CITY OF ROSEVILLE CALIFORNIA

AGENDA

March 3, 2021

CITY COUNCIL
6:00 p.m.
Council Chambers
311 Vernon Street
Roseville, California
www.roseville.ca.us/CORTV

To help minimize the spread of COVID-19, members of the public may not attend in person, but may view the meeting on Comcast channel 14, Consolidated Communications channel 73, and AT&T U-Verse. City Council meetings are also video streamed live and are available on the City's website and YouTube channel. Members of the public may offer public comment by phone:

Dial in Phone Number: 916-774-5353

Members of the public who would like their comments to become part of the record for the "public comment" section at a public meeting, will need to look on the agenda for that meeting at www.roseville.ca.us/agenda and provide their comment either by phone or in person - depending on the public participation guidelines listed on that specific meeting's agenda.

If you need a disability-related modification or accommodation to participate in this meeting, please contact Voice: 916-774-5200, TDD: 916-774-5220. Requests must be made as early as possible.

THE CITY OF ROSEVILLE WELCOMES YOUR PARTICIPATION

If an agenda item is open to public comment, such public comment shall be addressed to the chair of the meeting.

Public Comment - Speakers have three minutes under Public Comment to speak on issues that are not listed on the agenda and are within the City's jurisdiction. The Brown Act does not permit any action or discussion on items not listed on the agenda.

Consent Calendar - If applicable, the Consent Calendar consists of routine items that may be approved by one motion. Any person can remove an item from the Consent Calendar to be discussed separately.

Agenda Items - Speakers have five minutes to address items that are listed on the agenda.

Americans with Disabilities Act - Notify the City Clerk or Secretary at least 72 hours in advance if special assistance is required to participate in a meeting including the need of auxiliary aids or services.

Audio/Visual Presentations - If making a presentation regarding an agenda item, audio/visual materials must be submitted to the City Clerk or Secretary at least 72 hours in advance.

Security procedures are in place to attend Roseville City Council meetings. All attendees must successfully pass through a security metal detector. Any person with a prohibited item will not be allowed entry. Prohibited items include, but are not limited to: firearms (even with valid CCW), knives, pepper spray/mace, explosives of any kind/ any weapons and/or dangerous devices of any kind, illegal drugs and alcohol.

Roseville City Clerk 311 Vernon Street, Roseville, CA 916-774-5200 TDD 916-774-5220

1. CALL TO ORDER

2. ROLL CALL

3. PLEDGE OF ALLEGIANCE

4. MEETING PROCEDURES

5. PRESENTATIONS

5.1. City Response to COVID-19

CONTACT: Dominick Casey 916-774-5362 dcasey@roseville.ca.us

6. PUBLIC COMMENTS

7. CONSENT CALENDAR

BEGINNING OF CONSENT CALENDAR

Bids / Purchases / Services

7.1. Control Panel Upgrade (RFP 08-073) - Contract Purchase Agreement

Memo from Preventative Maintenance Coordinator Blaine Wiegel and Environmental Utilities Director Richard Plecker recommending the City Council adopt RESOLUTION NO. 21-076 APPROVING A CONTRACT PURCHASE AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND EMA, INC. DBA EMA OF MINNESOTA, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (3001041). Staff requests Council authorize a contract purchase agreement with EMA Inc. in the amount of $500,000. This agreement would allow four (4) optional one (1) year renewals. Staff requests authorization to utilize four (4) of the optional renewal years of the contract without further Council approvals, provided that the applicable budget is approved by the City Council each year, or until City staff determines that continuing with the same vendor is not in the City's best interest. Funding for this project will come from the Environmental Utilities Department's Dry Creek

Wastewater Treatment Plant Programmable Logic Controller Rehabilitation project fund.

CC #: 1302

File #: 0203-09

CONTACT: Blaine Wiegel 916-746-1833 bwiegel@roseville.ca.us

7.2. Cardkey Access and Security Control Systems - Contract Purchase Agreement

Memo from Administrative Technician Tisha Chinn and Public Works Director Jason Shykowski recommending the City Council adopt RESOLUTION NO. 21-072 APPROVING A CONTRACT PURCHASE AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND JOHNSON CONTROLS, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE (3000890). Staff recommends Council approve a contract purchase agreement with Johnson Controls, Inc., a sole source provider of maintenance, repair, installation of hardware, and software programming of cardkey access and security control systems on an as-needed basis throughout the City. The total not-to-exceed yearly amount is $525,000. Funding for this agreement is included in the Facility Services and Facility Rehabilitation FY2020-21 approved budgets and the Barton Road Water Treatment Plant Access Control Rehabilitation Capital Improvement project approved budget.

CC #: 1315

File #: 0203-06

CONTACT: Tisha Chinn 916-774-5702 tchinn@roseville.ca.us

7.3. Vehicle - Purchase Order

Memo from Fleet Manager Brian Craighead and Public Works Director Jason Shykowski recommending the City Council approve a purchase order to Terex Utilities, Inc. for one Terex LT40 articulating telescoping aerial device utilizing Sourcewell contract# 012418-TER in the amount of $130,049. Funding for this vehicle is included in the FY2020-21 Fleet Replacement budget.

CC #: 1301

File #: 0203-01

CONTACT: Brian Craighead 916-774-5731 bcraighead@roseville.ca.us

Resolutions

7.4. Balancing Authority of Northern California Energy Imbalance Market - Participation Agreement Amendment

Memo from Power Supply and Portfolio Administrator William Forsythe and Electric Utility Director Michelle Bertolino recommending the City Council adopt RESOLUTION NO. 21-087 APPROVING A SECOND AMENDED AND RESTATED ENERGY IMBALANCE MARKET PARTICIPATION AGREEMENT BETWEEN THE BALANCING AUTHORITY OF NORTHERN CALIFORNIA AND THE MODESTO IRRIGATION DISTRICT, CITY OF REDDING, CITY OF ROSEVILLE, SACRAMENTO MUNICIPAL UTILITY DISTRICT AND THE UNITED STATES

DEPARTMENT OF ENERGY - WESTERN AREA POWER ADMINISTRATION (WAPA), CENTRAL VALLEY PROJECT, CALIFORNIA, AND AUTIIORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This item requests approval of an amended agreement with Balancing Authority of Northern California for Roseville Electric's participation in the California Independent System Operator Energy Imbalance Market (EIM). The amended agreement will address a number of issues that arose during the BANC Phase 2 EIM implementation. Based on the cost allocation methodology in the Participation Agreement, Roseville anticipates ongoing annual costs, payable in FY2021-22 and beyond to be about $260,000. Funds are available in the Electric Department FY2020-21 budget for the upfront costs for implementation and will be in future years' budget requests for ongoing costs.

CC #: 1317

File #: 0800-03

CONTACT: William Forsythe 916-774-5619 wforsythe@roseville.ca.us

7.5. AB 2021 Energy Efficiency Targets

Memo from Electric Business Analyst Renee Laffey and Electric Utility Director Michelle Bertolino recommending the City Council adopt RESOLUTION NO. 21-081 APPROVING ROSEVILLE ELECTRIC UTILITY'S ENERGY EFFICIENCY TARGETS. Staff requests Council approve the Roseville Electric Utility's energy efficiency targets for the next 10-year period as required by California Assembly Bill (AB) 2021. There is no financial impact from the approval of the 10-year energy efficiency targets.

CC #: 1307

File #: 0800-03

CONTACT: Renee Laffey 916-774-5671 rlaffey@roseville.ca.us

7.6. Updates to Energy Risk Management Policies

Memo from Electric Risk and Compliance Supervisor Petra Wallace and Electric Utility Director Michelle Bertolino recommending the City Council adopt RESOLUTION NO. 21-083 REAFFIRMING THE ELECTRIC UTILITY RISK MANAGEMENT POLICY; and adopt RESOLITION NO. 21-084 REAFFIRMING THE ENERGY CREDIT RISK POLICY; and adopt RESOLUTION NO. 21-085 APPROVING AN UPDATED ENERGY TRADING AUTHORITY POLICY; and adopt RESOLUTION NO. 21-086 APPROVING AN UPDATED ENERGY HEDGE POLICY. Staff requests Council approve two updated Energy Risk Management Policies and reaffirm two existing Energy Risk Management Policies for Roseville Electric Utility. The Energy Trading Authority Policy and the Energy Hedge Policy are recommended to be updated as a result of Roseville Electric joining the California Independent System Operator's Energy Imbalance Market on March 25, 2021. The Energy Credit Risk Policy and the Electric Utility Risk Management Policy require reaffirmation every two years by Roseville's internal risk policy. There is no fiscal impact associated with these policy changes.

CC #: 1316

File #: 0800-03

CONTACT: Petra Wallace 916-774-5510 pwallace@roseville.ca.us

7.7. Sacramento Regional Water Bank Phase 2 - Regional Water Authority Program Agreement

Memo from Assistant Environmental Utilities Director Sean Bigley and Environmental Utilities Director Richard Plecker recommending the City Council adopt RESOLUTION NO. 21-077 APPROVING A REGIONAL WATER AUTHORITY PROGRAM AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND THE REGIONAL WATER AUTHORITY, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. Staff requests Council approve the Phase 2 Program Agreement with the Regional Water Authority and other water agency partners to allow the City to participate in the final phase of development of the Sacramento Regional Groundwater Bank. The not-to-exceed cost for Roseville to participate is $55,000, which is included in the budget for the Regional Water Supply Reliability Study project in the Water Construction Fund.

CC #: 1303

File #: 0800-02

CONTACT: Sean Bigley 916-774-5513 sbigley@roseville.ca.us

7.8. Bay-Delta Water Quality Control Plan - Common Interest and Cost-Share Agreement Amendment

Memo from Assistant Environmental Utilities Director Sean Bigley and Environmental Utilities Director Richard Plecker recommending the City Council adopt RESOLUTION NO. 21-071 APPROVING A COMMON INTEREST AND COST-SHARE AGREEMENT FOR COORDINATED PARTICIPATION: BAY-DELTA WATER QUALITY CONTROL PLAN AMENDMENTS, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. Staff requests Council approve the Common Interest and Cost-Share agreement. This agreement's purpose is to establish the confidentiality by which the various American River water agencies can work together to preserve and protect American River Water Supplies. This Common Interest Agreement (CIA) accompanies the Major Projects Management Services Program that the City Council approved on December 16, 2020, which contained the reimbursement mechanism and related budget. As such, approval of the proposed attached CIA does not add any additional costs to the City beyond what Council already approved on December 16, 2020. The recommended action has no fiscal impact on the City's General Fund.

CC #: 1314

File #: 0800-02

CONTACT: Sean Bigley 916-774-5513 sbigley@roseville.ca.us

7.9. Downtown Water Mains Replacement Project – Hillcrest Neighborhood – Professional Services Agreement

Memo from Senior Engineer Janice Gainey and Environmental Utilities Director Richard Plecker recommending the City Council adopt RESOLUTION NO. 21-

073 APPROVING A PROFESSIONAL SERVICES AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND BROWN AND CALDWELL, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This item requests approval of a professional services agreement with Brown and Caldwell for construction management services for the Downtown Water Mains Replacement Project for the Hillcrest Neighborhood in the amount of $711,418.64. Funding for this agreement is provided by the Water Rehabilitation Fund. No additional funds are required.

CC #: 1298

File #: 0900-02

CONTACT: Janice Gainey 916-774-5535 jrgainey@roseville.ca.us

7.10. Program and Project Coordinator for Water Efficiency Outreach Initiatives (RFP 08-078) - Professional Services Agreement

Memo from Water Conservation Administrator Bobby Alvarez and Environmental Utilities Director Richard Plecker recommending the City Council adopt RESOLUTION NO. 21-075 APPROVING A PROFESSIONAL SERVICES AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND CHERYL BUCKWALTER DBA LANDSCAPE LIAISONS, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This contract includes services for water efficiency education, training, outreach and promotion to support the City's compliance with legislation that will set new state-mandated targets for City aggregate water use. The initial term of this agreement is for one year, with the option to renew in one-year increments for up to four additional years. Total annual compensation shall not exceed $50,000. Funding is included in the Water Utility’s Water Efficiency Section annual operating budget in the Water Operations Fund.

CC #: 1300

File #: 0800-02

CONTACT: Bobby Alvarez 916-746-1710 balvarez@roseville.ca.us

7.11. Designation of the Assistant City Manager and the Public Works Director as the City of Roseville's Authorized Agents to the Governor's Office of Emergency Services

Memo from Engineering Manager Stefanie Kemen and Public Works Director Jason Shykowski recommending the City Council adopt RESOLUTION NO. 21-074 DESIGNATING THE ASSISTANT CITY MANAGER AND THE PUBLIC WORKS DIRECTOR AS THE AUTHORIZED AGENTS TO THE FEDERAL EMERGENCY MANAGEMENT AGENCY AND THE GOVERNOR'S OFFICE OF EMERGENCY SERVICES ON BEHALF OF THE CITY OF ROSEVILLE. Staff requests renewing the designation of the Assistant City Manager, and newly designating the Public Works Director, as the City's authorized agents to the Governor's Office of Emergency Services for all matters pertaining to State disaster assistance. Doing so will allow the city to apply for and accept Federal Emergency Management Agency grant funds for future projects. There are no fiscal impacts directly associated with this designation.

CC #: 1299

File #: 0307

CONTACT: Stefanie Kemen 916-746-1300 skemen@roseville.ca.us

7.12. Sierra Vista - Westbrook Boulevard Phase 1A Infrastructure and Sierra Village Drive - Notice of Completion

Memo from Construction Inspector Andrew Druck and Development Services Director Mike Isom recommending the City Council adopt RESOLUTION NO. 20-078 RESOLUTION OF THE COUNCIL OF THE CITY OF ROSEVILLE ACCEPTING THE PUBLIC WORK KNOWN AS Sierra Vista - Phase 1A Infrastructure Westbrook Blvd (11+14.29 to 29+35.43) & Sierra Village Dr. PROJECT, APPROVING THE "NOTICE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING A PORTION OF THE DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION. The Engineering Division has made final inspection of the project and has found the civil improvement work has been completed in accordance with the improvement plans and City specifications. This action has no impact to the City's General Fund. Construction costs were paid by the developer.

CC #: 1304

File #: 0400-04-12-1

CONTACT: Andrew Druck 916-223-7577 adruck@roseville.ca.us

7.13. Community Development Block Grant Labor Compliance Monitoring Services - On-Call Professional Services Agreement Amendment

Memo from Housing Analyst Kristine Faelz and Economic Development Director Laura Matteoli recommending the City Council adopt RESOLUTION NO. 21-082 APPROVING A SECOND AMENDMENT TO ON-CALL PROFESSIONAL SERVICES AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND SILVEIRA CONSULTING, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. This item requests approval of a second amendment to a professional services agreement with Silveira Consulting, Inc. for labor compliance monitoring. Since the implementation of the agreement and the first amendment, several factors have occurred that warrant an increase in the contract. The amendment increases the contract amount by $25,000 for a total of $84,050. Funds for this project will come out of the Community Development Block Grant Fund and will not impact the General Fund.

CC #: 1309

File #: 0113-02

CONTACT: Kristine Faelz 916-774-5451 kpfaelz@roseville.ca.us

7.14. HOUSING AUTHORITY- Updated Roseville Housing Authority Administrative Plan

Memo from Housing Supervisor Suzi Cook-Turner and Economic Development

Director Laura Matteoli recommending the City Council, acting as the Board of Directors for the Housing Authority, adopt RESOLUTION NO. 3-21 APPROVING THE UPDATED ROSEVILLE HOUSING AUTHORITY ADMINISTRATIVE PLAN. Staff requests approving the proposed updates to the Housing Authority Administrative Plan to allow implementation of the project based voucher program. This item does not impact the General Fund.

CC #: 1312

File #: 0709-01 & 0103-03-02

CONTACT: Suzi Cook-Turner 916-774-5414 smcook-turner@roseville.ca.us

7.15. Woodcreek Golf Course Tower - Standard Tower Attachment License Amendment

Memo from Assistant Information Technology Director Michael Sinor and Chief Information Officer Hong Sae recommending the City Council adopt RESOLUTION NO. 21-079 APPROVING A FIRST AMENDMENT TO STANDARD TOWER ATTACHMENT LICENSE AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND SBA 2012 TC ASSETS, LLC, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE. The City entered into a License Agreement for a Cell Tower with Nextel of CA, Inc. on December 14, 2006 for constructing, maintaining, and operating a communications facility at Woodcreek Golf Course. The first amendment extends the agreement for 5 additional periods of 5 years each, commencing on December 6, 2026. The monthly rent collected is $2,076 and is General Fund revenue. This amount increases 3% annually. Monthly rent will increase to $2,762 upon commencement and continue to increase 3% annually. The City will also receive $10,000 upon execution of the first amendment.

CC #: 1305

File #: 0708

CONTACT: Michael Sinor 916-774-5183 msinor@roseville.ca.us

Ordinances (for introduction and adoption - appropriation/urgency measures)

7.16. Community Development Block Grant - Budget Adjustment

Memo from Management Analyst Melissa Hagan and Economic Development Director Laura Matteoli recommending the City Council adopt ORDINANCE NO. 6339 ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE FISCAL YEAR 2020-21 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE. This item requests approval of a budget adjustment in the amount of $21,377 for the Community Development Block Grant (CDBG) fund for additional Owner-Occupied Rehabilitation Program grants. These grants are approved based on federal CDBG eligibility criteria and are disbursed in the amount of $5,000 per project. The source of funds for the CDBG grants are from loan payoffs and annual entitlement funds. This action has no impact to the General Fund.

CC #: 1308

File #: 0113-02 & 0201-01

CONTACT: Melissa Hagan 916-774-5476 mhagan@roseville.ca.us

7.17. Staffing and Salary Schedule Changes

Human Resources Manager Linda Hampton and Human Resources Director Stacey Peterson recommending the City Council adopt ORDINANCE NO. 6338 ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING ORDINANCE NO. 6310, THE SALARY ORDINANCE FOR MANAGEMENT EMPLOYEES, AS AMENDED BY APPENDIX "N" TO BE EFFECTIVE MARCH 13, 2021, AS AN URGENCY MEASURE. Staff recommends that Council approve the citywide position allocation schedule reflecting the Electric Class study recommendations and other staffing changes. In addition, staff requests that Council approve the A & B Management salary schedules to reflect the following class retitles of Equipment Maintenance Supervisor to Fleet Services Supervisor, Electric Resources Analyst I/II to Electric Resources Planner I/II, Electric Compliance Analyst to Electric Compliance Analyst II, and new classifications Electric Compliance Analyst I, Associate Electric Analyst and Assistant Electric Analyst. The fiscal impact of the recommended staffing changes to the Environmental Utilities funds for the remainder of FY2020-21 is $8,682. Reclassification of a position in the Information Technology Operations Fund will result in FY2020-21 cost savings of $4,776. The fiscal impact of the recommended staffing changes to the General Fund for the remainder of FY2020-21 is $11,773. The classification adjustments in the Electric Department will not impact the FY2020-21 budget.

CC #: 1318

File #: 0600-01 & 0201-01

CONTACT: Linda Hampton 916-774-5215 lhampton@roseville.ca.us

Reports / Requests

7.18. 2021 Legislative and Regulatory Platform for State and Federal Advocacy

Memo from Government Relations Administrator Mark Wolinski and Deputy City Manager Megan MacPherson recommending the City Council adopt the Legislative Platform. This item includes a set of priorities, legislative principles and platforms to guide the City's State and Federal legislative and judicial advocacy programs through 2021. This conveys the Council's positions on issues that are currently, or are anticipated to be, the focus of future legislation by the State and Federal governments. The State and Federal priorities and legislative platform defined within the document are the result of the City Council's articulation of its goals and priorities during legislative updates and the City Council's Legislative Platform Workshop held January 13, 2021. There is no direct fiscal impact to the City's General Fund.

CC #: 1313

File #: 0103-32-02

CONTACT: Mark Wolinski 916-774-5179 mwolinski@roseville.ca.us

7.19. HOUSING AUTHORITY - Housing Choice Voucher Program Activity

Memo from Housing Supervisor Suzi Cook-Turner and Economic Development Director Laura Matteoli recommending the City Council approve a quarterly report on the Housing Authority's Housing Choice Voucher Program. This report is for informational purposes only and has no impact on the City's General Fund.

CC #: 1311

File #: 0709-02-01

CONTACT: Suzi Cook-Turner 916-774-5414 smcook-turner@roseville.ca.us

Ceremonial Documents

7.20. Proclamation - Women In Construction Week

Proclaim March 7-13, 2021 as WOMEN IN CONSTRUCTION WEEK and recognize the National Association of Women in Construction Sacramento Chapter #63 and its many dedicated volunteers for its steadfast work on behalf of and in support of women in construction and congratulate the organization on its many accomplishments.

CC #: 1320

File #: 0102-06

CONTACT: Blair Hutchison 916-774-5266 bmhutchison@roseville.ca.us

7.21. Proclamation - American Red Cross Month - March 2021

Proclaim March 2021 as AMERICAN RED CROSS MONTH and encourage community members to thank the dedicated individuals who reach our and support humanitarian missions.

CC #: 1319

File #: 0102-06

CONTACT: Blair Hutchison 916-774-5266 bmhutchison@roseville.ca.us

END OF CONSENT CALENDAR

8. PUBLIC HEARING

NOTICE TO THE PUBLIC

City Council, when considering the matter scheduled for hearing, will take the following actions:

1. Open the Public Hearing
2. Presentation by Staff
3. Presentation by applicant or Appellant
4. Accept Public Testimony
5. Appellant or Applicant Rebuttal Period
6. Close the Public Hearing
7. City Council Comments and Questions
8. City Council Action

In the future, if you wish to challenge in court any of the matters on this agenda for which a public hearing is to be conducted, you may be limited to raising only those issues, which you, or someone else raised orally at the Public Hearing or in written correspondence received by the City or before the hearing

Public Hearings listed for continuance will be continued as noted and posting of this agenda serves as notice of continuation.

8.1. 6382 Phillip Road - Option, Purchase and Sale and Memorandum of Option Agreements

Memo from Economic Development Manager Troy Holt and Economic Development Director Laura Matteoli recommending the City Council adopt RESOLUTION NO. 21-080 APPROVING AN OPTION AND PURCHASE AND SALE AGREEMENT AND A MEMORANDUM OF OPTION AND PURCHASE AND SALE AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND PDC SACRAMENTO LPIV, LLC, AND AUTHORIZING THE CITY MANAGER TO EXECUTE THEM AND ANY RELATED DOCUMENTS ON BEHALF OF THE CITY OF ROSEVILLE. Staff requests approval of the proposed Option and Purchase and Sale Agreement and a Memorandum of Option Agreement with PDC Sacramento LPIV, LLC for the property at 6382 Phillip Road (APN: 017-101-008). This property was declared surplus by the City Council on November 20, 2019. This parcel is underutilized and there are no identified future needs for this property. The City Council approved an Exclusive Right to Negotiate with PDC Sacramento LPIV, LLC on November 18, 2020. This will result in future property sales that will increase the Strategic Improvement Fund balance during each phase of the property purchase. The first phase of 35 acres is expected to result in $1,243,165 ($34,519/acre). Upon approval of entitlements, staff will seek approval from the City Council for a budget adjustment for first and future phase acquisitions.

CC #: 1306

File #: 0209

CONTACT: Troy Holt 916-746-1173 tgholt@roseville.ca.us
Laura Matteoli 916-774-5284 lmatteoli@roseville.ca.us

8.2. HOUSING AUTHORITY - FY2020-21 and FY2021-22 Annual Plans

Memo from Housing Supervisor Suzi Cook-Turner and Economic Development Director Laura Matteoli recommending the City Council, acting as the Board of Directors for the Housing Authority, adopt RESOLUTION NO. 1-21 HOUSING AUTHORITY OF THE CITY OF ROSEVILLE APPROVING THE FY2020-21 ANNUAL PLAN and adopt RESOLUTION NO. 2-21 HOUSING AUTHORITY OF THE CITY OF ROSEVILLE APPROVING THE FY2021-22 ANNUAL PLAN. This item also requests Council authorize submission of the FY2020-21 and FY2021-22 Roseville Housing Authority Annual Plans to the U.S. Department of Housing & Urban Development along with the required certifications, disclosures, and related documents. This item does not create any additional cost to the City's General Fund.

CC #: 1310

File #: 0709-01 & 0103-03-02

CONTACT: Suzi Cook-Turner 916-774-5414 smcook-turner@roseville.ca.us

9. COUNCIL REPORTS / PUBLIC COMMENTS

10. ADJOURNMENT

COUNCIL COMMUNICATION

CC #: 1302
File #: 0203-09

Title:	Control Panel Upgrade (RFP 08-073) - Contract Purchase Agreement
Contact:	Blaine Wiegel 916-746-1833 bwiegel@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.1.

RECOMMENDATION TO COUNCIL

Staff recommends City Council adopt a resolution authorizing the City Manager to execute a contract purchase agreement with EMA Inc. to provide PLC (Programmable Logic Control) replacement, testing, panel installation/fabrication, programming integration, and engineering. Staff requests authorization to utilize four (4) optional one (1) year renewals without further Council approvals, contingent on budget approval each year, until the contract expires, or until City staff determines that continuing with the same vendor is not in the City's best interest.

BACKGROUND

The Environmental Utilities Department released RFP 08-073 Control Panel Upgrades to solicit proposals for PLC replacement, testing, panel installation/fabrication, programming integration, and engineering. Qualified vendors were evaluated on cost, qualifications/experience and overall proposal. EMA Inc. was ranked first in overall scoring.

FISCAL IMPACT

The cost of service is $500,000 with funding from the Environmental Utilities Department's Dry Creek Wastewater Treatment Plant PLC rehabilitation project (#400064). Projected spending for optional renewal years is as follows: $500,000 FY2021-22, $500,000 FY2022-23, $10,000 FY2023-24, and $10,000 FY2024-25.

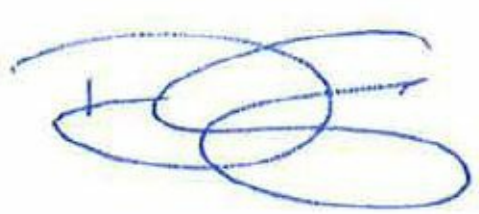
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) only applies to projects which have the potential to cause a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. (CEQA Guidelines §15061(b)(3)).

Respectfully Submitted,

Blaine Wiegel, Preventative Maintenance Coordinator

Richard Plecker, Enviromental Utilities Director



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Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-076

EMA Inc. CPA

RESOLUTION NO. 21-076

APPROVING A CONTRACT PURCHASE AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND EMA, INC. DBA EMA OF MINNESOTA, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a contract purchase agreement (3001041) to provide PLC (Programmable Logic Control) replacement, testing, panel installation/fabrication, programming integration, and engineering, between the City of Roseville and EMA, Inc. dba EMA of Minnesota, Inc., has been reviewed by the Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said contract purchase agreement is approved and the City Manager is authorized to execute it on behalf of the City of Roseville.

BE IT FURTHER RESOLVED that the City Manager or his designee is authorized to execute four (4) additional one (1) year renewals without further City Council approval, provided that the applicable budget is approved by the City Council each year, or until City staff determines that continuing with the same vendor is not in the City's best interest.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 2021, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

Contract Purchase Agreement 3001041

Supplier Details:

Company	EMA Inc dba EMA of Minnesota Inc
Contact	Timothy S Payne
Address	2355 Highway 36 W, Suite 200
	St Paul, MN 55113
Phone	(253) 606-6523

Submit your response to:

Company	City of Roseville
Contact	Sue Jessup
Address	Purchasing Division
	2075 Hilltop Circle
	Roseville, CA 95747
Phone	(916) 746-1702
Fax	(916) 774-5736
E-mail	SJessup@roseville.ca.us

This Contract Purchase Agreement is sent for your review and acceptance. Notify the Buyer via email of any needed changes to the company name, address, and contact information. The Buyer will update the agreement prior to you signing the document.

Return signed copies of the agreement to the Buyer noted on the agreement. Alterations or modifications to the agreement are not allowed. Upon receipt of all necessary documents, the City will sign the agreement and return a fully executed copy to you. Receipt of the signed copy will be your notice to proceed with the work in accordance with the terms and conditions of the agreement. Work must not begin until the contract has been fully executed.

The following guidelines must be followed for the signature block on the agreement:

Sole proprietorship - **By owner**

Partnership - **Any general partner**

Corporation - **Two options:**
(1) A signature from the President and the corporate seal; **OR**
(2) One signature from the Chairman of the Board, President, or any Vice President **AND** one signature from the Secretary, any Assistant Secretary, Chief Financial Officer, or any Treasurer or Assistant Treasurer of the corporation

*General Manager, Office Manager and/or Sales Manager are **not** corporate officer titles. The agreement will be rejected if not signed in accordance with these guidelines.

Insurance requirements:
The City's insurance requirements are referenced on Attachment A of the agreement. By signing the agreement, you are confirming that your company has the minimum insurance limits required.

Contract Purchase Agreement 3001041

Agreement	**3001041**
Agreement Date	**04-JAN-2021**
Change Order	**3**
Change Order Date	**06-JAN-2021**
Revision	**1**
Agreement Amount	**500,000.00 USD**

Invoice To
City of Roseville
Accounts Payable
311 Vernon St
ROSEVILLE CA 95678
Phone: (916) 774-5488
Fax: (916) 784-3796
Email: accountspayable@roseville.ca.us

Supplier
EMA Inc dba EMA of Minnesota Inc
2355 Highway 36 W, Suite 200
St Paul, MN 55113
Phone: (253) 606-6523
Fax:
Email: tpayne@ema-inc.com

Description of Labor, equipment and/or materials

START DATE: UPON EXECUTED AGREEMENT
END DATE: 2/28/22

THE CONTRACTOR SHALL FURNISH ALL LABOR, EQUIPMENT, AND MATERIALS NECESSARY TO ACCOMPLISH THE SERVICES CALLED FOR UNDER CITY OF ROSEVILLE REQUEST FOR PROPOSAL #08-073 CONTROL PANEL UPGRADES AND CONTRACTOR'S PROPOSAL THERETO TITLED ENVIRONMENTAL UTILITIES CONTROL PANEL UPGRADES DATED 11/13/2020 (HEREINAFTER "PROPOSAL"). THE TERMS AND SCOPE OF WORK OF CITY OF ROSEVILLE REQUEST FOR PROPOSAL #08-073 AND CONTRACTOR'S PROPOSAL ARE HEREIN MADE PART OF THIS CONTRACT PURCHASE AGREEMENT AND FULLY INCORPORATED BY REFERENCE.

PLEASE CONTACT BLAINE WIEGEL AT 916-746-1833, BWIEGEL@ROSEVILLE.CA.US WITH ANY QUESTIONS REGARDING THIS AGREEMENT.

The total agreement amount is an approximate value only. The City does not guarantee whatsoever the actual value of this contract.

Customer #	Supplier #	Payment Terms	Freight Terms	FOB	Shipping Method
	11306	**Net 30**	**Freight on Board at the destination**	**Destination**	**Best Method**

Start Date	End Date	Confirm To
		Sue Jessup Phone **1-916-746-1702**

Attention: Total Cost not to exceed the agreement amount without prior approval of the Purchasing office.

Contract Terms and Conditions

COR Construction

1. To the fullest extent allowed by law, Contractor shall defend, indemnify, and save and hold harmless the City, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of Contractor's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from City's sole or active negligence or willful misconduct. The parties intend that this provision shall be broadly construed. Contractor's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

2. Contractor is an independent contractor, and shall not be considered an officer, agent or employee of the City.

3. Without the written consent of the City, this Agreement is not assignable by Contractor either in whole or in part.

4. Consultant shall commence the services upon execution of the Agreement and shall perform such services based on the standards of reasonable care of its profession and expeditiously as is consistent with the professional skill and care and the orderly progress of Subcontractor's services, and shall complete such services in accordance with the schedule.

5. At any time during the term of this Agreement, the City has the right to terminate this Agreement, provided Contractor is given thirty (30) days written notice. City's termination shall be without further liability to City; however, Contractor shall be entitled to all costs reasonably incurred prior to the date of termination. Contractor acknowledges that City may terminate this Agreement should funds not be appropriated by its governing body to continue services under this Agreement.

6. This Agreement may only be amended or modified in writing. It is integrated and contains the complete understanding of the parties.

7. All equipment, supplies and services sold to the City of Roseville shall conform to the general safety orders of the State of California.

8. Unless notified to the contrary, in writing, the City assumes that the Contractor has accepted the work in accordance with the plans and specifications (if any) and agrees to do the work in compliance with this Agreement.

9. All prevailing wages and fair employment practices must be adhered to. For prevailing wage contracts over $25,000, copies of certified payroll must be submitted with invoices. Prevailing wage rates may be obtained from the State Department of Industrial Relations and/or the following website address: http://www.dir.ca.gov/dlsr/DPreWageDetermination.htm.

10. Contractor agrees to the below insurance requirements:

    a. Unless otherwise specified, the Contractor shall maintain the policies of

insurance outlined in Attachment A, incorporated herein by this reference, in full force and effect during the term of this Agreement. The City of Roseville retains sole discretion in determining the types and proper levels of insurance coverage.

b. Form. Contractor shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

c. Additional Insureds. Contractor shall also provide a separate endorsement or section of the policy showing City, its officers, agents, employees, and volunteers as additional insureds for each type of coverage (except Workers' Compensation) and for ongoing and completed operations. Such insurance shall specifically cover the contractual liability of Contractor. The additional insured coverage under the Contractor's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from City's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office (ISO) CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be:

(1) the minimum coverage and limits specified in this Agreement; or
(2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.

d. Cancellation/Modification. Contractor shall provide ten (10) days written notice to City prior to cancellation or modification of any insurance required by this Agreement.

e. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of City (if agreed to in a written contract) before City's own insurance shall be called upon to protect it as a named insured.

f. Subcontractors. Contractor agrees to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, Contractor shall require its subcontractors to agree to be bound to Contractor and City in the same manner and to the same extent as Contractor is bound to City under this Agreement. Additionally, Contractor shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of City's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.

g. Self-Insured Retentions. All self-insured retentions (SIR) must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or City. City reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.

h. Waiver of Subrogation. Contractor hereby agrees to waive subrogation which any insurer of Contractor may acquire from Contractor by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of City, its officers, agents, employees and volunteers for all work performed by Contractor, its employees, agents and subcontractors.

i. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve Contractor of liability in excess of such coverage, nor shall it preclude City from taking such other actions as are available to it under any other provisions of this Agreement or law.

11. Contractor shall exercise the customary professional standard of care to comply with all federal, state and local laws and ordinances, including but not limited to the City's storm water regulations, as may be applicable to the performance of services under this Agreement. Failure to comply with local ordinances may result in monetary fines and cancellation of this Agreement. Refer to www.roseville.ca.us/stormwater for links to more information on the City's storm water regulations.

12. In the event that the terms of any attachment or exhibit conflict with any terms of this Agreement, the terms of this Agreement shall control.

13. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. In the event that any signature is delivered by facsimile transmission or by e-mail delivery of a ".pdf" format data file, such signature shall create a valid and binding obligation of the party executing (or on whose behalf such signature is executed) with the same force and effect as if such facsimile or ".pdf" signature page were an original thereof.

14. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

15. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.

16. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

17. For purposes of this Agreement, the terms "Contractor," "Consultant," and "Supplier" are used interchangeably.

18. No contractor or subcontractor may work on a public works project unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5. During the performance of this agreement, Contractor and its subcontractors shall have a continuing legal obligation to maintain current registration with the Department of Industrial Relations. Contractor is hereby notified that this project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

19. Contractor must submit all claims as defined in and in accordance with the claim resolution process set forth in Section 9204 of the Public Contract Code. Each such claim must be sent to the City by registered mail or certified mail with return receipt requested and must contain reasonable documentation to support the claim. All claims must be received prior to acceptance of the work.

20. CONTRACTOR agrees to defend and indemnify CITY if, despite the parties intent and practice, any venue, agency, or court with competent jurisdiction determines that CONTRACTOR and/or any of its agents, officers, employees, volunteers, independent contractors, or subcontractors, are characterized as employee(s) of CITY.

    CONTRACTOR and CITY agree that: (a) CONTRACTOR is free from the control and direction of CITY in connection with the performance of the work; (b) CONTRACTOR is providing services directly to CITY; (c) CONTRACTOR has and will maintain at all relevant times a business license; (d) CONTRACTOR maintains a business location that is separate from CITY; (e) CONTRACTOR is customarily engaged in an independently established business of the same nature as that involved in the work performed hereunder; (f) CONTRACTOR actually contracts with other businesses to provide the same or similar services and maintains a clientele without restrictions from CITY; (g) CONTRACTOR advertises and holds itself out to the public as available to provide the same or similar services; (h) CONTRACTOR provides its own tools, vehicles, and equipment to perform the services; (i) CONTRACTOR has negotiated its own rates; (j) CONTRACTOR set its own hours and location of work in accomplishing CITY's on-call needs; and (k) CONTRACTOR has the right to control the manner and means of accomplishing the result desired and exercises its own expert independent judgement.

The supplier named hereon by the acceptance of this order agrees to the provisions of this document titled "Contract Purchase Agreement" and all accompanying terms, conditions, and attachments.

Roseville Business License No. 0843982

Contractor License No. 422364 (Telstar)

DIR Registration No. 1000046234

Check mark the applicable company type below:

X **Corporation**

_____ **Limited Liability Company**

_____ **Partnership**

_____ **Sole Proprietor**

City Reserves the right to withold any payments to contractor in the event of noncompliance with insurance requirements or if required by law.
Construction T&C Negotiated for EMA Inc dba EMA of Minnesota Inc
REV 12/15/20 JS

ATTACHMENT A
HUMAN RESOURCES/RISK MANAGEMENT DIVISION
INSURANCE REQUIREMENTS
AGREEMENT UNDER FORMAL BID LIMIT

General - Required Coverage:

- General Liability: $1 Million per occurrence /$2 Million aggregate
- Automobile Liability: $1 Million combined single limit
- Workers' Compensation: Statutory *Must provide a Waiver of subrogation
- Additional Insured Endorsement (AIE) - General Liability policy: CG 20 38 04 13 or an equivalent, blanket endorsement or section of the policy. Endorsement shall cover the City of Roseville, its officers, agents, employees and volunteers as additional insured.
- Policies must be primary and non-contributory
- A 30 day notice of cancellation must be provided

Additional Liability/Insurance Requirements if required by Agreement (and marked below):

Construction: __X__
General Liability:
$2 Million/occurrence
$4 Million aggregate

Design Professional:____
General Liability:
$2 Million/occurrence
$4 Million aggregate
Professional Liability:
$2 Million/occurrence

Professional Consultant:____
Professional Liability:
$1 Million/occurrence

IT Services:____
Professional Liability:
$1 Million/occurrence
No auto required

Special Events/Caterers-Vendors:____
No auto required
No workers' comp required

Professional Counseling/ Psychological:____
Professional Liability:
$1 Million/occurrence
No auto required

Insurance Verification
By signing the agreement included with this document, you are confirming that your company has the minimum insurance limits required above.

A copy of your insurance certificate is not required at this time. The City may request proof of insurance at any time.

Questions Regarding Insurance Requirements Contact Risk Management at (916) 774-5202

Asbestos-Containing Materials in City-Owned Buildings

California Health and Safety Code Section 25915 requires that all City of Roseville employees, contractors and lessees be notified annually of the presence of asbestos in City-owned buildings constructed prior to 1979. Please post this notice in an area(s) accessible to all employees, contractors and lessees. Contact Facility Maintenance & Operations at (916) 774-5740 for more information about Asbestos Containing Materials (ACMs) in specific City-owned buildings. For more information about asbestos refer to the OSHA website.

The City of Roseville is committed to maintaining a safe and healthy work environment. The City's Facility Maintenance & Operations staff conducts ongoing inspections to identify and safely manage previously installed asbestos-containing products. Renovation of City-owned buildings **MUST** be reviewed in advance by Facility Maintenance & Operations to ensure the City is in compliance with building codes, Americans with Disabilities Act and that no ACMs are disturbed without proper safeguards. Work that requires removal or repair of ACMs is restricted to trained and qualified persons only. **Facility Maintenance & Operations will need to approve the qualified contractors**.

Use the following measures to protect you and others from exposure to airborne asbestos:

- Presume all building materials contain asbestos until determined otherwise by Facility Maintenance & Operations.
- Do not install walls, closets, cabinets, ceilings.
- Do not remove, cut, drill, sand, grind or otherwise disturb any material in the buildings, including carpet and tile.
- Do not paint (this includes any walls, ceilings, floors and electrostatic painting of office furniture).
- Do not remove any items nailed or screwed to the walls (this includes pictures, cabinets, electrical, etc.).
- Do not go above ceilings, behind walls or into building spaces such as attics and crawlspaces unless these areas have been inspected and cleared by Facility Maintenance & Operations.
- Do not pull cable or wiring through above-ceiling spaces with asbestos.
- Do not install screws, pins, nails or hangers into asbestos ceiling or wall plaster.
- Be careful not to damage walls, ceilings or floors when moving furniture or equipment. It is recommended that Facility Maintenance & Operations be contacted prior to moving furniture or equipment.
- Do not brush, sweep or vacuum textured asbestos ceiling plaster or plaster debris.
- Immediately report any damage or deterioration of suspect building materials to Facility Maintenance & Operations or Risk Management.

It is important to note that the presence of asbestos does not mean you have been exposed. Exposure results when friable asbestos particles are inhaled or ingested. Asbestos becomes friable when material containing asbestos is drilled, sanded or disturbed by remodeling or demolition work or when the ACM has deteriorated. Intact, bonded, sealed or undisturbed asbestos does not pose a hazard.

Employees may review the asbestos survey reports, results of bulk sampling or air monitoring conducted in City-owned buildings. All asbestos data will be available by appointment with Facility Maintenance & Operations.

Facilities Built Pre 1979 That May Contain Asbestos	Year Built
Downtown Library - 225 Taylor Street 95678	1974
Carnegie Museum - 557 Lincoln Street 95678	1912
Tower Theatre - 415 Vernon Street 95678	1940
Fire Station #3 - 1300 Cirby Way 95661	1963
Old Corp Yard - 100 Old Corp Yard Road 95678	1940
Water Treatment Plant Operations Bldg.- 9595 Barton Road 95746	1965
Waste Water Treatment Plant Operations Bldg. - 1800 Booth Road 95747	1962
Royer Recreation Bldg. - 190 Park Drive 95678	1950
Fire Museum - 400 Lincoln Street 95678	1930
Diamond Oaks Golf - 349 Diamond Oaks Road 95678	1965
Johnson Pool, 4th & D Street	1950
330 Vernon Street	1940
107 Sutter Avenue	1962

Sign to acknowledge receipt of asbestos notification:

SIGNATURE	
COMPANY NAME	EMA, Inc.
DATE	January 27, 2021

Asbestos
Rev 01/16/19

Supplier	
Signature:	
Print Name:	Terry M. Draper, PE, PMP
Title:	Treasurer, EMA Inc.

Supplier	
Signature:	
Print Name:	Timothy S. Payne
Title:	Vice President, EMA Inc.

City of Roseville, A Municipal Corporation	
Signature:	
Print Name:	Dominick Casey
Title:	City Manager

COUNCIL COMMUNICATION

CC #: 1315
File #: 0203-06

Title:	Cardkey Access and Security Control Systems - Contract Purchase Agreement
Contact:	Tisha Chinn 916-774-5702 tchinn@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.2.

RECOMMENDATION TO COUNCIL

Staff recommends Council adopt a resolution to approve a contract purchase agreement (3000890) with Johnson Controls, Inc. for maintenance, repair, installation of hardware, and software programming of Cardkey access and security control systems on an as-needed basis, and authorize the City Manager to execute it.

BACKGROUND

On August 21, 2019, City Council authorized a one-year contract purchase agreement with Johnson Controls, a sole source provider of maintenance, repair, installation of hardware, and for the software programming of Access and Security Control Systems on an as-needed basis. Additionally, Council approved two optional renewal years at $350,000 per year to be utilized without further Council approval pending Council approval of budgets for those years. Staff is submitting the agreement for approval of the first optional renewal year because the value of the agreement has changed from $350,000 to $525,000 to include funds for the City's Barton Road Water Treatment Plant Access Control Rehabilitation project. The project will include card key access updates to the Barton Road Water Plant and numerous well sites and pump stations.

FISCAL IMPACT

The value of this contract purchase agreement is $525,000. Funding for this agreement is included in the Facility Services and Facility Rehabilitation FY2020-21 approved budgets and the Barton Road Water Treatment Plant Access Control Rehabilitation Capital Improvement Project approved budget.

ENVIRONMENTAL REVIEW

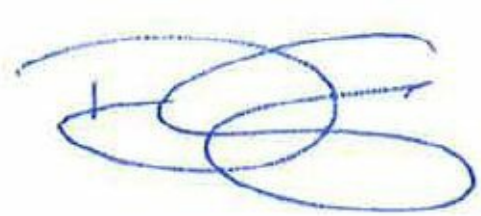
The California Environmental Quality Act (CEQA) only applies to projects which have the potential to cause a significant effect on the environment. Where it can be seen with certainty that there is

no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. (CEQA Guidelines §15061(b)(3)).

Respectfully Submitted,

Tisha Chinn, Administrative Technician

Jason Shykowski, Public Works Director



_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-072

CPA 3000890 - Johnson Controls Inc

RESOLUTION NO. 21-072

APPROVING A CONTRACT PURCHASE AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND JOHNSON CONTROLS, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a contract purchase agreement (3000890) to provide maintenance, repair, installation of hardware and software, and programming of cardkey access and security control systems, by and between the City of Roseville and Johnson Controls, Inc., has been reviewed by the City Council.

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said contract purchase agreement is approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City ofRoseville this____day of _____, 2021, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

Contract Purchase Agreement 3000890

Supplier Details:

Company	Johnson Controls Inc
Contact	Robert Rule
Address	Controls Group
	103 Woodmere Road
	Folsom, CA 95630

Submit your response to:

Company	City of Roseville
Contact	"Becky" Rebecca Philipp
Address	Purchasing Division
	2075 Hilltop Circle
	Roseville, CA 95747
Phone	(916) 774-5724
Fax	(916) 774-5736
E-mail	BPhilipp@roseville.ca.us

This Contract Purchase Agreement is sent for your review and acceptance. Notify the Buyer via email of any needed changes to the company name, address, and contact information. The Buyer will update the agreement prior to you signing the document.

Return signed copies of the agreement to the Buyer noted on the agreement. Alterations or modifications to the agreement are not allowed. Upon receipt of all necessary documents, the City will sign the agreement and return a fully executed copy to you. Receipt of the signed copy will be your notice to proceed with the work in accordance with the terms and conditions of the agreement. Work must not begin until the contract has been fully executed.

The following guidelines must be followed for the signature block on the agreement:

Sole proprietorship - **By owner**

Partnership - **Any general partner**

Corporation - **Two options:**
(1) A signature from the President and the corporate seal; **OR**
(2) One signature from the Chairman of the Board, President, or any Vice President **AND** one signature from the Secretary, any Assistant Secretary, Chief Financial Officer, or any Treasurer or Assistant Treasurer of the corporation

*General Manager, Office Manager and/or Sales Manager are **not** corporate officer titles. The agreement will be rejected if not signed in accordance with these guidelines.

Insurance requirements:
The City's insurance requirements are referenced on Attachment A of the agreement. By signing the agreement, you are confirming that your company has the minimum insurance limits required.

CITY OF ROSEVILLE CALIFORNIA

Contract Purchase Agreement 3000890

Agreement	**3000890**
Agreement Date	**24-SEP-2020**
Revision	**0**
Agreement Amount	**525,000.00 USD**

Invoice To
City of Roseville
Accounts Payable
311 Vernon St
ROSEVILLE CA 95678
Phone: (916) 774-5488
Fax: (916) 784-3796
Email: accountspayable@roseville.ca.us

Supplier
Johnson Controls Inc
Controls Group
103 Woodmere Road
Folsom, CA 95630
Phone: (916) 294-8800
Fax: (916) 294-8889
Email: robert.rule@jci.com

Description of Labor, equipment and/or materials

START DATE: Executed Agreement
END DATE: 6/30/21

The supplier shall provide citywide card key access control system parts, maintenance, repair, and installation services on an as needed basis at the direction of the Public Works Facility Services Division. All jobs must be quoted and approved in advance by an authorized member of the Public Works Facility Services Division prior to the start of any work.

Contact Tisha Chinn at (916)774-5702 or tchinn@roseville.ca.us for questions regarding this agreement.

The agreement total is an approximate value only. The City does not guarantee whatsoever the actual amount to be spent.

Customer #	Supplier #	Payment Terms	Freight Terms	FOB	Shipping Method
	10086	**Net 30**	**Freight on Board at the destination**	**Destination**	**Best Method**

Start Date	End Date	Confirm To
		"Becky" Rebecca Philipp Phone **1-916-774-5724**

Attention: Total Cost not to exceed the agreement amount without prior approval of the Purchasing office.

Contract Terms and Conditions

Negotiated Terms and Conditions for Johnson Controls, Inc., Revised 6/29/20

1. To the fullest extent allowed by law, Contractor shall defend, indemnify, and save and hold harmless City, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of Contractor's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from City's sole negligence or willful misconduct. The parties intend that this provision shall be broadly construed. Contractor's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

2. Contractor is an independent contractor, and shall not be considered an officer, agent or employee of the City.

3. Without the written consent of the City, this Agreement is not assignable by Contractor either in whole or in part.

4. Time is of the essence of this Agreement.

5. At any time during the term of this Agreement, the City has the right to terminate this Agreement, provided Contractor is given thirty (30) days written notice. City's termination shall be without further liability to City; however, Contractor shall be entitled to all costs reasonably incurred prior to the date of termination. Contractor acknowledges that City may terminate this Agreement should funds not be appropriated by its governing body to continue services under this Agreement.

6. This Agreement may only be amended or modified in writing. It is integrated and contains the complete understanding of the parties.

7. All equipment, supplies and services sold to the City of Roseville shall conform to the general safety orders of the State of California.

8. Unless notified to the contrary, in writing, the City assumes that the Contractor has accepted the work in accordance with the plans and specifications (if any) and agrees to do the work in compliance with this Agreement.

9. All fair employment practices must be adhered to. In addition, if the project referenced on this service agreement is a Public Works project, all prevailing wage laws must be complied with. For prevailing wage contracts over $25,000, copies of certified payroll must be submitted with invoices. Prevailing wage rates may be obtained from the State Department of Industrial Relations and/or the following website address: http://www.dir.ca.gov/dlsr/DPreWageDetermination.htm.

10. Contractor agrees to the below insurance requirements:

    a. Unless otherwise specified, the Contractor shall maintain the policies of insurance outlined in Attachment A, incorporated herein by this reference, in full force and effect during the term of this Agreement. The City of Roseville retains sole discretion in determining the types and proper levels of insurance

coverage.

b. Form. Contractor shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

c. Additional Insureds. Contractor shall also provide a separate endorsement or section of the policy showing City, its officers, agents, employees, and volunteers as additional insureds for each type of coverage, except for Workers' Compensation. Such insurance shall specifically cover the contractual liability of Contractor. The additional insured coverage under the Contractor's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from City's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office (ISO) CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be:

(1) the minimum coverage and limits specified in this Agreement; or
(2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.

d. Cancellation/Modification. Contractor shall provide ten (10) days written notice to City prior to cancellation or modification of any insurance required by this Agreement.

e. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of City (if agreed to in a written contract) before City's own insurance shall be called upon to protect it as a named insured.

f. Subcontractors. Contractor agrees to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, Contractor shall require its subcontractors to agree to be bound to Contractor and City in the same manner and to the same extent as Contractor is bound to City under this Agreement. Additionally, Contractor shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of City's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.

g. Self-Insured Retentions. All self-insured retentions (SIR) must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or City. City reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.

h. Waiver of Subrogation. Contractor hereby agrees to waive subrogation which any insurer of Contractor may acquire from Contractor by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or

Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of City, its officers, agents, employees and volunteers for all work performed by Contractor, its employees, agents and subcontractors.

i. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve Contractor of liability in excess of such coverage, nor shall it preclude City from taking such other actions as are available to it under any other provisions of this Agreement or law.

11. Contractor shall comply with all federal, state and local laws and ordinances, including but not limited to the City's storm water regulations, as may be applicable to the performance of services under this Agreement. Failure to comply with local ordinances may result in monetary fines and cancellation of this Agreement. Refer to www.roseville.ca.us/stormwater for links to more information on the City's storm water regulations.

12. In the event that the terms of any attachment or exhibit conflict with any terms of this Agreement, the terms of this Agreement shall control.

13. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument. In the event that any signature is delivered by facsimile transmission or by e-mail delivery of a ".pdf" format data file, such signature shall create a valid and binding obligation of the party executing (or on whose behalf such signature is executed) with the same force and effect as if such facsimile or ".pdf" signature page were an original hereof.

14. If either party commences any legal action against the other party arising out of this Agreement or the performance thereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorneys' fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

15. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.

16. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

17. For purposes of this Agreement, the terms "Contractor," "Consultant," and "Supplier" are used interchangeably.

18. If the project referenced on this agreement is a Public Works project, then the following shall apply: No contractor or subcontractor may work on a public works project unless registered with the Department of Industrial Relations pursuant to Labor Code section 1725.5. During the performance of this agreement, Contractor and its subcontractors shall have a continuing legal obligation to maintain current registration with the Department of Industrial Relations. Contractor is hereby notified that this project is subject to compliance monitoring and enforcement by the Department of Industrial Relations.

19. If the project referenced on this agreement is a Public Works project, then the following shall apply: Contractor must submit all claims as defined in and in accordance with the claim resolution process set forth in Section 9204 of the Public Contract Code. Each such claim must be sent to the City by registered mail or certified mail with return receipt

requested and must contain reasonable documentation to support the claim. All claims must be received prior to acceptance of the work.

20. CONSULTANT agrees to defend and indemnify CITY if, despite the parties intent and practice, any venue, agency, or court with competent jurisdiction determines that CONSULTANT and/or any of its agents, officers, employees, volunteers, independent contractors, or subcontractors, are characterized as employee(s) of CITY.

    CONSULTANT and CITY agree that: (a) CONSULTANT is free from the control and direction of CITY in connection with the performance of the work; (b) CONSULTANT is providing services directly to CITY; (c) CONSULTANT has and will maintain at all relevant times a business license; (d) CONSULTANT maintains a business location that is separate from CITY; (e) CONSULTANT is customarily engaged in an independently established business of the same nature as that involved in the work performed hereunder; (f) CONSULTANT actually contracts with other businesses to provide the same or similar services and maintains a clientele without restrictions from CITY; (g) CONSULTANT advertises and holds itself out to the public as available to provide the same or similar services; (h) CONSULTANT provides its own tools, vehicles, and equipment to perform the services; (i) CONSULTANT has negotiated its own rates; (j) CONSULTANT set its own hours and location of work in accomplishing CITY's on-call needs; and (k) CONSULTANT has the right to control the manner and means of accomplishing the result desired and exercises its own expert independent judgement.

21. Liability Limitation. In no event shall Johnson Controls and its affiliates and their respective personnel, suppliers and vendors be liable for any damages relating to the agreement or the services contemplated thereby in any amount exceeding 2X the total amounts called for under this agreement.

The supplier named hereon by the acceptance of this order agrees to the provisions of this document titled "Contract Purchase Agreement" and all accompanying terms, conditions, and attachments.

Roseville Business License No. 00801185

Contractor License No. 22445

DIR Registration No. 1000000593

Check mark the applicable company type below:

____X____ **Corporation**

_____ **Limited Liability Company**

_____ **Partnership**

_____ **Sole Proprietor**

City Reserves the right to withold any payments to contractor in the event of noncompliance with insurance requirements or if required by law.
General T&C
REV 6/29/20 JS (Negotiated T's and C's for Johnson Controls, Inc.

ATTACHMENT A
HUMAN RESOURCES/RISK MANAGEMENT DIVISION
INSURANCE REQUIREMENTS
AGREEMENT OVER FORMAL BID LIMIT OR INVOLVING HIGH RISK ACTIVITIES

General - Required Coverage/Documentation

- General Liability: $1 Million per occurrence /$2 Million aggregate
- Automobile Liability: $1 Million combined single limit
- Workers' Compensation: Statutory *Must provide a Waiver of subrogation
- Additional Insured Endorsement (AIE) - General Liability policy: CG 20 38 04 13 or an equivalent, blanket endorsement or section of the policy. Endorsement shall cover the City of Roseville, its officers, agents, employees and volunteers as additional insured.
- Policies must be primary and non-contributory
- A 30 day notice of cancellation must be provided
- List certificate holder as: The City of Roseville - Insurance Compliance, PO Box 100085-R1, Duluth, GA 30096

Additional Liability/Insurance Requirements if required by Agreement (and marked below):

Construction:____
General Liability:
$2 Million/occurrence
$4 Million aggregate

Design Professional:____
General Liability:
$2 Million/occurrence
$4 Million aggregate
Professional Liability:
$2 Million/occurrence

Professional Consultant:____
Professional Liability:
$1 Million/occurrence

IT Services:____
Professional Liability:
$1 Million/occurrence
No auto required

Hazardous Materials:____
Pollution Liability:
$1 Million/occurrence

Special Events/Caterers-Vendors:____
No auto required
No workers' comp required

Professional Counseling/ Psychological:____
Professional Liability:
$1 Million/occurrence
No auto required

Pyrotechnics:____
General Liability:
$5 Million/occurrence
$10 Million aggregate
Auto - $2 Million/occurrence

Chemical/Environmental:____
General Liability:
$3 Million/occurrence
$6 Million aggregate
Pollution – $2 Million/Occurrence
Auto - $2 Million/Occurrence

Insurance Submission Process

The City of Roseville Human Resources/Risk Management Department uses a service called EBIX to manage our insurance certificate tracking.

How It Works

- The vendor's contact information is entered into EBIX. EBIX will contact the vendor to request proof of insurance.
- The Vendor can forward the request to their Insurance Agent(s) if necessary.
- Vendor/Insurance Agent submits insurance to EBIX by email to roseville@ebix.com or by fax to (770) 325-5727. After faxing or emailing the certificate, please DO NOT send the certificate by mail to EBIX. Please do not mail, email or fax any certificates to the City of Roseville.
- Once submitted, EBIX reviews the insurance documentation. If there are deficiencies, EBIX will send a follow up letter or email requesting additional information.

Questions Regarding Insurance Submission: Contact EBIX at (951) 652-4239
Questions Regarding Insurance Requirements Contact Risk Management at (916) 774-5202

Asbestos-Containing Materials in City-Owned Buildings

California Health and Safety Code Section 25915 requires that all City of Roseville employees, contractors and lessees be notified annually of the presence of asbestos in City-owned buildings constructed prior to 1979. Please post this notice in an area(s) accessible to all employees, contractors and lessees. Contact Facility Maintenance & Operations at (916) 774-5740 for more information about Asbestos Containing Materials (ACMs) in specific City-owned buildings. For more information about asbestos refer to the OSHA website.

The City of Roseville is committed to maintaining a safe and healthy work environment. The City's Facility Maintenance & Operations staff conducts ongoing inspections to identify and safely manage previously installed asbestos-containing products. Renovation of City-owned buildings **MUST** be reviewed in advance by Facility Maintenance & Operations to ensure the City is in compliance with building codes, Americans with Disabilities Act and that no ACMs are disturbed without proper safeguards. Work that requires removal or repair of ACMs is restricted to trained and qualified persons only. **Facility Maintenance & Operations will need to approve the qualified contractors.**

Use the following measures to protect you and others from exposure to airborne asbestos:

- Presume all building materials contain asbestos until determined otherwise by Facility Maintenance & Operations.
- Do not install walls, closets, cabinets, ceilings.
- Do not remove, cut, drill, sand, grind or otherwise disturb any material in the buildings, including carpet and tile.
- Do not paint (this includes any walls, ceilings, floors and electrostatic painting of office furniture).
- Do not remove any items nailed or screwed to the walls (this includes pictures, cabinets, electrical, etc.).
- Do not go above ceilings, behind walls or into building spaces such as attics and crawlspaces unless these areas have been inspected and cleared by Facility Maintenance & Operations.
- Do not pull cable or wiring through above-ceiling spaces with asbestos.
- Do not install screws, pins, nails or hangers into asbestos ceiling or wall plaster.
- Be careful not to damage walls, ceilings or floors when moving furniture or equipment. It is recommended that Facility Maintenance & Operations be contacted prior to moving furniture or equipment.
- Do not brush, sweep or vacuum textured asbestos ceiling plaster or plaster debris.
- Immediately report any damage or deterioration of suspect building materials to Facility Maintenance & Operations or Risk Management.

It is important to note that the presence of asbestos does not mean you have been exposed. Exposure results when friable asbestos particles are inhaled or ingested. Asbestos becomes friable when material containing asbestos is drilled, sanded or disturbed by remodeling or demolition work or when the ACM has deteriorated. Intact, bonded, sealed or undisturbed asbestos does not pose a hazard.

Employees may review the asbestos survey reports, results of bulk sampling or air monitoring conducted in City-owned buildings. All asbestos data will be available by appointment with Facility Maintenance & Operations.

Facilities Built Pre 1979 That May Contain Asbestos	Year Built
Downtown Library - 225 Taylor Street 95678	1974
Carnegie Museum - 557 Lincoln Street 95678	1912
Tower Theatre - 415 Vernon Street 95678	1940
Fire Station #3 - 1300 Cirby Way 95661	1963
Old Corp Yard - 100 Old Corp Yard Road 95678	1940
Water Treatment Plant Operations Bldg. - 9595 Barton Road 95746	1965
Waste Water Treatment Plant Operations Bldg. - 1800 Booth Road 95747	1962
Royer Recreation Bldg. - 190 Park Drive 95678	1950
Fire Museum - 400 Lincoln Street 95678	1930
Diamond Oaks Golf - 349 Diamond Oaks Road 95678	1965
Johnson Pool, 4th & D Street	1950
330 Vernon Street	1940
107 Sutter Avenue	1962

Sign to acknowledge receipt of asbestos notification:

SIGNATURE	
COMPANY NAME	JOHNSON CONTROLS, INC.
DATE	10/6/20

Asbestos
Rev 01/16/19

Supplier	
Signature:	
Print Name:	ERIK BECK
Title:	HVAC INSTALLATION MANAGER

Supplier	
Signature:	
Print Name:	
Title:	

City of Roseville, A Municipal Corporation	
Signature:	
Print Name:	**Dominick Casey**
Title:	**City Manager**

Johnson Controls

DELEGATION OF AUTHORITY CERTIFICATE

The undersigned, Vice President and President, Building Solutions, North America, pursuant to the authority vested in him by: (i) a Sub-Delegation of Authority from **Johnson Controls, Inc.**, a Wisconsin corporation ("JCI"), dated June 6, 2017, (ii) an Incumbency Certificate and Delegation of Authority from the general partner of **Johnson Controls Fire Protection LP** (formerly known as SimplexGrinnell LP), a Delaware limited partnership ("JCFP"), dated June 8, 2017, and (iii) a Written Consent in Lieu of Special Meeting of the Management Board from **Johnson Controls Security Solutions LLC** (formerly known as Tyco Integrated Security LLC), a Delaware limited liability company ("JCSS"), dated June 8, 2017, hereby authorizes:

Erik A. Beck
Installation Manager

(the "Delegate") to perform, on behalf of each of JCI, JCFP and JCSS, the acts described below:

> To execute and deliver any and all contracts for the performance of work, sale of goods, and furnishing of services, and any other instruments in connection therewith and in the ordinary course of business and in accordance with the current Global Approval Authority Matrix.

This authority does not extend to:

a. further sub-delegation of the above acts absent necessary approvals in writing;
b. the execution of surety, performance or bid bonds;
c. the signing of any notes, contracts, or any other agreement to borrow money in the name of JCI, JCFP and JCSS, or any form of guaranty for the payment or performance of obligations of any subsidiary, affiliate, or joint venture of JCI, JCFP and JCSS; or
d. the signing, on behalf of JCI, JCFP and JCSS, of any deeds, abstracts, offers to purchase or any other instruments pertaining to the purchase or sale of real property.

Any actions taken by such Delegate within the scope of acts authorized herein taken between the date of expiration of any prior delegation of authority and the date hereof are hereby ratified, confirmed and approved as the acts and deeds of JCI, JCFP and JCSS.

This authority shall remain in full force and effect through December 1, 2020.

Signed at Milwaukee, Wisconsin, this 2nd day of December, 2019.

Johnson Controls, Inc., Johnson Controls Fire Protection LP, and Johnson Controls Security Solutions LLC

Rodney N. Rushing
Vice President and President
Building Solutions, North America

ATTESTS:

Steven W. Keane
Vice President and Assistant Secretary
Johnson Controls, Inc.

Jennifer L. Leong
Vice President and Secretary
Johnson Controls Fire Protection LP

Lee M. Finney
Vice President and Secretary
Johnson Controls Security Solutions LLC

COUNCIL COMMUNICATION

CC #: 1301
File #: 0203-01

Title: Vehicle - Purchase Order
Contact: Brian Craighead 916-774-5731 bcraighead@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.3.

RECOMMENDATION TO COUNCIL

Staff recommends Council approve a purchase order to Terex Utilities, Inc. for one Terex LT40 articulating telescoping aerial device utilizing Sourcewell contract# 012418-TER as a replacement for Fleet ID 08-386 approved in the FY2020-21 Fleet Replacement budget. The total cost including options and sales tax is $130,049.

BACKGROUND

The Parks, Recreation & Libraries Department's Open Space division is purchasing one Terex LT40 articulating telescoping aerial device as a replacement for Fleet ID 08-386. This aerial lift truck is used for tree trimming activities, traffic sign clearance, aerial tree and safety inspections, and storm emergency response.

Vehicle replacements are based on the Fleet Scoring System which considers factors such as age, mileage, reliability, prior maintenance and repair costs, and current condition. Below are the vehicle replacement scores. A score of 23 or more indicates the vehicle is eligible for replacement.

Vehicle ID	Replacement Score	Vehicle Condition
08-386	26	Meets City replacement criteria

FISCAL IMPACT

The total cost of one Terex LT40 articulating telescoping aerial device including options and sales tax is $130,049. Funding is included in the FY2020-21 Fleet Replacement budget.

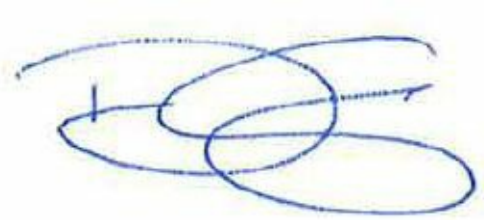
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378. The purchase of a vehicle meets the above criteria and is not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Brian Craighead, Fleet Manager

Jason Shykowski, Public Works Director



_____
Dominick Casey, City Manager

ATTACHMENTS:
Description

COUNCIL COMMUNICATION

CC #: 1317
File #: 0800-03

Title:	Balancing Authority of Northern California Energy Imbalance Market - Participation Agreement Amendment
Contact:	William Forsythe 916-774-5619 wforsythe@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.4.

RECOMMENDATION TO COUNCIL

Staff recommends City Council adopt a resolution authorizing the City Manager to execute the Second Amended and Restated Energy Imbalance Market (EIM) Participation Agreement with the Balancing Authority of Northern California (BANC) for participation in the California Independent System Operator's (CAISO) Energy Imbalance Market.

BACKGROUND

On September 4, 2019 City Council approved the "First Amended and Restated Energy Imbalance Market (EIM) Participation Agreement" with the Balancing Authority of Northern California (BANC) for participation in the California Independent System Operator's (CAISO) Energy Imbalance Market. The Sacramento Municipal Utility District (SMUD) entered the EIM on April 3, 2019 as part of BANC's Phase 1 EIM participation effort. The "First Amended and Restated EIM Participation Agreement" initiated the BANC Phase 2 effort for Roseville, Redding Electric, Modesto Irrigation District and Western Area Power Administration to join EIM on March 25, 2021.

This Second Amended Agreement updates the prior agreement to address a number of issues that arose during the implementation process. The changes include:

- Addressing cost allocation for any potential future BANC members in the EIM.
- Addressing the process for obtaining concurrence from the Western Area Power Administration for cost allocation decisions.
- Allowing the creation of subcommittees reporting to the BANC General Manager.
- Updating EIM Participant reserve accounts for meeting CAISO credit requirements.
- Providing supplemental services from BANC to certain EIM entities (Redding and Modesto).

FISCAL IMPACT

Based on the cost allocation methodology in the Participation Agreement, Roseville anticipates ongoing annual costs, payable in FY2021-22 and beyond to be about $260,000. Funds are available in the Electric Department FY2020-21 budget for the upfront costs for implementation and will be in future years' budget requests for ongoing costs. All costs are expected to be recovered by reduced power supply expenses within two to four years. The Participation Agreement allows for a one-year termination should costs exceed estimates or expected benefits not materialize.

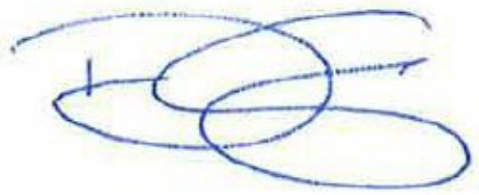
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) only applies to projects which have the potential to cause a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. (CEQA Guidelines §15061(b)(3)).

Respectfully Submitted,

William Forsythe, Power Supply and Portfolio Administrator

Michelle Bertolino, Electric Utility Director



_____
Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-087

Second Amended BANC EIM Participation Agreement

RESOLUTION NO. 21-087

APPROVING A SECOND AMENDED AND RESTATED ENERGY IMBALANCE MARKET PARTICIPATION AGREEMENT BETWEEN THE BALANCING AUTHORITY OF NORTHERN CALIFORNIA AND THE MODESTO IRRIGATION DISTRICT, CITY OF REDDING, CITY OF ROSEVILLE, SACRAMENTO MUNICIPAL UTILITY DISTRICT AND THE UNITED STATES DEPARTMENT OF ENERGY - WESTERN AREA POWER ADMINISTRATION (WAPA), CENTRAL VALLEY PROJECT, CALIFORNIA, AND AUTIIORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a Second Amended And Restated Energy Imbalance Market Participation Agreement between the Balancing Authority of Northern California and the Modesto Irrigation District, City of Redding, City of Roseville, Sacramento Municipal Utility District and the United States Department of Energy - Western Area Power Administration (WAPA), Central Valley Project, California, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said Second Amended and Restated Energy Imbalance Market Participation Agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 2021, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

SECOND AMENDED AND RESTATED

ENERGY IMBALANCE MARKET PARTICIPATION AGREEMENT

BETWEEN THE

BALANCING AUTHORITY OF NORTHERN CALIFORNIA

AND THE

MODESTO IRRIGATION DISTRICT,

CITY OF REDDING,

CITY OF ROSEVILLE,

SACRAMENTO MUNICIPAL UTILITY DISTRICT

AND THE

UNITED STATES

DEPARTMENT OF ENERGY

WESTERN AREA POWER ADMINISTRATION

CENTRAL VALLEY PROJECT, CALIFORNIA

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1 PREAMBLE

This Second Amended and Restated Energy Imbalance Market (EIM) Participation Agreement (Agreement) is entered into between the BALANCING AUTHORITY OF NORTHERN CALIFORNIA, a joint exercise of powers agency organized under Section 6500 *et seq.*, of the California Government Code, hereinafter called "BANC," its successors and assigns; and the MODESTO IRRIGATION DISTRICT (MID), the CITY OF REDDING, CA (Redding), the CITY OF ROSEVILLE, CA (Roseville), and the SACRAMENTO MUNICIPAL UTILITY DISTRICT (SMUD), each created and existing under the laws of the State of California, and the UNITED STATES OF AMERICA, acting by and through the Administrator, WESTERN AREA POWER ADMINISTRATION, DEPARTMENT OF ENERGY (WAPA), all operating Electric Systems within the BANC Balancing Authority Area. BANC or EIM Participant may be referred to herein individually as the "EIM Participant" or "Party" or collectively as the "EIM Participants" or the "Parties."

2 EXPLANATORY RECITALS

2.1 WHEREAS, BANC was created by a Joint Powers Agreement to, among other things, acquire, construct, maintain, operate, and finance projects;

2.2 WHEREAS, BANC had evaluated various market options including the Energy Imbalance Market operated by the California Independent System Operator Corporation (CAISO);

2.3 WHEREAS, BANC engaged certain consultants for the purposes of providing initial analytical studies to assess both the cost and the benefits of individual BANC member and WAPA participation in the CAISO EIM through the BANC Balancing Authority;

2.4 WHEREAS the initial studies produced on behalf of BANC did show net benefits to some BANC members, while also highlighting for some BANC members and WAPA that some of the technical and operational hurdles might take additional time to address;

2.5 WHEREAS, based upon these studies and further discussions among BANC members, WAPA and the CAISO, it was also determined that SMUD was in the best position to join the EIM initially and that subsequent phases of EIM implementation would continue to be investigated in order to allow other BANC members and WAPA to join if they determined it was in each of their respective interests to do so upon further study;

2.6 WHEREAS, the Commission therefore directed BANC staff to pursue the CAISO EIM under a phased approach, including that BANC become an EIM Entity and execute all applicable agreements with the CAISO and among BANC and EIM Participants, as approved by the Commission, and that SMUD, pursuant to approval by

its governing board, might also become the first EIM Participating Resource in the BANC EIM footprint;

2.7 WHEREAS, it was contemplated that in the future, additional BANC members and/or WAPA may desire to become Parties to this Agreement, at which time BANC and SMUD would use reasonable efforts to accommodate new EIM Participants, including the adoption of any amendments to this Agreement deemed necessary to enable such participation;

2.8 WHEREAS, it was decided by certain non-participating BANC members and WAPA, to conduct further studies to determine what gaps need to be addressed in their systems and processes to participate in the CAISO EIM through BANC;

2.9 WHEREAS, on April 26, 2017, by Resolution 17-04-06, the Commission authorized the General Manager to enter into contracts with consultants to perform Phase 2 studies to further determine what might be required for certain BANC members and WAPA to participate in EIM;

2.10 WHEREAS, on April 3, 2019, BANC began participating in the CAISO EIM as an EIM Entity and SMUD as the first EIM Participating Resources within the BANC EIM Entity footprint;

2.11 WHEREAS, based upon extensive discussions among BANC staff, BANC members and WAPA, and the individual EIM Phase 2 assessments and approval processes conducted by certain BANC members and WAPA, decisions were reached to participate in BANC EIM Phase 2 and to execute the First Amended and Restated Energy Imbalance Market Participation Agreement (First Amended Agreement), being approved by the Commission on August 21, 2019, by Resolution 19-08-13, and made effective on September, 17, 2019;

2.12 WHEREAS, based on the decision to proceed with EIM implementation for the specified BANC members and WAPA, the original EIM Participation Agreement was amended and restated by the First Amended Agreement to reflect the expanded participation within the BANC EIM Entity footprint;

2.13 WHEREAS, certain issues have arisen during the course of Phase 2 implementation that have prompted the need for additional changes to the First Amended Agreement; and

2.14 WHEREAS, this Second Amended and Restated Energy Imbalance Market Participation Agreement (Agreement) is intended to address such revisions as deemed necessary and appropriate by the Parties hereto.

NOW THEREFORE, in view of the understandings set forth in the recitals above, which the Parties acknowledge and agree are accurate representations of the facts, the Parties agree to the terms of this Agreement that set forth the roles, obligations, and responsibilities of each Party to one another.

3 DEFINITIONS

Unless otherwise defined herein, capitalized terms when used with initial capitalization, whether singular or plural, shall have the meaning set forth in the FERC-approved Glossary of Terms used in NERC Reliability Standards, the NERC Functional Model, the CAISO Tariff, as defined, the BANC EIM Business Practices, the SMUD Open Access Transmission Tariff (OATT), the Interconnected Operating Agreements, and the WAPA OATT.

3.1 ***Balancing Authority*** shall mean the responsible entity that integrates resource plans ahead of time, matches generation and load, maintains interchange with other Balancing Authority Areas, and maintains frequency in real time of the electric power systems.

3.2 ***Balancing Authority Area*** shall mean the collection of generation, transmission, and loads within the metered electrical boundaries of the Balancing Authority.

3.3 ***BANC EIM Business Practices or BPs*** shall mean the business practices established by BANC to govern participation in EIM as these BANC EIM Business Practices may be adopted or amended from time-to-time in accordance with this Agreement.

3.4 ***CAISO*** shall mean the "California Independent System Operator Corporation," or its successor. The CAISO serves as the EIM Market Operator and may be referred to as the "Market Operator" from time-to-time.

3.5 ***CAISO Tariff*** shall mean the CAISO's open access transmission tariff filed with, and approved by, FERC, as that tariff may be amended from time-to-time.

3.6 ***Commission*** shall mean the "BANC Commission," as established in the BANC Joint Powers Agreement, as that agreement may be amended from time-to-time.

3.7 ***Compliance Costs*** shall mean those costs described in Section 12.2.2 of this Agreement.

3.8 ***Compliance Enforcement Authority*** shall mean FERC, NERC, WECC, and any other agency, court, organization, or other entity or person duly authorized pursuant to law or regulation to: (a) audit or determine compliance with applicable Reliability Standards; or (b) impose, enforce, excuse, or rescind penalties or otherwise take action binding on one or more Parties with respect to a finding of failure to comply with a Reliability Standard.

3.9 ***Confidential Information*** shall mean : (a) all written materials marked "Confidential," "Proprietary," or with words of similar import provided to a Party by another Party; and (b) all observations of equipment (including computer screens) and oral disclosures related to a Party's systems, operations, or activities that are indicated as such at the time of observation or disclosure (or are identified as "confidential" or "proprietary" in a letter sent to another Party no later than five (5) calendar days after the disclosure), respectively. Confidential Information includes portions of

documents, records, and other material forms or representations that any Party may create, including but not limited to, handwritten notes or summaries that contain or are derived from such Confidential Information.

3.10 ***Energy Imbalance Market or EIM*** shall have the meaning set forth in the CAISO Tariff, as that tariff shall be amended from time-to-time.

3.11 ***EIM Committee*** shall mean the administrative committee established in Section 10 of this Agreement.

3.12 ***EIM Entity*** shall have the meaning set forth in the CAISO Tariff, as that tariff shall be amended from time-to-time. BANC is the EIM Entity under this Agreement.

3.13 ***EIM Entity Agreement*** shall have the meaning set forth in the CAISO Tariff, as that tariff shall be amended from time-to-time. The EIM Entity Agreement is between BANC and the CAISO.

3.14 ***EIM Entity Supplemental Services*** shall mean any additional services and/or products provided by the EIM Entity to an EIM Participant or to EIM Participants as described in Section 8.4 of this Agreement.

3.15 ***EIM Implementation Agreement*** shall have the meaning set forth in the CAISO Tariff, as that tariff shall be amended from time-to-time. The EIM Implementation Agreement for this participation is between BANC and the CAISO.

3.16 ***EIM Operator*** shall have the meaning set forth in the CAISO Tariff, as that tariff shall be amended from time-to-time. The CAISO is the EIM Operator.

3.17 ***EIM Participant*** shall mean a signatory to this Agreement.

3.18 ***EIM Participant Cost(s)*** shall mean the cost responsibility of each EIM Participant to BANC as described in Section 8 (EIM Participant and New EIM Participant Costs) of this Agreement.

3.19 ***EIM Participant Reserve Account*** shall mean that account established in Section 8.3 of this Agreement and into which each EIM Participant shall contribute for purposes of ensuring sufficient funding for EIM Entity participation in accordance with the CAISO Tariff.

3.20 ***EIM Participating Resource*** shall have the meaning set forth in the CAISO Tariff, as that tariff may be amended from time to time.

3.21 ***EIM Participation Agreement*** shall mean this Agreement, as amended from time-to time.

3.22 ***EIM Participation Percentage*** shall mean the percentage allocation attributable to each EIM Participant for purposes of EIM Participant Costs in Section 8 (EIM Participant and New EIM Participant Costs) and Exhibit A (New EIM Participant

Costs and EIM Participant Allocations) and liability for NERC Penalties as set forth in Section 12 (BANC Violations of Reliability Standards Arising from EIM Participation) of this Agreement.

3.23 ***EIM Project Manager*** shall mean the individual(s) selected by the Parties to oversee and manage BANC EIM implementation activities.

3.24 ***EIM Project Work*** shall mean the multi-phased work plan to implement and operate in the EIM as developed and overseen by the EIM Committee.

3.25 ***EIM Services*** shall mean the services provided by the EIM Services Provider to BANC, as set forth in the EIM Services Agreement.

3.26 ***EIM Services Agreement*** shall mean the agreement between BANC, as the EIM Entity, and the EIM Services Provider.

3.27 ***EIM Services Provider*** shall mean the entity providing EIM Services to BANC. SMUD, or its successor, shall be the EIM Services Provider.

3.28 ***Electric System*** shall mean all properties and assets that are owned or operated by a Party, including any interests in joint powers agency facilities, that are used for or pertain to the generation, transmission, transformation, or distribution of electric power, including all additions, replacements, extensions, expansions, improvements, and betterments thereto, and equipment associated therewith. Communication systems and equipment associated with operation of the Electric System shall be included as part of a Party's Electric System. To the extent a Party is not the sole owner of an asset or property, only that entity's ownership portion or entitlement in such property shall be considered as a part of its Electric System.

3.29 ***FERC*** shall mean the "Federal Energy Regulatory Commission," or its successor.

3.30 ***Financial Penalties*** shall mean those costs described in Section 12.2.1 of this Agreement.

3.31 ***Interconnected Operating Agreements*** shall mean agreements between WAPA and individual BANC members which define the roles and responsibilities of the interconnected parties.

3.32 ***Internal Compliance Program*** shall mean the BANC Internal Compliance Program, approved by the Commission, as that program may be amended from time-to-time.

3.33 ***Internal Compliance Program Charter*** shall mean the policy-level document outlining the Internal Compliance Program, which has been adopted by the Commission, as that program may be amended from time-to-time.

3.34 ***NERC*** shall mean the "North American Electric Reliability Corporation," or its successor.

3.35 ***NWPP*** shall mean the "Northwest Power Pool."

3.36 ***Phase 1 EIM Participant*** shall mean SMUD.

3.37 ***Phase 2 EIM Participants*** shall mean MID, Redding, Roseville and WAPA for Phase 2 implementation and these entities plus SMUD for ongoing EIM operations.

3.38 ***Reliability Standards*** shall mean those NERC Reliability Standards and WECC Regional Reliability Standards that have been approved by FERC under Section 215 of the Federal Power Act and WECC applicable Regional Criteria referenced in FERC-approved Reliability Standards.

3.39 ***Reserve Sharing Group*** shall mean the group operated by the NWPP to comply with Reliability Standard BAL-002-WECC-2a, or its successor standard. BANC is a member of the NWPP and a participant in the NWPP Reserve Sharing Group.

3.40 ***SMUD OATT*** shall mean the "Open Access Transmission Tariff" posted on the SMUD Open Access Same-Time Information System website.

3.41 ***WAPA OATT*** shall mean the "Open Access Transmission Tariff" posted on the WAPA Open Access Same-Time Information System website.

3.42 ***WECC*** shall mean the "Western Electricity Coordinating Council," or its successor.

4 TERM AND TERMINATION

4.1 Effective Date

This Agreement shall be effective upon the date of execution by the Parties (Effective Date).

4.2 Term

This Agreement shall remain in effect from the Effective Date until terminated pursuant to Section 4.3 (Termination by EIM Participants), 4.4 (Termination of this Agreement), or 4.5 (Surviving Obligations) of this Agreement.

4.3 Termination by EIM Participants

Subject to Section 4.5 (Surviving Obligations), any EIM Participant may terminate its participation in this Agreement by providing a notice of termination not less than one (1) year in advance of the date of intended termination.

4.4 Termination of this Agreement

This Agreement shall terminate if: 1) terminated by BANC, 2) if BANC ceases to be a Balancing Authority, 3) the CAISO terminates the EIM Entity Agreement between the

CAISO and BANC, 4) there are no remaining EIM Participants, or 5) upon the expiration of forty (40) years from the Effective Date. BANC shall provide EIM Participants no less than sixty (60) calendar days written notice prior to seeking a termination decision by the Commission. In the event of a decision by the Commission to terminate this Agreement and there is a successor entity assuming the role of EIM Entity on behalf of the EIM Participant(s), BANC agrees to work with the successor EIM Entity and EIM Participant(s) to coordinate transfer of the EIM Entity responsibilities to a successor EIM Entity. BANC shall also conform its termination with the EIM Participant(s) timelines and procedures required for termination as an EIM Entity as set forth in its EIM Entity Agreement with the CAISO.

4.5 Surviving Obligations

Termination of this Agreement or a Party's participation herein shall not extinguish the obligation of any Party to complete in-progress compliance investigations, implement any resulting corrective mitigating actions and otherwise complete any active compliance process as well as satisfy all other obligations, including any financial responsibilities. In addition, any outstanding financial right or obligation, and any provision of this Agreement necessary to give effect to such right or obligation, shall survive until satisfied, and all limitations of liability provided in this Agreement will survive until all statutes of limitation related to claims that could be made in connection with this Agreement have run.

5 SCOPE OF AGREEMENT

This Agreement, including its Exhibit A (EIM Participation Percentages), Exhibit B (BANC EIM Phase 2 Model), Exhibit C (BANC Phase 2 EIM Implementation Agreement), Exhibit D (EIM Participant Reserve Account), Exhibit E (EIM Entity Supplemental Services), and Exhibit F (BANC Phase 2 EIM Entity Agreement), which are incorporated herein by reference, is for the purpose of establishing BANC as the EIM Entity in support of the EIM Participant(s) and is the sole expression of the Parties. Exhibits may be subject to future revision in accordance with the individual terms contained in that Exhibit and such changes do not constitute an amendment hereto.

6 BANC (EIM ENTITY) OBLIGATIONS

BANC shall be responsible for the following:

6.1 Negotiating a new or amended EIM Implementation Agreement and a new or amended EIM Entity Agreement with the CAISO which reflects the directive of the Commission;

6.2 Participating, through the General Manager, on the EIM Committee as set forth in Section 10 (EIM Committee) of this Agreement and providing support to Committee activities, as needed;

6.3 Managing the EIM Services Agreement between BANC and SMUD, which contains the agreed upon terms and conditions respecting the EIM Services to be provided by SMUD to BANC;

6.4 Cooperating with the EIM Participant(s) in the exchange of data and information necessary to support EIM activities;

6.5 Providing support and information needed to facilitate EIM examination and/or participation by any proposed new EIM Participant;

6.6 Providing regular updates to the Commission, as needed;

6.7 Participating, as the EIM Entity, in any relevant stakeholder activities related to EIM;

6.8 To the extent reasonably practicable, taking any position on regulatory actions to ensure that the interest of BANC, as an EIM Entity, and those of the EIM Participant(s), are reasonably maintained, as deemed necessary by the Commission, or by the General Manager, to the extent consistent with authority delegated by the Commission for participation in those fora;

6.9 Serving as the EIM Entity on behalf of the EIM Participant(s) in accordance with this Agreement, including its Exhibits, as they may be amended from time-to-time;

6.10 Ensuring its role as EIM Entity does not adversely affect its compliance with NERC Reliability Standards;

6.11 Complying with CAISO Tariff provisions applicable to an EIM Entity; and

6.12 Management and oversight of the EIM Participant Reserve Account as set forth in Section 8 (EIM Participant and New EIM Participant Costs) of this Agreement; and

6.13 Coordinating with the CAISO on EIM activities related to the operation and implementation of EIM.

7 EIM PARTICIPANT OBLIGATIONS

The EIM Participant shall be responsible for the following, "EIM Participant Obligations," as set forth herein:

7.1 Negotiating necessary agreements for its EIM participation;

7.2 Working collaboratively with BANC in the most transparent means practicable;

7.3 Along with BANC, using reasonable efforts to control costs associated with EIM implementation with respect to costs re-assignable to new the EIM Participant(s) under the terms of this Agreement;

7.4 Comply with CAISO Tariff provisions applicable to EIM Participating Resources or EIM non-Participating Resources;

7.5 Using reasonable efforts to comply and/or abide by any directives of the EIM Entity, consistent with BANC EIM Business Practices, the CAISO Tariff and procedures, policies or timelines adopted by the EIM Committee;

7.6 Participating on the EIM Committee, as set forth in Section 10 (EIM Committee) of this Agreement;

7.7 Paying in a timely manner any cost assessed to the EIM Participant, including but not limited to EIM Participant Costs as set forth in Section 8 and any final costs associated with EIM-related violation(s) of Reliability Standards applicable to the Balancing Authority, as set forth in Section 12 (BANC Violations of Reliability Standards Arising from EIM Participation) of this Agreement; and

7.8 To the extent reasonably practicable, taking any positions on regulatory actions deemed needed to ensure that the interest of BANC, as the EIM Entity, and those of the EIM Participant(s), are reasonably maintained; however, nothing herein requires EIM Participant to take a position that it reasonably believes is contrary to its own interests.

8 EIM PARTICIPANT AND NEW EIM PARTICIPANT COSTS

Each EIM Participant shall be responsible to pay its share of costs associated with its participation in EIM within the BANC EIM Entity footprint. First, all EIM Participants shall pay a one-time assessment associated with becoming a new EIM Participant as described below in Section 8.1. Second, each EIM Participant shall pay its share of the BANC EIM implementation costs associated with its phase of EIM implementation, as described in Section 8.2. Third, each EIM Participant shall pay its share of the ongoing costs incurred by BANC as the EIM Entity, as described in Section 8.3, including the "as needed" funding of the EIM Participant Reserve Account. Collectively, these costs set forth in Sections 8.1, 8.2, and 8.3 herein are referred to as EIM Participant Costs and are described as follows:

8.1 Phase 1 and Phase 2 EIM Participants shall be required to pay a one-time EIM assessment to BANC based on BANC's implementation costs multiplied by the allocation percentage contained in Table 1 of Exhibit A. This one-time EIM assessment is intended to cover the new EIM Participant's share of the costs incurred by the BANC in order to facilitate it becoming an EIM Entity and EIM participation by entities within the BANC footprint. These costs should be allocated to Phase 1 and Phase 2 EIM Participants based on their respective load ratio percentage of overall BANC member load.

8.1.1 For Phase 2 EIM Participants, the costs collected by BANC shall be allocated back to the Phase 1 EIM Participant, consistent with those accounting and tracking metrics developed and adopted by the EIM Committee and approved

by the Commission. BANC and the Phase 2 EIM Participants may agree on the method of payment of the EIM assessment, which may be paid in installments in any manner agreed upon by the Parties

8.1.2 For any future EIM Participant(s) (Future EIM Participant) which join subsequent to Phase 2, the Future EIM Participant(s) shall work with BANC and the EIM Committee to determine either a pro rata share, or some other reasonable share, of the costs paid by Phase 1 and Phase 2 EIM Participants under this section, and the Future EIM Participant(s) shall pay BANC this amount in a manner and timeline determined by the Commission. Existing EIM Participants shall be reimbursed this full amount by BANC in accordance with the percentages set forth in Table 1 of Exhibit A, or by another manner so approved by the Commission.

8.2 In addition to the payments set forth in Sections 8.1 and 8.3, each EIM Participant shall pay its share of the BANC EIM Implementation costs for its EIM Implementation phase described in this Section 8.2. These costs shall be allocated to EIM Participants based on the applicable EIM Participation Percentages set forth in Table 2 of Exhibit A to this Agreement, as that Exhibit A may be amended from time-to-time, and include the costs incurred by BANC in its performance under the EIM Implementation Agreement, including implementation costs for the new EIM Participant.

8.3 In addition to the payments set forth in Sections 8.1 and 8.2, each EIM Participant shall pay its share of costs related to the ongoing operations of BANC as the EIM Entity described in this Section 8.3. These costs shall be allocated to EIM Participants based on the applicable EIM Participation Percentages set forth in Table 2 of Exhibit A to this Agreement, as that Exhibit A may be amended from time-to-time, and include the following components:

8.3.1 Costs reasonably incurred by BANC in fulfilling its obligations as an EIM Entity, including, but not limited to:

8.3.1.1 costs associated with BANC's obligations under its EIM Entity Agreement; and

8.3.1.2 costs associated with BANC's obligations under the EIM Services Agreement;

8.3.1.3 ongoing costs, including administrative, legal and regulatory, as reviewed by the EIM Committee and approved by the Commission; and

8.3.1.4 ongoing funding of the EIM Participant Reserve Account as reviewed by the EIM Committee and approved by the Commission in accordance with 8.3.1.4.1:

8.3.1.4.1 such funding obligations and timelines of the EIM Participant Reserve Account, as approved by the Commission, shall be conducted in accordance with Exhibit D to this Agreement.

8.4 Any EIM Participant may request and agree to additional services to be provided by the EIM Entity, including but not limited to, technical assistance, analysis, software acquisition, and energy and/or capacity-related products. Any additional costs incurred by an EIM Participant in accordance with this Section 8.4 shall be approved by the Commission and shall be recovered solely from the EIM Participant(s) which have made such a request or requests and set forth in Exhibit E to this Agreement, each separate product and service set forth with its own title and in a separate numbered attachment to Exhibit E (e.g., Exhibit E, Service Schedule E-1 (Title of Product/Service)).

All costs to be recovered by the EIM Entity from an EIM Participant under this Section 8.4 shall be invoiced and charged in accordance with Section 8.5 and the relevant attachment to Exhibit E.

8.5 BANC shall calculate the EIM Participant Costs and invoice each EIM Participant to the addresses set forth in Appendix 1 (Notices) to this Agreement. Except as provided in Section 8.3.1.4, above, the EIM Participant shall pay this invoice within thirty (30) calendar days of receipt of the invoice. Payments shall be sent by each EIM Participant to the following address:

Balancing Authority of Northern California
6001 S Street
M.S. D-109
Sacramento, CA 95852-1830

8.6 EIM Participant Costs will be determined through the EIM Committee process unless otherwise agreed, in writing, between the Parties. Any costs allocated by BANC to EIM Participants must be placed in the budget and reviewed and concurred with by the EIM Committee prior to submittal to the Commission for final approval. In addition, BANC will obtain written concurrence by WAPA for their share of EIM Participant costs in advance of seeking final approval from the Commission. In the case that WAPA does not provide its concurrence, the General Manager shall work with EIM Participants to reach consensus. Failure to reach a consensus may require Commission action, including but not limited to reallocation to non-WAPA EIM Participants or referral to the Dispute Resolution provisions provided in Section 14 (Dispute Resolution) of this Agreement.

In the event BANC anticipates its costs may exceed the approved budget, BANC shall submit such costs to the EIM Committee and WAPA for review and concurrence prior to submitting a revised budget request to the Commission for approval. Failure of

approval by WAPA shall be addressed in a similar manner to that in the preceding paragraph of this Section 8.6.

8.7 EIM Participants shall be responsible for their costs incurred in becoming an EIM Participating Resource under the terms of the CAISO tariff.

9 NEW EIM PARTICIPANTS

9.1 Any entity inside of the EIM Entity BAA footprint may become an EIM Participant by executing an EIM Participation Agreement with BANC, paying BANC a one-time EIM assessment, as determined in accordance with Section 8.1 of this Agreement, and entering into any other arrangements required by the CAISO Tariff and the Commission. BANC and the existing EIM Participants will work cooperatively with the proposed new EIM Participant to minimize the impact on the proposed new EIM Participant, other BANC members, and existing EIM Participants.

9.2 Upon the execution of an EIM Participation Agreement with BANC, the new EIM Participant shall be allowed to participate on the EIM Committee in accordance with Section 10 (EIM Committee) of this Agreement.

10 EIM COMMITTEE

10.1 Establishment of EIM Committee

On or after the Effective Date of this Agreement, the General Manager shall establish the EIM Committee by appointing EIM Committee members as set forth in Section 10.4 (EIM Committee Representation and Alternates).

10.2 General Responsibilities of EIM Committee

The EIM Committee is an advisory body to the General Manager and responsible for providing advice and counsel for matters related to the operation of EIM within the BANC EIM Entity footprint. Specifically, the EIM Committee may be called upon by the General Manager for advice on the following matters:

10.2.1 Establishing roles and responsibilities among BANC, the EIM Participant(s) and the EIM Operator;

10.2.2 Reviewing of budgets and costs incurred by BANC, for both EIM implementation and EIM operations and providing concurrence with respect to those costs prior to the submission to the Commission for approval in accordance with Section 8.6;

10.2.3 Developing and updating (as necessary), a scope for EIM Project Work according to Section 11 (EIM Project Work) of this Agreement.

10.2.4 Developing metrics to track and categorize EIM costs for purposes of budgeting and determining the one-time assessment applied to any new EIM Participant(s), as set forth in Section 8.1 of this Agreement;

10.2.5 Developing metrics to measure EIM costs, gross benefits and net benefits from actual EIM operations;

10.2.6 Providing data and information, as reasonably requested, to BANC members and/or WAPA for the purpose of assessing their potential participation in the EIM;

10.2.7 Developing comments and positions for stakeholder processes that concern or relate to the EIM;

10.2.8 Coordinating relevant data and information as needed for any compliance-related inquiry or investigation, or in the furtherance of any assessment in conjunction with Section 12 (BANC Violations of Reliability Standards Arising from EIM Participation) in this Agreement;

10.2.9 Ensuring that required documentation and data transfers among the EIM Participant(s) the EIM Entity and the EIM Operator are occurring and assisting in the development of processes and procedures to aid is such transfers, as needed;

10.2.10 Providing regular reports and updates to the General Manager on EIM-related activities and operations, as requested;

10.2.11 Providing reports, data, and other information as necessary to the CAISO;

10.2.12 Assisting in the development of procedures and processes to improve the accuracy and efficiency of data transfers from the EIM Participant(s) to EIM Entity;

10.2.13 Recommendations to the General Manager with respect to BANC EIM Business Practices or amendments thereto for consideration by the Commission;

10.2.14 General oversight of any request for proposals (RFP) or request for offer of services (RFO) by the Parties; and

10.2.15 Oversight of the EIM Project Manager(s), system integrator(s) or other consultants.

10.3 EIM Committee Meetings

The EIM Committee may be convened on an "as needed" basis by the General Manager or the EIM Participant(s).

10.4 EIM Committee Representation and Alternates

Each EIM Participant shall select a primary voting representative and at least one alternate to the EIM Committee and provide those names to the General Manager. The General Manager will also participate on the EIM Committee. The EIM Services Provider shall also have a representative present at EIM Committee meetings, unless agreed to otherwise between the General Manager and the EIM Services Provider. Under the direction of the General Manager, the EIM Committee may form subgroups or delegate tasks to subject matter experts as it deems appropriate; provided, however, that any tasks delegated must be overseen and/or approved by the EIM Committee.

10.5 EIM Committee Chair

The EIM Committee may appoint a chair to conduct meetings. In the absence of an appointed chair, the General Manager will serve in that role.

10.6 EIM Committee Actions or Decisions

The EIM Committee will seek consensus on any actions or decisions in the furtherance of its responsibilities set forth in this Section. If an action or decision requires voting, each EIM Committee representative shall have one vote. In the event of a tie, an executive from BANC and the EIM Participant(s) shall attempt to reach consensus. If consensus cannot be reached, the General Manager shall serve as the tie breaker vote.

10.6.1 Conflicts Between the EIM Committee and the General Manager. If the EIM Committee and the General Manager have a dispute related to an action or proposal of either the EIM Committee or the General Manager, the EIM Committee or a subgroup shall meet with the General Manager and attempt to reach resolution. Should the Parties fail to resolve the conflict, the General Manager shall make the final decision; however, the General Manager shall inform the Commission of the dispute. The EIM Committee Chair, if that person is not the General Manager, or anyone delegated by the EIM Committee, may prepare a memorandum framing the disputed issue, and provide that memorandum to the General Manager for his or her consideration, and the General Manager shall provide a copy of the memorandum to the Commission for their information and any other action they may decide.

10.7 EIM Committee Charter and Procedures

In consultation with the General Manager, the EIM Committee may adopt a charter and/or procedures in furtherance of its internal administration. Furthermore, the EIM Committee may form working groups or subcommittees for the performance of specific tasks requiring subject matter expertise. Such working groups or subcommittees will report to the EIM Committee or the General Manager, as directed by the General Manager.

11 EIM PROJECT WORK

It is expected that there will be multiple phases of EIM Project Work to be conducted by the Parties, including, but not limited to, the scoping and contracting aspects of the project, the RFP/RFO processes and implementation and testing of EIM systems, up to and until parallel testing with the CAISO. The Scope of EIM Project Work shall be developed by the EIM Committee under the direction of the General Manager, on an agreed upon schedule in coordination with the schedule developed between BANC and the CAISO contained in the BANC EIM Implementation Agreement.

12 BANC VIOLATIONS OF RELIABILITY STANDARDS ARISING FROM EIM PARTICIPATION

12.1 Violations

Any potential or actual violations of Reliability Standards applicable to the BANC Balancing Authority which are the result of a failure related to BANC, acting as the EIM Entity, or the EIM Participant's, or the EIM Participants', participation in the EIM, shall be addressed in accordance with the processes and procedures adopted in the Internal Compliance Program Charter and the BANC Member Agreement; provided, however, any Financial Penalties, as described in Section 12.2.1 of this Agreement, associated with violation(s) of Reliability Standards applicable to the BANC Balancing Authority arising directly as the result of EIM-related activities of BANC, as the EIM Entity, or the EIM Participant(s), shall be allocated, to the extent such Party or Parties are not legally proscribed from paying Financial Penalties, such costs shall be allocated to the EIM Participant(s) directly responsible for the activity or activities that gave rise to the violation(s), or, if no EIM Participant(s) are directly responsible for the activity or activities that gave rise to the violation(s), Financial Penalties shall be allocated to EIM Participants based on their EIM Participation Percentages as set forth in Table 2 of Exhibit A (Cost Allocation for Violations). Any Party which cannot legally pay such costs will remain responsible for any Compliance Costs, as described in Section 12.2.2 of this Agreement, as may be prescribed by a Compliance Enforcement Authority.

12.2 Payment of Final Costs

12.2.1 Financial Penalties. Final costs associated with this Section 12 shall be paid by the EIM Participant(s) within thirty (30) days of a written request by BANC. Such request shall be in accordance with Section 24 (Notices) of this Agreement. Final costs are those monies which are required to be paid by BANC to NERC based upon a confirmed and final penalty amount in accordance with the NERC Rules of Procedure.

12.2.2 Compliance Costs. A mitigation plan or any regulatory directive(s) issued by a Compliance Enforcement Authority associated with this Section 12 shall be followed by the Parties in accordance with that plan or directive; however, the

Compliance Costs incurred by the Parties based upon the mitigation plan or directive shall be the sole responsibility of the EIM Participant(s). BANC shall provide the EIM Participant(s) with an itemization of costs under this Section 12.2.2 as part of BANC's written request to the EIM Participant(s) for payment. Such request shall be in accordance with Section 24 (Notices) of this Agreement.

13 CONFLICT BETWEEN EIM PARTICIPATION AGREEMENT AND OTHER BANC AGREEMENTS

Nothing in this Agreement is intended to alter or amend other BANC agreements or program documents, including, but not limited to, the Joint Exercise of Powers Agreement, the Balancing Authority Operation Services Agreement, the Member Agreement, the NWPP Reserve Sharing Group Agreement, the Internal Compliance Program Charter, the Member Compliance Review Committee Charter, the Planning Coordinator Services Agreement, or the Planning Coordinator Participation Agreement (BANC Agreement(s)). To the extent a conflict arises between a BANC Agreement and this Agreement, the BANC Agreement(s) shall prevail; however, reasonable efforts should be made to reconcile the matter among EIM Participant(s) and BANC. Notwithstanding the foregoing, penalties allocated to BANC due to BANC violations of Reliability Standards arising from EIM Participation will be addressed in accordance with this Agreement and not the Member Agreement.

14 DISPUTE RESOLUTION

Except as provided in Section 10.6 (EIM Committee Actions or Decisions), any dispute arising between the Parties regarding performance of their obligations under this Agreement shall be resolved according to the following procedures:

14.1 Informal Settlement

The Parties shall attempt in good faith to resolve any dispute arising out of or relating to this Agreement promptly by negotiations between BANC and an executive of the EIM Participant(s), or, in the event there is more than one EIM Participant, BANC and an executive of each of the EIM Participants. Any Party may give the other Party, or Parties, written notice of any dispute, and within twenty (20) calendar days after delivery of such notice, the executives shall meet at a mutually acceptable time and place and thereafter as often as they reasonably deem necessary to exchange information and to attempt to resolve the dispute. If the matter has not been resolved within sixty (60) calendar days of the first meeting, any of the Parties may initiate a mediation of the controversy in accordance with Section 14.2 (Mediation).

14.2 Mediation

Prior to initiation of litigation, disputing Parties shall initiate a mediation of the controversy. All negotiations, settlement conferences, compromise discussions, and any mediation conducted pursuant to this clause are non-binding, confidential, and shall be treated as compromise and settlement negotiations. Included in such mediation shall be negotiations including executive level management of each of the disputing Parties.

14.3 Retained Rights Under Law and Equity

Absent resolution of any dispute through mediation, each Party retains all rights in a court of law or equity to enforce its rights under this Agreement.

14.4 Continuing Performance Obligations

While a dispute, controversy, or claim is pending, each Party will continue to perform its respective obligations under the Agreement, unless such Party is otherwise entitled to terminate the Agreement in accordance with the terms hereof.

15 AMENDMENT

Except as specified in Appendix 1 and Exhibits A through F, which shall be amended in accordance with the terms set forth therein, this Agreement may only be amended or otherwise modified with the written agreement of the Parties.

16 ASSIGNMENT

This Agreement may be assigned by a Party only with the written consent of the other Parties, which consent shall not be unreasonably withheld.

17 CONFIDENTIALITY

17.1 Treatment of Confidential Information

The Parties recognize and agree that for the purposes of their respective roles in the EIM, complying with the Reliability Standards, and responding to any report or notice of potential violation, the Parties may receive information from each other that has been marked as Confidential Information. Except as set forth herein, the Parties agree to keep in confidence and not to copy, disclose, or distribute any Confidential Information or any part thereof, without the prior written permission of the Party that produced the information.

17.2 Required Disclosure of Confidential Information

As provided in the CMEP, or as required by subpoena, request under the California Public Records Act (PRA), the Freedom of Information Act (FOIA), or other legal or regulatory processes, the Parties may be required to disclose Confidential Information so designated by another Party. Compliance with that subpoena, request under the PRA, FOIA, or other legal or regulatory process shall not constitute a breach of this Agreement. If any Party is required to disclose any Confidential Information so designated by any other Party, the disclosing Party shall notify the other Party in writing as promptly as feasible so that the other Party may, if it so chooses and at its own expense, challenge the disclosure or seek a protective order. The disclosing Party shall reasonably cooperate in resolving the dispute.

17.3 Third Parties

Except as set forth herein, each Party shall keep Confidential Information in confidence and shall not disclose such information or otherwise make it available, in any form or manner, to any other person or entity other than its employees, consultants, sub-contractors, sub-contractors' employees, attorneys, and agents without the prior written consent of the Party that produced the information. Each Party will cause each of its employees, contractors, sub-contractors, sub-contractors' employees, attorneys, and agents who will have access to Confidential Information, if any, to acknowledge that they have read this Agreement and agree to abide by all of its terms regarding use and disclosure of Confidential Information. For purposes of this Agreement, Confidential Information may also be shared with the EIM Operator in accordance with the terms of the agreements between each of the Parties and the EIM Operator.

17.4 FERC Jurisdiction

Nothing in this Agreement shall be meant to imply or cede jurisdiction to FERC, NERC, and other regulatory or Compliance Enforcement Authority entities having no or limited jurisdiction over the Parties. FERC, NERC, and other regulatory or Compliance Enforcement Authority entities have limited jurisdiction over the Parties and, by executing this Agreement, no Party is waiving or conceding any defenses it has to assert jurisdictional defenses, including, but not limited to, sovereign immunity, intergovernmental immunities, or lack of subject matter jurisdiction.

18 INDEMNIFICATION

Notwithstanding anything herein to the contrary, BANC shall indemnify, defend, and hold EIM Participants (including their governing bodies, officers, employees, assigns, and agents) harmless from and against any and all claims, demands, liabilities imposed for injury (as defined by Government Code Section 810.8), losses, costs, expenses, penalties, suits, judgments, or damages, arising in whole or in part, directly or indirectly, from performance or non-performance of EIM Participant Obligations set forth in Section 7 (EIM Participant Obligations) of this Agreement.

19 GOVERNING LAW

19.1 Non-Federal EIM Participants

The rights and obligations of the non-federal EIM Participants and the interpretation and performance of this Agreement shall be governed by the laws of the State of California.

19.2 Federal EIM Participants

Interpretation of this Agreement, and performance and enforcement thereof with regard to federal EIM Participants, shall be determined in accordance with Federal law, as if performed wholly within the State of California.

20 HEADINGS

The descriptive headings of the various Articles and Sections of this Agreement have been inserted for convenience of reference only and are of no significance in the interpretation or construction of this Agreement.

21 SEVERABILITY

If any term, covenant, or condition of this Agreement, or the application or effect of any such term, covenant, or condition is held invalid as to any person, entity, or circumstance, or is determined to be unjust, unreasonable, unlawful, imprudent, or otherwise not in the public interest, by any court or government agency of competent jurisdiction, then such term, covenant, or condition shall remain in force and effect to the maximum extent permitted by law, and all other terms, covenants, and conditions of this Agreement and their application shall not be affected thereby but shall remain in full force and effect, and the Parties shall be relieved of their obligations only to the extent necessary to eliminate such determination unless a court or governmental agency of competent jurisdiction holds that such provision is not separable from all other provisions of this Agreement.

22 LIMITATION ON LIABILITY

Except for costs for violation(s) of Reliability Standards incurred pursuant to Section 12 (BANC Violations of Reliability Standards Arising from EIM Participation) of this Agreement, or EIM Participant Costs in accordance with Section 8 (EIM Participant and New EIM Participant Costs) of this Agreement, no Party to this Agreement shall be liable to any other Party for any direct, indirect, special, incidental, exemplary, or consequential damages, claims, liabilities, costs or expenses (including attorneys fees and court costs) arising from tort or the performance or non-performance of its obligations under this Agreement regardless of the cause, including

intentional action, willful action, gross or ordinary negligence, or an Uncontrollable Force (as defined in Section 25 of this Agreement).

23 NO THIRD PARTY BENEFICIARIES

This Agreement is not intended to and does not create rights, remedies, or benefits of any character whatsoever in favor of any persons, corporations, associations, or entities other than the Parties, and the obligations herein assumed are solely for the use and benefit of the Parties, their successors in interest and, where permitted, their assigns.

24 NOTICES

Any notice, demand, or request in accordance with this Agreement shall be in writing and shall be deemed properly served, given, or made if delivered in person or sent by first class United States mail, postage prepaid, by electronic facsimile confirmed by the recipient, electronic mail confirmed by the recipient, or by prepaid commercial courier service to a Party via its representative or alternate representative at the address set forth in Appendix 1, unless otherwise provided in this Agreement. Any Party may at any time change the designation or address of a person specified in Appendix 1 upon written notice to the other Parties. Such a change to Appendix 1 shall not constitute an amendment to this Agreement.

25 UNCONTROLLABLE FORCE

No Party shall be considered to be in default in respect to any obligation hereunder if prevented from fulfilling such obligation by reason of an Uncontrollable Force. The term "Uncontrollable Force" for the purpose of this Agreement shall mean any cause beyond the control of the Party affected, including but not limited to flood, earthquake, storm, lightning, fire, epidemic, war, riot, civil disturbance, labor disturbance, sabotage, and restraint by court or public authority, which Uncontrollable Force by exercise of due diligence and foresight, such Party could not reasonably have been expected to avoid. Any Party rendered unable to fulfill any obligation by reason of Uncontrollable Force shall exercise commercially reasonable efforts to remove such inability with all reasonable dispatch.

26 WAIVER

The failure of a Party to this Agreement to insist, on any occasion, upon strict performance of any provision of this Agreement will not be considered a waiver of any obligation, right, or duty of, or imposed upon, such Party. Any waiver at any time by a Party of its rights with respect to this Agreement shall not be deemed a continuing waiver or a waiver with respect to any other failure to comply with any other obligation, right, or duty of this Agreement. Any waivers at any time by any Party of its rights with respect to any default under this Agreement, or with respect to any other matter arising in connection with this Agreement, shall not constitute or be deemed

a waiver with respect to any subsequent default or other matter arising in connection with this Agreement.

27 NO DEDICATION OF FACILITIES

Any undertaking by a Party under any provision of this Agreement is rendered strictly as an accommodation and shall not constitute the dedication of its Electric System or any portion thereof by the undertaking Party to the public, to any other Party, or to any third party, and any such undertaking by a Party shall cease upon the termination of such Party's obligations under this Agreement. The Electric System of a Party shall at all times be, and remain, in the exclusive ownership, possession, and control of that Party, and nothing in this Agreement shall be construed to give any other Party any right of ownership, possession, or control of such Electric System.

28 MULTIPLE COUNTERPARTS

This Agreement may be executed in two or more counterparts, each of which is deemed an original, but all constitute one and the same instrument.

29 FEDERAL CONTRACT PROVISIONS

29.1 Covenant Against Contingency Fees

The Parties warrant that no person or selling agency has been employed or retained to solicit or secure this Agreement upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee, excepting bona fide employees or bona fide established commercial or selling agencies maintained by the Parties for the purpose of securing business. For breach or violation of this warranty, WAPA shall have the right to annul this Agreement without liability or in its discretion to deduct from the price or consideration the full amount of such commission, percentage, brokerage, or contingent fee.

29.2 Contingent Upon Appropriations

Where activities provided for in this Agreement extend beyond the current fiscal year, continued expenditures by WAPA are contingent upon Congress making the necessary appropriations required for the continued performance of WAPA's obligations under this Agreement. In case such appropriation is not made, Parties hereby release WAPA from its obligations and from all liability due to the failure of Congress to make such appropriation.

29.3 Contract Work Hours and Safety Standards

This Agreement, to the extent that it is of a character specified in Section 103 of the Contract Work Hours and Safety Standards Act, 40 U.S.C.A. § 3701, is subject to the

provisions of the Act, 40 U.S.C.A. §§ 3701-3708, and to regulations promulgated by the Secretary of Labor pursuant to the Act.

29.4 Equal Opportunity Employment Practices

Section 202 of Executive Order No. 11246, 30 Fed. Reg. 12319 (1965), as amended by Executive Order No. 12086, 43 Fed. Reg. 46501 (1978), as amended or supplemented, which provides, among other things, that Parties will not discriminate against any employee or applicant for employment because of race, color, religion, sex, or national origin, is incorporated herein by reference the same as if the specific language had been written into this Agreement, except that Indian Tribes and tribal organizations may apply Indian preference to the extent permitted by Federal Law.

29.5 Use of Convict Labor

Parties agrees not to employ any person undergoing sentence of imprisonment in the performance of this Agreement, except as provided by 18 U.S.C. § 3622 (c) (2) and Executive Order 11755, December 29, 1973.

30 SIGNATURE CLAUSE

The signatories to this Agreement represent that they have been appropriately authorized to enter into this Agreement on behalf of the Party for whom they sign.

IN WITNESS THEREOF, the Parties have executed this Agreement and it is effective as of the Effective Date set forth in Section 4.1.

Balancing Authority of Northern California

By:	
Name:	
Title:	
Date:	

Sacramento Municipal Utility District

By:	
Name:	
Title:	
Date:	

Modesto Irrigation District

By:	
Name:	
Title:	
Date:	

City of Redding

By:	
Name:	
Title:	
Date:	

City of Roseville

By:	
Name:	
Title:	
Date:	

United States of America
Department of Energy
Western Area Power Administration
Central Valley Project, California

By:	
Name:	
Title:	
Date:	

Appendix 1 – Notices

Balancing Authority of Northern California

Name of Primary Representative:	James R. Shetler
Title:	General Manager
Company:	Balancing Authority of Northern California
Address:	P.O. Box 15830, MS D109
City/State/Zip Code:	Sacramento, CA 95852-1830
Email Address:	jimshetler@thebanc.org
Phone:	(916) 870-3774
Fax:	

Name of Alternative Representative:	Charles A. Braun
Title:	General Counsel
Company:	Braun Blaising Smith Wynne, P.C.
Address:	555 Capitol Mall, Suite 570
City/State/Zip Code:	Sacramento, CA 95814
Email Address:	braun@braunlegal.com
Phone:	916-326-5812
Fax:	916-330-4337

Sacramento Municipal Utility District

Name of Primary Representative:	
Title:	
Company:	
Address:	
City/State/Zip Code:	
Email Address:	
Phone:	
Fax:	

Name of Alternative Representative:	
Title:	
Company:	
Address:	
City/State/Zip Code:	
Email Address:	
Phone:	
Fax:	

Modesto Irrigation District

Name of Primary Representative:	
Title:	
Company:	
Address:	
City/State/Zip Code:	
Email Address:	
Phone:	
Fax:	

Name of Alternative Representative:	
Title:	
Company:	
Address:	
City/State/Zip Code:	
Email Address:	
Phone:	
Fax:	

City of Redding

Name of Primary Representative:	
Title:	
Company:	
Address:	
City/State/Zip Code:	
Email Address:	
Phone:	
Fax:	

Name of Alternative Representative:	
Title:	
Company:	
Address:	
City/State/Zip Code:	
Email Address:	
Phone:	
Fax:	

City of Roseville

Name of Primary Representative:	
Title:	
Company:	
Address:	
City/State/Zip Code:	
Email Address:	
Phone:	
Fax:	

Name of Alternative Representative:	
Title:	
Company:	
Address:	
City/State/Zip Code:	
Email Address:	
Phone:	
Fax:	

United States Department of Energy
Western Area Power Administration
Central Valley Project, California

Name of Primary Representative:	
Title:	
Company:	
Address:	
City/State/Zip Code:	
Email Address:	
Phone:	
Fax:	

Name of Alternative Representative:	
Title:	
Company:	
Address:	
City/State/Zip Code:	
Email Address:	
Phone:	
Fax:	

EXHIBIT A : New EIM Participant Costs and EIM Participant Allocations

Purpose

This Exhibit A sets forth the Commission-approved cost allocation percentages for costs associated with Section 8.1 (New EIM Participant Costs), Section 8.2 (Tier 2 Costs) and Section 8.3 (Tier 1 Costs).

Table 1: New EIM Participant Costs (Section 8.1)[1]

EIM Participant	2017 NEL	EIM Participant Responsibility
SMUD	11,598,647	63.5%
MID	2,623,552	14.4%
WAPA-SNR[2]	1,987,830	10.9%
Roseville	1,249,280	6.8%
Redding	798,841	4.4%
TOTAL	**18,258,150**	**100.0%**

Table 2: EIM Participant Allocation Percentages based on 3-Year Average NEL

EIM Participant	Section 8.3/ Tier 1 Costs	Section 8.2/ Tier 2 Costs	Section 12.1/ Cost Allocation for Violations	Commission Approved Adjusted Allocations
SMUD	64.3%	0	70.3%	X.X%
MID	14.6%	41.0%	16.0%	X.X%
WAPA-SNR	8.6%	24.1%	0.0%	X.X%
Roseville	6.9%	19.4%	7.6%	X.X%
Redding	5.5%	15.5%	6.1%	X.X%
TOTAL	**100.0%**	**100.0%**	**100.0%**	**XXX.X%**

[1] The 2017 NEL was agreed upon as the basis for New EIM Participant Costs. Based on further BANC discussions, all other costs, unless specified otherwise, will be based on the Commission-approved 3-year rolling average percentages, which are set forth in Exhibit A-2, as that exhibit may be updated and amended from time-to-time.
[2] Western Area Power Administration – Sierra Nevada Region.

The various allocation tiers are defined as follows:

- **Tier 1:** All five (5) EIM Participants will be paying the costs (e.g. – ongoing EIM costs post-Phase 2 go-live)
- **Tier 2:** The four (4) Phase 2 EIM Participants will be paying the costs (e.g. – EIM Phase 2 implementation costs)

Amendments

This Exhibit A may be amended as determined by the Commission; however, allocation adjustments to WAPA-SNR shall require its written consent. Should WAPA-SNR disagree to the change its allocation, the remaining Parties to this Agreement may make adjustments to the non-WAPA-SNR allocations, subject to Commission approval consistent with Section 8.6 of this Agreement. Any amendment to this Exhibit A shall be reflected through a change in the Version History. Minor, non-substantive changes will be reflected in 1/10th increments to the Version History (e.g., 1.1, 1.2, etc.).

Version History

VERSION	CHANGE	BY	DATE
1.0	Initial Version	Commission	02/22/2017
2.0	Phase 2 Version	Commission	8/21/2019
3.0	Amended Phase 2 Version	Commission	01/27/2021

EXHIBIT B: BANC EIM Phase 2 Approach

Purpose

This Exhibit B provides a description of the planned functional approach for implementing the Balancing Authority of Northern California (BANC) Energy Imbalance Market (EIM) Phase 2 and the relative roles and responsibilities of the California Independent System Operator (CAISO), BANC, its EIM participating members and the Western Area Power Administration – Sierra Nevada Region (WAPA-SNR).[3] It is recognized that there may be some modifications to this approach based upon the gap analyses performed on behalf of the EIM participating members and WAPA-SNR and on issues identified during Phase 2 implementation that are mutually agreed upon by BANC and the EIM Phase 2 parties.

Functional Approach

The functional approach for handling BANC EIM Phase 2 participation will rely on leveraging the existing BANC EIM Entity/Sacramento Municipal Utility District (SMUD) EIM Services Provider arrangement that was developed for BANC EIM Phase 1. The intent is to have BANC/SMUD be the primary interface between the EIM Market Operator (CAISO) and BANC for EIM Entity functions. Each of the BANC EIM Participants, including WAPA-SNR/USBR, will have a direct contractual relationship with the CAISO/EIM Market Operator as EIM Participating Resources. Market dispatch signals through the CAISO's Automated Dispatch System (ADS) will flow from the CAISO to the respective entity's real-time operation's systems via the SMUD/BANC Energy Management System (EMS)[4]. In addition, BANC/SMUD will provide necessary EIM data/information required to ensure sub-BAA operations to WAPA-SNR.

Figure 1, below, provides a schematic flow for the EIM Phase 2 approach.

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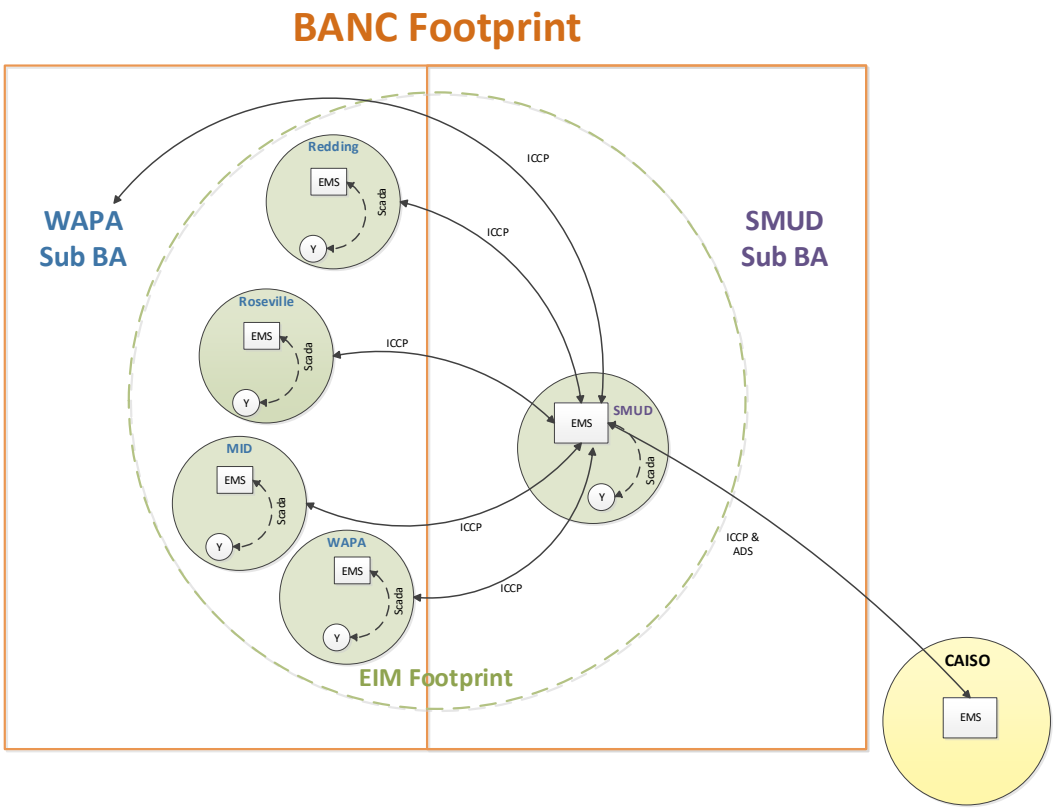
[3] WAPA-SNR is not a formal BANC member but participates in most BANC activities. Further discussions regarding a more formalized role for WAPA-SNR are intended as issues are addressed through BANC EIM Phase 2 implementation process.

BANC and WAPA-SNR will also coordinate closely with the United Stated Bureau of Reclamation (USBR) due to the integrated nature of USBR generation within the WAPA-SNR footprint and within the BANC Balancing Authority Area (BAA).

[4] Phase 2 EIM Participants have the option of obtaining dispatch DOTs directly from the CAISO ADS system via a self-created/self-supported interface application.

Figure 1 -- Option 2: EIM Phase 2 Functionality with WAPA-SNR in EIM[5]



The goal is to preserve the existing BAA and sub-BAA relationships between BANC and SMUD and BANC and WAPA-SNR and to preserve the existing contractual arrangements.[6] Because EIM is a market function, there are no changes to the existing reliability compliance responsibilities of the EIM Participants.

Roles and Responsibilities

The following outlines the roles and responsibilities for participation in BANC EIM Phase 2:

EIM Operator – CAISO

- Load forecast for each EIM BAA
  - Individual load forecasts and settlement points (ELAP) for each entity (SMUD, Modesto, Redding, Roseville, WAPA-SNR)
  - Non-conforming loads (i.e. – irrigation pumping loads) will require input from USBR and other EIM Participants as appropriate

[5] The communication from SMUD EMS to the BANC EIM Participants may be through either ICCP or DNP3 to the generation plant RTU.

[6] It has been recognized that some contractual changes may be required to BANC member contracts with WAPA-SNR to enable EIM participation. These changes are anticipated to be minor and are not expected to disrupt the benefits of the existing contractual relationships.

- Resource plan feasibility
  - Includes evaluating resource sufficiency (RS) tests (balancing, bid capacity, flex ramp, and transmission feasibility)
- Run the EIM
- Settling EIM charges through the particular Scheduling Coordinator (SC) (i.e., EIM Entity SC and EIM Participating Resource SC)

EIM Entity – BANC BAA (Supported by BANC EIM Services Provider [SMUD] and EIM Entity SC [SMUD])

- Manage/Update/Submit BANC EIM Participant PRSC Base Schedules between T-55 and T-40 minutes
- Manage/Submit Day Ahead EIM Resource Plan interchange schedules for the BANC BA.
- Manage/Submit EIM interchange Base Schedules for the BANC BA
- Current operating characteristics (Note: The vast majority of this data will be obtained by the BANC EIM Entity through EIM Participant data submittals to the CAISO)
  - Outages (Both planned and unplanned)
  - Meter/telemetry
  - Transmission capacity available for EIM transfers – i.e., Energy Transfer System Resources, or "ETSRs"
- Market resource sufficiency tests performed at the BAA level
  - Balancing, flex ramp, and bid range capacity
    - An allocation methodology among the BANC EIM Participating Resources has been developed
- Settlements – through EIM Entity SC
  - All BANC EIM Participant load imbalance
  - BANC EIM Participant non-EIM Participating Resources imbalance
  - Interchange Schedule Imbalance
  - Other BANC EIM Entity fee, offset, and uplift charges
  - An allocation methodology has been developed

EIM Participating Resources (Supported by EIM Participating Resource SC)

- Phase 2 EIM Participating Resources
  - SMUD
  - Modesto Irrigation District (MID)
  - City of Redding (Redding)
  - City of Roseville (Roseville)
  - WAPA-SNR/USBR Central Valley Project (CVP)
- Manage/Submit Day Ahead EIM Generator Resource Plans for the EIM Participant-area
- Manage/Submit EIM Bids for the EIM Participant's Participating Resources
- Manage/Submit EIM Participating Resource Generator Base Schedules at T-75 and T-55 minutes for EIM Participant's generators
  - Assure EIM Participant-area Base Schedules and Bids pass EIM Sufficiency Tests at T-75 and T-55 minutes
- Settlement of EIM Participant's Participating Resource SC EIM Resources

Other Issues Addressed During Implementation

As part of the Phase 2 implementation, the following additional issues were addressed and resolved by the EIM Participants:

1. Deviation Band (Contract arrangement between WAPA-SNR and MID/Redding/Roseville).
   a. BANC EIM Participants want to preserve this real-time balancing capability.
   b. BANC EIM Participants will continue to require real-time AGC balancing and the associated Regulation Up/Down reserves in an EIM paradigm.
   c. The Deviation MW calculation will be analyzed to determine if a change is required to account for EIM redispatch of EIM Participants' generators.[7]
2. EIM hourly Sufficiency Test
   a. Defined and executed by CAISO at the BANC BA level.
   b. EIM Participants will each be required to provide EIM capacity so that BANC collectively passes the EIM Sufficiency Tests.
   c. EIM Participants with no generation on-line may need to make an arrangement with other BANC members that have generation on-line to meet their portion of the total BANC EIM Sufficiency capacity MW requirements.
3. BANC EIM Entity Settlement Charge allocations; allocation invoicing to EIM Participants; and EIM Participant payment schedule for allocations.
4. Treatment of EIM dynamic transfers between sub-BAs within BANC, and between LSE's within the WAPA Sub-BA
5. Ensuring all appropriate technical and operational information and data will be provided to WAPA-SNR that will allow it to operate its sub-BA.

[7] I.e., Due to CAISO EIM timelines, CAISO EIM dispatches would have already occurred prior to WAPA-SNR dispatches under the Deviation Band, which should result in less regulation and imbalance energy required from WAPA-SNR/USBR resources.

Amendments

This Exhibit B may only be amended with written consent of all Parties and approval of the Commission. Any amendment to this Exhibit B shall be in writing and transmitted to all Parties to this Agreement within seven (7) business days of the version change contained in the document Version History.

Any changes will be reflected through a change in the Version History. Minor, non-substantive changes will be reflected in $1/10^{th}$ increments to the Version History (e.g., 1.1, 1.2, etc.).

Version History

VERSION	CHANGE	BY	DATE
1.0	Initial Version	Commission	08/21/2019
2.0	Amended	Commission	01/27/2021

EXHIBIT C: BANC Phase 2 EIM Implementation Agreement

ENERGY IMBALANCE MARKET
IMPLEMENTATION AGREEMENT

This Implementation Agreement ("Agreement") is entered into as of October 4, 2019, by and between the Balancing Authority of Northern California, a joint powers authority established pursuant to the laws of the State of California ("BANC"), and the California Independent System Operator Corporation, a California nonprofit public benefit corporation ("ISO"). BANC and the ISO are sometimes referred to in the Agreement individually as a "Party" and, collectively, as the "Parties."

RECITALS

A. WHEREAS, BANC has determined there is an opportunity to secure benefits for additional BANC members and the Western Area Power Administration – Sierra Nevada Region ("WAPA") through improved dispatch and operation of the generation and through the efficient use and continued reliable operation of existing and future transmission facilities within its balancing authority area and desires to expand its participation in the energy imbalance market operated by the ISO ("EIM");

B. WHEREAS, the ISO has determined there are benefits to ISO market participants through greater access to energy imbalance resources in real-time and through the efficient use and reliable operation of the transmission facilities and markets operated by the ISO, and desires to expand operation of the EIM to include additional members of BANC;

C. WHEREAS, BANC acknowledges that the ISO's rules and procedures governing the EIM are set forth in the provisions of the ISO tariff as filed with the Federal Energy Regulatory Commission ("FERC") and that its expanded participation in the EIM may require corresponding revisions to the Open Access Transmission Tariffs ("OATT") of participating transmission service provider(s) located in the BANC balancing authority area and the execution of associated service agreements;

D. WHEREAS, the ISO acknowledges that BANC, its members, and WAPA, located within the BANC balancing authority area footprint, are all non-jurisdictional entities described in section 201(f) of the Federal Power Act, 16 U.S.C. 824(f) and respects BANC's interest in remaining so;

E. WHEREAS, the Sacramento Municipal Utility District ("SMUD") located within the BANC balancing authority area began participating in the EIM on April 3, 2019, pursuant to what was referred to as "Project Phase 1" in the EIM Implementation Agreement between BANC and the ISO, dated February 24, 2017;

F. WHEREAS, BANC has reached an agreement with those of its members capable of directly participating in EIM, namely, the Modesto Irrigation District and the cities of Redding and Roseville, and WAPA, concerning expanded implementation of

the EIM in the BANC balancing authority area, hereafter referred to as "Project Phase 2";

G. WHEREAS, the ISO acknowledges that the Project Phase 2 implementation of the EIM in the BANC balancing authority area will require the filing of an amendment to the non-conforming EIM Entity Agreement to account for the expanded participation in the BANC balancing authority area, and that the ISO is committed to addressing implementation elements necessary for the Project Phase 2 to be successful; and

H. WHEREAS, the Parties are entering into this Agreement to set forth the terms upon which the ISO will timely configure its systems for Project Phase 2 to expand BANC's participation in the EIM on or before April 1, 2021 ("Implementation Date"); and

NOW THEREFORE, in consideration of the mutual covenants contained herein, and of other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the Parties agree as follows:

AGREEMENT

1. Effective Date, Term and Non-Jurisdictional Status.

(a) This Agreement shall become effective upon the date the Agreement is accepted, approved or otherwise permitted to take effect by FERC, without condition or modification unsatisfactory to either Party ("Effective Date").

(b) In the event FERC requires any modification to the Agreement or imposes any other condition upon its acceptance or approval of the Agreement, each Party shall have fifteen (15) days to notify the other Party that any such modification or condition is unacceptable to that Party. If no Party provides such notice, then the Agreement, as modified or conditioned by FERC, shall take effect as of the date determined under Section 1(a). If either Party provides such notice to the other Party, the Parties shall take any one or more of the following actions: (i) meet and confer and to determine whether BANC will voluntarily agree to accept any modifications or conditions imposed by such FERC order; (ii) jointly seek further administrative or legal remedies with respect to such FERC order, including a request for rehearing or clarification; or (iii) enter into negotiations with respect to accommodation of such FERC order, provided however, if the Parties have not agreed to such an accommodation within thirty (30) days after the date on which such FERC order becomes a final and non-appealable order, such order shall be deemed an adverse order and the Parties shall have no further rights and obligations under the Agreement.

(c) The term of the Agreement ("Term") shall commence on the Effective Date and shall terminate upon the earliest to occur of (1) the date that all necessary approvals for participation by the participating transmission service provider(s) located in the BANC balancing authority area are to take effect and the date FERC permits all necessary revisions to the EIM Entity Agreement necessary for the commencement of BANC's expanded participation in the EIM have taken effect; (2) termination in

accordance with Section 2 of this Agreement; or (3) such other date as mutually agreed to by the Parties ("Termination Date").

(d) This Agreement shall automatically terminate on the Termination Date and shall have no further force or effect, provided that the rights and obligations set forth in Recital E and Sections 5 and 6 shall survive the termination of this Agreement and remain in full force and effect as provided therein.

(e) The ISO acknowledges that BANC, its members and WAPA are each non-jurisdictional entities as described in section 201(f) of the Federal Power Act, 16 U.S.C. 824(f) and respects BANC and its members' interest in remaining so and nothing in this Agreement is intended to create additional FERC jurisdiction for BANC or its members, nor shall it be construed or interpreted in a manner that creates additional FERC jurisdiction for BANC or its members. The ISO will at all times make commercially reasonable efforts to avoid actions that would cause BANC to become subject to FERC jurisdiction that does not already exist with respect to BANC or its members' non-jurisdictional status or its operations or assets.

2. Termination.

(a) The Parties may mutually agree to terminate this Agreement in writing at any time. In addition, either Party may terminate this Agreement in its sole discretion after conclusion of the negotiation period in Section 2(b) or as provided in Section 2(d) or 2(e) as applicable.

(b) If either the ISO or BANC seeks to unilaterally terminate this Agreement, it must first notify the other Party in writing of its intent to do so ("Notice of Intent to Terminate") and engage in thirty (30) days of good faith negotiations in an effort to resolve its concerns. If the Parties successfully resolve the concerns of the Party issuing the Notice of Intent to Terminate, the Party that issued such notice shall notify the other Party in writing of the withdrawal of such Notice ("Notice of Resolution").

(c) At the time the Notice of Intent to Terminate is provided, or any time thereafter unless a Notice of Resolution is issued, BANC may provide written notice directing the ISO to suspend performance on any or all work on the Project Phase 2 for a specified period of time ("Notice to Suspend Work"). Upon receipt of a Notice to Suspend Work, the ISO shall: (1) discontinue work on the Project Phase 2; (2) place no further orders with subcontractors related to the Project Phase 2; (3) take commercially reasonable actions to suspend all orders and subcontracts; (4) protect and maintain the work on the Project Phase 2; and (5) otherwise mitigate BANC's costs and liabilities for the areas of work suspended. The ISO will not invoice BANC pursuant to Section 4(c) of this Agreement for any milestone payment following the issuance of a Notice to Suspend Work. To the extent a Notice of Resolution is issued pursuant to Section 2(b), the Notice to Suspend Work in effect at the time shall be deemed withdrawn and the ISO shall be entitled to invoice BANC for any milestone completed as specified in Section 4(c) of this Agreement and BANC shall pay such invoice pursuant to Section 4.

(d) Any time after thirty (30) days from the date of the Notice of Intent to Terminate under Section 2(b), issued by either Party, and prior to the date of a Notice of Resolution, the ISO may terminate this Agreement by providing written notice to BANC that it is terminating this Agreement ("Termination Notice") effective immediately. The ISO may terminate this Agreement under the terms of this Section 2(d) at its sole discretion for any reason.

(e) Any time after 30 days from the date of the Notice of Intent to Terminate under Section 2(b), issued by either Party, and prior to the date of a Notice of Resolution, BANC may terminate this Agreement by providing written notice to the ISO that it is terminating this Agreement ("Termination Notice") effective immediately. BANC may terminate this Agreement under the terms of this Section 2(e) at its sole discretion for any reason and without further regulatory review or approval.

(f) In the event this Agreement is terminated by either or both of the Parties pursuant to its terms, this Agreement will become wholly void and of no further force and effect, without further action by either Party, and the liabilities and obligations of the Parties hereunder will terminate, and each Party shall be fully released and discharged from any liability or obligation under or resulting from this Agreement as of the date of the Termination Notice provided in Section 2(d) or 2(e), as applicable, notwithstanding the requirement for the ISO to submit the filing specified in Section 2(g). Notwithstanding the foregoing, the rights and obligations set forth in Sections 5 and 6 shall survive the termination of this Agreement and remain in full force and effect as specified in Sections 5 and 6, and any milestone payment obligation pursuant to Section 4(c) that arose prior to the Termination Notice in accordance with Section 2(d) or 2(e) shall survive until satisfied or resolved in accordance with Section 11.

(g) The Parties acknowledge that the ISO is required to file a timely notice of termination with FERC.

3. Implementation Scope and Schedule.

(a) The Parties shall complete the Project Phase 2 as described in Exhibit A, subject to modification only as described in Section 4(e) below.

(b) The Parties shall undertake the activities described in Exhibit A with the objective of completing the Project Phase 2 and implementing the EIM no later than the Implementation Date, including all milestones listed under Exhibit A for the Implementation Date, subject to modification only as described in Section 3(c) below.

(c) Either Party may propose a change in Exhibit A or the Implementation Date to the other Party. If a Party proposes a change in Exhibit A or the Implementation Date, the Parties shall negotiate in good faith to attempt to reach agreement on the proposal and any necessary changes in Exhibit A and any other affected provision of this Agreement, provided that any change in Exhibit A, or any change to the Implementation Date, must be mutually agreed to by the Parties. The agreement of the Parties to a change in Exhibit A, or a change to the Implementation Date, shall be

memorialized in a revision to Exhibit A, which will then be binding on the Parties and shall be posted on the internet web sites of the ISO and BANC, without the need for execution of an amendment to this Agreement. Changes that require revision of any provision of this Agreement other than Exhibit A shall be reflected in an executed amendment to this Agreement and may be filed by the ISO with FERC for acceptance with respect to the ISO.

(d) At least once per calendar month during the Term, the Parties' Designated Executives, or their designees, will meet telephonically or in person (at a mutually agreed to location) to discuss the status of the performance of the tasks necessary to achieve the milestones in Exhibit A and the continued appropriateness of Exhibit A to ensure that the Project Phase 2 can meet the Implementation Date. For purposes of this section, "Designated Executive" shall mean the individual identified in Section 8(g), or her or his designee or successor.

4. Implementation Charges, Invoicing and Milestone Payments.

(a) As itemized in Section 4(c) below, BANC shall pay the ISO a fixed fee of $205,000 for costs incurred by the ISO to implement the Project Phase 2 ("Implementation Fee"), subject to completion of the milestones specified in Section 4(c) and subject to adjustment only as described in Section 4(b).

(b) The ISO will provide prompt written notice to BANC if the sum of its actual costs through the date of such notice and its projected costs to accomplish the balance of the Project Phase 2 exceed the Implementation Fee. The Implementation Fee shall be subject to adjustment only by mutual agreement of the Parties if the Parties agree to a change in Exhibit A, or a change to the Implementation Date, in accordance with Section 3(c) and the Parties agree that an adjustment to the Implementation Fee is warranted in light of such change.

(c) Upon completion of the milestones identified in Exhibit A, the ISO shall invoice BANC for the Implementation Fee as follows:

i. $35,000 upon the Effective Date as further described in Section 1 of this Agreement and Exhibit A as Milestone 1;

ii. $35,000 upon deployment into the ISO test environment of the full network model database that includes the topology of the BANC system as further described in Exhibit A as Milestone 2;

iii. $35,000 upon ISO promotion of market network model including BANC area to non-production system with BANC connection and data exchange data in advance of market simulation as further described in Exhibit A as Milestone 3;

iv. $35,000 upon commencement of EIM market simulation as further described in Exhibit A as Milestone 4;

v. $35,000 upon start of parallel operations as further described in Exhibit A as Milestone 5; and

vi. $30,000 upon the Implementation Date as further described in Exhibit A as Milestone 6.

(d) Following the completion of each milestone identified in Section 4(c)(i) through (vi), the ISO will deliver to BANC an invoice which will show the amount due, together with reasonable documentation supporting the completion of the milestone being invoiced. BANC shall pay the invoice no later than forty-five (45) days after the date of receipt. Any milestone payment past due will accrue 12% interest, per annum.

(e) If a milestone has not been completed as described in Section 4(c)(i), (ii), (iii), (iv), (v) or (vi) and in Exhibit A, as Exhibit A may have been modified in accordance with Section 3(c), the Parties shall negotiate in good faith an agreed upon change to the Project Phase 2 Delivery Dates (as defined in Exhibit A) consistent with Section 3(c) such that the timing of milestone payments in Section 4(c) can be adjusted to correspond to the updated Exhibit A.

(f) If BANC disputes any portion of any amount specified in an invoice delivered by the ISO in accordance with Section 4(c), BANC shall pay its total amount of the invoice when due and identify the disputed amount and state that the disputed amount is being paid under protest. Any disputed amount shall be resolved pursuant to the provisions of Section 11. If it is determined pursuant to Section 11 that an overpayment or underpayment has been made by BANC or any amount on an invoice is incorrect, then (i) in the case of any overpayment, the ISO shall promptly return the amount of the overpayment (or credit the amount of the overpayment on the next invoice) to BANC; and (ii) in the case of an underpayment, BANC shall promptly pay the amount of the underpayment to the ISO. Any overpayment or underpayment shall include interest for the period from the date of overpayment, underpayment, or incorrect allocation, until such amount has been paid or credited against a future invoice calculated in the manner prescribed for calculating interest in Section 4(d).

(g) All costs necessary to implement the Project Phase 2 not provided for in this Agreement shall be borne separately by each Party, which in the case of the ISO will be recovered through rates as may be authorized by its regulatory authorities.

(h) All milestone payments required to be made under the terms of this Agreement shall be made to the account or accounts designated by the Party which the milestone payment is owed, by wire transfer (in immediately available funds in the lawful currency of the United States).

5. Confidentiality.

(a) All written or oral information received from the other Party in connection with this Agreement (but not this Agreement after the ISO files it with FERC) necessary to complete the Project Phase 2 and marked or otherwise identified at the time of communication by such Party as containing information that Party considers

commercially sensitive or confidential shall constitute "Confidential Information" subject to the terms and conditions herein.

(b) If BANC publicly releases BANC's Confidential Information in connection with a public process or a regulatory filing, or if the ISO publicly releases the ISO's Confidential Information in connection with a public process or a regulatory filing, then the information released shall no longer constitute Confidential Information; provided, however, that Confidential Information disclosed under seal (or in such other manner as to be treated confidentially) in connection with a regulatory filing shall retain its status as Confidential Information under this Agreement. In addition, Confidential Information does not include information that (i) is or becomes generally available to the public other than as a result of disclosure by either Party, its officers, directors, employees, agents, or representatives; (ii) is or becomes available to such Party on a non-confidential basis from other sources or their agents or representatives when such sources are not known by such Party to be prohibited from making the disclosure; (iii) is already known to such Party or has been independently acquired or developed by such Party without violating any of such Party's obligations under this Section 5; (iv) is the subject of a mutual written agreement between the Parties, including an agreement evidenced through an exchange of electronic or other communications, with regard to information for discussion at any stakeholder meetings or during the stakeholder process or with any regulatory authority; or (v) is the subject of a mutual written agreement between the Parties, including an agreement evidenced through an exchange of electronic or other communications, to allow for such disclosure and designation as non-confidential or public information on a case-by-case basis in accordance with Section 10 of this Agreement. ISO acknowledges that BANC and its members are subject to the California Public Records Act, Cal. Gov't Code Sections 6250, *et seq.*, and WAPA is subject to the Freedom of Information Act, 5 U.S.C. Section 552, as amended by Public Law no. 104-231, 110 Stat. 348.

(c) The Confidential Information will be kept confidential by each Party and each Party agrees to protect the Confidential Information using the same degree of care, but no less than a reasonable degree of care, as a Party uses to protect its own confidential information of a like nature. Notwithstanding the preceding sentence, a Party may disclose the Confidential Information or portions thereof to those of such Party's officers, employees, partners, representatives, attorneys, contractors, advisors, or agents who need to know such information for the purpose of analyzing or performing an obligation related to the Project Phase 2. Notwithstanding the foregoing, a Party is not authorized to disclose such Confidential Information to any officers, employees, partners, representatives, attorneys, contractors, advisors, or agents without (i) informing such officer, employee, partner, representative, attorney, contractor, advisor, or agent of the confidential nature of the Confidential Information and (ii) ensuring that such officer, employee, partner, representative, attorney, contractor, advisor, or agent is subject to confidentiality duties or obligations to the applicable Party that are no less restrictive than the terms and conditions of this Agreement. Each Party agrees to be responsible for any breach of this Section 5 by such Party or a Party's officers, employees, partners, representatives, attorneys, contractors, advisors or agents, subject to the limitations set forth in Section 6 below.

(d) In the event that a Party is required by a court of competent jurisdiction or regulatory authority (by law, rule, regulation, order, deposition, interrogatory, request for documents, data request issued by a regulatory authority, subpoena, civil investigative demand or similar request or process) to disclose any of the Confidential Information, such Party shall (to the extent legally permitted) provide the other Party with prompt written notice of such requirement so that the other Party may seek a protective order or other appropriate remedy and/or waive compliance with the terms of this Section 5. In the event that such protective order or other remedy is not obtained, the disclosing Party hereby waives compliance with the provisions hereof with respect to such Confidential Information. In such event, the Party compelled to disclose shall (i) furnish only that portion of the Confidential Information which, in accordance with the advice of its own counsel (which may include internal counsel), is legally required to be furnished, and (ii) unless otherwise prevented by law, exercise reasonable efforts to obtain assurances that confidential treatment will be accorded the Confidential Information so furnished.

(e) Notwithstanding the foregoing, the Parties acknowledge that they are required by law or regulation to report certain information that could embody Confidential Information from time to time and may do so from time to time without providing prior notice to the other Party. Such reports may include models, filings, and reports of costs, general rate case filings, cost adjustment mechanisms, any applicable FERC-required reporting, investigations, annual state reports that include resources and loads, integrated resource planning reports, reports to entities such as FERC, the North American Electric Reliability Corporation ("NERC"), Western Electricity Coordinating Council ("WECC"), or similar or successor organizations, or similar or successor forms, filings, or reports, the specific names of which may vary by jurisdiction, along with supporting documentation. Additionally, in regulatory proceedings or investigations in all state and federal jurisdictions in which they may do business, the Parties will from time to time be required to produce Confidential Information, and may do so without prior notice using its business judgment in compliance with all of the foregoing and including the appropriate level of confidentiality for such disclosures in the normal course of business.

(f) Each Party is entitled to seek equitable relief, by injunction or otherwise, to enforce its rights under this Section 5 to prevent the release of Confidential Information without bond or proof of damages and may seek other remedies available at law or in equity for breach of this provision, subject to the limitations set forth in Section 6 below.

(g) Unless otherwise prevented by law, upon written request by a Party, the other Party shall promptly return to the requesting Party or destroy all Confidential Information it received, including all copies of its analyses, compilations, studies or other documents prepared by or for it, that contain the Confidential Information in a manner that would allow its extraction or that would allow the identification of the requesting Party as the source of the Confidential Information or inputs to the analysis. Notwithstanding the foregoing, neither Party shall be required to destroy or alter any computer archival and backup tapes or archival and backup files (collectively,

"Computer Tapes"), provided that such Computer Tapes shall be kept confidential in accordance with the terms of this Agreement.

(h) Nothing in this Agreement shall be deemed to restrict either Party from engaging with third parties with respect to any matter and for any reason, specifically including the EIM, provided Confidential Information is treated in accordance with this Section 5.

(i) This Section 5, Confidentiality, applies for two years (24 months) after the Termination Date or the date of any expiration or termination of this Agreement.

6. Limitation of Liability; Indemnity.

(a) The Parties acknowledge and agree that, except as otherwise specified in Section 4(f) of this Agreement, neither Party shall be liable to the other Party for any claim, loss, cost, liability, damage or expense, including any direct damage or any special, indirect, exemplary, punitive, incidental or consequential loss or damage (including any loss of revenue, income, profits or investment opportunities or claims of third party customers), arising out of or directly or indirectly related to such other Party's decision to enter into this Agreement, such other Party's performance under this Agreement, or any other decision by such Party with respect to the Project Phase 2.

(b) To the maximum extent allowed by law, each Party shall indemnify, defend and hold harmless each of the other Party and its officers, directors, employees, agents, contractors and sub-contractors, from and against all third-party claims, judgments, losses, liabilities, costs, expenses (including reasonable attorneys' fees) and damages for personal injury, death or property damage, to the extent caused by the negligence, willful misconduct, or breach of this Agreement of the indemnifying Party, its officers, directors, agents, employees, contractors or sub-contractors related to this Agreement; provided, that this indemnification shall be only to the extent such personal injury, death or property damage is not attributable to the negligence or willful misconduct related to this Agreement or breach of this Agreement of the Party seeking indemnification, its officers, directors, agents, employees, contractors or sub-contractors. The indemnified Party shall give the other Party prompt notice of any such claim. The indemnifying Party, in consultation with the indemnified Party, shall have the right to choose competent counsel, control the conduct of any litigation or other proceeding, and settle any claim, subject to the reasonable approval of the indemnified Party. The indemnified Party shall provide all documents and assistance reasonably requested by the indemnifying Party.

(c) The rights and obligations under this Section 6 shall survive the Termination Date and any expiration or termination of this Agreement.

7. Representation and Warranties.

(a) Representations and Warranties of BANC. BANC represents and warrants to the ISO as of the Effective Date as follows:

(1) It is duly formed, validly existing and in good standing under the laws of the jurisdiction of its formation.

(2) It has all requisite statutory and governance authorizations to own its assets and carry on its business as now being conducted or as proposed to be conducted under this Agreement.

(3) It has all necessary statutory and governance authorizations to execute and deliver this Agreement and to perform its obligations under this Agreement, and the execution and delivery of this Agreement and the performance by it of this Agreement have been duly authorized by all necessary corporate action on its part.

(4) The execution and delivery of this Agreement and the performance by it of this Agreement do not: (i) violate its organizational documents; (ii) violate any governmental requirements applicable to it; or (iii) result in a breach of or constitute a default of any material agreement to which it is a party.

(5) This Agreement has been duly and validly executed and delivered by it and constitutes its legal, valid and binding obligation enforceable against it in accordance with its terms, except as the same may be limited by bankruptcy, insolvency or other similar laws affecting creditors' rights generally and by principles of equity regardless of whether such principles are considered in a proceeding at law or in equity.

(6) All material governmental authorizations in connection with the due execution and delivery of this Agreement, have been duly obtained or made prior to the date hereof and are in full force and effect.

(b) Representations and Warranties of the ISO. ISO represents and warrants to BANC as of the Effective Date as follows:

(1) It is duly formed, validly existing and in good standing under the laws of the jurisdiction of its formation.

(2) It has all requisite corporate power necessary to own its assets and carry on its business as now being conducted or as proposed to be conducted under this Agreement.

(3) It has all necessary corporate power and authority to execute and deliver this Agreement and to perform its obligations under this Agreement, and the execution and delivery of this Agreement and the performance by it of this Agreement have been duly authorized by all necessary corporate action on its part.

(4) The execution and delivery of this Agreement and the performance by it of this Agreement do not: (i) violate its organizational documents; (ii) violate any governmental requirements applicable to it; or (iii) result in a breach of or constitute a default of any material agreement to which it is a party.

(5) This Agreement has been duly and validly executed and delivered by it and constitutes its legal, valid and binding obligation enforceable against it in accordance with its terms, except as the same may be limited by bankruptcy, insolvency, regulatory authority, or other similar laws affecting creditors' rights generally and by principles of equity regardless of whether such principles are considered in a proceeding at law or in equity.

(6) All material governmental authorizations in connection with the due execution and delivery of, and performance by it of its obligations under this Agreement, have been duly obtained or made prior to the date hereof and are in full force and effect.

8. General Provisions.

(a) This Agreement, including Exhibit A to this Agreement, constitutes the entire agreement between the Parties, and supersedes any prior written or oral agreements or understandings between the Parties, relating to the subject matter of this Agreement; provided, that nothing in this Agreement shall limit, repeal, or in any manner modify the existing legal rights, privileges, and duties of each of the Parties as provided by any other agreement between the Parties, or by any statute or any other law or applicable court or regulatory decision by which such Party is bound.

(b) This Agreement may not be amended except in writing hereafter signed by both Parties; provided, however, the Parties may mutually agree to changes in Exhibit A in accordance with Section 4(e).

(c) Any waiver by a Party to this Agreement of any provision or condition of this Agreement must be in writing signed by the Party to be bound by such waiver, shall be effective only to the extent specifically set forth in such writing and shall not limit or affect any rights with respect to any other or future circumstance.

(d) This Agreement is for the sole and exclusive benefit of the Parties and shall not create a contractual relationship with, or cause of action in favor of, any third party.

(e) Neither Party shall have the right to voluntarily assign its interest in this Agreement, including its rights, duties, and obligations hereunder, without the prior written consent of the other Party, which consent may be withheld by the other Party in its sole and absolute discretion. Any assignment made in violation of the terms of this Section 8(e) shall be null and void and shall have no force and effect.

(f) In the event that any provision of this Agreement is determined to be invalid or unenforceable for any reason, in whole or part, the remaining provisions of this Agreement shall be unaffected thereby and shall remain in full force and effect to the fullest extent permitted by law, and such invalid or unenforceable provision shall be replaced by the Parties with a provision that is valid and enforceable and that comes closest to expressing the Parties' intention with respect to such invalid or unenforceable provision.

(g) Whenever this Agreement requires or provides that (i) a notice be given by a Party to the other Party or (ii) a Party's action requires the approval or consent of the other Party, such notice, consent or approval shall be given in writing and shall be given by personal delivery, by recognized overnight courier service, email or by certified mail (return receipt requested), postage prepaid, to the recipient thereof at the address given for such Party as set forth below, or to such other address as may be designated by notice given by any Party to the other Party in accordance with the provisions of this Section 8(g):

If to BANC:

Balancing Authority of Northern California
6001 S Street
M.S. D-109
Sacramento, CA 95852-1830

Attention: James R. Shetler, General Manager
E-mail: jimshetler@thebanc.org

If to the ISO:

California Independent System Operator Corporation
250 Outcropping Way
Folsom, CA 95630
Attention: Petar Ristanovic, Vice President, Technology
E-mail: PRistanovic@caiso.com

Each notice, consent or approval shall be conclusively deemed to have been given (i) on the day of the actual delivery thereof, if given by personal delivery, email sent by 5:00 p.m., or overnight delivery, or (ii) date of delivery shown on the receipt, if given by certified mail (return receipt requested). It is the responsibility of each Party to provide, in accordance with this Section, notice to the other Party of any necessary change in the contact or address information herein.

(h) This Agreement may be executed in one or more counterparts (including by facsimile or a scanned image), each of which when so executed shall be deemed to be an original, and all of which shall together constitute one and the same instrument.

(i) Nothing contained in this Agreement shall be construed as creating a corporation, company, partnership, association, joint venture or other entity with the other Party, nor shall anything contained in this Agreement be construed as creating or requiring any fiduciary relationship between the Parties. No Party shall be responsible hereunder for the acts or omissions of the other Party.

(j) The decision to execute an EIM service agreement and participate in the EIM remains within the sole discretion of BANC and the decision whether to continue to offer EIM services (subject to Sections 1(c) and 2) remains within the sole discretion of the ISO.

(k) Nothing in this Agreement shall preclude a Party from exercising any rights or taking any action (or having its affiliates take any action) with respect to any other project.

(l) Unless otherwise expressly provided, for purposes of this Agreement, the following rules of interpretation shall apply: (i) any reference in this Agreement to gender includes all genders, and the meaning of defined terms applies to both the singular and the plural of those terms; (ii) the insertion of headings are for convenience of reference only and do not affect, and will not be utilized in construing or interpreting, this Agreement; (iii) all references in this Agreement to any "Section" are to the corresponding Section of this Agreement unless otherwise specified; (iv) words such as "herein," "hereinafter," "hereof," and "hereunder" refer to this Agreement (including Exhibit A to this Agreement) as a whole and not merely to a subdivision in which such words appear, unless the context otherwise requires; (v) the word "including" or any variation thereof means "including, without limitation" and does not limit any general statement that it follows to the specific or similar items or matters immediately following it; and (vi) the Parties have participated jointly in the negotiation and drafting of this Agreement and, in the event an ambiguity or question of intent or interpretation arises, this Agreement shall be construed as jointly drafted by the Parties and no presumption or burden of proof favoring or disfavoring any Party will exist or arise by virtue of the authorship of any provision of this Agreement.

9. Governing Law; Venue. This Agreement shall be governed by, and construed and interpreted in accordance with, the laws of the State of California without regard to its principles of conflicts of laws. Venue for any action hereunder shall be FERC, where subject to its jurisdiction, or otherwise the superior court of Sacramento County, California. No provision of this Agreement shall be deemed to waive the right of any Party to protest, or challenge in any manner, whether this Agreement, or any action or proceeding arising under or relating to this Agreement, is subject to the jurisdiction of FERC.

10. Communication. The Parties shall develop a communication protocol for the dissemination of material information associated with the Project Phase 2, which shall be approved by BANC and the ISO.

11. Dispute Resolution. Unless otherwise provided herein, each of the provisions of this Agreement shall be enforceable independently of any other provision of this Agreement and independent of any other claim or cause of action. In the event of any dispute arising under this Agreement, the Parties shall first attempt to resolve the matter through direct good faith negotiation between the Parties, including a full opportunity for escalation to executive management within the Parties' respective organizations. If the Parties are unable to resolve the issue within thirty (30) days after such escalation of the

dispute, then for matters subject to FERC jurisdiction either Party shall have the right to file a complaint under Section 206 of the Federal Power Act. For all other matters, the parties may pursue litigation in superior court of Sacramento County, California.

12. Third Party Agreements. The Parties may engage in discussions with third parties, either jointly or unilaterally, to facilitate the Project Phase 2. Each Party may adopt or modify tariffs or enter into or modify binding agreements between such Party and third parties to implement the approved terms and conditions of the Project Phase 2 or EIM as necessary and appropriate.

13. Compliance. Each Party shall comply with all federal, state, local or municipal governmental authority; any governmental, quasi-governmental, regulatory or administrative agency, commission, body or other authority exercising or entitled to exercise any administrative, executive, judicial, legislative, policy, regulatory or taxing authority or power, including FERC, NERC, WECC as applicable; or any court or governmental tribunal; in each case, having jurisdiction over either Party in connection with the execution, delivery and performance of its obligations under this Agreement. This Agreement is not intended to modify, change or otherwise amend the Parties' current functional responsibilities associated with compliance with WECC and NERC Reliability Standards; provided, however, the Parties may enter into separate mutually agreed to arrangements to clarify roles and responsibilities associated with compliance with WECC and NERC Reliability Standards in respect of this Agreement.

IN WITNESS WHEREOF, each of the Parties has caused its duly authorized officer to execute this Implementation Agreement as of the date first above written.

BALANCING AUTHORITY OF NORTHERN CALIFORNIA

By: James R. Shetler
Name: James R. Shetler
Title: General Manager

CALIFORNIA INDEPENDENT SYSTEM OPERATOR CORPORATION

By: Petar Ristanovic
Name: Petar Ristanovic
Title: Vice President, Technology

EXHIBIT A: PROJECT SCOPE AND SCHEDULE

The Project Phase 2 consists of the activities and delivery dates identified in this Exhibit A, implemented in accordance with the Agreement. The Parties have included a schedule for the Implementation Date to coordinate their efforts required for completion of the Project Phase 2 on a milestone track.

The Parties understand that input received from stakeholders during the course of implementing the Project Phase 2, conditions imposed or questions raised in the regulatory approval process, and the activities of the Parties in implementing the Project Phase 2 may cause the Parties to determine that changes in the Project Phase 2 are necessary or desirable. Accordingly, this Exhibit A may be modified in accordance with Section 3(c) of the Agreement.

Each Party is responsible for performing a variety of tasks necessary to achieve the milestones on the scheduled dates specified in the table below ("Project Phase 2 Delivery Dates") and shall plan accordingly. The Parties shall communicate and coordinate as provided in the Agreement to support the planning and execution to complete the Project Phase 2.

Project Phase 2 Scope and Milestones	**Project Phase 2 Delivery Dates supporting April 2021**
Detailed Project Phase 2 Management Plan – The Parties will develop and initiate a final project management plan that describes specific project tasks each Party must perform, delivery dates, project team members, meeting requirements, and a process for approving changes to support completion of the Project Phase 2.	October-November 2019
• **Milestone 1** – This milestone is completed when the Agreement has been made effective in accordance with Section 1 of the Agreement.	December 2019
Full Network Model Expansion – Full Network Model expansion for BANC and EMS/SCADA, including, proof of concept of export/import of EMS data; complete model into the ISO test environment; complete validation for all SCADA points from BANC; testing of the new market model; and validation of the Outage and State Estimator applications.	July 2020

• **Milestone 2** - This milestone is completed upon modeling BANC into the ISO Full Network Model through the EMS which will be deployed into a non-production test environment using the ISO's network and resource modeling process.	July 2020
System Implementation and Connectivity Testing – System requirements and software design, the execution of necessary software vendor contracts, development of Market network model including BANC, allow BANC to connect to a non-production test system.	August 2020
• **Milestone 3** - ISO to promote market network model including BANC area to non-production system, and allow BANC to connect and exchange data in advance of Market Simulation.	August 2020
Construction, Testing and Training in Preparation for Market Simulation - This task includes IT infrastructure upgrades, security testing, training, Day-in-life simulation, and functional testing.	August-December 2020
• **Milestone 4a** – Start of Connectivity to ISO Testing.	August 2020
• **Milestone 4b** – Interface testing with minimum data requirements and functional integration testing. ISO will make the test environment available for BANC connectivity testing prior to the delivery date assuming BANC has provided all requisite data and non-production system availability does not conflict with ISO production system Spring Release schedule.	September 2020
• **Milestone 4c –Begin 'Day in the Life' scenario testing**	October 2020
• **Milestone 4d – Begin Structured Market simulation**	December 2020
Activate Parallel Operations - Beginning February 1, 2021, the ISO will activate a parallel operation environment to practice production grade systems integration as well as market processes and operating procedures in anticipation of the impending BANC activation as an EIM Entity and to confirm compliance with the EIM readiness criteria set forth in the ISO tariff.	February 2021

• **Milestone 5** – Start of parallel operations	February 1, 2021
System Deployment and Go Live – Implementing the Project Phase 2 and going live will include resource registration, operating procedures and updates, execution of service agreements, completion of necessary OATT revisions, applicable management approvals, the presentation and acceptance of service agreements and tariff changes by applicable governing boards, and completion and filing of a readiness criteria certification in accordance with the ISO tariff.	March 2021
• **Milestone 6** – This milestone is complete upon the first production BANC energy imbalance market trade date.	April 1, 2021

EXHIBIT D: EIM Participant Reserve Account

Purpose and Scope

The EIM Participant Reserve Account is hereby established to provide ongoing operating capital to the EIM Entity to meet its EIM obligations in accordance with the CAISO/Market Operator Tariff. The gross amount of capital held in the EIM Participant Reserve Account shall be calculated by the BANC Finance Group and the General Manager, in consultation with the EIM Committee and approved by the Commission. Contributions to the EIM Participant Reserve Account by each EIM Participant are to be in accordance with the cost allocation percentages set forth in Tier 1 of Exhibit A – Table 2 to this Agreement, unless adjusted by mutual consent of the EIM Participants and approved by the BANC Commission (Adjusted Contributions), in which case such Adjusted Contributions shall be adopted by a Resolution of the Commission and subsequently memorialized in a revision to Exhibit A – Table 2 (Commission Approved Adjusted Allocation), and a change in the Version History of this Exhibit D.

Review for potential adjustments to the EIM Participant Reserve Account shall be made by BANC at least annually and "true ups" may be required on an "as needed" basis in accordance with any cash obligations imposed on the EIM Entity by the Market Operator. Such "true ups" shall be the responsibility of the EIM Participants in proportion to their allocation responsibilities set forth in Tier 1 of Exhibit A – Table 2 of this Agreement, except in the case of Commission approval of Adjusted Contributions, in which such allocations shall be in accordance with such Adjusted Contributions also set forth in Exhibit A – Table 2.

The EIM Committee may advise the General Manager with the ongoing monitoring of the EIM Participant Reserve Account and may be called upon for the review of proposed true ups and/or credits. At least annually, the EIM Committee and General Manager shall review the EIM Participant Reserve Account and make a recommendation to the Commission for the next fiscal year.

The EIM Committee may be consulted on the development of more detailed protocols or guidelines for administration of the EIM Participant Reserve Account. Such a protocol or guideline is merely administrative and does not require Commission approval.

Monies deposited in the EIM Participant Reserve Account shall be used solely for purposes of EIM administration in accordance with cost obligations imposed on the EIM Entity by the CAISO/Market Operator Tariff.

Amendments

This Exhibit D may only be amended with written consent of all EIM Participants and approval of the Commission. Any amendment to this Exhibit D shall be reflected through a change in the Version History. Minor, non-substantive changes will be reflected in $1/10^{th}$ increments to the Version History (e.g., 1.1, 1.2, etc.).

Version History

VERSION	CHANGE	BY	DATE
1.0	Initial Version (New Exhibit to Second Amended and Restated Agreement)	Commission	01/27/2021

EXHIBIT E: EIM Entity Supplemental Services

Purpose

This Exhibit E provides for additional services to be provided through BANC to EIM Participants in accordance with Section 8.4. These can be provided by BANC to a single EIM Participant or to multiple EIM Participants. The service shall be set forth in a separate Service Schedule to this Exhibit, the first additional service performed by BANC being referred to as Service Schedule E-1 and so forth (i.e., the second service would be Service Schedule E-2, etc.).

EXHIBIT E

Service Attachment E-1

Additional Power Settlements Software Functionality

Description:

The EIM Participants listed in Table E-1 (Responsible EIM Participants), below, have requested and agreed to pay for additional software functionality from Power Settlements, inclusive of the "CAISO Shadow Settlements Module" and the "CAISO Allocations Module," (Additional Modules), both of which constitute sub-modules to the primary "CAISO SettleCore Software," licensed to SMUD and BANC. The Additional Modules, for which there are additional costs, are being provided solely to the two Responsible EIM Participants (Sub-Licensees) listed below, and all costs associated with these Additional Modules shall be their sole responsibility, all in accordance with the "Optional Extended Sublicense to the License and Software Services Agreement," (Sublicense Agreement) effective September 1, 2020, attached as Appendix E-1 to this Agreement. Such costs and payments shall be in accordance with the cost and allocation schedule listed in Table 1 and Section 8.4 of this Agreement.

Table E-1

Responsible EIM Participant	Description	Cost	Payment Cycle
Modesto Irrigation District	Additional Modules, as set forth in the Description, above.	Year 1: $50,000.00 Year 2 and subsequent Years, in accordance with Section 2a of the Sublicense Agreement (Appendix E-1).	Year 1: Within 30 Days of Execution of this Agreement. Year 2 and subsequent years, within 30 days of an invoice from BANC in accordance with Section 8.5 of this Agreement.
City of Redding			

Service Identification in Exhibit E

Each additional service requested by an EIM Participant or EIM Participants shall be reflected by numerals attached to the Exhibit "E" identification, such as service 1, being reflected as "E-1" (thus, Attachment E-1, Table E-1 and Appendix E-1) and future service 2 being reflected by "E-2," (thus, Attachment E-2, Table E-2 and Appendix E-2, etc.).

Amendments

Amendments to Exhibit E require the written consent of all of the Responsible EIM Participants and approval of the Commission. Any amendment to this Exhibit E shall be reflected through a change in the Version History (e.g., 1.0, 2.0, etc.). Minor, non-substantive changes will be reflected in $1/10^{th}$ increments to the Version History (e.g., 1.1, E-1.2, etc.). Individual Version Histories shall be provided for each specific service to Responsible EIM Participants.

Version History

VERSION	CHANGE	BY	DATE
1.0	Initial Version (New Exhibit to Second Amended and Restated Agreement)	Commission	01/27/2021

Appendix E-1

Optional Extended Sublicense to the License and Software Services Agreement

OPTIONAL EXTENDED SUBLICENSE TO LICENSE AND SOFTWARE SERVICES AGREEMENT (NON-EXCLUSIVE)

This Optional Extended Sublicense to the License and Software Services Agreement (this "Sublicense") is agreed to and made effective as of September 1, 2020 ("Effective Date") by and between Sacramento Municipal Utility District (hereinafter "SMUD," "BANC Administrator," or "Sublicensor"), a political subdivision of the State of California, on behalf of the Balancing Authority of Northern California (hereinafter "BANC"), and Modesto Irrigation District, a special district of the State of California, and the City of Redding, a municipal corporation of the State of California, (hereinafter "Sublicensee,"). This Sublicense and all terms and conditions herein are incorporated into the License and Software Services Agreement (Non-Exclusive) entered into by SMUD and Power Settlements Consulting and Software, LLC ("Power") on the December 26, 2019 (the "Agreement"). Capitalized terms used in this Sublicense, which are not defined in this Sublicense, will have the respective meanings ascribed to them in the Agreement.

1. License.

a. Grant of License to Sublicensee. In consideration of the fees payable to Power pursuant to Article 5 of the Agreement, and the rights and obligations granted by Power to SMUD pursuant to Article 2 and Section 13.2 of the Agreement, Sublicensor hereby grants to Sublicensee a revocable, non-transferable, non-sublicenseable, non-exclusive right and sublicense (the "Sublicense") to use and operate the CAISO Shadow Settlements Module and the CAISO Allocations Module within the same hosted environment deployment of the Software, as defined in Article 1 of the Agreement.

b. Obligations and Restrictions.

i. Sublicensor shall grant to Sublicensee access to Sublicensor's servers and the hosted environment deployment of the Software solely as necessary to perform under and effectuate this Sublicense.

ii. Sublicensee may use the CAISO Shadow Settlements Module and CAISO Allocations Module for up to Two (2) of Sublicensee's CAISO scheduling coordinator IDs.

iii. Sublicensee shall also adhere to the Restrictions set forth in Section 2.2 of the Agreement.

2. **Additional Payments for License to Sublicensee**. In addition to the payments contemplated in Article 5 of the Agreement, BANC Administrator shall pay the following amounts for the Term of the Sublicense:

   a. BANC Administrator shall pay Power annual installments of Fifty Thousand and no/100 Dollars ($50,000.00) (the "Sublicense Payment"), which will increase each year on the anniversary of the Effective Date by the lesser of (i) three percent (3%) or (ii) the CPI Index (Consumer Price Index), as published by the United States Bureau of Labor Statistics. Notwithstanding the foregoing, the price adjustment shall never be less than 0%. For the purposes of reporting the annual CPI index, the annual December inflation value as populated by the http://www.usinflationcalculator.com/inflation/current-inflation-rates/ site or a successor or similarly agreed upon website will be used. For illustration purposes, using prior years as an example, the 2016 inflation increase would have been 2.1% and the 2017 inflation increase would have been 2.1%.

   b. The first annual Sublicense Payment described in Section 2.2(a) shall be due on September 30, 2020, and subsequent annual Sublicense Payments shall be due on September 1st of each year of the term of this Sublicense.

   c. BANC Administrator shall pay Power monthly Hosting Costs associated with the use of the CAISO Shadow Settlements Module and CAISO Allocations Module described in this Sublicense. Hosting Costs will be invoiced and paid in accordance with the terms of the Agreement.

3. **Representations and Warranties**.

   a. Sublicensor represents and warrants that it has the authority to enter into this Sublicense on behalf of itself, BANC, and the BANC Members.

   b. Sublicensee represents and warrants that it has received, has reviewed, undertakes the obligations of Licensee specified in, and will be responsible for its own compliance with the following provisions of the Agreement: Section 2.2; Article 6 (Audits); Article 7 (Confidential Information); Sections 8.1 and 8.4; Section 9.4; Article 10 (Limitations on Liability); Sections 11.1 and 11.3; and Article 12.

   c. Sublicensee further assumes all responsibility and liability on behalf of itself in exercising this Sublicense, including use of the CAISO Shadow Settlements Module and CAISO Allocations Modules. Power's responsibilities are solely to Sublicensor and may not be assigned by Sublicensor, without Power's express written permission.

4. **Term and Termination of Sublicense**.

a. The Term of this Sublicense shall begin on the Effective Date and shall continue until the earlier of (i) termination or expiration of the Agreement by any party for any reason, or (ii) termination of this Sublicense pursuant to Section 4(b), below.

b. Any of Sublicensor, Sublicensee, or Power may terminate this Sublicense at any time, with or without cause, upon written notice to the other parties.

c. In the event this Sublicense is terminated by Power without cause pursuant to Section 4(b) above, BANC Administrator may request in writing, and upon such written request Power shall provide, a pro-rata refund of the Sublicense Payment for the months remaining in the then-current twelve-month period. Except as expressly set forth herein, the Sublicense Payments provided for under this Sublicense are fully earned by Power when due and payable hereunder and are not subject to refund or offset notwithstanding the early termination of either the Agreement or this Sublicense by any party. Nothing herein shall be interpreted as providing for any refund or offset of any other fees owed to Power, including under the Agreement.

d. In the event of termination or expiration of the Agreement or this Sublicense, all support and maintenance of the Software within the Sublicense shall discontinue, and Sublicensee will permit Power to remove access to the CAISO Shadow Settlements Module and CAISO Allocations Module.

5. **Further Indemnification by Sublicensee**. Sublicensee shall indemnify, defend, and hold harmless Sublicensor and its officers, directors, shareholders, members, employees, agents, representatives, and customers (collectively, "Sublicensor Indemnitees") against (i) all liability, claims, costs, damages, and expenses incurred by Sublicensor Indemnitees relating to or arising out of the willful misconduct or negligence of Sublicensee from or concerning the Software, and (ii) all liability, claims, costs, damages, and expenses incurred by the Sublicensor Indemnitees relating to or arising out of the Agreement and/or this Sublicense. Sublicensee agrees to reimburse Sublicensor for any amounts owed hereunder immediately upon demand, or alternatively, at the election of Sublicensor, to promptly and directly pay any such amounts with respect to any matter for which Sublicensor is indemnified pursuant to this Sublicense (including attorneys' fees by Sublicensor in defense or prosecution of any claim to which this Sublicense applies) to such person or entity as Sublicensor designates. Any amount to be paid by the Sublicensee shall be paid to Sublicensor or designee, within a reasonable time following written demand therefore by Sublicensor. This provision survives termination or expiration of the Agreement or termination of this Sublicense.

6. **Miscellaneous**.

a. The parties agree and acknowledge that Power is a third-party beneficiary to this Sublicense.

b. This Sublicense supersedes any prior agreements, negotiations and communications, oral or written, and contains the entire agreement between any of Sublicensor, Sublicensee, and/or Power, as to the subject matter hereof. No

subsequent agreement, representation or promise made by either party hereto, or by or to any employee, officer, agent or representative of either party shall be of any effect unless it is in writing and executed by the party to be bound thereby.

c. The Agreement, which is incorporated herein by reference, and any and all terms and conditions therein, shall apply with equal force and effect on Sublicensee.

IN WITNESS WHEREOF, the parties hereto have executed this Sublicense as of the day and year first above written.

SMUD:

By: _____

Jennifer Davidson
Chief Financial Officer

LEGAL

SUBLICENSEE:

Modesto Irrigation District

By: _____
Printed Name: Bill Schwandt
Title: General Manager

City of Redding

By: _____
Printed Name: _____
Title: _____

ACCEPTED AND AGREED:

POWER:

Power Settlements Consulting and Software, LLC

By: _____
David Dan, President

CITY OF REDDING CALIFORNIA

TRANSMITTAL FORM

*HARD COPIES REQUIRED * DO NOT E-MAIL FOR REVIEW*

TO CITY ATTORNEY (225-4050) & CITY CLERK (225-4439)

Agreements ◊ Bonds ◊ Contracts ◊ Leases ◊ Escrow Instructions ◊ Deeds ◊ Securities ◊ Resolutions ◊ Ordinances ◊ Opinions

Date: 11/3/2020 From: Jessie McHugh

RECEIVED NOV 04 2020 CITY ATTORNEY

Dept. Name: Electric Dept. # 852 Phone: 245-7050

Person most knowledgeable: Bill Hughes Phone: 245-7025

***INSURANCE REQUIREMENTS MET**? When submitting contract for review, you must also submit an Insurance Transmittal Form to Risk so insurance can be requested and approved in PINS. If not, your contract will be held in the Clerk's Office. For help contact Risk Liability at pdahl@cityofredding.org or (530) 225-4385.*

DESCRIBE ATTACHED DOCUMENT(S)

Document Title: Optional Extended Sublicense to License and Software Svcs. Agmt.

Outside Party(ies): SMUD, Power Settlements Consulting Software, LLC

Project/Purpose: To utilize the shadow settlements and allocations modules through the SMUD license agreement for BANC.

COUNCIL APPROVAL REQUIRED? No ☐ Yes ☑ IF YES, DATE OF MEETING: _____ Agenda Item #: _____

Was contract/agreement the result of an RFP or Bid? No ☑ Yes ☐ Bid Schedule # or RFP #:

REQUEST FOR ATTORNEY SERVICES

☑ **Attorney Reviews Draft** - All documents must be reviewed & approved before obtaining any signatures. When amending contracts, attach a copy of original contract and all prior amendments. Do not e-mail documents without prior approval.

☐ Return drafts to: _____ (to finalize & obtain outside signatures)

C 8424 ✓ LIST ✓ INSURANCE ✓ INDEX ✓ EMAIL ✓

☑ **Attorney Signs Originals, Approving As To Form *After***: (1) draft has been previously reviewed & approved by Attorney; (2) Dept. has obtained outside signatures. Please submit 2 originals. Use paperclips – no staples - and affix "Sign Here" tabs on all signature pages.
(Attorney approval mandatory - RMC §4.20.120.A)

*Note: Signed originals will be forwarded to City Clerk for necessary City signatures, insurance & other requirement verification, and processing.

☐ Mailing/distribution Instructions: _____

RECEIVED CITY CLERK'S OFFICE NOV 05 2020 BY

☐ Prepare Certificate of Acceptance (easement & grant deeds)

☐ Memo or additional info is attached.

☑ Other: Barry, this attachment came to us already signed. If you are able, it would be nice if you could review it, and if acceptable, approve as to form and send for signatures.

Save for Attorney's Notes

Approved & Signed. BJ

City Attorney Log # 2020-1209

Logged Out By: MS

Rev. 06/20

IN WITNESS WHEREOF, the parties hereto have executed this Sublicense as of the day and year first above written.

SMUD:

By: _____

Jennifer Davidson
Chief Financial Officer

LEGAL

SUBLICENSEE:

Modesto Irrigation District

By: _____
Printed Name: _____
Title: _____

City of Redding

By: _____
Printed Name: Barry Tippin
Title: City Manager

ACCEPTED AND AGREED:

POWER:

Power Settlements Consulting and Software, LLC

By: _____
David Dan, President

ATTEST:

for PAMELA MIZE, City Clerk

Form Approved

Barry E. DeWalt
City Attorney

EXHIBIT F: BANC Phase 2 EIM Entity Agreement

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CALIFORNIA INDEPENDENT SYSTEM OPERATOR CORPORATION

AND

BALANCING AUTHORITY OF NORTHERN CALIFORNIA

AMENDED AND RESTATED EIM ENTITY AGREEMENT

DocuSign Envelope ID: 16D163EE-56DD-4F17-9BB7-C8F1B373F43C

AMENDED AND RESTATED EIM ENTITY AGREEMENT

THIS AMENDED AND RESTATED ENERGY IMBALANCE MARKET ENTITY AGREEMENT ("AGREEMENT") is established this 29th day of June, 2020, and is accepted by and between:

(1) The Balancing Authority of Northern California, a joint powers authority ("JPA") established pursuant to the laws of the State of California ("EIM Entity"),

and

(2) **California Independent System Operator Corporation** ("CAISO"), a California nonprofit public benefit corporation having a principal executive office located at such place in the State of California as the CAISO Governing Board may from time to time designate.

The EIM Entity and the CAISO are hereinafter referred to as the "Parties."

Whereas:

A. The Parties named above operate Balancing Authority Areas.

B. The EIM Entity is a JPA that, among other things, provides Balancing Authority services to BANC Members and the Western Area Power Administration – Sierra Nevada Region ("WAPA") in accordance with an operating agreement, whose certain members and WAPA within the Balancing Authority Area may in turn provide transmission service to their customers pursuant to existing agreements and/or open access transmission tariffs ("OATT"), including balancing Energy services.

C. The CAISO operates the Real-Time Market pursuant to the CAISO Tariff.

D. In April of 2019, the EIM Entity, along with one of its members, the Sacramento Municipal Utility District ("SMUD"), completed implementation and began successfully operating in the Energy Imbalance Market, referred to as BANC EIM Phase 1 ("Phase 1").

E. Other BANC Members and WAPA, whose facilities are also located within the BANC Balancing Authority Area, did not participate in Phase 1 to allow more time to address operational and contractual challenges.

F. These other BANC Members and WAPA are now in a position to participate in the Energy Imbalance Market and, therefore, the Parties intend this

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Agreement to define such additional participation in the Energy Imbalance Market within the EIM Entity Balancing Authority Area, referred to as BANC EIM Phase 2.

G. The Parties are entering into this Agreement to enable the EIM Entity to participate in the CAISO's Real-Time Market and to facilitate the provision of Energy Imbalance Market services within the EIM Entity Balancing Authority Area, including Real-Time transfers of Energy among the CAISO Balancing Authority Area and other EIM Entity Balancing Authority Areas.

NOW THEREFORE, in consideration of the mutual covenants set forth herein, **THE PARTIES AGREE** as follows:

ARTICLE I

DEFINITIONS AND INTERPRETATION

1.1 Master Definitions Supplement. All terms and expressions used in this Agreement shall have the same meaning as those contained in the Master Definitions Supplement to the CAISO Tariff unless defined below.

(a) **BANC Member** means a party to the BANC Joint Powers Agreement, as that agreement may be modified from time to time.

(b) **EIM Participant** means a party to the BANC EIM Participation Agreement, as that agreement may be modified from time to time.

1.2 Rules of Interpretation. The following rules of interpretation and conventions shall apply to this Agreement:

(a) if and to the extent a matter is specifically addressed by a provision of this Agreement, the provision of this Agreement shall govern notwithstanding any inconsistent provisions of the CAISO Tariff;

(b) if and to the extent this Agreement provides that a matter shall be determined in accordance with the applicable provisions of the CAISO Tariff, the applicable provisions of the CAISO Tariff shall govern;

(c) the singular shall include the plural and vice versa;

(d) the masculine shall include the feminine and neutral and vice versa;

(e) "includes" or "including" shall mean "including without limitation";

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(f) references to a Section, Article or Schedule shall mean a Section, Article or a Schedule of this Agreement, as the case may be, unless the context otherwise requires;

(g) a reference to a given agreement or instrument shall be a reference to that agreement or instrument as modified, amended, supplemented or restated through the date as of which such reference is made;

(h) unless the context otherwise requires, references to any law shall be deemed references to such law as it may be amended, replaced or restated from time to time;

(i) unless the context otherwise requires, any reference to a "person" includes any individual, partnership, firm, company, corporation, joint venture, trust, association, organization or other entity, in each case whether or not having separate legal personality;

(j) unless the context otherwise requires, any reference to a Party includes a reference to its permitted successors and assigns;

(k) any reference to a day, week, month or year is to a calendar day, week, month or year;

(l) unless the context requires otherwise, "or" is used in the conjunctive sense; and

(m) the captions and headings in this Agreement are inserted solely to facilitate reference and shall have no bearing upon the interpretation of any of the terms and conditions of this Agreement.

ARTICLE II

RESPONSIBILITIES OF EIM ENTITY AND CAISO

2.1 Scope of Responsibilities. The Parties are individually responsible for the reliable operation of their Balancing Authority Areas consistent with the Reliability Standards established by the Western Electricity Coordinating Council ("WECC") and the North American Electric Reliability Corporation ("NERC"). Nothing in this Agreement is intended to change, supersede, or alter either Party's obligations to abide by NERC and WECC Reliability Standards.

2.2 Tariff Provisions. The CAISO shall provide open access to the Real-Time Market in accordance with the terms of the CAISO Tariff.

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2.3 EIM Entity Scheduling Coordinator. The EIM Entity shall be represented by an EIM Entity Scheduling Coordinator to implement the provisions of this Agreement, which may be the EIM Entity or another entity certified by the CAISO to perform the functions of an EIM Entity Scheduling Coordinator.

2.4 EIM Transmission Service and Resource Information. The EIM Entity shall provide information to the CAISO for Energy Imbalance Market purposes regarding the network topology of its EIM Entity Balancing Authority Area, non-participating resources, and loads in accordance with the CAISO Tariff and the Business Practice Manual for the Energy Imbalance Market. The EIM Entity will use reasonable efforts to provide accurate and complete information described in this section to the CAISO.

2.5 EIM Transmission Availability. The EIM Entity shall make available for use in the Real-Time Market transmission capacity within the EIM Entity Balancing Authority Area that is not otherwise encumbered, reserved, scheduled, or being used by EIM Participants, their transmission customers or by others and shall make arrangements with third party transmission service providers within the EIM Entity Balancing Authority Area that intend to enable Energy Imbalance Market services on their transmission systems to provide such transmission capacity on their systems for use in the Real-Time Market. The EIM Entity shall provide the CAISO with real time information regarding the availability of transmission capacity for use in the Energy Imbalance Market as provided in the CAISO Tariff and Business Practice Manual for the Energy Imbalance Market.

2.6 EIM Entity Corrective Actions. The EIM Entity may take corrective action to address an issue with Energy Imbalance Market implementation or operation consistent with Section 29 of the CAISO Tariff.

2.7 EIM Entity Non-Jurisdictional Status. The CAISO acknowledges that the EIM Entity is an exempt entity as described in section 201(f) of the Federal Power Act, 16 U.S.C. 824(f) and this Agreement does not extend the Federal Energy Regulatory Commission's ("FERC") jurisdiction over the EIM Entity, as that jurisdiction may or may not exist beyond the terms of the Agreement.

ARTICLE III

TERM AND TERMINATION

3.1 Effective Date. This Agreement shall be effective as of the later of the date it is executed by the Parties or the date it is accepted for filing and made effective by FERC and shall remain in full force and effect until terminated pursuant to Section 3.2 of this Agreement.

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3.2 Termination

3.2.1 Termination by CAISO. The CAISO may terminate this Agreement by giving written notice of termination pursuant to Section 29.1(d) of the CAISO Tariff or in the event that the EIM Entity commits any material default under this Agreement or Section 29 of the CAISO Tariff that, if capable of being remedied, is not remedied within thirty (30) days after the CAISO has given the EIM Entity written notice of the default, unless the default is excused by reason of Uncontrollable Forces in accordance with Article IX of this Agreement. With respect to any notice of termination given pursuant to this Section, the CAISO must file a timely notice of termination with FERC or must otherwise comply with the requirements of FERC Order No. 2001 and related FERC orders. The filing of the notice of termination by the CAISO with FERC will be considered timely if (1) the filing of the notice of termination is made after the preconditions for termination have been met, and the CAISO files the notice of termination within sixty (60) days after issuance of the notice of default; or (2) the CAISO files the notice of termination in accordance with the requirements of FERC Order No. 2001. This Agreement shall terminate upon acceptance by FERC of such a notice of termination or thirty (30) days after the date of the CAISO's notice of default, if terminated in accordance with the requirements of FERC Order No. 2001 and related FERC orders.

3.2.2 Termination by EIM Entity. In the event that the EIM Entity no longer wishes to enable Energy Imbalance Market services within its Balancing Authority Area pursuant to the CAISO Tariff, it may terminate this Agreement on giving the CAISO not less than one-hundred and eighty (180) days written notice. The CAISO shall file a timely notice of termination with FERC after the termination date provided in the EIM Entity notice. Termination will be effective upon acceptance of the notice of termination by FERC; provided, however, that the EIM Entity's rights and obligations under this Agreement will terminate as of the termination date provided in the EIM Entity's notice of termination, regardless of any action or inaction by FERC with respect to any application by the CAISO to terminate this Agreement.

3.3 No Termination Charge. The CAISO shall not levy an exit fee or other charge associated with CAISO systems, procedures, or other changes required by the termination of the EIM Entity's participation in the Energy Imbalance Market as of the effective date of such notice, provided that EIM Entity obligations incurred under this Agreement prior to the effective date of such notice shall survive termination until satisfied.

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ARTICLE IV

CAISO TARIFF

4.1 Agreement Subject to CAISO Tariff. This Agreement shall be subject to Section 29 of the CAISO Tariff, which shall be deemed to be incorporated herein as interpreted in accordance with sections 1.2(a) and 1.2(b) of this Agreement. The EIM Entity shall abide by, and shall perform, all of the obligations of EIM Entities under the CAISO Tariff.

ARTICLE V

COSTS

5.1 Operating and Maintenance Costs. The EIM Entity shall be responsible for all its costs incurred in connection with meeting its obligations under this Agreement.

ARTICLE VI

DISPUTE RESOLUTION

6.1 Dispute Resolution. The Parties shall make reasonable efforts to settle all disputes arising out of or in connection with this Agreement. In the event any dispute is not settled, the Parties shall adhere to the CAISO ADR Procedures set forth in Section 13 of the CAISO Tariff, which is incorporated by reference, except that any reference in Section 13 of the CAISO Tariff to Market Participants shall be read as a reference to the EIM Entity and references to the CAISO Tariff shall be read as references to this Agreement.

ARTICLE VII

REPRESENTATIONS AND WARRANTIES

7.1 Representation and Warranties. Each Party represents and warrants that the execution, delivery and performance of this Agreement by it has been duly authorized by all necessary corporate and/or governmental actions, to the extent authorized by law.

7.2 Necessary Approvals. The EIM Entity represents that all necessary rights, leases, approvals, permits, licenses, easements, access to operate in compliance with this Agreement have been or will be obtained by the EIM Entity prior to the effective date of this Agreement, including any arrangement with third party Balancing Authorities.

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ARTICLE VIII

LIABILITY

8.1 Liability for Damages. Except as provided for in Section 13.3.14 of the CAISO Tariff and subject to Section 8.3, neither Party to this Agreement, nor any of its directors, officers, employees, consultants or agents nor any of its directors, officers, employees, consultants or agents, shall be liable to any other Party for any losses, damages (including consequential, incidental, punitive, special or indirect damages), claims, liability, costs, or expenses (including legal expenses) arising from the performance or non-performance of its obligations under this Agreement except to the extent that its grossly negligent performance of this Agreement (including intentional breach) results directly in physical damage to property owned, operated by, or under the operational control of any of the other Parties or in the death or injury of any person.

8.2 Exclusion of Certain Types of Loss. Neither Party shall be liable to the other Party under any circumstances whatsoever for any punitive damages or consequential or indirect financial loss (including but not limited to loss of profit, loss of earnings or revenue, loss of use, loss of contract, or loss of goodwill) resulting from physical damage to property for which a Party may be liable under Section 8.1.

8.3 Indemnity. The EIM Entity shall indemnify the CAISO and hold it harmless against all losses, damages, claims, liability, costs, or expenses (including legal expenses) arising from third party claims due to any acts or omissions of the EIM Entity, except to the extent that they result from intentional wrongdoing or gross negligence on the part of the CAISO or of its officers, directors, or employees. The CAISO shall give written notice of any third party claims against which it is entitled to be indemnified under this Section to the EIM Entity concerned promptly after becoming aware of them. The EIM Entity who has acknowledged its obligation to provide a full indemnity shall be entitled to control any litigation in relation to such third party claims (including settlement and other negotiations) and the CAISO shall, subject to its right to be indemnified against any resulting costs, cooperate fully with the EIM Entity in defense of such claims.

ARTICLE IX

UNCONTROLLABLE FORCES

9.1 Uncontrollable Forces Tariff Provisions. Section 14.1 of the CAISO Tariff shall be incorporated by reference into this Agreement except that all references in Section 14.1 of the CAISO Tariff to Market Participants shall be

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read as a reference to the EIM Entity and references to the CAISO Tariff shall be read as references to this Agreement.

ARTICLE X

MISCELLANEOUS

10.1 Assignments. Either Party may assign or transfer any or all of its rights or obligations under this Agreement with the other Party's prior written consent in accordance with Section 22.2 of the CAISO Tariff and no Party may assign or transfer any or all of its rights or obligations under this Agreement without such consent. Such consent shall not be unreasonably withheld. Any such transfer or assignment shall be conditioned upon the successor in interest accepting the rights or obligations under this Agreement as if said successor in interest were an original Party to this Agreement.

10.2 Notices. Any notice, demand or request which may be given to or made upon either Party regarding this Agreement shall be made in accordance with Section 22.4 of the CAISO Tariff, provided that all references in Section 22.4 of the CAISO Tariff to Market Participants shall be read as a reference to the EIM Entity and references to the CAISO Tariff shall be read as references to this Agreement, and unless otherwise stated or agreed shall be made to the representative of the other Party indicated in Schedule 1. A Party must update the information in Schedule 1 of this Agreement as information changes. Such changes shall not constitute an amendment to this Agreement.

10.3 Waivers. Any waiver at any time by either Party of its rights with respect to any default under this Agreement, or with respect to any other matter arising in connection with this Agreement, shall not constitute or be deemed a waiver with respect to any subsequent default or other matter arising in connection with this Agreement. Any delay, short of the statutory period of limitations, in asserting or enforcing any right under this Agreement shall not constitute or be deemed a waiver of such right.

10.4 Governing Law and Forum. This Agreement shall be deemed to be a contract made under, and for all purposes shall be governed by and construed in accordance with, the laws of the State of California, except its conflict of law provisions. The Parties irrevocably consent that any legal action or proceeding arising under or relating to this Agreement to which the CAISO ADR Procedures do not apply shall be brought in any of the following forums, as appropriate: any court of the State of California, any federal court of the United States of America located in the State of California, or, where subject to its jurisdiction, before FERC.

10.5 Consistency with State Laws and Regulations. The participation under this Agreement by the EIM Entity, which is not a public utility under the Federal

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Power Act, is subject in all respects to the laws and regulations of the state of its creation and to rate schedules adopted by its governing body under state law. FERC has exclusive jurisdiction to interpret this Agreement, and how it applies to the EIM Entity. However, in the event that the governing body of the EIM Entity, subject to state court review, determines that a conflict exists between the applicable state law, regulations, or rate schedules and the provisions of this Agreement as interpreted by FERC, such state law, regulations, or rate schedules shall, effective upon the CAISO's filing with FERC as described below, govern with respect to the application of this Agreement to the EIM Entity. Should the governing body of the EIM Entity determine that such a conflict exists, the EIM Entity must submit in writing to the CAISO documents notifying the CAISO of the governing body's determination of such a conflict and explaining both the conflict (including what state laws, regulations or rate schedules, and what provisions of this Agreement, are at issue) and what actions the governing board is taking in response to that determination. The CAISO will review the documents submitted by the EIM Entity and, if it determines that any modification to this Agreement that would become effective under this provision will not impair the efficiency of Energy Imbalance Market and will not make the EIM Entity's continued participation in Energy Imbalance Market unduly discriminatory or preferential, the CAISO will file the documents provided by the EIM Entity with the Commission. If the CAISO cannot make such a determination, then the CAISO will terminate this Agreement in accordance with Section 3.2.1 and the modification to this Agreement described in the documents will not take effect.

The EIM Entity shall notify the CAISO as soon as practicable after it identifies a potential conflict that it expects to ask its governing body to determine pursuant to this provision, and negotiate in good faith with the CAISO to modify this Agreement in a way that avoids the conflict.

10.6 Merger. This Agreement constitutes the complete and final agreement of the Parties with respect to the subject matter hereof and supersedes all prior agreements, whether written or oral, with respect to such subject matter.

10.7 Severability. If any term, covenant, or condition of this Agreement or the application or effect of any such term, covenant, or condition is held invalid as to any person, entity, or circumstance, or is determined to be unjust, unreasonable, unlawful, imprudent, or otherwise not in the public interest by any court or government agency of competent jurisdiction, then such term, covenant, or condition shall remain in force and effect to the maximum extent permitted by law, and all other terms, covenants, and conditions of this Agreement and their application shall not be affected thereby, but shall remain in force and effect and the Parties shall be relieved of their obligations only to the extent necessary to eliminate such regulatory or other determination unless a court or governmental agency of competent jurisdiction holds that such provisions are not separable from all other provisions of this Agreement.

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10.8 Amendments. This Agreement and the Schedules attached hereto may be amended from time to time by the mutual agreement of the Parties in writing. Amendments that require FERC approval shall not take effect until FERC has accepted such amendments for filing and made them effective. Nothing contained herein shall be construed as affecting in any way the right of the CAISO to unilaterally make application to FERC for a change in the rates, terms and conditions of this Agreement under Section 205 of the FPA and pursuant to FERC's rules and regulations promulgated thereunder, and the EIM Entity shall have the right to make a unilateral filing with FERC to modify this Agreement pursuant to Section 206 or any other applicable provision of the FPA and FERC's rules and regulations thereunder; provided that each Party shall have the right to protest any such filing by the other Party and to participate fully in any proceeding before FERC in which such modifications may be considered. Nothing in this Agreement shall limit the rights of the Parties or of FERC under Sections 205 or 206 of the FPA and FERC's rules and regulations thereunder, except to the extent that the Parties otherwise mutually agree as provided herein.

10.9 Counterparts. This Agreement may be executed in one or more counterparts at different times, each of which shall be regarded as an original and all of which, taken together, shall constitute one and the same Agreement.

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IN WITNESS WHEREOF, the Parties hereto have caused this Agreement to be duly executed on behalf of each by and through their authorized representatives as of the date hereinabove written.

California Independent System Operator Corporation

By: DocuSigned by: Eric Schmitt 0971A84CB55B4B8...

Name: Eric Schmitt

Title: VP, Operations

Date: 6/29/2020

Balancing Authority of Northern California

By: DocuSigned by: James R. Shetler 6F62FA89A7C5403...

Name: James R. Shetler

Title: General Manager

Date: 6/29/2020

DocuSign Envelope ID: 16D163EE-56DD-4F17-9BB7-C8F1B373F43C

SCHEDULE 1

NOTICES

[Section 10.2]

EIM Entity

Name of Primary Representative:	James R. Shetler
Title:	General Manager
Company:	Balancing Authority of Northern California
Address:	P.O. Box 15830 MS D109
City/State/Zip Code:	Sacramento, CA 95852-1830
Email Address:	jimshetler@thebanc.org
Phone:	(916) 870-3774
Fax No:	Not provided.

Name of Alternative Representative:	C. Anthony Braun
Title:	General Counsel
Company:	Braun Blaising Smith Wynne, P.C.
Address:	555 Capitol Mall, Suite 570
City/State/Zip Code:	Sacramento, CA 95814
Email Address:	braun@braunlegal.com
Phone:	(916) 326-5812
Fax No:	(916) 330-4337

DocuSign Envelope ID: 16D163EE-56DD-4F17-9BB7-C8F1B373F43C

CAISO

Name of Primary Representative:	Regulatory Contracts
Title:	N/A
Address:	250 Outcropping Way
City/State/Zip Code:	Folsom, CA 95630
Email address:	RegulatoryContracts@caiso.com
Phone:	(916) 351-4400
Fax:	(916) 608-5063

Name of Alternative Representative:	Christopher J. Sibley
Title:	Manager, Regulatory Contracts
Address:	250 Outcropping Way
City/State/Zip Code:	Folsom, CA 95630
Email address:	csibley@caiso.com
Phone:	(916) 608-7030
Fax:	(916) 608-5063

Certificate Of Completion

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Document Pages: 14
Signatures: 2
Certificate Pages: 5
Initials: 0
AutoNav: Enabled
EnvelopeId Stamping: Enabled
Time Zone: (UTC-08:00) Pacific Time (US & Canada)

Envelope Originator:
Melissa Starks
250 Outcropping Way
Folsom, CA 95630
mstarks2@caiso.com
IP Address: 12.200.60.7

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mstarks2@caiso.com
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Signer Events	Signature	Timestamp
Eric Schmitt eschmitt@caiso.com VP, Operations Vice President, Operations Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Accepted: 6/29/2020 2:00:03 PM ID: 2bccbefd-b2da-44b5-aafb-2486e7f25cf3	DocuSigned by: Eric Schmitt 0971A84CB55B4B8... Signature Adoption: Pre-selected Style Using IP Address: 12.200.60.7	Sent: 6/29/2020 1:59:04 PM Viewed: 6/29/2020 2:00:03 PM Signed: 6/29/2020 2:00:11 PM
James R. Shetler JimShetler@thebanc.org General Manager Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Accepted: 6/29/2020 2:27:22 PM ID: 8edc230a-7615-4e35-b283-821cd0425e76	DocuSigned by: James R. Shetler 6F62FA89A7C5403... Signature Adoption: Pre-selected Style Using IP Address: 73.151.101.104	Sent: 6/29/2020 1:59:04 PM Viewed: 6/29/2020 2:27:22 PM Signed: 6/29/2020 2:27:49 PM
Melissa Starks mstarks2@caiso.com Contracts Analyst, Regulatory Contracts California Independent Systems Operator-Regulatory Contracts Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Not Offered via DocuSign	**Completed** Using IP Address: 12.200.60.7	Sent: 6/29/2020 2:27:51 PM Viewed: 6/29/2020 2:28:23 PM Signed: 6/29/2020 2:28:56 PM

In Person Signer Events	Signature	Timestamp

Editor Delivery Events	Status	Timestamp

Agent Delivery Events	Status	Timestamp

Intermediary Delivery Events	Status	Timestamp

Certified Delivery Events	Status	Timestamp

Carbon Copy Events	Status	Timestamp
CAISO Regulatory Contracts RegulatoryContracts@caiso.com Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Not Offered via DocuSign	COPIED	Sent: 6/29/2020 1:59:04 PM
Jacqueline Meredith Jmeredith@caiso.com Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Not Offered via DocuSign	COPIED	Sent: 6/29/2020 1:59:03 PM
John Anders JAnders@caiso.com Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Not Offered via DocuSign	COPIED	Sent: 6/29/2020 1:59:04 PM Viewed: 6/29/2020 2:31:14 PM
Kevin Smith smith@braunlegal.com Security Level: Email, Account Authentication (None) **Electronic Record and Signature Disclosure:** Not Offered via DocuSign	COPIED	Sent: 6/29/2020 1:59:04 PM

Witness Events	Signature	Timestamp

Notary Events	Signature	Timestamp

Envelope Summary Events	Status	Timestamps
Envelope Sent	Hashed/Encrypted	6/29/2020 2:27:51 PM
Certified Delivered	Security Checked	6/29/2020 2:28:23 PM
Signing Complete	Security Checked	6/29/2020 2:28:56 PM
Completed	Security Checked	6/29/2020 2:28:56 PM

Payment Events	Status	Timestamps

Electronic Record and Signature Disclosure

ELECTRONIC RECORD AND SIGNATURE DISCLOSURE

From time to time, California Independent Systems Operator Corporation - Regulatory Contracts (we, us or Company) may be required by law to provide to you certain written notices or disclosures. Described below are the terms and conditions for providing to you such notices and disclosures electronically through your DocuSign, Inc. (DocuSign) Express user account. Please read the information below carefully and thoroughly, and if you can access this information electronically to your satisfaction and agree to these terms and conditions, please confirm your agreement by clicking the 'I agree' button at the bottom of this document.

Getting paper copies

At any time, you may request from us a paper copy of any record provided or made available electronically to you by us. For such copies, as long as you are an authorized user of the DocuSign system you will have the ability to download and print any documents we send to you through your DocuSign user account for a limited period of time (usually 30 days) after such documents are first sent to you. After such time, if you wish for us to send you paper copies of any such documents from our office to you, you will be charged a $0.00 per-page fee. You may request delivery of such paper copies from us by following the procedure described below.

Withdrawing your consent

If you decide to receive notices and disclosures from us electronically, you may at any time change your mind and tell us that thereafter you want to receive required notices and disclosures only in paper format. How you must inform us of your decision to receive future notices and disclosure in paper format and withdraw your consent to receive notices and disclosures electronically is described below.

Consequences of changing your mind

If you elect to receive required notices and disclosures only in paper format, it will slow the speed at which we can complete certain steps in transactions with you and delivering services to you because we will need first to send the required notices or disclosures to you in paper format, and then wait until we receive back from you your acknowledgment of your receipt of such paper notices or disclosures. To indicate to us that you are changing your mind, you must withdraw your consent using the DocuSign 'Withdraw Consent' form on the signing page of your DocuSign account. This will indicate to us that you have withdrawn your consent to receive required notices and disclosures electronically from us and you will no longer be able to use your DocuSign Express user account to receive required notices and consents electronically from us or to sign electronically documents from us.

All notices and disclosures will be sent to you electronically

Unless you tell us otherwise in accordance with the procedures described herein, we will provide electronically to you through your DocuSign user account all required notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to you during the course of our relationship with you. To reduce the chance of you inadvertently not receiving any notice or disclosure, we prefer to provide all of the required notices and disclosures to you by the same method and to the same address that you have given us. Thus, you can receive all the disclosures and notices electronically or in paper format through the paper mail delivery system. If you do not agree with this process, please let us know as described below. Please also see the paragraph immediately above that describes the consequences of your electing not to receive delivery of the notices and disclosures electronically from us.

How to contact California Independent Systems Operator Corporation - Regulatory Contracts:

You may contact us to let us know of your changes as to how we may contact you electronically, to request paper copies of certain information from us, and to withdraw your prior consent to receive notices and disclosures electronically as follows:

To contact us by email send messages to: cadler@caiso.com

To advise California Independent Systems Operator Corporation - Regulatory Contracts of your new e-mail address

To let us know of a change in your e-mail address where we should send notices and disclosures electronically to you, you must send an email message to us at cadler@caiso.com and in the body of such request you must state: your previous e-mail address, your new e-mail address. We do not require any other information from you to change your email address..

In addition, you must notify DocuSign, Inc to arrange for your new email address to be reflected in your DocuSign account by following the process for changing e-mail in DocuSign.

To request paper copies from California Independent Systems Operator Corporation - Regulatory Contracts

To request delivery from us of paper copies of the notices and disclosures previously provided by us to you electronically, you must send us an e-mail to cadler@caiso.com and in the body of such request you must state your e-mail address, full name, US Postal address, and telephone number. We will bill you for any fees at that time, if any.

To withdraw your consent with California Independent Systems Operator Corporation - Regulatory Contracts

To inform us that you no longer want to receive future notices and disclosures in electronic format you may:

i. decline to sign a document from within your DocuSign account, and on the subsequent page, select the check-box indicating you wish to withdraw your consent, or you may;

ii. send us an e-mail to cadler@caiso.com and in the body of such request you must state your e-mail, full name, IS Postal Address, telephone number, and account number. We do not need any other information from you to withdraw consent.. The consequences of your withdrawing consent for online documents will be that transactions may take a longer time to process..

Required hardware and software

Operating Systems:	Windows2000? or WindowsXP?
Browsers (for SENDERS):	Internet Explorer 6.0? or above
Browsers (for SIGNERS):	Internet Explorer 6.0?, Mozilla FireFox 1.0, NetScape 7.2 (or above)
Email:	Access to a valid email account
Screen Resolution:	800 x 600 minimum
Enabled Security Settings:	•Allow per session cookies •Users accessing the internet behind a Proxy Server must enable HTTP 1.1 settings via proxy connection

** These minimum requirements are subject to change. If these requirements change, we will provide you with an email message at the email address we have on file for you at that time providing you with the revised hardware and software requirements, at which time you will have the right to withdraw your consent.

Acknowledging your access and consent to receive materials electronically

To confirm to us that you can access this information electronically, which will be similar to other electronic notices and disclosures that we will provide to you, please verify that you were able to read this electronic disclosure and that you also were able to print on paper or electronically save this page for your future reference and access or that you were able to e-mail this disclosure and consent to an address where you will be able to print on paper or save it for your future reference and access. Further, if you consent to receiving notices and disclosures exclusively in electronic format on the terms and conditions described above, please let us know by clicking the 'I agree' button below.

By checking the 'I Agree' box, I confirm that:

- I can access and read this Electronic CONSENT TO ELECTRONIC RECEIPT OF ELECTRONIC RECORD AND SIGNATURE DISCLOSURES document; and

- I can print on paper the disclosure or save or send the disclosure to a place where I can print it, for future reference and access; and

- Until or unless I notify California Independent Systems Operator Corporation - Regulatory Contracts as described above, I consent to receive from exclusively through electronic means all notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to me by California Independent Systems Operator Corporation - Regulatory Contracts during the course of my relationship with you.

COUNCIL COMMUNICATION

CC #: 1307
File #: 0800-03

Title: AB 2021 Energy Efficiency Targets
Contact: Renee Laffey 916-774-5671 rlaffey@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.5.

RECOMMENDATION TO COUNCIL

Recommend City Council adopt a resolution approving Roseville Electric Utility's energy efficiency targets for the next 10 year period as required by California Assembly Bill (AB) 2021. There is no financial impact from the approval of the 10 year energy efficiency targets.

BACKGROUND

AB 2021 requires publicly-owned utilities to identify all potentially achievable, cost effective, reliable and feasible energy efficiency savings opportunities, and establish 10 year targets for energy efficiency every four years.

Through the collection of a mandated public benefits charge to its customers, Roseville Electric Utility funds various voluntary customer energy efficiency programs, demand side management programs, research, development and demonstration projects, along with programs for low income customers. Revenues from the electric utility's public benefit charge are used to support the programs directly in Roseville. These programs are an important element in helping customers reduce their electricity costs and are key to help the utility achieve its energy efficiency targets and related regulatory requirements.

The 10-year targets required by AB 2021 are reflected as a percentage of Roseville Electric Utility's forecasted energy sales, along with the associated amount of energy. The utility's previous 10 year targets were approved by City Council in 2017, 2013, 2010 and 2007. To comply with AB 2021, Roseville Electric Utility, along with other members of the California Municipal Utilities Association worked together to develop the energy efficiency targets for the publicly owned utilities in California.

For Roseville Electric Utility, the 10 year targets required by AB 2021 must be approved by the City Council. Upon approval by City Council, the utility will report the 10 year targets to the California Energy Commission.

Annual Energy Efficiency Goals 2022-2031

The recommended 10 year targets (2022-2031) for Roseville Electric Utility are shown in the following table:

Year	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031
Energy (MWh)	11,070	11,024	10,240	9,632	9,786	9,881	9,591	9,366	9,172	9,028

The average energy efficiency savings projected over the next 10-year period is 9,879 megawatt hours annually, representing .89 percent of Roseville Electric Utility's forecasted energy sales. This is an approximate 17 percent increase over the previously approved targets developed in 2017.

Roseville Electric Utility's average program performance for the past three years was 1.36 percent of energy sales, exceeding the prior target of .76 percent. The results were primarily driven by continuing adoption of LED lighting technologies, expansion of the Home Energy Reports residential behavior program, HVAC system retrofits, and custom energy efficiency projects for Key Account customers.

FISCAL IMPACT

There is no financial impact from the approval of the 10 year energy efficiency targets.

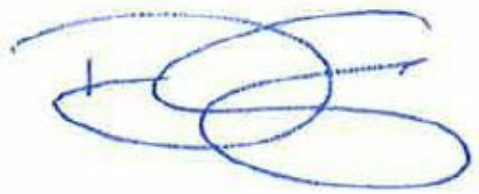
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) only applies to projects which have the potential to cause a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. (CEQA Guidelines §15061(b)(3)).

Respectfully Submitted,

Renee Laffey, Electric Business Analyst

Michelle Bertolino, Electric Utility Director



_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-081

RESOLUTION NO. 21-081

APPROVING ROSEVILLE ELECTRIC UTILITY'S ENERGY EFFICIENCY TARGETS

WHEREAS, AB 2021 requires each local publicly-owned utility to establish annual targets for energy reductions for their customers for the next ten-year period; and

WHEREAS, to comply with AB 2021, the City Council must adopt updated annual targets and report them to the California Energy Commission by June 1 of every third year;

WHEREAS, staff recommends the following Roseville Electric Utility's Energy Efficiency Targets for 2022 through 2031:

Year	**2022**	**2023**	**2024**	**2025**	**2026**	**2027**	**2028**	**2029**	**2030**	**2031**
MWH	11,070	11,024	10,240	9,632	9,786	9,881	9,591	9,366	9,172	9,028

NOW, THEREFORE, BE IT RESOLVED that the City Council of the City of Roseville hereby approves Roseville Electric Utility's Energy Efficiency Targets for 2022 through 2031.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 20_, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

COUNCIL COMMUNICATION

CC #: 1316
File #: 0800-03

Title: Updates to Energy Risk Management Policies
Contact: Petra Wallace 916-774-5510 pwallace@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.6.

RECOMMENDATION TO COUNCIL

Staff recommends that City Council adopt resolutions to approve two updated Energy Risk Management Policies and reaffirm two existing Energy Risk Management Policies for Roseville Electric Utility. There is no fiscal impact associated with these policy changes.

BACKGROUND

Energy markets can be highly volatile and if unmanaged can result in significant monetary risk to the City of Roseville. In 2005, the City adopted a comprehensive set of Energy Risk Management Policies to manage the inherent risks associated with operating an electric utility. The policies are:

• *Electric Utility Risk Management Policy*: This is the overarching risk management governance document. It specifies the goals and objectives of the policies and the roles and responsibilities in the risk governance structure.

• *Energy Credit Risk Policy*: This policy defines how credit limits are set and managed.

• *Energy Trading Authority Policy*: This policy sets the types of energy transactions that can be entered into and the levels of authorization the City Manager has for long term hedging and the Power Management Services Agent ("Agent") has for within-the-month operational trading.

• *Energy Hedge Policy:* This policy defines the Roseville Electric's hedging goals and risk tolerance.

The policies are reviewed and updated on a regular basis to incorporate changes in the operating environment and periodic independent audits. Staff, the Risk Management Committee, and the Risk Oversight Committee have reviewed the following policy changes and recommend Council approve:

- *Electric Utility Risk Management Policy* – No changes to the policy.
- *Energy Credit Risk Policy* – No changes to the policy.
- *Energy Trading Authority Policy* – The policy update includes:

    - Clarification of Environmental Compliance Instruments
    - Update California Independent System Operator (CAISO) trading authority matrix to reflect changes due to Roseville joining the Energy Imbalance Market (EIM)
    - Deletion of Virtual Transactions (that have not been utilized in the past and are not planned to be used in the future)
    - Increase generation awards and export from the CAISO to accommodate the possibility of larger trades in the EIM

- *Energy Hedge Policy* – Changes to the policy include clarification of policy requirements and updates to examples of policy requirements including a new graphical representation. There are no substantive changes to policy requirements.

FISCAL IMPACT

There is no fiscal impact associated with the policy changes.

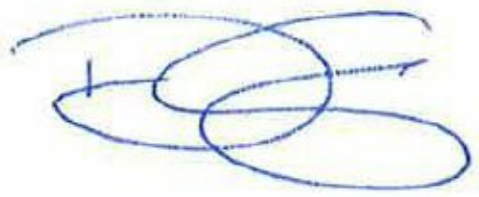
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378. The updates to Energy Risk Management Policies meet the above criteria and are not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Petra Wallace, Electric Risk and Compliance Supervisor

Michelle Bertolino, Electric Utility Director



_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-083

Electric Utility Risk Management Policy

Resolution 21-084

Energy Credit Risk Policy

Resolution 21-085

Energy Trading Authority Policy

Resolution 21-086

Energy Hedge Policy

RESOLUTION NO. 21-083

REAFFIRMING THE ELECTRIC UTILITY RISK MANAGEMENT POLICY

WHEREAS, in 2005, the City adopted a comprehensive set of Energy Risk Management Policies to manage the inherent risks associated with operating an electric utility; and

WHEREAS, the Electric Utility Risk Management Policy is the overarching risk management governance document and specifies the goals and objectives of the policies and the roles and responsibilities in the risk governance structure; and

WHEREAS, no changes have been made to the existing policy, and

WHEREAS, the City Council has reviewed the Electric Utility Risk Management Policy;

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Roseville that the 3/3/21 Electric Utility Risk Management Policy for Roseville Electric is hereby reaffirmed.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 20_, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

CITY OF ROSEVILLE CALIFORNIA Electric Utility

Electric Utility Risk Management Policy

03/03/2021

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ELECTRIC UTILITY RISK MANAGEMENT POLICY

03/03/2021

1. Policy Purpose

The purpose of this document is to formalize the policies of the City of Roseville (City) regarding managing the risks inherent to operating its electric utility. Accordingly, this policy will set forth the City's:

- Risk management objectives,
- Risk governance structure and responsibilities, and
- Scope of business activities governed by this policy, and the list of associated electric utility risk management policy guidelines and policy documents.

The City intends that Risk Management will support the advancement of its strategic business plan and will properly manage its business and financial risks through:

- Prudent oversight,
- Adequate mitigation of risks consistent with the City's risk tolerance, and
- Sufficient internal controls and procedures.

Managing the energy risks of the City's business entails the coordination of resources and activities among multiple business units within the City.

2. Risk Management Objectives

The City is committed to provide competitively priced reliable power to its customers. Managing the City's risk is consistent with that mission, and serves the following objectives to:

- Maintain risk within desired tolerances for a defined period in the future,
- Participate in the energy markets primarily for hedging purposes, while still allowing the City to take advantage of market opportunities within strict policy limits,
- Mitigate the effect of price volatility to its customers,
- Maintain stable cash flows,
- Enhance the value of the City's assets/resources
- Leverage opportunities to increase the value of the City to its customers, and
- Effectively communicate the City's activities to manage risk on behalf of its customers and to develop a risk management culture.

3. Risk Governance Structure and Responsibilities

Risk governance will follow a top-down approach whereby the City Council identifies the City's risk management objectives with oversight carried out by a Risk Oversight Committee. Supporting controls, policies, and procedures will be implemented and aligned throughout the risk governance structure, with distinct roles and responsibilities that result in an enhanced risk control environment. Governance and controls include the organizational structure, policies, and procedures that support the City's business models, risk tolerances, electric utility objectives, and segregate responsibilities appropriately.

3.1 City Council – Risk Management Responsibilities and Duties

- Have a basic understanding of utility risk management.
- Through approving the City's electric utility risk management policies, establish the City's objectives with regard to risk management. Those objectives should be consistent with the Council's risk tolerance, time horizon, and benchmarking goals.
- Approve no less than every two years a resolution affirming or amending the City's utility risk management policies. Amendments should be reflective of changes in the City's electric utility operating environment or changes in Council's goals and objectives for risk management.
- Through the Energy Trading Authority Policy, establish the City Manager's authority limits to conduct market transactions.
- Through the Energy Trading Authority Policy, may authorize an agent to manage portfolio risks in real time, up to two months, according to the City's risk management objectives
- Through the Energy Trading Authority Policy, approve participation in specific commodity markets and derivative instruments.
- Through the Energy Credit Risk Policy, establish the process by which counterparty credit limits are set, and how those limits are monitored.
- Through approval of Master Agreements with counterparties, establish credit limits and terms with individual counterparties.
- Through the Energy Hedge Policy, establish requirements for hedging utility risk, and the financial boundaries within which the risk management program must operate.
- Receive regular reports from the Risk Oversight Committee as to the compliance status of the City's risk management policies, and any suggestions for improvement in policies or procedures.
- From time to time, direct staff to engage an independent audit (internal and/or external) of risk control processes and procedures.
- Approves any activity not otherwise delegated in this or related policy documents (Part 5).

3.2 Risk Oversight Committee (ROC) – Responsibilities and Duties

The ROC is established by the City Council as a forum for discussion of the City's risk and financial health prior to City Council discussions or approvals. The Risk Oversight Committee functions as an additional oversight in the City risk governance, allowing the ROC to review and recommend items for City Council approval. The ROC is chaired by the Electric Risk and Compliance Supervisor as a non-voting member and membership includes nine voting members:

i. Two City Council Member(s)
ii. Two Roseville Public Utilities Commission Member(s)
iii. The City Manager
iv. The Assistant City Manager
v. The Chief Financial Officer
vi. The City Attorney
vii. The Electric Utility Director

Other non-voting attendees may attend the ROC.

3.2.1 *The responsibilities and duties of the ROC include:*

- Oversee the City's electric risk management activities on behalf of the City Council.
- Establish scope and frequency for management reporting to the Council.
- Regularly review reports detailing risk exposures and compliance with risk management policies and procedures from the City's internal risk management group and the Power Management Services provider
- Discuss the City's major financial risk exposures and the steps management has taken to monitor and control such exposures.
- Recommend to the Council electric utility risk management policy changes.
- Review and recommend any new commodity products, locations, or markets.
- Approve management staff individuals to serve as members of an internal Risk Management Committee.
- Review Risk Management Policy violations referred to it by the Risk Management Committee or the City Attorney to develop remediation procedures and/or initiate disciplinary action.
- Review compliance violations with NERC, WECC, and other regulatory agencies on a regular basis.

ROC meetings are covered by the Brown Act, and subject to the Brown Act rules.

3.3 *City Manager – Risk Management Responsibilities and Duties*

- Recommend staff management individuals to serve as members of an internal Risk Management Committee.
- Has authority to transact within the limits set by the City Council in the City of Roseville Trading Authority Policy
- Approve proper organization, separation, or consolidation of functional activities.
- Approve the level at which authority to conduct market transactions reside (from City Manager down to transaction execution personnel).
- Assure prudent administrative procedures are established for trade execution, contract controls, credit controls, trading controls, portfolio risk measurement, settlement controls, regulatory compliance, and other risk management activities.

3.*4* *Internal Risk Management Committee (RMC)- Responsibilities and Duties*

The RMC is established by the City Manager as a forum for discussion of the City's risk at the staff level; this includes implementation and monitoring of compliance with the City's risk policy. The RMC executes its risk management responsibilities through a "watch dog" mechanism. While the RMC generally has no authority to act within its structure, it is required to inform appropriate functional authorities (e.g. Electric Utility Director, City Manager) regarding policy and procedure exceptions. Membership shall be comprised of the following individuals:

i. One delegate from the Finance Department
ii. One delegate from the City Attorney's office
iii. Three Assistant Electric Utility Directors
iv. The Electric Utility Director

The Electric Risk and Compliance Supervisor shall participate as a non-voting member of the meetings and serve as RMC Chairperson. Non-voting participants with various segregated risk management responsibilities shall participate in the meetings as determined by the committee members.

3.4.1 *The responsibilities of the RMC include:*

- Monitor risk management objectives, risk tolerances guidelines, and authority limits employed throughout the electric utility.
- Receive reports by the internal risk management function and independent risk management function on the City's compliance with its electric utility risk management policies.
- Recommend to the City Manager the proper organizational structure, separation or consolidation of functional risk management activities.
- Review proposed risk management strategies for strategic fit, risk exposure monitoring, and reporting and control requirements. The RMC monitors approved strategies for consistency with the City's approved business interests, risk management objectives, approved risk tolerance guidelines, and compliance with the electric utility risk management policies.
- Periodically review the City's risk management program (a detailed review at least once every two years) in light of changes in business practices, improved processes, the City's philosophy and strategy, or market changes; and recommend changes to ensure continued compliance with its established guidelines.
- Hold formal RMC meetings at least quarterly. Standing agenda items should include, but not be limited to, current market strategy, review of current price exposure position (per the Portfolio Model), review current exposure to transactions, control requirements/ enhancements, review of counterparty credit exposure, and trading authority violations.
- As necessary, escalate policy violations to the Risk Oversight Committee for further review.
- Review the infrastructure supporting risk management and ensure that it meets the requirements for risk oversight and compliance.

3.5 Internal Electric Risk and Compliance Supervisor – Responsibilities and Duties

The Electric Risk and Compliance Supervisor, is organizationally independent of functions whose activities initiate or directly participate in the commercial wholesale energy trading. Functional independence will be achieved by utilizing Agent and City staff deliverables through the RMC, specifically, the deal capture system, independent scheduling and dispatch, and the monthly portfolio plan. Business units or support functions will be required to provide this function with

reports or information required for risk assessment and analysis on a regular or periodic basis. The Electric Risk and Compliance Supervisor may delegate responsibilities below when these delegations maintain organizational independence. The Electric Risk and Compliance Supervisor oversees the Roseville internal risk management functions. The responsibilities of the Electric Risk and Compliance Supervisor include:

- Organize and conduct the RMC and ROC meetings.
- Ensure appropriate individuals are engaged in risk management discussions.
- Review the administration of risk management processes and procedures.
- Review, at least annually, the City's Risk Policies to ensure strategies, trading activity, risk tolerances and proposed changes are aligned.
- Engage the RMC and/or ROC in discussions regarding events or developments that could expose the City to potential losses.
- Develop, recommend, and administer risk management processes and procedures.
- Provide input on tools to assist in risk management.
- Review risk management activities, risk controls, and recommend modifications of controls to meet changing business needs.
- Review adequacy and accuracy of reports, and report any deficiencies to the RMC. Recommend actions to address deficiencies.
- Assess risks to the City in aggregate, by business unit, and by material business activities.
- Perform periodic internal audits of risk control processes and procedures to ensure that the City complies with its electric utility risk management policies.
- Report any violation of The City's risk policies.
- Review and approve changes to the risk management procedures, as appropriate, except those that require higher authority (Ex. City Manager) approval.
- Report regularly to the RMC, at a minimum, but not limited to:
    - Portfolio Model risk measure
    - Policy and procedural violations
    - Credit and contract risk exposures
    - Other key performance indicators that support effective energy risk management.
- Report to the RMC and ROC on the City's compliance with its electric utility risk management policies and risk management in accordance with the risk policies.
- Review and evaluate proposed activities and transactions to be executed by the City, and ensure adequate analysis (risk-reward) has been performed with proper assessment and management of any such risk consistent with risk management objectives and Council approved risk tolerance guidelines, and compliance with risk management policies, including the financial, legal, credit, and operational impact.
- Maintaining the City Risk Policies, ensuring the Risk Policies are current to the business and recommending needed changes to the RMC and ROC.

3.6 Power Management Services Agent (Agent)

– Roles and Responsibilities

The City may select an Agent to obtain selected energy risk management and transaction execution services (Otherwise these services devolve to the City). The Power Management Services Agent Middle Office reports to the Electric Risk and Compliance Supervisor. In accordance with the agreements between The City and its Agent, its Agent is authorized to and shall:

- Periodically provide the City with a controls audit report from an independent auditor.
- Perform short term load forecasting, dispatch optimization and execute energy transactions on behalf of the City in accordance with established delegations of authority and compliance requirements set forth by the City Council and/or City Manager.
- Administer counterparty contracts and manage credit in compliance with the City of Roseville Energy Credit Risk Policy.
- Provide the City with daily reports on individual transaction details, commodity positions, and counterparty credit positions for transactions executed by the Agent.
- Provide the City with periodic risk profile reports addressing the City's energy risk and compliance with its Energy Hedge Policy. Recommend hedging strategies within the time horizon specified by the City for assessment.
- Capture the City's transactions in its risk management systems.
- Monitor compliance of transactions with the City of Roseville Energy Trading Authority policy.
- Confirm transactions with the City's counterparties.
- Mark to Market forward trades for credit exposure purposes.
- Provide information to the City for the purpose of billing settlements and development of mid to long term hedging strategies.
- Prepare a monthly report to the City's Electric Risk and Compliance Supervisor detailing compliance with the City's Risk Policies, suitable for delivery to the RMC and ROC.
- Prepare a monthly policy violations report for the City's Electric Risk and Compliance Supervisor and City Attorney.

4. Scope of Business Activities Governed by this Policy

This policy is designed to be broad enough in scope to cover all risks inherent in operating a City owned electric utility. Much of the focus is on power supply cost and retail revenue risk; however, that focus is not to the exclusion of other risks that may materially affect City operations and its ability to provide competitively priced reliable power to its customers.

Roseville is subjected to risk inherent in the business environment in which it operates. Exposure to risks inherent in the energy markets could result in a multitude of diverse positive or negative consequences for Roseville. Commodity Price Risk, Volumetric risk, Operational Risk, Counterparty Risk and Regulatory and Environmental Risk are among the most critical and identifiable of the risks relevant to Roseville, as they pertain to the scope of this Policy.

4.1 Energy Risk Categories and Mitigations:

i. *Commodity Price Risk*: Roseville is exposed to risks from volatile natural gas and power market prices. These risks are mitigated by fixed price energy procurement requirements in the Energy Hedge Policy and the trading controls put in place by the Trading Authority Policy.

ii. *Volumetric Risk*: This is the risk of mismatch between load (demand) and resources (supply). This risk is especially important for load serving entities, like Roseville, and can result in either insufficient supply or exposure to volatile spot market prices. The price risk is mitigated by the Energy Hedge Policy which does not allow the City to be greatly over- or undersupplied. The risk of insufficient supply is also mitigated by capacity procurement practices which build in a reserve margin for extreme conditions.

iii. *Operational Risk*: This is the risk of loss from inadequate or failed internal processes, equipment, people and systems. These risks are mitigated through the development of risk management policies and procedures, prudent oversight and sufficient internal controls and procedures.

iv. *Counterparty Risk*: Counterparties sometimes fail to perform as contracts state due to defaults and bankruptcies. This risk is mitigated by the credit management practices in the Credit Risk Policy.

v. *Regulatory and Environmental Risk*: Changes in regulatory or legislative mandates may require the City to take actions that increase costs. Examples include the Renewable Portfolio Standard (RPS) and Green House Gas (GHG) programs. RPS and GHG risks are addressed by Roseville's GHG Cap and Trade Program and RPS Procurement Plan and Enforcement Plan. Other regulatory risks are addressed in a similar fashion as they arise.

5. Associated Guidelines, Controls, Policies and Procedures

Supporting policies and procedures are required as outlined below. Responsibility for their approval and modification requires the approval of the Council unless otherwise stated. Oversight and compliance shall be consistent with the governance section of this policy. Items not clearly defined in this policy require the approval of the Council, unless otherwise stated.

City of Roseville Energy Credit Risk Policy
City of Roseville Energy Trading Authority Policy
City of Roseville Energy Hedge Policy
Resolution 06-02 retaining ACES for power management services
Resolution 06-286 declaring ACES as agent for the purposes of risk policies
"ACES" – Alliance for Cooperative Energy Services Power Marketing

RESOLUTION NO. 21-084

REAFFIRMING THE ENERGY CREDIT RISK POLICY

WHEREAS, in 2005, the City adopted a comprehensive set of Energy Risk Management Policies to manage the inherent risks associated with operating an electric utility; and

WHEREAS, the Energy Credit Risk Polic*y* defines how credit limits are set and managed; and

WHEREAS, no changes have been made to the existing policy, and

WHEREAS, the City Council has reviewed the Energy Credit Risk Policy;

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Roseville that the 3/3/21 Energy Credit Risk Policy for Roseville Electric is hereby reaffirmed.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 20_, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

CITY OF ROSEVILLE CALIFORNIA Electric Utility

Energy Credit Risk Policy

03/03/2021

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ENERGY CREDIT RISK POLICY

3/3/2021

1. Policy Overview

Managing credit exposure is an important component in overall risk management. Credit risk is defined as the potential loss the City of Roseville ("City") could incur as a result of non-payment or non-performance by a counterparty. The amount of credit risk in a trading portfolio can be measured, monitored and mitigated in order to maintain the level of credit risk authorized by the City. Forms of credit risk are:

- ***Payment Risk*** which is the cost exposure to the value of accounts receivable and accrued receivables (delivered but not invoiced).
- ***Performance (mark to market) Risk*** which is the cost exposure of replacing the contractual obligations of open contracts in the relevant market place.

As part of risk management, credit risk management is a control and oversight activity. It must remain independent from the trading activity, but work closely with the business to ensure that credit policies are implemented and maintained.

The objective of credit risk management is to preserve the capital and liquidity of the City by establishing procedures that define the determinants for granting open lines of credit and managing counterparty credit exposure. The intent of this policy is to reduce counterparty default risk to its lowest acceptable level.

2. Extension of Credit to Counterparties

The credit analysis of each counterparty is to be performed by the Credit Department of Power Management Services Agent ("Agent") and submitted with recommendations regarding credit limits to the Electric Risk Management Administrator for approval. The point of contact for the City is the Electric Risk Management Administrator.

The City will manage its counterparty credit risk primarily through diversification, execution with qualified counterparties, the use of standardized trading contracts (EEI, WSPP, NAESB, ISDA), credit and collateral agreements and strict adherence to credit limits. The City will attempt to diversify its portfolio by entering into transactions with numerous counterparties. The City will maintain an approved counterparty list.

In negotiating agreements and ongoing contractual terms with counterparties, the Agent as well as the City shall strive to include prudent industry practice credit provisions.

These shall include, but are not limited /to, explicit netting agreements and provisions granting the Agent the right to call for and receive collateral in the event the counterparty exceeds its approved collateral threshold or suffers a material adverse credit event.

The creditworthiness of each counterparty must be determined through a fundamental analysis of the counterparty's financial and operational condition.

The credit analysis incorporates two basic components, a business profile (qualitative analysis) and a financial profile (quantitative analysis). A credit limit and a term limit are established as a result of this due diligence process.

3. Credit Analysis – Determination of the Amount of an Unsecured Credit Limit

Counterparties may qualify for the extension of an unsecured credit limit from the City by providing audited financial statements that indicate sufficient financial strength to allow for the extension of an unsecured credit limit and at least one of the following:

3.1

i. An issuer rating or a long term unsecured debt rating of at least BB- from Standard & Poor's or Ba3 from Moody's for transactions up to one week forward.

ii. An issuer rating or a long term unsecured debt rating of at least BBB- from Standard & Poor's or Baa3 from Moody's for transactions beyond one week forward.

iii. In the event that the counterparty does not have a debt rating, the Agent may use their Proprietary Credit Scoring Model to determine an internal rating. An internal rating of at least BB- is required for transactions up to one week forward. An internal rating of at least BBB- is required for transactions beyond one week forward.

iv. At any time, and regardless of credit ratings, the City Council may authorize transactions with counterparties determined to be beneficial to The City.

3.2 Trade and banking references that indicate the company has sufficient history and adequate credit facilities to allow for the issuance of an unsecured credit limit.

The Agent will use the unsecured ratings of Standard & Poor's or Moody's when available. For unrated counterparties two internal Credit Scoring Models should be utilized.

- One credit scoring model is designed for public counterparties. This includes generation and transmission cooperatives, distribution cooperatives, municipalities, government agencies, public power agencies, and other not-for-profit counterparties.

- The second credit scoring model is used for assigning a rating to non-public counterparties or for profit counterparties.

The purpose of two separate credit scoring models is to provide recognition of two distinct business models and the drivers that meaningfully distinguish and measure the financial and credit risks of each.

Once a counterparty has been determined to be creditworthy, an unsecured credit limit may be recommended by the Agent's credit risk management department up to 5% of tangible net worth, or, in the case of governmental agencies or non-profit wholesale power suppliers, up to 10% of their average free cash flow for the prior two years. In order to help the City make its unsecured credit limit decision, the Agent will provide both the unsecured credit limit recommendation, and an Unconstrained Estimate of Creditworthiness (5% of tangible net worth or, if requested by The City, 10% of average free cash flow) when the recommendation is made.

4. Approval Authority

The City has discretion to set a counterparty's unsecured credit limit based on the following guidelines. The maximum unsecured credit limit is limited by two factors

4.1 The lesser of the counterparties S&P and Moody's ratings, or if unrated, their score under the Agent's Proprietary Credit Scoring Model, used to define a fixed maximum unsecured credit limit.

4.2 This same criteria is used to define a percentage of their Unconstrained Estimate of Creditworthiness ("UEW")

The table below defines how the unsecured credit limit may be set

Credit Strength			**Maximum Unsecured Credit Limit**	
Rated		**Unrated**	(Lesser of the Two Limits,	
Lesser of Both Ratings			Minimum of $0)	
S&P	*Moodys*	*Agent Internal Rating*	*Fixed Value*	*% of UEW*
>= A-	>= A3	>= A-	$25,000,000	65%
BBB+	Baa1	BBB+	$15,000,000	50%
BBB	Baa2	BBB	$10,000,000	50%
BBB-	Baa3	BBB-	$2,500,000	35%
<BBB-	<Baa3	<BBB-	$0	35%

Levels of authorization at City's discretion:

City's Electric Risk Management Administrator: Up to $10,000,000
City's Electric Utility Director: Approve up to the amount in the above table

City Council: Amounts exceeding the above table

The City Council may approve collateral threshold tables within master agreements in excess of the amounts in the above table. This action establishes a maximum unsecured credit limit for the counterparty based on their credit rating.

Once an unsecured credit limit has been established by either the City's Electric Utility Director or the City Council, the City's Electric Risk Management Administrator may issue a working credit limit at any level up to the amount established.

5. Credit Enhancements

Counterparties that do not qualify for an extension of an unsecured credit limit must post at least one of the following types of security prior to the execution of a transaction:

5.1 **Corporate Guarantee:** Counterparties may provide a guarantee from a third party that meets the creditworthiness requirements noted above. If a counterparty provides such a guarantee, the amount of any unsecured credit limit will be determined through an analysis of the financial statements of the guarantor. All guarantees must be in a format that is acceptable to the City.

5.2 **Letter of Credit:** Counterparties may provide an irrevocable letter of credit for an amount sufficient to cover the related transactions. Letters of credit must be issued by a U.S. bank or a financial institution or a U.S. branch of a foreign bank with a rating of at least A from Standard & Poor's or A2 from Moody's.

5.3 **Prepayment (margin):** Counterparties may provide a prepayment or cash margin deposit that is sufficient to cover the related transactions.6.

6. Counterparty Credit

Establishing limits and monitoring credit information should be done separately from energy transaction activities in order to ensure appropriate segregation of duties within the City.

6.1 Credit Limit: is defined as the sum of:

i. the approved amount of the unsecured credit limit plus
ii. the approved guaranteed amount of any guarantee(s) held plus
iii. the value of any letter of credit or cash collateral held plus
iv. the approved value of any other type of collateral held

6.2 Available Credit: is the dollar amount remaining open on the working credit limit approved for a counterparty.

6.3 Exposure: is the total amount of a counterparty's credit exposure defined as the sum of:

i. The dollar value of all amounts invoiced and unpaid.
ii. The dollar amount of all deliveries that have not yet been invoiced.
iii. The marked to market value of all forward trades.
iv. Less all offsetting amounts that are supported by legally binding netting agreements or EEI Agreements.

7. Counterparty Credit Reviews

New counterparties are to be evaluated for creditworthiness by the Agent's credit risk management department prior to any transactions. Unsecured credit limits up to $10,000,000 may be recommended by the Director of Credit of the Agent.

Existing counterparties are to be formally reviewed and documented by the Agent's credit risk management department on a yearly basis at a minimum. More frequent reviews may be necessary, at the discretion of the Agent credit risk management department or the request of the City.

Counterparties sharing a common parent or affiliation will be assigned to a group. A credit limit will be assigned to the group with individual credit limits being assigned to each counterparty within the group. The aggregate credit limit of these counterparties will not exceed the established group limit.

8. Credit File Documentation and Retention

Credit analysis, approvals and denials must be documented in writing and all counterparty information shall be contained in formal credit files that are maintained at the offices of the Agent. These credit files are expected to contain audited financial statements and a credit review analysis report with a credit limit

recommendation signed by the Director of Credit of the Agent. These files should also contain as much of the following as possible:

- At least the two most recent years of audited financial statement information, if counterparty does not have publically available financial information. (i.e. counterparties that do not file with the SEC)

- Rating information as published by Standard & Poor's or Moody's.

- General industry information, if applicable.

9. Credit Controls and Trading Restrictions

An approved list of trading counterparties noting working credit limits, available credit, and tenor restrictions is distributed each business day to all traders either via e-mail or through the Agent website. Under no circumstances should a trade be executed with a counterparty that does not appear on the approved list of trading counterparties. No credit sleeving transactions shall be executed.

The Director of Credit of the Agent is to provide general oversight over the credit function, reporting any credit issues to the Electric Risk Management Administrator who will ensure dissemination to the Risk Management Committee and the Risk Oversight Committee of the City.

Entering into unsecured transactions with a counterparty that will cause the total credit exposure to that counterparty to exceed the available credit will be allowed only if new working credit limits are set in writing. No new transactions are to be entered into with counterparties that have exceeded their working credit limits except as they may mitigate (offset) existing exposure, contingent upon a netting agreement. Any new unsecured, unauthorized transactions entered into with a counterparty that has a credit exposure in excess of its assigned working credit limit will subject the trader to disciplinary action.

All violations of this policy will be reported to the Risk Management Committee of the Agent. The Agent will also report such violations to the City Attorney and the City's Electric Risk Management Administrator, who will be responsible for dissemination to the City's Risk Management Committee in a timely manner. If warranted, the City's Risk Management Committee could escalate the issue to the City's Risk Oversight Committee to develop remediation procedures and/or initiate disciplinary action.

10. Receivables/Payables Management

Unless otherwise instructed by the City, the Agent will consider all receivables/payables to be settled on the payment due date of the executed transaction.

As is generally accepted accounting practice, if necessary, a reserve will be established to allow for potential bad debts. This reserve will be determined jointly by the Director of Credit at Agent and the Finance Director of the City.

11. Collateral Management

The Agent is authorized to give credit information on the City to counterparties in order to establish credit approvals. The Agent will coordinate with the Finance Department of the City regarding the issuance or receipt of collateral to support a transaction. Any collateral that is received by the Agent for the City will be held by the City.

12. Related Policies

i. Supporting policies and procedures are required as outlined below:

City of Roseville Electric Utility Risk Management Policy
City of Roseville Energy Trading Authority Policy
City of Roseville Energy Hedge Policy
Resolution 06-02 retaining APM for power management services
Resolution 06-286 declaring APM as agent for the purposes of risk policies
“ACES” – Alliance for Cooperative Energy Services Power Marketing

Amendments to the Credit Risk Policy of the City:

From time to time it may be necessary to make changes to the Credit Risk Policy of the City. Amendments to this policy will be made by City Council action.

RESOLUTION NO. 21-085

APPROVING AN UPDATED ENERGY TRADING AUTHORITY POLICY

WHEREAS, in 2005, the City adopted a comprehensive set of Energy Risk Management Policies to manage the inherent risks associated with operating an electric utility.

WHEREAS, the Energy Trading Authority Policy update includes:

- Clarification of Environmental Compliance Instruments
- Update California Independent System Operator (CAISO) trading authority matrix to reflect changes due to Roseville joining the Energy Imbalance Market (EIM)
- Deletion of Virtual Transactions (that have not been utilized in the past and are not planned to be used in the future)
- Increase generation awards and export from the CAISO to accommodate the possibility of larger trades in the EIM

WHEREAS, the City Council has reviewed the updated Energy Trading Authority Policy;

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Roseville that the 3/3/21 Energy Trading Authority Policy for Roseville Electric is hereby approved.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 20_, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

CITY OF ROSEVILLE CALIFORNIA Electric Utility

Energy Trading Authority Policy

03/03/2021

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ENERGY TRADING AUTHORITY POLICY

~~2/19/2020~~

3/03/2021

1. Purpose

The Trading Authority Policy ("Policy") is the framework containing the governing rules and requirements associated with the City's energy related transactions. The Policy also specifies the list of authorities or powers granted by the City Council of the City of Roseville ("City") to the City Manager and key personnel that can execute energy related transactions.

1.1 The Trading Authority Policy includes directives that address the following:

i. The City's intention regarding hedging and speculating;
ii. Who has authority to execute transactions;
iii. The commodities and products that can be transacted;
iv. The authorized lead-time and term for each transaction;
v. Counterparty contract and credit requirements;
vi. Other relevant factors associated with due diligence in authorizing transactions to be executed.

2. Execution Authority

The City Council granted the City Manager the ability to delegate his/her authority to the Electric Utility Director and Power Management Services Agent ("Agent") at his/her discretion. The City Manager may also choose to amend the extent of authority granted to Utility Director and Agent at his/hers discretion as long as it does not surpass the limit of his/her own authority.

The Transaction Authority Matrix outlines the Execution Authority Limits~~. The Transaction Authority Matrix~~ and defines the trading limits applicable to purchases and sales for each authority level.

All transactions must be executed via a recorded communication method. Recorded communications must be maintained and controlled by personnel who are independent of the trading function. Any request by the City for the Agents to execute on its behalf a transaction beyond the Agent's authority must by documented in accordance with this Policy.

3. Requirements

3.1 Credit Requirements –

i. Roseville Electric shall not intentionally exceed the credit limit associated with each counterparty. Entering into unsecured transactions with a counterparty that has total credit exposure greater than or equal to its open line of credit and the total of any security currently provided, will be managed according

to the City of Roseville Energy Credit Policy. *(Note: Since credit exposures are a function of not only positions traded, but also a function of market pricing and volatility, credit exposure to a counterparty may unintentionally exceed a credit limit purely due to changes in the forward market).*

3.2 Contract ~~Requirement –~~ Requirements –

~~iii.~~i. Transactions with counterparties shall only be permitted if City has an active, valid, and executed agreement enabling such trading activity with that counterparty.

~~iii.~~ii. Long form confirmations may be used as a valid agreement in lieu of a permanent agreement, when necessary, if approved by the Roseville City Council.

4. Non-Permissible Transactions

4.1 Sleeving

i. No sleeving transactions for credit or contract purposes shall be executed~~, except as allowed under the Credit Policy Section 10~~.
Note: Credit Sleeving is an arrangement where a more financially reputable entity acts as middleman for a smaller, undercapitalized entity in the purchase or sale of power. Contract sleeving is an arrangement where an entity acts as a middleman between two entities to bridge a contract gap that exists between the two entities.

4.2 Speculative

~~iv.~~i. The primary purpose of trading activities shall be for hedging and portfolio optimization (including participation in arbitrage opportunities). However, the Energy Hedge Policy does allow the City to take advantage of market opportunities through transactions that could in the strictest sense be labeled speculation if those transactions expose the City to additional risk. The Energy Hedge Policy defines what is permissible in this regard.

5. Standard Transactions

Types of transactions that are executed by Roseville or its Agent must be consistent with City Council resolutions, all applicable laws, and rules and regulations. These may include, but not limited to the following:

5.1 Enabling and Master Agreements

5.2 Purchase and ~~sales~~Sales of ~~energy products~~Energy Products such as:

5.2.1 Electric Power and Transmission (Financial and Physical Contracts)

i. Purchase energy or capacity to serve load above what is expected to be generated or purchased from existing resources, or if energy or capacity purchases are more economical than existing resources.

ii. Sell existing capacity or energy that is expected to be in excess of the City's requirements.
iii. Execute financial transactions, including CAISO virtual transactions, to fix the price of variable commodity purchases or sales.
iv. Purchase from the CAISO markets to meet the City's load requirements or sell into the CAISO markets energy in excess of the City's load requirements.
v. Purchase call options to limit price exposure on short power positions.
vi. Sell call options or tolling agreements on capacity that is expected to be in excess of the City's resource requirements.
vii. Purchase a "floor" to limit price exposure on long power positions.
viii. Sell a "floor" to offset a portion of the price of the purchase of call
ix. Execute physical spread transactions at authorized locations.
x. Transmission purchases are to be as firm as possible to reasonably assure the delivery of the commodity at the firmness transacted.

Notes:

- Physical Contracts are contracts that require "sellers" to produce and deliver the commodity to the "buyers". Financial Contracts do not involve a direct physical delivery of a commodity; instead they use cash settlements, the buyer either gains or lose credit against the seller based on the difference between the agreed "entry" price and the "final" settlement price.

5.2.2 Natural Gas and Transportation (Financial and Physical Contracts)

i. Purchase natural gas and transportation that is expected to be needed to fuel the Roseville facilities. Enter into imbalance and storage agreements if deemed beneficial to fuel Roseville facilities.
ii. Sell surplus gas, or release transportation capacity, if more economic energy is available for purchase.
iii. Purchase call options to limit price exposure on short natural gas positions.
iv. Sell call options or tolling agreements on capacity that is expected to be in excess of the City's resource requirements.
v. Purchase a "floor" to limit price exposure on long natural positions.
vi. Sell a "floor" to offset a portion of the price of the purchase of call options listed in item (5) above.
vii. Transportation purchases are to be as firm as possible to reasonably assure the delivery of the commodity at the firmness transacted.

~~*iii.*~~*i. Environmental Compliance Instruments*

~~i.~~viii. Purchase environmental compliance instruments, including but not limited to LCFS credits, GHG allowances, GHG offsets and Federal SO2 and NOx emission allowances expected to be necessary to optimize the operation of the City's resource portfolio.
~~ii.~~ix. Sell environmental compliance instruments, including- but not limited to- Carbon Free Attributes, LCFS credits, GHG allowances, GHG offsets,

and Federal SO2 and NOx Emission Allowances deemed unnecessary for efficient operation of the City's resource portfolio.

~~5.2.4~~5.2.3 REC's and Energy Attributes

i. Purchase or sell renewable energy credits and/or energy attributes as required to meet compliance requirements.

~~5.2.5~~5.2.4 Congestion Revenue Rights

i. Execute congestion revenue rights or physical transmission transactions, including natural gas transportation to manage congestion price risk.

5.2.5 Ancillary Services

i. Purchase bilateral ancillary services to meet the City's load requirements or sell bilateral ancillary services in excess of the City's load requirements.
ii. Purchase CAISO Ancillary Services (Spinning Reserves, Non-Spinning Reserves, and Regulation) to meet the City's load requirements or sell CAISO Ancillary Services in excess of the City's load requirements.

~~5.3.0~~5.2.6 Movement of energy to and from:

~~.~~i. CAISO (NP15 and SP15), BANC (Balancing Area of Northern California), Mid-Columbia, John Day, Big Eddy and COB

~~c.~~a. Execute physical spread transactions at authorized locations.
~~c.~~b. For financial electricity transactions, authorized locations include CAISO NP 15 EZ Gen Hub, CAISO SP 15 EZ Gen Hub, the Balancing Area of Northern California (BANC), Mid-Columbia, John Day, Big Eddy, and COB. These same locations are authorized for physical electricity transactions to the extent delivery can be reasonably expected.
~~c.~~c. Additional physical and financial locations include owned-generation locations within the CAISO, and CAISO inter-ties for import and export transactions.

~~5.4.0~~5.2.7 Movement of Natural Gas to and from:

~~.~~i. PG&E CityGate, Malin, Topock, Henry Hub, and SoCal Border

~~.~~a. Execute physical spread transactions at authorized locations.
~~.~~b. For Natural Gas physical and financial transactions, authorized locations include PG&E CityGate, Malin, Topock and the SoCal Border.
~~.~~c. Henry Hub is authorized for financial Natural Gas hedging, as well as Henry Hub to PG&E CityGate Basis.

5.2.8 ***Energy Exchange:***

Energy exchange transaction is defined as any transaction in which similar quantities of energy are exchanged across locations or commodities to lower cost, or to reduce risk or to increase reliability.

6. Non-Standard Transactions

City staff may enter non-standard Transactions with City Council approval. Non-standard Transactions fall outside the scope of the defined "Standard" Transactions; these may include new opportunities that involve the following:

~~vi.~~i. New commodities
~~vi.~~ii. Location outside defined trading boundaries
~~vi.~~iii. Pilot program

6.1 ***The approval process for non-standard transactions are defined by the following:***

i. Transaction Proposal ~~–~~-

The proposal is the responsibility of the person or business group proposing the transaction. The proposal should address the business need, risks, trading controls, valuation methodology, accounting methodology, operations workflow/methodology, and assessment of legal and regulatory issues.

ii. City Council Review ~~–~~-

The Risk Oversight Committee ("ROC") will perform a review on behalf of, and make a recommendation to, the City Council on the benefits and risks of the proposed transaction. The City Council will assess the proposed transaction and make a determination whether to add the proposed transaction to the approved list.

~~*0.*~~*7.* ***Aggregated Dollar Authority Limits***

The aggregated dollar authority limit specifies the corresponding maximum dollars each authority level is allowed to authorize for forward transactions for Electric Power, Transmission, Natural Gas, Transportation, Environmental Compliance Instruments, RECs and Energy Attributes, Congestive Revenue Rights, Ancillary Services, and Energy Exchanges. See Authority Matrix for details.

7.1 Authority Matrix:

Title	Source of Authority	Products							Term	Delivery Lead Time	Termination Limits	Aggregate Dollar Authority Limit	
		Power & Transmission	Nat. Gas & Transpor-tation	Environmental Compliance Instruments	RECs and Energy Attributes	Congestions Revenue Rights	Ancillary Services	Energy Exchan ges				Net	Gross
City Council	*State Law, City Charter*	*X*	*X*	*X*	*X*	*X*	*X*	*X*	*No Limit*	*No Limit*	*No Limit*	*No Limit*	*No Limit*
City Manager	*City Council*	*X*	*X*	*X*	*X*	*X*	*X*	*X*	*≤ 6 Years*	*≤ 6 Years*	*≤ 6 Years*	*$500,000,000*	*$700,000,000*
Utility Director	*City Council*							*X*	*≤ 3 Month*	*≤6 Months*	*≤ 1 Year*	*$5,000,000*	*$5,000,000*
Agent's CEO	*City Council*	*X*	*X*			*X*	*X*	*X*	*≤ 1 Month*	*≤ 6 Weeks*	*≤ 2 Months*	*$23,000,000*	*$31,000,000*

i. Matrix Explanations:

- The gross aggregate limits represent the maximum allowable total dollars based on the absolute values of all forward purchases and sales.
- The net aggregate limits represent the maximum allowable total dollars between all forward purchases and sales.
- Delivery Lead Time represents the time period from the date a trade is executed to the start of the trade.
- Unless otherwise stated, the dollar limits are based upon electric power only and do not include transmission, however, the Term, Lead Time, and Quantity limits do apply to transmission.

Termination Limit refers to the last day of the term from the execution day of the contract.

7.2 Authority Matrix for Agent's Short-Term Traders:

Product	Source of Authority	Per Transaction Limits					Per Trading Day Limits (Up to)		Aggregated Limits (Up to)		
		Term	Delivery Lead Time	Termination Limits	Volume Size	Total Cost ($)	Volume Size	Total Cost ($)	Total Volume	Net Total ($)	Gross Total ($)
Power and Transmission	*City Council (Can be Modified by City Manager)*	*> 1 Week ≤ 1 Month*	*≤ 6 Weeks*	*≤ 2 Months*	*8,400 MWh per Week*	*$1,050,000 per Week*	*111,600 MWh*	*$14,000,000*	*446,400 MWh*	*$~~22,300~~17,000,000*	*$~~31~~21,000,000*
		> 1 Day ≤ 1 Week			*2,400 MWh per Day*	*$600,000 per Day*	*33,600 MWh*	*$8,400,000*			
		≤ 1 Day			*4,800 MWh per Day*	*No Max. (Keep Lights On)*	*No Max. (Keep Lights On)*	*No Max. (Keep Lights On)*			
Natural Gas and Transportation		*≤ 1 Month*	*≤ 6 Weeks*	*≤ 2 Months*	*RE will authorize the purchase of all financial natural gas balancing transactions before execution by APM. APM may manage and execute the sale of financial natural gas balancing transactions as RE's exposure to natural gas prices decreases.*						
		> 1 Day ≤ 1 Week			*40,000 MMBTU/D*	*X*	*280,000 MMBTU*	*$4,200,000*	*400,000 MMBTU*	*$4,000,000*	*$6,000,000*
		≤ 1 Day	*≤ 1 Week*	*≤ 2 Weeks*	*50,000 MMBTU/D*	*X*	*150,000 MMBTU*	*$3,000,000*	*150,000 MMBTU*	*$2,000,000*	*$4,000,000*

i. **Matrix Explanations:**

a. Power and Transmission Transactions:

- Transaction Limits represent the MWh volume per period labeled in the table and total dollars per period (as labeled above) for each transaction executed.
- Per Trading Day Limits represent the total MWH volume and dollars for all transactions executed in a trading day.
- The transaction term limit for the Agent of ≤ 1 Day covers individual daily transactions as well as executed transactions for more than one day to accommodate weekends or holidays, which could have terms up to 3 days for a normal weekend and up to 5 days for a holiday weekend.
- The gross aggregate limits represent the maximum allowable total dollars based on the absolute values of all forward purchases and sales.
- The net aggregate limits represent the maximum allowable total dollars between all forward purchases and sales.

- Delivery Lead Time represents the time period from the date execution to the first day of the term of the trade.
- Unless otherwise stated, the dollar limits are based upon electric power only and do not include transmission, however, the Term, Lead Time, and Quantity limits do apply to transmission.
- Termination Limit refers to the time period between the date of execution and the last day of the term of the trade.

b. Natural Gas and Transportation Transactions:

- Transaction Limits represent the daily MMBtu volume for each transaction executed.
- Per Trading Day Limits represent the total MMBtu volume and dollars for all transactions executed in a trading day.
- The transaction term limit for the Agent of ≤ 1 Day covers individual daily transactions as well as executed transactions for more than one day to accommodate weekends or holidays, which could have terms up to 3 days for a normal weekend and up to 5 days for a holiday weekend.
- The gross aggregate limits represent the maximum allowable total dollars based on the absolute values of all forward purchases and sales.
- The net aggregate limits represent the maximum allowable total dollars between all forward purchases and sales.
- Lead time represents the time period from the date a trade is executed to the start of the trade.
- One monthly NYMEX contract contains 10,000 MMBtu.
- The dollar limits are based upon commodity gas only and do not include transportation, however, the Term, Lead Time, and Quantity limits do apply to transportation.
- Termination limit refers to the last day of the term from the execution day of the contract.

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7.3 CAISO Trading Authority Matrix for Agent's Short-Term Traders:

Products	Delivery Lead Time	Term	MW Size	$/MWh
Generation Awards	*As Required by CAISO*	*1 Operating Day*	~~*50*~~*230*	*CAISO Price Cap*
Demand Awards	*None*			
Inter SC Transactions (IST)	*As Required by CAISO*	*1 Operating Day*	*200*	*CAISO Price Cap*
CAISO Imports			~~*200*~~*230*	
CAISO Exports			~~*350*~~*375*	
~~*Virtual Transaction Awards*~~			~~*160*~~	
Ancillary Services			*200*	
Congestion Revenue Rights	*None*			

i. Matrix Explanations:

- Generation Award Limits are per generating unit within the CAISO.
- Demand Award Limits are per each load location.
- CAISO Imports and Exports are by location and are each monitored separately.
- Inter SC Transactions (IST) are each monitored separately.

- ~~Virtual Transaction (Convergence Bidding) Award Limits are per each bid/offer nodal point.~~
- Limits for Ancillary Service Awards apply to the Regulation and Operating (Spinning and Non- Spinning) Reserve Market. Limits for ancillary services transacted bilaterally are addressed in the power authority matrix.
- Only Congestion Revenue Rights that are bought and sold via the long-term, annual and monthly auctions or in the secondary market are monitored per the limits above. This excludes congestion revenue rights allocated in the Annual Allocation.

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- Term applies to either the calendar year or planning year.

~~0.~~8. Acknowledgements

8.1 *Violations and Sanctions –*

~~viii.~~i. Violations of this Policy must not occur. Should it be deemed that violations did occur due to the actions of City employees, the Agent will notify the City's Electric Risk and Compliance Supervisor and the City Attorney of such violations. Those individuals will have the responsibility to bring the violations to the Risk Management Committee for discussion. If warranted, the Risk Management Committee may escalate the issue to the Risk Oversight Committee to develop remediation procedures.

8.2 Policy Effective –

~~viii.~~ii. This Policy is in effect upon the City Council's approval and shall remain in effect until a replacement policy has been approved by the City Council superseding this Policy.

9. ~~–~~*Responsibility*

It shall be the responsibility of the City Council, through the duly appointed Risk Oversight Committee, Risk Management Committee and the City Manager to assure compliance with this policy.

~~0.~~10. ~~–~~*Related Policies*

10.1 *Supporting policies and procedures are required as outlined below~~.~~:*

i. City of Roseville Energy Credit Risk Policy
ii. City of Roseville Electric Utility Risk Management Policy
iii. City of Roseville Energy Risk Identification Policy and Hedge Plan
iv. Resolution 17-368 declaring ACES for power management services
v. Resolution 16-161 declaring ACES as agent for the purposes of risk policies.

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RESOLUTION NO. 21-086

APPROVING AN UPDATED ENERGY HEDGE POLICY

WHEREAS, in 2005, the City adopted a comprehensive set of Energy Risk Management Policies to manage the inherent risks associated with operating an electric utility; and

WHEREAS, the Energy Hedge Policy update includes clarification of policy requirements and updates to examples of policy requirements including a new graphical representation; and

WHEREAS, the City Council has reviewed the updated Energy Hedge Policy;

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Roseville that the 3/3/21 Energy Hedge Policy for Roseville Electric is hereby approved.

PASSED AND ADOPTED by the Council of the City ofRoseville this____day of _____, 20_, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

~~ENERGY HEDGE POLICY~~
~~2/17/2016~~

Energy Hedge Policy

03/03/2021

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1. Summary

The City of Roseville ("City") Hedge Policy outlines the energy hedging policy (natural gas and electricity) that will guide disciplined hedging of forward energy resources. The hedge policy is designed to reduce energy rate volatility and to maintain rates within reasonable tolerances. The primary purpose of this policy is to identify specific guidelines and criteria for procuring projected energy needs. These procurement criteria are defined for quarters, fiscal years, and calendar years as appropriate in the different parts of the policy.

The policy largely employs a price averaging strategy of declining percentage of energy supply positions held over forward time periods. This strategy protects the City from potential adverse impacts that could result from either significant energy price increases or decreases. The strategy also maintains some elements of procurement to remain flexible. For example, during times of extremely attractive market conditions, this policy allows for increasing the amount of forward energy hedged above the stated ranges with the concurrence of the City's Risk Oversight Committee ("ROC"). A key component of the policy is a monthly report for the Risk Management Committee and a quarterly report to the City Council and ROC, which is outlined herein.

~~Although this document is primarily concerned with managing energy costs and risks, capacity and congestion risk will be addressed as they are realized, based on similar principles in this document. Appendix B includes a more comprehensive review of the objectives of this policy.~~

~~1.~~2. Hedging Policy Criteria

2.1 Fixed Price Energy Policy - Volumetric and Lead Time Criteria for Energy Hedging

~~1.1.1~~2.1.1 Rolling Monthly Hedge Ranges

One ~~criteria~~criterion of this policy is to have energy procured within defined volumetric ranges during the following rolling monthly and quarterly timeframes. These ranges identify the percentage of City's projected total energy needs that will be procured with fixed price energy over a given time period. For use within this policy, energy needs are considered hedged or procured to the extent that the projected need is met by~~; i)~~ :

i. Authorized Transactions, as defined in the Roseville Trading Authority Policy~~,~~

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or ~~ii)~~

~~i.~~ii. Other transactions as Authorized by the City Council, using the process outlined in the Roseville Trading Authority Policy. Options with out of the money strike prices may be used to hedge forward volumes, provided that they do not account for more than 15% of the projected energy needs in any given month, and they are not more than 50% out of the money at the time of the transaction.

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***2.1.2 The City will hedge projected energy needs based on the following criteria*:**

Lead Time	Months 1 to 12	Quarters 5 to 8	Quarters 9 to 12
Hedging Frequency	Monthly	Quarterly	Quarterly
Range (%)	90-110	70-100	45-80

~~Remediation Period on Violation: 45 Days~~

~~The City will hedge projected energy needs based on the following criteria in the table above:~~

i. Lead Time: ~~The~~This is the amount of time ~~form~~from the current period (including the current period~~, May~~). It may be defined in ~~Months~~months or ~~Quarters~~quarters.

~~i.~~*ii. Hedging Frequency*: This is the frequency that the hedging period changes. This is to prevent a situation where ~~you would have to hedge month~~Month 36 (the last month of ~~quarter~~Quarter 12) would have to be hedged at a time ~~where~~when monthly purchases are very illiquid. It also defines the purchases of hedges, indicating that a one-month hedge could be purchased for each month between Month 1 and Month 12, whereas a whole quarter hedge would be purchased for a period starting Quarter 5. In case of a conflict, monthly frequency has precedence over quarterly.

~~i.~~*iii.Range:* This is the~~ range~~ lower and upper bounds of overall energy needs that will be required to be covered with fixed price energy~~.~~, and/or up to 15% with call options. If the percentage of overall energy compared to the expected load for the total lead time is within the bounds, then Roseville is in compliance.

iv.Remediation Period on Violation is 45 Days, meaning the City has 45 days from the date of the violation notice to get back in compliance with the hedging policy.

Deviations that exceed the range will require approval of the ROC and/or City Council, and will be part of the regular reporting to the City Council.

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Because the models being used to determine official compliance with this policy are being run by an external entity, the City's first warning of being out of compliance with this policy may occur when a report is delivered showing it out of compliance. As such, the City will have 45 days to remedy the out of compliance situation before it is deemed a violation.

2.1.3 Hedge Timing and Volumetric Minimums

In meeting the overall volumetric criteria, the City will have the following minimum percentages of energy hedged no later than the lead time as identified below~~.~~:

Time Frame	Months	Quarters	
Lead time	1-12	5-8	9-12
Minimum (%)	70	50	30

For example, on ~~November 30, 2011, The~~January 1, 2021, the City will have a minimum of 70% of its projected energy needs for each of the following 12 months, ~~Dec 2011~~January 2021 through ~~Nov 2012~~December 2021 procured. For Quarter 5, including January, February, and ~~for the months Oct, Nov, and Dec 2012~~March 2022, a minimum of ~~60~~50% of the total projected energy ~~should~~shall be procured~~. Of course this~~, whereas minimum thresholds for individual months are not required. This minimum amount can be hedged well before that date based on the ranges in the prior section. As with the Volumetric Lead Time Criteria, a time frame in months takes precedence over a time in quarters.

~~Time Frame~~	~~Months~~	~~Quarters~~	
~~Lead time~~	~~1-12~~	~~5-8~~	~~9-12~~
~~Minimum (%)~~	~~70~~	~~50~~	~~30~~

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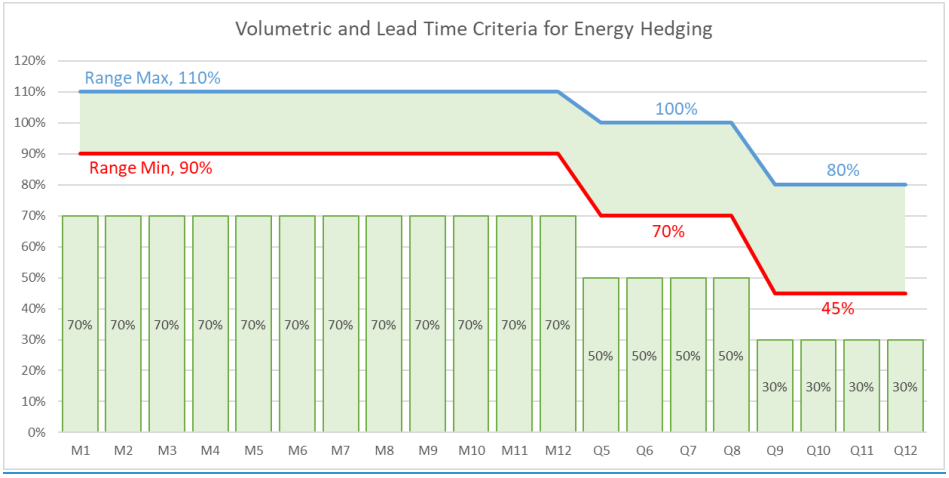
The City will maintain hedges at the minimum volumetric level for the specified lead times. Any deviation from the monthly minimum volume for the specified lead time will require ROC and/or City Council approval and will be reported to the City Council. It would still be considered compliant if one month in Month 1 through Month 12 was between 70% and 90%, on condition that the average of Month 1 through Month 12 was between 90% and 110%.

The following chart illustrates the volumetric and lead time criteria for energy hedging.



2.2 Power Supply Cost Risk Policy

The Power Supply Cost Risk Policy will measure the effect of several variables on the cost to supply power to the City. ~~-~~These variables are:

~~ii.~~ i. Hydro variability (more or less than expected water to run hydroelectric power plants).

ii. Market price variability in the electric, natural gas, and the derived marginal heat rate market.

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~~ii.~~iii. Generating unit outages.

~~ii.~~iv. Appropriate correlations between all of the above variables.

This policy sets a goal to develop a portfolio to limit the effects of the above variables on portfolio costs. These goals are expressed as a percent of total power supply cost. The goals for this 36 Month rolling policy are outlined in the table below:

Time Horizon	0 to 12 Months	13 to 24 Months	25 to 36 Months
Maximum % Cost Exposure (% above Baseline Cost)	7.5%	15%	30%

Remediation Period on Violation: 45 Days

In the table above, there are several terms that need further explanation.

i. Time Horizon: This is the aggregate time period over which compliance with the policy is to be measured. For example, in the first rolling 12 month time period, the total Maximum Cost over that 12 months cannot exceed the total Baseline Cost over that 12 months by more than the prescribed amount (in this case 7.5%). This policy limit does not apply to individual months within the time horizon.

ii. Baseline Cost: A cost exposure policy needs to have a baseline from which to be measured. For this policy, the baseline will be t the next three rolling years' estimated power supply costs based on current market conditions and other assumptions made at the time.

iii. Maximum Cost: The Maximum Cost upon which the policy limits are binding will be the 97th percentile of the total cost distribution (for the given Time Horizon) of a Monte Carlo risk model using uncertain variables as defined above.

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Because of the complex nature of the risk models used to calculate compliance with this policy, and because those models are being run by an external entity, the City's first warning of being out of compliance with this policy may occur when a report is delivered showing it out of compliance. As such, the City will have 45 days to remedy the out of compliance situation before it is deemed a violation.

~~2.~~3. *Natural Gas Hedging*

Natural gas and power hedging will be a complementary hedging activity for the City's natural gas fired generation (owned or contracted) or if it enters a transaction that uses a natural gas index price to derive its electricity cost, such as a heat rate product. Such gas related generation or purchase transactions would not be considered energy hedges until the projected gas volumes are procured. The monthly hedge criteria are measured based on total energy exposure for native load (total projected electricity needed for native load minus energy already hedged). This is the criteria required to be considered fixed price energy. There are three mechanisms for hedging gas price risk:

i. The use of NYMEX contracts.
ii. Bilateral financial hedging using ISDA Master Agreements
iii. Fixed price physical gas procurement.

In order to allow flexibility in overall energy hedging decisions (e.g. economically hedging additional needs via gas contracts versus power purchases), specific sub-targets for natural gas hedging are not set within this policy.

4. *Risk Measurement and Compliance Reporting*

Risk measurement and policy compliance within the volumetric and lead time criteria will be demonstrated on a regular basis in the Power Management Services Agent's ("Agent's") monthly portfolio model risk report. This report will also report on the Power Supply Cost Risk Policy. This report will generally cover three years of projections with seven-year runs performed at least once per year or when market conditions indicate the potential to cost-effectively hedge beyond three years out. ~~A brief outline of the contents of the Agent's monthly report is contained in Appendix A.~~

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5. Responsibility

It shall be the responsibility of the City Council, Risk Oversight Committee, City Manager, and Risk Management Committee to assure compliance with this policy. Implementation of this policy shall be in accordance with the Related Polices, as referenced below.

6. Related Policies

Supporting policies and procedures are required as outlined below:

i. City of Roseville Electric Utility Risk Management Policy

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ii. City of Roseville Energy Trading Authority Policy

~~ii.~~iii. City of Roseville Energy Credit Risk Policy

iv.Resolution ~~06-02~~17-368 retaining ~~APM~~ACES for power management services

Resolution ~~06-286~~16-161 declaring ~~APM~~ACES as agent for the purposes of risk policies

~~iii.~~v. ~~"APM" – Alliance for Cooperative Energy Services Power Marketing~~

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COUNCIL COMMUNICATION

CC #: 1303
File #: 0800-02

Title:	Sacramento Regional Water Bank Phase 2 - Regional Water Authority Program Agreement
Contact:	Sean Bigley 916-774-5513 sbigley@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.7.

RECOMMENDATION TO COUNCIL

Staff recommends that City Council adopt a resolution to authorize the City Manager to execute the Sacramento Regional Water Bank, Phase 2 Regional Water Authority Program Agreement with the Regional Water Authority and other water agency partners to allow the City of Roseville to participate in the final phase of development of the Sacramento Regional Groundwater Bank.

BACKGROUND

In 2016, the City of Roseville and 20 Regional Water Authority (RWA) members and associate member agencies entered into a subscription-based Program Agreement to develop a Regional Water Reliability Plan (RWRP). The RWRP evaluated: 1) the vulnerabilities and potential mitigation actions to address vulnerabilities of water supplies for each agency, 2) the potential for expanding conjunctive use in the greater Sacramento metropolitan area as a means of reducing vulnerabilities to climate conditions, and 3) interest in establishing a regional groundwater bank as a means of incentivizing expanded conjunctive use operations. The RWRP identified that there is significant opportunity for expanding conjunctive use in the region, as well as strong interest in continuing development of a regional groundwater bank.

On April 17, 2019, the Roseville City Council approved City of Roseville participation in the first phase of activities required to establish the Sacramento Regional Groundwater Bank ("Water Bank"). Once complete, the Water Bank will be a sustainable groundwater storage and recovery program intended to increase conjunctive use capacity and operations in the region to improve the long-term reliability of water supplies. The Water Bank will include an accounting system of storage and recovery with a monitoring program to ensure long-term groundwater basin sustainability. Phase 1 consisted of work that was primarily associated with pre-feasibility activities. This included development of a regional modeling tool to evaluate Water Bank operations, extensive stakeholder engagement, and facilitation of the project committee.

The scope of work contained in the proposed Phase 2 Program Agreement contains four key tasks:

• Task 1 (Technical Activities) – Technical activities such as further CalSim 3 water model development, validation of Water Bank operational assumptions, temperature modeling on the American and Sacramento Rivers to determine fishery impacts, determination of stream-depletion factors, monitoring and mitigation planning, and the development of a water accounting framework.

• Task 2 (Environmental Activities) – Environmental activities such as preparing the CEQA/NEPA scoping, as well as the documentation necessary for the Sacramento Water Bank to be considered for approval by the Bureau of Reclamation.

• Task 3 (Institutional Activities) – Institutional activities including determining and establishing a formal governance structure for Water Bank operations and management, assuming an RWA-managed program. Development and review of contracts that are needed for operation of the Water Bank, such as Long-Term Water Storage and Recovery Agreements for a federally-recognized Water Bank, Buy/Sell Agreements for annual groundwater substitution transfers, and conveyance agreements for annual groundwater substitution transfers.

• Task 4 (Miscellaneous Activities) – Miscellaneous activities include production of some additional outreach materials that will focus on local stakeholder engagement and, potentially, external partners if needed. Also included in Task 4 is support of the Water Bank Committee that will be formed and will consist of local water purveyors that may participate in the future Water Bank. Finally, Task 4 will include coordinating with the Bureau of Reclamation on guidance on Water Bank criteria and environmental compliance.

Roseville's participation in both the formation of the Water Bank and participation in a Reclamation-approved fully functional Water Bank will help further integrate the region's water supply infrastructure and increase the region's overall water supply reliability.

Staff recommends participating in the Phase 2 effort to establish the Sacramento Regional Water Bank as it also increases Roseville's overall water supply reliability and helps Roseville realize the full benefits of the City of Roseville's long-term investment in aquifer storage and recovery (ASR) infrastructure (six ASR groundwater wells with plans for six more wells within the next five years).

FISCAL IMPACT

The not-to-exceed cost for Roseville to participate is $55,000, which is budgeted in the Regional Water Supply Reliability Study project (400063) in the Water Construction Fund.

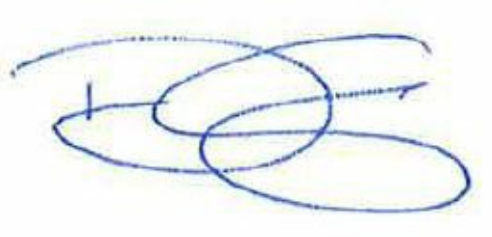
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) only applies to projects which have the potential to cause a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. (CEQA Guidelines §15061(b)(3)).

Respectfully Submitted,

Sean Bigley, Assistant Environmental Utilities Director

Richard Plecker, Environmental Utilities Director



_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-077

Water Bank Phase 2 Program Agreement

RESOLUTION NO. 21-077

APPROVING A REGIONAL WATER AUTHORITY PROGRAM AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND THE REGIONAL WATER AUTHORITY, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, the Regional Water Authority Program Agreement for the Sacramento Regional Water Bank, Phase 2 project, between the City of Roseville and the Regional Water Authority, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 20_, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

REGIONAL WATER AUTHORITY
PROGRAM AGREEMENT

SACRAMENTO REGIONAL WATER BANK, PHASE 2

This Agreement is made and entered into as of the ____ day of _____, 2021, by and between the Regional Water Authority ("RWA"), a joint exercise of powers authority formed under California Government Code section 6500, and following, and the Members and Contracting Entities of RWA listed in Exhibit 1 to this Agreement, upon their execution of this Agreement (who are collectively referred to in this Agreement as "Participants"), to provide for carrying out a Project or Program that is within the authorized purposes of RWA, and sharing in the cost and benefits by the Participants.

RECITALS

A. RWA is a joint powers authority, formed to serve and represent regional water supply interests and to assist its members in protecting and enhancing the reliability, availability, affordability and quality of water resources.

B. The joint powers agreement ("RWA JPA") pursuant to which RWA was formed and operates, and as was amended on October 8, 2013, authorizes RWA to enter into a "Project or Program Agreement," which is defined in the RWA JPA as an agreement between RWA and two or more of its Members or Contracting Entities to provide for carrying out a Project or Program that is within the authorized purposes of RWA, and sharing in the cost and benefits by the parties to the Project or Program Agreement.

C. Article 21 of the RWA JPA states: "The Regional Authority's projects are intended to facilitate and coordinate the development, design, construction, rehabilitation, acquisition or financing of water-related facilities (including sharing in the cost of federal, State or local projects) on behalf of Members and/or Contracting Entities. The Regional Authority may undertake the development, design, construction, rehabilitation, acquisition or funding of all or any portion of such projects on behalf of Members and/or Contracting Entities in the manner and to the extent authorized by such Members and/or Contracting Entities as provided in this Agreement, but shall not accomplish these functions, nor acquire or own water-related facilities in its own name."

D. Article 22 of the RWA JPA states: "Prior to undertaking a project or program, the Members and/or Contracting Entities who elect to participate in a project or program shall enter into a Program or Program Agreement. Thereafter, all assets, benefits and obligations attributable to the project shall be assets, benefits and obligations of those Members and/or Contracting Entities that have entered into the Project or Program Agreement. Any debts, liabilities, obligations or indebtedness incurred by the Regional Authority in regard to a particular project or program, including startup costs advanced by the Regional Authority, shall be obligations of the participating Members and/or Contracting Entities, and shall not be the debts, liabilities,

obligations and indebtedness of those Members and/or Contracting Entities who have not executed the Project or Program Agreement."

E. There is nothing in the RWA JPA or RWA policies that would prevent the participation of unaffiliated entities in projects conducted by RWA and its Members and Contracting Entities under a Project or Program Agreement, subject to approval of all participating Members and Contracting Entities and the unaffiliated entity's execution of the Program Agreement.

F. RWA and the Participants desire to carry out a Program and share in the costs and benefits of the Program, as a Project or Program Agreement as provided for in Articles 21 and 22 of the RWA JPA.

In consideration of the promises, terms, conditions and covenants contained herein, the parties to this Agreement hereby agree as follows:

1. Recitals Incorporated. The foregoing recitals are hereby incorporated by reference.

2. Defined Terms. Terms defined in the RWA JPA will have the same meaning in this Agreement.

3. Description of the Program. The program ("Program") that RWA and the Participants desire to carry out is the completion of the final phase of activities required to establish the Sacramento Regional Water Bank ("Water Bank"). The Water Bank will be a sustainable groundwater storage and recovery program intended to increase conjunctive use capacity and operations in the region to improve the long-term reliability of water supplies. The Water Bank will include an accounting system of storage and recovery with a monitoring program to ensure long-term groundwater basin sustainability and consistency with the Sustainable Groundwater Management Act. This final phase of work will be focused on final feasibility determinations, including environmental analysis, needed to achieve Federal recognition of the Water Bank. A general scope of work for Phase 2 is attached hereto as Exhibit 2 ("Program Description").

4. Program Committee. The Participants hereby form a Program Committee consisting of one representative (and alternates) designated by each Participant. The Program Committee will meet as necessary from time to time to administer and implement this Agreement on behalf of the Participants. A majority of the total members of the Program Committee will constitute a quorum. To proceed with a vote to take action, a quorum must be present at a meeting, with a majority of the number present required for an affirmative vote. Each member of the Program Committee will have one vote, either by its representative or an alternate. When a vote to take action will occur, notice of at least seven days shall be provided to all Program Committee members to provide reasonable opportunity to participate in the consideration of the action item.

5. Sharing in Program Costs and Benefits. The total estimated cost to complete the Water Bank, Phase 2 Program is estimated at $1,200,000. The assessments and not-to exceed budgets for each Participant are further described and attached hereto as Exhibit 3 ("Financing

Plan"). Each of the Participants will make one or more payments to RWA for completion of the Program. Participants shall have full access to the work products of the Program.

At the conclusion of the Program, the Program Committee will take action on the disposition of any remaining funds. If the Program Committee elects to return the surplus funds to the Participants, RWA will pay back such funds to the Participants on a pro rata basis reflecting the amount of the payments made by each of the Participants. In accordance with the provisions of Articles 21 and 22 of the RWA JPA, any debts, liabilities, obligations or indebtedness incurred by RWA in regard to the Program will be the obligations of the Participants, and will not be the debts, liabilities, obligations and indebtedness of those Members and Contracting Entities who have not executed this Agreement.

6. Role of RWA. The RWA will (a) ensure that the interests of Members and Contracting Entities of RWA who do not participate in this Program are not adversely affected in performing this Agreement; (b) provide information to the Participants on the status of implementation of the Program; (c) assist the Program Committee in carrying out its activities under this Agreement; d) secure consultant support services through a competitive selection process as identified in RWA Policy 300.2, where applicable; and e) manage consultant support services in completion of the Program.

7. Authorization to Proceed with the Program. The Program is authorized to proceed upon the commitment of $500,000 from Program Participants to fund initial Program costs. Upon execution of this Agreement, the Participants agree to fund their portion of the Program costs in an amount and manner as described in Exhibit 3 ("Financing Plan") to this Agreement.

8. Term. This Agreement will remain in effect for so long as any obligations under this Agreement and/or obligations from other sources of funding secured for completing the Program remain outstanding.

9. Withdrawal. A Participant may withdraw from this Agreement without requiring termination of this Agreement, effective upon ninety days' notice to RWA and the other Participants, provided that, the withdrawing Participant will remain responsible for any indebtedness incurred by the Participant under this Agreement prior to the effective date of withdrawal. If any surplus funds remain after the withdrawing Participant has met all of its financial obligations under this Agreement, then such funds will be returned to the withdrawing Participant in proportion to the total contribution made by each Participant.

10. Amendments. This Agreement may be amended from time to time with the approval of all of the Participants and RWA.

11. Privileges and Immunities. All of the privileges and immunities from liability; exemptions from laws, ordinances and rules; and all pension, relief, disability, worker's compensation and other benefits that apply to the activity of officers, agents or employees of RWA or the Participants when performing their respective functions for those agencies will, to the extent

permitted by law, apply to them to the same degree and extent while engaged in the performance of any of the functions and other duties under this Agreement. It is further understood and agreed by RWA and the Participants that, notwithstanding anything contained herein, the employees of RWA and of each Participant shall continue to be entirely and exclusively under the direction, supervision and control of the employing party.

12. No Third Party Beneficiary. RWA and the Participants understand and agree that this Agreement creates rights and obligations solely between RWA and the Participants and is not intended to benefit any other party. No provision of this Agreement shall in any way inure to the benefit of any third person so as to constitute any such third person as a third-party beneficiary of this Agreement or any of its items of conditions, or otherwise give rise to any cause of action in any person not a party hereto.

13. Liabilities. With respect to this Agreement, RWA and the Participants expressly agree that the debts, liabilities and obligations of RWA and of each Participant shall remain the debts, liabilities and obligations of that party alone and shall not be the debts, liabilities and obligations of any other party to this Agreement, except as may be otherwise set forth herein or in an amendment to this Agreement.

14. Audits and Accounting. All funds provided under this Agreement shall be separately accounted for and maintained, with books and records of such funding open to inspection by the Participants. Funding under this Agreement shall be subject to and consistent with the audit and accounting procedures set forth in Articles 27 and 28 of the RWA JPA.

15. General Provisions. Any notice to be given under this Agreement shall be made by: (a) depositing in any United States Post Office, postage prepaid, and shall be deemed received at the expiration of 72 hours after its deposit; (b) transmission by reputable overnight courier service; (c) transmission by electronic mail; or (d) personal delivery. This Agreement shall be governed by the laws of the State of California. The contact information for each Participant with respect to this section of the Agreement is set forth in Exhibit 4 ("Notice Information"). This Agreement may be executed by the parties in counterpart, each of which when executed and delivered shall be an original and all of which together will constitute one and the same document.

16. Signatories' Authority. The signatories to this Agreement represent that they have authority to execute this Agreement and to bind the Participant on whose behalf they execute it.

The foregoing Sacramento Regional Water Bank, Phase 2 Program Agreement is hereby agreed to by RWA and the Participants.

Dated: _____ __, 2021

_____
Signature

_____
Name

Regional Water Authority

_____ __, 2021

_____
Dominick Casey, City Manager
City of Roseville

List of Agreement Exhibits

Exhibit 1 – Program Participants
Exhibit 2 – Program Description
Exhibit 3 – Financing Plan
Exhibit 4 – Notice Information

EXHIBIT 1

PROGRAM PARTICIPANTS

REGIONAL WATER AUTHORITY

SACRAMENTO REGIONAL WATER BANK, PHASE 2 PROGRAM

Proposed Participating Agencies

California American Water
Carmichael Water District
Citrus Heights Water District
City of Folsom
City of Lincoln
City of Roseville
City of Sacramento
El Dorado County Water Agency
El Dorado Irrigation District
Elk Grove Water District
Fair Oaks Water District
Golden State Water Company
Placer County
Placer County Water Agency
Sacramento Area Flood Control Agency
Sacramento County Water Agency
Sacramento Regional County Sanitation District
Sacramento Suburban Water District
San Juan Water District

EXHIBIT 2

PROGRAM DESCRIPTION

REGIONAL WATER AUTHORITY

SACRAMENTO REGIONAL WATER BANK, PHASE 2 PROGRAM

The Sacramento Regional Water Bank Program Phase 2 scope of work and budget is described below in four primary tasks.

SCOPE OF WORK

The following tasks describe the overall work activities expected for the Sacramento Regional Water Bank ("Water Bank") Program, Phase 2. More detailed scopes of work and deliverables would be specified upon the issuance of task orders to authorize the work.

Work Category 1: Technical Activities

To reach an operational, federally-recognized Water Bank, the following technical activities were identified:

- Develop CalSim 3 Application – Water operations data for the American River basin, the Sacramento River basin, the Delta, and the CVP and SWP delivery areas are needed to support analysis of water supply, and to provide reservoir storages and river flows required for temperature and ecosystem analysis. CalSim 3 was selected to support this analysis. This task is being funded through the U.S. Bureau of Reclamation's Water Management Options Pilot Program, so it is not part of the Phase 2 budget and fees.
- Confirm Operational Assumptions – The August 2018 survey elicited initial interest of local agencies in participating in the Water Bank. No red flags were identified at that time, and survey participants were open to all considerations. This action will reaffirm local agencies' level of participation in Water Bank operations.
- Temperature Modeling – River temperatures are an important driving factor for fish health in the American River basin and the Sacramento River. Any changes in reservoir storage and releases, and river flows associated with a Water Bank require evaluation.
- Stream Depletion Factor – To quantify the water available for transfers, a stream depletion factor must be developed. A stream depletion factor is the reduction in streamflow during balanced Delta conditions resulting from pumping groundwater to make surface water available for transfer.
- Water Accounting Framework – This framework will establish a set of policies and procedures to encourage and support conjunctive use operations to facilitate the long-term sustainability of the underlying groundwater basin as a source of public water

supply. This will be developed in coordination with local Groundwater Sustainability Agencies to ensure consistency with applicable Groundwater Sustainability Plans.

- Monitoring/Mitigation Plans – This activity will develop generic components that can be used to support annual transfers and long-term water banking operations.

Work Category 2: Environmental Activities

The following are activities to support state, federal, and local environmental requirements. It includes regional and statewide impact analyses using CalSim 3 and regional models.

- CEQA/NEPA Scoping – Scoping will provide an opportunity to develop the project definition and assumptions. Based on the outcomes of this scoping, the degree of environmental review needed will be confirmed.
- CEQA/NEPA Documents – This activity includes preparation of environmental documentation and associated impact analyses. It currently assumes that only an Environmental Assessment will be needed for the Program. Costs may be higher if an Environmental Impact Statement/Report is required.

Work Category 3: Institutional Activities

The following activities will establish governance and create contracting templates for water banking operations.

- Governance – This activity will determine and establish a formal governance structure for Water Bank operations and management, assuming an RWA-managed program. It will establish tools to support the governance structure, and roles and responsibilities. It will also address policy-related issues such as potential fees from transfers and environmental commitments.
- Legal Support – This activity will include development and review of contracts that are needed for operation of the Water Bank:
    - Long-term water storage and recovery agreements for a federally-recognized Water Bank.
    - Buy/Sell agreements for annual groundwater substitution transfers.
    - Conveyance agreements for annual groundwater substitution transfers.

Work Category 4: Miscellaneous Activities

In addition to the above activities, three more activities were identified which focus on collaboration and engagement efforts related to the Water Bank.

- Outreach/Engagement – This will include some additional outreach materials but will focus on local stakeholder engagement and potentially external partners, if needed.
- Committee Support – A Water Bank committee has been formed that consists of local water purveyors that may participate in the future Water Bank. This activity will provide support for up to 8 committee meetings a year.
- Reclamation Participation – This activity will provide funding to reimburse Reclamation for the costs of its staff providing guidance on Water Bank criteria and environmental compliance.

Estimated Budget by Work Category

Work Category 1: Technical Activities	$450,000
Work Category 2: Environmental Activities	$450,000
Work Category 3: Institutional Activities	$100,000
Work Category 4: Miscellaneous Activities	$200,000
Not-to-Exceed Total	**$1,200,000**

EXHIBIT 3

FINANCING PLAN

REGIONAL WATER AUTHORITY

SACRAMENTO REGIONAL WATER BANK, PHASE 2 PROGRAM

In developing the proposed fees for each agency, factors such as agency size, past participation in a groundwater substitution transfer, and the likelihood and level of participation in the Water Bank in the future were considered. A not-to-exceed fee was established to account for the possibility that other sources of funding may become available during the course of the Phase 2 Program. Fees will be collected over two years as shown below, unless an agency requests to pay its not-to-exceed fee at the outset of the program.

Proposed Not-to-Exceed Fee Table

	2021	2022	Total
California American Water	$ 22,500	$ 32,500	$ 55,000
Carmichael Water District	$ 17,500	$ 32,500	$ 50,000
Citrus Heights Water District	$ 25,000	$ 35,000	$ 60,000
City of Folsom	$ 17,500	$ 22,500	$ 40,000
City of Lincoln	$ 10,000	$ 15,000	$ 25,000
City of Roseville	$ 22,500	$ 32,500	$ 55,000
City of Sacramento	$ 87,500	$102,500	$ 190,000
El Dorado Water Agency	$ 2,500	$ 12,500	$ 15,000
El Dorado Irrigation District	$ 10,000	$ 15,000	$ 25,000
Elk Grove Water District	$ 10,000	$ 15,000	$ 25,000
Fair Oaks Water District	$ 25,000	$ 35,000	$ 60,000
Golden State Water Company	$ 50,000	$ 70,000	$ 120,000
Placer County	$ 2,500	$ 7,500	$ 10,000
Placer County Water Agency	$ 17,500	$ 22,500	$ 40,000
Sacramento County Water Agency	$ 50,000	$ 70,000	$ 120,000
Sacramento Regional County Sanitation District	$ 22,500	$ 32,500	$ 55,000
Sacramento Suburban Water District	$ 60,000	$ 80,000	$ 140,000
Sacramento Area Flood Control Agency	$ 22,500	$ 32,500	$ 55,000
San Juan Water District	$ 25,000	$ 35,000	$ 60,000

EXHIBIT 4
NOTICE INFORMATION

REGIONAL WATER AUTHORITY

SACRAMENTO REGIONAL WATER BANK, PHASE 2 PROGRAM

California American Water
Attn: S. Audie Foster
4701 Beloit Drive
Sacramento, CA 95838
Phone: (916) 568-4259
Email: audie.foster@amwater.com

City of Lincoln
Attn: Jennifer Hanson
600 6th Street
Lincoln, CA 95648
Phone: (916) 434-2449
Email: Jennifer.Hanson@lincolnca.gov

Carmichael Water District
Attn: Cathy Lee
7837 Fair Oaks Blvd
Carmichael, CA 95608
Phone: (916) 483-2452
Fax: (916) 483-5509
Email: cathy@carmichaelwd.org

City of Roseville
Attn: Sean Bigley
2005 Hilltop Circle
Roseville, CA 95747
Phone: (916) 774-5513
Email: SBigley@roseville.ca.us

Citrus Heights Water District
Attn: Hilary Straus
6230 Sylvan Road
Citrus Heights, CA 95610
Phone: (916) 725-6873
Fax: (916) 725-0345
Email: hstraus@chwd.org

City of Sacramento
Attn: Brett Ewart
1395 35th Avenue
Sacramento, CA 95822
Phone: (916) 808-1725
Email: bewart@cityofsacramento.org

City of Folsom
Attn: Marcus Yasutake
50 Natoma Street
Folsom, CA 95630
Phone: (916) 461-6161
Fax: (916) 351-8912
Email: myasutake@folsom.ca.us

El Dorado County Water Agency
Attn: Ken Payne
4330 Golden Center Drive, Suite C
Placerville, CA 95667
Phone: (530) 621-5403
Fax: (530) 672-6721
Email: ken.payne@edcgov.us

El Dorado Irrigation District
Attn: Brian Mueller
2890 Mosquito Road
Placerville, CA 95667
Phone: (530) 642-4029
Fax: (530) 642-4329
Email: bmueller@eid.org

Elk Grove Water District
Attn: Bruce Kamilos
9257 Elk Grove Blvd.
Elk Grove, CA. 95624
Phone: (916) 685-3556
Fax: (916) 685-5376
Email: bkamilos@egwd.org

Fair Oaks Water District
Attn: Tom Gray
10326 Fair Oaks Blvd
Fair Oaks, CA 95628
Phone: (916) 967-5723
Fax: (916) 967-0153
Email: tgray@fowd.com

Golden State Water Company
Attn: Paul Schubert
3005 Gold Canal Drive
Rancho Cordova, CA 95670
Phone: (916) 853-3636
Fax: (916) 852-6608
Email: pschubert@gswater.com

Placer County
Attn: Brett Storey
3091 County Center Drive, Ste 140
Auburn, CA 95603
Phone: (530) 745-3011
Fax: (530) 745-3080
Email: bstorey@placer.ca.gov

Placer County Water Agency
Attn: Tony Firenzi
144 Ferguson Road
Auburn, CA 95603
Phone: (530) 823-4965
Email: tfirenzi@pcwa.net

Sacramento Area Flood Control Agency
Attn: Gary Bardini
1007 7th Street, 7th Floor
Sacramento, CA 95814
Phone: (916) 874-7606
Email: bardinig@saccounty.net

Sacramento County Water Agency
Attn: Kerry Schmitz
827 7th Street, Room 301
Sacramento, CA 95814
Phone: (916) 874-4681
Fax: (916) 874-8693
Email: schmitzk@SacCounty.NET

Sacramento Regional County Sanitation District
Attn: Jose Ramirez
10060 Goethe Road
Sacramento, CA 95827
Phone: (916) 876-6059
Email: ramirezj@sacsewer.com

Sacramento Suburban Water District
Attn: Dan York
3701 Marconi #100
Sacramento, CA 95821
Phone: (916) 679-3973
Fax: 916-972-7639
Email: dyork@sswd.org

San Juan Water District
Attn: Greg Zlotnick
P.O. Box 2157
Granite Bay, CA 95746
Phone: (916) 791-6933
Fax: (916) 791-6983
Email: gzlotnick@sjwd.org

Regional Water Authority
Attn: Rob Swartz
5620 Birdcage Street, Suite 180
Citrus Heights, CA 95610
Phone: (916-967-7692
Fax: (916) 967-7322
Email: rswartz@rwah2o.org

COUNCIL COMMUNICATION

CC #: 1314
File #: 0800-02

Title:	Bay-Delta Water Quality Control Plan - Common Interest and Cost-Share Agreement Amendment
Contact:	Sean Bigley 916-774-5513 sbigley@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.8.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council adopt a resolution to approve the attached Common Interest and Cost-Share Agreement for Coordinated Participation: Bay-Delta Water Quality Control Plan Amendments to preserve and protect American River Water Supplies, and authorize the City Manager to execute it on behalf of the City of Roseville.

BACKGROUND

On December 15, 2013, the cities of Folsom, Roseville, Sacramento, Placer County Water Agency, Sacramento County Water Agency, Sacramento Suburban Water District and the San Juan Water District, entered into a Joint Defense Agreement (JDA) to protect the parties' water rights and water supplies and help facilitate the review, analysis and comment on the state's Bay Delta Conservation Plan (BDCP).

Ultimately, the BDCP effort was abandoned in favor of a new, somewhat similar state proposal branded as "CalWaterFix" and "EcoRestore," which was later renamed as the Delta Conveyance Project.

Since then, these JDA partners have collaborated on the following issues of regional and statewide importance and impact:

• Delta Conveyance Project;
• The State Water Resources Control Board's Bay Delta Water Quality Control Plan and the Voluntary Agreement process;
• The Bureau of Reclamation's Operations Criteria and Plan (OCAP) Remand process;
• Development and implementation of Biological Opinions related to Central Valley Project (CVP) and State Water Project operations;
• Water Forum's Flow Management Standard;
• Matters related to protect stored water in Folsom Reservoir from unauthorized diversion or use

of water;
• Participation in adversarial quasi-legislative or quasi-judicial administrative proceedings;
• Participation in pre-litigation and litigation activities in state and/or federal courts.

Work done under these amended agreements is confidential and protected under attorney-client privilege.

The original Joint Defense Agreement had been amended in the following ways over time:

• Amendment #1 increased the per party dollar cap from $50,000 to $150,000;
• Amendment #2 added the El Dorado Irrigation District with a funding obligation of $25,000;
• Amendment #3 raised the overall per party dollar cap to $250,000 and increased El Dorado Irrigation District's funding obligation to $125,000;
• Amendment #4 will add Carmichael Water District as a JDA partner with a funding obligation of $50,000.

The American River parties wish to terminate the original JDA along with its four amendments and replace them with the attached proposed Common Interest Agreement (CIA).

This CIA accompanies the Major Projects Management Services Program that the Roseville City Council approved on December 16, 2020, which contained the reimbursement mechanism and related budget. As such, approval of the proposed attached CIA doesn't add any additional costs to the City of Roseville beyond what the Roseville City Council approved on December 16, 2020.

Under the proposed CIA, the City of Roseville will be working as part of a Steering Committee with Carmichael Water District, City of Folsom, City of Sacramento, El Dorado Irrigation District, Golden State Water Company, Placer County Water Agency, Sacramento County Water Agency, Sacramento Suburban Water District, and San Juan Water District, under coordination by the Regional Water Authority (RWA).

Since the City of Roseville approved the Major Services Program agreement on December 16, 2020, RWA has hired a Manager of Strategic Affairs who will coordinate the region's efforts to defend and protect American River water interests during upcoming proceedings related to the update of the State Water Resources Control Board's Water Quality Control Plan. These proceedings could have profound impacts upon the health of the Lower American River as well as reservoir levels at Folsom Reservoir for many years to come. These proceedings may also offer an alternative pathway towards resolution on this matter through negotiations of Voluntary Agreements with the State of California, water agencies and the Bureau of Reclamation.

In summary, this proposed CIA provides an organized and confidential venue for the region's water agencies to work together to defend and protect American River water supplies.

FISCAL IMPACT

There is no additional cost to the City of Roseville by approving the attached proposed CIA. The recommended action has no fiscal impact on the City's General Fund.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378.

This proposed Common Interest Agreement (CIA) meets the above criteria and is not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Sean Bigley, Assistant Environmental Utilities Director

Richard Plecker, Environmental Utilities Director

_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-071

Common Interest Agreement

RESOLUTION NO. 21-071

APPROVING A COMMON INTEREST AND COST-SHARE AGREEMENT FOR COORDINATED PARTICIPATION: BAY-DELTA WATER QUALITY CONTROL PLAN AMENDMENTS, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, the cities of Folsom, Roseville, Sacramento, Placer County Water Agency, Sacramento County Water Agency, Sacramento Suburban Water District and the San Juan Water District, entered into a Joint Defense Agreement (JDA) to protect the parties' water rights and water supplies and help facilitate the review, analysis and comment on the state's Bay Delta Conservation Plan (BDCP); and

WHEREAS, the parties wish to terminate the original JDA along with its four amendments and replace them with the attached proposed Common Interest Agreement (CIA); and

WHEREAS, the proposed CIA provides an organized and confidential venue for the region's water agencies to work together to defend and protect American River water supplies; and

WHEREAS, the Common Interest and Cost-Share Agreement for Coordinated Participation: Bay-Delta Water Quality Control Plan Amendments ("Agreement"), by and between the Carmichael Water District, City of Folsom, City of Roseville, City of Sacramento, El Dorado Irrigation District, Golden State Water Company, Placer County Water Agency, Regional Water Authority, Sacramento County Water Agency, Sacramento Suburban Water District, and the San Juan Water District, has been reviewed by City Council.

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said Agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville; and

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 2021, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

COMMON INTEREST and COST-SHARE AGREEMENT
for COORDINATED PARTICIPATION:
BAY-DELTA WATER QUALITY CONTROL PLAN AMENDMENTS

This Common Interest and Cost-Share Agreement (Agreement) is entered into by and among the Carmichael Water District, City of Folsom, City of Roseville, City of Sacramento, El Dorado Irrigation District, Golden State Water Company, Placer County Water Agency, Regional Water Authority, Sacramento County Water Agency, Sacramento Suburban Water District, and San Juan Water District, and made effective on the date the last of the aforementioned parties signs this Agreement.

RECITALS

A. The Carmichael Water District, City of Folsom, City of Roseville, City of Sacramento, El Dorado Irrigation District, Golden State Water Company, Placer County Water Agency, Sacramento County Water Agency, Sacramento Suburban Water District, and San Juan Water District, individually a "Water Purveyor Party" and collectively the "Water Purveyor Parties", are water purveyors who desire to cooperate, coordinate efforts and share costs in order to protect American River region water rights and water supplies in the State Water Resources Control Board's ("SWRCB") development of amendments to the Bay-Delta Water Quality Control Plan ("WQCP") for the Sacramento River watershed and implementation of any such amendments. The SWRCB's development of amendments to the Bay-Delta WQCP may result in adversarial quasi-legislative or quasi-judicial administrative proceedings, litigation in state or federal courts or both. The Parties desire to provide the technical basis to enable their counsel to provide informed legal advice to the respective Parties and to enable the Parties to identify and pursue appropriate approaches to protect American River water supplies and water rights, by jointly pursuing technical work to, among other things, provide comment on the Bay-Delta WQCP amendments. The Parties share a common interest in achieving all of the above.

B. The Regional Water Authority ("RWA") is a joint powers authority formed for the purposes, among others, of serving and representing American River regional water supply interests in protecting and enhancing the reliability, availability, affordability, and quality of water resources in the American River region.

C. Through this Agreement, the Water Purveyor Parties and RWA desire to: (i) acknowledge and affirm their common interest to cooperate and coordinate efforts to protect American River water rights and water supplies as part of the SWRCB's Bay-Delta WQCP amendment process; (ii) establish cost-sharing arrangements for related consultant work and expenses; and (iii) provide for the management of the cooperative and coordinated effort contemplated by this Agreement.

D. The Water Purveyor Parties and RWA desire, based on their common interests, to cooperate, coordinate, and share information related to the SWRCB's WQCP update process, while continuing to preserve, to the fullest extent possible, the protections of the attorney-client privilege, work product privilege, common–interest doctrine, deliberative process privilege, executive privilege, or any other privilege or protection existing under state or federal law.

AGREEMENT

NOW, THEREFORE, in consideration of the above recitals, and the mutual covenants and conditions contained herein, the Water Purveyor Parties and RWA agree as follows:

1. Management of Coordinated Effort. The Water Purveyor Parties desire to have RWA serve as the project manager for this coordinated effort. RWA agrees to act as the project manager for this coordinated effort, provided that RWA is reimbursed for its cost in acting as project manager. All costs for RWA's role as project manager will be funded through RWA's Major Projects Management Services Program. As provided in Paragraph 2(a), all Water Purveyor Parties participating in this coordinated effort must also be a party to Major Projects Management Services Program Agreement.

2. Cost-Sharing.

(a) Cost Sharing for RWA's Project Management Services. RWA has established the Major Projects Management Services Program pursuant to which individual RWA members can agree to pay certain sums in return for RWA providing certain project management services. At all times that a Water Purveyor Party is participating in the coordinated efforts contemplated by this Agreement, that Water Purveyor Party shall also be a party to the Major Projects Management Services Program Agreement. In the event a Water Purveyor Party fails or ceases to be a party to the Major Projects Management Services

Program Agreement, that Water Purveyor Party will be deemed to have withdrawn from this Agreement pursuant to Paragraph 5.

(b) Cost Sharing for Consultant Work. The Water Purveyor Parties desire to share in the costs of consultant work that will be required as part of the Parties' activities related to the Bay-Delta WQCP update process. The total obligation of the Water Purveyor Parties to fund the consultants' work on matters contemplated by this Agreement shall not exceed $500,000 without written amendment to this Agreement. The Water Purveyor Parties' respective percentage shares of the costs and fees for consultant work under this Agreement are set forth in Exhibit A to this Agreement. RWA shall not be responsible for any consultant costs under this Agreement.

3. Steering Committee: Retention and Direction of Consultants.

(a) Steering Committee. The Water Purveyor Parties will each appoint a representative to a Steering Committee, which will make decisions for the Water Purveyor Parties concerning work by consultants under this Agreement and provide direction to RWA in managing the coordinated effort. The Steering Committee will also make decisions on matters related to executing strategy and policy matters. The Steering Committee generally will meet at least once a month during this Agreement's term. Unless specifically provided otherwise herein, an affirmative vote by 2/3 of all members of the Steering Committee shall be required for all decisions contemplated by this Agreement. The Steering Committee may vote by e-mail provided that all Steering Committee members are included in the circulation list for those e-mails.

(b) Retention, Direction and Compensation of Consultants. The Water Purveyor Parties will jointly authorize one or more of the Water Purveyor Parties' legal counsel to retain consultants to perform scopes of work as approved by the Steering Committee, subject to applicable law for the confidentiality and protection of the work performed and work products produced by consultants. Retention of a consultant under this Agreement by a Water Purveyor Party or its counsel will not prevent that consultant from performing work for another Water Purveyor Party or RWA on matters not included in scopes of work authorized under this Agreement. The Water Purveyor Parties, and not their legal counsel, collectively will be responsible for compensating consultants retained under this Agreement according to their respective shares as set forth in Exhibit A.

(c) Management of Consultants' Work. RWA will manage all work by consultants under the direction of the Steering Committee. RWA will ensure that its communications with consultants comply with this Agreement's confidentiality arrangements. Provided that RWA's manager of the Major Projects Management Services Program and all Water Purveyor Parties' legal counsel are included on the relevant communications, Steering Committee members may have direct contact with consultants and consultants may circulate information and drafts directly to Steering Committee members.

4. Cost-Sharing Upon Addition of Parties. A new Water Purveyor Party may be added to this Agreement with: (i) approval by all members of the Steering Committee, and (ii) a written commitment by the new Water Purveyor Party to pay its proportionate share of all of the consultants' costs and fees incurred for the applicable scope or scopes of work by consultants, including work already performed under the applicable scope or scopes of work. Upon the addition of a new Water Purveyor Party to the Agreement, that new Water Purveyor Party's share, and the shares of the pre-existing Water Purveyor Parties, of any costs and fees incurred in relation to the applicable scope or scopes of work will be as determined by the Steering Committee prior to the new Water Purveyor Party's admission into this Agreement.

5. Withdrawal, Removal, and Cost-Sharing.

(a) Withdrawal. Any Water Purveyor Party may withdraw from this Agreement at any time; provided, however, in the event that a Water Purveyor Party withdraws after the Steering Committee has authorized consultants to proceed with certain scopes of work and related task orders, the withdrawing Water Purveyor Party shall remain obligated for payment of its proportionate share of the costs for such authorized work completed as of the date of the Water Purveyor Party's withdrawal. Written notice of withdrawal shall be sent to all members of the Steering Committee. A withdrawing Water Purveyor Party shall not receive any Joint Defense Materials (as defined in Section 8) produced after the date of its written notice of withdrawal, whether or not those Joint Defense Materials reflect consultant work performed before the date of that written notice of withdrawal.

(b) Removal. A Water Purveyor Party may be removed from this Agreement at any time by a three-fourths (3/4) vote of the remaining Water Purveyor Parties; provided, however, in the event that a Water Purveyor Party is removed from this Agreement after the

Steering Committee has authorized consultants to proceed with certain scopes of work and related task orders, the removed Water Purveyor Party shall remain obligated for payment of its proportionate share of the costs for such authorized work completed as of the date of the Water Purveyor Party's removal. A removed Water Purveyor Party shall not receive any Joint Defense Materials (as defined in Section 8) produced after the date of its removal, whether or not those Joint Defense Materials reflect consultant work performed before the date of that removal.

6. Term. This Agreement shall remain in effect until either of the following events occurs: (a) a majority of the Parties withdraw from the Agreement; or (b) the Steering Committee votes, by a two-thirds majority, to terminate this Agreement.

7. Billing Procedures. For all approved scopes of work by consultants under this Agreement, RWA will have the responsibility for collecting and managing each Water Purveyor Party's contribution of funds to pay for that work; processing invoices submitted by the consultants pursuant to the approved scopes of work and budgets; preparing invoices to the Water Purveyor Parties based on the shares specified in Exhibit A or any future cost-sharing allocation approved by the Water Purveyor Parties; and for maintaining an accurate accounting of this administration of funds. The Water Purveyor Parties will make payments on invoices presented by RWA within 30 days of the date of the specific invoice.

8. Privileged and Confidential Communications.

(a) For purposes of this Agreement, "Joint Defense Materials" includes, but is not limited to, all communications (including communications related to the above-referenced proceedings made prior to the execution of this Agreement), factual materials, mental impressions, legal analyses, theories or strategies, memoranda, reports, notes, emails or any other communications or documents that are protected from disclosure by the attorney-client privilege, work product privilege, deliberative process privilege, executive privilege, common-interest doctrine joint prosecution/defense doctrine, privileges regarding mediation or settlement communications, or any other privilege or protection existing under state or federal law, and that are exchanged among the Water Purveyor Parties, RWA, and/or their respective counsel in connection with their cooperative efforts related to the matters described in this Agreement's recitals.

(b) The Water Purveyor Parties and RWA will maintain as confidential all Joint Defense Materials. Disclosure of Joint Defense Materials shall be limited to the Water Purveyor Parties and their employees and contractors as well as any counsel and consultants retained by the Water Purveyor Parties, or on behalf of the Water Purveyor Parties, for the purpose of maintaining a joint defense with respect to the matters described in this Agreement's recitals. RWA, as a public entity separate from its members, shall maintain as confidential all Joint Defense Materials within RWA as a separate public entity. Joint Defense Materials shall not be made available to RWA members that are not party to this Agreement.

(c) Any Joint Defense Materials shared or transmitted by or between Water Purveyor Parties and/or RWA should be clearly designated with the label "CONFIDENTIAL: JOINT DEFENSE MATERIALS" or a substantially similar label referencing "joint defense." However, the failure to include such designation shall not preclude such materials from being afforded the protections of this Agreement, and shall not be construed to constitute a waiver of any privilege or other protection.

(d) Each Water Purveyor Party and RWA shall take all appropriate measures to ensure that any person who is granted access to Joint Defense Materials is familiar with the terms of this Agreement and complies with those terms.

(e) Except where required by the order of a court of competent jurisdiction, or by the prior written consent of the remaining Water Purveyor Parties, neither a Water Purveyor Party nor RWA will disclose to non-Parties any Joint Defense Materials that it has received from another Water Purveyor Party or RWA.

(f) Each Water Purveyor Party and/or RWA shall notify the party that generated any Joint Defense Materials and all remaining Water Purveyor Parties of any request to disclose the Joint Defense Materials to any non-Party (whether pursuant to the California Public Records Act or other authority), or of any proceeding before any court, administrative agency, or tribunal to compel the disclosure of such Joint Defense Materials, as soon as practicable after receipt of such request or the initiation of such proceeding. If a Water Purveyor Party or RWA becomes subject to any judicial or administrative order to compel release of Joint Defense Materials, that Water Purveyor Party or RWA shall promptly notify the party that generated the materials and all remaining Water Purveyor Parties. The purpose

of these notifications is to provide the party that generated the Joint Defense Materials or any remaining Water Purveyor Party an opportunity to take such steps as they may deem appropriate to protect the Joint Defense Materials.

(g) The sharing of Joint Defense Materials among the Water Purveyor Parties and/or RWA is not intended to and will not constitute a waiver of any privilege or other protection of confidentiality, including but not limited to the attorney-client privilege, work product privilege, common-interest doctrine, deliberative process privilege, executive privilege, privileges relating to mediation or settlement communications, or any other privilege or protection existing under state or federal law.

(h) Execution of this Agreement constitutes the mutual agreement of the Water Purveyor Parties and RWA that any sharing of Joint Defense Materials among themselves is, pursuant to Evidence Code section 912, subdivision (d), and other applicable authorities, reasonably necessary for the accomplishment of the Water Purveyor Parties' and RWA's common purposes as described in this Agreement. Any sharing of Joint Defense Materials among the Water Purveyor Parties and/or RWA is in reliance on this Agreement and the protections that arise from the parties' common interests related to the matters described in Recital B to this Agreement.

(i) If a Water Purveyor Party or RWA withdraws from this Agreement, the provisions of this Agreement shall continue to apply to the Joint Defense Materials that the party received or sent during the time period when that party was a party to this Agreement, including without limitation the duty to maintain those materials' confidentiality under Section 8(b).

(j) If this Agreement is terminated for any reason, the Joint Defense Materials shared pursuant to this Agreement shall remain subject to all privileges cited herein and any other applicable confidentiality protections.

9. Attorney-Client Relationships. The Water Purveyor Parties and RWA are represented by their respective legal counsel in connection with the above-referenced proceedings. A party's legal counsel will not have an attorney-client relationship with any other party to this Agreement as a result of that legal counsel's participation in discussions and actions related to the parties' cooperative efforts on the above-described proceedings.

Similarly, no legal counsel will have a duty of loyalty or confidentiality to any other Water Purveyor Party or RWA other than that legal counsel's specific client(s), and consequently, no Water Purveyor Party may seek to disqualify the legal counsel for another Water Purveyor Party as a result of the legal counsel's participation in discussions and actions related to the parties' cooperative efforts under this Agreement.

10. Representations. The individuals signing this Agreement in a representative capacity warrant that they have the authority to do so on behalf of the entity or entities they represent, and further agree that as representatives of the entity or entities that they respectively represent, they themselves are bound by all terms of this Agreement.

11. Entire Agreement. This Agreement and any later-approved amendments or exhibits constitute the entire agreement of the parties with respect to the subject matter of this Agreement and supersede any prior oral or written agreement, understanding, or representation relating to the subject matter of this Agreement.

12. Counterparts. This Agreement may be executed in counterparts, each of which shall be deemed an original irrespective of the date of the execution, and said executed counterparts shall together constitute one and the same Agreement. Further, facsimile or .PDF copies of signatures shall be as effective as original signatures for evidencing execution of this Agreement. To ensure that each party has a full copy of this Agreement, upon a party's initial execution of this Agreement, that party shall transmit a copy of its signature to its legal counsel, who shall transmit copies of that copy to all other legal counsel under this Agreement.

13. Notices. All notices and other communications required to be given to a party under the terms of this Agreement (a) shall be in writing; (b) shall be personally delivered, sent via first class mail, or transmitted by facsimile or email with confirmation of receipt; and (c) shall be directed to such party at the address, facsimile number or email address specified below, or at such other address, facsimile number or email address as such party may hereafter designate by notice in accordance with this Section.

IN WITNESS WHEREOF, the Parties hereto have executed this Common Interest and Cost-Share Agreement for Coordinated Participation: Bay-Delta Water Quality Control Plan Amendments.

CARMICHAEL WATER DISTRICT

_____ _____
Cathy Lee, General Manager / Date
Secretary to the Board

CITY OF FOLSOM, A Municipal Corporation:

_____ _____
Date Elaine Andersen, City Manager

ATTEST: FUNDING AVAILABLE:

_____ _____
Christa Freemantle, City Clerk Date Stacey Tamagni, Finance Director/CFO Date

ORIGINAL APPROVED AS TO CONTENT: ORIGINAL APPROVED AS TO FORM:

_____ _____
Marcus Yasutake, Date Steven Wang, City Attorney Date
Environmental & Water Resources Director

CITY OF ROSEVILLE

_____ _____
Dominick Casey, City Manager Date

CITY OF SACRAMENTO

_____ _____
William O. Busath, Director of Utilities Date

EL DORADO IRRIGATION DISTRICT

_____ _____
Jim Abercrombie, General Manager Date

GOLDEN STATE WATER COMPANY

_____ _____
Paul Rowley, Date
Vice President of Water Operations

PLACER COUNTY WATER AGENCY

_____ _____
Andrew Fecko, General Manager Date

REGIONAL WATER AUTHORITY

_____ _____
Jim Peifer, Executive Director Date

SACRAMENTO COUNTY WATER AGENCY

_____ _____
Michael L. Peterson Date
Director of Department of Water Resources,
Acting as Agency Engineer

SACRAMENTO SUBURBAN WATER DISTRICT

_____ _____
Dan York, General Manager Date

SAN JUAN WATER DISTRICT

_____ _____
Paul Helliker, General Manager Date

EXHIBT A

Cost Allocation

Water Purveyor	Percent
Carmichael Water District	3.9%
City of Folsom	11.2%
City of Roseville	11.2%
City of Sacramento	11.2%
El Dorado Irrigation District	11.2%
Golden State Water Company	6.5%
Placer County Water Agency	11.2%
Sacramento County Water Agency	11.2%
Sacramento Suburban Water District	11.2%
San Juan Water District	11.2%

COUNCIL COMMUNICATION

CC #: 1298
File #: 0900-02

Title:	Downtown Water Mains Replacement Project – Hillcrest Neighborhood – Professional Services Agreement
Contact:	Janice Gainey 916-774-5535 jrgainey@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.9.

RECOMMENDATION TO COUNCIL

Staff recommends that City Council adopt a resolution to approve a professional services agreement for construction management services on the Downtown Water Mains Replacement Project – Hillcrest Neighborhood, and authorize the City Manager to execute it.

BACKGROUND

An analysis of maintenance history, flow capacity limitations, and age of the water distribution pipelines in the Roseville downtown area identified the need for mainline replacements and upgrades to existing water distribution facilities. After a competitive Design-Assist Construction Request for Proposals (RFP) process, the construction contract was awarded to A. Teichert & Son at the February 3, 2021, City Council Meeting.

In December 2020, an RFP for construction management and inspection services, using a professional services agreement, was published. On January 19, 2021, eight (8) firms submitted proposals to the City Clerk's office for review and consideration. Based upon review of the proposals by the Environmental Utility Department's Proposal Evaluation Committee, the proposal of Brown and Caldwell was identified as providing the best value to the City and was ranked the highest based on the evaluation criteria outlined in the RFP. Staff therefore recommends that the contract be awarded to Brown and Caldwell.

This project supports the City's Strategic Plan Goal F (Invest in Well-Planned Infrastructure and Growth) by reinvesting in aging infrastructure.

FISCAL IMPACT

The Downtown Water Mains Replacement Project has an existing budget sufficient to cover the professional services agreement for construction management services with Brown and Caldwell from the Water Rehabilitation Fund. No additional funds are required.

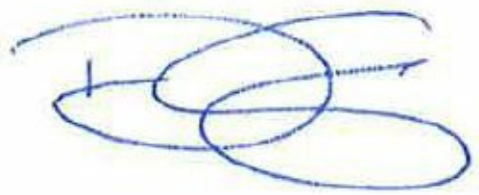
ENVIRONMENTAL REVIEW

The proposed project involves the replacement and reconstruction of existing utility service facilities, that will not result in expansion of use. This activity is categorically exempt from CEQA as a Class 2 Exemption (State CEQA Guidelines Section 15302). The Exemption has been prepared and no further CEQA action is required.

Respectfully Submitted,

Janice Gainey, Senior Engineer

Richard Plecker, Environmental Utilities Director



_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-073

Professional Services Agreement

RESOLUTION NO. 21-073

APPROVING A PROFESSIONAL SERVICES AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND BROWN AND CALDWELL, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a professional services agreement for construction management services for the Downtown Water Mains Replacement Project – Hillcrest Neighborhood, between the City of Roseville and Brown and Caldwell, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 20_, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

PROFESSIONAL SERVICES AGREEMENT

Construction Management Services for Downtown Water Mains Replacement Project

THIS AGREEMENT is made and entered into this ___ day of _____, 20__, by and between the City of Roseville, a municipal corporation ("CITY"), and Brown and Caldwell, a California corporation ("CONSULTANT"); and

W I T N E S S E T H:

WHEREAS, CITY desires professional services consisting of construction management services for the Downtown Water Mains Replacement project; and

WHEREAS, CONSULTANT has prepared a proposal in response to RFP# 08-080 dated January 26, 2021, which describes the scope of work to be performed by CONSULTANT, the budget for the work, and the schedule for performance of the work; and

WHEREAS, CONSULTANT is qualified and experienced to provide such professional services.

NOW, THEREFORE, the parties agree as follows:

1. Services. CONSULTANT shall perform, at the direction of CITY, the scope of services as described in EXHIBIT "A," attached hereto and incorporated herein by this reference.

2. Compensation. For its services provided hereunder, CONSULTANT shall be compensated on a time and expense basis in accordance with the budget estimate as described in

EXHIBIT "B," attached hereto and incorporated herein by this reference. Total compensation shall not exceed seven hundred eleven thousand, four hundred eighteen dollars and sixty-four cents ($711,418.64).

CONSULTANT shall submit one monthly invoice for its services. Such invoices shall be delineated by task, the person performing the services, and the hourly rate, which shall be stated in time increments of not greater than one tenth (1/10) hours. CITY shall pay invoices within thirty (30) days after receipt, if the services specified in the invoice have been satisfactorily completed.

3. Indemnification. To the fullest extent allowed by law, CONSULTANT agrees to indemnify, including the cost to defend CITY, and its officers, agents, employees and volunteers from any and all claims, demands, costs or liability that arise out of, or pertain to or relate to the negligence, recklessness, or willful misconduct of CONSULTANT and its agents in the performance of services under this contract, but this indemnity does not apply to liability for damages for death or bodily injury to persons, injury to property, or other loss, arising from the sole negligence, willful misconduct or defects in design by CITY or the agents, servants, or independent contractors who are directly responsible to CITY, or arising from the active negligence of CITY. Notwithstanding any terms of this section, indemnification and defense obligations shall conform to California Civil Code Section 2782.8.

CONSULTANT's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

4. Insurance. CONSULTANT agrees to continuously maintain, in full force and effect, the following minimum policies of insurance during the term of this Agreement.

COVERAGE	LIMITS OF LIABILITY
Workers' Compensation	Statutory
Commercial General Liability	$1,000,000 each occurrence $2,000,000 aggregate Personal Injury: $1,000,000 each occurrence $2,000,000 aggregate
Automobile Liability	$1,000,000 combined single limit
Professional Liability (errors and omissions)	$1,000,000 per claim $2,000,000 aggregate

a. Form. CONSULTANT shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

b. Additional Insureds. CONSULTANT shall also provide a separate endorsement form or section of the policy showing CITY, its officers, agents, employees and volunteers as additional insureds for each type of coverage, except for Workers' Compensation and Professional Liability. Such insurance shall specifically cover the contractual liability of CONSULTANT. The additional insured coverage under the CONSULTANT's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from CITY's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum

insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater. Nothing in this section, however, requires CONSULTANT in the absence of litigation to reveal its Commercial General and/or Excess limits beyond where insurance limits are shown above in the Coverage Limits of Liability section.

c. Cancellation/Modification. CONSULTANT shall provide ten (10) days written notice to CITY prior to cancellation or non-payment of premium of any insurance required by this Agreement.

d. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of CITY (if agreed to in a written contract) before CITY's own insurance shall be called upon to protect it as a named insured.

e. Subcontractors. CONSULTANT agrees to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, CONSULTANT shall require its subcontractors to agree to be bound to CONSULTANT and CITY in the same manner and to the same extent as CONSULTANT is bound to CITY under this Agreement. Additionally, CONSULTANT shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor,

regardless of tier. A copy of CITY's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.

f. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or CITY. CITY reserves the right to obtain copies of any insurance policy, with confidential information redacted. The failure to exercise this right shall not constitute a waiver of such right.

g. Waiver of Subrogation. CONSULTANT hereby agrees to waive subrogation which any insurer of CONSULTANT may acquire from CONSULTANT by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of CITY, its officers, agents, employees and volunteers for all worked performed by CONSULTANT, its employees, agents and subcontractors.

h. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve CONSULTANT of liability in excess of such coverage, nor shall it preclude CITY from taking such other actions as are available to it under any other provisions of this Agreement or law.

5. Records. CONSULTANT and its subcontractors shall maintain all files and records relating to the services performed hereunder during the term of this Agreement and for a period of not less than one (1) year after the date of termination or expiration. Provided, however, that in the event of litigation or settlement of claims arising from the performance of

this Agreement, CONSULTANT and its subcontractors shall maintain all files and records until such litigation, appeals or claims are resolved. Duly authorized representatives of CITY shall have right of access during normal business hours and after reasonable notice to CONSULTANT's and subcontractors' files and records relating to the services performed hereunder, and may review and copy the files and records at appropriate stages during performance of the services and during the one (1) year period following termination or expiration of this Agreement. CONSULTANT shall include this provisions in its contracts with all subcontractors.

6. Time is of the Essence. Time is of the essence of this Agreement. CONSULTANT shall not be responsible for delays caused by circumstances beyond its reasonable control, including, but not limited to (1) strikes, lockouts, work slowdowns or stoppages, or accidents, (2) acts of God, (3) failure of CITY to furnish timely information, and (4) faulty performance or nonperformance by CITY, CITY's independent consultants or contractors, or by governmental agencies. CONSULTANT shall not be liable for damages arising out of any such delay, nor shall the CONSULTANT be deemed to be in breach of this Agreement as a result thereof.

While CONSULTANT has made reasonable efforts to incorporate into its plan for the project any known current project impacts of the COVID-19 pandemic, CONSULTANT has not accounted for, and is not responsible for, unknown future changes due to the COVID-19 pandemic, including, without limitation, additional restrictions by government agencies or others (such as the availability of the site for access or the availability of CITY or CONSULTANT staff or others) to the extent they delay or otherwise impact the project. In that event,

CONSULTANT will notify the CITY and work in good faith to equitably address any unexpected impacts therefrom.

7. Compliance with Laws. CONSULTANT shall comply with all federal, state and local laws, ordinances and policies as may be applicable to the performance of services under this Agreement.

8. Ability to Perform. CONSULTANT agrees and represents that it has the time, ability and professional expertise to perform the services required under this Agreement in accordance with generally accepted engineering principles and practices in effect at the time services are rendered.

9. Governing Agreement. In the event of any conflict between this Agreement and its EXHIBITS, the provisions of this Agreement shall govern. In the event of any conflict between any of the EXHIBITS, the provisions of the first in order of attachment shall govern.

10. Assignment. CONSULTANT is employed to perform unique personal services. CONSULTANT shall not assign this Agreement without the prior written consent of CITY. CONSULTANT shall not employ or otherwise incur any obligation to pay other specialists or experts for services in connection with this Agreement, without prior written consent of CITY.

11. Independent Contractor. CONSULTANT shall act as an independent contractor, and covenants and agrees that it will conduct itself consistent with such status, that it will neither hold itself out as, nor claim to be, an officer or employee of CITY by reason of this Agreement.

CONSULTANT and CITY agree that: (a) CONSULTANT is free from the control and direction of CITY in connection with the performance of the work; (b) CONSULTANT is providing services directly to CITY; (c) CONSULTANT has and will maintain at all relevant times a business license; (d) CONSULTANT maintains a business location that is separate from

CITY; (e) CONSULTANT is customarily engaged in an independently established business of the same nature as that involved in the work performed hereunder; (f) CONSULTANT actually contracts with other businesses to provide the same or similar services and maintains a clientele without restrictions from CITY; (g) CONSULTANT advertises and holds itself out to the public as available to provide the same or similar services; (h) CONSULTANT provides its own tools, vehicles, and equipment to perform the services; (i) CONSULTANT has negotiated its own rates; (j) CONSULTANT set its own hours and location of work in accomplishing CITY's on-call needs; and (k) CONSULTANT has the right to control the manner and means of accomplishing the result desired and exercises its own expert independent judgement.

12. Representations and Warranties. CONSULTANT warrants that it has not employed or retained any company or person, other than a bona fide employee working for CONSULTANT, to solicit or secure this Agreement, and that it has not paid or agreed to pay any company or person, other than a bona fide employee, any fee, commission, percentage, brokerage fee, gift or any other consideration, contingent upon or resulting from the award or making of this Agreement. For breach or violation of this warranty, CITY shall have the right to terminate as void this Agreement, without liability, or, in its discretion, to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift or contingent fee.

13. Successors in Interest. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.

14. Copyright, Ownership and Use of Materials. All tangible material ("Material") created or delivered pursuant to this Agreement is considered a work made for hire under the Copyright Act. To the extent such Material does not qualify as a work made for hire,

CONSULTANT hereby assigns to CITY all right, title, and interest, including but not limited to all copyrights, in all Material created by CONSULTANT in its performance under this Agreement. Material constitutes the scope of work outlined in Exhibit A and attached hereto, and all written and other tangible expressions, including but not limited to, drawings (including computer aided drawings), papers, documents, reports, surveys, renderings, exhibits, sketches, maps, models, prints, paintings or photographs, in any and all media or formats in which such materials have been created or are maintained. All Material furnished by CONSULTANT is, and shall remain, the property of CITY after payment to CONSULTANT.

CONSULTANT shall execute any documents necessary to effectuate such assignment. In the event that CONSULTANT uses, employs, designates, or retains any person or entity who is not an employee of CONSULTANT, to perform any work required of it pursuant to this Agreement, CONSULTANT shall require said person or entity to execute an agreement containing the preceding paragraph.

15. Termination of Agreement. The City may terminate this Agreement without cause by giving CONSULTANT ten (10) days advance written notice from the City Manager. CONSULTANT may terminate this Agreement without cause by giving CITY thirty (30) days advance written notice. In the event of termination through no fault of CONSULTANT, CITY shall compensate CONSULTANT for services performed as of the date of termination, upon the release to CITY of all Material hereunder, in any and all media or formats in which such materials have been created or are maintained. CITY retains the right to receive and use any Material, notwithstanding any termination or any dispute regarding the amount to be paid.

16. Attorney's Fees; Venue; Governing Law. If either party commences any legal action against the other party arising out of this Agreement or the performance hereof, the

prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorney's fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

17. Modification. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

18. Severability. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

19. Notices. Any notices to parties required by this Agreement shall be delivered personally or mailed, U.S. first class postage prepaid, addressed as follows:

CITY OF ROSEVILLE	CONSULTANT
Janice Gainey Senior Engineer 2005 Hilltop Circle Roseville, CA 95747	Stephen Walters Project Manager 11020 White Rock Road, Ste. 200 Rancho Cordova, CA 95670

Either party may amend its address for notice by giving notice to the other party in writing.

20. Integrated Agreement. This is an integrated agreement and contains all of the terms, considerations, understanding and promises of the parties. It shall be read as a whole.

21. Services During Construction. The presence of CONSULTANT's personnel at the construction site, whether as on-site representative, resident engineer, construction manager, or otherwise, does not make CONSULTANT responsible for those duties that belong to the CITY and/or construction contractors or others, and does not relieve construction contractors or others of their obligations, duties, and responsibilities, including, but not limited to, construction

methods, means, techniques, sequences, and procedures necessary for completing all portions of the construction work in accordance with the contract documents, any health or safety programs and precautions required by such construction work, and any compliance with applicable laws and regulations. Any inspection or observation of the construction contractor's work is solely for the limited purpose of determining that the work is generally proceeding in conformance with the intent of the project specifications and contract documents. CONSULTANT makes no warranty or guarantee with respect to the performance of a construction contractor. CONSULTANT has no authority to exercise control over any construction contractor in connection with their work or health or safety programs and precautions. Except to protect CONSULTANT's own personnel and except as may be expressly required elsewhere in the scope of services, CONSULTANT has no duty to inspect, observe, correct, or report on health or safety deficiencies of the construction contractor.

22. Opinion of Costs. The CITY acknowledges that construction cost estimates, financial analyses and feasibility projections are subject to many influences including, but not limited to, price of labor and materials, unknown or latent conditions of existing equipment or structures, and time or quality of performance by third parties. The CITY acknowledges that such influences may not be precisely forecasted and are beyond the control of CONSULTANT and that actual costs incurred may vary substantially from the estimates prepared by CONSULTANT.

23. Record (As-Built) Drawings. The CITY acknowledges that record drawings will be prepared, in part, on the basis of information compiled and furnished by others, and may not always represent the exact location, type of various components, or exact manner in which the

project was finally constructed. CONSULTANT is not responsible for any errors or omissions in the information from others that are incorporated into the record drawings.

24. Shop Drawing and Submittal Review. CONSULTANT shall review shop drawings or other contractor submittals for general conformance with the intent of the contract documents. CONSULTANT shall not be required to verify dimensions, contractor's shop drawings or submittals, nor to coordinate shop drawings or other submittals with other shop drawings or submittals provided by contractor.

25. Reliance upon Information Provided by Others. CONSULTANT's performance of services requires CONSULTANT to rely on information provided by other parties (excepting CONSULTANT's subcontractors), CONSULTANT shall not independently verify the validity, completeness, or accuracy of such information unless otherwise expressly engaged to do so in writing by the CITY.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ___ day of _____, 20__, and CONSULTANT has caused this Agreement to be executed.

[SIGNATURES ON FOLLOWING PAGE]

CITY OF ROSEVILLE, a
municipal corporation

BY:_____
DOMINICK CASEY
City Manager

ATTEST:

BY:_____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY: _____
RICHARD D. PLECKER
Environmental Utilities Director

BROWN AND CALDWELL, a
California corporation

BY: _____
its: Jeffrey R. Kivett, Vice President

and

BY: _____
its: Robert D. Goodson, Corporate Secretary

EXHIBIT “A”

11020 White Rock Road, Suite 200
Rancho Cordova, CA 95670

T: 916.444.0123
F: 916.635.8805

January 26, 2021

Ms. Janice R. Gainey, P.E.
City of Roseville Environmental Utilities - Engineering
2005 Hilltop Circle
Roseville, CA 95747

Subject: Proposed Agreement for Construction Management Services for the Downtown Water Mains Replacement Project – Hillcrest Neighborhood

Dear Ms. Gainey:

In accordance with your request, Brown and Caldwell (BC) is pleased to present our scope of work and fee for Construction Management Services for the Downtown Water Mains Replacement Project – Hillcrest Neighborhood.

We look forward to working with you. If you have any questions, please reach out to Stephen Walters (916) 365-9292 or via email at swalters@brwncald.com.

Very truly yours,

Brown and Caldwell,

Stephen Walters, PE
Construction Manager/Project Manager

cc: Elizabeth Durazo, Brown and Caldwell

Attachments:

1. Exhibit A - Scope of Work
2. Exhibit B – Fee Estimate

Scope of Work

Based on our understanding of the Downtown Water Mains Replacement Project - Hillcrest Neighborhood (Project), we have prepared the following scope of work in response to the City of Roseville's (City) request for proposal (RFP) and scope of work. The design consultant for the project is Domenichelli & Associates (DA). The Project will be delivered via the Design-Assist (D-A) method and the City is currently finalizing contractor selection and negotiating the Guaranteed Maximum Price (GMP). The contractor's Notice to Proceed (NTP) is anticipated on February 5, 2021. The construction duration is specified to be two hundred and eighty (280) calendar days with Final Acceptance anticipated on November 9, 2021. However, the construction management (CM) NTP (i.e. commencement of services) is anticipated on March 4, 2021. BC will provide CM services for approximately 9 months, from the executed CM NTP date until one month after Final Acceptance. Specific assumptions related to individual tasks are included with this scope. Our fee estimate is provided as Attachment A.

BC services will include project management, construction management, construction contract administration, change management, peak-time/supplemental inspection, and final completion services. BC will provide additional field inspection as an optional service if authorized by City. No on-site trailer will be provided. BC will perform management and administration service from our Rancho Cordova office and/or from our field office at the City's Pleasant Grove WWTP.

Task 1 // Construction Management / Project Management

Task 1.1 // Monthly CM Invoicing

BC will prepare and submit a monthly cover letter to the City's Project Manager concurrent with our monthly invoice. These reports summarize the construction management tasks completed in the current period and budget status.

Deliverables: Monthly report and CM invoices in PDF format.

Task 1.2 // Schedule Evaluation

BC will review and evaluate the acceptability of the Contractor's construction schedule including updates and revisions in accordance with the Contract Documents. This evaluation includes soliciting DA and City staff for comments. After acceptance of the baseline schedule, BC will conduct monthly reviews of the Contractor's schedule updates verifying progress is acceptable and variances are promptly addressed. We anticipate the Contractor will submit electronic (P6 or MS Project) and a PDF copy for our review. Our monthly review will be conducted in parallel with our review of the Contractor's monthly progress payment request. When change orders are being negotiated, we will evaluate the impact of change orders on the construction schedule to recommend eligible time extensions or reduction, depending upon whether there is an increase or decrease in the work.

BC will determine and record working days using a Caltrans-based Weekly Statement of Working Days (WSWD) form. BC will distribute WSWD to the contractor and allow them five working days to dispute.

Deliverables: Baseline and update schedule submittal review comments and WSWD in CMIS.

Assumptions: BC has assumed two (2) reviews of the baseline schedule and one review each of eight (8) monthly updates.

Task 1.3 // Coordination and Communication

BC will act as a liaison between team members to provide communications and resolutions. BC's CM will be the main point of contact between the Contractor, the City Project Manager, DA, utility companies, regulatory agencies and other stakeholders. BC will interact with the Contractor daily in order to be apprised of field activities, health and safety, and potential change in conditions. We will assist the City and the Contractor in coordinating with utility companies prior to construction and during the USA, potholing and construction phases. We will conduct frequent

informal communications (generally on daily basis) with City and DA staff. BC will track action items and responsible parties to proactively resolve outstanding issues, eliminating extra costs or delays.

BC's CM team will be the main point of contact with City's inspection support staff. Our CM will coordinate with the Development Services Department Inspector daily to review general progress and quality of the work, discuss changes (potential and executed), current challenges, review the Contractor's health and safety performance, and review daily documentation. We will communicate with Water Utility staff when process shutdown requests are submitted for tie-ins, coordinate details of service connections, locate and troubleshoot existing water facilities, review potential design changes and review repair work. Similarly, but to a lesser extent, we will coordinate with Wastewater Utility staff to locate laterals, repair broken laterals, and identify leaking mains affecting water main installation. We will keep the Public Information Officer (PIO) apprised of current, upcoming and changes to schedules, key communications with residents and businesses and unresolved public outreach issues on the project. BC will communicate with Technical Services staff to coordinate with key submittals such as traffic control plan and encroachment permits.

Deliverables: Various forms of documentation as described in the following tasks.

Assumptions: City's PIO will update City website with construction notifications and update content, provide review of Contractor supplied flyers and door hangers for public notifications, and coordinate any public notifications that are not clearly called out in the contract specifications.

Task 1.4 // Quality Control Plan

BC will prepare and submit a Quality Control Plan (QCP) that details the administrative and inspection, and auditing process that will be used for this Project. It will be consistent with the project specifications, requirements of executed Design-Assist agreement, and the City standard procedures. Our QCP will outline the project file structure, detail how submittal, RFI, change order, schedule and cost will be managed. Our QCP will includes our materials quality assurance program which details how permanent materials will be accepted, tested and incorporated into the work.

Deliverables: Draft QCP for comments and copy of final QCP in PDF format.

Task 2 // Construction Contract Administration

Task 2.1 // Records Management

BC will use the CMIS document control software to maintain project records. BC will maintain project records including correspondence, minutes of meetings, submittals, test reports and data, certificates, permits, certified payrolls, cost accounting records, status reports (inspector's daily reports and monthly progress reports), photographs, claims files, proposed contract modifications (PCM), change orders, schedules, and progress estimates. We will also maintain records needed to comply with Division of Drinking Water (DDW) waiver requirements. We will maintain tracking logs and provide current copies at each weekly progress meeting to each party apprised of their action items.

Deliverables: User permissions and access to the CMIS system and one (1) four (4) hour virtual training session.

Assumptions: Use of CMIS software will not exceed 18 months and is limited to ten (10) City users (Contractor, DA and BC users are unlimited).

Task 2.2 // Progress Meetings

BC will hold a preconstruction meeting and weekly progress meetings with the City, Contractor and DA to review construction progress. BC will require the contractor to provide a 3-week look ahead schedule to facilitate discussions and planning of current and near-future activities. BC will review status of submittals, RFIs, change orders, field orders, and permit compliance. BC will discuss project health and safety, public convenience, and outreach items. BC will identify critical items and assign responsible parties, specific action items and timelines to resolve items before they impact schedule or cost.

Deliverables: Weekly agenda and meeting minutes, including current logs of submittals, RFIs, Field Orders, and PCO/CCO, in PDF format.

Assumptions: BC has assumed a total of one (1) two (2) hour preconstruction meeting and 32 one (1) hour weekly progress meetings. City and DA will attend preconstruction and weekly meetings.

Task 2.3 // Contract Compliance

BC will review Contractor documents and work products for compliance with Contract Document requirements. Compliance activities will include a summary and tracking log of the Contractor's certified payroll submittals, status of preliminary notices, stop notices, and releases. When necessary, we will work with the City to develop and implement corrective actions.

Deliverables: Monthly certified payroll status report and copies of written correspondence regarding compliance in PDF format.

Assumptions: BC staff will not perform labor compliance interviews.

Task 2.4 // Pay Requests

BC will manage, review and provide recommendations to the City for approval of monthly applications for payments. BC will meet with the contractor to reconcile any differences between the amount requested and our estimate of work completed during the month. Prior to final recommendation submittal to the City, we will review and certify that the Contractor's as-built drawings and certified payroll submittals are current.

Deliverables: Reconciled monthly progress payment applications in PDF format.

Assumptions: City and Contractor to negotiate and finalize the GMP prior to BC receiving executed CM contract.

Task 2.5 // Submittal Management

BC will screen, process, and track submittals in CMIS. Screening will include reviewing each submittal from the Contractor for completeness and those determined to be incomplete will be returned to the Contractor for re-submittal. Submittals determined to be reasonably complete will be transmitted to DA and the City for review. BC will compile all review comments and return the submittal to the Contractor. For submittals returned to the Contractor that require re-submittal, the re-submittal shall be retained as an action item for discussion at the weekly project meetings.

Deliverables: Collated submittal review comments in CMIS and PDF copy of current submittal log in progress meeting agenda and minutes.

Assumptions: BC has assumed processing of up to 60 submittal documents that include: submittals, submittal resubmittals, materials certifications, draft and final O&Ms, warranties forms, O&M inserts/supplements, shop drawings, product data, test reports, etc.

Task 2.6 // RFI Management

BC will screen, process, and track RFIs in CMIS. Incomplete RFIs will be returned to the Contractor for re-submittal. When possible, our team will provide information from Contract Documents back to the Contractor. When additional information or input is required, we will route the request to DA and the City for resolution. BC will maintain a status log that helps us track review times so RFIs do not delay the work.

Deliverables: Collated RFI review comments in CMIS and PDF copy of current RFI log in progress meeting agenda and minutes.

Assumptions: BC has assumed processing of up to 30 RFIs.

Task 2.7 // Revised Documents and Field Orders

BC will formally document and transmit all changes to the Contract Documents to the Contractor. If changes originate in the field or from a RFI or submittal response, BC will formalize the change with a Field Order upon receiving concurrence from DA and the City. If changes originate from the designer, DA will issue a formal design clarification that is routed through BC to the Contractor. BC will maintain a revised plan sheet log and use it to verify the Contractor's working set of plans and as-builts are up to date. We periodically include in meeting agendas and review it at progress meetings. Formalizing change directives, even if there is no cost or time impact associated, has two distinct advantages. One, having detailed backup documents often expedites change order approval process. Two, it helps ensure that changes get accurately reflected on the as-built drawings.

Deliverables: Copy of current field order and revised plan sheet logs in progress meeting agendas and copy of Field Order documents in PDF format.

Task 2.8 // Division of Drinking Water (DDW) Waiver Compliance

BC's will monitor the Contractor's compliance and documentation for each DDW variance location. Prior to construction we will do two things. First, collaborate with DA and the Contractor to finalize format and information required for each location. Second, create a tracking system for each known location. During potholing we will coordinate updating the log with found elevations and document any new potential conflicts. If new conflicts are found, BC will work with DA and DDW to resolve. BC will review upcoming locations with the Inspector and field crews to make sure all parties are aware of requirements of each crossing. BC will package a complete as-built report to DA and the City for final submittal to DDW.

Deliverables: Periodic copy of DDW tracking log and Contractor's final as-built report in PDF format.

Assumptions: Contractor shall provide all documentation (verified by assigned City or BC Inspector) to show adherence to DDW waiver.

Task 2.9 // Review of Quality Assurance Materials Testing

BC will oversee the Contractor's 3rd party geotechnical engineer and testing services. We will require the Contractor to implement a quality control program in accordance with the Contract Documents. We will observe materials testing, verify that testing reports are submitted within the specified time limits, and verify the Contractor submits a monthly summary report in conjunction with their monthly payment requests. If disputes, questions or confidence of the Contractor's 3rd party tester arises, we will solicit the services of the City's on-call subconsultant to provide independent verification review, sampling and testing.

Deliverables: Copy of Contractor monthly testing tracking log in PDF format.

Assumptions: Contractor to provide all testing reports to CM and DA for review. Contractor to flag testing reports when compliance is not met and notify CM and DA immediately of any deficiencies.

Task 2.10 // Permit and Mitigation Measures Compliance

BC will oversee Contractor's compliance with the project Mitigation and Monitoring Reporting Program. Primarily this includes SWPPP, dust and noise control. BC will assist the City to review the contractor's SWPPP and verify the Contractor's inspections of BMP implementation, maintenance, and reporting in accordance with City guidelines. BC will review the contractor's reports and inform them if reports are late or missing. BC will also monitor the Contractor's dust and noise control mitigation measures.

Deliverables: Complied permit review comments in CMIS.

Assumptions: Contractor will provide QSD and QSP for SWPPP implementation and compliance. City or DA will provide final review of permit applications and documents.

Task 2.11 // Health and Safety Compliance

BC will review the Contractor's compliance with workplace safety and health standards and regulatory requirements. We will coordinate with the Contractor to submit their Injury and Illness Prevention Plan and COVID-19 compliance plan. In this role, we will verify that the Contractor has complied with the specifications and applicable regulations and

has provided competent persons for on-site work. BC will inform the City of suspected safety violations and provide documentation of accidents when they occur. BC will develop a Field Work Safety Plan (FWSP).

Deliverables: Copy of final FWSP and safety violation reports in PDF format.

Task 3 // Change Management

Task 3.1 // Review and Evaluation of Contractor Change Order Requests, Claims and Disputes

Change, claim and dispute management includes the anticipation, identification, investigation, and determination of validity at the time each one is evident. At the earliest opportunity, BC will advise the City of the status and establish an action plan to resolve. We will evaluate the merits of cost and quantum. In accordance with the D-A agreement, BC will coordinate with DA to determine if a requested change is "incidental work" and included in the GMP or if it is valid change order and warrants additional payment. After consulting the City, and within the specified time frames, we will provide the Contractor written responses of our initial assessment and recommendations. If work is disputed, we will continue to track as if it were a valid claim for future negation or rebuttal. We will log and track each claim, updating the log as issues and claims are resolved or change status, and provide the City and the Contractor a copy at each weekly progress meeting.

Deliverables: Written evaluations and recommendations for claim resolution and current copy of PCO/CCO log in weekly meeting agendas and minutes in PDF format.

Assumptions: BC has assumed we will provide support to address 1 claim. We have not budgeted for additional hours of legal or specialty expertise to assist the City in handling or negotiating claims that cannot be addressed with the City's project team.

Task 3.2 // Investigate Differing Site Conditions

When the Contractor identifies apparent changed conditions (as specified in the contract documents), BC will immediately document the conditions in detail using video and/or still photography, annotations on drawings and specifications, and samples of materials. We will also begin to track possible impacts on cost, productivity, schedule, and other variables related to the conditions. We will coordinate a meeting with DA, Contractor, and City's PM to determine necessary course of action to resolve the conditions encountered.

Deliverable: Memorandum of finding in PDF format.

Task 3.3 // Develop and Coordinate Change Orders

BC will track project change orders including cost tracking and the estimated versus actual project expenditures. Once a change request has been determined outside the scope of the GMP, change orders will be carefully evaluated to verify the reasonableness of both cost and time impacts. Change orders will be tracked and documented using CMIS and will be discussed at the weekly progress meetings to confirm negotiation and pricing progress.

Deliverables: Completed change order and back-up documents for execution in the City's preferred format.

Assumptions: BC assumes processing up to three (3) change orders, each change order may include up to five (5) Proposed Contract Modifications.

Task 4 // Final Completion Services

Task 4.1 // Testing, Flushing, Disinfection and Start-up

BC will collaborate with the Contractor and City Water Utility staff at the beginning of the project to coordinate that the construction sequencing plan considers testing, disinfection and activation of new mains. Prior to receiving submittals, a meeting will be held with the City and the Contractor to review criteria for disposal of test water and

acceptance test parameters. We will perform the initial review of testing and disinfection submittals to verify procedures are in accordance with AWWA standards and identifies proper disposal of testing water. We will coordinate so all testing and disinfection operations are observed. We will require the Contractor to document test parameters and results. We will obtain certified third-party lab test reports from the Contractor and forward them to the City and DA for submittal to DDW.

Deliverables: Copy of the Contractor's testing, disinfection and water quality test results in PDF format.

Assumptions: The Contractor will provide certified lab services for water quality testing after disinfection. The City or DA will submit final water quality test results to DDW and coordinate acceptance.

Task 4.2 // Substantial and Final Completion Services

Substantial and Final Completion services will include a site inspection to review if the constructed facilities are complete and in compliance with Contract Documents. To accomplish this, BC will prepare and issue punch lists and inspect punch list item corrective actions for acceptability. As part of the punch list process, we will review documents submitted by Contractor for contract compliance. We will conduct substantial completion inspection with the Contractor, and then schedule, conduct, and complete final inspection with the City. If there are still unsettled changes or disputes with Contractor, we will assist the City in negotiations to resolve the issues.

When punch list items are completed, BC will coordinate with the City to assess when it is appropriate to release payments and retention to Contractor. We will confirm that final punch list items have been completed or resolved and confirm that all releases have been provided by Contractor for Subcontractors and suppliers. We will then assist the City in preparing a Certificate of Completion, and assist the City in obtaining the bonds, guarantees, warranties, lien releases, operation and maintenance manuals, and record drawings from the Contractor.

Deliverables: Punchlists, contract compliance documentation, warranty and lien tracking logs in PDF format.

Task 4.3 // O&M Manual Management

There will be only a handful of O&M Manuals on this Project. BC will log and track them in a similar fashion as submittals. BC will perform an initial review to verify that all the required information is included, such as manufacturer's local representative, operating instructions, preventative and corrective maintenance procedures, parts identification, warranty and testing forms. After final acceptance testing, BC will verify that all test results and warranties are included in final O&M Manuals prior to submittal to the City.

Deliverables: Compiled O&M Manual review comments in CMIS and copies of final O&M Manuals in PDF and paper format in accordance with the Contract Documents.

Task 4.4 // Review and Submit As-Built Drawings

At a project's completion we will receive the Contractor's prepared as-built drawings and perform a final review for completion against our own record drawings. Final as-built drawings will be verified by the CM and submitted to DA for final processing into official record drawings.

Deliverables: Copy of as-built drawings verified by the CM in PDF format.

Assumptions: City Inspector will provide input and verification of as-built drawings.

Task 4.5 // Prepare Final Payment Request and Retention Release Recommendation

After acceptance, BC will prepare a proposed final estimate (PFE) to allow the Contractor to make exceptions to the final amount of compensation. Prior to submitting the PFE, BC will meet with the Contractor to attempt to agree on final payment for all contract items and change orders. If the Contractor still objects to the PFE, BC will assist the City in negotiating final settlement with the Contractor and process the final estimate. Upon final agreement of the PFE, BC will provide recommendation for release of retention.

Deliverables: PFE and recommendation for retention release in PDF format

Task 4.6 // Deliver Final Project Files

Project files will be maintained electronically in accordance with file structure identified in our approved Quality Control Plan. Files will always be available for the City to review. Final electronic files will be delivered at Final Completion.

Deliverables: Final project files downloaded from CMIS (flash drive).

Assumptions: Contractor to provide all testing services and compliance results.

Task 5A // Unallocated Inspection Services

BC will provide a construction inspector during the anticipated three (3) month peak-construction period. Our inspector will perform the following tasks.

Task 5A.1 // Daily Reports

We will use CMIS to produce daily field inspection reports that document the Contractor's work activities, labor force, equipment, and materials incorporated into the work. We also document any issues affecting work progress and safety issues. Photos of the work in progress will be used to capture daily work activities and will be included the CMIS daily reports. Inspections reports will be supported with annotated drawings and specifications as necessary.

Deliverables: Daily reports in CMIS.

Task 5A.2 // Regulatory and Health & Safety Compliance

BC will review the Contractor's compliance with workplace safety and health standards and regulatory requirements. In this role, we will verify that the Contractor has complied with the specifications and applicable regulations (i.e. Cal OSHA) and has provided competent persons for on-site work. We will verify that approved traffic control plans are in use and placed in accordance with the California Manual on Uniform Traffic Control Devices (CA MUTCD). BC will inform the City of suspected safety violations and provide documentation of accidents when they occur.

Deliverables: Safety violation and accident reports in PDF format.

Task 5A.3 // Public Outreach, Connivence and Safety

The BC inspector will serve as an extension of City staff and will be the front line of public outreach for the project. We will communicate the current activities to interested members of the public and document all concerns on tracking log. If concerns cannot be resolved in the field with the Contractor, they will be elevated to the CM and the City's Public Information Officer as needed. We will verify that the Contractor has provided the required notice for service outages, road closures and detours, and no parking zones. We will work with the Contractor to minimize public safety and inconvenience. Examples include maintaining or providing alternate access to driveways, allowing access for garbage pickup and bus service, minimizing "attractive nuisances" that can pose safety issues, and keeping the site clean and organized.

Deliverables: Periodic updates to public tracking log and written report of specific concerns in PDF format.

Assumptions: Refer to Task 1.3 for applicable assumptions.

Task 5B // Field Inspection (By City or By BC if Optional Task is Authorized)

The City will provide a full-time construction inspector throughout the duration of the project. The City's inspector will perform Tasks 5B.1 through 5B.3 as well as be responsible for the following items on a daily basis:

- Be on-site full time while construction activities are taking place.
- Provide daily inspection reports in CMIS that includes detailed descriptions of all work activities, documentation of on-site construction staff and equipment used, material deliveries, visitors, safety violations and photos.
- Coordinate with the Contractor for 3rd party materials testing and verify compliance with the approved Quality Control Plan.
- Review submittals, RFIs, and design clarifications to verify that proper material/equipment is being installed and design changes are accurately incorporated by the contractor.
- Review and verify traffic control devices are in accordance with approved traffic control plans and CA MUTCD.

If the City elects to have BC provide the full-time construction inspector, BC will perform the responsibilities noted above as well as Tasks 5B.1 through 5B.3 detailed below.

Task 5B.1 // Daily Reports

The inspector will use CMIS to produce daily field inspection reports that document the Contractor's work activities, labor force, equipment, and materials incorporated into the work. We also document any issues affecting work progress and safety issues. Photos of the work in progress will be used to capture daily work activities and will be included the CMIS daily reports. Inspections reports will be supported with annotated drawings and specifications as necessary.

Deliverables: Daily reports in CMIS.

Task 5B.2 // Regulatory and Health & Safety Compliance

The inspector will review the Contractor's compliance with workplace safety and health standards and regulatory requirements. In this role, the inspector will verify that the Contractor has complied with the specifications and applicable regulations (i.e. Cal OSHA) and has provided competent persons for on-site work. The inspector will verify that approved traffic control plans are in use and placed in accordance with the CA MUTCD. BC will inform the City of suspected safety violations and provide documentation of accidents when they occur.

Deliverables: Safety violation and accident reports in PDF format.

Task 5B.3 // Public Outreach, Connivence and Safety

The inspector will be the front line of public outreach for the project. The inspector will communicate the current activities to interested members of the public and document all concerns on tracking log. If concerns cannot be resolved in the field with the Contractor, they will be elevated to the CM and the City's Public Information Officer as needed. The inspector will verify that the Contractor has provided the required notice for service outages, road closures and detours, and no parking zones. The inspector work with the Contractor to minimize public safety and inconvenience. Examples include maintaining or providing alternate access to driveways, allowing access for garbage pickup and bus service, minimizing "attractive nuisances" that can pose safety issues, and keeping the site clean and organized.

Deliverables: Periodic updates to public tracking log and written report of specific concerns in PDF format.

Assumptions: Refer to Task 1.3 for applicable assumptions.

EXHIBIT “B”

Construction Management and Inspection Services for
Downtown Water Mains Replacement Project - Hillcrest Neighborhood

		Team Member, Staff Classification & Hourly Rates															Reimbursable Expenses						
		Walters, Stephen T	Bates, Jacqueline R	Durazo, Elizabeth R	Hansen, Timothy W	Sasser, Rick	To Be Determined	Harrison, Michael J	Uresti, Irasema	Krulјac, Ian A	Romero, Sara B	Hoff, Kenneth	Terrazas, Richard W	Kivett, Jeffrey R									
Task	Phase Description	PM & CM	Project Analyst	PIC	QA/QC	Inspector	Peak-time Inspector	Dir. Env. Engineer	Project Admin	Scheduler	Accounting	Safety Officer	Dir. Project Mgt.	Managing Dir. Area Lead	Total Labor Hours	Total Labor Effort	CMIS (Doc Mgt) [4]	Misc. Field Supplies	Total ODCs	Company Vehicles [5]	Total Unit Pricing Effort	Total Expense Effort	Total Effort
		$256.88	$107.55	$206.29	$221.36	$158.08	$160.00	$263.20	$104.89	$178.51	$77.32	$164.67	$280.96	$319.51									
001	Construction & PM	400	15	8	16	0	0	4	40	48	7	8	1	1	548	$125,831.32	$0	$0	$0	$0	$0	$0	$125,831.32
002	Construction Contract Admin	800	0	4	0	0	0	0	200	0	0	0	0	0	1,004	$227,302.62	$6,825	$200	$7,025	$7,500	$7,500	$14,525	$241,827.62
003	Change Management	80	0	0	4	0	0	0	30	0	0	0	0	0	114	$24,582.07	$0	$0	$0	$0	$0	$0	$24,582.07
004	Final Completion Services	80	0	0	0	0	0	0	30	0	0	0	0	0	110	$23,696.62	$0	$0	$0	$0	$0	$0	$23,696.62
005A	Unallocated Inspection Services	0	0	0	0	0	480	0	0	0	0	0	0	0	480	$76,800.00	$0	$0	$0	$0	$0	$0	$76,800.00
SUB-TOTAL (CM Services)		**1,360**	**15**	**12**	**20**	**0**	**480**	**4**	**300**	**48**	**7**	**8**	**1**	**1**	**2,256**	**$478,212.63**	$6,825	$200	**$7,025**	$7,500	**$7,500**	**$14,525**	**$492,737.63**
005B	Field Inspection - (Recommended/Optional)	0	0	16	16	1,280	0	0	0	0	0	0	0	0	1,312	$209,181.01	$0	$1,000	$1,000	$8,500	$8,500	$9,500	$218,681.01
SUB-TOTAL (Inspection Services		**0**	**0**	**16**	**16**	**1,280**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**0**	**1,312**	**$209,181.01**	$0	$1,000	**$1,000**	$8,500	**$8,500**	**$9,500**	**$218,681.01**
GRAND TOTAL		**1,360**	**15**	**28**	**36**	**1,280**	**480**	**4**	**300**	**48**	**7**	**8**	**1**	**1**	**3,568**	**$687,393.64**	$6,825	$1,200	**$8,025**	$16,000	**$16,000**	**$24,025**	**$711,418.64**

Compensation Notes and Assumptions:

1) The above cost proposal is based on an assumed construction contract duration of 280 calendar days. It assumes a construction NTP of February 5, 2021 and a construction management NTP of March 4, 2021. It assumes a construction Final Completion date of November 9, 2021 with one additional month for closeout construction management services.
2) Compensation to provide construction management and inspection services required for the project shall be on a time and material basis for the necessary personnel. The above cost proposal is developed to determine a "Not to Exceed" contract value. Personnel and billing rates to be finalized during negotiation period prior to the executed service agreement.
3) Estimated hours and totals by individual tasks and subtasks may need to be periodically rebalanced during the course of the contract and depending on actual workload.
4) Includes 5% Markup on Subconsultants and Outside Services.
5) Company vehicle mileage charged at the current IRS approved standard mileage rate of 56.0 cents per mile.

COUNCIL COMMUNICATION

CC #: 1300
File #: 0800-02

Title:	Program and Project Coordinator for Water Efficiency Outreach Initiatives (RFP 08-078) - Professional Services Agreement
Contact:	Bobby Alvarez 916-746-1710 balvarez@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.10.

RECOMMENDATION TO COUNCIL

Staff recommends City Council adopt a resolution to approve a professional services agreement with Cheryl Buckwalter dba Landscape Liaisons to provide program and project coordination services for water efficiency outreach initiatives, and authorize the City Manager to execute it on behalf of the City of Roseville.

BACKGROUND

Senate Bill 606 (Hertzberg) and Assembly Bill 1668 (Friedman) provide a framework "to make water conservation a way of life" – a framework for water conservation and efficiency. This long-term conservation legislation requires urban water providers to set permanent water conservation targets for their service areas by 2022, with implementation by 2023, and every year thereafter.

The City of Roseville has responded, and continues to respond, to this legislation and the goals and objectives of Environmental Utilities (EU) by using a multi-faceted approach. One such approach is to engage the residents of Roseville and provide them with the necessary resources to raise their awareness, gain knowledge, and actively participate in our conservation efforts.

The consultant selected for this professional services agreement will assist EU in meeting its public education and outreach targets. Services will include, but are not limited to, education, training, project management, outreach and promotion. The term of the agreement commences at execution and expires on February 16, 2022. The agreement includes the option for the City Manager to extend the agreement in one year increments for up to four additional years.

FISCAL IMPACT

Total compensation for each year shall not exceed $50,000. Funding is reserved in the Water Utility's Water Efficiency Section annual operating budget which comes from water utility sales revenue deposited in the Water Operations Fund.

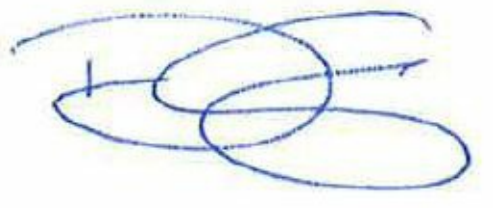
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) only applies to projects which have the potential to cause a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. (CEQA Guidelines §15061(b)(3)).

Respectfully Submitted,

Bobby Alvarez, Water Conservation Administrator

Richard Plecker, Environmental Utilities Director



_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-075

Professional Services Agreement-Landscape Liaisons

RESOLUTION NO. 21-075

APPROVING A PROFESSIONAL SERVICES AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND CHERYL BUCKWALTER DBA LANDSCAPE LIAISONS, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a professional services agreement for Program and Project Coordinator for Water Efficiency Outreach Initiatives, between the City of Roseville and Cheryl Buckwalter dba Landscape Liaisons, has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said agreement is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 20_, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

PROFESSIONAL SERVICES AGREEMENT

Program and Project Coordinator for Water Efficiency Outreach Initiatives

THIS AGREEMENT is made and entered into this ___ day of _____, 20__, by and between the City of Roseville, a municipal corporation ("CITY"), and Cheryl Buckwalter dba Landscape Liaisons, a California sole proprietor ("CONSULTANT"); and

W I T N E S S E T H:

WHEREAS, CITY desires professional services consisting of Program and Project Coordinator for Water Efficiency Outreach Initiatives; and

WHEREAS, CONSULTANT has prepared a proposal dated December 1, 2020, which describes the scope of work to be performed by CONSULTANT, the budget for the work, and the schedule for performance of the work; and

WHEREAS, CONSULTANT is qualified and experienced to provide such professional services.

NOW, THEREFORE, the parties agree as follows:

1. Term. The term of this Agreement shall commence upon execution and expire on February 16, 2022. The City Manager may, in his or her discretion, elect to extend the Agreement in one (1) year increments for up to an additional four (4) years by giving CONSULTANT thirty (30) days advance written notice of each optional one (1) year renewal. Such extensions shall be memorialized by a written amendment to this Agreement.

2. Services. CONSULTANT shall perform, at the direction of CITY, the scope of services as described in EXHIBIT "A," attached hereto and incorporated herein by this reference.

3. Compensation. For its services provided hereunder, CONSULTANT shall be compensated on a time and expense basis in accordance with the budget estimate as described in EXHIBIT "B," attached hereto and incorporated herein by this reference. Total compensation shall not exceed fifty thousand dollars ($50,000).

CONSULTANT shall submit one monthly invoice for its services. Such invoices shall be delineated by task, the person performing the services, and the hourly rate, which shall be stated in time increments of not greater than one tenth (1/10) hours. CITY shall pay invoices within thirty (30) days after receipt, if the services specified in the invoice have been satisfactorily completed.

4. Indemnification. To the fullest extent allowed by law, CONSULTANT shall defend, indemnify, and save and hold harmless CITY, its officers, agents, employees and volunteers from any claims, suits or actions of every name, kind and description brought forth, or on account of, injuries to or death of any person (including but not limited to workers and the public), or damage to property, resulting from or arising out of CONSULTANT's willful misconduct or negligent act or omission while engaged in the performance of obligations or exercise of rights created by this Agreement, except those matters arising from CITY's sole negligence or willful misconduct. The parties intend that this provision shall be broadly construed.

CONSULTANT's responsibility for such defense and indemnity obligations shall survive the termination or completion of this Agreement for the full period of time allowed by

law. The defense and indemnity obligations of this Agreement are undertaken in addition to, and shall not in any way be limited by, the insurance obligations contained in this Agreement.

CONSULTANT agrees to defend and indemnify CITY if, despite the parties intent and practice, any venue, agency, or court with competent jurisdiction determines that CONSULTANT and/or any of its agents, officers, employees, volunteers, independent contractors, or subcontractors, are characterized as employee(s) of CITY.

5. Insurance. CONSULTANT agrees to continuously maintain, in full force and effect, the following minimum policies of insurance during the term of this Agreement.

COVERAGE	LIMITS OF LIABILITY
Workers' Compensation	Statutory
Commercial General Liability	$1,000,000 each occurrence $2,000,000 aggregate Personal Injury: $1,000,000 each occurrence $2,000,000 aggregate
Automobile Liability	$1,000,000 combined single limit
Professional Liability (errors and omissions)	$1,000,000 per claim $2,000,000 aggregate

a. Form. CONSULTANT shall submit a certificate evidencing such coverage for the period covered by this Agreement in a form satisfactory to Risk Management and the City Attorney, prior to undertaking any work hereunder. Any insurance written on a claims made basis is subject to the approval of Risk Management and the City Attorney.

b. Additional Insureds. CONSULTANT shall also provide a separate endorsement form or section of the policy showing CITY, its officers, agents, employees and volunteers as additional insureds for each type of coverage, except for Workers' Compensation

and Professional Liability. Such insurance shall specifically cover the contractual liability of CONSULTANT. The additional insured coverage under the CONSULTANT's policy shall be primary and noncontributory, as evidenced by a separate endorsement or section of the policy, and shall not seek contribution from CITY's insurance or self-insurance. In addition, the additional insured coverage shall be at least as broad as the Insurance Services Office ("ISO") CG 20 01 Endorsement. Any available insurance proceeds in excess of the specified minimum insurance coverage requirements and limits shall be available to the additional insureds. Furthermore, the requirements for coverage and limits shall be: (1) the minimum coverage and limits specified in this Agreement; or (2) the full coverage and maximum limits of any insurance proceeds available to the named insureds, whichever is greater.

c. Cancellation/Modification. CONSULTANT shall provide ten (10) days written notice to CITY prior to cancellation or modification of any insurance required by this Agreement.

d. Umbrella/Excess Insurance. The limits of insurance required in this Agreement may be satisfied by a combination of primary and excess insurance. Any excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and noncontributory basis for the benefit of CITY (if agreed to in a written contract) before CITY's own insurance shall be called upon to protect it as a named insured.

e. Subcontractors. CONSULTANT agrees to include in its contracts with all subcontractors the same requirements and provisions of this Agreement, including the indemnity and insurance requirements, to the extent they apply to the scope of the subcontractor's work. Furthermore, CONSULTANT shall require its subcontractors to agree to be bound to CONSULTANT and CITY in the same manner and to the same extent as CONSULTANT is

bound to CITY under this Agreement. Additionally, CONSULTANT shall obligate its subcontractors to comply with these same provisions with respect to any tertiary subcontractor, regardless of tier. A copy of CITY's indemnity and insurance provisions will be furnished to the subcontractor or tertiary subcontractor upon request.

f. Self-Insured Retentions. All self-insured retentions ("SIR") must be disclosed to Risk Management for approval and shall not reduce the limits of liability. Policies containing any SIR provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named insured or CITY. CITY reserves the right to obtain a full certified copy of any insurance policy and endorsements. The failure to exercise this right shall not constitute a waiver of such right.

g. Waiver of Subrogation. CONSULTANT hereby agrees to waive subrogation which any insurer of CONSULTANT may acquire from CONSULTANT by virtue of the payment of any loss under a Workers Compensation, Commercial General Liability or Automobile Liability policy. All Workers Compensation, Commercial General Liability and Automobile Liability policies shall be endorsed with a waiver of subrogation in favor of CITY, its officers, agents, employees and volunteers for all worked performed by CONSULTANT, its employees, agents and subcontractors.

h. Liability/Remedies. Insurance coverage in the minimum amounts set forth herein shall not be construed to relieve CONSULTANT of liability in excess of such coverage, nor shall it preclude CITY from taking such other actions as are available to it under any other provisions of this Agreement or law.

6. Records. CONSULTANT and its subcontractors shall maintain all files and records relating to the services performed hereunder during the term of this Agreement and for a

period of not less than one (1) year after the date of termination or expiration. Provided, however, that in the event of litigation or settlement of claims arising from the performance of this Agreement, CONSULTANT and its subcontractors shall maintain all files and records until such litigation, appeals or claims are resolved. Duly authorized representatives of CITY shall have right of access during normal business hours and after reasonable notice to CONSULTANT's and subcontractors' files and records relating to the services performed hereunder, and may review and copy the files and records at appropriate stages during performance of the services and during the one (1) year period following termination or expiration of this Agreement. CONSULTANT shall include this provisions in its contracts with all subcontractors.

7. Time is of the Essence. Time is of the essence of this Agreement.

8. Compliance with Laws. CONSULTANT shall comply with all federal, state and local laws, ordinances and policies as may be applicable to the performance of services under this Agreement.

9. Ability to Perform. CONSULTANT agrees and represents that it has the time, ability and professional expertise to perform the services required under this Agreement.

10. Governing Agreement. In the event of any conflict between this Agreement and its EXHIBITS, the provisions of this Agreement shall govern. In the event of any conflict between any of the EXHIBITS, the provisions of the first in order of attachment shall govern.

11. Assignment. CONSULTANT is employed to perform unique personal services. CONSULTANT shall not assign this Agreement without the prior written consent of CITY. CONSULTANT shall not employ or otherwise incur any obligation to pay other specialists or experts for services in connection with this Agreement, without prior written consent of CITY.

12. Independent Contractor. CONSULTANT shall act as an independent contractor, and covenants and agrees that it will conduct itself consistent with such status, that it will neither hold itself out as, nor claim to be, an officer or employee of CITY by reason of this Agreement.

CONSULTANT and CITY agree that: (a) CONSULTANT is free from the control and direction of CITY in connection with the performance of the work; (b) CONSULTANT is providing services directly to CITY; (c) CONSULTANT has and will maintain at all relevant times a business license; (d) CONSULTANT maintains a business location that is separate from CITY; (e) CONSULTANT is customarily engaged in an independently established business of the same nature as that involved in the work performed hereunder; (f) CONSULTANT actually contracts with other businesses to provide the same or similar services and maintains a clientele without restrictions from CITY; (g) CONSULTANT advertises and holds itself out to the public as available to provide the same or similar services; (h) CONSULTANT provides its own tools, vehicles, and equipment to perform the services; (i) CONSULTANT has negotiated its own rates; (j) CONSULTANT set its own hours and ocation of work in accomplishing CITY's on-call needs; and (k) CONSULTANT has the right to control the manner and means of accomplishing the result desired and exercises its own expert independent judgement.

13. Representations and Warranties. CONSULTANT warrants that it has not employed or retained any company or person, other than a bona fide employee working for CONSULTANT, to solicit or secure this Agreement, and that it has not paid or agreed to pay any company or person, other than a bona fide employee, any fee, commission, percentage, brokerage fee, gift or any other consideration, contingent upon or resulting from the award or making of this Agreement. For breach or violation of this warranty, CITY shall have the right to

terminate as void this Agreement, without liability, or, in its discretion, to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such fee, commission, percentage, brokerage fee, gift or contingent fee.

14. Successors in Interest. This Agreement shall be binding upon the heirs, successors, executors, administrators and assigns of the respective parties hereto.

15. Copyright, Ownership and Use of Materials. All tangible material ("Material") created or delivered pursuant to this Agreement is considered a work made for hire under the Copyright Act. To the extent such Material does not qualify as a work made for hire, CONSULTANT hereby assigns to CITY all right, title, and interest, including but not limited to all copyrights, in all Material created by CONSULTANT in its performance under this Agreement. Material constitutes the scope of work outlined in Exhibit A and attached hereto, and all written and other tangible expressions, including but not limited to, drawings (including computer aided drawings), papers, documents, reports, surveys, renderings, exhibits, sketches, maps, models, prints, paintings or photographs, in any and all media or formats in which such materials have been created or are maintained. All Material furnished by CONSULTANT is, and shall remain, the property of CITY.

CONSULTANT shall execute any documents necessary to effectuate such assignment. In the event that CONSULTANT uses, employs, designates, or retains any person or entity who is not an employee of CONSULTANT, to perform any work required of it pursuant to this Agreement, CONSULTANT shall require said person or entity to execute an agreement containing the preceding paragraph.

16. Termination of Agreement. The City may terminate this Agreement without cause by giving CONSULTANT ten (10) days advance written notice from the City Manager.

CONSULTANT may terminate this Agreement without cause by giving CITY thirty (30) days advance written notice. In the event of termination through no fault of CONSULTANT, CITY shall compensate CONSULTANT for services performed as of the date of termination, upon the release to CITY of all Material hereunder, in any and all media or formats in which such materials have been created or are maintained. CITY retains the right to receive and use any Material, notwithstanding any termination or any dispute regarding the amount to be paid.

17. Attorney's Fees; Venue; Governing Law. If either party commences any legal action against the other party arising out of this Agreement or the performance hereof, the prevailing party in such action shall be entitled to recover its reasonable litigation expenses, including but not limited to, court costs, expert witness fees, discovery expenses, and attorney's fees. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue may lie. This Agreement shall be governed by and construed in accordance with the laws of the State of California.

18. Modification. This Agreement and each provision contained herein may be waived, amended, supplemented or eliminated only by mutual written agreement of the parties.

19. Severability. If any of the provisions contained in this Agreement are for any reason held invalid or unenforceable, such holding shall not affect the remaining provisions or the validity and enforceability of the Agreement as a whole.

20. Notices. Any notices to parties required by this Agreement shall be delivered personally or mailed, U.S. first class postage prepaid, addressed as follows:

CITY OF ROSEVILLE	CONSULTANT
Bobby Alvarez 2005 Hilltop Circle Roseville, CA 95747	Cheryl Buckwalter P.O. Box 218 Cool, CA 05614

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Agreement in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ___ day of _____, 20__, and CONSULTANT has caused this Agreement to be executed.

CITY OF ROSEVILLE, a municipal corporation

BY:_____
DOMINICK CASEY
City Manager

ATTEST:

BY:_____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

CHERYL BUCKWALTER dba Landscape Liaisons, a California sole proprietor

BY: _____
CHERYL BUCKWALTER
Owner

_____

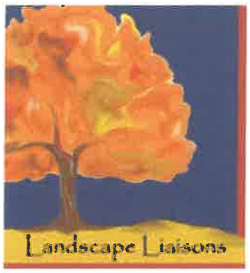
[SIGNATURES CONTINUED ON FOLLOWING PAGE]

APPROVED AS TO SUBSTANCE:

BY:

RICHARD D. PLECKER
Environmental Utilities Director

EXHIBIT "A"



PROGRAM AND PROJECT COORDINATOR FOR WATER EFFICIENCY OUTREACH INITIATIVES
RFP #08-078

Proposal Submitted By

Landscape Liaisons
P.O. Box 218
Cool, CA 95614

Contact Person
Cheryl Buckwalter
(916) 207-8787
landscapeliaisons@gmail.com

P.O. Box 218, 2700 Cascade Trail
Cool, CA 95614
(916) 207-8787 / landscapeliaisons@gmail.com

December 1, 2020

City of Roseville
Attn.: City Clerk Department
311 Vernon Street
Roseville, CA 95678

Proposal Submitted Via Email to
CityClerkRoseville@roseville.ca.us

Subject: Program and Project Coordinator for Water Efficiency Outreach Initiatives
Request for Proposals (RFP) #08-078

It is my pleasure to submit this proposal for the Program and Project Coordinator for Water Efficiency Outreach Initiatives on behalf of Landscape Liaisons. I agree to perform services and adhere to the requirements described in this RFP, including Addendum 1, RFP #08-078 Mandatory Meeting 11-18-20, and Meeting Q & A. I attended the mandatory pre-proposal Microsoft Teams Video Conference on November 18, 2020. Please refer to Attachment A of this proposal for this Addendum.

Since 2007, I have been the sole proprietor of this woman-owned small business. I have consulted, provided services, and collaborated with numerous public sector agencies, non-profit organizations, companies, and service providers. I have extensive experience performing the same or similar tasks described in the Scope of Services, including development and delivery of high-quality educational programs, designing and coordinating residential demonstration garden projects, organizing landscape tours and expos, drafting RFPs, and developing tools and resources for residents and professionals. The foundation of my company is based on the holistic approach to sustainable, watershed-based, drought-resilient and regenerative landscaping.

Legislation and the environmental challenges we face give me a heightened awareness of the need to press forward with our work. As demonstrated in this RFP, the City of Roseville Environmental Utilities (EU) is forward-thinking and innovative. It would be an honor to work with and support the City and EU. Thank you for your consideration of this proposal.

Sincerely,

Cheryl Buckwalter (Contact Person)

Landscape Liaisons
Proposal for
Program and Project Coordinator for
Water Efficiency Outreach Initiatives

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TAB A. Firm's Qualifications

Landscape Liaisons is a woman-owned small business that has provided consultation, education, and residential landscape design services that directly align with the Core Practices & Principles listed in Attachment C of the RFP. While based in Cool, owner Cheryl Buckwalter primarily works in the Sacramento region but has provided educational programs and project coordination services throughout the State. A favorite saying of hers is that she'll "go where's she's gotta go to get the job done", and that's especially true of any "job" in which she can raise awareness, educate, and demonstrate regenerative, climate-resilient principles, practices, and water conservation efforts.

Cheryl will be directly responsible for providing services related to the types of programs, projects, education/teaching, resource development, and consulting described in the Scope of Services. Please refer to Attachment B that describes the breadth of her work, accomplishments, volunteer contributions, and leadership.

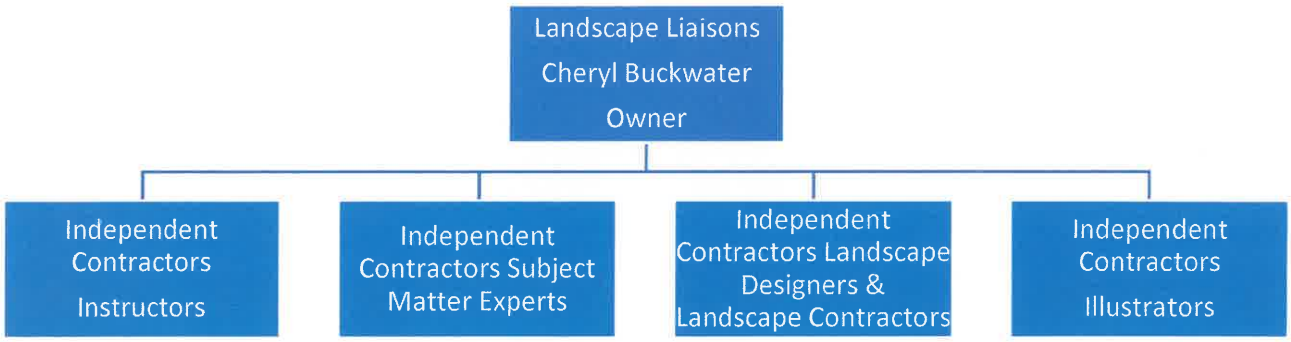
Cheryl's credentials include: a degree in Environmental Horticulture with emphasis on residential landscape design, Certified Landscape Irrigation Auditor (CLIA), Qualified Water Efficient Landscaper (QWEL), River-Friendly Landscaping Green Gardener curriculum developer and instructor, and recently completed the ReScape California Qualified Firescaping Qualification Training. Cheryl is the 2020 Advocacy/Sustainability Chair in Sacramento for the Association of Professional Landscape Designers (APLD) and the incoming 2021 APLD Chapter (statewide) Advocacy/Sustainability Chair. Cheryl is the recipient of the 2014 Friends of the River Mark Dubois Award, which honors *The New Generation of Water Conservation Leaders*.

The company's name, Landscape Liaisons, speaks to Cheryl's inclusive style of building relationships and collaborating. While many tasks in the Scope of Services will be performed by Cheryl; however, depending upon the project, she may build a of team experts in the areas of irrigation design, landscape construction, web-based applications, and educational resources. She has fostered and sustained relationships with colleagues who are well-respected and at the top in their field. There's a saying that "Those who can't do, teach." However, the opposite is true for Cheryl and every professional with whom she works. These professionals "Teach because they *can* do." They are in-the-field, practicing professionals who are *also* excellent educators. Cheryl believes that we don't necessarily need a large work force to do our work, we just need *the right* workforce.

Please refer to TAB C, for more information about professionals who have worked with Landscape Liaisons.

Landscape Liaisons
P.O. Box 218
Cool, CA 95614

Contact Person
Cheryl Buckwalter
(916) 207-8787
landscapeliaisons@gmail.com



Note: Use of "Independent Contractors" above represents "Consultants/Independent Contractors" due to space limitations in the chart.

Size (number) and type of consultants/independent contractor professionals will vary depending on specific tasks and scopes of work.

TAB B. Experience and References

Summary of Experience

Cheryl Buckwalter's passion for ushering California into a new age of sustainable practices in the landscape is both contagious and compelling. Her knowledge, experience, and generous nature reveal the leader behind the speaker. Cheryl is a consultant, educator, and residential landscape designer who has helped transform underused, outdated, and resource-guzzling spaces into drought-resilient landscapes that provide numerous benefits to our families, community, and environment.

Seeing the environmental and resource challenges we face, Cheryl realized that the pace needs to quicken and engagement needs to expand, so her focus has broadened into her multi-faceted approach today. Cheryl has developed and collaborated to develop, plan, research and deliver educational plans to train and empower landscape professionals and the general public through classes, workshops, and presentations (both in-person and virtual) based on holistic, drought-resilient and regenerative landscaping principles and practices. She's helped develop water-efficient landscape incentive programs and resources; developed lists of climate-appropriate and California native plant specific to the Sacramento region.

From concept to completion, Cheryl has been integral in the execution of landscape makeover contests, garden tours, and expo-type landscape fairs. She's managed and coordinated projects that included creating landscape designs, acquiring sponsors, and providing oversight of contractors and volunteers. With many projects, she's taught on-site, hands-on workshops to Do-It-Yourself (DIY) gardeners about efficient irrigation practices, proper planting, and care of plants. For demonstration and community gardens, Cheryl's developed landscape plans and maintenance guides, and provided oversight of installations by contractors, service providers, and volunteers. Please refer to Attachment B for more detailed information.

Cheryl has participated in on-camera promotional and educational videos about projects and for training purposes, and developed script content. She's assisted with development of marketing and outreach plans as well as content development for tip-sheet type resources and demonstration garden signs.

Consultation services have been provided to the following agencies: California American Water Sacramento District, California Water Efficiency Partnership (previously CUWCC), Citrus Heights Water District, City of Folsom Environmental & Water Resources, City of Sacramento Department of Utilities, City of Roseville Environmental Utilities, City of West Sacramento Environmental Service & Sustainability Division, Placer County Water Agency, Regional Water Authority, Sacramento County Department of Water Resources Stormwater Quality Program, and the Water Forum.

Annually, Cheryl averages 25 hours of Continuing Education Units and pursues qualification and certification trainings to support and strengthen her professional qualifications for this Scope of Services. She voluntarily participates on a number of committees and workgroups, including the Department of Water Resources Landscape Stakeholder Advisory Group (DWR LSAG) and the California Water Efficiency Partnership's (CalWEP) Landscape Task Force.

TAB B. Experience and References (continued)

References

Rex Meurer
Water Efficiency Supervisor
Citrus Heights Water District
P.O. Box 286
Citrus Heights, CA 95611-0286
Email: rmeurer@chwd.org
Office: (916) 735-7727
Cell: (916) 599-3593

Sylvan Ranch Community Garden
The Citrus Height Water District's (CHWD) objective was to develop a demonstration garden in a corner of this existing community garden to support the community and showcase water-efficient garden practices and methods. This project was completed on November 14, 2020, and is an excellent example of a collaborative-type project by Landscape Liaisons. Being a public site, Landscape Liaisons worked with Landscape Architect, Rob Littlepage, to design the raised planting beds. CHWD contracted with a contractor to construct the beds. Landscape Liaisons developed the hands-on workshop content for the installation of the drip system and plant installation with Landscape Contractor and instructor, Jessy Parker, and developed the scene shot list for the videographer. Landscape Liaisons and Jessy Parker gave the workshop that was for the Garden Corps Volunteers who will maintain the planting beds and help to share what they learned with other garden volunteers. A Garden Guide is being developed for the ongoing, year-round care of the plants and irrigation system. Please refer to Attachment C for photos.

Greg Bundesen
Water Conservation Supervisor
Sacramento Suburban Water District
3701 Marconi Avenue, Suite 100
Sacramento, CA 95821
Email: gbundesen@sswd.org
Office: (916) 679-2890

The Garden on Eden Court
Sacramento Suburban Water District (SSWD) partnered with EcoLandscape California (ELC) with the objective to transform a conventional, high-water-use lawn to a water-wise, sustainable landscape and to provide hands-on training to home gardeners and SSWD's customers. The landscape is at one of SSWD's well sites, located in a Carmichael neighborhood at the corner of Root Avenue and Eden Court, earning it the name, *The Garden on Eden*.

The landscape and irrigation designs were based on the *Eco-Friendly Landscape Design Plans for The New California Landscape*, which were designed by Cheryl Buckwalter and fellow ELC member designers and independent contractors, and published by EcoLandscape California.

References

The Garden on Eden Court (continued)
Local home gardeners learned about water-wise landscaping during a series of workshops and received hands-on experience by helping with the transformation, improving soil health, and how to sheet mulch, install water-efficient drip irrigation, properly plant and apply mulch.

This landscape is considered to have multiple benefits because it was designed to provide year-round color and beauty, minimize the work needed to maintain it, retain stormwater on site via a rain garden and soil contours, and minimize the water needed to irrigate it.

The previous landscape of cool season turf grass and inefficient sprinklers had a water requirement of 125,829 gallons per year. This landscape, with its low and very-low-water-use plants and efficient drip system will reduce the water consumption to 33,113 gallons per year, once established.

This project took place between August through December 2016. Cheryl Buckwalter was the project's coordinator, maintaining close communication with SSWD to ensure objectives were being met and to provide project updates, and conduct team meetings. She oversaw all aspects from start to finish, including forming the project team, creating the site-specific landscape design; collaborating with the irrigation designer, installing contractor, and sheet mulch expert/Master Gardener. She organized workshops and developed curriculum with instructors, including herself, and delivered in-class workshops and hands-on workdays with class participants. She helped develop sign content. A project video was produced by Margo Dean in coordination with EcoLandscape California, Landscape Liaisons, and Lori Palmquist, which includes interviews with class participants. Click here to learn more and to watch the video that was produced about this project.

Amy Marie Talbot
Water Efficiency Program Manager
Regional Water Authority
5620 Birdcage Street, Suite 180
Citrus Heights, CA 95610
Email: atalbot@rwah2o.org
Cell: (214) 914-2510

Cheryl has provided consultation services to the Regional Water Authority off and on since 2008, including the Blue Thumb Front Yard Makeover Contest, in which Cheryl coordinated the project and was the landscape designer for four makeovers. She assisted with the transformation of the Green Gardener Training Program for professionals into the Green Gardener at Home version. During these early years, Cheryl's point of contact with the RWA was Linda Higgins, currently with Placer County Water Agency.

More recently for the RWA's BeWaterSmart Lawn Options webpage, Cheryl developed the Turfgrass Alternatives and Substitutes Resource List and provided content and photos for the Tired of Your Thirsty Turfgrass flyer. She also serves as a landscape and horticulture advisor, being called upon by

the Water Efficiency Program Manager, Amy Talbot, to discuss, review, and advise on various matters.

Additional references of public sector agencies with whom Cheryl Buckwalter has worked:

Linda Higgins
Placer County Water Agency
144 Ferguson Road
Auburn, CA 95603
Email: lhiggins@pcwa.net
Office: (530) 823-4880
Cell: (530)308-4716

Don Smith
City of Folsom
Water Management Coordinator
Environmental & Water Resources
50 Natoma Street
Folsom, CA 95630
Email: dsmith@folsom.ca.us
Office: (916) 461-6174
Direct: (916) 461-6171

TAB C. Qualifications of Team

Below is a list of proposed consultants/independent contractors who may be called upon to collaborate and provide services for Landscape Liaisons for various projects, tasks, trainings, etc., as directed by the Water Conservation Administrator.

Lori D. Palmquist (Bi-lingual)
Located in Richmond
CID, CIC, CLIA, CLWM, QWEL, WWLP
Irrigation Education, Consultation, and Design
Web-based Application Developer
ReScape California Certified Professional
Irrigation Mastery online school
https://www.loripalmquist.com
Puddle-Stompers website
https://puddle-stompers.com
WaterWonk WUCOLS searchable database
https://waterwonk.us

Martin Carrion van Rijn, Landscape Symphonies (Bi-lingual)
Located in Oregon House
Over 27 years professional landscape design and construction experience, C-27 Contractor's License #939582.
Certified Watershed Wise Landscape Professional
Qualified Water Efficient Landscaper
River-Friendly Landscaping Qualified Green Gardener
Association of Professional Landscape Designers (APLD) Chapter Board President-elect and Treasurer, and Co-President APLD-CA Sacramento District
Academic Study of Architecture and Landscape Design

Jessy Parker, JP Landscape Services
Located in Sacramento
Licensed landscape contractor, C-27, #826315
Provided services in Sacramento region since 2003
River-Friendly Landscaping Green Gardener Professional

Soleil Tranquilli, Tranquil Gardens
Located in Elk Grove
Watershed-wise garden designer
Creator and co-organizer of nine Greener Gardens Tours
EcoLandscaper and River-Friendly Landscaping
Qualified River-Friendly Landscaping (RFL) Green Gardener
Green Gardens Group (G3) Watershed Wise Landscape Professional (WWLP)
Qualified Water Efficient Landscaper (QWEL)
ReScape California Qualified Professional
APLD Sacramento District Board Vice President and 2021 President Elect

Marcia Scott, Marcia Scott Landscape Designs
Located in Somerset
Thirty years in landscaping trade in San Francisco, Sacramento Valley, and Sierra Nevada foothills
Co-President, Association of Professional Landscape Designers (APLD) Sacramento District Board
ISA Certified Arborist
G3 Watershed Wise Landscape Professional (WWLP)
Qualified Water Efficient Landscaper (QWEL)
Qualified River-Friendly Landscaping (RFL) Green Gardener

Steven Zien
Located in Citrus Heights
Provided organic horticultural solutions and services to residents of the Sacramento area from 1977 to 2020
Qualified River-Friendly Landscaping (RFL) Green Gardener; instructor and curriculum developer for Green Gardener at Home
Managed local, organic retail nursery
Known as Sacramento's *Organic Advocate*
Frequent guest on radio shows
Wrote organic garden column in the 'Sacramento Bee' for 20 years
Integrated Pest Management (IPM) Specialist for the California State Fair for 10 years
Taught courses on organic horticulture at American River College and UC Davis Cooperative Extension
IPM Advocate for Our Water Our World, educating retail nursery professionals and customers on how to manage pests using environmentally sound techniques and products
Recipient of two CA Department of Pesticide Regulation IPM Innovator Awards as well as Sacramento County's Pollution Prevention Award

Kathy Waje Lugo
Located in Sacramento
Degree in Landscape Architecture from UC Davis; currently working with CalTrans and studying to sit for her California Landscape Architect exam toward licensure.
Illustrator of landscapes, specializing in hand-drawn, colored rendering

TAB D. Project Understanding

Landscape Liaisons understands that the City of Roseville has been and continues to be responsive to legislation, especially legislation that provides the framework "to make water conservation a way of life" – a framework for water conservation and efficiency, and the goals and objectives of Environmental Utilities (EU) by using a multi-faceted approach. It is understood that one approach for engaging the citizens of Roseville and providing them with the necessary resources to raise their awareness, gain knowledge, and actively participate in conservation efforts is to obtain the assistance of a consultant with the expertise to develop and deliver the variety of services described in this RPF's Scope of Services.

As a woman-owned small business, independent contractor, consultant, and educator, Cheryl Buckwalter is well-qualified, experienced, and steeped in the types and varieties of tasks outlined in the RFP. Every aspect of her business, communications, and projects that she undertakes focuses on and embraces the Core Principles and Practices in Attachment C of the RFP. Please refer to Attachment B of this proposal, which outlines some of the same and many similar services as requested in this RFP.

While not necessarily a challenge and with the understanding and agreement that this will be a multi-year agreement with the full contract amount not to exceed $250,000, and that projects, fees, and service requirements (tasks and amounts) may vary each year, it is challenging to provide specific costs/fees for these unknowns. With that said, the Cost Proposal includes examples of the costs to perform similar tasks, develop programs, and provide services similar to those described in the Scope of Services. Also included are the hourly fees for consultants and/or sub-contractors who may work with Landscape Liaisons has been provided. It is hoped that this approach is acceptable. Based on the variability of tasks from year-to-year and as set on an annual basis, it will be vital to maintain consistent communications with the Water Efficiency Section's Water Conservation Administrator to review the status of tasks/projects and to consider where priorities may need to be adjusted.

Unique to Landscape Liaisons is Cheryl Buckwalter's wide range of skills and knowledge, and her ability to be nimble – flexible and responsive to changing priorities and quick turn-around times, as needed. From having a strong business administration background and unwavering work ethic *to* public speaking and teaching *to* creating educational content and resources *to* creating residential landscape designs *to* researching and obtaining feedback *to* communicating with stakeholders, consultants, suppliers, collaborating experts, and most importantly *to* providing support to the EU's Water Efficiency Section's Water Conservation Administrator and other City staff, Landscape Liaisons is well equipped and the right fit.

Landscape Liaisons will maintain consistent communications via telephone, virtual and in-person meetings (as and when possible based on COVID-19 restrictions), and via email. An initial priority will be to discuss ways in which the Water Conservation Administrator wishes to maintain communication. Strategies, such as having quarterly project progress meetings, project-specific update and alignment discussions, and project task and schedule flow charts with key dates or milestones identified are among methods to maintain a high-level of communication. An overarching objective is for the Administrator to be kept informed and that unintended surprises are avoided.

Based on the variability of tasks from year-to-year and in any given contract year, proposed work schedules and team assignments will be provided that are appropriate for specific projects, tasks, etc. With this said and for example, for a project or program that's decided upon, one method that is used for organizing and scheduling it, would be to begin with the end in mind – what is the desired outcome or objective and what is the date of delivery or completion.

- A list of activities and touch points can be developed that include anyone and everyone that is to be involved, kept apprised, and/or to participate or contribute (e.g., public information officer, City staff, stakeholders, supply donors, consultants, etc.)
- Based on the scope of the project/program, a list of potential consultants, contractors, etc., is developed so the necessary workforce can be secured.
- A workflow or task chart is developed, including points of communications and meetings, such as pre-kickoff, interim, and post-project recap meetings to assess successes and potential areas for future improvement.
- The project schedule is then backed into -- beginning with the end date and working backwards to the beginning.

Landscape Liaisons is unique in that we are *flexible and responsive*. We will approach each task's development, implementation, and communication strategies based on task-specific requirements and the Administrator's needs and preferences.

Landscape Liaisons possesses office equipment, computers, printers, cameras, and other resources to perform tasks related to the Scope of Services in the RFP.

Annually, Cheryl Buckwalter averages 25 hours of Continuing Education Units and pursues qualification and certification trainings to support and strengthen her professional qualifications for this Scope of Services. She voluntarily participates on a number of committees and workgroups, including the Department of Water Resources Landscape Stakeholder Advisory Group (DWR LSAG) and the California Water Efficiency Partnership's (CalWEP) Landscape Task Force.

EXHIBIT "B"

PROGRAM AND PROJECT COORDINATOR FOR
WATER EFFICIENCY OUTREACH INITIATIVES
RFP #08-078

Landscape Liaisons
P.O. Box 218
Cool, CA 95614

Contact Person
Cheryl Buckwalter
(916) 207-8787
landscapeliaisons@gmail.com

TAB F. OF PROPOSAL
COST PROPOSAL

I, Cheryl Buckwalter, Owner of Landscape Liaisons, understand and agree that this will be a multi-year agreement with the full contract amount not to exceed $250,000. Projects, fees, and service requirements (tasks and amounts) may vary each year and will be determined by the Environmental Utilities Department on an annual basis. I understand and agree that services provided will be in the range of $25,000 to a maximum of $50,000 each fiscal year.

As stated in TAB F. Cost Proposal, below is a strategy for developing a budget estimate for each fiscal year, of course, based upon the Water Conservation Administrator's requirements and preferences:

- Landscape Liaisons would develop a status report for programs/projects/tasks near the end of the current fiscal year, allowing ample time for processing the upcoming year's Scope of Services and contract renewal.
- Meet with the Administrator to discuss anticipated programs/projects/tasks for the upcoming year along with priorities, and Landscape Liaisons would provide suggestions as well.
- Meet with other City staff/departments as directed by the Administrator to identify what new or ongoing programs/projects/tasks may require support from Landscape Liaisons and to what extent.
- Determine if other consultants/sub-contractors may need to be involved in the programs/projects/tasks and their anticipated fees.
- Review anticipated resources and requirements to perform the upcoming year's Scope of Services, including the need for approvals and RFPs, etc., that would require additional dedicated time.
- Develop a proposed Scope of Services with programs/projects/tasks for the upcoming fiscal year and associated costs for the Administrator's consideration and approval.

Cost by Task

Note: Below are examples of tasks similar to those in the RFP Section 4.0 Scope of Work and associated fees for services, which have been performed by Landscape Liaisons. It is understood that services may vary from year to year, thus this list and fees may change. Any exclusions and assumptions are included within each task.

TASK	FEE	NOTES
Green Gardener at Home Training	$5,400 to $7,000	In-person vs. anticipated virtual trainings; includes instructor fees, program management, and class facilitation. Excludes fees for printing course materials; refer to Reimbursable Expenses below.
Sustain the Gains Landscape Makeover Contest	$8,700	Includes design, project coordination. Excludes construction costs and landscape contractor fees, which are contracted separately.
Residential Planting Plans/ Landscape Designs	$1,300 to $1,500	Can vary depending on size and scope of project. Excludes fees for illustration by independent contractor, and materials and installation by Landscape Contractor.
WaterSmart Plant List	$1,000 to $2,500	Depends upon the extent of the update.
Cash for Grass Tour & Expo	$4,500 to $5,200	Includes fees for independent contractors. Excludes cost of printing, signage, facilities, promotion, etc.
Community Garden Project (Raised Bed Design, Hands-on Workshop, & Coordination)	$7,800	Collaboration with Landscape Architect and Landscape Contractor.
Well Site Transformation/Garden on Eden	$9,780	Includes landscape design, collaborations with Landscape Irrigation Designer and Contractor; three in-class presentations, two hands-on installation days; sign content development; video development and participation (not production). Excludes fees for Landscape Irrigation Designer and Contractor and materials.

Hourly Rates

Note: Other than Cheryl Buckwalter, others listed are consultants/independent contractors who may be hired for various projects, tasks, and trainings. Whether fees for services provided by consultants/independent contractors, other than Cheryl Buckwalter, is at the discretion of the Water Conservation Administrator. It is understood that services may vary from year to year, thus this list and fees may change.

TASK	FEE	NOTES
Cheryl Buckwalter, Consultant, Independent Contractor, and Owner of Landscape Liaisons	$95 per hour	Please refer to Cost by Task examples and estimates above.
Lori Palmquist, Landscape Designer, Programmer, Instructor, Subject Matter Expert	$120 per hour	Fees for consultants/sub-contractors for projects will be determined based on project-specific scopes of work and whether an hourly fee is to be used or a not-to-exceed per project total amount.
Martin Carrion van Rijn, Landscape Contractor & Subject Matter Expert	$110 per hour	Same as above.
Jessy Parker, Landscape Contractor, Subject Matter Expert	$125 per hour	Same as above.
Marcia Scott, Instructor & Subject Matter Expert	$95 per hour	Same as above.
Soleil Tranquilli, Instructor & Subject Matter Expert	$95 per hour	Same as above.
Kathy Waje Lugo, Illustrator	$65 per hour	Proposals with a not-to-exceed amount can be provided per project.
Instructors & Subject Matter Experts	$400 to $600 per class (not per hour)	Green Gardener at Home Training - Anticipate 4 to 5 instructors per class series. (Landscape Liaisons will teach some of the classes.) Instructors include Master Gardeners, landscape professionals, and subject-matter experts. Fees and number of instructors may vary and depend upon the number and platform of the classes, e.g., whether they are in-person, hands-on or virtual, which can require additional preparation and

		recording time and vary the extent of curriculum adjustments.
Subject Matter Experts	Variable	Experts' fees depend upon task, subject, research required, etc.

Reimbursable Expenses

Note: These reimbursable expenses are for Landscape Liaisons. Consultants are responsible for their own expenses. It is understood that services may vary from year to year, thus this list and fees may change.

TASK	FEE	NOTES
Insurance, Per 11.7, page 15, and Attachment B Sample Contract in the RFP	$1,200 per year	Professional Liability (Errors & Omissions)
Insurance, Per 11.7, page 15, and Attachment B Sample Contract in the RFP	$375 per year	Commercial/Business Auto Insurance; amount is the difference from current rate due to City's requirements.
Mileage	57.5 cents per mile	Based on 2020 rates; subject to yearly updates.
Printing	18" x 24", $1.15 per page, black and white, $6.15 color per page; 24" x 36", $2.05 per page	Plans for designs.
	8'1/2" x 11", $0.10 per page, black and white	Documents, including drilling.
	Between $4 and $10 per board	Presentation boards.
	Between $2,000 to $3,000	Curriculum for Green Gardener Training, including binders and tabs, cost depends upon the number of registrants. Virtual classes may not require printed materials; however, other related costs for providing curriculum to participants may be incurred.
CAD Program	$35 per month	For short-term design projects, a CAD program is used that offers a month-to-month subscription, enabling its use only when needed.
Virtual Platform	$55 per month	Zoom for webinars, meetings, etc. This is a month-to-month fee and can be stopped and started.

COUNCIL COMMUNICATION

CC #: 1299
File #: 0307

Title:	Designation of the Assistant City Manager and the Public Works Director as the City of Roseville's Authorized Agents to the Governor's Office of Emergency Services
Contact:	Stefanie Kemen 916-746-1300 skemen@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.11.

RECOMMENDATION TO COUNCIL

Staff recommends the City Council approve a resolution renewing the designation of the Assistant City Manager, and newly designating the Public Works Director, as the City of Roseville's Authorized Agents to the Governor's Office of Emergency Services for all matters pertaining to State disaster assistance.

BACKGROUND

In order for the City of Roseville to obtain federal financial assistance under Public Law 93-288 as amended by the Robert T. Stafford Disaster Relief and Emergency Assistance Act of 1988, and/or State financial assistance under the California Disaster Assistance Act, the City must keep a resolution on file with the Governor's Office of Emergency Services (Cal OES), designating an Authorized Agent or Agents to act on behalf of the City for a period of three years.

At their March 15, 2017 meeting, the City Council designated the Assistant City Manager as the City of Roseville's Authorized Agent. The designation expired three years after approval, on March 15, 2020.

Staff recommends that the City Council designate the Assistant City Manager and the Public Works Director as the City of Roseville's Authorized Agents with the Federal Emergency Management Agency (FEMA) and the Governor's Office of Emergency Services (OES) for correspondence regarding grant applications and acceptance of funds from these organizations for the City of Roseville. The designation will expire after a period of three years from the date of approval.

FISCAL IMPACT

There are no fiscal impacts directly associated with designating the Assistant City Manager and the Public Works Director as the City of Roseville's Authorized Agents. Doing so will allow the

City to apply for and accept FEMA grant funds for future projects.

ENVIRONMENTAL REVIEW

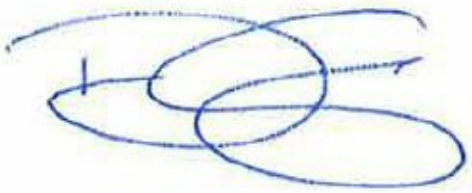
The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378.

The designation of the Assistant City Manager and the Public Works Director as the City of Roseville's Authorized Agents to the Governor's Office of Emergency Services for all matters pertaining to State disaster assistance meets the above criteria and is not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Stefanie Kemen, Engineering Manager

Jason Shykowski, Public Works Director



_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-074

NEW Cal OES Resolution

RESOLUTION NO. 21-074

DESIGNATING THE ASSISTANT CITY MANAGER AND THE PUBLIC WORKS DIRECTOR AS THE AUTHORIZED AGENTS TO THE FEDERAL EMERGENCY MANAGEMENT AGENCY AND THE GOVERNOR'S OFFICE OF EMERGENCY SERVICES ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, in order for the City of Roseville to obtain federal financial assistance, we must keep a resolution on file with the Governor's Office of Emergency Services (Cal OES), designating an Authorized Agent to act on behalf of the City for a period of three years; and

WHEREAS, staff recommends that the City Council designate the Assistant City Manager and the Public Works Director as the City of Roseville's Authorized Agents with the Federal Emergency Management Agency (FEMA) and Cal OES; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that the Assistant City Manager and the Public Works Director are hereby designated as the Authorized Agents to FEMA and Cal OES on behalf of the City of Roseville for all matters pertaining to State disaster assistance.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 20_, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

STATE OF CALIFORNIA
CALIFORNIA GOVERNOR'S OFFICE OF EMERGENCY SERVICES
CAL OES 130

Cal OES ID No: _____

DESIGNATION OF SUBRECIPIENT'S AGENT RESOLUTION
Hazard Mitigation Grant Program and Pre-Disaster Mitigation Program

BE IT RESOLVED BY THE _____ OF THE _____
(Governing Body) (Name of Applicant)

THAT _____, OR
(Title of Authorized Agent)

_____, OR
(Title of Authorized Agent)

_____
(Title of Authorized Agent)

is hereby authorized to execute for and on behalf of the _____, a public entity
(Name of Subrecipient)
established under the laws of the State of California, this application and to file it with the California Governor's Office of Emergency Service. for the purpose of obtaining certain federal financial assistance under Public Law 93-288 as amended by the Robert T. Stafford Disaster Relief and Emergency Assistance Act of 1988, and/or state financial assistance under the California Disaster Assistance Act.

THAT the _____, a public entity established under the laws of the State of California,
(Name of Subrecipient)
hereby authorizes its agent(s) to provide to the California Governor's Office of Emergency Service for all matters pertaining to such state disaster assistance the assurances and agreements required.

Please check the appropriate box below:

This is a universal resolution and is effective for all open and futures Disasters/Grants up to three (3) years following the date of approval below.

This is a Disaster/Grant specific resolution and is effective for only Disaster/Grant name/number(s) _____

Passed and approved this _____ day of _____, 20_____

_____
(Name and Title of Governing Body Representative)

_____
(Name and Title of Governing Body Representative)

_____
(Name and Title of Governing Body Representative)

CERTIFICATION

I, _____, duly appointed and _____ of
(Name) (Title)

_____, do hereby certify that the above is a true and correct copy of a
(Name of Applicant)

Resolution passed and approved by the _____ of the _____
(Governing Body) (Name of Applicant)

on the _____ day of _____, 20___.

_____ _____
(Signature) (Title)

Cal OES Form 130
Instructions

A new Designation of Applicant's Agent Resolution is required if the previously submitted document is older than three (3) years from the last date of Board/Council approval.

When completing the Cal OES Form 130, Subrecipients should fill in the blanks on page 1. The blanks are to be filled in as follows:

Resolution Section:

Governing Body: This is the individual or group responsible for appointing and approving the Authorized Agents. Examples include: Board of Directors, City Council, Board of Supervisors, etc.

Name of Subrecipient: This is the official name of the non-profit, agency, city, county or special district that has applied for the grant. Examples include: City of Sacramento; Sacramento County; or Los Angeles Unified School District.

Authorized Agent: These are the individuals that are authorized by the Governing Body to engage with the Federal Emergency Management Agency and the California Governor's Office of Emergency Service regarding grants applied for by the subrecipient. There are two ways of completing this section:

1. Titles Only: If the Governing Body so chooses, the titles of the Authorized Agents should be entered here, not their names. This allows the document to remain valid if an Authorized Agent leaves the position and is replaced by another individual. If "Titles Only" is the chosen method, this document must be accompanied by a cover letter naming the Authorized Agents by name and title. This cover letter can be completed by any authorized person within the agency (e.g.; City Clerk, the Authorized Agent, Secretary to the Director) and does not require the Governing Body's signature.

2. Names and Titles: If the Governing Body so chooses, the names and titles of the Authorized Agents should be listed. A new Cal OES Form 130 will be required if any of the Authorized Agents are replaced, leave the position listed on the document or their title changes.

Governing Body Representative: These are the names and titles of the approving board members. Examples include: Chairman of the Board, Superintendent, etc. The names and titles cannot be one of the designated Authorized Agents.

Certification Section:

Name and Title: This is the individual that was in attendance and recorded the Resolution creation and approval. Examples include: City Clerk, Secretary to the Board of Directors, County Clerk, etc. This person cannot be one of the designated Authorized Agents to eliminate "Self Certification."

COUNCIL COMMUNICATION

CC #: 1304
File #: 0400-04-12-1

Title:	Sierra Vista - Westbrook Boulevard Phase 1A Infrastructure and Sierra Village Drive - Notice of Completion
Contact:	Andrew Druck 916-223-7577 adruck@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.12.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council adopt the attached resolution accepting Sierra Vista - Phase 1A Infrastructure Westbrook Blvd (11+14.29 to 29+35.43) & Sierra Village Dr., CWJ#180215, as complete and authorizing the City Engineer to execute the Notice of Completion.

BACKGROUND

The Engineering Division has made a final inspection of the above project and has found the roadway infrastructure work complete in accordance with the improvement plans and City specifications.

FISCAL IMPACT

The actions requested as part of this action have no impact to the City's General Fund. Construction costs were paid by the developer.

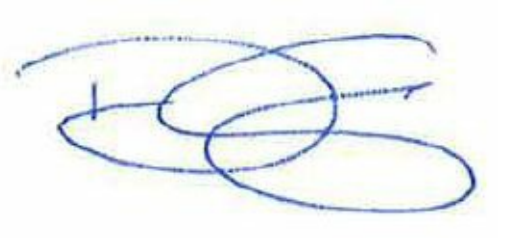
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378. Approval of a Notice of Completion meets the above criteria and is not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Andrew Druck, Construction Inspector II

Mike Isom, Development Services Director



_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-078

NOC

Exhibit A

Final Map

RESOLUTION NO. 21-078

RESOLUTION OF THE COUNCIL OF THE CITY OF ROSEVILLE ACCEPTING THE PUBLIC WORK KNOWN AS Sierra Vista - Phase 1A Infrastructure Westbrook Blvd (11+14.29 to 29+35.43) & Sierra Village Dr. PROJECT, APPROVING THE "NOTICE OF COMPLETION", AND AUTHORIZING AND DIRECTING THE CITY ENGINEER TO EXECUTE SAID NOTICE ON BEHALF OF THE CITY OF ROSEVILLE, THEREFORE, AND ACCEPTING A PORTION OF THE DEDICATIONS OFFERED ON THE RECORDED MAP OF THE SUBDIVISION.

BE IT RESOLVED by the Council of the City of Roseville as follows:

1. The "Notice of Completion" on file in the City Clerk's Department relative to that public work q

2. A portion of the right-of-way and easements offered for dedication on the final map of Sierra Vista – KT Properties Large Lot Subdivision recorded in Book EE of Maps, Page 33, in the office of the recorder of Placer County, is hereby accepted by the City in part as said dedications relates to the improvement plan set known as Sierra Vista - Phase 1A Infrastructure Westbrook Blvd (11+14.29 to 29+35.43) & Sierra Village Dr.
3. The City Engineer is hereby authorized and directed to execute said Notice on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville, this_____day of_____, 20_____, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
CITY CLERK

RECORDING REQUESTED BY AND WHEN
RECORDED MAIL TO:

CITY CLERK
CITY OF ROSEVILLE
311 VERNON STREET
ROSEVILLE, CA 95678

Exempt from recording fees
Pursuant to Gov't Code §27383

NOTICE OF COMPLETION

NOTICE IS HEREBY GIVEN that the public work of improvement known as the _____ Project was completed and accepted by the City of Roseville on _____. The location is situated in the City of Roseville, County of Placer, State of California and is indicated on the attached map, marked as Exhibit "A" and incorporated herein by this reference. The real property herein described is owned by the City of Roseville, 311 Vernon Street, Roseville CA 95678.

The direct/general contractor on said project was _____.

The surety on said project was _____

_____.

_____

City of Roseville
Owner

ATTEST:

_____
Sonia Orozco, City Clerk

State of California)
County of Placer)

I,_____, being first duly sworn, depose and say: I am the _____ of the City of Roseville, a municipal corporation in the State of California, owner of the property described in the above Notice. I am duly authorized to make this verification for and on behalf of the City of Roseville. I have read the Notice of Completion and know its contents and the facts stated therein are true.

_____

City of Roseville

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California)
County of Placer)

Subscribed and sworn to (or affirmed) before me on this _____ day of _____,

20___, _____

proved to me on the basis of satisfactory evidence to be the person(s) who appeared before me.

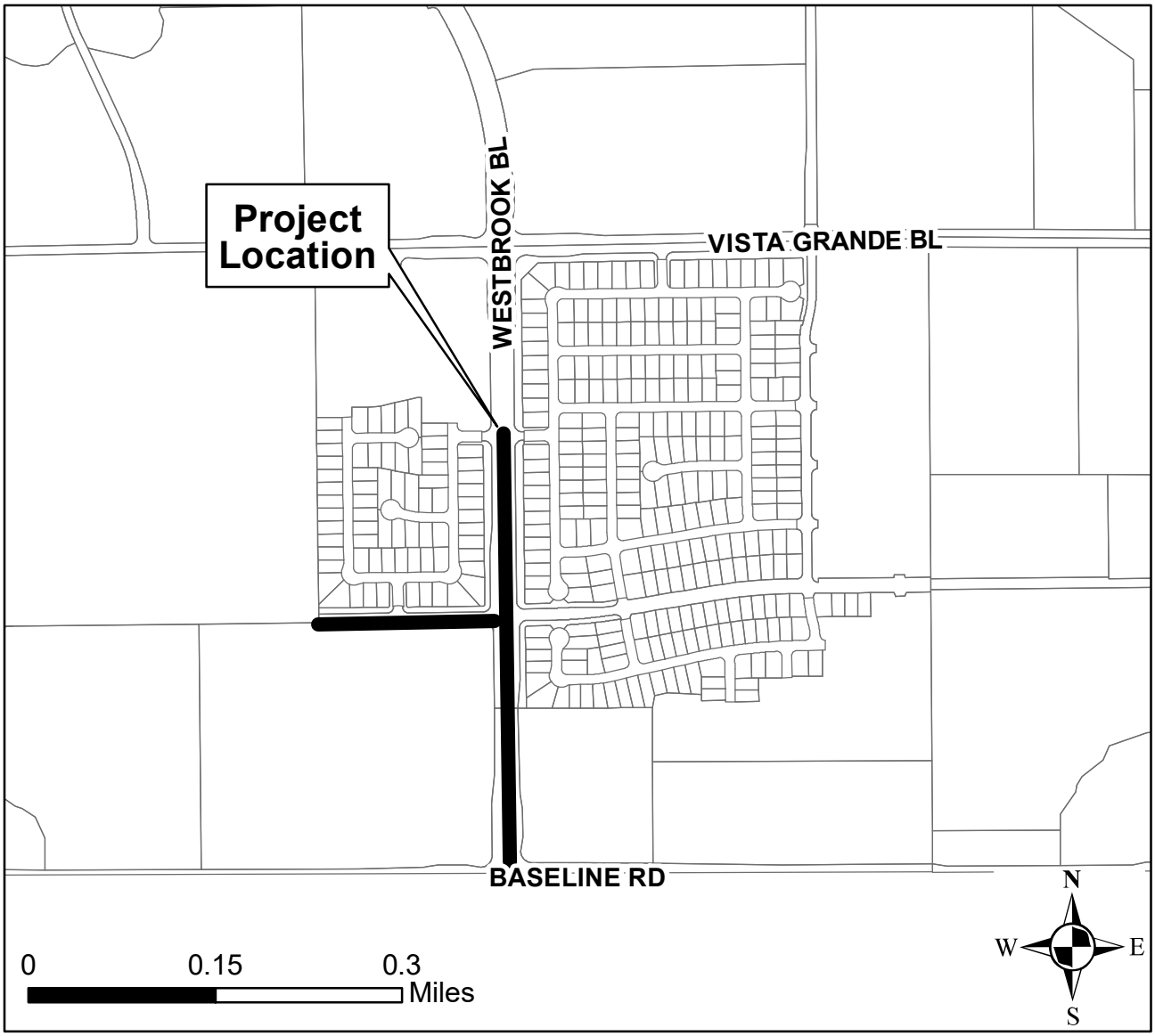
_____

Place Notary Seal and/or Stamp Above

Signature of Notary Public

EXHIBIT A

City of Roseville
Sierra Vista - Phase 1A Infrastructure
Westbrook Blvd (11+14.29 to 29+35.43)
& Sierra Village Dr



SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION
SUBDIVISION NUMBER PL15-0192

BEING ALL OF RESULTANT PARCEL 3 OF THAT LOT LINE ADJUSTMENT FILED IN DOCUMENT NUMBER 2014-0024961, ALSO BEING A PORTION OF THE SOUTH 1/2 OF SECTION 35 AND A PORTION OF THE EAST 1/2 OF SECTION 34 TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA

JULY, 2018

MURRAY SMITH & ASSOCIATES

SHEET 1 OF 8

OWNER'S STATEMENT

THE UNDERSIGNED HEREBY CERTIFY THAT THEY ARE THE ONLY PERSONS HAVING ANY RECORD TITLE INTEREST IN THE REAL PROPERTY INCLUDED WITHIN THE SUBDIVISION SHOWN UPON THIS FINAL MAP OF "SIERRA VISTA" AND THEY HEREBY CONSENT TO THE PREPARATION AND RECORDATION OF THIS FINAL MAP AND HEREBY OFFER FOR DEDICATION AND DO HEREBY DEDICATE FOR SPECIFIC PURPOSES THE FOLLOWING:

THE REAL PROPERTY DESCRIBED BELOW IS DEDICATED AS EASEMENT FOR PUBLIC PURPOSES:

(A) PUBLIC EASEMENT FOR THE INSTALLATION AND MAINTENANCE OF, BUT NOT LIMITED TO ELECTROLIERS, WATER AND GAS PIPES, SEWER AND DRAINAGE PIPES, AND FOR UNDERGROUND WIRE AND CONDUITS FOR ELECTRIC, TELEPHONE AND TELEVISION SERVICES AND TRAFFIC CONTROL APPURTENANCES TOGETHER WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS THOSE STRIPS OF LAND LYING CONTIGUOUS TO THE RIGHT OF WAY AS SHOWN HEREON AND DESIGNATED "P.U.E." (PUBLIC UTILITY EASEMENT).

(B) A PUBLIC EASEMENT FOR PEDESTRIAN / BICYCLE ACCESS AND THE INSTALLATION, REPAIR, REMOVAL OR REPLACEMENT OF LANDSCAPING TOGETHER WITH ANY AND ALL APPURTENANCES THERETO, ON, OVER AND ACROSS THOSE STRIPS OF LAND SHOWN HEREON AND DESIGNATED "PASEO EASEMENT" (P.E.)

(C) PUBLIC EASEMENT FOR THE INSTALLATION AND MAINTENANCE OF SEWER PIPES WITH ANY AND ALL APPURTENANCES PERTAINING THERETO ON, OVER, UNDER AND ACROSS THAT LAND SHOWN HEREON AND DESIGNATED "SEWER EASEMENT".

THE REAL PROPERTY DESCRIBED BELOW IS IRREVOCABLY DEDICATED IN FEE FOR PUBLIC PURPOSES:

(A) AS PUBLIC RIGHT OF WAY (INCLUDING ALL IMPROVEMENTS THEREON) THE STREETS SHOWN HEREON AS WESTBROOK BOULEVARD, VISTA GRANDE BOULEVARD, SIERRA VILLAGE DRIVE, AND VISTA PARK DRIVE AND THE AREAS SHOWN HEREON AS I.O.D. / R.O.W.

SIERRA VISTA COMMUNITIES, LLC, A CALIFORNIA LIMITED LIABILITY COMPANY
BY: AKT INVESTMENTS, INC., A CALIFORNIA CORPORATION

BY: _____
NAME: Chrysanthy Demos
ITS: President and CEO

BY: LENNAR HOMES OF CALIFORNIA INC. A CALIFORNIA CORPORATION

BY: _____
NAME: Larry Gualco
ITS: VP

NOTARY'S ACKNOWLEDGMENT

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF California)
) SS
COUNTY OF Sacramento)

ON October 30, 2018 BEFORE ME,
Tawny Por ; A NOTARY PUBLIC, PERSONALLY
APPEARED Chrysanthy Demos WHO PROVED TO ME ON
THE BASIS OF

SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT ~~HE~~/SHE/~~THEY~~ EXECUTED THE SAME IN ~~HIS~~/HER/~~THEIR~~ AUTHORIZED CAPACITY(~~IES~~), AND THAT BY ~~HIS~~/HER/~~THEIR~~ SIGNATURE(~~S~~) ON THE INSTRUMENT THE PERSON(~~S~~), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(~~S~~) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING PARAGRAPH IS TRUE AND CORRECT.

WITNESS MY HAND AND OFFICIAL SEAL:

SIGNATURE: _____
NOTARY PRINTED NAME: Tawny Por
MY PRINCIPAL PLACE OF BUSINESS IS Sacramento COUNTY.
MY NOTARY COMMISSION NO. 2111369
MY COMMISSION EXPIRES ON: June 10, 2019

NOTARY'S ACKNOWLEDGMENT

A NOTARY PUBLIC OR OTHER OFFICER COMPLETING THIS CERTIFICATE VERIFIES ONLY THE IDENTITY OF THE INDIVIDUAL WHO SIGNED THE DOCUMENT TO WHICH THIS CERTIFICATE IS ATTACHED, AND NOT THE TRUTHFULNESS, ACCURACY, OR VALIDITY OF THAT DOCUMENT.

STATE OF California)
) SS
COUNTY OF Placer)

ON November 1, 2018 BEFORE ME,
Monique Reynolds ; A NOTARY PUBLIC, PERSONALLY
APPEARED Larry Gualco WHO PROVED TO ME ON
THE BASIS OF

SATISFACTORY EVIDENCE TO BE THE PERSON(S) WHOSE NAME(S) IS/ARE SUBSCRIBED TO THE WITHIN INSTRUMENT AND ACKNOWLEDGED TO ME THAT HE/SHE/THEY EXECUTED THE SAME IN HIS/HER/THEIR AUTHORIZED CAPACITY(IES), AND THAT BY HIS/HER/THEIR SIGNATURE(S) ON THE INSTRUMENT THE PERSON(S), OR THE ENTITY UPON BEHALF OF WHICH THE PERSON(S) ACTED, EXECUTED THE INSTRUMENT.

I CERTIFY UNDER PENALTY OF PERJURY UNDER THE LAWS OF THE STATE OF CALIFORNIA THAT THE FOREGOING PARAGRAPH IS TRUE AND CORRECT.

WITNESS MY HAND AND OFFICIAL SEAL:

SIGNATURE: Monique Reynolds
NOTARY PRINTED NAME: Monique Reynolds
MY PRINCIPAL PLACE OF BUSINESS IS Placer COUNTY.
MY NOTARY COMMISSION NO. 2171051
MY COMMISSION EXPIRES ON: Nov. 24, 2020

RECORDER'S STATEMENT

FILED THIS 20th DAY OF November 2018 AT 11:47 A.M. IN BOOK EE OF MAPS, AT PAGE 33, IN THE OFFICE OF THE PLACER COUNTY RECORDER, AT THE REQUEST OF MSA ENGINEERING, INC.

RYAN RONCO
RECORDER OF PLACER COUNTY
STATE OF CALIFORNIA

DOCUMENT NO.: 2018-0084495

BY: J Chatterley
DEPUTY

FEE $ 104.00

SURVEYOR'S STATEMENT

THIS FINAL MAP OF "SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION" WAS PREPARED BY ME OR UNDER MY DIRECTION AND IS BASED UPON A FIELD SURVEY IN CONFORMANCE WITH THE REQUIREMENTS OF THE SUBDIVISION MAP ACT AND LOCAL ORDINANCE AT THE REQUEST OF AKT DEVELOPMENT CORPORATION ON AUGUST 2017. I HEREBY STATE THAT ALL THE MONUMENTS ARE OF THE CHARACTER AND OCCUPY THE POSITIONS INDICATED OR THAT THEY WILL BE SET IN THOSE POSITIONS BEFORE DECEMBER 31, 2020, AND THAT THE MONUMENTS ARE, OR WILL BE SUFFICIENT TO ENABLE THE SURVEY TO BE RETRACED, AND THAT THIS FINAL MAP SUBSTANTIALLY CONFORMS TO THE CONDITIONALLY APPROVED TENTATIVE MAP.

Michael S. Costa
MICHAEL S. COSTA LS 6600
EXPIRATION DATE: 12-31-19

Sept. 7, 2018
DATED

LICENSED LAND SURVEYOR
MICHAEL S. COSTA
No. 6600
Exp. 12-31-19
STATE OF CALIFORNIA

CITY ENGINEER'S STATEMENT

I HEREBY STATE THAT I HAVE EXAMINED THIS FINAL MAP OF "SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION" AND FIND IT TO BE SUBSTANTIALLY THE SAME AS THE TENTATIVE MAP ON FILE AND ANY APPROVED ALTERATIONS THEREOF, THAT ALL PROVISION OF THE SUBDIVISION MAP ACT AND ALL APPLICABLE CITY ORDINANCES HAVE BEEN COMPLIED WITH.

_____ 11/14/18
MARC STOUT R.C.E. 69879 DATE
CITY ENGINEER, CITY OF ROSEVILLE
LICENSE EXPIRES SEPTEMBER 30, 2018 20

I HEREBY STATE THAT I AM SATISFIED THAT THIS FINAL MAP OF "SIERRA VISTA - KT PROPERTIES LARGE LOT MAP" IS TECHNICALLY CORRECT.

FOR MARC STOUT CITY ENGINEER, CITY OF ROSEVILLE

_____ 11/15/18
TIMOTHY G. BLAIR, PLS 8278 DATE
EXPIRES DECEMBER 31, 2019

LICENSED LAND SURVEYOR
TIMOTHY G. BLAIR
No. 8278
Exp. 12-31-19
STATE OF CALIFORNIA

CITY CLERK'S STATEMENT

I HEREBY CERTIFY THAT THE CITY COUNCIL, CITY OF ROSEVILLE, HAS APPROVED THIS FINAL MAP OF "SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION" AND HAS ACCEPTED ON BEHALF OF THE PUBLIC SUBJECT TO IMPROVEMENT, THE EASEMENTS OFFERED HEREON FOR DEDICATION. THE CITY COUNCIL REJECTS AT THIS TIME BUT RESERVES THE RIGHT TO ACCEPT AT A LATER DATE, ON BEHALF OF THE PUBLIC ALL IRREVOCABLE OFFERS OF DEDICATION IN ACCORDANCE WITH THE TERMS OF THOSE OFFERS AND DOES ALSO HEREBY APPROVE THE ABANDONMENT OF THE EASEMENTS AND RIGHTS OF WAY LISTED HEREON WITH NOTE 5 ON SHEET 3.

Sonia Orozco 11/15/18
CITY CLERK, CITY OF ROSEVILLE DATE

BY: _____
ASSISTANT CITY CLERK

LEGEND

- ...SECTION QUARTER CORNER AS NOTED
- ...SECTION CORNER AS NOTED
- ...SECTION QUARTER CORNER SEARCHED FOR BUT NOT FOUND
- ...SECTION CORNER SEARCHED FOR BUT NOT FOUND
- ...SET 5/8" REBAR WITH PLASTIC PLUG STAMPED "LS 6600"
- ...SET COPPERWELD SURVEY MONUMENT WITH CENTER PUNCH IN MONUMENT WELL STAMPED "LS 6600"
- ...DIMENSION POINT
- ...MONUMENT TO BE SET PER THAT FINAL MAP OF "SIERRA VISTA-FEDERICO LARGE LOT SUBDIVISION, SUBDIVISION NO. PL 15-0192" RECORDED IN BOOK EE OF MAPS, AT PAGE 6

R.S. ...RECORD OF SURVEY
P.M. ...PARCEL MAP
DN ...DOCUMENT NUMBER
(R) ...RADIAL
(OA) ...OVERALL
P.U.E. ...PUBLIC UTILITY EASEMENT
P.E. ...PEDESTRIAN EASEMENT
L.E. ...LANDSCAPE EASEMENT
L.L.T.M. ...LARGE LOT TENTATIVE MAP
S.L.T.M. ...SMALL LOT TENTATIVE MAP
N.T.S. ...NOT TO SCALE

LARGE LOT #	L.L.T.M. PLAN #	S.L.T.M. PLAN #
LOT 1	KT-5	KT-5
LOT 2	KT-3B	KT-3B
LOT 3	KT-4	KT-4
LOT 4	KT-3A	KT-3A
LOT 5	KT-2	KT-2

LARGE LOT #	L.L.T.M. PLAN #	S.L.T.M. PLAN #
REMAINDER LOT	KT-61	KT-61
	KT-52	KT-52
	KT-21A	KT-21A
	KT-21B	KT-21B
	KT-30	KT-30
	KT-51	KT-51
	KT-20	KT-20
	KT-1B	KT-1B
	KT-80B	KT-80B

SEE SHEET 3 FOR NOTES

FOUND 3-1/4" BRASS CAP STAMPED LS 4533 STAMPED AS SHOWN

T11N R5E S33 S34 LS 4533

MOURIER INVESTMENTS, LLC DN 2007-0076354

21 EE B.M. 6 | 22 EE B.M. 6 | 25 EE B.M. 6 | 26 EE B.M. 6 | 34 EE B.M. 6

N89°30'44"E 5285.03'(1) 34 — N88°55'34"E 5295.53'(1)(6) — VISTA GRANDE BOULEVARD — 35 36

FOUND 2" ALUMINUM MONUMENT STAMPED AS SHOWN

T11N R5E 1/4 S35 S36 2005 LS 4533

N89°30'44"E 845.81' 845.10'(1) 35

N00°39'42"W 2642.47'(1) SECTION LINE

RESULTANT LOT 1 DN 2014-0010581

1501.90'(2)(3) N0°38'36"W 1502.09'

SECTION LINE

SANTUCCI BOULEVARD

DESIGNATED REMAINDER LOT SHEET 8

LOT 5 SHEET 7

WESTBROOK BOULEVARD

LOT 4 SHEET 6

VISTA PARK DRIVE

LOT 3 SHEET 5

LOT 2 SHEET 4

SECTION LINE

N0°24'18"W 2217.82'(1)

N0°24'18"W 2695.20'(OA)(1)

PARCEL A 15 P.M. 143

SIERRA VILLAGE DRIVE

N73°56'55"W 69.64'(1)
N66°12'56"W 111.62'(1)
N53°39'22"W 193.94'(1)
N87°15'49"E 125.83'(1)
N35°59'17"W 111.83'(1)
N29°12'09"W 147.49'(1)
N49°30'58"W 67.92'(1)
N55°47'35"W 223.87'(1)
N36°36'24"W 157.05'(1)
N36°14'35"W 275.76'(1)
N0°11'16"W 171.92'(1)
N9°07'22"W 18.39'(1)
N89°29'55"E 659.36'(1)

N84°35'54"E 84.29'(1)
N89°21'43"E 1843.32'(1)
N89°21'43"E 251.00'(1)
N0°38'13"W 1043.69'(1)
N87°17'58"W 120.20'(1)
N89°21'43"E 246.00'(1)
N0°38'17"W 364.30'(1)
N89°44'54"E 670.69'(1)
LOT 1 SHEET 3
N0°15'06"W 230.00'(1)
N89°44'54"E 1185.97'(1)
N0°24'18"W 477.38'

FOUND 2-1/2" BRASS DISC IN MONUMENT WELL STAMPED AS SHOWN

T11N S36 S31 LS 3680 1988

FIDDYMENT ROAD

S31 S32 PLA. CO. SURVEY

FOUND 1-1/2" BRASS DISC IN MONUMENT WELL STAMPED AS SHOWN

SEE DETAIL BELOW

KV SIERRA VISTA, LLC DN 2015-0053568

KV SIERRA VISTA, LLC DN 2015-0053568

KV SIERRA VISTA, LLC DN 2015-0053568

N89°31'30"E 2642.09'(1)

31 32 | 32 33 | 33 34 | 34 35 | 35 36 | 36 31

4397.54'

N89°32'00"E 5284.19'(1)
N89°31'08"E 2642.10'(1)
N89°30'37"E 5284.19'(1)
BASELINE ROAD
SECTION LINE
N89°29'55"E 5284.14'(1)
N89°29'24"E 2642.10'(1)
N89°29'02"E 2642.10'(1)

BASIS OF BEARINGS
N89°30'37"E 26420.96'(1)'

WATT AVENUE

REFERENCES:

(1) 22 R.S. 135
(2) D.N. 2014-0010532
(3) D.N. 2003-0058642
(4) D.N. 2015-0008134
(5) D.N. 2015-0070559
(6) EE B.M. 6

DETAIL 1"=100'

50' EASEMENT TO PG&E PER DN 2015-0008134

SECTION LINE
N0°11'16"W 171.92'(1)
N89°29'55"E 659.36'(1)
34 35 227.28' | N0°30'05"W 30.00' | 30' | 659.36' | N0°30'05"W 30.00' | 4397.54' | 35 36
SECTION LINE
N 89°29'55" E 5284.14' (1)

0 200 400 800
SCALE: 1"= 400'

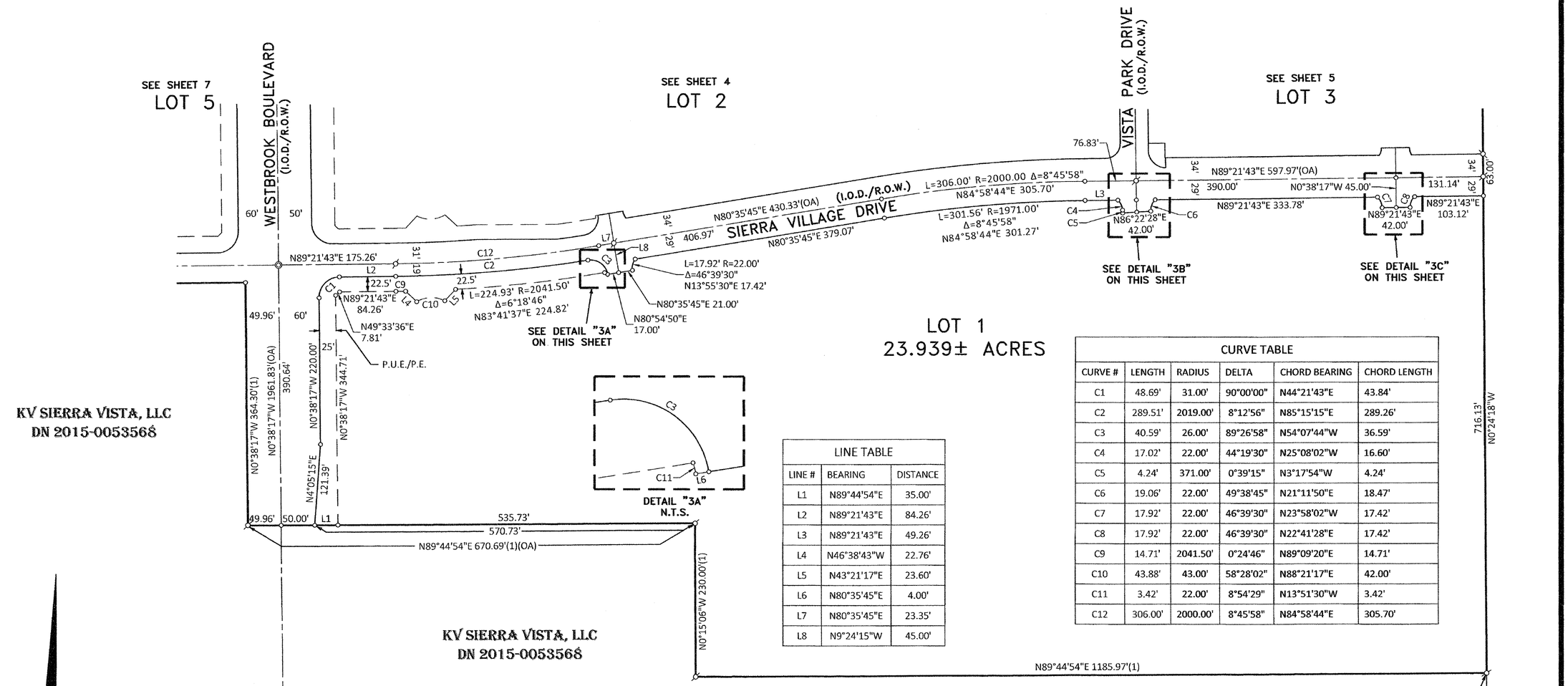
SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION SUBDIVISION NUMBER PL15-0192

BEING ALL OF RESULTANT PARCEL 3 OF THAT LOT LINE ADJUSTMENT FILED IN DOCUMENT NUMBER 2014-0024961, ALSO BEING A PORTION OF THE SOUTH 1/2 OF SECTION 35 AND A PORTION OF THE EAST 1/2 OF SECTION 34 TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA

JULY, 2018

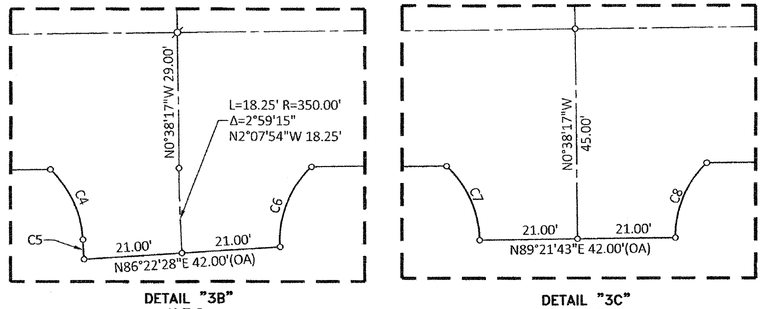
MURRAY SMITH & ASSOCIATES



LOT 1
23.939± ACRES

LINE TABLE		
LINE #	BEARING	DISTANCE
L1	N89°44'54"E	35.00'
L2	N89°21'43"E	84.26'
L3	N89°21'43"E	49.26'
L4	N46°38'43"W	22.76'
L5	N43°21'17"E	23.60'
L6	N80°35'45"E	4.00'
L7	N80°35'45"E	23.35'
L8	N9°24'15"W	45.00'

CURVE TABLE					
CURVE #	LENGTH	RADIUS	DELTA	CHORD BEARING	CHORD LENGTH
C1	48.69'	31.00'	90°00'00"	N44°21'43"E	43.84'
C2	289.51'	2019.00'	8°12'56"	N85°15'15"E	289.26'
C3	40.59'	26.00'	89°26'58"	N54°07'44"W	36.59'
C4	17.02'	22.00'	44°19'30"	N25°08'02"W	16.60'
C5	4.24'	371.00'	0°39'15"	N3°17'54"W	4.24'
C6	19.06'	22.00'	49°38'45"	N21°11'50"E	18.47'
C7	17.92'	22.00'	46°39'30"	N23°58'02"W	17.42'
C8	17.92'	22.00'	46°39'30"	N22°41'28"E	17.42'
C9	14.71'	2041.50'	0°24'46"	N89°09'20"E	14.71'
C10	43.88'	43.00'	58°28'02"	N88°21'17"E	42.00'
C11	3.42'	22.00'	8°54'29"	N13°51'30"W	3.42'
C12	306.00'	2000.00'	8°45'58"	N84°58'44"E	305.70'



NOTES

1. ALL CURVES DIMENSIONED WITH LENGTH, RADIUS, DELTA, CHORD BEARING AND CHORD DISTANCE.
2. ALL DISTANCES SHOWN HEREON ARE EXPRESSED IN FEET AND DECIMALS THEREOF.
3. DUE TO ROUNDING, THE SUM OF THE INDIVIDUAL DIMENSIONS MAY NOT EQUAL THE OVERALL DIMENSION.
4. TOTAL AREA FOR THIS "SIERRA VISTA" SUBDIVISION IS 267.959± AC. GROSS, CONSISTING OF 5 RESIDENTIAL DEVELOPMENT LOTS AND 1 DESIGNATED REMAINDER LOT.
5. PURSUANT TO SECTION 66434(g) OF THE GOVERNMENT CODE, THE FOLLOWING PUBLIC IRREVOCABLE OFFERS OF DEDICATION/RIGHTS-OF-WAY (IOD/ROW) AND EASEMENTS NOT SHOWN HEREON ARE HEREBY ABANDONED:

   (A) THOSE PORTIONS OF THE IOD/ROW DESCRIBED IN DOCUMENT NUMBER 2015-0064318 AFFECTING LOTS 1, 2, 3, 4 AND 5 SHOWN HEREON.

   (B) THOSE PORTIONS OF THE PUBLIC UTILITY EASEMENT DESCRIBED IN DOCUMENT NUMBER 2015-0064325 AFFECTING LOTS 1, 2, 3, 4, AND 5 SHOWN HEREON.

SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION
SUBDIVISION NUMBER PL15-0192

BEING ALL OF RESULTANT PARCEL 3 OF THAT LOT LINE ADJUSTMENT FILED IN DOCUMENT NUMBER 2014-0024961, ALSO BEING A PORTION OF THE SOUTH 1/2 OF SECTION 35 AND A PORTION OF THE EAST 1/2 OF SECTION 34 TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN

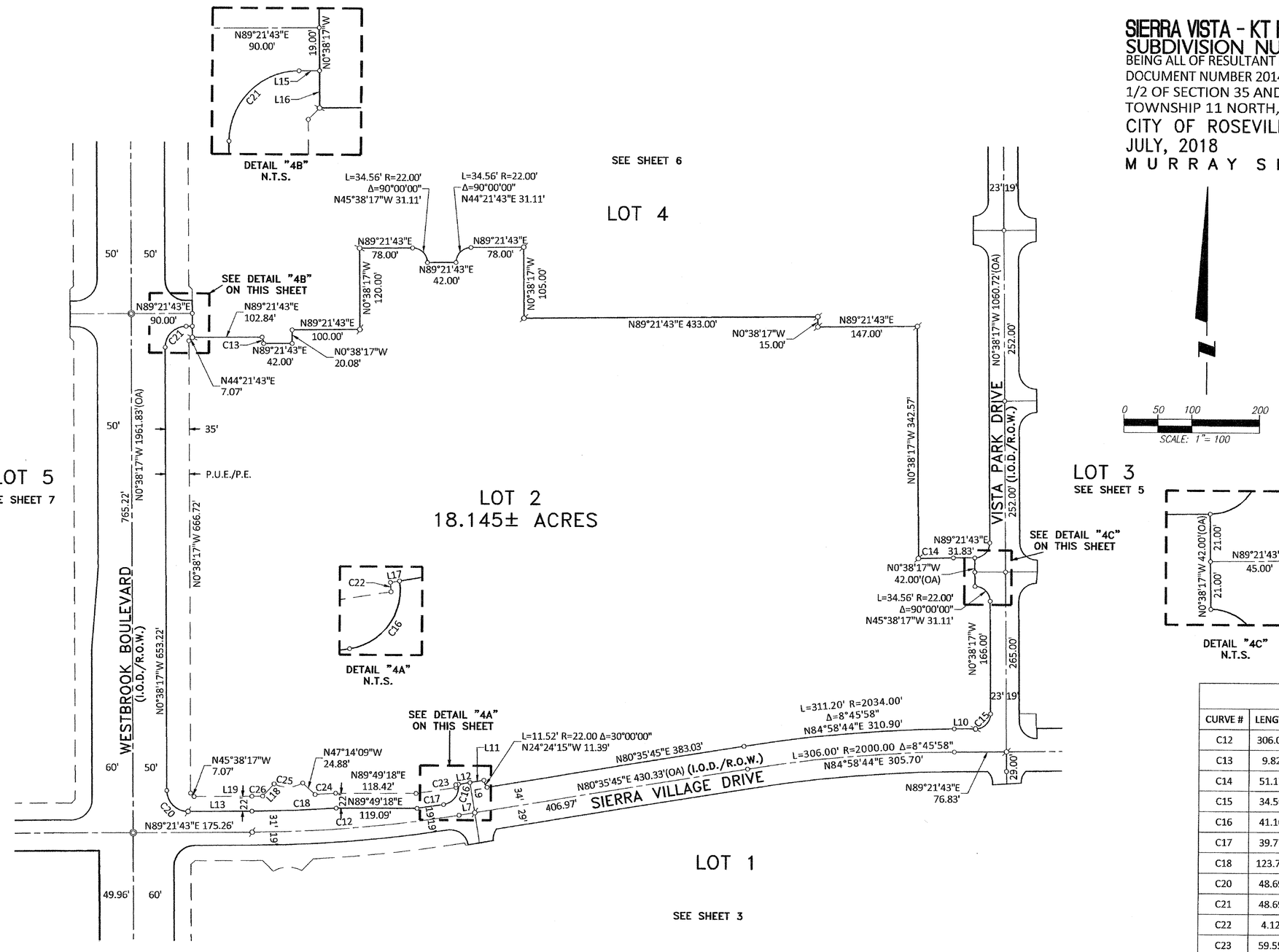
CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA

JULY, 2018

MURRAY SMITH & ASSOCIATES

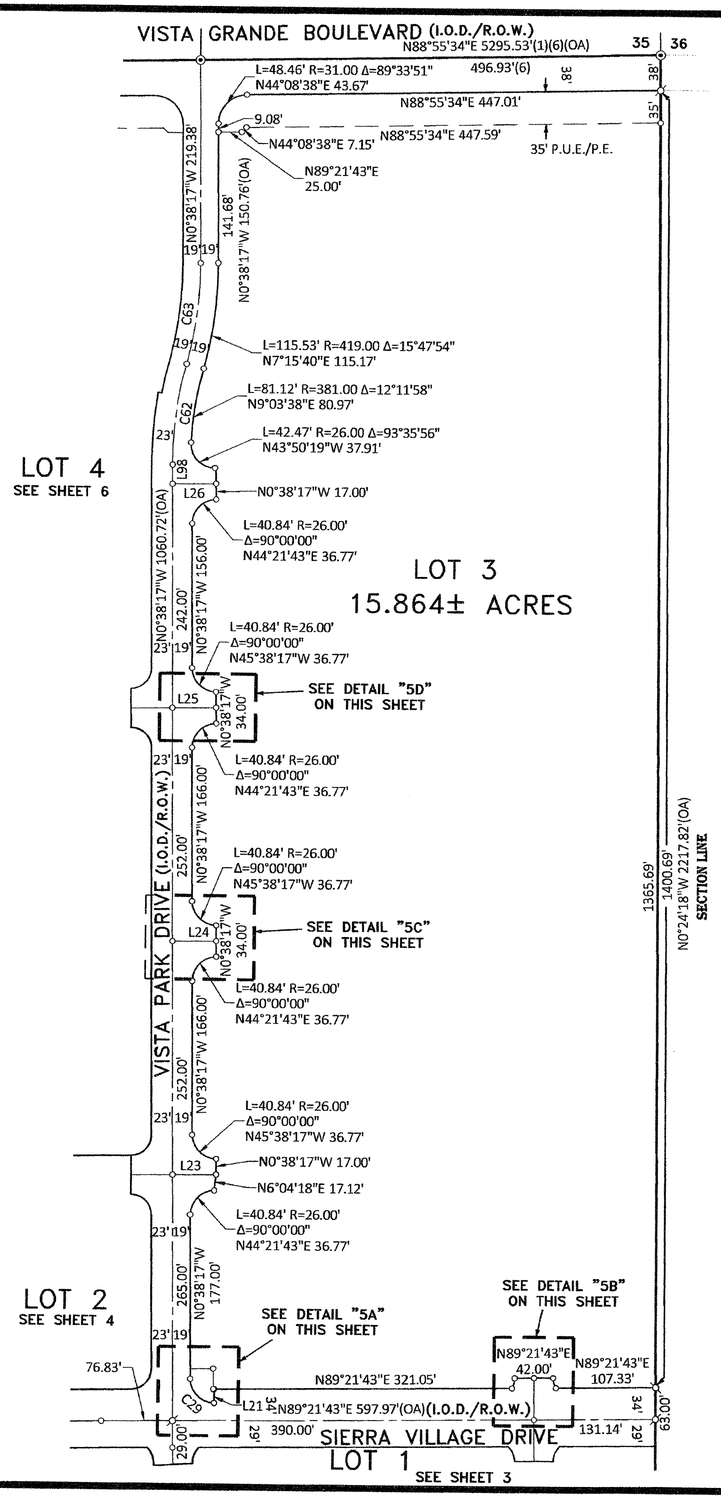
SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION
SUBDIVISION NUMBER PL15-0192

BEING ALL OF RESULTANT PARCEL 3 OF THAT LOT LINE ADJUSTMENT FILED IN DOCUMENT NUMBER 2014-0024961, ALSO BEING A PORTION OF THE SOUTH 1/2 OF SECTION 35 AND A PORTION OF THE EAST 1/2 OF SECTION 34 TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN
CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
JULY, 2018
M U R R A Y S M I T H & A S S O C I A T E S



LINE TABLE		
LINE #	BEARING	DISTANCE
L7	N80°35'45"E	23.35'
L9	N9°24'15"W	45.10'
L10	N89°21'43"E	31.83'
L11	N80°51'55"E	21.00'
L12	N80°35'45"E	17.00'
L13	N89°21'43"E	94.26'
L14	N0°38'17"W	4.00'
L15	N89°21'43"E	9.00'
L16	N0°38'17"W	16.50'
L17	N80°35'45"E	4.00'
L18	N42°45'51"E	24.88'
L19	N89°21'43"E	85.26'

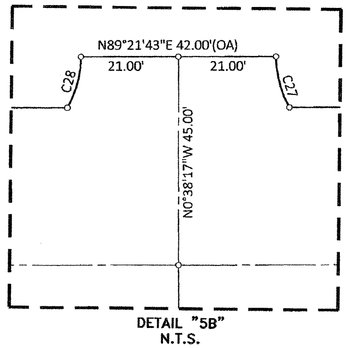
CURVE TABLE					
CURVE #	LENGTH	RADIUS	DELTA	CHORD BEARING	CHORD LENGTH
C12	306.00'	2000.00'	8°45'58"	N84°58'44"E	305.70'
C13	9.82'	22.00'	25°34'59"	N13°25'46"W	9.74'
C14	51.17'	2286.00'	1°16'57"	N88°43'14"E	51.17'
C15	34.56'	22.00'	90°00'00"	N44°21'43"E	31.11'
C16	41.10'	26.00'	90°34'33"	N35°53'01"E	36.95'
C17	39.77'	1981.00'	1°09'01"	N81°44'49"E	39.77'
C18	123.79'	1969.00'	3°36'08"	N87°33'39"E	123.77'
C20	48.69'	31.00'	90°00'00"	N45°38'17"W	43.84'
C21	48.69'	31.00'	90°00'00"	N44°21'43"E	43.84'
C22	4.12'	22.00'	10°44'05"	N4°02'13"W	4.12'
C23	59.55'	1959.00'	1°44'30"	N81°24'33"E	59.55'
C24	30.29'	1947.00'	0°53'29"	N86°10'57"E	30.29'
C25	43.88'	43.00'	58°28'02"	N87°45'51"E	42.00'
C26	15.70'	1947.00'	0°27'43"	N89°07'51"E	15.70'



LINE TABLE		
LINE #	BEARING	DISTANCE
L21	N0°38'17"W	15.00'
L22	N0°38'17"W	11.00'
L23	N89°21'43"E	47.00'
L24	N89°21'43"E	47.00'
L25	N89°21'43"E	47.00'
L26	N89°21'43"E	47.00'
L98	N0°38'17"W	20.72'

CURVE TABLE					
CURVE #	LENGTH	RADIUS	DELTA	CHORD BEARING	CHORD LENGTH
C27	11.52'	22.00'	30°00'00"	N15°38'17"W	11.39'
C28	11.52'	22.00'	30°00'00"	N14°21'43"E	11.39'
C29	40.84'	26.00'	90°00'00"	N45°38'17"W	36.77'
C62	110.29'	400.00'	15°47'54"	N7°15'40"E	109.94'
C63	110.29'	400.00'	15°47'54"	N7°15'40"E	109.94'

PARCEL A
15 P.M. 143



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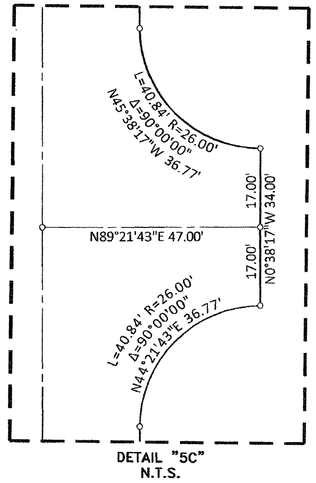

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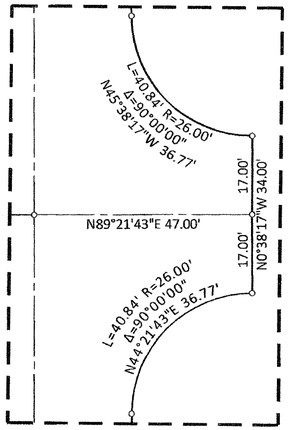

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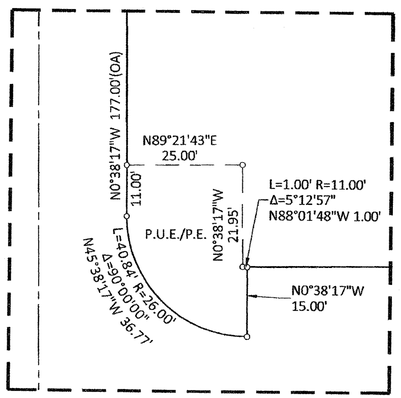

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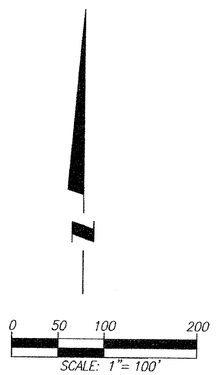


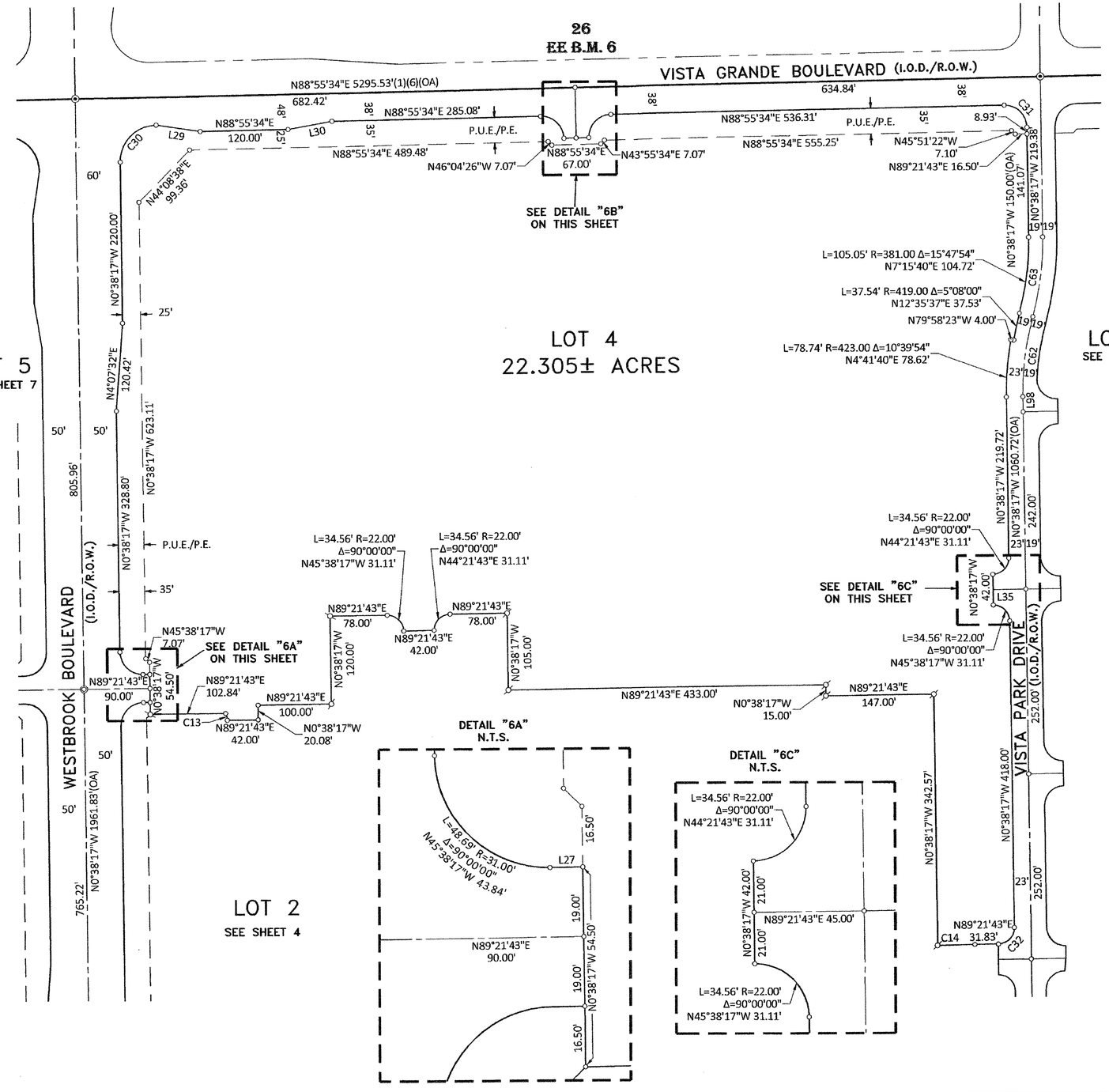
SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION
SUBDIVISION NUMBER PL15-0192

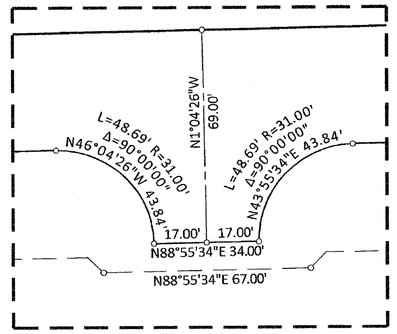
BEING ALL OF RESULTANT PARCEL 3 OF THAT LOT LINE ADJUSTMENT FILED IN DOCUMENT NUMBER 2014-0024961, ALSO BEING A PORTION OF THE SOUTH 1/2 OF SECTION 35 AND A PORTION OF THE EAST 1/2 OF SECTION 34 TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA

JULY, 2018

M U R R A Y S M I T H & A S S O C I A T E S

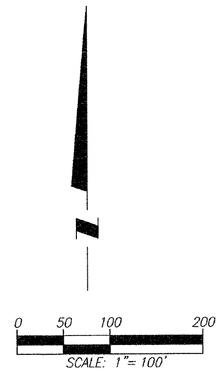




DETAIL "6B"
N.T.S.

CURVE TABLE					
CURVE #	LENGTH	RADIUS	DELTA	CHORD BEARING	CHORD LENGTH
C13	9.82'	22.00'	25°34'59"	N13°25'46"W	9.74'
C14	51.17'	2286.00'	1°16'57"	N88°43'14"E	51.17'
C30	78.16'	50.00'	89°33'51"	N44°08'39"E	70.44'
C31	48.93'	31.00'	90°26'09"	N45°51'22"W	44.01'
C32	34.56'	22.00'	90°00'00"	N44°21'43"E	31.11'
C62	110.29'	400.00'	15°47'54"	N7°15'40"E	109.94'
C63	110.29'	400.00'	15°47'54"	N7°15'40"E	109.94'

LINE TABLE		
LINE #	BEARING	DISTANCE
L27	N89°21'43"E	9.00'
L28	N0°38'17"W	4.00'
L29	N81°36'42"W	60.83'
L30	N79°27'50"E	60.83'
L31	N88°55'34"E	4.00'
L32	N1°04'26"W	9.00'
L33	N1°04'26"W	9.00'
L34	N88°55'34"E	4.00'
L35	N89°21'43"E	45.00'
L98	N0°38'17"W	20.72'



SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION
SUBDIVISION NUMBER PL15-0192

BEING ALL OF RESULTANT PARCEL 3 OF THAT LOT LINE ADJUSTMENT FILED IN DOCUMENT NUMBER 2014-0024961, ALSO BEING A PORTION OF THE SOUTH 1/2 OF SECTION 35 AND A PORTION OF THE EAST 1/2 OF SECTION 34 TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN

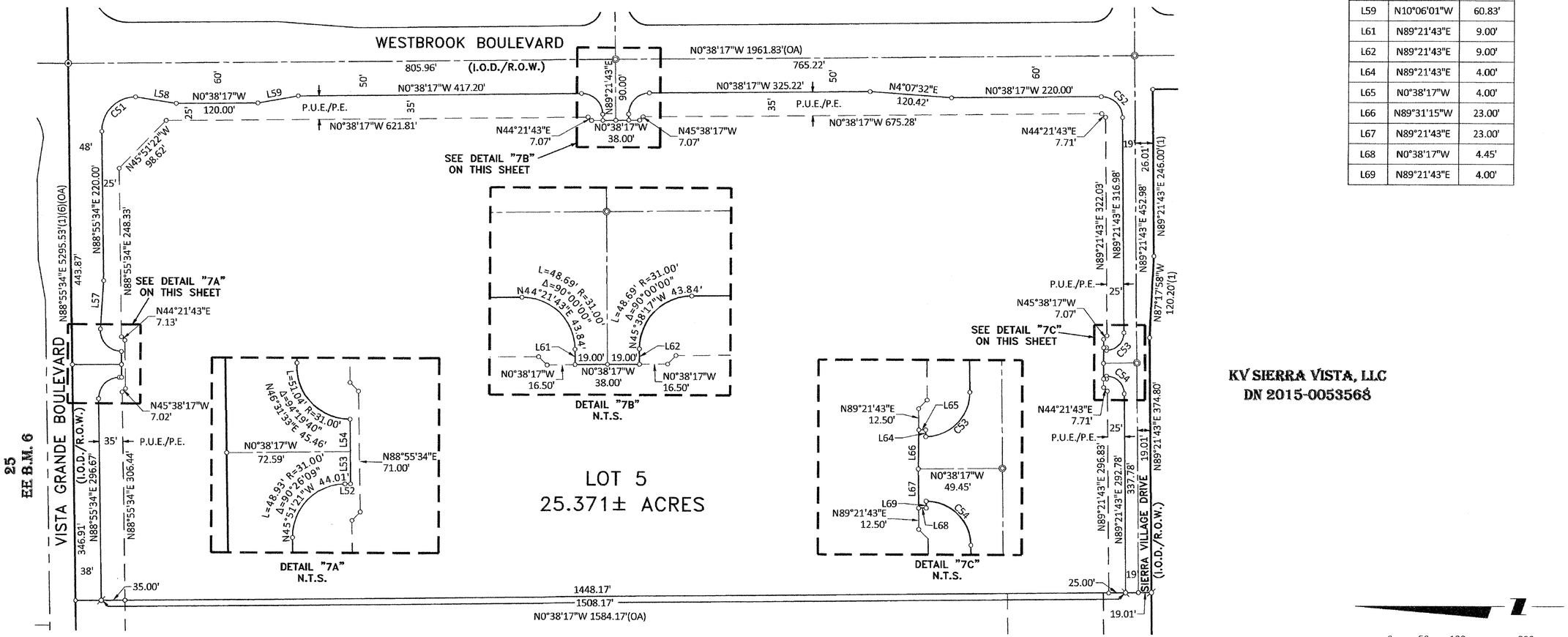
CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA

JULY, 2018

MURRAY SMITH & ASSOCIATES

CURVE TABLE					
CURVE #	LENGTH	RADIUS	DELTA	CHORD BEARING	CHORD LENGTH
C51	78.92'	50.00'	90°26'09"	N45°51'21"W	70.98'
C52	48.69'	31.00'	90°00'00"	N44°21'43"E	43.84'
C53	40.84'	26.00'	90°00'00"	N45°38'17"W	36.77'
C54	40.84'	26.00'	90°00'00"	N44°21'43"E	36.77'

LINE TABLE		
LINE #	BEARING	DISTANCE
L52	N0°38'17"W	3.21'
L53	N89°21'43"E	19.00'
L54	N89°21'43"E	19.00'
L57	N86°18'37"W	71.35'
L58	N8°49'27"E	60.83'
L59	N10°06'01"W	60.83'
L61	N89°21'43"E	9.00'
L62	N89°21'43"E	9.00'
L64	N89°21'43"E	4.00'
L65	N0°38'17"W	4.00'
L66	N89°31'15"W	23.00'
L67	N89°21'43"E	23.00'
L68	N0°38'17"W	4.45'
L69	N89°21'43"E	4.00'



KV SIERRA VISTA, LLC
DN 2015-0053568

0 50 100 200
SCALE: 1"= 100'

SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION
SUBDIVISION NUMBER PL15-0192

BEING ALL OF RESULTANT PARCEL 3 OF THAT LOT LINE ADJUSTMENT FILED IN DOCUMENT NUMBER 2014-0024961, ALSO BEING A PORTION OF THE SOUTH 1/2 OF SECTION 35 AND A PORTION OF THE EAST 1/2 OF SECTION 34 TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
JULY, 2018

M U R R A Y S M I T H & A S S O C I A T E S

MOURIER INVESTMENTS, LLC
DN 2007-0076354

21
EE B.M. 6

22
EE B.M. 6

VISTA GRANDE BOULEVARD

SIERRA VILLAGE DRIVE

SANTUCCI BOULEVARD

SECTION LINE

BASELINE ROAD

WATT AVE

845.10'(1)
N89°30'44"E 845.82'

N88°55'34"E 5295.53'(1)(6)(OA)
2690.57'

654.98'
N0°38'13"W 73.00'(1)
N88°55'34"E 654.97'
654.42'(1)
N45°51'20"W 98.62'(1)

110.00'

60.00'

172.01'
N44°08'40"E 99.37'(1)

1693.58'
N0°38'13"W 73.00'(1)
N88°55'34"E 1693.58'

82.31'
73.00'
38'
35'

I.O.D. TO THE CITY OF ROSEVILLE FOR RIGHT OF WAY PER DN 2015-0064318 AND DN 2015-0064325

15.00'
SEWER EASEMENT

136.56'(1)
N84°53'41"E 713.09'(1)

I.O.D. TO THE CITY OF ROSEVILLE FOR RIGHT OF WAY PER DN 2015-0064318 AND DN 2015-0064325

L=135.04' R=1927.00'
Δ=4°00'55"
N86°54'09"E 135.02'
L=135.58'(1) R=1927.00'(1)
Δ=4°01'53"(1)
N86°54'37"E 135.55'(1)

938.22"(1)

25' EASEMENT FOR ROAD AND UTILITY PURPOSES TO EARL AND AGNES CONLEY PER BOOK 2989 OF DEEDS, PAGE 335 AND EASEMENT FOR RIGHT OF WAY TO PG&E PER BOOK 3060 OFFICIAL RECORDS, PAGE 329

1501.90'(2)(3)
N0°38'36"W 1502.09'

N0°38'13"W 2619.99(5)
N0°38'13"W 2620.53'(5)

1362.76'

N0°38'13"W 1432.76'(1)
N0°38'13"W 1434.76'(OA)

LINE TABLE		
LINE #	BEARING	DISTANCE
L71	N88°44'40"E	142.43'(1)
L72	N89°56'47"E	283.02'(1)
L73	N44°31'52"E	100.43'(1)
L74	N0°38'13"W	102.21'(1)
L75	N89°21'47"E	24.50'(1)
L76	N89°21'47"E	47.26'(1)
L77	N0°38'13"W	48.98'(1)
L78	N4°07'36"E	120.62'(1)
L79	N0°38'13"W	47.94'(1)

LINE TABLE		
LINE #	BEARING	DISTANCE
L80	N4°07'36"E	120.42'(1)
L81	N0°38'13"W	16.54'(1)
L82	N0°38'13"W	18.82'(1)
L83	N10°05'58"W	60.83'(1)
L84	N10°05'58"W	60.83'(1)
L85	N0°38'13"W	124.14'(1)
L86	N0°38'13"W	120.00'(1)
L87	N8°49'31"E	60.01'(1)
L88	N8°49'31"E	60.83'(1)

DESIGNATED REMAINDER LOT
150.350± ACRES

I.O.D. TO THE CITY OF ROSEVILLE FOR RIGHT OF WAY PER DN 2015-0064318 AND DN 2015-0064325

TEMPORARY EASEMENT FOR INGRESS AND EGRESS TO FRANK T. CHAN PER DN 2015-0070559

SEE DETAIL "8D" ON THIS SHEET

I.O.D. TO THE CITY OF ROSEVILLE FOR AN EASEMENT PER DN 2015-0064325

I.O.D. TO THE CITY OF ROSEVILLE FOR PUBLIC UTILITIES PER DN 2015-0064318 AND DN 2015-0064325

I.O.D. TO THE CITY OF ROSEVILLE FOR OPEN SPACE PER DN 2015-0064318

I.O.D. TO THE CITY OF ROSEVILLE FOR OPEN SPACE PER DN 2015-0064318

SEE DETAIL "8C" ON THIS SHEET

SEE DETAIL "8A" ON THIS SHEET

SEE DETAIL "8B" ON THIS SHEET

EASEMENT FOR ROAD AND UTILITIES TO THE COUNTY OF PLACER PER DN 2003-0058642

50' EASEMENT TO PG&E PER DN 2015-0008134

427.31'(1)
N87°15'49"E 125.83'(1)
N66°12'56"W 111.62'(1)
N53°39'22"W 193.94'(1)
N73°56'55"W 69.64'(1)
N35°59'17"W 111.83'(1)
N29°12'09"W 147.49'(1)
N49°30'58"W 67.92'(1)
N55°47'35"W 223.87'(1)
N36°36'24"W 157.05'(1)
N36°14'35"W 275.76'(1)
N9°07'22"W 18.39'(1)
N0°11'16"W 171.92'(1)

N89°46'48"E 45.30'(1)
N77°28'09"W 113.27'(1)
N89°46'48"E 21.20'(1)
N68°23'31"W 90.30'(1)
N55°41'55"W 64.66'(1)
N50°58'08"E 62.72'(1)
N87°12'50"E 82.49'(1)
N65°17'08"W 69.96'(1)
N32°49'57"W 79.22'(1)
N3°32'08"W 25.76'(1)
N54°06'29"W 130.15'(1)
N25°55'01"W 84.45'(1)
68.40'(1)
N1°46'24"W 61.46'(1)
L91
N34°22'30"W 75.38'(OA)
N34°22'30"W 77.66'(1)
51.31'
24.07'(1)
N50°11'13"W 241.13'(1)
N42°39'19"E 352.24'(OA)
326.59'(1)
N42°39'19"E 330.13'(1)
N42°39'19"E 311.99'(1)
N42°39'19"E 319.32'(1)
L90
L89
N47°20'41"W 232.71'(1)(OA)
163.01'(1)
N26°07'45"W 351.76'(1)
N66°24'26"W 143.86'(1)
N0°19'04"E 330.05'(1)
N0°19'04"E 329.01'(1)
N0°38'13"W 1043.69'(1)
N89°29'55"E 659.36'(1)

LINE TABLE		
LINE #	BEARING	DISTANCE
L89	N47°20'41"W	69.70'(1)
L90	N47°20'41"W	45.32'(1)
L91	N42°39'19"E	25.65'(1)
L92	N29°12'09"W	41.63'(1)
L93	N35°59'17"W	10.65'(1)
L94	N89°46'40"E	48.71'
L95	N86°39'49"E	198.32'
L96	N89°50'36"E	412.17'
L97	N89°56'47"E	233.28'(1)

DETAIL "8A"
N.T.S.
L71
L94
31.79'
50'
25'
25'
N0°11'16"W 51.37'
50' EASEMENT TO PG&E PER DN 2015-0008134
BASELINE ROAD

DETAIL "8D"
N.T.S.
30.00'(1)
N0°38'13"W 1432.76'(1)
11.00'(1)
10.98'
N89°21'47"E 9.00'
L=48.69' R=31.00'(1)
Δ=90°00'00"(1)
N45°38'13"W 43.84'(1)
N0°38'13"W 25.00'(1)
N89°21'47"E 9.00'
4.00'(1)
L=8.15' R=6.00'(1)
Δ=77°51'03"(1)
N51°42'42"W 7.54'(1)
L87
L88

DETAIL "8C"
N.T.S.
L77
46.76'
0.50'(1)
L75
L74

LOT 5
SEE SHEET 7

TEMPORARY EASEMENT FOR INGRESS AND EGRESS TO FRANK T. CHAN PER DN 2015-0070559

50' EASEMENT TO PG&E PER DN 2015-0008134

I.O.D. FOR OF WAY TO THE CITY OF ROSEVILLE PER DN 2015-0064318

N0°00'00"E 1299.09'
N0°00'00"W 1299.21'
N0°38'17"W 1584.17'(OA)
1299.75'
N89°21'43"E 96.77'

16.40'
N89°53'07"W 134.86'
6.13'
L96
N0°19'23"W 35.53'
28.37'
N0°38'13"W 81.00'
N89°40'37"E 135.04'
60.00'
62.06'
30.09'
N89°29'55"E
BASELINE ROAD

N89°21'43"E 41.94'
N0°58'16"W 140.43'
SEWER EASEMENT
140.43'
32.98'
25'
N89°21'43"E 515.76'(1)
N0°38'17"W 8.00'(1)
152.90'
70.99'
19.00'
19.01'
EASEMENT FOR ROAD AND UTILITIES TO THE COUNTY OF PLACER PER DN 2003-0058642

DETAIL "8B"
N.T.S.

N89°21'47"E 347.96'(1)
N89°21'47"E 341.88'(1)
N89°21'43"E 211.81'(1)
N85°52'28"W 24.08'(1)
N89°21'43"E 1012.02'(1)
72.00'
N89°21'43"E 251.00'(1)
62.99'
1468.52'
N89°21'43" E 1843.32' (1) (OA)
N84°35'54"E 84.29'(1)

L88
L87
L86
L85
L84
L83
L81
L82
L80
L78
L77
L79
L76
L74
L75
L73
L97
L71
L72
L95
L96
L94

KV SIERRA VISTA, LLC
DN 2015-0053568

0 100 200 400
SCALE: 1" = 200'

SIERRA VISTA - KT PROPERTIES LARGE LOT SUBDIVISION SUBDIVISION NUMBER PL15-0192

BEING ALL OF RESULTANT PARCEL 3 OF THAT LOT LINE ADJUSTMENT FILED IN DOCUMENT NUMBER 2014-0024961, ALSO BEING A PORTION OF THE SOUTH 1/2 OF SECTION 35 AND A PORTION OF THE EAST 1/2 OF SECTION 34 TOWNSHIP 11 NORTH, RANGE 5 EAST, MOUNT DIABLO MERIDIAN

CITY OF ROSEVILLE, PLACER COUNTY, CALIFORNIA
JULY, 2018
MURRAY SMITH & ASSOCIATES

COUNCIL COMMUNICATION

CC #: 1309
File #: 0113-02

Title:	Community Development Block Grant Labor Compliance Monitoring Services - On-Call Professional Services Agreement Amendment
Contact:	Kristine Faelz 916-774-5451 kpfaelz@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.13.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council adopt a resolution to approve a Second Amendment to On-call Professional Services Agreement with Silveira Consulting, Inc., and authorize the City Manager to execute it.

BACKGROUND

The City became a Community Development Block Grant (CDBG} entitlement jurisdiction in 1986, and has traditionally funded housing activities, public facilities and improvements, removal of architectural barriers projects, planning, program administration, and public service activities with CDBG funds.

The City has had a contract with Silveira Consulting to provide labor compliance monitoring for CDBG public facilities projects since September 2019. The CDBG funded projects that Silveira Consulting is overseeing include: the AMIH Main Street Solar Installation project, the Volunteers of America Home Start Community Kitchen addition project, and the Main Street Plaza Apartments project. Silveira Consulting also provided labor compliance monitoring for The Gathering Inn bathroom remodel project, which has been completed. Labor compliance monitoring is essential for these public facilities and public works projects due to the state and federal Davis-Bacon Act prevailing wage requirements.

A first amendment to increase the not-to-exceed (NTE) amount of the PSA was executed on October 7th, 2020. Since that time, additional factors have arisen which warrant a second increase in the NTE amount of the contract for labor compliance monitoring services:

- The Main Street Plaza Apartments - The Main Street Apartments project has continued to face delays due to COVID-19, and the deadline for project completion has been pushed several times. These delays have required a significant increase in billable hours. The updated NTE amount allows for additional funding to cover the necessary labor compliance monitoring as well as closeout activities for the project.

- Volunteers of America (VOA) Home Start Community Kitchen project: The Volunteers of America Home Start program will convert an existing classroom to a community kitchen for homeless families living at the shelter. After several meetings with Silveira Consulting and staff at the VOA, it has been determined that the original quote for two months of labor compliance services will not be sufficient, as staff at VOA has required additional assistance regarding federal prevailing wage requirements prior to the project start. The increase of funding required for this project has been taken into account in the proposed NTE amount.

FISCAL IMPACT

The second amendment to the PSA with Silveira Consulting increases the contract amount by $25,000, which brings the total not-to-exceed contract amount to $84,050.

The CDBG funding is provided as a federal grant to the City. These grants are used to offset potential costs to the General Fund and to serve the community. Funding for the consultant is recommended to come out of CDBG funding for the purposes of providing labor compliance monitoring services for CDBG projects. Funds to accommodate this expense were approved in the FY2020-21 CDBG Fund budget. There is no impact to the General Fund.

ENVIRONMENTAL REVIEW

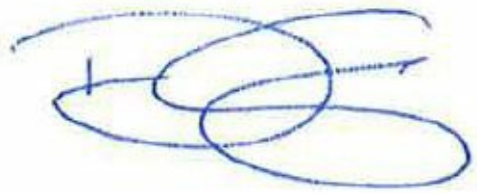
The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378.

Approval of the Second Amendment to On-Call Professional Services Agreement for Community Development Block Grant labor compliance monitoring services meets the above criteria and is not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Kristine Faelz, Housing Analyst

Laura Matteoli, Economic Development Director



_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-082

RESOLUTION NO. 21-082

APPROVING A SECOND AMENDMENT TO ON-CALL PROFESSIONAL SERVICES AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND SILVEIRA CONSULTING, INC., AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, a second amendment to on-call professional services agreement regarding Community Development Block Grant (CDBG) Labor Compliance Monitoring Services, by and between the City of Roseville and Silveira Consulting, Inc., has been reviewed by the City Council; and

NOW, THEREFORE, BE IT RESOLVED by the Council of the City of Roseville that said second amendment is hereby approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 20___, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

COUNCIL COMMUNICATION

CC #: 1312
File #: 0709-01 & 0103-03-02

Title:	HOUSING AUTHORITY- Updated Roseville Housing Authority Administrative Plan
Contact:	Suzi Cook-Turner 916-774-5414 smcook-turner@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.14.

RECOMMENDATION TO COUNCIL

Staff recommends that the Housing Authority Board adopt the attached resolution approving the proposed updates to the Housing Authority Administrative Plan to allow implementation of the project based voucher program.

BACKGROUND

The U.S. Department of Housing and Urban Development (HUD) requires each Housing Authority to adopt a written Administrative Plan that establishes local policies for administering the Housing Choice Voucher (HCV) Rental Assistance Program. The Roseville Housing Authority (RHA) is required to revise its Administrative Plan, as necessary, to comply with HUD regulations as well as include revisions to the Housing Authority's discretionary policies. Revisions to the plan must be formally adopted.

The proposed revisions to RHA's Administrative Plan will further implement the Project-Based Voucher program. Up to twenty percent of RHA's vouchers are allowed to be attached to specific housing units in lieu of being used by voucher holders anywhere within the jurisdiction. Attaching vouchers to specific units subsidizes the rent of said unit, which increases the affordability, and assists renters in overcoming a major hurdle of using a Housing Choice Voucher. During times of low vacancy, finding a housing unit that qualifies within the program regulations can be extremely difficult. Finding a unit can be especially challenging for those with specialized vouchers like the Veterans Assistance Supportive Housing (VASH) vouchers which focus on housing homeless veterans. Project-basing vouchers also allows developers to secure necessary funding resulting in project feasibility as well as increasing housing opportunities not previously available to residents.

Meta Housing, an affordable housing developer, recently constructed two new complexes in Old Town Roseville. Main Street Plaza and Annex on Main add 65 affordable units to Roseville's housing stock. RHA committed 30 Project-Based vouchers to the 100% affordable housing project. Of the 30 vouchers, 10 are layered with Placer County Mental Health Services Act funding, 3 of which must be coming from homelessness and 19 are VASH vouchers and will be referred by the Veterans Administration. Without these Project Based Vouchers, this affordable

development would not have been feasible.

FISCAL IMPACT

There are no additional fiscal impacts with the proposed changes to the Housing Authority's Administrative Plan. There is no impact on the General Fund.

ENVIRONMENTAL REVIEW

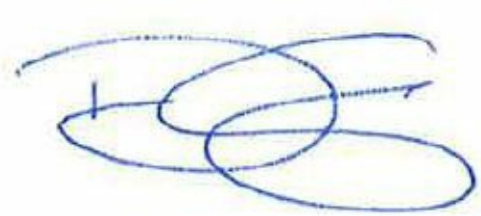
The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378.

The Roseville Housing Authority Administrative Plan Update meets the above criteria and is not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Suzi Cook-Turner, Housing Supervisor

Laura Matteoli, Economic Development Director



_____
Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 3-21

Project Based Vouchers Administrative Plan Changes

HOUSING AUTHORITY OF THE CITY OF ROSEVILLE

RESOLUTION NO. 3-21

APPROVING THE UPDATED ROSEVILLE HOUSING AUTHORITY ADMINISTRATIVE PLAN

WHEREAS, the U.S. Department of Housing and Urban Development (HUD) requires each housing authority to adopt a written administrative plan that establishes local policies for administering the Housing Choice Voucher Rental Assistance Program; and

WHEREAS, staff has proposed changes to the Administrative Plan to allow implementation of the Project-Based Voucher Program; and

WHEREAS, the Housing Authority has reviewed the proposed changes to the Roseville Housing Authority Administrative Plan;

NOW, THEREFORE, BE IT RESOLVED by the Housing Authority of the City of Roseville that it hereby approves the updated Roseville Housing Authority Administrative Plan.

PASSED AND ADOPTED by the Housing Authority of the City of Roseville this ____ day of_____, 20_, by the following vote on roll call:

AYES AUTHORITY MEMBERS:

NOES AUTHORITY MEMBERS:

ABSENT AUTHORITY MEMBERS:

_____
Chair

ATTEST:

_____
Secretary

CHAPTER 17 Project-Based Vouchers

INTRODUCTION

This chapter describes HUD regulations and PHA policies related to the project-based voucher (PBV) program in nine parts:

Part I: General Requirements. This part describes general provisions of the PBV program including maximum budget authority requirements, relocation requirements, and equal opportunity requirements.

Part II: PBV Owner Proposals. This part includes policies related to the submission and selection of owner proposals for PBV assistance. It describes the factors the PHA will consider when selecting proposals, the type of housing that is eligible to receive PBV assistance, the cap on assistance at projects receiving PBV assistance, subsidy layering requirements, site selection standards, and environmental review requirements.

Part III: Dwelling Units. This part describes requirements related to housing quality standards, the type and frequency of inspections, and housing accessibility for persons with disabilities.

Part IV: Newly rehabilitated and Newly Constructed Units. This part describes requirements and policies related to the development and completion of newly rehabilitated and newly constructed housing units that will be receiving PBV assistance.

Part V: Housing Assistance Payments Contract. This part discusses HAP Contract requirements and policies including the execution, term, and termination of the HAP Contract. In addition, it describes how the HAP Contract may be amended and identifies provisions that may be added to the HAP Contract at the PHA's discretion.

Part VI: Selection of PBV Program Participants. This part describes the requirements and policies governing how the PHA and the owner will select a family to receive PBV assistance.

Part VII: Occupancy. This part discusses occupancy requirements related to the lease, and describes under what conditions families are allowed or required to move. In addition, exceptions to the occupancy cap (which limits PBV assistance to twenty-five percent (25%) of the units in any project) are also discussed.

Part VIII: Determining Rent to Owner. This part describes how the initial rent to owner is determined, and how rent will be re-determined throughout the life of the HAP Contract. Rent reasonableness requirements are also discussed.

Part IX: Payments to Owner. This part describes the types of payments owners may receive under this program.

PART I: GENERAL REQUIREMENTS

17-I.A.OVERVIEW
[24 CFR983.5]

The project-based voucher (PBV) program allows RHA, which already administers a tenant-based voucher program under an annual contributions contract (ACC) with HUD, to operate a PBV program using up to twenty percent (20%) of its voucher program budget authority and attach the funding to specific units rather than using it for tenant- based assistance [24 CFR983.6].

RHA Policy

RHA will only operate a PBV program consistent with its Annual Plan, and the goal of deconcentrating poverty and expanding housing and economic opportunities [42U.S.C. 1437 f(o)(13)].

PBV assistance may be attached to existing housing or newly constructed or newly rehabilitated housing [24 CFR 983.52]. If PBV units are already selected for project-based assistance either under an "Agreement to Enter into HAP Contract" (AHAP) or a HAP Contract, RHA is not required to reduce the number of these units under HAP Contract if the amount of budget authority is subsequently reduced. It is noted that while the number of units does not need to be reduced, HAP Contracts cannot be renewed if more than twenty percent (20%) of the base allocation is utilized for PBV. RHA, however, is responsible for determining the amount of budget authority that is available for project-based vouchers and ensuring that the amount of assistance that is attached to units is within the amounts available under the ACC [24 CFR983.6].

17-I.B. TENANT-BASED VS. PROJECT-BASED VOUCHER ASSISTANCE
[24 CFR983.2]

Except as otherwise noted in this chapter, or unless specifically prohibited by PBV program regulations, RHA policies for the tenant-based voucher program contained in this Administrative Plan also apply to the PBV program and its participants.

17-I.C. RELOCATION REQUIREMENTS
[24 CFR983.7]

Any persons displaced as a result of implementation of the PBV program will be provided relocation assistance in accordance with the requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (URA)[42 U.S.C. 4201-4655] and implementing regulations at 49 CFR part 24.

The cost of required relocation assistance may be paid with funds provided by the owner, local public funds, or funds available from other sources.

The acquisition of real property for a PBV project is subject to the URA and 49 CFR part 24, subpart B. It is the responsibility of RHA to ensure the owner and any representatives of the owner, such as a property manager, comply with these requirements.

17-I.D. EQUAL OPPORTUNITY REQUIREMENTS [24 CFR983.8]

RHA, owners and property managers must comply with all equal opportunity requirements under federal law and regulations in its implementation of the PBV program. This includes the requirements and authorities cited at 24 CFR 5.105(a). In addition, RHA will comply with the RHA Plan certification on civil rights and affirmatively furthering fair housing, submitted in accordance with 24 CFR903.7(o).

PART II: PBV OWNER PROPOSALS

17-II.A. OVERVIEW

In this document, RHA will;

- Describe the procedures for owner or owner's designee for submission of PBV proposals:
- Describe RHA selection of PBV proposals:
- Explain how RHA will determine that PBV proposals comply with HUD program regulations and requirements;
- Describe how proposals comply with the cap on the number of PBV units per project:
- Describe how units meet site selection standards.

17-II.B. OWNER PROPOSAL SELECTION PROCEDURES

[24 CFR983.51]

RHA will select PBV proposals in accordance with the selection procedures in this RHA Administrative Plan. RHA will select PBV proposals by either of the following two methods.

- RHA request for PBV Proposals. RHA may solicit proposals by using a Request For Proposals (RFP) process to select proposals on a competitive basis in response to RHA's request. RHA may not limit proposals to a single site or impose restrictions that explicitly or practically preclude owner submission of proposals for PBV housing on different sites.
- RHA selection of Proposal previously selected based on a competition. This may include selection of a proposal for housing assisted under a federal, state, or local government housing assistance program that was subject to a competition in accordance with the requirements of the applicable program, community development program, or supportive services program that requires competitive selection of proposals (e.g., HOME, and units for which competitively awarded LIHTCs have been provided), where the proposal has been selected in accordance with such program's competitive selection requirements within three years of the PBV proposals election date, and the earlier competitive selection proposal did not involve any consideration that the project would receive PBV assistance.

Solicitation and Selection of PBV Proposals [24 CFR 983.51(b) and(c)]
RHA procedures for selecting PBV proposals are designed and operated to provide broad public notice of the opportunity to offer PBV proposals for consideration by RHA.

RHA Request for Proposals for Newly Rehabilitated and Newly Constructed Units
RHA will advertise its request for proposals (RFP) for PBV proposals in newly rehabilitated and newly constructed housing in the following newspaper:

- Press Tribune

In addition, RHA will post the RFP and proposal submission and selection procedures on its website (www.roseville.ca.us/housing).

RHA will publish its notice at least once in the newspaper mentioned above. The notice will specify the number of units RHA estimates that it will be able to assist under the funding RHA is making available. Proposals will be due in RHA office by close of business no less than three weeks from the date of publication.

In order for the proposal to be considered, the owner or owner's designee (herein after referred to as "owner") must submit the proposal to RHA by the published deadline date and time, and the proposal must respond to all requirements as outlined in the RFP. Incomplete proposals will not be reviewed.

RHA will rate and rank proposals for **newly rehabilitated** and **newly constructed** housing using the following criteria:

- Owner experience and capacity to build or rehabilitate housing as identified in the RFP;

- Extent to which the project furthers RHA goal of deconcentrating poverty and expanding housing and economic opportunities;

- If applicable, the extent to which services for special populations are provided on site or in the immediate area for occupants of the property; and

- Projects with less than twenty-five percent (25%) of the units assisted will be rated higher than projects with twenty-five percent (25%) of the units assisted. Projects with the lowest percent of requested assisted units will be seen more favorably.

RHA Requests for Proposals for Existing Housing Units
RHA will advertise its request for proposals (RFP) for existing housing that is to be rehabilitated in the following newspaper:

- Press Tribune

In addition, RHA will post the notice inviting such proposal submission and the rating and ranking procedures on its electronic website (www.roseville.ca.us/housing).The notice will specify the number of units RHA estimates that it will be able to assist under the funding RHA is making available. Owner proposals will be accepted on an ongoing basis with an evaluation taking place when RHA has received a minimum of five proposals or eight weeks have past, whichever comes first.

The proposals will be evaluated using the following criteria:

- Experience as an owner in the tenant-based voucher program and owner compliance with the owner's obligations under the tenant-based program;
- Extent to which the project furthers RHA goal of deconcentrating poverty and expanding housing and economic opportunities;
- If applicable, extent to which services for special populations are provided onsite or in the immediate area for occupants of the property; and
- Extent to which units are occupied by families/households that are eligible to participate in the PBV program.

RHA Selection of Proposals Subject to a Previous Competition under a Federal, State, or Local Housing Assistance Program

RHA will accept proposals for PBV assistance from owners that were competitively selected under another federal, state or local housing assistance program, including projects that were competitively awarded Low-Income Housing Tax Credits (LIHTC) on an ongoing basis. RHA will ensure that the Previous Competitive Selection which is the basis for selection for PBV assistance is made without regard of the possibility of PBV assistance.

RHA may periodically advertise that it is accepting proposals, specifying the number of vouchers available for this purpose in the following newspaper:

- Press Tribune

Proposals will be reviewed on a first-come first-served basis. RHA will evaluate each proposal on its merits using the following factors:

- Extent to which the project furthers RHA goal of deconcentrating poverty and expanding housing and economic opportunities; and
- Extent to which the proposal complements other local activities such as the redevelopment of a public housing site under the HOPE VI program, the HOME program, CDBG activities, other development activities in a HUD-designated Enterprise Zone, Economic Community, or Renewal Community.

RHA Notice of Owner Selection [24 CFR983.51(d)]

RHA Policy
Within fifteen (15) days of RHA making a selection, RHA will notify the selected owner, in writing, of the owner's selection for the PBV program. RHA will also notify, in writing, all owners that submitted proposals that were not selected and advise such entities of the name of the selected owner.

In addition, RHA will publish its notice for selection of PBV proposals in the same newspaper RHA used to solicit the proposals. The announcement will include the name of the owner and address of units selected for the PBV program. RHA will also post the notice of owner selection on its website.

RHA will make available to any interested party its rating and ranking sheets and the documents that identify RHA's basis for selecting the proposal. These documents will be available for review by the public and other interested parties for one month after publication of the notice of owner selection. RHA will not make available sensitive owner information that is privileged, such as financial statements and similar information about the owner. RHA will make these documents available for review at RHA offices during normal business hours. The cost for reproduction of allowable documents will be ten cents (10¢) per page.

17-II.C. HOUSING TYPE

[24 CFR983.52]

RHA will attach PBV assistance for units in existing housing or for newly constructed or newly rehabilitated housing developed under and in accordance with an agreement to enter into a housing assistance payments contract that was executed prior to the start of construction. A housing unit is considered an existing unit for purposes of the PBV program if, at the time of notice of RHAs election, the units exist and substantially comply with HQS. "Substantially" is defined as any unit that has an accumulation of items that would cost less than $1,000 and take less than one month's time to comply fully with HQS requirements. Units for which new construction or rehabilitation was started in accordance with PBV program requirements do not qualify as existing housing.

RHA choice of housing type will be reflected when it solicits proposals.

17-II.D. PROHIBITION OF ASSISTANCE FOR CERTAIN UNITS

Ineligible Housing Types [24 CFR983.53]

RHA will not attach or pay PBV assistance to shared housing units; units on the grounds of a penal reformatory, medical, mental, or similar public or private institution; nursing homes or facilities providing continuous psychiatric, medical, nursing services, board and care, or intermediate care (except that assistance may be provided in assisted living facilities); units that are owned or controlled by an educational institution or its affiliate and are designated for occupancy by students; manufactured homes or pads; cooperative housing; and transitional housing. In addition, RHA will not attach or

pay PBV assistance for a unit occupied by an owner and RHA will not select or enter into an AHAP or enter into a HAP Contract for a unit occupied by a family ineligible for participation in the PBV program.

Subsidized Housing [24 CFR983.54]

RHA will not attach or pay PBV assistance to units in any of the following types of subsidized housing:

- A public housing unit;
- A unit subsidized with any other form of Section 8 assistance;
- A unit subsidized with any governmental rent subsidy;
- A unit subsidized with any governmental subsidy that covers all or any part of the operating costs of the housing;
- A unit subsidized with Section 236 rental assistance payments (except that RHA could attach assistance to a unit subsidized with Section 236 interest reduction payments);
- A Section 202 project for non-elderly with disabilities;
- Section 811 project-based supportive housing for persons with disabilities;
- Section 202 supportive housing for the elderly;
- A Section 101 rent supplement project;
- A unit subsidized with any form of tenant-based rental assistance;
- A unit with any other duplicative federal, state, or local housing subsidy determined by HUD or RHA, in accordance with HUD requirements.

17-II.E. SUBSIDY LAYERING REQUIREMENTS [24 CFR983.55]

RHA will provide PBV assistance only in accordance with HUD subsidy layering regulations [24 CFR 4.13] and other requirements.

The subsidy layering review is intended to prevent excessive public assistance by combining (layering) housing assistance payment subsidy under the PBV program with other governmental housing assistance from federal, state, or local agencies, including assistance such as tax concessions or tax credits.

RHA will submit the necessary documentation to HUD for a subsidy layering review. RHA will not enter into an AHAP Contract or a HAP Contract until HUD (or an independent entity approved by HUD) has conducted any required subsidy layering review and determined that the PBV assistance is in accordance with HUD subsidy layering requirements. Subsidy layering reviews are not required for projects that have undergone a review conducted by the applicable state or local agency for funding on the project.

The HAP Contract will contain the owner's certification that the project has not received and will not receive (before or during the term of the HAP Contract) any public

assistance for acquisition, development, or operation of the housing other than assistance disclosed in the subsidy layering review in accordance with HUD requirements.

17-II.F. CAP ON NUMBER OF PBV UNITS IN EACH PROJECT

Twenty-Five Percent (25%) per Project Cap [24 CFR983.56(a)]

In general, RHA may not select a proposal to provide PBV assistance for units in a project or enter into an AHAP or a HAP Contract to provide PBV assistance for units in a project, if the total number of dwelling units in the project that will receive PBV assistance during the term of the PBV HAP Contract, is more than twenty-five percent (25%) of the number of dwelling units (assisted or unassisted) in the project.

Section 2835(a)(1) of HERA defines a "Project" to mean a single building, multiple contiguous buildings or multiple buildings on contiguous parcels of land.

Exceptions to Twenty-Five Percent (25%) per Project Cap [24 CFR983.56(b)]

Exceptions are allowed and PBV units are not counted against the twenty-five percent (25%) per project capif:

- The units are in a single-family project, defined by HUD as any project with one to four units;
- The units are *excepted units* in a multifamily project because they are specifically made available for elderly or disabled families or families receiving supportive services (also known as *qualifying families*).

Supportive Services

The types of supportive services offered to families for a project to qualify for the exception are those intended to promote self-sufficiency, including:

- Outreach;
- Case management, counseling;
- Health care, psychiatric and mental health care, substance abuse treatment;
- Life skills, parenting skills;
- Child care, transportation, housing search assistance, budgeting;
- Employment assistance, job training/placement;
- Education, vocational opportunities.

Supportive services do not have to be provided at the project site. When supportive services are provided, whether or site or not, the following conditions apply:

The statement of family responsibility in the lease must contain the family's obligation to participate in the designated service program. Failure of the family to comply is good cause to terminate the family from the program.

Participation in medical or disability-related services other than drug and alcohol

treatment in the case of current abusers is not required as a condition of living in an excepted unit.

RHA will ensure that only families receiving supportive services and complying with the service requirements are assisted. To qualify, a family must have at least one member receiving at least one qualifying supportive service. RHA will not require participation in medical or disability-related services other than drug and alcohol treatment in the case of current abusers as a condition of living in an excepted unit, although such services maybe offered. If a family at the time of initial tenancy is receiving, and while the resident of an excepted unit, has received, FSS supportive services or any other supportive services as defined above, and successfully completes the FSS contract of participation or the supportive services requirement, the unit continues to count as an excepted unit for as long as the family resides in the unit.

RHA will monitor the excepted family's continued receipt of supportive services and take appropriate action regarding those families that fail without good cause to complete their supportive services requirement. Monitoring will be accomplished by requiring the owner to provide quarterly reports on the family's participation and confirming this information by interviewing the tenant at the annual re-examination.

RHA will provide PBV assistance for the following types of excepted units – units limited to use by "qualifying families":
Units in a multifamily project specifically made available for elderly or disabled families;
Units for families receiving supportive services.

Elderly, disabled, and families receiving supportive services are all "qualifying families."

Promoting Partially-Assisted Projects [24 CFR983.56(c)]
RHA is not setting a cap on the number of PBV units assisted per project beyond the twenty-five percent (25%) cap for non-excepted units.

17-II.G. SITE SELECTIONSTANDARDS

Compliance with PBV Goals, Civil Rights Requirements, and HQS SiteStandards [24 CFR983.57(b)]

RHA will not select a proposal for existing, newly constructed, or newly rehabilitated PBV housing on a site or enter into an AHAP Contract or HAP Contract for units on the site, unless RHA has determined that PBV assistance for housing at the selected site is consistent with the goal of deconcentrating poverty and expanding housing and economic opportunities. The standard for deconcentrating poverty and expanding housing and economic opportunities will be consistent with the Agency Plan under 24 CFR 903 and this Administrative Plan.

In addition, prior to selecting a proposal, RHA will determine that the site is suitable from the stand point of facilitating and furthering full compliance with the applicable Civil Rights Laws, regulations, and Executive Orders, and that the site meets the HQS site

and neighborhood standards at 24 CFR982.401(l).

RHA Policy

It is RHA's goal to select sites for PBV housing that provide for deconcentrating poverty and expanding housing and economic opportunities. In complying with this goal RHA will limit approval of sites for PBV housing in census tracts that have family poverty concentrations of twenty percent (20%) or less.

RHA will grant exceptions to the twenty percent (20%) standard where RHA determines that the PBV assistance will complement other local redevelopment activities designed to deconcentrate poverty and expand housing and economic opportunities in census tracts with poverty concentrations greater than twenty percent (20%), such as sites census tracts where:

The proposed PBV development will be located in a HUD-designated Enterprise Zone, Economic Community, or Renewal Community;

The concentration of assisted units will be or has decreased as a result of public housing demolition and HOPE VI redevelopment;

The proposed PBV development will be located is undergoing significant revitalization as a result of state, local, or federal dollars invested in the area;

New market rate units are being developed where such market rate units will positively impact the poverty rate in the area;

There has been an overall decline in the poverty rate within the past five years; or

There are meaningful opportunities for educational and economic advancement.

Existing and Newly Rehabilitated Housing Site and Neighborhood Standards [24 CFR983.57(d)]

RHA will not enter into an AHAP for units that will be newly rehabilitated, or execute a HAP Contract for existing units until it has determined that the site complies with the HUD required site and neighborhood standards. The site must:

- Be adequate in size, exposure, and contour to accommodate the number and type of units proposed;
- Have adequate utilities and streets available to service the site;
- Promote a greater choice of housing opportunities and avoid undue concentration of assisted persons in areas containing a high proportion of low-income persons;
- Be accessible to social, recreational, educational, commercial, and health facilities and services and other municipal facilities andservices equivalent to those found in neighborhoods consisting largely of unassisted similar units; and
- Be located so that travel time and cost via public transportation or private automobile from the neighborhood to places of employment is not excessive.

New Construction Site and Neighborhood Standards [24 CFR983.57(e)]
In order to be selected for PBV assistance, as it for newly constructed housing must meet the following HUD-required site and neighborhood standards:

- The site must be adequate in size, exposure, and contour to accommodate the number and type of units proposed;

- The site must have adequate utilities and streets available to service the site;

- The site must not be located in an area of minority concentration unless RHA determines that sufficient, comparable opportunities exist for housing for minority families in the income range to be served by the proposed project outside areas of minority concentration or that the project is necessary to meet overriding housing needs that cannot be met in that housing market area;

- The site must not be located in a racially mixed area if the project will cause a significant increase in the proportion of minority to non-minority residents in the area.

- The site must promote a greater choice of housing opportunities and avoid undue concentration of assisted persons in areas containing a high proportion of low income persons;

- The neighborhood must not be one that is seriously detrimental to family life or in which substandard dwellings or other undesirable conditions predominate;

- The housing must be accessible to social, recreational, educational, commercial, and health facilities and services and other municipal facilities and services equivalent to those found in neighborhoods consisting largely of unassisted similar units; and

- Except for housing designed for elderly persons, the housing must be located so that travel time and cost via public transportation or private automobile from the neighborhood to places of employment is not excessive.

17-II.H. ENVIRONMENTALREVIEW
[24 CFR983.58]

RHA's activities under the PBV program are subject to HUD environmental regulations in 24CFR parts 50 and 58.The *responsible entity* (i.e., City of Roseville) is responsible for performing the federal environmental review under the National Environmental Policy Act of 1969 (42U.S.C.4321etseq.). RHA will not enter in to an AHAP Contract nor enter into a HAP Contract until it has complied with the environmental review requirements.

In the case of existing housing, the City of Roseville is responsible for the environmental review under 24 CFR part 58, must determine whether or not PBV assistance is categorically excluded from review under the National Environmental Policy Act and whether or not the assistance is subject to review under the laws and authorities listed in 24 CFR 58.5. RHA will not enter into an agreement to enter into a HAP Contract or a HAP contract with an owner until the environmental review is completed. RHA will supply all available, relevant information necessary for the responsible entity to perform any required environmental review for any site. RHA requires the owner to carry out mitigating measures required by the City of Roseville (or HUD, if applicable) as a result of the environmental review.

PART III: DWELLING UNITS

17-III.A. OVERVIEW

This part identifies the special housing quality standards that apply to the PBV program, housing accessibility for persons with disabilities, and special procedures for conducting housing quality standards inspections.

17-III.B. HOUSING QUALITY STANDARDS

[24 CFR983.101]

The housing quality standards (HQS) for the tenant-based program, including those for special housing types, generally apply to the PBV program. HQS requirementsfor shared housing, cooperative housing, manufactured home space rental, andthe homeownership option do not apply because these housing types are not assisted under the PBV program.

The physical condition standards at 24 CFR 5.703 do not apply to the PBV program.

Lead-based Paint [24 CFR983.101(c)]

The lead-based paint requirements for the tenant-based voucher program do not apply to the PBV program. Instead, the Lead-based Paint Poisoning Prevention Act (42 U.S.C. 4821-4846), the Residential Lead-based Paint Hazard Reduction Act of 1992 (42 U.S.C. 4851-4856), and implementing regulations at 24 CFR part 35, subparts A, B,H, and R, apply to the PBV program.

17-III.C. HOUSING ACCESSIBILITY FOR PERSONS WITH DISABILITIES

Housing will comply with program accessibility requirements of Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and implementing regulations at 24 CFR part 8. RHA will ensure that the percentage of accessible dwelling units complies with the requirements of Section 504 of the Rehabilitation Act of 1973 (29U.S.C.794), as implemented by HUD's regulations at 24 CFR 8, subpart C.

Housing first occupied after March 13,1991, will comply with design and construction requirements of the Fair Housing Amendments Act of 1988 and implementing regulations at 24 CFR 100.205, as applicable. (24 CFR983.102)

17-III.D. INSPECTING UNITS

Pre-selection Inspection [24 CFR983.103(a)]

RHA will examine the proposed site before the proposal selection date. If the units to be assisted already exist, RHA will inspect all the units before the proposal selection date, and will determine whether the units substantially comply with HQS. To qualify as existing housing, units must substantially comply with HQS on the proposal selection

date. RHA, however, will not execute the HAP Contract until the units fully comply with HQS.

Pre-HAP Contract Inspections [24 CFR983.103(b)]
RHA will inspect each contract unit before execution of the HAP Contract. RHA will not enter into a HAP Contract covering a unit until the unit fully complies with HQS.

Turnover Inspections [24 CFR983.103(c)]
Before providing assistance to a new family in a contract unit, RHA will inspect the unit. RHA will not provide assistance on behalf of the family until the unit fully complies with HQS.

Bi-annual Inspections [24 CFR983.103(d)]
At least every other year during the term of the HAP Contract, RHA will inspect a random sample, consisting of at least twenty-percent (20%) of the contract units in each project to determine if the contract units and the premises are maintained in accordance with HQS. The sample will be selected at random. Turnover inspections are not counted toward meeting this bi-annual inspection requirement.

If more than twenty-percent (20%) of the bi-annual sample of inspected contract units in a project fails the initial inspection, RHA will reinspect one-hundred percent (100%) of the contract units in the project.

Other Inspections [24 CFR983.103(e)]
RHA will inspect contract units whenever needed to determine that the contract units comply with HQS and that the owner is providing maintenance, utilities, and other services in accordance with the HAP Contract RHA will take into account complaints and any other information coming to its attention in scheduling inspections.

RHA will conduct follow-up inspections needed to determine if the owner (or, if applicable, the family) has corrected an HQS violation, and will conduct inspections to determine the basis for exercise of contractual and other remedies for owner or family violations of HQS.

In conducting RHA supervisory quality control HQS inspections, RHA will include a representative sample of both tenant-based and project-based units.

PART IV: NEWLY REHABILITATED AND NEWLY CONSTRUCTED UNITS

17-IV.A.OVERVIEW

[24 CFR983.151]

There are specific requirements that apply to PBV assistance for newly constructed or newly rehabilitated housing that do not apply to PBV assistance in existing housing. This part describes the requirements unique to this type of assistance. Housing selected for this type of assistance may not at a later date be selected for PBV assistance as existing housing.

17-IV.B. AGREEMENT TO ENTER INTO HAP CONTRACT

In order to offer PBV assistance in newly rehabilitated or newly constructed units, RHA will enter into an agreement to enter into an AHAP Contract with the owner of theproperty. The Agreement will be in the form required by HUD [24 CFR983.152(a)].

In the AHAP, the owner agrees to develop the PBV contract units to comply with HQS, and RHA agrees that, upon timely completion of such development in accordance with the terms of the Agreement, RHA will enter into a HAP Contract with the owner for the contract units [24 CFR983.152(b)].

Content of the Agreement [24 CFR983.152(c)]

At a minimum, the AHAP will describe the following features of the housing to be developed and assisted under the PBV program:

- Site and the location of the contract units;
- Number of contract units by area (size) and number of bedrooms and bathrooms;
- Services, maintenance, or equipment to be supplied by the owner without charges in addition to the rent;
- Utilities available to the contract units, including a specification of utility services to be paid by the owner and utility services to be paid by the tenant;
- An indication of whether or not the design and construction requirements of the Fair Housing Act and section 504 of the Rehabilitation Act of 1973 apply to units under the Agreement. If applicable, any required work item resulting from these requirements will be included in the description of work to be performed under the Agreement;
- Estimated initial rents to owner for the contract units;
- Description of the work to be performed under the Agreement. For newly rehabilitated units, the description will include the rehabilitation work, write up and, where determined necessary by RHA, specifications and plans. For new construction units, the description will include the working drawings and specifications.
- Any additional requirements for quality, architecture, or design over and above HQS.

Execution of the AHAP [24 CFR983.153]

The AHAP will be executed “promptly” after RHA notice of proposal selection to the selected owner. RHA, however, will not enter into the AHAP with the owner until the

subsidy layering review is completed. Likewise, RHA will not enter into the AHAP until the environmental review is completed and RHA has received environmental approval. Promptly, in this case, requires the selected owner to have completed the necessary reviews for the project and be ready to enter into an AHAP within one year from the date of notification that the project is awarded PBV assistance.

RHA Policy
RHA has the option to grant up to two six-month extensions to this one year limit provided the owner can demonstrate that progress is being made and that the project will go to AHAP within the extended time frame.

17-IV.C. CONDUCT OF DEVELOPMENT WORK

Labor Standards [24 CFR983.154(b)]
If an AHAP covers the development of nine or more contract units (whether or not completed in stages), the owner and the owner's contractors and subcontractors must pay Davis-Bacon wages to laborers and mechanics employed in the development of housing. The HUD-prescribed form of the Agreement will include the labor standards clauses required by HUD, such as those involving Davis-Bacon wage rates.

The owner, contractors, and subcontractors must also comply with the Contract Work Hours and Safety Standards Act, Department of Labor regulations in 29 CFR part 5, and other applicable federal labor relations laws and regulations. RHA will monitor compliance with labor standards.

Equal Opportunity [24 CFR983.154(c)]
The owner must comply with Section 3 of the Housing and Urban Development Act of 1968 and the implementing regulation sat 24 CFR part 135. The owner must also comply with federal equal employment opportunity requirements.

Owner Disclosure [24 CFR 983.154(d) and(e)]
The AHAP and HAP Contracts must include a certification by the owner that the owner and other project principals are not on the U.S. General Services Administration list of parties excluded from federal procurement and non-procurement programs.

The owner must also disclose any possible conflict of interest that would be a violation of the AHAP and HAP Contracts or HUD regulations.

17-IV.D. COMPLETION OF HOUSING
The AHAP will specify the deadlines for completion of the housing, and the owner must develop and complete the housing in accordance with these deadlines. The AHAP will also specify the deadline for submission by the owner of the required evidence of completion.

Evidence of Completion [24 CFR983.155(b)]
At a minimum, the owner must submit the following evidence of completion to RHA in the form and manner required by RHA:

- Owner certification that the work has been completed in accordance with HQS and all requirements of the AHAP;

- Owner certification that the owner has complied with labor standards and equal opportunity requirements in development of the housing; and

- Certificate of Occupancy (for new construction) or Final Building Permit cards (for rehabilitation projects) from the City of Roseville.

RHA Acceptance of Completed Units [24 CFR983.156]
Upon notice from the owner that the housing is completed, RHA will inspect the housing to determine if has been completed in accordance with the AHAP, including compliance with HQS and any additional requirements imposed under the AHAP. RHA will also determine if the owner has submitted all required evidence of completion.

If the work has not been completed in accordance with the AHAP, RHA will not enter into the HAP Contract.

If RHA determines the work has been completed in accordance with the AHAP and that the owner has submitted all required evidence of completion, RHA will submit the HAP Contract for execution by the owner and must then execute the HAP Contract.

PART V: HOUSING ASSISTANCE PAYMENTS CONTRACT (HAP)

17-V.A.OVERVIEW

RHA will enter into a HAP Contract with an owner for units that are receiving PBV assistance. The purpose of the HAP Contract is to provide housing assistance payments for eligible families. Housing assistance is paid for contract units leased and occupied by eligible families during the HAP Contract term. The HAP Contract will be in the form required by HUD [24 CFR983.202].

17-V.B. HAP CONTRACT REQUIREMENTS

Contract Information [24 CFR983.203]

The HAP Contract will must specify the following information:

- The total number of contract units by number of bedrooms;
- The project's name, street address, city or county, state and zip code, block and lot number (if known), and any other information necessary to clearly identify the site and the project;
- The number of contract units in each project, the location of each contract unit, the area of each contract unit, and the number of bedrooms and bathrooms in each contract unit;
- Services, maintenance, and equipment to be supplied by the owner and included in the rent to owner;
- Utilities available to the contract units, including a specification of utility services to be paid by the owner(included in rent) and utility services to be paid by the tenant;
- Features provided to comply with program accessibility requirements of Section 504 of the Rehabilitation Act of 1973 and implementing regulations at 24CFR part 8;
- The HAP Contract term;
- The number of units in any project that will exceed the twenty-five percent(25%) per project cap, which will be set-aside for occupancy by qualifying families; and The initial rent to owner for the first twelve (12) months of the HAP Contract term.

Execution of the HAP Contract [24 CFR983.204]

RHA will not enter into a HAP Contract until each contract unit has been inspected and RHA has determined that the unit complies with Housing Quality Standards (HQS).

For existing housing, the HAP Contract will be executed "promptly" after the RHA selects the owner proposal and inspects the housing units. "Promptly," in this case, means that the owner must be ready and willing to sign the HAP Contract within two (2) months from the date RHA has completed HQS inspections, found the units in compliance, and has notified the owner of its selection decision.

For newly constructed or newly rehabilitated housing, the HAP Contract will be executed after RHA has inspected the completed units, determined that the units have been completed in accordance with the AHAP, and the owner furnishes all required evidenceof completion. Also, for newly rehabilitated or newly constructed housing, the HAP Contractwill be executed within two months of RHA determining that the units have beencompleted in accordance with the AHAP, all units meet HQS, and the owner has submitted all required evidence of completion.

Term of HAP Contract [24 CFR983.205]
RHA will enter into a HAP Contract with an owner for an initial term of no less than one year and no more than fifteen (15) years.

RHA Policy
The term of all PBV HAP Contracts will be negotiated with the owner on a case-by-case basis within HUD parameters.

At the time of the initial HAP contract or any time before expiration of the HAP Contract, RHA may extend the term of the contract for an additional term of up to fifteen (15) years if RHA determines an extension is appropriate to continue providing affordable housing for low-income families. Subsequent extensions are subject to the same limitations. All extensions must be on the form and subject to the conditions prescribed by HUD at the time of the extension.

Contracts or contract extensions may not exceed fifteen years cumulatively. APHA must determine that the extension of the contract is appropriate to achieve long-term affordability of the housing or to expand housing opportunities.

RHA Policy
When determining whether or not to extend an expiring PBV contract, RHA will consider several factors including, but not limited to:

- The cost of extending the contract and the amount of available budget authority;
- The condition of the contract units;
- The owner's record of compliance with obligations under the HAP Contract and lease(s);
- Whether the location of the units continues to support the goals of deconcentrating poverty and expanding housing opportunities; and
- Whether the funding could be used more appropriately for tenant-based assistance.

Termination by RHA [24 CFR983.205(c)]
The HAP Contract will provide that the term of RHA's contractual commitment is subject to the availability of sufficient appropriated funding as determined by HUD or by RHA in accordance with HUD instructions. For these purposes, sufficient funding means the availability of appropriations, and of funding under the ACC from such appropriations, to

make full payment of housing assistance payments payable to the owner for any contract year in accordance with the terms of the HAP Contract. If it is determined that there may not be sufficient funding to continue housing assistance payments for all contract units and for the full term of the HAP Contract, the RHA may terminate the HAP Contract by notice to the owner. The termination will be implemented in accordance with HUD instructions.

Termination by Owner [24 CFR983.205(d)]
If in accordance with program requirements the amount of rent to an owner for any contract unit is reduced below the amount of the rent to owner at the beginning of the HAP Contract term, the owner may terminate the HAP Contract by giving notice to the RHA. In this case, families living in the contract units must be offered tenant-based assistance.

Remedies for HQS Violations [24 CFR983.207(b)]
RHA will not make any HAP payment to the owner for a contract unit during any period in which the unit does not comply with HQS.

RHA Policy
If RHA determines that a contract does not comply with HQS, RHA will abate and terminate PBV HAP contracts for non-compliance with HQS in accordance with the policies used in the tenant-based voucher program. These policies are contained in Section 8-II.G., Enforcing Owner Compliance.

17-V.C. AMENDMENTS TO THE HAPCONTRACT

Substitution of Contract Units [24 CFR983.206(a)]
RHA will amend the HAP Contract to substitute a different unit, if the unit has the same number of bedrooms in the same project, is HQS compliant, and meets all PBV requirements for a previously covered contract unit. Before any such substitution can take place, RHA will inspect the proposed unit and determine the reasonable rent for the unit.

Addition of Contract Units [24 CFR983.206(b)]
At RHA's discretion and subject to the restrictions on the number of dwelling units that can receive PBV assistance per project and on the overall size of RHA's PBV program, a HAP Contract may be amended during the three-year period following the execution date of the HAP Contract to add additional PBV units in the same project. This type of amendment is subject to all PBV program requirements except that a new PBV proposal is not required.

RHA Policy
RHA will consider adding contract units to the HAP Contract when RHA determines that additional housing is needed to serve eligible low-income families.

Circumstances may include, but are not limited to:

- The local housing inventory is reduced due to a disaster (either due to loss of housing units, or an influx of displaced families); and
- Voucher holders are having difficulty finding units that meet program requirements.

17-V.D. HAP CONTRACT YEAR, ANNIVERSARY AND EXPIRATION DATES [24 CFR 983.206(c) and 983.302(e)]

The HAP Contract year is the period of twelve (12) calendar months preceding each annual anniversary of the HAP Contract during the HAP Contract term. The initial contract year is calculated from the first day of the first calendar month of the HAP Contract term.

The annual anniversary of the HAP Contract is the first day of the first calendar month after the end of the preceding contract year.

There is a single annual anniversary and expiration date for all units under a particular HAP Contract, even in cases where contract units are placed under the HAP Contract in stages (on different dates) or units are added by amendment. The anniversary and expiration dates for all units coincide with the dates for the contract units that were originally placed under contract.

17-V.E. OWNER RESPONSIBILITIES UNDER THE HAP [24 CFR983.209]

When the owner executes the HAP Contract, the owner certifies that at such execution and at all times during the term of the HAP Contract:

- All contract units are in good condition and the owner is maintaining the premises and contract units in accordance with HQS;
- The owner is providing all services, maintenance, equipment and utilities as agreed to under the HAP Contract and the leases;
- Each contract unit for which the owner is receiving HAP, is leased to an eligible family referred by RHA, and the lease is in accordance with the HAP Contract and HUD requirements;
- To the best of the owner's knowledge the family resides in the contract unit for which the owner is receiving HAP, and the unit is the family's only residence;
- The owner (including a principal or other interested party) is not the spouse, parent, child, grandparent, grandchild, sister, or brother of any member of a family residing in a contract unit;
- The amount of the HAP the owner is receiving is correct under the HAP Contract;
- The rent for contract units does not exceed rents charged by the owner for comparable unassisted units;

- Except for HAP and tenant rent, the owner has not received and will not receive any other payment or consideration for rental of the contract unit;and
- The family does not own or have any interest in the contract unit.

17-V.F. ADDITIONAL HAP REQUIREMENTS

Housing Quality and Design Requirements [24 CFR 983.101(e) and 983.207(a)]

The owner is required to maintain and operate the contract units and premises in accordance with HQS, including performance of ordinary and extraordinary maintenance. The owner must provide all the services, maintenance, equipment, and utilities specified in the HAP Contract with RHA and in the lease with each assisted family. In addition, maintenance, replacement and redecoration must be inaccordance with the standard practice for the project as established by the owner.

RHA may elect to establish additional requirements for quality, architecture, or design of PBVhousing.AnysuchadditionalrequirementsmustbespecifiedintheRFP, AHAP Contract and the HAP Contract. These requirements must be in addition to, not in place of, compliance with HQS.

RHA Policy

RHA will identify the need for any special features on a case-by-case basis depending on the intended occupancy of the PBV project. RHA will specify any special design standards or additional requirements in the invitation for PBV proposals, the AHAP Contract, and the HAP Contract.

Vacancy Payments [24 CFR983.352(b)]

Vacancy Payments will be paid to the owner in accordance with the terms of the HAP Contract.

PART VI: SELECTION OF PBV PROGRAM PARTICIPANTS

17-VI.A. OVERVIEW

Many of the provisions of the tenant-based voucher regulations [24 CFR 982] also apply to the PBV program. This includes requirements related to determining eligibility and selecting applicants from the waiting list. Even with these similarities, there are requirements that are unique to the PBV program. This part describes the requirements and policies related to eligibility and admission to the PBV program.

17-VI.B. ELIGIBILITY FOR PBV ASSISTANCE [24 CFR 983.251(a) and (b)]

RHA will select families for the PBV program from those who have applied for Admission to the voucher program. A separate PBV Waiting List will be maintained. Eligibility for admission will be determined at the commencement of PBV assistance.

Applicants for PBV assistance must meet the same eligibility requirements as applicants for the tenant-based voucher program. Applicants must qualify as a family/household as defined by HUD and RHA, have income at or below HUD-specified income limits, and qualify on the basis of citizenship or the eligible immigration status of family members [24CFR 982.201(a) and 24CFR983.2(a)]. In addition, an applicant family must provide Social Security information for family members [24CFR5.216and5.218] and consent to RHA's collection and use of family information regarding income, expenses, and family composition [24 CFR 5.230]. An applicant family also must meet HUD requirements related to current or past criminal activity. In addition families who will reside in "Excepted" units must meet the appropriate criteria as a "qualified" family.

RHA Policy

RHA will determine an applicant family's eligibility for the PBV program in accordance with the policies in Chapter 3.

In-Place Families [24 CFR983.251(b)]

An eligible family residing in a proposed PBV contract unit on the date the proposal is selected by RHA is considered an "in-place family." These families are afforded protection from displacement under the PBV rule. If a unit to be placed under contract (either an existing unit or a unit requiring rehabilitation) is occupied by an eligible family on the date the proposal is selected, the in-place family will be placed on RHA's waiting list. Once the family's continued eligibility is determined (RHA may deny assistance to an in-place family for the grounds specified in 24 CFR 982.552 and 982.553), the family will be given an absolute selection preference and RHA must refer these families to the project owner for an appropriately-sized PBV unit in the project. Admission of eligible in-place families is not subject to income targeting requirements.

This regulatory protection from displacement does not apply to families that are not eligible to participate in the program on the proposal selection date.

17-VI.C. ORGANIZATION OF THE WAITING LIST

[24 CFR983.251(c)]

RHA will use separate waiting lists for the tenant-based Housing Choice Voucher Program and the PBV Program.

17-VI.D. SELECTION FROM THE WAITING LIST

[24 CFR983.251(c)]

Applicants who will occupy units with PBV assistance will be selected from RHA's waiting list. RHA will place families referred by the PBV owner on its PBV waiting list when the waiting list is open.

Income Targeting [24 CFR983.251(c)(6)]

At least seventy-five percent (75%) of the families admitted to RHA's tenant-based and project-based voucher programs during RHA fiscal year from the waiting list will be extremely-low income families. The income targeting requirement applies to the total of admissions to both programs.

Units with Accessibility Features [24 CFR983.251(c)(7)]

When selecting families to occupy PBV units that have special accessibility features for persons with disabilities, RHA will must first refer families who require such features to the owner.

Preferences [24 CFR983.251(d)]

RHA will use the same selection preferences that are used for the tenant-based voucher program. RHA provides an absolute selection preference for eligible in-place families as described in Section 7-VI.B. All preferences that apply to the Housing Choice Voucher Program also apply to the PBV program or for particular PBV projects or units. When vacancies in PBV units of a specific size (i.e., number of bedrooms) or excepted units, RHA will select only qualified families of the correct income and family composition size according to RHA's subsidy standards for those units in the correct order from the waiting list.

If RHA has projects with more than twenty-five percent (25%) of the units receiving project-based assistance because those projects include "excepted units"(units specifically made available for elderly or disabled families, or families receiving supportive services), the RHA will give preference to such families when referring families to these units [24 CFR983.261(b)].

17-VI.E. OFFER OF PBV ASSISTANCE

Refusal of Offer [24 CFR983.251(e)(3)]

RHA is prohibited from taking, and will not take, any of the following actions against a family who has applied for, received, or refused an offer of PBV assistance:

- Refuse to list the applicant on the waiting list for tenant-based voucher assistance;
- Deny any admission preference for which the applicant qualifies;
- Change the applicant's place on the waiting list based on preference, date, and time of application, or other factors affecting selection under RHA's selection policy;
- Remove the applicant from the tenant-based voucher waiting list.

Disapproval by Landlord [24 CFR983.251(e)(2)]

If a PBV owner rejects a family for admission to the owner's units, such rejection may not affect the family's position on the tenant-based voucher waiting list.

Acceptance of Offer [24 CFR983.252]

Family Briefing

When a family accepts an offer for PBV assistance, RHA will give the family a normal briefing. The briefing will include information on how the program works andthe responsibilities of the family and owner. In addition to the oral briefing, RHA will provide a briefing packet that explains how RHA determines the total tenant payment for a family, the family obligations under the program, and applicable fair housing information.

Persons with Disabilities

If an applicant family's head or spouse is disabled, RHA will assure effective communication, in accordance with 24CFR8.6, in conducting the oral briefing and in providing the written information packet. This may include making alternative formats available (see Chapter 2). In addition, RHA will have a mechanism for referring a family that includes a member with mobility impairment to an appropriate accessible PBV unit.

Persons with Limited English Proficiency

RHA will take reasonable steps to assure meaningful access by persons with limited English proficiency in accordance with Title VI of the Civil Rights Act of 1964 and Executive Order 13166 (see Chapter2).

Violence Against Women Act (VAWA)

No applicant for the PBV program who has been a victim of domestic violence, dating violence, or stalking shall be denied admission into the program if they are otherwise qualified.

An incident or incidents of actual or threatened domestic violence, dating violence, or stalking will not be construed as a serious or repeated violation of the lease by the

victim or threatened victim of that violence, and shall not be good cause for terminating the assistance, tenancy, or occupancy rights of the victim of such violence.

RHA may terminate the assistance to remove an unlawful occupant or tenant who engages in criminal acts or threatened acts of violence or stalking to family members or others without terminating the assistance or evicting victimized lawful occupants.

There is no limitation on the ability of RHA to terminate assistance for other good cause unrelated to the incident or incidents of domestic violence, dating violence or stalking, other than the victim may not be subject to a "more demanding standard" than non-victims.

There is no prohibition on RHA terminating assistance if it "can demonstrate an actual and imminent threat to other tenants or those employed at or providing service to the property if that tenant's (victim's) assistance is not terminated."

Any protections provided by law which give greater protection to the victim are not superseded by these provisions.

Definitions
The same definitions of "domestic violence," "dating violence," and "stalking," and of "immediate family member" are provided in Sections 606 and 607. While definitions of domestic and dating violence refer to standard definitions in the Violence Against Women Act, the definition of stalking provided in Title VI is specific to the housing provisions.

These are:

1. *Domestic Violence*–[As defined in Section 40002 of VAWA 1994] which states as follows: SEC 40002(a)(6) – "DOMESTIC VIOLENCE" – The term 'domestic violence' includes felony or misdemeanor crimes of violence committed by a current or former spouse of the victim, by a person with whom the victim shares a child in common, by a person who is cohabiting with or has cohabited with the victim as a spouse, by a person similarly situated to a spouse of the victim under the domestic or family violence laws of the jurisdiction receiving grant monies, or by any other person against an adult or youth victim who is protected from that person's acts under the domestic or family violence laws of the jurisdiction.

2. *Dating Violence* – [As defined in Section 40002 of VAWA 1994] which states as follows: SEC 40002(a)(8) – "DATING VIOLENCE"- The term'dating violence' means violence committed by a person— (A) who is or has been in a social relationship of a romantic or intimate nature with the victim; and (B) where the existence of such a relationship shall be determined based on a consideration of the following factors:

(i) The length of the relationship.
(ii) The type of relationship.
(iii) The frequency of interaction between the persons involved in the relationship.

3. *Stalking* – means - (A) (i) to follow, pursue, or repeatedly commit acts with the intent to kill, injure, harass, or intimidate another person; and (ii) to place under surveillance with the intent to kill, injure, harass or intimidate another person; and (B) in the course of, or as a result of, such following, pursuit, surveillance or repeatedly committed acts, to place a person in reasonable fear of the death of, or serious bodily injury to, or to cause substantial emotional harm to–
   (i) that person;
   (ii) a member of the immediate family of that person;or
   (iii) the spouse or intimate partner of that person;…

Immediate Family Member-means, with respect to a person–(A) a spouse, parent, brother, sister, or child of that person, or an individual to whom that person stands in loco parentis; or (B) any other person living in the household of that person and related to that person by blood or marriage.

17-VI.F. OWNER SELECTION OF TENANTS

The owner is responsible for developing written tenants election procedures that are consistent with the purpose of improving housing opportunities for very low-income families and reasonably related to program eligibility and an applicant's ability to fulfill their obligations under the lease. An owner must promptly notify in writing any rejected applicant of the grounds for any rejection [24 CFR983.253(b)].

Leasing [24 CFR983.253(a)]

During the term of the HAP Contract, the owner must lease contract units to eligible families that are selected and referred by RHA from RHA's waiting list. The contract unit leased to the family must be the appropriate size unit for the size of the family, based on RHA's subsidy standards.

Filling Vacancies [24 CFR983.254(a)]

The owner must promptly notify RHA of any vacancy or expected vacancy in a contract unit. After receiving such notice, RHA will make every reasonable effort to refer promptly a sufficient number of families for the owner to fill such vacancies. The RHA and the owner will make reasonable efforts to minimize the likelihood and length of any vacancy.

RHA Policy

The owner must notify RHA in writing (mail, fax, or e-mail) within seven (7) calendar days of learning about any vacancy or expected vacancy.

RHA will make every reasonable effort to refer families to the owner within fifteen (15) days of receiving such notice from the owner.

Reduction in HAP Contract Units Due to Vacancies [24 CFR983.254(b)]
If any contract units have been vacant for 120 or more days since owner notice of the vacancy, RHA will give notice to the owner amending the HAP Contract to reduce the number of contract units by subtracting the number of contract units (according to the bedroom size) that have been vacant for this period.

RHA Policy
RHA will provide the notice to the owner within fifteen (15) days of the 120th day of the vacancy. Unless the owner can give adequate reason for RHA not to reduce the number of contract units within fifteen (15) days of the date of RHA's notice, the amendment to the HAP Contract will be effective the 1st day of the month following the date of RHA's notice.

17-VI.G. TENANT SCREENING
[24 CFR983.255]

RHA Responsibility
RHA is not responsible or liable to the owner or any other person for the family's behavior or suitability for tenancy.

RHA Policy
RHA will not conduct screening to determine a PBV applicant family's suitability for tenancy. RHA may deny applicants based on such screening. RHA will provide the owner with an applicant family's current and prior address (as shown in RHA records) and the name and address (if known by RHA) of the family's current landlord and any prior landlords.

RHA will inform owners of their responsibility to screen prospective tenants. Upon request, owners may request and RHA will provide specific information about the family being considered for tenancy. RHA will provide the following information:

- The family's current address as provided by the Applicant; and
- The name and address, if known, of the owner/landlord of the family's current and prior places of residence.

RHA will respond only to specific questions asked by owners and only when RHA has documentation to confirm the accuracy of the information being provided. RHA will provide applicant families a description of its policy on providing information to owners and give the same types of information to all owners.

Owner Responsibility
The owner is responsible for screening and selection of the family to occupy the owner's unit. When screening families, the owner may consider a family's background with respect to the following factors:

- Payment of rent and utility bills;
- Caring for a unit and premises;
- Respecting the rights of other residents to the peaceful enjoyment of their housing;
- Drug-related criminal activity or other criminal activity that is a threat to the health, safety, or property of others; and
- Compliance with other essential conditions of tenancy.

The owner must notify RHA in writing within fifteen (15) days when rejecting an applicant and include the grounds for such rejection.

PART VII: OCCUPANCY

17-VII.A.OVERVIEW

After an applicant has been selected from the waiting list, determined eligible by the RHA, referred to an owner and determined suitable by the owner, the family will sign the lease and occupancy of the unit may begin.

17-VII.B.LEASE

[24 CFR983.256]

The tenant must have legal capacity to enter a lease under state and local law. *Legal capacity* means that the tenant is bound by the terms of the lease and may enforce the terms of the lease against the owner.

Form of Lease [24 CFR983.256(b)]

The tenant and the owner must enter into a written lease agreement that is signed by both parties. If an owner uses a standard lease form for rental units to unassisted tenants in the locality or premises, the same lease must be used for assisted tenants, except that the lease must include a HUD-required tenancy addendum. The tenancy addendum must include, word-for-word, all provisions required by HUD.

If the owner does not use a standard lease form for rental to unassisted tenants, the owner may use another form of lease.

RHA Policy

RHA may review the owner's lease for compliance with state or local law.

Lease Requirements [24 CFR983.256(c)]

The lease for a PBV unit must specify all of the following information:

- The names of the owner and the tenant;
- The unit rented (address, apartment number, if any, and any other information needed to identify the leased contract unit);
- The term of the lease (initial term and any provision for renewal);
- The amount of the tenant rent to owner, which is subject to change during the term of the lease in accordance with HUD requirements;
- A specification of the services, maintenance, equipment, and utilities that will be provide by the owner; and
- The amount of any charges for food, furniture, or supportive services.

Tenancy Addendum [24 CFR983.256(d)]

The tenancy addendum in the lease must state:

- The program tenancy requirements;

- The composition of the household as approved by RHA (the names of family members and any RHA-approved live-in aide);
- All provisions in the HUD-required tenancy addendum must be included in the lease. The terms of the tenancy addendum prevail over other provisions of the lease.

Initial Term and Lease Renewal [24 CFR 983.256(f) and983.257(b)]
The initial lease term must be for at least one year. Upon expiration of the lease, an owner may renew the lease, refuse to renew the lease for "good cause," or refuse to renew the lease without good cause. If the owner refuses to renew the lease without good cause, RHA will provide the family with a tenant-based voucher and remove the unit from the PBV HAP Contract.

Changes in the Lease [24 CFR983.256(e)]
If the tenant and owner agree to any change in the lease, the change must be in writing, and the owner must immediately give RHA a copy of all changes.

The owner must notify RHA in advance of any proposed change in the lease regarding the allocation of tenant and owner responsibilities for utilities. Such changes may only be made if approved by RHA and in accordance with the terms of the lease relating to its amendment. RHA will re-determine reasonable rent, in accordance with program requirements, based on any change in the allocation of the responsibility for utilities between the owner and the tenant. There-determined reasonable rent will be used in calculation of the rent to owner from the effective date of the change.

Owner Termination of Tenancy [24 CFR983.257]
With two exceptions, the owner of a PBV unit may terminate tenancy for the same reasons an owner may in the tenant-based voucher program (see Section 12-III.B. and 24CFR982.310). In the PBV program, terminating tenancy for "good cause" does not include doing so for a business or economic reason, or a desire to use the unit for personal or family use or other non-residential purpose.

Non-Compliance with Supportive Services Requirement [24 CFR983.257(c)]
If a family is living in a project-based unit that is excepted from the twenty-five percent (25%) per project cap on project-basing because of participation in a supportive services program(e.g., Family Self-Sufficiency), and the family fails to complete its supportive services requirement without good cause, such failure is grounds for lease termination by the owner.

Tenant Absence from the Unit [24 CFR 983.256(g) and982.312(a)]
The owner may specify in the lease a maximum period of tenant absence from the unit that is shorter than the maximum period permitted by RHA policy. According to program requirements, the family's assistance must be terminated if they are absent from the unit for more than one hundred-eighty (180) consecutive days.

Security Deposits [24 CFR983.258]
The owner may collect a security deposit from the tenant. The security deposit must not exceed the following:

- Unfurnished Unit: Two months contract rent (this includes any amount labeled as last month's rent)
- Furnished Unit: Three months contract rent (this includes any amount labeled as last month's rent)

When the tenant moves out of a contract unit, the owner, subject to state and locallaw, may use the security deposit, including any interest on the deposit, in accordance with the lease, as reimbursement for any unpaid tenant rent, damages to the unit, or other amounts owed by the tenant under the lease.

The owner must give the tenant a written list of all items charged against the security deposit and the amount of each item. After deducting the amount used to reimburse the owner, the owner must promptly refund the full amount of the balance to the tenant.

If the security deposit does not cover the amount owed by the tenant under the lease, the owner may seek to collect the balance from the tenant. RHA has no liability or responsibility for payment of any amount owed by the family to the owner.

17-VII.C.MOVES

Overcrowded, Under-Occupied, and Accessible Units [24 CFR983.259]

RHA Policy
If RHA determines that a family is occupying a unit that is too small or too big, based on RHA's subsidy standards, or a unit with accessibility features that the family does not require, and the unit is needed by a family that does require the features, RHA will notify the family and the owner within fifteen (15) days of RHA's determination of the family's need to move. RHA will offer the family the following types of continued assistance in the following order, based on the availability of assistance:

- PBV assistance in the same project;
- PBV assistance in another project; and
- Tenant-based voucher assistance.

If RHA offers the family a tenant-based voucher, RHA will terminate the housing assistance payments for a wrong-sized or accessible unit at expiration of the term of the family's voucher (including any extension granted by RHA).

RHA Policy
When RHA offers a family another form of assistance that is not a tenant-based voucher, the family will be given thirty (30) calendar days from the date of the offer to

accept the offer and move-out of the PBV unit. If the family does not move-out within this thirty-day timeframe, RHA will terminate the housing assistance payments at the expiration of this thirty-day period.

RHA may make exceptions to this thirty-day period if needed for reasons beyond the family's control such as death, serious illness, or other medical emergency of a family member.

Family Right to Move [24 CFR983.260]
The family may terminate the lease at any time after the first year of occupancy. The familymustgiveadvancewrittennoticetotheownerinaccordancewiththeleaseand provide a copy of such notice to RHA. If the family wishes to move with continued tenant-based assistance, the family must contact RHA to request the rental assistance prior to providing notice to terminate the lease.

If the family terminates the lease in accordance with these requirements, RHA will offer the family the opportunity for continued tenant-based assistance, in the form of a Housing Choice Voucher. If a voucher is not immediately available upon termination of the family's lease in the PBV unit, RHA will give the family priority to receive the next available voucher.

If the family terminates the assisted lease before the end of the first year, the family relinquishes the Housing Choice Voucher assistance.

17-VII.D. EXCEPTIONS TO THE OCCUPANCY CAP [24 CFR983.261]

RHA will not pay housing assistance under a PBV HAP Contract for more than twenty-five percent (25%) of the number of dwelling units in a project unless the units are [24 CFR983.56]:

- In a single-family project;
- Specifically made available for elderly or disabled families; or
- Specifically made available for families receiving supportive services as defined by RHA. At least one member must be receiving at least one qualifying supportive service.

If a family at the time of initial tenancy is receiving and while the resident of an excepted unit has received Family Self-Sufficiency (FSS) supportive services or any other service as defined as defined by RHA and successfully completes the FSS contract of participation or the supportive services requirement, the unit continues to count as an excepted unit for as long as the family resides in the unit.

A family (or remaining members of a family) residing in an excepted unit that no longer meets the criteria for a “qualifying family” in connection with the twenty-five percent (25%) per project cap exception (e.g., the family does not successfully complete supportive services requirements, or due to a change in family composition the family is no longer elderly or disabled), must vacate the unit, and RHA will cease paying housing assistance payments on behalf of the non-qualifying family.

If the family is moving to a non-excepted PBV unit, the family will have sixty (60) days in which to complete the move. If the family will move with a Housing Choice Voucher, as is likely to be the case with a non-elderly or non-disabled surviving spouse, then the term of the voucher will apply. RHA may grant extensions if the family can demonstrate good cause as outlined in Chapter 5, Section II-E of this Administrative Plan. If the family fails to vacate the unit within the established time, the unit must be removed from the HAP Contract. It is possible for the HAP Contract to be amended to substitute a different unit in the project in accordance with program requirements; or the owner terminates the lease and evicts the family. RHA will terminate housing assistance payments for a family residing in an excepted unit that is not in compliance with its family obligations to comply with supportive services requirements.

PART VIII: DETERMINING RENT TO OWNER

17-VIII.A.OVERVIEW

The amount of the initial rent to an owner of units receiving PBV assistance is established at the beginning of the HAP Contract term. Although for newly rehabilitated or newly constructed housing, the agreement to enter into HAP Contract (Agreement) states the estimated amount of the initial rent to owner, the actual amount of the initial rent to owner is established at the beginning of the HAP Contract term.

During the term of the HAP Contract, the rent to owner is re-determined at the owner's request in accordance with program requirements, and at such time that there is a five percent or greater decrease in the published FMR.

17-VIII.B. RENTLIMITS

[24 CFR983.301]

Except for certain tax credit units (discussed below), the rent to owner must not exceed the lowest of the following amounts:

- An amount determined by RHA, not to exceed 110 percent of the applicable fair market rent (or any HUD-approved exception payment standard) for the unit bedroom size minus any utility allowance;
- The reasonable rent; or
- The rent requested by the owner.

Certain Tax Credit Units [24 CFR983.301(c)]

For certain tax credit units, the rent limits are determined differently than for other PBV units. In general, the rent to owner must not exceed the lowest of the tax credit rent minus any utility allowance; the reasonable rent; or the rent requested by the owner. Under provisions of the Housing and Economic Recovery Act of 2008, PHAs are permitted to use the higher Section 8 rent for a tax credit unit if the LIHTC rent is less than the amount that would be permitted under Section 8. RHA will follow the rules and regulations promulgated by HUD and in effect at the time the HAP Contract is executed.

Definitions

A **qualified census tract** is any census tract (or equivalent geographic area defined by the Bureau of the Census) in which at least fifty percent (50%) of households have an income of less than sixty percent (60%) of Area Median Gross Income (AMGI), or where the poverty rate is at least twenty-five percent (25%) and where the census tract is designated as a qualified census tract byHUD.

Tax credit rent is the rent charged for comparable units of the same unit size (i.e., number of bedrooms) in the project that also receive the low-income housing tax credit but do not have any additional rental assistance (e.g., tenant-based voucher assistance).

Use of FMRs, Exception Payment Standards, and Utility Allowances [24 CFR983.301(f)]

When determining the initial rent to owner, RHA will use the most recently published FMR in effect and the utility allowance schedule in effect at execution of the HAP contract. When re-determining the rent to owner, RHA will use the most recently published FMR and the utility allowance schedule in effect at the time of redetermination. RHA will not use an earlier FMR for initial rent determination or for redeterminations.

Any HUD-approved exception payment standard amount under the tenant-based voucher program also applies to the project-based voucher program. The same utility allowance schedule applies to both the tenant-based and project-based voucher programs.

Redetermination of Rent [24 CFR983.302]

RHA will redetermine the rent to owner upon the owner's request or when there is a five percent (5%) or greater decrease in the published FMR.

Rent Increase

If an owner wishes to request an increase in the rent to owner from RHA, it must be requested sixty (60) days prior to the annual anniversary of the HAP Contract and include the requested amount of rent being proposed (see Section 9-I.H.). RHA will only make rent increases in accordance with the rent limits described previously. There are no provisions in the PBV program for special adjustments (e.g., adjustments that reflect increases in the actual and necessary expenses of owning and maintaining the units which have resulted from substantial general increases in real property taxes, utility rates, or similar costs).

RHA Policy

RHA will not approve any increase of rent to owner until and unless the owner has complied with requirements of the HAP Contract, including compliance with HQS. The owner will not receive any retroactive increase of rent for any period of noncompliance.

Rent Decrease

If there is a decrease in the rent to owner, as established in accordance with program requirements such as a change in the FMR or exception payment standard, or reasonable rent amount, the rent to owner will be decreased regardless of whether the owner requested a rent adjustment.

Notice of Rent Change

The rent to owner is re-determined by written notice by RHA to the owner specifying the amount of the re-determined rent. RHA notice of rent adjustment constitutes an amendment of the rent to owner specified in the HAP Contract. The adjusted amount of

Rent to owner applies for the period of twelve (12) calendar months from the annual anniversary of the HAPContract.

RHA Policy
RHA will provide the owner with at least thirty (30) days written notice of any change in the amount of rent to owner.

17-VIII.C. REASONABLE RENT

[24 CFR 983.303]

At the time the initial rent is established and all times during the term of the HAP contract, the rent to owner for a contract unit will not exceed the reasonable rent for the unit as determined by RHA.

When Rent Reasonable Determinations are Required

RHA will re-determine the reasonable rent for a unit receiving PBV assistance whenever any of the following occur:

- There is a five percent (5%) or greater decrease in the published FMR in effect sixty (60) days before the contract anniversary (for the unit sizes specified in the HAP Contract) as compared with the FMR that was in effect one year before the contract anniversary date;
- RHA approves a change in the allocation of responsibility for utilities between the owner and the tenant;
- The HAP Contract is amended to add or substitute a different contract unit in the same project;or
- There is any other change that may substantially affect the reasonable rent.

How to Determine Reasonable Rent

The reasonable rent of a unit receiving PBV assistance will be determined by comparison to rent for other comparable unassisted units. When making this determination, RHA will consider factors that affect market rent. Such factors include the location, quality, size, type and age of the unit, as well as the amenities, housing services, maintenance, and utilities to be provided by the owner.

Comparability Analysis

For each unit, the comparability analysis must use at least three comparable units in the private unassisted market. This may include units in the premises or project that are receiving project-based assistance. The analysis will show how the reasonable rent was

determined, including major differences between the contract units and comparable unassisted units, and will be retained by RHA. The comparability analysis may be performed by RHA staff or by another qualified person or entity. Those who conduct these analyses or are involved in determining the housing assistance payment based on the analyses will not have any direct or indirect interest in the property.

Owner Certification of Reasonable Rent
By accepting each monthly housing assistance payment, the owner certifies that the rent to owner is not more than rent charged by the owner for other comparable unassisted units in the premises. At any time, RHA may require the owner to submit information on rents charged by the owner for other units in the premises or elsewhere.

17-VIII.D. EFFECT OF OTHER SUBSIDY AND RENT CONTROL

In addition to the rent limits discussed in Section 7-VIII.B above, other restrictions may limit the amount of rent to owner in a PBV unit. In addition, certain types of subsidized housing are not even eligible to receive PBV assistance (see Section 7-II.D).

Other Subsidy [24 CFR983.304]
At its discretion, RHA may reduce the initial rent to owner because of other governmental subsidies, including tax credit or tax exemption, grants, or other subsidized financing.

For units receiving assistance under the HOME program, rents will not exceed rent limits as required by that program.

For units in any of the following types of federally subsidized projects, the rent to owner will not exceed the subsidized rent (basic rent) as determined in accordance with requirements for the applicable federal program:

- An insured or non-insured Section 236 project;
- A formerly insured or non-insured Section 236 project that continues to receive Interest Reduction Payment following a decoupling action;
- A Section 221(d)(3) below market interest rate (BMIR) project;
- A Section 515 project of the Rural HousingService;
- A project receiving low-income housing tax credits; and
- Any other type of federally subsidized project specified by HUD.

Combining Subsidy
Rent to owner will not exceed any limitation required to comply with HUD subsidy layering requirements.

Rent Control [24 CFR983.305]
In addition to the rent limits set by PBV program regulations, the amount of rent to owner also may be subject to rent control or other limits under local, state, or federal law.

PART IX: PAYMENTS TO OWNER

17-IX.A. HOUSING ASSISTANCEPAYMENTS
[24 CFR 983.351]

During the term of the HAP Contract, RHA will make housing assistance payments to The owner in accordance with the terms of the HAP Contract. During the term of the HAP Contract, payments will be made for each month that a contract unit complies with HQS and is leased to and occupied by an eligible family. The housing assistance payment will be paid to the owner on or about the first day of the month for which payment is due, unless the owner and RHA agree on a later date.

RHA will not make any housing assistance payment to the owner for any month after the month when the family moves out of the unit (even if household goods or property are left in the unit). The amount of the housing assistance payment by RHA is the rent to owner minus the tenant rent (total tenant payment minus the utility allowance).

In order to receive housing assistance payments, the owner must comply with all provisions of the HAP Contract. Unless the owner complies with all provisions of the HAP Contract, the owner does not have a right to receive housing assistance payments.

17-IX.B. VACANCY PAYMENTS
[24 CFR 983.352]

RHA Policy
Vacancy Payments will be paid to the owner in accordance with the terms of the HAP Contract.

THE PHA HAS DISCRETION WHETHER TO INCLUDE THE VACANCY PAYMENT PROVISION (PARAGRAPH e.2), OR TO STRIKE THIS PROVISION FROM THE HAP CONTRACT FORM.

- If an assisted family moves out of a contract unit, the PHA may provide vacancy payments to the owner for a PHA-determined vacancy period extending from the beginning of the first calendar month after the move-out month for a period not exceeding two full months following the move-out month.

- The vacancy payment to the owner for each month of the maximum two-month period will be determined by the PHA, and cannot exceed the monthly rent to owner under the assisted lease, minus any portion of the rental payment received by the owner (including amounts available from the tenant's security deposit). Any vacancy payment may cover only the period the unit remains vacant.

- The PHA may make vacancy payments to the owner only if:

    1. The owner gives the PHA prompt, written notice certifying that the family has vacated the unit and the date when the family moved out (to the best of the owner's knowledge and belief);

    2. The owner certifies that the vacancy is not the fault of the owner and that the unit was vacant during the period for which payment is claimed;

    3. The owner certifies that it has taken every reasonable action to minimize the likelihood and length of vacancy; and

    4. The owner provides any additional information required and requested by the PHA to verify that the owner is entitled to the vacancy payment.

- The PHA must take every reasonable action to minimize the likelihood and length of vacancy.
- The owner may refer families to the PHA and recommend selection of such families from the PHA waiting list for occupancy of vacant units.
- The owner must submit a request for vacancy payments in the form and manner required by the PHA and must provide any information or substantiation required by the PHA to determine the amount of any vacancy payments.

17-IX.C. TENANT RENT TO OWNER

[24 CFR 983.353]

The tenant rent is the portion of the rent to owner paid by the family. The amount of tenant rent is determined by RHA in accordance with HUD requirements. Any changes in the amount of tenant rent will be effective on the date stated in RHA notice to the family and owner.

The family is responsible for paying the tenant rent (total tenant payment minus the utility allowance). The amount of the tenant rent determined by RHA is the maximum amount the owner may charge the family for rental of a contract unit. The tenant rent covers all housing services, maintenance, equipment, and utilities to be provided by the owner. The owner may not demand or accept any rent payment from the tenant in excess of the tenant rent as determined by RHA. The owner must immediately return any excess payment to the tenant.

Tenant and RHA Responsibilities

The family is not responsible for the portion of rent to owner that is covered by the housing assistance payment and the owner may not terminate the tenancy of an assisted family for nonpayment by RHA. Likewise, RHA is responsible only for making the housing assistance payment to the owner in accordance with the HAP Contract. RHA is not responsible for paying tenant rent, or any other claim by the owner, including damage to the unit. RHA will not use housing assistance payments or other program funds (including administrative fee reserves) to pay any part of the tenant rent or other claim by the owner.

Utility Reimbursements

RHA Policy

If the amount of the utility allowance exceeds the total tenant payment, RHA will pay the amount of such excess to the tenant as a reimbursement for tenant-paid utilities, and the tenant rent to the owner must be zero.

17-IX.D. OTHER FEES AND CHARGES

[24 CFR983.354]

Meals and Supportive Services

With the exception of PBV assistance in assisted living developments, the owner may not require the tenant to pay charges for meals or supportive services. Non-payment of such charges is not grounds for termination of tenancy.

In assisted living developments receiving PBV assistance, the owner may charge for meals or supportive services. These charges will not be included in the rent to owner, nor will the value of meals and supportive services be included in the calculation of the reasonable rent. Non-payment of such charges, however, is grounds for termination of the lease by the owner in an assisted living development.

Other Charges by Owner

The owner may not charge extra amounts for items customarily included in rent in the locality or provided at no additional cost to unsubsidized tenants in the premises.

COUNCIL COMMUNICATION

CC #: 1305
File #: 0708

Title:	Woodcreek Golf Course Tower - Standard Tower Attachment License Amendment
Contact:	Michael Sinor 916-774-5183 msinor@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.15.

RECOMMENDATION TO COUNCIL

Recommend City Council adopt a resolution to approve the First Amendment to Standard Tower Attachment License Agreement with SBA 2012 TC Assets, LLC, and authorize the City Manager to execute it.

BACKGROUND

The City entered into a License Agreement for Cell Tower with Nextel of CA, Inc. on December 14, 2006 for constructing, maintaining and operating a communications facility at Woodcreek Golf Course. TowerCo purchased the cell tower assets in 2008 and was then acquired by SBA 2012 TC Assets, LLC in 2012. In order for SBA 2012 TC Assets, LLC to conduct long term asset planning, they requested that we enter into a longer term lease. This first amendment extends the agreement for 5 additional periods of 5 years each, commencing on December 6, 2026.

FISCAL IMPACT

The City currently receives monthly rent in the General Fund for this lease in the amount of $2,076 with increases of 3% annually. Monthly rent will increase to $2,762 upon commencement on December 6, 2026, and continue to increase 3% annually. The City will also receive $10,000 upon execution of the first amendment.

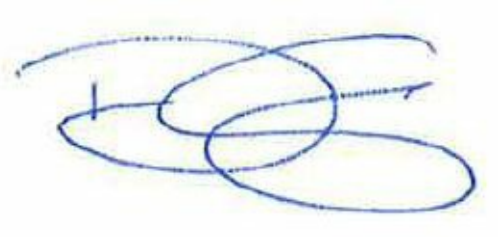
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378. Approval of a First Amendment to Standard Tower Attachment License Agreement meets the above criteria and is not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Michael Sinor, Assistant Information Technology Director

Hong Sae, Chief Information Officer



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Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-079

First Amendment to Agreement

RESOLUTION NO. 21-079

APPROVING A FIRST AMENDMENT TO STANDARD TOWER ATTACHMENT LICENSE AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND SBA 2012 TC ASSETS, LLC, AND AUTHORIZING THE CITY MANAGER TO EXECUTE IT ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, the First Amendment to Standard Tower Attachment License Agreement for the construction, maintenance and operation of a communications facility at Woodcreek Golf Course, by and between the City of Roseville and SBA 2012 TC Assets, LLC, has been reviewed by City Council; and

NOW, THEREFORE, BE IT RESOLVED by the City Council of the City of Roseville that said first amendment is approved and that the City Manager is authorized to execute it on behalf of the City of Roseville.

PASSED AND ADOPTED by the Council of the City of Roseville this_____day of _____, 2021, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

FIRST AMENDMENT TO

STANDARD TOWER ATTACHMENT LICENSE AGREEMENT

THIS FIRST AMENDMENT TO STANDARD TOWER ATTACHMENT LICENSE AGREEMENT ("Amendment") is made and entered into this ___ day of _____, 2021, by and between the City of Roseville, a municipal corporation ("CITY"), and SBA 2012 TC Assets, LLC, a Delaware limited liability company ("LICENSEE"); and

W I T N E S S E T H:

WHEREAS, CITY and LICENSEE's predecessor previously entered into a Standard Tower Attachment License Agreement dated December 6, 2006, as evidenced by that certain Memorandum of Agreement, recorded June 30, 2009, as Document No. 2009-0056750-00 of the County Recorder's Office of Placer County, California, as amended and assigned from time to time (collectively, "License Agreement") to install, maintain, and operate a personal communications system antenna and related equipment and enclosures (collectively, "Tower") located at 6560 Woodcreek Oaks Boulevard at the Woodcreek Golf Course, Roseville, CA ("Property"); and

WHEREAS, the parties desire to amend the License Agreement to extend the length of its term and add related payment provisions.

NOW, THEREFORE, the parties agree as follows:

1. Section 3 Extended Terms, of the License Agreement is amended to add an additional paragraph as follows:

Upon the expiration of the final Extended Term on December 5, 2026, LICENSEE shall have the right to extend the term of this License Agreement for five (5) additional successive periods of five (5) years each (each such period also referred to as an "Extended Term"), beginning on December 6, 2026. This License Agreement shall automatically renew for each additional successive Extended Term, unless LICENSEE notifies CITY of LICENSEE's intention not to renew at least thirty (30) days prior to the expiration of the Extended Term then in effect.

2. Section 4 Rent, subsection A & B of the License Agreement are hereby amended as follows and to include an additional paragraph subsection C which shall read as follows:

A. Monthly Rent shall be increased to Two Thousand Seven Hundred Sixty-one Dollars and 76/100 Dollars ($2,761.76) upon commencement of the Extended Term beginning on December 6, 2026.

B. The three percent (3%) annual increases in Rent provided for in section 4(B) shall apply to all Extended Terms thereafter.

C. In consideration of LICENSEE's option to extend this License Agreement for five (5) additional successive periods of five (5) years each beginning December 6, 2026, LICENSEE shall pay to CITY a one-time payment of Ten Thousand and No/100 Dollars ($10,000.00), which shall be due upon full execution of this Amendment.

3. Section 6 Taxes of the License Agreement is amended to add an additional sentence as follows:

LICENSEE understands that its interest in the Property may be subject to a possible possessory interest tax that Placer County may impose, that such tax payment shall not reduce any sum due to CITY hereunder, and that any such tax shall be the sole liability and responsibility of LICENSEE.

4. All other provisions of the License Agreement shall remain unchanged and in full force and effect.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of this Amendment in duplicate by its City Manager and attested to by its City Clerk under the authority of Resolution No. _____, adopted by the Council of the City of Roseville on the ___ day of _____, 20__, and LICENSEE has caused this First Amendment to Agreement to be executed.

CITY OF ROSEVILLE, a
municipal corporation

BY: _____
DOMINICK CASEY
City Manager

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

SBA 2012 TC Assets, LLC, a
Delaware corporation

BY: _____
Martin Aljovin
its: Vice President- Asset Optimization

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY: _____
HONG SAE
Chief Information Officer

COUNCIL COMMUNICATION

CC #: 1308
File #: 0113-02 & 0201-01

Title: Community Development Block Grant - Budget Adjustment
Contact: Melissa Hagan 916-774-5476 mhagan@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.16.

RECOMMENDATION TO COUNCIL

Staff is requesting that City Council adopt an ordinance approving a budget adjustment in the amount of $21,377 to fund additional Owner-Occupied Rehabilitation Program grants.

BACKGROUND

The City became a Community Development Block Grant (CDBG) entitlement jurisdiction in 1986. These annual funds are programmed for affordable housing, public facilities and improvements, planning, program administration, owner-occupied rehabilitation and public service activities. The annual entitlement funding is appropriated by Congress and allocated from the federal Department of Housing and Urban Development (HUD).

The CDBG Owner-Occupied Rehab program (OOR) has been in existence since the 1990's and has funded over $2 million in rehabilitation loans and grants for nearly 160 low-income homeowners in Roseville. The OOR program provides zero percent interest loans for these homeowners for health and safety related home repairs. The grant component of the OOR program is only available to homeowners who are over the age of 62 and/or are permanently disabled. Housing staff manages the program waiting list, income qualifies homeowners, draws up loan and contract documents, provides project management and supervises the program's Building Inspector who determines the scope of work and completes inspections for the individual projects.

Loans and grants for this program can be allocated from the entitlement grants awarded each year and can come from program income that is received throughout the year as loans from the CDBG funds are paid off. A loan in the amount of $21,377 was paid off in January 2021; and according to CDBG rules these funds can be used for any eligible CDBG expense. Staff has determined that these funds should be used to fund four $5,000 grants for future OOR program participants.

FISCAL IMPACT

The source of funds for the CDBG program expenditures is from federal grants and program income. This budget adjustment has no impact to the General Fund.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378. The CDBG budget adjustment meets the above criteria and is not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Melissa Hagan, Management Analyst

Laura Matteoli, Economic Development Director

_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Ordinance 6339

Budget Adjustment

ORDINANCE NO. 6339

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AUTHORIZING CERTAIN AMENDMENTS TO THE FISCAL YEAR 2020-21 BUDGET AND DECLARING THIS ORDINANCE TO BE IMMEDIATELY EFFECTIVE AS AN APPROPRIATION MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. The City of Roseville Annual Budget, Fiscal Year 2020-21, is hereby amended as listed on the attached budget adjustment for Community Development Block Grant fund for additional Owner-Occupied Rehabilitation Program grants.

SECTION 2. This ordinance is hereby declared to be an appropriation measure, immediately effective pursuant to the provisions of Section 5.03 of the Charter.

SECTION 3. The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the ordinance book, under the record of the ordinance, a certificate under her hand stating the time and place of such publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville, this _____ day of _____, 2021, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

REQUEST FOR BUDGET ADJUSTMENT

REQUESTER NAME & TITLE: Melissa Hagan, Management Analyst II (x5476) **FISCAL YEAR:** FY2020-21

PREPARER NAME & TITLE: Melissa Hagan, Management Analyst II (x5476) **COUNCIL DATE (if applicable):** 3/3/2021

DEPARTMENT/DIVISION: Economic Development / Housing **ORDINANCE:**

EXPENDITURES/EXPENSES/TRANSFERS OUT

ACCOUNT STRING				BUDGET ($)	DESCRIPTION
Fund	Account	Center	Project	Adjustment	
2201	5570	54011		21,377	Rehab Expense for owner-occuped Rehab Program
			TOTAL	21,377	

REVENUES/TRANSFERS IN

ACCOUNT STRING				BUDGET ($)	DESCRIPTION
Fund	Account	Center	Project	Adjustment	
2201	4735	54011		21,377	Program Income revenue from loan payoff
			TOTAL	21,377	

	NET ADJUSTMENT	-

FUND BALANCE

ACCOUNT STRING				BUDGET ($)	DESCRIPTION
Fund	Account	Center	Project	Adjustment	
			TOTAL	-	

Justification for Budget Adjustment:

Staff is requesting that City Council adopt an ordinance approving a budget adjustment for $21,377 to fund additional Owner-Occupied Rehabilitation Program grants. These grants are approved based on federal Community Development Block Grant (CDBG) eligibility criteria and are disbursed in the amount of $5,000 per project. Revenue is from a CDBG loan payoff. This budget adjustment will not impact the General Fund.

REQUIRED APPROVALS:

Laura Matteoli	Feb 9, 2021		
REQUESTING DEPARTMENT HEAD / DESIGNEE	**DATE**	**BUDGET MANAGER / DESIGNEE**	**DATE**
CHIEF FINANCIAL OFFICER / DESIGNEE	**DATE**	**CITY MANAGER / DESIGNEE**	**DATE**

BUDGET DEPARTMENT USE ONLY:

POSTED BY **DATE**

Revised: June 24, 2019

2/9/202110:04 AM

COUNCIL COMMUNICATION

CC #: 1318
File #: 0600-01 & 0201-01

Title: Staffing and Salary Schedule Changes
Contact: Linda Hampton 916-774-5215 lhampton@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.17.

RECOMMENDATION TO COUNCIL

As part of an ongoing assessment of the appropriate staffing structure to provide a high level of service to the community, staff is recommending the following changes to the City's staffing allocation schedule and salary schedule to meet business needs. It is recommended that City Council take the following actions:

1. Adopt an ordinance to approve the Management A & B (Appendix N) salary schedules to reflect class study changes and the title change of Equipment Maintenance Supervisor (2565A,2565B) to Fleet Services Supervisor effective March 13, 2021; and,
2. Motion to approve the attached city-wide allocation schedule effective March 13, 2021.

BACKGROUND

As part of the Municipal Code, the Human Resources Department is charged with maintaining the City's classification plan. The Human Resources staff is continually evaluating staffing levels necessary to provide high service levels to the community. Staff reviews department workload and the allocation's impact on meeting the city's goals. Additionally, the level at which each of these positions would have to be staffed was analyzed. Based on the analysis, staff is requesting the staffing changes detailed below.

Public Works Department

Staff recommends retitling the Equipment Maintenance Supervisor (Grade 2565A, 2565B) classification to Fleet Services Supervisor to better reflect the duties assigned. There is no salary change recommended with this retitle and no fiscal impact.

Environmental Utilities Department

Currently the Water (24 hour) and Wastewater (8 hour) Treatment Plant Operator positions are structured with Operator I/II positions separate from the Operator III positions. Staff is requesting that the positions be structured such that they are flexibly staffed as I/II/III positions to provide flexibility to place employees based on operational needs and once an incumbent obtains the appropriate certification level. There is no impact for fiscal year (FY) 2020-21 but there are ongoing

costs which will be incorporated into future year budgets. These ongoing costs are included in the utility rate models.

Staff is also recommending reclassifying the vacant position of Senior Plant and Equipment Mechanic to Senior Preventative Maintenance Technician to better meet the business needs of the department. The fiscal impact for the remainder of FY 2020-2121 is $8,682. The Department has adequate resources available to absorb the cost for the reminder of FY2020-21.

From	To	Current Salary & Benefits	Proposed Salary & Benefits	Annual Impact *	Impact for remaining FY 2020-21
Senior Plant and Equipment Mechanic	Senior Preventative Maintenance Technician	$141,027	$169,242	$28,215	$8,682

*This amount includes the estimated annual pension cost of $2,042

Information Technology Department

Staff is requesting to reclassify a Senior Information Technology Analyst position to an Information Technology Analyst position to better support the IT Network section's current business needs. The ongoing cost savings associated with this reclassification will be incorporated into future year IT Operations Internal Service Fund budgets.

From	To	Current Salary & Benefits	Proposed Salary & Benefits	Annual Impact *	Impact for remaining FY 2020-21
Senior Information Technology Analyst	Information Technology Analyst I/II	$170,703	$155,182	-$15,521	-$4,776

*This amount includes the estimated annual pension savings of $1,123

Public Affairs and Communications Department

Staff is requesting to increase the current .75 full-time equivalent (FTE) position by .25 FTE to make it a full time position. The position acts as the citywide graphic artist, regularly serving every Department in the City. The workload continues to grow, and there is inadequate capacity to promptly fulfill the requested work at the part-time (.75 FTE) level.

From	To	Current Salary & Benefits	Proposed Salary & Benefits	Annual Impact *	Impact for remaining FY 2020-21
Marketing & Communication Analyst I/II (.75 FTE)	Marketing & Communication Analyst I/II (1 FTE)	$114,782	$153,043	$38,261	$11,773

*This amount includes the estimated annual pension cost of $2,769

The Public Affairs and Communications Department will attempt to absorb the cost of this position for

The Public Affairs and Communications Department will attempt to absorb the cost of this position for the remainder of FY2020-21. If inadequate funds are available, the department will request funding from the General Fund contingency budget.

Electric Department

As part of maintaining the City's classification plan, a consulting firm was brought in to conduct a job analysis of the Analyst positions in the Electric department. The study deliverables included job specification updates for all classifications studied. In addition, the study provided recommendations to properly classify employees. These recommendations included developing new classifications and in some cases retitling existing classifications to better reflect the duties assigned. As a result, staff is requesting Council approve the following changes as described below.

Study Recommendations

Staff requests the following classifications/positions to be retitled.

Grade Codes	Classification Name	New Classification Name	Group	Employees by Full-time Equivalent (FTE)
2335A/2335B	Electric Compliance Analyst	Electric Compliance Analyst II	Mgmt	2
2316A/2316B	Electric Resources Analyst II	Electric Resources Planner II	Mgmt	1
2314A/2314B	Electric Resources Analyst I	Electric Resources Planner I	Mgmt	2

New classifications:

As part of the study implementation, staff is requesting to add new classifications to the Management salary schedules.

Grade Codes	Classification Name	Group	Monthly Salary Range Minimum	Monthly Salary Range Maximum
1066A\1066B	Electric Compliance Analyst I	Mgmt	$7,851	$10,521
1067A\1067B	Associate Electric Analyst	Mgmt	$6,542	$8,767
1068A\1068B	Assistant Electric Analyst	Mgmt	$5,948	$7,970

No new positions are being requested and no changes in existing salary ranges have been proposed. There is no fiscal impact for FY2020-21 with the implementation of these changes.

FISCAL IMPACT

The recommended position title change in the Public Works Department will not require additional

The recommended position title change in the Public Works Department will not require additional budgetary resources.

The adjustment to the Water (24 hour) and Wastewater (8 hour) Treatment Plant Operator classifications in the Environmental Utilities Department will not impact the FY2020-21 budget. The fiscal impact of the reclassification of the Senior Plant and Equipment Mechanic for the remainder of FY2020-21 is $8,682. The Department has adequate resources available to absorb the cost for the reminder of FY2020-21. Staff will incorporate the ongoing cost of these adjustments into future year budgets.

Reclassification of the Senior Information Technology Analyst to an Information Technology Analyst I/II will result in FY2020-21 cost savings of $4,776 in the Information Technology Operations Fund.

Increasing the Marketing and Communications Analyst I/II FTE position by .25 in the Public Affairs and Communications Department (PAC) will cost approximately $38,261 annually and $11,773 for the remainder of FY2020-21. PAC will request funding from the General Fund contingency budget if inadequate funds are available in their FY2020-21 budget to absorb the cost of this increase.

The classification adjustments in the Electric Department will not impact the FY2020-21 budget.

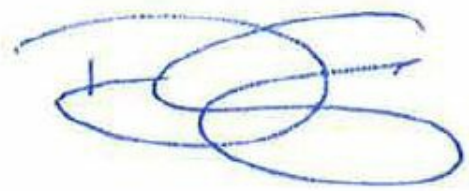
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378. The proposed staffing changes meet the above criteria and is not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Linda Hampton, Human Resources Manager

Stacey Peterson, Human Resources Director



Dominick Casey, City Manager

ATTACHMENTS:

Description

Ordinance 6338

2021-03-13 Management Salary Schedule A

2021-03-13 Management Salary Schedule B

2021-03-13 Position Allocation Schedule

ORDINANCE NO. 6338

ORDINANCE OF THE COUNCIL OF THE CITY OF ROSEVILLE AMENDING ORDINANCE NO. 6310, THE SALARY ORDINANCE FOR MANAGEMENT EMPLOYEES, AS AMENDED BY APPENDIX "N" TO BE EFFECTIVE MARCH 13, 2021, AS AN URGENCY MEASURE

THE CITY OF ROSEVILLE ORDAINS:

SECTION 1. Title and Purpose.

Ordinance No. 6310, the Salary Ordinance for Management Employees, is hereby amended as shown on Appendix "N" for salary schedules A & B attached hereto, to reflect class study changes and class retitles.

ADDITION OF CLASSIFICATION

Classification No.	Position Title	Salary Range
1066A/1066B	Electric Compliance Analyst I	6069
1067A/1067B	Associate Electric Analyst	5058
1068A/1068B	Assistant Electric Analyst	4598

SECTION 2. Salary Plan Effective Date.

This Ordinance shall be effective March 13, 2021 as an urgency measure.

SECTION 3. Urgency Ordinance.

This ordinance is hereby declared to be an urgency measure, immediately necessary for the public peace, health and safety and shall take effect March 13, 2021. A statement of urgency is as follows:

It is necessary to amend the Salary Ordinance to comply with recently approved changes for the orderly and necessary continuance of operations of the City.

SECTION 4. Posting.

The City Clerk is hereby authorized and directed to post a true copy of the foregoing ordinance in each of three (3) conspicuous locations in the City and she shall immediately after such posting enter in the Ordinance Book under the record of the ordinance a certificate under her hand stating the time and place of said publication by posting.

PASSED AND ADOPTED by the Council of the City of Roseville this_____day of _____, 2021, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1505A	AMI Project Manager-A	Step A	50.7925	4063.40	8804.03	105648.40
		Step B	53.3321	4266.57	9244.23	110930.77
		Step C	55.9987	4479.90	9706.44	116477.30
		Step D	58.7987	4703.90	10191.77	122301.30
		Step E	61.7385	4939.08	10701.34	128416.08
		Step F	64.8254	5186.03	11236.40	134836.83
		Step G	68.0668	5445.34	11798.25	141578.94
1370A	Accounting Manager-A	Step A	55.2507	4420.06	9576.79	114921.46
		Step B	58.0133	4641.06	10055.64	120667.66
		Step C	60.9140	4873.12	10558.43	126701.12
		Step D	63.9596	5116.77	11086.33	133035.97
		Step E	67.1576	5372.61	11640.65	139687.81
		Step F	70.5155	5641.24	12222.69	146672.24
		Step G	74.0413	5923.30	12833.83	154005.90

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0043A	Accounting Supervisor-A	Step A	47.1191	3769.53	8167.31	98007.73
		Step B	49.4751	3958.01	8575.68	102908.21
		Step C	51.9489	4155.91	9004.48	108053.71
		Step D	54.5464	4363.71	9454.71	113456.51
		Step E	57.2737	4581.90	9927.44	119129.30
		Step F	60.1374	4810.99	10423.82	125085.79
		Step G	63.1443	5051.54	10945.01	131340.14
9427A	Accounts Payable Supervisor-A	Step A	44.8751	3590.01	7778.35	93340.21
		Step B	47.1188	3769.50	8167.26	98007.10
		Step C	49.4748	3957.98	8575.63	102907.58
		Step D	51.9484	4155.87	9004.39	108052.67
		Step E	54.5459	4363.67	9454.62	113455.47
		Step F	57.2732	4581.86	9927.35	119128.26
		Step G	60.1369	4810.95	10423.73	125084.75

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0086A	Alternative Transportation Analyst I-A	Step A	35.1221	2809.77	6087.83	73053.97
		Step B	36.8784	2950.27	6392.26	76707.07
		Step C	38.7223	3097.78	6711.87	80542.38
		Step D	40.6584	3252.67	7047.46	84569.47
		Step E	42.6912	3415.30	7399.81	88797.70
		Step F	44.8258	3586.06	7769.81	93237.66
		Step G	47.0672	3765.38	8158.31	97899.78
0088A	Alternative Transportation Analyst II-A	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.9684	3197.47	6927.86	83134.27
		Step C	41.9667	3357.34	7274.23	87290.74
		Step D	44.0652	3525.22	7637.97	91655.62
		Step E	46.2683	3701.46	8019.84	96238.06
		Step F	48.5818	3886.54	8420.85	101050.14
		Step G	51.0109	4080.87	8841.89	106102.67

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0090A	Alternative Transportation Manager-A	Step A	56.6059	4528.47	9811.69	117740.27
		Step B	59.4362	4754.90	10302.27	123627.30
		Step C	62.4080	4992.64	10817.39	129808.64
		Step D	65.5284	5242.27	11358.26	136299.07
		Step E	68.8049	5504.39	11926.18	143114.19
		Step F	72.2451	5779.61	12522.48	150269.81
		Step G	75.8573	6068.58	13148.60	157783.18
0245A	Assistant City Attorney-A	Step A	84.5503	6764.02	14655.39	175864.62
		Step B	88.7779	7102.23	15388.17	184658.03
		Step C	93.2166	7457.33	16157.54	193890.53
		Step D	97.8775	7830.20	16965.43	203585.20
		Step E	102.7714	8221.71	17813.71	213764.51
		Step F	107.9100	8632.80	18704.40	224452.80
		Step G	113.3054	9064.43	19639.60	235675.23

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0250A	Assistant City Clerk-A	Step A	44.0141	3521.13	7629.11	91549.33
		Step B	46.2149	3697.19	8010.58	96126.99
		Step C	48.5256	3882.05	8411.10	100933.25
		Step D	50.9519	4076.15	8831.66	105979.95
		Step E	53.4995	4279.96	9273.25	111278.96
		Step F	56.1744	4493.95	9736.90	116842.75
		Step G	58.9832	4718.66	10223.75	122685.06
0325A	Assistant City Manager-A	Step A	86.9968	6959.74	15079.45	180953.34
		Step B	91.3465	7307.72	15833.39	190000.72
		Step C	95.9139	7673.11	16625.08	199500.91
		Step D	100.7096	8056.77	17456.33	209475.97
		Step E	105.7451	8459.61	18329.15	219949.81
		Step F	111.0323	8882.58	19245.60	230947.18
		Step G	116.5839	9326.71	20207.88	242494.51

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1021A	Assistant City Manager/Chief Financial Officer-A	Step A	86.9968	6959.74	15079.45	180953.34
		Step B	91.3465	7307.72	15833.39	190000.72
		Step C	95.9139	7673.11	16625.08	199500.91
		Step D	100.7096	8056.77	17456.33	209475.97
		Step E	105.7451	8459.61	18329.15	219949.81
		Step F	111.0323	8882.58	19245.60	230947.18
		Step G	116.5839	9326.71	20207.88	242494.51
1068A	Assistant Electric Analyst-A	Step A	34.3135	2745.08	5947.67	71372.08
		Step B	36.0292	2882.34	6245.06	74940.74
		Step C	37.8306	3026.45	6557.30	78687.65
		Step D	39.7221	3177.77	6885.16	82621.97
		Step E	41.7083	3336.66	7229.44	86753.26
		Step F	43.7937	3503.50	7590.91	91090.90
		Step G	45.9834	3678.67	7970.46	95645.47

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0395A	Assistant Electric Utility Director-A	Step A	91.2634	7301.07	15818.99	189827.87
		Step B	95.8266	7666.13	16609.94	199319.33
		Step C	100.6181	8049.45	17440.47	209285.65
		Step D	105.6488	8451.90	18312.46	219749.50
		Step E	110.9314	8874.51	19228.11	230737.31
		Step F	116.4779	9318.23	20189.50	242274.03
		Step G	122.3018	9784.14	21198.98	254387.74
0411A	Assistant Environmental Utilities Director-A	Step A	69.3894	5551.15	12027.50	144329.95
		Step B	72.8589	5828.71	12628.88	151546.51
		Step C	76.5019	6120.15	13260.33	159123.95
		Step D	80.3269	6426.15	13923.33	167079.95
		Step E	84.3433	6747.46	14619.51	175434.06
		Step F	88.5605	7084.84	15350.49	184205.84
		Step G	92.9885	7439.08	16118.01	193416.08

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1026A	Assistant Finance Director-A	Step A	63.5375	5083.00	11013.17	132158.00
		Step B	66.7143	5337.14	11563.81	138765.74
		Step C	70.0501	5604.01	12142.02	145704.21
		Step D	73.5526	5884.21	12749.12	152989.41
		Step E	77.2303	6178.42	13386.59	160639.02
		Step F	81.0917	6487.34	14055.89	168670.74
		Step G	85.1463	6811.70	14758.69	177104.30
0480A	Assistant Fire Chief-A	Step A	63.7340	5098.72	11047.23	132566.72
		Step B	66.9206	5353.65	11599.57	139194.85
		Step C	70.2668	5621.34	12179.58	146154.94
		Step D	73.7801	5902.41	12788.55	153462.61
		Step E	77.4691	6197.53	13427.98	161135.73
		Step F	81.3425	6507.40	14099.37	169192.40
		Step G	85.4098	6832.78	14804.37	177652.38

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0484A	Assistant Human Resources Director-A	Step A	54.8417	4387.34	9505.89	114070.74
		Step B	57.5834	4606.67	9981.12	119773.47
		Step C	60.4631	4837.05	10480.27	125763.25
		Step D	63.4862	5078.90	11004.27	132051.30
		Step E	66.6606	5332.85	11554.50	138654.05
		Step F	69.9936	5599.49	12132.22	145586.69
		Step G	73.4932	5879.46	12738.82	152865.86
9558A	Assistant Information Technology Director-A	Step A	57.4974	4599.79	9966.22	119594.59
		Step B	60.3723	4829.78	10464.53	125574.38
		Step C	63.3909	5071.27	10987.76	131853.07
		Step D	66.5604	5324.83	11537.14	138445.63
		Step E	69.8886	5591.09	12114.02	145368.29
		Step F	73.3829	5870.63	12719.70	152636.43
		Step G	77.0519	6164.15	13355.66	160267.95

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0510A	Assistant Police Chief-A	Step A	75.4936	6039.49	13085.56	157026.69
		Step B	79.2682	6341.46	13739.82	164877.86
		Step C	83.2317	6658.54	14426.83	173121.94
		Step D	87.3932	6991.46	15148.15	181777.86
		Step E	91.7629	7341.03	15905.57	190866.83
		Step F	96.3511	7708.09	16700.86	200410.29
		Step G	101.1686	8093.49	17535.89	210430.69
1067A	Associate Electric Analyst-A	Step A	37.7448	3019.58	6542.43	78509.18
		Step B	39.6321	3170.57	6869.56	82434.77
		Step C	41.6137	3329.10	7213.04	86556.50
		Step D	43.6944	3495.55	7573.70	90884.35
		Step E	45.8791	3670.33	7952.38	95428.53
		Step F	48.1730	3853.84	8349.99	100199.84
		Step G	50.5817	4046.54	8767.49	105209.94

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0910A	Budget Analyst I-A	Step A	36.3332	2906.66	6297.75	75573.06
		Step B	38.1496	3051.97	6612.60	79351.17
		Step C	40.0574	3204.59	6943.28	83319.39
		Step D	42.0602	3364.82	7290.43	87485.22
		Step E	44.1633	3533.06	7654.97	91859.66
		Step F	46.3713	3709.70	8037.69	96452.30
		Step G	48.6899	3895.19	8439.58	101274.99
0915A	Budget Analyst II-A	Step A	39.9673	3197.38	6927.67	83131.98
		Step B	41.9655	3357.24	7274.02	87288.24
		Step C	44.0641	3525.13	7637.78	91653.33
		Step D	46.2671	3701.37	8019.63	96235.57
		Step E	48.5805	3886.44	8420.62	101047.44
		Step F	51.0097	4080.78	8841.68	106100.18
		Step G	53.5601	4284.81	9283.75	111405.01

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0930A	Budget Manager-A	Step A	55.2507	4420.06	9576.79	114921.46
		Step B	58.0133	4641.06	10055.64	120667.66
		Step C	60.9140	4873.12	10558.43	126701.12
		Step D	63.9596	5116.77	11086.33	133035.97
		Step E	67.1576	5372.61	11640.65	139687.81
		Step F	70.5155	5641.24	12222.69	146672.24
		Step G	74.0413	5923.30	12833.83	154005.90
1017A	Building Inspection Supervisor-A	Step A	41.9600	3356.80	7273.07	87276.80
		Step B	44.0580	3524.64	7636.72	91640.64
		Step C	46.2609	3700.87	8018.56	96222.67
		Step D	48.5739	3885.91	8419.48	101033.71
		Step E	51.0027	4080.22	8840.47	106085.62
		Step F	53.5529	4284.23	9282.50	111390.03
		Step G	56.2304	4498.43	9746.60	116959.23

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1043A	Building Official-A	Step A	54.9268	4394.14	9520.65	114247.74
		Step B	57.6731	4613.85	9996.67	119960.05
		Step C	60.5569	4844.55	10496.53	125958.35
		Step D	63.5847	5086.78	11021.35	132256.18
		Step E	66.7638	5341.10	11572.39	138868.70
		Step F	70.1020	5608.16	12151.01	145812.16
		Step G	73.6071	5888.57	12758.56	153102.77
1180A	Chief Information Officer-A	Step A	71.8718	5749.74	12457.78	149493.34
		Step B	75.4654	6037.23	13080.67	156968.03
		Step C	79.2387	6339.10	13734.71	164816.50
		Step D	83.2006	6656.05	14421.44	173057.25
		Step E	87.3606	6988.85	15142.50	181710.05
		Step F	91.7287	7338.30	15899.64	190795.70
		Step G	96.3151	7705.21	16694.62	200335.41

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1220A	City Clerk-A	Step A	68.2219	5457.75	11825.13	141901.55
		Step B	71.6330	5730.64	12416.39	148996.64
		Step C	75.2147	6017.18	13037.21	156446.58
		Step D	78.9754	6318.03	13689.07	164268.83
		Step E	82.9242	6633.94	14373.53	172482.34
		Step F	87.0704	6965.63	15092.20	181106.43
		Step G	91.4239	7313.91	15846.81	190161.71
1368A	Code Enforcement Supervisor-A	Step A	41.3270	3306.16	7163.35	85960.16
		Step B	43.3932	3471.46	7521.49	90257.86
		Step C	45.5631	3645.05	7897.60	94771.25
		Step D	47.8412	3827.30	8292.47	99509.70
		Step E	50.2332	4018.66	8707.09	104485.06
		Step F	52.7448	4219.58	9142.43	109709.18
		Step G	55.3821	4430.57	9599.56	115194.77

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1027A	Construction Inspection Superintendent-A	Step A	45.1482	3611.86	7825.69	93908.26
		Step B	47.4056	3792.45	8216.97	98603.65
		Step C	49.7759	3982.07	8627.82	103533.87
		Step D	52.2647	4181.18	9059.21	108710.58
		Step E	54.8779	4390.23	9512.17	114146.03
		Step F	57.6219	4609.75	9987.80	119853.55
		Step G	60.5030	4840.24	10487.19	125846.24
1025A	Custodian Supervisor-A	Step A	23.6735	1893.88	4103.41	49240.88
		Step B	24.8571	1988.57	4308.56	51702.77
		Step C	26.1000	2088.00	4524.00	54288.00
		Step D	27.4050	2192.40	4750.20	57002.40
		Step E	28.7752	2302.02	4987.70	59852.42
		Step F	30.2140	2417.12	5237.09	62845.12
		Step G	31.7247	2537.98	5498.95	65987.38

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9561A	Data Management System Administrator-A	Step A	48.2029	3856.23	8355.17	100262.03
		Step B	50.6130	4049.04	8772.92	105275.04
		Step C	53.1438	4251.50	9211.59	110539.10
		Step D	55.8010	4464.08	9672.17	116066.08
		Step E	58.5910	4687.28	10155.77	121869.28
		Step F	61.5205	4921.64	10663.55	127962.64
		Step G	64.5966	5167.73	11196.74	134360.93
1645A	Department Public Information Officer-A	Step A	45.7859	3662.87	7936.22	95234.67
		Step B	48.0753	3846.02	8333.05	99996.62
		Step C	50.4791	4038.33	8749.71	104996.53
		Step D	53.0030	4240.24	9187.19	110246.24
		Step E	55.6532	4452.26	9646.55	115758.66
		Step F	58.4359	4674.87	10128.89	121546.67
		Step G	61.3576	4908.61	10635.32	127623.81

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1655A	Deputy City Attorney I-A	Step A	60.7620	4860.96	10532.08	126384.96
		Step B	63.8001	5104.01	11058.68	132704.21
		Step C	66.9901	5359.21	11611.62	139339.41
		Step D	70.3396	5627.17	12192.20	146306.37
		Step E	73.8567	5908.54	12801.83	153621.94
		Step F	77.5495	6203.96	13441.91	161302.96
		Step G	81.4269	6514.15	14114.00	169367.95
1658A	Deputy City Attorney II-A	Step A	66.8383	5347.06	11585.31	139023.66
		Step B	70.1802	5614.42	12164.57	145974.82
		Step C	73.6892	5895.14	12772.79	153273.54
		Step D	77.3736	6189.89	13411.42	160937.09
		Step E	81.2423	6499.38	14082.00	168983.98
		Step F	85.3044	6824.35	14786.10	177433.15
		Step G	89.5696	7165.57	15525.40	186304.77

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1659A	Deputy City Manager-A	Step A	68.2219	5457.75	11825.13	141901.55
		Step B	71.6330	5730.64	12416.39	148996.64
		Step C	75.2147	6017.18	13037.21	156446.58
		Step D	78.9754	6318.03	13689.07	164268.83
		Step E	82.9242	6633.94	14373.53	172482.34
		Step F	87.0704	6965.63	15092.20	181106.43
		Step G	91.4239	7313.91	15846.81	190161.71
1280A	Development Services Administrator-A	Step A	41.9600	3356.80	7273.07	87276.80
		Step B	44.0580	3524.64	7636.72	91640.64
		Step C	46.2609	3700.87	8018.56	96222.67
		Step D	48.5739	3885.91	8419.48	101033.71
		Step E	51.0027	4080.22	8840.47	106085.62
		Step F	53.5529	4284.23	9282.50	111390.03
		Step G	56.2304	4498.43	9746.60	116959.23

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1676A	Development Services Director-A	Step A	75.4655	6037.24	13080.69	156968.24
		Step B	79.2388	6339.10	13734.73	164816.70
		Step C	83.2008	6656.06	14421.47	173057.66
		Step D	87.3607	6988.86	15142.52	181710.26
		Step E	91.7289	7338.31	15899.68	190796.11
		Step F	96.3154	7705.23	16694.67	200336.03
		Step G	101.1310	8090.48	17529.37	210352.48
1695A	Development Services Manager-A	Step A	49.9310	3994.48	8654.71	103856.48
		Step B	52.4275	4194.20	9087.43	109049.20
		Step C	55.0489	4403.91	9541.81	114501.71
		Step D	57.8014	4624.11	10018.91	120226.91
		Step E	60.6915	4855.32	10519.86	126238.32
		Step F	63.7261	5098.09	11045.86	132550.29
		Step G	66.9124	5352.99	11598.15	139177.79

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2415A	EMS Quality Assurance Coordinator-A	Step A	47.0174	3761.39	8149.68	97796.19
		Step B	49.3682	3949.46	8557.15	102685.86
		Step C	51.8368	4146.94	8985.05	107820.54
		Step D	54.4287	4354.30	9434.31	113211.70
		Step E	57.1500	4572.00	9906.00	118872.00
		Step F	60.0076	4800.61	10401.32	124815.81
		Step G	63.0079	5040.63	10921.37	131056.43
6520A	ERP Manager-A	Step A	50.7925	4063.40	8804.03	105648.40
		Step B	53.3321	4266.57	9244.23	110930.77
		Step C	55.9987	4479.90	9706.44	116477.30
		Step D	58.7987	4703.90	10191.77	122301.30
		Step E	61.7385	4939.08	10701.34	128416.08
		Step F	64.8254	5186.03	11236.40	134836.83
		Step G	68.0668	5445.34	11798.25	141578.94

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1674A	Economic Development Analyst I-A	Step A	34.6046	2768.37	5998.13	71977.57
		Step B	36.3348	2906.78	6298.03	75576.38
		Step C	38.1514	3052.11	6612.91	79354.91
		Step D	40.0592	3204.74	6943.59	83323.14
		Step E	42.0621	3364.97	7290.76	87489.17
		Step F	44.1652	3533.22	7655.30	91863.62
		Step G	46.3735	3709.88	8038.07	96456.88
1675A	Economic Development Analyst II-A	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.9684	3197.47	6927.86	83134.27
		Step C	41.9667	3357.34	7274.23	87290.74
		Step D	44.0652	3525.22	7637.97	91655.62
		Step E	46.2683	3701.46	8019.84	96238.06
		Step F	48.5818	3886.54	8420.85	101050.14
		Step G	51.0109	4080.87	8841.89	106102.67

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1710A	Economic Development Director-A	Step A	71.8744	5749.95	12458.23	149498.75
		Step B	75.4684	6037.47	13081.19	156974.27
		Step C	79.2417	6339.34	13735.23	164822.74
		Step D	83.2038	6656.30	14421.99	173063.90
		Step E	87.3640	6989.12	15143.09	181717.12
		Step F	91.7323	7338.58	15900.27	190803.18
		Step G	96.3188	7705.50	16695.26	200343.10
1001A	Economic Development Project Manager-A	Step A	47.7556	3820.45	8277.64	99331.65
		Step B	50.1433	4011.46	8691.51	104298.06
		Step C	52.6505	4212.04	9126.09	109513.04
		Step D	55.2830	4422.64	9582.39	114988.64
		Step E	58.0472	4643.78	10061.51	120738.18
		Step F	60.9495	4875.96	10564.58	126774.96
		Step G	63.9970	5119.76	11092.81	133113.76

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1310A	Electric Business Analyst I-A	Step A	45.2941	3623.53	7850.98	94211.73
		Step B	47.5588	3804.70	8243.53	98922.30
		Step C	49.9368	3994.94	8655.71	103868.54
		Step D	52.4336	4194.69	9088.49	109061.89
		Step E	55.0553	4404.42	9542.92	114515.02
		Step F	57.8081	4624.65	10020.07	120240.85
		Step G	60.6984	4855.87	10521.06	126252.67
1312A	Electric Business Analyst II-A	Step A	49.8232	3985.86	8636.02	103632.26
		Step B	52.3144	4185.15	9067.83	108813.95
		Step C	54.9300	4394.40	9521.20	114254.40
		Step D	57.6766	4614.13	9997.28	119967.33
		Step E	60.5604	4844.83	10497.14	125965.63
		Step F	63.5884	5087.07	11021.99	132263.87
		Step G	66.7678	5341.42	11573.09	138877.02

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1066A	Electric Compliance Analyst I-A	Step A	45.2938	3623.50	7850.93	94211.10
		Step B	47.5585	3804.68	8243.47	98921.68
		Step C	49.9365	3994.92	8655.66	103867.92
		Step D	52.4333	4194.66	9088.44	109061.26
		Step E	55.0549	4404.39	9542.85	114514.19
		Step F	57.8077	4624.62	10020.00	120240.02
		Step G	60.6981	4855.85	10521.00	126252.05
2335A	Electric Compliance Analyst II-A	Step A	49.8240	3985.92	8636.16	103633.92
		Step B	52.3152	4185.22	9067.97	108815.62
		Step C	54.9310	4394.48	9521.37	114256.48
		Step D	57.6775	4614.20	9997.43	119969.20
		Step E	60.5614	4844.91	10497.31	125967.71
		Step F	63.5894	5087.15	11022.16	132265.95
		Step G	66.7689	5341.51	11573.28	138879.31

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6285A	Electric Customer Programs Supervisor-A	Step A	63.0270	5042.16	10924.68	131096.16
		Step B	66.1784	5294.27	11470.92	137651.07
		Step C	69.4873	5558.98	12044.47	144533.58
		Step D	72.9616	5836.93	12646.68	151760.13
		Step E	76.6096	6128.77	13279.00	159347.97
		Step F	80.4401	6435.21	13942.95	167315.41
		Step G	84.4622	6756.98	14640.11	175681.38
2284A	Electric Engineering Technician Supervisor-A	Step A	53.8456	4307.65	9333.24	111998.85
		Step B	56.5378	4523.02	9799.89	117598.62
		Step C	59.3647	4749.18	10289.88	123478.58
		Step D	62.3330	4986.64	10804.39	129652.64
		Step E	65.4495	5235.96	11344.58	136134.96
		Step F	68.7220	5497.76	11911.81	142941.76
		Step G	72.1582	5772.66	12507.42	150089.06

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2338A	Electric Operations Manager-A	Step A	79.3594	6348.75	13755.63	165067.55
		Step B	83.3274	6666.19	14443.42	173320.99
		Step C	87.4937	6999.50	15165.57	181986.90
		Step D	91.8685	7349.48	15923.87	191086.48
		Step E	96.4620	7716.96	16720.08	200640.96
		Step F	101.2850	8102.80	17556.07	210672.80
		Step G	106.3492	8507.94	18433.86	221206.34
2339A	Electric Operations Supervisor-A	Step A	72.1416	5771.33	12504.54	150054.53
		Step B	75.7487	6059.90	13129.77	157557.30
		Step C	79.5361	6362.89	13786.26	165435.09
		Step D	83.5128	6681.02	14475.55	173706.62
		Step E	87.6885	7015.08	15199.34	182392.08
		Step F	92.0730	7365.84	15959.32	191511.84
		Step G	96.6766	7734.13	16757.28	201087.33

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2314A	Electric Resources Planner I-A	Step A	52.0722	4165.78	9025.85	108310.18
		Step B	54.6758	4374.06	9477.14	113725.66
		Step C	57.4096	4592.77	9951.00	119411.97
		Step D	60.2800	4822.40	10448.53	125382.40
		Step E	63.2941	5063.53	10970.98	131651.73
		Step F	66.4587	5316.70	11519.51	138234.10
		Step G	69.7818	5582.54	12095.51	145146.14
2316A	Electric Resources Planner II-A	Step A	57.2794	4582.35	9928.43	119141.15
		Step B	60.1434	4811.47	10424.86	125098.27
		Step C	63.1505	5052.04	10946.09	131353.04
		Step D	66.3081	5304.65	11493.40	137920.85
		Step E	69.6235	5569.88	12068.07	144816.88
		Step F	73.1047	5848.38	12671.48	152057.78
		Step G	76.7600	6140.80	13305.07	159660.80

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2216A	Electric Risk and Compliance Supervisor-A	Step A	63.0234	5041.87	10924.06	131088.67
		Step B	66.1746	5293.97	11470.26	137643.17
		Step C	69.4834	5558.67	12043.79	144525.47
		Step D	72.9574	5836.59	12645.95	151751.39
		Step E	76.6054	6128.43	13278.27	159339.23
		Step F	80.4357	6434.86	13942.19	167306.26
		Step G	84.4574	6756.59	14639.28	175671.39
2352A	Electric Safety Coordinator-A	Step A	38.0651	3045.21	6597.95	79175.41
		Step B	39.9683	3197.46	6927.84	83134.06
		Step C	41.9666	3357.33	7274.21	87290.53
		Step D	44.0650	3525.20	7637.93	91655.20
		Step E	46.2682	3701.46	8019.82	96237.86
		Step F	48.5816	3886.53	8420.81	101049.73
		Step G	51.0109	4080.87	8841.89	106102.67

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2319A	Electric Substation Supervisor-A	Step A	72.1416	5771.33	12504.54	150054.53
		Step B	75.7487	6059.90	13129.77	157557.30
		Step C	79.5361	6362.89	13786.26	165435.09
		Step D	83.5128	6681.02	14475.55	173706.62
		Step E	87.6885	7015.08	15199.34	182392.08
		Step F	92.0730	7365.84	15959.32	191511.84
		Step G	96.6766	7734.13	16757.28	201087.33
9560A	Electric Technology Systems Administrator-A	Step A	63.0271	5042.17	10924.70	131096.37
		Step B	66.1784	5294.27	11470.92	137651.07
		Step C	69.4872	5558.98	12044.45	144533.38
		Step D	72.9616	5836.93	12646.68	151760.13
		Step E	76.6097	6128.78	13279.01	159348.18
		Step F	80.4403	6435.22	13942.99	167315.82
		Step G	84.4622	6756.98	14640.11	175681.38

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2320A	Electric Utility Director-A	Step A	114.0807	9126.46	19773.99	237287.86
		Step B	119.7848	9582.78	20762.70	249152.38
		Step C	125.7741	10061.93	21800.84	261610.13
		Step D	132.0627	10565.02	22890.87	274690.42
		Step E	138.6659	11093.27	24035.42	288425.07
		Step F	145.5992	11647.94	25237.19	302846.34
		Step G	152.8791	12230.33	26499.04	317988.53
2215A	Electric Utility Financial Administrator-A	Step A	63.0266	5042.13	10924.61	131095.33
		Step B	66.1778	5294.22	11470.82	137649.82
		Step C	69.4868	5558.94	12044.38	144532.54
		Step D	72.9611	5836.89	12646.59	151759.09
		Step E	76.6092	6128.74	13278.93	159347.14
		Step F	80.4396	6435.17	13942.86	167314.37
		Step G	84.4617	6756.94	14640.03	175680.34

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2378A	Electronic Maintenance Coordinator-A	Step A	40.8613	3268.90	7082.63	84991.50
		Step B	42.9044	3432.35	7436.76	89241.15
		Step C	45.0496	3603.97	7808.60	93703.17
		Step D	47.3020	3784.16	8199.01	98388.16
		Step E	49.6670	3973.36	8608.95	103307.36
		Step F	52.1504	4172.03	9039.40	108472.83
		Step G	54.7579	4380.63	9491.37	113896.43
2500A	Energy Services Account Representative I-A	Step A	45.4844	3638.75	7883.96	94607.55
		Step B	47.7586	3820.69	8278.16	99337.89
		Step C	50.1465	4011.72	8692.06	104304.72
		Step D	52.6538	4212.30	9126.66	109519.90
		Step E	55.2866	4422.93	9583.01	114996.13
		Step F	58.0509	4644.07	10062.16	120745.87
		Step G	60.9534	4876.27	10565.26	126783.07

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2501A	Energy Services Account Representative II-A	Step A	50.0329	4002.63	8672.37	104068.43
		Step B	52.5346	4202.77	9106.00	109271.97
		Step C	55.1613	4412.90	9561.29	114735.50
		Step D	57.9193	4633.54	10039.35	120472.14
		Step E	60.8154	4865.23	10541.34	126496.03
		Step F	63.8561	5108.49	11068.39	132820.69
		Step G	67.0489	5363.91	11621.81	139461.71
1277A	Engineering Manager-A	Step A	60.3417	4827.34	10459.23	125510.74
		Step B	63.3589	5068.71	10982.21	131786.51
		Step C	66.5264	5322.11	11531.24	138374.91
		Step D	69.8530	5588.24	12107.85	145294.24
		Step E	73.3455	5867.64	12713.22	152558.64
		Step F	77.0126	6161.01	13348.85	160186.21
		Step G	80.8634	6469.07	14016.32	168195.87

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2540A	Environmental Coordinator-A	Step A	43.7750	3502.00	7587.67	91052.00
		Step B	45.9639	3677.11	7967.08	95604.91
		Step C	48.2622	3860.98	8365.45	100385.38
		Step D	50.6751	4054.01	8783.68	105404.21
		Step E	53.2090	4256.72	9222.89	110674.72
		Step F	55.8692	4469.54	9683.99	116207.94
		Step G	58.6628	4693.02	10168.22	122018.62
2548A	Environmental Utilities Business Administrator-A	Step A	50.5616	4044.93	8764.01	105168.13
		Step B	53.0897	4247.18	9202.21	110426.58
		Step C	55.7442	4459.54	9662.33	115947.94
		Step D	58.5314	4682.51	10145.44	121745.31
		Step E	61.4580	4916.64	10652.72	127832.64
		Step F	64.5309	5162.47	11185.36	134224.27
		Step G	67.7574	5420.59	11744.62	140935.39

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2546A	Environmental Utilities Business Analyst I-A	Step A	36.3332	2906.66	6297.75	75573.06
		Step B	38.1497	3051.98	6612.61	79351.38
		Step C	40.0574	3204.59	6943.28	83319.39
		Step D	42.0601	3364.81	7290.42	87485.01
		Step E	44.1632	3533.06	7654.95	91859.46
		Step F	46.3714	3709.71	8037.71	96452.51
		Step G	48.6899	3895.19	8439.58	101274.99
2547A	Environmental Utilities Business Analyst II-A	Step A	39.9673	3197.38	6927.67	83131.98
		Step B	41.9657	3357.26	7274.05	87288.66
		Step C	44.0641	3525.13	7637.78	91653.33
		Step D	46.2671	3701.37	8019.63	96235.57
		Step E	48.5805	3886.44	8420.62	101047.44
		Step F	51.0097	4080.78	8841.68	106100.18
		Step G	53.5601	4284.81	9283.75	111405.01

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1684A	Environmental Utilities Director-A	Step A	86.7386	6939.09	15034.69	180416.29
		Step B	91.0754	7286.03	15786.40	189436.83
		Step C	95.6293	7650.34	16575.75	198908.94
		Step D	100.4107	8032.86	17404.52	208854.26
		Step E	105.4313	8434.50	18274.76	219297.10
		Step F	110.7029	8856.23	19188.50	230262.03
		Step G	116.2380	9299.04	20147.92	241775.04
1024A	Environmental Utilities Maintenance Superintendent-A	Step A	56.6631	4533.05	9821.60	117859.25
		Step B	59.4962	4759.70	10312.67	123752.10
		Step C	62.4710	4997.68	10828.31	129939.68
		Step D	65.5946	5247.57	11369.73	136436.77
		Step E	68.8743	5509.94	11938.21	143258.54
		Step F	72.3180	5785.44	12535.12	150421.44
		Step G	75.9339	6074.71	13161.88	157942.51

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2541A	Environmental Utility Compliance Administrator-A	Step A	43.7730	3501.84	7587.32	91047.84
		Step B	45.9616	3676.93	7966.68	95600.13
		Step C	48.2596	3860.77	8365.00	100379.97
		Step D	50.6726	4053.81	8783.25	105399.01
		Step E	53.2061	4256.49	9222.39	110668.69
		Step F	55.8665	4469.32	9683.53	116202.32
		Step G	58.6597	4692.78	10167.68	122012.18
3395A	Facilities Manager-A	Step A	47.3070	3784.56	8199.88	98398.56
		Step B	49.6723	3973.78	8609.87	103318.38
		Step C	52.1559	4172.47	9040.36	108484.27
		Step D	54.7636	4381.09	9492.36	113908.29
		Step E	57.5018	4600.14	9966.98	119603.74
		Step F	60.3770	4830.16	10465.35	125584.16
		Step G	63.3958	5071.66	10988.61	131863.26

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
4321A	Facilities Supervisor-A	Step A	36.0460	2883.68	6247.97	74975.68
		Step B	37.8484	3027.87	6560.39	78724.67
		Step C	39.7407	3179.26	6888.39	82660.66
		Step D	41.7278	3338.22	7232.82	86793.82
		Step E	43.8142	3505.14	7594.46	91133.54
		Step F	46.0049	3680.39	7974.18	95690.19
		Step G	48.3051	3864.41	8372.88	100474.61
2900A	Finance Manager-A	Step A	55.2507	4420.06	9576.79	114921.46
		Step B	58.0133	4641.06	10055.64	120667.66
		Step C	60.9140	4873.12	10558.43	126701.12
		Step D	63.9596	5116.77	11086.33	133035.97
		Step E	67.1576	5372.61	11640.65	139687.81
		Step F	70.5155	5641.24	12222.69	146672.24
		Step G	74.0413	5923.30	12833.83	154005.90

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0905ZA	Fire Battalion Chief 8Hr-ZA	Step A	54.3527	4348.22	9421.13	113053.62
		Step B	57.0703	4565.62	9892.19	118706.22
		Step C	59.9238	4793.90	10386.79	124641.50
		Step D	62.9200	5033.60	10906.13	130873.60
		Step E	66.0661	5285.29	11451.46	137417.49
		Step F	69.3693	5549.54	12024.01	144288.14
		Step G	72.8377	5827.02	12625.20	151502.42
0905A	Fire Battalion Chief-A	Step A	38.8233	4348.21	9421.12	113053.45
		Step B	40.7645	4565.62	9892.19	118706.22
		Step C	42.8027	4793.90	10386.79	124641.46
		Step D	44.9429	5033.60	10906.14	130873.72
		Step E	47.1900	5285.28	11451.44	137417.28
		Step F	49.5495	5549.54	12024.01	144288.14
		Step G	52.0270	5827.02	12625.22	151502.62

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3330A	Fire Chief-A	Step A	75.7064	6056.51	13122.44	157469.31
		Step B	79.4918	6359.34	13778.58	165342.94
		Step C	83.4662	6677.30	14467.47	173609.70
		Step D	87.6397	7011.18	15190.88	182290.58
		Step E	92.0217	7361.74	15950.43	191405.14
		Step F	96.6225	7729.80	16747.90	200974.80
		Step G	101.4537	8116.30	17585.31	211023.70
3332A	Fire Division Chief-A	Step A	57.9400	4635.20	10042.93	120515.20
		Step B	60.8370	4866.96	10545.08	126540.96
		Step C	63.8789	5110.31	11072.34	132868.11
		Step D	67.0728	5365.82	11625.95	139511.42
		Step E	70.4265	5634.12	12207.26	146487.12
		Step F	73.9478	5915.82	12817.62	153811.42
		Step G	77.6451	6211.61	13458.48	161501.81

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3332ZA	Fire Divison Chief 24Hr-ZA	Step A	41.3857	4635.20	10042.93	120515.16
		Step B	43.4550	4866.96	10545.08	126540.96
		Step C	45.6277	5110.30	11072.32	132867.86
		Step D	47.9091	5365.82	11625.94	139511.30
		Step E	50.3046	5634.12	12207.25	146487.00
		Step F	52.8198	5915.82	12817.60	153811.26
		Step G	55.4607	6211.60	13458.46	161501.56
3392A	Fleet Manager-A	Step A	47.3070	3784.56	8199.88	98398.56
		Step B	49.6723	3973.78	8609.87	103318.38
		Step C	52.1559	4172.47	9040.36	108484.27
		Step D	54.7636	4381.09	9492.36	113908.29
		Step E	57.5018	4600.14	9966.98	119603.74
		Step F	60.3770	4830.16	10465.35	125584.16
		Step G	63.3958	5071.66	10988.61	131863.26

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2565A	Fleet Services Supervisor-A	Step A	34.7228	2777.82	6018.62	72223.42
		Step B	36.4589	2916.71	6319.54	75834.51
		Step C	38.2819	3062.55	6635.53	79626.35
		Step D	40.1960	3215.68	6967.31	83607.68
		Step E	42.2058	3376.46	7315.67	87788.06
		Step F	44.3161	3545.29	7681.46	92177.49
		Step G	46.5319	3722.55	8065.53	96786.35
3451A	Government Relations Administrator-A	Step A	49.8802	3990.42	8645.90	103750.82
		Step B	52.3742	4189.94	9078.19	108938.34
		Step C	54.9929	4399.43	9532.10	114385.23
		Step D	57.7426	4619.41	10008.72	120104.61
		Step E	60.6297	4850.38	10509.15	126109.78
		Step F	63.6612	5092.90	11034.61	132415.30
		Step G	66.8442	5347.54	11586.33	139035.94

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1647A	Government Relations Representative I-A	Step A	34.6046	2768.37	5998.13	71977.57
		Step B	36.3348	2906.78	6298.03	75576.38
		Step C	38.1514	3052.11	6612.91	79354.91
		Step D	40.0592	3204.74	6943.59	83323.14
		Step E	42.0621	3364.97	7290.76	87489.17
		Step F	44.1652	3533.22	7655.30	91863.62
		Step G	46.3735	3709.88	8038.07	96456.88
1648A	Government Relations Representative II-A	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.9684	3197.47	6927.86	83134.27
		Step C	41.9667	3357.34	7274.23	87290.74
		Step D	44.0652	3525.22	7637.97	91655.62
		Step E	46.2683	3701.46	8019.84	96238.06
		Step F	48.5818	3886.54	8420.85	101050.14
		Step G	51.0109	4080.87	8841.89	106102.67

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3589A	Housing Manager-A	Step A	47.7518	3820.14	8276.98	99323.74
		Step B	50.1413	4011.30	8691.16	104293.90
		Step C	52.6486	4211.89	9125.76	109509.09
		Step D	55.2809	4422.47	9582.02	114984.27
		Step E	58.0448	4643.58	10061.10	120733.18
		Step F	60.9473	4875.78	10564.20	126770.38
		Step G	63.9945	5119.56	11092.38	133108.56
3590A	Housing Supervisor-A	Step A	39.7972	3183.78	6898.18	82778.18
		Step B	41.7870	3342.96	7243.08	86916.96
		Step C	43.8762	3510.10	7605.21	91262.50
		Step D	46.0702	3685.62	7985.50	95826.02
		Step E	48.3735	3869.88	8384.74	100616.88
		Step F	50.7923	4063.38	8804.00	105647.98
		Step G	53.3319	4266.55	9244.20	110930.35

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3594A	Human Resources Analyst I-A	Step A	35.0479	2803.83	6074.97	72899.63
		Step B	36.8003	2944.02	6378.72	76544.62
		Step C	38.6402	3091.22	6697.63	80371.62
		Step D	40.5723	3245.78	7032.53	84390.38
		Step E	42.6010	3408.08	7384.17	88610.08
		Step F	44.7309	3578.47	7753.36	93040.27
		Step G	46.9675	3757.40	8141.03	97692.40
3596A	Human Resources Analyst II-A	Step A	38.5526	3084.21	6682.45	80189.41
		Step B	40.4802	3238.42	7016.57	84198.82
		Step C	42.5042	3400.34	7367.39	88408.74
		Step D	44.6295	3570.36	7735.78	92829.36
		Step E	46.8610	3748.88	8122.57	97470.88
		Step F	49.2040	3936.32	8528.69	102344.32
		Step G	51.6642	4133.14	8955.13	107461.54

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3598A	Human Resources Director-A	Step A	71.8720	5749.76	12457.81	149493.76
		Step B	75.4656	6037.25	13080.70	156968.45
		Step C	79.2389	6339.11	13734.74	164816.91
		Step D	83.2008	6656.06	14421.47	173057.66
		Step E	87.3609	6988.87	15142.56	181710.67
		Step F	91.7290	7338.32	15899.69	190796.32
		Step G	96.3154	7705.23	16694.67	200336.03
3599A	Human Resources Manager-A	Step A	47.6869	3814.95	8265.73	99188.75
		Step B	50.0712	4005.70	8679.01	104148.10
		Step C	52.5749	4205.99	9112.98	109355.79
		Step D	55.2036	4416.29	9568.62	114823.49
		Step E	57.9638	4637.10	10047.06	120564.70
		Step F	60.8621	4868.97	10549.43	126593.17
		Step G	63.9053	5112.42	11076.92	132923.02

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1002A	Hydrogeologist-A	Step A	53.6354	4290.83	9296.80	111561.63
		Step B	56.3171	4505.37	9761.63	117139.57
		Step C	59.1331	4730.65	10249.74	122996.85
		Step D	62.0898	4967.18	10762.23	129146.78
		Step E	65.1943	5215.54	11300.35	135604.14
		Step F	68.4541	5476.33	11865.38	142384.53
		Step G	71.8765	5750.12	12458.59	149503.12
3625A	Information Security Administrator-A	Step A	46.9814	3758.51	8143.44	97721.31
		Step B	49.3303	3946.42	8550.59	102607.02
		Step C	51.7969	4143.75	8978.13	107737.55
		Step D	54.3868	4350.94	9427.05	113124.54
		Step E	57.1061	4568.49	9898.39	118780.69
		Step F	59.9614	4796.91	10393.31	124719.71
		Step G	62.9596	5036.77	10913.00	130955.97

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9542A	Information Technology Client Services Supervisor-A	Step A	42.8956	3431.65	7435.24	89222.85
		Step B	45.0404	3603.23	7807.00	93684.03
		Step C	47.2925	3783.40	8197.37	98368.40
		Step D	49.6571	3972.57	8607.23	103286.77
		Step E	52.1400	4171.20	9037.60	108451.20
		Step F	54.7469	4379.75	9489.46	113873.55
		Step G	57.4843	4598.74	9963.95	119567.34
3602A	Information Technology Program Manager-A	Step A	46.9833	3758.66	8143.77	97725.26
		Step B	49.3322	3946.58	8550.91	102610.98
		Step C	51.7987	4143.90	8978.44	107741.30
		Step D	54.3887	4351.10	9427.37	113128.50
		Step E	57.1082	4568.66	9898.75	118785.06
		Step F	59.9636	4797.09	10393.69	124724.29
		Step G	62.9618	5036.94	10913.38	130960.54

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3740A	Interpretive Services Supervisor-A	Step A	36.2522	2900.18	6283.71	75404.58
		Step B	38.0646	3045.17	6597.86	79174.37
		Step C	39.9680	3197.44	6927.79	83133.44
		Step D	41.9664	3357.31	7274.18	87290.11
		Step E	44.0648	3525.18	7637.90	91654.78
		Step F	46.2679	3701.43	8019.77	96237.23
		Step G	48.5813	3886.50	8420.76	101049.10
3810A	Key Accounts Representative-A	Step A	55.0335	4402.68	9539.14	114469.68
		Step B	57.7853	4622.82	10016.12	120193.42
		Step C	60.6745	4853.96	10516.91	126202.96
		Step D	63.7083	5096.66	11042.77	132513.26
		Step E	66.8937	5351.50	11594.91	139138.90
		Step F	70.2384	5619.07	12174.66	146095.87
		Step G	73.7503	5900.02	12783.39	153400.62

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
4449A	Management Analyst - City Manager Office-A	Step A	39.9684	3197.47	6927.86	83134.27
		Step B	41.9667	3357.34	7274.23	87290.74
		Step C	44.0652	3525.22	7637.97	91655.62
		Step D	46.2683	3701.46	8019.84	96238.06
		Step E	48.5818	3886.54	8420.85	101050.14
		Step F	51.0109	4080.87	8841.89	106102.67
		Step G	53.5613	4284.90	9283.96	111407.50
0045A	Management Analyst I-A	Step A	34.6046	2768.37	5998.13	71977.57
		Step B	36.3348	2906.78	6298.03	75576.38
		Step C	38.1514	3052.11	6612.91	79354.91
		Step D	40.0592	3204.74	6943.59	83323.14
		Step E	42.0621	3364.97	7290.76	87489.17
		Step F	44.1652	3533.22	7655.30	91863.62
		Step G	46.3735	3709.88	8038.07	96456.88

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0050A	Management Analyst II-A	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.9684	3197.47	6927.86	83134.27
		Step C	41.9667	3357.34	7274.23	87290.74
		Step D	44.0652	3525.22	7637.97	91655.62
		Step E	46.2683	3701.46	8019.84	96238.06
		Step F	48.5818	3886.54	8420.85	101050.14
		Step G	51.0109	4080.87	8841.89	106102.67
5020A	Park Development Project Manager I-A	Step A	34.6046	2768.37	5998.13	71977.57
		Step B	36.3348	2906.78	6298.03	75576.38
		Step C	38.1514	3052.11	6612.91	79354.91
		Step D	40.0592	3204.74	6943.59	83323.14
		Step E	42.0621	3364.97	7290.76	87489.17
		Step F	44.1652	3533.22	7655.30	91863.62
		Step G	46.3735	3709.88	8038.07	96456.88

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5022A	Park Development Project Manager II-A	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.9684	3197.47	6927.86	83134.27
		Step C	41.9667	3357.34	7274.23	87290.74
		Step D	44.0652	3525.22	7637.97	91655.62
		Step E	46.2683	3701.46	8019.84	96238.06
		Step F	48.5818	3886.54	8420.85	101050.14
		Step G	51.0109	4080.87	8841.89	106102.67
5024A	Parks Planning and Development Superintendent-A	Step A	46.1624	3692.99	8001.48	96017.79
		Step B	48.4706	3877.65	8401.57	100818.85
		Step C	50.8941	4071.53	8821.64	105859.73
		Step D	53.4387	4275.10	9262.71	111152.50
		Step E	56.1111	4488.89	9725.92	116711.09
		Step F	58.9163	4713.30	10212.16	122545.90
		Step G	61.8619	4948.95	10722.73	128672.75

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5082A	Parks Recreation and Libraries Director-A	Step A	75.4661	6037.29	13080.79	156969.49
		Step B	79.2394	6339.15	13734.83	164817.95
		Step C	83.2013	6656.10	14421.56	173058.70
		Step D	87.3613	6988.90	15142.63	181711.50
		Step E	91.7295	7338.36	15899.78	190797.36
		Step F	96.3159	7705.27	16694.76	200337.07
		Step G	101.1317	8090.54	17529.49	210353.94
9547A	Parks Recreation and Libraries Manager-A	Step A	52.0310	4162.48	9018.71	108224.48
		Step B	54.6325	4370.60	9469.63	113635.60
		Step C	57.3639	4589.11	9943.08	119316.91
		Step D	60.2325	4818.60	10440.30	125283.60
		Step E	63.2438	5059.50	10962.26	131547.10
		Step F	66.4062	5312.50	11510.41	138124.90
		Step G	69.7265	5578.12	12085.93	145031.12

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9546A	Parks Recreation and Libraries Superintendent-A	Step A	41.9658	3357.26	7274.07	87288.86
		Step B	44.0642	3525.14	7637.79	91653.54
		Step C	46.2674	3701.39	8019.68	96236.19
		Step D	48.5806	3886.45	8420.64	101047.65
		Step E	51.0100	4080.80	8841.73	106100.80
		Step F	53.5603	4284.82	9283.79	111405.42
		Step G	56.2381	4499.05	9747.94	116975.25
5069A	Parks Supervisor-A	Step A	36.2522	2900.18	6283.71	75404.58
		Step B	38.0647	3045.18	6597.88	79174.58
		Step C	39.9681	3197.45	6927.80	83133.65
		Step D	41.9664	3357.31	7274.18	87290.11
		Step E	44.0648	3525.18	7637.90	91654.78
		Step F	46.2679	3701.43	8019.77	96237.23
		Step G	48.5813	3886.50	8420.76	101049.10

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9428A	Payroll Supervisor-A	Step A	44.8751	3590.01	7778.35	93340.21
		Step B	47.1188	3769.50	8167.26	98007.10
		Step C	49.4748	3957.98	8575.63	102907.58
		Step D	51.9484	4155.87	9004.39	108052.67
		Step E	54.5459	4363.67	9454.62	113455.47
		Step F	57.2732	4581.86	9927.35	119128.26
		Step G	60.1369	4810.95	10423.73	125084.75
1501A	Permits Supervisor-A	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.9684	3197.47	6927.86	83134.27
		Step C	41.9667	3357.34	7274.23	87290.74
		Step D	44.0652	3525.22	7637.97	91655.62
		Step E	46.2683	3701.46	8019.84	96238.06
		Step F	48.5818	3886.54	8420.85	101050.14
		Step G	51.0109	4080.87	8841.89	106102.67

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5309A	Planning Manager-A	Step A	57.7618	4620.94	10012.05	120144.54
		Step B	60.6499	4851.99	10512.65	126151.79
		Step C	63.6824	5094.59	11038.28	132459.39
		Step D	66.8666	5349.33	11590.21	139082.53
		Step E	70.2100	5616.80	12169.73	146036.80
		Step F	73.7206	5897.65	12778.24	153338.85
		Step G	77.4064	6192.51	13417.11	161005.31
5400A	Police Captain-A	Step A	65.6512	5252.10	11379.54	136554.50
		Step B	68.9336	5514.69	11948.49	143381.89
		Step C	72.3804	5790.43	12545.94	150551.23
		Step D	75.9995	6079.96	13173.25	158078.96
		Step E	79.7994	6383.95	13831.90	165982.75
		Step F	83.7893	6703.14	14523.48	174281.74
		Step G	87.9788	7038.30	15249.66	182995.90

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5410A	Police Chief-A	Step A	85.8842	6870.74	14886.59	178639.14
		Step B	90.1784	7214.27	15630.92	187571.07
		Step C	94.6873	7574.98	16412.47	196949.58
		Step D	99.4217	7953.74	17233.09	206797.14
		Step E	104.3928	8351.42	18094.75	217137.02
		Step F	109.6125	8769.00	18999.50	227994.00
		Step G	115.0931	9207.45	19949.47	239393.65
5420A	Police Lieutenant-A	Step A	57.0875	4567.00	9895.17	118742.00
		Step B	59.9420	4795.36	10389.95	124679.36
		Step C	62.9390	5035.12	10909.43	130913.12
		Step D	66.0859	5286.87	11454.89	137458.67
		Step E	69.3903	5551.22	12027.65	144331.82
		Step F	72.8598	5828.78	12629.03	151548.38
		Step G	76.5028	6120.22	13260.49	159125.82

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5489A	Police Services Administrator-A	Step A	49.7930	3983.44	8630.79	103569.44
		Step B	52.2827	4182.62	9062.33	108748.02
		Step C	54.8968	4391.74	9515.45	114185.34
		Step D	57.6417	4611.34	9991.23	119894.74
		Step E	60.5238	4841.90	10490.79	125889.50
		Step F	63.5500	5084.00	11015.33	132184.00
		Step G	66.7275	5338.20	11566.10	138793.20
1039A	Police Social Services Practitioner-A	Step A	31.2076	2496.61	5409.32	64911.81
		Step B	32.7680	2621.44	5679.79	68157.44
		Step C	34.4064	2752.51	5963.78	71565.31
		Step D	36.1267	2890.14	6261.96	75143.54
		Step E	37.9330	3034.64	6575.05	78900.64
		Step F	39.8297	3186.38	6903.81	82845.78
		Step G	41.8212	3345.70	7249.01	86988.10

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5505A	Power Engineering Manager-A	Step A	77.4057	6192.46	13416.99	161003.86
		Step B	81.2760	6502.08	14087.84	169054.08
		Step C	85.3398	6827.18	14792.23	177506.78
		Step D	89.6068	7168.54	15531.85	186382.14
		Step E	94.0873	7526.98	16308.47	195701.58
		Step F	98.7916	7903.33	17123.88	205486.53
		Step G	103.7311	8298.49	17980.06	215760.69
5600A	Power Generation Superintendent-A	Step A	74.4135	5953.08	12898.34	154780.08
		Step B	78.1342	6250.74	13543.26	162519.14
		Step C	82.0408	6563.26	14220.41	170644.86
		Step D	86.1429	6891.43	14931.44	179177.23
		Step E	90.4501	7236.01	15678.02	188136.21
		Step F	94.9726	7597.81	16461.92	197543.01
		Step G	99.7212	7977.70	17285.01	207420.10

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5530A	Power Plant Operations and Maintenance Supervisor-A	Step A	67.7548	5420.38	11744.17	140929.98
		Step B	71.1425	5691.40	12331.37	147976.40
		Step C	74.6996	5975.97	12947.93	155375.17
		Step D	78.4346	6274.77	13595.33	163143.97
		Step E	82.3564	6588.51	14275.11	171301.31
		Step F	86.4742	6917.94	14988.86	179866.34
		Step G	90.7979	7263.83	15738.30	188859.63
5535A	Power Supply and Portfolio Administrator-A	Step A	77.4022	6192.18	13416.38	160996.58
		Step B	81.2723	6501.78	14087.20	169046.38
		Step C	85.3360	6826.88	14791.57	177498.88
		Step D	89.6026	7168.21	15531.12	186373.41
		Step E	94.0829	7526.63	16307.70	195692.43
		Step F	98.7870	7902.96	17123.08	205476.96
		Step G	103.7264	8298.11	17979.24	215750.91

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5519A	Preventative Maintenance Supervisor-A	Step A	51.5119	4120.95	8928.73	107144.75
		Step B	54.0875	4327.00	9375.17	112502.00
		Step C	56.7919	4543.35	9843.93	118127.15
		Step D	59.6315	4770.52	10336.13	124033.52
		Step E	62.6131	5009.05	10852.94	130235.25
		Step F	65.7437	5259.50	11395.57	136746.90
		Step G	69.0309	5522.47	11965.36	143584.27
5514A	Principal Engineer-A	Step A	53.6354	4290.83	9296.80	111561.63
		Step B	56.3171	4505.37	9761.63	117139.57
		Step C	59.1331	4730.65	10249.74	122996.85
		Step D	62.0898	4967.18	10762.23	129146.78
		Step E	65.1943	5215.54	11300.35	135604.14
		Step F	68.4541	5476.33	11865.38	142384.53
		Step G	71.8765	5750.12	12458.59	149503.12

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5513A	Principal Planner-A	Step A	48.2529	3860.23	8363.84	100366.03
		Step B	50.6656	4053.25	8782.04	105384.45
		Step C	53.1988	4255.90	9221.13	110653.50
		Step D	55.8587	4468.70	9682.17	116186.10
		Step E	58.6517	4692.14	10166.29	121995.54
		Step F	61.5842	4926.74	10674.59	128095.14
		Step G	64.6636	5173.09	11208.36	134500.29
1004A	Process Engineer-A	Step A	53.6354	4290.83	9296.80	111561.63
		Step B	56.3171	4505.37	9761.63	117139.57
		Step C	59.1331	4730.65	10249.74	122996.85
		Step D	62.0898	4967.18	10762.23	129146.78
		Step E	65.1943	5215.54	11300.35	135604.14
		Step F	68.4541	5476.33	11865.38	142384.53
		Step G	71.8765	5750.12	12458.59	149503.12

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5565A	Public Information Officer-A	Step A	50.3642	4029.14	8729.79	104757.54
		Step B	52.8824	4230.59	9166.28	109995.39
		Step C	55.5266	4442.13	9624.61	115495.33
		Step D	58.3028	4664.22	10105.82	121269.82
		Step E	61.2179	4897.43	10611.10	127333.23
		Step F	64.2790	5142.32	11141.69	133700.32
		Step G	67.4928	5399.42	11698.75	140385.02
5635A	Public Works Director-A	Step A	75.4674	6037.39	13081.02	156972.19
		Step B	79.2408	6339.26	13735.07	164820.86
		Step C	83.2029	6656.23	14421.84	173062.03
		Step D	87.3630	6989.04	15142.92	181715.04
		Step E	91.7312	7338.50	15900.07	190800.90
		Step F	96.3177	7705.42	16695.07	200340.82
		Step G	101.1335	8090.68	17529.81	210357.68

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5652A	Purchasing and Warehouse Manager-A	Step A	49.2129	3937.03	8530.24	102362.83
		Step B	51.6736	4133.89	8956.76	107481.09
		Step C	54.2572	4340.58	9404.58	112854.98
		Step D	56.9701	4557.61	9874.82	118497.81
		Step E	59.8186	4785.49	10368.56	124422.69
		Step F	62.8096	5024.77	10887.00	130643.97
		Step G	65.9500	5276.00	11431.33	137176.00
9544A	Recreation and Library Supervisor-A	Step A	36.2522	2900.18	6283.71	75404.58
		Step B	38.0647	3045.18	6597.88	79174.58
		Step C	39.9681	3197.45	6927.80	83133.65
		Step D	41.9664	3357.31	7274.18	87290.11
		Step E	44.0648	3525.18	7637.90	91654.78
		Step F	46.2679	3701.43	8019.77	96237.23
		Step G	48.5813	3886.50	8420.76	101049.10

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6231A	Refuse Superintendent-A	Step A	44.4348	3554.78	7702.03	92424.38
		Step B	46.6565	3732.52	8087.13	97045.52
		Step C	48.9893	3919.14	8491.48	101897.74
		Step D	51.4389	4115.11	8916.08	106992.91
		Step E	54.0108	4320.86	9361.87	112342.46
		Step F	56.7114	4536.91	9829.98	117959.71
		Step G	59.5468	4763.74	10321.45	123857.34
6235A	Refuse Supervisor-A	Step A	35.1230	2809.84	6087.99	73055.84
		Step B	36.8792	2950.34	6392.39	76708.74
		Step C	38.7233	3097.86	6712.04	80544.46
		Step D	40.6587	3252.70	7047.51	84570.10
		Step E	42.6916	3415.33	7399.88	88798.53
		Step F	44.8260	3586.08	7769.84	93238.08
		Step G	47.0675	3765.40	8158.37	97900.40

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6244A	Refuse and Stormwater Manager-A	Step A	60.3417	4827.34	10459.23	125510.74
		Step B	63.3589	5068.71	10982.21	131786.51
		Step C	66.5264	5322.11	11531.24	138374.91
		Step D	69.8530	5588.24	12107.85	145294.24
		Step E	73.3455	5867.64	12713.22	152558.64
		Step F	77.0126	6161.01	13348.85	160186.21
		Step G	80.8634	6469.07	14016.32	168195.87
6450A	Risk Manager-A	Step A	54.8417	4387.34	9505.89	114070.74
		Step B	57.5838	4606.70	9981.19	119774.30
		Step C	60.4631	4837.05	10480.27	125763.25
		Step D	63.4862	5078.90	11004.27	132051.30
		Step E	66.6606	5332.85	11554.50	138654.05
		Step F	69.9936	5599.49	12132.22	145586.69
		Step G	73.4932	5879.46	12738.82	152865.86

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6500A	Safety Coordinator-A	Step A	38.0651	3045.21	6597.95	79175.41
		Step B	39.9683	3197.46	6927.84	83134.06
		Step C	41.9666	3357.33	7274.21	87290.53
		Step D	44.0650	3525.20	7637.93	91655.20
		Step E	46.2682	3701.46	8019.82	96237.86
		Step F	48.5816	3886.53	8420.81	101049.73
		Step G	51.0109	4080.87	8841.89	106102.67
7130A	Senior Business Systems Analyst-A	Step A	38.7317	3098.54	6713.49	80561.94
		Step B	40.6682	3253.46	7049.15	84589.86
		Step C	42.7017	3416.14	7401.63	88819.54
		Step D	44.8368	3586.94	7771.71	93260.54
		Step E	47.0786	3766.29	8160.29	97923.49
		Step F	49.4325	3954.60	8568.30	102819.60
		Step G	51.9040	4152.32	8996.69	107960.32

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7145A	Senior Database Analyst-A	Step A	39.7243	3177.94	6885.55	82626.54
		Step B	41.7106	3336.85	7229.84	86758.05
		Step C	43.7961	3503.69	7591.32	91095.89
		Step D	45.9858	3678.86	7970.87	95650.46
		Step E	48.2852	3862.82	8369.43	100433.22
		Step F	50.6994	4055.95	8787.90	105454.75
		Step G	53.2344	4258.75	9227.30	110727.55
7123A	Senior Deputy City Attorney-A	Step A	73.5219	5881.75	12743.80	152925.55
		Step B	77.1981	6175.85	13381.00	160572.05
		Step C	81.0581	6484.65	14050.07	168600.85
		Step D	85.1109	6808.87	14752.56	177030.67
		Step E	89.3665	7149.32	15490.19	185882.32
		Step F	93.8347	7506.78	16264.68	195176.18
		Step G	98.5265	7882.12	17077.93	204935.12

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1281A	Senior Development Services Analyst-A	Step A	38.7300	3098.40	6713.20	80558.40
		Step B	40.6665	3253.32	7048.86	84586.32
		Step C	42.6998	3415.98	7401.30	88815.58
		Step D	44.8349	3586.79	7771.38	93256.59
		Step E	47.0766	3766.13	8159.94	97919.33
		Step F	49.4304	3954.43	8567.94	102815.23
		Step G	51.9019	4152.15	8996.33	107955.95
7128A	Senior Electric Business Analyst-A	Step A	54.8060	4384.48	9499.71	113996.48
		Step B	57.5463	4603.70	9974.69	119696.30
		Step C	60.4237	4833.90	10473.44	125681.30
		Step D	63.4448	5075.58	10997.10	131965.18
		Step E	66.6170	5329.36	11546.95	138563.36
		Step F	69.9479	5595.83	12124.30	145491.63
		Step G	73.4454	5875.63	12730.54	152766.43

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1023A	Senior Electric Technology Systems Analyst-A	Step A	54.7499	4379.99	9489.98	113879.79
		Step B	57.4874	4598.99	9964.48	119573.79
		Step C	60.3618	4828.94	10462.71	125552.54
		Step D	63.3799	5070.39	10985.85	131830.19
		Step E	66.5488	5323.90	11535.13	138421.50
		Step F	69.8763	5590.10	12111.89	145342.70
		Step G	73.3701	5869.61	12717.48	152609.81
7163A	Senior Energy Services Account Representative-A	Step A	55.0335	4402.68	9539.14	114469.68
		Step B	57.7853	4622.82	10016.12	120193.42
		Step C	60.6745	4853.96	10516.91	126202.96
		Step D	63.7083	5096.66	11042.77	132513.26
		Step E	66.8937	5351.50	11594.91	139138.90
		Step F	70.2384	5619.07	12174.66	146095.87
		Step G	73.7503	5900.02	12783.39	153400.62

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7142A	Senior Engineer-A	Step A	47.6767	3814.14	8263.96	99167.54
		Step B	50.0607	4004.86	8677.19	104126.26
		Step C	52.5636	4205.09	9111.02	109332.29
		Step D	55.1920	4415.36	9566.61	114799.36
		Step E	57.9512	4636.10	10044.87	120538.50
		Step F	60.8490	4867.92	10547.16	126565.92
		Step G	63.8915	5111.32	11074.53	132894.32
1065A	Senior Environmental Utilities Business Analyst-A	Step A	43.9667	3517.34	7620.89	91450.74
		Step B	46.1649	3693.19	8001.92	96022.99
		Step C	48.4732	3877.86	8402.02	100824.26
		Step D	50.8968	4071.74	8822.11	105865.34
		Step E	53.4418	4275.34	9263.25	111158.94
		Step F	56.1138	4489.10	9726.39	116716.70
		Step G	58.9195	4713.56	10212.71	122552.56

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1029A	Senior Finance Utility Billing Analyst-A	Step A	42.5475	3403.80	7374.90	88498.80
		Step B	44.6749	3573.99	7743.65	92923.79
		Step C	46.9086	3752.69	8130.82	97569.89
		Step D	49.2541	3940.33	8537.38	102448.53
		Step E	51.7168	4137.34	8964.25	107570.94
		Step F	54.3026	4344.21	9412.45	112949.41
		Step G	57.0177	4561.42	9883.07	118596.82
7161A	Senior GIS Analyst-A	Step A	38.5623	3084.98	6684.13	80209.58
		Step B	40.4904	3239.23	7018.34	84220.03
		Step C	42.5149	3401.19	7369.25	88430.99
		Step D	44.6408	3571.26	7737.74	92852.86
		Step E	46.8728	3749.82	8124.62	97495.42
		Step F	49.2165	3937.32	8530.86	102370.32
		Step G	51.6772	4134.18	8957.38	107488.58

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7150A	Senior Human Resources Analyst-A	Step A	42.4065	3392.52	7350.46	88205.52
		Step B	44.5267	3562.14	7717.96	92615.54
		Step C	46.7532	3740.26	8103.89	97246.66
		Step D	49.0907	3927.26	8509.05	102108.66
		Step E	51.5453	4123.62	8934.52	107214.22
		Step F	54.1226	4329.81	9381.25	112575.01
		Step G	56.8285	4546.28	9850.27	118203.28
7194A	Senior Information Technology Analyst-A	Step A	40.8543	3268.34	7081.41	84976.94
		Step B	42.8971	3431.77	7435.50	89225.97
		Step C	45.0418	3603.34	7807.25	93686.94
		Step D	47.2940	3783.52	8197.63	98371.52
		Step E	49.6587	3972.70	8607.51	103290.10
		Step F	52.1416	4171.33	9037.88	108454.53
		Step G	54.7487	4379.90	9489.77	113877.30

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7180A	Senior Planner-A	Step A	41.9600	3356.80	7273.07	87276.80
		Step B	44.0580	3524.64	7636.72	91640.64
		Step C	46.2609	3700.87	8018.56	96222.67
		Step D	48.5739	3885.91	8419.48	101033.71
		Step E	51.0027	4080.22	8840.47	106085.62
		Step F	53.5529	4284.23	9282.50	111390.03
		Step G	56.2304	4498.43	9746.60	116959.23
7151A	Senior Power Engineer-A	Step A	67.3065	5384.52	11666.46	139997.52
		Step B	70.6717	5653.74	12249.76	146997.14
		Step C	74.2054	5936.43	12862.27	154347.23
		Step D	77.9157	6233.26	13505.39	162064.66
		Step E	81.8114	6544.91	14180.64	170167.71
		Step F	85.9019	6872.15	14889.66	178675.95
		Step G	90.1971	7215.77	15634.16	187609.97

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5555A	Senior Power Plant Engineer-A	Step A	67.3065	5384.52	11666.46	139997.52
		Step B	70.6717	5653.74	12249.76	146997.14
		Step C	74.2054	5936.43	12862.27	154347.23
		Step D	77.9157	6233.26	13505.39	162064.66
		Step E	81.8114	6544.91	14180.64	170167.71
		Step F	85.9019	6872.15	14889.66	178675.95
		Step G	90.1971	7215.77	15634.16	187609.97
7520A	Street Maintenance Superintendent-A	Step A	44.4419	3555.35	7703.26	92439.15
		Step B	46.6637	3733.10	8088.37	97060.50
		Step C	48.9969	3919.75	8492.80	101913.55
		Step D	51.4469	4115.75	8917.46	107009.55
		Step E	54.0194	4321.55	9363.36	112360.35
		Step F	56.7204	4537.63	9831.54	117978.43
		Step G	59.5561	4764.49	10323.06	123876.69

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7522A	Street Maintenance Supervisor-A	Step A	35.2641	2821.13	6112.44	73349.33
		Step B	37.0273	2962.18	6418.07	77016.78
		Step C	38.8786	3110.29	6738.96	80867.49
		Step D	40.8226	3265.81	7075.92	84911.01
		Step E	42.8637	3429.10	7429.71	89156.50
		Step F	45.0070	3600.56	7801.21	93614.56
		Step G	47.2572	3780.58	8191.25	98294.98
1059A	Transit Operations Analyst I-A	Step A	34.6046	2768.37	5998.13	71977.57
		Step B	36.3348	2906.78	6298.03	75576.38
		Step C	38.1514	3052.11	6612.91	79354.91
		Step D	40.0592	3204.74	6943.59	83323.14
		Step E	42.0621	3364.97	7290.76	87489.17
		Step F	44.1652	3533.22	7655.30	91863.62
		Step G	46.3735	3709.88	8038.07	96456.88

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1058A	Transit Operations Analyst II-A	Step A	38.0650	3045.20	6597.93	79175.20
		Step B	39.9684	3197.47	6927.86	83134.27
		Step C	41.9667	3357.34	7274.23	87290.74
		Step D	44.0652	3525.22	7637.97	91655.62
		Step E	46.2683	3701.46	8019.84	96238.06
		Step F	48.5818	3886.54	8420.85	101050.14
		Step G	51.0109	4080.87	8841.89	106102.67
8762A	Urban Forester-A	Step A	36.2522	2900.18	6283.71	75404.58
		Step B	38.0646	3045.17	6597.86	79174.37
		Step C	39.9680	3197.44	6927.79	83133.44
		Step D	41.9664	3357.31	7274.18	87290.11
		Step E	44.0648	3525.18	7637.90	91654.78
		Step F	46.2679	3701.43	8019.77	96237.23
		Step G	48.5813	3886.50	8420.76	101049.10

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0920A	Utility Billing Operations Manager-A	Step A	55.2507	4420.06	9576.79	114921.46
		Step B	58.0133	4641.06	10055.64	120667.66
		Step C	60.9140	4873.12	10558.43	126701.12
		Step D	63.9596	5116.77	11086.33	133035.97
		Step E	67.1576	5372.61	11640.65	139687.81
		Step F	70.5155	5641.24	12222.69	146672.24
		Step G	74.0413	5923.30	12833.83	154005.90
1041A	Utility Billing and Field Services Supervisor-A	Step A	42.8357	3426.86	7424.85	89098.26
		Step B	44.9775	3598.20	7796.10	93553.20
		Step C	47.2263	3778.10	8185.89	98230.70
		Step D	49.5876	3967.01	8595.18	103142.21
		Step E	52.0670	4165.36	9024.95	108299.36
		Step F	54.6704	4373.63	9476.20	113714.43
		Step G	57.4039	4592.31	9950.01	119400.11

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1042A	Utility Customer Service Supervisor-A	Step A	30.0992	2407.94	5217.19	62606.34
		Step B	31.6041	2528.33	5478.04	65736.53
		Step C	33.1844	2654.75	5751.96	69023.55
		Step D	34.8435	2787.48	6039.54	72474.48
		Step E	36.5857	2926.86	6341.52	76098.26
		Step F	38.4150	3073.20	6658.60	79903.20
		Step G	40.3358	3226.86	6991.54	83898.46
1646A	Utility Government Relations Administrator-A	Step A	46.0250	3682.00	7977.67	95732.00
		Step B	48.3263	3866.10	8376.56	100518.70
		Step C	50.7425	4059.40	8795.37	105544.40
		Step D	53.2797	4262.38	9235.15	110821.78
		Step E	55.9437	4475.50	9696.91	116362.90
		Step F	58.7409	4699.27	10181.76	122181.07
		Step G	61.6779	4934.23	10690.84	128290.03

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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
8980A	Wastewater Collection Superintendent-A	Step A	44.4348	3554.78	7702.03	92424.38
		Step B	46.6565	3732.52	8087.13	97045.52
		Step C	48.9893	3919.14	8491.48	101897.74
		Step D	51.4389	4115.11	8916.08	106992.91
		Step E	54.0108	4320.86	9361.87	112342.46
		Step F	56.7114	4536.91	9829.98	117959.71
		Step G	59.5468	4763.74	10321.45	123857.34
8978A	Wastewater Collection Supervisor-A	Step A	36.2523	2900.18	6283.73	75404.78
		Step B	38.0647	3045.18	6597.88	79174.58
		Step C	39.9681	3197.45	6927.80	83133.65
		Step D	41.9664	3357.31	7274.18	87290.11
		Step E	44.0649	3525.19	7637.92	91654.99
		Step F	46.2679	3701.43	8019.77	96237.23
		Step G	48.5813	3886.50	8420.76	101049.10

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9040A	Wastewater Treatment Plant Chief Operator-A	Step A	47.8509	3828.07	8294.16	99529.87
		Step B	50.2436	4019.49	8708.89	104506.69
		Step C	52.7555	4220.44	9144.29	109731.44
		Step D	55.3935	4431.48	9601.54	115218.48
		Step E	58.1629	4653.03	10081.57	120978.83
		Step F	61.0712	4885.70	10585.67	127028.10
		Step G	64.1246	5129.97	11114.93	133379.17
9045A	Wastewater Utility Manager-A	Step A	60.3417	4827.34	10459.23	125510.74
		Step B	63.3589	5068.71	10982.21	131786.51
		Step C	66.5264	5322.11	11531.24	138374.91
		Step D	69.8530	5588.24	12107.85	145294.24
		Step E	73.3455	5867.64	12713.22	152558.64
		Step F	77.0126	6161.01	13348.85	160186.21
		Step G	80.8634	6469.07	14016.32	168195.87

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9047A	Water Conservation Administrator-A	Step A	39.9910	3199.28	6931.77	83181.28
		Step B	41.9906	3359.25	7278.37	87340.45
		Step C	44.0901	3527.21	7642.28	91707.41
		Step D	46.2946	3703.57	8024.40	96292.77
		Step E	48.6093	3888.74	8425.61	101107.34
		Step F	51.0401	4083.21	8846.95	106163.41
		Step G	53.5919	4287.35	9289.26	111471.15
9085A	Water Distribution Superintendent-A	Step A	43.7794	3502.35	7588.43	91061.15
		Step B	46.6565	3732.52	8087.13	97045.52
		Step C	48.9893	3919.14	8491.48	101897.74
		Step D	51.4389	4115.11	8916.08	106992.91
		Step E	54.0108	4320.86	9361.87	112342.46
		Step F	56.7114	4536.91	9829.98	117959.71
		Step G	59.5468	4763.74	10321.45	123857.34

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Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9084A	Water Distribution Supervisor-A	Step A	36.2522	2900.18	6283.71	75404.58
		Step B	38.0647	3045.18	6597.88	79174.58
		Step C	39.9681	3197.45	6927.80	83133.65
		Step D	41.9664	3357.31	7274.18	87290.11
		Step E	44.0648	3525.18	7637.90	91654.78
		Step F	46.2679	3701.43	8019.77	96237.23
		Step G	48.5813	3886.50	8420.76	101049.10
9101A	Water Quality Lab Supervisor-A	Step A	38.0634	3045.07	6597.66	79171.87
		Step B	39.9665	3197.32	6927.53	83130.32
		Step C	41.9649	3357.19	7273.92	87286.99
		Step D	44.0630	3525.04	7637.59	91651.04
		Step E	46.2663	3701.30	8019.49	96233.90
		Step F	48.5796	3886.37	8420.46	101045.57
		Step G	51.0084	4080.67	8841.46	106097.47

City of Roseville

Salary Schedule: Management-A

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9175A	Water Treatment Plant Chief Operator-A	Step A	43.5007	3480.06	7540.12	90481.46
		Step B	45.6759	3654.07	7917.16	95005.87
		Step C	47.9595	3836.76	8312.98	99755.76
		Step D	50.3577	4028.62	8728.67	104744.02
		Step E	52.8753	4230.02	9165.05	109980.62
		Step F	55.5193	4441.54	9623.35	115480.14
		Step G	58.2951	4663.61	10104.48	121253.81
9244A	Water Utility Manager-A	Step A	60.3417	4827.34	10459.23	125510.74
		Step B	63.3589	5068.71	10982.21	131786.51
		Step C	66.5264	5322.11	11531.24	138374.91
		Step D	69.8530	5588.24	12107.85	145294.24
		Step E	73.3455	5867.64	12713.22	152558.64
		Step F	77.0126	6161.01	13348.85	160186.21
		Step G	80.8634	6469.07	14016.32	168195.87

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1505B	AMI Project Manager-B	Step A	50.7919	4063.35	8803.93	105647.15
		Step B	52.0463	4163.70	9021.36	108256.30
		Step C	53.3316	4266.53	9244.14	110929.73
		Step D	54.6487	4371.90	9472.44	113669.30
		Step E	55.9983	4479.86	9706.37	116476.46
		Step F	57.3812	4590.50	9946.07	119352.90
		Step G	58.7983	4703.86	10191.71	122300.46
		Step H	60.2504	4820.03	10443.40	125320.83
		Step I	61.7383	4939.06	10701.31	128415.66
		Step J	63.2630	5061.04	10965.59	131587.04
		Step K	64.8253	5186.02	11236.39	134836.62
		Step L	66.4263	5314.10	11513.89	138166.70
		Step M	68.0668	5445.34	11798.25	141578.94

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1370B	Accounting Manager-B	Step A	55.2501	4420.01	9576.68	114920.21
		Step B	56.6145	4529.16	9813.18	117758.16
		Step C	58.0128	4641.02	10055.55	120666.62
		Step D	59.4455	4755.64	10303.89	123646.64
		Step E	60.9135	4873.08	10558.34	126700.08
		Step F	62.4178	4993.42	10819.09	129829.02
		Step G	63.9593	5116.74	11086.28	133035.34
		Step H	65.5389	5243.11	11360.08	136320.91
		Step I	67.1574	5372.59	11640.62	139687.39
		Step J	68.8159	5505.27	11928.09	143137.07
		Step K	70.5154	5641.23	12222.67	146672.03
		Step L	72.2568	5780.54	12524.51	150294.14
		Step M	74.0413	5923.30	12833.83	154005.90

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0043B	Accounting Supervisor-B	Step A	47.1187	3769.50	8167.24	98006.90
		Step B	48.2824	3862.59	8368.95	100427.39
		Step C	49.4747	3957.98	8575.61	102907.38
		Step D	50.6966	4055.73	8787.41	105448.93
		Step E	51.9486	4155.89	9004.42	108053.09
		Step F	53.2315	4258.52	9226.79	110721.52
		Step G	54.5461	4363.69	9454.66	113455.89
		Step H	55.8931	4471.45	9688.14	116257.65
		Step I	57.2735	4581.88	9927.41	119128.88
		Step J	58.6880	4695.04	10172.59	122071.04
		Step K	60.1373	4810.98	10423.80	125085.58
		Step L	61.6224	4929.79	10681.22	128174.59
		Step M	63.1443	5051.54	10945.01	131340.14

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9427B	Accounts Payable Supervisor-B	Step A	44.8746	3589.97	7778.26	93339.17
		Step B	45.9828	3678.62	7970.35	95644.22
		Step C	47.1184	3769.47	8167.19	98006.27
		Step D	48.2820	3862.56	8368.88	100426.56
		Step E	49.4744	3957.95	8575.56	102906.75
		Step F	50.6962	4055.70	8787.34	105448.10
		Step G	51.9482	4155.86	9004.35	108052.26
		Step H	53.2311	4258.49	9226.72	110720.69
		Step I	54.5457	4363.66	9454.59	113455.06
		Step J	55.8929	4471.43	9688.10	116257.23
		Step K	57.2731	4581.85	9927.34	119128.05
		Step L	58.6875	4695.00	10172.50	122070.00
		Step M	60.1369	4810.95	10423.73	125084.75

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0086B	Alternative Transportation Analyst I-B	Step A	35.1221	2809.77	6087.83	73053.97
		Step B	35.9892	2879.14	6238.13	74857.54
		Step C	36.8781	2950.25	6392.20	76706.45
		Step D	37.7888	3023.10	6550.06	78600.70
		Step E	38.7221	3097.77	6711.83	80541.97
		Step F	39.6784	3174.27	6877.59	82531.07
		Step G	40.6583	3252.66	7047.44	84569.26
		Step H	41.6624	3332.99	7221.48	86657.79
		Step I	42.6912	3415.30	7399.81	88797.70
		Step J	43.7455	3499.64	7582.55	90990.64
		Step K	44.8259	3586.07	7769.82	93237.87
		Step L	45.9329	3674.63	7961.70	95540.43
		Step M	47.0672	3765.38	8158.31	97899.78

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0088B	Alternative Transportation Analyst II-B	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.0048	3120.38	6760.83	81129.98
		Step C	39.9679	3197.43	6927.77	83133.23
		Step D	40.9550	3276.40	7098.87	85186.40
		Step E	41.9664	3357.31	7274.18	87290.11
		Step F	43.0029	3440.23	7453.84	89446.03
		Step G	44.0649	3525.19	7637.92	91654.99
		Step H	45.1531	3612.25	7826.54	93918.45
		Step I	46.2682	3701.46	8019.82	96237.86
		Step J	47.4109	3792.87	8217.89	98614.67
		Step K	48.5816	3886.53	8420.81	101049.73
		Step L	49.7815	3982.52	8628.79	103545.52
		Step M	51.0109	4080.87	8841.89	106102.67

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0090B	Alternative Transportation Manager-B	Step A	56.6053	4528.42	9811.59	117739.02
		Step B	58.0032	4640.26	10053.89	120646.66
		Step C	59.4356	4754.85	10302.17	123626.05
		Step D	60.9035	4872.28	10556.61	126679.28
		Step E	62.4075	4992.60	10817.30	129807.60
		Step F	63.9488	5115.90	11084.46	133013.50
		Step G	65.5280	5242.24	11358.19	136298.24
		Step H	67.1464	5371.71	11638.71	139664.51
		Step I	68.8046	5504.37	11926.13	143113.57
		Step J	70.5038	5640.30	12220.66	146647.90
		Step K	72.2449	5779.59	12522.45	150269.39
		Step L	74.0291	5922.33	12831.71	153980.53
		Step M	75.8573	6068.58	13148.60	157783.18

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0245B	Assistant City Attorney-B	Step A	84.5493	6763.94	14655.21	175862.54
		Step B	86.6375	6931.00	15017.17	180206.00
		Step C	88.7770	7102.16	15388.01	184656.16
		Step D	90.9694	7277.55	15768.03	189216.35
		Step E	93.2160	7457.28	16157.44	193889.28
		Step F	95.5181	7641.45	16556.47	198677.65
		Step G	97.8770	7830.16	16965.35	203584.16
		Step H	100.2943	8023.54	17384.35	208612.14
		Step I	102.7710	8221.68	17813.64	213763.68
		Step J	105.3091	8424.73	18253.58	219042.93
		Step K	107.9098	8632.78	18704.37	224452.38
		Step L	110.5747	8845.98	19166.28	229995.38
		Step M	113.3054	9064.43	19639.60	235675.23

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0250B	Assistant City Clerk-B	Step A	44.0141	3521.13	7629.11	91549.33
		Step B	45.1006	3608.05	7817.44	93809.25
		Step C	46.2144	3697.15	8010.50	96125.95
		Step D	47.3557	3788.46	8208.32	98499.86
		Step E	48.5252	3882.02	8411.03	100932.42
		Step F	49.7236	3977.89	8618.76	103425.09
		Step G	50.9516	4076.13	8831.61	105979.33
		Step H	52.2099	4176.79	9049.72	108596.59
		Step I	53.4993	4279.94	9273.21	111278.54
		Step J	54.8205	4385.64	9502.22	114026.64
		Step K	56.1743	4493.94	9736.88	116842.54
		Step L	57.5616	4604.93	9977.34	119728.13
		Step M	58.9832	4718.66	10223.75	122685.06

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0325B	Assistant City Manager-B	Step A	86.9958	6959.66	15079.27	180951.26
		Step B	89.1442	7131.54	15451.66	185419.94
		Step C	91.3458	7307.66	15833.27	189999.26
		Step D	93.6016	7488.13	16224.28	194691.33
		Step E	95.9132	7673.06	16624.95	199499.46
		Step F	98.2818	7862.54	17035.51	204426.14
		Step G	100.7091	8056.73	17456.24	209474.93
		Step H	103.1962	8255.70	17887.34	214648.10
		Step I	105.7446	8459.57	18329.06	219948.77
		Step J	108.3561	8668.49	18781.72	225380.69
		Step K	111.0321	8882.57	19245.56	230946.77
		Step L	113.7742	9101.94	19720.86	236650.34
		Step M	116.5839	9326.71	20207.88	242494.51

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1021B	Assistant City Manager/Chief Financial Officer-B	Step A	86.9958	6959.66	15079.27	180951.26
		Step B	89.1442	7131.54	15451.66	185419.94
		Step C	91.3458	7307.66	15833.27	189999.26
		Step D	93.6016	7488.13	16224.28	194691.33
		Step E	95.9132	7673.06	16624.95	199499.46
		Step F	98.2818	7862.54	17035.51	204426.14
		Step G	100.7091	8056.73	17456.24	209474.93
		Step H	103.1962	8255.70	17887.34	214648.10
		Step I	105.7446	8459.57	18329.06	219948.77
		Step J	108.3561	8668.49	18781.72	225380.69
		Step K	111.0321	8882.57	19245.56	230946.77
		Step L	113.7742	9101.94	19720.86	236650.34
		Step M	116.5839	9326.71	20207.88	242494.51

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1068B	Assistant Electric Analyst-B	Step A	34.3135	2745.08	5947.67	71372.08
		Step B	35.1605	2812.84	6094.49	73133.84
		Step C	36.0288	2882.30	6244.99	74939.90
		Step D	36.9186	2953.49	6399.22	76790.69
		Step E	37.8303	3026.42	6557.25	78687.02
		Step F	38.7646	3101.17	6719.20	80630.37
		Step G	39.7219	3177.75	6885.13	82621.55
		Step H	40.7029	3256.23	7055.17	84662.03
		Step I	41.7081	3336.65	7229.40	86752.85
		Step J	42.7381	3419.05	7407.94	88895.25
		Step K	43.7936	3503.49	7590.89	91090.69
		Step L	44.8751	3590.01	7778.35	93340.21
		Step M	45.9834	3678.67	7970.46	95645.47

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0395B	Assistant Electric Utility Director-B	Step A	91.2624	7300.99	15818.82	189825.79
		Step B	93.5162	7481.30	16209.47	194513.70
		Step C	95.8258	7666.06	16609.81	199317.66
		Step D	98.1923	7855.38	17020.00	204239.98
		Step E	100.6172	8049.38	17440.31	209283.78
		Step F	103.1020	8248.16	17871.01	214452.16
		Step G	105.6483	8451.86	18312.37	219748.46
		Step H	108.2573	8660.58	18764.60	225175.18
		Step I	110.9310	8874.48	19228.04	230736.48
		Step J	113.6705	9093.64	19702.89	236434.64
		Step K	116.4777	9318.22	20189.47	242273.62
		Step L	119.3542	9548.34	20688.06	248256.74
		Step M	122.3018	9784.14	21198.98	254387.74

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0411B	Assistant Environmental Utilities Director-B	Step A	69.3887	5551.10	12027.37	144328.50
		Step B	71.1023	5688.18	12324.40	147892.78
		Step C	72.8583	5828.66	12628.77	151545.26
		Step D	74.6577	5972.62	12940.67	155288.02
		Step E	76.5012	6120.10	13260.21	159122.50
		Step F	78.3905	6271.24	13587.69	163052.24
		Step G	80.3265	6426.12	13923.26	167079.12
		Step H	82.3102	6584.82	14267.10	171205.22
		Step I	84.3430	6747.44	14619.45	175433.44
		Step J	86.4259	6914.07	14980.49	179765.87
		Step K	88.5603	7084.82	15350.45	184205.42
		Step L	90.7474	7259.79	15729.55	188754.59
		Step M	92.9885	7439.08	16118.01	193416.08

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1026B	Assistant Finance Director-B	Step A	63.5375	5083.00	11013.17	132158.00
		Step B	65.1060	5208.48	11285.04	135420.48
		Step C	66.7138	5337.10	11563.73	138764.70
		Step D	68.3613	5468.90	11849.29	142191.50
		Step E	70.0496	5603.97	12141.93	145703.17
		Step F	71.7795	5742.36	12441.78	149301.36
		Step G	73.5522	5884.18	12749.05	152988.58
		Step H	75.3687	6029.50	13063.91	156766.90
		Step I	77.2300	6178.40	13386.53	160638.40
		Step J	79.1372	6330.98	13717.11	164605.38
		Step K	81.0916	6487.33	14055.88	168670.53
		Step L	83.0943	6647.54	14403.01	172836.14
		Step M	85.1463	6811.70	14758.69	177104.30

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0480B	Assistant Fire Chief-B	Step A	63.7340	5098.72	11047.23	132566.72
		Step B	65.3073	5224.58	11319.93	135839.18
		Step C	66.9201	5353.61	11599.48	139193.81
		Step D	68.5728	5485.82	11885.95	142631.42
		Step E	70.2663	5621.30	12179.49	146153.90
		Step F	72.0015	5760.12	12480.26	149763.12
		Step G	73.7797	5902.38	12788.48	153461.78
		Step H	75.6017	6048.14	13104.29	157251.54
		Step I	77.4688	6197.50	13427.93	161135.10
		Step J	79.3821	6350.57	13759.56	165114.77
		Step K	81.3425	6507.40	14099.37	169192.40
		Step L	83.3513	6668.10	14447.56	173370.70
		Step M	85.4098	6832.78	14804.37	177652.38

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0484B	Assistant Human Resources Director-B	Step A	54.8417	4387.34	9505.89	114070.74
		Step B	56.1956	4495.65	9740.57	116886.85
		Step C	57.5833	4606.66	9981.11	119773.26
		Step D	59.0055	4720.44	10227.62	122731.44
		Step E	60.4626	4837.01	10480.18	125762.21
		Step F	61.9558	4956.46	10739.01	128868.06
		Step G	63.4859	5078.87	11004.22	132050.67
		Step H	65.0538	5204.30	11275.99	135311.90
		Step I	66.6603	5332.82	11554.45	138653.42
		Step J	68.3064	5464.51	11839.78	142077.31
		Step K	69.9933	5599.46	12132.17	145586.06
		Step L	71.7219	5737.75	12431.80	149181.55
		Step M	73.4932	5879.46	12738.82	152865.86

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9558B	Assistant Information Technology Director-B	Step A	57.4974	4599.79	9966.22	119594.59
		Step B	58.9167	4713.34	10212.23	122546.74
		Step C	60.3718	4829.74	10464.45	125573.34
		Step D	61.8627	4949.02	10722.87	128674.42
		Step E	63.3905	5071.24	10987.69	131852.24
		Step F	64.9560	5196.48	11259.04	135108.48
		Step G	66.5600	5324.80	11537.07	138444.80
		Step H	68.2038	5456.30	11821.99	141863.90
		Step I	69.8881	5591.05	12113.94	145367.25
		Step J	71.6142	5729.14	12413.13	148957.54
		Step K	73.3827	5870.62	12719.67	152636.02
		Step L	75.1950	6015.60	13033.80	156405.60
		Step M	77.0519	6164.15	13355.66	160267.95

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0510B	Assistant Police Chief-B	Step A	75.4936	6039.49	13085.56	157026.69
		Step B	77.3571	6188.57	13408.56	160902.77
		Step C	79.2674	6341.39	13739.68	164876.19
		Step D	81.2251	6498.01	14079.02	168948.21
		Step E	83.2310	6658.48	14426.71	173120.48
		Step F	85.2865	6822.92	14782.99	177395.92
		Step G	87.3926	6991.41	15148.05	181776.61
		Step H	89.5510	7164.08	15522.17	186266.08
		Step I	91.7625	7341.00	15905.50	190866.00
		Step J	94.0287	7522.30	16298.31	195579.70
		Step K	96.3508	7708.06	16700.81	200409.66
		Step L	98.7304	7898.43	17113.27	205359.23
		Step M	101.1686	8093.49	17535.89	210430.69

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1067B	Associate Electric Analyst-B	Step A	37.7448	3019.58	6542.43	78509.18
		Step B	38.6766	3094.13	6703.94	80447.33
		Step C	39.6317	3170.54	6869.49	82433.94
		Step D	40.6105	3248.84	7039.15	84469.84
		Step E	41.6134	3329.07	7212.99	86555.87
		Step F	42.6411	3411.29	7391.12	88693.49
		Step G	43.6941	3495.53	7573.64	90883.73
		Step H	44.7732	3581.86	7760.69	93128.26
		Step I	45.8789	3670.31	7952.34	95428.11
		Step J	47.0119	3760.95	8148.73	97784.75
		Step K	48.1729	3853.83	8349.97	100199.63
		Step L	49.3626	3949.01	8556.18	102674.21
		Step M	50.5817	4046.54	8767.49	105209.94

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0910B	Budget Analyst I-B	Step A	36.3332	2906.66	6297.75	75573.06
		Step B	37.2300	2978.40	6453.20	77438.40
		Step C	38.1494	3051.95	6612.56	79350.75
		Step D	39.0916	3127.33	6775.88	81310.53
		Step E	40.0570	3204.56	6943.21	83318.56
		Step F	41.0462	3283.70	7114.67	85376.10
		Step G	42.0599	3364.79	7290.38	87484.59
		Step H	43.0986	3447.89	7470.42	89645.09
		Step I	44.1630	3533.04	7654.92	91859.04
		Step J	45.2536	3620.29	7843.96	94127.49
		Step K	46.3713	3709.70	8037.69	96452.30
		Step L	47.5164	3801.31	8236.18	98834.11
		Step M	48.6899	3895.19	8439.58	101274.99

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0915B	Budget Analyst II-B	Step A	39.9673	3197.38	6927.67	83131.98
		Step B	40.9539	3276.31	7098.68	85184.11
		Step C	41.9653	3357.22	7273.99	87287.82
		Step D	43.0016	3440.13	7453.61	89443.33
		Step E	44.0638	3525.10	7637.73	91652.70
		Step F	45.1519	3612.15	7826.33	93915.95
		Step G	46.2669	3701.35	8019.60	96235.15
		Step H	47.4095	3792.76	8217.65	98611.76
		Step I	48.5804	3886.43	8420.60	101047.23
		Step J	49.7801	3982.41	8628.55	103542.61
		Step K	51.0095	4080.76	8841.65	106099.76
		Step L	52.2692	4181.54	9059.99	108719.94
		Step M	53.5601	4284.81	9283.75	111405.01

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0930B	Budget Manager-B	Step A	55.2501	4420.01	9576.68	114920.21
		Step B	56.6145	4529.16	9813.18	117758.16
		Step C	58.0128	4641.02	10055.55	120666.62
		Step D	59.4455	4755.64	10303.89	123646.64
		Step E	60.9135	4873.08	10558.34	126700.08
		Step F	62.4178	4993.42	10819.09	129829.02
		Step G	63.9593	5116.74	11086.28	133035.34
		Step H	65.5389	5243.11	11360.08	136320.91
		Step I	67.1574	5372.59	11640.62	139687.39
		Step J	68.8159	5505.27	11928.09	143137.07
		Step K	70.5154	5641.23	12222.67	146672.03
		Step L	72.2568	5780.54	12524.51	150294.14
		Step M	74.0413	5923.30	12833.83	154005.90

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1017B	Building Inspection Supervisor-B	Step A	41.9600	3356.80	7273.07	87276.80
		Step B	42.9958	3439.66	7452.61	89431.26
		Step C	44.0576	3524.61	7636.65	91639.81
		Step D	45.1458	3611.66	7825.27	93903.26
		Step E	46.2606	3700.85	8018.50	96222.05
		Step F	47.4030	3792.24	8216.52	98598.24
		Step G	48.5738	3885.90	8419.46	101033.50
		Step H	49.7733	3981.86	8627.37	103528.46
		Step I	51.0024	4080.19	8840.42	106084.99
		Step J	52.2621	4180.97	9058.76	108705.17
		Step K	53.5527	4284.22	9282.47	111389.62
		Step L	54.8752	4390.02	9511.70	114140.42
		Step M	56.2304	4498.43	9746.60	116959.23

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1043B	Building Official-B	Step A	54.9262	4394.10	9520.54	114246.50
		Step B	56.2826	4502.61	9755.65	117067.81
		Step C	57.6726	4613.81	9996.58	119959.01
		Step D	59.0969	4727.75	10243.46	122921.55
		Step E	60.5565	4844.52	10496.46	125957.52
		Step F	62.0519	4964.15	10755.66	129067.95
		Step G	63.5843	5086.74	11021.28	132255.34
		Step H	65.1545	5212.36	11293.45	135521.36
		Step I	66.7636	5341.09	11572.36	138868.29
		Step J	68.4125	5473.00	11858.17	142298.00
		Step K	70.1019	5608.15	12151.00	145811.95
		Step L	71.8332	5746.66	12451.09	149413.06
		Step M	73.6071	5888.57	12758.56	153102.77

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1180B	Chief Information Officer-B	Step A	71.8710	5749.68	12457.64	149491.68
		Step B	73.6460	5891.68	12765.31	153183.68
		Step C	75.4647	6037.18	13080.55	156966.58
		Step D	77.3284	6186.27	13403.59	160843.07
		Step E	79.2381	6339.05	13734.60	164815.25
		Step F	81.1949	6495.59	14073.78	168885.39
		Step G	83.2001	6656.01	14421.35	173056.21
		Step H	85.2548	6820.38	14777.50	177329.98
		Step I	87.3602	6988.82	15142.43	181709.22
		Step J	89.5177	7161.42	15516.40	186196.82
		Step K	91.7285	7338.28	15899.61	190795.28
		Step L	93.9938	7519.50	16292.26	195507.10
		Step M	96.3151	7705.21	16694.62	200335.41

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1220B	City Clerk-B	Step A	68.2212	5457.70	11825.01	141900.10
		Step B	69.9060	5592.48	12117.04	145404.48
		Step C	71.6324	5730.59	12416.28	148995.39
		Step D	73.4014	5872.11	12722.91	152674.91
		Step E	75.2141	6017.13	13037.11	156445.33
		Step F	77.0716	6165.73	13359.08	160308.93
		Step G	78.9749	6317.99	13688.98	164267.79
		Step H	80.9253	6474.02	14027.05	168324.62
		Step I	82.9238	6633.90	14373.46	172481.50
		Step J	84.9717	6797.74	14728.43	176741.14
		Step K	87.0702	6965.62	15092.17	181106.02
		Step L	89.2205	7137.64	15464.89	185578.64
		Step M	91.4239	7313.91	15846.81	190161.71

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1368B	Code Enforcement Supervisor-B	Step A	41.3265	3306.12	7163.26	85959.12
		Step B	42.3471	3387.77	7340.16	88081.97
		Step C	43.3929	3471.43	7521.44	90257.23
		Step D	44.4646	3557.17	7707.20	92486.37
		Step E	45.5626	3645.01	7897.52	94770.21
		Step F	46.6878	3735.02	8092.55	97110.62
		Step G	47.8408	3827.26	8292.41	99508.86
		Step H	49.0224	3921.79	8497.22	101966.59
		Step I	50.2331	4018.65	8707.07	104484.85
		Step J	51.4735	4117.88	8922.07	107064.88
		Step K	52.7448	4219.58	9142.43	109709.18
		Step L	54.0473	4323.78	9368.20	112418.38
		Step M	55.3821	4430.57	9599.56	115194.77

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1027B	Construction Inspection Superintendent-B	Step A	45.1482	3611.86	7825.69	93908.26
		Step B	46.2627	3701.02	8018.87	96226.42
		Step C	47.4052	3792.42	8216.90	98602.82
		Step D	48.5760	3886.08	8419.84	101038.08
		Step E	49.7756	3982.05	8627.77	103533.25
		Step F	51.0048	4080.38	8840.83	106089.98
		Step G	52.2644	4181.15	9059.16	108709.95
		Step H	53.5551	4284.41	9282.88	111394.61
		Step I	54.8777	4390.22	9512.13	114145.62
		Step J	56.2330	4498.64	9747.05	116964.64
		Step K	57.6218	4609.74	9987.78	119853.34
		Step L	59.0448	4723.58	10234.43	122813.18
		Step M	60.5030	4840.24	10487.19	125846.24

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1025B	Custodian Supervisor-B	Step A	23.6737	1893.90	4103.44	49241.30
		Step B	24.2583	1940.66	4204.77	50457.26
		Step C	24.8574	1988.59	4308.62	51703.39
		Step D	25.4713	2037.70	4415.03	52980.30
		Step E	26.1003	2088.02	4524.05	54288.62
		Step F	26.7449	2139.59	4635.78	55629.39
		Step G	27.4054	2192.43	4750.27	57003.23
		Step H	28.0822	2246.58	4867.58	58410.98
		Step I	28.7757	2302.06	4987.79	59853.46
		Step J	29.4863	2358.90	5110.96	61331.50
		Step K	30.2146	2417.17	5237.20	62846.37
		Step L	30.9607	2476.86	5366.52	64398.26
		Step M	31.7254	2538.03	5499.07	65988.83

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9561B	Data Management System Administrator-B	Step A	48.2029	3856.23	8355.17	100262.03
		Step B	49.3929	3951.43	8561.44	102737.23
		Step C	50.6126	4049.01	8772.85	105274.21
		Step D	51.8625	4149.00	8989.50	107874.00
		Step E	53.1434	4251.47	9211.52	110538.27
		Step F	54.4557	4356.46	9438.99	113267.86
		Step G	55.8006	4464.05	9672.10	116065.25
		Step H	57.1787	4574.30	9910.97	118931.70
		Step I	58.5908	4687.26	10155.74	121868.86
		Step J	60.0378	4803.02	10406.55	124878.62
		Step K	61.5204	4921.63	10663.54	127962.43
		Step L	63.0398	5043.18	10926.90	131122.78
		Step M	64.5966	5167.73	11196.74	134360.93

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1645B	Department Public Information Officer-B	Step A	45.7855	3662.84	7936.15	95233.84
		Step B	46.9162	3753.30	8132.14	97585.70
		Step C	48.0749	3845.99	8332.98	99995.79
		Step D	49.2621	3940.97	8538.76	102465.17
		Step E	50.4787	4038.30	8749.64	104995.70
		Step F	51.7253	4138.02	8965.72	107588.62
		Step G	53.0027	4240.22	9187.13	110245.62
		Step H	54.3117	4344.94	9414.03	112968.34
		Step I	55.6530	4452.24	9646.52	115758.24
		Step J	57.0274	4562.19	9884.75	118616.99
		Step K	58.4358	4674.86	10128.87	121546.46
		Step L	59.8788	4790.30	10378.99	124547.90
		Step M	61.3576	4908.61	10635.32	127623.81

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1655B	Deputy City Attorney I-B	Step A	60.7614	4860.91	10531.98	126383.71
		Step B	62.2619	4980.95	10792.06	129504.75
		Step C	63.7996	5103.97	11058.60	132703.17
		Step D	65.3752	5230.02	11331.70	135980.42
		Step E	66.9896	5359.17	11611.53	139338.37
		Step F	68.6440	5491.52	11898.29	142779.52
		Step G	70.3392	5627.14	12192.13	146305.54
		Step H	72.0764	5766.11	12493.24	149918.91
		Step I	73.8564	5908.51	12801.78	153621.31
		Step J	75.6803	6054.42	13117.92	157415.02
		Step K	77.5494	6203.95	13441.90	161302.75
		Step L	79.4645	6357.16	13773.85	165286.16
		Step M	81.4269	6514.15	14114.00	169367.95

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1658B	Deputy City Attorney II-B	Step A	66.8376	5347.01	11585.18	139022.21
		Step B	68.4882	5479.06	11871.29	142455.46
		Step C	70.1795	5614.36	12164.45	145973.36
		Step D	71.9127	5753.02	12464.87	149578.42
		Step E	73.6887	5895.10	12772.71	153272.50
		Step F	75.5084	6040.67	13088.12	157057.47
		Step G	77.3733	6189.86	13411.37	160936.46
		Step H	79.2839	6342.71	13742.54	164910.51
		Step I	81.2420	6499.36	14081.95	168983.36
		Step J	83.2484	6659.87	14429.72	173156.67
		Step K	85.3043	6824.34	14786.08	177432.94
		Step L	87.4110	6992.88	15151.24	181814.88
		Step M	89.5696	7165.57	15525.40	186304.77

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1659B	Deputy City Manager-B	Step A	68.2212	5457.70	11825.01	141900.10
		Step B	69.9060	5592.48	12117.04	145404.48
		Step C	71.6324	5730.59	12416.28	148995.39
		Step D	73.4014	5872.11	12722.91	152674.91
		Step E	75.2141	6017.13	13037.11	156445.33
		Step F	77.0716	6165.73	13359.08	160308.93
		Step G	78.9749	6317.99	13688.98	164267.79
		Step H	80.9253	6474.02	14027.05	168324.62
		Step I	82.9238	6633.90	14373.46	172481.50
		Step J	84.9717	6797.74	14728.43	176741.14
		Step K	87.0702	6965.62	15092.17	181106.02
		Step L	89.2205	7137.64	15464.89	185578.64
		Step M	91.4239	7313.91	15846.81	190161.71

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1280B	Development Services Administrator-B	Step A	41.9600	3356.80	7273.07	87276.80
		Step B	42.9958	3439.66	7452.61	89431.26
		Step C	44.0576	3524.61	7636.65	91639.81
		Step D	45.1458	3611.66	7825.27	93903.26
		Step E	46.2606	3700.85	8018.50	96222.05
		Step F	47.4030	3792.24	8216.52	98598.24
		Step G	48.5738	3885.90	8419.46	101033.50
		Step H	49.7733	3981.86	8627.37	103528.46
		Step I	51.0024	4080.19	8840.42	106084.99
		Step J	52.2621	4180.97	9058.76	108705.17
		Step K	53.5527	4284.22	9282.47	111389.62
		Step L	54.8752	4390.02	9511.70	114140.42
		Step M	56.2304	4498.43	9746.60	116959.23

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1676B	Development Services Director-B	Step A	75.4648	6037.18	13080.57	156966.78
		Step B	77.3284	6186.27	13403.59	160843.07
		Step C	79.2380	6339.04	13734.59	164815.04
		Step D	81.1950	6495.60	14073.80	168885.60
		Step E	83.2001	6656.01	14421.35	173056.21
		Step F	85.2548	6820.38	14777.50	177329.98
		Step G	87.3603	6988.82	15142.45	181709.42
		Step H	89.5178	7161.42	15516.42	186197.02
		Step I	91.7286	7338.29	15899.62	190795.49
		Step J	93.9938	7519.50	16292.26	195507.10
		Step K	96.3151	7705.21	16694.62	200335.41
		Step L	98.6936	7895.49	17106.89	205282.69
		Step M	101.1310	8090.48	17529.37	210352.48

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1695B	Development Services Manager-B	Step A	49.9305	3994.44	8654.62	103855.44
		Step B	51.1636	4093.09	8868.36	106420.29
		Step C	52.4271	4194.17	9087.36	109048.37
		Step D	53.7218	4297.74	9311.78	111741.34
		Step E	55.0485	4403.88	9541.74	114500.88
		Step F	56.4080	4512.64	9777.39	117328.64
		Step G	57.8011	4624.09	10018.86	120226.29
		Step H	59.2286	4738.29	10266.29	123195.49
		Step I	60.6913	4855.30	10519.83	126237.90
		Step J	62.1901	4975.21	10779.62	129355.41
		Step K	63.7260	5098.08	11045.84	132550.08
		Step L	65.2997	5223.98	11318.61	135823.38
		Step M	66.9124	5352.99	11598.15	139177.79

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2415B	EMS Quality Assurance Coordinator-B	Step A	47.0174	3761.39	8149.68	97796.19
		Step B	48.1780	3854.24	8350.85	100210.24
		Step C	49.3678	3949.42	8557.09	102685.02
		Step D	50.5872	4046.98	8768.45	105221.38
		Step E	51.8365	4146.92	8984.99	107819.92
		Step F	53.1166	4249.33	9206.88	110482.53
		Step G	54.4283	4354.26	9434.24	113210.86
		Step H	55.7724	4461.79	9667.22	116006.59
		Step I	57.1498	4571.98	9905.97	118871.58
		Step J	58.5612	4684.90	10150.61	121807.30
		Step K	60.0074	4800.59	10401.28	124815.39
		Step L	61.4893	4919.14	10658.15	127897.74
		Step M	63.0079	5040.63	10921.37	131056.43

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6520B	ERP Manager-B	Step A	50.7919	4063.35	8803.93	105647.15
		Step B	52.0463	4163.70	9021.36	108256.30
		Step C	53.3316	4266.53	9244.14	110929.73
		Step D	54.6487	4371.90	9472.44	113669.30
		Step E	55.9983	4479.86	9706.37	116476.46
		Step F	57.3812	4590.50	9946.07	119352.90
		Step G	58.7983	4703.86	10191.71	122300.46
		Step H	60.2504	4820.03	10443.40	125320.83
		Step I	61.7383	4939.06	10701.31	128415.66
		Step J	63.2630	5061.04	10965.59	131587.04
		Step K	64.8253	5186.02	11236.39	134836.62
		Step L	66.4263	5314.10	11513.89	138166.70
		Step M	68.0668	5445.34	11798.25	141578.94

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1674B	Economic Development Analyst I-B	Step A	34.6046	2768.37	5998.13	71977.57
		Step B	35.4588	2836.70	6146.19	73754.30
		Step C	36.3345	2906.76	6297.98	75575.76
		Step D	37.2318	2978.54	6453.51	77442.14
		Step E	38.1513	3052.10	6612.89	79354.70
		Step F	39.0935	3127.48	6776.21	81314.48
		Step G	40.0590	3204.72	6943.56	83322.72
		Step H	41.0482	3283.86	7115.02	85380.26
		Step I	42.0620	3364.96	7290.75	87488.96
		Step J	43.1007	3448.06	7470.79	89649.46
		Step K	44.1651	3533.21	7655.28	91863.41
		Step L	45.2559	3620.47	7844.36	94132.27
		Step M	46.3735	3709.88	8038.07	96456.88

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1675B	Economic Development Analyst II-B	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.0048	3120.38	6760.83	81129.98
		Step C	39.9679	3197.43	6927.77	83133.23
		Step D	40.9550	3276.40	7098.87	85186.40
		Step E	41.9664	3357.31	7274.18	87290.11
		Step F	43.0029	3440.23	7453.84	89446.03
		Step G	44.0649	3525.19	7637.92	91654.99
		Step H	45.1531	3612.25	7826.54	93918.45
		Step I	46.2682	3701.46	8019.82	96237.86
		Step J	47.4109	3792.87	8217.89	98614.67
		Step K	48.5816	3886.53	8420.81	101049.73
		Step L	49.7815	3982.52	8628.79	103545.52
		Step M	51.0109	4080.87	8841.89	106102.67

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1710B	Economic Development Director-B	Step A	71.8744	5749.95	12458.23	149498.75
		Step B	73.6489	5891.91	12765.81	153189.71
		Step C	75.4677	6037.42	13081.07	156972.82
		Step D	77.3314	6186.51	13404.11	160849.31
		Step E	79.2412	6339.30	13735.14	164821.70
		Step F	81.1982	6495.86	14074.35	168892.26
		Step G	83.2035	6656.28	14421.94	173063.28
		Step H	85.2582	6820.66	14778.09	177337.06
		Step I	87.3638	6989.10	15143.06	181716.70
		Step J	89.5212	7161.70	15517.01	186204.10
		Step K	91.7322	7338.58	15900.25	190802.98
		Step L	93.9975	7519.80	16292.90	195514.80
		Step M	96.3188	7705.50	16695.26	200343.10

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1001B	Economic Development Project Manager-B	Step A	47.7550	3820.40	8277.53	99330.40
		Step B	48.9344	3914.75	8481.96	101783.55
		Step C	50.1429	4011.43	8691.44	104297.23
		Step D	51.3812	4110.50	8906.07	106872.90
		Step E	52.6501	4212.01	9126.02	109512.21
		Step F	53.9503	4316.02	9351.39	112216.62
		Step G	55.2827	4422.62	9582.33	114988.02
		Step H	56.6480	4531.84	9818.99	117827.84
		Step I	58.0470	4643.76	10061.48	120737.76
		Step J	59.4805	4758.44	10309.95	123719.44
		Step K	60.9493	4875.94	10564.55	126774.54
		Step L	62.4547	4996.38	10825.48	129905.78
		Step M	63.9970	5119.76	11092.81	133113.76

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1310B	Electric Business Analyst I-B	Step A	45.2937	3623.50	7850.91	94210.90
		Step B	46.4122	3712.98	8044.78	96537.38
		Step C	47.5584	3804.67	8243.46	98921.47
		Step D	48.7328	3898.62	8447.02	101364.22
		Step E	49.9364	3994.91	8655.64	103867.71
		Step F	51.1696	4093.57	8869.40	106432.77
		Step G	52.4333	4194.66	9088.44	109061.26
		Step H	53.7282	4298.26	9312.89	111754.66
		Step I	55.0551	4404.41	9542.88	114514.61
		Step J	56.4147	4513.18	9778.55	117342.58
		Step K	57.8080	4624.64	10020.05	120240.64
		Step L	59.2356	4738.85	10267.50	123210.05
		Step M	60.6984	4855.87	10521.06	126252.67

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1312B	Electric Business Analyst II-B	Step A	49.8227	3985.82	8635.93	103631.22
		Step B	51.0531	4084.25	8849.20	106190.45
		Step C	52.3139	4185.11	9067.74	108812.91
		Step D	53.6057	4288.46	9291.65	111499.86
		Step E	54.9297	4394.38	9521.15	114253.78
		Step F	56.2862	4502.90	9756.27	117075.30
		Step G	57.6763	4614.10	9997.23	119966.70
		Step H	59.1007	4728.06	10244.12	122929.46
		Step I	60.5602	4844.82	10497.10	125965.22
		Step J	62.0558	4964.46	10756.34	129076.06
		Step K	63.5883	5087.06	11021.97	132263.66
		Step L	65.1587	5212.70	11294.17	135530.10
		Step M	66.7678	5341.42	11573.09	138877.02

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1066B	Electric Compliance Analyst I-B	Step A	45.2938	3623.50	7850.93	94211.10
		Step B	46.4119	3712.95	8044.73	96536.75
		Step C	47.5581	3804.65	8243.40	98920.85
		Step D	48.7326	3898.61	8446.98	101363.81
		Step E	49.9361	3994.89	8655.59	103867.09
		Step F	51.1693	4093.54	8869.35	106432.14
		Step G	52.4330	4194.64	9088.39	109060.64
		Step H	53.7279	4298.23	9312.84	111754.03
		Step I	55.0547	4404.38	9542.81	114513.78
		Step J	56.4144	4513.15	9778.50	117341.95
		Step K	57.8076	4624.61	10019.98	120239.81
		Step L	59.2352	4738.82	10267.43	123209.22
		Step M	60.6981	4855.85	10521.00	126252.05

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2335B	Electric Compliance Analyst II-B	Step A	49.8235	3985.88	8636.07	103632.88
		Step B	51.0539	4084.31	8849.34	106192.11
		Step C	52.3148	4185.18	9067.90	108814.78
		Step D	53.6067	4288.54	9291.83	111501.94
		Step E	54.9306	4394.45	9521.30	114255.65
		Step F	56.2872	4502.98	9756.45	117077.38
		Step G	57.6772	4614.18	9997.38	119968.58
		Step H	59.1016	4728.13	10244.28	122931.33
		Step I	60.5612	4844.90	10497.27	125967.30
		Step J	62.0568	4964.54	10756.51	129078.14
		Step K	63.5893	5087.14	11022.15	132265.74
		Step L	65.1598	5212.78	11294.37	135532.38
		Step M	66.7689	5341.51	11573.28	138879.31

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6285B	Electric Customer Programs Supervisor-B	Step A	63.0263	5042.10	10924.56	131094.70
		Step B	64.5828	5166.62	11194.35	134332.22
		Step C	66.1777	5294.22	11470.80	137649.62
		Step D	67.8120	5424.96	11754.08	141048.96
		Step E	69.4867	5558.94	12044.36	144532.34
		Step F	71.2028	5696.22	12341.82	148101.82
		Step G	72.9612	5836.90	12646.61	151759.30
		Step H	74.7630	5981.04	12958.92	155507.04
		Step I	76.6094	6128.75	13278.96	159347.55
		Step J	78.5014	6280.11	13606.91	163282.91
		Step K	80.4400	6435.20	13942.93	167315.20
		Step L	82.4266	6594.13	14287.28	171447.33
		Step M	84.4622	6756.98	14640.11	175681.38

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2284B	Electric Engineering Technician Supervisor-B	Step A	53.8450	4307.60	9333.13	111997.60
		Step B	55.1747	4413.98	9563.61	114763.38
		Step C	56.5373	4522.98	9799.80	117597.58
		Step D	57.9334	4634.67	10041.79	120501.47
		Step E	59.3642	4749.14	10289.79	123477.54
		Step F	60.8304	4866.43	10543.94	126527.23
		Step G	62.3327	4986.62	10804.33	129652.02
		Step H	63.8719	5109.75	11071.13	132853.55
		Step I	65.4493	5235.94	11344.55	136134.54
		Step J	67.0657	5365.26	11624.72	139496.66
		Step K	68.7219	5497.75	11911.80	142941.55
		Step L	70.4191	5633.53	12205.98	146471.73
		Step M	72.1582	5772.66	12507.42	150089.06

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2338B	Electric Operations Manager-B	Step A	79.3586	6348.69	13755.49	165065.89
		Step B	81.3184	6505.47	14095.19	169142.27
		Step C	83.3266	6666.13	14443.28	173319.33
		Step D	85.3845	6830.76	14799.98	177599.76
		Step E	87.4932	6999.46	15165.49	181985.86
		Step F	89.6539	7172.31	15540.01	186480.11
		Step G	91.8680	7349.44	15923.79	191085.44
		Step H	94.1367	7530.94	16317.03	195804.34
		Step I	96.4614	7716.91	16719.98	200639.71
		Step J	98.8437	7907.50	17132.91	205594.90
		Step K	101.2848	8102.78	17556.03	210672.38
		Step L	103.7862	8302.90	17989.61	215875.30
		Step M	106.3492	8507.94	18433.86	221206.34

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2339B	Electric Operations Supervisor-B	Step A	72.1408	5771.26	12504.41	150052.86
		Step B	73.9223	5913.78	12813.20	153758.38
		Step C	75.7480	6059.84	13129.65	157555.84
		Step D	77.6186	6209.49	13453.89	161446.69
		Step E	79.5355	6362.84	13786.15	165433.84
		Step F	81.4997	6519.98	14126.61	169519.38
		Step G	83.5124	6680.99	14475.48	173705.79
		Step H	85.5749	6845.99	14832.98	177995.79
		Step I	87.6882	7015.06	15199.29	182391.46
		Step J	89.8538	7188.30	15574.66	186895.90
		Step K	92.0729	7365.83	15959.30	191511.63
		Step L	94.3466	7547.73	16353.41	196240.93
		Step M	96.6766	7734.13	16757.28	201087.33

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Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2314B	Electric Resources Planner I-B	Step A	52.0717	4165.74	9025.76	108309.14
		Step B	53.3576	4268.61	9248.65	110983.81
		Step C	54.6753	4374.02	9477.05	113724.62
		Step D	56.0255	4482.04	9711.09	116533.04
		Step E	57.4092	4592.74	9950.93	119411.14
		Step F	58.8270	4706.16	10196.68	122360.16
		Step G	60.2797	4822.38	10448.48	125381.78
		Step H	61.7684	4941.47	10706.52	128478.27
		Step I	63.2939	5063.51	10970.94	131651.31
		Step J	64.8569	5188.55	11241.86	134902.35
		Step K	66.4586	5316.69	11519.49	138233.89
		Step L	68.1000	5448.00	11804.00	141648.00
		Step M	69.7818	5582.54	12095.51	145146.14

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2316B	Electric Resources Planner II-B	Step A	57.2788	4582.30	9928.33	119139.90
		Step B	58.6933	4695.46	10173.51	122082.06
		Step C	60.1429	4811.43	10424.77	125097.23
		Step D	61.6282	4930.26	10682.22	128186.66
		Step E	63.1501	5052.01	10946.02	131352.21
		Step F	64.7096	5176.77	11216.33	134595.97
		Step G	66.3078	5304.62	11493.35	137920.22
		Step H	67.9453	5435.62	11777.19	141326.22
		Step I	69.6232	5569.86	12068.02	144816.26
		Step J	71.3427	5707.42	12366.07	148392.82
		Step K	73.1046	5848.37	12671.46	152057.57
		Step L	74.9100	5992.80	12984.40	155812.80
		Step M	76.7600	6140.80	13305.07	159660.80

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2216B	Electric Risk and Compliance Supervisor-B	Step A	63.0227	5041.82	10923.93	131087.22
		Step B	64.5792	5166.34	11193.73	134324.74
		Step C	66.1740	5293.92	11470.16	137641.92
		Step D	67.8082	5424.66	11753.42	141041.06
		Step E	69.4829	5558.63	12043.70	144524.43
		Step F	71.1987	5695.90	12341.11	148093.30
		Step G	72.9571	5836.57	12645.90	151750.77
		Step H	74.7589	5980.71	12958.21	155498.51
		Step I	76.6051	6128.41	13278.22	159338.61
		Step J	78.4970	6279.76	13606.15	163273.76
		Step K	80.4355	6434.84	13942.15	167305.84
		Step L	82.4220	6593.76	14286.48	171437.76
		Step M	84.4574	6756.59	14639.28	175671.39

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2352B	Electric Safety Coordinator-B	Step A	38.0651	3045.21	6597.95	79175.41
		Step B	39.0048	3120.38	6760.83	81129.98
		Step C	39.9679	3197.43	6927.77	83133.23
		Step D	40.9550	3276.40	7098.87	85186.40
		Step E	41.9664	3357.31	7274.18	87290.11
		Step F	43.0029	3440.23	7453.84	89446.03
		Step G	44.0649	3525.19	7637.92	91654.99
		Step H	45.1531	3612.25	7826.54	93918.45
		Step I	46.2682	3701.46	8019.82	96237.86
		Step J	47.4109	3792.87	8217.89	98614.67
		Step K	48.5816	3886.53	8420.81	101049.73
		Step L	49.7815	3982.52	8628.79	103545.52
		Step M	51.0109	4080.87	8841.89	106102.67

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2319B	Electric Substation Supervisor-B	Step A	72.1408	5771.26	12504.41	150052.86
		Step B	73.9223	5913.78	12813.20	153758.38
		Step C	75.7480	6059.84	13129.65	157555.84
		Step D	77.6186	6209.49	13453.89	161446.69
		Step E	79.5355	6362.84	13786.15	165433.84
		Step F	81.4997	6519.98	14126.61	169519.38
		Step G	83.5124	6680.99	14475.48	173705.79
		Step H	85.5749	6845.99	14832.98	177995.79
		Step I	87.6882	7015.06	15199.29	182391.46
		Step J	89.8538	7188.30	15574.66	186895.90
		Step K	92.0729	7365.83	15959.30	191511.63
		Step L	94.3466	7547.73	16353.41	196240.93
		Step M	96.6766	7734.13	16757.28	201087.33

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9560B	Electric Technology Systems Administrator-B	Step A	63.0271	5042.17	10924.70	131096.37
		Step B	64.5828	5166.62	11194.35	134332.22
		Step C	66.1778	5294.22	11470.82	137649.82
		Step D	67.8121	5424.97	11754.10	141049.17
		Step E	69.4868	5558.94	12044.38	144532.54
		Step F	71.2029	5696.23	12341.84	148102.03
		Step G	72.9613	5836.90	12646.63	151759.50
		Step H	74.7630	5981.04	12958.92	155507.04
		Step I	76.6095	6128.76	13278.98	159347.76
		Step J	78.5014	6280.11	13606.91	163282.91
		Step K	80.4401	6435.21	13942.95	167315.41
		Step L	82.4267	6594.14	14287.29	171447.54
		Step M	84.4622	6756.98	14640.11	175681.38

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2320B	Electric Utility Director-B	Step A	114.0795	9126.36	19773.78	237285.36
		Step B	116.8969	9351.75	20262.13	243145.55
		Step C	119.7837	9582.70	20762.51	249150.10
		Step D	122.7419	9819.35	21275.26	255303.15
		Step E	125.7732	10061.86	21800.69	261608.26
		Step F	128.8792	10310.34	22339.06	268068.74
		Step G	132.0621	10564.97	22890.76	274689.17
		Step H	135.3234	10825.87	23456.06	281472.67
		Step I	138.6654	11093.23	24035.34	288424.03
		Step J	142.0899	11367.19	24628.92	295546.99
		Step K	145.5990	11647.92	25237.16	302845.92
		Step L	149.1946	11935.57	25860.40	310324.77
		Step M	152.8791	12230.33	26499.04	317988.53

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2215B	Electric Utility Financial Administrator-B	Step A	63.0259	5042.07	10924.49	131093.87
		Step B	64.5823	5166.58	11194.27	134331.18
		Step C	66.1773	5294.18	11470.73	137648.78
		Step D	67.8116	5424.93	11754.01	141048.13
		Step E	69.4863	5558.90	12044.29	144531.50
		Step F	71.2024	5696.19	12341.75	148100.99
		Step G	72.9607	5836.86	12646.52	151758.26
		Step H	74.7626	5981.01	12958.85	155506.21
		Step I	76.6090	6128.72	13278.89	159346.72
		Step J	78.5009	6280.07	13606.82	163281.87
		Step K	80.4395	6435.16	13942.85	167314.16
		Step L	82.4261	6594.09	14287.19	171446.29
		Step M	84.4617	6756.94	14640.03	175680.34

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2378B	Electronic Maintenance Coordinator-B	Step A	40.3946	3231.57	7001.73	84020.77
		Step B	41.3923	3311.38	7174.67	86095.98
		Step C	42.4145	3393.16	7351.85	88222.16
		Step D	43.4619	3476.95	7533.40	90400.75
		Step E	44.5353	3562.82	7719.45	92633.42
		Step F	45.6350	3650.80	7910.07	94920.80
		Step G	46.7621	3740.97	8105.43	97265.17
		Step H	47.9170	3833.36	8305.61	99667.36
		Step I	49.1004	3928.03	8510.74	102128.83
		Step J	50.3129	4025.03	8720.90	104650.83
		Step K	51.5554	4124.43	8936.27	107235.23
		Step L	52.8286	4226.29	9156.96	109883.49
		Step M	54.1333	4330.66	9383.11	112597.26

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2500B	Energy Services Account Representative I-B	Step A	45.4839	3638.71	7883.88	94606.51
		Step B	46.6072	3728.58	8078.58	96942.98
		Step C	47.7581	3820.65	8278.07	99336.85
		Step D	48.9376	3915.01	8482.52	101790.21
		Step E	50.1461	4011.69	8691.99	104303.89
		Step F	51.3846	4110.77	8906.66	106879.97
		Step G	52.6536	4212.29	9126.62	109519.49
		Step H	53.9538	4316.30	9351.99	112223.90
		Step I	55.2863	4422.90	9582.96	114995.50
		Step J	56.6517	4532.14	9819.63	117835.54
		Step K	58.0508	4644.06	10062.14	120745.66
		Step L	59.4844	4758.75	10310.63	123727.55
		Step M	60.9534	4876.27	10565.26	126783.07

City of Roseville

Salary Schedule: Management-B

Appendix:N
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MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2501B	Energy Services Account Representative II-B	Step A	50.0324	4002.59	8672.28	104067.39
		Step B	51.2680	4101.44	8886.45	106637.44
		Step C	52.5341	4202.73	9105.91	109270.93
		Step D	53.8315	4306.52	9330.79	111969.52
		Step E	55.1609	4412.87	9561.22	114734.67
		Step F	56.5231	4521.85	9797.34	117568.05
		Step G	57.9190	4633.52	10039.29	120471.52
		Step H	59.3494	4747.95	10287.23	123446.75
		Step I	60.8152	4865.22	10541.30	126495.62
		Step J	62.3170	4985.36	10801.61	129619.36
		Step K	63.8560	5108.48	11068.37	132820.48
		Step L	65.4330	5234.64	11341.72	136100.64
		Step M	67.0489	5363.91	11621.81	139461.71

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1277B	Engineering Manager-B	Step A	60.3417	4827.34	10459.23	125510.74
		Step B	61.8309	4946.47	10717.36	128608.27
		Step C	63.3579	5068.63	10982.04	131784.43
		Step D	64.9226	5193.81	11253.25	135039.01
		Step E	66.5259	5322.07	11531.16	138373.87
		Step F	68.1689	5453.51	11815.94	141791.31
		Step G	69.8524	5588.19	12107.75	145292.99
		Step H	71.5774	5726.19	12406.75	148880.99
		Step I	73.3452	5867.62	12713.17	152558.02
		Step J	75.1566	6012.53	13027.14	156325.73
		Step K	77.0125	6161.00	13348.83	160186.00
		Step L	78.9144	6313.15	13678.50	164141.95
		Step M	80.8634	6469.07	14016.32	168195.87

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2540B	Environmental Coordinator-B	Step A	43.7750	3502.00	7587.67	91052.00
		Step B	44.8557	3588.46	7774.99	93299.86
		Step C	45.9634	3677.07	7966.99	95603.87
		Step D	47.0986	3767.89	8163.76	97965.09
		Step E	48.2618	3860.94	8365.38	100384.54
		Step F	49.4536	3956.29	8571.96	102863.49
		Step G	50.6748	4053.98	8783.63	105403.58
		Step H	51.9263	4154.10	9000.56	108006.70
		Step I	53.2088	4256.70	9222.86	110674.30
		Step J	54.5227	4361.82	9450.60	113407.22
		Step K	55.8692	4469.54	9683.99	116207.94
		Step L	57.2490	4579.92	9923.16	119077.92
		Step M	58.6628	4693.02	10168.22	122018.62

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2548B	Environmental Utilities Business Administrator-B	Step A	50.5616	4044.93	8764.01	105168.13
		Step B	51.8097	4144.78	8980.35	107764.18
		Step C	53.0893	4247.14	9202.15	110425.74
		Step D	54.4003	4352.02	9429.39	113152.62
		Step E	55.7438	4459.50	9662.26	115947.10
		Step F	57.1205	4569.64	9900.89	118810.64
		Step G	58.5311	4682.49	10145.39	121744.69
		Step H	59.9766	4798.13	10395.94	124751.33
		Step I	61.4578	4916.62	10652.69	127832.22
		Step J	62.9755	5038.04	10915.75	130989.04
		Step K	64.5307	5162.46	11185.32	134223.86
		Step L	66.1244	5289.95	11461.56	137538.75
		Step M	67.7574	5420.59	11744.62	140935.39

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2546B	Environmental Utilities Business Analyst I-B	Step A	36.3332	2906.66	6297.75	75573.06
		Step B	37.2300	2978.40	6453.20	77438.40
		Step C	38.1494	3051.95	6612.56	79350.75
		Step D	39.0916	3127.33	6775.88	81310.53
		Step E	40.0570	3204.56	6943.21	83318.56
		Step F	41.0462	3283.70	7114.67	85376.10
		Step G	42.0599	3364.79	7290.38	87484.59
		Step H	43.0986	3447.89	7470.42	89645.09
		Step I	44.1630	3533.04	7654.92	91859.04
		Step J	45.2536	3620.29	7843.96	94127.49
		Step K	46.3713	3709.70	8037.69	96452.30
		Step L	47.5164	3801.31	8236.18	98834.11
		Step M	48.6899	3895.19	8439.58	101274.99

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2547B	Environmental Utilities Business Analyst II-B	Step A	39.9673	3197.38	6927.67	83131.98
		Step B	40.9539	3276.31	7098.68	85184.11
		Step C	41.9653	3357.22	7273.99	87287.82
		Step D	43.0016	3440.13	7453.61	89443.33
		Step E	44.0638	3525.10	7637.73	91652.70
		Step F	45.1519	3612.15	7826.33	93915.95
		Step G	46.2669	3701.35	8019.60	96235.15
		Step H	47.4095	3792.76	8217.65	98611.76
		Step I	48.5804	3886.43	8420.60	101047.23
		Step J	49.7801	3982.41	8628.55	103542.61
		Step K	51.0095	4080.76	8841.65	106099.76
		Step L	52.2692	4181.54	9059.99	108719.94
		Step M	53.5601	4284.81	9283.75	111405.01

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1684B	Environmental Utilities Director-B	Step A	86.7375	6939.00	15034.50	180414.00
		Step B	88.8796	7110.37	15405.80	184869.57
		Step C	91.0746	7285.97	15786.26	189435.17
		Step D	93.3238	7465.90	16176.13	194113.50
		Step E	95.6285	7650.28	16575.61	198907.28
		Step F	97.9903	7839.22	16984.99	203819.82
		Step G	100.4101	8032.81	17404.42	208853.01
		Step H	102.8899	8231.19	17834.25	214010.99
		Step I	105.4309	8434.47	18274.69	219296.27
		Step J	108.0346	8642.77	18726.00	224711.97
		Step K	110.7026	8856.21	19188.45	230261.41
		Step L	113.4365	9074.92	19662.33	235947.92
		Step M	116.2380	9299.04	20147.92	241775.04

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1024B	Environmental Utilities Maintenance Superintendent-B	Step A	51.3496	4107.97	8900.60	106807.17
		Step B	52.6177	4209.42	9120.40	109444.82
		Step C	53.9171	4313.37	9345.63	112147.57
		Step D	55.2487	4419.90	9576.44	114917.30
		Step E	56.6131	4529.05	9812.94	117755.25
		Step F	58.0112	4640.90	10055.27	120663.30
		Step G	59.4439	4755.51	10303.61	123643.31
		Step H	60.9119	4872.95	10558.06	126696.75
		Step I	62.4162	4993.30	10818.81	129825.70
		Step J	63.9576	5116.61	11085.98	133031.81
		Step K	65.5371	5242.97	11359.76	136317.17
		Step L	67.1556	5372.45	11640.30	139683.65
		Step M	68.8141	5505.13	11927.78	143133.33

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2541B	Environmental Utility Compliance Administrator-B	Step A	43.7730	3501.84	7587.32	91047.84
		Step B	44.8533	3588.26	7774.57	93294.86
		Step C	45.9610	3676.88	7966.57	95598.88
		Step D	47.0961	3767.69	8163.32	97959.89
		Step E	48.2592	3860.74	8364.93	100379.14
		Step F	49.4510	3956.08	8571.51	102858.08
		Step G	50.6722	4053.78	8783.18	105398.18
		Step H	51.9236	4153.89	9000.09	108001.09
		Step I	53.2060	4256.48	9222.37	110668.48
		Step J	54.5200	4361.60	9450.13	113401.60
		Step K	55.8663	4469.30	9683.49	116201.90
		Step L	57.2460	4579.68	9922.64	119071.68
		Step M	58.6597	4692.78	10167.68	122012.18

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3395B	Facilities Manager-B	Step A	47.3064	3784.51	8199.78	98397.31
		Step B	48.4747	3877.98	8402.28	100827.38
		Step C	49.6719	3973.75	8609.80	103317.55
		Step D	50.8985	4071.88	8822.41	105868.88
		Step E	52.1555	4172.44	9040.29	108483.44
		Step F	53.4436	4275.49	9263.56	111162.69
		Step G	54.7633	4381.06	9492.31	113907.66
		Step H	56.1158	4489.26	9726.74	116720.86
		Step I	57.5017	4600.14	9966.96	119603.54
		Step J	58.9217	4713.74	10213.09	122557.14
		Step K	60.3769	4830.15	10465.33	125583.95
		Step L	61.8679	4949.43	10723.77	128685.23
		Step M	63.3958	5071.66	10988.61	131863.26

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
4321B	Facilities Supervisor-B	Step A	36.0457	2883.66	6247.92	74975.06
		Step B	36.9358	2954.86	6402.21	76826.46
		Step C	37.8479	3027.83	6560.30	78723.63
		Step D	38.7827	3102.62	6722.33	80668.02
		Step E	39.7404	3179.23	6888.34	82660.03
		Step F	40.7219	3257.75	7058.46	84701.55
		Step G	41.7276	3338.21	7232.78	86793.41
		Step H	42.7580	3420.64	7411.39	88936.64
		Step I	43.8141	3505.13	7594.44	91133.33
		Step J	44.8960	3591.68	7781.97	93383.68
		Step K	46.0048	3680.38	7974.17	95689.98
		Step L	47.1409	3771.27	8171.09	98053.07
		Step M	48.3051	3864.41	8372.88	100474.61

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2900B	Finance Manager-B	Step A	55.2501	4420.01	9576.68	114920.21
		Step B	56.6145	4529.16	9813.18	117758.16
		Step C	58.0128	4641.02	10055.55	120666.62
		Step D	59.4455	4755.64	10303.89	123646.64
		Step E	60.9135	4873.08	10558.34	126700.08
		Step F	62.4178	4993.42	10819.09	129829.02
		Step G	63.9593	5116.74	11086.28	133035.34
		Step H	65.5389	5243.11	11360.08	136320.91
		Step I	67.1574	5372.59	11640.62	139687.39
		Step J	68.8159	5505.27	11928.09	143137.07
		Step K	70.5154	5641.23	12222.67	146672.03
		Step L	72.2568	5780.54	12524.51	150294.14
		Step M	74.0413	5923.30	12833.83	154005.90

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0905ZB	Fire Battalion Chief 8Hr-ZB	Step A	52.6723	4213.78	9129.87	109558.38
		Step B	53.9726	4317.81	9355.25	112263.01
		Step C	55.3054	4424.43	9586.27	115035.23
		Step D	56.6714	4533.71	9823.04	117876.51
		Step E	58.0710	4645.68	10065.64	120787.68
		Step F	59.5051	4760.41	10314.22	123770.61
		Step G	60.9744	4877.95	10568.90	126826.75
		Step H	62.4803	4998.42	10829.92	129959.02
		Step I	64.0233	5121.86	11097.37	133168.46
		Step J	65.6045	5248.36	11371.45	136457.36
		Step K	67.2249	5377.99	11652.32	139827.79
		Step L	68.8850	5510.80	11940.07	143280.80
		Step M	70.5861	5646.89	12234.92	146819.09

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0905B	Fire Battalion Chief-B	Step A	37.6231	4213.79	9129.87	109558.47
		Step B	38.5519	4317.81	9355.26	112263.13
		Step C	39.5039	4424.44	9586.28	115035.36
		Step D	40.4796	4533.72	9823.05	117876.60
		Step E	41.4793	4645.68	10065.64	120787.72
		Step F	42.5037	4760.41	10314.23	123770.77
		Step G	43.5532	4877.96	10568.91	126826.92
		Step H	44.6288	4998.43	10829.92	129959.07
		Step I	45.7310	5121.87	11097.39	133168.67
		Step J	46.8604	5248.36	11371.46	136457.48
		Step K	48.0178	5377.99	11652.32	139827.83
		Step L	49.2036	5510.80	11940.07	143280.88
		Step M	50.4187	5646.89	12234.94	146819.25

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3330B	Fire Chief-B	Step A	75.7064	6056.51	13122.44	157469.31
		Step B	77.5751	6206.01	13446.35	161356.21
		Step C	79.4909	6359.27	13778.42	165341.07
		Step D	81.4540	6516.32	14118.69	169424.32
		Step E	83.4656	6677.25	14467.37	173608.45
		Step F	85.5268	6842.14	14824.65	177895.74
		Step G	87.6391	7011.13	15190.78	182289.33
		Step H	89.8034	7184.27	15565.92	186791.07
		Step I	92.0212	7361.70	15950.34	191404.10
		Step J	94.2936	7543.49	16344.22	196130.69
		Step K	96.6224	7729.79	16747.88	200974.59
		Step L	99.0086	7920.69	17161.49	205937.89
		Step M	101.4537	8116.30	17585.31	211023.70

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3332B	Fire Division Chief-B	Step A	57.9400	4635.20	10042.93	120515.20
		Step B	59.3702	4749.62	10290.83	123490.02
		Step C	60.8364	4866.91	10544.98	126539.71
		Step D	62.3389	4987.11	10805.41	129664.91
		Step E	63.8784	5110.27	11072.26	132867.07
		Step F	65.4559	5236.47	11345.69	136148.27
		Step G	67.0724	5365.79	11625.88	139510.59
		Step H	68.7288	5498.30	11912.99	142955.90
		Step I	70.4262	5634.10	12207.21	146486.50
		Step J	72.1655	5773.24	12508.69	150104.24
		Step K	73.9476	5915.81	12817.58	153811.01
		Step L	75.7738	6061.90	13134.13	157609.50
		Step M	77.6451	6211.61	13458.48	161501.81

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3332ZB	Fire Divison Chief 24Hr-ZB	Step A	41.3857	4635.20	10042.93	120515.16
		Step B	42.4072	4749.61	10290.81	123489.77
		Step C	43.4545	4866.90	10544.96	126539.50
		Step D	44.5277	4987.10	10805.39	129664.66
		Step E	45.6273	5110.26	11072.22	132866.70
		Step F	46.7542	5236.47	11345.69	136148.23
		Step G	47.9088	5365.79	11625.87	139510.43
		Step H	49.0920	5498.30	11912.99	142955.90
		Step I	50.3043	5634.08	12207.18	146486.12
		Step J	51.5467	5773.23	12508.67	150103.99
		Step K	52.8197	5915.81	12817.58	153810.97
		Step L	54.1241	6061.90	13134.11	157609.38
		Step M	55.4607	6211.60	13458.46	161501.56

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3392B	Fleet Manager-B	Step A	47.3064	3784.51	8199.78	98397.31
		Step B	48.4747	3877.98	8402.28	100827.38
		Step C	49.6719	3973.75	8609.80	103317.55
		Step D	50.8985	4071.88	8822.41	105868.88
		Step E	52.1555	4172.44	9040.29	108483.44
		Step F	53.4436	4275.49	9263.56	111162.69
		Step G	54.7633	4381.06	9492.31	113907.66
		Step H	56.1158	4489.26	9726.74	116720.86
		Step I	57.5017	4600.14	9966.96	119603.54
		Step J	58.9217	4713.74	10213.09	122557.14
		Step K	60.3769	4830.15	10465.33	125583.95
		Step L	61.8679	4949.43	10723.77	128685.23
		Step M	63.3958	5071.66	10988.61	131863.26

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
2565B	Fleet Services Supervisor-B	Step A	34.7225	2777.80	6018.57	72222.80
		Step B	35.5800	2846.40	6167.20	74006.40
		Step C	36.4586	2916.69	6319.49	75833.89
		Step D	37.3590	2988.72	6475.56	77706.72
		Step E	38.2817	3062.54	6635.49	79625.94
		Step F	39.2271	3138.17	6799.36	81592.37
		Step G	40.1958	3215.66	6967.27	83607.26
		Step H	41.1884	3295.07	7139.32	85671.87
		Step I	42.2057	3376.46	7315.65	87787.86
		Step J	43.2479	3459.83	7496.30	89955.63
		Step K	44.3160	3545.28	7681.44	92177.28
		Step L	45.4104	3632.83	7871.14	94453.63
		Step M	46.5319	3722.55	8065.53	96786.35

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3451B	Government Relations Administrator-B	Step A	49.8797	3990.38	8645.81	103749.78
		Step B	51.1114	4088.91	8859.31	106311.71
		Step C	52.3737	4189.90	9078.11	108937.30
		Step D	53.6671	4293.37	9302.30	111627.57
		Step E	54.9925	4399.40	9532.03	114384.40
		Step F	56.3506	4508.05	9767.44	117209.25
		Step G	57.7423	4619.38	10008.67	120103.98
		Step H	59.1682	4733.46	10255.82	123069.86
		Step I	60.6295	4850.36	10509.11	126109.36
		Step J	62.1268	4970.14	10768.65	129223.74
		Step K	63.6611	5092.89	11034.59	132415.09
		Step L	65.2332	5218.66	11307.09	135685.06
		Step M	66.8442	5347.54	11586.33	139035.94

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1647B	Government Relations Representative I-B	Step A	34.6046	2768.37	5998.13	71977.57
		Step B	35.4588	2836.70	6146.19	73754.30
		Step C	36.3345	2906.76	6297.98	75575.76
		Step D	37.2318	2978.54	6453.51	77442.14
		Step E	38.1513	3052.10	6612.89	79354.70
		Step F	39.0935	3127.48	6776.21	81314.48
		Step G	40.0590	3204.72	6943.56	83322.72
		Step H	41.0482	3283.86	7115.02	85380.26
		Step I	42.0620	3364.96	7290.75	87488.96
		Step J	43.1007	3448.06	7470.79	89649.46
		Step K	44.1651	3533.21	7655.28	91863.41
		Step L	45.2559	3620.47	7844.36	94132.27
		Step M	46.3735	3709.88	8038.07	96456.88

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1648B	Government Relations Representative II-B	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.0048	3120.38	6760.83	81129.98
		Step C	39.9679	3197.43	6927.77	83133.23
		Step D	40.9550	3276.40	7098.87	85186.40
		Step E	41.9664	3357.31	7274.18	87290.11
		Step F	43.0029	3440.23	7453.84	89446.03
		Step G	44.0649	3525.19	7637.92	91654.99
		Step H	45.1531	3612.25	7826.54	93918.45
		Step I	46.2682	3701.46	8019.82	96237.86
		Step J	47.4109	3792.87	8217.89	98614.67
		Step K	48.5816	3886.53	8420.81	101049.73
		Step L	49.7815	3982.52	8628.79	103545.52
		Step M	51.0109	4080.87	8841.89	106102.67

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3589B	Housing Manager-B	Step A	47.7518	3820.14	8276.98	99323.74
		Step B	48.9324	3914.59	8481.62	101779.39
		Step C	50.1409	4011.27	8691.09	104293.07
		Step D	51.3793	4110.34	8905.75	106868.94
		Step E	52.6482	4211.86	9125.69	109508.26
		Step F	53.9483	4315.86	9351.04	112212.46
		Step G	55.2806	4422.45	9581.97	114983.65
		Step H	56.6458	4531.66	9818.61	117823.26
		Step I	58.0446	4643.57	10061.06	120732.77
		Step J	59.4782	4758.26	10309.55	123714.66
		Step K	60.9472	4875.78	10564.18	126770.18
		Step L	62.4521	4996.17	10825.03	129900.37
		Step M	63.9945	5119.56	11092.38	133108.56

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3590B	Housing Supervisor-B	Step A	39.7972	3183.78	6898.18	82778.18
		Step B	40.7795	3262.36	7068.45	84821.36
		Step C	41.7864	3342.91	7242.98	86915.71
		Step D	42.8185	3425.48	7421.87	89062.48
		Step E	43.8759	3510.07	7605.16	91261.87
		Step F	44.9595	3596.76	7792.98	93515.76
		Step G	46.0699	3685.59	7985.45	95825.39
		Step H	47.2076	3776.61	8182.65	98191.81
		Step I	48.3734	3869.87	8384.72	100616.67
		Step J	49.5681	3965.45	8591.80	103101.65
		Step K	50.7921	4063.37	8803.96	105647.57
		Step L	52.0466	4163.73	9021.41	108256.93
		Step M	53.3319	4266.55	9244.20	110930.35

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3594B	Human Resources Analyst I-B	Step A	35.0475	2803.80	6074.90	72898.80
		Step B	35.9130	2873.04	6224.92	74699.04
		Step C	36.8000	2944.00	6378.67	76544.00
		Step D	37.7087	3016.70	6536.17	78434.10
		Step E	38.6399	3091.19	6697.58	80370.99
		Step F	39.5942	3167.54	6862.99	82355.94
		Step G	40.5720	3245.76	7032.48	84389.76
		Step H	41.5740	3325.92	7206.16	86473.92
		Step I	42.6008	3408.06	7384.14	88609.66
		Step J	43.6528	3492.22	7566.49	90797.82
		Step K	44.7309	3578.47	7753.36	93040.27
		Step L	45.8355	3666.84	7944.82	95337.84
		Step M	46.9675	3757.40	8141.03	97692.40

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3596B	Human Resources Analyst II-B	Step A	38.5522	3084.18	6682.38	80188.58
		Step B	39.5044	3160.35	6847.43	82169.15
		Step C	40.4799	3238.39	7016.52	84198.19
		Step D	41.4796	3318.37	7189.80	86277.57
		Step E	42.5040	3400.32	7367.36	88408.32
		Step F	43.5537	3484.30	7549.31	90591.70
		Step G	44.6293	3570.34	7735.75	92828.94
		Step H	45.7314	3658.51	7926.78	95121.31
		Step I	46.8608	3748.86	8122.54	97470.46
		Step J	48.0181	3841.45	8323.14	99877.65
		Step K	49.2039	3936.31	8528.68	102344.11
		Step L	50.4191	4033.53	8739.31	104871.73
		Step M	51.6642	4133.14	8955.13	107461.54

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3598B	Human Resources Director-B	Step A	71.8713	5749.70	12457.69	149492.30
		Step B	73.6462	5891.70	12765.34	153184.10
		Step C	75.4650	6037.20	13080.60	156967.20
		Step D	77.3286	6186.29	13403.62	160843.49
		Step E	79.2384	6339.07	13734.66	164815.87
		Step F	81.1952	6495.62	14073.83	168886.02
		Step G	83.2004	6656.03	14421.40	173056.83
		Step H	85.2552	6820.42	14777.57	177330.82
		Step I	87.3606	6988.85	15142.50	181710.05
		Step J	89.5181	7161.45	15516.47	186197.65
		Step K	91.7287	7338.30	15899.64	190795.70
		Step L	93.9941	7519.53	16292.31	195507.73
		Step M	96.3154	7705.23	16694.67	200336.03

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3599B	Human Resources Manager-B	Step A	47.6869	3814.95	8265.73	99188.75
		Step B	48.8642	3909.14	8469.79	101637.54
		Step C	50.0710	4005.68	8678.97	104147.68
		Step D	51.3074	4104.59	8893.28	106719.39
		Step E	52.5746	4205.97	9112.93	109355.17
		Step F	53.8731	4309.85	9338.00	112056.05
		Step G	55.2035	4416.28	9568.61	114823.28
		Step H	56.5667	4525.34	9804.89	117658.74
		Step I	57.9638	4637.10	10047.06	120564.70
		Step J	59.3953	4751.62	10295.19	123542.22
		Step K	60.8620	4868.96	10549.41	126592.96
		Step L	62.3651	4989.21	10809.95	129719.41
		Step M	63.9053	5112.42	11076.92	132923.02

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1002B	Hydrogeologist-B	Step A	53.6354	4290.83	9296.80	111561.63
		Step B	54.9593	4396.74	9526.28	114315.34
		Step C	56.3166	4505.33	9761.54	117138.53
		Step D	57.7075	4616.60	10002.63	120031.60
		Step E	59.1326	4730.61	10249.65	122995.81
		Step F	60.5929	4847.43	10502.77	126033.23
		Step G	62.0894	4967.15	10762.16	129145.95
		Step H	63.6228	5089.82	11027.95	132335.42
		Step I	65.1939	5215.51	11300.28	135603.31
		Step J	66.8038	5344.30	11579.33	138951.90
		Step K	68.4536	5476.29	11865.29	142383.49
		Step L	70.1442	5611.54	12158.33	145899.94
		Step M	71.8765	5750.12	12458.59	149503.12

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3625B	Information Security Administrator-B	Step A	46.9809	3758.47	8143.36	97720.27
		Step B	48.1411	3851.29	8344.46	100133.49
		Step C	49.3299	3946.39	8550.52	102606.19
		Step D	50.5482	4043.86	8761.69	105140.26
		Step E	51.7966	4143.73	8978.08	107736.93
		Step F	53.0757	4246.06	9199.79	110397.46
		Step G	54.3865	4350.92	9426.99	113123.92
		Step H	55.7296	4458.37	9659.80	115917.57
		Step I	57.1059	4568.47	9898.36	118780.27
		Step J	58.5162	4681.30	10142.81	121713.70
		Step K	59.9613	4796.90	10393.29	124719.50
		Step L	61.4420	4915.36	10649.95	127799.36
		Step M	62.9596	5036.77	10913.00	130955.97

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9542B	Information Technology Client Services Supervisor-B	Step A	42.8956	3431.65	7435.24	89222.85
		Step B	43.9545	3516.36	7618.78	91425.36
		Step C	45.0400	3603.20	7806.93	93683.20
		Step D	46.1523	3692.18	7999.73	95996.78
		Step E	47.2921	3783.37	8197.30	98367.57
		Step F	48.4600	3876.80	8399.73	100796.80
		Step G	49.6569	3972.55	8607.20	103286.35
		Step H	50.8831	4070.65	8819.74	105836.85
		Step I	52.1398	4171.18	9037.57	108450.78
		Step J	53.4274	4274.19	9260.75	111128.99
		Step K	54.7468	4379.74	9489.45	113873.34
		Step L	56.0989	4487.91	9723.81	116685.71
		Step M	57.4843	4598.74	9963.95	119567.34

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3602B	Information Technology Program Manager-B	Step A	46.9833	3758.66	8143.77	97725.26
		Step B	48.1437	3851.50	8344.91	100138.90
		Step C	49.3326	3946.61	8550.98	102611.81
		Step D	50.5509	4044.07	8762.16	105145.87
		Step E	51.7994	4143.95	8978.56	107742.75
		Step F	53.0786	4246.29	9200.29	110403.49
		Step G	54.3893	4351.14	9427.48	113129.74
		Step H	55.7326	4458.61	9660.32	115923.81
		Step I	57.1090	4568.72	9898.89	118786.72
		Step J	58.5193	4681.54	10143.35	121720.14
		Step K	59.9645	4797.16	10393.85	124726.16
		Step L	61.4453	4915.62	10650.52	127806.22
		Step M	62.9618	5036.94	10913.38	130960.54

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3740B	Interpretive Services Supervisor-B	Step A	32.4924	2599.39	5632.02	67584.19
		Step B	33.2948	2663.58	5771.10	69253.18
		Step C	34.1170	2729.36	5913.61	70963.36
		Step D	34.9596	2796.77	6059.66	72715.97
		Step E	35.8230	2865.84	6209.32	74511.84
		Step F	36.7076	2936.61	6362.65	76351.81
		Step G	37.6143	3009.14	6519.81	78237.74
		Step H	38.5431	3083.45	6680.80	80169.65
		Step I	39.4950	3159.60	6845.80	82149.60
		Step J	40.4704	3237.63	7014.87	84178.43
		Step K	41.4699	3317.59	7188.12	86257.39
		Step L	42.4940	3399.52	7365.63	88387.52
		Step M	43.5434	3483.47	7547.52	90570.27

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
3810B	Key Accounts Representative-B	Step A	55.0330	4402.64	9539.05	114468.64
		Step B	56.3921	4511.37	9774.63	117295.57
		Step C	57.7848	4622.78	10016.03	120192.38
		Step D	59.2118	4736.94	10263.38	123160.54
		Step E	60.6741	4853.93	10516.84	126202.13
		Step F	62.1725	4973.80	10776.57	129318.80
		Step G	63.7080	5096.64	11042.72	132512.64
		Step H	65.2813	5222.50	11315.43	135785.10
		Step I	66.8934	5351.47	11594.86	139138.27
		Step J	68.5455	5483.64	11881.22	142574.64
		Step K	70.2383	5619.06	12174.64	146095.66
		Step L	71.9729	5757.83	12475.30	149703.63
		Step M	73.7503	5900.02	12783.39	153400.62

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
4449B	Management Analyst - City Manager Office-B	Step A	39.9684	3197.47	6927.86	83134.27
		Step B	40.9549	3276.39	7098.85	85186.19
		Step C	41.9662	3357.30	7274.14	87289.70
		Step D	43.0027	3440.22	7453.80	89445.62
		Step E	44.0648	3525.18	7637.90	91654.78
		Step F	45.1529	3612.23	7826.50	93918.03
		Step G	46.2680	3701.44	8019.79	96237.44
		Step H	47.4107	3792.86	8217.85	98614.26
		Step I	48.5815	3886.52	8420.79	101049.52
		Step J	49.7814	3982.51	8628.78	103545.31
		Step K	51.0107	4080.86	8841.85	106102.26
		Step L	52.2705	4181.64	9060.22	108722.64
		Step M	53.5613	4284.90	9283.96	111407.50

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0045B	Management Analyst I-B	Step A	34.6046	2768.37	5998.13	71977.57
		Step B	35.4588	2836.70	6146.19	73754.30
		Step C	36.3345	2906.76	6297.98	75575.76
		Step D	37.2318	2978.54	6453.51	77442.14
		Step E	38.1513	3052.10	6612.89	79354.70
		Step F	39.0935	3127.48	6776.21	81314.48
		Step G	40.0590	3204.72	6943.56	83322.72
		Step H	41.0482	3283.86	7115.02	85380.26
		Step I	42.0620	3364.96	7290.75	87488.96
		Step J	43.1007	3448.06	7470.79	89649.46
		Step K	44.1651	3533.21	7655.28	91863.41
		Step L	45.2559	3620.47	7844.36	94132.27
		Step M	46.3735	3709.88	8038.07	96456.88

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0050B	Management Analyst II-B	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.0048	3120.38	6760.83	81129.98
		Step C	39.9679	3197.43	6927.77	83133.23
		Step D	40.9550	3276.40	7098.87	85186.40
		Step E	41.9664	3357.31	7274.18	87290.11
		Step F	43.0029	3440.23	7453.84	89446.03
		Step G	44.0649	3525.19	7637.92	91654.99
		Step H	45.1531	3612.25	7826.54	93918.45
		Step I	46.2682	3701.46	8019.82	96237.86
		Step J	47.4109	3792.87	8217.89	98614.67
		Step K	48.5816	3886.53	8420.81	101049.73
		Step L	49.7815	3982.52	8628.79	103545.52
		Step M	51.0109	4080.87	8841.89	106102.67

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5020B	Park Development Project Manager I-B	Step A	34.6046	2768.37	5998.13	71977.57
		Step B	35.4588	2836.70	6146.19	73754.30
		Step C	36.3345	2906.76	6297.98	75575.76
		Step D	37.2318	2978.54	6453.51	77442.14
		Step E	38.1513	3052.10	6612.89	79354.70
		Step F	39.0935	3127.48	6776.21	81314.48
		Step G	40.0590	3204.72	6943.56	83322.72
		Step H	41.0482	3283.86	7115.02	85380.26
		Step I	42.0620	3364.96	7290.75	87488.96
		Step J	43.1007	3448.06	7470.79	89649.46
		Step K	44.1651	3533.21	7655.28	91863.41
		Step L	45.2559	3620.47	7844.36	94132.27
		Step M	46.3735	3709.88	8038.07	96456.88

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5022B	Park Development Project Manager II-B	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.0048	3120.38	6760.83	81129.98
		Step C	39.9679	3197.43	6927.77	83133.23
		Step D	40.9550	3276.40	7098.87	85186.40
		Step E	41.9664	3357.31	7274.18	87290.11
		Step F	43.0029	3440.23	7453.84	89446.03
		Step G	44.0649	3525.19	7637.92	91654.99
		Step H	45.1531	3612.25	7826.54	93918.45
		Step I	46.2682	3701.46	8019.82	96237.86
		Step J	47.4109	3792.87	8217.89	98614.67
		Step K	48.5816	3886.53	8420.81	101049.73
		Step L	49.7815	3982.52	8628.79	103545.52
		Step M	51.0109	4080.87	8841.89	106102.67

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5024B	Parks Planning and Development Superintendent-B	Step A	46.1363	3690.90	7996.96	95963.50
		Step B	47.2758	3782.06	8194.47	98333.66
		Step C	48.4433	3875.46	8396.84	100762.06
		Step D	49.6396	3971.17	8604.20	103250.37
		Step E	50.8655	4069.24	8816.69	105800.24
		Step F	52.1217	4169.74	9034.43	108413.14
		Step G	53.4089	4272.71	9257.54	111090.51
		Step H	54.7278	4378.22	9486.15	113833.82
		Step I	56.0794	4486.35	9720.43	116645.15
		Step J	57.4643	4597.14	9960.48	119525.74
		Step K	58.8836	4710.69	10206.49	122477.89
		Step L	60.3377	4827.02	10458.53	125502.42
		Step M	61.8279	4946.23	10716.84	128602.03

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5082B	Parks Recreation and Libraries Director-B	Step A	75.4652	6037.22	13080.63	156967.62
		Step B	77.3289	6186.31	13403.68	160844.11
		Step C	79.2386	6339.09	13734.69	164816.29
		Step D	81.1955	6495.64	14073.89	168886.64
		Step E	83.2006	6656.05	14421.44	173057.25
		Step F	85.2555	6820.44	14777.62	177331.44
		Step G	87.3609	6988.87	15142.56	181710.67
		Step H	89.5183	7161.46	15516.51	186198.06
		Step I	91.7290	7338.32	15899.69	190796.32
		Step J	93.9945	7519.56	16292.38	195508.56
		Step K	96.3157	7705.26	16694.72	200336.66
		Step L	98.6943	7895.54	17107.01	205284.14
		Step M	101.1317	8090.54	17529.49	210353.94

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9547B	Parks Recreation and Libraries Manager-B	Step A	48.2600	3860.80	8365.07	100380.80
		Step B	49.4519	3956.15	8571.66	102859.95
		Step C	50.6731	4053.85	8783.34	105400.05
		Step D	51.9246	4153.97	9000.26	108003.17
		Step E	53.2068	4256.54	9222.51	110670.14
		Step F	54.5209	4361.67	9450.29	113403.47
		Step G	55.8673	4469.38	9683.67	116203.98
		Step H	57.2470	4579.76	9922.81	119073.76
		Step I	58.6608	4692.86	10167.87	122014.46
		Step J	60.1095	4808.76	10418.98	125027.76
		Step K	61.5940	4927.52	10676.29	128115.52
		Step L	63.1151	5049.21	10939.95	131279.41
		Step M	64.6738	5173.90	11210.13	134521.50

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9546B	Parks Recreation and Libraries Superintendent-B	Step A	41.9421	3355.37	7269.96	87239.57
		Step B	42.9780	3438.24	7449.52	89394.24
		Step C	44.0394	3523.15	7633.50	91601.95
		Step D	45.1269	3610.15	7822.00	93863.95
		Step E	46.2413	3699.30	8015.16	96181.90
		Step F	47.3834	3790.67	8213.12	98557.47
		Step G	48.5536	3884.29	8415.96	100991.49
		Step H	49.7526	3980.21	8623.78	103485.41
		Step I	50.9813	4078.50	8836.76	106041.10
		Step J	52.2403	4179.22	9054.99	108659.82
		Step K	53.5305	4282.44	9278.62	111343.44
		Step L	54.8524	4388.19	9507.75	114092.99
		Step M	56.2071	4496.57	9742.56	116910.77

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5069B	Parks Supervisor-B	Step A	33.0255	2642.04	5724.42	68693.04
		Step B	33.8411	2707.29	5865.79	70389.49
		Step C	34.6768	2774.14	6010.65	72127.74
		Step D	35.5333	2842.66	6159.11	73909.26
		Step E	36.4109	2912.87	6311.22	75734.67
		Step F	37.3100	2984.80	6467.07	77604.80
		Step G	38.2314	3058.51	6626.78	79521.31
		Step H	39.1755	3134.04	6790.42	81485.04
		Step I	40.1431	3211.45	6958.14	83497.65
		Step J	41.1344	3290.75	7129.96	85559.55
		Step K	42.1504	3372.03	7306.07	87672.83
		Step L	43.1912	3455.30	7486.47	89837.70
		Step M	44.2578	3540.62	7671.35	92056.22

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9428B	Payroll Supervisor-B	Step A	44.8746	3589.97	7778.26	93339.17
		Step B	45.9828	3678.62	7970.35	95644.22
		Step C	47.1184	3769.47	8167.19	98006.27
		Step D	48.2820	3862.56	8368.88	100426.56
		Step E	49.4744	3957.95	8575.56	102906.75
		Step F	50.6962	4055.70	8787.34	105448.10
		Step G	51.9482	4155.86	9004.35	108052.26
		Step H	53.2311	4258.49	9226.72	110720.69
		Step I	54.5457	4363.66	9454.59	113455.06
		Step J	55.8929	4471.43	9688.10	116257.23
		Step K	57.2731	4581.85	9927.34	119128.05
		Step L	58.6875	4695.00	10172.50	122070.00
		Step M	60.1369	4810.95	10423.73	125084.75

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1501B	Permits Supervisor-B	Step A	38.0652	3045.22	6597.97	79175.62
		Step B	39.0048	3120.38	6760.83	81129.98
		Step C	39.9679	3197.43	6927.77	83133.23
		Step D	40.9550	3276.40	7098.87	85186.40
		Step E	41.9664	3357.31	7274.18	87290.11
		Step F	43.0029	3440.23	7453.84	89446.03
		Step G	44.0649	3525.19	7637.92	91654.99
		Step H	45.1531	3612.25	7826.54	93918.45
		Step I	46.2682	3701.46	8019.82	96237.86
		Step J	47.4109	3792.87	8217.89	98614.67
		Step K	48.5816	3886.53	8420.81	101049.73
		Step L	49.7815	3982.52	8628.79	103545.52
		Step M	51.0109	4080.87	8841.89	106102.67

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5309B	Planning Manager-B	Step A	57.7618	4620.94	10012.05	120144.54
		Step B	59.1877	4735.02	10259.20	123110.42
		Step C	60.6495	4851.96	10512.58	126150.96
		Step D	62.1472	4971.78	10772.18	129266.18
		Step E	63.6820	5094.56	11038.21	132458.56
		Step F	65.2547	5220.38	11310.81	135729.78
		Step G	66.8663	5349.30	11590.16	139081.90
		Step H	68.5175	5481.40	11876.37	142516.40
		Step I	70.2097	5616.78	12169.68	146036.18
		Step J	71.9436	5755.49	12470.22	149642.69
		Step K	73.7203	5897.62	12778.19	153338.22
		Step L	75.5409	6043.27	13093.76	157125.07
		Step M	77.4064	6192.51	13417.11	161005.31

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5400B	Police Captain-B	Step A	65.6504	5252.03	11379.40	136552.83
		Step B	67.2717	5381.74	11660.43	139925.14
		Step C	68.9331	5514.65	11948.40	143380.85
		Step D	70.6354	5650.83	12243.47	146921.63
		Step E	72.3799	5790.39	12545.85	150550.19
		Step F	74.1674	5933.39	12855.68	154268.19
		Step G	75.9990	6079.92	13173.16	158077.92
		Step H	77.8759	6230.07	13498.49	161981.87
		Step I	79.7991	6383.93	13831.84	165982.13
		Step J	81.7698	6541.58	14173.43	170081.18
		Step K	83.7892	6703.14	14523.46	174281.54
		Step L	85.8585	6868.68	14882.14	178585.68
		Step M	87.9788	7038.30	15249.66	182995.90

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5410B	Police Chief-B	Step A	85.8833	6870.66	14886.44	178637.26
		Step B	88.0042	7040.34	15254.06	183048.74
		Step C	90.1776	7214.21	15630.78	187569.41
		Step D	92.4046	7392.37	16016.80	192201.57
		Step E	94.6867	7574.94	16412.36	196948.34
		Step F	97.0251	7762.01	16817.68	201812.21
		Step G	99.4212	7953.70	17233.01	206796.10
		Step H	101.8765	8150.12	17658.59	211903.12
		Step I	104.3924	8351.39	18094.68	217136.19
		Step J	106.9705	8557.64	18541.55	222498.64
		Step K	109.6123	8768.98	18999.47	227993.58
		Step L	112.3192	8985.54	19468.66	233623.94
		Step M	115.0931	9207.45	19949.47	239393.65

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5420B	Police Lieutenant-B	Step A	57.0875	4567.00	9895.17	118742.00
		Step B	58.4967	4679.74	10139.43	121673.14
		Step C	59.9414	4795.31	10389.84	124678.11
		Step D	61.4216	4913.73	10646.41	127756.93
		Step E	62.9386	5035.09	10909.36	130912.29
		Step F	64.4929	5159.43	11178.77	134145.23
		Step G	66.0856	5286.85	11454.84	137458.05
		Step H	67.7177	5417.42	11737.73	140852.82
		Step I	69.3901	5551.21	12027.62	144331.41
		Step J	71.1037	5688.30	12324.64	147895.70
		Step K	72.8597	5828.78	12629.01	151548.18
		Step L	74.6590	5972.72	12940.89	155290.72
		Step M	76.5028	6120.22	13260.49	159125.82

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5489B	Police Services Administrator-B	Step A	49.7925	3983.40	8630.70	103568.40
		Step B	51.0222	4081.78	8843.85	106126.18
		Step C	52.2823	4182.58	9062.27	108747.18
		Step D	53.5733	4285.86	9286.04	111432.46
		Step E	54.8964	4391.71	9515.38	114184.51
		Step F	56.2522	4500.18	9750.38	117004.58
		Step G	57.6413	4611.30	9991.16	119893.90
		Step H	59.0649	4725.19	10237.92	122854.99
		Step I	60.5236	4841.89	10490.76	125889.09
		Step J	62.0182	4961.46	10749.82	128997.86
		Step K	63.5498	5083.98	11015.30	132183.58
		Step L	65.1192	5209.54	11287.33	135447.94
		Step M	66.7275	5338.20	11566.10	138793.20

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1039B	Police Social Services Practitioner-B	Step A	31.2076	2496.61	5409.32	64911.81
		Step B	31.9779	2558.23	5542.84	66514.03
		Step C	32.7677	2621.42	5679.73	68156.82
		Step D	33.5769	2686.15	5820.00	69839.95
		Step E	34.4061	2752.49	5963.72	71564.69
		Step F	35.2558	2820.46	6111.01	73332.06
		Step G	36.1265	2890.12	6261.93	75143.12
		Step H	37.0187	2961.50	6416.57	76998.90
		Step I	37.9329	3034.63	6575.04	78900.43
		Step J	38.8697	3109.58	6737.41	80848.98
		Step K	39.8296	3186.37	6903.80	82845.57
		Step L	40.8132	3265.06	7074.29	84891.46
		Step M	41.8212	3345.70	7249.01	86988.10

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5505B	Power Engineering Manager-B	Step A	77.4050	6192.40	13416.87	161002.40
		Step B	79.3165	6345.32	13748.19	164978.32
		Step C	81.2753	6502.02	14087.72	169052.62
		Step D	83.2825	6662.60	14435.63	173227.60
		Step E	85.3393	6827.14	14792.15	177505.74
		Step F	87.4468	6995.74	15157.45	181889.34
		Step G	89.6064	7168.51	15531.78	186381.31
		Step H	91.8193	7345.54	15915.35	190984.14
		Step I	94.0868	7526.94	16308.38	195700.54
		Step J	96.4104	7712.83	16711.14	200533.63
		Step K	98.7914	7903.31	17123.84	205486.11
		Step L	101.2312	8098.50	17546.74	210560.90
		Step M	103.7311	8298.49	17980.06	215760.69

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5600B	Power Generation Superintendent-B	Step A	74.4126	5953.01	12898.18	154778.21
		Step B	76.2504	6100.03	13216.74	158600.83
		Step C	78.1335	6250.68	13543.14	162517.68
		Step D	80.0631	6405.05	13877.60	166531.25
		Step E	82.0403	6563.22	14220.32	170643.82
		Step F	84.0663	6725.30	14571.49	174857.90
		Step G	86.1424	6891.39	14931.35	179176.19
		Step H	88.2698	7061.58	15300.10	183601.18
		Step I	90.4497	7235.98	15677.95	188135.38
		Step J	92.6835	7414.68	16065.14	192781.68
		Step K	94.9724	7597.79	16461.88	197542.59
		Step L	97.3179	7785.43	16868.44	202421.23
		Step M	99.7212	7977.70	17285.01	207420.10

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5530B	Power Plant Operations and Maintenance Supervisor-B	Step A	67.7541	5420.33	11744.04	140928.53
		Step B	69.4273	5554.18	12034.07	144408.78
		Step C	71.1419	5691.35	12331.26	147975.15
		Step D	72.8989	5831.91	12635.81	151629.71
		Step E	74.6991	5975.93	12947.84	155374.13
		Step F	76.5439	6123.51	13267.61	159211.31
		Step G	78.4342	6274.74	13595.26	163143.14
		Step H	80.3712	6429.70	13931.01	167172.10
		Step I	82.3561	6588.49	14275.06	171300.69
		Step J	84.3899	6751.19	14627.58	175530.99
		Step K	86.4741	6917.93	14988.84	179866.13
		Step L	88.6096	7088.77	15359.00	184307.97
		Step M	90.7979	7263.83	15738.30	188859.63

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5535B	Power Supply and Portfolio Administrator-B	Step A	77.4013	6192.10	13416.23	160994.70
		Step B	79.3128	6345.02	13747.55	164970.62
		Step C	81.2715	6501.72	14087.06	169044.72
		Step D	83.2786	6662.29	14434.96	173219.49
		Step E	85.3352	6826.82	14791.43	177497.22
		Step F	87.4427	6995.42	15156.73	181880.82
		Step G	89.6022	7168.18	15531.05	186372.58
		Step H	91.8150	7345.20	15914.60	190975.20
		Step I	94.0824	7526.59	16307.62	195691.39
		Step J	96.4060	7712.48	16710.37	200524.48
		Step K	98.7868	7902.94	17123.05	205476.54
		Step L	101.2264	8098.11	17545.91	210550.91
		Step M	103.7264	8298.11	17979.24	215750.91

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5519B	Preventative Maintenance Supervisor-B	Step A	46.6815	3734.52	8091.46	97097.52
		Step B	47.8343	3826.74	8291.28	99495.34
		Step C	49.0156	3921.25	8496.04	101952.45
		Step D	50.2261	4018.09	8705.86	104470.29
		Step E	51.4665	4117.32	8920.86	107050.32
		Step F	52.7375	4219.00	9141.17	109694.00
		Step G	54.0400	4323.20	9366.93	112403.20
		Step H	55.3745	4429.96	9598.25	115178.96
		Step I	56.7420	4539.36	9835.28	118023.36
		Step J	58.1433	4651.46	10078.17	120938.06
		Step K	59.5792	4766.34	10327.06	123924.74
		Step L	61.0506	4884.05	10582.10	126985.25
		Step M	62.5583	5004.66	10843.44	130121.26

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5514B	Principal Engineer-B	Step A	53.6354	4290.83	9296.80	111561.63
		Step B	54.9593	4396.74	9526.28	114315.34
		Step C	56.3166	4505.33	9761.54	117138.53
		Step D	57.7075	4616.60	10002.63	120031.60
		Step E	59.1326	4730.61	10249.65	122995.81
		Step F	60.5929	4847.43	10502.77	126033.23
		Step G	62.0894	4967.15	10762.16	129145.95
		Step H	63.6228	5089.82	11027.95	132335.42
		Step I	65.1939	5215.51	11300.28	135603.31
		Step J	66.8038	5344.30	11579.33	138951.90
		Step K	68.4536	5476.29	11865.29	142383.49
		Step L	70.1442	5611.54	12158.33	145899.94
		Step M	71.8765	5750.12	12458.59	149503.12

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5513B	Principal Planner-B	Step A	48.2529	3860.23	8363.84	100366.03
		Step B	49.4440	3955.52	8570.29	102843.52
		Step C	50.6651	4053.21	8781.95	105383.41
		Step D	51.9163	4153.30	8998.83	107985.90
		Step E	53.1985	4255.88	9221.07	110652.88
		Step F	54.5122	4360.98	9448.78	113385.38
		Step G	55.8585	4468.68	9682.14	116185.68
		Step H	57.2380	4579.04	9921.25	119055.04
		Step I	58.6515	4692.12	10166.26	121995.12
		Step J	60.1000	4808.00	10417.33	125008.00
		Step K	61.5842	4926.74	10674.59	128095.14
		Step L	63.1051	5048.41	10938.22	131258.61
		Step M	64.6636	5173.09	11208.36	134500.29

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1004B	Process Engineer-B	Step A	53.6354	4290.83	9296.80	111561.63
		Step B	54.9593	4396.74	9526.28	114315.34
		Step C	56.3166	4505.33	9761.54	117138.53
		Step D	57.7075	4616.60	10002.63	120031.60
		Step E	59.1326	4730.61	10249.65	122995.81
		Step F	60.5929	4847.43	10502.77	126033.23
		Step G	62.0894	4967.15	10762.16	129145.95
		Step H	63.6228	5089.82	11027.95	132335.42
		Step I	65.1939	5215.51	11300.28	135603.31
		Step J	66.8038	5344.30	11579.33	138951.90
		Step K	68.4536	5476.29	11865.29	142383.49
		Step L	70.1442	5611.54	12158.33	145899.94
		Step M	71.8765	5750.12	12458.59	149503.12

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5565B	Public Information Officer-B	Step A	50.3637	4029.10	8729.71	104756.50
		Step B	51.6074	4128.59	8945.28	107343.39
		Step C	52.8819	4230.55	9166.20	109994.35
		Step D	54.1879	4335.03	9392.57	112710.83
		Step E	55.5262	4442.10	9624.54	115494.50
		Step F	56.8975	4551.80	9862.23	118346.80
		Step G	58.3026	4664.21	10105.78	121269.41
		Step H	59.7424	4779.39	10355.35	124264.19
		Step I	61.2178	4897.42	10611.09	127333.02
		Step J	62.7296	5018.37	10873.13	130477.57
		Step K	64.2789	5142.31	11141.68	133700.11
		Step L	65.8662	5269.30	11416.81	137001.70
		Step M	67.4928	5399.42	11698.75	140385.02

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5635B	Public Works Director-B	Step A	75.4666	6037.33	13080.88	156970.53
		Step B	77.3302	6186.42	13403.90	160846.82
		Step C	79.2401	6339.21	13734.95	164819.41
		Step D	81.1970	6495.76	14074.15	168889.76
		Step E	83.2023	6656.18	14421.73	173060.78
		Step F	85.2570	6820.56	14777.88	177334.56
		Step G	87.3625	6989.00	15142.83	181714.00
		Step H	89.5199	7161.59	15516.78	186201.39
		Step I	91.7308	7338.46	15900.01	190800.06
		Step J	93.9962	7519.70	16292.67	195512.10
		Step K	96.3175	7705.40	16695.03	200340.40
		Step L	98.6961	7895.69	17107.32	205287.89
		Step M	101.1335	8090.68	17529.81	210357.68

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5652B	Purchasing and Warehouse Manager-B	Step A	49.2124	3936.99	8530.15	102361.79
		Step B	50.4278	4034.22	8740.82	104889.82
		Step C	51.6731	4133.85	8956.67	107480.05
		Step D	52.9492	4235.94	9177.86	110134.34
		Step E	54.2569	4340.55	9404.53	112854.35
		Step F	55.5968	4447.74	9636.78	115641.34
		Step G	56.9698	4557.58	9874.77	118497.18
		Step H	58.3768	4670.14	10118.65	121423.74
		Step I	59.8184	4785.47	10368.52	124422.27
		Step J	61.2956	4903.65	10624.57	127494.85
		Step K	62.8095	5024.76	10886.98	130643.76
		Step L	64.3605	5148.84	11155.82	133869.84
		Step M	65.9500	5276.00	11431.33	137176.00

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9544B	Recreation and Library Supervisor-B	Step A	32.4924	2599.39	5632.02	67584.19
		Step B	33.2948	2663.58	5771.10	69253.18
		Step C	34.1170	2729.36	5913.61	70963.36
		Step D	34.9596	2796.77	6059.66	72715.97
		Step E	35.8230	2865.84	6209.32	74511.84
		Step F	36.7076	2936.61	6362.65	76351.81
		Step G	37.6143	3009.14	6519.81	78237.74
		Step H	38.5431	3083.45	6680.80	80169.65
		Step I	39.4950	3159.60	6845.80	82149.60
		Step J	40.4704	3237.63	7014.87	84178.43
		Step K	41.4699	3317.59	7188.12	86257.39
		Step L	42.4940	3399.52	7365.63	88387.52
		Step M	43.5434	3483.47	7547.52	90570.27

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6231B	Refuse Superintendent-B	Step A	44.4348	3554.78	7702.03	92424.38
		Step B	45.5316	3642.53	7892.14	94705.73
		Step C	46.6561	3732.49	8087.06	97044.69
		Step D	47.8083	3824.66	8286.77	99441.26
		Step E	48.9889	3919.11	8491.41	101896.91
		Step F	50.1988	4015.90	8701.13	104413.50
		Step G	51.4384	4115.07	8915.99	106991.87
		Step H	52.7088	4216.70	9136.19	109634.30
		Step I	54.0106	4320.85	9361.84	112342.05
		Step J	55.3443	4427.54	9593.01	115116.14
		Step K	56.7111	4536.89	9829.92	117959.09
		Step L	58.1117	4648.94	10072.69	120872.34
		Step M	59.5468	4763.74	10321.45	123857.34

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6235B	Refuse Supervisor-B	Step A	35.1230	2809.84	6087.99	73055.84
		Step B	35.9894	2879.15	6238.16	74857.95
		Step C	36.8783	2950.26	6392.24	76706.86
		Step D	37.7890	3023.12	6550.09	78601.12
		Step E	38.7223	3097.78	6711.87	80542.38
		Step F	39.6787	3174.30	6877.64	82531.70
		Step G	40.6585	3252.68	7047.47	84569.68
		Step H	41.6626	3333.01	7221.52	86658.21
		Step I	42.6915	3415.32	7399.86	88798.32
		Step J	43.7457	3499.66	7582.59	90991.06
		Step K	44.8261	3586.09	7769.86	93238.29
		Step L	45.9332	3674.66	7961.75	95541.06
		Step M	47.0675	3765.40	8158.37	97900.40

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6244B	Refuse and Stormwater Manager-B	Step A	60.3417	4827.34	10459.23	125510.74
		Step B	61.8309	4946.47	10717.36	128608.27
		Step C	63.3579	5068.63	10982.04	131784.43
		Step D	64.9226	5193.81	11253.25	135039.01
		Step E	66.5259	5322.07	11531.16	138373.87
		Step F	68.1689	5453.51	11815.94	141791.31
		Step G	69.8524	5588.19	12107.75	145292.99
		Step H	71.5774	5726.19	12406.75	148880.99
		Step I	73.3452	5867.62	12713.17	152558.02
		Step J	75.1566	6012.53	13027.14	156325.73
		Step K	77.0125	6161.00	13348.83	160186.00
		Step L	78.9144	6313.15	13678.50	164141.95
		Step M	80.8634	6469.07	14016.32	168195.87

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6450B	Risk Manager-B	Step A	54.8417	4387.34	9505.89	114070.74
		Step B	56.1956	4495.65	9740.57	116886.85
		Step C	57.5833	4606.66	9981.11	119773.26
		Step D	59.0055	4720.44	10227.62	122731.44
		Step E	60.4626	4837.01	10480.18	125762.21
		Step F	61.9558	4956.46	10739.01	128868.06
		Step G	63.4859	5078.87	11004.22	132050.67
		Step H	65.0538	5204.30	11275.99	135311.90
		Step I	66.6603	5332.82	11554.45	138653.42
		Step J	68.3064	5464.51	11839.78	142077.31
		Step K	69.9933	5599.46	12132.17	145586.06
		Step L	71.7219	5737.75	12431.80	149181.55
		Step M	73.4932	5879.46	12738.82	152865.86

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
6500B	Safety Coordinator-B	Step A	38.0651	3045.21	6597.95	79175.41
		Step B	39.0048	3120.38	6760.83	81129.98
		Step C	39.9679	3197.43	6927.77	83133.23
		Step D	40.9550	3276.40	7098.87	85186.40
		Step E	41.9664	3357.31	7274.18	87290.11
		Step F	43.0029	3440.23	7453.84	89446.03
		Step G	44.0649	3525.19	7637.92	91654.99
		Step H	45.1531	3612.25	7826.54	93918.45
		Step I	46.2682	3701.46	8019.82	96237.86
		Step J	47.4109	3792.87	8217.89	98614.67
		Step K	48.5816	3886.53	8420.81	101049.73
		Step L	49.7815	3982.52	8628.79	103545.52
		Step M	51.0109	4080.87	8841.89	106102.67

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7130B	Senior Business Systems Analyst-B	Step A	38.7317	3098.54	6713.49	80561.94
		Step B	39.6877	3175.02	6879.20	82550.42
		Step C	40.6678	3253.42	7049.09	84589.02
		Step D	41.6721	3333.77	7223.16	86677.97
		Step E	42.7013	3416.10	7401.56	88818.70
		Step F	43.7558	3500.46	7584.34	91012.06
		Step G	44.8364	3586.91	7771.64	93259.71
		Step H	45.9436	3675.49	7963.56	95562.69
		Step I	47.0784	3766.27	8160.26	97923.07
		Step J	48.2409	3859.27	8361.76	100341.07
		Step K	49.4322	3954.58	8568.25	102818.98
		Step L	50.6530	4052.24	8779.85	105358.24
		Step M	51.9040	4152.32	8996.69	107960.32

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7145B	Senior Database Analyst-B	Step A	38.6098	3088.78	6692.37	80308.38
		Step B	39.5632	3165.06	6857.62	82291.46
		Step C	40.5403	3243.22	7026.99	84323.82
		Step D	41.5415	3323.32	7200.53	86406.32
		Step E	42.5674	3405.39	7378.35	88540.19
		Step F	43.6186	3489.49	7560.56	90726.69
		Step G	44.6959	3575.67	7747.29	92967.47
		Step H	45.7997	3663.98	7938.61	95263.38
		Step I	46.9308	3754.46	8134.67	97616.06
		Step J	48.0898	3847.18	8335.57	100026.78
		Step K	49.2774	3942.19	8541.42	102496.99
		Step L	50.4943	4039.54	8752.35	105028.14
		Step M	51.7413	4139.30	8968.49	107621.90

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7123B	Senior Deputy City Attorney-B	Step A	73.5212	5881.70	12743.67	152924.10
		Step B	75.3368	6026.94	13058.38	156700.54
		Step C	77.1974	6175.79	13380.88	160570.59
		Step D	79.1039	6328.31	13711.34	164536.11
		Step E	81.0574	6484.59	14049.95	168599.39
		Step F	83.0592	6644.74	14396.93	172763.14
		Step G	85.1104	6808.83	14752.47	177029.63
		Step H	87.2124	6976.99	15116.82	181401.79
		Step I	89.3662	7149.30	15490.14	185881.70
		Step J	91.5732	7325.86	15872.69	190472.26
		Step K	93.8346	7506.77	16264.66	195175.97
		Step L	96.1520	7692.16	16666.35	199996.16
		Step M	98.5265	7882.12	17077.93	204935.12

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1281B	Senior Development Services Analyst-B	Step A	38.7300	3098.40	6713.20	80558.40
		Step B	39.6861	3174.89	6878.92	82547.09
		Step C	40.6661	3253.29	7048.79	84585.49
		Step D	41.6704	3333.63	7222.87	86674.43
		Step E	42.6995	3415.96	7401.25	88814.96
		Step F	43.7541	3500.33	7584.04	91008.53
		Step G	44.8346	3586.77	7771.33	93255.97
		Step H	45.9418	3675.34	7963.25	95558.94
		Step I	47.0764	3766.11	8159.91	97918.91
		Step J	48.2390	3859.12	8361.43	100337.12
		Step K	49.4303	3954.42	8567.92	102815.02
		Step L	50.6510	4052.08	8779.51	105354.08
		Step M	51.9019	4152.15	8996.33	107955.95

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7128B	Senior Electric Business Analyst-B	Step A	54.8054	4384.43	9499.60	113995.23
		Step B	56.1589	4492.71	9734.21	116810.51
		Step C	57.5459	4603.67	9974.62	119695.47
		Step D	58.9671	4717.37	10220.96	122651.57
		Step E	60.4233	4833.86	10473.37	125680.46
		Step F	61.9154	4953.23	10732.00	128784.03
		Step G	63.4444	5075.55	10997.03	131964.35
		Step H	65.0113	5200.90	11268.63	135223.50
		Step I	66.6168	5329.34	11546.91	138562.94
		Step J	68.2620	5460.96	11832.08	141984.96
		Step K	69.9478	5595.82	12124.29	145491.42
		Step L	71.6752	5734.02	12423.70	149084.42
		Step M	73.4454	5875.63	12730.54	152766.43

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1023B	Senior Electric Technology Systems Analyst-B	Step A	54.7499	4379.99	9489.98	113879.79
		Step B	56.1014	4488.11	9724.24	116690.91
		Step C	57.4869	4598.95	9964.40	119572.75
		Step D	58.9066	4712.53	10210.48	122525.73
		Step E	60.3614	4828.91	10462.64	125551.71
		Step F	61.8520	4948.16	10721.01	128652.16
		Step G	63.3795	5070.36	10985.78	131829.36
		Step H	64.9448	5195.58	11257.10	135085.18
		Step I	66.5486	5323.89	11535.09	138421.09
		Step J	68.1922	5455.38	11819.98	141839.78
		Step K	69.8762	5590.10	12111.87	145342.50
		Step L	71.6018	5728.14	12410.98	148931.74
		Step M	73.3701	5869.61	12717.48	152609.81

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7163B	Senior Energy Services Account Representative-B	Step A	55.0330	4402.64	9539.05	114468.64
		Step B	56.3921	4511.37	9774.63	117295.57
		Step C	57.7848	4622.78	10016.03	120192.38
		Step D	59.2118	4736.94	10263.38	123160.54
		Step E	60.6741	4853.93	10516.84	126202.13
		Step F	62.1725	4973.80	10776.57	129318.80
		Step G	63.7080	5096.64	11042.72	132512.64
		Step H	65.2813	5222.50	11315.43	135785.10
		Step I	66.8934	5351.47	11594.86	139138.27
		Step J	68.5455	5483.64	11881.22	142574.64
		Step K	70.2383	5619.06	12174.64	146095.66
		Step L	71.9729	5757.83	12475.30	149703.63
		Step M	73.7503	5900.02	12783.39	153400.62

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7142B	Senior Engineer-B	Step A	47.6767	3814.14	8263.96	99167.54
		Step B	48.8536	3908.29	8467.96	101615.49
		Step C	50.0600	4004.80	8677.07	104124.80
		Step D	51.2964	4103.71	8891.38	106696.51
		Step E	52.5632	4205.06	9110.95	109331.46
		Step F	53.8612	4308.90	9335.94	112031.30
		Step G	55.1915	4415.32	9566.53	114798.32
		Step H	56.5545	4524.36	9802.78	117633.36
		Step I	57.9511	4636.09	10044.86	120538.29
		Step J	59.3823	4750.58	10292.93	123515.18
		Step K	60.8489	4867.91	10547.14	126565.71
		Step L	62.3515	4988.12	10807.59	129691.12
		Step M	63.8915	5111.32	11074.53	132894.32

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1065B	Senior Environmental Utilities Business Analyst-B	Step A	43.9667	3517.34	7620.89	91450.74
		Step B	45.0519	3604.15	7809.00	93707.95
		Step C	46.1645	3693.16	8001.85	96022.16
		Step D	47.3046	3784.37	8199.46	98393.57
		Step E	48.4729	3877.83	8401.97	100823.63
		Step F	49.6700	3973.60	8609.47	103313.60
		Step G	50.8966	4071.73	8822.08	105864.93
		Step H	52.1535	4172.28	9039.94	108479.28
		Step I	53.4416	4275.33	9263.21	111158.53
		Step J	54.7613	4380.90	9491.96	113903.50
		Step K	56.1137	4489.10	9726.37	116716.50
		Step L	57.4995	4599.96	9966.58	119598.96
		Step M	58.9195	4713.56	10212.71	122552.56

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1029B	Senior Finance Utility Billing Analyst-B	Step A	42.5475	3403.80	7374.90	88498.80
		Step B	43.5978	3487.82	7556.95	90683.42
		Step C	44.6745	3573.96	7743.58	92922.96
		Step D	45.7778	3662.22	7934.82	95217.82
		Step E	46.9083	3752.66	8130.77	97569.26
		Step F	48.0667	3845.34	8331.56	99978.74
		Step G	49.2538	3940.30	8537.33	102447.90
		Step H	50.4702	4037.62	8748.17	104978.02
		Step I	51.7166	4137.33	8964.21	107570.53
		Step J	52.9938	4239.50	9185.59	110227.10
		Step K	54.3025	4344.20	9412.43	112949.20
		Step L	55.6436	4451.49	9644.89	115738.69
		Step M	57.0177	4561.42	9883.07	118596.82

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7161B	Senior GIS Analyst-B	Step A	38.5623	3084.98	6684.13	80209.58
		Step B	39.5142	3161.14	6849.13	82189.54
		Step C	40.4901	3239.21	7018.28	84219.41
		Step D	41.4901	3319.21	7191.62	86299.41
		Step E	42.5146	3401.17	7369.20	88430.37
		Step F	43.5647	3485.18	7551.21	90614.58
		Step G	44.6406	3571.25	7737.70	92852.45
		Step H	45.7430	3659.44	7928.79	95145.44
		Step I	46.8727	3749.82	8124.60	97495.22
		Step J	48.0302	3842.42	8325.23	99902.82
		Step K	49.2164	3937.31	8530.84	102370.11
		Step L	50.4318	4034.54	8741.51	104898.14
		Step M	51.6772	4134.18	8957.38	107488.58

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7150B	Senior Human Resources Analyst-B	Step A	42.4065	3392.52	7350.46	88205.52
		Step B	43.4531	3476.25	7531.87	90382.45
		Step C	44.5261	3562.09	7717.86	92614.29
		Step D	45.6259	3650.07	7908.49	94901.87
		Step E	46.7528	3740.22	8103.82	97245.82
		Step F	47.9072	3832.58	8303.91	99646.98
		Step G	49.0903	3927.22	8508.99	102107.82
		Step H	50.3028	4024.22	8719.15	104629.82
		Step I	51.5450	4123.60	8934.47	107213.60
		Step J	52.8181	4225.45	9155.14	109861.65
		Step K	54.1224	4329.79	9381.22	112574.59
		Step L	55.4590	4436.72	9612.89	115354.72
		Step M	56.8285	4546.28	9850.27	118203.28

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7194B	Senior Information Technology Analyst-B	Step A	40.8539	3268.31	7081.34	84976.11
		Step B	41.8628	3349.02	7256.22	87074.62
		Step C	42.8965	3431.72	7435.39	89224.72
		Step D	43.9560	3516.48	7619.04	91428.48
		Step E	45.0415	3603.32	7807.19	93686.32
		Step F	46.1540	3692.32	8000.03	96000.32
		Step G	47.2937	3783.50	8197.57	98370.90
		Step H	48.4616	3876.93	8400.01	100800.13
		Step I	49.6585	3972.68	8607.47	103289.68
		Step J	50.8848	4070.78	8820.03	105840.38
		Step K	52.1415	4171.32	9037.86	108454.32
		Step L	53.4291	4274.33	9261.04	111132.53
		Step M	54.7487	4379.90	9489.77	113877.30

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7180B	Senior Planner-B	Step A	41.9600	3356.80	7273.07	87276.80
		Step B	42.9958	3439.66	7452.61	89431.26
		Step C	44.0576	3524.61	7636.65	91639.81
		Step D	45.1458	3611.66	7825.27	93903.26
		Step E	46.2606	3700.85	8018.50	96222.05
		Step F	47.4030	3792.24	8216.52	98598.24
		Step G	48.5738	3885.90	8419.46	101033.50
		Step H	49.7733	3981.86	8627.37	103528.46
		Step I	51.0024	4080.19	8840.42	106084.99
		Step J	52.2621	4180.97	9058.76	108705.17
		Step K	53.5527	4284.22	9282.47	111389.62
		Step L	54.8752	4390.02	9511.70	114140.42
		Step M	56.2304	4498.43	9746.60	116959.23

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7151B	Senior Power Engineer-B	Step A	67.3057	5384.46	11666.32	139995.86
		Step B	68.9679	5517.43	11954.44	143453.23
		Step C	70.6711	5653.69	12249.66	146995.89
		Step D	72.4164	5793.31	12552.18	150626.11
		Step E	74.2049	5936.39	12862.18	154346.19
		Step F	76.0374	6082.99	13179.82	158157.79
		Step G	77.9153	6233.22	13505.32	162063.82
		Step H	79.8394	6387.15	13838.83	166065.95
		Step I	81.8111	6544.89	14180.59	170167.09
		Step J	83.8315	6706.52	14530.79	174369.52
		Step K	85.9018	6872.14	14889.65	178675.74
		Step L	88.0233	7041.86	15257.37	183088.46
		Step M	90.1971	7215.77	15634.16	187609.97

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
5555B	Senior Power Plant Engineer-B	Step A	67.3057	5384.46	11666.32	139995.86
		Step B	68.9679	5517.43	11954.44	143453.23
		Step C	70.6711	5653.69	12249.66	146995.89
		Step D	72.4164	5793.31	12552.18	150626.11
		Step E	74.2049	5936.39	12862.18	154346.19
		Step F	76.0374	6082.99	13179.82	158157.79
		Step G	77.9153	6233.22	13505.32	162063.82
		Step H	79.8394	6387.15	13838.83	166065.95
		Step I	81.8111	6544.89	14180.59	170167.09
		Step J	83.8315	6706.52	14530.79	174369.52
		Step K	85.9018	6872.14	14889.65	178675.74
		Step L	88.0233	7041.86	15257.37	183088.46
		Step M	90.1971	7215.77	15634.16	187609.97

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7520B	Street Maintenance Superintendent-B	Step A	44.4419	3555.35	7703.26	92439.15
		Step B	45.5387	3643.10	7893.37	94720.50
		Step C	46.6633	3733.06	8088.31	97059.66
		Step D	47.8157	3825.26	8288.05	99456.66
		Step E	48.9966	3919.73	8492.74	101912.93
		Step F	50.2066	4016.53	8702.48	104429.73
		Step G	51.4465	4115.72	8917.39	107008.72
		Step H	52.7170	4217.36	9137.61	109651.36
		Step I	54.0189	4321.51	9363.28	112359.31
		Step J	55.3530	4428.24	9594.52	115134.24
		Step K	56.7199	4537.59	9831.45	117977.39
		Step L	58.1208	4649.66	10074.27	120891.26
		Step M	59.5561	4764.49	10323.06	123876.69

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
7522B	Street Maintenance Supervisor-B	Step A	35.2641	2821.13	6112.44	73349.33
		Step B	36.1346	2890.77	6263.33	75159.97
		Step C	37.0270	2962.16	6418.01	77016.16
		Step D	37.9414	3035.31	6576.51	78918.11
		Step E	38.8784	3110.27	6738.92	80867.07
		Step F	39.8385	3187.08	6905.34	82864.08
		Step G	40.8224	3265.79	7075.88	84910.59
		Step H	41.8305	3346.44	7250.62	87007.44
		Step I	42.8636	3429.09	7429.69	89156.29
		Step J	43.9222	3513.78	7613.18	91358.18
		Step K	45.0069	3600.55	7801.20	93614.35
		Step L	46.1183	3689.46	7993.84	95926.06
		Step M	47.2572	3780.58	8191.25	98294.98

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1059B	Transit Operations Analyst I-B	Step A	34.6046	2768.37	5998.13	71977.57
		Step B	35.4588	2836.70	6146.19	73754.30
		Step C	36.3345	2906.76	6297.98	75575.76
		Step D	37.2318	2978.54	6453.51	77442.14
		Step E	38.1513	3052.10	6612.89	79354.70
		Step F	39.0935	3127.48	6776.21	81314.48
		Step G	40.0590	3204.72	6943.56	83322.72
		Step H	41.0482	3283.86	7115.02	85380.26
		Step I	42.0620	3364.96	7290.75	87488.96
		Step J	43.1007	3448.06	7470.79	89649.46
		Step K	44.1651	3533.21	7655.28	91863.41
		Step L	45.2559	3620.47	7844.36	94132.27
		Step M	46.3735	3709.88	8038.07	96456.88

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1058B	Transit Operations Analyst II-B	Step A	38.0650	3045.20	6597.93	79175.20
		Step B	39.0048	3120.38	6760.83	81129.98
		Step C	39.9679	3197.43	6927.77	83133.23
		Step D	40.9550	3276.40	7098.87	85186.40
		Step E	41.9664	3357.31	7274.18	87290.11
		Step F	43.0029	3440.23	7453.84	89446.03
		Step G	44.0649	3525.19	7637.92	91654.99
		Step H	45.1531	3612.25	7826.54	93918.45
		Step I	46.2682	3701.46	8019.82	96237.86
		Step J	47.4109	3792.87	8217.89	98614.67
		Step K	48.5816	3886.53	8420.81	101049.73
		Step L	49.7815	3982.52	8628.79	103545.52
		Step M	51.0109	4080.87	8841.89	106102.67

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
8762B	Urban Forester-B	Step A	36.2522	2900.18	6283.71	75404.58
		Step B	37.1470	2971.76	6438.81	77265.76
		Step C	38.0643	3045.14	6597.81	79173.74
		Step D	39.0044	3120.35	6760.76	81129.15
		Step E	39.9676	3197.41	6927.72	83132.61
		Step F	40.9547	3276.38	7098.81	85185.78
		Step G	41.9661	3357.29	7274.12	87289.49
		Step H	43.0025	3440.20	7453.77	89445.20
		Step I	44.0646	3525.17	7637.86	91654.37
		Step J	45.1528	3612.22	7826.49	93917.82
		Step K	46.2679	3701.43	8019.77	96237.23
		Step L	47.4105	3792.84	8217.82	98613.84
		Step M	48.5813	3886.50	8420.76	101049.10

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
0920B	Utility Billing Operations Manager-B	Step A	55.2501	4420.01	9576.68	114920.21
		Step B	56.6145	4529.16	9813.18	117758.16
		Step C	58.0128	4641.02	10055.55	120666.62
		Step D	59.4455	4755.64	10303.89	123646.64
		Step E	60.9135	4873.08	10558.34	126700.08
		Step F	62.4178	4993.42	10819.09	129829.02
		Step G	63.9593	5116.74	11086.28	133035.34
		Step H	65.5389	5243.11	11360.08	136320.91
		Step I	67.1574	5372.59	11640.62	139687.39
		Step J	68.8159	5505.27	11928.09	143137.07
		Step K	70.5154	5641.23	12222.67	146672.03
		Step L	72.2568	5780.54	12524.51	150294.14
		Step M	74.0413	5923.30	12833.83	154005.90

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1041B	Utility Billing and Field Services Supervisor-B	Step A	42.8357	3426.86	7424.85	89098.26
		Step B	43.8930	3511.44	7608.12	91297.44
		Step C	44.9770	3598.16	7796.01	93552.16
		Step D	46.0878	3687.02	7988.55	95862.62
		Step E	47.2259	3778.07	8185.82	98229.87
		Step F	48.3923	3871.38	8388.00	100655.98
		Step G	49.5873	3966.98	8595.13	103141.58
		Step H	50.8120	4064.96	8807.41	105688.96
		Step I	52.0668	4165.34	9024.91	108298.94
		Step J	53.3527	4268.22	9247.80	110973.62
		Step K	54.6703	4373.62	9476.19	113714.22
		Step L	56.0204	4481.63	9710.20	116522.43
		Step M	57.4039	4592.31	9950.01	119400.11

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1042B	Utility Customer Service Supervisor-B	Step A	30.0992	2407.94	5217.19	62606.34
		Step B	30.8421	2467.37	5345.96	64151.57
		Step C	31.6038	2528.30	5477.99	65735.90
		Step D	32.3843	2590.74	5613.28	67359.34
		Step E	33.1840	2654.72	5751.89	69022.72
		Step F	34.0036	2720.29	5893.96	70727.49
		Step G	34.8434	2787.47	6039.52	72474.27
		Step H	35.7038	2856.30	6188.66	74263.90
		Step I	36.5856	2926.85	6341.50	76098.05
		Step J	37.4891	2999.13	6498.11	77977.33
		Step K	38.4150	3073.20	6658.60	79903.20
		Step L	39.3636	3149.09	6823.02	81876.29
		Step M	40.3358	3226.86	6991.54	83898.46

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
1646B	Utility Government Relations Administrator-B	Step A	46.0250	3682.00	7977.67	95732.00
		Step B	47.1611	3772.89	8174.59	98095.09
		Step C	48.3258	3866.06	8376.47	100517.66
		Step D	49.5193	3961.54	8583.35	103000.14
		Step E	50.7422	4059.38	8795.31	105543.78
		Step F	51.9954	4159.63	9012.54	108150.43
		Step G	53.2794	4262.35	9235.10	110821.15
		Step H	54.5952	4367.62	9463.17	113558.02
		Step I	55.9435	4475.48	9696.87	116362.48
		Step J	57.3251	4586.01	9936.35	119236.21
		Step K	58.7408	4699.26	10181.74	122180.86
		Step L	60.1915	4815.32	10433.19	125198.32
		Step M	61.6779	4934.23	10690.84	128290.03

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
8980B	Wastewater Collection Superintendent-B	Step A	44.4348	3554.78	7702.03	92424.38
		Step B	45.5316	3642.53	7892.14	94705.73
		Step C	46.6561	3732.49	8087.06	97044.69
		Step D	47.8083	3824.66	8286.77	99441.26
		Step E	48.9889	3919.11	8491.41	101896.91
		Step F	50.1988	4015.90	8701.13	104413.50
		Step G	51.4384	4115.07	8915.99	106991.87
		Step H	52.7088	4216.70	9136.19	109634.30
		Step I	54.0106	4320.85	9361.84	112342.05
		Step J	55.3443	4427.54	9593.01	115116.14
		Step K	56.7111	4536.89	9829.92	117959.09
		Step L	58.1117	4648.94	10072.69	120872.34
		Step M	59.5468	4763.74	10321.45	123857.34

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
8978B	Wastewater Collection Supervisor-B	Step A	36.2523	2900.18	6283.73	75404.78
		Step B	37.1470	2971.76	6438.81	77265.76
		Step C	38.0643	3045.14	6597.81	79173.74
		Step D	39.0044	3120.35	6760.76	81129.15
		Step E	39.9676	3197.41	6927.72	83132.61
		Step F	40.9547	3276.38	7098.81	85185.78
		Step G	41.9661	3357.29	7274.12	87289.49
		Step H	43.0025	3440.20	7453.77	89445.20
		Step I	44.0646	3525.17	7637.86	91654.37
		Step J	45.1528	3612.22	7826.49	93917.82
		Step K	46.2679	3701.43	8019.77	96237.23
		Step L	47.4105	3792.84	8217.82	98613.84
		Step M	48.5813	3886.50	8420.76	101049.10

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9040B	Wastewater Treatment Plant Chief Operator-B	Step A	47.8509	3828.07	8294.16	99529.87
		Step B	49.0320	3922.56	8498.88	101986.56
		Step C	50.2428	4019.42	8708.75	104505.02
		Step D	51.4836	4118.69	8923.82	107085.89
		Step E	52.7551	4220.41	9144.22	109730.61
		Step F	54.0579	4324.63	9370.04	112440.43
		Step G	55.3929	4431.43	9601.44	115217.23
		Step H	56.7609	4540.87	9838.56	118062.67
		Step I	58.1627	4653.02	10081.53	120978.42
		Step J	59.5991	4767.93	10330.51	123966.13
		Step K	61.0709	4885.67	10585.62	127027.47
		Step L	62.5792	5006.34	10847.06	130164.74
		Step M	64.1246	5129.97	11114.93	133379.17

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9045B	Wastewater Utility Manager-B	Step A	60.3417	4827.34	10459.23	125510.74
		Step B	61.8309	4946.47	10717.36	128608.27
		Step C	63.3579	5068.63	10982.04	131784.43
		Step D	64.9226	5193.81	11253.25	135039.01
		Step E	66.5259	5322.07	11531.16	138373.87
		Step F	68.1689	5453.51	11815.94	141791.31
		Step G	69.8524	5588.19	12107.75	145292.99
		Step H	71.5774	5726.19	12406.75	148880.99
		Step I	73.3452	5867.62	12713.17	152558.02
		Step J	75.1566	6012.53	13027.14	156325.73
		Step K	77.0125	6161.00	13348.83	160186.00
		Step L	78.9144	6313.15	13678.50	164141.95
		Step M	80.8634	6469.07	14016.32	168195.87

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9047B	Water Conservation Administrator-B	Step A	39.9910	3199.28	6931.77	83181.28
		Step B	40.9782	3278.26	7102.89	85234.66
		Step C	41.9903	3359.22	7278.32	87339.82
		Step D	43.0272	3442.18	7458.05	89496.58
		Step E	44.0899	3527.19	7642.25	91706.99
		Step F	45.1787	3614.30	7830.97	93971.70
		Step G	46.2944	3703.55	8024.36	96292.35
		Step H	47.4377	3795.02	8222.53	98670.42
		Step I	48.6092	3888.74	8425.59	101107.14
		Step J	49.8097	3984.78	8633.68	103604.18
		Step K	51.0398	4083.18	8846.90	106162.78
		Step L	52.3002	4184.02	9065.37	108784.42
		Step M	53.5919	4287.35	9289.26	111471.15

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9085B	Water Distribution Superintendent-B	Step A	43.7794	3502.35	7588.43	91061.15
		Step B	45.5316	3642.53	7892.14	94705.73
		Step C	46.6561	3732.49	8087.06	97044.69
		Step D	47.8083	3824.66	8286.77	99441.26
		Step E	48.9889	3919.11	8491.41	101896.91
		Step F	50.1987	4015.90	8701.11	104413.30
		Step G	51.4384	4115.07	8915.99	106991.87
		Step H	52.7088	4216.70	9136.19	109634.30
		Step I	54.0106	4320.85	9361.84	112342.05
		Step J	55.3443	4427.54	9593.01	115116.14
		Step K	56.7111	4536.89	9829.92	117959.09
		Step L	58.1117	4648.94	10072.69	120872.34
		Step M	59.5468	4763.74	10321.45	123857.34

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9084B	Water Distribution Supervisor-B	Step A	36.2522	2900.18	6283.71	75404.58
		Step B	37.1470	2971.76	6438.81	77265.76
		Step C	38.0643	3045.14	6597.81	79173.74
		Step D	39.0044	3120.35	6760.76	81129.15
		Step E	39.9676	3197.41	6927.72	83132.61
		Step F	40.9547	3276.38	7098.81	85185.78
		Step G	41.9661	3357.29	7274.12	87289.49
		Step H	43.0025	3440.20	7453.77	89445.20
		Step I	44.0646	3525.17	7637.86	91654.37
		Step J	45.1528	3612.22	7826.49	93917.82
		Step K	46.2679	3701.43	8019.77	96237.23
		Step L	47.4105	3792.84	8217.82	98613.84
		Step M	48.5813	3886.50	8420.76	101049.10

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9101B	Water Quality Lab Supervisor-B	Step A	38.0634	3045.07	6597.66	79171.87
		Step B	39.0028	3120.22	6760.49	81125.82
		Step C	39.9660	3197.28	6927.44	83129.28
		Step D	40.9531	3276.25	7098.54	85182.45
		Step E	41.9644	3357.15	7273.83	87285.95
		Step F	43.0007	3440.06	7453.45	89441.46
		Step G	44.0626	3525.01	7637.52	91650.21
		Step H	45.1510	3612.08	7826.17	93914.08
		Step I	46.2659	3701.27	8019.42	96233.07
		Step J	47.4085	3792.68	8217.47	98609.68
		Step K	48.5794	3886.35	8420.43	101045.15
		Step L	49.7790	3982.32	8628.36	103540.32
		Step M	51.0084	4080.67	8841.46	106097.47

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9175B	Water Treatment Plant Chief Operator-B	Step A	43.5007	3480.06	7540.12	90481.46
		Step B	44.5746	3565.97	7726.26	92715.17
		Step C	45.6753	3654.02	7917.05	95004.62
		Step D	46.8033	3744.26	8112.57	97350.86
		Step E	47.9591	3836.73	8312.91	99754.93
		Step F	49.1436	3931.49	8518.22	102218.69
		Step G	50.3572	4028.58	8728.58	104742.98
		Step H	51.6008	4128.06	8944.14	107329.66
		Step I	52.8751	4230.01	9165.02	109980.21
		Step J	54.1809	4334.47	9391.36	112696.27
		Step K	55.5191	4441.53	9623.31	115479.73
		Step L	56.8901	4551.21	9860.95	118331.41
		Step M	58.2951	4663.61	10104.48	121253.81

City of Roseville

Salary Schedule: Management-B

Appendix:N
Effective Date:03/13/2021

MGMT Management

Grade Code	Grade Name	Step Name	Hourly	Bi-Weekly	Monthly	Annual
9244B	Water Utility Manager-B	Step A	60.3417	4827.34	10459.23	125510.74
		Step B	61.8309	4946.47	10717.36	128608.27
		Step C	63.3579	5068.63	10982.04	131784.43
		Step D	64.9226	5193.81	11253.25	135039.01
		Step E	66.5259	5322.07	11531.16	138373.87
		Step F	68.1689	5453.51	11815.94	141791.31
		Step G	69.8524	5588.19	12107.75	145292.99
		Step H	71.5774	5726.19	12406.75	148880.99
		Step I	73.3452	5867.62	12713.17	152558.02
		Step J	75.1566	6012.53	13027.14	156325.73
		Step K	77.0125	6161.00	13348.83	160186.00
		Step L	78.9144	6313.15	13678.50	164141.95
		Step M	80.8634	6469.07	14016.32	168195.87

Position Allocation Control Report

3/13/2021

Position Code	Position Title	Allocated FTE
CAO220245001	Assistant City Attorney	1
CAO221200001	City Attorney	1
CAO224096002	Legal Clerk I/II	1
CAO224096001	Legal Clerk I/II	1
CAO225015001	Paralegal	1
CAO227123004	Senior Deputy City Attorney	1
CAO227123003	Senior Deputy City Attorney	1
CAO227123002	Senior Deputy City Attorney	1
CAO227123001	Senior Deputy City Attorney	1
Total for Department:	**CAO City Attorney**	**9**

Position Code	Position Title	Allocated FTE
CCD360250001	Assistant City Clerk	1
CCD361220001	City Clerk	1
CCD361227004	City Clerk Technician I/II	1
CCD361227003	City Clerk Technician I/II	1
CCD361227002	City Clerk Technician I/II	1
CCD361227001	City Clerk Technician I/II	1
CCD361665003	Deputy City Clerk I/II	1
CCD361665002	Deputy City Clerk I/II	1
CCD361665001	Deputy City Clerk I/II	1
CCD369548006	Passport/Livescan Agent	0.5
CCD369548004	Passport/Livescan Agent	0.5
CCD369548002	Passport/Livescan Agent	1
CCD369548001	Passport/Livescan Agent	1
Total for Department:	**CCD City Clerk Department**	**12**

Position Code	Position Title	Allocated FTE
CMO140325002	Assistant City Manager	1
CMO140325001	Assistant City Manager	1
CMO141240001	City Manager	1
CMO142540001	Environmental Coordinator	1

Position Allocation Control Report

3/13/2021

CMO142551001	Executive Assistant	1
CMO144457001	Management Assistant I/II	1

Total for Department:	**CMO City Manager**	**6**

Position Code	Position Title	Allocated FTE
DSD660071001	Administrative Assistant	1
DSD660084001	Administrative Technician	1
DSD661005005	Associate (PE)/Assistant/Junior Engineer	1
DSD661005004	Associate (PE)/Assistant/Junior Engineer	1
DSD661005003	Associate (PE)/Assistant/Junior Engineer	1
DSD661005002	Associate (PE)/Assistant/Junior Engineer	1
DSD661005001	Associate (PE)/Assistant/Junior Engineer	1
DSD660820003	Associate Engineer	1
DSD660820001	Associate Engineer	1
DSD660900006	Associate/Assistant Planner	1
DSD660900005	Associate/Assistant Planner	1
DSD660900004	Associate/Assistant Planner	1
DSD660900003	Associate/Assistant Planner	1
DSD660900002	Associate/Assistant Planner	1
DSD660900001	Associate/Assistant Planner	1
DSD661017001	Building Inspection Supervisor	1
DSD661019006	Building Inspector I/II	1
DSD661019005	Building Inspector I/II	1
DSD661019004	Building Inspector I/II	1
DSD661019003	Building Inspector I/II	1
DSD661019002	Building Inspector I/II	1
DSD661019001	Building Inspector I/II	1
DSD661043001	Building Official	1
DSD661047004	Building Plans Examiner I/II	1
DSD661047003	Building Plans Examiner I/II	1
DSD661047002	Building Plans Examiner I/II	1
DSD661047001	Building Plans Examiner I/II	1
DSD661369003	Code Enforcement Inspector I/II	1
DSD661369002	Code Enforcement Inspector I/II	1
DSD661369001	Code Enforcement Inspector I/II	1
DSD661368001	Code Enforcement Supervisor	1
DSD661027001	Construction Inspection Superintendent	1
DSD661248001	Construction Inspection Supervisor	1

DSD661434006	Construction Inspector I/II	1
DSD661434005	Construction Inspector I/II	1
DSD661434004	Construction Inspector I/II	1
DSD661434003	Construction Inspector I/II	1
DSD661434002	Construction Inspector I/II	1
DSD661434001	Construction Inspector I/II	1
DSD661280001	Development Services Administrator	1
DSD661282001	Development Services Analyst	1
DSD661676001	Development Services Director	1
DSD661695001	Development Services Manager	1
DSD661672003	Development Technician I/II	1
DSD661672002	Development Technician I/II	1
DSD661672001	Development Technician I/II	1
DSD661277001	Engineering Manager	1
DSD663412001	GIS Analyst I/II	1
DSD662214001	GIS Technician I/II	1
DSD660050001	Management Analyst I/II	1
DSD664957002	Office Assistant I/II	1
DSD664957001	Office Assistant I/II	1
DSD665279003	Permit Technician I/II	1
DSD665279002	Permit Technician I/II	1
DSD665279001	Permit Technician I/II	1
DSD661501001	Permits Supervisor	1
DSD665309001	Planning Manager	1
DSD665514001	Principal Engineer	1
DSD667117001	Senior Building Inspector	1
DSD667195001	Senior Building Plans Examiner	1
DSD667121002	Senior Construction Inspector	1
DSD667121001	Senior Construction Inspector	1
DSD661281001	Senior Development Services Analyst	1
DSD667142003	Senior Engineer	1
DSD667142002	Senior Engineer	1
DSD661502001	Senior Permits Technician	1
DSD667180002	Senior Planner	1
DSD667180001	Senior Planner	1

Total for Department:	**DSD Development Services Department**	**68**

Position Allocation Control Report

3/13/2021

Position Code	Position Title	Allocated FTE
EDD541675001	Economic Development Analyst I/II	1
EDD541710001	Economic Development Director	1
EDD541001002	Economic Development Project Manager	1
EDD541001001	Economic Development Project Manager	1
EDD542551001	Executive Assistant	1
EDD543402002	Housing Analyst I/II	1
EDD543402001	Housing Analyst I/II	1
EDD543589001	Housing Manager	1
EDD543590001	Housing Supervisor	1
EDD543592004	Housing Technician I/II	0.75
EDD543592003	Housing Technician I/II	1
EDD543592002	Housing Technician I/II	1
EDD543592001	Housing Technician I/II	1
EDD540050001	Management Analyst I/II	1
EDD544957001	Office Assistant I/II	1
EDD547148001	Senior Housing Technician	1
Total for Department:	**EDD Economic Development Department**	**15.75**

Position Code	Position Title	Allocated FTE
ELE701505001	AMI Project Manager	1
ELE700071001	Administrative Assistant	1
ELE700084004	Administrative Technician	1
ELE700084003	Administrative Technician	1
ELE700084002	Administrative Technician	1
ELE700084001	Administrative Technician	1
ELE700395003	Assistant Electric Utility Director	1
ELE700395002	Assistant Electric Utility Director	1
ELE700395001	Assistant Electric Utility Director	1
ELE701548002	Data Management Specialist I/II	1
ELE701548001	Data Management Specialist I/II	1
ELE701645001	Department Public Information Officer	1
ELE701312008	Electric Business Analyst I/II	1
ELE701312006	Electric Business Analyst I/II	1
ELE701312005	Electric Business Analyst I/II	1
ELE701312004	Electric Business Analyst I/II	1

Position Allocation Control Report

3/13/2021

ELE701312003	Electric Business Analyst I/II	1
ELE701312002	Electric Business Analyst I/II	1
ELE701312001	Electric Business Analyst I/II	1
ELE701312007	Electric Business Analyst I/II	1
ELE702335002	Electric Compliance Analyst I/II	1
ELE702335001	Electric Compliance Analyst I/II	1
ELE706285001	Electric Customer Programs Supervisor	1
ELE702330002	Electric Drafting Technician I/II	1
ELE702330001	Electric Drafting Technician I/II	1
ELE702345005	Electric Engineering Technician I/II	1
ELE702345004	Electric Engineering Technician I/II	1
ELE702345003	Electric Engineering Technician I/II	1
ELE702345002	Electric Engineering Technician I/II	1
ELE702345001	Electric Engineering Technician I/II	1
ELE702284001	Electric Engineering Technician Supervisor	1
ELE702286003	Electric Line Troubleshooter	1
ELE702286002	Electric Line Troubleshooter	1
ELE702286001	Electric Line Troubleshooter	1
ELE702212004	Electric Materials Technician I/II	1
ELE702212003	Electric Materials Technician I/II	1
ELE702212002	Electric Materials Technician I/II	1
ELE702212001	Electric Materials Technician I/II	1
ELE701033005	Electric Metering Systems Technician I/II	1
ELE701033004	Electric Metering Systems Technician I/II	1
ELE701033003	Electric Metering Systems Technician I/II	1
ELE701033002	Electric Metering Systems Technician I/II	1
ELE701033001	Electric Metering Systems Technician I/II	1
ELE702338001	Electric Operations Manager	1
ELE702339002	Electric Operations Supervisor	1
ELE702339001	Electric Operations Supervisor	1
ELE702254001	Electric Preventive Data System Technician	1
ELE702316005	Electric Resources Planner I/II	1
ELE702316003	Electric Resources Planner I/II	1
ELE702316002	Electric Resources Planner I/II	1
ELE702316001	Electric Resources Planner I/II	1
ELE702216001	Electric Risk and Compliance Supervisor	1
ELE702319001	Electric Substation Supervisor	1
ELE701035012	Electric Substation Technician I/II	1
ELE701035011	Electric Substation Technician I/II	1
ELE701035010	Electric Substation Technician I/II	1
ELE701035009	Electric Substation Technician I/II	1

Position Allocation Control Report

3/13/2021

ELE701035008	Electric Substation Technician I/II	1
ELE701035007	Electric Substation Technician I/II	1
ELE701035006	Electric Substation Technician I/II	1
ELE701035005	Electric Substation Technician I/II	1
ELE701035004	Electric Substation Technician I/II	1
ELE701035003	Electric Substation Technician I/II	1
ELE701035002	Electric Substation Technician I/II	1
ELE701035001	Electric Substation Technician I/II	1
ELE702350003	Electric System Dispatcher	1
ELE702350002	Electric System Dispatcher	1
ELE702350001	Electric System Dispatcher	1
ELE702359003	Electric Technology System Technician	1
ELE702359002	Electric Technology System Technician	1
ELE702359001	Electric Technology System Technician	1
ELE709560001	Electric Technology Systems Administrator	1
ELE702320001	Electric Utility Director	1
ELE702215001	Electric Utility Financial Administrator	1
ELE709250002	Electric Utility Inspection Technician	1
ELE709250001	Electric Utility Inspection Technician	1
ELE702285013	Electric/Apprentice Line Technician	1
ELE702285011	Electric/Apprentice Line Technician	1
ELE702285010	Electric/Apprentice Line Technician	1
ELE702285009	Electric/Apprentice Line Technician	1
ELE702285008	Electric/Apprentice Line Technician	1
ELE702285007	Electric/Apprentice Line Technician	1
ELE702285006	Electric/Apprentice Line Technician	1
ELE702285005	Electric/Apprentice Line Technician	1
ELE702285004	Electric/Apprentice Line Technician	1
ELE702285002	Electric/Apprentice Line Technician	1
ELE702285001	Electric/Apprentice Line Technician	1
ELE702285015	Electric/Apprentice Line Technician/Pre-Apprentice	1
ELE702285014	Electric/Apprentice Line Technician/Pre-Apprentice	1
ELE702285012	Electric/Apprentice Line Technician/Pre-Apprentice	1
ELE702285003	Electric/Apprentice Line Technician/Pre-Apprentice	1
ELE702466004	Energy Program Technician	1
ELE702466003	Energy Program Technician	1
ELE702466002	Energy Program Technician	1
ELE702466001	Energy Program Technician	1
ELE702501002	Energy Services Account Representative I/II	1

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ELE702501001	Energy Services Account Representative I/II	1
ELE703412001	GIS Analyst I/II	1
ELE701648001	Government Relations Representative I/II	1
ELE703608003	Instrument and Control Technician	1
ELE703608002	Instrument and Control Technician	1
ELE703608001	Instrument and Control Technician	1
ELE704517001	Marketing and Communication Analyst I/II	1
ELE704957004	Office Assistant I/II	1
ELE704957003	Office Assistant I/II	1
ELE704957002	Office Assistant I/II	1
ELE704957001	Office Assistant I/II	1
ELE705596004	Power Engineer I/II	1
ELE705596003	Power Engineer I/II	1
ELE705596002	Power Engineer I/II	1
ELE705596001	Power Engineer I/II	1
ELE705505001	Power Engineering Manager	1
ELE705600001	Power Generation Superintendent	1
ELE705591002	Power Plant Engineer I/II	1
ELE705591001	Power Plant Engineer I/II	1
ELE705526003	Power Plant Mechanic	1
ELE705526002	Power Plant Mechanic	1
ELE705526001	Power Plant Mechanic	1
ELE705530002	Power Plant Operations and Maintenance Supervisor	1
ELE705530001	Power Plant Operations and Maintenance Supervisor	1
ELE705523009	Power Plant Operator Technician I/II	1
ELE705523008	Power Plant Operator Technician I/II	1
ELE705523007	Power Plant Operator Technician I/II	1
ELE705523006	Power Plant Operator Technician I/II	1
ELE705523005	Power Plant Operator Technician I/II	1
ELE705523004	Power Plant Operator Technician I/II	1
ELE705523003	Power Plant Operator Technician I/II	1
ELE705523002	Power Plant Operator Technician I/II	1
ELE705523001	Power Plant Operator Technician I/II	1
ELE705535001	Power Supply and Portfolio Administrator	1
ELE707128003	Senior Electric Business Analyst	1
ELE707128002	Senior Electric Business Analyst	1
ELE707128001	Senior Electric Business Analyst	1
ELE707118005	Senior Electric Line Technician	1
ELE707118004	Senior Electric Line Technician	1
ELE707118003	Senior Electric Line Technician	1

ELE707118002	Senior Electric Line Technician	1
ELE707118001	Senior Electric Line Technician	1
ELE707169001	Senior Electric Materials Technician	1
ELE701036001	Senior Electric Metering Systems Technician	1
ELE707126003	Senior Electric Substation Technician	1
ELE707126002	Senior Electric Substation Technician	1
ELE707126001	Senior Electric Substation Technician	1
ELE707168001	Senior Electric System Dispatcher	1
ELE701023001	Senior Electric Technology Systems Analyst	1
ELE707163002	Senior Energy Services Account Representative	1
ELE707163001	Senior Energy Services Account Representative	1
ELE707161001	Senior GIS Analyst	1
ELE707151002	Senior Power Engineer	1
ELE707151001	Senior Power Engineer	1
ELE705555001	Senior Power Plant Engineer	1
ELE707152004	Senior Power Plant Operator Technician	1
ELE707152003	Senior Power Plant Operator Technician	1
ELE707152002	Senior Power Plant Operator Technician	1
ELE707152001	Senior Power Plant Operator Technician	1
ELE701646001	Utility Government Relations Administrator	1

Total for Department:	**ELE Electric Department**	**156**

Position Code	Position Title	Allocated FTE
EUD740071001	Administrative Assistant	1
EUD741003001	Administrative Supervisor	1
EUD740084004	Administrative Technician	1
EUD740084002	Administrative Technician	1
EUD740084001	Administrative Technician	1
EUD740411003	Assistant Environmental Utilities Director	1
EUD740411002	Assistant Environmental Utilities Director	1
EUD740411001	Assistant Environmental Utilities Director	1
EUD741005001	Associate (PE)/Assistant/Junior Engineer	1
EUD740820002	Associate Engineer	1
EUD740820001	Associate Engineer	1
EUD741548002	Data Management Specialist I/II	1
EUD741548001	Data Management Specialist I/II	1
EUD749561001	Data Management System Administrator	1
EUD741645001	Department Public Information Officer	1

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EUD741672001	Development Technician I/II	1
EUD749550001	ERP Business Analyst I/II	1
EUD741350002	Engineering Technician I/II	1
EUD741350001	Engineering Technician I/II	1
EUD742544002	Environmental Compliance Specialist I/II	1
EUD742544001	Environmental Compliance Specialist I/II	1
EUD742548001	Environmental Utilities Business Administrator	1
EUD742547004	Environmental Utilities Business Analyst I/II	1
EUD742547003	Environmental Utilities Business Analyst I/II	1
EUD742547002	Environmental Utilities Business Analyst I/II	1
EUD742547001	Environmental Utilities Business Analyst I/II	1
EUD741684001	Environmental Utilities Director	1
EUD741038005	Environmental Utilities Instrument and Control Technician I/II	1
EUD741038004	Environmental Utilities Instrument and Control Technician I/II	1
EUD741038003	Environmental Utilities Instrument and Control Technician I/II	1
EUD741038002	Environmental Utilities Instrument and Control Technician I/II	1
EUD741038001	Environmental Utilities Instrument and Control Technician I/II	1
EUD741024001	Environmental Utilities Maintenance Superintendent	1
EUD742541002	Environmental Utility Compliance Administrator	1
EUD742541001	Environmental Utility Compliance Administrator	1
EUD743335002	Finance Clerk I/II	1
EUD743335001	Finance Clerk I/II	1
EUD743412003	GIS Analyst I/II	1
EUD743412002	GIS Analyst I/II	1
EUD743412001	GIS Analyst I/II	1
EUD743451001	Government Relations Administrator	1
EUD741002001	Hydrogeologist	1
EUD743604002	Industrial Waste Specialist	1
EUD743604001	Industrial Waste Specialist	1
EUD743610002	Industrial Waste Technician	1
EUD743732001	Interpretive Services Specialist I/II	1
EUD743740001	Interpretive Services Supervisor	1
EUD744020005	Laboratory Technician I/II	1
EUD744020004	Laboratory Technician I/II	1
EUD744020003	Laboratory Technician I/II	1
EUD744020001	Laboratory Technician I/II	1
EUD744517003	Marketing and Communication Analyst I/II	1
EUD744517002	Marketing and Communication Analyst I/II	1

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EUD744517001	Marketing and Communication Analyst I/II	1
EUD744520002	Materials Technician	1
EUD744520001	Materials Technician	1
EUD744957012	Office Assistant I/II	1
EUD744957011	Office Assistant I/II	1
EUD744957010	Office Assistant I/II	1
EUD744957009	Office Assistant I/II	1
EUD744957008	Office Assistant I/II	1
EUD744957007	Office Assistant I/II	1
EUD744957006	Office Assistant I/II	1
EUD744957005	Office Assistant I/II	1
EUD744957004	Office Assistant I/II	1
EUD744957003	Office Assistant I/II	1
EUD744957002	Office Assistant I/II	1
EUD744957001	Office Assistant I/II	1
EUD741028001	Organics Truck Driver	1
EUD745319003	Plant and Equipment Maintenance Worker I/II	1
EUD745319002	Plant and Equipment Maintenance Worker I/II	1
EUD745319001	Plant and Equipment Maintenance Worker I/II	1
EUD745328006	Plant and Equipment Mechanic I/II	1
EUD745328005	Plant and Equipment Mechanic I/II	1
EUD745328004	Plant and Equipment Mechanic I/II	1
EUD745328003	Plant and Equipment Mechanic I/II	1
EUD745328002	Plant and Equipment Mechanic I/II	1
EUD745328001	Plant and Equipment Mechanic I/II	1
EUD745402001	Predictive Maintenance Technician I/II	1
EUD745533002	Preventative Maintenance Coordinator	1
EUD745533001	Preventative Maintenance Coordinator	1
EUD745519002	Preventative Maintenance Supervisor	1
EUD745519001	Preventative Maintenance Supervisor	1
EUD745502003	Preventative Maintenance Technician I/II	1
EUD745502002	Preventative Maintenance Technician I/II	1
EUD745502001	Preventative Maintenance Technician I/II	1
EUD745514002	Principal Engineer	1
EUD745514001	Principal Engineer	1
EUD741004001	Process Engineer	1
EUD746150001	Recycling and Organics Coordinator	1
EUD746226004	Refuse Maintenance Worker I/II	1
EUD746226003	Refuse Maintenance Worker I/II	1
EUD746226002	Refuse Maintenance Worker I/II	1
EUD746226001	Refuse Maintenance Worker I/II	1

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EUD746231001	Refuse Superintendent	1
EUD746235003	Refuse Supervisor	1
EUD746235002	Refuse Supervisor	1
EUD746235001	Refuse Supervisor	1
EUD746304036	Refuse Truck Driver I/II	1
EUD746304035	Refuse Truck Driver I/II	1
EUD746304034	Refuse Truck Driver I/II	1
EUD746304033	Refuse Truck Driver I/II	1
EUD746304032	Refuse Truck Driver I/II	1
EUD746304031	Refuse Truck Driver I/II	1
EUD746304030	Refuse Truck Driver I/II	1
EUD746304029	Refuse Truck Driver I/II	1
EUD746304028	Refuse Truck Driver I/II	1
EUD746304027	Refuse Truck Driver I/II	1
EUD746304026	Refuse Truck Driver I/II	1
EUD746304025	Refuse Truck Driver I/II	1
EUD746304024	Refuse Truck Driver I/II	1
EUD746304023	Refuse Truck Driver I/II	1
EUD746304022	Refuse Truck Driver I/II	1
EUD746304021	Refuse Truck Driver I/II	1
EUD746304020	Refuse Truck Driver I/II	1
EUD746304019	Refuse Truck Driver I/II	1
EUD746304018	Refuse Truck Driver I/II	1
EUD746304017	Refuse Truck Driver I/II	1
EUD746304016	Refuse Truck Driver I/II	1
EUD746304015	Refuse Truck Driver I/II	1
EUD746304014	Refuse Truck Driver I/II	1
EUD746304013	Refuse Truck Driver I/II	1
EUD746304012	Refuse Truck Driver I/II	1
EUD746304011	Refuse Truck Driver I/II	1
EUD746304010	Refuse Truck Driver I/II	1
EUD746304009	Refuse Truck Driver I/II	1
EUD746304008	Refuse Truck Driver I/II	1
EUD746304006	Refuse Truck Driver I/II	1
EUD746304005	Refuse Truck Driver I/II	1
EUD746304004	Refuse Truck Driver I/II	1
EUD746304003	Refuse Truck Driver I/II	1
EUD746304002	Refuse Truck Driver I/II	1
EUD746304001	Refuse Truck Driver I/II	1
EUD746244001	Refuse and Stormwater Manager	1
EUD747020002	SCADA System Technician	1

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EUD747020001	SCADA System Technician	1
EUD746500002	Safety Coordinator	1
EUD746500001	Safety Coordinator	1
EUD747142004	Senior Engineer	1
EUD747142003	Senior Engineer	1
EUD747142002	Senior Engineer	1
EUD747162001	Senior Engineering Technician	1
EUD741065001	Senior Environmental Utilities Business Analyst	1
EUD747161001	Senior GIS Analyst	1
EUD743733001	Senior Interpretive Services Specialist	1
EUD747284003	Senior Preventative Maintenance Technician	1
EUD747284002	Senior Preventative Maintenance Technician	1
EUD747284001	Senior Preventative Maintenance Technician	1
EUD747129006	Senior Refuse Truck Driver	1
EUD747129005	Senior Refuse Truck Driver	1
EUD747129004	Senior Refuse Truck Driver	1
EUD747129003	Senior Refuse Truck Driver	1
EUD747129002	Senior Refuse Truck Driver	1
EUD747129001	Senior Refuse Truck Driver	1
EUD749559001	Senior SCADA System Technician	1
EUD741279001	Senior Solid Waste Billing Technician	1
EUD747202004	Senior Wastewater Utility Maintenance Worker	1
EUD747202003	Senior Wastewater Utility Maintenance Worker	1
EUD747202002	Senior Wastewater Utility Maintenance Worker	1
EUD747202001	Senior Wastewater Utility Maintenance Worker	1
EUD747204005	Senior Water Distribution Worker	1
EUD747204004	Senior Water Distribution Worker	1
EUD747204003	Senior Water Distribution Worker	1
EUD747204002	Senior Water Distribution Worker	1
EUD747204001	Senior Water Distribution Worker	1
EUD747324001	Solid Waste Billing Technician	1
EUD741646002	Utility Government Relations Administrator	1
EUD741646001	Utility Government Relations Administrator	1
EUD748978002	Wasterwater Collection Supervisor	1
EUD748980001	Wastewater Collection Superintendent	1
EUD748978003	Wastewater Collection Supervisor	1
EUD748978001	Wastewater Collection Supervisor	1
EUD749040001	Wastewater Treatment Plant Chief Operator	1
EUD749025010	Wastewater Treatment Plant Operator Grade I/II/III 8Hr	1
EUD749025009	Wastewater Treatment Plant Operator Grade I/II/III 8Hr	1

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EUD749025008	Wastewater Treatment Plant Operator Grade I/II/III 8Hr	1
EUD749025007	Wastewater Treatment Plant Operator Grade I/II/III 8Hr	1
EUD749025006	Wastewater Treatment Plant Operator Grade I/II/III 8Hr	1
EUD749025005	Wastewater Treatment Plant Operator Grade I/II/III 8Hr	1
EUD749025004	Wastewater Treatment Plant Operator Grade I/II/III 8Hr	1
EUD749025003	Wastewater Treatment Plant Operator Grade I/II/III 8Hr	1
EUD749025002	Wastewater Treatment Plant Operator Grade I/II/III 8Hr	1
EUD749025001	Wastewater Treatment Plant Operator Grade I/II/III 8Hr	1
EUD749020004	Wastewater Treatment Plant Operator Grade III 24Hr	1
EUD749020003	Wastewater Treatment Plant Operator Grade III 24Hr	1
EUD749020002	Wastewater Treatment Plant Operator Grade III 24Hr	1
EUD749020001	Wastewater Treatment Plant Operator Grade III 24Hr	1
EUD749030002	Wastewater Treatment Plant Operator Grade IV	1
EUD749030001	Wastewater Treatment Plant Operator Grade IV	1
EUD749029022	Wastewater Utility Maintenance Worker I/II	1
EUD749029021	Wastewater Utility Maintenance Worker I/II	1
EUD749029020	Wastewater Utility Maintenance Worker I/II	1
EUD749029019	Wastewater Utility Maintenance Worker I/II	1
EUD749029018	Wastewater Utility Maintenance Worker I/II	1
EUD749029017	Wastewater Utility Maintenance Worker I/II	1
EUD749029016	Wastewater Utility Maintenance Worker I/II	1
EUD749029015	Wastewater Utility Maintenance Worker I/II	1
EUD749029014	Wastewater Utility Maintenance Worker I/II	1
EUD749029013	Wastewater Utility Maintenance Worker I/II	1
EUD749029012	Wastewater Utility Maintenance Worker I/II	1
EUD749029011	Wastewater Utility Maintenance Worker I/II	1
EUD749029010	Wastewater Utility Maintenance Worker I/II	1
EUD749029009	Wastewater Utility Maintenance Worker I/II	1
EUD749029008	Wastewater Utility Maintenance Worker I/II	1
EUD749029007	Wastewater Utility Maintenance Worker I/II	1
EUD749029006	Wastewater Utility Maintenance Worker I/II	1
EUD749029005	Wastewater Utility Maintenance Worker I/II	1
EUD749029004	Wastewater Utility Maintenance Worker I/II	1
EUD749029003	Wastewater Utility Maintenance Worker I/II	1

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EUD749029002	Wastewater Utility Maintenance Worker I/II	1
EUD749029001	Wastewater Utility Maintenance Worker I/II	1
EUD749029023	Wastewater Utility Maintenance Worker I/II	1
EUD749047001	Water Conservation Administrator	1
EUD749078001	Water Conservation Specialist	1
EUD749051005	Water Conservation Worker I/II	1
EUD749051004	Water Conservation Worker I/II	1
EUD749051003	Water Conservation Worker I/II	1
EUD749051002	Water Conservation Worker I/II	1
EUD749051001	Water Conservation Worker I/II	1
EUD749085001	Water Distribution Superintendent	1
EUD749084004	Water Distribution Supervisor	1
EUD749084003	Water Distribution Supervisor	1
EUD749084002	Water Distribution Supervisor	1
EUD749084001	Water Distribution Supervisor	1
EUD749248022	Water Distribution Worker I/II	1
EUD749248021	Water Distribution Worker I/II	1
EUD749248020	Water Distribution Worker I/II	1
EUD749248019	Water Distribution Worker I/II	1
EUD749248018	Water Distribution Worker I/II	1
EUD749248017	Water Distribution Worker I/II	1
EUD749248016	Water Distribution Worker I/II	1
EUD749248015	Water Distribution Worker I/II	1
EUD749248014	Water Distribution Worker I/II	1
EUD749248013	Water Distribution Worker I/II	1
EUD749248012	Water Distribution Worker I/II	1
EUD749248011	Water Distribution Worker I/II	1
EUD749248010	Water Distribution Worker I/II	1
EUD749248009	Water Distribution Worker I/II	1
EUD749248008	Water Distribution Worker I/II	1
EUD749248007	Water Distribution Worker I/II	1
EUD749248006	Water Distribution Worker I/II	1
EUD749248005	Water Distribution Worker I/II	1
EUD749248004	Water Distribution Worker I/II	1
EUD749248003	Water Distribution Worker I/II	1
EUD749248002	Water Distribution Worker I/II	1
EUD749248001	Water Distribution Worker I/II	1
EUD749101001	Water Quality Lab Supervisor	1
EUD749101002	Water Quality Lab Supervisor	1
EUD741053001	Water Quality Technician	1
EUD749175001	Water Treatment Plant Chief Operator	1

EUD749230006	Water Treatment Plant Operator Grade I/II/III 24Hr	1
EUD749230005	Water Treatment Plant Operator Grade I/II/III 24Hr	1
EUD749230004	Water Treatment Plant Operator Grade I/II/III 24Hr	1
EUD749230003	Water Treatment Plant Operator Grade I/II/III 24Hr	1
EUD749230002	Water Treatment Plant Operator Grade I/II/III 24Hr	1
EUD749230001	Water Treatment Plant Operator Grade I/II/III 24Hr	1

Total for Department:	**EUD Environmental Utilities Department**	**256**

Position Code	Position Title	Allocated FTE
FIN240040002	Accountant I/II	1
FIN240040001	Accountant I/II	1
FIN241370001	Accounting Manager	1
FIN240043001	Accounting Supervisor	1
FIN249427001	Accounts Payable Supervisor	1
FIN240084002	Administrative Technician	0.5
FIN240084001	Administrative Technician	1
FIN241021001	Assistant City Manager/Chief Financial Officer	1
FIN2421026001	Assistant Finance Director	1
FIN240915003	Budget Analyst I/II	0.75
FIN240915002	Budget Analyst I/II	1
FIN240915001	Budget Analyst I/II	1
FIN240930001	Budget Manager	1
FIN241060003	Buyer I/II	1
FIN241060002	Buyer I/II	1
FIN241060001	Buyer I/II	1
FIN241450001	Courier	1
FIN249550003	ERP Business Analyst I/II	1
FIN249550002	ERP Business Analyst I/II	1
FIN249550001	ERP Business Analyst I/II	1
FIN246520001	ERP Manager	1
FIN243335019	Finance Clerk I/II	0.63
FIN243335011	Finance Clerk I/II	1
FIN243335009	Finance Clerk I/II	1
FIN243335004	Finance Clerk I/II	1

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FIN242900001	Finance Manager	1
FIN243326006	Finance Technician I/II	1
FIN243326003	Finance Technician I/II	1
FIN243315003	Finance Utility Billing Analyst I/II	1
FIN243315002	Finance Utility Billing Analyst I/II	1
FIN243315001	Finance Utility Billing Analyst I/II	1
FIN240050001	Management Analyst I/II	1
FIN244457001	Management Assistant I/II	1
FIN244517001	Marketing and Communication Analyst I/II	0.5
FIN244540003	Meter Reader	1
FIN244540002	Meter Reader	1
FIN244540001	Meter Reader	1
FIN244534001	Meter Service Worker	1
FIN245103002	Payroll Clerk I/II	1
FIN245103001	Payroll Clerk I/II	0.6
FIN249428001	Payroll Supervisor	1
FIN245101002	Payroll Technician I/II	1
FIN245101001	Payroll Technician I/II	1
FIN245652001	Purchasing and Warehouse Manager	1
FIN241051003	Senior Accountant	1
FIN241051002	Senior Accountant	1
FIN241051001	Senior Accountant	1
FIN247139001	Senior Buyer	1
FIN247200001	Senior Meter Service Worker	1
FIN247141001	Senior Payroll Technician	1
FIN241029001	Senior Utility Billing Analyst	1
FIN241044002	Senior Utility Customer Service Specialist	1
FIN241044001	Senior Utility Customer Service Specialist	1
FIN247203001	Senior Warehouse Worker	1
FIN240920001	Utility Billing Operations Manager	1
FIN241041001	Utility Billing and Field Services Supervisor	1
FIN241049015	Utility Customer Service Representative I/II	1
FIN241049014	Utility Customer Service Representative I/II	1
FIN241049013	Utility Customer Service Representative I/II	1
FIN241049012	Utility Customer Service Representative I/II	1
FIN241049011	Utility Customer Service Representative I/II	0.75
FIN241049010	Utility Customer Service Representative I/II	0.5
FIN241049009	Utility Customer Service Representative I/II	1
FIN241049008	Utility Customer Service Representative I/II	0.5
FIN241049007	Utility Customer Service Representative I/II	1
FIN241049006	Utility Customer Service Representative I/II	1

FIN241049005	Utility Customer Service Representative I/II	1
FIN241049004	Utility Customer Service Representative I/II	1
FIN241049003	Utility Customer Service Representative I/II	1
FIN241049002	Utility Customer Service Representative I/II	1
FIN241049001	Utility Customer Service Representative I/II	1
FIN241046004	Utility Customer Service Specialist I/II	1
FIN241046003	Utility Customer Service Specialist I/II	1
FIN241046002	Utility Customer Service Specialist I/II	1
FIN241046001	Utility Customer Service Specialist I/II	1
FIN241042001	Utility Customer Service Supervisor	1
FIN248952001	Warehouse Worker I/II	1

Total for Department:	**FIN Finance Department**	**73.73**

Position Code	Position Title	Allocated FTE
FIR480071001	Administrative Assistant	1
FIR480084001	Administrative Technician	1
FIR480480001	Assistant Fire Chief	1
FIR481645001	Department Public Information Officer	1
FIR482415001	EMS Quality Assurance Coordinator	1
FIR480905006	Fire Battalion Chief	1
FIR480905004	Fire Battalion Chief	1
FIR480905003	Fire Battalion Chief	1
FIR480905002	Fire Battalion Chief	1
FIR480905001	Fire Battalion Chief	1
FIR480905005	Fire Battalion Chief	1
FIR483320030	Fire Captain	1
FIR483320029	Fire Captain	1
FIR483320028	Fire Captain	1
FIR483320027	Fire Captain	1
FIR483320026	Fire Captain	1
FIR483320025	Fire Captain	1
FIR483320024	Fire Captain	1
FIR483320023	Fire Captain	1
FIR483320022	Fire Captain	1
FIR483320021	Fire Captain	1
FIR483320020	Fire Captain	1
FIR483320019	Fire Captain	1
FIR483320018	Fire Captain	1

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FIR483320017	Fire Captain	1
FIR483320016	Fire Captain	1
FIR483320015	Fire Captain	1
FIR483320014	Fire Captain	1
FIR483320013	Fire Captain	1
FIR483320012	Fire Captain	1
FIR483320011	Fire Captain	1
FIR483320010	Fire Captain	1
FIR483320009	Fire Captain	1
FIR483320008	Fire Captain	1
FIR483320007	Fire Captain	1
FIR483320006	Fire Captain	1
FIR483320005	Fire Captain	1
FIR483320004	Fire Captain	1
FIR483320003	Fire Captain	1
FIR483320002	Fire Captain	1
FIR483320001	Fire Captain	1
FIR483330001	Fire Chief	1
FIR483332002	Fire Division Chief	1
FIR483332001	Fire Division Chief	1
FIR483340030	Fire Engineer	1
FIR483340029	Fire Engineer	1
FIR483340028	Fire Engineer	1
FIR483340027	Fire Engineer	1
FIR483340026	Fire Engineer	1
FIR483340025	Fire Engineer	1
FIR483340024	Fire Engineer	1
FIR483340023	Fire Engineer	1
FIR483340022	Fire Engineer	1
FIR483340021	Fire Engineer	1
FIR483340020	Fire Engineer	1
FIR483340019	Fire Engineer	1
FIR483340018	Fire Engineer	1
FIR483340017	Fire Engineer	1
FIR483340016	Fire Engineer	1
FIR483340015	Fire Engineer	1
FIR483340014	Fire Engineer	1
FIR483340013	Fire Engineer	1
FIR483340012	Fire Engineer	1
FIR483340011	Fire Engineer	1
FIR483340010	Fire Engineer	1

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FIR483340009	Fire Engineer	1
FIR483340008	Fire Engineer	1
FIR483340007	Fire Engineer	1
FIR483340006	Fire Engineer	1
FIR483340005	Fire Engineer	1
FIR483340004	Fire Engineer	1
FIR483340003	Fire Engineer	1
FIR483340002	Fire Engineer	1
FIR483340001	Fire Engineer	1
FIR481022001	Fire and Environmental Inspection Supervisor	1
FIR483377005	Fire and Environmental Safety Inspector I/II	1
FIR483377004	Fire and Environmental Safety Inspector I/II	1
FIR483377003	Fire and Environmental Safety Inspector I/II	1
FIR483377002	Fire and Environmental Safety Inspector I/II	1
FIR483377001	Fire and Environmental Safety Inspector I/II	1
FIR483355036	Firefighter Paramedic I/II	1
FIR483355035	Firefighter Paramedic I/II	1
FIR483355034	Firefighter Paramedic I/II	1
FIR483355033	Firefighter Paramedic I/II	1
FIR483355032	Firefighter Paramedic I/II	1
FIR483355031	Firefighter Paramedic I/II	1
FIR483355030	Firefighter Paramedic I/II	1
FIR483355029	Firefighter Paramedic I/II	1
FIR483355028	Firefighter Paramedic I/II	1
FIR483355027	Firefighter Paramedic I/II	1
FIR483355026	Firefighter Paramedic I/II	1
FIR483355025	Firefighter Paramedic I/II	1
FIR483355024	Firefighter Paramedic I/II	1
FIR483355023	Firefighter Paramedic I/II	1
FIR483355022	Firefighter Paramedic I/II	1
FIR483355021	Firefighter Paramedic I/II	1
FIR483355020	Firefighter Paramedic I/II	1
FIR483355019	Firefighter Paramedic I/II	1
FIR483355018	Firefighter Paramedic I/II	1
FIR483355017	Firefighter Paramedic I/II	1
FIR483355016	Firefighter Paramedic I/II	1
FIR483355015	Firefighter Paramedic I/II	1
FIR483355014	Firefighter Paramedic I/II	1
FIR483355013	Firefighter Paramedic I/II	1
FIR483355012	Firefighter Paramedic I/II	1
FIR483355011	Firefighter Paramedic I/II	1

FIR483355010	Firefighter Paramedic I/II	1
FIR483355009	Firefighter Paramedic I/II	1
FIR483355008	Firefighter Paramedic I/II	1
FIR483355007	Firefighter Paramedic I/II	1
FIR483355006	Firefighter Paramedic I/II	1
FIR483355005	Firefighter Paramedic I/II	1
FIR483355004	Firefighter Paramedic I/II	1
FIR483355003	Firefighter Paramedic I/II	1
FIR483355002	Firefighter Paramedic I/II	1
FIR483355001	Firefighter Paramedic I/II	1
FIR483412001	GIS Analyst I/II	1
FIR480050001	Management Analyst I/II	1
FIR484957001	Office Assistant I/II	1

Total for Department:	**FIR Fire Department**	**119**

Position Code	Position Title	Allocated FTE
HRD280071001	Administrative Assistant	1
HRD280484001	Assistant Human Resources Director	1
HRD283596002	Human Resources Analyst I/II	1
HRD283596001	Human Resources Analyst I/II	1
HRD283598001	Human Resources Director	1
HRD283599001	Human Resources Manager	1
HRD285235006	Human Resources Technician	1
HRD285235005	Human Resources Technician	1
HRD285235004	Human Resources Technician	1
HRD285235003	Human Resources Technician	1
HRD285235002	Human Resources Technician	1
HRD285235001	Human Resources Technician	1
HRD286450001	Risk Manager	1
HRD287178002	Senior Human Resources Technician	1
HRD287178001	Senior Human Resources Technician	1

Total for Department:	**HRD Human Resources Department**	**15**

Position Allocation Control Report

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Position Code	Position Title	Allocated FTE
ITD320084001	Administrative Technician	1
ITD329558002	Assistant Information Technology Director	1
ITD329558001	Assistant Information Technology Director	1
ITD321362012	Business Systems Analyst I/II	1
ITD321362011	Business Systems Analyst I/II	1
ITD321362010	Business Systems Analyst I/II	1
ITD321362009	Business Systems Analyst I/II	1
ITD321362008	Business Systems Analyst I/II	1
ITD321362007	Business Systems Analyst I/II	1
ITD321362006	Business Systems Analyst I/II	1
ITD321362005	Business Systems Analyst I/II	1
ITD321362004	Business Systems Analyst I/II	1
ITD321362003	Business Systems Analyst I/II	1
ITD321362002	Business Systems Analyst I/II	1
ITD321362001	Business Systems Analyst I/II	1
ITD321180001	Chief Information Officer	1
ITD321552003	Database Analyst I/II	1
ITD321552002	Database Analyst I/II	1
ITD321552001	Database Analyst I/II	1
ITD323625001	Information Security Administrator	1
ITD323794012	Information Technology Analyst I/II	1
ITD323794011	Information Technology Analyst I/II	1
ITD323794010	Information Technology Analyst I/II	1
ITD323794009	Information Technology Analyst I/II	1
ITD323794008	Information Technology Analyst I/II	1
ITD323794007	Information Technology Analyst I/II	1
ITD323794006	Information Technology Analyst I/II	1
ITD323794005	Information Technology Analyst I/II	1
ITD323794004	Information Technology Analyst I/II	1
ITD323794003	Information Technology Analyst I/II	1
ITD323794002	Information Technology Analyst I/II	1
ITD323794001	Information Technology Analyst I/II	1
ITD329542001	Information Technology Client Services Supervisor	1
ITD323602005	Information Technology Program Manager	1
ITD323602004	Information Technology Program Manager	1
ITD323602003	Information Technology Program Manager	1
ITD323602002	Information Technology Program Manager	1

ITD323602001	Information Technology Program Manager	1
ITD323618003	Information Technology Technician I/II	1
ITD323618002	Information Technology Technician I/II	1
ITD323618001	Information Technology Technician I/II	1
ITD327130003	Senior Business Systems Analyst	1
ITD327130002	Senior Business Systems Analyst	1
ITD327130001	Senior Business Systems Analyst	1
ITD327145001	Senior Database Analyst	1
ITD327149003	Senior Information Technology Technician	1
ITD327149002	Senior Information Technology Technician	1

Total for Department:	**ITD Information Technology Department**	**47**

Position Code	Position Title	Allocated FTE
PAC181645001	Department Public Information Officer	1
PAC181659001	Deputy City Manager	1
PAC184517002	Marketing and Communication Analyst I/II	1
PAC184517001	Marketing and Communication Analyst I/II	1
PAC185565001	Public Information Officer	1
PAC188426001	Video and Multimedia Technician	1

Total for Department:	**PAC Public Affairs and Communications**	**6**

Position Code	Position Title	Allocated FTE
POL440071001	Administrative Assistant	1
POL440100002	Animal Control Officer	1
POL440100001	Animal Control Officer	1
POL440110001	Animal Control Supervisor	1
POL441430003	Communications Supervisor	1
POL441430002	Communications Supervisor	1
POL441430001	Communications Supervisor	1
POL441380009	Community Services Officer I/II	1
POL441380008	Community Services Officer I/II	1
POL441380007	Community Services Officer I/II	1
POL441380006	Community Services Officer I/II	1
POL441380005	Community Services Officer I/II	1

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POL441380004	Community Services Officer I/II	1
POL441380003	Community Services Officer I/II	1
POL441380002	Community Services Officer I/II	1
POL441380001	Community Services Officer I/II	1
POL441475002	Crime Analyst	1
POL441475001	Crime Analyst	1
POL441645001	Department Public Information Officer	1
POL441700021	Dispatcher I/II	1
POL441700020	Dispatcher I/II	1
POL441700019	Dispatcher I/II	1
POL441700018	Dispatcher I/II	1
POL441700017	Dispatcher I/II	1
POL441700016	Dispatcher I/II	1
POL441700015	Dispatcher I/II	1
POL441700014	Dispatcher I/II	1
POL441700013	Dispatcher I/II	1
POL441700012	Dispatcher I/II	1
POL441700011	Dispatcher I/II	1
POL441700010	Dispatcher I/II	1
POL441700009	Dispatcher I/II	1
POL441700008	Dispatcher I/II	1
POL441700007	Dispatcher I/II	1
POL441700006	Dispatcher I/II	1
POL441700005	Dispatcher I/II	1
POL441700004	Dispatcher I/II	1
POL441700003	Dispatcher I/II	1
POL441700002	Dispatcher I/II	1
POL441700001	Dispatcher I/II	1
POL440050001	Management Analyst I/II	1
POL445400003	Police Captain	1
POL445400002	Police Captain	1
POL445400001	Police Captain	1
POL445410001	Police Chief	1
POL445420007	Police Lieutenant	1
POL445420006	Police Lieutenant	1
POL445420005	Police Lieutenant	1
POL445420004	Police Lieutenant	1
POL445420003	Police Lieutenant	1
POL445420002	Police Lieutenant	1
POL445420001	Police Lieutenant	1
POL445430116	Police Officer/Police Trainee	1

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POL445430115	Police Officer/Police Trainee	1
POL445430114	Police Officer/Police Trainee	1
POL445430113	Police Officer/Police Trainee	1
POL445430112	Police Officer/Police Trainee	1
POL445430111	Police Officer/Police Trainee	1
POL445430110	Police Officer/Police Trainee	1
POL445430109	Police Officer/Police Trainee	1
POL445430108	Police Officer/Police Trainee	1
POL445430107	Police Officer/Police Trainee	1
POL445430106	Police Officer/Police Trainee	1
POL445430105	Police Officer/Police Trainee	1
POL445430104	Police Officer/Police Trainee	1
POL445430103	Police Officer/Police Trainee	1
POL445430102	Police Officer/Police Trainee	1
POL445430101	Police Officer/Police Trainee	1
POL445430100	Police Officer/Police Trainee	1
POL445430099	Police Officer/Police Trainee	1
POL445430098	Police Officer/Police Trainee	1
POL445430097	Police Officer/Police Trainee	1
POL445430096	Police Officer/Police Trainee	1
POL445430095	Police Officer/Police Trainee	1
POL445430094	Police Officer/Police Trainee	1
POL445430093	Police Officer/Police Trainee	1
POL445430092	Police Officer/Police Trainee	1
POL445430091	Police Officer/Police Trainee	1
POL445430090	Police Officer/Police Trainee	1
POL445430089	Police Officer/Police Trainee	1
POL445430088	Police Officer/Police Trainee	1
POL445430087	Police Officer/Police Trainee	1
POL445430086	Police Officer/Police Trainee	1
POL445430085	Police Officer/Police Trainee	1
POL445430084	Police Officer/Police Trainee	1
POL445430083	Police Officer/Police Trainee	1
POL445430082	Police Officer/Police Trainee	1
POL445430081	Police Officer/Police Trainee	1
POL445430080	Police Officer/Police Trainee	1
POL445430079	Police Officer/Police Trainee	1
POL445430078	Police Officer/Police Trainee	1
POL445430077	Police Officer/Police Trainee	1
POL445430076	Police Officer/Police Trainee	1
POL445430075	Police Officer/Police Trainee	1

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POL445430074	Police Officer/Police Trainee	1
POL445430073	Police Officer/Police Trainee	1
POL445430072	Police Officer/Police Trainee	1
POL445430071	Police Officer/Police Trainee	1
POL445430070	Police Officer/Police Trainee	1
POL445430069	Police Officer/Police Trainee	1
POL445430068	Police Officer/Police Trainee	1
POL445430067	Police Officer/Police Trainee	1
POL445430066	Police Officer/Police Trainee	1
POL445430065	Police Officer/Police Trainee	1
POL445430064	Police Officer/Police Trainee	1
POL445430063	Police Officer/Police Trainee	1
POL445430062	Police Officer/Police Trainee	1
POL445430061	Police Officer/Police Trainee	1
POL445430060	Police Officer/Police Trainee	1
POL445430059	Police Officer/Police Trainee	1
POL445430058	Police Officer/Police Trainee	1
POL445430057	Police Officer/Police Trainee	1
POL445430056	Police Officer/Police Trainee	1
POL445430055	Police Officer/Police Trainee	1
POL445430054	Police Officer/Police Trainee	1
POL445430053	Police Officer/Police Trainee	1
POL445430052	Police Officer/Police Trainee	1
POL445430051	Police Officer/Police Trainee	1
POL445430050	Police Officer/Police Trainee	1
POL445430049	Police Officer/Police Trainee	1
POL445430048	Police Officer/Police Trainee	1
POL445430047	Police Officer/Police Trainee	1
POL445430046	Police Officer/Police Trainee	1
POL445430045	Police Officer/Police Trainee	1
POL445430044	Police Officer/Police Trainee	1
POL445430043	Police Officer/Police Trainee	1
POL445430042	Police Officer/Police Trainee	1
POL445430041	Police Officer/Police Trainee	1
POL445430040	Police Officer/Police Trainee	1
POL445430039	Police Officer/Police Trainee	1
POL445430038	Police Officer/Police Trainee	1
POL445430037	Police Officer/Police Trainee	1
POL445430036	Police Officer/Police Trainee	1
POL445430035	Police Officer/Police Trainee	1
POL445430034	Police Officer/Police Trainee	1

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POL445430033	Police Officer/Police Trainee	1
POL445430032	Police Officer/Police Trainee	1
POL445430031	Police Officer/Police Trainee	1
POL445430030	Police Officer/Police Trainee	1
POL445430029	Police Officer/Police Trainee	1
POL445430028	Police Officer/Police Trainee	1
POL445430027	Police Officer/Police Trainee	1
POL445430026	Police Officer/Police Trainee	1
POL445430025	Police Officer/Police Trainee	1
POL445430024	Police Officer/Police Trainee	1
POL445430023	Police Officer/Police Trainee	1
POL445430022	Police Officer/Police Trainee	1
POL445430021	Police Officer/Police Trainee	1
POL445430020	Police Officer/Police Trainee	1
POL445430019	Police Officer/Police Trainee	1
POL445430018	Police Officer/Police Trainee	1
POL445430017	Police Officer/Police Trainee	1
POL445430016	Police Officer/Police Trainee	1
POL445430015	Police Officer/Police Trainee	1
POL445430014	Police Officer/Police Trainee	1
POL445430013	Police Officer/Police Trainee	1
POL445430012	Police Officer/Police Trainee	1
POL445430011	Police Officer/Police Trainee	1
POL445430010	Police Officer/Police Trainee	1
POL445430009	Police Officer/Police Trainee	1
POL445430008	Police Officer/Police Trainee	1
POL445430007	Police Officer/Police Trainee	1
POL445430006	Police Officer/Police Trainee	1
POL445430005	Police Officer/Police Trainee	1
POL445430004	Police Officer/Police Trainee	1
POL445430003	Police Officer/Police Trainee	1
POL445430002	Police Officer/Police Trainee	1
POL445430001	Police Officer/Police Trainee	1
POL445434003	Police Property and Evidence Clerk I/II	1
POL445434002	Police Property and Evidence Clerk I/II	1
POL445434001	Police Property and Evidence Clerk I/II	1
POL445429001	Police Property and Evidence Supervisor	1
POL445440011	Police Records Clerk I/II	1
POL445440010	Police Records Clerk I/II	1
POL445440009	Police Records Clerk I/II	1
POL445440008	Police Records Clerk I/II	1

POL445440007	Police Records Clerk I/II	1
POL445440006	Police Records Clerk I/II	1
POL445440005	Police Records Clerk I/II	1
POL445440004	Police Records Clerk I/II	1
POL445440003	Police Records Clerk I/II	1
POL445440002	Police Records Clerk I/II	1
POL445440001	Police Records Clerk I/II	1
POL445494004	Police Scene Technician I/II	1
POL445494003	Police Scene Technician I/II	1
POL445494002	Police Scene Technician I/II	1
POL445494001	Police Scene Technician I/II	1
POL445470015	Police Sergeant	1
POL445470014	Police Sergeant	1
POL445470013	Police Sergeant	1
POL445470012	Police Sergeant	1
POL445470011	Police Sergeant	1
POL445470010	Police Sergeant	1
POL445470009	Police Sergeant	1
POL445470008	Police Sergeant	1
POL445470007	Police Sergeant	1
POL445470006	Police Sergeant	1
POL445470005	Police Sergeant	1
POL445470004	Police Sergeant	1
POL445470003	Police Sergeant	1
POL445470002	Police Sergeant	1
POL445470001	Police Sergeant	1
POL445489002	Police Services Administrator	1
POL445489001	Police Services Administrator	1
POL441039001	Police Social Services Practitioner	1
POL445657001	Public Safety Program Coordinator	1
POL445710001	Rangemaster	1
POL445748001	Records Supervisor	1

Total for Department:	**POL Police Department**	**208**

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Position Code	Position Title	Allocated FTE
PRL580071001	Administrative Assistant	1
PRL580084006	Administrative Technician	1
PRL580084005	Administrative Technician	1
PRL580084004	Administrative Technician	1
PRL580084003	Administrative Technician	1
PRL580084002	Administrative Technician	1
PRL580084001	Administrative Technician	1
PRL588302001	Aquatics Maintenance Technician	1
PRL588300001	Arborist Technician	1
PRL580376002	Assistant Child Care Site Coordinator	1
PRL580376001	Assistant Child Care Site Coordinator	1
PRL581225009	Child Care Site Coordinator	1
PRL581225008	Child Care Site Coordinator	1
PRL581225007	Child Care Site Coordinator	1
PRL581225006	Child Care Site Coordinator	1
PRL581225005	Child Care Site Coordinator	1
PRL581225004	Child Care Site Coordinator	1
PRL581225003	Child Care Site Coordinator	1
PRL581225002	Child Care Site Coordinator	1
PRL581225001	Child Care Site Coordinator	1
PRL585075001	Irrigation Technician	1
PRL585075002	Irrigation Technician	1
PRL584152007	Librarian I/II	0.5
PRL584152006	Librarian I/II	1
PRL584152005	Librarian I/II	1
PRL584152004	Librarian I/II	1
PRL584152003	Librarian I/II	1
PRL584152002	Librarian I/II	1
PRL584152001	Librarian I/II	1
PRL584210005	Library Assistant I/II	1
PRL584210003	Library Assistant I/II	1
PRL584210002	Library Assistant I/II	1
PRL584210001	Library Assistant I/II	1
PRL584250003	Library Technician	1
PRL584250002	Library Technician	1
PRL584250001	Library Technician	1
PRL580050002	Management Analyst I/II	1
PRL580050001	Management Analyst I/II	1

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PRL584517001	Marketing and Communication Analyst I/II	1
PRL589545001	Natural Resources Specialist I/II	1
PRL584957009	Office Assistant I/II	1
PRL584957008	Office Assistant I/II	1
PRL584957007	Office Assistant I/II	1
PRL584957006	Office Assistant I/II	1
PRL584957005	Office Assistant I/II	1
PRL584957004	Office Assistant I/II	1
PRL584957003	Office Assistant I/II	1
PRL584957002	Office Assistant I/II	1
PRL584957001	Office Assistant I/II	1
PRL585022002	Park Development Project Manager I/II	1
PRL585022001	Park Development Project Manager I/II	1
PRL585080018	Parks Maintenance Worker I/II	1
PRL585080017	Parks Maintenance Worker I/II	1
PRL585080016	Parks Maintenance Worker I/II	1
PRL585080015	Parks Maintenance Worker I/II	1
PRL585080014	Parks Maintenance Worker I/II	1
PRL585080013	Parks Maintenance Worker I/II	1
PRL585080012	Parks Maintenance Worker I/II	1
PRL585080011	Parks Maintenance Worker I/II	1
PRL585080009	Parks Maintenance Worker I/II	1
PRL585080008	Parks Maintenance Worker I/II	1
PRL585080007	Parks Maintenance Worker I/II	1
PRL585080006	Parks Maintenance Worker I/II	1
PRL585080005	Parks Maintenance Worker I/II	1
PRL585080004	Parks Maintenance Worker I/II	1
PRL585080003	Parks Maintenance Worker I/II	1
PRL585080002	Parks Maintenance Worker I/II	1
PRL585080001	Parks Maintenance Worker I/II	1
PRL585024001	Parks Planning and Development Superintendent	1
PRL581278004	Parks Project Technician	1
PRL581278003	Parks Project Technician	1
PRL581278002	Parks Project Technician	1
PRL581278001	Parks Project Technician	1
PRL589543007	Parks Recreation and Libraries Coordinator I/II	1
PRL589543006	Parks Recreation and Libraries Coordinator I/II	1
PRL589543005	Parks Recreation and Libraries Coordinator I/II	1
PRL589543004	Parks Recreation and Libraries Coordinator I/II	1
PRL589543003	Parks Recreation and Libraries Coordinator I/II	1
PRL589543002	Parks Recreation and Libraries Coordinator I/II	1

PRL589543001	Parks Recreation and Libraries Coordinator I/II	1
PRL585082001	Parks Recreation and Libraries Director	1
PRL589547003	Parks Recreation and Libraries Manager	1
PRL589547002	Parks Recreation and Libraries Manager	1
PRL589547001	Parks Recreation and Libraries Manager	1
PRL589543008	Parks Recreation and Libraries Recreation Coordinator I/II	1
PRL589546005	Parks Recreation and Libraries Superintendent	1
PRL589546004	Parks Recreation and Libraries Superintendent	1
PRL589546003	Parks Recreation and Libraries Superintendent	1
PRL589546002	Parks Recreation and Libraries Superintendent	1
PRL589546001	Parks Recreation and Libraries Superintendent	1
PRL585069003	Parks Supervisor	1
PRL585069002	Parks Supervisor	1
PRL585069001	Parks Supervisor	1
PRL589544009	Recreation and Library Supervisor	1
PRL589544008	Recreation and Library Supervisor	1
PRL589544007	Recreation and Library Supervisor	1
PRL589544006	Recreation and Library Supervisor	1
PRL589544005	Recreation and Library Supervisor	1
PRL589544004	Recreation and Library Supervisor	1
PRL589544003	Recreation and Library Supervisor	1
PRL589544002	Recreation and Library Supervisor	1
PRL589544001	Recreation and Library Supervisor	1
PRL583733001	Senior Interpretive Services Specialist	1
PRL587192009	Senior Parks Maintenance Worker	1
PRL587192006	Senior Parks Maintenance Worker	1
PRL587192005	Senior Parks Maintenance Worker	1
PRL587192004	Senior Parks Maintenance Worker	1
PRL587192003	Senior Parks Maintenance Worker	1
PRL587192002	Senior Parks Maintenance Worker	1
PRL581504002	Tree Trimmer I/II	1
PRL581504001	Tree Trimmer I/II	1
PRL588762001	Urban Forester	1
PRL581641005	Youth Development Program Coordinator	1
PRL581641004	Youth Development Program Coordinator	1
PRL581641003	Youth Development Program Coordinator	1
PRL581641002	Youth Development Program Coordinator	1
PRL581641001	Youth Development Program Coordinator	1

Total for Department:	**PRL Parks Recreation and Libraries**	**116.5**

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Position Code	Position Title	Allocated FTE
PWD620071001	Administrative Assistant	1
PWD620084006	Administrative Technician	0.75
PWD620084005	Administrative Technician	1
PWD620084004	Administrative Technician	1
PWD620084003	Administrative Technician	1
PWD620084002	Administrative Technician	1
PWD620084001	Administrative Technician	1
PWD620088003	Alternative Transportation Analyst I/II	0.75
PWD620088001	Alternative Transportation Analyst I/II	1
PWD620090001	Alternative Transportation Manager	1
PWD621005010	Associate (PE)/Assistant/Junior Engineer	1
PWD621005009	Associate (PE)/Assistant/Junior Engineer	1
PWD621005008	Associate (PE)/Assistant/Junior Engineer	1
PWD621005007	Associate (PE)/Assistant/Junior Engineer	1
PWD621005006	Associate (PE)/Assistant/Junior Engineer	1
PWD621005005	Associate (PE)/Assistant/Junior Engineer	1
PWD621005004	Associate (PE)/Assistant/Junior Engineer	1
PWD621005003	Associate (PE)/Assistant/Junior Engineer	1
PWD621005002	Associate (PE)/Assistant/Junior Engineer	1
PWD621005001	Associate (PE)/Assistant/Junior Engineer	1
PWD621032005	Building Maintenance Worker I/II	1
PWD621032004	Building Maintenance Worker I/II	1
PWD621032003	Building Maintenance Worker I/II	1
PWD621032002	Building Maintenance Worker I/II	1
PWD621032001	Building Maintenance Worker I/II	1
PWD621401001	Community Relations Analyst	0.75
PWD621485005	Custodian	1
PWD621485004	Custodian	1
PWD621485003	Custodian	1
PWD621485002	Custodian	1
PWD621025001	Custodian Supervisor	1
PWD622385004	Electronics Technician I/II	1
PWD622385003	Electronics Technician I/II	1
PWD622385002	Electronics Technician I/II	1
PWD622385001	Electronics Technician I/II	1
PWD621277001	Engineering Manager	1
PWD621350001	Engineering Technician I/II	1
PWD622560004	Equipment Serviceworker	1

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PWD622560003	Equipment Serviceworker	1
PWD622560002	Equipment Serviceworker	1
PWD622560001	Equipment Serviceworker	1
PWD623395001	Facilities Manager	1
PWD624321001	Facilities Supervisor	1
PWD623319001	Fleet Management Technician	1
PWD623392001	Fleet Manager	1
PWD622565001	Fleet Services Supervisor	1
PWD622214001	GIS Technician I/II	1
PWD620050001	Management Analyst I/II	1
PWD624501009	Mechanic I/II	1
PWD624501008	Mechanic I/II	1
PWD624501007	Mechanic I/II	1
PWD624501006	Mechanic I/II	1
PWD624501005	Mechanic I/II	1
PWD624501004	Mechanic I/II	1
PWD624501003	Mechanic I/II	1
PWD624501002	Mechanic I/II	1
PWD624501001	Mechanic I/II	1
PWD624957004	Office Assistant I/II	1
PWD624957003	Office Assistant I/II	1
PWD624957002	Office Assistant I/II	1
PWD624957001	Office Assistant I/II	1
PWD625519001	Preventative Maintenance Supervisor	1
PWD625560002	Project Coordinator	1
PWD625560001	Project Coordinator	1
PWD625635001	Public Works Director	1
PWD627119001	Senior Building Maintenance Worker	1
PWD627170001	Senior Electronic Technician	1
PWD627142003	Senior Engineer	1
PWD627142002	Senior Engineer	1
PWD627142001	Senior Engineer	1
PWD627189002	Senior Mechanic	1
PWD627189001	Senior Mechanic	1
PWD627187006	Senior Street Maintenance Worker	1
PWD627187005	Senior Street Maintenance Worker	1
PWD627187004	Senior Street Maintenance Worker	1
PWD627187003	Senior Street Maintenance Worker	1
PWD627187002	Senior Street Maintenance Worker	1
PWD627187001	Senior Street Maintenance Worker	1
PWD627520001	Street Maintenance Superintendent	1

Position Allocation Control Report

3/13/2021

PWD627522003	Street Maintenance Supervisor	1
PWD627522002	Street Maintenance Supervisor	1
PWD627522001	Street Maintenance Supervisor	1
PWD627530019	Street Maintenance Worker I/II	1
PWD627530018	Street Maintenance Worker I/II	1
PWD627530017	Street Maintenance Worker I/II	1
PWD627530016	Street Maintenance Worker I/II	1
PWD627530015	Street Maintenance Worker I/II	1
PWD627530014	Street Maintenance Worker I/II	1
PWD627530013	Street Maintenance Worker I/II	1
PWD627530012	Street Maintenance Worker I/II	1
PWD627530011	Street Maintenance Worker I/II	1
PWD627530010	Street Maintenance Worker I/II	1
PWD627530009	Street Maintenance Worker I/II	1
PWD627530008	Street Maintenance Worker I/II	1
PWD627530007	Street Maintenance Worker I/II	1
PWD627530006	Street Maintenance Worker I/II	1
PWD627530005	Street Maintenance Worker I/II	1
PWD627530004	Street Maintenance Worker I/II	1
PWD627530003	Street Maintenance Worker I/II	1
PWD627530002	Street Maintenance Worker I/II	1
PWD627530001	Street Maintenance Worker I/II	1
PWD627540005	Street Sweeper Operator	1
PWD627540004	Street Sweeper Operator	1
PWD627540003	Street Sweeper Operator	1
PWD627540002	Street Sweeper Operator	1
PWD627540001	Street Sweeper Operator	1
PWD622376002	Traffic Signal Maintenance Worker I/II	1
PWD622376001	Traffic Signal Maintenance Worker I/II	1
PWD621059001	Transit Operations Analyst I/II	1
PWD621052001	Transportation Grants Analyst	1
PWD628938001	Vehicle Maintenance Parts Buyer	1
PWD628940001	Vehicle Maintenance Servicewriter	1
PWD628952001	Warehouse Worker I/II	1

Total for Department:	**PWD Public Works**	**112.25**

Total for All Departments	**Allocated FTE**	**1,220.23**

COUNCIL COMMUNICATION

CC #: 1313
File #: 0103-32-02

Title:	2021 Legislative and Regulatory Platform for State and Federal Advocacy
Contact:	Mark Wolinski 916-774-5179 mwolinski@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.18.

RECOMMENDATION TO COUNCIL

Adopt the attached Legislative Platform, which includes a set of priorities, legislative principles and platforms to guide the City's State and Federal legislative and judicial advocacy programs through 2021. (Attachment A)

BACKGROUND

The State and Federal priorities and legislative platform defined within the document are the result of the City Council's articulation of its goals and priorities during legislative updates and the City Council's Legislative Platform Workshop held January 13, 2021. The Legislative Platform conveys the Council's positions on issues that are currently, or are anticipated to be, the focus of future legislation by the State and Federal governments.

Council's adoption of this platform authorizes the City's active participation to influence State and Federal decision-making on legislative, administrative, regulatory and funding issues and to support judicial advocacy consistent with the City's priorities. Using this document as a guide, the City will adopt positions on specific legislation, regulations and budget proposals, and respond to requests for amicus curiae support when the outcome of a pending lawsuit is likely to significantly affect a priority concern of the City. The Mayor will sign letters based on the City's identified interests; the Mayor and other Council Members will advance City interests through individual and regional advocacy efforts; and the Mayor will act on requests from the City Attorney to join in friend-of-the-court briefs.

Staff recommends that the Legislative Platform be updated annually to be responsive to changes to the State and Federal legislative and regulatory environments and to reflect the interests unique to Roseville, the region and interests that may also be common to cities throughout the State.

Effective Platform Implementation

An assortment of internal and external resources is used to effectively administer the City's legislative platform, they include:

• The City Council Law and Regulation Committee is a standing, advisory committee of the City Council with review and recommendation authority. The Committee provides a forum to introduce, discuss and more thoroughly analyze selected proposed State and Federal legislation, policies and regulations that have the potential to impact City departments, the community and the region.
• Staff works collaboratively with the City's Federal and State lobbyists to understand and develop strategies for influencing legislation. The City's Federal lobbyist also works closely with staff to help identify funding resources for departmental projects and will review grant applications before they are submitted.
• Council members and staff interacts and participates with organizations such as The League of California Cities, Sacramento Area Council of Governments, North State Water Alliance, Northern California Power Agency, California Municipal Utilities Association and the Roseville Chamber of Commerce to advocate on issues that are important to the City.
• Internally, government relations staff works with staff from each department on legislation, regulations, and project funding opportunities that are important to their departments. During the course of the legislative year staff monitors introduced legislation, develops priority lists of legislation for each department and makes recommendations on letters of support/opposition as the bills progress through the legislative process.
• Government relations staff works closely with the staff of the City's State and Federal representatives to ensure the City's position on legislation is clearly understood by Roseville's representatives. The development of this relationship and exchange of information with the City's representatives is an effort that continues throughout the entire year.

Working collaboratively with the Council's Law and Regulation Committee and the City's internal and external partners ensures that the City's advocacy strategy has the greatest ability to influence legislation and policies that are crucial to the City. The City has successfully demonstrated the ability to work with this process and to develop coalitions that take positions on legislation in a manner that is beneficial and supportive of the adopted platform.

Platform Modifications

Modifications were made throughout the 2021 platform to ensure the City is well positioned to effectively advocate for or against legislation, policies and regulations introduced during the 2021 legislative and congressional sessions. These modifications were reviewed and discussed with the City Council during the Council's Legislative Platform workshop.

All additions/edits that were provided for Council's review at the Legislative Platform workshop have been incorporated into the 2021 Legislative and Regulatory Platform for State and Federal Advocacy. In addition, based on comments provided by City Council the following statements were added to the platform in the described sections:

State and Federal Funding Requests

• Funding to provide police body-worn cameras for state and local policing agencies.

Critical Concerns for the Upcoming Legislative/Congressional Sessions

• **Section 230 of the Communications Decency Act of 1996** – City departments will stay actively engaged in discussions at the state and federal level regarding changes to Section 230 and will provide input that would support actionable changes to the framework to ensure resulting standards meet the needs of public safety, current and forthcoming technology.

FISCAL IMPACT

The costs to implement the legislative advocacy program are included in the City's approved budget. The Legislative Platform has no direct fiscal impact to the City's budget; the platform provides policy direction only.

ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) only applies to projects which have the potential to cause a significant effect on the environment. Where it can be seen with certainty that there is no possibility that the activity in question may have a significant effect on the environment, the activity is not subject to CEQA. (CEQA Guidelines §15061(b)(3)).

Respectfully Submitted,

Mark Wolinski, Government Relations Administrator

Megan MacPherson, Deputy City Manager

_____

Dominick Casey, City Manager

ATTACHMENTS:

Description

Legislative and Regulatory Platform 2021 Attachment A

Attachment A

City of Roseville

2021 Legislative and Regulatory Platform for State and Federal Advocacy

CITY OF ROSEVILLE CALIFORNIA

Roseville City Council

From left to right: Councilmember Pauline Roccucci, Councilmember Scott Alvord, Mayor Krista Bernasconi, Councilmember Tracy Mendonsa, Vice Mayor Bruce Houdesheldt

Roseville City Council Goals 2020-2024

Goal A - Remain fiscally responsible in a changing world

Goal B - Support community engagement and advocacy

Goal C - Maintain a safe and healthy community

Goal D - Enhance economic vitality

Goal E - Deliver exceptional city services

Goal F - Invest in well-planned infrastructure and growth

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- Administrative Services Goal 6
- Community Development Goal 8
- Public Safety Goal 11
- Economic Development Goal 12
- Electric and Environmental Utilities Goals 14

Critical Concerns for the Upcoming Legislative/Congressional Session 19

Background

The positions defined within this legislative and regulatory platform reflect the City Council's goals and priorities as well as its position on issues that are currently, or are anticipated to be, the focus of future legislation and regulation at the state and federal levels. Council's adoption of this platform authorizes the City's active participation to influence state and federal decision-making on legislative, administrative, regulatory and funding issues and to support judicial advocacy consistent with the City's priorities. The platform was revised to incorporate the City's critical needs taking into account impacts associated with the novel Corona Virus COVID-19.

Using this document as a guide, the City will adopt positions on specific legislation, regulations and budget proposals, and respond to requests for amicus curiae support when the outcome of a pending lawsuit is likely to significantly affect a City priority. The Mayor will sign letters of support or opposition based on the City's identified interests, the Mayor and other Council Members will advance City interests through individual and regional advocacy efforts, and the Mayor will act on requests from the City Attorney to join in friend-of-the-court briefs.

Staff recommends that the Legislative and Regulatory Platform be updated annually to be responsive to changes to the state and federal legislative and regulatory environments and to reflect the interests unique to Roseville and interests that may also be common to cities throughout the state.

Strategies for Effective Platform Implementation

- City staff works collaboratively with our federal and state lobbyists to understand and develop strategies to influence legislation and regulations. The City's federal lobbyist also works closely with staff to help identify funding resources for projects and reviews grant applications before they are submitted. Staff will scrutinize grant requirements for matching funds, environmental review, or for new requirements required to ensure they have a reasonable correlation to the value of the funding and the benefit being derived from the grant.
- Council members and staff participate in organizations such as The League of California Cities, Sacramento Area Council of Governments (SACOG), Placer County Transportation and Planning Agency (PCTPA), Sacramento Metropolitan Chamber of Commerce, North State Water Alliance, Northern California Power Agency (NCPA), California Municipal Utilities Association (CMUA), Municipal Information Systems Association of California (MISAC), California Association of Sanitation Agencies (CASA), National Association of Clean Water Agencies (NACWA), Association of California Water Agencies (ACWA) and the Roseville Area Chamber of Commerce to advocate on issues that are important to the City. This allows the City to have a stronger voice and a greater potential to influence key policy and legislative matters.
- Government relations staff works with staff from each department to develop awareness of and understanding of legislation, regulations, and project funding opportunities. During the course of the legislative year, staff monitors legislation, develops priority lists of legislation for each department, and makes recommendations on letters of support or opposition as bills progress through the legislative process.
- Government relations staff strategizes and coordinates with other jurisdictions, special districts and organizations on key issues of common interest to ensure a stronger voice on legislation, regulatory and policy matters.
- City advocacy letters are signed by the Mayor. In the event the Mayor is not available to sign an advocacy letter, the responsibility will reside with the Vice-Mayor.
- Government relations staff works closely with state and federal legislative staff to ensure the City's position on legislation and critical concerns is clearly understood by Roseville's elected representatives. The development of this relationship and exchange of information with the City's representatives continues throughout the year.
- Proposed City advocacy positions on bills that would result in the issuance of or a vote for a new state bond will be brought to the full Council for its consideration and direction.

Working collaboratively with the Council's Law and Regulation Committee and the City's internal and external partners ensures that the City has the greatest ability to influence legislation and policies that are important to the City. The City has successfully used this process of proactive advocacy and coalition development to take positions on legislation to effectively advance the legislative platform.

Funding Opportunities

To develop outside state and federal funding opportunities for Roseville projects and programs.

We take the following approach to accomplish this:

1. Develop relationships and funding opportunities by actively promoting Roseville projects that provide a mutual benefit for our funding partners.
2. Advocating with local and regional partners for projects and programs worthy of state and federal funding that deliver value to the Roseville community.

State and Federal Funding Requests

Projects (not in order of priority):

1. Cybersecurity technology and IT infrastructure improvements needed to comply with state and federally mandated regulations and laws.
2. Regional road and highway improvements such as I-80/Hwy-65 Interchange, Hwy-65 Widening, Baseline Road widening, and Placer Parkway.
3. Fleet electrification including vehicles, charging facilities, tools, and training.
4. Emerging energy- and water-use efficiency technologies
5. Complete agreement execution for the Water Infrastructure Finance and Innovation Act (WIFIA) application that would expand the City's recycled water and ground water programs.
6. Water supply reliability improvements such as increased conjunctive use capacity, diversification of water supplies as it pertains to implementation of the Roseville Groundwater Strategic Plan, and recommended in other long-range resource planning documents.
7. Technologies and projects that ensure water treatment and conveyance is resilient to climate and other natural disaster impacts, including power disruptions and other threats.
8. Expansion of wastewater digestion capacity to provide organics recycling and generate renewable energy supply.
9. Funding to provide police body-worn cameras for state and local policing agencies.

Initiatives: Promoting economic stimulus and infrastructure investment

a. Projects that provide jobs especially in the areas of energy, water, transportation, public safety and community development.

b. Assistance for business expansion and formation.

City of Roseville Priorities for 2021

Citywide Legislative Principles

1. The City of Roseville believes in local control and the ability of the City Council to make decisions that address the needs of residents and businesses within its jurisdiction. In general, the City of Roseville will oppose legislation that erodes local control and will support legislation that increases local control.

2. The City of Roseville also believes in fiscal responsibility and requires financial flexibility to carry out its mission and objectives for the community. The City will oppose legislation that hinders financial flexibility and will support legislation that encourages financial flexibility.

3. Oppose unfunded mandates from legislation and regulations that requires the City to provide a service or benefit without appropriate and full funding.

4. Oppose legislation that would negatively impact City revenues including but not limited to sales tax, property tax, other General Fund revenues and enterprise fund revenues.

5. Support legislation that protects and maintains City sales tax revenue and oppose legislation that erodes this revenue. Any advocacy on sales tax or state bond legislation beyond these guidelines will be brought to the full Council for consideration and direction.

6. Support sponsoring or co-sponsoring legislation that meets the objectives of the City's legislative principles and platform.

7. Support legislation and provide leadership in crafting a regional vision and agenda that leverages regional assets in delivering a better economic and social environment and reinforces the value and versatility of regionalism to address complex regional issues including transportation, economic development, education, land use policy, water supply reliability, environmental concerns, and intraregional inequities in housing and education.

8. Advocate for additional pandemic stimulus funding that is allocated directly to cities, counties, and municipalities and allows for determinations to be made by local governments on how best to apply the funding.

City's Federal and State Legislative Platform

1. Oppose state and federal actions that would lead to incompatible consequences and/or have an adverse impact on the City's ability to comply with state and federal regulations and/or legislation.

2. Support legislation that would further the stated missions of the City's departments and oppose legislation that would impede the ability of each department to effectively meet its operational objectives.

3. Support legislation and regulations that protect City investments in infrastructure and other projects and enhances financial sustainability of City assets.

4. Support legislation and policies that protect our residents and businesses from inconsistent, unnecessary and costly regulations and guidance, mitigation requirements, and permitting mandates

5. Oppose legislation and regulations that would adversely affect or divert City revenues to the state or any other entity.

6. Support legislation that would require the state or federal governments to pay for unfunded mandates.

7. Oppose legislation that would impose significant compliance costs to the City and where a public benefit has not been identified to justify the costs.

8. Oppose legislation that would eliminate or curtail local control over City owned property, physical assets and infrastructure.

Administrative Services Goal

To protect the ability of the City to make decisions unencumbered by onerous policies and regulations that are costly and/or restrictive in how they must be implemented regarding areas of finance, human resources, city clerk, information technology and purchasing; to ensure the City's positions regarding pension reform are clearly articulated by providing input and expertise in response to future legislative efforts.

We take the following approaches to accomplish this:

Administrative Services Platform

1. Support legislation and measures that protect the City's rights in the collective bargaining process.
2. Support legislation, policies and regulations that simplify the administration of public records, election code and Brown Act provisions.
3. Oppose legislation that diminishes enacted workers' compensation reforms and cost controls.
4. Support efforts that engage and include local government in future pension reform discussions and legislation.
5. Oppose legislation and regulations that place new environmental requirements on local agencies that would inhibit the City's ability to fulfill its mission and are not accompanied by funding necessary for implementation.
6. Support legislation, policies and regulations that simplify public procurement and contracting.
7. Oppose legislation that restricts or diminishes the City's ability to procure goods and services efficiently and effectively.
8. Oppose legislation that alters the tax treatment of municipal bonds such as proposals that would cap or eliminate the current tax-exempt status.
9. Support reasonable and common-sense legislation and regulations that clarify conflict-of-interest rules.
10. Support legislation and policies that encourage streamlining of business processes for responding to open records requests and that provide funding for these activities.
11. Support legislation that includes operational expenses as an acceptable use of public, educational, government access (PEG) funds collected from cable-franchise agreements per the Federal Communications Commission for government-access stations.

Information Technology Platform

1. Support legislation that promotes and provides funding for increased ability to manage technology, assistance in adhering to regulatory compliance requirements and reducing the risk of cyber-attacks.

2. Oppose legislation that would require public agencies to share information in public settings that could be used by groups or individuals with malicious intent to compromise the systems, services or private information of public employees or citizen

3. Support legislation and regulations that ensure emergency communication capabilities and interoperability for information technology and public safety departments and agencies.

4. Support legislation that would clearly maintain local authority as it applies to platforms like cable, telecommunications, broadband, video, cellular, and Net Neutrality for all current and future development and deployment.

5. Support legislation that promotes artificial intelligence that is human-centric and socially beneficial, fair, explainable and transparent, secure and safe, and accountable.

6. Support legislation for cost-effective environmental technology initiatives.

7. Oppose legislation that would restrict procurement practices or impact vendor interaction.

Community Development Goal

To act on legislation that would affect our ability to amend and implement our General Plan policies and/or restrict local control over development or impose new regulations that will increase development costs and place greater demands on the City's General Fund. The intent of our General Plan policies is to create an attractive, vibrant, well-planned city, demonstrated by balanced land uses with desirable neighborhoods, prosperous businesses, excellent schools, convenient public services and open space and recreation amenities all linked by superior transportation systems that include well-maintained streets, sidewalks, bike paths and transit in a cost- effective and financially sustainable manner.

We take the following approaches to accomplish this:

Public Works Platform

1. Support continued reauthorization of the nation's highway and transit funding bills.
2. Oppose legislation that reduces, restricts or eliminates transportation revenues for transportation purposes or creates new unfunded state and federal mandates on transportation projects.
3. Support legislation that provides additional funding for local roadway maintenance, transportation and infrastructure.
4. Oppose legislation that would increase traffic congestion and/or reduce roadway vehicle capacity as a means of reducing Vehicle Miles Traveled and/or greenhouse gases (GHG).
5. Support legislation that allows local governments to determine local roadway Level of Service (LOS) policy.
6. Oppose legislation that places new restrictive burdens or oversights on local transit.
7. Oppose legislation that reduces the ability to use high-occupancy vehicle (HOV) lanes by transit, paratransit and other high-occupancy use vehicles.
8. Support legislation, policies and regulations that allow the use of Medi-Cal funds for transportation purposes and support Medi-Cal policies that promote the use of transit/ paratransit as Medicaid providers.
9. Support legislation that provides tax incentives for people who use public transit and other alternatives to driving a single-occupant personal vehicle.
10. Support legislation that provides funding for cities to comply with state and federal mandates regarding flood-protection level.

Development Services Platform

1. Support legislation that strengthens local control in the areas of transportation and land-use policies and assists local governments in integrating new growth.
2. Support legislation, policies and regulations that ensure local governments maintain local land-use control and maintain the ability to address local constituents' concerns.

3. Support legislation that ensures local control and flexibility in determining reduction measures for greenhouse gas requirements.

4. Support legislation and regulations that streamline state and federal permitting processes and require agencies to consider the economic impacts and equities of mitigation measures regarding permits for water supply, flood control, air quality, water quality and sustainability. (This list is representative and not intended to be exhaustive).

5. Oppose legislation for new wetlands regulations that duplicates similar requirements at the federal level.

6. Support legislation for California Environmental Quality Act (CEQA) reform that streamlines the environmental review process and reduces opportunities for "green mail" lawsuits.

7. Support legislation that would purge laws and regulations that are redundant and/or no longer applicable or necessary.

8. Advocate for appropriate federal resource agency staffing levels to ensure Endangered Species Act Section 7 Consultations are completed within statutory time frames (in particular, for the National Marine Fisheries Service).

9. Support legislation that ensures the rules governing representation of a city on a Board, Committee, or Commission with regional authority allow for meaningful and continual participation by the most populous cities on that Board, Committee, or Commission.

10. Support legislation that more narrowly defines the California Department of Fish and Wildlife jurisdiction under California Department of Fish and Wildlife Code Section 1600 (Streambed Alteration Agreement).

Parks, Recreation & Libraries Platform

1. Support legislation and measures that fund recreation, fitness, and wellness, and prevention programs for youth and adults.

2. Support legislation that protects funding for the State Library Fund.

3. Support legislation and new measures for park, recreation, and library programs, services, and special events.

4. Support new initiatives that provide funding for park and public facilities development, rehabilitation, and operations.

5. Support full funding of state parks, recreation, bike trails, open space, and library grants; including, but not limited to, the Land and Water Conservation Fund.

6. Support legislation and budgets that fund development of capital park and open space improvements or rehabilitation.

7. Support legislation that funds ongoing operational values for recreation and library programming, including fitness, wellness, enrichment, maintenance, and books/technology for youth and adults.

8. Support legislation that would further fair and appropriate access to park and library resources for all persons; including, but not limited to, homeless persons.

9. Support legislation that defines appropriate penalties for incidents of vandalism and property theft.

10. Support legislation that assists local agencies including parks departments with funding and/or resources for addressing clean-up and hauling of items from homeless encampments.

11. Support legislation that provides increased funding for arts and culture for the benefits it would provide to the residents, schools, and nonprofits regarding arts and culture.

Building Inspection Platform

1. Promote public health and safety in building construction through responsible legislation that adopts building codes through the regulatory process and allows for local control of enforcement.

2. Support legislation that enhances code enforcement through a uniform approach at the state level with input from the local authority by going through the regulatory process.

3. Oppose legislation that mandates specific enforcement requirements through the legislative process.

4. Protect the ability to adopt local amendments to fire and building codes.

5. Oppose legislation that places additional burdens of enforcement on local officials but is not directly related to issues of health and safety.

Public Safety Goal

To act on legislation that hinders our ability to protect and enhance the safety and quality of life for our residents, businesses, customers and partners by providing effective responses to all service requests; to promote programs that minimize risk and maximize the safety for our entire community; and to deliver community risk-reduction programs and exceptional solutions as a cohesive team and in a collaborative manner.

We take the following approaches to accomplish this:

1. Oppose legislation that would decrease state and federal funding for programs and services including Homeland Security.
2. Support legislation that ensures flexibility for and local control of all grant resources and funding for public safety technology and mandatory reporting systems.
3. Protect the ability to adopt local amendments to fire and building codes.
4. Oppose legislation that would reduce or eliminate funding for disaster mitigation.
5. Oppose reductions to the levels of state and federal funding opportunities for public safety programs and/or services.
6. Oppose legislation and regulatory policies that negatively affect the funding and operations of the police or fire departments.
7. Oppose legislation that reduces or eliminates local law enforcement flexibility or otherwise enacts broad sentencing reforms that reduce sentences for non-violent crimes in a general fashion.
8. Support legislation that provides municipalities with local control to provide para-transport, community para-medicine services and illness-prevention practices and programs.
9. Support legislation that provides adequate funding to cities for realignment services and oppose legislation that reduces or eliminates funding for those services.
10. Support legislation that results in regionalization of public safety functions and local control of regionalization of fire services.
11. Support legislation that requires the state to pay for unfunded mandates related to new public safety training requirements.
12. Support legislation that requires the state to pay for unfunded mandates related to new public safety training requirements
13. Support legislation and funding that would provide police body-worn cameras for state and local policing agencies.

Economic Development Goal

To act on legislation that affects the City of Roseville's ability to create a vibrant business and community environment that enhances the quality of life for our residents and provides outstanding potential for our businesses and partners to flourish. To provide exceptional facilities, programs, services, affordable housing, and economic opportunities in a safe environment by supporting and maintaining neighborhoods and commercial districts. To create a vibrant economic development environment by forming effective partnerships for the purposes of acting on legislation that encourages and assists with the development of businesses and manufacturing and to maximize social and economic opportunities.

We take the following approaches to accomplish this:

Housing and Community Investment Platform

1. Support legislation and appropriations that fully fund assistance for affordable housing, prevention and solutions to homelessness, and community investment funding, including the federal Housing Choice Voucher (HCV)/Section 8, Home Investment Partnerships (HOME) and Community Development Block Grant (CDBG) programs.
2. Support legislation and measures that create new state incentives for affordable housing and infill development.
3. Support legislation that increases flexibility and local control in the state housing element / Regional Housing Needs Allocation (RHNA) process and streamlines environmental statutes.
4. Support streamlining of the requirements for the types of projects that can be funded with state and federal funding.
5. Support legislation and advocacy efforts to protect existing and expand options for housing and community investment funding that will support goals for the City's core area and provide support for community assets.
6. Support legislation that provides funding, accountability, and program resources that address the primary causes of homelessness and address the needs of the homeless population.
7. Oppose legislation that revamps the current RHNA process by reducing local control and/or giving greater authority to the Department of Housing and Community Development (HCD) to oversee and enforce regional housing goals and in determining a methodology for allocating housing needs to regions and local jurisdictions; and, that links housing element certification to non-housing grant sources.
8. Support legislation that expands the local construction workforce through economic development training programs in an effort to reduce the cost of housing and promote economic opportunity for low-income households

Economic Development Platform

1. Oppose legislation and measures that create competitive disadvantages for businesses at local and state levels.

2. Support legislation and measures that allow the City to grow the current economic base by providing the tools and resources needed for businesses to expand and flourish.

3. Support legislation, funding and policies that promote Regional Occupation Programs, trade schools, investment in research and development technology, and tax incentives for manufacturing.

4. Support legislation, policies, and regulations that require economic analysis and/or scientific validation of changes to state policies or regulations before modifications can be enacted.

5. Support legislation that promotes the state as an economically viable and strong destination for businesses to locate.

6. Oppose legislation that negatively impacts the City as it relates to the dissolution and wind-down of the Redevelopment Agency.

7. Support legislation that enhances and provides incentives for business retention and expansion, while ensuring California's business environment remains competitive with national and international markets.

8. Support legislation that supports the development and expansion of higher education institutions including new private institutions and satellite campuses of national universities as an important segment of growth, workforce and economic development throughout the state.

9. Support legislation that creates a new tax increment program that will assist cities and counties with revitalizing their communities through the elimination of physical and economic blight and by providing assistance to building owners, tenants and businesses in their efforts to be successful.

10. Oppose legislation that restricts the City's ability to dispose of real property or retain control over how the property is used.

Electric and Environmental Utilities Goals

To protect local control over how utilities provide services to our customers; to protect our customers from state and federal legislation, policies, and regulations that have no clear benefit relative to cost; and to oppose legislation, policies, and regulations that create unfunded mandates and compliance requirements that negatively impact the utilities' ability to provide their services and products in the most safe, reliable and cost-effective manner.

Electric Utility Platform

Roseville Electric Utility's mission is to improve the quality of life in our community and to provide customers with safe, reliable electricity at competitive prices and with exceptional service. As technological advancements in the electric utility industry evolve, and new legislative and regulatory mandates emerge, the electric utility will continue to transform its business model to best serve its customers in the most efficient, reliable and cost-effective way.

We take the following approaches to accomplish this:

1. Support legislative efforts that retain local decision-making authority over programs, services, resources and rates.
2. Support legislation that maintains flexibility to comply with regulations in the way that best reflects community priorities.
3. Support legislation that enables meeting the state's energy and environmental policies in a cost-effective manner.
4. Support measures that promote affordability for our customers.
5. Support legislation that preserves public tax-exempt financing and advanced refunding.
6. Support future transmission system improvements and requirements which ensure that cost allocation is born by the parties that impact and/or stand to benefit from those improvements.
7. Support legislation that provides greater flexibility to meet the Renewable Portfolio Standard (RPS) program; and, oppose legislation that would reduce current flexibility in complying with the RPS program.
8. Support legislation and regulations that streamline permitting for new and existing generating facilities.
9. Support legislation that fosters the utility's role in local and regional economic development efforts including business retention and expansion.
10. Support technology advancements and efficiencies that benefit our customers in a cost-effective and reliable manner.
11. Oppose measures that would decrease our ability to make financial decisions that protect the financial health of the utility.
12. Oppose legislation that creates new jurisdictional review over Publicly Owned Utilities (POU).

13. Oppose legislation that mandates power purchases from specific projects and/or specific resources that do not fit the needs of our community or do not serve our customers in a reliable, affordable manner.

14. Oppose legislation that would reduce funding for existing energy programs and mandates.

15. Oppose legislation that will increase utility operating costs without commensurate funding.

16. Collaborate with neighboring utilities on legislative issues that impact the electric utility industry as a whole.

As part of the legislative and regulatory platform Roseville Electric Utility also takes advocacy positions to promote the City's position before the applicable regulatory agencies.

Environmental Utilities Platform

Roseville's Environmental Utilities (EU) Department operates public health services water, wastewater, and waste services (formerly solid waste). These services include management of groundwater, recycled water, and stormwater programs for the dual benefit of Roseville's residential and business customers and the environment. There are many external factors that influence how EU operates, what it charges for utility services, and the kinds and quality of services provided to its customers.

We take the following approaches to accomplish this:

General Environmental Utilities Platform (Universal – Cross-Department)

Resiliency, Greenhouse Gas (GHG) and Sustainability:

1. Support efforts that reduce GHG emissions where the benefits of the GHG-reduction measures are balanced with costs.

2. Support efforts that allow local governments to develop practical, local solutions to reduce and manage locally generated GHG emissions.

3. Support only those statewide and federal GHG emission estimating, monitoring, and reporting mandates that provide demonstrated benefits to the environment and rate payers.

4. Advocate for policies that provide assistance to address utility resiliency needs, including planning, deployment of technologies, provide redundancy, and improve the environment and other actions.

5. Advocate for policies and funding that will support Roseville and the Sacramento Region address anticipated impacts from climate change as projected in region-specific modeling in the American River Basin Study by the U.S. Bureau of Reclamation.

6. Identify funding opportunities to support the assessment of, and improve the resilience of Roseville's utilities from climate related events.

Legislation, Regulations and Permittings:

1. Support legislative reform efforts that require a cost/benefit analysis for new regulations and amendments to permits.

2. Support modernization of permitting processes, including extended permit terms of up to ten years to address the lifecycle of technologies and construction schedules.

3. Support policies or regulations that streamline environmental compliance while protective of public health.

4. Advocate for Advanced Clean Fleet regulations that takes into account state legislation and regulations on reducing and mitigating the impacts of short-lived climate pollutants.

5. Oppose efforts to impose a tax or fees where revenue is collected from local ratepayers or agencies and are allocated for statewide projects that have no direct benefit to Roseville's ratepayers.

Waste Services (Formerly Solid Waste Management) Platform:

1. Advocate for the development of the recycling market by the state and federal governmental entities for recyclables, green waste, food waste, biosolids, plastics, and other organics.

2. Oppose mandated material-disposal bans or restrictions when there is insufficient capacity or available technology within the private recycling market to handle these materials in a more beneficial way besides landfilling.

3. Support cost-effective efforts to reuse waste in a beneficial way if cost-effective.

4. Support efforts to enact a comprehensive statewide Extended Producer Responsibility (EPR) law that allocates end-of-life disposal costs with the producer of the product consistent with Council direction as outlined in Council Resolution 10-278.

5. Support product-by-product EPR laws as a second alternative to a statewide comprehensive EPR law consistent with Council direction as outlined in Council Resolution 10-278.

6. Oppose efforts to increase recycling diversion rates if determined not to be cost-effective relative to the benefits that could be achieved.

7. Support efforts that protect solid waste worker safety.

8. Oppose efforts to increase statewide tipping fees where there is no clear benefit to Environmental Utilities ratepayers.

Water Platform:

Water Supply and Delivery:

1. Continue to safeguard Roseville's water supply contracts – both the City of Roseville's non-CVP water service contracts with neighboring agencies, as well as the City of Roseville's Repayment Water Service Contract executed by the Bureau of Reclamation on February 28, 2020.

2. Support and advocate at the local, regional, state and federal levels to develop, diversify, and fund the city and region's water supply portfolio and achieve a regional water supply that is reliable for generations to come.

3. Support efforts that allocate the costs for Delta and statewide water infrastructure improvements to the parties that either impact the Delta and/or stand to benefit from those improvements, known as the "Beneficiary Pays Principle".

4. Support Delta and statewide solutions that are based upon sound science.

5. Oppose efforts that impose arbitrary flow requirements on the American River that are inconsistent and incompatible with responsible water-supply management and undermine the locally developed flow-management principles under the Water Forum Agreement.

6. Support efforts to implement a locally developed Modified Flow Management Standard on the lower American River that supports the Water Forum effort and its successor effort and provides for appropriate carryover storage at Folsom Reservoir.

7. Support proactive and participatory approaches to developing Delta and statewide solutions.

8. Support efforts that help local governments maintain water-efficiency programs that meet state objectives, are cost-effective, and make sense for the local conditions.

9. Support state and federal efforts to increase water-efficiency ratings for common consumer products that use water, including tax credits similar to those provided to energy use technologies.

10. Protect primacy of Roseville's (and the region's) ability to manage its groundwater resources.

11. Protect the City's land-use authority and its right to make land-use decisions with respect to water supply.

12. Oppose efforts by the State of California to impose unrealistic water efficiency targets as "the new normal" for urban water use.

13. Oppose proposed water use efficiency standards or water loss standards that do not recognize previous water-supply reliability and water efficiency investments by the region and local community.

14. Support water efficiency policies that enhance key City investments such as undeveloped and developed park lands the City's urban forest, and oppose policy that would diminish or impair investments.

15. Oppose efforts to standardize water-supply contingency actions.

16. Support efforts that allow local agencies to develop and implement practical and locally controlled water-use efficiency programs and solutions.

Water Quality:

1. Oppose efforts to impose additional state-mandated stormwater program requirements without commensurate funding for those programs.

2. Identify funding opportunities to support stormwater infrastructure (including green infrastructure) investments that protect Roseville's public health and safety, water quality, and economic development.

3. Support policies that reduce the cost of compliance for stormwater dischargers.

4. Support legislative efforts to reduce the potential of third-party lawsuits pertaining to stormwater quality issues.

5. Support legislation that revises the state Constitution to allow for stormwater programs to establish a funding mechanism that is similar to that of other water utilities under the Proposition 218 reforms.

6. Support efforts to require pesticide manufacturers to produce products that are less harmful to the environment.

7. Support efforts to implement statewide Extended Producer Responsibility (EPR) principles so that manufacturers design their products in a way that has less of an environmental impact upon local waterways (example: car brake pads).

Wastewater/Recycled Water Platform

1. Support continued availability and expansion of biosolids beneficial use and disposal options.

2. Support efforts to streamline permitting, construction, and funding of facilities that allow the City to transform waste into energy or useful products.

3. Support state and federal funding programs for any potential City waste-to- energy projects.

4. Support policies that reduce the cost of compliance for wastewater dischargers.

5. Support legislative efforts to reduce the potential of third-party lawsuits pertaining to water quality issues.

6. Oppose efforts to increase whole effluent toxicity testing for recycled water, stormwater and wastewater when it is not cost-effective, has no clear benefit and/or is not based on sound science.

7. Ensure efforts to add testing or regulation for contaminants of emerging concern in recycled water, stormwater, and wastewater are cost-effective, have a demonstrated health benefit and/or is based on peer reviewed, sound science.

8. Actively track, comment, and advocate on proposed changes in National Pollutant Discharge and Elimination System Permits and Waste Discharge Requirements from the State Water Board and Regional Water Boards.

9. Participate in Basin Plan Amendments to address proposed changes in the requirements.

10. Monitor and advocate for laboratory standards that are reasonable, cost-effective, and ensure data quality for the City's water quality laboratory which supports the core mission of protecting human health, the environment and safety.

11. Support legislative and regulatory efforts that increase development and use of available recycled water supplies and create a policy environment that supports the development of emerging advanced treatment technologies.

12. Oppose the promoted use of "woven wipe" products that in practice do not degrade sufficiently to prevent clogs in household plumbing and/or municipal wastewater collection and treatment infrastructure.

13. Oppose regulation or other limits on biosolids management options without validated scientific findings on need for new limitations.

Critical Concerns for the Upcoming Legislative/Congressional Session

- **COVID-19** – Ensure the Legislature continues to consider scientifically sound policy that works to monitor community health and prioritizes public health and the economy throughout the remainder of the pandemic.
- **Tax or Fees** – The City has concerns regarding the Legislature's desires to establish effective constraints on the ability of local agencies to impose fees and charges to mitigate housing impacts. We will advocate for local control and the ability to impose fees and charges to mitigate the impact of new development on current residents and businesses.
- **Accessible, Affordable, and Safe Drinking Water** – While the customers of the City of Roseville enjoy access to safe, reliable, and affordable drinking water, there are many in California that do not. Roseville is supportive of innovative statewide policies to help bridge that gap, so long as the financial burden is not carried by our customers.
- **Existing Investments in Roseville's Circular Economy** – The City is concerned with proposed policies related to short-lived climate pollutants and the state's efforts to require zero-emission vehicles including heavy-duty fleet vehicles such as our refuse trucks. We will work to educate state and federal policy makers about the unique role that full-service cities can play in meeting climate goals.
- **Implementation of Water Loss and Water Conservation Legislation** – Over the past few years, new laws were passed to set water loss and conservation targets for local water purveyors. State regulatory agencies are in the process of developing these targets. It is incumbent upon the City to assure these bodies adhere to the intent of the legislation while developing the regulatory framework and consider climate, land-use, and other region-specific attributes; and recognize previous water-supply reliability and conservation investments by the region and local community.
- **Cost-Effective Utilities** – The ability to provide reliable and cost-effective utilities to the residents and businesses of Roseville continues to be a central concern as new state and federal mandates are proposed that would increase the utilities operational costs. We will continue to advocate to maintain local control of utility rate setting and oppose any state or federal efforts to reduce local control.
- **Federal and State Funding for Water, Transportation and other Infrastructure Projects** – Maintaining or increasing funding for these types of projects and improvements is critical for the City's ability to maintain a robust economy, providing high paying jobs, upgrading and maintaining roadways, and meeting future infrastructure and transportation demands. Federal assistance in the form of grants, highly subsidized loans, Build America Bonds, and Advanced Refunding are examples of federal assistance that should be part of any federal assistance program.
- **Cybersecurity** – Future state and federal laws regarding cybersecurity will continue to affect the City and the community. Implementing new cybersecurity requirements will add significant expense to the operation of the City's information technology systems.
- **Changes to Tax-Exempt Status of Municipal Bonds** – Any proposals that would cap or eliminate the tax exemption on municipal bonds would significantly reduce the City's ability to finance major projects. This would negatively and severely impact the City's budget, increase rates for utility customers, and have a damaging effect on the community at large.

- **Modifications to Government-Operated Mortgage Programs** – The City will remain active in understanding the changes being considered at the federal level to modify government-operated mortgage programs, tax deductions, and to write new banking regulations regarding mortgage-related lending programs.

- **Challenges with State and Federal Permitting Processes** – The City has concerns with various permitting processes at both state and federal regulatory agencies, that hinder the ability of private and public projects to obtain approval within a reasonable amount of time. Of current concern are the stringent new permit requirements for development projects adopted by the State Water Board in April 2019, effective May 2020. The new requirements significantly broaden the definition of regulated wetlands and waters of the State, which add new and complex requirements to the permitting process. The changes will subject projects to considerable increased time and cost delays.

- **Changing Economy** – The City is interested in discussions regarding local tax systems including the fundamental shift from purchasing taxable commodities to purchasing non-taxable services and ultimately how these changes will affect the City's ability to fund basic services.

- **Sales Tax** – The City is concerned with the uncertainty over potential changes to the sales tax allocation structure that would result in a loss of revenue to the City. The City will actively monitor the ever-changing discussions and will remain engaged to ensure the City's best interests are represented regarding any future changes to the sales tax structure.

- **Housing and Homelessness** – The City will remain active in addressing the needs of the City's homeless population with a primary focus on preventing and reducing the population of chronically homeless individuals by providing solutions that address the fundamental causes of homelessness and by supporting solutions that provide permanent housing options and required supportive services.

- **Municipal-Based District Elections** – The City has concerns regarding any actions or proposed legislation that dictates the re-districting process which happens after every U.S. decennial Census. With the City in the midst of forming an independent redistricting commission to begin redrawing the maps in 2021 any new legislation that would alter the established process and timeline is of concern.

- **CalPERS Investment Policy** – The City has concerns with actions taken or proposed by the CalPERS Board and the State Legislature to divest from certain companies or industries to be "socially responsible". These policy decisions come at the price of reduced market rates of return on pension plan investments. The pension funding requirement not covered by investment returns will be borne by the state and local governments, and ultimately California taxpayers.

- **Federal and State Funding for Parks and Library Projects** – The City is interested in efforts to maintain or increase funding for these types of projects and improvements. The funding is critical for the City's ability to upgrade and ensure access to the City's parks, recreation, and library facilities.

- **Substance and Opioid Abuse Funding** – The City is concerned with funding levels for substance and opioid abuse programs and services will prevent those who are addicted or abusing substances from overcoming their addiction. The addiction/abuse cycle has negative implications for our community and use of public safety resources.

- **Procurement Mandates** – The City is concerned with any efforts that would create new procurement mandates for resources that do not fit the needs of our community or do not serve our customers in a reliable and affordable manner.

- **Disconnections for Non-Payment** – The City suspended electric disconnections for non-payment due to the COVID-19 pandemic; however, suspending electric disconnections indefinitely through the duration of the pandemic may affect service levels and impact the financial health of the utility. Electric utility disconnections for non-payment is an important mechanism for the utility and all electric customers that will need to resume in the future. The City is concerned with legislative efforts that may mandate suspension of disconnections.

- **Electric Vehicles** – The Governor's Executive Order N-79-20 set a goal for 100 percent of in-state sales of new passenger cars and trucks to be zero-emission by 2035. This policy will create new, increased demand for electric vehicles in the foreseeable future and will call for electric utilities to be instrumental in electrifying the transportation sector. Looking ahead, the City will evaluate the electric system infrastructure and capacity needs to accommodate these targets while coordinating efforts related to transportation electrification among City departments. The City supports electric vehicle deployment as a means to foster safe and healthy communities and economic growth.

- **Jurisdictional Concerns** – Following the recent wildfires, heatwaves and power outages in 2020, the City is concerned that a statewide effort to address these events could lead to new oversight over publicly owned utilities and additional limits to local control. The City will oppose legislation that creates new jurisdictional review over POUs or imposes new limits on our ability to make decisions at the local level.

- **Wildfire Prevention, Preparedness and Response** – The City has been proactive in developing a wildfire mitigation plan to ensure a safe and reliable electric grid for our community. In response to the growing concern over wildfires statewide, the City is concerned with a one-size-fits-all approach to addressing wildfires, which may not be well-suited for cities like Roseville that are not located in a high fire risk area.

- **Back-up Generation, Distributed Energy Resources and Microgrids** – In response to the recent public safety power shutoffs that have been implemented across the state, Roseville Electric is concerned that there may be new requirements to add redundancy to the system through procurement mandates for specified back-up power applications, distributed energy resources and micro grids. Resiliency and redundancy are already embedded in the operation of our electric system and creating additional mandates in this regard may add unwarranted costs to our customers.

- **Transportation Development Act** – Continue to monitor Transportation Development Act legislation to ensure local control and funding use is not restricted further.

- **SAFE Vehicle Rule** – Oppose changes within federal nonattainment rules and the transportation bill that would directly or indirectly impact state, local and regional transportation funding.

- **Public Employees Retirement: Disallowed Compensation** – Oppose legislation that would establish new procedures for employees covered by the California Public Employees Retirement System (CalPERS) in cases where their pensionable benefits are erroneously calculated by CalPERS, including disallowed compensation, and would require the public employer to pay the difference between the monthly benefit allowance that CalPERS initially calculated while removing CalPERS liability for any error it made in the benefit determination.
- **Challenges with Legislation Mandating How Housing is Developed** – The City has significant concerns with the continuing onslaught of legislation that is mandating how housing is developed in the state. This includes the elimination of control local governments have over land use, zoning, and permitting approvals. The sheer volume and breadth of legislation has required significant staff time and expense to track and implement. Moreover, while legislation continues focus the responsibility for solving the State's housing crisis on local governments, it neglects to provide the necessary tools to do so. Since the loss of redevelopment in California, local governments have been challenged to assist housing development in our communities. It is imperative that the state provide municipalities with new tools they can employ to meet the countless new housing requirements being imposed on them by the state.
- **Section 230 of the Communications Decency Act of 1996** – City departments will stay actively engaged in discussions at the state and federal level regarding changes to Section 230 and will provide input that would support actionable changes to the framework to ensure resulting standards meet the needs of public safety, current and forthcoming technology.

COUNCIL COMMUNICATION

CC #: 1311
File #: 0709-02-01

Title: HOUSING AUTHORITY - Housing Choice Voucher Program Activity
Contact: Suzi Cook-Turner 916-774-5414 smcook-turner@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.19.

RECOMMENDATION TO COUNCIL

The City Council, acting as the Roseville Housing Authority Board, is not required to take any action on this item. This quarterly report is for informational purposes only.

BACKGROUND

Pursuant to the bylaws of the Roseville Housing Authority, the City Council, acting as the Board of Directors for the Housing Authority, holds its quarterly meeting the first Wednesday of March, June, September, and December, or as soon as possible thereafter. Staff has prepared the following status report regarding lease-up activity for the Housing Choice Voucher (HCV) Program. The HCV Program provides income-qualified households in Roseville and Rocklin with rental assistance using funding from the US Department of Housing and Urban Development (HUD). This assistance is utilized at rental units that meet program specific housing standards, are owned by a willing landlord, and are within Roseville or Rocklin city limits. This rental assistance ensures that the household is able to afford to live in safe and decent housing.

The RHA implemented the HUD-required Small Area Fair Market Rents (SAFMRs) starting February 1, 2018. Staff is monitoring the effects of these higher SAFMRs, which results in a higher cost per voucher to the Housing Authority. There are 501 RHA vouchers utilized in Roseville, 108 RHA vouchers being utilized in Rocklin, and 5 in other jurisdictions (approved transfers out of the area).

As of December 31, 2020, the RHA was authorized to provide 710 households with HCV rental assistance. This total includes 75 vouchers allocated specifically for families on the waiting list with a head-of-household or spouse that are non-elderly and disabled (NED vouchers), 40 Veterans Affairs Supportive Housing (VASH) vouchers used with veteran households that come by referral from the Veterans Affairs (VA) Department, and 33 Mainstream vouchers that assist households who have a non-elderly adult person with a disability and are transitioning out of institutional and other segregated settings, or are currently homeless or at risk of becoming homeless. The RHA was awarded its first Mainstream vouchers (14 vouchers) in November 2018, and began accepting pre-applications by direct referral December 24, 2018. The RHA

applied for, and was awarded, an additional 11 vouchers effective January 2020 and 8 vouchers to be effective August 2020 for a total of 33.

The RHA was awarded its first Veterans Affairs Supportive Housing (VASH) vouchers in October 2014 and continues to apply for additional vouchers as HUD makes more available. The Housing Division has 40 VASH vouchers to house veterans who were homeless or at risk of being homeless. The RHA also administers the Family Self-Sufficiency Program (FSS), which is a component of the HCV Program. The FSS Program provides assistance to families who are working to become free of all public assistance. RHA currently has 25 families enrolled in this worthwhile program, which is within its goal of 25-30 families.

Meta Housing, a developer of affordable housing, constructed two new complexes, Main Street Plaza and Roseville Apartments (aka Annex on Main) which contains 65 new affordable units in Old Town Roseville. Roseville Apartments started leasing in December 2020 and Main Street Plaza began leasing in February 2021. RHA committed 30 Project-Based vouchers to the 100% affordable housing project. Of the 30 vouchers, 1 will be a regular voucher (applicants will come from the HCV waiting list), 10 are regular vouchers layered with Mental Health Services Act funding, 3 of which must be homeless (applicants will come by Placer County HHS referral), and 19 are VASH vouchers (applicants will come by VA referral). Project basing vouchers allows developers to secure necessary funding resulting in project feasibility and allows HCV participants increased housing opportunities not previously available.

For RHA to be in compliance with HUD's regulations, the lease-up rate for a calendar year cannot exceed 100% of its allocation of vouchers (per voucher type), and each Housing Authority is required to be at least 95% leased up for its voucher allocation or utilizing at least 95% of its annual budget authority. Agencies leasing up 98% or more of its allocated vouchers can reach high-performing status. The term "lease-up" refers to the number of families receiving rental assistance from RHA. As of December 2020, 76 of the NED vouchers, 30 of the VASH vouchers, and 27 of the Mainstream vouchers were utilized. Below is a summary chart of voucher lease-up. This translates to the following lease-up rates: regular vouchers, 91.9%, NED vouchers, 100%, VASH vouchers, 80.2%, and Mainstream vouchers, 78.8%. The RHA continues to meet the HUD program requirement for high-performing status through 100% expenditure of its annual budget allocation for the program.

This table provides a monthly summary of the RHA's voucher programs for calendar year 2020:

	Actual Lease-up of Regular	HUD Regular (562)	Actual Lease-up of Non-Elderly Disabled	HUD Non-Elderly Disabled (75)	Actual Lease-up of VASH	HUD VASH (40)	Actual Lease-up of Main stream	HUD Main stream (33)
January 2020	515	562	73	75	33	40	17	25
February 2020	522	562	73	75	33	40	19	25
March 2020	522	562	73	75	33	40	21	25
April 2020	520	562	73	75	33	40	22	25
May 2020	512	562	77	75	32	40	22	25

June 2020	519	562	77	75	32	40	22	25
July 2020	520	562	78	75	32	40	22	25
August 2020	515	562	78	75	32	40	23	33
September 2020	510	562	77	75	31	40	23	33
October 2020	509	562	75	75	30	40	24	33
November 2020	499	562	75	75	29	40	27	33
December 2020	499	562	75	75	29	40	27	33
YTD Total	**6162**	**6744**	**904**	**900**	**379**	**480**	**269**	**340**
YTD % Leased	**91.37%**		**100%**		**78.96%**		**79.12%**	

Senate Bill 329 went into effect January 1, 2020, which prohibited owners and managers of rental units in California from rejecting a renter's application simply due to utilizing a Housing Choice Voucher. The RHA has already welcomed about ten rental properties and new owners to the HCV program who previously did not participate in the rental assistance program. This has greatly expanded housing opportunities for HCV participants statewide. The RHA is grateful for its established and new community partners and continues efforts to build owner relationships and increase additional housing inventory.

FISCAL IMPACT

RHA provides an important social service to ensure safe and affordable housing for our residents. Safe and affordable housing is an essential component to the foundation of our community's economic and social stability. Through monthly rental assistance payments, the Housing Choice Voucher (HCV) Program invests over $5.5 million into the local rental economy annually. Housing Choice Voucher Rental Assistance Program funding comes from federal sources and is authorized under the U.S. Housing Act of 1937. This quarterly report has no impact to the General Fund.

ENVIRONMENTAL REVIEW

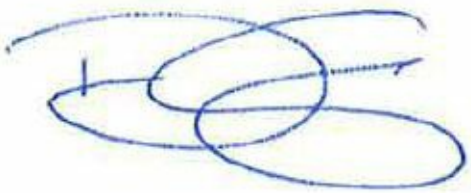
The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378.

The Roseville Housing Authority Quarterly Report meets the above criteria and is not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Suzi Cook-Turner, Housing Supervisor

Laura Matteoli, Economic Development Director



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Dominick Casey, City Manager

COUNCIL COMMUNICATION

CC #: 1320
File #: 0102-06

Title: Proclamation - Women In Construction Week
Contact: Blair Hutchison 916-774-5266 bmhutchison@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.20.

RECOMMENDATION TO COUNCIL

Proclaim March 7-13, 2021 as WOMEN IN CONSTRUCTION WEEK and recognize the National Association of Women in Construction Sacramento Chapter #63 and its many dedicated volunteers for its steadfast work on behalf of and in support of women in construction and congratulate the organization on its many accomplishments.

BACKGROUND

Not applicable

FISCAL IMPACT

Not applicable

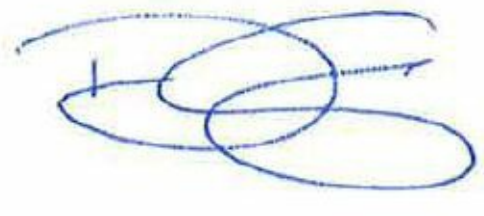
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378. Ceremonial documents meet the above criteria and are not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Blair Hutchison, Deputy City Clerk

Sonia Orozco, City Clerk



Dominick Casey, City Manager

ATTACHMENTS:

Description

Proclamation - Women in Construction Week

Proclamation

Women In Construction Week

National Association of Women in Construction

March 7-13, 2021

WHEREAS, the National Association of Women in Construction (NAWIC) Sacramento Chapter #63 has distinguished itself for 59 years as the voice of women in construction in the Sacramento region; and

WHEREAS, the work done by the Sacramento Chapter of NAWIC has benefited the Sacramento region through community development and educational programs; and

WHEREAS, the Sacramento Chapter of NAWIC has unceasingly promoted the employment and advancement of women in the construction industry; and

WHEREAS, the construction community, represented by Sacramento Chapter of NAWIC has been a driving force in fostering community development through renovation and beautification projects; promotion of skilled trades careers; and a positive vision of the future; and

WHEREAS, the Sacramento Chapter of NAWIC has sought to achieve successful results for the Sacramento region and surrounding areas in a cooperative spirit with other organizations.

NOW THEREFORE, I, KRISTA BERNASCONI, MAYOR OF THE CITY OF ROSEVILLE, CALIFORNIA do hereby proclaim the week of March 7-13, 2021 as **WOMEN IN CONSTRUSTION WEEK** and recognize the National Association of Women in Construction Sacramento Chapter #63 and its many dedicated volunteers for its steadfast work on behalf of and in support of women in construction and congratulate the organization on its many accomplishments.

SIGNED this 3rd day of March, 2021

CITY OF ROSEVILLE
INCORPORATED APRIL 10, 1909

KRISTA BERNASCONI, MAYOR

COUNCIL COMMUNICATION

CC #: 1319
File #: 0102-06

Title: Proclamation - American Red Cross Month - March 2021
Contact: Blair Hutchison 916-774-5266 bmhutchison@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 7.21.

RECOMMENDATION TO COUNCIL

Proclaim March 2021 as AMERICAN RED CROSS MONTH and encourage community members to thank the dedicated individuals who reach our and support humanitarian missions.

BACKGROUND

Not applicable

FISCAL IMPACT

Not applicable

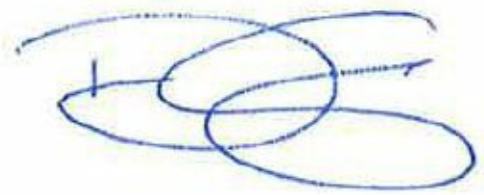
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378. Ceremonial documents meet the above criteria and are not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Blair Hutchison, Deputy City Clerk

Sonia Orozco, City Clerk



Dominick Casey, City Manager

ATTACHMENTS:

Description

Proclamation - American Red Cross Month

Proclamation

AMERICAN RED CROSS MONTH

MARCH 2021

WHEREAS, March is American Red Cross Month, a special time to honor the kindness of our neighbors who aid families in need every day in our community, across the United States, and around the world as their dedication touches millions of lives each year as they carry out the organization's 140-year mission of preventing and alleviating suffering; and

WHEREAS, during the trying times of the coronavirus pandemic, people have stepped up to help others in need, whether it was responding to this year's record-breaking disasters across the country, or rolling up their sleeves to give blood when our country faced severe blood shortages; and

WHEREAS, in the California Gold Country Region, families have relied on local Red Cross volunteers for comfort and hope while coping with the recent Northern California wildfires. Over 165,000 meals and snacks were served to those in need, and 38,903 hotel units were provided for safe shelter; and

WHERAS, local volunteers have also supported families in other ways by responding to 500 home fires, helping 1,983 people address urgent needs like food, lodging and recovery support, and hosting more than 300 regional blood drives where 5,725 units of lifesaving blood was collected; and

WHEREAS, Red Cross volunteers provided emergency services for 2,118 people through our Service to the Armed Forces Program and enrolled 16,329 people in first aid/CPR/AED training; and

WHEREAS, the lifesaving work of the American Red Cross is vital to strengthening our community's resilience and nearly 200 years since the birth of American Red Cross founder Clara Barton, we dedicate the month of March to all those who continue to advance her noble legacy, and we ask others to join in their commitment to care for people in need.

NOW THEREFORE, I, KRISTA BERNASCONI, MAYOR OF THE CITY OF ROSEVILLE, CALIFORNIA do hereby proclaim March 2021 as **AMERICAN RED CROSS MONTH** and encourage community members to thank the dedicated individuals who reach out and support humanitarian missions.

CITY OF ROSEVILLE
INCORPORATED APRIL 10, 1909

SIGNED this 3rd day of March, 2021

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KRISTA BERNASCONI, MAYOR

COUNCIL COMMUNICATION

CC #: 1306
File #: 0209

Title:	6382 Phillip Road - Option, Purchase and Sale and Memorandum of Option Agreements
Contact:	Troy Holt 916-746-1173 tgholt@roseville.ca.us Laura Matteoli 916-774-5284 lmatteoli@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 8.1.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council adopt a resolution authorizing the City Manager to execute an Option and Purchase and Sale Agreement and a Memorandum of Option and Purchase and Sale Agreement with PDC Sacramento LPIV, LLC, authorizing the City Clerk to record the Memorandum of Option Agreement, and finding that disposition of the property located at 6382 Phillip Road is in the City's best interest.

BACKGROUND

The property at 6382 Phillip Road is referred to as the Roseville Industrial Park and is an approximately 236.26-acre (with 191.08 developable acres) greenfield vacant parcel, located north of a planned six-lane arterial (extension of Blue Oaks Boulevard, west of Westbrook Boulevard). The northern section of the site is bisected by the planned six-lane regional Placer Parkway. See Attachment 1 for a map of the property.

Site Data and Attributes:

1. **APN:** 017-101-008-000
2. **Zoning:** Public/Quasi-Public. The current P/QP designation is used to establish areas for municipal, governmental or public facilities. The purchase and sale agreement is contingent on the purchaser requesting that the property be rezoned to an industrial designation.
3. **Neighboring land uses:** To the northwest is the Al Johnson Wildlife Area, part of a 1,700 acre site planned to accommodate the City's stormwater Regional Retention facility and potential recreation uses. To the west along the southern portion of the site are agricultural uses; to the east, immediately adjacent is the Creekview Specific Plan area, planned to accommodate about 2,000 residential units; to the south along the southern edge of the Reason Farms Industrial Site is the future extension of Blue Oaks Boulevard, and to the south the West Roseville Specific Plan approved in 2004, which is 65 percent built out, and includes 10,479 residential uses, parks, open space and commercial uses. A portion of the site would accommodate the future Placer Parkway, a planned regional facility, which would

will connect Highway 65 in Placer County to Highway 99 in Sutter County, providing an alternate highway to Interstate 80.

4. **Size in acres:** The entire parcel is 236.26 acres, which includes 191.08 developable acres. The remaining 45.18 acres comprise the Pleasant Grove Creek Floodplain, a retention basin bypass channel, and the Placer Parkway alignment.
5. **Shape of site:** The parcel is rectangular.
6. **Potential for development:** The site is currently vacant. An analysis of the site was done in 2006 by the City of Roseville for a potential job center which assumed multiple buildings totaling 1,080,000 square feet in a total of 18 buildings. However, the site could potentially accommodate more development if higher density buildings (taller, larger floorplates) are considered. PDC Sacramento LPIV, LLC completed a conceptual site plan for the area south of Pleasant Grove Creek (see Attachment 2) that includes 1,995,000 square feet of industrial use in 9 buildings. Attachment 3 shows the conceptual site plan in relation to the northern area that will be developed in a future phase(s).

The key points of the Purchase and Sale Agreement are summarized below:

- City agrees to sell to Buyer the 236.26-acre Property at the appraised market rate value of $34,519/acre.
- City agrees that Buyer may acquire the Property in phases over a ten-year period. The increments of acreage for the phases will be at least 35 acres each and the appraised market rate value used for the purchase of the initial phase will be adjusted upward for each subsequent phase based on a specified Consumer Price Index set forth in the Purchase and Sale Agreement.
- Within the first 90 days after the effective date, Buyer may give the City a written notice that Buyer has elected to acquire the first phase of the Property before obtaining all entitlements. If Buyer exercises the option to acquire a phase prior to obtaining the entitlements and then subsequently is unable to obtain the entitlements on economic terms acceptable to Buyer in its sole and absolute discretion, the Buyer will have the option to provide written notice to the City that Buyer is electing to reconvey the phase property back to the City on the same terms and condition upon which the Buyer purchased the phase from the City and the City will be obligated to re-purchase the phase.
- Within five (5) business days of providing the City with the option notice as to the applicable phase, Buyer shall deposit $50,000 with the title company. The earnest money shall be credited toward the purchase price at closing.
- The phases will occur every two years. The Buyer has the option to extend the two-year period for each phase by sending a written notice and depositing an additional deposit of $50,000 with the Title Company which additional deposit will be applicable to the purchase price for that particular phase.
- Buyer shall have one year to conduct its due diligence with respect to the property and entitling the property for industrial development. Buyer may extend the due diligence period for two additional periods of 60 calendar days each by providing City with written notice prior to the expiration of the period then in effect and depositing into escrow $50,000, which shall be non-refundable but applicable to the purchase price for each extension period.
- Buyer will fully entitle the property including conducting the CEQA analysis and rezoning efforts, at their expense. City reserves final discretion and approval as to entitlements and as to all related matters, including CEQA review, in connection therewith.
- Buyer will develop the Master Infrastructure Plan and bring all needed utilities and roadways to the property.

Future development will require approval of Planning Department entitlements including a Rezone

and General Plan amendment for industrial use, a major project permit, and environmental review. These entitlements will require review and approval by the Planning Commission and City Council. During the entitlements phase, the Buyer will communicate with adjacent landowners and make reasonable efforts to coordinate development.

According to Roseville Municipal Code §4.12.110(B), the City may dispose of real property without sealed bids..."pursuant to a finding by the city council that such disposition is in the city's best interest. Provided, however, that notice of the terms and conditions of such proposed sale or disposition pursuant to this subsection and the date of confirmation thereof shall be published in a newspaper of general circulation in the city at least 10 days prior to final approval and confirmation of the proposed sale or disposition. Such sales and dispositions shall be confirmed and approved upon a four-fifths' affirmative vote of the city council."

There are no identified future City needs for this land and the property is underutilized. Accordingly, the City Council declared the property as surplus on November 20, 2019. As required by the Surplus Land Act, letters offering to sell or lease the property were sent to local public and affordable housing developers; park, recreation and open space agencies; and school districts. None of these agencies expressed an interest in leasing or purchasing the property. The City has complied with the Surplus Land Act, and may dispose of the property pursuant to its own real property disposition procedures.

Sale of underutilized properties can generate quality developments that result in new businesses, jobs and amenities and help fulfill the City's goal of economic sustainability. Therefore, disposition of the property pursuant to the terms of the Option and Purchase and Sale Agreement is in the City's best interest.

The City of Roseville Economic Development Department would provide assistance through the required entitlement and permitting processes if a future purchase and sale agreement is approved by the City Council.

FISCAL IMPACT

Approval of a Purchase and Sale Agreement will result in future property sales that will increase the Strategic Improvement Fund (SIF) fund balance during each phase of property purchase. The first phase of 35 acres is expected to result in $1,243,165 ($34,519/acre). Upon approval of entitlements, staff will seek approval from the City Council with a request for a budget adjustment for first and future phase acquisitions.

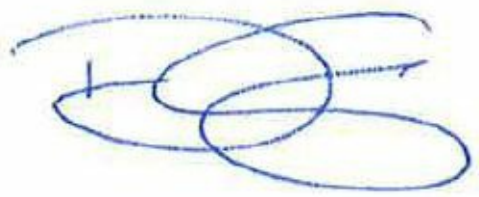
ENVIRONMENTAL REVIEW

The proposed project involves the sale of surplus property not located in an area of statewide, regional or area-wide concern. This activity is categorically exempt from CEQA as a Class 12 Exemption (State CEQA Guidelines Section 15312). The Exemption has been prepared and no further CEQA action is required.

Respectfully Submitted,

Troy Holt, Economic Development Manager

Laura Matteoli, Economic Development Director



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Dominick Casey, City Manager

ATTACHMENTS:

Description

Resolution 21-080

Option and Purchase and Sale Agreement

6832 Philip Road Memorandum of Option and PSA

Attachment 1 (Map of the Property)

Attachment 2 (Conceptual Site Plan – Southern Section)

Attachment 3 (Conceptual Site Plan – Full Site)

RESOLUTION NO. 21-080

APPROVING AN OPTION AND PURCHASE AND SALE AGREEMENT AND A MEMORANDUM OF OPTION AND PURCHASE AND SALE AGREEMENT, BY AND BETWEEN THE CITY OF ROSEVILLE AND PDC SACRAMENTO LPIV, LLC, AND AUTHORIZING THE CITY MANAGER TO EXECUTE THEM AND ANY RELATED DOCUMENTS ON BEHALF OF THE CITY OF ROSEVILLE

WHEREAS, the property at 6382 Phillip Road, APN 017-101-008-000 ("subject property"), is referred to as the Roseville Industrial Park and is an approximately 236.26-acre vacant parcel, located north of a planned six-lane arterial extension of Blue Oaks Boulevard, west of Westbrook Boulevard; and

WHEREAS, the site is currently vacant and was declared as surplus property by the City Council on November 20, 2019; and

WHEREAS, PDC Sacramento LPIV, LLC completed a conceptual site plan for the area south of Pleasant Grove Creek; and

WHEREAS, at this time, the City wishes to move forward with the sale of a portion of the property at the appraised market rate value of $34,519/acre; and

WHEREAS, City agrees that PDC Sacramento LPIV, LLC may acquire the subject property in phases over a ten-year period; and

WHEREAS, the increments of acreage for the phases will be at least 35 acres each and the appraised market rate value used for the purchase of the initial phase will be adjusted upward for each subsequent phase based on a specified Consumer Price Index set forth in the Option and Purchase and Sale Agreement.

NOW, THEREFORE BE IT RESOLVED by the City Council of the City of Roseville that the disposition of the surplus property located at 6382 Philip Road is in the City's best interest.

BE IT FURTHER RESOLVED that the City Council of the City of Roseville authorizes the City Manager or designee to execute an Option and Purchase and Sale Agreement, a Memorandum of Option and Purchase and Sale Agreement, and any related documents for the subject property, on behalf of the City of Roseville.

BE IT FURTHER RESOLVED that the City Clerk is hereby directed to record the Memorandum of Option and Purchase and Sale Agreement with the Placer County Recorder's Office.

PASSED AND ADOPTED by the Council of the City of Roseville this____day of _____, 20_, by the following vote on roll call:

AYES COUNCILMEMBERS:

NOES COUNCILMEMBERS:

ABSENT COUNCILMEMBERS:

_____
MAYOR

ATTEST:

_____
City Clerk

OPTION AND
PURCHASE AND SALE AGREEMENT
Information Sheet

OPTIONOR/SELLER: City of Roseville, a municipal corporation

OPTIONEE/BUYER: PDC Sacramento LPIV, LLC, a Delaware limited liability company

PROPERTY: *Address*: 6382 Phillip Road, Roseville, CA
Legal Description: See Exhibit A to this Agreement
Approximate Acreage: 236.26

TITLE COMPANY: Placer Title Company, Attn: Tracy Murphy, 1508 Eureka Road, Suite 150, Roseville, California 95661

IMPORTANT DATES:
Due Diligence Period: Three Hundred & Sixty-Five (365) days from the Effective Date

TRANSACTION EXPENSES: Transaction expenses shall be allocated as follows:

	Closing Item	Buyer's Share of Costs	Seller's Share of Costs	Not Applicable (If N/A check box)
1.	Additional Inspections	100%	0%	☐
2.	ALTA Survey	100%	0%	☐
3.	Boundary Survey	100%	0%	☐
4.	Deed Recording Costs	50%	50%	☐
5.	Phase I Report	100%	0%	☐
6.	Phase II Report (Supplemental)	100%	0%	☐
7.	Standard Owner's Title Policy	0%	100%	☐
8.	Extended Coverage of Owner's Title Policy	100%	0%	☐

Optionor and Optionee hereby acknowledge that each has verified the information contained in these Information Sheet.

Optionor's Initials: _____ Optionee's Initials: TB

OPTION AND
PURCHASE AND SALE AGREEMENT
[Unimproved Property]

THIS OPTION AND PURCHASE AND SALE AGREEMENT ("**Agreement**") is made and entered into this ____ day of _____, 2021 ("Effective Date") by and between the Optionor and Optionee identified in the above Information Sheet. All capitalized terms referenced or used in this Agreement shall have the meanings set forth herein.

OPTION AGREEMENT:

1. Grant of Option/Term. Optionor hereby grants to Optionee an option ("**Option**") to purchase the Property as defined below under the terms and conditions as set forth herein. The term of the Option shall be for ten (10) years from the Effective Date. The Option can be exercised in phases as set forth in the Agreement below. Optionee shall exercise the Option by providing written notice of exercise ("**Option Notice**") of the Option to Optionor.

2. Option Fee. Optionee will deposit with the Title Company (as defined below) an option fee ("**Option Fee**") in the amount of FIFTY THOUSAND DOLLARS AND 00 CENTS ($50,000.00). The Option Fee will be applicable to the Purchase Price of the first Phase of the Property.

3. Right of Access. During the term of this Option, the Optionor grants to Optionee the right to access the Property to conduct due diligence and to obtain the necessary surveys and other reports needed to process the Entitlements (as defined below) for the Property as set forth below in the Purchase Agreement.

4. Put Option. Within the first Ninety (90) days after the Effective Date, Optionee may give Optionor a written notice that Optionee has elected to acquire the First Phase of the Property before obtaining all Entitlements. If Optionee exercises the Option to acquire a Phase prior to obtaining the Entitlements and then subsequently is unable to obtain the Entitlements on economic terms acceptable to Optionee in its sole and absolute discretion, the Optionee will have the option to provide written notice ("**Put Notice**") to the City that Optionee is electing to reconvey the Phase Property back to the City on the same terms and condition upon which the Optionee purchased the Phase from the Optionor under this Agreement and the City will be obligated to re-purchase the Phase.

PURCHASE AGREEMENT:

1. Property. Seller agrees that upon the Buyer's exercise of the Option to convey to Buyer that certain real property and all improvements located thereon in the County of Placer, State of California known as: 6382 Phillip Road, Roseville, CA (as further identified on Exhibit A attached hereto and incorporated herein by reference), together with all of Seller's right, title and interest in any assignable licenses, permits, mineral rights, water rights or shares, easements, rights-of-way or other items appurtenant or pertaining thereto that may benefit the same (all of which are hereinafter collectively called the "**Property**" and which is further divided into the "**Southern Property**" and the "**Northern Property**" as more particularly depicted on Exhibit A-1). The Buyer may exercise the Option to acquire the Property in phases. At the time Buyer has obtained all Entitlements (as that term is defined in Section 4(b) below) for the entire Property, Buyer will have a one hundred and eighty (180) day period ("**First Phase Option Period**") from and after the date all Entitlements are issued by the City within which to exercise the Option to acquire a portion of the Property, consisting of a minimum of Thirty-Five (35) acres or more (at Buyer's sole discretion) of the Property ("**First Phase**") from

Seller at the Purchase Price (as defined in Section 2(a) below). Buyer will be required to exercise the Option to acquire a Phase of the Property every two (2) years ("**Phase Option Period**") following the acquisition of the First Phase. Buyer may extend a Phase Option Period for an additional two-year period as set forth hereinbelow. Each subsequent Phase the Buyer exercises the Option shall consist of a minimum of 35 acres ("**Second Phase**") or more of its own choosing, at its sole discretion. The Buyer will then have the Option to acquire additional phases of the Property consisting of a minimum of 35 acres or more per phase every two (2) years thereafter, subject to the Buyer's right to extend the Phase Option Period for each Phase. Once the Buyer acquires a phase an additional two-year term will begin within which the Buyer will have the right to exercise the Option to acquire an additional phase of the Property. Buyer will also have an option to extend the two (2) year period for each phase by sending a written notice of the exercise of the extension option and depositing an additional deposit of FIFTY THOUSAND DOLLARS AND 00 CENTS ($50,000.00) with the Title Company which additional deposit will be applicable to the Purchaser Price for that particular phase.

2. Consideration. The consideration for the conveyance shall be as follows:

(a) Purchase Price. The purchase price and consideration to be paid by Buyer to Seller for the First Phase of the Property shall be based on the appraised Fair Market Value (the "**First Phase Purchase Price**") of the Property without any Entitlements. The Parties agree that the First Phase Purchase Price will be based on that certain appraisal dated August 5, 2020 ("**Appraisal**"). The First Phase Purchase Price will be calculated based on the per acre fair market value as established by the Appraisal multiplied by the number of acres contained in the Option Notice. The purchase price (the "**Subsequent Phase Purchase Price**") of each subsequent phase of the Property selected by the Buyer to purchase shall be calculated based on the per acre First Phase Purchase Price for the subsequent phase of the Property as adjusted by the percentage change between the date of the Closing on the First Phase and the date of Closing on the Subsequent Phase based on the average increase of the 20-City Average Cost Index and the San Francisco Cost Index ("**Index**") as reported in the Engineering News-Record from May (previous year) – May (current year) multiplied by the acreage of the phase of the Property that the Buyer has selected to purchase as contained in the Option Notice.

(b) Earnest Money. Buyer shall deposit FIFTY THOUSAND DOLLARS AND 00 CENTS ($50,000.00) with the Title Company identified in the Information Sheet above (the "**Title Company**") within five (5) business days of providing the Seller with the Option Notice as to the applicable Phase as earnest money ("**Earnest Money**") to be held by the Title Company and disbursed in accordance with the terms and conditions of this Agreement. Notwithstanding the foregoing, as independent consideration for this Agreement, upon receipt of the Earnest Money, the Title Company shall immediately release $100.00 of the Earnest Money to Seller as nonrefundable consideration for this Agreement. The Earnest Money shall be credited toward the Purchase Price at Closing.

3. Escrow. Buyer shall open an escrow with the Title Company by depositing with Title Company the Earnest Money and a copy of the Option Notice. This Agreement, together with other written instructions as will be provided by Buyer and Seller to the Title Company, shall constitute its escrow instructions to the Title Company.

4. Due Diligence Review. Buyer shall have until 5:00PM Pacific Standard Time on the date identified in the Information Sheet as the final day of the Due Diligence Period to conduct its inspection review of the Property and to obtain the Entitlements for the entire Property. Buyer may extend the Due Diligence Period for two (2) additional periods of Sixty (60) calendar days each by providing Seller with written notice of its intent to extend the Due Diligence Period prior to the expiration of the period then in effect and depositing into escrow FIFTY THOUSAND DOLLARS AND 00 CENTS ($50,000.00), which shall be non-refundable but applicable to the Purchase Price for each extension period. During the Due Diligence Period, Buyer shall have the right to conduct, review, and approve any investigations, inspections, tests, reports, analyses, studies, or

other matters deemed necessary, prudent, or desirable by Buyer (in Buyer's sole discretion) including, but not limited to, the following activities:

(a) Studies/Inspections. Buyer may perform, authorize, review and/or approve any new or updated studies and/or reports on the Property required by Buyer in its sole discretion. Said new or updated studies and reports shall be obtained by Buyer at its sole expense, unless otherwise stated herein. Notwithstanding the foregoing, Seller will furnish to Buyer, at Seller's sole expense, and within ten (10) calendar days following the Effective Date, any information, surveys, studies and/or reports previously conducted on the Property and in Seller's possession or control. Furthermore, Buyer (and its agents, employees, consultants and representatives), at its/their sole cost and expense, shall have the right to enter upon the Property at its/their sole risk to conduct any investigations that Buyer deems necessary to determine the suitability of the Property for Buyer's intended use. However, no destructive testing or Phase II testing shall be done without the prior written approval of the Seller, which approval shall not be unreasonably withheld. After making such tests and inspections, Buyer shall promptly restore the Property to the condition that existed prior to making such tests and inspections (which obligation shall survive the Closing or any termination of this Agreement). Prior to Buyer entering the Property to conduct the inspections and tests described above, Buyer shall obtain and maintain, or shall cause each of its contractors and agents to maintain (and shall deliver to Seller evidence thereof), at no cost or expense to Seller, general liability insurance, from an insurer reasonably acceptable to Seller, in the amount of Two Million Dollars ($2,000,000.00) combined single limit for personal injury and property damage per occurrence. Such policies shall name Seller as an additional insured party and shall provide coverage against any claim for personal liability or property damage caused by Buyer or its agents, representatives or consultants in connection with such inspections and tests.

(b). Entitlements. During the Due Diligence Period the Buyer, at its own costs and expense and with Seller's express permission, will apply for and use its best efforts to obtain all entitlements to develop the entire Property for commercial and industrial development, including but not limited to rezoning the Property, General Plan amendment for commercial/industrial use, CEQA analysis, Environmental analysis and reports, subdivision or parcel map of the Property, and other studies necessary to obtain the approval of the City for a phased development of the Property (the "**Entitlements**"). Seller (in its capacity as the owner of the Property), at no third party, out-of-pocket cost to Seller, shall cooperate with Buyer's efforts to obtain the Entitlements. Seller's cooperation required under this Section shall include, without limitation, executing applications for the Entitlements in the Seller's capacity as owner of the Property upon Buyer's request therefor, provided that Buyer's request is made with reasonable advance notice and includes reasonable supporting information and documentation. Seller's agreement to cooperate with Buyer in connection with Buyer's pursuit of the Entitlements and any other provision of this Agreement shall not be construed as making either party an agent, joint venture or partner of the other party. The Buyer will develop and submit a Master Infrastructure Plan, which will include a plan for the development of the Property in phases, bringing all necessary roads, utilities, stormwater drainage and other matters necessary to develop the Property for commercial and industrial uses. Seller makes no representations or warranties that any Entitlements will be obtained by Buyer. Seller retains sole and final discretion in processing the applications for the Entitlements, in conducting environmental review under CEQA, in approving the Entitlements, and in all related matters in connection therewith.

(c). Title and Survey. Within ten (10) business days after the Effective Date, Seller, at its sole expense, shall furnish Buyer with a Preliminary Report for a Standard owners' policy of title insurance ("**Title Commitment**") issued by the Title Company. Buyer may, at Buyer's sole cost, have an updated or new survey conducted on the Property (the "**Survey**"). Buyer shall have until thirty (30) days prior to the end of the Due Diligence Period to review and approve in writing the Title Commitment and Survey (as applicable). Buyer shall give notice to Seller of any matters contained in the Title Commitment or Survey to which Buyer objects ("**Objections**"). Except as provided below, any matters in the Title Commitment or Survey to which Buyer does not so object, except as stated in the paragraph below, shall be "**Permitted Exceptions**." Seller

shall have five (5) business days after receipt of Buyer's notice of Objections ("**Seller's Curing Period**") to elect to cure the Objections to the reasonable satisfaction of Buyer or elect not to cure the same; provided, however, all deeds of trust, mortgages, judgment liens, mechanics' liens, materialmen's liens and other similar financial encumbrances recorded against or affecting the Property, except those caused by Buyer, shall not be considered Permitted Exceptions and will be discharged or otherwise removed by Seller at or before the Closing, the failure of which will constitute a Seller default and Buyer will be entitled to the remedies detailed in Section 13. If Seller fails or refuses to cure Buyer's Objections, Buyer shall have five (5) days after receipt of Seller's notice of election not to cure the Objections to either (a) waive any such Objections and proceed to Closing, or (b) terminate this Agreement and receive a refund of the Earnest Money.

(d) Termination of Due Diligence or Agreement. Buyer may waive the Due Diligence Period at any time by giving notice to Seller of its intent to proceed to Closing. Buyer shall give Seller notice of its decision to proceed to Closing (subject to conditions herein stipulated) or to terminate this Agreement on or before the expiration of the Due Diligence Period (the "**Waiver Notice**"). In the event Buyer fails to provide to Seller the Wavier Notice prior to the expiration of the Due Diligence Period, this Agreement shall be deemed terminated and Buyer and Seller shall have no further obligation to each other except as stated herein and all Earnest Money shall be returned to Buyer. Should the Wavier Notice provide that Buyer has elected to proceed to Closing, then the Earnest Money shall become non-refundable subject to: (i) any default by Seller, (ii) any Objections that have not been cured or waived, and/or (iii) any other Closing contingencies set forth herein.

(e) Buyer shall keep the Property free from all liens, and shall indemnify, defend, and hold harmless Seller from and against all claims, actions, losses, liabilities, damages, costs and expenses (including, but not limited to, attorneys' fees and costs) incurred, suffered by, or claimed against the Seller by reason of any damage to the Property or injury to persons caused by Buyer and/or its agents, representatives or consultants in exercising its rights under this Section 4. If any mechanic's liens or stop payment notices encumber the Property as a result of Buyer's activities or investigation, Buyer shall cause the mechanic's liens or stop payment notices to be removed ten (10) days after receiving written notice from Seller or cause a Release Bond to be posted in accordance with the provisions of California Civil Code sections 8424 or 9364. The foregoing provisions shall survive the Closing or any termination of this Agreement.

5. Conditions to Closing. Closing of each phase of the Property shall be contingent upon: (a) Buyer's receipt of a Pro Forma Policy of Title Insurance in a form reasonably satisfactory to Buyer; (b) Seller's satisfaction of all other obligations of Seller described in this Agreement; (c) Buyer's receipt of all Entitlements, permits, consents, map, and approvals required to create a legal parcel to be conveyed in fee simple to Buyer by Seller for the First Phase or as applicable each subsequent phase of the Property, including without limitation, any and all zoning approvals, building permits, conditional use permits, site plan approvals, rights of access at Buyer's desired locations, and/or environmental permits; (d) all representations and warranties of Seller set forth in Section 11 below continue to be true and accurate as of the Closing Date; and (e) all representations and warranties of Buyer set forth in Section 12 below continue to be true and accurate as of the Closing Date. Should the contingencies set forth in subsections (b) or (c) fail for any reason prior to the Closing, then Buyer may either waive the contingency and proceed to the Closing or Buyer may terminate this Agreement and receive a return of the Earnest Money. Should the contingencies set forth in subsection (d) fail for any reason prior to the Closing, then such shall be deemed a default by Seller of this Agreement and Buyer may pursue all remedies available to Buyer under Section 13 of this Agreement. The foregoing contingencies and conditions are for the benefit of Buyer and Buyer may waive any or all of same and proceed to the Closing.

6. Condemnation. If prior to the Closing Seller receives notice that a condemnation or eminent domain action is threatened or has been filed against the Property or any part thereof (or that a taking is pending or contemplated), Seller shall promptly give notice thereof to Buyer. In such event, Buyer, at Buyer's sole option, may elect, by written notice, to: (i) terminate this Agreement, in which event neither party shall have

any further obligation hereunder and the Earnest Money shall be returned to the Buyer; or (ii) to proceed to the Closing, in which event Seller will assign to Buyer all of Seller's rights, title, and interests (including to funds received and to be received) in the condemnation proceeding.

7. Closing. "**Closing**" of the First Phase shall occur at the office of the Title Company on or before the date which is Sixty (60) calendar days after the Option Notice is provided to Seller (the "**Closing Date**"). If the Closing Date occurs on a weekend, or a holiday observed in the jurisdiction where the Property is located, then the Closing Date shall be the next business day. As used herein the term "**Close of Escrow**" shall mean, the date the Grant Deed is recorded in the County in which the Property is located, and the Title Company is ready to issue its title policy to the Buyer.

(a) Subsequent Phase Closing. The Buyer will provide Seller with an Option Notice that it is exercising its option to Close escrow on the Second Phase and any subsequent phase of the Property at least Sixty (60) calendar days prior to the Closing Date selected by the Buyer. The Buyer's Option Notice will include the legal description of the Phase being purchased and the proposed Closing Date. The Parties will then determine the Purchase Price for the applicable Phase under the Option Notice in accordance with the terms set forth in Section 2(a) above. Each subsequent Closing will be on the same or similar terms and conditions as the Closing of the First Phase.

8. Closing Documents. The following shall be delivered at Closing:

(a) Deed. Seller shall deliver a Grant Deed (the "**Deed**"), executed and acknowledged by Seller, conveying to Buyer good, marketable, and insurable fee simple absolute title to the First Phase of the Property free and clear of all restrictions, easements, liens, assessments, tenancies (whether recorded or unrecorded) and other encumbrances, except the Permitted Exceptions and any additional easements created by Buyer in obtaining the Entitlements.

(b) Title Policy. Seller shall furnish, at Seller's expense, a standard coverage owner's title insurance policy issued by Title Company. The policy shall be in the amount of the Purchase Price and shall guarantee that Buyer's title to the First Phase of the Property is good and marketable, subject only to the Permitted Exceptions. Buyer may elect, at its sole cost, to obtain extended title coverage and any endorsements thereto.

(c) Other Documents. Seller shall provide the Title Company, at Seller's expense, with a non-foreign affidavit, an Owner's affidavit, and any other written assignments, agreements or documents reasonably requested by Buyer pertaining to the Property or required to convey title to the Property to Buyer. Seller and Buyer will both execute and deliver settlement statements to the Title Company within one day prior to the Closing.

(d) Buyer's Documents. Buyer shall deliver on the Closing Date the Purchase Price for the First Phase of the Property, less the Deposit, and Buyer's share of the pro-rata costs and closing costs. In addition, the Buyer shall provide the Title Company with any other documents requested by the Title Company to close the purchase and issue its Title Insurance Policy, including but not limited to a settlement statement and escrow instructions.

9. Closing Costs. Closing costs and prorations shall be prorated as follows:

(a) Taxes and Utilities. All ad valorem taxes, assessments, and utilities shall be prorated to the Closing Date. If the current year's taxes are not known as of the Closing Date, the proration shall be based

upon the previous year's taxes with an adjustment made between Seller and Buyer when the current year's taxes are known.

(b) Fees. Any escrow fees charged by Title Company and the cost of recording the Deed shall be shared equally by Seller and Buyer. Each party will pay its own attorneys' fees. Furthermore, Seller shall pay any and all documentary or transfer fees or taxes required to be paid in conjunction with this Agreement.

(c) Other. All other bills or charges including other recording fees, any state or local documentary stamps, fees, assessments for improvements completed or initiated prior to Closing, whether levied or not, pertaining to the Property as of the date of Closing shall be allocated in a manner customary for real estate transactions in the area where the Property is located.

10. Possession. Possession of the First Phase of the Property and each subsequent phase shall be delivered to Buyer at Closing. Seller agrees that any improvements remaining on the phase of the Property being purchased after the Closing Date shall belong to Buyer.

11. Warranties. Seller makes the following representations, warranties and covenants as of the Effective Date and as of the Closing Date of each phase, and such warranties and covenants shall survive each Closing.

(a) Seller, is a municipal corporation, is duly formed and in good standing under the laws of the state of its formation and is duly authorized to do business in the state where the Property is located.

(b) Seller has good, marketable, and insurable sole, fee simple, record title to the Property and the Property is not subject to any leases, rights of occupancy, or other similar possessory rights, except the agricultural lease as disclosed to Buyer.

(c) There are no actions, suits, proceedings or investigations, at law or in equity, or before any governmental agency, courts, or other adjunctive bodies pending or to Seller's actual knowledge threatened, affecting the Property, and Seller has not received any notice (written or otherwise) of condemnation or eminent domain proceedings with respect to the Property whether commenced or threatened.

(d) Except with respect to Environmental Laws (as defined below), which are covered by Section 11(e) below, and except as otherwise disclosed in writing by Seller to Buyer prior to the end of the Due Diligence Period, Seller has received no written notice from any city, county, state or other government authority of any violation of any statute, ordinance, regulation, or administrative or judicial order or holding, whether or not appearing in public records, with respect to the Property, which violation has not been corrected.

(e) Except as otherwise disclosed in writing by Seller to Buyer prior to the end of the Due Diligence Period, and except as expressly set forth in any environmental reports delivered to or obtained by Buyer under Section 5(b), Seller has received no written notice that (i) the Property is in violation of any federal, state and local laws, ordinances and regulations applicable to the Property with respect to hazardous or toxic substances or industrial hygiene (collectively, "**Environmental Laws**"), which violation has not been corrected, or (ii) past or current tenants of all or any portion of the Property have owned, used, generated, manufactured, stored, handled, released or disposed of any hazardous or toxic substances on the Property in violation of applicable Environmental Laws.

(f) Seller has complied with all of its obligations relating to the delivery of due diligence materials as set forth in Section 4 above.

(g) Seller has not made any assignment for the benefit of creditors, filed any petition in bankruptcy, been adjudicated insolvent or bankrupt, petitioned or applied to any tribunal for any receiver, conservator or trustee of it or any of its property or assets, or commenced any action or proceeding under any reorganization arrangement, readjustment of debt, conservation, dissolution or liquidation law or statute of any jurisdiction; no such action or proceeding has been commenced or threatened against Seller by any creditor, claimant, governmental authority or any other person or entity; and Seller is not contemplating or commencing any of the foregoing actions.

(h) From and after the Effective Date, (i) Seller shall not (1) enter into any contracts, leases, or agreements related to the Property without the Buyer's written consent, or (2) cause, permit, or allow any agreement, document, encumbrance or lien to be placed, or recorded against, the Property; and (ii) Seller shall, in all respects, use its best efforts to preserve the Property in its current state and not cause, permit, or allow any waste or deterioration of the Property to occur.

(i) The Property is in compliance with all applicable federal, state and local laws, codes, rules, and regulations, and Seller is not in default under any agreements of any kind related to the Property.

(j) Seller has no actual knowledge of any endangered species, wetland, protective plant life, geophysical feature or attribute or other physical attribute of the Property that may prohibit or limit the development thereof. The Real Property is not within the 100-year or shorter period of time flood plain.

(k) There is physical and legal vehicular access to the Southern Property from public streets or roads and all easements to effectuate access to the Southern Property have been duly filed and/or recorded.

(l) As of the expiration of the Due Diligence Period and as of the Closing Date, the First Phase of the Property (as each subsequent phase): (i) will be legally subdivided from all other surrounding real property; and (ii) constitutes its own property tax parcel.

(m) Neither Seller nor any direct or indirect constituents of Seller that either directly or indirectly own 25% of Seller or directly or indirectly control Seller have been designated as a "specifically designated national and blocked person" on the most current list published by the U.S. Treasury Department Office of Foreign Asset Control at its official website, http://www.treas.gov/ofac/t11 or at any replacement website or other replacement official publication of such list. Seller is not in violation of compliance with the regulations of the Office of Foreign Asset Control of the Department of Treasury and any statute, executive order (including the September 24, 2001 Executive Order Blocking Property and Prohibiting Transactions with Persons Who Commit, Threaten to Commit, or Support Terrorism), or any other governmental action relating thereto. This representation will be true at all times from the time this is made and until all obligations under the Agreement are satisfied. In connection with this representation, no later than ten (10) days prior to Closing, Seller shall provide to Buyer all information required by Buyer to confirm Seller's compliance with this provision. Seller represents that all OFAC information provided by Seller to Buyer in connection with this Agreement is true and complete.

(n) As used in this Agreement, the phrase "**to Seller's actual knowledge**" or words of similar import means the actual (and not constructive or imputed) knowledge, without independent investigation or inquiry, of the City's Economic Development Director. Notwithstanding anything herein to the contrary, the individual listed in this Section shall have no personal liability to Buyer with respect to any representations or warranties made herein "to the best of Seller's knowledge" and Buyer has no legal recourse against the individuals listed herein. Buyer's only recourse for any breach of a representation or warranty set forth in this Agreement is limited by this Section and to the remedies set forth in Section 13, and only against the Seller.

The express representations and warranties made in this Agreement shall not merge into any instrument or conveyance delivered at the Closing; provided, however, that any action, suit or proceeding with respect to the truth, accuracy or completeness of such representations and warranties shall be commenced, if at all, on or before the date which is one year after the date of the Closing and, if not commenced on or before such date, thereafter shall be void and of no force or effect. If, prior to the Closing, Buyer obtains actual knowledge of information that contradicts any such representation or warranty or renders any such representation or warranty untrue or incorrect, and Buyer nevertheless consummates the transaction contemplated by this Agreement, Seller shall have no liability under such representation and warranty to the extent it rendered inaccurate information.

12. Buyer Warranties. Buyer represents and warrants to Seller that the following matters are true and correct as of the Effective Date and will also be true and correct as of each Closing:

(a) Buyer is a limited liability company duly formed, validly existing and in good standing under the laws of the State of Delaware and is authorized to do business in the State of California.

(b) This Agreement is, and all the documents executed by Buyer which are to be delivered to Seller at the Closing will be, duly authorized, executed, and delivered by Buyer or its assignee. The obligations contained in this Agreement are, and will be, legal, valid, and binding obligations of Buyer enforceable against Buyer in accordance with their respective terms (except to the extent that such enforcement may be limited by applicable bankruptcy, insolvency, moratorium and other principles relating to or limiting the right of contracting parties generally). This Agreement does not, and will not, violate any provisions of any agreement to which Buyer is a party or to which it is subject.

(c) Prior to the Closing, Buyer will have had the opportunity to investigate all physical and economic aspects of the Property and to make all inspections and investigations of the Property which Buyer deems necessary or desirable to protect its interests in acquiring the Property. Except as otherwise expressly set forth in this Agreement, (i) neither Seller, nor anyone acting for or on behalf of Seller, has made any representation, warranty, promise or statement, express or implied, to Buyer, or to anyone acting for or on behalf of Buyer, concerning the Property or the condition, use or development thereof, (ii) in entering into this Agreement, Buyer has not relied on any representation, warranty, promise or statement, express or implied, of Seller, or anyone acting for or on behalf of Seller, (iii) all matters concerning the Property have been or shall be independently verified by Buyer prior to the Closing, and Buyer shall purchase the Property, or elect not to do so, based on Buyer's own prior investigation and examination of the Property (or Buyer's election not to do so); (iv) **AS A MATERIAL INDUCEMENT TO THE EXECUTION AND DELIVERY OF THIS AGREEMENT BY SELLER, BUYER IS PURCHASING THE PROPERTY IN AN "AS IS" AND "WHERE IS" PHYSICAL CONDITION AND IN AN "AS IS" STATE OF REPAIR, WITH ALL FAULTS**, including, without limitation, latent defects and other matters not detected in Buyer's inspections, without recourse to Seller, and (v) except as provided herein, Buyer waives, and Seller disclaims, all warranties of any type or kind whatsoever with respect to the Property, whether express or implied, including, by way of description but not limitation, those of transfer, quality, merchantability or fitness for a particular purpose and use, including, without limitation, Buyer's intended uses or purposes. Upon the closing of the purchase and sale contemplated hereby, Buyer shall be deemed to have accepted the Property, and each and every portion thereof (including, without limitation, the Leases), unconditionally and with any and all (none being implied hereby) rights to rescind, set aside or avoid the transactions contemplated hereby or to seek a reduction, adjustment, offset or recovery of the Purchase Price waived and relinquished.

(d) Consistent with the foregoing and subject solely to the representations set forth in Section 6 and the limitations on such representations set forth in Section 6, effective as of the Closing Date, Buyer, for itself and its agents, affiliates, successors and assigns, hereby releases and forever discharges Seller, its

respective officials, members, beneficial owners, agents, affiliates, employees, successors and assigns (collectively, the "Releasees") from any and all rights, claims and demands at law or in equity, whether known or unknown at the time of this Agreement, which Buyer has or may have in the future, arising out of the physical, environmental, economic or legal condition of the Property, including, without limitation, all claims in tort or contract and any claim for indemnification or contribution arising under the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. Section 9601, et. seq.) or any similar federal, state or local statute, rule or ordinance relating to liability of property owners for environmental matters. Without limiting the foregoing, Buyer, upon Closing, shall be deemed to have waived, relinquished and released Seller from and against any and all matters arising out of latent or patent defects or physical conditions, violations of applicable laws and any and all other acts, omissions, events, circumstances or matter affecting the Property. For the foregoing purposes, and in consideration of the Due Diligence Period, Buyer hereby specifically acknowledges that this release will extend to claims unknown at the time of executing this release, which if known by Buyer would have materially affected its decision to enter into this Agreement. Buyer specifically acknowledges the provisions of **Civil Code section 1542** and waives its provisions. Buyer hereby specifically acknowledges that Buyer has carefully reviewed this subsection and discussed its import with legal counsel and that the provisions of this subsection are a material part of this Agreement.

TS

Buyer's initials

(e) OFAC.

(i) Buyer is not a Prohibited Person (as defined below);

(ii) To its actual knowledge, none of Buyer's investors, affiliates, brokers, or other agents (if any), acting or benefiting in any capacity in connection with this Agreement is a Prohibited Person;

(iii) The funds or other assets it will transfer to Seller under the terms hereof are neither the property of, nor beneficially owned, directly or indirectly, by, a Prohibited Person;

(iv) The funds or other assets it will transfer to Seller under the terms hereof are not the proceeds of specified unlawful activity as defined by 18 U.S.C. § 1956(c)(7).

As used in the foregoing representations and warranties, the term, "**Prohibited Person**" means any of the following: (i) a person or entity that is listed in the Annex to, or is otherwise subject to the provisions of, Executive Order No. 13224 on Terrorist Financing (effective September 24, 2001) (the "**Executive Order**"); (ii) a person or entity owned or controlled by, or acting for or on behalf of any person or entity that is listed in the Annex to, or is otherwise subject to the provisions of, the Executive Order; (iii) a person or entity that is named as a "specially designated national" or "blocked person" on the most current list published by the U.S. Treasury Department's Office of Foreign Assets Control ("**OFAC**") at http://www.treas.gov/offices/enforcement/ofac; (iv) a person or entity that is otherwise the target of any economic sanctions program currently administered by OFAC; or (v) a person or entity that is affiliated with any person or entity identified in clause (i), (ii), (iii) and/or (iv) above.

13. Notices. Except as otherwise required by law, any notice, demand or request given in connection with this Agreement shall be in writing and shall be given by (i) personal delivery, (ii) recognized, national overnight courier service, (iii) United States certified mail, return receipt requested, postage or other delivery charge prepaid, or (iv) electronic mail. In all events, notice shall only be deemed given if properly addressed to Seller or Buyer as applicable, at the following addresses (or at such other address as Seller or Buyer or the person receiving copies may designate in writing given in accordance with this Section):

Buyer:	PDC Sacramento LPIV, LLC 8775 Folsom Blvd., Suite 200 Sacramento, California 95826 Attn.: Tim Schaedler Tel.: (916) 381-1561 Email: Tschaedler@ Panattoni.com	With a Copy To:	Albert & Coss, LLP 550 Howe Ave., Suite 100 Sacramento, Ca. 95825 Attn.: Anthony Coss Tel.: (916) 640-0110 Email: Acoss@Albertcoss.com
		With a Copy To:	Albert & Coss, LLP 550 Howe Ave., Suite 100 Sacramento, California 95825 Attn.: Fred Albert Tel.: (916) 769-2452
Seller:	City of Roseville 311 Vernon Street Roseville, California 95678 Attn.: City Manager Tel.: (916)774-5325 Email: citymanager@roseville.ca.us	With a Copy To:	City of Roseville 311 Vernon Street Roseville, California 95678 Attn.: City Attorney Tel.: (916) 774 -5325 Email: cityattorney@roseville.ca.us
Title Company:	Placer Title Company 1508 Eureka Road, Suite 150 Roseville, California 95661 Attn.: Tracy Murphy Tel.: (916) 782-3711 Email: tmurphy@placertitle.com		

Notice shall be deemed to have been given on the date on which notice is delivered, if notice is given by personal delivery or electronic mail, on the date of delivery to the overnight courier service, if such a service is used, and on the date of deposit in the mail, if mailed. Notice shall be deemed to have been received on the date on which the notice is actually received, or delivery is refused. Copies of all notices given to Seller or Buyer shall be given to the Title Company (provided, however, any omission on the part of either party to provide a copy of the applicable notice to Title Company shall not affect the effectiveness of the notice if properly provided to the other parties as described above).

14. Termination/Default. If this Agreement is terminated or Closing does not occur because of the failure of any condition or the occurrence of an event giving rise to a termination right of Buyer as set forth herein, the Earnest Money will be returned to Buyer.

IF BUYER DEFAULTS IN ITS OBLIGATION TO CLOSE THE PURCHASE OF THE PROPERTY, THE DEPOSIT, PLUS ANY INTEREST ACCRUED THEREON, SHALL BE PAID TO AND RETAINED BY SELLER AS LIQUIDATED DAMAGES. THE AMOUNT PAID TO AND RETAINED BY SELLER AS LIQUIDATED DAMAGES SHALL BE SELLER'S SOLE REMEDY IF BUYER FAILS TO CLOSE THE PURCHASE OF THE PROPERTY. THE PARTIES HERETO EXPRESSLY AGREE AND ACKNOWLEDGE THAT SELLER'S ACTUAL DAMAGES IN THE EVENT OF A DEFAULT BY BUYER WOULD BE EXTREMELY DIFFICULT OR IMPRACTICABLE TO ASCERTAIN AND THAT THE AMOUNT OF THE DEPOSIT REPRESENTS THE PARTIES' REASONABLE ESTIMATE OF SUCH DAMAGES. THE PAYMENT OF SUCH AMOUNT AS LIQUIDATED DAMAGES IS NOT INTENDED AS A FORFEITURE OR PENALTY

WITHIN THE MEANING OF CALIFORNIA CIVIL CODE SECTIONS 3275 OR 3369 BUT IS INTENDED TO CONSTITUTE LIQUIDATED DAMAGES TO SELLER PURSUANT TO CALIFORNIA CIVIL CODE SECTIONS 1671, 1676 AND 1677. SELLER HEREBY WAIVES THE PROVISIONS OF CALIFORNIA CIVIL CODE SECTION 3389. SELLER SHALL HAVE NO OTHER REMEDY WHETHER AT LAW OR EQUITY FOR ANY DEFAULT BY BUYER. NOTWITHSTANDING THE FOREGOING, IN NO EVENT SHALL SELLER'S ABILITY TO RECOVER FROM BUYER ANY LOSS, COST, DAMAGE OR EXPENSE PURSUANT TO ANY INDEMNIFICATION OR OTHER PROVISIONS OF THIS AGREEMENT THAT SURVIVE CLOSING HEREUNDER BE DEEMED LIMITED IN ANY RESPECT BY SELLER'S RECEIPT OF THE DEPOSIT, INCLUDING, BUT NOT LIMITED TO SECTION 4(d), SECTION 4(e), SECTION 14, SECTION 15, AND SECTION 31.

SELLER'S INITIALS: ____ BUYER'S INITIALS: TS

If Seller defaults in its obligations under this Agreement on or before the Closing, or if any of Seller's representations or covenants set forth in this Agreement are not true and correct in all material aspects as of the Closing, then except as set forth in the next sentence, Buyer's sole remedies shall be (i) to terminate this Agreement, whereupon the Title Company shall return the Deposit to Buyer and both Seller and Buyer shall be relieved of any further liability under this Agreement; and (ii) to recover from Seller the reasonable and verifiable third-party out-of-pocket costs and expenses, up to the maximum amount of FIFTY THOUSAND DOLLARS AND 00 CENTS ($50,000.00) incurred by Buyer in connection with the review of the Property, including, without limitation, title and survey expenses, third-party report expenses, and reasonable attorney's fees, all other damages costs or expenses pursuant to any indemnification or other provision that survives the termination of this Agreement being waived by this Section. Notwithstanding the foregoing, if Buyer shall have the right to terminate this Agreement by reason of Seller's default in tendering settlement to Buyer, Buyer may elect to terminate the Agreement as set forth in this Section or pursue a remedy of Specific Performance of Seller's obligation to convey the Property to Buyer within One Hundred Eighty (180) days of the Closing. If Buyer fails to file such action for Specific Performance within such One Hundred Eighty (180) day period, the remedy will be considered waived and no longer enforceable.

15. Brokers. Except as disclosed in the Information Sheet of this Agreement, Buyer and Seller have not hired or involved any brokers or agents in the Transaction. Seller indemnifies and agrees to hold the Buyer harmless from any claim, demand or suit for any brokerage or real estate commission, finder's fee, or similar charge with respect to the Property or the Transaction based on any act by, or agreement with, the indemnifying party. Buyer indemnifies and agrees to hold the Seller harmless from any claim, demand or suit for any brokerage or real estate commission, finder's fee, or similar charge with respect to the Property or the Transaction based on any act by, or agreement with, the indemnifying party. Neither party shall cause or permit any broker to place a lien against the Property.

16. Entire Agreement/Amendment. This Agreement sets forth the entire understanding of the parties with respect to the matters set forth herein as of the date hereof, and supersedes all prior oral and written agreements, discussions and understandings of the parties hereto as to the matters set forth herein, and cannot be waived, altered, supplemented, eliminated or amended except pursuant to an instrument in writing signed by both Buyer and Seller.

17. Successors and Assigns. Buyer shall have the right to assign its rights and obligations under this Agreement, with the written consent of Seller, to an affiliate of Buyer which is owned or controlled by Buyer, Panattoni Development company, Inc., the Panattoni Living Trust, dated April 8, 1996, Carl D. Panattoni, or Adon A. Panattoni, provided notice of such assignment is given to Seller and provided the assignee assumes all of the Buyer rights and obligations under the terms of this Agreement. If Buyer assigns this Agreement such

assignment will not relieve Buyer of its obligations or duties under the terms of this Agreement. Buyer shall not have the right to assign this Agreement to any third party without the written consent of the Seller. Seller shall have the right to assign its rights and obligations under this Agreement to any entity to which it transfers the Property prior to Closing, provided such entity assumes Seller's obligations under this Agreement and thereafter notice of such assignment is given to Buyer. This Agreement shall be binding upon and inure to the benefit of the parties hereto and their respective permitted successors and assigns.

18. Binding Effects. This Agreement shall be binding upon and inure to the benefit of the parties and their respective heirs, legal representatives, successors and assigns.

19. Authority of Signers. If Buyer or Seller is a corporation, partnership, limited liability company or other entity, the person executing this Agreement on its behalf, warrants his or her authority to do so and bind Buyer or Seller.

20. Attorneys' Fees. If there is any litigation between Seller and Buyer to enforce or interpret any provisions or rights under this Agreement, the unsuccessful party in such litigation, as determined by the court, shall pay to the successful party, as determined by the court, all costs and expenses, including but not limited to reasonable attorneys' fees, incurred by the successful party, such fees to be determined by the court sitting without a jury.

21. Risk of Loss. All risk of loss or damage to the Property shall be borne by Seller until closing.

22. Tax Deferred Exchange. At the request of either party, Buyer and Seller agree to reasonably cooperate with the other and Escrow Agent in structuring and documenting the sale of all or part of the Property to effect a tax deferred exchange in accordance with the provisions of Section 1031 of the Internal Revenue Code and its corresponding regulations. Such cooperation shall be at no cost or additional liability to the other party. In no event shall either party be required to assume any obligations or liability, take title to any property other than the Property or pay any cash price in excess of the Purchase Price of the Property and shall not be personally liable in such transaction.

23. Intentionally Deleted.

24. Counterparts. The parties may sign this Agreement in multiple identical counterparts, all of which taken together shall constitute one and the same agreement. Further, the parties shall treat a copy of an original signature to this Agreement for all purposes as an original signature. The parties shall consider a copy of the signed Agreement for all purposes as an original of the Agreement to the maximum extent permitted by law, and no party to this Agreement shall have any obligation to retain a version of this Agreement that contains original signatures in order to enforce this Agreement, or for any other purpose.

25. Governing Law. This Agreement shall be governed by, and construed and enforced in accordance with, the laws of the State of California. Any action arising out of this Agreement shall be brought in Placer County, California, regardless of where else venue my lie.

26. Intentionally Deleted.

27. Liability of Seller. Buyer acknowledges and agrees that the liability of Seller under this Agreement shall be limited in the manner set forth in this Agreement and in no event shall the officials, members, partners, shareholders, officers, directors, employees, or owners of Seller have any personal liability, for any breach of this Agreement by Seller, and any judgments against Seller shall be satisfied solely out of the assets of Seller.

28. Negotiations. Each party acknowledges it has participated in the negotiation and preparation of this Agreement and has reviewed this Agreement and had the opportunity to consult with its counsel and accountants with respect to its terms. Therefore, each party agrees that the rule of construction to the effect that any ambiguities in a document shall be interpreted against the drafting party, will not be utilized in the interpretation, construction, or enforcement of this Agreement, and no consideration shall be given to the issue of which party hereto actually prepared, drafted or requested any term or condition of this Agreement.

29. Business Days. If the Agreement requires an act to be done or an action to be taken on a weekend or a holiday observed in either California or the jurisdiction where the Property is located, then such act or action shall be deemed to have been validly done or taken if done or taken on the next succeeding business day after such date.

30. Intentionally Deleted.

31. Indemnification. Seller hereby agrees to indemnify Buyer against and hold Buyer harmless from any and all claims, actions, costs, liability, loss, damage or expense, including, without limitation, reasonable attorneys' fees, resulting from operational events originating or relating to the period before the date of the close of escrow. Buyer hereby agrees to indemnify Seller against and hold Seller harmless from any and all claims, actions, costs, liability, loss, damage or expense, including, without limitation, reasonable attorneys' fees, resulting from operational events originating or relating to the period on or after the date of the close of escrow.

32. Recordation of Option. A Memorandum of Option Agreement shall be recorded in the Official Records of Placer County.

33. Inducement. The making, execution and delivery of this Agreement by the parties hereto have been induced by no representations, statements, warranties or agreements other than those expressly set forth herein.

34. Severability. Wherever possible, each provision of this Agreement shall be interpreted in such a manner as to be valid under applicable law, but, if any provision of this Agreement shall be invalid or prohibited thereunder, such invalidity or prohibition shall be construed as if such invalid or prohibited provision had not been inserted herein and shall not affect the remainder of such provision or the remaining provisions of this Agreement.

The rest of this page is left intentionally blank. Signatures are on the next page.

IN WITNESS WHEREOF, the City of Roseville, a municipal corporation, has authorized the execution of the Agreement in duplicate by its City Manager and attested to by the City Clerk under the authority of Resolution No. _____, adopted by the City Council of the City of Roseville on the ____ day of _____, 20___, and PDC Sacramento LPIV, LLC has caused this Agreement to be executed.

SELLER:

CITY OF ROSEVILLE, a
municipal corporation

BY: _____
DOMINICK CASEY
City Manager

BUYER:

PDC SACRAMENTO LPIV, LLC,
a Delaware limited liability company

BY: PDC Sacramento, LLC, a
a Delaware limited liability
company
Its Manager

BY: _____
TIMOTHY SCHAEDLER
Local Partner

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

APPROVED AS TO SUBSTANCE:

BY: Laura Matteoli
LAURA MATTEOLI
Economic Development Director

EXHIBIT A

Legal Description of the Property

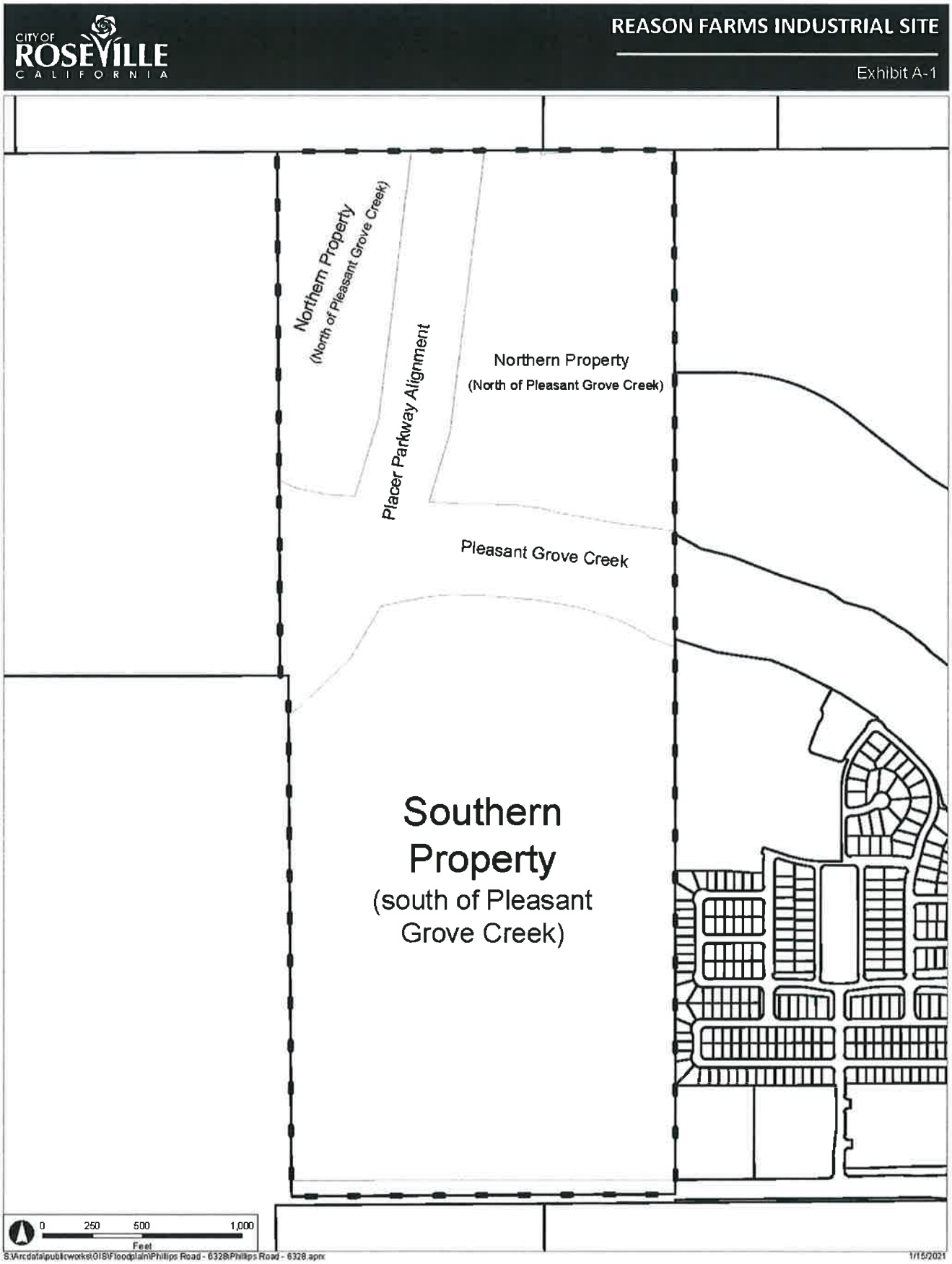
The land described herein is situated in the State of California, County of Placer, unincorporated area, described as follows:

The West half of the West half of the West half of Section 14,Township 11 North, Range 5 East, M.D.B.&M., and the East half of the East half of Section 15, Township 11 North, Range 5 East, M.D.B.&M.

APN: 017-101-008

EXHIBIT A-1

MAP OF THE SOUTHERN AND NORTHERN PROPERTIES



RECORDING REQUESTED BY

AND WHEN RECORDED MAIL TO:

PDC Sacramento LPIV, LLC
c/o Panattoni Development Company, Inc.
8775 Folsom Blvd., Suite 200
Sacramento, CA 95826
Attention: Tim Schaedler

[Space Above For Recorder's Use Only]

MEMORANDUM OF OPTION AND PURCHASE AND SALE AGREEMENT

THIS **MEMORANDUM OF OPTION AND PURCHASE AND SALE AGREEMENT** (this "**Memorandum**") is made and entered into as of _____, 2021 (the "**Effective Date**"), by and between **PDC SACRAMENTO LPIV, LLC**, a Delaware limited liability company ("**Optionee**"), and **THE CITY OF ROSEVILLE,** a municipal corporation ("**Optionor**"), with respect to the following Recitals.

A. Optionor is the fee owner of approximately 236.26 acres of unimproved land located in the City of Roseville, County of Placer, State of California which is more particularly described in Exhibit A attached hereto (the "**Property**").

B. Optionor and Optionee have entered into that certain unrecorded Option and Purchase and Sale Agreement ("**Option**") dated as of _____, 2021, on the terms and conditions set forth in the Option.

C. Optionor and Optionee desire to confirm and evidence the Option in the Official Records of Placer County, State of California (the "**Official Records**").

NOW, THEREFORE, in consideration of the foregoing Recitals (which are incorporated herein by this reference), and in consideration of the mutual promises and covenants contained in the Option and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Optionor and Optionee hereby agree as follows:

1. **Definitions**. Initially-capitalized terms set forth in this Memorandum and not defined herein shall have the meanings ascribed to such terms in the Option, unless the context clearly indicates otherwise. All references in the Option or in this Memorandum to the "**Option**" or the "**Agreement**" shall be deemed to refer to the Option as supplemented by this Memorandum.

2. **Option of the Property for the Option Term**. Optionor and Optionee hereby ratify and confirm that as of the Effective Date Optionor has granted to Optionee, upon and subject to the terms more particularly set forth in the Option, an option to purchase all or any part of the Property on the terms set forth in the Option. The Option is for a term (the "**Option Term**") of Ten years, beginning on the Effective Date.

3. **Governing Law**. This Memorandum shall be construed in accordance with the laws of the State of California. The parties hereto consent to jurisdiction and venue in Placer County, California.

4. **Conflicts**. In the event of any conflict between the terms and conditions of this Memorandum and the terms and conditions of the Option, the terms and conditions of this Memorandum shall control.

5. **Amendments**. This Memorandum and the Option may be amended by a written instrument executed by Optionor and Optionee.

6. **Exhibits**. All exhibits attached to this Memorandum are incorporated herein and made a part of this Memorandum by reference.

7. **Entire Agreement**. This Memorandum, the Exhibits attached hereto and the Option (and exhibits attached thereto) are the entire agreement between the parties regarding the subject matter contained herein and supersedes all prior or contemporaneous agreements, representations, and undertakings of the parties.

8. **Counterparts**. This Memorandum may be executed by the parties hereto in any number of counterparts, each of which shall be deemed an original, but all of which shall constitute one and the same agreement. The signature page of any counterpart may be detached therefrom without impairing the legal effect of the signature(s) thereon provided such signature page is attached to any other counterpart identical thereto except having additional signature pages executed by other parties to this Agreement attached thereto.

[Signatures on next page]

IN WITNESS WHEREOF, Optionor and Optionee have caused their duly authorized representatives to execute this Memorandum as of the Effective Date.

OPTIONOR:

CITY OF ROSEVILLE,
a municipal corporation

By: _____
DOMINICK CASEY
City Manager

ATTEST:

BY: _____
SONIA OROZCO
City Clerk

APPROVED AS TO FORM:

BY: _____
ROBERT R. SCHMITT
City Attorney

OPTIONEE:

PDC SACRAMENTO LPIV, LLC
a Delaware limited liability company

By: PDC Sacramento, LLC,
a Delaware limited liability company
its Manager

By: _____
Tim Schaedler, Local Partner

EXHIBIT A

Legal Description of the Property

THE LAND REFERRED TO HEREIN BELOW IS SITUATED IN THE CITY OF ROSEVILLE, COUNTY OF PLACER, STATE OF CALIFORNIA AND IS DESCRIBED AS FOLLOWS:

The land described herein is situated in the State of California, County of Placer, unincorporated area, described as follows:

The West half of the West half of the West half of Section 14, Township 11 North, Range 5 East, M.D.B.&M., and the East half of the East half of Section 15, Township 11 North, Range 5 East, M.D.B.&M.

APN: 017-101-008

End of the Document.

Optionor Acknowledgment

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }
County of Sacramento }

On February __, 2021, before me, _____, a Notary Public for the State of California, personally appeared _____ who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under **PENALTY OF PERJURY** under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

Signature: _____ (Seal)

Optionee Acknowledgment

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.

State of California }
County of Sacramento }

On February 10, 2021, before me, Angelique Kaminski, a Notary Public for the State of California, personally appeared Tim Schaedler who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

I certify under **PENALTY OF PERJURY** under the laws of the State of California that the foregoing paragraph is true and correct.

WITNESS my hand and official seal.

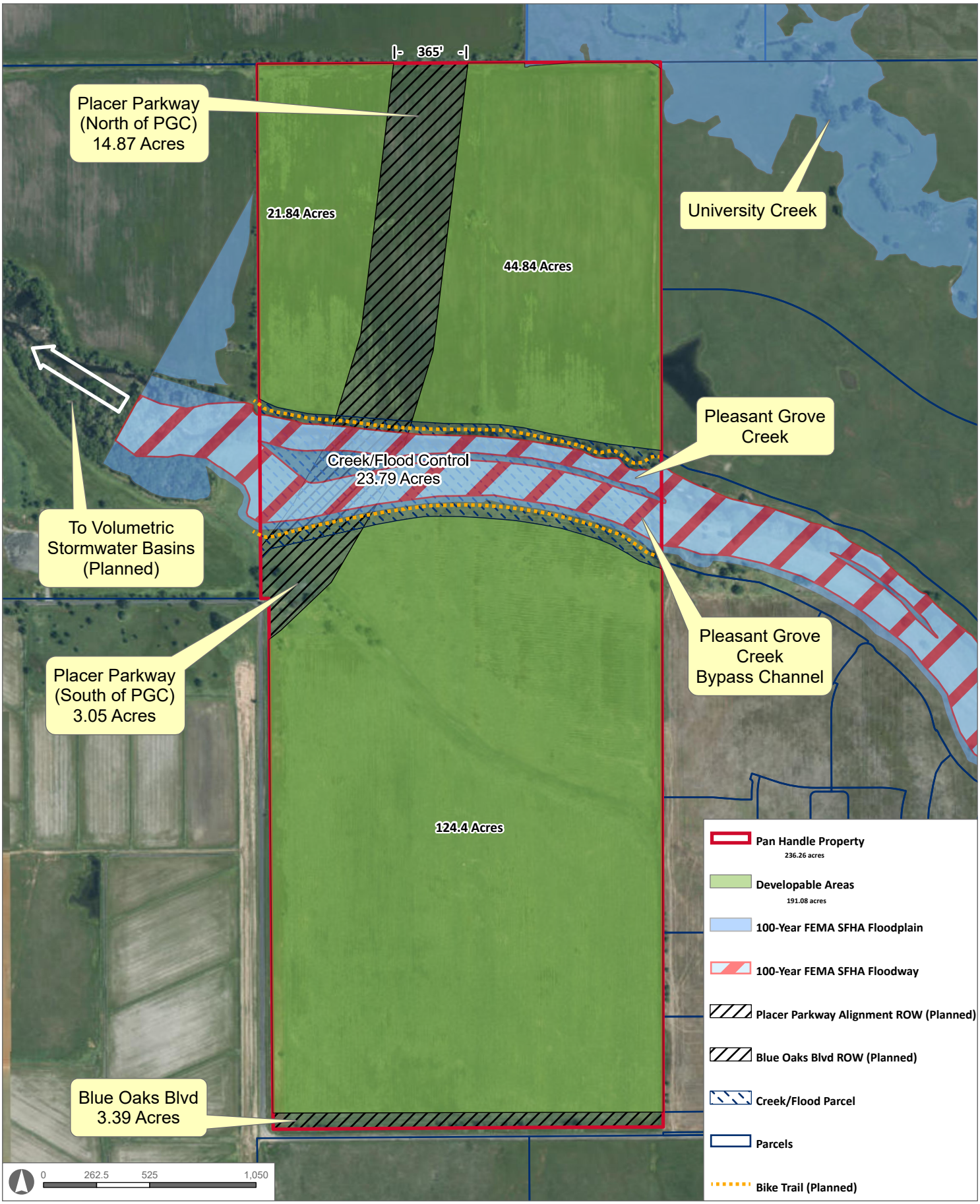
Signature: Angelique B. Kaminski (Seal)

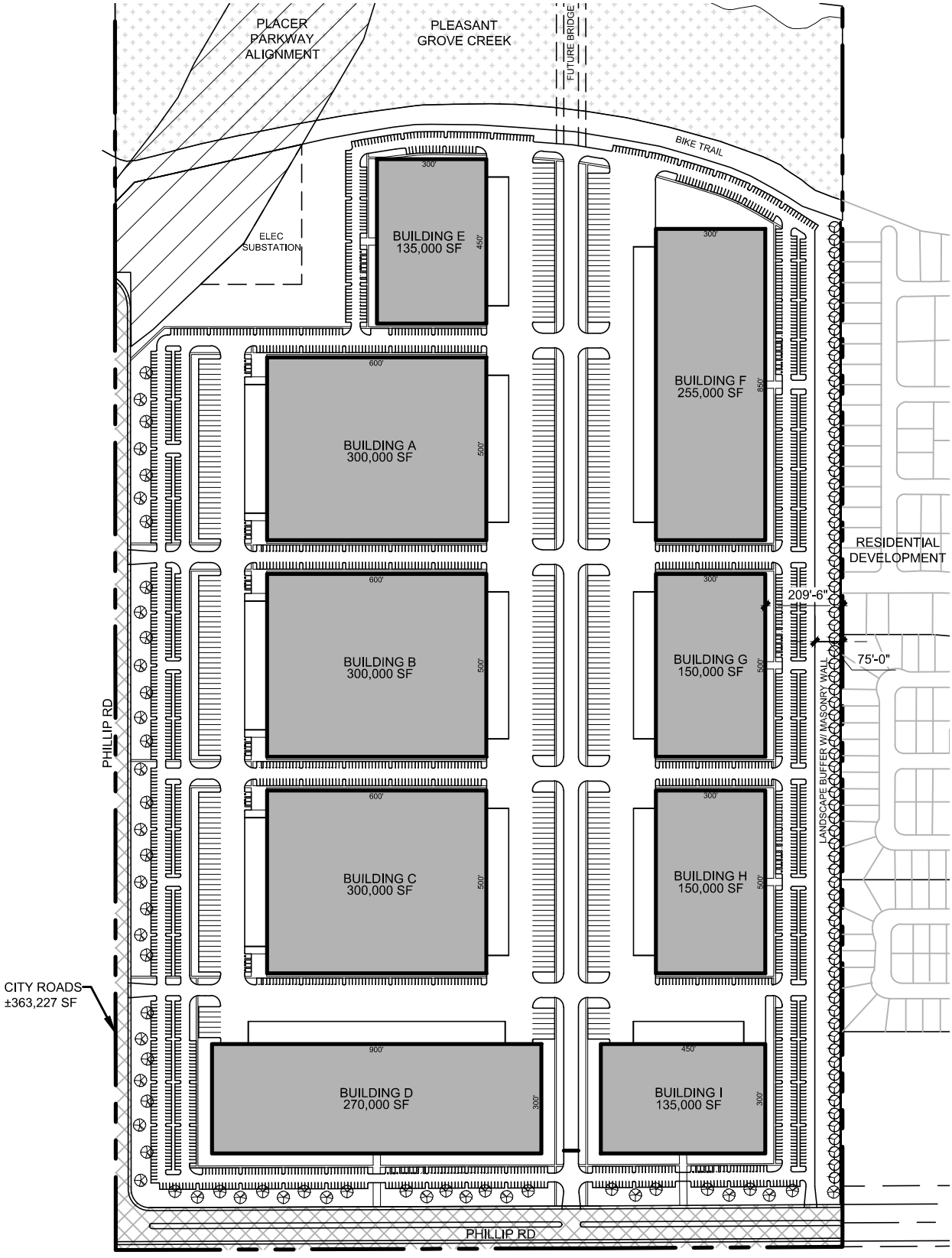
ANGELIQUE B. KAMINSKI
Notary Public - California
Sacramento County
Commission # 2256993
My Comm. Expires Sep 29, 2022

CITY OF ROSEVILLE CALIFORNIA

REASON FARMS INDUSTRIAL SITE

Attachment 1





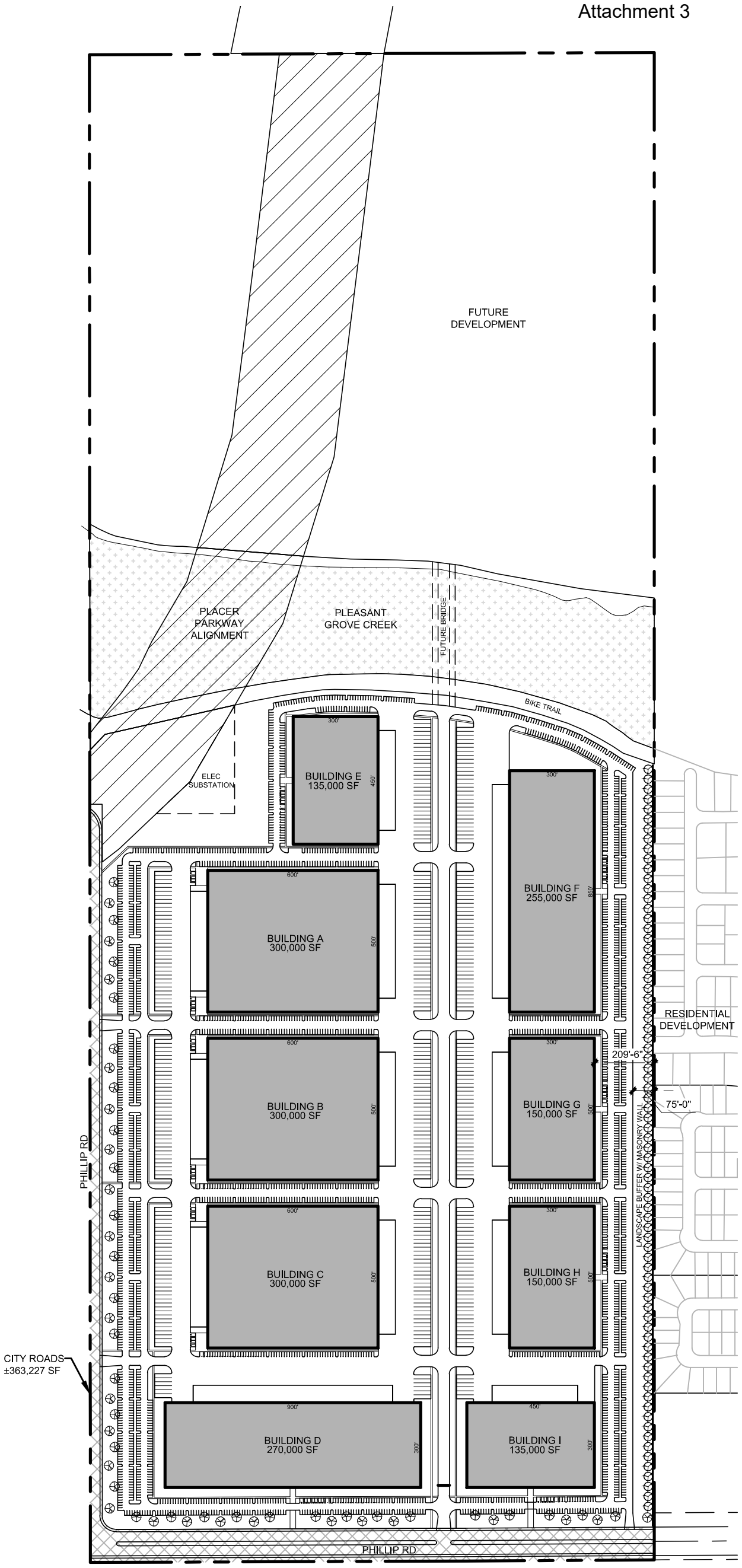
PROJECT DATA

SITE AREA:	5,418,954 SF (124.4 AC)
BUILDING AREA:	1,995,000 SF (ALT: 2,095,000 SF)
FLOOR AREA RATIO:	0.37 (ALT: 0.39)
PARKING REQUIRED:	2,295 STALLS
INDUSTRIAL (95%): 1,895,250 SF / 1,000 = 1,896 STALLS	
OFFICE (5%): 99,750 SF / 250 = 399 STALLS	
PARKING PROVIDED:	2,467 STALLS
STANDARD: 2,262 STALLS	
ACCESSIBLE: 55 STALLS	
ELECTRIC VEHICLE READY: 150 STALLS	

CONCEPTUAL SITE PLAN

PHILLIP ROAD
ROSEVILLE, CA

V I T A E



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CONCEPTUAL SITE PLAN

PHILLIP ROAD
ROSEVILLE, CA

V I T A E

COUNCIL COMMUNICATION

CC #: 1310
File #: 0709-01 & 0103-03-02

Title: HOUSING AUTHORITY - FY2020-21 and FY2021-22 Annual Plans
Contact: Suzi Cook-Turner 916-774-5414 smcook-turner@roseville.ca.us

Meeting Date: 3/3/2021
Item #: 8.2.

RECOMMENDATION TO COUNCIL

Staff recommends that the City Council, acting as the Board of Directors for the Roseville Housing Authority, take the following actions:

1. Adopt a resolution to approve the FY2020-21 Roseville Housing Authority Annual Plan; and

2. Adopt a resolution to approve the FY2021-22 Roseville Housing Authority Annual Plan; and

3. Authorize the Executive Director to submit the FY2020-21 and FY2021-22 Roseville Housing Authority Annual Plans to the U.S. Department of Housing & Urban Development along with the required certifications, disclosures, and related documents.

BACKGROUND

The Roseville Housing Authority (RHA) provides up to 735 households with Housing Choice Voucher (HCV) rental assistance funded through the U.S. Department of Housing and Urban Development (HUD). This total includes vouchers specifically for non-elderly disabled (NED) households, veteran households under the Veterans' Affairs Supportive Housing (VASH) Program, and households with persons with disabilities who are transitioning out of institutional and other segregated settings, homeless, or at risk of becoming homeless under the Mainstream Program. Project-based vouchers have also allowed for 30 RHA families to lease up in brand new units in 2020 and 2021. HUD has made a special exception, due to COVD-19, for RHA to submit the 2020 Annual Plan along with the 2021 Annual Plan.

Pursuant to the 1998 Quality Housing and Work Responsibility Act (QHWRA), all housing authorities must submit an Agency Plan, which includes a Five Year Plan and an Annual Plan. HUD designed the Agency Plan to reflect the current and future goals of all housing authorities. It is also intended to identify the needs of the households served by RHA. Since RHA submitted its Five Year Plan to HUD in 2020, RHA is only required to submit its Annual Plan in 2021. In November 2008, HUD issued PIH Notice 2008-41 (HA) that provided housing authorities with a revised Annual Plan process which reduces the reporting requirements for qualified housing

authorities. Since RHA has been designated as a “High Performer” and a “Section 8 Only” housing authority, RHA is entitled to submit a simplified annual plan which limits reporting to a few components.

The draft Annual Plans for 2020 and 2021 are required to have a 45-day public comment period before RHA has a public hearing to adopt the Annual Plans. The 45-day comment period was noticed on January 15, 2021, closing March 3, 2021. Housing Authority staff also provided RHA’s Resident Advisory Board (RAB) members with copies of the draft Annual Plans for their review. To date, staff has not received any comments regarding this item.

Subject to Council’s action on the proposed Annual Plans as the Housing Authority Board, housing staff anticipates submitting the final documents to HUD in compliance with the April 15, 2021 due date, which is 75 days before the beginning of the next fiscal year.

FISCAL IMPACT

The RHA provides an important social service to ensure safe and affordable housing for our residents. Safe and affordable housing is an essential component to the foundation of our community’s economic and social stability. Through monthly rental assistance payments, the Housing Choice Voucher (HCV) Program invests over $5.5 million into the local rental economy annually.

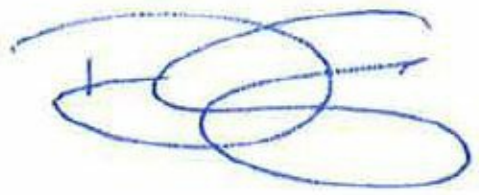
ENVIRONMENTAL REVIEW

The California Environmental Quality Act (CEQA) does not apply to activities that will not result in a direct or reasonably foreseeable indirect physical change in the environment, or is otherwise not considered a project as defined by CEQA Statute §21065 and CEQA State Guidelines §15060(c)(3) and §15378. Approval of the Roseville Housing Authority Annual Plans meet the above criteria and are not subject to CEQA. No additional environmental review is required.

Respectfully Submitted,

Suzi Cook-Turner, Housing Supervisor

Laura Matteoli, Economic Development Director



_____
Dominick Casey, City Manager

ATTACHMENTS:
Description

Resolution 1-21

Housing Authority FY2020-2021 Annual Plan

Resolution 2-21

Housing Authority FY2021-2022 Annual Plan

Resident Advisory Board Letter

Public Notice

RESOLUTION NO. 1-21

HOUSING AUTHORITY OF THE CITY OF ROSEVILLE

APPROVING THE FY2020-21 ANNUAL PLAN

WHEREAS, the Department of Housing and Urban Development (HUD) requires all housing authorities to submit an Agency Plan, which includes an Annual Plan; and

WHEREAS, staff has prepared the Annual Plan for FY2020-21; and

WHEREAS, the Housing Authority has reviewed the plan;

NOW, THEREFORE, THE HOUSING AUTHORITY OF THE CITY OF ROSEVILLE DOES HEREBY RESOLVE that:

1. The Roseville Housing Authority Annual Plan for FY2020-21 is hereby approved,

2. The Executive Director is authorized to submit the Roseville Housing Authority Annual Plan for FY2020-21 to the U.S. Department of Housing and Urban Development along with all required certifications, disclosures, and related documents.

PASSED AND ADOPTED by the Housing Authority of the City of Roseville this _____day of_____, 20___, by the following vote on roll call:

AYES AUTHORITY MEMBERS:

NOES AUTHORITY MEMBERS:

ABSENT AUTHORITY MEMBERS:

_____
Chair

ATTEST:

_____
Secretary

Streamlined Annual PHA Plan *(High Performer PHAs)*	U.S. Department of Housing and Urban Development Office of Public and Indian Housing	OMB No. 2577-0226 Expires: 02/29/2016

Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

Applicability. Form HUD-50075-HP is to be completed annually by **High Performing PHAs**. PHAs that meet the definition of a Standard PHA, Troubled PHA, HCV-Only PHA, Small PHA, or Qualified PHA do not need to submit this form.

Definitions.

(1) ***High-Performer PHA*** – A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers, and was designated as a high performer on both of the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments.

(2) ***Small PHA*** - A PHA that is not designated as PHAS or SEMAP troubled, or at risk of being designated as troubled, and that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceeds 550.

(3) ***Housing Choice Voucher (HCV) Only PHA*** - A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment, and does not own or manage public housing.

(4) ***Standard PHA*** - A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceeds 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.

(5) ***Troubled PHA*** - A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.

(6) ***Qualified PHA*** - A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined, and is not PHAS or SEMAP troubled.

A. PHA Information.

A.1

PHA Name: Roseville Housing Authority **PHA Code**: CA128
PHA Type: ☐ Small ☒ High Performer
PHA Plan for Fiscal Year Beginning: (MM/YYYY): 07/01/2020
PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above)
Number of Public Housing (PH) Units 0 Number of Housing Choice Vouchers (HCVs) 702
Total Combined 702
PHA Plan Submission Type: ☒ Annual Submission ☐ Revised Annual Submission

Availability of Information. In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information of the PHA policies contained in the standard Annual Plan, but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.

Copies of PHA Plans may be obtained at the Agency's main office:

Roseville Housing Authority
316 Vernon Street, Suite 150
Roseville, CA 95678

5-Year and Annual PHA Plans are also available on the website at www.roseville.ca.us. See Government, Departments & Divisions, Affordable Housing, Rental Programs, Housing Choice Voucher Program.

☐ **PHA Consortia**: (Check box if submitting a Joint PHA Plan and complete table below)

Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the Consortia	No. of Units in Each Program	
				PH	HCV
Lead PHA:					

B.	Annual Plan Elements
B.1	**Revision of PHA Plan Elements.** (a) Have the following PHA Plan elements been revised by the PHA since its last **Annual PHA Plan** submission? Y N ☐ ☒ Statement of Housing Needs and Strategy for Addressing Housing Needs. ☐ ☒ Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions. ☐ ☒ Financial Resources. ☐ ☒ Rent Determination. ☐ ☒ Homeownership Programs. ☐ ☒ Safety and Crime Prevention. ☐ ☒ Pet Policy. ☐ ☒ Substantial Deviation. ☐ ☒ Significant Amendment/Modification (b) The PHA must submit its Deconcentration Policy for Field Office Review. (c) If the PHA answered yes for any element, describe the revisions for each element below: The PHA's definition of "significant amendment" and "substantial deviation/modification": *Changes to the PHA admission or waiting list policies would be considered a substantial deviation and significant amendment to the PHA Plan requiring a public hearing*
B.2	**New Activities.** (a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year? Y N ☐ ☒ Hope VI or Choice Neighborhoods. ☐ ☒ Mixed Finance Modernization or Development. ☐ ☒ Demolition and/or Disposition. ☐ ☒ Conversion of Public Housing to Tenant Based Assistance. ☐ ☒ Conversion of Public Housing to Project-Based Assistance under RAD. ☒ ☐ Project Based Vouchers. ☐ ☒ Units with Approved Vacancies for Modernization. ☐ ☒ Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants). (b) If any of these activities are planned for the current Fiscal Year, describe the activities. For new demolition activities, describe any public housing development or portion thereof, owned by the PHA for which the PHA has applied or will apply for demolition and/or disposition approval under section 18 of the 1937 Act under the separate demolition/disposition approval process. If using Project-Based Vouchers (PBVs), provide the projected number of project based units and general locations, and describe how project basing would be consistent with the PHA Plan. *PROGRESS: In 2017, RHA awarded 30 Project Based Vouchers (19 VASH and 11 regular) to a developer of affordable housing. The Agreement to enter into a Housing Assistance Payments Contract was executed 3/8/2019 and new construction began Fall 2019. Of the 30 PBVs, 19 VASH 3 regular will be included in a 4% low income housing tax credit project, Main Street Plaza Apartments; 8 regular will be included in a 9% low income housing tax credit project, Annex on Main (also known as Roseville Apartments).*

B.3 **Progress Report.**

Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-Year Plan.

Goals for the current 5-Year Plan:

1. Expand the supply of assisted housing.
   - *The Roseville Housing Authority (RHA) will apply for additional VASH vouchers if they become available.*
     - *PROGRESS: In addition to the 10 original VASH vouchers, RHA had the opportunity to apply for 8 more VASH vouchers which were awarded in May 2015 and another 8 in May 2016.*
     - *PROGRESS: RHA has initiated project-based vouchers as an option January 2017 so that all vouchers, including VASH vouchers can be placed and utilized in housing. This is particularly difficult to do with VASH vouchers and in a local housing market with less than a three percent vacancy.*
     - *PROGRESS: RHA, with the support of the VA, was awarded 9 more VASH vouchers during calendar year 2018.*
     - *PROGRESS: RHA, with the support of the VA, was awarded 5 more VASH vouchers in January 2020*
   - *The Roseville Housing Authority, with the support of local support service providers, was awarded 14 Mainstream vouchers during calendar year 2018 and another 11 January 2020.*
2. Improve the quality of assisted housing.
   - *The Roseville Housing Authority will maintain its high-performer rating (SEMAP score).*
     - *PROGRESS: RHA received the high-performer rating again this year.*
     - *PROGRESS: RHA has initiated project-based vouchers as an option so that the quality of housing assisted by the program is further reviewed by RHA. In a community with a low vacancy rate, it is important to provide vouchers a priority to new and high quality housing opportunities.*
3. Increase assisted housing choices.
   - *The Roseville Housing Authority will continue to encourage property owners to provide available unit information for Housing Choice Voucher holders that are searching for a rental unit.*
     - *PROGRESS: RHA maintains a list of available rental units that it encourages owners to utilize for available units.*
     - *PROGRESS: Senate Bill 329 went into effect January 1, 2020, which prohibited owners and managers of rental units in California from rejecting a renter's application simply due to utilizing a Housing Choice Voucher. Roseville Housing Authority has already welcomed numerous rental properties and new owners to the HCV program who previously did not participate in the rental assistance program. This has greatly expanded housing opportunities for HCV participants statewide. RHA is grateful for its established and new community partners and continues efforts to build owner relationships and increase additional housing inventory.*
   - *The Roseville Housing Authority will monitor HAP payments monthly to ensure that at least 98% of the Annual Contributions Contract is utilized or that 98% of total unit months are leased each year.*
     - *PROGRESS: For calendar year 2019 RHA utilized 91% of regular HCV vouchers, 100% of NED vouchers, 91% of VASH vouchers, and 32% of Mainstream vouchers and the RHA is working within the budget, and in partnership with housing owners and managers and the Department of Veteran's Affairs to fully utilize all regular, NED, VASH, and Mainstream vouchers. While vouchers were not fully leased, over 100 percent of the Annual Contributions Contract was utilized, including use of program reserve funding.*
4. Affirmatively further Fair Housing
   - *The Roseville Housing Authority will provide training opportunities for staff to promote equal opportunity in housing.*
     - *PROGRESS: During 2019 RHA staff attended a fair housing training.*
5. Collaborate with neighboring housing authorities to optimize administration of the Housing Choice Voucher Program.
   - *The Roseville Housing Authority will continue to host HCV Roundtable meetings with housing authorities in Northern California three times a year and maintain the Yahoo! User Group for ongoing communication.*
     - *PROGRESS: RHA maintained the Yahoo! User Group for ongoing communication and collaboration.*

RHA Mission Statement:

The mission of the Roseville Housing Authority is to enhance the quality of life to Roseville and Rocklin's low-income families and individuals by:

• Effectively administering Housing Choice Voucher rental assistance funds

PROGRESS: The Roseville Housing Authority has effectively administered Housing Choice Voucher rental assistance funds by monthly reviewing available funds and lease-up to determine further leasing strategy. This has resulted in utilizing the full budget authority for the last calendar year and a small portion of reserve funds.

• Assisting families with opportunities for safe, decent and affordable housing

PROGRESS: By carefully monitoring lease-up, success rate, and market trends we ensure that we are able to assist the largest number of families. Our 2019 utilization of unit count is 91%.

• Delivering a high level of customer service

PROGRESS: We consistently provide a high level of customer service. This is evidenced, in part, by our practice of giving out our direct lines to owners and participants, and when unable to answer the call personally, return the call no later than the next business day. When we receive RFTAs we strive to approve them as soon as possible and complete the move-in inspection within 2 business days of when the unit is available for inspection.

• Guiding families and individuals towards self-sufficiency

PROGRESS: During the last year, one household successfully completed the FSS program and received escrow in the amount of $1059. The participant then voluntarily withdrew from the HCV program and moved to a non-subsidized unit.

B.4.	**Most Recent Fiscal Year Audit**. (a) Were there any findings in the most recent FY Audit? Y N ☐ ☒ (b) If yes, please describe:
	Other Document and/or Certification Requirements.
C.1	**Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan** Form 50077-ST-HCV-HP, *Certification of Compliance with PHA Plans and Related Regulations*, must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.2	**Civil Rights Certification.** Form 50077-ST-HCV-HP, *Certification of Compliance with PHA Plans and Related Regulations*, must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.3	**Resident Advisory Board (RAB) Comments.** (a) Did the RAB(s) provide comments to the PHA Plan? Y N ☐ ☒ If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.
C.4	**Certification by State or Local Officials.** Form HUD 50077-SL, *Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan*, must be submitted by the PHA as an electronic attachment to the PHA Plan.
D	**Statement of Capital Improvements**. Required in all years for all PHAs completing this form that administer public housing and receive funding from the Capital Fund Program (CFP).
D.1	**Capital Improvements.** Include a reference here to the most recent HUD-approved 5-Year Action Plan (HUD-50075.2) and the date that it was approved by HUD. n/a

Instructions for Preparation of Form HUD-50075-HP
Annual Plan for High Performing PHAs

A. PHA Information. All PHAs must complete this section.

A.1 Include the full **PHA Name**, **PHA Code**, **PHA Type**, **PHA Fiscal Year Beginning** (MM/YYYY), **PHA Inventory, Number of Public Housing Units and or Housing Choice Vouchers (HCVs), PHA Plan Submission Type**, and the **Availability of Information**, specific location(s) of all information relevant to the public hearing and proposed PHA Plan. (24 CFR §903.23(4)(e))

PHA Consortia: Check box if submitting a Joint PHA Plan and complete the table. (24 CFR §943.128(a))

B. Annual Plan.

B.1 Revision of PHA Plan Elements. PHAs must:

Identify specifically which plan elements listed below that have been revised by the PHA. To specify which elements have been revised, mark the "yes" box. If an element has not been revised, mark "no."

☐ **Statement of Housing Needs and Strategy for Addressing Housing Needs.** Provide a statement addressing the housing needs of low-income, very low-income and extremely low-income families and a brief description of the PHA's strategy for addressing the housing needs of families who reside in the jurisdiction served by the PHA. The statement must identify the housing needs of (i) families with incomes below 30 percent of area median income (extremely low-income), (ii) elderly families and families with disabilities, and (iii) households of various races and ethnic groups residing in the jurisdiction or on the waiting list based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent it pertains to the housing needs of families that are on the PHA's public housing and Section 8 tenant-based assistance waiting lists. 24 CFR §903.7(a)(1) and 24 CFR §903.12(b). Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent it pertains to the housing needs of families that are on the PHA's public housing and Section 8 tenant-based assistance waiting lists. 24 CFR §903.7(a)(2)(ii) and 24 CFR §903.12(b).

☐ **Deconcentration and Other Policies that Govern Eligibility, Selection and Admissions**. Describe the PHA's admissions policy for deconcentration of poverty and income mixing of lower-income families in public housing. The Deconcentration Policy must describe the PHA's policy for bringing higher income tenants into lower income developments and lower income tenants into higher income developments. The deconcentration requirements apply to general occupancy and family public housing developments. Refer to 24 CFR §903.2(b)(2) for developments not subject to deconcentration of poverty and income mixing requirements. 24 CFR §903.7(b) Describe the PHA's procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists. 24 CFR §903.7(b) A statement of the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV. (24 CFR §903.7(b) Describe the unit assignment policies for public housing. 24 CFR §903.7(b)

☐ **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA operating, capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources. (24 CFR §903.7(c)

☐ **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units, including applicable public housing flat rents, minimum rents, voucher family rent contributions, and payment standard policies. (24 CFR §903.7(d)

☐ **Homeownership Programs**. A description of any homeownership programs (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent that the PHA participates in homeownership programs under section 8(y) of the 1937 Act. (24 CFR §903.7(k) and 24 CFR §903.12(b).

☐ **Safety and Crime Prevention (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families. (24 CFR §903.7(m)(5))

☐ **Pet Policy.** Describe the PHA's policies and requirements pertaining to the ownership of pets in public housing. (24 CFR §903.7(n))

☐ **Substantial Deviation.** PHA must provide its criteria for determining a "substantial deviation" to its 5-Year Plan. (24 CFR §903.7(r)(2)(i)

☐ **Significant Amendment/Modification**. PHA must provide its criteria for determining a "Significant Amendment or Modification" to its 5-Year and Annual Plan. Should the PHA fail to define 'significant amendment/modification', HUD will consider the following to be 'significant amendments or modifications': a) changes to rent or admissions policies or organization of the waiting list; b) additions of non-emergency public housing CFP work items (items not included in the current CFP Annual Statement or CFP 5-Year Action Plan); or c) any change with regard to demolition or disposition, designation, homeownership programs or conversion activities. See guidance on HUD's website at: Notice PIH 1999-51. (24 CFR §903.7(r)(2)(ii)

If any boxes are marked "yes", describe the revision(s) to those element(s) in the space provided.

PHAs must submit a Deconcentration Policy for Field Office review. For additional guidance on what a PHA must do to deconcentrate poverty in its development and comply with fair housing requirements, see 24 CFR 903.2. (24 CFR §903.23(b))

B.2 New Activities. If the PHA intends to undertake any new activities related to these elements or discretionary policies in the current Fiscal Year, mark "yes" for those elements, and describe the activities to be undertaken in the space provided. If the PHA does not plan to undertake these activities, mark "no."

☐ **Hope VI.** 1) A description of any housing (including project name, number (if known) and unit count) for which the PHA will apply for HOPE VI; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm. (Notice PIH 2010-30)

☐ **Mixed Finance Modernization or Development. 1)** A description of any housing (including name, project number (if known) and unit count) for which the PHA will apply for Mixed Finance Modernization or Development; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Mixed Finance Modernization or Development is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm. (Notice PIH 2010-30)

☐ **Demolition and/or Disposition.** Describe any public housing projects owned by the PHA and subject to ACCs (including name, project number and unit numbers [or addresses]), and the number of affected units along with their sizes and accessibility features) for which the PHA will apply or is currently pending for demolition or disposition; and (2) A timetable for the demolition or disposition. This statement must be submitted to the extent that approved and/or pending demolition and/or disposition has changed. The application and approval process for demolition and/or disposition is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/demo_dispo/index.cfm. (24 CFR §903.7(h))

☐ **Conversion of Public Housing.** Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA is required to convert or plans to voluntarily convert to tenant-based assistance; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/conversion.cfm. (24 CFR §903.7(j))

☐ **Project-Based Vouchers.** Describe any plans to use HCVs for new project-based vouchers. (24 CFR §983.57(b)(1)) If using project-based vouchers, provide the projected number of project-based units and general locations, and describe how project-basing would be consistent with the PHA Plan.

☐ **Other Capital Grant Programs** (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants).

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B.3 Progress Report. For all Annual Plans following submission of the first Annual Plan, a PHA must include a brief statement of the PHA's progress in meeting the mission and goals described in the 5-Year PHA Plan. (24 CFR §903.7(r)(1))

B.4 Most Recent Fiscal Year Audit. If the results of the most recent fiscal year audit for the PHA included any findings, mark "yes" and describe those findings in the space provided. (24 CFR §903.7(p))

C. Other Document and/or Certification Requirements

C.1 Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan. Provide a certification that the following plan elements have been revised, provided to the RAB for comment before implementation, approved by the PHA board, and made available for review and inspection by the public. This requirement is satisfied by completing and submitting form HUD-50077 SM-HP.

C.2 Civil Rights Certification. Form HUD-50077 SM-HP, *PHA Certifications of Compliance with the PHA Plans and Related Regulation*, must be submitted by the PHA as an electronic attachment to the PHA Plan. This includes all certifications relating to Civil Rights and related regulations. A PHA will be considered in compliance with the AFFH Certification if: it can document that it examines its programs and proposed programs to identify any impediments to fair housing choice within those programs; addresses those impediments in a reasonable fashion in view of the resources available; works with the local jurisdiction to implement any of the jurisdiction's initiatives to affirmatively further fair housing; and assures that the annual plan is consistent with any applicable Consolidated Plan for its jurisdiction. (24 CFR §903.7(o))

C.3 Resident Advisory Board (RAB) comments. If the RAB provided comments to the annual plan, mark "yes," submit the comments as an attachment to the Plan and describe the analysis of the comments and the PHA's decision made on these recommendations. (24 CFR §903.13(c), 24 CFR §903.19)

C.4 Certification by State or Local Officials. Form HUD-50077-SL, *Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan*, must be submitted by the PHA as an electronic attachment to the PHA Plan. (24 CFR §903.15)

D. Statement of Capital Improvements

. PHAs that receive funding from the Capital Fund Program (CFP) must complete this section. (24 CFR 903.7 (g))

D.1 Capital Improvements. In order to comply with this requirement, the PHA must reference the most recent HUD approved Capital Fund 5 Year Action Plan. PHAs can reference the form by including the following language in Section C. 8.0 of the PHA Plan Template: "See HUD Form 50075.2 approved by HUD on XX/XX/XXXX."

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced the 5-Year and Annual PHA Plan. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families.

Public reporting burden for this information collection is estimated to average 16.64 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

RESOLUTION NO. 2-21

HOUSING AUTHORITY OF THE CITY OF ROSEVILLE

APPROVING THE FY2021-22 ANNUAL PLAN

WHEREAS, the Department of Housing and Urban Development (HUD) requires all housing authorities to submit an Agency Plan, which includes an Annual Plan; and

WHEREAS, staff has prepared the Annual Plan for FY2021-22; and

WHEREAS, the Housing Authority has reviewed the plan;

NOW, THEREFORE, THE HOUSING AUTHORITY OF THE CITY OF ROSEVILLE DOES HEREBY RESOLVE that:

1. The Roseville Housing Authority Annual Plan for FY2021-22 is hereby approved,

2. The Executive Director is authorized to submit the Roseville Housing Authority Annual Plan for FY2021-22 to the U.S. Department of Housing and Urban Development along with all required certifications, disclosures, and related documents.

PASSED AND ADOPTED by the Housing Authority of the City of Roseville this _____day of_____, 20___, by the following vote on roll call:

AYES AUTHORITY MEMBERS:

NOES AUTHORITY MEMBERS:

ABSENT AUTHORITY MEMBERS:

_____
Chair

ATTEST:

_____
Secretary

Streamlined Annual PHA Plan *(High Performer PHAs)*	**U.S. Department of Housing and Urban Development** **Office of Public and Indian Housing**	**OMB No. 2577-0226** **Expires: 02/29/2016**

Purpose. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families

Applicability. Form HUD-50075-HP is to be completed annually by **High Performing PHAs**. PHAs that meet the definition of a Standard PHA, Troubled PHA, HCV-Only PHA, Small PHA, or Qualified PHA do not need to submit this form.

Definitions.

(1) ***High-Performer PHA*** – A PHA that owns or manages more than 550 combined public housing units and housing choice vouchers, and was designated as a high performer on both of the most recent Public Housing Assessment System (PHAS) and Section Eight Management Assessment Program (SEMAP) assessments.

(2) ***Small PHA*** - A PHA that is not designated as PHAS or SEMAP troubled, or at risk of being designated as troubled, and that owns or manages less than 250 public housing units and any number of vouchers where the total combined units exceeds 550.

(3) ***Housing Choice Voucher (HCV) Only PHA*** - A PHA that administers more than 550 HCVs, was not designated as troubled in its most recent SEMAP assessment, and does not own or manage public housing.

(4) ***Standard PHA*** - A PHA that owns or manages 250 or more public housing units and any number of vouchers where the total combined units exceeds 550, and that was designated as a standard performer in the most recent PHAS or SEMAP assessments.

(5) ***Troubled PHA*** - A PHA that achieves an overall PHAS or SEMAP score of less than 60 percent.

(6) ***Qualified PHA*** - A PHA with 550 or fewer public housing dwelling units and/or housing choice vouchers combined, and is not PHAS or SEMAP troubled.

A. PHA Information.

A.1

PHA Name: Roseville Housing Authority **PHA Code**: CA128
PHA Type: ☐ Small ☒ High Performer
PHA Plan for Fiscal Year Beginning: (MM/YYYY): 07/01/2021
PHA Inventory (Based on Annual Contributions Contract (ACC) units at time of FY beginning, above)
Number of Public Housing (PH) Units 0 Number of Housing Choice Vouchers (HCVs) 735
Total Combined 735
PHA Plan Submission Type: ☒ Annual Submission ☐ Revised Annual Submission

Availability of Information. In addition to the items listed in this form, PHAs must have the elements listed below readily available to the public. A PHA must identify the specific location(s) where the proposed PHA Plan, PHA Plan Elements, and all information relevant to the public hearing and proposed PHA Plan are available for inspection by the public. Additionally, the PHA must provide information on how the public may reasonably obtain additional information of the PHA policies contained in the standard Annual Plan, but excluded from their streamlined submissions. At a minimum, PHAs must post PHA Plans, including updates, at each Asset Management Project (AMP) and main office or central office of the PHA. PHAs are strongly encouraged to post complete PHA Plans on their official website. PHAs are also encouraged to provide each resident council a copy of their PHA Plans.

Copies of PHA Plans may be obtained at the Agency's main office:

Roseville Housing Authority
316 Vernon Street, Suite 150
Roseville, CA 95678

5-Year and Annual PHA Plans are also available on the website at www.roseville.ca.us. See Government, Departments & Divisions, Affordable Housing, Rental Programs, Housing Choice Voucher Program.

☐ **PHA Consortia**: (Check box if submitting a Joint PHA Plan and complete table below)

Participating PHAs	PHA Code	Program(s) in the Consortia	Program(s) not in the Consortia	No. of Units in Each Program	
				PH	HCV
Lead PHA:					

B.	Annual Plan Elements
B.1	**Revision of PHA Plan Elements.** (a) Have the following PHA Plan elements been revised by the PHA since its last **Annual PHA Plan** submission? Y N ☐ ☒ Statement of Housing Needs and Strategy for Addressing Housing Needs. ☐ ☒ Deconcentration and Other Policies that Govern Eligibility, Selection, and Admissions. ☐ ☒ Financial Resources. ☐ ☒ Rent Determination. ☐ ☒ Homeownership Programs. ☐ ☒ Safety and Crime Prevention. ☐ ☒ Pet Policy. ☐ ☒ Substantial Deviation. ☐ ☒ Significant Amendment/Modification (b) The PHA must submit its Deconcentration Policy for Field Office Review. (c) If the PHA answered yes for any element, describe the revisions for each element below: The PHA's definition of "significant amendment" and "substantial deviation/modification": *Changes to the PHA admission or waiting list policies would be considered a substantial deviation and significant amendment to the PHA Plan requiring a public hearing*
B.2	**New Activities.** (a) Does the PHA intend to undertake any new activities related to the following in the PHA's current Fiscal Year? Y N ☐ ☒ Hope VI or Choice Neighborhoods. ☐ ☒ Mixed Finance Modernization or Development. ☐ ☒ Demolition and/or Disposition. ☐ ☒ Conversion of Public Housing to Tenant Based Assistance. ☐ ☒ Conversion of Public Housing to Project-Based Assistance under RAD. ☒ ☐ Project Based Vouchers. ☐ ☒ Units with Approved Vacancies for Modernization. ☐ ☒ Other Capital Grant Programs (i.e., Capital Fund Community Facilities Grants or Emergency Safety and Security Grants). The RHA continues to use project-based vouchers to assist in the development of affordable housing. The new developments Main Street Plaza Apartments and Roseville Apartments will allow for 30 RHA families to utilize project-based vouchers to lease up in brand new units in 2020 and 2021. RHA will continue to look for developments to apply project-based vouchers to increase affordable housing opportunities for income eligible families.

B.3 **Progress Report.**

Provide a description of the PHA's progress in meeting its Mission and Goals described in the PHA 5-Year Plan.

Goals for the current 5-Year Plan:

1. Expand the supply of assisted housing.
   - *The Roseville Housing Authority (RHA) will apply for additional VASH vouchers if they become available.*
     - *PROGRESS: RHA had the opportunity to apply for more VASH vouchers and was awarded 5 in January 2020.*
     - *PROGRESS: RHA, with the support of the VA, was awarded 25 more VASH vouchers in January 2021.*
   - *The Roseville Housing Authority will apply for additional Mainstream vouchers if they become available.*
     - *PROGRESS: RHA with support of local support service providers was awarded 11more Mainstream vouchers in January 2020 and an additional 8 in August 2020.*
2. Improve the quality of assisted housing.
   - *The Roseville Housing Authority will maintain its high-performer rating (SEMAP score).*
     - *PROGRESS: RHA received the high-performer rating again this year.*
     - *PROGRESS: RHA has initiated project-based vouchers as an option so that the quality of housing assisted by the program is further reviewed by RHA. In a community with a low vacancy rate, it is important to provide vouchers a priority to new and high quality housing opportunities.*
     - *PROGRESS: RHA has continued lease up of the new developments Main Street Plaza Apartments and Roseville Apartments which when complete will allow for a total of 30 families to be housed in brand new units.*
3. Increase assisted housing choices.
   - *The Roseville Housing Authority will continue to encourage property owners to provide available unit information for Housing Choice Voucher holders that are searching for a rental unit.*
     - *PROGRESS: RHA maintains a list of available rental units that it encourages owners to utilize for available units.*
     - *PROGRESS: 2021 RHA implemented landlord property listing online through GOsection8.com enabling landlords to list available properties through an online portal.*
   - *The Roseville Housing Authority will monitor HAP payments monthly to ensure that at least 98% of the Annual Contributions Contract is utilized or that 98% of total unit months are leased each year.*
     - *PROGRESS: For calendar year 2020 RHA utilized 91.9% of regular HCV vouchers, 100% of NED vouchers and 80.2% of VASH vouchers and the RHA is working within the budget, and in partnership with housing owners and managers and the Department of Veteran's Affairs to fully utilize all regular, NED, and VASH vouchers. While vouchers were not fully leased, over 100 percent of the Annual Contributions Contract was utilized, including use of program reserve funding.*
4. Affirmatively further Fair Housing
   - *The Roseville Housing Authority will provide training opportunities for staff to promote equal opportunity in housing.*
     - *PROGRESS: During 2020 RHA staff attended various online training seminars.*
     - *PROGRESS: The Roseville Housing Division reserved $50,000 to retain a Fair Housing firm to provide legal services for residents.*
     - *PROGRESS: RHA staff is scheduled for Fair Housing Training in January 2021.*
5. Collaborate with neighboring housing authorities to optimize administration of the Housing Choice Voucher Program.
   - *The Roseville Housing Authority maintains a close relationship surrounding housing authorities.*
     - *PROGRESS: RHA maintains a list of Northern California Housing Authority contacts to ask for opinions about new policies and maintain ongoing communication. RHA also maintains a collaborative relationship with Placer County Housing Authority.*

RHA Mission Statement:

The mission of the Roseville Housing Authority is to enhance the quality of life to Roseville and Rocklin's low-income families and individuals by:

- Effectively administering Housing Choice Voucher rental assistance funds
  - *PROGRESS*: *The Roseville Housing Authority has effectively administered Housing Choice Voucher rental assistance funds by monthly reviewing available funds and lease-up to determine further leasing strategy. This has resulted in utilizing the full budget authority for the last calendar year and a small portion of reserve funds.*
- Assisting families with opportunities for safe, decent and affordable housing
  - *PROGRESS*: *By carefully monitoring lease-up, success rate, and market trends we ensure that we are able to assist the largest number of families. Our 2020 utilization of unit count is 96%.*
- Delivering a high level of customer service
  - *PROGRESS*: *We consistently provide a high level of customer service. This is evidenced, in part, by our practice of giving out our direct lines to owners and participants, and when unable to answer the call personally, return the call no later than the next business day. When we receive RFTAs we strive to approve them as soon as possible and complete the move-in inspection within 2 business days of when the unit is available for inspection.*

- *PROGRESS: With the implementation of GOsection8.com, participants will be able to search online for available units. Property managers and landlords will be able to list their available properties/units through the online portal free of charge giving applicants and participants easier access to unit information.*

- Guiding families and individuals towards self-sufficiency

  - *PROGRESS*: *During the last year, two households successfully completed the FSS program. Both families received escrow money and both are considering withdrawing from the rental assistance program and moving into non-subsidized housing yet both families are being mindful of how COVID-19 is going to potentially impact any decision they make.*

B.4.	**Most Recent Fiscal Year Audit**. (a) Were there any findings in the most recent FY Audit? Y N ☐ ☒ (b) If yes, please describe:
	Other Document and/or Certification Requirements.
C.1	**Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan** Form 50077-ST-HCV-HP, *Certification of Compliance with PHA Plans and Related Regulations*, must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.2	**Civil Rights Certification.** Form 50077-ST-HCV-HP, *Certification of Compliance with PHA Plans and Related Regulations*, must be submitted by the PHA as an electronic attachment to the PHA Plan.
C.3	**Resident Advisory Board (RAB) Comments.** (a) Did the RAB(s) provide comments to the PHA Plan? Y N ☐ ☒ If yes, comments must be submitted by the PHA as an attachment to the PHA Plan. PHAs must also include a narrative describing their analysis of the RAB recommendations and the decisions made on these recommendations.
C.4	**Certification by State or Local Officials.** Form HUD 50077-SL, *Certification by State or Local Officials of PHA Plans Consistency with the Consolidated Plan*, must be submitted by the PHA as an electronic attachment to the PHA Plan.
D	**Statement of Capital Improvements**. Required in all years for all PHAs completing this form that administer public housing and receive funding from the Capital Fund Program (CFP).
D.1	**Capital Improvements.** Include a reference here to the most recent HUD-approved 5-Year Action Plan (HUD-50075.2) and the date that it was approved by HUD. n/a

Instructions for Preparation of Form HUD-50075-HP
Annual Plan for High Performing PHAs

A. PHA Information. All PHAs must complete this section.

A.1 Include the full **PHA Name**, **PHA Code**, **PHA Type**, **PHA Fiscal Year Beginning** (MM/YYYY), **PHA Inventory, Number of Public Housing Units and or Housing Choice Vouchers (HCVs), PHA Plan Submission Type**, and the **Availability of Information**, specific location(s) of all information relevant to the public hearing and proposed PHA Plan. (24 CFR §903.23(4)(e))

PHA Consortia: Check box if submitting a Joint PHA Plan and complete the table. (24 CFR §943.128(a))

B. Annual Plan.

B.1 Revision of PHA Plan Elements. PHAs must:

Identify specifically which plan elements listed below that have been revised by the PHA. To specify which elements have been revised, mark the "yes" box. If an element has not been revised, mark "no."

☐ **Statement of Housing Needs and Strategy for Addressing Housing Needs.** Provide a statement addressing the housing needs of low-income, very low-income and extremely low-income families and a brief description of the PHA's strategy for addressing the housing needs of families who reside in the jurisdiction served by the PHA. The statement must identify the housing needs of (i) families with incomes below 30 percent of area median income (extremely low-income), (ii) elderly families and families with disabilities, and (iii) households of various races and ethnic groups residing in the jurisdiction or on the waiting list based on information provided by the applicable Consolidated Plan, information provided by HUD, and other generally available data. The identification of housing needs must address issues of affordability, supply, quality, accessibility, size of units, and location. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent it pertains to the housing needs of families that are on the PHA's public housing and Section 8 tenant-based assistance waiting lists. 24 CFR §903.7(a)(1) and 24 CFR §903.12(b). Provide a description of the PHA's strategy for addressing the housing needs of families in the jurisdiction and on the waiting list in the upcoming year. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent it pertains to the housing needs of families that are on the PHA's public housing and Section 8 tenant-based assistance waiting lists. 24 CFR §903.7(a)(2)(ii) and 24 CFR §903.12(b).

☐ **Deconcentration and Other Policies that Govern Eligibility, Selection and Admissions**. Describe the PHA's admissions policy for deconcentration of poverty and income mixing of lower-income families in public housing. The Deconcentration Policy must describe the PHA's policy for bringing higher income tenants into lower income developments and lower income tenants into higher income developments. The deconcentration requirements apply to general occupancy and family public housing developments. Refer to 24 CFR §903.2(b)(2) for developments not subject to deconcentration of poverty and income mixing requirements. 24 CFR §903.7(b) Describe the PHA's procedures for maintaining waiting lists for admission to public housing and address any site-based waiting lists. 24 CFR §903.7(b) A statement of the PHA's policies that govern resident or tenant eligibility, selection and admission including admission preferences for both public housing and HCV. (24 CFR §903.7(b) Describe the unit assignment policies for public housing. 24 CFR §903.7(b)

☐ **Financial Resources.** A statement of financial resources, including a listing by general categories, of the PHA's anticipated resources, such as PHA operating, capital and other anticipated Federal resources available to the PHA, as well as tenant rents and other income available to support public housing or tenant-based assistance. The statement also should include the non-Federal sources of funds supporting each Federal program, and state the planned use for the resources. (24 CFR §903.7(c)

☐ **Rent Determination.** A statement of the policies of the PHA governing rents charged for public housing and HCV dwelling units, including applicable public housing flat rents, minimum rents, voucher family rent contributions, and payment standard policies. (24 CFR §903.7(d)

☐ **Homeownership Programs**. A description of any homeownership programs (including project number and unit count) administered by the agency or for which the PHA has applied or will apply for approval. For years in which the PHA's 5-Year PHA Plan is also due, this information must be included only to the extent that the PHA participates in homeownership programs under section 8(y) of the 1937 Act. (24 CFR §903.7(k) and 24 CFR §903.12(b).

☐ **Safety and Crime Prevention (VAWA).** A description of: **1)** Any activities, services, or programs provided or offered by an agency, either directly or in partnership with other service providers, to child or adult victims of domestic violence, dating violence, sexual assault, or stalking; **2)** Any activities, services, or programs provided or offered by a PHA that helps child and adult victims of domestic violence, dating violence, sexual assault, or stalking, to obtain or maintain housing; and **3)** Any activities, services, or programs provided or offered by a public housing agency to prevent domestic violence, dating violence, sexual assault, and stalking, or to enhance victim safety in assisted families. (24 CFR §903.7(m)(5))

☐ **Pet Policy.** Describe the PHA's policies and requirements pertaining to the ownership of pets in public housing. (24 CFR §903.7(n))

☐ **Substantial Deviation.** PHA must provide its criteria for determining a "substantial deviation" to its 5-Year Plan. (24 CFR §903.7(r)(2)(i)

☐ **Significant Amendment/Modification**. PHA must provide its criteria for determining a "Significant Amendment or Modification" to its 5-Year and Annual Plan. Should the PHA fail to define 'significant amendment/modification', HUD will consider the following to be 'significant amendments or modifications': a) changes to rent or admissions policies or organization of the waiting list; b) additions of non-emergency public housing CFP work items (items not included in the current CFP Annual Statement or CFP 5-Year Action Plan); or c) any change with regard to demolition or disposition, designation, homeownership programs or conversion activities. See guidance on HUD's website at: Notice PIH 1999-51. (24 CFR §903.7(r)(2)(ii)

If any boxes are marked "yes", describe the revision(s) to those element(s) in the space provided.

PHAs must submit a Deconcentration Policy for Field Office review. For additional guidance on what a PHA must do to deconcentrate poverty in its development and comply with fair housing requirements, see 24 CFR 903.2. (24 CFR §903.23(b))

B.2 New Activities. If the PHA intends to undertake any new activities related to these elements or discretionary policies in the current Fiscal Year, mark "yes" for those elements, and describe the activities to be undertaken in the space provided. If the PHA does not plan to undertake these activities, mark "no."

☐ **Hope VI.** 1) A description of any housing (including project name, number (if known) and unit count) for which the PHA will apply for HOPE VI; and **2)** A timetable for the submission of applications or proposals. The application and approval process for Hope VI is a separate process. See guidance on HUD's website at: http://www.hud.gov/offices/pih/programs/ph/hope6/index.cfm. (Notice PIH 2010-30)

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☐ **Conversion of Public Housing.** Describe any public housing building(s) (including project number and unit count) owned by the PHA that the PHA is required to convert or plans to voluntarily convert to tenant-based assistance; **2)** An analysis of the projects or buildings required to be converted; and **3)** A statement of the amount of assistance received to be used for rental assistance or other housing assistance in connection with such conversion. See guidance on HUD's website at: http://www.hud.gov/offices/pih/centers/sac/conversion.cfm. (24 CFR §903.7(j))

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B.4 Most Recent Fiscal Year Audit. If the results of the most recent fiscal year audit for the PHA included any findings, mark "yes" and describe those findings in the space provided. (24 CFR §903.7(p))

C. Other Document and/or Certification Requirements

C.1 Certification Listing Policies and Programs that the PHA has Revised since Submission of its Last Annual Plan. Provide a certification that the following plan elements have been revised, provided to the RAB for comment before implementation, approved by the PHA board, and made available for review and inspection by the public. This requirement is satisfied by completing and submitting form HUD-50077 SM-HP.

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. PHAs that receive funding from the Capital Fund Program (CFP) must complete this section. (24 CFR 903.7 (g))

D.1 Capital Improvements. In order to comply with this requirement, the PHA must reference the most recent HUD approved Capital Fund 5 Year Action Plan. PHAs can reference the form by including the following language in Section C. 8.0 of the PHA Plan Template: "See HUD Form 50075.2 approved by HUD on XX/XX/XXXX."

This information collection is authorized by Section 511 of the Quality Housing and Work Responsibility Act, which added a new section 5A to the U.S. Housing Act of 1937, as amended, which introduced the 5-Year and Annual PHA Plan. The 5-Year and Annual PHA Plans provide a ready source for interested parties to locate basic PHA policies, rules, and requirements concerning the PHA's operations, programs, and services, and informs HUD, families served by the PHA, and members of the public of the PHA's mission, goals and objectives for serving the needs of low- income, very low- income, and extremely low- income families.

Public reporting burden for this information collection is estimated to average 16.64 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. HUD may not collect this information, and respondents are not required to complete this form, unless it displays a currently valid OMB Control Number.

Privacy Act Notice. The United States Department of Housing and Urban Development is authorized to solicit the information requested in this form by virtue of Title 12, U.S. Code, Section 1701 et seq., and regulations promulgated thereunder at Title 12, Code of Federal Regulations. Responses to the collection of information are required to obtain a benefit or to retain a benefit. The information requested does not lend itself to confidentiality.

From:	Acrell, Suzanne
Cc:	Cook-Turner, Suzi
Subject:	Resident Advisory Board
Date:	Friday, January 15, 2021 2:04:03 PM
Attachments:	image001.png 2020 Annual Plan.pdf 2021 Annual Plan.pdf

Good Afternoon Roseville Housing Authority RAB Member,

Thank you for your participation and thanks in advance for your feedback. The Roseville Housing Authority's updated Annual Plan is attached here for your review and comment.

The comment period for the draft Annual Plan is January 15, 2021 to March 3, 2021. Comments should be addressed to Suzi Cook-Turner, Roseville Housing Authority, 316 Vernon St., Ste. 150, Roseville, CA 95678 or submitted via e-mail to smcook-turner@roseville.ca.us.

The Housing Authority Board (Roseville City Council) will hold a Public Hearing to adopt the Roseville Housing Authority Annual Plan on March 3, 2021, at 6 p.m., in the Council Chambers of the City of Roseville Civic Center, 311 Vernon Street, Roseville, CA or due to public health concerns related to the COVID-19 virus, virtually. If a virtual public hearing is held, the hearing will be available for live access on Comcast 14, Consolidated Communications 73, AT&T U-verse, and the city's YouTube channel. It will be available on-demand on the City's website the following day. Public comment and questions for a virtual hearing may be submitted by emailing publiccomment@roeville.ca.us prior to the meeting or during the hearing by calling (916) 774-5353.

Suzanne Acrell
Housing Analyst
Economic Development Dept.
Housing Division | Roseville Housing Authority
o: (916) 774-5469
f: (916) 746-1295

316 Vernon Street, Suite 150 | Roseville, CA | 95678

72662

NOTICE OF DOCUMENT AVAILABILITY AND PUBLIC HEARING

72662

ROSEVILLE HOUSING AUTHORITY ANNUAL PLAN
NOTICE OF DOCUMENT AVAILABILITY
& PUBLIC HEARING
LEGAL NOTICE

The Roseville Housing Authority's updated Annual Plan is available for public review and comment. Due to COVID-19 the plan is available for online viewing only on the Housing Authority's website: **www.roseville.ca.us/housing.** Click on the Housing Choice Voucher/Section 8 box or search the website for Housing Choice Voucher.

The comment period for the draft Annual Plan will occur from January 15, 2021 to March 3, 2021. Comments should be addressed to Suzi Cook-Turner, Roseville Housing Authority, 316 Vernon St., Ste. 150, Roseville, CA 95678 or submitted via e-mail to **smcook-turner@roseville.ca.us**. Any written comments received after March 3, 2021 will not be considered.

The Housing Authority Board will hold a Public Hearing to adopt the Roseville Housing Authority Annual Plan on March 3, 2021, at 6 p.m., or as soon thereafter as may be heard, in the Council Chambers of the City of Roseville Civic Center, 311 Vernon Street, Roseville, CA or due to public health concerns related to the COVID-19 virus, virtually. If a virtual public hearing is held, the facility will not be accessible to the public. The hearing will be available for live access on Comcast 14, Consolidated Communications 73, AT&T U-verse, and the city's YouTube channel. It will be available on-demand on the City's website the following day. Public comment and questions for a virtual hearing may be submitted by emailing **publiccomment@roeville.ca.us** prior to the meeting or during the hearing by calling (916) 774-5353.

Meeting facilities are accessible to persons with disabilities. By request, translation services and documents, as well as alternative accessible document formats are available to persons with varying language needs or disabilities. To arrange an alternative agenda document format or to arrange aid or services to modify or accommodate persons with a disability to participate in a public meeting, please notify the City Clerk's Office at least 48 hours in advance of the meeting by calling (916) 774-5263 or through the TDD line at (916) 774-5220 (for hearing impaired).

If you challenge the decision on this item in court, you may be limited to raising only those issues which you or someone else raised at the public hearing described in this notice, or in written correspondence delivered to the Housing Authority prior to the public hearing.

Roseville Housing Authority
Trisha Isom. Executive Director

PUBLISHED IN THE ROSEVILLE PRESS TRIBUNE: JANUARY 15, 2021

The above space is reserved for Court/County Filed Date Stamp

PROOF OF PUBLICATION
(2015.5 C.C.P.)

STATE OF CALIFORNIA
County of Placer

I am a citizen of the United States and employed by a publication in the County aforesaid. I am over the age of eighteen years, and not a party to the mentioned matter. I am the principal clerk of the **Roseville Press Tribune**, a newspaper of general circulation, in the **City of Roseville,** which is printed and published in the **County of Placer**. This newspaper has been judged a newspaper of general circulation by the Superior Court of the State of California, in and for the **County of Placer**, on the date of May 5, 1952 (Case Number 17357). The notice, of which the attached is a printed copy (set in type not smaller than nonpareil) has been published in each regular and entire issue of said newspaper and not in any supplement thereof on the following dates, to-wit:

JANUARY 15

I certify, under penalty of perjury, that the foregoing is true and correct.

Terry Clark

Dated in Roseville, California

JANUARY 15, 2021

City of Roseville
JAN 21 2021
Housing Division

PROOF OF PUBLICATION
THE ROSEVILLE PRESS TRIBUNE