



AGENDA

March 16, 2016

CITY COUNCIL SPECIAL CLOSED SESSION

6:00 p.m.

CITY COUNCIL MEETING

7:00 p.m.

City Council Chambers
311 Vernon Street
Roseville, California

CLOSED SESSION

6:00 p.m.

- A. CLOSED SESSION CALL TO ORDER
- B. CLOSED SESSION SILENT ROLL CALL
- C. CLOSED SESSION PUBLIC COMMENTS
- D. CLOSED SESSION ITEMS
 - 1. Conference with Legal Counsel – Anticipated Litigation
Pursuant to Government Code Section 54956.9(d)(4)
Initiation of litigation: One potential case
CONTACT: Robert Schmitt 774-5325 rschmitt@roseville.ca.us
 - 2. Conference with Labor Negotiator
Pursuant to California Government Code Section 54957.6
Agency designated representative: Gayle Satchwell
Employee Organizations:
Roseville Police Officers Association;
Roseville Police Association;
IBEW, Local 1245;
Stationary Engineers, Local 39;
Management/Confidential Employees
and City Council Appointed Positions.
CONTACT: Gayle Satchwell 774-5374 gsatchwell@roseville.ca.us
- E. CLOSED SESSION ADJOURNMENT

1. CALL TO ORDER

2. ROLL CALL

Councilmember: Bonnie Gore

Vice Mayor: Susan Rohan

Councilmember: Tim Herman

Councilmember: Pauline Roccucci

Mayor: Carol Garcia

3. PLEDGE OF ALLEGIANCE

4. MEETING PROCEDURES

NOTICE TO THE PUBLIC

All Items on the agenda will be open for the public comment before final action is taken. Speakers are requested to restrict comments to the item as it appears on the agenda and stay within a five (5) - minute time limit. The Mayor has the discretion of limiting the total discussion time for an item.

5. PRESENTATIONS

5.1. Proclamation - Child Abuse Prevention Month

Proclaim April 2016 as Child Abuse Prevention Month and reaffirm dedication to protecting the children of the community, and call upon citizens to increase their participation in awareness of preventing child abuse.

CONTACT: Heather Blanco 774-5265 hblanco@roseville.ca.us

6. PUBLIC COMMENTS

NOTICE TO THE PUBLIC

Persons may address the City Council on items not on this agenda. Please complete a "Speaker Information Card" and present it to the City Clerk prior to the start of the meeting. Speakers shall restrict their comments to issues that are within the subject jurisdiction of the City Council and limit their comments to three (3) minutes per person. The total time allocated for Public Comment is 25 minutes. The Brown Act, with certain exceptions, does not permit the City Council to discuss or take action on issues that are not listed on the agenda.

7. CONSENT CALENDAR

NOTICE TO THE PUBLIC

All matters listed under Consent Calendar are considered to be routine and all will be passed by one motion. There will be no discussion of these items unless members of the City Council or the public request specific items be removed from the Consent Calendar for separate discussion. Any member of the public may address the City Council on items on the Consent Calendar. Public comments on any item or items on the Consent Calendar are limited to five (5) minutes per speaker

BEGINNING OF CONSENT CALENDAR

Minutes**7.1. Minutes of Prior Meetings**

February 17, 2016 City Council Special Closed Session, February 17, 2016 City Council/Housing Successor/Successor Agency of the Redevelopment Agency Meeting.

CC #: 7530

File #: 0102-03

CONTACT: Sonia Orozco 774-5263 sorozco@roseville.ca.us

Bids / Purchases / Services**7.2. Downtown Bridges and Trail Improvement Project - Agreement for Sale of Conservation Credits, Approval of Plans and Specifications, and Authorization to Call for Bids**

Memo from Associate Engineer Hossein Naghibzadeh and Public Works Director Rhon Herndon recommending Council adopt RESOLUTION NO. 16-103 APPROVING AN AGREEMENT FOR SALE OF CONSERVATION CREDITS, FREMONT LANDING CONSERVATION BANK, BY AND BETWEEN THE CITY OF ROSEVILLE AND RIPARIAN RANCH, LLC, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and adopt RESOLUTION NO. 104 AUTHORIZING STAFF TO REPROGRAM FEDERAL CONGESTION MITIGATION & AIR QUALITY FUNDS FROM THE DRY CREEK GREENWAY PROJECT TO THE DOWNTOWN CLASS I TRAIL PROJECT, and approve the plans and specifications for the Downtown Bridges and Trail Improvement Project, and authorize staff to advertise the project, transfer CMAQ funds, and purchase mitigation bank credits.

CC #: 7543

File #: 0721-05

CONTACT: Hossein Naghibzadeh 746-1300 hnaghibzadeh@roseville.ca.us

7.3. Modular Storage Units (RFQ 01-3067) - Reject all Bids

Memo from Buyer Peter Brevik and Central Services Director Paul Diefenbach recommending Council adopt RESOLUTION NO. 16-95 REJECTING ALL BIDS FOR MODULAR STORAGE UNITS. The Purchasing Department released a bid on behalf of the Parks, Recreation and Libraries Department for twelve modular storage units to be placed at three locations within the City. The City received one bid for the project and the dollar amount surpassed the department's budget and staff plans to re-bid this project with a different scope upon Council approval to reject all current bids. There is no fiscal impact at this time, since there will be no contract awarded.

CC #: 7528

File #: 0203-21 & 0203-10

CONTACT: Peter Brevik 774-5724 pBrevik@roseville.ca.us

7.4. Tubular Steel Poles (RFQ 01-3061) - Purchase Order

Memo from Buyer Babette Owens and Central Services Director recommending Council authorize award for tubular steel poles to TransAmerica Power Products, Inc. as the only responsive bid to RFQ 01-3061. Roseville Electric completed a LiDAR survey of the 60 kV transmission lines throughout the City to establish any clearance issues between the 60 kV and 12 kV energized conductors and between the energized conductors and the ground. This detailed survey determined three of the existing poles were affected and need to be replaced with steel poles. The total cost of these steel poles including tax is \$48,147.00. Funding is included in the Electric 60 kV Restrunging CIP project (134008) FY2015/16 Budget. Fifty (50) % of the cost of the poles will be reimbursed by the NIPA Blue Oaks Commerce Center development.

CC #: 7538

File #: 0203-07

CONTACT: Babette Owens 774-5704 bowens@roseville.ca.us

7.5. Roseville Energy Park Maintenance and Repair Services – Purchase Order

Memo from Power Generation Superintendent Matt Garner and Electric Utility Director Michelle Bertolino recommending Council approve a purchase order between City of Roseville and American Compressor Company (P1613400). This item is approving a multi-year sole source purchase order with American Compressor Company for offsite maintenance and repair services in support of the Roseville Energy Park. Funding is included in the Electric Department Budget for FY2016. Cost of the purchase order for FY2016 is not expected to exceed \$50,000.00. The total cost of the purchase order for 5 years will not exceed \$250,000.00.

CC #: 7536

File #: 0203-07

CONTACT: Matt Garner 746-1691 mgarner@roseville.ca.us

7.6. Asphalt Sealant and Filler - Annual Blanket Purchase Order

Memo from Buyer Peter Brevik and Central Services Director Paul Diefenbach recommending Council award an annual blanket purchase order to Crafcro Inc. for asphalt sealant and fillers. The City will be utilizing State of Washington contract #01211. The Street Maintenance Division has a recurring demand for Crafcro crack sealant pavement preservation products. Crafcro was chosen because of the price and quality of materials. Upon expiration of the competitively bid State of Washington multi-year contract, City staff will perform an evaluation of the terms and conditions and pricing of any renewed contract. If the renewed contract continues to best meet the City's needs at that time, staff requests the ability to renew with the vendor contingent upon Council approval of budget. The total estimated cost for annual pavement patching products is \$100,000.00. Funding is included in the Public Works Street Maintenance Division FY2015/16 budget and future budgets pending Council approval of budgets.

CC #: 7523
File #: 0203-13-01

CONTACT: Peter Brevik 774-5724 pbrevik@roseville.ca.us

7.7. Pleasant Grove Wastewater Treatment Plant Mixed Liquor Return Pump Replacement – Sole Source Purchase Order Approval

Memo from Senior Engineer Todd Jordan and Environmental Utilities Director Richard Plecker recommending Council approve the award of a sole source purchase order to Xylem Water Solutions for the purchase of three Flygt NP series pumps to replace a failed mixed liquor return pumps at the Pleasant Grove Wastewater Treatment Plant. The estimated cost is not to exceed \$123,173.93, which includes tax and shipping fees. Funding is included in the FY2015/16 Wastewater Operations Budget.

CC #: 7514

File #: 0203-09

CONTACT: Todd Jordan 746-1829 tjordan@roseville.ca.us

7.8. Pressure Treated Wood Poles - Open Purchase Orders

Memo from Buyer Peter Brevik and Central Services Director Paul Diefenbach recommending Council authorize open purchase orders to McFarland Cascade as the lowest responsive bidder to RFQ 01-3068 pressure treated wood poles. The Electric Department has a recurring requirement for pressure treated wood poles. The wood poles are provided and installed by Roseville Electric throughout the City. Also, four optional one-year renewals were included in the pressure treated wood poles. The estimated cost for the first fifteen months of the contract is \$150,000.00. Funding is included in the Electric Departments Construction Maintenance budget for FY2015/16 and FY2016/17 budget, pending City Council approval of budgets.

CC #: 7527

File #: 0203-11

CONTACT: Peter Brevik 774-5724 pbrevik@roseville.ca.us

7.9. Roseville Energy Park Ammonia Supply – Service Agreement Amendment

Memo from Power Generation Superintendent Matt Garner and Electric Utility Director Michelle Bertolino recommending Council adopt RESOLUTION NO. 16-89 APPROVING SERVICE AGREEMENT AMENDMENT NO. 1 BETWEEN CITY OF ROSEVILLE AND THATCHER COMPANY OF CALIFORNIA, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (S1603149). This item amends a service agreement with Thatcher Company of California for purchase and delivery of aqueous ammonia for emission controls at the Roseville Energy Park. The amendment increases the service agreement cost from \$43,000.00 to \$90,000.00 due to increased operation of the Roseville Energy Park. Funding is included in the Electric Department FY2015/16 Budget.

CC #: 7517

File #: 0203-07

CONTACT: Matt Garner 746-1691 mgarner@roseville.ca.us

7.10. Pest Control Management - Service Agreement

Memo from Facility Manager Dan Allen and Central Services Director Paul Diefenbach recommending Council adopt RESOLUTION NO. 16-83 APPROVING AMENDMENT NO. 1 TO SERVICE AGREEMENT BETWEEN CITY OF ROSEVILLE AND NEIGHBORLY PEST MANAGEMENT, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (S1304116). Staff recommends Council authorize the amendment of a service agreement to provide pest control services on a scheduled and an as needed basis with Neighborly Pest Management. This is the fourth year of a five year agreement. The total value on the agreement amendment for the remainder of the contract is \$585,000.00. Funding is included in the Building Maintenance, Environmental Utilities, Electric, Street Maintenance, and Park Maintenance FY2015/16 and proposed FY2016/17 Budgets.

CC #: 7513

File #: 0203-04

CONTACT: Dan Allen 774-5741 dallen@roseville.ca.us

7.11. Public Safety Vehicle Equipment and Services - Service Agreement

Memo from Buyer Peter Brevik and Central Services Director Paul Diefenbach recommending Council adopt RESOLUTION NO. 16-98 APPROVING A SERVICE AGREEMENT BETWEEN CITY OF ROSEVILLE AND THATCHER COMPANY OF CALIFORNIA AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (S1604357). The Vehicle Maintenance Department will be piggybacking Placer County bid #10385 for LEHR Auto Electric and Emergency supplies and services in order to outfit the recent purchase of police and fire department vehicles. The City will be piggybacking off of Placer County's bid #10385 for materials and labor services. The total estimated cost for materials and labor will not exceed \$280,000.00. Funding is included in the Auto Replacement Budget for FY2015/16 and FY2016/17.

CC #: 7535

File #: 0203-02

CONTACT: Peter Brevik 774-5724 pbrevik@roseville.ca.us

Resolutions

7.12. Westbrook Phase I - Summerfaire Drive Phase B (Station 06+33 to Station 16+00) - Certificate of Completion

Memo from Senior Construction Inspector John Hernandez and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-100 OF THE COUNCIL OF THE CITY OF ROSEVILLE ACCEPTING THE PUBLIC WORK KNOWN AS WESTBROOK PHASE 1 - SUMMERFAIRE DRIVE PHASE "B" (STATION 06+33 TO STATION 16+00) PROJECT, APPROVING THE "CERTIFICATE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE

CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING ALL DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION. This project represents a completed subdivision ready for certificate of completion. The Engineering Division has made final inspection of the project and has found the roadway infrastructure work complete in accordance with the improvement plans and City Specifications. This action has no impact to the City's General Fund. Construction costs were paid by the developer.

CC #: 7539

File #: 0400-0412-1

CONTACT: John Hernandez 774-5486 jhernandez@roseville.ca.us

7.13. Mahany Park Recycled Water Retrofit Project - Notice of Completion

Memo from Park Development Analyst Rjahja Canlas and Parks, Recreation & Libraries Director Dion Louthan recommending Council adopt RESOLUTION NO. 16-94 ACCEPTING THE PUBLIC WORK KNOWN AS MAHANY PARK RECYCLED WATER RETROFIT PROJECT, APPROVING THE "NOTICE OF COMPLETION", AND AUTHORIZING THE PARKS, RECREATION AND LIBRARIES DIRECTOR TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE. The Parks, Recreation & Libraries Department has made a final inspection of the Mahany Park Recycled Water Retrofit Project and has found that all contract work has been completed in accordance with the improvement plans and specifications. The project is now ready for acceptance. The project scope of work included conversion of the irrigation systems at the baseball and softball fields from potable water to recycled water. In accordance with City specifications, the contractor shall guarantee the installation of these improvements for a period of twelve (12) months from the date of this Notice of Completion. The project cost was \$136,000.00 and was funded with bond monies from the Northwest Lighting and Landscape District. No General Funds were used for this project. Mahany Park maintenance costs have been a component of the Park Operations budget and will not increase.

CC #: 7526

File #: 0704-01

CONTACT: Rjahja Canlas 774-5505 rcanlas@roseville.ca.us

7.14. Diamond Oaks Golf Course Restroom Replacement Project - Notice of Completion

Memo from Park Development Analyst Rjahja Canlas and Parks, Recreation & Libraries Director Dion Louthan recommending Council adopt RESOLUTION NO. 16-92 ACCEPTING THE PUBLIC WORK KNOWN AS DIAMOND OAKS GOLF COURSE RESTROOM REPLACEMENT PROJECT, APPROVING THE "NOTICE OF COMPLETION", AND AUTHORIZING THE PARKS, RECREATION AND LIBRARIES DIRECTOR TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE. The Parks, Recreation & Libraries Department has made a final inspection of the Diamond Oaks Golf Course Restroom Replacement Project and has found that all contract work has been completed in accordance with the improvement plans and specifications. The project is now ready for acceptance.

The project scope of work included the site preparation and utility work for the prefabricated restroom. The prefabricated restroom was purchased on a separate contract and was delivered to the site by the fabricator. In accordance with City specifications, the contractor shall guarantee the installation of these improvements for a period of twelve (12) months from the date of this Notice of Completion. The project cost was \$130,858.86 and was funded with monies from the Golf Course Improvement Fund. No General Funds were used for this project.

CC #: 7524

File #: 0702-01

CONTACT: Rjahja Canlas 774-5505 rcanlas@roseville.ca.us

7.15. Roseville Self Storage - Fifty (50) Foot Slope Easement Abandonment

Memo from Junior Engineer Jeff Hendrix and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-90 ORDERING SUMMARY VACATION OF A 50-FOOT SLOPE EASEMENT. This request is to abandon a fifty (50) foot Slope Easement related to the Roseville Self Storage project, located at 8601 Foothills Blvd. This easement was originally created to accommodate a ramp approach for the future railroad over-crossing of the Roseville Parkway Extension. During the entitlement process for the Roseville Self Storage project, staff determined that the existing fifty (50) foot slope easement located along the northerly boundary of the project was unnecessary. There is no fiscal impact to the City's General Fund as part of this action.

CC #: 7518

File #: 1001-02

CONTACT: Jeff Hendrix 774-5463 jhendrix@roseville.ca.us

7.16. Right of Entry Agreement on Placer County Property

Memo from Open Space Superintendent Brian Castelluccio and Parks, Recreation & Libraries Director Dion Louthan recommending Council adopt RESOLUTION NO. 16-99 APPROVING A LIMITED RIGHT OF ENTRY AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND THE COUNTY OF PLACER, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. Staff recommends Council authorize the City Manager to execute the Right of Entry Agreement between the City of Roseville and Placer County to enter County property to access the Foothills Business Park Preserve. This will allow staff to perform required maintenance activities within the preserve. The agreement has no General Fund impact.

CC #: 7537

File #: 0704-02

CONTACT: Brian Castelluccio 746-1755 bcastelluccio@roseville.ca.us

7.17. IP Video Management Software - Professional Services Agreement

Memo from Associate Engineer Tito Zamora and Public Works Director Rhon Herndon recommending Council adopt RESOLUTION NO. 16-96 APPROVING A PROFESSIONAL SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND NETWORK MANAGEMENT CORPORATION, AND

AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This item requests approval of a professional services agreement with Network Management Corporation for internet protocol video management software (Milestone) for the City's 174 traffic cameras located at signalized intersections throughout the City and adoption of a resolution authorizing the City Manager to execute it. Total cost of the service agreement is \$154,971.00. The funding source is the annual traffic signal project account funded by developer paid traffic mitigation fees.

CC #: 7532

File #: 0800-04

CONTACT: Tito Zamora 746-1300 tzamora@roseville.ca.us

7.18. Housing Authority - Housing Element Annual Progress Report - Calendar Year 2015

Memo from Housing Analyst Trisha Isom and Economic Development Director Chris Robles recommending Council adopt RESOLUTION NO. 16-93 HOUSING ELEMENT ANNUAL PROGRESS REPORT (CALENDAR YEAR 2015). After the General Plan's Housing Element of a jurisdiction has been adopted, the California Government Code requires the jurisdiction to provide an annual report to their legislative body, and the State Offices of Office of Planning & Research and Housing & Community Development (HCD) on the status of the plan and progress in its implementation. The report must detail progress in meeting the City's share of regional housing needs and local efforts to remove governmental constraints to the maintenance, improvement, and development of housing pursuant to California Government Code 65583(c)(3). The City's fair share housing need as determined by Sacramento Area Council of Governments is 8,478 dwelling units comprised in terms of income groups: 1,134 units for extremely low income families; 1,134 units for very low income families; 1,590 units for low income families; 1,577 units for moderate and 3,043 units for above moderate income families over the planning period. The staff cost of developing the Housing Element Annual Progress Report for Calendar Year 2015 was accounted for in the FY2014/15 adopted budget.

CC #: 7525

File #: 0709-01

CONTACT: Trisha Isom 746-1239 tisom@roseville.ca.us

Chris Robles 774-5421 crobles@roseville.ca.us

7.19. Community Development Block Grant Exterior Paint Program Modifications

Memo from Housing Analyst Suzanne Acrell and Economic Development Director Chris Robles recommending Council adopt RESOLUTION NO. 16-101 APPROVING INDIVIDUAL VOUCHER REIMBURSEMENT AGREEMENTS BY AND BETWEEN THE CITY OF ROSEVILLE AND G & S HARDWARE PURCHASING, INC., DBA ROSEVILLE ACE HARDWARE, DUNN-EDWARDS CORPORATION, KELLY-MOORE PAINT COMPANY, INC., THE SHERWIN-WILLIAMS COMPANY, AND WAREHOUSE PAINT, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE THEM ON BEHALF OF THE CITY OF ROSEVILLE. This item requests approval to increase the amount of the paint voucher from \$400.00 to \$700.00 per home and to increase of the number of paint supplier program participants from one to four. Annually, \$5,000.00 of Community

Development Block Grant funds is allocated to the Program, and no General Fund monies are used.

CC #: 7541

File #: 0709

CONTACT: Suzanne Acrell 774-5469 sacrell@roseville.ca.us

7.20. Low Carbon Transit Operations Program Funds Application - Fiscal Year 2016

Memo from Financial Analyst Elizabeth Haydu and Public Works Director Rhon Herndon recommending Council adopt RESOLUTION NO. 16-82 AUTHORIZING CITY MANAGER OR HIS DESIGNEE TO SUBMIT LOW CARBON OPERATIONS PROGRAM GRANT APPLICATIONS AND TO EXECUTE THE RELATED GRANT APPLICATIONS, FORMS AND AGREEMENTS. Roseville Transit is eligible to apply for State of California Transit, Low Carbon Transit Operations Program funds for a total of \$162,221.00. These funds will be utilized for Local Route S service enhancements in Fiscal Year 2017.

CC #: 7397

File #: 0214 & 0721

CONTACT: Elizabeth Haydu 746-1302 ehaydu@roseville.ca.us

7.21. Street Closure Request - I Love the 80's Fun Run - May 1, 2016

Memo from Community Relations Analyst Jamie Hazen and Parks, Recreation & Libraries Director Deon Louthan recommending Council adopt RESOLUTION NO. 16-102 OF THE COUNCIL OF THE CITY OF ROSEVILLE APPROVING THE CLOSING OF CERTAIN STREETS FOR THE I LOVE THE 80'S FUN RUN. Staff is requesting approval of the closure of the streets identified in Exhibit A on Sunday, May 1, 2016 for the City sponsored event, *I Love the 80's Fun Run*. The budget for this event is \$35,400.00 with expected revenue from runners and vendors of \$35,400.00. This amount is included in the Parks, Recreation & Libraries Department FY2015/16 Budget.

CC #: 7542

File #: 0109-02

CONTACT: Jamie Hazen 774-5978 jhazen@roseville.ca.us

Ordinances (for introduction and first reading)

7.22. Municipal Code Amendment - Transportation Systems Management

Memo from Alternative Transportation Analyst Sue Schooley and Public Works Director Rhon Herndon recommending Council introduce for first reading ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING SECTIONS 11.33.010, 11.33.030, 11.33.080, 11.33.120 AND 11.33.130 OF CHAPTER 11.33 OF TITLE 11 OF THE ROSEVILLE MUNICIPAL CODE REGARDING TRANSPORTATION SYSTEMS MANAGEMENT. This item is a revision to the Roseville Municipal Code (RMC) - Title 11, Transportation Systems Management for minor "clean up" language, and to change the timing of the Transportation Survey from every three years to every five years. There will be a

nominal cost savings of approximately \$2,000.00 per year. The current triennial survey costs approximately \$20,000.00 every three years. With the proposed survey every five years, there will be a savings of \$20,000.00 over the ten year period.

CC #: 7512

File #: 0721

CONTACT: Sue Schooley 774-5365 sschooley@roseville.ca.us

Ordinances (for introduction and adoption - appropriation/urgency measures)

7.23. Annexation Payments - Budget Adjustment

Memo from Administrative Analyst Jeannine Thrash and Finance Director Monty Hanks recommending Council adopt ORDINANCE NO. 5646 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN URGENCY MEASURE. Annexation payments are based on a share of property tax revenues with Placer County on certain annexation agreement areas. Each annexation area has its own agreement as to the share of taxes to be paid to the County. Property tax (secured tax) revenue offsets a portion of the annexation payments made to the County. The final numbers are received by the City in December allowing staff to true-up the respective revenue and expense line items. There is no impact to the City's General Fund.

CC #: 7503

File #: 0201-01

CONTACT: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

7.24. Dry Creek Wastewater Treatment Plant Nitrate Discharge Reduction Project - Budget Adjustment and Professional Services Agreement

Memo from Senior Engineer Todd Jordan and Environmental Utilities Director Richard Plecker recommending Council adopt RESOLUTION NO. 16-81 APPROVING A PROFESSIONAL SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND BROWN AND CALDWELL, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and adopt ORDINANCE NO. 5647 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. The budget adjustment will transfer \$1,000,000.00 from the Wastewater Rehabilitation Fund (474) to the Dry Creek Wastewater Treatment Plant Nitrate Reduction Project (163509). This amount will fund an alternative analysis for, and the design and construction of necessary plant improvements to maintain the plant's nitrate discharge compliance. If the aforementioned budget adjustment is approved, staff recommends Council approve a professional services agreement to Brown and Caldwell to perform an alternative analysis for the Dry Creek Wastewater Treatment Plant Nitrate Reduction Project. Brown and Caldwell will complete an alternative

evaluation, develop detailed cost estimates for feasible alternatives, and recommend improvements to maintain nitrate discharge compliance. The total cost of the Brown and Caldwell agreement will not exceed \$141,000.00. The remainder of the project funds will be used toward the design and construction of the improvements recommended by the Brown and Caldwell analysis.

CC #: 7504

File #: 0800-02 & 0201-01

CONTACT: Todd Jordan 746-1829 tjordan@roseville.ca.us

7.25.FY2015/16 Westpark Community Facilities District #1 Loan Principal and Interest Payments - Budget Adjustment

Memo from Budget Analyst Brandon Ladd and Finance Director Monty Hanks recommending Council introduce and adopt ORDINANCE NO. 5651 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This Ordinance is for the FY2015-16 annual Interest and Principal payments for the Loan from the Water Rehab Fund (482), Water Construction Fund (481), and Pleasant Grove Drainage Basin Construction Fund (250) to Westpark Community Facilities District (CFD) No. 1 Fund (350). This loan was originally approved by Council on June 5, 2013 to fund Phases 3 and 4 of the Westpark backbone infrastructure project. The City's loan to Westpark CFD No.1 was secured and is being repaid from proceeds from the Pay-As-You-Go funding generated from special taxes levied on properties within the CFD. The approval of the original loan was determined to have a neutral impact on the Funds from which the loans were made. The impact to the General Fund for FY16 will be an increase to interest income of \$109,967.00.

CC #: 7509

File #: 0201-01 & 0206-03-01

CONTACT: Brandon Ladd 774-5389 bladd@roseville.ca.us
Kathy Cullen 746-1306 kcullen@roseville.ca.us

7.26.Arc Flash Mitigation Design - Build Project – Change Order and Budget Adjustment

Memo from EIM Systems Coordinator Charles Aycock and Environmental Utilities Director Richard Plecker recommending Council adopt RESOLUTION NO. 16-78 APPROVING CHANGE ORDER #2, BY AND BETWEEN THE CITY OF ROSEVILLE AND WESTERN WATER CONSTRUCTORS, INC., AND AUTHORIZING THE ENVIRONMENTAL UTILITIES DIRECTOR TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and adopt ORDINANCE NO. 5645 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This is a request of Council to approve Change Order #2 to Western Water Constructors, Inc. for the Arc Flash Mitigation Project. Total cost of Change Order #2 is \$221,360.35. The change order requested exceeds the change order authority granted to staff by Resolution No. 12-451, and the currently approved budget is not sufficient to pay this amount. The budget adjustment will transfer

funds from the Wastewater and Water Rehabilitation Funds to Arc Flash Mitigation Project (133502) to ensure sufficient funds are available to execute Change Order #2 work and to provide sufficient budget for staff time and related expenses to manage the contract. Staff also requests that City Council authorize the Environmental Utilities Director or his designee to approve and pay for any additional contract change orders for this project that could increase the contract's new total negotiated contract value by no more than 10% (\$113,148.63).

CC #: 7500

File #: 0201-01 & 0800-02

CONTACT: Charles Aycock 746-1887 caycock@roseville.ca.us

7.27. Fire Department Strike Team - Budget Adjustment

Memo from Administrative Analyst Katrina Rostam and Fire Chief Rick Bartee recommending Council adopt ORDINANCE NO. 5648 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This budget adjustment is to increase the Fire Department FY2015/16 budget by \$621,523.00 in staffing related expenses and \$621,523.00 in associated revenue directly related to strike team assignments. As a participant in the California Fire Assistance Agreement (CFAA) and the United States Department of Agriculture/Forest Service Agreement (USDA/FS), the Fire Department sends resources, upon emergency request, to assist in the management and control of large fires that occur throughout our region every year. The CFAA can also provide resources to Roseville Fire should the department require and request them. This fiscal year to date, fire department crew have assisted all over California and Oregon. The contracts provide for staff, vehicle and overhead reimbursement. Since the increased expenses are reimbursed, there is no net effect on the General Fund.

CC #: 7506

File #: 0201-01 & 0324

CONTACT: Katrina Rostam 774-5848 krostam@roseville.ca.us

7.28. Fire Department Academy - Budget Adjustment

Memo from Administrative Analyst Katrina Rostam, Assistant Fire Chief Greg James, and Fire Chief Rick Bartee recommending Council adopt ORDINANCE NO. 5649 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE EFFECTIVE AS AN APPROPRIATION MEASURE. The Fire Department currently has six open positions and is requesting \$161,002.00 in additional funds to fill those positions. The Fire Department business model is 24/7 staffing and a position cannot go unfilled on a daily basis. The open positions are currently being filled with overtime, which cannot be continued on a long term basis without substantial risk to the employee's health and welfare. The requested budget adjustment will increase the FY2015/16 Budget to cover the costs related to a Fire Department Academy to fill six open Firefighter Paramedic positions. Funds will come from the General Fund.

CC #: 7506
File #: 0201-01 & 0324

CONTACT: Katrina Rostam 774-5848 krostam@roseville.ca.us
Greg James 774-5570 gjames@roseville.ca.us

7.29. Performance Management Software - Service Agreement and Budget Adjustment

Memo from Senior Human Resources Analyst Linda Hampton and Human Resources Director Gayle Satchwell recommending Council adopt RESOLUTION NO. 16-97 APPROVING A SERVICE AGREEMENT BETWEEN CITY OF ROSEVILLE AND CORNERSTONE ONDEMAND, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (S1604118); and adopt ORDINANCE NO. 5657 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. Staff recommends City Council adopt an Ordinance approving the budget adjustment and adopt a Resolution authorizing the City Manager to execute the service agreement with Cornerstone OnDemand for Performance Management software, implementation, and training. The one time software implementation cost is \$19,740.00 with an initial annual fee of \$33,650.00.

CC #: 7534

File #: 0600 & 0201-01

CONTACT: Linda Hampton 774-5215 lhamp@roseville.ca.us

7.30. Full Cost/Consolidated Billing - Budget Adjustment

Memo from Administrative Analyst Brandy LeBeau and Development Services Director Kevin Payne recommending Council adopt ORDINANCE NO. 5650 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. The Development Services Department has projects that are Full Cost/Consolidate Billing, where the City takes a deposit for the estimated charge on what the project will cost to complete. When the deposit is depleted we request an additional deposit. This budget adjustment request to transfer \$2,000,000.00 from reimbursement revenue for staff charges and outside expenses will ensure budget spending authority for auditing purposes. There is no net impact on the available resources in the General Fund.

CC #: 7508

File #: 0201-01 & 0800-06

CONTACT: Brandy LeBeau 774-5278 blebeau@roseville.ca.us

7.31. Amoruso Ranch Specific Plan Budget Adjustment

Memo from Administrative Analyst Brandy LeBeau and Development Services Director Kevin Payne recommending Council adopt ORDINANCE NO. 5656 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS

ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. The Development Services Department, Planning Division, requests that the City Council adopt an ordinance for a budget adjustment of \$150,000.00 for City staff costs required for the processing of the Amoruso Ranch Specific Plan. These funds are developer reimbursement for staff and consultant time associated with the project. There is no impact to the General Fund.
CC #: 7522

File #: 0201-01 & 0800-06

CONTACT: Brandy LeBeau 774-5278 blebeau@roseville.ca.us

7.32. Accela Project - Budget Adjustment

Memo from Administrative Analyst Brandy LeBeau and Development Services Director Kevin Payne recommending Council adopt ORDINANCE NO. 5655 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. The purchase of Accela hardware to support mobile office in the field for the Development Services Code Enforcement Division may not occur in FY16. This is a request to transfer budgeted FY16 funds in the amount of \$11,000.00 to the Accela CIP to ensure the funding is available when the purchase needs to take place. This funding is approved under the FY16 budget and does not have any new impact on the General Fund.

CC #: 7521

File #: 0201-01 & 0203-06

CONTACT: Brandy LeBeau 774-5278 blebeau@roseville.ca.us

7.33. Vehicle Purchases and Budget Adjustments

Memo from Buyer Peter Brevik and Central Services Director Paul Diefenbach recommending Council adopt ORDINANCE NO. 5653 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE; adopt ORDINANCE NO. 5654 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE; authorize a purchase order to Altec Industries for one D3060B digger derrick vehicle utilizing NJPA contract 031014-ALT; and authorize a purchase order to Altec Industries, Inc. for one AT37-G articulating telescopic aerial lift truck utilizing NJPA contract 031014-ALT. The total cost of one D3060B digger derrick vehicle is \$421,919.98, a budget adjustment of \$11,945.00 from the Auto Replacement Budget will pay for the remaining purchase price of this vehicle. The total cost of one AT37-G articulating telescopic aerial lift truck is \$133,240.55, a budget adjustment of \$6,500.00 from the Auto Replacement Budget will pay for the remaining purchase price of this vehicle. Funding for the vehicles is included in the FY2015/16 Auto Replacement Budget.

CC #: 7520

File #: 0203-01 & 0201-01

CONTACT: Peter Brevik 774-5724 pBrevik@roseville.ca.us

7.34.Strategic Legislative and Planning Services - Budget Adjustment

Memo from Public Affairs Administrator Sean Bigley and Environmental Utilities Director Richard Plecker recommending Council adopt ORDINANCE NO. 5658 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This item recommends that the City Council approve a budget adjustment for \$25,000.00 for strategic legislative and planning services for Environmental Utilities. Services include strategic advice on optimizing Roseville's position and influence as a regional and state leader in shaping legislative and regulatory policymaking, long-term water resources development planning, operational optimization and strategic advice to the Environmental Utilities Director and staff. These services will help staff further two key 2015/16 City Council Goals.

CC #: 7540

File #: 0201-01 & 0800-02

CONTACT: Sean Bigley 774-5513 sbigley@roseville.ca.us

Ordinances (for second reading and adoption)

7.35.Second Reading of Ordinance - Municipal Code Amendment - Water Efficient Landscaping

ORDINANCE NO. 5659 OF THE COUNCIL OF THE CITY OF ROSEVILLE REPEALING CHAPTER 19.67 OF ARTICLE IV OF TITLE 19 OF THE ROSEVILLE MUNICIPAL CODE REGARDING WATER EFFICIENT LANDSCAPING, AND ADDING CHAPTER 14.18 OF TITLE 14 OF THE ROSEVILLE MUNICIPAL CODE REGARDING WATER EFFICIENT LANDSCAPING, for second reading and adoption.

CC #: 7547

File #: 0800-02

CONTACT: Derek Ogden 746-1348 dogden@roseville.ca.us

Bobby Alvarez 746-1710 balvarez@roseville.ca.us

Reports / Requests

7.36.California Central Railroad Monument Installation Placement Request

Memo from Park Planning & Development Superintendent Tara Gee and Parks, Recreation & Libraries Director Dion Louthan recommending Council approve the request from the Roseville Historical Society to place a 38" tall by 34" wide granite monument at the site of Roseville's original railroad. The proposal acknowledges adherence to the procedure outlined in the monument/memorial policy, which includes funding for the maintenance of the monument. Typically, an endowment is set up to fund full replacement of the monument. The estimated cost of the monument is \$7,165.00. The Roseville Historical Society received a grant for the

cost and installation of the monument.

CC #: 7493

File #: 0717

CONTACT: Tara Gee 774-5253 tgee@roseville.ca.us

7.37. Housing Authority - Status Report

Memo from Housing Supervisor Nancy Cox and Housing Authority Assistant Director Chris Robles recommending Housing Authority accept the quarterly report of the Roseville Housing Authority Housing Choice Voucher Program activity. The Council, acting as the Roseville Housing Authority will receive the report with no action required. This report is for informational purposes only and has no impact on the City's General Fund.

CC #: 7510

File #: 0709-02-01

CONTACT: Nancy Cox 774-5451 ncox@roseville.ca.us

7.38. Fire Department - Out of State Travel

Memo from Assistant Chief Greg James Fire and Chief Rick Bartee recommending Council approve out-of-state travel for Engineer Kevin Cullison and Firefighter Paramedic James Kornweibel to attend the Union Pacific sponsored Advanced Tank Car Safety and Emergency Response Course April 10-15, 2016. This course is held at the Association of American Railroad's Security and Emergency Response Training Center (SERTC) located at the Transportation and Technology Center (TTCI) near Pueblo, CO. The City of Roseville is home to the largest rail switchyard west of the Mississippi. The Roseville Fire Department has a Type-1 Hazardous Materials team capable of responding to various Hazardous Materials rail incidents in Roseville and the surrounding area. This class focuses on technical damage assessment and advanced field repair of damaged hazardous materials railcars in order to rapidly and effectively mitigate emergencies. This effective response protects residents while providing a minimal amount of disruption to business and commerce. Total cost to the department is approximately \$2,763.50. Funds for this training are available in the department's FY2015/16 budget. Approximate costs in the amount of \$7,000.00 which includes tuition, travel, and lodging will be covered by Union Pacific.

CC #: 7505

File #: 0600-02

CONTACT: Greg James 774-5806 gjames@roseville.ca.us

Ceremonial Documents

7.39. Resolution of Commendation and Appreciation to Mark A. Eger

Senior Master Sergeant Mark A. Eger be commended for his outstanding service and dedication to the United States Air Force, congratulated for his many accomplishments, and wished a long, healthy, and enjoyable retirement.

CC #: 7529

File #: 0102-10

CONTACT: Heather Blanco 774-5265 hblanco@roseville.ca.us

END OF CONSENT CALENDAR

8. SPECIAL REQUESTS/REPORTS/PRESENTATION

8.1. Roseville Community Development Corporation - Annual Corporate Update

Memo from Economic Development Director Chris Robles with an update to City Council regarding the Roseville Community Development Corporation's (RCDC) FY2014/15 audited financial statement report; the budget for the FY2015/16 and to report to the City Council the RCDC's programs. The RCDC's financial activities have no impact on the City's General Fund.

CC #: 7446

File #: 0103-15-02

CONTACT: Chris Robles 774-5421 crobles@roseville.ca.us

Melissa Hagan 774-5476 mhagan@roseville.ca.us

8.2. Mid Year Performance Report

Memo from Budget Manager Kathy Cullen and Finance Director Monty Hanks recommending Council accept for information the Mid Year Performance Report Fiscal Year 2015-16. The Mid Year Performance Report includes a series of graphs illustrating significant trends in major operational areas, financial analysis by fund, and performance reporting on specific organizational objectives for mid year FY2015/16. This item is a performance report only and has no fiscal impact.

CC #: 7475

File #: 0202-02

CONTACT: Kathy Cullen 746-1306 kcullen@roseville.ca.us

8.3. Budget Update

Memo from Finance Director Monty Hanks recommending Council accept for information the budget update. The FY2016/17 budget preparation is underway and staff wants to keep the City Council informed with various budget related information and estimates as they become available. The updates are intended to solicit input and feedback from the council to ensure staff prepares their budgets that support the Council goals and priorities outlined during the budget workshop.

CC #: 7531

File #: 0201

CONTACT: Monty Hanks 774-5313 mhanks@roseville.ca.us

9. PUBLIC HEARING

NOTICE TO THE PUBLIC

City Council, when considering the matter scheduled for hearing, will take the following actions:

1. Open the Public Hearing
2. Presentation by Staff
3. Presentation by applicant or Appellant
4. Accept Public Testimony
5. Appellant or Applicant Rebuttal Period
6. Close the Public Hearing
7. City Council Comments and Questions
8. City Council Action

In the future, if you wish to challenge in court any of the matters on this agenda for which a public hearing is to be conducted, you may be limited to raising only those issues, which you, or someone else raised orally at the Public Hearing or in written correspondence received by the City or before the hearing

Public Hearings listed for continuance will be continued as noted and posting of this agenda serves as notice of continuation.

9.1. Municipal Code Amendment - Rates for Collection of Commercial Organic Waste

Public Hearing continued to April 6, 2016.

CC #: 7550

File #: 0800-02

CONTACT: Terri Shirhall 774-5536 tshirhall@roseville.ca.us

9.2. 2016 Weed Abatement - Public Hearing

Public Hearing continued to April 6, 2016.

CC #: 7551

File #: 0320-01

CONTACT: Rob Arnett 774-5827 rarnett@roseville.ca.us

9.3. Housing Authority - Annual Plan for Fiscal Year 2016

Memo from Housing Supervisor Nancy Cox and Housing Authority Assistant Director Chris Robles recommending Council adopt HOUSING AUTHORITY OF THE CITY OF ROSEVILLE RESOLUTION NO. 01-16 AUTHORIZING THE EXECUTIVE DIRECTOR TO SUBMIT THE ROSEVILLE HOUSING AUTHORITY ANNUAL PLAN FOR FISCAL YEAR 2016 TO THE U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT ALONG WITH ALL THE REQUIRED

CERTIFICATIONS, DISCLOSURES AND RELATED DOCUMENTS. This item is Roseville Housing Authority's submission of its Annual Plan for FY2016 for Council's approval. This Housing Authority Annual Report does not create any additional cost to the City's General Fund.

CC #: 7548

File #: 0103-03-02 & 0709-01

CONTACT: Nancy Cox 774-5451 ncox@roseville.ca.us

9.4. Resolution of Formation of Fiddymment Ranch Community Facility District No. 5 (Public Facilities)

Memo from Administrative Analyst Jeannine Thrash and Finance Director Monty Hanks recommending Council adopt RESOLUTION NO. 16-84 OF FORMATION OF THE CITY COUNCIL OF THE CITY OF ROSEVILLE TO FORM FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES); adopt RESOLUTION NO. 16-85 DETERMINING NECESSITY TO INCUR BONDED INDEBTEDNESS FOR THE CITY OF ROSEVILLE FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES); adopt RESOLUTION NO. 16-86 OF THE CITY COUNCIL OF THE CITY OF ROSEVILLE CALLING SPECIAL ELECTION REGARDING FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES); adopt RESOLUTION NO. 16-87 DECLARING RESULTS OF SPECIAL ELECTION AND DIRECTING RECORDING OF NOTICE OF SPECIAL TAX LIEN REGARDING FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES); and adopt ORDINANCE NO. 5652 OF THE CITY COUNCIL OF THE CITY OF ROSEVILLE LEVYING SPECIAL TAXES WITHIN CITY OF ROSEVILLE FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES). On February 23, 2004 the Fifth Amendment of Development Agreement by and between the City of Roseville and Roseville Fiddymment Land Venture, LLC, relative to the West Roseville Specific Plan was approved by City Council. With this amendment the Developer and the City agreed to form an 'Overlay' Community Facilities District ("CFD") with respect to Fiddymment Ranch CFD No. 1 for the purpose of financing the construction and/or acquisition of public infrastructure and facilities within the property. These funds may be used to retire project CFD (Fiddymment Ranch CFD No. 1) debt on the property and to construct and /or acquire the improvements and facilities authorized in the Amendment. The total special taxes under this Amendment, according to land use type, within the 'Overlay' CFD shall be less than or equal to, but no more than, the total special taxes for equivalent individual unit types under the revised Section 3.18.1 (of the 5th Amendment) prior to the increase in housing density allowed by the Amendment. ATC Realty One, LLC, the landowner of the land proposed to be included in the District has requested that the City form an 'Overlay' CFD which will be known as Fiddymment Ranch CFD No. 5 (Public Facilities). The property within the boundaries of the CFD will be assessed special taxes as described in the Rate and Method of Apportionment, included in the attached Hearing Report. The new bond issue will be in phases, and the total bond issues will not exceed \$75 million, and will include the funds to prepay the CFD 1 bonds on those parcels that are within the CFD No. 5 boundaries. The purpose of the Public Hearing for Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) and these

proceedings are to: 1) hear all interested persons on matters pertaining to the formation of the CFD; 2) set the maximum amount of bonds that can be sold over the life of the CFD; 3) call a special election of the eligible voters in the CFD (landowners); and 4) declare the election results and direct the City Clerk to record a Notice of Special Tax Lien at the County of Placer. The Preliminary Official Statement will be presented at a future City Council meeting. On January 20, 2016, the City Council adopted Resolution of Intention 16-09, to Form a Community Facilities District and Levy a Special Tax in Fiddymont Ranch Community Facilities District No. 5 (Public Facilities), and set Wednesday, March 16, 2016 at 7:00 p.m. as the time and place to conduct the public hearing. The CFD will generate special taxes that will be paid by the property owners sufficient to pay the costs for debt service, CFD administration, and any authorized pay-as-you-go facilities. The formation, annexation, and administration of the CFDs will not impact the City's General Fund.

CC #: 7515

File #: 0206-03-01

CONTACT: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

9.5. Tax and Equity Fiscal Responsibility Act Hearing for Manzanita Place Apartments

Memo from Administrative Analyst Jeannine Thrash and Finance Director Monty Hanks recommending Council adopt RESOLUTION NO. 16-91 APPROVING THE ISSUANCE OF MULTIFAMILY HOUSING REVENUE BONDS BY THE CALIFORNIA MUNICIPAL FINANCE AUTHORITY FOR THE PURPOSE OF FINANCING THE ACQUISITION AND REHABILITATION OF A MULTIFAMILY RENTAL HOUSING FACILITY FOR SENIORS KNOWN AS MANZANITA PLACE. Volunteers of America National Services requested that the California Municipal Finance Authority (CMFA) serve as a municipal issuer of the Bonds in an aggregate principal amount not to exceed \$10,000,000. The proceeds of the Bonds will be used for financing the costs of the acquisition and rehabilitation of a 63 unit multifamily residential rental facility for seniors located at 1019 Madden Lane in the City of Roseville known as Manzanita Place, to be owned by the Borrower and to be operated initially by VOANS or an affiliate thereof.

CC #: 7519

File #: 0709

CONTACT: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

9.6. Creekview Specific Plan - Specific Plan Amendment

Memo from Associate Planner Wayne Wiley and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-79 AMENDING THE CREEKVIEW SPECIFIC PLAN. This is a request for a Specific Plan Amendment to allow the transfer of 68 residential units between 23 Creekview Specific Plan large lot parcels including unit transfers in excess of 20% for two Medium Density Residential parcels and the transfer of 27 units from Low Density Residential and Medium Density Residential parcels to High Density Residential parcels. The transfer of units between large lots within the specific plan

will have a negligible fiscal impact.
CC #: 7501

File #: 0400-04-13

CONTACT: Wayne Wiley 774-5283 wwiley@roseville.ca.us

9.7. Municipal Code Amendment - Parking and Residential Development Standards

Memo from Senior Code Enforcement Officer Paul Camilleri and Development Services Director Kevin Payne recommending Council introduce for first reading ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING SECTIONS 11.20.060 AND 11.20.110 OF CHAPTER 11.20 OF TITLE 11 AND SECTION 19.10.030 OF CHAPTER 19.10 OF TITLE 19 OF THE ROSEVILLE MUNICIPAL CODE REGARDING PARKING AND RESIDENTIAL DEVELOPMENT STANDARDS. This item is a request to amend both the Zoning Ordinance and Vehicle Traffic section of the Roseville Municipal Code to address parking vehicles in areas designated for landscaping in residential zones, and to address the parking of Commercial Vehicles in these zones. These amendments are in direct response to on-going neighborhood complaints associated with these issues. The change in regulation may create additional workload for the City's Code Enforcement section but the actual cost to the General Fund will be absorbed as part of this section's annual work program.

CC #: 7533

File #: 0800-06

CONTACT: Paul Camilleri 774-5459 pcamilleri@roseville.ca.us

9.8. Municipal Code Amendment - Medical Marijuana Regulations

Memo from Planning Manager Gregory Bitter, Deputy City Attorney Joseph Speaker, Development Services Director Kevin Payne, and City Attorney Robert Schmitt recommending Council introduce for first reading ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE REPEALING CHAPTERS 9.95 AND 9.96 OF TITLE 9 OF THE ROSEVILLE MUNICIPAL CODE AND ADDING CHAPTERS 19.62 AND 19.63 TO TITLE 19 OF THE ROSEVILLE MUNICIPAL CODE REGARDING MEDICAL MARIJUANA CULTIVATION AND DISPENSARIES. The Ordinance amendment makes modifications to the City's Medical Marijuana Regulations to ensure consistency and compliance with the State of California's Medical Marijuana Regulation and Safety Act (MMRSA) of 2015. The proposed modifications relate to the City's definitions for marijuana, medical marijuana use, distribution, delivery, cultivation, transport, and processing. In accordance with the MMRSA, the Ordinance will move the City's Medical Marijuana and Marijuana Cultivation regulations from Title 9 – Health and Safety to Title 19 - Zoning. The proposed regulations do not significantly change the existing structure and enforcement of the City's medical marijuana and marijuana cultivation regulations. This item will not have any additional impacts to the General Fund.

CC #: 7544

File #: 0800-06

CONTACT: Greg Bitter 744-5294 gbitter@roseville.ca.us

Joseph Speaker 774-5329 jspeaker@roseville.ca.us

10. COUNCIL / STAFF / REPORTS/ COMMENTS

10.1.2015 Crime Rates and Trends Report

CONTACT: Daniel Hahn 774-5014 dhahn@roseville.ca.us

11. ADJOURNMENT



COUNCIL COMMUNICATION

CC #: 7549
File #: 0102-06

Title: Proclamation - Child Abuse Prevention Month
Contact: Heather Blanco 774-5265 hblanco@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 5.1.

RECOMMENDATION TO COUNCIL

Proclaim April 2016 as Child Abuse Prevention Month and reaffirm dedication to protecting the children of the community, and call upon citizens to increase their participation in awareness of preventing child abuse.

BACKGROUND

N/A

FISCAL IMPACT

N/A

ECONOMIC DEVELOPMENT / JOBS CREATED

N/A

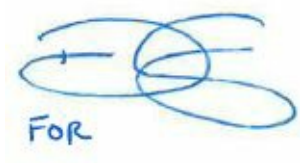
ENVIRONMENTAL REVIEW

N/A

Respectfully Submitted,

Heather Blanco, Deputy City Clerk

Sonia Orozco, City Clerk



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Proclamation - Child Abuse Prevention

City of Roseville Proclamation

CHILD ABUSE PREVENTION MONTH

April 2016

WHEREAS, in 2014, Placer County investigated 3,286 reports of child abuse and neglect, and of those, 1,057 allegations were from the City of Roseville; and

WHEREAS, all adults and caregivers have a responsibility, as neighbors, community members, and citizens to create healthy, safe, and nurturing environments for children; and

WHEREAS, safe and healthy childhoods produce confident, successful, and independent adults; and

WHEREAS, child abuse and neglect often occurs when people find themselves in isolated or stressful situations, without community resources, and don't know how to cope; and

WHEREAS, the majority of child abuse cases stem from situations and conditions that are preventable, and when communities are supportive, active, and engaged, child abuse and neglect can be reduced; and

WHEREAS, effective prevention programs succeed because of meaningful connections and partnerships created between agencies, schools, faith-based organizations, law enforcement agencies, and the business community; and

WHEREAS, for 27 years, KidsFirst, Counseling, and Family Resource Centers have functioned as a Child Abuse Prevention Council for Placer County, with two locations in Roseville and Auburn; and

WHEREAS, wearing a blue ribbon on April 8th and displaying a pinwheel garden in April will serve as a positive reminder that together, we can treat and prevent child abuse and neglect.

NOW, THEREFORE, I, CAROL GARCIA, MAYOR OF THE CITY OF ROSEVILLE, CALIFORNIA, on behalf of the Roseville City Council, do hereby proclaim **April 2016** as **CHILD ABUSE PREVENTION MONTH** and reaffirm dedication to protecting the children of the community, and call upon citizens to increase their participation in awareness of preventing child abuse.

SIGNED AND APPROVED this 16th day of March, 2016.

CAROL GARCIA, MAYOR

ATTEST:

SONIA OROZCO, CITY CLERK
CITY OF ROSEVILLE, CALIFORNIA





COUNCIL COMMUNICATION

CC #: 7530
File #: 0102-03

Title: Minutes of Prior Meetings
Contact: Sonia Orozco 774-5263 sorozco@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.1.

RECOMMENDATION TO COUNCIL

Approve the Minutes of February 17, 2016 City Council Special Closed Session, February 17, 2016 City Council/Housing Successor/Successor Agency of the Redevelopment Agency Meeting.

BACKGROUND

N/A

FISCAL IMPACT

N/A

ECONOMIC DEVELOPMENT / JOBS CREATED

N/A

ENVIRONMENTAL REVIEW

N/A

Respectfully Submitted,

Sonia Orozco, City Clerk



Rob Jensen, City Manager

ATTACHMENTS:

Description

February 17, 2016 Special Closed Session

February 17, 2016 City Council/Housing Successor/Successor Agency of Redevelopment Agency



**MINUTES
FEBRUARY 17, 2016**

CITY COUNCIL SPECIAL CLOSED SESSION

6:30 p.m.

City Council Chambers
311 Vernon Street
Roseville, California

A. CLOSED SESSION CALL TO ORDER

The Roseville City Council Special Closed Session was called to order by Mayor Carol Garcia at 6:30 p.m.

B. CLOSED SESSION SILENT ROLL CALL

Councilmember:	Bonnie Gore	Present
Vice Mayor:	Susan Rohan	Present
Councilmember:	Tim Herman	Present
Councilmember:	Pauline Roccucci	Present
Mayor:	Carol Garcia	Present

C. CLOSED SESSION PUBLIC COMMENTS

No public comment received.

D. CLOSED SESSION

D.1. Conference with Legal Counsel – Existing Litigation – Two cases

Pursuant to Government Code Section 54956.9(d)(1)

Quality Investment Properties Roseville, LLC, et al. v. BBC Roseville Oaks, LLC, and City of Roseville Placer County Superior Court, Case Number SCV0036959; and Quality Investment Properties Roseville, LLC, et al. v. City of Roseville, et al. Placer County Superior Court, Case Number SCV0036739

CONTACT: Robert Schmitt 774-5325 rschmitt@roseville.ca.us

Closed Session Disclosure: City Attorney Bob Schmitt stated no reportable action was taken.

E. CLOSED SESSION ADJOURNMENT

The meeting adjourned at 6:50 p.m.

Vote: All Ayes

CAROL GARCIA, MAYOR

SONIA OROZCO, CMC
CITY CLERK



**MINUTES
FEBRUARY 17, 2016**

**CITY COUNCIL
HOUSING SUCCESSOR
SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY
MEETING**

7:00 p.m.

City Council Chambers
311 Vernon Street
Roseville, California

1. CALL TO ORDER

The City Council/Housing Successor/Successor Agency of the Redevelopment Agency meeting was called to order by Mayor Carol Garcia at 7:00 p.m.

2. ROLL CALL

Councilmember:	Bonnie Gore	Present
Vice Mayor:	Susan Rohan	Present
Councilmember:	Tim Herman	Present
Councilmember:	Pauline Roccucci	Present
Mayor:	Carol Garcia	Present

3. PLEDGE OF ALLEGIANCE

The Pledge of Allegiance was led by Danielle Lusica, Senior at Woodcreek High School.

4. MEETING PROCEDURES

NOTICE TO THE PUBLIC

All Items on the agenda will be open for the public comment before final action is taken. Speakers are requested to restrict comments to the item as it appears on the agenda and stay within a five (5) - minute time limit. The Mayor has the discretion of limiting the total discussion time for an item.

City Clerk Sonia Orozco announced the procedures for addressing Council.

5. PUBLIC COMMENTS

NOTICE TO THE PUBLIC

Persons may address the City Council on items not on this agenda. Please complete a "Speaker Information Card" and present it to the City Clerk prior to the start of the meeting. Speakers shall restrict their comments to issues that are within the subject jurisdiction of the City Council and limit their comments to three (3) minutes per person. The total time allocated for Public Comment is 25 minutes. The Brown Act, with certain exceptions, does not permit the City Council to discuss or take action on issues that are not listed on the agenda.

Michelle Bustamante, Meals on Wheels by ACC, provided an update on the Meals on Wheels program to the community.

6. CONSENT CALENDAR

NOTICE TO THE PUBLIC

All matters listed under Consent Calendar are considered to be routine and all will be passed by one motion. There will be no discussion of these items unless members of the City Council or the public request specific items be removed from the Consent Calendar for separate discussion. Any member of the public may address the City Council on items on the Consent Calendar. Public comments on any item or items on the Consent Calendar are limited to five (5) minutes per speaker

BEGINNING OF CONSENT CALENDAR

Approval of the Consent Calendar

Dropped items 6.9 and 6.29 from the agenda, removed item 6.12 and 6.33 from the Consent Calendar to be discussed separately, and approved the remainder of the Consent Calendar as recommended.

Bids / Purchases / Services

6.1. 2015 Regional Surface Transportation Program Arterial Resurfacing Project - Approval of Plans and Specifications and Authorization to Call For Bids

Memo from Associate Engineer Luz "Nina" Buelna and Public Works Director Rhon Herndon recommending Council approve the plans and specifications for the 2015 Regional Surface Transportation Program Arterial Resurfacing Project (RSTP) and authorize staff to call for bids. This project is funded with \$6.3 million in RSTP Funds and \$950,000.00 in Roadway Funds.

CC #: 7420

File #: 0900-04-01

CONTACT: Luz "Nina" Buelna 746-1300 nbuelna@roseville.ca.us

6.2. Maidu Park Accessible Parking Improvements Project - Approval of Plans and Specifications and Authorization to Call for Bids

Memo from Park Development Analyst Rjahja Canlas and Parks, Recreation & Libraries Director Dion Louthan recommending Council approve the plans and specifications for the Maidu Park Accessible Parking Improvements Project and authorize staff to call for bids. The scope of work includes reconstruction of the existing nine accessible stalls and construction of two new accessible stalls. The engineer's estimate for construction is \$90,000.00. Funding for the project was approved in the FY2014/15 and FY2015/16 budgets.

CC #: 7459

File #: 0704-01

CONTACT: Rjahja Canlas 774-5505 rcanlas@roseville.ca.us

6.3. Fertilizer - Purchase Orders

Memo from Buyer Peter Brevik and Central Services Director Paul Diefenbach recommending Council approve the renewal of a purchase agreement with Crop Production Services for Dimension 0.125 percent Plus fertilizer utilizing RFQ 2053. The Parks Maintenance Division has an ongoing requirement for fertilizer for application on park and landscape turf. A formal bid was issued where vendors were asked to quote the price per 50-pound bag of Dimension 0.125 percent Plus fertilizer. Crop Production Services was awarded the bid on February 20, 2013. The bid allowed for four (4) optional renewal years available at the City's discretion. This will be the third of four (4)

optional renewal years. The estimated annual cost of fertilizer including tax is \$226,000.00 or up to department budgeted amount. Funding is included in the FY2015/16 Budget for the fertilizer used in the spring of this year, and funding will be included in the FY2016/17 proposed budget for the fertilizer purchased for the fall application. The purchase in the fall will be contingent upon Council approval of budgets for next year. Sixty-one percent of the cost of the purchase will be funded by the General Fund, and the remaining thirty-nine percent will be funded proportionally by the various Community Facilities Districts and Landscape and Lighting Districts.

CC #: 7444

File #: 0203-10

CONTACT: Peter Brevik 774-5724 pbrevik@roseville.ca.us

6.4. Waste Containers Purchase Orders

Memo from Buyer Babette Owens and Central Services Director Paul Diefenbach recommending Council approve purchase orders for automated residential waste containers from Rehrig Pacific Company utilizing the Houston-Galveston Area Council (H-GAC) contract number GC01-13 with Rehrig Pacific Company. The total estimated annual cost of the waste containers, including tax, and delivery is \$330,000.00 or not to exceed departmental budgeted amounts. The Environmental Utilities Solid Waste Division has an ongoing requirement for residential 95-gallon black waste containers, 64-gallon black waste containers, and 95-gallon green (recycling) waste containers. The 95-gallon black and green waste containers are purchased as a Central Stores stock item, so funding will initially come from the Central Stores 2015/16 inventory replacement account for purchases through June 30, 2016, with the remainder to be included in the 2016/17 proposed budget. Those waste containers are ultimately purchased from Central Stores by the Environmental Utilities Solid Waste Division for use on an as needed basis and charged to the Solid Waste Enterprise Fund Account.

CC #: 7451

File #: 0203-09

CONTACT: Babette Owens 774-5704 bowens@roseville.ca.us

6.5. Louis/Orlando Transfer Point Project - Approval of Plans and Specifications and Authorization to Call for Bids

Memo from Assistant Engineer Nick Graves and Public Works Director Rhon Herndon recommending Council approve the plans and specifications for the Louis/Orlando Transfer Point Project and authorize staff to call for bids. The engineer's estimate for this project is \$2,539,306.00. The project is funded by \$2,480,000.00 of Federal Transit Administration grant funds, \$117,000.00 of Local Air Quality Grant funds (PCAPCD), \$119,446.00 of Prop 1B TSSDRA grant funds, \$45,465.00 from Roseville's Low Carbon Transit Operations Program, \$169,089.00 from Placer County Transit, and \$169,000.00 from Sacramento Regional Transit.

CC #: 7434

File #: 0721-03

CONTACT: Nick Graves 746-1300 ngraves@roseville.ca.us

Resolutions

6.6. Westpark Phase 4 Village W18E - Final Map and Subdivision Agreement

Memo from Assistant Engineer Kerry Andrews and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-47 APPROVING A SUBDIVISION AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND LENNAR HOMES OF CALIFORNIA, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. Development Services - Engineering has completed its review of the final map and found that it is in compliance

with the approved tentative map. Staff recommends approval of the Westpark Phase 4 Village W-18E final map and the Subdivision Agreement by and between the City and the developer, Lennar Homes, Inc. This map will be creating 60 residential lots and two letter lots. The actions requested have no fiscal impact to the City's General Fund.

CC #: 7440

File #: 0400-04-09-1 & 0400-07

CONTACT: Kerry Andrews 774-5339 kandrews@roseville.ca.us

6.7. Westpark Phase 4 Village W-17E & W-18F- Certificate of Completion

Memo from Senior Construction Inspector John Hernandez and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-45 OF THE COUNCIL OF THE CITY OF ROSEVILLE ACCEPTING THE PUBLIC WORK KNOWN AS WESTPARK PHASE 4 VILLAGE W-17E & W-18F PROJECT, APPROVING THE "CERTIFICATE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING ALL DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION. The actions requested as part of this action have no impact to the City's General Fund. Construction costs were paid by the developer.

CC #: 7439

File #: 09400-04-09-1

CONTACT: John Hernandez 774-5486 jhernandez@roseville.ca.us

6.8. Industrial Avenue Bridge Replacement Project - Professional Services Agreement

Memo from Associate Engineer Hossein Naghibzadeh and Public Works Director Rhon Herndon recommending Council adopt RESOLUTION NO. 16-41 APPROVING A PROFESSIONAL SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND MARK THOMAS & COMPANY, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This is a request for approval of Professional Services Agreement with Mark Thomas & Company for construction support services for the construction phase of the Industrial Avenue Bridge Replacement Project. Mark Thomas & Company's not to exceed fee is \$45,000.00 and is funded through Highway Bridge Program and Prop 1B funds.

CC #: 7432

File #: 0900-04

CONTACT: Hossein Naghibzadeh 746-1300 hnaghibzadeh@roseville.ca.us

6.9. Blue Oaks Commerce Center - Cooperative Agreement Amendment

Memo from City Engineer Marc Stout and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-37 APPROVING A FIRST AMENDMENT TO COOPERATIVE AGREEMENT BETWEEN THE CITY OF ROSEVILLE AND THE STATE OF CALIFORNIA, DEPARTMENT OF TRANSPORTATION (CALTRANS), AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This amendment calls for Caltrans to grant a break in their access control along Blue Oaks Boulevard and allows the City to construct a new public roadway to connect to Blue Oaks Boulevard within Caltrans right of way. This new roadway is identified as Fidelity Way and was previously approved by the Planning Commission in 2010 as part of the Blue Oaks Commerce Center Project. Approval of the Cooperative Agreement with Caltrans will not result in a fiscal impact to the City.

CC #: 7425

File #: 0800-06

CONTACT: Marc Stout 774-5339 mstout@roseville.ca.us – **Dropped from the Agenda to be relisted at a later date**

6.10. Oak Street Parking Facility Design - Professional Design Services Agreement Amendment

Memo from Development Analyst Bill Aiken and Economic Development Director Chris Robles recommending Council adopt RESOLUTION NO. 16-42 APPROVING A FIRST AMENDMENT TO PROFESSIONAL DESIGN SERVICES AGREEMENT BETWEEN THE CITY OF ROSEVILLE AND WALKER PARKING CONSULTANTS/ENGINEERS, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This is a first amendment to an existing professional design services agreement with Walker Parking Consultants. Design scope, which currently includes plans for the new Oak Street Parking Structure and associated pedestrian improvements, will be extended to include pedestrian enhancements along W. Washington from Vernon to Atlantic Street. This amendment increases the contract value by \$43,900.00 to a total of \$643,116.00.

CC #: 7436

File #: 0401-02-02-1

CONTACT: Bill Aiken 774-5271 baiken@roseville.ca.us

6.11. FY2014-15 Annual Report - Low and Moderate Income Housing Asset Fund

Memo from Financial Analyst Melissa Hagan and Economic Development Director Chris Robles recommending Council adopt RESOLUTION NO. 16-56 APPROVING THE LOW AND MODERATE INCOME HOUSING ASSET FUND FOR FISCAL YEAR 2014-15. This item requests adoption by resolution of the FY2014-15 annual report of the Housing Successor Agency Low and Moderate Income Asset Fund per SB-341 signed into law in 2014 post the dissolution of the Redevelopment Agency. This report includes information related to the deposits and expenditures of the fund, the total assets including receivables and land and the number of deed-restricted rental housing units and the percentage that are restricted for seniors. The annual report has no impact on the City's General Fund.

CC #: 7457

File #: 0103-10-04

CONTACT: Melissa Hagan 774-5476 mhagan@roseville.ca.us

6.12. Conflict of Interest Disclosure Amendments

Memo from Assistant City Clerk Audrey Byrnes and City Clerk Sonia Orozco recommending Council adopt RESOLUTION NO. 16-38 READOPTING THE FAIR POLITICAL PRACTICES COMMISSION MODEL CONFLICT OF INTEREST CODE AND REPEALING RESOLUTION NO. 15-33. The Fair Political Practices Commission requires local government agencies to adopt and implement a conflict of interest code which establishes categories of public officials and employees who must disclose their personal financial interests. Annual filing of Statement of Economic Interests Form 700 enables the organization to identify areas of potential conflicts so that government officials and employees can refrain from making or participating in a government decision that has a reasonably foreseeable material financial effect on his or her personal interests. The California Government Code requires local agencies to annually review its Conflict of Interest Code to accurately reflect required filers of Form 700 as designated by the City Attorney. Council adopted the last update in February 2014. There is no fiscal impact.

CC #: 7427

File #: 0503

CONTACT: Audrey Byrnes 774-5268 abyrnes@roseville.ca.us – **Removed from Consent Calendar to be discussed separately**

- 6.13. Updates to Energy Risk Management Policies
Memo from Electric Resources Analyst Petra Wallace and Electric Utility Director Michelle Bertolino recommending Council adopt RESOLUTION NO. 16-44 ADOPTING THE UPDATED ENERGY RISK MANAGEMENT POLICY. The Electric Utility Risk Management Policy, Energy Credit Risk Policy, and Energy Trading Authority Policy are being updated as a result of recommendations from an external risk management audit. There is no fiscal impact for these changes.
CC #: 7438
File #: 0800-03
CONTACT: Petra Wallace 774-5510 pwallace@roseville.ca.us
- 6.14. Load Research Software Master Sales Agreement, and Technology and Services Addendums
Memo from Senior Electric Business Analyst Joanna Cucchi and Electric Utility Director Michelle Bertolino recommending Council adopt RESOLUTION NO. 16-58 APPROVING A MASTER SALES AGREEMENT AND TECHNOLOGY & SERVICES ADDENDUM, BY AND BETWEEN THE CITY OF ROSEVILLE AND ITRON, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This item requests approval of an agreement with Itron for electric load research software. Total cost of the agreement is \$38,000 in FY2015/16 and funds are available in the Roseville Electric Utility Budget.
CC #: 7458
File #: 0800-03
CONTACT: Joanna Cucchi 746-1609 jcucchi@roseville.ca.us
- 6.15. Power Transformer Bids, Design Review and Testing - Professional Services Agreement
Memo from Power Engineer Sonny Punzalan and Electric Utility Director Michelle Bertolino recommending Council adopt RESOLUTION NO. 16-51 APPROVING A PROFESSIONAL SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND RAJ AHUJA CONSULTING, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. The contract with Raj Ahuja Consulting will provide Roseville Electric Utility staff the technical expertise in transformer design and manufacturing required in the procurement of substation power transformers. The contract has a not to exceed limit of \$100,000.00. Funds are available in the Electric Operations Fund and the Douglas Substation Rehabilitation Capital Improvement Project.
CC #: 7449
File #: 0800-03
CONTACT: Sonny Punzalan 774-5698 apunzalan@roseville.ca.us
- 6.16. Legal Services Agreement
Memo from Paralegal Gretchen Hakala and City Attorney Bob Schmitt recommending Council adopt RESOLUTION NO. 16-54 APPROVING AN AGREEMENT FOR LEGAL SERVICES BY AND BETWEEN THE CITY OF ROSEVILLE AND WILNER & O'REILLY, APLC, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. The City currently has a need for the specialty legal services of Wilner & O'Reilly, APLC, to assist in a personnel transaction. These services will be paid from the Electric Department's FY2015/16 Budget.
CC #: 7422

File #: 0500-01

CONTACT: Gretchen Hakala 774-5325 ghakala@roseville.ca.us

6.17. Housing Successor: Crocker Oaks Apartments, 8000 Painted Desert Drive - Change of Ownership

Memo from Housing Supervisor Nancy Cox and Economic Development Director Chris Robles recommending Council adopt RESOLUTION NO. 16-55 APPROVING THE CHANGE OF OWNERSHIP OF CROCKER OAKS APARTMENTS. Crocker Oaks Apartments is seeking approval to transfer ownership and assignment of their regulatory agreement. The Regulatory Agreement applying to the property requires approval of the transfer and assignment by the City Council, as the Housing Successor, to allow the transfer to occur. The change of ownership of Crocker Oaks Apartments will not have an impact to the City's General Fund.

CC #: 7456

File #: 0709-03-02

CONTACT: Nancy Cox 774-5451 ncox@roseville.ca.us

6.18. Street Closure Request - Vernon Street Town Square Summer Concert Series

Memo from Community Relations Analyst Jamie Hazen and Parks, Recreation & Libraries Director Dion Louthan recommending Council adopt RESOLUTION NO. 16-43 OF THE COUNCIL OF THE CITY OF ROSEVILLE APPROVING THE CLOSING OF SOUTH GRANT STREET FOR THE VERNON STREET TOWN SQUARE CONCERT SERIES. Staff is requesting the closure of South Grant Street on the third Saturday of each month from May through September, 2016 from 5:00 - 10:30 p.m. for the City sponsored Vernon Street Town Square Summer Concert Series. The budget for this series is \$32,325.00 and is part of the approved FY2015/16 Parks, Recreation & Libraries Department Budget.

CC #: 7437

File #: 0109-02

CONTACT: Jamie Hazen 774-5978 jhazen@roseville.ca.us

6.19. F-97 Pocket Park Master Plan - Approval of Master Plan and Park Development Agreement

Memo from Park Planning & Development Superintendent Tara Gee and Parks, Recreation & Libraries Director Dion Louthan recommending Council adopt RESOLUTION NO. 16-40 APPROVING A PARK DEVELOPMENT AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND GBD COMMUNITIES, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This item requests Council to approve the Master Plan and approve the park development agreement with Granite Bay Development for the F-97 pocket park. The park is proposed to be constructed turn-key by the developer. Upon completion, the park will be deeded to the City for operations. Pocket parks within the Fiddymont Farm area utilize private funding. Since the neighborhood park requirements are fulfilled, no fee credits are applied to pocket parks. Maintenance costs would be approximately \$10,000.00/year. The funding source for maintenance is provided through the West Roseville Community Facilities District for Service.

CC #: 7431

File #: 0704-01

CONTACT: Tara Gee 774-5253 tgee@roseville.ca.us

6.20. Wide Area Network - Agreement Amendment

Memo from Information Technology Division Manager Tom Pelster and Chief Information Officer Hong Sae recommending Council adopt RESOLUTION NO. 16-63

APPROVING A SECOND AMENDMENT TO BUSINESS SERVICE AGREEMENT BETWEEN THE CITY OF ROSEVILLE AND CONSOLIDATED COMMUNICATIONS, INC., (CCI, FORMERLY SUREWEST), AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This agreement would authorize an amendment to the Consolidated Communications, Inc. (CCI, formerly SureWest) wide area networking agreement to upgrade the bandwidth for several remote locations providing City services. These locations are the Barton Road Water Treatment Plant and seventeen locations for Roseville's Adventure Club childcare sites. If approved, this amendment to the existing agreement will provide the needed bandwidth to support City business operations at these locations. If approved, the total cost of these upgrades is \$1,323.00 per month. Funding is included in the Environmental Utilities Budget for the Barton Road connection (\$425.00/month), and in the Parks, Recreation and Libraries Budget for the Adventure Club connections (\$898.00/month).

CC #: 7409

File #: 0800-05

CONTACT: Tom Pelster 774-5166 tpelster@roseville.ca.us

6.21. Human Resources - Professional Services Agreement

Memo from Senior Human Resources Analyst Linda Hampton and Human Resources Director Gayle Satchwell recommending Council adopt RESOLUTION NO. 16-49 APPROVING A FIRST AMENDMENT TO PROFESSIONAL SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND BRYCE HR CONSULTING, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This is a request to approve a Professional Services agreement with Bryce Consulting, Inc. for employee salary classification and compensation analysis City- wide. Total compensation shall not exceed \$30,000.00. This cost is budgeted in the current approved FY2016 Budget.

CC #: 7443

File #: 0600-01

CONTACT: Linda Hampton 774-5215 lhampston@roseville.ca.us

6.22. Labor Relations Consulting Services - Professional Services Agreement Amendment

Memo from Human Resources Director Gayle Satchwell recommending Council adopt RESOLUTION NO. 16-53 APPROVING A FIRST AMENDMENT TO PROFESSIONAL SERVICES AGREEMENT BETWEEN THE CITY OF ROSEVILLE AND BURKE, WILLIAMS, SORENSON, LLP, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This resolution will amend the current Professional Services Agreement with Burke, Williams, and Sorenson LLP for \$100,000.00. This will allow the City to continue to utilize the firm's services in the labor relations area, and funds are available in the FY2016 Budget.

CC #: 7433

File #: 0600

CONTACT: Gayle Satchwell 774-5374 gsatchwell@roseville.ca.us

6.23. 800MHz Radio System Replacement - Service Agreement

Memo from Information Technology Analyst Karl Grover and Chief Information Officer Hong Sae recommending Council adopt RESOLUTION NO. 16-52 APPROVING A SERVICE AGREEMENT BETWEEN CITY OF ROSEVILLE AND CDX WIRELESS, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (\$1605229). As part of the 800 megahertz (MHz) Radio System Replacement project, requesting approval of the City's continued partnership with CDX Wireless, an independent technology consultant. The total cost for CDX's

services to develop a RFP, define evaluation criteria, conduct independent scoring, and deliver a Proposal Evaluation Report is \$54,400.00. An optional service to develop a negotiation strategy and participate in the negotiation process is \$10,000.00. The funding source is the fiscal year 15/16 Annual Rehab and the Information Technology Department's annual budget.

CC #: 7421

File #: 0800-05

CONTACT: Karl Grover 774-5145 kgrover@roseville.ca.us

6.24. Westbrook Phase I Frontages - Pleasant Grove Boulevard Frontage Certificate of Completion

Memo from Senior Construction Inspector John Hernandez and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-61 ACCEPTING THE PUBLIC WORK KNOWN AS WESTBROOK PHASE 1 FRONTAGES - PLEASANT GROVE BOULEVARD FRONTAGE PROJECT, APPROVING THE "CERTIFICATE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING ALL DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION. The Westbrook Phase I Frontages - Pleasant Grove Boulevard Frontage project represents a completed subdivision ready for certificate of completion. The Engineering Division has made final inspection of the above project and has found the roadway infrastructure work complete in accordance with the improvement plans and City Specifications. This action has no impact to the City's General Fund. Construction costs were paid by the developer.

CC #: 7462

File #: 0400-04-12-1

CONTACT: John Hernandez 774-5486 jhernandez@roseville.ca.us

6.25. Westbrook Phase I Frontages - Westbrook Boulevard Phase A Landscape and Sound Wall Improvements - Certificate of Completion

Memo from Senior Construction Inspector John Hernandez and Development Services Director Kevin Payne recommending Council adopt RESOLUTION NO. 16-62 ACCEPTING THE PUBLIC WORK KNOWN AS WESTBROOK PHASE 1 FRONTAGES - WESTBROOK BOULEVARD PHASE A LANDSCAPE AND SOUND WALL IMPROVEMENT PROJECT, APPROVING THE "CERTIFICATE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING ALL DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION. This project represents completed Community Facilities District improvements and is ready for certificate of completion. The Engineering Division has made final inspection of the above project and has found the work complete in accordance with the improvement plans and City Specifications. This action has no impact to the City's General Fund. Construction costs were paid by the developer.

CC #: 7463

File #: 0400-04-12-1

CONTACT: John Hernandez 774-5486 jhernandez@roseville.ca.us

Ordinances (for introduction and first reading)

6.26. Municipal Code Amendment - Annual Adjustment to Bid Amount

Memo from Paralegal Gretchen Hakala and City Attorney Bob Schmitt recommending Council introduce for first reading ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING SECTIONS 4.12.090(A), 4.12.095(A) AND 4.12.100 OF CHAPTER 4.12. OF TITLE 4 REGARDING FORMAL BIDDING. The City Charter

(Section 7.21) provides for an annual adjustment to the bid amount. This change, if any, goes to City Council for approval along with the proposed budget each year, and the updated amount is stated in the ordinance adopting the annual budget. To make sure the Roseville Municipal Code shows the correct bid amount, staff recommends updating the language to remove the stated dollar amount and instead include a reference to the ordinance adopting the annual budget. There is no fiscal impact as a result of this update.

CC #: 7423

File #: 0500-01

CONTACT: Gretchen Hakala 774-5325 ghakala@roseville.ca.us

6.27. Municipal Code Amendment - Streets and Sidewalks

Memo from Senior Engineer Guy Howes and Development Services Director Kevin Payne recommending Council introduce for first reading ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING SECTIONS 13.08.050, 13.08.060, AND 13.08.070 OF CHAPTER 13.08 OF TITLE 13 OF THE ROSEVILLE MUNICIPAL CODE REGARDING STREET, SIDEWALK, CURB AND GUTTER CONSTRUCTION, AMENDING SECTIONS 13.26.080 AND 13.26.090 OF CHAPTER 13.26 OF TITLE 13 OF THE ROSEVILLE MUNICIPAL CODE REGARDING BRIDGE CROSSINGS AND MAJOR THOROUGHFARES, AND AMENDING SECTIONS 13.28.020, 13.28.030, 13.28.040, 13.28.050, 13.28.060, 13.28.070, 13.28.090, 13.28.100 AND 13.28.130 OF CHAPTER 13.28 OF TITLE 13 OF THE ROSEVILLE MUNICIPAL CODE REGARDING CONSTRUCTION IN PUBLIC STREETS. This item is a revision to the Roseville Municipal Code (RMC) - Title 13, Streets and Sidewalks for minor "clean up" language, definitions, and fee amendments. The restructuring of Public Works (PW) and the establishment of the Development Services Department in 2012 has resulted in a split of responsibilities between the PW Director and the City Engineer. This change in roles has facilitated the need to change portions of the RMC. Also, insurance certification for minor encroachment permits is proposed to be self-certified by the customer at time of permit issuance, as requested by Risk Management. Lastly, State storm water mandates require the City to conduct inspection services through construction of the last house in a subdivision. In turn, the modifications propose that the City will retain a portion of the developer plan check and inspection deposit to recoup inspection services during this extended duration. Upon completion of home construction, the balance of the deposit will be returned to the developer. These amendments do not have an impact on the City's General Fund.

CC #: 7435

File #: 0800-06

CONTACT: Guy Howes 746-5430 ghowes@roseville.ca.us

Ordinances (for introduction and adoption - appropriation/urgency measures)

6.28. Downtown Bridges and Trail Project - Professional Design Services Agreement and Budget Adjustment

Memo from Alternative Transportation Analyst Michael Dour and Public Works Director Rhon Herndon recommending Council adopt RESOLUTION NO. 16-39 APPROVING A PROFESSIONAL DESIGN SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND MARK THOMAS & COMPANY, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and adopt ORDINANCE NO. 5629 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This item requests Council approve a budget adjustment and a professional design services agreement with Mark Thomas and

Company for lighting design and construction management services for the Downtown Bridges and Trail Project. The total cost for services is \$105,765.00. The budget adjustment will add \$90,000.00 in Local Transportation Funds to the Oak Street Extension of Miners Ravine Trail Project and \$25,000.00 in Public Facilities Funds to the Downtown Pedestrian Bridge Project.

CC #: 7428

File #: 0201-01 & 0721-05

CONTACT: Michael Dour 746-1304 mdour@roseville.ca.us

6.29 FY2015/16 Westpark Community Facilities District #1 Loan Principal and Interest Payments - Budget Adjustment

Memo from Budget Analyst Brandon Ladd and Finance Director Monty Hanks recommending Council introduce and adopt ORDINANCE NO. 5635 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This Ordinance is for the FY2015-16 annual Interest and Principal payments for the Loan from the Water Rehab Fund (482), Water Construction Fund (481), and Pleasant Grove Drainage Basin Construction Fund (250) to Westpark Community Facilities District (CFD) No. 1 Fund (350). This loan was originally approved by Council on April 30, 2013 to fund Phases 3 and 4 of the Westpark backbone infrastructure project. The City's loan to Westpark CFD No.1 was secured and is being repaid from proceeds from the Pay-As-You-Go funding generated from special taxes levied on properties within the CFD.

CC #: 7454

File #: 0201-01 & 0400-04-09-1

CONTACT: Brandon Ladd 774-5389 bladd@roseville.ca.us

Kathy Cullen 746-1306 kcullen@roseville.ca.us – **Dropped from Agenda to be re-listed at later date**

6.30. Correction to Transfers for Utility Exploration Center IdeaScape - Budget Adjustment

Memo from Kathy Cullen and Finance Director Monty Hanks recommending Council adopt ORDINANCE NO. 5630 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This budget adjustment is a correction to transfers for funding the UEC IdeaScape project. The project is set up in Solid Waste Operations, so transfers were posted from Wastewater and Water Operations funds. However, the project is funded by the Solid Waste Capital Purchase Fund, Wastewater Rehab, and Water Construction.

CC #: 7442

File #: 0201-01 & 0714-02

CONTACT:

Kathy Cullen 746-1306 kcullen@roseville.ca.us

6.31. Power Plant Operations - Budget Adjustment

Memo from Electric Rates & Financial Administrator Philip McAvoy and Electric Utility Director Michelle Bertolino recommending Council adopt ORDINANCE NO. 5632 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. Roseville Electric Utility operates two power plants: the Roseville Energy Park and Roseville Power Plant #2. This request increases the FY2015/16 budget for the power plants by

\$3,691,000.00 due to changes in operating, maintenance, and rehabilitation activities that have arisen since the original budget was prepared in early 2015.

CC #: 7448

File #: 0201-01 & 0800-03

CONTACT: Philip McAvoy 774-5689 pmcavoy@roseville.ca.us

6.32. Reason Farms Property Management Annual Capital Improvement Project - Budget Adjustment

Memo from Open Space Superintendent Brian Castelluccio and Parks, Recreation & Libraries Director Dion Louthan recommending Council adopt ORDINANCE NO. 5633 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. Staff is requesting Council approve the budget adjustment for \$20,000.00 to fund on-going maintenance of the Reason Farms Property. Funding will be transferred from Reason Farms Revenue Fund to the Reason Farms Revenue Property Management Annual Capital Improvement Project.

CC #: 7450

File #: 0201-01 & 0704-02

CONTACT: Brian Castelluccio 746-1755 bcastelluccio@roseville.ca.us

6.33. Fire Department Staffing Changes

Memo from Senior Human Resources Analyst Linda Hampton and Human Resources Director Gayle Satchwell recommending Council introduce and adopt ORDINANCE NO. 5634 OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING ORDINANCE NO. 5621, THE SALARY ORDINANCE FOR EMPLOYEES REPRESENTED BY ROSEVILLE AREA FIREFIGHTERS, TO BE EFFECTIVE FEBRUARY 20, 2016, AS AN URGENCY MEASURE; and approve the Fire Department allocation schedule effective February 20, 2016, and Roseville Firefighters salary schedule (Appendix B) reflecting the addition of Firefighter Paramedic I (3353), the retitling of Firefighter Paramedic (3355) to Firefighter Paramedic II (3355) and the deletion of Recruit Firefighter (3354) effective February 20, 2016. As part of reorganization efforts in the Fire Department, staff is recommending reclassifying two vacant Fire Division Chief allocations to one Assistant Fire Chief and one Fire Battalion Chief (8 Hour) allocation. Also to enhance recruitment efforts, staff is recommending adding the new position of Firefighter Paramedic I, retitling the existing Firefighter Paramedic classification to Firefighter Paramedic II and deleting the Recruit Firefighter classification. The financial impact of these changes for the remainder of FY2015/2016 is \$771.00. The annual impact is \$2,227.00.

CC #: 7453

File #: 0600-01

CONTACT: Linda Hampton 774-5215 hampton@roseville.ca.us – **Removed from Consent Calendar to be discussed separately**

Ordinances (for second reading and adoption)

6.34. Second Reading of Ordinance - 2015 Speed Limit Ordinance Update

ORDINANCE NO. 5636 OF THE CITY COUNCIL OF CITY OF ROSEVILLE AMENDING SECTION 11.28.010 OF CHAPTER 11.28 OF TITLE 11 OF THE ROSEVILLE MUNICIPAL CODE REGARDING SPEED LIMITS - for second reading and adoption.

CC #: 7464

File #: 0316-04

CONTACT: Jana Cervantes 774-5498 jcervantes@Roseville.ca.us

Reports / Requests

6.35. Out of State Travel - Electric Department

Memo from Electric Rates & Financial Administrator Todd White and Electric Utility Director Michelle Bertolino recommending Council approve up to six out of state trips for three Roseville Electric staff to conduct on-site interviews of finalists for the City's Power Management Services Provider. Travel costs are estimated at \$9,000.00 and funds are available in the FY16 Power Supply Budget.

CC #: 7447

File #: 0600-02

CONTACT: Todd White 746-1668 twhite@roseville.ca.us

6.36. Comprehensive Annual Financial Report for FY Ended June 30, 2015

Memo from Accounting Manager Sandra Ikeda and Finance Director Monty Hanks recommending Council accept the informational report. The Finance Department has prepared the Comprehensive Annual Financial Report (CAFR) which is the annual audited financial report, and also includes tables of statistics and additional narratives about the City. This additional section should be informative to the reader of the report. The CAFR has been posted on the City's website to meet bond covenants and other requirements. This is an informational report and there is no impact to the City's General Fund.

CC #: 7426

File #: 0202-01

CONTACT: Sandra Ikeda 774-5314 sikeda@roseville.ca.us

Motion by Herman, seconded by Roccucci, to drop item #6.9 and #6.29 from the agenda, remove items #6.12 and 6.33 from the Consent Calendar to be discussed separately and approve the remaining items as recommended.

Roll call vote: Ayes: Gore, Rohan, Herman, Roccucci, Garcia

Noes: None

Absent: None

REMOVED FROM CONSENT CALENDAR

6.12. Conflict of Interest Disclosure Amendments

Memo from Assistant City Clerk Audrey Byrnes and City Clerk Sonia Orozco recommending Council adopt RESOLUTION NO. 16-38 READOPTING THE FAIR POLITICAL PRACTICES COMMISSION MODEL CONFLICT OF INTEREST CODE AND REPEALING RESOLUTION NO. 15-33. The Fair Political Practices Commission requires local government agencies to adopt and implement a conflict of interest code which establishes categories of public officials and employees who must disclose their personal financial interests. Annual filing of Statement of Economic Interests Form 700 enables the organization to identify areas of potential conflicts so that government officials and employees can refrain from making or participating in a government decision that has a reasonably foreseeable material financial effect on his or her personal interests. The California Government Code requires local agencies to annually review its Conflict of Interest Code to accurately reflect required filers of Form 700 as designated by the City Attorney. Council adopted the last update in February 2014. There is no fiscal impact.

CC #: 7427

File #: 0503

CONTACT: Audrey Byrnes 774-5268 abyrnes@roseville.ca.us

Removed by Councilmember Gore for clarification.

City Clerk Sonia Orozco made the presentation to Council.

No public comment received.

Motion by Gore, seconded by Roccucci, to adopt RESOLUTION NO. 16-38 READOPTING THE FAIR POLITICAL PRACTICES COMMISSION MODEL CONFLICT OF INTEREST CODE AND REPEALING RESOLUTION NO. 15-33.

Roll call vote: Ayes: Gore, Rohan, Herman, Roccucci, Garcia

Noes: None

Absent: None

REMOVED FROM CONSENT CALENDAR

6.33. Fire Department Staffing Changes

Memo from Senior Human Resources Analyst Linda Hampton and Human Resources Director Gayle Satchwell recommending Council introduce and adopt ORDINANCE NO. 5634 OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING ORDINANCE NO. 5621, THE SALARY ORDINANCE FOR EMPLOYEES REPRESENTED BY ROSEVILLE AREA FIREFIGHTERS, TO BE EFFECTIVE FEBRUARY 20, 2016, AS AN URGENCY MEASURE; and approve the Fire Department allocation schedule effective February 20, 2016, and Roseville Firefighters salary schedule (Appendix B) reflecting the addition of Firefighter Paramedic I (3353), the retitling of Firefighter Paramedic (3355) to Firefighter Paramedic II (3355) and the deletion of Recruit Firefighter (3354) effective February 20, 2016. As part of reorganization efforts in the Fire Department, staff is recommending reclassifying two vacant Fire Division Chief allocations to one Assistant Fire Chief and one Fire Battalion Chief (8 Hour) allocation. Also to enhance recruitment efforts, staff is recommending adding the new position of Firefighter Paramedic I, retitling the existing Firefighter Paramedic classification to Firefighter Paramedic II and deleting the Recruit Firefighter classification. The financial impact of these changes for the remainder of FY2015/2016 is \$771.00. The annual impact is \$2,227.00.

CC #: 7453

File #: 0600-01

CONTACT: Linda Hampton 774-5215 lhampton@roseville.ca.us

Removed by Councilmember Roccucci for clarification.

Human Resources Director Gayle Satchwell made the presentation to Council.

Fire Chief Rick Bartee continued the presentation to Council.

No public comment received.

Motion by Herman, seconded by Roccucci, adopt ORDINANCE NO. 5634 OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING ORDINANCE NO. 5621, THE SALARY ORDINANCE FOR EMPLOYEES REPRESENTED BY ROSEVILLE AREA FIREFIGHTERS, TO BE EFFECTIVE FEBRUARY 20, 2016, AS AN URGENCY MEASURE; and approve the Fire Department allocation schedule effective February 20, 2016, and Roseville Firefighters salary schedule (Appendix B) reflecting the addition of Firefighter Paramedic I (3353), the retitling of Firefighter Paramedic (3355) to Firefighter Paramedic II (3355) and the deletion of Recruit Firefighter (3354) effective February 20, 2016.

Roll call vote: Ayes: Gore, Rohan, Herman, Roccucci, Garcia

Noes: None

Absent: None

END OF CONSENT CALENDAR

7. RESOLUTIONS

7.1. Successor Agency of the Redevelopment Agency of the City of Roseville - Reservation of Housing Taxable Bond Proceeds for the Mercy Housing Project

Memo from Housing Analyst Trisha Isom and Economic Development Director Chris Robles recommending Council adopt SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY OF THE CITY OF ROSEVILLE RESOLUTION NO. 16-59 APPROVING THE RESERVATION OF \$5,760,000 FROM THE HOUSING TAXABLE BOND PROCEEDS FOR THE MERCY HOUSING PROJECT. On June 3, 2015, the City and the Successor Agency of the Redevelopment Agency (Successor Agency) approved the reservation of \$4.76 million to assist Mercy Housing in developing a 55 unit affordable housing community located at 623 Vernon Street. In order to ensure a successful application to the California Tax Credit Allocation Committee (TCAC), Mercy Housing requested an additional loan of \$1 million which will increase the full loan amount to \$5,760,000.00. Mercy Housing believes the additional funds will help the Low-Income Housing Tax Credit program (LIHTC) application rank more competitively. The application is due to TCAC by March 2, 2016 and could award the project with \$15.9 million. Although the required agreements securing this funding are not in place at this time, the Successor Agency anticipates the loan to be in the form of a 55-year fully amortized loan with an interest rate of 3% simple interest. No General Fund monies will be used for this project.

CC #: 7460

File #: 0103-10-04 & 0709

CONTACT: Trisha Isom - 746-1239 - tisom@roseville.ca.us

Chris Robles - 774-5421 - crobles@roseville.ca.us

Economic Development Director Chris Robles introduced Housing Analyst Trisha Isom.

Housing Analyst Trisha Isom made the presentation to Council.

Economic Development Director Chris Robles continued the presentation to Council.

No public comment received.

Motion by Gore, seconded by Roccucci, to adopt SUCCESSOR AGENCY OF THE REDEVELOPMENT AGENCY OF THE CITY OF ROSEVILLE RESOLUTION NO. 16-59 APPROVING THE RESERVATION OF \$5,760,000 FROM THE HOUSING TAXABLE BOND PROCEEDS FOR THE MERCY HOUSING PROJECT.

Roll call vote: Ayes: Gore, Rohan, Herman, Roccucci, Garcia

Noes: None

Absent: None

7.2. Citizens' Benefit Fund Investment Strategies and Program Administration

Memo from Economic Development Manager Laura Matteoli and Economic Development Director Chris Robles recommending Council adopt RESOLUTION NO. 16-48 APPROVING POLICY REGARDING CITIZENS BENEFIT FUND INVESTMENT STRATEGY AND PROGRAM ADMINISTRATION. This item reaffirms the City Council's direction establishing policy relating to the Citizens' Benefit Fund (CBF) Investment Strategy and Grants Program Administration, as follows: 1) Retain the Citizens' Benefit Fund (CBF) with the City to be invested and administered by the City. 2) Retain the

Grants Advisory Commission and Grants Program with administration by the City. 3) Modify the Citizens' Benefit Fund Policy Manual, as follows: a. Accept investment strategy revisions expanding investments consistent with Government Codes. b. Reaffirm smoothing strategy to hold back proceeds in excess of \$500K and establish new policy for annually utilizing proceeds in excess of \$500K for annual smoothing, special projects, or community benefits. c. Reaffirm the nine (9) funding program categories. d. Retain limits on school districts and schools, as approved by the Grants Advisory Commission. e. Continue policy that the City Manager approve grants submitted for City requests. f. Confirm no giving priorities with each funding request to be evaluated on its own merits. g. Establish a policy for an annual needs assessment for the City Council to consider and establish priorities for the coming funding cycle.

CC #: 7441

File #: 0103-34-02 & 0216-01

CONTACT: Chris Robles 774-5421 crobles@roseville.ca.us

Economic Development Director Chris Robles introduced Economic Development Manager Laura Matteoli.

Economic Development Manager Laura Matteoli made the presentation to Council.

Claudia Gamar Heinlein, addressed Council and spoke in support.

Motion by Gore, seconded by Roccucci, to adopt RESOLUTION NO. 16-48 APPROVING POLICY REGARDING CITIZENS BENEFIT FUND INVESTMENT STRATEGY AND PROGRAM ADMINISTRATION.

Roll call vote: Ayes: Gore, Rohan, Herman, Roccucci, Garcia

Noes: None

Absent: None

- 7.3. Enterprise Resources Planning Consulting Services - Professional Services Agreement
Memo from Information Technology Division Manager Michael Sinor and Finance Director Monty Hanks and Chief Information Officer Hong Sae recommending Council adopt RESOLUTION NO. 16-46 APPROVING A PROFESSIONAL SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND GOVERNMENT FINANCE OFFICERS ASSOCIATION, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This is a request for authorization to enter into a contract for consulting services with the Government Finance Officers Association (GFOA) in the amount of \$184,815.00. GFOA will be providing services including business process analysis, project planning, and Request for Proposals development to support the replacement of the City's Financial, Human Resources, Payroll, and Procurement system.

CC #: 7429

File #: 0800-05

CONTACT: Michael Sinor 774-5183 msinor@roseville.ca.us

Finance Director Monty Hanks introduced Electric Rates and Financial Administrator Todd White.

Finance Director Monty Hanks made the presentation to Council.

Electric Rates and Financial Manager Todd White continued the presentation to Council.

No public comment received.

Motion by Herman, seconded by Rohan, to adopt RESOLUTION NO. 16-46 APPROVING A PROFESSIONAL SERVICES AGREEMENT IN THE AMOUNT OF \$212,537.00 BY AND BETWEEN THE CITY OF ROSEVILLE AND GOVERNMENT FINANCE OFFICERS ASSOCIATION, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE.

Roll call vote: Ayes: Gore, Rohan, Herman, Roccucci, Garcia

Noes: None

Absent: None

8. ORDINANCES

8.1. Oak Street Parking Facility - Design-Assist Construction Agreement and Budget Adjustment

Memo from Development Analyst Bill Aiken and Economic Development Director Chris Robles recommending Council adopt RESOLUTION NO. 16-50 APPROVING A DESIGN-ASSIST CONSTRUCTION AGREEMENT BETWEEN THE CITY OF ROSEVILLE AND C. OVERAA & CO., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE; and adopt ORDINANCE NO. 5631 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This item is requesting Council approval of budget adjustments and a design-assist construction agreement with C. Overaa & Co. These actions will complete the funding of the proposed project and establish the construction firm that will participate in the final project design and then lead the construction of the Oak Street Parking Facility. Total cost associated with the construction agreement is \$10,500,000.00. Funding is provided by a combination of the previously approved project budget (Redevelopment Bond Funds), a \$1,967,137.00 loan from the Strategic Improvement Fund, and a transfer of \$3,500,000.00 from the Public Facilities Fund.

CC #: 7444

File #: 0401-02-02-1 & 0201-01

CONTACT: Bill Aiken 774-5271 baiken@roseville.ca.us

Economic Development Director Chris Robles introduced Development Analyst Bill Aiken.

Development Analyst Bill Aiken made the presentation to Council.

No public comment received.

Motion by Herman, seconded by Roccucci, to adopt RESOLUTION NO. 16-50 APPROVING A DESIGN-ASSIST CONSTRUCTION AGREEMENT BETWEEN THE CITY OF ROSEVILLE AND C. OVERAA & CO., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE;

and,

adopt ORDINANCE NO. 5631 OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE.

Roll call vote: Ayes: Gore, Rohan, Herman, Roccucci, Garcia

Noes: None

Absent: None

9. SPECIAL REQUESTS/REPORTS/PRESENTATION

9.1. Budget Update

Memo from Finance Director Monty Hanks recommending Council accept the budget update presentation. The FY2016-17 budget preparation is underway and staff wants to keep the City Council informed with various budget related information and estimates as they become available. The updates are intended to solicit input and feedback from the Council to ensure staff prepares their budgets in support of Council goals and priorities as outlined during the budget workshop. There is no fiscal impact for this report.

CC #: 7452

File #: 0201

CONTACT: Monty Hanks 774-5313 mhanks@roseville.ca.us

Finance Director Monty Hanks made the presentation to Council.

No public comment received.

For information only; no action required.

10. PUBLIC HEARING

NOTICE TO THE PUBLIC

City Council, when considering the matter scheduled for hearing, will take the following actions:

1. Open the Public Hearing
2. Presentation by Staff
3. Presentation by applicant or Appellant
4. Accept Public Testimony
5. Appellant or Applicant Rebuttal Period
6. Close the Public Hearing
7. City Council Comments and Questions
8. City Council Action

In the future, if you wish to challenge in court any of the matters on this agenda for which a public hearing is to be conducted, you may be limited to raising only those issues, which you, or someone else raised orally at the Public Hearing or in written correspondence received by the City or before the hearing

Public Hearings listed for continuance will be continued as noted and posting of this agenda serves as notice of continuation.

10.1. Municipal Code Amendment - Medical Marijuana Regulations

Public Hearing continued to March 16, 2016.

CC #: 7465

File #: 0800-06

CONTACT: Greg Bitter 774-5294 gbitter@roseville.ca.us

Joseph Speaker 774-5329 jspeaker@roseville.ca.us

11. COUNCIL / STAFF / REPORTS/ COMMENTS

St. Baldrick's Fundraising Event – Councilmember Herman announced.

Sacramento Metro Legislative Summit – Councilmember Roccucci reported.

Roseville Housing Authority High Performer Award – Mayor Garcia offered congratulatory remarks.

12. ADJOURNMENT

Motion by Herman, seconded by Rohan, to adjourn the meeting at 8:03 p.m.

Vote: All Ayes

CAROL GARCIA, MAYOR

SONIA OROZCO, CMC
CITY CLERK



COUNCIL COMMUNICATION

CC #: 7543
File #: 0721-05

Title: Downtown Bridges and Trail Improvement Project - Agreement for Sale of Conservation Credits, Approval of Plans and Specifications, and Authorization to Call for Bids

Contact: Hossein Naghibzadeh 746-1300 hnaghibzadeh@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.2.

RECOMMENDATION TO COUNCIL

Staff recommends that City Council:

1. Approve the plans and specifications for the Downtown Bridges and Trail Improvement Project and authorize staff to call for bids;
2. Adopt a resolution authorizing staff to re-program up to \$900,000.00 in CMAQ funds from the Dry Creek Greenway Project to the Downtown Class I Trail; and
3. Adopt a resolution authorizing the City Manager to sign an Agreement for Sale of Conservation Credits from the Fremont Landing Conservation Bank in the amount of \$40,800.00 as mitigation for impacts to riparian habitat and shaded riverine habitat.

BACKGROUND

The Downtown Class I Trail Project plans are complete and ready for bid. The plans include rotation and refurbishment of the Rube Nelson "Icehouse" Bridge, the Taylor Street (Library) Bridge Replacement, continuation of the Miners Ravine Class I Trail into Royer Park, modifications to the Oak Street parking lot, and laying back the stream bank in Royer Park for hydraulic flood mitigation purposes.

Approval of Plans and Specifications

The construction plans and specifications are available at the City Clerk's Office for Council review. Staff anticipates bidding the project in March 2016 and awarding the contract in June 2016. Construction is currently anticipated to begin in July 2016 and end in December 2016. However, that is dependent upon the approval of a Flood Encroachment Permit (FEP) from the Central Valley Flood Protection Board (CVFPB). If the FEP is not approved in a timely manner, then the start of construction would be delayed and construction activities would extend into summer 2017.

The City Council authorized a professional design services contract with Mark Thomas and

Company (MTCO) on February 17, 2016, for lighting design services for the Downtown Bridges. The lighting design work is underway, and the final lighting plans will be incorporated into the bid documents via an official addendum prior to the submission of bids.

The project funding includes an Active Transportation Program grant in the amount of \$1,236,000. This grant program requires that we be under contract by July of this year. If we are not under contract by that time, we would risk losing the funds. Therefore, even though the FEP is not currently in hand and the lighting design is not complete, staff recommends that we move forward with approval of plans and specifications and bidding.

Sale of Conservation Credits

The project has been designed to minimize effects on biological resources, which is partially accomplished through an increased setback of the trail from Dry Creek. However, some project improvements such as the bridge abutments, the creek layback area, and erosion protection measures, will impact habitat along Dry Creek. As a result, the City has obtained permits from the California Department of Fish and Wildlife, California Regional Water Quality Control Board and U.S. Army Corps of Engineers.

To compensate for the loss of 0.338 acres of riparian vegetation and 0.003 acres of impacts to Dry Creek, the permits require mitigation as follows:

- 0.31 acres of willow plantings have been incorporated into the project plans.
- Mitigation credits totaling 0.507 acres for riparian habitat impact. The Regional Water Quality Board's permit requires that the purchase be made at the Fremont Landing Wildlife Conservation Bank. The cost of the required credits is \$40,800. The attached resolution would authorize the City Manager to execute this contract.
- Mitigation credits totaling 0.01 acres for impacts to Dry Creek. The Regional Water Quality Board's permit requires that this purchase be made at the Wildlands River Ranch Wetlands Mitigation Bank. The City has previous unused credits from this bank that we will use for this mitigation.

Downtown Specific Plan

The Downtown Class I Trail project, including the Rube Nelson bridge rotation, Taylor Street Bridge, Oak Street parking lot modifications and creek bank layback, are part of the community vision for Downtown Roseville as identified in the Downtown Specific Plan (DTSP). The vision for economic revitalization of Downtown Roseville was developed over the course of 20 public meetings beginning in 2005 and culminating in the April 1, 2009 adoption of the DTSP and certification of the DTSP EIR.

In August 2011, the City Council approved the DTSP Public Improvement Program (PIP). The PIP identified phasing and funding for 13 of the DTSP's high priority public improvement projects. The Downtown Class I Trail is among the 13 high priority projects in the PIP.

Bicycle Master Plan

The proposed Class I trail improvements are identified as a proposed project in the Bicycle Master Plan. The project will connect the existing Class I trail in Royer/Saugstad Park to the Class

I Trail recently constructed with the new fire station site grading project, which includes an undercrossing of Lincoln Street. The direct Class I Trail connection to the Miners Ravine Trail will be completed when Harding to Royer Segment 3 project is completed as anticipated in 2017.

Downtown Bridges Project Public Outreach

Public review of Downtown Class I Trail project was incorporated into the extensive public participation process for the Downtown Bridges, Trail, and Fire Station site grading projects. This public outreach effort began in 2012 and continued most recently to November 2015. The public participation elements included:

- 2 Public Workshops
- 1 Public Open House
- 4 Veterans Hall Meetings
- 1 Folsom Road Neighborhood Association Meeting
- Selection Committee Ranking Meeting
- Transportation Commission Meeting
- Parks and Recreation Commission Meeting
- City Council Meetings (2)

As the project moves forward, public outreach will continue through the use of the City's web page, social media, and electronic newsletters. Property owners and businesses adjacent to or impacted by the construction will be contacted through direct mailers or flyers prior to the start of work and as necessary throughout construction.

FISCAL IMPACT

The engineer's estimate for construction is as follows:

Construction	\$2,837,711
Contingency	\$ 283,771
Construction Management	<u>\$ 750,000</u>
Total	\$3,871,482

Construction management includes staff resources, materials testing, environmental training, monitoring and mitigation, and professional resident engineering services.

The funding previously identified for the project is as follows:

Active Transportation Program Grant	\$1,236,000
Congestion Mitigation Air Quality (CMAQ) Grant	\$ 811,429
Federal Transit Administration Grant	\$ 464,911
Bicycle Transportation Account Grant	\$ 103,000
State Transit Safety Grant	\$ 80,081

Local Transportation Fund	Total	\$ 242,228 \$2,937,649
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To cover the difference between the estimated cost and the previously identified funding, staff proposes to transfer approximately \$822,000 in CMAQ to the proposed project from the future Dry Creek Greenway Project. The remaining balance of \$112,000 would come from the Local Transportation Fund. This would bring the total available funds to \$3,871,482.

Staff anticipates that additional funding for the Dry Creek Greenway Trail project will be available from future CMAQ disbursements or Active Transportation Program funds.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on Federal guidelines, there is one job created for every \$92,000.00 in direct government spending. Based on that figure, this project will create approximately 42 jobs.

ENVIRONMENTAL REVIEW

The proposed project was previously evaluated in the Downtown Specific Plan Environmental Impact Report (SCH#2007102090) (Certified by City Council April 1, 2009) and related Addendum (March 20, 2013). The improvement plans included in the construction contract are consistent with the project evaluated in the certified Final EIR and consequently no additional CEQA action is required for construction contract approval.

Respectfully Submitted,

Hossein Naghibzadeh, Associate Engineer

Rhon Herndon, Rhon Herndon



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution 16-103

wildlands Agreement

Resolution 16-104

Bid Cover

RESOLUTION NO. 16-103

APPROVING AN AGREEMENT FOR SALE OF CONSERVATION CREDITS FROM
FREMONT LANDING CONSERVATION BANK, AND AUTHORIZING THE CITY
MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, City staff recommends an Agreement for the Sale of Conservation Credits from Fremont Landing Conservation Bank, regarding the purchase of 0.51 acres of riparian forest floodplain restoration conservation credits; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville, subject to approval as to form by the City Attorney.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



February 11, 2016

Mark Morse
Environmental Coordinator
City Manager's Office
City of Roseville
311 Vernon Street, Roseville CA
Roseville, CA 95678

Subject: Credit Accounting Update for 0.04 Freshwater Marsh Complex Credits Purchased at the River Ranch Wetland Mitigation Bank; City of Roseville Downtown Bridges and Class 1 Trail Project (CDFW SAA No. 1600-2015-0209-R2; Corps File No. SPK-2015-00507)

Dear Mark:

On August 11, 2015 the City of Roseville purchased 0.04 acre of freshwater marsh complex credits from Wildlands' River Ranch Wetland Mitigation ("Bank") as compensatory mitigation for the Hughes Park Trail Project (0.004 acre) with the balance (0.036) reserved for future City projects. A copy of the Bill of Sale for the credit purchase is attached for your reference.

The City of Roseville has requested the debit of 0.01 acre of freshwater credits complex credits from the Bank as compensatory mitigation for impacts to 0.003 acre of Dry Creek associated with the Downtown Bridges and Class 1 Trail Project, mitigated at a 3:1 ratio as outlined in the California Department of Fish and Wildlife ("CDFW") Streambed Alteration Agreement ("SAA") Notification No. 1600-2015-0209-R2 dated December 22, 2015.

This letter serves as confirmation that Wildlands has updated the Bank's credit accounting records to reflect 0.01 acre of freshwater marsh complex credits for the City's Downtown Bridges and Class 1 Trail Project. The 0.026 acre of freshwater marsh complex credits now remaining will be available as compensation for future City project impacts, as approved by the appropriate regulatory agency(ies). Please refer to Table 1 for a history of the credits purchased and applied to City projects to date.

Thank you for allowing Wildlands the opportunity to provide the City of Roseville with a mitigation solution. Please feel free to call me with any questions.

Regards,

Julie Maddox
Marketing Coordinator

Attachment

Table 1: Credits available to the City of Roseville at the River Ranch Wetland Mitigation Bank

Transaction Date	Project name(s) and Agency file number(s)	Summary of credit transaction	Remaining credits available to City
08/11/2015	Initial Credit Purchase	0.04 Freshwater Marsh Complex credits purchased	0.04
08/11/2015	Hughes Park Trail RWQCB File No. 5A31CR00403 Corps File No. SPK-2015-0027	0.004 credits deducted from the 0.04 initial purchase	0.036
02/11/2016	Downtown Bridges and Class 1 Trail CDFW File No. 1600-2015-0209-R2 Corps File No. SPK-2015-00507	0.01 credits deducted from the 0.036 available credits	0.026

**AGREEMENT FOR SALE OF CONSERVATION CREDITS
FREMONT LANDING CONSERVATION BANK**

**NMFS File No. WCR-2015-3086
CDFW SAA No. 1600-2015-0209-R2**

This Agreement is made and entered into this ____ day of _____, 2016 by and between RIPARIAN RANCH, LLC (Bank Owner) and the CITY OF ROSEVILLE, (Project Proponent) as follows:

RECITALS

- A. The Bank Owner has entitlements to the Fremont Landing Conservation Bank (Bank) located in Yolo County California; and
- B. The Bank has been authorized by the National Marine Fisheries Service (NMFS) to operate as a conservation bank with riparian forest floodplain conservation credits available for sale; and
- C. Project Proponent is seeking to implement the project described on Exhibit "A" attached hereto (Project), which would unavoidably and adversely impact habitat thereon, and seeks to compensate for the loss of habitat by purchasing conservation credits from Bank Owner; and
- D. Project Proponent has been authorized by NMFS letter of concurrence No. WCR-2015-3086 to purchase 0.507 steelhead habitat restoration credits at a NMFS-approved anadromous fish conservation bank and by California Department of Fish and Wildlife (CDFW) Streambed Alteration Agreement (SAA) Notification No. 1600-2015-0209-R2 to purchase 0.507 acre of riparian mitigation credits from a mitigation bank; and
- E. Project Proponent desires to purchase from Bank Owner and Bank Owner desires to sell to Project Proponent 0.51 acre of riparian forest floodplain (restoration) conservation credits to satisfy the NMFS and CDFW 0.507 acre mitigation requirement.

NOW, THEREFORE, THE PARTIES AGREE AS FOLLOWS:

- 1. Bank Owner hereby agrees to sell to Project Proponent and Project Proponent hereby purchases from Bank Owner 0.51 acre of riparian forest floodplain restoration conservation credits (Conservation Credits) for the purchase price of \$40,800.00 (Purchase Price), in accordance with the terms hereof. The Purchase Price for the Conservation Credits shall be paid by wire transfer of funds or by check payable to "Riparian Ranch, LLC." Upon receipt of the Purchase Price, Bank Owner will deliver to Project Proponent an executed Bill of Sale in the form attached hereto as Exhibit "B."

2. The sale and transfer herein is not intended as a sale or transfer to Project Proponent of a security, license, lease, easement, or possessory or non-possessory interest in real property, nor the granting of any interest of the foregoing.

3. Project Proponent shall have no obligation whatsoever by reason of the purchase of the Conservation Credits, to support, pay for, monitor, report on, sustain, continue in perpetuity, or otherwise be obligated or liable for the success or continued expense or maintenance in perpetuity of the Conservation Credits sold, or the Bank. As required by law, Bank Owner shall monitor and make reports to the appropriate agency or agencies on the status of any Conservation Credits sold to Project Proponent. Bank Owner shall be fully and completely responsible for satisfying any and all conditions placed on the Bank or the Conservation Credits, by all state or federal jurisdictional agencies. Bank Owner hereby shall indemnify Project Proponent of and from all such liabilities and obligations.

4. The Conservation Credits sold and transferred to Project Proponent shall be nontransferable and non-assignable, and shall not be used as conservation mitigation for any other Project or purpose, except as set forth herein.

5. Project Proponent must deliver the Purchase Price to Bank Owner within 30 days of the date of this Agreement. After the 30 day period this Agreement be considered null and void and Bank Owner shall have no further obligations hereunder.

6. Upon purchase of the Conservation Credits specified above, Bank Owner shall complete the payment receipt form attached hereto as Exhibit "C", and shall submit the completed payment receipt to NMFS.

IN WITNESS WHEREOF, the parties have executed this Agreement the day and year first above written.

BANK OWNER

RIPARIAN RANCH, LLC

By: 
Name: Mark B. Heintz
Its: Authorized Representative

PROJECT PROPONENT

CITY OF ROSEVILLE

By: _____
Name: _____
Its: _____

Exhibit “A”

DESCRIPTION OF PROJECT TO BE MITIGATED

The proposed Project involves the construction of two new bridges (Downtown Bridge and Library Bridge) and the rehabilitation/reconstruction of an existing bridge (Ice House Bridge) over Dry Creek, as well as flood protection activities, a class 1 bike trail and revegetation along the banks of Dry Creek. The Project is located along Dry Creek, off of Lincoln Street, in the City of Roseville, Placer County, California; Township 10N, Range 6E, Section ½, of the Citrus Heights 7.5 minute U.S. Geological Survey (USGS) map. Latitude 38.748909°N, Longitude -121.281101°.

Exhibit "B"

BILL OF SALE
Contract #FRCB-16-_____
NMFS File No. WCR-2015-3086
CDFW SAA No. 1600-2015-0209-R2

In consideration of \$40,800.00, receipt of which is hereby acknowledged, RIPARIAN RANCH, LLC (Bank Owner) does hereby bargain, sell and transfer to the CITY OF ROSEVILLE (Project Proponent) 0.51 Riparian Forest Floodplain Restoration Conservation Credits for the Downtown Bridges and Class 1 Trail Project in the Fremont Landing Conservation Bank in Yolo County California, developed and approved under the authority of the National Marine Fisheries Service.

Bank Owner represents and warrants that it has good title to the credits, has good right to sell the same, and that they are free and clear of all claims, liens, or encumbrances.

Bank Owner covenants and agrees with the Project Proponent to warrant and defend the sale of the credits hereinbefore described against all and every person and persons whomsoever lawfully claiming or to claim the same.

DATED: _____

RIPARIAN RANCH, LLC

By: _____

Name:

Its:

PARTICIPANT INFORMATION

PROJECT INFORMATION

PAYMENT INFORMATION

(Signature)

m:\marketing\Agreements\saleFRCB_Roseville
Revised: 02/08/2016

RESOLUTION NO. 16-104

AUTHORIZING STAFF TO REPROGRAM FEDERAL CONGESTION MITIGATION & AIR
QUALITY FUNDS FROM THE DRY CREEK GREENWAY PROJECT TO
THE DOWNTOWN CLASS I TRAIL PROJECT

WHEREAS, the Downtown Class I Trail Project plans are complete and staff anticipates bidding the project in March 2016; and

WHEREAS, staff recommends reprogramming CMAQ funds from the Dry Creek Greenway Project to the Downtown Class I Trail Project, to cover the difference between the estimated cost and the previously identified funding; and

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Roseville that it hereby authorizes staff to reprogram \$900,000.00 in Federal CMAQ funds from the Dry Creek Greenway Project to the Downtown Class I Trail Project.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

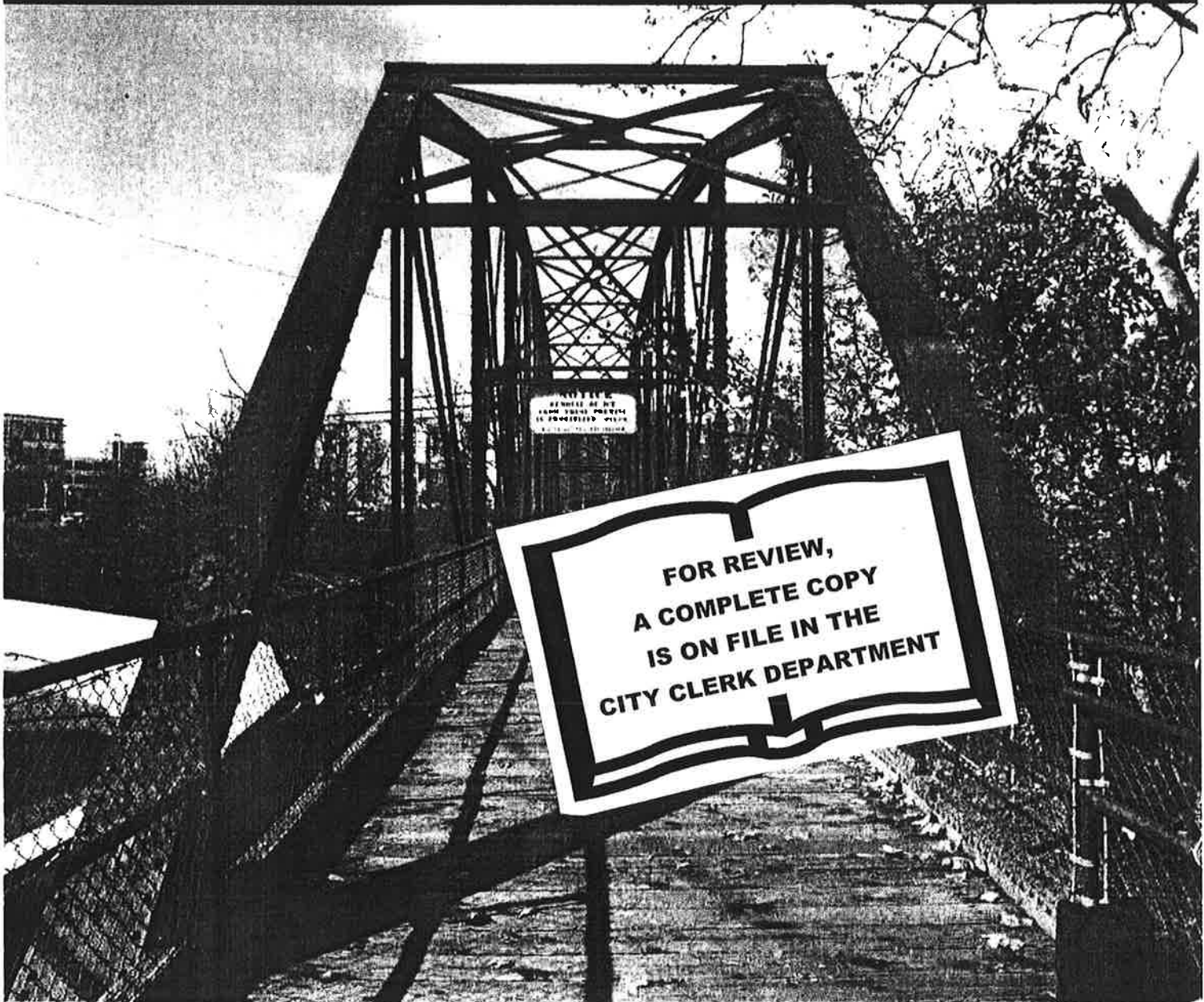
ATTEST:

City Clerk

Downtown Bridges & Trail Project

Federal Aid #CML-5182(063)

Public Works - Engineering





COUNCIL COMMUNICATION

CC #: 7528

File #: 0203-21 & 0203-10

Title: Modular Storage Units (RFQ 01-3067) - Reject all Bids

Contact: Peter Brevik 774-5724 pBrevik@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.3.

RECOMMENDATION TO COUNCIL

Recommend that all bids received for RFQ 01-3067 Modular Storage Units be rejected. The bid for twelve modular storage units for use by the Parks & Recreation & Libraries Department surpassed the engineer's estimate and is over the available budget.

BACKGROUND

The Parks, Recreation and Libraries department bid for twelve modular storage units to be placed at three different locations within the City.

A formal bid was issued with the following one (1) response:

BIDDER

Carter-Kelly Inc.
Placerville, CA

TOTAL UNIT PRICING

\$123,262.00

The engineer's estimate for the modular storage units is \$60,000. The sole bid that was received was more than double the engineer's estimate and is over the available budget. As such, staff recommends rejecting the bid received for the modular storage units. Staff will evaluate the project, modify the RFQ, and will rebid the project to include a revised scope.

FISCAL IMPACT

There is no fiscal impact at this time, since there will be no contract awarded.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

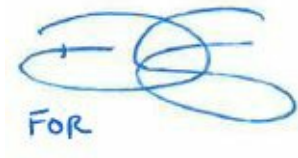
ENVIRONMENTAL REVIEW

Not applicable.

Respectfully Submitted,

Peter Brevik, Buyer

Paul Diefenbach, Central Services Director



Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-95

RESOLUTION NO. 16-95

REJECTING ALL BIDS FOR MODULAR STORAGE UNITS

WHEREAS, the City of Roseville requested bids for Modular Storage Units; and

WHEREAS, it was determined that the bids received exceeded the engineers estimate and will be re-advertised once a cost effective option is developed; and

WHEREAS, staff desires to reject all bids for the Modular Storage Units;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that all bids for Modular Storage Units are hereby rejected.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



COUNCIL COMMUNICATION

CC #: 7538
File #: 0203-07

Title: Tubular Steel Poles (RFQ 01-3061) - Purchase Order
Contact: Babette Owens 774-5704 bowens@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.4.

RECOMMENDATION TO COUNCIL

Recommend Council authorize award of a purchase order to TransAmerica Power Products, Inc. as the only responsive bid received for RFQ 01-3061 for the purchase of tubular steel poles for the Electric Department. The total cost of this purchase is \$48,147.00.

BACKGROUND

In late fall of 2012, Roseville Electric completed a LiDAR survey of the 60 kV transmission lines throughout the City to establish any clearance issues between the 60 kV and 12 kV energized conductors and between the energized conductors and the ground. As a result, clearance issues were established that affect three of the poles located on the NIPA Blue Oaks Commerce Center development property. Construction of the NIPA Blue Oaks Commerce Center development also required moving the same poles due to grade issues and future road improvement conflicts. The three existing poles will be replaced with steel poles.

A formal bid was issued where vendors were asked to provide pricing for three Tubular 60kV Steel Poles. Only one vendor, TransAmerica Power Products, Inc., responded. The contract award is based on a lump sum total of these steel poles.

FISCAL IMPACT

The total cost of the three tubular steel poles is \$48,147.00. Funding is included in the Electric 60 kV Restraining CIP project (134008) FY2015/16 budget. Fifty (50) % of the cost of the poles will be reimbursed by the NIPA Blue Oaks Commerce Center development.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The purchase of tubular steel poles does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Babette Owens, Buyer

Paul Diefenbach, Central Services Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Bid Bond 01-3061

surety check



COUNCIL COMMUNICATION

CC #: 7536
File #: 0203-07

Title: Roseville Energy Park Maintenance and Repair Services – Purchase Order
Contact: Matt Garner 746-1691 mgarner@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.5.

RECOMMENDATION TO COUNCIL

Award a sole source multi-year purchase order to American Compressor Company for offsite maintenance and repair services in support of the Roseville Energy Park. The total cost of the purchase order will not exceed \$250,000, based on an average expenditure of \$50,000 per year for five years. Funding for FY2016 is included in the Electric Department budget.

BACKGROUND

Roseville Electric owns and operates the Roseville Energy Park (REP) which utilizes vapor compressors as part of the zero liquid discharge system. The vapor compressors assist with the evaporation process. The American Compressor Company is the only factory authorized maintenance and repair company for the vapor compressors at the REP. The operation of these units is necessary to remain in compliance with regulatory standards governing the operation of the REP.

FISCAL IMPACT

Funding is included in the Electric Department budget for FY2016. Cost of the purchase order for FY2016 is not expected to exceed \$50,000. The total cost of the purchase order for 5 years will not exceed \$250,000.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

ENVIRONMENTAL REVIEW

The purchase order for maintenance and repair services is not considered a “project” as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines §15378). Consequently no CEQA action is required.

Respectfully Submitted,

Matt Garner, Power Generation Superintendent

Michelle Bertolino, Electric Utility Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Sole Source - American Compressor



COUNCIL COMMUNICATION

CC #: 7523

File #: 0203-13-01

Title: Asphalt Sealant and Filler - Annual Blanket Purchase Order

Contact: Peter Brevik 774-5724 pBrevik@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.6.

RECOMMENDATION TO COUNCIL

Authorize an award of an annual blanket purchase order to Crafcro Inc. for asphalt sealant and fillers. The City of Roseville will utilize the State of Washington contract #01211. The estimated purchase price for asphalt materials is \$100,000.00 annually.

BACKGROUND

The Street Maintenance Division has a recurring demand for Crafcro crack sealant pavement preservation products. Crafcro offers a wide variety of pavement patching products for applications in all climatic conditions and temperatures. Crafcro was chosen because of the price and quality of materials. In the past, street maintenance has used other brands and nothing has performed as well as Crafcro products. Streets has also used the Crafcro spray injection patching machine for the past 12 years and found the equipment is much better than the competition when it comes to reliability and ease of use for the crews.

The City will be piggybacking on a competitively bid contract that was awarded to Crafcro Inc. by the State of Washington. The contract allows for optional renewals through August 17, 2019. The City will be piggybacking off of the State of Washington bids for Crafcro Roadsaver low tack, asphalt pavement patching and repair products.

Staff requests authorization to continue utilizing the cooperative contract without further Council approvals until the contract expires, or until City staff determines that continuing with the same vendor is not in the City's best interest. Upon expiration of the competitively bid State of Washington multi-year contract, city staff will perform an evaluation of the terms and conditions and pricing of any renewed contract. If the renewed contract continues to best meet the City's needs at that time, staff requests the ability to renew with the vendor contingent upon Council approval of budget.

FISCAL IMPACT

The total estimated cost for yearly pavement patching products is \$100,000.00. Funding is included in the Public Works Street Maintenance Division FY2015/16 budget and future budgets pending city council approval of budgets.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The purchase of asphalt sealant and fillers does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Peter Brevik, Buyer

Paul Diefenbach, Central Services Director



FOR

Dominick Casey, Assistant City Manager



COUNCIL COMMUNICATION

CC #: 7514
File #: 0203-09

Title: Pleasant Grove Wastewater Treatment Plant Mixed Liquor Return Pump Replacement – Sole Source Purchase Order Approval
Contact: Todd Jordan 746-1829 tjordan@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.7.

RECOMMENDATION TO COUNCIL

Approve the award of a sole source purchase order to Xylem Water Solutions for the purchase of three Flygt NP series pumps to replace a failed mixed liquor return pumps at the Pleasant Grove Wastewater Treatment Plant. The estimated cost is not to exceed \$123,173.93, which includes tax and shipping fees. Funding is included in the FY2015/16 Wastewater Operations Budget.

BACKGROUND

The oxidation ditches at the Pleasant Grove Wastewater Treatment Plant remove biological organics, ammonia, and nitrates and are an essential process to maintain compliance with the plant's National Pollutant Discharge Elimination System permit. The ditches rely heavily on mixed liquor return pumps that are the heart of the internal recycle system that maintains the efficiency and reliability of the process. Each of the three ditches is equipped with two mixed liquor submersible pumps. The ditches have been in operation since 2004 and have been subject to chronic ragging problems that cause pumps to "plug." Severe ragging has caused major damage to the existing mixed liquor return pumps, three of which have been severely damaged and need replacement.

Xylem Water Solutions offers a proprietary pump design, called Flygt model NP series, which addresses ragging problems. This style pump has an impeller design that cuts through the rags and minimizes pump plugging events.

The City has numerous pump applications equipped with the Flygt model NP series pump within the wastewater treatment plants and wastewater collection system. This style pump has proven to be effective in minimizing ragging issues and a reliable pump as evident in the reduced maintenance compared to the existing mixed liquor pumps.

Sole sourcing of this pump replacement is necessary because Xylem Water Solutions is the only manufacturer that offers this type of pump design, and they own the patents to the impeller design included on the Flygt NP series pump.

FISCAL IMPACT

The total cost of this purchase is \$123,173.93. Funding is included in the approved FY2015/16 Wastewater Operations Budget (08422).

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

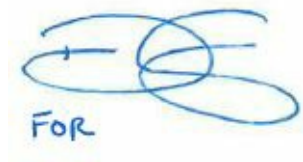
ENVIRONMENTAL REVIEW

The proposed project involves the repair of an existing facility. This activity is categorically exempt from CEQA as a Class 1 Exemption (State CEQA Guidelines Section 15301). The Exemption has been prepared and no further CEQA action is required.

Respectfully Submitted,

Todd Jordan, Senior Engineer

Richard D. Plecker, Environmental Utilities Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Sole Source Form

Xylem Quote



**Xylem Water Solutions USA, Inc.
Flygt Products**

790-A Chadbourne Rd
Fairfield, CA 94534
Tel (707) 422-9894
Fax (707) 422-9808

February 16, 2016

CITY OF ROSEVILLE
311 VERNON ST
ROSEVILLE CA 95678

Quote # 2016-FFB-0081

Re: City of Roseville - Pleasant Grove Mixed Liquor KSB Replacement

Flygt Corporation is pleased to provide a quote for the following equipment:

Pumps & Accessories

Qty	Description
3	Flygt Model NP-3202.095 12" volute Submersible pump equipped with a 460 Volt / 3 phase / 60 Hz 45 HP 1150 RPM motor, 616 impeller, 1 x 50 Ft. length of submersible cable, volute is prepared for Flush Valve
9	LABOR, SERVICE-CASF-NO TAX
3	MINI-CASII/FUS 120/24VAC, 24VDC
3	SOCKET, 11-PIN BACK MOUNTING

Total Project Price \$ 112,357.14

7.5% TAX \$ 8,426.79

Freight Charge \$ 2,390.00

Total Project Price ~~\$ 114,747.14~~

+ 7.5% TAX = \$ 123,173.93

Incoterm: 1 FCA - Free Carrier

Named Place: 02 - US WH/ Factory

Incoterms 2010 clarify responsibility for costs, risks, & tasks associated with the shipment of goods to the named place.

Terms of Payment: Net 30 Days. Xylem Flygt Products' Standard Warranty and Terms and Conditions will apply.

Time of Delivery: Approx. 12-14 working weeks after receipt of approved submittal and/or purchase order.

Taxes: State, local and other applicable taxes are not included in this quotation.

Terms of Delivery: Freight Prepaid

Exclusions: This quotation includes only the items specifically listed above.

Validity: This Quote will expire in ninety (90) days unless extended in writing by Xylem Flygt Products.

Sincerely,

Peter V. Galati II
Sales Representative
Phone: 707/430-0166
Cell: 916/804-8899
pete.galati@xyleminc.com





COUNCIL COMMUNICATION

CC #: 7527
File #: 0203-11

Title: Pressure Treated Wood Poles - Open Purchase Orders
Contact: Peter Brevik 774-5724 pbrevik@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.8.

RECOMMENDATION TO COUNCIL

Recommend open purchase orders to McFarland Cascade as the lowest responsive bidder to RFQ 01-3068 pressure treated wood poles. The estimated cost for the initial fifteen month contract will be \$150,000.00.

BACKGROUND

The Electric Department has a recurring requirement for pressure treated wood poles to support maintenance, construction and California Public Utilities Commission (CPUC) requirements. The Electric Department currently has over 4,000 wooden poles in its distribution system that are required to be intrusively tested on a continuum basis. These tests identify poles that no longer meet CPUC standards and are required to be replaced. The Electric Department is also required to upgrade wooden poles when new facilities or assets are attached to the existing pole that will exceed the allowable CPUC load calculations. There are currently eighty poles that have been identified for replacement, with another 40 anticipated in the next 12 months due to results of CPUC detailed inspections and general maintenance standards. Also, four optional one-year renewals were included in the pressure treated wood poles bid.

A formal bid was issued with the following two (2) responses:

<u>BIDDER</u>	<u>Grand Total Bid Price</u>
McFarland Cascade	\$175,019.00
Tacoma, WA	

Cox Industries, Inc.	\$212,000.00
Hillsboro, OR	

FISCAL IMPACT

The estimated cost of pressure treated wood poles for fifteen months is \$150,000.00. Funding is included in the Electric Departments Construction Maintenance budget for FY2015/16 and

FY2016/17 budget, pending City Council approval of budgets.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable

ENVIRONMENTAL REVIEW

The proposed project involves the purchase and maintenance/replacement of pressure treated wood poles. This activity is categorically exempt from CEQA as a Class 1 Exemption (State CEQA Guidelines Section 15301). The Exemption has been prepared and no further CEQA action is required.

Respectfully Submitted,

Peter Brevik, Buyer

Paul Diefenbach, Central Services Director



For

Dominick Casey, Assistant City Manager



COUNCIL COMMUNICATION

CC #: 7517
File #: 0203-07

Title: Roseville Energy Park Ammonia Supply – Service Agreement Amendment
Contact: Matt Garner 746-1691 mgarner@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.9.

RECOMMENDATION TO COUNCIL

Approve an amendment to the Thatcher Company of California service agreement for purchase and delivery of aqueous ammonia for emission controls at the Roseville Energy Park and adopt a resolution authorizing the City Manager to sign the service agreement amendment. The amendment increases the service agreement cost from \$43,000 to \$90,000 due to increased operation of the Roseville Energy Park. Funding is included in the Electric Department budget for FY16.

BACKGROUND

Roseville Electric owns and operates the Roseville Energy Park (REP) which utilizes aqueous ammonia for emissions control. In July 2015, the City executed a service agreement with Thatcher Company of California for the purchase and delivery of aqueous ammonia. Increased run time at the REP in FY 2016, has increased the amount of aqueous ammonia needed. The use of this chemical is necessary to remain in compliance with regulatory standards governing the operation of the REP.

FISCAL IMPACT

Funding is included in the Electric Department budget for FY16. The amended service agreement cost is \$90,000.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable.

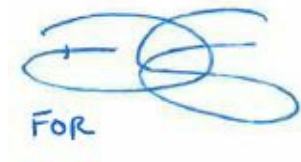
ENVIRONMENTAL REVIEW

The amendment to the service agreement for aqueous ammonia supply is not considered a “project” as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines §15378). Consequently no CEQA action is required.

Respectfully Submitted,

Matt Garner, Power Generation Superintendent

Michelle Bertolino, Electric Utility Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-89

Service Agreement Amendment - Thatcher Company of California

RESOLUTION NO. 16-89

APPROVING SERVICE AGREEMENT AMENDMENT NO. 1
BETWEEN CITY OF ROSEVILLE AND THATCHER COMPANY OF CALIFORNIA AND
AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF
ROSEVILLE

WHEREAS, Service Agreement Amendment No. 1 (Service Agreement No. S1603149) between City of Roseville and Thatcher Company of California, has been prepared and reviewed by the Council;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said service agreement amendment is approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



PURCHASING
CITY OF ROSEVILLE

2005 HILLTOP CIRCLE, ROSEVILLE, CA 95747
(916) 774-5720 • TDD (916) 774-5220 • FAX (916) 774-5736

**SERVICE AGREEMENT
AMENDMENT**

SERVICE AGREEMENT No: S1603149
AMENDMENT No.: 1

SERVICE LOCATION: AS DIRECTED

Contractor No.: V26755

SUBMIT ALL INVOICES TO:
CITY OF ROSEVILLE
Finance Department
311 Vernon Street
Roseville, CA 95678

Contractor License No.:
Business License No.:

Department: ELECTRIC DEPARTMENT

Telephone No.: 562.803.0563 Fax: 532.803.8697

Contractor: THATCHER COMPANY OF CALIFORNIA
ATTN: ~~DENNIS MOORE~~ CRAIG N. THATCHER
P.O. BOX 27407
SALT LAKE CITY, UT 84127-0407

Acct. Code: 08616-5340/686549-20

Buyer: BABETTE OWENS
Phone: 916-774-5704

Service Agreement Number S1603149 ("Agreement"), which was executed on 7/15/15, is hereby modified as follows:

Select all provisions that apply:

- ☐ The Contractor name is hereby changed from
- ☐ The term of the Agreement is reduced by (days/months/years) and the expiration date of the Agreement is changed from to .
- X The Total Cost of Service payable under this Agreement is modified from **\$43,000.00** TO **\$90,000.00**. The purpose of this modification is: Thatcher provides Aqueous Ammonia for emissions control at the REP. Increased load projections will require more product.

All other provisions of the Agreement shall remain unchanged and in full force and effect.

CONTRACTOR:

By: Craig N. Thatcher
Title: President
By: Diane T. Barlow
Title: Secretary

CITY OF ROSEVILLE,
A MUNICIPAL CORPORATION:

By: _____
Rob Jensen
City Manager

Distribution: 1 - Purchasing, 1 - Contractor, 1 - Originating Dept



COUNCIL COMMUNICATION

CC #: 7513
File #: 0203-04

Title: Pest Control Management - Service Agreement
Contact: Dan Allen 774-5741 dallen@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.10.

RECOMMENDATION TO COUNCIL

Recommend Council authorize the amendment of service agreement S1304116 with Neighborly Pest Management from \$335,000.00 to \$585,000.00 to provide pest control services on a scheduled and an as needed basis. Additionally, adopt a resolution authorizing the City Manager to sign the service agreement amendment.

BACKGROUND

November of 2012, Council approved the award of a service agreement to Neighborly Pest Management to provide pest control services on a scheduled and an as needed basis for \$335,000.00. This is the fourth year of the five (5) year agreement. The \$250,000.00 increase is due to pigeon remediation and removal at both Pleasant Grove Waste Water Treatment Plant and the Corporation Yard facilities, termite treatment at the RPAL Building and Carnegie Museum, and the addition of Electrical substations, Environmental Utilities pump stations, and lift stations. The total value on the agreement amendment for the remainder of the contract, October 2017 is \$585,000.00. The majority of this increase is due to additional one time work that was requested. The increase ensures that we have sufficient capacity to manage our pest control program through the remainder of the contract.

FISCAL IMPACT

Service agreement S1304116 is increased from \$335,000.00 to \$585,000.00. Funding for the amendment of this agreement is included in the Central Services Building Maintenance, Environmental Utilities, Electric, Street Maintenance, and Park Maintenance FY 2015/16 and proposed FY 2016/17 Budgets.

ECONOMIC DEVELOPMENT / JOBS CREATED

N/A

ENVIRONMENTAL REVIEW

The proposed project involves pest control maintenance on a scheduled and an as needed basis at existing facilities. This activity is categorically exempt from CEQA as a Class 1 Exemption (State CEQA Guidelines Section 15301). The Exemption has been prepared and no further CEQA action is required.

Respectfully Submitted,

Dan Allen, Facility Manager

Paul Diefenbach, Central Services Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-83

S1304116 Neighborly Pest Management

RESOLUTION NO. 16-83

APPROVING AMENDMENT NO. 1 TO SERVICE AGREEMENT
BETWEEN CITY OF ROSEVILLE AND NEIGHBORLY PEST MANAGEMENT, AND
AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF
ROSEVILLE

WHEREAS, Amendment No. 1 to Service Agreement (Service Agreement No. S1304116) between City of Roseville and Neighborly Pest Management, has been prepared and reviewed by the Council;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said amendment to service agreement is approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

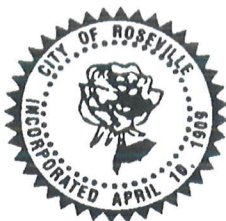
NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



PURCHASING
CITY OF ROSEVILLE

2005 HILLTOP CIRCLE, ROSEVILLE, CA 95747
(916) 774-5720 • TDD (916) 774-5220 • FAX (916) 774-5736

**SERVICE AGREEMENT
AMENDMENT**

SERVICE AGREEMENT No: **S1304116**
AMENDMENT No.: **1**

SERVICE LOCATION: **CITY OF ROSEVILLE
AS DIRECTED**

Contractor No.: **V06471**

SUBMIT ALL INVOICES TO:
CITY OF ROSEVILLE
Finance Department
311 Vernon Street
Roseville, CA 95678

Contractor License No.: **454335**
Business License No.: **00800209**

Department: **CENTRAL SERVICES**

Telephone No.: **(916)782-3767** Fax: **(916)782-4537**

Contractor: **NEIGHORLY PEST MANAGEMENT
ATTN : JIM STEED
324 RIVERSIDE AVENUE
ROSEVILLE, CA 95678**

Acct. Code: **VARIOUS**

Buyer: **JOANNA OUKROP**
Phone: **(916)774-5745**

Service Agreement Number **S1304116** ("Agreement"), which was executed on **12/5/12**, is hereby modified as follows:

Select all provisions that apply:

- ☒ The Total Cost of Service payable under this Agreement is increased from **\$335,000.00** to **\$585,000.00**. The purpose of this modification is to incorporate the following additional scope of work: **The additional dollars are to allow Neighborly Pest Management to continue providing pest control services on an as needed basis in accordance with all terms, conditions, specifications, and attachments of the City's request for proposals (RFP) titled "Pest Control Services" at the direction of Dan Allen in the City's Central Services Department.**

All other provisions of the Agreement shall remain unchanged and in full force and effect.

CONTRACTOR:

By: **Jim Steed**
Title: **PRESIDENT**
By: **Joanna Oukrop**
Title: **V.P.**

CITY OF ROSEVILLE,
A MUNICIPAL CORPORATION:

By: _____
Rob Jensen
City Manager

Distribution: 1- Purchasing, 1 - Contractor, 1 - Originating Dept



COUNCIL COMMUNICATION

CC #: 7535
File #: 0203-02

Title: Public Safety Vehicle Equipment and Services - Service Agreement
Contact: Peter Brevik 774-5724 pbrevik@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.11.

RECOMMENDATION TO COUNCIL

Authorize an award of a service agreement to LEHR Auto Electric and Emergency (LEHR) for new police and fire department vehicle accessories and labor services utilizing Placer County bid #10385. The estimated cost for materials and labor will not exceed \$280,000.00. In addition, adopt a resolution authorizing the City Manager to sign the attached service agreement.

BACKGROUND

The Vehicle Maintenance Department will be piggybacking Placer County bid #10385 for LEHR Auto Electric and Emergency supplies and services in order to outfit the recent purchase of eighteen police patrol vehicles, two fire command vehicles and eight non-patrol police department vehicles. The supplies needed for each vehicle include, but are not limited to, light bar, sirens, push bumpers, gun locks, flashing lights, partitions, center-consoles, computer stands, wiring and mounting brackets.

The City will be piggybacking the Placer County bid #10385 for materials and labor services. These supplies and services were competitively bid in 2013 with an initial one year contract period with four optional one year renewals.

FISCAL IMPACT

The total estimated cost for materials and labor will not exceed \$280,000.00. Funding is included in the Auto Replacement Budget for FY2015/16 and FY2016/17.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3). Vehicle accessories and labor do not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Peter Brevik, Buyer

Paul Diefenbach, Central Services Director



Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution 16-98

S1604357 Lehr Auto

RESOLUTION NO. 16-98

APPROVING A SERVICE AGREEMENT
BETWEEN CITY OF ROSEVILLE AND LEHR AUTO ELECTRIC & EMERGENCY, AND
AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF
ROSEVILLE

WHEREAS, a service agreement (Service Agreement No. S1604357) between City of Roseville and Lehr Auto Electric & Emergency, has been prepared and reviewed by the Council;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said service agreement is approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



PURCHASING
CITY OF ROSEVILLE

2005 HILLTOP CIRCLE, ROSEVILLE, CA 95747
(916) 774-5720 • TDD (916) 774-5220 • FAX (916) 774-5736

SERVICE AGREEMENT

SERVICE AGREEMENT

No: **S1604357**

SERVICE LOCATION: CITY OF ROSEVILLE
AS DIRECTED

REQ. NO.: R1613648 DATE: 2/17/16

SUBMIT ALL INVOICES TO:

CITY OF ROSEVILLE
Finance Department
311 Vernon Street
Roseville, CA 95678

Contractor No.: V00201
Telephone No.: 800-982-8468 Fax: 916-739-1496
Email address: steve@lehrauto.com
Contractor: LEHR AUTO ELECTRIC & EMERGENCY
ATTN: STEVE ADAIR
4707 NORTHGATE BLVD.
SACRAMENTO, CA 95834

Department: FLEET SERVICES

Acct. Code: 03322-6000

Buyer: PETER BREVIK
Phone: 916-774-5724

Start Date	Terms	Completion Date	Insurance Limits	Contact
3/17/16	NET 30	UPON FINAL INVOICE	Approved by Risk Mgt.	RICH BAKANEC

The contractor shall furnish all labor, equipment and materials necessary to accomplish the following:

PROVIDE NEW PARTS REQUIRED TO OUTFIT POLICE OR FIRE DEPARTMENT VEHICLES BASED ON PRICING FROM PLACER COUNTY'S BID 10385. ALSO PROVIDE LABOR ON AN AS NEEDED BASIS AT THE DIRECTION OF FLEET SERVICES AT A RATE OF \$60.00 PER HOUR.

PLEASE CONTACT RICH BAKANCE AT (916) 746-1720 FOR QUESTIONS REGARDING THIS AGREEMENT.

**The total amount listed is an approximation only. The City does not guarantee whatsoever the actual value of this contract.

Total Cost of Service: \$ **280,000.00**

ATTENTION: Total cost of service not to exceed the agreement amount without prior approval of the Purchasing Office.

The Contractor named hereon by the acceptance of this order agrees to the provisions of this document titled "Service Agreement" and Attachment "A".

Business License No.: _____ Contractor License No.: _____ DIR Registration No.: _____

☐ SOLE PROPRIETOR

☐ PARTNERSHIP

☒ CORPORATION

CONTRACTOR: _____

SIGNATURE

PRINT NAME

TITLE

CONTRACTOR: _____

SIGNATURE

PRINT NAME

TITLE

By: _____

Rob Jensen, City Manager
CITY OF ROSEVILLE, A MUNICIPAL CORPORATION

1. To the fullest extent allowed by law, Contractor shall defend, indemnify, and save and hold harmless City, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of Contractor's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from City's sole negligence or willful misconduct. The parties intend that this provision shall be broadly construed. Contractor's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.
2. Contractor is an independent contractor, and shall not be considered an officer, agent or employee of the City.
3. Without the written consent of the City, this Agreement is not assignable by Contractor either in whole or in part.
4. Time is of the essence of this Agreement.
5. At any time during the term of this Agreement, the City has the right to terminate this Agreement provided Contractor is given a thirty (30) day notice.
6. This Agreement may only be amended or modified in writing. It is integrated and contains the complete understanding of the parties.
7. All equipment, supplies and services sold to the City of Roseville shall conform to the general safety orders of the State of California.
8. Unless notified to the contrary, in writing, the City assumes that the Contractor has accepted the work in accordance with the plans and specifications (if any) and agrees to do the work in compliance with this Agreement.
9. All fair employment practices must be adhered to. In addition, if the project referenced on this service agreement is a Public Works project, all prevailing wage laws must be complied with. For prevailing wage contracts over \$25,000, copies of certified payroll must be submitted with invoices. Prevailing wage rates may be obtained from the State Department of Industrial Relations and/or the following website address: <http://www.dir.ca.gov/dlsr/DPreWageDetermination.htm>.
- 10a. Unless otherwise specified, the Contractor shall maintain the policies of insurance outlined in Attachment A, incorporated herein by this reference, in full force and effect during the term of this Agreement. The City of Roseville retains sole discretion in determining the types and proper levels of insurance coverage.
- 10b. Form. Contractor shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.
- 10c. Additional Insureds. Contractor shall also provide a separate endorsement or section of the policy showing City, its officers, agents, employees, and volunteers as additional insureds for each type of coverage, except for Workers' Compensation. Such insurance shall specifically cover the contractual liability of Contractor. The additional insured coverage under the Contractor's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from City's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.
- 10d. Cancellation/Modification. Contractor shall provide ten (10) days written notice to City prior to cancellation or modification of any insurance required by this Agreement.
- 10e. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of City (if agreed to in a written contract) before City's own insurance shall be called upon to protect it as a named insured.
- 10f. Subcontractors. Contractor agrees to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, Contractor shall require its subcontractors to agree to be bound to Contractor and City in the same manner and to the same extent as Contractor is bound to City under this Agreement. Additionally, Contractor shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of City's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.
- 10g. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or City. City reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.
- 10h. Waiver of Subrogation. Contractor hereby agrees to waive subrogation which any insurer of Contractor may acquire from Contractor by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of City, its officers, agents, employees and volunteers for all work performed by Contractor, its employees, agents and subcontractors.
- 10i. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve Contractor of liability in excess of such coverage, nor shall it preclude City from taking such other actions as are available to it under any other provisions of this Agreement or law.
11. Contractor shall comply with all federal, state and local laws and ordinances, including but not limited to the City's storm water regulations, as may be applicable to the performance of services under this Agreement. Failure to comply with local ordinances

may result in monetary fines and cancellation of this Agreement. Refer to www.roseville.ca.us/stormwater for links to more information on the City's storm water regulations.

12. In the event that the terms of any attachment or exhibit conflict with any terms of this Service Agreement, the terms of this Service Agreement shall control.
13. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. In the event that any signature is delivered by facsimile transmission or by e-mail delivery of a ".pdf" format data file, such signature shall create a valid and binding obligation of the party executing (or on whose behalf such signature is executed) with the same force and effect as if such facsimile or ".pdf" signature page were an original thereof.
14. If either party commences any legal action against the other party arising out of this Agreement or the performance thereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorneys' fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.
15. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.
16. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.
17. If the project referenced on this service agreement is a Public Works project, then the following shall apply. No contractor or subcontractor may work on a public works project unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5. During the performance of this agreement, Contractor and its subcontractors shall have a continuing legal obligation to maintain current registration with the Department of Industrial Relations. Contractor is hereby notified that this project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

<i>City reserves the right to withhold any payments to Contractor in the event of noncompliance with insurance requirements or if required by law.</i>
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ATTACHMENT A

HUMAN RESOURCES/RISK MANAGEMENT DIVISION INSURANCE REQUIREMENTS – SERVICE AGREEMENT GENERAL

Required Coverage:

- General Liability: \$1,000,000 per occurrence
\$2,000,000 aggregate
Personal Injury:
\$1,000,000 per occurrence
\$2,000,000 aggregate
- Automobile Liability: \$1,000,000 combined single limit
- Workers' Compensation: Statutory

- *Policies must be primary and non-contributory
- *Policies must contain a waiver of subrogation
- *A 10 day notice of cancellation must be provided
- *The policies may not contain language which prohibits additional insured or other insurers from satisfying the self-insured retention or deductible
- *All Self Insured Retentions must be listed on the certificate

Required Documentation:

- A certificate(s) of insurance listing the required coverage and naming the City of Roseville as Certificate Holder. The Certificate should be addressed to:

The City of Roseville
Insurance Compliance
P.O. Box 12010-R1, Hemet, CA 92546-8010

- Additional Insured Endorsement- General Liability policy: CG 20 10 04 13 or an equivalent, blanket endorsement or section of the policy. Endorsement shall cover the City of Roseville, its officers, agents, employees and volunteers as additional insured
- Waiver of Subrogation Endorsements
- Primary and Non-Contributory Coverage Endorsement – CG 20 01 04 13 or an equivalent

***NOTE: statements on the certificate of insurance in lieu of the documentation required above will not be accepted.**

Questions concerning any of the above should be directed to Ebix Customer Service
Phone: 951-652-4239 /fax 770-325-5727 or email: Roseville@ebix.com



COUNCIL COMMUNICATION

CC #: 7539

File #: 0400-0412-1

Title: Westbrook Phase I - Summerfaire Drive Phase B (Station 06+33 to Station 16+00) - Certificate of Completion
Contact: John Hernandez 774-5486 jhernandez@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.12.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council take the following action:

1. Adopt the attached resolution accepting Westbrook Phase I - Summerfaire Drive Phase "B" (Station 06+33 to Station 16+00), CWJ# 140035, as complete.
2. Authorize the City Engineer to execute the Certificate of Completion.

BACKGROUND

The Engineering Division has made a final inspection of the above project and has found the roadway infrastructure work complete in accordance with the improvement plans and City Specifications.

FISCAL IMPACT

The actions requested as part of this action have no impact to the City's General Fund. Construction costs were paid by the developer.

ECONOMIC DEVELOPMENT / JOBS CREATED

Accepting the project as complete will not directly create jobs but rather maintain current employee levels of the developer until such a time the project is fully built out.

ENVIRONMENTAL REVIEW

The Council action of Accepting Westbrook Phase I - Summerfaire Drive Phase "B" (Station 06+33 to Station 16+00), as complete will not result in a direct or reasonably foreseeable indirect

physical change in the environment (CEQA Guideline 15060(c)(2). Adoption of this project does not include the potential for a significant environmental effect and therefore is not subject to CEQA.

Respectfully Submitted,

John Hernandez, Senior Construction Inspector

Kevin Payne, Development Services Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-100

Westbrook Phase I - Summerfaire Drive Phase "B" (Station 06+33 to Station 16+00) Certificate of Completion

Westbrook Phase I - Summerfaire Drive Phase "B" (Station 06+33 to Station 16+00) Final Map

RESOLUTION NO. 16-100

RESOLUTION OF THE COUNCIL OF THE CITY OF ROSEVILLE ACCEPTING THE PUBLIC WORK KNOWN AS WESTBROOK PHASE I – SUMMERFAIRE DRIVE PHASE “B” (STATION 06+33 TO STATION 16+00) PROJECT, APPROVING THE "CERTIFICATE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING ALL DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION.

BE IT RESOLVED by the Council of the City of Roseville as follows:

1. The "Certificate of Completion" on file in the City Clerk's Department relative to that public work known as the WESTBROOK PHASE I – SUMMERFAIRE DRIVE PHASE “B” (STATION 06+33 TO STATION 16+00) is hereby approved and the public work accepted.
2. All rights of way and easements as offered on the final map of recorded with Placer County Recorder's Office Book CC of Maps, Page 85 is hereby accepted.
3. The City Engineer is hereby authorized and directed to execute said Notice on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville, this ____ day of _____, 20____, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

CITY CLERK

AFTER RECORDING RETURN TO:
CITY CLERK
311 VERNON STREET
ROSEVILLE, CA 95678

CERTIFICATE OF COMPLETION

NOTICE IS HEREBY GIVEN that the public work known as WESTBROOK PHASE I – SUMMERFAIRE DRIVE PHASE “B” (STATION 06+33 TO STATION 16+00) Project was completed and accepted by the City of Roseville on MARCH 16, 2016. The location is indicated on the attached map, marked as Exhibit "A" and incorporated herein by this reference.

The general contractor on said project was DESILVA GATES CONSTRUCTION.

The surety on said project was AS DESCRIBED IN THE ACQUISITION AGREEMENT STIPULATES THE CITY WILL SECURE 10% OF THE PROJECT VALUE AMOUNT FOR THE DURATION OF THE WARRANTY PERIOD FOR ONE YEAR.

MARC STOUT
City Engineer
City of Roseville

ATTEST:

SONIA OROZCO, CITY CLERK

STATE OF CALIFORNIA)
COUNTY OF PLACER)

I, MARC STOUT, being first duly sworn, depose and say: I am the City Engineer of the City of Roseville, a municipal corporation in the State of California, owner of the property described in the above Notice. I am duly authorized to make this verification for and on behalf of the City of Roseville. I have read the Certificate of Completion and know its contents and the facts stated therein are true.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document, to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

MARC STOUT
City Engineer
City of Roseville

STATE OF CALIFORNIA)
COUNTY OF PLACER)

Subscribed and sworn to (or affirmed) before me on this ____ day of _____, 2016, by _____ proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

Notary Public in and for said County and State

OWNER'S STATEMENT

THE UNDERSIGNED HEREBY CERTIFY THAT THEY ARE THE ONLY PERSONS HAVING ANY RECORD TITLE INTEREST IN THE REAL PROPERTY INCLUDED WITHIN THE SUBDIVISION SHOWN UPON THIS FINAL MAP OF "WESTBROOK - PHASE 1 LARGE LOT SUBDIVISION" AND THEY HEREBY CONSENT TO THE PREPARATION AND RECORDATION OF THIS FINAL MAP AND HEREBY OFFER FOR DEDICATION AND DO HEREBY DEDICATE TO PARTICULAR PURPOSES THE FOLLOWING:

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED AS EASEMENT FOR PUBLIC PURPOSES:

- (A) PUBLIC EASEMENTS FOR THE INSTALLATION AND MAINTENANCE OF, BUT NOT LIMITED TO, ELECTROLIERS, WATER AND GAS PIPES, SEWER AND DRAINAGE PIPES, AND FOR UNDERGROUND WIRE AND CONDUITS FOR ELECTRIC, TELEPHONE AND TELEVISION SERVICES AND TRAFFIC CONTROL APPURTENANCES TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS THOSE STRIPS OF LAND LYING CONTIGUOUS TO THE RIGHT OF WAY AND LOTS A, B, C, D, E, & F AS SHOWN HEREON AND DESIGNATED "P.U.E." (PUBLIC UTILITY EASEMENT).

THE REAL PROPERTY DESCRIBED BELOW IS IRREVOCABLY DEDICATED IN FEE FOR PUBLIC PURPOSES:

(A) AS PUBLIC RIGHT OF WAY (INCLUDING ALL IMPROVEMENTS THEREON) THE STREETS SHOWN HEREON AS PLEASANT GROVE BOULEVARD, SANTUCCI BOULEVARD, WESTBROOK BOULEVARD, MOUNTAIN GLEN DRIVE AND SIERRA TRIAL DRIVE AND THOSE AREAS SHOWN HEREON AS IOD/ROW (IRREVOCABLE OFFER OF DEDICATION/RIGHT OF WAY) AND LOTS A, B, C, D, E & F.

(B) LOT 3 SHOWN HEREON TO THE CITY OF ROSEVILLE FOR A WELL SITE.

(C) LOT 4 SHOWN HEREON TO THE CITY OF ROSEVILLE FOR A PARK.

(D) LOT 12 SHOWN HEREON TO THE CITY OF ROSEVILLE FOR OPEN SPACE.

WESTPARK S.V. 400, LLC, A CALIFORNIA LIMITED LIABILITY COMPANY

BY: JEFF JONES
JEFF JONES
ITS MANAGER

NOTARY'S ACKNOWLEDGMENT

STATE OF CALIFORNIA } SS
COUNTY OF PLACER

ON THE 9 DAY OF JUNE, 2014, BEFORE ME, SANDRA LESSARD, A NOTARY PUBLIC IN AND FOR SAID COUNTY AND STATE, PERSONALLY APPEARED, JEFF JONES, WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/IT/ THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING PARAGRAPH IS TRUE AND CORRECT.

WITNESS MY HAND: Sandra Lessard

PRINTED NAME: SANDRA LESSARD

MY PRINCIPAL PLACE OF BUSINESS IS THE
COUNTY OF: PLACER

MY COMMISSION EXPIRES: 10/18/16

MY COMMISSION NO.: 1991731

TRUSTEE'S STATEMENT

PLACER TITLE COMPANY, A CALIFORNIA CORPORATION, AS TRUSTEE UNDER DEED OF TRUST RECORDED ON OCTOBER 25, 2013, AS INSTRUMENT NO. 2013-0101417, OFFICIAL RECORDS PLACER COUNTY, AGAINST THE LAND HEREIN SHOWN CONSENTS TO THE MAKING AND FILING OF THIS MAP.

Julie Rickard
NAME: Julie Rickard
TITLE: Assistant Secretary

Celia French
NAME: Celia French
TITLE: VICE PRES.

NOTARY'S ACKNOWLEDGMENT

STATE OF CALIFORNIA } SS
COUNTY OF PLACER

ON THE 13th DAY OF JUNE, 2014, BEFORE ME, T.C. MURPHY, A NOTARY PUBLIC IN AND FOR SAID COUNTY AND STATE, PERSONALLY APPEARED, Julie Rickard and Celia French, WHO PROVED TO ME ON THE BASIS OF SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/IT/ THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING PARAGRAPH IS TRUE AND CORRECT.

WITNESS MY HAND: T.C. Murphy

PRINTED NAME: T.C. MURPHY

MY PRINCIPAL PLACE OF BUSINESS IS THE
COUNTY OF: PLACER

MY COMMISSION EXPIRES: Sept. 21, 2015

MY COMMISSION NO.: 1949422

SURVEYOR'S STATEMENT

THIS FINAL MAP OF "WESTBROOK - PHASE 1 LARGE LOT SUBDIVISION" WAS PREPARED BY ME OR UNDER MY DIRECTION AND IS BASED UPON A FIELD SURVEY IN CONFORMANCE WITH THE REQUIREMENTS OF THE SUBDIVISION MAP ACT AND LOCAL ORDINANCES AT THE REQUEST OF WESTPARK S.V. 400, LLC, IN MARCH 2014. I HEREBY STATE THAT THIS FINAL MAP SUBSTANTIALLY CONFORMS TO THE APPROVED OR CONDITIONALLY APPROVED TENTATIVE MAP. ALL MONUMENTS SHOWN HEREON ARE OF THE CHARACTER AND WILL OCCUPY THE POSITIONS INDICATED, AND WILL BE SET BY DECEMBER 31, 2015 AND THAT THE MONUMENTS ARE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED.

MACKAY & SOMPS CIVIL ENGINEERS, INC.

Craig E. Spiess
CRAIG E. SPIESS, PLS 7944
EXPIRATION DATE: DECEMBER 31, 2015

DATE: 6/6/14

**CITY ENGINEER'S STATEMENT**

I HEREBY STATE THAT I HAVE EXAMINED THIS FINAL MAP OF "WESTBROOK - PHASE 1 LARGE LOT SUBDIVISION" AND FIND IT TO BE SUBSTANTIALLY THE SAME AS THE TENTATIVE MAP ON FILE AND ANY APPROVED ALTERATIONS THEREOF, THAT ALL PROVISIONS OF THE SUBDIVISION MAP ACT AND ALL APPLICABLE CITY ORDINANCES HAVE BEEN COMPLIED WITH.

Marc Stout
DATE: 6/19/14
MARC STOUT, R.C.E. 69879
ACTING CITY ENGINEER, CITY OF ROSEVILLE
LICENSE EXPIRES: SEPTEMBER 30, 2014

I HEREBY STATE THAT I AM SATISFIED THIS FINAL MAP OF "WESTBROOK - PHASE 1 LARGE LOT SUBDIVISION" IS TECHNICALLY CORRECT.

FOR MARC STOUT, CITY ENGINEER, CITY OF ROSEVILLE

Timothy G. Blair
DATE: 6/19/14
TIMOTHY G. BLAIR, PLS 8278
EXPIRES DECEMBER 31, 2015

**CITY CLERK'S STATEMENT**

I HEREBY CERTIFY THAT THE CITY COUNCIL, CITY OF ROSEVILLE, HAS APPROVED THIS FINAL MAP OF "WESTBROOK - PHASE 1 LARGE LOT SUBDIVISION", AND HAS ACCEPTED ON BEHALF OF THE PUBLIC, SUBJECT TO IMPROVEMENT, THE EASEMENTS OFFERED HEREON FOR DEDICATION IN ACCORDANCE WITH THE TERMS OF THAT OFFER. THE CITY COUNCIL REJECTS AT THIS TIME, BUT RESERVES THE RIGHT TO ACCEPT AT A LATER DATE, ON BEHALF OF THE PUBLIC ALL IRREVOCABLE OFFERS OF DEDICATION IN ACCORDANCE WITH THE TERMS OF THOSE OFFERS.

CITY CLERK, CITY OF ROSEVILLE
DATE: 06-19-14
BY: Sharon Meyer
ASSISTANT CITY CLERK

RECORDER'S STATEMENT

FILED THIS 27th DAY OF JUNE, 2014, AT 1:45 P. M. IN BOOK CC OF MAPS, AT PAGE 85, IN THE OFFICE OF THE PLACER COUNTY RECORDER, AT THE REQUEST OF MACKAY & SOMPS CIVIL ENGINEERS, INC.

Jim McCauley
DOCUMENT NO.: 2014-0043348
RECORDER OF PLACER COUNTY
STATE OF CALIFORNIA
BY: C. Costa
DEPUTY
FEE: \$ 38.00

FINAL MAP OF
WESTBROOK - PHASE 1
LARGE LOT SUBDIVISION
SUBDIVISION NO. 000168

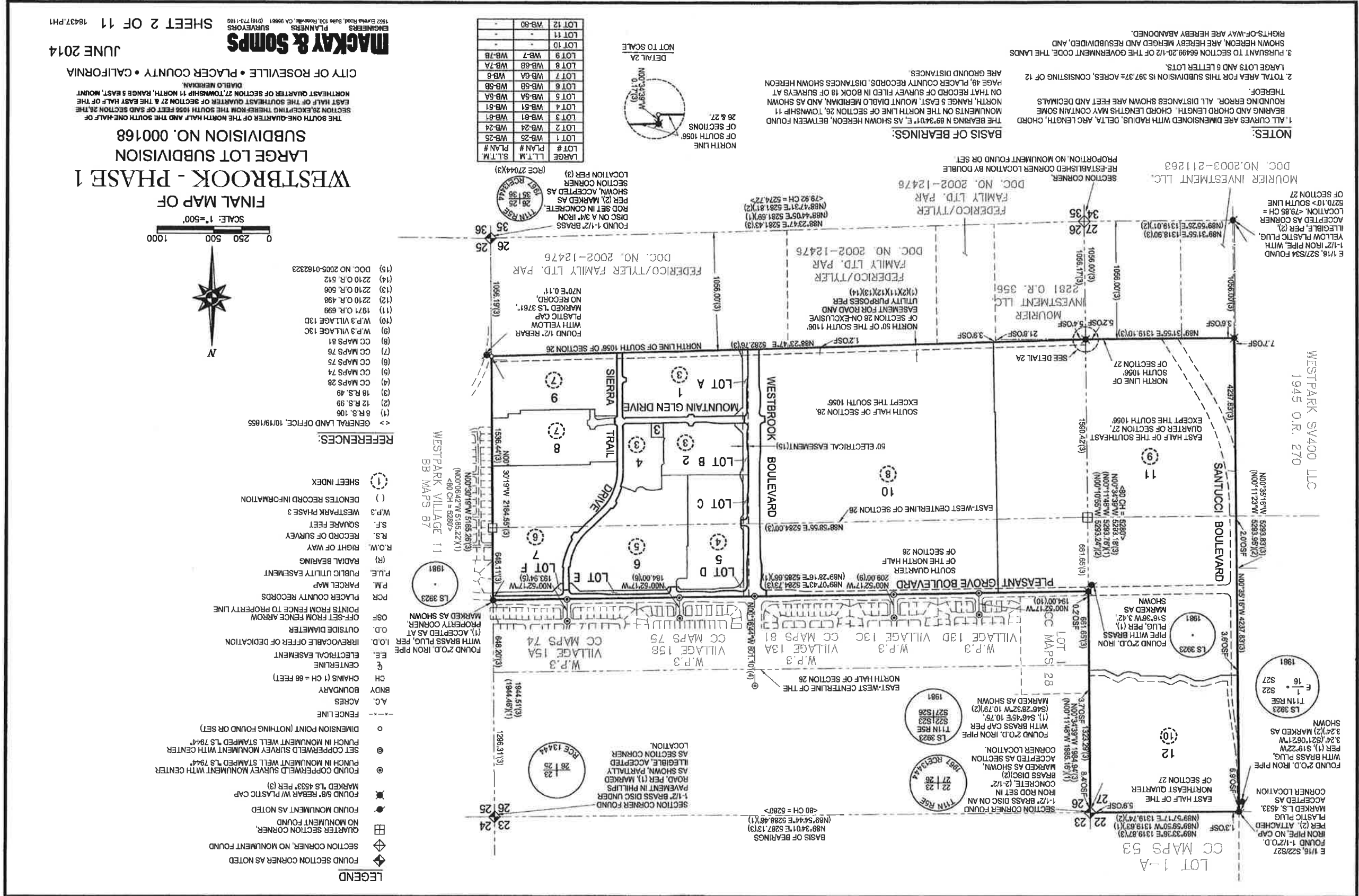
THE SOUTH ONE-QUARTER OF THE NORTH HALF AND THE SOUTH ONE-HALF OF SECTION 26, EXCEPTING THEREFROM THE SOUTH 1056 FEET OF SAID SECTION 26, THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 27 & THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 27, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN.

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Mackay & Soms
ENGINEERS PLANNERS SURVEYORS
1552 Eureka Road, Suite 100, Roseville, CA 95661 (916) 773-1188

JUNE 2014

SHEET 1 OF 11 18437.PH1



Mackay & Somp
ENGINEERS
SURVEYORS
1502 Camino Real, Suite 100, Redwood City, CA 94061 (415) 773-1180

CITY OF ROSEVILLE • PLACER COUNTY • CALIFORNIA

THE SOUTH ONE-QUARTER OF THE NORTH HALF AND THE SOUTH ONE-HALF OF SECTION 28, EXCEPTING THEREFROM THE SOUTH 1058 FEET OF SAID SECTION 28, THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 27 & THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 27, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN.

SUBDIVISION NO. 000168

LARGE LOT SUBDIVISION

WESTBROOK - PHASE 1

FINAL MAP OF

SCALE: 1"=500'

0 250 500 1000

N

18437.PH1

JUNE 2014

SHEET 2 OF 11

18437.PH1

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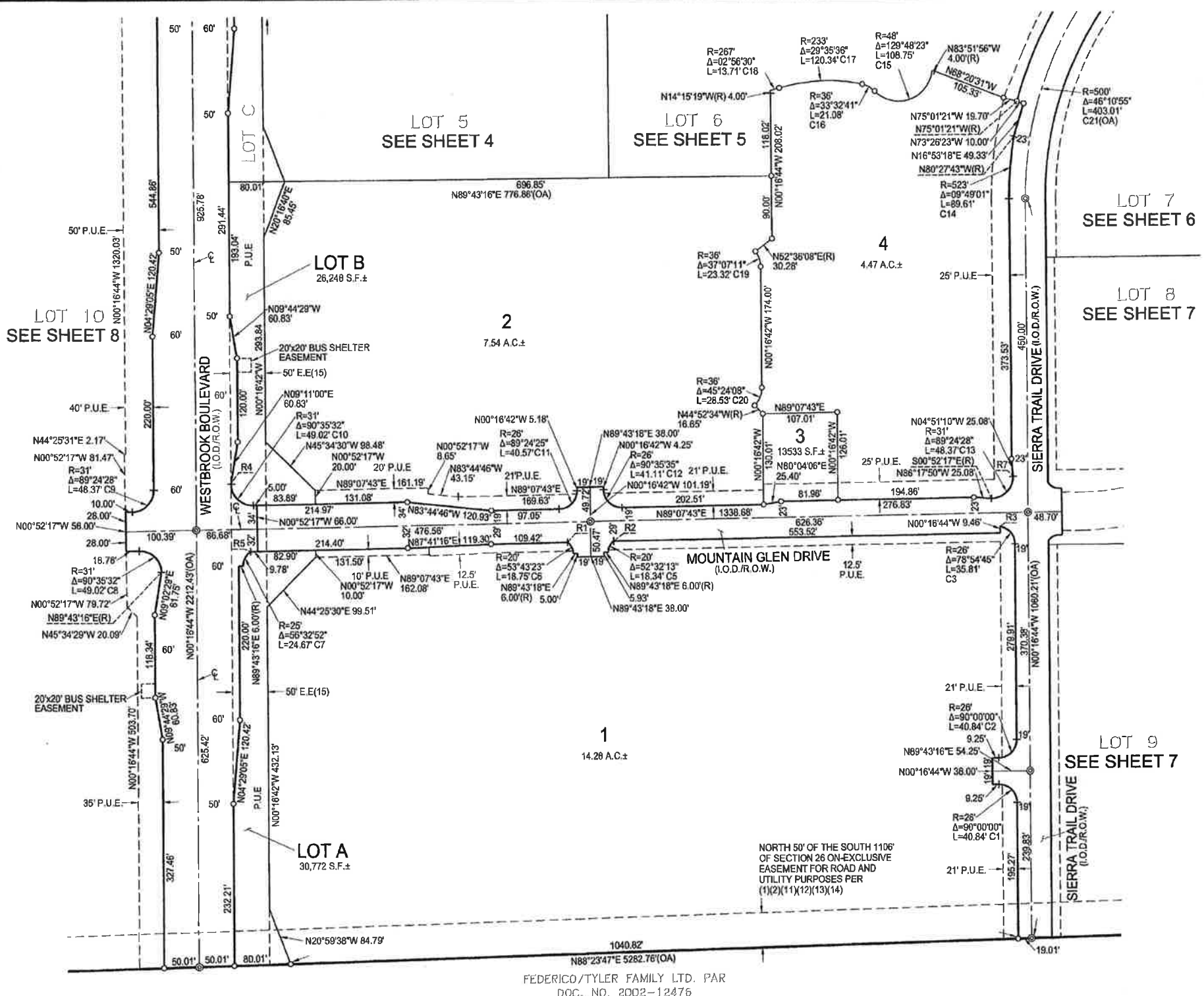
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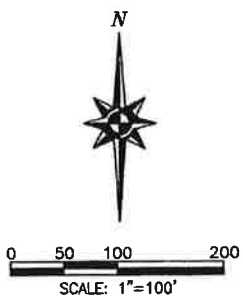
18437.PH1

18437.PH1



CHORD TABLE		
CURVE #	CHORD BEARING	CHORD LENGTH
C1	N45°16'44\"W	36.77'
C2	N44°43'16\"E	36.77'
C3	N39°44'07\"W	33.05'
C4	N81°28'12\"W	5.23'
C5	N25°59'25\"E	17.70'
C6	N27°06'23\"W	18.07'
C7	N27°59'42\"E	23.68'
C8	N45°34'30\"W	44.07'
C9	N44°25'30\"E	43.61'
C10	N45°34'30\"W	44.07'
C11	N44°25'31\"E	36.58'
C12	N45°34'29\"W	36.96'
C13	N44°25'30\"E	43.61'
C14	N04°37'46\"E	89.50'
C15	N71°02'16\"E	86.94'
C16	N60°49'53\"W	20.78'
C17	N87°35'59\"E	119.01'
C18	N74°16'26\"E	13.71'
C19	N18°50'17\"W	22.92'
C20	N22°25'22\"E	27.79'
C21	N22°46'43\"E	392.19'

RADIAL BEARING TABLE	
RADIAL #	RADIAL BEARING
R1	N35°59'55\"E
R2	N37°44'28\"W
R3	N10°48'31\"E
R4	S89°43'16\"W
R5	N33°43'52\"W
R6	N89°43'16\"E



FINAL MAP OF
WESTBROOK - PHASE 1
LARGE LOT SUBDIVISION
SUBDIVISION NO. 000168

THE SOUTH ONE-QUARTER OF THE NORTH HALF AND THE SOUTH ONE-HALF OF SECTION 26, EXCEPTING THEREFROM THE SOUTH 1056 FEET OF SAID SECTION 26, THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 27 & THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 27, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN.

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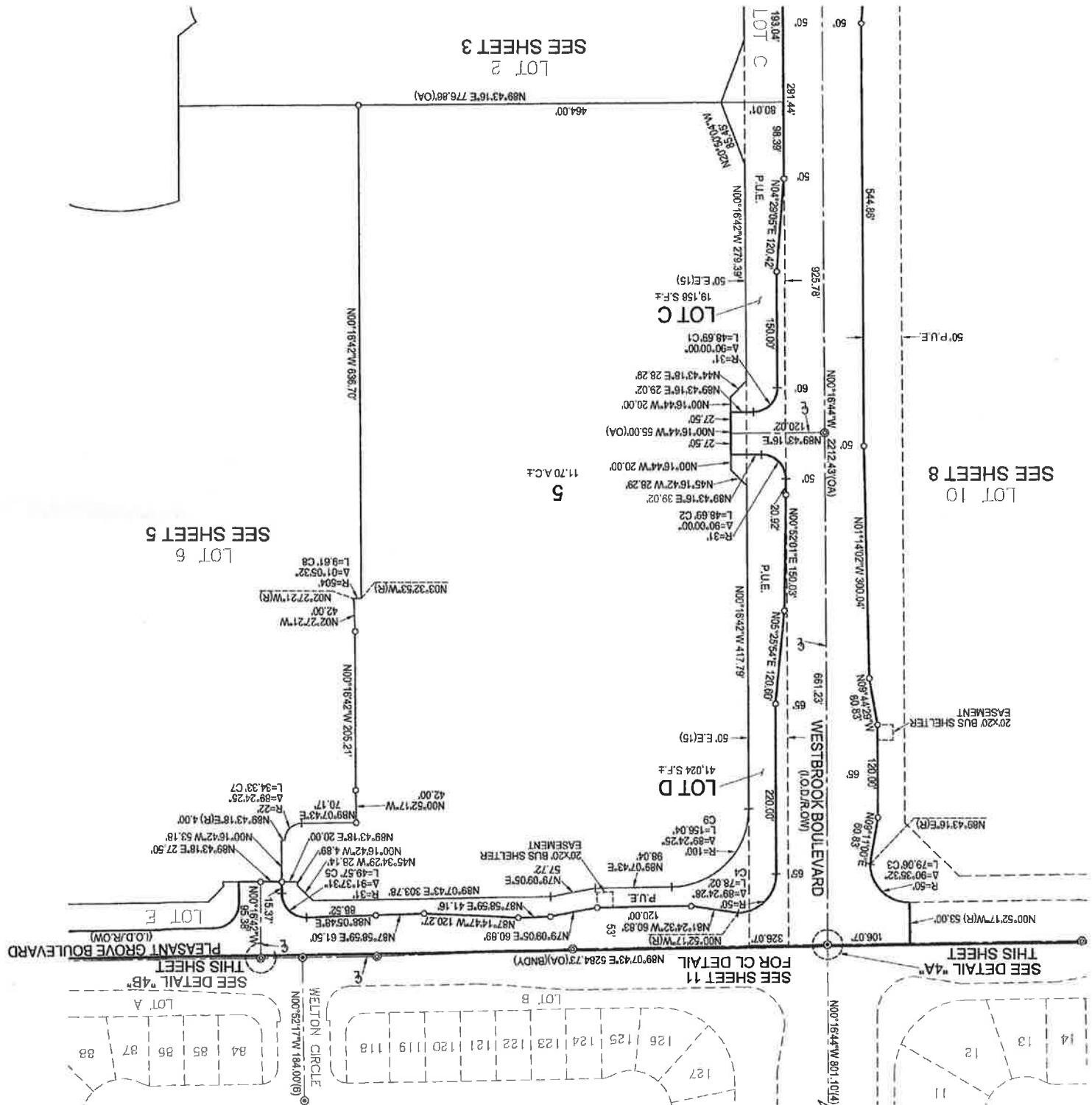
JUNE 2014

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ENGINEERS PLANNERS SURVEYORS
1552 Eureka Road, Suite 100, Roseville, CA 95661 (916) 775-1199

SEE SHEET 2 FOR BASIS OF BEARINGS, LEGEND, NOTES AND REFERENCES.

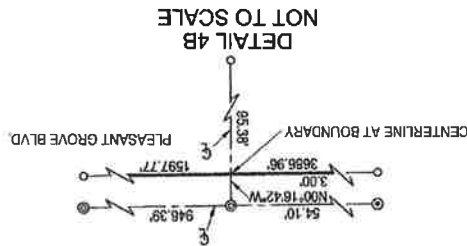
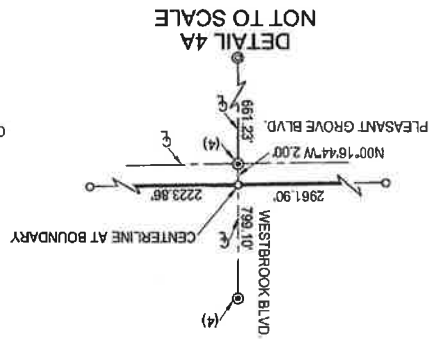
WESTPARK PHASE 3
VILLAGE 13A
CC MAPS 81

WESTPARK PHASE 3
VILLAGE 15B
CC MAPS 75



SEE SHEET 2 FOR BASIS OF BEARINGS, LEGEND,
NOTES AND REFERENCES.

CHORD TABLE	
CURVE #	CHORD BEARING
C1	N44°43.16'E
C2	N61°45.11'W
C3	N45°34.30'W
C4	N44°25.30'E
C5	N46°05.70'W
C6	N44°26.31'E
C7	N44°25.31'W
C8	N86°55.3'E
C9	N44°25.31'W



FINAL MAP OF
WESTBROOK - PHASE 1
LARGE LOT SUBDIVISION
SUBDIVISION NO. 000168

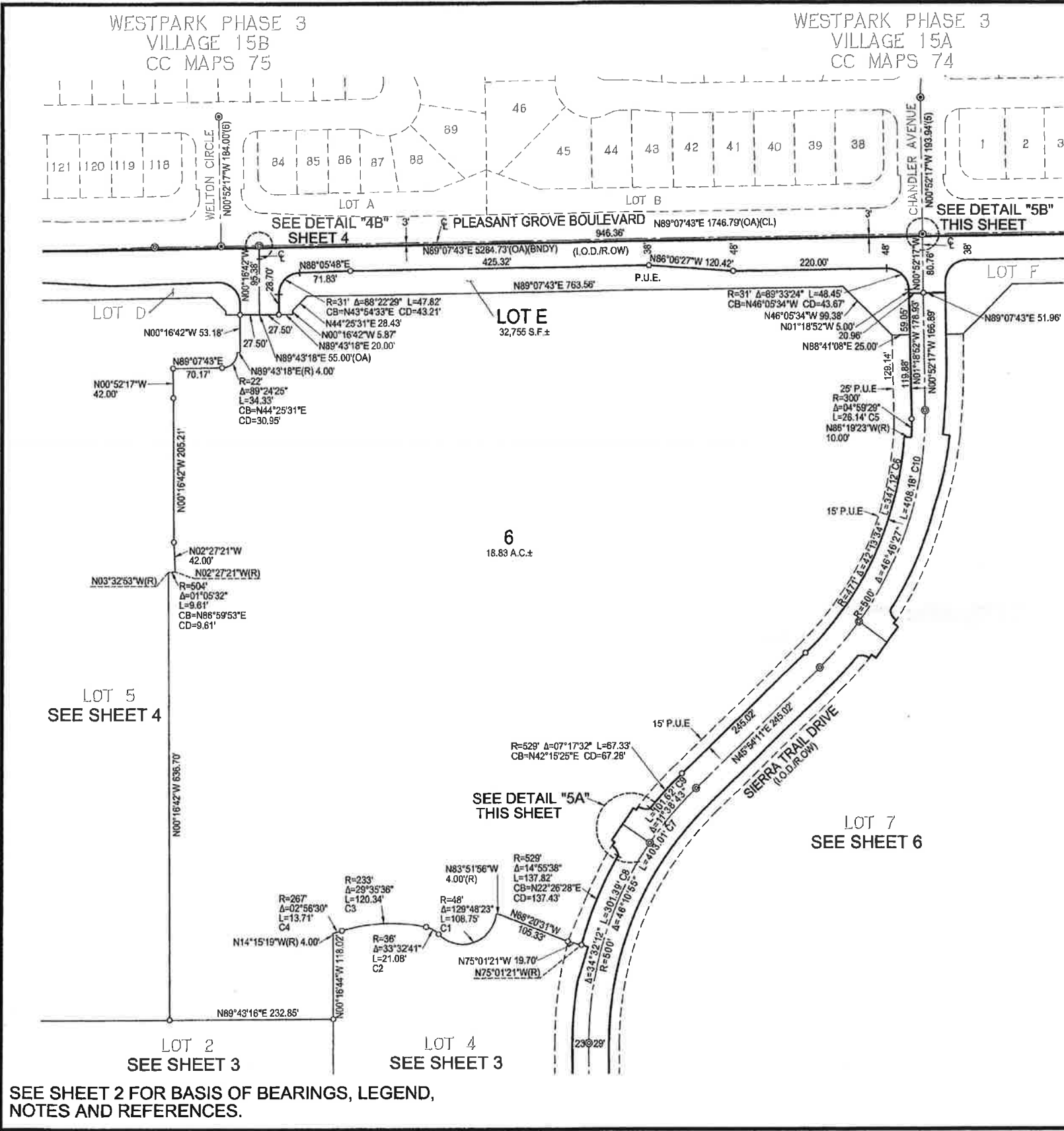
THE SOUTH ONE-QUARTER OF THE NORTH HALF AND THE SOUTH ONE-HALF OF THE SECTION 26, EXCEPTING THEREFROM THE SOUTH 1055 FEET OF SAID SECTION 26, THE EAST HALF OF THE SOUTHWEST QUARTER OF SECTION 27 AND THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 27, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN,

CITY OF ROSEVILLE • PLACER COUNTY • CALIFORNIA

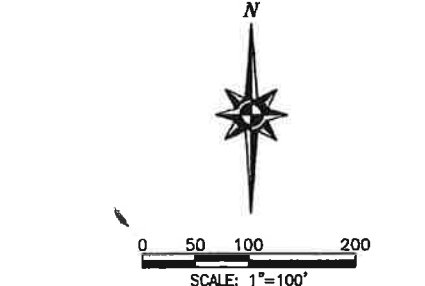
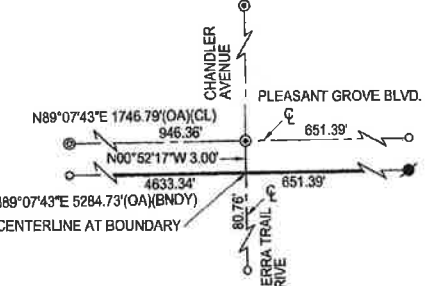
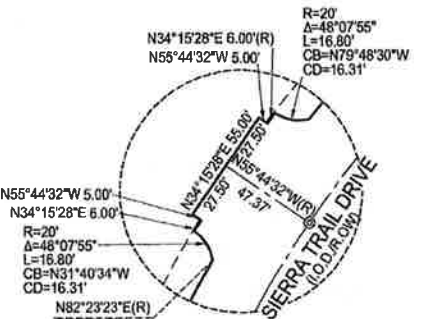
JUNE 2014

Mackay & Somp
ENGINEERS
PLANNERS
SURVEYORS
1552 Collins Road, Suite 100, Roseville, CA 95661 (916) 777-1185

SHEET 4 OF 11 16437.PM1



CHORD TABLE		
CURVE #	CHORD BEARING	CHORD LENGTH
C1	N71°02'16"E	86.94'
C2	N60°49'53"W	20.78'
C3	N87°35'59"E	119.01'
C4	N74°16'26"E	13.71'
C5	N01°10'53"E	26.13'
C6	N24°47'24"E	339.32'
C7	N22°48'43"E	392.19'
C8	N18°58'22"E	296.85'
C9	N40°04'49"E	101.45'
C10	N22°30'57"E	396.94'
C11	N40°55'53"E	86.66'
C12	N17°32'39"E	315.91'



FINAL MAP OF
WESTBROOK - PHASE 1
LARGE LOT SUBDIVISION
SUBDIVISION NO. 000168

THE SOUTH ONE-QUARTER OF THE NORTH HALF AND THE SOUTH ONE-HALF OF SECTION 26, EXCEPTING THEREFROM THE SOUTH 1056 FEET OF SAID SECTION 26, THE EAST HALF OF THE SOUTHWEST QUARTER OF SECTION 27 & THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 27, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN.

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1552 Eureka Road, Suite 100, Roseville, CA 95661 (916) 773-1109

JUNE 2014

SHEET 5 OF 11 18437.PH1

ENGINEERS
PLANNERS
SURVEYORS
MacKay & Somps
1932 Cypress Road, Suite 100, Roseville, CA 95661 (916) 773-1189
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SHEET 6 OF 11 18437.PH1

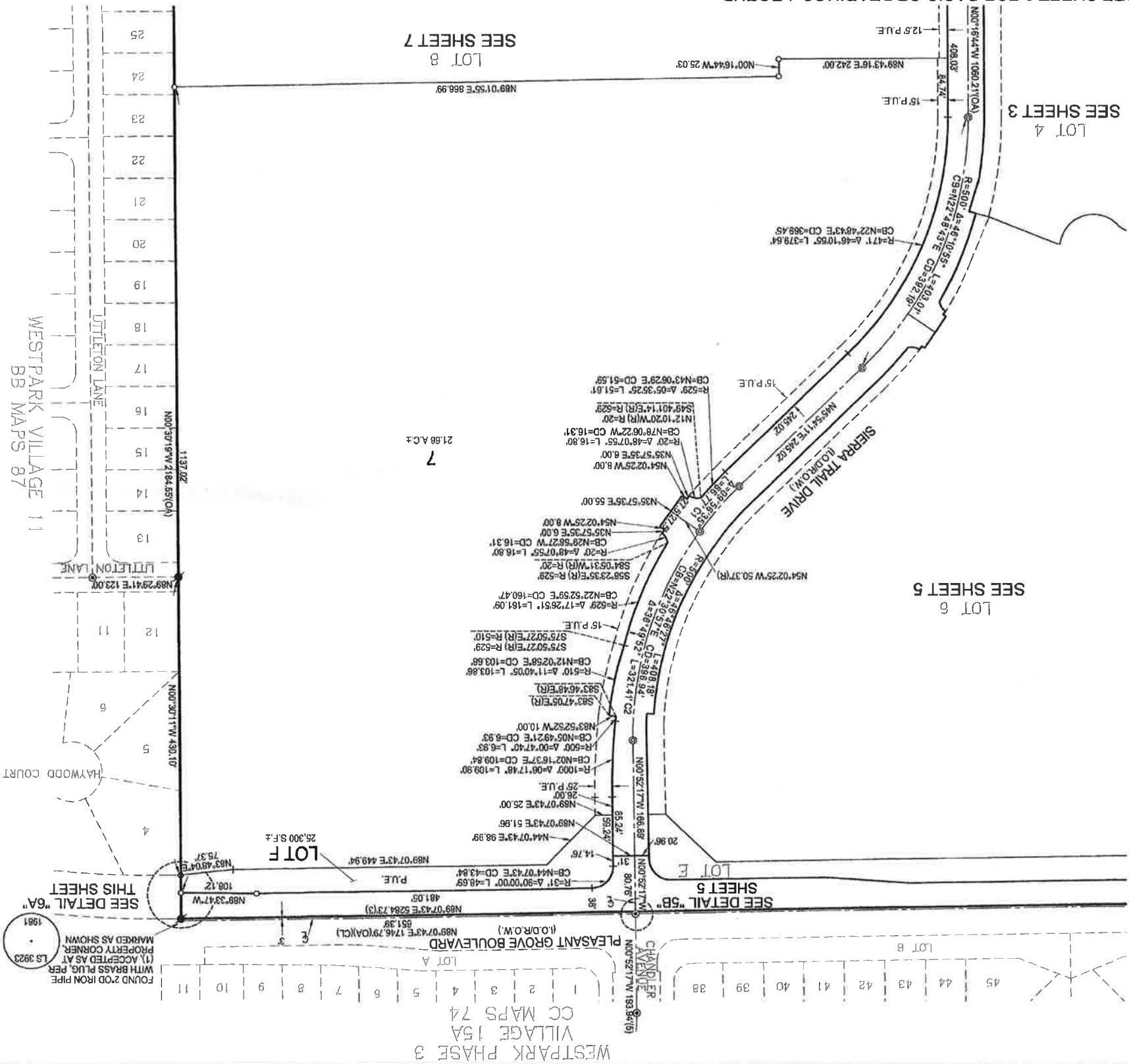
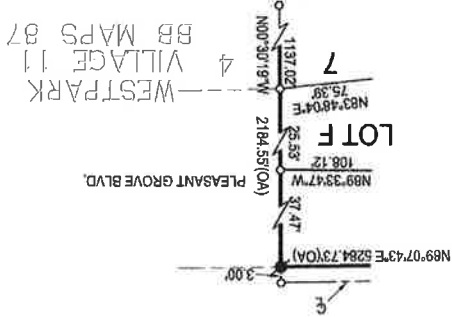
WESTBROOK - PHASE 1
LARGE LOT SUBDIVISION
SUBDIVISION NO. 000168

FINAL MAP OF
SCALE: 1"=100'

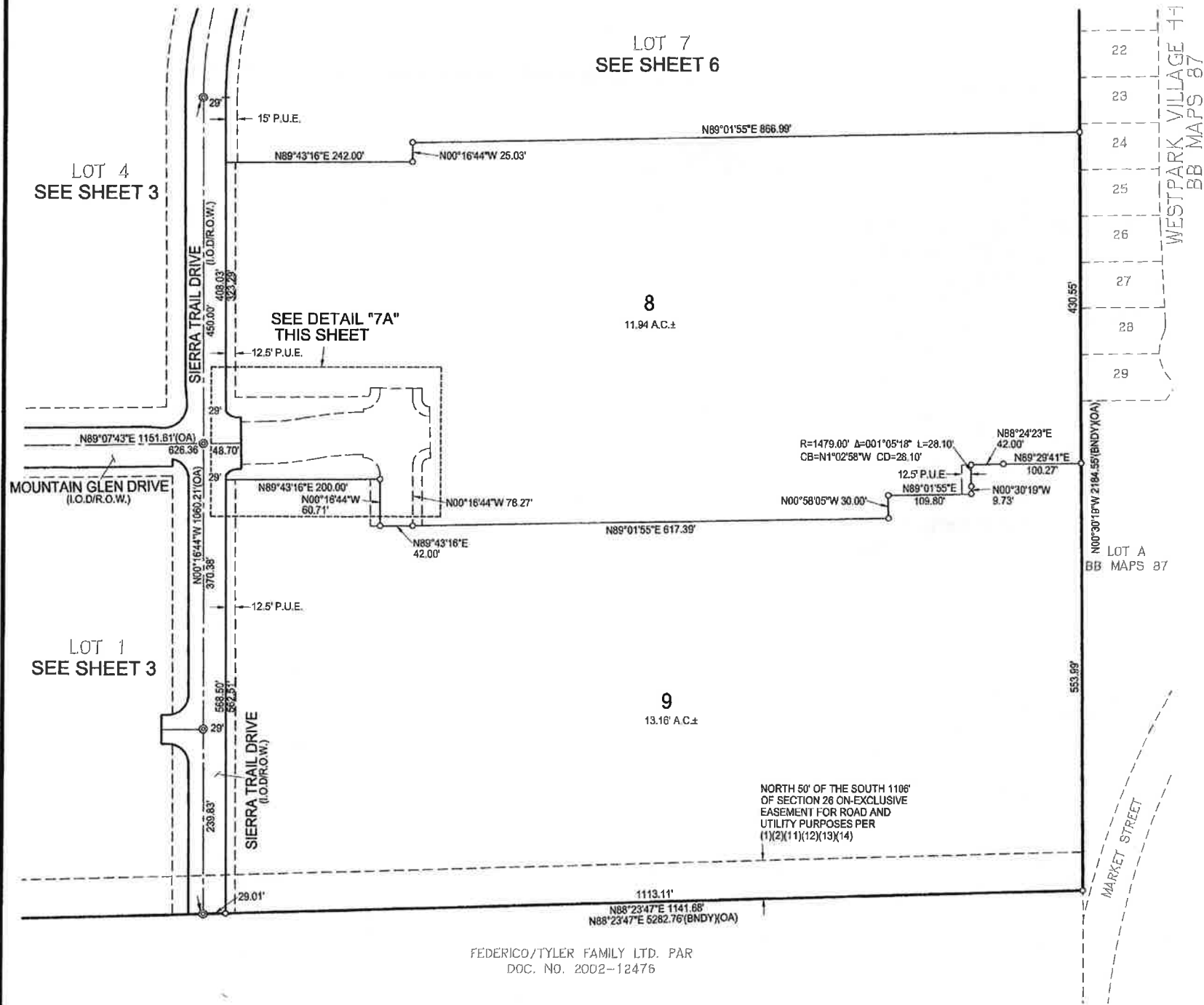


CHORD TABLE	
CURVE #	CHORD
C1	N40°55'53"E 88.66'
C2	N17°32'39"E 316.91'

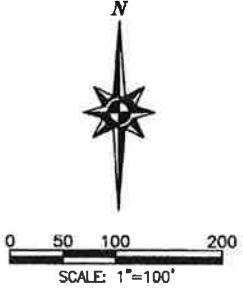
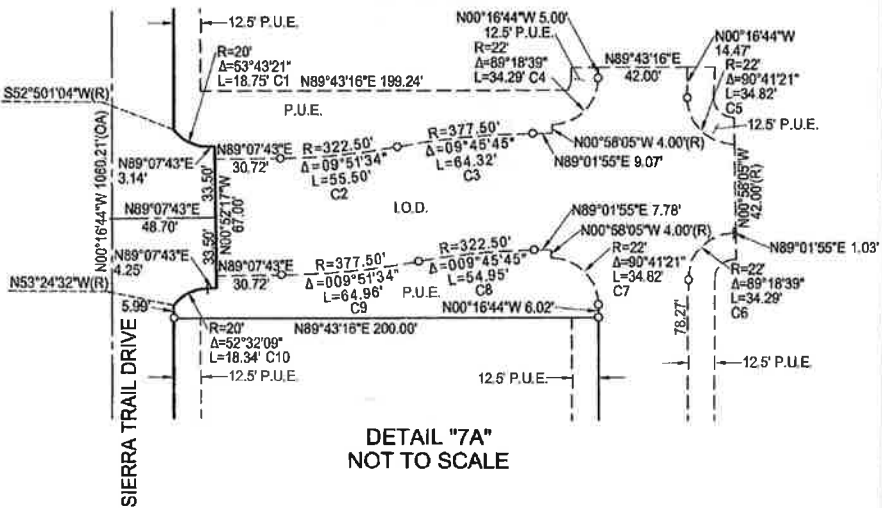
DETAIL 6A
NOT TO SCALE



SEE SHEET 2 FOR BASIS OF BEARINGS, LEGEND,
NOTES AND REFERENCES.



CHORD TABLE		
CURVE #	CHORD BEARING	CHORD LENGTH
C1	N64°00'36"W	18.07'
C2	N84°11'57"E	55.43'
C3	N84°09'02"E	64.24'
C4	N44°22'35"E	30.93'
C5	N45°37'25"W	31.30'
C6	N44°22'35"E	30.93'
C7	N45°37'25"W	31.30'
C8	N84°09'02"E	54.88'
C9	N84°11'57"E	64.88'
C10	N82°51'39"E	17.70'
C11	N01°02'58"W	28.89'



FINAL MAP OF
WESTBROOK - PHASE 1
LARGE LOT SUBDIVISION
SUBDIVISION NO. 000168

THE SOUTH ONE-QUARTER OF THE NORTH HALF AND THE SOUTH ONE-HALF OF SECTION 26, EXCEPTING THEREFROM THE SOUTH 1056 FEET OF SAID SECTION 26, THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 27 & THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 27, TOWNSHIP 11 NORTH, RANGE 6 EAST, MOUNT DIABLO MERIDIAN.

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1652 Esureka Road, Suite 100, Roseville, CA 95661 (916) 773-1189

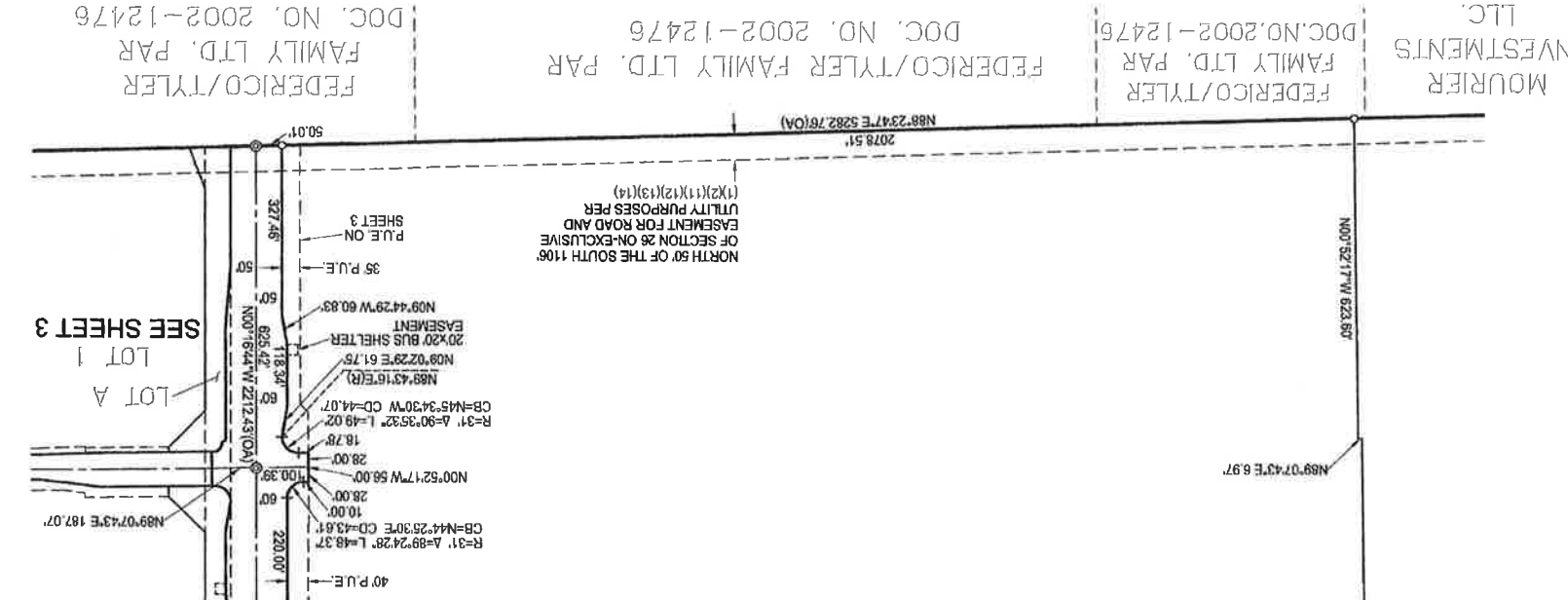
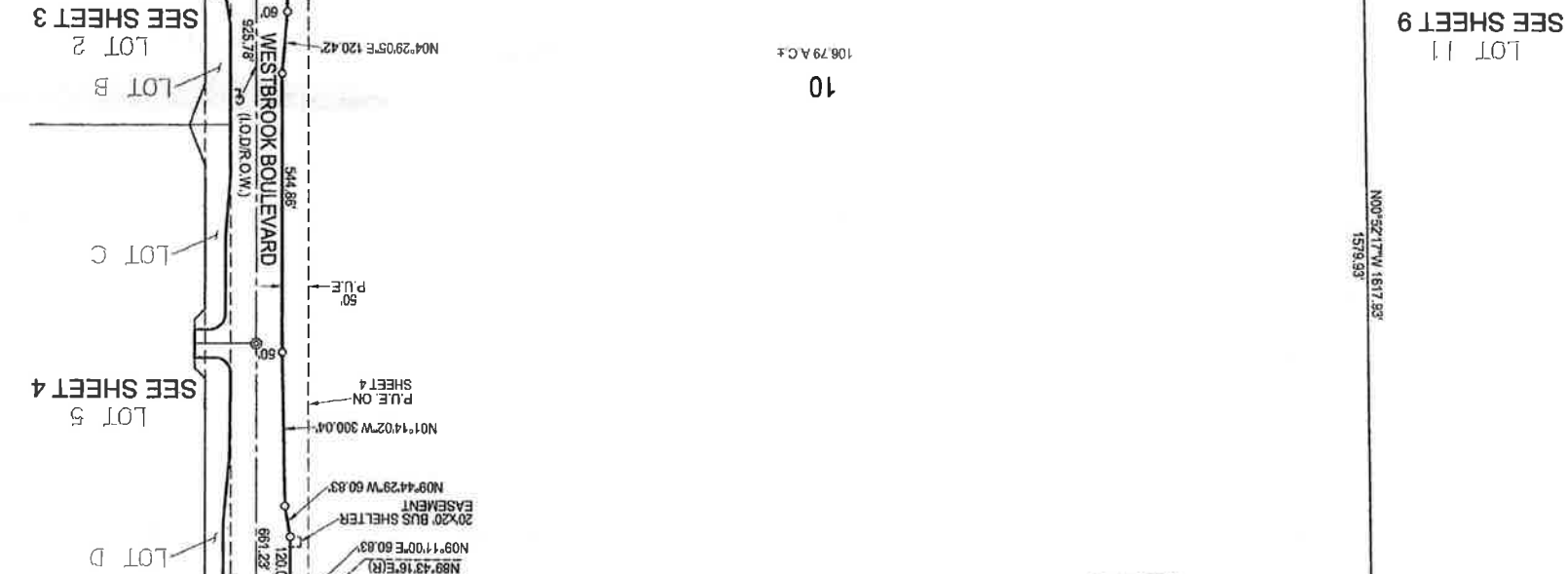
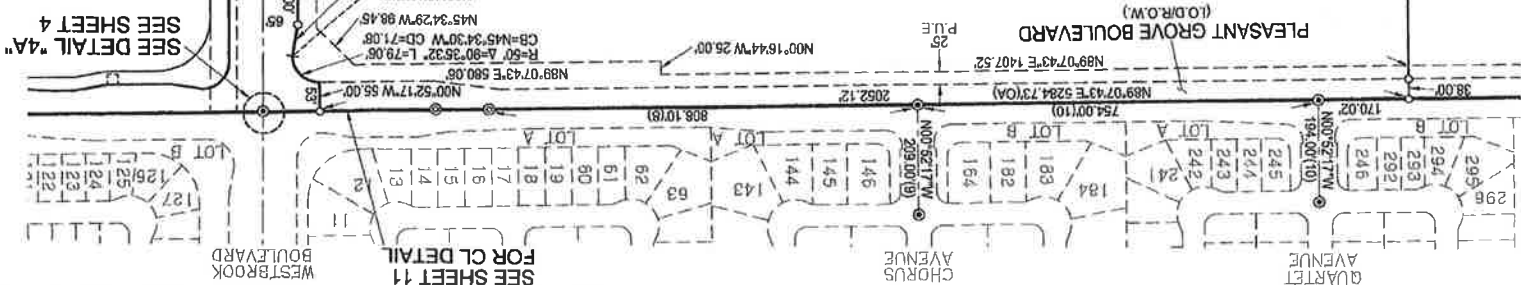
SHEET 7 OF 11 18437.PH1

SEE SHEET 2 FOR BASIS OF BEARINGS, LEGEND, NOTES AND REFERENCES.

SEE SHEET 2 FOR BASIS OF BEARINGS, LEGEND,
NOTES AND REFERENCES.

WESTPARK PHASE 3
VILLAGE 13A
CC MAPS 81
FOR CL DETAIL
SEE SHEET 11

WESTPARK PHASE 3
VILLAGE 13B
CC MAPS 75



WESTBROOK - PHASE 1 LARGE LOT SUBDIVISION SUBDIVISION NO. 000168

SCALE: 1"=200'



THE SOUTH ONE-QUARTER OF THE NORTH HALF AND THE SOUTH ONE-HALF OF
SECTION 26, EXCEPTING THE EAST 100 FEET OF SAID SECTION 26, THE
EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 27 & THE EAST HALF OF THE
NORTHEAST QUARTER OF SECTION 27 TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT
DIABLO MERIDIAN.

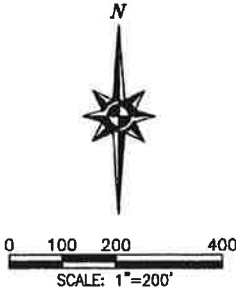
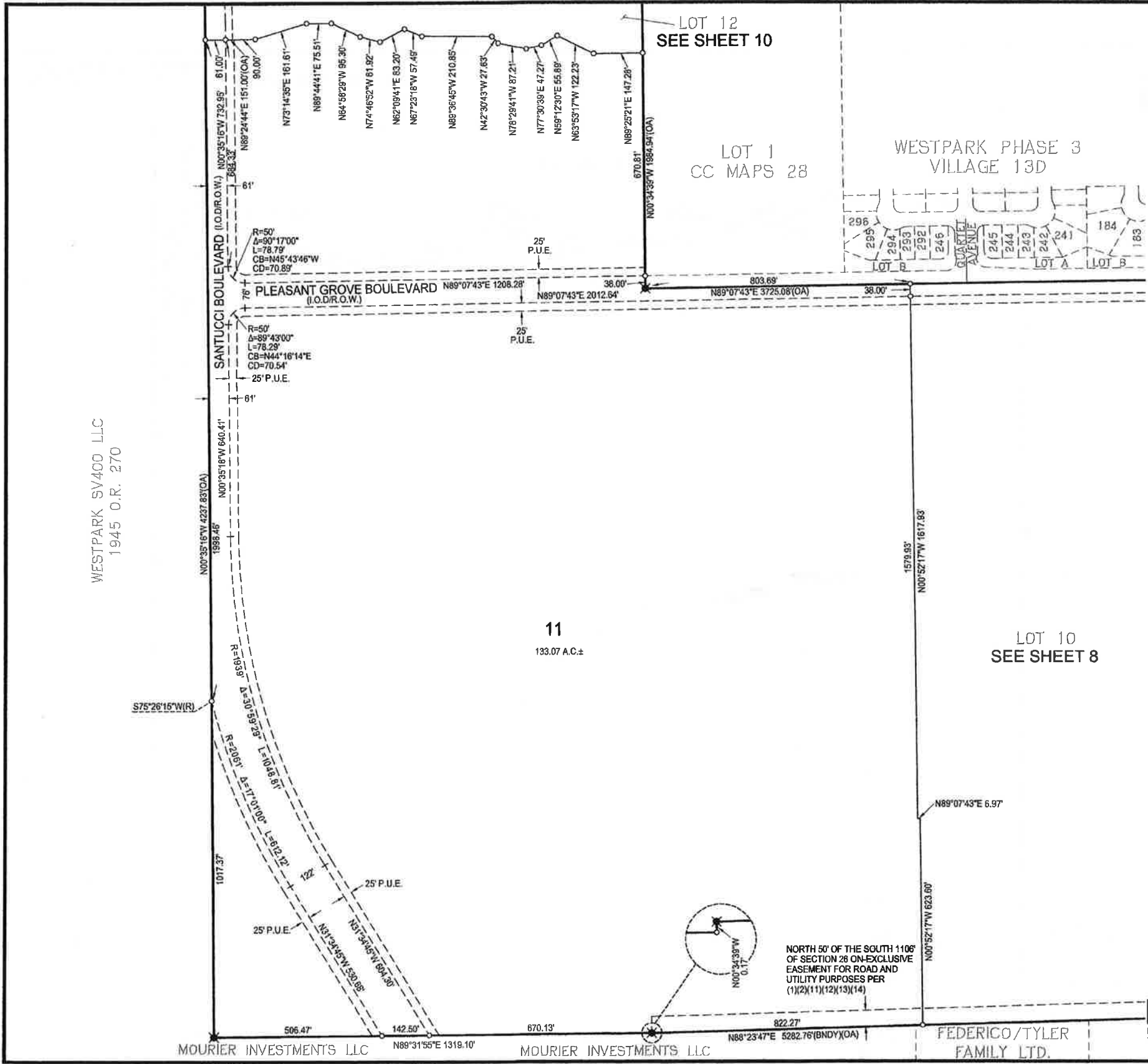
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ENGINEERS PLANNERS SURVEYORS
1527 Evans Road, Suite 100, Roseville, CA 95681 (916) 773-1188

SHEET 8 OF 11 18437.PH1

SEE SHEET 2 FOR BASIS OF BEARINGS, LEGEND, NOTES AND REFERENCES.



FINAL MAP OF
WESTBROOK - PHASE 1
LARGE LOT SUBDIVISION
SUBDIVISION NO. 000168

THE SOUTH ONE-QUARTER OF THE NORTH HALF AND THE SOUTH ONE-HALF OF SECTION 26, EXCEPTING THEREFROM THE SOUTH 1056 FEET OF SAID SECTION 26, THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 27 & THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 27, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN.

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JUNE 2014

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ENGINEERS PLANNERS SURVEYORS
1562 Eureka Road, Suite 100, Roseville, CA 95661 (916) 773-1186

LS 3923
T11N R5E
E $\frac{1}{16}$ • S22
S27
1981

CORNER LOCATION.
FOUND 2" O.D. IRON PIPE
WITH BRASS CAP PER
S46-45E 10.75.
(S46-2832 W 10.79)(2)
MARKED AS SHOWN

LS 3923
T11N R5E
S22/S23
S27/S26
1981

1276.13
N00°34'39"W/ 14

3714AC7

WESTPARK SV400
1945 O.R. 270

N00°35'16"W 4237

SEE SHEET 9

CC MAPS 28

WESTBROOK - PHASE 1
LARGE LOT SUBDIVISION
SUBDIVISION NO. 000168

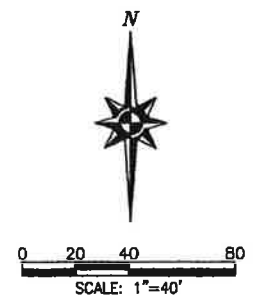
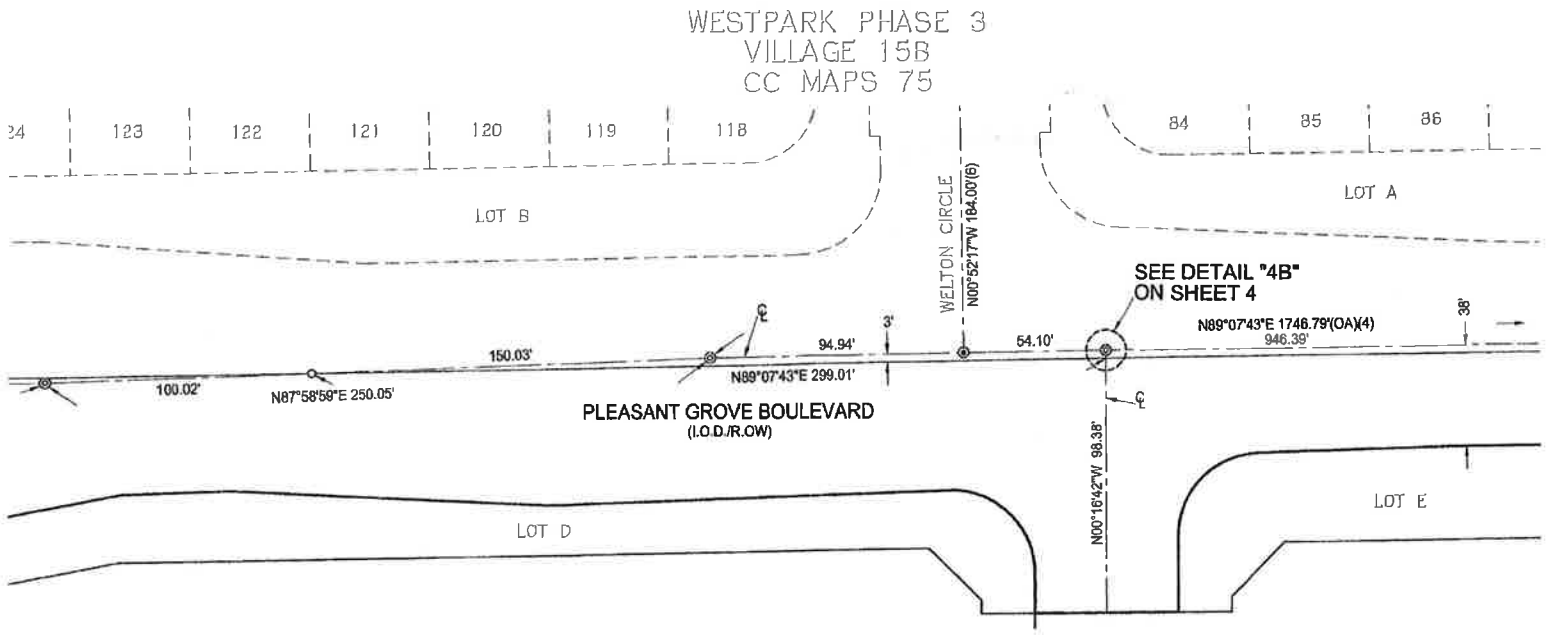
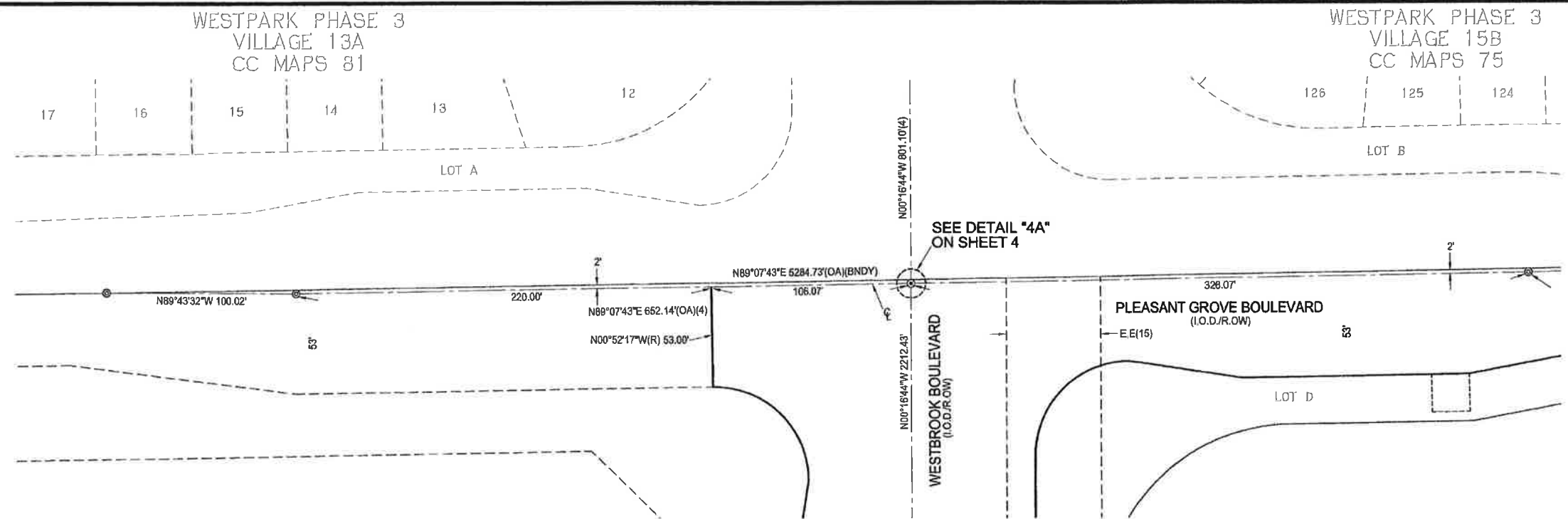
SCALE: 1"=100'

FINAL MAP OF



1502 E. Main St., Suite 100, Roseville, CA 95601 (916) 773-1199
MACKay & SompS
 ENGINEERS PLANNERS SURVEYORS
 CITY OF ROSEVILLE • PLACER COUNTY • CALIFORNIA
 JUNE 2014
 SHEET 10 OF 11 16437.PM1

SEE SHEET 2 FOR BASIS OF BEARINGS, LEGEND,
NOTES AND REFERENCES.



FINAL MAP OF
WESTBROOK - PHASE 1
LARGE LOT SUBDIVISION
SUBDIVISION NO. 000168

THE SOUTH ONE-QUARTER OF THE NORTH HALF AND THE SOUTH ONE-HALF OF SECTION 28, EXCEPTING THEREFROM THE SOUTH 1056 FEET OF SAID SECTION 28, THE EAST HALF OF THE SOUTHEAST QUARTER OF SECTION 27 & THE EAST HALF OF THE NORTHEAST QUARTER OF SECTION 27, TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN.

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SHEET 11 OF 11 18437.PH1

SEE SHEET 2 FOR BASIS OF BEARINGS, LEGEND, NOTES AND REFERENCES.



COUNCIL COMMUNICATION

CC #: 7526
File #: 0704-01

Title: Mahany Park Recycled Water Retrofit Project - Notice of Completion
Contact: Rjahja Canlas 774-5505 rcanlas@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.13.

RECOMMENDATION TO COUNCIL

1. Accept the Mahany Park Recycled Water Retrofit Project as complete, and
2. Adopt a resolution authorizing the City Manager to delegate execution of the attached Notice of Completion to the Parks, Recreation & Libraries Director.

BACKGROUND

The Parks, Recreation & Libraries Department has made a final inspection of the Mahany Park Recycled Water Retrofit Project and has found that all contract work has been completed in accordance with the improvement plans and specifications. The project is now ready for acceptance.

The project scope of work included conversion of the irrigation systems at the baseball and softball fields from potable water to recycled water. The conversion will result in a water savings of over 28 million gallons equaling a cost savings of \$31,000.00 annually.

In accordance with our specifications, the Contractor shall guarantee the installation of these improvements for a period of twelve (12) months from the date of this Notice of Completion.

FISCAL IMPACT

The project cost was \$136,000.00 and was funded with bond monies from the Northwest Lighting and Landscape District. No general funds were used for this project.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on Federal guidelines, there is one job created for every \$92,000.00 in direct government spending.

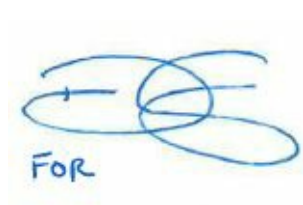
ENVIRONMENTAL REVIEW

The project involved the reconstruction or replacement of existing structures and facilities. This activity is categorically exempt from CEQA as a Class 2 Exemption (State CEQA Guidelines Section 15302). The Exemption was prepared and no further CEQA action is required.

Respectfully Submitted,

Rjahja Canlas, Park Development Analyst

Dion Louthan, Parks, Recreation & Libraries Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-94

Notice of Completion

RESOLUTION NO. 16-94

ACCEPTING THE PUBLIC WORK KNOWN AS MAHANY PARK RECYCLED WATER
RETROFIT PROJECT, APPROVING THE “NOTICE OF COMPLETION”, AND
AUTHORIZING THE PARKS, RECREATION AND LIBRARIES DIRECTOR TO EXECUTE
SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE

BE IT RESOLVED by the City Council of the City of Roseville as follows:

1. The “Notice of Completion” on file in the City Clerk’s Department relative to that public work known as the Mahany Park Recycled Water Retrofit Project has been approved and the public work accepted.
2. The Parks, Recreation and Libraries Director is hereby authorized and directed to execute said Notice on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20____, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

AFTER RECORDING RETURN TO:
CITY CLERK
311 VERNON STREET
ROSEVILLE, CA 95678

NOTICE OF COMPLETION

NOTICE IS HEREBY GIVEN that the public work known as the Mahany Park Recycled Water Retrofit Project, was completed and accepted by the City of Roseville on _____. The location is indicated on the attached map, marked as Exhibit "A" and incorporated herein by this reference.

The general contractor on said project was Olympic-Land Construction.

The surety on said project was Great American Insurance Company.

DION LOUTHAN
Parks, Recreation, & Libraries Director
City of Roseville

ATTEST:

SONIA OROZCO
City Clerk

I, Dion Louthan, being first duly sworn, depose and say: I am the Director of Parks, Recreation & Libraries of the City of Roseville, a municipal corporation in the State of California, owner of the property described in the above Notice. I am duly authorized to make this verification for and on behalf of the City of Roseville. I have read the Notice of Completion and know its contents and the facts stated therein are true.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

DION LOUTHAN
Parks, Recreation & Libraries Director
City of Roseville

State of California
County of Placer

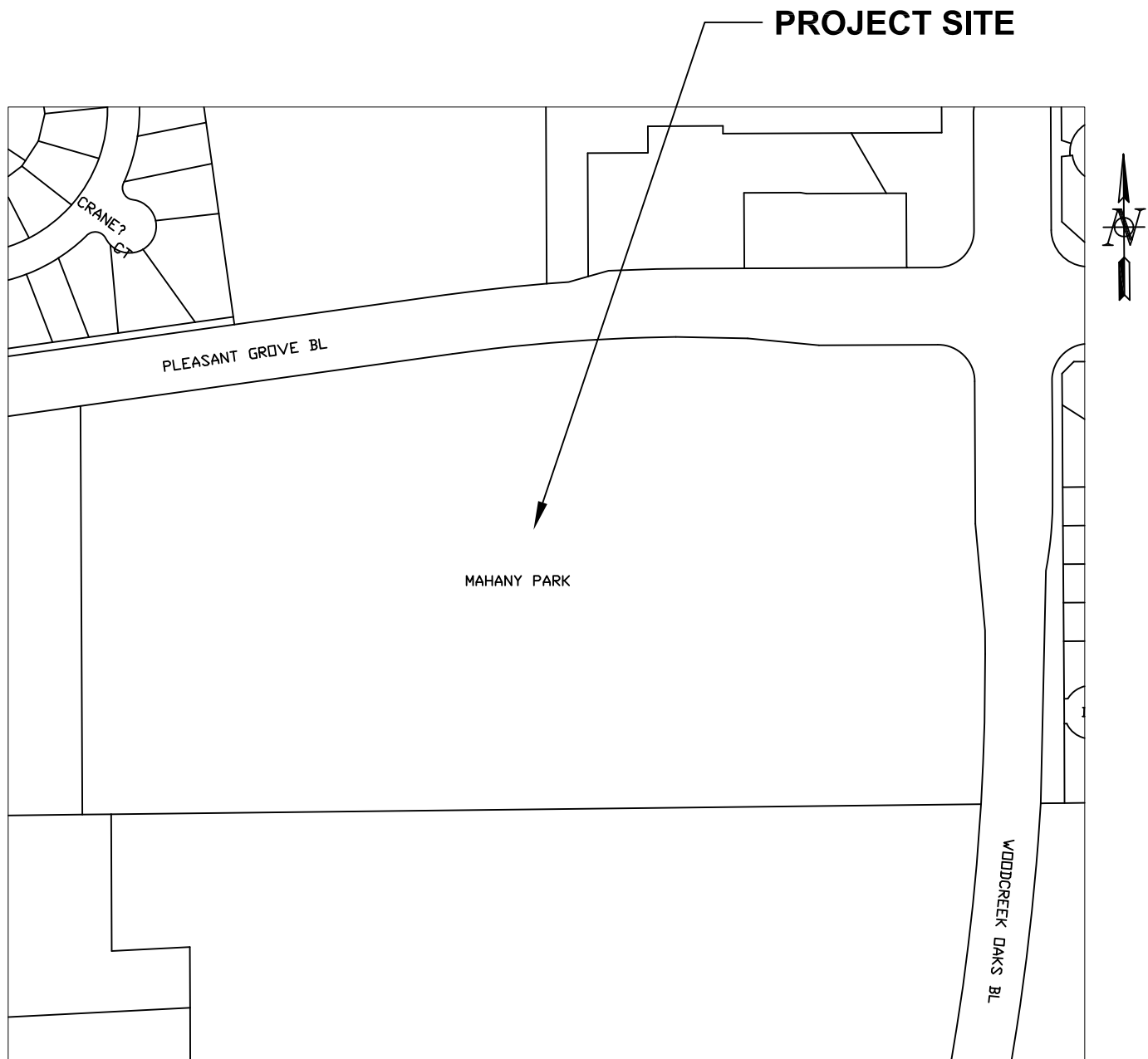
Subscribed and sworn to (or affirmed) before me on this ____ day of _____, 20____,
by _____ proved to me on the basis of satisfactory evidence to be the person(s) who
appeared before me.

Notary Public in and for said County and State

Exhibit A

MAHANY PARK RECYCLED WATER RETROFIT PROJECT

The project scope of work included conversion of the irrigation systems at the baseball and softball fields from potable water to recycled water.





COUNCIL COMMUNICATION

CC #: 7524
File #: 0702-01

Title: Diamond Oaks Golf Course Restroom Replacement Project - Notice of Completion
Contact: Rjahja Canlas 774-5505 rcanlas@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.14.

RECOMMENDATION TO COUNCIL

1. Accept the Diamond Oaks Golf Course Restroom Replacement Project as complete, and
2. Adopt a resolution authorizing the City Manager to delegate execution of the attached Notice of Completion to the Parks, Recreation & Libraries Director.

BACKGROUND

The Parks, Recreation & Libraries Department has made a final inspection of the Diamond Oaks Golf Course Restroom Replacement Project and has found that all contract work has been completed in accordance with the improvement plans and specifications. The project is now ready for acceptance.

The project scope of work included the site preparation and utility work for the prefabricated restroom. The prefabricated restroom was purchased on a separate contract and was delivered to the site by the fabricator.

In accordance with our specifications, the Contractor shall guarantee the installation of these improvements for a period of twelve (12) months from the date of this Notice of Completion.

FISCAL IMPACT

The project cost was \$130,858.86 and was funded with monies from the Golf Course Improvement Fund. No General Funds were used for this project.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on Federal guidelines, there is one job created for every \$92,000.00 in direct government spending.

ENVIRONMENTAL REVIEW

The proposed project involved the reconstruction or replacement of existing structures and facilities. This activity was categorically exempt from CEQA as a Class 2 Exemption (State CEQA Guidelines Section 15302). The Exemption has been prepared and no further CEQA action is required.

Respectfully Submitted,

Rjahja Canlas, Park Development Analyst

Dion Louthan, Parks, Recreation & Libraries Director



Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-92

Notice of Completion

RESOLUTION NO. 16-92

ACCEPTING THE PUBLIC WORK KNOWN AS DIAMOND OAKS GOLF COURSE
RESTROOM REPLACEMENT PROJECT, APPROVING THE “NOTICE OF
COMPLETION”, AND AUTHORIZING THE PARKS, RECREATION AND LIBRARIES
DIRECTOR TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE

BE IT RESOLVED by the City Council of the City of Roseville as follows:

1. The “Notice of Completion” on file in the City Clerk’s Department relative to that public work known as the Diamond Oaks Golf Course Restroom Replacement Project has been approved and the public work accepted.
2. The Parks, Recreation and Libraries Director is hereby authorized and directed to execute said Notice on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of
_____, 20____, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

AFTER RECORDING RETURN TO:
CITY CLERK
311 VERNON STREET
ROSEVILLE, CA 95678

NOTICE OF COMPLETION

NOTICE IS HEREBY GIVEN that the public work known as the Diamond Oaks Golf Course Restroom Replacement Project, was completed and accepted by the City of Roseville on March 16, 2016. The location is indicated on the attached map, marked as Exhibit "A" and incorporated herein by this reference.

The general contractor on said project was TJR Resources, Inc.

The surety on said project was Indemnity Company of California.

DION LOUTHAN
Director, Parks, Recreation, & Libraries
City of Roseville

ATTEST:

SONIA OROZCO
City Clerk

I, Dion Louthan, being first duly sworn, depose and say: I am the Director, Parks, Recreation & Libraries Department of the City of Roseville, a municipal corporation in the State of California, owner of the property described in the above Notice. I am duly authorized to make this verification for and on behalf of the City of Roseville. I have read the Notice of Completion and know its contents and the facts stated therein are true.

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

DION LOUTHAN
Director, Parks, Recreation & Libraries
City of Roseville

State of California
County of Placer

Subscribed and sworn to (or affirmed) before me on this ____ day of _____, 20____,
by _____ proved to me on the basis of satisfactory evidence to be the person(s) who
appeared before me.

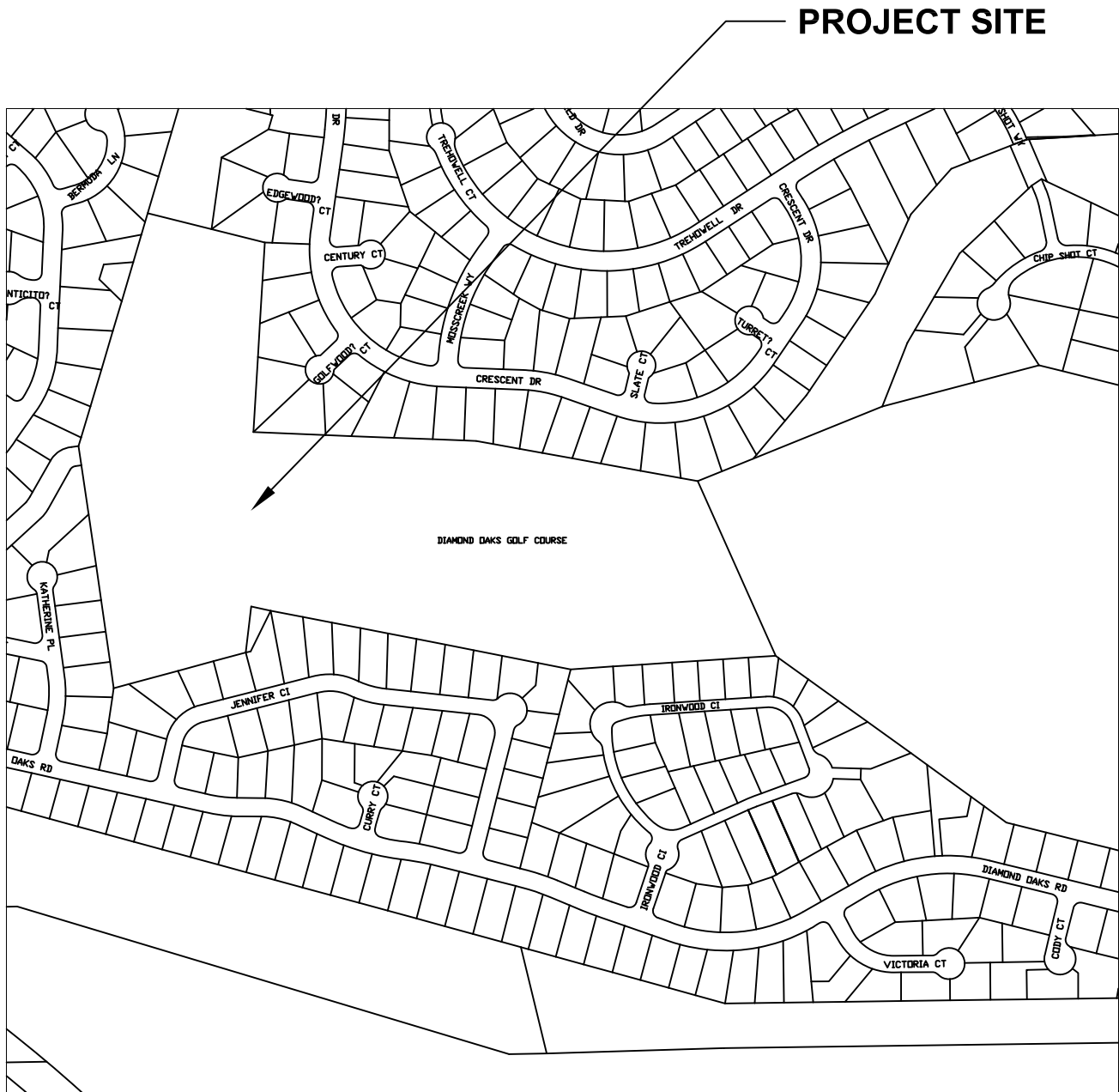
Notary Public in and for said County and State

Exhibit A

DIAMOND OAKS GOLF COURSE

RESTROOM REPLACEMENT PROJECT

The project scope of work included the site preparation and utility work for the prefabricated restroom. The prefabricated restroom was purchased on a separate contract and was delivered to the site by the fabricator.





COUNCIL COMMUNICATION

CC #: 7518
File #: 1001-02

Title: Roseville Self Storage - Fifty (50) Foot Slope Easement Abandonment
Contact: Jeff Hendrix 774-5463 jhendrix@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.15.

RECOMMENDATION TO COUNCIL

It is recommended that the City Council adopt the attached Resolution to abandon the fifty (50) foot slope easement as described in the attached Exhibits "A" and "B".

BACKGROUND

During the entitlement process for the Roseville Self Storage project, located at 8601 Foothills Blvd. Staff determined that the existing fifty (50) foot slope easement located along the northerly boundary of the project was unnecessary.

The easement was originally dedicated as part of the "Foothill at Roseville Parkway Parcel Map". The easement was created to accommodate a ramp approach for the future railroad over-crossing of the Roseville Parkway Extension. The width and length of the easement were determined as a conservative design estimate for the future approach.

Additional review by City Staff has determined that this Parcel will be wholly unaffected by the future construction of the Roseville Parkway Extension railroad over-crossing. Therefore, it is being recommended that the existing fifty (50) foot slope easement be abandoned.

FISCAL IMPACT

There is no fiscal impact to the City's General Fund as part of this action.

ECONOMIC DEVELOPMENT / JOBS CREATED

This action does not have a direct economic impact.

ENVIRONMENTAL REVIEW

Approval of a resolution to abandon a fifty (50) foot slope easement is not considered a "project" as defined by the California Environmental Quality Act (CEQA)(CEQA Guidelines 15378). No CEQA action is required.

Respectfully Submitted,

Jeff Hendrix, Junior Engineer

Kevin Payne, Development Services Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-90

Exhibit A and B

RESOLUTION NO. 16-90

ORDERING SUMMARY VACATION OF A 50-FOOT SLOPE EASEMENT

BE IT RESOLVED by the City Council of the City of Roseville as follows:

Whereas, in accordance with the provisions of section 8300, et seq., of the Streets and Highways Code (Chapter 4, Summary Vacation), it is hereby found that a 50-foot slope easement located at 8601 Foothills Blvd., described in Exhibits "A" and "B," which is attached hereto and incorporated herein by reference, is no longer needed for street or highway purposes and can be abandoned.

IT IS HEREBY ORDERED:

1. That the 50-foot slope easement described in Exhibit "A" and "B" is hereby vacated and shall, as of the date of adoption of this resolution, no longer constitute an easement; and

2. The City Clerk is hereby directed to cause a certified copy of this Resolution and Order, attested to by said Clerk under the seal of the City of Roseville, to be recorded in the Office of the County Recorder.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 2____, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

EXHIBIT “A”

EXHIBIT “B”

EXHIBIT "A"

LEGAL DESCRIPTION 50' SLOPE EASEMENT ABANDONMENT

ALL THAT CERTAIN STRIP OF LAND SITUATE IN THE CITY OF ROSEVILLE , COUNTY OF PLACER AND STATE OF CALIFORNIA, BEING THAT CERTAIN FIFTY (50') FOOT SLOPE EASEMENT ON, OVER AND ACROSS PARCEL 1 AS SHOWN AND DESIGNATED ON THAT CERTAIN PARCEL MAP OF "FOOTHILL AT ROSEVILLE PARKWAY PARCEL MAP" FILED FOR RECORD ON JUNE 19, 2014 IN BOOK 35 OF PARCEL MAPS AT PAGE 66, PLACER COUNTY RECORDS, MORE PARTICULARLY DESCRIBED AS FOLLOWS:

BEGINNING AT THE NORTHEAST CORNER OF PARCEL 1 AS SAID PARCEL IS SHOWN AND DESIGNATED ON SAID PARCEL MAP, THENCE ALONG THE EASTERLY LINE OF PARCEL 1, SOUTH 00°34'16" WEST FOR A DISTANCE OF 50.00 FEET;

THENCE LEAVING SAID EASTERLY LINE AND ALONG A LINE PARALLEL WITH AND FIFTY FEET SOUTHERLY, MEASURED AT RIGHT ANGLES FROM, THE NORTHERLY LINE OF PARCEL 1, SOUTH 89°28'58" WEST FOR A DISTANCE OF 228.47 FEET;

THENCE SOUTH 88°20'13" WEST FOR A DISTANCE OF 243.50 FEET;

THENCE SOUTH 75°26'33" WEST FOR A DISTANCE OF 41.76 FEET;

THENCE SOUTH 89°28'58" WEST FOR A DISTANCE OF 130.66 FEET;

THENCE NORTH 81°03'18" WEST FOR A DISTANCE OF 105.40 FEET TO THE WESTERLY LINE OF PARCEL 1, SAID LINE BEING THE EASTERLY RIGHT OF WAY LINE OF FOOTHILLS BOULEVARD;

THENCE ALONG SAID LINE NORTH 00°26'42" WEST FOR A DISTANCE OF 6.73 FEET;

THENCE CONTINUING ALONG SAID LINE AND THE ARC OF A NON-TANGENT CURVE CONCAVE TO THE SOUTHEAST, WHOSE RADIAL BEARS, SOUTH 74°11'05" EAST, HAVING A RADIUS OF 50.00 FEET, THROUGH A CENTRAL ANGLE OF 73°48'10" FOR A DISTANCE OF 64.41 FEET;

THENCE ALONG THE NORTHERLY LINE OF PARCEL 1, SOUTH 81°03'18" EAST FOR A DISTANCE OF 60.83 FEET;

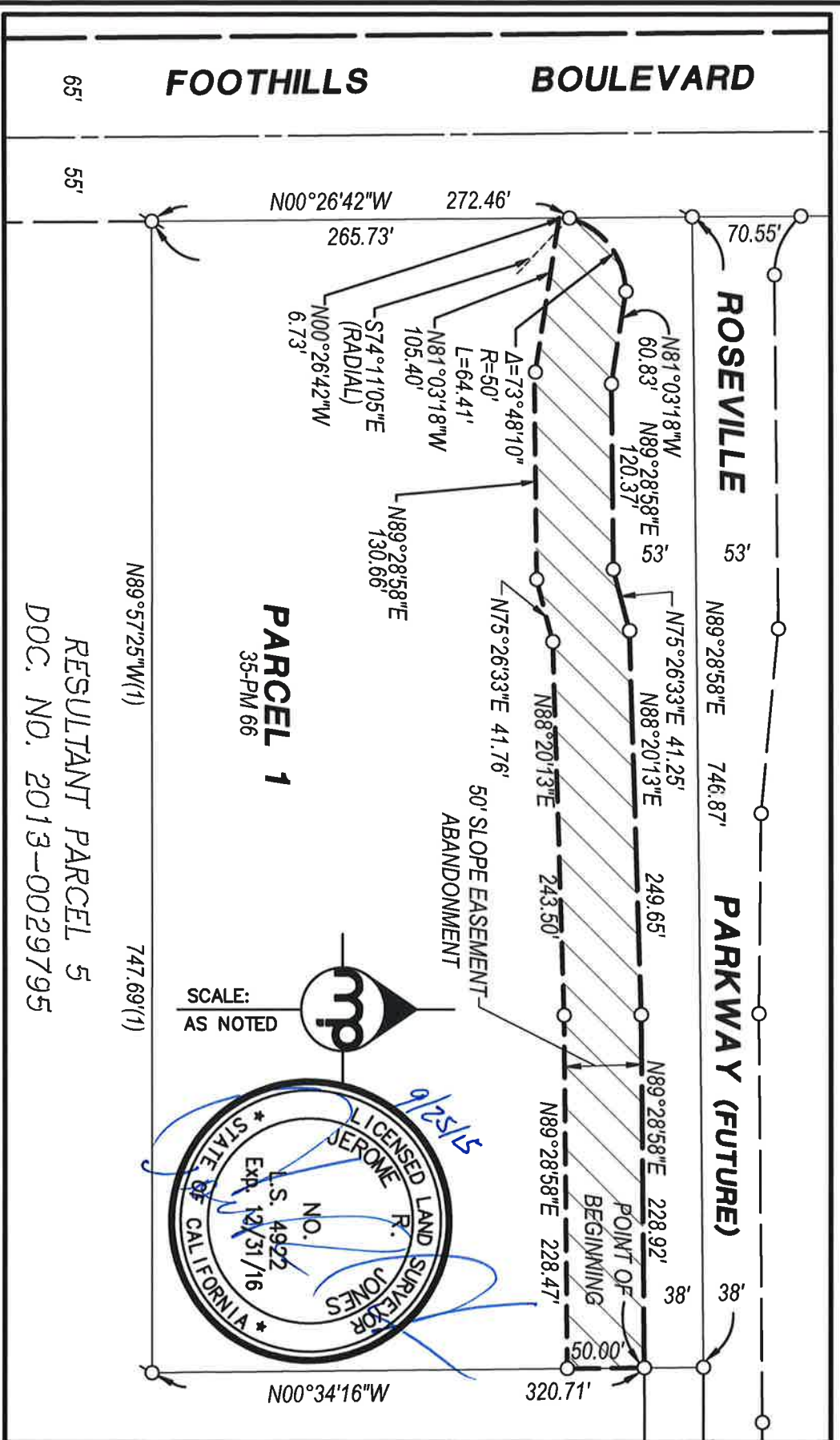
THENCE NORTH 89°28'58" EAST FOR A DISTANCE OF 120.37 FEET;

THENCE NORTH 75°26'33" EAST FOR A DISTANCE OF 249.65 FEET;

THENCE NORTH 89°28'58" EAST FOR A DISTANCE OF 228.92 FEET TO THE **POINT OF BEGINNING**.

THE ABOVE DESCRIBED STRIP OF LAND IS SHOWN ON EXHIBIT "B" ATTACHED HERETO AND MADE A PART HEREOF.





MORTON & PITATO, INC.
CIVIL ENGINEERING • LAND PLANNING • LAND SURVEYING
LANDSCAPE ARCHITECTURE • PLANNING ENGINEERING
75 Iron Point Circle, Suite 120 • Folsom, CA 95630
Phone: 916.984.7621 • Fax: 916.984.9617 • Survey Fax: 916.563.6770
Survey Email: stoking@mnpengr.com • Web: www.mnpengr.com

DRAWN:
CHECKED:
SCALE:

JRJ
JRJ

JOB NO:
DATE:
SHEET:

14-0058-02
09/25/2015
1 OF 1

EXHIBIT "B"

ABANDONMENT PLAT
50' SLOPE EASEMENT
FOOTHILL MINI STORAGE

ROSEVILLE, PLACER COUNTY, CALIFORNIA



COUNCIL COMMUNICATION

CC #: 7537
File #: 0704-02

Title: Right of Entry Agreement on Placer County Property
Contact: Brian Castelluccio 746-1755 bcastelluccio@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.16.

RECOMMENDATION TO COUNCIL

Recommend Council authorize the City Manager to execute the Right of Entry Agreement between the City of Roseville and Placer County, permitting City Staff to enter County property to access the Foothills Business Park Preserve.

BACKGROUND

The City of Roseville owns and manages the Foothills Business Park Preserve located on the Northern boundary of the City adjacent to a parcel owned by Placer County (see exhibit "A"). Due to the location of the Foothills Business Park Preserve vehicle access is blocked or very difficult to manage. The most accessible point of entrance is off Cincinnati Avenue through the Placer County parcel. The City of Roseville Open Space Division collaborated with Placer County to gain access through county property and worked together to develop the Right of Entry agreement in 2010. The original agreement required annual renewals and terminated after five years. The new agreement will automatically renew for up to five years unless otherwise terminated by either party. The agreement will terminate in 2021.

The access will be utilized to perform routine maintenance in the preserve area and to install and maintain tree mitigation projects.

FISCAL IMPACT

The Right of Entry agreement will have no impact on the general fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

The Right of Entry agreement has no economic benefits.

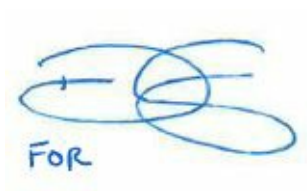
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The Sunset Industrial Ponds Right of Entry Agreement project does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Brian Castelluccio, Open Space Superintendent

Dion Louthan, Director of Parks, Recreation & Libraries



Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-99

Sunset Industrial Pond - Right of Entry Agreement

RESOLUTION NO. 16-99

APPROVING A LIMITED RIGHT OF ENTRY AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND THE COUNTY OF PLACER, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a Limited Right of Entry Agreement regarding Sunset Industrial Pond, by and between the City of Roseville and the County of Placer, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville; and

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

LIMITED RIGHT OF ENTRY AGREEMENT

WITNESSETH:

This Limited Right of Entry Agreement, hereinafter referred to as "Agreement" is made and entered into this ____ day of _____, _____, by and between, the City of Roseville, hereinafter referred to as "LICENSEE," and the County of Placer, hereinafter referred to as "COUNTY." LICENSEE and COUNTY are sometimes hereafter each singularly referred to as "PARTY" and collectively referred to as the "PARTIES."

RECITALS:

WHEREAS, LICENSEE desires to perform routine maintenance consisting of invasive species removal, firebreak mowing, trash clean up, erosion control, creek maintenance, and biological monitoring of the natural resources, and for mitigation planting of approximately 1,800 oak trees by LICENSEE on the LICENSEE's property; and,

WHEREAS, COUNTY is the owner of the following property(ies):

Property Description/Address	Assessors Parcel Number(s)
Sunset Industrial Ponds	017-117-009-000 (as deeded to County and recorded in Document No. 19829, Official Records of Placer County)

The above described property(ies) are hereinafter referred to as "County's Property" and are depicted on Exhibit A attached hereto; and,

WHEREAS, the COUNTY is willing to grant this non-exclusive right-of-entry to LICENSEE to access County's Property, based on the following terms and conditions, which provide the COUNTY with assurances and protections in connection with this access.

NOW THEREFORE, in consideration of the mutual promises and obligations contained herein, the PARTIES agree as follows:

1. Entry: LICENSEE, or its contractor, shall have the non-exclusive right, upon reasonable advance written notice as provided herein, to access County's Property using the Access Way as depicted on Exhibit A for the purposes described in Section 3. Upon such notice to COUNTY, COUNTY and LICENSEE shall confirm the duration and times that LICENSEE will access County's Property. COUNTY may at its sole discretion deny entry for any reason. The requirement to provide advance written notice shall be waived in circumstances where LICENSEE's access is necessary for an emergency. This Agreement grants no rights of access to any other areas associated with the County's Property.

2. Term: The Initial Term of this Agreement ("Initial Term") shall be for one (1) year commencing on the Effective Date of this Agreement. Said Effective Date shall be the date upon which the last PARTY signs the Agreement. Following the Initial Term, this Agreement shall automatically renew on annual basis unless otherwise terminated pursuant to Section 10. The maximum duration of this agreement shall not exceed five years from the Effective Date.
3. Purpose: The purpose of this Agreement is to provide LICENSEE, its agents or its Contractors access to County's Property via the Access Way to access adjacent LICENSEE property to plant and periodically perform routine and emergency maintenance consisting of invasive species removal, firebreak mowing, trash clean up, planting, erosion control, creek maintenance, and biological monitoring of the natural resources, and the future planting of mitigation trees by LICENSEE on the LICENSEE's property. LICENSEE, its Agent or its Contractor may not for any reason provide services to or from the County's Property. LICENSEE typically accesses LICENSEE's property once in the Spring, Summer, and Fall seasons, and as needed to perform maintenance.
4. Damage to Property: LICENSEE, its agents, or its contractors shall take all reasonable precautions to avoid damage to the County's Property. COUNTY may conduct an inspection of the County's Property following each Entry Period, and shall notify LICENSEE of any damage discovered. If COUNTY determines that the County's Property has not been left in a satisfactory condition, LICENSEE agrees to immediately take all steps necessary to return it to such condition.
5. Hazardous Materials: LICENSEE shall not keep on the County's Property any substance, material, and/or waste that is or becomes regulated or classified as hazardous or toxic under any federal, State or local laws or regulations. The indemnity provisions of Section 7 of this Agreement apply to any claims, losses and/or liabilities associated with any such hazardous or toxic substance, material, and/or waste.
6. Insurance: LICENSEE shall file with COUNTY a Certificate of Insurance, with companies acceptable to COUNTY, with a Best's Rating of no less than A-VII, or a Certificate of Self-Insurance, showing the following coverage:
 - A. Workers' Compensation and Employers' Liability Insurance
 - 1) Workers' Compensation Insurance shall be provided, as required, by any applicable law or regulation. Employers' liability insurance shall be provided in amounts not less than one million dollars (\$1,000,000) each accident for bodily injury by accident, one million dollars (\$1,000,000) policy limit for bodily injury by disease, one million dollars (\$1,000,000) each employee for bodily injury by disease.
 - 2) If there is an exposure of injury to LICENSEE employees under the U.S. Longshoremen and Harbor Workers' Compensation Act, the Jones Act, or under laws, regulations or statutes applicable to maritime employees, coverage shall be included for such injuries or claims.

- 3) Each Workers' Compensation policy shall be endorsed with the following specific language:

Cancellation Notice- "This policy shall not be changed without first giving thirty (30) days prior written notice and ten (10) days prior written notice of cancellation for non-payment of premium to the County of Placer."

LICENSEE shall require all contractors and subcontractors to maintain adequate Workers' Compensation Insurance. Certificates of Workers Compensation shall be filed forthwith with the COUNTY upon demand.

Waiver of Subrogation - The workers' compensation policy shall be endorsed to state that the workers' compensation carrier waives its right of subrogation against the COUNTY, its officers, directors, officials, employees, agents or volunteers, which might arise by reason of payment under such policy in connection with performance under this agreement by the LICENSEE.

B. General Liability Insurance

- 1) Comprehensive General Liability or Commercial General Liability insurance covering all operations by or on behalf of LICENSEE, providing insurance for bodily injury liability and property damage liability for the limits of liability indicated below and including coverage for:
 - a. Fire Damage Legal Liability Insurance to protect against any liability incidental to the use of the County's Property or resulting from any accident occurring in or about the County's Property. LICENSEE shall also provide All Risk Property Insurance for any tenant improvements installed by LICENSEE. Such coverage shall be an amount equal to the value of the tenant improvements.
 - b. Contractual liability insuring the obligations assumed by LICENSEE in this Agreement.
- 2) One of the following forms is required:
 - a. Comprehensive General Liability;
 - b. Commercial General Liability (Occurrence); or
 - c. Commercial General Liability (Claims Made).
- 3) If LICENSEE carries a Comprehensive General Liability policy, the limits of liability shall not be less than a Combined Single Limit for bodily injury, property damage, and Personal Injury Liability of:

One million dollars (\$1,000,000) each occurrence
Two million dollars (\$2,000,000) aggregate
- 4) If LICENSEE carries a Commercial General Liability (Occurrence) policy:

- a. The limits of liability shall not be less than:
→One million dollars (\$1,000,000) each occurrence (combined single limit for bodily injury and property damage)
- b. If the policy does not have an endorsement providing that the General Aggregate Limit applies separately, or if defense costs are included in the aggregate limits, then the required aggregate limits shall be two million dollars (\$2,000,000).

5) Special Claims Made Policy Form Provisions:
LICENSEE shall not provide a Commercial General Liability (Claims Made) policy without the express prior written consent of County, which consent, if given, shall be subject to the following conditions:

- a. The limits of liability shall not be less than:
→One million dollars (\$1,000,000) each occurrence (combined single limit for bodily injury and property damage)
→Two million dollars (\$2,000,000) General Aggregate
- b. The insurance coverage provided by LICENSEE shall contain language providing coverage up to one (1) year following the completion of the contract in order to provide insurance coverage for the hold harmless provisions herein if the policy is a claims-made policy.

C. Endorsements: Each Comprehensive or Commercial General Liability policy shall be endorsed with the following specific language:

- 1) "The COUNTY, its officers, agents, employees and volunteers, are to be covered as insureds for all liability arising out of operations, or on behalf of, the named insured in the performance of this Agreement."
- 2) "The insurance provided by LICENSEE, including any excess liability or umbrella form coverage, is primary coverage to the COUNTY with respect to any insurance or self-insurance programs maintained by County of Placer, and no insurance held or owned by County of Placer shall be called upon to contribute to a loss."
- 3) "This policy shall not be changed without first giving thirty (30) days prior written notice and ten (10) days prior written notice of cancellation for non-payment of premium to the County of Placer."

D. Additional Requirements:

Premium Payments - The insurance companies shall have no recourse against the COUNTY and funding agencies, its officers and employees or any of them for payment of any premiums or assessments under any policy issued by a mutual insurance company.

Policy Deductibles – LICENSEE shall be responsible for all deductibles in all of the LICENSEE's insurance policies. The amount of deductible for insurance coverage required herein should be reasonable and subject to COUNTY's approval.

LICENSEE's Obligations - LICENSEE's indemnity and other obligations shall not be limited by the foregoing insurance requirements and shall survive the expiration of this agreement.

Verification of Coverage - LICENSEE shall furnish the County with original certificates and amendatory endorsements or copies of the applicable policy language effecting coverage required by this clause. All certificates and endorsements are to be received and approved by the County before occupancy commences. However, failure to obtain the required documents prior to occupancy shall not waive the LICENSEE's obligation to provide them. The County reserves the right to require complete, certified copies of all required insurance policies, including endorsements required by these specifications, at any time.

Material Breach - Failure of LICENSEE to maintain the insurance required by this agreement, or to comply with any of the requirements of this section, shall constitute a material breach of the entire agreement.

7. Hold Harmless: LICENSEE shall indemnify and hold COUNTY harmless from and defend COUNTY against any and all claims of liability for any injury, death, or damage to any person or property occurring in, on or about the County's Property when such injury, death or damage is caused in part or in whole by the act, neglect, fault or omission of any duty with respect to the same by LICENSEE, its agents, contractors, or employees.

LICENSEE shall further indemnify and hold COUNTY harmless from and against any and all claims arising from any act or negligence of LICENSEE or any of its agents, contractors, or employees and from and against all costs, attorney's fees, expenses and liabilities incurred in the defense of any such tort claim or any action or proceeding brought thereon. LICENSEE shall be required to provide notice to the COUNTY within thirty (30) working days of the date when LICENSEE is made aware of the occurrence of any such tort claim.

In case any action or proceeding is brought against COUNTY by reason of any such claim, LICENSEE, upon notice from COUNTY, shall defend the same at LICENSEE's expense provided, however, that LICENSEE shall not be liable for damage or injury occasioned by the negligent or intentional acts of COUNTY and its designated agents, or employees. COUNTY shall be required to provide notice to LICENSEE within thirty (30) working days of the date when COUNTY is made aware of the occurrence of any such government tort claim.

As used above, the term COUNTY means COUNTY or its officers, agents, employees, and volunteers.

8. Notices:

- (A) "Notice" means any notice, demand, request or other communication or document to be provided under this Agreement to a PARTY to this Agreement.
- (B) The Notice shall be in writing and shall be given to the PARTY at its address or facsimile number set forth below, or such other address or facsimile number as the PARTY may later specify for that purpose by Notice to the other PARTY. Each Notice shall, for all purposes, be deemed given and received:
 - (1) If given by facsimile, when the facsimile is transmitted to the PARTY's facsimile number specified below and confirmation of complete receipt is received by the transmitting PARTY during normal business hours or on the next business day if not confirmed during normal business hours;
 - (2) If hand-delivered to a PARTY against receipted copy, when the copy of Notice is receipted;
 - (3) If given by a nationally-recognized and reputable overnight delivery service, the day on which the Notice is actually received by the PARTY; or
 - (4) If given by any other means, or if given by certified mail, return receipt requested, postage prepaid, two business days after it is posted with the United States Postal Service, at the address of the PARTY specified below:

COUNTY:

Property Manager
County of Placer
Department of Public Works and Facilities
11476 C. Avenue
Auburn, CA 95603
PHONE: (530) 886-4900 FAX: (530) 889-6857

LICENSEE:

Brian Castelluccio
Open Space Manager
City of Roseville, CA 95747
2005 Hilltop Circle
Roseville, CA 95747
(916) 746-1755 FAX: (916) 746-1759

- (C) If any Notice is sent by facsimile, the transmitting PARTY as a courtesy may send a duplicate copy of the Notice to the other PARTY by regular

mail. In all events, however, any Notice sent by facsimile transmission shall govern all matters dealing with delivery of the Notice, including the date on which the Notice is deemed to have been received by the other PARTY.

- (D) The provisions above governing the date on which a Notice is deemed to have been received by a PARTY to this Agreement shall mean and refer to the date on which a PARTY to this Agreement, and not its counsel or other recipient to which a copy of the Notice may be sent, is deemed to have received the Notice.
- (E) If Notice is tendered under the provisions of this Agreement and is refused by the intended recipient of the Notice, the Notice shall nonetheless be considered to have been given and shall be effective as of the date provided in this Agreement. The contrary notwithstanding, any Notice given to either PARTY in a manner other than that provided in this Agreement, that is actually received by the noticed PARTY, shall be effective with respect to such PARTY on receipt of the Notice.

- 9. All Rights Included: The right granted to LICENSEE in this Agreement is only for the term stated in Section 2 and for the purpose stated in Section 3. Nothing in this Agreement shall be construed as to grant LICENSEE any other rights or privileges in connection with the County's Property. Approval of this Agreement in no way obligates the COUNTY to allow access to the County's Property in the future.
- 10. Termination: COUNTY shall have the right to terminate this Agreement at any time during the Term by giving sixty (60) days advance written notice to LICENSEE. Failure to comply with this Agreement will result in the immediate revocation of this Agreement and a requirement to immediately vacate the County's Property.
- 11. Authority and Execution: Each person executing this Agreement on behalf of a PARTY represents and warrants that such person is duly and validly authorized to do so on behalf of the entity which it purports to bind and, if such PARTY is a partnership, corporation or trustee, that such partnership, corporation or trustee has full right and authority to enter into this Agreement and any rights granted by this Agreement.

IN WITNESS WHEREOF, the parties have executed this Agreement on the day and year the last PARTY signs herein.

COUNTY OF PLACER

By: _____
Ken Grehm, Director
Department of Public Works and Facilities

Date

LICENSEE: City of Roseville

By: _____
Rob Jensen
City Manager

Date

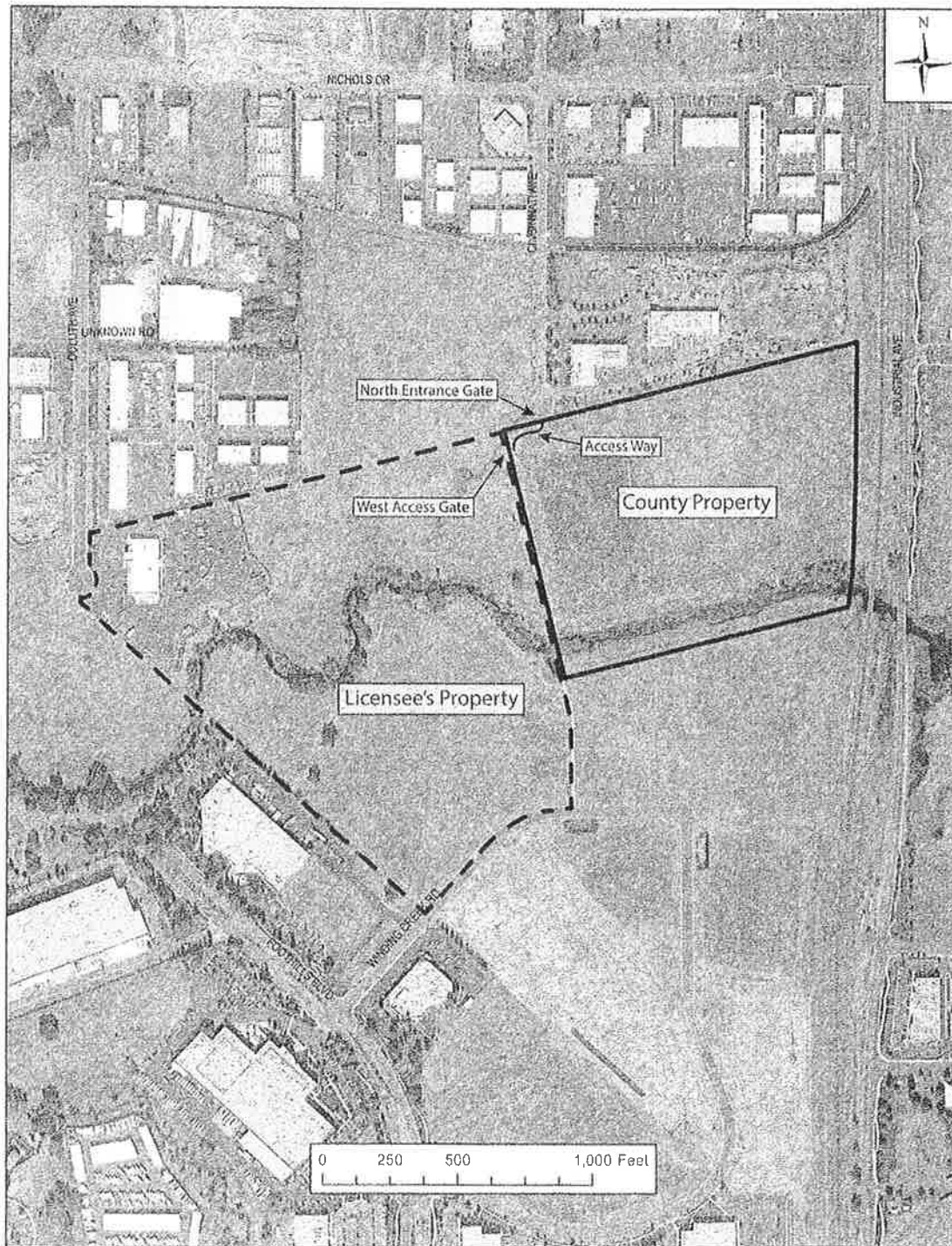
By: _____
Robert R. Schmitt
City Attorney

Approved As to Form

By: _____
County Counsel

EXHIBIT A

County's Property
APN 017-117-009-000





COUNCIL COMMUNICATION

CC #: 7532
File #: 0800-04

Title: IP Video Management Software - Professional Services Agreement
Contact: Tito Zamora 746-1300 tzamora@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.17.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council approve the professional services agreement for \$154,971.00 with Network Management Corporation (NMC) for the purchase and installation of an internet protocol (IP) video management software for our traffic cameras throughout the city, and adopt a resolution to authorize the City Manager to sign the professional services agreement with NMC.

BACKGROUND

The City of Roseville currently uses an IP video management system for our 174 traffic cameras located at our signalized intersections throughout the city. The video feeds are sent to the Traffic Operations Center located at 311 Vernon Street in Public Works/Engineering and displayed on multiple monitors. These same video feeds are sent to the public via our traveler information web page. We also share our video feeds with other internal city departments such as the Police Department, and Parks and Recreation.

The current video management system was installed in 2005 and was included for free with the purchase of proprietary traffic cameras. At the time of purchase, this system fulfilled our needs, as it was the only IP video management system available at the time. As technology has improved, the IP camera market has grown resulting in more companies offering nonproprietary IP video cameras, and nonproprietary IP video management systems.

At the same time, the City has grown, and the demands on the IP video management system have changed and evolved. The existing system is no longer meeting our needs. The City requires an advanced IP video management system that can take advantage of the latest IP cameras and capabilities which include:

- Nonproprietary cameras - Various manufacturers so we are no longer restricted to just one vendor. This will provide the City with more camera model options and more competitive prices when purchasing new or replacement cameras.

- High definition images - Highly detailed views of traffic for all internal city departments.
- Extended storage - The ability to store several days of camera video. This is useful for traffic incident investigations and provides a cost savings by eliminating the overtime associated with call-outs for Engineering staff to capture video footage of accidents. Currently our storage capability is only 20 minutes. So an engineering staff person is often called upon, day or night, to capture traffic accident footage before the 20 minute time frame expires.

NMC's proposed software, Milestone, will provide the City with the latest video management software that will allow staff to competitively bid new cameras with the latest technologies at a lower cost.

Selection of NMC and their proposed Milestone video management software is the result of a nationwide Request for Proposals (RFP) advertised through Public Purchase. The selection process was based on the project proposal, video management system capabilities, and cost. Our Information Technology Department was involved in the project review and selection process, and the Technology Governance Committee reviewed and approved the project before soliciting RFP's.

Once the vendor receives their Notice to Proceed, the new system is expected to be operational within 90 days.

FISCAL IMPACT

The funding source is from the annual Traffic Signal Project account which is funded through developer paid traffic mitigation fees. The \$154,971.00 is available in the approved fiscal year 2016 budget. There is no fiscal impact to the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Federal guidelines suggest that there is one job created for every \$92,000.00 in direct government spending. Based on that figure, this project will create 1.7 jobs.

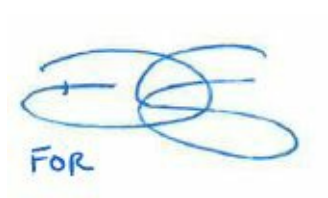
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The purchase of software does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Tito Zamora, Associate Engineer

Rhon Herndon, Public Works Director



Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-96

PSA - IP Video Management Software

RESOLUTION NO. 16-96

APPROVING A PROFESSIONAL SERVICES AGREEMENT BY AND BETWEEN THE
CITY OF ROSEVILLE AND NETWORK MANAGEMENT CORPORATION, AND
AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF
ROSEVILLE

WHEREAS, a professional services agreement regarding the IP Video Management Software Project, by and between the City of Roseville and Network Management Corporation, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville; and

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



COUNCIL COMMUNICATION

CC #: 7525
File #: 0709-01

Title: Housing Authority - Housing Element Annual Progress Report - Calendar Year 2015
Contact: Trisha Isom 746-1239 tisom@roseville.ca.us
Chris Robles 774-5421 crobles@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.18.

RECOMMENDATION TO COUNCIL

Adopt the attached resolution approving the Housing Element Annual Progress Report for Calendar Year 2015.

BACKGROUND

After the General Plan's Housing Element of a jurisdiction has been adopted, Section 65400 (b) of the California Government Code requires the jurisdiction to provide an annual report to their legislative body, and the State Offices of OPR and HCD on the status of the plan and progress in its implementation. The report must detail progress in meeting the City's share of regional housing needs determined pursuant to California Government Code Section 65584 and local efforts to remove governmental constraints to the maintenance, improvement, and development of housing pursuant to California Government Code 65583(c)(3).

On June 19, 2013, the City Council adopted the 2013-2021 Housing Element. HCD subsequently confirmed the updated Housing Element was in compliance with State Housing Element Law on August 22, 2013. The 2015 Housing Element update covers the City's housing policies, goals and objectives for the nine year planning period of 2013 through 2021.

The Sacramento Area Council of Governments (SACOG) determines the Regional Housing Needs Allocation (RHNA) for the six county region including the City of Roseville, in accordance with State law. The RHNA allocates to each local jurisdiction its fair share requirement for meeting the area's housing needs in all income categories for the years 2013 through 2021. The City's fair share housing need as determined by SACOG is 8,478 dwelling units comprised as follows in terms of income groups: 1,134 for extremely low income families; 1,134 units for very low income families; 1,590 units for low income families; 1,577 units for moderate income and 3,043 units for above moderate income families over the planning period.

It is important to note that the Regional Housing Needs Allocation (RHNA) numbers allocated to jurisdictions by SACOG are used for planning purposes only. Each jurisdiction, during the 5 – 7.5 year planning periods for Housing Elements must adequately "plan" for housing sites and

densities, through zoning to ensure that there are enough sites within its jurisdiction to accommodate the various income categories assigned as having a “need” within the jurisdiction. Per HCD, the very low and low income housing units are presumed to require high density (20-30 units/acre) sites to accommodate the creation of these units.

Very few, if any jurisdictions actually meet their RHNA, due to limited funding sources for development of affordable housing units. Therefore, the RHNA is identified as a planning number versus a production requirement for each jurisdiction. In addition, the City of Roseville is at a disadvantage in that the methodology for assigning very low and low income units is based on Census Data, which shows smaller percentages of lower income households in our community in comparison to other communities in the six-county region. As a result, SACOG has assigned our jurisdiction with a high lower-income housing allocation, to “balance out” the region’s income levels, through the planning process.

With the submission of the 2015 Housing Element Annual Progress Report to the State of California by April 1, 2016, the City of Roseville maintains its eligibility to receive State administered grant funds, such as the Housing-Related Parks Program, the HOME Program and the CalHome Program. CalHome funds support the City’s Owner Occupied Housing Rehabilitation. The HOME Program funds our First Time Home Buyer Program and also assigns additional points in the competitive application process for jurisdictions with a Housing Element deemed in compliance with state housing law as verified by HCD.

The attached Housing Element Annual Progress Report identifies housing activity and progress in meeting the Regional Housing Needs Allocation during the 2015 calendar year. While there were no very low-income or low-income affordable multi-family units produced in calendar year 2015, there were 922 moderate and above moderate income housing units produced during the year. It is expected that with the City’s existing residential inventory and pending applications, the City should have adequate sites available to meet its total fair share housing allocation of 8,478 units, including very low and low- income housing units, during the planning period covered by the Housing Element (2013-2021).

FISCAL IMPACT

The staff cost of developing the 2015 Housing Element Annual Progress Report was accounted for in the 15/16 adopted budget.

ECONOMIC DEVELOPMENT / JOBS CREATED

The Housing Element Annual Progress Report does not promote or create jobs but it does provide an important service by reporting on the development of affordable housing in our community. Affordable housing is an essential component to the foundation of our community’s economic stability.

ENVIRONMENTAL REVIEW

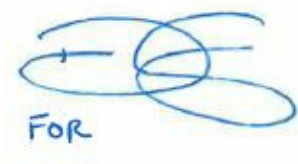
The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines

§15061(b)(3)). The Housing Element Annual Progress Report does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Trisha Isom, Housing Analyst

Chris Robles, Economic Development Director



Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-93

2015 Annual Element Progress Report

RESOLUTION NO. 16-93

HOUSING ELEMENT ANNUAL PROGRESS REPORT
(Calendar Year 2015)

WHEREAS, on June 19, 2013, the City Council approved the General Plan's Housing Element update, which was an eight-year document (2013-2021); and

WHEREAS, Section 65400 of the Government Code of the State of California requires an annual report to the City Council, the State Office of Planning and Research and the California Department of Housing and Community Development; and

WHEREAS, the City Council has reviewed the Housing Element Annual Progress Report (Calendar Year 2015);

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Roseville hereby approves the Housing Element Annual Progress Report (Calendar Year 2015).

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction	ROSEVILLE
Reporting Period	01/01/2015 - 12/31/2015

Pursuant to GC 65400 local governments must provide by April 1 of each year the annual report for the previous calendar year to the legislative body, the Office of Planning and Research (OPR), and the Department of Housing and Community Development (HCD). By checking the “Final” button and clicking the “Submit” button, you have submitted the housing portion of your annual report to HCD only. Once finalized, the report will no longer be available for editing.

The report must be printed and submitted along with your general plan report directly to OPR at the address listed below:

Governor’s Office of Planning and Research
P.O. Box 3044
Sacramento, CA 95812-3044

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction	ROSEVILLE
Reporting Period	01/01/2015 - 12/31/2015

Table A

**Annual Building Activity Report Summary - New Construction
Very Low-, Low-, and Mixed-Income Multifamily Projects**

Housing Development Information										Housing with Financial Assistance and/or Deed Restrictions	Housing without Financial Assistance or Deed Restrictions
1	2	3	4				5	5a	6	7	8
Project Identifier (may be APN No., project name or address)	Unit Category	Tenure R=Renter O=Owner	Affordability by Household Incomes				Total Units per Project	Est. # Infill Units*	Assistance Programs for Each Development	Deed Restricted Units	Note below the number of units determined to be affordable without financial or deed restrictions and attach an explanation how the jurisdiction determined the units were affordable. Refer to instructions.
			Very Low-Income	Low-Income	Moderate-Income	Above Moderate-Income					
(9) Total of Moderate and Above Moderate from Table A3											
(10) Total by Income Table A/A3			0	0	378	544					
(11) Total Extremely Low-Income Units*			0								

* Note: These fields are voluntary

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction ROSEVILLE

Reporting Period 01/01/2015 - 12/31/2015

Table A2

Annual Building Activity Report Summary - Units Rehabilitated, Preserved and Acquired pursuant to GC Section 65583.1(c)(1)

Please note: Units may only be credited to the table below when a jurisdiction has included a program it its housing element to rehabilitate, preserve or acquire units to accommodate a portion of its RHNA which meet the specific criteria as outlined in GC Section 65583.1(c)(1)

Activity Type	Affordability by Household Incomes				(4) The Description should adequately document how each unit complies with subsection (c)(7) of Government Code Section 65583.1
	Extremely Low-Income*	Very Low-Income	Low-Income	TOTAL UNITS	
(1) Rehabilitation Activity	0	0	0	0	
(2) Preservation of Units At-Risk	0	0	0	0	
(3) Acquisition of Units	0	0	0	0	
(5) Total Units by Income	0	0	0	0	

* Note: This field is voluntary

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction	ROSEVILLE
Reporting Period	01/01/2015 - 12/31/2015

Table A3
Annual building Activity Report Summary for Above Moderate-Income Units
(not including those units reported on Table A)

	1. Single Family	2. 2 - 4 Units	3. 5+ Units	4. Second Unit	5. Mobile Homes	6. Total	7. Number of infill units*
No. of Units Permitted for Moderate	378	0	0	0	0	378	0
No. of Units Permitted for Above Moderate	544	0	0	0	0	544	0

* Note: This field is voluntary

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction ROSEVILLE

Reporting Period 01/01/2015 - 12/31/2015

Table B

Regional Housing Needs Allocation Progress

Permitted Units Issued by Affordability

Enter Calendar Year starting with the first year of the RHNA allocation period. See Example.		Year 1	Year 2	Year 3	Year 4	Year 5	Year 6	Year 7	Year 8	Year 9	Total Units to Date (all years)	Total Remaining RHNA by Income Level
Income Level	RHNA Allocation by Income Level											
Very Low	Deed Restricted	0	9	0	0	0	0	0	0	0	9	2259
	Non-Restricted	0	0	0	0	0	0	0	0	0		
Low	Deed Restricted	0	14	0	0	0	0	0	0	0	14	1576
	Non-Restricted	0	0	0	0	0	0	0	0	0		
Moderate		142	438	378	0	0	0	0	0	0	958	619
Above Moderate		384	333	544	0	0	0	0	0	-	1261	1782
Total RHNA by COG. Enter allocation number:		8478										
Total Units		526	794	922	0	0	0	0	0	0	2242	6236
Remaining Need for RHNA Period												

Note: units serving extremely low-income households are included in the very low-income permitted units totals.

ANNUAL ELEMENT PROGRESS REPORT

Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction	ROSEVILLE
Reporting Period	01/01/2015 - 12/31/2015

Table C

Program Implementation Status

Program Description (By Housing Element Program Names)	Housing Programs Progress Report - Government Code Section 65583. Describe progress of all programs including local efforts to remove governmental constraints to the maintenance, improvement, and development of housing as identified in the housing element.		
Name of Program	Objective	Timeframe in H.E.	Status of Program Implementation
Zoning Ordinance	Provide opportunities for affordable housing	Ongoing	Current
Downtown Specific Plan - Underutilized Parcels	Continue to implement Downtown Specific Plan to support opportunities for infill development including high density and affordable housing.	Ongoing	Adopted April 1, 2009
Density Bonus	Increase opportunities for higher density	Ongoing	Current

ANNUAL ELEMENT PROGRESS REPORT
Housing Element Implementation

(CCR Title 25 §6202)

Jurisdiction	ROSEVILLE
Reporting Period	01/01/2015 - 12/31/2015

General Comments:

The City of Roseville's 2008-2013 Regional Housing Needs Allocation was met so Roseville is not required to identify government constraints for the 2013-2021 Housing Element. City of Roseville is a Charter City and General Plan annual updates are not required.



COUNCIL COMMUNICATION

CC #: 7541

File #: 0709

Title: Community Development Block Grant Exterior Paint Program Modifications

Contact: Suzanne Acrell 774-5469 sacrell@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.19.

RECOMMENDATION TO COUNCIL

Staff recommends the City Council take the following actions:

1. Approve the Program voucher be increased from its original amount of \$400.00 per home to \$700.00 per home in order to reduce the amount of out of pocket expense for the lower income resident.
2. Authorize City Manager to execute agreements with Sherwin-Williams located at 212 Harding Boulevard, Suite O, Kelly-Moore Paints located at 1018 Douglas Boulevard, Roseville Ace Hardware located at 108-A Harding Boulevard, Dunn Edwards Corporation located at 1850 Douglas Boulevard, and Warehouse Paint, Inc. located at 8113 Auburn Boulevard, Citrus Heights to supply the paint and painting materials. Staff searched Roseville businesses, identified six residential paint suppliers and solicited the participation of all six suppliers. Four provided letters of interest in participating in this reimbursement program, two did not, and one additional supplier, Warehouse Paint, Inc. requested to participate.

BACKGROUND

The purpose of the Beautifying My Neighborhood Exterior Paint Program is to assist lower income Roseville residents with the exterior improvement of their home. The Program has been providing free exterior paint and materials to lower income Roseville residents since 1995. The Program includes exterior paint and materials and labor is provided by the recipient.

The Program is made available to all properties occupied by lower income residents. The eligibility guidelines are as follows:

1. Meet income requirements
2. Priority will be given to owner occupants, seniors, and disabled
3. If renting, a written consent from the landlord to participate in the Program is required
4. Paint and supplies must be used on the residence agreed upon
5. Work must be complete in a timely manner

Applications are available on the City website and at the Housing Division counter. Residents who

qualify will receive a voucher on a first come, first served basis. Residents apply directly to the City's Housing Division for the Program. Eligible residents are provided a voucher to be spent at participating paint and paint supply stores. The resident uses the voucher to purchase paint and paint supplies, the supplier invoices the City, and the City reimburses the store directly.

FISCAL IMPACT

Housing and Urban Development (HUD) allocates Community Development Block Grant (CDBG) funds to the City of Roseville annually. In addition to other successful programs, the City of Roseville assigns \$5,000.00 of CDBG funds annually to the Program. Since 1995, it is estimated that over 200 participants have been assisted. In order to make a recommendation on the voucher amount, staff solicited the expertise of the suppliers in Roseville and researched the recent history of voucher reimbursement amounts.

ECONOMIC DEVELOPMENT / JOBS CREATED

The approval of Program changes as presented is not expected to generate any jobs; however, the program provides a direct benefit to the appearance and vitality of the home and neighborhood. This Program supports the Councils goal of reinvesting in neighborhoods to create healthy communities.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)).

The Beautifying My Neighborhood Exterior Paint Program does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Suzanne Acrell, Housing Analyst

Chris Robles, Economic Development Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-101

Ace Hardware

Dunn Edwards Agreement

Kelly-Moore Agreement

Sherwin-Williams Agreement

Warehouse Paint Agreement

RESOLUTION NO. 16-101

APPROVING INDIVIDUAL VOUCHER REIMBURSEMENT AGREEMENTS BY AND BETWEEN THE CITY OF ROSEVILLE AND G & S HARDWARE PURCHASING, INC., DBA ROSEVILLE ACE HARDWARE, DUNN-EDWARDS CORPORATION, KELLY-MOORE PAINT COMPANY, INC., THE SHERWIN-WILLIAMS COMPANY, AND WAREHOUSE PAINT, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE THEM ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, since 1995, the City's Housing Division has provided free exterior paint and materials to lower income Roseville residents through the Beautifying My Neighborhood Exterior Paint Program; and

WHEREAS, eligible residents are provided a voucher to be spent at participating paint and paint supply stores; and

WHEREAS, those vouchers are used to purchase paint and paint supplies, the supplier invoices the City and the City reimburses the store directly; and

WHEREAS, five individual voucher reimbursement agreements regarding the Beautifying My Neighborhood Exterior Paint Program, by and between the City of Roseville and G & S Hardware Purchasing, Inc., dba Roseville Ace Hardware, Dunn-Edwards Corporation, Kelly-Moore Paint Company, Inc., The Sherwin-Williams Company and Warehouse Paint, Inc., have been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreements are hereby approved and that the City Manager is authorized to execute them on behalf of the City of Roseville; and

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

VOUCHER REIMBURSEMENT AGREEMENT

THIS AGREEMENT is made and entered into this ____ day of _____, 20____, by and between the City of Roseville, a municipal corporation ("CITY"), and G & S Hardware Purchasing, Inc., a California corporation, dba Roseville Ace Hardware, for the store located at 108-A Harding Blvd., Roseville, CA 95678 ("SUPPLIER"); and

W I T N E S S E T H:

WHEREAS, CITY has established the "Beautifying My Neighborhood" Exterior Paint Program ("Program") utilizing federal Community Development Block Grant funds for the purpose of assisting low and moderate income homeowners ("Grantees") to paint their residences; and

WHEREAS, SUPPLIER has submitted to CITY a letter of interest to participate in the City's Program and accept payment by CITY Program vouchers; and

WHEREAS, CITY has selected SUPPLIER to participate in the Program.

NOW, THEREFORE, the parties mutually agree as follows:

1. CITY has prepared eligibility guidelines for the Program pursuant to applicable federal and other requirements and shall identify qualifying Grantees eligible to receive supplies and services from SUPPLIER. CITY shall provide each Grantee with a voucher redeemable for up to seven hundred dollars (\$700) of paint and painting supplies from SUPPLIER.

RECEIVED

FEB 04 2016

HOUSING DIVISION

2. SUPPLIER shall provide the following services to each eligible Grantee upon request of said Grantee:

- A. Advice and counseling on preparation and application of paint;
- B. Estimation of paint and other supply requirements and recommendation on color.

SUPPLIER shall provide to Grantee to use pursuant to the Program paint and supplies. SUPPLIER shall accept vouchers provided to Grantees by CITY as payment by Grantees for the supplies identified by SUPPLIER.

3. CITY shall, promptly upon presentation of vouchers from SUPPLIER, reimburse SUPPLIER therefore up to seven hundred dollars (\$700) per voucher. The CITY may authorize in its sole discretion, payment in excess of this maximum amount provided the CITY has approved said full amount in advance.

4. This Agreement shall commence upon execution by the parties and shall be for a five year term. Either party may terminate this Agreement without cause upon thirty (30) days written notice; provided, however, SUPPLIER shall honor all vouchers issued to eligible Grantees prior to issuance of a notice of termination and which are presented within sixty (60) days after the date of termination.

5. Notices to be provided under this Agreement shall be mailed to:

CITY OF ROSEVILLE

Chris Robles
Economic Development Director
311 Vernon Street
Roseville, CA 95678

SUPPLIER

Stacey Gresko
G & S Hardware Purchasing, Inc.
dba Roseville Ace Hardware
108-A Harding Boulevard
Roseville, CA 95678

The foregoing individuals, or their designees, shall also serve as the coordinators for administration of this Agreement by each party.

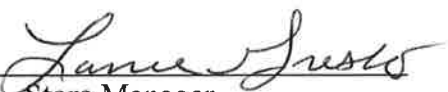
6. This Agreement incorporates the entire understanding of the parties hereto. Any amendment hereto shall be in writing and shall not become effective unless executed by both parties hereto.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20__, and SUPPLIER has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
a municipal corporation

G & S HARDWARE PURCHASING, INC.,
a California corporation, dba Roseville Ace
Hardware

BY: _____
ROB JENSEN
Acting City Manager

BY: 
Store Manager

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY:  _____
CHRIS ROBLES
Economic Development Director

VOUCHER REIMBURSEMENT AGREEMENT

THIS AGREEMENT is made and entered into this ____ day of _____, 20 __, by and between the City of Roseville, a municipal corporation ("CITY"), and Dunn-Edwards Corporation, a Delaware corporation, for the store located at 1850 Douglas Blvd., Suite 200, Roseville, CA 95661 ("SUPPLIER"); and

W I T N E S S E T H:

WHEREAS, CITY has established the "Beautifying My Neighborhood" Exterior Paint Program ("Program") utilizing federal Community Development Block Grant funds for the purpose of assisting low and moderate income homeowners ("Grantees") to paint their residences; and

WHEREAS, SUPPLIER has submitted to CITY a letter of interest to participate in the City's Program and accept payment by CITY Program vouchers; and

WHEREAS, CITY has selected SUPPLIER to participate in the Program.

NOW, THEREFORE, the parties mutually agree as follows:

1. CITY has prepared eligibility guidelines for the Program pursuant to applicable federal and other requirements and shall identify qualifying Grantees eligible to receive supplies and services from SUPPLIER. CITY shall provide each Grantee with a voucher redeemable for up to seven hundred dollars (\$700) of paint and painting supplies from SUPPLIER.



2. SUPPLIER shall provide the following services to each eligible Grantee upon request of said Grantee:

- A. Advice and counseling on preparation and application of paint;
- B. Estimation of paint and other supply requirements and recommendation on color.

SUPPLIER shall provide to Grantee paint and supplies to use pursuant to the Program. SUPPLIER shall accept vouchers provided to Grantees by CITY as payment by Grantees for the supplies identified by SUPPLIER.

3. CITY shall, promptly upon presentation of vouchers from SUPPLIER, reimburse SUPPLIER therefore up to seven hundred dollars (\$700) per voucher. The CITY may authorize in its sole discretion, payment in excess of this maximum amount provided the CITY has approved said full amount in advance.

4. This Agreement shall commence upon execution by the parties and shall be for a five year term. Either party may terminate this Agreement without cause upon thirty (30) days written notice; provided, however, SUPPLIER shall honor all vouchers issued to eligible Grantees prior to issuance of a notice of termination and which are presented within sixty (60) days after the date of termination.

5. Notices to be provided under this Agreement shall be mailed to:

CITY OF ROSEVILLE

Chris Robles
Economic Development Director
311 Vernon Street
Roseville, CA 95678

SUPPLIER

Carl Kinney
Dunn-Edwards Corporation
1850 Douglas Boulevard Suite 200
Roseville, CA 95661



The foregoing individuals, or their designees, shall also serve as the coordinators for administration of this Agreement by each party.

6. This Agreement incorporates the entire understanding of the parties hereto. Any amendment hereto shall be in writing and shall not become effective unless executed by both parties hereto.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20__, and SUPPLIER has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation

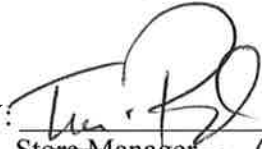
BY: _____
ROB JENSEN
Acting City Manager

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

DUNN-EDWARDS CORPORATION,
a Delaware corporation

BY:  2/23/16
Store Manager Credit Manager

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY:  _____
CHRIS ROBLES
Economic Development Director

VOUCHER REIMBURSEMENT AGREEMENT

THIS AGREEMENT is made and entered into this ____ day of _____, 20____, by and between the City of Roseville, a municipal corporation ("CITY"), and Kelly-Moore Paint Company, Inc., a California corporation, for the store located at 1018 Douglas Blvd., Roseville, CA 95678 ("SUPPLIER"); and

W I T N E S S E T H:

WHEREAS, CITY has established the “Beautifying My Neighborhood” Exterior Paint Program (“Program”) utilizing federal Community Development Block Grant funds for the purpose of assisting low and moderate income homeowners (“Grantees”) to paint their residences; and

WHEREAS, SUPPLIER has submitted to CITY a letter of interest to participate in the City’s Program and accept payment by CITY Program vouchers; and

WHEREAS, CITY has selected SUPPLIER to participate in the Program.

NOW, THEREFORE, the parties mutually agree as follows:

1. CITY has prepared eligibility guidelines for the Program pursuant to applicable federal and other requirements and shall identify qualifying Grantees eligible to receive supplies and services from SUPPLIER. CITY shall provide each Grantee with a voucher redeemable for up to seven hundred dollars (\$700) of paint and painting supplies from SUPPLIER.

2. SUPPLIER shall provide the following services to each eligible Grantee upon request of said Grantee:

- A. Advice and counseling on preparation and application of paint;
- B. Estimation of paint and other supply requirements and recommendation on color.

SUPPLIER shall provide to Grantee to use pursuant to the Program paint and supplies. SUPPLIER shall accept vouchers provided to Grantees by CITY as payment by Grantees for the supplies identified by SUPPLIER.

3. CITY shall, promptly upon presentation of vouchers from SUPPLIER, reimburse SUPPLIER therefore up to seven hundred dollars (\$700) per voucher. The CITY may authorize in its sole discretion, payment in excess of this maximum amount provided the CITY has approved said full amount in advance.

4. This Agreement shall commence upon execution by the parties and shall be for a five year term. Either party may terminate this Agreement without cause upon thirty (30) days written notice; provided, however, SUPPLIER shall honor all vouchers issued to eligible Grantees prior to issuance of a notice of termination and which are presented within sixty (60) days after the date of termination.

5. Notices to be provided under this Agreement shall be mailed to:

CITY OF ROSEVILLE

Chris Robles
Economic Development Director
311 Vernon Street
Roseville, CA 95678

SUPPLIER

David Lakey
Kelly-Moore Paint Company
1018 Douglas Boulevard
Roseville, CA 95678

The foregoing individuals, or their designees, shall also serve as the coordinators for administration of this Agreement by each party.

6. This Agreement incorporates the entire understanding of the parties hereto. Any amendment hereto shall be in writing and shall not become effective unless executed by both parties hereto.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20__, and SUPPLIER has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation

KELLY-MOORE PAINT COMPANY,
INC., a California corporation

BY: _____
ROB JENSEN
Acting City Manager

BY:  _____
Store Manager
DENNIS McDONALD

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY:  _____
CHRIS ROBLES
Economic Development Director

VOUCHER REIMBURSEMENT AGREEMENT

THIS AGREEMENT is made and entered into this ____ day of _____, 20____, by and between the City of Roseville, a municipal corporation ("CITY"), and The Sherwin-Williams Company, an Ohio corporation, for the store located at 212 Harding Blvd., Suite O, Roseville, CA 95678 ("SUPPLIER"); and

W I T N E S S E T H:

WHEREAS, CITY has established the "Beautifying My Neighborhood" Exterior Paint Program ("Program") utilizing federal Community Development Block Grant funds for the purpose of assisting low and moderate income homeowners ("Grantees") to paint their residences; and

WHEREAS, SUPPLIER has submitted to CITY a letter of interest to participate in the City's Program and accept payment by CITY Program vouchers; and

WHEREAS, CITY has selected SUPPLIER to participate in the Program.

NOW, THEREFORE, the parties mutually agree as follows:

1. CITY has prepared eligibility guidelines for the Program pursuant to applicable federal and other requirements and shall identify qualifying Grantees eligible to receive supplies and services from SUPPLIER. CITY shall provide each Grantee with a voucher redeemable for up to seven hundred dollars (\$700) of paint and painting supplies from SUPPLIER.

2. SUPPLIER shall provide the following services to each eligible Grantee upon request of said Grantee:

- A. Advice and counseling on preparation and application of paint;
- B. Estimation of paint and other supply requirements and recommendation on color.

SUPPLIER shall provide to Grantee to use pursuant to the Program paint and supplies, and SUPPLIER shall accept vouchers provided to Grantees by CITY as payment by Grantees for the supplies identified by SUPPLIER.

3. CITY shall, promptly upon presentation of vouchers from SUPPLIER, reimburse SUPPLIER therefore up to seven hundred dollars (\$700) per voucher. The CITY may authorize in its sole discretion, payment in excess of this maximum amount provided the CITY has approved said full amount in advance.

4. This Agreement shall commence upon execution by the parties and shall be for a five year term. Either party may terminate this Agreement without cause upon thirty (30) days written notice; provided, however, SUPPLIER shall honor all vouchers issued to eligible Grantees prior to issuance of a notice of termination and which are presented within sixty (60) days after the date of termination.

5. Notices to be provided under this Agreement shall be mailed to:

CITY OF ROSEVILLE

Chris Robles
Economic Development Director
311 Vernon Street
Roseville, CA 95678

SUPPLIER

Liz Sinclair
The Sherwin-Williams Company
212 Harding Boulevard, Suite O
Roseville, CA 95678

The foregoing individuals, or their designees, shall also serve as the coordinators for administration of this Agreement by each party.

6. This Agreement incorporates the entire understanding of the parties hereto. Any amendment hereto shall be in writing and shall not become effective unless executed by both parties hereto.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20____, and SUPPLIER has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation

THE SHERWIN-WILLIAMS COMPANY,
an Ohio corporation

BY: _____
ROB JENSEN
Acting City Manager

BY: W. J. Smelcer
Store Manager

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY:  _____
CHRIS ROBLES
Economic Development Director

VOUCHER REIMBURSEMENT AGREEMENT

THIS AGREEMENT is made and entered into this ____ day of _____, 20__,
by and between the City of Roseville, a municipal corporation ("CITY"), and Warehouse Paint,
Inc., a California corporation, for the store located at 8113 Auburn Blvd., Citrus Heights, CA
95610 ("SUPPLIER"); and

W I T N E S S E T H:

WHEREAS, CITY has established the "Beautifying My Neighborhood" Exterior Paint
Program ("Program") utilizing federal Community Development Block Grant funds for the
purpose of assisting low and moderate income homeowners ("Grantees") to paint their
residences; and

WHEREAS, SUPPLIER has submitted to CITY a letter of interest to participate in the
City's Program and accept payment by CITY Program vouchers; and

WHEREAS, CITY has selected SUPPLIER to participate in the Program.

NOW, THEREFORE, the parties mutually agree as follows:

1. CITY has prepared eligibility guidelines for the Program pursuant to applicable
federal and other requirements and shall identify qualifying Grantees eligible to receive supplies
and services from SUPPLIER. CITY shall provide each Grantee with a voucher redeemable for
up to seven hundred dollars (\$700) of paint and painting supplies from SUPPLIER.

2. SUPPLIER shall provide the following services to each eligible Grantee upon request of said Grantee:

- A. Advice and counseling on preparation and application of paint;
- B. Estimation of paint and other supply requirements and recommendation on color.

SUPPLIER shall provide to Grantee to use pursuant to the Program paint and supplies, and SUPPLIER shall accept vouchers provided to Grantees by CITY as payment by Grantees for the supplies identified by SUPPLIER.

3. CITY shall, promptly upon presentation of vouchers from SUPPLIER, reimburse SUPPLIER therefore up to seven hundred dollars (\$700) per voucher. The CITY may authorize in its sole discretion, payment in excess of this maximum amount provided the CITY has approved said full amount in advance.

4. This Agreement shall commence upon execution by the parties and shall be for a five year term. Either party may terminate this Agreement without cause upon thirty (30) days written notice; provided, however, SUPPLIER shall honor all vouchers issued to eligible Grantees prior to issuance of a notice of termination and which are presented within sixty (60) days after the date of termination.

5. Notices to be provided under this Agreement shall be mailed to:

CITY OF ROSEVILLE

Chris Robles
Economic Development Director
311 Vernon Street
Roseville, CA 95678

SUPPLIER

Brinda Jansen
Warehouse Paint
200 Palm Ave.
Auburn, CA 95603

The foregoing individuals, or their designees, shall also serve as the coordinators for administration of this Agreement by each party.

6. This Agreement incorporates the entire understanding of the parties hereto. Any amendment hereto shall be in writing and shall not become effective unless executed by both parties hereto.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20____, and SUPPLIER has caused this Agreement to be executed.

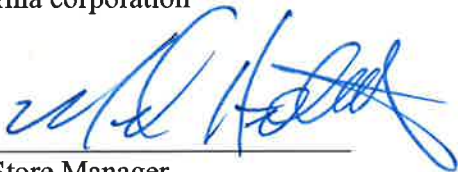
CITY OF ROSEVILLE, a
municipal corporation

BY: _____
ROB JENSEN
City Manager

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

WAREHOUSE PAINT, INC.,
a California corporation

BY:  _____
Store Manager

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY: _____
CHRIS ROBLES
Economic Development Director



COUNCIL COMMUNICATION

CC #: 7397

File #: 0214 & 0721

Title: Low Carbon Transit Operations Program Funds Application - Fiscal Year 2016

Contact: Elizabeth Haydu 746-1302 ehaydu@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.20.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council adopt a resolution directing the City to sign and submit the Fiscal Year 2016 Low Carbon Transit Operations Program (LCTOP) application and provide staff with the authority to execute all related documents following award of the funds by approving the attached resolution (see Exhibit A).

BACKGROUND

The LCTOP is one of several programs that are part of the Transit, Affordable Housing, and Sustainable Communities Program established by the California Legislature in 2014 by Senate Bill 862. The LCTOP was created to provide operating and capital assistance for transit agencies to reduce greenhouse gas emissions and improve mobility, with a priority on serving disadvantaged communities. Senate Bill 862 continuously appropriates five percent of the annual auction proceeds in the Greenhouse Gas Reduction Fund (GGRF) for LCTOP, beginning in FY16. Funds are then allocated to each regional transportation planning agency based upon a formula using both population and fare revenues. Each year the program is expected to provide more than \$100,000 of available LCTOP funds for Roseville Transit, depending upon the final auction proceeds of the GGRF, to be used in compliance with the program regulations.

Approved projects in LCTOP will support new or expanded bus or rail services, expand intermodal transit facilities, and may include equipment acquisition, fueling, maintenance and other costs to operate those services or facilities, with each project having to demonstrate a reduction of greenhouse gas emissions.

The LCTOP program is administered by the California Department of Transportation (Caltrans) in coordination with Air Resource Board (ARB) and the State Controller's Office (SCO). Caltrans is responsible to ensure that the statutory requirements of the program are met in terms of project eligibility, greenhouse reduction, disadvantaged community benefit, and other requirements of the law.

This fiscal year (FY16) a total of \$112,221 is available to Roseville Transit. In addition, Placer

County Transit has agreed to transfer \$50,000 of its share of LCTOP funds in FY16 to Roseville Transit as consideration for its fair share contribution of the capital costs remaining for the Louis Orlando Transfer Point (LOTP) Project – both Placer County Transit and Sacramento Regional Transit have an outstanding fair share contribution balance of \$167,700 for the LOTP Project. This transfer of funds will reduce Placer County's remaining fair share contribution to \$117,700 for the LOTP Project. Thus, Roseville Transit will apply for a total of \$162,221 for the Route S service enhancement in FY17. Staff has started planning for changes to Route S following the award of the funds from the State, which is expected to occur in March/April 2016. Staff anticipates these changes would be effective in September/October 2016. These funds are expected to pay the full cost of the route expansion in FY17. Further, staff expects the FY17 LCTOP allocation could also be used in FY18 for the same purpose.

Currently, Local Route S operates a limited schedule Monday through Friday during three peak travel periods (7:35 a.m. to 9:25 a.m.; 11:35 a.m. to 1:25 p.m.; and, 4:10 p.m. to 5:25 p.m.). Local Route S also has very limited stops with the primary destination being the Santucci Justice Center. The Short Range Transit Plan proposes that Local Route S operate from 6 a.m. to 6 p.m., Monday through Friday, and modifies the current alignment to provide service to the Thunder Valley Casino. These service enhancements will be considered in more detail with public outreach starting in early summer 2016, a public hearing before the Transportation Commission in late summer 2016, and a final approval being brought back to the City Council in late summer 2016. If approved by Council at this later date, the Local Route S service enhancements are expected to begin in early fall 2016.

FISCAL IMPACT

In total \$162,221 of LCTOP funds will be used to operate new services planned for Route S. The LCTOP funds represent an additional revenue source to operate a route that would otherwise use local funds. Route S service enhancements are expected to cost approximately \$162,500. Moreover, staff expects more than \$100,000 of LCTOP funds would be available in FY17 for Route S service enhancements to continue operating in FY18.

ECONOMIC DEVELOPMENT / JOBS CREATED

Using the guideline of one job per \$92,000.00 in government spending, the expected LCTOP funds for FY16 would translate to approximately 1.8 jobs created.

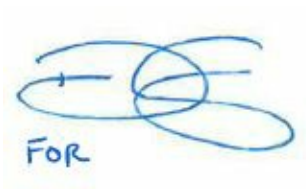
ENVIRONMENTAL REVIEW

Exempt from environmental review as this is not a project defined by the California Environmental Quality Act (CEQA).

Respectfully Submitted,

Elizabeth Haydu, Financial Analyst

Rhon Herndon, Public Works Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-82

Authorized Agent

LCTOP Fund Allocation FY15-16

RESOLUTION NO. 16-82

AUTHORIZING CITY MANAGER OR HIS DESIGNEE TO SUBMIT LOW CARBON
OPERATIONS PROGRAM GRANT APPLICATIONS AND TO EXECUTE THE RELATED
GRANT APPLICATIONS, FORMS AND AGREEMENTS

WHEREAS, the State of California recently allocated funds from the Greenhouse Gas Reduction Fund according to the requirements of the Low Carbon Transit Operations Program (LCTOP); and

WHEREAS, the City of Roseville is eligible to receive funds from LCTOP for transit related projects; and

WHEREAS, \$162,221 is available to the City of Roseville for Fiscal Year 2016; and

WHEREAS, staff recommends applying available funds from the Low Carbon Transit Operations Program for use in the Short Range Transit Plan to modify Local Route S.

NOW THEREFORE, BE IT RESOLVED, that the City Council of the City of Roseville hereby authorizes the City Manager or his designee to submit Low Carbon Transit Operations Program Grant applications and to execute the related grant applications, forms and agreements.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

PLACER COUNTY TRANSPORTATION PLANNING AGENCY
FY 2015/2016 LOW CARBON TRANSIT OPERATIONS PROGRAM FUND ALLOCATION (EXCLUDING TAHOE BASIN)
January 2015

PUC 99313 Allocation	\$284,597
PUC 99314 Allocation	\$50,176
Total LCTOP Allocation ⁽¹⁾	\$334,773

Allocation to WPCTSA \$0

Total PUC 99313 Allocation Available to Jurisdictions \$284,597

FY 2015/2016 Jurisdiction LCTOP Fund Allocation

Jurisdiction	January 2015 Population ⁽²⁾	Percent	PUC 99313 Population Allocation	PUC 99314 Fare Revenue Allocation	Total Jurisdiction Allocation	Reallocation to Transit Operator	FY 2015/16 Allocation Amount
Placer County	101,491	28.32%	\$80,592	\$39,096	\$119,688	\$1,583	\$121,271
Auburn	13,818	3.86%	\$10,973	\$315	\$11,288	\$0	\$11,288
Colfax ⁽³⁾	1,994	0.56%	\$1,583	\$0	\$1,583	(\$1,583)	\$0
Lincoln	45,837	12.79%	\$36,398	\$490	\$36,888	\$0	\$36,888
Loomis	6,623	1.85%	\$5,259	\$0	\$5,259	\$0	\$5,259
Rocklin	60,252	16.81%	\$47,845	\$0	\$47,845	\$0	\$47,845
Roseville	128,382	35.82%	\$101,946	\$10,275	\$112,221	\$0	\$112,221
TOTAL	358,397	100.00%	\$284,597	\$50,176	\$334,773	\$0	\$334,773

Notes: (1) 2015/2016 Low Carbon Transit Operations Program Eligible Fund Allocation, California State Controller Division of Accounting and Reporting, October 30, 2015.

(2) Table E-1: City/County Population Estimates January 1, 2014 to January 1, 2015, DOF, May 1, 2015.

(3) The City of Colfax did not have an eligible project and the funding will be reallocated to Placer County for us on regional projects.

PUC = Public Utilities Code

FY 2015/2016 LCTOP Project Summary

Jurisdiction	Project Title	FY 2015/16 Allocation Amount
Placer County	2nd Year Funding for Year Round SR 267 Service	\$71,271
	2nd Year Funding for Saturday Fixed Route Service in Lincoln ⁴	\$36,888
	2nd Year Funding for Expanded Service to Rocklin Commons and Rocklin Crossings Commercial Centers ⁴	\$10,000
Auburn	Bus Stop Enhancement Plan	\$11,288
Colfax	N/A	\$0
PCTPA	Regional Bus Stop Enhancement Plan in the Town of Loomis and City of Rocklin ⁵	\$43,104
Roseville	Route S Service Expansion ⁶	\$162,221
FY 2015/16 Total		\$334,773

Notes: (4) Placer County will be the grant recipient and adhere to the reporting requirements of the grant as agreed.

(5) Placer County Transportation Planning Agency (PCTPA) will be the grant recipient and adhere to the reporting requirements of the grant as agreed.

(6) Includes \$50,000 contribution from Placer County towards the Louis Orlando Transfer Center. The City of Roseville will apply these funds to the Route S Service Expansion project.

January 1, 2015 DOF Population Estimates ⁽⁷⁾		
TRPA Population	11,057	2.9928%
PCTPA Population	358,397	97.0072%
TOTAL	369,454	100.00%

Notes: (7) Western Slope and Tahoe Basin for Placer County as of January 1, 2015, DOF, June 2015.



COUNCIL COMMUNICATION

CC #: 7542
File #: 0109-02

Title: Street Closure Request - I Love the 80's Fun Run - May 1, 2016
Contact: Jamie Hazen 774-5978 jhazen@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.21.

RECOMMENDATION TO COUNCIL

Recommend Council adopt a resolution approving street closures in the downtown area on May 1, 2016 for the *I Love the 80's Fun Run* from 5:00 a.m.-12:00 p.m.

Exhibit A: *List of streets to be closed* **Exhibit C:** *10K route map*
Exhibit B: *5K run route map*

BACKGROUND

The Parks, Recreation & Libraries Department will be hosting the *I Love the 80's Fun Run* on Sunday, May 1, 2016. The fun run begins at 9:00 a.m. Proceeds from this event will benefit the City of Roseville's At Risk Youth Programs. The event will feature a "fun zone" at the Vernon Street Town Square which includes a DJ, a large tent to host a dance party, celebrity impersonators, photo opportunities and nostalgic items on display.

For the past three years, Downtown Roseville has hosted the Turkey Trot Fun Run on Thanksgiving Day; this new event will utilize the same route, which has received positive feedback from staff, run organizers and participants in regards to the route and the space in the Vernon Street Town Square. It is the intention that the *I Love the 80's Fun Run* event becomes an annual event for Downtown Roseville.

City staff will be sending out informational letters to all the residents and businesses that may be impacted by closing streets and creating detours for this event. Although staff is requesting streets to be closed until 12:00 p.m., some neighborhood streets will reopen as soon as the last runner/walker passes through. Staff will monitor the flow of the event and will reopen streets earlier, if possible.

In addition to notifying residents and businesses directly impacted, we continue to distribute the "Downtown Roseville Area Street Closures" e-newsletter. Anyone interested can sign up for this e-newsletter or any other city e-newsletter at www.roseville.ca.us/portal. Once a month a listing of all approved future planned street closures in the downtown area will be sent to those subscribers. Finally, this event supports the City Council's goal of a vibrant downtown with both the run/walk and after-run dance party/social in the Vernon Street Town Square.

FISCAL IMPACT

Funding for this event is supported by the Parks, Recreation & Libraries Department FY2015/16 Budget.

ECONOMIC DEVELOPMENT / JOBS CREATED

Although there are no jobs created as a result of event, the exposure could have a positive impact to the businesses in the downtown area.

ENVIRONMENTAL REVIEW

To safely accommodate the participants this event requires temporary street closures. The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). Because the request for temporary street closures does not include the potential for a significant environmental effect, it is not subject to CEQA.

Respectfully Submitted,

Jamie Hazen, Community Relations Analyst

Dion Louthan, Parks, Recreation & Libraries Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution 16-102

Exhibit A Street Closures

Exhibit B 5K Course

Exhibit C 10K Course

RESOLUTION NO. 16-102

RESOLUTION OF THE COUNCIL OF THE CITY OF ROSEVILLE APPROVING
THE CLOSING OF CERTAIN STREETS FOR THE *I LOVE THE 80's* FUN RUN

WHEREAS, City staff is requesting the closure of certain streets for the *I Love the 80's* Fun Run, and

WHEREAS, the Council desires to formally approve said request, with certain conditions,

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that the request to hold the *I Love the 80's* Fun Run ("the event") on Sunday, May 1, 2016, is hereby approved subject to the following terms and conditions:

1. The following streets may be closed to traffic between 5:00 a.m. and 12:00 p.m. on Sunday, May 1, 2016:

- a. Vernon Street, between 6th Street and Taylor Road; and
- b. Vernon Street, between Taylor and Folsom Roads; and
- c. Folsom Road, between Yosemite Road and Maciel Avenue; and
- d. 6th Street, between Vernon Street and Mayfair Drive; and
- e. 5th Street, between Vernon and D Streets; and
- f. E Street, between Vernon & 6th Streets; and
- g. Taylor Street, between Atlantic and Vernon Streets; and
- h. S. Grant Street, between Atlantic and Oak Streets; and
- i. Atlantic Street, between Taylor and Yosemite Streets; and
- j. Linda Drive, between Lincoln Street and Folsom Road; and
- k. E. Washington Boulevard, between Atlantic and Vernon Streets; and
- l. Lincoln Street, between Atlantic and Oak Streets; and
- m. Jefferson Street, between Atlantic and Vernon Streets.

2. Adequate barriers and warning signs, as requested by the City Manager, shall be placed at all street entrances and alleys leading into the closed area. No markings of any kind will be permitted on the street.

3. All debris, booths, exhibits, or other materials resulting from the event shall be completely removed and the alleyway shall be reopened to traffic by 12:00 p.m. on the date of the event.

4. The City of Roseville shall notify in writing all businesses located on the streets to be closed of the event and the planned street closures not later than five (5) days in advance of the event.

PASSED AND ADOPTED by the Council of the City of Roseville this _____ day of _____, 20____, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

Street Closures for the I Love the 80's Fun Run on May 1, 2016. Event time 9am-11:30am.

The times listed are approximates. We will make every attempt to re-open streets as soon as possible and when all is safe for participants.

<u>Streets</u>	<u>Closed</u>	<u>Re-opened</u>
Vernon St between 6 th St. & Taylor Rd.	5:00am	10:30am
Vernon St between Taylor Rd. & Folsom Rd.	5:00am	12:00pm
Folsom Rd between Yosemite Rd. & Maciel Ave.	8:00am	12:00pm
6 th Street between Vernon & Mayfair Dr.	8:30am	10:00am
5 th Street between Vernon & D St.	8:30am	10:00am
E Street between Vernon & 6 th St.	8:30am	10:00am
Taylor St. between Atlantic & Vernon	5:00am	10:30am
S. Grant St. between Atlantic & Oak	5:00am	12:00pm
Atlantic St between Taylor St & Yosemite	5:00am	12:00pm
Linda Dr between Lincoln & Folsom Rd	5:00am	12:00pm
E. Washington between Atlantic & Vernon	5:00am	12:00pm
Lincoln St between Atlantic & Oak	5:00am	12:00pm
Jefferson St. between Atlantic & Vernon	5:00am	12:00pm

Traducción en Español de espalda

Las calles siguientes estaran cerradas para el evento del maraton “Me Encantan los 80’s” Mayo 1 de 2016. El evento es de 9am-11:30am.

Los tiempos mencionados son aproximados. Haremos todo lo posible para volver a abrir las calles tan pronto como sea posible y cuando todo es seguro para los participantes.

Calles		cerraron	re-abierto
Vernon St	entre 6th St & Taylor Rd.	5:00am	10:30am
Vernon St	entre Taylor Rd. & Folsom Rd.	5:00am	12:00pm
Folsom Rd	entre Yosemite Rd. & Maciel Ave.	8:00am	12:00pm
6 th St.	entre Vernon & Mayfair Dr.	8:30am	10:00am
5 th St.	entre Vernon & D St.	8:30am	10:00am
E calle	entre Vernon & 6th St.	8:30am	10:00am
Taylor St	entre Atlantic St & Vernon St.	5:00am	10:30am
S. Grant St	entre Atlántico & Oak St	5:00am	12:00pm
Atlantic St	entre Taylor St & Yosemite	5:00am	12:00pm
Linda Dr	entre Lincoln & Folsom Rd	5:00am	12:00pm
E. Washington	entre Atlantic & Vernon	5:00am	12:00pm
Lincoln St	entre Atlantic & Oak St	5:00am	12:00pm
Jefferson St	entre Atlantic & Vernon	5:00am	12:00pm

English Translation on back

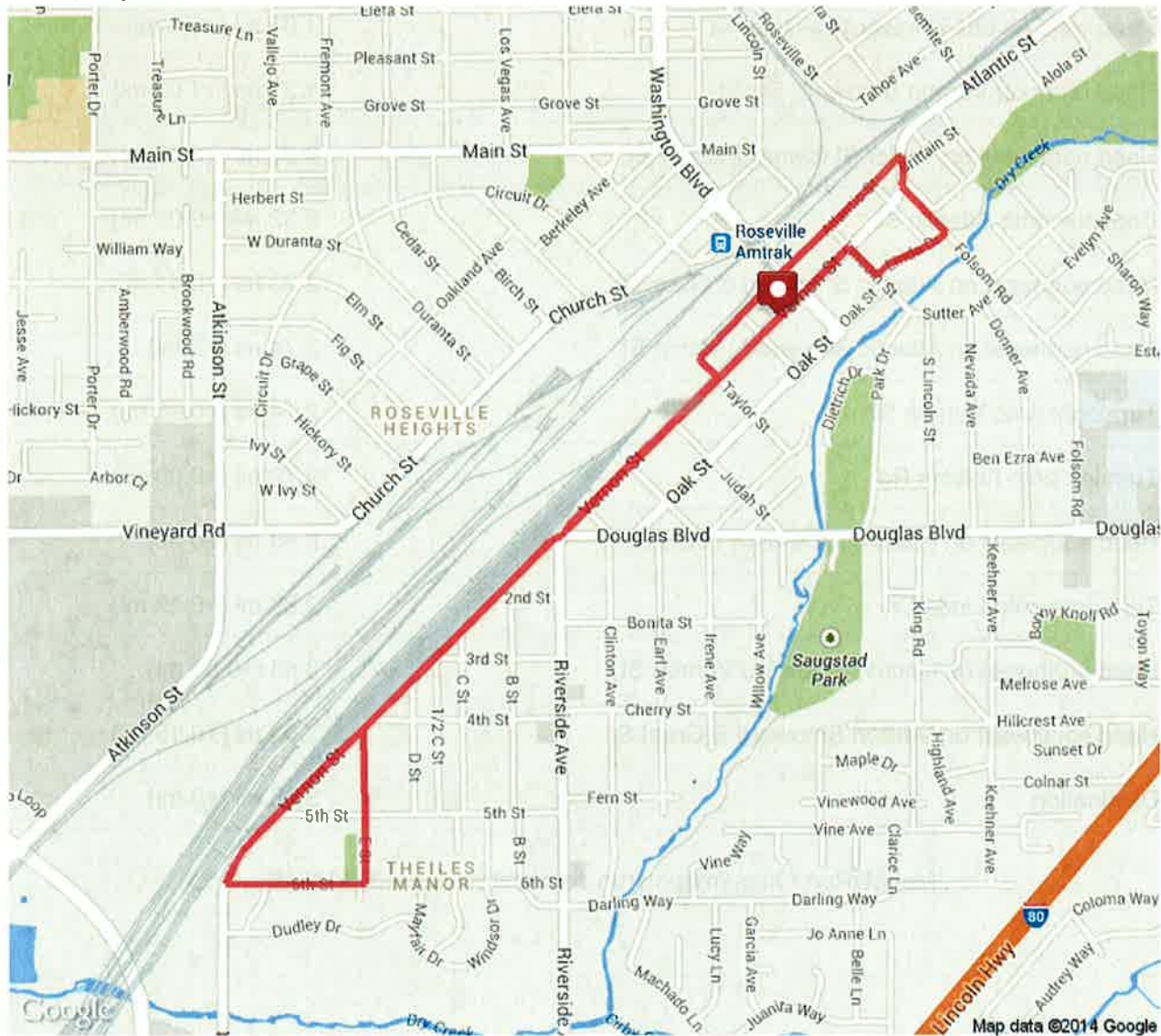


mapmyrun

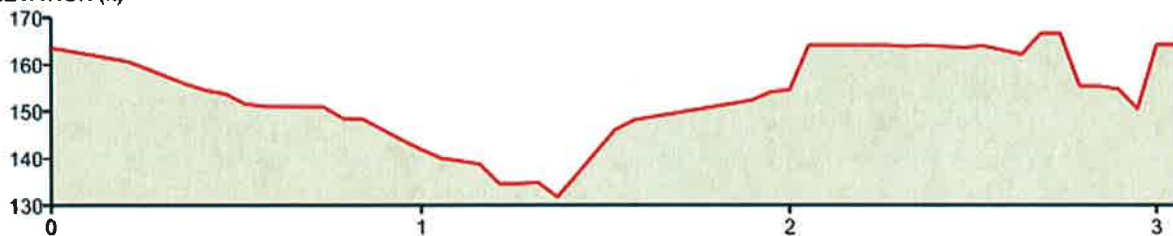
5K_2014

Distance: 3.05 mi

Elevation: 31.98 ft (Max: 168.64 ft)



ELEVATION (ft)



Miles

Copyright (c) 2014 MapMyFitness Inc.

Head southwest on Vernon St toward S Grant St	0 mi (+0.84 mi)
Head south on E St toward 5th St	0.84 mi (+0.22 mi)
Turn right onto 6th St Destination will be on the right	1.06 mi (+0 mi)
Head west on 6th St toward La Rosa Ct	1.07 mi (+0.2 mi)
Head north on Vernon St toward 5th St	1.27 mi (+1.02 mi)
Head northwest on Taylor St toward Atlantic St	2.29 mi (+0.03 mi)
Continue onto Atlantic St	2.32 mi (+0.01 mi)
Head northeast on Atlantic St toward S Grant St	2.33 mi (+0.41 mi)
Head northeast on Atlantic St toward Vernon St	2.74 mi (+0 mi)
Turn right onto Vernon St	2.74 mi (+0.05 mi)
Turn left onto Folsom Rd	2.79 mi (+0.09 mi)
Head southeast on Folsom Rd toward Linda Dr	2.88 mi (+0 mi)
Turn right onto Linda Dr	2.88 mi (+0.12 mi)
Head northwest on Lincoln St toward Vernon St	3 mi (+0.06 mi)
Head southwest on Vernon St toward S Grant St	3.05 mi (+0.15 mi)
Destination	3.05 mi (+0 mi)

MapMyRun • <http://mapmyrun.com/routes/view/422418640>

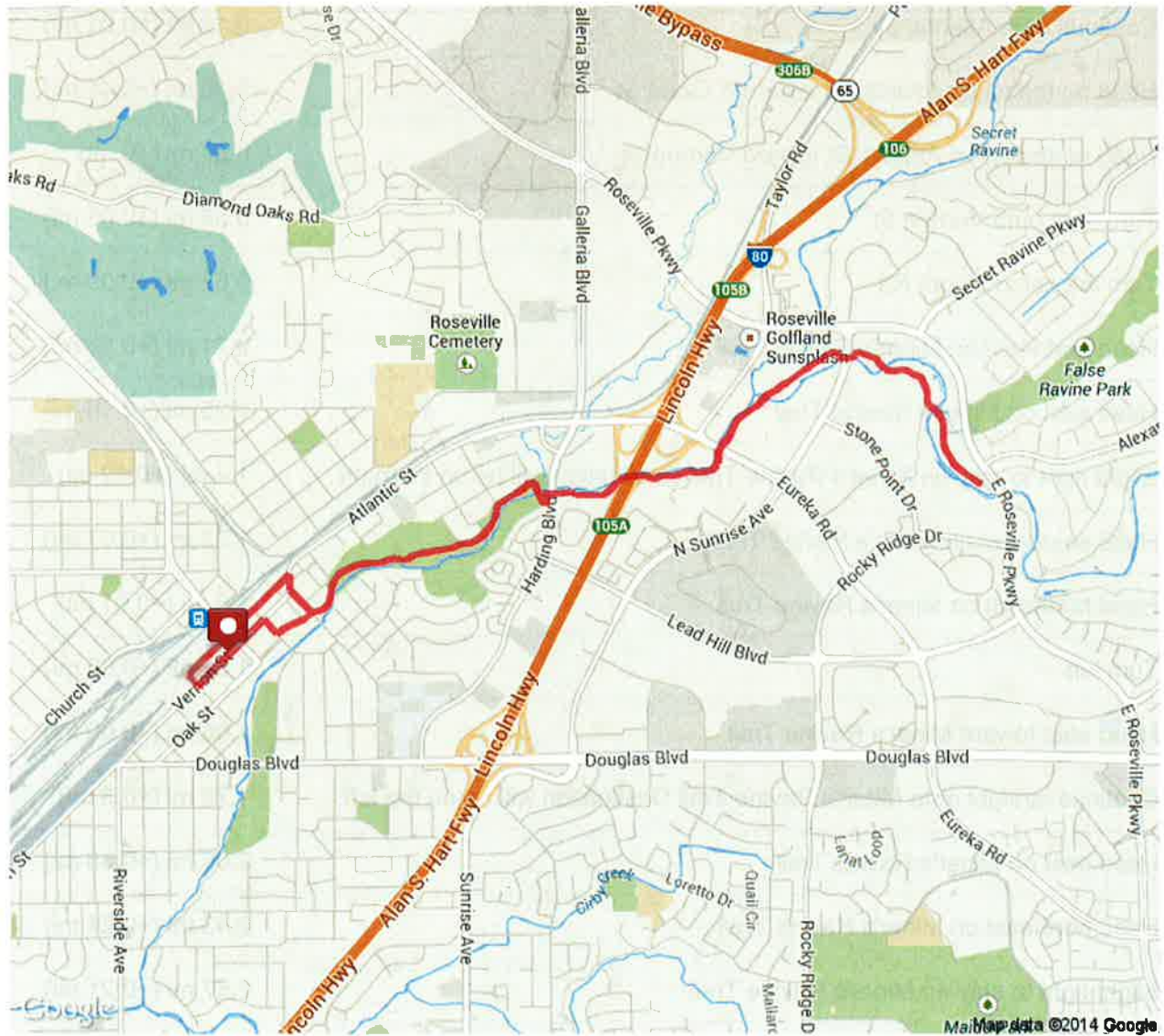


mapmyrun

10K_2014

Distance: 6.16 mi

Elevation: 96.69 ft (Max: 227.0 ft)



Head southwest on Vernon St toward S Grant St	0 mi (+0.12 mi)
Head northeast on Vernon St toward Taylor St	0.12 mi (+0 mi)
Turn left onto Taylor St	0.12 mi (+0.03 mi)
Continue onto Atlantic St	0.16 mi (+0.01 mi)
Head northeast on Atlantic St toward S Grant St	0.16 mi (+0.41 mi)
Head northeast on Atlantic St toward Vernon St	0.57 mi (+0 mi)
Turn right onto Vernon St	0.58 mi (+0.05 mi)
Turn left onto Folsom Rd	0.62 mi (+0.09 mi)
Head northeast on Miner's Ravine Trail	0.71 mi (+0.53 mi)
Head east on Miner's Ravine Trail	1.25 mi (+0.16 mi)
Slight right to stay on Miner's Ravine Trail Destination will be on the right	1.4 mi (+0.12 mi)
Head southeast on Miner's Ravine Trail	1.53 mi (+0.07 mi)
Head northeast on Miner's Ravine Trail	1.6 mi (+0.01 mi)
Turn left	1.61 mi (+0.05 mi)
Head east toward Miner's Ravine Trail	1.66 mi (+0.09 mi)
Continue straight onto Miner's Ravine Trail Destination will be on the left	1.75 mi (+0.3 mi)
Head east on Miner's Ravine Trail	2.05 mi (+0.38 mi)
Head northeast on Miner's Ravine Trail	2.43 mi (+0.24 mi)
Slight right to stay on Miner's Ravine Trail	2.67 mi (+0.21 mi)
Head east on Miner's Ravine Trail toward False Ravine Trail	2.87 mi (+0.48 mi)
Head northwest on Miner's Ravine Trail toward False Ravine Trail	3.35 mi (+0.9 mi)
Head southwest on Miner's Ravine Trail	4.25 mi (+0.59 mi)
Head southwest on Miner's Ravine Trail	4.84 mi (+0.11 mi)
Slight right	4.95 mi (+0.1 mi)
Head south toward Miner's Ravine Trail	5.05 mi (+0.04 mi)

Turn right onto Miner's Ravine Trail	5.09 mi (+0.09 mi)
Head southwest on Miner's Ravine Trail toward Folsom Rd	5.18 mi (+0.62 mi)
Head southwest on Miner's Ravine Trail toward Folsom Rd	5.79 mi (+0.19 mi)
Continue onto Linda Dr	5.99 mi (+0.12 mi)
Head northwest on Lincoln St toward Vernon St	6.1 mi (+0.06 mi)
Head southwest on Vernon St toward S Grant St	6.16 mi (+0.15 mi)
Destination	6.16 mi (+0 mi)

MapMyRun • <http://mapmyrun.com/routes/view/423859930>



COUNCIL COMMUNICATION

CC #: 7512

File #: 0721

Title: Municipal Code Amendment - Transportation Systems Management

Contact: Sue Schooley 774-5365 sschooley@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.22.

RECOMMENDATION TO COUNCIL

The Transportation Commission recommends that the City Council introduce for first reading an Ordinance Amendment for portions of the Roseville Municipal Code Title 11, Transportation Systems Management.

BACKGROUND

On February 16, 1983, the City adopted a Rideshare Ordinance as a prerequisite for the Highway 65 bypass state funding. In addition, the City adopted the ordinance to address the transportation commuting needs of citizens and employees in Roseville.

In 1991, at the request of the Transportation Commission and the City Council, staff rewrote the ordinance to reaffirm the City's commitment to reducing congestion and preserving the quality of life in Roseville. The result was the Transportation Systems Management (TSM) Ordinance.

In 1997, the City Council adopted a revised TSM Ordinance. The intent of that revision was to refine the ordinance, clarify terms and concepts, and provide additional mitigation measures.

In 1998, the South Placer Transportation Management Association (TMA) dissolved. The TMA was both a mitigation measure in the TSM Ordinance, as well as the liaison between the City and businesses. Therefore, businesses were unable to comply with the existing TSM Ordinance. In May 1999, the City Council adopted the current TSM Ordinance, which placed the City of Roseville in the role of administrator of the TSM Ordinance and implemented a triennial survey for employment centers having fifty (50) or more full time employees.

On February 16, 2016 the Transportation Commission reviewed the proposed TSM Ordinance Amendment and recommended that the City Council introduce the first reading of the Ordinance. There are two parts to this TSM Ordinance amendment.

The first is to update terminology such as changing "Guaranteed" Ride Home to "Emergency" Ride Home to be consistent with terms used in the regional program. Also, population figures have been updated to reflect the most recent census data. Those two changes are the "clean up" items.

The second change will reduce the frequency of the Transportation Survey. Since the TSM Ordinance update in 1999, the Transportation Survey has been conducted every three years (triennial survey).

For the past five survey cycles (15 years) the results of the survey are very consistent with incremental increases in carpoolers, bicyclists, transit riders, walkers and telecommuters. The survey is a time intensive project for property managers, local businesses and city staff. The business community requested, and staff concurred, that the surveys should be conducted less frequently. At its regularly scheduled meeting on February 16, 2016, the Transportation Commission considered this item. A representative from Placer County was present and spoke in favor of the Ordinance amendments as a tenant at multiple sites within Roseville. The Transportation Commission voted unanimously (8-0) to recommend that the survey be changed to every five years rather than every three years, and to include other minor amendments (i.e., the "clean up items mentioned above) as recommended by staff. The Commission was informed by staff that, if the item was approved by the City Council, the next Transportation Survey cycle would be in 2018.

FISCAL IMPACT

There will be a nominal cost savings of approximately \$2,000 per year. The current triennial survey costs approximately \$20,000 every three years. With the proposed survey every five years, there will be a savings of \$20,000 over the ten year period.

ECONOMIC DEVELOPMENT / JOBS CREATED

No jobs will be created as a result of this update.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The Transportation Systems Management Ordinance Amendment does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Sue Schooley, Alternative Transportation Analyst

Rhon Herndon, Public Works Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

TSM Ordinance Changes - 1st Reading

Transportation Commission Meeting Special Presentation/Reports

ORDINANCE NO. ____

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING
SECTIONS 11.33.010, 11.33.030, 11.33.080, 11.33.120 AND 11.33.130 OF CHAPTER 11.33
OF TITLE 11 OF THE ROSEVILLE MUNICIPAL CODE REGARDING
TRANSPORTATION SYSTEMS MANAGEMENT

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. Section 11.33.010 of Chapter 11.33 of Title 11 of the Roseville Municipal
Code is hereby amended to read as follows:

11.33.010 Findings.

The city council of the City of Roseville finds and determines that:

A. A significant level of additional public service, private enterprise and residential
development is anticipated in the South Placer region, including the City of Roseville, during the
next decade.

B. Concurrent with this urban growth will be an increase in road congestion and air
pollution.

C. As of January 2014, the population of Roseville was approximately 128,000.
Sacramento Area Council of Governments (SACOG) estimates Roseville population at

approximately 172,000 by 2035. ~~Adoption of several specific plans which designate land uses for an ultimate population range from 100,000 to 107,500 at full development of the community by the year 2010 demonstrates~~ As population increases, coupled with the increases in employment, the need for travel modes other than single occupant vehicles in order to minimize road congestion and air pollution in the region, and to fulfill the goals, policies and implementation measures in the general plan is needed.

D. Ridesharing and other alternative transportation modes among employees for home to work trips is a simple, inexpensive and effective means of reducing peak hour, single occupant motor vehicle trips. A reduction in such trips can be expected to result in an improvement to the region's air quality, and a reduction in traffic congestion and energy consumption impacts relating to anticipated growth.

E. Implementation of a transportation systems management program focusing on alternative transportation modes is required to protect the public health, safety and welfare.

F. Cooperation with and coordination of transportation systems management programs with other cities, counties, and communities in the region and through regional agencies will demonstrate the viability and enhance the effectiveness of such programs.

SECTION 2. Section 11.33.030 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.030 Definitions.

As used in this chapter, the following terms shall be given the meaning ascribed to them in this section.

A. **Applicant.** An applicant for any development approval, design review permit, tentative subdivision map or parcel map or for a use or uses which, individually or collectively, may be reasonably expected to generate employment for 50 or more employees at a major common work location.

B. **Carpool.** A motor vehicle occupied by two or more, but less than eight persons traveling to a common destination. Employees who work for different employers as well as non-employed persons, excluding children, are included within the definition so long as they are in the vehicle for the majority of the total trip distance.

C. **Commute Trip.** The trip made by an employee from home-to-work or work-to-home. The commute trip may include stops between the home and the work site.

D. **Common Work Location.**

1. A common work location shall consist of any office, commercial or industrial project or a single employer in separate or common ownership having more than 10 but fewer than 50 employees, which can generally be characterized by one or more of the following:

- a. Known by a common name given to the project by its developer;
- b. Governed by a common set of covenants, conditions, and restrictions, which requires common area maintenance agreement or owner's association;

- c. Approved, or is to be approved, as a single entity by the city;
- d. Covered by a single parcel map or final subdivision map; or
- e. Functions as a single retail, business center, or industrial park.

2. A “major common work location” is any common work location as defined in this section with 50 or more employees.

E. **Commuter.** An employee who travels regularly to and from a common work location or major common work location two or more days a week.

F. **Commuter Rideshare Matchlisting Service.** The rideshare program and commuter information service operated by the Sacramento Area Council of Governments (SACOG).

G. **Existing TSM Plan and TSM Agreement.** A TSM plan and TSM agreement existing prior to the adoption of this amendment to this chapter.

H. **Flexible Work Hours.** A system for shifting the workday of an employee so that the workday starts and/or ends outside of the peak hours.

I. **Level of Service.** A scale that measures the operating capacity likely to be encountered on a roadway or at the intersection of roadways, based on a volume-to-capacity ratio, with levels ranging from A to F, with A representing the lowest ratio and [F](#) the highest level of service.

J. **Peak Hours.** The periods of the day with the greatest volume of vehicular street traffic. In Roseville, the peak hours are 7:30 a.m. to 8:30 a.m. and 4:30 p.m. to 5:30 p.m. from Monday through Friday.

K. **Peak Hour Commuter.** A commuter who regularly arrives at, or departs from, a common work location or major common work location during the peak hours.

L. **Peak Hour Trip.** An arrival at, or departure from, a common work location or major common work location during the peak hours by a motor vehicle.

M. **Placer County Transportation Planning Agency (PCTPA).** The Regional Transportation Planning Agency (RTPA) for the Placer County jurisdictions, except for that portion of the county within the Tahoe Regional Planning Agency (TRPA). One of the PCTPA's responsibilities is maintaining the congestion management program (CMP) which is to coordinate the interrelationship of transportation, land use and air quality including trip reduction and travel demand. Some of the programs implemented by PCTPA include the guaranteed ride home program, marketing materials for alternative transportation promotions and site coordinator meetings.

N. **Project Controller.**

1. A project controller is the owner, lessor and/or manager of a common work location whose tenants, individually or collectively, employ 10 or more but less than 50 employees, or an employer who employs 10 or more but less than 50 employees.

2. A major project controller is the owner, lessor, and/or manager of a major common work location whose tenants, individually or collectively, employ 50 or more employees, or an employer who employs 50 or more persons.

O. **Ridesharing.** A commute alternative to driving alone, which includes but is not limited to carpooling, vanpooling, public transit, walking and bicycling.

P. **Shift of Employment.** Any group of employees who work at a common work location or major common work location and who arrive and depart from work in a common time interval not greater than one hour.

Q. **Single Occupant Vehicle (SOV).** A motor vehicle occupied by one employee for commute purposes.

R. **Telecommuting (also known as Telework).** A system of working at home or at an off-work site (non-home telecommute facility) for the full work day on a regular basis for at least one day per week.

S. **Transportation Commission.** The transportation commission of the City of Roseville.

T. **Transportation Coordinator.** The person responsible for implementing a TSM plan including employee education, in-house rideshare matching, and posting of information relating to alternative transportation.

1. City Transportation Coordinator. The transportation coordinator of the City of Roseville as designated by the city manager.

2. **Site Transportation Systems Management (TSM) Coordinator.** The person designated by the employer, property manager, or major project controller who is responsible for implementing a TSM plan at the major common work location. Such person is commonly referred to as the employee transportation coordinator (ETC) or the property transportation coordinator (PTC).

U. **Transportation Survey.** A survey report designed and distributed by the city's transportation coordinator and filled out by the site TSM coordinator which provides employee commute information in order to calculate peak order vehicle reduction, as well as alternative transportation effectiveness. Such survey shall be conducted every five years, beginning in the year 2018 for employee commute methods in 2017.

VU. **Transportation Systems Management (TSM) Agreement.** Written agreement with the city obligating the applicant to comply with the TSM Plan.

WV. **Transportation Systems Management (TSM) Handbook.** An explanatory guide meant to provide clarification for site TSM coordinators and other interested persons. The TSM Handbook describes how TSM plans are prepared by applicants, reviewed by the city and implemented by the site TSM coordinator.

XW. **Transportation Systems Management (TSM) Plan.** A plan submitted by an applicant and approved by the city pursuant to this chapter that is designed to reduce the number of vehicle trips to and from a major common work location. Such plan attempts to improve the movement of persons by providing employees with information regarding better and more efficient utilization of existing infrastructure (e.g., streets, roads, freeways) and new and

innovative alternative commute modes (e.g., transit, carpooling, bicycling, telecommuting) in accordance with this chapter.

~~X. **Triennial Survey.** A survey report form designed and distributed by the city's transportation coordinator and filled out by the site TSM coordinator which provides employee commute information in order to calculate peak hour vehicle reduction, as well as alternative transportation effectiveness. Such survey shall be conducted every three years, beginning in the year 2000.~~

Y. **Vanpool.** A motor vehicle suited for occupancy by more than seven but less than 16 persons including the driver, traveling to and from work.

SECTION 3. Section 11.33.080 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.080 Site TSM coordinator's responsibilities.

The site TSM coordinator's responsibilities shall include:

A. **Posting TSM Information.** Posting by the site TSM coordinator in a conspicuous place or places for employees, informational material provided by the city transportation coordinator, PCTPA, other regional rideshare agencies or prepared by the site TSM coordinator to encourage alternative transportation methods. Such informational material shall be kept current and may include, but is not limited to, the following:

1. Current schedules, rates, procedures for obtaining transit passes, and routes of public transit service to the major common work location.

2. Bicycle route maps.

3. Posters or flyers encouraging the use of ridesharing and referrals to sources of information concerning ridesharing.

4. Information regarding available services that will eliminate vehicle trips.

B. Marketing the Commuter Rideshare Matchlisting Service. Annually disseminating to all tenants and employees, or to new tenants and employees when hired, written information provided by the city transportation coordinator and/or other regional rideshare agencies regarding regional commuter rideshare match listing services.

C. Promoting the ~~Guaranteed~~Emergency Ride Home Program. The site TSM coordinator shall promote the ~~guaranteed~~emergency ride home program. The program provides for the transportation of employees who use alternative transportation modes for home to work commuting in case of a personal, family or other major emergency. The program is designed to help employees get home, to a hospital, clinic, child's daycare or school. The guaranteed ride home is a service provided by Placer County transportation planning agency (PCTPA).

D. Participating in Training Opportunities. The site TSM coordinator will be invited to training events offered by the city's TSM coordinator and/or PCTPA. These training events will include information and materials for promoting such programs as Spare the Air, Clean Air Month, ~~National~~ Bike Month, and information for implementing alternative transportation

promotions. The city believes these training programs will be beneficial to the community and will help site TSM coordinators implement their TSM plans. Each site TSM coordinator or his or her designee is expected to attend a minimum of two training events per year.

E. Promoting Alternative Transportation Opportunities. In addition to the above programs, the site TSM coordinator, working in conjunction with the city transportation coordinator, shall encourage employers and employees to use alternative transportation. Such alternative transportation promotional opportunities include, but are not limited to, the following:

1. In-House Carpool Matching Service. Conduct a survey of all employees in order to identify persons interested in being matched into carpools. Potential carpools are then matched by work address and shift. Such survey can be done on an annual basis and for all new employees interested in ridesharing.

2. Telecommuting ([also known as telework](#)). Telecommuting by allowing employees to work periodically from their home or an off-site location close to home.

3. Transit Pass Subsidy. Promoting the use of public transportation by providing to employees on a monthly basis a transit pass subsidy to help offset the cost to the employee. The city transportation coordinator will work with the site TSM coordinator on promoting public transit and procuring passes.

4. Vanpool Program. Promoting vanpooling to employees as a cost effective way to commute to work. The city transportation coordinator will work with the site TSM coordinator to help implement the vanpool program. Typically, the employees lease a van and the vanpool participants shall cover the operating costs for the van.

5. Variable Work Hours. Encouraging employers and employees to eliminate commute trips or relocate the commute trip out of the peak period through the use of:

a. Compressed Work Weeks. A work schedule for an employee which eliminates at least one round trip commute biweekly. For example, 40 hours of work in four 10-hour days or a work plan that allows one day off every other week, known as the nine-eighty plan;

b. Staggered work hours involving a shift in the set work hours of all employees at the workplace; and

c. Flexible work hours involving individually determined work hours within guidelines established by the employer.

6. Showers and Lockers. To help encourage bicycling as a viable commute transportation alternative, major project controllers shall consider installing showers and lockers for their employees.

SECTION 4. Section 11.33.120 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.120 ~~Triennial~~ Transportation survey report required.

The city transportation coordinator shall prepare and distribute a survey report form to the major project controller. Each major project controller subject to the requirements of this chapter, and all successors in interest of said major project controller obligated to carry out a TSM plan or any part thereof, shall submit a ~~triennial~~ transportation survey report to the city

transportation coordinator no later than April 1st describing the effectiveness of the TSM plan.

The report shall contain at a minimum the following information:

A. Employee Characteristics. Base information regarding employees, including:

1. The number of employees;
2. The number of employees at each workplace, if more than one workplace is involved;
3. The number of employees per shift at each workplace;
4. The start and stop hours of each employee;
5. The city and zip code of each employee's residence;
6. The distance and commute time for each employee from residence to work.

B. Site Characteristics. Site specific information, including:

1. The number of off-street parking spaces provided by the employer for the employees;
2. The number of off-street parking spaces provided by the employer available to non-employees per day.

C. Description. A description of the measures taken to comply with this chapter, including an accounting of the resources expended on TSM promotional activities.

D. Use. The average number of tenants and/or employees regularly arriving at and leaving the major common work location for the reporting period by each of the following methods of transportation:

1. Single occupant vehicles (SOV);
2. Carpooling (survey to include number of vehicles and number of occupants per vehicle);
3. Vanpooling (survey to include number of vehicles and number of occupants per vehicle);
4. Public transit;
5. Bicycling;
6. Telecommuting;
7. Walking;
8. All others.

E. The total number of employees participating in a variable work hours program pursuant to Section 11.33.080(E)(5) of this chapter and a description of that program.

F. A description of any employer sponsored vanpool or transit pass subsidy programs.

G. The name, address, and telephone number of the site TSM coordinator.

H. Statement of Implementation. The major project controller shall state how the TSM plan has been implemented during the past three years and demonstrate that the 20 percent minimum trip reduction goal has been achieved. If the 20 percent minimum trip reduction goal has not been achieved, an explanation must be included, and the report shall state what actions will be taken to overcome the shortfall.

I. Goals for the Upcoming ~~Three~~Five Years. The major project controller shall include a list of alternative transportation goals planned for the next ~~three~~five years to ensure attainment of the 20 percent minimum trip reduction goal.

SECTION 5. Section 11.33.130 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.130 Review of ~~triennial~~transportation survey reports.

A. The city transportation coordinator or his or her designee shall review ~~triennial~~transportation survey TSM reports and compare performance with the 20 percent minimum trip reduction goal or compare the performance with the minimum trip reduction percentages for projects with an existing TSM plan. The city transportation coordinator shall prepare a ~~triennial~~transportation survey staff report which compiles all of the major common work locations triennial reports, and shall present the report to the transportation commission and the city council describing the results of the program.

B. If after review of a major project controller's ~~triennial~~[transportation survey](#) report, the city transportation coordinator finds that substantial progress was not made toward achieving the 20 percent minimum trip reduction goal, or trip reductions percentage credits for existing TSM plans, the city transportation coordinator shall:

1. Notify the major project controller of additional alternative transportation opportunities that can be made to achieve the 20 percent minimum trip reduction goal within one year of notice;

2. Prepare a staff report for the transportation commission discussing the failure to comply with the TSM plan and alternative transportation opportunities that can be made to achieve the 20 percent minimum trip reduction goal; and

3. Notify the major project controller that supplemental annual reports will be required until such time as compliance is demonstrated.

C. The transportation commission may find that the major project controller, the employer, and/or the site TSM coordinator:

1. Is in violation of this chapter and the TSM agreement and recommend that the matter be forwarded to the city council to determine the proper means of enforcement for noncompliance; or

2. Shall be allowed an additional year to comply.

D. Subsequent Annual Report. If upon review of the major project controller's triennial report or supplemental annual report following a prior unsatisfactory triennial report,

the city transportation coordinator determines that attainment of the 20 percent minimum trip reduction goal still has not occurred:

1. The city transportation coordinator shall present a report to the transportation commission describing the reasons for noncompliance. The city transportation coordinator may advise the transportation commission as to measures that would achieve the 20 percent minimum trip reduction goal.

2. The transportation commission may find that the major project controller, the employer and/or the site TSM coordinator is in violation of this chapter and that the matter be forwarded to the city council to determine the proper means of enforcement for noncompliance.

E. Adoption of the Revised TSM Plan. If deemed appropriate by the transportation commission, an existing TSM plan using trip reduction percentage credits shall be revised and adopted through the same process and with the same right to appeal as the original TSM plan. The transportation commission shall review the revised TSM plan for compliance with this chapter. The TSM agreement shall be amended to reflect the revised TSM plan.

SECTION 6. This ordinance shall be effective at the expiration of thirty (30) days from the date of adoption.

SECTION 7. The City Clerk is hereby directed to cause this ordinance to be published in full at least once within fourteen (14) days after it is adopted in a newspaper of general circulation in the City, or shall within fourteen (14) days after its adoption cause this ordinance

to be posted in full in at least three (3) public places in the City and enter in the Ordinance Book a certificate stating the time and place of said publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

Item 7B. Transportation Systems Management Ordinance Amendment

Staff Sue Schooley, Alternative Transportation Analyst II/TSM Coordinator

Recommendation

Staff recommends the Transportation Commission recommend that the City Council introduce the first reading of the Transportation Systems Management Ordinance amendment.

Background

On February 16, 1983, the City adopted a Rideshare Ordinance as a prerequisite for the Highway 65 bypass state funding. In addition, the City adopted the ordinance to address the transportation commuting needs of citizens and employees in Roseville.

In 1991, at the request of the Transportation Commission and the City Council, staff rewrote the ordinance to reaffirm the City's commitment to reducing congestion and preserving the quality of life in Roseville. The result was the Transportation Systems Management (TSM) Ordinance.

In 1997, the City Council adopted a revised TSM Ordinance. The intent of that revision was to refine the ordinance, clarify terms and concepts, and provide additional mitigation measures.

In 1998, the South Placer Transportation Management Association (TMA) dissolved. The TMA was both a mitigation measure in the TSM Ordinance, as well as the liaison between the City and businesses. Therefore, businesses were unable to comply with the existing TSM Ordinance. In May 1999, the City Council adopted the current TSM Ordinance.

Discussion

There are two parts to this TSM Ordinance amendment.

The first is to update some terminology which include minor edits such as changing "Guaranteed" Ride Home to "Emergency" Ride Home to accurately reflect the program and to be consistent with the region.

The second is to change the timing of the Transportation Survey. Since the TSM Ordinance update in 1999, the Transportation Survey has been conducted every three years (triennial survey). For the past five survey cycles (15 years) the results of the survey are very consistent with incremental increases in carpoolers, bicyclists, transit riders, walkers and telecommuters. The hard costs to the City, to conduct the survey and tabulate the survey results is approximately \$20,000 each survey cycle. Also, the survey is a time intensive project for property managers, local businesses and city staff. The business community requested, and staff concurred, that the surveys should be conducted less frequently. Staff is recommending that that survey be changed to every five years rather than every three years. If approved, the next Transportation Survey cycle would be in 2018.

Environmental Documentation

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines

§15061(b)(3). The Transportation Systems Management Ordinance Amendment project does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Attachment(s):

1. Email from Susie Lynn, Owner/Operator of Lawler Professional Building.
2. Email from Deborah Brooks, Property Manager for Hines Properties
3. Email from Jane Madeley, Administrative Clerk, Placer County Revenue Services Division
4. Draft TSM Ordinance amendments (redline/strikeout version)
5. Draft TSM Ordinance amendments (clean version)

ATTACHMENT 1

Schooley, Sue

From: Susie Lynn <swlynn@sbcglobal.net>
Sent: Wednesday, February 3, 2016 1:54 PM
To: Schooley, Sue
Subject: TSM Amendment

I'm writing in support of the proposed TSM amendment changing the survey time frame for the Transportation Survey from 3 years to 5 years. Three years is just too short a time and too frequent. My tenants think I was just there knocking at the door to do this again or constantly e-mailing them to return the surveys to me. After awhile, they just tune out.

We would get a better response for sure from our building if went to every 5 years and save a few trees to boot!!!

Thank you,

Lawler Professional Building
Susie Lynn, Owner/Operator

ATTACHMENT 2

Schooley, Sue

From: Brooks, Deb <Deb.Brooks@hines.com>
Sent: Wednesday, February 3, 2016 2:37 PM
To: Schooley, Sue
Subject: Triennial Survey Request

Hello Sue,

It is my understanding that the City of Roseville has been considering modifying the TSM Ordinance regarding the Triennial Survey. It would be great if the surveys could be completed every five (5) years rather than every three (3) years! Currently, our portfolio includes nine buildings with a total of 115 tenants, plus their employees. I make multiple survey copies, organize by building, hand deliver/speak with and collect the surveys from each tenant and their employees in hopes of receiving 100% participation. A lot of time, effort and expense are put forth in our office, as well as your office, to organize, distribute, collect and tally the survey results.

Thank you for your time and consideration of my request. I appreciate all that you do!

Have a wonderful day!

Deb

Deborah Brooks
Hines

3721 Douglas Boulevard | Suite 310 | Roseville, CA 95661
P: 916.773.3518 | F: 916.773.5566
deborah.brooks@hines.com

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ATTACHMENT 3

Schooley, Sue

From: Jane Madeley <JMadeley@placer.ca.gov>
Sent: Wednesday, February 3, 2016 3:15 PM
To: Schooley, Sue
Subject: TSM Meeting 02-03-16

Thank you and Pieology Pizzeria for hosting today!

I do believe it would be beneficial to have the Triennial Survey pushed out to every 5 years. I have been with Placer County since 1997. A lot of time and energy is expended to get the forms filled out and returned to you for compilation. Reviewing past surveys, the majority of our staff has complied. Data seems to be consistent from survey to survey. Please thank the board for taking this change under serious consideration.

Thank you,
Jane Madeley
Senior Administrative Clerk
Administrative Services Department | Revenue Services Division
(916) 543-3924 | (916) 543-3910 fax | jmadeley@placer.ca.gov



ATTACHMENT 4

ORDINANCE NO. _____

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING
SECTIONS 11.33.010, 11.33.030, 11.33.080, 11.33.120 AND 11.33.130 OF CHAPTER 11.33
OF TITLE 11 OF THE ROSEVILLE MUNICIPAL CODE REGARDING
TRANSPORTATION SYSTEMS MANAGEMENT

THE CITY OF ROSEVILLE ORDAINS:

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Code is hereby amended to read as follows:

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next decade.

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pollution.

C. As of January 2014, the population of Roseville was approximately 128,000.
Sacramento Area Council of Governments (SACOG) estimates Roseville population at
approximately 172,000 by 2035. Adoption of several specific plans which designate land uses
for an ultimate population range from 100,000 to 107,500 at full development of the community

~~by the year 2010 demonstrates~~ As population increases, coupled with the increases in employment, the need for travel modes other than single occupant vehicles in order to minimize road congestion and air pollution in the region, and to fulfill the goals, policies and implementation measures in the general plan is needed.

D. Ridesharing and other alternative transportation modes among employees for home to work trips is a simple, inexpensive and effective means of reducing peak hour, single occupant motor vehicle trips. A reduction in such trips can be expected to result in an improvement to the region's air quality, and a reduction in traffic congestion and energy consumption impacts relating to anticipated growth.

E. Implementation of a transportation systems management program focusing on alternative transportation modes is required to protect the public health, safety and welfare.

F. Cooperation with and coordination of transportation systems management programs with other cities, counties, and communities in the region and through regional agencies will demonstrate the viability and enhance the effectiveness of such programs.

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B. **Carpool.** A motor vehicle occupied by two or more, but less than eight persons traveling to a common destination. Employees who work for different employers as well as non-employed persons, excluding children, are included within the definition so long as they are in the vehicle for the majority of the total trip distance.

C. **Commute Trip.** The trip made by an employee from home-to-work or work-to-home. The commute trip may include stops between the home and the work site.

D. **Common Work Location.**

1. A common work location shall consist of any office, commercial or industrial project or a single employer in separate or common ownership having more than 10 but fewer than 50 employees, which can generally be characterized by one or more of the following:

- a. Known by a common name given to the project by its developer;
- b. Governed by a common set of covenants, conditions, and restrictions, which requires common area maintenance agreement or owner's association;
- c. Approved, or is to be approved, as a single entity by the city;
- d. Covered by a single parcel map or final subdivision map; or

- e. Functions as a single retail, business center, or industrial park.
- 2. A “major common work location” is any common work location as defined in this section with 50 or more employees.
- E. **Commuter.** An employee who travels regularly to and from a common work location or major common work location two or more days a week.
- F. **Commuter Rideshare Matchlisting Service.** The rideshare program and commuter information service operated by the Sacramento Area Council of Governments (SACOG).
- G. **Existing TSM Plan and TSM Agreement.** A TSM plan and TSM agreement existing prior to the adoption of this amendment to this chapter.
- H. **Flexible Work Hours.** A system for shifting the workday of an employee so that the workday starts and/or ends outside of the peak hours.
- I. **Level of Service.** A scale that measures the operating capacity likely to be encountered on a roadway or at the intersection of roadways, based on a volume-to-capacity ratio, with levels ranging from A to F, with A representing the lowest ratio and F the highest level of service.
- J. **Peak Hours.** The periods of the day with the greatest volume of vehicular street traffic. In Roseville, the peak hours are 7:30 a.m. to 8:30 a.m. and 4:30 p.m. to 5:30 p.m. from Monday through Friday.

K. **Peak Hour Commuter.** A commuter who regularly arrives at, or departs from, a common work location or major common work location during the peak hours.

L. **Peak Hour Trip.** An arrival at, or departure from, a common work location or major common work location during the peak hours by a motor vehicle.

M. **Placer County Transportation Planning Agency (PCTPA).** The Regional Transportation Planning Agency (RTPA) for the Placer County jurisdictions, except for that portion of the county within the Tahoe Regional Planning Agency (TRPA). One of the PCTPA's responsibilities is maintaining the congestion management program (CMP) which is to coordinate the interrelationship of transportation, land use and air quality including trip reduction and travel demand. Some of the programs implemented by PCTPA include the guaranteed ride home program, marketing materials for alternative transportation promotions and site coordinator meetings.

N. **Project Controller.**

1. A project controller is the owner, lessor and/or manager of a common work location whose tenants, individually or collectively, employ 10 or more but less than 50 employees, or an employer who employs 10 or more but less than 50 employees.

2. A major project controller is the owner, lessor, and/or manager of a major common work location whose tenants, individually or collectively, employ 50 or more employees, or an employer who employs 50 or more persons.

O. **Ridesharing.** A commute alternative to driving alone, which includes but is not limited to carpooling, vanpooling, public transit, walking and bicycling.

P. **Shift of Employment.** Any group of employees who work at a common work location or major common work location and who arrive and depart from work in a common time interval not greater than one hour.

Q. **Single Occupant Vehicle (SOV).** A motor vehicle occupied by one employee for commute purposes.

R. **Telecommuting (also known as Telework).** A system of working at home or at an off-work site (non-home telecommute facility) for the full work day on a regular basis for at least one day per week.

S. **Transportation Commission.** The transportation commission of the City of Roseville.

T. **Transportation Coordinator.** The person responsible for implementing a TSM plan including employee education, in-house rideshare matching, and posting of information relating to alternative transportation.

1. **City Transportation Coordinator.** The transportation coordinator of the City of Roseville as designated by the city manager.

2. **Site Transportation Systems Management (TSM) Coordinator.** The person designated by the employer, property manager, or major project controller who is responsible for implementing a TSM plan at the major common work location. Such person is commonly

referred to as the employee transportation coordinator (ETC) or the property transportation coordinator (PTC).

U. **Transportation Survey.** A survey report designed and distributed by the city's transportation coordinator and filled out by the site TSM coordinator which provides employee commute information in order to calculate peak order vehicle reduction, as well as alternative transportation effectiveness. Such survey shall be conducted every five years, beginning in the year 2018 for employee commute methods in 2017.

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VU. **Transportation Systems Management (TSM) Agreement.** Written agreement with the city obligating the applicant to comply with the TSM Plan.

WV. **Transportation Systems Management (TSM) Handbook.** An explanatory guide meant to provide clarification for site TSM coordinators and other interested persons. The TSM Handbook describes how TSM plans are prepared by applicants, reviewed by the city and implemented by the site TSM coordinator.

XW. **Transportation Systems Management (TSM) Plan.** A plan submitted by an applicant and approved by the city pursuant to this chapter that is designed to reduce the number of vehicle trips to and from a major common work location. Such plan attempts to improve the movement of persons by providing employees with information regarding better and more efficient utilization of existing infrastructure (e.g., streets, roads, freeways) and new and innovative alternative commute modes (e.g., transit, carpooling, bicycling, telecommuting) in accordance with this chapter.

~~X. **Triennial Survey.** A survey report form designed and distributed by the city's transportation coordinator and filled out by the site TSM coordinator which provides employee commute information in order to calculate peak hour vehicle reduction, as well as alternative transportation effectiveness. Such survey shall be conducted every three years, beginning in the year 2000.~~

Y. **Vanpool.** A motor vehicle suited for occupancy by more than seven but less than 16 persons including the driver, traveling to and from work.

SECTION 3. Section 11.33.0180 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.080 Site TSM coordinator's responsibilities.

The site TSM coordinator's responsibilities shall include:

A. **Posting TSM Information.** Posting by the site TSM coordinator in a conspicuous place or places for employees, informational material provided by the city transportation coordinator, PCTPA, other regional rideshare agencies or prepared by the site TSM coordinator to encourage alternative transportation methods. Such informational material shall be kept current and may include, but is not limited to, the following:

1. Current schedules, rates, procedures for obtaining transit passes, and routes of public transit service to the major common work location.

2. Bicycle route maps.
3. Posters or flyers encouraging the use of ridesharing and referrals to sources of information concerning ridesharing.
4. Information regarding available services that will eliminate vehicle trips.

B. Marketing the Commuter Rideshare Matchlisting Service. Annually disseminating to all tenants and employees, or to new tenants and employees when hired, written information provided by the city transportation coordinator and/or other regional rideshare agencies regarding regional commuter rideshare match listing services.

C. Promoting the ~~Guaranteed-Emergency~~ Ride Home Program. The site TSM coordinator shall promote the ~~guaranteed-emergency~~ ride home program. The program provides for the transportation of employees who use alternative transportation modes for home to work commuting in case of a personal, family or other major emergency. The program is designed to help employees get home, to a hospital, clinic, child's daycare or school. The guaranteed ride home is a service provided by Placer County transportation planning agency (PCTPA).

D. Participating in Training Opportunities. The site TSM coordinator will be invited to training events offered by the city's TSM coordinator and/or PCTPA. These training events will include information and materials for promoting such programs as Spare the Air, Clean Air Month, ~~National~~ Bike Month, and information for implementing alternative transportation promotions. The city believes these training programs will be beneficial to the community and will help site TSM coordinators implement their TSM plans. Each site TSM coordinator or his or her designee is expected to attend a minimum of two training events per year.

E. Promoting Alternative Transportation Opportunities. In addition to the above programs, the site TSM coordinator, working in conjunction with the city transportation coordinator, shall encourage employers and employees to use alternative transportation. Such alternative transportation promotional opportunities include, but are not limited to, the following:

1. In-House Carpool Matching Service. Conduct a survey of all employees in order to identify persons interested in being matched into carpools. Potential carpoolers are then matched by work address and shift. Such survey can be done on an annual basis and for all new employees interested in ridesharing.

2. Telecommuting (also known as telework). Telecommuting by allowing employees to work periodically from their home or an off-site location close to home.

3. Transit Pass Subsidy. Promoting the use of public transportation by providing to employees on a monthly basis a transit pass subsidy to help offset the cost to the employee. The city transportation coordinator will work with the site TSM coordinator on promoting public transit and procuring passes.

4. Vanpool Program. Promoting vanpooling to employees as a cost effective way to commute to work. The city transportation coordinator will work with the site TSM coordinator to help implement the vanpool program. Typically, the employees lease a van and the vanpool participants shall cover the operating costs for the van.

5. Variable Work Hours. Encouraging employers and employees to eliminate commute trips or relocate the commute trip out of the peak period through the use of:

a. Compressed Work Weeks. A work schedule for an employee which eliminates at least one round trip commute biweekly. For example, 40 hours of work in four 10-hour days or a work plan that allows one day off every other week, known as the nine-eighty plan;

b. Staggered work hours involving a shift in the set work hours of all employees at the workplace; and

c. Flexible work hours involving individually determined work hours within guidelines established by the employer.

6. Showers and Lockers. To help encourage bicycling as a viable commute transportation alternative, major project controllers shall consider installing showers and lockers for their employees.

SECTION 4. Section 11.33.120 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.120 ~~Triennial~~ Transportation survey report required.

The city transportation coordinator shall prepare and distribute a survey report form to the major project controller. Each major project controller subject to the requirements of this chapter, and all successors in interest of said major project controller obligated to carry out a TSM plan or any part thereof, shall submit a ~~triennial~~ transportation survey report to the city transportation coordinator no later than April 1st describing the effectiveness of the TSM plan. The report shall contain at a minimum the following information:

A. Employee Characteristics. Base information regarding employees, including:

1. The number of employees;
2. The number of employees at each workplace, if more than one workplace is involved;
3. The number of employees per shift at each workplace;
4. The start and stop hours of each employee;
5. The city and zip code of each employee's residence;
6. The distance and commute time for each employee from residence to work.

B. Site Characteristics. Site specific information, including:

1. The number of off-street parking spaces provided by the employer for the employees;
2. The number of off-street parking spaces provided by the employer available to non-employees per day.

C. Description. A description of the measures taken to comply with this chapter, including an accounting of the resources expended on TSM promotional activities.

D. Use. The average number of tenants and/or employees regularly arriving at and leaving the major common work location for the reporting period by each of the following methods of transportation:

1. Single occupant vehicles (SOV);

2. Carpooling (survey to include number of vehicles and number of occupants per vehicle);

3. Vanpooling (survey to include number of vehicles and number of occupants per vehicle);

4. Public transit;

5. Bicycling;

6. Telecommuting;

7. Walking;

8. All others.

E. The total number of employees participating in a variable work hours program pursuant to Section 11.33.080(E)(5) of this chapter and a description of that program.

F. A description of any employer sponsored vanpool or transit pass subsidy programs.

G. The name, address, and telephone number of the site TSM coordinator.

H. Statement of Implementation. The major project controller shall state how the TSM plan has been implemented during the past three years and demonstrate that the 20 percent minimum trip reduction goal has been achieved. If the 20 percent minimum trip reduction goal

has not been achieved, an explanation must be included, and the report shall state what actions will be taken to overcome the shortfall.

I. Goals for the Upcoming ~~Three~~ Five Years. The major project controller shall include a list of alternative transportation goals planned for the next ~~three~~ five years to ensure attainment of the 20 percent minimum trip reduction goal.

SECTION 5. Section 11.33.130 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.130 Review of ~~triennial~~ transportation survey reports.

A. The city transportation coordinator or his or her designee shall review ~~triennial transportation survey~~ TSM reports and compare performance with the 20 percent minimum trip reduction goal or compare the performance with the minimum trip reduction percentages for projects with an existing TSM plan. The city transportation coordinator shall prepare a ~~triennial transportation survey~~ staff report which compiles all of the major common work locations triennial reports, and shall present the report to the transportation commission and the city council describing the results of the program.

B. If after review of a major project controller's ~~triennial transportation survey~~ report, the city transportation coordinator finds that substantial progress was not made toward achieving the 20 percent minimum trip reduction goal, or trip reductions percentage credits for existing TSM plans, the city transportation coordinator shall:

1. Notify the major project controller of additional alternative transportation opportunities that can be made to achieve the 20 percent minimum trip reduction goal within one year of notice;

2. Prepare a staff report for the transportation commission discussing the failure to comply with the TSM plan and alternative transportation opportunities that can be made to achieve the 20 percent minimum trip reduction goal; and

3. Notify the major project controller that supplemental annual reports will be required until such time as compliance is demonstrated.

C. The transportation commission may find that the major project controller, the employer, and/or the site TSM coordinator:

1. Is in violation of this chapter and the TSM agreement and recommend that the matter be forwarded to the city council to determine the proper means of enforcement for noncompliance; or

2. Shall be allowed an additional year to comply.

D. Subsequent Annual Report. If upon review of the major project controller's triennial report or supplemental annual report following a prior unsatisfactory triennial report, the city transportation coordinator determines that attainment of the 20 percent minimum trip reduction goal still has not occurred:

1. The city transportation coordinator shall present a report to the transportation commission describing the reasons for noncompliance. The city transportation coordinator may

advise the transportation commission as to measures that would achieve the 20 percent minimum trip reduction goal.

2. The transportation commission may find that the major project controller, the employer and/or the site TSM coordinator is in violation of this chapter and that the matter be forwarded to the city council to determine the proper means of enforcement for noncompliance.

E. Adoption of the Revised TSM Plan. If deemed appropriate by the transportation commission, an existing TSM plan using trip reduction percentage credits shall be revised and adopted through the same process and with the same right to appeal as the original TSM plan. The transportation commission shall review the revised TSM plan for compliance with this chapter. The TSM agreement shall be amended to reflect the revised TSM plan.

SECTION 6. This ordinance shall be effective at the expiration of thirty (30) days from the date of adoption.

SECTION 7. The City Clerk is hereby directed to cause this ordinance to be published in full at least once within fourteen (14) days after it is adopted in a newspaper of general circulation in the City, or shall within fourteen (14) days after its adoption cause this ordinance to be posted in full in at least three (3) public places in the City and enter in the Ordinance Book a certificate stating the time and place of said publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

ATTACHMENT 5

ORDINANCE NO. ____

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING
SECTIONS 11.33.010, 11.33.030, 11.33.080, 11.33.120 AND 11.33.130 OF CHAPTER 11.33
OF TITLE 11 OF THE ROSEVILLE MUNICIPAL CODE REGARDING
TRANSPORTATION SYSTEMS MANAGEMENT

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. Section 11.33.010 of Chapter 11.33 of Title 11 of the Roseville Municipal
Code is hereby amended to read as follows:

11.33.010 Findings.

The city council of the City of Roseville finds and determines that:

A. A significant level of additional public service, private enterprise and residential development is anticipated in the South Placer region, including the City of Roseville, during the next decade.

B. Concurrent with this urban growth will be an increase in road congestion and air pollution.

C. As of January 2014, the population of Roseville was approximately 128,000. Sacramento Area Council of Governments (SACOG) estimates Roseville population at approximately 172,000 by 2035. As population increases, coupled with the increases in employment, the need for travel modes other than single occupant vehicles in order to minimize

road congestion and air pollution in the region, and to fulfill the goals, policies and implementation measures in the general plan is needed.

D. Ridesharing and other alternative transportation modes among employees for home to work trips is a simple, inexpensive and effective means of reducing peak hour, single occupant motor vehicle trips. A reduction in such trips can be expected to result in an improvement to the region's air quality, and a reduction in traffic congestion and energy consumption impacts relating to anticipated growth.

E. Implementation of a transportation systems management program focusing on alternative transportation modes is required to protect the public health, safety and welfare.

F. Cooperation with and coordination of transportation systems management programs with other cities, counties, and communities in the region and through regional agencies will demonstrate the viability and enhance the effectiveness of such programs.

SECTION 2. Section 11.33.030 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.030 Definitions.

As used in this chapter, the following terms shall be given the meaning ascribed to them in this section.

A. **Applicant.** An applicant for any development approval, design review permit, tentative subdivision map or parcel map or for a use or uses which, individually or collectively, may be reasonably expected to generate employment for 50 or more employees at a major common work location.

B. **Carpool.** A motor vehicle occupied by two or more, but less than eight persons traveling to a common destination. Employees who work for different employers as well as non-employed persons, excluding children, are included within the definition so long as they are in the vehicle for the majority of the total trip distance.

C. **Commute Trip.** The trip made by an employee from home-to-work or work-to-home. The commute trip may include stops between the home and the work site.

D. **Common Work Location.**

1. A common work location shall consist of any office, commercial or industrial project or a single employer in separate or common ownership having more than 10 but fewer than 50 employees, which can generally be characterized by one or more of the following:

- a. Known by a common name given to the project by its developer;
- b. Governed by a common set of covenants, conditions, and restrictions, which requires common area maintenance agreement or owner's association;
- c. Approved, or is to be approved, as a single entity by the city;
- d. Covered by a single parcel map or final subdivision map; or

e. Functions as a single retail, business center, or industrial park.

2. A “major common work location” is any common work location as defined in this section with 50 or more employees.

E. **Commuter.** An employee who travels regularly to and from a common work location or major common work location two or more days a week.

F. **Commuter Rideshare Matchlisting Service.** The rideshare program and commuter information service operated by the Sacramento Area Council of Governments (SACOG).

G. **Existing TSM Plan and TSM Agreement.** A TSM plan and TSM agreement existing prior to the adoption of this amendment to this chapter.

H. **Flexible Work Hours.** A system for shifting the workday of an employee so that the workday starts and/or ends outside of the peak hours.

I. **Level of Service.** A scale that measures the operating capacity likely to be encountered on a roadway or at the intersection of roadways, based on a volume-to-capacity ratio, with levels ranging from A to F, with A representing the lowest ratio and F the highest level of service.

J. **Peak Hours.** The periods of the day with the greatest volume of vehicular street traffic. In Roseville, the peak hours are 7:30 a.m. to 8:30 a.m. and 4:30 p.m. to 5:30 p.m. from Monday through Friday.

K. **Peak Hour Commuter.** A commuter who regularly arrives at, or departs from, a common work location or major common work location during the peak hours.

L. **Peak Hour Trip.** An arrival at, or departure from, a common work location or major common work location during the peak hours by a motor vehicle.

M. **Placer County Transportation Planning Agency (PCTPA).** The Regional Transportation Planning Agency (RTPA) for the Placer County jurisdictions, except for that portion of the county within the Tahoe Regional Planning Agency (TRPA). One of the PCTPA's responsibilities is maintaining the congestion management program (CMP) which is to coordinate the interrelationship of transportation, land use and air quality including trip reduction and travel demand. Some of the programs implemented by PCTPA include the guaranteed ride home program, marketing materials for alternative transportation promotions and site coordinator meetings.

N. **Project Controller.**

1. A project controller is the owner, lessor and/or manager of a common work location whose tenants, individually or collectively, employ 10 or more but less than 50 employees, or an employer who employs 10 or more but less than 50 employees.

2. A major project controller is the owner, lessor, and/or manager of a major common work location whose tenants, individually or collectively, employ 50 or more employees, or an employer who employs 50 or more persons.

O. **Ridesharing.** A commute alternative to driving alone, which includes but is not limited to carpooling, vanpooling, public transit, walking and bicycling.

P. **Shift of Employment.** Any group of employees who work at a common work location or major common work location and who arrive and depart from work in a common time interval not greater than one hour.

Q. **Single Occupant Vehicle (SOV).** A motor vehicle occupied by one employee for commute purposes.

R. **Telecommuting (also known as Telework).** A system of working at home or at an off-work site (non-home telecommute facility) for the full work day on a regular basis for at least one day per week.

S. **Transportation Commission.** The transportation commission of the City of Roseville.

T. **Transportation Coordinator.** The person responsible for implementing a TSM plan including employee education, in-house rideshare matching, and posting of information relating to alternative transportation.

1. **City Transportation Coordinator.** The transportation coordinator of the City of Roseville as designated by the city manager.

2. **Site Transportation Systems Management (TSM) Coordinator.** The person designated by the employer, property manager, or major project controller who is responsible for implementing a TSM plan at the major common work location. Such person is commonly

referred to as the employee transportation coordinator (ETC) or the property transportation coordinator (PTC).

U. **Transportation Survey.** A survey report designed and distributed by the city's transportation coordinator and filled out by the site TSM coordinator which provides employee commute information in order to calculate peak order vehicle reduction, as well as alternative transportation effectiveness. Such survey shall be conducted every five years, beginning in the year 2018 for employee commute methods in 2017.

V. **Transportation Systems Management (TSM) Agreement.** Written agreement with the city obligating the applicant to comply with the TSM Plan.

W. **Transportation Systems Management (TSM) Handbook.** An explanatory guide meant to provide clarification for site TSM coordinators and other interested persons. The TSM Handbook describes how TSM plans are prepared by applicants, reviewed by the city and implemented by the site TSM coordinator.

X. **Transportation Systems Management (TSM) Plan.** A plan submitted by an applicant and approved by the city pursuant to this chapter that is designed to reduce the number of vehicle trips to and from a major common work location. Such plan attempts to improve the movement of persons by providing employees with information regarding better and more efficient utilization of existing infrastructure (e.g., streets, roads, freeways) and new and innovative alternative commute modes (e.g., transit, carpooling, bicycling, telecommuting) in accordance with this chapter.

Y. **Vanpool.** A motor vehicle suited for occupancy by more than seven but less than 16 persons including the driver, traveling to and from work.

SECTION 3. Section 11.33.0180 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.080 Site TSM coordinator's responsibilities.

The site TSM coordinator's responsibilities shall include:

A. **Posting TSM Information.** Posting by the site TSM coordinator in a conspicuous place or places for employees, informational material provided by the city transportation coordinator, PCTPA, other regional rideshare agencies or prepared by the site TSM coordinator to encourage alternative transportation methods. Such informational material shall be kept current and may include, but is not limited to, the following:

1. Current schedules, rates, procedures for obtaining transit passes, and routes of public transit service to the major common work location.
2. Bicycle route maps.
3. Posters or flyers encouraging the use of ridesharing and referrals to sources of information concerning ridesharing.
4. Information regarding available services that will eliminate vehicle trips.

B. Marketing the Commuter Rideshare Matchlisting Service. Annually disseminating to all tenants and employees, or to new tenants and employees when hired, written information provided by the city transportation coordinator and/or other regional rideshare agencies regarding regional commuter rideshare match listing services.

C. Promoting the Emergency Ride Home Program. The site TSM coordinator shall promote the emergency ride home program. The program provides for the transportation of employees who use alternative transportation modes for home to work commuting in case of a personal, family or other major emergency. The program is designed to help employees get home, to a hospital, clinic, child's daycare or school. The guaranteed ride home is a service provided by Placer County transportation planning agency (PCTPA).

D. Participating in Training Opportunities. The site TSM coordinator will be invited to training events offered by the city's TSM coordinator and/or PCTPA. These training events will include information and materials for promoting such programs as Spare the Air, Clean Air Month, Bike Month, and information for implementing alternative transportation promotions. The city believes these training programs will be beneficial to the community and will help site TSM coordinators implement their TSM plans. Each site TSM coordinator or his or her designee is expected to attend a minimum of two training events per year.

E. Promoting Alternative Transportation Opportunities. In addition to the above programs, the site TSM coordinator, working in conjunction with the city transportation coordinator, shall encourage employers and employees to use alternative transportation. Such alternative transportation promotional opportunities include, but are not limited to, the following:

1. In-House Carpool Matching Service. Conduct a survey of all employees in order to identify persons interested in being matched into carpools. Potential carpoolers are then matched by work address and shift. Such survey can be done on an annual basis and for all new employees interested in ridesharing.

2. Telecommuting (also known as telework). Telecommuting by allowing employees to work periodically from their home or an off-site location close to home.

3. Transit Pass Subsidy. Promoting the use of public transportation by providing to employees on a monthly basis a transit pass subsidy to help offset the cost to the employee. The city transportation coordinator will work with the site TSM coordinator on promoting public transit and procuring passes.

4. Vanpool Program. Promoting vanpooling to employees as a cost effective way to commute to work. The city transportation coordinator will work with the site TSM coordinator to help implement the vanpool program. Typically, the employees lease a van and the vanpool participants shall cover the operating costs for the van.

5. Variable Work Hours. Encouraging employers and employees to eliminate commute trips or relocate the commute trip out of the peak period through the use of:

a. Compressed Work Weeks. A work schedule for an employee which eliminates at least one round trip commute biweekly. For example, 40 hours of work in four 10-hour days or a work plan that allows one day off every other week, known as the nine-eighty plan;

b. Staggered work hours involving a shift in the set work hours of all employees at the workplace; and

c. Flexible work hours involving individually determined work hours within guidelines established by the employer.

6. Showers and Lockers. To help encourage bicycling as a viable commute transportation alternative, major project controllers shall consider installing showers and lockers for their employees.

SECTION 4. Section 11.33.120 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.120 Transportation survey report required.

The city transportation coordinator shall prepare and distribute a survey report form to the major project controller. Each major project controller subject to the requirements of this chapter, and all successors in interest of said major project controller obligated to carry out a TSM plan or any part thereof, shall submit a transportation survey report to the city transportation coordinator no later than April 1st describing the effectiveness of the TSM plan. The report shall contain at a minimum the following information:

- A. Employee Characteristics. Base information regarding employees, including:
 - 1. The number of employees;
 - 2. The number of employees at each workplace, if more than one workplace is involved;

3. The number of employees per shift at each workplace;
4. The start and stop hours of each employee;
5. The city and zip code of each employee's residence;
6. The distance and commute time for each employee from residence to work.

B. Site Characteristics. Site specific information, including:

1. The number of off-street parking spaces provided by the employer for the employees;
2. The number of off-street parking spaces provided by the employer available to non-employees per day.

C. Description. A description of the measures taken to comply with this chapter, including an accounting of the resources expended on TSM promotional activities.

D. Use. The average number of tenants and/or employees regularly arriving at and leaving the major common work location for the reporting period by each of the following methods of transportation:

1. Single occupant vehicles (SOV);
2. Carpooling (survey to include number of vehicles and number of occupants per vehicle);

3. Vanpooling (survey to include number of vehicles and number of occupants per vehicle);

4. Public transit;

5. Bicycling;

6. Telecommuting;

7. Walking;

8. All others.

E. The total number of employees participating in a variable work hours program pursuant to Section 11.33.080(E)(5) of this chapter and a description of that program.

F. A description of any employer sponsored vanpool or transit pass subsidy programs.

G. The name, address, and telephone number of the site TSM coordinator.

H. Statement of Implementation. The major project controller shall state how the TSM plan has been implemented during the past three years and demonstrate that the 20 percent minimum trip reduction goal has been achieved. If the 20 percent minimum trip reduction goal has not been achieved, an explanation must be included, and the report shall state what actions will be taken to overcome the shortfall.

I. Goals for the Upcoming Five Years. The major project controller shall include a list of alternative transportation goals planned for the next five years to ensure attainment of the 20 percent minimum trip reduction goal.

SECTION 5. Section 11.33.130 of Chapter 11.33 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.33.130 Review of transportation survey reports.

A. The city transportation coordinator or his or her designee shall review transportation survey TSM reports and compare performance with the 20 percent minimum trip reduction goal or compare the performance with the minimum trip reduction percentages for projects with an existing TSM plan. The city transportation coordinator shall prepare a transportation survey staff report which compiles all of the major common work locations triennial reports, and shall present the report to the transportation commission and the city council describing the results of the program.

B. If after review of a major project controller's transportation survey report, the city transportation coordinator finds that substantial progress was not made toward achieving the 20 percent minimum trip reduction goal, or trip reductions percentage credits for existing TSM plans, the city transportation coordinator shall:

1. Notify the major project controller of additional alternative transportation opportunities that can be made to achieve the 20 percent minimum trip reduction goal within one year of notice;

2. Prepare a staff report for the transportation commission discussing the failure to comply with the TSM plan and alternative transportation opportunities that can be made to achieve the 20 percent minimum trip reduction goal; and

3. Notify the major project controller that supplemental annual reports will be required until such time as compliance is demonstrated.

C. The transportation commission may find that the major project controller, the employer, and/or the site TSM coordinator:

1. Is in violation of this chapter and the TSM agreement and recommend that the matter be forwarded to the city council to determine the proper means of enforcement for noncompliance; or

2. Shall be allowed an additional year to comply.

D. Subsequent Annual Report. If upon review of the major project controller's triennial report or supplemental annual report following a prior unsatisfactory triennial report, the city transportation coordinator determines that attainment of the 20 percent minimum trip reduction goal still has not occurred:

1. The city transportation coordinator shall present a report to the transportation commission describing the reasons for noncompliance. The city transportation coordinator may

advise the transportation commission as to measures that would achieve the 20 percent minimum trip reduction goal.

2. The transportation commission may find that the major project controller, the employer and/or the site TSM coordinator is in violation of this chapter and that the matter be forwarded to the city council to determine the proper means of enforcement for noncompliance.

E. Adoption of the Revised TSM Plan. If deemed appropriate by the transportation commission, an existing TSM plan using trip reduction percentage credits shall be revised and adopted through the same process and with the same right to appeal as the original TSM plan. The transportation commission shall review the revised TSM plan for compliance with this chapter. The TSM agreement shall be amended to reflect the revised TSM plan.

SECTION 6. This ordinance shall be effective at the expiration of thirty (30) days from the date of adoption.

SECTION 7. The City Clerk is hereby directed to cause this ordinance to be published in full at least once within fourteen (14) days after it is adopted in a newspaper of general circulation in the City, or shall within fourteen (14) days after its adoption cause this ordinance to be posted in full in at least three (3) public places in the City and enter in the Ordinance Book a certificate stating the time and place of said publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



COUNCIL COMMUNICATION

CC #: 7503
File #: 0201-01

Title: Annexation Payments - Budget Adjustment
Contact: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.23.

RECOMMENDATION TO COUNCIL

Staff is requesting City Council adopt an ordinance approving the attached budget adjustment for a \$440,000 increase in annexation payments offset by an increase in property (secured) tax revenue.

BACKGROUND

Annexation payments are based on a share of property tax revenues with Placer County on certain annexation agreement areas. Each annexation area has its own agreement as to the share of taxes to be paid to the County. Property tax (secured tax) revenue offsets a portion of the annexation payments made to the County. The final numbers are received by the City in December allowing staff to true-up the respective revenue and expense line items. The net impact to the General Fund is neutral.

FISCAL IMPACT

The increase in property (secured) taxes is offsetting the increase in annexation payments expenses. There is no impact to the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

No new jobs will be created by this action.

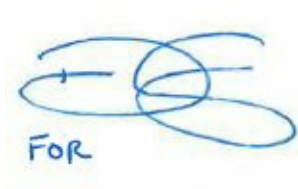
ENVIRONMENTAL REVIEW

The budget adjustment is not considered a "project" as defined by the California Environmental Quality Act (CEQA), based on Sec (CEQA Guidelines 15378). Consequently, no CEQA action is required.

Respectfully Submitted,

Jeannine Thrash, Administrative Analyst

Monty Hanks, Finance Director



Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Ordinance No. 5646

Annexation Payment Bud Adj

ORDINANCE NO. 5646

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for annexation payments offset per request of the Finance Department, as listed on the attached Request for Budget Adjustment in the amount of \$440,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:
A.R. 6.01 Budget Adjustment Policy & Procedure.

REQUESTER*: Jeannine Thrash

DEPARTMENT/DIVISION*: Finance/Finance Administration

FISCAL YEAR/EFFECTIVE DATE*: FY2016

PROPOSED COUNCIL DATE (if applicable): 03/16/2016

For more detailed budget adjustment training information, including examples, please click on the following link:
[Miscellaneous Budget Training Information.](#)

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
440,000	09000	5998	100			Annexation Payments for FY16 payments
\$ 440,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
440,000	00100	3001	100			Increased property taxes
\$ 440,000	TOTAL					

FINANCE USE	Approved: <i>Kathy Cullen</i> 2/18/16	Comments:
	BUDGET MANAGER/DESIGNEE	DATE

Justification for Budget Adjustment*:

Annexation payments are based on a share of property tax revenues which are estimated based on prior year . Property tax revenue offsets the annexation payments made to the County. Increases for both are more than the adopted FY2016 budget had figured.

Approved: <i>Marty Haul</i>	Approved:
REQUESTING DEPARTMENT HEAD / DESIGNEE	CITY MANAGER
DATE	DATE



COUNCIL COMMUNICATION

CC #: 7504

File #: 0800-02 & 0201-01

Title:	Dry Creek Wastewater Treatment Plant Nitrate Discharge Reduction Project - Budget Adjustment and Professional Services Agreement
Contact:	Todd Jordan 746-1829 tjordan@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.24.

RECOMMENDATION TO COUNCIL

Staff recommends that City Council adopt the ordinance approving the attached budget adjustment. The budget adjustment will transfer \$1,000,000 from the Wastewater Rehabilitation Fund (474) to the Dry Creek Wastewater Treatment Plant Nitrate Reduction Project (163509). This amount will fund an alternatives analysis for, and the design and construction of necessary plant improvements to maintain the plant's nitrate discharge compliance.

If the aforementioned budget adjustment is approved, staff recommends Council approve a professional services agreement to Brown and Caldwell to perform an alternatives analysis for the Dry Creek Wastewater Treatment Plant Nitrate Reduction Project. Brown and Caldwell will complete an alternatives evaluation, develop detailed cost estimates for feasible alternatives, and recommend improvements to maintain nitrate discharge compliance. The total cost of the Brown and Caldwell agreement will not exceed \$141,000. The remainder of the project funds will be used toward the design and construction of the improvements recommended by the Brown and Caldwell analysis.

Additionally, staff recommends Council adopt a resolution authorizing the City Manager to sign the attached professional services agreement.

BACKGROUND

In March 2014, the Central Valley Water Board adopted Waste Discharge Requirements Order R5-2014-0049 (WDR Order) for the City's Dry Creek Wastewater Treatment Plant. The order prescribes effluent concentration limits for specific constituents, which includes a 10 mg/L concentration of nitrate plus nitrite. The plant cannot consistently meet this limit.

Time Schedule Order R5-2014-0050 (TSO) was also issued in March 2014 and it provides a time schedule for completing actions to ensure compliance with the WDR Order final effluent limitation for nitrate plus nitrite. The TSO requires full compliance with the final effluent limitation of 10 mg/L

by March 2019.

A Request for Proposals to provide engineering services for the Dry Creek Wastewater Treatment Plant Nitrate Reduction Project was issued by the City of Roseville on December 4, 2015. Seven firms submitted proposals. Brown and Caldwell was selected as the best value to provide the services described by the request for proposals.

FISCAL IMPACT

If approved, the aforementioned budget adjustment will transfer \$1,000,000 from the Wastewater Rehabilitation Fund (474) to the Dry Creek Wastewater Treatment Plant Nitrate Reduction Project (163509), which will provide funds for the alternatives analysis to be performed by Brown and Caldwell. The total cost of the Brown and Caldwell agreement will not exceed \$141,000. The remainder of the Dry Creek Wastewater Treatment Plant Nitrate Reduction Project funds will be used toward the design and construction of the improvements recommended by the Brown and Caldwell analysis.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The adoption of the Dry Creek Wastewater Treatment Plant Nitrate Reduction Project does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Todd Jordan, Senior Engineer

Richard Plecker, Environmental Utilities Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-81

PSA-Nitrate Reduction Project (Brown & Caldwell)

Ordinance No. 5647

Budget Adjustment Form - Nitrate Reduction Project

RESOLUTION NO. 16-81

APPROVING A PROFESSIONAL SERVICES AGREEMENT BY AND BETWEEN THE CITY OF ROSEVILLE AND BROWN AND CALDWELL, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a professional services agreement regarding the Dry Creek Wastewater Treatment Plant Nitrate Plus Nitrate Reduction Project, by and between the City of Roseville and Brown and Caldwell, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville; and

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

PROFESSIONAL SERVICES AGREEMENT

Project: Dry Creek Wastewater Treatment Plant Nitrate Plus Nitrite Reduction

THIS AGREEMENT is made and entered into this ____ day of _____, 20 __,
by and between the City of Roseville, a municipal corporation ("CITY"), and Brown and
Caldwell, a California corporation ("CONSULTANT"); and

W I T N E S S E T H:

WHEREAS, CITY desires professional services consisting of nitrate plus nitrite
discharge reduction study; and

WHEREAS, CONSULTANT has prepared a proposal dated January 8, 2016, which
describes the scope of work to be performed by CONSULTANT, the budget for the work, and
the schedule for performance of the work; and

WHEREAS, CONSULTANT is qualified and experienced to provide professional
services related to the evaluation of nitrate plus nitrite discharge reduction alternatives.

NOW, THEREFORE, the parties agree as follows:

1. Services. CONSULTANT shall perform, at the direction of CITY, the scope of
services as described in EXHIBIT "A," attached hereto and incorporated herein by this
reference.
2. Compensation. For its services provided hereunder, CONSULTANT shall be
compensated on a time and expense basis in accordance with the budget estimate as described in

EXHIBIT "B," attached hereto and incorporated herein by this reference. Total compensation shall not exceed one hundred forty-one thousand dollars (\$141,000.00).

CONSULTANT shall submit one monthly invoice for its services. Such invoices shall be delineated by task, the person performing the services, and the hourly rate, which shall be stated in time increments of not greater than one tenth (1/10) hours. CITY shall pay invoices within thirty (30) days after receipt, if the services specified in the invoice have been satisfactorily completed.

3. Indemnification. To the fullest extent allowed by law, CONSULTANT shall defend, indemnify, and save and hold harmless CITY, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of CONSULTANT's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from CITY's sole negligence or willful misconduct.

CONSULTANT's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

4. Insurance. CONSULTANT agrees to continuously maintain, in full force and effect, the following minimum policies of insurance during the term of this Agreement.

COVERAGE

LIMITS OF LIABILITY

Workers' Compensation

Statutory

Commercial General Liability

\$1,000,000 each occurrence

\$2,000,000 aggregate

Personal Injury:

\$1,000,000 each occurrence

\$2,000,000 aggregate

Automobile Liability

\$1,000,000 combined single limit

Professional Liability (errors and omissions)

\$1,000,000 per claim

\$2,000,000 aggregate

a. Form. CONSULTANT shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

b. Additional Insureds. CONSULTANT shall also provide a separate endorsement form or section of the policy showing CITY, its officers, agents, employees and volunteers as additional insureds for each type of coverage, except for Workers' Compensation and Professional Liability. Such insurance shall specifically cover the contractual liability of CONSULTANT. The additional insured coverage under the CONSULTANT's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from CITY's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and

limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.

c. Cancellation/Modification. CONSULTANT shall provide ten (10) days written notice to CITY prior to cancellation or non-payment of premium of any insurance required by this Agreement.

d. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of CITY (if agreed to in a written contract) before CITY's own insurance shall be called upon to protect it as a named insured.

e. Subcontractors. CONSULTANT agrees to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, CONSULTANT shall require its subcontractors to agree to be bound to CONSULTANT and CITY in the same manner and to the same extent as CONSULTANT is bound to CITY under this Agreement. Additionally, CONSULTANT shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of CITY's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.

f. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or CITY. CITY reserves the right to obtain a copy of

any insurance policy with confidential information redacted. The failure to exercise this right shall not constitute a waiver of such right.

g. Waiver of Subrogation. CONSULTANT hereby agrees to waive subrogation which any insurer of CONSULTANT may acquire from CONSULTANT by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of CITY, its officers, agents, employees and volunteers for all work performed by CONSULTANT, its employees, agents and subcontractors.

h. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve CONSULTANT of liability in excess of such coverage, nor shall it preclude CITY from taking such other actions as are available to it under any other provisions of this Agreement or law.

5. Access to Records. Duly authorized representatives of CITY shall have right of access during normal business hours to CONSULTANT's files and records relating to the services performed hereunder, and may review the files and records at appropriate stages during performance of the services.

6. Time is of the Essence. Time is of the essence of this Agreement. CONSULTANT shall not be responsible for delays caused by circumstances beyond its reasonable control, including but not limited to (1) strikes, lockouts, work slowdowns or stoppages, or accidents, (2) acts of God, (3) failure of CITY to furnish timely information, and (4) faulty performance or nonperformance by CITY, CITY's independent consultants or contractors, or by governmental agencies. CONSULTANT shall not be liable for damages

arising out of any such delay, nor shall CONSULTANT be deemed to be in breach of this Agreement as a result thereof.

7. Compliance with Laws. CONSULTANT shall comply with all federal, state and local laws, ordinances and policies as may be applicable to the performance of services under this Agreement.

8. Ability to Perform. CONSULTANT agrees and represents that it has the time, ability and professional expertise to perform the services required under this Agreement in accordance with generally accepted engineering principles and practices in effect at the time services are rendered.

9. Governing Agreement. In the event of any conflict between this Agreement and its EXHIBITS, the provisions of this Agreement shall govern. In the event of any conflict between any of the EXHIBITS, the provisions of the first in order of attachment shall govern.

10. Assignment. CONSULTANT is employed to perform unique personal services. CONSULTANT shall not assign this Agreement without the prior written consent of City. CONSULTANT shall not employ or otherwise incur any obligation to pay other specialists or experts for services in connection with this Agreement, without prior written consent of CITY.

11. Independent Contractor. CONSULTANT shall act as an independent contractor, and covenants and agrees that it will conduct itself consistent with such status, that it will neither hold itself out as, nor claim to be, an officer or employee of CITY by reason of this Agreement.

12. Representations and Warranties. CONSULTANT warrants that it has not employed or retained any company or person, other than a bona fide employee working for CONSULTANT, to solicit or secure this Agreement, and that it has not paid or agreed to pay any company or person, other than a bona fide employee, any fee, commission, percentage,

brokerage fee, gift or any other consideration, contingent upon or resulting from the award or making of this Agreement. For breach or violation of this warranty, CITY shall have the right to terminate as void this Agreement, without liability, or, in its discretion, to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift or contingent fee.

13. Successors in Interest. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.

14. Copyright, Ownership and Use of Materials. All tangible material ("Material") created or delivered pursuant to this Agreement is considered a work made for hire under the Copyright Act. To the extent such Material does not qualify as a work made for hire, CONSULTANT hereby assigns to CITY all right, title, and interest, including but not limited to all copyrights, in all Material created by CONSULTANT in its performance under this Agreement. Material constitutes the scope of work outlined in Exhibit A and attached hereto, and all written and other tangible expressions, including but not limited to, drawings (including computer aided drawings), papers, documents, reports, surveys, renderings, exhibits, sketches, maps, models, prints, paintings or photographs, in any and all media or formats in which such materials have been created or are maintained. All Material furnished by CONSULTANT is, and shall remain, the property of CITY.

CONSULTANT shall execute any documents necessary to effectuate such assignment. In the event that CONSULTANT uses, employs, designates, or retains any person or entity who is not an employee of CONSULTANT, to perform any work required of it pursuant to this Agreement, CONSULTANT shall require said person or entity to execute an agreement containing the preceding paragraph.

15. Termination of Agreement. The City may terminate this Agreement without cause by giving CONSULTANT ten (10) days advance written notice from the City Manager. CONSULTANT may terminate this Agreement without cause by giving CITY thirty (30) days advance written notice. In the event of termination through no fault of CONSULTANT, CITY shall compensate CONSULTANT for services performed as of the date of termination, upon the release to CITY of all Material hereunder, in any and all media or formats in which such materials have been created or are maintained. CITY retains the right to receive and use any MATERIAL, notwithstanding any termination or any dispute regarding the amount to be paid.

16. Attorney's Fees; Venue; Governing Law. If either party commences any legal action against the other party arising out of this Agreement or the performance hereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorney's fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

17. Modification. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

18. Severability. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

19. Notices. Any notices to parties required by this Agreement shall be delivered personally or mailed, U.S. first class postage prepaid, addressed as follows:

CITY OF ROSEVILLE

CONSULTANT

Richard D. Plecker, P.E.
Environmental Utilities Director
2005 Hilltop Circle
Roseville, CA 95747

J. David Zuber
Brown and Caldwell, Vice President
10540 White Rock Road, Suite 180
Rancho Cordova, CA 95670

Either party may amend its address for notice by giving notice to the other party in writing.

20. Integrated Agreement. This is an integrated agreement and contains all of the terms, considerations, understanding and promises of the parties. It shall be read as a whole.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ____ day of _____, 20__, and CONSULTANT has caused this Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation

BROWN AND CALDWELL, a California
corporation

BY: _____
ROB JENSEN
City Manager

BY: 
its: Vice President

and

ATTEST:

BY: 
its: Assistant Secretary

BY: _____
SONIA OROZCO
City Clerk

[SIGNATURES CONTINUED ON NEXT PAGE]

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY: *Carol H. Mangione for*
Richard D. Plecker

RICHARD D. PLECKER
Environmental Utilities Director

EXHIBIT “A”

10540 White Rock Road, Suite 180
Rancho Cordova, CA 95670

T: 916.444.0123
F: 916.635.8805

January 8, 2016



Letter Proposal

Mr. Todd Jordan
City of Roseville
Environmental Utilities
Dry Creek Wastewater Treatment Plant
1800 Booth Road
Roseville, California 95747

071068-024

Subject: Dry Creek Wastewater Treatment Plant Nitrate Plus Nitrite Discharge Reduction Project

Dear Mr. Jordan:

In accordance with the City of Roseville (City) request, Brown and Caldwell (BC) is pleased to present this proposal for the Dry Creek Wastewater Treatment Plant (WWTP) Nitrate Plus Nitrite Discharge Reduction Project. BC is excited for the opportunity to work with the City on this project. BC has been at the forefront of nutrient issues both nationally and in California, and we are ready to help the City identify practical solutions to meet the 2019 compliance date. We performed the pilot testing for Sacramento Regional County Sanitation District (Regional San) that helped set the direction of their nutrient removal upgrade program, and we are currently working with the Bay Area Clean Water Agencies (BACWA) to identify the true cost of nutrient removal in the San Francisco Bay Area.

Your project will be staffed locally from our Walnut Creek and Rancho Cordova offices with experts in nutrient removal, operational optimization, and energy efficiency. We look forward to working with you to fulfill your continued commitment to provide a reliable, environmentally friendly, and safe wastewater treatment system for the City of Roseville.

Project Approach

The Dry Creek Wastewater Treatment Plant must comply with an average monthly nitrate plus nitrite limit of 10 mg-N/L by March 2019. Current facilities are not capable of meeting this new limit. It could be that the existing wastewater characteristics are not favorable to producing a final effluent nitrate plus nitrite concentration of 10 mg-N/L. Or, it could be that the internal dewatering return streams return too much nitrogen. Or, it could be that the existing plant configuration does not allow for sufficient nitrogen removal. This study will determine which of these causes are the culprit and will identify a solution to put you on the road to compliance.

We will begin the study by reviewing historical data and recent reports. This will be coupled with a site visit to visit the Dry Creek WWTP and talk with operators, engineers, and maintenance personnel to understand current challenges and limitations. We have

1999, 2000, 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012, 2013, 2014, 2015, 2016, 2017, 2018, 2019, 2020, 2021, 2022, 2023, 2024, 2025, 2026, 2027, 2028, 2029, 2030, 2031, 2032, 2033, 2034, 2035, 2036, 2037, 2038, 2039, 2040, 2041, 2042, 2043, 2044, 2045, 2046, 2047, 2048, 2049, 2050, 2051, 2052, 2053, 2054, 2055, 2056, 2057, 2058, 2059, 2060, 2061, 2062, 2063, 2064, 2065, 2066, 2067, 2068, 2069, 2070, 2071, 2072, 2073, 2074, 2075, 2076, 2077, 2078, 2079, 2080, 2081, 2082, 2083, 2084, 2085, 2086, 2087, 2088, 2089, 2090, 2091, 2092, 2093, 2094, 2095, 2096, 2097, 2098, 2099, 2100, 2101, 2102, 2103, 2104, 2105, 2106, 2107, 2108, 2109, 2110, 2111, 2112, 2113, 2114, 2115, 2116, 2117, 2118, 2119, 2120, 2121, 2122, 2123, 2124, 2125, 2126, 2127, 2128, 2129, 2130, 2131, 2132, 2133, 2134, 2135, 2136, 2137, 2138, 2139, 2140, 2141, 2142, 2143, 2144, 2145, 2146, 2147, 2148, 2149, 2150, 2151, 2152, 2153, 2154, 2155, 2156, 2157, 2158, 2159, 2160, 2161, 2162, 2163, 2164, 2165, 2166, 2167, 2168, 2169, 2170, 2171, 2172, 2173, 2174, 2175, 2176, 2177, 2178, 2179, 2180, 2181, 2182, 2183, 2184, 2185, 2186, 2187, 2188, 2189, 2190, 2191, 2192, 2193, 2194, 2195, 2196, 2197, 2198, 2199, 2200, 2201, 2202, 2203, 2204, 2205, 2206, 2207, 2208, 2209, 2210, 2211, 2212, 2213, 2214, 2215, 2216, 2217, 2218, 2219, 2220, 2221, 2222, 2223, 2224, 2225, 2226, 2227, 2228, 2229, 2230, 2231, 2232, 2233, 2234, 2235, 2236, 2237, 2238, 2239, 2240, 2241, 2242, 2243, 2244, 2245, 2246, 2247, 2248, 2249, 2250, 2251, 2252, 2253, 2254, 2255, 2256, 2257, 2258, 2259, 2260, 2261, 2262, 2263, 2264, 2265, 2266, 2267, 2268, 2269, 2270, 2271, 2272, 2273, 2274, 2275, 2276, 2277, 2278, 2279, 2280, 2281, 2282, 2283, 2284, 2285, 2286, 2287, 2288, 2289, 2290, 2291, 2292, 2293, 2294, 2295, 2296, 2297, 2298, 2299, 2300, 2301, 2302, 2303, 2304, 2305, 2306, 2307, 2308, 2309, 2310, 2311, 2312, 2313, 2314, 2315, 2316, 2317, 2318, 2319, 2320, 2321, 2322, 2323, 2324, 2325, 2326, 2327, 2328, 2329, 2330, 2331, 2332, 2333, 2334, 2335, 2336, 2337, 2338, 2339, 2340, 2341, 2342, 2343, 2344, 2345, 2346, 2347, 2348, 2349, 2350, 2351, 2352, 2353, 2354, 2355, 2356, 2357, 2358, 2359, 2360, 2361, 2362, 2363, 2364, 2365, 2366, 2367, 2368, 2369, 2370, 2371, 2372, 2373, 2374, 2375, 2376, 2377, 2378, 2379, 2380, 2381, 2382, 2383, 2384, 2385, 2386, 2387, 2388, 2389, 2390, 2391, 2392, 2393, 2394, 2395, 2396, 2397, 2398, 2399, 2400, 2401, 2402, 2403, 2404, 2405, 2406, 2407, 2408, 2409, 2410, 2411, 2412, 2413, 2414, 2415, 2416, 2417, 2418, 2419, 2420, 2421, 2422, 2423, 2424, 2425, 2426, 2427, 2428, 2429, 2430, 2431, 2432, 2433, 2434, 2435, 2436, 2437, 2438, 2439, 2440, 2441, 2442, 2443, 2444, 2445, 2446, 2447, 2448, 2449, 2450, 2451, 2452, 2453, 2454, 2455, 2456, 2457, 2458, 2459, 2460, 2461, 2462, 2463, 2464, 2465, 2466, 2467, 2468, 2469, 2470, 2471, 2472, 2473, 2474, 2475, 2476, 2477, 2478, 2479, 2480, 2481, 2482, 2483, 2484, 2485, 2486, 2487, 2488, 2489, 2490, 2491, 2492, 2493, 2494, 2495, 2496, 2497, 2498, 2499, 2500, 2501, 2502, 2503, 2504, 2505, 2506, 2507, 2508, 2509, 2510, 2511, 2512, 2513, 2514, 2515, 2516, 2517, 2518, 2519, 2520, 2521, 2522, 2523, 2524, 2525, 2526, 2527, 2528, 2529, 2530, 2531, 2532, 2533, 2534, 2535, 2536, 2537, 2538, 2539, 2540, 2541, 2542, 2543, 2544, 2545, 2546, 2547, 2548, 2549, 2550, 2551, 2552, 2553, 2554, 2555, 2556, 2557, 2558, 2559, 2560, 2561, 2562, 2563, 2564, 2565, 2566, 2567, 2568, 2569, 2570, 2571, 2572, 2573, 2574, 2575, 2576, 2577, 2578, 2579, 2580, 2581, 2582, 2583, 2584, 2585, 2586, 2587, 2588, 2589, 2590, 2591, 2592, 2593, 2594, 2595, 2596, 2597, 2598, 2599, 2600, 2601, 2602, 2603, 2604, 2605, 2606, 2607, 2608, 2609, 2610, 2611, 2612, 2613, 2614, 2615, 2616, 2617, 2618, 2619, 2620, 2621, 2622, 2623, 2624, 2625, 2626, 2627, 2628, 2629, 2630, 2631, 2632, 2633, 2634, 2635, 2636, 2637, 2638, 2639, 2640, 2641, 2642, 2643, 2644, 2645, 2646, 2647, 2648, 2649, 2650, 2651, 2652, 2653, 2654, 2655, 2656, 2657, 2658, 2659, 2660, 2661, 2662, 2663, 2664, 2665, 2666, 2667, 2668, 2669, 2670, 2671, 2672, 2673, 2674, 2675, 2676, 2677, 2678, 2679, 2680, 26



Bay Area Clean Water Agencies, San Francisco.

BC is supporting BACWA in implementing nutrient reduction studies

We will augment

In addition to opt

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A photograph of a large, multi-level industrial facility, likely a refinery or chemical plant. The image shows extensive piping, storage tanks, and structural steel frameworks. The facility is situated in an open area with a clear blue sky in the background. The foreground shows a paved area with some shadows cast by the structure.

BC led the design-build-operate installation of the Regional San biological nutrient removal (BNR) pilot facility. The pilot project is providing critical information for Regional San's Sacramento Regional Wastewater Treatment Plant upgrades to comply with stringent nitrogen limits by 2021. The 11-month pilot study confirmed these limits could be met with an air activated sludge BNR process. The pilot BNR treated an average flow of 0.25 mgd and demonstrated that it could reliably meet the ammonia limit, but that external carbon addition may be necessary to satisfy the nitrate limit. The BNR process performed well throughout the 11 months of operation with good settleability, minimal nocardioform content, and high quality secondary effluent. BC staff, including Ron Merlo, Linda Sawyer and Seppi Henneman, were part of a team of operators who oversaw day to day operations and data collection.



El Estero Wastewater Treatment Plant Process Air Activated Sludge Study

City of Santa Barbara, California

BC evaluated historical performance of the City of Santa Barbara El Estero WWTP process air activated sludge to develop a basis of design for future improvements. As part of this evaluation, Rion Merlo developed a BioWin model and Adam Ross prepared an energy study to help evaluate four aeration system alternatives. BC also developed a triple bottom line analysis. Following this assessment, the City selected step feed BNR as the best alternative to improve filterability, stabilize process performance, and provide operational flexibility. Following the initial study, BC was contracted to complete design for secondary improvements, including replacement of existing blowers and improvements to process hydraulics.

Our Team

BC recognizes nutrient reduction and the cost of complying with evolving nutrient regulations are major issues in wastewater treatment. Since our founding in 1947, BC has worked to the benefit of our clients by introducing new technologies from our own labs and from around the world to help agencies improve results and close the widening gap between compliance and funding. With multi-disciplinary experts located in Northern California and across the nation, we offer clients such as the City a full range of reliable treatment solutions and a track record of anticipating and meeting increasingly stringent regulatory limits for nutrients.

We will leverage our expertise studying and designing advanced wastewater treatment to help the City identify technologies that achieve compliance while saving money, cutting costs, lowering energy use, and satisfying sustainability goals. To accomplish this objective, we have assembled a team of experts in process engineering, design, operations and energy optimization. Our team is based in our Rancho Cordova or Walnut Creek offices. We have included resumes highlighting our team's recent, relevant experience in Attachment A.



CITY OF
ROSEVILLE
CALIFORNIA

Todd Jordan PE

Dave Zuber, PG, PMP

Rion Merlo PhD PE PMP

Linda Sawyer PhD, PE

Seppi Henneman PE

Leslie Knapp

Mike Harrison, PE

Adam Ross, PE, PMP

$\frac{d}{dt} \left(\frac{1}{2} m v^2 + U(r) \right) = -\nabla U(r) \cdot \mathbf{v}$

The table in Attachment B summarizes our level of effort estimate for the scope of services defined in this proposal. BC will perform the work on a time-and-materials basis. BC labor will be billed based on a 3.20 labor multiplier. Time-related charges include salary rates, fringe benefits, general and administrative overhead, and profit.

[illegible]

BC will carry out this assignment on a time-and-materials basis for a limited fee not to exceed \$141,000 for the scope described in this letter. Attachment B presents the estimated hours by task and the estimated other direct charges. Direct charges are all charges, other than time-related charges, incurred directly for the project. Other direct costs shall be billed at actual cost plus a service charge of 10 percent.

Our project schedule is included in Attachment C. The work defined herein shall begin not more than 14 calendar days after BC receives the signed copy of the Professional Design Services Agreement from you. The estimated time for completion is within 161 calendar days thereafter.

Scope of Services

The scope of services to be performed by BC under this letter agreement was adapted from the RFP. Places where the scope of services was amended to identify deliverables, field visits and workshops are indicated by underlined text.

Task 1. Project Management and Administration

BC will perform overall project management and contract administration activities, including schedule updates, preparation of invoices and monthly progress reports, and regular phone calls/communication with the City's project manager. The monthly progress reports will provide a record of progress on the project and a forecast of activities for the following month.

Task 2. Data Collection and Review

BC will assemble and review existing documentation and data that will be used during the nitrate plus nitrite discharge reduction evaluation. The information will include, but won't be limited to the following:

- Current permit discharge requirements.
- Monthly operating reports and available treatment performance data from 2010 through present.
- Current operation and control strategies for plant facilities that impact nitrate plus nitrite reduction.
- Summary of current and future flows and loads, based on available data. Future flows and loads will be developed using assumptions provided by the City (e.g. population projections, assumed percent increase per year, or buildout flow condition).
- Process description of each unit process, including information such as number of unit processes, size, operational loadings at design, etc.
- Existing as-built/design drawings and specifications, surveys and geotechnical data, and operation and maintenance (O&M) manuals of the existing primary and secondary treatment areas.
- Process flow diagram.
- Current design capacity.
- Other reports and data that may be available and relevant to the design of the project.

As part of this task, BC will conduct site visits to the Dry Creek WWTP to complete a preliminary assessment of the process treatment areas related to this scope of work and meet with engineering, operations and maintenance personnel to discuss operational and maintenance issues.

Deliverables

- Draft TM 1 – Data Collection and Review
- Final TM 1 – Data Collection and Review

Task 3. Evaluate Potential Nitrate Plus Nitrite Discharge Reduction by Treatment Optimization

BC will evaluate options and costs for nitrate plus nitrite discharge reduction by optimization and/or modification of current treatment works.

Task 3.1. Describe Existing Optimization and Minor Upgrades

Based on the information collected and reviewed in Task 2, BC will summarize the past work already conducted to optimize nitrate plus nitrite discharge reduction. This will include modifications that have been completed as well as modifications that are already planned.

Task 3.2. Develop a Calibrated BioWin Model

BC will use the data and information obtained under Task 2 to develop a calibrated BioWin process model of the Dry Creek WWTP for use in development of process optimization and upgrade alternatives.

Task 3.3. Evaluate Strategies for Process Optimization

BC will identify strategies to reduce nitrate plus nitrite discharge levels. Strategies could consider modest changes to the existing process, typically modifications that may require some equipment replacement, but no additional basin volume. BC will conduct a site visit of the Roseville WWTP to identify process optimization strategies and discuss potential approaches with plant staff.

BC will identify a list of up to five strategies that could be employed to optimize treatment works. Some typical options might include, but are not limited to: i) modified operation and controls, ii) split flow treatment, iii) return sidestream flow control, iv) additional chemicals, etc.

BC will present the process optimization strategies identified under Task 3.3 and the upgrade technologies identified under Task 3.4 to the City in a workshop setting.

Deliverables

- Draft TM 2 – Evaluate Strategies for Process Optimization and Upgrades
- Final TM 2 – Evaluate Strategies for Process Optimization and Upgrades
- Meeting materials (e.g. PowerPoint presentation, meeting agenda, and meeting minutes)

Task 3.4. Evaluate Potential Upgrade Technologies

If necessary, BC will develop a list of up to five potentially viable treatment upgrade technologies that meet the treatment objectives identified in the TSO. BC will develop high level evaluation criteria focused on nutrient removal requirements coupled with plant constraints.

If necessary, BC will evaluate the feasibility of implementing sidestream treatment into the existing treatment plant. BC will only consider nitrogen removal for sidestream treatment. BC will evaluate up to five alternatives.

BC will summarize the expected reduction in nitrate plus nitrite concentration as a result of upgrade technologies and sidestream treatment, as applicable.

Process upgrade technologies identified under Task 3.4 will be presented to the City in a workshop (see Task 3.3).

Task 3.5. Evaluate Beneficial and Adverse Ancillary Impacts

BC will identify, and where possible, quantify, beneficial and adverse ancillary impacts associated with each alternative identified in Tasks 3.3 and 3.4. These impacts shall include items such as nutrient effluent concentrations, energy usage, space requirements, plant capacity, sludge production and quality, and others. Optimization options that re-purpose available capacity in existing facilities at current loadings will be noted as having an adverse impact in terms of reduced capacity.

Task 3.6. Develop Capital and Operating Costs and Life Cycle Costs

BC will prepare planning level costs for up to five alternatives. Capital cost estimates shall be consistent with a Level IV estimate, per the American Association of Cost Engineers, Recommended Practice No. 17R-97, Class 4 and the American National Standards Institute definition of a "budget estimate."

In addition to capital cost estimates, BC will also provide estimated annual costs (or savings) for energy and chemicals associated with the proposed alternatives. Consultant shall develop appropriate unit costs for energy and chemicals. Where appropriate, associated operating costs will also be developed for the beneficial and adverse ancillary impacts identified in Task 3.5.

BC will prepare a life-cycle cost analysis to compare the overall economics of the various alternatives considered.

Task 3.7. Overall Evaluation and Recommendations

Consultant shall prepare an overall evaluation matrix to rate the various alternatives. Factors to be considered and weighting factors shall be developed based on input from City staff. Based on the evaluation, Consultant shall determine the recommended approach.

BC will present results of overall evaluation and recommendations for process optimizations and upgrades in a workshop setting.

Deliverables

- Meeting materials (e.g. PowerPoint presentation, meeting agenda, and meeting minutes)

Task 3.8. Summary of Recommended Improvements

BC will summarize recommended steps to improve nitrate plus nitrite performance on a short-term basis with current flows and loads and on a long-term basis up to full capacity. As appropriate, BC's recommended improvements will be shown as modifications to the plant flow diagram, hydraulic profile (if applicable), and site plan. Conceptual structural and mechanical layouts for recommended new facilities shall be developed, as applicable. BC will summarize costs associated with all recommendations. BC will prepare a list of steps required and time schedule for implementing the recommended improvements.

Deliverables

Todd Jordan
City of Roseville
January 8, 2016
Page 11

- Draft TM 3 - Summary of Recommended Improvements
- Final TM 3 - Summary of Recommended Improvements
- Meeting materials (e.g. PowerPoint presentation, meeting agenda, and meeting minutes)

Terms and Conditions

BC reviewed the City's Professional Design Services Agreement. Although we find the terms and conditions to be generally acceptable, we request the City incorporate agreed-upon revisions consistent with our contract dated September 2, 2015 for the Pleasant Grove Wastewater Treatment Plant Cogeneration Project. In addition, if awarded this project, we wish to discuss one additional requirement within the City's standard insurance requirements.

Our team is excited to continue working with the City. If you have any questions about our proposal, please contact Rion Merlo by phone at 925.210.2519 or by email at rmerlo@brwnald.com.

Very truly yours,

Brown and Caldwell,



Rion Merlo, Ph.D., PE, PMP
Project Manager



J. David Zuber, PG, PMP
Vice President/Authorized Signatory

RM:ak

Attachment (3):

1. Resumes for Project Team
2. Project Fee
3. Project Schedule

Attachment A

Resumes for Project Team

Experience Summary

Dr. Merlo has 19 years of experience in water and wastewater treatment. Rion has worked on several municipal wastewater treatment plant projects where he used the process modeling software, BioWin, and computational fluid dynamics (CFD) modeling software for secondary clarifiers. Rion has participated in detailed design of wastewater treatment plant facilities, including the design of a fine-bubble aeration system. Rion has extensive pilot testing experience that includes: operating membrane bioreactor (MBR) systems in conjunction with reverse osmosis (RO); analyzing the results of a fuzzy filter pilot test treating primary effluent; overseeing the treatability of stripped sour water using the activated sludge process for a petroleum refinery; and overseeing a pilot-scale study to evaluate cloth media filtration, microfiltration and reverse osmosis (MF/RO).

Assignment

Project Manager

Education

Ph.D., Civil and Environmental Engineering, University of California at Berkeley, 2004

M.S., Civil and Environmental Engineering, University of California at Los Angeles, 1998

B.S., Microbiology, University of California at Santa Barbara, 1995

Registration

Professional Civil Engineer, California, No. 69030, 2006

Project Management Professional, No. 1460987

Experience

19 years

Joined Firm

2005

Relevant Expertise

- Municipal wastewater treatment
- Activated sludge modeling
- Primary clarifier testing and modeling
- Secondary clarifier testing and modeling
- Trickling Filter/Solids Contact (TF/SC)
- Membrane Bioreactor (MBR)
- Ultrafiltration/microfiltration
- Reverse osmosis
- Integrated Fixed-Film Activated Sludge (IFAS)
- Moving bed biological reactor (MBBR)
- Aeration
- Anaerobic digestion
- Struvite

Representative Project Experience

Advanced Treatment Pilot Study, Sacramento Regional County Sanitation District (Regional San), California

Process Engineer. Regional San is required to meet stringent National Pollution Discharge Elimination System permit requirements within a 10-year deadline, specifically in regards to ammonia and nutrients. Through successful operation of a pilot plant, 15 technology alternatives were evaluated to help select the process technology for full-scale construction. The technologies that were tested included air activated sludge (AAS) for nitrogen removal, followed by tertiary filtration and disinfection. Granular media filtration (GMF), GMF with pre-ozonation and membrane filtration were identified as viable tertiary filtration technologies; and chlorination, ozonation and UV disinfection were the identified disinfection technologies. Rion led a team of eight operators, including a Regional San operator, and oversaw all operations and data collection for the Pilot. Rion led weekly meetings with Regional San, other consultants and BC team members to present results and discuss data interpretation. The pilot project was awarded the California Water Environment Association (CWEA) Sacramento Area Section Research Achievement Award, CWEA State 2013 Research Achievement Award and 2014 WERF Award for Excellence in Innovation.

Nutrient Removal Planning Level Study and Annual Nutrient Compliance Reporting, Bay Area Clean Water Agencies (BACWA) Oakland, California

Project Manager. As a component of the nutrient watershed permit adopted in 2014, 37 wastewater treatment plants must conduct nutrient reduction studies. BC is assisting BACWA in implementing the studies including writing a Scoping and Evaluation Plan that defines the approach to determining the impacts of nutrient removal to Bay Area dischargers. As part of the evaluation, BC is visiting each of the plants and collaborating with plant operations, laboratory and engineering staff to identify approaches to meeting potential future nutrient regulations. Each plant is being evaluated to determine opportunities for reducing nutrient discharge by optimization, sidestream treatment, and capital improvements. Once optimizations and improvements have been identified, the capital costs, operating costs and site requirements will be determined. As project manager, Rion assisted in the writing of the Scoping and Evaluation Plan and conducted five of the site visits.

El Estero Wastewater Treatment Plant Process Air and Activated Sludge Study, City of Santa Barbara, California

Process Engineer. Rion performed a detailed evaluation of the El Estero historical performance to develop a basis of design of future improvements. Rion developed a BioWin model to evaluate four different aeration system alternatives. Step feed BNR was selected as the best alternative to improve filterability, stabilize process performance, and provide operational flexibility. As part of the study, BC performed a triple bottom line analysis.

El Estero Process Wastewater Treatment Plant Secondary Improvements Pre-Design and Design, City of Santa Barbara, California

Process Engineer. The design improvements were a continuation of an earlier study completed by BC that identified secondary improvements. Recommended improvements to convert the secondary process to step-feed BNR, replace existing blowers and improve process hydraulics. Rion served as process engineer throughout the design to support the design team.

Wastewater Treatment and Disposal Capacity Study, City of Escondido, California

Process Engineer. Rion determined the process capacity of the Hale Avenue Resource Recovery Facility (HARRF). He performed a process evaluation of primary and secondary clarifiers, and of the activated sludge process using BioWin modeling software. He also determined plant upgrades necessary to meet future flows and loads.

Hale Avenue Resource Recovery Facility Aeration Re-Rating, City of Escondido, California

Process Engineer. Rion performed an evaluation of the upgraded aeration system at the Hale Avenue Resource Recovery Facility (HARRF). The upgraded equipment consisted of newly installed Parkson panel aeration equipment. Rion used a BioWin model to determine the process oxygen requirements to determine the secondary treatment capacity.

Hale Avenue Resource Recovery Facility Pilot Study, City of Escondido, California

Technical Advisor. Rion is providing technical oversight for a pilot-scale study to evaluate cloth media filtration, MF/RO. The intent of the cloth media filtration evaluation is to determine if it can be used in place of the existing tertiary filtration process. The intent of the MF/RO evaluation was to determine if it can produce an effluent suitable for live stream discharge. Live stream discharge has a total nitrogen limit of 1.0 mg/L and a total phosphorus limit of 0.1 mg/L.

San Jose/Santa Clara Water Pollution Control Plant Master Plan, City of San Jose, California

Process Engineer. Rion performed an evaluation of several secondary treatment processes to meet potential future regulations for ammonia and nitrogen. Rion used BioWin modeling to estimate the required secondary system improvements for each alternative and the associated aeration requirements.

Woodland-Davis Water Pollution Control Plant Alternative Analysis, City of Davis, California

Process Engineer. Rion performed process calculations that were used to compare cost associated with treating City of Davis wastewater at the Woodland WPCP rather than expand the Davis WPCP. These calculations were used to estimate construction, O&M, and life-cycle costs to compare alternatives.

Wastewater Treatment Plant Master Plan, Napa Sanitation District, California

Process Engineer. This project included a comprehensive review of the 8-mgd activated sludge treatment system and parallel oxidation pond system, and providing recommendations for improvements needed to accommodate growth and more stringent regulations in the next 20 years. Rion determined primary capacity and used results for historical data and field testing. He also created a process model of the secondary system to determine process capacity. Rion oversaw field testing of the secondary clarifiers to determine treatment capacity.

Master Plan Update, City of San Luis Obispo, California

Project Manager. Rion performed an influent flows and loads analysis of the City's wastewater treatment plant to create a design basis for buildout. Rion evaluated secondary processes to achieve total nitrogen removal and identified process requirements, space requirements and planning-level costs.

Experience Summary

Mr. Henneman is a project engineer who supports design, prepares reports, constructs plans, prepares specifications, and develops cost estimates for various projects. Seppi has pilot testing experience for wastewater and stormwater projects. He has worked on projects in the planning stage to determine process sizing using the modeling software BioWin. He has also used computational fluid dynamic (CFD) software for modeling secondary clarifier capacity as well as an Excel model to simulate primary clarifier performance. He has led teams in the field to collect samples and perform stress testing to validate each of these models.

Assignment

Process Modeling

Education

M.S., Civil and Environmental Engineering, California Polytechnic State University, San Luis Obispo, 2011

B.S., Environmental Engineering, California Polytechnic State University, San Luis Obispo, 2011

Registration

Professional Civil Engineer, California No. 82684, 2014

Experience

4 years

Joined Firm

2011

Relevant Expertise

- Wastewater treatment process modeling
- Secondary clarifier testing and modeling
- Activated sludge modeling
- CEPT
- Filter operation
- Primary clarifier testing and modeling
- Municipal wastewater treatment

Representative Project Experience

Pure Water Task Orders 2 to 6, North City Water Reclamation Plant Expansion, City of San Diego, California

Project Engineer. BC and MWH acted as joint program managers for the City of San Diego, with the goal of upgrading the North City Water Reclamation Plant from a 16 mgd facility to a 54 mgd facility with additional nutrient removal to provide suitable water for an advanced water purification facility to treat to potable water reuse standards. Seppi was responsible for modeling the primary and secondary clarifiers as well as the activated sludge process. This project was challenging due to the rigid schedule and having limited space for expansion. A solution was found by using the primary and secondary clarifier models in conjunction with the activated sludge model which will allow North City to expand appropriately. The modeling information was used to inform the basis of design.

Pure Water Task Order 6, North City Water Reclamation Plant Stress Test, City of San Diego, California

Project Engineer. BC was responsible for creating a test plan to stress test the primary and secondary clarifiers, the activated sludge system, and the granular media filtration system. Seppi wrote the test plan and then executed the test plan over a 2 month period. This required coordination with multiple labs and operations staff to conduct the stress tests without interrupting routine operation of the plant. The stress tests revealed key information that was used to calibrate/validate the process models and was used to inform decisions for the basis of design.

Truckee Meadows Water Reclamation Facility Nitrification Tower Rehabilitation, Reno, Nevada

Project Engineer. BC was responsible for evaluating the nitrifying trickling filters (NTFs) at the Truckee Meadows Water Reclamation Facility in Reno, Nevada. This included a site visit to inspect the filters from a structural standpoint, as well as the media and equipment inside of the filters. In addition, 4 years of data were used to evaluate NTF performance as well as the denitrification fluidized bed reactor performance. Seppi was responsible for the data analysis. He is also responsible for setting up an Excel based model to simulate NTF performance during certain scenarios, which will occur early in 2016. A sampling scheme is currently being performed to produce a profile of water quality at different depths in the NTF over a 10 week period, which will be used to calibrate the NTF model.

Chemical Enhanced Primary Treatment, City of Santa Rosa, California

Project Engineer. BC was asked to help City of Santa Rosa to find economical ways to reduce phosphorus in the effluent to the Laguna Treatment Plant. Seppi was responsible for finding ways to implement chemically enhanced primary treatment (CEPT), with the goal of transferring phosphorus from the

liquid stream into the solids stream. Seppi wrote a jar test protocol that was implemented and used to inform full-scale testing. He is also writing a jar testing protocol that will be used to evaluate using alum to remove phosphorus in the granular media filtration process.

Advanced Treatment Technical Pilot Project, Sacramento Regional County Sanitation District (Regional San), Elk Grove, California

Project Engineer. BC was responsible for operating a 0.25-mgd pilot plant to determine the best solution for meeting a stringent 2020 permit for Regional San. During Phase 1, Seppi served as an operator and was responsible for coordinating sampling with several laboratories to determine if the pilot could meet the 2020 permit. He performed special studies, and conducted discrete, flocculation, and compaction settling tests as well as disinfection tests. Seppi was responsible for analyzing filter data to characterize and compare the different filter performances. He also helped with data analysis as well as graph and report preparation. For Phase 2, biological phosphorus removal and a mixed liquor fermenter were added to the pilot study. Seppi helped oversee operations of the pilot plant and was on site regularly. He performed special studies, such as conducting discrete, flocculation, and compaction settling tests as well as several disinfection tests. He was also responsible for building and calibrating the BioWin model for the pilot.

EchoWater Project Program Management, Sacramento Regional County Sanitation District (Regional San), California

Project Engineer. BC teamed with HDR to become program managers to help Regional San build a new plant to meet strict nutrient limits. Seppi coordinated a team to perform stress tests on a secondary clarifier, including discrete, flocculation, and compaction settling tests. He also was responsible for calibrating a CFD model to determine the capacity of the clarifiers for the design peak flow rate. In addition, he created an operations tool for the operations staff to use to determine how many clarifiers should be in service at different operating conditions, allowing the client to plan their RAS upgrades appropriately.

CEPT Demonstration Testing, El Estero Wastewater Treatment Plant, City of Santa Barbara, California

Project Engineer. This project tested the effectiveness of CEPT at the El Estero WWTP using a full-scale demonstration. Seppi helped write the Test Plan and also helped analyze the results of the demonstration, which demonstrated improved primary clarifier performance.

El Estero Wastewater Treatment Plant Aeration Improvement, City of Santa Barbara, California

Project Engineer. BC analyzed the City's secondary treatment facilities at the El Estero Wastewater Treatment Plant. Besides identifying operational and physical improvements needed to obtain consistent secondary effluent quality, this project investigated operational flexibility and energy efficiency. Seppi researched and presented different aeration technologies that could potentially reduce power costs. He calculated the net present values of several different aeration technologies to help recommend the best technology to meet the client's needs, and helped write tech memos.

Indirect Potable Reuse Program Management Task Order 1, Escondido, California

Project Engineer. Seppi used plant water quality data to calculate process sizes to fit the proper processes onto a tight site layout. He used the software BioWin to run models to estimate the nutrient removal capabilities of each analyzed process. He also calculated airflow requirements to determine the number of blowers required for the project.

Capacity Assessment, Silicon Valley Clean Water, Redwood City, California

Project Engineer. This project included a review of past documents as well as further investigation to assess the wastewater treatment plant's total capacity. Seppi helped research past capacity reports, managed data and produced graphs for analysis. He also helped organize and write tech memos.

Wastewater Treatment Plant New Anaerobic Digester, City of Modesto, California

Project Engineer. Seppi analyzed municipal and industrial wastewater data to determine that it was not economical for Modesto to treat their cannery waste in the event that the industrial wastewater pipeline was out of service.

Experience Summary

Ms. Knapp brings a broad depth of experience in wastewater operations from working with the City of Tampa, Florida, and Cabarrus County in Concord, North Carolina. Leslie is currently planning commissioning activities and developing operations manuals and standard operating procedures for projects under the EchoWater Project. Her experience additionally includes: evaluating performance and operations at wastewater treatment plants, calibrating BioWin models to explore different process control strategies, performing sampling and data analysis, and working on pre-treatment issues for DC Water. Leslie holds a Class II Wastewater Operator's Certificate in North Carolina.

Assignment

Process Optimization

Education

M.S., Civil Engineering, University of South Florida, Tampa, 2014

B.S., Chemistry, Warren Wilson College, Asheville, 2007

Certifications

North Carolina Grade II Biological Water Pollution Control Operator Certification

Experience

7 years

Joined Firm

2014

Relevant Expertise

- *Wastewater treatment plant operation*
- *Activated sludge modeling*

Representative Project Experience

EchoWater Project Program Management, Sacramento Regional County Sanitation District (Regional San), Elk Grove, California

Operations and Maintenance Representative. Regional San is currently upgrading their existing wastewater treatment plant to meet stricter National Pollutant Discharge Elimination System permit limits by 2021 and California Title 22 filtration and disinfection criteria by 2023. This multi-year, \$2 billion upgrade is divided into multiple subprojects, including new biological nutrient removal processes, disinfection and dechlorination facilities, and tertiary filtration. BC, in a joint venture with HDR, Inc., is providing program management services for timely and successful delivery of the project. Leslie is serving as the liaison between plant operations and maintenance staff and various project engineers and contractors to see the project through design, construction, and commissioning.

Nutrient Removal Planning Level Study and Annual Nutrient Compliance Reporting, Bay Area Clean Water Agencies (BACWA) Oakland, California

Operations Specialist. BC is helping BACWA implement nutrient reduction studies to help meet new nutrient permit requirements. As part of this effort, BC has visited 19 wastewater treatment plants for BACWA agencies and worked with plant staff to identify ways to address future nutrient regulations. Leslie has participated in wastewater treatment plant site walks as an operations specialist to assess current practices and identify opportunities to improve nutrient removal. She also reviewed and contributed to the follow-on reports summarizing the assessment findings.

Burlington Northern and Santa Fe Railway (BNSF), Multiple Locations Wastewater Manager. Leslie served as Interim Wastewater Manager for BNSF Railway, reporting directly to BNSF's Senior Manager of Environmental Engineering. Her responsibilities included day-to-day oversight assistance of wastewater operations at 150 sites nationwide, helping to set operations and maintenance priorities, troubleshooting, and facilitating communications between wastewater operations personnel, BNSF managers, and other consultants.

University of South Florida Tampa, Florida

Graduate Assistant. Leslie conducted a study for Hillsborough County-Public Utilities Department investigating performance and operations at a 12 mgd wastewater treatment plant. Historical plant data from a 3-year period were analyzed for construction and calibration of a BioWin model to explore different process control strategies. Leslie also performed supplemental sampling and influent characterization for BioWin model calibration. Regular

meetings were held with County staff to provide project updates and receive feedback. She also led an introductory workshop to BioWin modeling for undergraduate engineering students.

Peace Corps-Technology Transfer Program, León, Guanajuato, Mexico

Engineering Specialist. Leslie collaborated with Mexican professionals and graduate students within the Environmental Department at CIATEC, one of Mexico's National Science and Technology (CONACYT) centers. She performed sampling and data analysis with a team for an assessment of the Turbio River watershed. She promoted small-scale anaerobic digestion of manure with area dairies and cattle ranchers through site visits and development of educational materials and questionnaires. She also provided formal English language courses and scientific writing revision for CIATEC employees and graduate students and assisted in the drafting of an EPA grant proposal for methane mitigation from landfills.

Wastewater Operations, City of Tampa Wastewater Department, Florida

Wastewater Operator Intern. The 96 mgd wastewater treatment plant includes BOD removal (high-purity oxygen), nitrification (diffused air) and denitrification filters in liquid train and anaerobic digestion, belt filter press dewatering and heat drying in solids trains. Leslie was responsible for operation of screen and grit area, onsite cryogenic oxygen plant, and nitrification reactors. She inspected and maintained pump station odor control systems and responded to odor complaints. She guided plant tours for school groups and the general public, and collected plant samples and participated in laboratory work for local university researchers.

Wastewater Operations, Water and Sewer Authority of Cabarrus County Concord, North Carolina

Wastewater Operator. The 24 mgd wastewater treatment plant includes BOD removal/partial nitrification (high-purity oxygen) in liquid train and centrifuge dewatering and multi-hearth incineration in solids train. Leslie worked on a shift consisting of one supervisor and two operators, alternating weekly between plant operator and incinerator operator. She trained four new operators on plant-operating checks, worker safety, routine cleaning, sampling, and operation of centrifuges and incinerator. She guided plant tours for school groups, State Operators Association members, and the general public.

Pretreatment Issues, DC Water, Washington DC

Intern. Leslie created a Microsoft Access database for inventory of process chemicals (polymers, metal salts, caustic, and chlorine). She conducted undergraduate research on the production of trimethylamines from the addition of various polymers to thickened sludge. She provided best management practices for local industries, and assisted the Pretreatment Coordinator with inspections of local industries.

Memberships

1. Member: Water Environment Federation (2005-Present)
2. Member: California Water Environment Association (2015-Present)
3. Water Environment Federation, Public Communication and Outreach Committee member (2012-Present)

Experience Summary

Mr. Harrison has 21 years of design and planning experience in a wide-range of water and wastewater planning, wastewater treatment and conveyance system master planning projects. Michael has extensive experience in detailed design of process mechanical equipment in water and wastewater treatment facilities.

Assignment

Process Mechanical

Education

*B.S., Environmental Toxicology,
University of California, Davis,
1989*

*M.S., Civil Engineering, California
State University, Sacramento,
1996*

Registration

*Professional Engineer, California,
No. 59814, 1999*

*Professional Engineer, Nevada,
No. 016229, 2003*

Experience

21 years

Joined Firm

2012

Relevant Expertise

- Wastewater treatment process design
- Wastewater treatment master planning
- Biosolids

Representative Project Experience

Pleasant Grove Wastewater Treatment Plant Cogeneration Design, City of Roseville, California

Data Management. As part of the Pleasant Grove Wastewater Treatment Plant Cogeneration Project design team, Michael will oversee the data migration process where asset data collected during design and construction is transferred into the City's asset management system (MAXIMO). The process will be automated using a database tool developed to push asset data into MAXIMO. The Cogeneration project is currently in the planning phase.

Program Management Services for the EchoWater Program, Sacramento Regional County Sanitation District (Regional San), Elk Grove, California

Nitrifying Sidestream Treatment (NST) Project Manager. Once constructed, the \$40 million NST facility will treat the return sidestream and produce nitrates that will be used for odor control at SRWTP. The NST facility includes fine screening, influent and effluent pumping, sequencing batch reactors, and lime feed and storage facilities.

Data Management Project Manager. The Data Management Project is developing and implementing automated methods for migrating asset data and project documentation obtained during the design, construction, and commissioning into MAXIMO. The automated data migration processes will significantly reduce the workload associated with the traditional methods of manual data collection and file indexing.

Regional Wastewater Treatment Feasibility Studies, City of Live Oak and Sutter County, California

Project Manager. Michael was responsible for preparing the feasibility study for this project, which involved evaluating alternatives for regionalizing wastewater facilities for the Community of Sutter and the City of Live Oak. Two separate studies were prepared for Sutter County and the City of Live Oak that evaluated conveyance alternatives to the Yuba City Wastewater Treatment Facility. The study included evaluating several pipeline routing alternatives, estimating capital costs and completing a rate impact studies for different conveyance alternatives.

Reno Stead Water Reclamation Facility 3.25 mgd Expansion Project, City of Reno, Nevada

Project Manager. Michael was responsible for the overall design of this \$26 million project. The project included expanding the existing facilities and consisted of new aeration basins, secondary clarifiers, flow equalization facilities and a return activated sludge pump station. The project also included designing new advanced treatment facilities, including membrane filters and ultraviolet disinfection facilities.

Reno-Stead Water Reclamation Facility Expansion Project, City of Reno, Nevada

Project Engineer. Michael was responsible for the overall design of this \$16 million expansion project. The facilities include a new headworks consisting of step screens and aerated grit removal, standby generator, aeration basins capable of nutrient removal, secondary clarifier, blower building, biofilter, and tertiary filters.

South Truckee Meadows Water Reclamation Facility Expansion Project, Truckee, California

Project Engineer. Michael evaluated the fine bubble diffuser systems and designed new tertiary filters, chemical feed systems, disinfection systems, chlorine contact basins, headworks modifications, and overall treatment plant hydraulics.

Northwest Wastewater Treatment Facility, City of Rio Vista, California

Project Engineer. Michael designed the wastewater treatment facility. This new \$25 million membrane bioreactor (MBR) plant included an influent pump station, headworks, standby generator, emergency storage basin, MBR process, blower building, ultraviolet disinfection, effluent pump station, outfall pipeline, and diffuser into the Sacramento River. Solids handling will include belt filter presses and an active solar drying process.

Wastewater Facilities Upgrade and Expansion, Donner Summit Public Utility District, Soda Springs, California

Project Engineer. Michael prepared the process and instrumentation drawings for all the new treatment processes for the \$17 million upgrade project. New and modified processes included influent equalization, headworks screening, reactor basin modifications, membrane bioreactors, wastewater heating system, UV disinfection, and solids storage systems. Michael was also responsible for the process design of the lime feed, carbon feed and phosphorus feed systems.

Wastewater Treatment Facility Phase IV Upgrade and Expansion, City of Merced, California

Project Engineer. Michael was responsible for the design oversight of the influent pump station, headworks septage and vactor receiving stations, and chemical feed systems as part of the \$30 million 12 mgd Expansion Project. The headworks facility consisted of mechanical screening and grit removal systems. The mechanical screening consisted of two perforated plate screens with washer compactors and a shaftless screw conveyor. Each screen has a rated peak flow capacity of 25 mgd. The grit removal system consisted of two vortex grit removal systems with a dedicated grit classifier unit. The influent pump station consisted of a series of submersible pumps with a peak flow capacity of 50 mgd.

Wastewater Treatment Facility 2009 Improvements, City of Auburn, California

Project Manager. Michael was responsible for the overall design of the \$4.5 million improvements project consisting of a new UV disinfection system, RAS pump station, SCADA and electrical system modifications, oxidation ditch energy efficiency improvements, and a new secondary clarifier.

2007 Wastewater Treatment Plant Upgrade, City of Live Oak, California

Project Manager. Michael was responsible for the overall design of the \$17 million Wastewater Treatment Plant Upgrade Project. The project included two new oxidation ditches, two secondary clarifiers, a return activated sludge pump station, solids drying facilities, cloth media filters, and an ultraviolet disinfection system.

Pump Station Condition Assessment, Sacramento Area Sewer District, Sacramento, California

Project Manager. The project included completing a comprehensive condition assessment of selected pumping stations serving the Sacramento Area Sewer District. The results of the condition assessment were used to prepare alternatives analysis to determine the specific repairs needed at each pumping station. Preliminary design work was completed for four separate pumping stations. BC is currently completing a final design for repairs at one of the pumping stations (Atkins Drive Pumping Station).

Memberships

Water Environment Federation
California Water Environment Association

Experience Summary

Mr. Ross has 14 years of design experience in the wastewater industry and holds dual certifications as a civil and mechanical engineer. Adam has served as project manager and engineer on alternative energy, energy efficiency and cogeneration projects, and has led many projects from conceptualization through construction. He has broad wastewater treatment design experience, with expertise in aeration systems and anaerobic digestion systems, including blower selection, energy efficiency improvements, digester gas management, hydronic systems and digester heating, mixing, feed systems, and alternative waste digestion.

Assignment

Energy

Education

B.S., Mechanical Engineering,
Communication Minor, University
of California at Davis, 2002

Registration

Professional Mechanical Engineer,
California No. M33197, 2005

Professional Civil Engineer,
California No. C72161, 2007

Professional Mechanical Engineer,
Hawaii No. ME13139, 2008

Project Management Professional,
No. 1458729, 2011

Experience

14 years

Joined Firm

2001

Relevant Expertise

- Mechanical engineering
- Energy systems
- Aeration systems
- Blowers
- Energy-efficiency rebates
- Alternative energy

Representative Project Experience

Pleasant Grove Wastewater Treatment Plant Cogeneration Design, City of Roseville, California

Project Manager. Adam is currently leading the PGWWTP Cogeneration project for the City. The project is currently evaluating cogeneration and FOG/food waste receiving alternatives to develop an economically favorable project. The project has provided Adam the opportunity to look into the City's wastewater energy costs, and understand the Environmental Utilities decision drivers and goals.

Aeration System Improvements, Union Sanitary District, Union City, California

Project Engineer. Adam developed and analyzed improvements to reduce electric power consumption and minimize operator requirements while improving process performance. The study focused both on aeration system control and blower system operation. Final recommendations reduced the annual power purchase by 23 percent, saving \$100,000 per year. Adam designed the recommended aeration system improvements, including a new blower, piping and control valve modifications, and control strategy. The accelerated design and construction schedule met the District's energy-efficiency grant deadline.

El Estero Wastewater Treatment Plant Process Air and Activated Sludge Assessment, City of Santa Barbara, California

Energy Study Lead. Adam prepared an energy study as part of the process air and activated sludge assessment performed for the City of Santa Barbara El Estero Wastewater Treatment Plant. The process study helped develop a basis of design for future improvements and resulted in the selection of step feed BNR as the best alternative to improve filterability, stabilize process performance, and provide operational flexibility.

El Estero Wastewater Treatment Plant Secondary Process Improvements Pre-Design and Design, City of Santa Barbara, California

Quality Control. Adam provided quality control review during the design of the secondary improvements for the City of Santa Barbara El Estero Wastewater Treatment Plant.

Laguna Treatment Plant Cogeneration System, City of Santa Rosa, California

Project Manager. For the pre-design portion, Adam evaluated combined heat and power (CHP) technologies to match the City's priorities to maximum efficiency, minimum carbon footprint and cost effectiveness. He reviewed an effluent pasteurization/disinfection process using heat from a gas turbine;

assessed cogeneration technologies, including engines, fuel cells, turbines, gas pipeline injection and solar; reviewed and selected appropriate digester gas treatment and blending equipment and technology; and studied the feasibility of FOG digestion supplementing anaerobic digester feed. He then managed the design of a new cogeneration facility based on mixed gas-fired internal combustion reciprocating engines. Heat from the cogeneration engines is recovered and interconnected to the existing plant heating water system. The existing gas booster equipment and natural gas mixing system (air dilution) was replaced, and a digester gas conditioning and treatment system was added. Adam's vendor-neutral design, supported with strong performance-based specifications, enhanced the bid competition that resulted in a lower bid and ultimately a lower final construction cost. In addition, he independently identified and secured a \$2.3 million grant for the City.

Laguna Treatment Plant FOG/Food Waste Receiving Station, City of Santa Rosa, California

Project Manager. This project was identified and recommended in BC's work on Santa Rosa's Biosolids Management Strategic Plan. BC also helped justify the need for a receiving facility by meeting with the City's pre-treatment inspectors, polling local haulers, and getting letters of support from key commercial customers. The pre-design used a "menu"-style approach that allowed the City to select alternatives from a list of options for each step within the FOG/food waste process train. The pre-design considered site alternatives, storage volume, unstaffed offloading, material screening, odor control, and heating options. Adam led the design effort and the project was successfully bid for 4 percent less than the Engineer's estimate.

Digester and Thickener Facilities Upgrade, City of San Jose, California

Project Engineer. As the overall Project Engineer for this effort, Adam is overseeing engineering activities and decisions. The project involves rehabilitating aging anaerobic digesters as part of a comprehensive upgrade to the sludge and biosolids processing facilities. BC is providing preliminary and detailed design for upgraded digester facilities. BC also prepared a comprehensive 3D utility model to facilitate decision-making.

Digester Upgrades FOG Receiving Station, East Bay Municipal Utility District (EBMUD), Oakland, California

Project Engineer. As a precursor to Phases I and 2 of the Program, Adam studied the existing digester heating system and recommended upgrades and changes based on new thermophilic digestion heating requirements. He selected and installed new sludge heat exchangers and sludge recirculation pumps, and reconfigured the hydronic heating system for more efficient heat delivery to digesters.

Phase I included new fixed-dome covers and mechanical draft tube mixers for four of EBMUD's digesters, and improvements to digester gas management and electrical systems. Adam designed the replacement for the digester gas management system for greater capacity, safety and control; upgraded all piping; modified gas storage components; installed a new boiler; and added control-to-waste gas burners. He also helped coordinate between design disciplines and subcontractors throughout the project.

During Phase 2, Adam designed sludge blending tanks and a FOG receiving facility, digester covers and mixers, a new digester feed/transfer/withdrawal system, and oversaw subconsultant work. The FOG receiving system provides delivery-schedule and digester-feeding flexibility, which allows the District to maximize digester capacity as well as tipping fees and energy generation. The system includes FOG screening, below-ground equalization tanks, heating, odor control, and automated offloading and pumping.

Cogeneration System Upgrades, Napa Sanitation District, Napa, California

Project Engineer. Adam designed digester gas-conditioning system upgrades as a requisite for siloxane removal, and converted the system to a low-pressure fuel train to save energy costs.

Cogeneration System Redesign, Chevron Energy Solutions, City of Tracy Wastewater Treatment Plant, California

Project Engineer. Adam designed a reliable replacement cogeneration system for the City of Tracy. His work involved selecting and placing major equipment.

Experience Summary

Mr. Zuber is a registered geologist with more than 25 years of experience, during which he has served as the principal investigator and project manager for dozens of projects for municipal and private sector clients in Northern California. Dave's program management responsibilities have included serving as the single point of contact with client representatives, monitoring compliance with contract terms over multiple delivery orders, assigning and coordinating staff, reviewing and negotiating proposals and cost estimates, overseeing the quality of deliverables, tracking schedules and budgets, overall problem solving and documenting results of project efforts. As a Vice President and Local Lead for BC's Sacramento office, Dave has the authority to mobilize additional resources to meet project needs.

Assignment

Project Officer

Education

*M.S., Geology/Geochemistry,
Northern Arizona University,
Flagstaff, Arizona, 1990*

*B.S., Geology, College of William
and Mary, Williamsburg, Virginia,
1986*

Registration

*Professional Geologist, California
No. 5933, 1993*

*Project Management Professional,
No. 1460589, 2011*

Training

*Site Safety Supervisor Officer
Training*

*Hazardous Materials Health &
Safety Training*

First Aid

Experience

25 years

Joined Firm

1998

Representative Project Experience

Pleasant Grove Wastewater Treatment Plant Cogeneration Design, City of Roseville, California

Project Officer. As the project officer for the Pleasant Grove Wastewater Treatment Plant Cogeneration Design, Dave is working with project manager Adam Ross to provide necessary oversight to deliver on the City's key objectives.

Local Groundwater Assistance Grant Applications, Various Clients, California

Quality Assurance/Quality Control and Project Officer. BC prepared grant applications for several local water agencies or associations, including the City of Folsom, Sacramento Suburban Water District, Lassen County, and the Sacramento Groundwater Authority. BC worked with the various agencies to develop background information, scope, and pricing for potential grant funded projects. Dave helped prepare the applications, monitored budgets, and provided QA/QC on final submittals. Our clients were awarded over \$700K in grant money.

EchoWater Advanced Wastewater Treatment Program, Sacramento Regional County Sanitation District, Sacramento, California,

Project Officer. Dave monitors progress and financial performance for Task Orders on this program for the BC/HDR Joint Venture and serves on the JV Board of Control. Dave participates in JV staffing assignments, risk management, pricing, and oversees JV project managers.

Oroville Brownfields Assessment, Oroville, California

QA/QC and Project Officer. As part of a 3-year U.S. EPA funded brownfields grant, Dave reviewed the Phase I and Phase II Environmental Site Assessments and work plans to assure compliance with the Quality Assurance Project Plan.

Lassen Basin Management Objectives Development, Lassen County, California

Project Officer. Dave served as senior reviewer and project officer for BC to manage the development of Basin Management Objectives (BMOs) in Lassen County. BMOs are a proactive method of groundwater management that uses monitoring information to provide long-term regional groundwater trend information.

Water Quality and Hazardous Materials Contract, Sacramento Municipal Utility District (SMUD), Sacramento, California

Program Manager. Dave oversees staffing and monitors contract compliance for a hazardous materials and water quality contract with SMUD. Dave is

responsible for planning all project activities, reviewing proposals, negotiating contracts, interacting with the client and tracking the schedule and budget for multiple delivery orders.

IDIQ Multiple Task Order Contract for Planning and Environmental Studies Services, U.S. Army Corps of Engineers, California

Program Manager. As BC's Program Manager, Dave monitored project progress and financial performance, served on the JV board and was the primary signature authority for BC. He was also responsible for staffing assignments, pricing, and overseeing JV project managers.

Water Quality and Hazardous Materials Contract, Sacramento Municipal Utility District (SMUD), Sacramento, California

Program Manager. Dave is responsible for oversight, staffing and monitoring contract compliance for a hazardous materials and water quality contract with SMUD. His responsibilities include planning all project activities, reviewing proposals, negotiating contracts, interacting with the client, and tracking the schedule and budget for multiple delivery orders. Projects conducted under this contract have all been Cost Reimbursable and include:

- Phase I and Phase II property assessments for multiple SMUD properties
- Abandoned landfill site closure planning and investigation
- Stormwater compliance activities for construction sites
- NPDES permit compliance for Proctor & Gamble cogeneration facility
- Water quality evaluation for Proctor & Gamble cogeneration facility
- NPDES permit compliance for Rancho Seco power plant
- Vapor recovery survey for various SMUD paint shops
- SPCC plan review and evaluation
- Air quality compliance and permit evaluations

Northern California General Services Administration, Various Federal Entities, Northern California Program, California

Program Manager. Dave has served as BC's Northern California Program manager for work conducted under two GSA contracts: Environmental Advisory Contract and Professional Engineering Services Schedule. Dave's responsibilities include staffing assignments for individual contract delivery orders, coordinating with BC project managers and agency contract administrators, monitoring contract compliance, completing and reviewing proposals and cost estimates and monitoring project delivery. Delivery orders conducted under the two GSA contracts have been Fixed Price and include task orders for work at Bureau of Reclamation, Fresno Area Office; Camp Morena, California Army National Guard; Benicia Arsenal FUDS site, USACE; Titan 1A FUDS site, USACE.

Experience Summary

Dr. Sawyer is a principal engineer with 23 years of experience in process evaluation and design of municipal and industrial water and wastewater treatment systems. Linda's experience includes process capacity evaluations, hydraulic evaluations, research of biological systems, and preliminary and detailed design of treatment systems.

Assignment

Quality Assurance/Quality Control

Education

Ph.D., Civil and Environmental Engineering, University of California, Berkeley, 1999

M.S., Civil Engineering, University of California, Berkeley, 1993

B.S., Civil Engineering with Distinction and with Departmental Honors, Stanford University, 1990

Registration

Professional Engineer, Civil, California, No. C58213, 1998

Experience

23 years

Joined Firm

2000

Relevant Expertise

- Process simulation modeling
- Wastewater treatment evaluation and design
- Fixed-film processes and biofilm behavior

Representative Project Experience

Nutrient Watershed Permit Compliance Strategy, Bay Area Clean Water Agencies (BACWA) Oakland, California

Process Engineer. As part of the HDR/Brown and Caldwell team, Linda is assisting BACWA in evaluation of alternatives and compliance costs for nutrient reduction. The study encompasses 37 POTWs discharging to San Francisco Bay and includes process optimization, repurposing of existing facilities, side-stream treatment, process upgrades and nutrient load reductions through source control, expansion of water recycling and natural treatment systems. Linda will be evaluating 10 of the dischargers to determine what nutrient reductions are possible.

Advanced Treatment Technology Pilot Project, Sacramento Regional County Sanitation District (Regional San), Elk Grove, California

Process Engineer. Regional San is required to meet stringent NPDES permit requirements, specifically in regards to ammonia and nutrients. The pilot project included biological nutrient removal, filtration, and disinfection facilities. Linda worked with an enormous amount of data to create a highly complex biological process model to represent the pilot biological nutrient removal facilities that Regional San can use for the future design.

Laguna Treatment Plant Nutrient Evaluation, City of Santa Rosa, California

Project Manager and Process Engineer. Linda led the team evaluating nutrient removal alternatives to comply with strict new permit requirements for the Laguna Treatment Plant. The permit requires zero net loading of phosphorus, necessitating watershed projects to offset all phosphorus from the treatment plant.

Wastewater Characterization Study, U.S. Marine Corps Base, Camp Pendleton, California

Project Engineer. Linda analyzed results from a six-month sampling program to characterize influent wastewater at five treatment plants. She used sampling results to develop future loading criteria for a planned regional plant to replace the five existing plants, and used results from bench-scale sequencing batch reactors to calibrate a BioWin simulation for two future scenarios. Based on this calibration, she simulated five biological nutrient removal (BNR) processes to verify their ability to meet desired nitrogen and phosphorus limits: 5-stage Bardenpho, Phased Isolation Ditch, Orbal, sequencing batch reactor and membrane bioreactor.

Nitrogen/Phosphorus Removal Feasibility Analysis, U.S. Marine Corps Base, Camp Pendleton, California

Project Manager. Linda evaluated treatment process alternatives to achieve compliance with Basin Plan monthly average limits of 1 mg/L total nitrogen and 0.1 mg/L total phosphorus. She recommended a combination of biological

nutrient removal processes and reverse osmosis to achieve reliable nitrogen limits. She also evaluated sites for reverse osmosis facilities and brine disposal alternatives.

Capacity Analysis, Silicon Valley Clean Water, Redwood City, California

Project Engineer. Linda led the team evaluating the capacity of the liquid treatment facilities. Using the information on existing unit process capacity, improvements were identified to eliminate bottlenecks and increase capacity. Improvements for nitrogen and phosphorus removal to address future nutrient requirements were also evaluated.

Wastewater Reclamation Facility Upgrade Project, City of Ventura, California

Project Engineer. Linda calibrated the BioWin simulator using historical data and limited additional samples. She used the calibrated BioWin simulator to evaluate recommended aeration tank configurations, including the modified Ludzak-Ettinger (MLE) process and the bioaugmentation reaeration (BAR) process. She used state point analysis for secondary clarifier evaluation.

Plant Evaluation and Capacity Analysis, Pinole/Hercules Wastewater Treatment Plant, California

Project Engineer. Linda prepared a sampling plan to gather data required to calibrate the BioWin simulator to project future flow and loadings based on historical process data and future population projections. She used the calibrated BioWin simulator to verify existing capacity and to evaluate future activated sludge treatment alternatives. Contact stabilization was evaluated to treat peak wet-weather flows. She used state point analysis for secondary clarifier evaluation and performed field tests to evaluate primary and secondary clarifier performance.

Easterly Facilities Plan, Northeast Ohio Regional Sewer District, Cleveland, Ohio

Process Engineer. Linda evaluated historical loadings and performance, and developed design flows and loadings for dry- and wet-weather conditions. She projected future loadings during storm events that accounted for capturing combined sewer overflows by future projects, and developed a wastewater characterization sampling plan. She also calibrated a BioWin simulation for average and peak loading conditions at the plant to verify current capacity and evaluate treatment alternatives, including anaerobic selectors and contact stabilization for peak conditions.

Wastewater Treatment Plant Master Plan, City of San Jose, California

Process Engineer. The team developed a Plant Master Plan that fully integrated technical, regulatory and financial solutions with a "green," whole-systems approach that maximized community benefits and provided a state-of-the-art wastewater treatment system. Linda used BioWin to evaluate the existing activated sludge system capacity and analyze future secondary treatment alternatives.

Capacity Analysis, Elsinore Valley Municipal Water District Regional Wastewater Reclamation Facility, California

Project Engineer. Linda performed a BioWin simulation of the existing phased isolation ditch treatment train to determine capacity. She used historical data for calibration, and state point analysis for the clarifier capacity evaluation.

Biosolids Digester Facilities Project, San Francisco Public Utilities Commission, California

Process Engineer. Linda led the team evaluating sludge projections for the design of new biosolids facilities at the Southeast Plant. She developed a spreadsheet-based mass balance model to track solids loadings through alternative process configurations. She also led BioWin modeling for the project.

Wastewater Treatment Plant Master Plan, Napa Sanitation District, California

Project Manager. Linda managed the development of a comprehensive master plan and capacity analysis for the District's WWTP. The existing plant includes both activated sludge and oxidation pond systems operating in parallel, with complete biosolids treatment. The plant produces recycled water and also discharges to the Napa River during the wet season. The team reviewed existing facilities and evaluated capital improvements needed for growth and adherence to more stringent regulations anticipated in the future. In addition to managing the entire project and overseeing BioWin modeling, Linda participated in field testing of secondary clarifiers, and performed computational fluid dynamic modeling of the secondary clarifiers.

EXHIBIT “B”

Attachment B

Project Fee

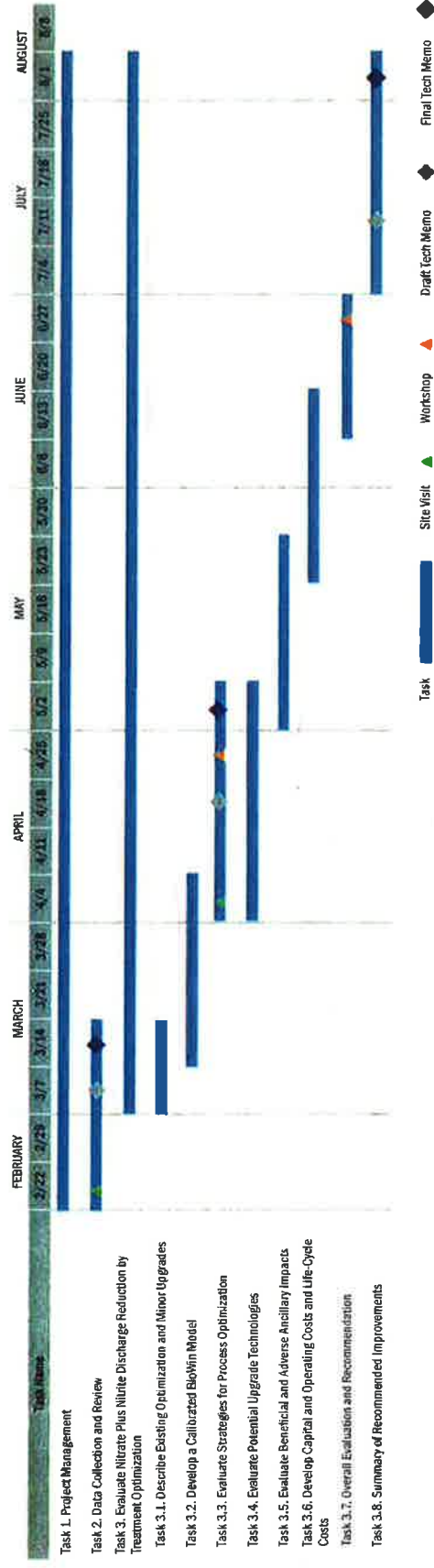
Project Fee

City of Roseville Dry Creek Wastewater Treatment Plant Nitrate Plus Nitrite Discharge Reduction Project																
Item Description	Hours	Rate	Amount	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management	Quality Assurance/Management
001 Project Management and Administration																
	\$220.00	\$98.40	\$220.00	\$206.21	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00
	30	35	8	0	0	0	0	0	0	0	0	0	0	0	0	0
002 Data Collection and Review																
	\$220.00	\$98.40	\$220.00	\$206.21	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00	\$220.00
	8	0	0	4	8	8	8	8	8	8	8	8	8	8	8	8
003 Treatment Optimization																
301 Existing Optimization and Minor Upgrades	104	0	0	44	68	28	236	68	60	60	4	14	12	638	106,946	5,104
302 Develop BioWin Model	4	0	0	0	0	0	16	0	0	0	0	0	0	20	2,899	160
303 Process Optimization	12	0	0	8	0	0	40	0	0	0	0	0	0	60	9,338	480
304 Evaluate Upgrade Technologies	12	0	0	8	0	0	20	40	0	0	0	6	4	90	12,934	720
305 Ancillary Impacts	8	0	0	0	0	0	16	12	0	0	0	0	0	80	11,862	640
306 Cost Estimate	16	0	0	0	0	0	8	8	60	4	0	0	0	56	9,588	448
307 Recommendation	16	0	0	8	0	0	20	8	0	0	0	0	0	52	8,660	416
308 Summary of Recommended Improvements	24	0	0	12	8	8	56	0	0	0	0	8	8	124	20,166	992
GRAND TOTAL	142	35	8	48	76	36	286	76	60	60	4	22	18	811	133,849	6,491
															600	141,000

Attachment C

Project Schedule

Project Schedule



ORDINANCE NO. 5647

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for the Dry Creek Wastewater Treatment Plant Nitrate Discharge Reduction Project per request of the Environmental Utilities Department, as listed on the attached Request for Budget Adjustment in the amount of \$1,000,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



COUNCIL COMMUNICATION

CC #: 7509

File #: 0201-01 & 0206-03-01

Title: FY2015/16 Westpark Community Facilities District #1 Loan Principal and Interest Payments - Budget Adjustment

Contact: Brandon Ladd 774-5389 bladd@roseville.ca.us
Kathy Cullen 746-1306 kcullen@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.25.

RECOMMENDATION TO COUNCIL

Staff requests that Council adopt the Ordinance approving the budget adjustments which authorizes:

Transfer of \$109,967 from Westpark CFD No.1 Improvement Fund to General Fund for interest payment.

Transfer of \$21,153 from Westpark CFD No.1 Improvement Fund to Pleasant Grove Drainage Basin Construction Fund for interest payment.

Transfer of \$4,753 from Westpark CFD No.1 Improvement Fund to Water Construction Fund for interest payment.

Transfer of \$4,753 from Westpark CFD No.1 Improvement Fund to Water Rehab Fund for interest payment.

Transfer \$686,625 from Westpark CFD No.1 Improvement Fund to Water Construction Fund for principal payment.

Transfer \$686,625 from Westpark CFD No.1 Improvement Fund to Water Rehab Fund for principal payment.

Transfer of \$757,169 from Westpark CFD No.1 Improvement Fund to Pleasant Grove Drainage Basin Construction Fund for principal payment.

Transfer of \$2,271,044 from Westpark CFD No. 1 Special Tax Fund to Westpark CFD No.1 Improvement Fund.

BACKGROUND

This loan was originally approved by Council on June 5, 2013 to fund Phases 3 and 4 of the Westpark backbone infrastructure project. The City's loan to Westpark CFD No.1 was secured and is being repaid from proceeds from the Pay-As-You-Go ("PAYG") funding generated from special taxes levied on properties within the CFD.

With the approval of this budget adjustment, loans made from the Water Construction Fund and Water Rehabilitation will be paid in full. The remaining outstanding balance will be approximately \$2.3 million and it is estimated the remaining loan will be paid by 6/30/18.

FISCAL IMPACT

The approval of the original loan was determined to have a neutral impact on the Funds from which the loans were made. The impact to the General Fund for FY16 will be an increase to interest income of \$109,967.

ECONOMIC DEVELOPMENT / JOBS CREATED

The approval of the original loan allowed for construction to continue in the Westpark Plan Area, which helped to create new jobs for infrastructure and home construction. The repayment of the loan should not have any further effect on economic development nor job creation.

ENVIRONMENTAL REVIEW

The budget adjustment is not considered a "project" as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines 15378). Consequently, no CEQA action is required.

Respectfully Submitted,

Brandon Ladd, Budget Analyst

Monty Hanks, Finance Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Ordinance No. 5651

ORDINANCE NO. 5651

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for Westpark CFD #1 loan principal and interest payments per request of the Finance Department, as listed on the attached Request for Budget Adjustment in the amount of \$4,542,088.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:

[A.R. 6.01 Budget Adjustment Policy & Procedure.](#)

For more detailed budget adjustment training information, including examples, please click on the following link:

[Miscellaneous Budget Training Information.](#)

REQUESTER*: Brandon T. Ladd

DEPARTMENT/DIVISION*: Finance / Budget

FISCAL YEAR/EFFECTIVE DATE*: FY2015-16

PROPOSED COUNCIL DATE (if applicable): 03/16/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL		FUND*	JL		
	ORG KEY*	OBJECT*		PROJECT	ACTIVITY	
109,967	00100	3102	100			FY16 loan interest for Westpark completion from 350
21,153	00250	3102	250			FY16 loan interest for Westpark completion from 350
4,753	00481	3102	481			FY16 loan interest for Westpark completion from 350
4,753	00482	3102	482			FY16 loan interest for Westpark completion from 350
686,625	00481	3910	481			FY16 loan payment for Westpark completion from 350
686,625	00482	3910	482			FY16 loan payment for Westpark completion from 350
757,169	00250	3910	250			FY16 loan payment for Westpark completion from 350
2,271,044	00350	3900	350			FY16 Westpark Pay As You Go transfer from 753
\$ 4,542,088	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL		FUND*	JL		
	ORG KEY	OBJECT		PROJECT	ACTIVITY	
109,967	00350	8105	350			FY16 loan interest for Westpark completion to 100
21,153	00350	8105	350			FY16 loan interest for Westpark completion to 250
4,753	00350	8105	350			FY16 loan interest for Westpark completion to 481
4,753	00350	8105	350			FY16 loan interest for Westpark completion to 482
686,625	00350	8910	350			FY16 loan payment for Westpark completion to 481
686,625	00350	8910	350			FY16 loan payment for Westpark completion to 482
757,169	00350	8910	350			FY16 loan payment for Westpark completion to 250
2,271,044	00753	8900	753			FY16 Westpark Pay As You Go transfer to 350
\$ 4,542,088	TOTAL					

FINANCE USE		Approved:	Comments:			
		<i>Kathy Cullen</i>	2/23/16			
		BUDGET MANAGER/DESIGNEE	DATE			

Justification for Budget Adjustment*:

FY2015-16 Interest and Principal payments for loan A26-Westpark "Loan from Funds Water Rehab Fund (482), Water Construction Fund (481), and Pleasant Grove Drainage Basin Construction Fund (250) to Westpark CFD No. 1 Fund (350) (OLA: \$5,000,000)."

Approved:	<i>Mark Hand</i>	Approved:	
REQUESTING DEPARTMENT HEAD / DESIGNEE	DATE	CITY MANAGER	DATE



COUNCIL COMMUNICATION

CC #: 7500

File #: 0201-01 & 0800-02

Title: Arc Flash Mitigation Design - Build Project – Change Order and Budget Adjustment

Contact: Charles Aycock 746-1887 caycock@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.26.

RECOMMENDATION TO COUNCIL

Recommend Council approve Change Order #2 to Western Water Constructors Inc. (WWCI) in the amount of \$221,360.35. In addition, authorize budget adjustment to transfer \$175,000.00 from the Wastewater and \$65,000 from the Water Rehabilitation Fund to the Arc Flash Mitigation Project (133502); the funds are included in the Wastewater and Water Rehabilitation Funds. Staff also requests that City Council authorize the Environmental Utilities Director or his designee to approve and pay for any additional contract change orders for this project that could increase the contract's new total negotiated contract value by no more than 10% (113,148.63).

BACKGROUND

On December 19, 2012, City Council approved the contract to Western Water Constructors, Inc. for installation of Arc Flash mitigation measures for the treatment plants. The original contract stated a guaranteed maximum price of \$910,126, which included a 10% contingency to account for unanticipated additional costs associated with implementation of the project. A Notice to Proceed was subsequently issued on January 7, 2013. During the design and implementation phases of the project, staff identified that the reliability of the power feed to the Supervisory Control and Data Acquisition (SCADA) system computer systems could be subjected to outages that exceed the capacity of the existing Uninterruptable Power Supply (UPS) system capacities. Normally, facility back-up generators would provide power to SCADA before the UPS capacity was reached. However, periodic maintenance activities prevent the site backup generators from being used, thus requiring the addition of the dedicated SCADA system generators. Extended power outages to the SCADA system server system would result in loss of compliance data collection for the duration of the outage and would complicate server system restoration necessary for required regulatory reporting.

EUD staff collaborated with WWCI and the design consultant to develop a design that will increase the reliability of power for the SCADA system components, while reducing the risk to staff, who would be tasked with trying to connect portable generators to switchboards. The design is standardized for the three treatment plants, thus streamlining the maintenance requirements and spare parts required. Since the operation of the back-up power systems are performed by EUD

Maintenance division staff that support all water and wastewater facilities, the consistency in operation between the treatment plants, will reduce the risk of improper operation during unplanned power outage scenarios.

Change Order #1, a no-cost, time extension, was executed on April 29, 2015.

The cost of this change Order #2 is greater than the original 10% contingency amount previously approved by City Council. Therefore, this Change Order #2 required City Council approval to move forward. Since the original 10% contingency amount was never spent, staff is requesting a similar 10% contingency based on the new overall contract price to account for further unanticipated costs.

FISCAL IMPACT

The project funding is split between the Water and Wastewater Utility Rehabilitation funds. The Water Rehabilitation fund is contributing \$65,000.00 of the funding and the Wastewater Rehabilitation fund is contributing \$175,000.00 of the funding. The wastewater rehabilitation portion of the change cost is further split between the South Placer Wastewater Authority (SPWA) partners with Roseville assuming responsibility for 63.34% (\$110,845.00), Placer County contributing 12.07%, and the South Placer Municipal Utility District contributing 24.59%.

ECONOMIC DEVELOPMENT / JOBS CREATED

Approximately 5 temporary construction jobs will be created by this project.

ENVIRONMENTAL REVIEW

The proposed project involves the minor alteration of an existing facility. This activity is categorically exempt from CEQA as a Class 1 Exemption (State CEQA Guidelines Section 15301). The Exemption has been prepared and no further CEQA action is required.

Respectfully Submitted,

Charles Aycock, EIM Systems Coordinator

Richard Plecker, Environmental Utilities Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Reso 16-78

Change Order #2

Ordinance No. 5645

Budget Adj ArcFlash Change Order #2

RESOLUTION NO. 16-78

APPROVING CHANGE ORDER #2, BY AND BETWEEN THE CITY OF ROSEVILLE AND
WESTERN WATER CONSTRUCTORS, INC. AND AUTHORIZING THE
ENVIRONMENTAL UTILITIES DIRECTOR TO EXECUTE IT ON BEHALF
OF THE CITY OF ROSEVILLE

WHEREAS, change order #2 to design-build agreement regarding the Arc Flash Mitigation Project, by and between the City of Roseville and Western Water Constructors, Inc., in the amount of \$221,360.35, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said change order is hereby approved and that the Environmental Utilities Director or his designee is authorized to execute it on behalf of the City of Roseville; and

BE IT FURTHER RESOLVED that the Environmental Utilities Director or his designee shall be authorized to approve change orders for the Project, consistent with the contract terms, provided that the net cost of all change orders shall not exceed ten percent (10%) of the contract price, including change order #2.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



WESTERN WATER
est. 1959
license no. 188068
CONSTRUCTORS, INC.

Contract Change Order Form

Change Order Number: 2	Change Order Effective Date: (date when executed by both parties)
Project: Arc Flash Mitigation for the Dry Creek and Pleasant Grove Wastewater Treatment Plants	Contractor's Project No: 13-01
	Date of Agreement: December 19, 2012
Owner: City of Roseville	Contractor: Western Water Constructors, Inc.

Additional time and cost required to supply and install UPS system as required by the City.
(See attached for breakdown of change order costs)

Scope of the Change:

Original Contract Price: [GMP]	\$ 910,126
Net Change by Previous Change Order No(s): 0 to: 1	\$ 0.00
This Change Order Increase/Decrease (attach breakdown):	\$ 221,360.35
New Contract Price: [GMP]	\$ 1,131,486.35

Original Contract Completion Date:	January 6	2014
Adjustments by Change Order No(s) 0 to: 1	724	(calendar days)
This Change Order Contract Time Increase/Decrease:	183	(calendar days)
Revised Substantial Completion Date:	July 1, 2016	

By executing this Change Order, Owner and Contractor agree to modify the Agreement's Scope of Work, Contract Price and Contract Time as stated above. Upon execution, this Change Order becomes a Contract Document issued in accordance with the Agreement.

OWNER:

By: _____

Printed Name: _____

Title: _____

Date: _____

CONTRACTOR:

By: LUKE MCGARVA

Printed Name: Luke McGarva

Title: Vice President

Date: 2/4/16

Breakdown of Change Order No. 2 Costs

BARTON WTP SITE								
DESCRIPTION	QUANT	UNIT	LABOR	MATERIAL	EQUIP	RENTAL	SUB	TOTALS
SUPPLIERS								
BASEROCK	1	LS		150.00				150.00
FORMING MATERIALS	1	LS		150.00				150.00
REBAR	1	LS		175.00				175.00
CONCRETE	1	LS		200.00				200.00
AC	1	LS		300.00				300.00
MISC	1	LS		1,500.00				1,500.00
FUEL PIPNG, SUPPORTS AND ACCESSORIES	1	LS		3,500.00				3,500.00
DUMPSTERS	1	LS		750.00				750.00
								-
ELECTRICAL MATERIAL	1	LS		2,000.00				2,000.00
GENERATOR	1	LS		15,719.98				15,719.98
								-
CONSTRUCTION								
SAWCUT AND REMOVE (E) AC	0.50	2LCD	566.68					566.68
EXCAVATE AND PREP SUBGRADE	1.00	2LCD	1,133.36					1,133.36
FORM FOUNDATION, INSTALL REBAR	1.00	2LCD	1,133.36					1,133.36
PLACE AND FINISH CONCRETE	1.00	2LCD	1,133.36					1,133.36
STRIP AND CLEAN-UP	0.50	2LCD	566.68					566.68
PATCH (E) AC	0.50	2LCD	566.68					566.68
INSTALL (N) GENERATOR	0.75	2LCD	850.02					850.02
COATING (PIPE)							2,500.00	2,500.00
								-
INSTALL FUELING PIPING, SUPPORTS AND ACCESSORIES	5.00	2LCD	5,666.80					5,666.80
CORE DRILL	0.25	2LCD	283.34					283.34
								-
ELECTRICAL INSTALL	3.00	3ELECD	5,760.00					5,760.00
								-
								-
EQUIPMENT								
WORK TRUCK W/ TOOLS	13.5	DAYS			2,674.76			2,674.76
MINI EXCAVATOR	2.0	DAYS			2,000.00			2,000.00
								-
OTHER								
OUT OF TOWN EXPENSE	13.5	NIGHTS		3,375.00				3,375.00
MARK-UP	15%		2,649.04	4,173.00	701.21	-	375.00	7,898.25
SUBTOTAL BARTON WTP SITE								60,553.28

DRY CREEK WTP								
DESCRIPTION	QUANT	UNIT	LABOR	MATERIAL	EQUIP	RENTAL	SUB	TOTALS
SUPPLIERS								
BASEROCK	1	LS		300.00				300.00
FORMING MATERIALS	1	LS		300.00				300.00
REBAR	1	LS		350.00				350.00
CONCRETE	1	LS		400.00				400.00
MISC	1	LS		1,000.00				1,000.00
FUEL PIPNG, SUPPORTS AND ACCESSORIES	1	LS		750.00				750.00
250 GALLON PROPANE TANK AND ACCESSOIRES	1	LS		2,000.00				2,000.00
DUMPSTERS	1	LS		750.00				750.00
								-
ELECTRICAL MATERIAL	1	LS		10,000.00				10,000.00
GENERATOR	1	LS		15,719.98				15,719.98
UPS SYSTEM	1	LS		33,767.08				33,767.08
								-
CONSTRUCTION								
SAWCUT AND REMOVE (E) CONCRETE	0.50	2LCD	566.68					566.68
EXCAVATE AND PREP SUBGRADE	1.00	2LCD	1,133.36					1,133.36
FORM FOUNDATION, INSTALL REBAR	1.00	2LCD	1,133.36					1,133.36
PLACE AND FINISH CONCRETE	1.00	2LCD	1,133.36					1,133.36
STRIP AND CLEAN-UP	0.50	2LCD	566.68					566.68
PATCH (E) AC	0.50	2LCD	566.68					566.68
INSTALL PROPANE TANK	0.75	2LCD	850.02					850.02
INSTALL (N) GENERATOR	0.75	2LCD	850.02					850.02
INSTALL FUELING PIPING, SUPPORTS AND ACCESSORIES	1.00	2LCD	1,133.36					1,133.36
COATING (PIPE)							1,500.00	1,500.00
DUMPSTERS	1.00	LS		750.00				750.00
								-
ELECTRICAL INSTALL	13.00	3ELECD	24,960.00					24,960.00
								-
EQUIPMENT								
WORK TRUCK W/ TOOLS	21.0	DAYS			4,160.73			4,160.73
MINI EXCAVATOR	2.0	DAYS			2,000.00			2,000.00
								-
OTHER								
OUT OF TOWN EXPENSE	15.0	NIGHTS		3,750.00				3,750.00
MARK-UP	15%		4,934.03	10,475.56	924.11	-	225.00	16,558.70
SUBTOTAL DRY CREEK WTP								126,950.01

PLEASANT GROVE WTP								
DESCRIPTION	QUANT	UNIT	LABOR	MATERIAL	EQUIP	RENTAL	SUB	TOTALS
SUPPLIERS								
BASEROCK	1	LS		300.00				300.00
FORMING MATERIALS	1	LS		300.00				300.00
REBAR	1	LS		350.00				350.00
CONCRETE	1	LS		400.00				400.00
MISC	1	LS		500.00				500.00
FUEL PIPNG, SUPPORTS AND ACCESSORIES	1	LS		500.00				500.00
250 GALLON PROPANE TANK AND ACCESSOIRES	1	LS		2,000.00				2,000.00
DUMPSTERS	1	LS		750.00				750.00
								-
ELECTRICAL MATERIAL	1	LS		10,000.00				10,000.00
GENERATOR	1	LS		15,719.98				15,719.98
UPS SYSTEM	1	LS		33,767.08				33,767.08
								-
CONSTRUCTION								
SAWCUT AND REMOVE (E) CONCRETE	0.50	2LCD	566.68					566.68
EXCAVATE AND PREP SUBGRADE	1.00	2LCD	1,133.36					1,133.36
FORM FOUNDATION, INSTALL REBAR	1.00	2LCD	1,133.36					1,133.36
PLACE AND FINISH CONCRETE + PATCH (E) CONCRETE	1.00	2LCD	1,133.36					1,133.36
STRIP AND CLEAN-UP	0.50	2LCD	566.68					566.68
INSTALL PROPANE TANK	0.75	2LCD	850.02					850.02
INSTALL (N) GENERATOR	0.75	2LCD	850.02					850.02
INSTALL FUELING PIPING, SUPPORTS AND ACCESSORIES	1.00	2LCD	1,133.36					1,133.36
COATING (PIPE)							1,500.00	1,500.00
								-
ELECTRICAL INSTALL	13.00	3ELECD	24,960.00					24,960.00
HVAC	1.00	LS					8,370.00	8,370.00
								-
EQUIPMENT								
WORK TRUCK W/ TOOLS	19.5	DAYS			3,863.54			3,863.54
MINI EXCAVATOR	2.0	DAYS			2,000.00			2,000.00
								-
OTHER								
OUT OF TOWN EXPENSE	15.0	NIGHTS		3,750.00				3,750.00
MARK-UP	15%		4,849.03	10,250.56	879.53	-	1,480.50	17,459.61
SUBTOTAL PLEASANT GROVE WTP								133,857.06

TOTAL COSTS FROM ABOVE	\$ 321,360.35
CREDIT FOR AVAILBE BUDGETED COSTS AND DELETION OF TWO GENERATOR MCCS AT PGWWTP	\$ (100,000.00)
NET CHANGE ORDER AMOUNT	\$ 221,360.35

ORDINANCE NO. 5645

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for the Arc Flash Mitigation Design-Build Project per request of the Environmental Utilities Department, as listed on the attached Request for Budget Adjustment in the amount of \$240,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT

FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:
A.R. 6.01 Budget Adjustment Policy & Procedure

REQUESTER*: Charles K. Aycock

DEPARTMENT/DIVISION*: Environmental Utilities Department

FISCAL YEAR/EFFECTIVE DATE*: FY2015-16

PROPOSED COUNCIL DATE (if applicable): 03/02/2016

For more detailed budget adjustment training information, including examples, please click on the following link:
[Miscellaneous Budget Training Information](#)

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL		FUND*	JL		
	ORG KEY*	OBJECT*		PROJECT	ACTIVITY	
240,000	30503	6120	474	133502	20	DC and PG Arc Flash Mitigation CIP
65,000	00474	3902	474			Transfer from Water Rehab to Wastewater Rehab
\$ 305,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL		FUND*	JL		
	ORG KEY	OBJECT		PROJECT	ACTIVITY	
65,000			482			Water Rehabilitation Fund
65,000	00482	8902	482			Transfer out of Water Rehabilitation to WW Rehab
						Regional WW Rehab Funds from SPWA
110,845			474			-- SPWA Partner - Roseville
21,122	00474	3790	474	133502	79	--SPWA Partner - Placer County
43,033	00474	3790	474	133502	79	--SPWA Partner - SPMUD
\$ 305,000	TOTAL					

FINANCE USE	Approved: <u>Kathy Cullen</u> 2/2/16	Comments:
	BUDGET MANAGER/DESIGNEE	DATE

Justification for Budget Adjustment*:

Budget Adjustment to execute Change Order #2 to the DC and PG Arc Flash Mitigation Project; including construction costs and staff project management.

Approved: <u>Shirley D. Pinner</u> 2/10/16	Approved:
REQUESTING DEPARTMENT HEAD / DESIGNEE	CITY MANAGER
DATE	DATE



COUNCIL COMMUNICATION

CC #: 7506

File #: 0201-01 & 0324

Title: Fire Department Strike Team - Budget Adjustment
Contact: Katrina Rostam 774-5848 krostam@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.27.

RECOMMENDATION TO COUNCIL

The Fire Department is requesting Council approval for a budget adjustment to recognize the \$621,523.00 overtime expense and associated \$621,523.00 in revenue related to the department's participation in reimbursable strike teams.

BACKGROUND

The Fire Department is requesting a budget adjustment to recognize the \$621,523.00 overtime expense and associated \$621,523.00 in revenue related to the department's participation in reimbursable strike teams. As a participant in the California Fire Assistance Agreement (CFAA) and the United States Department of Agriculture/Forest Service Agreement (USDA/FS), the Fire Department sends resources, upon emergency request, to assist in the management and control of large fires that occur throughout our region every year. The CFAA can also provide resources to Roseville Fire should the department require and request them. This fiscal year to date, fire department crew have assisted all over California and Oregon. The contracts provide for staff, vehicle and overhead reimbursement.

FISCAL IMPACT

Since the increased expenses are reimbursed, there is no net effect on the General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Non-applicable.

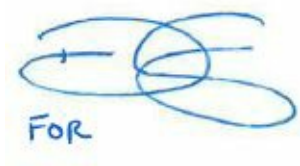
ENVIRONMENTAL REVIEW

This is not considered a "project" as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines section 15378). Consequently, no CEQA action is required.

Respectfully Submitted,

Katrina Rostam, Administrative Analyst

Rick Bartee, Fire Chief



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Ordinance No. 5648

Budget Adjustment - Strike Team

ORDINANCE NO. 5648

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for the Strike Team Assignments per request of the Fire Department, as listed on the attached Request for Budget Adjustment in the amount of \$621,523.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in: A.R. 6.01 Budget Adjustment Policy & Procedure.

For more detailed budget adjustment training information, including examples, please click on the following link: [Miscellaneous Budget Training Information.](#)

REQUESTER*: Katrina Rostam, Administrative Analyst
DEPARTMENT/DIVISION*: Fire Department/Fire Administration
FISCAL YEAR/EFFECTIVE DATE*: 2015/2015
PROPOSED COUNCIL DATE (if applicable): 03/16/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
567,221	06021	4005	100	660201	10	Constant Staffing - Fire Operations
19,712	06022	4005	100	660201	10	Constant Staffing - Fire Training
34,590	06022	4005	100	660201	10	Constant Staffing - Fire Logistics
\$ 621,523	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
621,523	06021	3790	100	660201	70	Strike Team Revenue/Reimbursement
\$ 621,523	TOTAL					

FINANCE USE	Approved: <i>Katrina Rostam</i> 2/17/16	Comments:
	BUDGET MANAGER/DISEGNEE	DATE

Justification for Budget Adjustment*:

The Fire Department is requesting a budget adjustment to recognize the overtime expense and associated revenue related to the department's participation in reimbursable strike teams. As a participant in the California Fire Assistance Agreement (CFAA) and the United States Department of Agriculture/Forest Service (USDA/FA), the Fire Department send resources upon emergency request to assist in the management and control of large fire that occur throughout our region every year. This fiscal year to date, our staff assisted all over California and Oregon. The contract provide for staff, vehicle and overhead reimbursement.

Approved: <i>Katrina Rostam</i> 2.17.16	Approved:
REQUESTING DEPARTMENT HEAD / DESIGNEE	CITY MANAGER
DATE	DATE



COUNCIL COMMUNICATION

CC #: 7506

File #: 0201-01 & 0324

Title: Fire Department Academy - Budget Adjustment
Contact: Katrina Rostam 774-5848 krostam@roseville.ca.us
Greg James 774-5570 gjames@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.28.

RECOMMENDATION TO COUNCIL

Staff recommends Council approve a budget adjustment to increase the Fire Department Fiscal Year 2015/2016 budget by \$161,002.00 for costs related to a Fire Department Academy to fill six open Firefighter Paramedic I/II positions.

BACKGROUND

The Fire Department currently has six open positions and is requesting \$161,002.00 in additional funds to fill those positions. Since the department's business model is 24/7 staffing and a position cannot go unfilled on a daily basis. The open positions are currently being filled with overtime, which cannot be continued on a long term basis without substantial risk to the employee's health and welfare. The department expects to promote staff to some of the existing vacancies and hire to fill the six positions at the Firefighter Paramedic I/II rank.

The Academy is expected to take 6 weeks and the \$161,002.00 will cover recruit salaries during the Academy, background costs, personal protective equipment, uniforms and an assortment of tools and supplies.

FISCAL IMPACT

The costs of running an Academy were not included in the Fiscal Year 2015/2016 approved budget. The costs of an academy are related to two areas: 1). Those related to overtime pay for existing staff to run the Academy, and 2). those related to salary and expenses for the trainees. The requested increase of \$161,002.00 will only fund the costs related to the trainees. The overtime costs for existing staff to run the Academy are not budgeted, however they will be absorbed in the current approved budget and additional funding is not being requested. The cost for this overtime has not yet been identified.

The requested increase is being proposed to come from the General Fund fund balance.

ECONOMIC DEVELOPMENT / JOBS CREATED

The increase to the Fire Department's Fiscal Year 2015/2016 budget will provide full employment to six additional individuals in the community but creates no new positions.

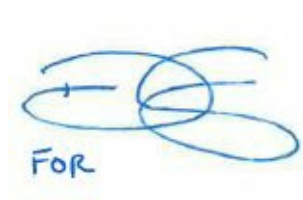
ENVIRONMENTAL REVIEW

This is not considered a "project" as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines §15378). Consequently, no CEQA action is required.

Respectfully Submitted,

Katrina Rostam, Administrative Analyst

Rick Bartee, Fire Chief



FOR

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Ordinance No. 5649

Budget Adjustment Form

ORDINANCE NO. 5649

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for the Fire Department Academy per request of the Fire Department, as listed on the attached Request for Budget Adjustment in the amount of \$161,002.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:
A.R. 6.01 Budget Adjustment Policy & Procedure.

For more detailed budget adjustment training information, including examples, please click on the following link:
[Miscellaneous Budget Training Information.](#)

REQUESTER*: Katrina Rostam, Administrative Analyst

DEPARTMENT/DIVISION*: Fire Department

FISCAL YEAR/EFFECTIVE DATE*: 2015/2016

PROPOSED COUNCIL DATE (if applicable): 03/16/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
64,425	06021	4398	100			Salaries and Benefits
7,887	06022	5225	100	660025	30	Professional Services
36,410	06022	5215	100	660025	40	Minor Tools
47,209	06022	5225	100	660025	40	Personal Protective Equipment
3,613	06022	4055	100	660025	40	Uniforms
1,348	06022	5330	100	660025	20	Equipment Repairs
110	06022	5998	100	660025	20	Miscellaneous Items
\$ 161,002	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
161,002			100			General Fund Balance
\$ 161,002	TOTAL					

FINANCE USE	Approved:	Comments:
<i>[Signature]</i>	<i>Kathy Cullen</i> 2/17/16	
	BUDGET MANAGER/DESIGNEE	DATE

Justification for Budget Adjustment*:

The Fire Department currently has six open positions and is requesting \$161,002 in additional funds to fill those positions. Since our business model is 24/7 and we cannot have a position go unfilled on a daily basis, we are currently filling positions with overtime. This is not something the department can do in the long term without substantial risk to the employee's health and welfare. The department expects to promote staff to some of the existing vacancies and hire in six positions at the firefighter paramedic I/II rank. The Academy is expected to take 6 weeks and the \$161,002 will cover recruit salaries during the Academy, background/medical costs, personal protective equipment, uniforms and an assortment of tools and supplies.

Approved:	Approved:
<i>Rail Burt</i> 2-11-16	
REQUESTING DEPARTMENT HEAD / DESIGNEE	CITY MANAGER
DATE	DATE



COUNCIL COMMUNICATION

CC #: 7534

File #: 0600 & 0201-01

Title: Performance Management Software - Service Agreement and Budget Adjustment

Contact: Linda Hampton 774-5215 lhampton@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.29.

RECOMMENDATION TO COUNCIL

Staff recommends City Council adopt an ordinance approving the attached budget adjustment and adopt a resolution authorizing the City Manager to execute the service agreement with Cornerstone OnDemand for Performance Management software, implementation, and training.

BACKGROUND

The City's current Performance Evaluation System was last upgraded in 2008. In December of 2009, the application was no longer supported by the vendor. Performance management is an important component of an organization, providing a vehicle for effective internal communication and accountability. The City's current system is used by 245 managers and supervisors to review approximately 1100 employees. The current performance evaluation application continues to experience increasing degradation with the ongoing upgrades of our Microsoft application environment. The software, operating system and database are no longer supported by the vendor and without application and operating system support, we risk the loss of the performance evaluation application. In 2015, staff went through a competitive RFP process to identify a Performance Management software replacement. The City received four responses and Cornerstone OnDemand was chosen. Cornerstone OnDemand meets the City's needs for a replacement system and also will bring greater efficiencies to the City by providing enhanced tools to allow the managers to align competencies, skill sets and experiences with departmental objectives and organizational strategies.

The agreements to be executed are:

Service Agreement

Cornerstone OnDemand Master Agreement

Cornerstone OnDemand – Order, Statement of Work and Payment Schedule

FISCAL IMPACT

The proposed agreement is for a three year term and includes a one-time software implementation cost of \$19,740 with an annual product subscription cost of \$33,650. A portion of the expense is being absorbed in department's FY16 budget, and the balance is coming from the

General Fund contingency.

ECONOMIC DEVELOPMENT / JOBS CREATED

No new jobs will be created by this action.

ENVIRONMENTAL REVIEW

Software changes are not considered a “project” as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines 15378). Consequently no CEQA action is required.

Respectfully Submitted,

Linda Hampton, Senior Human Resources Analyst

Gayle Satchwell, Human Resources Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-97

Cornerstone Signed Agreement

Ordinance No. 5657

Budget Adjustment Performance Mgmt System

RESOLUTION NO. 16-97

APPROVING A SERVICE AGREEMENT
BETWEEN CITY OF ROSEVILLE AND CORNERSTONE ONDEMAND, AND
AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF
ROSEVILLE

WHEREAS, a service agreement (Service Agreement No. S1604118) between City of Roseville and Cornerstone OnDemand, has been prepared and reviewed by the Council;

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said service agreement is approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



Attached is a copy of a service agreement for your review and acceptance. Please review the company name, address, and contact information and notify me via email if any corrections need to be made. I will update the agreement prior to you signing the document.

Sign the agreement using the below signature guidelines. Alterations or modifications to the agreement are not allowed. Mail **two signed original** copies of the agreement to the address noted below. Faxes, photocopies, and electronic transmittals will not be accepted. The City requires a *wet* signature on file. Upon receipt of all necessary documents, the City will sign the agreements and return a fully executed original copy to you. Receipt of the signed copy will be your notice to proceed with the work in accordance with the terms and conditions of the service agreement. Work must not begin until the contract has been fully executed.

The following guidelines must be followed for the signature block on the Service Agreement:

- Sole proprietorship** - **By owner**
- Partnership** - **Any general partner**
- Corporation** - **Two options:**
 - (1) A signature from the President and the corporate seal; or**
 - (2) One signature from the Chairman of the Board, President, or any Vice President AND one signature from the Secretary, any Assistant Secretary, Chief Financial Officer, Treasurer, or any Assistant Treasurer of the corporation**

The service agreement will be rejected if not signed in accordance with these guidelines.

Insurance requirements:

The City's insurance requirements are referenced on Attachment A in this service agreement packet. The service agreement will not be considered active or valid until the necessary insurance documents have been received by Roseville's insurance compliance contractor, EBIX. Please contact EBIX customer service at (951) 652-4239 or roseville@ebix.com for any insurance related questions.

Service Agreement Return Address:
Purchasing, Peter Brevik
2005 Hilltop Circle
Roseville, CA 95747-9704



PURCHASING
CITY OF ROSEVILLE

2005 HILLTOP CIRCLE, ROSEVILLE, CA 95747
(916) 774-5720 • TDD (916) 774-5220 • FAX (916) 774-5736

SERVICE AGREEMENT

SERVICE AGREEMENT

No: **S1604118**

SERVICE LOCATION: CITY OF ROSEVILLE
AS DIRECTED

REQ. NO.: R1613619 DATE: 02/16/16

SUBMIT ALL INVOICES TO:
CITY OF ROSEVILLE
Finance Department
311 Vernon Street
Roseville, CA 95678

Contractor No.: V40849

Telephone No.: 508-269-9566 Fax:

Email address:

Contractor: CORNERSTONE ONDEMAND
ATTN: HILARY HARRIS
1601 CLOVERFIELD BLVD, SUITE 600 SOUTH
SANTA MONICA, CA 90404

Department: HUMAN RESOURCES

Acct. Code: 03100-5210

Buyer: PETER BREVIK
Phone: 916-774-5724

Start Date	Terms	Completion Date	Insurance Limits	Contact
*ASAP	NET 30	3/16/19	Approved by Risk Mgt.	LINDA HAMPTON

The contractor shall furnish all labor, equipment and materials necessary to accomplish the following:

PROVIDE A PERFORMANCE MANAGEMENT SOFTWARE SOLUTION FOR PERFORMANCE APPRAISALS IN ACCORDANCE WITH ALL TERMS, CONDITIONS, ATTACHEMENTS AND ADDENDUMS FROM RFP 11-003 PERFORMANCE MANAGEMENT SYSTEM

PLEASE CONTACT LINDA HAMPTON AT (916) 774-5215 FOR QUESTIONS REGARDING THIS AGREEMENT.

*PROPER INSURANCE MUST BE IN PLACE BEFORE SERVICE CAN BEGIN.

Total Cost of Service: \$ **53,390.00**

ATTENTION: Total cost of service not to exceed the agreement amount without prior approval of the Purchasing Office.

The Contractor named hereon by the acceptance of this order agrees to the provisions of this document titled "Service Agreement" and Attachment "A".

Business License No.: _____ Contractor License No.: _____ DIR Registration No.: _____

☐ SOLE PROPRIETOR

☐ PARTNERSHIP

☒ CORPORATION

CONTRACTOR: _____
SIGNATURE PRINT NAME TITLE

CONTRACTOR: _____
SIGNATURE PRINT NAME TITLE

By: _____
Rob Jensen, City Manager
CITY OF ROSEVILLE, A MUNICIPAL CORPORATION

1. To the fullest extent allowed by law, Contractor shall defend, indemnify, and save and hold harmless City, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of Contractor's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from City's sole negligence or willful misconduct. Contractor's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.
2. Contractor is an independent contractor, and shall not be considered an officer, agent or employee of the City.
3. Without the written consent of the City, this Agreement is not assignable by Contractor either in whole or in part.
4. Time is of the essence of this Agreement where so expressly stated.
5. This Agreement may only be amended or modified in a writing signed by the parties. It is integrated and contains the complete understanding of the parties.
6. All equipment, supplies and services sold to the City of Roseville shall conform to the general and applicable safety orders of the State of California to which the equipment, supplies and/or Services are subject.
7. Unless notified to the contrary, in writing, the City assumes that the Contractor has accepted the work in accordance with the plans and specifications (if any) and agrees to do the work in compliance with this Agreement, as further set forth in the Cornerstone Master Agreement.
8. All applicable fair employment practices must be adhered to. In addition, if the project referenced on this service agreement is a Public Works project, all prevailing wage laws must be complied with. For prevailing wage contracts over \$25,000, copies of certified payroll must be submitted with invoices. Prevailing wage rates may be obtained from the State Department of Industrial Relations and/or the following website address: <http://www.dir.ca.gov/dlsr/DPreWageDetermination.htm>.
- 9a. Unless otherwise specified, the Contractor shall maintain the policies of insurance outlined in Attachment A, incorporated herein by this reference, in full force and effect during the term of this Agreement.
- 9b. Form. Upon request, Contractor shall submit a certificate evidencing such coverage for the period covered by this Agreement.
- 9c. Additional Insureds. Contractor shall also provide a separate endorsement or section of the policy showing City, its officers, agents, employees, and volunteers as additional insureds for CGL policy only. Such insurance shall specifically cover the contractual liability of Contractor. The additional insured coverage under the Contractor's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from City's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds.
- 9d. Cancellation/Modification. Contractor shall provide ten (10) days written notice to City prior to cancellation or material, negative modification of any insurance required by this Agreement.
- 9e. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of City (if agreed to in a written contract) before City's own insurance shall be called upon to protect it as a named insured.
- 9f. Subcontractors. Contractor agrees to either be responsible for its subcontractors acts and omissions or to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, Contractor shall require its subcontractors to agree to be bound to Contractor and City in the same manner and to the same extent as Contractor is bound to City under this Agreement. Additionally, Contractor shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of City's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.
- 9g. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or City. City reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.
- 9h. Waiver of Subrogation. Upon written request, Contractor hereby agrees to waive subrogation which any insurer of Contractor may acquire from Contractor by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of City, its officers, agents, employees and volunteers for all work performed by Contractor, its employees, agents and subcontractors.
- 9i. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve Contractor of liability in excess of such coverage, nor shall it preclude City from taking such other actions as are available to it under any other provisions of this Agreement or law.
10. Contractor shall comply with all federal, state and local laws and ordinances, including but not limited to the City's storm water regulations, as may be applicable to the performance of services under this Agreement. Failure to comply with local ordinances may result in monetary fines and cancellation of this Agreement. Refer to www.roseville.ca.us/stormwater for links to more information on the City's storm water regulations.
11. In the event that the terms of any attachment or exhibit conflict with any terms of this Service Agreement, the terms of this Service Agreement shall control.
12. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. In the event that any signature is delivered by facsimile transmission or by e-mail

delivery of a ".pdf" format data file, such signature shall create a valid and binding obligation of the party executing (or on whose behalf such signature is executed) with the same force and effect as if such facsimile or ".pdf" signature page were an original thereof.

13. If either party commences any legal action against the other party arising out of this Agreement or the performance thereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorneys' fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.
14. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.
15. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.
16. If the project referenced on this service agreement is a Public Works project, then the following shall apply. No contractor or subcontractor may work on a public works project unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5. During the performance of this agreement, Contractor and its subcontractors shall have a continuing legal obligation to maintain current registration with the Department of Industrial Relations. Contractor is hereby notified that this project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

<i>City reserves the right to withhold any payments to Contractor (1) in the event of noncompliance with insurance requirements or (2) if required by law.</i>
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ATTACHMENT A

HUMAN RESOURCES/RISK MANAGEMENT DIVISION INSURANCE REQUIREMENTS – SERVICE AGREEMENT GENERAL – IT SERVICES

Required Coverage:

- General Liability: \$1,000,000 per occurrence
\$2,000,000 aggregate
Personal Injury:
\$1,000,000 per occurrence
\$2,000,000 aggregate
- Workers' Compensation: Statutory
- Errors & Omissions: \$1,000,000 per occurrence

- *Policies must be primary and non-contributory
- *Policies must contain a waiver of subrogation
- *A 10 day notice of cancellation must be provided
- *The policies may not contain language which prohibits additional insured or other insurers from satisfying the self-insured retention or deductible
- *All Self Insured Retentions must be listed on the certificate

Required Documentation:

- A certificate(s) of insurance listing the required coverage and naming the City of Roseville as Certificate Holder. The Certificate should be addressed to:

The City of Roseville
Insurance Compliance
P.O. Box 12010-R1, Hemet, CA 92546-8010

- Additional Insured Endorsement- General Liability policy: CG 20 10 04 13 or an equivalent, blanket endorsement or section of the policy. Endorsement shall cover the City of Roseville, its officers, agents, employees and volunteers as additional insured
- Waiver of Subrogation Endorsements
- Primary and Non-Contributory Coverage Endorsement – CG 20 01 04 13 or an equivalent

***NOTE: statements on the certificate of insurance in lieu of the documentation required above will not be accepted.**

Questions concerning any of the above should be directed to Ebix Customer Service
Phone: 951-652-4239 /fax 770-325-5727 or email: Roseville@ebix.com

Cornerstone OnDemand – ORDER			
Client Name ("Client"):		City of Roseville, CA	
Order Effective Date:		[Date of the last signature below]	
Master Agreement Effective Date:		[Date of the last signature below]	
Is a new purchase order required for this purchase?		("No," unless box is checked) ☐ Yes: PO#	
Order Start Date:	Order Effective Date	Order Term/ End Date:	3 years, plus two optional 1-year terms

This Order is hereby incorporated into and made part of the Master Agreement (sometimes referred to as the Cloud Subscription Agreement or License and Services Agreement) by and between Client and Cornerstone OnDemand (the "Agreement"). Capitalized terms used, but not otherwise defined, herein shall have the same meanings assigned to those terms in the Agreement. If the term of the Agreement is set to expire prior to the end of the Order Term, the term of the Agreement is hereby extended through the end of the Order Term for the purposes of this Order.

PRODUCT SUBSCRIPTIONS (purchased if checked)		Subscribers / Active Users (except where otherwise stated)	Annual Fee
RECRUITING	☐ Recruiting ☐ Campus Recruiting		
ONBOARDING	☐ Onboarding		
PERFORMANCE	☒ Performance (includes HRS&G Competencies in Year 1 at no cost; not purchased in Years 2 and 3)	1,100	\$31,900.00
COMPENSATION	☐ Compensation		
SUCCESSION	☐ Succession		
CONNECT	☐ Connect		
LEARNING	☐ Learning		
	☐ Certifications		
	☐ Competencies		
	☐ Extended Enterprise ☐ eCommerce ☐ Certifications ☐ Competencies		
	☐ Cornerstone for Salesforce*		
Support Package: ☒ Professional ☐ Professional Plus ☐ Premier ☐ Premier Plus		Included	Included
☒ Administrator Training Package		10	\$1,750.00
☐ Course Publisher (if Learning is purchased)			
☐ Content Delivery			
Content: ☐ Core ☐ Gold ☐ Gold Plus ☐ Platinum Plus ☐ Other			
☐ Additional Language Packs			
☐ Data Load Wizard			
☐ Web Services			
ANNUAL FEE SUBTOTAL			\$33,650.00
ONE-TIME SERVICES (purchased if checked)			One-time Fee
☐ VSP hours (may be applied toward any future Service (except for Consulting) purchased within 1 year of the Order Effective Date, after which unused hours expire without refund)			
☒ Services (see attached Statement of Work)			\$19,740.00
FIRST YEAR GRAND TOTAL			\$53,390.00

Annual fees are invoiced annually, beginning on the Order Start Date, through the Order End Date. If applicable, the final invoice for annual fees (except for eLearning content) will be prorated as follows: (total number of days in the prorated period / 365) x annual fee. One-time fees are invoiced on the Order Start Date. See <http://www.cornerstoneondemand.com/support> for detailed support descriptions. Support package selected above applies to all subsequent Orders except where otherwise stated.

Notwithstanding the foregoing, the payment schedule for the purchases set forth above shall be as follows:

Milestone #	Milestone Description/ Due Date	Acceptance Criteria	Milestone Amount	Invoice Date	Due Date
1	Project kick off Discovery and Data Integration	-Cornerstone delivers Client Portals -Kick Off Call to introduce resources/project timelines, and to set project expectations. -Client accesses the Client Success Center to begin Training Workbook implementation activities and eLearning Classes.	All Recurring fees for Year 1 \$31,900 + \$1,750 = \$33,650	Order Effective Date	Net 30
2	Learn and Configure Your Portal – Part 1	-Live scheduled Working Sessions with assigned Cornerstone Implementation Consultant for configuration direction on best practices and support.	\$5,800.00	Upon completion of milestone	Net 45
3	Learn and Configure Your Portal– Part 2	-Cornerstone Implementation Consultant reviews Configuration Portal, directs client which configurations need to be moved into live portal. --Client configures live portal based on Cornerstone's training and support Review & provide User Acceptance Testing to the Client.	\$2,850.00	Upon completion of milestone	Net 45
4	User Acceptance Testing and System Readiness	-Run User Acceptance Testing scripts -Final Review of Portal Configuration.	\$2,850.00	Upon completion of milestone	Net 45
5	Inbound Data Feed		\$2,160.00	Upon completion of milestone	Net 45
6	Single Sign On Standard (SSO)		\$1,080.00	Upon completion of milestone	Net 45
7	Performance Historical Data Load (PHDL)		\$5,000.00	Upon completion of milestone	Net 45
8	Transition Post Go Live	-Transition to Client Success Manager for ongoing support and resources as a live Cornerstone Client	N/A	N/A	N/A
Year 2 - Subscription Renewal			\$33,650	Order Effective Date + 12 months	Net 30
Year 3 - Subscription Renewal			\$33,650	Order Effective Date + 2 years	Net 30
(OPTIONAL YEAR) Year 4 - Subscription Renewal			\$33,650	Order Effective Date + 3 years	Net 30
(OPTIONAL YEAR) Year 5 - Subscription Renewal			\$33,650	Order Effective Date + 4 years	Net 30

**If the "Cornerstone for Salesforce" box on this page is checked, Client acknowledges that Cornerstone does not support, and service levels do not apply to, customized code. Cornerstone for Salesforce purchases are subject to the Cornerstone for Salesforce Terms and Conditions located at: <http://www.cornerstoneondemand.com/sites/default/files/cfs/CFS-Rider-to-CSOD-Agreements-2013-08-26.pdf>.*

Agreed and accepted:

Client		Cornerstone OnDemand	
Signature:		Signature:	
Name:		Name:	Adam Miller
Title:		Title:	President / CEO
Date:		Date:	February 26, 2016

Cornerstone OnDemand – ORDER

STATEMENT OF WORK

SCOPE OF SERVICES AND DELIVERABLES

Client Portal and Configuration Set Up

- Cornerstone will create and activate the Client portals (live, pilot, stage) with the URLs requested by the Client.
- Cornerstone will create Client Administrator user login and configure initial tasks including:
 - Configure default preferences
 - Configure initial security roles
 - Configure initial branding
 - Access to **The Cornerstone Success Center** providing access to training and product information
 - Access to **My Success Portal** providing access to Cornerstone product support teams and reporting of product issues
 - Activate licensed functionality

Timeline and Delivery

Upon completion of the Client portal and configuration set up, Cornerstone will distribute all access credentials to the Client, which indicates the Client Portal systems are ready for use. Acceptance of these deliverables will be in accordance with the Agreement. Upon completion of the Client Portal and Configuration Set Up phase, the Software is ready for use by Client.

PROJECT RESOURCES

The table below outlines recommended resources and time estimates for each phase. Time durations are estimates and may vary based on client requirement. Each phase overlaps and may require a shifting of hours among phases based on Client's processes. The project lifecycle may be repeated for each additional module.

Phase	Estimated Duration	Cornerstone Resources	Client Resources
Initiate	3 Weeks	• Implementation Consultant	• Project Manager • Business Process Owners • System Administrators
Design	4 Weeks	• Implementation Consultant • Integration Consultant	• Project Manager • Business Process Owners • Technical Resources • System Administrators
Deliver	3 Weeks	• Implementation Consultant • Integration Consultant • Client Success Manager	• Project Manager • Business Process Owners • System Administrators • Technical Resources

IMPLEMENTATION SERVICES AND ESTIMATED TIMELINE

The Scope of Services outlined below provides a breakdown of the key components of the Implementation Services and the corresponding deliverables to be provided by Cornerstone and Client.

Phase	Cornerstone Deliverables	Client Deliverables
Initiate	Weeks One thru Three: • Training Plan developed and delivered to client • Project initiation call with client. Confirm project scope with client project team • Create meeting schedule for project lifecycle • Establish and document project controls and processes for status reporting, issue resolution, and risk management processes • Schedule kickoff meeting to review client design decision points • Communicate requirement to complete Organizational Units, Security preferences and training • Complete remote kick-off meeting • Review technical projects in-scope • Send discovery questionnaire to client • Collect client process documentation. • Deliver the Project Plan to the client • Conduct technical kickoff call with client • Implementation Consultant schedules and leads Organizational Unit Workshop • Deliver discovery document and technical projects questionnaires • Schedule and lead technical kickoff calls when applicable or direct client to recorded technical workshops • Review client documentation • Deliver technical documentation (data design documents and templates) • Collect any client process documentation the client can provide • Create project plan for implementation services • Implementation Consultant updates implementation discovery documentation • Complete options for webcast training session with client	Weeks One thru Three: • Client begins training of Cornerstone OnDemand prescriptive training plan • Participates in remote kick-off meeting • Confirm project plan and meeting schedule • Assemble project team • Define measures of project success • Complete initial administrator training, pre-work, and discovery questionnaires • Attend technical project kickoff calls • Complete discovery questionnaires • Confirm project plan and meeting schedule • Provide branding and marketing requirements • Provide organization chart(s) to assist in designing Organization Unit structure • Provides sample user profile record and definition • Client content provider listing and courses • Deliver documented performance processes including process maps and supporting forms or documentation • Provide external user approval workflows • Provide external training requirements • Provide use case scenarios to model recommended configuration
Design	Weeks Four thru Seven: • Document decisions and remaining action items for : ◦ Organizational unit and user data design ◦ Global system preferences ◦ Welcome Page configuration ◦ Performance management preferences ◦ Navigation tabs and links ◦ Custom security roles matrix ◦ Email management matrix • Documented technical projects: ◦ Single Sign-On ◦ Inbound Data Feed – OU/user data ◦ Historical Data Upload(s) • Complete decisions needed to document the configuration workbook • Technical follow up meeting (Remote) • Cornerstone will configure pilot portal based on client requirements presented in discovery questionnaire	Weeks Four thru Seven: • Complete configuration, documenting decisions for the following: ◦ Global system preferences ◦ Welcome Page configuration ◦ Performance management preferences ◦ Navigation tabs and links ◦ Custom security roles matrix ◦ Email management matrix update • Complete Custom Login Page workbook • Complete design specifications for technical projects: ◦ Single Sign-On ◦ Inbound Data Feed – OU/user data ◦ Historical Data Upload(s) • Complete remaining configuration decisions post configuration workshop and document remaining design specifications • Attend remote follow-up design sessions

	- Conduct remote follow-up design sessions with client for remaining configuration decisions - Discuss User Acceptance Testing including test scripts and participants - Change management discussion or workshop if purchased - Comp Only – Load Salary Data (In Pilot post copy down)	- Review and accept Cornerstone deliverables - Complete and implement technical projects including: - Single Sign-On - Inbound Data Feed – Organizational Unit/user data - Historical Data Upload(s)
Deliver	Week Eight: - Complete technical projects: - Single Sign-On - Inbound Data Feed – Organizational Unit/user data - Unit test system interfaces - Conduct technical follow up meeting - Wrap follow up configuration session(s) - Conduct User Acceptance Testing prep meeting (remote) Week Nine: - Schedule daily User Acceptance Testing touch base to solution review open issues with client (include Client Success Manager) - Solidify configuration with client in preparation for User Acceptance Testing in pilot - Ensure Historic Data Load has gone through initial validation in pilot - Copy pilot to stage if you need to preserve Historic Data Load or other configuration through week 10 for validation - Inbound Data Feed configured in Live portal. Inbound Data Feed will be validated	Week Eight: - Attend follow-up configuration session(s) - Attend User Acceptance Testing prep meetings - Complete setup in live portal including: - Global Configurations – emails triggers, security roles, welcome page, preferences - Language translations, as necessary - Configuration of additional client security roles - Performance Module - Create goals, competencies, competency models, development plans, review questions, review templates, tasks - Create succession metrics for succession plans, succession templates and tasks - Create compensation salary structure, adjustment guidelines, share prices, templates, and tasks - Create career center preferences and data for resumes, questions, and location bank Week Nine: - Attend all User Acceptance Testing calls - Review UAT feedback with Implementation team - Make corrections or configuration changes based on UAT findings in Live portal Week Ten: - Test system interfaces end-to-end - Review and accept Cornerstone technical project deliverables - Single Sign-On - Inbound Data Feed – OU /User data - Historical Data Upload(s) - Populate specific test data like tasks and users - Create and complete client-specific test assessment template - Create and complete user acceptance test scripts - Attend Client Success Manager transition meeting - Client makes configuration adjustments on Pilot and Live portals - Update Live portal configuration based on testing feedback - Post Live issue remediation (partner with Client Success Manager to assist) - Client Go-Live
	Week Ten: - Daily User Acceptance Testing touch base to review open testing issues with client (include Client Success Manager) - Complete Client Success Manager handoff documentation and submit request for Client Success Manager - Single Sign-On, in Live - Copy down executed to pilot (If necessary can do copy over from pilot to stage prior to Live Copy Down) - Obtain named care admins from client - Update issue log, including defects - Provide coaching for configuration updates - Schedule Client Success Manager Transition Call - Ongoing Historical Data Load validation (stage) and load into production - Triage (categorize and prioritize) reported issues and address prior to go-live - Finalize integration projects in production - Support Client during testing and validation - SOW Review with Client Success Manager - Triage (categorize and prioritize) reported issues and address prior to go-live - Close out any open issues/items for Go Live - Client Go-Live - Discuss post live survey with client	

- Schedule and execute final Historical Data Loads
- Conduct project close out

TECHNICAL PROJECTS

Inbound Data Feed – User/Organizational Unit (IDF User/OU)

Brief Summary

Integration with data from Client's system enabling automated maintenance of user and organizational units (OU) via a scheduled Inbound Data Feed (IDF) of the following data sets:

- User Profile data
- Organizational Unit (OU) data

Tasks

- Cornerstone: Provide Client with the Cornerstone standard Inbound Data Feed of User/OU (IDF User/OU) design document and template
- Cornerstone: Lead Client in IDF User/OU workshop to review data feed process and support the functional decisions of Client
- Cornerstone: Create IDF User/OU design document for Client
- Client: Sign off on IDF User/OU design document
- Client: Load files on pilot FTP folder for load, complying with Cornerstone's formatting requirements
- Cornerstone: Schedule IDF User/OU to run in pilot portal on a regular basis to allow testing by Client
- Cornerstone: Email the pilot portal IDF User/OU log file to identify load errors, after each load attempt
- Client: Review, update, and sign off the IDF User/OU process in pilot portal
- Client: Load files on live FTP folder for load, complying with Cornerstone's formatting requirements
- Cornerstone: Schedule and automate IDF User/OU in live portal
- Cornerstone: Email the live IDF User/OU log file to identify load errors, after each load attempt
- Client: Review, update, and sign off on the IDF User/OU process in live portal

Assumptions

- Client utilizes Cornerstone standard IDF User/OU design document and template for all data types
- Client is responsible for uniquely identifying records across all data types
- All data records referencing user data are by user's unique identifier value (UserID)
- Client has skilled software resources that can extract data from source systems and transform data to the format(s) defined by the approved IDF design document
- Client will perform all data file consolidations necessary and provide data files in formats defined in the approved IDF User/OU design document. All mandatory data fields must be populated for all records
- Client is responsible for properly validating data and identifying any errors prior to signing off on feed in live portal
- Client acknowledges that once the design document is approved, any changes or modifications to the work, scope, or the feed will require creation of a change request document. Change requests are reviewed and could result in additional charges to Client
- Any changes following Client signoff will require a work order or SOW submission

Historical Data Load – Performance (HDLP)

Brief Summary

Migration of legacy system data to the Cornerstone portal. Migrated data includes the following data types:

- Review scores, including up to three (3) years' worth of overall performance review scores
- Review documents, including up to three (3) years' worth of performance PDF documents
- Goals library

Historical Data Load – Performance (HDLP)

- Previous year's user goals
- Competency library and models

Tasks

- Cornerstone: Provide Client with the Cornerstone standard data design document template
- Cornerstone: Lead Client in data loading workshops to review data load process and support the functional decisions of Client
- Cornerstone: Create data design document for Client
- Client: Sign off on data design document
- Client: Prepare files for loading by Cornerstone integration consultant
- Cornerstone: Load files into the pilot portal
- Client: Review and correct any errors detected in the upload process
- Cornerstone: Reload corrected files as necessary in pilot portal (up to three (3) iterations per data type)
- Client: Review and approve data loaded to pilot portal
- Cornerstone: Load data on live portal

Assumptions

- Utilize Cornerstone standard data design document template for all data types
- All data loads reference User data by a unique identifier
- Client is responsible for uniquely identifying records across all data types
- Client has skilled software resources that can extract legacy data from source systems
- Client has the ability to transform data to the format(s) defined by Client-approved data design document
- Client will perform all data file consolidations necessary by data type defined above
- Maximum of three (3) iterations of loads by data type for purpose of correcting errors; any additional iteration may require a change request document
- Client acknowledges that once the design document is approved, any changes or modifications to the work, scope, or the feed will require a change request document. Change requests are reviewed and could result in additional charges to Client
- Any changes following Client sign-off will require a work order or SOW submission

Single Sign On (SSO) – AES Encrypted, SAML 1.1, or SAML 2.0

Brief Summary

Cornerstone to provide support on one of the following Single Sign On (SSO) integration from and outsider portal to Client's Cornerstone Portal:

- AES Encrypted
- SAML 1.1
- SAML 2.0

Tasks

- Cornerstone: Provide Client with the Cornerstone SSO Technical Documentation
- Cornerstone: Lead the Client in SSO workshops to review SSO process and support the functional decisions of the Client
- **AES Encrypted Single Sign On (SSO)**
 - Cornerstone: Provide sample code for Pilot Portal and Live Portal to deploy the AES SSO
 - Cornerstone: Provide the AES end point URLs to the Client
 - Client: Populate, encrypt and post the token as per Cornerstone requirements
 - Client: Deploy, test and sign off the AES Encrypted SSO in Pilot Portal
 - Client: Deploy, test and sign off the AES Encrypted SSO in Live Portal
- **SAML V 1.1 OR SAML 2.0 Single Sign On (SSO)**
 - Client: Provide:
 - Base64 encoded – X.509 public Certificate (.crt, .cer)
 - Base64 encoded sample SAML Response Assertion (.txt)
 - Cornerstone: Configure Client's Pilot Portal with SSO SAML 1.1 OR 2.0
 - Client: Review and sign off on SSO SAML 1.1 OR 2.0 in Pilot Portal

Single Sign On (SSO) – AES Encrypted, SAML 1.1, or SAML 2.0

- o Cornerstone: Configure Client's Live Portal with SSO SAML 1.1 OR 2.0
- o Client: Review and sign off on SSO SAML 1.1 OR 2.0 in Live Portal

Assumptions

- Client utilizes Cornerstone standard SSO Design Specifications and complies to Cornerstone requirements to integrate AES Encrypted SSO, SAML 1.1 SSO, or SAML 2.0 SSO only.
- Any other type of Single Sign On Solution Integration other than the above mentioned items is outside the scope of this project and considered a custom Single Sign On Solution. Client is responsible to make sure User Identification values (UserID, Username OR Email address) are unique and matching existing users in the CSOD portal
- AES Encrypted Single Sign On (SSO)
 - o Client has skilled software resources (Java or .Net programming) available who can establish an AES Encrypted SSO protocol and configure authentication to support CSOD's AES Encrypted SSO
 - o Client has skilled software resources available who can establish an SSO SAML protocol and configure authentication to support Cornerstone's SSO SAML V1.1 OR 2.0
- SAML V 1.1 OR 2.0 Single Sign On (SSO)
 - o Client will transfer the Assertion and Certification files to Cornerstone as per Cornerstone requirements defined on design specification document and will only transfer them through FTP folder (not email)
 - o The assertion is signed using an X.509 certificate, sha1RSA algorithm and is Base64 encoded
- Client acknowledges that once the design document is approved, any changes or modifications to the work scope will require creation of a Change Request document. Change requests are reviewed and could result in additional charges to the Client
- Any changes following Client signoff will require a Work Order or SOW submission

TIMELINE AND DELIVERY

The Implementation Services will take approximately 10 weeks in duration and will be conducted remotely by Cornerstone, except for any outside services so expressly identified herein. Pre-approved travel expenses for on-site activities are the responsibility of Client.

Changes to the scope of this statement of work and/or Client delays that result in an increase to this estimate by more than 10% will require a change order, and may result in additional expense. The professional Services time will be tracked throughout the implementation and Client will be notified prior to actual hours exceeding the estimate, as well as whether a change request and SOW addendum will be required.

Cornerstone delays will NOT require an SOW addendum or result in additional expense to Client.

Project Components	Cost
Performance	
o Performance Implementation	\$11,500.00
o Inbound Data Feed - OU/Users (IDF)	\$2,160.00
o Single Sign On Standard (SSO)	\$1,080.00
o Performance Historical Data Load (PHDL)	\$5,000.00
Total Additional Services Cost	\$19,740.00

The end of the Implementation Services is defined as the completion of the above Cornerstone deliverables as outlined under the Implementation Services section of this document. Acceptance of the deliverables will be in accordance with the Agreement.

ASSUMPTIONS AND CLIENT OBLIGATIONS

In order for Cornerstone to provide the Services outlined in this Statement of Work, Client shall provide the necessary resources to fulfill the obligations listed below:

Project Specific

- Client is solely responsible for testing all processes during the UAT phase
- Client will utilize the Cornerstone course publisher to upload online content to the portal. All Client content is SCORM v1.2 or AICC v3.5 compliant
- Client is solely responsible for testing (Tracking, Completion, etc.) all content loaded to the Cornerstone portal.
- Any technical integration or service not expressly listed in this Statement of Work with an accompanying price will be scoped as a separate work effort and is not included in the scope of this document.
- Requests for application code changes are out of scope
- Retire Client pilot portal within 60 days after implementation; stage and live portals to remain for the term
- Additional contracts may be required to utilize third party (non Cornerstone OnDemand, Inc.) services and integrations such as job board aggregation, video interview, background screening, employee eligibility and citizenship, ,
- Except where otherwise stated or agreed by the parties, Cornerstone's obligation to perform an Implementation-related Service expires at the earlier of: (i) acceptance of the Service by Client; (ii) if Client does not commence the project, one year from the purchase date; or (iii) if Client commences the project but subsequently does not proceed with the project, six (6) months from the date Client ceased working on the project.

Cornerstone OnDemand – Master Agreement COVER PAGE

Effective Date ("ED"):	
------------------------	--

Client Name ("Client"):	City of Roseville, Attn: Human Resources		
Client Address:	311 Vernon Street, Roseville, CA 95678		
Billing Address:	[Same as Client Address]		
Federal Tax ID# / VAT #:			
<u>Primary Contact</u>		<u>Billing Contact</u> (if different than Primary Contact)	
Name:	Linda Hampton	Name:	
Title:	Senior Human Resources Analyst	Title:	
Email:	LHampton@roseville.ca.us	Email*:	
Phone:	(916) 774-5215	Phone:	

*NOTE: All invoices will be emailed to Client unless otherwise agreed by the parties.

Is Client exempt from applicable sales/VAT tax?	☐ Yes ("No," unless box is checked)
Does Client require a purchase order ("PO")?	☐ Yes ("No," unless box is checked)
PO Number (if applicable):	

By signing below, each party acknowledges that it has read, understands, and agrees to the provisions set forth in the documents listed and checked below, which together comprise the Master Agreement (the "**Agreement**"). No other terms and conditions will apply. Capitalized terms set forth in the Agreement shall have the respective meanings set forth in the Master Terms and Conditions. Except as otherwise expressly set forth herein, all purchases are non-cancelable and non-refundable. Fees are exclusive of applicable sales, use, VAT, and other taxes, and are net of withholding taxes.

Client		Cornerstone OnDemand, Inc.	
Signature:		Signature:	
Name:		Name:	Adam Miller
Title:		Title:	President / CEO

Approved as to form:



Cornerstone OnDemand – Master Agreement

MASTER TERMS AND CONDITIONS

These Master Terms and Conditions are made a part of and incorporated by reference into the Cornerstone OnDemand – Master Agreement by and between Client and Cornerstone OnDemand (“**Cornerstone**”) (the “**Agreement**”).

1. Definitions.

- a) “**Active User**” means, in a given calendar month, a user established on the Software with a designation of “active” at any time during that month. Client has the ability to determine who is an Active User.
- b) “**Affiliate**” means a party that partially (at least 50%) or fully controls, is partially or fully controlled by, or is under partial (at least 50%) or full common control with, another party.
- c) “**Client Content**” means any and all courses, learning objects, certifications, quizzes, tests, materials, instructor-led sessions, or documents created and/or supplied by Client.
- d) “**Client Data**” means proprietary or personal data supplied by Client or regarding any of its users under this Agreement.
- e) “**Confidential Information**” means any non-public information of Cornerstone or Client disclosed by either party to the other party, either directly or indirectly, in writing, orally or by inspection of tangible objects, or to which the other party may have access, which a reasonable person would consider confidential and/or which is marked “confidential” or “proprietary” or some similar designation by the disclosing party. Confidential Information shall not, however, include the existence of the Agreement or any information which the recipient can establish: (i) was or has become generally known or available or is part of the public domain without direct or indirect fault, action, or omission of the recipient; (ii) was known by the recipient prior to the time of disclosure, according to the recipient’s prior written documentation; (iii) was received by the recipient from a source other than the discloser, rightfully having possession of and the right to disclose such information; or (iv) was independently developed by the recipient, where such independent development has been documented by the recipient.
- f) “**Content Delivery**” means the delivery of Client Content to Active Users. Content Delivery volume is calculated as follows: size of Client Content (in gigabytes) multiplied by the number of Active Users receiving the Client Content.
- g) “**Implementation**” means implementation, deployment, and/or training relating to the Software.
- h) “**Order**” means a Product purchase in a schedule, statement of work, addendum, or amendment signed by both parties.
- i) “**Products**” means any and all Services, work product resulting from Services, and Software.
- j) “**Service**” means any service rendered by Cornerstone specifically to Client, including, but not limited to: (i) hosting of the Software; (ii) hosting, delivery, and/or distribution of elearning content; (iii) provision of customer and/or technical support for the Software; (iv) implementation; (v) development of Software functionality specially requested by Client; and/or (vi) any consulting service.
- k) “**Software**” means: (i) any and all of Cornerstone’s proprietary web-based applications, including, without limitation, all updates, revisions, bug-fixes, upgrades, and enhancements thereto, as well as applications that have been modified in any way by Cornerstone at the request of a client; and (ii) application functionality provided by Cornerstone-contracted third parties.
- l) “**Subscriber**” means an Active User that accesses the Software for a flat fee.
- m) “**Transaction**” applies only to the Extended Enterprise Product and means a course registration, self-assessment, or task undertaken by an Active User who is grouped in a specially-designated organizational unit separate and apart from Subscribers. Unused Transactions expire upon the earlier of: (i) termination of the applicable Order; or (ii) one year from purchase date. For clarity, employees of Client or any of its Affiliates may only access the Software as Subscribers.

2. **Cornerstone Obligations.** In accordance with the terms and conditions of the Agreement, Cornerstone will: (i) make the Products available on a non-exclusive basis to Active Users via the Internet; (ii) maintain appropriate safeguards for protection of Client Data, including regular back-ups, security and incident response protocols, and application and infrastructure monitoring; and (iii) not access, modify, or disclose Client Data, except as compelled by law, to prevent or address service or technical issues, or if otherwise permitted by Client.

3. **Client Restrictions.** Client may only use the Products for its own lawful, internal business purposes. Client shall not: (i) use or deploy the Software in violation of applicable laws or this Agreement; (ii) resell the Products except through Transactions; (iii) create any derivative works based upon the Products; (iv) reverse

engineer, reverse assemble, decompile or otherwise attempt to derive source code from the Software or any part thereof (except to the extent that such restriction is not permitted under applicable law); (v) make the Products available to any unauthorized parties, including without limitation, competitors of Cornerstone; or (vi) perform, or release the results of, benchmark tests or other comparisons of the Products with other software, services, or materials. Should unexpected or inappropriate use of the Software (e.g., improperly formatted or constructed Client Content; extraordinary bandwidth usage; uploaded files that contain viruses, worms, spyware, or other malicious content; load tests, security scans, or penetration tests conducted without notice, etc.) result in denial of service with respect to the Software, Cornerstone may disable the implicated Client Content and/or deny access to Client’s portal as necessary to remedy the issue. Client will be responsible for Active Users’ compliance with the Agreement and liable for Active Users’ breach thereof. Client will ensure that it has obtained all necessary consents and approvals for Cornerstone to access Client Data for the purposes permitted under this Agreement. If Client is in breach of this section, Cornerstone may suspend Services, in addition to any other rights and remedies Cornerstone may have at law or in equity.

4. **Services.** Each party agrees to: (i) provide the resources reasonably necessary to enable the performance of the Services; (ii) manage its project staffing, milestones, and attendance at status meetings; and (iii) ensure completion of its project deliverables and active participation during all phases of a Service project. The parties acknowledge that failure to cooperate during a Service project may delay delivery of the Service. If there is a delay, the party experiencing the delay will notify the other party as soon as reasonably practicable, and representatives of each party will meet to discuss the reason for the delay and applicable consequences. Changes beyond the scope of an Order and/or a party’s delay in performing its obligations may require an amended Order. When Cornerstone notifies Client that a given project has been completed, Client shall either accept or reject the project based on whether the deliverables for that project have been performed in substantial and material accordance with the applicable Order. If Client: (i) fails to provide written notice of acceptance or rejection of the Service to Cornerstone within fifty (50) days of Cornerstone’s notification that the project is complete; or (ii) with respect to an implementation, uses the implemented Product in a live environment for a total of fifty (50) days, then the project will be deemed accepted.

5. **Support.** After Implementation is completed, Cornerstone shall provide the level of technical support stated in the applicable Order. Only the number of administrators set forth in the applicable support package (i.e., not all Subscribers) may contact Cornerstone for support. Client agrees to promptly provide Cornerstone with sufficient documentation, data and assistance with respect to any reported errors, and to reasonably cooperate with Cornerstone, in order for Cornerstone to comply with its support obligations hereunder. In no event shall Cornerstone be responsible or liable for any errors, bugs or other problems contained in or originating from hardware or software not provided by Cornerstone.

6. **Fees and Payment.** Client will be invoiced for fees according to the applicable Order. Payment of fees will be due within 30 days after the date of the invoice, except where an Order expressly prescribes other payment dates. Except where otherwise stated, all fees set forth in an Order are in U.S. dollars and must be paid in the currency set forth in the Order. Late payments hereunder will incur a late charge of 1.5% (or the highest rate allowable by law, whichever is lower) per month on the outstanding balance from the date due until the date of actual payment. In addition, Services are subject to suspension for failure to timely remit payment therefor. If travel is required to effect Services, Client shall reimburse Cornerstone for pre-approved, reasonable expenses arising from and/or relating to such travel, including, but not limited to, airfare, lodging, meals, and ground transportation.

7. Term and Termination.

a) **Term.** The term of this Agreement runs from the Effective Date through the later of: (i) three (3) years; and (ii) expiration of the last Order.

b) **Termination for Cause.** Either party may immediately terminate this Agreement if the other party materially breaches its obligations hereunder, and, where capable of remedy, such breach has not been materially cured within thirty (30) days of the breaching party’s receipt of written notice describing the breach in reasonable detail.

c) **Termination for Lack of Appropriation.** In the event that Client’s City Council fails to appropriate funds payable under this Agreement, then Client will have the option to, upon sixty (60) days’ prior written notice to Cornerstone, terminate the Agreement at the end of the contract year (as set forth in the Order) in which the budgetary decision was made.

Termination shall not be effective unless Client pays Cornerstone all fees due and owing through the termination date.

- d) **Bankruptcy Events.** A party may immediately terminate this Agreement if the other party: (i) has a receiver appointed over it or over any part of its undertakings or assets; (ii) passes a resolution for winding up (other than for a bona fide scheme of solvent amalgamation or reconstruction), or a court of competent jurisdiction makes an order to that effect and such order is not discharged or stayed within ninety (90) days; or (iii) makes a general assignment for the benefit of its creditors.
- e) **Effect of Termination.** Immediately following termination of this Agreement, Client shall cease using all Products. Upon request, at no additional charge, Client may retrieve Client Data via Client's secure FTP site in the same format in which the Client Data was originally inputted into the Software. Alternatively, Client Data can be returned in a mutually agreed format at a scope and price to be agreed. Cornerstone will maintain a copy of Client Data for no more than six (6) months following termination of the Agreement, after which time any Client Data not retrieved will be destroyed.
8. **Confidentiality.** Each of the parties agrees: (i) not to disclose any Confidential Information to any third parties except as mandated by law and except to those subcontractors of Cornerstone providing Products hereunder who agree to be bound by confidentiality obligations no less stringent than those set forth in this Agreement; (ii) not to use any Confidential Information for any purposes except carrying out such party's rights and responsibilities under this Agreement; and (iii) to keep the Confidential Information confidential using the same degree of care such party uses to protect its own confidential information; provided, however, that such party shall use at least reasonable care. These obligations shall survive termination of this Agreement. If either party breaches any of its obligations with respect to confidentiality or the unauthorized use of Confidential Information hereunder, the other party shall be entitled to seek equitable relief to protect its interest therein, including but not limited to, injunctive relief, as well as money damages.
9. **Intellectual Property.** As between the parties, Cornerstone will and does retain all proprietary and intellectual property rights, title and interest in and to the Products. Client retains all proprietary and intellectual property rights, title and interest in and to Client Data and Client Content.
10. **Indemnification.**
 - a) **Indemnification by Cornerstone.** Cornerstone agrees to indemnify, defend, and hold harmless Client from and against any and all third party claims and causes of action, as well as related losses, liabilities, judgments, awards, settlements, damages, expenses and costs (including reasonable attorney's fees and related court costs and expenses) (collectively, "Damages") incurred or suffered by Client which directly relate to or directly arise out of the violation or infringement of any third-party intellectual property rights by Client's authorized use of the Products. The foregoing provisions of this section shall not apply to the extent the Damages relate to or arise out of: (i) Client Data; (ii) Client Content; or (iii) unauthorized or negligent use and/or alteration of the Products.
 - b) **Indemnification by Client.** Client agrees to indemnify, defend, and hold harmless Cornerstone from and against any and all Damages incurred or suffered by Cornerstone which directly relate to or directly arise out of the violation or infringement of any third-party intellectual property rights by Client Data or Client Content. The foregoing provisions of this section shall not be applicable to the extent the Damages relate to or arise from Cornerstone's use of Client Data or Client Content in violation of this Agreement.
 - c) **Indemnification Procedures.** To obtain indemnification, indemnitee shall: (i) give written notice of any claim promptly to indemnitor; (ii) give indemnitor, at indemnitor's option, sole control of the defense and settlement of such claim, provided that indemnitor may not, without the prior consent of indemnitee (not to be unreasonably withheld), settle any claim unless it unconditionally releases indemnitee of all liability; (iii) provide to indemnitor all available information and assistance; and (iv) not take any action that might compromise or settle such claim.
 - d) **Infringement Cures.** Should the Products or any part thereof become, or in Cornerstone's reasonable opinion be likely to become, the subject of a claim for infringement of a third party intellectual property right, then Cornerstone shall, at its sole option and expense: (i) procure for Client the right to use and access the infringing or potentially infringing item(s) of the Software free of any liability for infringement; or (ii) replace or modify the infringing or potentially infringing item(s) of the Software with a non-infringing substitute otherwise materially complying with the functionality of the replaced system.
 - e) **Exclusive Remedies.** The remedies set forth in this section shall be exclusive with respect to any infringement claim hereunder.

11. **Warranties.** Each party represents and warrants to the other party that, as of the date hereof: (i) it has full power and authority to execute and deliver the Agreement; (ii) the Agreement has been duly authorized and executed by an appropriate employee of such party; (iii) the Agreement is a legally valid and binding obligation of such party; and (iv) its execution, delivery and/or performance of the Agreement does not conflict with any agreement, understanding or document to which it is a party. CORNERSTONE WARRANTS THAT ANY AND ALL SERVICES PROVIDED BY IT HEREUNDER SHALL BE PERFORMED IN A PROFESSIONAL MANNER CONSISTENT WITH PREVAILING INDUSTRY STANDARDS, AND THAT THE SOFTWARE WILL PERFORM SUBSTANTIALLY IN MATERIAL ACCORDANCE WITH THE AGREEMENT AND APPLICABLE DOCUMENTATION PROVIDED BY CORNERSTONE. TO THE EXTENT PERMITTED BY APPLICABLE LAW, CORNERSTONE DISCLAIMS ALL OTHER WARRANTIES, EXPRESS OR IMPLIED, STATUTORY OR OTHERWISE, INCLUDING WITHOUT LIMITATION WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE, NON-INFRINGEMENT (EXCEPT FOR THE INFRINGEMENT INDEMNIFICATION PROVIDED HEREUNDER) AND ANY WARRANTIES ARISING FROM A COURSE OF DEALING, USAGE OR TRADE PRACTICE.
12. **Liability.**
 - a) **Liability Cap.** EXCEPT FOR (i) A PARTY'S INTELLECTUAL PROPERTY INDEMNIFICATION OBLIGATIONS; (ii) BREACH BY CLIENT OF SECTION "CLIENT RESTRICTIONS"; OR (iii) A PARTY'S WILLFUL MISCONDUCT, EACH PARTY'S MAXIMUM AGGREGATE LIABILITY ARISING OUT OF OR RELATING TO THIS AGREEMENT, REGARDLESS OF THE THEORY OF LIABILITY, WILL BE LIMITED TO TWO TIMES (2X) THE TOTAL FEES PAID OR PAYABLE BY CLIENT TO CORNERSTONE HEREUNDER FOR THE TWELVE-MONTH PERIOD IMMEDIATELY PRECEDING THE DATE THE CAUSE OF ACTION AROSE. THE EXISTENCE OF MORE THAN ONE CLAIM SHALL NOT EXPAND SUCH LIMIT. THE PARTIES ACKNOWLEDGE THAT THE FEES AGREED UPON BETWEEN CLIENT AND CORNERSTONE ARE BASED IN PART ON THESE LIMITATIONS, AND THAT THESE LIMITATIONS WILL APPLY NOTWITHSTANDING ANY FAILURE OF ANY ESSENTIAL PURPOSE OF ANY LIMITED REMEDY. THE FOREGOING LIMITATION SHALL NOT APPLY TO A PARTY'S PAYMENT OBLIGATIONS UNDER THE AGREEMENT.
 - b) **Exclusion of Consequential Damages.** NEITHER PARTY WILL BE LIABLE FOR LOST PROFITS, LOST REVENUE, LOST BUSINESS OPPORTUNITIES, LOSS OF DATA, INTERRUPTION OF BUSINESS, PROVIDING REPLACEMENT SOFTWARE (EXCEPT AS SET FORTH IN SECTION "INFRINGEMENT CURES"), OR ANY OTHER INDIRECT, SPECIAL, PUNITIVE, INCIDENTAL OR CONSEQUENTIAL DAMAGES ARISING OUT OF OR RELATING TO THIS AGREEMENT, REGARDLESS OF THE THEORY OF LIABILITY, EVEN IF IT HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.
13. **Communications.** Neither party shall issue any press release using the name of the other party as a customer or provider without the other party's consent (not to be unreasonably withheld or delayed).
14. **Miscellaneous Provisions.**
 - a) **Governing Law; Jurisdiction.** This Agreement will be governed by and construed in accordance with the laws of the State of California and the federal laws of the United States of America, without regard to conflict of law principles. Cornerstone and Client agree that any suit, action or proceeding arising out of, or with respect to, this Agreement or any judgment entered by any court in respect thereof shall be brought exclusively in the state or federal courts of the State of California located in the County of Placer, and each of Cornerstone and Client hereby irrevocably accepts the exclusive personal jurisdiction and venue of those courts for the purpose of any suit, action or proceeding.
 - b) **Force Majeure.** Neither party will be liable for any failure or delay in its performance under this Agreement due to any cause beyond its reasonable control, including without limitation acts of war, acts of God, earthquake, flood, weather conditions, embargo, riot, epidemic, acts of terrorism, sabotage, labor shortage or dispute, governmental act, failure of the Internet or other acts beyond such party's reasonable control, provided that the delayed party: (i) gives the other party prompt notice of such cause; and (ii) uses reasonable commercial efforts to correct promptly such failure or delay in performance.
 - c) **Counterparts; Facsimile.** This Agreement may be executed in any number of counterparts and in facsimile or electronically, each of which shall be an original but all of which together shall constitute one and the same instrument.
 - d) **Entire Agreement.** This Agreement contains the entire understanding of the parties in respect of its subject matter and supersedes all prior agreements and understandings (oral or written) between the parties with respect to such subject matter. Orders and the schedules and exhibits hereto constitute a part hereof as though set forth in full herein. To the extent Client issues any purchase orders to Cornerstone, then, such purchase orders

submitted by Client are for Client's internal administrative purposes only, and the terms and conditions contained in those purchase orders will have no force and effect. Any modification, amendment, or addendum to this Agreement must be in writing and signed by both parties.

- e) **Assignment.** Neither party may assign this Agreement or any of its rights, obligations, or benefits hereunder, by operation of law or otherwise, without the other party's prior written consent; provided, however, either party, without the consent of the other party, may assign this Agreement to an Affiliate or to a successor (whether direct or indirect, by operation of law, and/or by way of purchase, merger, consolidation or otherwise) to all or substantially all of the business or assets of such party, where the responsibilities or obligations of the other party are not increased by such assignment and the rights and remedies available to the other party are not adversely affected by such assignment. Subject to that restriction, this Agreement will be binding on, inure to the benefit of, and be enforceable against the parties and their respective successors and permitted assigns.
- f) **No Third Party Beneficiaries.** The representations, warranties and other terms contained herein are for the sole benefit of the parties hereto and their respective successors and permitted assigns, and shall not be construed as conferring any rights on any other persons.
- g) **Statistical Data.** Without limiting the confidentiality rights and intellectual property rights protections set forth in this Agreement, Cornerstone has the perpetual right to use aggregated, anonymized, and statistical data ("Statistical Data") derived from the operation of the Software, and nothing herein shall be construed as prohibiting Cornerstone from utilizing the Statistical Data for business and/or operating purposes, provided that Cornerstone does not share with any third party Statistical Data which reveals the identity of Client, Client's users, or Client's Confidential Information.
- h) **Suggestions.** Cornerstone shall have a royalty-free, worldwide, perpetual license to use or incorporate into the Products any suggestions, ideas, enhancement requests, feedback, recommendations, or other information provided by Client or its users relating to the operation of the Products.
- i) **Responsibility for Third-Party Offerings.** The Software may contain features capable of interoperating with third-party applications. To use such features, Client may be required to obtain access to such applications from a third-party provider. Cornerstone shall not be responsible for Client's access to, or operation of, third-party applications not offered or sold by Cornerstone to Client.
- j) **Export Controls.** Client understands that the use of Cornerstone's Products is subject to U.S. export controls and trade and economic sanctions laws and agrees to comply with all such applicable laws and regulations, including the Export Administration Regulations maintained by the U.S. Department of Commerce, and the trade and economic sanctions maintained by the Treasury Department's Office of Foreign Assets Control.

- k) **Rule 10b-5 Limitations.** Each party acknowledges that United States securities laws prohibit any person who has material, non-public information about a publicly-traded company from purchasing or selling securities of such company, or from communicating such information to any other person under circumstances in which it is reasonably foreseeable that such person is likely to purchase or sell securities of such company.
- l) **Severability.** If any provision of this Agreement is held by a court or arbitrator of competent jurisdiction to be contrary to law, such provision shall be changed by the court or by the arbitrator and interpreted so as to best accomplish the objectives of the original provision to the fullest extent allowed by law, and the remaining provisions of this Agreement shall remain in full force and effect.
- m) **Notices.** Any notice or communication required or permitted to be given hereunder may be delivered by hand, deposited with an overnight courier, sent by facsimile, or mailed by registered or certified mail, return receipt requested and postage prepaid to the address for the other party first written above or at such other address as may hereafter be furnished in writing by either party hereto to the other party. Such notice will be deemed to have been given as of the date it is delivered, if by personal delivery; the next business day, if deposited with an overnight courier; upon receipt of confirmation of facsimile delivery (if followed up by such registered or certified mail); and five days after being so mailed.
- n) **Independent Contractors.** Client and Cornerstone are independent contractors, and nothing in this Agreement shall create any partnership, joint venture, agency, franchise, sales representative or employment relationship between Client and Cornerstone. Each party understands that it does not have authority to make or accept any offers or make any representations on behalf of the other. Neither party may make any statement that would contradict anything in this section.
- o) **Subcontractors.** Cornerstone shall notify Client of its use of any subcontractors to perform Client-specific Services. Cornerstone shall be responsible for its subcontractors' performance of Services under this Agreement.
- p) **Headings.** The headings of the sections of this Agreement are for convenience only, do not form a part hereof, and in no way limit, define, describe, modify, interpret or construe its meaning, scope or intent.
- q) **Waiver.** No failure or delay on the part of either party in exercising any right, power or remedy under this Agreement shall operate as a waiver, nor shall any single or partial exercise of any such right, power or remedy preclude any other or further exercise or the exercise of any other right, power or remedy.
- r) **Survival.** Sections of the Agreement intended by their nature and content to survive termination of the Agreement shall so survive.



Attached is a copy of a service agreement for your review and acceptance. Please review the company name, address, and contact information and notify me via email if any corrections need to be made. I will update the agreement prior to you signing the document.

Sign the agreement using the below signature guidelines. Alterations or modifications to the agreement are not allowed. Mail **two signed original** copies of the agreement to the address noted below. Faxes, photocopies, and electronic transmittals will not be accepted. The City requires a *wet* signature on file. Upon receipt of all necessary documents, the City will sign the agreements and return a fully executed original copy to you. Receipt of the signed copy will be your notice to proceed with the work in accordance with the terms and conditions of the service agreement. Work must not begin until the contract has been fully executed.

The following guidelines must be followed for the signature block on the Service Agreement:

- | | | |
|--|---|----------------------------|
| Sole proprietorship | - | By owner |
| Partnership | - | Any general partner |
| Corporation | - | Two options: |
| (1) A signature from the President and the corporate seal; or | | |
| (2) One signature from the Chairman of the Board, President, or any Vice President AND one signature from the Secretary, any Assistant Secretary, Chief Financial Officer, Treasurer, or any Assistant Treasurer of the corporation | | |

The service agreement will be rejected if not signed in accordance with these guidelines.

Insurance requirements:

The City's insurance requirements are referenced on Attachment A in this service agreement packet. The service agreement will not be considered active or valid until the necessary insurance documents have been received by Roseville's insurance compliance contractor, EBIX. Please contact EBIX customer service at (951) 652-4239 or roseville@ebix.com for any insurance related questions.

Service Agreement Return Address:
Purchasing, Peter Brevik
2005 Hilltop Circle
Roseville, CA 95747-9704



PURCHASING
CITY OF ROSEVILLE

2005 HILLTOP CIRCLE, ROSEVILLE, CA 95747
(916) 774-5720 • TDD (916) 774-5220 • FAX (916) 774-5736

SERVICE AGREEMENT

SERVICE AGREEMENT

No: **S1604118**

SERVICE LOCATION: CITY OF ROSEVILLE
AS DIRECTED

REQ. NO.: R1613619 DATE: 02/16/16

SUBMIT ALL INVOICES TO:
CITY OF ROSEVILLE
Finance Department
311 Vernon Street
Roseville, CA 95678

Contractor No.: V40849
Telephone No.: 508-269-9566 Fax:
Email address:
Contractor: CORNERSTONE ONDEMAND
ATTN: HILARY HARRIS
1601 CLOVERFIELD BLVD, SUITE 600 SOUTH
SANTA MONICA, CA 90404

Department: HUMAN RESOURCES

Acct. Code: 03100-5210

Buyer: PETER BREVIK
Phone: 916-774-5724

Start Date	Terms	Completion Date	Insurance Limits	Contact
*ASAP	NET 30	3/16/19	Approved by Risk Mgt.	LINDA HAMPTON

The contractor shall furnish all labor, equipment and materials necessary to accomplish the following:

PROVIDE A PERFORMANCE MANAGEMENT SOFTWARE SOLUTION FOR PERFORMANCE APPRAISALS IN ACCORDANCE WITH ALL TERMS, CONDITIONS, ATTACHEMENTS AND ADDENDUMS FROM RFP 11-003 PERFORMANCE MANAGEMENT SYSTEM

PLEASE CONTACT LINDA HAMPTON AT (916) 774-5215 FOR QUESTIONS REGARDING THIS AGREEMENT.

*PROPER INSURANCE MUST BE IN PLACE BEFORE SERVICE CAN BEGIN.

Total Cost of Service: \$ **53,390.00**

ATTENTION: Total cost of service not to exceed the agreement amount without prior approval of the Purchasing Office.

The Contractor named hereon by the acceptance of this order agrees to the provisions of this document titled "Service Agreement" and Attachment "A".

Business License No.: _____ Contractor License No.: _____ DIR Registration No.: _____

☐ SOLE PROPRIETOR

☐ PARTNERSHIP

☒ CORPORATION

CONTRACTOR:

SIGNATURE

PRINT NAME

TITLE

CONTRACTOR:

SIGNATURE

PRINT NAME

TITLE

By: _____

Rob Jensen, City Manager
CITY OF ROSEVILLE, A MUNICIPAL CORPORATION

1. To the fullest extent allowed by law, Contractor shall defend, indemnify, and save and hold harmless City, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of Contractor's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from City's sole negligence or willful misconduct. Contractor's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.
2. Contractor is an independent contractor, and shall not be considered an officer, agent or employee of the City.
3. Without the written consent of the City, this Agreement is not assignable by Contractor either in whole or in part.
4. Time is of the essence of this Agreement where so expressly stated.
5. This Agreement may only be amended or modified in a writing signed by the parties. It is integrated and contains the complete understanding of the parties.
6. All equipment, supplies and services sold to the City of Roseville shall conform to the general and applicable safety orders of the State of California to which the equipment, supplies and/or Services are subject.
7. Unless notified to the contrary, in writing, the City assumes that the Contractor has accepted the work in accordance with the plans and specifications (if any) and agrees to do the work in compliance with this Agreement, as further set forth in the Cornerstone Master Agreement.
8. All applicable fair employment practices must be adhered to. In addition, if the project referenced on this service agreement is a Public Works project, all prevailing wage laws must be complied with. For prevailing wage contracts over \$25,000, copies of certified payroll must be submitted with invoices. Prevailing wage rates may be obtained from the State Department of Industrial Relations and/or the following website address: <http://www.dir.ca.gov/dlsr/DPreWageDetermination.htm>.
- 9a. Unless otherwise specified, the Contractor shall maintain the policies of insurance outlined in Attachment A, incorporated herein by this reference, in full force and effect during the term of this Agreement.
- 9b. Form. Upon request, Contractor shall submit a certificate evidencing such coverage for the period covered by this Agreement.
- 9c. Additional Insureds. Contractor shall also provide a separate endorsement or section of the policy showing City, its officers, agents, employees, and volunteers as additional insureds for CGL policy only. Such insurance shall specifically cover the contractual liability of Contractor. The additional insured coverage under the Contractor's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from City's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds.
- 9d. Cancellation/Modification. Contractor shall provide ten (10) days written notice to City prior to cancellation or material, negative modification of any insurance required by this Agreement.
- 9e. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of City (if agreed to in a written contract) before City's own insurance shall be called upon to protect it as a named insured.
- 9f. Subcontractors. Contractor agrees to either be responsible for its subcontractors acts and omissions or to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, Contractor shall require its subcontractors to agree to be bound to Contractor and City in the same manner and to the same extent as Contractor is bound to City under this Agreement. Additionally, Contractor shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of City's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.
- 9g. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or City. City reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.
- 9h. Waiver of Subrogation. Upon written request, Contractor hereby agrees to waive subrogation which any insurer of Contractor may acquire from Contractor by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of City, its officers, agents, employees and volunteers for all work performed by Contractor, its employees, agents and subcontractors.
- 9i. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve Contractor of liability in excess of such coverage, nor shall it preclude City from taking such other actions as are available to it under any other provisions of this Agreement or law.
10. Contractor shall comply with all federal, state and local laws and ordinances, including but not limited to the City's storm water regulations, as may be applicable to the performance of services under this Agreement. Failure to comply with local ordinances may result in monetary fines and cancellation of this Agreement. Refer to www.roseville.ca.us/stormwater for links to more information on the City's storm water regulations.
11. In the event that the terms of any attachment or exhibit conflict with any terms of this Service Agreement, the terms of this Service Agreement shall control.
12. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. In the event that any signature is delivered by facsimile transmission or by e-mail

delivery of a ".pdf" format data file, such signature shall create a valid and binding obligation of the party executing (or on whose behalf such signature is executed) with the same force and effect as if such facsimile or ".pdf" signature page were an original thereof.

13. If either party commences any legal action against the other party arising out of this Agreement or the performance thereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorneys' fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.
14. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.
15. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.
16. If the project referenced on this service agreement is a Public Works project, then the following shall apply. No contractor or subcontractor may work on a public works project unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5. During the performance of this agreement, Contractor and its subcontractors shall have a continuing legal obligation to maintain current registration with the Department of Industrial Relations. Contractor is hereby notified that this project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

City reserves the right to withhold any payments to Contractor (1) in the event of noncompliance with insurance requirements or (2) if required by law.

ATTACHMENT A

HUMAN RESOURCES/RISK MANAGEMENT DIVISION INSURANCE REQUIREMENTS – SERVICE AGREEMENT GENERAL – IT SERVICES

Required Coverage:

- General Liability: \$1,000,000 per occurrence
\$2,000,000 aggregate
Personal Injury:
\$1,000,000 per occurrence
\$2,000,000 aggregate
- Workers' Compensation: Statutory
- Errors & Omissions: \$1,000,000 per occurrence

- *Policies must be primary and non-contributory
- *Policies must contain a waiver of subrogation
- *A 10 day notice of cancellation must be provided
- *The policies may not contain language which prohibits additional insured or other insurers from satisfying the self-insured retention or deductible
- *All Self Insured Retentions must be listed on the certificate

Required Documentation:

- A certificate(s) of insurance listing the required coverage and naming the City of Roseville as Certificate Holder. The Certificate should be addressed to:

The City of Roseville
Insurance Compliance
P.O. Box 12010-R1, Hemet, CA 92546-8010

- Additional Insured Endorsement- General Liability policy: CG 20 10 04 13 or an equivalent, blanket endorsement or section of the policy. Endorsement shall cover the City of Roseville, its officers, agents, employees and volunteers as additional insured
- Waiver of Subrogation Endorsements
- Primary and Non-Contributory Coverage Endorsement – CG 20 01 04 13 or an equivalent

***NOTE: statements on the certificate of insurance in lieu of the documentation required above will not be accepted.**

Questions concerning any of the above should be directed to Ebix Customer Service
Phone: 951-652-4239 /fax 770-325-5727 or email: Roseville@ebix.com

Cornerstone OnDemand – ORDER

Client Name ("Client"):	City of Roseville, CA		
Order Effective Date:	[Date of the last signature below]		
Master Agreement Effective Date:	[Date of the last signature below]		
Is a new purchase order required for this purchase? ("No," unless box is checked) ☐ Yes: PO# _____			
Order Start Date:	Order Effective Date	Order Term/ End Date:	3 years, plus two optional 1-year terms

This Order is hereby incorporated into and made part of the Master Agreement (sometimes referred to as the Cloud Subscription Agreement or License and Services Agreement) by and between Client and Cornerstone OnDemand (the "Agreement"). Capitalized terms used, but not otherwise defined, herein shall have the same meanings assigned to those terms in the Agreement. If the term of the Agreement is set to expire prior to the end of the Order Term, the term of the Agreement is hereby extended through the end of the Order Term for the purposes of this Order.

PRODUCT SUBSCRIPTIONS (purchased if checked)		Subscribers / Active Users (except where otherwise stated)	Annual Fee
RECRUITING	☐ Recruiting ☐ Campus Recruiting		
ONBOARDING	☐ Onboarding		
PERFORMANCE	☒ Performance (includes HRSG Competencies in Year 1 at no cost; not purchased in Years 2 and 3)	1,100	\$31,900.00
COMPENSATION	☐ Compensation		
SUCCESSION	☐ Succession		
CONNECT	☐ Connect		
LEARNING	☐ Learning		
	☐ Certifications		
	☐ Competencies		
	☐ Extended Enterprise ☐ eCommerce ☐ Certifications ☐ Competencies		
	☐ Cornerstone for Salesforce*		
Support Package: ☒ Professional ☐ Professional Plus ☐ Premier ☐ Premier Plus		Included	Included
☒ Administrator Training Package		10	\$1,750.00
☐ Course Publisher (if Learning is purchased)			
☐ Content Delivery			
Content: ☐ Core ☐ Gold ☐ Gold Plus ☐ Platinum Plus ☐ Other			
☐ Additional Language Packs			
☐ Data Load Wizard			
☐ Web Services			
ANNUAL FEE SUBTOTAL			\$33,650.00
ONE-TIME SERVICES (purchased if checked)			One-time Fee
☐ ___ VSP hours (may be applied toward any future Service (except for Consulting) purchased within 1 year of the Order Effective Date, after which unused hours expire without refund)			
☒ Services (see attached Statement of Work)			\$19,740.00
FIRST YEAR GRAND TOTAL			\$53,390.00

Annual fees are invoiced annually, beginning on the Order Start Date, through the Order End Date. If applicable, the final invoice for annual fees (except for eLearning content) will be prorated as follows: (total number of days in the prorated period / 365) x annual fee. One-time fees are invoiced on the Order Start Date. See <http://www.cornerstoneondemand.com/support> for detailed support descriptions. Support package selected above applies to all subsequent Orders except where otherwise stated.

Notwithstanding the foregoing, the payment schedule for the purchases set forth above shall be as follows:

Milestone #	Milestone Description/ Due Date	Acceptance Criteria	Milestone Amount	Invoice Date	Due Date
1	Project kick off Discovery and Data Integration	-Cornerstone delivers Client Portals -Kick Off Call to introduce resources/project timelines, and to set project expectations. -Client accesses the Client Success Center to begin Training Workbook implementation activities and eLearning Classes.	All Recurring fees for Year 1 \$31,900 + \$1,750 = \$33,650	Order Effective Date	Net 30
2	Learn and Configure Your Portal – Part 1	-Live scheduled Working Sessions with assigned Cornerstone Implementation Consultant for configuration direction on best practices and support.	\$5,800.00	Upon completion of milestone	Net 45
3	Learn and Configure Your Portal– Part 2	-Cornerstone Implementation Consultant reviews Configuration Portal, directs client which configurations need to be moved into live portal. --Client configures live portal based on Cornerstone's training and support Review & provide User Acceptance Testing to the Client.	\$2,850.00	Upon completion of milestone	Net 45
4	User Acceptance Testing and System Readiness	-Run User Acceptance Testing scripts -Final Review of Portal Configuration.	\$2,850.00	Upon completion of milestone	Net 45
5	Inbound Data Feed		\$2,160.00	Upon completion of milestone	Net 45
6	Single Sign On Standard (SSO)		\$1,080.00	Upon completion of milestone	Net 45
7	Performance Historical Data Load (PHDL)		\$5,000.00	Upon completion of milestone	Net 45
8	Transition Post Go Live	-Transition to Client Success Manager for ongoing support and resources as a live Cornerstone Client	N/A	N/A	N/A
Year 2 - Subscription Renewal			\$33,650	Order Effective Date + 12 months	Net 30
Year 3 - Subscription Renewal			\$33,650	Order Effective Date + 2 years	Net 30
(OPTIONAL YEAR) Year 4 - Subscription Renewal			\$33,650	Order Effective Date + 3 years	Net 30
(OPTIONAL YEAR) Year 5 - Subscription Renewal			\$33,650	Order Effective Date + 4 years	Net 30

**If the "Cornerstone for Salesforce" box on this page is checked, Client acknowledges that Cornerstone does not support, and service levels do not apply to, customized code. Cornerstone for Salesforce purchases are subject to the Cornerstone for Salesforce Terms and Conditions located at: <http://www.cornerstoneondemand.com/sites/default/files/cfs/CFS-Rider-to-CSOD-Agreements-2013-08-26.pdf>.*

Agreed and accepted:

Client		Cornerstone OnDemand	
Signature:		Signature:	
Name:		Name:	Adam Miller
Title:		Title:	President/CEO
Date:		Date:	February 26, 2016

Cornerstone OnDemand – ORDER

STATEMENT OF WORK

SCOPE OF SERVICES AND DELIVERABLES

Client Portal and Configuration Set Up

- Cornerstone will create and activate the Client portals (live, pilot, stage) with the URLs requested by the Client.
- Cornerstone will create Client Administrator user login and configure initial tasks including:
 - Configure default preferences
 - Configure initial security roles
 - Configure initial branding
 - Access to **The Cornerstone Success Center** providing access to training and product information
 - Access to **My Success Portal** providing access to Cornerstone product support teams and reporting of product issues
 - Activate licensed functionality

Timeline and Delivery

Upon completion of the Client portal and configuration set up, Cornerstone will distribute all access credentials to the Client, which indicates the Client Portal systems are ready for use. Acceptance of these deliverables will be in accordance with the Agreement. Upon completion of the Client Portal and Configuration Set Up phase, the Software is ready for use by Client.

PROJECT RESOURCES

The table below outlines recommended resources and time estimates for each phase. Time durations are estimates and may vary based on client requirement. Each phase overlaps and may require a shifting of hours among phases based on Client's processes. The project lifecycle may be repeated for each additional module.

Phase	Estimated Duration	Cornerstone Resources	Client Resources
Initiate	3 Weeks	• Implementation Consultant	• Project Manager • Business Process Owners • System Administrators
Design	4 Weeks	• Implementation Consultant • Integration Consultant	• Project Manager • Business Process Owners • Technical Resources • System Administrators
Deliver	3 Weeks	• Implementation Consultant • Integration Consultant • Client Success Manager	• Project Manager • Business Process Owners • System Administrators • Technical Resources

IMPLEMENTATION SERVICES AND ESTIMATED TIMELINE

The Scope of Services outlined below provides a breakdown of the key components of the Implementation Services and the corresponding deliverables to be provided by Cornerstone and Client.

Phase	Cornerstone Deliverables	Client Deliverables
Initiate	Weeks One thru Three: • Training Plan developed and delivered to client • Project initiation call with client. Confirm project scope with client project team • Create meeting schedule for project lifecycle • Establish and document project controls and processes for status reporting, issue resolution, and risk management processes • Schedule kickoff meeting to review client design decision points • Communicate requirement to complete Organizational Units, Security preferences and training • Complete remote kick-off meeting • Review technical projects in-scope • Send discovery questionnaire to client • Collect client process documentation. • Deliver the Project Plan to the client • Conduct technical kickoff call with client • Implementation Consultant schedules and leads Organizational Unit Workshop • Deliver discovery document and technical projects questionnaires • Schedule and lead technical kickoff calls when applicable or direct client to recorded technical workshops • Review client documentation • Deliver technical documentation (data design documents and templates) • Collect any client process documentation the client can provide • Create project plan for implementation services • Implementation Consultant updates implementation discovery documentation • Complete options for webcast training session with client	Weeks One thru Three: • Client begins training of Cornerstone OnDemand prescriptive training plan • Participates in remote kick-off meeting • Confirm project plan and meeting schedule • Assemble project team • Define measures of project success • Complete initial administrator training, pre-work, and discovery questionnaires • Attend technical project kickoff calls • Complete discovery questionnaires • Confirm project plan and meeting schedule • Provide branding and marketing requirements • Provide organization chart(s) to assist in designing Organization Unit structure • Provides sample user profile record and definition • Client content provider listing and courses • Deliver documented performance processes including process maps and supporting forms or documentation • Provide external user approval workflows • Provide external training requirements • Provide use case scenarios to model recommended configuration
Design	Weeks Four thru Seven: • Document decisions and remaining action items for : ◦ Organizational unit and user data design ◦ Global system preferences ◦ Welcome Page configuration ◦ Performance management preferences ◦ Navigation tabs and links ◦ Custom security roles matrix ◦ Email management matrix • Documented technical projects: ◦ Single Sign-On ◦ Inbound Data Feed – OU/user data ◦ Historical Data Upload(s) • Complete decisions needed to document the configuration workbook • Technical follow up meeting (Remote) • Cornerstone will configure pilot portal based on client requirements presented in discovery questionnaire	Weeks Four thru Seven: • Complete configuration, documenting decisions for the following: ◦ Global system preferences ◦ Welcome Page configuration ◦ Performance management preferences ◦ Navigation tabs and links ◦ Custom security roles matrix ◦ Email management matrix update • Complete Custom Login Page workbook • Complete design specifications for technical projects: ◦ Single Sign-On ◦ Inbound Data Feed – OU/user data ◦ Historical Data Upload(s) • Complete remaining configuration decisions post configuration workshop and document remaining design specifications • Attend remote follow-up design sessions

	- Conduct remote follow-up design sessions with client for remaining configuration decisions - Discuss User Acceptance Testing including test scripts and participants - Change management discussion or workshop if purchased - Comp Only – Load Salary Data (In Pilot post copy down)	- Review and accept Cornerstone deliverables - Complete and implement technical projects including: - Single Sign-On - Inbound Data Feed – Organizational Unit/user data - Historical Data Upload(s)
Deliver	Week Eight: - Complete technical projects: - Single Sign-On - Inbound Data Feed – Organizational Unit/user data - Unit test system interfaces - Conduct technical follow up meeting - Wrap follow up configuration session(s) - Conduct User Acceptance Testing prep meeting (remote) Week Nine: - Schedule daily User Acceptance Testing touch base to solution review open issues with client (include Client Success Manager) - Solidify configuration with client in preparation for User Acceptance Testing in pilot - Ensure Historic Data Load has gone through initial validation in pilot - Copy pilot to stage if you need to preserve Historic Data Load or other configuration through week 10 for validation - Inbound Data Feed configured in Live portal. Inbound Data Feed will be validated Week Ten: - Daily User Acceptance Testing touch base to review open testing issues with client (include Client Success Manager) - Complete Client Success Manager handoff documentation and submit request for Client Success Manager - Single Sign-On, in Live - Copy down executed to pilot (If necessary can do copy over from pilot to stage prior to Live Copy Down) - Obtain named care admins from client - Update issue log, including defects - Provide coaching for configuration updates - Schedule Client Success Manager Transition Call - Ongoing Historical Data Load validation (stage) and load into production - Triage (categorize and prioritize) reported issues and address prior to go-live - Finalize integration projects in production - Support Client during testing and validation - SOW Review with Client Success Manager - Triage (categorize and prioritize) reported issues and address prior to go-live - Close out any open issues/items for Go Live - Client Go-Live - Discuss post live survey with client	Week Eight: - Attend follow-up configuration session(s) - Attend User Acceptance Testing prep meetings - Complete setup in live portal including: - Global Configurations – emails triggers, security roles, welcome page, preferences - Language translations, as necessary - Configuration of additional client security roles - Performance Module - Create goals, competencies, competency models, development plans, review questions, review templates, tasks - Create succession metrics for succession plans, succession templates and tasks - Create compensation salary structure, adjustment guidelines, share prices, templates, and tasks - Create career center preferences and data for resumes, questions, and location bank Week Nine: - Attend all User Acceptance Testing calls - Review UAT feedback with Implementation team - Make corrections or configuration changes based on UAT findings in Live portal Week Ten: - Test system interfaces end-to-end - Review and accept Cornerstone technical project deliverables - Single Sign-On - Inbound Data Feed – OU /User data - Historical Data Upload(s) - Populate specific test data like tasks and users - Create and complete client-specific test assessment template - Create and complete user acceptance test scripts - Attend Client Success Manager transition meeting - Client makes configuration adjustments on Pilot and Live portals - Update Live portal configuration based on testing feedback - Post Live issue remediation (partner with Client Success Manager to assist) - Client Go-Live

- Schedule and execute final Historical Data Loads
- Conduct project close out

TECHNICAL PROJECTS

Inbound Data Feed – User/Organizational Unit (IDF User/OU)

Brief Summary

Integration with data from Client's system enabling automated maintenance of user and organizational units (OU) via a scheduled Inbound Data Feed (IDF) of the following data sets:

- User Profile data
- Organizational Unit (OU) data

Tasks

- Cornerstone: Provide Client with the Cornerstone standard Inbound Data Feed of User/OU (IDF User/OU) design document and template
- Cornerstone: Lead Client in IDF User/OU workshop to review data feed process and support the functional decisions of Client
- Cornerstone: Create IDF User/OU design document for Client
- Client: Sign off on IDF User/OU design document
- Client: Load files on pilot FTP folder for load, complying with Cornerstone's formatting requirements
- Cornerstone: Schedule IDF User/OU to run in pilot portal on a regular basis to allow testing by Client
- Cornerstone: Email the pilot portal IDF User/OU log file to identify load errors, after each load attempt
- Client: Review, update, and sign off the IDF User/OU process in pilot portal
- Client: Load files on live FTP folder for load, complying with Cornerstone's formatting requirements
- Cornerstone: Schedule and automate IDF User/OU in live portal
- Cornerstone: Email the live IDF User/OU log file to identify load errors, after each load attempt
- Client: Review, update, and sign off on the IDF User/OU process in live portal

Assumptions

- Client utilizes Cornerstone standard IDF User/OU design document and template for all data types
- Client is responsible for uniquely identifying records across all data types
- All data records referencing user data are by user's unique identifier value (UserID)
- Client has skilled software resources that can extract data from source systems and transform data to the format(s) defined by the approved IDF design document
- Client will perform all data file consolidations necessary and provide data files in formats defined in the approved IDF User/OU design document. All mandatory data fields must be populated for all records
- Client is responsible for properly validating data and identifying any errors prior to signing off on feed in live portal
- Client acknowledges that once the design document is approved, any changes or modifications to the work, scope, or the feed will require creation of a change request document. Change requests are reviewed and could result in additional charges to Client
- Any changes following Client signoff will require a work order or SOW submission

Historical Data Load – Performance (HDLP)

Brief Summary

Migration of legacy system data to the Cornerstone portal. Migrated data includes the following data types:

- Review scores, including up to three (3) years' worth of overall performance review scores
- Review documents, including up to three (3) years' worth of performance PDF documents
- Goals library

Historical Data Load – Performance (HDLP)

- Previous year's user goals
- Competency library and models

Tasks

- Cornerstone: Provide Client with the Cornerstone standard data design document template
- Cornerstone: Lead Client in data loading workshops to review data load process and support the functional decisions of Client
- Cornerstone: Create data design document for Client
- Client: Sign off on data design document
- Client: Prepare files for loading by Cornerstone integration consultant
- Cornerstone: Load files into the pilot portal
- Client: Review and correct any errors detected in the upload process
- Cornerstone: Reload corrected files as necessary in pilot portal (up to three (3) iterations per data type)
- Client: Review and approve data loaded to pilot portal
- Cornerstone: Load data on live portal

Assumptions

- Utilize Cornerstone standard data design document template for all data types
- All data loads reference User data by a unique identifier
- Client is responsible for uniquely identifying records across all data types
- Client has skilled software resources that can extract legacy data from source systems
- Client has the ability to transform data to the format(s) defined by Client-approved data design document
- Client will perform all data file consolidations necessary by data type defined above
- Maximum of three (3) iterations of loads by data type for purpose of correcting errors; any additional iteration may require a change request document
- Client acknowledges that once the design document is approved, any changes or modifications to the work, scope, or the feed will require a change request document. Change requests are reviewed and could result in additional charges to Client
- Any changes following Client sign-off will require a work order or SOW submission

Single Sign On (SSO) – AES Encrypted, SAML 1.1, or SAML 2.0

Brief Summary

Cornerstone to provide support on one of the following Single Sign On (SSO) integration from and outsider portal to Client's Cornerstone Portal:

- AES Encrypted
- SAML 1.1
- SAML 2.0

Tasks

- Cornerstone: Provide Client with the Cornerstone SSO Technical Documentation
- Cornerstone: Lead the Client in SSO workshops to review SSO process and support the functional decisions of the Client
- **AES Encrypted Single Sign On (SSO)**
 - Cornerstone: Provide sample code for Pilot Portal and Live Portal to deploy the AES SSO
 - Cornerstone: Provide the AES end point URLs to the Client
 - Client: Populate, encrypt and post the token as per Cornerstone requirements
 - Client: Deploy, test and sign off the AES Encrypted SSO in Pilot Portal
 - Client: Deploy, test and sign off the AES Encrypted SSO in Live Portal
- **SAML V 1.1 OR SAML 2.0 Single Sign On (SSO)**
 - Client: Provide:
 - Base64 encoded – X.509 public Certificate (.crt, .cer)
 - Base64 encoded sample SAML Response Assertion (.txt)
 - Cornerstone: Configure Client's Pilot Portal with SSO SAML 1.1 OR 2.0
 - Client: Review and sign off on SSO SAML 1.1 OR 2.0 in Pilot Portal

Single Sign On (SSO) – AES Encrypted, SAML 1.1, or SAML 2.0

- Cornerstone: Configure Client's Live Portal with SSO SAML 1.1 OR 2.0
- Client: Review and sign off on SSO SAML 1.1 OR 2.0 in Live Portal

Assumptions

- Client utilizes Cornerstone standard SSO Design Specifications and complies to Cornerstone requirements to integrate AES Encrypted SSO, SAML 1.1 SSO, or SAML 2.0 SSO only.
- Any other type of Single Sign On Solution Integration other than the above mentioned items is outside the scope of this project and considered a custom Single Sign On Solution. Client is responsible to make sure User Identification values (UserID, Username OR Email address) are unique and matching existing users in the CSOD portal
- AES Encrypted Single Sign On (SSO)
 - Client has skilled software resources (Java or .Net programming) available who can establish an AES Encrypted SSO protocol and configure authentication to support CSOD's AES Encrypted SSO
 - Client has skilled software resources available who can establish an SSO SAML protocol and configure authentication to support Cornerstone's SSO SAML V1.1 OR 2.0
- SAML V 1.1 OR 2.0 Single Sign On (SSO)
 - Client will transfer the Assertion and Certification files to Cornerstone as per Cornerstone requirements defined on design specification document and will only transfer them through FTP folder (not email)
 - The assertion is signed using an X.509 certificate, sha1RSA algorithm and is Base64 encoded
- Client acknowledges that once the design document is approved, any changes or modifications to the work scope will require creation of a Change Request document. Change requests are reviewed and could result in additional charges to the Client
- Any changes following Client signoff will require a Work Order or SOW submission

TIMELINE AND DELIVERY

The Implementation Services will take approximately 10 weeks in duration and will be conducted remotely by Cornerstone, except for any outside services so expressly identified herein. Pre-approved travel expenses for on-site activities are the responsibility of Client.

Changes to the scope of this statement of work and/or Client delays that result in an increase to this estimate by more than 10% will require a change order, and may result in additional expense. The professional Services time will be tracked throughout the implementation and Client will be notified prior to actual hours exceeding the estimate, as well as whether a change request and SOW addendum will be required.

Cornerstone delays will NOT require an SOW addendum or result in additional expense to Client.

Project Components	Cost
Performance	
○ Performance Implementation	\$11,500.00
○ Inbound Data Feed - OU/Users (IDF)	\$2,160.00
○ Single Sign On Standard (SSO)	\$1,080.00
○ Performance Historical Data Load (PHDL)	\$5,000.00
Total Additional Services Cost	\$19,740.00

The end of the Implementation Services is defined as the completion of the above Cornerstone deliverables as outlined under the Implementation Services section of this document. Acceptance of the deliverables will be in accordance with the Agreement.

ASSUMPTIONS AND CLIENT OBLIGATIONS

In order for Cornerstone to provide the Services outlined in this Statement of Work, Client shall provide the necessary resources to fulfill the obligations listed below:

Project Specific

- Client is solely responsible for testing all processes during the UAT phase
- Client will utilize the Cornerstone course publisher to upload online content to the portal. All Client content is SCORM v1.2 or AICC v3.5 compliant
- Client is solely responsible for testing (Tracking, Completion, etc.) all content loaded to the Cornerstone portal.
- Any technical integration or service not expressly listed in this Statement of Work with an accompanying price will be scoped as a separate work effort and is not included in the scope of this document.
- Requests for application code changes are out of scope
- Retire Client pilot portal within 60 days after implementation; stage and live portals to remain for the term
- Additional contracts may be required to utilize third party (non Cornerstone OnDemand, Inc.) services and integrations such as job board aggregation, video interview, background screening, employee eligibility and citizenship, ,
- Except where otherwise stated or agreed by the parties, Cornerstone's obligation to perform an Implementation-related Service expires at the earlier of: (i) acceptance of the Service by Client; (ii) if Client does not commence the project, one year from the purchase date; or (iii) if Client commences the project but subsequently does not proceed with the project, six (6) months from the date Client ceased working on the project.

Cornerstone OnDemand – Master Agreement COVER PAGE

Effective Date ("ED"):	
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Client Name ("Client"):	City of Roseville, Attn: Human Resources		
Client Address:	311 Vernon Street, Roseville, CA 95678		
Billing Address:	[Same as Client Address]		
Federal Tax ID# / VAT #:			
<u>Primary Contact</u>		<u>Billing Contact</u> (if different than Primary Contact)	
Name:	Linda Hampton	Name:	
Title:	Senior Human Resources Analyst	Title:	
Email:	LHampton@roseville.ca.us	Email*:	
Phone:	(916) 774-5215	Phone:	

*NOTE: All invoices will be emailed to Client unless otherwise agreed by the parties.

Is Client exempt from applicable sales/VAT tax?	☐ Yes ("No," unless box is checked)
Does Client require a purchase order ("PO")?	☐ Yes ("No," unless box is checked)
PO Number (if applicable):	

By signing below, each party acknowledges that it has read, understands, and agrees to the provisions set forth in the documents listed and checked below, which together comprise the Master Agreement (the "**Agreement**"). No other terms and conditions will apply. Capitalized terms set forth in the Agreement shall have the respective meanings set forth in the Master Terms and Conditions. Except as otherwise expressly set forth herein, all purchases are non-cancelable and non-refundable. Fees are exclusive of applicable sales, use, VAT, and other taxes, and are net of withholding taxes.

Client		Cornerstone OnDemand, Inc.	
Signature:		Signature:	
Name:		Name:	Adam Miller
Title:		Title:	President/CEO

Approved as to form:



Cornerstone OnDemand – Master Agreement

MASTER TERMS AND CONDITIONS

These Master Terms and Conditions are made a part of and incorporated by reference into the Cornerstone OnDemand – Master Agreement by and between Client and Cornerstone OnDemand (“**Cornerstone**”) (the “**Agreement**”).

1. Definitions.

- a) “**Active User**” means, in a given calendar month, a user established on the Software with a designation of “active” at any time during that month. Client has the ability to determine who is an Active User.
- b) “**Affiliate**” means a party that partially (at least 50%) or fully controls, is partially or fully controlled by, or is under partial (at least 50%) or full common control with, another party.
- c) “**Client Content**” means any and all courses, learning objects, certifications, quizzes, tests, materials, instructor-led sessions, or documents created and/or supplied by Client.
- d) “**Client Data**” means proprietary or personal data supplied by Client or regarding any of its users under this Agreement.
- e) “**Confidential Information**” means any non-public information of Cornerstone or Client disclosed by either party to the other party, either directly or indirectly, in writing, orally or by inspection of tangible objects, or to which the other party may have access, which a reasonable person would consider confidential and/or which is marked “confidential” or “proprietary” or some similar designation by the disclosing party. Confidential Information shall not, however, include the existence of the Agreement or any information which the recipient can establish: (i) was or has become generally known or available or is part of the public domain without direct or indirect fault, action, or omission of the recipient; (ii) was known by the recipient prior to the time of disclosure, according to the recipient’s prior written documentation; (iii) was received by the recipient from a source other than the discloser, rightfully having possession of and the right to disclose such information; or (iv) was independently developed by the recipient, where such independent development has been documented by the recipient.
- f) “**Content Delivery**” means the delivery of Client Content to Active Users. Content Delivery volume is calculated as follows: size of Client Content (in gigabytes) multiplied by the number of Active Users receiving the Client Content.
- g) “**Implementation**” means implementation, deployment, and/or training relating to the Software.
- h) “**Order**” means a Product purchase in a schedule, statement of work, addendum, or amendment signed by both parties.
- i) “**Products**” means any and all Services, work product resulting from Services, and Software.
- j) “**Service**” means any service rendered by Cornerstone specifically to Client, including, but not limited to: (i) hosting of the Software; (ii) hosting, delivery, and/or distribution of eLearning content; (iii) provision of customer and/or technical support for the Software; (iv) Implementation; (v) development of Software functionality specially requested by Client; and/or (vi) any consulting service.
- k) “**Software**” means: (i) any and all of Cornerstone’s proprietary web-based applications, including, without limitation, all updates, revisions, bug-fixes, upgrades, and enhancements thereto, as well as applications that have been modified in any way by Cornerstone at the request of a client; and (ii) application functionality provided by Cornerstone-contracted third parties.
- l) “**Subscriber**” means an Active User that accesses the Software for a flat fee.
- m) “**Transaction**” applies only to the Extended Enterprise Product and means a course registration, self-assessment, or task undertaken by an Active User who is grouped in a specially-designated organizational unit separate and apart from Subscribers. Unused Transactions expire upon the earlier of: (i) termination of the applicable Order; or (ii) one year from purchase date. For clarity, employees of Client or any of its Affiliates may only access the Software as Subscribers.

2. **Cornerstone Obligations.** In accordance with the terms and conditions of the Agreement, Cornerstone will: (i) make the Products available on a non-exclusive basis to Active Users via the Internet; (ii) maintain appropriate safeguards for protection of Client Data, including regular back-ups, security and incident response protocols, and application and infrastructure monitoring; and (iii) not access, modify, or disclose Client Data, except as compelled by law, to prevent or address service or technical issues, or if otherwise permitted by Client.

3. **Client Restrictions.** Client may only use the Products for its own lawful, internal business purposes. Client shall not: (i) use or deploy the Software in violation of applicable laws or this Agreement; (ii) resell the Products except through Transactions; (iii) create any derivative works based upon the Products; (iv) reverse

engineer, reverse assemble, decompile or otherwise attempt to derive source code from the Software or any part thereof (except to the extent that such restriction is not permitted under applicable law); (v) make the Products available to any unauthorized parties, including without limitation, competitors of Cornerstone; or (vi) perform, or release the results of, benchmark tests or other comparisons of the Products with other software, services, or materials. Should unexpected or inappropriate use of the Software (e.g., improperly formatted or constructed Client Content; extraordinary bandwidth usage; uploaded files that contain viruses, worms, spyware, or other malicious content; load tests, security scans, or penetration tests conducted without notice, etc.) result in denial of service with respect to the Software, Cornerstone may disable the implicated Client Content and/or deny access to Client’s portal as necessary to remedy the issue. Client will be responsible for Active Users’ compliance with the Agreement and liable for Active Users’ breach thereof. Client will ensure that it has obtained all necessary consents and approvals for Cornerstone to access Client Data for the purposes permitted under this Agreement. If Client is in breach of this section, Cornerstone may suspend Services, in addition to any other rights and remedies Cornerstone may have at law or in equity.

4. **Services.** Each party agrees to: (i) provide the resources reasonably necessary to enable the performance of the Services; (ii) manage its project staffing, milestones, and attendance at status meetings; and (iii) ensure completion of its project deliverables and active participation during all phases of a Service project. The parties acknowledge that failure to cooperate during a Service project may delay delivery of the Service. If there is a delay, the party experiencing the delay will notify the other party as soon as reasonably practicable, and representatives of each party will meet to discuss the reason for the delay and applicable consequences. Changes beyond the scope of an Order and/or a party’s delay in performing its obligations may require an amended Order. When Cornerstone notifies Client that a given project has been completed, Client shall either accept or reject the project based on whether the deliverables for that project have been performed in substantial and material accordance with the applicable Order. If Client: (i) fails to provide written notice of acceptance or rejection of the Service to Cornerstone within fifty (50) days of Cornerstone’s notification that the project is complete; or (ii) with respect to an Implementation, uses the implemented Product in a live environment for a total of fifty (50) days, then the project will be deemed accepted.

5. **Support.** After Implementation is completed, Cornerstone shall provide the level of technical support stated in the applicable Order. Only the number of administrators set forth in the applicable support package (i.e., not all Subscribers) may contact Cornerstone for support. Client agrees to promptly provide Cornerstone with sufficient documentation, data and assistance with respect to any reported errors, and to reasonably cooperate with Cornerstone, in order for Cornerstone to comply with its support obligations hereunder. In no event shall Cornerstone be responsible or liable for any errors, bugs or other problems contained in or originating from hardware or software not provided by Cornerstone.

6. **Fees and Payment.** Client will be invoiced for fees according to the applicable Order. Payment of fees will be due within 30 days after the date of the invoice, except where an Order expressly prescribes other payment dates. Except where otherwise stated, all fees set forth in an Order are in U.S. dollars and must be paid in the currency set forth in the Order. Late payments hereunder will incur a late charge of 1.5% (or the highest rate allowable by law, whichever is lower) per month on the outstanding balance from the date due until the date of actual payment. In addition, Services are subject to suspension for failure to timely remit payment therefor. If travel is required to effect Services, Client shall reimburse Cornerstone for pre-approved, reasonable expenses arising from and/or relating to such travel, including, but not limited to, airfare, lodging, meals, and ground transportation.

7. **Term and Termination.**

- a) **Term.** The term of this Agreement runs from the Effective Date through the later of: (i) three (3) years; and (ii) expiration of the last Order.
- b) **Termination for Cause.** Either party may immediately terminate this Agreement if the other party materially breaches its obligations hereunder, and, where capable of remedy, such breach has not been materially cured within thirty (30) days of the breaching party’s receipt of written notice describing the breach in reasonable detail.
- c) **Termination for Lack of Appropriation.** In the event that Client’s City Council fails to appropriate funds payable under this Agreement, then Client will have the option to, upon sixty (60) days’ prior written notice to Cornerstone, terminate the Agreement at the end of the contract year (as set forth in the Order) in which the budgetary decision was made.

Termination shall not be effective unless Client pays Cornerstone all fees due and owing through the termination date.

- d) **Bankruptcy Events.** A party may immediately terminate this Agreement if the other party: (i) has a receiver appointed over it or over any part of its undertakings or assets; (ii) passes a resolution for winding up (other than for a bona fide scheme of solvent amalgamation or reconstruction), or a court of competent jurisdiction makes an order to that effect and such order is not discharged or stayed within ninety (90) days; or (iii) makes a general assignment for the benefit of its creditors.
 - e) **Effect of Termination.** Immediately following termination of this Agreement, Client shall cease using all Products. Upon request, at no additional charge, Client may retrieve Client Data via Client's secure FTP site in the same format in which the Client Data was originally inputted into the Software. Alternatively, Client Data can be returned in a mutually agreed format at a scope and price to be agreed. Cornerstone will maintain a copy of Client Data for no more than six (6) months following termination of the Agreement, after which time any Client Data not retrieved will be destroyed.
8. **Confidentiality.** Each of the parties agrees: (i) not to disclose any Confidential Information to any third parties except as mandated by law and except to those subcontractors of Cornerstone providing Products hereunder who agree to be bound by confidentiality obligations no less stringent than those set forth in this Agreement; (ii) not to use any Confidential Information for any purposes except carrying out such party's rights and responsibilities under this Agreement; and (iii) to keep the Confidential Information confidential using the same degree of care such party uses to protect its own confidential information; provided, however, that such party shall use at least reasonable care. These obligations shall survive termination of this Agreement. If either party breaches any of its obligations with respect to confidentiality or the unauthorized use of Confidential Information hereunder, the other party shall be entitled to seek equitable relief to protect its interest therein, including but not limited to, injunctive relief, as well as money damages.
 9. **Intellectual Property.** As between the parties, Cornerstone will and does retain all proprietary and intellectual property rights, title and interest in and to the Products. Client retains all proprietary and intellectual property rights, title and interest in and to Client Data and Client Content.
 10. **Indemnification.**
 - a) **Indemnification by Cornerstone.** Cornerstone agrees to indemnify, defend, and hold harmless Client from and against any and all third party claims and causes of action, as well as related losses, liabilities, judgments, awards, settlements, damages, expenses and costs (including reasonable attorney's fees and related court costs and expenses) (collectively, "Damages") incurred or suffered by Client which directly relate to or directly arise out of the violation or infringement of any third-party intellectual property rights by Client's authorized use of the Products. The foregoing provisions of this section shall not apply to the extent the Damages relate to or arise out of: (i) Client Data; (ii) Client Content; or (iii) unauthorized or negligent use and/or alteration of the Products.
 - b) **Indemnification by Client.** Client agrees to indemnify, defend, and hold harmless Cornerstone from and against any and all Damages incurred or suffered by Cornerstone which directly relate to or directly arise out of the violation or infringement of any third-party intellectual property rights by Client Data or Client Content. The foregoing provisions of this section shall not be applicable to the extent the Damages relate to or arise from Cornerstone's use of Client Data or Client Content in violation of this Agreement.
 - c) **Indemnification Procedures.** To obtain indemnification, indemnitee shall: (i) give written notice of any claim promptly to indemnitor; (ii) give indemnitor, at indemnitor's option, sole control of the defense and settlement of such claim, provided that indemnitor may not, without the prior consent of indemnitee (not to be unreasonably withheld), settle any claim unless it unconditionally releases indemnitee of all liability; (iii) provide to indemnitor all available information and assistance; and (iv) not take any action that might compromise or settle such claim.
 - d) **Infringement Cures.** Should the Products or any part thereof become, or in Cornerstone's reasonable opinion be likely to become, the subject of a claim for infringement of a third party intellectual property right, then Cornerstone shall, at its sole option and expense: (i) procure for Client the right to use and access the infringing or potentially infringing item(s) of the Software free of any liability for infringement; or (ii) replace or modify the infringing or potentially infringing item(s) of the Software with a non-infringing substitute otherwise materially complying with the functionality of the replaced system.
 - e) **Exclusive Remedies.** The remedies set forth in this section shall be exclusive with respect to any infringement claim hereunder.

11. **Warranties.** Each party represents and warrants to the other party that, as of the date hereof: (i) it has full power and authority to execute and deliver the Agreement; (ii) the Agreement has been duly authorized and executed by an appropriate employee of such party; (iii) the Agreement is a legally valid and binding obligation of such party; and (iv) its execution, delivery and/or performance of the Agreement does not conflict with any agreement, understanding or document to which it is a party. CORNERSTONE WARRANTS THAT ANY AND ALL SERVICES PROVIDED BY IT HEREUNDER SHALL BE PERFORMED IN A PROFESSIONAL MANNER CONSISTENT WITH PREVAILING INDUSTRY STANDARDS, AND THAT THE SOFTWARE WILL PERFORM SUBSTANTIALLY IN MATERIAL ACCORDANCE WITH THE AGREEMENT AND APPLICABLE DOCUMENTATION PROVIDED BY CORNERSTONE. TO THE EXTENT PERMITTED BY APPLICABLE LAW, CORNERSTONE DISCLAIMS ALL OTHER WARRANTIES, EXPRESS OR IMPLIED, STATUTORY OR OTHERWISE, INCLUDING WITHOUT LIMITATION WARRANTIES OF MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE, NON-INFRINGEMENT (EXCEPT FOR THE INFRINGEMENT INDEMNIFICATION PROVIDED HEREUNDER) AND ANY WARRANTIES ARISING FROM A COURSE OF DEALING, USAGE OR TRADE PRACTICE.
12. **Liability.**
 - a) **Liability Cap.** EXCEPT FOR (i) A PARTY'S INTELLECTUAL PROPERTY INDEMNIFICATION OBLIGATIONS; (ii) BREACH BY CLIENT OF SECTION "CLIENT RESTRICTIONS"; OR (iii) A PARTY'S WILLFUL MISCONDUCT, EACH PARTY'S MAXIMUM AGGREGATE LIABILITY ARISING OUT OF OR RELATING TO THIS AGREEMENT, REGARDLESS OF THE THEORY OF LIABILITY, WILL BE LIMITED TO TWO TIMES (2X) THE TOTAL FEES PAID OR PAYABLE BY CLIENT TO CORNERSTONE HEREUNDER FOR THE TWELVE-MONTH PERIOD IMMEDIATELY PRECEDING THE DATE THE CAUSE OF ACTION AROSE. THE EXISTENCE OF MORE THAN ONE CLAIM SHALL NOT EXPAND SUCH LIMIT. THE PARTIES ACKNOWLEDGE THAT THE FEES AGREED UPON BETWEEN CLIENT AND CORNERSTONE ARE BASED IN PART ON THESE LIMITATIONS, AND THAT THESE LIMITATIONS WILL APPLY NOTWITHSTANDING ANY FAILURE OF ANY ESSENTIAL PURPOSE OF ANY LIMITED REMEDY. THE FOREGOING LIMITATION SHALL NOT APPLY TO A PARTY'S PAYMENT OBLIGATIONS UNDER THE AGREEMENT.
 - b) **Exclusion of Consequential Damages.** NEITHER PARTY WILL BE LIABLE FOR LOST PROFITS, LOST REVENUE, LOST BUSINESS OPPORTUNITIES, LOSS OF DATA, INTERRUPTION OF BUSINESS, PROVIDING REPLACEMENT SOFTWARE (EXCEPT AS SET FORTH IN SECTION "INFRINGEMENT CURES"), OR ANY OTHER INDIRECT, SPECIAL, PUNITIVE, INCIDENTAL OR CONSEQUENTIAL DAMAGES ARISING OUT OF OR RELATING TO THIS AGREEMENT, REGARDLESS OF THE THEORY OF LIABILITY, EVEN IF IT HAS BEEN ADVISED OF THE POSSIBILITY OF SUCH DAMAGES.
13. **Communications.** Neither party shall issue any press release using the name of the other party as a customer or provider without the other party's consent (not to be unreasonably withheld or delayed).
14. **Miscellaneous Provisions.**
 - a) **Governing Law; Jurisdiction.** This Agreement will be governed by and construed in accordance with the laws of the State of California and the federal laws of the United States of America, without regard to conflict of law principles. Cornerstone and Client agree that any suit, action or proceeding arising out of, or with respect to, this Agreement or any judgment entered by any court in respect thereof shall be brought exclusively in the state or federal courts of the State of California located in the County of Placer, and each of Cornerstone and Client hereby irrevocably accepts the exclusive personal jurisdiction and venue of those courts for the purpose of any suit, action or proceeding.
 - b) **Force Majeure.** Neither party will be liable for any failure or delay in its performance under this Agreement due to any cause beyond its reasonable control, including without limitation acts of war, acts of God, earthquake, flood, weather conditions, embargo, riot, epidemic, acts of terrorism, sabotage, labor shortage or dispute, governmental act, failure of the Internet or other acts beyond such party's reasonable control, provided that the delayed party: (i) gives the other party prompt notice of such cause; and (ii) uses reasonable commercial efforts to correct promptly such failure or delay in performance.
 - c) **Counterparts; Facsimile.** This Agreement may be executed in any number of counterparts and in facsimile or electronically, each of which shall be an original but all of which together shall constitute one and the same instrument.
 - d) **Entire Agreement.** This Agreement contains the entire understanding of the parties in respect of its subject matter and supersedes all prior agreements and understandings (oral or written) between the parties with respect to such subject matter. Orders and the schedules and exhibits hereto constitute a part hereof as though set forth in full herein. To the extent Client issues any purchase orders to Cornerstone, then, such purchase orders

submitted by Client are for Client's internal administrative purposes only, and the terms and conditions contained in those purchase orders will have no force and effect. Any modification, amendment, or addendum to this Agreement must be in writing and signed by both parties.

- e) **Assignment.** Neither party may assign this Agreement or any of its rights, obligations, or benefits hereunder, by operation of law or otherwise, without the other party's prior written consent; provided, however, either party, without the consent of the other party, may assign this Agreement to an Affiliate or to a successor (whether direct or indirect, by operation of law, and/or by way of purchase, merger, consolidation or otherwise) to all or substantially all of the business or assets of such party, where the responsibilities or obligations of the other party are not increased by such assignment and the rights and remedies available to the other party are not adversely affected by such assignment. Subject to that restriction, this Agreement will be binding on, inure to the benefit of, and be enforceable against the parties and their respective successors and permitted assigns.
- f) **No Third Party Beneficiaries.** The representations, warranties and other terms contained herein are for the sole benefit of the parties hereto and their respective successors and permitted assigns, and shall not be construed as conferring any rights on any other persons.
- g) **Statistical Data.** Without limiting the confidentiality rights and intellectual property rights protections set forth in this Agreement, Cornerstone has the perpetual right to use aggregated, anonymized, and statistical data ("Statistical Data") derived from the operation of the Software, and nothing herein shall be construed as prohibiting Cornerstone from utilizing the Statistical Data for business and/or operating purposes, provided that Cornerstone does not share with any third party Statistical Data which reveals the identity of Client, Client's users, or Client's Confidential Information.
- h) **Suggestions.** Cornerstone shall have a royalty-free, worldwide, perpetual license to use or incorporate into the Products any suggestions, ideas, enhancement requests, feedback, recommendations, or other information provided by Client or its users relating to the operation of the Products.
- i) **Responsibility for Third-Party Offerings.** The Software may contain features capable of interoperating with third-party applications. To use such features, Client may be required to obtain access to such applications from a third-party provider. Cornerstone shall not be responsible for Client's access to, or operation of, third-party applications not offered or sold by Cornerstone to Client.
- j) **Export Controls.** Client understands that the use of Cornerstone's Products is subject to U.S. export controls and trade and economic sanctions laws and agrees to comply with all such applicable laws and regulations, including the Export Administration Regulations maintained by the U.S. Department of Commerce, and the trade and economic sanctions maintained by the Treasury Department's Office of Foreign Assets Control.

- k) **Rule 10b-5 Limitations.** Each party acknowledges that United States securities laws prohibit any person who has material, non-public information about a publicly-traded company from purchasing or selling securities of such company, or from communicating such information to any other person under circumstances in which it is reasonably foreseeable that such person is likely to purchase or sell securities of such company.
- l) **Severability.** If any provision of this Agreement is held by a court or arbitrator of competent jurisdiction to be contrary to law, such provision shall be changed by the court or by the arbitrator and interpreted so as to best accomplish the objectives of the original provision to the fullest extent allowed by law, and the remaining provisions of this Agreement shall remain in full force and effect.
- m) **Notices.** Any notice or communication required or permitted to be given hereunder may be delivered by hand, deposited with an overnight courier, sent by facsimile, or mailed by registered or certified mail, return receipt requested and postage prepaid to the address for the other party first written above or at such other address as may hereafter be furnished in writing by either party hereto to the other party. Such notice will be deemed to have been given as of the date it is delivered, if by personal delivery; the next business day, if deposited with an overnight courier; upon receipt of confirmation of facsimile delivery (if followed up by such registered or certified mail); and five days after being so mailed.
- n) **Independent Contractors.** Client and Cornerstone are independent contractors, and nothing in this Agreement shall create any partnership, joint venture, agency, franchise, sales representative or employment relationship between Client and Cornerstone. Each party understands that it does not have authority to make or accept any offers or make any representations on behalf of the other. Neither party may make any statement that would contradict anything in this section.
- o) **Subcontractors.** Cornerstone shall notify Client of its use of any subcontractors to perform Client-specific Services. Cornerstone shall be responsible for its subcontractors' performance of Services under this Agreement.
- p) **Headings.** The headings of the sections of this Agreement are for convenience only, do not form a part hereof, and in no way limit, define, describe, modify, interpret or construe its meaning, scope or intent.
- q) **Waiver.** No failure or delay on the part of either party in exercising any right, power or remedy under this Agreement shall operate as a waiver, nor shall any single or partial exercise of any such right, power or remedy preclude any other or further exercise or the exercise of any other right, power or remedy.
- r) **Survival.** Sections of the Agreement intended by their nature and content to survive termination of the Agreement shall so survive.

ORDINANCE NO. 5657

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for Performance Management Software per request of the Human Resources Department, as listed on the attached Request for Budget Adjustment in the amount of \$21,300.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in: [A.R. 6.01 Budget Adjustment Policy & Procedure.](#)

REQUESTER*: Gayle Satchwell

DEPARTMENT/DIVISION*: Human Resources

FISCAL YEAR/EFFECTIVE DATE*: FY2016

PROPOSED COUNCIL DATE (if applicable): 03/16/2016

For more detailed budget adjustment training information, including examples, please click on the following link:

[Miscellaneous Budget Training Information.](#)

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
8,000	03100	5210	100			Transfer from IT
13,300	03100	5210	100			Funding from Contingency
\$ 21,300	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
8,000	03126	5330	100			Funding from IT-03126
13,300	00100	5990	100			General Fund Contingency
\$ 21,300	TOTAL					

FINANCE USE	Approved: <i>Kathy Cullen</i> 2/17/16	Comments:
	BUDGET MANAGER/DESIGNEE	DATE

Justification for Budget Adjustment*:

Funding from the General Fund Contingency is needed to cover partial implementation costs for a new Performance Management Software System.

Approved: <i>Gayle Satchwell</i> 2/18/16	Approved:
REQUESTING DEPARTMENT HEAD / DESIGNEE	CITY MANAGER
DATE	DATE



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:

[A.R. 6.01 Budget Adjustment Policy & Procedure.](#)

For more detailed budget adjustment training information, including examples, please click on the following link:

[Miscellaneous Budget Training Information.](#)

REQUESTER*: Gayle Satchwell

DEPARTMENT/DIVISION*: Human Resources

FISCAL YEAR/EFFECTIVE DATE*: FY2016

PROPOSED COUNCIL DATE (if applicable): 03/16/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
8,000	03100	5210	100			Transfer from IT
13,300	03100	5210	100			Funding from Contingency
\$ 21,300	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
8,000	03126	5330	100			Funding from IT-03126
13,300	00100	5990	100			General Fund Contingency
\$ 21,300	TOTAL					

FINANCE USE	Approved: <u>Kathy Cullen</u> <u>2/17/16</u> BUDGET MANAGER/DESIGNEE DATE	Comments: _____
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Justification for Budget Adjustment*:

Funding from the General Fund Contingency is needed to cover partial implementation costs for a new Performance Management Software System.

Approved: <u>Gayle Satchwell</u> <u>2/18/16</u> REQUESTING DEPARTMENT HEAD / DESIGNEE DATE	Approved: _____ CITY MANAGER DATE
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COUNCIL COMMUNICATION

CC #: 7508

File #: 0201-01 & 0800-06

Title: Full Cost/Consolidated Billing - Budget Adjustment
Contact: Brandy LeBeau 774-5278 blebeau@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.30.

RECOMMENDATION TO COUNCIL

Adopt an ordinance approving a Budget Adjustment to transfer \$2,000,000.00 from reimbursement revenue for staff charges and outside expenses.

BACKGROUND

The Development Services Department Planning and Engineering Divisions have projects that are 100% cost recoverable. These projects (Org #90160), are where the City takes a deposit on the estimated charge on what the project will cost to complete. The Planning and Engineering divisions collect the deposit for the estimated amount of staff and consultant time depending on the scope of the project. It can go over that deposited amount should the scope change. If there are additional costs, then the applicant will deposit more money in the project account. This budget adjustment reduces the Salary, Wages and Benefits in Planning and Engineering Divisions and increases the secondary labor charges to 90160. Due to the increase in development, both the Planning and Engineering Divisions have more projects than were initially anticipated causing the initial budget to increase.

The Planning and Engineering Divisions are requesting an increase both in the expense and revenue accounts to reflect the correct amount of \$2,000,000.00. This amount will reflect the necessary amount to ensure budget spending authority for auditing purposes.

FISCAL IMPACT

There is no net impact on the available resources in the General Fund as this is simply an accounting related action.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on federal guidelines approximately one job is created for every \$92,000 in direct

government spending. Since this is a budget adjustment, no jobs will be created.

ENVIRONMENTAL REVIEW

A budget adjustment is not considered a project defined by the California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines 15061(b) (3).

Respectfully Submitted,

Brandy LeBeau, Administrative Analyst

Kevin Payne, Development Services Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Ordinance No. 5650

Budget Adjustment

ORDINANCE NO. 5650

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for staff charges and outside expenses per request of the Development Services Department, as listed on the attached Request for Budget Adjustment in the amount of \$2,000,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT

FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in: A.R. 6.01 Budget Adjustment Policy & Procedure.

For more detailed budget adjustment training information, including examples, please click on the following link:
Miscellaneous Budget Training Information.

REQUESTER*: Brandy LeBeau

DEPARTMENT/DIVISION*: Development Services

FISCAL YEAR/EFFECTIVE DATE*: FY16 - February 12, 2016

PROPOSED COUNCIL DATE (if applicable): 03/16/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
200,000	90160	5108	0100			Inspection Contract Services
1,800,000	90160	5650	0100			Processing Projects - Internal Staff Charges
\$ 2,000,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
2,000,000	90160	3575	0100			Developer Reimbursement
\$ 2,000,000	TOTAL					

FINANCE USE	Approved:	Comments:
	 Kathy Cullen BUDGET MANAGER/DESIGNEE	2/17/16 DATE

Justification for Budget Adjustment*:

Developer Reimbursement for additional internal staff charges and outside contract services

Approved:	Approved:
 Kevin Payne REQUESTING DEPARTMENT HEAD/DESIGNEE	2/16/16 DATE
	CITY MANAGER DATE



COUNCIL COMMUNICATION

CC #: 7522

File #: 0201-01 & 0800-06

Title: Amoruso Ranch Specific Plan Budget Adjustment
Contact: Brandy LeBeau 774-5278 blebeau@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.31.

RECOMMENDATION TO COUNCIL

The Development Services Department, Planning Division, requests that the City Council adopt an ordinance for a budget adjustment of \$150,000.00 for City staff costs required for the processing of the Amoruso Ranch Specific Plan. The budget adjustment is requested to continue the City staff costs for the continuation review and processing on this project. There is no General Fund impact. The Specific Plan landowners have agreed to reimburse the City's cost associated with the project as required by the funding agreement. Specific Plan projects are full cost recovery.

BACKGROUND

The City Council directed staff to begin processing the Amoruso Ranch Specific Plan (ARSP) in 2011. Staff has spent the last several years working with Brookfield Residential and their specific plan team on the land use plan and draft specific plan document. In late 2013, the City began work on the technical studies needed to prepare the Draft Environmental Impact Report (DEIR). Recently, the applicant has made changes to the land use plan that will affect the technical studies, the DEIR and other professional services needed to complete the ARSP. Given the changes described above, a budget adjustment of \$150,000 is requested to continue the City staff costs for the continuation review for this project.

FISCAL IMPACT

There is no General Fund Impact. The Specific Plan landowner has agreed to reimburse the City's costs associated with the project as required by the funding agreement. Specific Plan projects are full cost recovery projects. Staff's time processing the project will be billable to the applicant.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on federal guidelines approximately one job is created for every \$92,000 in direct

government spending. Based on this 1.5 jobs will be supported through the future billing associated with this project.

ENVIRONMENTAL REVIEW

A budget adjustment is not considered a project defined by the California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines 15061(b) (3).

Respectfully Submitted,

Brandy LeBeau, Administrative Analyst

Kevin Payne, Development Services Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Ordinance No. 5656

Amoruso Budget Adjustment

ORDINANCE NO. 5656

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for the Amoruso Ranch Specific Plan Project per request of the Development Services Department, as listed on the attached Request for Budget Adjustment in the amount of \$150,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT
FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:

[A.R. 6.01 Budget Adjustment Policy & Procedure.](#)

For more detailed budget adjustment training information, including examples, please click on the following link:

[Miscellaneous Budget Training Information.](#)

REQUESTER*: Brandy LeBeau

DEPARTMENT/DIVISION*: Development Services

FISCAL YEAR/EFFECTIVE DATE*: FY16 - February 12, 2016

PROPOSED COUNCIL DATE (if applicable): 03/16/2016

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL		FUND*	JL		
	ORG KEY*	OBJECT*		PROJECT	ACTIVITY	
150,000	90116	5650	0100			Internal Charges
\$ 150,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL		FUND*	JL		
	ORG KEY	OBJECT		PROJECT	ACTIVITY	
150,000	90116	3575	0100			Developer Reimbursement
\$ 150,000	TOTAL					

FINANCE USE	Approved:	Comments:
	 BUDGET MANAGER/DISEGNEE	
	2/17/16 DATE	

Justification for Budget Adjustment*:

Developer Reimbursement for additional internal staff charges

Approved:	Approved:
 REQUESTING DEPARTMENT HEAD / DESIGNED	 CITY MANAGER
2/16/16 DATE	DATE



COUNCIL COMMUNICATION

CC #: 7521

File #: 0201-01 & 0203-06

Title: Accela Project - Budget Adjustment
Contact: Brandy LeBeau 774-5278 blebeau@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.32.

RECOMMENDATION TO COUNCIL

Adopt an ordinance approving a Budget Adjustment for the future purchase of Accela related hardware from the Development Services Code Enforcement Division Budget to the Accela Project Budget.

BACKGROUND

On December 12, 2011 the City Council authorized the City Manager to enter into a Licensing Agreement with Accela Inc. for Accela Automation - Land Management, Citizen Access, Mobile Office and Geographic Information System (GIS) software to upgrade the City's permit system. The upgrade to the permitting system was done to enhance transparency and accountability by providing on-line access to City processes and project information (i.e. permit and inspection status). The project enhances the quality of service by reducing processing time, increasing accessibility of information and provides consistency in the work product. The Accela Automation project has resulted in a multi-department integration that provides a high level of customer service.

Since the original approval, the software has been installed and historical data has been migrated to the new system. The City has had a very successful launch of the new system on July 1, 2013, bringing the project to a go live status on schedule and within budget.

At this time, the Mobile Office component of this project is being developed and tested but the equipment will more than likely be purchased in FY17. The funding for the Code Enforcement inspectors hardware needs was established in the FY16 budget. In an effort to ensure that the funding for the hardware purchase is available, monies from the Development Services Code Enforcement Division budget in the amount of \$11,000 is requested to be transferred to the Accela CIP project budget.

FISCAL IMPACT

There will be no new fiscal impact to the City as a result of this action. These \$ 11,000 was budgeted in the FY16 Development Services Code Enforcement Division budget. This budget adjustment simply allocates the funds to the Accela project fund allowing more flexibility to purchase the future hardware.

ECONOMIC DEVELOPMENT / JOBS CREATED

Based on federal guidelines approximately one job is created for every \$92,000 in direct government spending. Since this is a budget adjustment, no jobs will be created.

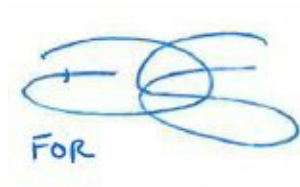
ENVIRONMENTAL REVIEW

A budget adjustment is not considered a project defined by the California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines 15061(b) (3)).

Respectfully Submitted,

Brandy LeBeau, Administrative Analyst

Kevin Payne, Development Services Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Ordinance No. 5655

Accela Hardware Budget Adjustment for Code Enforcement

ORDINANCE NO. 5655

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for the Accela Project per request of the Development Services Department, as listed on the attached Request for Budget Adjustment in the amount of \$11,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT
FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in:
[A.R. 6.01 Budget Adjustment Policy & Procedure.](#)

REQUESTER*: Brandy LeBeau

DEPARTMENT/DIVISION*: Development Services

FISCAL YEAR/EFFECTIVE DATE*: FY16 - February 12,2016

PROPOSED COUNCIL DATE (if applicable): 03/16/2016

For more detailed budget adjustment training information, including examples, please click on the following link:
[Miscellaneous Budget Training Information.](#)

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL		FUND*	JL		
	ORG KEY*	OBJECT*		PROJECT	ACTIVITY	
11,000	90016	5216	0100	129006	40	Accela Hardware for Code Enforcement Inspectors
11,000	00216	3902	216	129006		Transfer from GF
\$ 22,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL		FUND*	JL		
	ORG KEY	OBJECT		PROJECT	ACTIVITY	
11,000	08815	5216	0100			Accela Hardware for Inspectors
11,000	00100	8902	100	129006		Transfer to fund 216
\$ 22,000	TOTAL					

FINANCE USE	Approved: <i>Kathy Cullen</i> 2/17/16	Comments:
	BUDGET MANAGER / DESIGNEE	DATE

Justification for Budget Adjustment*:

Moving the Accela Hardware for Mobile Office budget from the individual org keys to the CIP project.

Approved: <i>Kirin Payne</i> 2/16/16	Approved:
REQUESTING DEPARTMENT HEAD / DESIGNEE	CITY MANAGER
DATE	DATE



COUNCIL COMMUNICATION

CC #: 7520

File #: 0203-01 & 0201-01

Title: Vehicle Purchases and Budget Adjustments
Contact: Peter Brevik 774-5724 pBrevik@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.33.

RECOMMENDATION TO COUNCIL

NJPA Contract

Authorize a purchase order to Altec Industries for one D3060B Digger Derrick utilizing National Joint Powers Alliance NJPA contract# 031014-ALT to replace the Electric Departments vehicle number 03-326. The total cost of this vehicle including options and sales tax is \$421,919.98. Additionally, adopt an ordinance amending the budget by \$11,945.00 to pay for the remaining purchase price of the vehicle.

Authorize a purchase order to Altec Industries for one AT37-G Articulating Telescopic Aerial lift truck utilizing NJPA contract# 031014-ALT to replace the Electric Departments vehicle number 06-555. The total cost of this vehicle including options and sales tax is \$133,240.55. Additionally, adopt an ordinance amending the budget by \$6,500.00 to pay for the remaining purchase price of the vehicle.

BACKGROUND

NJPA Contract

The Electric Department Operations Division is replacing vehicle number 03-326. This vehicle is used by Roseville Electric crews to respond to electric power outages 24/7. This truck is also used during business hours for daily work such as setting transformers and installing power poles and street lights. This truck is critical to the line crews for their daily work.

The Electric Department Operations Division is replacing vehicle number 06-555. The articulating telescopic aerial lift truck is used to work on overhead transmission lines that are not accessible by ladder. It carries all essential materials, equipment, and maintenance staff needed for daily electric maintenance operations.

The City is piggybacking a competitively bid contract from NJPA for this purchase. NJPA creates national cooperative contract purchasing opportunities and solutions on behalf of its members which include all government, education, and non-profit agencies nationwide. The City of Roseville is a member of NJPA.

Vehicle replacements are based on the Fleet Scoring System which considers factors such as age, miles, reliability, prior maintenance and repair costs, and current condition. Below are the vehicle replacement scores.

Vehicle ID	Replacement Score	Vehicle Condition
03-326	44	Meets City replacement criteria
06-555	25	Meets City replacement criteria

FISCAL IMPACT

The total cost of one D3060B Digger Derrick truck including options and sales tax is \$421,919.98. Funding is included in the FY2015/16 Auto Replacement Budget for \$409,947.98 and a budget adjustment in the amount of \$11,945.00 is included to pay for the remaining purchase price of the vehicle.

The total cost of one AT37-G Articulating Telescopic Aerial lift truck including options and sales tax is \$133,240.55. Funding is included in the FY2015/16 Auto Replacement Budget for \$126,740.55 and a budget adjustment in the amount of \$6,500.00 is included to pay for the remaining purchase price of the vehicle.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not Applicable

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The purchase of vehicles does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Peter Brevik, Buyer

Paul Diefenbach, Central Services Director



FOR

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

- Ordinance No. 5653
- Budget Adjustment 1
- Ordinance No. 5654
- Budget Adjustment 2
- Vehicle Replacement Justification Form

ORDINANCE NO. 5653

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for replacement of a Digger Derrick truck per request of the Electric Department, as listed on the attached Request for Budget Adjustment in the amount of \$11,945.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in: [A.R. 6.01 Budget Adjustment Policy & Procedure.](#)

For more detailed budget adjustment training information, including examples, please click on the following link: [Miscellaneous Budget Training Information.](#)

REQUESTER*: Matt Nelson
DEPARTMENT/DIVISION*: Electric / Admin
FISCAL YEAR/EFFECTIVE DATE*: FY2015/16
PROPOSED COUNCIL DATE (if applicable):

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
11,945	03322	6000	502			Auto Replacement Fund / Vehicle 03-326
\$ 11,945	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
11,945			502			Available Resources (FL-490)
\$ 11,945	TOTAL					

FINANCE USE	Approved:	1/29/16	Comments:
	BUDGET MANAGER/DESIGNEE	DATE	

Justification for Budget Adjustment*:

For the purchase of the replacement for unit 03-326 located in division #08614, Electric Dept. Construction & Maintenance. Price quote of \$421,945 is \$11,945 above the approved budget amount of line item 82 in FY2016 budget, and \$24,458 greater than the amount of replacement funds accumulated on this vehicle. Budget adjustment will increase the spending authority in the Automotive Replacement Fund by \$11,945. Total funding of \$24,458 will come from FL-490 to replace vehicle 03-326 for the quoted amount.

Approved:	Approved:
REQUESTING DEPARTMENT HEAD / DESIGNEE	CITY MANAGER
DATE	DATE

Handwritten notes:
02-03-16

ORDINANCE NO. 5654

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for replacement of an Articulating Telescopic Aerial lift truck per request of the Electric Department, as listed on the attached Request for Budget Adjustment in the amount of \$6,500.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



REQUEST FOR BUDGET ADJUSTMENT FINANCE DEPARTMENT

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required for processing. Obtain required approvals and process according to the procedure outlined in: A.R. 6.01 Budget Adjustment Policy & Procedure.

For more detailed budget adjustment training information, including examples, please click on the following link: [Miscellaneous Budget Training Information.](#)

REQUESTER*: Matt Nelson

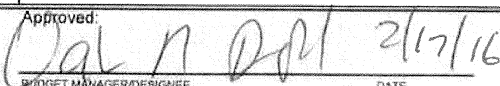
DEPARTMENT/DIVISION*: Electric / Admin

FISCAL YEAR/EFFECTIVE DATE*: FY2015/16

PROPOSED COUNCIL DATE (if applicable):

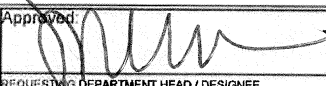
USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
6,500	03322	6000	502			Auto. Replacement Fund / Vehicle 06-555
\$ 6,500	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
6,500			502			Available Resources (FL-490)
\$ 6,500	TOTAL					

FINANCE USE	Approved: 	Comments:
	BUDGET MANAGER/DESIGNEE	DATE: 2/17/16

Justification for Budget Adjustment*:

For the purchase of the replacement for unit 06-555 located in division #08614, Electric Dept. Construction & Maint. Total price quote is \$6,500 above the approved budget amount of line item 86 in FY2016 budget. Sufficient funds have accumulated on this vehicle. Budget adjustment will increase the spending authority in the Automotive Replacement Fund to replace vehicle 06-555 for the quoted amount.

Approved: 	Approved:
REQUESTING DEPARTMENT HEAD / DESIGNEE	CITY MANAGER
DATE	DATE



COUNCIL COMMUNICATION

CC #: 7540

File #: 0201-01 & 0800-02

Title: Strategic Legislative and Planning Services - Budget Adjustment

Contact: Sean Bigley 774-5513 sbigley@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.34.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council approve the attached budget adjustment for \$25,000 for strategic legislative and planning services for Environmental Utilities. Services include strategic advice on optimizing Roseville's position and influence as a regional and state leader in shaping legislative and regulatory policymaking, long-term water resources development planning, operational optimization and strategic advice to the Environmental Utilities Director and staff. These services will help staff further two key 2015-16 City Council Goals.

BACKGROUND

Sound and stable utilities, and legislative advocacy are two critical goals identified by City Council. These Council goals help provide overall direction to the city's operation, with Environmental Utilities having a key role furthering the implementation of those goals.

Under sound and stable utilities, Council has placed significant emphasis on staff developing a long-term integrated resource plan to ensure Roseville's continued water supply reliability, with focus on regulatory change and needed water infrastructure partnerships and investments. A high level of certainty regarding water supplies is the key to investment in our region – leading to economic growth, more jobs, and a rise in the region's standard of living. Council noted that a proactive stance on issues that both affect our water supply and our utility customers is critical and a high priority for the City Council.

Regarding legislative advocacy, Council states, "One of the highest priority efforts in FY2015-16 will continue to be the reliability of Roseville's water supply. To ensure Roseville's water reliability, the focus remains on regulatory change and water infrastructure investment." This water supply reliability involves the development of Roseville's entire water supply portfolio and looking for advancements that provide added water supply reliability in the future.

The attached budget adjustment will allow the Environmental Utilities Director and government relations staff access to consultant resources that will provide strategic advice to staff on further

developing key relationships and coalitions, further developing key relationships with state and federal legislators and policymakers, and operational optimization to position the City of Roseville as a strong regional and state influencer and thought leader in the policy areas covered by Environmental Utilities. Additionally, these services will also assist staff in the development of long-term water resources development planning. Overall, these services are key to helping staff further Council goals as noted above.

An even stronger position will allow the City of Roseville to influence and shape key state and federal policies to ensure that the city's water supply reliability is strong in the future; and Environmental Utilities is positioned strongly to ensure sound and stable utility services, with a low cost and high value for the city's utility customers.

FISCAL IMPACT

If adopted, this \$25,000 budget adjustment will be funded within existing rates and budgeted within the Environmental Utilities administration budget. The services noted are available and within scope of an existing professional services agreement previously approved by the City Council.

At the time the contract was approved, these services were contemplated; however, staff had not had a precise idea if, when and to what extent they would be used and thus no budget was allocated for this activity. Now that staff has a definite idea as to what services are needed, this proposed budget adjustment will fund those services.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

ENVIRONMENTAL REVIEW

This proposed budget adjustment is not a "project" as defined by the California Environmental Quality Act (CEQA) (CEQA Guideline Section 15378). Consequently, no CEQA action is required.

Respectfully Submitted,

Sean Bigley, Public Affairs Administrator

Richard Plecker, Environmental Utilities Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:**Description**

Ordinance No. 5658

Budget Adjustment Form

ORDINANCE NO. 5658

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE
AUTHORIZING CERTAIN AMENDMENTS TO THE 2015-16
BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY
EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2015-16, is hereby amended by transferring appropriation to and from the activities indicated below:

Appropriate funds for strategic legislative and planning services per request of the Environmental Utilities Department, as listed on the attached Request for Budget Adjustment in the amount of \$25,000.00.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this
_____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



**REQUEST FOR BUDGET ADJUSTMENT
FINANCE DEPARTMENT**

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required

REQUESTER*: Sean Bigley

DEPARTMENT/DIVISION*: Environmental Utilities/Administration

FISCAL YEAR/EFFECTIVE DATE*: FY 16

PROPOSED COUNCIL DATE (if applicable): March 16, 2016

A.R. 6.01 Budget Adjustment Policy & Procedure.

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
25,000	08400	5101	480			Strategic legislative and planning services
\$ 25,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
25,000			480			Water Operations
\$ 25,000	TOTAL					

FINANCE USE	Approved: <i>Kathy Cullen</i> 2/24/16 BUDGET MANAGER/DESIGNEE DATE	Comments:
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Justification for Budget Adjustment*:

Staff recommends City Council approve a \$25,000 budget adjustment for strategic legislative/planning services for Environmental Utilities. Services include strategic advice on optimizing Roseville's position in shaping legislative/regulatory policymaking, water resources planning, operational optimization and strategic advice to the Environmental Utilities Director and staff. The services are available within scope of an existing professional services agreement previously approved by the City Council. At the time the agreement was approved, these services were contemplated; however, staff had not had a precise idea if, when and to what extent they would be used and thus no budget was allocated for this activity. Now that staff has a definite idea as to what services are needed, this proposed budget adjustment will fund those services.

Approved: <i>Mark D. Pinner</i> 2/25/16 REQUESTING DEPARTMENT HEAD / DESIGNEE DATE	Approved: _____ CITY MANAGER
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**REQUEST FOR BUDGET ADJUSTMENT
FINANCE DEPARTMENT**

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required

REQUESTER*: Sean Bigley

DEPARTMENT/DIVISION*: Environmental Utilities/Administration

FISCAL YEAR/EFFECTIVE DATE*: FY 16

PROPOSED COUNCIL DATE (if applicable): March 16, 2016

A.R. 6.01 Budget Adjustment Policy & Procedure.

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
25,000	08400	5101	480			Strategic legislative and planning services
\$ 25,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
25,000			480			Water Operations
\$ 25,000	TOTAL					

FINANCE USE	Approved: <i>Kathy Cullen</i> 2/24/16 BUDGET MANAGER/DESIGNEE	Comments: _____ DATE
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Justification for Budget Adjustment*:

Staff recommends City Council approve a \$25,000 budget adjustment for strategic legislative/planning services for Environmental Utilities. Services include strategic advice on optimizing Roseville's position in shaping legislative/regulatory policymaking, water resources planning, operational optimization and strategic advice to the Environmental Utilities Director and staff. The services are available within scope of an existing professional services agreement previously approved by the City Council. At the time the agreement was approved, these services were contemplated; however, staff had not had a precise idea if, when and to what extent they would be used and thus no budget was allocated for this activity. Now that staff has a definite idea as to what services are needed, this proposed budget adjustment will fund those services.

Approved: <i>Thomas A. Patten</i> 2/25/16 REQUESTING DEPARTMENT HEAD / DESIGNEE	Approved: _____ CITY MANAGER
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**REQUEST FOR BUDGET ADJUSTMENT
FINANCE DEPARTMENT**

Instructions: Complete all necessary fields. Fields marked with an asterisk (*) are mandatory and required

REQUESTER*: Sean Bigley

DEPARTMENT/DIVISION*: Environmental Utilities/Administration

FISCAL YEAR/EFFECTIVE DATE*: FY 16

PROPOSED COUNCIL DATE (if applicable): March 16, 2016

A.R. 6.01 Budget Adjustment Policy & Procedure.

USE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY*	OBJECT*	FUND*	PROJECT	ACTIVITY	
25,000	08400	5101	480			Strategic legislative and planning services
\$ 25,000	TOTAL					

SOURCE OF FUNDS*						
AMOUNT*	ACCOUNT NUMBER					Account Title/Activity Description*
	GL			JL		
	ORG KEY	OBJECT	FUND*	PROJECT	ACTIVITY	
25,000			480			Water Operations
\$ 25,000	TOTAL					

FINANCE USE	Approved: <u>Kathy Cullen</u> 2/24/16 BUDGET MANAGER / DESIGNEE	Comments: _____ DATE
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Justification for Budget Adjustment*:

Staff recommends City Council approve a \$25,000 budget adjustment for strategic legislative/planning services for Environmental Utilities. Services include strategic advice on optimizing Roseville's position in shaping legislative/regulatory policymaking, water resources planning, operational optimization and strategic advice to the Environmental Utilities Director and staff. The services are available within scope of an existing professional services agreement previously approved by the City Council. At the time the agreement was approved, these services were contemplated; however, staff had not had a precise idea if, when and to what extent they would be used and thus no budget was allocated for this activity. Now that staff has a definite idea as to what services are needed, this proposed budget adjustment will fund those services.

Approved: <u>Michael D. Pinner</u> 2/25/16 REQUESTING DEPARTMENT HEAD / DESIGNEE	Approved: _____ CITY MANAGER
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COUNCIL COMMUNICATION

CC #: 7547
File #: 0800-02

Title: Second Reading of Ordinance - Municipal Code Amendment - Water Efficient Landscaping
Contact: Derek Ogden 746-1348 dogden@roseville.ca.us
Bobby Alvarez 746-1710 balvarez@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.35.

RECOMMENDATION TO COUNCIL

Recommend Council provide second reading and adoption of ORDINANCE NO. 5659 OF THE COUNCIL OF THE CITY OF ROSEVILLE REPEALING CHAPTER 19.67 OF ARTICLE IV OF TITLE 19 OF THE ROSEVILLE MUNICIPAL CODE REGARDING WATER EFFICIENT LANDSCAPING, AND ADDING CHAPTER 14.18 OF TITLE 14 OF THE ROSEVILLE MUNICIPAL CODE REGARDING WATER EFFICIENT LANDSCAPING.

BACKGROUND

N/A

FISCAL IMPACT

N/A

ECONOMIC DEVELOPMENT / JOBS CREATED

N/A

ENVIRONMENTAL REVIEW

N/A

Respectfully Submitted,

Dereck Ogden, Senior Planner

Kevin Payne, Development Services Director



Rob Jensen, City Manager

ATTACHMENTS:

Description

Ordinance 5659

ORDINANCE NO. 5659

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE REPEALING CHAPTER 19.67 OF ARTICLE IV OF TITLE 19 OF THE ROSEVILLE MUNICIPAL CODE REGARDING WATER EFFICIENT LANDSCAPING, AND ADDING CHAPTER 14.18 OF TITLE 14 OF THE ROSEVILLE MUNICIPAL CODE REGARDING WATER EFFICIENT LANDSCAPING

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. Chapter 19.67 of Article IV of Title 19 of the Roseville Municipal code is hereby repealed in its entirety.

SECTION 2. Chapter 14.18 of Title 14 of the Roseville Municipal Code is hereby added to read as follows:

Chapter 14.18

WATER EFFICIENT LANDSCAPING

14.18.010 Purpose.

A. Intelligent design and water management can enable citizens of Roseville to enjoy a well landscaped community, while at the same time conserving resources. Skillful planting and irrigation design, appropriate use of plants, and intelligent landscape management, can ensure that excessive water demands are reduced and allow the community to be less vulnerable during periods of severe drought. Efficient design practices such as: irrigation systems that apply water at a usable rate within the root zone of the plants, automatic irrigation controllers that allow early morning watering when there is less heat and wind allowing more efficient irrigation, and properly maintained moisture sensors that override automatic irrigation when the soil is already moist or wet are all measures that can reduce water demands, yet at the same time provide sufficient moisture to maintain the City's climate-appropriate landscaping.

B. The purpose of these requirements is to comply with the Water Conservation in Landscaping Act of 2006, Government Code Sections 65591 et. seq, and to define the standards and procedures for the design, installation, and management of landscaping. This is intended to utilize available plant, water, land and human resources to the greatest benefit of Roseville residents. Water resources are a finite resource. Therefore, in times of water shortages, water cutbacks may be required, combined with limits to landscaping installation and water usage, as specified in the Roseville Municipal Code Chapter 14.09 (Water Conservation).

C. Landscapes that are planned, designed, installed, managed and maintained with the watershed based approach can improve California's environmental conditions and provide benefits and realize sustainability goals. Such landscapes will make the urban environment

resilient in the face of climatic extremes. Consistent with the legislative findings and purpose of the Ordinance, conditions in the urban setting will be improved by:

1. Creating the conditions to support life in the soil by reducing compaction, incorporating organic matter that increases water retention, and promoting productive plant growth that leads to more carbon storage, oxygen production, shade, habitat and esthetic benefits.
2. Minimizing energy use by reducing irrigation water requirements, reducing reliance on petroleum based fertilizers and pesticides, and planting climate appropriate shade trees in urban areas.
3. Conserving water by capturing and reusing rainwater and graywater wherever possible and selecting climate appropriate plants that need minimal supplemental water after establishment.
4. Protecting air and water quality by reducing power equipment use and landfill disposal trips, selecting recycled and locally sourced materials, and using compost, mulch and efficient irrigation equipment to prevent erosion.
5. Protecting existing habitat and creating new habitat by choosing local native plants, climate adapted non-natives and avoiding invasive plants. Utilizing integrated pest management with least toxic methods as the first course of action.

14.18.020 Authority and Relationship to other Documents.

A. Consistency with specific plans and other design guidelines. Landscape and irrigation plans shall be designed consistent with this Chapter and any adopted specific plans or other planning area design guidelines, if applicable. Where any inconsistencies arise between this Chapter and other adopted policy documents, the more restrictive requirement shall govern.

B. Water use consumption information for specific plant species. Should conflicts arise between the water use information contained in the Water Use Classification of Landscape Species publication, as defined in Section 14.18.040, and the Specific Plan or planting area design guidelines, the Water Use Classification of Landscape Species publication shall govern.

14.18.030 Applicability.

A. The provisions of this Chapter shall apply to all of the following landscape projects:

1. New Construction and Rehabilitated Projects. New construction and rehabilitated landscape projects requiring a building permit, plan check or Design Review Permit (DRP).
2. Developer-Installed or Homeowner Provided Landscaping Less than 2,500 Square Feet in Single-Family Residential Projects. Any single-family or two-

family landscape project that is developer installed or homeowner provided with an aggregate landscape area of 2,500 square feet or less requiring a building permit, plan check or DRP, may comply with the performance requirements of this ordinance or conform to the prescriptive measures contained below.

- a. Compliance with the following items is mandatory and must be documented on a landscape plan in order to use the prescriptive compliance option:
 - (i.) Date;
 - (ii.) project applicant;
 - (iii.) project address (if available, parcel and/or lot number(s));
 - (iv.) total landscape area (square feet), including a breakdown of turf and plant material;
 - (v.) project type (e.g., new, rehabilitated, public, private, cemetery, homeowner-installed);
 - (vi.) water supply type (e.g., potable, recycled, well) and identify the local retail water purveyor if the applicant is not served by a private well;
 - (vii.) contact information for the project applicant and property owner;
 - (viii.) applicant signature and date with statement, "I agree to comply with the requirements of the prescriptive compliance option to the MWELO".
- b. Incorporate compost at a rate of at least four cubic yards per 1,000 square feet to a depth of six inches into landscape area (unless contra-indicated by a soil test);
- c. Plant material shall comply with all of the following:
 - (i.) Install climate adapted plants that require occasional, little or no summer water (average WUCOLS plant factor 0.3) for 75% of the plant area excluding edibles and areas using recycled water;
 - (ii.) A minimum three inch (3") layer of mulch shall be applied on all exposed soil surfaces of planting areas except in turf areas, creeping or rooting groundcovers, or direct seeding applications where mulch is contraindicated.
- d. Turf shall comply with all of the following:
 - (i.) Turf shall not exceed 25% of the landscape area;
 - (ii.) Turf shall not be planted on sloped areas which exceed a slope of 25%;
 - (iii.) Turf is prohibited in parkways less than 10 feet wide, unless the parkway is adjacent to a parking strip and used to enter and exit

vehicles. Any turf in parkways must be irrigated by sub-surface irrigation or by other technology that creates no overspray or runoff.

- e. Irrigation systems shall comply with the following:
 - (i.) Automatic irrigation controllers are required and must use evapotranspiration or soil moisture sensor data and utilize a rain sensor;
 - (ii.) Irrigation controllers shall be of a type which does not lose programming data in the event the primary power source is interrupted;
 - (iii.) Pressure regulators shall be installed on the irrigation system to ensure the dynamic pressure of the system is within the manufacturers recommended pressure range;
 - (iv.) Manual shut-off valves (such as a gate valve, ball valve, or butterfly valve) shall be installed as close as possible to the point of connection of the water supply;
 - (v.) All irrigation emission devices must meet the requirements set in the ANSI standard, ASABE/ICC 802-2014. "Landscape Irrigation Sprinkler and Emitter Standard," All sprinkler heads installed in the landscape must document a distribution uniformity low quarter of 0.65 or higher using the protocol defined in ASABE/ICC 802-2014;
 - (vi.) Areas less than ten (10) feet in width in any direction shall be irrigated with subsurface irrigation or other means that produces no runoff or overspray.
 - f. At the time of final inspection, the permit applicant must provide the City and owner of the property with a certificate of completion, certificate of installation, irrigation schedule and a schedule of landscape and irrigation maintenance.
- 3. Graywater Systems. For projects using treated or untreated graywater or rainwater captured on site, any lot or parcel within the project that has less than 2500 sq. ft. of landscape and meets the lot or parcel's landscape water requirement (Estimated Total Water Use) entirely with treated or untreated graywater or through stored rainwater captured on site is subject only to Appendix D section (5).
 - 4. Existing Landscaping. Landscaping constructed prior to the effective date of the ordinance codified in this chapter and not rehabilitated shall only be required to comply with the requirements contained in Section 14.18.130.
 - 5. Cemeteries. Recognizing the special landscape management needs of cemeteries, new and rehabilitated cemeteries shall only be required to comply

with the requirements contained in Sections 14.18.050(B)(2), 14.18.070, and 14.18.080. Existing cemeteries shall comply with the requirements contained in Section 14.18.130.

6. Homeowners Associations and Common Interest Developments. The architectural guidelines (i.e., CC&Rs) of a common interest development, which may include community apartment projects, condominiums, planned developments, stock cooperatives, or single-family subdivisions governed by a homeowners association shall not prohibit or include conditions that have the effect of prohibiting the use of low-water use plants as a group. Further, said guidelines shall not prohibit the removal of turf, nor restrict or prohibit the reduction of turf in lieu of more water efficient alternatives (Civil Code Section 1353.8).

B. The provisions of this chapter shall not apply to:

1. Registered local, state or federal historical sites;
2. Ecological restoration projects that do not require a permanent irrigation system;
3. Mined-land reclamation projects that do not require a permanent irrigation system; or
4. Existing plant collections, as part of botanical gardens and arboretums open to the public.

14.18.040 Definitions.

For the purposes of this Chapter, the following definitions shall apply:

“Applied Water” means the portion of water supplied by the irrigation system to the landscape.

“Backflow Prevention Device” means a safety device used to prevent pollution or contamination of the City water supply due to the reverse flow of water from the irrigation system.

“Check Valve or Anti-Drain Valve” means a valve located under a sprinkler head or other location in the irrigation system to hold water in the system to prevent drainage from sprinkler heads when the system is off.

“Conversion Factor (0.62)” means the number that converts acre-inches per acre per year to gallons per square foot per year.

“Certificate of Completion” means the document required under Section 14.18.060.

“Certified Landscape Irrigation Auditor” means a person certified to perform landscape irrigation audits by an accredited academic institution, a professional trade organization or other program such as the United States Environmental Protection Agency WaterSense irrigation auditor certification program, licensed landscape architect, and Irrigation Association Certified Landscape Irrigation Auditor program.

“Certified Irrigation Designer” means a person certified to design irrigation systems by an accredited academic institution, or a professional trade organization or other program such as the United States Environmental Protection Agency WaterSense irrigation designer certification program and Irrigation Association Certified Irrigation Designer program.

“Common Interest Developments” means community apartment projects, condominium projects, planned developments, and stock cooperatives per Civil Code Section 1353.8

“Compost” means the safe and stable product of controlled biologic decomposition of organic materials that is beneficial to plant growth.

“Controller” means a timing device used to remotely control valves that operate an irrigation system. Automatic irrigation controllers are able to self-adjust and schedule irrigation events using either evapotranspiration (weather-based) or soil moisture data.

“Distribution uniformity” means the measure of the uniformity of irrigation water over a defined area.

“Drip Irrigation” means any non-spray low volume irrigation system utilizing emission devices with a flow rate measured in gallons per hour. Low volume irrigation systems are specifically designed to apply small volumes of water slowly at or near the root zone of plants.

“Ecological Restoration Project” means a project where the site is intentionally altered to establish a defined, indigenous, historic ecosystem.

“Effective Precipitation or Usable Rainfall (EPPT)” means the portion of total precipitation that is used by the plants.

“Emitter” means a drip irrigation emission device that delivers water slowly from the irrigation system to the soil.

“Environmental Utilities Department” means the Department within the City of Roseville that is responsible for providing utility service with the City. The Roseville water utility is a division within the Department responsible for providing retail water service.

“Established Landscape” means the point at which plants in the landscape have developed significant roots growth to support themselves. Typically, most plants are established after one (1) or two (2) years of growth.

“Establishment Period of the Plants” means the first year after installing the plant in the landscape, or the first two (2) years if irrigation will be terminated after establishment. Native habitat mitigation areas and trees may need three to five years for establishment.

“Estimated Total Water Use (ETWU)” means the annual total water used for the landscape as described in Section 14.18.050(B)(2).

“Evapotranspiration (ET)” means the loss of water to the atmosphere by the combined processes of evaporation and transpiration.

“ET Adjustment Factor (ETAF)” means a factor of 0.55 for residential areas and 0.45 for non-residential areas, that, when applied to reference evapotranspiration, adjusts for plant factors and irrigation efficiency, two major influences upon the amount of water that needs to be applied to the landscape. The ETAF for new and existing (non-rehabilitated) Special Landscape Area shall not exceed 1.0. The ETAF for existing, non-rehabilitated landscaping is 0.8.

“Evapotranspiration (ET) Rate” means the quantity of water evaporated from adjacent soil and other surfaces and transpired by plants during a specified time.

“Flow Rate” means the rate at which water flows through pipes, valves, and emission devices, measured in gallons per minute, gallons per hour, or cubic feet per second.

“Flow sensor” means an inline device installed at the supply point of the irrigation system that produces a repeatable signal proportional to flow rate. Flow sensors must be connected to an automatic irrigation controller, or flow monitor capable of receiving flow signals and operating master valves. This combination flow sensor/controller may also function as a landscape water meter or submeter.

“Friable” means a soil condition that is easily crumbled or loosely compacted down to a minimum depth per planting material requirements, whereby the root structure of newly planted material will be allowed to spread unimpeded.

“Fuel Modification Plan Guideline” means guidelines from a local fire authority to assist residents and businesses that are developing land or building structures in a fire hazard severity zone.

“Graywater” means untreated wastewater that has not been contaminated by any toilet discharge, has not been affected by infectious, contaminated, or unhealthy bodily wastes, and does not present a threat from contamination by unhealthful processing, manufacturing, or operating wastes. "Graywater" includes, but is not limited to, wastewater from bathtubs, showers, bathroom washbasins, clothes washing machines, and laundry tubs, but does not include wastewater from kitchen sinks or dishwashers. Health and Safety Code Section 17922.12.

“Hardscapes” means any durable surface material (pervious and non-pervious).

“Homeowner-Provided Landscaping” means landscaping either installed by a private individual for a single family residence or installed by a licensed contractor hired by a homeowner.

“Hydrozone” means a portion of the landscaped area having plants with similar water needs and rooting depth. A hydrozone may be irrigated or non-irrigated.

“Infiltration Rate” means the rate of water entry into the soil expressed as a depth of water per unit of time (i.e., inches per hour).

“Invasive Plant Species” means species of plants not historically found in California that spread outside cultivated areas and can damage environmental or economic resources. Invasive species may be regulated by county agricultural agencies as noxious species. Lists of invasive plants are maintained at the California Invasive Plant Inventory and USDA invasive and noxious weeds database.

“Irrigation Audit” means an in-depth evaluation of the performance of an irrigation system conducted by a Certified Landscape Irrigation Auditor. An irrigation audit includes, but is not limited to: inspection, system tune-up, system test with distribution uniformity or emission uniformity, reporting overspray or run off that causes overland flow, and preparation of an irrigation schedule. The audit must be conducted in a manner consistent with the Irrigation Association’s Landscape Irrigation Auditor Certification program or other U.S. Environmental Protection Agency “Watersense” labeled auditing program.

“Irrigation Efficiency” means the measurement of the amount of water beneficially used divided by the amount of water applied. Irrigation efficiency is derived from measurements and estimates of irrigation system characteristics and management practices. The irrigation efficiency for purposes of this Chapter is 0.75 for overhead spray devices and 0.81 for drip systems.

“Irrigation Survey” means an evaluation of an irrigation system that is less detailed than an irrigation audit. An irrigation survey includes, but is not limited to: inspection, system test and written recommendations to improve performance of the irrigation system.

“Irrigation Water Use Analysis” means an analysis of water use data based on meter readings and billing data.

“Landscape Package” means the documents required under Section 14.18.050.

“Landscaped Area (LA)” means all of the planting areas, turf areas, and water features in a landscape design plan subject to the Maximum Applied Water Allowance calculation. The landscape area does not include footprints of buildings or structures, sidewalks, driveways, parking lots, decks, patios, gravel or stone walks, other pervious or non-pervious hardscapes, and other non-irrigated areas designated for nondevelopment (e.g., open spaces and existing native vegetation).

“Landscape Architect” means a person who holds a license to practice landscape architecture in the state of California (Business & Professions Code Section 5615).

“Landscape Contractor” means a person licensed possessing a valid C-27 license by the state of California to construct, maintain, repair, install, or subcontract the development of landscape systems.

“Landscape Project” means the total area of landscape in a project as defined in “landscape area,” for the purposes of this Chapter, meeting the requirements under Section 14.18.030.

“Landscape Water Meter” means an inline device installed at the irrigation supply point that measures the flow of water into the irrigation system and is connected to a totalizer to record water use.

“Lateral Line” means the water delivery pipeline that supplies water to the emitters or sprinklers from the valve. This pipeline is typically downstream of the zone control valve and non-pressurized when irrigation is not occurring.

“Low Volume Irrigation” means the application of irrigation water at low pressure through a system of tubing or lateral lines and low-volume emitters such as drip, drip lines, and bubblers. Low volume irrigation systems are specifically designed to apply small volumes of water slowly at or near the root zone of plants.

“Main Line” means the pressurized pipeline that delivers water from the water source to the valve or outlet. This pipeline is typically pressurized at all times.

“Master Shut-Off Valve” is an automatic valve installed at the irrigation supply point which controls water flow into the irrigation system. When this valve is closed water will not be supplied to the irrigation system. A master valve will greatly reduce any water loss due to a leaky station valve.

“Maximum Applied Water Allowance (MAWA)” means the upper limit of annual applied water for the established landscaped area as specified in Section 14.18.050(B)(2). MAWA is based upon the area’s reference evapotranspiration, the ET Adjustment Factor, and the size of the landscaped area. The Estimated Total Water Use shall not exceed the Maximum Applied Water Allowance. Special Landscape Areas, including recreation areas, areas permanently and solely dedicated to edible plants such as orchards and vegetable gardens, and areas irrigated with recycled water are subject to the MAWA with an ETAF not to exceed 1.0.
$$MAWA = (ET_o) (0.62) [(ETAF \times LA) + ((1-ETAF) \times SLA)]$$

“Median” is an area between opposing lanes of traffic that may be unplanted or planted with trees, shrubs, perennials, and ornamental grasses.

“Microclimate” means the climate of a small, specific area that may contrast with the climate of the overall landscape area due to factors such as: wind, sun exposure, plant density or proximity to reflective surfaces.

“Mulch” means any organic material such as leaves, bark, straw or other inorganic mineral materials such as rocks, gravel, and decomposed granite left loose and applied to the soil surface for the beneficial purposes of reducing evaporation, suppressing weeds, moderating soil temperature and preventing soil erosion.

“New Construction” means a new building with a landscape or other new landscape.

“Non-residential Landscape” means landscapes in commercial, institutional, industrial and public settings that may have areas designated for recreation or public assembly. It also includes portions of common areas of common interest developments with designated recreational areas.

“Operating Pressure” means the pressure at which the parts of an irrigation system are designed by the manufacturer to operate.

“Overhead Sprinkler Irrigation Systems or Overhead Spray Irrigation Systems” means systems that deliver water through the air (e.g., spray heads and rotors, etc.).

“Overspray” means the irrigation water which is delivered beyond the target area.

“Parkway” means the area between a sidewalk and the curb or traffic lane. It may be planted or unplanted, and with or without pedestrian egress.

“Permit” means any authorizing document issued by the City of Roseville for new construction or rehabilitated landscaping.

“Pervious” means any surface or material that allows the passage of water through the material and into the underlying soil.

“Plant Factor or Plant Water Use Factor” means a factor, when multiplied by the ETo, estimates the amount of water needed by plants. For purposes of this Chapter, the plant factor range for very low water use plants is 0 to 0.1, the plant factor range for low water use plants is 0.1 to 0.3, the plant factor range for moderate water use plants is 0.4 to 0.6, and the plant factor range for high water use plants is 0.7 to 1.0. Plant factors cited in this Chapter are derived from the publication “Water Use Classification of Landscape Species.” Plant factors may also be obtained from horticultural researchers from academic institutions or professional associations as approved by the California Department of Water Resources (DWR).

“Project Applicant” means the individual or entity submitting a Landscape Documentation Package required under Section 14.18.050 to request a permit, plan check or design review from the City. A project applicant may be the property owner or his/her designee.

“Rain Sensor or Rain Sensing Shutoff Device” means a component which automatically suspends an irrigation event when it rains.

“Record Drawing or As-Builts” means a set of reproducible drawings which show significant changes in the work made during construction and which are usually based on drawings marked up in the field and other data furnished by the contractor.

“Recreational Area” means areas, excluding private single family residential areas, designated for active play, recreation or public assembly in parks, sports fields, picnic grounds, amphitheaters or golf courses tees, fairways, roughs, surrounds and greens.

“Recycled Water, Reclaimed Water, or Treated Sewage Effluent Water” means treated or recycled wastewater of a quality suitable for non-potable uses such as landscape irrigation. This water is not intended for human consumption.

“Reference Evapotranspiration (ET_o)” means a standard measurement of environmental parameters which affect the water use of plants. ET_o is given in inches per day, month, or year as represented below, and is an estimate of the evapotranspiration of a large field of four- to seven-inch tall, cool season turf that is well watered. Reference evapotranspiration is used as the basis of determining the Maximum Applied Water Allowances so that regional differences in climate can be accommodated. Refer to Section 14.18.050(B)(2) for City of Roseville ET_o.

“Rehabilitated Landscaping” means any re-landscaping project that requires a building permit, improvement plan approval, or design review and meets the requirements of Section 14.18.030,.

“Residential Landscape” means landscapes surrounding single or multi-family homes.

“Runoff” means water which is not absorbed by the soil or landscape to which it is applied and flows from the landscape area. For example, runoff may result from water that is applied at too great a rate or too great a volume (application rate exceeds infiltration rate) or when there is a slope.

“Slope” means the steepness, incline, gradient, or grade of a straight line. A higher slope value indicates a steeper incline.

“Soil Moisture Sensing Device or Soil Moisture Sensor” means a device that measures the amount of water in the soil. The device may also suspend or initiate an irrigation event.

“Soil Texture” means the classification of soil based on its percentage of sand, silt, and clay in the soil.

“Special Landscape Area (SLA)” means an area of the landscape dedicated solely to edible plants, recreational areas, areas irrigated with recycled water, or water features using recycled water.

“Sprinkler Head or Spray Head” means a device which delivers overhead watering through a spray nozzle.

“Static Water Pressure” means the pipeline or municipal water supply pressure when water is not flowing.

“Station” means an area served by one valve or by a set of valves that operate simultaneously.

“Stormwater Quality Design Manual” means the most recent version of the design manual for the Sacramento and South Placer regions which sets forth the design criteria and operation and maintenance requirements for stormwater control measures.

“Swing Joint” means an irrigation component that provides a flexible, leak-free connection between the emission device and lateral pipeline to allow movement in any direction and to prevent equipment damage.

“Submeter” means a metering device to measure water applied to the landscape that is installed after the primary utility water meter.

“Temporarily Irrigated Areas” means either: (1) Landscaping which is reliant on irrigation for a temporary duration of time to allow plant root establishment; or (2) areas such as unfinished building pads, or other areas approved for future development, in which landscaping is planted on an interim basis.

“Turf” means a groundcover surface of mowed grass. Annual bluegrass, Kentucky bluegrass, Perennial ryegrass, Red fescue, and Tall fescue are common cool-season grasses. Bermudagrass, Kikuyugrass, Seashore Paspalum, St. Augustinegrass, Zoysiagrass, and Buffalo grass are common warmseason grasses.

“Valve” means a device used to control the flow of water in the irrigation system.

“Water Conserving Plant Species” means a plant species identified as having a low plant water use factor.

“Water Feature” means a design element where open water performs an aesthetic or recreational function. Water features include ponds, lakes, waterfalls, fountains, artificial streams, spas and swimming pools (where water is artificially supplied). The surface area of water features is included in the high water use hydrozone of the landscape area. Constructed wetlands used for on-site wastewater treatment or stormwater best management practices that are not irrigated and used solely for water treatment or stormwater retention are not water features and, therefore, are not subject to the water budget calculation.

“Watering Window” means the time of day irrigation is allowed.

“WUCOLS” means Water Use Classification of Landscape Species published by the University of California Cooperative Extension, the Department of Water Resources, and the Bureau of Reclamation, as may be amended.

14.18.050 Submittal Requirements.

A. Landscape Package Submittal Required. Prior to issuance of a building permit or improvement plans, the project applicant shall submit a landscape package to the city for review and approval. The landscape package shall contain the information required by subsection B, and shall be incorporated into the improvement plan and/or landscape plan set in a form determined acceptable to the Environmental Utilities Director or his designee.

B. Elements of the Landscape Package. The landscape package shall include the following six elements, which shall be incorporated into the landscape plan set in a form determined acceptable to the Environmental Utilities Director or his designee:

1. Project Information
 - a. Date;
 - b. Project applicant;
 - c. Project address (if available, parcel and/or lot number(s));
 - d. Total landscape area (square feet);
 - e. Project type (e.g., new, rehabilitated, public, private, cemetery, homeowner-installed);
 - f. Water supply type (e.g., potable, recycled, well) and the identity of the local retail water purveyor if the applicant is not served by a private well;
 - g. Checklist of all documents in Landscape Documentation Package;
 - h. Project contacts to include contact information for the project applicant and property owner;
 - i. Applicant signature and date with the following statement: “I agree to comply with the requirements of the Water Efficient Landscaping Ordinance and submit a complete landscape documentation package.”
2. Water Efficient Landscape Worksheet. The worksheet will be a form provided by the City, and shall include information on the plant factor, irrigation method, irrigation efficiency, and area associated with each hydrozone. Calculations are then made to show that the evapotranspiration adjustment factor (ETAF) for the landscape project does not exceed a factor of 0.55 for residential areas and 0.45 for non-residential areas, exclusive of Special Landscape Areas. The ETAF for a landscape project is based on the plant factors and irrigation methods selected. The Maximum Applied Water Allowance is calculated based on the maximum ETAF allowed (0.55 for residential areas and 0.45 for non-residential areas) and expressed as annual gallons required. The Estimated Total Water Use (ETWU) is calculated based on the plants used and irrigation method selected for the landscape design. ETWU must be below the MAWA.

In calculating the maximum applied water allowance and estimated total water use, a project applicant shall use the following ETo values:

Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Annual ETo
1.1	1.7	3.1	4.7	6.2	7.7	8.5	7.3	5.6	3.7	1.7	1.0	52.2

- a. Water budget calculations shall adhere to the following requirements:
 - (i.) The plant factor used shall be obtained from the most recent Water Use Classification of Landscape Species publication or from horticultural researchers with academic institutions or professional associations as approved by the California Department of Water Resources (DWR). The plant factors ranges shall be calculated as follows:
 - a. 0 to 0.1 for very low water use plants;
 - b. 0 to 0.3 for low water use plants;
 - c. 0.4 to 0.6 for moderate water use plants; and
 - d. 0.7 to 1.0 for high water use plants.
 - (ii.) All water features shall be included in the high water use hydrozone.
 - (iii.) Temporarily irrigated areas shall be designed as low water use hydrozones.
 - (iv.) Special landscape areas shall be clearly identified and their water use calculated as shown in the Water Efficient Landscape Worksheet. Water use for new and existing (non-rehabilitated) special landscape areas shall be calculated with an ETAF not to exceed 1.0.
- b. The landscape project's Maximum Applied Water Allowance (MAWA) shall be calculated as follows:
 - (i.) $MAWA = (ETo) (0.62) [(ETAF \times LA) + (1-ETAF \times SLA)]$, where:
 - a. MAWA = Maximum applied water allowance (gallons per year);
 - b. ETo = Reference evapotranspiration (inches per year) (as provided in subsection (B)(2) above);
 - c. ETAF = ET adjustment factor (.55 for residential and .45 for non-residential);

- d. LA = Landscaped area includes special landscape area (square feet);
 - e. 0.62 = Conversion factor (to gallons per square foot);
 - f. SLA = Portion of the landscape area identified as special landscape area (square feet);
- 3. Soil Management Report. In order to reduce runoff and encourage healthy plant growth, a soil management report shall be completed by the project applicant or designee, as follows:
 - a. Submit soil sample(s) to a laboratory for analysis and recommendations.
 - (i.) Soil sampling shall be conducted in accordance with laboratory protocol, including protocols regarding adequate sampling depth for the intended plants; and
 - (ii.) The soil analysis shall include:
 - a. Soil texture;
 - b. Infiltration rate determined by laboratory test or soil texture infiltration rate table;
 - c. pH;
 - d. Total soluble salts;
 - e. Sodium;
 - f. Percent organic matter; and
 - g. Recommendations for appropriate amendment.
 - (iii.) In projects with multiple landscape installations (i.e. production home developments) a soil sampling rate of 1 in 7 lots or approximately 15% of the landscape area will satisfy this requirement.
 - b. The soil analysis report shall be made available, in a timely manner, to the professionals preparing the landscape and irrigation design plans to make any necessary adjustments.
 - c. Any soil management or amendment recommendations shall be included with the design plans for city review.
 - d. Upon completion of construction and prior to issuance of an occupancy permit, the project applicant or designee shall submit documentation verifying implementation of soil analysis report recommendations within the landscaped area to the city with the certificate of completion.
- 4. Landscape Plan. Landscape plans, including plant selection, shall be designed consistent with the applicable specific plan or special planning area design guidelines. At a minimum, landscape design plans shall address the following:

- a. **Plant Materials.** The estimated total water use for plants selected for the landscaped area shall not exceed the maximum applied water allowance. The landscape plan shall identify landscape materials, trees, shrubs, groundcover, turf, etc. Planting symbols shall be clearly drawn and plants shall be labeled by botanical name, common name, container size, spacing, and quantities or each group of plants indicated. Planting areas dedicated permanently and solely to edible plants should be clearly delineated. High water use plants, characterized by a plant factor of 0.7 to 1.0, are prohibited in street medians. Methods to achieve water efficiency shall include one or more of the following:
- (i.) Protection and preservation of native species and natural vegetation;
 - (ii.) Selection of water-conserving plant, tree and turf species, especially local native plants;
 - (iii.) Selection of plants based on local climate suitability, disease and pest resistance;
 - (iv.) Selection of trees based on applicable local tree ordinances or tree shading guidelines, and size at maturity as appropriate for the planting area;
 - (v.) Selection of plants from local and regional landscape program plant lists.
 - (vi.) Selection of plants from local Fuel Modification Plan Guidelines.
- b. **Plant Selection.** Plants shall be selected and planted appropriately based upon their adaptability to the climatic, geologic, and topographical conditions of the project site. Methods to achieve water efficiency shall include one or more of the following:
- (i.) Use the Sunset Western Climate Zone System which takes into account temperature, humidity, elevation, terrain, latitude, and varying degrees of continental and marine influence on local climate;
 - (ii.) Recognize the horticultural attributes of plants (i.e., mature plant size, invasive surface roots) to minimize damage to property or infrastructure [e.g., buildings, sidewalks, power lines]; allow for adequate soil volume for healthy root growth; and
 - (iii.) Consider the solar orientation for plant placement to maximize summer shade and winter solar gain.
- c. **Hydrozone Information.** Each hydrozone shall contain plant materials with similar water use needs. Hydrozones shall be designated as low, moderate, high water, or mixed water use and shall be labeled by number, letter, or other method. Temporarily irrigated areas of the landscape shall be included in the low water use hydrozone for the water budget

calculation. Areas irrigated with recycled water should be clearly delineated. For hydrozones with plants of mixed water use, refer to subsection (B)(5)(b).

- d. Water Features. Water features may be permitted, subject to design review, and the provisions of Chapter 14.09 (Water Conservation).
 - (i.) All water features shall incorporate recirculating water systems.
 - (ii.) Where available, recycled water shall be used as a source for decorative water features.
 - (iii.) The surface area of a water feature shall be indicated on the plans and included in the high water use hydrozone area of the water budget calculation.
- e. Limitations on Turf.
 - (i.) Turf shall not be permitted on slopes greater than 1 foot of vertical elevation change for every 4 feet of horizontal length.
 - (ii.) Turf shall not comprise greater than 25 percent of the front yard planting area of developer-installed single-family landscaping.
 - (iii.) With the exception of special landscape areas, turf shall not comprise greater than 25 percent of nonresidential landscaped area.
 - (iv.) Turf shall not be permitted within the protected zone radius of any native oak tree, as defined in Chapter 19.66.
- f. Soil Preparation, Mulch and Amendments.
 - (i.) Prior to the planting of any materials, compacted soils shall be transformed to a friable condition. On engineered slopes, only amended planting holes need meet this requirement.
 - (ii.) A minimum three-inch layer of mulch shall be applied on all exposed soil surfaces of planting areas except in turf areas, creeping or rooting groundcovers or direct seeding applications where mulch is not advisable. The plans shall identify the type of mulch and application depth. Shredded (i.e., “gorilla”) mulch is not acceptable. To provide habitat for beneficial insects and other wildlife, up to 5% of the landscape area may be left without mulch. Designated insect habitat must be included in the landscape design plan as such.
 - (iii.) For landscape installations, compost at a rate of a minimum of four cubic yards per 1,000 square feet of permeable area shall be incorporated to a depth of six inches into the soil. Soils with greater than 6% organic matter in the top 6 inches of soil are exempt from adding compost and tilling.
 - (iv.) Organic mulch materials made from recycled or post-consumer shall take precedence over inorganic materials or virgin forest

products unless the recycled post-consumer organic products are not locally available. Organic mulches are not required where prohibited by local Fuel Modification Plan Guidelines or other applicable local ordinances.

- (v.) Stabilizing mulching products shall be used on slopes greater than 1 foot of vertical elevation change for every 3 feet of horizontal length.
- (vi.) Required soil amendments and quantities shall be clearly denoted on the plans. Soil amendments shall be incorporated based on the recommendations of the soil report and what is appropriate for the plants selected (see subsection (B)(3)).

g. Other Design Considerations. The landscape design plan, at a minimum, shall:

- (i.) Delineate property lines, utilities and utility easements, streets, driveways, walkways, and other paved areas or hardscapes (pervious or impervious);
- (ii.) Identify buildings and structures including pad elevation(s) if applicable;
- (iii.) Identify natural features to remain, including rock outcroppings, existing oak and ornamental trees, shrubs, etc.;
- (iv.) Identify recreational or other special landscape areas, as defined in Section 14.18.040;
- (v.) Identify the location, installation details and any applicable stormwater best management practices. Projects shall refer to the requirements of the City's Stormwater Quality Design Manual;
- (vi.) Identify any applicable rain harvesting or catchment technologies as discussed in Section 14.18.120 and their 24-hour retention or infiltration capacity.
- (vii.) Identify any applicable graywater discharge piping, system components and area(s) of distribution;
- (viii.) A landscape design plan for projects in fire-prone areas shall address fire safety and prevention. A defensible space or zone around a building or structure is required per Public Resources Code Section 4291(a) and (b). Avoid fire-prone plant materials and highly flammable mulches. Refer to the local Fuel Modification Plan guidelines.
- (ix.) The use of invasive plant species, such as those listed by the California Invasive Plant Council, is strongly discouraged.
- (x.) The architectural guidelines of a common interest development, which include community apartment projects, condominiums, planned developments, and stock cooperatives, shall not prohibit or include conditions that have the effect of prohibiting the use of low-water use plants as a group.

- h. Verification. The landscape plan shall contain the following statement: “I have complied with the criteria of the Water Efficient Landscaping Ordinance and applied such criteria for the efficient use of water in the landscape design plan,” which shall be signed by a licensed landscape architect, licensed landscape contractor or any other person authorized to design a landscape plan pursuant to Sections 5500.1, 5615, 5641 et seq., 6701, and 7027.5 of the Business and Professions Code, Section 832.27 of Title 16 of the California Code of Regulations, and Section 6721 of the Food and Agriculture Code.
- 5. Irrigation Plan. This section applies to landscaped areas requiring permanent irrigation, not areas that require temporary irrigation solely for the plant establishment period. For the efficient use of water, an automated irrigation system shall meet all the requirements listed in this section and the manufacturers’ recommendations. The irrigation system and its related components shall be planned and designed to allow for proper installation, management and maintenance.
 - a. System Requirements.
 - (i.) Landscape water meters defined as either a dedicated water service meter or private submeter, shall be installed for all non-residential irrigated landscapes of 1,000 sq. ft. but not more than 5,000 sq. ft. (the level at which Water Code 535 applies) and residential irrigated landscapes of 5,000 sq. ft. or greater. A landscape water meter may be either:
 - a. A customer service meter dedicated to landscape use provided by the local water purveyor; or
 - b. A privately owned meter or submeter.
 - (ii.) Automatic irrigation controllers utilizing either evapotranspiration or soil moisture sensor data utilizing non-volatile memory shall be required for irrigation scheduling in all irrigation systems. For single family residential properties, controller shall have a minimum of 8 stations or be upgradable to 8 stations or more. An exception for additional stations may be provided for front yard landscapes that will be maintained by a homeowner’s association.
 - (iii.) If the water pressure is below or exceeds the recommended pressure of the specified irrigation devices, the installation of a pressure regulating device is required to ensure that the dynamic pressure at each emission device is within the manufacturer’s recommended pressure range for optimal performance.
 - a. If the static pressure is above or below the required dynamic pressure of the irrigation system, pressure-

- regulating devices such as inline pressure regulators, booster pumps or other devices shall be installed to meet the required dynamic pressure of the irrigation system.
- b. Static water pressure, dynamic or operating pressure and flow reading of the water supply shall be measured at the point of connection. These pressure and flow measurements shall be conducted at the design stage. If the measurements are not available at the design stage, the measurements shall be conducted at installation.
 - c. Sensors (rain, freeze, wind, etc.), either integral or auxiliary, that suspend or alter irrigation operation during unfavorable weather conditions shall be required on all irrigation systems, as appropriate for local climatic conditions. Weather-based controllers without integral rain sensors or communication systems that account for local rainfall shall have a separate wired or wireless rain sensor which connects or communicates with the controller(s). Soil moisture-based controllers are not required to have a rain sensor input. Irrigation should be avoided during windy or freezing weather or during rain.
 - d. Manual shut-off valves (such as a gate valve, ball valve, or butterfly valve) shall be required, as close as possible to the point of connection of the water supply, to minimize water loss in case of an emergency (such as a main line break) or routine repair.
 - e. Backflow prevention devices shall be provided as required by the environmental utilities department to protect the water supply from contamination by the irrigation system.
 - f. Flow sensors that detect high flow conditions created by system damage or malfunction are required for all on non-residential landscapes and residential landscapes of 5000 sq. ft. or larger.
 - g. Master shut-off valves are required on all projects except landscapes that make use of technologies that allow for the individual control of sprinklers that are individually pressurized in a system equipped with low pressure shut down features.
 - h. The irrigation system shall be designed to prevent runoff, low head drainage, overspray, or other similar conditions where irrigation water flows onto non-targeted areas, such as adjacent property, non-irrigated areas, hardscapes, roadways, or structures.
 - i. Relevant information from the soil management plan, such as soil type and infiltration rate, shall be utilized when designing irrigation systems.

- j. The design of the irrigation system shall conform to the hydrozones delineated on the landscape design plan.
- k. The irrigation system shall be designed and installed to meet irrigation efficiency criteria as described in subsection (B)(2) regarding the maximum applied water allowance.
- l. All irrigation emission devices must meet the requirements set in the American National Standards Institute (ANSI) standard, American Society of Agricultural and Biological Engineers'/International Code Council's (ASABE/ICC) 802-2014 "Landscape Irrigation Sprinkler and Emitter Standard, All sprinkler heads installed in the landscape must document a distribution uniformity low quarter of 0.65 or higher using the protocol defined in ASABE/ICC 802-2014.
- m. Low volume irrigation shall be used in mulched planting areas to maximize water infiltration into the root zone.
- n. Sprinkler heads and other emission devices shall have matched precipitation rates, unless otherwise directed by the manufacturer's recommendations.
- o. Sprinkler spacing shall be designed to achieve the highest possible distribution uniformity using the manufacturer's recommendations.
- p. Swing joints or other riser-protection components shall be provided on all risers subject to damage that are adjacent to hardscapes or in high traffic areas of turf grass.
- q. Check valves or anti-drain valves are required on all sprinkler heads where low point drainage could occur.
- r. Landscape areas less than ten feet in width in any direction shall be irrigated with subsurface irrigation or low volume irrigation technology or other means that produces no runoff or overspray.
- s. Overhead irrigation shall not be permitted within 24 inches of any non-permeable surface. Allowable irrigation within the setback from non-permeable surfaces may include drip, drip line, or other low volume technology. The setback area may be planted or unplanted. The surfacing of the setback may be mulch, gravel, or other porous material. These restrictions may be modified if:
 - i. The landscape area is adjacent to permeable surfacing and no overspray and runoff occurs; or
 - ii. The adjacent non-permeable surfaces are designed and constructed to drain entirely to landscaping; or
 - iii. The irrigation designer specifies an alternative design or technology as part of the landscape package, and clearly demonstrates strict adherence

to irrigation system design criteria in subsection (B)(5). Prevention of overspray and runoff must be demonstrated and confirmed during the irrigation audit.

- t. Slopes greater than 1 foot of vertical elevation change for every 4 feet of horizontal length shall not be irrigated with an irrigation system with an application rate exceeding 0.75 inches per hour. This restriction may be modified if the landscape designer specifies an alternative design or technology, as part of the landscape package, and clearly demonstrates no runoff or erosion will occur. Prevention of runoff and erosion must be demonstrated and confirmed during the irrigation audit.
- u. Irrigation design plans that incorporate use of recycled water shall comply with the requirements of the city's design standards for on-site recycled water systems.

b. Hydrozone.

- (i.) Each valve shall irrigate a hydrozone with similar site, slope, sun exposure, soil conditions and plant materials with similar water use.
- (ii.) Sprinkler heads and other emission devices shall be selected based on their appropriateness for the plant type within that hydrozone.
- (iii.) Where feasible, trees shall be placed on separate valves from shrubs, groundcovers, and turf to facilitate the appropriate irrigation of trees. The mature size and extent of the root zone shall be considered when designing irrigation for the tree.
- (iv.) Individual hydrozones that mix plants of moderate and low water use or moderate and high water use may be allowed if:
 - a. The plant factor calculation is based on the proportions of the respective plant water uses and their plant factor; or
 - b. The plant factor of the higher water using plant is used for calculations.
- (v.) Individual hydrozones that mix high and low water use plants shall not be permitted.
- (vi.) On the landscape design plan and irrigation design plan, hydrozone areas shall be designated by number, letter, or other designation. On the irrigation design plan, designate the areas irrigated by each valve, and assign a number to each valve. Use this valve number in the Hydrozone Information Table (see Water Efficient Landscape Worksheet). This table can also assist with the irrigation audit and programming the controller.

- c. The irrigation plan, at a minimum, shall identify:
 - i. Location and size of separate water meters for landscape;
 - ii. Location, type and size of all components of the irrigation system, including controllers, main and lateral lines, valves, sprinkler heads, moisture sensing devices, rain switches, quick couplers, pressure regulators and backflow prevention devices;
 - iii. Static water pressure at the point of connection to the public water supply;
 - iv. Flow rate (gallons per minute), application rate (inches per hour) and design operating pressure (pressure per square inch) for each station;
 - v. Recycled water irrigation systems as specified in Section 14.18.100.
 - d. The irrigation plan shall contain the following statement: “I have complied with the criteria of the Water Efficient Landscaping Ordinance and applied such criteria for the efficient use of water in the irrigation plan,” which shall be signed by a licensed landscape architect, certified irrigation designer, irrigation consultant, licensed landscape contractor or any other person authorized to design an irrigation system pursuant to Sections 5500.1, 5615, 5641 et seq., 6701, and 7027.5 of the Business and Professions Code, Section 832.27 of Title 16 of the California Code of Regulations, and Section 6721 of the Food and Agriculture Code.
- 6. Grading and Drainage Plan. For the efficient use of water, grading of a project site shall be designed to minimize soil erosion, runoff and water waste. A grading and drainage plan shall be submitted as part of the Landscape Documentation Package. The grading and drainage plan prepared by a civil engineer and submitted for improvement plan review in association with a development project may also satisfy this requirement.
 - a. In addition to other city grading design standards, the grading plan shall include the following information:
 - (i.) Height of graded slopes;
 - (ii.) Drainage patterns;
 - (iii.) Proposed underground and in-ground drainage improvements;
 - (iv.) Pad elevations; and
 - (v.) Finish grade.
 - b. Stormwater retention or treatment improvements, if applicable.
 - c. Verification. The grading and drainage plan shall contain the following statement: “I have complied with the criteria of the Water Efficient Landscaping Ordinance and applied such criteria for the efficient use of

water in the grading and drainage plan,” which shall be signed by a licensed professional as required by law.

C. Approval Required. Upon approval of the landscape package by the city, and provided all other applicable city requirements are met, the project applicant shall:

1. Receive from the city a permit or approval and record the date of the permit or approval in the certificate of completion; and
2. Submit a copy of the approved landscape package along with the record drawings, and any other information to the property owner or designee.

14.18.060 Landscape Certificate of Completion.

A. Prior to issuance of a certificate of occupancy, a signed landscape certificate of completion shall be submitted to the Planning Division on a form prescribed by the Environmental Utilities Director or his designee that shall include the following information and documentation:

1. Date, project name, project address, applicant name, telephone, and mailing address;
2. Property owner name, telephone, and mailing address;
3. Certification by either the signer of the landscape design plan, the signer of the irrigation design plan, or the licensed landscape contractor that the landscape project has been installed per the approved landscape package:
 - a. Where there have been significant changes made in the field during construction, these “as-built” or record drawings shall be included with the certification;
 - b. A diagram of the irrigation plan showing hydrozones shall be kept with the irrigation controller for subsequent management purposes.
4. Irrigation audit report (see Section 14.18.080);
5. Scheduling parameters used to set the irrigation controller (see Section 14.18.070);
6. Landscape and irrigation maintenance schedule (see Section 14.18.070); and
7. Soil analysis report, if not initially submitted with the landscape package, and documentation verifying implementation of soil management report recommendations.

B. The project applicant shall ensure that copies of the approved landscape certificate of completion are submitted to the City and property owner or his/her designee.

C. Following receipt and review, the City shall either approve or deny the landscape certificate of completion. If the landscape certificate of completion is denied, the City shall not be obligated to issue an occupancy permit and will provide information to the project applicant regarding necessary corrections, appeal, or other assistance.

14.18.070 Irrigation Scheduling and Maintenance.

A. Irrigation Scheduling. For the efficient use of water, all irrigation schedules shall be developed, managed and evaluated to utilize the minimum amount of water required to maintain plant health. Irrigation schedules shall meet the following criteria:

1. Irrigation scheduling shall utilize automatic irrigation systems and evapotranspiration data.
2. Overhead irrigation using potable water shall be scheduled between 8:00 p.m. and 10:00 a.m. unless it is demonstrated that weather conditions are unfavorable or would result in detriment to plant health. Operation of the irrigation system outside the normal watering window is permitted for auditing and system maintenance.
3. The irrigation schedule shall factor irrigation run times, emission device, flow rate, and current reference evapotranspiration, so that applied water meets the Estimated Total Water Use. Total annual applied water shall be less than or equal to Maximum Applied Water Allowance.
4. Using an appropriate controller, an annual irrigation program with monthly irrigation schedules shall be developed and submitted to the City for each of the following:
 - a. The plant establishment period;
 - b. The established landscape; and
 - c. Temporarily irrigated areas.
5. Irrigation schedules shall consider the following:
 - a. Irrigation interval (days between irrigation);
 - b. Irrigation run times (hours or minutes per irrigation event to avoid runoff);
 - c. Number of cycle starts required for each irrigation event to avoid runoff;

- d. Amount of applied water scheduled to be applied on a monthly basis;
- e. Application rate setting;
- f. Root depth setting;
- g. Plant type setting;
- h. Soil type;
- i. Slope factor and shade factor setting;
- j. Irrigation uniformity or efficiency setting.

B. Landscape and Irrigation Maintenance

- 1. Landscaping shall be maintained to ensure water use efficiency. A regular maintenance schedule shall be submitted with the landscape certificate of completion.
- 2. A regular maintenance schedule shall include, but is not limited to: routine inspection; auditing; adjustment and repair of the irrigation system and its components; aerating and de-thatching turf areas; top dressing with compost; replenishing mulch; fertilizing; pruning; weeding in all landscaped areas and removing any obstruction to emission devices.
- 3. Irrigation equipment shall be repaired or replaced with the originally installed components or equivalents or with components with greater efficiency.
- 4. A project applicant is encouraged to implement established landscape industry sustainable Best Practices for all landscape maintenance activities.

14.18.080 Irrigation Audit, Irrigation Survey, and Irrigation Water Use Analysis.

A. All landscape irrigation audits shall be conducted by a third party certified landscape irrigation auditor. Landscape audits shall not be conducted by the person who designed the landscape or installed the landscape.

B. In large projects or projects with multiple landscape installations (i.e. production home developments) an auditing rate of 1 in 7 lots or approximately 15% of the project's irrigation valves will satisfy this requirement.

C. For new construction and rehabilitated landscape projects installed after December 1, 2015, as described in Section 14.18.030.

1. The project applicant shall submit an irrigation audit report with the Certificate of Completion to the local agency that may include, but is not limited to: inspection, system tune-up, system test with distribution uniformity, reporting overspray or run off that causes overland flow, and preparation of an irrigation schedule, including configuring irrigation controllers with application rate, soil types, plant factors, slope, exposure and any other factors necessary for accurate programming;
2. The City shall administer programs that may include, but not be limited to, irrigation water use analysis, irrigation audits, and irrigation surveys for compliance with the Maximum Applied Water Allowance.

14.18.090 Irrigation Efficiency.

For the purpose of determining the Estimated Total Water Use, average irrigation efficiency is assumed to be 0.75 for overhead spray devices and 0.81 for drip system devices.

14.18.100 Use of Recycled Water for Irrigation.

- A. In addition to the requirements contained in this Chapter, landscape and irrigation plans for sites utilizing recycled water shall be designed consistent with the requirements of Chapter 14.17 (Recycled Water Service), and the City's Rules and Regulations for Use of Recycled Water.
- B. Irrigation systems shall be designed and constructed to allow the use of recycled water where such recycled water is available or may become available in the future.
- C. Irrigation systems shall use recycled water, if available, unless a written exemption has been granted by the City stating that recycled water meeting all public health codes and standards is not available and will not be available for the foreseeable future.
- D. Landscaping using recycled water are considered Special Landscape Areas. The ET Adjustment Factor for new and existing (non-rehabilitated) Special Landscape Areas shall not exceed 1.0.

14.18.110 Use of Greywater Systems.

Graywater systems promote the efficient use of water and are encouraged to assist in on-site landscape irrigation. All graywater systems shall conform to the California Plumbing Code and any applicable City ordinance standards. Refer to 14.18.030 for the applicability of this ordinance to landscape areas less than 2,500 square feet with the Estimated Total Water Use met entirely by graywater.

14.18.120 Stormwater Management and Rainwater Retention.

A. Stormwater management best practices incorporated into the landscape shall comply with the requirements of the City's Stormwater Quality Design Manual.

B. All planted landscape areas are required to have friable soil to maximize water retention and infiltration. Refer to Section 14.18.050(4)(f).

C. It is strongly recommended that landscape areas be designed for capture and infiltration capacity that is sufficient to prevent runoff from impervious surfaces (i.e. roof and paved areas) from either: the one inch, 24-hour rain event or (2) the 85th percentile, 24-hour rain event, and/or additional capacity as required by any applicable local, regional, state or federal regulation.

D. It is recommended that storm water projects incorporate any of the following elements to improve on-site storm water and dry weather runoff capture and use:

1. Grade impervious surfaces, such as driveways, during construction to drain to vegetated areas.
2. Minimize the area of impervious surfaces such as paved areas, roof and concrete driveways.
3. Incorporate pervious or porous surfaces (e.g., gravel, permeable pavers or blocks, pervious or porous concrete) that minimize runoff.
4. Direct runoff from paved surfaces and roof areas into planting beds or landscaped areas to maximize site water capture and reuse.
5. Incorporate rain gardens, cisterns, and other rain harvesting or catchment systems.
6. Incorporate infiltration beds, swales, basins and drywells to capture storm water and dry weather runoff and increase percolation into the soil.
7. Consider constructed wetlands and ponds that retain water, equalize excess flow, and filter pollutants.

14.18.130 Public Education.

A. The City shall make available information regarding the design, installation, management, and maintenance of water efficient landscaping based on a water budget in permitted renovations or new single family residential homes. Said information may be provided in the form of print, electronic, or similar media deemed practical by the City.

B. Model Homes. All model homes that are landscaped shall use signs and written information to demonstrate the principles of water efficient landscaping described in this Chapter.

1. Signs shall be used to identify the model as an example of a water efficient landscape and featuring elements such as hydrozones, irrigation equipment and others which that contribute to the overall water efficient theme. Signs shall include information about the site water use as designed per the local ordinance; specify who designed and installed the water efficient landscape; and demonstrate low water use approaches to landscaping such as using native plants, graywater systems, and rainwater catchment systems.
2. Information shall be provided regarding designing, installing, managing, and maintaining water efficient landscaping.

14.18.140 Provisions for Existing Landscaping installed prior to December 1, 2015.

A. Irrigation Water Use Analysis and Monitoring.

1. For all existing landscaping installed prior to December 1, 2015 with a dedicated or mixed-use water meter that is one acre or more, including golf courses, green belts, common areas, multifamily housing, schools, businesses, parks, cemeteries and publicly owned landscaping, the City shall offer programs that may include, but not be limited to, irrigation water use analyses, and irrigation surveys to verify that landscape water use does not exceed the Maximum Applied Water Allowance for existing landscaping. The City may require mandatory irrigation audits conducted at property owners' expense to demonstrate that existing landscaping comply with the Maximum Applied Water Allowance.
2. For all existing landscaping over one (1) acre in size that do not have a meter, the City shall offer programs that may include irrigation surveys and irrigation audits that verify proper operation of the irrigation system and prevent water waste.

B. Maximum Applied Water Allowance for existing landscaping shall be calculated as:

$$MAWA = (0.8)(ET_o)(LA)(0.62).$$

C. Required landscape irrigation audits shall be conducted by a certified landscape irrigation auditor.

D. Effective Precipitation. The City may consider Effective Precipitation (not to exceed 25% of annual precipitation) in tracking water use and may use the following equation to calculate Maximum Applied Water Allowance for landscaping installed before December 1, 2015:

1. $MAWA = (ET_o - Eppt) (0.62) [(0.55 \times LA) + (0.45 \times SLA)]$ for residential areas.
2. $MAWA = (ET_o - EPPT) (0.62) [(0.45 \times LA) + (0.55 \times SLA)]$ for non-residential areas.

14.18.150 Enforcement.

A. It shall be the duty of the Environmental Utilities Director or his designee to enforce the provisions of this Chapter. All departments, officials and public employees vested with the duty or authority to issue permits or licenses shall not issue a permit or license for uses, buildings or purposes in conflict with the provisions of this Chapter and any such permit or license issued in conflict with the provisions of this Chapter shall be null and void. The Environmental Utilities Director or his designee may delegate enforcement responsibilities to other City employees.

B. Any landscaping that is installed, constructed, altered, enlarged, converted, moved, or maintained contrary to the provisions of this Chapter, or failure to comply with any of the conditions of a permit or variance granted under this Chapter is declared to be unlawful. The City Attorney may initiate an action or proceeding to enforce the provisions of this Chapter, as appropriate.

14.18.160 Penalties.

Any property owner, person, firm, or corporation, whether as principal, agent, employee or otherwise, violating any provision of this Chapter shall be guilty of a misdemeanor, and upon conviction thereof shall be punishable by a fine of not more than \$500.00 or by imprisonment in the County Jail for a term not exceeding six (6) months, or by both. The City Attorney in his or her discretion may reduce any violation of this Chapter to an infraction, punishable by a fine of not more than \$250.00. Any property owner, person, firm, or corporation shall be deemed guilty of a separate offense for each and every day during any portion of which any violation of this Chapter is committed, continued or permitted by such person, firm or corporation, and shall be deemed guilty of a separate offense for each and every day during any portion of which any violation of this Chapter is committed, continued or permitted by such person, firm or corporation, and shall be punishable as provided herein. Penalties under the administrative enforcement provisions of Chapter 2.52 of this code may be imposed in lieu of, but not in addition to, penalties imposed by the court for any single violation.

14.18.170 Reporting.

The City shall report on implementation and enforcement of the WELO by December 31, 2015. Subsequently, reporting for the City will be done by January 31st of each year. Reports shall be submitted to the Department of Water Resources (DWR) and address the criteria requested by DWR.

SECTION 3. This ordinance shall be effective at the expiration of thirty (30) days from the date of adoption.

SECTION 4. The City Clerk is hereby directed to cause this ordinance to be published in full at least once within fourteen (14) days after it is adopted in a newspaper of general circulation in the City, or shall within fourteen (14) days after its adoption cause this ordinance to be posted in full in at least three (3) public places in the City and enter in the Ordinance Book a certificate stating the time and place of said publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



COUNCIL COMMUNICATION

CC #: 7493

File #: 0717

Title: California Central Railroad Monument Installation Placement Request

Contact: Tara Gee 774-5253 tgee@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.36.

RECOMMENDATION TO COUNCIL

Approve the placement of a proposed California Central Railroad monument at the existing bike trail located at Folsom Road and Linda Street, including the Parks & Recreation recommendation to replace the proposed bronze plaque with engraving into the stone.

BACKGROUND

The Roseville Historical Society has submitted a request to place a 38" tall by 34" wide granite monument at the site of Roseville's original railroad. The proposal acknowledges adherence to the procedure outlined in the monument/memorial policy, Attachment A includes the request, a sketch of the monument and proposed verbiage. Attachment B is the current memorial/monument policy.

The Park Naming Subcommittee met in December 2015 and recommended approval of the monument request.

The Parks & Recreation Commission reviewed and approved this request at the February 1, 2016 meeting. The Commission added a recommendation that the monument replace the proposed bronze plaque with engraving of the proposed verbiage into the stone to alleviate theft and vandalism.

FISCAL IMPACT

An endowment will be set up to fund the full replacement of the monument. Consistent with the process taken for the School House Park marker, the endowment will be collected at the completion of the installation. Any future repairs or maintenance will utilize the endowment. The estimated cost of the monument is \$7,165.00. The Roseville Historical Society received a grant for the cost and installation of the monument. There will be no general fund impact.

ECONOMIC DEVELOPMENT / JOBS CREATED

The use of a local vender is proposed. Costs associated with this project will remain local, thus supporting the Roseville economy.

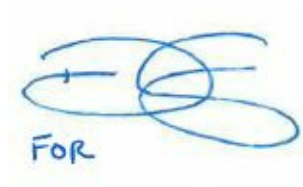
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The placement of the monument does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Tara Gee, Park Planning & Development Superintendent

Dion Louthan, Parks, Recreation & Libraries Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

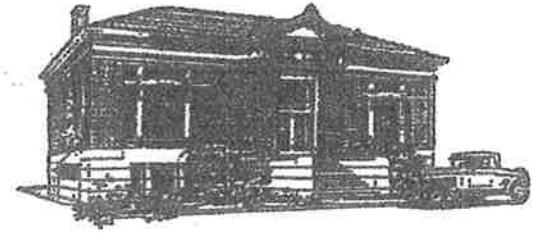
Attachment A: Request

Attachment B: Memorial/Monument Policy

Roseville Historical Society

557 Lincoln Street, Roseville, Ca 95678

Phone and Fax (916) 773-3003



October 7, 2015

Tara Gee
311 Vernon Street
Roseville, Calif 95678

Hi Tara:

Here is the application for the placing of the monument honoring the "California Central Railroad". We are looking forward to having this monument placed on Folsom Road, the site of the original rail bed for the California Central. It will not only honor our past, but allow the citizens of Roseville the chance to learn some local history.

We want to thank the City of Roseville for considering the placement of the monument and look forward to hearing from you in the near future.

Sincerely

Phoebe Astill Curator
Roseville Historical Society Carnegie Museum

APPLICATION

Request for a Memorial or Monument

Applicant Name Roseville Historical Society Date 10/7/2015
 (Please clearly Print or type your name)
 Address 557 Lincoln St Roseville CA 95678
 Phone # 916 773-3003 Email Carnegie@Surrewest.net

Name of the individual or event which the proposed memorial or monument is intended to honor.
California Central Railroad

Please describe the proposed memorial or monument, including size and materials.

granite Marker 38" tall x 34" wide

Please explain why the request meets the criteria set forth in paragraph "C" on page 3.

This monument provides an overall benefit to the City of Roseville as it will both mark the site of our original railroad and spark enthusiasm for the historical heritage of our area.

Please specify the location where the memorial or monument is proposed to be placed.

Corner of Folsom Rd and Linda Street at the bike trail

How will the proposed memorial or monument will be designed and installed?

Ruhkala Monument Company will build and install

If accepted by the City, how do you propose funding the construction and long-term maintenance of the proposed monument or memorial?

Roseville Historical Society will do the funding costs and will follow the recommended section of the Roseville monuments policy

Attach a second sheet if necessary.

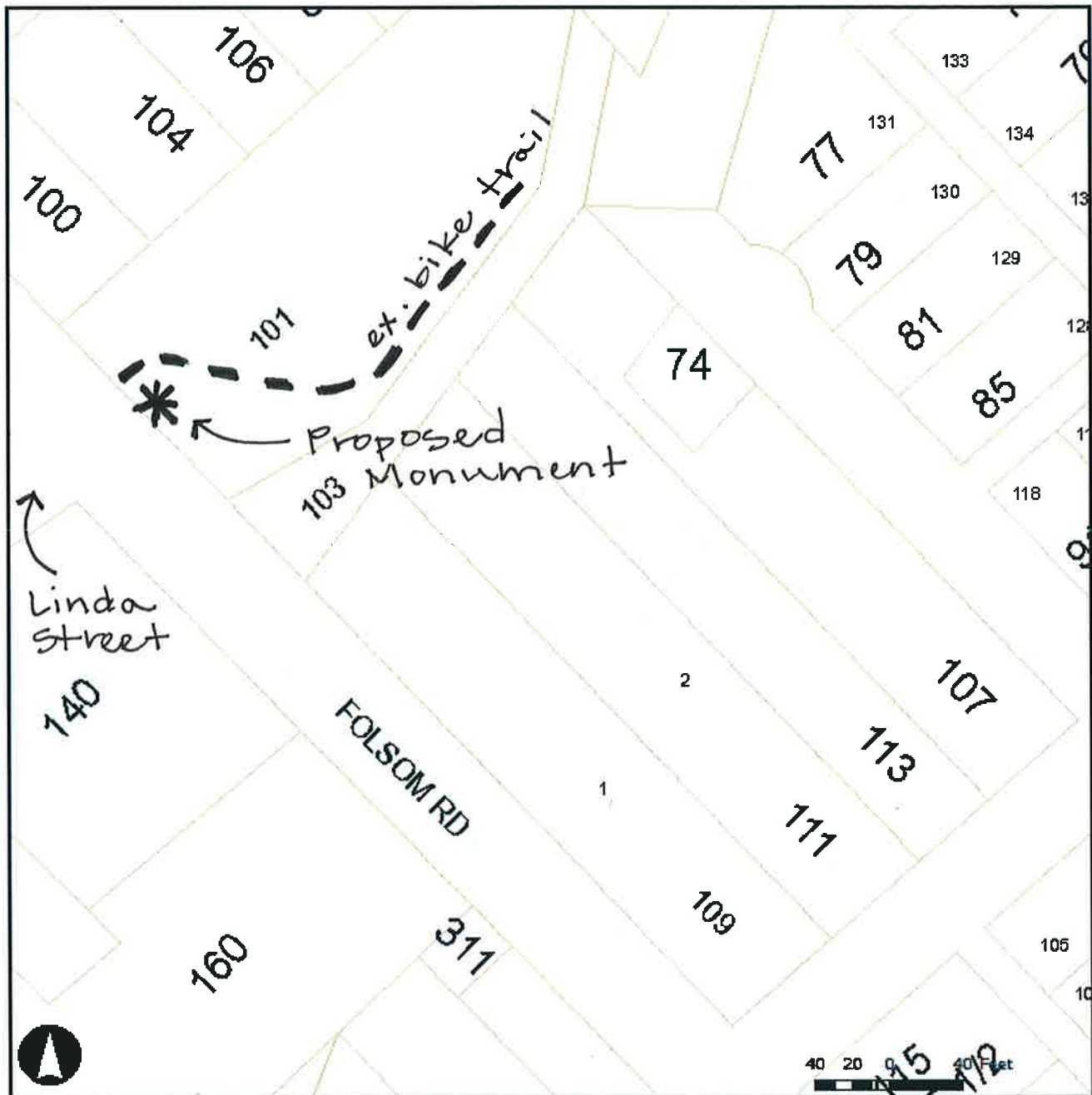
☒ Attachment included

Please submit this form to the City Clerk's office. All proposals must be in accordance with the established City criteria. Submit one proposal per form.

FOR CITY USE ONLY:

For FY _____ Has this proposal been submitted before? ☐ Yes ☐ No If yes, when?

Subcommittee Review Comments:

Folsom Road at Linda

Disclaimer: This map was prepared for general inquiries only. The City of Roseville gains access from multi-jurisdictional databases and is not liable for errors or omissions that might occur. Official information should be obtained from recorded or adopted City documents.

City Boundary



Roseville Street Label

Placer Parcel



Address Point

Roseville

Sacramento Parcel



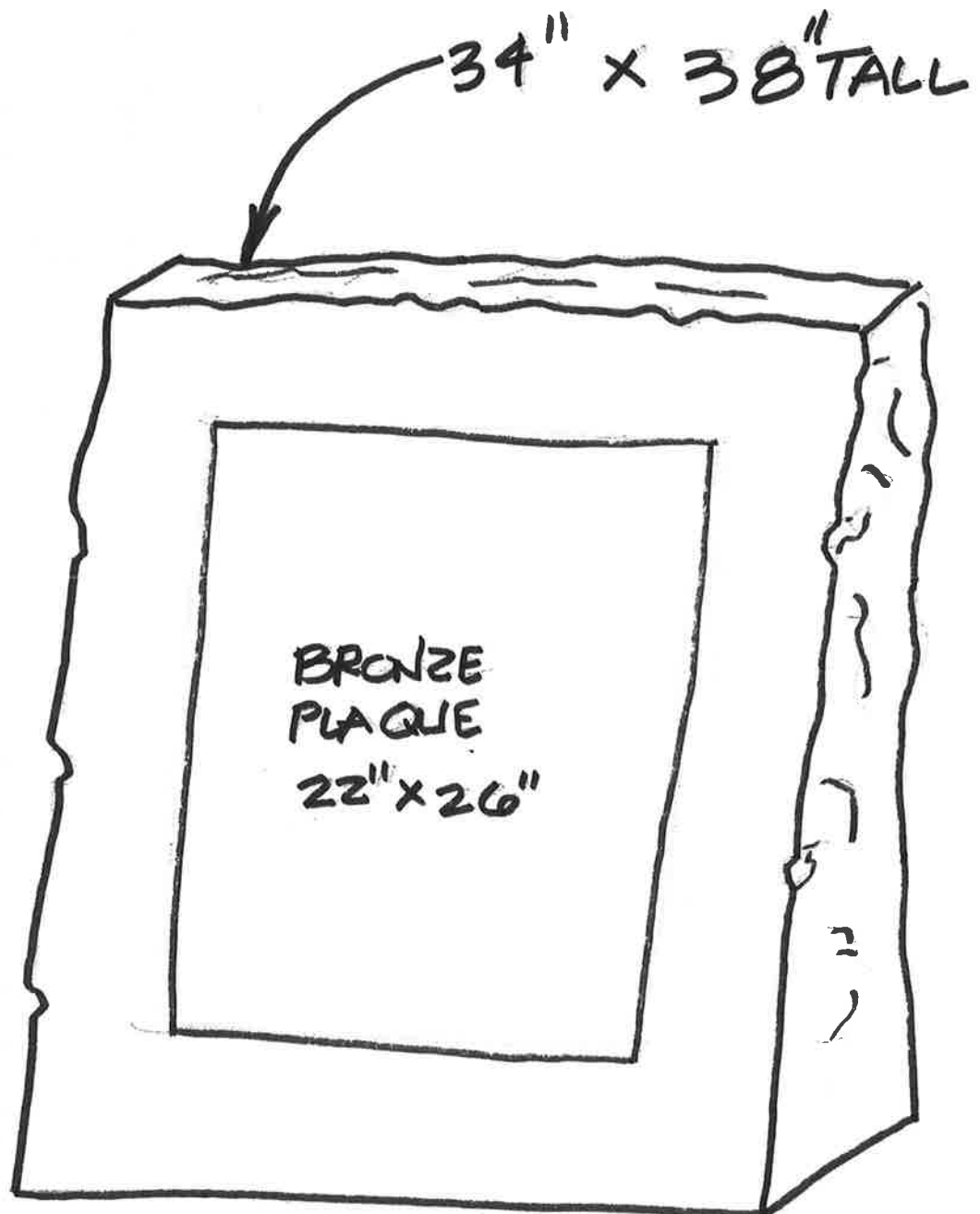
Other Roseville Parcel

Pending

Union Pacific Track

Trailer

Planimetric Detail



C-200

gene

TAX 465

DELIVER
INSTALL 500

TOTAL \$ 7,165

**THE ORIGINAL RAILBED OF THE
CALIFORNIA CENTRAL RAILROAD**

**On October 13, 1861 the California Central Railroad
Reached Roseville by way of Folsom California on
the way to Lincoln California.**

**The line between Folsom and Roseville
was discontinued in 1868**

**Roseville Historical Society
Marker # 3**

CITY OF ROSEVILLE

MEMORIAL/MONUMENT POLICY

I. Purpose:

It is the intention of this policy to define the process and procedure for selection of private party proposals for the placement of memorials or monuments within City parks or facilities. The City supports the placement of such improvements. To clarify the City's and donor's responsibilities, an approved policy is needed which outlines the procedure for selection, placement and long-term maintenance of memorials and monuments.

Every public park and facility within the City has an approved master plan and an adopted environmental document. Memorial or monument proposals can affect these original plans and the intended uses, and could obligate the City to long-term maintenance and repairs in perpetuity.

The City's goal is to establish a policy to enable the City to respond effectively and consistently to all private party proposals for placing a memorial or monument within a City park or facility. Markers, as defined in Section II, shall be prohibited within a City park or facility and are not covered by this policy.

II. Definitions:

City - City of Roseville.

City facility – any publicly-owned facility that is not a park, such as bike trails, roadways, parking lots and garages, and buildings (e.g., fire stations, Civic Center, etc.).

City park - any park, stream bed area, open space, public landscape, or other facility owned or operated by the City for preservation, public enhancement, park or recreation purposes.

Community Gifts - a donation provided to the City towards the purchase of park amenities outlined in the "Community Park Gifts" brochure. Generally gifts are less than \$10,000.

Honoree – person honored by a memorial or monument.

Marker - a physical object which is an in-ground installation and is either flush to or protrudes above the surrounding ground level (12" or lower) or attached to a permanent amenity containing inscriptions labeling an object, such as a tree, bench, table, flagpoles, etc. as placed in the selected location in memory of a person, persons or event.

Memorial – anything intended to preserve the memory of a person or event such as trees, bricks, plaques, etc.

Monument - a structural item such as a building, pillar, stone, or the like, erected in remembrance of the deceased or a person, event, etc.

Staff - employees of the City of Roseville.

Subcommittee - two appointed members of the City Council and three members of the applicable Commission. If no Commission is applicable, then the Subcommittee will consist of two appointed members of the City Council and three members of staff from the City Department which operates and/or maintains the facility or park where the memorial or monument is proposed to be located.

III. Policy:

It is City policy that requests from private parties to install a memorial or monument in a City park or facility shall: (a) follow the process outlined below in Section IV; (b) be supported by the appointed Subcommittee, and the applicable Commission in order to move forward for City Council approval and construction; and (c) be approved by the City Council.

IV. Process for Memorials and Monuments:

A. Application Process

An application for a memorial or monument must be submitted to the City for review and consideration. Applications will be collected and reviewed annually (each February) by the appropriate Subcommittee and the City Department which operates and/or maintains the facility or park where the memorial or monument is proposed to be located.

Completed applications should be submitted to the City Clerk who will forward it to the appropriate City Department staff person.

In the case of an extenuating or special circumstance, the appropriate Subcommittee may elect to consider the application upon receipt.

B. Preliminary Proposal

The request shall include the following:

1. Description of the type of memorial or monument, including size and materials;
2. Name of the individual or event which the proposed memorial or monument is intended to honor;
3. Explanation of why the request meets the criteria set forth below in "C";
4. A specific location of where the memorial or monument is proposed to be placed;
5. Sufficient detail outlining how the proposed memorial or monument will be designed and installed;

6. A proposal to fund the construction and long term maintenance of the memorial or monument, should it be accepted by the City.

C. Review and Selection Criteria

The Subcommittee shall review the proposed site with staff to evaluate the site appropriateness, liability questions, installation expenses, and maintenance costs.

Criteria to be considered in determining whether to approve a request include the following:

1. Whether the donor made a donation of land or large financial contribution towards the park or facility where the memorial or monument is proposed to be placed.
2. Whether the honoree contributed substantially toward the improvement of the quality of life in Roseville.
3. Whether the honoree died or was disabled in the line of duty.
4. Whether the long term maintenance obligations have been addressed.
5. Whether the memorial or monument provides an overall benefit to the City.

Criteria to be considered in determining whether the proposed site or location is appropriate include the following:

1. The approved master plan of the site or location, if applicable;
2. The actual intended or primary uses of the site or location;
3. An evaluation of the appropriateness of the memorial or monument at the particular site or location;
4. Future uses of the site or location; and
5. Public safety.

Upon review, the Subcommittee has the right to accept all, some or none of the requests. Requests may be accepted with conditions if necessary. Acceptance or rejection shall be in letter form from the Department which operates and/or maintains the facility or park.

Once accepted by the City, the memorial or monument shall become City property and the donor shall not retain an ownership interest. The City reserves the right to defer repair and/or replacement of memorials or monuments if damaged or stolen.

E. Design Development Drawings

Upon receipt of an acceptance letter, the donor shall prepare design development drawings which detail the following:

1. Actual dimensions of the memorial or monument;
2. Identification of colors and other special features of the memorial or monument design; and

3. A detailed site plan showing existing and proposed site improvements consistent with the approved park or facility master plan, if applicable.

The City shall review and comment on the design development drawings to ensure that the design is appropriate for the selected location.

A final rendered proposal shall be presented to the Subcommittee for design review. Upon approval, the Subcommittee shall forward their recommendations onto the appropriate Commission for review and recommendation for approval to the City Council for review and adoption.

F. Construction Plan

In addition to the design development drawings, the donor shall submit a construction plan for the memorial or monument. The construction plan shall outline the funding source for construction, the time line for construction and identify who will build the memorial or monument and how the memorial or monument will be built. A right-of-entry agreement, insurance naming the City as additionally insured, volunteer waivers and other required risk management documentation shall be completed and fully executed prior to start of work. If required, permits and supporting documents such as structural calculations or other technical plans shall be the responsibility of the donor.

G. Maintenance

The City will provide limited on-going maintenance of accepted memorials and monuments as set forth in a separate Maintenance Agreement prepared by the City. An approved memorial or monument may not be installed until execution of the Maintenance Agreement. The City agrees to exercise the same care of the memorial or monument as it does safekeeping of its property. The City will contact the donor if the memorial or monument is damaged or altered in any way to discuss responsibility for repairs as established in a Maintenance Agreement. The City is not responsible for normal wear and tear which includes, but is not limited to, damage resulting from exposure to the elements, for gradual deterioration, for inherent vice, vandalism, or damage due to acts of God.

The Maintenance Agreement shall also identify a long term funding source, i.e., volunteers, an endowment, etc. allocated for the upkeep of the memorial or monument. If funding for repairs or replacement is not available or if the memorial or monument creates an unforeseen public safety concern, the City reserves the right to remove and not replace the memorial or monument.

It shall be further understood and agreed that although every precaution will be taken to protect the memorial or monument, the donor will not hold the City, its officers, employees, agents, or volunteers responsible for damage, loss or theft of the memorial or monument. The City makes no guarantee or warranty of agreement to indemnify the donor or contractor in the event of damage, loss or theft.

V. Community Park Gifts:

Community gifts are outlined in the “Community Park Gifts” brochure which can be obtained on the City’s website and at 2005 Hilltop Circle. Gifts include monetary donations towards the purchase of park amenities such as picnic tables, park benches, drinking fountains, trees, playground equipment, interpretive signs and interpretive kiosks. These items shall be purchased and installed by the City. A certificate of appreciation will be issued to the donor and shall indicate the location of the site amenity. An acknowledgment plaque or other identifying marker will not be placed on the newly donated park amenity.

APPLICATION

Request for a Memorial or Monument

Applicant Name _____ Date _____

(Please clearly Print or type your name)

Address _____

Phone # _____ Email _____

Name of the individual or event which the proposed memorial or monument is intended to honor.

Please describe the proposed memorial or monument, including size and materials.

Please explain why the request meets the criteria set forth in paragraph "C" on page 3.

Please specify the location where the memorial or monument is proposed to be placed.

How will the proposed memorial or monument will be designed and installed?

If accepted by the City, how do you propose funding the construction and long-term maintenance of the proposed monument or memorial?

Attach a second sheet if necessary.

☐ Attachment included

Please submit this form to the City Clerk's office. All proposals must be in accordance with the established City criteria. Submit one proposal per form.

FOR CITY USE ONLY:

For FY _____ Has this proposal been submitted before? ☐ Yes ☐ No If yes, when?

Subcommittee Review Comments:



COUNCIL COMMUNICATION

CC #: 7510

File #: 0709-02-01

Title: Housing Authority - Status Report
Contact: Nancy Cox 774-5451 ncox@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 7.37.

RECOMMENDATION TO COUNCIL

The Council, acting as the Roseville Housing Authority, is not required to take action. This report is for informational purposes only.

BACKGROUND

Pursuant to the bylaws of the Roseville Housing Authority, the Authority is required to hold its quarterly meeting in March. Staff has prepared the following Status Report regarding the lease-up activity for the Housing Choice Voucher Program (also known as the Section 8 Program).

During the last quarter 7 new families began receiving rental assistance and 4 families have vouchers and are searching for housing. Roseville Housing Authority is authorized to provide 655 households with HCV (Housing Choice Voucher) rental assistance. This total includes 75 vouchers allocated to the Roseville Housing Authority specifically for families with a head-of-household, or spouse, that are non-elderly and disabled (NED vouchers); these families come from our waiting list. It also includes 18 Veteran's Affairs Supported Housing (VASH) vouchers which come by referral from the Veteran's Affairs (VA) Department. We have 8 of the VASH vouchers leased, 4 are searching and we have one new referral. The HCV Program is federally funded through the US Department of Housing and Urban Development (HUD). Since 2012 the receipt of special purpose vouchers has increased the number of families we can assist by 93 families which represents a 17% increase.

The term "lease-up" refers to the number of families receiving rental assistance from Roseville Housing Authority; lease-up is tracked on a calendar-year basis. The lease-up rate can fluctuate on a monthly basis in order to maintain up to an annual average of 100% of the allocated vouchers. To be in compliance with HUD's regulations, the lease-up rate for a calendar year cannot exceed 100% of its allocation of vouchers (per voucher type) or 100% of its annual budget authority plus available reserves. Currently the lease-up rate for the regular vouchers is 99.20%; the rate for NED vouchers is 99.33% and the rate for VASH vouchers is 44.44%. We continue to work with the VA to obtain additional referrals for the VASH vouchers.

The Roseville Housing Authority is authorized to provide rental assistance to very low income families in both Roseville and Rocklin. Currently, 124 of Roseville Housing Authority's vouchers under lease are being used in the City of Rocklin. Roseville Housing Authority also administers the Family Self-Sufficiency (FSS) Program which is a component of the Housing Choice Voucher Program. The FSS Program provides assistance to families who are working to become free of any type of public assistance. Roseville Housing Authority currently has 27 families enrolled in this program.

	HUD Regular Voucher Allocation (562)	Actual Lease-up of Regular Vouchers	HUD Non-Elderly Disabled Voucher Allocation (75)	Actual Lease-up of Non-Elderly Disabled Vouchers	HUD Allocation of VASH Vouchers (18)	Actual Lease-up of VASH Vouchers
Jan 2016	562	559	75	75	18	8
Feb 2016	562	556	75	74	18	8
YTD Total	1124	1115	150	149	36	16
YTD % Leased	99.20%		99.33%		44.44%	

FISCAL IMPACT

The Housing Authority provides an important social service to ensure safe and affordable housing for our residents. Safe and affordable housing is an essential component to the foundation of our community's economic stability.

This Housing Authority status report does not create any additional cost to the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Estimates of total employment created can be based on expenditure data, consistent with guidance from the Council of Economic Advisers (CEA), a three member council that analyzes and interprets economic developments and advises the President of the United States on national economic policy.

Total employment not only includes direct and indirect jobs, but also induced jobs. CEA estimates that total employment is increased by one job-year for every \$92,000 in direct government spending.

The Housing Authority's annual budget for rental assistance (over \$4,000,000) will result in approximately 43 jobs based on the Federal guideline of one job for every \$92,000 in direct government spending.

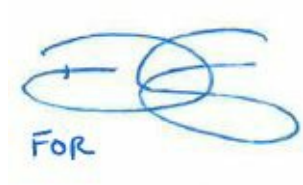
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The Housing Choice Voucher Program Quarterly Status Report does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Nancy Cox, Housing Supervisor

Chris Robles, Housing Authority Assistant Director



For

Dominick Casey, Assistant City Manager



COUNCIL COMMUNICATION

CC #: 7505
File #: 0600-02

Title: Fire Department - Out of State Travel
Contact: Greg James 774-5806 gjames@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.38.

RECOMMENDATION TO COUNCIL

Staff recommends Council approve out-of-state travel for Fire Engineer Kevin Cullison and Firefighter Paramedic James Kornweibel to attend Union Pacific Railroad's 5th Advance Tank Car Safety and Emergency Response course April 10 – 15, 2015. The course is being held at the Association of American Railroad's Security and Emergency Response Training Center (SERTC) near Pueblo, CO. This is an intensive manipulative course focused on emergency response to railroad incidents. Total cost to the department is approximately \$2,763.50, which includes overtime costs and per diem for Fire Engineer Cullison and Firefighter Paramedic Kornweibel. Funds for this training are available in the department's FY2015/16 budget.

BACKGROUND

The Roseville Fire Department has a Type-1 Hazardous Materials team capable of responding to various Hazardous Materials rail incidents in Roseville and the surrounding area. Type 1 Hazardous Materials teams have the highest level of equipment and training, and are tasked with mitigating the most technical hazardous materials emergencies.

The City of Roseville is home to the largest rail switchyard west of the Mississippi. Large quantities of hazardous materials move safely through the heart of Roseville on a daily basis. Occasionally, there is an event that requires Roseville Fire's Hazmat team to respond and work collaboratively with Union Pacific to rapidly mitigate the emergency. The goal is to protect the citizens and businesses of the City of Roseville, and minimize disruption to business and commerce. Frequently, the most effective way of accomplishing these objectives is to perform a "field repair," stopping the emergency, and allowing the affected railcar to be offloaded or moved out of Roseville to a certified repair shop for complete repair and recertification. This class covers these advanced field repair techniques on full scale, state of the art props and damaged railcars. This is the Association of American Railroad's national training center, known as the Transportation Technology Center (TTCI), near Pueblo, Colorado. Information gained in this class will be brought back and shared with Roseville's Hazmat Team to improve our response to railroad hazardous material emergencies.

FISCAL IMPACT

The training costs are funded as part of the grant. The additional cost to the City of Roseville is to cover constant staffing and will be approximately:

Personnel costs for 2 Fire Department Members \$2,177.00

Per Diem 586.50

Total Approximate Costs \$2,763.50

The expense will be drawn from funds already allocated in each department's current budget.

Approx. Costs Covered by Union Pacific Railroad

Tuition, Travel, Lodging, \$ 7,000.00

ECONOMIC DEVELOPMENT / JOBS CREATED

None

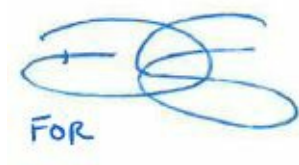
ENVIRONMENTAL REVIEW

This out-of-state travel request is not considered a "project" as defined by the California Environmental Quality Act (CEQA). Consequently no CEQA action is required.

Respectfully Submitted,

Greg James, Assistant Fire Chief

Rick Bartee, Fire Chief



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

2016 UP Advance Tank Car Safety & Emergency Response Course Tentative Agenda

2016 UP Advance Tank Car Safety and Emergency Response Course
Tentative Agenda

Day	Time	Activity	Notes	Instructor(s)
Sunday 4/10/16	1900-2100	Dinner, Introductions, Administrative Items, Course overview, Expectations	Courtyard Marriott – Pueblo, CO	
Monday 4/11/16	0745-1200	SERTC Introduction/Safety Brief UP Response Protocol and Expectations Tank Car Review Valve and Fittings Review	Initial information, Data available, Response territories, expectations of contractors, multiple contractor response	
	1200-1300	Lunch		
	1300-1600	Valve Stand Rotation (High Bay)	Pressure, non-Pressure, Cryogenic, H2O2 – Emphasize nomenclature, functionality, common leaks, repairs	
	1600-1630	Open Discussion/Review		
Tuesday 4/12/16	0745-0800	Review/Questions		
	0800-1200	Valve/Fitting Damage Assessment and Repair	Advance Protective Housing Rotations: Valve repair, packing, o-ring replacement, etc	
	1200-1300	Lunch		
	1300-1500	Valve/Fitting Damage Assessment and Repair	Advance Protective Housing Rotations: Valve repair, packing, o-ring replacement, etc	
	1500-1600	Tank Damage Assessment		
	1600-1700	Tank Damage Assessment Exercise	Derailment #4	
Wednesday 4/13/16	0800-0900	Product Removal		
	0915-1000	Grounding and Bonding		
	1000-1400	UP Transfer Truck Orientation, Field Transfers and Product Removal	Pressure/non-Pressure Transfers, Grounding and Bonding, Flaring	
	1430-1600	Hands-On Time		
	1600-1630	Review/Questions		
Thursday 4/14/16	0750-0800	Pre-Final Exam Review/Questions		
	0800-0900	Final Written Exam		
	0915-1000	Final Exam Review		
	1015-1100	UP Response Process (Review)	Analyzing the problem, Planning the work	
	1100-????	Incident Exercise	Gathering and communicating information to UP prior to UP HMM arrival	
	1200-1300	Lunch		
	1300-????	Derailment Response	Large incident with 3 HMMs managing multiple contractors/response teams	
Friday 4/15/16	0800-1000	Exercise Critique, Questions/Comments, Course Evaluation, Adjourn	Courtyard Marriott – Pueblo, CO	

Instructors/UP Personnel: Lead Instructor – TBD;



COUNCIL COMMUNICATION

CC #: 7529
File #: 0102-10

Title: Resolution of Commendation and Appreciation to Mark A. Eger
Contact: Heather Blanco 774-5265 hblanco@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 7.39.

RECOMMENDATION TO COUNCIL

Recommend Senior Master Sergeant Mark A. Eger be commended for his outstanding service and dedication to the United States Air Force, congratulated for his many accomplishments, and wished a long, healthy, and enjoyable retirement.

BACKGROUND

N/A

FISCAL IMPACT

N/A

ECONOMIC DEVELOPMENT / JOBS CREATED

N/A

ENVIRONMENTAL REVIEW

N/A

Respectfully Submitted,

Heather Blanco, Deputy City Clerk

Sonia Orozco, City Clerk



Rob Jensen, City Manager

ATTACHMENTS:

Description

Resolution of Commendation

City of Roseville

RESOLUTION

of

COMMENDATION AND APPRECIATION

WHEREAS, Senior Master Sergeant Mark A. Eger enlisted into active duty, in the United States Air Force in 1993, and after graduating from Basic Military Training he began his active duty assigned with the 50th Logistics Support Squadron, 50th Space Wing, Falcon AFB, Colorado as a Contract Specialist; and

WHEREAS, while at Falcon AFB, Eger promoted to Senior Airman Below-the-Zone, served on the base Color Guard, was awarded Squadron Airman of the Quarter three times, and was selected as the 50th Space Wing's Airman of the Quarter; and

WHEREAS, in 1997 Eger's active duty ended and he re-enlisted as a reservist at Travis AFB, California and was assigned to the 55th Aerial Port Squadron as an Air Transportation Specialist, and promoted to Master Sergeant, becoming the unit's Section Evaluation and Quality Control monitor; and

WHEREAS, in 2010 Eger was selected as the First Sergeant of the 349th Mission Support Squadron which later he assisted in the re-design as the 349th Force Support Squadron where he also completed the Air Force First Sergeant Academy, and was awarded Honor Graduate; and

WHEREAS, in 2012 Eger was selected as the First Sergeant for the 312th Airlift Squadron and responsible for the health, welfare, morale, and discipline of the enlisted force, and subsequently promoted to the rank of Senior Master Sergeant; and

WHEREAS, over Senior Master Sergeant Eger's 22-year Air Force career, he deservingly receive numerous awards and decorations for his service.

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF ROSEVILLE, CALIFORNIA, that MARK A. EGER be commended for his outstanding service and dedication to the UNITED STATES AIR FORCE, congratulated for his many accomplishments, and wished a long, healthy, and enjoyable retirement.

SIGNED AND APPROVED this 16th day of March, 2016.

CAROL GARCIA, MAYOR

ATTEST:

SONIA OROZCO, CITY CLERK
CITY OF ROSEVILLE, CALIFORNIA





COUNCIL COMMUNICATION

CC #: 7446

File #: 0103-15-02

Title: Roseville Community Development Corporation - Annual Corporate Update

Contact: Chris Robles 774-5421 crobles@roseville.ca.us
Melissa Hagan 774-5476 mhagan@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 8.1.

RECOMMENDATION TO COUNCIL

The following report is an update to City Council regarding the Roseville Community Development Corporation's (RCDC) FY2014-15 audited financial statement report; the budget for the 2015-16 fiscal year and to report to the City Council the RCDC's programs. This current annual report is provided for informational purposes and no Council action is required of the update.

BACKGROUND

A City Council and RCDC Board of Directors workshop is planned for March 29th to review the RCDC goals and work plan for the coming year. Topics of discussion may include goals and bylaws, finance strategy, current and future projects, property management and Advantage Roseville. This report will provide a base line of the corporations current activities and audit findings in advance of the workshop.

During the RCDC's five years of operations, November 29, 2010 through June 30, 2015, the Corporation has met the investment goals associated with the City of Roseville's \$5 million startup capital loan and expanded activities to include economic development through Advantage Roseville, downtown revitalization through property acquisition and re-tenanting and affordable housing through a housing rehab program. The following report provides the FY2015 audited financial statement report and a summary of the FY2015-16 budget.

Consistent with the requirements of Article 13, Section 3 of the RCDC bylaws, the RCDC is required to submit to its Board and the City Council as its Sole Member, the Corporation's audited financial statement report and consistent with Article 9, Section 1 the RCDC is required to submit its Board-approved budget to City Council.

FY 2014-15 AUDIT

The financial audit for the year ending June 30, 2015 was performed by Vavrinek, Trine, Day, and Co., LLP, (VTD) an independent certified professional accounting firm located in Sacramento,

California. VTD identified two “observations” requiring management’s consideration, however, no material weaknesses were identified. VTD issued a clean opinion on the financial statements (included on page 2 of the Independent Auditor’s Report) and final reports were published on December 16, 2015. The report is included as Attachment A.

DISCUSSION

During the weeks of October 5, 2015 through October 30, 2015, VTD audit staff performed their fieldwork and testing as it related to the RCDC’s financial transactions and operations. As a result of the audit, two “observations” were identified and were required to be shared with management:

1. Capital Assets
2. Beginning Equity Balance reconciliation

Both of these issues are explained in detail in the Memorandum on Internal Control and Required Communications (SAS 115) included in Attachment A.

The RCDC’s audit committee, comprised of Board Members Ed Benoit and Nick Alexander met with VTD Audit Supervisor Nicholas Roses, CPA and RCDC management on December 10, 2015, to review the draft audit reports and the Committee agreed to recommend that the Board accept the audit report at the January 14, 2016 meeting.

FISCAL YEAR 2015-16 BUDGET

The budget for FY2015-16 (Attachment B) was approved in line-item detail by the RCDC’s Board of Directors at the June 11, 2015 meeting. A budget summary for the FY 2014-15 year is provided in Table 1 and includes the budgeted revenues and expenditures for the RCDC and the LLCs combined.

The budget that was approved by the Board is divided into three components:

- 1) RCDC Operations and Capital & Financing
- 2) RCDC Programs:
 - a. Advantage Roseville
 - b. 98 Jefferson
- 3) Limited Liability Corporations:
 - a. 238 Vernon Street LLC
 - b. 240 Vernon Street LLC
 - c. 242-246 Vernon Street LLC

REVENUES

Total revenues budgeted for FY2016 are \$573,965 and are predominately comprised of rental income, investment interest and corporate and City of Roseville sponsorship donations to Advantage Roseville and are included in Table 2 attached to this report.

EXPENSES

Total expenses budgeted for FY2016 are \$1,861,660 and are comprised of costs related to Operations, Capital financing, Advantage Roseville, 98 Jefferson and the three property LLCs.

Operations and Capital Financing - \$970,484 (\$773,108 in FY2015)

- \$700,000 for property acquisitions and tenant improvements to further the revitalization efforts mainly in the downtown corridor;
- \$55,550 for deferred interest on the \$5 million City loan;
- \$123,898 for reimbursements to the City for salaries, for a half-time Management Assistant and a half-time CEO which are both City of Roseville employees;
- \$91,036 for costs related to the corporation's professional legal fees, general office expenses, insurance, auditing services, and indirect costs from the City of Roseville.

238 Vernon Street LLC - \$305,124 (\$119,264 in FY2015)

- \$100,000 for tenant improvements;
- \$205,124 for costs related to the LLC's property taxes, depreciation, principal payments, interest, deferred interest, property taxes, professional legal fees, office expenses, insurance, maintenance, and auditing services.

240 Vernon Street LLC - \$56,805 (\$119,264 in FY2015)

Costs related to the LLC's property taxes, depreciation, principal payments, interest, deferred interest, property taxes, professional legal fees office expenses, insurance, maintenance, and auditing services.

242-246 Vernon Street LLC - \$252,647 (\$61,386 in FY2015)

- \$200,000 for tenant improvements;
- \$52,647 for costs related to the LLC's property taxes, depreciation, principal payments, interest, deferred interest, property taxes, professional legal fees, office expenses, insurance, maintenance, and auditing services.

Advantage Roseville - \$276,000 (\$180,000 in FY2015)

Budget is devoted to program costs for consulting services to aide in furthering the goals of the program, sponsorships, printing, and legal fees. There are no fees charged to Advantage Roseville by the RCDC for program administration.

98 Jefferson - \$600 (\$600 in FY2015)

RCDC-owned property located in downtown Roseville which is being held for future development. The budgeted expenses are for annual property taxes.

CASH FLOW

In order to fund this fiscal year's consolidated net deficit of \$1,070,118, the RCDC is relying on cash from unspent City loan proceeds, unspent Advantage Roseville revenues, surplus LLC cash and the \$928,077 grant from the City of Roseville approved by Ordinance #5474 on March 18, 2015. Although these sources are not considered revenue by accounting standards, applying these funds to the budgeted expenses provides a clear picture of how the RCDC is expected to meet its obligations and spending plan goals.

FISCAL ANALYSIS

The RCDC was initially funded with a \$5 million loan from the former Redevelopment Agency via the City's Strategic Improvement fund with the objective that these funds would be used for projects consistent with the RCDC's work programs, business plan and annual fiscal budgets. Of that initial startup funding of \$5 million, \$4.4 million has been spent through June 30, 2015. \$3.37 million for property acquisitions and tenant improvements and the balance of \$1.03 million for land held for resale, general startup and operational expenses. The remainder of the loan is budgeted to be utilized in the FY2015-16 budget for capital and operating expenses.

WORK PROGRAM

The Corporation's goals for this past fiscal year were to continue to enhance the business environment in the downtown through strategic real estate investment; identify additional revenue resources to support the activities of the Corporation; and to partner with the City to achieve the City's economic development goals. The corporation had two unplanned vacancies in 2015 with vacancies occurring at 238 and 244 Vernon Street. The vacancies required an adjustment in the business plan with a redirected focus on re-tenanting these properties. Specific programs and projects to be implemented during the 2015 fiscal year are stated below followed by the status in parentheses:

- Re-tenant 238 Vernon Street; (Ninja Sushi, undergoing tenant improvements, to open in March)
- Re-tenant 244 Vernon Street; (Glue Factory)
- Acquire additional properties when presented with an opportunity to meet the goals of the Corporation; (on-going)
- Assisting the City with pre-development activities associated with City owned properties; (On-going)
- Administering Advantage Roseville program. (On-going)

FISCAL IMPACT

The Roseville Community Development is a private, charitable, nonprofit Section 501(c) (3) corporation. The RCDC is focused on physical, economic and educational development through the community to create expanded employment, economic prosperity and housing opportunities.

Its initial focus is to help the City achieve the revitalization goals through the reuse of existing buildings or new construction in Roseville's downtown. Board members are appointed by the Roseville City Council and receive no compensation for their service. The RCDC's financial activities have no impact on the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

This past year RCDC development projects have created construction jobs with the commercial property re-tenanting and property rehabilitation. The tenanting of 238, 240 and 244 Vernon Street results in new businesses and jobs on Vernon Street that did not previously exist. Ninja Sushi plans for a staff of 100 employees and the Glue Factory will bring 10 to 20 employees or entrepreneurs to Vernon Street. The continued work of the RCDC will create jobs, both permanent and construction related, meeting the founding purposes for creating the RCDC.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). Budget reporting and progress updates do not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Chris Robles, Economic Development Director



Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

2015 RCDC Financial Stmt Report - Final_Attachment A

RCDC_Aproved_Budget_FY16_06.02.15_FINAL_ATTACH B

Table 1 & Table 2_02.17.16

**ROSEVILLE COMMUNITY DEVELOPMENT
CORPORATION, INC.**

CONSOLIDATED FINANCIAL STATEMENTS

FOR THE YEAR ENDED JUNE 30, 2015

**ROSEVILLE COMMUNITY DEVELOPMENT
CORPORATION, INC.**

Table of Contents

<u>INTRODUCTORY SECTION</u>	PAGE
Table of Contents	i
Board of Directors	ii
<u>FINANCIAL SECTION</u>	
Independent Auditors' Report	1
Financial Statements	
Consolidated Statement of Financial Position	3
Consolidated Statement of Activities	4
Consolidated Statement of Functional Expenses	5
Consolidated Statement of Cash Flows	6
Notes to Consolidated Financial Statements	7

**ROSEVILLE COMMUNITY DEVELOPMENT
CORPORATION, INC.**

BOARD OF DIRECTORS

JUNE 30, 2015

Howard Rudd, Chair

John Norman, Secretary/Treasurer

Nick Alexander

Ed Benoit

Jim Gray



INDEPENDENT AUDITORS' REPORT

Board of Directors
Roseville Community Development Corporation, Inc.
Roseville, California

Report on the Financial Statements

We have audited the accompanying consolidated financial statements of the Roseville Community Development Corporation, Inc. (Organization), which comprise the consolidated statement of financial position as of June 30, 2015, and the related consolidated statements of activities, cash flows and functional expenses for the year then ended, and the related notes to the consolidated financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these consolidated financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

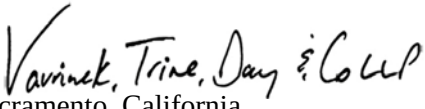
Our responsibility is to express an opinion on these consolidated financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the consolidated financial statements referred to above present fairly, in all material respects, the consolidated financial position of the Organization as of June 30, 2015, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.


Sacramento, California
December 16, 2015

ROSEVILLE COMMUNITY DEVELOPMENT CORPORATION, INC.

**CONSOLIDATED STATEMENT OF FINANCIAL POSITION
JUNE 30, 2015**

ASSETS

Current Assets:

Cash and cash equivalents (Note 2E)	\$ 1,737,344
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Non-Current Assets:

Property and equipment (Note 3)	3,744,680
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TOTAL ASSETS	<u>\$ 5,482,024</u>
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LIABILITIES AND NET ASSETS

Current Liabilities:

Accounts payable	\$ 19,938
Security deposits	11,704
Prepaid rent	<u>4,200</u>

Total Current Liabilities	<u>35,842</u>
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Non-Current Liabilities:

Accrued interest payable (Note 4)	302,505
Notes payable to Successor Agency (Note 4)	3,469,049
Notes payable to City of Roseville (Note 4)	<u>2,107,722</u>

Total Non-Current Liabilities	<u>5,879,276</u>
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TOTAL LIABILITIES	5,915,118
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NET ASSETS (Note 2A)

Unrestricted (Deficit)	<u>(433,094)</u>
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TOTAL LIABILITIES AND NET ASSETS (DEFICIT)	<u>\$ 5,482,024</u>
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See accompanying notes to consolidated financial statements.

ROSEVILLE COMMUNITY DEVELOPMENT CORPORATION, INC.

**CONSOLIDATED STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2015**

SUPPORT AND REVENUE

Contributions	\$ 1,164,113
Rental income	277,311
Interest income	1,130
Other	<u>430</u>
Total Support and Revenue	<u>1,442,984</u>

EXPENSES

Program services	263,068
Support services:	
Management and general	470,157
Fundraising	<u>74,113</u>
Total Expenses	<u>807,338</u>

OTHER REVENUE (EXPENSE)

Gain on sale	<u>26,801</u>
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CHANGE IN NET ASSETS	662,447
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NET DEFICIT, BEGINNING OF YEAR	<u>(1,095,541)</u>
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NET DEFICIT, END OF YEAR	<u><u>\$ (433,094)</u></u>
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See accompanying notes to consolidated financial statements.

ROSEVILLE COMMUNITY DEVELOPMENT CORPORATION, INC.

**CONSOLIDATED STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2015**

		Support Services		
	Program Services	Management and General	Fund Raising	Total
Contract wages and benefits	\$ -	\$ 38,603	\$ -	\$ 38,603
Professional fees	166,026	-	-	166,026
Legal and accounting	1,080	39,622	-	40,702
Insurance	-	27,976	-	27,976
Taxes and licenses	-	34,266	-	34,266
Meetings and travel	-	1,707	-	1,707
Office expenses	6,878	4,421	-	11,299
Real estate fees	2,345	-	-	2,345
Repairs and maintenance	5,887	35,974	-	41,861
Indirect costs	-	21,506	-	21,506
Interest expense	-	132,967	-	132,967
Marketing	69,224	-	74,113	143,337
Miscellaneous	11,628	7,630	-	19,258
Depreciation	-	125,485	-	125,485
Total Expenses	<u>\$ 263,068</u>	<u>\$ 470,157</u>	<u>\$ 74,113</u>	<u>\$ 807,338</u>

See accompanying notes to consolidated financial statements.

ROSEVILLE COMMUNITY DEVELOPMENT CORPORATION, INC.

**CONSOLIDATED STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2015**

CASH FLOW FROM OPERATING ACTIVITIES

Change in net assets	<u>\$ 662,447</u>
Adjustments to reconcile changes in net assets to net cash (used) provided by operating activities:	
Depreciation	125,485
Gain on sale	26,801
Increase in accounts payable	5,155
(Decrease) in security deposits	<u>(5,454)</u>
Net Cash Provided by Operating Activities	<u>814,434</u>

CASH FLOWS FROM INVESTING ACTIVITIES

Sale of land	491,000
Purchase of property and equipment	<u>(303,579)</u>
Net Cash Provided by Investing Activities	<u>187,421</u>

CASH FLOW FROM FINANCING ACTIVITIES

Payments on notes payable	(513,355)
Increase in accrued interest payable	<u>85,840</u>
Net Cash Used for Financing Activities	<u>(427,515)</u>
Net Change in Cash	574,340
Cash and cash equivalents, beginning of year	<u>1,164,230</u>
Cash and cash equivalents, end of year	<u><u>\$ 1,738,570</u></u>

See accompanying notes to consolidated financial statements.

ROSEVILLE COMMUNITY DEVELOPMENT CORPORATION, INC.

NOTES TO CONSOLIDATED FINANCIAL STATEMENTS FOR THE YEAR ENDED JUNE 30, 2015

NOTE 1 – REPORTING ENTITY

The Roseville Community Development Corporation, Inc. (Organization) was incorporated on January 3, 2011 as a 501(c)(3) not-for-profit corporation whose purpose is to engage in the activity of physical, economic and educational development and revitalization efforts that result in expanded employment, economic prosperity and business and housing opportunities for the businesses and residents of the City of Roseville, California (City).

NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

The following items comprise the significant accounting policies of the Organization, and its wholly owned subsidiaries, 240 Vernon Street, LLC, 242-246 Vernon Street, LLC, and 238 Vernon Street, LLC (the LLCs). The policies reflect industry practices and conform to accounting principles generally accepted in the United States of America.

A. *Basis of Accounting and Financial Statement Presentation*

The consolidated financial statements have been prepared on the accrual basis of accounting. The Organization reports information regarding its financial position and activities according to the three classes of net assets: unrestricted net assets, temporarily restricted net assets, and permanently restricted net assets. Unrestricted net assets represent the portion of expendable funds that are available for support of the Organization's operations. Temporarily restricted net assets consist of assets with time and purpose restrictions imposed by donors. Permanently restricted net assets represent a portion of funds that are not expendable, except for the interest earned on these funds.

Contributions received are recorded as unrestricted, temporarily restricted, or permanently restricted support, depending on the existence and/or nature of any donor restrictions. Support that is restricted by the donor is reported as an increase in unrestricted net assets if the restriction expires in the reporting period in which the support is recognized. All other donor-restricted support is reported as an increase in temporarily or permanently restricted net assets, depending on the nature of the restriction. The Organization considers that all contributions for long-lived assets have implied time restrictions and classifies this support as temporarily restricted until the purpose restriction is met. When a restriction expires, that is, when a stipulated time restriction ends or the purpose restriction is accomplished, temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities as net assets released from restrictions.

B. *Principles of Consolidation*

The consolidated financial statements include the accounts of the Organization and its subsidiaries and do not represent those of a single legal entity. All significant intercompany transactions and balances have been eliminated.

C. *Related Party*

The Organization is considered a component unit of the City of Roseville and board members are appointed by the City Council. The Organization also contracts all employees from the City.

ROSEVILLE COMMUNITY DEVELOPMENT CORPORATION, INC.

**NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

D. Use of Estimates

The preparation of the consolidated financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the consolidated financial statements and the reported amounts of revenue and expenses during the reporting period. Actual results could differ from those estimates.

E. Cash

The Organization considers all short term deposits purchased with a maturity of three months or less to be cash equivalents.

Cash in bank	\$ 254,997
Money market	<u>1,482,347</u>
Total cash and cash equivalents	<u><u>\$ 1,737,344</u></u>

F. Revenue and Cost Recognition

Revenue from property rentals is recorded when earned. Costs associated with rental activities are recorded when incurred.

G. Financial Instruments

Rates currently available for debt with similar terms and remaining maturities are used to estimate the fair value of existing debt.

H. Advertising

Advertising costs, if any, are expensed as incurred.

I. Income Taxes

The Organization is exempt from income tax under Section 501(c)(3) of the U.S. the Internal Revenue Code. Accordingly, no provision for income taxes has been provided in these consolidated financial statements. In addition, the Organization qualifies for the charitable contribution deduction under Section 170(b)(1)(a) and has been classified as an organization that is not a private foundation under Section 509(a)(1).

ROSEVILLE COMMUNITY DEVELOPMENT CORPORATION, INC.

**NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE 2 – SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

J. Uncertain Tax Positions

The financial statement effects of a tax position taken or expected to be taken are recognized in the financial statements when it is more-likely-than-not, based on the technical merits, that the position will be sustained upon examination. Interest and penalties, if any, are included in expense in the statement of activities and changes in net assets. As of June 30, 2015, management believes that all tax positions taken by the Organization are more-likely-than-not to be sustained upon examination. The federal and state returns of the Organization for the year ended June 30, 2015 are subject to examination by the taxing authorities for three and four years, respectively, after the due date.

K. Concentration of Credit Risk

The Organization maintains its cash in bank deposit accounts which, at times, may exceed federally insured limits. The Organization has not experienced any losses in such accounts. The Organization believes it is not exposed to any significant credit risk on cash deposits.

L. Fair Value

Fair value is defined as the price that would be received to sell an asset or paid to transfer a liability in an orderly transaction between market participants at the measurement date. Accounting standards set a framework for measuring fair value using a three tier hierarchy based on the extent to which inputs used in measuring fair value are observable in the market. The three levels are defined as follows:

Level 1: Quoted prices in active markets for identical assets or liabilities that the reporting entity has the ability to access at the measurement date.

Level 2: Observable inputs other than Level 1 prices such as quoted prices for similar assets or liabilities, quoted prices in markets that are not active, or inputs (interest rates, currency exchange rates, commodity rates and yield curves) that are observable or corroborated by observable market data for substantially the full term of the assets or liabilities.

Level 3: Unobservable inputs that are supported by little or no market activity and that are significant to the fair value of the assets or liabilities. Level 3 assets and liabilities include financial instruments whose value is determined using pricing models, discounted cash flow methodologies, or similar techniques, as well as instruments for which the determination of fair value requires significant management judgment or estimation.

ROSEVILLE COMMUNITY DEVELOPMENT CORPORATION, INC.**NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015****NOTE 3 – PROPERTY AND EQUIPMENT**

Property and equipment are recorded at cost. All capitalizable costs of \$5,000 or more and having a useful life in excess of one year are capitalized. The Organization uses the straight-line method of depreciation over the estimated useful lives of the assets. Building and improvements are depreciated over 39 years, and furniture and equipment are depreciated over 5 years.

Property and equipment consist of the following at June 30:

	Balance at June 30, 2014	Additions	Deletions	Balance at June 30, 2015
Land	\$ 835,130	\$ 261,000	\$ (491,000)	\$ 605,130
Building and improvements	3,023,459	42,579	-	3,066,038
Furniture and equipment	318,224	-	-	318,224
Total property and equipment	4,176,813	303,579	(491,000)	3,989,392
Total accumulated depreciation	(119,227)	(125,485)	-	(244,712)
Total property and equipment, net	<u>\$ 4,057,586</u>	<u>\$ 178,094</u>	<u>\$ (491,000)</u>	<u>\$ 3,744,680</u>

The land, building and improvements consist of three commercial buildings in downtown Roseville, California, which are held by the Organization. The cost was valued by an independent appraisal using level 3 fair value inputs. Currently the buildings are being rented to local businesses under month to month leases.

The land held for future development consists of a vacant lot in Roseville, California. The Organization plans to use the vacant lot for future development along the Vernon Street corridor.

NOTE 4 – NOTES PAYABLE

On August 30, 2011, the former Redevelopment Agency of the City of Roseville loaned the Organization \$3,469,049, and the City loaned an additional \$1,530,951 during fiscal year ended June 30, 2013. The \$5,000,000 note accrues interest at a rate equal to the City's average interest earnings rate plus 1 percent, adjusted every 5 years. Principal and accrued interest payments begin ten years from the date of first disbursement, commencing on June 30, 2021, and will be due annually with final payment maturing on June 30, 2030. As of June 30, 2015, the \$5,000,000 note bears interest at 1.963%, and accrued interest on the loan is \$302,505.

During fiscal year ended June 30, 2013, the City loaned the Organization \$500,000 to implement the Housing Revitalization Program. The note accrues interest at a rate equal to the City's average interest earnings rate plus 1%, adjusted every 5 years. The loan was repaid during the year.

During fiscal year ended June 30, 2014, the Organization assumed a note payable between KMS Vernon Street LLC and the former Redevelopment Agency of the City of Roseville in the amount of \$603,525 made in connection with the acquisition of 238 Vernon Street. Payments of \$13,355 were made during the year. As of June 30, 2015 the total outstanding balance on the loan is \$576,771.

ROSEVILLE COMMUNITY DEVELOPMENT CORPORATION, INC.

**NOTES TO CONSOLIDATED FINANCIAL STATEMENTS
FOR THE YEAR ENDED JUNE 30, 2015**

NOTE 5 – SUBSEQUENT EVENTS

Management has evaluated subsequent events for recognition and disclosure through December 16, 2015, which is the date the financial statements were available to be issued. Management has determined that there were no subsequent events on transactions that would have a material impact on the current year financial statements.



Board of Directors
Roseville Community Development Corporation, Inc.
Roseville, California

We have audited the financial statements of the Roseville Community Development Corporation, Inc. (Organization) for the year ended June 30, 2015, and have issued our report thereon dated December 16, 2015. Professional standards require that we provide you with information about our responsibilities under generally accepted auditing standards, as well as certain information related to the scope and timing of our audit.

Our Responsibility under U.S. Generally Accepted Auditing Standards

Our responsibility, as described by professional standards, is to express an opinion about whether the financial statements prepared by management with your oversight are fairly presented, in all material respects, in conformity with U.S. generally accepted accounting principles. Our audit of the financial statements does not relieve you or management of your responsibilities.

Scope, Timing of the Audit, and Other

An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements; therefore, our audit involved judgment about the number of transactions to be examined and the areas to be tested.

Our audit included obtaining an understanding of the Organization and its environment, including internal control, sufficient to assess the risks of material misstatement of the financial statements and to design the nature, timing, and extent of further audit procedures.

Significant Audit Findings

Qualitative Aspects of Accounting Practices

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by the Organization are described in Note 2 to the financial statements. No new accounting policies were adopted and the application of existing policies was not changed during the year ended June 30, 2015. We noted no transactions entered into by the Organization during the year for which there is a lack of authoritative guidance or consensus. All significant transactions have been recognized in the financial statements in the proper period.

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most sensitive estimate affecting the financial statements was management's estimate of the depreciation expense which is based on the estimated useful life of the related asset. We evaluated the key factors and assumptions used to develop the depreciation expense in determining that it is reasonable in relation to the financial statements taken as a whole.

The financial statement disclosures are neutral, consistent, and clear.

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing and completing our audit.

Corrected and Uncorrected Misstatements

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are clearly trivial, and communicate them to the appropriate level of management. Management has corrected all such misstatements. In addition, none of the misstatements detected as a result of audit procedures and corrected by management were material, either individually or in the aggregate, to each opinion unit's financial statements taken as a whole.

Disagreements with Management

For purposes of this letter, a disagreement with management is a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated December 16, 2015.

Management Consultations with Other Independent Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the Organization's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Other Audit Findings or Issues

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the Organization's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Other Matters

This information is intended solely for the use of the Audit Committee and management of the Organization and is not intended to be, and should not be, used by anyone other than these specified parties.


Sacramento, California
December 16, 2015



Board of Directors
Roseville Community Development Corporation, Inc.
Roseville, California

In planning and performing our audit of the financial statements of Roseville Community Development Corporation, Inc. (Organization) as of and for the year ended June 30, 2015, in accordance with auditing standards generally accepted in the United States of America, we considered the Organization's internal control over financial reporting (internal control) as a basis for designing auditing procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Organization's internal control. Accordingly, we do not express an opinion on the effectiveness of the Organization's internal control.

Our consideration of internal control was for the limited purpose described in the previous paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies and, therefore, material weaknesses or significant deficiencies may exist that were not identified. However, as discussed below, we identified certain deficiencies in internal control that we consider to be significant deficiencies.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. We did not identify any deficiencies in internal control that we consider to be material weaknesses.

A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance. We consider the following deficiencies in the Organization's internal control to be significant deficiencies:

CAPITAL ASSETS

Observation:

During the testing performed over the Organization's capital assets, the following was noted requiring adjustments to the financial statements. The capital assets beginning balance in the accounting records was not reconciled to the balances reported in the financial statements. Activity during the year included items that did not meet the \$5,000 threshold for capitalization. Repairs and maintenance costs not meeting the definition of a capital asset were capitalized instead of expensed. Activity expensed in prior years was capitalized in the current year. Depreciation of capital assets was charged to the group of assets rather than each asset individually. Additionally, items that appeared to be inventory rather than capital assets were included in capital assets balances rather than current assets.

Recommendation:

We recommend enhancing the Organization's capital asset policies to ensure that only items meeting the definition and threshold of capital assets are capitalized, that depreciation is charged appropriately to each asset, and that the accounting records are reconciled.

Management Response:

Management is in the process of reviewing the entity's capital asset policy to make certain that it is clear what items are considered capital assets and what values should be assigned under any type of acquisition - purchase, foreclosure or donation. Additionally, the threshold for capitalization should be adhered to and all costs that are incurred by the entity are clearly broken out by the vendor(s) in order to assist staff in making the appropriate decisions regarding whether items are required to be capitalized or not.

Management is also considering an upgrade to the existing current accounting software system that would track and depreciate assets in order to provide more complete and transparent records.

BEGINNING EQUITY RECONCILIATION**Condition:**

While testing the opening equity balances of the Organization, it was noted that beginning equity in the Organization's accounting records was not reconciled to the ending equity presented in the prior year audited financial statements. This resulted in an adjustment to the financial statements.

Recommendation:

We recommend the Organization enhance its processes to ensure that opening equity is reconciled.

Management Response:

Management has taken steps to ensure that balance sheet general ledger balances are reconciled on an annual basis by having management accounting staff review staff's reconciliation prior to distributing the trial balances to the auditors.

This communication is intended solely for the information and use of the Board of Directors, management, and others within the Roseville Community Development Corporation and is not intended to be, and should not be, used by anyone other than these specified parties.



Sacramento, California
December 16, 2015

FISCAL YEAR 2015/2016 FINAL BUDGET

Account	CONSOLIDATED	RCDC BUDGET				LLCs BUDGETS			Description
	CONSOLIDATED FY2016 BUDGET	RCDC OPERATIONS	CAPITAL & FINANCING	RCDC Programs		238 Vernon LLC	240 Vernon LLC	242-246 Vernon LLC	
				Advantage Roseville	98 Jefferson				
REVENUES / INCOME									
43400 · DIRECT PUBLIC SUPPORT-DONATIONS									
43410 · Corporate Contributions	150,000	-	-	150,000	-	-	-	-	Advantage Roseville - Sponsorships from Corporations
43420 · Government Contributions	100,000	-	-	100,000	-	-	-	-	Advantage Roseville - Match from City of Roseville
SUBTOTAL	250,000	-	-	250,000	-	-	-	-	
45000 · INVESTMENT									
43500 · Rental Income	322,965	-	-	-	300	160,000	107,940	54,725	
45010 · Gain/Loss on Investment	-	-	-	-	-	-	-	-	
SUBTOTAL	322,965	-	-	-	300	160,000	107,940	54,725	
47200 · PROGRAM									
47250 · Loan Payoffs - Principle	-	-	-	-	-	-	-	-	
SUBTOTAL	-	-	-	-	-	-	-	-	
46400 · OTHER INCOME									
45030 · Money Market Interest	1,000	1,000	-	-	-	-	-	-	Interest received from Money Market (500k x .25%)
46430 · Miscellaneous Revenue	-	-	-	-	-	-	-	-	
SUBTOTAL	1,000	1,000	-	-	-	-	-	-	
TOTAL REVENUES	573,965	1,000	-	250,000	300	160,000	107,940	54,725	
EXPENSES									
MANAGEMENT & GENERAL									
60920 · Business Reg / Filing Fees	150	90	-	-	-	20	20	20	Permits, registrations, licenses, moving, royalties, bank charges, filing fees
62110 · Accounting	11,100	6,000	-	-	-	1,700	1,700	1,700	Outside (non-employee) accounting, audit, bookkeeping, tax prep,
62140 · Legal Fees	65,000	25,000	-	-	-	20,000	5,000	15,000	
62180 · Contract Management Assistant	40,564	40,564	-	-	-	-	-	-	Management Assistant - 50% of wages only
62190 · Contract Chief Exec Officer	83,334	83,334	-	-	-	-	-	-	CEO - 50% of wages only
62870 · Property Insurance	11,000	-	-	-	-	6,500	3,000	1,500	Property Insurance
65010 · Books, Subscriptions, Reference	100	100	-	-	-	-	-	-	Books, subscriptions, reference materials, periodicals for use
65020 · Postage, Mailing Service	675	600	-	-	-	25	25	25	Postage, parcel delivery, local courier, trucking, freight
65030 · Printing and Copying	500	500	-	-	-	-	-	-	Printing, copying, duplicating, recording
65040 · Office Supplies	500	500	-	-	-	-	-	-	Supplies and materials for admin operations
65050 · Phone Line	100	100	-	-	-	-	-	-	
65070 · Memberships	150	150	-	-	-	-	-	-	Roseville Chamber Membership
65120 · Insurance -Directors & Officers	5,500	5,500	-	-	-	-	-	-	Total Insurance cost \$11,500
65120 · Insurance - General Liability	3,600	3,600	-	-	-	-	-	-	
65120 · Insurance - Excess Liability	2,400	2,400	-	-	-	-	-	-	
65130 · Bank Service Charges	940	400	-	-	-	180	180	180	Bank Charges
65150 · Software	100	100	-	-	-	-	-	-	Misc Accounting - Update of Quick Books
65170 · Indirect Costs-City of Rsvl	28,496	28,496	-	-	-	-	-	-	Indirect Costs from City charged through secondary labor at 18.04%
65180 · Property Taxes	39,562	-	-	-	600	23,282	8,170	7,510	Property insurance; includes PBID costs
65190 · State/Federal Income Tax	2,400	-	-	-	-	800	800	800	
65210 · Professional/Contract Services	5,000	5,000	-	-	-	-	-	-	Misc. Professional and Contract Services
65220 · Miscellaneous	5,600	5,000	-	-	-	200	200	200	misc. minor items not covered under other categories
67200 · Property Repairs/Maintenance	14,773	-	-	-	-	6,273	5,000	3,500	Misc. repairs/maintenance for properties
68310 · Training Registration Expense	500	500	-	-	-	-	-	-	program-related meetings, conferences, conventions
68320 · Travel/Meetings	5,000	5,000	-	-	-	-	-	-	Misc. travel expenses
68330 · Board Meeting Expense	2,000	2,000	-	-	-	-	-	-	Refreshments/Facility rental for Board

FISCAL YEAR 2015/2016 FINAL BUDGET

Account	CONSOLIDATED	RCDC BUDGET				LLCs BUDGETS			Description
	CONSOLIDATED FY2016 BUDGET	RCDC OPERATIONS	CAPITAL & FINANCING	RCDC Programs		238 Vernon LLC	240 Vernon LLC	242-246 Vernon LLC	
				Advantage Roseville	98 Jefferson				
68600 · Utilities	1,800	-	-	-	-	1,800	-	-	
62400 · Depreciation	98,999					76,525	13,006	9,468	
SUBTOTAL	429,843	214,934	-	-	600	137,305	37,101	39,903	
PROGRAM EXPENSES									
62135 · Misc Program Expenses - Adv. Rsvl.	20,000	-	-	20,000	-	-	-	-	
67010 · Marketing/Advertising - Adv. Rsvl.	20,000	-	-	20,000					
62155 · Contract Services - Adv. Rsvl.	148,420	-	-	148,420	-	-	-	-	
62155 · Contract Services - Recruitment Strategy Adv. R	51,580			51,580					Current contract w/3fold Communications - expires 12/31/15
65200 · Sponsorships - Adv. Rsvl.	12,000	-	-	12,000	-	-	-	-	
67020 · Printing & Reproduction - Adv. Rsvl.	5,000	-	-	5,000	-	-	-	-	
67080 · Travel & Meeting - Adv. Rsvl.	14,000	-	-	14,000	-	-	-	-	
67090 · Legal Fees - Adv. Rsvl.	5,000	-	-	5,000	-	-	-	-	
SUBTOTAL	276,000	-	-	276,000	-	-	-	-	
COMMERCIAL REAL ESTATE EXPENSES									
70010 · Real Estate Partnerships-Loans	-	-	-	-	-	-	-	-	
70020 · Property Acquisitions	600,000	-	600,000	-	-	-	-	-	Property Acquisitions
70070 · Façade/Tenant Improvements	400,000	-	100,000	-	-	100,000	-	200,000	To come from loan and/or grant from City
SUBTOTAL	1,000,000	-	700,000	-	-	100,000	-	200,000	
DEBT SERVICE									
27230 · Principal Exp - 238 VS	14,402					14,402	-	-	Principal Payment for Successor Agency Loan
80040 · Interest Exp-238 VS	22,837					22,837	-	-	Interest Payment for Successor Agency Loan
80030 · Interest Exp-Deferred - \$5 million City Loan	118,578	55,550	-	-	-	30,580	19,704	12,744	Deferred Interest Expense on RDA Loan (not current expense)
SUBTOTAL	155,817	55,550	-	-	-	67,819	19,704	12,744	
TOTAL EXPENSES	1,861,660	270,484	700,000	276,000	600	305,124	56,805	252,647	
	1,861,660								
TOTAL REVENUES	573,965	1,000	-	250,000	300	160,000	107,940	54,725	
TOTAL EXPENSES	(1,861,660)	(270,484)	(700,000)	(276,000)	(600)	(305,124)	(56,805)	(252,647)	
NET INCOME (LOSS)	(1,287,695)	(269,484)	(700,000)	(26,000)	(300)	(145,124)	51,135	(197,922)	
ADJUSTMENTS: NET INCOME TO CASH FLOW									
TOTAL REVENUES	573,965	1,000	-	250,000	300	160,000	107,940	54,725	
TOTAL EXPENSES	(1,861,660)	(270,484)	(700,000)	(276,000)	(600)	(305,124)	(56,805)	(252,647)	
Add Back Depreciation	98,999	-	-	-	-	76,525	13,006	9,468	
Add Back Deferred Interest	118,578	55,550	-	-	-	30,580	19,704	12,744	
TOTAL EXPENSES (Less Depreciation and Deferred Interest)	(1,644,083)	(214,934)	(700,000)	(276,000)	(600)	(198,019)	(24,095)	(230,435)	
NET INCOME (LOSS) CASH FLOW	(1,070,118)	(213,934)	(700,000)	(26,000)	(300)	(38,019)	83,845	(175,710)	
Estimated Ending Cash @ 6/30/15:	1,742,403								
Surplus City Loan for Operations	191,819	191,519			300				Total Cash available to fund capital and operations:
Surplus City Loan for Capital	400,177		260,813					139,364	City loan proceeds for entire OPS budget
City Grant - Former RDA Funds	928,077		928,077						242-246 City loan proceeds for capital improvements
Surplus Advantage Roseville funds	124,109			124,109					City Grant for property acquisitions
Surplus LLC cash	98,222					53,270	10,765	34,186	Rollover from FY15
Estimated Payment to RCDC for reimbursements		85,000					(85,000)		Excess cash from each of the LLCs
ESTIMATED ENDING CASH @ 6/30/16	672,285	62,585	488,890	98,109	-	15,251	9,610	(2,160)	Estimated payment to RCDC fro 238 for payables

Table 1	
RCDC Consolidated Budget - FY2015-16	
Total Revenues	\$573,965
Total Expenses (not including depreciation or deferred interest)	\$1,644,083
Net Deficit	(\$1,070,118)
Total Cash Available @ July 1, 2015 to fund Capital and Operating expenses	\$1,742,403
Estimated Ending Cash – June 30, 2016	\$672,285

Table 2					
REVENUES	CONSOLIDATED	RCDC OPERATIONS	ADVANTAGE ROSEVILLE	98 Jefferson	LLCs
Corp Contributions	\$150,000		\$150,000		
Gov't Contributions	100,000		100,000		
Rental Income	322,965			300	322,665
Interest	1,000	1,000			
TOTAL REVENUES	\$573,965	\$1,000	\$250,000	\$300	\$322,665



COUNCIL COMMUNICATION

CC #: 7475
File #: 0202-02

Title: Mid Year Performance Report
Contact: Kathy Cullen 746-1306 kcullen@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 8.2.

RECOMMENDATION TO COUNCIL

This is an informational item and requires no Council action.

BACKGROUND

This report includes a series of graphs illustrating significant trends in major operational areas, financial analysis by fund, performance reporting on specific organizational objectives, and the financial condition of the City for the first two quarters of fiscal year 2015-16. The Mid-Year Budget FY2015-2016 column reflects revised revenue estimates for the City and changes in program costs that have been approved through the budget adjustment process since the adoption of the budget. The Prior Year Carryovers for encumbrances and CIPs are included as revenue in the General Fund, and in the Operations funds for Electric, Water, Wastewater, and Solid Waste funds as they represent the reserves set aside to cover the encumbrance and CIP carry forward expenses from FY2014-15. The Estimated Beginning Fund Balances have been reduced by the Prior Year Carryovers for encumbrances and CIPs. The Variance column compares the Adopted Budget for FY2015-16 to the Mid-Year Budget.

Total General Fund Operating Revenues and Transfers In are projected to be approximately \$3.5 million more than the adopted budget by the end of the fiscal year. The largest revenue increase is in Property Taxes, which are projected to be approximately \$1.2 million more than budgeted. The Prior Year Operating Carryover of \$1.2 million is included as operating revenue as it represents the reserve set aside to cover the encumbrance carry forward expenses from FY2014-15. Operating Transfers In are \$816,000 more than the adopted budget due to \$363,000 increase in the transfer from Muni CFD #3 and \$356,000 from Public Facilities Fund related to the debt service payment for the 316 Vernon Street project. Estimated State and Federal Grants and Revenues from Other Agencies increased \$294,000 over the adopted budget and Charges for Current Services is projected to increase \$112,000 over the adopted budget due primarily to increases in Plan Check revenue of \$75,000 and \$28,000 in Franchise Fees.

Operating Expenses have increased by \$2.7 million primarily as a result of the MOU changes for RFF and Management/Confidential totaling approximately \$1.0 million. Other notable projected expense increases were for the encumbrance carry forward of \$1.2 million and Annexation Payments, which increased \$440 thousand from the adopted budget.

Roseville's property tax projection continues to rise while sales tax is growing at a declining rate not including the final true-up payments from the sunset of the Triple Flip. The City has been proactive to hold materials costs flat where feasible, however, operating costs such as salaries, PERS, OPEB, and CIP Rehab continue to rise. Although the mid-year General Fund shows a better net operating position than the adopted budget, the incremental impact of the Local 39, RPA, and RPOA MOU adjustments are not reflected in this report.

The Automotive Services Fund is projected to end the year in a negative position as budgeted. The rates being charged by the fund were increased in FY2015-16 as part of a multi-year plan to bring the fund back to a positive position. The fund will be balanced by rate adjustments in future years and is anticipated to have a positive balance within three years.

The Golf Course Improvement Fund is also currently projected to be negative at the end of FY2015-16. Transfers from the Woodcreek Golf Course Fund and Diamond Oaks Golf Course Fund will be made to bring this fund back to a positive position by the end of the year.

Water, Wastewater and Solid Waste funds are doing well. Prudent spending and certain rate increases will continue to enable these funds to maintain positive fund balances.

Roseville Electric had no rate increase in FY16 and the Operations Fund is expected to increase its ending fund balance.

FISCAL IMPACT

This item is a performance report only and has no fiscal impact.

ECONOMIC DEVELOPMENT / JOBS CREATED

This item is not connected to any new job creation.

ENVIRONMENTAL REVIEW

The Year End Performance Report is not considered a "project" as defined by the California Environmental Quality Act (CEQA) (CEQA Guidelines §15378); consequently, no CEQA action is required.

Respectfully Submitted,

Kathy Cullen, Budget Manager

Monty Hanks, Finance Director



Rob Jensen, City Manager

ATTACHMENTS:**Description**

MidYear performance report FY215-16

Mid Year Performance Report

Fiscal Year 2015-16



Open Space, Roseville, California

TABLE OF CONTENTS

HIGHLIGHTS.....	i
-----------------	---

SIGNIFICANT TRENDS.....	ii
-------------------------	----

COMPARATIVE FINANCIAL ANALYSIS

General Fund.....	A - 3
Enterprise Funds.....	A - 9
Special Revenue Funds.....	A - 33
Capital Project Funds.....	A - 63
Permanent Funds.....	A - 92
Trust Funds.....	A - 94
Special District Funds.....	A - 98
Internal Service Funds.....	A - 99
Insurance Funds.....	A - 101

PERFORMANCE SUMMARY

Central Services.....	B - 17
City Attorney.....	B - 5
City Clerk.....	B - 16
City Manager.....	B - 3
Economic Development.....	B - 28
Development Services.....	B - 63
Electric.....	B - 57
Environmental Utilities.....	B - 41
Finance.....	B - 6
Fire.....	B - 23
Human Resources.....	B - 11
Information Technology.....	B - 13
Parks, Recreation & Libraries.....	B - 31
Police.....	B - 21
Public Works.....	B - 37

HIGHLIGHTS

FISCAL YEAR 2015-16

This report includes a series of graphs illustrating significant trends in major operational areas, financial analysis by fund, performance reporting on specific organizational objectives, and the financial condition of the City for the first two quarters of fiscal year 2015-16. The Mid-Year Budget FY2015-2016 column reflects revised revenue estimates for the City and changes in program costs that have been approved through the budget adjustment process since the adoption of the budget. The Prior Year Carryovers for encumbrances and CIPs are included as revenue in the General Fund, and in the Operations funds for Electric, Water, Wastewater, and Solid Waste funds as they represents the reserves set aside to cover the encumbrance and CIP carry forward expenses from FY2014-15. The Estimated Beginning Fund Balances have been reduced by the Prior Year Carryovers for encumbrances and CIPs. The Variance column compares the Adopted Budget for FY2015-16 to the Mid-Year Budget.

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The Golf Course Improvement Fund is also currently projected to be negative at the end of FY2015-16. Transfers from the Woodcreek Golf Course Fund and Diamond Oaks Golf Course Fund will be made to bring this fund back to a positive position by the end of the year.

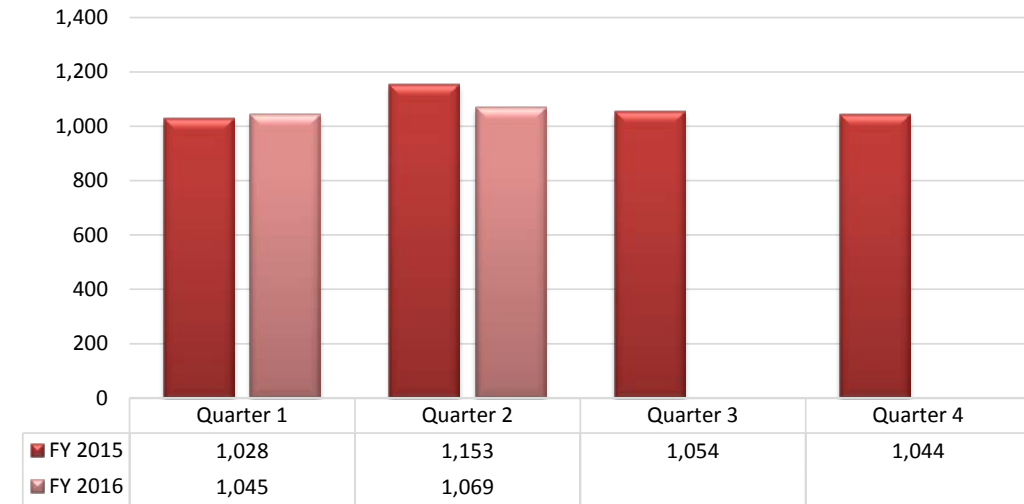
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SIGNIFICANT TRENDS

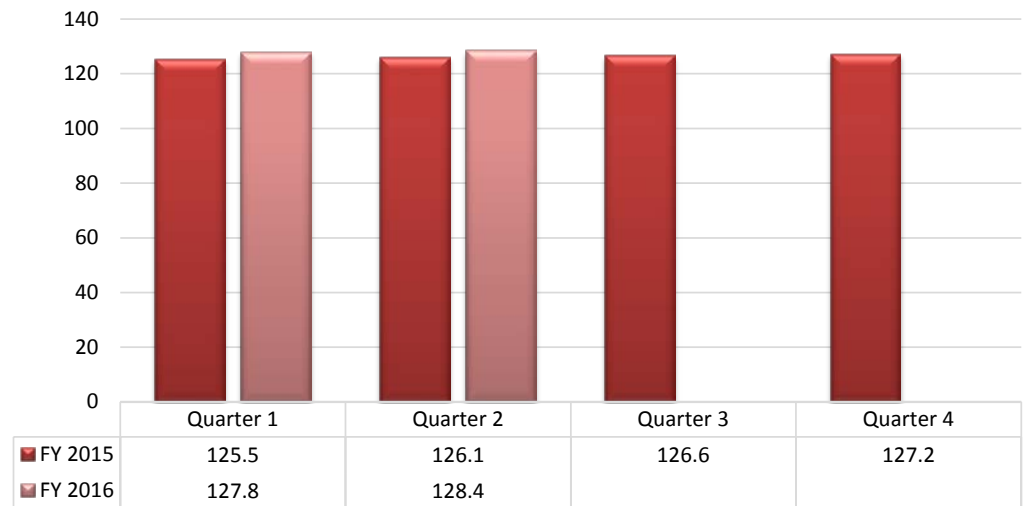
Fiscal Year 2015 vs. Fiscal Year 2016

REGULAR CITY EMPLOYEES



Source: Finance Department

CITY POPULATION (Thousands)

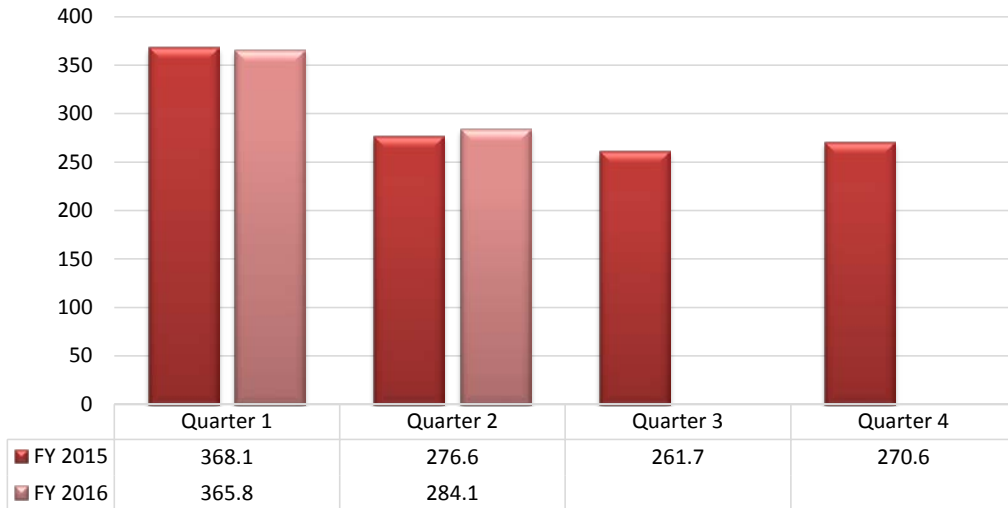


Source: Development Services Department

SIGNIFICANT TRENDS

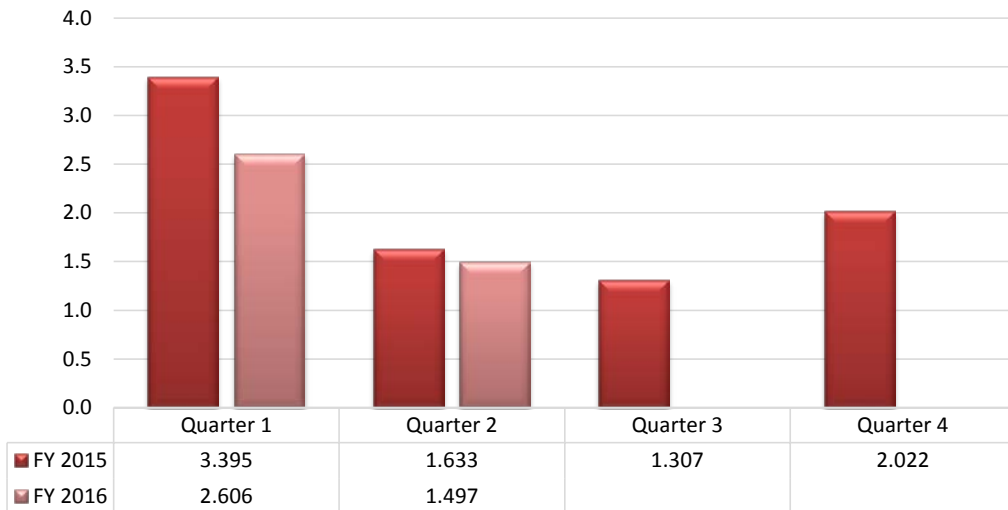
Fiscal Year 2015 vs. Fiscal Year 2016

ELECTRIC POWER SALES (Millions of kWh)



Source: Electric Department

WATER PRODUCTION* (Billions of Gallons)



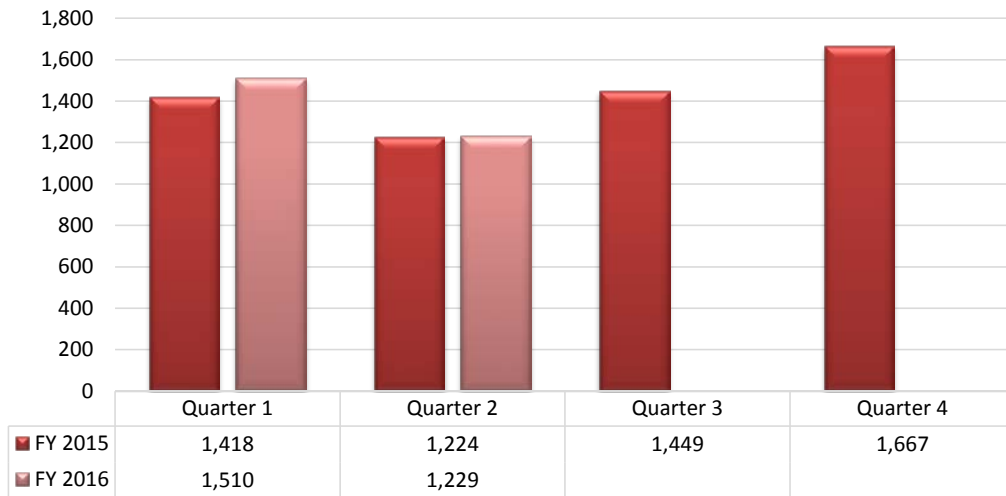
Source: Environmental Utilities Department

*Treated Water

SIGNIFICANT TRENDS

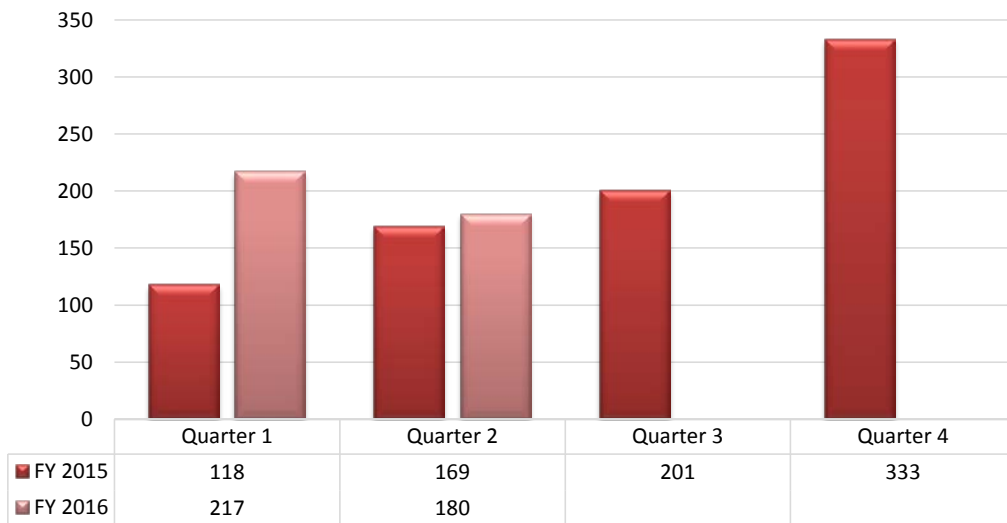
Fiscal Year 2015 vs. Fiscal Year 2016

BUILDING PERMITS ISSUED (New Construction, Remodels, and Additions)



Source: Development Services Department

NEW DWELLINGS (Singles and Multiples)

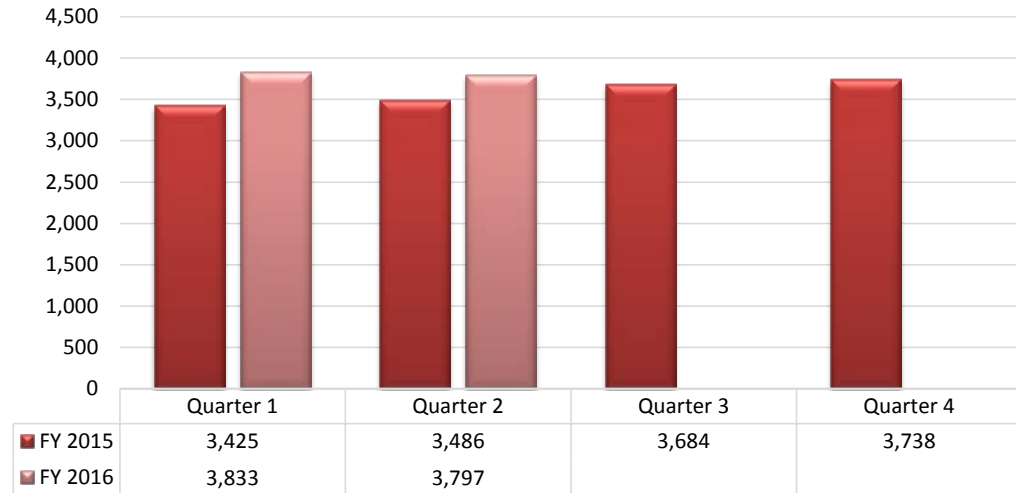


Source: Development Services Department

SIGNIFICANT TRENDS

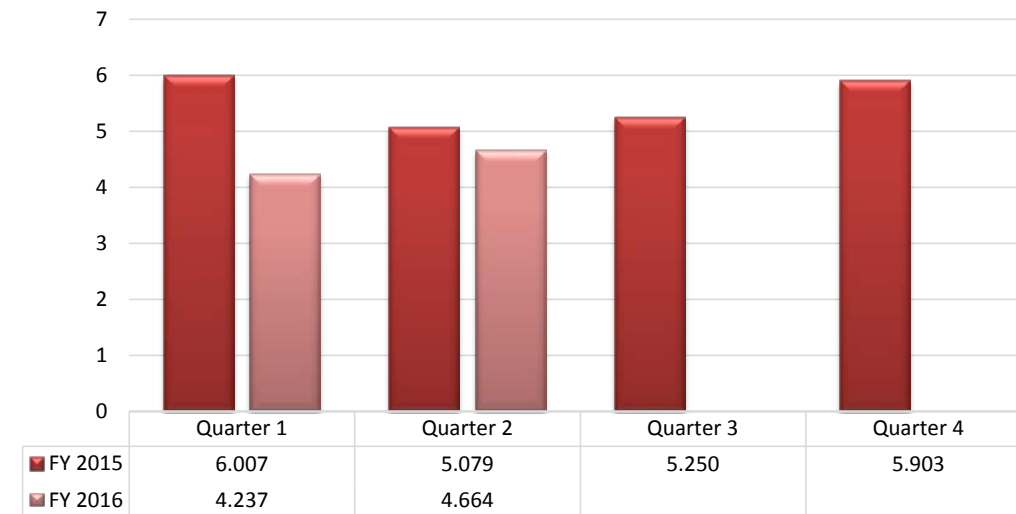
Fiscal Year 2015 vs. Fiscal Year 2016

FIRE CALLS



Source: Fire Department

STREET MILES CLEANED (Thousands)

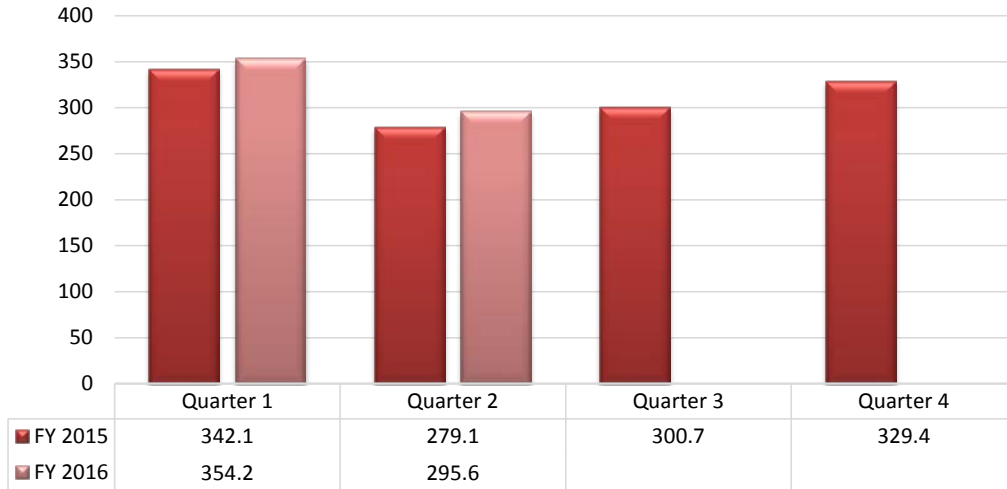


Source: Public Works Department

SIGNIFICANT TRENDS

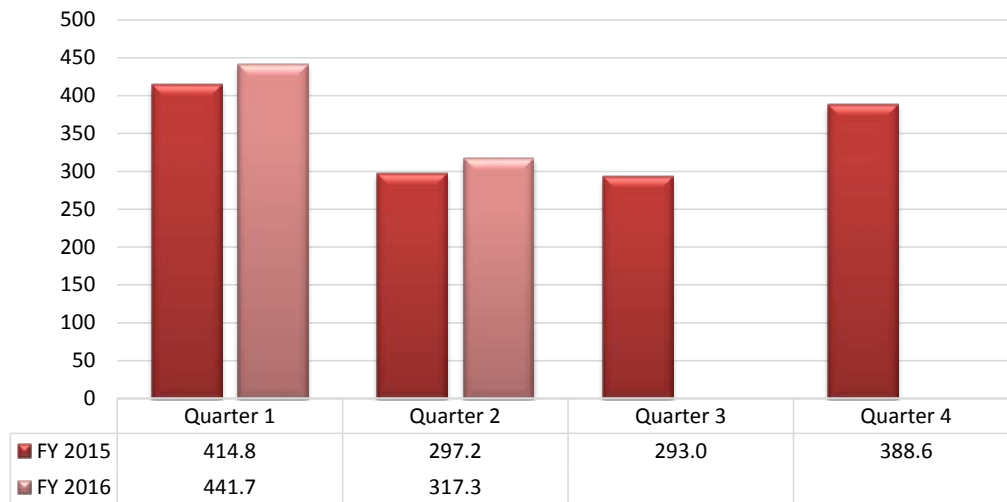
Fiscal Year 2015 vs. Fiscal Year 2016

LIBRARY CIRCULATION (Thousands)



Source: Parks, Recreation & Libraries Department

RECREATION PROGRAMS ATTENDANCE (Thousands)

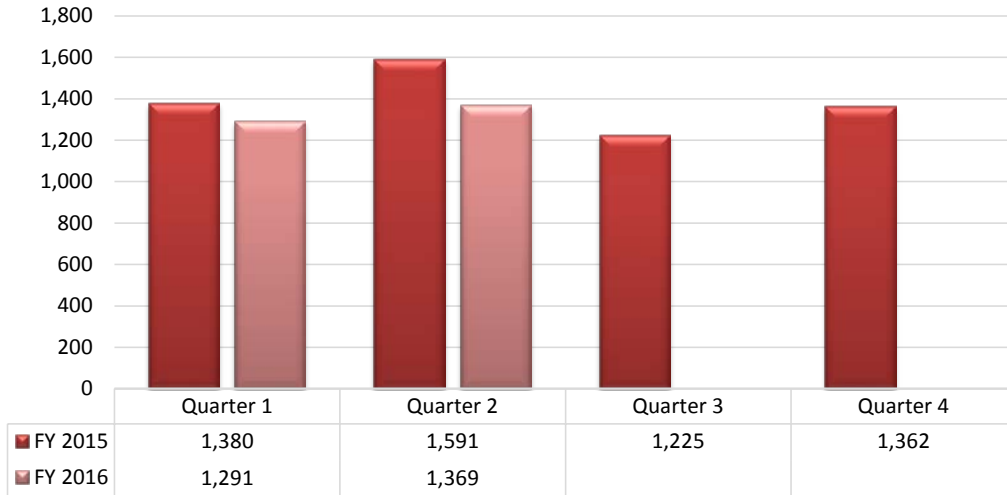


Source: Parks, Recreation & Libraries Department

SIGNIFICANT TRENDS

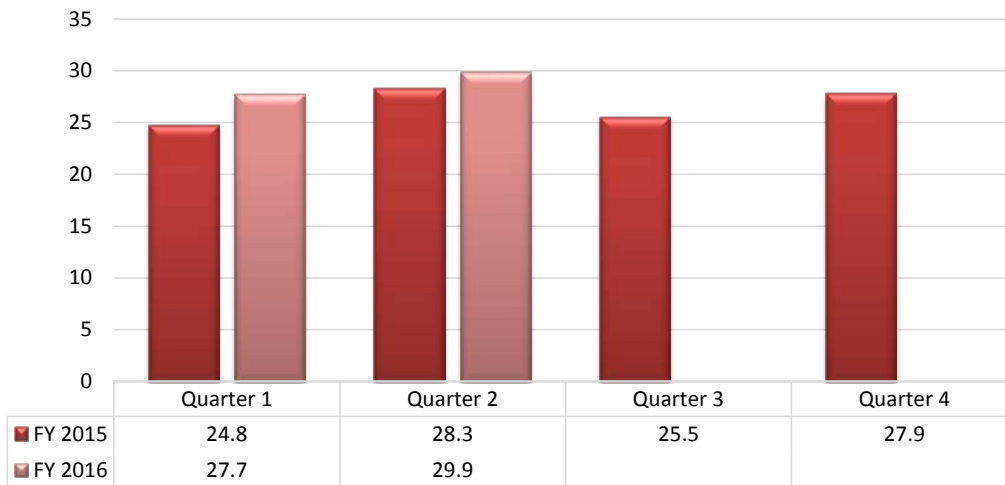
Fiscal Year 2015 vs. Fiscal Year 2016

WASTEWATER TREATMENT (Flow - Millions of Gallons)



Source: Environmental Utilities Department

SOLID WASTE COLLECTION (Thousands of Tons)



Source: Environmental Utilities Department

FUND SUMMARIES

Affordable Housing Fund (290).....	A - 33
Air Quality Mitigation Fund (257).....	A - 34
Animal Control Shelter Fund (215).....	A - 63
Automotive Replacement Fund (502).....	A - 99
Automotive Services Fund (501).....	A - 100
Begin Fund (263).....	A - 35
Bike Trail Maintenance Fund (218).....	A - 36
Building Improvement Fund (301).....	A - 64
Cal/Home Fund (262).....	A - 37
City County Mitigation Fee Fund (254).....	A - 67
City of Roseville Citizen's Benefit Trust Fund (280).....	A - 92
City Wide Park Development Fund - SVSP (265).....	A - 69
City Wide Park Development Fund - WRSP (229).....	A - 70
City Wide Park Development Fund (230).....	A - 68
Community Development Block Grant Fund (260).....	A - 38
Community Facilities Districts - Bond Funds (700).....	A - 98
Community Facilities Districts - Construction Funds (300).....	A - 65
Consolidated Transportation Service Agency Fund (443).....	A - 31
Dental Insurance Fund (03115).....	A - 101
Downtown Parking Fund (212).....	A - 39
Electric CARB Fund (496).....	A - 12
Electric Operations Fund (490).....	A - 9
Electric Rate Stabilization Fund (492).....	A - 10
Electric Rehabilitation Fund (491).....	A - 11
Environmental Utilities Engineering Fund (485).....	A - 19
Fire Facilities Tax Fund (220).....	A - 40
Gas Tax Fund (201).....	A - 41
General CIP Rehabilitation Fund (310).....	A - 66
General Fund (100).....	A - 3
General Fund Contributions by Developers Fund (101).....	A - 6
General Fund Revenue Comparision by Source.....	A - 4
General Liability - Rent Insurance Fund (03119).....	A - 103
General Liability Fund (03112).....	A - 102
General Trust Funds.....	A - 94
Golf Course Improvement Fund (452).....	A - 28
Golf Course Operations Fund (450/451).....	A - 27
Highway Users Tax Fund (252).....	A - 42
Home Improvement Fund (202).....	A - 43
Home Investment Partnership Program Fund (261).....	A - 44
Housing Trust Fund (291).....	A - 45
Landscape & Lighting and Services Districts (200).....	A - 46
Library Fund (205).....	A - 47
Litigation Reserve Fund (150).....	A - 8
Local Transportation Fund (440/441).....	A - 29
Low & Moderate Income Housing Fund (296).....	A - 48
Miscellaneous Special Revenue Fund (299).....	A - 49
Native Oak Tree Propagation Fund (255).....	A - 50
Non-Native Tree Propagation Fund (256).....	A - 51
OPEB Trust Fund (650).....	A - 95
Open Space Maintenance Fund (219).....	A - 52
Park Development - Fiddymment 44 / Walaire Fund (245).....	A - 71
Park Development - HRNSP Fund (239).....	A - 72
Park Development - Infill Fund (231).....	A - 73

FUND SUMMARIES

Park Development - Longmeadow Fund (244).....	A - 74
Park Development - NCRSP Fund (234).....	A - 75
Park Development - NERSP Fund (233).....	A - 76
Park Development - NRSP Fund (237).....	A - 77
Park Development - NRSP II Fund (241).....	A - 78
Park Development - NRSP III Fund (242).....	A - 79
Park Development - NWRSP Fund (235).....	A - 80
Park Development - SERSP Fund (232).....	A - 81
Park Development - SRSP Fund (238).....	A - 82
Park Development - Westbrook Fund (246).....	A - 83
Park Development - Woodcreek East Fund (236).....	A - 84
Park Development - WRSP Fund (243).....	A - 85
Pleasant Grove Drainage Basin Construction Fund (250).....	A - 86
Pooled Unit Park Transfer Fees Fund (240).....	A - 53
Post-Retirement Insurance / Accrual Fund (03118).....	A - 104
Private Purpose Trust Funds.....	A - 96
Project Play Fund (217).....	A - 87
Public Facilities Fund (222).....	A - 88
Reason Farms Revenue Account Fund (251).....	A - 89
Roadway Fund (253).....	A - 54
Roseville Aquatics Complex Maintenance Fund (606).....	A - 93
School-Age Child Care Fund (401).....	A - 32
Section 125 Fund (03117).....	A - 105
Solid Waste Capital Purchase Fund (462).....	A - 24
Solid Waste Operations Fund (460).....	A - 23
Solid Waste Rate Stabilization Fund (464).....	A - 25
Solid Waste Rehabilitation Fund (463).....	A - 26
Storm Water Management Fund (224).....	A - 55
Strategic Improvement Fund (110).....	A - 7
Successor Agency Roseville RDA Fund (670).....	A - 97
Supplemental Law Enforcement Fund (210).....	A - 56
Technology Fee Replacement Fund (216).....	A - 57
Traffic Benefit Fee Fund (214).....	A - 90
Traffic Mitigation Fund (221).....	A - 91
Traffic Safety Fund (206).....	A - 58
Traffic Signal Coordination Fund (226).....	A - 59
Traffic Signal Maintenance Fund (225).....	A - 13
Traffic Signal Rehab Fund (228).....	A - 14
Transit Project Fund (442).....	A - 30
Trench Cut Recovery Fund (208).....	A - 60
Unemployment Insurance Fund (03113).....	A - 106
Utility Exploration Center Fund (227).....	A - 61
Utility Impact Reimbursement Fund (209).....	A - 62
Vision Insurance Fund (03114).....	A - 107
Wastewater Operations Fund (470).....	A - 20
Wastewater Rate Stabilization Fund (475).....	A - 21
Wastewater Rehabilitation Fund (471/474).....	A - 22
Water Construction Fund (481).....	A - 16
Water Operations Fund (480).....	A - 15
Water Rate Stabilization Fund (484).....	A - 17
Water Rehabilitation Fund (482/483).....	A - 18
Workers' Compensation Fund (03111).....	A - 108

GENERAL FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED OPERATING REVENUES				
Taxes	\$ 93,883,225	\$ 14,438,950	\$ 94,857,525	974,300
Licenses and Permits	2,085,800	1,414,981	2,160,800	75,000
Revenue from Use of Money and Property	572,036	321,020	588,316	16,280
Charges for Current Services	13,109,924	4,832,912	13,222,824	112,900
Other Revenue	1,918,164	1,341,369	1,935,086	16,922
State and Federal Grants and Revenues from Other Agencies	775,029	478,425	1,069,097	294,068
Electric Franchise Fees	5,937,021	2,968,511	5,937,021	0
Estimated Operating Transfers In	3,515,388	795,893	4,331,709	816,321
Indirect Cost	13,482,250	7,248,532	13,482,250	0
Prior Year Operating Carryover	0	0	1,167,709	1,167,709
Total Estimated Operating Revenues and Transfers In	135,278,837	33,840,593	138,752,337	3,473,500
LESS ESTIMATED OPERATING EXPENDITURES				
City Council	413,055	270,659	420,242	(7,187)
City Manager	1,077,408	554,515	1,211,183	(133,775)
Development & Operations	781,963	512,463	942,513	(160,550)
Public Affairs & Communications	599,489	356,072	797,410	(197,921)
City Attorney	1,826,878	807,194	1,904,336	(77,458)
Human Resources	1,931,973	997,000	1,967,751	(35,778)
Information Technology	7,168,677	3,816,124	7,928,328	(759,651)
City Clerk	919,304	439,688	969,375	(50,071)
Central Services	4,564,402	2,155,535	4,619,393	(54,991)
Finance	7,331,450	3,304,188	7,359,102	(27,652)
Economic Development & Housing	907,403	662,091	943,620	(36,217)
Development Services	7,922,354	3,717,432	8,226,545	(304,191)
Public Works	6,382,380	3,344,197	6,416,845	(34,465)
Police	33,402,198	17,717,628	33,498,747	(96,549)
Fire	27,024,682	14,461,831	27,751,192	(726,510)
Parks, Recreation & Libraries	20,417,664	10,316,079	20,550,102	(132,438)
Annexation Payments	3,650,000	187,576	4,090,000	(440,000)
Post-Retirement Insurance/Accrual Fund	4,772,461	2,092,190	4,772,461	0
Galleria Lease Payment	567,619	0	567,619	0
City Special Assessments	81,986	1,594	81,986	0
General Fund Contingency - Materials, Services, and Supplies	1,400,000	0	872,888	527,112
Total Estimated Operating Expenditures and Transfers Out	133,143,346	65,714,055	135,891,638	(2,748,292)
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES				
Estimated Capital and Debt Transfers In	1,957,770	965,406	3,816,110	1,858,340
Repayment of Interfund Loans and Loans Received	878,860	0	878,860	0
Developer's Contribution - Pass Through Revenues	2,621,961	1,084,263	4,219,118	1,597,157
Prior Year CIP Carryover	0	0	860,118	860,118
Total Estimated Non-Operating Revenues	5,458,591	2,049,670	9,774,206	4,315,615
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES				
Capital Improvement Projects	1,526,500	699,515	4,450,138	(2,923,638)
Local Transportation Fund Transfer Out	52,000	0	52,000	0
Technology Fee Replacement Fund Transfer Out	0	0	30,400	(30,400)
Storm Water Management Fund Transfer Out	617,409	277,571	617,409	0
General CIP Rehabilitation Fund Transfer Out	1,000,000	500,000	1,000,000	0
RFA Debt Service	1,025,354	513,490	1,381,152	(355,798)
Developer's Contribution - Pass Through Expense	2,621,961	1,585,292	4,219,118	(1,597,157)
Total Estimated Non-Operating Expenditures	6,843,224	3,575,868	11,750,217	(4,906,993)
Increase (Decrease) from Operations	750,858	(33,399,661)	884,688	133,830
ESTIMATED BEGINNING FUND BALANCE*	16,267,115	23,868,748	21,840,921	5,573,806
INCREASE (DECREASE) FROM OPERATIONS	750,858	(33,399,661)	884,688	133,830
ESTIMATED ENDING FUND BALANCE BEFORE RESERVES	17,017,973	(9,530,913)	22,725,608	5,707,636
LESS ECONOMIC RESERVE	(13,314,335)	(6,571,406)	(13,589,164)	(274,829)
LESS RESERVE FOR GENERAL FUND CONTINGENCY - SALARY, WAGES, AND BENEFITS	(3,145,484)	0	(3,145,484)	0
ESTIMATED UNRESTRICTED ENDING FUND BALANCE	\$ 558,154	\$ (16,102,319)	\$ 5,990,960	5,432,806

*Includes Estimated Inventory: \$412,477 (Adopted Budget) and \$278,167 (Actual and Mid Year Budget)

GENERAL FUND REVENUE COMPARISON BY SOURCE

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED OPERATING REVENUES				
TAXES:				
Secured Property Tax	\$ 25,990,000	\$ (842)	\$ 26,990,000	1,000,000
Supplemental Property Tax	500,000	(1)	680,000	180,000
In Lieu of Property Tax	82,200	0	85,000	2,800
Unsecured Property Tax	650,000	593,311	647,000	(3,000)
Public Utility Property Tax	428,500	0	465,000	36,500
Sales and Use Tax	43,574,000	12,033,197	43,246,000	(328,000)
1/2-Cent Sales and Use Tax - Public Safety	1,018,000	245,712	1,032,000	14,000
Property Tax In Lieu of Sales Tax	9,637,000	0	9,309,000	(328,000)
Motor Vehicle In-Lieu	52,000	51,800	52,000	0
Secured Tax ABX1 26-AB1484	300,000	0	150,000	(150,000)
Property Tax In Lieu of VLF	7,900,000	0	8,200,000	300,000
Hotel/Motel Tax	2,551,500	1,021,263	2,551,500	0
Property Transfer Tax	600,000	470,085	850,000	250,000
Business License Tax	600,000	24,424	600,000	0
Miscellaneous	25	0	25	0
Total Estimated Taxes	93,883,225	14,438,950	94,857,525	974,300
LICENSES AND PERMITS:				
Animal Licenses	50,000	29,140	50,000	0
Building Permits	1,600,000	1,002,236	1,675,000	75,000
Fire Permits	350,000	335,347	350,000	0
Other Permits	85,800	48,258	85,800	0
Total Estimated Licenses and Permits	2,085,800	1,414,981	2,160,800	75,000
USE OF MONEY AND PROPERTY:				
Interest on Investments	88,046	49,884	88,046	0
Rental/Lease Revenue	483,990	271,136	500,270	16,280
Total Estimated Use of Money and Property	572,036	321,020	588,316	16,280
CHARGES FOR CURRENT SERVICES:				
Franchise Fees	2,083,200	444,145	2,111,100	27,900
Inspection Fees	55,000	8,196	55,000	0
Plan Check	1,918,000	848,960	1,993,000	75,000
Map Check	10,000	6,025	10,000	0
Planning Fees	300,000	86,095	300,000	0
Engineering Inspections	25,000	11,776	25,000	0
Development Services	7,700	4,957	7,700	0
Development Reimbursement	517,000	261,654	517,000	0
Assessment District and City Admin Fees	1,779,576	121,148	1,789,576	10,000
Utility Billing and Services	1,205,500	520,214	1,205,500	0
Police Services	80,025	32,743	80,025	0
Fire Services	351,624	158,548	351,624	0
Street Services	42,000	70,168	42,000	0
Recreation Programs - Libraries	7,500	3,561	7,500	0
Recreation Programs - Administration	408,395	263,488	408,395	0
Recreation Programs - General Recreation	1,332,057	622,038	1,332,057	0
Recreation Programs - Facilities	2,165,317	968,680	2,165,317	0
Park Maintenance and Use Fees	620,430	307,584	620,430	0
Library Fines and Fees	100,000	37,553	100,000	0
Miscellaneous	101,600	55,378	101,600	0
Total Estimated Charges for Current Services	13,109,924	4,832,912	13,222,824	112,900
OTHER REVENUES:				
Sale of Publications	1,575	121	1,575	0
Sale of Surplus Property	0	7	0	0
Third Party Recoveries	321,550	179,852	321,550	0
Revenues from Other Agencies	728,308	707,171	712,454	(15,854)
DUI Cost Recovery	55,000	14,425	55,000	0
Indirect Cost Recovery	180,000	119,484	200,000	20,000
Donations and Gifts	107,735	23,220	107,735	0
Reimbursement	231,001	157,238	231,001	0
Other	292,995	139,851	305,771	12,776
Total Estimated Other Revenues	1,918,164	1,341,369	1,935,086	16,922

GENERAL FUND REVENUE COMPARISON BY SOURCE

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
REVENUES AND GRANTS FROM OTHER AGENCIES:				
Office of Traffic Safety	5,001	12,318	5,001	0
Board of Corrections Training Program	5,720	0	5,720	0
Other Police Grants	133,001	133,606	328,291	195,290
Other State Grants	311,185	211,910	376,185	65,000
Other Fed Grants	56,002	106,549	89,780	33,778
Fire Reimbursements	4,120	330	4,120	0
POST Reimbursement	10,000	13,713	10,000	0
State Homeowners Tax Relief	250,000	0	250,000	0
Total Estimated Revenues and Grants from Other Agencies	775,029	478,425	1,069,097	294,068
ELECTRIC FRANCHISE FEES:	5,937,021	2,968,511	5,937,021	0
OPERATING TRANSFERS IN:				
Utility Impact Reimbursement Franchise Fees	1,200,000	600,000	1,200,000	0
Supplemental Law Enforcement Fund	100,000	0	100,000	0
Public Facilities Fund	0	0	355,798	355,798
Traffic Safety Fund	195,000	95,021	195,000	0
Redevelopment Agency Fund	4,002	4,002	4,002	0
Wastewater Operations Fund	0	96,870	96,870	96,870
Municipal Services District Fund	2,016,386	0	2,380,000	363,614
Miscellaneous Special Revenue Funds	0	0	39	39
Total Estimated Operating Transfers In	3,515,388	795,893	4,331,709	816,321
INDIRECT COST:	13,482,250	7,248,532	13,482,250	0
PRIOR YEAR OPERATING CARRYOVER:	0	0	1,167,709	1,167,709
Total Estimated Operating Revenues and Transfers In	135,278,837	33,840,593	138,752,337	3,473,500
ESTIMATED NON-OPERATING REVENUES				
CAPITAL, DEBT, AND NON-RECURRING REVENUES:				
Gas Tax Fund	0	1,915	7,914	7,914
Library Fund	0	0	0	0
Highway Users Tax Fund	26,351	10,600	43,795	17,444
FEMA Fund	0	0	0	0
Utility Impact Reimbursement Fund	60,000	26,834	110,855	50,855
Traffic Mitigation Fund	0	0	0	0
Traffic Signal Maintenance Fund	0	9,647	39,846	39,846
Solid Waste Operations Fund	21,060	11,142	80,114	59,054
Solid Waste Operations Fund - RFA Payment	145,353	74,275	145,353	0
Wastewater Operations Fund	66,985	41,103	169,786	102,801
Wastewater Operations Fund - RFA Payment	39,285	19,672	39,285	0
Wastewater Rehabilitation Fund	66,985	57,961	239,423	172,438
Water Operations Fund	0	6,354	26,242	26,242
Water Operations Fund - RFA Payment	362,204	181,390	362,204	0
Water Construction Fund	145,088	90,004	371,803	226,715
Electric Operations Fund	278,470	179,516	741,550	463,080
Electric Operations Fund - RFA Payment	395,989	198,310	395,989	0
General CIP Rehabilitation Fund	190,000	50,313	855,638	665,638
Automotive Services Fund	0	3,542	14,632	14,632
Automotive Replacement Fund	0	2,827	11,681	11,681
General Liability Insurance Fund	160,000	0	160,000	0
Total Estimated Capital and Debt Transfers In	1,957,770	965,406	3,816,110	1,858,340
Repayment of Interfund Loans and Loans Received	878,860	0	878,860	0
Developer's Contribution - Pass Through Revenues	2,621,961	1,084,263	4,219,118	1,597,157
Prior Year CIP Carryover	0	0	860,118	860,118
Total Estimated Non-Recurring Revenues	2,621,961	1,084,263	5,079,236	2,457,275
Total Estimated Non-Operating Revenues	5,458,591	2,049,670	9,774,206	4,315,615
TOTAL ESTIMATED GENERAL FUND REVENUES	\$ 140,737,428	\$ 35,890,262	\$ 148,526,543	7,789,115

GENERAL FUND CONTRIBUTIONS BY DEVELOPERS FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 697,400	\$ 685,921	\$ 685,921	(11,479)
ESTIMATED REVENUES				
Non-Construction Contribution by Developer	400,000	103,880	400,000	0
Interest	<u>1,636</u>	<u>2,320</u>	<u>1,636</u>	0
Total Estimated Revenues	401,636	106,200	401,636	0
Total Estimated Available for Appropriation	1,099,036	792,120	1,087,557	(11,479)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,099,036</u>	<u>\$ 792,120</u>	<u>\$ 1,087,557</u>	(11,479)

STRATEGIC IMPROVEMENT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 9,113,685	\$ 16,359,236	\$ 16,359,236	7,245,551
ESTIMATED REVENUES				
Community Benefit Fees	500,000	178,240	600,000	100,000
Interest	95,957	57,260	95,957	0
Total Estimated Revenues	595,957	235,500	695,957	100,000
ESTIMATED TRANSFERS IN				
Animal Control Shelter Fund	0	892,752	988,785	988,785
North Central Roseville CFD #1	0	0	1,600,000	1,600,000
Total Estimated Transfers In	0	892,752	2,588,785	2,588,785
Total Estimated Revenues and Transfers In	595,957	1,128,252	3,284,742	2,688,785
Total Estimated Available for Appropriation	9,709,642	17,487,488	19,643,978	9,934,336
LESS ESTIMATED CAPITAL EXPENDITURES				
Strategic Improvements	5,000	0	57,386	(52,386)
Regional Animal Control Facility	0	1,601,697	7,642,785	(7,642,785)
Total Estimated Capital Expenditures	5,000	1,601,697	7,700,171	(7,695,171)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	8,894	8,894	8,894	0
Total Estimated Transfers Out	8,894	8,894	8,894	0
INTERFUND LOAN TO PUBLIC FACILITIES FUND	0	0	4,000,000	(4,000,000)
Total Estimated Expenditures and Transfers Out	13,894	1,610,591	11,709,065	(11,695,171)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 9,695,748</u>	<u>\$ 15,876,897</u>	<u>\$ 7,934,913</u>	(1,760,835)

LITIGATION RESERVE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 250,000	\$ 250,095	\$ 250,095	95
ESTIMATED REVENUES				
Interest	<u>0</u>	<u>947</u>	<u>0</u>	0
Total Estimated Revenues	0	947	0	0
Total Estimated Available for Appropriation	250,000	251,042	250,095	95
LESS ESTIMATED EXPENDITURES				
Litigation Reserve	<u>250,000</u>	<u>0</u>	<u>250,000</u>	0
Total Estimated Expenditures	250,000	0	250,000	0
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 0</u></u>	<u><u>\$ 251,042</u></u>	<u><u>\$ 95</u></u>	95

ELECTRIC OPERATIONS FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED OPERATING REVENUES				
Utility Sales	\$ 158,980,000	\$ 77,536,195	\$ 158,980,000	0
Retail Services and Public Benefits	4,600,000	2,607,866	4,600,000	0
Uncollectible Accounts	(400,000)	(214,918)	(400,000)	0
Electric Service Charge - Reconnect	20,000	11,750	20,000	0
Interest	122,000	117,267	122,000	0
Reimbursement	40,000	116,718	40,000	0
Other Revenue	100,000	206,168	100,000	0
Recovery of Indirect Cost	250,000	148,787	250,000	0
Prior Year Operating Carryover	0	0	2,471,942	2,471,942
Total Estimated Operating Revenues and Transfers In	163,712,000	80,529,831	166,183,942	2,471,942
LESS ESTIMATED OPERATING EXPENDITURES				
Power Supply	74,056,925	34,778,005	74,239,624	(182,699)
Electric Power Plant	11,577,916	4,695,430	11,752,309	(174,393)
Electric Administration	3,699,213	1,366,813	3,966,867	(267,654)
Electric, Regulatory, and Legislative	1,703,241	634,916	1,776,826	(73,585)
Electric Engineering	2,761,576	1,110,976	2,867,408	(105,832)
Construction and Maintenance	12,606,190	5,785,632	13,062,591	(456,401)
Street Light Maintenance	381,500	152,976	381,500	0
Retail Services and Public Benefits	5,869,847	2,306,553	7,574,032	(1,704,185)
Operating Transfer to Traffic Signal Maintenance Fund	2,138,935	1,069,468	2,138,935	0
Utility Exploration Center Fund	318,716	145,525	339,154	(20,438)
Post-Retirement Insurance/Accrual Fund	765,679	405,237	765,679	0
Franchise Fee Transfer	5,937,021	2,968,511	5,937,021	0
Rent Payment	395,989	198,310	395,989	0
Indirect Cost	4,743,084	2,371,542	4,743,084	0
Total Estimated Operating Expenditures and Transfers Out	126,955,832	57,989,893	129,941,018	(2,985,186)
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES				
Contribution in Aid of Construction	3,500,000	798,407	3,500,000	0
Electric Backbone Fee	1,000,000	456,995	1,000,000	0
Prior Year CIP Carryover	0	0	10,883,819	10,883,819
Total Estimated Non-Operating Revenues	4,500,000	1,255,403	15,383,819	10,883,819
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES				
Total Capital Improvement Projects	7,337,000	2,352,055	17,659,608	(10,322,608)
Debt Service	16,516,775	2,963,042	16,516,775	0
Electric Rehabilitation Fund	5,943,149	3,025,580	5,943,149	0
Traffic Signal Rehabilitation Fund	360,000	180,000	360,000	0
Electric Rate Stabilization Fund	7,000,000	3,500,000	7,000,000	0
General Fund - EAM	278,470	179,516	741,550	(463,080)
Utility Exploration Center Fund	50,000	(14,431)	285,630	(235,630)
Community Development Block Grant Fund	0	12,500	12,500	(12,500)
Total Estimated Non-Operating Expenditures	37,485,394	12,198,263	48,519,212	(11,033,818)
Increase (Decrease) from Operations	3,770,774	11,597,078	3,107,531	(663,243)
ESTIMATED BEGINNING FUND BALANCE	13,547,027	38,707,782	25,352,021	11,804,994
ESTIMATED INVENTORY	8,721,057	8,830,545	8,830,545	109,488
INCREASE (DECREASE) FROM OPERATIONS	3,770,774	11,597,078	3,107,531	(663,243)
ESTIMATED UNRESTRICTED ENDING FUND BALANCE	\$ 26,038,858	\$ 59,135,406	\$ 37,290,098	\$ 11,251,240

ELECTRIC RATE STABILIZATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 50,685,951	\$ 50,767,779	\$ 50,767,779	81,828
ESTIMATED REVENUES				
Interest	<u>296,885</u>	<u>199,053</u>	<u>296,885</u>	0
Total Estimated Revenues	296,885	199,053	296,885	0
EQUITY TRANSFER IN				
Electric Operations Fund	<u>7,000,000</u>	<u>3,500,000</u>	<u>7,000,000</u>	0
Total Transfers In	7,000,000	3,500,000	7,000,000	0
Total Estimated Revenues and Transfers In	7,296,885	3,699,053	7,296,885	0
Total Estimated Available for Appropriation	57,982,836	54,466,833	58,064,664	81,828
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>29,606</u>	<u>29,606</u>	<u>29,606</u>	0
Total Estimated Transfers Out	29,606	29,606	29,606	0
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 57,953,230</u></u>	<u><u>\$ 54,437,227</u></u>	<u><u>\$ 58,035,058</u></u>	81,828

ELECTRIC REHABILITATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,886,006	\$ 5,738,989	\$ 5,738,989	2,852,983
ESTIMATED REVENUES				
Interest	42,090	27,281	42,090	0
Electric Operations Fund	5,943,149	3,025,580	5,943,149	0
Total Estimated Revenues	5,985,239	3,052,861	5,985,239	0
Total Estimated Available for Appropriation	8,871,245	8,791,851	11,724,228	2,852,983
LESS ESTIMATED CAPITAL EXPENDITURES				
Electric Rehab Failure Replacement	310,000	149,478	499,481	(189,481)
Rehab Substation Battery Replacement	0	1,095	194,209	(194,209)
Electric Rehab Relay Replacement	100,000	163,205	486,737	(386,737)
Cable Replacement Rehab	250,000	155,626	626,568	(376,568)
Berry Street Circuit BR Replacement Rehab	180,000	53,022	327,883	(147,883)
Electric Rehab Scada/RTU Replacement	0	0	48,961	(48,961)
60KV Restraining	0	0	123,238	(123,238)
Douglas Substn Rehab/Network	930,000	414,821	1,691,138	(761,138)
Rehab Failure Repl-Contrl Sys	0	156,386	590,540	(590,540)
Rehab Communications Equip	50,000	0	100,000	(50,000)
Fiddymont DFR Replacement	230,000	0	230,000	0
Roseville Power Plant 2 Rehab	300,000	0	300,000	0
Facilities Rehab Project	100,000	3,328	100,000	0
Total Estimated Capital Expenditures	2,450,000	1,096,960	5,318,755	(2,868,755)
LESS ESTIMATED TRANSFERS OUT				
General CIP Rehabilitation Fund	203,044	9,268	219,040	(15,996)
Indirect Cost	15,907	15,907	15,907	0
Total Estimated Transfers Out	218,951	25,175	234,947	(15,996)
Total Estimated Expenditures and Transfers Out	2,668,951	1,122,136	5,553,702	(2,884,751)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 6,202,294</u>	<u>\$ 7,669,715</u>	<u>\$ 6,170,526</u>	(31,768)

ELECTRIC CARB FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,802,175	\$ 4,678,187	\$ 4,678,187	2,876,012
ESTIMATED REVENUES				
Interest	18,671	17,998	18,671	0
GHG Auction Proceeds	<u>0</u>	<u>1,174,668</u>	<u>0</u>	0
Total Estimated Revenues	18,671	1,192,666	18,671	0
Total Estimated Available for Appropriation	1,820,846	5,870,853	4,696,858	2,876,012
LESS ESTIMATED EXPENDITURES				
Program Rebates/Other Costs	<u>0</u>	<u>269,670</u>	<u>666,878</u>	(666,878)
Total Estimated Expenditures	0	269,670	666,878	(666,878)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,820,846</u>	<u>\$ 5,601,182</u>	<u>\$ 4,029,980</u>	2,209,134

TRAFFIC SIGNAL MAINTENANCE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 397,985	\$ 633,925	\$ 633,925	235,940
ESTIMATED INVENTORY	\$ 599,790	\$ 643,998	\$ 643,998	44,208
ESTIMATED REVENUES				
Interest	4,882	3,070	4,882	0
Plan Check Fee	5,000	497	5,000	0
Development Reimbursement	0	6,488	0	0
Other Revenues	0	12,303	0	0
Total Estimated Revenues	9,882	22,358	9,882	0
ESTIMATED TRANSFERS IN				
Electric Operations Fund - Operations	2,138,935	1,069,468	2,138,935	0
Total Estimated Transfers In	2,138,935	1,069,468	2,138,935	0
Total Estimated Revenues and Transfers In	2,148,817	1,091,826	2,148,817	0
Total Estimated Available for Appropriation	3,146,592	2,369,748	3,426,740	280,148
LESS ESTIMATED EXPENDITURES				
Traffic Signals	1,741,299	934,162	1,796,998	(55,699)
Total Estimated Expenditures	1,741,299	934,162	1,796,998	(55,699)
LESS ESTIMATED CAPITAL EXPENDITURES				
Traffic Signal Maintenance/Upgrades	377,908	97,532	377,908	0
Total Estimated Capital Expenditures	2,119,207	97,532	2,174,906	(55,699)
LESS ESTIMATED TRANSFERS OUT				
Post-Retirement Insurance/Accrual Fund	15,407	5,322	15,407	0
General Fund	0	9,647	39,846	(39,846)
Indirect Cost	170,232	85,116	170,232	0
Total Estimated Transfers Out	185,639	100,086	225,485	(39,846)
Total Estimated Expenditures and Transfers Out	2,304,846	1,131,780	2,400,391	(95,545)
ESTIMATED AVAILABLE RESOURCES AND INVENTORY	<u>\$ 841,746</u>	<u>\$ 1,237,968</u>	<u>\$ 1,026,349</u>	184,603

TRAFFIC SIGNAL REHABILITATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0	0
ESTIMATED REVENUES				
Interest	0	353	0	0
Total Estimated Revenues	0	353	0	0
ESTIMATED TRANSFERS IN				
Electric Operations Fund	360,000	180,000	360,000	0
Total Estimated Transfers In	360,000	180,000	360,000	0
Total Estimated Available for Appropriation	360,000	180,353	360,000	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 360,000</u>	<u>\$ 180,353</u>	<u>\$ 360,000</u>	0

WATER OPERATIONS FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED OPERATING REVENUES				
Water Sales and Services	\$ 20,940,000	\$ 9,690,235	\$ 20,940,000	0
Plan Check/Inspection Fees	3,000	6,082	3,000	0
Interest	70,687	50,140	70,687	0
Reimbursements	0	968	0	0
Recovery of Indirect Cost	0	457	0	0
Other Revenue	7,500	56,389	7,500	0
Wastewater Operations Fund	31,853	8,987	64,923	33,070
Solid Waste Fund	31,853	8,987	64,923	33,070
EU Engineering Fund	0	0	6,273	6,273
Indirect Cost (from EU Engineering Fund)	211,238	90,450	211,238	0
Indirect Cost (from Wastewater and Solid Waste Operations)	1,267,430	542,683	1,267,430	0
Prior Year Operating Carryover	0	0	388,441	388,441
Total Estimated Operating Revenues and Transfers In	22,563,561	10,455,378	23,024,415	460,854
LESS ESTIMATED OPERATING EXPENDITURES				
Administration	1,706,974	717,704	1,721,213	(14,239)
Asset Management	405,409	158,442	408,617	(3,208)
Water Treatment and Storage	3,203,922	1,204,385	3,375,260	(171,338)
Purchased Water	1,834,829	872,046	1,834,829	0
Water Administration	1,128,436	438,213	1,151,908	(23,472)
Water Distribution	5,783,914	2,616,222	5,804,185	(20,271)
Water Efficiency	2,164,127	662,409	2,211,040	(46,913)
EU Outreach	259,960	56,333	361,780	(101,820)
Operating Transfer to General Fund	0	96,870	96,870	(96,870)
Utility Exploration Center Fund - Operations	106,239	49,548	113,051	(6,812)
Utility Exploration Center Fund - Program Tours	6,000	0	6,000	0
Water Rate Stabilization Fund	1,150,000	575,000	1,150,000	0
Water Rehabilitation Fund	2,500,000	1,400,000	2,500,000	0
Water Rehabilitation Fund - General Fund CIP Rehab	98,796	0	98,796	0
Utility Impact Reimbursement Fund	754,503	377,252	754,503	0
Rent Payment	362,204	181,390	362,204	0
Post-Retirement Insurance/Accrual Fund	301,191	176,171	301,191	0
Indirect Cost - Environmental Utilities Engineering	1,076,587	538,294	1,076,587	0
Indirect Cost	2,449,361	1,224,681	2,449,361	0
Total Estimated Operating Expenditures and Transfers Out	25,292,452	11,344,958	25,777,396	(484,944)
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES				
Installation Tap	85,000	45,252	85,000	0
Backflow Device Repair and Test	35,000	17,373	35,000	0
New Water Meter Installation	298,307	98,067	298,307	0
Prior Year CIP Carryover	0	0	1,400,090	1,400,090
Total Estimated Non-Operating Revenues	418,307	160,692	1,818,397	1,400,090
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES				
Capital Improvement Projects	200,000	143,546	200,000	0
General Fund - CIP Contribution	0	6,354	26,242	(26,242)
Utility Exploration Center Fund	16,667	14,008	122,936	(106,269)
Water Technology Replacement	250,000	98,796	250,000	0
Solid Waste Operations Fund	0	0	705,646	(705,646)
Wastewater Operations Fund	1,000,000	240,869	1,488,442	(488,442)
Water Construction Fund	133,350	82,575	133,350	0
Total Estimated Non-Operating Expenditures	1,600,017	586,148	2,926,616	(1,326,599)
Increase (Decrease) from Operations	(3,910,601)	(1,315,036)	(3,861,200)	49,401
ESTIMATED BEGINNING FUND BALANCE	9,917,879	16,202,295	14,413,764	4,495,885
ESTIMATED INVENTORY	328,893	449,790	449,790	120,897
INCREASE (DECREASE) FROM OPERATIONS	(3,910,601)	(1,315,036)	(3,861,200)	49,401
ESTIMATED ENDING FUND BALANCE BEFORE RESERVES	6,336,171	15,337,049	11,002,354	4,666,183
LESS ECONOMIC RESERVE	(2,529,200)	(1,134,500)	(2,577,700)	48,500
ESTIMATED UNRESTRICTED ENDING FUND BALANCE	\$ 3,806,971	\$ 14,202,549	\$ 8,424,654	4,617,683

WATER CONSTRUCTION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 24,214,128	\$ 33,459,986	\$ 33,459,986	9,245,858
ESTIMATED REVENUES				
Interest	212,152	108,054	212,152	0
Interfund Loan Interest Repayment from Water Rehabilitation Fund	47,940	47,940	47,940	0
Water Connection Fees	6,549,840	1,293,903	6,549,840	0
Water Construction Reimbursement	0	21,912	0	0
Revenue from Other Agencies	150,000	0	628,451	478,451
Water Operations Fund	133,350	82,575	133,350	0
Total Estimated Revenues	7,093,282	1,554,384	7,571,733	478,451
INTERFUND LOAN PRINCIPAL REPAYMENT FROM WATER REHABILITATION FUND	237,340	237,340	237,340	0
Total Estimated Available for Appropriation	31,544,750	35,251,710	41,269,059	9,724,309
LESS ESTIMATED CAPITAL EXPENDITURES				
Debt Service	4,219,738	2,932,574	4,219,738	0
Aquifer Storage/Recovery Program	0	0	440,067	(440,067)
Water Treatment Plant Expansion #3	0	0	99,377	(99,377)
Woodcreek North Well	0	10,224	693,033	(693,033)
Groundwater Management Plan	225,000	24,225	659,270	(434,270)
Westside Tank/Pump Station Project	6,500,000	39,333	13,444,174	(6,944,174)
Process Control Standards	0	6,325	62,554	(62,554)
Regional/PCW Water Model Development	0	0	142,939	(142,939)
Integr Reg Wtr Mgmt Prop 84 Well	0	4,770	332,805	(332,805)
Connection Fee Analysis	0	0	25,501	(25,501)
Cook Riolo RD Bridge 24IN Pipe	0	16,993	194,652	(194,652)
Intertie-ZN PMP Back PMP Station	0	421,157	715,788	(715,788)
Regional Water Supply Reliability Station	500,000	24,089	700,369	(200,369)
Westbrook Monitoring Well	0	0	125,935	(125,935)
Total Estimated Capital Expenditures	11,444,738	3,479,691	21,856,202	(10,411,464)
LESS ESTIMATED TRANSFERS OUT				
General Fund	145,088	90,004	371,803	(226,715)
Solid Waste Operations Fund - CIP Contribution	0	6,183	0	0
Wastewater Rehabilitation Fund	33,000	487	33,000	0
Indirect Cost	45,750	45,750	45,750	0
Total Estimated Transfers Out	223,838	142,424	450,553	(226,715)
Total Estimated Expenditures and Transfers Out	11,668,576	3,622,115	22,306,755	(10,638,179)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 19,876,174</u>	<u>\$ 31,629,596</u>	<u>\$ 18,962,304</u>	(913,870)

WATER RATE STABILIZATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,918,640	\$ 2,932,621	\$ 2,932,621	13,981
ESTIMATED REVENUES				
Interest	<u>17,008</u>	<u>12,233</u>	<u>17,008</u>	0
Total Estimated Revenues	17,008	12,233	17,008	0
ESTIMATED TRANSFERS IN				
Water Operations Fund	<u>1,150,000</u>	<u>575,000</u>	<u>1,150,000</u>	0
Total Estimated Transfers In	1,150,000	575,000	1,150,000	0
Total Estimated Revenues and Transfers In	1,167,008	587,233	1,167,008	0
Total Estimated Available for Appropriation	4,085,648	3,519,854	4,099,629	13,981
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>733</u>	<u>733</u>	<u>733</u>	0
Total Estimated Transfers Out	733	733	733	0
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 4,084,915</u></u>	<u><u>\$ 3,519,121</u></u>	<u><u>\$ 4,098,896</u></u>	13,981

WATER REHABILITATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 5,070,580	\$ 6,852,487	\$ 6,852,487	1,781,907
ESTIMATED REVENUES				
Water Meter Installation	30,000	22,589	30,000	0
Interest	50,251	28,208	50,251	0
Reimbursement	0	10,040	0	0
Miscellaneous Income	0	7	0	0
Total Estimated Revenues	80,251	60,844	80,251	0
ESTIMATED TRANSFERS IN				
Wastewater Rehabilitation Fund	100,000	0	199,381	99,381
Water Technology Replacement	250,000	150,000	250,000	0
EU Engineering Technology Replacement	25,000	25,000	25,000	0
Water Operations Fund	2,598,796	1,348,796	2,598,796	0
Total Estimated Transfers In	2,973,796	1,523,796	3,073,177	99,381
Total Estimated Revenues and Transfers In	3,054,047	1,584,640	3,153,428	99,381
Total Estimated Available for Appropriation	8,124,627	8,437,127	10,005,915	1,881,288
LESS ESTIMATED CAPITAL EXPENDITURES				
Interfund Loan Interest Payment to Water Construction Fund	47,940	47,940	47,940	0
Atlantic Street 22-inch Water Rehabilitation	0	573,828	658,388	(658,388)
WTP Filtered Water Channel CLRWL	500,000	0	718,000	(218,000)
Sunrise Ave-I80 21 In Wtr Cond	0	0	150,000	(150,000)
ARC Flash Mitigation-Remote	225,000	0	448,608	(223,608)
Altantic Street 6/12 Intersection RR Crossing	300,000	0	300,000	0
Water Facilities Condition Assessment	300,000	0	300,000	0
Water Utilities Rehabilitation Program Support	75,000	0	0	75,000
Regional Water Master Plan	0	0	145,000	(145,000)
Meter Replacement	150,000	77,001	150,000	0
Water Meter Retrofit - MFD	180,000	0	180,000	0
Water Technology Replacement	50,000	0	50,000	0
Water EU Engineering Technology Replacement	25,000	358	25,000	0
Total Estimated Capital Expenditures	1,852,940	699,127	3,172,936	(1,319,996)
LESS ESTIMATED TRANSFERS OUT				
General CIP Rehabilitation Fund	114,179	111,709	203,076	(88,897)
Post-Retirement Payoffs	15,407	7,514	15,407	0
Indirect Cost	20,880	20,880	20,880	0
Total Estimated Transfers Out	150,466	140,103	239,363	(88,897)
Total Estimated Expenditures and Transfers Out	2,003,406	839,230	3,412,299	(1,408,893)
INTERFUND LOAN PRINCIPAL PAYMENT TO WATER CONSTRUCTION FUND	237,340	237,340	237,340	0
ESTIMATED AVAILABLE RESOURCES AND INVENTORY	\$ 5,883,881	\$ 7,360,558	\$ 6,356,276	472,395

ENVIRONMENTAL UTILITIES ENGINEERING FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ (46,870)	\$ 12,142	\$ 12,142	59,012
ESTIMATED REVENUES				
Interest	1,000	1,053	1,000	0
Plan Check and Inspection Fees	234,116	50,014	234,116	0
Recovery of Indirect Cost	143,778	43,519	143,778	0
Miscellaneous Revenue	275,642	104,385	275,642	0
Total Estimated Revenues	654,536	198,971	654,536	0
ESTIMATED TRANSFERS IN				
Solid Waste Operations Fund	140,660	70,330	140,660	0
Wastewater Operations Fund	863,517	431,759	863,517	0
Water Operations Fund	1,076,587	538,294	1,076,587	0
Total Estimated Transfers In	2,080,764	1,040,382	2,080,764	0
Total Estimated Revenues and Transfers In	2,735,300	1,239,353	2,735,300	0
Total Estimated Available for Appropriation	2,688,430	1,251,496	2,747,442	59,012
LESS ESTIMATED EXPENDITURES				
Environmental Utilities Engineering	2,165,677	954,459	2,202,444	(36,767)
Total Estimated Expenditures	2,165,677	954,459	2,202,444	(36,767)
LESS ESTIMATED TRANSFERS OUT				
Post-Retirement Payoffs	23,131	11,287	23,131	0
Water Rehabilitation Technology Replacement	25,000	25,000	25,000	0
Water Operations Fund	0	0	6,273	(6,273)
Indirect Cost	263,384	131,692	263,384	0
Indirect Cost - EU Asset Management	40,540	15,844	40,540	0
Indirect Cost - EU Admin	170,698	74,605	170,698	0
Total Estimated Transfers Out	522,753	258,429	529,026	(6,273)
Total Estimated Expenditures and Transfers Out	2,688,430	1,212,888	2,731,470	(43,040)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 38,608</u>	<u>\$ 15,972</u>	15,972

This fund supports the three Environmental Utilities funds (Water, Wastewater, and Solid Waste) and is intended to have a zero fund balance at the end of each fiscal year. When unanticipated expenses or transfers occur at year end, adjustments are made in the following fiscal year.

WASTEWATER OPERATIONS FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED OPERATING REVENUES				
Rental Revenue	\$ 0	\$ 24,962	\$ 0	0
Inspection and Plan Check Fees	60,000	57,107	60,000	0
Industrial Wastewater Treatment Charges	170,000	88,303	170,000	0
Reimbursed Wastewater Operating Costs	6,638,317	(447,191)	6,638,317	0
Wastewater Services	24,327,000	11,215,551	24,327,000	0
Recycled Water Sales	525,000	426,355	525,000	0
Wastewater Rehabilitation Fund - Operations	1,216,947	353,354	1,216,947	0
Interest	77,447	59,062	77,447	0
Miscellaneous	7,500	41,907	7,500	0
Prior Year Operating Carryover	0	0	447,106	447,106
Total Estimated Operating Revenues and Tranfers In	33,022,211	11,819,408	33,469,317	447,106
LESS ESTIMATED OPERATING EXPENDITURES				
Wastewater Administration	785,496	322,237	795,379	(9,883)
Dry Creek WWTP	7,001,493	2,708,245	7,207,396	(205,903)
EU Maintenance	1,692,636	356,873	1,698,424	(5,788)
Industrial Treatment	386,487	163,235	386,487	0
Environmental Treatment Lab	678,023	168,395	688,901	(10,878)
Pleasant Grove WWTP	6,500,777	2,773,504	6,693,849	(193,072)
Wastewater Collection	4,966,277	2,430,574	4,970,742	(4,465)
Recycled Water	583,742	184,937	621,585	(37,843)
EU Outreach	42,500	13,829	70,856	(28,356)
Operating Transfers to Water Operations Fund	0	8,987	18,819	(18,819)
Utility Exploration Center Fund - Operations	106,239	48,509	113,052	(6,813)
Utility Exploration Center Fund - Program Tours	6,000	1,038	6,000	0
Post-Retirement Insurance/Accrual Fund	464,596	233,714	464,596	0
Wastewater Rate Stabilization Fund	650,000	0	650,000	0
Wastewater Rehabilitation Fund	6,500,000	3,725,000	6,500,000	0
Wastewater Rehabilitation Fund - General Fund CIP Rehab	21,357	21,357	311,320	(289,963)
Utility Impact Reimbursement Fund	686,545	343,273	686,545	0
Rent Payment	39,285	19,672	39,285	0
Indirect Cost	2,083,208	1,041,604	2,083,208	0
Indirect Cost - EU Asset Management	121,623	47,533	121,623	0
Indirect Cost - Environmental Utilities	512,092	223,809	512,092	0
Indirect Cost - Environmental Utilities Engineering	863,517	431,759	863,517	0
Total Estimated Operating Expenditures and Transfers Out	34,691,893	15,268,084	35,503,676	(811,783)
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES				
Installation Tap	45,000	32,907	45,000	0
Solid Waste Operations Fund	1,000,000	240,849	1,488,702	488,702
Water Operations Fund	1,000,000	240,869	1,488,452	488,452
Wastewater Rehabilitation Fund - Capital	0	639	73,319	73,319
Prior Year CIP Carryover	0	0	590,281	590,281
Total Estimated Non-Operating Revenues and Transfers In	2,045,000	515,264	3,685,754	1,640,754
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES				
Capital Improvement Projects	3,000,000	762,440	4,578,647	(1,578,647)
General Fund - CIP Contribution	66,985	41,103	169,786	(102,801)
Solid Waste Operations Fund	0	0	705,646	(705,646)
Wastewater Technology Replacement	250,000	0	250,000	0
Water Operations Fund	31,853	0	46,104	(14,251)
Utility Exploration Center Fund	16,667	14,008	122,936	(106,269)
Total Estimated Non-Operating Expenditures and Transfers Out	3,365,505	817,550	5,873,119	(2,507,614)
Increase (Decrease) from Operations	(2,990,187)	(3,750,962)	(4,221,724)	(1,231,537)
ESTIMATED BEGINNING FUND BALANCE	14,409,789	18,400,850	17,363,463	2,953,674
ESTIMATED INVENTORY	55,700	4,695	4,695	(51,005)
INCREASE (DECREASE) FROM OPERATIONS	(2,990,187)	(3,750,962)	(4,221,724)	(1,231,537)
ESTIMATED ENDING FUND BALANCE BEFORE RESERVES	11,475,302	14,654,583	13,146,433	1,671,131
LESS ECONOMIC RESERVE	(3,469,200)	(1,526,800)	(3,550,400)	(81,200)
ESTIMATED UNRESTRICTED ENDING FUND BALANCE	\$ 8,006,102	\$ 13,127,783	\$ 9,596,033	\$ 1,589,931

WASTEWATER RATE STABILIZATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,538,586	\$ 2,546,620	\$ 2,546,620	8,034
ESTIMATED REVENUES				
Interest	14,003	10,278	14,003	0
Total Estimated Revenues	14,003	10,278	14,003	0
ESTIMATED TRANSFERS IN				
Rate Stabilization Fund	650,000	325,000	650,000	0
Total Estimated Transfers In	650,000	325,000	650,000	0
Total Estimated Revenues and Transfers In	664,003	335,278	664,003	0
Total Estimated Available for Appropriation	3,202,589	2,881,898	3,210,623	8,034
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	1,279	1,279	1,279	0
Total Estimated Transfers Out	1,279	1,279	1,279	0
Total Estimated Expenditures and Transfers Out	1,279	1,279	1,279	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 3,201,310</u>	<u>\$ 2,880,619</u>	<u>\$ 3,209,344</u>	8,034

WASTEWATER REHABILITATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 10,516,698	\$ 24,201,088	\$ 24,201,088	13,684,390
ESTIMATED REVENUES				
Interest	115,105	92,291	115,105	0
Interfund Loan Interest Repayment from Solid Waste Operations Fund	3,119	2,834	3,119	0
From Other Agencies	632,171	0	1,523,531	891,360
Total Estimated Revenues	750,395	95,125	1,641,755	891,360
ESTIMATED CAPITAL REVENUES				
Connection Fees - Local	290,400	58,206	290,400	0
Connection Fees - Regional	6,272,640	1,256,768	6,272,640	0
Highway Users Tax Fund	33,000	487	33,000	0
Water Construction Fund	33,000	487	33,000	0
Wastewater Technology Replacement	250,000	100,000	250,000	0
Wastewater Operations Fund	6,521,357	3,321,357	6,811,320	289,963
Total Estimated Capital Revenues	13,400,397	4,737,305	13,690,360	289,963
Total Estimated Revenues and Capital Revenues	14,150,792	4,832,430	15,332,115	1,181,323
INTERFUND LOAN PRINCIPAL REPAYMENT FROM SOLID WASTE OPERATIONS FUND	114,512	114,512	114,512	0
Total Estimated Available for Appropriation	24,782,002	29,148,030	39,647,715	14,865,713
LESS ESTIMATED CAPITAL EXPENDITURES				
Wastewater Shop Expansion	0	69	10,452	(10,452)
Wastewater System Model	0	7,016	407,544	(407,544)
EU-Scada System Assessment	0	1,452,384	5,846,923	(5,846,923)
Atkinson St at Dry Creek WW Pipe	0	0	311,498	(311,498)
CIPP Sewer Rehabilitation 2013	0	566	1,881,241	(1,881,241)
Dry Crk/Pl Gr WWTP Arc Flash	0	46,102	444,409	(444,409)
Shadowbrook Lift Station Well Rep	1,000,000	154,657	1,391,057	(391,057)
Nevada Ave Sewer Main Realign	0	1,718	12,245	(12,245)
DCWWTP Laboratory Rehab Proj	0	0	175,000	(175,000)
DCWWTP Tertiary Filter Proj	650,000	0	765,000	(115,000)
DCWWTP Plc Rehabilitation Proj	200,000	0	400,000	(200,000)
Vactor Washout Facility	100,000	1,990	134,832	(34,832)
Collection System Condition Assessment	250,000	0	250,000	0
Wastewater Collection System Rehabilitation Project	1,050,000	0	1,050,000	0
DRY CRK/PL GR WWTP Lab Rehabilitation	220,000	0	220,000	0
Wastewater Interceptor Cleaning Project	100,000	0	100,000	0
Wastewater Inspection/Condition PH2	200,000	0	200,000	0
WWTP Condition Assessment	370,000	4,443	370,000	0
Maximo/Granite XP Integrated Database Management	150,000	0	150,000	0
Upgrade Sewer Line	150,000	53,056	150,000	0
Wastewater Clean Out Installation	50,000	15,594	50,000	0
Wastewater Sewer Manhole Upgrade	250,000	0	250,000	0
Wastewater Sewer Service Upgrade	100,000	3,194	100,000	0
Wastewater Rehab-Emergency Collect	500,000	29,631	500,000	0
Wastewater Rehab-Program Support	75,000	0	75,000	0
Wastewater Technology Replacement	50,000	0	50,000	0
Total Estimated Capital Expenditures	5,465,000	1,770,422	15,295,201	(9,830,201)
LESS ESTIMATED EXPENDITURES AND TRANSFERS OUT				
Connection Fees to SPWA	6,272,640	2,579,565	6,272,640	0
General Fund	66,985	57,961	239,423	(172,438)
General CIP Rehab Fund	76,166	80,359	157,538	(81,372)
Solid Waste Operations Fund	0	6,183	0	0
Wastewater Operations Fund	1,216,947	353,354	1,216,947	0
Wastewater Operations Fund - Capital	0	639	73,319	(73,319)
Water Rehabilitation Fund	100,000	0	199,381	(99,381)
Indirect Cost	41,780	41,780	41,780	0
Total Estimated Expenditures and Transfers Out	7,774,518	3,119,840	8,201,028	(426,510)
Total Estimated Capital, Expenditures, and Transfers Out	13,239,518	4,890,262	23,496,229	(10,256,711)
ESTIMATED AVAILABLE RESOURCES	\$ 11,542,484	\$ 24,257,767	\$ 16,151,486	4,609,002

SOLID WASTE OPERATIONS FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED OPERATING REVENUES				
Rental Revenue	\$ 1,873	\$ 0	\$ 1,873	0
Refuse Service Charges	20,952,800	9,697,127	20,952,800	0
Recycling Revenue	306,000	180,804	306,000	0
State Bonds and Grants	30,000	35,221	30,000	0
From Other Agencies	0	167,816	0	0
Interest	83,054	54,227	83,054	0
Miscellaneous	1,000	6,855	1,000	0
Prior Year Operating Carryover	0	0	315,137	315,137
Total Estimated Operating Revenues and Transfers In	21,374,727	10,142,049	21,689,864	315,137
LESS ESTIMATED OPERATING EXPENDITURES				
Solid Waste Administration	814,021	347,329	820,640	(6,619)
Solid Waste Collection and Disposal	14,087,748	7,065,129	14,355,595	(267,847)
Recycling	664,174	426,698	664,228	(54)
Green Waste Program	1,740,385	978,257	1,740,385	0
Interfund Loan Interest Payment to Wastewater Rehabilitation Fund	3,107	2,834	3,107	0
Street Sweeping	1,225,149	603,951	1,225,149	0
EU Outreach	160,000	15,537	209,381	(49,381)
Other Operating Transfers	0	8,987	18,819	(18,819)
Utility Exploration Center Fund - Operations	106,239	49,545	113,051	(6,812)
Utility Exploration Center Fund - Program Tours	6,000	0	6,000	0
Post-Retirement Insurance/Accrual Fund	280,600	127,006	280,600	0
Utility Impact Reimbursement Fund	301,453	150,727	301,453	0
Rent Payment	145,353	0	145,353	0
Solid Waste Rehabilitation Fund - General Fund CIP Rehab	39,647	0	39,647	0
Solid Waste Rehabilitation Fund	200,000	225,000	200,000	0
Solid Waste Rate Stabilization Fund	350,000	175,000	350,000	0
Indirect Cost	1,634,341	817,171	1,634,341	0
Indirect Cost - EU Asset Management	121,623	223,809	121,623	0
Indirect Cost - Environmental Utilities	512,092	47,533	512,092	0
Indirect Cost - Environmental Utilities Engineering	140,660	70,330	140,660	0
Total Estimated Operating Expenditures and Transfers Out	22,532,592	11,334,840	22,882,123	(349,531)
ESTIMATED CAPITAL, DEBT, AND NON-RECURRING REVENUES				
Wastewater Operations Fund	0	0	705,646	705,646
Solid Waste Capital Purchase Fund	0	6,183	0	0
Wastewater Rehabilitation Fund - CIP Contribution	0	6,183	0	0
Water Operations Fund	0	0	705,646	705,646
Water Construction Fund - CIP Contribution	0	6,183	0	0
Prior Year CIP Carryover	0	0	2,104,715	2,104,715
Total Estimated Non-Operating Revenues	0	18,549	3,516,007	3,516,007
LESS ESTIMATED CAPITAL, DEBT, AND NON-RECURRING EXPENDITURES				
General Fund - CIP Contribution	21,060	11,142	46,031	(24,971)
General Fund	0	74,275	34,083	(34,083)
Utility Exploration Center Fund	16,666	14,008	122,935	(106,269)
Wastewater Operations Fund	1,000,000	240,849	1,488,712	(488,712)
Water Operations Fund	31,853	0	46,104	(14,251)
Solid Waste Technology Replacement	225,000	39,647	225,000	0
UEC - Ideascap	0	27,592	2,116,938	(2,116,938)
Interfund Loan Principal Payment to Wastewater Rehabilitation Fund	114,512	114,512	114,512	0
Total Estimated Non-Operating Expenditures	1,409,091	522,025	4,194,315	(2,785,224)
Increase (Decrease) from Operations	(2,566,956)	(1,696,267)	(1,870,567)	696,389
ESTIMATED BEGINNING FUND BALANCE	14,191,783	18,136,316	15,716,464	1,524,681
ESTIMATED INVENTORY	181,987	123,786	123,786	(58,201)
INCREASE (DECREASE) FROM OPERATIONS	(2,566,956)	(1,696,267)	(1,870,567)	696,389
ESTIMATED ENDING FUND BALANCE BEFORE RESERVES	11,806,814	16,563,835	13,969,683	2,162,869
LESS ECONOMIC RESERVE	(2,253,300)	(1,133,500)	(2,288,200)	(34,900)
ESTIMATED UNRESTRICTED ENDING FUND BALANCE	\$ 9,553,514	\$ 15,430,335	\$ 11,681,483	2,127,969

SOLID WASTE CAPITAL PURCHASE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,288,013	\$ 2,143,706	\$ 2,143,706	855,693
ESTIMATED OPERATING REVENUES				
Interest	10,945	7,911	10,945	0
Total Estimated Operating Revenues	10,945	7,911	10,945	0
ESTIMATED CAPITAL REVENUES				
Impact Fee	36,080	71,997	36,080	0
Total Estimated Capital Revenues	36,080	71,997	36,080	0
Total Estimated Operating and Capital Revenues	47,025	79,909	47,025	0
Total Estimated Available for Appropriation	1,335,038	2,223,615	2,190,731	855,693
LESS ESTIMATED CAPITAL EXPENDITURES				
Solid Waste Capital Purchases	170,000	45,733	170,000	0
Total Estimated Capital Expenditures	170,000	45,733	170,000	0
LESS ESTIMATED TRANSFERS OUT				
Solid Waste Operations Fund	0	6,183	0	0
Indirect Cost	3,542	3,542	3,542	0
Total Estimated Transfers Out	3,542	9,725	3,542	0
Total Estimated Expenditures and Transfers Out	173,542	55,458	173,542	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,161,496</u>	<u>\$ 2,168,157</u>	<u>\$ 2,017,189</u>	855,693

SOLID WASTE RATE STABILIZATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,008,179	\$ 1,011,511	\$ 1,011,511	3,332
ESTIMATED REVENUES				
Interest	<u>5,419</u>	<u>4,174</u>	<u>5,419</u>	0
Total Estimated Revenues	5,419	4,174	5,419	0
ESTIMATED TRANSFERS IN				
Solid Waste Operations Fund	<u>350,000</u>	<u>175,000</u>	<u>350,000</u>	0
Total Estimated Transfers In	350,000	175,000	350,000	0
Total Estimated Revenues and Transfers In	355,419	179,174	355,419	0
Total Estimated Available for Appropriation	1,363,598	1,190,685	1,366,930	3,332
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 1,363,598</u></u>	<u><u>\$ 1,190,685</u></u>	<u><u>\$ 1,366,930</u></u>	3,332

SOLID WASTE REHABILITATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,210,069	\$ 2,457,196	\$ 2,457,196	247,127
ESTIMATED OPERATING REVENUES				
Interest	14,728	9,854	14,728	0
Total Estimated Operating Revenues	14,728	9,854	14,728	0
ESTIMATED TRANSFERS IN				
Utility Exploration Center Fund	10,000	10,000	10,000	0
Solid Waste Technology Replacement	225,000	225,000	225,000	0
Solid Waste Operations Fund	239,647	39,647	239,647	0
Total Estimated Transfers In	474,647	274,647	474,647	0
Total Estimated Revenues and Transfers In	489,375	284,501	489,375	0
Total Estimated Available for Appropriation	2,699,444	2,741,697	2,946,571	247,127
LESS ESTIMATED CAPITAL EXPENDITURES				
Solid Waste Annual Rehab	280,000	81,406	317,257	(37,257)
Solid Waste Technology Replacement	25,000	0	25,000	0
Solid Waste UEC Technology Replacement	10,000	1,123	11,155	(1,155)
Total Estimated Capital Expenditures	315,000	82,529	353,412	(38,412)
LESS ESTIMATED TRANSFERS OUT				
General CIP Rehabilitation Fund	66,811	55,862	115,538	(48,727)
Indirect Cost	3,983	3,983	3,983	0
Total Estimated Transfers Out	70,794	59,845	119,521	(48,727)
Total Estimated Expenditures and Transfers Out	385,794	142,374	472,933	(87,139)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 2,313,650</u>	<u>\$ 2,599,323</u>	<u>\$ 2,473,638</u>	159,988

GOLF COURSE OPERATIONS FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 543,600	\$ 764,182	\$ 764,182	220,582
ESTIMATED REVENUES				
Golf Operations Revenue	2,380,000	989,560	2,380,000	0
Interest	10,000	1,781	10,000	0
Total Estimated Revenues	2,390,000	991,341	2,390,000	0
Total Estimated Available for Appropriation	2,933,600	1,755,522	3,154,182	220,582
LESS ESTIMATED OPERATING EXPENDITURES				
Operating Costs	1,972,010	982,147	1,997,798	(25,788)
03 Golf Course COPS Refunding	493,066	210,905	493,066	0
Post-Retirement Insurance/Accrual Fund	3,640	1,779	3,640	0
Indirect Cost	125,846	125,846	125,846	0
Total Estimated Operating Expenditures	2,594,562	1,320,677	2,620,350	(25,788)
LESS ESTIMATED TRANSFERS OUT				
Golf Course Improvement Fund	0	131,095	272,220	(272,220)
Total Estimated Transfers Out	0	131,095	272,220	(272,220)
Total Estimated Expenditures and Transfers Out	2,594,562	1,451,773	2,892,570	(298,008)
INTERFUND LOAN PRINCIPAL PAYMENTS TO AUTOMOTIVE REPLACEMENT FUND	127,000	127,000	127,000	0
ESTIMATED AVAILABLE RESOURCES	\$ 212,038	\$ 176,750	\$ 134,611	(77,427)

GOLF COURSE IMPROVEMENT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 6,820	\$ 61,311	\$ 61,311	54,491
ESTIMATED REVENUES				
Interest	349	219	349	0
Total Estimated Revenues	349	219	349	0
ESTIMATED TRANSFERS IN				
Golf Course Operations Fund	0	131,095	272,220	272,220
Total Estimated Transfers In	0	131,095	272,220	272,220
Total Estimated Revenues and Transfers In	349	131,315	272,569	272,220
Total Estimated Available for Appropriation	7,169	192,626	333,880	326,711
LESS ESTIMATED CAPITAL EXPENDITURES				
Diamond Oaks Golf Course Renovations	0	109,095	289,701	(289,701)
Woodcreek Golf Course Renovations	0	22,000	141,601	(141,601)
Total Estimated Capital Expenditures	0	131,095	431,302	(431,302)
LESS ESTIMATED TRANSFERS OUT				
General CIP Rehabilitation Fund	49,000	10,902	49,000	0
Total Estimated Transfers Out	49,000	10,902	49,000	0
Total Estimated Expenditures and Transfers Out	49,000	141,998	480,302	(431,302)
ESTIMATED AVAILABLE RESOURCES	<u>\$ (41,831)</u>	<u>\$ 50,628</u>	<u>\$ (146,422)</u>	(104,591)

Transfers from the Woodcreek Golf Course Fund and the Diamond Oaks Golf Course Fund will be made this fiscal year to put this fund back in a positive position. The transfers will fund the CIP Rehab projects.

LOCAL TRANSPORTATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 4,461,454	\$ 5,112,058	\$ 5,112,058	650,604
ESTIMATED OPERATING REVENUES				
Passenger Fares and Services	1,066,200	451,761	1,066,200	0
LTF Article #4 (PUC § 99260(a))	7,364,619	0	7,364,619	0
Transportation Assistance Funds	543,041	0	543,041	0
Federal Dept of Transportation	760,000	0	760,000	0
State Bonds and Grants	0	45,465	3,084,224	3,084,224
Federal Reimbursement/Grants	0	0	816,640	816,640
Reimbursements	3,300	831	3,300	0
Interest	52,660	43,515	52,660	0
Donations/Gifts	6,000	1,250	6,000	0
Sale of Surplus Property	40,000	6,160	40,000	0
Advertising	100,000	54,062	100,000	0
Non-Construction Contribution from Developers	0	(160)	0	0
Miscellaneous	25,000	12,758	25,000	0
Total Estimated Operating Revenues	9,960,820	615,642	13,861,684	3,900,864
ESTIMATED CAPITAL REVENUES				
CMAQ Grant	38,875	0	38,875	0
Total Estimated Capital Revenues	38,875	0	38,875	0
ESTIMATED TRANSFERS IN				
Roadway Fund	0	6,163	342,151	342,151
General Fund	52,000	0	52,000	0
Total Estimated Transfers In	52,000	6,163	394,151	342,151
Total Estimated Revenues and Transfers In	10,051,695	621,806	14,294,710	4,243,015
Total Estimated Available for Appropriation	14,513,149	5,733,864	19,406,768	4,893,619
LESS ESTIMATED OPERATING EXPENDITURES				
Operating Expense	6,320,044	3,044,805	6,333,739	(13,695)
Vehicles	75,000	0	75,000	0
Indirect Cost	340,770	224,127	340,770	0
Total Estimated Operating Expenditures	6,735,814	3,268,931	6,749,509	(13,695)
LESS ESTIMATED CAPITAL EXPENDITURES				
Capital Improvement Projects	0	827,636	8,669,327	(8,669,327)
Total Estimated Capital Expenditures	0	827,636	8,669,327	(8,669,327)
LESS ESTIMATED CAPITAL TRANSFERS OUT				
Public Facilities Fund	0	107,600	130,514	(130,514)
Roadway Fund	2,000,000	1,000,000	2,000,000	0
General CIP Rehabilitation Fund	1,823	10,206	5,705	(3,882)
Post-Retirement Insurance/Accrual Fund	11,313	6,688	11,313	0
Total Estimated Transfers Out	2,013,136	1,124,493	2,147,532	(134,396)
Total Estimated Expenditures and Transfers Out	8,748,950	5,221,060	17,566,368	(8,817,418)
OPERATING RESERVE	1,500,000	0	1,500,000	0
ESTIMATED AVAILABLE RESOURCES	\$ 4,264,199	\$ 512,804	\$ 340,401	(3,923,799)

TRANSIT PROJECT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 103,998	\$ 135,070	\$ 135,070	31,072
ESTIMATED OPERATING REVENUES				
Interest	644	495	644	0
Non-Construction Contribution from Developers	<u>0</u>	<u>6,761</u>	<u>0</u>	0
Total Estimated Operating Revenues	644	7,256	644	0
Total Estimated Available for Appropriation	104,642	142,326	135,714	31,072
ESTIMATED AVAILABLE RESOURCES	<u>\$ 104,642</u>	<u>\$ 142,326</u>	<u>\$ 135,714</u>	31,072

CONSOLIDATED TRANSPORTATION SERVICE AGENCY FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 866,077	\$ 863,543	\$ 863,543	(2,534)
ESTIMATED OPERATING REVENUES				
Interest	4,426	3,180	4,426	0
SB-325 Allocations	347,000	300,000	347,000	0
Transit Assist Funds	30,000	0	30,000	0
Federal Dept. of Transportation	107,200	0	107,200	0
Total Estimated Operating Revenues	488,626	303,180	488,626	0
Total Estimated Available for Appropriation	1,354,703	1,166,723	1,352,169	(2,534)
LESS ESTIMATED EXPENDITURES				
Operating Expense	491,745	147,423	511,423	(19,678)
Total Estimated Expenditures	491,745	147,423	511,423	(19,678)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	9,955	9,955	9,955	0
Total Estimated Transfers Out	9,955	9,955	9,955	0
Total Estimated Expenditures and Transfers Out	501,700	157,378	521,378	(19,678)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 853,003</u>	<u>\$ 1,009,345</u>	<u>\$ 830,791</u>	(22,212)

SCHOOL-AGE CHILD CARE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 46,895	\$ 420,424	\$ 420,424	373,529
ESTIMATED REVENUES				
Adventure Club/Preschool Education Program Fees	4,272,340	1,956,793	4,272,340	0
Park & Rec Use Fees	115,000	42,316	115,000	0
Interest	0	452	0	0
Miscellaneous	0	1,416	0	0
Total Estimated Revenues	4,387,340	2,000,976	4,387,340	0
Total Estimated Available for Appropriation	4,434,235	2,421,400	4,807,764	373,529
LESS ESTIMATED OPERATING EXPENDITURES				
Adventure Club Operating Expense	3,776,848	1,715,437	3,784,044	(7,196)
Preschool Education Operating Expense	437,809	200,814	437,809	0
Post-Retirement Insurance/Accrual Fund	146,436	67,905	146,436	0
General CIP Rehabilitation Fund	562	0	562	0
Indirect Cost	224,984	112,492	224,984	0
Total Estimated Operating Expenditures	4,586,639	2,096,647	4,593,835	(7,196)
INTERFUND LOAN PRINCIPAL PAYMENT TO AUTO REPLACEMENT FUND	60,000	60,000	60,000	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ (212,404)</u>	<u>\$ 264,753</u>	<u>\$ 153,929</u>	366,333

AFFORDABLE HOUSING FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 6,186,106	\$ 6,775,468	\$ 6,775,468	589,362
ESTIMATED REVENUES				
Interest	33,000	23,496	33,000	0
Program Income	0	46,660	0	0
Proceeds from Sleeping Seconds	180,000	42,868	180,000	0
In Lieu Affordable Housing Fee	150,000	51,817	150,000	0
Total Estimated Revenues	363,000	164,841	363,000	0
Total Estimated Available for Appropriation	6,549,106	6,940,309	7,138,468	589,362
LESS ESTIMATED EXPENDITURES				
Program Admin Salaries	49,398	15,818	49,398	0
Other Operating Expense	13,500	0	13,500	0
Deferred Loans	0	50,000	250,000	(250,000)
Total Estimated Expenditures	62,898	65,818	312,898	(250,000)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	2,002	2,002	2,002	0
Total Estimated Transfers Out	2,002	2,002	2,002	0
Total Estimated Expenditures and Transfers Out	64,900	67,820	314,900	(250,000)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 6,484,206</u>	<u>\$ 6,872,489</u>	<u>\$ 6,823,568</u>	339,362

AIR QUALITY MITIGATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 373,411	\$ 322,082	\$ 322,082	(51,329)
ESTIMATED REVENUES				
Interest	1,717	1,196	1,717	0
Mitigation Fee	<u>60,000</u>	<u>8,902</u>	<u>60,000</u>	0
Total Estimated Revenues	61,717	10,098	61,717	0
Total Estimated Available for Appropriation	435,128	332,180	383,799	(51,329)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>170</u>	<u>170</u>	<u>170</u>	0
Total Estimated Transfers Out	170	170	170	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 434,958</u>	<u>\$ 332,010</u>	<u>\$ 383,629</u>	(51,329)

BEGIN FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 39,754	\$ 234,568	\$ 234,568	194,814
ESTIMATED REVENUES				
Interest	0	3,004	0	0
Program Income	<u>60,000</u>	<u>30,000</u>	<u>60,000</u>	0
Total Estimated Revenues	60,000	33,004	60,000	0
Total Estimated Available for Appropriation	99,754	267,572	294,568	194,814
LESS ESTIMATED EXPENDITURES				
Program Expenses	<u>90,000</u>	<u>30,000</u>	<u>90,000</u>	0
Total Estimated Expenditures	90,000	30,000	90,000	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 9,754</u>	<u>\$ 237,572</u>	<u>\$ 204,568</u>	194,814

BIKE TRAIL MAINTENANCE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 165,749	\$ 202,471	\$ 202,471	36,722
ESTIMATED REVENUES				
Interest	1,046	749	1,046	0
Total Estimated Revenues	1,046	749	1,046	0
ESTIMATED TRANSFERS IN				
Johnson Ranch LLD Zone B Fund	3,000	0	3,000	0
Johnson Ranch LLD Zone C Fund	2,500	0	2,500	0
Johnson Ranch LLD Zone E Fund	1,136	0	1,136	0
North Central Roseville LLD Zone B Fund	515	0	515	0
North Central Roseville LLD Zone F Fund	3,477	0	3,477	0
North Central Roseville LLD Zone G Fund	2,364	0	2,364	0
North Roseville CFD#2 Services District Zone A Fund	3,005	0	3,005	0
North Roseville CFD#2 Services District Zone B Fund	2,776	0	2,776	0
North Roseville CFD#2 Services District Zone C Fund	6,967	0	6,967	0
North Roseville CFD#2 Services District Zone E Fund	197	0	197	0
Stone Point CFD#4 Services District Fund	2,490	0	2,490	0
Stoneridge CFD#1 Services District Fund	31,572	0	31,572	0
Stoneridge Parcel 1 CFD#2 Services District Fund	858	0	858	0
Woodcreek West CFD#2 Services District Fund	10,409	0	10,409	0
Crocker Ranch CFD#2 Services District Fund	4,633	0	4,633	0
Woodcreek East CFD#2 Services District Fund	7,017	0	7,017	0
Stone Point CFD#2 Services District Fund	4,030	0	4,030	0
Westpark CFD #2 Services District Fund	11,195	0	11,195	0
Fiddymment Ranch CFD#2 Services District Fund	8,761	0	8,761	0
Longmeadow CFD#2 Services District Fund	1,622	0	1,622	0
Infill Services District CFD Fund	5,332	0	5,332	0
Total Estimated Transfers In	113,856	0	113,856	0
Total Estimated Revenues and Transfers In	114,902	749	114,902	0
Total Estimated Available for Appropriation	280,651	203,220	317,373	36,722
LESS ESTIMATED EXPENDITURES				
Program Expenses	124,152	12,903	124,152	0
Total Estimated Expenditures	124,152	12,903	124,152	0
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	1,453	1,453	1,453	0
Total Estimated Transfers Out	1,453	1,453	1,453	0
Total Estimated Expenditures and Transfers Out	125,605	14,356	125,605	0
ESTIMATED AVAILABLE RESOURCES	\$ 155,046	\$ 188,864	\$ 191,768	36,722

CAL/HOME FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,102	\$ 63,953	\$ 63,953	62,851
Total Estimated Available for Appropriation	1,102	63,953	63,953	62,851
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,102</u>	<u>\$ 63,953</u>	<u>\$ 63,953</u>	62,851

COMMUNITY DEVELOPMENT BLOCK GRANT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 13,834	\$ 0	\$ 0	(13,834)
ESTIMATED REVENUES				
Community Development Block Grant	825,588	509,390	1,160,392	334,804
Housing Program Income	0	54,308	0	0
Interest	6,210	6,210	6,210	0
Total Estimated Revenues	831,798	569,908	1,166,602	334,804
ESTIMATED TRANSFERS IN				
Electric Operations Fund	0	12,500	12,500	12,500
Total Estimated Transfers In	0	12,500	12,500	12,500
Total Estimated Revenues and Transfers In	831,798	582,408	1,179,102	347,304
Total Estimated Available for Appropriation	845,632	582,408	1,179,102	333,470
LESS ESTIMATED EXPENDITURES				
Program Admin Salaries	139,001	56,781	139,001	0
Other Operating Expenditures	5,650	919	5,650	0
CDBG Programs	695,385	254,440	626,722	68,663
Total Estimated Expenditures	840,036	312,140	771,373	68,663
LESS ESTIMATED CAPITAL EXPENDITURES				
Historic Old Town Lighting Upgrades	0	119,456	119,456	(119,456)
Total Estimated Capital Expenditures	0	119,456	119,456	(119,456)
LESS ESTIMATED TRANSFERS OUT				
Roadway Fund	0	234,161	275,773	(275,773)
Total Estimated Transfers Out	0	234,161	275,773	(275,773)
Total Estimated Expenditures and Transfers Out	840,036	665,757	1,166,602	(326,566)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 5,596</u>	<u>\$ (83,349)</u>	<u>\$ 12,500</u>	6,904

DOWNTOWN PARKING FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 5,692	\$ 9,096	\$ 9,096	3,404
ESTIMATED REVENUES				
Interest	<u>27</u>	<u>34</u>	<u>27</u>	0
Total Estimated Revenues	27	34	27	0
Total Estimated Available for Appropriation	5,719	9,130	9,123	3,404
ESTIMATED AVAILABLE RESOURCES	<u>\$ 5,719</u>	<u>\$ 9,130</u>	<u>\$ 9,123</u>	3,404

FIRE FACILITIES TAX FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 3,241,090	\$ 4,911,475	\$ 4,911,475	1,670,385
ESTIMATED REVENUES				
Fire Facilities Tax	900,000	214,009	1,050,000	150,000
Interest	<u>25,136</u>	<u>22,372</u>	<u>25,136</u>	0
Total Estimated Revenues	925,136	236,381	1,075,136	150,000
Total Estimated Available for Appropriation	4,166,226	5,147,856	5,986,611	1,820,385
LESS ESTIMATED EXPENDITURES				
Interfund Loan Interest Payment to Auto Replacement Fund	5,774	5,774	5,774	0
Fire Station 1 Relocation	<u>0</u>	<u>589,214</u>	<u>1,127,955</u>	(1,127,955)
Total Estimated Expenditures	5,774	594,988	1,133,729	(1,127,955)
LESS ESTIMATED TRANSFERS OUT				
Building Improvement Fund	0	0	901,593	(901,593)
Indirect Cost	<u>4,365</u>	<u>4,365</u>	<u>4,365</u>	0
Total Estimated Transfers Out	4,365	4,365	905,958	(901,593)
Total Estimated Expenditures and Transfers Out	10,139	599,353	2,039,687	(2,029,548)
INTERFUND LOAN PRINCIPAL PAYMENT TO AUTO REPLACEMENT FUND	239,475	239,474	239,475	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 3,916,612</u>	<u>\$ 4,309,029</u>	<u>\$ 3,707,449</u>	(209,163)

GAS TAX FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 3,972,660	\$ 5,564,085	\$ 5,564,085	1,591,425
ESTIMATED REVENUES				
State & Federal Bonds and Grants	0	221,699	462,525	462,525
CMAQ Grant	0	0	784,302	784,302
Interest	20,000	20,888	20,000	0
Total Estimated Revenues	20,000	242,587	1,266,827	1,246,827
ESTIMATED TRANSFERS IN				
Highway Users Tax Fund	0	24,873	188,343	188,343
Total Estimated Transfers In	0	24,873	188,343	188,343
Total Estimated Revenues and Transfers In	20,000	267,460	1,455,170	1,435,170
Total Estimated Available for Appropriation	3,992,660	5,831,544	7,019,255	3,026,595
LESS ESTIMATED EXPENDITURES				
Storm Drain Project	0	24,873	1,766,896	(1,766,896)
Pedestrian Facilities Project	533,075	872,015	1,076,106	(543,031)
Street Resurfacing	2,000,000	4,644	2,000,000	0
Total Estimated Expenditures	2,533,075	901,532	4,843,002	(2,309,927)
LESS ESTIMATED TRANSFERS OUT				
General Fund	0	1,915	7,914	(7,914)
Indirect Cost	12,463	12,463	12,463	0
Total Estimated Transfers Out	12,463	14,378	20,377	(7,914)
Total Estimated Expenditures and Transfers Out	2,545,538	915,910	4,863,379	(2,317,841)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,447,122</u>	<u>\$ 4,915,634</u>	<u>\$ 2,155,876</u>	708,754

HIGHWAY USERS TAX FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 762,623	\$ 1,534,611	\$ 1,534,611	771,988
ESTIMATED REVENUES				
Interest	5,043	5,672	5,043	0
Highway Users Tax	<u>2,680,795</u>	<u>1,451,867</u>	<u>2,680,795</u>	0
Total Estimated Revenues	2,685,838	1,457,539	2,685,838	0
Total Estimated Available for Appropriation	3,448,461	2,992,150	4,220,449	771,988
LESS ESTIMATED EXPENDITURES				
Highway Users Tax Operations	10,000	0	10,000	0
Streets Resurfacing-Hwy Users	<u>2,815,000</u>	<u>1,973,907</u>	<u>2,819,698</u>	(4,698)
Total Estimated Expenditures	2,825,000	1,973,907	2,829,698	(4,698)
LESS ESTIMATED TRANSFERS OUT				
General Fund	26,351	10,600	43,795	(17,444)
Wastewater Rehabilitation Fund	33,000	487	33,000	0
Indirect Cost	8,554	8,554	8,554	0
Gas Tax Fund	<u>0</u>	<u>24,873</u>	<u>188,343</u>	(188,343)
Total Estimated Transfers Out	67,905	44,514	273,692	(205,787)
Total Estimated Expenditures and Transfers Out	2,892,905	2,018,421	3,103,390	(210,485)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 555,556</u>	<u>\$ 973,729</u>	<u>\$ 1,117,059</u>	561,503

HOME IMPROVEMENT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 110,887	\$ 111,100	\$ 111,100	213
ESTIMATED REVENUES				
Interest	483	307	483	0
Total Estimated Revenues	483	307	483	0
Total Estimated Available for Appropriation	111,370	111,407	111,583	213
LESS ESTIMATED EXPENDITURES				
Loan Program	80,000	0	80,000	0
Total Estimated Expenditures	80,000	0	80,000	0
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	51	51	51	0
Total Estimated Transfers Out	51	51	51	0
Total Estimated Expenditures and Transfers Out	80,051	51	80,051	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 31,319</u>	<u>\$ 111,356</u>	<u>\$ 31,532</u>	213

HOME INVESTMENT PARTNERSHIP PROGRAM FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 113,231	\$ 85,247	\$ 85,247	(27,984)
ESTIMATED REVENUES				
Home Program Revenue	1,000,000	0	1,000,000	0
Housing Program Income	<u>0</u>	<u>28,619</u>	<u>0</u>	0
Total Estimated Revenues	1,000,000	28,619	1,000,000	0
Total Estimated Available for Appropriation	1,113,231	113,866	1,085,247	(27,984)
LESS ESTIMATED EXPENDITURES				
Program Admin Salaries	98,004	17,881	98,004	0
Home Investment Programs	<u>850,891</u>	<u>175,216</u>	<u>850,891</u>	0
Total Estimated Expenditures	948,895	193,097	948,895	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 164,336</u>	<u>\$ (79,231)</u>	<u>\$ 136,352</u>	(27,984)

HOUSING TRUST FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,165,681	\$ 1,160,212	\$ 1,160,212	(5,469)
ESTIMATED REVENUES				
Interest	<u>6,000</u>	<u>0</u>	<u>6,000</u>	0
Total Estimated Revenues	6,000	0	6,000	0
Total Estimated Available for Appropriation	1,171,681	1,160,212	1,166,212	(5,469)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>15</u>	<u>15</u>	<u>15</u>	0
Total Estimated Transfers Out	15	15	15	0
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 1,171,666</u></u>	<u><u>\$ 1,160,197</u></u>	<u><u>\$ 1,166,197</u></u>	(5,469)

LANDSCAPE & LIGHTING AND SERVICES DISTRICT FUNDS

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 11,255,256	\$ 10,228,972	\$ 10,228,972	(1,026,284)
ESTIMATED REVENUES				
Historic District LLD Fund	38,688	182	38,688	0
Riverside District LLD Fund	30,161	113	30,161	0
Stone Point CFD#4 Services District Fund	36,063	351	36,063	0
Infill CFD#4 Woodcreek Oaks Preserve Fund	93,302	167	93,302	0
Sierra Vista Services District CFD#2 Fund	161	97	161	0
Westbrook Services District CFD#2 Fund	45,000	2	45,000	0
Olympus Point LLD Fund	264,649	2,388	264,649	0
Northeast Wetlands Fund	429	285	429	0
NWRSP LLD Fund	462,208	3,063	462,208	0
SERSP LLD Fund	47,603	113	47,603	0
NCRSP LLD Fund	592,012	2,754	592,012	0
Infill LLD Fund	27,673	365	27,673	0
North Roseville Services District Fund	449,416	10,142	449,416	0
Stoneridge CFD#1 Services District Fund	893,112	7,241	893,112	0
Stoneridge Parcel 1 CFD#2 Services District Fund	40,268	919	40,268	0
Woodcreek West Services District Fund	368,100	3,666	368,100	0
Crocker Ranch Services District Fund	341,690	3,786	341,690	0
Highland Reserve North Services District Fund	943,780	7,652	943,780	0
Vernon Street LLD Fund	33,932	2,457	33,932	0
Woodcreek East Services District Fund	251,985	3,466	251,985	0
Stone Point CFD#2 Services District Fund	115,697	403	115,697	0
Westpark CFD#2 Services District Fund	1,224,292	10,806	1,224,292	0
Fiddymment Ranch CFD#2 Services District Fund	853,943	27,214	853,943	0
Municipal Services CFD#3 Fund	2,050,940	17,840	2,050,940	0
Longmeadow CFD#2 Services District Fund	165,751	2,064	165,751	0
Infill Services CFD Fund	110,007	721	110,007	0
Total Estimated Revenues	9,480,862	108,256	9,480,862	0
Total Estimated Available for Appropriation	20,736,118	10,337,228	19,709,834	(1,026,284)
LESS ESTIMATED EXPENDITURES				
Historic District LLD Fund	46,363	8,869	51,101	(4,738)
Riverside District LLD Fund	29,594	7,457	30,480	(886)
Stone Point CFD#4 Services District Fund	21,089	0	21,089	0
Infill CFD#4 Woodcreek Oaks Preserve Fund	12,040	0	12,040	0
Westbrook Services District CFD#2	27,825	0	27,825	0
Olympus Point LLD Fund	251,130	69,824	254,397	(3,267)
NWRSP LLD Fund	707,072	257,566	714,776	(7,704)
SERSP LLD Fund	11,521	172	11,534	(13)
NCRSP LLD Fund	557,657	196,849	582,584	(24,927)
Infill LLD Fund	42,041	5,850	45,997	(3,956)
North Roseville Services District Fund	335,314	65,275	344,873	(9,559)
Stoneridge CFD#1 Services District Fund	483,210	122,193	489,014	(5,804)
Stoneridge Parcel 1 CFD#2 Services District Fund	25,145	8,060	25,145	0
Woodcreek West Services District Fund	314,530	90,073	314,976	(446)
Crocker Ranch Services District Fund	271,176	62,837	277,335	(6,159)
Highland Reserve North Services District Fund	579,816	123,524	610,775	(30,959)
Vernon Street LLD Fund	38,503	5,462	41,387	(2,884)
Woodcreek East Services District Fund	122,432	41,457	125,079	(2,647)
Stone Point CFD#2 Services District Fund	40,448	6,606	40,880	(432)
Westpark CFD#2 Services District Fund	700,657	200,158	710,676	(10,019)
Fiddymment Ranch CFD#2 Services District Fund	695,500	210,127	710,108	(14,608)
Municipal Services CFD#3 Fund	31,770	0	35,184	(3,414)
Longmeadow CFD#2 Services District Fund	96,925	27,571	97,039	(114)
Infill Services CFD Fund	32,212	6,381	32,215	(3)
Total Estimated Expenditures	5,473,970	1,516,310	5,606,510	(132,540)
LESS ESTIMATED TRANSFERS OUT				
General Fund	2,016,386	0	2,380,000	(363,614)
Bike Trail Maintenance Fund	113,856	0	113,856	0
Open Space Maintenance Fund	433,114	0	466,164	(33,050)
Storm Water Management Fund	94,968	0	94,968	0
Park Development - NWRSP Fund	0	343,393	343,393	(343,393)
Total Estimated Transfers Out	2,658,324	343,393	3,398,381	(740,057)
Total Estimated Expenditures and Transfers Out	8,132,294	1,859,703	9,004,891	(872,597)
ESTIMATED AVAILABLE RESOURCES	\$ 12,603,824	\$ 8,477,525	\$ 10,704,943	(1,898,881)

LIBRARY FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 71	\$ 4,645	\$ 4,645	4,574
ESTIMATED REVENUES				
Interest	617	114	617	0
Total Estimated Revenues	617	114	617	0
Total Estimated Available for Appropriation	688	4,760	5,262	4,574
LESS ESTIMATED EXPENDITURES				
Indirect Cost	1,769	1,769	1,769	0
Total Estimated Expenditures	1,769	1,769	1,769	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ (1,081)</u>	<u>\$ 2,991</u>	<u>\$ 3,493</u>	4,574

LOW & MODERATE INCOME HOUSING FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 5,623,172	\$ 365,472	\$ 365,472	(5,257,700)
ESTIMATED REVENUES				
Interest	6,570	4,975	6,570	0
Program Income	30,000	0	30,000	0
Other Revenue	28,800	16,675	28,800	0
Total Estimated Revenues	65,370	21,650	65,370	0
ESTIMATED TRANSFERS IN				
Successor Agency Roseville RDA Fund	219,715	0	4,979,715	4,760,000
Total Estimated Transfers In	219,715	0	4,979,715	4,760,000
Total Estimated Revenues and Transfers In	285,085	21,650	5,045,085	4,760,000
INTERFUND LOAN PRINCIPAL REPAYMENT FROM REDEVELOPMENT SUCCESSOR AGENCY FUND	244,399	111,020	244,399	0
Total Estimated Available for Appropriation	6,152,656	498,142	5,654,956	(497,700)
LESS ESTIMATED EXPENDITURES				
Low & Moderate Income Successor Agency	72,249	15,459	72,249	0
Total Estimated Expenditures	72,249	15,459	72,249	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 6,080,407</u>	<u>\$ 482,682</u>	<u>\$ 5,582,707</u>	(497,700)

MISCELLANEOUS SPECIAL REVENUE FUNDS

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,440,887	\$ 1,841,379	\$ 1,841,379	400,492
ESTIMATED REVENUES				
Parks & Recreation Donation Fund	1,636	1,053	1,636	0
Roseville Youth Sports Coalition Fund	42,832	32,851	42,832	0
Buckle Up Baby Fund	9,661	2,807	9,661	0
Harrigan Trust Adult Literacy Fund	1,583	969	1,583	0
Rehabilitation Account Fund	300,000	62,257	300,000	0
Cable TV PEG Funds	178,762	58,969	178,762	0
Forfeited Property Fund	36,207	503	36,207	0
Federal Asset Seizure Fund	107	110,136	107	0
Police Evidence Funds	8,305	48,204	8,305	0
Total Estimated Revenues	579,093	317,749	579,093	0
Total Estimated Available for Appropriation	2,019,980	2,159,128	2,420,472	400,492
LESS ESTIMATED EXPENDITURES				
Buckle Up Baby Fund	9,500	3,281	9,500	0
Harrigan Trust Adult Literacy Fund	30,000	0	30,000	0
Rehabilitation Account Fund	300,000	121,461	300,000	0
Cable TV PEG Funds	249,457	47,996	249,457	0
Forfeited Property Fund	50,000	20,981	50,000	0
Police Evidence Funds	1	0	1	0
Total Estimated Expenditures	638,958	193,718	638,958	0
LESS ESTIMATED TRANSFERS OUT				
To General Fund from Olympus Point Children's Art Fund	0	0	39	(39)
Total Estimated Transfers Out	0	0	39	(39)
Total Estimated Expenditures and Transfers Out	638,958	193,718	638,997	(39)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,381,022</u>	<u>\$ 1,965,410</u>	<u>\$ 1,781,475</u>	400,453

NATIVE OAK TREE PROPAGATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 856,903	\$ 1,023,736	\$ 1,023,736	166,833
ESTIMATED REVENUES				
Interest	6,733	3,899	6,733	0
Tree Mitigation Fee	<u>0</u>	<u>18,053</u>	<u>0</u>	0
Total Estimated Revenues	6,733	21,952	6,733	0
Total Estimated Available for Appropriation	863,636	1,045,688	1,030,469	166,833
LESS ESTIMATED EXPENDITURES				
General Projects	<u>236,972</u>	<u>53,571</u>	<u>282,081</u>	(45,109)
Total Estimated Expenditures	236,972	53,571	282,081	(45,109)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>4,259</u>	<u>4,259</u>	<u>4,259</u>	0
Total Estimated Transfers Out	4,259	4,259	4,259	0
Total Estimated Expenditures and Transfers Out	241,231	57,830	286,340	(45,109)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 622,405</u>	<u>\$ 987,858</u>	<u>\$ 744,129</u>	121,724

NON-NATIVE TREE PROPAGATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 758,114	\$ 841,605	\$ 841,605	83,491
ESTIMATED REVENUES				
Interest	<u>5,526</u>	<u>3,260</u>	<u>5,526</u>	0
Total Estimated Revenues	5,526	3,260	5,526	0
Total Estimated Available for Appropriation	763,640	844,865	847,131	83,491
LESS ESTIMATED EXPENDITURES				
General Projects	<u>140,401</u>	<u>51,951</u>	<u>143,001</u>	(2,600)
Total Estimated Expenditures	140,401	51,951	143,001	(2,600)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>1,492</u>	<u>1,492</u>	<u>1,492</u>	0
Total Estimated Transfers Out	1,492	1,492	1,492	0
Total Estimated Expenditures and Transfers Out	141,893	53,443	144,493	(2,600)
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 621,747</u></u>	<u><u>\$ 791,422</u></u>	<u><u>\$ 702,638</u></u>	80,891

OPEN SPACE MAINTENANCE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 563,168	\$ 653,084	\$ 653,084	89,916
ESTIMATED REVENUES				
Interest	3,568	2,445	3,568	0
Total Estimated Revenues	3,568	2,445	3,568	0
ESTIMATED TRANSFERS IN				
Woodcreek West Endowment Fund	5,376	0	5,376	0
Woodcreek North (Sares) Fund	1,275	0	1,275	0
North Central Wetlands Endowment Fund	4,160	0	4,160	0
Highland Reserve North Endowment Fund	3,128	0	3,128	0
Commerce Center 65 Preserve Area Fund	1,172	0	1,172	0
Woodcreek East Longmeadow/Roseville Tech Park Fund	2,728	0	2,728	0
Reason Farms Environmental Preserve Fund	15,012	0	15,012	0
Silverado Oaks Urban Reserve Fund	763	0	763	0
Open Space Endowments Fund	359	0	359	0
Johnson Ranch LLD Zone A Fund	12,500	0	12,500	0
Johnson Ranch LLD Zone B Fund	8,000	0	8,000	0
Johnson Ranch LLD Zone C Fund	5,000	0	5,000	0
Johnson Ranch LLD Zone D Fund	213	0	213	0
Johnson Ranch LLD Zone E Fund	5,683	0	5,683	0
North Central Roseville LLD Zone F Fund	2,320	0	2,320	0
North Central Roseville LLD Zone G Fund	2,364	0	2,364	0
North Roseville CFD #2 Services District Zone A Fund	6,112	0	6,112	0
North Roseville CFD #2 Services District Zone B Fund	5,646	0	5,646	0
North Roseville CFD #2 Services District Zone C Fund	14,170	0	14,170	0
North Roseville CFD #2 Services District Zone E Fund	400	0	400	0
Stone Point CFD #4 Services District Fund	1,317	0	1,317	0
Infill CFD #4 Woodcreek Oaks Preserve Fund	45,039	0	45,039	0
Stoneridge CFD #1 Services District Fund	103,370	0	103,370	0
Woodcreek West CFD #2 Services District Fund	27,481	0	27,481	0
Crocker Ranch CFD #2 Services District Fund	13,809	0	13,809	0
Highland Reserve North CFD #2 Services District Fund	58,708	0	71,758	13,050
Woodcreek East CFD #2 Services District Fund	11,173	0	11,173	0
Stone Point CFD #2 Services District Fund	25,255	0	25,255	0
Westpark CFD #2 Services District Fund	29,338	0	29,338	0
Fiddymment Ranch CFD #2 Services District Fund	28,122	0	48,122	20,000
Longmeadow CFD #2 Services District Fund	2,433	0	2,433	0
Infill Services District CFD #2 Fund	24,661	0	24,661	0
Total Estimated Transfers In	467,087	0	500,137	33,050
Total Estimated Revenues and Transfers In	470,655	2,445	503,705	33,050
Total Estimated Available for Appropriation	1,033,823	655,529	1,156,789	122,966
LESS ESTIMATED EXPENDITURES AND TRANSFERS OUT				
Open Space Maintenance	636,346	47,256	656,281	(19,935)
Storm Water Management Fund	0	0	3,958	(3,958)
Indirect Cost	6,632	6,632	6,632	0
Total Estimated Expenditures and Transfers Out	642,978	53,888	666,871	(23,893)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 390,845</u>	<u>\$ 601,641</u>	<u>\$ 489,917</u>	99,072

POOLED UNIT PARK TRANSFER FEES FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 39,431	\$ 39,560	\$ 39,560	129
ESTIMATED REVENUES				
Interest	<u>215</u>	<u>150</u>	<u>215</u>	0
Total Estimated Revenues	215	150	215	0
Total Estimated Available for Appropriation	39,646	39,709	39,775	129
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>25</u>	<u>25</u>	<u>25</u>	0
Total Estimated Transfers Out	25	25	25	0
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 39,621</u></u>	<u><u>\$ 39,684</u></u>	<u><u>\$ 39,750</u></u>	129

ROADWAY FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,780,790	\$ 3,085,429	\$ 3,085,429	1,304,639
ESTIMATED REVENUES				
Interest	9,000	12,875	9,000	0
State and Federal Grants	6,500,000	1,164	7,223,290	723,290
Total Estimated Revenues	6,509,000	14,039	7,232,290	723,290
ESTIMATED TRANSFERS IN				
Transit Fund	2,000,000	1,000,000	2,000,000	0
Community Development Block Grant	0	234,161	275,773	275,773
Utility Impact Reimbursement Fund	327,996	163,998	327,996	0
Total Estimated Transfers In	2,327,996	1,398,159	2,603,769	275,773
Total Estimated Revenues and Transfers In	8,836,996	1,412,197	9,836,059	999,063
Total Estimated Available for Appropriation	10,617,786	4,497,627	12,921,488	2,303,702
LESS ESTIMATED EXPENDITURES				
Roadway Operations	10,000	400	10,000	0
Streets Resurfacing Roadway Fund	1,222,000	1,244,713	2,729,425	(1,507,425)
Bridge Preventative Maintenance Project	0	938	900,000	(900,000)
Church Street Sidewalk Project	0	234,161	275,773	(275,773)
2015 RSTP Arterial Micro Surface Project	7,200,000	3,681	7,200,000	0
2016 ADA/Monument Project	1,800,000	646	100,000	1,700,000
2017 Arterial ADA Ramps	0	51,635	340,000	(340,000)
Total Estimated Expenditures	10,232,000	1,536,174	11,555,198	(1,323,198)
LESS ESTIMATED TRANSFERS OUT				
Park Development - NWRSP	0	122,337	122,337	(122,337)
Transit Fund	0	6,163	342,151	(342,151)
Indirect Cost	4,883	4,883	4,883	0
Total Estimated Transfers Out	4,883	133,383	469,371	(464,488)
Total Estimated Expenditures and Transfers Out	10,236,883	1,669,558	12,024,569	(1,787,686)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 380,903</u>	<u>\$ 2,828,069</u>	<u>\$ 896,919</u>	516,016

STORM WATER MANAGEMENT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 37,747	\$ 279,888	\$ 279,888	242,141
ESTIMATED REVENUES				
State and Federal Bonds/Grants/Reimbursement	0	0	43,951	43,951
Fines/Fees/Penalties	0	3,100	0	0
Storm Water Management Fee	12,000	2,209	12,000	0
Interest	750	836	750	0
Total Estimated Revenues	12,750	6,145	56,701	43,951
ESTIMATED TRANSFERS IN				
General Fund	617,409	277,571	617,409	0
Open Space Maintenance Fund	0	0	3,958	3,958
Westpark CFD #2 Services District Fund	50,121	0	50,121	0
Stone Point CFD #4 Services District Fund	6,760	0	6,760	0
Northwest Roseville LLD Zone B Fund	1,278	0	1,278	0
Northwest Roseville CFD#2 Zone A Fund	6,758	0	6,758	0
Diamond Creek CFD #1 Fund	4,218	0	4,218	0
Highland Reserve North CFD #2 Services District Fund	9,458	0	9,458	0
Fiddymont CFD #2 Fund	17,434	0	17,434	0
Infill Services District CFD #2 Fund	3,159	0	3,159	0
Total Estimated Transfers In	716,595	277,571	720,553	3,958
Total Estimated Revenues and Transfers In	729,345	283,716	777,254	47,909
Total Estimated Available for Appropriation	767,092	563,604	1,057,142	290,050
LESS ESTIMATED EXPENDITURES				
Storm Water Management Program	741,447	280,480	746,129	(4,682)
Secret Ravine Fish Barrier Removal	0	0	113,395	(113,395)
Total Estimated Expenditures	741,447	280,480	859,524	(118,077)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	25,645	25,645	25,645	0
Total Estimated Transfers Out	25,645	25,645	25,645	0
Total Estimated Expenditures and Transfers Out	767,092	306,125	885,169	(118,077)
ESTIMATED AVAILABLE RESOURCES	\$ 0	\$ 257,479	\$ 171,973	171,973

SUPPLEMENTAL LAW ENFORCEMENT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 102,981	\$ 237,686	\$ 237,686	134,705
ESTIMATED REVENUES				
Citizen's Option for Public Safety (COPS) Grant	100,000	61,026	100,000	0
Interest	<u>2,092</u>	<u>1,616</u>	<u>2,092</u>	0
Total Estimated Revenues	102,092	62,642	102,092	0
Total Estimated Available for Appropriation	205,073	300,328	339,778	134,705
LESS ESTIMATED TRANSFERS OUT				
General Fund	100,000	0	100,000	0
Indirect Cost	<u>207</u>	<u>207</u>	<u>207</u>	0
Total Estimated Transfers Out	100,207	207	100,207	0
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 104,866</u></u>	<u><u>\$ 300,121</u></u>	<u><u>\$ 239,571</u></u>	134,705

TECHNOLOGY FEE REPLACEMENT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 177,805	\$ 244,174	\$ 244,174	66,369
ESTIMATED REVENUES				
Interest	671	720	671	0
Technology Fee - Permit System	200,000	94,761	200,000	0
Bad Debt Recovery	0	92,501	0	0
Total Estimated Revenues	200,671	187,983	200,671	0
ESTIMATED TRANSFERS IN				
General Fund	0	0	30,400	30,400
Total Estimated Transfers In	0	0	30,400	30,400
Total Estimated Revenues and Transfers In	200,671	187,983	231,071	30,400
Total Estimated Available for Appropriation	378,476	432,157	475,245	96,769
LESS ESTIMATED EXPENDITURES				
Permit System Replacement	200,000	0	235,036	(35,036)
Interfund Loan Interest Payment to Public Facilities Fund	5,272	5,428	5,272	0
Total Estimated Expenditures	205,272	5,428	240,308	(35,036)
INTERFUND LOAN PRINCIPAL PAYMENT TO PUBLIC FACILITIES FUND	100,000	100,000	100,000	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 73,204</u>	<u>\$ 326,729</u>	<u>\$ 134,937</u>	61,733

TRAFFIC SAFETY FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0	0
ESTIMATED REVENUES				
Vehicle Code Fines	90,000	37,014	90,000	0
Parking Violations	45,000	26,975	45,000	0
Other Court Fines	60,000	31,032	60,000	0
Total Estimated Revenues	195,000	95,021	195,000	0
Total Estimated Available for Appropriation	195,000	95,021	195,000	0
LESS ESTIMATED TRANSFERS OUT				
General Fund	195,000	95,021	195,000	0
Total Estimated Transfers Out	195,000	95,021	195,000	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 0</u>	<u>\$ 0</u>	0

TRAFFIC SIGNAL COORDINATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,207,991	\$ 2,216,017	\$ 2,216,017	8,026
ESTIMATED REVENUES				
Non-Construction Contribution from Developers	30,000	9,800	30,000	0
Interest	<u>13,172</u>	<u>8,362</u>	<u>13,172</u>	0
Total Estimated Revenues	43,172	18,162	43,172	0
Total Estimated Available for Appropriation	2,251,163	2,234,178	2,259,189	8,026
LESS ESTIMATED EXPENDITURES				
Traffic Signal Coordination	<u>50,000</u>	<u>0</u>	<u>50,000</u>	0
Total Estimated Expenditures	50,000	0	50,000	0
LESS ESTIMATED TRANSFERS OUT				
Traffic Mitigation Fund	1,000,000	0	0	1,000,000
Indirect Cost	<u>1,726</u>	<u>1,726</u>	<u>1,726</u>	0
Total Estimated Transfers Out	1,001,726	1,726	1,726	1,000,000
Total Estimated Expenditures and Transfers Out	1,051,726	1,726	51,726	1,000,000
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 1,199,437</u></u>	<u><u>\$ 2,232,452</u></u>	<u><u>\$ 2,207,463</u></u>	1,008,026

TRENCH CUT RECOVERY FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 136,067	\$ 153,225	\$ 153,225	17,158
ESTIMATED REVENUES				
Trench Cut Recovery Fees	2,000	(15,630)	2,000	0
Interest	<u>832</u>	<u>579</u>	<u>832</u>	0
Total Estimated Revenues	2,832	(15,051)	2,832	0
Total Estimated Available for Appropriation	138,899	138,174	156,057	17,158
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>84</u>	<u>84</u>	<u>84</u>	0
Total Estimated Transfers Out	84	84	84	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 138,815</u>	<u>\$ 138,090</u>	<u>\$ 155,973</u>	17,158

UTILITY EXPLORATION CENTER FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 31,212	\$ 97,073	\$ 97,073	65,861
ESTIMATED REVENUES				
Recreation Program Revenues	14,000	6,363	14,000	0
Park and Recreation Use Fees	100	0	100	0
Rental Revenue	4,500	300	4,500	0
Concession Revenue	3,750	1,861	3,750	0
From Other Agencies	0	3,000	0	0
Donations	12,500	900	12,500	0
Interest	107	93	107	0
Total Estimated Revenues	34,957	12,516	34,957	0
ESTIMATED TRANSFERS IN				
Solid Waste Operations Fund	106,239	48,506	119,864	13,625
Wastewater Operations Fund	106,239	48,509	119,864	13,625
Water Operations Fund	106,239	48,509	119,864	13,625
Electric Operations Fund	318,716	145,525	318,716	0
Total Estimated Transfers In	637,433	291,050	678,308	40,875
ESTIMATED CAPITAL TRANSFERS IN				
Solid Waste Operations Fund	22,666	15,046	128,935	106,269
Wastewater Operations Fund	22,667	15,046	128,936	106,269
Water Operations Fund	22,667	15,046	128,936	106,269
Electric Operations Fund	50,000	(14,431)	285,630	235,630
Total Estimated Capital Transfers In	118,000	30,706	672,437	554,437
Total Estimated Revenues and Transfers In	790,390	334,272	1,385,702	595,312
Total Estimated Available for Appropriation	821,602	431,345	1,482,775	661,173
LESS ESTIMATED EXPENDITURES				
Utility Exploration Center Program	617,117	248,294	659,725	(42,608)
Total Estimated Expenditures	617,117	248,294	659,725	(42,608)
LESS ESTIMATED CAPITAL EXPENDITURES				
UEC - Capital Replacement	100,000	27,592	654,436	(554,436)
RUEC School Tour	18,000	3,115	18,000	0
Total Estimated Capital Expenditures	118,000	30,706	672,436	(554,436)
LESS ESTIMATED TRANSFERS OUT				
Solid Waste Rehabilitation Fund	10,000	10,000	10,000	0
Indirect Cost	45,272	45,272	45,272	0
Total Estimated Transfers Out	55,272	55,272	55,272	0
Total Estimated Expenditures and Transfers Out	790,389	334,273	1,387,433	(597,044)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 31,213</u>	<u>\$ 97,073</u>	<u>\$ 95,342</u>	64,129

UTILITY IMPACT REIMBURSEMENT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,082,516	\$ 1,134,384	\$ 1,134,384	51,868
ESTIMATED REVENUES				
Interest	7,109	4,497	7,109	0
Total Estimated Revenues	7,109	4,497	7,109	0
ESTIMATED TRANSFERS IN				
Utility Impact Reimbursement - Solid Waste Operations Fund	301,453	150,727	301,453	0
Utility Impact Reimbursement - Wastewater Operations Fund	686,545	343,273	686,545	0
Utility Impact Reimbursement - Water Operations Fund	754,503	377,252	754,503	0
Total Estimated Transfers In	1,742,501	871,251	1,742,501	0
Total Estimated Revenues and Transfers In	1,749,610	875,747	1,749,610	0
Total Estimated Available for Appropriation	2,832,126	2,010,131	2,883,994	51,868
LESS ESTIMATED TRANSFERS OUT				
General Fund	1,260,000	626,834	1,310,855	(50,855)
Roadway Fund	327,996	163,998	327,996	0
Total Estimated Transfers Out	1,587,996	790,832	1,638,851	(50,855)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,244,130</u>	<u>\$ 1,219,299</u>	<u>\$ 1,245,143</u>	1,013

ANIMAL CONTROL SHELTER FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 726,111	\$ 877,649	\$ 877,649	151,538
ESTIMATED REVENUES				
Animal Control Shelter Fee	140,000	20,103	140,000	0
Interest	<u>4,373</u>	<u>2,216</u>	<u>4,373</u>	0
Total Estimated Revenues	144,373	22,319	144,373	0
Total Estimated Available for Appropriation	870,484	899,968	1,022,022	151,538
LESS ESTIMATED TRANSFERS OUT				
Strategic Improvement Fund	<u>0</u>	<u>892,752</u>	<u>988,785</u>	(988,785)
Total Estimated Transfers Out	0	892,752	988,785	(988,785)
Total Estimated Expenditures and Transfers Out	0	892,752	988,785	(988,785)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 870,484</u>	<u>\$ 7,216</u>	<u>\$ 33,237</u>	(837,247)

BUILDING IMPROVEMENT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,671,969	\$ 1,827,559	\$ 1,827,559	155,590
ESTIMATED REVENUES				
Interest	11,026	6,750	11,026	0
Miscellaneous	<u>0</u>	<u>0</u>	<u>400,000</u>	400,000
Total Estimated Revenues	11,026	6,750	411,026	400,000
ESTIMATED TRANSFERS IN				
Fire Facilities Tax Fund	0	0	901,593	901,593
General CIP Rehabilitation Fund	<u>0</u>	<u>76,632</u>	<u>490,940</u>	490,940
Total Estimated Transfers In	0	76,632	1,392,533	1,392,533
Total Estimated Revenues and Transfers In	11,026	83,382	1,803,559	1,792,533
Total Estimated Available for Appropriation	1,682,995	1,910,941	3,631,118	1,948,123
LESS ESTIMATED CAPITAL EXPENDITURES				
Blue Oaks Fire Station	0	0	1,451,593	(1,451,593)
Main Library Remodel - First Floor	<u>0</u>	<u>76,632</u>	<u>490,940</u>	(490,940)
Total Estimated Capital Expenditures	0	76,632	1,942,533	(1,942,533)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>4,774</u>	<u>4,774</u>	<u>4,774</u>	0
Total Estimated Transfers Out	4,774	4,774	4,774	0
Total Estimated Expenditures and Transfers Out	4,774	81,406	1,947,307	(1,942,533)
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 1,678,221</u></u>	<u><u>\$ 1,829,535</u></u>	<u><u>\$ 1,683,811</u></u>	5,590

COMMUNITY FACILITY DISTRICT FUNDS - CONSTRUCTION FUNDS

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 3,586,228	\$ 6,110,099	\$ 6,110,099	2,523,871
ESTIMATED REVENUES				
Northwest Roseville CFD#1 Construction Fund	268	179	268	0
North Central Roseville CFD#1 Subcontractor Improvements Fund	18,000	11,549	18,000	0
Crocker Ranch CFD#1 Construction Fund	0	4	0	0
Stoneridge West CFD#1 Construction Fund	0	11	0	0
Westpark CFD#1 Improvement Fund	0	642	0	0
Fiddymment Ranch CFD#1 Improvement Fund	0	613	0	0
Stone Point CFD#5 Improvement Fund	3,541	2,764	3,541	0
Automall CFD #1 Improvement Fund	0	2	0	0
Total Estimated Revenues	21,809	15,763	21,809	0
ESTIMATED TRANSFERS IN				
NCR CFD#1 Special Tax Fund	500,000	0	800,000	300,000
Fiddymment Ranch CFD#1 Special Tax Fund	200,000	22,123	300,000	100,000
Stone Point CFD#5 Special Tax Fund	0	166	166	166
Total Estimated Transfers In	700,000	22,288	1,100,166	400,166
Total Estimated Revenues and Transfers In	721,809	38,051	1,121,975	400,166
Total Estimated Available for Appropriation	4,308,037	6,148,150	7,232,074	2,924,037
LESS ESTIMATED EXPENDITURES				
North Central Roseville CFD#1 Subcontractor Improvements Fund	85,000	0	89,896	(4,896)
Westpark CFD#1 Improvement Fund	897,000	0	897,000	0
Fiddymment Ranch CFD#1 Improvement Fund	465,000	335,300	650,000	(185,000)
Westbrook CFD#1 Improvement Fund	218,900	0	218,900	0
Total Estimated Expenditures	1,665,900	335,300	1,855,796	(189,896)
LESS ESTIMATED TRANSFERS OUT				
Strategic Improvement Fund	0	0	1,600,000	(1,600,000)
Park Development - NCRSP Fund	0	0	500,000	(500,000)
Traffic Mitigation Fund	1,000,000	1,000,000	1,108,444	(108,444)
North Roseville CFD #1 Fund	0	350	351	(351)
Total Estimated Transfers Out	1,000,000	1,000,350	3,208,795	(2,208,795)
Total Estimated Expenditures and Transfers Out	2,665,900	1,335,650	5,064,591	(2,398,691)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,642,137</u>	<u>\$ 4,812,500</u>	<u>\$ 2,167,483</u>	525,346

GENERAL CIP REHABILITATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 7,550,511	\$ 11,471,853	\$ 11,471,853	3,921,342
ESTIMATED REVENUES				
Interest	73,423	44,535	73,423	0
Total Estimated Revenues	73,423	44,535	73,423	0
ESTIMATED CAPITAL TRANSFERS IN				
Public Facilities Fund	165,000	0	0	(165,000)
Park Development NCRSP Fund	0	0	26,728	26,728
Park Development NRSP Fund	32,700	0	32,700	0
Stoneridge Park Development Fund	0	1,269	5,001	5,001
School-Age Child Care Fund	562	0	562	0
Transit Fund	1,823	10,206	5,705	3,882
Golf Course Improvement Fund	49,000	10,902	49,000	0
Solid Waste Rehabilitation Fund	62,645	55,862	111,373	48,728
Wastewater Rehabilitation Fund	71,999	80,359	153,371	81,372
Water Rehabilitation Fund	110,012	111,709	198,909	88,897
Environmental Utilities Engineering Fund	12,500	0	12,500	0
Electric Rehabilitation Fund	203,044	9,268	219,041	15,997
Automotive Services Fund	72,000	41,776	116,881	44,881
General Fund	1,000,000	500,000	1,000,000	0
Total Estimated Transfers In	1,781,285	821,350	1,931,771	150,486
Total Estimated Revenues and Transfers In	1,854,708	865,886	2,005,194	150,486
Total Estimated Available for Appropriation	9,405,219	12,337,738	13,477,047	4,071,828
LESS ESTIMATED CAPITAL EXPENDITURES				
Fire Station #4 Improvements	0	98,690	158,873	(158,873)
Police Department Radio Upgrades	0	0	33,223	(33,223)
ERP Replacement	0	5,645	1,500,000	(1,500,000)
800 MHz Radio System Replacement	55,000	0	55,000	0
City Parking Lot Rehab Project	50,000	43	99,594	(49,594)
IT Rehab Project	675,000	88,688	840,346	(165,346)
Facilities Rehab Project	763,000	538,801	1,208,425	(445,425)
Parks Rehab Project	785,767	59,924	919,175	(133,408)
Fire Equipment Rehab Project	50,000	0	50,000	0
Police Rehab Project	277,000	0	277,000	0
Total Estimated Capital Expenditures	2,655,767	791,791	5,141,636	(2,485,869)
LESS ESTIMATED TRANSFERS OUT				
General Fund	190,000	50,313	855,638	(665,638)
Building Improvement Fund	0	76,632	490,940	(490,940)
Total Estimated Transfers Out	190,000	126,945	1,346,578	(1,156,578)
Total Estimated Expenditures and Transfers Out	2,845,767	918,736	6,488,214	(3,642,447)
ESTIMATED AVAILABLE RESOURCES	\$ 6,559,452	\$ 11,419,003	\$ 6,988,833	429,381

CITY/COUNTY MITIGATION FEE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0	0
ESTIMATED REVENUES				
Mitigation Fee	0	1,171,657	1,224,000	1,224,000
Miscellaneous Revenue	0	91	0	0
Interest	0	1,469	0	0
Total Estimated Revenues	0	1,173,217	1,224,000	1,224,000
Total Estimated Available for Appropriation	0	1,173,217	1,224,000	1,224,000
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 1,173,217</u>	<u>\$ 1,224,000</u>	1,224,000

CITYWIDE PARK DEVELOPMENT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,329,056	\$ 5,475,739	\$ 5,475,739	4,146,683
ESTIMATED REVENUES				
Interest	8,000	20,664	8,000	0
Park Construction Fee	466,850	49,767	466,850	0
In Lieu Park Fee	293,100	52,144	293,100	0
Open Space In Lieu Fee	1,514	(303)	1,514	0
Other Revenue	0	5,000	0	0
Total Estimated Revenues	769,464	127,272	769,464	0
ESTIMATED TRANSFERS IN				
Park Development - NCRSP Fund	0	(19,585)	97,188	97,188
Total Estimated Transfers In	0	(19,585)	97,188	97,188
Total Estimated Revenues and Transfers In	769,464	107,687	866,652	97,188
Total Estimated Available for Appropriation	2,098,520	5,583,426	6,342,391	4,243,871
LESS ESTIMATED CAPITAL EXPENDITURES				
Youth Sports Coalition Annual Projects	66,000	12,014	66,000	0
Park Site 56 - Gibson Park	0	71	108,738	(108,738)
Central Park - Phase One	500,000	26,771	1,817,994	(1,317,994)
Maidu Interpretive Center Permanent Building Exhibits	0	0	23,281	(23,281)
Total Estimated Capital Expenditures	566,000	38,857	2,016,013	(1,450,013)
LESS ESTIMATED TRANSFERS OUT				
Reason Farms Revenue Fund	0	0	75,000	(75,000)
Park Development - SRSP Fund	0	8,161	2,189,709	(2,189,709)
Indirect Cost	6,663	6,663	6,663	0
Total Estimated Transfers Out	6,663	14,824	2,271,372	(2,264,709)
Total Estimated Expenditures and Transfers Out	572,663	53,681	4,287,385	(3,714,722)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,525,857</u>	<u>\$ 5,529,745</u>	<u>\$ 2,055,006</u>	529,149

CITYWIDE PARK DEVELOPMENT FUND - SVSP

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0	0
ESTIMATED REVENUES				
Park Construction Fee	0	45,873	0	0
In Lieu Fee	0	35,964	0	0
Interest	0	45	0	0
Total Estimated Revenues	0	81,882	0	0
Total Estimated Available for Appropriation	0	81,882	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 81,882</u>	<u>\$ 0</u>	0

CITYWIDE PARK DEVELOPMENT - WRSP FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 8,018,842	\$ 9,292,928	\$ 9,292,928	1,274,086
ESTIMATED REVENUES				
Park Construction Fees	951,815	232,330	951,815	0
Interest	48,500	34,507	48,500	0
Total Estimated Revenues	1,000,315	266,837	1,000,315	0
Total Estimated Available for Appropriation	9,019,157	9,559,765	10,293,243	1,274,086
LESS ESTIMATED EXPENDITURES AND TRANSFERS OUT				
WRSP Dog Park	0	94	230,000	(230,000)
Sports Park Complex	0	401,038	5,000,000	(5,000,000)
WRSP Bike Trail	0	0	128,265	(128,265)
Total Estimated Expenditures and Transfers Out	0	401,132	5,358,265	(5,358,265)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 9,019,157</u>	<u>\$ 9,158,633</u>	<u>\$ 4,934,978</u>	(4,084,179)

PARK DEVELOPMENT - FIDDYMENT44/WALAIRE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 283,895	\$ 284,459	\$ 284,459	564
ESTIMATED REVENUES				
Interest	<u>1,690</u>	<u>1,077</u>	<u>1,690</u>	0
Total Estimated Revenues	1,690	1,077	1,690	0
Total Estimated Available for Appropriation	285,585	285,537	286,149	564
ESTIMATED AVAILABLE RESOURCES	<u>\$ 285,585</u>	<u>\$ 285,537</u>	<u>\$ 286,149</u>	564

PARK DEVELOPMENT - HRNSP FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 13,413	\$ 11,938	\$ 11,938	0
ESTIMATED REVENUES				
Interest	<u>54</u>	<u>45</u>	<u>54</u>	(1,475)
Total Estimated Revenue	54	45	54	(1,475)
Total Estimated Available for Appropriation	13,467	11,983	11,992	(1,475)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>8</u>	<u>8</u>	<u>8</u>	0
Total Estimated Transfers Out	8	8	8	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 13,459</u>	<u>\$ 11,975</u>	<u>\$ 11,984</u>	(1,475)

PARK DEVELOPMENT - INFILL FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 993,554	\$ 1,076,747	\$ 1,076,747	83,193
ESTIMATED REVENUES				
Interest	7,028	4,074	7,028	0
Neighborhood Park Fee	<u>0</u>	<u>2,231</u>	<u>0</u>	0
Total Estimated Revenues	7,028	6,305	7,028	0
Total Estimated Available for Appropriation	1,000,582	1,083,052	1,083,775	83,193
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>2,235</u>	<u>2,235</u>	<u>2,235</u>	0
Total Estimated Transfers Out	2,235	2,235	2,235	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 998,347</u>	<u>\$ 1,080,817</u>	<u>\$ 1,081,540</u>	83,193

PARK DEVELOPMENT - LONGMEADOW FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 11,730	\$ 29,835	\$ 29,835	18,105
ESTIMATED REVENUES				
Interest	295	113	295	0
Total Estimated Revenues	295	113	295	0
Total Estimated Available for Appropriation	12,025	29,948	30,130	18,105
ESTIMATED AVAILABLE RESOURCES	<u>\$ 12,025</u>	<u>\$ 29,948</u>	<u>\$ 30,130</u>	18,105

PARK DEVELOPMENT - NCRSP FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,606,382	\$ 2,005,610	\$ 2,005,610	399,228
ESTIMATED REVENUES				
Interest	12,286	7,632	12,286	0
Total Estimated Revenues	12,286	7,632	12,286	0
ESTIMATED TRANSFERS IN				
North Central CFD Fund	0	0	500,000	500,000
Total Estimated Revenues and Transfers In	12,286	7,632	512,286	500,000
Total Estimated Available for Appropriation	1,618,668	2,013,242	2,517,896	899,228
LESS ESTIMATED CAPITAL EXPENDITURES				
NC 55B Parksite	0	0	121,484	(121,484)
NC 57 Neighborhood Park	0	0	199,600	(199,600)
Total Estimated Capital Expenditures	0	0	321,084	(321,084)
LESS ESTIMATED TRANSFERS OUT				
City Wide Park Development Fund	0	(19,585)	97,188	(97,188)
General CIP Rehabilitation Fund	0	0	26,728	(26,728)
Indirect Cost	6,408	6,408	6,408	0
Total Estimated Transfers Out	6,408	(13,177)	130,324	(123,916)
Total Estimated Expenditures and Transfers Out	6,408	(13,177)	451,408	(445,000)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,612,260</u>	<u>\$ 2,026,419</u>	<u>\$ 2,066,488</u>	454,228

PARK DEVELOPMENT - NERSP FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 25,718	\$ 25,786	\$ 25,786	68
ESTIMATED REVENUES				
Interest	<u>134</u>	<u>98</u>	<u>134</u>	0
Total Estimated Revenues	134	98	134	0
Total Estimated Available for Appropriation	25,852	25,884	25,920	68
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>16</u>	<u>16</u>	<u>16</u>	0
Total Estimated Transfers Out	16	16	16	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 25,836</u>	<u>\$ 25,868</u>	<u>\$ 25,904</u>	68

PARK DEVELOPMENT - NRSP FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 269,563	\$ 724,631	\$ 724,631	455,068
ESTIMATED REVENUES				
Interest	4,399	2,655	4,399	0
Neighborhood Park Fee	166,935	15,390	166,935	0
Bike Trail Fee	21,475	1,996	21,475	0
State Bonds/Grants/Reimbursements	0	0	175,000	175,000
Total Estimated Revenues	192,809	20,041	367,809	175,000
Total Estimated Available for Appropriation	462,372	744,671	1,092,440	630,068
LESS ESTIMATED CAPITAL EXPENDITURES				
Duke Davis Park	0	0	30,000	(30,000)
William "Bill" Hughes Park	0	11,583	534,803	(534,803)
Total Estimated Capital Expenditures	0	11,583	564,803	(564,803)
LESS ESTIMATED TRANSFERS OUT				
General CIP Rehabilitation Fund	32,700	0	32,700	0
Indirect Cost	446	446	446	0
Total Estimated Transfers Out	33,146	446	33,146	0
Total Estimated Expenditures and Transfers Out	33,146	12,029	597,949	(564,803)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 429,226</u>	<u>\$ 732,643</u>	<u>\$ 494,491</u>	65,265

PARK DEVELOPMENT - NRSP II FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 344,420	\$ 339,865	\$ 339,865	(4,555)
ESTIMATED REVENUES				
Neighborhood Park Fees	51,470	4,600	51,470	0
Bike Trail Fees	2,000	196	2,000	0
Interest	1,636	1,467	1,636	0
Total Estimated Revenues	55,106	6,263	55,106	0
Total Estimated Available for Appropriation	399,526	346,128	394,971	(4,555)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	98	98	98	0
Total Estimated Transfers Out	98	98	98	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 399,428</u>	<u>\$ 346,030</u>	<u>\$ 394,873</u>	(4,555)

PARK DEVELOPMENT - NRSP III FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 394,577	\$ 395,775	\$ 395,775	1,198
ESTIMATED REVENUES				
Neighborhood Park Fee	14,620	(2,924)	14,620	0
In Lieu Fee	7,570	(1,514)	7,570	0
Interest	2,039	1,438	2,039	0
Total Estimated Revenues	24,229	(3,000)	24,229	0
Total Estimated Available for Appropriation	418,806	392,774	420,004	1,198
LESS ESTIMATED CAPITAL EXPENDITURES				
Hamel Park Expansion	0	4,533	199,705	(199,705)
Total Estimated Expenditures	0	4,533	199,705	(199,705)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 418,806</u>	<u>\$ 388,242</u>	<u>\$ 220,299</u>	(198,507)

PARK DEVELOPMENT - NWRSP FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 3,093	\$ 3,098	\$ 3,098	5
ESTIMATED REVENUES				
Interest	<u>0</u>	<u>9</u>	<u>0</u>	0
Total Estimated Revenues	0	9	0	0
ESTIMATED TRANSFERS IN				
NWRSP Landscaping & Lighting District	0	343,393	343,393	343,393
Roadway Fund	0	122,337	122,337	122,337
Traffic Mitigation Fund	<u>0</u>	<u>97,153</u>	<u>199,263</u>	199,263
Total Estimated Transfers In	0	562,883	664,993	664,993
Total Estimated Revenues and Transfers In	0	562,892	664,993	664,993
Total Estimated Available for Appropriation	3,093	565,990	668,091	664,998
LESS ESTIMATED CAPITAL EXPENDITURES				
Overflow Parking/Driveway-RAC	<u>0</u>	<u>562,883</u>	<u>664,993</u>	(664,993)
Total Estimated Capital Expenditures	0	562,883	664,993	(664,993)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>313</u>	<u>313</u>	<u>313</u>	0
Total Transfers Out	313	313	313	0
Total Expenditures and Transfers Out	313	563,196	665,306	(664,993)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 2,780</u>	<u>\$ 2,794</u>	<u>\$ 2,785</u>	5

PARK DEVELOPMENT - SERSP FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,570	\$ 1,574	\$ 1,574	4
ESTIMATED REVENUES				
Interest	<u>0</u>	<u>6</u>	<u>0</u>	0
Total Estimated Revenues	0	6	0	0
Total Estimated Available for Appropriation	1,570	1,580	1,574	4
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,570</u>	<u>\$ 1,580</u>	<u>\$ 1,574</u>	4

PARK DEVELOPMENT - SRSP FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,318,613	\$ 1,277,057	\$ 1,277,057	(41,556)
ESTIMATED REVENUES				
Interest	5,300	4,624	5,300	0
Neighborhood Park Fee	221,925	(9,574)	221,925	0
Bike Trail Fee	24,675	(2,289)	24,675	0
Total Estimated Revenues	251,900	(7,239)	251,900	0
ESTIMATED TRANSFERS IN				
Citywide Park Development Fund	0	8,161	2,189,709	2,189,709
Total Estimated Transfers In	0	8,161	2,189,709	2,189,709
Total Estimated Revenues and Transfers In	251,900	922	2,441,609	2,189,709
Total Estimated Available for Appropriation	1,570,513	1,277,979	3,718,666	2,148,153
LESS ESTIMATED CAPITAL EXPENDITURES				
Harry Crabb Park	0	8,161	2,268,346	(2,268,346)
Stoneridge - Park Sites 2, 3, 4	0	0	35,000	(35,000)
Stoneridge - Park Site 56	0	0	123,269	(123,269)
Indirect Cost	7,269	7,269	7,269	0
Total Estimated Capital Expenditures	7,269	15,430	2,433,884	(2,426,615)
LESS ESTIMATED TRANSFERS OUT				
General CIP Rehabilitation Fund	0	1,269	5,001	(5,001)
Total Estimated Transfers Out	0	1,269	5,001	(5,001)
Total Estimated Expenditures and Transfers Out	7,269	16,699	2,438,885	(2,431,616)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,563,244</u>	<u>\$ 1,261,281</u>	<u>\$ 1,279,781</u>	(283,463)

PARK DEVELOPMENT - WESTBROOK FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 0	\$ 0	\$ 0	0
ESTIMATED REVENUES				
Neighborhood Park Fee	0	55,107	0	0
In Lieu Park Fee	0	3,807	0	0
Interest	0	33	0	0
Bike Trail Fee	0	1,701	0	0
	<u>0</u>	<u>60,648</u>	<u>0</u>	<u>0</u>
Total Estimated Revenues	0	60,648	0	0
Total Estimated Available for Appropriation	0	60,648	0	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 0</u>	<u>\$ 60,648</u>	<u>\$ 0</u>	<u>0</u>

PARK DEVELOPMENT - WOODCREEK EAST FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 16,154	\$ 16,337	\$ 16,337	183
ESTIMATED REVENUES				
Interest	<u>450</u>	<u>420</u>	<u>450</u>	0
Total Estimated Revenue	450	420	450	0
Total Estimated Available for Appropriation	16,604	16,757	16,787	183
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	<u>59</u>	<u>59</u>	<u>59</u>	0
Total Estimated Transfers Out	59	59	59	0
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 16,545</u></u>	<u><u>\$ 16,698</u></u>	<u><u>\$ 16,728</u></u>	183

PARK DEVELOPMENT - WRSP FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 6,090,309	\$ 8,982,665	\$ 8,982,665	2,892,356
ESTIMATED REVENUES				
Neighborhood Park Fee	1,165,350	279,843	1,165,350	0
Bike Trail Fee	299,625	71,877	299,625	0
Paseo Fee	241,680	112,366	241,680	0
Interest	45,700	32,812	45,700	0
Total Estimated Revenues	1,752,355	496,898	1,752,355	0
ESTIMATED TRANSFERS IN				
Park Development - WRSP - Bike Trail	0	0	38,955	38,955
City Wide Park Development - WRSP	0	0	128,265	128,265
Total Estimated Transfers In	0	0	167,220	167,220
Total Estimated Revenues and Transfers In	1,752,355	496,898	1,919,575	167,220
Total Estimated Available for Appropriation	7,842,664	9,479,563	10,902,240	3,059,576
LESS ESTIMATED CAPITAL EXPENDITURES				
WRSP F-83 Trail and PR Site	0	0	581,751	(581,751)
WRSP PCL W-15-Bike Trail	0	336,207	361,463	(361,463)
F-50 School Park	0	34,337	1,984,611	(1,984,611)
W87 Paseo	0	466,234	510,155	(510,155)
Total Estimated Capital Expenditures	0	836,778	3,437,980	(3,437,980)
LESS ESTIMATED TRANSFERS OUT				
Park Development - WRSP	0	0	38,955	(38,955)
Indirect Cost	5,033	5,033	5,033	0
Total Estimated Transfers Out	5,033	5,033	43,988	(38,955)
Total Estimated Expenditures and Transfers Out	5,033	841,811	3,481,968	(3,476,935)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 7,837,631</u>	<u>\$ 8,637,752</u>	<u>\$ 7,420,272</u>	(417,359)

PLEASANT GROVE DRAINAGE BASIN CONSTRUCTION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 4,758,450	\$ 5,253,581	\$ 5,253,581	495,131
ESTIMATED REVENUES				
Interest	30,018	19,997	30,018	0
Mitigation Fee	<u>350,000</u>	<u>130,233</u>	<u>350,000</u>	0
Total Estimated Revenues	380,018	150,230	380,018	0
Total Estimated Available for Appropriation	5,138,468	5,403,812	5,633,599	495,131
LESS ESTIMATED EXPENDITURES AND TRANSFERS OUT				
Pleasant Grove Retention Basin	0	0	488,339	(488,339)
Pleasant Grove Creek Hydraulic Modeling Update	26,000	0	43,308	(17,308)
Indirect Cost	<u>7,163</u>	<u>7,163</u>	<u>7,163</u>	0
Total Estimated Expenditures and Transfers Out	33,163	7,163	538,810	(505,647)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 5,105,305</u>	<u>\$ 5,396,649</u>	<u>\$ 5,094,789</u>	(10,516)

PROJECT PLAY FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 5,682	\$ 5,697	\$ 5,697	15
ESTIMATED REVENUES				
Donations	0	100	0	0
Interest	<u>27</u>	<u>22</u>	<u>27</u>	0
Total Estimated Revenues	27	122	27	0
Total Estimated Available for Appropriation	5,709	5,819	5,724	15
ESTIMATED AVAILABLE RESOURCES	<u>\$ 5,709</u>	<u>\$ 5,819</u>	<u>\$ 5,724</u>	15

PUBLIC FACILITIES FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 12,088,484	\$ 12,844,229	\$ 12,844,229	755,745
ESTIMATED REVENUES				
Interest	57,272	47,729	57,272	0
Public Facilities Fee	2,000,000	434,001	2,000,000	0
Total Estimated Revenues	2,057,272	481,730	2,057,272	0
ESTIMATED TRANSFERS IN				
RFA Capital Projects	0	1,983,070	15,050,000	15,050,000
Transportation Fund	0	107,600	130,514	130,514
Successor Agency Roseville RDA Fund	0	146,775	5,714,376	5,714,376
Total Estimated Transfers In	0	2,237,445	20,894,890	20,894,890
INTERFUND LOAN PRINCIPAL REPAYMENT FROM TECHNOLOGY FEE REPLACEMENT FUND	100,000	100,000	100,000	0
INTERFUND LOAN FROM PUBLIC FACILITIES FUND	0	0	4,000,000	4,000,000
Total Estimated Revenues and Transfers In	2,157,272	2,819,175	27,052,162	24,894,890
Total Estimated Available for Appropriation	14,245,756	15,663,404	39,896,391	25,650,635
LESS ESTIMATED EXPENDITURES				
WRSP Community Center	0	0	180,222	(180,222)
Radio Tower - West Plan	0	9,099	0	0
Oak Street Parking Garage	0	146,775	6,414,592	(6,414,592)
Downtown Building - 316 Vernon Street	0	1,983,070	26,694,075	(26,694,075)
Downtown Pedestrian Bridge	0	107,600	295,514	(295,514)
Total Estimated Expenditures	0	2,246,544	33,584,403	(33,584,403)
LESS ESTIMATED TRANSFERS OUT				
Indirect Cost	7,982	7,982	7,982	0
General Fund	0	0	355,798	(355,798)
General CIP Rehabilitation Fund	165,000	0	0	165,000
Total Estimated Transfers Out	172,982	7,982	363,780	(190,798)
Total Estimated Expenditures and Transfers Out	172,982	2,254,526	33,948,183	(33,775,201)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 14,072,774</u>	<u>\$ 13,408,878</u>	<u>\$ 5,948,208</u>	(8,124,566)

REASON FARMS REVENUE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 575,479	\$ 898,614	\$ 898,614	323,135
ESTIMATED REVENUES				
Interest	5,151	3,411	5,151	0
Miscellaneous Revenue	<u>0</u>	<u>31,110</u>	<u>0</u>	0
Total Estimated Revenues	5,151	34,521	5,151	0
ESTIMATED TRANSFERS IN				
City Wide Park Development Fund	<u>0</u>	<u>0</u>	<u>75,000</u>	75,000
Total Estimated Transfers In	0	0	75,000	75,000
Total Estimated Revenues and Transfers In	5,151	34,521	80,151	75,000
Total Estimated Available for Appropriation	580,630	933,135	978,765	398,135
LESS ESTIMATED EXPENDITURES				
Reason Farms Environmental Preserve	<u>0</u>	<u>0</u>	<u>346,033</u>	(346,033)
Total Estimated Expenditures	0	0	346,033	(346,033)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 580,630</u>	<u>\$ 933,135</u>	<u>\$ 632,732</u>	52,102

TRAFFIC BENEFIT FEE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 261,088	\$ 289,048	\$ 289,048	27,960
ESTIMATED REVENUES				
Traffic Benefit Fee	36,800	(7,360)	36,800	0
Interest	993	996	993	0
Total Estimated Revenues	37,793	(6,364)	37,793	0
Total Estimated Available for Appropriation	298,881	282,684	326,841	27,960
ESTIMATED AVAILABLE RESOURCES	<u>\$ 298,881</u>	<u>\$ 282,684</u>	<u>\$ 326,841</u>	27,960

TRAFFIC MITIGATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 6,575,507	\$ 22,312,172	\$ 22,312,172	15,736,665
ESTIMATED REVENUES				
Federal and State Bonds and Grants	900,000	137,284	4,680,367	3,780,367
Interest	100,664	83,023	100,664	0
Traffic Equipment Reimbursement	0	46,964	0	0
Mitigation Fee	2,500,000	637,305	2,500,000	0
Blue Oaks Blvd Fee	362,415	147,885	362,415	0
Westpark Drive Fee	91,890	32,119	91,890	0
Reimbursement	30,000	0	0	(30,000)
Total Estimated Revenues	3,984,969	1,084,580	7,735,336	3,750,367
ESTIMATED TRANSFERS IN				
NCRCFD #1 - Sub Improvement Construction Fund	1,000,000	1,000,000	1,108,444	108,444
Traffic Signal Coordination Fund	1,000,000	0	0	(1,000,000)
Total Estimated Transfers In	2,000,000	1,000,000	1,108,444	(891,556)
Total Estimated Revenues and Transfers In	5,984,969	2,084,580	8,843,780	2,858,811
Total Estimated Available for Appropriation	12,560,476	24,396,752	31,155,952	18,595,476
LESS ESTIMATED CAPITAL EXPENDITURES				
Developer Reimbursement - TMF	0	0	1,037,611	(1,037,611)
Atkinson/PFE Road Widening	0	0	708,423	(708,423)
Washington Blvd/Andora Widening	0	3,994	2,163,986	(2,163,986)
Blue Oaks Widening	0	0	781,883	(781,883)
Industrial Ave Bridge Replacement	0	21,872	4,260,696	(4,260,696)
Oakridge Bridge Replacement	0	54,774	453,116	(453,116)
Oak/Washington Roundabout	0	2,784	0	0
Conference Center Drive Extension	0	(513)	0	0
Blue Oaks-Pleasant Grove CMS Project	0	0	152,513	(152,513)
Woodcreek Oaks Widening	150,000	0	150,000	0
Pleasant Grove Roseville Parkway Triple Left	1,150,000	0	1,150,000	0
Atlantic/Eureka I-80 Westbound On-Ramp	0	4,332	600,000	(600,000)
City Traffic Model Update	75,000	58,667	186,779	(111,779)
Cirby/Roseville Road Intersection	900,000	507,607	4,284,467	(3,384,467)
Traffic Mitigation Operating Expenses	10,000	0	10,000	0
Special Studies - Roadway Permits	30,000	0	0	30,000
Traffic Signals	485,000	177,813	977,185	(492,185)
Total Estimated Capital Expenditures	2,800,000	831,330	16,916,659	(14,116,659)
LESS ESTIMATED TRANSFERS OUT				
Park Development - NWRSP	0	97,153	199,263	(199,263)
Indirect Cost	61,248	61,248	61,248	0
Total Estimated Transfers Out	61,248	158,401	260,511	(199,263)
Total Estimated Expenditures and Transfers Out	2,861,248	989,731	17,177,170	(14,315,922)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 9,699,228</u>	<u>\$ 23,407,021</u>	<u>\$ 13,978,782</u>	4,279,554

CITY OF ROSEVILLE CITIZEN'S BENEFIT TRUST FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 17,369,703	\$ 17,441,250	\$ 17,441,250	71,547
ESTIMATED REVENUES				
Interest	230,000	164,881	230,000	0
Donations	<u>0</u>	<u>5,871</u>	<u>0</u>	0
Total Estimated Revenues	230,000	170,752	230,000	0
Total Estimated Available for Appropriation	17,599,703	17,612,002	17,671,250	71,547
LESS ESTIMATED EXPENDITURES				
Community Grants	240,000	243,500	264,611	(24,611)
REACH Grants	<u>6,500</u>	<u>6,500</u>	<u>6,500</u>	0
Total Estimated Expenditures	246,500	250,000	271,111	(24,611)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 17,353,203</u>	<u>\$ 17,362,002</u>	<u>\$ 17,400,139</u>	46,936

Per Ordinance 3388, Section 4.06.040, the City Council may annually appropriate up to 90% of the annual interest earnings. To smooth out the monies available for grants, a higher percentage can be awarded when not all available interest was awarded in previous years. This allows for a higher award amount in a given fiscal year, while meeting the requirements of the ordinance.

ROSEVILLE AQUATICS COMPLEX MAINTENANCE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 23,404	\$ 23,404	\$ 23,404	0
Total Estimated Available for Appropriation	23,404	23,404	23,404	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 23,404</u>	<u>\$ 23,404</u>	<u>\$ 23,404</u>	0

GENERAL TRUST FUNDS

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 8,544	\$ 9,451	\$ 9,451	907
ESTIMATED REVENUES				
Merchant Parking Program Fund	<u>277</u>	<u>140</u>	<u>277</u>	0
Total Estimated Revenues	277	140	277	0
Total Estimated Available for Appropriation	8,821	9,591	9,728	907
LESS ESTIMATED EXPENDITURES				
Merchant Parking Program Fund	<u>600</u>	<u>0</u>	<u>600</u>	0
Total Estimated Expenditures	600	0	600	0
ESTIMATED AVAILABLE RESOURCES	<u><u>\$ 8,221</u></u>	<u><u>\$ 9,591</u></u>	<u><u>\$ 9,128</u></u>	907

OPEB TRUST FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 55,887,997	\$ 55,230,675	\$ 55,230,675	(657,322)
ESTIMATED REVENUES				
Investment Income	2,500,000	(568,143)	2,500,000	0
Contribution in OPEB	<u>5,868,277</u>	<u>0</u>	<u>5,868,277</u>	0
Total Estimated Revenues	8,368,277	(568,143)	8,368,277	0
LESS ESTIMATED TRANSFERS IN				
Post-Retirement Insurance/Accrual Fund	<u>4,047,782</u>	<u>2,023,891</u>	<u>4,047,782</u>	0
Total Estimated Transfers In	4,047,782	2,023,891	4,047,782	0
Total Estimated Revenues and Transfers In	12,416,059	1,455,748	12,416,059	0
Total Estimated Available for Appropriation	68,304,056	56,686,423	67,646,734	(657,322)
LESS ESTIMATED EXPENDITURES				
OPEB Trust	<u>6,033,277</u>	<u>40,910</u>	<u>6,033,277</u>	0
Total Estimated Expenditures	6,033,277	40,910	6,033,277	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 62,270,779</u>	<u>\$ 56,645,513</u>	<u>\$ 61,613,457</u>	(657,322)

Investment Income is based on a long-term portfolio average of 6.5% and may include income earned, realized, and unrealized gains/losses.

PRIVATE PURPOSE TRUST FUNDS

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 1,931,054	\$ 1,936,400	\$ 1,936,400	5,346
ESTIMATED REVENUES				
Schoolhouse Park - Jackson Monument Fund	0	12	0	0
Library Endowment Fund	2,924	174	2,924	0
Woodcreek West Endowment Fund	3,102	2,063	3,102	0
Woodcreek North (Sares) Fund	751	483	751	0
North Central Wetlands Endowment Fund	2,400	1,600	2,400	0
Highland Reserve North Endowment Fund	1,878	1,184	1,878	0
Commercial Center 65 Preserve Area Fund	697	443	697	0
Woodcreek East Longmeadow/Roseville Tech Park Fund	1,636	1,032	1,636	0
Reason Farms Environmental Preserve Fund	268	138	268	0
Silverado Oaks Urban Reserve Fund	456	289	456	0
Open Space Endowments - Miscellaneous	215	136	215	0
Total Estimated Revenues	14,327	7,553	14,327	0
Total Estimated Available for Appropriation	1,945,381	1,943,953	1,950,727	5,346
LESS ESTIMATED TRANSFERS OUT				
To Open Space Maintenance Fund from:				
Woodcreek West Endowment Fund	5,376	0	5,376	0
Woodcreek North (Sares) Fund	1,275	0	1,275	0
North Central Wetlands Endowment Fund	4,160	0	4,160	0
Highland Reserve North Endowment Fund	3,128	0	3,128	0
Commercial Center 65 Preserve Area Fund	1,172	0	1,172	0
Woodcreek East Longmeadow/Roseville Tech Park Fund	2,728	0	2,728	0
Reason Farms Environmental Preserve Fund	15,012	0	15,012	0
Silverado Oaks Urban Reserve Fund	763	0	763	0
Open Space Endowments - Misc Fund	359	0	359	0
Total Estimated Transfers Out	33,973	0	33,973	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,911,408</u>	<u>\$ 1,943,953</u>	<u>\$ 1,916,754</u>	5,346

SUCCESSOR AGENCY ROSEVILLE RDA FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,156,146	\$ 8,653,498	\$ 8,653,498	6,497,352
ESTIMATED REVENUES				
Secured Taxes	4,213,659	0	4,213,659	0
Proceeds from Sale of Bonds	0	0	4,760,000	4,760,000
Interest	63,513	45,754	63,513	0
Program Income	4,236	0	4,236	0
Total Estimated Revenues	4,281,408	45,754	9,041,408	4,760,000
Total Estimated Available for Appropriation	6,437,554	8,699,252	17,694,906	11,257,352
LESS ESTIMATED EXPENDITURES				
Successor Agency - RDA Admin	248,300	92,444	253,700	(5,400)
2006A RDA Project Tax Exempt TAB	646,525	108,801	646,525	0
2006AT RDA Proj Taxable TAB	262,913	150,349	262,913	0
2006HT HSG Taxable TAB	448,567	160,335	448,567	0
2014 RDA REF Project Tax Allocation	832,688	503,823	832,688	0
Total Estimated Expenditures	2,438,993	1,015,751	2,444,393	(5,400)
LESS ESTIMATED TRANSFERS OUT				
Low and Moderate Income Housing Fund	219,715	0	4,979,715	(4,760,000)
Public Facilities Fund	0	146,775	5,714,376	(5,714,376)
Total Estimated Transfers Out	219,715	146,775	10,694,091	(10,474,376)
Total Estimated Expenditures and Transfers Out	2,658,708	1,162,526	13,138,484	(10,479,776)
INTERFUND LOAN PRINCIPAL PAYMENT TO LOW MOD HOUSING FUND	244,399	111,020	244,399	0
INTERFUND LOAN PRINCIPAL PAYMENT TO GENERAL FUND	878,860	0	878,860	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 2,655,587</u>	<u>\$ 7,425,706</u>	<u>\$ 3,433,163</u>	777,576

COMMUNITY FACILITY DISTRICT FUNDS - BOND FUNDS

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 56,960,817	\$ 58,137,068	\$ 58,137,068	1,176,251
ESTIMATED REVENUES				
Downtown Roseville Prop - Business Improvement	241,676	19,142	241,676	0
Automall CFD#1 Special Tax Fund	617,432	1,569	617,432	0
Westbrook CFD#1 Special Tax Fund	823,390	104	823,390	0
Northeast Roseville CFD#2 Special Tax Fund	872,602	12,183	872,602	0
Northwest Roseville CFD#1 Special Tax Fund	2,291,599	36,642	2,291,599	0
Northcentral Roseville CFD#1 Special Tax Fund	4,313,145	96,261	4,313,145	0
North Roseville CFD#1 Special Tax Fund	1,794,719	592,062	2,359,461	564,742
Stoneridge Parcel 1 CFD#1 Special Tax Fund	158,483	5,284	158,483	0
Highland Reserve North CFD#1 Special Tax Fund	2,642,350	54,177	2,642,350	0
Woodcreek West CFD#1 Special Tax Fund	1,473,836	520,753	1,974,389	500,553
Crocker Ranch CFD#1 Special Tax Fund	1,488,970	20,227	1,488,970	0
Woodcreek East CFD#1 Special Tax Fund	501,636	9,254	501,636	0
Stoneridge East CFD#1 Special Tax Fund	1,233,568	17,329	1,233,568	0
Stoneridge West CFD#1 Special Tax Fund	962,473	18,255	962,473	0
Stone Point CFD#1 Special Tax Fund	944,683	2,060	944,683	0
Westpark CFD#1 Special Tax Fund	6,213,000	167,716	6,213,000	0
Fiddymment Ranch CFD#1 Special Tax Fund	5,639,426	(956,173)	5,639,426	0
Longmeadow CFD#1 Special Tax Fund	611,744	11,888	611,744	0
Stone Point CFD#5 Special Tax Fund	364,771	1,323	364,771	0
Diamond Creek CFD#1 Special Tax Fund	521,775	3,045	521,775	0
Fountains CFD#1 Special Tax Fund	971,257	3,894	971,257	0
Total Estimated Revenues	34,682,535	636,994	35,747,830	1,065,295
ESTIMATED TRANSFERS IN				
NRCFD #1 Special Tax Fund	0	350	351	351
Total Estimated Transfers In	0	350	351	351
Total Estimated Revenues and Transfers In	34,682,535	637,344	35,748,181	1,065,646
Total Estimated Available for Appropriation	91,643,352	58,774,412	93,885,249	2,241,897
LESS ESTIMATED EXPENDITURES				
Downtown Roseville Prop - Business Improvement	248,006	0	248,006	0
Automall CFD#1 Special Tax Fund	555,700	508,330	555,700	0
Westbrook CFD#1 Special Tax Fund	728,866	2,299	728,866	0
Northeast Roseville CFD#2 Special Tax Fund	860,464	748,281	860,464	0
Northwest Roseville CFD#1 Special Tax Fund	2,306,994	2,045,164	2,306,994	0
North Central Roseville CFD#1 Special Tax Fund	3,947,298	3,584,815	3,947,298	0
North Roseville CFD#1 Special Tax Fund	1,817,548	1,659,384	2,000,403	(182,855)
Stoneridge Parcel 1 CFD#1 Special Tax Fund	157,198	107,619	157,198	0
Highland Reserve North CFD#1 Special Tax Fund	2,658,564	2,026,529	2,658,759	(195)
Woodcreek West CFD#1 Special Tax Fund	1,466,015	1,237,283	1,660,073	(194,058)
Crocker Ranch CFD#1 Special Tax Fund	1,498,160	989,374	1,498,160	0
Woodcreek East CFD#1 Special Tax Fund	508,295	363,197	508,295	0
Stoneridge East CFD#1 Special Tax Fund	1,244,051	865,180	1,244,076	(25)
Stoneridge West CFD#1 Special Tax Fund	967,885	673,420	967,885	0
Stone Point CFD#1 Special Tax Fund	795,524	536,780	795,524	0
Westpark CFD#1 Special Tax Fund	5,567,793	3,798,232	5,567,793	0
Fiddymment Ranch CFD#1 Special Tax Fund	5,184,627	3,113,839	5,184,627	0
Longmeadow CFD#1 Special Tax Fund	617,766	417,206	617,766	0
Stone Point CFD#5 Special Tax Fund	364,773	203,167	364,773	0
Diamond Creek CFD#1 Special Tax Fund	450,950	250,864	450,950	0
Fountains CFD#1 Special Tax Fund	840,556	409,915	840,556	0
Total Estimated Expenditures	32,787,033	23,540,878	33,164,166	(377,133)
LESS ESTIMATED TRANSFERS OUT				
To NRCFD#1 Construction Fund frm NRCFD #1 Special Tax Fund	500,000	0	800,000	(300,000)
To Fiddymment Rnch CFD#1 Imprvmt Fund frm Fiddymment Rnch CFD#1 Spcl Tax Fund	200,000	22,123	300,000	(100,000)
To Stone Point CFD#5 Improvement Fund frm Stone Point CFD#5 Special Tax Fund	0	166	166	(166)
To Storm Water Management Fund frm Diamond Creek CFD#1 Improvement Fund	4,218	0	4,218	0
Total Estimated Transfers Out	704,218	22,288	1,104,384	(400,166)
Total Estimated Expenditures and Transfers Out	33,491,251	23,563,166	34,268,550	(777,299)
ESTIMATED AVAILABLE RESOURCES	\$ 58,152,101	\$ 35,211,246	\$ 59,616,699	1,464,598

AUTOMOTIVE REPLACEMENT FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 25,075,601	\$ 31,314,978	\$ 31,314,978	6,239,377
ESTIMATED REVENUES				
Automotive Replacement	6,606,922	6,328,087	6,606,922	0
Interest	260,774	146,769	260,774	0
Miscellaneous	0	130,825	0	0
Total Estimated Revenues	6,867,696	6,605,681	6,867,696	0
ESTIMATED INTERFUND LOAN PRINCIPAL REPAYMENTS				
School-Age Child Care Fund	0	60,000	60,000	60,000
Fire Facilities Tax Fund	239,475	239,474	239,475	0
Golf Operations Fund	187,000	127,000	127,000	(60,000)
Total Estimated Loan Repayments	426,475	426,474	426,475	0
Total Estimated Revenues and Loan Repayments	7,294,171	7,032,155	7,294,171	0
Total Estimated Available for Appropriation	32,369,772	38,347,133	38,609,149	6,239,377
LESS ESTIMATED EXPENDITURES				
Vehicle Replacement	8,447,707	6,216,528	14,334,157	(5,886,450)
Total Estimated Expenditures	8,447,707	6,216,528	14,334,157	(5,886,450)
LESS ESTIMATED TRANSFERS OUT				
General Fund	0	2,827	11,681	(11,681)
Indirect Cost	71,964	71,964	71,964	0
Total Estimated Transfers Out	71,964	74,791	83,645	(11,681)
Total Estimated Expenditures and Transfers Out	8,519,671	6,291,319	14,417,802	(5,898,131)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 23,850,101</u>	<u>\$ 32,055,815</u>	<u>\$ 24,191,347</u>	341,246

AUTOMOTIVE SERVICES FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ (1,338,160)	\$ (957,332)	\$ (957,332)	380,828
ESTIMATED INVENTORY	\$ 806,281	\$ 653,998	\$ 653,998	(152,283)
ESTIMATED REVENUES				
Interest	7,914	5,437	7,914	0
Vehicle Usage Charge	7,366,304	5,739,293	7,366,304	0
Alarm Permits	0	2,094	0	0
Administrative Fee	0	1,000	0	0
From Other Agencies	48,000	23,675	48,000	0
Reimbursement	13,000	0	13,000	0
Other Revenue	0	207	0	0
Total Estimated Revenues	7,435,218	5,771,707	7,435,218	0
Total Estimated Available for Appropriation	6,903,339	5,468,372	7,131,884	228,545
LESS ESTIMATED EXPENDITURES				
Mechanical Maintenance	6,364,693	2,780,907	6,368,748	(4,055)
Total Estimated Expenditures	6,364,693	2,780,907	6,368,748	(4,055)
LESS ESTIMATED TRANSFERS OUT				
General Fund	0	3,542	14,632	(14,632)
General CIP Rehabilitation Fund	72,000	41,776	116,881	(44,881)
Post-Retirement Insurance/Accrual Fund	181,445	89,801	181,445	0
Indirect Cost	665,556	332,778	665,556	0
Total Estimated Transfers Out	919,001	467,897	978,514	(59,513)
Total Estimated Expenditures and Transfers Out	7,283,694	3,248,804	7,347,262	(63,568)
ESTIMATED AVAILABLE RESOURCES AND INVENTORY	<u>\$ (380,355)</u>	<u>\$ 2,219,568</u>	<u>\$ (215,378)</u>	164,977

This fund is currently negative due to lower than projected revenues and higher than projected expenditures in FY2012-13. Beginning in FY2014-15, auto rental rates are being increased annually until the fund balance is positive. We anticipate a positive fund balance within three years.

DENTAL INSURANCE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 529,810	\$ 835,235	\$ 835,235	305,425
ESTIMATED REVENUES				
Interest	4,131	2,697	4,131	0
Insurance Premium	<u>1,569,331</u>	<u>738,111</u>	<u>1,569,331</u>	0
Total Estimated Revenues	1,573,462	740,808	1,573,462	0
Total Estimated Available for Appropriation	2,103,272	1,576,043	2,408,697	305,425
LESS ESTIMATED EXPENDITURES				
Dental Claims and Services	1,818,272	599,891	1,987,219	(168,947)
Indirect Cost	<u>3,823</u>	<u>3,823</u>	<u>3,823</u>	0
Total Estimated Expenditures	1,822,095	603,714	1,991,042	(168,947)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 281,177</u>	<u>\$ 972,328</u>	<u>\$ 417,655</u>	136,478

GENERAL LIABILITY FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 2,667,900	\$ 3,434,986	\$ 3,434,986	767,086
ESTIMATED REVENUES				
Interest	16,820	18,723	16,820	0
Accident Expense Recovery	0	1,565	0	0
Self-Insurance Premium	2,204,346	2,204,346	2,204,346	0
Other Revenue	0	2,000	0	0
Total Estimated Revenues	2,221,166	2,226,633	2,221,166	0
Total Estimated Available for Appropriation	4,889,066	5,661,620	5,656,152	767,086
LESS ESTIMATED EXPENDITURES				
Self-Insurance Claims and Services	2,834,934	1,361,636	2,844,609	(9,675)
Indirect Cost	2,282	2,282	2,282	0
Total Estimated Expenditures	2,837,216	1,363,918	2,846,891	(9,675)
LESS ESTIMATED TRANSFERS OUT				
General Fund	160,000	0	160,000	0
Total Estimated Transfers Out	160,000	0	160,000	0
Total Estimated Expenditures and Transfers Out	2,997,216	1,363,918	3,006,891	(9,675)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 1,891,850</u>	<u>\$ 4,297,702</u>	<u>\$ 2,649,262</u>	757,412

GENERAL LIABILITY - RENT INSURANCE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 32,895	\$ 32,981	\$ 32,981	86
ESTIMATED REVENUES				
Interest	188	125	188	0
Total Estimated Revenues	188	125	188	0
Total Estimated Available for Appropriation	33,083	33,106	33,169	86
ESTIMATED AVAILABLE RESOURCES	<u>\$ 33,083</u>	<u>\$ 33,106</u>	<u>\$ 33,169</u>	86

POST-RETIREMENT INSURANCE/ACCRUAL FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 3,757,625	\$ 2,441,146	\$ 2,441,146	(1,316,479)
ESTIMATED REVENUES				
Interest	10,006	4,961	10,006	0
Self-Insurance Premium	4,240,572	1,944,134	4,240,572	0
HSA Fire OPEB	0	20,674	0	0
				0
Total Estimated Revenues	4,250,578	1,969,769	4,250,578	0
ESTIMATED TRANSFERS IN				
Electric Operations Fund	765,679	405,237	765,679	0
Traffic Signals Maintenance Fund	15,407	5,322	15,407	0
School-Age Child Care Fund	146,436	67,905	146,436	0
Local Transportation Fund	11,313	6,688	11,313	0
Golf Course Operations Fund	3,640	1,779	3,640	0
Water Operations Fund	301,191	176,171	301,191	0
Wastewater Operations Fund	464,596	233,714	464,596	0
Solid Waste Operations Fund	280,600	127,006	280,600	0
Water Meter Retrofit Fund	15,407	7,514	15,407	0
Water EU Engineering Fund	23,131	11,287	23,131	0
Automotive Services Fund	181,445	89,801	181,445	0
General Fund	4,772,461	2,092,190	4,772,461	0
Total Estimated Transfers In	6,981,306	3,224,614	6,981,306	0
Total Estimated Revenues and Transfers In	11,231,884	5,194,383	11,231,884	0
Total Estimated Available for Appropriation	14,989,509	7,635,529	13,673,030	(1,316,479)
LESS ESTIMATED EXPENDITURES				
Retirement Settlements/Insurance	6,981,307	3,230,265	6,981,307	0
Professional Services	62,000	1,500	64,268	(2,268)
OPEB Trust Fund	4,047,782	2,023,891	4,047,782	0
Indirect Cost	44,276	44,276	44,276	0
Total Estimated Expenditures	11,135,365	5,299,932	11,137,633	(2,268)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 3,854,144</u>	<u>\$ 2,335,597</u>	<u>\$ 2,535,398</u>	(1,318,746)

SECTION 125 FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 68,913	\$ 68,912	\$ 68,912	(1)
ESTIMATED REVENUES				
Interest	188	50	188	0
Self-Insurance Premium	<u>450,000</u>	<u>211,711</u>	<u>450,000</u>	0
Total Estimated Revenues	450,188	211,760	450,188	0
Total Estimated Available for Appropriation	519,101	280,673	519,100	(1)
LESS ESTIMATED EXPENDITURES				
Cafeteria Plan Claims	450,000	99,267	450,000	0
Indirect Cost	<u>1,073</u>	<u>1,073</u>	<u>1,073</u>	0
Total Estimated Expenditures	451,073	100,340	451,073	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 68,028</u>	<u>\$ 180,333</u>	<u>\$ 68,027</u>	(1)

UNEMPLOYMENT INSURANCE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 530,068	\$ 557,496	\$ 557,496	27,428
ESTIMATED REVENUES				
Interest	1,200	1,986	1,200	0
Self-Insurance Premium	<u>587,692</u>	<u>286,512</u>	<u>587,692</u>	0
Total Estimated Revenues	588,892	288,498	588,892	0
Total Estimated Available for Appropriation	1,118,960	845,994	1,146,388	27,428
LESS ESTIMATED EXPENDITURES				
Unemployment Claims	225,000	60,536	225,000	0
Indirect Cost	<u>8,060</u>	<u>8,060</u>	<u>8,060</u>	0
Total Estimated Expenditures	233,060	68,596	233,060	0
INTERFUND LOAN PRINCIPAL PAYMENT TO WORKERS' COMPENSATION FUND	90,353	90,353	90,353	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 795,547</u>	<u>\$ 687,045</u>	<u>\$ 822,975</u>	27,428

VISION INSURANCE FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 239,305	\$ 296,644	\$ 296,644	57,339
ESTIMATED REVENUES				
Interest	1,663	1,090	1,663	0
Insurance Premium	<u>174,415</u>	<u>79,619</u>	<u>174,415</u>	0
Total Estimated Revenues	176,078	80,709	176,078	0
Total Estimated Available for Appropriation	415,383	377,353	472,722	57,339
LESS ESTIMATED EXPENDITURES				
Vision Claims and Services	235,961	80,121	271,291	(35,330)
Indirect Cost	<u>4,162</u>	<u>4,162</u>	<u>4,162</u>	0
Total Estimated Expenditures	240,123	84,283	275,453	(35,330)
ESTIMATED AVAILABLE RESOURCES	<u>\$ 175,260</u>	<u>\$ 293,070</u>	<u>\$ 197,269</u>	22,009

WORKERS' COMPENSATION FUND

	Adopted Budget FY2015-16	Actual 12/31/2015	Mid Year Budget FY2015-16	Variance Favorable (Unfavorable)
ESTIMATED AVAILABLE RESOURCES AND RESERVES	\$ 11,664,998	\$ 10,988,404	\$ 10,988,404	(676,594)
ESTIMATED REVENUES				
Interest	49,414	48,552	49,414	0
Workers' Compensation Premium	2,788,350	2,820,949	2,788,350	0
Workers' Comp Refund - Emp	0	10,697	0	0
Reimbursement	0	5,993	0	0
Total Estimated Revenues	2,837,764	2,886,191	2,837,764	0
INTERFUND LOAN PRINCIPAL REPAYMENT FROM UNEMPLOYMENT INSURANCE FUND	90,353	90,353	90,353	0
Total Estimated Available for Appropriation	14,593,115	13,964,947	13,916,521	(676,594)
LESS ESTIMATED EXPENDITURES				
Workers' Compensation Claims and Services	3,526,913	2,217,734	3,526,913	0
Indirect Cost	90,469	90,469	90,469	0
Total Estimated Expenditures	3,617,382	2,308,203	3,617,382	0
ESTIMATED AVAILABLE RESOURCES	<u>\$ 10,975,733</u>	<u>\$ 11,656,745</u>	<u>\$ 10,299,139</u>	(676,594)

QUARTERLY PERFORMANCE SUMMARY

Central Services.....	B - 17
City Attorney.....	B - 5
City Clerk.....	B - 16
City Manager.....	B - 3
Economic Development.....	B - 28
Development Services.....	B - 63
Electric.....	B - 57
Environmental Utilities.....	B - 41
Finance.....	B - 6
Fire.....	B - 23
Human Resources.....	B - 11
Information Technology.....	B - 13
Parks, Recreation & Libraries.....	B - 31
Police.....	B - 21
Public Works.....	B - 37

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PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA GENERAL GOVERNMENT		DEPARTMENT	CITY MANAGER (01500)	PROGRAM PUBLIC AFFAIRS AND COMMUNICATION DEPARTMENT (01520)			
PROGRAM							
To promote and strengthen Roseville's identity by ensuring the City speaks with one voice in all communications - online, on COR-TV, in the news media, in newsletters, in speeches and social media. To promote Roseville's advocacy efforts in government relations at the state and federal level.							
PROGRAM OBJECTIVE							
- Provide accurate, consistent, timely information to news media as City's primary spokesperson and through new City news bureau - Promote city services and initiatives through Web site, COR-TV, media relations, advertising, COR e-newsletter, social media and presentations - Lead communications during citywide emergencies and EOC activation - Consult with departments and work teams on communications strategy for sensitive issues, special initiatives, and high-visibility projects promoted via website, COR-TV, e-newsletters and social media - Create multi-media productions for broadcast on COR-TV, video streaming live on website as well as archived on the City's website - Write newsletters, columns, speeches, news releases, brochure copy, Web content and social media content. (COMM) - Develop, recommend, manage annual State and federal legislative agenda for the City in accordance with Council policy - Develop and recommend specific legislative strategies to the City Manager, Council and City staff - Establish and maintain effective working relationships with the City's State and federal delegations and staff and interest groups - Direct contact with federal, state, local elected officials and administrative agencies on legislation, state and regional issues, and regulatory matters - Develop, plan and facilitate meetings between City officials, regional, State and national elected officials and organizations - Develop and maintain the City's relationship with its contract lobbyists and serve as the City's primary, day-to-day liaison							
PERFORMANCE MEASURES							
WORK VOLUME		Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Number of meeting/hrs of live meeting coverage on COR-TV - Hours per day of meetings replayed on COR-TV during weekday - Number of media issues handled weekly - Track pertinent State/federal legislation & monitor legislative developments - Number of City meetings to review and discuss legislation and regulations - Attend and participate in neighborhood associations and RCONA meetings/activities - Communicate regularly via email with neighborhood associations and RCONA on City information, activities, programs and services.		20 10 9 225 8 4 18	25 10 8 50 3 5 20			45 10 17 275 11 9 38	90 10 25 800 50 18 65
EFFICIENCY / EFFECTIVENESS							
- Percentage of timely responses to media and public inquiries - Number of legislative bills tracked and monitored - Number of meetings with City departments - Visits with City staff and elected officials - Cost per capita for services - Respond to requests for assistance by neighborhood assoc and RCONA - Percentage of assistance with City departments and/or neighborhood associations and RCONA with projects, programs and services as requested		100% 61 12 9 \$1.33 100% 100%	100% 92 11 3 \$1.41 100% 100%			100% 153 23 12 45 \$2.74 100% 100%	100% 150 45 45 \$4.61 100% 100%
COMMENTS							

Fiscal Year 2015-16

B - 4

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	CITY ATTORNEY (02000)	PROGRAM	LEGAL SERVICES (02000)
GENERAL GOVERNMENT				
PROGRAM To act as legal counsel to the City Council, Housing Authority, Redevelopment Successor Agency, and all boards and commissions, to provide high quality legal services to the various city departments, and manage litigation.				
PROGRAM OBJECTIVE - To complete 80% of all requests for legal service within 15 days; 90% within 45 days; and 100% within 90 days				
PERFORMANCE MEASURES				
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Requests for legal service completed - Litigation: Representation (Pitchess Motions, Code Enforcement, etc.) - Litigation: Management - major cases - Ordinance / resolutions prepared - Citations and code enforcement complaints filed - Written legal opinions - Informal legal opinions - Public meeting	418 8 5 58 / 180 170 836 1,168 44	345 15 3 40 / 99 148 897 1,007 35		
- Percent of requests for service completed within 15 days - Percent of requests for service completed within 45 days - Percent of requests for service completed within 90 days - Cost per capita	89% 97% 99% \$2.79	91% 95% 98% \$3.42		
EFFICIENCY / EFFECTIVENESS - Percent of requests for service completed within 15 days - Percent of requests for service completed within 45 days - Percent of requests for service completed within 90 days - Cost per capita	89% 97% 99% \$2.79	91% 95% 98% \$3.42		
COMMENTS				

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	FINANCE (05000)	PROGRAM	BUDGET (05010)
GENERAL GOVERNMENT				
PROGRAM To coordinate the preparation of City budget; provide revenue and expenditure monitoring and forecasting.				
PROGRAM OBJECTIVE - To prepare budget documents and present to City Council by the first June meeting - To publish the Quarterly Performance Reports within thirty days after printing of the latest monthly financial reports - Provide monthly reports to management on significant revenue trends - To apply and receive the CSMFO Certificate of Award in Budgeting - To project significant General Fund taxes within 5% of actual				
PERFORMANCE MEASURES				
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Number of Funds included in Financial Analysis reports - Total number of Funds monitored - Number of Quarterly Program Performance reports monitored - Number of city employees attending Annual Budget Training Class - Number of budget adjustments processed - Number of active projects budgeted - Number of hours spent managing budget blocks	n/a n/a 66 n/a n/a n/a 116	103 206 66 n/a n/a n/a 28		103 206 66 n/a n/a n/a 143
EFFICIENCY / EFFECTIVENESS - Average number of days to publish Quarterly Performance Report - Average number of days to provide monthly operating revenue trends to management - Receive the CSMFO Certificate of Award in Budgeting. - Variance of significant General Fund taxes - Budget to Actual - Deliver on time and balanced budget by second meeting in June - Number of divisions exceeding their budget - Cost of Budget per capita	n/a 5 n/a n/a n/a n/a \$0.86	15 5 n/a n/a n/a n/a \$1.15		30 5 1 5% Yes 0 \$4.67
COMMENTS * Annual Budget training classes are held during the 3rd quarter. ** This is calculated at the end of the fiscal year rather than a quarterly basis.				

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	FINANCE (05000)	PROGRAM	LICENSING (05020)
GENERAL GOVERNMENT				
PROGRAM To provide centralized collection and timely processing of business licenses and dog licenses.				
PROGRAM OBJECTIVE - To reduce the number of unlicensed businesses operating in Roseville by exercising appropriate surveillance procedures - To provide exceptional customer service through knowledgeable employees, quick service, and quality products				
PERFORMANCE MEASURES				
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Number of business licenses issued - Number of home - based businesses - Number of closed business licenses	396 86 40	3,601 643 253		3,997 729 293
				9,700 300 1,000
EFFICIENCY / EFFECTIVENESS	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Process all license applications within 4 working days - Licenses mailed within 3 weeks - Phone messages returned within 1 business day	100.0% 100.0% 100.0%	98.0% 100.0% 100.0%		99.0% 100.0% 100.0%
				99% 100% 100%
COMMENTS Efficiency/Effectiveness rating of 98% is due to the focus of processing a large number of annual renewals in the month of December.				

Fiscal Year 2015-16

B - 8

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	FINANCE (05000)	PROGRAM	UTILITY BILLING AND SERVICES (05040 - 05043)
GENERAL GOVERNMENT				
PROGRAM Deliver superior service to our internal and external customers in a fiscally responsible manner. Minimize complaints from the public.				
PROGRAM OBJECTIVE To Provide: - Accurate Meter Reading - Timely and accurate billing services - Quality customer service - Revenue protection				
PERFORMANCE MEASURES				
WORK VOLUME				
- Number of customer service orders processed per year	7,652	5,469		13,121
- Number of utility bills produced per year	198,049	197,482		395,531
- Number of meters read per year	296,003	297,335		593,338
- Number of customer service calls per year answered by customer service staff	26,213	22,912		49,125
- Number of utility payments processed each year	189,731	190,198		379,929
- Number of walk-in customers assisted by customer service staff each year	7,785	7,403		15,188
				35,000
				775,000
				1,155,000
				103,000
				700,000
				30,000
EFFICIENCY / EFFECTIVENESS				
- Accuracy rate - meters read	99.96%	99.98%		99.97%
- Accuracy rate - dollar amount of billing adjustments	99.99%	99.98%		99.99%
- Cost per utility bill (total costs/total number of bills)	\$3.83	\$3.99		\$3.91
- Percent change in cost per utility bill	*	*		* TBD YE
- Bad debt as a percentage of amount billed	0.21%	0.30%		0.26%
- Average call wait time (seconds)	62	52		57
- Accuracy rate - utility bills issued on time	100.00%	100.00%		100.00%
				90
				100%
COMMENTS * This is determined at end of fiscal year rather than a quarterly basis.				

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA GENERAL GOVERNMENT	DEPARTMENT	FINANCE (05000)	PROGRAM GENERAL ACCOUNTING / PAYROLL (05011, 05012, 05050, 05051, 05053)			
PROGRAM						
To provide comprehensive, accurate and timely financial service and data to all City departments and to ensure that the departments comply with all legal provisions governing revenue and expenditures.						
PROGRAM OBJECTIVE						
- To provide interim financial reports to the departments not later than ten working days after the end of the month						
- To pay all invoices, excluding incomplete purchase order, within thirty calendar days of receipt by the city						
- To prepare June 30 closing reports for the annual audit by October 1						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Purchase orders / Payment requests / Housing payments processed	4,597	4,110			8,707	20,000
- Number of accounts payable transactions	12,323	13,279			25,602	64,000
- Payroll checks	10,103	11,140			21,243	43,000
- Number of employees processed - Regular	1,045	1,069			1,069	1,100
- Number of employees processed - Total	1,597	1,567			1,597	1,800
EFFICIENCY / EFFECTIVENESS	10.3	10.7			10.5	10.0
- Average number of workdays required to issue financial reports	n/a	12.0			12.0	13.0
- Number of weeks required to prepare closing reports for auditors						
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM	HUMAN RESOURCES (03100)
GENERAL GOVERNMENT	HUMAN RESOURCES (03100)		
PROGRAM To provide departments the best applicants for city employment; and to make available opportunities for employee development through training, performance evaluation, and strategic succession planning efforts, thereby enhancing potential, and productivity, and employee retention.			
PROGRAM OBJECTIVE - Perform recruitments to provide a quality pool of candidates to fill various departments' hiring needs - Maintain an effective classification and compensation plan - Evaluate and implement workforce development strategies that will result in effective recruitment and retention of a high quality workforce - Offer job-related training, volunteer, internship and career development opportunities City-wide - Negotiate labor agreements with bargaining units			
PERFORMANCE MEASURES			
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3
- Total authorized regular employees	1,133	1,337	1,337
- Number of general / management recruitments	30	20	50
- Number of temporary recruitments	12	12	24
- Number of training hours citywide - General	4,522	4,327	8,849
- Number of training hours citywide - Safety	2,823	2,906	5,729
- Number of New Hires onboarded - Regular	24	32	56
- Number of New Hires onboarded - Temporary	68	51	119
- Number of employees covered by benefits	n/a	n/a	0
- Number of dependents covered by benefits	n/a	n/a	0
EFFICIENCY / EFFECTIVENESS - Percentage of employees participating in mandated training			
	n/a	n/a	0%
COMMENTS Data is currently unavailable. It will be reported at the end of the fiscal year.			

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA GENERAL GOVERNMENT	DEPARTMENT HUMAN RESOURCES (03100)	PROGRAM RISK MANAGEMENT (03110, 03111, 03112)					
PROGRAM To minimize adverse effects of accidental loss by 1) identification of exposure; 2) examining feasible alternatives; 3) selecting and implementing the best alternatives; and 4) monitoring the results of the chosen alternatives.							
PROGRAM OBJECTIVE - To produce safety and liability training programs designed to reduce the potential for accidents - Manage risk and demonstrate our commitment to the safety of employees and the public - Manage City's financial resources							
PERFORMANCE MEASURES		Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
WORK VOLUME - Number of subrogation (cost recovery) claims - Number of workers' compensation claims filed - Number of government claims filed - Number of loss days		20 39 36 177	19 32 18 178			39 71 54 355	100 130 100 600
EFFICIENCY / EFFECTIVENESS - Percentage of subrogation claims with recovery - Percentage of medical vs. indemnity - Percentage of regular City staff in compliance w/ required citywide training		n/a 66% n/a	n/a 69% n/a			n/a 68% n/a	75% 75% 65%
COMMENTS Data is currently unavailable. It will be reported at the end of the fiscal year.							

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA GENERAL GOVERNMENT	DEPARTMENT INFORMATION TECHNOLOGY (03100)	PROGRAM STRATEGIC PLANNING (03120)				
PROGRAM						
The IT Strategic Planning team focuses on the management and governance of technology related projects, IT policies and procedures, re-investment in IT staff, IT budget and office administration, as well as strategic training and planning for the department's future and vision.						
PROGRAM OBJECTIVE						
- Provide secure, cost effective and proficient technology leadership for Roseville - Customer focused, continuously improving partnerships, working towards the City's success - Achieve results by meeting business needs through flexible and agile innovation - Develop a trusted, cooperative, collaborative work environment						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- # of innovative projects submitted (quarterly) - Amount of Revenue transacted via technology (annual) - # of innovative projects completed (annual) - # of change requests submitted (annual) - % of Annual General Fund Operating Revenue Spent by IT Department	5 \$25 6 157 *	3 \$26 4 150 *			8 \$51 10 307 *	25 \$216 Mil 12 650 5.3%
EFFICIENCY / EFFECTIVENESS						
- % of budget reinvested in staff (annual) - % of hours spent on innovation/projects (annual) - # of hours utilizing multisourcing staffing options (annual)	4.0% 9% 1,000	3.0% 7% 879			7.0% 16% 1,879	1.5% 30% 2,000
COMMENTS						
* This will be reported at year-end rather than a quarterly basis.						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA GENERAL GOVERNMENT	DEPARTMENT INFORMATION TECHNOLOGY (03100)	PROGRAM INFRASTRUCTURE (03121, 03122, 03124)				
PROGRAM						
The IT Infrastructure team consists of three divisions: Data Center, Network, and Geographical Information Systems/Internet. They are dedicated to the quality supply of the City's network, security (both physical and informational), radio and telephony, data center maintenance, database administration, server administration and security, web infrastructure and development, and all geographic information systems.						
PROGRAM OBJECTIVE						
- Provide secure, cost effective and proficient technology leadership for Roseville - Customer focused, continuously improving partnerships, working towards the City's success - Achieve results by meeting business needs through flexible and agile innovation - Develop a trusted, cooperative, collaborative work environment						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- # of radio transmissions without busy signal (quarterly) - # of landline/telephone calls with external customers (quarterly) - # of web conferences conducted (quarterly) - # of visits to City website (quarterly) - # of views of City website (quarterly)	598,010 305,009 222 1.2 7.8	528,342 310,010 231 1.1 6.7			1,126,352 615,019 453 2.3 14.5	2.26 Mil 3.23 Mil 988 3.2 Mil 20 Mil
EFFICIENCY / EFFECTIVENESS						
- % of security incidents quarantined (quarterly) - % of network uptime during business hours (quarterly) - % of radio transmission without interference (annual) - % of servers that are virtualized (annual) - % of technology solutions in the Cloud (annual)	100% 99% 100% 81% 32%	100% 99% 100% 81% 32%			100% 99% 100% 81% 32%	100% 99% 100% 80% 33%
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA GENERAL GOVERNMENT	DEPARTMENT INFORMATION TECHNOLOGY (03100)	PROGRAM CUSTOMER SERVICE (03123, 03125, 03126)				
PROGRAM						
The IT Customer Service team comprises of three divisions: Service Desk, Business Applications, and Public Safety. They are dedicated to providing superior customer service to the City, incident management, asset management, business and public safety applications support, technology solutions implementation, report development and business solutions analysis.						
PROGRAM OBJECTIVE						
- Provide secure, cost effective and proficient technology leadership for Roseville - Customer focused, continuously improving partnerships, working towards the City's success - Achieve results by meeting business needs through flexible and agile innovation - Develop a trusted, cooperative, collaborative work environment						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- # of service requests and incidents handled (quarterly) - # of general government business systems & solutions supported (annual) - # of public safety systems & solutions supported (annual) - # of utility systems & solutions supported (annual) - # of mobile computing devices supported (annual) - # of computing devices supported (annual) - # of personal mobile devices supported (annual)	1,758 110 96 15 1,010 1,156 375	2,021 110 96 15 1,010 1,156 375			3,779 110 96 15 1,010 1,156 375	7,500 110 96 5 * 500 ** 1,250 350
EFFICIENCY / EFFECTIVENESS						
- Application uptime during business hours (quarterly) - % of priority 1 service or incident requests completed within 4 hrs (quarterly) - % of medium service/incidents requests completed within 5 days (quarterly) - % increase of mobile usage from prior year (annual) - Overall customer satisfaction (annual) - # of open data sets established on internet (annual)	99% 84% 61% 8% N/A 5%	99% 83% 72% 8% N/A 8%			99% 84% 67% 8% N/A 7%	99% 75% 80% 10% 95% 10%
COMMENTS						
* The target for the number of utility systems & solutions supported (annual) should have been stated as 15 rather than 5. ** The number of mobile computing devices supported (annual) target should have been estimated at 1,500, not 500. Overall customer satisfaction is calculated at the end of the year.						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	CITY CLERK (03200)	PROGRAM	CLERK SUPPORT SERVICES (03200, 03201)		
GENERAL GOVERNMENT						
PROGRAM						
To provide information on City Council, Housing Authority and Roseville Finance Authority meetings; elections; city records; and follow-up action to city departments, applicants and the general public in an accurate, efficient and timely manner.						
PROGRAM OBJECTIVE						
- Provide City Council minutes within 30 days of a meeting 80% of the time - Document legislative history information in the computer system no later than 4 days after each council meeting 80% of the time - Respond to numerous requests for information and public records requests within 10 days - Provide specialized services such as notarization of documents and passport processing - 100% compliance with Brown Act, Public Records Act, Elections Code and Fair Political Practices Commission "FPPC"						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Resolutions acted upon by City Council - Ordinances acted upon by City Council - Agenda items / entries input into legislative history - Housing and RFA meetings/minutes - Legal notices published and / or mailed - Requests for research / public records completed - Number of calls answered on City switchboard - Passport Applications Processed	180 58 249 5 10 79 5,615 514	99 40 158 3 2 86 4,938 609			279 98 407 8 12 165 10,553 1,123	425 130 700 5 35 245 25,000 2,700
EFFICIENCY / EFFECTIVENESS	75% 80% \$1.66	80% 95% \$1.61			78% 88% \$3.26	80% 80% \$7.03
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA GENERAL GOVERNMENT	DEPARTMENT CENTRAL SERVICES (03300)	PROGRAM PURCHASING (03311, 03319)				
PROGRAM						
To provide materials and supplies to the operating departments in a timely manner at the most reasonable cost, and to maintain central store's inventory to support customer requirements. To procure goods and services in accordance with all applicable laws in an efficient and effective manner while meeting the needs of the end user.						
PROGRAM OBJECTIVE						
- Process 90% of purchase requests within ten business days after request is entered - Process 90% of purchase requests requiring formal bids that result in a purchase order within 60 days - Process 95% of purchase requests requiring formal bids that result in a service agreement within 75 days - Negotiate cost savings on 5% of total purchase requests spent - Keep customer satisfaction surveys at 96%						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Purchase requests processed - Formal bid requests requiring purchase orders - Formal bid requests requiring service agreements	1,248 3 5	435 6 1			1,683 9 6	2,100 25 12
EFFICIENCY / EFFECTIVENESS						
- Percent of purchase requests processed within ten days - Percent of formal bid requests requiring purchase orders processed in 60 days - Percent of formal bid requests requiring service agreements processed in 75 days - Percent of purchase requests spent with negotiated cost savings - Percent of customer satisfaction	43% 67% 60% 1% N/A	57% 33% 0% 1% 100%			50% 50% 30% 1% 100%	90% 90% 95% 5% 96%
COMMENTS						
Incomplete documentation, terms and conditions, and lack of buyer capacity have impacted processing time for PR's. 8 out of 15 formal bid requests needed additional time for budget and specification review in Quarters 1 & 2. Buyer negotiated cost savings equals \$369,895.68 in Quarter 1 and \$141,943.38 in Quarter 2 total savings for the City. Customer survey data was not collected for Quarter 1.						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	CENTRAL SERVICES (03300)	PROGRAM	CENTRAL STORES (03312)
GENERAL GOVERNMENT				
PROGRAM To provide materials and supplies to the operating departments in a timely manner, and to maintain an accurate inventory. To protect the City's investment in the purchase of goods by receiving, issuing, surplusing and controlling inventory in an efficient and effective manner.				
PROGRAM OBJECTIVE - Process stock requisitions within two business days - Perform cycle counts on schedule and maintain inventory accuracy between IFAS count and physical count at 99%				
PERFORMANCE MEASURES				
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Stock requisitions processed	1,097	872		1,969
- Cycle counts completed	707	481		1,188
				3,800 25
EFFICIENCY / EFFECTIVENESS - Percent of stock requisitions processed within two days - Percent of error between IFAS count and physical count				
	100% 1%	96% 1%		98% 1%
				98% 1%
COMMENTS Type of cycle counting was changed to ABC method; however, the Target Total had already been established based on the previous type of cycle counting. Percent of error between IFAS count and physical count was calculated using Maximo rather than IFAS.				

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	CENTRAL SERVICES (03300)	PROGRAM	AUTOMOTIVE SERVICES (03321)
GENERAL GOVERNMENT				
PROGRAM To support the various departments by providing high quality service and repair to city vehicles and equipment with a minimum amount of down-time.				
PROGRAM OBJECTIVE - To perform at least 98% of all scheduled preventive maintenance inspections within 30 calendar days of due time - To conduct 98% of all state mandated vehicle inspections (CHP, smog & crane inspections) within their required inspection period - To keep an average of 93% of city vehicles in service - To keep customer satisfaction surveys at 96% - 70% of work orders completed within 24 hours - 70% Technician time on workorders				
PERFORMANCE MEASURES				
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Total number of vehicles / equipment - Total number of vehicles / equipment in service daily - Total number P. M. I. scheduled - Total number CHP inspections due - Total number of smog and crane inspections due - Total number of work orders - Total possible technician hours	892 838 332 287 82 2,064 6,240	911 904 257 295 99 1,912 5,720		911 871 589 582 181 3,976 11,960
880 840 1,260 1,150 344 8,000 29,120				
EFFICIENCY / EFFECTIVENESS	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Percent of P. M. I. completed on schedule - Percent of CHP, smog and crane inspections completed - Percent of city vehicles in service daily - Percent of customer satisfaction - Percent of workorders completed within 24 hours - Percent of possible technician hours on workorders	98% 99% 94% 98% 73% 75%	97% 99% 93% 100% 69% 68%		98% 98% 94% 99% 71% 72%
98% 98% 93% 96% 70% 70%				
COMMENTS In Quarter 1, productivity/work order completion affected by vacant positions. In Quarter 2, productivity/work order completion affected by vacant positions.				

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA GENERAL GOVERNMENT		DEPARTMENT CENTRAL SERVICES (03300)	PROGRAM BUILDING AND CUSTODIAL MAINTENANCE SERVICES (03331, 03332)			
PROGRAM To provide the City of Roseville with high quality maintenance, repair, and custodial service that present a favorable public image and a conducive environment for high productivity and community service.						
PROGRAM OBJECTIVE - Complete 97% of all Preventative Maintenance (PM) within 30 days of assignment - Complete 98% of all regulatory compliance inspections within 30 days of assignment - Provide quality facility maintenance services at or above established industry standards - Maintain square foot assigned to maintenance and custodial staff at or above established industry standards						
PERFORMANCE MEASURES						
WORK VOLUME - Preventive maintenance hours - Average square foot maintained per Building Maintenance Worker - Average square foot cleaned per Custodian	Quarter 1 1,051 166,585 70,249	Quarter 2 1,667 173,000 73,119	Quarter 3	Quarter 4	Year-To-Date 2,718 169,793 71,684	Target 10,000 162,465 74,195
EFFICIENCY / EFFECTIVENESS - Total cost of maintenance per square foot - Percent of PM's completed in 30 days of assignment - Percent of priority 5 service orders completed in 3 days of assignment - % of regulatory compliance inspections conducted within 30 days of assignment - Total manpower efficiency \$ productivity hrs pr/maintenance staff (wrench time) - Total cost of custodial per square foot - Percent of custodial facility inspections completed monthly	\$0.33 100% 100% 100% n/a \$0.37 90%	\$0.37 100% 100% 100% 76% \$0.28 100%			\$0.70 100% 100% 100% 76% \$0.65 95%	\$1.55 97% 97% 98% 70% \$1.70 98%
COMMENTS The target Preventive Maintenance hours was established on total work order labor hours, not preventive maintenance hours. The target will be updated during budget input for FY 16/17 to reflect preventive maintenance hours only. There was a small change in total square foot due to true up. Unable to calculate "Wrench Time" during the first quarter, due to labor input location error.						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM				
POLICE	POLICE (05500)	OPERATIONS - PATROL, INVESTIGATIONS TRAFFIC, ANIMAL CONTROL (05531, 05532, 05533, 05535, 05540)				
PROGRAM						
To increase the safety of the public and the protection of their property through criminal and traffic law enforcement, prevention, community/neighborhood partnerships, and effective investigations.						
PROGRAM OBJECTIVE						
- To maintain or reduce the Part 1 crime rate - To maintain or reduce the number of DUI related collisions as well as injury and fatal traffic collisions through enforcement, education and checkpoints - To increase the number of vehicle occupants using seatbelts or child passenger restraint systems						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Police calls for service (citizen initiated, unit responded) - Animal Control calls for service - Arrests and misdemeanor citations - Investigation cases assigned - Injury and fatal traffic collisions - DUI-related collisions - Number of dog licenses issued / active dog licenses in system <u>Calendar Year - 2015</u> - Part 1 violent crimes reported (by calendar year) - Part 1 property crimes reported (by calendar year)	12,369 1,562 1,132 254 136 23 1,211 / 5,447 79 833	11,607 1,459 935 274 ** ** 937 / 5,451 ** **			23,976 3,021 2,067 528 136 23 2,148 / 5,451 79 833	40,000 7,000 6,000 700 500 150 4,600 / 8,300 <u>2015</u> 300 4,000
EFFICIENCY / EFFECTIVENESS						
- Percentage of drivers wearing seatbelts in observational surveys <u>Calendar Year - 2015</u> - Part 1 Crimes per 100,000 population (crime rate) - Percentage violent crimes cleared - Percentage property crimes cleared	n/a * 42% 14%	n/a * ** **			n/a 0 42% 14%	94% <u>2015</u> 3,000 55% 20%
COMMENTS						
* The crime rate is reported at the end of the calendar year. ** Due to backlog in data entry, Part 1 crime and collision statistics are not available. Seatbelt surveys were not completed. When someone renews their license it does not change the total active licenses in the system, however it is counted as an issued license.						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	POLICE (05500)	PROGRAM ADMINISTRATION, SUPPORT AND COMMUNITY SERVICES (05500, 05511, 05512, 05513, 05514)
PROGRAM To serve the community with outstanding emergency communication services, jail, records, property and other police support services. To provide outstanding prevention programs for the community, schools, youth and families. To uphold the highest professional standards of the police profession through leadership, recruitment, hiring and training.			
PROGRAM OBJECTIVE -To meet or exceed POST or STC training standards for applicable employees -To maintain timely entry of police reports into the automated police records system -To meet or exceed state corrections standards for jail operations -To review 6 Emergency Medical Dispatch calls per dispatcher per quarter -To provide a safe learning environment at our schools by building relationships with youth and giving them alternatives to delinquent behavior as well as enforcing applicable laws to reduce expulsions, suspensions and drop-out rates			
PERFORMANCE MEASURES			
WORK VOLUME - Calls received by communication center - Jail bookings * - Police reports processed - Training hours completed, department wide - Volunteers hired ** - Volunteer hours provided ** - Counseling intern hours provided - Maintain expulsion rate within ±10% of average annual expulsion rate ***	Quarter 1 21,565 181 2,917 1,946 1 3,643 432 ***	Quarter 2 20,132 30 2,731 3,068 2 3,217 738 ***	Quarter 3 <

Fiscal Year 2015-16

B - 23

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	FIRE (06000)	PROGRAM	FIRE & LIFE SAFETY (06011)
PROGRAM To protect life and property from the effects of fire and other hazardous events through effective application of the three "E's": Education, Enforcement, Engineering.				
PROGRAM OBJECTIVE - Provide a professional assessment regarding firesetting behavior for all juveniles referred to the Fire & Life Safety Division - Perform 100% of State mandated inspections annually - Perform 100% of licensed care facility inspections annually - Perform 100% of public assembly inspections annually - Perform 100% of hazardous material/waste permit inspections annually. (CUPA) - Perform 100% of fireworks booth, public display, and special effects permit inspections annually - To return first time comments on plan reviews within 21 calendar days of submittal - Approve 95% of projects within three (3) plan checks - Perform 95% of construction inspections within 48 hours of request				
PERFORMANCE MEASURES				
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Number of juvenile firesetter assessments performed - Number of apartment / hotel inspections / reinspections - Number of school inspections / reinspections - Number of detention facility inspections / reinspections - Number of licensed care facility inspections / reinspections - Number of public assembly inspections / reinspections - Number of hazardous material / waste permit inspections / reinspections - Number of fireworks or pyrotechnic related permit inspections performed - Number of civil improvement plans reviewed - Number of fire protection system plans reviewed - Number of construction inspections / reinspections	7 16 6 2 42 57 173 18 11 75 110	2 42 41 0 47 169 161 2 7 79 108		15 380 115 2 260 475 680 25 50 350 650
EFFICIENCY / EFFECTIVENESS	Year-To-Date	Target		
- Percent of apartment/hotel inspections / reinspections - Percent of school inspections / reinspections - Percent of detention facility inspections / reinspections - Percent of licensed care facility inspections / reinspections - Percent of public assembly inspections / reinspections - Percent of hazardous material/waste permit inspections / reinspections - Percent of fireworks or pyrotechnic related permit inspections / reinspections - Percent of plans checked within 21 days - Percent of projects approved within three (3) plan checks - Percent of construction inspections performed within 48 hours of request	4% 5% 100% 16% 12% 25% 72% 100% 100% 100%	11% 36% 0% 18% 36% 24% 8% 100% 100% 100%		15% 41% 100% 34% 48% 49% 80% 100% 100% 100%
COMMENTS				

Fiscal Year 2015-16

B - 25

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	FIRE (06000)	PROGRAM	FIRE TRAINING (06022, 06023)
PROGRAM				
To provide a comprehensive training program to Fire Operations personnel that will allow employees to deliver effective and safe service. to the public.				
PROGRAM OBJECTIVE				
- To meet OSHA mandated training requirements				
- To meet State and local EMS agency requirements				
- To meet Insurance Services Office (ISO) fire training requirements				
- To provide professional development to meet organizational needs				
- To provide revenue to the City for the use of Fire Training Center				
PERFORMANCE MEASURES				
WORK VOLUME				
- Total hours training per person				
- Days Fire Training Center contracted out on a fee basis				
EFFICIENCY / EFFECTIVENESS				
- Hours Safety training per person				
- Hours EMS training per person				
- Hours Hazmat training per person				
- Hours Company training per person				
- Hours continuing education per Fire officer				
- Hours Driver / Operator training per Engineer				
- Hours training with Automatic-aid Fire Companies				
- Hours Company Drills at Fire Training Center per person				
- Hours Recruit training per new employee				
COMMENTS				
Quarter 1 - Sierra College Academy was not in session.				
No Hazmat Course being offered this year.				

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	FIRE (06000)	PROGRAM EMERGENCY PREPAREDNESS (06040)
PROGRAM Develop and manage emergency preparedness and hazard mitigation programs that reduce the impact of natural and human caused disasters.			
PROGRAM OBJECTIVE <u>TRAINING AND EDUCATION</u> Conduct classroom and simulation training for all key City staff members. Conduct training and exercises with City Emergency Operations staff on emergency plan elements. Provide basic emergency response and NIMS training to City employees. <u>PLANNING</u> Review and modify the City's Emergency Response Plan to improve Citywide emergency preparedness. Review and modify the City's Multi-Hazard Mitigation Plan <u>INTER-AGENCY COORDINATION</u> Represent the interests of the City on county, state, and federal emergency preparedness planning.			
PERFORMANCE MEASURES			
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3
- Number of classes held on emergency plan elements & NIMS - Number of siren (HAR) drills conducted (monthly siren test) * - Number of EOC readiness drills completed (setup drills, GIS drills) - Emergency plans updated / All types - Number of meetings attended with County / State Office of Emergency Services	1 0 1 1 3	2 0 1 1 2	3 0 * 2 2 5
EFFICIENCY / EFFECTIVENESS - Number of disaster simulations conducted (annual table top exercise) - Cost per capita	0 \$0.43	1 \$0.52	2 \$2.39
COMMENTS * Fire has transitioned responsibility for the testing to Union Pacific on a go forward basis. This measurement will no longer be reported.			

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA DEVELOPMENT SERVICES	DEPARTMENT ECONOMIC DEVELOPMENT (08123)	PROGRAM HOUSING DIVISION (08110, 08113, 08116, 08117, 08119-08121, 08125, 08127)				
PROGRAM Provide affordable, safe and decent housing opportunities to Roseville's low and middle income households Improve physical and social renovation of Roseville's older neighborhoods						
PROGRAM OBJECTIVE - Apply for additional funding for the Housing Choice Voucher (HCV) rental assistance program when new funding opportunities are available - Assist as many HCV participants as possible by maximizing the funding received from the U.S. Dept. of Housing & Urban Development - Secure and provide financing for First Time Home Buyers (FTHB) - Continue to adhere to the City's Comprehensive Housing Strategic Plan - Partner with rental property owners to increase available housing for very low income households - Maintain the City's 10% Affordable Housing Goal						
PERFORMANCE MEASURES						
WORK VOLUME - Provide HCV rental assistance to at least 605 households monthly - Reserve FTHB funding for at least 6 program applicants - Monitor City's 24 Affordable Rental Housing Agreements - At least 6 FSS participants will have positive escrow accounts - At least 134 rental property owners participate in HCV program	Quarter 1 634 0 2 10 125	Quarter 2 645 6 4 11 126	Quarter 3	Quarter 4	Year-To-Date 640 6 6 21 126	Target 605 4 24 6 134
EFFICIENCY / EFFECTIVENESS - 95% monthly lease up of HCV households will be maintained - 50% of applicants with reserved FTHB funds will purchase home - 100% of Affordable Rental Housing Agreements will be monitored - FSS positive escrow accounts will average \$150 per participant - Increase diversity of available rental properties by adding 4 new property owners to HCV program	97 0% 8% \$73 1	98% 50% 17% \$82 1			99% 50% 25% \$78 2	95% 50% 100% \$150 4
COMMENTS * Target of 134 rental property owners - Target was too high to begin with. We are fully leased and tenants have a choice of where they live. We do not have a shortage of owners.						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	ECONOMIC DEVELOPMENT (08123)	PROGRAM COMMUNITY DEVELOPMENT BLOCK GRANT (08115)			
CDBG						
PROGRAM						
- Community Development Block Grant - Federal funding to support low-income neighborhoods (social and physical renovation) - Grant Application Management of grants applied for by the City - Support of Roseville and Placer County non-profit organizations - Provide affordable, safe and decent housing opportunities to low income households						
PROGRAM OBJECTIVE						
- Provide rehabilitation assistance for 15 residential units annually - Monitor Community Development Block Grant (CDBG) sub-recipient agreements - Expend at least 75% of annual CDBG funds per HUD timeliness guidelines (< 1.5 times annual allocation unexpended by April 1st every year)						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Number of Housing Rehabilitation projects started - Number of CDBG sub-recipient agreements monitored - Annual CDBG funds available	0 0 \$746,172	4 9 \$482,117			4 9 \$482,117	15 9 \$825,000
EFFICIENCY / EFFECTIVENESS	86% 0% \$401,701	14% 100% \$264,055			100% 100% \$665,756	100% 100% \$618,750
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA GENERAL GOVERNMENT	DEPARTMENT ECONOMIC DEVELOPMENT (08123)	PROGRAM ECONOMIC DEVELOPMENT (08123)					
PROGRAM							
The Economic Development Department helps support an economic environment where jobs are created, attracted and retained. Economic Development enhances the quality of life for residents, visitors and businesses and aims at increasing our tax base and other City revenues by supporting new businesses, tourism, and city programs and services. Additionally, the Economic Development Department supports, coordinates or administers affordable housing and community programs including the Housing Division, Grants and Community Development, and Successor Agency.							
PROGRAM OBJECTIVE							
- Implement the 2011 Economic Development Strategy initiatives through a coordinated effort with the Chamber, other business support groups, business owners, brokers and residents. - Attract new businesses, retain and grow existing businesses and support business start-ups. - Expand and maintain our partnerships with other economic development entities such as the Roseville and Local Chamber, GSAC, SARTA, Valley Vision, Next Ed, Next Economy, and Placer County. - Administer incentive programs such as Fee Deferral and SCIP (Statewide Community Infrastructure Program). - Establish the Department as the central source of information for economic and business related data and assistance. - Facilitate community giving and community grant programs, including staff and support of the Grants Advisory Commission. - Staff coordinates grant applications benefiting programs and services throughout the City to ensure maximum efficiency and effectiveness. - Facilitate and implement the improvements associated with the Downtown Public Improvement Program. - Provide staffing support to the RCDC as assigned. - Implement the required actions to complete the "unwinding" of Redevelopment and support of the Successor Agency & Oversight Board. - Participate with the ongoing adoption and management of the Downtown Property Based Improvement District (PBID)							
PERFORMANCE MEASURES							
WORK VOLUME		Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Number of Business/broker visits/contact and trade events - Publish "Business Matters" - Attend Chamber Economic Development Meetings and Events - Staff Grants Advisory Commission Meetings - Number of grants funded (Citizen benefit Fund & REACH) / total grant amount - Oversight Board Meetings - RCDC Board Meetings - Downtown Merchant Meetings - Downtown Property Based Improvement District (PBID)		53 3 22 2 43 / 231,500 1 2 3 3	49 3 17 1 0 3 3 3			102 6 39 3 43 / 231,500 1 5 6 6	200 12 24 8 35 / \$246,500 2 12 12 12
EFFICIENCY / EFFECTIVENESS		100% 1%	100% 1%			100% 2%	100% 5%
COMMENTS							

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA PARKS AND RECREATION	DEPARTMENT PARKS, RECREATION & LIBRARIES (08500)	PROGRAM PARKS (08501, 08550, 08551, 08555)
PROGRAM To plan and develop safe, high quality and uniquely aesthetic park and recreation facilities to meet the recreational needs of the Roseville residents. To provide a park environment that is conducive to a healthy, safe and pleasurable experience. To provide inspections and maintenance of open space, floodways and streambeds throughout the City of Roseville. To provide programmed maintenance for the City's publicly owned trees in a methodical, systematic plan.		
PROGRAM OBJECTIVE - Plan and develop park and recreation facilities according to the Park and Recreation Master Plan and renovate existing park and recreation facilities - Coordinate with School Districts on long range joint use facility planning - Maintain parks, recreation facilities and landscapes in a safe, clean and attractive condition - Provide turf maintenance of school facilities as provided through joint use agreements - Maintain a preventative maintenance schedule for park and street trees - Inspect open space, wetlands and streambeds for debris, fire breaks and invasion of non-native vegetation - Remove accumulated debris and obstructions in streambeds		
PERFORMANCE MEASURES		
WORK VOLUME	Quarter 1	Quarter 2
- Number of CIP's completed	0	0
- Annual dollars spent on completed CIP projects	\$0	\$0
- Number of developed park facilities maintained	72	72
- Acres of parks maintained	414.0	414.0
- Number of production hours to maintain bike trails	800	800
- Number of general fund trees pruned (5 year cycle)	676	116
- Number of CFD / LLD trees pruned	42	1,042
- Acres of streetscapes maintained	230.0	230.0
- Acres of school property maintained	49.0	49.0
- Number of acres of open space / wetlands inspected	1,100.0	1,200.0
		2,300.0
		4,200
		5
		\$6,700,000
		75
		447.0
		3,200
		1,800
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PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM	RECREATION
PARKS AND RECREATION	PARKS, RECREATION & LIBRARIES (08500)	(08505, 08511, 08512, 08514, 08515, 08517, 08518, 08519, 08520, 08525, 08526, 08530)	
PROGRAM To enhance the leisure time of Roseville residents by providing a variety of recreational activities and facilities including sports, physical fitness, special interest classes, trip, cultural arts, camps, neighborhood programs, family recreation and special events. To educate Roseville residents about Maidu Indian culture. To promote water safety, physical fitness, aquatic skill development, and water recreation through a comprehensive aquatic program.			
PROGRAM OBJECTIVE - Provide a variety of quality sports, special interest, cultural arts and community special event programs - Provide a variety of quality fitness and recreational opportunities - Provide positive and affordable programs for low income youth by offering low cost youth programs throughout targeted neighborhoods - Provide cultural education programs and classes - Provide a quality instructional swimming lesson program that meets or exceeds our customer's expectations - Pursue grant funding and fundraising to enhance and offset program costs as appropriate - To recover 82% of operating costs for youth programs - To recover 87% of operating costs for adult/senior programs - To recover 58% of operating costs of Maidu Community Center through program fees and rentals - To recover 80% of operating costs for Roseville Sports Center through program fees and rentals - To recover 70% of operating costs for Aquatics programs through program fees, daily admissions and rentals			
PERFORMANCE MEASURES			
WORK VOLUME - Youth programs attendance - Adult/Senior programs attendance - Number of visitors to Maidu Community Center - Number of visitors to Roseville Sports Center - Number of events Town Square and Downtown - Number of Community Special Events - Number of visitors to Aquatics facilities REVENUE MEASUREMENTS: - Youth programs total revenue / % recovery to General Fund - Adult / Senior programs total revenue / % recovery to General Fund - Maidu Community Center total revenue / % recovery to General Fund - Roseville Sports Center total revenue / % recovery to General Fund - Aquatics programs total revenue / % recovery to General Fund	Quarter 1	Quarter 2	Quarter 3
	21,170 57,163 83,562 65,546 13 8 127,904	11,942 33,340 36,707 65,992 17 8 57,034	33,112 90,503 120,269 131,538 30 16 184,938
	Target	73,055 165,530 126,500 226,000 36 60 360,000	715,040 / 82% 521,599 / 87% 231,135 / 58% 706,912 / 80% 1,413,787 / 70%
EFFICIENCY / EFFECTIVENESS - % of participants rating overall programs and facilities 'good' to 'excellent'			
	96%	97%	97%
COMMENTS			

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA PARKS AND RECREATION	DEPARTMENT PARKS, RECREATION & LIBRARIES (08500)	PROGRAM CHILD CARE AND PRESCHOOL (08541, 08542)				
PROGRAM						
To provide a safe, caring, before and after school and vacation environment to meet the social, physical and intellectual needs for the elementary school age, preschool and intermediate school child.						
PROGRAM OBJECTIVE						
- To generate revenue to cover all expenses related to programs - To operate 13 Adventure Club sites and 12 Preschool programs - To provide programs at no more than an average budget cost per service hour of \$4.25 per hour for the Adventure Club and \$4.50 per hour for Preschool programs - Develop and maintain a highly trained and motivated staff who are responsive to the needs of the children participating in the programs - Meet or exceed the expectations of the parents and children participating in the programs						
PERFORMANCE MEASURES						
WORK VOLUME - Average daily attendance - Adventure Club - Number of hours training per site per month - Monthly hours of Preschool operation per site - Monthly hours of Adventure Club operation per site	Quarter 1 723 7 66 230	Quarter 2 977 7 66 230	Quarter 3	Quarter 4	Year-To-Date 850 7 66 230	Target 775 7 66 230
EFFICIENCY / EFFECTIVENESS - Percent of participants indicating program 'meets' or 'exceeds' expectations - Percent of staff rated 'good' to 'excellent'	96% 96%	96% 96%			96% 96%	95% 95%
REVENUE MEASUREMENTS: - Percent of total expenditures recovered through operating revenues	118%	69%			93%	94%
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA PARKS AND RECREATION	DEPARTMENT PARKS, RECREATION & LIBRARIES (08500)	PROGRAM ASES & CDE CHILD DEVELOPMENT PROGRAM (08545, 08546)
PROGRAM To provide a safe, caring, before and afterschool and vacation break environment to meet social, physical and intellectual needs for school age children.		
PROGRAM OBJECTIVE - To generate revenues and seek reimbursements through grants and/or partnerships to provide accessible programs - To operate 2 ASES programs at qualifying school locations in partnership with the Roseville City School District - To operate up to 4 Adventure Club before and after school and vacation break programs at qualifying CDE grant locations - Provide tutoring and enrichment experiences to participating children to improve academics and interpersonal skills - Develop and maintain a highly trained and motivated staff who are responsive to the needs of participating children - Meet or exceed the expectations of the parents and children participating in the programs		
PERFORMANCE MEASURES		
WORK VOLUME - Average daily attendance - ASES - Total monthly hours of ASES operation per site - Average daily attendance - CDE programs - Total CDE grant children enrolled	Quarter 1 185 70 155 80	Quarter 2 216 70 161 77
EFFICIENCY / EFFECTIVENESS - Percentage of participants indicating program "meets" or "exceeds" expectations	95.0%	95.0%
COMMENTS		

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM
PARKS AND RECREATION	PARKS, RECREATION & LIBRARIES (08500)	GOLF COURSE OPERATIONS (08571, 08572)
PROGRAM To provide an enjoyable golf experience for the public by maintaining the golf courses in a safe, attractive and playable condition and by providing quality service and products through the pro shop and food and beverage concessions.		
PROGRAM OBJECTIVE - To maintain and operate the courses in accordance with USGA standards through a regimented maintenance program, strict turf management, and an on-going improvement projects and upgrades program - To maintain the courses in an attractive and playable condition - To provide championship quality courses on a self-supporting basis		
PERFORMANCE MEASURES		
WORK VOLUME	Quarter 1	Quarter 2
DIAMOND OAKS GOLF COURSE		
- Total Round Played	18,346	11,212
- Total Revenue	\$224,566	\$271,995
WOODCREEK GOLF COURSE		
- Total Round Played	12,951	9,195
- Total Revenue	\$223,485	\$271,295
EFFICIENCY / EFFECTIVENESS		
- Golf course operating revenue as a percentage of operating expenditures	61%	93%
- Percent of players rating course 'good' to 'excellent' - Diamond Oaks	90%	90%
- Percent of players rating course 'good' to 'excellent' - Woodcreek	90%	90%
COMMENTS	Revenue	
	1st Quarter	2nd Quarter
	\$448,051	\$43,290
	3rd Quarter	4th Quarter
	0	0
	Y-T-D	\$991,341
		\$1,320,677

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM	LIBRARIES & MAIDU MUSUEM (06500, 06510, 06515, 08521)			
LIBRARIES	PARKS, RECREATION & LIBRARIES (08500)					
PROGRAM						
To help the Roseville community meet its needs for educational, cultural, and recreational experiences and for information of all kinds by providing comprehensive library and museum services, along with a wide variety of materials for customers' reading pleasure.						
PROGRAM OBJECTIVE						
- To provide access and assistance to information using state-of-the-art technologies, computers, and on-line services, as well as books and other materials, library personnel and other resources to meet the diverse needs of library customers						
- To provide library and museum facilities which are comfortable, attractive, inviting and well-equipped places to access information and provide space for community gathering						
- To provide programs and special events which promote literacy, reading and the native Maidu culture for pleasure as well as for education, and which encourage individuals and families to frequent the library and museum						
- To increase the visibility of the library and the museum within the community and to encourage the growth of partnerships with other agencies						
- To assist school-age children and youth by offering resources and services related to their education needs						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Library Circulation	354,227	295,552			649,779	1,200,000
- Visits: Libraries	142,910	115,713			258,623	600,000
- Visits: Maidu Museum Historic Site	5,424	8,302			13,726	26,000
- Program attendance: Libraries	10,890	10,260			21,150	40,000
- Program attendance: Maidu Museum Historic Site	2,970	5,768			8,738	17,000
- Number of library customer transactions via all online sources	1,395,691	954,900			2,350,591	1,200,000
- Materials expenditure per capita	\$0.28	\$0.60			\$0.88	\$1.65
- Total materials expenditure	\$36,501	\$78,487			\$114,988	\$215,000
- Total Library and Maidu Museum revenue	\$101,195	\$87,181			\$188,376	\$406,700
- General Fund cost per capita - All Libraries and Maidu Museum	\$7.55	\$8.00			\$15.56	\$31.52
EFFICIENCY / EFFECTIVENESS						
- Percentage of library customers rating their overall library experience as 'good' to 'excellent'	96.4%	97.0%			96.7%	95%
- Percentage of customers rating programs and events as "good" to "excellent" (Libraries and Maidu Museum Historic Site)	97.5%	99.0%			98.3%	95%
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PUBLIC WORKS (08300)	PROGRAM ENGINEERING / FLOOD ALERT (08320, 08321, 08327, 08328, 08329, 08330)			
PROGRAM						
To support the infrastructure of the City by providing general civil engineering services for Capital Improvements, Traffic Engineering and Storm Water Management.						
PROGRAM OBJECTIVE						
- CAPITAL IMPROVEMENTS - TRAFFIC ENGINEERING - TRAFFIC ENGINEERING - TRAFFIC ENGINEERING - FLOODPLAIN MANAGEMENT						
City projects staff to spend a minimum of 75% of work hours on CIP's Complete 90% of traffic studies within 3 months of beginning, and 100% within 6 months Maintain 70% of signalized intersections at LOS C or better Retime 33% of Free Mode signalized intersections per year Complete 75% of flood determinations within 1 week						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Number of hours spent on CIP's	2,020	1,860			3,880	7,000
- Number of traffic studies completed	35	34			69	100
- Number of speed surveys completed	64	3			67	45
- Number of signalized intersections at LOS C or better	163	163			163	117
- Number of "Free Mode" intersections retimed	6	6			12	25
- Number of flood determinations	11	16			27	40
- Number of drainage studies completed	0	0			0	3
- CIP Reimbursed Costs	\$127,992	\$102,455			\$230,447	\$619,000
EFFICIENCY / EFFECTIVENESS	66% 89%/100% 97% 9% 100% 1%	68% 92%/100% 97% 9% 100% 1%			67% 91%/100% 97% 9% 100% 1%	75% 91% / 100% 70% 33% 75% 5%
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM	TRAFFIC SIGNALS (08335)
TRAFFIC SIGNALS	PUBLIC WORKS (08300)		
PROGRAM To provide for safe and efficient movement of vehicles and pedestrians by effectively maintaining, improving, and installing traffic signals and ITS (Intelligent Transportation System) equipment.			
PROGRAM OBJECTIVE - To respond to safety-related traffic signal malfunctions within one hour of notification - To perform 100% of Type "A" maintenance routines once every six months, and Annual PM (Preventative Maintenance) routines once every year - To keep average number of signal malfunctions per signal per year below 1.0			
PERFORMANCE MEASURES			
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3
- Number of traffic signals maintained	171	171	171
- Number of Type "A" routines performed (all ITS equipment)	105	12	117
- Number of Annual PM routines performed (signals, beacons, CMS)	57	30	87
- Number of workorders completed	409	174	583
			1,500
EFFICIENCY / EFFECTIVENESS	Quarter 1	Quarter 2	Quarter 3
- Average time to respond per safety related malfunction (in hours)	0.68	0.53	0.60
- Percent Type "A" routines performed	38%	3%	41%
- Percent Annual PM routines performed	43%	17%	59%
- Number of signal malfunctions per year	8	7	15
- Average time to acknowledge safety related malfunction (in hours)	0.06	0.04	0.05
			1.00
			100%
			100%
			174
			0.25
COMMENTS			

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PUBLIC WORKS (08300)	PROGRAM	STREET MAINTENANCE (08340 - 08345, 08348)
PROGRAM To provide a system of maintenance of the roadways which will improve the quality of roadway / shoulder repair and remove debris at a level which will maximize safety and minimize citizen inconvenience and complaints. To maintain 465 centerline miles of city streets in safe and attractive condition maintaining an overall pavement quality index of a minimum of 65 to 72 or better.				
PROGRAM OBJECTIVE - To phase out painting and increase thermoplastic application to all traffic legends - To clean storm drains in accordance with MS4 Permit requirements - To sweep all streets once every 30 days to assist in meeting MS4 permit requirements - To replace deteriorated street signs/posts in accordance to MUTCD requirements - To repair, patch and seal streets in preparation for annual resurfacing projects - To abate 90% of graffiti within 48 hours after receiving notification from the Police Department				
PERFORMANCE MEASURES				
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Linear feet of storm drains	97,062	133,716		230,778
- Number of curb miles swept	4,143	4,664		8,807
- Crack-fill / Lbs placed	12,996	27,322		40,318
- Remove / replace tons of asphalt	1,384	14		1,398
- Skin patch / tons of asphalt	846	11		857
- Square footage of painted legends	28,048	302		28,350
- Square footage of thermo plastic legends	1,479	178		1,657
- Number of deteriorated traffic signs replaced	131	430		561
- Alley maintenance program (miles / square feet)	0	0		0
- Miles of streets resurfaced (centerline)	0.0	0.0		0.0
EFFICIENCY / EFFECTIVENESS				
- Percent of streets swept every 30 days	82%	71%		77%
- Average cost per mile of roadway maintained	\$3,842	\$2,283		\$6,125
- Crack-fill lane feet	148,600	2,091		150,691
- Removal of deteriorated square feet	67,358	146,162		213,520
- Skin patch square feet	62,193	628		62,821
- Pavement Quality Index Rating (PQI) Arterials - Collectors / Residential	70 / 64	70 / 64		70 / 64
Target				
				100,000
				24,500
				60,000
				3,000
				600
				15,000
				20,000
				1,200
				1.0 / 63,600
				36.0
				90%
				\$11,627
				250,000
				150,000
				100,000
				71 / 65
COMMENTS The linear feet of storm drains is high due to moving crew members to this task from another task to bring up the numbers. The square footage of painted legends is high due to a shift towards more painting and less thermo plastic legends. The Alley maintenance program and streets resurfacing are seasonal tasks. They both will be completed later this year.				

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	TRANSPORTATION	DEPARTMENT	PUBLIC WORKS (08300)	PROGRAM	LOCAL TRANSPORTATION (08350 - 08354)			
PROGRAM								
Roseville's Alternative Transportation Division creates a vibrant, healthy community by providing safe, convenient and efficient transportation options. Simply put, we make it easier for people to get around.								
PROGRAM OBJECTIVE								
Implement Roseville's Short and Long Range Transit Plans, as well as the South Placer County DAR Study and BRT Study, which include the following: - Expand and provide a mix of transit services that fit the needs of the community - Increase annual transit ridership and annual passenger miles using transit - Meet the statutory 15% farebox recovery - Maintain low service costs and seeking stable outside funding sources - Operate the South Placer Call Center and Transit Ambassador Program Implement, monitor, enforce, and provide feedback regarding the effectiveness of the City's Transportation Systems Management (TSM) Ordinance. Implement the Bikeway Master Plan and promote programs which help achieve its goals. Adopt and implement the Pedestrian Master Plan and promote programs which help achieve its goals. Monitor air quality mandates and implement programs as necessary, including the Sustainable Action Plan. Increase awareness of alternative transportation and its benefits for a safe and healthy community. Provide primary staff support to the Transportation Commission.								
PERFORMANCE MEASURES			Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
WORK VOLUME								
- Total Transit Ridership			102,442	97,319			199,761	421,000
- Transit Revenue Hours			13,298	13,091			26,389	48,560
- Total Fares Collected			\$273,909	\$274,194			\$548,103	\$1,170,000
- Transit Phone Calls For Service			15,277	15,135			30,412	73,600
- Public Counter Transactions			847	716			1,563	3,300
- Transit Ambassadors Trained/Active Volunteers			11/8	11/8			11/8	12 / 12
- E-Notification Subscribers			2,749	3,391			3,391	1,800
- E-Notifications Sent to Subscribers			5	6			11	18
- Number of New TSM Plans Approved/ Number of TSM On-Site Visits			0/10	0/4			0/10	4 / 25
- Alternative Transportation Programs			1	1			2	8
- Number of Community Outreach/Education Events			9	9			18	35
- Number of Transportation Commission Meetings			1	1			2	8
- Number of Regional Transportation Partnership Meetings			9	14			23	35
EFFICIENCY / EFFECTIVENESS								
- Percent Change Transit Ridership (systemwide)			0.0%	1.0%			0.5%	4.9%
- Farebox Recovery Ratio (systemwide)			*	*				23%
- Passengers Per Revenue Hour (systemwide)			7.7	7.4			7.6	7.9
- Transit Road Calls Per Mile Traveled (systemwide)			1:19,928	1:15863			1:17894	1:10,000
- Transit Maintenance Average Cost Per Mile (w/o fuel)			\$0.63	\$0.65			\$0.64	\$0.72 / mi
COMMENTS								
* This is stated at end of fiscal year.								

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM	EU ASSET MANAGEMENT (08402)
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)		
PROGRAM To implement a program to assess, plan and forecast the efficient maintenance, repair and replacement of assets and systems in both Environmental Utilities and other departments that will maximize their useful life.			
PROGRAM OBJECTIVE - Provide Program Management and other staff support to the Enterprise Asset Management Program for all identified city asset groups - Develop tools and functionality to enable strong utility operations, drive business decisions related to asset and utility Management			
PERFORMANCE MEASURES			
WORK VOLUME - EAM Program progress (final phase)	Quarter 1 15%	Quarter 2 10%	Quarter 3 25%
EFFICIENCY / EFFECTIVENESS	Quarter 1 15%	Quarter 2 10%	Quarter 3 25%
COMMENTS			

Fiscal Year 2015-16

B - 42

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM				
SOLID WASTE	ENVIRONMENTAL UTILITIES (08400)	SOLID WASTE COLLECTION (08410 - 08414, 08417)				
PROGRAM						
To provide exceptional, cost efficient solid waste and recycling collection services with an emphasis on protecting the environment and reducing waste.						
PROGRAM OBJECTIVE						
- Reducing the amount of trash disposed, through reduce, reuse and recycling efforts - Delivering outstanding customer service at competitive rates - Protecting the environment by meeting or exceeding all regulatory requirements - Creating a safe and enjoyable working environment for all employees						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Pounds of waste per person per day - Tons of solid waste collected - Service interruption missed pick up - Residential customers per dedicated employee per week - Commercial containers per dedicated employee per week - Roll-Off hauls per dedicated employee per week - Days cash on hand	4.1 23,681 0.06% 3,974 95 29 *	4.1 26,368 0.07% 3,993 95 30 *			4.0 50,049 0.07% 3,993 95 30 *	6.0 96,000 0.50% 3,975 480 30 150
EFFICIENCY / EFFECTIVENESS						
COMMENTS						
* This will be calculated after the City Financial Statements are finalized. It is calculated once a year.						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM				
SOLID WASTE	ENVIRONMENTAL UTILITIES (08400)	SOLID WASTE RECYCLING & GREEN WASTE (08415, 08416)				
PROGRAM						
To develop and implement programs to divert recyclables from landfill disposal.						
PROGRAM OBJECTIVE						
- To divert 600 tons of newspapers from landfill disposal - To divert 2,800 tons of cardboard from landfill disposal - To divert 410 gallons of used motor oil from landfill disposal - To divert 35 tons of CRV from landfill disposal - To divert 14,400 tons of green waste from landfill disposal						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Tons of newspaper collected - Tons of cardboard collected - Gallons of used motor oil collected - Tons of CRV collected - Tons of green waste collected	70 813 174 10 2,343	92 887 71 3 3,241			162 1,700 245 13 5,584	600 3,000 410 25 14,000
EFFICIENCY / EFFECTIVENESS	12.6% \$3,399 \$4,830 \$39,956 \$56,097 \$690 \$79,662	14.0% \$1,680 \$6,348 \$18,270 \$61,203 \$207 \$110,194			13.3% \$5,080 \$11,178 \$58,226 \$117,300 \$897 \$189,856	16.0% \$40,000 \$40,000 \$250,000 \$200,000 \$1,000 \$475,000
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM				
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	WASTEWATER ADMINISTRATION (08420)				
PROGRAM						
To provide cost effective wastewater collection and treatment, while meeting current operating criteria and maintaining facilities and equipment in a working condition.						
PROGRAM OBJECTIVE						
- WASTEWATER TREATMENT: To deliver treated effluent that meets discharge permit standards and is in a condition that will not degrade the receiving water quality						
- WASTEWATER COLLECTION: To eliminate health hazards to the general public by maintaining the integrity of the existing wastewater collection system						
- PREVENTATIVE MAINTENANCE: To provide total preventative maintenance for the various divisions of Environmental Utilities in order to extend the equipment life and reduce the need for critical repairs						
- ENVIRONMENTAL LAB / INDUSTRIAL WASTE PROGRAM: To meet the process control and monitoring needs of the Water / Wastewater Utility Divisions of Environmental Utilities						
- RECYCLED WATER: To deliver recycled water to meet major turf irrigation needs at appropriate locations (e.g. Woodcreek Golf Course and Del Webb Golf Course)						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Days cash on hand	*	*			*	150
- Debt ratio wastewater utility	*	*			*	49.8%
- Bond rating wastewater utility (city portion of SPWA debt)	**	**			**	A+
EFFICIENCY / EFFECTIVENESS						
COMMENTS						
* This will be calculated after the City Financial Statements are finalized. It is calculated once a year.						
** This will be determined at year end.						

Fiscal Year 2015-16

B - 46

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM	PROGRAM
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	DRY CREEK WASTEWATER TREATMENT PLANT (08422)	
PROGRAM To treat and dispose of domestic and industrial waste in an efficient manner that will result in no degradation to the purity and aesthetics of the receiving stream.			
PROGRAM OBJECTIVE - To deliver treated effluent that meets National Pollution Discharge Elimination System (NPDES) standards and is in a condition that will not degrade the quality in the receiving stream - To treat and dispose of domestic and industrial waste using the least energy and chemicals as practical - To operate and maintain (O & M) the treatment facility in the most efficient manner, minimizing the life cycle cost of associated assets			
PERFORMANCE MEASURES			
WORK VOLUME - Number of NPDES permit violations	0	0	0
EFFICIENCY / EFFECTIVENESS			
COMMENTS			

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM				
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	ENVIRONMENTAL UTILITIES MAINTENANCE (08424)				
PROGRAM						
Provide safe, skilled, prompt, courteous and cost effective maintenance services for City treatment plants, wastewater collections system, water distribution, Police, Fire, Parks, Garage and all other City customers requesting technical and maintenance services.						
PROGRAM OBJECTIVE						
- To shift the focus of the maintenance program from calendar-based maintenance to condition-based maintenance practices - To balance maintenance work types with an emphasis on preventative and predictive maintenance which lead us to an overall reduced maintenance cost of treatment through the ability to plan maintenance more effectively - To provide a rich learning culture for the maintenance staff through training, career development and stretch opportunities						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Percent total of total - preventative work orders hours	36.6%	23.2%			29.9%	50.0%
- Percent total of total - project work orders hours	26.0%	27.1%			26.6%	12.0%
- Percent total of total - reactive work orders hours	24.6%	39.1%			31.8%	28.0%
- Percent total of total - predictive work orders hours	12.9%	10.6%			11.8%	10.0%
- Maintenance cost per million gallons - DCWWTP	\$398.26	\$359.43			\$378.84	\$500
- Maintenance cost per million gallons - PGWWTP	\$531.54	\$631.43			\$581.48	\$500
- Maintenance cost per million gallons - BRWTP	\$65.60	\$91.26			\$78.43	\$75
- Percent meter based preventative maintenance of total preventative maintenance*	0.0%	0.0%			0.0%	10.0%
- Percent total of total - Lost work time hours	0.0%	0.0%			0.0%	0.0%
EFFICIENCY / EFFECTIVENESS						
COMMENTS						
* Maximo CMMS system upgrade was required to allow meter based maintenance to occur. Upgrade is in progress, will address HDR and SCADA integration when complete.						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	PROGRAM						
WASTEWATER	ENVIRONMENTAL UTILITIES (08400)	WATER / WASTEWATER ANALYSIS (08425, 08426)						
PROGRAM INDUSTRIAL WASTEWATER (08425): To conduct inspection, monitoring, and enforcement of the Industrial Wastewater Ordinance so that discharges to the sewer system / POTWs do not cause violations of the NPDES discharge permit. Support and enforce fats, oils and grease (FOG) ordinance. LAB (08426): To provide water quality monitoring and analysis for the Water and Wastewater Utility Divisions and to meet their operational and state mandated requirements in order to ensure public and environmental safety.								
PROGRAM OBJECTIVE #NAME? - Comply with monitoring and analysis requirements for the National Pollutant Discharge Elimination system, Industrial Local Limits, California and EPA Industrial Pretreatment Program, and California Department of Public Health Drinking Water Program.								
PERFORMANCE MEASURES			Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
WORK VOLUME - Retain DOHS lab certifications - Retain EPA approved pretreatment program - Audit fats, oil and grease (FOG) program annually - Timely, accurate submittal of compliance reports			2 100% 0 100%	2 100% 0 100%			2 100% 0 100%	2 100% 1 99%
EFFICIENCY / EFFECTIVENESS								
COMMENTS								

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA WASTEWATER	DEPARTMENT ENVIRONMENTAL UTILITIES (08400)	PROGRAM PLEASANT GROVE WASTEWATER TREATMENT PLANT (08427)
PROGRAM To treat and dispose of domestic and industrial waste in an efficient manner that will result in no degradation to the purity and aesthetics of the receiving stream.		
PROGRAM OBJECTIVE - To deliver treated effluent that meets National Pollution Discharge Elimination System (NPDES) standards and is in a condition that will not degrade the quality in the receiving stream - To treat and dispose of domestic and industrial waste using the least energy and chemicals as practical - To operate and maintain (O & M) the treatment facility in the most efficient manner, minimizing the life cycle cost of associated assets		
PERFORMANCE MEASURES		
WORK VOLUME - Number of NPDES permit violations	0	0
EFFICIENCY / EFFECTIVENESS		
COMMENTS		

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	ENVIRONMENTAL UTILITIES (08400)	PROGRAM	WATER ADMINISTRATION (08430)
WATER				
PROGRAM To provide reliable, healthful and cost effective water utility to present and future generations of Roseville and plan infrastructure to accommodate community development.				
PROGRAM OBJECTIVE - Plan for future water capacity - Develop priorities for infrastructure rehabilitation projects: Rehabilitation project identification Project schedule / funding plan				
PERFORMANCE MEASURES				
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Water Capital Improvement Project:				
• Aquifer Storage and Recovery "ASR" Program Development	0	0		0
• Well Construction Project	0	0		0
• West Side Tank & Pump Station	1	1		1
- Implement EAM system utility wide	1	1		1
- Rehabilitation Planning and Implementation:				
• Complete Atlantic Street Pipeline - Phase 2	1	1		1
• Implement SCADA replacement	1	1		1
- Days cash on hand	*	*		*
- Debt ratio water utility	*	*		*
- Water bond rating	**	**		**
150				
36.0%				
A+				
EFFICIENCY / EFFECTIVENESS	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Capital Improvement Construction:				
• Aquifer Storage and Recovery "ASR" Program Development	0%	0%		0%
• Well Construction Project	100%	100%		100%
• West Side Tank & Pump Station	2%	5%		7%
- Implement EAM system utility wide	10%	10%		20%
- Rehabilitation Planning and Implementation:				
• Complete Atlantic Street Pipeline - Phase 2	100%	100%		100%
• Implement SCADA replacement	50%	50%		100%
100%				
100%				
COMMENTS				

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	ENVIRONMENTAL UTILITIES (08400)	PROGRAM	WATER DISTRIBUTION (08431)
WATER				
PROGRAM To maintain a safe and reliable water distribution system that will provide safe, wholesome water with adequate pressure and flow for fire protection.				
PROGRAM OBJECTIVE - To devote 85% of staffing time to the preventive maintenance program during the fiscal year - To ensure safety on the job through frequent tailgate safety meetings and training and incur "0" on-the-job accidents - To test all Backflows within the City - To inspect for cross connection within the City - To process water meters sell / install - Upgrade water services as available				
PERFORMANCE MEASURES				
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Number of air release valves inspected / repaired	4	1		5
- Number of backflow devices tested	892	1,341		2,233
- Number of cross connection inspections	0	0		2
- Number of meters sold	222	208		430
- Number of hydrants flushed	0	0		0
- Number of valves exercised	7	0		7
				1,000 5,000 600 1,000 3,000
EFFICIENCY / EFFECTIVENESS	Quarter 1	Quarter 2	Quarter 3	Quarter 4
- Number of accidents on-the-job	1	1		2
- Percent of working staff-hours devoted to preventive maintenance	94%	78%		86%
- Number of meters installed by meter crew (new homes/business)	222	208		430
				0 85% 1,000
COMMENTS 494 hydrants were maintained in Q1 - Drought in place so no flushing.				

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA		DEPARTMENT	ENVIRONMENTAL UTILITIES (08400)	PROGRAM	WASTEWATER COLLECTION (08432)	
PROGRAM						
To eliminate health hazards and inconvenience to the general public and the environment by maintaining the integrity of the existing wastewater collection system, with special emphasis on old development.						
PROGRAM OBJECTIVE						
- To devote at least 80% of working staff time to preventive maintenance program in wastewater - To ensure capital improvements are made as required during the fiscal year - To flush 280 miles of sewer mains during the fiscal year - To CCTV inspect 60 miles of sewer main during the fiscal year - To mechanically clean 13 miles of sewer laterals during the fiscal year - To CCTV inspect 19 miles of sewer laterals during the fiscal year - To install 80 cleanouts during the fiscal year - To have no category 1 sanitary sewer overflows (SSO) during the fiscal year - To have no repeat SSOs from the same location - To maintain a reliable and efficient wastewater collection system - To ensure safety on the job through frequent safety inspections and training and incur "0" on-the-job accidents during the fiscal year						
PERFORMANCE MEASURES						
WORK VOLUME		Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date
- Number of miles of sewer mains flushed		56.64	43.75			100.39
- Number of miles of sewer mains CCTV inspected		18.36	16.47			34.83
- Number of miles service lateral cleaned		4.73	5.51			10.24
- Number of miles of sewer lateral CCTV inspected		10.40	8.32			18.72
- Number of cleanouts installed		17	12			29
- Number of services rehabilitated		15	2			17
- Number of manholes rehabilitated		0	0			0
						280.00
						60.00
						13.00
						19.00
						80
						50
						50
EFFICIENCY / EFFECTIVENESS		Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date
- Percentage of working staff devoted to preventative maintenance		81%	78%			80%
- Number of category 1 SSOs		0	0			0
- Number of repeat SSOs from same location		0	0			0
- Number of on-the-job accidents		0	1			1
- Number of safety meetings		13	8			21
- Number of sewer overflows / 100 miles sewer laterals		7.05	4.56			5.81
- Number of sewer overflows / 100 miles of sewer main		0.00	0.00			0
- Response time to customer inquiries		18	15			17
						80%
						0
						0
						0
						1
						21
						5.81
						0
						17
						< 8
						< 0.6
						< 40 minutes
COMMENTS						
YTD for sewer mains flushed is low; shifting focus on lowering SSO rate from laterals, which has pulled Vactor down. Safety meeting total for the second quarter is down due to holidays. No project identified for manhole rehabilitation, project lead is EU Engineering.						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	WATER	DEPARTMENT	ENVIRONMENTAL UTILITIES (08400)	PROGRAM	WATER EFFICIENCY (08433)				
PROGRAM									
To reduce the amount of potable water used in the City of Roseville by maintaining a comprehensive water efficiency program.									
PROGRAM OBJECTIVE									
- To meet federal, state and regional water conservation requirements - To perform water patrols and support customer service activities - To provide educational opportunities to the Roseville community - To develop, coordinate, and implement incentive programs that encourage customers to use less water - To monitor and report water savings through conservation programs implemented - To maintain a high customer service standard									
PERFORMANCE MEASURES									
WORK VOLUME			Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target	
- Customer audits performed - Hours dedicated to water waste patrol - Rebates processed - Gallons per capita per day			149 1,033 200 120	146 915 188 71			295 1,948 388 96	1,400 3,000 1,200 240	
EFFICIENCY / EFFECTIVENESS									
COMMENTS									
Drought stage 3 still in effect. Governor's mandate water restrictions at 28%, Reporting period June through January cumulative water savings is 34.7%.									

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	ENVIRONMENTAL UTILITIES (08400)	PROGRAM	RECYCLED WATER (08441)			
WASTEWATER							
PROGRAM							
To provide recycled water to meet irrigation and industrial demands which would otherwise be met with potable water, thus conserving potable water resources.							
PROGRAM OBJECTIVE							
- To provide a quality treatment process for the production of highly treated recycled water							
- To ensure compliance with all health and safety regulations relative To production, distribution and on-site use of recycled water							
- To provide a reliable recycled water distribution system							
- To monitor recycled water quality and use							
PERFORMANCE MEASURES							
WORK VOLUME		Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Acre feet of recycled water delivered to customers		1,167	733			1,900	3,050
- Recycled water distribution cost per acre feet		77	129			97	215
- Customer complaints per acre feet delivered		0	0			0	0
EFFICIENCY / EFFECTIVENESS							
COMMENTS							

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA ENVIRONMENTAL UTILITIES		DEPARTMENT ENVIRONMENTAL UTILITIES (08400)	PROGRAM UTILITY EXPLORATION CENTER (227: 08527)				
PROGRAM							
To educate Roseville residents about a sustainable environment through exhibits and programs, and to market, promote and facilitate utilization of the Utility Exploration Center (UEC) while maintaining a high level of customer service.							
PROGRAM OBJECTIVE							
- To provide environmental and educational programs, classes, and tours at the UEC - To effectively market and promote the UEC - To pursue grant funding and fundraising to enhance and offset program and operation costs at the UEC							
PERFORMANCE MEASURES		Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
WORK VOLUME							
- Number of visitors to the Utility Exploration Center		7,869	7,755			15,624	35,000
- Number of students served in school and youth group tours		718	1,503			2,221	5,900
- Number of visitors attending special events		2,483	1,293			3,776	10,000
EFFICIENCY / EFFECTIVENESS							
- Percentage of teachers rating the programs and services of the UEC overall as 'good' to 'excellent'		100% *	100% *	*		100% *	98% 95%
- Percentage of customers rating Celebrate the Earth Festival as good to excellent							
COMMENTS							
* This event will take place in Quarter 4.							

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	ELECTRIC	DEPARTMENT	ELECTRIC (08600)	PROGRAM	ADMINISTRATION (08600)
PROGRAM					
To direct and guide the City's Electric Utility, providing executive administration and stakeholder relationship management. The Administration staff also provides support in public relations and outreach, electric system technology maintenance, rate design and management, budgeting, customer data mining, load forecasting, and industrial meter reading.					
PROGRAM OBJECTIVE					
- Achieve strong financial performance through the use of effective financial policies, strategies and goals - Develop and refine customer and market information - Develop and refine load data - Provide effective community and media outreach and education - Maintain control system reliability - Comply with all North American Electric Regulatory Corporation Critical Infrastructure Protection Standards					
PERFORMANCE MEASURES					
WORK VOLUME					
EFFICIENCY / EFFECTIVENESS - Rate advantage for RE customers compared to adjacent Electric Utilities - Critical Infrastructure Protection Standards Compliance - NERC - Debt service coverage ratio - Rate Stabilization Fund balance (as a % of operating costs) - Days cash on hand - Bond Rating					
COMMENTS					

Fiscal Year 2015-16

B - 58

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	ELECTRIC (08600)	PROGRAM ENGINEERING, NEW SERVICES & DISTRIBUTION (08611, 08614, 08615)			
PROGRAM						
- To design, construct, operate and maintain the electric distribution and streetlight systems in a safe, reliable, and cost effective manner.						
PROGRAM OBJECTIVE						
- Plan, design, inspect and construct electric distribution and streetlight systems to meet the community's long term goals						
- Operate and maintain the distribution system safely and reliably						
- Provide technical support and service to staff, customers, and stakeholders						
- Effectively and accurately manage and secure inventory						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
EFFICIENCY / EFFECTIVENESS						
- New Service design completed within 8 weeks of receipt	100.00%	100.00%			100.00%	100%
- Average outage duration (SAIDI) in minutes	5.2300	0.7656			5.9755	< 30.00
- Average outage frequency (SAIFI) per customer	0.0460	0.0048			0.0516	< 0.35
- Response Time to Unplanned Outages in minutes	72.0000	24.0000			55.0000	< 30.00
- System losses	0.77%	1.67%				3%
- Lost time cases	0	0			0	0
- Modified Duty Cases	3	2			5	0
- Total Number of Medical Cases	0	1			1	0
- Vehicle Incidents	2	0			2	0
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	DEPARTMENT	ELECTRIC (08600)	PROGRAM	ELECTRIC POWER PLANT (08616)
PROGRAM To safely and efficiently operate and maintain Roseville's power plants.				
PROGRAM OBJECTIVE - Operate assets safely - Maintain assets to meet operational goals for reliability and availability - Ensure compliance with all applicable regulations and requirements - Provide engineering support - Manage on-site warehouse effectively				
PERFORMANCE MEASURES				
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4
EFFICIENCY / EFFECTIVENESS - Availability Percentage - Forced Outage Factor - Starting Reliability Percentage	100.0% 0.0% 100.0%	93.5% 0.3% 89.0%		96.7% 0.2% 94.5%
				>92.1% <3.6% >89.8%
COMMENTS				

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	ELECTRIC	DEPARTMENT	ELECTRIC (08600)	PROGRAM	POWER SUPPLY (08621, 08650)		
PROGRAM							
To acquire adequate and reliable power supplies at competitive prices, in compliance with regulatory requirements, and manage the risk of power supply market price volatility.							
PROGRAM OBJECTIVE							
- Manage electric power supply portfolio to balance low cost and risk - Optimally manage wholesale assets to provide service at the lowest reasonable cost - Manage access and opportunities in the wholesale market to achieve Roseville Electric's goals - Manage internal programs to ensure compliance with regulatory requirements							
PERFORMANCE MEASURES		Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
WORK VOLUME							
EFFICIENCY / EFFECTIVENESS							
- Compliance with Greenhouse Gas regulations - Compliance with Renewable Portfolio Standard regulations - Compliance with Risk Management policies - Fiscal year estimate Risk Policy Cost Ceiling		100% 100% 100% 103.5%	100% 100% 100% 101.5%			100% 100% 100% 101.5%	100% 100% 100% <107.5%
COMMENTS							

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA	ELECTRIC	DEPARTMENT	ELECTRIC (08600)	PROGRAM	PUBLIC BENEFITS (08623, 08650)
PROGRAM					
To develop, implement, and report on Public Benefit programs and other utility customer services.					
PROGRAM OBJECTIVE					
- Implement and maintain Public Benefit programs in a sound manner - Develop and maintain high customer satisfaction levels - Meet annual goals for Public Benefit programs' energy savings - Spend a minimum of 2.85% of annual revenues via Public Benefit programs, as required by state law					
PERFORMANCE MEASURES					
WORK VOLUME					
EFFICIENCY / EFFECTIVENESS					
- Customer Satisfaction - Energy efficiency targets (MWh) - Public Benefit expenses (as a % of revenue)		95% 3,542 2.85%	95% 2,057 2.85%	95% 5,599 2.85%	95% 7,768 2.85%
COMMENTS					

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA DEVELOPMENT SERVICES	DEPARTMENT DEVELOPMENT SERVICES (08800)	PROGRAM PLANNING (08200)				
PROGRAM To prepare, maintain and implement a comprehensive set of policies and physical plans to guide future development that is reflective of the community's desire to create and maintain a healthful, prosperous, efficient and attractive community.						
PROGRAM OBJECTIVE - Process all development applications within statutory deadlines and priority projects as directed by Council - Continue to update and simplify development project processing for improved efficiency, integrate with "permit center" - Continue to automate intra-departmental permit and project tracking - Continue to support and participate in establishment and operation of City-wide GIS - Actively participate in coordination with Placer County and adjacent jurisdictions on long-range planning programs - Complete work on major planning programs, including specific plans and other major projects as directed by Council - Assist in Downtown / Old Town and neighborhood revitalization programs						
PERFORMANCE MEASURES						
WORK VOLUME - Number of development applications received - Number of development applications processed - Public counter staffing by a Planner and permit tech stated in FTE - Major Projects Processing stated in FTE - Number of Ministerial Permits issued - Number of Sign Permits issued	Quarter 1 22 25 1.4 1.5 98 16	Quarter 2 31 18 1.4 1.5 79 19	Quarter 3	Quarter 4	Year-To-Date 53 43 1.4 1.5 177 35	Target 100 100 1.4 1.5 350 125
EFFICIENCY / EFFECTIVENESS - Percent complete of major planning programs within adopted schedules - Percent implemented of permit and processing streamlining ordinances - General Fund cost per capita - Revenue recovery (3000 accounts)	100% 100% \$3.05 \$115,427	100% 100% \$3.46 \$112,212			100% 100% \$6.51 \$227,639	100% 100% \$15.57 \$790,125
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA DEVELOPMENT SERVICES		DEPARTMENT DEVELOPMENT SERVICES (08300)	PROGRAM PERMIT CENTER (08801)			
PROGRAM						
To provide residents and members of the development community with efficient and professional services relating to permit and development review programs of Building, Engineering, and Planning at a single location and to coordinate with other development review related activities of Electric, Environmental Utilities and Fire.						
PROGRAM OBJECTIVE						
- Consolidate and standardize departmental procedures in order to streamline front counter process - Develop new programs for continued customer feedback - Expand on-line permit information concerning status and historical information - Maintain the "Quick Check" programs for Tenant Improvement and residential projects						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Number of customers assisted at front counter - Number of applications accepted at front counter - Number of permits issued over the counter - Permit Center front counter staffing by Permit Technicians and CSR FTEs. - Total building permits issued - Single family dwelling permits issued	3,569 1,883 971 4.0 1,599 218	2,882 1,467 829 4.0 1,313 183			6,451 3,350 1,800 4.0 2,912 401	12,000 6,000 3,200 4.0 5,500 700
EFFICIENCY / EFFECTIVENESS % of permits issued with no mistakes	95%	94%			95%	95%
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA DEVELOPMENT SERVICES		DEPARTMENT DEVELOPMENT SERVICES (08800)	PROGRAM BUILDING INSPECTION & PLAN CHECK (08810)			
PROGRAM						
To provide minimum standards to safeguard life or limb, health, property and public welfare by regulating and controlling the design, construction, quality of materials, use and occupancy, location and maintenance of all buildings and structures within this jurisdiction and certain equipment specifically regulated herein.						
PROGRAM OBJECTIVE						
- To return first time comments on commercial and residential plan check within 21 calendar days from date of submittal; to return corrected plans to customer within 14 days from date of submittal - To make 95% of building inspections within 24 hours of request - To maintain inspection service levels less than or equal to 16 inspections per inspector per day - To have all inspectors and plan checkers certified by the International Code Council - Minimum 15 hours continuing education for each inspector and plan checker - To maintain plan check service levels less than or equal to 5 plan checks per plan checker per day						
PERFORMANCE MEASURES						
WORK VOLUME	Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Total building permits issued	1,599	1,313			2,912	5,500
- Single family dwelling permits issued	218	183			401	700
- Inspection requests	6,919	7,537			14,456	25,000
- Total plan checks	2,050	1,610			3,660	7,000
- Average total plan checks per plan checker per day	5.0	5.0			5.0	5.0
- Average inspections per inspector per day	20.0	20.0			20.0	16
- Audit and review plan checks for accuracy	5	5			10	60
- Audit and review inspections for accuracy	93	54			147	250
EFFICIENCY / EFFECTIVENESS						
- % of plans checked within 21 days	99%	100%			100%	95%
- % of plans returned within 14 days	100%	100%			100%	100%
- % of inspections made within 24 hours	97%	98%			98%	95%
- % of projects that are approved within three (3) plan checks	99%	99%			99%	97%
- % of plans approved with no minor code violations	99%	99%			99%	95%
- % of plans approved with no major code violations	100%	100%			100%	100%
- % of inspections approved with no minor code violations	98%	97%			98%	95%
- % of inspections approved with no major code violations	100%	99%			100%	100%
COMMENTS						

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA DEVELOPMENT SERVICES	DEPARTMENT DEVELOPMENT SERVICES (08300)	PROGRAM CODE ENFORCEMENT (08815)
PROGRAM To promote and maintain a safe and desirable living and working environment. Maintain and improve the quality of our community by administering a fair and unbiased enforcement program to correct violations of municipal codes and land use requirements. Improving the overall appearance of the City by reducing the total number of Municipal Code violations. Increase productivity, demonstrate program effectiveness and measure results through the implementation of innovative use of computers and technology.		
PROGRAM OBJECTIVE - To respond in a timely manner to complaints about potential municipal code violations, and provide for fair and effective enforcement of the municipal code.		
PERFORMANCE MEASURES		
WORK VOLUME - Inspections conducted - Complaints responded to - Cases closed	Quarter 1	Quarter 2
	Quarter 3	Quarter 4
	Year-To-Date	Target
	805 362 378	528 191 244
EFFICIENCY / EFFECTIVENESS - Initial response to complaints within 2 working days - Initial inspection performed within 1 week of complaint - Cases closed within 30 days of initial complaint - Cases closed within 1 year of initial complaint	83% 92% 65% 98%	91% 89% 57% 98%
	87% 91% 61% 98%	3,800 2,000 1,000
	70% 90% 70% 90%	
COMMENTS We did not meet our monthly target for "complaints responded to" because the overall number of complaints decrease this time of year (weather and holidays). We did not meet our monthly target for "cases closed" because staff was off during the holidays (Christmas week). We did not meet our quarterly target for "complaints responded to" because the overall number of complaints decrease this time of year (weather and holidays).		

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA DEVELOPMENT SERVICES		DEPARTMENT DEVELOPMENT SERVICES (08800)	PROGRAM DEVELOPMENT SERVICES - ENGINEERING (08820)				
PROGRAM							
To support the infrastructure of the City by providing general civil engineering services for land development projects, transportation planning, storm water management, and construction inspection.							
PROGRAM OBJECTIVE							
- LAND DEVELOPMENT - LAND DEVELOPMENT - CONSTRUCTION INSPECTION - TRANSPORTATION PLANNING - STORM WATER MANAGEMENT Check and return 75% of plans and maps within 4 weeks and 100% within 6 weeks Plan check staff to spend a minimum of 65% of work hours on plan checks Inspection staff to spend a minimum of 65% of work hours on inspections Provide technical review of traffic studies, update traffic fee programs Implement M54 permit							
PERFORMANCE MEASURES							
WORK VOLUME		Quarter 1	Quarter 2	Quarter 3	Quarter 4	Year-To-Date	Target
- Number of plans and maps returned		45	33			78	225
- Number of hours spent on inspections		2,626	2,095			4,721	6,000
- Number of hours spent plan checking		1,089*	968**			2,057	4,500
<u>Revenues</u>							
- Plan Check / Inspection Reimbursements		\$92,705	\$217,178			\$309,883	\$618,750
- CIP Reimbursed Costs		\$164,338	\$189,440			\$353,778	\$540,000
EFFICIENCY / EFFECTIVENESS							
- Percent work hours spent on development plan check		38%	42%			40%	40%
- Percent work hours spent on development / CIP inspection		83%	58%			71%	50%
- Percent plans and maps returned within 4 / 6 weeks		80%/96%	65%/96%			73%/96%	75% / 100%
- Ratio of Engineering Revenues / Expenses		20%	53%			35%	33%
- Percentage of projects that are approved within 3 plan checks		60%	65%			63%	75%
COMMENTS							
*1,089 totals hours spent on plancheck: 785 staff hours/304 contract hours							
**968 total hours spent on plancheck: 688 staff hours/280 contract hours							

PROGRAM / PERFORMANCE REPORT

Fiscal Year 2015-16

MAJOR SERVICE AREA STORM WATER MANAGEMENT	DEPARTMENT DEVELOPMENT SERVICES (08800)	PROGRAM STORMWATER MANAGEMENT PROGRAM (08830,08450)
PROGRAM To implement the City's NPDES Phase II MS4 State of California Storm Water Program.		
PROGRAM OBJECTIVE - Fulfill the minimum permit requirements of the City's State issued MS4 SW Permit - Public outreach - Public involvement - Illicit discharge detection and elimination - Municipal Operations - New / Re development - Construction inspection		
PERFORMANCE MEASURES		
WORK VOLUME - Participate in outreach events - Respond to ID incidences within 72 hours - Inspect all city owned facilities - Review construction documents for effective construction and post construction best management practices - Distribute educational materials to contractors - Inspect all construction sites for BMP's - Coordinate SW related responsibilities with other city departments	Quarter 1 0% 100% 0% 100% n/a * 100% n/a *	Quarter 2 0% 100% 0% 100% n/a * 100% n/a *
EFFICIENCY / EFFECTIVENESS		
COMMENTS * Program in process of being reassigned. Data is unavailable but will be reported at end of fiscal year.		



COUNCIL COMMUNICATION

CC #: 7531

File #: 0201

Title: Budget Update

Contact: Monty Hanks 774-5313 mhanks@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 8.3.

RECOMMENDATION TO COUNCIL

This is an informational item. No action is required.

BACKGROUND

The FY2016-17 budget preparation is underway and staff wants to keep the City Council informed with various budget related information and estimates as they become available. The updates are intended to solicit input and feedback from the council to ensure staff prepares their budgets that support the council goals and priorities outlined during the budget workshop.

Staff will be providing Council with an update on the Galleria Lease Payment, Successor Agency Loans, and expense forecasts for PERS, Other Post Employment Benefit (OPEB), Workers Compensation, and General Liability.

FISCAL IMPACT

There is no fiscal impact for this report.

ECONOMIC DEVELOPMENT / JOBS CREATED

No jobs will be created by this informational report.

ENVIRONMENTAL REVIEW

The staff report is statutorily exempt from the California Environmental Quality Act (CEQA) based on Section 15273 of the CEQA Guidelines. No other CEQA action is required.

Respectfully Submitted,

Monty Hanks, Finance Director



For

Dominick Casey, Assistant City Manager



COUNCIL COMMUNICATION

CC #: 7550
File #: 0800-02

Title: Municipal Code Amendment - Rates for Collection of Commercial Organic Waste
Contact: Terri Shirhall 774-5536 tshirhall@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 9.1.

RECOMMENDATION TO COUNCIL

Public Hearing continued to April 6, 2016.

BACKGROUND

N/A

FISCAL IMPACT

N/A

ECONOMIC DEVELOPMENT / JOBS CREATED

N/A

ENVIRONMENTAL REVIEW

N/A

Respectfully Submitted,

Terri Shirhall, Administrative Analyst

Richard Plecker, Environmental Utilities Director



COUNCIL COMMUNICATION

CC #: 7551
File #: 0320-01

Title: 2016 Weed Abatement - Public Hearing
Contact: Rob Arnett 774-5827 rarnett@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 9.2.

RECOMMENDATION TO COUNCIL

Public Hearing continued to April 6, 2016.

BACKGROUND

N/A

FISCAL IMPACT

N/A

ECONOMIC DEVELOPMENT / JOBS CREATED

N/A

ENVIRONMENTAL REVIEW

N/A

Respectfully Submitted,

Rob Arnett, Fire Inspection Supervisor

Rick Bartee, Fire Chief



COUNCIL COMMUNICATION

CC #: 7548

File #: 0103-03-02 & 0709-01

Title: Housing Authority - Annual Plan for Fiscal Year 2016

Contact: Nancy Cox 774-5451 ncox@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 9.3.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council take the following actions:

1. Open public hearing, receive public comments, close public hearing.
2. Adopt the attached resolution:
 - Approving the attached Roseville Housing Authority Annual Plan for Fiscal Year 2016; and
 - Authorizing the Executive Director to submit the Roseville Housing Authority Annual Plan for Fiscal Year 2016 to the U.S. Department of Housing & Urban Development along with the required certifications, disclosures, and related documents.

BACKGROUND

Pursuant to the 1998 Quality Housing and Work Responsibility Act (QHWRA), all housing authorities must submit an Agency Plan, which includes a Five Year Plan and an Annual Plan. The U.S. Department of Housing & Urban Development (HUD) designed the Agency Plan to reflect the current and future goals of all housing authorities. It is also intended to identify the needs of the households served by the Housing Authority. Since the Roseville Housing Authority submitted its Five Year Plan to HUD in 2015, the Housing Authority is only required to submit its Annual Plan this year.

In November 2008, HUD issued Notice PIH 2008-41 (HA) that provided housing authorities with a revised Annual Plan process which reduces the reporting requirements for qualified housing authorities. Since the Roseville Housing Authority has been designated as a "High Performing" and a "Section 8 Only" housing authority, we are entitled to submit a simplified annual plan this year, which limits reporting to a few components.

Public Comment Period

The draft Annual Plan is required to have a 45-day local comment period before the Roseville Housing Authority has a public hearing to adopt the Agency Plan. The 45-day comment period commenced January 8, 2016 and ended at 5:00 p.m. February 22, 2016. Staff has received no comments from the public during that period.

Housing Authority staff held a meeting on February 17, 2016 to discuss the Annual Plan for Fiscal Year 2016 with the Housing Authority's Resident Advisory Board (RAB) members. The RAB members reviewed the draft Annual Plan prior to attending the meeting with staff. Their comments supported Roseville Housing Authority's goals and progress in meeting them. Housing staff anticipates submitting the final document via the Internet to HUD by the April 17th due date, which is 75 days before the beginning of the next fiscal year.

FISCAL IMPACT

The Housing Authority provides an important social service to ensure safe and affordable housing for our residents. Safe and affordable housing is an essential component to the foundation of our community's economic stability.

This Housing Authority Annual Report does not create any additional cost to the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

Estimates of total employment created can be based on expenditure data, consistent with guidance from the Council of Economic Advisers (CEA), a three member council that analyzes and interprets economic developments and advises the President of the United States on national economic policy.

Total employment not only includes direct and indirect jobs, but also induced jobs. CEA estimates that total employment is increased by one job-year for every \$92,000 in direct government spending.

The Housing Authority's annual budget for rental assistance (over \$4,000,000) will result in approximately 43 jobs based on the Federal guideline of one job for every \$92,000 in direct government spending.

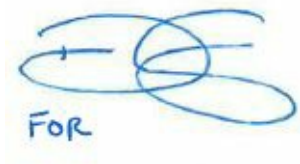
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The Roseville Housing Authority's Annual Report does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Nancy Cox, Housing Supervisor

Chris Robles, Housing Authority Assistant Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Housing Authority Resolution 01-16

2016 Annual Plan

Resident Advisory Board Comments

HOUSING AUTHORITY OF THE CITY OF ROSEVILLE
RESOLUTION NO. 01-16

RESOLUTION OF THE HOUSING AUTHORITY OF THE CITY OF ROSEVILLE
AUTHORIZING THE EXECUTIVE DIRECTOR TO SUBMIT THE ROSEVILLE HOUSING
AUTHORITY ANNUAL PLAN FOR FISCAL YEAR 2016 TO THE U.S. DEPARTMENT OF
HOUSING AND URBAN DEVELOPMENT ALONG WITH ALL THE REQUIRED
CERTIFICATIONS, DISCLOSURES AND RELATED DOCUMENTS

WHEREAS, the Department of Housing and Urban Development (HUD) requires all housing authorities to submit an Agency Plan, which includes a Five Year Plan and an Annual Plan; and

WHEREAS, the Five Year Plan was submitted to HUD in 2015; and

WHEREAS, staff has prepared the Annual Plan; and

WHEREAS, the Housing Authority has reviewed the plan;

NOW, THEREFORE, THE HOUSING AUTHORITY OF THE CITY OF ROSEVILLE
DOES HEREBY RESOLVE that:

1. The Roseville Housing Authority Annual Plan for Fiscal Year 2016 is hereby approved.
2. The Executive Director is authorized to submit the Roseville Housing Authority Annual Plan for Fiscal Year 2016 to the U.S. Department of Housing and Urban Development along with all required certifications, disclosures, and related documents.

PASSED AND ADOPTED by the Housing Authority of the City of Roseville this
_____ day of _____, 20____, by the following vote on roll call:

AYES AUTHORITY MEMBERS:

NOES AUTHORITY MEMBERS:

ABSENT AUTHORITY MEMBERS:

Chair

ATTEST:

Secretary

Streamlined Annual PHA Plan <i>(High Performer PHAs)</i>	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires: 02/29/2016
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Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA’s operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA’s mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

Applicability. Form HUD-50075-HP is to be completed annually by **High Performing PHAs**. PHAs that meet the definition of a Standard PHA, Troubled PHA, HCV-Only PHA, Small PHA, or Qualified PHA do not need to submit this form.

Definitions.

- High-Performer PHA** – A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers, and was designated as a high performer on both of the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments.
- Small PHA** - A PHA that is not designated as PHAS or SEMAP troubled, or at risk of being designated as troubled, and that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceeds 550.
- Housing Choice Voucher (HCV) Only PHA** - A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment, and does not own or manage public housing.
- Standard PHA** - A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceeds 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.
- Troubled PHA** - A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.
- Qualified PHA** - A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined, and is not PHAS or SEMAP troubled.

A. PHA Information.					
A.1	PHA Name: <u>Roseville Housing Authority</u> PHA Code: <u>CA128</u> PHA Type: ☐ Small ☒ High Performer PHA Plan for Fiscal Year Beginning: (MM/YYYY): <u>07/01/2016</u> PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above) Number of Public Housing (PH) Units <u>0</u> Number of Housing Choice Vouchers (HCVs) <u>655</u> Total Combined <u>655</u> PHA Plan Submission Type: ☒ Annual Submission ☐ Revised Annual Submission				
	Availability of Information. In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information of the PHA policies contained in the standard Annual Plan, but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.				
	Copies of PHA Plans may be obtained at the Agency’s main office: Roseville Housing Authority 311 Vernon Street Roseville, CA 95678 5-Year and Annual PHA Plans are also available on the website at www.roseville.ca.us/hcv				
	☐ PHA Consortia: (Check box if submitting a Joint PHA Plan and complete table below)				
	Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the Consortia	No. of Units in Each Program
					PH HCV
	Lead PHA:				

B.	Annual Plan Elements					
B.1	Revision of PHA Plan Elements. (a) Have the following PHA Plan elements been revised by the PHA since its last Annual PHA Plan submission? Y N ☐ ☒ Statement of Housing Needs and Strategy for Addressing Housing Needs. ☐ ☒ Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions. ☐ ☒ Financial Resources. ☐ ☒ Rent Determination. ☐ ☒ Homeownership Programs. ☐ ☒ Safety and Crime Prevention. ☐ ☒ Pet Policy. ☐ ☒ Substantial Deviation. ☐ ☒ Significant Amendment/Modification (b) The PHA must submit its Deconcentration Policy for Field Office Review. (c) If the PHA answered yes for any element, describe the revisions for each element below: The PHA's definition of "significant amendment" and "substantial deviation/modification": <i>Changes to the PHA admission or waiting list policies would be considered a substantial deviation and significant amendment to the PHA Plan requiring a public hearing</i>					
B.2	New Activities. (a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year? Y N ☐ ☒ Hope VI or Choice Neighborhoods. ☐ ☒ Mixed Finance Modernization or Development. ☐ ☒ Demolition and/or Disposition. ☐ ☒ Conversion of Public Housing to Tenant Based Assistance. ☐ ☒ Conversion of Public Housing to Project-Based Assistance under RAD. ☐ ☒ Project Based Vouchers. ☐ ☒ Units with Approved Vacancies for Modernization. ☐ ☒ Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants). (b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project based units and general locations, and describe how project basing would be consistent with the PHA Plan.					

B.3 Progress Report.

Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-Year Plan.

Goals for the current 5-Year Plan:

1. Expand the supply of assisted housing.
 - *The Roseville Housing Authority (RHA) will apply for additional VASH vouchers if they become available.*
 - *PROGRESS: RHA had the opportunity to apply for 8 more VASH vouchers which were awarded in May 2015.*
2. Improve the quality of assisted housing.
 - *The Roseville Housing Authority will maintain its high-performer rating (SEMAP score).*
 - *PROGRESS: RHA received the high-performer rating again this year.*
3. Increase assisted housing choices.
 - *The Roseville Housing Authority will continue to encourage property owners to provide available unit information for Housing Choice Voucher holders that are searching for a rental unit.*
 - *PROGRESS: RHA maintains a list of available rental units that it encourages owners to utilize for available units.*
 - *The Roseville Housing Authority will monitor HAP payments monthly to ensure that at least 98% of the Annual Contributions Contract is utilized or that 98% of total unit months are leased each year.*
 - *PROGRESS: For calendar year 2015 RHA will utilize 99.5% of original HCV units, 100% of NED units and we are currently at 80% of our original award of 10 VASH vouchers and are working with the Department of Veteran's Affairs to receive more VASH referrals.*
4. Affirmatively further Fair Housing
 - *The Roseville Housing Authority will provide training opportunities for staff to promote equal opportunity in housing.*
 - *PROGRESS: During 2015 RHA staff attended a fair housing training and an ADA training.*
5. Collaborate with neighboring housing authorities to optimize administration of the Housing Choice Voucher Program.
 - *The Roseville Housing Authority will continue to host HCV Roundtable meetings with housing authorities in Northern California three times a year and maintain the Yahoo! User Group for ongoing communication.*
 - *PROGRESS: RHA hosted 3 HCV Roundtable meetings with nine housing authorities: El Dorado Housing Authority, Madera Housing Authority, Placer County Housing Authority, Plumas Housing Authority, Regional Housing Authority of Sutter and Nevada Counties Shasta Housing Authority, Sacramento Housing, Roseville Housing Authority, Vacaville Housing Authority and HUD representatives Carol Joseph and Rhonda Wilson. RHA has also maintained the Yahoo! User Group for ongoing communication and collaboration.*

RHA Mission Statement:

The mission of the Roseville Housing Authority is to enhance the quality of life to Roseville and Rocklin's low-income families and individuals by:

- Effectively administering Housing Choice Voucher rental assistance funds

PROGRESS: The Roseville Housing Authority has effectively administered Housing Choice Voucher rental assistance funds by monthly reviewing available funds and lease-up to determine further leasing strategy. This has resulted in utilizing the full budget authority for the last calendar year in addition to using additional reserves.
- Assisting families with opportunities for safe, decent and affordable housing

PROGRESS: By careful monitoring lease-up, success rate, and market trends we ensure that we are able to assist the largest number of families. Our 2015 utilization of unit counts for our regular and NED vouchers is 99%.
- Delivering a high level of customer service

PROGRESS: We consistently provide a high level of customer service. This is evidenced, in part, by our practice of giving out our direct lines to owners and participants, and when unable to answer the call personally, return the call no later than the next business day. When we receive RFTAs we strive to approve them as soon as possible and complete the move-in inspection within 2 business days of when the unit is available for inspection.
- Guiding families and individuals towards self-sufficiency

PROGRESS: During the last year, two people have successfully completed the FSS Program. Both of these individuals no longer need rental assistance as both of them found full-time employment. Of the two, one FSS graduate earned a Master's Degree in the field of education and went on to become a teacher with Placer County and the other FSS graduate secured a full-time administrative position with Placer County as well as purchased her first home in the City of Roseville.

B.4.	Most Recent Fiscal Year Audit. (a) Were there any findings in the most recent FY Audit? Y N ☐ ☒ (b) If yes, please describe:
Other Document and/or Certification Requirements.	
C.1	Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan Form 50077-ST-HCV-HP, <i>Certification of Compliance with PHA Plans and Related Regulations</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.2	Civil Rights Certification. Form 50077-ST-HCV-HP, <i>Certification of Compliance with PHA Plans and Related Regulations</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.3	Resident Advisory Board (RAB) Comments. (a) Did the RAB(s) provide comments to the PHA Plan? Y N ☒ ☐ If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.
C.4	Certification by State or Local Officials. Form HUD 50077-SL, <i>Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan</i>, must be submitted by the PHA as an electronic attachment to the PHA Plan.
D	Statement of Capital Improvements. Required in all years for all PHAs completing this form that administer public housing and receive funding from the Capital Fund Program (CFP).
D.1	Capital Improvements. Include a reference here to the most recent HUD-approved 5-Year Action Plan (HUD-50075.2) and the date that it was approved by HUD. n/a

Instructions for Preparation of Form HUD-50075-HP

Annual Plan for High Performing PHAs

A. PHA Information. All PHAs must complete this section.

- A.1** Include the full **PHA Name, PHA Code, PHA Type, PHA Fiscal Year Beginning (MM/YYYY), PHA Inventory, Number of Public Housing Units and or Housing Choice Vouchers (HCVs), PHA Plan Submission Type,** and the **Availability of Information,** specific location(s) of all information relevant to the public hearing and proposed PHA Plan. ([24 CFR §903.23\(4\)\(e\)](#))

PHA Consortia: Check box if submitting a Joint PHA Plan and complete the table. ([24 CFR §943.128\(a\)](#))

B. Annual Plan.

B.1 Revision of PHA Plan Elements. PHAs must:

Identify specifically which plan elements listed below that have been revised by the PHA. To specify which elements have been revised, mark the “yes” box. If an element has not been revised, mark “no.”

☐ **Statement of Housing Needs and Strategy for Addressing Housing Needs.** Provide a statement addressing the housing needs of low-income, very low-income and extremely low-income families and a brief description of the PHA’s strategy for addressing the housing needs of families who reside in the jurisdiction served by the PHA. The statement must identify the housing needs of (i) families with incomes below 30 percent of area median income (extremely low-income), (ii) elderly families and families with disabilities, and (iii) households of various races and ethnic groups residing in the jurisdiction or on the waiting list based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. For years in which the PHA’s 5-Year PHA Plan is also due, this information must be included only to the extent it pertains to the housing needs of families that are on the PHA’s public housing and Section 8 tenant-based assistance waiting lists. ([24 CFR §903.7\(a\)\(1\)](#)) and 24 CFR §903.12(b). Provide a description of the PHA’s strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. For years in which the PHA’s 5-Year PHA Plan is also due, this information must be included only to the extent it pertains to the housing needs of families that are on the PHA’s public housing and Section 8 tenant-based assistance waiting lists. ([24 CFR §903.7\(a\)\(2\)\(ii\)](#)) and 24 CFR §903.12(b).

☐ **Deconcentration and Other Policies that Govern Eligibility, Selection and Admissions.** Describe the PHA’s admissions policy for deconcentration of poverty and income mixing of lower-income families in public housing. The Deconcentration Policy must describe the PHA’s policy for bringing higher income tenants into lower income developments and lower income tenants into higher income developments. The deconcentration requirements apply to general occupancy and family public housing developments. Refer to 24 CFR §903.2(b)(2) for developments not subject to deconcentration of poverty and income mixing requirements. ([24 CFR §903.7\(b\)](#)) Describe the PHA’s procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists. ([24 CFR §903.7\(b\)](#)) A statement of the PHA’s policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV. ([24 CFR §903.7\(b\)](#)) Describe the unit assignment policies for public housing. ([24 CFR §903.7\(b\)](#))

☐ **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA’s anticipated resources, such as PHA operating, capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources. ([24 CFR §903.7\(c\)](#))

☐ **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units, including applicable public housing flat rents, minimum rents, voucher family rent contributions, and payment standard policies. ([24 CFR §903.7\(d\)](#))

☐ **Homeownership Programs.** A description of any homeownership programs (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval. For years in which the PHA’s 5-Year PHA Plan is also due, this information must be included only to the extent that the PHA participates in homeownership programs under section 8(y) of the 1937 Act. ([24 CFR §903.7\(k\)](#)) and 24 CFR §903.12(b).

☐ **Safety and Crime Prevention (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families. ([24 CFR §903.7\(m\)\(5\)](#))

☐ **Pet Policy.** Describe the PHA’s policies and requirements pertaining to the ownership of pets in public housing. ([24 CFR §903.7\(n\)](#))

☐ **Substantial Deviation.** PHA must provide its criteria for determining a “substantial deviation” to its 5-Year Plan. ([24 CFR §903.7\(r\)\(2\)\(i\)](#))

☐ **Significant Amendment/Modification.** PHA must provide its criteria for determining a “Significant Amendment or Modification” to its 5-Year and Annual Plan. Should the PHA fail to define ‘significant amendment/modification’, HUD will consider the following to be ‘significant amendments or modifications’: a) changes to rent or admissions policies or organization of the waiting list; b) additions of non-emergency public housing CFP work items (items not included in the current CFP Annual Statement or CFP 5-Year Action Plan); or c) any change with regard to demolition or disposition, designation, homeownership programs or conversion activities. See guidance on HUD’s website at: [Notice PIH 1999-51](#). ([24 CFR §903.7\(r\)\(2\)\(ii\)](#))

If any boxes are marked “yes”, describe the revision(s) to those element(s) in the space provided.

PHAs must submit a Deconcentration Policy for Field Office review. For additional guidance on what a PHA must do to deconcentrate poverty in its development and comply with fair housing requirements, see [24 CFR 903.2](#). ([24 CFR §903.23\(b\)](#))

B.2 New Activities. If the PHA intends to undertake any new activities related to these elements or discretionary policies in the current Fiscal Year, mark “yes” for those elements, and describe the activities to be undertaken in the space provided. If the PHA does not plan to undertake these activities, mark “no.”

☐ **Hope VI.** 1) A description of any housing (including project name, number (if known) and unit count) for which the PHA will apply for HOPE VI; and 2) A timetable for the submission of applications or proposals. The application and approval process for Hope VI is a separate process. See guidance on HUD’s website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>. ([Notice PIH 2010-30](#))

☐ **Mixed Finance Modernization or Development.** 1) A description of any housing (including name, project number (if known) and unit count) for which the PHA will apply for Mixed Finance Modernization or Development; and 2) A timetable for the submission of applications or proposals. The application and approval process for Mixed Finance Modernization or Development is a separate process. See guidance on HUD’s website at: <http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm>. ([Notice PIH 2010-30](#))

☐ **Demolition and/or Disposition.** Describe any public housing projects owned by the PHA and subject to ACCs (including name, project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD’s website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm. ([24 CFR §903.7\(h\)](#))

☐ **Conversion of Public Housing.** Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA is required to convert or plans to voluntarily convert to tenant-based assistance; 2) An analysis of the projects or buildings required to be converted; and 3) A statement of the amount of assistance received to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD’s website at: <http://www.hud.gov/offices/pih/centers/sac/conversion.cfm>. ([24 CFR §903.7\(j\)](#))

☐ **Project-Based Vouchers.** Describe any plans to use HCVs for new project-based vouchers. ([24 CFR §983.57\(b\)\(1\)](#)) If using project-based vouchers, provide the projected number of project-based units and general locations, and describe how project-basing would be consistent with the PHA Plan.

☐ **Other Capital Grant Programs** (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).

B.3 Progress Report. For all Annual Plans following submission of the first Annual Plan, a PHA must include a brief statement of the PHA’s progress in meeting the mission and goals described in the 5-Year PHA Plan. ([24 CFR §903.7\(r\)\(1\)](#))

B.4 Most Recent Fiscal Year Audit. If the results of the most recent fiscal year audit for the PHA included any findings, mark “yes” and describe those findings in the space provided. ([24 CFR §903.7\(p\)](#))

C. Other Document and/or Certification Requirements

C.1 Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan. Provide a certification that the following plan elements have been revised, provided to the RAB for comment before implementation, approved by the PHA board, and made available for review and inspection by the public. This requirement is satisfied by completing and submitting form HUD-50077 SM-HP.

C.2 Civil Rights Certification. Form HUD-50077 SM-HP, *PHA Certifications of Compliance with the PHA Plans and Related Regulation*, must be submitted by the PHA as an electronic attachment to the PHA Plan. This includes all certifications relating to Civil Rights and related regulations. A PHA will be considered in compliance with the AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction’s initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction. ([24 CFR §903.7\(o\)](#))

C.3 Resident Advisory Board (RAB) comments. If the RAB provided comments to the annual plan, mark “yes,” submit the comments as an attachment to the Plan and describe the analysis of the comments and the PHA’s decision made on these recommendations. ([24 CFR §903.13\(c\)](#), [24 CFR §903.19](#))

C.4 Certification by State or Local Officials. Form HUD-50077-SL, *Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan*, must be submitted by the PHA as an electronic attachment to the PHA Plan. ([24 CFR §903.15](#))

D. Statement of Capital Improvements. PHAs that receive funding from the Capital Fund Program (CFP) must complete this section. ([24 CFR 903.7 \(g\)](#))

D.1 Capital Improvements. In order to comply with this requirement, the PHA must reference the most recent HUD approved Capital Fund 5 Year Action Plan. PHAs can reference the form by including the following language in Section C. 8.0 of the PHA Plan Template: “See HUD Form 50075.2 approved by HUD on XX/XX/XXXX.”

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced the 5-Year and Annual PHA Plan. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA’s operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA’s mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families.

Public reporting burden for this information collection is estimated to average 16.64 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

DRAFT

Attachment to Form HUD-50075

C.3 Resident Advisory Board (RAB) Comments

The Roseville Housing Authority's Resident Advisory Board reviewed draft Annual Plan and made the following comments:

We feel that Roseville Housing Authority is doing a good job meeting its goals.

There were no challenges to any elements of the PHA Plan.



COUNCIL COMMUNICATION

CC #: 7515

File #: 0206-03-01

Title: Resolution of Formation of Fiddymment Ranch Community Facility District No. 5
(Public Facilities)

Contact: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us
Monty Hanks 916-774-5313 mhanks@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 9.4.

RECOMMENDATION TO COUNCIL

It is requested that the City Council adopt the following resolutions and ordinance (attached):

- A Resolution of Formation of the City Council of the City of Roseville to Form Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)
- A Resolution Determining Necessity to Incur Bonded Indebtedness for the City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)
- A Resolution of the City Council of the City of Roseville Calling Special Election Regarding Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)
- A Resolution Declaring Results of Special Election and Directing Recording of Notice of Special Tax Lien Regarding Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)
- Ordinance of the City Council of the City of Roseville Levying Special Taxes within the City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)

BACKGROUND

On February 23, 2004 the Fifth Amendment of Development Agreement by and Between the City of Roseville and Roseville Fiddymment Land Venture, LLC, Relative to the West Roseville Specific Plan was approved by City Council. With this amendment the Developer and the City agreed to form an 'Overlay' Community Facilities District ("CFD") with respect to Fiddymment Ranch CFD No. 1 for the purpose of financing the construction and/or acquisition of public infrastructure and facilities within the property. These funds may be used to retire project CFD (Fiddymment Ranch CFD No. 1) debt on the property and to construct and /or acquire the improvements and facilities authorized in the Amendment. The total special taxes under this Amendment, according to land use type, within the 'Overlay' CFD shall be less than or equal to, but no more than, the total special taxes for equivalent individual unit types under the revised Section 3.18.1 (of the 5th Amendment) prior to the increase in housing density allowed by the Amendment. ATC Realty One, LLC, the landowner of the land proposed to be included in the District has requested that the City form an

'Overlay' CFD which will be known as Fiddymment Ranch CFD No. 5 (Public Facilities). The property within the boundaries of the CFD will be assessed special taxes as described in the Rate and Method of Apportionment, included in the attached Hearing Report. The new bond issue will be in phases, and the total bond issues will not exceed \$75 million, and will include the funds to prepay the CFD 1 bonds on those parcels that are within the CFD No. 5 boundaries.

The purpose of the Public Hearing for Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) and these proceedings are to: 1) hear all interested persons on matters pertaining to the formation of the CFD; 2) set the maximum amount of bonds that can be sold over the life of the CFD; 3) call a special election of the eligible voters in the CFD (landowners); and 4) declare the election results and direct the City Clerk to record a Notice of Special Tax Lien at the County of Placer.

The Preliminary Official Statement and other related bond documents will be presented at a future City Council meeting.

On January 20, 2016, the City Council adopted Resolution of Intention 16-09, to Form a Community Facilities District and Levy a Special Tax in Fiddymment Ranch Community Facilities District No. 5 (Public Facilities), and set Wednesday, March 16, 2016 at 7:00 p.m. as the time and place to conduct the public hearing.

FISCAL IMPACT

The CFD will generate special taxes that will be paid by the property owners sufficient to pay the costs for debt service, CFD administration, and any authorized pay-as-you-go facilities. The formation, annexation, and administration of the CFDs will not impact the City's General Fund.

ECONOMIC DEVELOPMENT / JOBS CREATED

The formation of the public infrastructure CFD will ultimately help the local economy in the construction industry by creating new jobs for infrastructure and home construction.

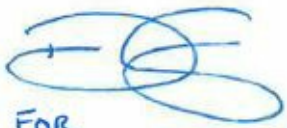
ENVIRONMENTAL REVIEW

This is not considered a "project" as defined by the California Environmental Quality Act (CEQA), Section 15378 of the CEQA guidelines and therefore no CEQA action is required.

Respectfully Submitted,

Jeannine Thrash, Administrative Analyst

Monty Hanks, Finance Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-84

Resolution No. 16-85

Resolution No. 16-86

Resolution No. 16-87

Ordinance No. 5652

Notice of Special Tax Lien

Notice of Special Tax Lien-Exhibit A

Notice of Special Tax Lien-Exhibit B

CFD No. 5 Hearing Report

RESOLUTION NO. 16-84

**A RESOLUTION OF FORMATION OF THE CITY COUNCIL
OF THE CITY OF ROSEVILLE TO FORM
FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5
(PUBLIC FACILITIES)**

WHEREAS, on January 20, 2016, this City Council (the "Council") of the City of Roseville (the "City") adopted a resolution entitled "A Resolution of Intention of the City Council of the City of Roseville to Form a Community Facilities District and Levy a Special Tax in Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) to Finance the Acquisition and Construction of Certain Public Facilities in and for Such Community Facilities District" (the "Resolution of Intention"), stating its intention to form "City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "CFD"), of the City, pursuant to the Mello-Roos Community Facilities Act of 1982, as amended, Chapter 2.5 of Part 1 of Division 2 of Title 5, commencing with Section 53311, of the California Government Code (the "Act"); and

WHEREAS, the Resolution of Intention, describing a map of the proposed boundaries of the CFD and stating the facilities to be provided, the cost of providing such facilities, and the rate and method of apportionment of the special tax to be levied within the CFD to pay the principal and interest on bonds proposed to be issued with respect to the CFD, is on file with the City Clerk and the provisions thereof are incorporated herein by this reference as if fully set forth herein; and

WHEREAS, on this date, this City Council held a noticed public hearing as required by the Act and the Resolution of Intention relative to the proposed formation of the CFD; and

WHEREAS, at the hearing all interested persons desiring to be heard on all matters pertaining to the formation of the CFD, the facilities to be provided therein and the levy of said special tax were heard and a full and fair hearing was held; and

WHEREAS, at the hearing evidence was presented to the Council on said matters before it, including a report caused to be prepared by Willdan Financial Services (the "Report") as to the facilities to be provided through the CFD and the costs thereof, a copy of which is on file with the City Clerk, and made a part of the record of said public hearing; and

WHEREAS, written protests with respect to the formation of the CFD, the furnishing of specified types of facilities and the rate and method of apportionment of the special taxes have not been filed with the City Clerk by fifty percent (50%) or more of the registered voters residing within the territory of the CFD or property owners of one-half (1/2) or more of the area of land within the CFD and not exempt from the proposed special tax; and

WHEREAS, the special tax proposed to be levied in the CFD to pay for the proposed facilities to be provided therein, as set forth in Exhibit B hereto, has not been eliminated by protest by fifty percent (50%) or more of the registered voters residing within the territory of the CFD or the owners of one-half (1/2) or more of the area of land within the CFD and not exempt from the special tax.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF ROSEVILLE RESOLVES AS FOLLOWS:

1. **Recitals Correct.** The foregoing recitals are true and correct.
2. **No Majority Protest.** The proposed special tax to be levied within the CFD has not been precluded by majority protest pursuant to section 53324 of the Act.
3. **Prior Proceedings Valid.** All prior proceedings taken by the Council in connection with the establishment of the CFD and the levy of the special tax have been duly considered and are hereby found and determined to be valid and in conformity with the Act.
4. **Name of CFD.** The community facilities district designated "City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" is hereby established pursuant to the Act.
5. **Boundaries of CFD.** The boundaries of the CFD, as set forth in the map of the CFD and to be recorded in the Placer County Recorder's Office on February 1, 2016 in Book 3 at Page 73, as Document No. 2016-0007232 of the Book of Maps of Assessment and Community Facilities Districts, are hereby approved, are incorporated herein by reference and shall be the boundaries of the CFD.
6. **Description of Facilities.** The type of public facilities proposed to be financed by the CFD and pursuant to the Act shall consist of those items listed as facilities in Exhibit A hereto and by this reference incorporated herein (the "Facilities").

The Finance Director of the City of Roseville or any other authorized officer, is hereby authorized and directed to enter into joint community facilities agreements with any entity that will own or operate any of the Facilities, as may be necessary to comply with the provisions of Section 53316.2(a) and (b) of the Act. The Council hereby declares that such joint agreements will be beneficial to residents in the area of the CFD.

7. **Special Tax.**

a. Except to the extent that funds are otherwise available to the CFD to pay for the Facilities and/or the principal and interest as it becomes due on bonds of the CFD issued to finance the Facilities, a special tax (the "Special Tax") sufficient to pay the costs thereof, secured by the recordation of a continuing lien against all non-exempt real property in the CFD, is intended to be levied annually within the CFD, and collected in the same manner as ordinary *ad valorem* property taxes or in such other manner as may be prescribed by the Council or its designee, including direct billing of the affected property owners.

b. The proposed rate and method of apportionment of the Special Tax among the parcels of real property within the CFD, in sufficient detail to allow each landowner within the proposed CFD to estimate the maximum amount such owner will have to pay, are shown in Exhibit B attached hereto and hereby incorporated herein.

The Special Tax shall not be levied in the CFD after fiscal year 2066-67 except that a Special Tax that was lawfully levied in or before the final tax year and that remains

delinquent may be collected in subsequent years. Under no circumstances shall the Special Tax levied against any parcel in the CFD to be used for private residential purposes be increased as a consequence of delinquency or default by the owner of any other parcel or parcels within the CFD by more than ten percent (10%).

8. **Increased Demands.** It is hereby found and determined that the Facilities are necessary to meet increased demands placed upon local agencies as the result of development occurring in the CFD.

9. **Responsible Official.** The Finance Director of the City of Roseville, 311 Vernon Street, Roseville, CA 95678, (916) 774-5313, is the officer of the City who will be responsible for preparing annually a current roll of special tax levy obligations by assessor's parcel number and who will be responsible for estimating future special tax levies pursuant to the Act.

10. **Tax Lien.** Upon recordation of a notice of special tax lien pursuant to Section 3114.5 of the Streets and Highways Code of California, a continuing lien to secure each levy of the special tax shall attach to all nonexempt real property in the CFD and this lien shall continue in force and effect until the special tax obligation is prepaid and permanently satisfied and the lien canceled in accordance with law or until collection of the tax by the City ceases.

11. **Appropriations Limit.** In accordance with the Act, the annual appropriations limit, as defined by subdivision (h) of Section 8 of Article XIII B of the California Constitution, of the CFD is hereby preliminarily established at \$7,500,000, and said appropriations limit shall be submitted to the voters of the CFD as hereafter provided. The proposition establishing said annual appropriations limit shall become effective if approved by the qualified electors voting thereon and shall be adjusted in accordance with the applicable provisions of the Act.

12. **Election.** Pursuant to the provisions of the Act, the proposition of the levy of the special tax and the proposition of the establishment of the appropriations limit specified above shall be submitted to the qualified electors of the CFD at an election. The time, place and conditions of the election shall be as specified by a separate resolution of this City Council.

13. **Effective Date.** This Resolution shall take effect upon its adoption.

* * * * *

The foregoing Resolution was adopted by the City Council of the City of Roseville on the _____ day of _____, 2016, on the following vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

ABSTAIN: COUNCILMEMBERS:

Mayor

ATTEST:

City Clerk

EXHIBIT A

CITY OF ROSEVILLE FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES)

LIST OF AUTHORIZED FACILITIES

The Mello-Roos Community Facilities Act of 1982 authorizes the creation of a Community Facilities District (CFD) to finance the construction of community infrastructure. The following facilities and improvements, described in the WRSP Public Facilities Financing Plan (Financing Plan) and designed to serve the Fiddymment Ranch Phases 2 & 3 project area located in the West Roseville Specific Plan (Specific Plan), are eligible for funding through this CFD.

TRANSPORTATION IMPROVEMENTS

Authorized facilities include transportation and public roadway improvements designed to meet the needs of the project, including but not limited to the following transportation-related improvements, as shown in the Specific Plan:

- North Hayden Parkway;
- Holt Parkway;
- Crawford Parkway;
- North/South Parkway;
- Street 22;
- Blue Oaks Boulevard.

Eligible roadway improvements include, but are not limited to, these: acquisition of land and easements; roadway design; project management; bridge crossings and culverts; clearing, grubbing and demolition; grading, soil import/export, paving (including slurry seal), and decorative/enhanced pavement concrete and/or pavers; joint trenches, underground utilities and undergrounding of existing overhead utilities; dry utilities and appurtenances; curbs, gutters, sidewalks, bike trails (including onsite and off-site), enhanced fencing, and access ramps; streetlights, signalization, and traffic signal control system; bus turnouts and shelters; signs and striping; erosion control; median and parkway landscaping and irrigation; entry monumentation; masonry walls; traffic control and agency fees; and other improvements related thereto.

Eligible improvements for the roads listed above also include any and all necessary underground potable and non-potable water, sanitary sewer, and storm drainage system improvements.

POTABLE AND NON-POTABLE WATER SYSTEM IMPROVEMENTS

Authorized facilities include any and all on- and off-site backbone water facilities designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan. These facilities include, but are not limited to potable and non-potable mains, valves, services and appurtenances; wells; and water treatment facilities.

Water rights acquisition, purchase of water supply, and transfer fees are also authorized improvements.

DRAINAGE SYSTEM IMPROVEMENTS

Authorized facilities include any and all backbone drainage and storm drainage improvements designed to meet the needs of development within the Phases 2 & 3 portion of the Specific Plan. These facilities include, but are not limited to: mains, pipelines and appurtenances, outfalls and water quality measures, temporary drainage facilities, detention/retention basins and drainage pretreatment facilities; drainage ways/channels, pump stations, landscaping and irrigation; maintenance access roads, access gates, and fencing; and striping and signage.

WASTEWATER SYSTEM IMPROVEMENTS

Authorized facilities include any and all backbone wastewater facilities designed to meet the needs of the development within the Phases 2 & 3 portion of the Specific Plan. These facilities include, but are not limited to, pipelines and all appurtenances thereto; manholes; tie-in to existing main line; force mains; lift stations; trunk main extension to the sewer treatment plant, including a syphon crossing of Pleasant Grove Creek and associated maintenance access road improvements; odor-control facilities; sewer treatment plant improvements and permitting related thereto; and related sewer system improvements.

SOLID WASTE IMPROVEMENTS

Authorized facilities include any and all backbone solid waste improvements designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan. Eligible improvements also include the Solid Waste Recycling Center. Facility improvements include, but are not limited to, these: site clearing, grading, and paving; curbs and gutters; stand-by generator; site lighting, drainage, sanitary sewer, and water service; landscaping and irrigation; access gates, fencing, and recycle containers and bins; and striping and signage.

PARK PASEO IMPROVEMENTS

Authorized facilities include any and all improvements to parks and paseos located in the Phases 2 & 3 portion of the Specific Plan.

OPEN SPACE IMPROVEMENTS

Authorized facilities include any and all open space improvements designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan, including, but not limited to, these: Bike trails; bike/pedestrian bridges; storm drain crossings; wetland mitigation; tree mitigation; off-site hawk mitigation; agricultural mitigation, and/or wetland mitigation property acquisition; endowment payments for open space management, landscaping and irrigation; access gates and fencing; and related open space improvements.

UTILITIES

Authorized facilities include any and all utility improvements designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan. All utility improvements,

easement payments, and land acquisition not located under or alongside transportation improvements are considered authorized facilities.

PREPAYMENT OF PRIOR COMMUNITY FACILITIES DISTRICT SPECIAL TAX/INDEBTEDNESS

Authorized facilities and incidental expenses for the CFD include the payment of all amounts necessary to pay, prepay or defease any obligation to pay or any indebtedness secured by any tax, fee, charge, or assessment levied within the area of the CFD for facilities and incidental expenses authorized to be financed by the City of Roseville Fiddymont Ranch Community Facilities District No. 1 (Public Facilities) (the "Existing CFD"), as are set forth in the Resolution No. 04-445 of the City Council of the City of Roseville adopted September 15, 2004. The financing plan for the CFD includes financing the prepayment of the special tax on land within the area of the CFD that is also in the Existing CFD, which is secured by an existing lien on such property for the special tax of the Existing CFD and which lien will be removed upon authorization of the lien of the special tax of the CFD.

FORMATION, ADMINISTRATIVE, AND INCIDENTAL EXPENSES

In addition to the above facilities and uses, other incidental expenses as authorized by the Mello-Roos Community Facilities Act of 1982 include, but are not limited to, these: the cost of planning, permitting and designing the facilities (including the cost of environmental evaluation), orthophotography, environmental remediation/mitigation, and preparation of an overarching operation and maintenance (O&M) Plan for the City of Roseville Open Space Preserves; land acquisition and easement payments for authorized CFD facilities; project management; construction staking; engineering studies and preparation of an engineer's report for the use of recycled water; utility relocation and demolition costs incidental to the construction of the public facilities and cost associated with the creation of the CFD, issuance of bonds, determination of the amount of taxes, collection of taxes, payment of taxes, or cost otherwise incurred to carry out the authorized purposes of the CFD; reimbursements to other areas for infrastructure facilities serving development in the CFD; and any other expenses incidental to the construction, completion, and inspection of the facilities.

EXHIBIT B

**CITY OF ROSEVILLE
FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5
(PUBLIC FACILITIES)**

RATE AND METHOD OF APPORTIONMENT OF SPECIAL TAX

[see attached]

Exhibit B

Rate and Method of Apportionment of Special Tax

City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)

A Special Tax shall be levied on all Taxable Property within the boundaries of the City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) ("CFD No. 5") and collected each Fiscal Year commencing in Fiscal Year 2016-17, in an amount determined by the CFD Administrator through the application of the procedures described below. All of the real property within CFD No. 5, unless exempted by law or by the provisions hereof, shall be taxed for the purposes, to the extent, and in the manner herein provided.

1. DEFINITIONS

The terms hereinafter set forth have the following meanings:

"Acre" or "Acreage" means the land area of an Assessor's Parcel as shown on an Assessor's Parcel Map, or if the land area is not shown on an Assessor's Parcel Map, the land area shown on the applicable Final Map or other Development Plan.

"Act" means the Mello-Roos Community Facilities Act of 1982, as amended, being Chapter 2.5 of Part 1 of Division 2 of Title 5 of the Government Code of the State of California.

"Administrative Fees & Expenses" means any or all of the following: the fees and expenses of any fiscal agent or trustee (including any fees or expenses of its counsel) employed in connection with any Bonds, and the expenses of the City carrying out its duties with respect to CFD No. 5 and the Bonds, including, but not limited to, levying and collecting the Special Taxes, the fees and expenses of legal counsel, charges levied by the County, costs related to annexing property into the CFD, costs related to property owner inquiries regarding the Special Taxes, costs associated with complying with any continuing disclosure requirements for the Bonds and the Special Taxes, and all other costs and expenses of the City in any way related to the establishment or administration of the CFD.

"Affordable Housing Director" means, at any point in time, the person within the City who serves as head of the department that is in charge of the City's affordable housing program.

“Affordable Unit” means a Residential Unit built on a Parcel of Residential Property for which an Affordable Purchase Development Agreement has been recorded on title of the property designating the Unit as affordable and resulting in an on-going deed of trust obligation of the Parcel in favor of the City or which is otherwise classified as deed-restricted affordable property. The City’s Affordable Housing Director shall determine which Units are designated as Affordable Units and shall maintain a current inventory of all Affordable Units, identified by tract and lot number, and in the case of Planning Areas, the number of affordable Units for each such Planning Area; all entries shall indicate the effective date of each Affordable Unit’s deed of trust obligation or deed restriction and the effective date that any obligation or deed-restriction had been removed. An Affordable Unit Listing of all qualifying Affordable Units as of April 30, together with the above information, shall be made available to the CFD Administrator by July 1 of each year for purposes of determining the Assigned Special Tax. A maximum of 249 Residential Units within CFD No. 5 shall be classified as Affordable Units.

“Annual Special Tax” means the Special Tax actually levied in any Fiscal Year on any Assessor’s Parcel.

“Anticipated Land Use” refers to the location, designation and land use classification specified in the approved tentative subdivision maps for property and the West Roseville Specific Plan (as amended) which are on file with the City.

“Assessor” means the Assessor of the County of Placer.

“Assessor's Parcel” or “Parcel” means a lot or parcel shown on an Assessor's Parcel Map with an assigned Assessor's Parcel Number.

“Assessor's Parcel Map” means an official map of the Assessor designating parcels by Assessor’s Parcel Number.

“Assessor's Parcel Number” means the number assigned to an Assessor's Parcel by the County for purposes of identification.

“Assigned Special Tax” means the Special Tax of that name described in Section 3.A below.

“Backup Special Tax” means the Special Tax of that name assigned to each Assessor’s Parcel on an annual basis as shown in Section 3.B below.

“Bonds” means any bonds or other Debt of CFD No. 5, whether in one or more series, secured by the levy of Special Taxes.

“Building Permit” means a building permit for construction of a Residential Unit within CFD No. 5 issued by the City.

“Calendar Year” means the period commencing January 1 of any year and ending the following December 31.

“CFD Administrator” means an authorized representative of the City, or designee thereof, responsible for determining the Special Tax Requirement, for preparing the Annual Special Tax roll and/or calculating the Backup Special Tax.

“CFD No. 5” means the City of Roseville Fiddymont Ranch Community Facilities District No. 5 (Public Facilities).

“City” means the City of Roseville, California.

“Council” means the City Council of the City acting as the legislative body of CFD No. 5 under the Act.

“County” means the County of Placer, California.

“Debt” means any binding obligation to pay or repay a sum of money, including obligations in the form of bonds, certificates of participation, long-term leases, loans from government agencies, or loans from banks, other financial institutions, private businesses, or individuals, or long-term contracts.

“Debt Service” means for each Fiscal Year, the total amount of principal and interest payable on any Outstanding Bonds during the Calendar Year commencing on January 1 of such Fiscal Year.

“Developed Property” means, in any Fiscal Year, the following:

- For Single Family Detached Property, all Parcels for which a Final Map was recorded prior to May 1 of the preceding Fiscal Year
- For Single Family Attached Property, all Parcels for which use permits or building permits for new construction of a residential structure were issued prior to May 1 of the preceding Fiscal Year
- For Multi-Family Property, all Parcels for which use permits or building permits for new construction of a building were issued prior to May 1 of the preceding Fiscal Year
- For Non-Residential Property, all Parcels for which building permits for new construction of a building were issued prior to May 1 of the preceding Fiscal Year

“Exempt Property” means for each Fiscal Year, all Assessor’s Parcels designated as being exempt from Special Taxes pursuant to Section 8 below.

“Final Map” means a subdivision of property by recordation of a final map, parcel map, or lot line adjustment, pursuant to the Subdivision Map Act (California Government Code Section 66410 et seq.) or recordation of a condominium plan pursuant to California Civil Code 4285 that creates individual lots for which Building Permits may be issued without further subdivision.

“Fiscal Year” means the period starting on July 1 and ending the following June 30.

“High Density Residential” means an Assessor’s Parcel of Residential Property with an Anticipated Land Use designation of High Density Residential (HDR) in the current West Roseville Specific Plan.

“Indenture” means the indenture, fiscal agent agreement, resolution or other instrument pursuant to which Bonds are issued, as modified, amended and/or supplemented from time to time, and any instrument replacing or supplementing the same.

“Land Use Class” means any of the classes listed in Table 1 under Section 3 below.

“Lot Square Footage” means all of the square footage of a Lot or Assessor’s Parcel reflected on a Final Map, Assessor’s Parcel Map or other document.

“Low Density Residential Property” means an Assessor’s Parcel of Residential Property with an Anticipated Land Use designation of Low Density Residential (LDR) in the current West Roseville Specific Plan.

“Maximum Special Tax” means for each Assessor’s Parcel, the amount determined in accordance with Section 3.C below.

“Medium Density Residential Property” means an Assessor’s Parcel of Residential Property with an Anticipated Land Use designation of Medium Density Residential (MDR) in the current West Roseville Specific Plan.

“Multi-Family Property” means, in any Fiscal Year, all Parcels in CFD No. 5 for which a building permit was issued or may be issued for the construction of a residential structure with multiple units that share common walls, generally all of which are offered for rent to the general public.

“Non-Residential Property” means, in any Fiscal Year, all Parcels in CFD No. 5 for which a building permit was issued or may be issued for the construction of a non-residential structure which are not Single Family Attached Property, Single Family Detached Property, Multi-Family Property, Public Property, Property Owner Association Property or Exempt Property.

“Outstanding Bonds” means all Bonds, which are deemed to be outstanding under the Indenture.

“Planning Area” means the area designated on Attachment 1 and in the tentative subdivision maps for the property within CFD No. 5 on file with the City.

“Prepayment Amount” means the amount required to prepay the Annual Special Tax obligation in full or in part for an Assessor’s Parcel as described in Section 6.A and 6.B, respectively, below.

“Property Owner Association Property” means any Assessor’s Parcel within the boundaries of CFD No. 5 owned in fee by a property owner association, including any master or sub-association.

“Proportionately” or “Proportionate” means for Developed Property, that the ratio of the actual Special Tax levy to the applicable Assigned Special Tax or Maximum Special Tax is equal for all Assessor’s Parcels of Developed Property. For Undeveloped Property, "Proportionately" means that the ratio of the actual Special Tax levy to the Maximum Special Tax is equal for all Assessor's Parcels of Undeveloped Property. **“Proportionately”** may similarly be applied to other categories of Taxable Property as demonstrated in Section 4 below.

“Provisional Property” means all Assessor’s Parcels of Public Property, Property Owner Association Property or property that would otherwise be classified as Exempt Property pursuant to the provisions of Section 8, but cannot be classified as Exempt Property because to do so would reduce the total Maximum Special Tax or the Backup Special Tax for CFD No. 5.

“Public Property” means any property within the boundaries of CFD No. 5, which is owned by, or irrevocably offered for dedication to the federal government, the State of California, the County, the City or any other public agency; provided however that any property owned by a public agency and leased to a private entity and subject to taxation under Section 53340.1 of the Act shall be taxed and classified in accordance with its use.

“Residential Property” means all Assessor’s Parcels of Developed Property for which a Building Permit has been issued or may be issued for the purpose of constructing one or more Residential Units.

“Residential Unit” means each separate residential dwelling unit that comprises an independent facility capable of conveyance or rental, separate from adjacent residential dwelling units.

“Single Family Attached Property” means, in any Fiscal Year, all Assessor’s Parcels in CFD No. 5 for which a building permit was issued or may be issued for construction of a residential structure consisting of two or more Residential Units that share common walls and are offered as for-sale Residential Units, including such residential structures that meet the statutory definition of a condominium contained in Civil Code Section 1351.

“Single Family Detached Property” means, in any Fiscal Year, all Assessor’s Parcels in CFD No. 5 for which a building permit was issued or may be issued for construction of a Residential Unit that does not share a common wall with another Residential Unit.

“Special Tax” means any special tax levied within CFD No. 5 pursuant to the Act and this Rate and Method of Apportionment of Special Tax.

“Special Tax Obligation” means the total obligation of an Assessor’s Parcel of Taxable Property to pay the Special Tax for the remaining life of CFD No. 5.

“Special Tax Requirement” means that amount required in any Fiscal Year to: (i) pay regularly scheduled Debt Service on all Outstanding Bonds; (ii) pay periodic costs on the Outstanding Bonds, including but not limited to, credit enhancement and rebate payments on the Outstanding Bonds; (iii) pay Administrative Fees and Expenses; (iv) pay any amounts required to establish or replenish any reserve funds for all Outstanding Bonds; (v) accumulate funds to pay directly for acquisition or construction of facilities provided that the inclusion of such amount does not cause an increase in the Special Tax to be levied on Undeveloped Property; and (vi) pay for reasonably anticipated delinquent Special Taxes based on the delinquency rate for Special Taxes levied in the previous Fiscal Year (subject to limits specified in Section 4); less (vii) a credit for funds available to reduce the Annual Special Tax levy, as determined by the CFD Administrator pursuant to the Indenture.

“State” means the State of California.

“Taxable Property” means all of the Assessor’s Parcels within the boundaries of CFD No. 5, which are not exempt from the levy of the Special Tax pursuant to law or Section 8 below.

“Trustee” means the trustee or fiscal agent under the Indenture.

“Undeveloped Property” means, for each Fiscal Year, all Taxable Property not classified as Developed Property or Provisional Property.

2. LAND USE CLASSIFICATION

Each Fiscal Year, beginning with Fiscal Year 2016-17, each Assessor’s Parcel within CFD No. 5 shall be classified as Taxable Property or Exempt Property. In addition, all Taxable Property shall further be classified as Developed Property, Undeveloped Property or Provisional Property, and all such Taxable Property shall be subject to the levy of Special Taxes in accordance with this Rate and Method of Apportionment of Special Tax determined pursuant to Sections 3 and 4 below. Furthermore, each Assessor’s Parcel of Developed Property shall be classified according to its applicable Land Use Class based on its Lot Square Footage and other attributes (definitions of each Land Use Class provided in Section 1 above).

3. SPECIAL TAX RATES

A. Assigned Special Tax for Developed Property

The Assigned Special Tax applicable to an Assessor’s Parcel classified as Developed Property in Fiscal Year 2015-16 shall be determined pursuant to Table 1 below.

Table 1
FY 2015-16 Assigned Special Tax

Land Use Class	Assigned Special Tax
Low Density Residential	\$1,688.80 per Residential Unit
Medium Density Residential	\$1,299.08 per Residential Unit
High Density Residential	\$649.54 per Residential Unit
Affordable Units	\$324.77 per Residential Unit
Non-Residential	\$6,495.39 per Acre

On July 1 of each Fiscal Year commencing with Fiscal Year 2016-17, the Assigned Special Tax shall increase by an amount equal to two percent (2%) of the Assigned Special Tax in effect for the prior Fiscal Year.

B. Backup Special Tax

CFD No. 5 is divided into Planning Areas. The geographic description of each Planning Area is based on the planning area designation of the same name. The planning area designations may be found in the Tentative Subdivision Maps for Fiddymment Ranch Phase 3 that are on file with the City and incorporated herein by reference. The Planning Areas are also referenced on the Land Use Diagram, which is included as Attachment 1.

Commencing in Fiscal Year 2015-16, the CFD Administrator will assign a Backup Special Tax to all Assessor's Parcels within CFD No. 5 based on the Acreage of the Assessor's Parcel and the applicable Backup Special Tax Rate described in Table 2 below.

Table 2
FY 2015-16 Backup Special Tax

Planning Area	FY 2015-16 Assessor's Parcel Number	Backup Special Tax per Acre
F-6A1	492-010-033	8,781
F-6A2	492-010-033	\$11,386
F-6B	492-010-033	\$8,526
F-6C1	492-010-033	\$14,875
F-6C2	492-010-033	\$17,641
F-6D	492-010-033	\$6,821
F-7A	492-010-057	\$11,457
F-7B	492-010-057	\$10,997
F-8A	492-010-057	\$15,417
F-8B	492-010-057	\$15,864
F-8C	492-010-057	\$10,213
F-8D	492-010-057	\$6,194
F-9B	492-010-057	\$8,804
F-9C	492-010-057	\$8,528
F-9D	492-010-057	\$5,590
F-10A	492-010-033	\$7,384
F-10B	492-010-033	\$9,929
F-10C	492-010-033	\$7,129
F-11A1	492-010-057	\$9,493
F-11A2	492-010-057	\$11,605
F-11A3	492-010-057	\$10,679
F-11B	492-010-057	\$13,758
F-12	492-010-057	\$9,954
F-13A1	492-010-033	\$8,085
F-13A2	492-010-033	\$7,300
F-13B1	492-010-033	\$9,621
F-13B2	492-010-033	\$9,125
F-13B3	492-010-033	\$8,115

On July 1 of each Fiscal Year commencing with Fiscal Year 2016-17, the Backup Special Tax rates in Table 2 above shall increase by an amount equal to two percent (2%) of the rates in effect for the prior Fiscal Year.

C. Maximum Special Tax for Developed Property

The Maximum Special Tax for each Assessor's Parcel classified as Developed Property in any Fiscal Year shall be the amount determined by the greater of (i) the application of the Assigned Special Tax set forth in Section 3.A or (ii) the application of the Backup Special Tax set forth in Section 3.B.

Notwithstanding the above, for any Fiscal Year in which all Taxable Property within a Planning Area is classified as Developed Property and the CFD Administrator has determined that the total Assigned Special Tax generated by the Developed Property is greater than or equal to the total Assigned Special Tax generated by the Anticipated Land Use for that Planning Area, the Maximum Special Tax for parcels within such Planning Area shall be equal to the Assigned Special Tax.

D. Maximum Special Tax for Undeveloped Property and Provisional Property

The Maximum Special Tax for Provisional Property and Undeveloped Property in any Fiscal Year shall be equal to the Backup Special Tax.

4. METHOD OF APPORTIONMENT

Commencing with Fiscal Year 2016-2017 and for each subsequent Fiscal Year, the CFD Administrator shall levy the Annual Special Tax on all Taxable Property within CFD No. 5 until the amount of Annual Special Tax equals the Special tax Requirement in accordance with the following steps:

- Step One: The Annual Special Tax shall be levied Proportionately on each Assessor's Parcel of Developed Property at up to 100% of the applicable Assigned Annual Special Tax rate as needed to satisfy the Special Tax Requirement.
- Step Two: If additional moneys are needed to satisfy the Special Tax Requirement after the first step has been completed, the Annual Special Tax shall be levied Proportionately on each Assessor's Parcel of Undeveloped Property at up to 100% of the Maximum Special Tax applicable to each such Assessor's Parcel as needed to satisfy the Special Tax Requirement.
- Step Three: If the sum of the amounts collected in steps one and two is insufficient to satisfy the Special Tax Requirement, then the Annual Special Tax on each Assessor's Parcel of Developed Property shall be increased Proportionately from the Assigned Special Tax up to 100% of the Maximum Special Tax as needed to satisfy the Special Tax Requirement.
- Step Four: If additional moneys are needed to satisfy the Special Tax Requirement after the first three steps have been completed, the Annual Special Tax shall be levied Proportionately on each Assessor's Parcel of Provisional

Property at up to 100% of the Maximum Special Tax applicable to each such Assessor's Parcel as needed to satisfy the Special Tax Requirement. Under no circumstances will the Annual Special Tax levied against any Assessor's Parcel used as a private residence be increased as a consequence of delinquency or default by the owner of any other Assessor's Parcel or Assessor's Parcels by more than ten percent (10%) of the Special Tax that would be levied in that Fiscal Year, if there were no delinquencies, pursuant to California Government Code Section 53321(d), as in effect on the date of formation of CFD No. 5.

5. COLLECTION OF SPECIAL TAXES

Collection of the Annual Special Tax shall be made by the County in the same manner as ordinary ad valorem property taxes are collected and the Annual Special Tax shall be subject to the same penalties and the same lien priority in the case of delinquency as ad valorem taxes provided, however, that the Council may provide for (i) other means of collecting the Special Tax, including direct billings thereof to the property owners, and (ii) judicial foreclosure of delinquent Annual Special Taxes.

6. PREPAYMENT OF SPECIAL TAX OBLIGATION

A. Prepayment in Full

Property owners may prepay and permanently satisfy the Special Tax Obligation by a cash settlement with the City as permitted under Government Code Section 53344. The following definitions apply to this Section 6:

"CFD Public Facilities Costs" means \$47,280,000 in Fiscal Year 2015-16 dollars, which shall increase by 2% on July 1, 2016, and on each July 1 thereafter, or such lower number as (i) shall be determined by the CFD Administrator as sufficient to acquire or construct the facilities to be financed under the Act and financing program for CFD No. 5, or (ii) shall be determined by the Council concurrently with a covenant that it will not issue any more Bonds (except refunding bonds).

"Construction Fund" means the fund (regardless of its name) established pursuant to the Indenture to hold funds, which are currently available for expenditure to acquire or construct the facilities or pay fees.

"Future Facilities Costs" means the CFD Public Facilities Costs minus the construction funds provided by all Previously Issued Bonds and any facilities costs funded directly from Special Tax proceeds.

"Outstanding Bonds" means all Previously Issued Bonds, which remain outstanding as of the first interest and/or principal payment date following the current Fiscal Year excluding Bonds to be redeemed at a later date with proceeds of prior Special Tax prepayments.

"Previously Issued Bonds" means all Bonds that have been issued prior to the date of prepayment.

The Special Tax Obligation applicable to an Assessor's Parcel of Developed Property, or Undeveloped Property for which a Final Map has been recorded may be

prepaid and the obligation to pay the Special Tax for such Assessor's Parcel permanently satisfied as described herein, provided that a prepayment may be made with respect to a particular Assessor's Parcel only if there are no delinquent Special Taxes with respect to such Assessor's Parcel at the time of prepayment. An owner of an Assessor's Parcel eligible to prepay the Special Tax Obligation shall provide the CFD Administrator with written notice of intent to prepay the identified parcel(s), and designate or identify the company or agency that will be acting as the escrow agent, if any. The CFD Administrator may charge a reasonable fee for providing this service, which shall be paid at the time of the request, and shall provide the owner with a statement of the Prepayment Amount for such Assessor's Parcel within thirty (30) days of the request., Prepayment by the owner must be made at least 60 days prior to any redemption date for the CFD No. 5 Bonds to be redeemed with the proceeds of such prepaid Special Taxes, unless a shorter period is acceptable to the Trustee and the City.

The Prepayment Amount (defined below) shall be calculated for each applicable Assessor's Parcel or group of Assessor's Parcels as summarized below (capitalized terms as defined below):

Bond Redemption Amount
plus Redemption Premium
plus Future Facilities Prepayment Amount
plus Defeasance Amount
plus Prepayment Administrative Fees and Expenses
less Reserve Fund Credit
less Capitalized Interest Credit
Total: equals Prepayment Amount

As of the proposed date of prepayment, the Prepayment Amount (defined below) shall be calculated as follows:

Step No.:

1. Confirm that no Special Tax delinquencies apply to such Assessor's Parcel.
2. For Assessor's Parcels of Developed Property, determine the Maximum Special Tax. For Assessor's Parcels of Undeveloped Property for which a Final Map has been recorded, compute the Maximum Special Tax for that Assessor's Parcel as though it was already designated as Developed Property, based upon the proposed land use for that Assessor's Parcel.
3. Divide the Maximum Special Tax computed pursuant to paragraph 2 by the total expected Maximum Special Tax revenue for CFD No. 5 assuming all Final Maps have been recorded within CFD No. 5 in accordance with the approved tentative subdivision maps on file with the City, excluding any Assessor's Parcels for which the Special Tax Obligation has been previously prepaid.

4. Multiply the quotient computed pursuant to paragraph 3 by the Outstanding Bonds to compute the amount of Outstanding Bonds to be retired and prepaid for all applicable parcels (the "Bond Redemption Amount") This amount may be increased to satisfy the debt service coverage limitations of this section.

5. Multiply the Bond Redemption Amount computed pursuant to paragraph 4 by the applicable redemption premium (expressed as a percentage), if any, on the Outstanding Bonds to be redeemed at the first available call date (the "Redemption Premium").

6. Compute the Future Facilities Costs.

7. Multiply the quotient computed pursuant to paragraph 3 by the amount determined pursuant to paragraph 6 to compute the amount of Future Facilities Costs to be prepaid (the "Future Facilities Prepayment Amount").

8. Compute the amount needed to pay interest on the Bond Redemption Amount from the first bond interest and/or principal payment date following the current Fiscal Year until the expected redemption date for the Outstanding Bonds which, depending on the Indenture, may be as early as the next interest payment date.

9. Compute the amount the CFD Administrator reasonably expects to derive from the reinvestment of the Prepayment Amount less the Future Facilities Prepayment Amount and the Prepayment Administrative Fees from the date of prepayment until the redemption date for the Outstanding Bonds to be redeemed with the prepayment.

10. Subtract the amount computed in paragraph 9 from the amount computed in paragraph 8 (the "Defeasance Amount").

11. Calculate the administrative fees and expenses of CFD No. 5, including the costs of computation of the prepayment, the costs to invest the prepayment proceeds, the costs of redeeming Debt of CFD No. 5, and the costs of recording any notices to evidence the prepayment and the redemption, less any prepaid fees (the "Prepayment Administrative Fees").

12. If reserve funds for the Outstanding Bonds, if any, are at or above 100% of the reserve requirement (as defined in the Indenture) on the prepayment calculation date, a reserve fund credit shall be calculated as a reduction in the applicable reserve fund for the Outstanding Bonds to be redeemed pursuant to the prepayment (the "Reserve Fund Credit"). No Reserve Fund Credit shall be granted if, after the Prepayment Amount is calculated, reserve funds are below 100% of the reserve requirement.

13. If any capitalized interest for the Outstanding Bonds will not have been expended at the time of the first interest and/or principal payment following the current Fiscal Year, a capitalized interest credit shall be calculated by multiplying the quotient computed pursuant to paragraph 3 by the expected balance in the capitalized interest fund after such first interest and/or principal payment (the "Capitalized Interest Credit").

14. The amount to prepay the Special Tax Obligation is equal to the sum of the amounts computed pursuant to paragraphs 4, 5, 7, 10, and 11, less the amounts computed pursuant to paragraphs 12 and 13 (the "Prepayment Amount").

15. From the Prepayment Amount, the sum of the amounts computed pursuant to paragraphs 4, 5, and 10, less the amounts computed pursuant to paragraphs 12 and 13 shall be deposited into the appropriate fund as established under the Indenture and be used to retire Outstanding Bonds or make Debt Service payments. The amount computed pursuant to paragraph 7 shall be deposited into the Improvement Fund (as defined in the Indenture). The amount computed pursuant to paragraph 11 shall be retained by CFD No. 5.

The Prepayment Amount may be sufficient to redeem an amount other than a \$5,000 increment of CFD No. 5 Bonds. In such cases, the increment above \$5,000 or integral multiple thereof will be retained in the appropriate fund established under the Indenture to redeem CFD No. 5 Bonds to be used with the next prepayment of CFD No. 5 Bonds.

The CFD Administrator will confirm that all previously levied Special Taxes have been paid in full. With respect to any Assessor's Parcel for which the Special Tax Obligation is prepaid in full, once the CFD Administrator has confirmed that all previously levied Special Taxes have been paid, the Council shall cause a suitable notice to be recorded in compliance with the Act, to indicate the prepayment of the Special Tax and the release of the Special Tax lien on such Assessor's Parcel, and the obligation of the owner of such Assessor's Parcel to pay the Special Tax shall cease.

Notwithstanding the foregoing, no Special Tax prepayment shall be allowed unless the aggregate amount of Maximum Special Taxes less Priority Administrative Expenses (as defined in the Indenture) that may be levied on Taxable Property, respectively, after the proposed prepayment is at least 1.1 times the Debt Service on all Outstanding Bonds in each Fiscal Year.

B. Partial Prepayment

The Special Tax on an Assessor's Parcel of Developed Property or Undeveloped Property for which a Final Map has been recorded may be partially prepaid. The amount of the prepayment shall be calculated as in Section 6.A.; except that a partial prepayment shall be calculated according to the following formula:

$$PP = (PE - A) \times F + A$$

These terms have the following meaning:

PP = the partial prepayment

PE = the Prepayment Amount calculated according to Section 6.A

F = the percentage by which the owner of the Assessor's Parcel(s) is partially prepaying the Special Tax Obligation

A = the Prepayment Administrative Fees and Expenses from Section 6.A

The owner of any Assessor's Parcel who desires such partial prepayment shall notify the CFD Administrator of (i) such owner's intent to partially prepay the Special Tax Obligation, (ii) the percentage by which the Special Tax Obligation shall be prepaid, and (iii) the company or agency that will be acting as the escrow agent, if any. The CFD Administrator shall provide the owner with a statement of the amount required for the partial prepayment of the Special Tax Obligation for an Assessor's Parcel within sixty (60) days of the request and may charge a reasonable fee for providing this service.

With respect to any Assessor's Parcel that is partially prepaid, the City shall (i) distribute the funds remitted to it according to Section 6.A., and (ii) indicate in the records of CFD No. 5 that there has been a partial prepayment of the Special Tax Obligation and that a portion of the Special Tax with respect to such Assessor's Parcel, equal to the outstanding percentage (1.00 - F) of the Maximum Special Tax, shall continue to be levied on such Assessor's Parcel.

Notwithstanding the foregoing, no partial prepayment shall be allowed unless the aggregate amount of Maximum Special Taxes less Administrative Expenses that may be levied on Taxable Property, respectively, after the proposed partial prepayment is at least 1.1 times the Debt Service on all Outstanding Bonds in each Fiscal Year.

7. TERM OF SPECIAL TAX

The Special Tax shall be levied as long as necessary to meet the Special Tax Requirement for a period not to exceed fifty (50) Fiscal Years commencing with Fiscal Year 2016-17 (except that a Special Tax that was lawfully in or before such Fiscal Year and that remains delinquent may be collected in subsequent years), provided however that the Special Tax will cease to be levied in an earlier Fiscal Year if the CFD Administrator has determined that all CFD No. 5 obligations have been satisfied.

8. EXEMPTIONS

Notwithstanding any other provision of this RMA, no Special Tax shall be levied on an Assessor's Parcel of Public Property, except the following: (i) an Assessor's Parcel of Public Property within CFD No. 5 that is leased to a private entity and subject to the levy of the Special Tax pursuant to Section 53340.1 of the Act; or (ii) an Assessor's Parcel of Taxable Property within CFD No. 5 that is acquired by a public agency following the formation of CFD No. 5 (which will remain subject to the applicable Special Tax unless the Special Tax obligation is satisfied pursuant to Section 53317.5 of the Act).

If an Assessor's Parcel of Public Property, or portion thereof, that is an Exempt Property (e.g. a school-owned parcel at the time of formation of CFD No. 5) is transferred to private ownership and an Assessor's Parcel, or portion thereof, that is Taxable Property becomes Public Property instead, then the parcel, or portion thereof, that was formerly Public Property and Exempt Property shall become Taxable Property and classified according to its use and the formerly Taxable Property shall become Exempt Property.

This trading of an Assessor's Parcel from Taxable Property to Exempt Property will be permitted, without Resolution or Ordinance of the Council, to the extent that the transfer is agreed to by the owners of the Assessor's Parcels involved in the transfer and the City, and does not reduce the total aggregate annual Maximum Special Tax for CFD No. 5.

In addition to the exemptions described above, for each Fiscal Year and for each Planning Area, the CFD Administrator shall classify Assessor's Parcels of the following uses as Exempt Property sequentially and in the following order of priority: (i) Assessor's Parcels with public or utility easements making impractical their utilization for other than the purposes set forth in the easement, (ii) Assessor's Parcels which are used as places of worship and are exempt from ad valorem property taxes because they are owned by a religious organization, and (iii) Assessor's Parcels of Property Owner Association Property; provided, however, that no such classification would reduce the Acreage of Taxable Property within each Planning Area below the expected Acreage of Taxable Property shown in Table 3 below, except that Acreage of Taxable Property eliminated from one Planning Area may be moved to another Planning Area to the extent that the transfer is agreed to by the owners of the parcels involved.

Any such Assessor's Parcel of Public Property, Property Owner Association Property or other property that would otherwise be exempt from the Special Tax but upon which a Special Tax shall be levied in accordance with this Section 8 shall be classified as Provisional Property and taxed in accordance with its use.

Table 3
Expected Taxable Acreage

Planning Area	FY 2015-16 Assessor's Parcel Number	Taxable Acreage
F-6A1	492-010-033	18.58
F-6A2	492-010-033	13.55
F-6B	492-010-033	7.80
F-6C1	492-010-033	12.38
F-6C2	492-010-033	13.3
F-6D	492-010-033	4.84
F-7A	492-010-057	10.37
F-7B	492-010-057	10.32
F-8A	492-010-057	11.06
F-8B	492-010-057	10.92
F-8C	492-010-057	15.28
F-8D	492-010-057	10.88
F-9B	492-010-057	14.10
F-9C	492-010-057	17.26
F-9D	492-010-057	12.69
F-10A	492-010-033	29.30
F-10B	492-010-033	20.54
F-10C	492-010-033	19.90
F-11A1	492-010-057	8.78
F-11A2	492-010-057	8.71
F-11A3	492-010-057	11.79
F-11B	492-010-057	15.07
F-12	492-010-057	17.28
F-13A1	492-010-033	9.87
F-13A2	492-010-033	11.66
F-13B1	492-010-033	13.64
F-13B2	492-010-033	8.94
F-13B3	492-010-033	8.96

9. INTERPRETATION, APPLICATION AND APPEAL OF SPECIAL TAX FORMULA AND PROCEDURES

Any taxpayer who feels the amount of the Special Tax assigned to a Parcel is in error may file a notice with the Administrator appealing the levy of the Special Tax. The Administrator then will promptly review the appeal and, if necessary, meet with the applicant. If the Administrator verifies that the tax should be modified or changed, the Special Tax levy will be corrected and, if applicable in any case, a credit or refund will be granted.

Interpretations may be made by the City, without Resolution or Ordinance of the Council, for purposes of clarifying any vagueness or ambiguity as it relates to the Special Tax rate, the method of apportionment, the classification of properties, or any definition applicable to the CFD.

Without Council approval, the Administrator may make minor, non-substantive administrative and technical changes to the provisions of this document that do not materially affect the rate, method of apportionment, and manner of collection of the Special Tax for purposes of administrative efficiency or convenience or to comply with new applicable federal, state, or local law.

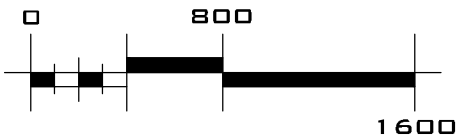
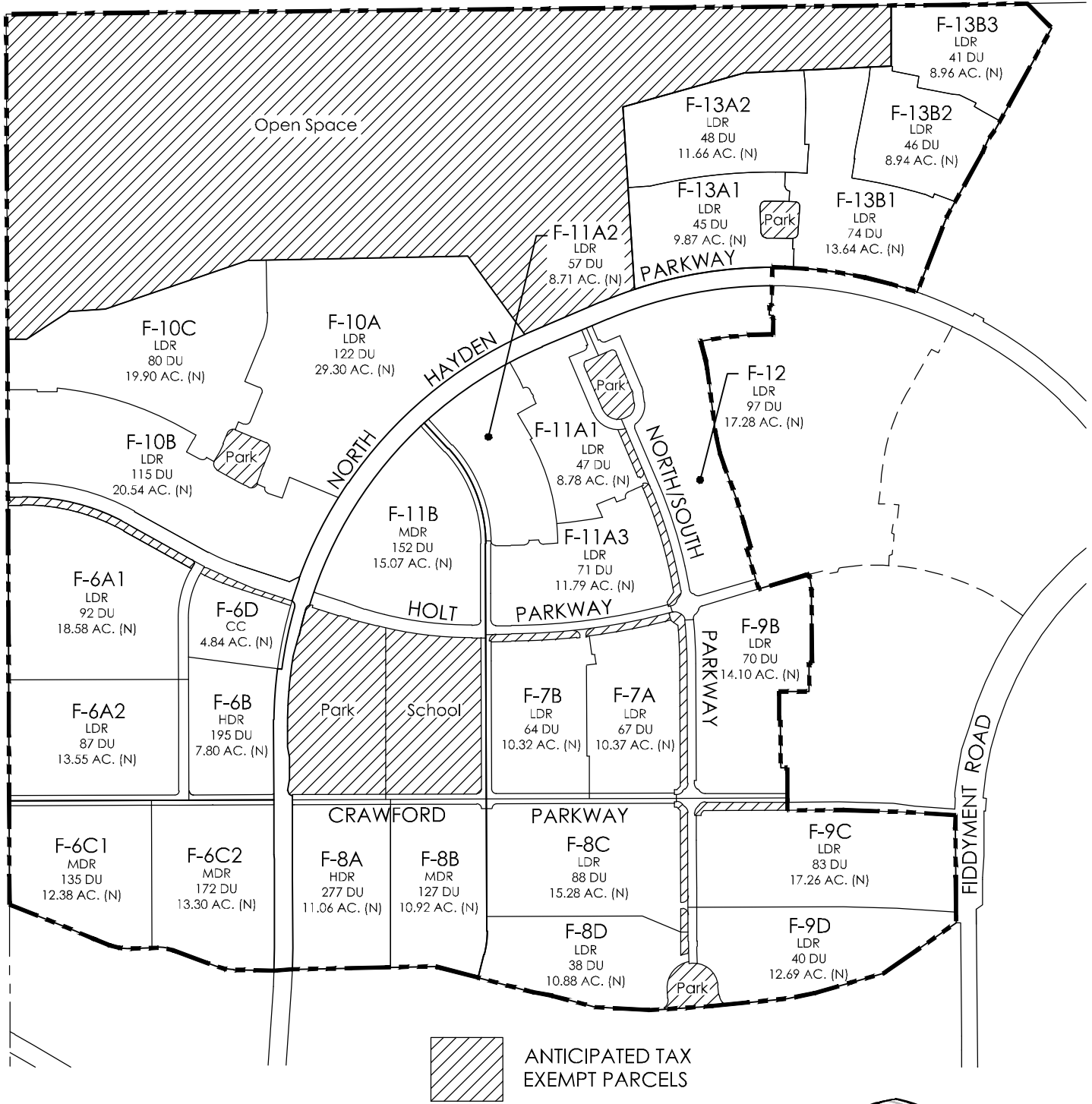
The City, upon request of an owner of land within the CFD which is not Developed Property, may also amend this RMA to reallocate the Special Tax applicable to such owner's land in a manner acceptable to the City, without Resolution or Ordinance of the Council, upon the affirmative vote of such owner and without the vote of owners of any other land within the CFD, provided such amendment (i) only affects the such owner's land, (ii) does not reduce the total annual Maximum Special Tax revenue for the CFD, and (iii) provides for a Special Tax distribution upon development of such land which is reasonably proportional and consistent with Special Tax rates shown in Table 1 (including escalations thereto) for similar land uses and is compliant with the tax assessment loan exception contained in U.S. Treasury Regulation Section 1.141-5(d).

Attachment 1
LAND USE DIAGRAM

COMMUNITY FACILITIES DISTRICT #5 FIDDYMENT RANCH

CITY OF ROSEVILLE, CALIFORNIA

DECEMBER 2015



WOOD RODGERS
DEVELOPING INNOVATIVE DESIGN SOLUTIONS
3301 C St, Bldg. 100-B
Sacramento, CA 95816
Tel 916.341.7760
Fax 916.341.7767

RESOLUTION NO. 16-85

A RESOLUTION DETERMINING NECESSITY TO INCUR BONDED INDEBTEDNESS FOR THE CITY OF ROSEVILLE FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES)

WHEREAS, on January 20, 2016, this City Council (the "Council") of the City of Roseville (the "City") adopted a resolution entitled "A Resolution of Intention of the City Council of the City of Roseville to Form a Community Facilities District and Levy a Special Tax in Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) to Finance the Acquisition and Construction of Certain Public Facilities in and for Such Community Facilities District" (the "Resolution of Intention"), stating its intention to form "City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "CFD"), pursuant to the Mello-Roos Community Facilities Act of 1982, Chapter 2.5 of Part 1 of Division 2 of Title 5, commencing with Section 53311, of the California Government Code (the "Act"); and

WHEREAS, on January 20, 2016, the Council also adopted a resolution entitled "A Resolution of Intention of the City Council of the City of Roseville to Incur Bonded Indebtedness to Finance the Acquisition and Construction of Certain Public Facilities in and for the City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "Resolution of Intention to Incur Bonded Indebtedness") stating its intention to incur bonded indebtedness within the boundaries of the CFD for the purpose of financing the costs of certain facilities specified in the Resolution of Intention; and

WHEREAS, the Council has held a noticed public hearing as required by the Act about the determination to proceed with the formation of the CFD, the provision of certain public facilities by the CFD and the rate and method of apportionment of the special tax to be levied within the CFD to pay the principal and interest on the proposed bonded indebtedness in the CFD and the administrative costs of the City relative to the CFD; and

WHEREAS, subsequent to the public hearing, the Council adopted a resolution entitled "A Resolution of Formation of the City Council of the City of Roseville to Form Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "Resolution of Formation"); and

WHEREAS, the Council has also held a noticed public hearing as required by the Act relative to the matters material to the questions set forth in the Resolution of Intention to Incur Bonded Indebtedness; and

WHEREAS, no written protests with respect to the matters material to the questions set forth in the Resolution of Intention to Incur Indebtedness have been filed with the City Clerk.

**NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF ROSEVILLE RESOLVES
AS FOLLOWS:**

1. **Recitals.** The foregoing recitals are true and correct.
2. **Necessity.** The Council deems it necessary to incur bonded indebtedness in the maximum aggregate principal amount of \$75,000,000 within the boundaries of the CFD for the

purpose of financing the costs of all or a portion of the authorized facilities defined in the Resolution of Formation (the "Facilities"), including, but not limited to, the costs of issuing and selling bonds to finance all or a portion of the Facilities and the costs of the City in establishing and administering the CFD.

3. **Entire CFD Liable.** The whole of the CFD shall pay for the bonded indebtedness through the levy of the special tax. The tax is to be apportioned in accordance with the formula set forth in Exhibit "B" to the Resolution of Formation.

4. **Bonds.** Bonds in the maximum amount of \$75,000,000 are hereby authorized, subject to voter approval. Indebtedness subject to this limit shall only include indebtedness evidenced by bonds or notes and shall not include bonds described in Section 53364.2(e) of the Act. The bonds may be issued in one or more series and mature and bear interest at such rate or rates, payable semiannually or in such other manner, all as the Council or its designee shall determine, at the time or times of sale of such bonds; provided, however, that the interest rate or rates shall not to exceed the maximum interest rate permitted by applicable law at the time of sale of the bonds and the bonds or any series thereof shall have a maximum term of not to exceed forty (40) years.

5. **Election.** The proposition of incurring the bonded indebtedness herein authorized shall be submitted to the qualified electors of the CFD and shall be consolidated with elections on the proposition of levying special taxes within the CFD and the establishment of an appropriations limit for the CFD pursuant to Section 53353.5 of the Act. The time, place and further particulars and conditions of such election shall be as specified by separate resolution of the Council.

6. **Effective Date.** This Resolution shall take effect upon its adoption.

* * * * *

The foregoing Resolution was adopted by the City Council of the City of Roseville on the 16th day of March, 2016, on the following vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

ABSTAIN: COUNCILMEMBERS:

Mayor

ATTEST:

City Clerk

RESOLUTION NO. 16-86

A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF ROSEVILLE CALLING SPECIAL ELECTION REGARDING FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES)

WHEREAS, the City Council (the "Council") of the City of Roseville (the "City") has adopted a resolution entitled "A Resolution of Formation of the City of Roseville to Form Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "Resolution of Formation"), ordering the formation of the "City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "CFD"), authorizing the levy of a special tax on property within the CFD and preliminarily establishing an appropriations limit for the CFD, all pursuant to the Mello-Roos Community Facilities Act of 1982, as amended, Chapter 2.5 of Part 1 of Division 2 of Title 5, commencing with Section 53311, of the California Government Code (the "Act"); and

WHEREAS, the Council has also adopted a resolution entitled "A Resolution Determining Necessity to Incur Bonded Indebtedness for the City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "Resolution Determining Necessity"), determining the necessity to incur bonded indebtedness in the maximum aggregate principal amount of \$75,000,000 upon the security of the special tax to be levied within the CFD pursuant to the Act; and

WHEREAS, pursuant to the provisions of the Resolution of Formation and the Resolution Determining Necessity, the propositions of the levy of the special tax, the establishment of the appropriations limit and the incurring of the bonded indebtedness shall be submitted to the qualified electors of the CFD as required by the provisions of the Act.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF ROSEVILLE RESOLVES AS FOLLOWS:

1. **Issues Submitted.** Pursuant to Sections 53326, 53351 and 53325.7 of the Act, the issues of the levy of the special tax, the incurring of bonded indebtedness and the establishment of the appropriations limit shall be submitted to the qualified electors (as defined below) of the CFD at an election called therefor as provided below.

2. **Qualified Electors.** The Council hereby finds that fewer than twelve (12) persons have been registered to vote within the territory of the CFD for each of the ninety (90) days preceding the close of the public hearings heretofore conducted and concluded by the Council for the purposes of these proceedings. Accordingly, and pursuant to Section 53326 of the Act, the Council finds that, for these proceedings, the qualified electors are the landowners within the CFD and that the vote shall be by such landowners or their authorized representatives, each having one vote for each acre or portion thereof such landowner owns in the CFD as of the close of the public hearings.

3. **Conduct of Election.** The Council hereby calls a special election to consider the measures described in section 1 above, which election shall be held on March 16, 2016,

and the results thereof canvassed at the meeting of the Council on March 16 2016. The City Clerk is hereby designated as the official to conduct the election and to receive all ballots until 7:00 p.m. on the election date. It is hereby acknowledged that the City Clerk has on file the Resolution of Formation, a certified map of the boundaries of the CFD, and a sufficient description to allow the City Clerk to determine the electors of the CFD. Pursuant to Section 53327 of the Act, the election shall be conducted by messenger or mail-delivered ballot pursuant to Section 4000 of the California Elections Code, except that Sections 53326 and 53327 of the Act shall govern for purposes of determining the date of election and except as to the extent such requirements are waived by all of the qualified electors of the CFD.

4. **Ballot.** As authorized by Section 53353.5 of the Act, the three propositions described in section 1 above shall be combined into a single ballot measure, the form of which is attached hereto as Exhibit A and by this reference incorporated herein and the form of ballot is hereby approved. The City Clerk is hereby authorized and directed to cause a ballot, in substantially the form of Exhibit A, to be delivered to each of the qualified electors of the CFD. Each ballot shall indicate the number of votes to be voted by the respective landowner to which the ballot pertains.

5. **Waivers.** The Council hereby further finds that the provisions of Section 53326 of the Act requiring a minimum of ninety (90) days following the adoption of the Resolution of Formation to elapse before the special election are for the protection of the qualified electors of the CFD. There is on file with the City Clerk a written waiver executed by all of the qualified electors of the CFD allowing for a shortening of the time for the special election to expedite the process of formation of the CFD and waiving any requirement for notice, analysis, arguments and formal envelope and balloting requirements in connection with the election. Accordingly, the Council finds and determines that the qualified electors have been fully apprised of and have agreed to the shortened time for the election and waiver of analysis and arguments, and have thereby been fully protected in these proceedings. The Council also finds and determines that the City Clerk has concurred in the shortened time for the election. Analysis and arguments with respect to the ballot measures are hereby waived, as provided in Section 53327 of the Act.

6. **Accountability.** The Council hereby finds that the proposed debt issuance constitutes a "local bond measure" within the meaning of Sections 53410, et seq. of the California Government Code. As a result, the bond measure shall include the propositions set forth above and the following: (a) the specific purpose of the bonds shall be as set forth in the propositions; (b) any proceeds received from the sale of any bonds shall be applied only to the purposes set forth in the propositions; (c) the proceeds of any bonds shall be deposited into special accounts to be created therefor as part of the issuance of the bonds; and (d) the City shall cause a report to be prepared annually under Section 53411 of the Government Code.

7. **Effective Date.** This Resolution shall take effect upon its adoption.

* * * * *

The foregoing Resolution was adopted by the City Council of the City of Roseville on the _____ day of _____, 2016, on the following vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

ABSTAIN: COUNCILMEMBERS:

Mayor

ATTEST:

City Clerk

EXHIBIT A

**CITY OF ROSEVILLE
FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5
(PUBLIC FACILITIES)**

**SPECIAL ELECTION BALLOT
(Mailed-Ballot Election)**

Number of Votes: _____

Property Owner: _____

This ballot is for a special, landowner election. You must return this ballot in the enclosed postage paid envelope to the office of the City Clerk of the City of Roseville no later than the hour of **7:00 p.m. on Wednesday, March 16, 2016**, either by mail or in person. The City Clerk's office is located at 311 Vernon Street, Roseville, California 95678.

Mailing by that date will not be sufficient. The ballot must be physically received by the City Clerk prior to the deadline in order to be counted.

AN "X" OR OTHER MARK WILL CAST ALL VOTES ASSIGNED TO THIS BALLOT, OR THE VOTER MAY WRITE NUMBERS IN THE SPACES PROVIDED.

BALLOT MEASURE

Shall the City of Roseville incur an indebtedness and issue bonds in the maximum aggregate principal amount of not to exceed \$75,000,000 (excluding bonds described in Section 53364.2(e) of the California Government Code) with interest at a rate or rates not to exceed the maximum interest rate permitted by law at the time of sale of such bonds on behalf of City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) (the "CFD"), the proceeds of which bonds will be used to acquire and/or construct certain facilities and pay for the costs of issuing the bonds and related expenses; shall a special tax payable solely from lands within the CFD be levied annually, commencing in the City's fiscal year 2016-17, upon lands within the CFD to pay for the principal and interest upon such bonds, to pay the costs of the City in administering the CFD, and to pay for the costs of acquiring and/or constructing certain facilities; and shall the annual appropriations limit of the CFD be established in the amount of \$7,500,000?

Number of votes
YES

Number of votes
NO

Certification

The undersigned declares under penalty of perjury that he/she is the authorized representative of the above-named landowner and is the person legally authorized and entitled to cast this ballot on behalf of the above-named landowner. By execution in the space provided below, you also indicate your waiver of (i) the time limit pertaining to the conduct of the election, (ii) any requirement for analysis and arguments with respect to the ballot measure, and (iii) any irregularity in the proceedings that may be claimed as a result of the application of such waivers.

Date: _____

By: _____

(Name & Title)

RESOLUTION NO. 16-87

A RESOLUTION DECLARING RESULTS OF SPECIAL ELECTION AND DIRECTING RECORDING OF NOTICE OF SPECIAL TAX LIEN REGARDING FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES)

WHEREAS, this City Council (the "Council") of the City of Roseville (the "City") has adopted a resolution entitled "A Resolution of Formation of the City Council of the City of Roseville to Form Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "Resolution of Formation"), ordering the formation of the "City of Roseville Fiddymment Ranch Community Facilities District No. 5(Public Facilities)" (the "CFD"), authorizing the levy of a special tax on property within the CFD and preliminarily establishing an appropriations limit for the CFD, all pursuant to the Mello-Roos Community Facilities Act of 1982, Chapter 2.5 of Part 1 of Division 2 of Title 5, commencing with Section 53311, of the California Government Code (the "Act"); and

WHEREAS, the Council has also adopted a resolution entitled "A Resolution Determining Necessity to Incur Bonded Indebtedness for the City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "Resolution Determining Necessity"), determining the necessity to incur bonded indebtedness in the maximum aggregate principal amount of \$75,000,000 upon the security of the special tax to be levied within the CFD pursuant to the Act; and

WHEREAS, under the provisions of the Resolution of Formation and the Resolution of Necessity and pursuant to a "A Resolution of the City Council of the City of Roseville Calling Special Election Regarding Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "Election Resolution"), heretofore adopted by the Council, the propositions of the levy of the special tax, the establishment of the appropriations limit and the incurring of the bonded indebtedness were submitted to the qualified electors of the CFD as required by the provisions of the Act; and

WHEREAS, pursuant to the terms of the Election Resolution, which are by this reference incorporated herein, the special election has been held and the City Clerk has on file a Canvass and Statement of Results of Election (the "Canvass"), a copy of which is attached hereto as Exhibit A; and

WHEREAS, the Council has reviewed the Canvass, finds it appropriate and wishes to complete its proceedings for the CFD.

NOW, THEREFORE, THE CITY COUNCIL OF THE CITY OF ROSEVILLE RESOLVES AS FOLLOWS:

1. **Recitals.** The foregoing recitals are all true and correct.
2. **Issues Presented.** The issues presented at the special election were the levy of a special tax within the CFD, the incurring of a bonded indebtedness in the maximum aggregate principal amount of \$75,000,000, and the approval of an annual appropriations limit of not to exceed \$7,500,000, all pursuant to the Resolution of Formation and the Resolution of Necessity.

3. **Canvass and Issues Approved.** The Council hereby approves the Canvass and finds that it shall be a permanent part of the record of its proceedings for the CFD. Pursuant to the Canvass, the issues presented at the special election were approved by the qualified electors of the CFD by more than two-thirds (2/3) of the votes cast at the special election.

4. **Proceedings Approved.** Pursuant to the voter approval, the CFD is hereby declared to be fully formed with the authority to levy the special taxes, to incur the approved bonded indebtedness and to have the established appropriations limit, all as heretofore provided in these proceedings and in the Act. It is hereby found that all prior proceedings and actions taken by the Council with respect to the CFD were valid and in conformity with the Act.

5. **Notice of Tax Lien.** The City Clerk is hereby directed to complete, execute and cause to be recorded in the office of the County Recorder of the County of Placer a notice of special tax lien in the form required by the Act, such recording to occur no later than fifteen (15) days following adoption by the Council of this Resolution.

6. **Effective Date.** This Resolution shall take effect upon its adoption.

* * * * *

The foregoing Resolution was adopted by the City Council of the City of Roseville on the 16th day of March, 2016, on the following vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

ABSTAIN: COUNCILMEMBERS:

Mayor

ATTEST:

City Clerk

**EXHIBIT A
CANVASS AND STATEMENT OF RESULT OF ELECTION**

**CITY OF ROSEVILLE
FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5
(PUBLIC FACILITIES)**

I hereby certify that on March 16, 2016, I canvassed the returns of the election held on March 16, 2016, in the City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) and the total number of ballots cast in said election and the total number of votes cast for and against the measure are as follows and the totals as shown for and against the measure are full, true and correct:

	<u>Qualified Landowner Votes</u>	<u>Votes Cast</u>	<u>YES</u>	<u>NO</u>
City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities), Special Tax Election, March 16, 2016	_____	_____	_____	_____

BALLOT MEASURE: Shall the City of Roseville incur an indebtedness and issue bonds in the maximum aggregate principal amount of not to exceed \$75,000,000 (excluding bonds described in Section 53364.2(e) of the California Government Code) with interest at a rate or rates not to exceed the maximum interest rate permitted by law at the time of sale of such bonds on behalf of City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) (the "CFD"), the proceeds of which bonds will be used to acquire and/or construct certain facilities and pay for the costs of issuing the bonds and related expenses; shall a special tax payable solely from lands within the CFD be levied annually, commencing in the City's fiscal year 2016-17, upon lands within the CFD to pay for the principal and interest upon such bonds, to pay the costs of the City in administering the CFD, and to pay for the costs of acquiring and/or constructing certain facilities; and shall the annual appropriations limit of the CFD be established in the amount of \$7,500,000?

IN WITNESS WHEREOF, I HAVE HEREUNTO SET MY HAND this 16th day of March, 2016.

By: _____
City Clerk

ORDINANCE NO. 5652

AN ORDINANCE OF THE CITY COUNCIL OF THE CITY OF ROSEVILLE LEVYING SPECIAL TAXES WITHIN CITY OF ROSEVILLE FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES)

WHEREAS, on January 20, 2016, this City Council (the "Council") of the City of Roseville (the "City"), adopted a resolution stating its intention to establish "City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "CFD") pursuant to the Mello-Roos Community Facilities Act of 1982, as amended, Chapter 2.5 of Part 1 of Division 2 of Title 5, commencing at Section 53311, of the California Government Code (the "Act"), to finance the acquisition and construction of certain facilities; and

WHEREAS, notice was published as required by the Act relative to the intention of the Council to form the CFD, to provide for certain facilities and to incur bonded indebtedness for the CFD in an amount not to exceed \$75,000,000; and

WHEREAS, the Council has held noticed public hearings as required by the Act relative to (i) the determination to proceed with the formation of the CFD and the rate and method of apportionment of the special tax to be levied within the CFD to finance a portion of the costs of the facilities and (ii) the issuance of not to exceed \$75,000,000 of bonded indebtedness for the CFD; and

WHEREAS, at said hearing all persons desiring to be heard on all matters pertaining to the formation of the CFD and the levy of said special taxes were heard, substantial evidence was presented and considered by this City Council and a full and fair hearing was held; and

WHEREAS, subsequent to the hearing, the Council adopted resolutions entitled "A Resolution of Formation of the City Council of the City of Roseville to Form Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "Resolution of Formation"), "A Resolution Determining Necessity to Incur Bonded Indebtedness for the City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)" (the "Resolution Determining Necessity") and "A Resolution of the City Council of the City of Roseville Calling Special Election Regarding Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)", which resolutions defined the public facilities to be financed by the CFD (the "Facilities"), established the CFD, authorized the levy of a special tax with the CFD, determined the necessity to incur bonded indebtedness in the CFD and called an election within the CFD on the propositions of incurring indebtedness, levying a special tax, and establishing an appropriations limit within the CFD, respectively; and

WHEREAS, on March 16, 2016 a special election was held within the CFD at which the eligible landowner-electors approved such propositions by the two-thirds (2/3) vote required by the Act.

NOW, THEREFORE, BE IT ORDAINED BY THE CITY COUNCIL OF THE CITY OF ROSEVILLE AS FOLLOWS:

Section 1. By the passage of this Ordinance the Council hereby authorizes and levies special taxes within the CFD pursuant to the Act, at the rate and in accordance with the formula

(the "Rate and Method") set forth in the Resolution of Formation, which Resolution of Formation is by this reference incorporated herein. The special taxes are hereby levied commencing in fiscal year 2016-17 and in each fiscal year thereafter until payment in full of any bonds issued by the City for the CFD (the "Bonds") or such longer period provided in the Rate and Method, as contemplated by the Resolution of Formation and the Resolution of Necessity, and all costs of administering the CFD.

Section 2. The Finance Director of the City is hereby authorized and directed each fiscal year to determine the specific special tax rate and amount to be levied for the next ensuing fiscal year for each parcel of real property within the CFD, in the manner and as provided in the Resolution of Formation.

Section 3. Properties or entities of the State, federal or local governments shall be exempt from any levy of the special taxes in accordance with the Act and the Rate and Method. In no event shall the special taxes be levied on any parcel within the CFD in excess of the maximum tax specified in the Rate and Method.

Section 4. All of the collections of the special tax shall be used as provided for in the Act and in the Resolution of Formation including, but not limited to, the payment of principal and interest on the Bonds, the replenishment of the reserve fund for the Bonds, the payment of the costs of the Facilities, the payment of the costs of the City in administering the CFD, and the costs of collecting and administering the special tax.

Section 5. The special taxes shall be collected in the same manner as ordinary ad valorem taxes are collected and shall have the same lien priority, and be subject to the same penalties and the same procedure and sale in cases of delinquency as provided for ad valorem taxes; provided, however, that the Council or its designee may provide for other appropriate methods of collection. In addition, the provisions of Section 53356.1 of the Act shall apply to delinquent special tax payments. The Finance Director of the City is hereby authorized and directed to provide all necessary information to the auditor/tax collector of the County of Placer in order to effect proper billing and collection of the special tax, so that the special tax shall be included on the secured property tax roll of the County of Placer for fiscal year 2016-17 and for each fiscal year thereafter until the Bonds are paid in full or such longer period of time provided in the Rate and Method.

Section 6. If for any reason any portion of this Ordinance is found to be invalid, or if the special tax is found inapplicable to any particular parcel within the CFD, by a court of competent jurisdiction, the balance of this Ordinance and the application of the special tax to the remaining parcels within the CFD shall not be affected.

Section 7. The City Clerk is hereby directed to cause this ordinance to be published in full at least once within fourteen (14) days after it is adopted in a newspaper of general circulation in the City, or shall within fourteen (14) days after its adoption cause this ordinance to be posted in full in at least three (3) public places in the City and enter in the Ordinance Book a certificate stating the time and place of said publication by posting.

Section 8. This ordinance shall be effective at the expiration of thirty (30) days from the date of adoption.

* * * * *

The foregoing Ordinance was adopted by the City Council of the City of Roseville on the ____th day of _____, 2016, on the following vote:

AYES: COUNCILMEMBERS:

NOES: COUNCILMEMBERS:

ABSENT: COUNCILMEMBERS:

ABSTAIN: COUNCILMEMBERS:

Mayor

ATTEST:

City Clerk

**RECORDING REQUESTED BY AND
WHEN RECORDED PLEASE RETURN TO:**

City Clerk Department
City of Roseville
311 Vernon Street, Suite 208
Roseville, CA 95678
Telephone: (916) 774-5263

SPACE ABOVE THIS LINE FOR RECORDER'S USE

NOTICE OF SPECIAL TAX LIEN

CITY OF ROSEVILLE
FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC
FACILITIES)
COUNTY OF PLACER, STATE OF CALIFORNIA

Pursuant to the requirements of Section 3114.5 of the Streets and Highways Code and Section 53328.3 of the Government Code, the undersigned, City Clerk of the City of Roseville, hereby gives notice that a lien to secure payment of a special tax which the City Council of the City of Roseville, County of Placer, State of California is authorized to levy is hereby imposed. The special tax secured by this lien is authorized to be levied for the purpose of: paying for the facilities or services described in **Exhibit A** attached hereto including paying principal and interest on bonds, the proceeds of which are being used to finance those facilities, to pay the cost of administering the CFD (defined below) and the incidental expenses related thereto.

The special tax is authorized to be levied within Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) (the "CFD") which has now been officially formed and the lien of the special tax is a continuing lien which shall secure each annual levy of the special tax and which shall continue in force and effect until the special tax obligation is prepaid, permanently satisfied, and canceled in accordance with law, or until the special tax ceases to be levied and a notice of cessation of special tax is recorded in accordance with Section 53330.5 of the Government Code.

The rate and method of apportionment of the authorized special tax is shown on **Exhibit B** attached hereto and hereby incorporated herein by this reference. Conditions under which the obligation to pay the special tax may be prepaid and permanently satisfied and the lien of the special tax canceled are as set forth in **Exhibit B**.

Notice is further given that upon the recording of this notice in the office of the county recorder, the obligation to pay the special tax levy shall become a lien upon all nonexempt real property within the CFD in accordance with Section 3115.5 of the Streets and Highways Code.

The names of the owners and the assessor's parcel numbers of the real property included within this community facilities district and not exempt from the special tax are set forth on **Exhibit C** hereto.

Reference is made to the Boundary Map of the CFD recorded in the Placer County Recorder's Office on February 1, 2016 in Book 3 at Page 73, as Document No. 2016-0007232 of the Book of Maps of Assessment and Community Facilities Districts, which map is now the final boundary map of the CFD.

For further information concerning the current and estimated future tax liability of owners or purchasers of real property subject to this special tax lien, interested persons should contact the Finance Director, City of Roseville, 311 Vernon Street, Roseville, CA 95678.

Dated: _____, 2016

City Clerk of the City of Roseville

EXHIBIT A - Description of Authorized Facilities
EXHIBIT B - Rate and Method of Apportionment
EXHIBIT C - Property Owners and Parcel Numbers

EXHIBIT A

Description of Authorized Facilities

[INSERT LISTING]

EXHIBIT B

Rate and Method of Apportionment

[INSERT RMA]

EXHIBIT C
Property Owners and Assessor Parcel Numbers

Owner*	Assessor's Parcel Number
ATC Realty One, LLC	492-010-033
ATC Realty One, LLC	492-010-057

*Owner may transfer to True Life Companies

EXHIBIT A

Fiddymment Ranch Community Facilities District (CFD) No. 5 City of Roseville, California

LIST OF AUTHORIZED FACILITIES

The Mello-Roos Community Facilities Act of 1982 authorizes the creation of a Community Facilities District (CFD) to finance the construction of community infrastructure. The following facilities and improvements, described in the WRSP Public Facilities Financing Plan (Financing Plan) and designed to serve the Fiddymment Ranch Phases 2 & 3 project area located in the West Roseville Specific Plan (Specific Plan), are eligible for funding through this CFD.

TRANSPORTATION IMPROVEMENTS

Authorized facilities include the following transportation-related improvements, as shown in the Specific Plan :

- North Hayden Parkway;
- Holt Parkway;
- Crawford Parkway;
- North/South Parkway;
- Street 22;
- Blue Oaks Boulevard;
- Other public roadway improvements designed to meet the needs of the project.

Eligible roadway improvements include, but are not limited to, these: acquisition of land and easements; roadway design; project management; bridge crossings and culverts; clearing, grubbing and demolition; grading, soil import/export, paving (including slurry seal), and decorative/enhanced pavement concrete and/or pavers; joint trenches, underground utilities and undergrounding of existing overhead utilities; dry utilities and appurtenances; curbs, gutters, sidewalks, bike trails (including onsite and off-site), enhanced fencing, and access ramps; streetlights, signalization, and traffic signal control system; bus turnouts and shelters; signs and striping; erosion control; median and parkway landscaping and irrigation; entry monumentation as shown in Figure 12-15 of the Specific Plan; masonry walls; traffic control and agency fees; and other improvements related thereto.

Eligible improvements for the roads listed above also include any and all necessary underground potable and non-potable water, sanitary sewer, and storm drainage system improvements.

POTABLE AND NON-POTABLE WATER SYSTEM IMPROVEMENTS

Authorized facilities include any and all on- and off-site backbone water facilities designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan. These facilities include, but are not limited to potable and non-potable mains, valves, services and appurtenances; wells; and water treatment facilities.

Water rights acquisition, purchase of water supply, and transfer fees are also authorized improvements.

DRAINAGE SYSTEM IMPROVEMENTS

Authorized facilities include any and all backbone drainage and storm drainage improvements designed to meet the needs of development within the Phases 2 & 3 portion of the Specific Plan. These facilities include, but are not limited to: mains, pipelines and appurtenances, outfalls and water quality measures, temporary drainage facilities, detention/retention basins and drainage pretreatment facilities; drainage ways/channels, pump stations, landscaping and irrigation; maintenance access roads, access gates, and fencing; and striping and signage.

WASTEWATER SYSTEM IMPROVEMENTS

Authorized facilities include any and all backbone wastewater facilities designed to meet the needs of the development within the Phases 2 & 3 portion of the Specific Plan. These facilities include, but are not limited to, pipelines and all appurtenances thereto; manholes; tie-in to existing main line; force mains; lift stations; trunk main extension to the sewer treatment plant, including a syphon crossing of Pleasant Grove Creek and associated maintenance access road improvements; odor-control facilities; sewer treatment plant improvements and permitting related thereto; and related sewer system improvements.

SOLID WASTE IMPROVEMENTS

Authorized facilities include any and all backbone solid waste improvements designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan. Eligible improvements also include the Solid Waste Recycling Center. Facility improvements include, but are not limited to, these: site clearing, grading, and paving; curbs and gutters; stand-by generator; site lighting, drainage, sanitary sewer, and water service; landscaping and irrigation; access gates, fencing, and recycle containers and bins; and striping and signage.

PARK PASEO IMPROVEMENTS

Authorized facilities include any and all improvements to parks and paseos located in the Phases 2 & 3 portion of the Specific Plan.

OPEN SPACE IMPROVEMENTS

Authorized facilities include any and all open space improvements designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan, including, but not limited to, these: Bike trails; bike/pedestrian bridges; storm drain crossings; wetland mitigation; tree mitigation; off-site hawk mitigation; agricultural mitigation, and/or wetland mitigation property acquisition; endowment payments for open space management, landscaping and irrigation; access gates and fencing; and related open space improvements.

UTILITIES

Authorized facilities include any and all utility improvements designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan. All utility improvements, easement payments, and land

acquisition not located under or alongside transportation improvements are considered authorized facilities.

PREPAYMENT OF PRIOR COMMUNITY FACILITIES DISTRICT SPECIAL TAX/INDEBTEDNESS

Authorized facilities and incidental expenses for the CFD include the payment of all amounts necessary to pay, prepay or defease any obligation to pay or any indebtedness secured by any tax, fee, charge, or assessment levied within the area of the CFD for facilities and incidental expenses authorized to be financed by the City of Roseville Fiddymont Ranch Community Facilities District No. 1 (Public Facilities) (the "Existing CFD"), as are set forth in the Resolution No. 04-445 of the City Council of the City of Roseville adopted September 15, 2004. The financing plan for the CFD includes financing the prepayment of the special tax on land within the area of the CFD that is also in the Existing CFD, which is secured by an existing lien on such property for the special tax of the Existing CFD and which lien will be removed upon authorization of the lien of the special tax of the CFD.

FORMATION, ADMINISTRATIVE, AND INCIDENTAL EXPENSES

In addition to the above facilities and uses, other incidental expenses as authorized by the Mello-Roos Community Facilities Act of 1982 include, but are not limited to, these: the cost of planning, permitting and designing the facilities (including the cost of environmental evaluation), orthophotography, environmental remediation/mitigation, and preparation of an overarching operation and maintenance (O&M) Plan for the City of Roseville Open Space Preserves; land acquisition and easement payments for authorized CFD facilities; project management; construction staking; engineering studies and preparation of an engineer's report for the use of recycled water; utility relocation and demolition costs incidental to the construction of the public facilities and cost associated with the creation of the CFD, issuance of bonds, determination of the amount of taxes, collection of taxes, payment of taxes, or cost otherwise incurred to carry out the authorized purposes of the CFD; reimbursements to other areas for infrastructure facilities serving development in the CFD; and any other expenses incidental to the construction, completion, and inspection of the facilities.

Exhibit B

Rate and Method of Apportionment of Special Tax

City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities)

A Special Tax shall be levied on all Taxable Property within the boundaries of the City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) (“CFD No. 5”) and collected each Fiscal Year commencing in Fiscal Year 2016-17, in an amount determined by the CFD Administrator through the application of the procedures described below. All of the real property within CFD No. 5, unless exempted by law or by the provisions hereof, shall be taxed for the purposes, to the extent, and in the manner herein provided.

1. DEFINITIONS

The terms hereinafter set forth have the following meanings:

“Acre” or “Acreage” means the land area of an Assessor’s Parcel as shown on an Assessor’s Parcel Map, or if the land area is not shown on an Assessor’s Parcel Map, the land area shown on the applicable Final Map or other Development Plan.

“Act” means the Mello-Roos Community Facilities Act of 1982, as amended, being Chapter 2.5 of Part 1 of Division 2 of Title 5 of the Government Code of the State of California.

“Administrative Fees & Expenses” means any or all of the following: the fees and expenses of any fiscal agent or trustee (including any fees or expenses of its counsel) employed in connection with any Bonds, and the expenses of the City carrying out its duties with respect to CFD No. 5 and the Bonds, including, but not limited to, levying and collecting the Special Taxes, the fees and expenses of legal counsel, charges levied by the County, costs related to annexing property into the CFD, costs related to property owner inquiries regarding the Special Taxes, costs associated with complying with any continuing disclosure requirements for the Bonds and the Special Taxes, and all other costs and expenses of the City in any way related to the establishment or administration of the CFD.

“Affordable Housing Director” means, at any point in time, the person within the City who serves as head of the department that is in charge of the City’s affordable housing program.

“Affordable Unit” means a Residential Unit built on a Parcel of Residential Property for which an Affordable Purchase Development Agreement has been recorded on title of the property designating the Unit as affordable and resulting in an on-going deed of trust obligation of the Parcel in favor of the City or which is otherwise classified as deed-restricted affordable property.

The City's Affordable Housing Director shall determine which Units are designated as Affordable Units and shall maintain a current inventory of all Affordable Units, identified by tract and lot number, and in the case of Planning Areas, the number of affordable Units for each such Planning Area; all entries shall indicate the effective date of each Affordable Unit's deed of trust obligation or deed restriction and the effective date that any obligation or deed-restriction had been removed. An Affordable Unit Listing of all qualifying Affordable Units as of April 30, together with the above information, shall be made available to the CFD Administrator by July 1 of each year for purposes of determining the Assigned Special Tax. A maximum of 249 Residential Units within CFD No. 5 shall be classified as Affordable Units.

"Annual Special Tax" means the Special Tax actually levied in any Fiscal Year on any Assessor's Parcel.

"Anticipated Land Use" refers to the location, designation and land use classification specified in the approved tentative subdivision maps for property and the West Roseville Specific Plan (as amended) which are on file with the City.

"Assessor" means the Assessor of the County of Placer.

"Assessor's Parcel" or "Parcel" means a lot or parcel shown on an Assessor's Parcel Map with an assigned Assessor's Parcel Number.

"Assessor's Parcel Map" means an official map of the Assessor designating parcels by Assessor's Parcel Number.

"Assessor's Parcel Number" means the number assigned to an Assessor's Parcel by the County for purposes of identification.

"Assigned Special Tax" means the Special Tax of that name described in Section 3.A below.

"Backup Special Tax" means the Special Tax of that name assigned to each Assessor's Parcel on an annual basis as shown in Section 3.B below.

"Bonds" means any bonds or other Debt of CFD No. 5, whether in one or more series, secured by the levy of Special Taxes.

"Building Permit" means a building permit for construction of a Residential Unit within CFD No. 5 issued by the City.

"Calendar Year" means the period commencing January 1 of any year and ending the following December 31.

"CFD Administrator" means an authorized representative of the City, or designee thereof, responsible for determining the Special Tax Requirement, for preparing the Annual Special Tax roll and/or calculating the Backup Special Tax.

"CFD No. 5" means the City of Roseville Fiddymont Ranch Community Facilities District No. 5 (Public Facilities).

"City" means the City of Roseville, California.

"Council" means the City Council of the City acting as the legislative body of CFD No. 5 under the Act.

“County” means the County of Placer, California.

“Debt” means any binding obligation to pay or repay a sum of money, including obligations in the form of bonds, certificates of participation, long-term leases, loans from government agencies, or loans from banks, other financial institutions, private businesses, or individuals, or long-term contracts.

“Debt Service” means for each Fiscal Year, the total amount of principal and interest payable on any Outstanding Bonds during the Calendar Year commencing on January 1 of such Fiscal Year.

“Developed Property” means, in any Fiscal Year, the following:

- For Single Family Detached Property, all Parcels for which a Final Map was recorded prior to May 1 of the preceding Fiscal Year
- For Single Family Attached Property, all Parcels for which use permits or building permits for new construction of a residential structure were issued prior to May 1 of the preceding Fiscal Year
- For Multi-Family Property, all Parcels for which use permits or building permits for new construction of a building were issued prior to May 1 of the preceding Fiscal Year
- For Non-Residential Property, all Parcels for which building permits for new construction of a building were issued prior to May 1 of the preceding Fiscal Year

“Exempt Property” means for each Fiscal Year, all Assessor’s Parcels designated as being exempt from Special Taxes pursuant to Section 8 below.

“Final Map” means a subdivision of property by recordation of a final map, parcel map, or lot line adjustment, pursuant to the Subdivision Map Act (California Government Code Section 66410 et seq.) or recordation of a condominium plan pursuant to California Civil Code 4285 that creates individual lots for which Building Permits may be issued without further subdivision.

“Fiscal Year” means the period starting on July 1 and ending the following June 30.

“High Density Residential” means an Assessor’s Parcel of Residential Property with an Anticipated Land Use designation of High Density Residential (HDR) in the current West Roseville Specific Plan.

“Indenture” means the indenture, fiscal agent agreement, resolution or other instrument pursuant to which Bonds are issued, as modified, amended and/or supplemented from time to time, and any instrument replacing or supplementing the same.

“Land Use Class” means any of the classes listed in Table 1 under Section 3 below.

“Lot Square Footage” means all of the square footage of a Lot or Assessor’s Parcel reflected on a Final Map, Assessor’s Parcel Map or other document.

“Low Density Residential Property” means an Assessor’s Parcel of Residential Property with an Anticipated Land Use designation of Low Density Residential (LDR) in the current West Roseville Specific Plan.

“Maximum Special Tax” means for each Assessor’s Parcel, the amount determined in accordance with Section 3.C below.

“Medium Density Residential Property” means an Assessor’s Parcel of Residential Property with an Anticipated Land Use designation of Medium Density Residential (MDR) in the current West Roseville Specific Plan.

“Multi-Family Property” means, in any Fiscal Year, all Parcels in CFD No. 5 for which a building permit was issued or may be issued for the construction of a residential structure with multiple units that share common walls, generally all of which are offered for rent to the general public.

“Non-Residential Property” means, in any Fiscal Year, all Parcels in CFD No. 5 for which a building permit was issued or may be issued for the construction of a non-residential structure which are not Single Family Attached Property, Single Family Detached Property, Multi-Family Property, Public Property, Property Owner Association Property or Exempt Property.

“Outstanding Bonds” means all Bonds, which are deemed to be outstanding under the Indenture.

“Planning Area” means the area designated on Attachment 1 and in the tentative subdivision maps for the property within CFD No. 5 on file with the City.

“Prepayment Amount” means the amount required to prepay the Annual Special Tax obligation in full or in part for an Assessor’s Parcel as described in Section 6.A and 6.B, respectively, below.

“Property Owner Association Property” means any Assessor’s Parcel within the boundaries of CFD No. 5 owned in fee by a property owner association, including any master or sub-association.

“Proportionately” or “Proportionate” means for Developed Property, that the ratio of the actual Special Tax levy to the applicable Assigned Special Tax or Maximum Special Tax is equal for all Assessor’s Parcels of Developed Property. For Undeveloped Property, "Proportionately" means that the ratio of the actual Special Tax levy to the Maximum Special Tax is equal for all Assessor's Parcels of Undeveloped Property. **“Proportionately”** may similarly be applied to other categories of Taxable Property as demonstrated in Section 4 below.

“Provisional Property” means all Assessor’s Parcels of Public Property, Property Owner Association Property or property that would otherwise be classified as Exempt Property pursuant to the provisions of Section 8, but cannot be classified as Exempt Property because to do so would reduce the total Maximum Special Tax or the Backup Special Tax for CFD No. 5.

“Public Property” means any property within the boundaries of CFD No. 5, which is owned by, or irrevocably offered for dedication to the federal government, the State of California, the County, the City or any other public agency; provided however that any property owned by a public agency and leased to a private entity and subject to taxation under Section 53340.1 of the Act shall be taxed and classified in accordance with its use.

“Residential Property” means all Assessor’s Parcels of Developed Property for which a Building Permit has been issued or may be issued for the purpose of constructing one or more Residential Units.

“Residential Unit” means each separate residential dwelling unit that comprises an independent facility capable of conveyance or rental, separate from adjacent residential dwelling units.

“Single Family Attached Property” means, in any Fiscal Year, all Assessor’s Parcels in CFD No. 5 for which a building permit was issued or may be issued for construction of a residential structure consisting of two or more Residential Units that share common walls and are offered as for-sale Residential Units, including such residential structures that meet the statutory definition of a condominium contained in Civil Code Section 1351.

“Single Family Detached Property” means, in any Fiscal Year, all Assessor’s Parcels in CFD No. 5 for which a building permit was issued or may be issued for construction of a Residential Unit that does not share a common wall with another Residential Unit.

“Special Tax” means any special tax levied within CFD No. 5 pursuant to the Act and this Rate and Method of Apportionment of Special Tax.

“Special Tax Obligation” means the total obligation of an Assessor’s Parcel of Taxable Property to pay the Special Tax for the remaining life of CFD No. 5.

“Special Tax Requirement” means that amount required in any Fiscal Year to: (i) pay regularly scheduled Debt Service on all Outstanding Bonds; (ii) pay periodic costs on the Outstanding Bonds, including but not limited to, credit enhancement and rebate payments on the Outstanding Bonds; (iii) pay Administrative Fees and Expenses; (iv) pay any amounts required to establish or replenish any reserve funds for all Outstanding Bonds; (v) accumulate funds to pay directly for acquisition or construction of facilities provided that the inclusion of such amount does not cause an increase in the Special Tax to be levied on Undeveloped Property; and (vi) pay for reasonably anticipated delinquent Special Taxes based on the delinquency rate for Special Taxes levied in the previous Fiscal Year (subject to limits specified in Section 4); less (vii) a credit for funds available to reduce the Annual Special Tax levy, as determined by the CFD Administrator pursuant to the Indenture.

“State” means the State of California.

“Taxable Property” means all of the Assessor's Parcels within the boundaries of CFD No. 5, which are not exempt from the levy of the Special Tax pursuant to law or Section 8 below.

“Trustee” means the trustee or fiscal agent under the Indenture.

“Undeveloped Property” means, for each Fiscal Year, all Taxable Property not classified as Developed Property or Provisional Property.

2. LAND USE CLASSIFICATION

Each Fiscal Year, beginning with Fiscal Year 2016-17, each Assessor’s Parcel within CFD No. 5 shall be classified as Taxable Property or Exempt Property. In addition, all Taxable Property shall further be classified as Developed Property, Undeveloped Property or Provisional Property, and all such Taxable Property shall be subject to the levy of Special Taxes in accordance with this Rate and Method of Apportionment of Special Tax determined pursuant to Sections 3 and 4 below. Furthermore, each Assessor’s Parcel of Developed Property shall be classified according to its

applicable Land Use Class based on its Lot Square Footage and other attributes (definitions of each Land Use Class provided in Section 1 above).

3. SPECIAL TAX RATES

A. Assigned Special Tax for Developed Property

The Assigned Special Tax applicable to an Assessor's Parcel classified as Developed Property in Fiscal Year 2015-16 shall be determined pursuant to Table 1 below.

Table 1
FY 2015-16 Assigned Special Tax

Land Use Class	Assigned Special Tax
Low Density Residential	\$1,688.80 per Residential Unit
Medium Density Residential	\$1,299.08 per Residential Unit
High Density Residential	\$649.54 per Residential Unit
Affordable Units	\$324.77 per Residential Unit
Non-Residential	\$6,495.39 per Acre

On July 1 of each Fiscal Year commencing with Fiscal Year 2016-17, the Assigned Special Tax shall increase by an amount equal to two percent (2%) of the Assigned Special Tax in effect for the prior Fiscal Year.

B. Backup Special Tax

CFD No. 5 is divided into Planning Areas. The geographic description of each Planning Area is based on the planning area designation of the same name. The planning area designations may be found in the Tentative Subdivision Maps for Fiddymont Ranch Phase 3 that are on file with the City and incorporated herein by reference. The Planning Areas are also referenced on the Land Use Diagram, which is included as Attachment 1.

Commencing in Fiscal Year 2015-16, the CFD Administrator will assign a Backup Special Tax to all Assessor's Parcels within CFD No. 5 based on the Acreage of the Assessor's Parcel and the applicable Backup Special Tax Rate described in Table 2 below.

Table 2
FY 2015-16 Backup Special Tax

Planning Area	FY 2015-16 Assessor's Parcel Number	Backup Special Tax per Acre
F-6A1	492-010-033	8,781
F-6A2	492-010-033	\$11,386
F-6B	492-010-033	\$8,526
F-6C1	492-010-033	\$14,875
F-6C2	492-010-033	\$17,641
F-6D	492-010-033	\$6,821
F-7A	492-010-057	\$11,457
F-7B	492-010-057	\$10,997
F-8A	492-010-057	\$15,417
F-8B	492-010-057	\$15,864
F-8C	492-010-057	\$10,213
F-8D	492-010-057	\$6,194
F-9B	492-010-057	\$8,804
F-9C	492-010-057	\$8,528
F-9D	492-010-057	\$5,590
F-10A	492-010-033	\$7,384
F-10B	492-010-033	\$9,929
F-10C	492-010-033	\$7,129
F-11A1	492-010-057	\$9,493
F-11A2	492-010-057	\$11,605
F-11A3	492-010-057	\$10,679
F-11B	492-010-057	\$13,758
F-12	492-010-057	\$9,954
F-13A1	492-010-033	\$8,085
F-13A2	492-010-033	\$7,300
F-13B1	492-010-033	\$9,621
F-13B2	492-010-033	\$9,125
F-13B3	492-010-033	\$8,115

On July 1 of each Fiscal Year commencing with Fiscal Year 2016-17, the Backup Special Tax rates in Table 2 above shall increase by an amount equal to two percent (2%) of the rates in effect for the prior Fiscal Year.

C. Maximum Special Tax for Developed Property

The Maximum Special Tax for each Assessor's Parcel classified as Developed Property in any Fiscal Year shall be the amount determined by the greater of (i) the application of the Assigned Special Tax set forth in Section 3.A or (ii) the application of the Backup Special Tax set forth in Section 3.B.

Notwithstanding the above, for any Fiscal Year in which all Taxable Property within a Planning Area is classified as Developed Property and the CFD Administrator has determined that the total Assigned Special Tax generated by the Developed Property is greater than or equal to the total Assigned Special Tax generated by the Anticipated Land Use for that Planning Area, the Maximum Special Tax for parcels within such Planning Area shall be equal to the Assigned Special Tax.

D. Maximum Special Tax for Undeveloped Property and Provisional Property

The Maximum Special Tax for Provisional Property and Undeveloped Property in any Fiscal Year shall be equal to the Backup Special Tax.

4. METHOD OF APPORTIONMENT

Commencing with Fiscal Year 2016-2017 and for each subsequent Fiscal Year, the CFD Administrator shall levy the Annual Special Tax on all Taxable Property within CFD No. 5 until the amount of Annual Special Tax equals the Special tax Requirement in accordance with the following steps:

- Step One: The Annual Special Tax shall be levied Proportionately on each Assessor's Parcel of Developed Property at up to 100% of the applicable Assigned Annual Special Tax rate as needed to satisfy the Special Tax Requirement.
- Step Two: If additional moneys are needed to satisfy the Special Tax Requirement after the first step has been completed, the Annual Special Tax shall be levied Proportionately on each Assessor's Parcel of Undeveloped Property at up to 100% of the Maximum Special Tax applicable to each such Assessor's Parcel as needed to satisfy the Special Tax Requirement.
- Step Three: If the sum of the amounts collected in steps one and two is insufficient to satisfy the Special Tax Requirement, then the Annual Special Tax on each Assessor's Parcel of Developed Property shall be increased Proportionately from the Assigned Special Tax up to 100% of the Maximum Special Tax as needed to satisfy the Special Tax Requirement.
- Step Four: If additional moneys are needed to satisfy the Special Tax Requirement after the first three steps have been completed, the Annual Special Tax shall be

levied Proportionately on each Assessor's Parcel of Provisional Property at up to 100% of the Maximum Special Tax applicable to each such Assessor's Parcel as needed to satisfy the Special Tax Requirement.

Under no circumstances will the Annual Special Tax levied against any Assessor's Parcel used as a private residence be increased as a consequence of delinquency or default by the owner of any other Assessor's Parcel or Assessor's Parcels by more than ten percent (10%) of the Special Tax that would be levied in that Fiscal Year, if there were no delinquencies, pursuant to California Government Code Section 53321(d), as in effect on the date of formation of CFD No. 5.

5. COLLECTION OF SPECIAL TAXES

Collection of the Annual Special Tax shall be made by the County in the same manner as ordinary ad valorem property taxes are collected and the Annual Special Tax shall be subject to the same penalties and the same lien priority in the case of delinquency as ad valorem taxes provided, however, that the Council may provide for (i) other means of collecting the Special Tax, including direct billings thereof to the property owners, and (ii) judicial foreclosure of delinquent Annual Special Taxes.

6. PREPAYMENT OF SPECIAL TAX OBLIGATION

A. Prepayment in Full

Property owners may prepay and permanently satisfy the Special Tax Obligation by a cash settlement with the City as permitted under Government Code Section 53344. The following definitions apply to this Section 6:

"CFD Public Facilities Costs" means \$47,280,000 in Fiscal Year 2015-16 dollars, which shall increase by 2% on July 1, 2016, and on each July 1 thereafter, or such lower number as (i) shall be determined by the CFD Administrator as sufficient to acquire or construct the facilities to be financed under the Act and financing program for CFD No. 5, or (ii) shall be determined by the Council concurrently with a covenant that it will not issue any more Bonds (except refunding bonds).

"Construction Fund" means the fund (regardless of its name) established pursuant to the Indenture to hold funds, which are currently available for expenditure to acquire or construct the facilities or pay fees.

"Future Facilities Costs" means the CFD Public Facilities Costs minus the construction funds provided by all Previously Issued Bonds and any facilities costs funded directly from Special Tax proceeds.

"Outstanding Bonds" means all Previously Issued Bonds, which remain outstanding as of the first interest and/or principal payment date following the current Fiscal Year excluding Bonds to be redeemed at a later date with proceeds of prior Special Tax prepayments.

"Previously Issued Bonds" means all Bonds that have been issued prior to the date of prepayment.

The Special Tax Obligation applicable to an Assessor's Parcel of Developed Property, or Undeveloped Property for which a Final Map has been recorded may be prepaid and the obligation to pay the Special Tax for such Assessor's Parcel permanently satisfied as described herein, provided that a prepayment may be made with respect to a particular Assessor's Parcel only if there are no delinquent Special Taxes with respect to such Assessor's Parcel at the time of prepayment. An owner of an Assessor's Parcel eligible to prepay the Special Tax Obligation shall provide the CFD Administrator with written notice of intent to prepay the identified parcel(s), and designate or identify the company or agency that will be acting as the escrow agent, if any. The CFD Administrator may charge a reasonable fee for providing this service, which shall be paid at the time of the request, and shall provide the owner with a statement of the Prepayment Amount for such Assessor's Parcel within thirty (30) days of the request., Prepayment by the owner must be made at least 60 days prior to any redemption date for the CFD No. 5 Bonds to be redeemed with the proceeds of such prepaid Special Taxes, unless a shorter period is acceptable to the Trustee and the City.

The Prepayment Amount (defined below) shall be calculated for each applicable Assessor's Parcel or group of Assessor's Parcels as summarized below (capitalized terms as defined below):

Bond Redemption Amount
plus Redemption Premium
plus Future Facilities Prepayment Amount
plus Defeasance Amount
plus Prepayment Administrative Fees and Expenses
less Reserve Fund Credit
less Capitalized Interest Credit
Total: equals Prepayment Amount

As of the proposed date of prepayment, the Prepayment Amount (defined below) shall be calculated as follows:

Step No.:

1. Confirm that no Special Tax delinquencies apply to such Assessor's Parcel.
2. For Assessor's Parcels of Developed Property, determine the Maximum Special Tax. For Assessor's Parcels of Undeveloped Property for which a Final Map has been recorded, compute the Maximum Special Tax for that Assessor's Parcel as though it was already designated as Developed Property, based upon the proposed land use for that Assessor's Parcel.
3. Divide the Maximum Special Tax computed pursuant to paragraph 2 by the total expected Maximum Special Tax revenue for CFD No. 5 assuming all Final Maps have been recorded within CFD No. 5 in accordance with the approved tentative subdivision maps

on file with the City, excluding any Assessor's Parcels for which the Special Tax Obligation has been previously prepaid.

4. Multiply the quotient computed pursuant to paragraph 3 by the Outstanding Bonds to compute the amount of Outstanding Bonds to be retired and prepaid for all applicable parcels (the "Bond Redemption Amount") This amount may be increased to satisfy the debt service coverage limitations of this section.

5. Multiply the Bond Redemption Amount computed pursuant to paragraph 4 by the applicable redemption premium (expressed as a percentage), if any, on the Outstanding Bonds to be redeemed at the first available call date (the "Redemption Premium").

6. Compute the Future Facilities Costs.

7. Multiply the quotient computed pursuant to paragraph 3 by the amount determined pursuant to paragraph 6 to compute the amount of Future Facilities Costs to be prepaid (the "Future Facilities Prepayment Amount").

8. Compute the amount needed to pay interest on the Bond Redemption Amount from the first bond interest and/or principal payment date following the current Fiscal Year until the expected redemption date for the Outstanding Bonds which, depending on the Indenture, may be as early as the next interest payment date.

9. Compute the amount the CFD Administrator reasonably expects to derive from the reinvestment of the Prepayment Amount less the Future Facilities Prepayment Amount and the Prepayment Administrative Fees from the date of prepayment until the redemption date for the Outstanding Bonds to be redeemed with the prepayment.

10. Subtract the amount computed in paragraph 9 from the amount computed in paragraph 8 (the "Defeasance Amount").

11. Calculate the administrative fees and expenses of CFD No. 5, including the costs of computation of the prepayment, the costs to invest the prepayment proceeds, the costs of redeeming Debt of CFD No. 5, and the costs of recording any notices to evidence the prepayment and the redemption, less any prepaid fees (the "Prepayment Administrative Fees").

12. If reserve funds for the Outstanding Bonds, if any, are at or above 100% of the reserve requirement (as defined in the Indenture) on the prepayment calculation date, a reserve fund credit shall be calculated as a reduction in the applicable reserve fund for the Outstanding Bonds to be redeemed pursuant to the prepayment (the "Reserve Fund Credit"). No Reserve Fund Credit shall be granted if, after the Prepayment Amount is calculated, reserve funds are below 100% of the reserve requirement.

13. If any capitalized interest for the Outstanding Bonds will not have been expended at the time of the first interest and/or principal payment following the current Fiscal Year, a capitalized interest credit shall be calculated by multiplying the quotient computed pursuant to paragraph 3 by the expected balance in the capitalized interest fund after such first interest and/or principal payment (the "Capitalized Interest Credit").

14. The amount to prepay the Special Tax Obligation is equal to the sum of the amounts computed pursuant to paragraphs 4, 5, 7, 10, and 11, less the amounts computed pursuant to paragraphs 12 and 13 (the "Prepayment Amount").

15. From the Prepayment Amount, the sum of the amounts computed pursuant to paragraphs 4, 5, and 10, less the amounts computed pursuant to paragraphs 12 and 13 shall be deposited into the appropriate fund as established under the Indenture and be used to retire Outstanding Bonds or make Debt Service payments. The amount computed pursuant to paragraph 7 shall be deposited into the Improvement Fund (as defined in the Indenture). The amount computed pursuant to paragraph 11 shall be retained by CFD No. 5.

The Prepayment Amount may be sufficient to redeem an amount other than a \$5,000 increment of CFD No. 5 Bonds. In such cases, the increment above \$5,000 or integral multiple thereof will be retained in the appropriate fund established under the Indenture to redeem CFD No. 5 Bonds to be used with the next prepayment of CFD No. 5 Bonds.

The CFD Administrator will confirm that all previously levied Special Taxes have been paid in full. With respect to any Assessor's Parcel for which the Special Tax Obligation is prepaid in full, once the CFD Administrator has confirmed that all previously levied Special Taxes have been paid, the Council shall cause a suitable notice to be recorded in compliance with the Act, to indicate the prepayment of the Special Tax and the release of the Special Tax lien on such Assessor's Parcel, and the obligation of the owner of such Assessor's Parcel to pay the Special Tax shall cease.

Notwithstanding the foregoing, no Special Tax prepayment shall be allowed unless the aggregate amount of Maximum Special Taxes less Priority Administrative Expenses (as defined in the Indenture) that may be levied on Taxable Property, respectively, after the proposed prepayment is at least 1.1 times the Debt Service on all Outstanding Bonds in each Fiscal Year.

B. Partial Prepayment

The Special Tax on an Assessor's Parcel of Developed Property or Undeveloped Property for which a Final Map has been recorded may be partially prepaid. The amount of the prepayment shall be calculated as in Section 6.A.; except that a partial prepayment shall be calculated according to the following formula:

$$PP = (PE - A) \times F + A$$

These terms have the following meaning:

PP = the partial prepayment

PE = the Prepayment Amount calculated according to Section 6.A

F = the percentage by which the owner of the Assessor's Parcel(s) is partially prepaying the Special Tax Obligation

A = the Prepayment Administrative Fees and Expenses from Section 6.A

The owner of any Assessor's Parcel who desires such partial prepayment shall notify the CFD Administrator of (i) such owner's intent to partially prepay the Special Tax Obligation, (ii) the percentage by which the Special Tax Obligation shall be prepaid, and (iii) the company or agency that will be acting as the escrow agent, if any. The CFD Administrator shall provide the owner with a statement of the amount required for the partial prepayment of the Special Tax Obligation for an Assessor's Parcel within sixty (60) days of the request and may charge a reasonable fee for providing this service.

With respect to any Assessor's Parcel that is partially prepaid, the City shall (i) distribute the funds remitted to it according to Section 6.A., and (ii) indicate in the records of CFD No. 5 that there has been a partial prepayment of the Special Tax Obligation and that a portion of the Special Tax with respect to such Assessor's Parcel, equal to the outstanding percentage (1.00 - F) of the Maximum Special Tax, shall continue to be levied on such Assessor's Parcel.

Notwithstanding the foregoing, no partial prepayment shall be allowed unless the aggregate amount of Maximum Special Taxes less Administrative Expenses that may be levied on Taxable Property, respectively, after the proposed partial prepayment is at least 1.1 times the Debt Service on all Outstanding Bonds in each Fiscal Year.

7. TERM OF SPECIAL TAX

The Special Tax shall be levied as long as necessary to meet the Special Tax Requirement for a period not to exceed fifty (50) Fiscal Years commencing with Fiscal Year 2016-17 (except that a Special Tax that was lawfully in or before such Fiscal Year and that remains delinquent may be collected in subsequent years), provided however that the Special Tax will cease to be levied in an earlier Fiscal Year if the CFD Administrator has determined that all CFD No. 5 obligations have been satisfied.

8. EXEMPTIONS

Notwithstanding any other provision of this RMA, no Special Tax shall be levied on an Assessor's Parcel of Public Property, except the following: (i) an Assessor's Parcel of Public Property within CFD No. 5 that is leased to a private entity and subject to the levy of the Special Tax pursuant to Section 53340.1 of the Act; or (ii) an Assessor's Parcel of Taxable Property within CFD No. 5 that is acquired by a public agency following the formation of CFD No. 5 (which will remain subject to the applicable Special Tax unless the Special Tax obligation is satisfied pursuant to Section 53317.5 of the Act).

If an Assessor's Parcel of Public Property, or portion thereof, that is an Exempt Property (e.g. a school-owned parcel at the time of formation of CFD No. 5) is transferred to private ownership and an Assessor's Parcel, or portion thereof, that is Taxable Property becomes Public Property instead, then the parcel, or portion thereof, that was formerly Public Property and Exempt Property shall become Taxable Property and classified according to its use and the formerly

Taxable Property shall become Exempt Property. This trading of an Assessor's Parcel from Taxable Property to Exempt Property will be permitted, without Resolution or Ordinance of the Council, to the extent that the transfer is agreed to by the owners of the Assessor's Parcels involved in the transfer and the City, and does not reduce the total aggregate annual Maximum Special Tax for CFD No. 5.

In addition to the exemptions described above, for each Fiscal Year and for each Planning Area, the CFD Administrator shall classify Assessor's Parcels of the following uses as Exempt Property sequentially and in the following order of priority: (i) Assessor's Parcels with public or utility easements making impractical their utilization for other than the purposes set forth in the easement, (ii) Assessor's Parcels which are used as places of worship and are exempt from ad valorem property taxes because they are owned by a religious organization, and (iii) Assessor's Parcels of Property Owner Association Property; provided, however, that no such classification would reduce the Acreage of Taxable Property within each Planning Area below the expected Acreage of Taxable Property shown in Table 3 below, except that Acreage of Taxable Property eliminated from one Planning Area may be moved to another Planning Area to the extent that the transfer is agreed to by the owners of the parcels involved.

Any such Assessor's Parcel of Public Property, Property Owner Association Property or other property that would otherwise be exempt from the Special Tax but upon which a Special Tax shall be levied in accordance with this Section 8 shall be classified as Provisional Property and taxed in accordance with its use.

Table 3
Expected Taxable Acreage

Planning Area	FY 2015-16 Assessor's Parcel Number	Taxable Acreage
F-6A1	492-010-033	18.58
F-6A2	492-010-033	13.55
F-6B	492-010-033	7.80
F-6C1	492-010-033	12.38
F-6C2	492-010-033	13.3
F-6D	492-010-033	4.84
F-7A	492-010-057	10.37
F-7B	492-010-057	10.32
F-8A	492-010-057	11.06
F-8B	492-010-057	10.92
F-8C	492-010-057	15.28
F-8D	492-010-057	10.88
F-9B	492-010-057	14.10
F-9C	492-010-057	17.26
F-9D	492-010-057	12.69
F-10A	492-010-033	29.30
F-10B	492-010-033	20.54
F-10C	492-010-033	19.90
F-11A1	492-010-057	8.78
F-11A2	492-010-057	8.71
F-11A3	492-010-057	11.79
F-11B	492-010-057	15.07
F-12	492-010-057	17.28
F-13A1	492-010-033	9.87
F-13A2	492-010-033	11.66
F-13B1	492-010-033	13.64
F-13B2	492-010-033	8.94
F-13B3	492-010-033	8.96

9. INTERPRETATION, APPLICATION AND APPEAL OF SPECIAL TAX FORMULA AND PROCEDURES

Any taxpayer who feels the amount of the Special Tax assigned to a Parcel is in error may file a notice with the Administrator appealing the levy of the Special Tax. The Administrator then will promptly review the appeal and, if necessary, meet with the applicant. If the Administrator verifies that the tax should be modified or changed, the Special Tax levy will be corrected and, if applicable in any case, a credit or refund will be granted.

Interpretations may be made by the City, without Resolution or Ordinance of the Council, for purposes of clarifying any vagueness or ambiguity as it relates to the Special Tax rate, the method of apportionment, the classification of properties, or any definition applicable to the CFD.

Without Council approval, the Administrator may make minor, non-substantive administrative and technical changes to the provisions of this document that do not materially affect the rate, method of apportionment, and manner of collection of the Special Tax for purposes of administrative efficiency or convenience or to comply with new applicable federal, state, or local law.

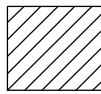
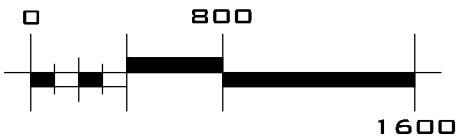
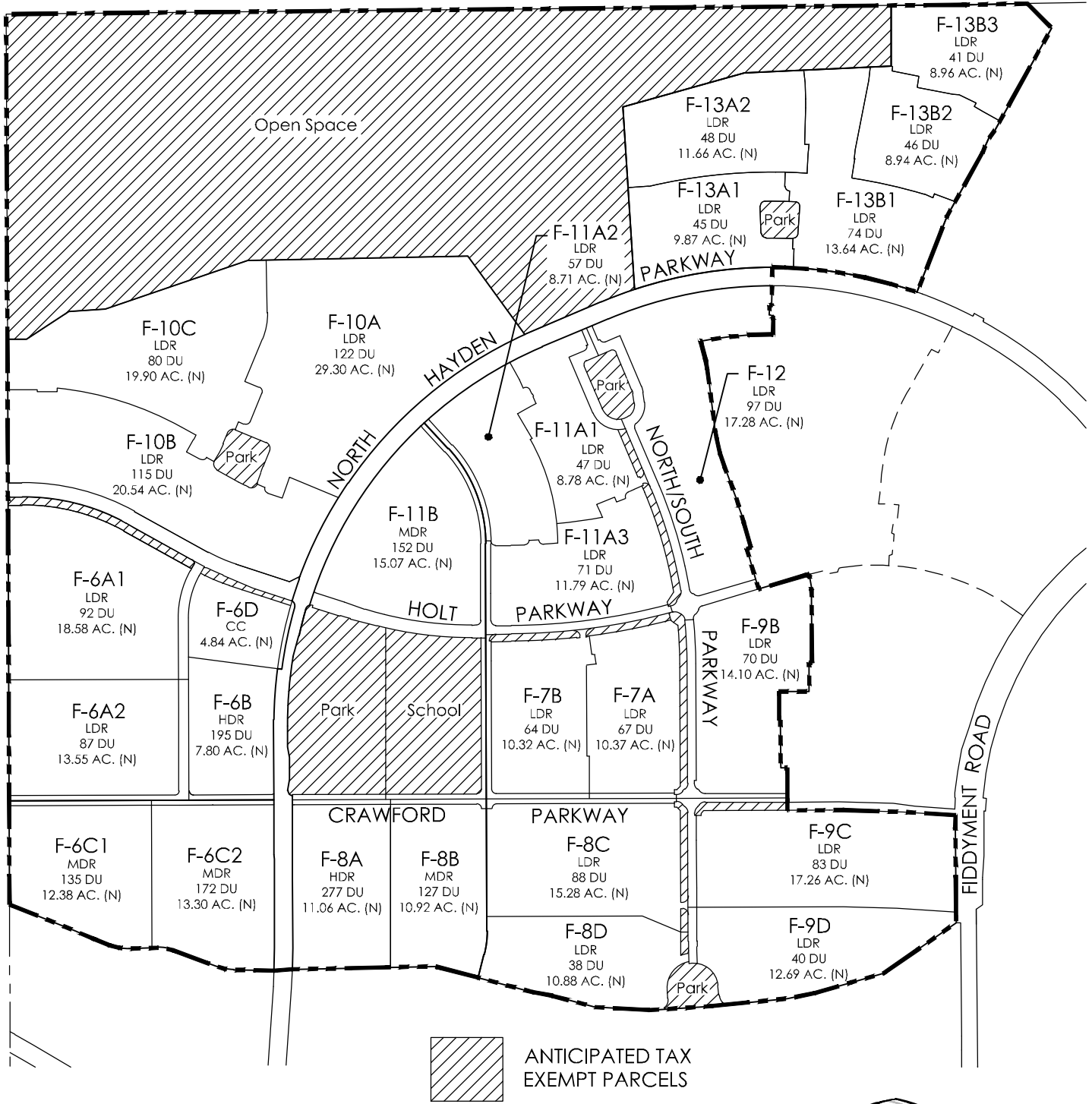
The City, upon request of an owner of land within the CFD which is not Developed Property, may also amend this RMA to reallocate the Special Tax applicable to such owner's land in a manner acceptable to the City, without Resolution or Ordinance of the Council, upon the affirmative vote of such owner and without the vote of owners of any other land within the CFD, provided such amendment (i) only affects the such owner's land, (ii) does not reduce the total annual Maximum Special Tax revenue for the CFD, and (iii) provides for a Special Tax distribution upon development of such land which is reasonably proportional and consistent with Special Tax rates shown in Table 1 (including escalations thereto) for similar land uses and is compliant with the tax assessment loan exception contained in U.S. Treasury Regulation Section 1.141-5(d).

Attachment 1
LAND USE DIAGRAM

COMMUNITY FACILITIES DISTRICT #5 FIDDYMENT RANCH

CITY OF ROSEVILLE, CALIFORNIA

DECEMBER 2015



ANTICIPATED TAX
EXEMPT PARCELS



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3301 C St, Bldg. 100-B
Sacramento, CA 95816

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Fax 916.341.7767



City of Roseville
Fiddymment Ranch
Community Facilities District No. 5
(Public Facilities)

27368 Via Industria
Suite 200
Temecula, CA 92590
T 951.587.3500 | 800.755.6864
F 951.587.3510

www.willdan.com/financial



TABLE OF CONTENTS

TABLE OF CONTENTS	2
I. INTRODUCTION	3
II. GENERAL DESCRIPTION & BOUNDARIES OF CFD No. 5	4
III. DESCRIPTION OF FACILITIES	5
IV. COST ESTIMATES.....	8
V. RATE AND METHOD OF APPORTIONMENT OF SPECIAL TAX	9

EXHIBIT A (BOUNDARY MAP)

EXHIBIT B (PRELIMINARY CFD BUDGET)

EXHIBIT C (RATE AND METHOD OF APPORTIONMENT)

I. Introduction

WHEREAS, the City Council of the City of Roseville (hereinafter referred to as the “City Council”), in the State of California, did, pursuant to the terms and provisions of Chapter 2.5 of Part 1, of Division 2, of Title 5 of the Government Code of the State of California, as amended (the “Act”), adopted a Resolution of Intention for the proposed formation of City of Roseville Community Facilities District No. 5 (Public Facilities) (“CFD No. 5”).

WHEREAS, this Community Facilities District Report (“Report”) is being provided to the City Council and generally contains the following:

1. A brief description of Community Facilities District No. 5 (Public Facilities) of the City of Roseville (“CFD No. 5”);
2. A brief description of the Facilities required at the time of formation to meet the needs of CFD No. 5.
3. A brief description of the Boundaries of CFD 5; and
4. An estimate of the cost of financing the bonds used to pay for the Facilities, including all costs associated with formation of the District, issuance of bonds, determination of the amount of any special taxes, collection of any special taxes, or costs otherwise incurred in order to carry out the authorized purposes of the City with respect to the District, and any other incidental expenses to be paid through the proposed financing.

For particulars, reference is made to the Resolution of Intention, Resolution No. 16-10 as previously approved. All capitalized terms not defined herein are defined in the Rate and Method of Apportionment of Special Tax section (Exhibit C) of this report.

NOW THEREFORE Willdan Financial Services, the appointed responsible firm directed to prepare the Report, pursuant to the provisions of the Code, does hereby submit the following:

II. General Description & Boundaries of CFD No. 5

A description of the exterior boundaries of the territory proposed for inclusion in CFD No. 5, including properties and parcels of land proposed to be subject to the levy of a Special Tax by CFD No. 5, is shown on the boundary map designated as “PROPOSED BOUNDARIES OF FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES)”, which is on file in the office of the Clerk of the City Council of the City of Roseville and was recorded with the County Recorder of the County of Placer on February 1, 2016 in Book 3 of Maps of Assessment and Community Facilities Districts at page 73 and as Instrument Number 2016-0007232. A copy of the map is attached hereto as Exhibit A and hereby incorporated by reference.

III. Description of Facilities

The General Description of the Facilities that may be acquired or constructed is as follows:

TRANSPORTATION IMPROVEMENTS

Authorized facilities include the following transportation-related improvements, as shown in the Specific Plan:

- North Hayden Parkway;
- Holt Parkway;
- Crawford Parkway;
- North/South Parkway;
- Street 22;
- Blue Oaks Boulevard;
- Other public roadway improvements designed to meet the needs of the project.

Eligible roadway improvements include, but are not limited to, these: acquisition of land and easements; roadway design; project management; bridge crossings and culverts; clearing, grubbing and demolition; grading, soil import/export, paving (including slurry seal), and decorative/enhanced pavement concrete and/or pavers; joint trenches, underground utilities and undergrounding of existing overhead utilities; dry utilities and appurtenances; curbs, gutters, sidewalks, bike trails (including onsite and off-site), enhanced fencing, and access ramps; streetlights, signalization, and traffic signal control system; bus turnouts and shelters; signs and striping; erosion control; median and parkway landscaping and irrigation; entry monumentation as shown in Figure 12-15 of the Specific Plan; masonry walls; traffic control and agency fees; and other improvements related thereto.

Eligible improvements for the roads listed above also include any and all necessary underground potable and non-potable water, sanitary sewer, and storm drainage system improvements.

POTABLE AND NON-POTABLE WATER SYSTEM IMPROVEMENTS

Authorized facilities include any and all on- and off-site backbone water facilities designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan. These facilities include, but are not limited to potable and non-potable mains, valves, services and appurtenances; wells; and water treatment facilities.

Water rights acquisition, purchase of water supply, and transfer fees are also authorized improvements.

DRAINAGE SYSTEM IMPROVEMENTS

Authorized facilities include any and all backbone drainage and storm drainage improvements designed to meet the needs of development within the Phases 2 & 3 portion of the Specific Plan. These facilities include, but are not limited to: mains, pipelines and appurtenances, outfalls and water quality measures, temporary drainage facilities, detention/retention basins and drainage pretreatment facilities; drainage ways/channels, pump stations, landscaping and irrigation; maintenance access roads, access gates, and fencing; and striping and signage.

WASTEWATER SYSTEM IMPROVEMENTS

Authorized facilities include any and all backbone wastewater facilities designed to meet the needs of the development within the Phases 2 & 3 portion of the Specific Plan. These facilities include, but are not limited to, pipelines and all appurtenances thereto; manholes; tie-in to existing main line; force mains; lift stations; trunk main extension to the sewer treatment plant, including a syphon crossing of Pleasant Grove Creek and associated maintenance access road improvements; odor-control facilities; sewer treatment plant improvements and permitting related thereto; and related sewer system improvements.

SOLID WASTE IMPROVEMENTS

Authorized facilities include any and all backbone solid waste improvements designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan. Eligible improvements also include the Solid Waste Recycling Center. Facility improvements include, but are not limited to, these: site clearing, grading, and paving; curbs and gutters; stand-by generator; site lighting, drainage, sanitary sewer, and water service; landscaping and irrigation; access gates, fencing, and recycle containers and bins; and striping and signage.

PARK PASEO IMPROVEMENTS

Authorized facilities include any and all improvements to parks and paseos located in the Phases 2 & 3 portion of the Specific Plan.

OPEN SPACE IMPROVEMENTS

Authorized facilities include any and all open space improvements designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan, including, but not limited to, these: bike trails; bike/pedestrian bridges; storm drain crossings; wetland mitigation; tree mitigation; off-site hawk mitigation; agricultural mitigation, and/or wetland mitigation property acquisition; endowment payments for open space management, landscaping and irrigation; access gates and fencing; and related open space improvements.

UTILITIES

Authorized facilities include any and all utility improvements designed to meet the needs of development in the Phases 2 & 3 portion of the Specific Plan. All utility improvements, easement

payments, and land acquisition not located under or alongside transportation improvements are considered authorized facilities.

PREPAYMENT OF PRIOR COMMUNITY FACILITIES DISTRICT SPECIAL TAX/INDEBTEDNESS

Authorized facilities and incidental expenses for the CFD include the payment of all amounts necessary to pay, prepay or defease any obligation to pay or any indebtedness secured by any tax, fee, charge, or assessment levied within the area of the CFD for facilities and incidental expenses authorized to be financed by the City of Roseville Fiddymont Ranch Community Facilities District No. 1 (Public Facilities) (the "Existing CFD"), as are set forth in the Resolution No. 04-445 of the City Council of the City of Roseville adopted September 15, 2004. The financing plan for the CFD includes financing the prepayment of the special tax on land within the area of the CFD that is also in the Existing CFD, which is secured by an existing lien on such property for the special tax of the Existing CFD and which lien will be removed upon authorization of the lien of the special tax of the CFD.

FORMATION, ADMINISTRATIVE, AND INCIDENTAL EXPENSES

In addition to the above facilities and uses, other incidental expenses as authorized by the Mello-Roos Community Facilities Act of 1982 include, but are not limited to, these: the cost of planning, permitting and designing the facilities (including the cost of environmental evaluation), orthophotography, environmental remediation/mitigation, and preparation of an overarching operation and maintenance (O&M) Plan for the City of Roseville Open Space Preserves; land acquisition and easement payments for authorized CFD facilities; project management; construction staking; engineering studies and preparation of an engineer's report for the use of recycled water; utility relocation and demolition costs incidental to the construction of the public facilities and cost associated with the creation of the CFD, issuance of bonds, determination of the amount of taxes, collection of taxes, payment of taxes, or cost otherwise incurred to carry out the authorized purposes of the CFD; reimbursements to other areas for infrastructure facilities serving development in the CFD; and any other expenses incidental to the construction, completion, and inspection of the facilities.

This description of the public capital facilities is general in nature. The final nature and location of improvements and facilities will be determined upon the preparation of final plans and specifications. The final plans and specifications may show substitutes in lieu of, or modifications to, proposed work. Any such substitution shall not be a change or modification in the proceedings as long as the facilities provide a service substantially similar to that as set forth in the Report.

IV. Cost Estimates

The proceeds of CFD No. 5 will be used to fund the construction of facilities in the amount of approximately \$30 million. The remaining \$17 million in bonding capacity will be used to prepay Fiddymment Ranch CFD No. 1 bonds.

For cost particulars, reference is made to Exhibit B of this report.

V. Rate and Method of Apportionment of Special Tax

The Rate and Method of Apportionment (RMA) provide sufficient information to allow a property owner within CFD No. 5 to estimate the Maximum Special Tax for his or her property. It also includes method of prepayment in full or prepayment in part and the procedure for prepayments.

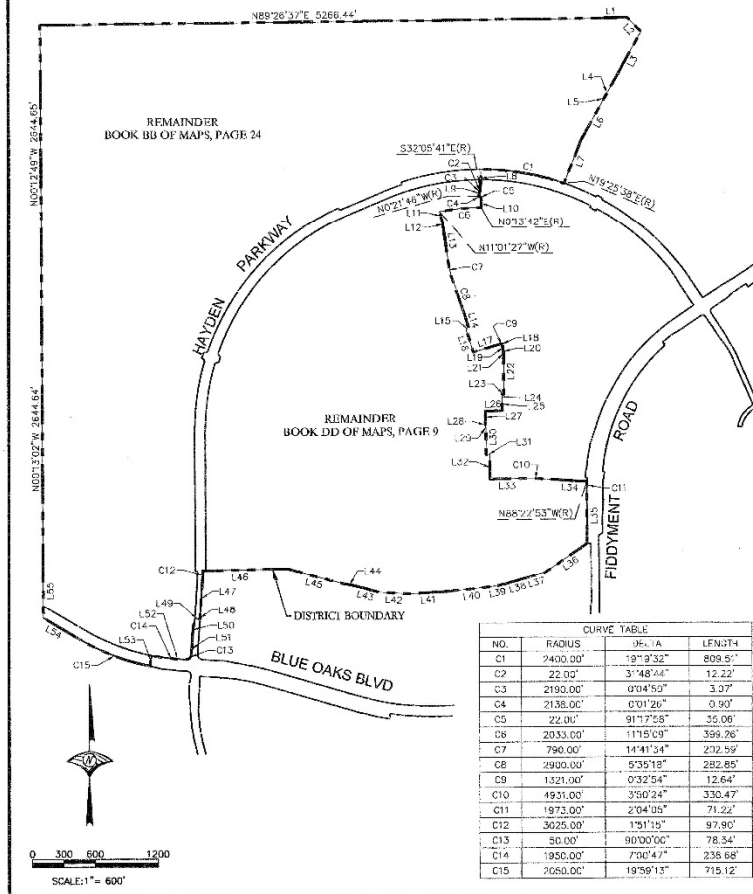
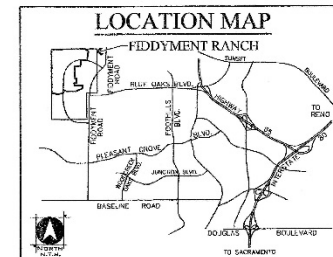
For particulars on the RMA, reference is made to Exhibit C.

EXHIBIT A

Boundary Map

PROPOSED BOUNDARIES OF
FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT No. 5
(PUBLIC FACILITIES)

CITY OF ROSEVILLE, COUNTY OF PLACER, STATE OF CALIFORNIA
SECTIONS 13 AND 24, T. 11 N., R. 5 E. AND A PORTION OF SECTION 18, T. 11 N., R. 6 E., M.D.B.&M.



LINE TABLE		
No.	Bearing	Length
L1	N88°56'05"E	336.22'
L2	N44°35'12"W	185.64'
L3	N29°04'16"E	642.86'
L4	N37°08'16"E	52.97'
L5	N24°01'12"E	84.43'
L6	N29°04'16"E	401.32'
L7	N01°30'48"E	448.04'
L8	N03°37'40"E	206.89'
L9	N07°14'46"W	32.00'
L10	N07°14'07"E	82.62'
L11	N14°43'07"W	105.23'
L12	N10°49'21"W	52.00'
L13	N72°19'17"W	346.96'
L14	N16°27'17"W	180.23'
L15	N73°32'43"E	25.00'
L16	N16°27'17"W	230.50'
L17	N73°32'43"E	275.10'
L18	N15°54'23"W	12.50'
L19	N8°14'37"W	56.72'
L20	N44°48'14"W	15.23'
L21	N155°09'09"W	21.92'
L22	N01°30'02"W	378.54'
L23	N89°46'58"E	74.80'
L24	N03°03'00"E	52.00'
L25	N01°30'02"W	105.00'
L26	N89°46'58"E	165.00'
L27	N01°30'02"W	105.00'
L28	N01°08'31"W	52.00'
L29	N89°46'58"E	6.31'
L30	N01°30'02"W	262.00'
L31	N89°46'58"E	36.74'
L32	N01°30'02"W	733.00'
L33	N89°46'58"E	205.22'
L34	N88°22'38"W	287.06'
L35	N02°56'58"W	518.24'
L36	N55°00'35"E	486.06'
L37	N74°28'30"E	183.80'
L38	N73°54'28"E	208.17'
L39	N78°27'30"E	151.07'
L40	N84°17'15"E	227.75'
L41	N86°22'37"E	333.50'
L42	N88°50'50"W	303.29'
L43	N74°51'00"W	237.11'
L44	N78°30'56"W	111.33'
L45	N75°15'02"W	554.80'
L46	N86°47'27"E	806.41'
L53	N10°51'52"E	100.00'
L54	N59°08'55"W	406.43'
L55	N07°62'4"W	406.18'

CURVE TABLE			
NO.	RADIUS	DE. I.A.	LENGTH
C1	2400.00'	19°2'32"	808.51'
C2	22.00'	3°14'44"	12.22'
C3	2190.00'	0°04'50"	3.07'
C4	2136.00'	0°01'26"	0.90'
C5	22.00'	91°17'58"	35.06'
C6	2033.00'	1°15'09"	399.26'
C7	796.00'	14°41'54"	232.59'
C8	3900.00'	5°35'18"	282.85'
C9	1321.00'	0°32'54"	12.64'
C10	4931.00'	3°50'24"	330.47'
C11	1972.00'	2°04'05"	71.22'
C12	3025.00'	1°51'15"	97.90'
C13	50.00'	90°00'00"	78.54'
C14	1950.00'	7°30'47"	236.68'
C15	2060.00'	19°59'13"	715.12'

CITY CLERK'S STATEMENT

FILED IN THE OFFICE OF THE CLERK OF THE CITY OF ROSEVILLE, CALIFORNIA ON
THIS 21 DAY OF January, 2016.

Sonia Orozco
SONIA OROZCO, CITY CLERK
CITY OF ROSEVILLE,
PLACER COUNTY, CALIFORNIA

I HEREBY CERTIFY THAT THE WITHIN MAP SHOWING PROPOSED BOUNDARIES OF
FIDDYMENT RANCH COMMUNITY FACILITIES DISTRICT NO. 5 (PUBLIC FACILITIES),
CITY OF ROSEVILLE, COUNTY OF PLACER, STATE OF CALIFORNIA, WAS APPROVED
BY THE CITY COUNCIL OF THE CITY OF ROSEVILLE, AT A REGULAR MEETING
THEREOF, HELD ON THE 21 DAY OF January, 2016, BY ITS
RESOLUTION NUMBER 16-03.

Sonia Orozco
SONIA OROZCO, CITY CLERK
CITY OF ROSEVILLE,
PLACER COUNTY, CALIFORNIA

RECORDER'S STATEMENT

FILED THIS 1st DAY OF February, 2016, AT THE HOUR OF 14:42
O'CLOCK P.M. IN BOOK 3 OF ASSESSMENT AND COMMUNITY
FACILITIES DISTRICTS AT PAGE 73 IN THE OFFICE OF THE COUNTY RECORDER
OF THE COUNTY OF PLACER, STATE OF CALIFORNIA.

Document No: 2016-0007232

Fee: \$8.00

Jim McCauley
COUNTY RECORDER, COUNTY OF PLACER
J. Malina, Deputy

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3301 C St., Ste. 100-S Sacramento, CA 95816 Tel 916.341.7750 Fax 916.341.7757

JANUARY 2016

Sheet 1 of 1

1027.147

EXHIBIT B

Preliminary CFD Budget

FIDDYMENT PHASES 2 & 3
INFRASTRUCTURE BUDGET SUMMARY
October 13, 2015

<u>1. CFD FUNDED INFRASTRUCTURE</u>																
PHASE	MISC	ROUGH GRADING	EROSION CONTROL	STREETWORK	BRIDGES	SANITARY SEWER	STORM DRAIN	WATER SYSTEM	JOINT TRENCH	CONCRETE	WALLS & FENCES	LANDSCAPING	PROFESSIONAL FEES	CONTINGENCY (15%)	TOTAL	Units in Phase Cost/Unit
Phase 2G (F-4C, 9D, 8C & 8D) Infra	\$0	\$243,000	\$0	\$362,000	\$0	\$1,535,000	\$753,000	\$241,000	\$391,000	\$104,000	\$6,000	\$37,000	\$1,453,000	\$769,000	\$5,894,000	
Phase 2H (F-7 & F-9B) Infra	\$0	\$121,000	\$0	\$639,000	\$0	\$69,000	\$322,000	\$440,000	\$660,000	\$155,000	\$0	\$9,000	\$786,000	\$479,000	\$3,670,000	
Phase 2I (F11A & F-12) Infra	\$0	\$2,000	\$0	\$910,000	\$0	\$969,000	\$837,000	\$706,000	\$962,000	\$370,000	\$20,000	\$84,000	\$1,046,000	\$886,000	\$6,792,000	
Offsite Imps (Blue Oaks Blvd Segment 1 Only)	\$35,000	\$32,000	\$6,000	\$359,000	\$0	\$0	\$185,000	\$198,000	\$294,000	\$118,000	\$0	\$156,000	\$288,000	\$251,000	\$1,922,000	
Portion of Ph 3A (F-6A, 6B, 6C, 6D, 8A, & 8B) Infra	\$0	\$375,000	\$0	\$2,153,000	\$4,326,000	\$376,000	\$1,238,000	\$1,659,000	\$1,597,000	\$0	\$0	\$0	\$0	\$0	\$11,722,000	"Partial Imps"
TOTAL CFD FUNDED INFRASTRUCTURE	\$35,000	\$773,000	\$6,000	\$4,423,000	\$4,326,000	\$2,936,000	\$3,335,000	\$3,244,000	\$3,984,000	\$747,000	\$26,000	\$286,000	\$3,573,000	\$2,385,000	\$30,000,000	
<u>2. NON-CFD FUNDED INFRASTRUCTURE</u>																
Phase 2F (F13B1 partial, F13B2 & F13B3) Infra	\$8,000	\$340,000	\$48,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$30,000	\$64,000	\$490,000	116 \$4,224
Phase 2G (F-9C, 9D, 8C & 8D) Infra	\$22,000	\$2,183,000	\$128,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$350,000	\$2,683,000	249 \$10,775
Phase 2H (F-7 & F-9B) Infra	\$14,000	\$1,091,000	\$82,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$178,000	\$1,365,000	201 \$6,791
Phase 2I (F11A & F-12) Infra	\$20,000	\$114,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$23,000	\$173,000	272 \$636
Phase 2J (F13A1, F13A2, & F13B1 partial) Infra	\$11,000	\$232,000	\$62,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$106,000	\$62,000	\$473,000	138 \$3,428
Portion Phase 3A (F6A,6B,6C,6D,8A & 8B) Infra	\$124,000	\$3,373,000	\$264,000	\$0	\$0	\$0	\$0	\$0	\$442,000	\$881,000	\$3,000	\$253,000	\$3,748,000	\$3,122,000	\$12,210,000	1085 \$11,253
Phase 3B (F-10A,10B,10C, & 11B) Infra	\$30,000	\$175,000	\$174,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$288,000	\$100,000	\$768,000	469 \$1,638
Phase 2 & 3 Bike Trails	\$2,000	\$70,000	\$10,000	\$238,000	\$468,000	\$0	\$50,000	\$0	\$0	\$10,000	\$0	\$0	\$432,000	\$192,000	\$1,472,000	
Phase 2 & 3 Bike Trails Reimburse.	-\$2,000	-\$70,000	-\$10,000	-\$238,000	-\$468,000	\$0	-\$50,000	\$0	\$0	-\$10,000	\$0	\$0	-\$432,000	-\$192,000	-\$1,472,000	
Tree Mitigation & OS Fencing	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,721,000	\$1,840,000	\$0	\$0	\$3,561,000	
TOTAL NON-CFD FUNDED INFRASTRUCTURE	\$279,000	\$7,411,000	\$872,000	\$0	\$0	\$0	\$0	\$0	\$442,000	\$881,000	\$1,724,000	\$2,093,000	\$4,172,000	\$3,899,000	\$21,723,000	2,530 \$8,586

Notes:

- Infrastructure Budget updated based on quantities from the following Tentative Subdivision Maps prepared by Wood Rodgers, Inc.
 - Fiddymont Ranch Phase 2 – Villages F-13A & F-13B dated November 6, 2014
 - Fiddymont Ranch Phase 2 – Villages F-7, F-8C, F-8D, F-9B, F-9C, F-11A, F-12 dated January 16, 2015
 - Fiddymont Ranch Phase 3 – Villages F-6A1 & 2, F10A, B & C dated March 24, 2015
 - Fiddymont Ranch Phase 3 – Villages F-6C, F-8B, & F-11B dated March 23, 2015
- The phases do not necessarily represent a sequencing of improvements. Refer to each individual tentative map's phasing exhibits for phasing details.
- Preliminary earthwork is such that the site is designed to balance. Rough grade earthwork quantities as reported in these estimates are based on the cut volume only. Where a particular phase imports fill, that cost is allocating to the exporting phase.
- Backbone dry utility costs for gas, telephone, and cable are not included in this estimate.
- Total CFD funded infrastructure is estimated at \$30 million and subject to several factors such as bond interest rates.
- This estimate does not include in-tract village infrastructure costs and is for backbone infrastructure only. Refer to infrastructure shown in exhibits for backbone infrastructure included in this estimate.
- Quantities not explicitly detailed within are not included as part of this estimate.
- Access road to PGWWTP effluent structure, per DA Exhibit 00, Off-Site Improvements - Phase 3, paragraph 2 is not included as there are discussions about eliminating this access requirement with the soccer complex.

EXHIBIT C

Rate and Method of Apportionment

Rate and Method of Apportionment of Special Tax

City of Roseville

Fiddymment Ranch Community Facilities District No. 5

(Public Facilities)

A Special Tax shall be levied on all Taxable Property within the boundaries of the City of Roseville Fiddymment Ranch Community Facilities District No. 5 (Public Facilities) ("CFD No. 5") and collected each Fiscal Year commencing in Fiscal Year 2016-17, in an amount determined by the CFD Administrator through the application of the procedures described below. All of the real property within CFD No. 5, unless exempted by law or by the provisions hereof, shall be taxed for the purposes, to the extent, and in the manner herein provided.

1. DEFINITIONS

The terms hereinafter set forth have the following meanings:

"Acre" or "Acreage" means the land area of an Assessor's Parcel as shown on an Assessor's Parcel Map, or if the land area is not shown on an Assessor's Parcel Map, the land area shown on the applicable Final Map or other Development Plan.

"Act" means the Mello-Roos Community Facilities Act of 1982, as amended, being Chapter 2.5 of Part 1 of Division 2 of Title 5 of the Government Code of the State of California.

"Administrative Fees & Expenses" means any or all of the following: the fees and expenses of any fiscal agent or trustee (including any fees or expenses of its counsel) employed in connection with any Bonds, and the expenses of the City carrying out its duties with respect to CFD No. 5 and the Bonds, including, but not limited to, levying and collecting the Special Taxes, the fees and expenses of legal counsel, charges levied by the County, costs related to annexing property into the CFD, costs related to property owner inquiries regarding the Special Taxes, costs associated with complying with any continuing disclosure requirements for the Bonds and the Special Taxes, and all other costs and expenses of the City in any way related to the establishment or administration of the CFD.

"Affordable Housing Director" means, at any point in time, the person within the City who serves as head of the department that is in charge of the City's affordable housing program.

"Affordable Unit" means a Residential Unit built on a Parcel of Residential Property for which an Affordable Purchase Development Agreement has been recorded on title of the property designating the Unit as affordable and resulting in an on-going deed of trust obligation of the

Parcel in favor of the City or which is otherwise classified as deed-restricted affordable property. The City's Affordable Housing Director shall determine which Units are designated as Affordable Units and shall maintain a current inventory of all Affordable Units, identified by tract and lot number, and in the case of Planning Areas, the number of affordable Units for each such Planning Area; all entries shall indicate the effective date of each Affordable Unit's deed of trust obligation or deed restriction and the effective date that any obligation or deed-restriction had been removed. An Affordable Unit Listing of all qualifying Affordable Units as of April 30, together with the above information, shall be made available to the CFD Administrator by July 1 of each year for purposes of determining the Assigned Special Tax. A maximum of 249 Residential Units within CFD No. 5 shall be classified as Affordable Units.

"Annual Special Tax" means the Special Tax actually levied in any Fiscal Year on any Assessor's Parcel.

"Anticipated Land Use" refers to the location, designation and land use classification specified in the approved tentative subdivision maps for property and the West Roseville Specific Plan (as amended) which are on file with the City.

"Assessor" means the Assessor of the County of Placer.

"Assessor's Parcel" or "Parcel" means a lot or parcel shown on an Assessor's Parcel Map with an assigned Assessor's Parcel Number.

"Assessor's Parcel Map" means an official map of the Assessor designating parcels by Assessor's Parcel Number.

"Assessor's Parcel Number" means the number assigned to an Assessor's Parcel by the County for purposes of identification.

"Assigned Special Tax" means the Special Tax of that name described in Section 3.A below.

"Backup Special Tax" means the Special Tax of that name assigned to each Assessor's Parcel on an annual basis as shown in Section 3.B below.

"Bonds" means any bonds or other Debt of CFD No. 5, whether in one or more series, secured by the levy of Special Taxes.

"Building Permit" means a building permit for construction of a Residential Unit within CFD No. 5 issued by the City.

"Calendar Year" means the period commencing January 1 of any year and ending the following December 31.

"CFD Administrator" means an authorized representative of the City, or designee thereof, responsible for determining the Special Tax Requirement, for preparing the Annual Special Tax roll and/or calculating the Backup Special Tax.

"CFD No. 5" means the City of Roseville Fiddymont Ranch Community Facilities District No. 5 (Public Facilities).

"City" means the City of Roseville, California.

"Council" means the City Council of the City acting as the legislative body of CFD No. 5 under the Act.

“County” means the County of Placer, California.

“Debt” means any binding obligation to pay or repay a sum of money, including obligations in the form of bonds, certificates of participation, long-term leases, loans from government agencies, or loans from banks, other financial institutions, private businesses, or individuals, or long-term contracts.

“Debt Service” means for each Fiscal Year, the total amount of principal and interest payable on any Outstanding Bonds during the Calendar Year commencing on January 1 of such Fiscal Year.

“Developed Property” means, in any Fiscal Year, the following:

- For Single Family Detached Property, all Parcels for which a Final Map was recorded prior to May 1 of the preceding Fiscal Year
- For Single Family Attached Property, all Parcels for which use permits or building permits for new construction of a residential structure were issued prior to May 1 of the preceding Fiscal Year
- For Multi-Family Property, all Parcels for which use permits or building permits for new construction of a building were issued prior to May 1 of the preceding Fiscal Year
- For Non-Residential Property, all Parcels for which building permits for new construction of a building were issued prior to May 1 of the preceding Fiscal Year

“Exempt Property” means for each Fiscal Year, all Assessor’s Parcels designated as being exempt from Special Taxes pursuant to Section 8 below.

“Final Map” means a subdivision of property by recordation of a final map, parcel map, or lot line adjustment, pursuant to the Subdivision Map Act (California Government Code Section 66410 et seq.) or recordation of a condominium plan pursuant to California Civil Code 4285 that creates individual lots for which Building Permits may be issued without further subdivision.

“Fiscal Year” means the period starting on July 1 and ending the following June 30.

“High Density Residential” means an Assessor’s Parcel of Residential Property with an Anticipated Land Use designation of High Density Residential (HDR) in the current West Roseville Specific Plan.

“Indenture” means the indenture, fiscal agent agreement, resolution or other instrument pursuant to which Bonds are issued, as modified, amended and/or supplemented from time to time, and any instrument replacing or supplementing the same.

“Land Use Class” means any of the classes listed in Table 1 under Section 3 below.

“Lot Square Footage” means all of the square footage of a Lot or Assessor’s Parcel reflected on a Final Map, Assessor’s Parcel Map or other document.

“Low Density Residential Property” means an Assessor’s Parcel of Residential Property with an Anticipated Land Use designation of Low Density Residential (LDR) in the current West Roseville Specific Plan.

“Maximum Special Tax” means for each Assessor’s Parcel, the amount determined in accordance with Section 3.C below.

“Medium Density Residential Property” means an Assessor’s Parcel of Residential Property with an Anticipated Land Use designation of Medium Density Residential (MDR) in the current West Roseville Specific Plan.

“Multi-Family Property” means, in any Fiscal Year, all Parcels in CFD No. 5 for which a building permit was issued or may be issued for the construction of a residential structure with multiple units that share common walls, generally all of which are offered for rent to the general public.

“Non-Residential Property” means, in any Fiscal Year, all Parcels in CFD No. 5 for which a building permit was issued or may be issued for the construction of a non-residential structure which are not Single Family Attached Property, Single Family Detached Property, Multi-Family Property, Public Property, Property Owner Association Property or Exempt Property.

“Outstanding Bonds” means all Bonds, which are deemed to be outstanding under the Indenture.

“Planning Area” means the area designated on Attachment 1 and in the tentative subdivision maps for the property within CFD No. 5 on file with the City.

“Prepayment Amount” means the amount required to prepay the Annual Special Tax obligation in full or in part for an Assessor’s Parcel as described in Section 6.A and 6.B, respectively, below.

“Property Owner Association Property” means any Assessor’s Parcel within the boundaries of CFD No. 5 owned in fee by a property owner association, including any master or sub-association.

“Proportionately” or “Proportionate” means for Developed Property, that the ratio of the actual Special Tax levy to the applicable Assigned Special Tax or Maximum Special Tax is equal for all Assessor’s Parcels of Developed Property. For Undeveloped Property, "Proportionately" means that the ratio of the actual Special Tax levy to the Maximum Special Tax is equal for all Assessor’s Parcels of Undeveloped Property. **“Proportionately”** may similarly be applied to other categories of Taxable Property as demonstrated in Section 4 below.

“Provisional Property” means all Assessor’s Parcels of Public Property, Property Owner Association Property or property that would otherwise be classified as Exempt Property pursuant to the provisions of Section 8, but cannot be classified as Exempt Property because to do so would reduce the total Maximum Special Tax or the Backup Special Tax for CFD No. 5.

“Public Property” means any property within the boundaries of CFD No. 5, which is owned by, or irrevocably offered for dedication to the federal government, the State of California, the County, the City or any other public agency; provided however that any property owned by a public agency and leased to a private entity and subject to taxation under Section 53340.1 of the Act shall be taxed and classified in accordance with its use.

“Residential Property” means all Assessor’s Parcels of Developed Property for which a Building Permit has been issued or may be issued for the purpose of constructing one or more Residential Units.

“Residential Unit” means each separate residential dwelling unit that comprises an independent facility capable of conveyance or rental, separate from adjacent residential dwelling units.

“Single Family Attached Property” means, in any Fiscal Year, all Assessor’s Parcels in CFD No. 5 for which a building permit was issued or may be issued for construction of a residential structure consisting of two or more Residential Units that share common walls and are offered as for-sale

Residential Units, including such residential structures that meet the statutory definition of a condominium contained in Civil Code Section 1351.

“Single Family Detached Property” means, in any Fiscal Year, all Assessor’s Parcels in CFD No. 5 for which a building permit was issued or may be issued for construction of a Residential Unit that does not share a common wall with another Residential Unit.

“Special Tax” means any special tax levied within CFD No. 5 pursuant to the Act and this Rate and Method of Apportionment of Special Tax.

“Special Tax Obligation” means the total obligation of an Assessor’s Parcel of Taxable Property to pay the Special Tax for the remaining life of CFD No. 5.

“Special Tax Requirement” means that amount required in any Fiscal Year to: (i) pay regularly scheduled Debt Service on all Outstanding Bonds; (ii) pay periodic costs on the Outstanding Bonds, including but not limited to, credit enhancement and rebate payments on the Outstanding Bonds; (iii) pay Administrative Fees and Expenses; (iv) pay any amounts required to establish or replenish any reserve funds for all Outstanding Bonds; (v) accumulate funds to pay directly for acquisition or construction of facilities provided that the inclusion of such amount does not cause an increase in the Special Tax to be levied on Undeveloped Property; and (vi) pay for reasonably anticipated delinquent Special Taxes based on the delinquency rate for Special Taxes levied in the previous Fiscal Year (subject to limits specified in Section 4); less (vii) a credit for funds available to reduce the Annual Special Tax levy, as determined by the CFD Administrator pursuant to the Indenture.

“State” means the State of California.

“Taxable Property” means all of the Assessor's Parcels within the boundaries of CFD No. 5, which are not exempt from the levy of the Special Tax pursuant to law or Section 8 below.

“Trustee” means the trustee or fiscal agent under the Indenture.

“Undeveloped Property” means, for each Fiscal Year, all Taxable Property not classified as Developed Property or Provisional Property.

2. LAND USE CLASSIFICATION

Each Fiscal Year, beginning with Fiscal Year 2016-17, each Assessor’s Parcel within CFD No. 5 shall be classified as Taxable Property or Exempt Property. In addition, all Taxable Property shall further be classified as Developed Property, Undeveloped Property or Provisional Property, and all such Taxable Property shall be subject to the levy of Special Taxes in accordance with this Rate and Method of Apportionment of Special Tax determined pursuant to Sections 3 and 4 below. Furthermore, each Assessor’s Parcel of Developed Property shall be classified according to its applicable Land Use Class based on its Lot Square Footage and other attributes (definitions of each Land Use Class provided in Section 1 above).

3. SPECIAL TAX RATES

A. Assigned Special Tax for Developed Property

The Assigned Special Tax applicable to an Assessor's Parcel classified as Developed Property in Fiscal Year 2015-16 shall be determined pursuant to Table 1 below.

Table 1
FY 2015-16 Assigned Special Tax

Land Use Class	Assigned Special Tax
Low Density Residential	\$1,688.80 per Residential Unit
Medium Density Residential	\$1,299.08 per Residential Unit
High Density Residential	\$649.54 per Residential Unit
Affordable Units	\$324.77 per Residential Unit
Non-Residential	\$6,495.39 per Acre

On July 1 of each Fiscal Year commencing with Fiscal Year 2016-17, the Assigned Special Tax shall increase by an amount equal to two percent (2%) of the Assigned Special Tax in effect for the prior Fiscal Year.

B. Backup Special Tax

CFD No. 5 is divided into Planning Areas. The geographic description of each Planning Area is based on the planning area designation of the same name. The planning area designations may be found in the Tentative Subdivision Maps for Fiddymont Ranch Phase 3 that are on file with the City and incorporated herein by reference. The Planning Areas are also referenced on the Land Use Diagram, which is included as Attachment 1.

Commencing in Fiscal Year 2015-16, the CFD Administrator will assign a Backup Special Tax to all Assessor's Parcels within CFD No. 5 based on the Acreage of the Assessor's Parcel and the applicable Backup Special Tax Rate described in Table 2 below.

Table 2
FY 2015-16 Backup Special Tax

Planning Area	FY 2015-16 Assessor's Parcel Number	Backup Special Tax per Acre
F-6A1	492-010-033	8,781
F-6A2	492-010-033	\$11,386
F-6B	492-010-033	\$8,526
F-6C1	492-010-033	\$14,875
F-6C2	492-010-033	\$17,641
F-6D	492-010-033	\$6,821
F-7A	492-010-057	\$11,457
F-7B	492-010-057	\$10,997
F-8A	492-010-057	\$15,417
F-8B	492-010-057	\$15,864
F-8C	492-010-057	\$10,213
F-8D	492-010-057	\$6,194
F-9B	492-010-057	\$8,804
F-9C	492-010-057	\$8,528
F-9D	492-010-057	\$5,590
F-10A	492-010-033	\$7,384
F-10B	492-010-033	\$9,929
F-10C	492-010-033	\$7,129
F-11A1	492-010-057	\$9,493
F-11A2	492-010-057	\$11,605
F-11A3	492-010-057	\$10,679
F-11B	492-010-057	\$13,758
F-12	492-010-057	\$9,954
F-13A1	492-010-033	\$8,085
F-13A2	492-010-033	\$7,300
F-13B1	492-010-033	\$9,621
F-13B2	492-010-033	\$9,125
F-13B3	492-010-033	\$8,115

On July 1 of each Fiscal Year commencing with Fiscal Year 2016-17, the Backup Special Tax rates in Table 2 above shall increase by an amount equal to two percent (2%) of the rates in effect for the prior Fiscal Year.

C. Maximum Special Tax for Developed Property

The Maximum Special Tax for each Assessor's Parcel classified as Developed Property in any Fiscal Year shall be the amount determined by the greater of (i) the application of the Assigned Special Tax set forth in Section 3.A or (ii) the application of the Backup Special Tax set forth in Section 3.B.

Notwithstanding the above, for any Fiscal Year in which all Taxable Property within a Planning Area is classified as Developed Property and the CFD Administrator has determined that the total Assigned Special Tax generated by the Developed Property is greater than or equal to the total Assigned Special Tax generated by the Anticipated Land Use for that Planning Area, the Maximum Special Tax for parcels within such Planning Area shall be equal to the Assigned Special Tax.

D. Maximum Special Tax for Undeveloped Property and Provisional Property

The Maximum Special Tax for Provisional Property and Undeveloped Property in any Fiscal Year shall be equal to the Backup Special Tax.

4. METHOD OF APPORTIONMENT

Commencing with Fiscal Year 2016-2017 and for each subsequent Fiscal Year, the CFD Administrator shall levy the Annual Special Tax on all Taxable Property within CFD No. 5 until the amount of Annual Special Tax equals the Special Tax Requirement in accordance with the following steps:

- Step One: The Annual Special Tax shall be levied Proportionately on each Assessor's Parcel of Developed Property at up to 100% of the applicable Assigned Annual Special Tax rate as needed to satisfy the Special Tax Requirement.
- Step Two: If additional moneys are needed to satisfy the Special Tax Requirement after the first step has been completed, the Annual Special Tax shall be levied Proportionately on each Assessor's Parcel of Undeveloped Property at up to 100% of the Maximum Special Tax applicable to each such Assessor's Parcel as needed to satisfy the Special Tax Requirement.
- Step Three: If the sum of the amounts collected in steps one and two is insufficient to satisfy the Special Tax Requirement, then the Annual Special Tax on each Assessor's Parcel of Developed Property shall be increased Proportionately from the Assigned Special Tax up to 100% of the Maximum Special Tax as needed to satisfy the Special Tax Requirement.
- Step Four: If additional moneys are needed to satisfy the Special Tax Requirement after the first three steps have been completed, the Annual Special Tax shall be levied Proportionately on each Assessor's Parcel of Provisional Property at up to 100% of the Maximum Special Tax applicable to each such Assessor's Parcel as needed to satisfy the Special Tax Requirement.

Under no circumstances will the Annual Special Tax levied against any Assessor's Parcel used as a private residence be increased as a consequence of delinquency or default by the owner of any other Assessor's Parcel or Assessor's Parcels by more than ten percent (10%) of the Special Tax that would be levied in that Fiscal Year, if there were no delinquencies, pursuant to

California Government Code Section 53321(d), as in effect on the date of formation of CFD No. 5.

5. COLLECTION OF SPECIAL TAXES

Collection of the Annual Special Tax shall be made by the County in the same manner as ordinary ad valorem property taxes are collected and the Annual Special Tax shall be subject to the same penalties and the same lien priority in the case of delinquency as ad valorem taxes provided, however, that the Council may provide for (i) other means of collecting the Special Tax, including direct billings thereof to the property owners, and (ii) judicial foreclosure of delinquent Annual Special Taxes.

6. PREPAYMENT OF SPECIAL TAX OBLIGATION

A. Prepayment in Full

Property owners may prepay and permanently satisfy the Special Tax Obligation by a cash settlement with the City as permitted under Government Code Section 53344. The following definitions apply to this Section 6:

“CFD Public Facilities Costs” means \$47,280,000 in Fiscal Year 2015-16 dollars, which shall increase by 2% on July 1, 2016, and on each July 1 thereafter, or such lower number as (i) shall be determined by the CFD Administrator as sufficient to acquire or construct the facilities to be financed under the Act and financing program for CFD No. 5, or (ii) shall be determined by the Council concurrently with a covenant that it will not issue any more Bonds (except refunding bonds).

“Construction Fund” means the fund (regardless of its name) established pursuant to the Indenture to hold funds, which are currently available for expenditure to acquire or construct the facilities or pay fees.

“Future Facilities Costs” means the CFD Public Facilities Costs minus the construction funds provided by all Previously Issued Bonds and any facilities costs funded directly from Special Tax proceeds.

“Outstanding Bonds” means all Previously Issued Bonds, which remain outstanding as of the first interest and/or principal payment date following the current Fiscal Year excluding Bonds to be redeemed at a later date with proceeds of prior Special Tax prepayments.

“Previously Issued Bonds” means all Bonds that have been issued prior to the date of prepayment.

The Special Tax Obligation applicable to an Assessor’s Parcel of Developed Property, or Undeveloped Property for which a Final Map has been recorded may be prepaid and the obligation to pay the Special Tax for such Assessor’s Parcel permanently satisfied as described herein, provided that a prepayment may be made with respect to a particular Assessor’s Parcel only if there are no delinquent Special Taxes with respect to such Assessor’s Parcel at the time of prepayment. An owner of an Assessor’s Parcel eligible to prepay the Special Tax Obligation shall provide the CFD Administrator with written notice of intent to prepay the identified parcel(s), and designate or identify the company or agency that will be acting as the escrow agent, if any. The CFD Administrator may charge

a reasonable fee for providing this service, which shall be paid at the time of the request, and shall provide the owner with a statement of the Prepayment Amount for such Assessor's Parcel within thirty (30) days of the request., Prepayment by the owner must be made at least 60 days prior to any redemption date for the CFD No. 5 Bonds to be redeemed with the proceeds of such prepaid Special Taxes, unless a shorter period is acceptable to the Trustee and the City.

The Prepayment Amount (defined below) shall be calculated for each applicable Assessor's Parcel or group of Assessor's Parcels as summarized below (capitalized terms as defined below):

Bond Redemption Amount
plus Redemption Premium
plus Future Facilities Prepayment Amount
plus Defeasance Amount
plus Prepayment Administrative Fees and Expenses
less Reserve Fund Credit
less Capitalized Interest Credit
Total: equals Prepayment Amount

As of the proposed date of prepayment, the Prepayment Amount (defined below) shall be calculated as follows:

Step No.:

1. Confirm that no Special Tax delinquencies apply to such Assessor's Parcel.
2. For Assessor's Parcels of Developed Property, determine the Maximum Special Tax. For Assessor's Parcels of Undeveloped Property for which a Final Map has been recorded, compute the Maximum Special Tax for that Assessor's Parcel as though it was already designated as Developed Property, based upon the proposed land use for that Assessor's Parcel.
3. Divide the Maximum Special Tax computed pursuant to paragraph 2 by the total expected Maximum Special Tax revenue for CFD No. 5 assuming all Final Maps have been recorded within CFD No. 5 in accordance with the approved tentative subdivision maps on file with the City, excluding any Assessor's Parcels for which the Special Tax Obligation has been previously prepaid.
4. Multiply the quotient computed pursuant to paragraph 3 by the Outstanding Bonds to compute the amount of Outstanding Bonds to be retired and prepaid for all applicable parcels (the "Bond Redemption Amount") This amount may be increased to satisfy the debt service coverage limitations of this section.
5. Multiply the Bond Redemption Amount computed pursuant to paragraph 4 by the applicable redemption premium (expressed as a percentage), if any, on the Outstanding Bonds to be redeemed at the first available call date (the "Redemption Premium").
6. Compute the Future Facilities Costs.

7. Multiply the quotient computed pursuant to paragraph 3 by the amount determined pursuant to paragraph 6 to compute the amount of Future Facilities Costs to be prepaid (the "Future Facilities Prepayment Amount").
8. Compute the amount needed to pay interest on the Bond Redemption Amount from the first bond interest and/or principal payment date following the current Fiscal Year until the expected redemption date for the Outstanding Bonds which, depending on the Indenture, may be as early as the next interest payment date.
9. Compute the amount the CFD Administrator reasonably expects to derive from the reinvestment of the Prepayment Amount less the Future Facilities Prepayment Amount and the Prepayment Administrative Fees from the date of prepayment until the redemption date for the Outstanding Bonds to be redeemed with the prepayment.
10. Subtract the amount computed in paragraph 9 from the amount computed in paragraph 8 (the "Defeasance Amount").
11. Calculate the administrative fees and expenses of CFD No. 5, including the costs of computation of the prepayment, the costs to invest the prepayment proceeds, the costs of redeeming Debt of CFD No. 5, and the costs of recording any notices to evidence the prepayment and the redemption, less any prepaid fees (the "Prepayment Administrative Fees").
12. If reserve funds for the Outstanding Bonds, if any, are at or above 100% of the reserve requirement (as defined in the Indenture) on the prepayment calculation date, a reserve fund credit shall be calculated as a reduction in the applicable reserve fund for the Outstanding Bonds to be redeemed pursuant to the prepayment (the "Reserve Fund Credit"). No Reserve Fund Credit shall be granted if, after the Prepayment Amount is calculated, reserve funds are below 100% of the reserve requirement.
13. If any capitalized interest for the Outstanding Bonds will not have been expended at the time of the first interest and/or principal payment following the current Fiscal Year, a capitalized interest credit shall be calculated by multiplying the quotient computed pursuant to paragraph 3 by the expected balance in the capitalized interest fund after such first interest and/or principal payment (the "Capitalized Interest Credit").
14. The amount to prepay the Special Tax Obligation is equal to the sum of the amounts computed pursuant to paragraphs 4, 5, 7, 10, and 11, less the amounts computed pursuant to paragraphs 12 and 13 (the "Prepayment Amount").
15. From the Prepayment Amount, the sum of the amounts computed pursuant to paragraphs 4, 5, and 10, less the amounts computed pursuant to paragraphs 12 and 13 shall be deposited into the appropriate fund as established under the Indenture and be used to retire Outstanding Bonds or make Debt Service payments. The amount computed pursuant to paragraph 7 shall be deposited into the Improvement Fund (as defined in the Indenture). The amount computed pursuant to paragraph 11 shall be retained by CFD No. 5.

The Prepayment Amount may be sufficient to redeem an amount other than a \$5,000 increment of CFD No. 5 Bonds. In such cases, the increment above \$5,000 or integral

multiple thereof will be retained in the appropriate fund established under the Indenture to redeem CFD No. 5 Bonds to be used with the next prepayment of CFD No. 5 Bonds.

The CFD Administrator will confirm that all previously levied Special Taxes have been paid in full. With respect to any Assessor's Parcel for which the Special Tax Obligation is prepaid in full, once the CFD Administrator has confirmed that all previously levied Special Taxes have been paid, the Council shall cause a suitable notice to be recorded in compliance with the Act, to indicate the prepayment of the Special Tax and the release of the Special Tax lien on such Assessor's Parcel, and the obligation of the owner of such Assessor's Parcel to pay the Special Tax shall cease.

Notwithstanding the foregoing, no Special Tax prepayment shall be allowed unless the aggregate amount of Maximum Special Taxes less Priority Administrative Expenses (as defined in the Indenture) that may be levied on Taxable Property, respectively, after the proposed prepayment is at least 1.1 times the Debt Service on all Outstanding Bonds in each Fiscal Year.

B. Partial Prepayment

The Special Tax on an Assessor's Parcel of Developed Property or Undeveloped Property for which a Final Map has been recorded may be partially prepaid. The amount of the prepayment shall be calculated as in Section 6.A.; except that a partial prepayment shall be calculated according to the following formula:

$$PP = (PE - A) \times F + A$$

These terms have the following meaning:

PP = the partial prepayment

PE = the Prepayment Amount calculated according to Section 6.A

F = the percentage by which the owner of the Assessor's Parcel(s) is partially prepaying the Special Tax Obligation

A = the Prepayment Administrative Fees and Expenses from Section 6.A

The owner of any Assessor's Parcel who desires such partial prepayment shall notify the CFD Administrator of (i) such owner's intent to partially prepay the Special Tax Obligation, (ii) the percentage by which the Special Tax Obligation shall be prepaid, and (iii) the company or agency that will be acting as the escrow agent, if any. The CFD Administrator shall provide the owner with a statement of the amount required for the partial prepayment of the Special Tax Obligation for an Assessor's Parcel within sixty (60) days of the request and may charge a reasonable fee for providing this service.

With respect to any Assessor's Parcel that is partially prepaid, the City shall (i) distribute the funds remitted to it according to Section 6.A., and (ii) indicate in the records of CFD No. 5 that there has been a partial prepayment of the Special Tax Obligation and that a portion of the Special Tax with respect to such Assessor's Parcel, equal to the outstanding percentage $(1.00 - F)$ of the Maximum Special Tax, shall continue to be levied on such Assessor's Parcel.

Notwithstanding the foregoing, no partial prepayment shall be allowed unless the aggregate amount of Maximum Special Taxes less Administrative Expenses that may be levied on Taxable Property, respectively, after the proposed partial prepayment is at least 1.1 times the Debt Service on all Outstanding Bonds in each Fiscal Year.

7. TERM OF SPECIAL TAX

The Special Tax shall be levied as long as necessary to meet the Special Tax Requirement for a period not to exceed fifty (50) Fiscal Years commencing with Fiscal Year 2016-17 (except that a Special Tax that was lawfully in or before such Fiscal Year and that remains delinquent may be collected in subsequent years), provided however that the Special Tax will cease to be levied in an earlier Fiscal Year if the CFD Administrator has determined that all CFD No. 5 obligations have been satisfied.

8. EXEMPTIONS

Notwithstanding any other provision of this RMA, no Special Tax shall be levied on an Assessor's Parcel of Public Property, except the following: (i) an Assessor's Parcel of Public Property within CFD No. 5 that is leased to a private entity and subject to the levy of the Special Tax pursuant to Section 53340.1 of the Act; or (ii) an Assessor's Parcel of Taxable Property within CFD No. 5 that is acquired by a public agency following the formation of CFD No. 5 (which will remain subject to the applicable Special Tax unless the Special Tax obligation is satisfied pursuant to Section 53317.5 of the Act).

If an Assessor's Parcel of Public Property, or portion thereof, that is an Exempt Property (e.g. a school-owned parcel at the time of formation of CFD No. 5) is transferred to private ownership and an Assessor's Parcel, or portion thereof, that is Taxable Property becomes Public Property instead, then the parcel, or portion thereof, that was formerly Public Property and Exempt Property shall become Taxable Property and classified according to its use and the formerly Taxable Property shall become Exempt Property. This trading of an Assessor's Parcel from Taxable Property to Exempt Property will be permitted, without Resolution or Ordinance of the Council, to the extent that the transfer is agreed to by the owners of the Assessor's Parcels involved in the transfer and the City, and does not reduce the total aggregate annual Maximum Special Tax for CFD No. 5.

In addition to the exemptions described above, for each Fiscal Year and for each Planning Area, the CFD Administrator shall classify Assessor's Parcels of the following uses as Exempt Property sequentially and in the following order of priority: (i) Assessor's Parcels with public or utility easements making impractical their utilization for other than the purposes set forth in the easement, (ii) Assessor's Parcels which are used as places of worship and are exempt from ad valorem property taxes because they are owned by a religious organization, and (iii) Assessor's Parcels of Property Owner Association Property; provided, however, that no such classification would reduce the Acreage of Taxable Property within each Planning Area below the expected Acreage of Taxable Property shown in Table 3 below, except that Acreage of Taxable Property eliminated from one Planning Area may be moved to another Planning Area to the extent that the transfer is agreed to by the owners of the parcels involved.

Any such Assessor's Parcel of Public Property, Property Owner Association Property or other property that would otherwise be exempt from the Special Tax but upon which a Special Tax

shall be levied in accordance with this Section 8 shall be classified as Provisional Property and taxed in accordance with its use.

Table 3
Expected Taxable Acreage

Planning Area	FY 2015-16 Assessor's Parcel Number	Taxable Acreage
F-6A1	492-010-033	18.58
F-6A2	492-010-033	13.55
F-6B	492-010-033	7.80
F-6C1	492-010-033	12.38
F-6C2	492-010-033	13.3
F-6D	492-010-033	4.84
F-7A	492-010-057	10.37
F-7B	492-010-057	10.32
F-8A	492-010-057	11.06
F-8B	492-010-057	10.92
F-8C	492-010-057	15.28
F-8D	492-010-057	10.88
F-9B	492-010-057	14.10
F-9C	492-010-057	17.26
F-9D	492-010-057	12.69
F-10A	492-010-033	29.30
F-10B	492-010-033	20.54
F-10C	492-010-033	19.90
F-11A1	492-010-057	8.78
F-11A2	492-010-057	8.71
F-11A3	492-010-057	11.79
F-11B	492-010-057	15.07
F-12	492-010-057	17.28
F-13A1	492-010-033	9.87
F-13A2	492-010-033	11.66
F-13B1	492-010-033	13.64
F-13B2	492-010-033	8.94
F-13B3	492-010-033	8.96

9. INTERPRETATION, APPLICATION AND APPEAL OF SPECIAL TAX FORMULA AND PROCEDURES

Any taxpayer who feels the amount of the Special Tax assigned to a Parcel is in error may file a notice with the Administrator appealing the levy of the Special Tax. The Administrator then will promptly review the appeal and, if necessary, meet with the applicant. If the Administrator verifies that the tax should be modified or changed, the Special Tax levy will be corrected and, if applicable in any case, a credit or refund will be granted.

Interpretations may be made by the City, without Resolution or Ordinance of the Council, for purposes of clarifying any vagueness or ambiguity as it relates to the Special Tax rate, the method of apportionment, the classification of properties, or any definition applicable to the CFD.

Without Council approval, the Administrator may make minor, non-substantive administrative and technical changes to the provisions of this document that do not materially affect the rate, method of apportionment, and manner of collection of the Special Tax for purposes of administrative efficiency or convenience or to comply with new applicable federal, state, or local law.

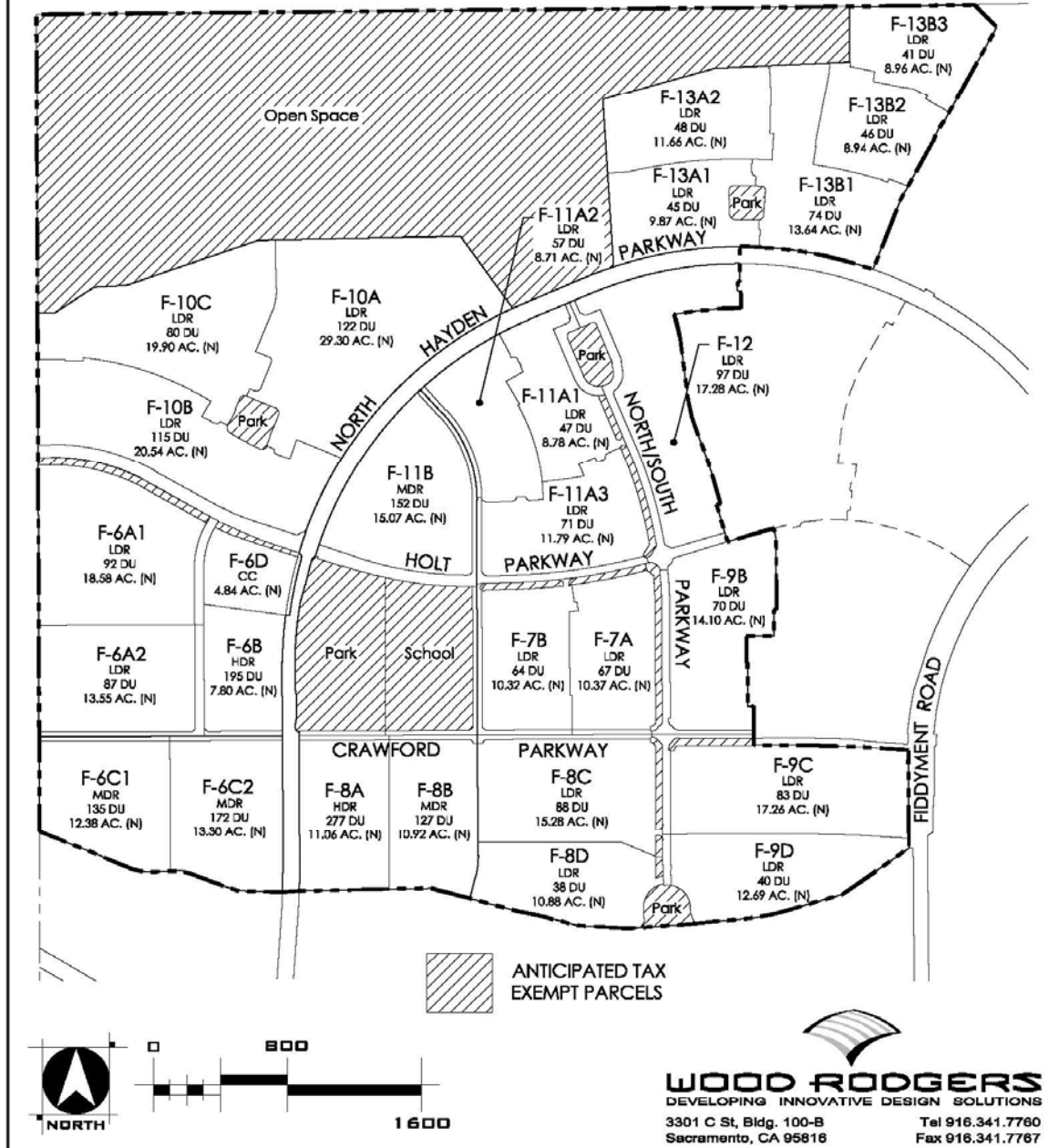
The City, upon request of an owner of land within the CFD which is not Developed Property, may also amend this RMA to reallocate the Special Tax applicable to such owner's land in a manner acceptable to the City, without Resolution or Ordinance of the Council, upon the affirmative vote of such owner and without the vote of owners of any other land within the CFD, provided such amendment (i) only affects the such owner's land, (ii) does not reduce the total annual Maximum Special Tax revenue for the CFD, and (iii) provides for a Special Tax distribution upon development of such land which is reasonably proportional and consistent with Special Tax rates shown in Table 1 (including escalations thereto) for similar land uses and is compliant with the tax assessment loan exception contained in U.S. Treasury Regulation Section 1.141-5(d).

Attachment 1
LAND USE DIAGRAM

COMMUNITY FACILITIES DISTRICT #5 FIDDYMENT RANCH

CITY OF ROSEVILLE, CALIFORNIA

DECEMBER 2015



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FIDDYMENT RANCH CFD #5 EXHIBIT



COUNCIL COMMUNICATION

CC #: 7519

File #: 0709

Title: Tax and Equity Fiscal Responsibility Act Hearing for Manzanita Place Apartments

Contact: Jeannine Thrash 916-774-5473 jthrash@roseville.ca.us

Monty Hanks 916-774-5313 mhanks@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 9.5.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council take the following actions:

- Conduct the public hearing under requirements of the Tax and Equity Fiscal Responsibility Act ("TEFRA") and the Internal Revenue Code of 1986, as amended (the "Code").
- Adopt the resolution approving the issuance of the Bonds by the California Municipal Finance Authority (CMFA) for the benefit of Volunteers of America National Services ("VOANS"), a California nonprofit public benefit corporation and an organization described in Section 501 (c)(3) of the Internal Revenue Code of 1986, as amended (the "Code") (or and affiliate thereof or successor thereto) (the "Borrower"), to provide for the purpose of financing the costs of the acquisition and rehabilitation of a 63 unit multifamily residential rental facility for seniors located at 1019 Madden Lane in the City of Roseville known as Manzanita Place (the "Project") to be owned by the Borrower and to be operated initially by VOANS or an affiliate thereof, such adoption is solely for the purpose of satisfying the requirements of TEFRA, the Code and the California Government Code Section 6500 (and following).

BACKGROUND

Volunteers of America National Services requested that the California Municipal Finance Authority (CMFA) serve as a municipal issuer of the Bonds in an aggregate principal amount not to exceed \$10,000,000. The proceeds of the Bonds will be used for financing the costs of the acquisition and rehabilitation of a 63 unit multifamily residential rental facility for seniors located at 1019 Madden Lane in the City of Roseville known as Manzanita Place, to be owned by the Borrower and to be operated initially by VOANS or an affiliate thereof.

California Municipal Finance Authority

The California Municipal Finance Authority ("CMFA") was created on January 1, 2004 pursuant to a joint exercise of powers agreement to promote economic, cultural and community development, through the financing of economic development and charitable activities throughout California. To

date, over 220 municipalities, including the City of Roseville, have become members to CMFA.

The CMFA was formed to assist local governments, non-profit organizations and businesses with the issuance of taxable and tax-exempt Bonds aimed at improving the standard of living in California. The CMFA's representatives and its Board of Directors have considerable experience in bond financings.

The Joint Exercise of Powers Agreement provides that the CMFA is a public entity, separate and apart from each member executing such agreement. The debts, liabilities and Bonds of the CMFA do not constitute debts, liabilities or Bonds of the members executing such agreement.

The Bonds to be issued by the CMFA for the Project will be the sole responsibility of the Borrower, and the City will have no financial, legal, moral obligation, liability or responsibility for the Project or the repayment of the Bonds for financing the Project. All financing documents with respect to the issuance of the Bonds will contain clear disclaimers that the Bonds are not Bonds of the City or the State of California, but are to be paid for solely from funds provided by the Borrower.

Participation by the City in the CMFA will not impact the City's appropriations limits and will not constitute and type of indebtedness by the City. Outside of holding the TEFRA hearing and adopting the required resolution, no other participation or activity of the City of the City Council with respect to the issuance of the Bonds will be required.

FISCAL IMPACT

The Board of Directors of the California Foundation for Stronger Communities, a California nonprofit public benefit corporation ("the "Foundation"), acts as a Board of Directors for the CMFA. Through its conduit issuance activities, the CMFA shares a portion of the issuance fees it receives with its member communities and donates a portion of these issuance fees to the Foundation for the support of local charities. With respect to the City of Roseville, it is expected that 25% of the issuance fee will be granted by the CMFA to the general fund of the City. Such grant may be used for any lawful purpose of the City.

ECONOMIC DEVELOPMENT / JOBS CREATED

Support of the request will allow Volunteers of America National Services to continue to provide high quality, affordable housing for 62 senior households for another 55 years in the City of Roseville.

ENVIRONMENTAL REVIEW

Conducting a TEFRA hearing is not considered a project as defined by the California Environmental Quality Act (CEQA) based on Section 15378 of the CEQA Guidelines. No other CEQA action is required.

Respectfully Submitted,

Jeannine Thrash, Administrative Analyst

Monty Hanks, Finance Director



FOR

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-91

Notice of Public Hearing

RESOLUTION NO. 16-91

APPROVING THE ISSUANCE OF MULTIFAMILY HOUSING REVENUE BONDS BY THE CALIFORNIA MUNICIPAL FINANCE AUTHORITY FOR THE PURPOSE OF FINANCING THE ACQUISITION AND REHABILITATION OF A MULTIFAMILY RENTAL HOUSING FACILITY FOR SENIORS KNOWN AS MANZANITA PLACE

WHEREAS, pursuant Chapter 5 of Division 7 of Title 1 of the Government Code of the State of California (the “Act”), certain public agencies (the “Members”) have entered into a Joint Exercise of Powers Agreement, effective on January 1, 2004 (the “Agreement”) in order to form the California Municipal Finance Authority (the “Authority”), for the purpose of promoting economic, cultural and community development, and in order to exercise any powers common to the Members, including the issuance of bonds, notes, or other evidences of indebtedness; and

WHEREAS, the City of Roseville (the “City”) is a member of the Authority; and

WHEREAS, the Authority is authorized to issue and sell revenue bonds for the purpose, among others, of financing or refinancing the acquisition, construction and rehabilitation of multifamily rental housing projects; and

WHEREAS, Volunteers of America National Services (“VOANS”) has requested that the Authority issue one or more series of revenue bonds in an aggregate principal amount not to exceed \$10,000,000 (the “Bonds”), and lend the proceeds of the Bonds to Manzanita VOA Affordable Housing, LP, a California limited partnership to be formed by VOANS (the “Borrower”) for the purpose of financing the costs of the acquisition and rehabilitation of a 63 unit multifamily residential rental facility for seniors located at 1019 Madden Lane in the City known as Manzanita Place (the “Project”), to be owned by the Borrower and to be operated initially by VOANS or an affiliate thereof; and

WHEREAS, in order for the interest on Bonds to be tax-exempt, section 147(f) of the Internal Revenue Code of 1986, as amended (the “Code”) requires that an “applicable elected representative” of the governmental unit, the geographic jurisdiction of which contains the site of Project to be financed with the proceeds of the Bonds, hold a public hearing on the issuance of the Bonds and approve the issuance of the Bonds following such hearing; and

WHEREAS, the Authority has determined that this Council is an “applicable elected representative” for purposes of holding such hearing; and

WHEREAS, the Authority has requested that this Council approve the issuance of the Bonds by the Authority in order to satisfy the public approval requirements of Section 147(f) of the Code and the requirements of Section 4 of the Agreement; and

WHEREAS, pursuant to section 147(f) of the Code, this Council has, following notice duly given, held a public hearing regarding the issuance of the Bonds and the financing of the Project at which all those interested in speaking with respect to the financing for the Project and

the Authority's issuance of the Bonds therefore were heard, and this Council now desires to approve the issuance of the Bonds by the Authority.

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville as follows:

Section 1: The above recitals are true and correct.

Section 2: The Council hereby approves the issuance of the Bonds by the Authority for the purpose of providing funds to make a loan to the Borrower to enable the Borrower to finance costs of the Project. It is the purpose and intent of the Council that this Resolution constitute approval of the issuance of the Bonds by the Authority for the purposes of: (a) section 147(f) of the Code, by the applicable elected representative of the governmental unit having jurisdiction over the area in which the Project is located, in accordance with section 147(f) of the Code, and (b) Section 4 of the Agreement.

Section 3: The issuance of the Bonds shall be subject to approval of the Authority of all financing documents relating thereto to which the Authority is a party. The City shall have no responsibility or liability whatsoever with respect to repayment or administration of the Bonds.

Section 4: The adoption of this Resolution shall not obligate the City or any department thereof to (a) provide any financing to acquire or rehabilitate the Project; (b) approve any application or request for or take any other action in connection with any planning approval, permit or other action necessary for the acquisition, rehabilitation or operation of the Project; (c) make any contribution or advance any funds whatsoever to the Authority; or (d) take any further action with respect to the Authority or its membership therein.

Section 5: The Mayor, the City Manager and all other officers and officials of the City are hereby authorized and directed to do any and all things and to execute and deliver any and all agreements, documents and certificates which they deem necessary or advisable in order to carry out, give effect to and comply with the terms and intent of this Resolution and the financing transaction approved hereby.

Section 6: The City Clerk is hereby directed to forward a certified copy of this Resolution to the bond counsel for the Bonds, addressed as follows:

Paul J. Thimmig, Esq.
Quint & Thimmig LLP
900 Larkspur Landing Circle, Suite 270
Larkspur, CA 94939-1726

PASSED AND ADOPTED by the Council of the City of Roseville, this ____ day of _____, 2016, by the following vote on roll call:

AYES COUNCILMEMBERS:
NOES COUNCILMEMBERS:
ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

03042.102:J13861
2/17/16



COUNCIL COMMUNICATION

CC #: 7501

File #: 0400-04-13

Title: Creekview Specific Plan - Specific Plan Amendment

Contact: Wayne Wiley 774-5283 wwiley@roseville.ca.us

Meeting Date: 3/16/2016

Item #: 9.6.

RECOMMENDATION TO COUNCIL

The Planning Commission recommends that the City Council take the following action:

A. Adopt the one finding of fact and approve the Specific Plan Amendment.

BACKGROUND

The Creekview Specific Plan (CSP) is located at the northwest corner of the City, bounded by the West Roseville Specific Plan on the east and south, the Al Johnson Wildlife Area (Reason Farms) on the west, and unincorporated Placer County on the north. The specific plan was approved by City Council on September 19, 2012, and established the land use and zoning designations for the plan area. Following that approval, on February 28, 2013, a Large Lot Tentative Map subdividing the 501 acre plan area into 53 large lots was approved by the Planning Commission.

PUBLIC OUTREACH: Two public hearing notices were mailed to property owners within a 300-foot radius of the project site and to the RCONA executive board for the Planning Commission hearing and City Council meeting. On January 19, 2016, staff was contacted by the president of the Westpark Neighborhood Association (Loren Cook) regarding concerns over the potential change in the number of dwelling units in the specific plan. Staff informed Mr. Cook that units are proposed to be transferred between CSP large lot parcels and that the total number of units originally approved for the specific plan would not change. Mr. Cook had no further questions or comments.

SUMMARY EVALUATION: The applicant proposes to transfer a total of 68 residential units between 23 Creekview Specific Plan large lot parcels. Twenty-seven units will be transferred from LDR and MDR large lot parcels to HDR large lot parcels. In addition, MDR Parcels C-25 and C-26 will transfer 10 and 14 units, respectively, which will result in a transfer of more than 20% for each parcel. As proposed, Parcel C-25 will be reduced from 35 units to 25 units (- 30%) and C-26 will be reduced from 35 to 21 units (- 40%).

The CSP includes a residential density and unit count for each residential large lot, as listed in CSP Table 4-2. The CSP also recognizes that as individual residential small-lot tentative maps are processed, a more detailed assessment of site, market, and other conditions will occur. Accordingly, the CSP Implementation Chapter includes a policy to allow residential density adjustments and unit

transfers by approval of an Administrative Permit provided the seven criteria listed in the specific plan are met, or by approval of a SPA if a project is not consistent with all seven criteria (see Attachment 1, Page 2). With the exception of the two criteria noted below, the project is consistent with the Specific Plan standards related to unit transfers.

The proposed HDR unit transfer is not occurring between HDR lots, and therefore, is not consistent with one of the seven criteria. However, staff finds that the transfer will balance the total number of units within the plan area and will result in higher densities for the two effected HDR parcels.

Parcel (Land Use)	SP Allocated Units	T-map Proposed Units	SP Acres (Gross)	T-map Acres (Gross)	SP Density (du/ac)	T-map Density (du/ac)	Diff. In units	% unit change
C-40	135	151	5.12	5.2	26.4	29	+16	+ 11.9%
C-41	165	176	6.02	6	27.4	29.3	+11	+ 6.6%

Furthermore, the proposed unit transfer will not impact any affordable housing obligations and will result in additional units located adjacent to future Bus Rapid Transit routes and bus transfer stations, consistent with the City's adopted Blueprint Implementation Strategies of locating higher densities near public transportation locations.

Parcels C-25 and C-26 will result in a unit change of more than 20%, which triggers the requirement of a SPA. While this transfer is inconsistent with one of the criteria listed in the specific plan, the cumulative net change in units is relatively minor and the proposed 24 unit transfer only accounts for 4% of the total number of MDR units approved for the plan area. In addition, the land use designations of the MDR large lot parcels will not change and the unit transfers will allow for a more developable density as discussed in the Planning Commission staff report DRRS evaluation (see Attachment 1, Page 6).

COMMISSION DISCUSSION & PUBLIC COMMENT: At the meeting on January 29, 2016, the Planning Commission reviewed the Creekview Tentative Subdivision Map project (see Attachment 1). During the meeting, the Planning Commission addressed the potential to mitigate for tree removals with onsite plantings, instead of payment of in lieu fees, due to increased rainfall with this year's El Niño weather pattern. In response to that comment, staff noted that the project proponents could revisit the arborist's recommendation and consider mitigating through a combination of onsite plantings and payment of in lieu fees.

The applicant addressed the Commission and spoke in favor of the project. Mr. Ragland also responded to the Commission's comment regarding on site plantings and noted that one year of increased rainfall would likely not support the long term water demands required for the native oak trees. The Commission agreed and no other public comment was received. At the conclusion of the public hearing, the Planning Commission unanimously recommended that the City Council adopt the applicable finding and approve the SPA.

FISCAL IMPACT

The transfer of units between large lots within the specific plan will have a negligible fiscal impact.

ECONOMIC DEVELOPMENT / JOBS CREATED

N/A

ENVIRONMENTAL REVIEW

The Project is exempt from the California Environmental Quality Act (CEQA) pursuant to CEQA Guidelines Section 15182, which states:

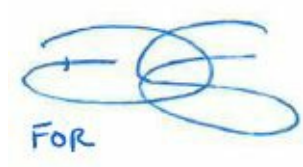
Where a public agency has prepared an EIR on a specific plan after January 1, 1980, no EIR or negative declaration need be prepared for a residential project undertaken pursuant to and in conformity to that specific plan if the project meets the requirements of this section.

The exemption applies unless one of the conditions requiring a Subsequent, Supplemental, or Addendum environmental document exist (pursuant to CEQA Guidelines Section 15160–15170). The project is consistent with the adopted specific plan. A Final Environmental Impact Report was certified for the CSP on September 4, 2012 (State Clearinghouse Number 2002082057) and a Final Subsequent Environmental Impact Report was certified for the project area on April 16, 2014 (State Clearinghouse Number 2010082075), and none of the conditions exist which would make the exemption inapplicable. City staff determined that the adopted infrastructure and financing plans are sufficient to support the Project, making any additional studies unnecessary, and that no material alterations have occurred on the site or in the vicinity which would require additional discussions or analysis. Mitigation adopted as part of the CSP FEIR and the Final Subsequent EIR will apply to the proposed Project.

Respectfully Submitted,

Wayne Wiley, Associate Planner

Kevin Payne, Development Services Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

Resolution No. 16-79

Attachment 1 - Staff Report

Exhibit A - Land Use by Parcel (Unit Reallocation)

Exhibit B - Land Use Summary

Exhibit C - Tentative Subdivision Map

Exhibit D - Project Phasing Plan

Exhibit E - Utility & Roadway Phasing Guide

Exhibit F - Open Space Master Access Plan

Exhibit G - Development Standards Table

Exhibit H - DRRS Packet

Exhibit I - Arborist Report 1/19/07

Exhibit J - Arborist Report 10/13/15

Attachment 2 - Land Use Plan

RESOLUTION NO. 16-79

AMENDING THE CREEKVIEW SPECIFIC PLAN

WHEREAS, the applicant proposes to transfer a total of 68 residential units between 23 Creekview Specific Plan large lot parcels as shown in Exhibits A & B of the Planning Commission staff report, dated January 28, 2016, and the proposed transfer requires a Specific Plan Amendment; and

WHEREAS, the Planning Commission unanimously recommended that the City Council approve the proposed Specific Plan Amendment; and

WHEREAS, the Specific Plan Amendment as proposed and revised is consistent with the adopted City of Roseville General Plan; and

WHEREAS, the Council of the City of Roseville has reviewed the findings of the Planning Commission recommending approval of the Specific Plan Amendment, and makes the following findings:

The Specific Plan Amendment is consistent with the objectives, policies, general land uses and programs specified in the City of Roseville General Plan and the Creekview Specific Plan;

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Roseville that the proposed changes to Creekview Specific Plan are adopted as set forth in above Exhibits A & B.

PASSED AND ADOPTED by the Council of the City of Roseville this _____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

ITEM V-A: SPECIFIC PLAN AMENDMENT, TENTATIVE SUBDIVISION MAP, DESIGN REVIEW FOR RESIDENTIAL SUBDIVISION & TREE PERMIT – 3000 WESTBROOK BLVD – CREEKVIEW SPECIFIC PLAN, VILLAGES C1 – C13, C20 – C30, C40 – C42, C50 – C54, C60 – C63, C70, C71, C80 – C84, C90, & C100 – FILE NUMBER PL14-0522

REQUEST

The applicant requests approval of a Specific Plan Amendment to transfer 68 residential units between 23 Creekview Specific Plan large lot parcels including unit transfers in excess of 20% for two large lot MDR parcels and the transfer of units from MDR to HDR parcels, a Tentative Subdivision Map to create 856 single-family lots with eight (8) open space/paseo lots over 15 parcels and accommodate minor acreage adjustments to the specific plan large lot parcels, a Design Review for Residential Subdivision to create conceptual home plans, and a Tree Permit to remove 76 trees to accommodate development.

Applicant/Property Owner – David Ragland, Granite Bay Development (GBD)

SUMMARY RECOMMENDATION

The Planning Division recommends the Planning Commission take the following actions:

- A. Recommend City Council adopt the one finding of fact and approve the Specific Plan Amendment;
- B. Adopt the three (3) findings of fact for the Tentative Subdivision Map;
- C. Approve the Tentative Subdivision Map subject to 115 conditions of approval;
- D. Adopt the two (2) findings of fact for the Design Review for Residential Subdivision;
- E. Approve the Design Review for Residential Subdivision subject to 7 conditions of approval;
- F. Adopt the two (2) findings of fact for the Tree Permit; and
- G. Approve the Tree Permit subject to 21 conditions of approval.

SUMMARY OF OUTSTANDING ISSUES

There are no outstanding issues associated with this request. The applicant has reviewed and is in agreement with the recommended conditions of approval.

BACKGROUND

The Creekview Specific Plan (CSP) is located at the northwest corner of the City, bounded by the West Roseville Specific Plan on the east and south, the Al Johnson Wildlife Area (Reason Farms) on the west, and unincorporated Placer County on the north (see Figure 1). The unincorporated land to the north is the proposed Amoroso Ranch Specific Plan. When approved, this plan will include 2,827 residential units in a mix of low, medium and high density. The land use plan will also include three commercial parcels totaling 55.5 acres, a 7-acre elementary school site, six neighborhood parks and a 6.9-acre fire station/public facilities site.

The CSP was approved by City Council on September 19, 2012, and established the land use designations and zoning standards for the specific plan area. Following that approval, on February 28, 2013, a Large Lot Tentative Map to subdivide the 501 acre plan area into 53 large lots (consistent with the adopted land use plan) was approved by the Planning Commission (2007PL-059).

Figure 1: Location Map



At this time, the applicant is requesting approval of the above noted entitlements to allow development of the subject parcels consistent with the approved large lot tentative map and CSP.

SITE INFORMATION

Location: West of Fiddymment Rd, North of Blue Oaks Blvd - APN 017-101-007, -012, -013, -014, and -015.

Total Size: ±461.3 acres

Topography and Setting: The project site primarily consists of undeveloped flat open annual grasslands to the north and gently rolling topography to the south. Pleasant Grove Creek and an associated riparian corridor traverses the site diagonally in a northwesterly direction, with University Creek located near the northern boundary. Several native oak trees and seasonal wetlands are dispersed throughout site, with a concentration along Pleasant Grove Creek and within the northern preserve. The properties to the east and west are anticipated for urban development, and the area to the north is designated for open space.

EVALUATION – SPECIFIC PLAN AMENDMENT

The applicant proposes to transfer 68 residential units between 23 Creekview Specific Plan large lot parcels. Twenty-seven HDR units will be transferred from LDR and MDR large lot parcels. In addition, MDR Parcels C-25 and C-26 will transfer 10 and 14 units, respectively, which will result in a transfer of more than 20% for each parcel.

The CSP includes a residential density and unit count for each residential large lot, as listed in CSP Table 4-2. However, the CSP recognizes that as individual residential small-lot tentative maps are processed, a more detailed assessment of site, market, and other conditions will occur. It is anticipated this process may result in the need to adjust (reduce or increase) the number of units assigned to some large-lot residential parcels. As such, the CSP Implementation Chapter includes a policy to allow minor residential density adjustments and unit transfers by approval of an Administrative Permit provided the seven criteria listed in the specific plan (and noted below) are met.

1. The transfer and receiving parcels are within the CSP and subject to a development agreement;
2. The transfer of units does not result in a change to the land use designation, specifically, the transfer does not: (a) reduce the number of units from the transfer parcel below the minimum number of units allowed by the applicable land use designation; or (b) increase the number of units to the receiving parcel above the maximum number of units allowed by land use designation;
3. The transfer of units does not result in increased impacts beyond those identified in the Specific Plan EIR and does not preclude the ability of the parcels to conform to the applicable standards or regulations contained in the CSP and related Development Standards and Design Guidelines;
4. The transfer of units does not adversely impact planned infrastructure, roadways, schools, or other public facilities, or fee programs and assessment districts;
5. The cumulative increase or decrease in units resulting from the minor density adjustment does not change by more than twenty-percent (20%) the units to either the transfer or receiving parcel, as established at the time of the original approval of the specific plan...;

6. HDR units designated as affordable units may be transferred administratively until such time that they are encumbered by an Affordable Housing Regulatory Agreement (or other form as approved by the City); and
7. For HDR parcels, unit transfers may be approved between HDR parcels administratively, provided that the resulting density of an affected HDR parcel does not fall below 18 units per acre.

As proposed, the project is consistent with the criteria noted above, with the exception of lots C-25 and C-26 which will have a 27% and 40% change in units, respectively. In addition, the proposed HDR lots will gain additional units from the nearby MDR lots. As shown in Exhibit A and the table below, there will be no change to the cumulative number of units for the specific plan and the resultant densities for all large lots will remain within the appropriate range.

Table 1: Summary of Unit Transfer

Parcel (Land Use)	SP Allocated Units	T-map Proposed Units	SP Acres (Gross)	T-map Acres (Gross)	SP Density (du/ac)	T-map Density (du/ac)	Diff. In units	% unit change
C-1	95	94	19.89	19.5	4.8	4.8	-1	-1 %
C-2	50	52	10.04	10.1	5	5.5	+2	+ 4%
C-3	70	67	14.11	14	5	4.9	-3	- 4%
C-4	55	51	9.73	9.6	5.7	5.4	-4	- 7%
C-5	75	74	13.44	13.6	5.6	5.7	-1	- 1%
C-6	46	48	8.74	8.4	5.3	6.4	+2	+ 4%
C-7	80	74	14.13	13.9	5.7	5.6	-6	- 7.5 %
C-8	35	32	6	5.7	6.2	6	-3	- 8.5%
C-9	110	97	22.20	21.8	5	4.6	-13	- 11.8%
C-10	40	44	7.71	7.4	5.2	6.6	+4	+ 10%
C-11	35	28	6.89	6.9	5.1	4.3	-7	- 20%
C-12	90	84	18.38	17.1	5.3	5.1	-6	- 6.6%
C-13	55	65	8.79	10	6.0	6.5	+10	+ 18%
C-20	75	79	9.22	9.1	8.1	9.1	+4	+ 5.3%
C-22	105	108	11.39	11.3	9.2	9.6	+3	+ 2.8%
C-24	65	69	7.88	7.9	8.2	9.2	+4	+ 6.2%
C-25	35	25	3.44	3.9	10.2	7.4	-10	- 29.5%
C-26	35	21	3.42	3.1	10.2	7.2	-14	- 40 %
C-28	35	39	3.85	3.9	9.1	10	+4	+ 11.4%
C-29	40	44	4.87	4.8	8.2	10.2	+4	+ 10%
C-30	40	44	5.29	5.1	7.6	10	+4	+ 10%
C-40	135	151	5.12	5.2	26.4	29	+16	+ 11.9%
C-41	165	176	6.02	6	27.4	29.3	+11	+ 6.6%

TOTAL	2011	2,011	501.86	501.1	--	--	0	--
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*The approved land use plan did not assume landscape corridors into the overall land calculations. As such, some of differences in the total acreages are due to the landscape corridors.

Per the CSP, unit transfers between HDR parcels may be approved provided the resulting density of the affected HDR parcels do not fall below 20 units per acre. In this case, the proposed HDR unit transfer is not occurring between HDR lots; however, staff finds that the residential unit transfer is consistent with the CSP criteria in balancing the total number of units within the plan area and will result in all HDR lots exceeding a density of 20 unit per acre. Furthermore, the proposed unit transfer will not impact any affordable housing obligations and will increase the HDR parcel's density, consistent with the City's adopted Blueprint Implementation Strategies of locating higher densities near public transportation locations (i.e. adjacent to future Bus Rapid Transit routes and bus transfer stations).

Parcels C-25 and C-26 will result in a unit change of more than 20% due to the number of units originally proposed on these lots. However, the 24 units transferred from the two MDR parcels will only account for approximately 4% of the total number of MDR units approved for the plan area. As noted below, deviations from the CSP development standards are currently being requested for the subject parcels in order to accommodate development, as a higher density would be more difficult to develop based on the anticipated product type. As such, staff finds the proposed unit transfer acceptable as this will allow for a more developable density. Additionally, the land use designations of the large lots will not change and the units from C-25 and C-26 will be transferred to higher density parcels.

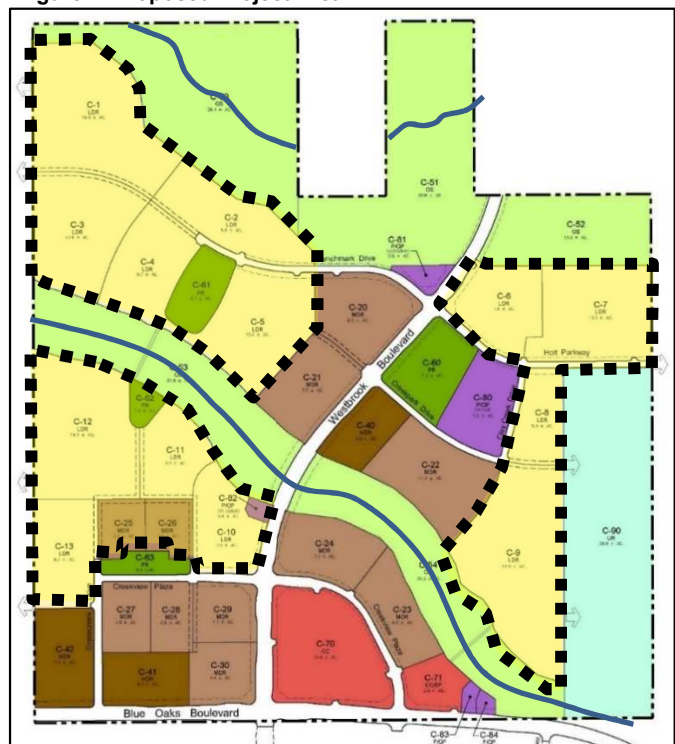
As indicated in the Tentative Subdivision Map and Design Review Permit for Residential Subdivision evaluation, the proposed unit transfer will accommodate development of the CSP parcels consistent with their R1/DS and RS/DS zoning, as well as the applicable design guidelines. The proposed unit transfer will not increase the total number of units anticipated for the specific plan and therefore will not result in increased impacts beyond those identified in the CSP EIR. Specifically, the proposed unit transfer will not impact planned infrastructure, roadways, schools or other public facilities, or CSP fee programs and assessment districts. Accordingly, the proposed unit transfer will not adversely affect or be materially detrimental to the public health, safety or welfare, and will not be detrimental or injurious to public or private property or improvements.

EVALUATION – TENTATIVE SUBDIVISION MAP

The Tentative Subdivision Maps for the subject parcels indicate that 856 residential lots will be created over 15 large lot parcels (totaling 159 acres) and will range in size from 3,000 sq. ft. to 6,000 sq. ft. (see Exhibit C). In addition, minor land acreage adjustments are proposed as depicted in Exhibit A. These changes are minimal and are consistent with the CSP, which allows minor adjustments to land use boundaries where the general land use pattern is maintained.

Primary access to the specific plan will be provided via two major arterial roads (Blue Oaks Blvd and Westbrook Blvd) and three modified collector roadways (Holt Pkwy, Creekview Plz and Grasscreek Dr). Internal roadways consisting of

Figure 2: Proposed Project Area



several primary and minor residential streets will further provide access throughout the plan area.

With the construction of the roadway systems, the extension of utilities (i.e. electric, gas, water, wastewater), development of utility infrastructure sites (i.e. lift station, electric substation, well site), construction of subdivision walls and fencing, establishment of project entry signage, and development of park sites will also be required. As such, the project will be developed over five phases and the applicant has submitted a phasing plan which demonstrates how two points of access, utility connections, and infrastructure improvements will be provided to each phase of the project (see Exhibits D & E).

Section 18.06.180 of the City of Roseville Subdivision Ordinance requires that three findings be made in order to approve or conditionally approve a tentative subdivision map. The three findings are listed below in ***bold italics*** and are followed by an evaluation of the map in relation to each finding.

- 1. The size, design, character, grading, location, orientation and configuration of lots, roads and all improvements for the tentative subdivision map are consistent with the density, uses, circulation and open space systems, applicable policies and standards of the General Plan or any applicable specific plan for the area, whichever is more restrictive, and the design standards of this Title.***

Parcel size, design, configuration, location, orientation and character: With the proposed Tentative Map 810 low density single-family lots (on Parcels C-1 through C-13) and 46 medium density lots (on Parcels C-25 and C-26) will range in size from 4,250 – 6,000 square feet and 3,000 – 3,850 square feet, respectively. As proposed, the subdivision configuration will create developable residential lots. However, the proposed 3,000 square foot (50' x 60') lots are smaller than the CSP RS/DS development standards requirement of 4,000 square feet for lots with separated sidewalks. Accordingly, the proposed development standards for the two MDR parcels have been evaluated in the Design Review Permit for Residential Subdivision (DRRS) portion of the staff report, which follows the Tentative Map evaluation.

The proposed R1/DS lot size ranges were anticipated by the specific plan, and the current Zoning Ordinance development standards regarding setbacks and lot coverage will apply to these lots. In the event a future developer proposes to modify the development standards of the R1/DS lots, a DRRS application will be required.

As shown on the tentative maps and noted in the DRRS analysis, the lots sizes and design of the subdivisions are adequately sized to allow for development of detached single family units, consistent with the CSP development expectations. All single-family lots will be oriented with frontage on the public streets with 4 foot sidewalks, with the exception of MDR Parcels C-25 and C-26. Furthermore, to ensure consistency with the CSP policy of providing visual and physical access to open space, the majority of homes adjacent to park sites and open space will front or side onto these areas (see Exhibit F).

The subdivision layout and street design were reviewed by the City's Engineering Division and Fire Department to ensure there is adequate street widths for circulation and emergency response. As conditioned, the proposed subdivisions are found to be consistent with the applicable policies of the General Plan, CSP, and design standards of the Subdivision Ordinance.

Grading: The project engineer indicates that grading for the project will amount to 1,094,000 cubic yards (cy) of cut, and 937,200 cy of fill for a net export of 140,500 cy (Exhibit B). Grade differences between adjacent house pads are typically less than 1 foot at side and rear yards; therefore, no

retaining walls will be required between homes (Exhibits C & E). The proposed grading is consistent with the City's Grading Ordinance and Improvement Standards.

Drainage: All of the lots have been designed to drain toward the street (Class 1 drainage). The drainage improvements proposed by this Tentative Map include curbs, drain inlets, and underground drain pipes to outfalls in Pleasant Grove Creek, with the exception of the lots to the north of Benchmark Drive which will drain to University Creek. Engineering staff has reviewed the drainage plans, and with the attached conditions of approval, the drainage design conforms to the City's drainage improvement standards and is consistent with the requirements of the CSP.

Utilities: Water, sewer, and electric facilities will be available to all lots from the backbone infrastructure in Blue Oaks Blvd and Westbrook Blvd. Environmental Utilities and Roseville Electric have reviewed the plans and determined that all necessary utility services will be available. The applicant has provided a roadway and utility phasing plan (see Exhibit E) that outlines the installation of the backbone infrastructure, consistent with the backbone infrastructure phasing obligations noted in the CSP DA.

Subdivision Improvements: The subdivision improvements include landscape corridors on Blue Oaks Blvd, Westbrook Blvd, and Holt Pkwy consistent with the CSP Design Guidelines. A masonry wall with pilasters will be provided at the back of the landscaped corridor along Blue Oaks Blvd, Westbrook Blvd, and portions of Creekview Plaza and Holt Pkwy. Several corner clips will provide areas for entry features and the masonry wall will have paseo openings at the end of cul-de-sacs adjacent to the bike trail. In addition, two pedestrian bridge crossings over the Pleasant Grove Creek will provide further connectivity to the bike trails located throughout the plan area.

Affordable Housing: None of the subject parcels are identified as affordable housing sites and the developer's affordable housing obligation will be satisfied on Parcels C-20, C-22, C-30 and C-42, consistent with the Creekview Development Agreement.

2. The subdivision will result in lots which can be used or built upon. The subdivision will not create lots which are impractical for improvement or use due to: the steepness of terrain or location of watercourses in the area; the size or shape of the lots or inadequate building area; inadequate frontage or access; or, some other physical condition of the area.

The proposed parcels are of sufficient size and shape to accommodate future development. As noted on the Tentative Subdivision Map and the DRRS analysis below, the design, layout, and configuration of the lots provide for the construction of 856 single-family detached units. In addition, the proposed parcel configurations preserves the location of watercourses and does not create a physical condition that would be impractical for the proposed improvements.

3. The design and density of the subdivision will not violate the existing requirements prescribed by the Regional Water Quality Control Board for the discharge of waste into the sewage system, Pursuant to Division 7 of the Water Code.

The water quality impacts associated with the project and the expected discharge of waste for this project are consistent with what was anticipated by the CSP EIR. In addition, the design of the sewer lines in the project area and treatment capacity at the City's wastewater treatment plant have adequate conveyance and capacity to accommodate development of the parcels proposed by the Tentative Map.

EVALUATION – DESIGN REVIEW PERMIT FOR RESIDENTIAL SUBDIVISION

A DRRS is required for compact residential development projects with a land use designation of Medium Density Residential (MDR) and/or for projects with a zoning designation of Small Lot Residential (RS) where supplemental design standards are proposed.

The purpose of the DRRS entitlement is to evaluate the site layout, development standards, and unit design, to guarantee the units are adequately suited for the lots created by the tentative map and to ensure consistency with the applicable design guidelines. In this case, the applicant (GBD) is a master developer and the proposed MDR parcels will be developed by a separate merchant home builder. In light of this fact, the applicant is unaware of the exact product type that will be constructed on these parcels, and the future builder will need to revisit the proposed architecture and development standards to make adjustments based on their specific product. Accordingly, a condition has been added requiring approval of an updated DRRS prior to submittal of building permits for Parcels C-25 and C-26 (see DRRS Condition #3). At that time, detailed information regarding development standards, site layout, floor plans, and architectural styles will be reviewed.

The following evaluation is provided to demonstrate how the MDR lots created by the proposed Tentative Subdivision Map may be developed. As indicated in Exhibit C, a range of lots measuring 50' x 60' (3,000 sq. ft.) to 60' x 65' (3,850 sq. ft.) are proposed, resulting in densities of MDR 7.4 du/ac for Parcel C-25 and 7.2 du/ac for Parcel C-26. To achieve the MDR densities required, this DRRS request is for reductions in the standard RS/DS lot size and setbacks, as shown in the table below.

Table 2: Proposed MDR in CSP: Parcels C-25 and C-26

	Standard RS w/Separated Sidewalk	RS/DS w/ Separated Sidewalk (CSP C-25 & C-26)
Area, Interior Lot	4,275 sq. ft.	3,000 sf
Area, Corner Lot	4,710 sq. ft.	3,500 sf
Width, Interior	45 ft.	50'
Width, Corner	50 ft.	60'
Residential Density	1 dwelling, 1 second unit	1 dwelling, 1 second unit
Front ²	10 ft. to living space and sidewall of garage; 7.5 ft. to porch	4 ft. to living space and garage 4 ft. to porch
Sides ²	5 ft. interior side 12.5 ft. street side on first floor 15 ft. street side second floor	3.5 ft. interior side 7 ft. street side on first floor
Rear	10 ft. minimum with minimum useable open space 500 sf	5 ft. minimum
Site Coverage	None	None
Height Limit	35 ft.	35 ft.
Front Yard Stagger	Not required	Not required
Stagger for 3 rd Car Garages	2 ft. between 3 rd car bay and two-car garage	N/A
Two-story mix	No Limit	No Limit
Separation between Second Story Elements	A minimum of 10 feet shall be provided between second story elements of adjacent two-story dwellings	A minimum of 7 feet shall be provided between second story elements of adjacent two-story dwellings
Building Exterior	Architectural treatment shall be applied to all elevations of a building. At a minimum,	

	all doors, windows and other wall openings shall be trimmed consistent with the architectural style. Panelized windows or other architectural treatments shall be used on all garage doors
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Notes:

- (A) Garage doors will be oriented towards the private alley or I-court and will be located a minimum of 4 feet from the 20 foot wide drive aisle.
- (B) Homes will be setback 4 feet from the “front” lot line, adjacent to a 20 foot alley or I-court. This allows a minimum separation of 28 ft. between the front doors of homes.
- (C) The I-court product type proposes covered porches at the front or sides of the proposed homes measuring a minimum of 6' x 10' (60 sq.). In addition, a private yard with a shared use easement will provide additional outdoor space. The remaining areas will be landscaped and maintained by the HOA.

Zoning Ordinance Section 19.78.060.I requires that two findings be made in order to approve a DRRS. The required findings for a DRRS are listed below in ***bold italics*** and are followed by an evaluation.

1. The residential design, including the height, bulk, size and arrangement of buildings is harmonious with other buildings in the vicinity.

The MDR land use is intended to provide for a variety of housing products and lot configurations within the specific plan area. The proposed lot sizes will help achieve the desired goals of the CSP of providing housing choices of varying densities. In addition, by providing reduced lot sizes and bringing buildings and activities closer to the sidewalk, the urban streetscape will be emphasized creating visual interest and activity along the pedestrian way consistent with the Community Design Guidelines (CDG). As proposed, the conceptual unit designs are single family detached product types similar in height, bulk, and arrangement to recently approved MDR projects in the WRSP, SVSP, and NERSP, as noted below.

- **Taylor Morrison Stone Point Neighborhoods 2 and 3 (2013PL-085)** - Approved by the Planning Commission on August 22, 2013. Taylor Morrison’s Neighborhood 2 has a minimum lot size of 2,356 square feet, with a minimum lot width of 38 feet, and Neighborhood 3 has a minimum lot size of 2,040 square feet, with a minimum lot width of 30 feet. Densities for these neighborhoods range from 9.5 to 10.6 dwelling units per acre.
- **JMC Homes’ Village JM-21 - Sierra Vista Specific Plan (SVSP) (2012PL-038)** - Approved by the Planning Commission in December 2013. JM-21’s minimum lot size is 2,600 square feet, with a minimum lot width of 38 feet. JM-21’s density is 9.0 dwelling units per acre.
- **Fiddymment Ranch Villages F-6C, F-8 and F-11 (PL14-0625)** – Approved by the Planning Commission in April 2015. These villages’ interior lot sizes range from 2,227 square feet to 2,450 square feet, with corner lots ranging from 3,020 square feet to 3,500 square feet. Minimum interior lot widths are 28 feet with minimum corner lot widths of 48 feet.
- **KT Properties - Sierra Vista Specific Plan (SVSP) KT-1 – KT-5, KT-20, KT-21, KT-30, KT-40, KT-51, KT-52, KT-60, KT-61 &KT-80, (PL13-0096)** – Approved by the Planning Commission in December 2015. KT-20, KT-21A, and KT-21B interior lot sizes range from 3,600 square feet to 4,000 square feet. Minimum interior lot widths are 45 feet with minimum corner lot widths of 55 feet. Densities for these neighborhoods range from 8.3 to 8.4 dwelling units per acre.

Based on the conceptual plans that have been submitted (see Exhibit H), staff finds that the proposed lot size, unit arrangements, and mix of product designs provides examples of a product that is consistent with CDG, CSP Design Guidelines, and harmonious with other projects in the vicinity.

2. The residential design is consistent with applicable design guidelines.

The CDG for Compact Residential Developments include several “shall” guidelines that developments must adhere to. Additional “should” statements are recommendations and applicants are encouraged to incorporate them into the development when appropriate. As proposed, the conceptual designs are consistent with the following shall guidelines and staff will ensure these are carried through to the final design approval.

- The required number of parking spaces are provided as defined in the Zoning Ordinance.
- Architectural treatment is applied to all elevations.
- Street facing elevations have enhanced treatments and varying wall plans.
- The residential design incorporates a mix of colors, materials, and textures.

Each unit will have a two car garage as required by the Zoning Ordinance. Architectural treatment will be applied to all elevations and exterior windows and doors will be trimmed. Roof designs will incorporate varying materials and alternating designs. Street facing elevations will include multiple façade breaks and have enhanced treatments including decorative trim details, and enhanced siding to provide visual interest and curb appeal. As shown in Figure 3, the conceptual residential design incorporates fiber cement siding, a mix of materials, and varying textures that would emphasize the urban streetscape and create visual interest.

Figure 3: Conceptual Streetscape / Home Designs



The conceptual home designs proposed for C-25 and C-26 include several distinct floor plans (ranging between 1,100 sq. ft. to 2,000 sq. ft.) with six (6) exterior architectural design options. The architectural intent of the homes is to ensure a high level of design and facade articulation on compact, medium density residential lots. As such, an “i-court” housing type is proposed where garages will be accessed from a shared 20-foot private drive aisle easement and front doors will be connected via a shared pedestrian sidewalk easement. The landscaping outside of private yard and patio areas will be installed and maintained by an HOA and units will share a common open space area located at the end of the private alleyways.

As described in this section of the report, the home designs have been evaluated against and determined to be consistent with the requirements of the CDG and the CSP. However, as noted above, the examples presented here are not meant to depict the exact structures to be built, and the ultimate project design will need to incorporate the design components outline within this report and recommended by the CSP.

Based on this, staff finds that the conceptual residential design is consistent with the applicable design guidelines and the proposed MDR lots are developable.

EVALUATION - TREE PERMIT

The Tree Preservation Ordinance (Chapter 19.66) requires the City to consider the appropriateness of



and alternatives to proposed tree removals and encroachments. In addition, when tree removals are requested, the City is required to review the proposed mitigation plan.

In January 2007, Sierra Nevada Arborists prepared a tree inventory and Arborist Report for native oak trees within the boundaries of the CSP. The arborist report identified a total of 528 valley oak, interior live oak and blue oak trees totaling 9,522 aggregate diameter inches located within the project area (see Exhibit I). Based on the existing condition of the site, the approved large lot tentative map was designed to have minimal impacts on native oak trees and other natural resources.

Tree Removal

As proposed, the small lot tentative map and associated infrastructure improvements will result in the removal of 73 native oak trees (which is less than 15% of the total number of trees located onsite). The majority of the tree removals are to accommodate stream channel alterations for flood control and infrastructure improvements (i.e. extension of Blue Oaks Blvd, a pedestrian bridge, and a bridge for Westbrook Blvd over Pleasant Grove Creek). In addition, 16 trees were recommended for removal by Sierra Nevada Arborists due to the nature and extent of structural defects and/or declining health (see Exhibit J).

Table 3: Tree Removals

Improvement Type	Number of Trees
Channel	19
Floodway	22
Street	13
Pad Grade	9
Pedestrian Bridge	10
Total Trees Proposed for Removal	73

The subject property is relatively flat and tree impacts related to the construction of homes on trees to remain onsite is anticipated to be minimal. In addition, significant grade cuts should not be necessary for the required infrastructure improvements.

Tree Mitigation

The 73 trees to be removed total 1,173 diameter inches and will be mitigated by payment of in lieu fees. While on-site replacement of removed trees is the preferred mitigation alternative listed in the Tree Preservation Ordinance, given the current historic drought the success of on-site planting is not likely. Therefore, payment of in lieu fees is the most appropriate option for satisfying the project's tree mitigation requirement. Accordingly, the Landowner Partnership proposes to satisfy the oak tree removal mitigation obligation via payment of in lieu fees of \$138,414 (based on the 1,173 inches mitigated at \$118 per inch). The in lieu fees will be paid over two phases and will include \$79,060.00 for phase 1 and \$59,354.00 for phase 2.

Phasing

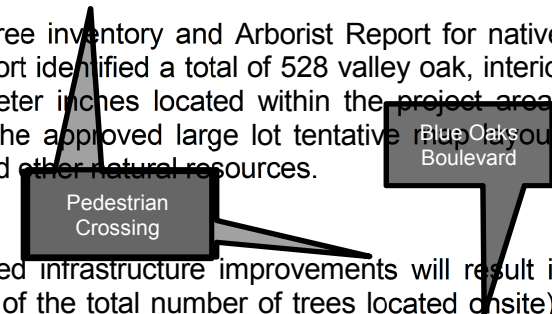


Figure 5: Photo of Project Area



The tree removals and associated mitigation requirements are proposed to occur in two phases as delineated in Exhibit J. Phase one includes the largest land area and has 33 trees (670 inches) being removed while phase 2 has 40 trees (503 inches) being removed.

Tree Permit Conclusion

Staff has determined that the removal of the 73 trees is unavoidable due to the location of the trees, the infrastructure improvements, loting configuration, and open space improvements. However, through the payment of in lieu fees, the loss of the oak trees will be sufficiently mitigated. In addition, the remaining trees in the open space will be protected through permanent preservation of the Open Space. As such, staff supports the proposed design and requested Tree Permit.

ENVIRONMENTAL DETERMINATION

The Project is exempt from the California Environmental Quality Act (CEQA) pursuant to CEQA Guidelines Section 15182, which states:

Where a public agency has prepared an EIR on a specific plan after January 1, 1980, no EIR or negative declaration need be prepared for a residential project undertaken pursuant to and in conformity to that specific plan if the project meets the requirements of this section.

The exemption applies unless one of the conditions requiring a Subsequent, Supplemental, or Addendum environmental document exist (pursuant to CEQA Guidelines Section 15160–15170). The project is consistent with the adopted specific plan. A Final Environmental Impact Report was certified for the CSP in April 2011 (State Clearinghouse Number 2008032017) and none of the conditions exist which would make the exemption inapplicable. City staff determined that the adopted infrastructure and financing plans are sufficient to support the Project, making any additional studies unnecessary, and that no material alterations have occurred on the site or in the vicinity which would require additional discussions or analysis. Mitigation adopted as part of the CSP FEIR will apply to the proposed project.

RECOMMENDATION

The Planning Division recommends the Planning Commission take the following actions:

- A. Recommend that the City Council adopt the one finding of fact as stated below and approve the **SPECIFIC PLAN AMENDMENT – 3000 WESTBROOK BLVD – CREEKVIEW SPECIFIC PLAN, VILLAGES C-25, C-26, C-40 & C-41 – FILE NUMBERS PL14-0522;**
 1. The proposed Specific Plan Amendment is consistent with the objectives, policies, programs and land use designations specified in the City of Roseville General Plan;
- B. Adopt the three (3) findings of fact as stated in the staff report for the **TENTATIVE SUBDIVISION MAP – 3000 WESTBROOK BLVD – CREEKVIEW SPECIFIC PLAN, VILLAGES C1 – C13, C20 – C30, C40 – C42, C50 – C54, C60 – C63, C70, C71, C80 – C84, C90, & C100 – FILE NUMBERS PL14-0522;**
- C. Approve the **TENTATIVE SUBDIVISION MAP – 3000 WESTBROOK BLVD – CREEKVIEW SPECIFIC PLAN, VILLAGES C1 – C13, C20 – C30, C40 – C42, C50 – C54, C60 – C63, C70, C71, C80 – C84, C90, & C100 – FILE NUMBERS PL14-0522,** as shown in Exhibits F - H, and subject to one hundred and fifteen (115) conditions of approval listed below;
- D. Adopt the two (2) findings of fact as stated in the staff report for the **DESIGN REVIEW PERMIT FOR RESIDENTIAL SUBDIVISION – 3000 WESTBROOK BLVD – CREEKVIEW SPECIFIC PLAN, VILLAGES C-25 & C-26 – FILE NUMBERS PL14-0522;** and

- E. Approve the **DESIGN REVIEW PERMIT FOR RESIDENTIAL SUBDIVISION – 3000 WESTBROOK BLVD – CREEKVIEW SPECIFIC PLAN, VILLAGES C-25 & C-26 – FILE NUMBERS PL14-0522** subject to the seven (7) conditions listed below.
- F. Adopt the two (2) findings of fact as stated below for the Tree Permit – **3000 WESTBROOK BLVD – CREEKVIEW SPECIFIC PLAN, VILLAGES C-1 – C-13, C-25 & C-26 – FILE NUMBERS PL14-0522**:
1. *Approval of the Tree Permit will not be detrimental to the public health, safety, or welfare and approval of the Tree Permit is consistent with the provisions of Chapter 19.66 of the Roseville Zoning Ordinance; and*
 2. *Measures have been incorporated in the project or permit to mitigate impacts to remaining trees and to provide replacement for trees removed.*
- G. Approve the **TREE PERMIT – 3000 WESTBROOK BLVD – CREEKVIEW SPECIFIC PLAN, VILLAGES C-1 – C-13, C-25 & C-26 – FILE NUMBERS PL14-0522**, subject to the twenty-one (21) conditions listed below.

CONDITIONS OF APPROVAL – TENTATIVE SUBDIVISION MAP

1. The approval of a Tentative Map and/or tentative site plan does not constitute approval of proposed improvements as to size, design, materials, or location, unless specifically addressed in these conditions of approval. (Engineering)
2. The design and construction of all improvements shall conform to the Design and Construction Standards of the City of Roseville, or as modified by these conditions of approval, or as directed by the City Engineer. (Engineering)
3. The developer shall not commence with any on-site improvements until such time as grading and/or improvement plans are approved and grading, underground utility and/or encroachment permits are issued by the Engineering Division. (Engineering)
4. The applicant shall pay City's actual costs for providing plan check, mapping, GIS, and inspection services. This may be a combination of staff costs and direct billing for contract professional services. (Engineering, Environmental Utilities, Finance)
5. Prior to the issuance of any improvement plans owner must have obtained issuance of a 404 permit as defined by the Development Agreement between the City of Roseville and Granite Bay . (Engineering)
6. The applicant shall provide a future all-weather accessible crossing of University Creek capable of providing emergency and maintenance vehicle access to areas north of the creek, to the satisfaction of Planning, Fire, and Parks & Recreation. Development of an access road to the crossing or beyond the crossing is not required. The all-weather accessible crossing shall be provided prior to substantial completion of the first subdivision within Parcels C-1 or C-2. (Fire, Park, Recreation & Libraries)

PRIOR TO ISSUANCE OF A GRADING PERMIT AND/OR IMPROVEMENT PLANS

7. Landscape Plans shall be submitted with the Improvement Plans for all landscape corridors and all landscaped common areas. Landscaping shall be installed prior to approval of the Certificate of Completion for the subdivision and/or infrastructure improvements. The landscape plan shall comply with the CSP and the City of Roseville Water Efficient Landscape Requirements (Resolution 93-55). (Planning, Engineering, Parks, Fire, Environmental Utilities)

8. Grading around the native oak trees or other natural features shall be as shown on the improvement plans or as approved in these conditions. (Planning)
9. The applicant shall submit to the Engineering Department the appropriate Army Corps of Engineers permit or clearance, the California Department of Fish and Game Stream Bed Alteration Agreement, and/or the Regional Water Quality Control Board Water Quality Certificate. (Planning)
10. Improvement plans shall include Neighborhood Entry detail, including masonry wall and fence detail, (architectural design for wall, fence & pilasters), and pilaster locations in accordance with the CSP Design Guidelines. (Planning, Engineering)
11. The applicant shall submit to the Engineering Division the appropriate Army Corps of Engineers permit or clearance, the California Department of Fish and Game Stream Bed Alteration Agreement, and/or the Regional Water Quality Control Board Water Quality Certificate. (Planning, Engineering)
12. The grading and improvement plans shall be designed in accordance with the City's Design and Construction Standards and shall reflect the following:
 - a) Street improvements including, but not limited to, curb, gutter, sidewalk, pavement, drainage systems, traffic striping, signing, medians and markings, etc. along all existing and proposed City streets, as required by Engineering.
 - b) Grading shall comply with the City grading ordinance.
 - c) A rough grading and/or underground utility permit may be approved by the Engineering Division prior to approval of the improvement plans.
 - d) Access to the floodplain as required by Engineering and the Streets Divisions.
 - e) Standard access ramps shall be installed at all curb returns per City Standards. (Engineering)
13. For all work to be performed off-site, permission to enter and construct shall be obtained from the property owner, in the form of a notarized right-of-entry. Said notarized right-of-entry shall be provided to Engineering prior to approval of any plans. (Engineering)
14. The applicant shall apply for and obtain an encroachment permit from the Engineering Division prior to any work conducted within the City right-of-way. (Engineering)
15. The applicant shall remove and reconstruct any existing damaged curb, gutter, and sidewalk along the property frontage. During site inspection Engineering will designate the exact areas to be reconstructed. (Engineering)
16. All Lots/Parcels shall conform to Class 1 drainage, pursuant to the adopted City of Roseville Design and Construction Standards, except as shown on the tentative map, as approved in these conditions or as otherwise approved by the City Engineering. (Engineering)
17. Prior to the approval of the improvement plans, it will be the project proponent's responsibility to pay the standard City Trench Cut Recovery Fee for any cuts within the City streets that are required for the installation of underground utilities. (Engineering)
18. The CSP shall be mass graded in conformance with the Development Agreement and consistent with the approved Utility and Roadway Phasing Guide. (Engineering)
19. Per Section 3.10.5 of the Development Agreement, the Pleasant Grove Creek Bypass Channel Improvements shall be constructed with the first phase of construction and be deemed substantially complete by the City prior to issuance of the first building permit. (Engineering)

20. All pad grades shall be elevated to a minimum of 2-feet above the 100-year water surface elevation, based on the future, fully-developed condition of the watershed upstream of the project, or, all finished floor elevations shall be elevated to a minimum of 2-feet above the 200-year water surface elevation, based on the future, fully-developed condition of the watershed upstream of the project, whichever is greater in elevation. (Engineering)
21. Prior to the issuance of grading permits, the applicant shall obtain a Conditional Letter of Map Revision (CLOMR) from FEMA for the proposed grading shown within the FEMA Special Revision Flood Hazard Area. The applicant shall also obtain a Letter of Map Revision (LOMR) from FEMA prior to issuance of building permits for any structure located within the current FEMA floodplain. (Engineering)

22. A note shall be added to the grading plans that states:

“Prior to the commencement of grading operations, the contractor shall identify the site where the excess/borrow earthen material shall be imported/deposited. If the borrow/deposit site is within the City of Roseville, the contractor shall produce a report issued by a geotechnical engineer to verify that the exported materials are suitable for the intended fill, and shall show proof of all approved grading plans. Haul routes to be used shall be specified.” (Engineering)

23. A standard bus shelter turnout and pad shall be installed in the following locations:

- Northwest corner of Blue Oaks Blvd. at Westbrook Blvd.
- Northeast corner of Westbrook Blvd. at Holt Parkway
- Southwest corner of Westbrook Blvd. at Benchmark Dr.
- Northeast corner of Westbrook Blvd. at Creekview Plaza C-24 (Shelter #288 & #291)
- Southwest corner of Westbrook Blvd. at Creekview Plaza C-29

The two stops on Creekview Plaza Drive and the two stops on Westbrook Boulevard at Creekview Plaza Drive shall include stubs for electricity and fiber. (Engineering)

24. Developer shall be responsible for the installation of a bus shelter and related improvements conforming to the city's current standards on the shelter pad as conditioned above. The Developer and City may enter into a deferred improvement or other agreement based upon a construction cost of \$10,000 per shelter for future construction of the following Bus Shelters:

- Northbound Westbrook Blvd at the northeast corner of Westbrook Blvd. at Holt Parkway (Shelter #289). The agreement for this shelter shall be executed prior to approval of improvement plans for Village C-6.(Engineering, Transit)

25. Per Section 3.12.6 of the Creekview Development Agreement, the mass grading plans and/or subdivision grading plans adjacent to the open space shall include rough grading for the open space bike trails and extension of subdivision drainage facilities as needed to accommodate the trails, consistent with the tentative map and the existing bridge planning studies as may be updated to meet the freeboard requirements of the Central Valley Flood Protection The developer shall be responsible for the cost of preliminary design, necessary permits and resource mitigation, rough grading and extension of drainage facilities without reimbursement. (Alternative Transportation, Engineering)

26. Per Section 3.12.6 of the Creekview Development Agreement, bike trail construction may be incorporated into the project improvement plans per the following:

- a) Prior to approval of improvement plans for subdivisions adjacent to open space trails, the developer shall submit a cost estimate for design and construction of adjoining trail improvements, including bridge abutments and bridges.
 - b) If the City determines that sufficient funding is available all or part of the adjoining trail shall be included with the project improvements and the City and developer shall enter into a reimbursement agreement for the work.
 - c) If sufficient funds are not available, the City shall be responsible for trail construction at a later date, except the city may elect to have developer construct the westerly bridge abutments, with reimbursement being made to the constructing party over time as funds become available and in accordance with a reimbursement agreement between the City and the developer. (Alternative Transportation, Engineering)
27. The applicant shall dedicate all necessary rights-of-way for the widening of any streets required with this entitlement. A separate document shall be drafted for approval and acceptance by the City of Roseville, and recorded at the County Recorder's Office. (Engineering)
 28. The proposed arterial roadways shall be constructed and funded as set forth in Section 3.5.2 of the Development Agreement. Developer shall enter into a Funding, Construction and Acquisition Agreement with the City for those improvements in excess of Developer's obligation. (Engineering)
 29. All bridge crossings, both vehicular and pedestrian, shall be designed to meet the freeboard standards of the Central Valley Flood Protection Board. The freeboard shall be measured from the soffit of the bridge to the 200-year water surface elevation. (Engineering, Alternative Transportation)
 30. Traffic Signals shall be constructed and funded as set forth in Section 3.5.7 of the Development Agreement. Temporary traffic signals installed on Blue Oaks Blvd or Westbrook Blvd, in locations other their ultimate locations, shall not be eligible for reimbursements as outlined in Section 3.5.7 of the Development Agreement. (Engineering)
 31. Reimbursements to the West Roseville Specific Plan for oversized improvements serving the CSP, shall be reimbursed per Section 3.25 of the Development Agreement. (Engineering)
 32. Phasing of infrastructure improvements shall be consistent with the Development Agreement and the approved "Utility and Roadway Phasing Guide" prepared by MacKay & Soms for the CSP. Phase 1 & 2 shall be considered sequential phasing, meaning Phase 1 improvements shall be deemed substantially complete prior to Phase 2 improvements being deemed substantially complete. All other phases may develop independently per the "Utility and Roadway Phasing Guide". (Engineering)
 33. The grading plans for the site shall be accompanied with a shed map that defines that area tributary to this site. All drainage facilities shall be designed to accommodate the tributary flow. All on-site storm drainage shall be collected on site and shall be routed to the nearest existing storm drain stub of natural drainage coarse. (Engineering)
 34. The drainage outfalls shall extend down to the receiving water and shall be constructed with adequate velocity attenuation devices. All permanent structures, including headwalls for drainage outfalls, shall be constructed within the 50-ft open space buffer, unless it can be demonstrated that the appropriate permits have been obtained by the Developer and the construction is consistent with the City's Overarching Open Space Management Plan. (Engineering)
 35. Access ramps shall be provide for all drainage swales within the open space to ensure maintenance vehicles can adequately gain access to the upstream end of the swale. (Engineering)

36. The grading plans shall be accompanied with engineered structural calculations for all retaining walls greater than 4 feet in height. All retaining walls shall be of either split faced masonry units, keystone type construction, cast in place concrete with fascia treatment or rockery walls as approved by the City Engineering. (Engineering)
37. The developer shall be responsible for any necessary relocation of signal interconnect cables that may require re-location as a result of the construction of turn lanes and/or driveways. (Engineering)
38. Signal interconnect conduit shall be stubbed to parcels C-84, C-83, C-63, C-82 and C-60 with the construction of the respective roadways serving these parcels. (Parks, Environmental Utilities, Engineering)
39. To ensure that the design for any necessary widening, construction, or modifications of public streets does not conflict with existing dry utilities generally located behind the curb and gutter, and prior to the approval of design drawings for those frontage improvements, the project proponent shall have the existing dry utilities pot holed for verification of location and depth. (Engineering)
40. Sight distances for all driveways shall be clearly shown on the improvement plans to verify that minimum standards are achieved. It will be the responsibility of the project proponent to provide appropriate landscaping and improvement plans, and to relocate and/or modify existing facilities as needed to meet these design objectives. (Engineering)
41. Prior to construction within any phase of the project, high visibility temporary construction fencing shall be installed along the parcel adjacent to the Preserve. Fencing shall be maintained daily until permanent fencing is installed, at which time the temporary fencing shall be removed from the project site. (Engineering, CDD, Planning)
42. With the exception of access required for maintenance and/or emergency vehicles, the project shall be designed to prevent vehicle access into the Preserve. Post and cable fencing or other improvements shall be utilized to meet this requirement. (Engineering, CDD, Planning)
43. Landscaping adjacent to the Preserve shall be California native, drought-tolerant groundcover, shrubs, plants and trees. (CDD, Planning, Parks, Recreation & Library)
44. Prior to the approval of the Improvement Plans, the project proponent shall prepare and submit a Storm Water Pollution Prevention Plan (SWPPP) to the City, as defined by the Regional Water Quality Control Board. (Engineering)
45. Prior to the issuance of a grading permit or approval of Improvement Plans, the grading plans shall clearly identify all existing water, sewer and recycled water utilities within the boundaries of the project (including adjoining public right of way). Existing utilities shall be identified in plan view and in profile view where grading activities will modify existing site elevations over top of or within 15 feet of the utility. Any utilities that could potentially be impacted by the project shall be clearly identified along with the proposed protection measures. The developer shall be responsible for taking measures and incurring costs associated with protecting the existing water, sewer and recycled water utilities to the satisfaction of the Environmental Utilities Director. (Environmental Utilities)
46. Water and sewer infrastructure shall be designed and constructed pursuant to the adopted City of Roseville Improvement Standards and Construction Standards and shall reflect the following:
 - a) Sewer and water service laterals shall not be allowed off of water and sewer mains larger than 12 inches in diameter. (Environmental Utilities)

- b) Utilities or permanent structures shall not be located within the area which would be disturbed by an open trench needed to expose sewer trunk mains deeper than 12' unless approved by Environmental Utilities in these conditions. The area needed to construct the trench is a sloped cone above the sewer main. The cone shall have 1:1 side slopes. (Environmental Utilities)
 - c) Water and sewer mains shall not exceed a depth of 12' below finished grade, unless authorized in these conditions. (Environmental Utilities)
 - d) All sewer manholes shall have all-weather 10-ton vehicular access unless authorized by these conditions. (Environmental Utilities)
47. Recycled water infrastructure shall be designed pursuant to the adopted City of Roseville Improvement Standards and the City of Roseville Construction Standards. The applicant shall pay all applicable recycled water fees. Easements shall be provided as necessary for recycled water infrastructure. (Environmental Utilities)
48. Any backflow preventers visible from the street shall be painted green to blend in with the surrounding landscaping. The backflow preventers shall be screened with landscaping and shall comply with the following criteria:
- a) There shall be a minimum clearance of four feet (4'), on all sides, from the backflow preventer to the landscaping.
 - b) For maintenance purposes, the landscaping shall be installed on a maximum of three sides and the plant material shall not have thorns.
 - c) The control valves and the water meter shall be physically unobstructed.
 - d) The backflow preventer shall be covered with a green cover that will provide insulation. (Environmental Utilities)
49. Recycled water infrastructure shall be designed pursuant to the adopted City of Roseville Improvement Standards and the City of Roseville Construction Standards. The applicant shall pay all applicable recycled water fees. Easements shall be provided as necessary for recycled water infrastructure. (Environmental Utilities)
50. A note shall be added to the Improvement Plans stating that all water backflow devices shall be tested and approved by the Environmental Utilities Department prior to the Notice of Completion for the improvements. (Environmental Utilities)
51. Refuse truck cannot service lots on dead end alleys in C-25 & 26. Resident will need to bring their trash out to the main road. A designated area and signage for pick up is required. Wording regarding this should be included in the CC&Rs. (Environmental Utilities)
52. Fire hydrants shall be located as required by the Fire Department. The maximum distance between fire hydrants shall not exceed 500' on center. (Fire)
53. Minimum fire flow is 1,500 gallons per minute with 20 lbs. residual pressure. The fire flow and residual pressure may be increased, as determined by the Fire Marshall, where the project utility lines will serve non-residential uses. (Fire)
54. Framing of combustible construction cannot commence until access roads and public fire hydrants are approved by the Fire Department. (Fire)
55. If this project will be phased, the fire department requirements for access and circulation throughout shall be approved by the City for such proposal. Access road shall comply in accordance with the

California Fire Code, 2013 with the City of Roseville's Amendments. A separate phasing plan shall be reviewed and approved by this department. (Fire)

56. Any facilities proposed for placement within public/electric utility easements shall be subject to review and approval by the Electric Department before any work commences in these areas. This includes, but is not limited to, landscaping, lighting, paving, signs, trees, walls, and structures of any type. (Electric)
57. All Electrical Department facilities, including street lights where applicable, shall be designed and built to the "City of Roseville Specifications for Residential Trenching". (Electric)
58. The design for electrical service for this project will begin when the Electric Department has received a full set of improvement plans for the project. (Electric)
59. All landscaping in areas containing electrical service equipment shall conform with the "Electric Department Landscape Design Requirements" as outlined in Section 7.00 of the Electric Department's "Specifications for Residential Trenching". (Electric)
60. Over-grading onto the adjacent park shall be prohibited. (Parks, Recreation & Library)
61. Drainage shall be installed on the project's property to collect increased run-off due to development. Drainage shall not daylight onto City property. (Parks, Recreation & Library)
62. Damage to any trees to be preserved, during the course of construction, shall be the property owner's responsibility. (Parks, Recreation & Library)
63. Accent lighting at entry monuments shall be above ground types. In-ground lighting will not be accepted. (Parks, Recreation & Library)
64. Signage at entry monuments shall be monolithic and bolted in place. (Parks, Recreation & Library)
65. Utilities for parks shall be coordinated with Parks, Recreation & Libraries. (Parks, Recreation & Library)
66. A master access plan for open space along the entire project shall be prepared and submitted. The plan shall show proposed vehicle access points at a frequency that allows access to the entire open space areas. The following items should be included in the plan exhibit:
 - a) The exhibit should show the location of outfalls and other open space improvements or features that require maintenance so the City can determine where open space facility maintenance access is needed.
 - b) The exhibit should show the open space resources and drainages to better understand access constraints within the preserve. Swales and drainages can cut off access to some locations.
 - c) Need confirmation that the access locations shown work for required equipment from a grade and turning radius perspective.

Once approved, the master access plan shall be included in improvement plans for phases or sub-phases. (PR&L)

67. Costs for GIS mapping of city-maintained parcels shall be part of the project costs. (Parks, Recreation & Library)

68. Locate fences and walls on the private property side of the property line. (Parks, Recreation & Library)
69. All plant material shall be maintained under a 90 calendar day establishment period after initial planting. Upon completion of the establishment period, all plant material shall remain under warrantee for an additional 9 months minimum. Any plant material which does not survive during the establishment period shall be immediately replaced. Any trees or shrubs which do not survive during the warrantee period shall be replaced one month prior to the end of the warrantee period. Tree or shrub replacement made necessary due to acts of God, neglect or vandalism shall be exempt from the warrantee. (PR&L)
70. Replace all references to “No Mow” turf with another plant (PR&L)
71. The location and design of the gas service shall be determined by PG&E. The design of gas service for this project shall not begin until PG&E has received a full set of City approved improvement plans for the project. (PG&E)
72. It is the developer's responsibility to notify PG&E of any work required on PG&E facilities. (PG&E)

PRIOR TO OR UPON RECORDATION OF FINAL/PARCEL MAP

73. The following easements shall be provided and shown on the Final/Parcel Map or by separate instrument, unless otherwise provided for in these conditions:
 - a) A 12.5 foot wide public utilities easement along all road frontages;
 - b) Water and sewer easements; and,
 - c) If the bike trail is completed prior to City's acceptance of open space within which the trail is located, City shall be responsible for trail maintenance. In that case, the City and developer shall memorialize the maintenance obligations through recordation of a temporary maintenance and pedestrian/bike access easement. (Alternative Transportation, Engineering, Electric, Environmental Utilities)
74. Adjacent to Parcel C-51, the Class 1 Trail/sidewalk shall be installed with Benchmark Drive improvements. (Alternative Transportation)
75. Easement widths shall comply with the City's Design and Construction Standards. (Environmental Utilities, Electric, Engineering)
76. All existing easements shall be maintained, unless otherwise provided for in these conditions. (Environmental Utilities, Electric, Engineering)
77. With the recordation of the first Final Map all roadway Irrevocable Offers of Dedication (IOD's), Public Utility Easements (PUE's) and Access Easements (AE's) with rights to construct shall be dedicated, as outlined in the Development Agreement and the “Utility and Roadway Phasing Guide”. (Engineering)
78. Separate document easements required by the City shall be prepared in accordance with the City's “Policy for Dedication of Easements to the City of Roseville”. All legal descriptions shall be prepared by a licensed land Surveyor (Environmental Utilities, Electric, Engineering)
79. A declaration of Conditions, Covenants and Restrictions (CC&Rs), in a form approved by the City Attorney, shall be recorded on the entire property concurrently with the Final/Parcel Map. The CC&Rs shall include the following items:

- a) CC&Rs for Villages C-6, C-20, C-24, C-29 and C-30 shall disclose the location of bus stops and the possible operation of bus service in the locations identified.
 - b) CC&Rs for Villages C-25 and C-26 shall identify that direct curbside ADA Paratransit or Dial-A-Ride service will not be available to some lots along alleys.
 - c) A clause prohibiting the amendment, revision or deletion of any sections in the CC&Rs required by these conditions of approval without the prior written consent of the City Attorney. (Attorney, Planning)
80. Parcels C-50, C-51, C-52, C-53, and C-54 will not be accepted by the City, either in fee or as an easement, until after the subdivider has fulfilled the terms of the Permit from the Department of Fish and Game or Army Corps of Engineers. Upon completion of the monitoring period, the owner shall notify the City of Roseville Planning Department. (Planning, City Attorney)
81. The City shall not approve the Final Map for recordation until either:
- a) A subdivision agreement is entered into along with the necessary bonds and insurance as required by the City. Said agreement shall be in a form acceptable to the City Attorney.
 - OR
 - b) The improvement plans are approved, and the improvements are constructed and accepted as complete. In this case, the subdivider shall enter into a one-year maintenance agreement concurrent with the recordation of the Final Map. (Engineering)
82. Any structures crossing Lot/Parcel lines created by the Final/Parcel map shall be removed. (Engineering)
83. Per the development agreement, if a bike trail is completed prior to City's acceptance of open space within which the trail is located, City shall be responsible for trail maintenance. In that case, the City and developer shall memorialize the maintenance obligations through recordation of a temporary maintenance and pedestrian/bike access easement. (Alternative Transportation, Engineering)
84. Per the Development Agreement, the developer shall pay \$20,000 for the Creekview Specific Plan area's fair share contribution towards update of the Long Range and Short Range Transit Plans and the Bicycle Master Plan. (Building, Alternative Transportation)
85. Per Section 3.5.2 of the Development Agreement, an access easement shall be granted to the Wagner Property with the recordation of the first Final Map. (Engineering)
86. The street names shall be approved by the City of Roseville. (Engineering)
87. Applicant has the option of forming a Community Facilities District – Public Facilities (CFD) for the purpose of financing the construction and/or acquisition of public infrastructure and facilities within the project area. In order to allow the CFD to be in place at the beginning of the Levy cycle, the documentation shall be provided to the Finance Department not later than March 15 of the year preceding the Levy cycle in which the CFD will become effective. (Finance)
88. A Community Facilities District – Public Services (Services CFD) shall be formed for the subject property prior to the issuance of the first residential building permit, excluding permits for model homes or certificates of occupancy for non-residential uses. This district is being formed in order to fund maintenance of landscaping, open space, trails and neighborhood parks. It is the applicant's responsibility to cooperate with the Finance Department in preparing the appropriate documentation for the formation of the Services CFD. In order to allow the CFD to be in place at the beginning of the Levy cycle, the documentation shall be provided to the Finance Department not later than

March 15 of the year preceding the Levy cycle in which the Services CFD will become effective. (Finance)

89. The subject property shall be annexed into Municipal Services District #3 (Muni CFD) prior to the issuance of the first residential building permit, excluding permits for model homes or certificates of occupancy for non-residential uses. This property is being added into this district in order to provide the funds required to offset the property's impact on City general fund resources available to pay for municipal services citywide, including the project area. It is the applicant's responsibility to cooperate with the Finance Department in preparing the appropriate documentation for the annexation of this property into the CFD. In order to allow the CFD to be in place at the beginning of the Levy cycle, the documentation shall be provided to the Finance Department not later than March 15 of the year preceding the Levy cycle in which the Muni CFD will become effective. (Finance)
90. The Final/Parcel Map shall include an irrevocable offer to dedicate public rights-of-way and public and/or private easements as required by the City. Lettered Lots/Parcels along major roads shall be dedicated in fee to the City as right of way. (Engineering)
91. The words "traffic control appurtenances" shall be included in the list of utilities allowed in public utilities easements (PUE's) located along public roadways. (Engineering)
92. The Final/Lot/Parcel/Parcel Map shall be submitted per, "The Digital Submittal of Cadastral Surveys". Submittal shall occur after Engineering approval but prior to Council approval (Engineering)
93. Electric construction costs incurred by the City of Roseville Electric Department for this project shall be paid for by the developer per the applicable policy. (Electric)
94. The Environmental Utilities Department shall make a determination that there is adequate conveyance and treatment capacity in the City sewer system to handle the newly created Lot/Parcels. (Environmental Utilities)
95. The applicant shall pay all applicable water and sewer fees. (Environmental Utilities)

OTHER CONDITIONS OF APPROVAL

96. The applicant shall pay City's actual costs for providing plan check, installation and inspection services. This may be a combination of staff costs and direct billing for contract professional services (Environmental Utilities, Engineering)
97. Any relocation, rearrangement, or change to existing electric facilities due to this development shall be at the developer's expense. (Electric)
98. It is the responsibility of the developer to insure that all existing electric facilities remain free and clear of any obstructions during construction and when the project is complete. (Electric)
99. Existing public facilities damaged during the course of construction shall be repaired by the applicant, at the applicant's expense, to the satisfaction of the City. (Engineering)
100. The project is subject to the noise standards established in the City's Noise Ordinance. In accordance with the City's Noise Ordinance project construction is exempt between the hours of seven a.m. and seven p.m. Monday through Friday, and between the hours of eight a.m. and eight p.m. Saturday and Sunday. Provided, however, that all construction equipment shall be fitted with

factory installed muffling devices and that all construction equipment shall be maintained in good working order. (Engineering)

101. If site survey or earth moving work results in the discovery of hazardous materials in containers or what appears to be hazardous wastes released into the ground, the contractor shall notify the Roseville Fire Department immediately. A representative from the Fire Department will make a determination as to whether the incident is reportable or not and if site remediation is required. Non-emergency releases or notifications about the presence of containers found shall be reported to the Fire Department. (Fire)
102. Improvement plans shall show the Preserve boundary and label it as a protected area. The Pre-Construction meeting shall address the presence of the Preserve, the sensitive habitats present and minimization of disturbance to the Preserve. During grading and construction the preserve area shall be avoided and shall not be used for parking, storage, or project staging. The contractor shall remove all trash blown into the preserve from adjacent construction on a daily basis. After construction is complete, the temporary fencing shall be removed from the preserve, along with all temporary erosion control measures (e.g., straw bales, straw waddles and stakes, silt fencing). (Engineering, CDD, Planning, and Parks, Recreation & Libraries)
103. Landscape plans are required with the second submittal and shall include a master irrigation plan showing all phases of work. The master irrigation plan shall include all mainline layout/size, points of connection/sizes, controller location, valves and phasing with limit of work lines. This plan shall be included in all phased submittals. All irrigation and landscaping shall comply with the Parks Construction Standards. (Parks, Recreation & Libraries)
104. Clearly delineate City-maintained landscaping from privately maintained landscaping with a concrete mowband consistent with the Parks Construction Standards. (Parks, Recreation & Libraries)
105. For streetscapes to be City-maintained, provide a 2' bench between back of walk and toe of slope sloped away from the back of walk to decrease nuisance run-off from irrigated and landscaped slopes. (Parks, Recreation & Libraries)
106. Slopes along the developed property line and open space shall be 3:1 or less (severe). (Parks, Recreation & Libraries)
107. The dedication of parkland (future parks and/or open space) shall be deeded to the City through an Irrevocable Offer of Dedication (IOD). As a default, park and open space parcels shall be excluded from acceptance through the mapping approvals and completed as a separate deed process. (Parks, Recreation & Libraries, Development Services)
108. For Parks, the transfer of property shall be at the City's request, generally, timed to coincide with the start of the specific park design phase of work, unless otherwise noted or requested.
109. For Open Space, the transfer of property shall occur once all mitigation measures, Developer construction activity immediately surrounding the parcel and implementation measures identified in the Overarching Open Space Management Plan have been completed and verified as complete by the Open Space Division of Parks & Recreation, unless otherwise noted or requested.
110. For streetscapes to be City-maintained, the lots shall be separate parcels and accepted through the standard Certificate of Compliance (COC) process for street improvements. The establishment period shall be complete at COC unless a letter of agreement outlining establishment responsibilities beyond

the COC has been executed between the City and Developer. All HOA maintained landscaping shall be clearly identified on the landscape plans at time of plan approval.

111. The project shall comply with all applicable environmental mitigation measures identified in the CSP.
(Planning)

MAP ADVISORY NOTES

112. Future entitlement requests for parcels identified below shall at the discretion of the City require either the installation of a bus shelter(s) or the execution of an deferred improvement or other agreement between the developer and the City:
- a. Parcel C-20 - Shelter #290
 - b. Parcel C-24 - Shelter #s 288 & 291
 - c. Parcel C-29 - Shelter #292
 - d. Parcel C-30 - Shelter #285
 - e. Parcel C-70 – Shelter #s 286 & 287
113. The school/park campus shall be planned and coordinated between the Developer, City and School District prior to any application or submittal to the State Architects for review and approval. (Parks, Recreation & Library)
114. Prior to the approval of each final small residential lot subdivision map containing lots for affordable purchase, (C20, C-22, & C-30) the developer shall enter into City's current Affordable Purchase Housing Agreement for such residential purchase units affordable to middle-income households.
(Housing)
115. An Affordable Housing Rental Agreement shall be executed prior to issue of building permits for C-42.
(Housing)

CONDITIONS OF APPROVAL FOR DESIGN REVIEW PERMIT FOR RESIDENTIAL SUBDIVISION

1. The development standards and conceptual unit designs for CSP Parcels C-25 and C-26 are approved as described in Exhibits B & C, except as modified by these conditions of approval.
(Planning)
2. This permit shall expire on the same date as the Tentative Subdivision Map for 3000 Westbrook Blvd. Effectuation of this DRRS shall occur with the first residential Building Permit for Parcels C-25 or C-26. (Planning)
3. Prior to issuance of building permits for construction of homes within CSP Parcels C-25 & C-26 the home builder shall be required to submit for a second DRRS approval to revisit the proposed architecture and make adjustments based on the specific product type being proposed. Detailed information (e.g. development standards, floor plans, architectural styles, materials and color boards, etc.) shall be provided as part of the DRRS resubmittal. (Planning)
4. Any relocation or modification to the existing utility facilities or other existing improvements required for the development of the subdivisions shall be at the developer's expense. (Electric, Environmental Utilities, Engineering, Fire, Planning)

5. The landscape plan shall comply with the Landscape Guidelines for the CSP and the City of Roseville Water Efficient Landscape ordinance (currently found in the R.M.C. Chapter 19.67). (Planning)
6. The Developer shall ensure that if a residential property is improved with separated sidewalks, the residential property shall be subject to recorded CC&Rs containing a requirement that the owner of a residential unit immediately adjacent to a separated sidewalk is responsible for the maintenance of all landscaping within the landscape planter, including street trees, located between the separated sidewalk and curb, except where a Homeowners Association maintains the landscaping within the landscape planter. (Planning)
7. The project shall comply with all applicable mitigation measures required by the CSP certified by the City of Roseville on September 19, 2012, and as identified in the CSP EIR prepared for this project. (All Departments)

**TREE PERMIT CONDITIONS AND COMPLIANCE VERIFICATION/INSPECTION CHECKLIST –
 PL14-0522**

CONDITION	COMPLIANCE VERIFIED/ INSPECTED	COMMENTS
PRIOR TO ISSUANCE OF ANY PERMITS OR ANY CONSTRUCTION ON-SITE		
1. All recommendations contained in the Arborist Report (see Exhibit J) shall be incorporated as part of these conditions except as modified herein. (Planning)		
2. As indicated in Page 2 of Exhibit D, 73 trees are approved for removal with this tree permit. All other native oak trees shall remain in place. The trees to be removed shall be clearly marked in the field and inspected by Planning Staff prior to removal. Removal of the trees shall be performed by or under the supervision of a certified arborist. (Planning)		
3. The developer shall be responsible for the mitigation of 1,173 inches. Mitigation shall be provided through payment of in lieu fees totaling \$138,414 (mitigated at \$118 per inch). The in lieu fees will be paid over two phases. Prior to construction in each phase, mitigation for Phase 1 shall total \$79,060.00 and Phase 2 shall total \$59,354.00. (Planning)		
4. No activity shall be permitted within the protected zone of any native oak tree beyond those identified by this report. (Planning)		

5. A \$10,000 cash deposit or bond for each Parcel encumbered with native oak trees (or other means of security provided to the satisfaction of the Planning Department) shall be posted to insure the preservation of all remaining trees during construction. The cash deposit or bond shall be posted in a form approved by the City Attorney. Each occurrence of a violation on any condition regarding tree preservation shall result in forfeiture of all or a portion of the cash deposit or bond. (Planning)		
6. A violation of any of the conditions of this Tree Permit is a violation of the Roseville Municipal Code, the Zoning Ordinance (Chapter 19.74) and the Tree Preservation Ordinance (Chapter 19.66). Penalties for violation of any of the conditions of approval may include forfeiture of the bond, suspension or revocation of the permit, payment of restitution, and criminal penalties. (Planning)		
7. A fencing plan shall be shown on the approved site plan and/or improvement plans demonstrating the Protected Zone for the affected trees. A maximum of three feet beyond the edge of the walls, driveway, or walkways will be allowed for construction activity and shall be shown on the fencing plan. The fencing plan shall be reviewed and approved by the Planning Division prior to the placement of the protective fencing. (Planning)		
8. The applicant shall install a minimum of a five-foot high chain link fence (or acceptable alternative) at the outermost edge of the Protected Zone of all oak trees in construction area. The fencing for encroachments shall be installed at the limit of construction activity. The applicant shall install signs at two equidistant locations on the temporary fence that are clearly visible from the front of the lot and where construction activity will occur. The size of each sign shall be a minimum of two feet (2') by two feet (2') and must contain the following language: "WARNING THIS FENCE SHALL NOT BE REMOVED OR RELOCATED WITHOUT WRITTEN AUTHORIZATION FROM THE PLANNING DIVISION". (Planning)		
9. Once the fencing is installed, the applicant shall schedule an appointment with the Planning Division to inspect and approve the temporary fencing before beginning any construction. (Planning)		

10. The applicant shall arrange with the arborist to perform, and certify in writing, the completion of deadwooding, fertilization, and all other work recommended for completion prior to the approval of improvement plans. Pruning shall be done by an Arborist or under the direct supervision of a Certified Arborist, in conformance with International Society of Arboriculturalists (I.S.A.) standards. Any watering and deep root fertilization which the arborist deems necessary to protect the health of the trees as noted in the arborist report or as otherwise required by the arborist shall be completed by the applicant. (Planning)		
11. A utility trenching pathway plan shall be submitted depicting all of the following systems: storm drains, sewers, water mains, and underground utilities. The trenching pathway plan shall show the proposed locations of all lateral lines. (Planning)		
12. A Site Planning Meeting shall be held with the applicant, the applicant's primary contractor, the Planning Division and the Engineering Division to review this permit, the approved grading or improvement plans, and the tree fencing prior to any grading on-site. The Developer shall call the Planning and Engineering Divisions two weeks prior to the start of grading work to schedule the meeting and fencing inspection. (Planning)		
DURING CONSTRUCTION		
13. The following information must be located on-site during construction activities: Arborist Report; Approved site plan/improvement plans including fencing plan; and, Conditions of approval for the Tree Permit. All construction must follow the approved plans for this tree permit without exception. (Planning)		
14. All preservation devices (aeration systems, oak tree wells, drains, special paving, etc.) shall be designed and installed as required by these conditions and the arborist's recommendations, and shall be shown on the improvement plans or grading plans. (Planning)		
15. If any native ground surface fabric within the Protected Zone must be removed for any reason, it shall be replaced within forty-eight (48) hours. (Planning)		
16. Storage or parking of materials, equipment and vehicles is not permitted within the protected zone of any oak tree. Vehicles and other heavy equipment shall not be operated within the Protected Zone of any oak tree. (Planning)		

17. Where recommended by the arborist, portions of the foundation shall be hand dug under the direct supervision of the project arborist. The certified arborist shall immediately treat any severed or damaged roots. Minor roots less than one (1) inch in diameter may be cut, but damaged roots shall be traced back and cleanly cut behind any split, cracked or damaged area. Major roots over one (1) inch in diameter may not be cut without approval of an arborist and any arborist recommendations shall be implemented. (Planning)		
18. The temporary fencing shall remain in place throughout the entire construction period and shall not be removed without obtaining written authorization from the Planning Division. In no event shall the fencing be removed before the written authorization is received from the Planning Division. (Planning)		
PRIOR TO ISSUANCE OF AN OCCUPANCY PERMIT		
19. Within 5 days of the completion of construction, a Certification Letter from a certified arborist shall be submitted to and approved by the Planning Division. The certification letter shall attest to all of the work (regulated activity) that was conducted in the protected zone of the tree, either being in conformance with this permit or of the required mitigation still needing to be performed. (Planning)		
20. A copy of this completed Tree Permit Compliance Verification/Inspection form shall be submitted to the Planning Division. (Planning)		
21. The approval of this Tree Permit shall expire on the same date as the CSP Tentative Subdivision Map (PL14-0522).		

Attachment

1. CSP Land Use Plan

Exhibits

- A. Land Use by Parcel
- B. Land Use Summary
- C. Tentative Subdivision Map Sheets
- D. Project Phasing Plan
- E. Utility and Roadway Phasing Plan Sheets
- F. Open Space Master Access Plan
- G. Development Standards Table (DRRS)
- H. DRRS Packet
- I. Sierra Nevada Arborist Reports dated January 19, 2007
- J. Sierra Nevada Arborist Reports dated October 13, 2015

Note to Applicant and/or Developer: Please contact the Planning Division staff at (916) 774-5276 prior to the Commission meeting if you have any questions on any of the recommended conditions for your project. If you challenge the decision of the Commission in court, you may be limited to raising only those issues which you or someone else raised at the public hearing held for this project, or in written correspondence delivered to the Planning Manager at, or prior to, the public hearing.

ADMINISTRATIVE PERMIT

CREEKVIEW REVISED LAND USE BY PARCEL

Table 4-2: Land Use by Parcel Number

PARCEL	GENERAL PLAN LAND USE (Specific Plan Land Use)	ZONING	ACRES ^{1,2}		ALLOCATED UNITS	PROPOSED UNITS	UNIT CHANGE	DENSITY ³	
C-1	LDR (Residential)	R1/DS	19.89	19.5	95	94	(1)	4.8	4.8
C-2	LDR (Residential)	R1/DS	10.04	10.1	50	52	2	5.0	5.5
C-3	LDR (Residential)	R1/DS	14.11	14.0	70	67	(3)	5.0	4.9
C-4	LDR (Residential)	R1/DS	9.73	9.6	55	51	(4)	5.7	5.4
C-5	LDR (Residential)	R1/DS	13.44	13.6	75	74	(1)	5.6	5.7
C-6	LDR (Residential)	R1/DS	8.74	8.4	46	48	2	5.3	6.4
C-7	LDR (Residential)	R1/DS	14.13	13.9	80	74	(6)	5.7	5.6
C-8	LDR (Residential)	R1/DS	6.00	5.7	35	32	(3)	5.8	6.0
C-9	LDR (Residential)	R1/DS	22.20	21.8	110	97	(13)	5.0	4.6
C-10	LDR (Residential)	R1/DS	7.71	7.4	40	44	4	5.2	6.6
C-11	LDR (Residential)	R1/DS	6.89	6.9	35	28	(7)	5.1	4.3
C-12	LDR (Residential)	R1/DS	18.38	17.1	90	84	(6)	4.9	5.1
C-13	LDR (Residential)	R1/DS	8.79	10.0	55	65	10	6.3	6.5
C-20	MDR (Residential)	RS/DS	9.22	9.1	75	79	4	8.1	9.1
C-21	MDR (Residential)	RS/DS	8.20	8.0	95	95	0	11.6	12.3
C-22	MDR (Residential)	RS/DS	11.39	11.3	105	108	3	9.2	9.6
C-23	MDR (Residential)	RS/DS	6.67	6.7	80	80	0	12.0	11.9
C-24	MDR (Residential)	RS/DS	7.88	7.9	65	69	4	8.2	9.2
C-25	MDR (Residential)	RS/DS	3.44	3.9	35	25	(10)	10.2	7.4
C-26	MDR (Residential)	RS/DS	3.42	3.1	35	21	(14)	10.2	7.2
C-27	MDR (Residential)	RS/DS	4.49	4.5	50	50	0	11.1	11.1
C-28	MDR (Residential)	RS/DS	3.85	3.9	35	39	4	9.1	10.0
C-29	MDR (Residential)	RS/DS	4.87	4.8	40	44	4	8.2	10.2
C-30	MDR (Residential)	RS/DS	5.29	5.1	40	44	4	7.6	10.0
C-40	HDR (Residential)	R3	5.12	5.2	135	151	16	26.4	29.0
C-41	HDR (Residential)	R3	6.02	6.0	165	176	11	27.4	29.3
C-42	HDR (Residential)	R3	7.69	7.5	220	220	0	28.6	29.3
C-50	OS (Open Space)	OS	36.66	36.5					
C-51	OS (Open Space)	OS	36.46	35.6					
C-52	OS (Open Space)	OS	15.09	15.1					
C-53	OS (Open Space)	OS	24.83	25.1					
C-54	OS (Open Space)	OS	24.01	24.6					
C-60	PR (Park)	PR	7.38	7.4					
C-61	PR (Park)	PR	4.79	4.8					
C-62	PR (Park)	PR	1.56	1.6					
C-63	PR (Park)	PR	2.31	2.3					
C-70	CC (Commercial)	CC	15.37	15.5					
C-71	CC (Community Commercial / Business Professional)	CC	3.77	3.8					
C-80	PQP (Elementary School)	PQP	7.02	7.0					
C-81	PQP (Electric Substation)	PQP	1.43	1.4					
C-82	PQP (Lift Station)	PQP	0.59	0.6					
C-83	PQP (Recycling Center)	PQP	0.57	0.6					
C-84	PQP (Well Site)	PQP	0.52	0.5					
C-90	UR (Urban Reserve)	UR	39.80	39.8					
C-100	ROW (Right of Way)	ROW	32.10	33.9					
TOTAL			501.86	501.1	2011	2011	0		

1. The change in the total Plan acreage is based on a boundary survey of the area included in the SLTM application, conducted by Mackay & Soms,.
2. Individual acreages shown are based on the Small Lot Tentative Map gross acreage with the addition of the adjacent landscape corridors LC-1 thru LC-9.
3. Densities shown are based on the Small Lot Tentative Map net acreages, which exclude the adjacent landscape corridors LC-1 thru LC-9 and internal Village landscape corridors.

Table 4-1: Land Use Summary

GENERAL PLAN LAND USE (Specific Plan Land Use)	ACRES	% OF TOTAL ACRES	UNITS	% OF TOTAL UNITS
RESIDENTIAL				
LDR (Residential)	158.0	31.53%	810	40.28%
MDR (Residential)	68.3	13.63%	654	32.52%
HDR (Residential)	18.7	3.73%	547	27.20%
Subtotal	245.0	48.89%	2011	100.00%
SERVICE AND EMPLOYMENT				
CC (Commercial)	15.5	3.09%	0	0.00%
CC (Community Commercial / Business Professional)	3.8	0.76%	0	0.00%
Subtotal	19.3	3.85%		0.00%
OPEN SPACE AND PUBLIC				
OS (Open Space)	136.9	27.32%	0	0.00%
PR (Park)	16.1	3.21%	0	0.00%
PQP (Recycling Center)	0.6	0.12%	0	0.00%
PQP (Electric Substation)	1.4	0.28%	0	0.00%
PQP (Elementary School)	7.0	1.40%	0	0.00%
PQP (Lift Station)	0.6	0.12%	0	0.00%
PQP (Well Site)	0.5	0.10%	0	0.00%
UR (Urban Reserve)	39.8	7.94%	0	0.00%
ROW (Right of Way)	33.9	6.77%	0	0.00%
Subtotal	236.8	47.26%		
Total	501.1	100.00%	2011	100.00%

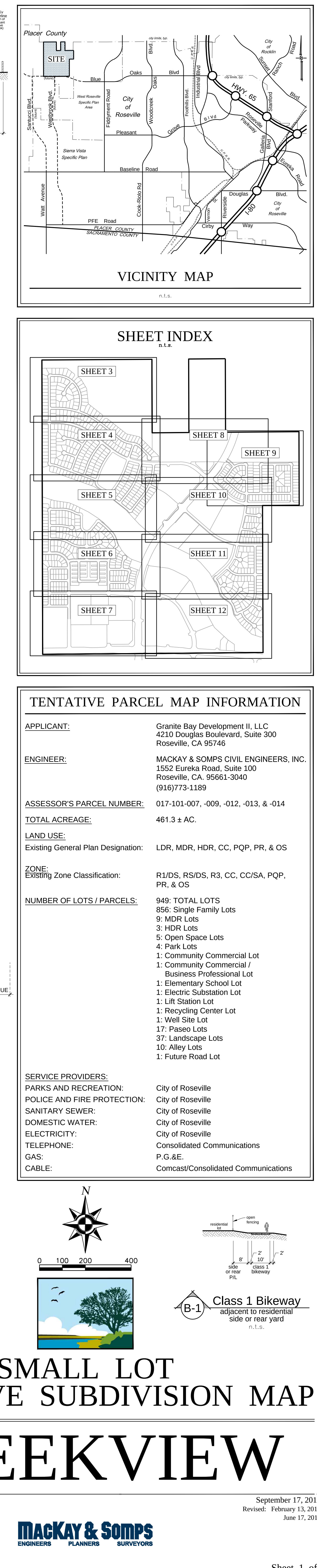
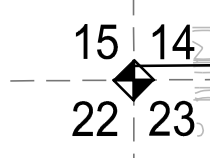
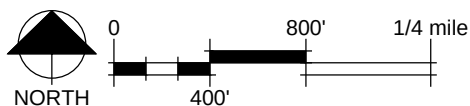
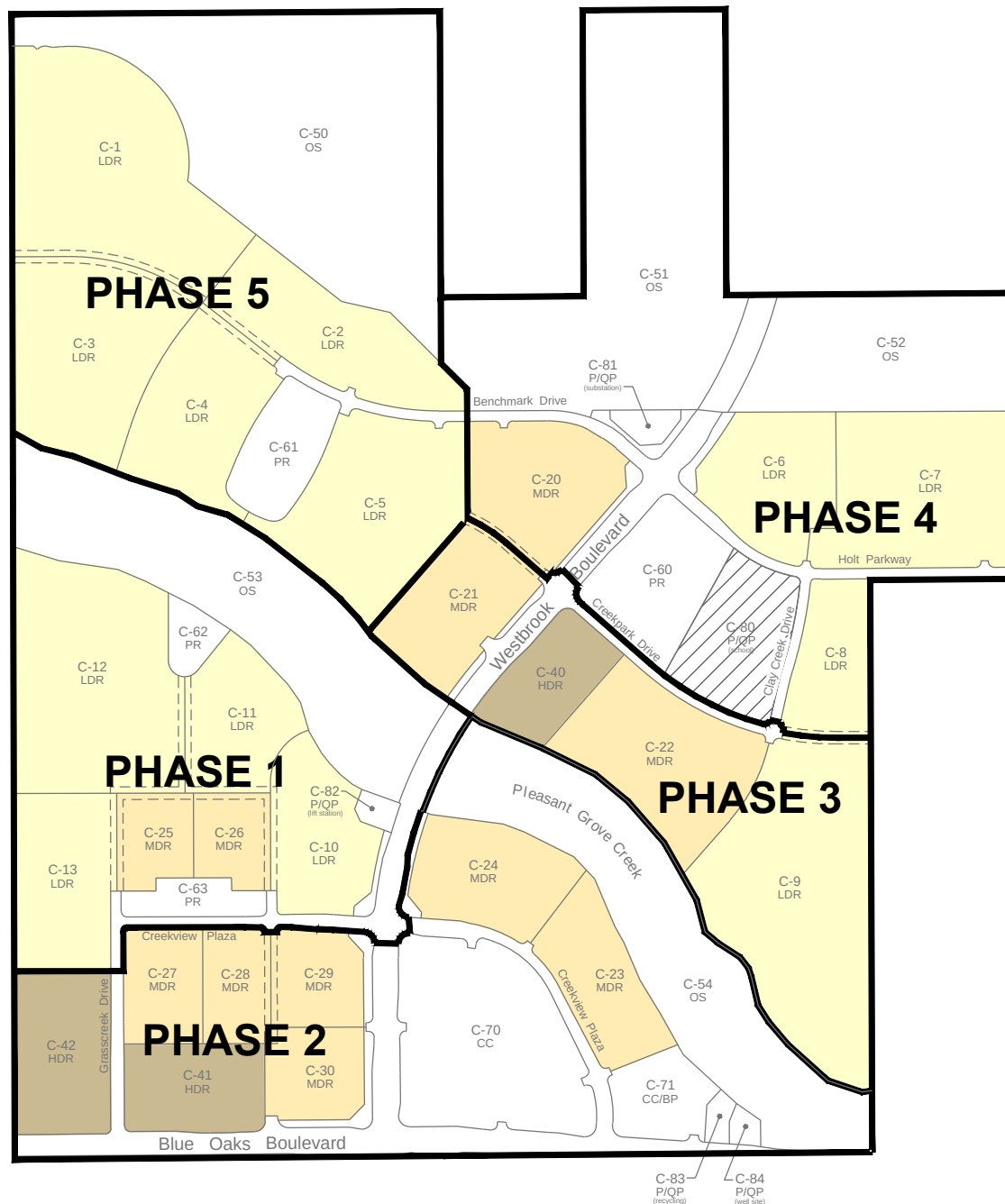


Exhibit E: Phasing Plan



Phase 1

Parcel	Net Acres	Unit Count	Density du/ac	Land Use	Typical Lot Size
C-10	6.7	44	6.6	LDR	45' x 90'
C-11	6.5	28	4.3	LDR	55' x 100'
C-12	16.5	84	5.1	LDR	55' x 100'
C-13	10.0	65	6.5	LDR	45' x 90'
C-25	3.4	25	7.4	MDR-1	
C-26	2.9	21	7.2	MDR-1	

Subtotal 46.0 267

Phase 2

Parcel	Acres	Unit Count	Density du/ac	Land Use
C-23	6.7	80	11.9	MDR-1
C-24	7.5	69	9.2	MDR-2
C-27	4.5	50	11.1	MDR-1
C-28	3.9	39	10.0	MDR-1
C-29	4.3	44	10.2	MDR-2
C-30	4.4	44	10.0	MDR-2
C-41	6.0	176	29.3	HDR
C-42	7.5	220	29.3	HDR

Subtotal 44.8 722

Phase 3

Parcel	Acres	Unit Count	Density du/ac	Land Use	Typical Lot Size
C-9	21.3	97	4.6	LDR	55' x 100'
C-21	7.7	95	12.3	MDR-1	
C-22	11.3	108	9.6	MDR-1	
C-40	5.2	151	29.0	HDR	

Subtotal 45.5 451

Phase 4

Parcel	Acres	Unit Count	Density du/ac	Land Use	Typical Lot Size
C-6	7.5	48	6.4	LDR	45' x 90'
C-7	13.2	74	5.6	LDR	50' x 105'
C-8	5.3	32	6.0	LDR	45' x 90'
C-20	8.7	79	9.1	MDR-2	
School Site	7.0	0	0.0	PQP	

Subtotal 41.7 233

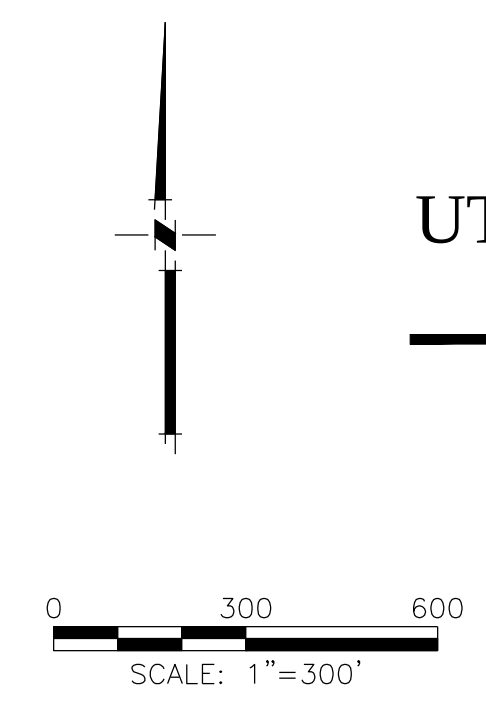
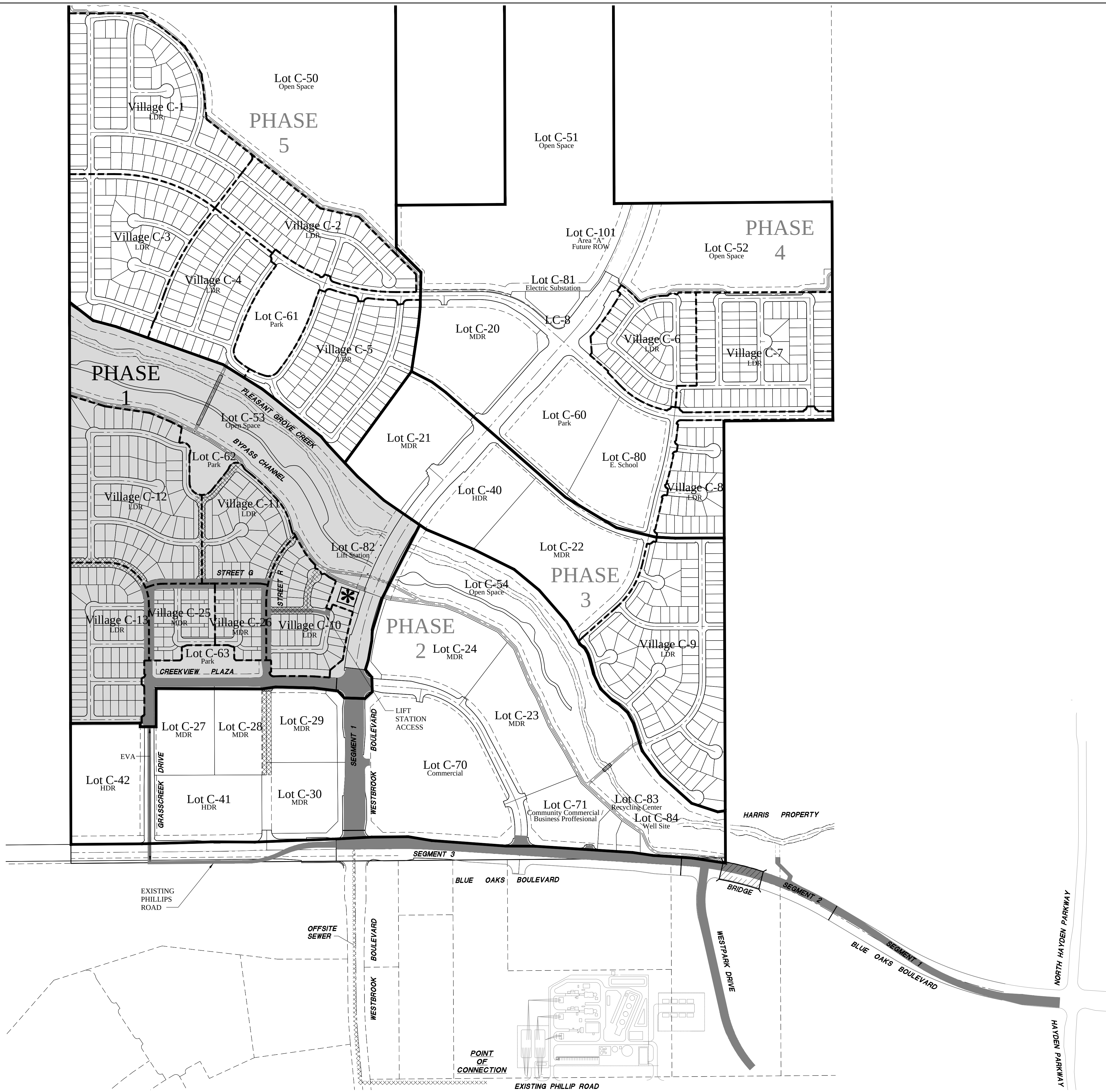
Phase 5

Parcel	Acres	Unit Count	Density du/ac	Land Use	Typical Lot Size
C-1	19.5	94	4.8	LDR	60' x 100'
C-2	9.5	52	5.5	LDR	55' x 100'
C-3	13.8	67	4.9	LDR	60' x 100'
C-4	9.5	51	5.4	LDR	55' x 100'
C-5	13.0	74	5.7	LDR	50' x 100'

Subtotal 65.3 338

MDR-1 = 10.0 du ac - 12 du ac (+/-)
MDR-2 = 9.0 du ac - 9.9 du ac

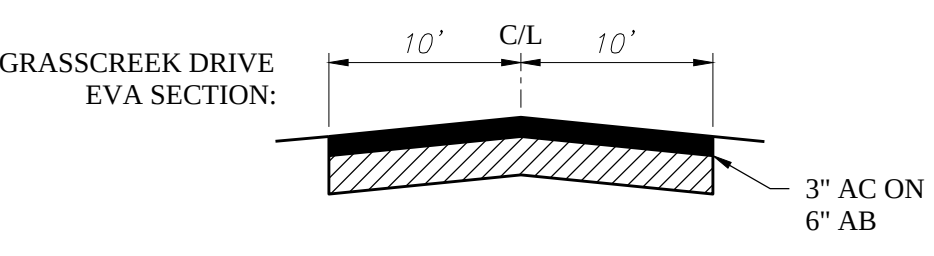


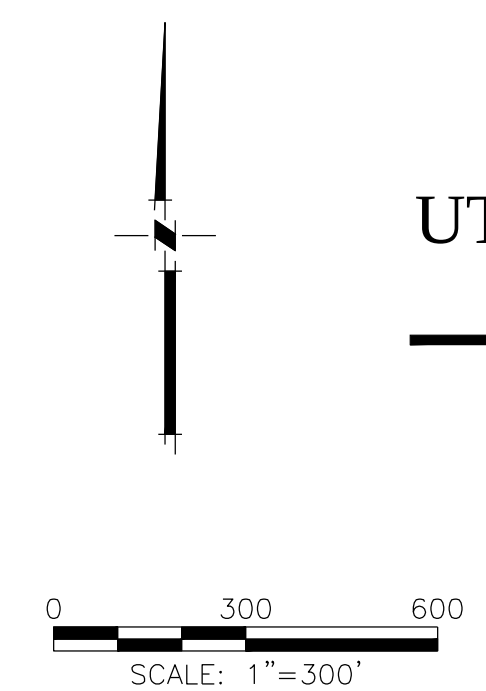
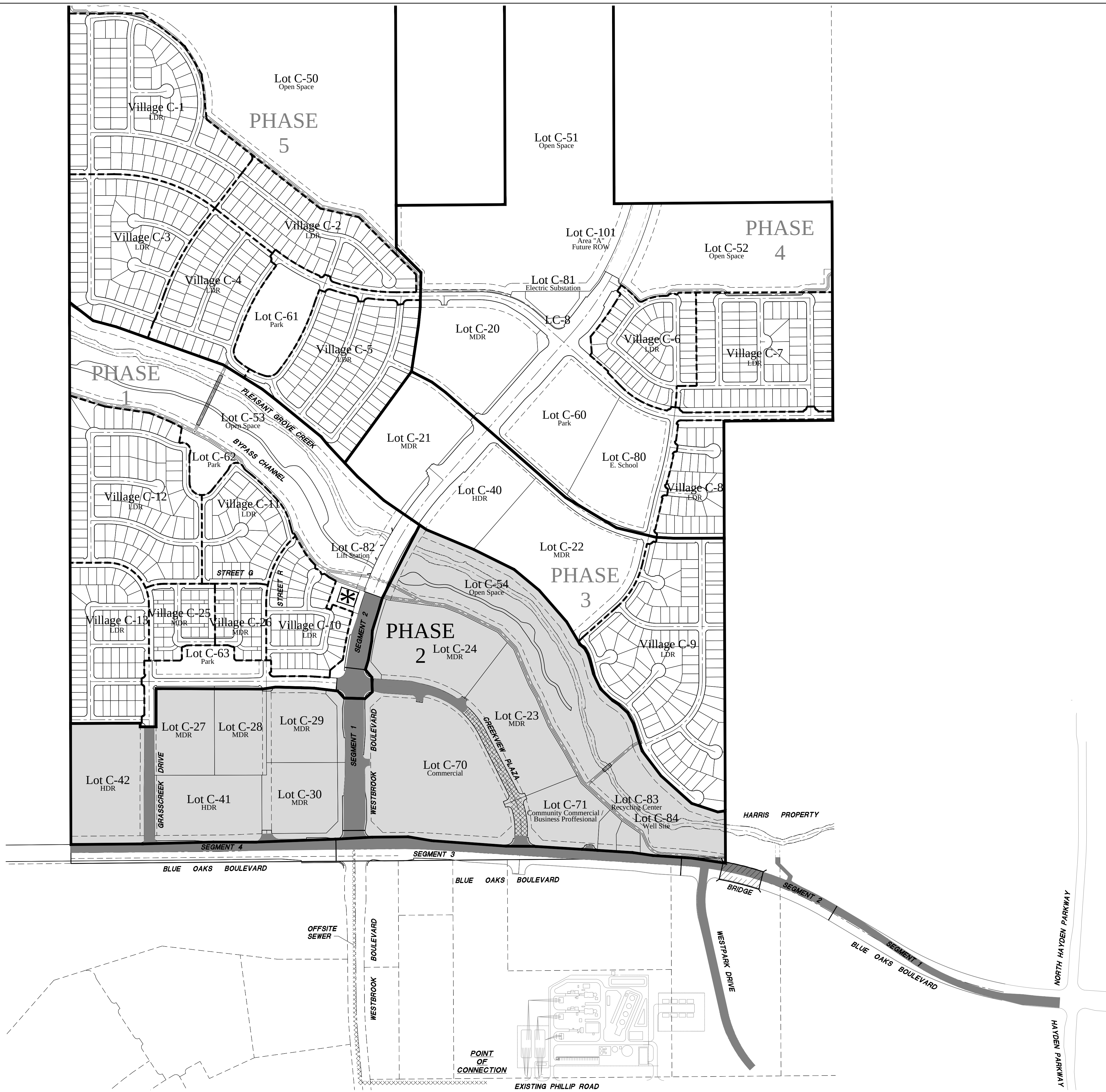


UTILITY & ROADWAY
PHASING GUIDE
PHASE 1
JUNE 15, 2015

- LEGEND
- VILLAGE BOUNDARY
 - PHASE BOUNDARY
 - PARTIAL UTILITIES ONLY/ IOD DEDICATION/ PUE ACCESS EASEMENTS WITH RIGHTS TO CONSTRUCT
 - ADDITIONAL FULL ROAD IMPROVEMENTS FOR THIS PHASE:
 - GRASSCREEK DRIVE
 - CREEKVIEW PLAZA DRIVE
 - STREET R
 - STREET G

- IMPROVEMENTS REQUIRED WITH ALL PHASES:
- BLUE OAKS BOULEVARD SEGMENT 1
 - BLUE OAKS BOULEVARD SEGMENT 2
 - BLUE OAKS BOULEVARD SEGMENT 3
 - WESTPARK DRIVE
 - WESTBROOK BOULEVARD SEGMENT 1
 - OFF SITE SEWER
 - * SEWER LIFT STATION





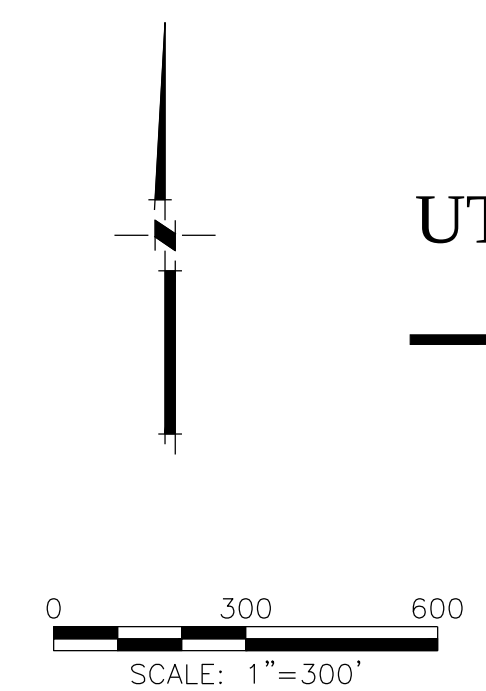
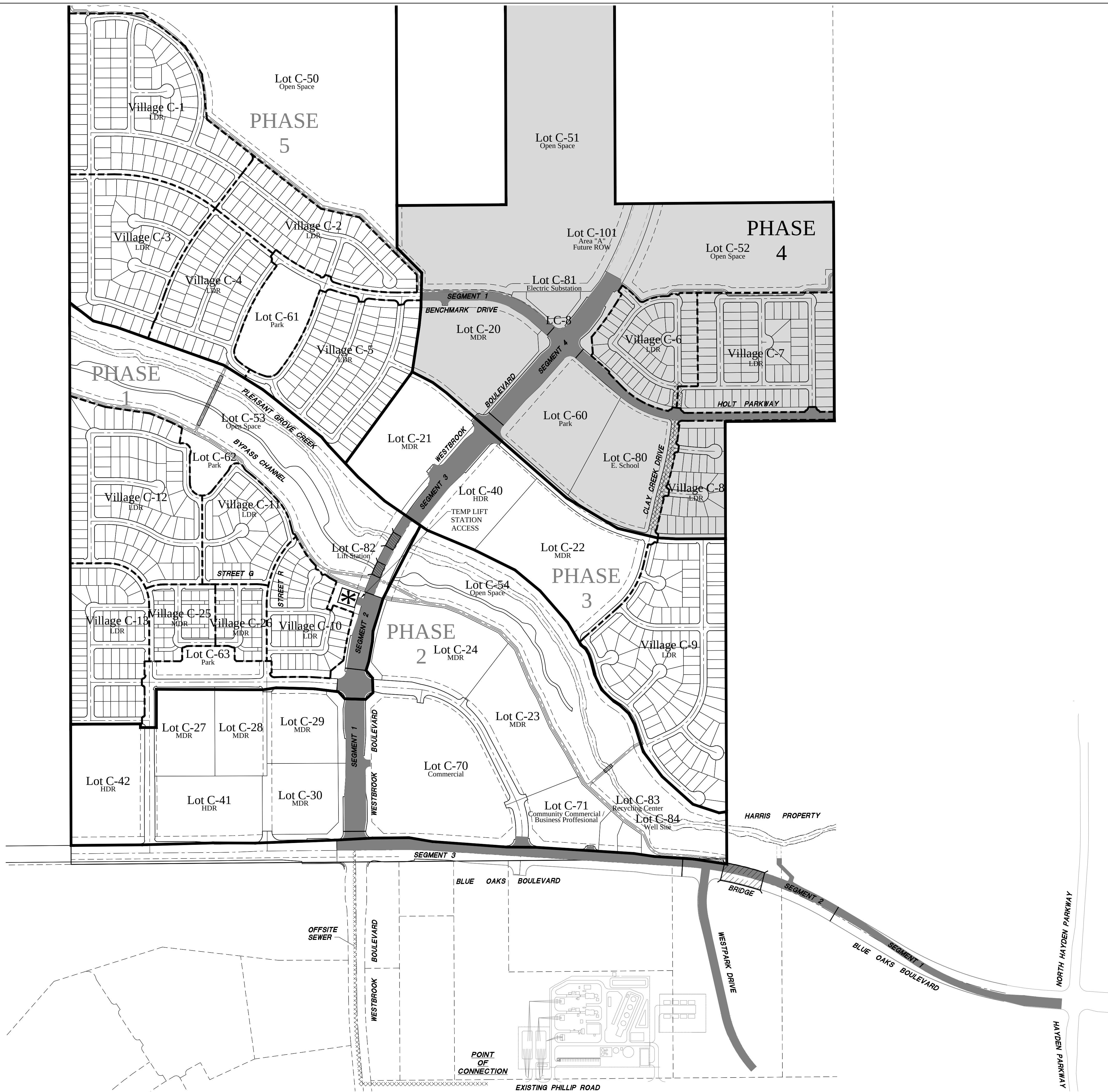
UTILITY & ROADWAY PHASING GUIDE PHASE 2

JUNE 15, 2015

- LEGEND**
- VILLAGE BOUNDARY
 - PHASE BOUNDARY
 - PARTIAL UTILITIES ONLY/ IOD DEDICATION/ PUE ACCESS EASEMENTS WITH RIGHTS TO CONSTRUCT
 - ADDITIONAL FULL ROAD IMPROVEMENTS FOR THIS PHASE:
 - BLUE OAKS BOULEVARD SEGMENT 4
 - WESTBROOK BOULEVARD SEGMENT 2
 - GRASSCREEK DRIVE
 - CREEKVIEW PLAZA DRIVE

- IMPROVEMENTS REQUIRED WITH ALL PHASES:**
- BLUE OAKS BOULEVARD SEGMENT 1
 - BLUE OAKS BOULEVARD SEGMENT 2
 - BLUE OAKS BOULEVARD SEGMENT 3
 - WESTPARK DRIVE
 - WESTBROOK BOULEVARD SEGMENT 1
 - OFF SITE SEWER
 - * SEWER LIFT STATION

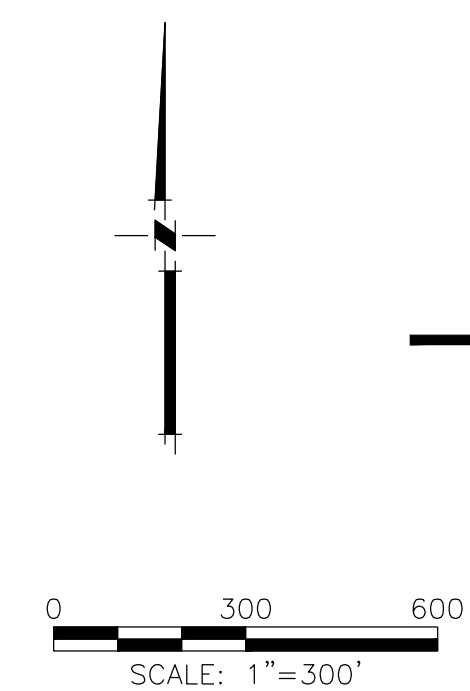
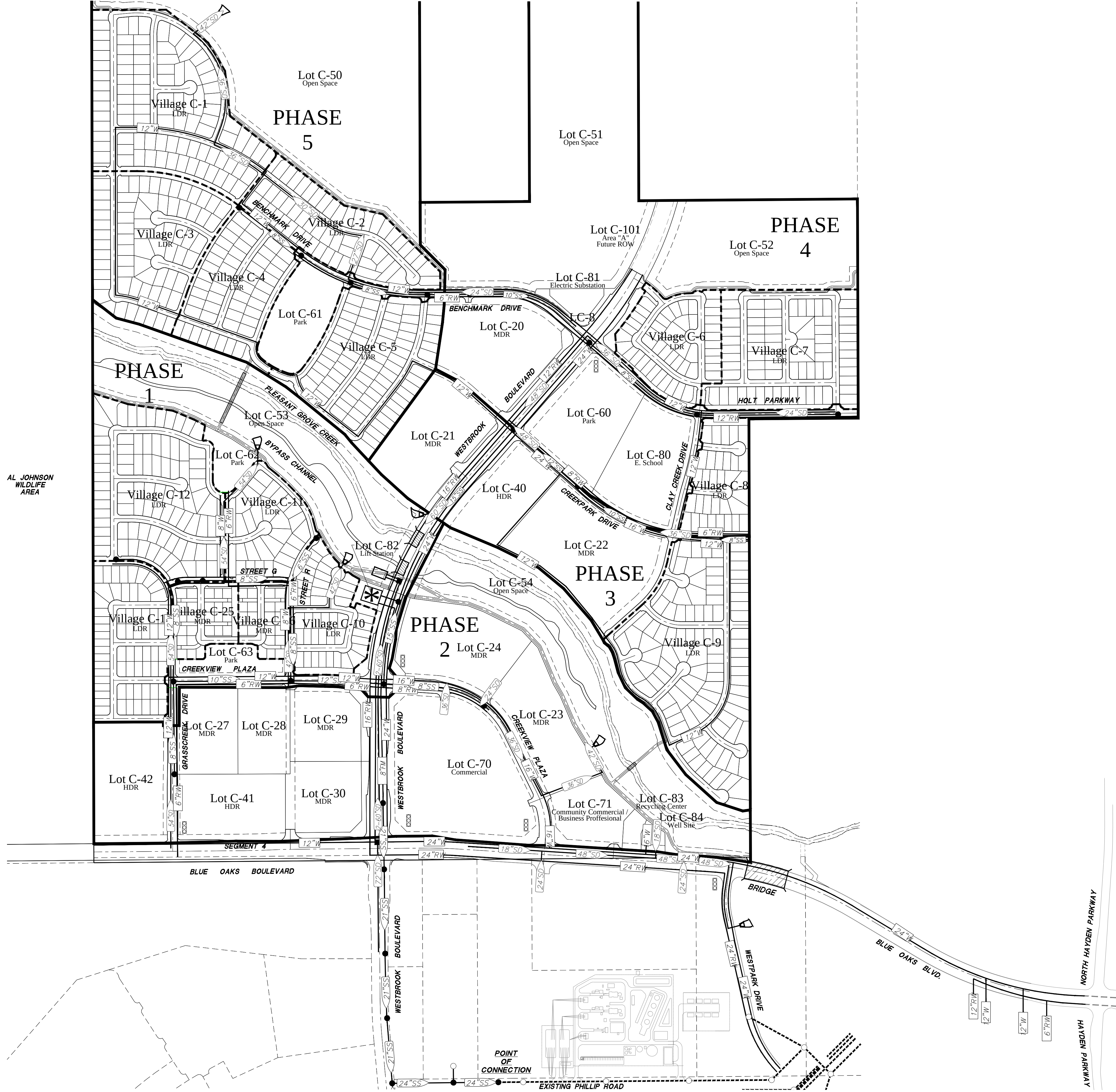
NOTE:
PHASE 2 ASSUMES PHASE 1 IMPROVEMENTS ARE COMPLETE. IF PHASE 1 IS NOT COMPLETE, ADDITIONAL IMPROVEMENTS WILL BE REQUIRED AS DIRECTED BY THE CITY.



UTILITY & ROADWAY
PHASING GUIDE
PHASE 4
MAY 18, 2015

- LEGEND
- VILLAGE BOUNDARY
 - PHASE BOUNDARY
 - PARTIAL UTILITIES ONLY/ IOD DEDICATION/ PUE ACCESS EASEMENTS WITH RIGHTS TO CONSTRUCT
 - ADDITIONAL FULL ROAD IMPROVEMENTS FOR THIS PHASE:
 - WESTBROOK BOULEVARD SEGMENT 2
 - WESTBROOK BOULEVARD SEGMENT 3
 - WESTBROOK BOULEVARD SEGMENT 4
 - HOLT PARKWAY
 - BENCHMARK DRIVE SEGMENT 1

- IMPROVEMENTS REQUIRED WITH ALL PHASES:
- BLUE OAKS BOULEVARD SEGMENT 1
 - BLUE OAKS BOULEVARD SEGMENT 2
 - BLUE OAKS BOULEVARD SEGMENT 3
 - WESTPARK DRIVE
 - WESTBROOK BOULEVARD SEGMENT 1
 - OFF SITE SEWER
 - * SEWER LIFT STATION



UTILITY PHASING GUIDE

JUNE 15, 2015

- LEGEND
- VILLAGE BOUNDARY
 - PHASE BOUNDARY
 - STORM DRAIN SYSTEM
 - SANITARY SEWER SYSTEM
 - WATER SYSTEM
 - RECYCLED WATER SYSTEM
 - SIGNALIZED INTERSECTION

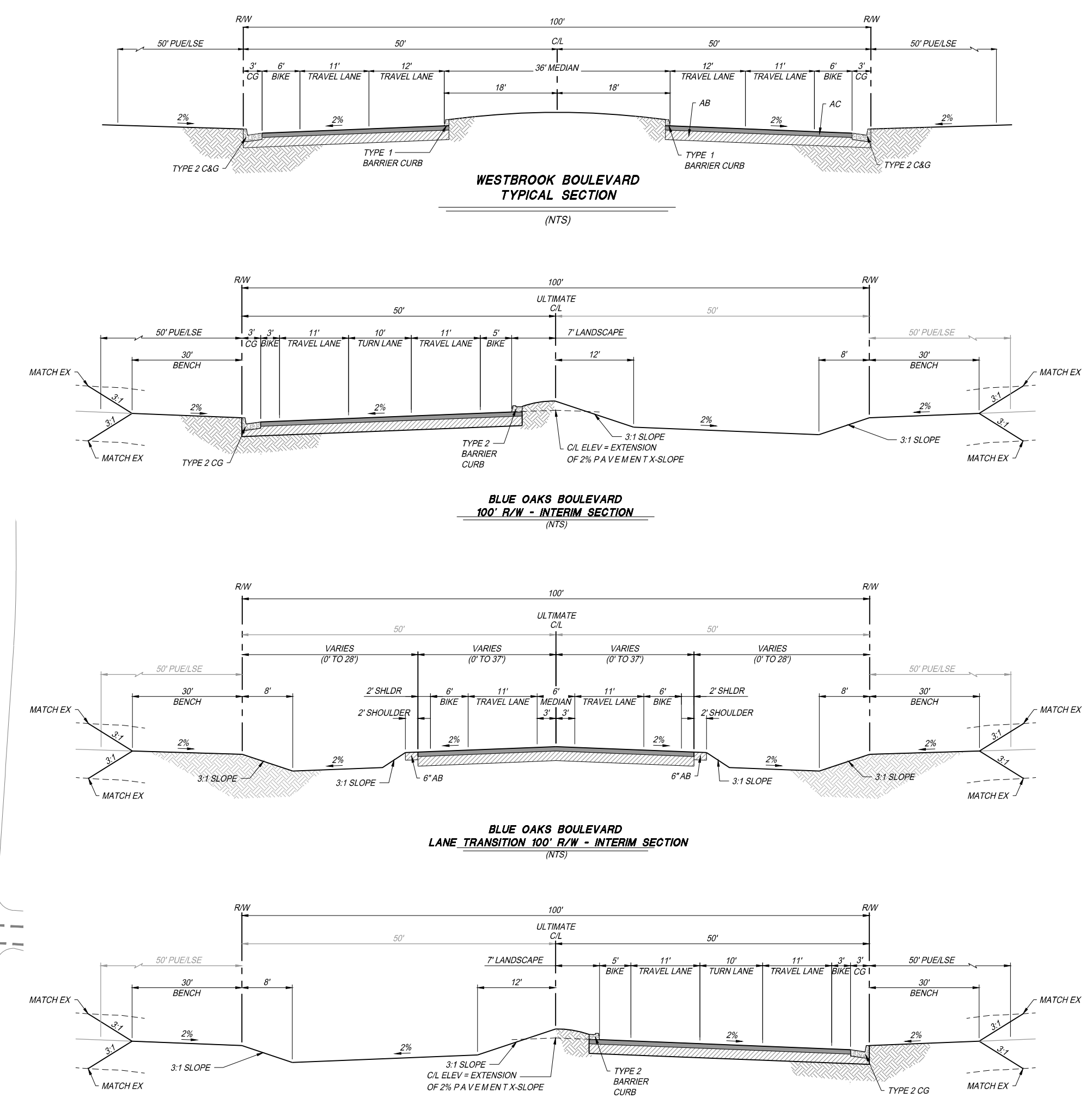
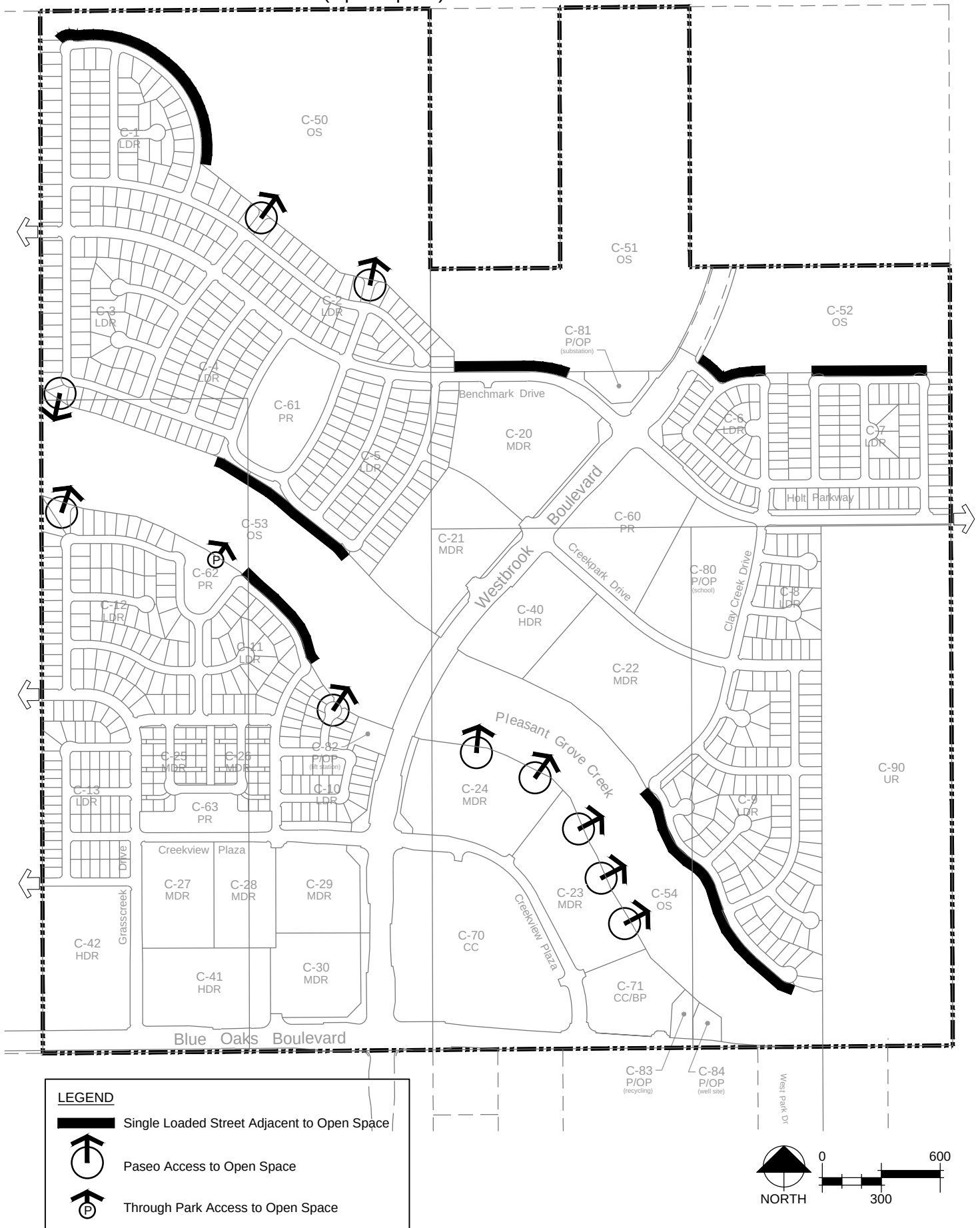


Exhibit D: Master Access Plan (Open Space)



Proposed MDR Development Standards for Parcels C-25 and C-26

	Standard RS w/Separated Sidewalk	RS/DS w/ Separated Sidewalk (CSP C-25 & C-26)
Area, Interior Lot	4,275 sq. ft.	3,000 sf
Area, Corner Lot	4,710 sq. ft.	3,500 sf
Width, Interior	45 ft.	50'
Width, Corner	50 ft.	60'
Residential Density	1 dwelling, 1 second unit	1 dwelling, 1 second unit
Front ²	10 ft. to living space and sidewall of garage; 7.5 ft. to porch	4 ft. to living space and garage 4 ft. to porch
Sides ²	5 ft. interior side 12.5 ft. street side on first floor 15 ft. street side second floor	3.5 ft. interior side 7 ft street side on first floor 10 ft. street side second floor
Rear	10 ft. minimum with minimum useable open space 500 sf	5 ft. minimum
Site Coverage	None	None
Height Limit	35 ft.	35 ft.
Front Yard Stagger	Not required	Not required
Stagger for 3 rd Car Garages	2 ft. between 3 rd car bay and two-car garage	N/A
Two-story mix	No Limit	No Limit
Separation between Second Story Elements	A minimum of 10 feet shall be provided between second story elements of adjacent two-story dwellings	A minimum of 8 feet shall be provided between second story elements of adjacent two-story dwellings
Building Exterior	Architectural treatment shall be applied to all elevations of a building. At a minimum, all doors, windows and other wall openings shall be trimmed consistent with the architectural style. Panelized windows or other architectural treatments shall be used on all garage doors	

Notes:

- (A) For C-24 and C-25 the garage doors on the shared private drive aisle easement are located a minimum of 4 feet from the 20 foot wide drive aisle. This will allow 24 feet to back out of garages.
- (B) The homes are setback 4 feet from the "front" lot line, adjacent to a 20 foot alley. This allows a minimum separation of 28 ft. between the front doors of homes.
- (C) The "i-court" product type proposes covered porches at the front or sides of the proposed homes measuring a minimum of 6' x 10' (60 sq.ft). In addition, a private yard with a shared use easement will provide additional outdoor space. The remaining areas will be landscaped and maintained by the HOA.

COURTS AT CREEKVIEW

SCHEMATIC DESIGN SET - PARCELS C-25 AND C-26



PERSPECTIVE VIEW



THE NEW
HOME
COMPANY

J Z M K
ARCHITECTS

Conceptual Unit Mix:

SFD 1	3 BR, 2.5 BA	1,694 S.F.
SFD 2	3 BR + DEN, 3 BA	1,779 S.F.
SFD 3	4 BR, 3 BA	2,039 S.F.
SFD 4	2 BR, 2 BA	1,157 S.F.

Total: 1,667 S.F. /AV.

Parking:
2-car attached direct access side-by-side garages for all homes

Building Height:
Approximately 31'-0"

Construction Type:
Type V: 1 & 2-Story Detached Single Family Homes



PLAN 3A
CALIFORNIA FARMHOUSE

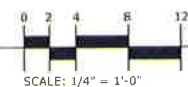
PLAN 1A
CALIFORNIA FARMHOUSE

PLAN 2B
DAVIS FARMHOUSE REVIVAL

- | | | |
|----------------------------------|---------------------------------|------------------|
| 1 STUCCO | 5 COMPOSITION SHINGLE ROOF TILE | 9 WOOD POST |
| 2 FIBER CEMENT HORIZONTAL SIDING | 6 METAL STANDING SEAM ROOF | 10 WOOD BEAM |
| 3 FIBER CEMENT BOARD AND BATT | 7 GABLE END ACCENT ATTIC VENT | 11 PLANTER SHELF |
| 4 WOOD SHUTTER | 8 WINDOW TRIM BOARD | 12 WOOD RAILING |

THE NEW
HOME
COMPANY

FRONT ELEVATION 2
COURTS AT CREEKVIEW
ROSEVILLE, CALIFORNIA



J Z M K
P A R T N E R S



PLAN 3B
DAVIS FARMHOUSE REVIVAL

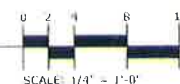
PLAN 1B
DAVIS FARMHOUSE REVIVAL

PLAN 2A
CALIFORNIA FARMHOUSE

- | | | |
|---------------------------------|---------------------------------|------------------|
| 1 STUCCO | 5 COMPOSITION SHINGLE ROOF TILE | 9 WOOD POST |
| 2 FIBER CEMENT HOMERUNNA SIDING | 6 METAL STANDING SEAM ROOF | 10 WOOD BEAM |
| 3 FIBER CEMENT BOARD AND BATT | 7 CABLE END AC CENT ATTIC VENT | 11 FLAVOR SHIELD |
| 4 WOOD SHUTTER | 8 VIRGINIA TRIM BOARD | 12 WOOD RAILING |

THE NEW
HOME
COMPANY

FRONT ELEVATION 1
COURTS AT CREEKVIEW
ROSEVILLE, CALIFORNIA



JZMK
ARCHITECTS

UPDATED ARBORIST REPORT AND TREE INVENTORY SUMMARY

GBD COMMUNITIES CREEKVIEW PROJECT SITE City of Roseville, California

Prepared for:

**David Ragland
GBD COMMUNITIES
3001 Douglas Blvd., Suite 200
Roseville, California 95661**

Prepared by:

**Edwin E. Stirtz
ISA Certified Arborist WE-0510A
Member, American Society of Consulting Arborists**



**SIERRA NEVADA ARBORISTS
7425 W 4th Street
Rio Linda, California 95673**

January 9, 2015

TABLE OF CONTENTS

	<u>Page</u>
COPYRIGHT STATEMENT	-i-
QUALIFICATION STATEMENT.....	-ii-
INTRODUCTION AND SCOPE	1
METHODOLOGY	1-2
SUMMARY OF INVENTORY EFFORT	2
Recommended Removals.....	2-3
CONSTRUCTION IMPACT ASSESSMENT.....	4
GENERAL COMMENTS AND ARBORISTS' DISCLAIMER.....	4-5
ASSUMPTIONS AND LIMITING CONDITIONS	6-7
DEFINITIONS AND RATINGS.....	8-9
GENERAL PROTECTION GUIDELINES	10-13
APPENDICES:	
A. Tree Inventory Summary (sorted by tree number)	

COPYRIGHT STATEMENT

This consultant's report, dated January 9, 2015, is for the exclusive and confidential use of GBD Communities concerning potential development of the Creekview project site.

Any use of this report, the accompanying appendices, or portions thereof, other than for project review and approval by appropriate governmental authorities, shall be subject to and require the written permission of Sierra Nevada Arborists. Unauthorized modification, distribution and/or use of this report, including the data or portions thereof contained within the accompanying appendices, is strictly prohibited.

QUALIFICATION STATEMENT

Sierra Nevada Arborists is a fully insured, Rio Linda-based arboriculture consulting firm founded in January of 1998 by its Principal, Edwin E. Stirtz. Mr. Stirtz is an ISA Certified Arborist and a member of the American Society of Consulting Arborists and International Society of Arboriculture. Mr. Stirtz possesses in excess of 30 years experience in horticulture and arboriculture, both maintenance and construction, and has spent the last 23 years as a consulting and preservation specialist in the Sacramento and surrounding regions.

INTRODUCTION

Sierra Nevada Arborists is pleased to present this Updated Arborist Report and Tree Inventory Summary for selected trees located within and/or overhanging the property located at the Creekview project site in the City of Roseville, California. This Updated Arborist Report and Tree Inventory Summary memorializes tree data obtained by Edwin E. Stirtz, ISA Certified Arborist WE-0510A, at the time of field reconnaissance and inventory efforts on January 7, 2015.

SCOPE OF INVENTORY EFFORT

The City of Roseville Municipal Code, Chapter 19.66, Tree Preservation, defines a "Protected Tree" as any native oak tree equal to or greater than six inches diameter at breast height (DBH) measured as a total of a single trunk or multiple trunks.

At the request of GBD Communities, on January 7, 2015, Edwin E. Stirtz of Sierra Nevada Arborists visited the Creekview project site located in the City of Roseville, California. The purpose of this field reconnaissance effort was to identify, inventory, and comment upon the current structure and vigor of selected native oak trees previously inventoried on the site. The specific trees to be included in the report were provided by GBD Communities and it is my understanding that includes those trees proposed for removal from the project site.

This Updated Arborist Report and Tree Inventory Summary presents information concerning the species, size, and current condition of the trees meeting the criteria detailed above within the proposed project area, along with initial pre-development recommendations on a tree-by-tree basis which logically follow the characteristics noted within the trees at the time of field inventory efforts. Information concerning the nature and extent of root system and canopy impacts which will be sustained by the trees from proposed development activities, along with specific tree-by-tree mitigation recommendations for the trees which will sustain encroachment into their protected root zones can be provided in a Supplemental Arborist Report and Construction Impact Assessment once development plans have been refined and finalized for the proposed project area.

METHODOLOGY

During field reconnaissance and inventory efforts Edwin E. Stirtz of Sierra Nevada Arborists conducted a visual review from ground level of the trees within and/or overhanging the proposed project area as depicted on the Tree Exhibits supplied by David Ragland of GBD Communities. The trees which met the defined criteria were identified in the field by previous tagging. The tree numbers utilized in this report and accompanying Tree Inventory Summary correspond to the tree tag which was previously affixed to the tree in the field.

At the time of field identification and inventory efforts specific data was gathered for each tagged tree including the tree's species, diameter measured at breast height ("DBH") and dripline radius ("DLR"). Utilizing this data the tree's overall structural condition and vigor were separately assessed ranging from "excellent"¹ to "poor" based upon the observed characteristics noted within the tree and the Arborist's best professional judgment. Ratings are subjective and are dependent upon both the structure and vigor of the tree. The vigor rating considers factors such as the size, color and density of the foliage; the amount of deadwood within the canopy; bud viability; evidence of wound closure; and the presence or evidence of stress, disease, nutrient deficiency and insect infestation. The structural rating reflects the root crown/collar, trunk and branch configurations; canopy balance; the presence of included bark, weak crotches and other structural defects and decay and the potential for structural failure. Finally, notable characteristics were documented and recommendations on a tree-by-tree basis were made which logically followed the observed characteristics noted within the trees at the time of the field inventory effort. The recommendations are based on the assumption that the tree would be introduced into a developed environment and may require maintenance and/or may not be suitable for retention within a post-development setting.

SUMMARY OF INVENTORY EFFORT

Field reconnaissance and inventory efforts found 94 trees measuring four inches in diameter and larger measured at breast height within and/or overhanging the proposed project area. Composition of the 94 inventoried trees includes the following species and accompanying aggregate diameter inches:

SPECIES DIVERSIFICATION			
Blue Oak	=	9 trees	(211 aggregate diameter inches)
Interior Live Oak	=	29 trees	(280 aggregate diameter inches)
Valley Oak	=	56 trees	(946 aggregate diameter inches)
		94 trees	(1,437 aggregate diameter inches)

Recommended Removals

At this time 16 trees have been recommended for removal from the proposed project area due to the nature and extent of defects, compromised health and/or structural instability noted at the time of field inventory efforts. If these trees were retained within the proposed project area it is our opinion that it may be hazardous depending upon their proximity to planned development activities. For reference, the trees which have been recommended for removal due to the severity of noted defects, compromised health and/or structural instability

¹ It is rare that a tree qualifies in an "excellent" category, and it should be noted that there were no trees observed within the project area which fell within the criteria of an "excellent" or "good" rating. A complete description of the terms and ratings utilized in this report and accompany inventory summary are found on pages 10-11.

GBD Communities
Creekview Project Site
Updated Arborist Report & Tree Inventory Summary
January 9, 2015

are highlighted in green within the accompanying inventory summaries and are briefly summarized as follows:

TREE #	COMMON NAME	SPECIES	MULTI-STEMS (inches)	TOTAL DBH (inches)	DLR (feet)	CONDITIONAL ASSESSMENT	
						STRUCTURE	VIGOR
154	Blue Oak	(<i>Quercus douglasii</i>)		32	43	Poor	Fair
233	Valley Oak	(<i>Quercus lobata</i>)		7	10	Poor	Fair
257	Interior Live Oak	(<i>Quercus wislizeni</i>)	14,14	28	28	Poor	Poor to fair
293	Valley Oak	(<i>Quercus lobata</i>)		14	19	Poor to fair	Fair
295	Valley Oak	(<i>Quercus lobata</i>)		20	30	Poor to fair	Fair
309	Valley Oak	(<i>Quercus lobata</i>)		8	14	Poor to fair	Fair
356	Interior Live Oak	(<i>Quercus wislizeni</i>)		7	12	Poor to fair	Fair
360	Interior Live Oak	(<i>Quercus wislizeni</i>)		12	27	Poor to fair	Fair
414	Valley Oak	(<i>Quercus lobata</i>)		29	36	Poor to fair	Fair
417	Valley Oak	(<i>Quercus lobata</i>)		28	32	Poor	Fair
424	Valley Oak	(<i>Quercus lobata</i>)	17,19	36	32	Poor to fair	Fair
425	Valley Oak	(<i>Quercus lobata</i>)		14	18	Poor	Fair
426	Valley Oak	(<i>Quercus lobata</i>)	9,10,12	31	20	Poor	Fair
428	Blue Oak	(<i>Quercus douglasii</i>)		45	40	Poor	Fair
434	Valley Oak	(<i>Quercus lobata</i>)	11,14,16	41	26	Poor to fair	Fair
449	Interior Live Oak	(<i>Quercus wislizeni</i>)		15	24	Poor	Fair

It should also be noted that some of the trees within the proposed project area are trees which may be undesirable on residential lots, or are trees which will require periodic/seasonal monitoring to assess the trees' ongoing structural integrity. At this early stage of the project Sierra Nevada Arborists has not recommended the removal of these trees since development plans, including proposed home sites and building footprints, have not yet been finalized and the precise location of these trees in proximity to planned improvement activities is not known. At this time it is recommended that these trees be monitored and thoroughly inspected by a qualified ISA Certified Arborist on at least an annual basis to keep abreast of the trees' changing condition(s) and to assess the trees' ongoing structural integrity and potential for hazard in a developed environment.

CONSTRUCTION IMPACT ASSESSMENT

This Updated Arborist Report and Tree Inventory Summary is intended to provide to GBD Communities, the City of Roseville, and other members of the development team a detailed *pre-development review* of the species, size, and current structure and vigor of the trees within and/or overhanging the proposed project area. It is not an exhaustive review of the impacts which will be sustained from project implementation.

GENERAL COMMENTS AND ARBORISTS' DISCLAIMER

The City of Roseville regulates both the removal of “protected trees” and the encroachment of construction activities within their driplines. Therefore, a tree permit and/or additional development authorization should be obtained from the City of Roseville prior to the removal of any trees within the proposed project area. All terms and conditions of the tree permit and/or other Conditions of Approval are the sole and exclusive responsibility of the project applicant. It should be noted that prior to final inspection written verification from an ISA Certified Arborist may be required certifying the approved removal activities and/or implementation of other Conditions of Approval outlined for the retained trees on the site. ***Sierra Nevada Arborists will not provide written Certification of Compliance unless we have been provided with a copy of the approved site development plans, applicable permits and/or Conditions of Approval, and are on site to monitor and observe regulated activities during the course of construction.*** Therefore, it will be necessary for the project applicant to notify Sierra Nevada Arborists well in advance (at least 72 hours prior notice) of any regulated activities which are scheduled to occur on site so that those activities can be properly monitored and documented for compliance certification.

Please bear in mind that implementation of the recommendations provided within this report will help to reduce adverse impacts of construction on the retained trees; however, implementation of any recommendations should not be viewed as a guarantee or warranty against the trees' ultimate demise and/or failure in the future. Arborists are tree specialists who use their education, knowledge, training and experience to examine trees, recommend measures to enhance the beauty and health of the trees and ***attempt to reduce the risk of living near trees***. Arborists cannot detect every condition that could possibly lead to the structural failure of a tree. There are some inherent risks with trees that cannot be predicted with any degree of certainty, even by a skilled and experienced arborist. Entities who choose to construct homes on wooded property are accepting a certain level of risk from unpredictable tree related hazards such as toppling in storms, limbs falling and fires that may damage property at some time in the future. Since trees are living organisms their structure and vigor constantly change over time, and they are not immune to changes in site conditions or seasonal variations in the weather. Further, conditions are often hidden within the tree and/or below ground. Arborists and other tree care professionals cannot guarantee that a tree will be healthy and/or safe under all circumstances or for a specific period of time. Likewise remedial treatments cannot be guaranteed. Trees can be managed but they cannot be controlled. To develop land and live near trees is to accept some degree of risk and the only

way to eliminate all risk associated with trees would be to eliminate all of the trees. ***An entity who develops land and builds a home with a tree in the vicinity should be aware of and inform their future residents of this Arborists' Disclaimer, and be further advised that the developer and the future residents assume the risk that a tree could at any time suffer a branch and/or limb failure, blow over in a storm and/or fail for no apparent reason which may cause bodily injury or property damage.*** Sierra Nevada Arborists cannot predict acts of nature including, without limitation, storms of sufficient strength which can even take down a tree with a structurally sound and vigorous appearance.

Finally, the trees preserved within and/or overhanging the proposed project area will experience a physical environment different from the pre-development environment. As a result, tree health and structural stability should be regularly monitored. Occasional pruning, fertilization, mulch, pest management, replanting and/or irrigation may be required. In addition, ***provisions for monitoring both tree health and structural stability following construction must be made a priority.*** As trees age, the likelihood of failure of branches or entire trees increases. Therefore, ***the future management plan must include an annual inspection*** by a qualified ISA Certified Arborist to keep abreast of the trees' changing condition(s) and to assess the trees' ongoing structural integrity and potential for hazard in a developed environment.

Thank you for allowing Sierra Nevada Arborists to assist you with this review. Please feel free to give me a call if you have any questions or require additional information and/or clarification.

Sincerely,



Edwin E. Stirtz
ISA Certified Arborist WE-0510A
Member, American Society of Consulting Arborists

ASSUMPTIONS AND LIMITING CONDITIONS

1. Any legal description provided to the consultant is assumed to be correct. Any titles and ownership to any property are assumed to be good and marketable. No responsibility is assumed for matters legal in character. Any and all property is appraised or evaluated as though free and clear, under responsible ownership and competent management.
2. It is assumed that any property is not in violation of any applicable codes, ordinances, statutes, or other governmental regulations.
3. Care has been taken to obtain all information from reliable sources. All data has been verified insofar as possible; however, the consultant can neither guarantee nor be responsible for the accuracy of information provided by others.
4. The consultant shall not be required to give a deposition and/or attend court by reason of this report unless subsequent contractual arrangements are made for in advance, including payment of an additional fee for such services according to our standard fee schedule, adjusted yearly, and terms of the subsequent contract of engagement.
5. Loss or alteration of any part of this report invalidates the entire report. Ownership of any documents produced passes to the Client only when all fees have been paid.
6. Possession of this report or a copy thereof does not imply right of publication or use for any purpose by any other than the person to whom it is addressed, without the prior expressed written or verbal consent of the consultant.
7. Neither all nor any part of the contents of this report, nor copy thereof, shall be conveyed by anyone, including the client, to the public through advertising, public relations, news, sales, or other media, without the prior expressed written or verbal consent of the consultant, particularly as to value conclusions, identity of the consultant, or any reference to any professional society or institute or to any initialed designation conferred upon the consultant as stated in his qualifications.
8. This report and any values expressed herein represent the opinion of the consultant and the consultant's fee is in no way contingent upon the reporting of a specified value, a stipulated result, the occurrence of a subsequent event, nor upon any finding to be reported.
9. Sketches, diagrams, graphs, drawings and photographs within this report are intended as visual aids and are not necessarily to scale and should not be construed as engineering or architectural reports or surveys. The reproduction of

information generated by other consultants is for coordination and ease of reference. Inclusion of such information does not constitute a representation by the consultant as to the sufficiency or accuracy of the information.

10. Unless expressed otherwise: 1) information contained in this report covers only those items that were examined and reflects the condition of those items at the time of inspection; and 2) the inspection is limited to visual examination of accessible items without laboratory analysis, dissection, excavation, probing or coring, unless otherwise stated.
11. There is no warranty or guarantee, expressed or implied, that problems or deficiencies of the plants or property in question may not arise in the future.
12. This report is based on the observations and opinions of Edwin E. Stirtz, and does not provide guarantees regarding the future performance, health, vigor, structural stability or safety of the plants described herein. Neither this author nor Sierra Nevada Arborists has assumed any responsibility for liability associated with the trees on or adjacent to this project site, their future demise and/or any damage which may result therefrom.
13. The information contained within this report is true to the best of the author's knowledge and experience as of the date it was prepared; however, certain conditions may exist which only a comprehensive, scientific, investigation might reveal which should be performed by other consulting professionals.
14. The legal description, dimensions, and areas herein are assumed to be correct. No responsibility is assumed for matters that are legal in nature.
15. Any changes to an established tree's environment can cause its decline, death and/or structural failure.

DEFINITIONS AND RATINGS

Tree Number:	Corresponds to aluminum tag attached to the tree.
Species Identification:	Scientific and common species name.
Diameter ("DBH"):	This is the trunk diameter measured at breast height (industry standard 4.5 feet above ground level).
Dripline radius ("DLR"):	A radius equal to the horizontal distance from the trunk of the tree to the end of the farthest most branch tip prior to any cutting. When depicted on a map, the dripline will appear as an irregularly shaped circle that follows the contour of the tree's branches as seen from overhead.
Protected Zone:	A circle equal to the largest radius of a protected tree's dripline plus 1 foot.
Root Crown:	Assessment of the root crown/collar area located at the base of the trunk of the tree at soil level.
Trunk:	Assessment of the tree's main trunk from ground level generally to the point of the primary crotch structure.
Limbs:	Assessment of both smaller and larger branching, generally from primary crotch structure to branch tips.
Foliage:	Tree's leaves.
Overall Condition:	Describes overall condition of the tree in terms of structure and vigor.
Recommendation:	Pre-development recommendations based upon observed characteristics noted at the time of the field inventory effort.
Obscured:	Occasionally some portion of the tree may be obscured from visual inspection due to the presence of dense vegetation which, during the course of inspection for the arborist report, prevented a complete evaluation of the tree. In these cases, if the tree is to be retained on site the vegetation should be removed to allow for a complete assessment of the tree prior to making final decisions regarding the suitability for retention.

GBD Communities
Creekview Project Site
Updated Arborist Report & Tree Inventory Summary
January 9, 2015

TREE CONDITION RATING CRITERIA

RATING TERM	ROOT CROWN	TRUNK	LIMBS	FOLIAGE	STRUCTURE	VIGOR
Good	No apparent injuries, decay, cavities or evidence of hollowing; no anchoring roots exposed; no indications of infestation or disease	No apparent injuries, decay, cavities or evidence of hollowing; no codominant attachments or multiple trunk attachments are observed; no indications of infestation or disease	No apparent injuries, decay, cavities or evidence of hollowing; below average amount of dead limbs or twigs; no major limb failures or included bark; callus growth is vigorous	Leaf size, color and density are typical for the species; buds are normal in size, viable, abundant and uniform throughout the canopy; annual seasonal growth increments are average or above average; no insect or disease infestations/infections evident	No apparent structural defects; no weak crotches; no excessively weighted branches and no significant cavities or decay	Tree appears healthy and has little or no significant deadwood; foliage is normal and healthy
Fair	Small to moderate injuries, decay, cavities or hollowing may be evident but are not currently affecting the overall structure; some evidence of infestation or disease may be present but is not currently affecting the tree's structure	Small to moderate injuries, decay, cavities or hollowing may be evident; codominant branching or multiple trunk attachments or minor bark inclusion may be observed; some infestation or disease may be present but not currently affecting the tree's structure	Small to moderate injuries, decay or cavities may be present; average or above average dead limbs or twigs may be present; some limb failures or bark inclusion observed; callus growth is average	Leaf size, color and density are typical or slightly below typical for the species; buds are normal or slightly sparse with potentially varied viability, abundance and distribution throughout the canopy; annual seasonal growth increments are average or slightly below average; minor insect or disease infestation/infection may be present	Minor structural problems such as weak crotches, minor wounds and/or cavities or moderate amount of excessive weight; non-critical structural defects which can be mitigated through pruning, cabling or bracing	Tree appears stressed or partially damaged; minimal vegetative growth since previous season; moderate amount of deadwood, abnormal foliage and minor lesions or cambium dieback
Poor	Moderate to severe injuries, decay, cavities or hollowing may be evident and are affecting the overall structure; presence of infestation or disease may be significant and affecting the tree's structure	Moderate to severe injuries, decay, cavities or hollowing may be evident and are affecting the tree's structure; presence of infestation or disease may be significant and affecting the tree's structure	Severe injuries, decay or cavities may be present; major deadwood, twig dieback, limb failures or bark inclusion observed; callus growth is below average	Leaf size, color and density are obviously abnormal; buds are obviously abnormal or absent; annual seasonal growth is well below average for the species; insect or disease problems may be severe	Obvious major structural problems which cannot be corrected with mitigation; potential for major limb, trunk or root system failure is high; significant decay or dieback may be present	Tree health is declining; no new vegetative growth; large amounts of deadwood; foliage is severely abnormal

The ratings "good to fair" and "fair to poor" are used to describe trees that fall between the described major categories and have elements of both

**GENERAL PROTECTION GUIDELINES
FOR TREES PLANNED FOR PRESERVATION**

Great care must be exercised when work is conducted upon or around protected trees. The purpose of these General Protection Measures is to provide guidelines to protect the health of the affected protected trees. These guidelines apply to all encroachments into the protected zone of a protected tree, and may be incorporated into tree permits and/or other Conditions of Approval as deemed appropriate by the applicable governing body.

A circle with a radius measurement from the trunk of the tree to the tip of its longest limb, plus one foot, shall constitute the critical root zone protection area of each protected tree. Limbs must not be cut back in order to change the dripline. The area beneath the dripline is a critical portion of the root zone and defines the minimum protected area of each protected tree. Removing limbs that make up the dripline does not change the protected area.

Any protected trees on site which require pruning shall be pruned by an ISA Certified Arborist prior to the start of construction work. All pruning shall be in accordance with the American National Standards Institute (ANSI) A300 pruning standards, ANSI Standard 2133.1-2000 regarding safety practices, and the International Society of Arboriculture (ISA) "Tree Pruning Guidelines" and Best Management Practices.

Prior to initiating construction, temporary protective fencing shall be installed at least one foot outside the root protection zone of the protected trees in order to avoid damage to the tree canopies and root systems. Fencing shall be installed in accordance with the approved fencing plan prior to the commencement of any grading operations or such other time as determined by the review body. The developer shall contact the Project Arborist and the Planning Department for an inspection of the fencing prior to commencing construction activities on site.

Signs shall be installed on the protective fence in four (4) equidistant locations around each individual protected tree. The size of each sign must be a minimum of two (2) feet by two (2) feet and must contain the following language:

**WARNING: THIS FENCE SHALL NOT BE REMOVED OR RELOCATED
WITHOUT WRITTEN AUTHORIZATION FROM THE CITY OF
ROSEVILLE MUNICIPAL SERVICES AGENCY**

Once approval has been obtained by the City of Roseville Municipal Services Agency protective fencing shall remain in place throughout the entire construction period and shall not be removed, relocated, taken down or otherwise modified in whole or in part without prior written authorization from the Agency, or as deemed necessary by the Project Arborist to facilitate approved activities within the root protection zone.

Any removal of paving or structures (i.e. demolition) that occurs within the dripline of a protected tree shall be done under the direct supervision of the Project Arborist. To the maximum extent feasible, demolition work within the dripline protection area of the protected tree shall be performed by hand. If the Project Arborist determines that it is not feasible to perform some portion(s) of this work by hand, then the smallest/lightest weight equipment that will adequately perform the demolition work shall be used.

No signs, ropes, cables (except those which may be installed by an ISA Certified Arborist to provide limb support) or any other items shall be attached to the protected trees. Small metallic numbering tags for the purpose of identification in preparing tree reports and inventories shall be allowed.

No vehicles, construction equipment, mobile homes/office, supplies, materials or facilities shall be driven, parked, stockpiled or located within the driplines of protected trees.

Drainage patterns on the site shall not be modified so that water collects, stands or is diverted across the dripline of any protected tree.

No trenching shall be allowed within the driplines of protected trees, except as specifically approved by the Planning Department as set forth in the project's Conditions of Approval and/or approved tree permit. If it is absolutely necessary to install underground utilities within the dripline of a protected tree the utility line within the protected zone shall be "bored and jacked" or performed utilizing hand tools to avoid root injury under the direct supervision of the Project Arborist.

Grading within the protected zone of a protected tree shall be minimized. Cuts within the protected zone shall be maintained at less than 20% of the critical root zone area. Grade cuts shall be monitored by the Project Arborist. Any damaged roots encountered shall be root pruned and properly treated as deemed necessary by the Project Arborist.

Minor roots less than one (1) inch in diameter encountered during approved excavation and/or grading activities may be cut, but damaged roots shall be traced back and cleanly cut behind any split, cracked or damaged area as deemed necessary by the Project Arborist.

Major roots greater than one (1) inch in diameter encountered during approved excavation and/or grading activities may not be cut without approval of the Project Arborist. Depending upon the type of improvement being proposed, bridging techniques or a new site design may need to be employed to protect the roots and the tree.

Cut faces, which will be exposed for more than 2-3 days, shall be covered with dense burlap fabric and watered to maintain soil moisture at least on a daily basis (or possibly more frequently during summer months). If any native ground surface fabric within the protected zone must be removed for any reason, it shall be replaced within forty-eight (48) hours.

If fills exceed 1 foot in depth up to 20% of the critical root zone area, aeration systems may serve to mitigate the presence of the fill materials as determined by the Project Arborist.

When fill materials are deemed necessary on two or three sides of a tree it is critical to provide for drainage away from the critical root zone area of the tree (particularly when considering heavy winter rainfalls). Overland releases and subterranean drains dug outside the critical root zone area and tied directly to the main storm drain system are two options.

In cases where a permit has been approved for construction of a retaining wall(s) within the protected zone of a protected tree the applicant will be required to provide for immediate protection of exposed roots from moisture loss during the time prior to completion of the wall. The retaining wall within the protected zone of the protected tree shall be constructed within seventy-two (72) hours after completion of grading within the root protection zone.

The construction of impervious surfaces within the dripline of a protected tree shall be minimized. When necessary, a piped aeration system shall be installed under the direct supervision of the Project Arborist.

Preservation devices such as aeration systems, tree wells, drains, special paving and cabling systems must be installed in conformance with approved plans and certified by the Project Arborist.

No sprinkler or irrigation system shall be installed in such a manner that sprays water or requires trenching within the dripline of a protected tree. An above ground drip irrigation system is recommended. An independent low-flow drip irrigation system may be used for establishing drought-tolerant plants within the protected zone of a protected tree. Irrigation shall be gradually reduced and discontinued after a two (2) year period.

All portions of permanent fencing that will encroach into the protected zone of a protected tree shall be constructed using posts set no closer than ten (10) feet on center. Posts shall be spaced in such a manner as to maximize the separation between the tree trunks and the posts in order to reduce impacts to the tree(s).

Landscaping beneath native oak trees may include non-plant materials such as bark mulch, wood chips, boulders, etc. Planting live material under protected native oak trees is generally discouraged, and is not recommended within six (6) feet of the trunk of a native oak tree with a diameter at breast height (DBH) of eighteen (18) inches or less, or within ten (10) feet of the trunk of a native oak tree with a DBH of more than eighteen (18) inches. The only plant species which shall be planted within the dripline of native oak trees are those which are tolerant of the natural, semi-arid environs of the tree(s).

GBD COMMUNITIES
Creekview Project Site
CONSOLIDATED INVENTORY SUMMARY

TREE#	COMMON NAME	SPECIES	MULTI-STEMS (inches)	TOTAL DBH (inches)	DLR (feet)	CONDITIONAL ASSESSMENT						NOTABLE CHARACTERISTICS	RECOMMENDATIONS
						ROOT CROWN	TRUNK	LIMBS	FOLIAGE	STRUCTURE	VIGOR		
64	Valley Oak	(<i>Quercus lobata</i>)		26	30	Fair	Fair	Poor to fair	Dormant	Fair	Fair	Girdling wire on lower trunk	Cut wire at trunk
65	Valley Oak	(<i>Quercus lobata</i>)		13	17	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
66	Valley Oak	(<i>Quercus lobata</i>)	5,6,8	19	13	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
67	Valley Oak	(<i>Quercus lobata</i>)		22	24	Fair	Poor to fair	Poor to fair	Dormant	Poor to fair	Poor to fair	Girdling wire on lower trunk	Cut wire at trunk
154	Blue Oak	(<i>Quercus douglasii</i>)		32	43	Fair	Poor	Poor	Dormant	Poor	Fair	Trunk bends west; two failures of large scaffolds in upper canopy with resulting decay below attachments of remaining stems	Recommend removal due to nature and extent of noted defects
203	Valley Oak	(<i>Quercus lobata</i>)										Tree has failed	
211	Blue Oak	(<i>Quercus douglasii</i>)		37	42	Fair	Fair	Poor to fair	Dormant	Fair	Fair		None at this time
212	Blue Oak	(<i>Quercus douglasii</i>)		42	48	Fair	Poor to fair	Poor to fair	Dormant	Poor to fair	Fair	Inherently weak primary crotch with evidence of callused roll; several old improper pruning cuts with resulting decay; slightly above average amount of deadwood; bark sloughing on the south side	None at this time
213	Valley Oak	(<i>Quercus lobata</i>)		26	30	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
214	Blue Oak	(<i>Quercus douglasii</i>)		40	35	Fair	Poor to fair	Fair	Dormant	Poor to fair	Fair	Measured 2' above grade; forks 3' to 5' above grade; inherently weak primary and secondary crotches with included bark; old callused wound, northerly stem; no obvious decay at this time	None at this time
215	Blue Oak	(<i>Quercus douglasii</i>)										Tree is Dead	
216	Valley Oak	(<i>Quercus lobata</i>)		18	26	Fair	Fair	Poor to fair	Dormant	Fair	Poor to fair	Poor bud formation	None at this time
233	Valley Oak	(<i>Quercus lobata</i>)		7	10	Fair	Poor	Fair	Dormant	Poor	Fair	Recent beaver activity has removed approximately one-half of live tissue on trunk at approximately 1' above grade	Recommend removal due to nature and extent of noted defects
251	Interior Live Oak	(<i>Quercus wislizeni</i>)		7	16	Fair	Poor to fair	Poor to fair	Fair	Poor to fair	Fair	Suppressed; bends west	None at this time
252	Interior Live Oak	(<i>Quercus wislizeni</i>)	7,7,12,13	39	28	Poor to fair	Fair	Poor to fair	Fair	Poor to fair	Fair	Callusing basal wound on the south side where an adjoining 14' stem has failed	None at this time
253	Interior Live Oak	(<i>Quercus wislizeni</i>)	4,5	9	23	Fair	Poor to fair	Poor to fair	Fair	Poor to fair	Fair	Suppressed; bends south	None at this time
254	Interior Live Oak	(<i>Quercus wislizeni</i>)	4,8	12	24	Fair	Fair	Fair	Fair	Fair	Fair	Bends south	None at this time
255	Valley Oak	(<i>Quercus lobata</i>)		7	17	Fair	Poor to fair	Poor to fair	Dormant	Poor to fair	Poor to fair	Bends south; above average amount of deadwood; being crushed by an adjoining failed tree	Remove failed tree
256	Interior Live Oak	(<i>Quercus wislizeni</i>)										Failed at 6' above grade	
257	Interior Live Oak	(<i>Quercus wislizeni</i>)	14,14	28	28	Poor	Poor	Poor to fair	Fair	Poor	Poor to fair	Extensive fire scar with decay	Recommend removal due to nature and extent of noted defects
258	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree is Dead	
260	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree has failed	
261	Valley Oak	(<i>Quercus lobata</i>)		9	10	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
265	Valley Oak	(<i>Quercus lobata</i>)		8	10	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
266	Valley Oak	(<i>Quercus lobata</i>)	8,8	16	13	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
267	Valley Oak	(<i>Quercus lobata</i>)	5,9	14	12	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
285	Valley Oak	(<i>Quercus lobata</i>)		13	16	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
286	Valley Oak	(<i>Quercus lobata</i>)	13,14	27	28	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
287	Valley Oak	(<i>Quercus lobata</i>)	9,14	23	18	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
288	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree has failed	
292	Valley Oak	(<i>Quercus lobata</i>)	15,16	31	28	Fair	Poor to fair	Poor to fair	Dormant	Poor to fair	Fair	Forks 3' above grade; inherently weak primary crotch	None at this time

GBD COMMUNITIES
Creekview Project Site
CONSOLIDATED INVENTORY SUMMARY

TREE#	COMMON NAME	SPECIES	MULTI-STEMS (inches)	TOTAL DBH (inches)	DLR (feet)	CONDITIONAL ASSESSMENT						NOTABLE CHARACTERISTICS	RECOMMENDATIONS
						ROOT CROWN	TRUNK	LIMBS	FOLIAGE	STRUCTURE	VIGOR		
293	Valley Oak	(<i>Quercus lobata</i>)		14	19	Fair	Poor to fair	Poor to fair	Dormant	Poor to fair	Fair	Measured 2.5' above grade; forks 3.5' to 4.5' above grade; inherently weak primary crotch; fire scar with decay on the north side	Recommend removal due to nature and extent of noted defects
294	Valley Oak	(<i>Quercus lobata</i>)										Tree is Dead	Recommend removal due to nature and extent of noted defects
295	Valley Oak	(<i>Quercus lobata</i>)		20	30	Poor to fair	Poor to fair	Poor to fair	Dormant	Poor to fair	Fair	Fire scars with decay various locations	Recommend removal due to nature and extent of noted defects
296	Interior Live Oak	(<i>Quercus wislizeni</i>)	8,8,9,9	34	24	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
297	Valley Oak	(<i>Quercus lobata</i>)		12	16	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
298	Valley Oak	(<i>Quercus lobata</i>)		12	16	Fair	Poor to fair	Poor to fair	Dormant	Poor to fair	Fair	Pruned for utility line clearance	None at this time
299	Interior Live Oak	(<i>Quercus wislizeni</i>)	6,7	13	15	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
300	Valley Oak	(<i>Quercus lobata</i>)		14	16	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
301	Interior Live Oak	(<i>Quercus wislizeni</i>)	6,8	14	14	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
302	Interior Live Oak	(<i>Quercus wislizeni</i>)	7,8,10	25	25	Fair	Fair	Fair	Fair	Fair	Fair	Leans south	None at this time
303	Valley Oak	(<i>Quercus lobata</i>)		6	12	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
304	Interior Live Oak	(<i>Quercus wislizeni</i>)	6,8	14	17	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
305	Interior Live Oak	(<i>Quercus wislizeni</i>)	6,8,9	23	24	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
306	Interior Live Oak	(<i>Quercus wislizeni</i>)		12	14	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
307	Blue Oak	(<i>Quercus douglasii</i>)	7,8	15	13	Fair	Poor	Poor to fair	Fair	Poor to fair	Fair	Callusing lower trunk wounds; old beaver damage primarily through outer bark and cambium; minor heartwood damage	None at this time
309	Valley Oak	(<i>Quercus lobata</i>)		8	14	Poor to fair	Poor to fair	Poor to fair	Dormant	Poor to fair	Fair	Tree growing adjacent to abandoned bridge piling; callusing lower trunk wounds/rubbing injuries against bridge	Recommend removal due to nature and extent of noted defects
324	Blue Oak	(<i>Quercus douglasii</i>)										Tree is Dead	
325	Valley Oak	(<i>Quercus lobata</i>)										Tree is Dead	
326	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree has failed	
330	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree is Dead	
332	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree is Dead	
333	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree is Dead	
334	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree is Dead	
335	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree is Dead	
347	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree is Dead	
356	Interior Live Oak	(<i>Quercus wislizeni</i>)		7	12	Poor to fair	Poor to fair	Poor to fair	Fair	Poor to fair	Fair	Smaller south-tending stem is dead; callusing lower trunk wounds on larger stem 6' above grade; significant decay	Recommend removal due to nature and extent of noted defects
360	Interior Live Oak	(<i>Quercus wislizeni</i>)		12	27	Fair	Poor to fair	Poor to fair	Fair	Poor to fair	Fair	Callusing basal lower trunk wounds with moderate to significant decay, various locations	Recommend removal due to nature and extent of noted defects
369	Valley Oak	(<i>Quercus lobata</i>)		25	26	Poor to fair	Poor to fair	Fair	Dormant	Poor to fair	Fair	Fire scars south side	None at this time
370	Interior Live Oak	(<i>Quercus wislizeni</i>)										Tree has failed	
413	Valley Oak	(<i>Quercus lobata</i>)		26	33	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
414	Valley Oak	(<i>Quercus lobata</i>)		29	36	Poor to fair	Poor to fair	Fair	Dormant	Poor to fair	Fair	Extensive fire scars south side	Recommend removal due to nature and extent of noted defects
415	Valley Oak	(<i>Quercus lobata</i>)		12	19	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
416	Valley Oak	(<i>Quercus lobata</i>)		26	29	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
417	Valley Oak	(<i>Quercus lobata</i>)		28	32	Poor	Poor	Fair	Dormant	Poor	Fair	Extensive fire scars north side	Recommend removal due to nature and extent of noted defects
418	Blue Oak	(<i>Quercus douglasii</i>)										Tree has failed	
422	Valley Oak	(<i>Quercus lobata</i>)		26	30	Fair	Poor to fair	Fair	Dormant	Poor to fair	Fair	Small fire scar west side	None at this time

GBD COMMUNITIES
Creekview Project Site
CONSOLIDATED INVENTORY SUMMARY

TREE#	COMMON NAME	SPECIES	MID-TL-STEMS (inches)	TOTAL DBH (inches)	DLR (feet)	CONDITIONAL ASSESSMENT						NOTABLE CHARACTERISTICS	RECOMMENDATIONS
						ROOT CROWN	TRUNK	LIMBS	FOLIAGE	STRUCTURE	VIGOR		
423	Valley Oak	(<i>Quercus lobata</i>)										Tree is Dead	
424	Valley Oak	(<i>Quercus lobata</i>)	17,19	36	32	Fair	Poor to fair	Poor to fair	Dormant	Poor to fair	Fair	Inherently weak primary crotch 3' above grade with evidence of included bark; girdling wire on lower trunk; extensive fire scars	Recommend removal due to nature and extent of noted defects
425	Valley Oak	(<i>Quercus lobata</i>)		14	18	Fair	Poor	Poor to fair	Dormant	Poor	Fair	Extensive fire scars	Recommend removal due to nature and extent of noted defects
426	Valley Oak	(<i>Quercus lobata</i>)	9,10,12	31	20	Poor	Poor	Fair	Dormant	Poor	Fair	Extensive fire scars with decay	Recommend removal due to nature and extent of noted defects
427	Valley Oak	(<i>Quercus lobata</i>)		26	18	Fair	Fair	Poor to fair	Dormant	Fair	Fair	Above average amount of deadwood	None at this time
428	Blue Oak	(<i>Quercus douglasii</i>)		45	40	Poor	Poor	Poor	Dormant	Poor	Fair	Callusing basal trunk cavities in three locations with significant decay; old large callusing pruning cuts in two locations with moderate to significant decay; bends northeast; several failures in upper canopy with resulting decay	Recommend removal due to nature and extent of noted defects
429	Valley Oak	(<i>Quercus lobata</i>)										Tree has failed	None at this time
430	Valley Oak	(<i>Quercus lobata</i>)	9,10	19	12	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
431	Valley Oak	(<i>Quercus lobata</i>)		18	20	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
434	Valley Oak	(<i>Quercus lobata</i>)	11,14,16	41	26	Poor to fair	Poor to fair	Fair	Dormant	Poor to fair	Fair	Forks 1' and 2' above grade; extensive fire scar with decay	Recommend removal due to nature and extent of noted defects
448	Valley Oak	(<i>Quercus lobata</i>)	6,8	14	16	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
449	Interior Live Oak	(<i>Quercus wislizeni</i>)		15	24	Poor	Poor	Poor to fair	Fair	Poor	Fair	Recent failure of two northerly stems which has compromised root crown; old callusing wound, south side, 1' to 2' above grade; minor to moderate decay; leans west	Recommend removal due to nature and extent of noted defects
450	Valley Oak	(<i>Quercus lobata</i>)		29	32	Fair	Poor to fair	Poor to fair	Dormant	Poor to fair	Fair	Callusing trunk wound, north side, 9' to 10' above grade at point of old limb failure; minor to moderate decay	None at this time
451	Valley Oak	(<i>Quercus lobata</i>)		33	40	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
452	Valley Oak	(<i>Quercus lobata</i>)		14	12	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
453	Valley Oak	(<i>Quercus lobata</i>)		12	14	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
454	Valley Oak	(<i>Quercus lobata</i>)		8	12	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
455	Valley Oak	(<i>Quercus lobata</i>)		17	25	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
456	Valley Oak	(<i>Quercus lobata</i>)		12	16	Fair	Fair	Poor to fair	Dormant	Poor to fair	Fair	Pruned for utility line clearance	None at this time
458	Valley Oak	(<i>Quercus lobata</i>)		19	22	Poor to fair	Fair	Poor to fair	Dormant	Poor to fair	Fair	Tree is growing directly adjacent to a concrete structure with root collar rubbing on southeast side	None at this time
459	Valley Oak	(<i>Quercus lobata</i>)										Tree has failed	None at this time
460	Valley Oak	(<i>Quercus lobata</i>)		9	11	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
461	Valley Oak	(<i>Quercus lobata</i>)		14	15	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
462	Valley Oak	(<i>Quercus lobata</i>)		8	13	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
463	Interior Live Oak	(<i>Quercus wislizeni</i>)		6	12	Fair	Poor to fair	Poor to fair	Fair	Poor to fair	Fair	Suppressed; leans and bends southeast	None at this time
464	Interior Live Oak	(<i>Quercus wislizeni</i>)		10	12	Fair	Fair	Fair	Fair	Fair	Fair		None at this time
465	Valley Oak	(<i>Quercus lobata</i>)		35	44	Fair	Fair	Fair	Dormant	Fair	Fair		None at this time
TOTAL INVENTORIED TREES = 94 Trees (1,437 aggregate diameter inches)													
TOTAL RECOMMENDED REMOVALS = 16 Trees (367 aggregate diameter inch)													

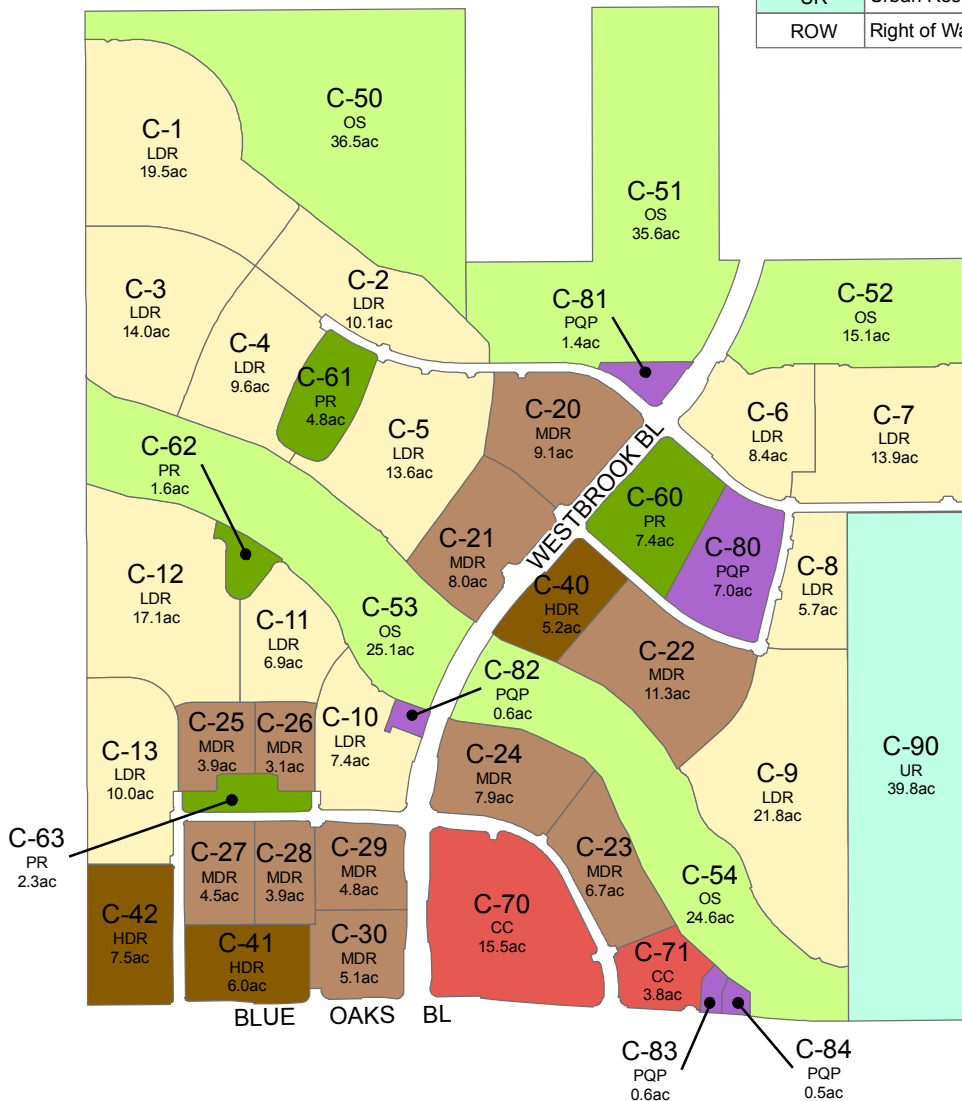
CREEKVIEW LAND USE PLAN

Figure 4-1

Land Use Designation

LDR	Residential	158.0
MDR	Residential	68.3
HDR	Residential	18.7
CC	Commercial	15.5
	Community Commercial / Business Professional	3.8
OS	Open Space	136.9
PR	Park	16.1
PQP	Recycling Center	0.6
	Electric Substation	1.4
	Elementary School	7.0
	Lift Station	0.6
	Well Site	0.5
UR	Urban Reserve	39.8
ROW	Right of Way	33.9

Total: 501.1



Last Updated: June 17, 2015



0 0.125 0.25 Miles



COUNCIL COMMUNICATION

CC #: 7533
File #: 0800-06

Title: Municipal Code Amendment - Parking and Residential Development Standards
Contact: Paul Camilleri 774-5459 pcamilleri@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 9.7.

RECOMMENDATION TO COUNCIL

The Planning Commission and City Staff recommends that the City Council introduce for first reading, Ordinance Amendments to Chapter 11.20 of Title 11 and to Chapter 19.10 of Title 19 of the Roseville Municipal Code regarding parking and residential development standards.

BACKGROUND

On February 11th, the Planning Commission took public testimony and considered the text amendments to the Zoning Ordinance (Title 19). Several members of the public, including the recently appointed Pleasant Grove Neighborhood Association President, gave public testimony regarding this item. The majority of the comments expressed were in support of the proposed amendments. There were questions from the public and Commission regarding the proposed amendments relating to the size of vehicles allowed to park on residentially zoned property, the maintenance of landscaping, which parts of the City were affected by the problem of parking on landscaping, and other various topics. Staff responded to these questions and provided background on the proposed amendments. The Planning Commission voted 6-0 to recommend the City Council adopt the proposed Amendments to Chapter 19.10.

Proposed Ordinance Amendments

The proposed revisions to Chapter 19.10 of the Zoning Ordinance will assist in the effort to maintain the visual character of residential areas in the City, by limiting the amount of paved parking areas on residential properties. The proposed revisions would limit the amount of pavement or other impervious surfaces a property owner could install within the front and street side yard setback area of a residential lot. The Ordinance would allow a maximum of 50% of both setback areas to be covered with impervious surfaces.

In addition to limiting the amount of parking areas on a residential lot, the Ordinance would also require landscaping to be maintained and irrigated. These requirements together seek to maintain the aesthetic character of the City's residential areas by limiting the amount of paved parking areas and maintaining front and street side yard landscaping on residentially zoned property.

In addition to the paving requirements being added to the Zoning Ordinance, Code Enforcement Staff is proposing changes to RMC Title 11 (Vehicles & Traffic) to prevent the parking of vehicles on unimproved surfaces throughout the City. This provision would make it unlawful to park on unimproved surfaces such as a front lawn or street side lawn area. The proposed section would allow vehicles to park only upon improved surfaces in all zones throughout the City. The changes to Chapter 11.10 would work in concert with the proposed restrictions on paved surfaces in the Zoning Ordinance to limit the areas that may be used for parking within front and street side setback areas.

The final change to the Vehicle and Traffic (Title 11) is to prohibit the parking of commercial vehicles on residentially zoned private property. Commercial Vehicles are defined by the California Vehicle Code as a vehicle which is used or maintained for the transportation of persons for hire, compensation, or profit or designed, used, or maintained primarily for the transportation of property. Currently, Section 11.20.060 of the RMC prohibits any commercial vehicle with a gross weight of 10,000 pounds or more from parking on City streets in residential zones, unless they are making a delivery for a building project. The proposed Ordinance would extend this prohibition to parking a commercial vehicle on residentially zoned, private property. Recreational Vehicles (RV's) are defined by the California Vehicle Code as "Motor Vehicles" and not "Commercial Vehicles", so RV parking would not be affected by this amendment.

This new regulation is being established to address common issues with commercial vehicles parking on private property. It is an issue that is not currently regulated, but is an on-going code enforcement complaint. This situation can cause impacts to surrounding properties including visual impacts, noise and safety issues. The intent of this ordinance is to provide Code Enforcement with the appropriate regulatory framework to address this issue when it is impacting a neighborhood.

Surrounding Jurisdictions

Staff has researched other local agencies' parking ordinances and found that many surrounding jurisdictions have an Ordinance in place that prohibits the parking of vehicles on unimproved surfaces. Rocklin, Lincoln, the City of Sacramento, Sacramento County and Rancho Cordova all have these types of Ordinances established. In fact, the proposed language for the City's Ordinance was modeled after the City of Sacramento. This Ordinance has been well received and is easy to enforce according to City of Sacramento Staff.

Public Outreach

Staff presented the proposed ordinance amendments to the Roseville Coalition of Neighborhoods at their February 18, 2016 Board meeting. There were no objections from the Board regarding the proposed amendments.

FISCAL IMPACT

The change in regulation may create additional workload for the City's Code Enforcement section but the actual cost to the General Fund will be absorbed as part of this section's annual work program.

ECONOMIC DEVELOPMENT / JOBS CREATED

Ultimately, this action will support the Council's desire to maintain and improve our residential neighborhoods having a positive economic impact.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment (CEQA Guidelines §15061(b)(3)). The City of Roseville Zoning Ordinance Amendment – Landscaping within Setback Areas project does not include the potential for a significant environmental effect, and therefore is not subject to CEQA.

Respectfully Submitted,

Paul Camilleri, Senior Code Enforcement Inspector

Kevin Payne, Development Services Director



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

lawn parking ordinance - first reading

ORDINANCE NO. ____

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING SECTIONS
11.20.060 AND 11.20.110 OF CHAPTER 11.20 OF TITLE 11 AND SECTION 19.10.030 OF
CHAPTER 19.10 OF TITLE 19 OF THE ROSEVILLE MUNICIPAL CODE REGARDING
PARKING AND RESIDENTIAL DEVELOPMENT STANDARDS

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. Section 11.20.060 of Chapter 11.20 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.20.060 Parking of commercial vehicles and buses prohibited in residential districts.

A. No person shall stop, park or leave standing any commercial vehicle, as defined in the [California](#) Vehicle Code, upon any street within any residential district of the city, if such vehicle has a manufacturer's gross vehicle weight rating of 10,000 pounds or more, for any purpose other than making pickups or deliveries of persons, goods, wares and merchandise from or to any building or structure, located on such street or for any purpose other than delivering materials to be used in the actual and bona fide repair, alteration, remodeling or construction of any building or structure upon such street for which a building permit has previously been obtained. A "residential district of the city" is any area of the city which is classified as residential under the provisions of the zoning regulations of the city.

B. It is unlawful for any person to park or permit to be parked, on any private property listed under zoning classifications R1, RS, R2, R3, and/or RMU, any commercial vehicle, as defined in the California Vehicle Code, if such vehicle has a manufacturer's gross vehicle weight rating of 10,000 pounds or more, for any purpose other than making pickups or deliveries of persons, goods, wares and merchandise from or to any building or structure, located on such private property or for any purpose other than delivering materials to be used in the actual and bona fide repair, alteration, remodeling or construction of any building or structure upon such private property for which a building permit has previously been obtained.

SECTION 2: Section 11.20.110 of Chapter 11.20 of Title 11 of the Roseville Municipal Code is hereby amended to read as follows:

11.20.110 Parking on private property prohibited.

A. No person shall stop, stand or park a vehicle in a private driveway or on private property without the direct or implied consent of the owner or person in lawful possession of such driveway to property.

B. It is unlawful for any person to park, permit to be parked, or allowed to be parked any vehicle upon any unimproved surface. For purposes of this section "unimproved surface" means any surface which does not comply with Section 19.26.040 (D) of this code.

SECTION 3: Section 19.10.030 of Chapter 19.10 of Title 19 of the Roseville Municipal

Code is hereby amended to read as follows:

Section 19.10.030 Residential zone general development standards.

- A. Residential Development Standards. Permitted uses and associated structures shall comply with the following development standards, in addition to any other applicable requirements of this Title:

RESIDENTIAL ZONE GENERAL DEVELOPMENT STANDARDS

	Requirement by Zoning District					
	R1	RS with attached sidewalk ⁽¹⁰⁾	RS with separated sidewalk ^{(8) (10)}	R2	R3 ⁽²⁾	RMU
Area, interior lot	6,000 sq ft	4,500 sq ft	4,275 sq ft	6,000 sq ft	6,000 sq ft	None ⁽⁷⁾
Area, corner lot	7,500 sq ft	5,500 sq ft	4,710 sq ft	7,500 sq ft	7,500 sq ft	
Width, interior	60 ft	45 ft	45 ft	60 ft	60 ft	
Width, corner	75 ft	55 ft	50 ft	75 ft	75 ft	
Residential Density (maximum per lot)	1 dwelling 1 second unit	1 dwelling 1 second unit	1 dwelling 1 second unit	2 dwellings ⁽¹⁾	As provided by General Plan	As provided by General Plan

Setbacks (minimum) See Chapter 19.22 for setbacks for accessory structures.						
Front ⁽⁹⁾	20 ft for interior lots; 15 ft for corner lots; 20 ft minimum driveway depth	15 ft to living space or side wall of garage 12.5 ft to porch 18 ft minimum driveway depth ⁽⁶⁾	10 ft to first floor living space or side wall of garage; 7.5 ft to porch, but in no case may encroach into a PUE; 15 ft to second floor	20 ft for interior lots; 15 ft for corner lots; 20 ft minimum driveways depth	20 ft minimum on all street frontages	None ⁽⁷⁾

			living space; 18 ft minimum driveway depth ⁽⁶⁾			
Sides ⁽⁹⁾	5 ft interior; 15 ft street side on corner	5 ft interior; 12.5 ft street side on first floor 15 ft street side on second floor	5 ft interior; 10 ft street side on first floor 13 ft street side on second floor	5 ft interior; 15 ft street side on corner	5 ft interior; 20 ft minimum on all street frontages	None ⁽⁷⁾
Rear	20% of lot depth; need not exceed 20 ft; 10 ft minimum ⁽³⁾	10 ft minimum with minimum useable open space of 700 sq ft or 500 sq ft where a usable front porch is provided ⁽⁴⁾	10 ft minimum with minimum useable open space of 500 sq ft ⁽⁴⁾	20% of lot depth; need not exceed 20 ft; 10 ft minimum	20 ft; 20 ft minimum on all street frontages	None ⁽⁷⁾
Site Coverage	35% for 2 story; 45% for 1 story	None ⁽⁴⁾	None ⁽⁴⁾	40%	50%	None ⁽⁷⁾
Height Limits	35 ft	35 ft	35 ft	35 ft	45 ft ⁽⁵⁾	None ⁽⁷⁾
Additions greater than 700 square feet in area	May only be permitted upon approval of an administrative permit					

Notes:

- (1) Attached or detached. Detached dwelling units must maintain a minimum ten (10) foot building separation.
- (2) The general development standards for the R3 District may be modified through approval of a Design Review Permit.
- (3) On corner lots, the minimum rear setback may be determined by using an average of three measurements taken at the ends of the structure and a point midway between the ends of the structure. The measurements shall be made perpendicular to the rear lot line.
- (4) The rear and side yards may be utilized to meet the minimum usable open space provided the minimum dimension, measured perpendicular to the applicable rear or side yard is ten (10) feet. Maximum coverage is a function of lot size, required setbacks and usable open space. A minimum usable open space of 500 sq ft may be applied where a front porch is provided with minimum dimensions of 6 ft x 10 ft exclusive of entry way.
- (5) Except for units immediately adjacent to the R-1 and RS zone districts, where the height limit shall be 35 feet.
- (6) Minimum driveway depth of 18 feet requires a roll-up garage door.
- (7) As provided in development standard overlay or special area overlay district.
- (8) Sidewalk separated from back of curb by 5-foot planter strip.
- (9) Front setback (and side setback where adjacent to street) measured from back of walk. Fence side yard setback is 5 ft from back of walk where facing a street. In the absence of sidewalk, setbacks measured from the edge of right-of-way.
- (10) Variations to the standards and other housing product types may be permitted subject to processing of a Design Review Permit for Residential Subdivision (DRRS) concurrent with the approval of a tentative subdivision map and review of product type.

B. Clear Vision Triangle, Residential. The following standards shall apply to the installation of structures on corner parcels:

1. On a corner parcel, no fence, wall, ~~hedge~~, sign or other structure, ~~shrubby~~, mounds of earth, or other visual obstruction over thirty-six (36) inches in height above the top of the existing or planned curb elevation shall be erected ~~or~~; placed; ~~planted, or allowed to grow~~ within a residential clear vision triangle (see Section 19.95 Definitions).
2. The foregoing provision shall not apply to public utility poles; trees trimmed (to the trunk) to a line at least eight (8) feet above the elevation of the intersection; saplings or plant species of open growth habits and not planted in the form of a hedge, which are so planted and trimmed as to leave, at all seasons, a clear and unobstructed cross view; supporting members of appurtenances to permanent structures existing on the date that this Ordinance becomes effective; and official warning signs or signals.

C. Exceptions to Height Limits. Notwithstanding the requirements of Section 19.10.030 (A), the following structures are permitted to exceed the maximum height limits, as follows:

Architectural features, mechanical equipment. Chimneys, vents, and other architectural or mechanical appurtenances on buildings may be a maximum of fifteen (15) percent higher than the height limit of the applicable zone.

D. Exceptions to Setbacks. Notwithstanding the requirements of Section 19.10.030 (A), the following structures are permitted to encroach into the required development setbacks, as follows:

1. Architectural features, such as but not limited to: cornices, eaves, canopies fireplaces and similar features, but not any flat wall or addition creating living space, may encroach up to two (2) feet into any required setback.
2. Covered, unenclosed projections attached to the primary structure may encroach up to six (6) feet into any front yard setback.

E. Manufactured Homes. Manufactured homes are permitted in residential zones provided they meet the following architectural standards:

1. The Manufactured home shall be covered with exterior material customarily used on conventional dwellings within the subdivision. The exterior covering material shall extend to the ground as close to grade as allowed by the manufacturer's recommendation;
2. The Manufactured home shall have a minimum of 16-inch roof overhangs and roofing material on the manufactured home shall be compatible with other dwellings existing in the area and shall consist of materials customarily used on conventional dwellings. The roof shall have a minimum 2 1/2 in 12 pitch.

F. Landscaping Requirements in Setback Areas.

1. Single-Family and Two-Family dwellings—Front-yard and street side-yard setbacks.

a. Setback area paving restrictions. A maximum of fifty percent (50%) of the required front-yard setback may be paved for off-street parking, driveways, walkways, or uncovered patio use. A maximum of fifty percent (50%) of the required street side-yard setback may be paved for off-street parking, driveways, walkways, or uncovered patio use; however, this maximum limitation does not apply to that portion of the street side-yard located behind a fence that is in compliance with the street side fence requirements set forth in Chapter 19.22 of this code. These requirements may be modified with approval of a Design Review Permit for Residential Subdivision (DRRS), as provided for in Article V of this title.

b. Landscape and maintenance requirements. The unpaved portion of a front-yard setback and street side-yard setback shall be landscaped, irrigated, and maintained. The landscape shall include grass, annuals, perennials, groundcover, shrubs, trees, or other living vegetation. Synthetic grass or artificial turf may be used if it is permeable and has a minimum pile height of 1.25 inches. Design elements like planters, rocks, mulch, or similar elements are permitted when integrated as part of the landscape. All landscaping materials shall be mowed, trimmed, and maintained as often as necessary to prevent overgrowth and blight. No junk, debris, or other similar materials shall be stored in the landscaped setback area.

c. Height restrictions for landscaping located in the clear vision triangle. All landscaping located within the clear vision triangle for driveways and corner lots, as defined in Section 19.95.030 of this code, shall not exceed two (2) feet in height, except that trees exceeding two (2) feet in height are allowed if the tree is maintained free of branches eight (8) feet above the curb grade.

d. Vehicle parking requirements. Vehicles, including without limitation, automobiles, boats, campers, trailers, and other recreational vehicles, must be parked on a paved surface, as provided for in Section 11.20.110 of this code. Vehicles shall not be parked within the landscaped setback area.

2. Multi-Family dwellings (three or more units)—Front-yard and street side-yard setbacks. All minimum front-yard and street side-yard setbacks shall be landscaped, irrigated and maintained with primarily low ground cover or turf. The landscape shall include grass, annuals, perennials, groundcover, shrubs, trees, or other living vegetation. Synthetic grass or artificial turf may be used if it is permeable and has a minimum pile height of 1.25 inches. Design elements like planters, rocks, mulch, or similar elements are permitted when integrated as part of the landscape. All landscaping materials shall be mowed, trimmed, and maintained as often as necessary to prevent overgrowth and blight. No junk, debris, or other similar materials shall be stored in the landscaped setback area. Driveways and uncovered walkways are permitted to cross over the required front-yard and street side-yard setback. The required front-yard and street side-yard setbacks may not be paved for parking or patio areas.

SECTION 4. This ordinance shall be effective at the expiration of thirty (30) days from the date of adoption.

SECTION 5. The City Clerk is hereby directed to cause this ordinance to be published in full at least once within fourteen (14) days after it is adopted in a newspaper of general circulation in the City, or shall within fourteen (14) days after its adoption cause this ordinance to be posted in full in at least three (3) public places in the City and enter in the Ordinance Book a certificate stating the time and place of said publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk



COUNCIL COMMUNICATION

CC #: 7544
File #: 0800-06

Title: Municipal Code Amendment - Medical Marijuana Regulations
Contact: Greg Bitter 744-5294 gbitter@roseville.ca.us
Joseph Speaker 774-5329 jspeaker@roseville.ca.us

Meeting Date: 3/16/2016
Item #: 9.8.

RECOMMENDATION TO COUNCIL

The Planning Commission and City Staff recommends that the City Council, introduce for first reading an Ordinance Amendment to Title 9 - Health and Safety and to Title 19 – Zoning. Proposed amendments include:

1. Repeal Chapters 9.95 (Medical Marijuana Dispensaries) and 9.96 (Marijuana Cultivation) of Title 9 – Health and Safety of the Roseville Municipal Code; and
2. Add Chapters 19.62 (Medical Marijuana Dispensaries) and 19.63 (Marijuana Cultivation) to Title 19 - Zoning of the Roseville Municipal Code.

BACKGROUND

On October 9, 2015, Governor Brown signed into law three related bills pertaining to the regulation of marijuana; AB 243, AB 266, and SB 643. These bills are collectively known as the Medical Marijuana Regulation and Safety Act (MMRSA) of 2015. The MMRSA creates a joint regulation and permitting scheme comprised of state law and local ordinances. As described below, staff is proposing amendments to the Roseville Municipal Code (RMC) in order to update the City's regulations regarding medical marijuana dispensaries and marijuana cultivation to be consistent with the provisions of the MMRSA.

The City currently regulates Medical Marijuana Dispensaries and Marijuana Cultivation within Chapters 9.95 and 9.96, respectively of Title 9 – Health and Safety of the RMC. Chapter 9.95 of the RMC prohibits Medical Marijuana Dispensaries anywhere within the City limits. Chapter 9.96 of the RMC currently restricts the cultivation of marijuana to allow only those persons authorized by state law (i.e. persons with a Medical Marijuana Identification Card, Primary Caregivers, or Qualified Patients) to grow marijuana or engage in marijuana cultivation. Further, the RMC prohibits outdoor cultivation and only allows indoor cultivation within residential zones subject to strict standards and square footage limitations.

Proposed Ordinance Amendments

The City's current regulatory structure regarding cultivation appears to meet the minimum requirements for local control outlined in the MMRSA. However, because the MMRSA states that

cities can only regulate cultivation under land use and zoning principles, recent legal advice from the California League of Cities recommends the City move these regulations from Title 9 - Health and Safety to Title 19 – Zoning to ensure compliance with the MMRSA. This action will ensure the City's cultivation regulatory structure complies with the MMRSA.

In addition to moving the regulations to Title 19 – Zoning, changes are needed to the City's current definitions to correspond with the MMRSA. While current cultivation regulations meet the minimum standard of the MMRSA (once moved to Title 19 - Zoning), minor changes to defined terms will ensure no ambiguity exists. Specifically, MMRSA has added new regulations and definitions for medical marijuana use, distribution, delivery, cultivation, transport, and processing. These new definitions include expanding the very definition of "marijuana" to include all products produced therefrom, as well as expanded definitions for dispensaries, processing facilities, delivery, and distribution. The City's current regulations use older definitions that have been altered by these new MMRSA definitions and amending the City's definitions to align with the MMRSA will prevent ambiguity or loopholes created by inconsistent definitions.

The most significant change requires cities to define and address the delivery of marijuana within their borders, which is not currently addressed in the RMC. The intent is for the City to retain the current position on medical marijuana use, distribution, and sale, and to ban all marijuana deliveries within the City, except for those personal deliveries from a "primary caregiver" to their "qualified patients" (as defined by the MMRSA). This approach is consistent with the City's current approach of allowing medical marijuana use by a qualified patient. Cultivation by a primary caregiver and a qualified patient for personal use only is also permitted.

Staff is also proposing to expand the City's definition of "dispensary" to include "mobile dispensaries", which have become a recent trend and are addressed in the MMRSA. Staff is proposing that mobile dispensaries and temporary dispensaries be included in the outright ban on medical marijuana dispensaries. This approach aligns the City with the MMRSA.

Finally, the MMRSA provides a new definition for "processing facility" which includes those facilities which produce, prepare, propagate, process, or compound marijuana and marijuana products for sale and distribution by others. Again this is a new concept that has evolved outside of the current "dispensary" definition. The City would be defining these "processing facilities" and banning them in the same fashion as dispensaries.

The proposed modifications to the City's Medical Marijuana Dispensaries and Marijuana Cultivation regulations do not significantly change the existing structure and enforcement, but rather update the definitions and scope to include the realities of the industry and the new regulations of the MMRSA. These modifications are meant to maintain local regulatory control rather than ceding it to the State. This proposal allows the same very limited cultivation by those permitted to do so, while also maintaining a City ban on sale, delivery, and distribution.

Public Outreach

Staff presented the proposed ordinance amendments to the Roseville Coalition of Neighborhoods at their February 18, 2016 board meeting. There were no objections from the board regarding the proposed amendments.

Planning Commission Action

The Planning Commission held a public hearing regarding the proposed ordinance on February 25, 2016. The Commission voted unanimously to recommend that the City Council approve the proposed ordinance with two modifications. The Commission recommended the definition of "industrial hemp" be refined and that the term "devices for the use of marijuana" be added to Section 19.62.040. These modifications are reflected in the attached ordinance.

After going before the Planning Commission, the League of California Cities issued a publication advising cities adopting any marijuana ordinances to address the issues of testing laboratories within their borders. "Testing laboratory" means a facility, person, or location that offers or

performs tests of medical marijuana or medical marijuana products. Under the MMRSA these facilities are tasked with labeling marijuana and marijuana products for sale by dispensaries. Marijuana testing laboratories pose many of the same risks as dispensaries, delivery services, or cultivation, including strong odors, loitering, illegal sales, distribution of marijuana, trespassing, theft, violent crime such as robberies or attempted robberies, and fire hazards. Allowing testing laboratories in the City introduces many of the risks the City is seeking to avoid with the modification to our existing ordinances. Based on this, we propose also including in our ban on dispensaries, processing facilities, and testing laboratories.

FISCAL IMPACT

The proposed ordinance does not create a fiscal impact.

ECONOMIC DEVELOPMENT / JOBS CREATED

Not applicable.

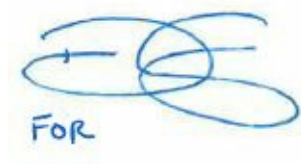
ENVIRONMENTAL REVIEW

This action is not a project subject to the California Environmental Quality Act (CEQA) because it involves only general policy and procedure making and does not have the potential for resulting in either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment (CEQA Guidelines, sections 15002(d), 15378, 15061(b)(3)).

Respectfully Submitted,

Gregory Bitter, Planning Manager/Joseph Speaker, Deputy City Attorney

Kevin Payne, Development Services Director/Robert Schmitt, City Attorney



For

Dominick Casey, Assistant City Manager

ATTACHMENTS:

Description

9.95 RMC Changes (first reading)

Attachment 1 - Planning Commission Staff Report

9.95 RMC Changes (Redline version)

ORDINANCE NO. ____

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE REPEALING CHAPTERS
9.95 AND 9.96 OF TITLE 9 OF THE ROSEVILLE MUNICIPAL CODE AND ADDING
CHAPTERS 19.62 AND 19.63 TO TITLE 19 OF THE ROSEVILLE MUNICIPAL CODE
REGARDING MEDICAL MARIJUANA CULTIVATION AND DISPENSARIES

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. Chapter 9.95 of Title 9 of the Roseville Municipal Code is hereby repealed
in its entirety.

SECTION 2. Chapter 9.96 of Title 9 of the Roseville Municipal Code is hereby repealed
in its entirety.

SECTION 3. Chapter 19.62 of Title 19 of the Roseville Municipal Code is hereby added
to read as follows:

Chapter 19.62 MEDICAL MARIJUANA DISPENSARIES

19.62.010 Findings.

The city council adopts this chapter based upon the following findings:

A. The voters of the State of California approved Proposition 215 (codified as Health and Safety Code Section 11362.5 et seq., and entitled, “The Compassionate Use Act of 1996”).

B. The intent of Proposition 215 was to enable persons who are in need of marijuana for medical purposes to be able to obtain and use it without fear of state criminal prosecution under limited, specified circumstances.

C. The State enacted SB 420 in 2004 to clarify the scope of the Compassionate Use Act of 1996 and to allow cities and other governing bodies to adopt and enforce rules and regulations consistent with SB 420.

D. The State further enacted SB 643, SB 266, and AB 243 in 2015 to further clarify the scope of the Compassionate Use Act of 1996 and to allow cities and other governing bodies to adopt and enforce rules and regulations, consistent with State law, for regulating marijuana distribution, cultivation, delivery, and transportation within their cities.

19.62.020 Definitions.

For the purposes of this chapter, the words and phrases shall have the same meanings respectively ascribed to them by this section:

A. “Cannabis”, “marijuana”, “medical cannabis”, and/or “medical marijuana” shall be used interchangeably and means all parts of the plant *Cannabis sativa* Linnaeus, *Cannabis*

indica, or Cannabis ruderalis, whether growing or not; the seeds thereof; the resin, whether crude or purified, extracted from any part of the plant; and every compound, manufacture, salt, derivative, mixture, or preparation of the plant, its seeds, or resin. This includes the separated resin, whether crude or purified, obtained from marijuana and as defined by California Health and Safety Code Section 11018, as may be amended. This section does not mean “industrial hemp” as defined by California Food and Agricultural Code Section 81000, as may be amended, or California Health and Safety Code Section 11018.5, as may be amended.

B. “Delivery” or “deliver” shall mean any transfer of marijuana or marijuana products, whether for compensation or otherwise.

C. “Distribution” means the procurement, sale, transfer, and/or transport of marijuana and/or products made from marijuana.

D. “Medical marijuana dispensary” or “dispensary” means a facility or location, whether permanent, temporary, or mobile, where marijuana, products made from marijuana, or devices for the use of marijuana or products made from marijuana are offered, either individually or in any combination, for sale, use, transportation, distribution, and/or delivery, whether for compensation or otherwise, by or to (1) another dispensary or processing facility, or (2) two or more of the following: a primary caregiver, a qualified patient, or a person with an identification card.

E. “Processing facility” means any facility or location, whether permanent, temporary, or mobile, that produces, prepares, propagates, processes, or compounds marijuana or products made from marijuana, directly or indirectly, by any method, for delivery, for compensation or

otherwise. Processing facility does not mean any facility or location manufacturing “industrial hemp” as defined by California Food and Agricultural Code Section 81000, as may be amended, or California Health and Safety Code Section 11018.5, as may be amended. F.

“Person” means any individual, partnership, co-partnership, firm, association, joint stock company, corporation, limited liability company or combination of the above in whatever form or character.

G. “Person with an identification card” shall have the same definition as California Health and Safety Code Section 11362.5 et seq., as may be amended, California Business and Professions Code Section 19300 et seq., as may be amended, and as may be amended by California Department of Public Health’s “Medical Marijuana Program”.

H. “Primary caregiver” shall have the same definition as in California Health and Safety Code Section 11362.5 et seq., as may be amended, and California Business and Professions Code Section 19300 et seq., as may be amended.

I. “Qualified patient” shall have the same definition as in California Health and Safety Code Section 11362.5 et seq., as may be amended.

J. “Testing laboratory” means a facility, person, or location that offers or performs tests of medical marijuana or medical marijuana products.

19.62.030 Medical marijuana dispensaries, processing facilities, and testing laboratories prohibited.

It is unlawful and a misdemeanor for any person to engage in, conduct or carry on, or to permit to be engaged in, conducted or carried on, in or upon any premises or location within any zoning district in the City of Roseville, the operation of a medical marijuana dispensary, and/or processing facility, and/or testing laboratory.

19.62.040 Delivery of marijuana prohibited.

Delivery of marijuana, products made from marijuana, or devices for the use of marijuana to or from any person, business, or location in the City of Roseville is prohibited. Notwithstanding the foregoing, a primary caregiver may personally deliver medical marijuana, products made from marijuana, or devices for the use of marijuana to a qualified patient or person with an identification card, for whom he or she is the primary caregiver.

19.62.050 Enforcement.

A. It is unlawful and a public nuisance to violate any of the provisions of this chapter and city shall have the authority to cause the abatement and removal thereof in accordance with the procedure prescribed in Chapter 2.52 of this code.

B. Violation of this chapter may be charged as either an infraction or a misdemeanor in the discretion of the city attorney.

C. The violation of any provision of this chapter shall be and is hereby declared to be contrary to the public interest and shall, at the discretion of city, create a cause of action for injunctive relief.

D. In addition to the civil remedies and criminal penalties set forth herein, any person that violates the provisions of this chapter may be subject to administrative remedies, as set forth by city ordinance.

E. Unless otherwise expressly provided, the remedies, procedures and penalties provided by this chapter are cumulative to each other and to any others available under state law or other city ordinances.

19.62.060 Severability.

The provisions of this chapter are hereby declared to be severable. If any section, sentence, clause, phrase, word, portion or provision of the ordinance codified in this chapter is held invalid, or unconstitutional, or unenforceable, by any court of competent jurisdiction, such holding shall not affect, impair, or invalidate any other section, sentence, clause, phrase, word, portion, or provision of said ordinance which can be given effect without the invalid portion. In adopting said ordinance, the city council affirmatively declares that it would have approved and adopted said ordinance even without any portion which may be held invalid or unenforceable.

SECTION 4. Chapter 19.63 of Title 19 of the Roseville Municipal Code is hereby added to read as follows:

Chapter 19.63 MARIJUANA CULTIVATION

19.63.010 Purpose and applicability.

The city council adopts this chapter based on the following:

A. Purpose. The purpose and intent of this chapter is to regulate the cultivation of marijuana in a manner that protects the health, safety and welfare of the community. This chapter is not intended to interfere with a patient's right to medical marijuana, as provided for in California Health and Safety Code Section 11362 and California Business and Professions Code Section 19300 et seq., as may be amended, nor does it criminalize medical marijuana possession or cultivation by specifically defined classifications of persons, pursuant to state law. This chapter is not intended to give any person independent legal authority to grow marijuana; it is intended simply to impose zoning restrictions on the cultivation of marijuana when it is authorized by California state law for medical or other purposes.

B. Applicability. No part of this ordinance shall be deemed to conflict with federal law as contained in the Controlled Substances Act, 21 U.S.C. Section 800 et seq., nor to otherwise permit any activity that is prohibited under that Act or any other local, state or federal law, statute, rule or regulation. The cultivation of marijuana in the City of Roseville is controlled by the provisions of this chapter of the municipal code.

19.63.020 Definitions.

For the purposes of this chapter, the words and phrases shall have the same meanings respectively ascribed to them by this section:

A. “Authorized grower” means a person with an identification card, primary caregiver, or qualified patient who is authorized by federal or state law to grow marijuana for personal medical use in compliance with local, state or federal laws authorizing such marijuana cultivation.

B. “Cannabis”, “marijuana”, “medical cannabis”, and/or “medical marijuana” shall be used interchangeably and means all parts of the plant *Cannabis sativa* Linnaeus, *Cannabis indica*, or *Cannabis ruderalis*, including marijuana as defined by California Health and Safety Code Section 11018, as may be amended. This section does not mean “industrial hemp” as defined by California Food and Agricultural Code Section 81000, as may be amended, or California Health and Safety Code Section 11018.5, as may be amended.

C. “Cultivation” means any activity involving the planting, growing, harvesting, drying, curing, grading, or trimming of marijuana plants.

D. “Enforcement officer” means the chief of police, City of Roseville code enforcement officer, or any designee of either of them.

E. “Fully enclosed and secure structure” means a space within a building that complies with the California Building Code, as adopted in the City of Roseville, or if exempt from the permit requirements of the California Building Code, that has a complete roof enclosure supported by connecting walls extending from the ground to the roof; a foundation, slab or equivalent base to which the floor is secured by bolts or similar attachments; is secure against

unauthorized entry; and is accessible through one or more lockable doors. Walls and roofs must be constructed of solid materials that cannot be easily penetrated or breached, such as two-inch by four-inch nominal or thicker studs overlaid with three-eighths inch or thicker plywood or the equivalent. Plastic sheeting, regardless of gauge, or similar products, do not satisfy this requirement. If indoor grow lights or air filtration systems are used, they must comply with the California Building, Electrical, and Fire Codes as adopted in the City of Roseville.

F. “Immature marijuana plant” means a marijuana plant, whether male or female, that has not yet flowered and which does not yet have buds that are readily observed by unaided visual examination.

G. “Indoors” means within a fully enclosed and secure structure as that structure is defined in subsection E.

H. “Mature marijuana plant” means a marijuana plant, whether male or female, that has flowered and which has buds that are readily observed by unaided visual examination.

I. “Outdoor” means any location within the City of Roseville that is not within a fully enclosed and secure structure.

J. “Parcel” means property assigned a separate parcel number by the Placer County assessor.

K. “Person” means any individual, partnership, co-partnership, firm, association, joint stock company, corporation, limited liability company or combination of the above in whatever form or character.

L. “Person with an identification card” shall have the same definition as California Health and Safety Code Section 11362.5 et seq., as may be amended, California Business and Professions Code Section 19300 et seq., as may be amended, and as may be amended by California Department of Public Health’s “Medical Marijuana Program”.

M. “Primary caregiver” shall have the same definition as in California Health and Safety Code Section 11362.5 et seq., as may be amended, and California Business and Professions Code Section 19300 et seq., as may be amended.

N. “Qualified patient” shall have the same definition as in California Health and Safety Code Section 11362.5 et seq., as may be amended.

19.63.030 Outdoor cultivation.

It is hereby declared to be unlawful, a public nuisance, and a violation of this chapter for any person owning, leasing, occupying, or having charge or possession of any parcel within any zoning district in the City of Roseville to cause or allow such premises to be used for the outdoor cultivation of marijuana plants.

19.63.040 Cultivation of marijuana—Regulations for residential zones.

A. When authorized by state law, an authorized grower shall be allowed to cultivate marijuana indoors in residential zones, subject to the following regulations:

1. The marijuana cultivation area shall not exceed 50 square feet and not exceed 10 feet in height per residence.
2. Marijuana cultivation lighting shall not exceed 1,200 watts total.
3. The use of gas products (CO₂, butane, etc.) for marijuana cultivation or processing is prohibited.
4. From a public right-of-way, there shall be no exterior evidence of marijuana cultivation either within or outside the residence.
5. The residence where the marijuana cultivation occurs shall be the primary residence of the authorized grower.
6. The authorized grower shall not participate in marijuana cultivation in any other residential location within the City of Roseville.
7. The residence shall maintain kitchen, bathrooms, and primary bedrooms for their intended use and not be primarily or exclusively for marijuana cultivation.
8. The marijuana cultivation area shall be in compliance with the current edition of the California Building Code Section 1203.4 Natural ventilation or Section 402.3 Mechanical ventilation (or its equivalent(s)), as adopted by the City of Roseville.
9. The building official for the City of Roseville may require additional specific standards to meet the California Building Code and Fire Code, including, but not limited to, installation of fire suppression sprinklers.

10. The marijuana cultivation area shall not adversely affect the health or safety of the occupants of other property by creating dust, glare, heat, noise, noxious gasses, odor, smoke, traffic, vibration, or other impacts, and shall not be maintained so as to constitute a hazard due to use or storage of materials, processes, products or wastes.

B. Any proposed marijuana cultivation by an authorized grower that does not meet the grow area standard of subsection (A)(1) shall require the prior written determination of the city manager for the City of Roseville, or designee, of the need for additional cultivation area.

1. Documentation, such as a physician's recommendation or verification of more than one authorized grower living in the residence, shall be submitted with the request showing why the cultivation area standard is not feasible.

2. The request for determination shall include written permission from the record property owner and no determination and authorization for additional area of marijuana cultivation shall issue without the written permission of the record property owner.

3. An approved marijuana cultivation area that exceeds 50 square feet shall conform to the following standards:

- a. It shall be in compliance with subsections (A)(1) through (10).
- b. The marijuana cultivation area shall not exceed an additional 49 square feet for a total of 99 square feet per residence and shall not exceed 10 feet in height per residence.

4. Any written determination of the need for additional cultivation area shall be issued for a period not exceeding one year, but may be renewed upon review of a subsequent submittal of the required documentation.

19.63.050 Indoor cultivation of marijuana restricted to authorized grower.

It is hereby declared to be unlawful, a public nuisance and a violation of this chapter for any person owning, leasing, occupying, or having charge or possession of any parcel within the City of Roseville to cause or allow such parcel to be used for the cultivation of marijuana, unless the person is authorized by state law to grow marijuana, and such authorized grower is complying with all requirements of this chapter.

19.63.060 Public nuisance prohibited.

It is hereby declared to be unlawful and a public nuisance for any person owning, leasing, occupying, or having charge or possession of any parcel within the City of Roseville to create a public nuisance in the course of cultivating marijuana plants or any part thereof in any location, indoor or outdoor. A public nuisance may be deemed to exist, if such activity produces:

- A. Odors which are disturbing to people of normal sensitivity residing or present on adjacent or nearby property or areas open to the public.
- B. Repeated responses to the parcel from law enforcement officers.

C. A repeated disruption to the free passage of persons or vehicles in the immediate neighborhood, excessive noise which is disturbing to people of normal sensitivity on adjacent or nearby property or areas open to the public.

D. Any other impacts on the neighborhood which are disruptive of normal activity in the area.

19.63.070 Violation.

Cultivation of marijuana on any parcel within the city that does not comply with this chapter constitutes a violation of this zoning ordinance and is subject to the penalties and enforcement as provided in Section 19.63.080 of this chapter and Chapter 19.90 of this Title.

19.63.080 Enforcement.

A. Public Nuisance. Violation of this section is hereby declared to be a public nuisance.

B. Abatement. A violation of this section may be abated by the city attorney by the prosecution of a civil action for injunctive relief and by the summary abatement procedure set forth in subsection C of this section.

C. Summary Abatement Procedure.

1. The enforcement official is hereby authorized to order the abatement of any violation of this section by issuing a notice and order to abate which shall:
 - a. Describe the location of and the specific conditions which represent a violation of this chapter and the actions required to abate the violation.
 - b. Describe the evidence relied upon to determine that a violation exists, provided that the enforcement officer may withhold the identity of a witness to protect the witness from injury or harassment, if such action is reasonable under the circumstances.
 - c. State the date and time by which the required abatement actions must be completed.
 - d. State that to avoid the civil penalty provided in subsection (C)(4) of this section and further enforcement action, the enforcement officer must receive consent to inspect the premises where the violation exists to verify that the violation has been abated by the established deadline.
 - e. State that the owner or occupant of the property where the violation is located has a right to appeal the notice to abate by filing a written notice of appeal with the city clerk no later than seven (7) calendar days from the service of the notice. The notice of appeal must include the appellant's address, telephone number, fax number (if available), and e-mail address (if available). The city may rely on any of these for service or notice purposes. If an adequate written appeal is timely filed, the owner or occupant will be entitled to a hearing as provided in subsection (C)(3) of this section.

f. State that the order to abate the violation becomes final if a timely appeal is not filed or upon the issuance of a written decision after the appeal hearing is conducted in accordance with subsection (C)(3) of this section.

g. State that a final order of abatement may be enforced by application to the superior court for an inspection and/or abatement warrant or other court order.

h. State that a final order to abate the nuisance will subject the property owner and the occupant to a civil penalty of \$500.00 for each day that the violation continues after the date by which the violation must be abated as specified in the notice and order to abate. The penalty may be recovered through an ordinary civil action, or in connection with an application for an inspection or nuisance abatement warrant.

2. The notice described in subsection (C)(1) of this section shall be served in the same manner as a summons in a civil action in accordance with Article 3 (commencing with Section 415.10) of Chapter 4 of Title 5 of Part 2 of the Code of Civil Procedure, or by certified mail, return receipt requested, at the option of the city. If the owner of record cannot be found after diligent search, the notice may be served by posting a copy thereof in a conspicuous place upon the property for a period of not less than ten (10) days and publication thereof in a newspaper of general circulation pursuant to Government Code Section 6062, as may be amended.

3. Not sooner than ten (10) calendar days after a notice of appeal is filed with the city clerk, a hearing shall be held before a hearing panel of the board of appeals in accordance with the procedures prescribed in Chapter 2.52. The appellant shall be given notice of the date, time and place of the hearing not less than five (5) days in advance. The notice may be given by

telephone, fax, email, or personal service or posting on the property and shall be effective when given. At the hearing, the enforcement officer shall present evidence of the violation, which may include, but is not limited to, incident and police reports, witness statements, photographs, and the testimony of witnesses. The property owner and the occupant of the property where the violation is alleged to exist shall have the right to present evidence and argument in their behalf and to examine and cross examine witnesses. The property owner and property occupant are entitled at their own expense to representation of their choice at their own expense. At the conclusion of the hearing, the hearing panel shall render a written decision which may be served by regular first class mail on the appellant.

4. A final notice and order to abate the nuisance will subject the property owner or owners and any occupant or occupants of the property who are cultivating marijuana in violation of this section to a civil penalty of \$500.00 for each day that the violation continues after the date by which the violation must be abated as specified in the final notice and order to abate.

5. The enforcement officer or the hearing panel hearing an appeal pursuant to subsection (C)(3) of this section may reduce the daily rate of the civil penalty for good cause. The party subject to the civil penalty shall have the burden of establishing good cause, which may include, but is not limited to, a consideration of the nature and severity of the violation, whether it is a repeat offense, the public nuisance impacts caused by the violation, and the violator's ability to pay. The daily penalty shall continue until the violation is abated. The penalty may be recovered through an ordinary civil action, or in connection with application for an inspection or nuisance abatement warrant.

19.63.090 Penalties not exclusive.

The remedies and penalties provided herein are cumulative, alternative and nonexclusive. The use of one does not prevent the use of any other criminal, civil, or administrative remedy or penalty authorized by, or set forth in, the Roseville Municipal Code. None of the penalties or remedies authorized by, or set forth in, the Roseville Municipal Code shall prevent the city from using any other penalty or remedy under state statute which may be available to enforce this section or to abate a public nuisance.

19.63.100 Severability.

The provisions of this chapter are hereby declared to be severable. If any section, sentence, clause, phrase, word, portion or provision of the ordinance codified in this chapter is held invalid, or unconstitutional, or unenforceable, by any court of competent jurisdiction, such holding shall not affect, impair, or invalidate any other section, sentence, clause, phrase, word, portion, or provision of said ordinance which can be given effect without the invalid portion. In adopting said ordinance, the city council affirmatively declares that it would have approved and adopted said ordinance even without any portion which may be held invalid or unenforceable.

SECTION 5. This ordinance shall be effective at the expiration of thirty (30) days from the date of adoption.

SECTION 6. The City Clerk is hereby directed to cause this ordinance to be published in full at least once within fourteen (14) days after it is adopted in a newspaper of general circulation in the City, or shall within fourteen (14) days after its adoption cause this ordinance to be posted in full in at least three (3) public places in the City and enter in the Ordinance Book a certificate stating the time and place of said publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk

ITEM V-A: **ZONING ORDINANCE AMENDMENT – REGULATIONS RELATED TO MEDICAL MARIJUANA DISPENSARIES AND MARIJUANA CULTIVATION - FILE #PL16-0029**

REQUEST

Staff requests that the Planning Commission consider, accept public testimony, and recommend the City Council amend Title 19 – Zoning, of the Roseville Municipal Code (RMC) to add Chapters 19.62 (Medical Marijuana Dispensaries) and 19.63 (Marijuana Cultivation). The proposed ordinance will amend the RMC to ensure compliance with the California Medical Marijuana Regulation and Safety Act (MMRSA) of 2015. Regulations related to marijuana cultivation, medical marijuana use, distribution, delivery, cultivation, transport, and processing and the definition of marijuana dispensaries are proposed to be modified by the ordinance.

SUMMARY RECOMMENDATION

The Planning Division recommends that the Planning Commission take the following actions:

- A. Recommend that the City Council amend Title 19 – Zoning, of the Roseville Municipal Code to add Chapters 19.62 (Medical Marijuana Dispensaries) and 19.63 (Marijuana Cultivation).

BACKGROUND

On October 9, 2015, Governor Brown signed into law three related bills pertaining to the regulation of marijuana; AB 243, AB 266, and SB 643. These bills are collectively known as the Medical Marijuana Regulation and Safety Act (MMRSA) of 2015. The MMRSA creates a joint regulation and permitting scheme comprised of state law and local ordinances. As described below, staff is proposing amendments to the Roseville Municipal Code (RMC) in order to update the City's regulations regarding medical marijuana dispensaries and marijuana cultivation to be consistent with the provisions of the MMRSA.

The City currently regulates Medical Marijuana Dispensaries and Marijuana Cultivation within Chapters 9.95 and 9.96, respectively of Title 9 – Health and Safety of the RMC. Chapter 9.95 of the RMC prohibits Medical Marijuana Dispensaries anywhere within the City limits. Chapter 9.96 of the RMC currently restricts the cultivation of marijuana to allow only those persons authorized by state law (i.e. persons with a Medical Marijuana Identification Card, Primary Caregivers, or Qualified Patients) to grow marijuana or engage in marijuana cultivation. Further, the RMC prohibits outdoor cultivation and only allows indoor cultivation within residential zones subject to strict standards and square footage limitations.

Proposed Ordinance Amendments (See Exhibit A for Redlined Ordinance)

The City's current regulatory structure regarding cultivation appears to meet the minimum requirements for local control outlined in the MMRSA. However, because the MMRSA states that cities can only regulate cultivation under land use and zoning principles, recent legal advice from the California League of Cities recommends the City move these regulations from Title 9 - Health and Safety to Title 19 – Zoning to ensure compliance with the MMRSA. This action will ensure the City's cultivation regulatory structure complies with the MMRSA.

In addition to moving the regulations to Title 19 – Zoning, changes are needed to the City's current definitions to correspond with the MMRSA. While current cultivation regulations meet the minimum standard of the MMRSA (once moved to Title 19 - Zoning), minor changes to defined terms will ensure no ambiguity exists. Specifically, MMRSA has added new regulations and definitions for medical marijuana use, distribution, delivery, cultivation, transport, and processing. These new definitions include expanding the very definition of "marijuana" to include all products produced therefrom, as well as expanded definitions for dispensaries, processing facilities, delivery, and distribution. The City's current regulations use older definitions that have been altered by these new MMRSA definitions and amending the City's definitions to align with the MMRSA will prevent ambiguity or loopholes created by inconsistent definitions.

The most significant change requires cities to define and address the delivery of marijuana within their borders, which is not currently addressed in the RMC. The intent is for the City to retain the current position on medical marijuana use, distribution, and sale, and to ban all marijuana deliveries within the City, except for those personal deliveries from a "primary caregiver" to their "qualified patients" (as defined by the MMRSA). This approach is consistent with the City's current approach of allowing medical marijuana use by a qualified patient. Cultivation by a primary caregiver and a qualified patient for personal use only is also permitted.

Staff is also proposing to expand the City's definition of "dispensary" to include "mobile dispensaries", which have become a recent trend and are addressed in the MMRSA. Staff is proposing that mobile dispensaries and temporary dispensaries be included in the outright ban on medical marijuana dispensaries. This approach aligns the City with the MMRSA.

Finally, the MMRSA provides a new definition for "processing facility" which includes those facilities which produce, prepare, propagate, process, or compound marijuana and marijuana products for sale and distribution by others. Again this is a new concept that has evolved outside of the current "dispensary" definition. The City would be defining these "processing facilities" and banning them in the same fashion as dispensaries.

CONCLUSION

The proposed modifications to the City's Medical Marijuana Dispensaries and Marijuana Cultivation regulations do not significantly change the existing structure and enforcement, but rather update the definitions and scope to include the realities of the industry and the new regulations of the MMRSA. These modifications are meant to maintain local regulatory control rather than ceding it to the State. Staff's proposal allows the same very limited cultivation by those permitted to do so, while also maintaining a City ban on sale, delivery, and distribution.

ENVIRONMENTAL DETERMINATION

This action is not a project subject to the California Environmental Quality Act (CEQA) because it involves only general policy and procedure making and does not have the potential for resulting in either a direct physical change in the environment, or a reasonably foreseeable indirect physical change in the environment (CEQA Guidelines, sections 15002(d), 15378, 15061(b)(3)).

RECOMMENDATION

The Planning Division recommends that the Planning Commission:

- A. Recommend that the City Council adopt the Zoning Ordinance Amendment to Repeal Chapters 9.95 and 9.96 of Title 9 of the Roseville Municipal Code and add Chapters 19.62 and 19.63 to Title 19 of the Roseville Municipal Code regarding Medical Marijuana Cultivation and Dispensaries.

EXHIBIT

- A. Proposed Ordinance Repealing Chapters 9.95 and 9.96 of Title 9 of the Roseville Municipal Code and adding Chapters 19.62 and 19.63 to Title 19 of the Roseville Municipal Code regarding Medical Marijuana Cultivation and Dispensaries

ORDINANCE NO. ____

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE REPEALING CHAPTERS
9.95 AND 9.96 OF TITLE 9 OF THE ROSEVILLE MUNICIPAL CODE AND ADDING
CHAPTERS 19.62 AND 19.63 TO TITLE 19 OF THE ROSEVILLE MUNICIPAL CODE
REGARDING MEDICAL MARIJUANA CULTIVATION AND DISPENSARIES

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. Chapter 9.95 of Title 9 of the Roseville Municipal Code is hereby repealed
in its entirety.

SECTION 2. Chapter 9.96 of Title 9 of the Roseville Municipal Code is hereby repealed
in its entirety.

SECTION 3. Chapter 19.62 of Title 19 of the Roseville Municipal Code is hereby added
to read as follows:

Chapter 19.62 MEDICAL MARIJUANA DISPENSARIES

19.62.010 Findings.

The city council adopts this chapter based upon the following findings:

A. The voters of the State of California approved Proposition 215 (codified as Health and Safety Code Section 11362.5 et seq., and entitled, “The Compassionate Use Act of 1996”).

B. The intent of Proposition 215 was to enable persons who are in need of marijuana for medical purposes to be able to obtain and use it without fear of state criminal prosecution under limited, specified circumstances.

C. The State enacted SB 420 in 2004 to clarify the scope of the Compassionate Use Act of 1996 and to allow cities and other governing bodies to adopt and enforce rules and regulations consistent with SB 420.

D. The State further enacted SB 643, SB 266, and AB 243 in 2015 to further clarify the scope of the Compassionate Use Act of 1996 and to allow cities and other governing bodies to adopt and enforce rules and regulations, consistent with State law, for regulating marijuana distribution, cultivation, delivery, and transportation within their cities.

~~D. Neither Proposition 215 nor SB 420 authorizes medical marijuana dispensaries.~~

~~E. The federal Controlled Substances Act, 21 U.S.C. Section 841, makes it unlawful to manufacture, distribute, dispense, or possess marijuana.~~

~~F. The United States Supreme Court in *Gonzales v. Raich*, 125 S. Ct. 2195 (2005), ruled that the Controlled Substances Act applies even in states such as California which have medical marijuana laws.~~

~~G. Accordingly, medical marijuana dispensaries are illegal under federal law.~~

19.62.020 Definitions.

For the purposes of this chapter, the words and phrases shall have the same meanings respectively ascribed to them by this section:

A. “Cannabis”, “marijuana”, “medical cannabis”, and/or “medical marijuana” shall be used interchangeably and means all parts of the plant Cannabis sativa Linnaeus, Cannabis indica, or Cannabis ruderalis, whether growing or not; the seeds thereof; the resin, whether crude or purified, extracted from any part of the plant; and every compound, manufacture, salt, derivative, mixture, or preparation of the plant, its seeds, or resin. This includes the separated resin, whether crude or purified, obtained from marijuana and as defined by California Health and Safety Code Section 11018, as may be amended. This section does not mean “industrial hemp” as defined by California Food and Agricultural Code Section 81000, as may be amended, or California Health and Safety Code Section 11018.5, as may be amended.

B. “Delivery” or “deliver” shall mean any transfer of marijuana or marijuana products, whether for compensation or otherwise.

C. “Distribution” means the procurement, sale, transfer, and/or transport of marijuana and/or products made from marijuana.

D. “Medical marijuana dispensary” or “dispensary” means a facility or location, whether permanent, temporary, or mobile, where marijuana, products made from marijuana, or devices for the use of marijuana or products made from marijuana are offered, either individually

or in any combination, for sale, use, transportation, distribution, and/or delivery, whether for compensation or otherwise, ~~means any facility or location where medical marijuana is made available to and/or distributed~~ by or to (1) another dispensary or processing facility, or (2) two or more of the following: a primary caregiver, a qualified patient, or a person with an identification card.

E. “Processing facility” means any facility or location, whether permanent, temporary, or mobile, that produces, prepares, propagates, processes, or compounds marijuana or products made from marijuana, directly or indirectly, by any method, for delivery, for compensation or otherwise. Processing facility does not mean any facility or location manufacturing “industrial hemp” as defined by California Food and Agricultural Code Section 81000, as may be amended, or California Health and Safety Code Section 11018.5, as may be amended.

FB. “Person” means any individual, partnership, co-partnership, firm, association, joint stock company, corporation, limited liability company or combination of the above in whatever form or character.

GE. “Person with an identification card” shall have the same definition as California Health and Safety Code Section 11362.5 et seq., ~~and~~ as may be amended, California Business and Professions Code Section 19300 et seq., as may be amended, and as may be amended by California Department of Public Health’s “Medical Marijuana Program”.

HD. “Primary caregiver” shall have the same definition as in California Health and Safety Code Section 11362.5 et seq., ~~and~~ as may be amended, and California Business and Professions Code Section 19300 et seq., as may be amended.

IE. “Qualified patient” shall have the same definition as in California Health and Safety Code Section 11362.5 et seq., ~~and~~ as may be amended.

J. “Testing laboratory” means a facility, person, or location that offers or performs tests of medical marijuana or medical marijuana products.

19.62.030 Medical marijuana dispensaries, ~~processing facilities,~~ and testing laboratories prohibited.

It is unlawful and a misdemeanor for any person to engage in, conduct or carry on, or to permit to be engaged in, conducted or carried on, in or upon any premises or location within any zoning district -in the City of Roseville, the operation of a medical marijuana dispensary, and/or processing facility, and/or testing laboratory.

19.62.040 Delivery of marijuana prohibited.

Delivery of marijuana, products made from marijuana, or devices for the use of marijuana to or from any person, business, or location in the City of Roseville is prohibited.
Notwithstanding the foregoing, a primary caregiver may personally deliver medical marijuana,

products made from marijuana, or devices for the use of marijuana to a qualified patient or person with an identification card, for whom he or she is the primary caregiver.

19.62.050 Enforcement.

A. It is unlawful and a public nuisance to violate any of the provisions of this chapter and city shall have the authority to cause the abatement and removal thereof in accordance with the procedure prescribed in Chapter 2.52 of this code.

B. Violation of this chapter may be charged as either an infraction or a misdemeanor in the discretion of the city attorney.

C. The violation of any provision of this chapter shall be and is hereby declared to be contrary to the public interest and shall, at the discretion of city, create a cause of action for injunctive relief.

D. In addition to the civil remedies and criminal penalties set forth herein, any person that violates the provisions of this chapter may be subject to administrative remedies, as set forth by city ordinance.

E. Unless otherwise expressly provided, the remedies, procedures and penalties provided by this chapter are cumulative to each other and to any others available under state law or other city ordinances.

19.62.060 Severability.

The provisions of this chapter are hereby declared to be severable. If any section, sentence, clause, phrase, word, portion or provision of the ordinance codified in this chapter is held invalid, or unconstitutional, or unenforceable, by any court of competent jurisdiction, such holding shall not affect, impair, or invalidate any other section, sentence, clause, phrase, word, portion, or provision of said ordinance which can be given effect without the invalid portion. In adopting said ordinance, the city council affirmatively declares that it would have approved and adopted said ordinance even without any portion which may be held invalid or unenforceable.

SECTION 4. Chapter 19.63 of Title 19 of the Roseville Municipal Code is hereby added to read as follows:

Chapter 19.63 MARIJUANA CULTIVATION

19.63.010 Purpose and applicability.

The city council adopts this chapter based on the following:

A. Purpose. The purpose and intent of this chapter is to regulate the cultivation of marijuana in a manner that protects the health, safety and welfare of the community. This chapter is not intended to interfere with a patient's right to medical marijuana, as provided for in California Health and Safety Code Section 11362 and California Business and Professions Code Section 19300 et seq., as may be amended, nor does it criminalize medical marijuana possession or cultivation by specifically defined classifications of persons, pursuant to state law. This

chapter is not intended to give any person independent legal authority to grow marijuana; it is intended simply to impose zoning restrictions on the cultivation of marijuana when it is authorized by California state law for medical or other purposes.

B. Applicability. No part of this ordinance shall be deemed to conflict with federal law as contained in the Controlled Substances Act, 21 U.S.C. Section 800 et seq., nor to otherwise permit any activity that is prohibited under that Act or any other local, state or federal law, statute, rule or regulation. The cultivation of marijuana in the City of Roseville is controlled by the provisions of this chapter of the municipal code.

19.63.020 Definitions.

For the purposes of this chapter, the words and phrases shall have the same meanings respectively ascribed to them by this section:

A. “Authorized grower” means a person with an identification card, primary caregiver, or qualified patient~~person~~ who is authorized by federal or state law to grow marijuana for personal ~~use or~~ medical use in compliance with local, state or federal laws authorizing such marijuana cultivation.

B. “Cannabis”, “marijuana”, “medical cannabis”, and/or “medical marijuana” shall be used interchangeably and means all parts of the plant Cannabis sativa Linnaeus, Cannabis indica, or Cannabis ruderalis, including marijuana as defined by California Health and Safety Code Section 11018, as may be amended. This section does not mean “industrial hemp” as

defined by California Food and Agricultural Code Section 81000, as may be amended, or California Health and Safety Code Section 11018.5, as may be amended.

CB. “Cultivation” means any activity involving the planting, growing, harvesting, drying, curing, grading, or trimming of marijuana plants. ~~means the planting, growing, harvesting, drying, or processing of marijuana plants or any part thereof.~~

DE. “Enforcement officer” means the chief of police, City of Roseville code enforcement officer, or any designee of either of them.

ED. “Fully enclosed and secure structure” means a space within a building that complies with the California Building Code, as adopted in the City of Roseville, or if exempt from the permit requirements of the California Building Code, that has a complete roof enclosure supported by connecting walls extending from the ground to the roof; a foundation, slab or equivalent base to which the floor is secured by bolts or similar attachments; is secure against unauthorized entry; and is accessible through one or more lockable doors. Walls and roofs must be constructed of solid materials that cannot be easily penetrated or breached, such as two-inch by four-inch nominal or thicker studs overlaid with three-eighths inch or thicker plywood or the equivalent. Plastic sheeting, regardless of gauge, or similar products, do not satisfy this requirement. If indoor grow lights or air filtration systems are used, they must comply with the California Building, Electrical, and Fire Codes as adopted in the City of Roseville.

FE. “Immature marijuana plant” means a marijuana plant, whether male or female, that has not yet flowered and which does not yet have buds that are readily observed by unaided visual examination.

~~GF~~. “Indoors” means within a fully enclosed and secure structure as that structure is defined in subsection ~~ED~~.

~~HG~~. “Mature marijuana plant” means a marijuana plant, whether male or female, that has flowered and which has buds that are readily observed by unaided visual examination.

~~IH~~. “Outdoor” means any location within the City of Roseville that is not within a fully enclosed and secure structure.

~~JJ~~. “Parcel” means property assigned a separate parcel number by the Placer County assessor.

K. “Person” means any individual, partnership, co-partnership, firm, association, joint stock company, corporation, limited liability company or combination of the above in whatever form or character.

L. “Person with an identification card” shall have the same definition as California Health and Safety Code Section 11362.5 et seq., as may be amended, California Business and Professions Code Section 19300 et seq., as may be amended, and as may be amended by California Department of Public Health’s “Medical Marijuana Program”.

M. “Primary caregiver” shall have the same definition as in California Health and Safety Code Section 11362.5 et seq., as may be amended, and California Business and Professions Code Section 19300 et seq., as may be amended.

N. “Qualified patient” shall have the same definition as in California Health and Safety Code Section 11362.5 et seq., as may be amended.

19.63.030 Outdoor cultivation.

It is hereby declared to be unlawful, a public nuisance, and a violation of this chapter for any person owning, leasing, occupying, or having charge or possession of any parcel within any zoning district in the City of Roseville to cause or allow such premises to be used for the outdoor cultivation of marijuana plants.

19.63.040 Cultivation of marijuana—Regulations for residential zones.

A. When authorized by state law, an ~~individual~~ authorized grower shall be allowed to cultivate marijuana indoors in residential zones, subject to the following regulations:

1. The marijuana cultivation area shall not exceed 50 square feet and not exceed 10 feet in height per residence.
2. Marijuana cultivation lighting shall not exceed 1,200 watts total.
3. The use of gas products (CO₂, butane, etc.) for marijuana cultivation or processing is prohibited.
4. From a public right-of-way, there shall be no exterior evidence of marijuana cultivation either within or outside the residence.

5. The residence where the marijuana cultivation occurs shall be the primary residence of the authorized grower.

6. The authorized grower shall not participate in marijuana cultivation in any other residential location within the City of Roseville.

7. The residence shall maintain kitchen, bathrooms, and primary bedrooms for their intended use and not be primarily or exclusively for marijuana cultivation.

8. The marijuana cultivation area shall be in compliance with the current edition of the California Building Code Section 1203.4 Natural ventilation or Section 402.3 Mechanical ventilation (or its equivalent(s)), as adopted by the City of Roseville.

9. The building official [for the City of Roseville](#) may require additional specific standards to meet the California Building Code and Fire Code, including, but not limited to, installation of fire suppression sprinklers.

10. The marijuana cultivation area shall not adversely affect the health or safety of the occupants of other property by creating dust, glare, heat, noise, noxious gasses, odor, smoke, traffic, vibration, or other impacts, and shall not be maintained so as to constitute a hazard due to use or storage of materials, processes, products or wastes.

B. Any proposed marijuana cultivation by an authorized grower that does not meet the grow area standard of subsection (A)(1) shall require the prior written determination of the city manager [for the City of Roseville](#), or designee, of the need for additional cultivation area.

1. Documentation, such as a physician's recommendation or verification of more than one authorized grower living in the residence, shall be submitted with the request showing why the cultivation area standard is not feasible.
2. The request for determination shall include written permission from the record property owner and no determination and authorization for additional area of marijuana cultivation shall issue without the written permission of the record property owner.
3. An approved marijuana cultivation area that exceeds 50 square feet shall conform to the following standards:
 - a. It shall be in compliance with subsections (A)(1) through (10).
 - b. The marijuana cultivation area shall not exceed an additional ~~49~~⁵⁰ square feet for a total of ~~99~~¹⁰⁰ square feet per residence and shall not exceed 10 feet in height per residence.
4. Any written determination of the need for additional cultivation area shall be issued for a period not exceeding one year, but may be renewed upon review of a subsequent submittal of the required documentation.

19.63.050 Indoor cultivation of marijuana restricted to authorized grower.

It is hereby declared to be unlawful, a public nuisance and a violation of this chapter for any person owning, leasing, occupying, or having charge or possession of any parcel within the City of Roseville to cause or allow such parcel to be used for the cultivation of marijuana, unless

the person is authorized by state law to grow marijuana, and such authorized grower is complying with all requirements of this chapter.

19.63.060 Public nuisance prohibited.

It is hereby declared to be unlawful and a public nuisance for any person owning, leasing, occupying, or having charge or possession of any parcel within the City of Roseville to create a public nuisance in the course of cultivating marijuana plants or any part thereof in any location, indoor or outdoor. A public nuisance may be deemed to exist, if such activity produces:

- A. Odors which are disturbing to people of normal sensitivity residing or present on adjacent or nearby property or areas open to the public.
- B. Repeated responses to the parcel from law enforcement officers.
- C. A repeated disruption to the free passage of persons or vehicles in the immediate neighborhood, excessive noise which is disturbing to people of normal sensitivity on adjacent or nearby property or areas open to the public.
- D. Any other impacts on the neighborhood which are disruptive of normal activity in the area.

19.63.070 Violation.

Cultivation of marijuana on any parcel within the city that does not comply with this chapter constitutes a violation of ~~this~~ zoning ordinance and is subject to the penalties and enforcement as provided in Section ~~9.96.080~~ 19.63.080 of this chapter and Chapter 19.90 of this Title.

19.63.080 Enforcement.

A. Public Nuisance. Violation of this section is hereby declared to be a public nuisance.

B. Abatement. A violation of this section may be abated by the city attorney by the prosecution of a civil action for injunctive relief and by the summary abatement procedure set forth in subsection C of this section.

C. Summary Abatement Procedure.

1. The enforcement official is hereby authorized to order the abatement of any violation of this section by issuing a notice and order to abate which shall:

a. Describe the location of and the specific conditions which represent a violation of this chapter and the actions required to abate the violation.

b. Describe the evidence relied upon to determine that a violation exists, provided that the enforcement ~~official~~ officer may withhold the identity of a witness to protect the witness from injury or harassment, if such action is reasonable under the circumstances.

- c. State the date and time by which the required abatement actions must be completed.
- d. State that to avoid the civil penalty provided in subsection (C)(4) of this section and further enforcement action, the enforcement ~~official~~ officer must receive consent to inspect the premises where the violation exists to verify that the violation has been abated by the established deadline.
- e. State that the owner or occupant of the property where the violation is located has a right to appeal the notice to abate by filing a written notice of appeal with the city clerk no later than seven (7) calendar days from the service of the notice. The notice of appeal must include the appellant's address, telephone number, fax number (if available), and e-mail address (if available). The city may rely on any of these for service or notice purposes. If an adequate written appeal is timely filed, the owner or occupant will be entitled to a hearing as provided in subsection (C)(3) of this section.
- f. State that the order to abate the violation becomes final if a timely appeal is not filed or upon the issuance of a written decision after the appeal hearing is conducted in accordance with subsection (C)(3) of this section.
- g. State that a final order of abatement may be enforced by application to the superior court for an inspection and/or abatement warrant or other court order.
- h. State that a final order to abate the nuisance will subject the property owner and the occupant to a civil penalty of \$500.00 for each day that the violation continues after the date by which the violation must be abated as specified in the notice and order to abate. The penalty may

be recovered through an ordinary civil action, or in connection with an application for an inspection or nuisance abatement warrant.

2. The notice described in subsection (C)(1) of this section shall be served in the same manner as a summons in a civil action in accordance with Article 3 (commencing with Section 415.10) of Chapter 4 of Title 5 of Part 2 of the Code of Civil Procedure, or by certified mail, return receipt requested, at the option of the city. If the owner of record cannot be found after diligent search, the notice may be served by posting a copy thereof in a conspicuous place upon the property for a period of not less than ten (10) days and publication thereof in a newspaper of general circulation pursuant to Government Code Section 6062, as may be amended.

3. Not sooner than ten (10) calendar days after a notice of appeal is filed with the city clerk, a hearing shall be held before a hearing panel of the board of appeals in accordance with the procedures prescribed in Chapter 2.52. The appellant shall be given notice of the date, time and place of the hearing not less than five (5) days in advance. The notice may be given by telephone, fax, email, or personal service or posting on the property and shall be effective when given. At the hearing, the enforcement ~~official~~officer shall present evidence of the violation, which may include, but is not limited to, incident and police reports, witness statements, photographs, and the testimony of witnesses. The property owner and the occupant of the property where the violation is alleged to exist shall have the right to present evidence and argument in their behalf and to examine and cross examine witnesses. The property owner and property occupant are entitled at their own expense to representation of their choice at their own expense. At the conclusion of the hearing, the hearing panel shall render a written decision which may be served by regular first class mail on the appellant.

5. The enforcement ~~official~~officer or the hearing panel hearing an appeal pursuant to subsection (C)(3) of this section may reduce the daily rate of the civil penalty for good cause. The party subject to the civil penalty shall have the burden of establishing good cause, which may include, but is not limited to, a consideration of the nature and severity of the violation, whether it is a repeat offense, the public nuisance impacts caused by the violation, and the violator's ability to pay. The daily penalty shall continue until the violation is abated. The penalty may be recovered through an ordinary civil action, or in connection with application for an inspection or nuisance abatement warrant.

The remedies and penalties provided herein are cumulative, alternative and nonexclusive. The use of one does not prevent the use of any other criminal, civil, or administrative remedy or penalty authorized by, or set forth in, the Roseville Municipal Code. None of the penalties or remedies authorized by, or set forth in, the Roseville Municipal Code shall prevent the city from using any other penalty or remedy under state statute which may be available to enforce this section or to abate a public nuisance.

19.63.100 Severability.

The provisions of this chapter are hereby declared to be severable. If any section, sentence, clause, phrase, word, portion or provision of the ordinance codified in this chapter is held invalid, or unconstitutional, or unenforceable, by any court of competent jurisdiction, such holding shall not affect, impair, or invalidate any other section, sentence, clause, phrase, word, portion, or provision of said ordinance which can be given effect without the invalid portion. In adopting said ordinance, the city council affirmatively declares that it would have approved and adopted said ordinance even without any portion which may be held invalid or unenforceable.

SECTION 5. This ordinance shall be effective at the expiration of thirty (30) days from the date of adoption.

SECTION 6. The City Clerk is hereby directed to cause this ordinance to be published in full at least once within fourteen (14) days after it is adopted in a newspaper of general circulation in the City, or shall within fourteen (14) days after its adoption cause this ordinance to be posted in full in at least three (3) public places in the City and enter in the Ordinance Book a certificate stating the time and place of said publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville this ____ day of _____, 20__, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

MAYOR

ATTEST:

City Clerk