Article XIII B to the State Constitution. Article XIII B limits the annual appropriations of the State and its political subdivisions to the level of appropriations for the prior fiscal year, as adjusted for changes in the cost of living, population and services rendered by the government entity. The “base year” for establishing such appropriations limit is State fiscal year 1978-79, and the limit is to be adjusted annually to reflect changes in population, consumer prices and certain increases in the cost of services provided by these public agencies.

Section 33678 of the Redevelopment Law provides that the allocation of taxes to a redevelopment agency for the purpose of paying principal of, or interest on, loans, advances, or indebtedness is not deemed to be the receipt by an agency of proceeds of taxes levied by or on behalf of an agency within the meaning of Article XIII B, nor will such portion of taxes be deemed receipt of proceeds of taxes by, or an appropriation subject to the limitation of, any other public body within the meaning or for the purpose of the Constitution and laws of the State, including Section 33678 of the Redevelopment Law. The constitutionality of Section 33678 has been upheld in two State appellate court decisions. On the basis of these decisions, the Agency does not believe that it is subject to Article XIII B and has not adopted an appropriations limit.

Articles XIII C and XIII D of the State Constitution

At the election held on November 5, 1996, Proposition 218 was passed by the voters of the State. The initiative added Articles XIII C and XIII D to the State Constitution. Provisions in the two articles affect the ability of local government to raise revenues. The Bonds are secured by sources of revenues that are not subject to limitation by Proposition 218.

Proposition 87

In 1993, the State legislature passed AB 1290, Chapter 942, Statutes 1993, which, among other things, required redevelopment agencies to adopt time limits in each redevelopment plan specifying (a) the last date to incur debt for a redevelopment project; (b) the last date to undertake redevelopment activity within a project area; and (c) the last date to collect tax increment revenue from a project area to repay debt.

In 2001, the State Legislature enacted SB 211, Chapter 741, Statutes 2001, effective January 1, 2002 (“**SB 211**”), which authorized, among other things, the deletion of the AB 1290 limitation on incurring indebtedness contained in a redevelopment plan adopted before January 1, 1994. SB 211 also prescribed additional requirements that a redevelopment agency would have to meet upon extending the time limit on the effectiveness of a redevelopment plan, including requiring an increased percentage of new and substantially rehabilitated dwelling units to be available at affordable housing cost to persons and families of low or moderate income before the termination of the effectiveness of the plan.

The various amendments adopted by the City and the Former Agency included changes to the financial and time limits of the Project Area, however under SB 107 enacted in September 2015 the applicability of plan limits have been eliminated; as such, all provisions of the Redevelopment Law that depend on the allocation of tax increment to redevelopment agencies will become inoperative commencing January 1, 2016, and it provides further that, solely for the purposes of the payment of enforceable obligations under the Dissolution Act, the limitations relating to time, number of tax dollars or any other matters no longer apply to successor agencies.

Future Initiatives

Article XIII A, Article XIII B, Article XIII C and Article XIII D and certain other propositions affecting property tax levies were each adopted as measures which qualified for the ballot pursuant to California's initiative process. From time to time other initiative measures could be adopted, further affecting Successor Agency revenues or the Successor Agency's ability to expend revenues.

Appeals of Assessed Values

Under State law, a property owner may apply for a reduction of the property tax assessment for such owner's property by filing a written application, in a form prescribed by the State Board of Equalization, with the appropriate county board of equalization or assessment appeals board.

In the County, a property owner desiring to reduce the assessed value of such owner's property in any one year must submit an application to the County Assessment Appeals Board (the "**Appeals Board**"). Applications for any tax year must be submitted by November 30 of such tax year. Following a review of each application by the staff of the County Assessor's Office, the staff makes a recommendation to the Appeals Board on each application which has not been rejected for incompleteness or untimeliness or withdrawn. The Appeals Board holds a hearing and either reduces or confirms the assessment. The Appeals Board generally is required to determine the outcome of appeals within two years of each appeal's filing date. Any reduction in the assessment ultimately granted applies only to the year for which application is made and during which the written application is filed. The assessed value increases to its pre-reduction level for Fiscal Years following the year for which the reduction application is filed. However, if the taxpayer establishes through proof of comparable values that the property continues to be overvalued (known as "ongoing hardship"), the Assessor has the power to grant a reduction not only for the year for which application was originally made, but also for the then-current year as well. Appeals for reduction in the "base year" value of an assessment, which generally must be made within three years of the date of change in ownership or completion of new construction that determined the base year, if successful, reduce the assessment for the year in which the appeal is taken and prospectively thereafter. Moreover, in the case of any reduction in any one year of assessed value granted for "ongoing hardship" in the then-current year, and also in any cases involving stipulated appeals for prior years relating to base year and personal property assessments, the property tax revenues from which Tax Revenues are derived attributable to such properties will be reduced in the then-current year. In practice, such a reduced assessment may remain in effect beyond the year in which it is granted.

Proposition 8 Reductions. Proposition 8, approved in 1978 (California Revenue and Taxation Code Section 51(b)), provides for the assessment of real property at the lesser of its originally determined (base year) full cash value compounded annually by the inflation factor, or its full cash value as of the lien date, taking into account reductions in value due to damage, destruction, obsolescence or other factors causing a decline in market value. Reductions under this code section may be initiated by the County Assessor or requested by the property owner.

In most cases, the appeal is filed because the applicant believes that present market conditions (such as residential home prices) cause the property to be worth less than its current assessed value. These market-driven appeals are known as Proposition 8 appeals. Under this section of the code, the value of property can be reduced due to damage, destruction, removal of property or other factors that cause a decline in value.

Any county may, on its own initiative, also process temporary assessed value reductions for certain properties (where the assessed values exceeded the market value of properties as of the January 1 lien date) without prompting from individual taxpayers. Typically, the properties to be reviewed by the various counties for these "automatic" reductions were single-family homes and condominiums which transferred ownership between 2003 and 2010. These reductions were triggered because residential property values decreased in many areas of the State through the 2012-13 fiscal year. Between 2008-09 and 2012-13 the County made across the board reductions pursuant to Proposition 8 to residential property that reduced value significantly, however reversals of some of the reductions have occurred since then. See "THE PROJECT AREA - "Appeals of Assessed Values; Proposition 8 Reductions."

Any reduction in the assessed value ultimately granted as a Proposition 8 appeal applies to the year for which application is made and during which the written application was filed. These reductions are often temporary and are adjusted back to their original values when market conditions improve. Once the property has regained its prior value, adjusted for inflation, it once again is subject to the annual inflationary factor growth rate allowed under Article XIII A. See also "RISK FACTORS – Reduction in Inflationary Rate."

Base Year Appeals. A second type of assessment appeal is called a Base Year appeal, where the property owners challenge the original (basis) value of their property. Appeals for reduction in the "base year" value of an assessment, if successful, reduce the assessment for the year in which the appeal is taken and prospectively thereafter. The base year is determined by the completion date of new construction or the date of change of ownership. Any base year appeal must be made within four years of the change of ownership or new construction date.

Low and Moderate Income Housing

Before the Dissolution Act, the Redevelopment Law required the Former Agency to set aside not less than 20% of all tax increment generated in the Project Area into a low and moderate income housing fund to be used for the purpose of increasing, improving and/or preserving the supply of low and moderate income housing. These tax increment revenues were commonly referred to as "**Housing Set-Aside.**"

The Dissolution Act eliminated the Housing Set-Aside requirement. As a result, the amount of tax increment that would have been the former Housing Set-Aside is available to pay debt service on the Bonds. Accordingly, the projection of Tax Revenues set forth in the section of this Official Statement entitled "PROJECTED TAX REVENUES AND DEBT SERVICE COVERAGE" assumes the availability of the former Housing Set-Aside for payment of the Bonds.

Adjustments to Revenue

State law allows counties to charge taxing entities, including redevelopment agencies, for the cost of administering the property tax collection system. In addition, the Dissolution Act allows counties to recover their costs in implementing the redevelopment Dissolution Act.

Tax Sharing Payments. The Redevelopment Law authorized the Former Agency to enter into agreements with taxing agencies whose territory was located within the Project Area, whereby the Former Agency would pay tax increment revenues to such taxing agencies to alleviate the financial burden or detriment caused by the redevelopment project. Additionally, Sections 33607.5 and 33607.7 of the Redevelopment Law require successor agencies, under certain circumstances, to make statutory pass-through payments to taxing agencies whose

territory is located within the Project Area, to alleviate the financial burden or detriment caused by the redevelopment project. See "THE PROJECT AREA - Tax Sharing Payments" below.

THE DISSOLUTION ACT

The Dissolution Act requires the County Auditor-Controller to determine the amount of property taxes that would have been allocated to the Former Agency (pursuant to subdivision (b) of Section 16 of Article XVI of the State Constitution) had the Former Agency not been dissolved pursuant to the operation of AB 1X 26, using current assessed values on the last equalized roll on August 20, and to deposit that amount in the Redevelopment Property Tax Trust Fund for the Successor Agency established and held by the County Auditor-Controller pursuant to the Dissolution Act.

The Dissolution Act provides that any bonds authorized thereunder to be issued by the Successor Agency will be considered indebtedness incurred by the Former Agency, with the same lien priority and legal effect as if the bonds had been issued prior to the effective date of AB 1X 26, in full conformity with the applicable provisions of the Redevelopment Law that existed prior to that date, and will be included in the Successor Agency's Recognized Obligation Payment Schedule (see "SECURITY FOR THE BONDS – Recognized Obligation Payment Schedules").

The Dissolution Act further provides that bonds authorized by the Dissolution Act to be issued by the Successor Agency will be secured by a pledge of, and lien on, and will be repaid from moneys deposited from time to time in the Redevelopment Property Tax Trust Fund, and that property tax revenues pledged to any bonds authorized to be issued by the Successor Agency under the Dissolution Act, including the Bonds, are taxes allocated to the Successor Agency pursuant to subdivision (b) of Section 33670 of the Redevelopment Law and Section 16 of Article XVI of the State Constitution.

Pursuant to subdivision (b) of Section 33670 of the Redevelopment Law and Section 16 of Article XVI of the State Constitution and as provided in the Redevelopment Plan for the project area, taxes levied upon taxable property in the Project Area each year by or for the benefit of the State, any city, county, city and county, district, or other public corporation (herein sometimes collectively called "**taxing agencies**") after the effective date of the ordinance approving the applicable Redevelopment Plan, or the respective effective dates of ordinances approving amendments to the applicable Redevelopment Plan that added territory to the Project Area, as applicable, are to be divided as follows:

(a) To Taxing Agencies: That portion of the taxes which would be produced by the rate upon which the tax is levied each year by or for each of the taxing agencies upon the total sum of the assessed value of the taxable property in the Project Area as shown upon the assessment roll used in connection with the taxation of such property by such taxing agency last equalized prior to the effective date of the ordinance adopting the applicable Redevelopment Plan, or the respective effective dates of ordinances approving amendments to the applicable Redevelopment Plan that added territory to the Project Area, as applicable (each, a "**base year valuation**"), will be allocated to, and when collected will be paid into, the funds of the respective taxing agencies as taxes by or for the taxing agencies on all other property are paid; and

(b) To the Former Agency/Successor Agency: Except for that portion of the taxes in excess of the amount identified in (a) above which are attributable to a tax rate levied by a taxing agency for the purpose of producing revenues in an amount sufficient to make annual repayments of the principal of, and the interest on, any bonded indebtedness approved by the voters of the taxing agency on or after January 1, 1989 for the acquisition or improvement of real property, which portion shall be allocated to, and when collected shall be paid into, the fund of that taxing agency, that portion of the levied taxes each year in excess of such amount, annually allocated within limitations established by the applicable Redevelopment Plan, following the date of issuance of the Bonds, when collected will be paid into a special fund of the Successor Agency. Section 34172 of the Dissolution Act provides that, for purposes of Section 16 of Article XVI of the State Constitution, the Redevelopment Property Tax Trust Fund shall be deemed to be a special fund of the Successor Agency to pay the debt service on indebtedness incurred by the Former Agency or the Successor Agency to finance or refinance the redevelopment projects of the Former Agency.

That portion of the levied taxes described in paragraph (b) above, less amounts deducted pursuant to Section 34183(a) of the Dissolution Act for permitted administrative costs of the County Auditor-Controller, constitute the amounts required under the Dissolution Act to be deposited by the County Auditor-Controller into the Redevelopment Property Tax Trust Fund. In addition, Section 34183 of the Dissolution Act effectively eliminates the January 1, 1989 date from paragraph (b) above.

SECURITY FOR THE BONDS

Limited Obligation

The Bonds are limited obligations of the Agency secured by and payable from a pledge of, and lien on, and repaid from certain incremental property tax revenues (the "**Tax Revenues**," as defined below) deposited with respect to the Project Area from time to time in the Redevelopment Property Tax Trust Fund described herein and held by the County Auditor-Controller. See "- Tax Revenues" below.

The Bonds are not a debt of the City, the County, the State or any of their political subdivisions except the Successor Agency, and none of the City, the County, the State or any of their political subdivisions except the Successor Agency are liable therefor. The Bonds do not constitute an indebtedness within the meaning of any constitutional or statutory debt limitation or restriction. No member of the Successor Agency, the Oversight Board or the Board of Supervisors of the County shall be individually or personally liable for the payment of the principal of or interest or redemption premium, if any, on the Bonds.

Pledge of Tax Revenues

Tax Revenues are defined in the Indenture using pre-Dissolution Act terminology, but remain moneys to be derived from the Project Area as described herein, all of the moneys in the Special Fund (established under the Indenture) and now held as a subaccount of the Redevelopment Obligation Retirement Fund, and all of the moneys in the Debt Service Fund (including the Interest Account, the Principal Account, the Reserve Account, and the Redemption Account therein) established and held by the Trustee under the Indenture, all as more particularly described below and more fully set forth in the Indenture, and subject to the rights of any insurer of Parity Debt. The RPTTF, or tax increment revenues, continue to be calculated by first subtracting the base year value of a project area from the current year taxable value in order to determine the incremental taxable value of the project area. The one percent tax rate is then applied to the incremental taxable value in order to determine tax increment revenues. Taxes levied on the property within the Project Area on that portion of the taxable valuation over and above the taxable valuation of the applicable base year property tax roll with respect to the various territories within the Project Area, to the extent they constitute Tax Revenues, as described herein and now subject to the Dissolution Act, will be deposited in the Redevelopment Property Tax Trust Fund for transfer by the County Auditor-Controller to the Successor Agency's Redevelopment Obligation Retirement Fund on January 2 and June 1 of each year to the extent required for payments listed on the Successor Agency's approved Recognized Obligation Payment Schedule in accordance with the requirements of the Dissolution Act. See "Recognized Obligation Payment Schedules" below.

Section 34177.5(g) of the Dissolution Act provides that bonds, such as the Bonds and the 2006 Bonds:

"...shall be considered indebtedness incurred by the dissolved redevelopment agency, with the same legal effect as if the bonds had been issued, incurred, or entered into prior to June 29, 2011, in full conformity with the applicable provisions of the [Redevelopment Law] that existed prior to that date...and shall be secured by a pledge of and lien on, and shall be repaid from moneys deposited from time to time in the Redevelopment Property Tax Trust Fund..."

On a parity basis with the 2014 Bonds, the Bonds are payable from and secured by the pledge described above, subject to the terms of the Indenture, including any rights of an insurer of Parity Debt.

The Bonds, interest thereon and premium, if any, are not a debt of the City, the State of California or any political subdivision thereof (other than the Successor Agency), and neither the City, the State nor any political subdivision thereof (other than the Successor Agency) is liable thereon.

Under the Indenture, the term "Tax Revenues" was defined using pre-Dissolution Act terminology, as follows:

"... all taxes pledged and annually allocated within the Plan Limit, following the Closing Date, and paid to the Agency with respect to the Project Area pursuant to Article 6 of Chapter 6 (commencing with Section 33670) of the Law and Section 16 of Article XVI of the Constitution of the State, or pursuant to other applicable State laws, and as provided in the Redevelopment Plan, and all payments, subventions and reimbursements, if any, to the Agency specifically attributable to ad valorem taxes lost by reason of tax exemptions and tax rate limitations, and including that portion of such taxes otherwise required by Section 33334.2 of the Law to be deposited in the Low and Moderate Income Housing Fund, but only to the extent necessary to repay that portion of the Bonds and that portion of any Parity Debt (including applicable reserves and financing costs) issued to finance or refinance amounts deposited in the Low and Moderate Income Housing Fund for use pursuant to Section 33334.2 of the Law to increase or improve the supply of low and moderate income housing within or of benefit to the Project Area; but excluding all other amounts of such taxes (if any) (i) required to be deposited into the Low and Moderate Income Housing Fund of the Agency pursuant to Section 33334.2 of the Law for increasing and improving the supply of low and moderate income housing, (ii) amounts payable by the State to the Agency under and pursuant to Chapter 1.5 of Part 1 of Division 4 of Title 2 (commencing with Section 16110) of the California Government Code, (iii) amounts payable by the Agency pursuant to Sections 33607.5 and 33607.7 of the Law, except and to the extent that any amounts so payable are payable on a basis subordinate to the payment of debt service on the Bonds, including any Parity Debt, and (iv) amounts payable pursuant to the Pass-Through Agreements, except and to the extent that any amounts so payable have been subordinated to the payment of debt service on the Bonds, including any Parity Debt."

Payments to taxing entities under Tax Sharing Statutes have been not been subordinated to the obligation to pay the 2014 Bonds or the Bonds. See "Statutory Tax Sharing" below.

Statutory Tax Sharing. The Redevelopment Law authorized redevelopment agencies to make payments to school districts and other taxing agencies to alleviate any financial burden or detriments to such taxing agencies caused by a redevelopment project.

Additionally, Sections 33607.5 and 33607.7 of the Redevelopment Law required mandatory tax sharing applicable to redevelopment projects adopted after January 1, 1994, or amended thereafter in certain manners specified in such statutes (the "**Statutory Tax-Sharing Payments**").

The Dissolution Act requires the county auditor-controller to distribute from the redevelopment property tax trust fund amounts required to be distributed and for statutory pass-through amounts to the taxing entities for each six-month period before amounts are distributed by the County Auditor-Controller from the redevelopment property tax trust fund to the Redevelopment Obligation Retirement Fund of the successor agency on each January 2 and June 1, unless (i) pass-through payment obligations have previously been made subordinate to debt service payments for the bonded indebtedness of the former agency, as succeeded by the successor agency, (ii) the successor agency has reported, no later than the March 1 and May 1 preceding the January 2 or June 1 distribution date, that the total amount available to the successor agency from the redevelopment property tax trust fund allocation to the redevelopment obligation retirement fund of the successor agency, from other funds transferred from the former redevelopment agency, and from funds that have or will become available through asset sales and all redevelopment operations is insufficient to fund the enforceable obligations, pass-through payments of the successor agency, and the administrative cost allowance of the successor agency for the applicable six-month period, and (iii) the State Controller has concurred with the successor agency that there are insufficient funds for such purposes for the applicable six-month period.

Beginning in fiscal year 2010-11, the Agency obligation to make statutory payments to those affected taxing entities that do not have a negotiated tax sharing agreement was triggered. These payments are required because the time limitation for the incurrence of debt was deleted pursuant to Ordinance No. 4211 of the Former Agency. Payments are only to be due from increases in assessed value above levels in fiscal year 2009-10 (the "AB 1290 AV Base"), when the debt incurrence limit would have been reached for the Project Area. As of the fiscal year 2015-16, the assessed values are below the fiscal year 2009-10 AB 1290 AV Base, and thus no payments are currently due from the Project Area. Such tax sharing payments, when amounts are owed, will be owed only to those taxing entities that do not have a pass through agreement for the Project Area. The payments are based on a three tier formula. For further information, see "APPENDIX B - FISCAL CONSULTANT'S REPORT."

The Dissolution Act provides for a procedure by which the successor agency may make Statutory Tax-Sharing Payments subordinate to the Bonds, however, the Successor Agency has determined not to undertake such procedure, and therefore, the Statutory Tax-Sharing Payments, if and when they become payable, are not subordinate to the Bonds or the 2006 Bonds. See "THE PROJECT AREA - Statutory Tax Sharing." See also APPENDIX B - FISCAL CONSULTANT'S REPORT."

Reserve Account

On the date of issuance, the amount in the Trustee will establish a Reserve Account for the Bonds in the amount of the **"Reserve Requirement"** which is, as of the date of calculation by the Successor Agency, the lesser of (i) the amount of Maximum Annual Debt Service on the Bonds, (ii) ten percent (10%) of the total of the proceeds of the Bonds, or (iii) one hundred and twenty five percent (125%) of average Annual Debt Service on the Bonds; provided, that in no event shall the Successor Agency, in connection with the issuance of any bonds, be obligated to deposit an amount in the Reserve Account which is in excess of the amount permitted by the applicable provisions of the Code to be so deposited from the proceeds of tax exempt bonds. **"Maximum Annual Debt Service"** means, as of the date of calculation, the largest Annual Debt Service for the current or any future Bond Year on the Bonds. **"Annual Debt Service"** means, for each Bond Year, the sum of (a) the interest payable on the Outstanding Bonds in such Bond Year, assuming that the Outstanding Bonds are retired as scheduled, and (b) the principal amount of the Outstanding Bonds payable by their terms in such Bond Year, and (c)

the principal amount of the Outstanding portion of any Bonds payable from mandatory Sinking Account payments and scheduled to be paid or redeemed from mandatory Sinking Account payments in such Bond Year.

A Reserve Account may be satisfied with the acquisition of a financial instrument meeting the requirements of a "Qualified Reserve Account Credit Instrument". **"Qualified Reserve Account Credit Instrument"** is defined to mean an irrevocable standby or direct-pay letter of credit or surety bond issued by a commercial bank or insurance company and deposited with the Trustee, provided that all of the following requirements are met at the time of acceptance thereof by the Trustee: (a) the long-term credit rating of such bank or claims paying ability of such insurance company is in the AA category from S&P or, if rated by A.M. Best & Company, is rated in the highest rating category by A.M. Best & Company; (b) such letter of credit or surety bond has a term of at least 12 months; (c) such letter of credit or surety bond has a stated amount at least equal to the portion of the Reserve Requirement with respect to which funds are proposed to be released; and (d) the Trustee is authorized pursuant to the terms of such letter of credit or surety bond to draw thereunder an amount equal to any deficiencies which may exist from time to time in the Interest Account or the Principal Account for the purpose of making payments required pursuant to the Indenture.

The Reserve Requirement for the 2014 Bonds was met with a reserve fund surety bond (the **"Surety Bond"**) provided by Assured Guaranty Municipal Corp. [[The Reserve Requirement for the Bonds will be initially met with a Municipal Bond Debt Service Reserve Insurance Policy (the **"Reserve Policy"**) to be provided by _____.]] At the time of issuance of the Bonds, the Reserve Requirement for to the Bonds is \$_____.

In the event that the amount on deposit in a Reserve Account at any time becomes less than the related Reserve Requirement, the Trustee is required to notify the Successor Agency of such fact and the Agency is required to transfer to the Trustee an amount sufficient to maintain the Reserve Requirement on deposit in the Reserve Account. If there shall then not be sufficient Tax Revenues to transfer an amount sufficient to maintain the Reserve Requirement on deposit in the Reserve Account, the Successor Agency shall be obligated to continue making transfers as Tax Revenues become available until there is an amount sufficient to maintain the Reserve Requirement on deposit in the Reserve Account. No such transfer and deposit need be made to the Reserve Account so long as there shall be on deposit therein a sum at least equal to the Reserve Requirement.

Additional Debt

Future Parity Debt. The Indenture allows for the issuance of other loans, advances, or indebtedness payable from Tax Revenues on parity with the Bonds and 2014 Bonds; however the Successor Agency has no enforceable obligations outstanding which require the issuance of bonds and due to limitations imposed by the Dissolution Act it is unlikely any new obligations other than refunding obligations would be approved by the Oversight Board and Department of Finance.

Refunding Obligations. The Successor Agency may issue or incur other loans, advances, or indebtedness payable from Tax Revenues to refund other Former Agency obligations, provided that (a) the Successor Agency complies with the requirements of Health and Safety Code section 34177.5 and (b) if parity obligations will remain outstanding, the Successor Agency complies with the provisions of the Indenture regarding the issuance of Parity Debt (as defined in the Indenture).

Limited Obligation

The Bonds are not a debt of the City, the County, the State or any of their political subdivisions except the Successor Agency, and none of the City, the County, the State or any of their political subdivisions except the Successor Agency are liable therefor. The Bonds do not constitute indebtedness within the meaning of any constitutional or statutory debt limitation or restriction. No member of the Successor Agency, the Roseville City Council, the Oversight Board or the Board of Supervisors of the County shall be individually or personally liable for the payment of the principal of or interest or redemption premium (if any) on the Bonds; but nothing contained in the Indenture relieves any such member, officer, agent or employee from the performance of any official duty provided by law.

Recognized Obligation Payment Schedules

Submission of Recognized Obligation Payment Schedule. When initially enacted, the Dissolution Act required that not less than 90 days prior to each January 2 and June 1 successor agencies prepare and submit to the successor agency's oversight board and the DOF for approval, a Recognized Obligation Payment Schedule (the "**Recognized Obligation Payment Schedule** or "**ROPS**") pursuant to which enforceable obligations (as defined in the Dissolution Act) of the successor agency are listed, together with the source of funds to be used to pay for each enforceable obligation. On September 22, 2015, the Governor of the State signed into law legislation adopted by the Legislature in Senate Bill 107 ("**SB 107**"), which requires the preparation of a ROPS process once each year (rather than twice each year under the former law) beginning with the annual ROPS period that commences on July 1, 2016. SB 107 alternatively allows, subject to meeting certain conditions, an optional "Last and Final Recognized Obligation Payment Schedule" to be submitted on or after January 1, 2016. The Last and Final ROPS is binding on all parties and the successor agency would no longer submit a periodic ROPS. Under that procedure, the county auditor-controller remits the authorized funds to the successor agency in accordance with the approved Last and Final ROPS until each remaining enforceable obligation has been fully paid. SB 107 provides that a Last and Final ROPS can only be amended twice, and only with DOF and county auditor-controller approval.

In order to ensure that amounts are available for the Trustee to pay Annual Debt Service on all Outstanding Bonds and all amounts due and owing to a bond insurer on a timely basis, not later than February 1, of each year (or such other time as may be required by the Dissolution Act), commencing February 1, 2016, the Successor Agency shall submit an Oversight Board-approved Recognized Obligation Payment Schedule to the State Department of Finance and to the San Joaquin County Auditor-Controller that shall include:

(i) all of the debt service due on all Outstanding Bonds on February 1 and August 1 of the Bond Year ending on August 1 of the next calendar year, which amount shall be distributed in full to the Successor Agency on January 2 of such year, and

(ii) any amount required to cure any deficiency in the Reserve Account pursuant to the Indenture (including any amounts required due to a draw on a Qualified Reserve Account Credit Instrument as well as all amounts due and owing to the a bond insurer under the Indenture).

In addition to the amounts described in clauses (i) and (ii) of the previous paragraph, if the amount of Tax Revenues distributed to the Successor Agency on January 2 in any year is less than the sum of the amounts specified in clauses (i) and (ii) of the previous paragraph, then not later than February 1 of such year (or on such other date as may be required by the

Dissolution Act), the Successor Agency shall submit an Oversight Board-approved Recognized Obligation Payment Schedule to the State Department of Finance and to the San Joaquin County Auditor-Controller that shall include the balance due to the Successor Agency, which amount shall be distributed in full to the Successor Agency on June 1 of such year.

In the event the provisions set forth in the Dissolution Act as of the Closing Date of the Bonds that relate to the filing of Recognized Obligation Payment Schedules are amended or modified in any manner, the Successor Agency covenants that it shall use commercially reasonable efforts to take all actions necessary to ensure the Agency receives on January 2 of each year from moneys deposited into the Redevelopment Property Tax Trust Fund, sufficient Tax Revenues to pay all Annual Debt Service due on all Outstanding Bonds during such Bond Year.

The Successor Agency has no power to levy and collect taxes, and various factors beyond its control could affect the amount of Tax Revenues available to pay the principal of and interest on the Bonds when due (see "RISK FACTORS").

The Successor Agency reports that it timely submitted and received approval on all the ROPS filings required to date.

Payment of Amounts Listed on the Recognized Obligation Payment Schedule. As defined in the Dissolution Act, "enforceable obligation" includes bonds, including the required debt service, reserve set-asides, and any other payments required under the indenture or similar documents governing the issuance of the outstanding bonds of the former redevelopment agency or the successor agency, as well as other obligations such as loans, judgments or settlements against the former redevelopment agency or the successor agency, any legally binding and enforceable agreement that is not otherwise void as violating the debt limit or public policy, contracts necessary for the administration or operation of the successor agency, and, under certain circumstances, amounts borrowed from the successor agency's low and moderate income housing fund.

A reserve may be included on the Recognized Obligation Payment Schedule and held by the successor agency when required by a bond indenture or when the next property tax allocation will be insufficient to pay all obligations due under the provisions of the bonds for the next payment due in the following half of the calendar year.

Sources of Payments for Enforceable Obligations. Under the Dissolution Act, the categories of sources of payments for enforceable obligations listed on a Recognized Obligation Payment Schedule are the following: (i) the low and moderate income housing fund, (ii) bond proceeds, (iii) reserve balances, (iv) administrative cost allowance (successor agencies are entitled to receive not less than \$250,000, unless that amount is reduced by the oversight board), (v) the Redevelopment Property Tax Trust Fund (but only to the extent no other funding source is available or when payment from property tax revenues is required by an enforceable obligation or otherwise required under the Dissolution Act), or (vi) other revenue sources (including rents, concessions, asset sale proceeds, interest earnings, and any other revenues derived from the redevelopment agency, as approved by the oversight board).

The Dissolution Act provides that only those payments listed in the Recognized Obligation Payment Schedule may be made by a successor agency and only from the funds specified in the Recognized Obligation Payment Schedule.

Order of Priority of Distributions from Redevelopment Property Tax Trust Fund. Typically, under the Redevelopment Property Tax Trust Fund distribution provisions of the

Dissolution Act, a county auditor-controller is to distribute funds for each six-month period in the following order specified in Section 34183 of the Dissolution Act:

(i) first, subject to certain adjustments for subordinations to the extent permitted under the Dissolution Act (if any, as described above under "TAX INCREMENT FINANCING GENERALLY - Adjustment to Revenue - Statutory Pass-Through Payments") and no later than each January 2 and June 1, to each local successor agency and school entity, to the extent applicable, amounts required for pass-through payments such entity would have received under provisions of the Redevelopment Law, as those provisions read on January 1, 2011, including negotiated pass-through agreements and statutory pass-through obligations (note however, the such pass-through payments have been made subordinate to debt service on the Bonds);

(ii) second, on each January 2 and June 1, to the successor agency for payments listed in its Recognized Obligation Payment Schedule, with debt service payments scheduled to be made for tax allocation bonds having the highest priority over payments scheduled for other debts and obligations listed on the Recognized Obligation Payment Schedule;

(iii) third, on each January 2 and June 1, to the successor agency for the administrative cost allowance, as defined in the Dissolution Act; and

(iv) fourth, on each January 2 and June 1, to taxing entities any moneys remaining in the Redevelopment Property Tax Trust Fund after the payments and transfers authorized by clauses (i) through (iii), in an amount proportionate to such taxing entity's share of property tax revenues in the tax rate area in that fiscal year (without giving effect to any pass-through obligations that were established under the Redevelopment Law).

Failure to Submit a Recognized Obligation Payment Schedule. The Recognized Obligation Payment Schedule must be approved by the oversight board and must be submitted by a successor agency to the county administrative office, the county auditor-controller, the DOF, and the State Controller by each February 1. If the successor agency does not submit a Recognized Obligation Payment Schedule by the applicable deadline, the city or county that established the former redevelopment agency will be subject to a civil penalty equal to \$10,000 per day for every day the schedule is not submitted to the DOF. For additional information regarding procedures under the Dissolution Act relating to late Recognized Obligation Payment Schedules and implications thereof on the Bonds, see "RISK FACTORS – Recognized Obligation Payment Schedule."

MUNICIPAL BOND INSURANCE

[To come if applicable]

THE SUCCESSOR AGENCY

The Dissolution Act dissolved the Former Agency as of February 1, 2012. Pursuant to Section 34173 of the Dissolution Act, on January 9, 2012, the City Council elected for the City to serve as the Successor Agency to the Former Agency upon the dissolution of the Former Agency. Subdivision (g) of Section 34173 of the Dissolution Act, added by AB 1484, expressly affirms that the Successor Agency is a separate public entity from the City, that the two entities shall not merge, and that the liabilities of the Former Agency will not be transferred to the City nor will the assets of the Former Agency become assets of the City.

Under AB X1 26 the Successor Agency is obligated to perform certain powers and duties, including but not limited to, making payments and performing obligations required by enforceable obligations and expeditiously winding down the affairs of the Former Agency.

AB X1 26 also requires that there shall be an oversight board ("**Oversight Board**") established for each of the former California redevelopment agency's successor agencies. The Oversight Board supervises the activities of the Successor Agency and the wind down of the dissolved redevelopment agency's affairs pursuant to AB X1 26. It approves certain actions of the Successor Agency and provides direction to the Successor Agency. It has a fiduciary responsibility to holders of enforceable obligations and taxing entities that benefit from the distributions of property tax and other revenues of the Successor Agency. Under the Dissolution Act, substantially all Successor Agency actions are subject to approval by the Oversight Board, as well as review by the Department of Finance. California has strict laws regarding public meetings (known as the Ralph M. Brown Act), which generally make all Successor Agency and Oversight Board meetings open to the public in similar manner as City Council meetings.

THE PROJECT AREA

Fiscal Consultant

In connection with the issuance of the Bonds, the Successor Agency has engaged Fraser & Associates, Roseville, California (the "Fiscal Consultant") to prepare a Fiscal Consultant Report dated _____ 2016. See "APPENDIX B – FISCAL CONSULTANT'S REPORT".

Map of the Project Area

The following map shows the boundaries of the Project Area.

[INSERT PROJECT AREA MAP]

The Redevelopment Plan

The Redevelopment Plan for the Project Area was originally adopted on October 18, 1989 by Ordinance No. 2274. The Project Area was amended in September 1994 by Ordinance No. 2814 and established certain time and financial limits as required under the Redevelopment Law. The Project Area was amended a second time on April 20, 2005 by Ordinance No. 4211. SB 107 has clarified that statutorily required tax increment limits, and financial time limits, no longer apply to successor agencies for purposes of repaying enforceable obligations.

The Project Area

General. The Project Area consists of approximately 1,619 acres and encompasses the City's traditional downtown area, along with other commercial and industrial uses, and some pockets of residential use areas. Approximately 60.9% of the assessed value in the Project Area represents commercial uses.

The table below summarizes land use, as shown on the County Assessor's tax roll, within the Project Area for Fiscal Year 2015-16.

TABLE 4
SUCCESSOR AGENCY TO THE REDEVELOPMENT AGENCY
OF THE CITY OF ROSEVILLE
Roseville Redevelopment Project Area
Land Use Category Summary- 2015-16 Fiscal Year

Total Project Area	<u>Parcels</u>	<u>Taxable Value</u>	<u>% of Total</u>
Residential	593	\$131,914,911	17.89%
Commercial	287	449,017,513	60.89
Industrial	126	53,464,430	7.25
Vacant Land	212	37,504,826	5.09
Other	177	7,585,294	1.03
Total Secured	1,395	679,486,974	92.14
Unsecured / State Assessed		57,980,852	7.86
Grand Total		\$737,467,826	100.00%

Source: Fraser & Associates

Property Tax Allocation Procedures

Tax Revenues to be used for payment of the Bonds are generated from increases in the total assessed value above the base year value. See "SECURITY FOR THE BONDS – Tax Revenues." The method by which a county allocates property taxes and tax increment revenues can have a significant impact on the receipt of such revenues. Placer County calculates former tax increment to project areas by applying the current year 1% tax rate to incremental taxable values. The County also allocates unitary revenue on the basis of the total unitary revenue in a project area, without reductions for base year revenues. The allocation of unitary revenue is based on revenues received in 1987-88, adjusted by the actual growth or decline in unitary revenues on a countywide basis. Successor agencies also receive an allocation of supplemental property taxes. Supplemental taxes are a result of new construction or changes of ownership after the January 1 lien date.

Tax increment generated from the secured tax roll is allocated based on 100% of the

County calculated levy. The method is often referred to as the "Teeter Plan." Under the Teeter Plan, taxing entities and redevelopment projects are shielded from the impact of delinquent property taxes. The County does adjust secured tax increment payments for roll corrections, such as refunds of property taxes due to successfully appealed assessments. Tax increment generated from the application of the one percent tax rate to the unsecured incremental value of a project area is based on the actual collections of unsecured revenues on a county-wide basis.

Assessed Valuation

Assembly Bill 8 ("**AB8**") provided procedures for an equitable allocation of 1% property taxes that would change in proportion with the increase or decrease of assessed values. The basic premise of AB8 is to allocate to each taxing jurisdiction the amount it received in the prior year, plus a share of the change that has occurred in the current year within its boundaries. Tax increment generated from the tax roll is allocated based on the Project Area's AB8 apportionment factor applied to the actual collections of county-wide property tax revenues. Given this, the Successor Agency's receipt of tax increment revenues can be reduced by delinquent property taxes. The Project Area receives a share of prior year delinquent property taxes when they are paid, along with penalties and interest revenue that are due on delinquent taxes. The County also adjusts tax increment payments for roll corrections, such as refunds of property taxes due to successfully appealed assessments, based on the Project Area's AB8 factor.

Project Area Value Trends

Taxable values have decreased from \$744.5 million in 2009-10 to \$737.5 million in 2015-16. The total percentage change was -0.94% over the period. The average annual percentage change in values was -0.16%.

Secured values dropped between 2009-10 and 2012-13 by a total of \$93 million. The largest reason for the drop was due to assessment appeals that were granted to property owners or Proposition 8 reductions. In 2013-14, secured values increased by \$18.3 million, largely due to increases in non-residential property. A portion of the non-residential Proposition 8 reductions were reversed. Residential Proposition 8 reversals, and the sales of residential property, also contributed to the increase. Secured values dropped in 2014-15 by approximately \$8 million. This was expected based on the resolution of several appeals that reduced value. In 2015-16, secured values increased by over \$70 million, in large part due to continued reversals of Proposition 8 reductions and the completion of a new apartment complex. The chart below shows the major reasons for the changes in value during the period.

Unsecured values increased in 2013-14 by \$13 million. Almost half of the increase was attributable to additional unsecured value for one car dealer at the auto-mall. In 2014-15, most of the increase from that owner was reversed, which could indicate either an error was made on the assessment roll or a major piece of equipment was located on the site for only a temporary period of time.

The following table shows the historical taxable values of the Project Area over the past seven years. Taxable values have declined from fiscal year 2009-10 to fiscal year 2014-15 and increased by 11.41% from 2014-15 to 2015-16. The average annual percentage change in values was 0.16%.

TABLE 5
SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY
OF THE CITY OF ROSEVILLE
Roseville Redevelopment Project Area
Historic Assessed Value and Incremental Value

Fiscal Year	Locally-Assessed Secured Value	Unsecured Value	State Assessed Value	Total Taxable Value	Percentage Change	Total Incremental Value ⁽¹⁾
2015-16	\$679,486,974	\$54,363,312	\$3,617,540	\$737,467,826	11.82%	\$599,044,608
2014-15	601,188,010	54,735,006	3,617,540	659,540,556	(2)	521,117,338
2013-14	609,213,797	60,150,887	3,617,540	672,982,224	5	534,559,006
2012-13	590,945,636	47,017,846	4,034,553	641,998,035	(2)	503,574,817
2011-12	605,133,113	45,482,386	4,034,553	654,650,052	0	516,226,834
2010-11	598,739,412	50,224,908	4,034,553	652,998,873	(12)	514,575,655
2009-10	684,382,093	56,060,943	4,034,553	744,477,589	1	606,054,371
2008-09	678,540,154	59,780,175	2,115,069	740,435,398	-2%	602,012,180
2007-08	697,738,010	57,190,309	2,115,069	757,043,388	10%	618,620,170
2006-07	627,381,473	53,498,214	8,966,398	689,846,085	14%	550,421,529
2005-06	546,418,879	48,038,555	10,448,206	604,905,640	N/A	465,481,084

Total Percentage Change: 21.91%

Average Percentage Change: (%) 2.00%

(1) Taxable Value above base year value of \$138,423,218..

Source: Placer County Auditor-Controller Office.

For additional information regarding assessed valuation in the Project Area, see the Fiscal Consultant Report in Appendix B.

Distribution of Taxes

As discussed in the subsection "LIMITATIONS ON TAX REVENUES AND POSSIBLE SPENDING LIMITATIONS - Property Tax Rate Limitations - Article XIII A," the property tax rate applicable within the Project Area is limited by the State Constitution to \$1 per \$100 of taxable property value plus the rate necessary to service certain indebtedness approved by the voters. Secured taxes are due in two equal installments. Installments of taxes levied upon secured property become delinquent on March 10 and April 10. Taxes on unsecured property are due March 1 and become delinquent August 31.

The County Auditor-Controller is responsible for the aggregation of the taxable values assigned by the Assessor as of the January 1st lien date for property within the boundaries of the Project Area. This results in the reported total current year Project Area taxable value and becomes the basis of determining tax increment revenues due to the Successor Agency. Although adjustments to taxable values for property within the Project Area may occur throughout the fiscal year to reflect escaped assessments, roll corrections, etc., such adjustments are not assumed in the tax increment projection shown herein.

Tax increment generated from the tax roll is allocated based on the Project Area's apportionment factor applied to the actual collections of County-wide property tax revenues. The apportionment factor represents the Project Area's tax increment revenue in relation to total Countywide property taxes. Therefore, the Successor Agency's receipt of tax increment revenues can be reduced by delinquent property taxes. The Project Area receives a share of prior year delinquent property taxes when they are paid, along with penalties and interest

revenue that are due on delinquent taxes. The County also adjusts tax increment payments for roll corrections, such as refunds of property taxes due to successfully appealed assessments, based on the Project Area's apportionment factor.

The Dissolution Act requires the County Auditor-Controller to determine the amount of property taxes that would have been allocated to the Former Agency (pursuant to subdivision (b) of Section 16 of Article XVI of the State Constitution) had the Former Agency not been dissolved pursuant to the operation of AB X1 26, using current assessed values on the last equalized roll on August 20, and to deposit that amount in the Redevelopment Property Tax Trust Fund for the Successor Agency established and held by the County Auditor-Controller pursuant to the Dissolution Act. For information on the allocation of taxes collected to the Successor Agency, see "SECURITY FOR THE BONDS - Allocation of Taxes" above.

Historical Tax Increment Revenues

The following table shows the tax levy and receipts in the Project Area during fiscal years 2010-11 through 2014-15. The initial County levy is first compared to the actual receipt of tax increment exclusive of supplemental revenues to determine collection trends. Actual receipts of tax increment for the period 2010-11 through 2014-15 have averaged 97.12% of the levy.

Supplemental property tax receipts are also shown in the following table. Supplemental taxes are a function of new construction or changes of ownership since the last property tax lien date. When supplemental revenues are included the average receipts to levy ratio equals 96.41 percent. For additional information, see the Fiscal Consultant Report in Appendix B.

TABLE 6
SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY
OF THE CITY OF ROSEVILLE
Roseville Redevelopment Project Area
Historic Tax Levy and Receipts ⁽¹⁾

	Levy per County ⁽²⁾	Tax Increment Receipts Less Supplementals	% of Levy Received	Supplementals	Total Tax Increment Receipts	% of Levy Received
2014-15	\$5,240,287	\$5,161,256	98.49%	\$93,967	\$5,255,232	100.29%
2013-14	5,367,307	5,103,510	95.09	31,802	5,135,312	95.68
2012-13	5,055,698	4,967,753	98.26	18,036	4,985,789	98.62
2011-12	5,183,173	4,900,995	94.56	146,228	5,047,223	97.38
2010-11	4,921,177	4,749,145	96.50	(146,266)	4,602,879	93.53
Average			96.57%			97.12%

(1) Receipts per Agency records prior to reduction for property tax administration fees but net of Section 33676 allocations for the period through 2011-12. Section 33676 allocations are included starting in 2012-13.

(2) Initial levy reported by Placer County.

Source: Fraser & Associates.

Major Property Owners

The following table lists the ten largest payers of secured property taxes in the Project Area for fiscal year 2015-16. The aggregate taxable value of the top ten property taxpayers represents 46.09% of the total value of the Project Area and 56.74% of the incremental value for 2015-16. Three of the top ten have outstanding appeals. The largest taxpayer, CPT Creekside Town Center, consists of a large retail center including numerous mid-range and discount pricing shopping and dining establishments, as well as a Bank of America and Shell gas station.

TABLE 7
SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY
OF THE CITY OF ROSEVILLE
Roseville Redevelopment Project Area
Ten Largest Taxpayers by Assessed Valuation ⁽¹⁾
Fiscal Year 2015-16

Assessee	Type of Use	2015-16 Total Assessed Value ⁽¹⁾	Percent Project Area AV ⁽²⁾	Percent Incremental AV ⁽²⁾
1. CPT Creekside Town Center	Shopping Ctr.	\$100,443,341	13.62%	16.77%
2. DDR Creekside	Shopping Ctr.	53,812,980	7.30	8.98
3. Oakmont Properties	Residential	37,310,171	5.06	6.23
4. John L. Sullivan	Auto Dealer	32,183,427	4.36	5.37
5. Wells Fargo Hotels Pool C Realty LP (3)	Hotels	25,718,603	3.49	4.29
6. Grove Communities	Residential	24,319,304	3.30	4.06
7. Roseville Motor Corporation	Auto Dealer	18,172,000	2.46	3.03
8. Rreef America REIT II Corporation	Office	18,000,000	2.44	3.00
9. Mima Capital	Commercial	15,809,690	2.14	2.64
10. G&S Future Investments	Commercial	14,177,217	1.92	2.37
Total Valuation		\$339,946,733	46.10%	56.75%

(1) Based on ownership of locally-assessed secured and unsecured property.

(2) Based on 2015-16 Project Area total taxable value of \$737,467,826 and incremental value of \$599,044,608.

(3) This owners recently received a reduction in value to \$22,651,990.

Source: Fraser & Associates.

Appeals of Assessed Values

Proposition 8 Appeals. Most of the appeals that might be filed in the Project Area would be based on Section 51 of the Revenue and Taxation Code, which requires that for each lien date the value of real property shall be the lesser of its base year value annually adjusted by the inflation factor pursuant to Article XIII A of the State Constitution or its full cash value, taking into account reductions in value due to damage, destruction, depreciation, obsolescence, removal of property or other factors causing a decline in value. Pursuant to California law, property owners may apply for a reduction of their property tax assessment by filing a written application, in form prescribed by the State Board of Equalization, with the appropriate county board of equalization or assessment appeals board. In most cases, the appeal is filed because the applicant believes that present market conditions (such as residential home prices) cause the property to be worth less than its current assessed value. These market-driven appeals are known as Proposition 8 appeals.

Any reduction in the assessment ultimately granted as a Proposition 8 appeal applies to the year for which application is made and during which the written application was filed. These reductions are often temporary and are adjusted back to their original values when market

conditions improve. Once the property has regained its prior value, adjusted for inflation, it once again is subject to the annual inflationary factor growth rate allowed under Article XIII A. See also "BONDOWNERS' RISKS – Litigation Regarding 2% Limitation."

Based on information provided by the Placer County Assessment Appeals Board, there are seven parcels where appeals were resolved after the 2015-16 tax roll was completed and which will reduce taxable values by \$4.3 million in 2016-17.

There are also seven open appeals, with additional potential reductions of \$15.9 million based on the applicant's opinion of value. For open appeals, the Fiscal Consultant has estimated the impact based on the average value reduction for resolved appeals over the past three fiscal years of 33%. This could, in total, reduce future taxable values by \$12.2 million. The Fiscal Consultant has assumed that these reductions would impact the 2016-17 tax roll, and has reduced the taxable value estimates shown on Table 9 by this amount.

Base Year Appeals. A second type of assessment appeal is called a Base Year appeal, where the property owners challenge the original (basis) value of their property. Appeals for reduction in the "base year" value of an assessment, if successful, reduce the assessment for the year in which the appeal is taken and prospectively thereafter. The base year is determined by the completion date of new construction or the date of change of ownership. Any base year appeal must be made within four years of the change of ownership or new construction date.

According to the Fiscal Consultant, 168 residential parcels (inclusive of both single and multifamily parcels) had been reduced as of fiscal year 2012-13 tax roll, with a value reduction of \$6.9 million. Beginning in 2012-13, and continuing through 2015-16, the County has reversed most of the prior Proposition 8 reductions and increased value by \$7.5 million through fiscal year 2015-16. 7 appeals were resolved after the 2015-16 tax roll was set; these will reduce taxable value by \$4.3 million in 2016-17. Currently, there are seven open appeals with potential reductions of \$15.9 million; based on the estimated impact based on average value reduction of resolved appeals over the past 3 fiscal years of 33%, this could result in \$11.2 million reduction in 2016-17. However, the County has not processed any additional Proposition 8 across the board reductions for non-residential property since 2010-11, and so the Fiscal Consultant has assumed no future Proposition 8 reductions in the projections.

Proposition 8 Reductions and Reversals. A number of counties in California, including Placer County, have processed temporary assessed value reductions for certain properties (Proposition 8 reductions) where the assessed values exceeded the current market value of the properties as of the January 1 line date, without prompting from individual taxpayers. Typically, the properties to be reviewed by the various counties for these "automatic" reductions were single family homes and condominiums which transferred ownership between 2003 and December 31, 2010. These Proposition 8 reductions were triggered because residential property values have decreased in many areas of the state.

According to the fiscal consultant, 168 residential partials had been reduced as of fiscal year 2012-13 tax roll, with a value reduction of \$6.9 million. Beginning in fiscal year 2013-14, the County has partially reversed some of the prior Proposition 8 reductions and increased value by \$2.8 million in fiscal year 2013-14. Proposition 8 reversals continued in 2014-15 for 177 residential parcels, which added \$6.3 million in value. Proposition 8 value reductions are temporary, and once the market value of the property goes back up, the value for the parcels under Proposition 8 status can increase up to their Proposition 13 base, including the compounded 2% Proposition 13 inflation adjustment.

In fiscal year 2010-11, the County also reduced non-residential property on an across-the-board basis. From fiscal years 2009-10 through 2012-13, 134 non-residential partials had been reduced, with a value reduction of \$44 million. A portion of those reductions were reversed beginning in fiscal year 2012-13 and have continued in fiscal year 2013-14 and 2014-15. Since fiscal year 2010-11, the County has not processed any additional across-the-board Proposition 8 reductions for non-residential property; the Fiscal Consultant has assumed no future Proposition 8 reductions in its projections after fiscal year 2016-17.

A summary of the Proposition 8 appeals in the Project Area is as follows.

Property Owner	Prop 8 Residential Impacts			Prop 8 Non-Residential Impacts		
	2009-10 to 2012-13	2012-13 to 2013-14	2013-14 to 2015-16	2009-10 to 2012-13	2012-13 to 2013-14	2013-14 to 2015-16
No. of Successful Appeals	168	152	155	134	27	7
Ave. % Value Reduction	(20%)	12%	27%	(40%)	26%	45%
Total Change in Value	(\$6.9)mm	\$2.8mm	\$7.5mm	\$44.3mm	\$12.8mm	\$24.8mm

Source: Fraser & Associates

Low and Moderate Income Housing

Before it was amended by the Dissolution Act, the Redevelopment Law required the Former Agency to set aside not less than 20% of all tax increment generated in the Project Area into a low and moderate income housing fund to be used for the purpose of increasing, improving and/or preserving the supply of low and moderate income housing. These tax increment revenues were commonly referred to as "Housing Set-Aside."

The Dissolution Act eliminated the Housing Set-Aside requirement. As a result, amounts formerly required to be set aside for such purpose are included in Tax Revenues pledged to the payment of debt service on the Bonds. Accordingly, the projection of Tax Revenues set forth in the section of this Official Statement entitled "THE PROJECT AREA – Projected Tax Revenues and Estimated Debt Service Coverage," assumes the availability of the former Housing Set-Aside for this purpose.

Statutory Tax Sharing

Under former Redevelopment Law, some redevelopment agencies were allowed to enter into so called "pass-through agreements" with overlapping public agencies whereby the agency agreed to pay an affected taxing agency a portion of the tax increment the agency received in order to alleviate any financial burden or detriment caused by the redevelopment plan

Pursuant to legislation, AB 1290, the Former Agency was required to make payments pursuant to Section 33607.5 of the Redevelopment Law to the affected taxing entities from tax increment generated in the Project Area pursuant to what is commonly referred to as "statutory tax sharing" and which represents a replacement methodology for the pre-1994 voluntary pass-through agreements. AB1290 establishes a specific schedule of payments to be made by agencies to affected taxing entities. Affected taxing entities are defined in Section 33353.2 of the Redevelopment Law as any governmental taxing agency that levied a property tax within the project area in the fiscal year prior to the year in which the report establishing the base year assessed value is prepared pursuant to Section 33328. Section 33607.5 applies to redevelopment plans adopted on or after January 1, 1994 (and territory added by subsequent amendments thereto) and amendments to pre-1994 plans adding territory (although the section then only applies to the added territory). It specifically states that all amounts calculated

pursuant to that section shall be calculated *after* the agency deducts the amount of tax increments deposited into its low- and moderate-income housing fund.

Beginning in fiscal year 2010-11, the Agency was required to make statutory payments to those affected taxing entities that do not have a negotiated tax sharing agreement. These payments are required because the time limitation for the incurrence of debt was deleted pursuant to Ordinance No. 4211 of the Former Agency. Payments are only to be due from increases in assessed value above levels in fiscal year 2009-10 (the "**AB 1290 AV Base**"), when the debt incurrence limit would have been reached for the Project Area. As of the fiscal year 2015-16, the assessed values are below the fiscal year 2009-10 AB 1290 AV Base, and thus no payments are currently due from the Project Area. Tax sharing payments will be owed only to those taxing entities that do not have a pass through agreement for the Project Area. The payments are based on a three-tier formula. For further information, see "APPENDIX B - FISCAL CONSULTANT'S REPORT."

The Dissolution Act provides for a procedure by which the successor agency may make Statutory Tax-Sharing Payments subordinate to the Bonds, however, the Successor Agency has determined not to undertake such procedure, and therefore, the Statutory Tax-Sharing Payments, if and when they become payable, are not subordinate to the Bonds or the 2006 Bonds. For treatment of Statutory Tax Sharing payments under the Dissolution Act, see "SECURITY FOR THE BONDS - Pledge of Tax Revenues - Statutory Tax Sharing" above.

Adjustments to Revenue

There are two adjustments to the tax increment revenues shown on Table ____ for property tax administrative fees and Section 33676 allocations. State law allows counties to charge taxing entities, including redevelopment agencies, for the cost of administering the property tax collection system. In addition, the Dissolution Act allows counties to recover their costs in implementing the redevelopment Dissolution Act. Both portions of the fees have been estimated by the Fiscal Consultant and included based on the percentage that such fees represented from the January and June 2015 RPTTF distribution.

For project areas adopted prior to 1994, taxing entities could elect to receive additional property taxes above the base year revenue amount. Such amounts are calculated by increasing the real property portion of base year values by an inflation factor of up to 2% annually. Taxing entities can receive a proportionate share of such revenues if they elected to do so prior to adoption of the redevelopment plan. The City of Roseville, the Roseville Cemetery District, Placer County, and the Eureka School District are allocated such revenues.

Subordinate Tax Sharing Payments

The Former Agency entered into tax sharing agreements with a number of taxing entities pursuant to Health and Safety Code Section 33401; as amended, in each case providing for the payment by the Former Agency of tax increment revenues pursuant to Section 33401 of the Redevelopment Law. These outstanding agreements are as follows: (i) the Agreement dated October 4, 1989, between the Former Agency and the County of Placer pursuant to Health and Safety Code Section 33401; (ii) the Agreement dated January 17, 1990, between the Former Agency, the City and the Placer County Office of Education pursuant to Health and Safety Code Section 33401; (iii) the Agreement dated January 17, 1990, between the Former Agency, the City and the Roseville City School District pursuant to Health and Safety Code Section 33401; (iv) the Agreement dated January 17, 1990, between the Former Agency, the City and the Roseville Joint Union High School District pursuant to Health and Safety Code Section 33401;

and (v) the Agreement dated January 17, 1990, between the Former Agency, the City, and the Sierra Joint Community College District. Payment terms under these agreements vary, and in all cases the Former Agency's obligations to make such payments is subordinate to the Agency's obligation to repay the Bonds from Tax Revenues or Housing Tax Revenues, as applicable.

Placer County's tax sharing agreement with the Successor Agency provides that the County will receive 100% of its share of "net tax increment." Net tax increment is defined as all tax increment allocated to the Agency from the Project Area, less monies required to be set aside for low and moderate income housing. Although the housing set-aside is no longer required, the Dissolution Act includes a provision that tax sharing payments are to be calculated as if the set-aside were still required. In essence, the County receives 80 percent of its share of tax increment revenue less the amount it is allocated per Section 33676.

The tax sharing agreement with the school districts require that payments include these two components:

Component 1: Each District receives the portion of tax revenues the District would have received in the absence of the Redevelopment Plan that results from increases in the real property portion of the base year value of up to two percent annually (in essence the Section 33676 revenues, but paid pursuant to the tax sharing agreement).

Component 2: Each District receives a specified percentage of net tax increment. The agreements define net tax increment as the total tax increment allocated to the Agency less amounts paid to the County per its tax sharing agreement and less the amount of the housing set-aside.

PROJECTED TAX REVENUES AND DEBT SERVICE COVERAGE

Projected 2015-16 Increment. Table 10 sets forth estimated Tax Revenues for Fiscal Year 2015-16, as determined by the Fiscal Consultant. The values utilized are based on actual taxable values as provided by the County. Tax increment generated from application of the one percent tax rate to incremental taxable value for fiscal year 2015-16 is estimated at \$5.99 million. Unitary revenues are estimated at \$37,151 based on the County's calculation of such revenues in fiscal year 2014-15.

TABLE 8
SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY
OF THE CITY OF ROSEVILLE
Roseville Redevelopment Project Area
Estimate of Tax Revenues for Fiscal Year 2015-16 ⁽¹⁾

<u>Local Secured</u>	
Land	\$208,417,579
Improvements	489,960,487
Personal Property	<u>1,631,899</u>
Gross Local Secured	700,009,965
Exempt	<u>(20,522,991)</u>
Net Local Secured	679,486,974
State Assessed	3,617,540
 <u>Unsecured</u>	
Land	109,460
Improvements	17,182,049
Personal Property	<u>43,698,095</u>
Total Unsecured	60,989,604
Exempt	<u>(6,626,292)</u>
Net Unsecured	54,363,312
 Total Value	 737,467,826
Base Year Taxable Value	<u>(138,423,218)</u>
Incremental Taxable Value	599,044,608
Tax Increment	5,990,446
Unitary Tax Increment	<u>37,151</u>
Total Tax Increment Revenue	6,027,597
 <u>Adjustments to Tax Increment</u>	
Property Tax Administration Fees ⁽²⁾	(138,986)
Section 33676 Allocations ⁽³⁾	<u>(300,107)</u>
Tax Revenue	5,588,504
 <u>Subordinate Obligations</u>	
Taxing Sharing Payments - County ⁽⁴⁾	(1,209,249)
Taxing Sharing Payments - Schools ⁽⁴⁾	<u>(602,588)</u>
Total Subordinate Obligations	(1,811,837)
 Net Tax Increment Revenue	 \$3,776,667

Based on taxable values per Placer County Auditor Controller.

(1) Estimate based on 2.2% of tax increment.

(2) Additional allocations to taxing entities pursuant to former Section 33676 of the Redevelopment Law.

(3) Payments per agreement with Placer County and the schools.

Source: Fraser & Associates.

Projected Increment Beyond 2015-16. The Fiscal Consultant's projections of net tax increment revenue are shown in Tables 6 (based on 2% growth projection, as noted) and 6.1 (based on no growth) in the Fiscal Consultant's Report provided in Appendix B. On December 11, 2015, the SBE issued a statement that the annual inflation adjustment for fiscal year 2016-17 would be 1.525%. The projections in Table 6 have been increased based on this factor for 2016-17 and then by a 2% inflation factor beginning in 2017-18. The 2% factor is the maximum inflation factor that county assessors can use to increase real property values. Tax increment revenues in 2016-17 have also been increased for changes of ownership that took place in 2015, and reduced for recently resolved and open assessment appeals. The other property category of value has been held constant in the projections shown in Table 6.

TABLE 9
SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY
OF THE CITY OF ROSEVILLE
Roseville Redevelopment Project Area
Projected Debt Service Coverage
(Based On Tax Revenue Projections Shown In Fiscal Consultant's Report)
Dollars in Thousands

Bond Year Ending Sept. 1	Tax Revenues (1)	Series 2014 Debt Service	Bonds Debt Service	Total Debt Service	Debt Service Coverage
2016	\$5,990	\$824,237.50			
2017	5,826	821,187.50			
2018	5,826	827,837.50			
2019	5,826	824,587.50			
2020	5,826	820,337.50			
2021	5,826	825,187.50			
2022	5,826	828,937.50			
2023	5,826	827,287.50			
2024	5,826	820,187.50			
2025	5,826	821,187.50			
2026	5,826	821,787.50			
2027	5,826	825,037.50			
2028	5,826	823,262.50			
2029	5,826	824,975.00			
2030	5,826	825,843.76			
2031	5,826	824,943.76			
2032	5,826	823,168.76			
2033	5,826	129,531.26			
2034	5,826	0			
2035	5,826	0			
2036	5,826	0			
2037	5,826	0			
2038	5,826	0			
2039	5,826	0			
2040	5,826	0			

(1) Based on Table 6.1 (no growth) from Fiscal Consultant Report.

Source: Fraser & Associates for revenue projections and Stifel, Nicolaus & Company, Incorporated for debt service.

BONDOWNERS' RISKS

The following information should be considered by prospective investors in evaluating whether to invest in the Bonds. However, the following does not purport to be an exhaustive listing of risks and other considerations which may be relevant to investing in the Bonds and the order in which the following information is presented is not intended to reflect the relative importance of any such risks.

Recognized Obligation Payment Schedule

The Dissolution Act provides that only those payments listed in a Recognized Obligation Payment Schedule may be made by a successor agency from the funds specified in the Recognized Obligation Payment Schedule. Pursuant to Section 34177 of the Dissolution Act, by the February 1st prior to each July 1st of each year, the Successor Agency shall submit to the Oversight Board and the DOF, a Recognized Obligation Payment Schedule. For each annual period, the Dissolution Act requires each successor agency to prepare and approve, and submit to the successor agency's oversight board and the DOF for approval, a Recognized Obligation Payment Schedule pursuant to which enforceable obligations (as defined in the Dissolution Act) of the successor agency are listed, together with the source of funds to be used to pay for each enforceable obligation. Consequently, Tax Revenues will not be withdrawn from the Redevelopment Property Tax Trust Fund by the county auditor-controller and remitted to the Successor Agency without a duly approved and effective Recognized Obligation Payment Schedule to pay debt service on the Bonds and to pay other enforceable obligations. In the event the Successor Agency were to fail to file a Recognized Obligation Payment Schedule, the availability of Tax Revenues to the Successor Agency could be adversely affected. See "SECURITY FOR THE BONDS - Recognized Obligation Payment Schedules."

For a description of the covenants made by the Successor Agency in the Indenture relating to the obligation to submit Recognized Obligation Payment Schedules on a timely basis, and the Successor Agency's history of submissions of Recognized Obligation Payment Schedules, see "SECURITY FOR THE BONDS – Recognized Obligation Payment Schedules."

AB 1484 also added provisions to the Dissolution Act implementing certain penalties in the event a successor agency does not timely submit a Recognized Obligation Payment Schedule. Specifically, a Recognized Obligation Payment Schedule must be submitted by the successor agency to the oversight board, to the county administrative officer, the county auditor-controller, the DOF, and the State Controller no later than the mandated deadlines. If a successor agency does not submit a Recognized Obligation Payment Schedule by such deadlines, the city or county that established the redevelopment agency will be subject to a civil penalty equal to \$10,000 per day for every day the schedule is not submitted to the DOF. Additionally, a successor agency's maximum administrative cost allowance is reduced by 25% if the successor agency does not submit an oversight board-approved Recognized Obligation Payment Schedule within 10 days of the deadline.

No Validation Proceedings Undertaken

Code of Civil Procedure Section 860 authorizes public agencies to institute a process, otherwise known as a “validation proceeding,” for purposes of determining the validity of a resolution or any action taken pursuant thereto. Section 860 authorizes a public agency to institute validation proceedings in cases where another statute authorizes its use. Relevant to the Bonds, Government Code Section 53511 authorizes a local agency to “bring an action to determine the validity of its bonds, warrants, contracts, obligations or evidences of indebtedness.” Under Code of Civil Procedure Section 870, a final favorable judgment issued in a validation proceeding will, notwithstanding any other provision of law, be forever binding and conclusive, as to all matters herein adjudicated or which could have been adjudicated, against all persons: “The judgment shall permanently enjoin the institution by any person of any action or proceeding raising any issue as to which the judgment is binding and conclusive.”

The Successor Agency has not undertaken or endeavored to undertake any validation proceeding in connection with the issuance of the Bonds. The Successor Agency and Bond Counsel have relied on the provisions of AB 1484 authorizing the issuance of the Bonds and specifying the related deadline for any challenge to the Bonds to be brought. Specifically, Section 34177.5(e) of the Dissolution Act provides that notwithstanding any other law, an action to challenge the issuance of bonds (such as the Bonds), the incurrence of indebtedness, the amendment of an enforceable obligation, or the execution of a financing agreement authorized under Section 34177.5, must be brought within 30 days after the date on which the oversight board approves the resolution of the successor agency approving such financing. Such challenge period has expired with respect to the Bonds and the Oversight Board Resolution approving the Bonds.

Reduction in Taxable Value

Tax increment revenue available to pay principal of and interest on the Bonds are determined by the amount of incremental taxable value in the Project Area and the current rate or rates at which property in the Project Area is taxed. The reduction of taxable values of property in the Project Area caused by economic factors beyond the Successor Agency’s control, such as relocation out of the Project Area by one or more major property owners, sale of property to a non-profit corporation exempt from property taxation, or the complete or partial destruction of such property caused by, among other eventualities, earthquake or other natural disaster, could cause a reduction in the tax increment available to pay debt service on the Bonds. Such reduction of tax increment available to pay debt service on the Bonds could have an adverse effect on the Successor Agency’s ability to make timely payments of principal of and interest on the Bonds; this risk could be increased by the significant concentration of property ownership in the Project Area.

The County calculates tax increment to redevelopment project areas by applying a one percent rate to the secured and unsecured incremental taxable values. The County also allocates unitary revenue on the basis of the total unitary revenue in a project area, without reductions for base year revenues. The allocation of unitary revenue is based on revenues received in 1987-88, adjusted by the actual growth or decline in unitary revenues on a countywide basis.

As described in greater detail under the heading “PROPERTY TAXATION IN CALIFORNIA – Article XIII A of the State Constitution,” Article XIII A provides that the full cash value base of real property used in determining taxable value may be adjusted from year to year to reflect the inflation rate, not to exceed a two percent increase for any given year, or may be

reduced to reflect a reduction in the consumer price index, comparable local data or any reduction in the event of declining property value caused by damage, destruction or other factors (as described above). Such measure is computed on a calendar year basis. Any resulting reduction in the full cash value base over the term of the Bonds could reduce tax increment available to pay debt service on the Bonds.

In addition to the other limitations on, and required application under the Dissolution Act of Tax Revenues on deposit in the Redevelopment Property Tax Trust Fund, the State electorate or Legislature could adopt a constitutional or legislative property tax reduction with the effect of reducing Tax Revenues allocated to the Redevelopment Property Tax Trust Fund and available to the Successor Agency. Although the federal and State Constitutions include clauses generally prohibiting the Legislature's impairment of contracts, there are also recognized exceptions to these prohibitions. There is no assurance that the State electorate or Legislature will not at some future time approve additional limitations that could reduce the tax increment available to pay debt service on the Bonds and adversely affect the source of repayment and security of the Bonds.

Risks Associated with the Insurer

Before the delivery of the Bonds, the Successor Agency will pay the premium for the Policy for the Insured Bonds, and the reserve fund surety policy. The Successor Agency can provide no assurances that the Bond Insurer will be able to meet its obligations under the Policy or the reserve fund surety policy, if and when required to do so. In addition, any change in the ratings of the Insurer could impact the price of the Insured Bonds in the secondary market.

Risks to Real Estate Market

The Successor Agency's ability to make payments on the Bonds will be dependent upon the economic strength of the Project Area. The general economy of the Project Area will be subject to all of the risks generally associated with urban real estate markets. Real estate prices and development may be adversely affected by changes in general economic conditions, fluctuations in the real estate market and interest rates, unexpected increases in development costs and by other similar factors. Further, real estate development within the Project Area could be adversely affected by limitations of infrastructure or future governmental policies, including governmental policies to restrict or control development. The Project Area experienced significant declines in value due to Proposition 8 reductions. In addition, if there is a significant decline in the general economy of the Project Area, the owners of property within the Project Area may be less able or less willing to make timely payments of property taxes or may petition for reduced assessed valuation causing a delay or interruption in the receipt of Tax Revenues by the Successor Agency from the Project Area. See "THE PROJECT AREA - Projected Tax Revenues and Estimated Debt Service Coverage" for a description of the debt service coverage on the Bonds.

Reduction in Inflationary Rate

As described in greater detail below, Article XIII A of the State Constitution provides that the full cash value of real property used in determining taxable value may be adjusted from year to year to reflect the inflationary rate, not to exceed a 2% increase for any given year, or may be reduced to reflect a reduction in the consumer price index or comparable local data. Such measure is computed on a calendar year basis. Because Article XIII A limits inflationary assessed value adjustments to the lesser of the actual inflationary rate or 2%, there have been years in which the assessed values were adjusted by actual inflationary rates, which were less than 2%. The Successor Agency is unable to predict if any further adjustments to the full cash value base of real property within the Project Area, whether an increase or a reduction, will be realized in the future.

Levy and Collection of Taxes

The Successor Agency has no independent power to levy or collect property taxes. Any reduction in the tax rate or the implementation of any constitutional or legislative property tax decrease could reduce the tax increment available to pay debt service on the Bonds.

Although delinquencies in the payment of property taxes by the owners of land in the Project Area, and the impact of bankruptcy proceedings on the ability of taxing agencies to collect property taxes, could have an adverse effect on the Successor Agency's ability to make timely payments on the Bonds, the Successor Agency believes any such adverse impact is unlikely in light of the debt service coverage provided net tax increment. In addition, the County could elect to alter or terminate its Teeter Plan policy and, in such event, the amount of the levy of property tax revenue that could be allocated to the Successor Agency would depend upon the actual collections of the secured taxes within the Project Area. Substantial delinquencies in the payment of property taxes could impair the timely receipt by the Successor Agency of Tax Revenues. See "THE PROJECT AREA - Projected Tax Revenues and Estimated Debt Service Coverage" for a description of the debt service coverage on the Bonds.

Bankruptcy and Foreclosure

The payment of the property taxes from which Tax Revenues are derived and the ability of the County to foreclose the lien of a delinquent unpaid tax may be limited by bankruptcy, insolvency, or other laws generally affecting creditors' rights or by the laws of the State relating to judicial foreclosure. The various legal opinions to be delivered concurrently with the delivery of the Bonds (including Bond Counsel's approving legal opinion) will be qualified as to the enforceability of the various legal instruments by bankruptcy, insolvency, reorganization, moratorium, or other similar laws affecting creditors' rights, by the application of equitable principles and by the exercise of judicial discretion in appropriate cases.

Although bankruptcy proceedings would not cause the liens to become extinguished, bankruptcy of a property owner could result in a delay in prosecuting superior court foreclosure proceedings. Although such delay would increase the possibility of delinquent tax installments not being paid in full and thereby increase the likelihood of a delay or default in payment of the principal of and interest on the Bonds, the Successor Agency believes any such adverse impact is unlikely in light of the debt service coverage provided by fiscal year 2015-16 net tax increment. See "THE PROJECT AREA - Projected Tax Revenues and Estimated Debt Service Coverage" for a description of the debt service coverage on the Bonds.

Estimated Revenues

In estimating that net tax increment will be sufficient to pay debt service on the Bonds, the Successor Agency has made certain assumptions with regard to present and future assessed valuation and new development in the Project Area, future tax rates and percentage of taxes collected. The Successor Agency believes these assumptions to be reasonable, but there is no assurance these assumptions will be realized and to the extent that the assessed valuation and the tax rates are less than expected, the net tax increment available to pay debt service on the Bonds will be less than those projected and such reduced net tax increment may be insufficient to provide for the payment of principal of, premium (if any) and interest on the Bonds.

Hazardous Substances

An additional environmental condition that may result in the reduction in the assessed value of property would be the discovery of a hazardous substance that would limit the beneficial use of taxable property within the Project Area. In general, the owners and operators of property may be required by law to remedy conditions of the property relating to releases or threatened releases of hazardous substances. The owner or operator may be required to remedy a hazardous substance condition of property whether or not the owner or operator has anything to do with creating or handling the hazardous substance. The effect, therefore, should any of the property within the Project Area be affected by a hazardous substance, could be to reduce the marketability and value of the property by the costs of remedying the condition.

Natural Disasters

The value of the property in the Project Area in the future can be adversely affected by a variety of additional factors, particularly those which may affect infrastructure and other public improvements and private improvements on property and the continued habitability and enjoyment of such private improvements. Such additional factors include, without limitation, geologic conditions such as earthquakes, topographic conditions such as earth movements, landslides and floods and climatic conditions such as droughts. In the event that one or more of such conditions occur, such occurrence could cause damages of varying seriousness to the land and improvements and the value of property in the Project Area could be diminished in the aftermath of such events. A substantial reduction of the value of such properties and could affect the ability or willingness of the property owners to pay the property taxes.

Seismic. Earthquake faults exist in many parts of Northern California, including in areas near to the Project Area. Most new construction is required to be built in accordance with the Uniform Building Code which contains standards designed to minimize structural damage caused by seismic events however, the occurrence of severe seismic activity affecting the Project Area could result in substantial damage to property located in the Project Area, and could lead to successful appeals for reduction of assessed values of such property. Such a reduction of assessed valuations could result in a reduction of the Tax Revenues that secure the Bonds.

Changes in the Redevelopment Law

There can be no assurance that the California electorate will not at some future time adopt initiatives or that the Legislature will not enact legislation that will amend the Dissolution Act, the Redevelopment Law or other laws or the Constitution of the State resulting in a reduction of tax increment available to pay debt service on the Bonds.

It is possible that the definition of Tax Revenues could be affected by changes in law or judicial decisions relating to the dissolution of redevelopment agencies. The Indenture provides that if, and to the extent, that the provisions of Section 34172 or paragraph (2) of subdivision (a) of Section 34183 of the Redevelopment Law are invalidated by judicial decision, then "Tax Revenues" will include all tax increment revenues allocated to the payment of indebtedness in accordance with Section 33670 of the Redevelopment Law or such other section as may be in effect at the time providing for the allocation of tax increment revenues in accordance with Article XVI, Section 16 of the State Constitution; excluding moneys required to pay Senior Obligations payable during such period. Additionally, any action by a court to invalidate provisions of the Dissolution Act required for the timely payment of principal of, and interest on, the Bonds could be subject to issues regarding unconstitutional impairment of contracts and unconstitutional taking without just compensation. The Successor Agency believes that the aforementioned considerations would provide some protections against the adverse consequences upon the Successor Agency and the availability of Tax Revenues for the payment of debt service on the Bonds in the event of successful challenges to the Dissolution Act or portions thereof. However, the Successor Agency provides no assurance that any other lawsuit challenging the Dissolution Act or portions thereof will not result in an outcome that may have a detrimental effect on the Successor Agency's ability to timely pay debt service on the Bonds.

Loss of Tax-Exemption

As discussed under the caption "TAX MATTERS," interest on the Bonds could become includable in gross income for purposes of federal income taxation retroactive to the date the Bonds were issued, as a result of future acts or omissions of the Successor Agency in violation of its covenants in the Indenture.

In addition, current and future legislative proposals, if enacted into law, may cause interest on the Bonds to be subject, directly or indirectly, to federal income taxation by, for example, changing the current exclusion or deduction rules to limit the aggregate amount of interest on state and local government bonds that may be treated as tax exempt by individuals.

Should such an event of taxability occur, the Bonds are not subject to special redemption and will remain outstanding until maturity or until redeemed under other provisions set forth in the Indenture.

Secondary Market

There can be no guarantee that there will be a secondary market for the Bonds, or, if a secondary market exists, that the Bonds can be sold for any particular price. Occasionally, because of general market conditions or because of adverse history or economic prospects connected with a particular issue, secondary marketing practices in connection with a particular issue are suspended or terminated. Additionally, prices of issues for which a market is being made will depend upon the then prevailing circumstances.

CERTAIN LEGAL MATTERS

Legal Opinions

The legal opinions of Jones Hall, A Professional Law Corporation, San Francisco, California, as Bond Counsel, approving the validity of the Bonds, will be made available to purchasers at the time of original delivery of the Bonds and the proposed form thereof appears in Appendix E hereto. Bond Counsel's employment as bond counsel is limited to a review of the legal proceedings required for the authorization of the Bonds and to rendering the opinion set forth in Appendix E hereto. Copies of such approving opinions will be available at the time of delivery of the Bonds. Jones Hall, A Professional Law Corporation is also serving as Disclosure Counsel. Certain legal matters will be passed upon for the Successor Agency by the City Attorney of the City of Roseville, as special counsel to the Successor Agency.

Payment of the fees and expenses of Bond Counsel and Disclosure Counsel is contingent upon the sale and delivery of the Bonds.

Enforceability of Remedies

The remedies available to the Trustee and to the registered owners of the Bonds upon an event of default under the Indenture and any other document described herein are in many respects dependent upon regulatory and judicial actions which are often subject to discretion and delay. Under existing law and judicial decisions, the remedies provided for under such documents may not be readily available or may be limited. The various legal opinions to be delivered concurrently with the delivery of the Bonds will be qualified to the extent that the enforceability of the legal documents with respect to the Bonds are subject to limitations imposed by bankruptcy, reorganization, insolvency or other similar laws affecting the rights of creditors generally and by equitable remedies and proceedings generally.

RATINGS

Standard & Poor's Ratings Services, a Division of the McGraw-Hill Companies ("**S&P**"), will assign its municipal bond rating of "___" to the Bonds with the understanding that upon delivery of such Bonds a Municipal Bond Insurance Policy insuring the payment when due of the principal of and interest on the Insured Bonds will be issued by _____. S&P has also assigned an underlying rating of "___" to the Uninsured Bonds. Such ratings reflect only the views of S&P, and an explanation of the significance of such ratings may be obtained from S&P's. See "Municipal Bond Insurance" herein for a discussion of rating actions taken with respect to AGM.

There is no assurance that such ratings will be retained for any given period of time or that they will not be revised downward or withdrawn entirely by such rating agency if, in the judgment of such rating agency, circumstances so warrant. Any such downward revision or withdrawal of any rating obtained may have an adverse effect on the market price of the Bonds.

CONTINUING DISCLOSURE

The Successor Agency has covenanted for the benefit of holders and beneficial owners of the Bonds to provide certain financial information and operating data relating to the Successor Agency by not later than nine months following the end of the Successor Agency's Fiscal Year (which reporting date would be March 31), commencing with the report for the 2015-16 Fiscal Year (the "**Annual Report**"), and to provide notices of the occurrence of certain enumerated events. The Annual Report notices of significant events will be filed by the Successor Agency with the Municipal Securities Rulemaking Board. The specific nature of the information to be contained in the Annual Report or the notices of significant events is set forth in the Form of Continuing Disclosure Certificate in Appendix F hereto. These covenants have been made in order to assist the Underwriter in complying with S.E.C. Rule 15c2-12(b)(5). See Appendix F — "Form of Continuing Disclosure Certificate."

The Successor Agency and the City believe that they are currently in material compliance with all of its continuing disclosure undertakings. Notwithstanding the foregoing:

[[to come - latest continuing disclosure compliance check results...]]

The City has engaged contract support for the preparation and filing of its continuing disclosure reports in order to ensure compliance with future continuing disclosure obligations.

ABSENCE OF LITIGATION

At the time of delivery of and payment for the Bonds, the Successor Agency will certify that, except as disclosed in this Official Statement, to its best knowledge there is no litigation, action, suit, proceeding or investigation, at law or in equity, before or by any court, governmental agency or body, pending against or threatened against the Successor Agency in any way affecting the existence of the Successor Agency or the titles of its officers to their respective offices or seeking to restrain or enjoin the issuance, sale or delivery of the Bonds, the application of the proceeds thereof in accordance with the Indenture, or the collection or application of Tax Revenues pledged or to be pledged to pay the principal of and interest on the Bonds, or the pledge thereof, or in any way contesting or affecting the validity or enforceability of the Bonds, the Indenture, or any action of the Successor Agency contemplated by any of said documents, or in any way contesting the completeness or accuracy of this Official Statement or the powers of the Successor Agency or its authority with respect to the Indenture or any action of the Successor Agency contemplated by said document, or which would adversely affect the exclusion of interest paid on the Bonds from gross income for Federal income tax purposes or the exemption of interest paid on the Bonds from California personal income taxation, nor, to the knowledge of the Successor Agency, is there any basis therefor. See however, the litigation information presented under the captions "THE PROJECT AREA - Low and Moderate Income Housing" and "BONDOWNERS' RISKS - Challenges to Dissolution Act."

TAX MATTERS

In the opinion of Jones Hall, A Professional Law Corporation, San Francisco, California, Bond Counsel, subject, however to the qualifications set forth below, under existing law, the interest on the 2016A Bonds is excluded from gross income for federal income tax purposes and such interest is not an item of tax preference for purposes of the federal alternative minimum tax imposed on individuals and corporations, provided, however, that, for the purpose of computing the alternative minimum tax imposed on corporations (as defined for federal income tax purposes), such interest is taken into account in determining certain income and earnings.

Interest on the Series 2016A-T Bonds is included in gross income for federal income tax purposes.

The opinions set forth in the preceding paragraph are subject to the condition that the Successor Agency comply with all requirements of the Internal Revenue Code of 1986, as amended (the "**Tax Code**") that must be satisfied subsequent to the issuance of the 2016A Bonds. The Successor Agency has covenanted to comply with each such requirement. Failure to comply with certain of such requirements may cause the inclusion of such interest in gross income for federal income tax purposes to be retroactive to the date of issuance of the 2016A Bonds.

If the initial offering price to the public (excluding bond houses and brokers) at which a 2016A Bond is sold is less than the amount payable at maturity thereof, then such difference constitutes "original issue discount" for purposes of federal income taxes and State of California personal income taxes. If the initial offering price to the public (excluding bond houses and brokers) at which a 2016A Bond is sold is greater than the amount payable at maturity thereof, then such difference constitutes "original issue premium" for purposes of federal income taxes and State of California personal income taxes. De minimis original issue discount and original issue premium is disregarded.

Under the Tax Code, original issue discount is treated as interest excluded from federal gross income and exempt from State of California personal income taxes to the extent properly allocable to each owner thereof subject to the limitations described in the first paragraph of this section. The original issue discount accrues over the term to maturity of the 2016A Bond on the basis of a constant interest rate compounded on each interest or principal payment date (with straight-line interpolations between compounding dates). The amount of original issue discount accruing during each period is added to the adjusted basis of such Bonds to determine taxable gain upon disposition (including sale, redemption, or payment on maturity) of such Bond. The Tax Code contains certain provisions relating to the accrual of original issue discount in the case of purchasers of the 2016A Bonds who purchase the 2016A Bonds after the initial offering of a substantial amount of such maturity. Owners of such Bonds should consult their own tax advisors with respect to the tax consequences of ownership of 2016A Bonds with original issue discount, including the treatment of purchasers who do not purchase in the original offering, the allowance of a deduction for any loss on a sale or other disposition, and the treatment of accrued original issue discount on such Bonds under federal individual and corporate alternative minimum taxes.

Under the Tax Code, original issue premium is amortized on an annual basis over the term of the 2016A Bond (said term being the shorter of the 2016A Bond's maturity date or its call date). The amount of original issue premium amortized each year reduces the adjusted basis of the owner of the 2016A Bond for purposes of determining taxable gain or loss upon

disposition. The amount of original issue premium on a 2016A Bond is amortized each year over the term to maturity of the 2016A Bond on the basis of a constant interest rate compounded on each interest or principal payment date (with straight-line interpolations between compounding dates). Amortized Bond premium is not deductible for federal income tax purposes. Owners of premium 2016A Bonds, including purchasers who do not purchase in the original offering, should consult their own tax advisors with respect to State of California personal income tax and federal income tax consequences of owning such 2016A Bonds.

In the further opinion of Bond Counsel, interest on the Bonds is exempt from California personal income taxes.

Owners of the Bonds should also be aware that the ownership or disposition of, or the accrual or receipt of interest on, the Bonds may have federal or state tax consequences other than as described above. Bond Counsel expresses no opinion regarding any federal or state tax consequences arising with respect to the Bonds other than as expressly described above.

MUNICIPAL ADVISOR

The Successor Agency has retained FirstSouthwest, a Division of Hilltop Securities, of Santa Monica, California, as municipal advisor (the "**Municipal Advisor**") in connection with the execution and delivery of the Bonds. The Municipal Advisor is not obligated to undertake, and has not undertaken to make, an independent verification or assume responsibility for the accuracy, completeness, or fairness of the information contained in this Official Statement. The payment of the fees of the Municipal Advisor is contingent upon the issuance of the Bonds.

UNDERWRITING

The Bonds will be purchased by Stifel, Nicolaus & Company, Incorporated (the "**Underwriter**") at the purchase price of \$_____ (which is the aggregate principal amount of the Bonds, less an underwriting discount of \$_____, plus net original issue premium of \$_____). The Underwriter will purchase all of the Bonds if any are purchased.

The initial public offering prices stated on the cover of this Official Statement may be changed from time to time by the Underwriter. The Underwriter may offer and sell the Bonds to certain dealers, banks acting as agents and others at prices lower than said public offering prices.

MISCELLANEOUS

The purpose of this Official Statement is to supply information to prospective buyers of the Bonds. Quotations from, and summaries and explanations of, the Indenture and other documents and statutes contained herein do not purport to be complete, and reference is made to such documents, Indenture and statutes for full and complete statements of their provisions.

Unless otherwise noted, all information contained in this Official Statement pertaining to the Successor Agency and the Project Area has been furnished by the Successor Agency. Any statement in this Official Statement involving matters of opinion, whether or not expressly so stated, are intended as such and not as representations of fact. This Official Statement is not to

be construed as a contract or agreement between the Successor Agency and the purchasers or registered owners of any of the Bonds.

The execution and delivery of this Official Statement has been duly authorized by the Successor Agency.

**SUCCESSOR AGENCY OF THE
REDEVELOPMENT AGENCY OF THE
CITY OF ROSEVILLE**

By _____
Executive Director

APPENDIX A

**AUDITED FINANCIAL STATEMENTS OF THE
CITY OF ROSEVILLE FOR THE
FISCAL YEAR ENDING JUNE 30, 2015**

APPENDIX B
FISCAL CONSULTANT'S REPORT

APPENDIX C

PLACER COUNTY AND CITY OF ROSEVILLE DEMOGRAPHIC INFORMATION

The financial and economic data for the City are presented for informational purposes only. The Bonds are not a debt or obligation of the City or the County, but are a limited obligation of the Authority secured solely by the funds held pursuant to the Trust Agreement.

General

The City is located in the County, which is located in the Sacramento Valley near the foothills of the Sierra Nevada mountain range, about 16 miles northeast of Sacramento and 110 miles east of San Francisco. The City presently occupies 42.26 square miles in the southwestern part of the County and is the largest city in the County as well as the residential and industrial center of the County. It is bordered by Sacramento County to the south, the City of Rocklin to the north and un-incorporated Placer County to the east and west. The estimated population of the City as of January 2016 was approximately 128,382.

The City has warm summers typical of central California, with an average July temperature of 77 degrees. Winter temperatures are moderate; the average January temperature is 46 degrees. The temperature drops below freezing an average of eight days per year. Rainfall averages 20 inches annually and falls mostly during the winter.

The City is predominately comprised of residential housing, small and large businesses, as well as numerous retail centers, the latter of which play a vital role in the economy of the City and contribute significantly to City and County sales tax receipts. The City has the thirteenth highest retail sales of all cities in the State, and the City is considered a regional shopping destination. The Westfield Galleria at Roseville is the main shopping center in the City and the second largest shopping mall in Northern California. Across from the Westfield Galleria lies the "Fountains at Roseville," a 330,000 square foot retail center, containing additional stores and several recreation centers. Plans call for future construction of hotel, additional retail, and office buildings in connection with the Fountains at Roseville project. In addition to the Westfield Galleria and Fountains at Roseville, the City has many shopping plazas surrounding the Westfield Galleria and the Douglas Boulevard financial corridor. The City is also home to one of the largest auto malls in the United States and a popular water park, Roseville Golf and SunSplash.

Municipal Government

The City was incorporated on April 10, 1909 and is a charter city. The City operates under the council-manager form of government, with a five-member City Council elected at large for staggered four-year terms. At each election, the council member receiving the most votes is appointed mayor pro-tempore for two years and becomes mayor for the final two years.

City services include, among others, police and fire protection, library services, street maintenance, and parks and recreation. The City also owns two golf courses and provides its own electricity, water, sewer and refuse services to its citizens.

Population

The City's population has increased approximately 1% to 2% per year over the past five years. The following table sets forth population estimates for the City, County and State for the past five years.

POPULATION ESTIMATES City, County and State 2011 through 2015

<u>Year</u>	<u>City of Roseville</u>	<u>Placer County</u>	<u>State of California</u>
2011	120,307	351,463	37,427,946
2012	122,104	355,455	37,668,804
2013	124,673	360,802	37,984,138
2014	127,153	366,678	38,357,121
2015	128,382	369,454	38,714,725

Source: California State Department of Finance.

Effective Buying Income

Effective buying income ("EBI") is designated as personal income less personal tax and non-tax payments. Personal income is the aggregate of wages and salaries, other labor income (such as employer contributions to private pension funds), proprietor's income, rental income (which includes imputed rental income of owner-occupants of non-farm dwellings), dividends paid by corporations, personal interest income from all sources, and transfer payments (such as pensions and welfare assistance). Deducted from this total are personal taxes (federal, state and local), non-tax payments (such as fines, fees, penalties), and personal contributions for social insurance. Effective buying income is a bulk measure of market potential. It indicates the general ability to buy and is essential in comparing, selecting and grouping markets on that basis. The following table demonstrates the growth in annual estimated EBI for the County, the State and the United States.

The table on the following page summarizes the total effective buying income for the City, County, State and the United States as of January 1, 2009 through 2013. Effective buying income data is not yet available for calendar year 2014 or 2015.

EFFECTIVE BUYING INCOME (EBI)
City, County, State and United States
As of January 1, 2010 through 2014

Year	Area	Total Effective Buying Income (000's Omitted)	Median Household Effective Buying Income
2010	City of Roseville	\$ 3,073,595	\$55,878
	Placer County	9,455,123	56,109
	California	801,393,028	47,177
	United States	6,365,020,076	41,368
2011	City of Roseville	\$ 3,030,070	\$56,309
	Placer County	9,797,178	55,993
	California	814,578,458	47,062
	United States	6,438,704,664	41,253
2012	City of Roseville	\$ 3,308,060	\$55,367
	Placer County	9,955,120	55,173
	California	864,088,828	47,307
	United States	6,737,867,730	41,358
2013	City of Roseville	\$ 3,327,535	\$56,270
	Placer County	9,811,843	56,393
	California	858,676,636	48,340
	United States	6,982,757,379	43,715
2014	City of Roseville	\$ 3,507,655	\$59,074
	Placer County	10,287,888	58,583
	California	901,189,699	50,072
	United States	7,357,153,421	45,448

Source: The Nielsen Company (US), Inc.

Employment and Industry

The unemployment rate in the Sacramento-Arden Arcade-Roseville MSA (which is comprised of El Dorado, Placer, Sacramento and Yolo Counties) was 5.4% in February 2016, down from a revised 5.5% in January 2016, and below the year-ago estimate of 6.4%. This compares with an unadjusted unemployment rate of 5.7 percent for California and 5.2% for the nation during the same period. The unemployment rate was 5.4% in El Dorado County, 4.6% in Placer County, 5.5% in Sacramento County, and 6.6% in Yolo County.

The following table summarizes the civilian labor force, employment and unemployment, as well as employment by industry, in the Sacramento-Arden Arcade-Roseville MSA for the years 2011 through 2015.

SACRAMENTO-ARDEN ARCADE-ROSEVILLE MSA El Dorado, Placer, Sacramento, Yolo Counties Employment by Industry Annual Averages

	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>	<u>2015</u>
Civilian Labor Force ⁽¹⁾	1,044,400	1,049,500	1,046,800	1,049,200	1,060,200
Employment	920,900	941,100	956,100	974,100	998,100
Unemployment	123,600	108,300	90,800	75,100	62,100
Unemployment Rate	11.8%	10.3%	8.7%	7.2%	5.9%
Wage and Salary Employment ⁽²⁾					
Agriculture	8,200	8,600	8,900	9,200	9,300
Mining and Logging	500	400	500	500	600
Construction	36,900	38,400	43,300	45,400	49,900
Manufacturing	33,200	33,900	34,100	35,400	36,300
Wholesale Trade	23,700	25,200	25,000	24,500	24,600
Retail Trade	89,400	91,800	93,800	95,300	97,500
Transportation, Warehousing and Utilities	21,100	22,000	22,900	23,600	24,600
Information	16,300	15,600	14,800	13,900	14,200
Finance and Insurance	34,700	35,700	36,300	35,500	37,100
Real Estate and Rental and Leasing	12,000	12,500	13,100	13,400	13,900
Professional and Business Services	104,400	111,100	114,600	118,200	119,700
Educational and Health Services	122,500	125,600	130,700	134,300	140,300
Leisure and Hospitality	81,700	84,500	88,700	91,800	94,900
Other Services	28,000	28,600	29,000	30,200	30,800
Federal Government	14,000	13,700	13,500	13,600	13,700
State Government	109,700	108,200	109,900	113,400	115,400
Local Government	100,900	99,600	99,200	100,800	102,900
Total, All Industries ⁽³⁾	837,100	855,300	878,200	898,800	925,400

⁽¹⁾ Labor force data is by place of residence; includes self-employed individuals, unpaid family workers, household domestic workers, and workers on strike.

⁽²⁾ Industry employment is by place of work; excludes self-employed individuals, unpaid family workers, household domestic workers, and workers on strike.

⁽³⁾ Totals may not add due to rounding.

Source: State of California Employment Development Department.

Largest Employers

The following tables set forth the major employers in Sacramento and Placer Counties.

SACRAMENTO COUNTY MAJOR EMPLOYERS (As of March 2016)

Employer Name	Location	Industry
Aerojet Rocketdyne Hldngs Inc	Rancho Cordova	Aerospace Industries (Mfrs)
Aerojet Rocketdyne Inc	Rancho Cordova	Aerospace Industries (Mfrs)
Air Resources Board Tstg Off	Sacramento	Engineers-Environmental
AMPAC Fine Chemicals LLC	Rancho Cordova	Electronic Equipment & Supplies-Mfrs
California Prison Ind Authority	Folsom	Government Offices-State
California State University	Sacramento	Schools-Universities & Colleges Academic
Corrections Dept	Sacramento	State Govt-Correctional Institutions
Delta Dental Plan of Missouri	Rancho Cordova	Insurance
Dept of Transportation In Ca	Sacramento	Government Offices-State
Disabled American Veterans	Sacramento	Veterans' & Military Organizations
Employment Development Dept	Sacramento	Government-Job Training/Voc Rehab Svcs
Environmental Protection Agency	Sacramento	State Government-Environmental Programs
Exposition & Fair	Sacramento	Government Offices-State
Intel Corp	Folsom	Semiconductor Devices (Mfrs)
Intel Corp	Sacramento	Semiconductor Devices (Mfrs)
Mercy General Hospital	Sacramento	Hospitals
Mercy San Juan Medical Center	Carmichael	Hospitals
Municipal Services Agency	Sacramento	Government Offices-County
Sacramento Bee	Sacramento	Newspapers (Publishers/Mfrs)
Sacramento Regional Transit	Sacramento	Bus Lines
Sacramento State	Sacramento	Schools-Universities & Colleges Academic
Smud Customer Svc Center	Sacramento	Electric Companies
Sutter Memorial Hospital	Sacramento	Hospitals
UC Davis Medical Center	Sacramento	Hospitals
Water Resource Dept	Sacramento	Government Offices-State

Source: State of California Employment Development Department, extracted from The America's Labor Market Information System (ALMIS) Employer Database, 2016 1st Edition.

**PLACER COUNTY
MAJOR EMPLOYERS
(As of March 2016)**

Employer Name	Location	Industry
Adventist Health	Roseville	Health Services
Alpine Meadows	Alpine Meadows	Resorts
AT&T	Auburn	Telephone Companies
Consolidated Communications	Roseville	Communications
Hewlett-Packard	Roseville	Computer & Equipment Dealers
Kaiser Foundation Hospitals	Roseville	Hospitals
Kw Commercial	Roseville	Real Estate
Northstar-At-Tahoe Resort	Truckee	Resorts
Oracle	Rocklin	Computer Software-Manufacturers
Placer County Food Stamps	Auburn	County Government-Social/Human Resources
Placer County of Education	Auburn	Schools
Placer County Sheriff	Auburn	Government Offices-County
PRIDE Industries	Roseville	Employment Agencies & Opportunities
Resort At Squaw Creek	Alpine Meadows	Resorts
Ritz-Carlton	Truckee	Hotels & Motels
Roseville Golfand-Sun Splash	Roseville	Water Parks
Sheriff's Training	Auburn	Government Offices-County
Sugar Bowl Ski Area Group Sls	Norden	Skiing Centers & Resorts
Sutter Roseville Medical Ctr	Roseville	Hospitals
Tami Saner & Assoc	Roseville	Real Estate
TASQ Technology	Roseville	Importers (whls)
Thunder Valley Casino & Resort	Lincoln	Casinos
UNFI Western Region Div	Rocklin	Food Products (whls)
Union Pacific Railroad Co	Roseville	Railroads
Village Lodge-Sugar Bowl	Norden	Hotels & Motels

Source: State of California Employment Development Department, extracted from The America's Labor Market Information System (ALMIS) Employer Database, 2016 1st Edition.

The following table sets forth the largest manufacturing and non-manufacturing employers in the City as of 2015.

**LARGEST EMPLOYERS
City of Roseville
2015**

<u>Employer</u>	<u>Number of Employees</u>	<u>Rank</u>	<u>Percentage of Total City Employees</u>
Permanente Medical Group & Foundation Group	3,231	1	4.56%
Hewlett-Packard	2,548	2	3.59
Sutter Roseville Medical Center	1,654	3	2.33
Roseville Joint Union High School District	1,434	4	2.02
Union Pacific Railroad Company	1,180	5	1.66
City of Roseville	1,102	6	1.55
Adventist Health System West	1,000	7	1.41
Roseville City School District	1,000	8	1.41
PRIDE Industries	550	9	0.77
Solar City	475	10	0.67
Subtotal	14,174		19.97%
Total Employment	70,969 ⁽¹⁾		

(1) Total Employment as used above represents the total employment of all employees located within the City limits.

Source: City of Roseville-City Manager's Office, Comprehensive Annual Financial Report, Fiscal Year Ending June 30, 2015.

Construction

The County's 2015-2016 assessment roll totaled \$63,448,690,201, as compared to the prior year's assessment roll of \$58,267,146,115, which reflected a 8.89% increase. This large increase contrasts with the real estate decline years of 2008 and after, when the County assessment roll experienced declines.

The following table shows residential and non-residential building permits issued within the City for calendar years 2010 through 2014. Annual figures are not yet available for 2015.

BUILDING PERMIT VALUATION City of Roseville (Valuation in Thousands of Dollars)

	<u>2010</u>	<u>2011</u>	<u>2012</u>	<u>2013</u>	<u>2014</u>
<u>Permit Valuation</u>					
New Single-family	\$133,206.3	\$91,310.0	\$249,559.2	\$118,547.9	\$154,499.7
New Multi-family	0.0	0.0	0.0	6,632.0	15,360.5
Res. Alterations/Additions	<u>2,808.4</u>	<u>7,329.2</u>	<u>3,082.0</u>	<u>3,395.0</u>	<u>4,967.8</u>
Total Residential	\$136,014.7	\$98,639.2	\$252,641.2	\$128,575.0	\$174,828.0
 New Commercial	 \$ 1,625.8	 \$ 707.9	 \$16,280.4	 \$26,058.9	 \$19,546.6
New Industrial	0.0	0.0	0.0	0.0	0.0
New Other	5,026.5	0.0	0.0	2,627.4	10,935.2
Com. Alterations/Additions	<u>46,534.4</u>	<u>67,245.2</u>	<u>31,868.5</u>	<u>45,489.0</u>	<u>62,138.9</u>
Total Nonresidential	\$53,186.7	\$67,953.1	\$48,148.9	\$74,175.4	\$29,620.7,
 <u>New Dwelling Units</u>					
Single Family	635	411	663	528	644
Multiple Family	<u>0</u>	<u>0</u>	<u>0</u>	<u>224</u>	<u>164</u>
Total New Dwelling Units	635	411	663	752	808

Source: Construction Industry Research Board, Building Permit Summary.

The following table shows residential and non-residential building permits issued within the County for calendar years 2010 through 2014. Annual figures are not yet available for 2015.

BUILDING PERMIT VALUATION
County of Placer
(Valuation in Thousands of Dollars)

	2010	2011	2012	2013	2014
<u>Permit Valuation</u>					
New Single-family	\$272,263.0	\$230,831.8	\$431,611.6	\$378,286.0	\$523,638.2
New Multi-family	11,385.1	6,549.5	11,368.0	7,078.5	48,645.5
Res. Alterations/Additions	50,586.8	62,155.8	35,481.3	50,358.2	59,428.5
Total Residential	\$334,234.9	\$299,537.1	\$478,460.9	\$435,722.7	\$631,712.2
 New Commercial	 \$ 5,551.8	 \$ 7,014.3	 \$ 44,303.0	 \$70,876.0	 \$38,343.5
New Industrial	0.0	860.0	164.0	1,092.0	199.8
New Other	29,303.2	12,112.3	414.5	25,673.5	44,159.8
Com. Alterations/Additions	73,789.4	88,639.9	55,512.0	73,037.0	101,977.7
Total Nonresidential	\$108,644.4	\$108,626.5	\$100,393.5	\$170,678.5	\$184,680.8
 <u>New Dwelling Units</u>					
Single Family	1,090	802	1,209	1,249	1,620
Multiple Family	79	28	111	227	376
Total New Dwelling Units	1,169	830	1,320	1,476	1,996

Source: Construction Industry Research Board, Building Permit Summary.

Commercial Activity

A summary of historic taxable sales within the City and the County during the past five years in which data is available is shown in the following tables. The total taxable sales during the first three quarters of the calendar year 2014 in the City were reported to be \$3.1 billion, a 1.80% increase over the total taxable sales of \$3.0 billion reported during the first three quarters of the calendar year 2013. Annual figures for 2014 and 2015 are not yet available.

TAXABLE TRANSACTIONS
City of Roseville
Calendar Years 2009 through 2013
(Dollars in Thousands)

	Retail Stores		Total All Outlets	
	Number of Permits	Taxable Transactions	Number of Permits	Taxable Transactions
2009	3,400	\$2,708,864	4,441	\$3,104,840
2010	3,640	2,814,546	4,698	3,251,045
2011	3,405	3,024,189	4,476	3,499,616
2012	3,765	3,332,827	4,861	3,772,583
2013	3,757	3,558,765	4,819	4,171,738

Source: California State Board of Equalization, Taxable Sales in California (Sales & Use Tax).

The total taxable sales during the first three quarters of the calendar year 2014 in the County were reported to be \$5.9 billion, a 4.70% increase over the total taxable sales of \$5.7 billion reported during the first three quarters of the calendar year 2013. Annual figures for 2014 and 2015 are not yet available.

TAXABLE TRANSACTIONS
Placer County
Calendar Years 2009 through 2013
(Dollars in Thousands)

	Retail Stores		Total All Outlets	
	Number of Permits	Taxable Transactions	Number of Permits	Taxable Transactions
2009	7,819	\$4,453,186	11,135	\$5,796,644
2010	8,110	4,678,785	11,439	6,017,542
2011	7,803	5,112,781	11,120	6,568,195
2012	8,272	5,613,981	11,621	7,065,597
2013	8,487	6,050,198	11,713	7,724,406

Source: California State Board of Equalization, Taxable Sales in California (Sales & Use Tax).

Transportation

The County's transportation network is an integral part of its development. Centrally located in the State, the area is the hub of several major highways. Interstate 80 runs through the County, connecting San Francisco to New York. Highway 65 runs north from I-80 to Lincoln and Marysville. Interstate 5, which is west of the County, runs north to Seattle and south to Los Angeles. In the City, the major highways in the area are Interstate 80 and Interstate 5, and State Highways 65, 50, and 99.

Union Pacific Railroad bought Southern Pacific in 1996 and the J.R. Davis Yard, located in Roseville, is the largest rail facility on the West Coast. Union Pacific owns and operates track in 23 states, primarily west of the Mississippi River. Amtrak provides passenger service daily to San Francisco and San Jose, and the California Zephyr connects the County to the Midwest and Chicago.

Greyhound operates a station in the City, providing interstate destination services. Greyhound also operates throughout the County, with bus depots or regularly scheduled stops in most of the communities along major highways and roads.

Sacramento International Airport serves the Roseville area. Served by ten major carriers and several commuter airlines, as well as air-freight carriers, the airport handles passenger flights to over 140 cities with more than 130 scheduled departures per day and 4.3 million passengers annually. Nearby Auburn Municipal Airport serves charter and private aircraft for coastal, state and transcontinental flights. Executive air service is available as well. Auburn Municipal has an elevation of 1,520 feet and an east/west runway 3,100 feet in length.

Several trucking companies serve the County, ranging from interstate lines to local haulers, and transporting a wide variety of goods. United Parcel Service, with a distribution center in Rocklin, offers freight transportation services as well.

APPENDIX D

SUMMARY OF CERTAIN PROVISIONS OF THE INDENTURE

APPENDIX E
FORMS OF BOND COUNSEL OPINION

SERIES 2016A BONDS- FORM OF OPINION

SERIES 2016A-T BONDS- FORM OF OPINION

APPENDIX F

FORM OF CONTINUING DISCLOSURE CERTIFICATE

This CONTINUING DISCLOSURE CERTIFICATE (this "Disclosure Certificate") is executed and delivered by the SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY OF THE CITY OF ROSEVILLE (the "Successor Agency") in connection with the issuance of \$_____ Roseville Redevelopment Project, Tax Allocation Refunding Bonds, Series 2016A and its \$_____ Roseville Redevelopment Project, Taxable Tax Allocation Refunding Bonds, Series 2016A-T (together, the "Bonds"). The Bonds are being issued pursuant to an Indenture of Trust, dated as of November 1, 2002, by and between the Former Agency and the Trustee, as supplemented by a First Supplemental Indenture of Trust dated December 1, 2006, a Second Supplemental Indenture of Trust dated September 1, 2014, by and between the Successor Agency and The Bank of New York Mellon Trust Company, N.A. (the "Trustee") and a Third Supplemental Indenture of Trust dated _____ 1, 2016, by and between the Successor Agency and the Trustee. The Successor Agency covenants and agrees as follows:

Section 1. Purpose of the Disclosure Certificate. This Disclosure Certificate is being executed and delivered by the Successor Agency for the benefit of the holders and beneficial owners of the Bonds and in order to assist the Participating Underwriter in complying with S.E.C. Rule 15c2-12(b)(5).

Section 2. Definitions. In addition to the definitions set forth in the Indenture, which apply to any capitalized term used in this Disclosure Certificate, unless otherwise defined, the following capitalized terms shall have the following meanings:

"*Annual Report*" shall mean any Annual Report provided by the Successor Agency pursuant to, and as described in, Sections 3 and 4 of this Disclosure Certificate.

"*Dissemination Agent*" shall mean Applied Best Practices, LLC, or any successor Dissemination Agent designated in writing by the Successor Agency and which has filed with the Successor Agency a written acceptance of such designation.

"*EMMA System*" shall mean the Electronic Municipal Market Access system of the MSRB or such other electronic system designated by the MSRB or the Securities and Exchange Commission for compliance with S.E.C Rule 15c2-12(b).

"*Listed Events*" shall mean any of the events listed in Section 5(a) of this Disclosure Certificate.

"*MSRB*" means the Municipal Securities Rulemaking Board, which has been designated by the Securities and Exchange Commission as the sole repository of disclosure information for purposes of the Rule.

"*Participating Underwriter*" shall mean any of the original underwriters of the Bonds required to comply with the Rule in connection with offering of the Bonds.

"Rule" shall mean Rule 15c2-12(b)(5) adopted by the Securities and Exchange Commission under the Securities Exchange Act of 1934, as the same may be amended from time to time.

Section 3. Provision of Annual Reports.

(a) (i) The Successor Agency shall, or shall cause the Dissemination Agent to, not later than nine (9) months after the end of the Successor Agency's fiscal year (which date currently would be March 31, based upon the June 30 end of the Successor Agency's fiscal year), commencing with the report for the 2015-16 fiscal year, provide to the MSRB through the EMMA System an Annual Report which is consistent with the requirements of Section 4 of this Disclosure Certificate. Not later than fifteen (15) Business Days prior to said date, the Successor Agency shall provide the Annual Report to the Dissemination Agent (if other than the Successor Agency). The Annual Report may be submitted as a single document or as separate documents comprising a package, and may include by reference other information as provided in Section 4 of this Disclosure Certificate; provided that the audited financial statements of the Successor Agency may be submitted separately from the balance of the Annual Report, and later than the date required above for the filing of the Annual Report if not available by that date. If the Successor Agency's fiscal year changes, it shall give notice of such change in the same manner as for a Listed Event under Section 5(c); and (ii) under Section 18.01(p) of the Indenture, the Annual Report and any notices under Section 5 hereof shall also be sent to the 2014 Bond Insurer at the following address: Assured Guaranty Municipal Corp., 31 West 52nd Street, New York, New York 10019, Attention: Managing Director – Surveillance, Re: Bond Policy No. 216225-N and Surety Policy No. 216225-R.

(b) If the Successor Agency is unable to provide to the MSRB through the EMMA System an Annual Report by the date required in subsection (a), the Successor Agency shall provide to the MSRB, in electronic format as prescribed by the MSRB through the EMMA System, a notice in substantially the form attached as Exhibit A.

(c) The Dissemination Agent shall:

(i) determine each year prior to the Annual Report Date the then-applicable rules and electronic format prescribed by the MSRB for the filing of annual continuing disclosure reports; and

(ii) if the Dissemination Agent is other than the Successor Agency, file a report with the Successor Agency certifying that the Annual Report has been provided pursuant to this Disclosure Certificate, stating the date it was provided to the MSRB through the EMMA System pursuant to this Disclosure Certificate.

Section 4. Content of Annual Reports. The Successor Agency's Annual Report shall contain or incorporate by reference the following:

(a) Audited Financial Statements of the Successor Agency prepared in accordance with generally accepted accounting principles as promulgated to apply to governmental entities from time to time by the Governmental Accounting Standards Board. If such audited financial statements are not available by the time the Annual Report is required to be filed pursuant to Section 3(a), the Annual Report shall contain unaudited financial statements in a format similar to the financial statements contained in the final Official Statement, and the audited financial

statements shall be filed in the same manner as the Annual Report when they become available.

(b) Unless otherwise provided in the audited financial statements filed on or prior to the annual filing deadline for the Annual Reports provided for in Section 3 above, financial information and operating data with respect to the Successor Agency for the preceding fiscal year, substantially similar to that provided in the corresponding tables and charts in the Official Statement for the Bonds, as follows:

- (i) summary of Successor Agency indebtedness payable from tax increment generated in the Roseville Project Area (the "Project Area"), including the amount outstanding as of June 30 of the most recent fiscal year, with a distinction between indebtedness payable from Housing Tax Revenues and Non Housing Tax Revenues (as described in the Indentures and the debt service coverage ratio for the non-housing and housing related bonds) to the extent applicable under the Dissolution Act (as defined in the Official Statement);
- (ii) identity of pending and successful appeals of assessed values in the Project Area, but only if total appeals exceed, in the aggregate, 5% of assessed value in the Project Area;
- (iii) summary of taxable value in the Project Area for the most recent fiscal year;
- (iv) a listing of the ten major property tax assesses in the Project Area;
- (v) summary of historic receipts of tax increment in the Project Area, the Tax Revenues, Housing Tax Revenues, the debt service for the Bonds and any Parity Debt and the debt service coverage ratio for the Bonds and any Parity Debt for the most recently completed fiscal year; and

Any or all of the items listed above may be included by specific reference to other documents, including official statements of debt issues of the Successor Agency or related public entities, which have been submitted to the MSRB through the EMMA System or the Securities and Exchange Commission. If the document included by reference is a final official statement, it must be available from the Municipal Securities Rulemaking Board. The Successor Agency shall clearly identify each such other document so included by reference.

Section 5. Reporting of Significant Events.

(a) The Successor Agency shall give, or cause to be given, notice of the occurrence of any of the following Listed Events with respect to the Bonds:

- (1) Principal and interest payment delinquencies.
- (2) Non-payment related defaults, if material.
- (3) Unscheduled draws on debt service reserves reflecting financial difficulties.
- (4) Unscheduled draws on credit enhancements reflecting financial difficulties.

- (5) Substitution of credit or liquidity providers, or their failure to perform.
- (6) Adverse tax opinions, the issuance by the Internal Revenue Service of proposed or final determinations of taxability, Notices of Proposed Issue (IRS Form 5701-TEB) or other material notices or determinations with respect to the tax status of the security, or other material events affecting the tax status of the security.
- (7) Modifications to rights of security holders, if material.
- (8) Bond calls, if material, and tender offers.
- (9) Defeasances.
- (10) Release, substitution, or sale of property securing repayment of the securities, if material.
- (11) Rating changes.
- (12) Bankruptcy, insolvency, receivership or similar event of the Successor Agency or other obligated person.
- (13) The consummation of a merger, consolidation, or acquisition involving the Successor Agency or an obligated person, or the sale of all or substantially all of the assets of the Successor Agency or an obligated person (other than in the ordinary course of business), the entry into a definitive agreement to undertake such an action, or the termination of a definitive agreement relating to any such actions, other than pursuant to its terms, if material.
- (14) Appointment of a successor or additional trustee or the change of name of a trustee, if material.

(b) The Successor Agency shall, or shall cause the Dissemination Agent (if not the Successor Agency) to, file a notice of such occurrence with the MSRB through the EMMA System, in a timely manner not in excess of 10 business days after the occurrence of the Listed Event. Notwithstanding the foregoing, notice of Listed Events described in subsections (a)(8) and (9) above need not be given under this subsection any earlier than the notice (if any) of the underlying event is given to holders of affected Bonds under the Indenture.

(c) The Successor Agency acknowledges that the events described in subparagraphs (a)(2), (a)(7), (a)(8) (if the event is a bond call), (a)(10), (a)(13), and (a)(14) of this Section 5 contain the qualifier "if material." The Successor Agency shall cause a notice to be filed as set forth in paragraph (b) above with respect to any such event only to the extent that the Successor Agency determines the event's occurrence is material for purposes of U.S. federal securities law. The Dissemination Agent shall not be responsible for determining whether an event is material.

Section 6. Termination of Reporting Obligation. The Successor Agency's obligations under this Disclosure Certificate shall terminate upon the legal defeasance, prior redemption or

payment in full of all of the Bonds or upon the delivery to the Dissemination Agent of an opinion of nationally recognized bond counsel retained by the Successor Agency to the effect that continuing disclosure is no longer required. If such termination occurs prior to the final maturity of the Bonds, the Successor Agency shall give notice of such termination in the same manner as for a Listed Event under Section 5(c).

Section 7. Dissemination Agent.

(a) The Successor Agency may, from time to time, appoint or engage a Dissemination Agent to assist it in carrying out its obligations under this Disclosure Certificate, and may discharge any such Dissemination Agent, with or without appointing a successor Dissemination Agent. The Dissemination Agent shall not be responsible in any manner for the content of any notice or report prepared by the Successor Agency pursuant to this Disclosure Certificate, unless the Successor Agency is the Dissemination Agent, as provided herein. The initial Dissemination Agent shall be The Bank of New York Mellon Trust Company, N.A.. If at any time there is no designated Dissemination Agent appointed by the Successor Agency, or if the Dissemination Agent so appointed is unwilling or unable to perform the duties of Dissemination Agent hereunder, the Successor Agency shall be the Dissemination Agent and undertake or assume its obligations hereunder. The Dissemination Agent may resign by providing thirty days written notice to the Successor Agency and the Trustee.

Any company succeeding to all or substantially all of the Dissemination Agent's corporate trust business shall be the successor to the Dissemination Agent hereunder without the execution or filing of any paper or any further act. The Dissemination Agent may resign its duties hereunder at any time upon written notice to the Successor Agency.

(b) The Dissemination Agent shall be paid compensation by the Successor Agency for its services provided hereunder in accordance with its schedule of fees as agreed to between the Dissemination Agent and the Successor Agency from time to time and for all expenses, legal fees and advances made or incurred by the Dissemination Agent in the performance of its duties hereunder. The Dissemination Agent (unless the Successor Agency is the Dissemination Agent) shall have no duty or obligation to review any information provided to it by the Successor Agency hereunder and shall not be deemed to be acting in any fiduciary capacity for the Successor Agency, holders or beneficial owners or any other party. The Dissemination Agent may rely and shall be protected in acting or refraining from acting upon any direction from the Successor Agency or an opinion of nationally recognized bond counsel retained by the Successor Agency.

Section 8. Amendment; Waiver. Notwithstanding any other provision of this Disclosure Certificate, the Successor Agency may amend this Disclosure Certificate, and any provision of this Disclosure Certificate may be waived, provided that the following conditions are satisfied (provided no amendment or waiver shall be made that affects the duties or rights of the Dissemination Agent without its written consent):

(a) if the amendment or waiver relates to the provisions of Sections 3(a), 4 or 5(a), it may only be made in connection with a change in circumstances that arises from a change in legal requirements, change in law, or change in the identity, nature, or status of an obligated person with respect to the Bonds, or type of business conducted;

(b) the undertakings herein, as proposed to be amended or waived, would, in the opinion of nationally recognized bond counsel retained by the Successor Agency, have complied with

the requirements of the Rule at the time of the primary offering of the Bonds, after taking into account any amendments or interpretations of the Rule, as well as any change in circumstances; and

(c) the proposed amendment or waiver either (i) is approved by holders of the Bonds in the manner provided in the Indenture for amendments to the Indenture with the consent of holders, or (ii) does not, in the opinion of nationally recognized bond counsel retained by the Successor Agency, materially impair the interests of the holders or beneficial owners of the Bonds.

If the annual financial information or operating data to be provided in the Annual Report is amended pursuant to the provisions hereof, the first annual financial information filed pursuant hereto containing the amended operating data or financial information shall explain, in narrative form, the reasons for the amendment and the impact of the change in the type of operating data or financial information being provided.

If an amendment is made to the undertaking specifying the accounting principles to be followed in preparing financial statements, the annual financial information for the year in which the change is made shall present a comparison between the financial statements or information prepared on the basis of the new accounting principles and those prepared on the basis of the former accounting principles. The comparison shall include a qualitative discussion of the differences in the accounting principles and the impact of the change in the accounting principles on the presentation of the financial information, in order to provide information to investors to enable them to evaluate the ability of the Successor Agency to meet its obligations. To the extent reasonably feasible, the comparison shall be quantitative. A notice of the change in the accounting principles shall be sent to the MSRB through the EMMA System in the same manner as for a Listed Event under Section 5(c).

Section 9. Additional Information. Nothing in this Disclosure Certificate shall be deemed to prevent the Successor Agency from disseminating any other information, using the means of dissemination set forth in this Disclosure Certificate or any other means of communication, or including any other information in any Annual Report or notice of occurrence of a Listed Event, in addition to that which is required by this Disclosure Certificate. If the Successor Agency chooses to include any information in any Annual Report or notice of occurrence of a Listed Event in addition to that which is specifically required by this Disclosure Certificate, the Successor Agency shall have no obligation under this Disclosure Certificate to update such information or include it in any future Annual Report or notice of occurrence of a Listed Event.

Section 10. Default. In the event of a failure of the Successor Agency to comply with any provision of this Disclosure Certificate, the Participating Underwriter or any holder or beneficial owner of the Bonds may take such actions as may be necessary and appropriate, including seeking mandate or specific performance by court order, to cause the Successor Agency to comply with its obligations under this Disclosure Certificate. A default under this Disclosure Certificate shall not be deemed an Event of Default under the Indenture, and the sole remedy under this Disclosure Certificate in the event of any failure of the Successor Agency to comply with this Disclosure Certificate shall be an action to compel performance.

Section 11. Duties, Immunities and Liabilities of Dissemination Agent. All of the immunities, indemnities, and exceptions from liability in Article VI of the Indenture insofar as they relate to the Trustee shall apply to the Trustee and the Dissemination Agent in this Disclosure Certificate. The Dissemination Agent shall have only such duties as are specifically

set forth in this Disclosure Certificate, and the Successor Agency agrees to indemnify and save the Dissemination Agent, its officers, directors, employees and agents, harmless against any loss, expense and liabilities which it may incur arising out of or in the exercise or performance of its powers and duties hereunder, including the costs and expenses (including attorneys fees) of defending against any claim of liability, but excluding liabilities due to the Dissemination Agent's negligence or willful misconduct. The Dissemination Agent may rely and shall be protected in acting or refraining from acting upon any direction from the Successor Agency or an opinion of nationally recognized bond counsel retained by the Successor Agency. The obligations of the Successor Agency under this Section shall survive resignation or removal of the Dissemination Agent and payment of the Bonds. No person, other than the Successor Agency, shall have any right to commence any action against the Trustee or Dissemination Agent seeking any remedy other than to compel specific performance of this Disclosure Certificate.

Section 12. Beneficiaries. This Disclosure Certificate shall inure solely to the benefit of the Successor Agency, the Dissemination Agent, the Participating Underwriter and holders and beneficial owners from time to time of the Bonds, and shall create no rights in any other person or entity.

Dated: _____, 2016

SUCCESSOR AGENCY OF THE
REDEVELOPMENT AGENCY OF THE CITY OF
ROSEVILLE

By _____

Name _____

Title _____

EXHIBIT A

**NOTICE TO MUNICIPAL SECURITIES RULEMAKING
BOARD OF FAILURE TO FILE ANNUAL REPORT**

Name of Issuer: Successor Agency of the Redevelopment Agency of the City of Roseville

Names of Bond Issues: Roseville Redevelopment Project, Tax Allocation Refunding Bonds, Series A

Roseville Redevelopment Project, Taxable Allocation Refunding Bonds, Series A-T

Date of Issuance: _____, 2016

NOTICE IS HEREBY GIVEN that the Successor Agency of the Redevelopment Agency of the City of Roseville (the "Issuer") has not provided an Annual Report with respect to the above-named Bonds as required by the Indenture of Trust, dated as of November 1, 2002, by and between the Former Agency and the Trustee, as supplemented by a First Supplemental Indenture of Trust dated December 1, 2006, a Second Supplemental Indenture of Trust dated September 1, 2014, by and between the Successor Agency of the Redevelopment Agency of the City of Roseville and The Bank of New York Mellon Trust Company, N.A., as Trustee and a Third Supplemental Indenture of Trust dated _____, 2016, by and between the Successor Agency and the Trustee. The Issuer anticipates that the Annual Report will be filed by _____.

Dated: _____

SUCCESSOR AGENCY OF THE
REDEVELOPMENT AGENCY OF THE CITY OF
ROSEVILLE

By _____

Name _____

Title _____

cc: Trustee

APPENDIX G

DTC AND THE BOOK-ENTRY SYSTEM

The following description of the Depository Trust Company ("DTC"), the procedures and record keeping with respect to beneficial ownership interests in the Bonds, payment of principal, interest and other payments on the Bonds to DTC Participants or Beneficial Owners, confirmation and transfer of beneficial ownership interest in the Bonds and other related transactions between DTC, the DTC Participants and the Beneficial Owners is based solely on information provided by DTC. Accordingly, no representations can be made concerning these matters and neither the DTC Participants nor the Beneficial Owners should rely on the foregoing information with respect to such matters, but should instead confirm the same with DTC or the DTC Participants, as the case may be.

Neither the Successor Agency, the Underwriters nor the Trustee take any responsibility for the information contained in this Section.

No assurances can be given that DTC, DTC Participants or Indirect Participants will distribute to the Beneficial Owners (a) payments of interest, principal or premium, if any, with respect to the Bonds, (b) Bonds representing ownership interest in or other confirmation or ownership interest in the Bonds, or (c) redemption or other notices sent to DTC or Cede & Co., its nominee, as the registered owner of the Bonds, or that they will so do on a timely basis, or that DTC, DTC Participants or DTC Indirect Participants will act in the manner described in this Appendix. The current "Rules" applicable to DTC are on file with the Securities and Exchange Commission and the current "Procedures" of DTC to be followed in dealing with DTC Participants are on file with DTC.

1. The Depository Trust Company ("DTC"), New York, NY, will act as securities depository for the securities (the "Securities"). The Securities will be issued as fully-registered securities registered in the name of Cede & Co. (DTC's partnership nominee) or such other name as may be requested by an authorized representative of DTC. One fully-registered Security certificate will be issued for each issue of the Securities, each in the aggregate principal amount of such issue, and will be deposited with DTC. If, however, the aggregate principal amount of any issue exceeds \$500 million, one certificate will be issued with respect to each \$500 million of principal amount, and an additional certificate will be issued with respect to any remaining principal amount of such issue.

2. DTC, the world's largest securities depository, is a limited-purpose trust company organized under the New York Banking Law, a "banking organization" within the meaning of the New York Banking Law, a member of the Federal Reserve System, a "clearing corporation" within the meaning of the New York Uniform Commercial Code, and a "clearing agency" registered pursuant to the provisions of Section 17A of the Securities Exchange Act of 1934. DTC holds and provides asset servicing for over 3.5 million issues of U.S. and non-U.S. equity issues, corporate and municipal debt issues, and money market instruments (from over 100 countries) that DTC's participants ("Direct Participants") deposit with DTC. DTC also facilitates the post-trade settlement among Direct Participants of sales and other securities transactions in deposited securities, through electronic computerized book-entry transfers and pledges between Direct Participants' accounts. This eliminates the need for physical movement of securities certificates. Direct Participants include both U.S. and non-U.S. securities brokers and dealers, banks, trust companies, clearing corporations, and certain other organizations. DTC is

a wholly-owned subsidiary of The Depository Trust & Clearing Corporation ("DTCC"). DTCC is the holding company for DTC, National Securities Clearing Corporation and Fixed Income Clearing Corporation, all of which are registered clearing agencies. DTCC is owned by the users of its regulated subsidiaries. Access to the DTC system is also available to others such as both U.S. and non-U.S. securities brokers and dealers, banks, trust companies, and clearing corporations that clear through or maintain a custodial relationship with a Direct Participant, either directly or indirectly ("Indirect Participants"). DTC has a Standard & Poor's rating of AA+. The DTC Rules applicable to its Participants are on file with the Securities and Exchange Commission. More information about DTC can be found at www.dtcc.com and www.dtc.org.

3. Purchases of Securities under the DTC system must be made by or through Direct Participants, which will receive a credit for the Securities on DTC's records. The ownership interest of each actual purchaser of each Security ("Beneficial Owner") is in turn to be recorded on the Direct and Indirect Participants' records. Beneficial Owners will not receive written confirmation from DTC of their purchase. Beneficial Owners are, however, expected to receive written confirmations providing details of the transaction, as well as periodic statements of their holdings, from the Direct or Indirect Participant through which the Beneficial Owner entered into the transaction. Transfers of ownership interests in the Securities are to be accomplished by entries made on the books of Direct and Indirect Participants acting on behalf of Beneficial Owners. Beneficial Owners will not receive certificates representing their ownership interests in Securities, except in the event that use of the book-entry system for the Securities is discontinued.

4. To facilitate subsequent transfers, all Securities deposited by Direct Participants with DTC are registered in the name of DTC's partnership nominee, Cede & Co., or such other name as may be requested by an authorized representative of DTC. The deposit of Securities with DTC and their registration in the name of Cede & Co. or such other DTC nominee do not effect any change in beneficial ownership. DTC has no knowledge of the actual Beneficial Owners of the Securities; DTC's records reflect only the identity of the Direct Participants to whose accounts such Securities are credited, which may or may not be the Beneficial Owners. The Direct and Indirect Participants will remain responsible for keeping account of their holdings on behalf of their customers.

5. Conveyance of notices and other communications by DTC to Direct Participants, by Direct Participants to Indirect Participants, and by Direct Participants and Indirect Participants to Beneficial Owners will be governed by arrangements among them, subject to any statutory or regulatory requirements as may be in effect from time to time. Beneficial Owners of Securities may wish to take certain steps to augment the transmission to them of notices of significant events with respect to the Securities, such as redemptions, tenders, defaults, and proposed amendments to the Security documents. For example, Beneficial Owners of Securities may wish to ascertain that the nominee holding the Securities for their benefit has agreed to obtain and transmit notices to Beneficial Owners. In the alternative, Beneficial Owners may wish to provide their names and addresses to the registrar and request that copies of notices be provided directly to them.

6. Redemption notices shall be sent to DTC. If less than all of the Securities within an issue are being redeemed, DTC's practice is to determine by lot the amount of the interest of each Direct Participant in such issue to be redeemed.

7. Neither DTC nor Cede & Co. (nor any other DTC nominee) will consent or vote with respect to Securities unless authorized by a Direct Participant in accordance with DTC's MMI

Procedures. Under its usual procedures, DTC mails an Omnibus Proxy to Issuer as soon as possible after the record date. The Omnibus Proxy assigns Cede & Co.'s consenting or voting rights to those Direct Participants to whose accounts Securities are credited on the record date (identified in a listing attached to the Omnibus Proxy).

8. Redemption proceeds, distributions, and dividend payments on the Securities will be made to Cede & Co., or such other nominee as may be requested by an authorized representative of DTC. DTC's practice is to credit Direct Participants' accounts upon DTC's receipt of funds and corresponding detail information from Issuer or Agent, on payable date in accordance with their respective holdings shown on DTC's records. Payments by Participants to Beneficial Owners will be governed by standing instructions and customary practices, as is the case with securities held for the accounts of customers in bearer form or registered in "street name," and will be the responsibility of such Participant and not of DTC, Agent, or Issuer, subject to any statutory or regulatory requirements as may be in effect from time to time. Payment of redemption proceeds, distributions, and dividend payments to Cede & Co. (or such other nominee as may be requested by an authorized representative of DTC) is the responsibility of Issuer or Agent, disbursement of such payments to Direct Participants will be the responsibility of DTC, and disbursement of such payments to the Beneficial Owners will be the responsibility of Direct and Indirect Participants.

9. A Beneficial Owner shall give notice to elect to have its Securities purchased or tendered, through its Participant, to the Trustee, and shall effect delivery of such Securities by causing the Direct Participant to transfer the Participant's interest in the Securities, on DTC's records, to the Trustee. The requirement for physical delivery of Securities in connection with an optional tender or a mandatory purchase will be deemed satisfied when the ownership rights in the Securities are transferred by Direct Participants on DTC's records and followed by a book-entry credit of tendered Securities to the Trustee's DTC account.

10. DTC may discontinue providing its services as depository with respect to the Securities at any time by giving reasonable notice to Issuer or Agent. Under such circumstances, in the event that a successor depository is not obtained, Security certificates are required to be printed and delivered.

11. Issuer may decide to discontinue use of the system of book-entry-only transfers through DTC (or a successor securities depository). In that event, Security certificates will be printed and delivered to DTC.

12. The information in this section concerning DTC and DTC's book-entry system has been obtained from sources that Issuer believes to be reliable, but Issuer takes no responsibility for the accuracy thereof.

APPENDIX H

DEPARTMENT OF FINANCE APPROVAL LETTER

APPENDIX I
SPECIMEN MUNICIPAL BOND INSURANCE POLICY



COUNCIL COMMUNICATION

CC #: 7737
File #: 0102-11

Title: Oak Street Parking Facility Update
Contact: Bill Aiken 916-774-5271 baiken@roseville.ca.us

Meeting Date: 6/1/2016
Item #: 9.1.

RECOMMENDATION TO COUNCIL

Informational only. No Council action required.

BACKGROUND

Council has previously approved the project's design and construction agreements. Since this is a design-assist project requiring close coordination between designer, contractor, and city, recent efforts have focused on refining project design to best fit project goals and budget.

FISCAL IMPACT

Update only, no current fiscal impacts.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

Not applicable.

Respectfully Submitted,

Bill Aiken, Development Analyst

Chris Robles, Economic Development Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Powerpoint



Oak Street Parking Facility: Project Update

June 1, 2016

- April 2015
 - Walker Parking Consultants
- September 2015
 - Council Workshop
- January 2016
 - Right-of-Way Acquisition
- February 2016
 - Overaa Construction
 - Walker contract amendment



Milestones



Progress Update

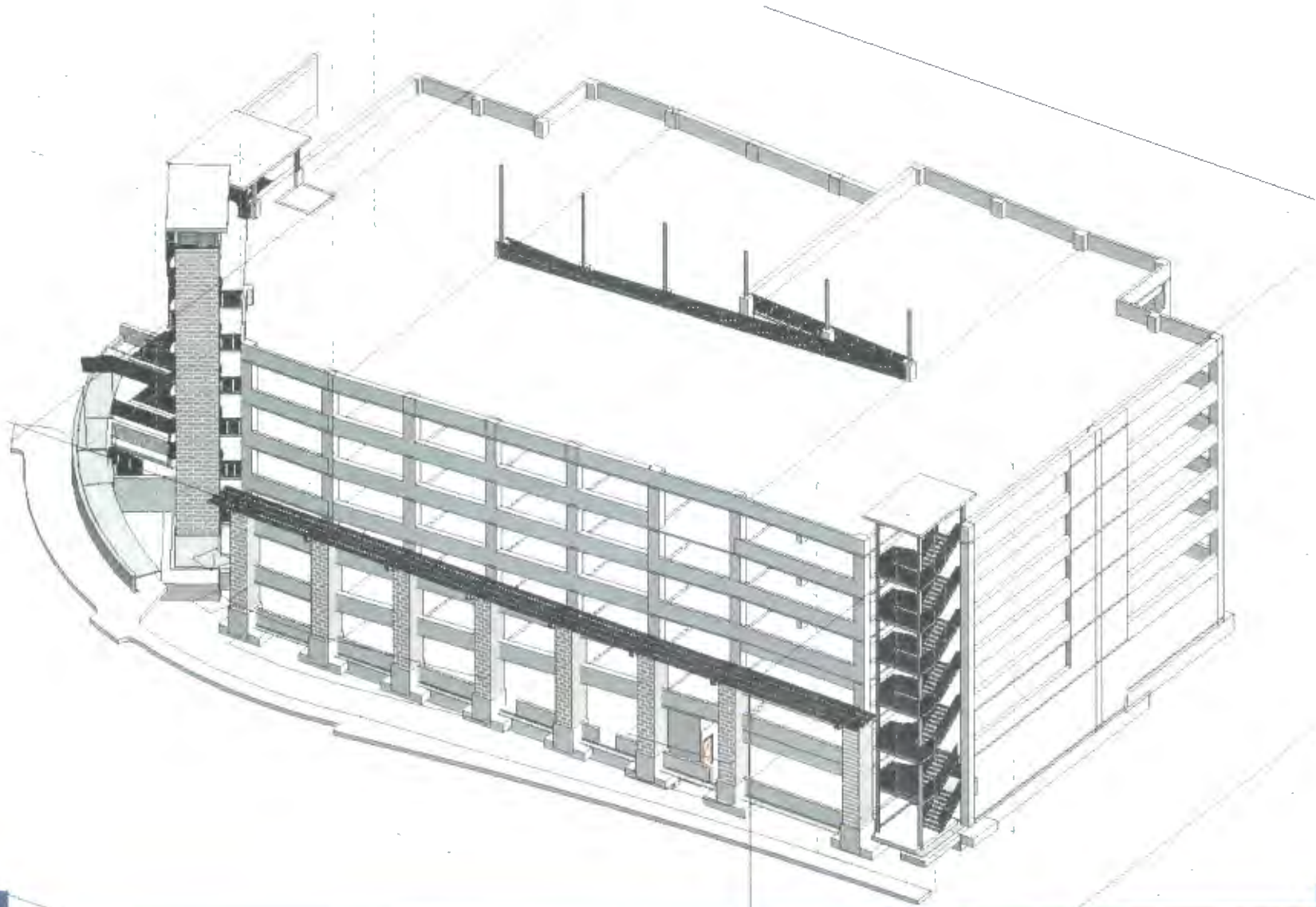
- Demolition/Utility Plans Completed
 - Subcontractor Bids
- 3rd Party Plan Set Review Agreement
 - Structural Review
- Special Inspections & Testing RFP
- 65% Plans Submitted



Oak Street View



Washington Blvd. View





Budget

\$10.5m: Proposed construction cost

\$1.5m: Design cost, other soft costs

\$11.1m: Contractor estimate

Goal:

- Design to established budget
- Provide add-on options for consideration



Schedule

- June 17 90% Construction Plans
- June 20 Contractor Bids Subcontracts
- July 11 100% Construction Plans
- August 3 Council – Approve Plans/Budget
- August 9 Construction – Grading/Utilities
- August 30 Construction – Structure
- May 2017 Construction Completed

- Finalize Design
- Identify Construction Costs
- Council
 - Final Project Plans
 - Overall Cost Estimate
 - Options
- Construction
 - Demo/Grading/Utilities
 - Foundation/Structure

Next Steps



COUNCIL COMMUNICATION

CC #: 7699

File #: 0103-34-02

Title: Roseville Grants Advisory Commission - FY2016/17 Funding Recommendations

Contact: Laura Matteoli 916-774-5284 lmatteoli@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 9.2.

RECOMMENDATION TO COUNCIL

Staff recommends that Council:

1. Adopt the Grants Advisory Commission recommendations to fund 49 grants in the amount of \$404,353 (\$393,123 from the Citizens' Benefit Fund and \$11,230 from the REACH Fund).
2. Approve the inclusion of \$404,353 in the fiscal year 2016-17 City of Roseville budget along with the attached list of grant recipients, see Attachment 1.

BACKGROUND

The City has two funding sources from which to award grants to non-profit organizations, the Citizens' Benefit Fund (CBF) and the REACH Fund. The majority of the available funds are funded through the CBF and as such must be used by non-profits for programs and services benefitting Roseville citizens. Funds through REACH may be used for programs and services outside of Roseville in surrounding communities. Therefore some programs that only serve areas outside of Roseville are restricted to funding through REACH Funds.

2016-17 FUNDING CYCLE

For the FY2016-17 fiscal year, \$411,230 is available for distribution:

- \$400,000 from the Citizens' Benefit Fund (CBF)
- \$11,230 from the REACH Fund

Non-profit 501(c)(3) or (c)(4) organizations, public agencies and schools were eligible to apply. Given the available funding, the Grants Advisory Commission set the maximum limit on the CBF grant requests at \$10,000 and the employee REACH Committee recommended a \$2,500 maximum limit on REACH grants.

The FY2016-17 funding cycle began in January 2016 when applications were made available to the public. The Grants Advisory Commission (GAC) conducted a televised voluntary applicant workshop on January 12, 2016. Grant applications were due on February 11, 2016.

A total of 51 eligible Grant applications were submitted requesting funding support totaling \$438,817.40 from the combined funding sources. Of these requests, the GAC Commission, at the March 8, 2016 meeting, deemed thirteen Grant applications "at risk" and five Grant applications "disqualified". Notifications were sent on March 11, 2016. On April 12, 2016,

the GAC reviewed the "at risk" and "disqualified" application appeals. The Commission upheld three of the five disqualifications and determined three of the "at risk" applications to be disqualified due to incomplete applications. Grant applicants were notified of their disqualification on April 13, 2016.

The Roseville Grants Advisory Commission met on May 10, 2016 to discuss the merits of each Grant application and make funding recommendations. The Commission recommended full or partial funding for 49 Grant requests, or 96% of all eligible requests (with 84% being fully funded). A total of 2 eligible Grant requests were not recommended for funding.

Grant application funding is as follows:

- The Grants Advisory Commission recommends that 44 grant applications be funded with \$369,353 of Citizens' Benefit Funds.
- The Grants Advisory Commission recommends that 2 grant applications be funded with \$5,000 of REACH Funds.
- The Grants Advisory Commission recommends that 3 grant applications be co-funded with \$23,770 of Citizens' Benefit Funds and \$6,230 of REACH Funds.

At the May 10, 2016 meeting, the GAC made a recommendation to carryover \$6,877.00 of CBF monies to next year's Grant program. Attachment 1 is the list of grant applicants that requested funding; the amount of each request and the Grants Advisory Commission's funding recommendations. NOTE: A separate motion is needed for those grants with a Council member(s) abstaining due to service within the organization recommended for funding.

With the awards proposed in fiscal year FY2016-17, total awards for community projects from the CBF will total \$14,460,697 million. REACH Fund awards have added another \$502,507 in grant funding for a grand total of **\$14,963,204** from the CBF and REACH Funds together.

On April 26, the City received notification of intent to appeal the GAC's determination for disqualification from the Roseville Coalition of Neighborhood Associations (RCONA) who did not attend the April 12, 2016 GAC meeting where appeals were discussed. The City reviewed the Grants Advisory Commission Policy and Roseville Municipal Code and Charter and determined that the disqualification of the RCONA Grant request is not appealable to the GAC but may be appealed to the City Council. The RCONA has been notified of the appeal process and should a formal written appeal be submitted, the appeal will be forwarded to City Council for review and consideration.

FISCAL IMPACT

The fiscal impact to the General Fund is nominal. Grant money of \$411,230 is allocated from the Citizens Benefit and REACH Funds investment revenue proceeds. These monies will be included in the FY 2016-17 City of Roseville budget and approved for grant purposes. The administration of the grants program has a nominal cost associated with staff resources. Estimated annual cost of administration is approximately \$30,000 annually.

ECONOMIC DEVELOPMENT / JOBS CREATED

The funding granted to local non-profits is invested directly into the Roseville community and is used to support a variety of programs and services that benefit Roseville and Placer County citizens. The funds granted through the CBF help to improve the quality of life in Roseville and is one of the key factors to attracting and keeping businesses in Roseville. Many of the dollars granted also directly create jobs by funding staff or contract workers for the non-profits.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). This award of grants does not include the potential for a significant environmental effect, and is not subject to CEQA.

Respectfully Submitted,

Laura Matteoli, Economic Development Manager

Chris Robles, Economic Development Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

FY16-17 CBF & REACH Grant Recipients

2016-17 PRELIMINARY FUNDING RECOMMENDATIONS

Organization Name	CBF Funding	REACH Funding	Funding Source
Application Title			
A Touch of Understanding, Inc.	\$ 10,000.00		CBF
Disability Awareness Workshops in Roseville schools			
Advocates for Mentally Ill Housing, Inc.	\$ 10,000.00		CBF
Advocates for Mentally Ill Housing Inc.			
Assistance League of Greater Placer	\$ 8,770.00	\$1,230.00	CBF \$8,770 REACH \$1,230
Operation School Bell. Assistance League Greater Placer			
Barbara Chilton Middle School	\$ 2,500.00		CBF
Band Instrument Project			
Campus Life Connection	\$ 10,000.00		CBF
Point Break Anti-Bullying Workshops			
Child Advocates of Placer County	\$ 10,000.00		CBF
CASA/Mentor Project for Roseville Foster and At-Risk Youth			
City of Roseville Fire Department	\$ 5,500.00		CBF
Firefighters in Schools Program & Volunteers in Prevention			
City of Roseville Police Department	\$ 7,500.00		CBF
Community Outreach and Crime Prevention Programs			
City of Roseville, Dept of Parks, Recreation & Libraries	\$ 10,000.00		CBF
Operation Swim & Sparks Summer Playground Program			
City of Roseville, Development Services, Code Enforcement Division	\$0		
Neighborhood Enhancement Program			
Dyslexia Alliance	\$ 5,520.00		CBF
Pathways to Literacy			
Eskaton Foundation	\$ 7,500.00	\$2,500.00	CBF \$7,500 REACH \$2,500
Transportation Plus and Well-Check Calls			
Gold Country Chaplaincy	\$ 10,000.00		CBF
Operational Support			
Homeless Resource Council of the Sierras	\$ 7,500.00		CBF
Administration of HUD mandated objectives			
Keaton Raphael Memorial (KRM)	\$ 10,000.00		CBF
Road to Recovery			
KidsFirst	\$ 10,000.00		CBF
Child & Family Therapy Program			
Lighthouse Counseling & Family Resource Center		\$2,500.00	REACH
Family Wellness Initiative			
My Mother's Voice	\$ 10,000.00		CBF
A Book of My Own and Covering the Basics			
Oakmont High School RJUHSD	\$ 1,875.00		CBF
Transformation from Library to Learning Commons			
Performing Arts of Roseville	\$ 10,000.00		CBF
Music in the Park			
Placer ARC	\$ 10,000.00		CBF
On the Go - Operating Support			
Placer Food Bank (PFB)	\$ 10,000.00		CBF

2016-17 PRELIMINARY FUNDING RECOMMENDATIONS

Organization Name	CBF Funding	REACH Funding	Funding Source
Application Title			
Feed Our Future	\$ 10,000.00		CBF
Placer Independent Resource Services		\$ 2,500.00	REACH
PIRS-Roseville Assistive Technology Project			
Placer Multi-Disciplinary Interview Center (MDIC)	\$ 10,000.00		CBF
Placer Multi-Disciplinary Interview Center			
Placer Society for the Prevention of Cruelty to Animals	\$ 10,000.00		CBF
Spay Neuter Assistance Program (Cats)			
Placer Veterans Stand Down Inc.	\$ 10,000.00		CBF
Placer Veterans Stand Down			
ReCreate	\$ 10,000.00		CBF
Maker Mobile - STEAM Education			
Robert C. Cooley Middle School	\$ 2,500.00		CBF
Band Instrument Project			
Roseville Adult School	\$ 2,500.00		CBF
Roseville Adult School Flag Pole Project			
Roseville Alano Club Inc.	\$ 6,740.00		CBF
Roseville Alano Club Laminate Flooring Project			
Roseville Community Concert Band	\$ 10,000.00		CBF
Music Camp/Concert Production/Young Musician Outreach			
Roseville Historical Society	\$ 9,500.00		CBF
Operations and Programs			
Roseville Home Start, Inc.	\$ 10,000.00		CBF
Comprehensive Therapy Program for Homeless Families			
Roseville Joint Union High School	\$0		
District Student Community Square			
Roseville Joint Union High School - Independence HS	\$ 2,500.00		CBF
Independence HS Digital Camera/Software Yearbook Class			
Roseville Police Activities League, Inc.	\$ 10,000.00		CBF
Roseville Police Activities League Summer Program			
Roseville Theatre Arts Academy	\$ 7,218.00		CBF
Roseville Title 1 Schools Theatre Experience			
Roseville Utility Exploration Center	\$ 3,000.00		CBF
School Program Access for Roseville Students			
Rotary Club of Roseville Foundation	\$ 10,000.00		CBF
Miles of Smiles			
Seniors First, Inc.	\$ 10,000.00		CBF
Senior Nutrition and SeniorLink			
Sierra College Foundation	\$ 7,500.00	\$2,500.00	CBF \$7,500 REACH \$2,500
Emancipated Youth Care Packs			
Sierra Forever Families	\$ 10,000.00		CBF
Finding Forever Families for Kids in Foster Care			
Sierra Mental Wellness Group	\$ 7,500.00		CBF
Mental Health Scholarship Fund			
Society for the Blind	\$ 10,000.00		CBF
Low Vision Clinic & Blindness Skills Training for Older Adults			

2016-17 PRELIMINARY FUNDING RECOMMENDATIONS

Organization Name	CBF Funding	REACH Funding	Funding Source
Application Title			
St Vincent de Paul Society, Roseville Area Conference	\$ 10,000.00		CBF
Food Locker Program			
Stand Out Talent	\$ 10,000.00		CBF
Stand Out Talent Tower Theatre Venue Improvement			
Stand Up Placer, Inc.	\$ 10,000.00		CBF
Roseville Victims' Services Program			
The Gathering Inn	\$ 10,000.00		CBF
The Gathering Inn Emergency Overnight Homeless Shelter			
The Me-One Foundation, Inc.	\$ 10,000.00		CBF
The Me-One Camp Challenge			
Tommy Apostolos Fund	\$ 10,000.00		CBF
Tommy Apostolos Fund			
Wellness Within	\$ 7,500.00		CBF
Cancer Survivor and Caregiver Retreats			
Total Funding	\$ 393,123.00	\$ 11,230.00	

2016-17 PRELIMINARY FUNDING RECOMMENDATIONS

Organization Name	CBF Funding	REACH Funding	Funding Source
Application Title			
Placer Food Bank (PFB)			
Feed Our Future	\$ 10,000.00		CBF
St Vincent de Paul Society, Roseville Area Conference			
Food Locker Program	\$ 10,000.00		CBF
A Touch of Understanding, Inc.			
Disability Awareness Workshops in Roseville schools	\$ 10,000.00		CBF
Child Advocates of Placer County			
CASA/Mentor Project for Roseville Foster and At-Risk Youth	\$ 10,000.00		CBF
Tommy Apostolos Fund			
Tommy Apostolos Fund	\$ 10,000.00		CBF
Placer Multi-Disciplinary Interview Center (MDIC)			
Placer Multi-Disciplinary Interview Center	\$ 10,000.00		CBF
The Gathering Inn			
The Gathering Inn Emergency Overnight Homeless Shelter	\$ 10,000.00		CBF
Roseville Utility Exploration Center			
School Program Access for Roseville Students	\$ 3,000.00		CBF
Roseville Police Activities League, Inc.			
Roseville Police Activities League Summer Program	\$ 10,000.00		CBF
Rotary Club of Roseville Foundation			
Miles of Smiles	\$ 10,000.00		CBF
Stand Up Placer, Inc.			
Roseville Victims' Services Program	\$ 10,000.00		CBF
Keaton Raphael Memorial (KRM)			
Road to Recovery	\$ 10,000.00		CBF
Seniors First, Inc.			
Senior Nutrition and SeniorLink	\$ 10,000.00		CBF
Society for the Blind			
Low Vision Clinic & Blindness Skills Training for Older Adults	\$ 10,000.00		CBF
Roseville Alano Club Inc.			
Roseville Alano Club Laminate Flooring Project	\$ 6,740.00		CBF
ReCreate			
Maker Mobile - STEAM Education	\$ 10,000.00		CBF
KidsFirst			
Child & Family Therapy Program	\$ 10,000.00		CBF
Roseville Theatre Arts Academy			
Roseville Title 1 Schools Theatre Experience	\$ 7,218.00		CBF
Roseville Home Start, Inc.			
Comprehensive Therapy Program for Homeless Families	\$ 10,000.00		CBF
Lighthouse Counseling & Family Resource Center			
Family Wellness Initiative		\$2,500.00	REACH
Sierra College Foundation			
Emancipated Youth Care Packs	\$ 7,500.00	\$2,500.00	CBF \$7,500 REACH \$2,500
Placer Society for the Prevention of Cruelty to Animals			
Spay Neuter Assistance Program (Cats)	\$ 10,000.00		CBF
Roseville Community Concert Band			
Music Camp/Concert Production/Young Musician Outreach	\$ 10,000.00		CBF
Placer ARC			
	\$ 10,000.00		CBF

2016-17 PRELIMINARY FUNDING RECOMMENDATIONS

Organization Name	CBF Funding	REACH Funding	Funding Source
Application Title			
On the Go - Operating Support	\$ 10,000.00		CBF
Eskaton Foundation			
Transportation Plus and Well-Check Calls	\$ 7,500.00	\$2,500.00	CBF \$7,500 REACH \$2,500
Stand Out Talent			
Stand Out Talent Tower Theatre Venue Improvement	\$ 10,000.00		CBF
Sierra Mental Wellness Group			
Mental Health Scholarship Fund	\$ 7,500.00		CBF
Roseville Joint Union High School - Independence HS			
Independence HS Digital Camera/Software Yearbook Class	\$ 2,500.00		CBF
Gold Country Chaplaincy			
Operational Support	\$ 10,000.00		CBF
Advocates for Mentally Ill Housing, Inc.			
Advocates for Mentally Ill Housing Inc.	\$ 10,000.00		CBF
Performing Arts of Roseville			
Music in the Park	\$ 10,000.00		CBF
Roseville Adult School			
Roseville Adult School Flag Pole Project	\$ 2,500.00		CBF
Placer Independent Resource Services			
PIRS-Roseville Assistive Technology Project		\$ 2,500.00	REACH
Sierra Forever Families			
Finding Forever Families for Kids in Foster Care	\$ 10,000.00		CBF
Placer Veterans Stand Down Inc.			
Placer Veterans Stand Down	\$ 10,000.00		CBF
Campus Life Connection			
Point Break Anti-Bullying Workshops	\$ 10,000.00		CBF
Assistance League of Greater Placer			
Operation School Bell. Assistance League Greater Placer	\$ 8,770.00	\$1,230.00	CBF \$8770 REACH \$1,230
My Mother's Voice			
A Book of My Own and Covering the Basics	\$ 10,000.00		CBF
Robert C. Cooley Middle School			
Band Instrument Project	\$ 2,500.00		CBF
City of Roseville, Dept of Parks, Recreation & Libraries			
Operation Swim & Sparks Summer Playground Program	\$ 10,000.00		CBF
Roseville Historical Society			
Operations and Programs	\$ 9,500.00		CBF
Barbara Chilton Middle School			
Band Instrument Project	\$ 2,500.00		CBF
The Me-One Foundation, Inc.			
The Me-One Camp Challenge	\$ 10,000.00		CBF
Homeless Resource Council of the Sierras			
Administration of HUD mandated objectives	\$ 7,500.00		CBF
City of Roseville Fire Department			
Firefighters in Schools Program & Volunteers in Prevention	\$ 5,500.00		CBF
Oakmont High School RJUHSD			
Transformation from Library to Learning Commons	\$ 1,875.00		CBF
Dyslexia Alliance			
Pathways to Literacy	\$ 5,520.00		CBF
City of Roseville Police Department			
Community Outreach and Crime Prevention Programs	\$ 7,500.00		CBF
Wellness Within			
Cancer Survivor and Caregiver Retreats	\$ 7,500.00		CBF
Roseville Joint Union High School			
District Student Community Square	\$0		

2016-17 PRELIMINARY FUNDING RECOMMENDATIONS			
Organization Name	CBF Funding	REACH Funding	Funding Source
Application Title			
City of Roseville, Development Services, Code Enforcement Division	\$0		
Neighborhood Enhancement Program			
Total Funding	\$ 393,123.00	\$ 11,230.00	



COUNCIL COMMUNICATION

CC #: 7711

File #: 0709

Title: Tax Equity Fiscal Responsibility Act Hearing - Campus Oaks Apartments Phases 1 and 2

Contact: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

Meeting Date: 6/1/2016

Item #: 10.1.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council take the following actions:

1. Conduct the public hearing under the requirements of the Tax and Equity Fiscal Responsibility Act ("TEFRA") and the Internal Revenue Code of 1986, as amended (the "Code").
2. Adopt the resolution approving the issuance of Bonds by the California Statewide Communities Development Authority ("CSCDA") of multifamily housing revenue bonds for the Campus Oaks Apartments Phase I and II for the benefit of Campus Oaks Apartments 1, LLC, an Illinois limited liability company and Campus Oaks Apartments 2, LLC, an Illinois limited liability company (the "Borrowers"), to provide an aggregate principal amount not to exceed \$81,000,000 for the purpose of financing the costs of the acquisition and construction of a 185-unit multifamily rental housing project, plus ancillary and subordinate financing located at the Southwest corner of Crimson Ridge Drive and HP Way, Roseville, California, to be known as Campus Oaks Apartment Phase I with a maximum principal amount not to exceed \$37,500,000; and \$43,500,000 in outstanding aggregate principal amount, to finance the acquisition and construction of a 210-unit multifamily rental housing project, plus ancillary and subordinate facilities located at the Southwest corner of Painted Desert Drive and HP Way, Roseville, California, to be known as Campus Oaks Apartments Phase II, each operated by its respective Borrower.

BACKGROUND

In order for all or a portion of the Bonds to qualify as tax-exempt Bonds, the City of Roseville must conduct a public hearing (the "TEFRA Hearing") providing for the members of the community an opportunity to speak in favor of or against the use of tax-exempt Bonds for the financing of the Project. Prior to such TEFRA Hearing, reasonable notice must be provided to the members of the community. Following the close of the TEFRA Hearing, an "applicable elected representative" of the governmental unit hosting the Project must provide its approval of the issuance of the Bonds for the financing of the Project.

Campus Oaks Apartments 1, LLC, an Illinois limited liability company and Campus Oaks

Apartments 2, LLC, an Illinois limited liability company (the "Borrowers") requested that California Statewide Communities Development Authority ("CSCDA") serve as a municipal issuer of the Bonds in aggregate principal not to exceed \$81,000,000. The proceeds of the Bonds will be used for financing the costs of acquisition and construction of of a 185-unit multifamily rental housing project, plus ancillary and subordinate financing located at the Southwest corner of Crimson Ridge Drive and HP Way, Roseville, California, to be known as Campus Oaks Apartment Phase I and a 210-unit multifamily rental housing project, plus ancillary and subordinate facilities located at the Southwest corner of Painted Desert Drive and HP Way, Roseville, California, to be known as Campus Oaks Apartments Phase II, each operated by its respective Borrower.

The proposed project has an application pending with the City for discretionary review which seeks approval for amendments to current entitlements to allow for the proposed project. The bonds will not be issued unless and until the project has been approved. The tentative schedule for Planning Commission is July 28, 2016 and City Council approval on September 7, 2016.

Participation by the City in the CSCDA will not impact the City's appropriations limits and will not constitute any type of indebtedness by the City. Outside of holding the TEFRA hearing, adopting the required resolution, no other participation or activity of the City or the City Council with respect to the issuance of the Bonds will be required.

FISCAL IMPACT

The approval of this resolution does not have any impact on the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Support of the request will allow Campus Oaks Apartments, LLC a limited liability company to build two apartment complexes adding 395 units of housing to the City of Roseville.

ENVIRONMENTAL REVIEW

Conducting a TEFRA hearing is not considered a project as defined by Section 15378 of the California Environmental Quality Act (CEQA) Guidelines. Conducting the TEFRA hearing does not require or commit the City to subsequently approve the Campus Oaks Apartments project.

Additionally, the hearing does not further the Campus Oaks Apartments project in a manner which would preclude meaningful CEQA review of alternatives or mitigation measures. *Save Tara v.*

City of West Hollywood, 45 Cal.4th 116 (2008); *Cedar Fair v. City of Santa Clara*, 194 CA4th 1150 (2011); and, *Neighbors For Fair Planning v. City and County of San Francisco*, 2013 WL 3209381 (2013). Therefore, no CEQA action is required.

Respectfully Submitted,

Jeannine Thrash, Administrative Analyst

Monty Hanks, Finance Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution No. 16-208

RESOLUTION NO. 16-208

RESOLUTION APPROVING THE ISSUANCE BY THE CALIFORNIA STATEWIDE
COMMUNITIES DEVELOPMENT AUTHORITY OF MULTIFAMILY HOUSING REVENUE
BONDS FOR THE CAMPUS OAKS APARTMENTS PHASE I AND II.

The California Statewide Communities Development Authority (the “Authority”) is authorized pursuant to the provisions of California Government Code section 6500 et seq. and the terms of an Amended and Restated Joint Exercise of Powers Agreement, dated as of June 1, 1988 (the “Agreement”), among certain local agencies throughout the State of California, including the City of Roseville (the “City”), to issue revenue bonds in accordance with Chapter 7 of Part 5 of Division 31 of the California Health and Safety Code for the purpose of financing multifamily rental housing projects; and

Campus Oaks Apartments 1, LLC, an Illinois limited liability company (“Campus Oaks 1”) and Campus Oaks Apartments 2, LLC, an Illinois limited liability company (“Campus Oaks 2”), have requested that the Authority adopt a plan of financing providing for the issuance of multifamily housing revenue notes or bonds (the “Bonds”) in one or more series issued from time to time, including bonds or notes issued to refund such revenue bonds in one or more series from time to time, and at no time to exceed: \$37,500,000 in outstanding aggregate principal amount, to finance the acquisition and construction of a 185-unit multifamily rental housing project, plus ancillary and subordinate facilities located at the Southwest corner of Crimson Ridge Drive and HP Way, Roseville, California, to be known as Campus Oaks Apartments Phase I (the “Phase I Project”); and \$43,500,000 in outstanding aggregate principal amount, to finance the acquisition and construction of a 210-unit multifamily rental housing project, plus ancillary and subordinate facilities located at the Southwest corner of Painted Desert Drive and HP Way, Roseville, California, to be known as Campus Oaks Apartments Phase II (the “Phase II Project” and together, with the Phase I Project, the “Projects”). The Phase I Project will be operated by Campus Oaks 1 and the Phase II Project will be operated by Campus Oaks 2; and

The Bonds or a portion thereof will be “private activity bonds” for purposes of the Internal Revenue Code of 1986 (the “Code”); and

Pursuant to Section 147(f) of the Code, prior to their issuance, private activity bonds are required to be approved by the “applicable elected representative” of the governmental unit on whose behalf such bonds are expected to be issued and by a governmental unit having jurisdiction over the entire area in which any facility financed by such bonds is to be located, after a public hearing held following reasonable public notice; and

The members of this City Council (this “City Council”) are the applicable elected representatives of the City; and

There has been published, at least 14 days prior to the date hereof, in the *Roseville Press Tribune*, a newspaper of general circulation within the City, a notice that a public hearing regarding the Bonds would be held on June 1, 2016; and

Such public hearing was conducted on such date, at which time an opportunity was provided to interested parties to present arguments both for and against the issuance of the Bonds; and

The Authority is also requesting that the City Council approve the issuance of any refunding bonds hereafter issued by the Authority for the purpose of refinancing the Bonds which financed the

Projects (the “Refunding Bonds”), but only in such cases where federal tax laws would not require additional consideration or approval by the City Council; and

It is intended that this Resolution shall constitute the approval of the issuance of the Bonds required by Section 147(f) of the Code and Section 9 of the Agreement; now, therefore,

BE IT RESOLVED BY THE CITY COUNCIL OF THE CITY OF ROSEVILLE AS FOLLOWS:

1. The above recitals are true and correct.
2. The City Council hereby approves the issuance of the Bonds and the Refunding Bonds by the Authority. It is the purpose and intent of the City Council that this Resolution constitute approval of the Bonds for the purposes of: (a) Section 147(f) of the Code; and (b) Section 9 of the Agreement.
3. The officers of the City are hereby authorized and directed, jointly and severally, to do any and all things and to execute and deliver any and all documents that they deem necessary or advisable in order to carry out, give effect to and comply with the terms and intent of this Resolution and the financing approved hereby.
4. The City Clerk shall forward a certified copy of this Resolution to:

Kutak Rock LLP
1650 Farnam Street
Omaha, Nebraska 68102
Attention: Toger Swanson
5. This Resolution shall take effect immediately upon its passage.
6. Officers and employees of the City are authorized to take such other actions as are appropriate to carry out the intent of this Resolution.

PASSED, APPROVED and ADOPTED June 1, 2016.

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

ATTEST:

CAROL GARCIA, Mayor of the City of Roseville

SONIA OROZCO
City Clerk of the City of Roseville